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## **Foreword**

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

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- x the first digit:
  - 1 presented to TSG for information;
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  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

## 1 Scope

The present document specifies the Radio Resource Control protocol for the radio interface between UE and E-UTRAN as well as for the radio interface between RN and E-UTRAN.

The scope of the present document also includes:

- the radio related information transported in a transparent container between source eNB and target eNB upon inter eNB handover;
- the radio related information transported in a transparent container between a source or target eNB and another system upon inter RAT handover.

## 2 References

1).

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

Release as the present accument.		
[1]	3GPP TR 21.905: "Vocabulary for 3GPP Specifications".	
[2]	Void.	
[3]	3GPP TS 36.302: "Evolved Universal Terrestrial Radio Access (E-UTRA); Services provided by the physical layer ".	
[4]	3GPP TS 36.304: "Evolved Universal Terrestrial Radio Access (E-UTRA); UE Procedures in Idle Mode".	
[5]	3GPP TS 36.306 "Evolved Universal Terrestrial Radio Access (E-UTRA); UE Radio Access Capabilities".	
[6]	3GPP TS 36.321: "Evolved Universal Terrestrial Radio Access (E-UTRA); Medium Access Control (MAC) protocol specification".	
[7]	3GPP TS 36.322:"Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Link Control (RLC) protocol specification".	
[8]	3GPP TS 36.323: "Evolved Universal Terrestrial Radio Access (E-UTRA); Packet Data Convergence Protocol (PDCP) Specification".	
[9]	3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access (E-UTRAN); Overall description; Stage 2".	
[10]	3GPP TS 22.011: "Service accessibility".	
[11]	3GPP TS 23.122: "Non-Access-Stratum (NAS) functions related to Mobile Station (MS) in idle mode".	
[12]	3GPP2 C.S0002-F v1.0: "Physical Layer Standard for cdma2000 Spread Spectrum Systems".	
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[14]	ITU-T Recommendation X.681 (07/2002) "Information Technology - Abstract Syntax Notation One (ASN.1): Information object specification" (Same as the ISO/IEC International Standard 8824-2).
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[16]	3GPP TS 36.133: "Evolved Universal Terrestrial Radio Access (E-UTRA); Requirements for support of radio resource management".
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[19]	3GPP TS 25.331:"Universal Terrestrial Radio Access (UTRA); Radio Resource Control (RRC); Protocol specification".
[20]	3GPP TS 45.005: "Radio transmission and reception".
[21]	3GPP TS 36.211: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical Channels and Modulation".
[22]	3GPP TS 36.212: "Evolved Universal Terrestrial Radio Access (E-UTRA); Multiplexing and channel coding".
[23]	3GPP TS 36.213: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer procedures".
[24]	3GPP2 C.S0057-E v1.0: "Band Class Specification for cdma2000 Spread Spectrum Systems".
[25]	3GPP2 C.S0005-F v1.0: "Upper Layer (Layer 3) Signaling Standard for cdma2000 Spread Spectrum Systems".
[26]	3GPP2 C.S0024-C v2.0: "cdma2000 High Rate Packet Data Air Interface Specification".
[27]	3GPP TS 23.003: "Numbering, addressing and identification".
[28]	3GPP TS 45.008: "Radio subsystem link control".
[29]	3GPP TS 25.133: "Requirements for Support of Radio Resource Management (FDD)".
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[31]	3GPP TS 36.401: "Evolved Universal Terrestrial Radio Access (E-UTRA); Architecture description".
[32]	3GPP TS 33.401: "3GPP System Architecture Evolution (SAE); Security architecture".
[33]	3GPP2 A.S0008-C v4.0: "Interoperability Specification (IOS) for High Rate Packet Data (HRPD) Radio Access Network Interfaces with Session Control in the Access Network"
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[37]	3GPP TS 23.041: "Technical realization of Cell Broadcast Service (CBS)".
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[42]	3GPP TS 36.101: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio transmission and reception".
[43]	3GPP TS 45.005: "GSM/EDGE Radio transmission and reception".
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[45]	3GPP TS 44.018: "Mobile radio interface layer 3 specification; Radio Resource Control (RRC) protocol".
[46]	3GPP TS 25.223: "Spreading and modulation (TDD)".
[47]	3GPP TS 36.104: "Evolved Universal Terrestrial Radio Access (E-UTRA); Base Station (BS) radio transmission and reception".
[48]	3GPP TS 36.214: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer - Measurements".
[49]	3GPP TS 24.008: "Mobile radio interface layer 3 specification; Core network protocols; Stage 3".
[50]	3GPP TS 45.010: "Radio subsystem synchronization".
[51]	3GPP TS 23.272: "Circuit Switched Fallback in Evolved Packet System; Stage 2".
[52]	3GPP TS 29.061: "Interworking between the Public Land Mobile Network (PLMN) supporting packet based services and Packet Data Networks (PDN)".
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[54]	3GPP TS 36.355: "LTE Positioning Protocol (LPP)".
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[56]	3GPP TS 23.246: "Multimedia Broadcast/Multicast Service (MBMS); Architecture and functional description".
[57]	3GPP TS 26.346: "Multimedia Broadcast/Multicast Service (MBMS); Protocols and codecs".
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[60]	3GPP TS 37.320: "Universal Terrestrial Radio Access (UTRA) and Evolved Universal Terrestrial Radio Access (E-UTRA); Radio measurement collection for Minimization of Drive Tests (MDT); Overall description; Stage 2".
[61]	3GPP TS 23.216: "Single Radio Voice Call Continuity (SRVCC); Stage 2".
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[63]	3GPP TR 36.816: "Evolved Universal Terrestrial Radio Access (E-UTRA); Study on signalling and procedure for interference avoidance for in-device coexistence".
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[67]	IEEE 802.11-2012, Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) specifications, IEEE Std.
[68]	3GPP TS 23.303: "Proximity-based services (ProSe); Stage 2".
[69]	3GPP TS 24.334: "Proximity-services (ProSe) User Equipment (UE) to ProSe function protocol aspects; Stage 3".
[70]	3GPP TS 24.333: "Proximity-services (ProSe) Management Objects (MO)".
[71]	3GPP TS 36.314: "Evolved Universal Terrestrial Radio Access (E-UTRA); Layer 2-Measurements".
[72]	3GPP TS 24.105: "Application specific Congestion control for Data Communication (ACDC) Management Object (MO)".
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[74]	3GPP TS 24.302: "Access to the 3GPP Evolved Packet Core (EPC) via non-3GPP access networks".
[75]	3GPP TS 23.402: "Architecture enhancements for non-3GPP accesses; Stage-2".
[76]	Wi-Fi Alliance® Technical Committee, Hotspot 2.0 Technical Task Group Hotspot 2.0 (Release 2) Technical Specification Version 3.11.
[77]	3GPP TS 22.101: "Service aspects; Service principles".
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[84]	3GPP TS 38.133: "NR; Requirements for support of radio resource management".
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[86]	3GPP TS 33.501: "Security Architecture and Procedures for 5G System".
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[88]	3GPP TS 38.213: "NR; Physical layer procedures".
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[90]	3GPP TS 26.247: "Transparent end-to-end Packet-switched Streaming Service (PSS); Progressive Download and Dynamic Adaptive Streaming over HTTP (3GP-DASH)".
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[93]	Bluetooth Special Interest Group: "Bluetooth Core Specification v5.0", December 2016.
[94]	3GPP TS 37.213: "Physical layer procedures for shared spectrum channel access".
[95]	3GPP TS 24.501: "Non-Access-Stratum (NAS) protocol for 5G System (5GS); Stage 3".
[96]	3GPP TS 22.261: "Service requirements for the 5G System".
[97]	3GPP TS 37.324: "Service Data Adaptation Protocol (SDAP) specification".
[98]	ATIS 0700041: "WEA 3.0: Device-Based Geo-Fencing".
[99]	$3GPP\ TS\ 26.114$ : "IP Multimedia Subsystem (IMS); Multimedia Telephony; Media handling and interaction ".
[100]	3GPP TS 38.101-2: "NR; User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone ".
[101]	3GPP TS 38.101-3: "NR; User Equipment (UE) radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios".

## 3 Definitions, symbols and abbreviations

## 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

**Anchor carrier:** In NB-IoT, a carrier where the UE assumes that NPSS/NSSS/NPBCH/SIB-NB for FDD or NPSS/NSSS/NPBCH for TDD are transmitted.

Bandwidth Reduced: Refers to operation in downlink and uplink with a limited channel bandwidth of 6 PRBs.

**Cellular IoT EPS Optimisation**: Provides improved support of small data transfer, as defined in TS 24.301 [35].

**Commercial Mobile Alert System:** Public Warning System that delivers *Warning Notifications* provided by *Warning Notification Providers* to CMAS capable UEs.

**Common access barring parameters:** The common access barring parameters refer to the access class barring parameters that are broadcast in *SystemInformationBlockType2* outside the list of PLMN specific parameters (i.e. in *ac-BarringPerPLMN-List*).

**Control plane CIoT EPS optimisation**: Enables support of efficient transport of user data (IP, non-IP or SMS) over control plane via the MME without triggering data radio bearer establishment, as defined in TS 24.301 [35].

**Control plane EDT**: Early Data Transmission used with the Control plane CIoT EPS optimisation.

**CSG member cell:** A cell broadcasting the identity of the selected PLMN, registered PLMN or equivalent PLMN and for which the CSG whitelist of the UE includes an entry comprising cell's CSG ID and the respective PLMN identity.

**Dual Connectivity**: A UE in RRC\_CONNECTED is configured with Dual Connectivity when configured with a Master and a Secondary Cell Group.

**Early Data Transmission:** Allows one uplink data transmission optionally followed by one downlink data transmission during the random access procedure as specified in TS 36.300 [9]. The S1 connection is established or resumed upon reception of the uplink data and may be released or suspended along with the transmission of the downlink data. Early data transmission refers to both CP-EDT and UP-EDT.

**E-UTRA-NR Dual Connectivity:** A form of dual connectivity in which a UE in RRC\_CONNECTED is configured with MCG cells using E-UTRA and SCG cells using NR as defined in TS 37.340 [81].

**EU-Alert:** Public Warning System that delivers Warning Notifications provided by Warning Notification Providers using the same AS mechanisms as defined for CMAS.

**Field:** The individual contents of an information element are referred as fields.

FLOOR: Mathematical function used to 'round down' i.e. to the nearest integer having a lower or equal value.

Information element: A structural element containing a single or multiple fields is referred as information element.

**Korean Public Alert System (KPAS):** Public Warning System that delivers Warning Notifications provided by Warning Notification Providers using the same AS mechanisms as defined for CMAS.

**Master Cell Group**: For a UE not configured with DC, the MCG comprises all serving cells. For a UE configured with DC, the MCG concerns a subset of the serving cells comprising of the PCell and zero or more secondary cells.

**Mixed Operation Mode:** In NB-IoT FDD, multi-carrier operation where the anchor carrier is in standalone mode while the non-anchor carrier is in inband or guardand mode, and vice versa. See TS 36.300 [9].

**MBMS service:** MBMS bearer service as defined in TS 23.246 [56] (i.e. provided via an MRB or an SC-MRB).

NB-IoT allows access to network services via E-UTRA with a channel bandwidth limited to 200 kHz.

**NB-IoT UE:** A UE that uses NB-IoT.

NCSG: Network controlled small gap as defined in TS 36.133 [16].

**NR-E-UTRA Dual Connectivity (NE-DC):** A form of dual connectivity in which a UE in RRC\_CONNECTED is configured with MCG cells using NR and SCG cells using E-UTRA as defined in TS 37.340 [81].

**Non-anchor carrier:** In NB-IoT, a carrier where the UE does not assume that NPSS/NSSS/NPBCH/SIB-NB for FDD or NPSS/NSSS/NPBCH for TDD are transmitted.

**NR** Carrier Frequency: Frequency referring to the position of resource element RE=#0 (subcarrier #0) of resource block RB#10 of the SS block.

**Primary Cell**: The cell, operating on the primary frequency, in which the UE either performs the initial connection establishment procedure or initiates the connection re-establishment procedure, or the cell indicated as the primary cell in the handover procedure.

**Primary Secondary Cell**: The SCG cell in which the UE is instructed to perform random access or initial PUSCH transmission if random access procedure is skipped when performing the SCG change procedure.

Primary Timing Advance Group: Timing Advance Group containing the PCell or the PSCell.

PUCCH SCell: An SCell configured with PUCCH.

**RLC bearer configuration:** The lower layer part of the radio bearer configuration comprising the RLC and logical channel configurations.

**Secondary Cell**: A cell, operating on a secondary frequency, which may be configured once an RRC connection is established and which may be used to provide additional radio resources. Except for the case of (NG)EN-DC, the PSCell is considered to be an SCell.

**Secondary Cell Group**: For a UE configured with DC, the subset of serving cells not part of the MCG, i.e. comprising of the PSCell and zero or more other secondary cells.

**Secondary Timing Advance Group**: Timing Advance Group neither containing the PCell nor the PSCell. A secondary timing advance group contains at least one cell with configured uplink.

**Serving Cell**: For a UE in RRC\_CONNECTED not configured with CA/ DC there is only one serving cell comprising of the primary cell. For a UE in RRC\_CONNECTED configured with CA/ DC the term 'serving cells' is used to denote the set of one or more cells comprising of the primary cell and all secondary cells.

**Sidelink**: UE to UE interface for sidelink communication, V2X sidelink communication and sidelink discovery. The sidelink corresponds to the PC5 interface as defined in TS 23.303 [68].

**Sidelink communication**: AS functionality enabling ProSe Direct Communication as defined in TS 23.303 [68], between two or more nearby UEs, using E-UTRA technology but not traversing any network node. In this version, the terminology "sidelink communication" without "V2X" prefix only concerns PS unless specifically stated otherwise.

**Sidelink discovery**: AS functionality enabling ProSe Direct Discovery as defined in TS 23.303 [68], using E-UTRA technology but not traversing any network node.

Sidelink operation: Includes sidelink communication, V2X sidelink communication and sidelink discovery.

**Split SRB**: in MR-DC, an SRB between the MN and the UE, allowing selection of either the direct path or the path via the SN as well as duplication of RRC PDUs across both paths as defined in TS 37.340 [81].

**Timing Advance Group**: A group of serving cells that is configured by RRC and that, for the cells with an UL configured, use the same timing reference cell and the same Timing Advance value. A Timing Advance Group only includes cells of the same cell group i.e. it either includes MCG cells or SCG cells.

**UE Inactive AS Context:** UE Inactive AS Context is stored when the connection is suspended and restored when the connection is resumed. It includes information as defined in clause 5.3.8.7.

**UE in CE:** Refers to a UE that is capable of using coverage enhancement, and requires coverage enhancement mode to access a cell or is configured in a coverage enhancement mode.

**User plane CIoT EPS optimisation**: Enables support for change from EMM-IDLE mode to EMM-CONNECTED mode without the need for using the Service Request procedure, as defined in TS 24.301 [35].

**User plane EDT:** Early Data Transmission used with the User plane CIoT EPS optimisation.

**V2X Sidelink communication**: AS functionality enabling V2X Communication as defined in TS 23.285 [78], between nearby UEs, using E-UTRA technology but not traversing any network node.

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1], TS 36.300 [9] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1] or TS 36.300 [9].

1xRTT CDMA2000 1x Radio Transmission Technology

AB Access Barring

ACDC Application specific Congestion control for Data Communication

ACK Acknowledgement

AILC Assistance Information bit for Local Cache

AM Acknowledged Mode

ANDSF Access Network Discovery and Selection Function

ARQ Automatic Repeat Request

AS Access Stratum

ASN.1 Abstract Syntax Notation One

AUL Autonomous Uplink
BCCH Broadcast Control Channel
BCD Binary Coded Decimal
BCH Broadcast Channel

BL Bandwidth reduced Low complexity

BLER Block Error Rate
BR Bandwidth Reduced

BR-BCCH Bandwidth Reduced Broadcast Control Channel

CA Carrier Aggregation
CBR Channel Busy Ratio
CCCH Common Control Channel
CCO Cell Change Order
CE Coverage Enhancement

CG Cell Group CIoT Cellular IoT

CMAS Commercial Mobile Alert Service

CP Control Plane

CP-EDT Control Plane EDT

C-RNTI Cell RNTI

CRS Cell-specific Reference Signal

CSFB CS fallback

CSG Closed Subscriber Group CSI Channel State Information

DC Dual Connectivity

DCCH Dedicated Control Channel
DCI Downlink Control Information
DCN Dedicated Core Networks
DFN Direct Frame Number

DL Downlink

DL-SCH Downlink Shared Channel
DRB (user) Data Radio Bearer
DRX Discontinuous Reception
DTCH Dedicated Traffic Channel
EAB Extended Access Barring

eDRX Extended DRX

EDT Early Data Transmission

EHPLMN Equivalent Home Public Land Mobile Network

eIMTA Enhanced Interference Management and Traffic Adaptation

ENB Evolved Node B

EN-DC E-UTRA NR Dual Connectivity with E-UTRAN connected to EPC

EPC Evolved Packet Core

EPDCCH Enhanced Physical Downlink Control Channel

EPS Evolved Packet System

ETWS Earthquake and Tsunami Warning System
E-UTRA Evolved Universal Terrestrial Radio Access

E-UTRA/5GC E-UTRA connected to 5GC E-UTRA/EPC E-UTRA connected to EPC

E-UTRAN Evolved Universal Terrestrial Radio Access Network

FDD Frequency Division Duplex

FFS For Further Study

GERAN GSM/EDGE Radio Access Network GNSS Global Navigation Satellite System

G-RNTI Group RNTI

GSM Global System for Mobile Communications

HARQ Hybrid Automatic Repeat Request

HFN Hyper Frame Number

HPLMN Home Public Land Mobile Network
HRPD CDMA2000 High Rate Packet Data
HSDN High Speed Dedicated Network

H-SFN Hyper SFN

IDC In-Device Coexistence IE Information element

IMEI International Mobile Equipment Identity
IMSI International Mobile Subscriber Identity

IoT Internet of Things

ISM Industrial, Scientific and Medical

kB Kilobyte (1000 bytes)

L1 Layer 1 L2 Layer 2 L3 Layer 3

LAA Licensed-Assisted Access LWA LTE-WLAN Aggregation

LWAAP LTE-WLAN Aggregation Adaptation Protocol

LWIP LTE-WLAN Radio Level Integration with IPsec Tunnel

MAC Medium Access Control

MBMS Multimedia Broadcast Multicast Service

MBSFN Multimedia Broadcast multicast service Single Frequency Network

MCG Master Cell Group

MCOT Maximum Channel Occupancy Time

MCPTT Mission Critical Push To Talk
MDT Minimization of Drive Tests
MIB Master Information Block
MO Mobile Originating

MPDCCH MTC Physical Downlink Control Channel MRB MBMS Point to Multipoint Radio Bearer

MR-DC Multi-Radio Dual Connectivity
MRO Mobility Robustness Optimisation
MSI MCH Scheduling Information

MT Mobile Terminating

MTSI Multimedia Telephony Service for IMS MUST MultiUser Superposition Transmission

N/A Not Applicable

NACC Network Assisted Cell Change

NAICS Network Assisted Interference Cancellation/Suppression

NAS Non Access Stratum

NB-IoT NarrowBand Internet of Things NE-DC NR E-UTRA Dual Connectivity

(NG)EN-DC E-UTRA NR Dual Connectivity (i.e. covering both EN-DC and NGEN-DC)

NGEN-DC E-UTRA NR Dual Connectivity with E-UTRAN connected to 5GC

NPBCH Narrowband Physical Broadcast channel

NPDCCH Narrowband Physical Downlink Control channel
NPDSCH Narrowband Physical Downlink Shared channel
NPRACH Narrowband Physical Random Access channel
NPSS Narrowband Primary Synchronization Signal
NPUSCH Narrowband Physical Uplink Shared channel

NR NR Radio Access

NRS Narrowband Reference Signal

NSSAI Network Slice Selection Assistance Information NSSS Narrowband Secondary Synchronization Signal

OS OFDM Symbol

P2X Pedestrian-to-Everything PCCH Paging Control Channel

PCell Primary Cell

PDCCH Physical Downlink Control Channel PDCP Packet Data Convergence Protocol

PDU Protocol Data Unit

PLMN Public Land Mobile Network

PMK Pairwise Master Key PO Paging Occasion posSIB Positioning SIB

ProSe Proximity based Services

PS Public Safety (in context of sidelink), Packet Switched (otherwise)

PSCell Primary Secondary Cell

PSK Pre-Shared Key

PTAG Primary Timing Advance Group PUCCH Physical Uplink Control Channel

QCI QoS Class Identifier
QoE Quality of Experience
QoS Quality of Service
RACH Random Access CHannel
RAI Release Assistance Indication
RAT Radio Access Technology

RB Radio Bearer

RCLWI RAN Controlled LTE-WLAN Integration

RLC Radio Link Control

RMTC RSSI Measurement Timing Configuration

RN Relay Node

RNA RAN-based Notification Area

RNAU RAN-based Notification Area Update RNTI Radio Network Temporary Identifier ROHC RObust Header Compression RPLMN Registered Public Land Mobile Network

RRC Radio Resource Control
RSCP Received Signal Code Power
RSRP Reference Signal Received Power
RSRQ Reference Signal Received Quality

RSS Resynchronisation signal

RSSI Received Signal Strength Indicator SAE System Architecture Evolution

SAP Service Access Point

SBAS Satellite Based Augmentation System

SC Sidelink Control
SCell Secondary Cell
SCG Secondary Cell Group
SC-MRB Single Cell MRB
SC-RNTI Single Cell RNTI

SD-RSRP Sidelink Discovery Reference Signal Received Power

SFN System Frame Number
SI System Information
SIB System Information Block
SI-RNTI System Information RNTI

SL Sidelink

SLSS Sidelink Synchronisation Signal

SMC Security Mode Control

SPDCCH Short PDCCH

SPS Semi-Persistent Scheduling SPT Short Processing Time

SPUCCH Short PUCCH
SR Scheduling Request
SRB Signalling Radio Bearer

S-RSRP Sidelink Reference Signal Received Power

SSAC Service Specific Access Control
SSTD SFN and Subframe Timing Difference
STAG Secondary Timing Advance Group
S-TMSI SAE Temporary Mobile Station Identifier

STTI Short TTI TA Tracking Area

TAG Timing Advance Group
TDD Time Division Duplex
TDM Time Division Multiplexing

TM Transparent Mode

TPC-RNTI Transmit Power Control RNTI

T-RPT Time Resource Pattern of Transmission

TTI Transmission Time Interval

TTT Time To Trigger

UDC Uplink Data Compression

UE User Equipment

UICC Universal Integrated Circuit Card

UL Uplink

UL-SCH Uplink Shared Channel UM Unacknowledged Mode

UP User Plane
UP-EDT User Plane EDT

UTC Coordinated Universal Time

UTRAN Universal Terrestrial Radio Access Network

V2X Vehicle-to-Everything

VoLTE Voice over Long Term Evolution WLAN Wireless Local Area Network

WT WLAN Termination WUS Wake-up Signal

In the ASN.1, lower case may be used for some (parts) of the above abbreviations e.g. c-RNTI.

## 4 General

## 4.1 Introduction

In this specification, (parts of) procedures and messages specified for the UE equally apply to the RN for functionality necessary for the RN. There are also (parts of) procedures and messages which are only applicable to the RN in its communication with the E-UTRAN, in which case the specification denotes the RN instead of the UE. Such RN-specific aspects are not applicable to the UE.

This specification covers MR-DC i.e. the case in which the UE is configured with resources belonging to another node using NR RAT. The NR related configuration is performed using NR RRC as specified in TS 38.331 [82].

NB-IoT is a non backward compatible variant of E-UTRAN supporting a reduced set of functionality. In this specification, (parts of) procedures and messages specified for the UE equally apply to the UE in NB-IoT. There are also some features and related procedures and messages that are not supported by UEs in NB-IoT.

In particular, the following features are not supported in NB-IoT and corresponding procedures and messages do not apply to the UE in NB-IoT:

- Connected mode mobility (Handover and measurement reporting);
- Inter-RAT cell reselection or inter-RAT mobility in connected mode;
- E-UTRA connected to 5GC;
- RRC\_INACTIVE;
- CSG;
- Relay Node (RN);
- Carrier Aggregation (CA);
- Dual connectivity (DC);
- Multi-Radio Dual Connectivity (MR-DC);
- PDCP duplication;
- GBR (QoS);
- ACB, EAB, SSAC and ACDC;
- MBMS, except for MBMS via SC-PTM in Idle mode;
- Self-configuration and self-optimisation;
- Measurement logging and reporting for network performance optimisation;
- Public warning systems e.g. CMAS, ETWS and PWS;
- Broadcast of positioning assistance data;
- Real time services (including emergency call);
- CS services and CS fallback;
- In-device coexistence:
- RAN assisted WLAN interworking;
- Network-assisted interference cancellation/suppression;
- Sidelink (including direct communication and direct discovery).

NOTE: In regard to mobility, NB-IoT is a separate RAT from E-UTRAN.

In this specification, there are also (parts of) procedures and messages which are only applicable to UEs in NB-IoT, in which case this is stated explicitly.

This specification is organised as follows:

- clause 4.2 describes the RRC protocol model;
- clause 4.3 specifies the services provided to upper layers as well as the services expected from lower layers;
- clause 4.4 lists the RRC functions;
- clause 5 specifies RRC procedures, including UE state transitions;
- clause 6 specifies the RRC message in a mixed format (i.e. tabular & ASN.1 together);
- clause 7 specifies the variables (including protocol timers and constants) and counters to be used by the UE;
- clause 8 specifies the encoding of the RRC messages;
- clause 9 specifies the specified and default radio configurations;
- clause 10 specifies the RRC messages transferred across network nodes;
- clause 11 specifies the UE capability related constraints and performance requirements.

### 4.2 Architecture

## 4.2.1 UE states and state transitions including inter RAT

A UE is in RRC\_CONNECTED when an RRC connection has been established or in RRC\_INACTIVE (if the UE is connected to 5GC) when RRC connection is suspended. If this is not the case, i.e. no RRC connection is established, the UE is in RRC IDLE state. The RRC states can further be characterised as follows:

#### - RRC\_IDLE:

- A UE specific DRX may be configured by upper layers (not applicable for NB-IoT);
- UE controlled mobility;
- The UE:
  - Monitors a Paging channel to detect incoming calls (by CN paging), system information change, for ETWS capable UEs, ETWS notification, and for CMAS capable UEs, CMAS notification;
  - Performs neighbouring cell measurements and cell (re-)selection;
  - Acquires system information.
  - Performs logging of available measurements together with location and time for logged measurement configured UEs.
  - May perform EDT.

#### - RRC\_INACTIVE:

- A UE specific DRX may be configured by upper layers or by RRC layer;
- A RAN-based notification area is configured by RRC layer;
- The UE stores the UE Inactive AS context;
- The UE:
  - Applies RRC\_IDLE procedures unless specified otherwise;

- Monitors a Paging channel for CN paging using 5G-S-TMSI and RAN paging using fullI-RNTI;
- Performs periodic RAN-based notification area update;
- Performs RAN-based notification area update when moving out of the configured RAN-based notification area;

## - RRC\_CONNECTED:

- Transfer of unicast data to/from UE.
- At lower layers, the UE may be configured with a UE specific DRX.
- For UEs supporting CA, use of one or more SCells, aggregated with the PCell, for increased bandwidth;
- For UEs supporting DC, use of one SCG, aggregated with the MCG, for increased bandwidth;
- For UEs supporting (NG)EN-DC, option to configure one NR SCG in conjunction with the MCG for DRBs and SRBs, for improved performance (SRBs) and increased bandwidth (DRBs);
- For UEs supporting NE-DC, option to configure one SCG in conjunction with the NR MCG for DRBs and SRBs, for improved performance (SRBs) and increased bandwidth (DRBs);
- Network controlled mobility, i.e. handover and cell change order with optional network assistance (NACC) to GERAN (not applicable for NB-IoT);

#### - The UE:

- Monitors a Paging channel and/ or System Information Block Type 1 contents to detect system information change, for ETWS capable UEs, ETWS notification, and for CMAS capable UEs, CMAS notification (not applicable for BL UEs, UEs in CE and NB-IoT UEs);
- Monitors control channels associated with the shared data channel to determine if data is scheduled for it;
- Provides channel quality and feedback information (not applicable for NB-IoT);
- Performs neighbouring cell measurements and measurement reporting (not applicable for NB-IoT);
- Acquires system information (not applicable for BL UEs, UEs in CE and NB-IoT UEs).

NOTE: The term "UE is connected to 5GC" covers the scenarios that the UE is connected to 5GC and the UE is requesting to connect with 5GC.

Figure 4.2.1-1 not only provides an overview of the RRC states in E-UTRA/EPC, but also illustrates the mobility support between E-UTRA/EPC, UTRAN and GERAN.

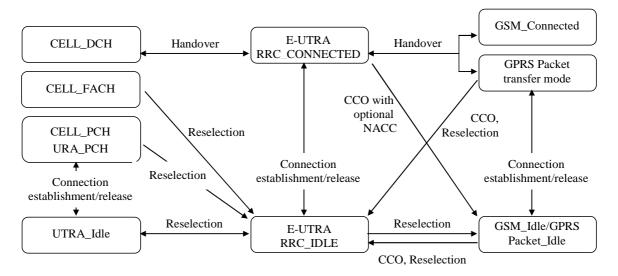


Figure 4.2.1-1: E-UTRA/EPC states and inter RAT mobility procedures, 3GPP

Figure 4.2.1-2 illustrates the mobility support between E-UTRA/EPC, CDMA2000 1xRTT and CDMA2000 HRPD. The details of the CDMA2000 state models are out of the scope of this specification.

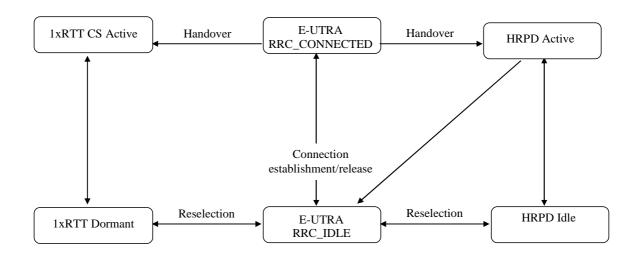


Figure 4.2.1-2: Mobility procedures between E-UTRA/EPC and CDMA2000

Figure 4.2.1-3 not only provides an overview of the RRC states in E-UTRA/5GC, but also illustrates the mobility support between E-UTRA/5GC, UTRAN and GERAN.

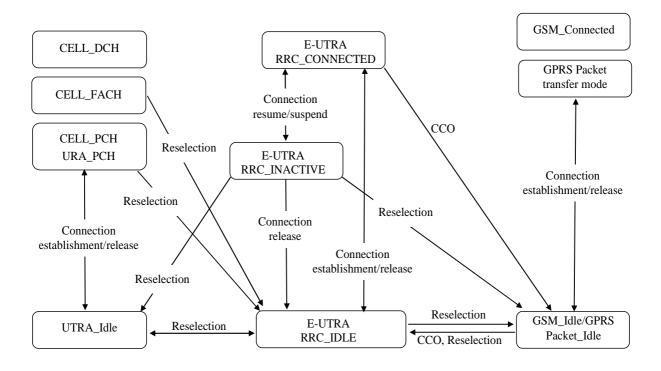


Figure 4.2.1-3: E-UTRA/5GC states and inter RAT mobility procedures, 3GPP

Figure 4.2.1-4 illustrates the mobility procedures supported between E-UTRA/5GC, CDMA2000 1xRTT and CDMA2000 HRPD. The details of the CDMA2000 state models are out of the scope of this specification.

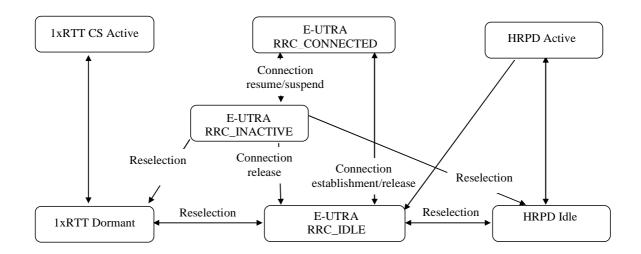


Figure 4.2.1-4: Mobility procedures between E-UTRA/5GC and CDMA2000

Figure 4.2.1-5 illustrates the mobility procedures supported between E-UTRA/5GC and E-UTRA/EPC.

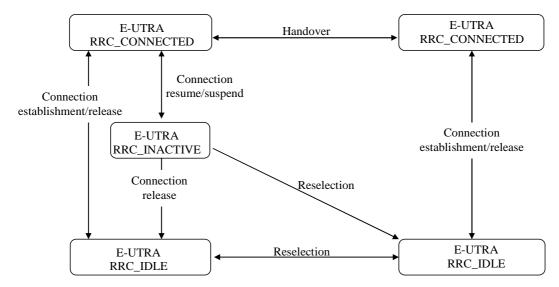


Figure 4.2.1-5: Mobility procedures between E-UTRA/5GC and E-UTRA/EPC

Figure 4.2.1-6 illustrates the mobility procedures supported between E-UTRA/EPC, E-UTRA/5GC and NR.

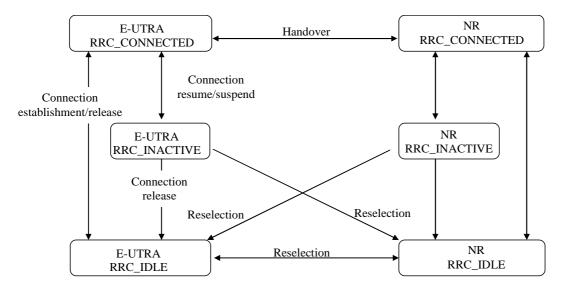


Figure 4.2.1-6: Mobility procedures between E-UTRA/EPC, E-UTRA/5GC and NR

The inter-RAT handover procedure(s) supports the case of signalling, conversational services, non-conversational services and combinations of these.

In addition to the state transitions shown in figures above, there is support for connection release with redirection information from E-UTRA RRC\_CONNECTED to GERAN, UTRAN, CDMA2000 (HRPD Idle/ 1xRTT Dormant mode) and NR. A UE in RRC\_INACTIVE enters RRC\_IDLE when it enters another RAT or switches to another CN type.

For NB-IoT, mobility between E-UTRA and UTRAN, GERAN and between E-UTRA and CDMA2000 1xRTT and CDMA2000 HRPD is not supported at AS level and hence only the E-UTRA states depicted in Figure 4.2.1-1 are applicable.

# 4.2.2 Signalling radio bearers

"Signalling Radio Bearers" (SRBs) are defined as Radio Bearers (RB) that are used only for the transmission of RRC and NAS messages. More specifically, the following SRBs are defined:

- SRB0 is for RRC messages using the CCCH logical channel;
- SRB1 is for RRC messages (which may include a piggybacked NAS message) as well as for NAS messages prior to the establishment of SRB2, all using DCCH logical channel;
- For NB-IoT, SRB1bis is for RRC messages (which may include a piggybacked NAS message) as well as for NAS messages prior to the activation of security, all using DCCH logical channel;
- SRB2 is for RRC messages which include logged measurement information as well as for NAS messages, all using DCCH logical channel. SRB2 has a lower-priority than SRB1 and is always configured by E-UTRAN after security activation. SRB2 is not applicable for NB-IoT;
- SRB4 is for RRC messages which include application layer measurement reporting information, all using DCCH logical channel. SRB4 can only be configured by E-UTRAN after security activation. SRB4 is not applicable for NB-IoT.

In downlink piggybacking of NAS messages is used only for one dependant (i.e. with joint success/ failure) procedure: bearer establishment/ modification/ release. In uplink NAS message piggybacking is used only for transferring the initial NAS message during connection setup.

NOTE 1: The NAS messages transferred via SRB2 are also contained in RRC messages, which however do not include any RRC protocol control information.

Once security is activated, all RRC messages on SRB1, SRB2 and SRB4, including those containing NAS or non-3GPP messages, are integrity protected and ciphered by PDCP. NAS independently applies integrity protection and ciphering to the NAS messages.

For a UE configured with DC, all RRC messages, regardless of the SRB used and both in downlink and uplink, are transferred via the MCG. In case of EN-DC, after connection establishment NR PDCP may be configured for both SRB1 and SRB2 and if so, these SRBs may be configured as split SRB. In case of NGEN-DC and NE-DC, NR PDCP is always configured. For a split SRB, the UE receives RRC messages via both MCG and NR SCG i.e. handles out of order and duplicate PDUs as specified in TS 38.323 [83]. For a split SRB, the network configures via which cell group(s) the UE sends uplink RRC messages.

NOTE 2: In case of (NG)EN-DC, SRB3 may be configured for the transfer of some NR RRC messages between UE and SgNB via the NR radio interface, see TS 38.331 [82].

An SRB can be configured with PDCP duplication, either by two logical channels within the same CG (CA duplication) or by two logical channels each within a different CG (DC duplication).

## 4.3 Services

# 4.3.1 Services provided to upper layers

The RRC protocol offers the following services to upper layers:

- Broadcast of common control information;
- Broadcast of positioning assistance data;
- Notification of UEs in RRC\_IDLE and RRC\_INACTIVE, e.g. about a terminating call, for ETWS, for CMAS;
- Transfer of dedicated control information, i.e. information for one specific UE.

## 4.3.2 Services expected from lower layers

In brief, the following are the main services that RRC expects from lower layers:

- PDCP: integrity protection and ciphering;
- RLC: reliable and in-sequence transfer of information, without introducing duplicates and with support for segmentation and concatenation.

Further details about the services provided by Packet Data Convergence Protocol layer (e.g. integrity and ciphering) are provided in TS 36.323 [8]. The services provided by Radio Link Control layer (e.g. the RLC modes) are specified in TS 36.322 [7]. Further details about the services provided by Medium Access Control layer (e.g. the logical channels) are provided in TS 36.321 [6]. The services provided by physical layer (e.g. the transport channels) are specified in TS 36.302 [3].

## 4.4 Functions

The RRC protocol includes the following main functions:

- Broadcast of system information:
  - Including NAS common information;
  - Information applicable for UEs in RRC\_IDLE, e.g. cell (re-)selection parameters, neighbouring cell information and information (also) applicable for UEs in RRC\_CONNECTED, e.g. common channel configuration information;
  - Including ETWS notification, CMAS notification (not applicable for NB-IoT);
  - Including positioning assistance data.
- RRC connection control:
  - Paging;
  - Establishment/ modification/ suspension / resumption / release of RRC connection, including e.g. assignment/ modification of UE identity (C-RNTI), establishment/ modification/ suspension/ resumption/ release of SRB1, SRB1bis, SRB2 and SRB4, access class barring;
  - Initial security activation, i.e. initial configuration of AS integrity protection (SRBs) and AS ciphering (SRBs, DRBs);
  - For RNs, configuration of AS integrity protection for DRBs;
  - RRC connection mobility including e.g. intra-frequency and inter-frequency handover, associated security handling, i.e. key/ algorithm change, specification of RRC context information transferred between network nodes;

NOTE 1: In NB-IoT, only key change (but no re-keying) at RRC Connection Resumption and RRC context information transfer are applicable.

- Establishment/ modification/ release of RBs carrying user data (DRBs);
- Radio configuration control including e.g. assignment/ modification of ARQ configuration, HARQ configuration, DRX configuration;
- For RNs, RN-specific radio configuration control for the radio interface between RN and E-UTRAN;
- In case of CA, cell management including e.g. change of PCell, addition/ modification/ release of SCell(s) and addition/modification/release of STAG(s);
- In case of DC, cell management including e.g. change of PSCell, addition/ modification/ release of SCG cell(s) and addition/modification/release of SCG TAG(s).

- In case of (NG)EN-DC, transparent transfer of NR RRC messages (e.g. DL: reconfiguration messages used to add or modify the NR SCG configuration or to (re-)configure measurements; UL: measurement reports and reconfiguration complete messages) and of configurations of radio bearers using NR PDCP.
- QoS control including assignment/ modification of semi-persistent scheduling (SPS) configuration information for DL and UL, assignment/ modification of parameters for UL rate control in the UE, i.e. allocation of a priority and a prioritised bit rate (PBR) for each RB (not applicable for NB-IoT);
- Recovery from radio link failure;
- In case of LWA, RCLWI and LWIP, WLAN mobility set management including e.g. addition/ modification/ release of WLAN(s) from the WLAN mobility set;
- Inter-RAT mobility including e.g. security activation, transfer of RRC context information (not applicable for NB-IoT);
- Measurement configuration and reporting (not applicable for NB-IoT):
  - Establishment/ modification/ release of measurements (e.g. intra-frequency, inter-frequency and inter- RAT measurements);
  - Setup and release of measurement gaps;
  - Measurement reporting;
- Other functions including e.g. transfer of dedicated NAS information and non-3GPP dedicated information, transfer of UE radio access capability information, support for E-UTRAN sharing (multiple PLMN identities);
- Generic protocol error handling;
- Support of self-configuration and self-optimisation (not applicable for NB-IoT);
- Support of measurement logging and reporting for network performance optimisation, as specified in TS 37.320 [60] (not applicable for NB-IoT);

NOTE 2: Random access is specified entirely in the MAC including initial transmission power estimation.

# 4.5 Data available for transmission for NB-IoT

For the purpose of MAC Data Volume and Power Headroom reporting, the NB-IoT UE shall consider the following as data available for transmission in the RRC layer:

- For SDUs to be submitted to lower layers:
  - the SDU itself, if the SDU has not yet been processed by RRC, or
  - the PDU if the SDU has been processed by RRC; or
- The data available for transmission in upper layers not submitted to the RRC layer.

# 5 Procedures

## 5.1 General

### 5.1.1 Introduction

The procedural requirements are structured according to the main functional areas: system information (5.2), connection control (5.3), inter-RAT mobility (5.4) and measurements (5.5). In addition, clause 5.6 covers other aspects e.g. NAS dedicated information transfer, UE capability transfer, clause 5.7 specifies the generic error handling, clause 5.8 covers MBMS (i.e. MBMS service reception via MRB), clause 5.8a covers SC-PTM (i.e. MBMS service reception via SC-MRB), clause 5.9 covers RN-specific procedures and clause 5.10 covers sidelink.

For NB-IoT, only a subset of the above procedural requirements applies: system information (5.2), connection control (5.3), some part of other aspects (5.6), general error handling (5.7), and SC-PTM (5.8a). Clauses inter-RAT mobility (5.4), measurements (5.5), MBMS (5.8), RN procedures (5.9) and Sidelink (5.10) are not applicable in NB-IoT.

# 5.1.2 General requirements

The UE shall:

- 1> process the received messages in order of reception by RRC, i.e. the processing of a message shall be completed before starting the processing of a subsequent message;
- NOTE 1: E-UTRAN may initiate a subsequent procedure prior to receiving the UE's response of a previously initiated procedure.
- 1> within a clause execute the steps according to the order specified in the procedural description;
- 1> consider the term 'radio bearer' (RB) to cover SRBs and DRBs but not MRBs or SC-MRBs unless explicitly stated otherwise;
- 1> set the *rrc-TransactionIdentifier* in the response message, if included, to the same value as included in the received RRC message that triggered the response message;
- 1> upon receiving a choice value set to *setup*:
  - 2> apply the corresponding received configuration and start using the associated resources, unless explicitly specified otherwise;
- 1> upon receiving a choice value set to *release*:
  - 2> clear the corresponding configuration and stop using the associated resources;

NOTE 1a: Following receipt of choice value set to release, the UE considers the field as if it was never configured.

- 1> upon handover to E-UTRA; or
- 1> upon receiving an RRCConnectionReconfiguration message including the fullConfig:
  - 2> apply the Conditions in the ASN.1 for inclusion of the fields for the DRB/PDCP/RLC setup during the reconfiguration of the DRBs included in the *drb-ToAddModList*;
- NOTE 2: At each point in time, the UE keeps a single value for each field except for during handover when the UE temporarily stores the previous configuration so it can revert back upon handover failure. In other words: when the UE reconfigures a field, the existing value is released except for during handover.
- NOTE 3: Although not explicitly stated, the UE initially considers all functionality to be deactivated/released until it is explicitly stated that the functionality is setup/activated. Correspondingly, the UE initially considers lists to be empty e.g. the list of radio bearers, the list of measurements.
- 1> upon receiving an extension field comprising the entries in addition to the ones carried by the original field (regardless of whether E-UTRAN may signal more entries in total); apply the following generic behaviour if explicitly stated to be applicable:
  - 2> create a combined list by concatenating the additional entries included in the extension field to the original field while maintaining the order among both the original and the additional entries;
  - 2> for the combined list, created according to the previous, apply the same behaviour as defined for the original field;
- NOTE 4: A field comprising a list of entries normally includes 'list' in the field name. The typical way to extend (the size of) such a list is to introduce a field comprising the additional entries, which should include 'listExt' in the name of the field/ IE. E.g. field1List-RAT, field1ListExt-RAT.
- 1> consider the term DC to cover the case of an E-UTRA MCG and SCG; Likewise, MCG covers the case of an E-UTRA MCG, SCG covers the case of an E-UTRA SCG, serving cell covers the case of an E-UTRA serving cell, PDCP covers the case of PDCP defined by E-UTRA specifications;

NOTE 5: In this specification, UE configuration refers to the parameters configured by E-UTRA RRC unless stated otherwise. Likewise, when a procedure is mentioned, this concerns the procedure defined by E-UTRA RRC unless stated otherwise.

# 5.1.3 Requirements for UE in MR-DC

In this specification, the UE considers itself to be configured with;

- EN-DC if and only if it is configured with nr-SecondaryCellGroupConfig and it is connected to EPC,
- NGEN-DC if and only if it is configured with nr-SecondaryCellGroupConfig and it is connected to 5GC,
- NE-DC if and only if it is configured with *mrdc-SecondaryCellGroup* set to *eutra-SCG* according to TS 38.331[82],
- MR-DC if and only if it is configured with (NG)EN-DC or NE-DC.
- NOTE 1: The above deviates from the definition in TS 37.340 [81] (and some other specifications) i.e. according to TS 37.340 [81] a UE that is not configured with an SCG is in MR-DC when one or more bearers are terminated in the secondary node (i.e. using NR PDCP).

NOTE 2: MR-DC includes NR-DC, but that option is not relevant for this specification.

The UE configured with NE-DC only executes a subclause of clause 5 from this specification when the concerned subclause:

- is referrenced from a subclause, either in this specification or in TS 38.331 [82], that is executed by the UE; or
- covers actions upon (re-)configuration of field(s), IE(s), UE variable(s) or timer(s) applicable for NE-DC;

When executing a subclause of clause 5 in this specification, the UE also follows the related general requirements as defined in clause 5.1.2 and other subclauses of this specification e.g. message processing delay requirements.

# 5.2 System information

#### 5.2.1 Introduction

#### 5.2.1.1 General

System information is divided into the *MasterInformationBlock* (MIB) and a number of *SystemInformationBlocks* (SIBs) and *SystemInformationBlockPos* (posSIBs). The MIB includes a limited number of most essential and most frequently transmitted parameters that are needed to acquire other information from the cell, and is transmitted on BCH. SIBs other than *SystemInformationBlockType1* and posSIBs are carried in *SystemInformation* (SI) messages. The mapping of SIBs and posSIBs to SI messages is flexibly configurable by *schedulingInfoList* and *posSchedulingInfoList*, respectively, included in *SystemInformationBlockType1*, with restrictions that: each SIB is contained only in a single SI message and each SIB and posSIB is contained at most once in that SI message; only SIBs and posSIBs having the same scheduling requirement (periodicity) can be mapped to the same SI message; *SystemInformationBlockType2* is always mapped to the SI message that corresponds to the first entry in the list of SI messages in *schedulingInfoList*. There may be multiple SI messages transmitted with the same periodicity. *SystemInformationBlockType1* and all SI messages are transmitted on DL-SCH.

The Bandwidth reduced Low Complexity (BL) UEs and UEs in Coverage Enhancement (CE) apply Bandwidth Reduced (BR) version of the SIB, posSIB or SI messages. A UE considers itself in enhanced coverage as specified in TS 36.304 [4]. In this and subsequent clauses, anything applicable for a particular SIB, posSIB or SI message equally applies to the corresponding BR version unless explicitly stated otherwise.

For NB-IoT, a reduced set of system information block with similar functionality but different content is defined; the UE applies the NB-IoT (NB) version of the MIB and the SIBs. These are denoted *MasterInformationBlock-NB*, *MasterInformationBlock-TDD-NB and SystemInformationBlockTypeX-NB* in this specification. All other system information blocks (without NB suffix) are not applicable to NB-IoT; this is not further stated in the corresponding text.

NOTE 1: The physical layer imposes a limit to the maximum size a SIB can take. When DCI format 1C is used the maximum allowed by the physical layer is 1736 bits (217 bytes) while for format 1A the limit is 2216 bits (277 bytes), see TS 36.212 [22] and TS 36.213 [23]. For BL UEs and UEs in CE, the maximum SIB and SI message size is 936 bits, see TS 36.213 [23]. For NB-IoT, the maximum SIB and SI message size is 680 bits, see TS 36.213 [23].

In addition to broadcasting, E-UTRAN may provide *SystemInformationBlockType1* and/or *SystemInformationBlockType2*, including the same parameter values, via dedicated signalling i.e., within an *RRCConnectionReconfiguration* message.

The UE applies the system information acquisition and change monitoring procedures for the PCell, except when being a BL UE or a UE in CE or a NB-IoT UE in RRC\_CONNECTED mode while T311 is not running. For an SCell, E-UTRAN provides, via dedicated signalling, all system information relevant for operation in RRC\_CONNECTED when adding the SCell. However, a UE that is configured with DC shall aquire the *MasterInformationBlock* of the PSCell but use it only to determine the SFN timing of the SCG, which may be different from the MCG. Upon change of the relevant system information of a configured SCell, E-UTRAN releases and subsequently adds the concerned SCell, which may be done with a single *RRCConnectionReconfiguration* message. If the UE is receiving or interested to receive an MBMS service in a cell, the UE shall apply the system information acquisition and change monitoring procedure to acquire parameters relevant for MBMS operation and apply the parameters acquired from system information only for MBMS operation for this cell.

NOTE 2: E-UTRAN may configure via dedicated signalling different parameter values than the ones broadcast in the concerned SCell.

In MBMS-dedicated cell, non-MBSFN subframes are used for providing *MasterInformationBlock-MBMS* (MIB-MBMS) and *SystemInformationBlockType1-MBMS*. SIBs other than *SystemInformationBlockType1-MBMS* are carried in *SystemInformation-MBMS* message which is also provided on non-MBSFN subframes.

An RN configured with an RN subframe configuration does not need to apply the system information acquisition and change monitoring procedures. Upon change of any system information relevant to an RN, E-UTRAN provides the system information blocks containing the relevant system information to an RN configured with an RN subframe configuration via dedicated signalling using the *RNReconfiguration* message. For RNs configured with an RN subframe configuration, the system information contained in this dedicated signalling replaces any corresponding stored system information and takes precedence over any corresponding system information acquired through the system information acquired through the system information acquired through the system information acquired until overridden.

NOTE 3: E-UTRAN may configure an RN, via dedicated signalling, with different parameter values than the ones broadcast in the concerned cell.

### 5.2.1.2 Scheduling

The MIB uses a fixed schedule with a periodicity of 40 ms and repetitions made within 40 ms. The first transmission of the MIB is scheduled in subframe #0 of radio frames for which the SFN mod 4 = 0, and repetitions are scheduled in subframe #0 of all other radio frames. For TDD/FDD system with a bandwidth larger than 1.4 MHz that supports BL UEs or UEs in CE, MIB transmission may additionally be repeated in subframe#0 of the same radio frame, and in subframe#9 of the previous radio frame for FDD and subframe #5 of the same radio frame for TDD.

NOTE: The UE may assume the scheduling of MIB repetitions does not change. E-UTRAN may indicate in *MobilityControlInfo* whether optional MIB repetitions are enabled or not.

The MIB-MBMS uses a fixed schedule with a periodicity of 160 ms and repetitions made within 160 ms. The first transmission of the MIB-MBMS is scheduled in subframe #0 of radio frames for which the SFN mod 16 = 0, and repetitions are scheduled in subframe #0 of all other radio frames for which the SFN mod 4 = 0.

The SystemInformationBlockType1 uses a fixed schedule with a periodicity of 80 ms and repetitions made within 80 ms. The first transmission of SystemInformationBlockType1 is scheduled in subframe #5 of radio frames for which the SFN mod 8 = 0, and repetitions are scheduled in subframe #5 of all other radio frames for which SFN mod 2 = 0.

For BL UEs or UEs in CE, MIB is applied which may be provided with additional repetitions, while for SIB1 and further SI messages, separate messages are used which are scheduled independently and with content that may differ. The separate instance of SIB1 is named as *SystemInformationBlockType1-BR*. The *SystemInformationBlockType1-BR* uses a schedule with a periodicity of 80ms. TBS for *SystemInformationBlockType1-BR* and the repetitions made within 80ms are indicated via *schedulingInfoSIB1-BR* in MIB or optionally in the *RRCConnectionReconfiguration* message including the *MobilityControlInfo*.

The *SystemInformationBlockType1-MBMS* uses fixed schedule with a periodicity of 160 ms. The first transmission of *SystemInformationBlockType1-MBMS* is scheduled in subframe #0 of radio frames for which the SFN mod 16 = 0, and repetitions are scheduled in subframe #0 of all other radio frames for which SFN mod 8 = 0. Additionally, the *SystemInformationBlockType1-MBMS* and other system informations blocks may be scheduled in additional non-MBSFN subframes indicated in *MasterInformationBlock-MBMS*.

The SI messages are transmitted within periodically occurring time domain windows (referred to as SI-windows) using dynamic scheduling. Each SI message is associated with a SI-window and the SI-windows of different SI messages do not overlap. That is, within one SI-window only the corresponding SI is transmitted. The length of the SI-window is common for all SI messages, and is configurable. Within the SI-window, the corresponding SI message can be transmitted a number of times in any subframe other than MBSFN subframes, uplink subframes in TDD, and subframe #5 of radio frames for which SFN mod 2 = 0. The UE acquires the detailed time-domain scheduling (and other information, e.g. frequency-domain scheduling, used transport format) from decoding SI-RNTI on PDCCH (see TS 36.321 [6]). For a BL UE or a UE in CE, the detailed time/frequency domain scheduling information for the SI messages is provided in *SystemInformationBlockType1-BR*.

For UEs other than BL UE or UEs in CE SI-RNTI is used to address *SystemInformationBlockType1* as well as all SI messages. On MBMS-dedicated cell and on FeMBMS/Unicast-mixed cell, SI-RNTI with value in accordance with TS 36.321 [6] is used to address all SI messages whereas SI-RNTI with value in accordance with TS 36.321 [6] is used to address *SystemInformationBlockType1-MBMS*.

SystemInformationBlockType1 configures the SI-window length and the transmission periodicity for the SI messages.

#### 5.2.1.2a Scheduling for NB-IoT

The *MasterInformationBlock-NB* (MIB-NB) uses a fixed schedule with a periodicity of 640 ms and repetitions made within 640 ms. The first transmission of the MIB-NB is scheduled in subframe #0 of radio frames for which the SFN mod 64 = 0 and repetitions are scheduled in subframe #0 of all other radio frames. The transmissions are arranged in 8 independently decodable blocks of 80 ms duration.

The MasterInformationBlock-TDD-NB (MIB-TDD-NB) uses a fixed schedule with a periodicity of 640 ms and repetitions made within 640 ms. The first transmission of the MIB-TDD-NB is scheduled in subframe #9 of radio frames for which the SFN mod 64 = 0 and repetitions are scheduled in subframe #9 of all other radio frames. The transmissions are arranged in 8 independently decodable blocks of 80 ms duration.

The SystemInformationBlockType1-NB (SIB1-NB) uses a fixed schedule with a periodicity of 2560 ms.

For FDD, SIB1-NB transmission occurs in subframe #4 of every other frame in 16 continuous frames. The starting frame for the first transmission of the SIB1-NB is derived from the cell PCID and the number of repetitions within the 2560 ms period and repetitions are made, equally spaced, within the 2560 ms period (see TS 36.213 [23]). TBS for *SystemInformationBlockType1-NB* and the repetitions made within the 2560 ms are indicated by *schedulingInfoSIB1* field in the MIB-NB. If *additionalTransmissionSIB1* is set to TRUE in the MIB-NB, additional SIB1-NB transmission occurs in subframe #3 of the same radio frames where SIB1-NB transmission occurs with the same number of repetitions.

For TDD, SIB1-NB transmission on the anchor carrier occurs in either subframe #0 or subframe #4 of every other frame in 16 continuous frames and SIB1-NB transmission on a non-anchor carrier occurs in subframe #0 and next in subframe #5 of every other frame in 16 continuous frames. The starting frame for the first transmission of the SIB1-NB is derived from the cell PCID and the number of repetitions within the 2560 ms period and repetitions are made, equally spaced, within the 2560 ms period (see TS 36.213 [23]). TBS for *SystemInformationBlockType1-NB*, the repetitions made within the 2560 ms, and the subframe index (#0 or #4) are indicated by *schedulingInfoSIB1* field in the MIB-TDD-NB.

The SI messages are transmitted within periodically occurring time domain windows (referred to as SI-windows) using scheduling information provided in *SystemInformationBlockType1-NB*. Each SI message is associated with a SI-window and the SI-windows of different SI messages do not overlap. That is, within one SI-window only the corresponding SI is transmitted. The length of the SI-window is common for all SI messages, and is configurable.

Within the SI-window, the corresponding SI message can be transmitted a number of times over 2 or 8 consecutive NB-IoT downlink subframes depending on TBS. The UE acquires the detailed time/frequency domain scheduling information and other information, e.g. used transport format for the SI messages from *schedulingInfoList* field in *SystemInformationBlockType1-NB*. The UE is not required to accumulate several SI messages in parallel but may need to accumulate a SI message across multiple SI windows, depending on coverage condition.

SystemInformationBlockType1-NB configures the SI-window length and the transmission periodicity for all SI messages.

### 5.2.1.3 System information validity and notification of changes

Change of system information (other than for ETWS, CMAS and EAB parameters and other than for AB parameters for NB-IoT) only occurs at specific radio frames, i.e. the concept of a modification period is used. System information may be transmitted a number of times with the same content within a modification period, as defined by its scheduling. The modification period boundaries are defined by SFN values for which SFN mod m= 0, where m is the number of radio frames comprising the modification period. The modification period is configured by system information. If H-SFN is provided in SystemInformationBlockType1-BR, modification period boundaries for BL UEs and UEs in CE are defined by SFN values for which (H-SFN \* 1024 + SFN) mod m=0. For NB-IoT, H-SFN is always provided and the modification period boundaries are defined by SFN values for which (H-SFN \* 1024 + SFN) mod m=0.

To enable system information update notification for RRC\_IDLE UEs configured to use a DRX cycle longer than the modification period, an eDRX acquisition period is defined. The boundaries of the eDRX acquisition period are determined by H-SFN values for which H-SFN mod 256 =0. For NB-IoT, the boundaries of the eDRX acquisition period are determined by H-SFN values for which H-SFN mod 1024 =0.

NOTE 1: If the UE in RRC\_IDLE is configured to use extended DRX cycle, e.g., in the order of several minutes or longer, in case the eNB is reset the UE SFN may not be synchronized to the new eNB SFN. The UE is expected to recover, e.g., acquire MIB within a reasonable time, to avoid repeated paging failures.

When the network changes (some of the) system information, it first notifies the UEs about this change, i.e. this may be done throughout a modification period. In the next modification period, the network transmits the updated system information. These general principles are illustrated in figure 5.2.1.3-1, in which different colours indicate different system information. Upon receiving a change notification, the UE not configured to use a DRX cycle that is longer than the modification period acquires the new system information immediately from the start of the next modification period. Upon receiving a change notification applicable to eDRX, a UE in RRC\_IDLE configured to use a DRX cycle that is longer than the modification period acquires the updated system information immediately from the start of the next eDRX acquisition period. The UE applies the previously acquired system information until the UE acquires the new system information. The possible boundaries of modification for *SystemInformationBlockType1-BR* are defined by SFN values for which SFN mod 512 = 0 except for notification of ETWS/CMAS for which the eNB may change *SystemInformationBlockType1-BR* content at any time. For NB-IoT, the possible boundaries of modification for *SystemInformationBlockType1-NB* are defined by SFN values for which (H-SFN \* 1024 + SFN) mod 4096 = 0.

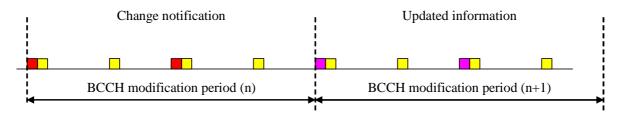


Figure 5.2.1.3-1: Change of system Information

The *Paging* message is used to inform UEs in RRC\_IDLE and UEs in RRC\_CONNECTED about a system information change. If the UE is in RRC\_CONNECTED or is not configured to use a DRX cycle longer than the modification period in RRC\_IDLE, and receives a *Paging* message including the *systemInfoModification*, it knows that the system information will change at the next modification period boundary. A UE in RRC\_IDLE that is configured to use a DRX cycle longer than the modification period, and receives in an eDRX acquisition period at least one *Paging* message including the *systemInfoModification-eDRX*, shall acquire the updated system information at the next eDRX acquisition period boundary. Although the UE may be informed about changes in system information, no further details are provided e.g. regarding which system information will change, except if *systemInfoValueTagSI* is received by BL UEs or UEs in CE.

In RRC\_CONNECTED, BL UEs or UEs in CE or NB-IoT UEs are not required to acquire system information except when T311 is running or upon handover where the UE is only required to acquire the *MasterInformationBlock* in the target PCell. In RRC\_IDLE, E-UTRAN may notify BL UEs or UEs in CE or NB-IoT UEs about SI update, and except for NB-IoT, ETWS and CMAS notification and EAB modification, using Direct Indication information, as specified in 6.6 (or 6.7.5 in NB-IoT) and TS 36.212 [22].

NOTE 2: Upon system information change essential for BL UEs, UEs in CE, or NB-IoT UEs in RRC\_CONNECTED, E-UTRAN may initiate connection release.

SystemInformationBlockType1 (or MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB in NB-IoT) includes a value tag systemInfoValueTag, that indicates if a change has occurred in the SI messages. UEs may use systemInfoValueTag, e.g. upon return from out of coverage, to verify if the previously stored SI messages are still valid. MasterInformationBlock (using systemInfoUnchanged-BR) and RSS (if transmitted) may indicate that a change has not occurred in the SIB1-BR and SI messages of the current cell at least over the SI validity time, and the BL UEs or UEs in CE may use systemInfoUnchanged-BR or RSS, e.g. upon return from out of coverage, to verify if the previously stored SIB1-BR and SI messages are still valid. Additionally, for other than BL UEs or UEs in CE or NB-IoT UEs, the UE considers stored system information to be invalid after 3 hours from the moment it was successfully confirmed as valid, unless specified otherwise. BL UE or UE in CE considers stored system information to be invalid after 24 hours from the moment it was successfully confirmed as valid, unless the UE is configured by parameter si-ValidityTime to consider stored system information to be invalid after 24 hours from the moment it was successfully confirmed as valid. If a BL UE, UE in CE or NB-IoT UE in RRC\_CONNECTED state considers the stored system information invalid, the UE shall continue using the stored system information while in RRC\_CONNECTED state in the serving cell.

For BL UEs or UEs in CE or NB-IoT UEs, the change of specific SI message can additionally be indicated by a SI message specific value tag <code>systemInfoValueTagSI</code>. If <code>systemInfoValueTag</code> included in the <code>SystemInformationBlockType1-BR</code> (or <code>MasterInformationBlock-NB/MasterInformationBlock-TDD-NB</code> in NB-IoT) is different from the one of the stored system information and if <code>systemInfoValueTagSI</code> is included in the <code>SystemInformationBlockType1-BR</code> (or <code>SystemInformationBlockType1-NB</code> in NB-IoT) for a specific SI message and is different from the stored one, the UE shall consider this specific SI message to be invalid. If only <code>systemInfoValueTag</code> is included and is different from the stored one, the BL UE or UE in CE should consider any stored system information except <code>SystemInformationBlockType10</code>, <code>SystemInformationBlockType11</code>, <code>SystemInformationBlockType12</code> and <code>SystemInformationBlockType14</code> to be invalid; the NB-IoT UE should consider any stored system information except <code>SystemInformationBlockType14-NB</code> to be invalid.

On MBMS-dedicated cell and on FeMBMS/Unicast-mixed cell, the change of system information and ETWS/CMAS notification is indicated by using Direct Indication FeMBMS defined in 6.6a. The modification periodicity follows MCCH modification periodicity as defined in 5.8.1.3.

E-UTRAN may not update *systemInfoValueTag* upon change of some system information e.g. ETWS information, CMAS information, regularly changing parameters like time information (*SystemInformationBlockType8*, *SystemInformationBlockType16*, *hyperSFN-MSB* in *SystemInformationBlockType1-NB*), EAB and AB parameters, or positioning system information blocks. Similarly, E-UTRAN may not include the *systemInfoModification* within the *Paging* message upon change of some system information.

The UE that is not configured to use a DRX cycle longer than the modification period verifies that stored system information remains valid by either checking <code>systemInfoValueTag</code> in <code>SystemInformationBlockType1</code> (or <code>MasterInformationBlock-NB/MasterInformationBlock-TDD-NB</code> in NB-IoT) after the modification period boundary, or attempting to find the <code>systemInfoModification</code> indication at least <code>modificationPeriodCoeff</code> times during the modification period in case no paging is received, in every modification period. If no paging message is received by the UE during a modification period, the UE may assume that no change of system information will occur at the next modification period boundary. If UE in RRC\_CONNECTED, during a modification period, receives one paging message, it may deduce from the presence/ absence of <code>systemInfoModification</code> whether a change of system information other than ETWS information, CMAS information and EAB parameters will occur in the next modification period or not.

When the RRC\_IDLE UE is configured with a DRX cycle that is longer than the modification period, and at least one modification period boundary has passed since the UE last verified validity of stored system information, the UE verifies that stored system information remains valid by checking the *systemInfoValueTag* before establishing or resuming an RRC connection.

ETWS and/or CMAS capable UEs in RRC\_CONNECTED, other than BL UEs and UEs in CE, shall attempt to read paging at least once every *defaultPagingCycle* to check whether ETWS and/or CMAS notification is present or not.

#### 5.2.1.4 Indication of ETWS notification

ETWS primary notification and/ or ETWS secondary notification can occur at any point in time. The *Paging* message is used to inform ETWS capable UEs in RRC\_IDLE and UEs in RRC\_CONNECTED about presence of an ETWS primary notification and/ or ETWS secondary notification. If the UE receives a *Paging* message including the *etws-Indication*, it shall start receiving the ETWS primary notification and/ or ETWS secondary notification according to

schedulingInfoList contained in SystemInformationBlockType1. If the UE receives Paging message including the etws-Indication while it is acquiring ETWS notification(s), the UE shall continue acquiring ETWS notification(s) based on the previously acquired schedulingInfoList until it re-acquires schedulingInfoList in SystemInformationBlockType1.

NOTE: The UE is not required to periodically check *schedulingInfoList* contained in *SystemInformationBlockType1*, but *Paging* message including the *etws-Indication* triggers the UE to reacquire *schedulingInfoList* contained in *SystemInformationBlockType1* for scheduling changes for *SystemInformationBlockType10* and *SystemInformationBlockType11*. The UE may or may not receive a *Paging* message including the *etws-Indication* and/or *systemInfoModification* when ETWS is no longer scheduled.

ETWS primary notification is contained in *SystemInformationBlockType10* and ETWS secondary notification is contained in *SystemInformationBlockType11*. Segmentation can be applied for the delivery of a secondary notification. The segmentation is fixed for transmission of a given secondary notification within a cell (i.e. the same segment size for a given segment with the same *messageIdentifier*, *serialNumber* and *warningMessageSegmentNumber*). An ETWS secondary notification corresponds to a single *CB data* IE as defined according to TS 23.041 [37].

#### 5.2.1.5 Indication of CMAS notification

CMAS notification can occur at any point in time. The *Paging* message is used to inform CMAS capable UEs in RRC\_IDLE and UEs in RRC\_CONNECTED about presence of one or more CMAS notifications. If the UE receives a *Paging* message including the *cmas-Indication*, it shall start receiving the CMAS notifications according to *schedulingInfoList* contained in *SystemInformationBlockType1*. If the UE receives *Paging* message including the *cmas-Indication* while it is acquiring CMAS notification(s), the UE shall continue acquiring CMAS notification(s) based on the previously acquired *schedulingInfoList* until it re-acquires *schedulingInfoList* in *SystemInformationBlockType1*.

NOTE: The UE is not required to periodically check *schedulingInfoList* contained in *SystemInformationBlockType1*, but *Paging* message including the *cmas-Indication* triggers the UE to reacquire *schedulingInfoList* contained in *SystemInformationBlockType1* for scheduling changes for *SystemInformationBlockType12*. The UE may or may not receive a *Paging* message including the *cmas-Indication* and/or *systemInfoModification* when *SystemInformationBlockType12* is no longer scheduled.

CMAS notification is contained in *SystemInformationBlockType12*. A CMAS notification corresponds to a single *CB data* IE as defined according to TS 23.041 [37]. A CMAS notification may optionally have associated warning area coordinates. Segmentation can be applied for the delivery of a CMAS notification and, if present, the associated warning area coordinates. The segmentation is fixed for transmission of a given CMAS notification and, if present, any associated warning area coordinates within a cell (i.e. the same segment size for a given segment with the same *messageIdentifier*, *serialNumber* and *warningMessageSegmentNumber*). E-UTRAN does not interleave transmissions of CMAS notifications, i.e. all segments of a given CMAS notification transmission are transmitted prior to those of another CMAS notification.

### 5.2.1.6 Notification of EAB parameters change

Change of EAB parameters can occur at any point in time. The EAB parameters are contained in *SystemInformationBlockType14*. The *Paging* message is used to inform EAB capable UEs in RRC\_IDLE about a change of EAB parameters or that *SystemInformationBlockType14* is no longer scheduled. If the UE receives a *Paging* message including the *eab-ParamModification*, it shall acquire *SystemInformationBlockType14* according to *schedulingInfoList* contained in *SystemInformationBlockType1*. If the UE receives a *Paging* message including the *eab-ParamModification* while it is acquiring *SystemInformationBlockType14*, the UE shall continue acquiring *SystemInformationBlockType14* based on the previously acquired *schedulingInfoList* until it re-acquires *schedulingInfoList* in *SystemInformationBlockType1*.

NOTE: The EAB capable UE is not expected to periodically check *schedulingInfoList* contained in *SystemInformationBlockType1*.

#### 5.2.1.7 Access Barring parameters change in NB-IoT

Change of Access Barring (AB) parameters can occur at any point in time. The AB parameters are contained in *SystemInformationBlockType14-NB*. Update of the AB parameters does not impact the *systemInfoValueTag* in the *MasterInformationBlock-NB/MasterInformationBlock-TDD-NB* or the *systemInfoValueTagSI* in *SystemInformationBlockType1-NB*.

If *SystemInformationBlockType14-NB* is scheduled, a NB-IoT UE is required to acquire *MasterInformationBlock-NB/MasterInformationBlock-TDD-NB* before initiating RRC connection establishment / resume for all access causes except mobile terminating calls to check *ab-Enabled* indication. If access barring is enabled the UE shall not initiate the RRC connection establishment / resume for all access causes except mobile terminating calls until the UE has acquired the *SystemInformationBlockType14-NB*.

## 5.2.2 System information acquisition

#### 5.2.2.1 General

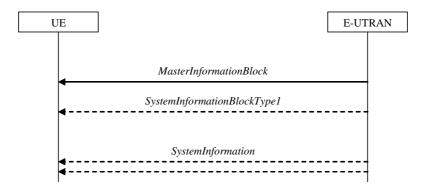


Figure 5.2.2.1-1: System information acquisition, normal

The UE applies the system information acquisition procedure to acquire the AS- and NAS- and positioning-system information that is broadcasted by the E-UTRAN. The procedure applies to UEs in RRC\_IDLE and UEs in RRC CONNECTED.

For BL UE, UE in CE and NB-IoT UE, specific conditions apply, as specified below.

#### 5.2.2.2 Initiation

The UE shall apply the system information acquisition procedure upon selecting (e.g. upon power on) and upon reselecting a cell, after handover completion, after entering E-UTRA from another RAT, upon return from out of coverage, upon receiving a notification that the system information has changed, upon receiving an indication about the presence of a CMAS notification, upon receiving a notification that the EAB parameters have changed, upon receiving a request from CDMA2000 upper layers, upon receiving a request from positioning upper layers and upon exceeding the maximum validity duration. Unless explicitly stated otherwise in the procedural specification, the system information acquisition procedure overwrites any stored system information, i.e. delta configuration is not applicable for system information and the UE discontinues using a field if it is absent in system information unless explicitly specified otherwise.

In RRC\_CONNECTED, BL UEs and UEs in CE are required to acquire system information when T311 is running or upon handover where the UE is only required to acquire the *MasterInformationBlock* in the target PCell.

NOTE: Upon handover, E-UTRAN provides system information required by the UE in RRC\_CONNECTED except MIB with RRC signalling, i.e. *systemInformationBlockType1Dedicated* and *mobilityControlInfo*.

### 5.2.2.3 System information required by the UE

The UE shall:

1> ensure having a valid version, as defined below, of (at least) the following system information, also referred to as the 'required' system information:

2> if in RRC IDLE:

3> if the UE is a NB-IoT UE:

4> the MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB and SystemInformationBlockType1-NB as well as SystemInformationBlockType2-NB through SystemInformationBlockType5-NB, SystemInformationBlockType22-NB;

#### 3> else:

4> the *MasterInformationBlock* and *SystemInformationBlockType1* (or *SystemInformationBlockType1-BR* depending on whether the UE is a BL UE or the UE in CE) as well as *SystemInformationBlockType2* through *SystemInformationBlockType8* and *SystemInformationBlockType24* (depending on support of the concerned RATs), *SystemInformationBlockType17* (depending on support of RAN-assisted WLAN interworking when the UE is connected to EPC), *SystemInformationBlockType25* (depending on support of E-UTRA/5GC);

#### 2> if in RRC\_INACTIVE:

- 3> the MasterInformationBlock and SystemInformationBlockType1 as well as SystemInformationBlockType2 through SystemInformationBlockType8 (depending on support of the concerned RATs), SystemInformationBlockType25;
- 2> if in RRC\_CONNECTED; and
- 2> the UE is not a BL UE; and
- 2> the UE is not in CE; and
- 2> the UE is not a NB-IoT UE:
  - 3> the *MasterInformationBlock*, *SystemInformationBlockType1* and *SystemInformationBlockType2* as well as *SystemInformationBlockType8* (depending on support of CDMA2000), *SystemInformationBlockType17* (depending on support of RAN-assisted WLAN interworking when the UE is connected to EPC), *SystemInformationBlockType25* (depending on support of E-UTRA/5GC);
- 2> if in RRC\_CONNECTED and T311 is running; and
- 2> the UE is a BL UE or the UE is in CE or the UE is a NB-IoT UE;
  - 3> the MasterInformationBlock (or MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB in NB-IoT), SystemInformationBlockType1-BR (or SystemInformationBlockType1-NB in NB-IoT) and SystemInformationBlockType2 (or SystemInformationBlockType2-NB in NB-IoT), and for NB-IoT SystemInformationBlockType22-NB;
- 1> delete any stored system information after 3 hours or 24 hours from the moment it was confirmed to be valid as defined in 5.2.1.3, unless specified otherwise;
- 1> consider any stored system information except SystemInformationBlockType10, SystemInformationBlockType11, systemInformationBlockType12 and systemInformationBlockType14 (systemInformationBlockType14-NB in NB-IoT) to be invalid if systemInfoValueTag included in the SystemInformationBlockType1 (MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB in NB-IoT) is different from the one of the stored system information and in case of NB-IoT UEs, BL UEs and UEs in CE, systemInfoValueTagSI is not broadcasted. Otherwise consider system information validity as defined in 5.2.1.3;

## 5.2.2.4 System information acquisition by the UE

The UE shall:

- 1> apply the specified BCCH configuration defined in 9.1.1.1 or BR-BCCH configuration defined in 9.1.1.8;
- 1> if the procedure is triggered by a system information change notification:
  - 2> if the UE uses an idle DRX cycle longer than the modification period:
    - 3> start acquiring the required system information, as defined in 5.2.2.3, from the next eDRX acquisition period boundary;

2> else

- 3> start acquiring the required system information, as defined in 5.2.2.3, from the beginning of the modification period following the one in which the change notification was received;
- NOTE 1: The UE continues using the previously received system information until the new system information has been acquired.
- 1> if the UE is in RRC\_IDLE and enters a cell for which the UE does not have stored a valid version of the system information required in RRC\_IDLE, as defined in 5.2.2.3:
  - 2> acquire, using the system information acquisition procedure as defined in 5.2.3, the system information required in RRC\_IDLE, as defined in 5.2.2.3;
- 1> following successful handover completion to a PCell for which the UE does not have stored a valid version of the system information required in RRC\_CONNECTED, as defined in 5.2.2.3:
  - 2> acquire, using the system information acquisition procedure as defined in 5.2.3, the system information required in RRC\_CONNECTED, as defined in 5.2.2.3;
  - 2> upon acquiring the concerned system information:
    - 3> discard the corresponding radio resource configuration information included in the *radioResourceConfigCommon* previously received in a dedicated message, if any;
- 1> following a request from CDMA2000 upper layers:
  - 2> acquire SystemInformationBlockType8, as defined in 5.2.3;
- 1> neither initiate the RRC connection establishment/resume procedure nor initiate transmission of the RRCConnectionReestablishmentRequest message until the UE has a valid version of the MasterInformationBlock (MasterInformationBlock-NB/ MasterInformationBlock-TDD-NB in NB-IoT) and SystemInformationBlockType1 (SystemInformationBlockType1-NB in NB-IoT) messages as well as SystemInformationBlockType2 (SystemInformationBlockType2-NB in NB-IoT), and for NB-IoT, SystemInformationBlockType22-NB;
- 1> not initiate the RRC connection establishment/resume procedure subject to EAB until the UE has a valid version of *SystemInformationBlockType14*, if broadcast;
- 1> if the UE is ETWS capable:
  - 2> upon entering a cell during RRC\_IDLE, following successful handover or upon connection re-establishment:
    - 3> discard any previously buffered warningMessageSegment;
    - 3> clear, if any, the current values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*;
  - 2> when the UE acquires *SystemInformationBlockType1* following ETWS indication, upon entering a cell during RRC\_IDLE, following successful handover or upon connection re-establishment:
    - 3> if schedulingInfoList indicates that SystemInformationBlockType10 is present:
      - 4> if the UE is in CE:
- 5> start acquiring SystemInformationBlockType10;
  - 4> else
    - 5> start acquiring *SystemInformationBlockType10* immediately;
  - 3> if schedulingInfoList indicates that SystemInformationBlockType11 is present:
    - 4> start acquiring SystemInformationBlockType11 immediately;
  - NOTE 2: UEs shall start acquiring *SystemInformationBlockType10* and *SystemInformationBlockType11* as described above even when *systemInfoValueTag* in *SystemInformationBlockType1* has not changed.
  - 1> if the UE is CMAS capable:

- 2> upon entering a cell during RRC\_IDLE, following successful handover or upon connection re-establishment:
  - 3> discard any previously buffered warningMessageSegment;
  - 3> clear, if any, stored values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType12* associated with the discarded *warningMessageSegment*;
- 2> when the UE acquires *SystemInformationBlockType1* following CMAS indication, upon entering a cell during RRC\_IDLE, following successful handover and upon connection re-establishment:
  - 3> if *schedulingInfoList* indicates that *SystemInformationBlockType12* is present:
    - 4> acquire SystemInformationBlockType12;
- NOTE 3: UEs shall start acquiring *SystemInformationBlockType12* as described above even when *systemInfoValueTag* in *SystemInformationBlockType1* has not changed.
- 1> if the UE is interested to receive MBMS services:
  - 2> if the UE is capable of MBMS reception as specified in 5.8:
    - 3> if *schedulingInfoList* indicates that *SystemInformationBlockType13* is present and the UE does not have stored a valid version of this system information block:
      - 4> acquire SystemInformationBlockType13;
    - 3> else if *SystemInformationBlockType13* is present in *SystemInformationBlockType1-MBMS* and the UE does not have stored a valid version of this system information block:
      - 4> acquire SystemInformationBlockType13 from SystemInformationBlockType1-MBMS;
  - 2> if the UE is capable of SC-PTM reception as specified in 5.8a:
    - 3> if schedulingInfoList indicates that SystemInformationBlockType20 (SystemInformationBlockType20-NB in NB-IoT) is present and the UE does not have stored a valid version of this system information block:
      - 4> acquire SystemInformationBlockType20 (SystemInformationBlockType20-NB in NB-IoT);
  - 2> if the UE is capable of MBMS Service Continuity:
    - 3> if schedulingInfoList indicates that SystemInformationBlockType15 (SystemInformationBlockType15-NB in NB-IoT) is present and the UE does not have stored a valid version of this system information block:
      - 4> acquire SystemInformationBlockType15 (SystemInformationBlockType15-NB in NB-IoT);
- 1> if the UE is EAB capable:
  - 2> when the UE does not have stored a valid version of *SystemInformationBlockType14* upon entering RRC\_IDLE, or when the UE acquires *SystemInformationBlockType1* following EAB parameters change notification, or upon entering a cell during RRC\_IDLE, or before establishing an RRC connection if using eDRX with DRX cycle longer than the modification period:
    - 3> if schedulingInfoList indicates that SystemInformationBlockType14 is present:
      - 4> start acquiring SystemInformationBlockType14 immediately;
    - 3> else:
      - 4> discard SystemInformationBlockType14, if previously received;
- NOTE 4: EAB capable UEs start acquiring *SystemInformationBlockType14* as described above even when *systemInfoValueTag* in *SystemInformationBlockType1* has not changed.
- NOTE 5: EAB capable UEs maintain an up to date SystemInformationBlockType14 in RRC\_IDLE.
- 1> if the UE is capable of sidelink communication and is configured by upper layers to receive or transmit sidelink communication:

- 2> if the cell used for sidelink communication meets the S-criteria as defined in TS 36.304 [4]; and
- 2> if *schedulingInfoList* indicates that *SystemInformationBlockType18* is present and the UE does not have stored a valid version of this system information block:
  - 3> acquire SystemInformationBlockType18;
- 1> if the UE is capable of sidelink discovery and is configured by upper layers to receive or transmit sidelink discovery announcements on the primary frequency:
  - 2> if *schedulingInfoList* of the serving cell/ PCell indicates that *SystemInformationBlockType19* is present and the UE does not have stored a valid version of this system information block:
    - 3> acquire SystemInformationBlockType19;
- 1> if the UE is capable of sidelink discovery and, for each of the one or more frequencies included in discInterFreqList, if included in SystemInformationBlockType19 and for which the UE is configured by upper layers to receive sidelink discovery announcements on:
  - 2> if SystemInformationBlockType19 of the serving cell/ PCell does not provide the corresponding reception resources; and
  - 2> if *schedulingInfoList* of the cell on the concerned frequency indicates that *SystemInformationBlockType19* is present and the UE does not have stored a valid version of this system information block:
    - 3> acquire SystemInformationBlockType19;
- 1> if the UE is capable of sidelink discovery and, for each of the one or more frequencies included in discInterFreqList, if included in SystemInformationBlockType19 and for which the UE is configured by upper layers to transmit sidelink discovery announcements on:
  - 2> if SystemInformationBlockType19 of the serving cell/ PCell includes discTxResourcesInterFreq which is set to acquireSI-FromCarrier; and
  - 2> if *schedulingInfoList* of the cell on the concerned frequency indicates that *SystemInformationBlockType19* is present and the UE does not have stored a valid version of this system information block:
    - 3> acquire SystemInformationBlockType19;
- 1> if the UE is a NB-IoT UE and if *ab-Enabled* included in *MasterInformationBlock-NB/MasterInformationBlock-TDD-NB* is set to *TRUE*:
  - 2> not initiate the RRC connection establishment/resume procedure for all access causes except mobile terminating calls until the UE has acquired the *SystemInformationBlockType14-NB*;
- 1> if the UE is capable of V2X sidelink communication and is configured by upper layers to receive or transmit V2X sidelink communication on a frequency:
  - 2> if *schedulingInfoList* on the serving cell/PCell indicates that *SystemInformationBlockType21* is present and the UE does not have stored valid version of this system information block:
    - 3> acquire SystemInformationBlockType21 from serving cell/PCell;
  - 2> if *schedulingInfoList* on the serving cell/PCell indicates that *SystemInformationBlockType26* is present and the UE does not have stored valid version of this system information block;
    - 3> acquire *SystemInformationBlockType26* from serving cell/PCell;
- 1> if the UE is capable of V2X sidelink communication and is configured by upper layers to receive V2X sidelink communication on a frequency, which is not primary frequency:
  - 2> if neither *SystemInformationBlockType21* nor *SystemInformationBlockType26* of the serving cell/ PCell provide reception resource pool for V2X sidelink communication for the concerned frequency; and
  - 2> if the cell used for V2X sidelink communication on the concerned frequency meets the S-criteria as defined in TS 36.304 [4]:

- 3> if *schedulingInfoList* on the concerned frequency indicates that *SystemInformationBlockType21* is present and the UE does not have stored a valid version of this system information block:
  - 4> acquire SystemInformationBlockType21 from the concerned frequency;
- 3> if *schedulingInfoList* on the concerned frequency indicates that *SystemInformationBlockType26* is present and the UE does not have stored a valid version of this system information block:
  - 4> acquire SystemInformationBlockType26 from the concerned frequency;
- 1> if the UE is capable of V2X sidelink communication and is configured by upper layers to transmit V2X sidelink communication on a frequency, which is not primary frequency and is not included in *v2x-InterFreqInfoList* in *SystemInformationBlockType21* nor *SystemInformationBlockType26* of the serving cell/PCell:
  - 2> if the cell used for V2X sidelink communication on the concerned frequency meets the S-criteria as defined in TS 36.304 [4]:
    - 3> if *schedulingInfoList* on the concerned frequency indicates that *SystemInformationBlockType21* is present and the UE does not have stored a valid version of this system information block:
      - 4> acquire SystemInformationBlockType21 from the concerned frequency;
    - 3> if *schedulingInfoList* on the concerned frequency indicates that *SystemInformationBlockType26* is present and the UE does not have stored a valid version of this system information block:
      - 4> acquire SystemInformationBlockType26 from the concerned frequency;
- 1> if the NB-IoT UE supports NPRACH resources using preamble format 2:
  - 2> if *schedulingInfoList* indicates that *SystemInformationBlockType23-NB* is present and the UE does not have stored a valid version of this system information block:
    - 3> acquire SystemInformationBlockType23-NB;
- 1> following a request from positioning upper layers:
  - 2> acquire SystemInformationBlockPos, as defined in 5.2.3;

The UE may apply the received SIBs or posSIBs immediately, i.e. the UE does not need to delay using a SIB or posSIB until all SI messages have been received. The UE may delay applying the received SIBs until completing lower layer procedures associated with a received or a UE originated RRC message, e.g. an ongoing random access procedure.

NOTE 6: While attempting to acquire a particular SIB/posSIB, if the UE detects from *schedulingInfoList/ posSchedulingInfoList* that it is no longer present, the UE should stop trying to acquire the particular SIB/ posSIB.

### 5.2.2.5 Essential system information missing

The UE shall:

- 1> if in RRC\_IDLE, RRC\_INACTIVE or in RRC\_CONNECTED while T311 is running:
  - 2> if the UE is unable to acquire the MasterInformationBlock (MasterInformationBlock-NB/MasterInformationBlock-TDD-NB in NB-IoT); or
  - 2> if the UE is neither a BL UE nor in CE nor in NB-IoT and the UE is unable to acquire the SystemInformationBlockType1; or
  - 2> if the BL UE or UE in CE is unable to acquire *SystemInformationBlockType1-BR* or *SystemInformationBlockType1-BR* is not scheduled; or
  - 2> if the NB-IoT UE is unable to acquire the *SystemInformationBlockType1-NB*:
    - 3> consider the cell as barred in accordance with TS 36.304 [4]; and
    - 3> perform barring as if intraFreqReselection is set to allowed, and as if the csg-Indication is set to FALSE;

2> else:

- 3> if the UE is unable to acquire the SystemInformationBlockType2 (or SystemInformationBlockType2-NB in NB-IoT) and for NB-IoT, SystemInformationBlockType22-NB if scheduled; or
- 3> if *SystemInformationBlockType25* is broadcast and if the UE is connected to 5GC and is unable to acquire the *SystemInformationBlockType25*:
  - 4> treat the cell as barred in accordance with TS 36.304 [4];

#### 5.2.2.6 Actions upon reception of the *MasterInformationBlock* message

Upon receiving the MasterInformationBlock message the UE shall:

- 1> apply the radio resource configuration included in the *phich-Config*;
- 1> if the UE is in RRC\_IDLE or if the UE is in RRC\_CONNECTED while T311 is running:
  - 2> if the UE has no valid system information stored according to 5.2.2.3 for the concerned cell:
    - 3> apply the received value of *dl-Bandwidth* to the *ul-Bandwidth* until *SystemInformationBlockType2* is received;

Upon receiving the MasterInformationBlock-NB or MasterInformationBlock-TDD-NB message the UE shall:

1> apply the radio resource configuration included in accordance with the *operationModeInfo*.

No UE requirements related to the contents of *MasterInformationBlock-MBMS* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

### 5.2.2.7 Actions upon reception of the *SystemInformationBlockType1* message

Upon receiving the *SystemInformationBlockType1* or *SystemInformationBlockType1-BR* either via broadcast or via dedicated signalling, the UE shall:

- 1> if the upper layers indicate the selected core network type as 5GC:
  - 2> if the *cellAccessRelatedInfoList-5GC* contains an entry with the *plmn-Identity* or *plmn-Index* of the selected PLMN:
    - 3> in the remainder of the procedures use *plmn-IdentityList*, *trackingAreaCode*, and *cellIdentity* for the cell as received in the corresponding *cellAccessRelatedInfoList-5GC* containing the selected PLMN;
- 1> else if the *cellAccessRelatedInfoList* contains an entry with the *PLMN-Identity* of the selected PLMN:
  - 2> in the remainder of the procedures use *plmn-IdentityList*, *trackingAreaCode*, and *cellIdentity* for the cell as received in the corresponding *cellAccessRelatedInfoList* containing the selected PLMN;
- 1> if in RRC\_IDLE or in RRC\_CONNECTED while T311 is running; and
- 1> if the UE is a category 0 UE according to TS 36.306 [5]; and
- 1> if *category0Allowed* is not included in *SystemInformationBlockType1*:
  - 2> consider the cell as barred in accordance with TS 36.304 [4];
- 1> if in RRC\_CONNECTED while T311 is not running, and the UE supports multi-band cells as defined by bit 31 in featureGroupIndicators:
  - 2> disregard the freqBandIndicator and multiBandInfoList, if received, while in RRC\_CONNECTED;
  - 2> forward the *cellIdentity* to upper layers;
  - 2> forward the *trackingAreaCode* to upper layers;

#### 1> else:

- 2> if the frequency band indicated in the *freqBandIndicator* is part of the frequency bands supported by the UE and it is not a downlink only band; or
- 2> if the UE supports multiBandInfoList, and if one or more of the frequency bands indicated in the multiBandInfoList are part of the frequency bands supported by the UE and they are not downlink only bands:
  - 3> forward the *cellIdentity* to upper layers;
  - 3> forward the *trackingAreaCode* to upper layers;
  - 3> forward the PLMN identity to upper layers;
  - 3> if in RRC\_INACTIVE and the forwarded information does not trigger message transmission by upper layers:
    - 4> if the serving cell does not belong to the configured ran-NotificationAreaInfo:
      - 5> initiate an RNA update as specified in 5.3.17.2;
  - 3> forward the *ims-EmergencySupport* to upper layers, if present;
  - 3> forward the *eCallOverIMS-Support* to upper layers, if present;
  - 3> if the UE is capable of 5G NAS:
    - 4> forward the *ims-EmergencySupport5GC* to upper layers, if present;
    - 4> forward the *eCallOverIMS-Support5GC* to upper layers, if present;
  - 3> if, for the frequency band selected by the UE (from freqBandIndicator or multiBandInfoList), the freqBandInfo or the multiBandInfoList-v10j0 is present and the UE capable of multiNS-Pmax supports at least one additionalSpectrumEmission in the NS-PmaxList within the freqBandInfo or multiBandInfoList-v10j0:
    - 4> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo* or *multiBandInfolist-v10j0*;
    - 4> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NS-PmaxList*:
      - 5> apply the *additionalPmax*;
    - 4> else:
      - 5> apply the p-Max;
  - 3> else:
    - 4> apply the additional Spectrum Emission in System Information Block Type 2 and the p-Max;
- 2> else:
  - 3> consider the cell as barred in accordance with TS 36.304 [4]; and
  - 3> perform barring as if *intraFreqReselection* is set to *notAllowed*, and as if the *csg-Indication* is set to *FALSE*;

Upon receiving the SystemInformationBlockType1-NB, the UE shall:

- 1> if the frequency band indicated in the freqBandIndicator is part of the frequency bands supported by the UE; or
- 1> if one or more of the frequency bands indicated in the *multiBandInfoList* are part of the frequency bands supported by the UE:
  - 2> forward the *cellIdentity* to upper layers;

- 2> forward the *trackingAreaCode* to upper layers;
- 2> if attachWithoutPDN-Connectivity is received for the selected PLMN:
  - 3> forward the attachWithoutPDN-Connectivity to upper layers;
- 2> else
  - 3> indicate to upper layers that attachWithoutPDN-Connectivity is not present;
- 2> if, for the frequency band selected by the UE (from freqBandIndicator or multiBandInfoList), the freqBandInfo is present and the UE capable of multiNS-Pmax supports at least one additionalSpectrumEmission in the NS-PmaxList within the freqBandInfo:
  - 3> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo*;
  - 3> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NS-PmaxList*:
    - 4> apply the additionalPmax;
  - 3> else:
    - 4> apply the *p-Max*;
- 2> else:
  - 3> apply the additional Spectrum Emission in System Information Block Type 2-NB and the p-Max;
- 1> else:
  - 2> consider the cell as barred in accordance with TS 36.304 [4]; and
  - 2> perform barring as if *intraFreqReselection* is set to *notAllowed*.

No UE requirements related to the contents of *SystemInformationBlockType1-MBMS* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

# 5.2.2.8 Actions upon reception of SystemInformation messages

No UE requirements related to the contents of the *SystemInformation* messages apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

## 5.2.2.9 Actions upon reception of *SystemInformationBlockType2*

Upon receiving SystemInformationBlockType2, the UE shall:

- 1> apply the configuration included in the *radioResourceConfigCommon*;
- 1> if in RRC\_INACTIVE:
  - 2> apply the shortest of the *ran-PagingCycle* (if configured), the (UE specific) paging cycle (if indicated by upper layers), and the *defaultPagingCycle* included in the *radioResourceConfigCommon*;
- 1> else if upper layers indicate that a (UE specific) paging cycle is configured:
  - 2> apply the shortest of the (UE specific) paging cycle and the *defaultPagingCycle* included in the *radioResourceConfigCommon*;
- 1> if the *mbsfn-SubframeConfigList* is included:
  - 2> consider that DL assignments may occur in the MBSFN subframes indicated in the *mbsfn-SubframeConfigList* under the conditions specified in TS 36.213 [23], clause 7.1;

- 1> apply the specified PCCH configuration defined in 9.1.1.3;
- 1> not apply the *timeAlignmentTimerCommon*;
- 1> if in RRC\_CONNECTED and UE is configured with RLF timers and constants values received within *rlf-TimersAndConstants*:
  - 2> not update its values of the timers and constants in *ue-TimersAndConstants* except for the value of timer T300:
- 1> if in RRC\_CONNECTED while T311 is not running; and the UE supports multi-band cells as defined by bit 31 in featureGroupIndicators or multipleNS-Pmax:
  - 2> disregard the additional Spectrum Emission and ul-Carrier Freq, if received, while in RRC\_CONNECTED;
- 1> if attachWithoutPDN-Connectivity is received for the selected PLMN:
  - 2> forward attachWithoutPDN-Connectivity to upper layers;
- 1> else:
  - 2> indicate to upper layers that attachWithoutPDN-Connectivity is not present;
- 1> if *cp-CIoT-EPS-Optimisation* is received for the selected PLMN:
  - 2> forward cp-CIoT-EPS-Optimisation to upper layers;
- 1> else:
  - 2> indicate to upper layers that *cp-CIoT-EPS-Optimisation* is not present;
- 1> if *up-CIoT-EPS-Optimisation* is received for the selected PLMN:
  - 2> forward up-CIoT-EPS-Optimisation to upper layers;
- 1> else:
  - 2> indicate to upper layers that *up-CIoT-EPS-Optimisation* is not present;
- 1> to upper layers either forward *upperLayerIndication*, if present for the selected PLMN, or otherwise indicate absence of this field;
- NOTE: *upperLayerIndication* is an indication to upper layers that the UE has entered a coverage area that offers 5G capabilities.

Upon receiving SystemInformationBlockType2-NB, the UE shall:

- 1> apply the configuration included in the *radioResourceConfigCommon*;
- 1> apply the *defaultPagingCycle* included in the *radioResourceConfigCommon*;
- 1> if *SystemInformationBlockType22-NB* is scheduled:
  - 2> read and act on information sent in SystemInformationBlockType22-NB;
- 1> apply the specified PCCH configuration defined in 9.1.1.3.
- 1> if in RRC\_CONNECTED and UE is configured with RLF timers and constants values received within *rlf-TimersAndConstants*:
  - 2> not update its values of the timers and constants in *ue-TimersAndConstants* except for the value of timer T300:

## 5.2.2.10 Actions upon reception of *SystemInformationBlockType3*

Upon receiving *SystemInformationBlockType3*, the UE shall:

- 1> if in RRC\_IDLE, the *redistributionServingInfo* is included and the UE is redistribution capable:
  - 2> perform E-UTRAN inter-frequency redistribution procedure as specified in TS 36.304 [4], clause 5.2.4.10;
- 1> if in RRC\_IDLE, or in RRC\_CONNECTED while T311 is running:
  - 2> if, for the frequency band selected by the UE (from the procedure in clause 5.2.2.7) to represent the serving cell's carrier frequency, the *freqBandInfo* or the *multiBandInfoList-v10j0* is present in *SystemInformationBlockType3* and the UE capable of *multiNS-Pmax* supports at least one *additionalSpectrumEmission* in the NS-PmaxList within the *freqBandInfo* or *multiBandInfoList-v10j0*:
    - 3> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo* or *multiBandInfoList-v10j0*;
    - 3> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NS-PmaxList*:
      - 4> apply the additionalPmax;
    - 3> else:
      - 4> apply the p-Max;
  - 2> else:
    - 3> apply the *p-Max*;

Upon receiving SystemInformationBlockType3-NB, the UE shall:

- 1> if in RRC\_IDLE, or in RRC\_CONNECTED while T311 is running:
  - 2> if, for the frequency band selected by the UE (from the procedure in clause 5.2.2.7) to represent the serving cell's carrier frequency, the *freqBandInfo* or the *multiBandInfoList* is present in *SystemInformationBlockType3-NB* and the UE capable of *multiNS-Pmax* supports at least one *additionalSpectrumEmission* in the *NS-PmaxList* within the *freqBandInfo* or the *multiBandInfoList*:
    - 3> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo* or *multiBandInfoList*;
    - 3> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NS-PmaxList*:
      - 4> apply the additionalPmax;
    - 3> else:
      - 4> apply the *p-Max*;
  - 2> else:
    - 3> apply the *p-Max*;

#### 5.2.2.11 Actions upon reception of SystemInformationBlockType4

No UE requirements related to the contents of this *SystemInformationBlock* (*SystemInformationBlockType4* or *SystemInformationBlockType4-NB*) apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.12 Actions upon reception of SystemInformationBlockType5

Upon receiving *SystemInformationBlockType5*, the UE shall:

- 1> if in RRC\_IDLE, the redistributionInterFreqInfo is included and the UE is redistribution capable:
  - 2> perform E-UTRAN inter-frequency redistribution procedure as specified in TS 36.304 [4], clause 5.2.4.10;

- 1> if in RRC\_IDLE, or in RRC\_CONNECTED while T311 is running:
  - 2> if the frequency band selected by the UE to represent a non-serving E UTRA carrier frequency is not a downlink only band:
    - 3> if, for the selected frequency band, the *freqBandInfo* or the *multiBandInfoList-v10j0* is present and the UE capable of *multiNS-Pmax* supports at least one *additionalSpectrumEmission* in the *NS-PmaxList* within *freqBandInfo* or *multiBandInfoList-v10j0*:
      - 4> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo* or *multiBandInfoList-v10j0*;
      - 4> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NS-PmaxList*:
        - 5> apply the *additionalPmax*;
      - 4> else:
        - 5> apply the p-Max;
    - 3> else:
      - 4> apply the p-Max;
- 1> if in RRC\_IDLE or RRC\_INACTIVE and UE has stored *VarMeasIdleConfig* and the UE is capable of IDLE mode measurements for CA:
  - 2> if T331 is running and *VarMeasIdleConfig* does not contain *measIdleCarrierListEUTRA* received from the *RRCConnectionRelease* message:
    - 3> if SIB5 includes the *measIdleConfigSIB*:
      - 4> store or replace the measIdleCarrierListEUTRA of measIdleConfigSIB within VarMeasIdleConfig;
    - 3> else:
      - 4> remove the *measIdleCarrierListEUTRA* in *VarMeasIdleConfig*, if stored;
  - 2> perform idle mode measurements as specified in 5.6.20;

Upon receiving *SystemInformationBlockType5-NB*, the UE shall:

- 1> if in RRC\_IDLE, or in RRC\_CONNECTED while T311 is running:
  - 2> if, for the frequency band selected by the UE (from *multiBandInfoList*) to represent a non-serving NB-IoT carrier frequency, the *freqBandInfo* is present and the UE capable of *multiNS-Pmax* supports at least one *additionalSpectrumEmission* in the *NS-PmaxList* within the *freqBandInfo*:
    - 3> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo*;
    - 3> if the additionalPmax is present in the same entry of the selected additionalSpectrumEmission within NS-PmaxList:
      - 4> apply the additionalPmax;
    - 3> else:
      - 4> apply the *p-Max*;
  - 2> else:
    - 3> apply the p-Max;

## 5.2.2.13 Actions upon reception of SystemInformationBlockType6

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

## 5.2.2.14 Actions upon reception of *SystemInformationBlockType7*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

### 5.2.2.15 Actions upon reception of SystemInformationBlockType8

Upon receiving *SystemInformationBlockType8*, the UE shall:

- 1> if sib8-PerPLMN-List is included and the UE is capable of network sharing for CDMA2000:
  - 2> apply the CDMA2000 parameters below corresponding to the RPLMN;
- 1> if the systemTimeInfo is included:
  - 2> forward the systemTimeInfo to CDMA2000 upper layers;
- 1> if the UE is in RRC\_IDLE and if searchWindowSize is included:
  - 2> forward the searchWindowSize to CDMA2000 upper layers;
- 1> if *parametersHRPD* is included:
  - 2> forward the *preRegistrationInfoHRPD* to CDMA2000 upper layers only if the UE has not received the *preRegistrationInfoHRPD* within an *RRCConnectionReconfiguration* message after entering this cell;
  - 2> if the *cellReselectionParametersHRPD* is included:
    - 3> forward the *neighCellList* to the CDMA2000 upper layers;
- 1> if the *parameters1XRTT* is included:
  - 2> if the *csfb-RegistrationParam1XRTT* is included:
    - 3> forward the *csfb-RegistrationParam1XRTT* to the CDMA2000 upper layers which will use this information to determine if a CS registration/re-registration towards CDMA2000 1xRTT in the EUTRA cell is required;
  - 2> else:
    - 3> indicate to CDMA2000 upper layers that CSFB Registration to CDMA2000 1xRTT is not allowed;
  - 2> if the *longCodeState1XRTT* is included:
    - 3> forward the *longCodeState1XRTT* to CDMA2000 upper layers;
  - 2> if the *cellReselectionParameters1XRTT* is included:
    - 3> forward the *neighCellList* to the CDMA2000 upper layers;
  - 2> if the csfb-SupportForDualRxUEs is included:
    - 3> forward csfb-SupportForDualRxUEs to the CDMA2000 upper layers;
  - 2> else:
    - 3> forward csfb-SupportForDualRxUEs, with its value set to FALSE, to the CDMA2000 upper layers;
  - 2> if ac-BarringConfig1XRTT is included:
    - 3> forward ac-BarringConfig1XRTT to the CDMA2000 upper layers;

- 2> if the *csfb-DualRxTxSupport* is included:
  - 3> forward *csfb-DualRxTxSupport* to the CDMA2000 upper layers;
- 2> else:
  - 3> forward csfb-DualRxTxSupport, with its value set to FALSE, to the CDMA2000 upper layers;

### 5.2.2.16 Actions upon reception of SystemInformationBlockType9

Upon receiving *SystemInformationBlockType9*, the UE shall:

1> if *hnb-Name* is included, forward the *hnb-Name* to upper layers;

# 5.2.2.17 Actions upon reception of SystemInformationBlockType10

Upon receiving SystemInformationBlockType10, the UE shall:

1> forward the received warning Type, message Identifier and serial Number to upper layers;

### 5.2.2.18 Actions upon reception of SystemInformationBlockType11

Upon receiving SystemInformationBlockType11, the UE shall:

- 1> if there is no current value for messageIdentifier and serialNumber for SystemInformationBlockType11; or
- 1> if either the received value of *messageIdentifier* or of *serialNumber* or of both are different from the current values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*:
  - 2> use the received values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11* as the current values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*;
  - 2> discard any previously buffered warningMessageSegment;
  - 2> if all segments of a warning message have been received:
    - 3> assemble the warning message from the received warningMessageSegment;
    - 3> forward the received warning message, *messageIdentifier*, *serialNumber* and *dataCodingScheme* to upper layers;
    - 3> stop reception of *SystemInformationBlockType11*;
    - 3> discard the current values of messageIdentifier and serialNumber for SystemInformationBlockType11;
  - 2> else:
    - 3> store the received warningMessageSegment;
    - 3> continue reception of SystemInformationBlockType11;
- 1> else if all segments of a warning message have been received:
  - 2> assemble the warning message from the received warningMessageSegment;
  - 2> forward the received complete warning message, *messageIdentifier*, *serialNumber* and *dataCodingScheme* to upper layers;
  - 2> stop reception of SystemInformationBlockType11;
  - 2> discard the current values of messageIdentifier and serialNumber for SystemInformationBlockType11;
- 1> else:
  - 2> store the received warningMessageSegment;
  - 2> continue reception of SystemInformationBlockType11;

The UE should discard any stored *warningMessageSegment* and the current value of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11* if the complete warning message has not been assembled within a period of 3 hours.

#### 5.2.2.19 Actions upon reception of SystemInformationBlockType12

Upon receiving SystemInformationBlockType12, the UE shall:

- 1> if the *SystemInformationBlockType12* contains a complete warning message and the complete geographical area coordinates (if any):
  - 2> forward the received warning message, *messageIdentifier*, *serialNumber*, *dataCodingScheme* and the geographical area coordinates (if any) to upper layers;
  - 2> continue reception of SystemInformationBlockType12;

#### 1> else:

- 2> if the received values of *messageIdentifier* and *serialNumber* are the same (each value is the same) as a pair for which a warning message and the geographical area coordinates (if any) are currently being assembled:
  - 3> store the received warningMessageSegment;
  - 3> store the received warningAreaCoordinatesSegment (if any);
  - 3> if all segments of a warning message and geographical area coordinates (if any) have been received:
    - 4> assemble the warning message from the received warningMessageSegment;
    - 4> assemble the geographical area coordinates from the received *warningAreaCoordinatesSegment* (if any);
    - 4> forward the received warning message, *messageIdentifier*, *serialNumber*, *dataCodingScheme* and geographical area coordinates (if any) to upper layers;
    - 4> stop assembling a warning message and warning area coordinates (if any) for this *messageIdentifier* and *serialNumber* and delete all stored information held for it;
  - 3> continue reception of SystemInformationBlockType12;
- 2> else if the received values of *messageIdentifier* and/or *serialNumber* are not the same as any of the pairs for which a warning message is currently being assembled:
  - 3> start assembling a warning message for this messageIdentifier and serialNumber pair;
  - 3> start assembling the geographical area coordinates (if any) for this *messageIdentifier* and *serialNumber* pair;
  - 3> store the received warningMessageSegment;
  - 3> store the received warningAreaCoordinatesSegment (if any);
  - 3> continue reception of SystemInformationBlockType12;

The UE should discard warningMessageSegment and warningAreaCoordinatesSegment (if any) and the associated values of messageIdentifier and serialNumber for SystemInformationBlockType12 if the complete warning message and the warning area coordinates (if any) have not been assembled within a period of 3 hours.

NOTE: The number of warning messages that a UE can re-assemble simultaneously is a function of UE implementation.

#### 5.2.2.20 Actions upon reception of SystemInformationBlockType13

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

## 5.2.2.21 Actions upon reception of SystemInformationBlockType14

No UE requirements related to the contents of this *SystemInformationBlock* (*SystemInformationBlockType14* or *SystemInformationBlockType14-NB*) apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

### 5.2.2.22 Actions upon reception of SystemInformationBlockType15

No UE requirements related to the contents of this *SystemInformationBlock* (*SystemInformationBlockType15* or *SystemInformationBlockType15-NB*) apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.23 Actions upon reception of SystemInformationBlockType16

Upon receiving *SystemInformationBlockType16* with *timeReferenceInfo*, the UE may perform the related actions as specified in clause 5.6.1.3.

### 5.2.2.24 Actions upon reception of SystemInformationBlockType17

Upon receiving *SystemInformationBlockType17*, the UE shall:

- 1> if wlan-OffloadConfigCommon corresponding to the RPLMN is included:
  - 2> if the UE is not configured with *rclwi-Configuration* with *command* set to *steerToWLAN*:
    - 3> apply the wlan-Id-List corresponding to the RPLMN;
  - 2> if not configured with the wlan-OffloadConfigDedicated:
    - 3> apply the wlan-OffloadConfigCommon corresponding to the RPLMN;

### 5.2.2.25 Actions upon reception of SystemInformationBlockType18

Upon receiving SystemInformationBlockType18, the UE shall:

- 1> if SystemInformationBlockType18 message includes the commConfig:
  - 2> if configured to receive sidelink communication:
    - 3> from the next SC period, as defined by *sc-Period*, use the resource pool indicated by *commRxPool* for sidelink communication monitoring, as specified in 5.10.3;
  - 2> if configured to transmit sidelink communication:
    - 3> from the next SC period, as defined by *sc-Period*, use the resource pool indicated by *commTxPoolNormalCommon*, *commTxPoolNormalCommonExt* or by *commTxPoolExceptional* for sidelink communication transmission, as specified in 5.10.4;

#### 5.2.2.26 Actions upon reception of SystemInformationBlockType19

Upon receiving SystemInformationBlockType19, the UE shall:

- 1> if SystemInformationBlockType19 message includes the discConfig or discConfigPS:
  - 2> from the next discovery period, as defined by *discPeriod*, use the resources indicated by *discRxPool*, *discRxResourcesInterFreq* or *discRxPoolPS* for sidelink discovery monitoring, as specified in 5.10.5;
  - 2> if *SystemInformationBlockType19* message includes the *discTxPoolCommon* or *discTxPoolPS-Common*; and the UE is in RRC\_IDLE:
    - 3> from the next discovery period, as defined by *discPeriod*, use the resources indicated by *discTxPoolCommon* or *discTxPoolPS-Common* for sidelink discovery announcement, as specified in 5.10.6;

- 2> if the SystemInformationBlockType19 message includes the discTxPowerInfo:
  - 3> use the power information included in *discTxPowerInfo* for sidelink discovery transmission on the serving frequency, as specified in TS 36.213 [23];
- 1> if SystemInformationBlockType19 message includes the discConfigRelay:
  - 2> if the *SystemInformationBlockType19* message includes the *txPowerInfo*:
    - 3> use the power information included in *txPowerInfo* for sidelink discovery transmission on the corresponding non-serving frequency, as specified in TS 36.213 [23];

### 5.2.2.27 Actions upon reception of SystemInformationBlockType20

No UE requirements related to the contents of this *SystemInformationBlock* (*SystemInformationBlockType20* or *SystemInformationBlockType20-NB*) apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.28 Actions upon reception of SystemInformationBlockType21

Upon receiving SystemInformationBlockType21, the UE shall:

- 1> if *SystemInformationBlockType21* message includes *sl-V2X-ConfigCommon*:
  - 2> if configured to receive V2X sidelink communication:
    - 3> use the resource pool indicated by *v2x-CommRxPool* in *sl-V2X-ConfigCommon* for V2X sidelink communication monitoring, as specified in 5.10.12;
  - 2> if configured to transmit V2X sidelink communication:
    - 3> use the resource pool indicated by v2x-CommTxPoolNormalCommon, p2x-CommTxPoolNormalCommon, v2x-CommTxPoolNormal, p2x-CommTxPoolNormal or by v2x-CommTxPoolExceptional for V2X sidelink communication transmission, as specified in 5.10.13;
    - 3> perform CBR measurement on the transmission resource pool(s) indicated by v2x-CommTxPoolNormalCommon, v2x-CommTxPoolNormal and v2x-CommTxPoolExceptional for V2X sidelink communication transmission, as specified in 5.5.3;

#### 5.2.2.29 Actions upon reception of SystemInformationBlockType22-NB

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.30 Actions upon reception of SystemInformationBlockType23-NB

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

## 5.2.2.31 Actions upon reception of SystemInformationBlockType24

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

### 5.2.2.32 Actions upon reception of SystemInformationBlockType25

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.33 Actions upon reception of SystemInformationBlockType26

Upon receiving SystemInformationBlockType26, the UE shall:

- 1> if configured to receive V2X sidelink communication:
  - 2> use the resource pool indicated by *v2x-CommRxPool* for V2X sidelink communication monitoring, as specified in 5.10.12;
- 1> if configured to transmit V2X sidelink communication:
  - 2> use the resource pool indicated by v2x-CommTxPoolNormal, p2x-CommTxPoolNormal or by v2x-CommTxPoolExceptional for V2X sidelink communication transmission, as specified in 5.10.13;
  - 2> perform CBR measurement on the transmission resource pool(s) indicated by *v2x-CommTxPoolNormal* and *v2x-CommTxPoolExceptional* for V2X sidelink communication transmission, as specified in 5.5.3;

### 5.2.2.34 Actions upon reception of SystemInformationBlockPos

No UE requirements related to the contents of the *SystemInformationBlockPos* apply other than those specified elsewhere e.g. within TS 36.355 [54], and/or within the corresponding field descriptions.

# 5.2.3 Acquisition of an SI message

When acquiring an SI message, the UE shall:

- 1> determine the start of the SI-window for the concerned SI message as follows:
  - 2> if the concerned SI message is configured in the *schedulingInfoList* or if the concerned SI message is configured in the *pos-schedulingInfoList* and *si-posOffset* is not configured;
    - 3> for the concerned SI message, determine the number *n* which corresponds to the order of entry in the concatenated list of SI messages configured by *schedulingInfoList* and *posSchedulingInfoList* in *SystemInformationBlockType1*;
    - 3> determine the integer value x = (n-1)\*w, where w is the si-WindowLength;
    - 3> the SI-window starts at the subframe #a, where  $a = x \mod 10$ , in the radio frame for which SFN mod T = FLOOR(x/10), where T is the *si-Periodicity* of the concerned SI message;
  - 2> else if the concerned SI message is configured by the *posSchedulingInfoList* and *si-posOffset* is configured determine the start of the SI-window for the concerned SI message as follows:
    - 3> determine the number m which corresponds to the number of SI messages with an associated si-Periodicity of 8 radio frames (80 ms), configured by schedulingInfoList in SystemInformationBlockType1;
    - 3> for the concerned SI message, determine the number *n* which corresponds to the order of entry in the list of SI messages configured by *posSchedulingInfoList* in *SystemInformationBlockType1*;
    - 3> determine the integer value  $x = m^*w + (n-1)^*w$ , where w is the si-WindowLength
    - 3> the SI-window starts at the subframe #a, where  $a = x \mod 10$ , in the radio frame for which SFN mod T = FLOOR(x/10) + 8, where T is the si-posPeriodicity of the concerned SI message;
- NOTE: E-UTRAN should configure an SI-window of 1 ms only if all SIs are scheduled before subframe #5 in radio frames for which SFN mod 2 = 0.
- 1> receive DL-SCH using the SI-RNTI from the start of the SI-window and continue until the end of the SI-window whose absolute length in time is given by *si-WindowLength*, or until the SI message was received, excluding the following subframes:
  - 2> subframe #5 in radio frames for which SFN mod 2 = 0;
  - 2> any MBSFN subframes;
  - 2> any uplink subframes in TDD;

1> if the SI message was not received by the end of the SI-window, repeat reception at the next SI-window occasion for the concerned SI message;

# 5.2.3a Acquisition of an SI message by BL UE or UE in CE or a NB-IoT UE

When acquiring an SI message, the BL UE or UE in CE or NB-IoT UE shall:

- 1> determine the start of the SI-window for the concerned SI message as follows:
  - 2> if the concerned SI message is configured in the *schedulingInfoList* or if the concerned SI message is configured in the *pos-schedulingInfoList* and *si-posOffset* is not configured;
    - 3> for the concerned SI message, determine the number *n* which corresponds to the order of entry in the concatenated list of SI messages configured by *schedulingInfoList* in *SystemInformationBlockType1-BR* (or *SystemInformationBlockType1-NB* in NB-IoT) and *posSchedulingInfoList* in *SystemInformationBlockType1-BR*;
    - 3> determine the integer value x = (n-1)\*w, where w is the si-WindowLength-BR (or si-WindowLength in NB-IoT);
  - 2> else if the concerned SI message is configured by the *posSchedulingInfoList* and *si-posOffset* is configured determine the start of the SI-window for the concerned SI message as follows:
    - 3> determine the number *m* which corresponds to the number of SI messages with an associated *si- Periodicity* of 8 radio frames (80 ms), configured by *schedulingInfoList* in *SystemInformationBlockType1-BR*;
    - 3> for the concerned SI message, determine the number *n* which corresponds to the order of entry in the list of SI messages configured by *posSchedulingInfoList* in *SystemInformationBlockType1-BR*;
    - 3> determine the integer value  $x = m^*w + (n-1)^*w$ , where w is the si-WindowLength-BR
    - 3> the SI-window starts at the subframe #a, where  $a = x \mod 10$ , in the radio frame for which SFN mod T = FLOOR(x/10) + 8, where T is the *si-posPeriodicity* of the concerned SI message;
  - 2> if the UE is a NB-IoT UE:
    - 3> the SI-window starts at the subframe #0 in the radio frame for which (H-SFN \* 1024 + SFN) mod T = FLOOR(x/10) + Offset, where T is the si-Periodicity of the concerned SI message and, Offset is the offset of the start of the SI-Window (si-RadioFrameOffset);

#### 2> else:

3> the SI-window starts at the subframe #0 in the radio frame for which SFN mod T = FLOOR(x/10), where T is the *si-Periodicity* of the concerned SI message;

#### 1> if the UE is a NB-IoT UE:

2> receive and accumulate SI message transmissions on DL-SCH from the start of the SI-window and continue until the end of the SI-window whose absolute length in time is given by *si-WindowLength*, starting from the radio frames as provided in *si-RepetitionPattern* and in subframes as provided in *downlinkBitmap*, or until successful decoding of the accumulated SI message transmissions excluding the subframes used for transmission of NPSS, NSSS, *MasterInformationBlock-NB/MasterInformationBlock-TDD-NB* and *SystemInformationBlockType1-NB*. If there are not enough subframes for one SI message transmission in the radio frames as provided in *si-RepetitionPattern*, the UE shall continue to receive the SI message transmission in the radio frames following the radio frame indicated in *si-RepetitionPattern*;

#### 1> else:

2> receive and accumulate SI message transmissions on DL-SCH on narrowband provided by *si-Narrowband*, from the start of the SI-window and continue until the end of the SI-window whose absolute length in time is given by *si-WindowLength-BR*, only in radio frames as provided in *si-RepetitionPattern* and subframes as provided in *fdd-DownlinkOrTddSubframeBitmapBR* in *bandwidthReducedAccessRelatedInfo*, or until successful decoding of the accumulated SI message transmissions;

1> if the SI message was not possible to decode from the accumulated SI message transmissions by the end of the SI-window, continue reception and accumulation of SI message transmissions on DL-SCH in the next SIwindow occasion for the concerned SI message;

# 5.2.3b Acquisition of an SI message from MBMS-dedicated cell

When acquiring an SI message, the UE shall:

- 1> determine the start of the SI-window for the concerned SI message as follows:
  - 2> for the concerned SI message, determine the number *n* which corresponds to the order of entry in the list of SI messages configured by *schedulingInfoList* in *SystemInformationBlockType1-MBMS*;
  - 2> determine the integer value x = (n-1)\*w, where w is the si-WindowLength;
  - 2> the SI-window starts always at the subframe #a, where  $a = x \mod 10$ , in the radio frame for which SFN mod T = FLOOR(x/10), where T is the *si-Periodicity* of the concerned SI message;
- 1> receive DL-SCH using SI-RNTI with value in accordance with 36.321 [6] from the start of the SI-window and continue until the end of the SI-window whose absolute length in time is given by *si-WindowLength*, or until the SI message was received, excluding the following subframes:
  - 2> any MBSFN subframes;
- 1> if the SI message was not received by the end of the SI-window, repeat reception at the next SI-window occasion for the concerned SI message;

# 5.3 Connection control

#### 5.3.1 Introduction

#### 5.3.1.1 RRC connection control

RRC connection establishment involves the establishment of SRB1. Except for EDT, E-UTRAN completes RRC connection establishment prior to completing the establishment of the S1 connection, i.e. prior to receiving the UE context information from the EPC. Consequently, AS security is not activated during the initial phase of the RRC connection. During this initial phase of the RRC connection, the E-UTRAN may configure the UE to perform measurement reporting, but the UE only sends the corresponding measurement reports after successful security activation. However, the UE only accepts a handover message when security has been activated.

NOTE 1: In case the serving frequency broadcasts multiple overlapping bands, E-UTRAN can only configure measurements after having obtained the UE capabilities, as the measurement configuration needs to be set according to the band selected by the UE.

Upon receiving the UE context from the EPC, E-UTRAN activates security (both ciphering and integrity protection) using the initial security activation procedure. The RRC messages to activate security (command and successful response) are integrity protected, while ciphering is started only after completion of the procedure. That is, the response to the message used to activate security is not ciphered, while the subsequent messages (e.g. used to establish SRB2 and DRBs) are both integrity protected and ciphered.

After having initiated the initial security activation procedure, E-UTRAN initiates the establishment of SRB2 and DRBs, i.e. E-UTRAN may do this prior to receiving the confirmation of the initial security activation from the UE. In any case, E-UTRAN will apply both ciphering and integrity protection for the RRC connection reconfiguration messages used to establish SRB2 and DRBs. E-UTRAN should release the RRC connection if the initial security activation and/ or the radio bearer establishment fails (i.e. security activation and DRB establishment are triggered by a joint S1-procedure, which does not support partial success).

For SRB2 and DRBs, security is always activated from the start, i.e. the E-UTRAN does not establish these bearers prior to activating security.

For some radio configuration fields, a critical extension has been defined. A switch from the original version of the field to the critically extended version is allowed using any connection reconfiguration. The UE reverts to the original

version of some critically extended fields upon handover and re-establishment as specified elsewhere in this specification. Otherwise, switching a field from the critically extended version to the original version is only possible using the handover or re-establishment procedure with the full configuration option. This also applies for fields that are critically extended within a release (i.e. original and extended version defined in same release).

After having initiated the initial security activation procedure, E-UTRAN may configure a UE that supports CA, with one or more SCells in addition to the PCell that was initially configured during connection establishment. The PCell is used to provide the security inputs and upper layer system information (i.e. the NAS mobility information e.g. TAI). SCells are used to provide additional downlink and optionally uplink radio resources. When not configured with any kind of DC, all SCells the UE is configured with, if any, are part of the MCG.

When configured with DC, some of the SCells are part of a SCG. In this case, user data carried by a DRB may either be transferred via MCG (i.e. MCG-DRB), via SCG (SCG-DRB) or via both MCG and SCG in DL while E-UTRAN configures the CG used in UL (split DRB). An RRC connection reconfiguration message may be used to change the DRB type from MCG-DRB to SCG-DRB or to split DRB, as well as from SCG-DRB or split DRB to MCG-DRB.

DC employs SCG change, which is a synchronous SCG reconfiguration procedure (i.e. involving RA to the PSCell) including reset/ re-establishment of layer 2 and, if SCG DRBs are configured, refresh of security. The procedure is used in a number of different scenarios e.g. SCG establishment, PSCell change, Key refresh, change of DRB type. The UE performs the SCG change related actions upon receiving an *RRCConnectionReconfiguration* message including *mobilityControlInfoSCG*, see 5.3.10.10.

In case of MR-DC, the cells of one CG use another RAT, namely NR. The configuration of an NR CG is specified in TS 38.331 [82]. When configured with MR-DC, user data carried by a DRB may either be transferred via MCG, via NR SCG or via both MCG and NR SCG. Also RRC signalling carried by a SRB may either be transferred via MCG or via both MCG and NR SCG. When DRBs and SRBs are configured with transmission via both MCG and SCG, duplication may be used in both DL and UL.

Change to NR PDCP or vice versa, that in case of EN-DC may be done for both SRBs and DRBs, can be performed using an *RRCConnectionReconfiguration* message including the *mobilityControlInfo* (handover) by release and addition of the concerned RB (for DRBs) or of the concerned PDCP entity (for SRBs). The same *RRCConnectionReconfiguration* message may be used to make changes regarding the CG(s) used for transmission. For SRB1, change from E-UTRA PDCP to NR PDCP type may, before initial security activation, also be performed using an *RRCConnectionReconfiguration* message not including the *mobilityControlInfo*.

In case of (NG)EN-DC, there are three types of NR SCG reconfigurations:

- Reconfiguration with sync and key change i.e. a procedure involving RA to the PSCell, including NR MAC reset, re-establishment of NR RLC and NR PDCP and refresh of NR SCG security; and
- Reconfiguration with sync but without key change i.e. a procedure involving RA to the PSCell, including NR MAC reset and NR RLC re-establishment and PDCP data recovery (for AM DRB); and
- Regular NR SCG reconfiguration neither involving refresh of NR SCG security, nor RA to the PSCell, NR MAC reset or NR RLC re-establishment;

The network is only required to use the NR SCG reconfiguration with sync and key change in case the NR SCG security key changes (i.e. handover, change of SNs, S-KgNB refresh). Further details are specified in NR RRC TS 38.331 [82].

NOTE 2: In case of MR-DC, E-UTRA RRC configuration parameters should only affect E-UTRA operation. E.g., *s-Measure* only affects measurements configured by parameters defined in this specification. Should an E-UTRA RRC configuration change require a change of NR RRC configuration, the network should indicate such NR change by NR RRC signalling. E.g. a specific indication is used to trigger RLC reestablishment upon reconfigurations changing the CG(s) used for transmission (in DL or UL) that otherwise would only involve NR RRC signalling.

In this release of the specification, change between DC and MR-DC as well as change between DC and E-UTRA configured with SN terminated DRB without SCG are not supported (i.e. neither the direct reconfiguration nor specific measurement events). Likewise, the direct transition between (NG)EN-DC and NR DC or NE-DC is not supported in this release of the specification.

The release of the RRC connection normally is initiated by E-UTRAN. The procedure may be used to re-direct the UE to an E-UTRA frequency or an inter-RAT carrier frequency. Only in exceptional cases, as specified within this

specification, TS 36.300 [9], TS 36.304 [4] or TS 24.301 [35], may the UE abort the RRC connection, i.e. move to RRC\_IDLE without notifying E-UTRAN.

The suspension of the RRC connection is initiated by E-UTRAN. When the RRC connection is suspended, the UE stores the UE AS context and the *resumeIdentity*, and transitions to RRC\_IDLE state. The RRC message to suspend the RRC connection is integrity protected and ciphered. Suspension can only be performed when at least 1 DRB is successfully established.

The resumption of a suspended RRC connection is initiated by upper layers when the UE has a stored UE AS context, RRC connection resume is permitted by E-UTRAN and the UE needs to transit from RRC\_IDLE state to RRC\_CONNECTED state. When the RRC connection is resumed, RRC configures the UE according to the RRC connection resume procedure based on the stored UE AS context and any RRC configuration received from E-UTRAN. The RRC connection resume procedure re-activates security and re-establishes SRB(s) and DRB(s). The request to resume the RRC connection includes the *resumeIdentity*. The request is not ciphered, but protected with a message authentication code.

In response to a request to resume the RRC connection, E-UTRAN may resume the suspended RRC connection, reject the request to resume and instruct the UE to either keep or discard the stored context, or setup a new RRC connection.

In case of CP-EDT, the data are appended in the RRCEarlyDataRequest and RRCEarlyDataComplete messages, if available, and sent over SRBO. In case of UP-EDT, security is re-activated prior to transmission of RRC message using the nextHopChainingCount provided in the RRCConnectionRelease message with suspend indication during the preceding suspend procedure and the radio bearers are re-established. The uplink data are transmitted ciphered on DTCH multiplexed with the RRCConnectionResumeRequest message on CCCH. In the downlink, the data, if available, are transmitted on DTCH multiplexed with the RRCConnectionRelease message on DCCH. In response to a request for EDT, E-UTRAN may also choose to establish or resume the RRC connection.

A UE in RRC\_CONNECTED enters RRC\_INACTIVE when the network indicates RRC connection suspension in *RRCConnectionRelease* message. When entering RRC\_INACTIVE, the UE stores the UE Inactive AS context and any RRC configuration received from the network.

The resumption of an RRC connection from RRC\_INACTIVE is initiated by upper layers when the UE needs to transit from RRC\_INACTIVE state to RRC\_CONNECTED state or by RRC layer for, e.g. RNAU or reception of RAN paging. When the RRC connection is resumed, network configures the UE according to the RRC connection resume procedure based on the stored UE Inactive AS context and any RRC configuration received from the network. The RRC connection resume procedure re-activates security and re-establishes SRB(s) and DRB(s).

In response to a request to resume the RRC connection from RRC\_INACTIVE, the network may resume the suspended RRC connection and UE enters to RRC\_CONNECTED, or reject the request to resume using RRC message without security protection and send UE to RRC\_INACTIVE with wait time, or directly re-suspend the RRC connection and send UE to RRC\_INACTIVE, or directly release the RRC connection and send UE to RRC\_IDLE, or instruct the UE to initiate NAS level recovery.

#### 5.3.1.2 Security

AS security comprises of the integrity protection of RRC signalling (SRBs) as well as the ciphering of RRC signalling (SRBs) and user data (DRBs).

RRC handles the configuration of the security parameters which are part of the AS configuration: the integrity protection algorithm, the ciphering algorithm and two parameters, namely the *keyChangeIndicator* and the *nextHopChainingCount*, which are used by the UE to determine the AS security keys upon handover, connection reestablishment, connection resume and/ or UP-EDT.

The integrity protection algorithm is common for signalling radio bearers SRB1, SRB2 and SRB4. When configured with MCG only, the ciphering algorithm is common for all radio bearers (i.e. SRB1, SRB2, SRB4 and DRBs). Neither integrity protection nor ciphering applies for SRB0.

RRC integrity and ciphering are always activated together, i.e. in one message/ procedure. RRC integrity and ciphering are never de-activated. However, it is possible to switch to a 'NULL' ciphering algorithm (eea0).

The 'NULL' integrity protection algorithm (eia0) is used only for the UE in limited service mode, as specified in TS 33.401 [32]. In case the 'NULL' integrity protection algorithm is used, 'NULL' ciphering algorithm is also used.

NOTE 1: Lower layers discard RRC messages for which the integrity check has failed and indicate the integrity verification check failure to RRC.

The AS applies three different security keys: one for the integrity protection of RRC signalling ( $K_{RRCint}$ ), one for the ciphering of RRC signalling ( $K_{RRCint}$ ) and one for the ciphering of user data ( $K_{UPenc}$ ). All three AS keys are derived from the  $K_{eNB}$  key. The  $K_{eNB}$  is based on the  $K_{ASME}$  key for E-UTRA/EPC, or  $K_{AMF}$  for E-UTRA/5GC, which is handled by upper layers.

Upon connection establishment new AS keys are derived. No AS-parameters are exchanged to serve as inputs for the derivation of the new AS keys at connection establishment.

The integrity and ciphering of the RRC message used to perform handover is based on the security configuration used prior to the handover and is performed by the source eNB.

The integrity and ciphering algorithms can only be changed upon handover. The four AS keys ( $K_{eNB}$ ,  $K_{RRCint}$ ,  $K_{RRCenc}$  and  $K_{UPenc}$ ) change upon every handover, connection re-establishment, connection resume and UP-EDT. The *keyChangeIndicator* is used upon handover and indicates whether the UE should use the keys associated with the  $K_{ASME}$  key for E-UTRA/EPC, or  $K_{AMF}$  for E-UTRA/5GC, taken into use with the latest successful NAS SMC procedure. The *nextHopChainingCount* parameter is used upon handover, connection re-establishment, connection resume and UP-EDT by the UE when deriving the new  $K_{eNB}$  that is used to generate  $K_{RRCint}$ ,  $K_{RRCenc}$  and  $K_{UPenc}$  (see TS 33.401 [32]). An intra cell handover procedure may be used to change the keys in RRC\_CONNECTED.

For each radio bearer an independent counter (COUNT, as specified in TS 36.323 [8] for E-UTRA/EPC, and TS 38.323 [83] for E-UTRA/5GC) is maintained for each direction. For each DRB, the COUNT is used as input for ciphering. For each SRB, the COUNT is used as input for both ciphering and integrity protection. It is not allowed to use the same COUNT value more than once for a given security key. At connection resume the COUNT is reset. As specified in TS 33.401 subclause 7.2.9.1 [32], the eNB is responsible for avoiding reuse of the COUNT with the same RB identity and with the same  $K_{eNB}$ , e.g. due to the transfer of large volumes of data, release and establishment of new RBs, and multiple termination point changes for RLC-UM bearers, multiple termination point changes for RLC-AM bearer with SN terminated PDCP re-establishment (COUNT reset) due to SN only full configuration whilst the key stream inputs (i.e. bearer ID, security key) at MN have not been updated. In order to avoid such re-use, the eNB may e.g. use different RB identities for successive RB establishments, trigger an intra cell handover or by triggering a transition from RRC\_CONNECTED to RRC\_IDLE or RRC\_INACTIVE and then back to RRC\_CONNECTED.

In order to limit the signalling overhead, individual messages/ packets include a short sequence number (PDCP SN, as specified in TS 36.323 [8] for E-UTRA/EPC, and TS 38.323 [83] for E-UTRA/5GC). In addition, an overflow counter mechanism is used: the hyper frame number (TX\_HFN and RX\_HFN, as specified in TS 36.323 [8] for E-UTRA/EPC, and *HFN* as specified in TS 38.323 [83] for E-UTRA/5GC). The HFN needs to be synchronized between the UE and the eNB.

For each SRB, the value provided by RRC to lower layers to derive the 5-bit BEARER parameter used as input for ciphering and for integrity protection is the value of the corresponding *srb-Identity* with the MSBs padded with zeroes.

With E-UTRA/5GC for a UE not capable of NGEN-DC, the same ciphering algorithm signalled at SMC or handover is used for all radio bearers. Likewise, the same integrity algorithm signalled at SMC or handover is used for all SRBs.

In case of DC, a separate  $K_{eNB}$  is used for SCG-DRBs (S- $K_{eNB}$ ). This key is derived from the key used for the MCG ( $K_{eNB}$ ) and an SCG counter that is used to ensure freshness. To refresh the S- $K_{eNB}$  e.g. when the COUNT will wrap around, E-UTRAN employs an SCG change, i.e. an *RRCConnectionReconfiguration* message including *mobilityControlInfoSCG*. When performing handover, while at least one SCG-DRB remains configured, both  $K_{eNB}$  and S- $K_{eNB}$  are refreshed. In such case E-UTRAN performs handover with SCG change i.e. an *RRCConnectionReconfiguration* message including both *mobilityControlInfo* and *mobilityControlInfoSCG*. The ciphering algorithm is common for all radio bearers within a CG but may be different between MCG and SCG. The ciphering algorithm for SCG DRBs can only be changed upon SCG change.

In case of (NG)EN-DC or of SN terminated RB without SCG, the network indicates whether the UE shall use either  $K_{eNB}$  or S- $K_{gNB}$  for a particular DRB. In case of NE-DC, the network indicates whether the UE shall use either  $K_{gNB}$  or S- $K_{eNB}$  for a particular DRB. S- $K_{gNB}$ /S- $K_{eNB}$  is derived from  $K_{eNB}/K_{gNB}$  as defined in TS 33.501 [86], uses a different counter (sk-Counter) and is used only for DRBs using NR PDCP. Whenever there is a need to refresh S- $K_{gNB}$ /S- $K_{eNB}$ , e.g. upon change of MN or SN, the NR SCG reconfiguration with sync and key change is used for S- $K_{gNB}$  refresh (see 5.3.1.1) and the RRCConnectionReconfiguration message including mobilityControlInfoSCG is used for S- $K_{eNB}$  refresh (see 5.3.10.10). E-UTRAN provides a UE configured with (NG)EN-DC with an sk-Counter even when no DRB is setup using S- $K_{gNB}$  i.e. to facilitate configuration of SRB3. The same ciphering algorithm as signalled by nr-RadioBearerConfig1 and nr-RadioBearerConfig2 as defined in TS 38.331 [82] is used for all radio bearers using the

same key (i.e.  $K_{eNB}$  or  $S-K_{gNB}$ ). Likewise, the same integrity algorithm as signalled by nr-RadioBearerConfig1 and nr-RadioBearerConfig2 as defined in TS 38.331 [82] is used for all SRBs using the same key. Although NR RRC uses different values for the security algorithms than E-UTRA, the actual algorithms are the same in case of (NG)EN-DC and NE-DC in this version of the specification. Hence, for such algorithms, the security capabilities supported by a UE are consistent across these RATs. For MR-DC, integrity protection is not enabled for DRBs terminated on eNB or when the master node is an ng-eNB.

NOTE 2: The network ensures that different values are used for the SCG counter and for the sk-Counter when deriving S-K<sub>gNB</sub> and/or S-K<sub>eNB</sub> from the same master key.

## 5.3.1.2a RN security

For RNs, AS security follows the procedures in 5.3.1.2. Furthermore, E-UTRAN may configure per DRB whether or not integrity protection is used. The use of integrity protection may be configured only upon DRB establishment and reconfigured only upon handover or upon the first reconfiguration following RRC connection re-establishment.

To provide integrity protection on DRBs between the RN and the E-UTRAN, the  $K_{UPint}$  key is derived from the  $K_{eNB}$  key as described in TS 33.401 [32]. The same integrity protection algorithm used for SRBs also applies to the DRBs. The  $K_{UPint}$  changes at every handover and RRC connection re-establishment and is based on an updated  $K_{eNB}$  which is derived by taking into account the *nextHopChainingCount*. The COUNT value maintained for DRB ciphering is also used for integrity protection, if the integrity protection is configured for the DRB.

# 5.3.1.3 Connected mode mobility

In RRC\_CONNECTED, the network controls UE mobility, i.e. the network decides when the UE shall connect to which E-UTRA cell(s), or inter-RAT cell. For network controlled mobility in RRC\_CONNECTED, the PCell can be changed using an *RRCConnectionReconfiguration* message including the *mobilityControlInfo* (handover), whereas the SCell(s) can be changed using the *RRCConnectionReconfiguration* message either with or without the *mobilityControlInfo*.

In DC, an SCG can be established, reconfigured or released by using an *RRCConnectionReconfiguration* message with or without the *mobilityControlInfo*. In case Random Access to the PSCell or initial PUSCH transmission to the PSCell if *rach-SkipSCG* is configured is required upon SCG reconfiguration, E-UTRAN employs the SCG change procedure (i.e. an *RRCConnectionReconfiguration* message including the *mobilityControlInfoSCG*). The PSCell can only be changed using the SCG change procedure and by release and addition of the PSCell.

In (NG)EN-DC, an NR SCG can be established or reconfigured by using an *RRCConnectionReconfiguration* message containing *nr-secondaryCellGroupConfig* and *nr-RadioBearerConfig*. The contents of *nr-secondaryCellGroupConfig* and *nr-RadioBearerConfig*, of other (NG)EN-DC fields as well as the associated procedures are specified in TS 38.331 [82]. In (NG)EN-DC, the PSCell can only be changed using the Reconfiguration with sync procedure, with or without MR-DC release and addition.

The network triggers the handover procedure e.g. based on radio conditions, load. To facilitate this, the network may configure the UE to perform measurement reporting (possibly including the configuration of measurement gaps). The network may also initiate handover blindly, i.e. without having received measurement reports from the UE.

Before sending the handover message to the UE, the source eNB prepares one or more target cells. The source eNB selects the target PCell. The source eNB may also provide the target eNB with a list of best cells on each frequency for which measurement information is available, in order of decreasing RSRP. The source eNB may also include available measurement information for the cells provided in the list. The target eNB decides which SCells are configured for use after handover, which may include cells other than the ones indicated by the source eNB. If an SCG is configured, handover involves either SCG release or either SCG change (in case of DC) or an NR SCG reconfiguration with sync and key change (in case of EN-DC and NGEN-DC). In case the UE was configured with (EN-) DC or NGEN-DC, the target eNB indicates in the handover message whether the UE shall release the entire (NR) SCG configuration. Upon connection re-establishment, the UE releases the entire SCG configuration except for the DRB configuration, while E-UTRAN in the first reconfiguration message following the re-establishment either releases the DRB(s) or reconfigures the DRB(s) to MCG DRB(s).

The target eNB generates the message used to perform the handover, i.e. the message including the AS-configuration to be used in the target cell(s). The source eNB transparently (i.e. does not alter values/ content) forwards the handover message/ information received from the target to the UE. When appropriate, the source eNB may initiate data forwarding for (a subset of) the DRBs.

After receiving the handover message, the UE attempts to access the target PCell at the first available RACH occasion according to Random Access resource selection defined in TS 36.321 [6], i.e. the handover is asynchronous, or at the first available PUSCH occasion if *rach-Skip* is configured. Consequently, when allocating a dedicated preamble for the random access in the target PCell, E-UTRA shall ensure it is available from the first RACH occasion the UE may use. The first available PUSCH occasion is provided by *ul-ConfigInfo*, if configured, otherwise UE shall monitor the PDCCH of target eNB. Upon successful completion of the handover, the UE sends a message used to confirm the handover.

If the target eNB does not support the release of RRC protocol which the source eNB used to configure the UE, the target eNB may be unable to comprehend the UE configuration provided by the source eNB. In this case, the target eNB should use the full configuration option to reconfigure the UE for Handover and Re-establishment. Full configuration option includes an initialization of the radio configuration, which makes the procedure independent of the configuration used in the source cell(s) with the exception that the security algorithms are continued for the RRC re-establishment.

The same behavior applies in (NG)EN-DC, if upon handover the target eNB is unable to comprehend the MCG part of the UE configuration i.e. the target eNB uses the full configuration option which involves release and configuration of (most of the) MCG and NR SCG configuration. In case of (NG)EN-DC, the target SgNB may be unable to comprehend the NR SCG configuration provided by the source SgNB. In such a case, release and addition may be applied for the NR SCG part of the configuration.

NOTE 1: When using release and addition for the NR SCG configuration, E-UTRAN includes *drb-ToReleaseList* for the SN terminated RBs.

After the successful completion of handover, PDCP SDUs may be re-transmitted in the target cell(s). This only applies for DRBs using RLC-AM mode and for handovers not involving full configuration option. The further details are specified in TS 36.323 [8]. After the successful completion of handover not involving full configuration option, the SN and the HFN are reset except for the DRBs using RLC-AM mode (for which both SN and HFN continue). For reconfigurations involving the full configuration option, the PDCP entities are newly established (SN and HFN do not continue) for all DRBs irrespective of the RLC mode. The further details are specified in TS 36.323 [8].

One UE behaviour to be performed upon handover is specified, i.e. this is regardless of the handover procedures used within the network (e.g. whether the handover includes X2 or S1 signalling procedures).

The source eNB should, for some time, maintain a context to enable the UE to return in case of handover failure. After having detected handover failure, the UE attempts to resume the RRC connection either in the source PCell or in another cell using the RRC re-establishment procedure. This connection resumption succeeds only if the accessed cell is prepared, i.e. concerns a cell of the source eNB or of another eNB towards which handover preparation has been performed. The cell in which the re-establishment procedure succeeds becomes the PCell while SCells and STAGs, if configured, are released.

Normal measurement and mobility procedures are used to support handover to cells broadcasting a CSG identity. In addition, E-UTRAN may configure the UE to report that it is entering or leaving the proximity of cell(s) included in its CSG whitelist. Furthermore, E-UTRAN may request the UE to provide additional information broadcast by the handover candidate cell e.g. global cell identity, CSG identity, CSG membership status.

NOTE 2: E-UTRAN may use the 'proximity report' to configure measurements as well as to decide whether or not to request additional information broadcast by the handover candidate cell. The additional information is used to verify whether or not the UE is authorised to access the target PCell and may also be needed to identify handover candidate cell (*PCI confusion* i.e. when the physical layer identity that is included in the measurement report does not uniquely identify the cell).

#### 5.3.1.4 Connection control in NB-IoT

In NB-IoT, during the RRC connection establishment procedure, SRB1bis is established implicitly with SRB1. SRB1bis uses the logical channel identity defined in 9.1.2a, with the same configuration as SRB1 but no PDCP entity. SRB1bis is used until security is activated. The RRC messages to activate security (command and successful response) are sent over SRB1 being integrity protected and ciphering is started after completion of the procedure. In case of unsuccessful security activation, the failure message is sent over SRB1 and subsequent messages are sent over SRB1bis. Once security is activated, new RRC messages shall be transmitted using SRB1. A NB-IoT UE that only supports the Control Plane CIoT EPS optimisation (see TS 24.301 [35]) only establishes SRB1bis.

A NB-IoT UE only supports 0, 1 or 2 DRBs, depending on its capability. A NB-IoT UE that only supports the Control Plane CIoT EPS optimisation (see TS 24.301 [35]) does not need to support any DRBs and associated procedures.

Table 5.3.1.4-1 lists the procedures that are applicable for NB-IoT. All other procedures are not applicable; this is not further stated in the corresponding procedures.

Table 5.3.1.4-1: Connection control procedures applicable to a NB-loT UE

Sub-clause	Procedures
5.3.2	Paging
5.3.3	RRC connection establishment
	RRC connection resume (see NOTE)
	CP-EDT
	UP-EDT (see NOTE)
5.3.4	Initial security activation (see NOTE)
5.3.5	RRC connection reconfiguration (see NOTE)
5.3.7	RRC connection re-establishment
5.3.8	RRC connection release
5.3.9	RRC connection release requested by upper layers
5.3.10	Radio resource configuration
5.3.11	Radio link failure related actions
5.3.12	UE actions upon leaving RRC_CONNECTED

NOTE: Not applicable for a UE that only supports the Control Plane CIoT EPS optimisation (see TS 24.301 [35]).

# 5.3.2 Paging

## 5.3.2.1 General



**Figure 5.3.2.1-1: Paging** 

The purpose of this procedure is:

- to transmit CN initiated paging information to a UE in RRC\_IDLE or RRC\_INACTIVE and/or;
- to transmit RAN initiated paging information to a UE in RRC\_INACTIVE and/or;
- to inform UEs in RRC\_IDLE, UEs in RRC\_INACTIVE and UEs in RRC\_CONNECTED other than NB-IoT UEs, BL UEs and UEs in CE, about a system information change and/ or;
- to inform UEs in RRC\_IDLE other than NB-IoT UEs, UEs in RRC\_INACTIVE and UEs in RRC\_CONNECTED other than NB-IoT UEs, BL UEs and UEs in CE, about an ETWS primary notification and/ or ETWS secondary notification and/ or;
- to inform UEs in RRC\_IDLE other than NB-IoT UEs, UEs in RRC\_INACTIVE and UEs in RRC\_CONNECTED other than NB-IoT UEs, BL UEs and UEs in CE, about a CMAS notification and/or;
- to inform UEs other than NB-IoT UEs in RRC\_IDLE, and other than UEs connected to 5GC about an EAB parameters modification and/ or;
- to inform UEs other than NB-IoT UEs in RRC\_IDLE, and UEs in RRC\_INACTIVE to perform E-UTRAN inter-frequency redistribution procedure.

The paging information of CN initiated paging is provided to upper layers, which in response may initiate RRC connection establishment, e.g. to receive an incoming call.

### 5.3.2.2 Initiation

E-UTRAN initiates the paging procedure by transmitting the *Paging* message at the UE's paging occasion as specified in TS 36.304 [4]. E-UTRAN may address multiple UEs within a *Paging* message by including one *PagingRecord* for each UE. E-UTRAN may also indicate a change of system information, and/ or provide an ETWS notification or a CMAS notification in the *Paging* message.

# 5.3.2.3 Reception of the *Paging* message by the UE

Upon receiving the *Paging* message, the UE shall:

- 1> if in RRC\_IDLE, for each of the *PagingRecord*, if any, included in the *Paging* message:
  - 2> if the *ue-Identity* included in the *PagingRecord* matches one of the UE identities allocated by upper layers:
    - 3> forward the *ue-Identity*, *accessType* (if present) and, except for NB-IoT, the *cn-Domain* to the upper layers;
- 1> if in RRC\_INACTIVE, for each of the *PagingRecord*, if any, included in the *Paging* message:
  - 2> if the *ue-Identity* included in the *PagingRecord* matches the stored *fullI-RNTI*:
    - 3> if UE is configured with one or more access identities equal to 1, 2 or 11-15 applicable in the selected PLMN:
      - 4> initiate RRC connection resume procedure in 5.3.3.2 with cause value set to 'highProrityAccess';
    - 3> else:
      - 4> initiate the RRC connection resumption procedure according to 5.3.3.2 with cause value set to 'mt-access';
  - 2> else if the *ue-Identity* included in the *PagingRecord* matches one of the UE identities allocated by upper lavers:
    - 3> forward the *ue-Identity*, accessType (if present) and the *cn-Domain* to the upper layers;
    - 3> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12, with release cause 'other';
- 1> if the UE is not configured with a DRX cycle longer than the modification period and the *systemInfoModification* is included; or
- 1> if the UE is configured with a DRX cycle longer than the modification period and the *systemInfoModification-eDRX* is included:
  - 2> re-acquire the required system information using the system information acquisition procedure as specified in 5.2.2.
- 1> if the etws-Indication is included and the UE is ETWS capable:
  - 2> re-acquire *SystemInformationBlockType1* immediately, i.e., without waiting until the next system information modification period boundary;
  - 2> if the *schedulingInfoList* indicates that *SystemInformationBlockType10* is present:
    - 3> acquire SystemInformationBlockType10;
- NOTE: If the UE is in CE, it is up to UE implementation when to start acquiring SystemInformationBlockType10.
  - 2> if the *schedulingInfoList* indicates that *SystemInformationBlockType11* is present:
    - 3> acquire SystemInformationBlockType11;
- 1> if the *cmas-Indication* is included and the UE is CMAS capable:
  - 2> re-acquire *SystemInformationBlockType1* immediately, i.e., without waiting until the next system information modification period boundary as specified in 5.2.1.5;

- 2> if the schedulingInfoList indicates that SystemInformationBlockType12 is present:
  - 3> acquire SystemInformationBlockType12;
- 1> if in RRC\_IDLE, the *eab-ParamModification* is included and the UE is EAB capable:
  - 2> consider previously stored *SystemInformationBlockType14* as invalid;
  - 2> re-acquire *SystemInformationBlockType1* immediately, i.e., without waiting until the next system information modification period boundary as specified in 5.2.1.6;
  - 2> re-acquire *SystemInformationBlockType14* using the system information acquisition procedure as specified in 5.2.2.4;
- 1> if in RRC\_IDLE, the *redistributionIndication* is included and the UE is redistribution capable:
  - 2> perform E-UTRAN inter-frequency redistribution procedure as specified in TS 36.304 [4], clause 5.2.4.10;

## 5.3.3 RRC connection establishment

# 5.3.3.1 General

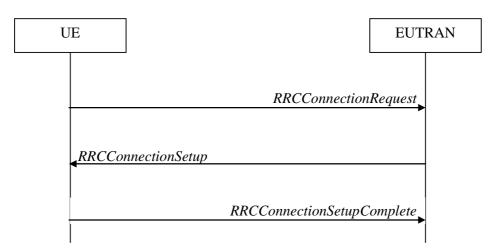


Figure 5.3.3.1-1: RRC connection establishment, successful

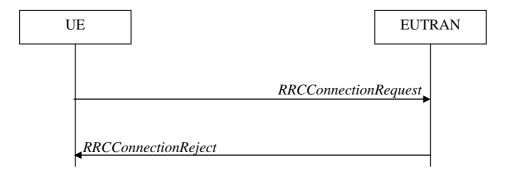


Figure 5.3.3.1-2: RRC connection establishment, network reject

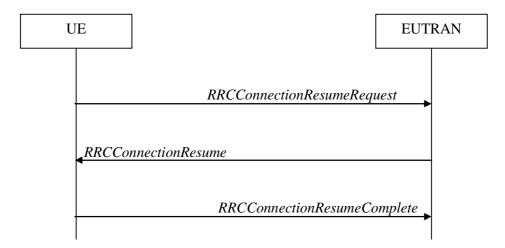


Figure 5.3.3.1-3: RRC connection resume (suspended RRC connection or RRC\_INACTIVE), or UP-EDT fallback to RRC connection resume, successful

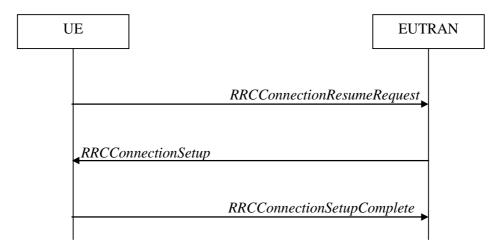


Figure 5.3.3.1-4: RRC connection resume (suspended RRC connection or RRC\_INACTIVE) or UP-EDT fallback to RRC connection establishment, successful

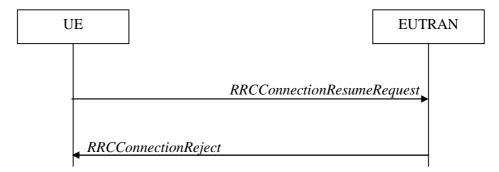


Figure 5.3.3.1-5: RRC connection resume or UP-EDT, network reject (suspended RRC connection or RRC\_INACTIVE) or release (suspended RRC connection)

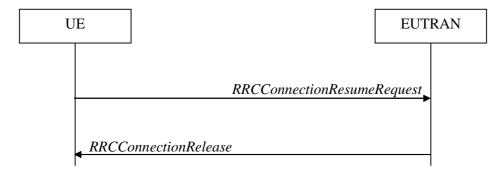


Figure 5.3.3.1-6: RRC connection resume (RRC\_INACTIVE), network release or suspend or UP-EDT, successful

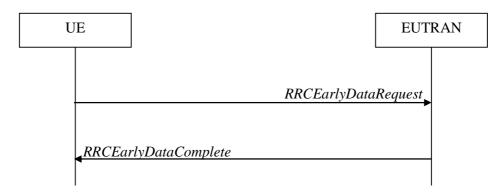


Figure 5.3.3.1-7: CP-EDT, successful

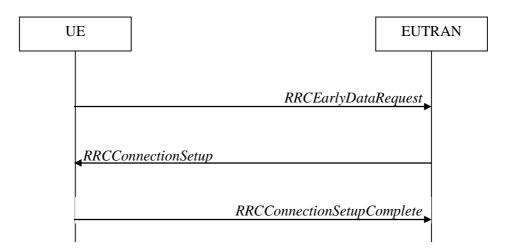


Figure 5.3.3.1-8: CP-EDT fallback to RRC connection establishment, successful

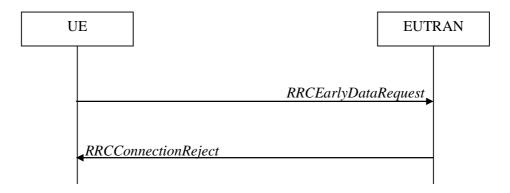


Figure 5.3.3.1-9: CP-EDT, network reject

The purpose of this procedure is to establish an RRC connection, to resume a suspended RRC connection, to move the UE from RRC\_INACTIVE to RRC\_CONNECTED or to perform EDT. RRC connection establishment involves SRB1 (and SRB1bis for NB-IoT) establishment. The procedure is also used to transfer the initial NAS dedicated information/ message from the UE to E-UTRAN.

E-UTRAN applies the procedure as follows:

- When establishing an RRC connection:
  - to establish SRB1 and, for NB-IoT, SRB1bis;
- When resuming an RRC connection from a suspended RRC connection or from RRC\_INACTIVE:
  - to restore the AS configuration from a stored context including resuming SRB(s) and DRB(s);
- When performing EDT.

# 5.3.3.1a Conditions for establishing RRC Connection for sidelink communication/ discovery/ V2X sidelink communication

For sidelink communication an RRC connection is initiated only in the following case:

- 1> if configured by upper layers to transmit non-relay related sidelink communication and related data is available for transmission:
  - 2> if *SystemInformationBlockType18* is broadcast by the cell on which the UE camps; and if the valid version of *SystemInformationBlockType18* does not include *commTxPoolNormalCommon*;
- 1> if configured by upper layers to transmit relay related sidelink communication:
  - 2> if the UE is acting as sidelink relay UE; and if *SystemInformationBlockType18* is broadcast by the cell on which the UE camps; or
  - 2> if the UE has a selected sidelink relay UE; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met and if *SystemInformationBlockType18* is broadcast by the cell on which the UE camps; and if the valid version of *SystemInformationBlockType18* does not include *commTxPoolNormalCommon* or *commTxAllowRelayCommon*;

For V2X sidelink communication an RRC connection is initiated only in the following case:

- 1> if configured by upper layers to transmit non-P2X related V2X sidelink communication and related data is available for transmission:
  - 2> if the frequency on which the UE is configured to transmit non-P2X related V2X sidelink communication concerns the camped frequency; and if *SystemInformationBlockType21* is broadcast by the cell on which the UE camps; and if the valid version of *SystemInformationBlockType21* includes *sl-V2X-ConfigCommon*; and *sl-V2X-ConfigCommon* does not include *v2x-CommTxPoolNormalCommon*; or
  - 2> if the frequency on which the UE is configured to transmit non-P2X related V2X sidelink communication is included in v2x-InterFreqInfoList within SystemInformationBlockType21 or SystemInformationBlockType26 broadcast by the cell on which the UE camps; and if neither the valid version of SystemInformationBlockType21 nor that of SystemInformationBlockType26 includes v2x-CommTxPoolNormal for the concerned frequency;
- 1> if configured by upper layers to transmit P2X related V2X sidelink communication and related data is available for transmission:
  - 2> if the frequency on which the UE is configured to transmit P2X related V2X sidelink communication concerns the camped frequency; and if *SystemInformationBlockType21* is broadcast by the cell on which the UE camps; and if the valid version of *SystemInformationBlockType21* includes *sl-V2X-ConfigCommon*; and *sl-V2X-ConfigCommon* does not include *p2x-CommTxPoolNormalCommon*; or
  - 2> if the frequency on which the UE is configured to transmit P2X related V2X sidelink communication is included in *v2x-InterFreqInfoList* within *SystemInformationBlockType21* or *SystemInformationBlockType26* broadcast by the cell on which the UE camps; and if neither the valid version of

SystemInformationBlockType21 nor that of SystemInformationBlockType26 includes p2x-CommTxPoolNormal for the concerned frequency;

For sidelink discovery an RRC connection is initiated only in the following case:

- 1> if configured by upper layers to transmit non-PS related sidelink discovery announcements:
  - 2> if the frequency on which the UE is configured to transmit non-PS related sidelink discovery announcements concerns the camped frequency; and *SystemInformationBlockType19* of the cell on which the UE camps does not include *discTxPoolCommon-r12*; or
  - 2> if the frequency on which the UE is configured to transmit non-PS related sidelink discovery announcements is included in *discInterFreqList* in *SystemInformationBlockType19* broadcast by the cell on which the UE camps, with *discTxResourcesInterFreq* included within *discResourcesNonPS* and set to *requestDedicated*;
- 1> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements:
  - 2> if the frequency on which the UE is configured to transmit non-relay PS related sidelink discovery announcements concerns the camped frequency; and *SystemInformationBlockType19* of the cell on which the UE camps includes *discConfigPS* but does not include *discTxPoolPS-Common*; or
  - 2> if the frequency on which the UE is configured to transmit non-relay PS related sidelink discovery announcements (e.g. group member discovery) is included in *discInterFreqList* in *SystemInformationBlockType19* broadcast by the cell on which the UE camps, with *discTxResourcesInterFreq* within *discResourcesPS* included and set to *requestDedicated*;
- 1> if configured by upper layers to transmit relay PS related sidelink discovery announcements:
  - 2> if the UE is acting as sidelink relay UE; and if the sidelink relay UE threshold conditions as specified in 5.10.10.4 are met; or
  - 2> if the UE is selecting a sidelink relay UE / has a selected sidelink relay UE; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met:
    - 3> if the frequency on which the UE is configured to transmit relay PS related sidelink discovery announcements concerns the camped frequency; and *SystemInformationBlockType19* of the cell on which the UE camps includes *discConfigRelay* and *discConfigPS* but does not include *discTxPoolPS-Common*;

NOTE: Upper layers initiate an RRC connection. The interaction with NAS is left to UE implementation.

## 5.3.3.1b Conditions for initiating EDT

A BL UE, UE in CE or NB-IoT UE can initiate EDT when all of the following conditions are fulfilled:

- 1> for CP-EDT, the upper layers request establishment of an RRC connection, the UE supports CP-EDT, and *SystemInformationBlockType2* (*SystemInformationBlockType2-NB* in NB-IoT) includes *cp-EDT*; or
- 1> for UP-EDT, the upper layers request resumption of an RRC connection, the UE supports UP-EDT, SystemInformationBlockType2 (SystemInformationBlockType2-NB in NB-IoT) includes up-EDT, and the UE has a stored value of the nextHopChainingCount provided in the RRCConnectionRelease message with suspend indication during the preceding suspend procedure;
- 1> the establishment or resumption request is for mobile originating calls and the establishment cause is *mo-Data* or *mo-ExceptionData* or *delayTolerantAccess*;
- 1> the establishment or resumption request is suitable for EDT as specified in TS 36.300 [9], clause 7.3b.1;
- 1> SystemInformationBlockType2 (SystemInformationBlockType2-NB in NB-IoT) includes edt-Parameters;
- 1> the size of the resulting MAC PDU including the total UL data is expected to be smaller than or equal to the TBS signalled in *edt-TBS* as specified in TS 36.321 [6], clause 5.1.1;
- 1> EDT fallback indication has not been received from lower layers for this establishment or resumption procedure;
- NOTE 1: Upper layers request or resume an RRC connection. The interaction with NAS is up to UE implementation.

NOTE 2: It is up to UE implementation how the UE determines whether the size of UL data is suitable for EDT.

### 5.3.3.2 Initiation

The UE initiates the procedure when upper layers request establishment or resume of an RRC connection while the UE is in RRC\_IDLE or when upper layers request resume of an RRC connection or RRC layer requests resume of an RRC connection for, e.g. RNAU or reception of RAN paging while the UE is in RRC\_INACTIVE.

Except for NB-IoT, upon initiation of the procedure, if the UE is connected to EPC, the UE shall:

- 1> if SystemInformationBlockType2 includes ac-BarringPerPLMN-List and the ac-BarringPerPLMN-List contains an AC-BarringPerPLMN entry with the plmn-IdentityIndex corresponding to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]):
  - 2> select the *AC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers;
  - 2> in the remainder of this procedure, use the selected *AC-BarringPerPLMN* entry (i.e. presence or absence of access barring parameters in this entry) irrespective of the common access barring parameters included in *SystemInformationBlockType2*;

#### 1> else

- 2> in the remainder of this procedure use the common access barring parameters (i.e. presence or absence of these parameters) included in *SystemInformationBlockType2*;
- 1> if SystemInformationBlockType2 contains acdc-BarringPerPLMN-List and the acdc-BarringPerPLMN-List contains an ACDC-BarringPerPLMN entry with the plmn-IdentityIndex corresponding to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]):
  - 2> select the *ACDC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers;
  - 2> in the remainder of this procedure, use the selected ACDC-BarringPerPLMN entry for ACDC barring check (i.e. presence or absence of access barring parameters in this entry) irrespective of the acdc-BarringForCommon parameters included in SystemInformationBlockType2;

#### 1> else:

- 2> in the remainder of this procedure use the *acdc-BarringForCommon* (i.e. presence or absence of these parameters) included in *SystemInformationBlockType2* for ACDC barring check;
- 1> if upper layers indicate that the RRC connection is subject to EAB (see TS 24.301 [35]):
  - 2> if the result of the EAB check, as specified in 5.3.3.12, is that access to the cell is barred:
    - 3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that EAB is applicable, upon which the procedure ends;
- 1> if upper layers indicate that the RRC connection is subject to ACDC (see TS 24.301 [35]), SystemInformationBlockType2 contains BarringPerACDC-CategoryList, and acdc-HPLMNonly indicates that ACDC is applicable for the UE:
  - 2> if the *BarringPerACDC-CategoryList* contains a *BarringPerACDC-Category* entry corresponding to the ACDC category selected by upper layers:
    - 3> select the BarringPerACDC-Category entry corresponding to the ACDC category selected by upper layers;

#### 2> else:

- 3> select the last BarringPerACDC-Category entry in the BarringPerACDC-CategoryList;
- 2> stop timer T308, if running;

- 2> perform access barring check as specified in 5.3.3.13, using T308 as "Tbarring" and *acdc-BarringConfig* in the *BarringPerACDC-Category* as "ACDC barring parameter";
- 2> if access to the cell is barred:
  - 3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring is applicable due to ACDC, upon which the procedure ends;
- 1> else if the UE is establishing the RRC connection for mobile terminating calls:
  - 2> if timer T302 is running:
    - 3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile terminating calls is applicable, upon which the procedure ends;
- 1> else if the UE is establishing the RRC connection for emergency calls:
  - 2> if SystemInformationBlockType2 includes the ac-BarringInfo:
    - 3> if the *ac-BarringForEmergency* is set to *TRUE*:
      - 4> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11]:
- NOTE 1: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/ EHPLMN.
  - 5> if the *ac-BarringInfo* includes *ac-BarringForMO-Data*, and for all of these valid Access Classes for the UE, the corresponding bit in the *ac-BarringForSpecialAC* contained in *ac-BarringForMO-Data* is set to *one*:
    - 6> consider access to the cell as barred;
  - 4> else:
    - 5> consider access to the cell as barred;
  - 2> if access to the cell is barred:
    - 3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication, upon which the procedure ends;
- 1> else if the UE is establishing the RRC connection for mobile originating calls:
  - 2> perform access barring check as specified in 5.3.3.11, using T303 as "Tbarring" and *ac-BarringForMO-Data* as "AC barring parameter";
  - 2> if access to the cell is barred:
    - 3> if SystemInformationBlockType2 includes ac-BarringForCSFB or the UE does not support CS fallback:
      - 4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls is applicable, upon which the procedure ends;
    - 3> else (*SystemInformationBlockType2* does not include *ac-BarringForCSFB* and the UE supports CS fallback):
      - 4> if timer T306 is not running, start T306 with the timer value of T303;
      - 4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls and mobile originating CS fallback is applicable, upon which the procedure ends;
- 1> else if the UE is establishing the RRC connection for mobile originating signalling:

- 2> perform access barring check as specified in 5.3.3.11, using T305 as "Tbarring" and *ac-BarringForMO-Signalling* as "AC barring parameter";
- 2> if access to the cell is barred:
  - 3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating signalling is applicable, upon which the procedure ends;
- 1> else if the UE is establishing the RRC connection for mobile originating CS fallback:
  - 2> if *SystemInformationBlockType2* includes *ac-BarringForCSFB*:
    - 3> perform access barring check as specified in 5.3.3.11, using T306 as "Tbarring" and *ac-BarringForCSFB* as "AC barring parameter";
    - 3> if access to the cell is barred:
      - 4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating CS fallback is applicable, due to *ac-BarringForCSFB*, upon which the procedure ends;

#### 2> else:

- 3> perform access barring check as specified in 5.3.3.11, using T306 as "Tbarring" and *ac-BarringForMO-Data* as "AC barring parameter";
- 3> if access to the cell is barred:
  - 4> if timer T303 is not running, start T303 with the timer value of T306;
  - 4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating CS fallback and mobile originating calls is applicable, due to *ac-BarringForMO-Data*, upon which the procedure ends;
- 1> else if the UE is establishing the RRC connection for mobile originating MMTEL voice, mobile originating MMTEL video, mobile originating SMSoIP or mobile originating SMS:
  - 2> if the UE is establishing the RRC connection for mobile originating MMTEL voice and *SystemInformationBlockType2* includes *ac-BarringSkipForMMTELVoice*; or
  - 2> if the UE is establishing the RRC connection for mobile originating MMTEL video and *SystemInformationBlockType2* includes *ac-BarringSkipForMMTELVideo*; or
  - 2> if the UE is establishing the RRC connection for mobile originating SMSoIP or SMS and *SystemInformationBlockType2* includes *ac-BarringSkipForSMS*:
    - 3> consider access to the cell as not barred;

#### 2> else:

- 3> if establishmentCause received from higher layers is set to mo-Signalling (including the case that mo-Signalling is replaced by highPriorityAccess according to TS 24.301 [35] or by mo-VoiceCall according to the clause 5.3.3.3):
  - 4> perform access barring check as specified in 5.3.3.11, using T305 as "Tbarring" and *ac-BarringForMO-Signalling* as "AC barring parameter";
  - 4> if access to the cell is barred:
    - 5> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating signalling is applicable, upon which the procedure ends;
- 3> if *establishmentCause* received from higher layers is set to *mo-Data* (including the case that *mo-Data* is replaced by *highPriorityAccess* according to TS 24.301 [35] or by *mo-VoiceCall* according to the clause 5.3.3.3):

- 4> perform access barring check as specified in 5.3.3.11, using T303 as "Tbarring" and *ac-BarringForMO-Data* as "AC barring parameter";
- 4> if access to the cell is barred:
  - 5> if SystemInformationBlockType2 includes ac-BarringForCSFB or the UE does not support CS fallback:
    - 6> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls is applicable, upon which the procedure ends;
  - 5> else (*SystemInformationBlockType2* does not include *ac-BarringForCSFB* and the UE supports CS fallback):
    - 6> if timer T306 is not running, start T306 with the timer value of T303;
    - 6> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls and mobile originating CS fallback is applicable, upon which the procedure ends;

Upon initiation of the procedure, if the UE is connected to 5GC, the UE shall:

- 1> if the upper layers provide an Access Category and one or more Access Identities upon requesting establishment of an RRC connection:
  - 2> perform the unified access control procedure as specified in 5.3.16 using the Access Category and Access Identities provided by upper layers;
    - 3> if the access attempt is barred, the procedure ends;
- 1> if the resumption of the RRC connection is triggered by response to NG-RAN paging:
  - 2> select '0' as the Access Category;
  - 2> perform the unified access control procedure as specified in 5.3.16 using the selected Access Category and one or more Access Identities provided by upper layers;
    - 3> if the access attempt is barred, the procedure ends;
- 1> else if the resumption of the RRC connection is triggered by upper layers:
  - 2> if the upper layers provide an Access Category and one or more Access Identities:
    - 3> perform the unified access control procedure as specified in 5.3.16 using the Access Category and Access Identities provided by upper layers;
      - 4> if the access attempt is barred, the procedure ends;
  - 2> set the *resumeCause* in accordance with the information received from upper layers;
- 1> else if the resumption of the RRC connection is triggered due to an RNAU:
  - 2> if an emergency service is ongoing:
    - 3> select '2' as the Access Category;
    - 3> set the resumeCause to emergency;
  - 2> else:
    - 3> select '8' as the Access Category;
  - 2> perform the unified access control procedure as specified in 5.3.16 using the selected Access Category and one or more Access Identities to be applied as specified in TS 24.501 [95];
    - 3> if the access attempt is barred:

- 4> set the variable *pendingRnaUpdate* to 'TRUE';
- 4> the procedure ends;

Except for NB-IoT, upon initiating the procedure, if connected to EPC or 5GC, the UE shall:

- 1> if the UE is resuming an RRC connection from a suspended RRC connection or from RRC\_INACTIVE:
  - 2> if the UE was configured with (NG)EN-DC:
    - 3> perform MR-DC release, as specified in TS 38.331 [82], clause 5.3.5.10;
    - 3> release *p-MaxEUTRA*, if configured;
    - 3> release *p-MaxUE-FR1*, if configured;
    - 3> release *tdm-PatternConfig*, if configured;
  - 2> release the MCG SCell(s), if configured, in accordance with 5.3.10.3a;
  - 2> release powerPrefIndicationConfig, if configured and stop timer T340, if running;
  - 2> release reportProximityConfig and clear any associated proximity status reporting timer;
  - 2> release *obtainLocationConfig*, if configured;
  - 2> release *idc-Config*, if configured;
  - 2> release sps-AssistanceInfoReport, if configured;
  - 2> release *measSubframePatternPCell*, if configured;
  - 2> release the entire SCG configuration, if configured, except for the DRB configuration (as configured by *drb-ToAddModListSCG*);
  - 2> release *naics-Info* for the PCell, if configured;
  - 2> release the LWA configuration, if configured, as described in 5.6.14.3;
  - 2> release the LWIP configuration, if configured, as described in 5.6.17.3;
  - 2> release bw-PreferenceIndicationTimer, if configured and stop timer T341, if running;
  - 2> release delayBudgetReportingConfig, if configured and stop timer T342, if running;
  - 2> release ailc-BitConfig, if configured;
  - 2> release *uplinkDataCompression*, if configured;
- NOTE 1a: The parameters and configurations are released from the UE Inactive AS context if the UE is resuming an RRC connection from RRC\_INACTIVE.
- 1> apply the default physical channel configuration as specified in 9.2.4;
- 1> apply the default semi-persistent scheduling configuration as specified in 9.2.3;
- 1> apply the default MAC main configuration as specified in 9.2.2;
- 1> apply the CCCH configuration as specified in 9.1.1.2;
- 1> apply the timeAlignmentTimerCommon included in SystemInformationBlockType2;
- 1> start timer T300;
- 1> if the UE is resuming an RRC connection from a suspended RRC connection:
  - 2> initiate transmission of the RRCConnectionResumeRequest message in accordance with 5.3.3.3a;
- 1> else if the UE is resuming an RRC connection from RRC\_INACTIVE:

- 2> set the variable *pendingRnaUpdate* to 'FALSE';
- 2> initiate transmission of the RRCConnectionResumeRequest message in accordance with 5.3.3.3a;
- 1> else:
  - 2> if stored, discard the UE AS context, UE Inactive AS context and resumeIdentity;
  - 2> release *rrc-InactiveConfig*, if configured;
  - 2> if the UE is initiating CP-EDT in accordance with conditions in 5.3.3.1b:
    - 3> initiate transmission of the RRCEarlyDataRequest message in accordance with 5.3.3.3b;
  - 2> else:
    - 3> initiate transmission of the RRCConnectionRequest message in accordance with 5.3.3.3;
- NOTE 2: Upon initiating the connection establishment procedure, the UE is not required to ensure it maintains up to date system information applicable only for UEs in RRC\_IDLE state or UEs in RRC\_INACTIVE. However, the UE needs to perform system information acquisition upon cell re-selection.
- For NB-IoT, upon initiation of the procedure, the UE shall:
  - 1> if the UE is establishing or resuming the RRC connection for mobile originating exception data; or
  - 1> if the UE is establishing or resuming the RRC connection for mobile originating data; or
  - 1> if the UE is establishing or resuming the RRC connection for delay tolerant access; or
  - 1> if the UE is establishing or resuming the RRC connection for mobile originating signalling;
    - 2> perform access barring check as specified in 5.3.3.14;
    - 2> if access to the cell is barred:
      - 3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring is applicable, upon which the procedure ends;
  - 1> apply the default physical channel configuration as specified in 9.2.4;
  - 1> apply the default MAC main configuration as specified in 9.2.2;
  - 1> apply the CCCH configuration as specified in 9.1.1.2;
  - 1> start timer T300;
  - 1> if the UE is establishing an RRC connection:
    - 2> if stored, discard the UE AS context and resumeIdentity;
    - 2> if the UE is initiating CP-EDT in accordance with conditions in 5.3.3.1b:
      - 3> initiate transmission of the RRCEarlyDataRequest message in accordance with 5.3.3.3b;
    - 2> else:
      - 3> initiate transmission of the RRCConnectionRequest message in accordance with 5.3.3.3;
  - 1> else if the UE is resuming an RRC connection:
    - 2> release *schedulingRequestConfig*, if configured;
    - 2> initiate transmission of the RRCConnectionResumeRequest message in accordance with 5.3.3.3a;
  - NOTE 3: Upon initiating the connection establishment or resumption procedure, the UE is not required to ensure it maintains up to date system information applicable only for UEs in RRC\_IDLE state. However, the UE needs to perform system information acquisition upon cell re-selection.

NOTE 4: For EDT, upon initiating the connection establishment or resumption procedure, it is up to UE implementation whether to continue cell re-selection related measurements as well as cell re-selection evaluation and, if the conditions for cell re-selection are fulfilled, whether to perform cell re-selection as specified in 5.3.3.5.

# 5.3.3.3 Actions related to transmission of *RRCConnectionRequest* message

The UE shall set the contents of RRCConnectionRequest message as follows:

- 1> if the UE is connected to EPC:
  - 2> set the *ue-Identity* as follows:
    - 3> if upper layers provide an S-TMSI:
      - 4> set the *ue-Identity* to the value received from upper layers;
    - 3> else:
      - 4> draw a random value in the range 0 ..  $2^{40}$ -1 and set the *ue-Identity* to this value;
- NOTE 1: Upper layers provide the S-TMSI if the UE is registered in the TA of the current cell.
  - 2> if the UE supports mo-VoiceCall establishment cause and UE is establishing the RRC connection for mobile originating MMTEL voice and SystemInformationBlockType2 includes voiceServiceCauseIndication and the establishment cause received from upper layers is not set to highPriorityAccess:
    - 3> set the establishmentCause to mo-VoiceCall:
  - 2> else if the UE supports *mo-VoiceCall* establishment cause for mobile originating MMTEL video and UE is establishing the RRC connection for mobile originating MMTEL video and *SystemInformationBlockType2* includes *videoServiceCauseIndication* and the establishment cause received from upper layers is not set to *highPriorityAccess*:
    - 3> set the establishmentCause to mo-VoiceCall;
  - 2> else:
    - 3> set the establishmentCause in accordance with the information received from upper layers;
- 1> if the UE is connected to 5GC:
  - 2> set the *ue-Identity* as follows:
    - 3> if upper layers provide a 5G-S-TMSI:
      - 4> set the *ue-Identity* to ng-5G-S-TMSI-Part1;
    - 3> else:
      - 4> draw a random value in the range  $0 ... 2^{40}$ -1 and set the *ue-Identity* to this value;
  - 2> set the establishmentCause in accordance with the information received from upper layers;
  - 2> apply the default NR PDCP configuration as specified in TS 38.331 [82], clause 9.2.1.1 for SRB1;
  - 2> use NR PDCP for all subsequent messages received and sent by the UE via SRB1;
- 1> if the UE is a NB-IoT UE:
  - 2> if the UE supports multi-tone transmission, include *multiToneSupport*;
  - 2> if the UE supports multi-carrier operation, include *multiCarrierSupport*;
  - 2> if the UE supports DL channel quality reporting and *cqi-Reporting* is present in *SystemInformationBlockType2-NB*:

- 3> set the *cqi-NPDCCH* to include the latest results of the downlink channel quality measurements of the serving cell as specified in TS 36.133 [16];
- NOTE 2: The downlink channel quality measurements may use measurement period T1 or T2, as defined in TS 36.133 [16]. In case period T2 is used the RRC-MAC interactions are left to UE implementation.
  - 2> set earlyContentionResolution to TRUE;

The UE shall submit the RRCConnectionRequest message to lower layers for transmission.

The UE shall continue cell re-selection related measurements as well as cell re-selection evaluation. If the conditions for cell re-selection are fulfilled, the UE shall perform cell re-selection as specified in 5.3.3.5.

## 5.3.3.3a Actions related to transmission of *RRCConnectionResumeRequest* message

If the UE is resuming the RRC connection from a suspended RRC connection, the UE shall set the contents of *RRCConnectionResumeRequest* message as follows:

- 1> if the UE is a NB-IoT UE; or
- 1> if the UE is initiating UP-EDT in accordance with conditions in 5.3.3.1b; or
- 1> if field *useFullResumeID* is signalled in *SystemInformationBlockType2*:
  - 2> set the *resumeID* to the stored *resumeIdentity*;
- 1> else:
  - 2> set the *truncatedResumeID* to include bits in bit position 9 to 20 and 29 to 40 from the left in the stored *resumeIdentity*.
- 1> if the UE supports *mo-VoiceCall* establishment cause and UE is resuming the RRC connection for mobile originating MMTEL voice and *SystemInformationBlockType2* includes *voiceServiceCauseIndication* and the establishment cause received from upper layers is not set to *highPriorityAccess*:
  - 2> set the *resumeCause* to *mo-VoiceCall*;
- 1> else if the UE supports mo-VoiceCall establishment cause for mobile originating MMTEL video and UE is resuming the RRC connection for mobile originating MMTEL video and SystemInformationBlockType2 includes videoServiceCauseIndication and the establishment cause received from upper layers is not set to highPriorityAccess:
  - 2> set the resumeCause to mo-VoiceCall;
- 1> else:
  - 2> set the resumeCause in accordance with the information received from upper layers;
- 1> set the *shortResumeMAC-I* to the 16 least significant bits of the MAC-I calculated:
  - 2> over the ASN.1 encoded as per clause 8 (i.e., a multiple of 8 bits) *VarShortResumeMAC-Input* (or *VarShortResumeMAC-Input-NB* in NB-IoT);
  - 2> with the K<sub>RRCint</sub> key and the previously configured integrity protection algorithm; and
  - 2> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;
- 1> if the UE is a NB-IoT UE:
  - 2> if the UE supports DL channel quality reporting and *cqi-Reporting* is present in *SystemInformationBlockType2-NB*:
    - 3> set the *cqi-NPDCCH* to include the latest results of the downlink channel quality measurements of the serving cell as specified in TS 36.133 [16];
- NOTE 0: The downlink channel quality measurements may use measurement period T1 or T2, as defined in TS 36.133 [16]. In case period T2 is used the RRC-MAC interactions are left to UE implementation.

- 2> set earlyContentionResolution to TRUE;
- 1> restore the RRC configuration and security context from the stored UE AS context;
- 1> if the UE is initiating UP-EDT in accordance with conditions in 5.3.3.1b:
  - 2> restore the PDCP state and re-establish PDCP entities for all SRBs and all DRBs;
  - 2> if *drb-ContinueROHC* has been provided in immediately preceding RRC connection release message, and the UE is requesting to resume RRC connection in the same cell:
    - 3> indicate to lower layers that stored UE AS context is used and that drb-ContinueROHC is configured;
    - 3> continue the header compression protocol context for the DRBs configured with the header compression protocol;
  - 2> else:
    - 3> indicate to lower layers that stored UE AS context is used;
    - 3> reset the header compression protocol context for the DRBs configured with the header compression protocol;
  - 2> resume all SRBs and all DRBs;
  - 2> derive the K<sub>eNB</sub> key based on the K<sub>ASME</sub> key to which the current K<sub>eNB</sub> is associated, using the stored value of nextHopChainingCount received in the RRCConnectionRelease message in the preceding connection, as specified in TS 33.401 [32];
  - 2> derive the K<sub>RRCint</sub> key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];
  - 2> derive the K<sub>RRCenc</sub> key and the K<sub>UPenc</sub> key associated with the previously configured ciphering algorithm, as specified in TS 33.401 [32];
  - 2> configure lower layers to resume integrity protection using the previously configured algorithm and the  $K_{RRCint}$  key derived in this clause to all subsequent messages received and sent by the UE;
  - 2> configure lower layers to resume ciphering and to apply the ciphering algorithm and the K<sub>RRCenc</sub> key derived in this clause to all subsequent messages received and sent by the UE;
  - 2> configure lower layers to resume ciphering and to apply the ciphering algorithm and the  $K_{UPenc}$  key derived in this clause immediately to the user data sent and received by the UE;
  - 2> configure the lower layers to use EDT;
- 1> else:
  - 2> if SRB1 was configured with NR PDCP:
    - 3> for SRB1, release the NR PDCP entity and establish an E-UTRA PDCP entity with the current (MCG) security configuration;
- NOTE 1: The UE applies the LTE ciphering and integrity protection algorithms that are equivalent to the previously configured NR security algorithms.
  - 2> else:
    - 3> for SRB1, restore the PDCP state and re-establish the PDCP entity;

If the UE is resuming the RRC connection from RRC\_INACTIVE, the UE shall set the contents of *RRCConnectionResumeRequest* message as follows:

- 2> if field useFullResumeID is signalled in SystemInformationBlockType2:
  - 3> set the *fullI-RNTI* to the stored *fullI-RNTI* value provided in suspend;

- 2> else:
  - 3> set the *shortI-RNTI* to the stored *shortI-RNTI* value provided in suspend;
- 2> restore the RRC configuration, RoHC state, the stored QoS flow to DRB mapping rules and the K<sub>eNB</sub> and K<sub>RRCint</sub> keys from the UE Inactive AS context except physical layer, MAC configuration and NR *pdcp-Config*;
- 2> set the *shortResumeMAC-I* to the 16 least significant bits of the MAC-I calculated:
  - 3> over the ASN.1 encoded as per clause 8 (i.e., a multiple of 8 bits) VarShortINACTIVE-MAC-Input;
  - 3> with the K<sub>RRCint</sub> key in the UE Inactive AS Context and the previously configured integrity protection algorithm; and
  - 3> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;
- 2> derive the K<sub>eNB</sub> key based on the current K<sub>eNB</sub> or the NH, using the stored *nextHopChainingCount* value, as specified in TS 33.501 [86];
- 2> derive the  $K_{RRCenc}$  key, the  $K_{RRCint}$  and the  $K_{UPenc}$  key, as specified in TS 33.401 [32];
- 2> apply the default configuration for SRB1 as specified in 9.2.1.1;
- 2> apply the default NR PDCP configuration as specified in TS 38.331 [82], clause 9.2.1 for SRB1;
- 2> configure lower layers to resume integrity protection for all SRBs except SRB0 using the configured algorithm and the  $K_{RRCint}$  key derived in this clause immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE;
- 2> configure lower layers to resume ciphering for all radio bearers except SRB0 and to apply the configured ciphering algorithm, the K<sub>RRCenc</sub> key and the K<sub>UPenc</sub> key derived in this clause, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE;

Following procedures are applied for both suspended RRC connection and RRC INACTIVE:

- 2> resume SRB1:
- NOTE 2: Until successful connection resumption, the default physical layer configuration and the default MAC Main configuration are applied for the transmission of SRB0 and SRB1, and SRB1 is used only for the transfer of *RRCConnectionResume* message, and *RRCConnectionRelease* message if security has been reactivated.

The UE shall submit the RRCConnectionResumeRequest message to lower layers for transmission.

The UE shall continue cell re-selection related measurements as well as cell re-selection evaluation.

If the UE is resuming the RRC connection from RRC\_INACTIVE and if lower layers indicate an integrity check failure while T300 is running, the UE shall perform actions specified in 5.3.3.16.

## 5.3.3.3b Actions related to transmission of *RRCEarlyDataRequest* message

The UE shall set the contents of RRCEarlyDataRequest message as follows:

- 1> set the *s-TMSI* to the value received from upper layers;
- 1> set the establishmentCause in accordance with the information received from upper layers;
- 1> if the UE is a NB-IoT UE:
  - 2> if the UE supports DL channel quality reporting and *cqi-Reporting* is present in *SystemInformationBlockType2-NB*:
    - 3> set the *cqi-NPDCCH* to include the latest results of the downlink channel quality measurements of the serving cell as specified in TS 36.133 [16];

NOTE: The downlink channel quality measurements may use measurement period T1 or T2, as defined in TS 36.133 [16]. In case period T2 is used the RRC-MAC interactions are left to UE implementation.

1> set the *dedicatedInfoNAS* to include the information received from upper layers;

The UE shall configure the lower layers to use EDT and submit the RRCEarlyDataRequest message to the lower layers for transmission.

# 5.3.3.3c UE actions upon receiving EDT fallback indication from lower layers

Upon indication from lower layers that EDT is cancelled, the UE shall:

- 1> start or restart timer T300;
- 1> if the fallback is indicated by lower layers in response to the RRCEarlyDataRequest:
  - 2> initiate transmission of RRCConnectionRequest message in accordance with 5.3.3.3;
- 1> else if the fallback is indicated by lower layers in response to the *RRCConnectionResumeRequest* for EDT and the fallback is not due to the UL grant provided in Random Access Response not being for EDT:
  - 2> perform the actions upon abortion of UP-EDT as specified in 5.3.3.9a;
  - 2> initiate transmission of the RRCConnectionResumeRequest message in accordance with 5.3.3.3a;

NOTE: It is up to UE implementation to avoid data loss due to EDT fallback.

# 5.3.3.4 Reception of the *RRCConnectionSetup* by the UE

NOTE 1: Prior to this, lower layer signalling is used to allocate a C-RNTI. For further details see TS 36.321 [6];

- 1> if the RRCConnectionSetup is received in response to an RRCConnectionResumeRequest from a suspended RRC connection:
  - 2> if the UE has initiated UP-EDT:
    - 3> discard any current AS security context including the K<sub>RRCenc</sub> key, the K<sub>RRCint</sub> key, the K<sub>UPint</sub> key and the K<sub>UPenc</sub> key;
  - 2> release all radio resources, including release of the RLC entity, the MAC configuration and the associated PDCP entity for all established or suspended RBs, except for SRB0;
  - 2> discard the stored UE AS context and resumeIdentity;
  - 2> if stored, discard the stored *nextHopChainingCount*;
  - 2> if stored, discard the stored *drb-ContinueROHC*;
  - 2> indicate to upper layers fallback of the RRC connection;
- 1> if the *RRCConnectionSetup* is received in response to an *RRCConnectionResumeRequest* from RRC INACTIVE:
  - 2> stop T380 if running;
  - 2> discard the stored UE Inactive AS context;
  - 2> release *rrc-InactiveConfig*, if configured;
  - 2> discard any current AS security context including the K<sub>RRCenc</sub> key, the K<sub>RRCint</sub> key, the K<sub>UPint</sub> key and the K<sub>UPenc</sub> key;
  - 2> release radio resources for all established RBs except SRB0, including release of the RLC entities, of the associated PDCP entities and of SDAP entities;

- 2> release the RRC configuration except for the default L1 parameter values, default MAC main configuration and CCCH;
- 2> apply the default NR PDCP configuration as specified in TS 38.331 [82], clause 9.2.1.1 for SRB1;
- 2> use NR PDCP for all subsequent messages received and sent by the UE via SRB1;
- 2> indicate to upper layers fallback of the RRC connection;
- 1> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10;
- 1> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo* or inherited from another RAT;
- 1> if stored, discard the dedicated offset provided by the redirectedCarrierOffsetDedicated;
- 1> stop timer T300;
- 1> if T302 is running:
  - 2> stop timer T302;
  - 2> if the UE is connected to 5GC:
    - 3> perform the actions as specified in 5.3.16.4;
- 1> stop timer T303, if running;
- 1> stop timer T305, if running;
- 1> stop timer T306, if running;
- 1> stop timer T308, if running;
- 1> perform the actions as specified in 5.3.3.7;
- 1> stop timer T320, if running;
- 1> stop timer T350, if running;
- 1> perform the actions as specified in 5.6.12.4;
- 1> release rclwi-Configuration, if configured, as specified in 5.6.16.2;
- 1> stop timer T360, if running;
- 1> stop timer T322, if running;
- 1> stop timer T331, if running;
- 1> if T309 is running:
  - 2> stop timer T309 for all access categories;
  - 2> perform the actions as specified in 5.3.16.4.
- 1> enter RRC\_CONNECTED;
- 1> stop the cell re-selection procedure;
- 1> consider the current cell to be the PCell;
- 1> set the content of RRCConnectionSetupComplete message as follows:
  - 2> if the RRCConnectionSetup is received in response to an RRCConnectionResumeRequest:
    - 3> if upper layers provide an S-TMSI:

- 4> set the *s-TMSI* to the value received from upper layers;
- 3> else if upper layers provide a 5G-S-TMSI:
  - 4> set the ng-5G-S-TMSI-Bits to ng-5G-S-TMSI with the value received from upper layers;
- 2> else if upper layers provide a 5G-S-TMSI:
  - 3> set the *ng-5G-S-TMSI-Bits* to *ng-5G-S-TMSI-Part2* to the leftmost 8 bits of 5G-S-TMSI received from upper layers;
- 2> set the *selectedPLMN-Identity* to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35] for E-UTRA/EPC and TS 24.501 [95] for E-UTRA/5GC) from the PLMN(s) included in the *plmn-IdentityList* in *SystemInformationBlockType1* (or *SystemInformationBlockType1-NB* in NB-IoT);
- 2> if upper layers provide the 'Registered MME', include and set the registeredMME as follows:
  - 3> if the PLMN identity of the 'Registered MME' is different from the PLMN selected by the upper layers:
    - 4> include the *plmnIdentity* in the *registeredMME* and set it to the value of the PLMN identity in the 'Registered MME' received from upper layers;
  - 3> set the *mmegi* and the *mmec* to the value received from upper layers;
- 2> if upper layers provided the 'Registered MME':
  - 3> include and set the *gummei-Type* to the value provided by the upper layers;
- 2> if upper layers provide the 'Registered AMF', include and set the registeredAMF as follows:
  - 3> if the PLMN identity of the 'Registered AMF' is different from the PLMN selected by the upper layers:
    - 4> include the *plmnIdentity* in the *registeredAMF* and set it to the value of the PLMN identity in the 'Registered AMF' received from upper layers;
  - 3> set the amf-Identifier to AMF Identifier of the 'Registered AMF' received from upper layers;
- 2> if upper layers provided the 'Registered AMF':
  - 3> include and set the *guami-Type* to the value provided by the upper layers;
- 2> if upper layers provide one or more S-NSSAI (see TS 23.003 [27]):
  - 3> include the *s-NSSAI-list* and set the content to the values provided by the upper layers;
- 2> if the UE supports CIoT EPS optimisation(s):
  - 3> include attachWithoutPDN-Connectivity if received from upper layers;
  - 3> include *up-CIoT-EPS-Optimisation* if received from upper layers;
  - 3> except for NB-IoT, include *cp-CIoT-EPS-Optimisation* if received from upper layers;
- 2> if connecting as an RN:
  - 3> include the *rn-SubframeConfigReq*;
- 2> if the RRCConnectionSetup is received in response to RRCEarlyDataRequest:
  - 3> set the *dedicatedInfoNAS* to a zero-length octet string;
- 2> else:
  - 3> set the dedicatedInfoNAS to include the information received from upper layers;
- 2> if the UE is connected to EPC:
  - 3> except for NB-IoT:

- 4> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
  - 5> include *rlf-InfoAvailable*;
- 4> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
  - 5> include logMeasAvailableMBSFN;
- 4> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
  - 5> include logMeasAvailable;
- 4> if the UE has Bluetooth logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
  - 5> include *logMeasAvailableBT*;
- 4> if the UE has WLAN logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
  - 5> include *logMeasAvailableWLAN*;
- 4> if the UE has connection establishment failure information available in VarConnEstFailReport and if the RPLMN is equal to plmn-Identity stored in VarConnEstFailReport:
  - 5> include connEstFailInfoAvailable;
- 4> include the *mobilityState* and set it to the mobility state (as specified in TS 36.304 [4]) of the UE just prior to entering RRC\_CONNECTED state;
- 4> if the UE has flight path information available:
  - 5> include *flightPathInfoAvailable*;
- 3> for NB-IoT:
  - 4> if the UE supports serving cell idle mode measurements reporting and *servingCellMeasInfo* is present in *SystemInformationBlockType2-NB*:
    - 5> set the *measResultServCell* to include the measurements of the serving cell;
- NOTE 2: The UE includes the latest results of the serving cell measurements as used for cell selection/reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].
  - 3> include dcn-ID if a DCN-ID value (see TS 23.401 [41]) is received from upper layers;
  - 2> except for NB-IoT:
    - 3> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:
      - 4> include the *mobilityHistoryAvail*;
    - 3> if the SIB2 contains *idleModeMeasurements*, and the UE has IDLE mode measurement information available in *VarMeasIdleReport*:
      - 4> include the *idleMeasAvailable*;
  - 2> if UE needs UL gaps during continuous uplink transmission:
    - 3> include ue-CE-NeedULGaps;
- 1> submit the *RRCConnectionSetupComplete* message to lower layers for transmission;

1> the procedure ends.

# 5.3.3.4a Reception of the RRCConnectionResume by the UE

#### The UE shall:

- 1> stop timer T300;
- 1> if T309 is running:
  - 2> stop timer T309 for all access categories;
  - 2> perform the actions as specified in 5.3.16.4.
- 1> stop T380 if running;
- 1> except if the RRCConnectionResume is received in response to an RRCConnectionResumeRequest for EDT:
  - 2> if resuming an RRC connection from a suspended RRC connection:
    - 3> restore the PDCP state and re-establish PDCP entities for SRB2, if configured with E-UTRA PDCP, and for all DRBs that are configured with E-UTRA PDCP;
    - 3> if drb-ContinueROHC is included:
      - 4> indicate to lower layers that stored UE AS context is used and that drb-ContinueROHC is configured;
      - 4> continue the header compression protocol context for the DRBs configured with the header compression protocol;
    - 3> else:
      - 4> indicate to lower layers that stored UE AS context is used;
      - 4> reset the header compression protocol context for the DRBs configured with the header compression protocol;
    - 3> discard the stored UE AS context and resumeIdentity;
  - 2> else if the *RRCConnectionResume* message includes the *fullConfig* (for resuming an RRC connection from RRC\_INACTIVE):
    - 3> perform the radio configuration procedure as specified in 5.3.5.8;
  - 2> else (for resuming an RRC connection from RRC\_INACTIVE):
    - 3> restore the physical layer configuration, the MAC configuration, the RLC configuration and the PDCP configuration from the stored UE Inactive AS context;
    - 3> discard the stored UE Inactive AS context;
    - 3> release the *rrc-InactiveConfig*, except *ran-NotificationAreaInfo*;

#### 1> else:

- 2> discard the stored UE AS context and resumeIdentity;
- 1> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10;
- NOTE 1: When performing the radio resource configuration procedure, for the physical layer configuration and the MAC Main configuration, the restored RRC configuration from the stored UE AS context is used as basis for the reconfiguration.
- 1> if the received RRCConnectionResume message includes the sk-Counter:
  - 2> perform key update procedure as specified in TS 38.331 [82], clause 5.3.5.8;

- 1> if the received RRCConnectionResume message includes the nr-RadioBearerConfig1:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;
- 1> if the received RRCConnectionResume message includes the nr-RadioBearerConfig2:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;
- 1> except if the RRCConnectionResume is received in response to an RRCConnectionResumeRequest for EDT:
  - 2> resume SRB2 and all DRBs, if any, including RBs configured with NR PDCP;
- 1> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo* or inherited from another RAT;
- 1> if stored, discard the dedicated offset provided by the redirectedCarrierOffsetDedicated;
- 1> if the *RRCConnectionResume* message includes the *measConfig*:
  - 2> perform the measurement configuration procedure as specified in 5.5.2;
- 1> if T302 is running:
  - 2> stop timer T302;
  - 2> if the UE is connected to 5GC:
    - 3> perform the actions as specified in 5.3.16.4;
- 1> stop timer T303, if running;
- 1> stop timer T305, if running;
- 1> stop timer T306, if running;
- 1> stop timer T308, if running;
- 1> perform the actions as specified in 5.3.3.7;
- 1> stop timer T320, if running;
- 1> stop timer T350, if running;
- 1> perform the actions as specified in 5.6.12.4;
- 1> stop timer T360, if running;
- 1> stop timer T322, if running;
- 1> stop timer T331, if running;
- 1> if the *RRCConnectionResume* is received in response to an *RRCConnectionResumeRequest* for EDT or an *RRCConnectionResumeRequest* from RRC\_INACTIVE:
- 1> else:
  - 2> if resuming an RRC connection from a suspended RRC connection:
    - 3> update the K<sub>eNB</sub> key based on the K<sub>ASME</sub> key to which the current K<sub>eNB</sub> is associated, using the *nextHopChainingCount* value indicated in the *RRCConnectionResume* message, as specified in TS 33.401 [32];
    - 3> store the *nextHopChainingCount* value;
    - 3> derive the K<sub>RRCint</sub> key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];

- 3> request lower layers to verify the integrity protection of the *RRCConnectionResume* message, using the previously configured algorithm and the K<sub>RRCint</sub> key;
- 3> if the integrity protection check of the *RRCConnectionResume* message fails:
  - 4> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other', upon which the procedure ends;
- 3> derive the K<sub>RRCenc</sub> key and the K<sub>UPenc</sub> key associated with the previously configured ciphering algorithm, as specified in TS 33.401 [32];
- 3> configure lower layers to resume integrity protection using the previously configured algorithm and the K<sub>RRCint</sub> key immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE;
- 3> configure lower layers to resume ciphering and to apply the ciphering algorithm, the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE;
- 1> enter RRC CONNECTED;
- 1> indicate to upper layers that the suspended RRC connection has been resumed;
- 1> stop the cell re-selection procedure;
- 1> consider the current cell to be the PCell;
- 1> set the content of RRCConnectionResumeComplete message as follows:
  - 2> set the *selectedPLMN-Identity* to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35] for E-UTRA/EPC and TS 24.501 [95] for E-UTRA/5GC) from the PLMN(s) included in the *plmn-IdentityList* in *SystemInformationBlockType1*;
  - 2> set the *dedicatedInfoNAS* to include the information received from upper layers;
  - 2> except for NB-IoT:
    - 3> if resuming an RRC connection from a suspended RRC connection:
      - 4> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
        - 5> include rlf-InfoAvailable;
      - 4> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
        - 5> include logMeasAvailableMBSFN;
      - 4> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
        - 5> include logMeasAvailable;
      - 4> if the UE has Bluetooth logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
        - 5> include logMeasAvailableBT;
      - 4> if the UE has WLAN logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
        - 5> include logMeasAvailableWLAN;
      - 4> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

- 5> include connEstFailInfoAvailable;
- 4> include the *mobilityState* and set it to the mobility state (as specified in TS 36.304 [4]) of the UE just prior to entering RRC\_CONNECTED state;
- 4> if the UE has flight path information available:
  - 5> include *flightPathInfoAvailable*;
- 3> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:
  - 4> include *mobilityHistoryAvail*;
- 3> if the SIB2 contains *idleModeMeasurements*, and the UE has IDLE mode measurement information available in *VarMeasIdleReport*:
  - 4> include the *idleMeasAvailable*;

#### 2> for NB-IoT:

- 3> if the UE supports serving cell idle mode measurements reporting and *servingCellMeasInfo* is present in *SystemInformationBlockType2-NB*:
  - 4> set the measResultServCell to include the measurements of the serving cell;
- NOTE 2: The UE includes the latest results of the serving cell measurements as used for cell selection/reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].
- 1> submit the RRCConnectionResumeComplete message to lower layers for transmission;
- 1> the procedure ends.

# 5.3.3.4b Reception of the RRCEarlyDataComplete by the UE

- 1> indicate to upper layers that the RRC connection has been established;
- 1> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo* or inherited from another RAT:
- 1> if stored, discard the dedicated offset provided by the redirectedCarrierOffsetDedicated;
- 1> stop timer T300;
- 1> stop timer T302, if running;
- 1> stop timer T303, if running;
- 1> stop timer T305, if running;
- 1> stop timer T306, if running;
- 1> stop timer T308, if running;
- 1> perform the actions as specified in 5.3.3.7;
- 1> stop timer T320, if running;
- 1> stop timer T322, if running;
- 1> forward the *dedicatedInfoNAS*, if received, to the upper layers;
- 1> reset MAC and release the MAC configuration;

- 1> if the RRCEarlyDataComplete message includes redirectedCarrierInfo indicating redirection to geran; and
- 1> if upper layers indicate that redirect to GERAN without AS security is not allowed:
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other', upon which the procedure ends;
- 1> if the RRCEarlyDataComplete message includes idleModeMobilityControlInfo:
  - 2> store the cell reselection priority information provided by the *idleModeMobilityControlInfo*;
  - 2> if the *t320* is included:
    - 3> start timer T320, with the timer value set according to the value of t320;
- 1> else:
  - 2> apply the cell reselection priority information broadcast in the system information;
- 1> for NB-IoT, if the RRCEarlyDataComplete message includes redirectedCarrierInfo:
  - 2> if the redirectedCarrierOffsetDedicated is included in the redirectedCarrierInfo:
    - 3> store the dedicated offset for the frequency in redirectedCarrierInfo;
    - 3> start timer T322, with the timer value set according to the value of T322 in redirectedCarrierInfo;
- 1> if the extendedWaitTime is present; and
- 1> if the UE supports delay tolerant access or the UE is a NB-IoT UE:
  - 2> forward the extendedWaitTime to upper layers;
- 1> indicate the release of the RRC connection to upper layers together with the release cause 'other', upon which the procedure ends;

# 5.3.3.5 Cell re-selection or cell selection while T300, T302, T303, T305, T306, T308 or T309 is running

- 1> if cell selection or reselection occurs while T309 or T302 is running and if the UE is connected to 5GC:
  - 2> stop timer T309 for all access categories, if running;
  - 2> if in RRC\_INACTIVE and T302 is running:
    - 3> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12 with release cause 'RRC Resume failure';
  - 2> else:
    - 3> stop timer T302, if running;
    - 3> perform the actions as specified in 5.3.16.4;
- 1> if in RRC\_INACTIVE:
  - 2> if cell reselection occurs while T300 is running:
    - 3> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12 with release cause 'RRC Resume failure';
- 1> else if cell reselection occurs while T300, T302, T303, T305, T306, or T308 is running:
  - 2> if timer T302, T303, T305, T306, and/or T308 is running and if the UE is connected to EPC:

- 3> stop timer T302, T303, T305, T306, and T308, whichever ones were running;
- 3> perform the actions as specified in 5.3.3.7;
- 2> if timer T300 is running:
  - 3> stop timer T300;
  - 3> if UE has sent RRCConnectionResumeRequest message and has not received RRCConnectionResume message:
    - 4> reset MAC;
    - 4> if UE has initiated UP-EDT:
      - 5> perform the actions upon abortion of UP-EDT as specified in 5.3.3.9a;
    - 4> else:
      - 5> re-establish RLC for all RBs that are established:
      - 5> suspend SRB1;
  - 3> else:
    - 4> reset MAC, release the MAC configuration and re-establish RLC for all RBs that are established;
  - 3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication;

# 5.3.3.6 T300 expiry

- 1> if timer T300 expires:
  - 2> if UE has sent RRCConnectionResumeRequest message and has not received RRCConnectionResume message:
    - 3> reset MAC:
    - 3> if UE has initiated UP-EDT:
      - 4> perform the actions upon abortion of UP-EDT as specified in 5.3.3.9a;
    - 3> else:
      - 4> re-establish RLC for all RBs that are established;
      - 4> suspend SRB1;
  - 2> else:
    - 3> reset MAC, release the MAC configuration and re-establish RLC for all RBs that are established;
  - 2> if the UE is a NB-IoT UE:
    - 3> if connEstFailOffset is included in SystemInformationBlockType2-NB:
      - 4> use *connEstFailOffset* for the parameter Qoffset<sub>temp</sub> for the concerned cell when performing cell selection and reselection according to TS 36.304 [4];
    - 3> else:
      - 4> use value of infinity for the parameter Qoffsettemp for the concerned cell when performing cell selection and reselection according to TS 36.304 [4];

- NOTE 0: For NB-IoT, the number of times that the UE detects T300 expiry on the same cell before applying connEstFailOffset and the amount of time that the UE applies connEstFailOffset before removing the offset from evaluation of the cell is up to UE implementation.
  - 2> else if the UE supports RRC Connection Establishment failure temporary Qoffset and T300 has expired a consecutive *connEstFailCount* times on the same cell for which *txFailParams* is included in *SystemInformationBlockType2*:
    - 3> for a period as indicated by connEstFailOffsetValidity:
      - 4> use *connEstFailOffset* for the parameter Qoffset<sub>temp</sub> for the concerned cell when performing cell selection and reselection according to TS 36.304 [4] and TS 25.304 [40];
- NOTE 1: When performing cell selection, if no suitable or acceptable cell can be found, it is up to UE implementation whether to stop using *connEstFailOffset* for the parameter Qoffset<sub>temp</sub> during *connEstFailOffsetValidity* for the concerned cell.
  - 2> except for NB-IoT, store the following connection establishment failure information in the *VarConnEstFailReport* by setting its fields as follows:
    - 3> clear the information included in VarConnEstFailReport, if any;
    - 3> set the *plmn-Identity* to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]) from the PLMN(s) included in the *plmn-IdentityList* in *SystemInformationBlockType1*;
    - 3> set the *failedCellId* to the global cell identity of the cell where connection establishment failure is detected;
    - 3> set the *measResultFailedCell* to include the RSRP and RSRQ, if available, of the cell where connection establishment failure is detected and based on measurements collected up to the moment the UE detected the failure:
    - 3> if available, set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell reselection, to include neighbouring cell measurements for at most the following number of neighbouring cells: 6 intra-frequency and 3 inter-frequency neighbours per frequency as well as 3 inter-RAT neighbours, per frequency/set of frequencies (GERAN) per RAT and according to the following:
      - 4> for each neighbour cell included, include the optional fields that are available;
- NOTE 2: The UE includes the latest results of the available measurements as used for cell reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].
  - 3> if available, set the *logMeasResultListWLAN* to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs;
  - 3> if available, set the *logMeasResultListBT* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;
  - 3> if detailed location information is available, set the content of the *locationInfo* as follows:
    - 4> include the *locationCoordinates*;
    - 4> include the *horizontalVelocity*, if available;
  - 3> set the *numberOfPreamblesSent* to indicate the number of preambles sent by MAC for the failed random access procedure;
  - 3> set *contentionDetected* to indicate whether contention resolution was not successful as specified in TS 36.321 [6] for at least one of the transmitted preambles for the failed random access procedure;
  - 3> set *maxTxPowerReached* to indicate whether or not the maximum power level was used for the last transmitted preamble, see TS 36.321 [6];
  - 2> if in RRC\_INACTIVE:

- 3> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12, with release cause 'RRC connection failure';
- 2> else inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication, upon which the procedure ends;

The UE may discard the connection establishment failure information, i.e. release the UE variable *VarConnEstFailReport*, 48 hours after the failure is detected, upon power off or upon detach.

## 5.3.3.7 T302, T303, T305, T306, or T308 expiry or stop

If the UE is connected to EPC, the UE shall:

- 1> if timer T302 expires or is stopped:
  - 2> inform upper layers about barring alleviation for mobile terminating access;
  - 2> if timer T303 is not running:
    - 3> inform upper layers about barring alleviation for mobile originating calls;
  - 2> if timer T305 is not running:
    - 3> inform upper layers about barring alleviation for mobile originating signalling;
  - 2> if timer T306 is not running:
    - 3> inform upper layers about barring alleviation for mobile originating CS fallback;
  - 2> if timer T308 is not running:
    - 3> inform upper layers about barring alleviation for ACDC;
- 1> if timer T303 expires or is stopped:
  - 2> if timer T302 is not running:
    - 3> inform upper layers about barring alleviation for mobile originating calls;
- 1> if timer T305 expires or is stopped:
  - 2> if timer T302 is not running:
    - 3> inform upper layers about barring alleviation for mobile originating signalling;
- 1> if timer T306 expires or is stopped:
  - 2> if timer T302 is not running:
    - 3> inform upper layers about barring alleviation for mobile originating CS fallback;
- 1> if timer T308 expires or is stopped:
  - 2> if timer T302 is not running:
    - 3> inform upper layers about barring alleviation for ACDC;

# 5.3.3.8 Reception of the RRCConnectionReject by the UE

- 1> stop timer T300;
- 1> stop timer T302, if running;
- 1> reset MAC;

- 1> except for NB-IoT, start timer T302, with the timer value set to the *waitTime*;
- 1> if the UE is a NB-IoT UE; or
- 1> if the extendedWaitTime is present and the UE supports delay tolerant access:
  - 2> forward the *extendedWaitTime* to upper layers;
- 1> if deprioritisationReq is included and the UE supports RRC Connection Reject with deprioritisation:
  - 2> start or restart timer T325 with the timer value set to the *deprioritisationTimer* signalled;
  - 2> store the deprioritisationReq until T325 expiry;
- NOTE: The UE stores the deprioritisation request irrespective of any cell reselection absolute priority assignments (by dedicated or common signalling) and regardless of RRC connections in E-UTRAN or other RATs unless specified otherwise.
- 1> if the *RRCConnectionReject* is received in response to an *RRCConnectionResumeRequest* sent to resume a suspended RRC connection:
  - 2> if the *rrc-SuspendIndication* is not present:
    - 3> release all radio resources, including release of the RLC entity, the MAC configuration and the associated PDCP entity for all established or suspended RBs;
    - 3> discard the stored UE AS context and resumeIdentity;
    - 3> inform upper layers about the failure to resume the RRC connection without suspend indication and that access barring for mobile originating calls, mobile originating signalling, mobile terminating access and except for NB-IoT for mobile originating CS fallback is applicable, upon which the procedure ends;
  - 2> else:
    - 3> if the RRCConnectionReject is received in response to an RRCConnectionResumeRequest for EDT:
      - 4> perform the actions upon abortion of UP-EDT as specified in 5.3.3.9a;
    - 3> else:
      - 4> suspend SRB1;
    - 3> inform upper layers about the failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls, mobile originating signalling, mobile terminating access and except for NB-IoT for mobile originating CS fallback is applicable, upon which the procedure ends;
- 1> else if the *RRCConnectionReject* is received in response to an *RRCConnectionResumeRequest* sent while in RRC\_INACTIVE:
  - 2> release the default MAC configuration;
  - 2> if RRCConnectionReject is received in response to a request from upper layers:
    - 3> inform the upper layer that access barring is applicable for all access categories except categories '0' and '2';
  - 2> if RRCConnectionReject is received in response to an RRCConnectionResumeRequest:
    - 3> if resume is triggered by upper layers:
      - 4> inform upper layers about the failure to resume the RRC connection;
    - 3> if resume is triggered due to an RNA update:
      - 4> set the variable *pendingRnaUpdate* to 'TRUE';
    - 3> discard the current K<sub>eNB</sub>, K<sub>RRCenc</sub> key, K<sub>RRCint</sub>, K<sub>UPint</sub> key and K<sub>UPenc</sub> key;

- 3> suspend SRB1, upon which the procedure ends;
- 2> The UE shall continue to monitor RAN and CN paging while the timer T302 is running.
- 1> else:
  - 2> release the default MAC configuration;
  - 2> inform upper layers about the failure to establish the RRC connection and that access barring for mobile originating calls, mobile originating signalling, mobile terminating access and except for NB-IoT, for mobile originating CS fallback is applicable, upon which the procedure ends;

## 5.3.3.9 Abortion of RRC connection establishment

If upper layers abort the RRC connection establishment procedure while the UE has not yet entered RRC\_CONNECTED, the UE shall:

- 1> stop timer T300, if running;
- 1> reset MAC, release the MAC configuration and re-establish RLC for all RBs that are established;

### 5.3.3.9a Abortion of UP-EDT

The UE shall:

- 1> delete the K<sub>eNB</sub>, K<sub>RRCint</sub>, K<sub>RRCenc</sub> and K<sub>UPenc</sub> keys derived in accordance with 5.3.3.3a;
- 1> re-establish RLC entities for all SRBs and DRBs;
- 1> suspend all SRB(s) and DRB(s) except SRB0;
- 1> configure lower layers to suspend integrity protection and ciphering.

# 5.3.3.10 Handling of SSAC related parameters

Upon request from the upper layers, the UE shall:

- 1> if *SystemInformationBlockType2* includes *ac-BarringPerPLMN-List* and the *ac-BarringPerPLMN-List* contains an *AC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]):
  - 2> select the *AC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers;
  - 2> in the remainder of this procedure, use the selected AC-BarringPerPLMN entry (i.e. presence or absence of access barring parameters in this entry) irrespective of the common access barring parameters included in SystemInformationBlockType2;

1> else:

- 2> in the remainder of this procedure use the common access barring parameters (i.e. presence or absence of these parameters) included in *SystemInformationBlockType2*;
- 1> set the local variables <code>BarringFactorForMMTEL-Voice</code> and <code>BarringTimeForMMTEL-Voice</code> as follows:
  - 2> if ssac-BarringForMMTEL-Voice is present:
    - 3> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11], and
- NOTE: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/EHPLMN.
  - 3> if, for at least one of these Access Classes, the corresponding bit in the *ac-BarringForSpecialAC* contained in *ssac-BarringForMMTEL-Voice* is set to zero:

- 4> set BarringFactorForMMTEL-Voice to one and BarringTimeForMMTEL-Voice to zero;
- 3> else:
  - 4> set BarringFactorForMMTEL-Voice and BarringTimeForMMTEL-Voice to the value of ac-BarringFactor and ac-BarringTime included in ssac-BarringForMMTEL-Voice, respectively;
- 2> else set BarringFactorForMMTEL-Voice to one and BarringTimeForMMTEL-Voice to zero;
- 1> set the local variables BarringFactorForMMTEL-Video and BarringTimeForMMTEL-Video as follows:
  - 2> if *ssac-BarringForMMTEL-Video* is present:
    - 3> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11], and
    - 3> if, for at least one of these Access Classes, the corresponding bit in the *ac-BarringForSpecialAC* contained in *ssac-BarringForMMTEL-Video* is set to zero:
      - 4> set BarringFactorForMMTEL-Video to one and BarringTimeForMMTEL-Video to zero;
    - 3> else:
      - 4> set BarringFactorForMMTEL-Video and BarringTimeForMMTEL-Video to the value of ac-BarringFactor and ac-BarringTime included in ssac-BarringForMMTEL-Video, respectively;
  - 2> else set BarringFactorForMMTEL-Video to one and BarringTimeForMMTEL-Video to zero;
- 1> forward the variables *BarringFactorForMMTEL-Voice*, *BarringTimeForMMTEL-Voice*, *BarringFactorForMMTEL-Video* and *BarringTimeForMMTEL-Video* to the upper layers;

# 5.3.3.11 Access barring check

- 1> if timer T302 or "Tbarring" is running:
  - 2> consider access to the cell as barred;
- 1> else if *SystemInformationBlockType2* includes "AC barring parameter":
  - 2> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11], and
- NOTE: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/EHPLMN.
  - 2> for at least one of these valid Access Classes the corresponding bit in the *ac-BarringForSpecialAC* contained in "AC barring parameter" is set to *zero*:
    - 3> consider access to the cell as not barred;
  - 2> else:
    - 3> draw a random number 'rand' uniformly distributed in the range:  $0 \le rand < 1$ ;
    - 3> if 'rand' is lower than the value indicated by ac-BarringFactor included in "AC barring parameter":
      - 4> consider access to the cell as not barred;
    - 3> else:
      - 4> consider access to the cell as barred;
- 1> else:
  - 2> consider access to the cell as not barred;
- 1> if access to the cell is barred and both timers T302 and "Tbarring" are not running:

- 2> draw a random number 'rand' that is uniformly distributed in the range  $0 \le rand < 1$ ;
- 2> start timer "Tbarring" with the timer value calculated as follows, using the *ac-BarringTime* included in "AC barring parameter":
  - "Tbarring" = (0.7 + 0.6 \* rand) \* ac-BarringTime;

#### 5.3.3.12 EAB check

- 1> if *SystemInformationBlockType14* is present:
  - 2> if eab-PerRSRP is included:
    - 3> if the establishmentCause received from higher layers is set to a value other than emergency; and
    - 3> if the UE has no Access Class, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11]:
      - 4> if *eab-PerRSRP* is set to *thresh0*:
        - 5> consider access to the cell as barred when in enhanced coverage as specified in TS 36.304 [4];
      - 4> else if *eab-PerRSRP* is set to *thresh1*:
        - 5> if the measured RSRP is less than the first entry in rsrp-ThresholdsPrachInfoList:
          - 6> consider access to the cell as barred;
        - 5> else:
          - 6> consider that only the resources indicated for the first CE level are configured;
      - 4> else if *eab-PerRSRP* is set to *thresh2*:
        - 5> if the measured RSRP is less than the second entry in rsrp-ThresholdsPrachInfoList:
          - 6> consider access to the cell as barred;
        - 5> else:
          - 6> consider that only the resources indicated for the first and second CE levels are configured;
      - 4> else if *eab-PerRSRP* is set to *thresh3*:
        - 5> if the measured RSRP is less than the third entry in *rsrp-ThresholdsPrachInfoList*:
          - 6> consider access to the cell as barred;
        - 5> else:
          - 6> consider that only the resources indicated for the first, second, and third CE levels are configured;
  - 2> if access to the cell is not barred due to eab-PerRSRP and eab-Param is included:
    - 3> if the *eab-Common* is included in the *eab-Param*:
      - 4> if the UE belongs to the category of UEs as indicated in the *eab-Category* contained in *eab-Common*; and
      - 4> if for the Access Class of the UE, as stored on the USIM and with a value in the range 0..9, the corresponding bit in the *eab-BarringBitmap* contained in *eab-Common* is set to *one*:
        - 5> consider access to the cell as barred;
      - 4> else:

- 5> consider access to the cell as not barred due to EAB;
- 3> else (the *eab-PerPLMN-List* is included in the *eab-Param*):
  - 4> select the entry in the *eab-PerPLMN-List* corresponding to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]);
  - 4> if the eab-Config for that PLMN is included:
    - 5> if the UE belongs to the category of UEs as indicated in the *eab-Category* contained in *eab-Config*; and
    - 5> if for the Access Class of the UE, as stored on the USIM and with a value in the range 0..9, the corresponding bit in the *eab-BarringBitmap* contained in *eab-Config* is set to *one*:
      - 6> consider access to the cell as barred;
    - 5> else:
      - 6> consider access to the cell as not barred due to EAB;
  - 4> else:
    - 5> consider access to the cell as not barred due to EAB;
- 1> else:
  - 2> consider access to the cell as not barred due to EAB:

# 5.3.3.13 Access barring check for ACDC

The UE shall:

- 1> if timer T302 is running:
  - 2> consider access to the cell as barred;
- 1> else if *SystemInformationBlockType2* includes "ACDC barring parameter":
  - 2> draw a random number 'rand' uniformly distributed in the range:  $0 \le rand < 1$ ;
  - 2> if 'rand' is lower than the value indicated by ac-BarringFactor included in "ACDC barring parameter":
    - 3> consider access to the cell as not barred;
  - 2> else:
    - 3> consider access to the cell as barred:
- 1> else:
  - 2> consider access to the cell as not barred;
- 1> if access to the cell is barred and timer T302 is not running:
  - 2> draw a random number 'rand' that is uniformly distributed in the range  $0 \le rand < 1$ ;
  - 2> start timer "Tbarring" with the timer value calculated as follows, using the *ac-BarringTime* included in "ACDC barring parameter":
    - "Tbarring" = (0.7 + 0.6 \* rand) \* ac-BarringTime.

## 5.3.3.14 Access Barring check for NB-IoT

- 1> if ab-Enabled included in MasterInformationBlock-NB / MasterInformationBlock-TDD-NB is set to TRUE and SystemInformationBlockType14-NB is broadcast:
  - 2> if ab-PerNRSRP is included:
    - 3> if the establishmentCause received from higher layers is set to a value other than mo-ExceptionData; and
    - 3> if the UE has no Access Class, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11]:
      - 4> if *ab-PerNRSRP* is set to *thresh1*:
        - 5> if the measured RSRP is less than the first entry in rsrp-ThresholdsPrachInfoList;
          - 6> consider access to the cell as barred;
        - 5> else:
          - 6> consider that only the resources indicated for the first NPRACH repetition level are configured;
      - 4> if *ab-PerNRSRP* is set to *thresh2*:
        - 5> if the measured RSRP is less than the second entry in rsrp-ThresholdsPrachInfoList;
          - 6> consider access to the cell as barred;
        - 5> else:
          - 6> consider that only the resources indicated for the first and second NPRACH repetition levels are configured;
  - 2> if access to the cell is not barred due to ab-PerNRSRP and ab-Param is included:
    - 3> if the ab-Common is included in ab-Param:
      - 4> if the UE belongs to the category of UEs as indicated in the *ab-Category* contained in *ab-Common*; and
      - 4> if for the Access Class of the UE, as stored on the USIM and with a value in the range 0..9, the corresponding bit in the *ab-BarringBitmap* contained in *ab-Common* is set to *one*:
        - 5> if the *establishmentCause* received from higher layers is set to *mo-ExceptionData* and *ab-BarringForExceptionData* is set to *FALSE* in the *ab-Common*:
          - 6> consider access to the cell as not barred;
        - 5> else:
          - 6> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11] and for at least one of these valid Access Classes for the UE, the corresponding bit in the *ab-BarringForSpecialAC* contained in *ab-Common* is set to *zero*:
- NOTE 1: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/EHPLMN.
  - 7> consider access to the cell as not barred:
  - 6> else:
    - 7> consider access to the cell as barred;
  - 4> else:
    - 5> consider access to the cell as not barred;
  - 3> else (the *ab-PerPLMN-List* is included in the *ab-Param*):

- 4> select the *ab-PerPLMN* entry in *ab-PerPLMN-List* corresponding to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]);
- 4> if the *ab-Config* for that PLMN is included:
  - 5> if the UE belongs to the category of UEs as indicated in the *ab-Category* contained in *ab-Config*; and
  - 5> if for the Access Class of the UE, as stored on the USIM and with a value in the range 0..9, the corresponding bit in the *ab-BarringBitmap* contained in *ab-Config* is set to *one*:
    - 6> if the *establishmentCause* received from higher layers is set to *mo-ExceptionData* and *ab-BarringForExceptionData* is set to *FALSE* in the *ab-Config*:
      - 7> consider access to the cell as not barred;
    - 6> else:
      - 7> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11] and for at least one of these valid Access Classes for the UE, the corresponding bit in the *ab-BarringForSpecialAC* contained in *ab-Config* is set to *zero*:
- NOTE 2: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/EHPLMN.
  - 8> consider access to the cell as not barred;
  - 7> else:
    - 8> consider access to the cell as barred;
  - 5> else:
    - 6> consider access to the cell as not barred:
  - 4> else:
    - 5> consider access to the cell as not barred;
- 1> else:
  - 2> consider access to the cell as not barred;

# 5.3.3.15 Failure to deliver NAS information in RRCConnectionSetupComplete message

The UE shall:

- 1> if the UE is a NB-IoT UE and radio link failure occurs before the successful delivery of *RRCConnectionSetupComplete* message has been confirmed by lower layers:
  - 2> inform upper layers about the possible failure to deliver the NAS information contained in the *RRCConnectionSetupComplete* message;

# 5.3.3.16 Integrity check failure from lower layers while T300 is running for UP-EDT or RRC INACTIVE

- 1> upon receiving integrity check failure indication from lower layers concerning SRB1 or SRB2 while T300 is running for UP-EDT:
  - 2> discard the stored UE AS context and resumeIdentity;

- 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';
- 1> upon receiving integrity check failure indication from lower layers while T300 is running and if the UE is resuming the RRC connection from RRC\_INACTIVE:
  - 2> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12, with release cause 'RRC connection failure':

# 5.3.3.17 Inability to comply with RRCConnectionResume

The UE shall:

- 1> if the UE is unable to comply with (part of) the configuration included in the RRCConnectionResume message;
  - 2> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12 with release cause 'RRC Resume failure'.
- NOTE 1: The UE may apply above failure handling also in case the *RRCConnectionResume* message causes a protocol error for which the generic error handling as defined in 5.7 specifies that the UE shall ignore the message.
- NOTE 2: If the UE is unable to comply with part of the configuration, it does not apply any part of the configuration, i.e. there is no partial success/failure.

# 5.3.4 Initial security activation

# 5.3.4.1 General

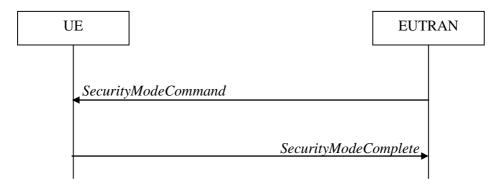


Figure 5.3.4.1-1: Security mode command, successful

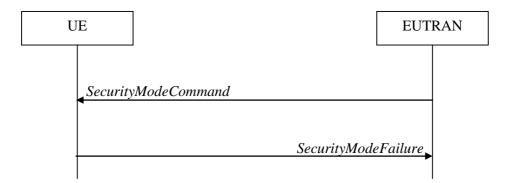


Figure 5.3.4.1-2: Security mode command, failure

The purpose of this procedure is to activate AS security upon RRC connection establishment.

### 5.3.4.2 Initiation

E-UTRAN initiates the security mode command procedure to a UE in RRC\_CONNECTED. Moreover, E-UTRAN applies the procedure as follows:

- when only SRB1, or for NB-IoT SRB1 and SRB1bis, is established, i.e. prior to establishment of SRB2 and/ or DRBs

# 5.3.4.3 Reception of the SecurityModeCommand by the UE

#### The UE shall:

- 1> derive the K<sub>eNB</sub> key, as specified in TS 33.401 [32] for E-UTRA/EPC, and TS 33.501 [86] for E-UTRA/5GC;
- 1> derive the K<sub>RRCint</sub> key associated with the *integrityProtAlgorithm* indicated in the *SecurityModeCommand* message, as specified in TS 33.401 [32];
- 1> request lower layers to verify the integrity protection of the *SecurityModeCommand* message, using the algorithm indicated by the *integrityProtAlgorithm* as included in the *SecurityModeCommand* message and the K<sub>RRCint</sub> key;
- 1> if the SecurityModeCommand message passes the integrity protection check:
  - 2> derive the K<sub>RRCenc</sub> key and the K<sub>UPenc</sub> key associated with the *cipheringAlgorithm* indicated in the *SecurityModeCommand* message, as specified in TS 33.401 [32];
  - 2> if connected as an RN:
    - 3> derive the K<sub>UPint</sub> key associated with the *integrityProtAlgorithm* indicated in the *SecurityModeCommand* message, as specified in TS 33.401 [32];
  - 2> configure lower layers to apply integrity protection using the indicated algorithm and the K<sub>RRCint</sub> key immediately, i.e. integrity protection shall be applied to all subsequent messages received and sent by the UE, including the *SecurityModeComplete* message;
  - 2> configure lower layers to apply ciphering using the indicated algorithm, the K<sub>RRCenc</sub> key and the K<sub>UPenc</sub> key after completing the procedure, i.e. ciphering shall be applied to all subsequent messages received and sent by the UE, except for the *SecurityModeComplete* message which is sent unciphered;
  - 2> if connected as an RN:
    - 3> configure lower layers to apply integrity protection using the indicated algorithm and the K<sub>UPint</sub> key, for DRBs that are subsequently configured to apply integrity protection, if any;
  - 2> consider AS security to be activated;
  - 2> upon RRC connection establishment, if UE does not need UL gaps during continuous uplink transmission:
    - 3> configure lower layers to stop using UL gaps during continuous uplink transmission in FDD for SecurityModeComplete message and subsequent uplink transmission in RRC\_CONNECTED except for UL transmissions as specified in TS 36.211 [21];
  - 2> submit the SecurityModeComplete message to lower layers for transmission, upon which the procedure ends;

#### 1> else:

- 2> continue using the configuration used prior to the reception of the *SecurityModeCommand* message, i.e. neither apply integrity protection nor ciphering.
- 2> submit the SecurityModeFailure message to lower layers for transmission, upon which the procedure ends;

# 5.3.5 RRC connection reconfiguration

### 5.3.5.1 General

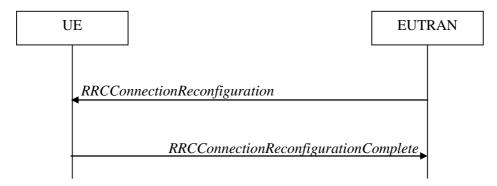


Figure 5.3.5.1-1: RRC connection reconfiguration, successful

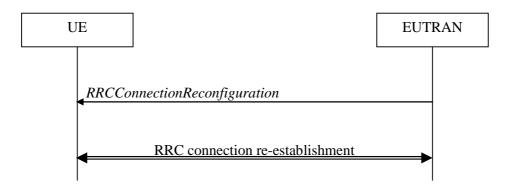


Figure 5.3.5.1-2: RRC connection reconfiguration, failure

The purpose of this procedure is to modify an RRC connection, e.g. to establish/ modify/ release RBs, to perform handover, to setup/ modify/ release measurements, to add/ modify/ release SCells. As part of the procedure, NAS dedicated information may be transferred from E-UTRAN to the UE.

#### 5.3.5.2 Initiation

E-UTRAN may initiate the RRC connection reconfiguration procedure to a UE in RRC\_CONNECTED. E-UTRAN applies the procedure as follows:

- the *mobilityControlInfo* is included only when AS-security has been activated, and SRB2 with at least one DRB are setup and not suspended;
- the establishment of RBs (other than SRB1, that is established during RRC connection establishment) is included only when AS security has been activated;
- the addition of SCells is performed only when AS security has been activated;

# 5.3.5.3 Reception of an *RRCConnectionReconfiguration* not including the *mobilityControlInfo* by the UE

If the *RRCConnectionReconfiguration* message does not include the *mobilityControlInfo* and the UE is able to comply with the configuration included in this message, the UE shall:

- 1> if this is the first *RRCConnectionReconfiguration* message after successful completion of the RRC connection re-establishment procedure:
  - 2> re-establish PDCP for SRB2 configured with E-UTRA PDCP entity and for all DRBs that are established and configured with E-UTRA PDCP, if any;

- 2> re-establish RLC for SRB2 and for all DRBs that are established and configured with E-UTRA RLC, if any;
- 2> if the *RRCConnectionReconfiguration* message includes the *fullConfig*:
  - 3> perform the radio configuration procedure as specified in 5.3.5.8;
- 2> if the RRCConnectionReconfiguration message includes the radioResourceConfigDedicated:
  - 3> perform the radio resource configuration procedure as specified in 5.3.10;
- NOTE 1: Void
- NOTE 2: Void
- 1> else:
  - 2> if the RRCConnectionReconfiguration message includes the radioResourceConfigDedicated:
    - 3> perform the radio resource configuration procedure as specified in 5.3.10;
- NOTE 3: If the *RRCConnectionReconfiguration* message includes the establishment of radio bearers other than SRB1, the UE may start using these radio bearers immediately, i.e. there is no need to wait for an outstanding acknowledgment of the *SecurityModeComplete* message.
- 1> if the received RRCConnectionReconfiguration includes the sCellToReleaseList:
  - 2> perform SCell release as specified in 5.3.10.3a;
- 1> if the received RRCConnectionReconfiguration includes the sCellToAddModList:
  - 2> perform SCell addition or modification as specified in 5.3.10.3b;
- 1> if the received RRCConnectionReconfiguration includes the sCellGroupToReleaseList:
  - 2> perform SCell group release as specified in 5.3.10.3d;
- 1> if the received RRCConnectionReconfiguration includes the sCellGroupToAddModList:
  - 2> perform SCell group addition or modification as specified in 5.3.10.3e;
- 1> if the received RRCConnectionReconfiguration includes the scg-Configuration; or
- 1> if the current UE configuration includes one or more split DRBs configured with *pdcp-Config* and the received *RRCConnectionReconfiguration* includes *radioResourceConfigDedicated* including *drb-ToAddModList*:
  - 2> perform SCG reconfiguration as specified in 5.3.10.10;
- 1> if the received RRCConnectionReconfiguration includes the nr-Config and it is set to release: or
- 1> if the received RRCConnectionReconfiguration includes endc-ReleaseAndAdd and it is set to TRUE:
  - 2> perform MR-DC release as specified in TS 38.331 [82], clause 5.3.5.10;
- 1> if the received RRCConnectionReconfiguration includes the sk-Counter:
  - 2> perform key update procedure as specified in TS 38.331 [82], clause 5.3.5.7;
- 1> if the received RRCConnectionReconfiguration includes the nr-SecondaryCellGroupConfig:
  - 2> perform NR RRC Reconfiguration as specified in TS 38.331 [82], clause 5.3.5.3;
- 1> if the received RRCConnectionReconfiguration includes the nr-RadioBearerConfig1:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;
- 1> if the received RRCConnectionReconfiguration includes the nr-RadioBearerConfig2:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

- 1> if this is the first *RRCConnectionReconfiguration* message after successful completion of the RRC connection re-establishment procedure:
  - 2> resume SRB2 and all DRBs that are suspended, if any, including RBs configured with NR PDCP;
- NOTE 4: The handling of the radio bearers after the successful completion of the PDCP re-establishment, e.g. the re-transmission of unacknowledged PDCP SDUs (as well as the associated status reporting), the handling of the SN and the HFN, is specified in TS 36.323 [8].
- NOTE 5: The UE may discard SRB2 messages and data that it receives prior to completing the reconfiguration used to resume these bearers.
- 1> if the received RRCConnectionReconfiguration includes the systemInformationBlockType1Dedicated:
  - 2> perfom the actions upon reception of the SystemInformationBlockType1 message as specified in 5.2.2.7;
- 1> if the received RRCConnectionReconfiguration includes the systemInformationBlockType2Dedicated:
  - 2> perfom the actions upon reception of the SystemInformationBlockType2 message as specified in 5.2.2.9;
- 1> if the RRCConnectionReconfiguration message includes the dedicatedInfoNASList:
  - 2> forward each element of the *dedicatedInfoNASList* to upper layers in the same order as listed;
- 1> if the RRCConnectionReconfiguration message includes the measConfig:
  - 2> perform the measurement configuration procedure as specified in 5.5.2;
- 1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;
- 1> if the RRCConnectionReconfiguration message includes the otherConfig:
  - 2> perform the other configuration procedure as specified in 5.3.10.9;
- 1> if the RRCConnectionReconfiguration message includes the sl-DiscConfig or sl-CommConfig:
  - 2> perform the sidelink dedicated configuration procedure as specified in 5.3.10.15;
- 1> if the RRCConnectionReconfiguration message includes the sl-V2X-ConfigDedicated:
  - 2> perform the V2X sidelink communication dedicated configuration procedure as specified in 5.3.10.15a;
- 1> if the RRCConnectionReconfiguration message includes wlan-OffloadInfo:
  - 2> perform the dedicated WLAN offload configuration procedure as specified in 5.6.12.2;
- 1> if the RRCConnectionReconfiguration message includes rclwi-Configuration:
  - 2> perform the WLAN traffic steering command procedure as specified in 5.6.16.2;
- 1> if the RRCConnectionReconfiguration message includes lwa-Configuration:
  - 2> perform the LWA configuration procedure as specified in 5.6.14.2;
- 1> if the RRCConnectionReconfiguration message includes lwip-Configuration:
  - 2> perform the LWIP reconfiguration procedure as specified in 5.6.17.2;
- 1> upon RRC connection establishment, if UE does not need UL gaps during continuous uplink transmission:
  - 2> configure lower layers to stop using UL gaps during continuous uplink transmission in FDD for RRCConnectionReconfigurationComplete message and subsequent uplink transmission in RRC\_CONNECTED except for UL transmissions as specified in TS36.211 [21];
- 1> set the content of RRCConnectionReconfigurationComplete message as follows:
  - 2> if the RRCConnectionReconfiguration message includes perCC-GapIndicationRequest:

- 3> include perCC-GapIndicationList and numFreqEffective;
- 2> if the frequencies are configured for reduced measurement performance:
  - 3> include numFreqEffectiveReduced;
- 2> if the received RRCConnectionReconfiguration message included nr-SecondaryCellGroupConfig:
  - 3> include scg-ConfigResponseNR in accordance with TS 38.331 [82], clause 5.3.5.3;
- 1> if the UE is configured with NE-DC:
  - 2> transfer the *RRCConnectionReconfigurationComplete* message via SRB1 embedded in NR RRC message *RRCReconfigurationComplete* as specified in TS 38.331 [82];
- 1> else:
  - 2> submit the RRCConnectionReconfigurationComplete message to lower layers for transmission using the new configuration, upon which the procedure ends;

# 5.3.5.4 Reception of an *RRCConnectionReconfiguration* including the *mobilityControlInfo* by the UE (handover)

If the *RRCConnectionReconfiguration* message includes the *mobilityControlInfo* and the UE is able to comply with the configuration included in this message, the UE shall:

- 1> stop timer T310, if running;
- 1> stop timer T312, if running;
- 1> start timer T304 with the timer value set to t304, as included in the mobility ControlInfo;
- 1> stop timer T370, if running;
- 1> if the *carrierFreq* is included:
  - 2> consider the target PCell to be one on the frequency indicated by the *carrierFreq* with a physical cell identity indicated by the *targetPhysCellId*;
- 1> else:
  - 2> consider the target PCell to be one on the frequency of the source PCell with a physical cell identity indicated by the *targetPhysCellId*;
- 1> if T309 is running:
  - 2> stop timer T309 for all access categories;
  - 2> perform the actions as specified in 5.3.16.4.
- 1> start synchronising to the DL of the target PCell;
- NOTE 1: The UE should perform the handover as soon as possible following the reception of the RRC message triggering the handover, which could be before confirming successful reception (HARQ and ARQ) of this message.
- 1> if BL UE or UE in CE:
  - 2> if sameSFN-Indication is not present in mobilityControlInfo:
    - 3> acquire the *MasterInformationBlock* in the target PCell;
- 1> if *makeBeforeBreak* is configured:
  - 2> perform the remainder of this procedure including and following resetting MAC after the UE has stopped the uplink transmission/downlink reception with the source PCell;

- NOTE 1a: It is up to UE implementation when to stop the uplink transmission/ downlink reception with the source PCell to initiate re-tuning for connection to the target cell, as specified in TS 36.133 [16], if *makeBeforeBreak* is configured.
- NOTE 1b: It is up to UE implementation when to stop the uplink transmission/ downlink reception with the source SCell(s) after receiving *RRCConnectionReconfiguration* message.
- 1> reset MCG MAC and SCG MAC, if configured;
- 1> release uplinkDataCompression, if configured;
- 1> re-establish PDCP for all RBs configured with pdcp-config that are established;
- NOTE 2: The handling of the radio bearers after the successful completion of the PDCP re-establishment, e.g. the re-transmission of unacknowledged PDCP SDUs (as well as the associated status reporting), the handling of the SN and the HFN, is specified in TS 36.323 [8].
- NOTE 2a: At handover the *reestablishPDCP* flag will be set for all RBs configured with NR PDCP in *nr-RadioBearerConfig1* or *nr-RadioBearerConfig2* TS 38.331 [82] which will cause the PDCP entity to be re-established also for these RBs.
- 1> re-establish MCG RLC and SCG RLC, if configured, for all RBs that are established;
- 1> for each SCell configured for the UE other than the PSCell:
  - 2> if the received *RRCConnectionReconfiguration* message includes *sCellState* for the SCell and indicates *activated*:
    - 3> configure lower layers to consider the SCell to be in activated state;
  - 2> else if the received RRCConnectionReconfiguration message includes sCellState for the SCell and indicates dormant:
    - 3> configure lower layers to consider the SCell to be in dormant state;
  - 2> else:
    - 3> configure lower layers to consider the SCell to be in deactivated state;
- 1> apply the value of the *newUE-Identity* as the C-RNTI;
- 1> if the RRCConnectionReconfiguration message includes the fullConfig:
  - 2> perform the radio configuration procedure as specified in 5.3.5.8;
- 1> configure lower layers in accordance with the received radioResourceConfigCommon;
- 1> if the received *RRCConnectionReconfiguration* message includes the *rach-Skip*:
  - 2> configure lower layers to apply the *rach-Skip* for the target MCG, as specified in TS 36.213 [23] and 36.321 [6]:
- 1> configure lower layers in accordance with any additional fields, not covered in the previous, if included in the received mobilityControlInfo;
- 1> if the received RRCConnectionReconfiguration includes the sCellToReleaseList:
  - 2> perform SCell release as specified in 5.3.10.3a;
- 1> if the received RRCConnectionReconfiguration includes the sCellGroupToReleaseList:
  - 2> perform SCell group release as specified in 5.3.10.3d;
- 1> if the received RRCConnectionReconfiguration includes the scg-Configuration; or
- 1> if the current UE configuration includes one or more split DRBs and the received RRCConnectionReconfiguration includes radioResourceConfigDedicated including drb-ToAddModList:

- 2> perform SCG reconfiguration as specified in 5.3.10.10;
- 1> if the RRCConnectionReconfiguration message includes the radioResourceConfigDedicated:
  - 2> perform the radio resource configuration procedure as specified in 5.3.10;
- 1> if the securityConfigHO (without suffix) is included in the RRCConnectionReconfiguration:
  - 2> if the keyChangeIndicator received in the securityConfigHO is set to TRUE:
    - 3> update the K<sub>eNB</sub> key based on the K<sub>ASME</sub> key taken into use with the latest successful NAS SMC procedure, as specified in TS 33.401 [32];
  - 2> else:
    - 3> update the K<sub>eNB</sub> key based on the current K<sub>eNB</sub> or the NH, using the *nextHopChainingCount* value indicated in the *securityConfigHO*, as specified in TS 33.401 [32];
- NOTE 2b:If the UE needs to update the S- $K_{eNB}$  key as specified in 5.3.10.10, the UE updates the S- $K_{eNB}$  after updating the  $K_{eNB}$  key.
  - 2> store the *nextHopChainingCount* value;
  - 2> if the *securityAlgorithmConfig* is included in the *securityConfigHO*:
    - 3> derive the K<sub>RRCint</sub> key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];
    - 3> if connected as an RN:
      - 4> derive the K<sub>UPint</sub> key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];
    - 3> derive the K<sub>RRCenc</sub> key and the K<sub>UPenc</sub> key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];
  - 2> else:
    - 3> derive the K<sub>RRCint</sub> key associated with the current integrity algorithm, as specified in TS 33.401 [32];
    - 3> if connected as an RN:
      - 4> derive the  $K_{UPint}$  key associated with the current integrity algorithm, as specified in TS 33.401 [32];
    - 3> derive the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key associated with the current ciphering algorithm, as specified in TS 33.401 [32];
  - 2> configure lower layers to apply the integrity protection algorithm and the K<sub>RRCint</sub> key, i.e. the integrity protection configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
  - 2> configure lower layers to apply the ciphering algorithm, the K<sub>RRCenc</sub> key and the K<sub>UPenc</sub> key, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 1> else if the securityConfigHO-v1530 is included in the RRCConnectionReconfiguration:
  - 2> if the *nas-Container* is received:
    - 3> forward the *nas-Container* to upper layers;
  - 2> if the keyChangeIndicator-r15 is received and is set to TRUE:
    - 3> update the  $K_{eNB}$  key based on the  $K_{AMF}$  key, as specified in TS 33.501 [86];
  - 2> else:
    - 3> update the K<sub>eNB</sub> key based on the current K<sub>eNB</sub> or the NH, using the received *nextHopChainingCount-r15*, as specified in TS 33.501 [86];

- 2> store the *nextHopChainingCount-r15* value;
- 2> if the security*AlgorithmConfig-r15* is received:
  - 3> derive the K<sub>RRCint</sub> key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];
  - 3> derive the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];

#### 2> else:

- 3> derive the K<sub>RRCint</sub> key associated with the current integrity algorithm, as specified in TS 33.401 [32];
- 3> derive the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key associated with the current ciphering algorithm, as specified in TS 33.401 [32];
- 1> if the received RRCConnectionReconfiguration includes the nr-Config and it is set to release; or
- 1> if the received RRCConnectionReconfiguration includes endc-ReleaseAndAdd and it is set to TRUE:
  - 2> perform MR-DC release as specified in TS 38.331 [82], clause 5.3.5.10;
- 1> if the received *RRCConnectionReconfiguration* includes the *sk-Counter*:
  - 2> perform key update procedure as specified in in TS 38.331 [82], clause 5.3.5.7;
- 1> if the received RRCConnectionReconfiguration includes the nr-SecondaryCellGroupConfig:
  - 2> perform NR RRC Reconfiguration as specified in TS 38.331 [82], clause 5.3.5.3.
- 1> if the received RRCConnectionReconfiguration includes the nr-RadioBearerConfig1:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;
- 1> if the received RRCConnectionReconfiguration includes the nr-RadioBearerConfig2:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6.
- 1> if connected as an RN:
  - 2> configure lower layers to apply the integrity protection algorithm and the K<sub>UPint</sub> key, for current or subsequently established DRBs that are configured to apply integrity protection, if any;
- 1> if the received RRCConnectionReconfiguration includes the sCellToAddModList:
  - 2> perform SCell addition or modification as specified in 5.3.10.3b;
- 1> if the received RRCConnectionReconfiguration includes the sCellGroupToAddModList:
  - 2> perform SCell group addition or modification as specified in 5.3.10.3e;
- 1> if the received RRCConnectionReconfiguration includes the systemInformationBlockType1Dedicated:
  - 2> perfom the actions upon reception of the *SystemInformationBlockType1* message as specified in 5.2.2.7;
- 1> perform the measurement related actions as specified in 5.5.6.1;
- 1> if the *RRCConnectionReconfiguration* message includes the *measConfig*:
  - 2> perform the measurement configuration procedure as specified in 5.5.2;
- 1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;
- 1> release reportProximityConfig and clear any associated proximity status reporting timer;
- 1> if the *RRCConnectionReconfiguration* message includes the *otherConfig*:
  - 2> perform the other configuration procedure as specified in 5.3.10.9;

- 1> if the RRCConnectionReconfiguration message includes the sl-DiscConfig or sl-CommConfig:
  - 2> perform the sidelink dedicated configuration procedure as specified in 5.3.10.15;
- 1> if the RRCConnectionReconfiguration message includes wlan-OffloadInfo:
  - 2> perform the dedicated WLAN offload configuration procedure as specified in 5.6.12.2;
- 1> if handoverWithoutWT-Change is not configured:
  - 2> release the LWA configuration, if configured, as described in 5.6.14.3;
- 1> release the LWIP configuration, if configured, as described in 5.6.17.3;
- 1> if the RRCConnectionReconfiguration message includes rclwi-Configuration:
  - 2> perform the WLAN traffic steering command procedure as specified in 5.6.16.2;
- 1> if the RRCConnectionReconfiguration message includes lwa-Configuration:
  - 2> perform the LWA configuration procedure as specified in 5.6.14.2;
- 1> if the RRCConnectionReconfiguration message includes lwip-Configuration:
  - 2> perform the LWIP reconfiguration procedure as specified in 5.6.17.2;
- 1> if the RRCConnectionReconfiguration message includes the sl-V2X-ConfigDedicated or mobilityControlInfoV2X:
  - 2> perform the V2X sidelink communication dedicated configuration procedure as specified in 5.3.10.15a;
- 1> set the content of RRCConnectionReconfigurationComplete message as follows:
  - 2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
    - 3> include rlf-InfoAvailable;
  - 2> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport* and if T330 is not running:
    - 3> include logMeasAvailableMBSFN;
  - 2> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
    - 3> include the *logMeasAvailable*;
  - 2> if the UE has Bluetooth logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
    - 3> include logMeasAvailableBT;
  - 2> if the UE has WLAN logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
    - 3> include logMeasAvailableWLAN;
  - 2> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:
    - 3> include connEstFailInfoAvailable;
  - 2> if the RRCConnectionReconfiguration message includes perCC-GapIndicationRequest:
    - 3> include *perCC-GapIndicationList* and *numFreqEffective*;
  - 2> if the frequencies are configured for reduced measurement performance:

- 3> include numFreqEffectiveReduced;
- 2> if the UE has flight path information available:
  - 3> include *flightPathInfoAvailable*;
- 2> if the received RRCConnectionReconfiguration message included nr-SecondaryCellGroupConfig:
  - 3> include scg-ConfigResponseNR in accordance with TS 38.331 [82], clause 5.3.5.3;
- 1> submit the RRCConnectionReconfigurationComplete message to lower layers for transmission;
- 1> if MAC successfully completes the random access procedure; or
- 1> if MAC indicates the successful reception of a PDCCH transmission addressed to C-RNTI and if *rach-Skip* is configured:
  - 2> stop timer T304;
  - 2> release rach-Skip;
  - 2> apply the parts of the CQI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the target PCell, if any;
  - 2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the target PCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of the target PCell;
- NOTE 3: Whenever the UE shall setup or reconfigure a configuration in accordance with a field that is received it applies the new configuration, except for the cases addressed by the above statements.
  - 2> if the UE is configured to provide IDC indications:
    - 3> if the UE has transmitted an *InDeviceCoexIndication* message during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*:
      - 4> initiate transmission of the InDeviceCoexIndication message in accordance with 5.6.9.3;
  - 2> if the UE is configured to provide power preference indications, overheating assistance information, SPS assistance information, delay budget report or maximum bandwidth preference indications:
    - 3> if the UE has transmitted a *UEAssistanceInformation* message during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*:
      - 4> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;
  - 2> if *SystemInformationBlockType15* is broadcast by the PCell:
    - 3> if the UE has transmitted a *MBMSInterestIndication* message during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*:
      - 4> ensure having a valid version of SystemInformationBlockType15 for the PCell;
      - 4> determine the set of MBMS frequencies of interest in accordance with 5.8.5.3;
      - 4> determine the set of MBMS services of interest in accordance with 5.8.5.3a;
      - 4> initiate transmission of the MBMSInterestIndication message in accordance with 5.8.5.4;
  - 2> if SystemInformationBlockType18 is broadcast by the target PCell; and the UE transmitted a SidelinkUEInformation message indicating a change of sidelink communication related parameters relevant in target PCell (i.e. change of commRxInterestedFreq or commTxResourceReq, commTxResourceReqUC if SystemInformationBlockType18 includes commTxResourceUC-ReqAllowed or commTxResourceInfoReqRelay if PCell broadcasts SystemInformationBlockType19 including discConfigRelay) during the last 1 second preceding reception of the RRCConnectionReconfiguration message including mobilityControlInfo; or

- 2> if SystemInformationBlockType19 is broadcast by the target PCell; and the UE transmitted a SidelinkUEInformation message indicating a change of sidelink discovery related parameters relevant in target PCell (i.e. change of discRxInterest or discTxResourceReq, discTxResourceReqPS if SystemInformationBlockType19 includes discConfigPS or discRxGapReq or discTxGapReq if the UE is configured with gapRequestsAllowedDedicated set to true or if the UE is not configured with gapRequestsAllowedDedicated and SystemInformationBlockType19 includes gapRequestsAllowedCommon) during the last 1 second preceding reception of the RRCConnectionReconfiguration message including mobilityControlInfo; or
- 2> if SystemInformationBlockType21 is broadcast by the target PCell; and the UE transmitted a SidelinkUEInformation message indicating a change of V2X sidelink communication related parameters relevant in target PCell (i.e. change of v2x-CommRxInterestedFreqList or v2x-CommTxResourceReq) during the last 1 second preceding reception of the RRCConnectionReconfiguration message including mobilityControlInfo:
  - 3> initiate transmission of the SidelinkUEInformation message in accordance with 5.10.2.3;
- 2> the procedure ends;
- NOTE 4: The UE is not required to determine the SFN of the target PCell by acquiring system information from that cell before performing RACH access in the target PCell, except for BL UEs or UEs in CE when *sameSFN-Indication* is not present in *mobilityControlInfo*.

# 5.3.5.5 Reconfiguration failure

The UE shall:

- 1> if the UE is unable to comply with (part of) the configuration included in the *RRCConnectionReconfiguration* message:
  - 2> continue using the configuration used prior to the reception of RRCConnectionReconfiguration message;
  - 2> if the UE is in NE-DC:
    - 3> perform the actions as specified in TS 38.331 [82], clause 5.3.7;
  - 2> else if security has not been activated:
    - 3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause other;
  - 2> else:
    - 3> initiate the connection re-establishment procedure as specified in 5.3.7, upon which the connection reconfiguration procedure ends;
- NOTE 1: The UE may apply above failure handling also in case the *RRCConnectionReconfiguration* message causes a protocol error for which the generic error handling as defined in 5.7 specifies that the UE shall ignore the message.
- NOTE 2: If the UE is unable to comply with part of the configuration, it does not apply any part of the configuration, i.e. there is no partial success/ failure.
- NOTE 3: The compliance also covers the NR configuration carried within octet strings e.g. field *nr-SecondaryCellGroupConfig*. I.e. the failure behaviour defined also applies in case the UE cannot comply with the NR configuration or with the combination of (parts of) the LTE and NR configurations.

## 5.3.5.6 T304 expiry (handover failure)

- 1> if T304 expires (handover failure):
- NOTE 1: Following T304 expiry any dedicated preamble, if provided within the *rach-ConfigDedicated*, is not available for use by the UE anymore.

- 2> revert back to the configuration used in the source PCell, excluding the configuration configured by the *physicalConfigDedicated*, the *mac-MainConfig* and the *sps-Config*;
- NOTE 1a: In the context above, "the configuration" includes state variables and parameters of each radio bearer. PDCP entities associtated with RLC UM and SRB bearers are reset after the successful RRC connection re-establishment procedure according to clause 5.2 in TS 36.323 [8]. In the above, "the configuration" includes the RB configuration using NR PDCP, if configured (i.e. by *nr-RadioBearerConfig1* and *nr-RadioBearerConfig2*).
  - 2> store the following handover failure information in VarRLF-Report by setting its fields as follows:
    - 3> clear the information included in *VarRLF-Report*, if any;
    - 3> set the plmn-IdentityList to include the list of EPLMNs stored by the UE (i.e. includes the RPLMN);
    - 3> set the measResultLastServCell to include the RSRP and RSRQ, if available, of the source PCell based on measurements collected up to the moment the UE detected handover failure and in accordance with the following;
      - 4> if the UE includes *rsrqResult*, include the *lastServCellRSRQ-Type*;
    - 3> set the *measResultNeighCells* to include the best measured cells, other than the source PCell, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected handover failure, and set its fields as follows;
      - 4> if the UE was configured to perform measurements for one or more EUTRA frequencies, include the *measResultListEUTRA*;
      - 4> if the UE includes *rsrqResult*, include the *rsrq-Type*;
      - 4> if the UE was configured to perform measurement reporting for one or more neighbouring UTRA frequencies, include the *measResultListUTRA*;
      - 4> if the UE was configured to perform measurement reporting for one or more neighbouring GERAN frequencies, include the *measResultListGERAN*;
      - 4> if the UE was configured to perform measurement reporting for one or more neighbouring CDMA2000 frequencies, include the *measResultsCDMA2000*;
      - 4> for each neighbour cell included, include the optional fields that are available;
- NOTE 2: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Blacklisted cells are not required to be reported.
  - 3> if available, set the *logMeasResultListWLAN* to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs;
  - 3> if available, set the *logMeasResultListBT* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;
  - 3> if detailed location information is available, set the content of the *locationInfo* as follows:
    - 4> include the *locationCoordinates*;
    - 4> include the *horizontalVelocity*, if available;
  - 3> set the *failedPCellId* to the global cell identity, if available, and otherwise to the physical cell identity and carrier frequency of the target PCell of the failed handover;
  - 3> include *previousPCellId* and set it to the global cell identity of the PCell where the last *RRCConnectionReconfiguration* message including *mobilityControlInfo* was received;
  - 3> set the *timeConnFailure* to the elapsed time since reception of the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo*;
  - 3> set the *connectionFailureType* to 'hof';

- 3> set the *c-RNTI* to the C-RNTI used in the source PCell;
- 2> initiate the connection re-establishment procedure as specified in 5.3.7, upon which the RRC connection reconfiguration procedure ends;

The UE may discard the handover failure information, i.e. release the UE variable *VarRLF-Report*, 48 hours after the failure is detected, upon power off or upon detach.

NOTE 3: E-UTRAN may retrieve the handover failure information using the UE information procedure with *rlf-ReportReq* set to *true*, as specified in 5.6.5.3.

#### 5.3.5.7 Void

# 5.3.5.7a T307 expiry (SCG change failure)

The UE shall:

1> if T307 expires:

NOTE 1: Following T307 expiry any dedicated preamble, if provided within the *rach-ConfigDedicatedSCG*, is not available for use by the UE anymore.

2> initiate the SCG failure information procedure as specified in 5.6.13 to report SCG change failure;

# 5.3.5.8 Radio Configuration involving full configuration option

- 1> if the UE is connected to EPC:
  - 2> release/ clear all current dedicated radio configurations except for the following:
    - the MCG C-RNTI,
    - the MCG security configuration,
    - the PDCP, RLC, logical channel configurations for the RBs,
    - the logged measurement configuration;
- 1> else if the UE is connected to 5GC:
  - 2> release/ clear all current dedicated radio configurations except for the following:
    - the MCG C-RNTI,
    - the MCG security configuration,
    - the configurations (SDAP if configured, PDCP, RLC and logical channel) for the RBs;
- NOTE 1: Radio configuration is not just the resource configuration but includes other configurations like MeasConfig and OtherConfig. In case (NG)EN-DC is configured, this also includes the entire NR SCG configuration. Such NR SCG configuration does not include the DRB configuration as configured by nr-RadioBearerConfig1 and nr-RadioBearerConfig2).
- 1> if the RRCConnectionReconfiguration message includes the mobilityControlInfo:
  - 2> release/ clear all current common radio configurations;
  - 2> use the default values specified in 9.2.5 for timer T310, T311 and constant N310, N311;
- 1> else:
  - 2> use values for timers T301, T310, T311 and constants N310, N311, as included in *ue-TimersAndConstants* received in *SystemInformationBlockType2* (or *SystemInformationBlockType2-NB* in NB-IoT);

- 1> apply the default physical channel configuration as specified in 9.2.4;
- 1> apply the default semi-persistent scheduling configuration as specified in 9.2.3;
- 1> apply the default MAC main configuration as specified in 9.2.2;
- 1> if the UE is a NB-IoT UE; or
- 1> for each *srb-Identity* value included in the *srb-ToAddModList* (SRB reconfiguration):
  - 2> apply the specified configuration defined in 9.1.2 for the corresponding SRB;
  - 2> apply the corresponding default RLC configuration for the SRB specified in 9.2.1.1 for SRB1 or in 9.2.1.2 for SRB2;
  - 2> apply the corresponding default logical channel configuration for the SRB as specified in 9.2.1.1 for SRB1 or in 9.2.1.2 for SRB2;
  - 2> if the corresponding SRB was configured with NR PDCP and the UE is connected to EPC:
    - 3> release the NR PDCP entity and establish it with an E-UTRA PDCP entity and with the current (MCG) security configuration;
- NOTE 1a: The UE applies the LTE ciphering and integrity protection algorithms that are equivalent to the previously configured NR security algorithms.
  - 3> associate the RLC bearer of this SRB with the established PDCP entity;
- NOTE 2: This is to get the SRBs (SRB1 and SRB2 for handover and SRB2 for reconfiguration after reestablishment) to a known state from which the reconfiguration message can do further configuration.
  - 2> else if the UE is connected to 5GC:
    - 3> apply the corresponding default PDCP configuration for the SRB as specified in TS 38.331 [82], clause 9.2.1;
- 1> for each *srb-Identity* value which was configured in the *srb-ToAddModListExt* but is not added in the RRC message configuring the full configuration:
  - 2> release the RLC entity or entities;
  - 2> release the DCCH logical channel;
  - 2> release the PDCP entity;
- 1> if the UE is connected to EPC:
  - 2> for each *eps-BearerIdentity* value included in the *drb-ToAddModList* or *nr-RadioBearerConfig1* or *nr-RadioBearerConfig2* that is part of the current E-UTRA and NR UE configuration:
    - 3> release the E-UTRA or NR PDCP entity;
    - 3> release the RLC entity or entities;
    - 3> release the DTCH logical channel;
    - 3> release the *drb-identity*;
- NOTE 3: This will retain the *eps-bearerIdentity* but remove the DRBs including *drb-identity* of these bearers from the current UE configuration and trigger the setup of the DRBs within the AS in clause 5.3.10.3 using the new configuration. The *eps-bearerIdentity* acts as the anchor for associating the released and re-setup DRB. In the AS the DRB re-setup is equivalent with a new DRB setup (including new PDCP and logical channel configurations).
  - 2> for each *eps-BearerIdentity* value that is part of the current E-UTRA and NR UE configuration but not added with same *eps-BearerIdentity* in *drb-ToAddModList* nor in *nr-RadioBearerConfig1* nor in *nr-RadioBearerConfig2*:

- 3> perform DRB release as specified in 5.3.10.2;
- 1> if the UE is connected to 5GC:
  - 2> for each *pdu-Session* that is part of the current NR UE configuration:
    - 3> release the SDAP entity (clause 5.1.2 in TS 37.324 [97]);
    - 3> release the NR PDCP entity for each DRB associated to the *pdu-Session*;
    - 3> release the RLC entity or entities for each DRB associated to the *pdu-Session*;
    - 3> release the DTCH logical channel for each DRB associated to the *pdu-Session*;
    - 3> release the *drb-identity* for each DRB associated to the *pdu-Session*;
- NOTE 4: This will retain the *pdu-Session* but remove the DRBs including *drb-identity* of these bearers from the current NR UE configuration and trigger the setup of the DRBs within the AS in clause 5.3.10.3 using the new configuration. The *pdu-Session* acts as the anchor for associating the released and re-setup DRB. In the AS the DRB re-setup is equivalent with a new DRB setup (including new PDCP and logical channel configurations).
  - 2> for each *pdu-Session* that is part of the current NR UE configuration but not added with same *pdu-Session* in *nr-RadioBearerConfig1* nor in *nr-RadioBearerConfig2*:
    - 3> if the procedure was triggered due to handover:
      - 4> indicate the release of the user plane resources for the *pdu-Session* to upper layers after successful handover;
    - 3> else:
      - 4> indicate the release of the user plane resources for the *pdu-Session* to upper layers immediately;

# 5.3.6 Counter check

### 5.3.6.1 General

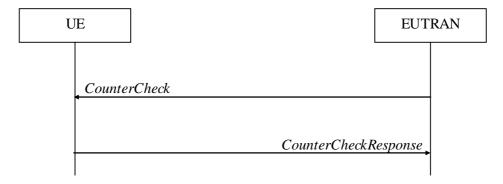


Figure 5.3.6.1-1: Counter check procedure

The counter check procedure is used by E-UTRAN to request the UE to verify the amount of data sent/ received on each DRB. More specifically, the UE is requested to check if, for each DRB, the most significant bits of the COUNT match with the values indicated by E-UTRAN.

NOTE: The procedure enables E-UTRAN to detect packet insertion by an intruder (a 'man in the middle').

## 5.3.6.2 Initiation

E-UTRAN initiates the procedure by sending a *CounterCheck* message.

NOTE: E-UTRAN may initiate the procedure when any of the COUNT values reaches a specific value.

# 5.3.6.3 Reception of the *CounterCheck* message by the UE

Upon receiving the CounterCheck message, the UE shall:

- 1> for each DRB that is established:
  - 2> if no COUNT exists for a given direction (uplink or downlink) because it is a uni-directional bearer configured only for the other direction:
    - 3> assume the COUNT value to be 0 for the unused direction;
  - 2> if the *drb-Identity* is not included in the *drb-CountMSB-InfoList*:
    - 3> if the DRB is configured with E-UTRA PDCP:
      - 4> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of the corresponding COUNT;
    - 3> else if the DRB is configured with NR PDCP:
      - 4> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of TX\_NEXT 1 and RX\_NEXT 1 (specified in TS 38.323 [83]), respectively;
  - 2> else if, for at least one direction, the most significant bits of the COUNT are different from the value indicated in the *drb-CountMSB-InfoList*:
    - 3> if the DRB is configured with E-UTRA PDCP:
      - 4> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of the corresponding COUNT;
    - 3> else if the DRB is configured with NR PDCP:
      - 4> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of TX\_NEXT 1 and RX\_NEXT 1 (specified in TS 38.323 [83]), respectively;
- 1> for each DRB that is included in the *drb-CountMSB-InfoList* in the *CounterCheck* message that is not established:
  - 2> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* with the most significant bits set identical to the corresponding values in the *drb-CountMSB-InfoList* and the least significant bits set to zero;
- 1> submit the CounterCheckResponse message to lower layers for transmission upon which the procedure ends;

## 5.3.7 RRC connection re-establishment

### 5.3.7.1 General

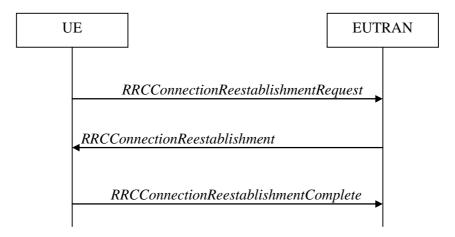


Figure 5.3.7.1-1: RRC connection re-establishment, successful

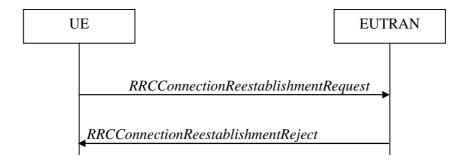


Figure 5.3.7.1-2: RRC connection re-establishment, failure

The purpose of this procedure is to re-establish the RRC connection, which involves the resumption of SRB1 (SRB1bis for a NB-IoT UE for which AS security has not been activated) operation, the re-activation of security (except for a NB-IoT UE for which AS security has not been activated) and the configuration of only the PCell.

Except for a NB-IoT UE for which AS security has not been activated, a UE in RRC\_CONNECTED, for which security has been activated, may initiate the procedure in order to continue the RRC connection. The connection reestablishment succeeds only if the concerned cell is prepared i.e. has a valid UE context. In case E-UTRAN accepts the re-establishment, SRB1 operation resumes while the operation of other radio bearers remains suspended. If AS security has not been activated, the UE does not initiate the procedure but instead moves to RRC\_IDLE directly.

When AS security has not been activated, a NB-IoT UE supporting RRC connection re-establishment for the Control Plane CIoT EPS optimisation in RRC\_CONNECTED may initiate the procedure in order to continue the RRC connection.

E-UTRAN applies the procedure as follows:

- When AS security has been activated:
  - to reconfigure SRB1 and to resume data transfer only for this RB;
  - to re-activate AS security without changing algorithms.
- For a NB-IoT UE supporting RRC connection re-establishment for the Control Plane CIoT EPS optimisation, when AS security has not been activated:
  - to re-establish SRB1bis and to continue data transfer for this RB.

### 5.3.7.2 Initiation

The UE shall only initiate the procedure either when AS security has been activated or for a NB-IoT UE supporting RRC connection re-establishment for the Control Plane CIoT EPS optimisation. The UE initiates the procedure when one of the following conditions is met:

- 1> upon detecting radio link failure, in accordance with 5.3.11; or
- 1> upon handover failure, in accordance with 5.3.5.6; or
- 1> upon mobility from E-UTRA failure, in accordance with 5.4.3.5; or
- 1> except for UP-EDT, upon integrity check failure indication from lower layers concerning SRB1 or SRB2; or
- 1> upon an RRC connection reconfiguration failure, in accordance with 5.3.5.5; or
- 1> upon an RRC connection reconfiguration failure, in accordance with TS38.331 [82], clause 5.3.5.5.

NOTE: For UP-EDT, integrity check failure indication from lower layers is handled in accordance with clause 5.3.3.16.

Upon initiation of the procedure, the UE shall:

- 1> stop timer T310, if running;
- 1> stop timer T312, if running;
- 1> stop timer T313, if running;
- 1> stop timer T307, if running;
- 1> start timer T311;
- 1> stop timer T370, if running;
- 1> release uplinkDataCompression, if configured;
- 1> suspend all RBs, including RBs configured with NR PDCP, except SRB0;
- 1> reset MAC;
- 1> release the MCG SCell(s), if configured, in accordance with 5.3.10.3a;
- 1> release the SCell group(s), if configured, in accordance with 5.3.10.3d;
- 1> apply the default physical channel configuration as specified in 9.2.4;
- 1> except for NB-IoT, for the MCG, apply the default semi-persistent scheduling configuration as specified in 9.2.3;
- 1> for NB-IoT, release *schedulingRequestConfig*, if configured;
- 1> for the MCG, apply the default MAC main configuration as specified in 9.2.2;
- 1> release powerPrefIndicationConfig, if configured and stop timer T340, if running;
- 1> release reportProximityConfig, if configured and clear any associated proximity status reporting timer;
- 1> release *obtainLocationConfig*, if configured;
- 1> release *idc-Config*, if configured;
- 1> release sps-AssistanceInfoReport, if configured;
- 1> release *measSubframePatternPCell*, if configured;
- 1> release the entire SCG configuration, if configured, except for the DRB configuration (as configured by *drb-ToAddModListSCG*);

- 1> if (NG)EN-DC is configured:
  - 2> perform MR-DC release, as specified in TS 38.331[82], clause 5.3.5.10;
  - 2> release *p-MaxEUTRA*, if configured;
  - 2> release *p-MaxUE-FR1*, if configured;
  - 2> release tdm-PatternConfig, if configured;
- 1> release naics-Info for the PCell, if configured;
- 1> if connected as an RN and configured with an RN subframe configuration:
  - 2> release the RN subframe configuration;
- 1> release the LWA configuration, if configured, as described in 5.6.14.3;
- 1> release the LWIP configuration, if configured, as described in 5.6.17.3;
- 1> release delayBudgetReportingConfig, if configured and stop timer T342, if running;
- 1> perform cell selection in accordance with the cell selection process as specified in TS 36.304 [4];
- 1> release bw-PreferenceIndicationTimer, if configured and stop timer T341, if running;
- 1> release overheating Assistance Config, if configured and stop timer T345, if running;
- 1> release ailc-BitConfig, if configured;

# 5.3.7.3 Actions following cell selection while T311 is running

Upon selecting a suitable E-UTRA cell, the UE shall:

- 1> if T309 is running:
  - 2> stop timer T309 for all access categories;
  - 2> perform the actions as specified in 5.3.16.4.
- 1> if the UE is connected to 5GC and the selected cell is only connected to EPC; or
- 1> if the UE is connected to EPC and the selected cell is only connected to 5GC:
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';
- 1> else:
  - 2> stop timer T311;
  - 2> start timer T301;
  - 2> apply the *timeAlignmentTimerCommon* included in *SystemInformationBlockType2*;
  - 2> if the UE is a NB-IoT UE supporting RRC connection re-establishment for the Control Plane CIoT EPS optimisation and AS security has not been activated; and
  - 2> if *cp-reestablishment* is not included in *SystemInformationBlockType2-NB*:
    - 3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';
  - 2> else:
    - 3> initiate transmission of the RRCConnectionReestablishmentRequest message in accordance with 5.3.7.4;
- NOTE: This procedure applies also if the UE returns to the source PCell.

Upon selecting an inter-RAT cell, the UE shall:

- 1> if the selected cell is a UTRA cell, and if the UE supports Radio Link Failure Report for Inter-RAT MRO, include *selectedUTRA-CellId* in the *VarRLF-Report* and set it to the physical cell identity and carrier frequency of the selected UTRA cell;
- 1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure':

# 5.3.7.4 Actions related to transmission of *RRCConnectionReestablishmentRequest* message

Except for NB-IoT, if the procedure was initiated due to radio link failure or handover failure, the UE shall:

1> set the reestablishmentCellId in the VarRLF-Report to the global cell identity of the selected cell;

The UE shall set the contents of RRCConnectionReestablishmentRequest message as follows:

- 1> except for a NB-IoT UE for which AS security has not been activated, set the *ue-Identity* as follows:
  - 2> set the *c-RNTI* to the C-RNTI used in the source PCell (handover and mobility from E-UTRA failure) or used in the PCell in which the trigger for the re-establishment occurred (other cases);
  - 2> set the *physCellId* to the physical cell identity of the source PCell (handover and mobility from E-UTRA failure) or of the PCell in which the trigger for the re-establishment occurred (other cases);
  - 2> set the *shortMAC-I* to the 16 least significant bits of the MAC-I calculated:
    - 3> over the ASN.1 encoded as per clause 8 (i.e., a multiple of 8 bits) *VarShortMAC-Input* (or *VarShortMAC-Input*.);
    - 3> with the K<sub>RRCint</sub> key and integrity protection algorithm that was used in the source PCell (handover and mobility from E-UTRA failure) or of the PCell in which the trigger for the re-establishment occurred (other cases); and
    - 3> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;
- 1> for a NB-IoT UE for which AS security has not been activated, set the *ue-Identity* as follows:
  - 2> request upper layers for calculated ul-NAS-MAC and ul-NAS-Count using the *cellIdentity* indicated in *SystemInformationBlockType1-NB* of the current cell;
  - 2> set the *s-TMSI* to the S-TMSI provided by upper layers;
  - 2> set the *ul-NAS-MAC* to the ul-NAS-MAC value provided by upper layers;
  - 2> set the *ul-NAS-Count* to the ul-NAS-Count value provided by upper layers;
- 1> set the *reestablishmentCause* as follows:
  - 2> if the re-establishment procedure was initiated due to reconfiguration failure as specified in 5.3.5.5 (the UE is unable to comply with the reconfiguration):
    - 3> set the *reestablishmentCause* to the value *reconfigurationFailure*;
  - 2> else if the re-establishment procedure was initiated due to handover failure as specified in 5.3.5.6 (intra-LTE handover failure) or 5.4.3.5 (inter-RAT mobility from EUTRA failure):
    - 3> set the reestablishmentCause to the value handoverFailure;
  - 2> else:
    - 3> set the *reestablishmentCause* to the value *otherFailure*;
- 1> if the UE is a NB-IoT UE:

- 2> if the UE supports DL channel quality reporting and *cqi-Reporting* is present in *SystemInformationBlockType2-NB*:
  - 3> set the *cqi-NPDCCH* to include the latest results of the downlink channel quality measurements of the serving cell as specified in TS 36.133 [16];
- NOTE: The downlink channel quality measurements may use measurement period T1 or T2, as defined in TS 36.133 [16]. In case period T2 is used the RRC-MAC interactions are left to UE implementation.
  - 2> set earlyContentionResolution to TRUE;

The UE shall submit the RRCConnectionReestablishmentRequest message to lower layers for transmission.

# 5.3.7.5 Reception of the RRCConnectionReestablishment by the UE

NOTE 1: Prior to this, lower layer signalling is used to allocate a C-RNTI. For further details see TS 36.321 [6]; The UE shall:

- 1> stop timer T301;
- 1> consider the current cell to be the PCell;
- 1> except for a NB-IoT UE for which AS security has not been activated:
  - 2> if SRB1 was configured with NR PDCP and the UE is connected to EPC:
    - 3> for SRB1, release the NR PDCP entity and establish an E-UTRA PDCP entity with the current (MCG) security configuration;
- NOTE 1a: The UE applies the LTE ciphering and integrity protection algorithms that are equivalent to the previously configured NR security algorithms.
  - 2> else:
    - 3> for SRB1, re-establish the PDCP entity;
  - 2> re-establish RLC for SRB1;
  - 2> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10;
  - 2> resume SRB1;
- NOTE 2: E-UTRAN should not transmit any message on SRB1 prior to receiving the *RRCConnectionReestablishmentComplete* message.
  - 2> if UE is connected to EPC, update the K<sub>eNB</sub> key based on the K<sub>ASME</sub> key to which the current K<sub>eNB</sub> is associated, using the *nextHopChainingCount* value indicated in the *RRCConnectionReestablishment* message, as specified in TS 33.401 [32];
  - 2> else if UE is connected to 5GC, update the K<sub>eNB</sub> key based on the K<sub>AMF</sub> key to which the current K<sub>eNB</sub> is associated, using the *nextHopChainingCount* value indicated in the *RRCConnectionReestablishment* message, as specified in TS 33.501 [86];
  - 2> store the *nextHopChainingCount* value;
  - 2> derive the  $K_{RRCint}$  key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];
  - 2> derive the K<sub>RRCenc</sub> key and the K<sub>UPenc</sub> key associated with the previously configured ciphering algorithm, as specified in TS 33.401 [32];
  - 2> if connected as an RN:
    - 3> derive the K<sub>UPint</sub> key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];

- 2> configure lower layers to activate integrity protection using the previously configured algorithm and the K<sub>RRCint</sub> key immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 2> if connected as an RN:
  - 3> configure lower layers to apply integrity protection using the previously configured algorithm and the K<sub>UPint</sub> key, for subsequently resumed or subsequently established DRBs that are configured to apply integrity protection, if any;
- 2> configure lower layers to apply ciphering using the previously configured algorithm, the K<sub>RRCenc</sub> key and the K<sub>UPenc</sub> key immediately, i.e., ciphering shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 2> if the UE is not a NB-IoT UE:
  - 3> if the UE is connected to EPC:
    - 4> set the content of RRCConnectionReestablishmentComplete message as follows:
      - 5> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
        - 6> include the *rlf-InfoAvailable*;
      - 5> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport* and if T330 is not running:
        - 6> include logMeasAvailableMBSFN;
      - 5> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
        - 6> include the *logMeasAvailable*;
      - 5> if the UE has Bluetooth logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
        - 6> include the logMeasAvailableBT;
      - 5> if the UE has WLAN logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
        - 6> include the logMeasAvailableWLAN;
      - 5> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:
        - 6> include the connEstFailInfoAvailable;
      - 5> if the UE has flight path information available:
        - 6> include flightPathInfoAvailable;
  - 3> perform the measurement related actions as specified in 5.5.6.1;
  - 3> perform the measurement identity autonomous removal as specified in 5.5.2.2a;
- 2> else:
  - 3> if the UE supports serving cell idle mode measurements reporting and *servingCellMeasInfo* is present in *SystemInformationBlockType2-NB*:
    - 4> set the *measResultServCell* to include the measurements of the serving cell;

- NOTE 2a: The UE includes the latest results of the serving cell measurements as used for cell selection/ reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].
  - 2> submit the RRCConnectionReestablishmentComplete message to lower layers for transmission;
  - 2> if *SystemInformationBlockType15* is broadcast by the PCell:
    - 3> if the UE has transmitted an MBMSInterestIndication message during the last 1 second preceding detection of radio link failure:
      - 4> ensure having a valid version of SystemInformationBlockType15 for the PCell;
      - 4> determine the set of MBMS frequencies of interest in accordance with 5.8.5.3;
      - 4> determine the set of MBMS services of interest in accordance with 5.8.5.3a;
      - 4> initiate transmission of the MBMSInterestIndication message in accordance with 5.8.5.4;
  - 2> if SystemInformationBlockType18 is broadcast by the PCell; and the UE transmitted a SidelinkUEInformation message indicating a change of sidelink communication related parameters relevant in PCell (i.e. change of commRxInterestedFreq or commTxResourceReq, commTxResourceReqUC if SystemInformationBlockType18 includes commTxResourceUC-ReqAllowed or commTxResourceInfoReqRelay if PCell broadcasts SystemInformationBlockType19 including discConfigRelay) during the last 1 second preceding detection of radio link failure; or
  - 2> if SystemInformationBlockType19 is broadcast by the PCell; and the UE transmitted a SidelinkUEInformation message indicating a change of sidelink discovery related parameters relevant in PCell (i.e. change of discRxInterest or discTxResourceReq, discTxResourceReqPS if SystemInformationBlockType19 includes discConfigPS or discRxGapReq or discTxGapReq if the UE is configured with gapRequestsAllowedDedicated set to true or if the UE is not configured with gapRequestsAllowedDedicated and SystemInformationBlockType19 includes gapRequestsAllowedCommon) during the last 1 second preceding detection of radio link failure; or
  - 2> if *SystemInformationBlockType21* including *sl-V2X-ConfigCommon* is broadcast by the PCell; and the UE transmitted a *SidelinkUEInformation* message indicating a change of V2X sidelink communication related parameters relevant in PCell (i.e. change of *v2x-CommRxInterestedFreqList* or *v2x-CommTxResourceReq*) during the last 1 second preceding detection of radio link failure:
    - 3> initiate transmission of the SidelinkUEInformation message in accordance with 5.10.2.3;
- 1> for a NB-IoT UE for which AS security has not been activated:
  - 2> validate *dl-NAS-MAC*, as specified in TS 33.401 [32];
  - 2> if *dl-NAS-MAC* check fails:
    - 3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure', upon which the procedure ends;
  - 2> except for a UE that only supports the Control Plane CIoT EPS optimisation:
    - 3> re-establish PDCP for SRB1;
    - 3> re-establish RLC for SRB1:
  - 2> re-establish RLC for SRB1bis;
  - 2> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10;
  - 2> except for a UE that only supports the Control Plane CIoT EPS optimisation:
    - 3> resume SRB1;
  - 2> resume SRB1bis;

- NOTE 3: E-UTRAN should not transmit any message on SRB1bis prior to receiving the *RRCConnectionReestablishmentComplete* message.
  - 2> if the UE supports serving cell idle mode measurements reporting and *servingCellMeasInfo* is present in *SystemInformationBlockType2-NB*:
    - 3> set the *measResultServCell* to include the measurements of the serving cell;
- NOTE 4: The UE includes the latest results of the serving cell measurements as used for cell selection/reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].
  - 2> submit the RRCConnectionReestablishmentComplete message to lower layers for transmission;
- 1> the procedure ends;

## 5.3.7.6 T311 expiry

Upon T311 expiry, the UE shall:

1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure':

# 5.3.7.7 T301 expiry or selected cell no longer suitable

The UE shall:

- 1> if timer T301 expires; or
- 1> if the selected cell becomes no longer suitable according to the cell selection criteria as specified in TS 36.304 [4]:
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

## 5.3.7.8 Reception of RRCConnectionReestablishmentReject by the UE

Upon receiving the RRCConnectionReestablishmentReject message, the UE shall:

1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

## 5.3.8 RRC connection release

### 5.3.8.1 General

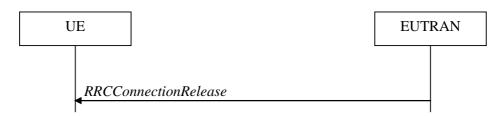


Figure 5.3.8.1-1: RRC connection release, successful

The purpose of this procedure is:

- to release the RRC connection, which includes the release of the established radio bearers as well as all radio resources; or
- to suspend the RRC connection for both suspended RRC connection or RRC\_INACTIVE, which includes the suspension of the established radio bearers.

to complete the UP-EDT procedure, which includes the release or suspension of the established radio bearers.

### 5.3.8.2 Initiation

E-UTRAN initiates the RRC connection release procedure to a UE in RRC\_CONNECTED or in RRC\_INACTIVE or to complete UP-EDT.

# 5.3.8.3 Reception of the RRCConnectionRelease by the UE

- 1> except for NB-IoT, BL UEs or UEs in CE, delay the following actions defined in this sub-clause 60 ms from the moment the *RRCConnectionRelease* message was received or optionally when lower layers indicate that the receipt of the *RRCConnectionRelease* message has been successfully acknowledged, whichever is earlier;
- 1> for BL UEs or UEs in CE, delay the following actions defined in this sub-clause 1.25 seconds from the moment the *RRCConnectionRelease* message was received or optionally when lower layers indicate that the receipt of the *RRCConnectionRelease* message has been successfully acknowledged, whichever is earlier;
- 1> for NB-IoT, delay the following actions defined in this sub-clause 10 seconds from the moment the *RRCConnectionRelease* message was received or optionally when lower layers indicate that the receipt of the *RRCConnectionRelease* message has been successfully acknowledged, whichever is earlier.
- NOTE: For BL UEs, UEs in CE and NB-IoT, when STATUS reporting, as defined in TS 36.322 [7], has not been triggered and the UE has sent positive HARQ feedback (ACK), as defined in TS 36.321 [6], the lower layers can be considered to have indicated that the receipt of the *RRCConnectionRelease* message has been successfully acknowledged.
- 1> stop T380, if running;
- 1> if the RRCConnectionRelease message is received in response to an RRCConnectionResumeRequest for EDT:
  - 2> indicate to upper layers that the suspended RRC connection has been resumed;
  - 2> discard the stored UE AS context and resumeIdentity;
  - 2> stop timer T300;
  - 2> stop timer T302, if running;
  - 2> stop timer T303, if running;
  - 2> stop timer T305, if running;
  - 2> stop timer T306, if running;
  - 2> stop timer T308, if running;
  - 2> perform the actions as specified in 5.3.3.7;
  - 2> stop timer T320, if running;
  - 2> stop timer T322, if running;
- 1> if AS security is not activated and if UE is connected to 5GC:
  - 2> ignore any field included in RRCConnectionRelease message except waitTime;
  - 2> perform the actions upon leaving RRC\_CONNECTED or RRC\_INACTIVE as specified in 5.3.12 with the release cause 'other' upon which the procedure ends;
- 1> if the RRCConnectionRelease message includes redirectedCarrierInfo indicating redirection to geran; or
- 1> if the RRCConnectionRelease message includes idleModeMobilityControlInfo including freqPriorityListGERAN:
  - 2> if AS security has not been activated; and

- 2> if upper layers indicate that redirect to GERAN without AS security is not allowed:
  - 3> ignore the content of the RRCConnectionRelease;
  - 3> perform the actions upon leaving RRC\_CONNECTED or RRC\_INACTIVE as specified in 5.3.12, with release cause 'other', upon which the procedure ends;
- 1> if AS security has not been activated:
  - 2> ignore the content of redirectedCarrierInfo, if included and indicating redirection to nr;
  - 2> ignore the content of idleModeMobilityControlInfo, if included and including freqPriorityListNR;
  - 2> if the UE ignores the content of redirected Carrier Info or of idle Mode Mobility Control Info:
    - 3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other', upon which the procedure ends;
- 1> if the *RRCConnectionRelease* message includes *redirectedCarrierInfo* indicating redirection to *eutra* and if UE is connected to 5GC:
  - 2> if *cn-Type* is included:
    - 3> after the cell selection, indicate the available CN Type(s) and the received *cn-Type* to upper layers;
- NOTE 1: Handling the case if the E-UTRA cell selected after the redirection does not support the core network type specified by the *cn-Type*, is up to UE implementation.
- 1> if the RRCConnectionRelease message includes the idleModeMobilityControlInfo:
  - 2> store the cell reselection priority information provided by the *idleModeMobilityControlInfo*;
  - 2> if the t320 is included:
    - 3> start timer T320, with the timer value set according to the value of t320;
- 1> else:
  - 2> apply the cell reselection priority information broadcast in the system information;
- 1> if the RRCConnectionRelease message includes the measIdleConfig:
  - 2> clear VarMeasIdleConfig and VarMeasIdleReport;
  - 2> store the received *measIdleDuration* in *VarMeasIdleConfig*;
  - 2> start T331 with the value of measIdleDuration;
  - 2> if the measIdleConfig contains measIdleCarrierListEUTRA:
    - 3> store the received *measIdleCarrierListEUTRA* in *VarMeasIdleConfig*;
    - 3> start performing idle mode measurements as specified in 5.6.20;
- NOTE 2: If the *measIdleConfig* does not contain *measIdleCarrierListEUTRA*, UE may receive *measIdleCarrierListEUTRA* as specified in 5.2.2.12.
- 1> for NB-IoT, if the RRCConnectionRelease message includes the redirectedCarrierInfo:
  - 2> if the *redirectedCarrierOffsetDedicated* is included in the *redirectedCarrierInfo*:
    - 3> store the dedicated offset for the frequency in redirectedCarrierInfo;
    - 3> start timer T322, with the timer value set according to the value of T322 in redirectedCarrierInfo;
- 1> if the releaseCause received in the RRCConnectionRelease message indicates loadBalancingTAURequired:

- 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'load balancing TAU required';
- 1> else if the release Cause received in the RRCConnectionRelease message indicates cs-FallbackHighPriority:
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'CS Fallback High Priority';

#### 1> else:

- 2> if the extendedWaitTime is present; and
- 2> if the UE supports delay tolerant access or the UE is a NB-IoT UE:
  - 3> forward the extendedWaitTime to upper layers;
- 2> if the *extendedWaitTime-CPdata* is present and the NB-IoT UE only supports the Control Plane CIoT EPS optimisation:
  - 3> forward the extendedWaitTime-CPdata to upper layers;
- 2> if the release Cause received in the RRCConnectionRelease message indicates rrc-Suspend:
  - 3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC suspension';
- 2> else if *rrc-InactiveConfig* is included:
  - 3> perform the actions upon entering RRC INACTIVE as specified in 5.3.8.7;
- 2> else:
  - 3> perform the actions upon leaving RRC\_CONNECTED or RRC\_INACTIVE as specified in 5.3.12, with release cause 'other';

### 5.3.8.4 T320 expiry

The UE shall:

- 1> if T320 expires:
  - 2> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo* or inherited from another RAT;
  - 2> apply the cell reselection priority information broadcast in the system information;

# 5.3.8.5 T322 expiry or stop

The UE shall:

- 1> if T322 expires or is stopped:
  - 2> discard the redirectedCarrierOffsetDedicated provided in RRCConnectionRelease message;

## 5.3.8.6 UE actions upon receiving the expiry of *DataInactivityTimer*

Upon receiving the expiry of DataInactivityTimer from lower layers while in RRC\_CONNECTED, the UE shall:

1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

# 5.3.8.7 UE actions upon entering RRC\_INACTIVE

Upon entering RRC\_INACTIVE, the UE shall:

- 1> reset MAC and release the default MAC configuration if any;
- 1> stop all timers that are running except T302, T309, T320 and T325;
- 1> re-establish RLC entities for all SRBs and DRBs;
- 1> if the *RRCConnectionRelease* message is including the *waitTime*:
  - 2> start timer T302, with the timer value set according to the *waitTime*;
  - 2> inform the upper layer that access barring is applicable for all access categories except categories '0' and '2';
- 1> if T309 is running:
  - 2> stop timer T309 for all access categories;
  - 2> perform the actions as specified in 5.3.16.4.
- 1> apply the received *rrc-InactiveConfig*;
- 1> if the RRCConnectionRelease message was received in response to an RRCConnectionResumeRequest:
  - 2> in the stored UE Inactive AS context:
    - 3> replace the K<sub>eNB</sub> and K<sub>RRCint</sub> keys with the current K<sub>eNB</sub> and K<sub>RRCint</sub> keys;
    - 3> replace the C-RNTI with the temporary C-RNTI which the UE has used to receive the *RRCConnectionRelease* message;
    - 3> replace the *cellIdentity* with the *cellIdentity* of the PCell at the time the UE has received the *RRCConnectionRelease* message;
    - 3> replace the previously stored physical cell identity with the physical cell identity of the PCell at the time the UE has received the *RRCConnectionRelease* message;

#### 1> else:

- 2> store in the UE Inactive AS Context, the current K<sub>eNB</sub> and K<sub>RRCint</sub> keys, the ROHC state, the stored QoS flow to DRB mapping rules, the C-RNTI used in the source PCell, the *cellIdentity* and the physical cell identity of the source PCell, and all other parameters configured;
- 1> if the *periodic-RNAU-timer* is included:
  - 2> start timer T380, with the timer value set to the *periodic-RNAU-timer*;
- 1> suspend all SRB(s) and DRB(s), except SRB0;
- 1> indicate PDCP suspend to lower layers of all DRBs;
- 1> indicate the suspension of the RRC connection to upper layers;
- 1> enter RRC\_INACTIVE and perform procedures as specified in TS 36.304 [4], clause 5.2.7;

Upon selecting to an inter-RAT cell or switching to another CN type, the UE shall:

1> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12, with release cause 'other';

# 5.3.9 RRC connection release requested by upper layers

#### 5.3.9.1 General

The purpose of this procedure is to release the RRC connection. Access to the current PCell may be barred as a result of this procedure.

### 5.3.9.2 Initiation

The UE initiates the procedure when upper layers request the release of the RRC connection as specified in TS 24. 301 [35] for E-UTRA/EPC and TS 24.501 [95] for E-UTRA/5GC. The UE shall not initiate the procedure for power saving purposes.

#### The UE shall:

- 1> if the upper layers indicate barring of the PCell:
  - 2> treat the PCell used prior to entering RRC\_IDLE as barred according to TS 36.304 [4];
- 1> perform the actions upon leaving RRC CONNECTED as specified in 5.3.12, with release cause 'other';

# 5.3.10 Radio resource configuration

#### 5.3.10.0 General

- 1> if the received radioResourceConfigDedicated includes the srb-ToAddModList:
  - 2> perform the SRB addition or reconfiguration as specified in 5.3.10.1;
- 1> if the received *radioResourceConfigDedicated* includes the *drb-ToReleaseList*:
  - 2> perform DRB release as specified in 5.3.10.2;
- 1> if the received radioResourceConfigDedicated includes the drb-ToAddModList:
  - 2> perform DRB addition or reconfiguration as specified in 5.3.10.3;
- 1> if the received radioResourceConfigDedicated includes the mac-MainConfig:
  - 2> perform MAC main reconfiguration as specified in 5.3.10.4;
- 1> if the received *radioResourceConfigDedicated* includes *sps-Config*:
  - 2> perform SPS reconfiguration according to 5.3.10.5;
- 1> if the received radioResourceConfigDedicated includes the physicalConfigDedicated:
  - 2> reconfigure the physical channel configuration as specified in 5.3.10.6.
- 1> if the received radioResourceConfigDedicated includes the rlf-TimersAndConstants:
  - 2> reconfigure the values of timers and constants as specified in 5.3.10.7;
- 1> if the received radioResourceConfigDedicated includes the measSubframePatternPCell:
  - 2> reconfigure the time domain measurement resource restriction for the serving cell as specified in 5.3.10.8;
- 1> if the received radioResourceConfigDedicated includes the naics-Info:
  - 2> perform NAICS neighbour cell information reconfiguration for the PCell as specified in 5.3.10.13;
- 1> if the received RadioResourceConfigDedicatedPSCell includes the naics-Info:
  - 2> perform NAICS neighbour cell information reconfiguration for the PSCell as specified in 5.3.10.13;
- 1> if the received RadioResourceConfigDedicatedSCell-r10 includes the naics-Info:
  - 2> perform NAICS neighbour cell information reconfiguration for the SCell as specified in 5.3.10.13;
- 1> if the received radioResourceConfigDedicated includes the srb-ToReleaseList:
  - 2> perform SRB release as specified in 5.3.10.17;

- 1> if the received radioResourceConfigDedicated includes the schedulingRequestConfig:
  - 2> perform scheduling request reconfiguration for the SCell as specified in 5.3.10.18.

#### 5.3.10.1 SRB addition/ modification

- 1> if the UE is a NB-IoT UE and SRB1 is not established; or
- 1> for each *srb-Identity* value included in the *srb-ToAddModList* that is not part of the current UE configuration (SRB establishment):
  - 2> if the UE is not a NB-IoT UE that only supports the Control Plane CIoT EPS optimisation:
    - 3> apply the specified configuration defined in 9.1.2 for the corresponding SRB;
    - 3> establish a primary (MCG) RLC entity in accordance with the received *rlc-Config*;
    - 3> establish a primary (MCG) DCCH logical channel in accordance with the received *logicalChannelConfig* and with the logical channel identity set in accordance with 9.1.2;
    - 3> if the same srb-Identity is included in NR srb-ToAddModList:
      - 4> after processing *nr-RadioBearerConfig1* and *nr-RadioBearerConfig2* if present in the *RRCConnectionReconfiguration* message which triggered the execution of the SRB addition/modification procedure, associate MCG RLC bearer with the NR PDCP entity associated with the same value of *srb-Identity* in the current UE configuration as specified in TS 38.331 [82];
    - 3> else:
      - 4> establish a PDCP entity and configure it with the current (MCG) security configuration, if applicable;
    - 3> if *rlc-BearerConfigSecondary* is received with value *setup*:
      - 4> establish a secondary MCG RLC entity or entities and an associated DCCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;
      - 4> configure the E-UTRA PDCP entity to activate duplication with t-Reordering set to infinity;
  - 2> if the UE is a NB-IoT UE:
    - 3> apply the specified configuration defined in 9.1.2 for SRB1bis;
    - 3> establish an (MCG) RLC entity in accordance with the received *rlc-Config*;
    - 3> establish a (MCG) DCCH logical channel in accordance with the received *logicalChannelConfig* and with the logical channel identity set in accordance with 9.1.2.1a;
- 1> if the UE is a NB-IoT UE and SRB1 is established; or
- 1> for each *srb-Identity* value included in the *srb-ToAddModList* that is part of the current UE configuration (SRB reconfiguration):
  - 2> if pdcp-verChange is included (i.e, NR PDCP to E-UTRA PDCP change):
    - 3> establish an (E-UTRA) PDCP entity and configure it with the current (MCG) security configuration;
- NOTE: The UE applies the LTE ciphering and integrity protection algorithms that are equivalent to the previously configured NR security algorithms.
  - 3> associate the primary RLC bearer of this SRB with the established PDCP entity;
  - 3> release the NR PDCP entity of this SRB;
  - 2> reconfigure the primary RLC entity in accordance with the received *rlc-Config*;

- 2> reconfigure the primary DCCH logical channel in accordance with the received logicalChannelConfig;
- 2> if *rlc-BearerConfigSecondary* is included with value *release*:
  - 3> release the secondary MCG RLC entity or entities as well as the associated DCCH logical channel;
- 2> if *rlc-BearerConfigSecondary* is received with value *setup*:
  - 3> if the current SRB configuration does not include a secondary RLC bearer:
    - 4> establish a secondary MCG RLC entity or entities and an associated DCCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;
    - 4> configure the E-UTRA PDCP entity to activate duplication with *t-Reordering* set to *infinity*;
  - 3> else:
    - 4> reconfigure the secondary MCG RLC entity or entities and the associated DCCH logical channel in accordance with the received *rlc-BearerConfigSecondary*;

# 5.3.10.1a SCG RLC bearer addition or reconfiguration for SRBs

#### The UE shall:

- 1> for each *srb-Identity* value included in the *srb-ToAddModListSCG* that is not part of the current UE E-UTRA SCG configuration (i.e. SCG RLC bearer establishment):
  - 2> apply the specified configuration defined in 9.1.2 for the corresponding SRB;
  - 2> establish an (SCG) RLC entity in accordance with the received *rlc-Config*;
  - 2> establish a (SCG) DCCH logical channel in accordance with the received *logicalChannelConfig* and with the logical channel identity set in accordance with 9.1.2;
  - 2> if the UE is configured with DC:
    - 3> associate the established SCG RLC bearer and DCCH logical channel with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;
    - 3> configure the E-UTRA PDCP entity to activate duplication with t-Reordering set to infinity;
  - 2> else (i.e. the UE is configured with NE-DC):
    - 3> associate the SCG RLC bearer and DCCH logical channel with the NR PDCP entity, i.e. as configured by NR see TS 38.331 [82], identified with the same *srb-Identity* within the current UE configuration;
- 1> for each *srb-Identity* value included in the *srb-ToAddModListSCG* that is part of the current UE SCG configuration (SCG RLC bearer reconfiguration):
  - 2> re-establish the SCG RLC entity, if *reestablishRLC* is included;
  - 2> reconfigure the RLC entity in accordance with the received *rlc-Config*;

# 5.3.10.2 DRB release

- 1> for each *drb-Identity* value included in the *drb-ToReleaseList* or *drb-ToReleaseListSCG* that is part of the current UE configuration (DRB or RLC bearer release); or
- 1> for each *drb-identity* value that is to be released as the result of full configuration option according to 5.3.5.8:
  - 2> if release of this DRB is result of full configuration option according to 5.3.5.8:

- 3> release the E-UTRA or NR PDCP entity;
- 2> else if this DRB is configured with *pdcp-config*:
  - 3> release the E-UTRA PDCP entity;
- 2> else (release the RLC bearer configuration of MCG or of SCG):
  - 3> re-establish the RLC entity as specified in 36.322 for this DRB;
- 2> release the RLC entity or entities;
- 2> release the DTCH logical channel;
- 2> if the UE is connected to EPC:
  - 3> if the DRB was configured with *pdcp-config* and new DRB is not added with same *eps-BearerIdentity* in *drb-ToAddModList* nor *nr-radioBearerConfig1* nor in *nr-radioBearerConfig2*:
    - 4> if the procedure was triggered due to handover:
      - 5> indicate the release of the DRB and the *eps-BearerIdentity* of the released DRB to upper layers after successful handover;
    - 4> else:
      - 5> indicate the release of the DRB and the *eps-BearerIdentity* of the released DRB to upper layers immediately.
- NOTE 1: The UE does not consider the message as erroneous if the *drb-ToReleaseList* includes any *drb-Identity* value that is not part of the current UE configuration.
- NOTE 2: The association of *eps-BearerIdentity* to an NR PDCP configuration as defined in TS 38.331 [82] can be included in the same message that releases an DRB associated to the same *eps-BearerIdentity*.

#### 5.3.10.3 DRB addition/ modification

- 1> for each *drb-Identity* value included in the *drb-ToAddModList* that is not part of the current UE configuration (DRB establishment including the case when full configuration option is used):
  - 2> if the concerned entry of drb-ToAddModList includes the drb-TypeLWA set to TRUE (i.e. add LWA DRB):
    - 3> perform the LWA specific DRB addition or reconfiguration as specified in 5.3.10.3a2;
  - 2> if the concerned entry of *drb-ToAddModList* includes the *drb-TypeLWIP* (i.e. add LWIP DRB):
    - 3> perform LWIP specific DRB addition or reconfiguration as specified in 5.3.10.3a3;
  - 2> else if *drb-ToAddModListSCG* is not received or does not include the *drb-Identity* value (i.e. add MCG DRB or MCG RLC bearer):
    - 3> if *pdcp-Config* is received, establish a PDCP entity and configure it with the current MCG security configuration and in accordance with the received *pdcp-Config*;
    - 3> if *rlc-Config* is received, establish a (primary) MCG RLC entity or entities in accordance with the received rlc-Config;
    - 3> if logicalChannelIdentity and logicalChannelConfig are received, establish a (primary) MCG DTCH logical channel in accordance with the received logicalChannelIdentity and the received logicalChannelConfig;
    - 3> if *rlc-BearerConfigSecondary* is received with value *setup*:

- 4> establish a secondary MCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *drb-Identity* within the current UE configuration;
- 3> if pdcp-Config is not received, after processing nr-RadioBearerConfig1 and nr-RadioBearerConfig2 if present in the RRCConnectionReconfiguration message which triggered the execution of the DRB addition/modification procedure, associate MCG RLC bearer with the NR PDCP entity associated with the same value of drb-Identity in the current UE configuration as specified in TS 38.331 [82];
- 2> if a DRB was configured with the same *eps-BearerIdentity* (fullConfig or change to E-UTRA PDCP):
  - 3> associate the established DRB with corresponding included *eps-BearerIdentity*;
- 2> else if the entry of drb-ToAddModList includes\_pdcp-config (establishment of bearer with E-UTRA PDCP):
  - 3> indicate the establishment of the DRB(s) and the *eps-BearerIdentity* of the established DRB(s) to upper layers;
- 1> for each *drb-Identity* value included in the *drb-ToAddModList* that is part of the current UE configuration (DRB reconfiguration):
  - 2> if the DRB indicated by drb-Identity is an LWA DRB (i.e. LWA to LTE only or reconfigure LWA DRB):
    - 3> perform the LWA specific DRB reconfiguration as specified in 5.3.10.3a2;
  - 2> else if the concerned entry of *drb-ToAddModList* includes the *drb-TypeLWA* set to *TRUE* (i.e. LTE only to LWA DRB):
    - 3> perform the LWA specific DRB reconfiguration as specified in 5.3.10.3a2;
  - 2> if the concerned entry of *drb-ToAddModList* includes the *drb-TypeLWIP* (i.e. add or reconfigure LWIP DRB):
    - 3> perform LWIP specific DRB addition or reconfiguration as specified in 5.3.10.3a3;
  - 2> if drb-ToAddModListSCG is not received or does not include the drb-Identity value:
    - 3> if the DRB indicated by *drb-Identity* is an MCG DRB or configured with MCG RLC bearer (reconfigure MCG RLC bearer or reconfigure MCG DRB):
      - 4> if the *pdcp-Config* is included:
        - 5> reconfigure the PDCP entity in accordance with the received *pdcp-Config*;
      - 4> if the *rlc-Config* is included:
        - 5> if reestablishRLC is received:
          - 6> re-establish the primary RLC entity of this DRB;
          - 6> if the *logicalChannelIdentity* is included and the DRB indicated by *drb-Identity* is configured with MCG RLC bearer (reconfigure logical channel identity of MCG RLC bearer):
            - 7> reconfigure the primary DTCH logical channel identity in accordance with the received *logicalChannelIdentity*;
        - 5> reconfigure the primary RLC entity or entities in accordance with the received rlc-Config;
      - 4> if the *logicalChannelConfig* is included:
        - 5> reconfigure the primary DTCH logical channel in accordance with the received *logicalChannelConfig*;
      - 4> if *rlc-BearerConfigSecondary* is included with value *release*:
        - 5> release the secondary MCG RLC entity or entities as well as the associated DTCH logical channel;

- 4> if rlc-BearerConfigSecondary is included with value setup;
  - 5> if the current DRB configuration does not include a secondary RLC bearer:
    - 6> establish a secondary MCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *drb-Identity* within the current UE configuration;
  - 5> else:
    - 6> reconfigure the secondary MCG RLC entity or entities and the associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary*;
- NOTE: Removal and addition of DRB with *pdcp-Config* with the same *drb-Identity* in a single *radioResourceConfigDedicated* is not supported. In case *drb-Identity* is removed and added due to handover or re-establishment with the full configuration option, the eNB can use the same value of *drb-Identity*.

## 5.3.10.3a1 DC specific DRB addition or reconfiguration

For the *drb-Identity* value for which this procedure is initiated, the UE shall:

- 1> if *drb-ToAddModListSCG* is received and includes the *drb-Identity* value; and *drb-Identity* value is not part of the current UE configuration (i.e. DC specific DRB establishment):
  - 2> if drb-ToAddModList is received and includes the drb-Identity value (i.e. add split DRB):
    - 3> establish a PDCP entity and configure it with the current MCG security configuration and in accordance with the *pdcp-Config* included in *drb-ToAddModList*;
    - 3> establish an MCG RLC entity and an MCG DTCH logical channel in accordance with the *rlc-Config, logicalChannelIdentity* and *logicalChannelConfig* included in *drb-ToAddModList*;
    - 3> establish an SCG RLC entity and an SCG DTCH logical channel in accordance with the *rlc-ConfigSCG*, *logicalChannelIdentitySCG* and *logicalChannelConfigSCG* included in *drb-ToAddModListSCG*;
  - 2> else (i.e. add SCG DRB):
    - 3> establish a PDCP entity and configure it with the current SCG security configuration and in accordance with the *pdcp-Config* included in *drb-ToAddModListSCG*;
    - 3> establish a primary SCG RLC entity or entities and a primary SCG DTCH logical channel in accordance with the *rlc-ConfigSCG*, *logicalChannelIdentitySCG* and *logicalChannelConfigSCG* included in *drb-ToAddModListSCG*;
    - 3> if *rlc-BearerConfigSecondary* is included with value *setup*;
      - 4> establish a secondary SCG RLC entity or entities and an associated DTCH logical channel in accordance with the received rlc-BearerConfigSecondary and associate these with the E-UTRA PDCP entity with the same value of srb-Identity within the current UE configuration;
  - 2> indicate the establishment of the DRB(s) and the *eps-BearerIdentity* of the established DRB(s) to upper layers:
- 1> else (i.e. DC specific DRB modification; drb-ToAddModList and/ or drb-ToAddModListSCG received):
  - 2> if the DRB indicated by *drb-Identity* is a split DRB:
    - 3> if *drb-ToAddModList* is received and includes the *drb-Identity* value, while for this entry *drb-TypeChange* is included and set to *toMCG* (i.e. split to MCG):
      - 4> release the SCG RLC entity or entities and the SCG DTCH logical channel(s);
      - 4> reconfigure the PDCP entity in accordance with the pdcp-Config, if included in drb-ToAddModList;

- 4> reconfigure the primary MCG RLC entity and/ or the primary MCG DTCH logical channel in accordance with the *rlc-Config* and *logicalChannelConfig*, if included in *drb-ToAddModList*;
- 4> if rlc-BearerConfigSecondary is included with value setup;
  - 5> establish a secondary MCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;
- 3> else (i.e. reconfigure split):
  - 4> reconfigure the PDCP entity in accordance with the pdcp-Config, if included in drb-ToAddModList;
  - 4> reconfigure the MCG RLC entity and/ or the MCG DTCH logical channel in accordance with the *rlc-Config* and *logicalChannelConfig*, if included in *drb-ToAddModList*;
  - 4> reconfigure the SCG RLC entity and/ or the SCG DTCH logical channel in accordance with the *rlc-ConfigSCG* and *logicalChannelConfigSCG*, if included in *drb-ToAddModListSCG*;
- 2> if the DRB indicated by *drb-Identity* is an SCG DRB:
  - 3> if *drb-ToAddModList* is received and includes the *drb-Identity* value, while for this entry *drb-TypeChange* is included and set to *toMCG* (i.e. SCG to MCG):
    - 4> reconfigure the PDCP entity with the current MCG security configuration and in accordance with the *pdcp-Config*, if included in *drb-ToAddModList*;
    - 4> reconfigure the SCG RLC entity or entities (both primary and secondary, if configured) and the SCG DTCH logical channel (both primary and secondary, if configured) to be an MCG RLC entity or entities and an MCG DTCH logical channel;
    - 4> reconfigure the primary MCG RLC entity or entities and/ or the primary MCG DTCH logical channel in accordance with the *rlc-Config*, *logicalChannelIdentity* and *logicalChannelConfig*, if included in *drb-ToAddModList*;
    - 4> if *rlc-BearerConfigSecondary* is included with value *release*:
      - 5> release the secondary MCG RLC entity or entities as well as the associated DTCH logical channel;
    - 4> if *rlc-BearerConfigSecondary* is included with value *setup*;
      - 5> if the current DRB configuration does not include a secondary RLC bearer:
        - 6> establish a secondary MCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;
      - 5> else:
        - 6> reconfigure the secondary MCG RLC entity or entities and the associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary*;
  - 3> else (i.e. *drb-ToAddModListSCG* is received and includes the *drb-Identity* value i.e. reconfigure SCG):
    - 4> reconfigure the PDCP entity in accordance with the *pdcp-Config*, if included in *drb-ToAddModListSCG*;
    - 4> reconfigure the primary SCG RLC entity or entities and/ or the primary SCG DTCH logical channel in accordance with the rlc-ConfigSCG and logicalChannelConfigSCG, if included in drb-ToAddModListSCG;
    - 4> if *rlc-BearerConfigSecondary* is included with value *release*:
      - 5> release the secondary SCG RLC entity or entities as well as the associated DTCH logical channel;
    - 4> if rlc-BearerConfigSecondary is included with value setup;

- 5> if the current DRB configuration does not include a secondary RLC bearer:
  - 6> establish a secondary SCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;
- 5> else:
  - 6> reconfigure the secondary SCG RLC entity or entities and the associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary*;
- 2> if the DRB indicated by *drb-Identity* is an MCG DRB:
  - 3> if *drb-ToAddModListSCG* is received and includes the *drb-Identity* value, while for this entry *drb-Type* is included and set to *split* (i.e. MCG to split):
    - 4> reconfigure the PDCP entity in accordance with the pdcp-Config, if included in drb-ToAddModList;
    - 4> reconfigure the primary MCG RLC entity and/ or the primary MCG DTCH logical channel in accordance with the *rlc-Config* and *logicalChannelConfig*, if included in *drb-ToAddModList*;
    - 4> if *rlc-BearerConfigSecondary* is included with value *release*:
      - 5> release the secondary MCG RLC entity or entities as well as the associated DTCH logical channel;
    - 4> establish an SCG RLC entity and an SCG DTCH logical channel in accordance with the rlc-ConfigSCG, logicalChannelIdentitySCG and logicalChannelConfigSCG, included in drb-ToAddModListSCG;
  - 3> else (i.e. *drb-Type* is included and set to *scg* i.e. MCG to SCG):
    - 4> reconfigure the PDCP entity with the current SCG security configuration and in accordance with the *pdcp-Config*, if included in *drb-ToAddModListSCG*;
    - 4> reconfigure the MCG RLC entity or entities (both primary and secondary, if configured) and the MCG DTCH logical channel (both primary and secondary, if configured) to be an SCG RLC entity or entities and an SCG DTCH logical channel;
    - 4> reconfigure the primary SCG RLC entity or entities and/ or the primary SCG DTCH logical channel in accordance with the *rlc-ConfigSCG*, *logicalChannelIdentitySCG* and *logicalChannelConfigSCG*, if included in *drb-ToAddModListSCG*;
    - 4> if rlc-BearerConfigSecondary is included with value release:
      - 5> release the secondary SCG RLC entity or entities as well as the associated DTCH logical channel;
    - 4> if rlc-BearerConfigSecondary is included with value setup;
      - 5> if the current DRB configuration does not include a secondary RLC bearer:
        - 6> establish a secondary SCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;
      - 5> else:
        - 6> reconfigure the secondary SCG RLC entity or entities and the associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary*;

### 5.3.10.3a2 LWA specific DRB addition or reconfiguration

For the *drb-Identity* value for which this procedure is initiated, the UE shall:

1> if the *drb-Identity* value is not part of the current UE configuration (i.e. add LWA DRB):

- 2> establish a PDCP entity and configure it with the current security configuration and in accordance with the *pdcp-Config* included in *drb-ToAddModList*;
- 2> establish an RLC entity and an DTCH logical channel in accordance with the *rlc-Config*, *logicalChannelIdentity* and *logicalChannelConfig* included in *drb-ToAddModList*;
- 2> enable data handling for this DRB at the LWAAP entity;
- 2> if *lwa-WLAN-AC* is configured:
  - 3> apply the received lwa-WLAN-AC when performing transmissions of packets for this DRB over WLAN;
- 2> indicate the establishment of the DRB and the eps-BearerIdentity of the established DRB to upper layers;
- 1> else if the DRB indicated by drb-Identity is not an LWA DRB (i.e. LTE only to LWA DRB):
  - 2> reconfigure the PDCP entity in accordance with the pdcp-Config, if included in drb-ToAddModList;
  - 2> reconfigure the RLC entity and/ or the DTCH logical channel in accordance with the *rlc-Config* and *logicalChannelConfig*, if included in *drb-ToAddModList*;
  - 2> enable data handling for this DRB at the LWAAP entity;
  - 2> if *lwa-WLAN-AC* is configured:
    - 3> apply the received lwa-WLAN-AC when performing transmissions of packets for this DRB over WLAN;
- 1> else if the concerned entry of *drb-ToAddModList* includes the *drb-TypeLWA* set to *FALSE* (i.e. LWA to LTE only DRB):
  - 2> reconfigure the PDCP entity in accordance with the pdcp-Config, if included in drb-ToAddModList;
  - 2> reconfigure the RLC entity and/ or the DTCH logical channel in accordance with the *rlc-Config* and *logicalChannelConfig*, if included in *drb-ToAddModList*;
  - 2> perform PDCP data recovery as specified in TS 36.323 [8] if bearer is configured with RLC AM;
  - 2> disable data handling for this DRB at the LWAAP entity;
- 1> else (i.e. reconfigure LWA DRB):
  - 2> reconfigure the PDCP entity in accordance with the pdcp-Config, if included in drb-ToAddModList;
  - 2> reconfigure the RLC entity and/ or the DTCH logical channel in accordance with the *rlc-Config* and *logicalChannelConfig*, if included in *drb-ToAddModList*;
  - 2> if *lwa-WLAN-AC* is configured:

3>apply the received lwa-WLAN-AC when performing transmissions of packets for this DRB over WLAN;

## 5.3.10.3a3 LWIP specific DRB addition or reconfiguration

For the *drb-Identity* value for which this procedure is initiated, the UE shall:

- 1> if the *drb-TypeLWIP* is set to *lwip*:
  - 2> indicate to higher layers to use LWIP resources in both UL and DL for the DRB associated with the *drb-Identity*;
  - 2> if lwip-DL-Aggregation is set to TRUE:
    - 3> indicate to higher layers to apply decoding of LWIPEP header with GRE sequence number for both LTE and WLAN DL reception for the DRB associated with the *drb-Identity*;
  - 2> if *lwip-DL-Aggregation* is set to FALSE:

- 3> indicate to higher layers to stop decoding of LWIPEP header with GRE sequence number for both LTE and WLAN DL reception for the DRB associated with the *drb-Identity*;
- 2> if *lwip-UL-Aggregation* is set to TRUE:
  - 3> indicate to higher layers to insert LWIPEP header with GRE sequence number for both LTE and WLAN UL transmissions for the DRB associated with the *drb-Identity*;
- 2> if *lwip-UL-Aggregation* is set to FALSE:
  - 3> indicate to higher layers to stop inserting LWIPEP header with GRE sequence number for both LTE and WLAN UL transmissions for the DRB associated with the *drb-Identity*;
- 1> if the *drb-TypeLWIP* is set to *lwip-DL-only*:
  - 2> indicate to higher layers to use LWIP resources in the DL only for the DRB associated with the drb-Identity;
  - 2> if *lwip-DL-Aggregation* is set to TRUE:
    - 3> indicate to higher layers to apply decoding of LWIPEP header with GRE sequence number for both LTE and WLAN DL reception for the DRB associated with the *drb-Identity*;
- 1> if the *drb-TypeLWIP* is set to *lwip-UL-only*:
  - 2> indicate to higher layers to use LWIP resources in the UL only for the DRB associated with the drb-Identity;
  - 2> if *lwip-UL-Aggregation* is set to TRUE:
    - 3> indicate to higher layers to insert LWIPEP header with GRE sequence number for both LTE and WLAN UL transmissions for the DRB associated with the *drb-Identity*;
- 1> if the *drb-TypeLWIP* is set to *eutran*:
  - 2> indicate to higher layers to stop using LWIP resources for the DRB associated with the drb-Identity;

## 5.3.10.3a4 SCG RLC bearer addition or reconfiguration for DRBs in NE-DC

The UE shall:

- 1> for each drb-Identity value included in drb-ToAddModListSCG:
  - 2> if *drb-Identity* value is not part of the current UE E-UTRA SCG configuration (SCG RLC bearer establishment):
    - 3> establish an SCG RLC entity or entities and an SCG DTCH logical channel in accordance with the *rlc-ConfigSCG*, *logicalChannelIdentitySCG* and *logicalChannelConfigSCG* included in *drb-ToAddModListSCG*;
    - 3> associate the SCG RLC bearer and DTCH logical channel with the NR PDCP entity, i.e. as configured by NR see TS 38.331 [82], identified with the same *drb-Identity* within the current UE configuration;
  - 2> else:
    - 3> re-establish the SCG RLC entity of this DRB, if reestablishRLC is included in rlc-Config;
    - 3> reconfigure the SCG RLC entity or entities and/ or the SCG DTCH logical channel in accordance with the *rlc-ConfigSCG* and *logicalChannelConfigSCG*, if included in *drb-ToAddModListSCG*;

#### 5.3.10.3a SCell release

- 1> if the release is triggered by reception of the sCellToReleaseList or the sCellToReleaseListSCG:
  - 2> for each sCellIndex value included either in the sCellToReleaseList or in the sCellToReleaseListSCG:

- 3> if the current UE configuration includes an SCell with value sCellIndex:
  - 4> release the SCell;
- 1> if the release is triggered by RRC connection re-establishment; or
- 1> if the release is triggered when the UE is resuming an RRC connection from a suspended RRC connection or from RRC\_INACTIVE as specified in clause 5.3.3.2:
  - 2> release all SCells that are part of the current UE configuration;

### 5.3.10.3b SCell addition/ modification

The UE shall:

- 1> for each *sCellIndex* value included either in the *sCellToAddModList* or in the *sCellToAddModListSCG* that is not part of the current UE configuration (SCell addition):
  - 2> add the SCell, corresponding to the *cellIdentification*, in accordance with the radioResourceConfigCommonSCell and radioResourceConfigDedicatedSCell, both included either in the sCellToAddModList or in the sCellToAddModListSCG;
  - 2> if *sCellState* is configured for the SCell and indicates *activated*:
    - 3> configure lower layers to consider the SCell to be in activated state;
  - 2> else if *sCellState* is configured for the SCell and indicates *dormant*:
    - 3> configure lower layers to consider the SCell to be in dormant state;
  - 2> else:
    - 3> configure lower layers to consider the SCell to be in deactivated state;
  - 2> for each measId included in the measIdList within VarMeasConfig:
    - 3> if SCells are not applicable for the associated measurement; and
    - 3> if the concerned SCell is included in *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*:
      - 4> remove the concerned SCell from *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*:
- 1> for each *sCellIndex* value included either in the *sCellToAddModList* or in the *sCellToAddModListSCG* that is part of the current UE configuration (SCell modification):
  - 2> modify the SCell configuration in accordance with the *radioResourceConfigDedicatedSCell*, included either in the *sCellToAddModList* or in the *sCellToAddModListSCG*;

### 5.3.10.3c PSCell addition or modification

- 1> if the PSCell is not part of the current UE configuration (i.e. PSCell addition):
  - 2> add the PSCell, corresponding to the *cellIdentification*, in accordance with the received *radioResourceConfigCommonPSCell* and *radioResourceConfigDedicatedPSCell*;
  - 2> configure lower layers to consider the PSCell to be in activated state;
- 1> if the PSCell is part of the current UE configuration (i.e. PSCell modification):
  - 2> modify the PSCell configuration in accordance with the received radioResourceConfigDedicatedPSCell;

## 5.3.10.3d SCell group release

#### The UE shall:

- 1> if the release is triggered by reception of the *sCellGroupToReleaseList*:
  - 2> for each sCellGroupIndex value included in the sCellGroupToReleaseList:
    - 3> if the current UE configuration includes an SCell with value *sCellGroupIndex*:
      - 4> consider the SCell not to be part of the SCell group indicated by sCellGroupIndex;
      - 4> consider the *sCellConfigCommon* of the SCell group to be not applicable for the SCell;
    - 3> release the SCell group;
- 1> if the release is triggered by RRC connection re-establishment:
  - 2> release all SCell groups that are part of the current UE configuration;

### 5.3.10.3e SCell group addition/ modification

#### The UE shall:

- 1> for each *sCellGroupIndex* value included in the *sCellGroupToAddModList* that is part of the current UE configuration (SCell group modification):
  - 2> for each *sCellIndex* value included in the *sCellToReleaseList* that is part of the SCell group indicated by *sCellGroupIndex* (SCell deletion from SCell group):
    - 3> consider the sCellConfigCommon of the SCell group to be not applicable for the SCell;
    - 3> consider the SCell not to be part of the SCell group indicated by sCellGroupIndex
  - 2> for each *sCellIndex* value included in the *sCellToAddModList* that is not part of the SCell group indicated by *sCellGroupIndex* (SCell addition to SCell group):
    - 3> consider the SCell to be part of the SCell group indicated by sCellGroupIndex;
    - 3> apply the SCell configuration for parameters not already configured as part of the current SCell configuration in accordance with the *sCellConfigCommon* for the SCell group;
  - 2> if *sCellConfigCommon* is included (modify the SCell group configuration):
    - 3> for each SCell that is part of the current SCell group indicated by sCellGroupIndex:
      - 4> apply the SCell configuration for parameters not already configured as part of the current SCell configuration in accordance with the *sCellConfigCommon* for the SCell group;
- 1> for each *sCellGroupIndex* value included in the *sCellGroupToAddModList* that is not part of the current UE configuration (SCell group addition):
  - 2> for each sCellIndex value included in the sCellToAddModList (SCell addition to the group):
    - 3> consider the SCell to be part of the SCell group indicated by sCellGroupIndex
    - 3> apply the SCell configuration for parameters not already configured as part of the current SCell configuration in accordance with the *sCellConfigCommon* for the SCell group;

### 5.3.10.4 MAC main reconfiguration

Except for NB-IoT, the UE shall:

- 1> if the procedure is triggered to perform SCG MAC main reconfiguration:
  - $2\!\!>$  if SCG MAC is not part of the current UE configuration (i.e. SCG establishment):

- 3> create an SCG MAC entity;
- 2> reconfigure the SCG MAC main configuration as specified in the following i.e. assuming it concerns the SCG MAC whenever MAC main configuration is referenced and that it is based on the received *mac-MainConfigSCG* instead of *mac-MainConfig*:
- 1> reconfigure the MAC main configuration in accordance with the received *mac-MainConfig* other than *stag-ToReleaseList* and *stag-ToAddModList*;
- 1> if the received *mac-MainConfig* includes the *stag-ToReleaseList*:
  - 2> for each STAG-Id value included in the stag-ToReleaseList that is part of the current UE configuration:
    - 3> release the STAG indicated by STAG-Id;
- 1> if the received mac-MainConfig includes the stag-ToAddModList:
  - 2> for each *stag-Id* value included in *stag-ToAddModList* that is not part of the current UE configuration (STAG addition):
    - 3> add the STAG, corresponding to the *stag-Id*, in accordance with the received *timeAlignmentTimerSTAG*;
  - 2> for each *stag-Id* value included in *stag-ToAddModList* that is part of the current UE configuration (STAG modification):
    - 3> reconfigure the STAG, corresponding to the *stag-Id*, in accordance with the received *timeAlignmentTimerSTAG*;

For NB-IoT, the UE shall:

1> reconfigure the MAC main configuration in accordance with the received mac-MainConfig;

## 5.3.10.5 Semi-persistent scheduling reconfiguration

The UE shall:

1> reconfigure the semi-persistent scheduling in accordance with the received *sps-Config*;

### 5.3.10.6 Physical channel reconfiguration

Except for NB-IoT, the UE shall:

- 1> if the *antennaInfo-r10* is included in the received *physicalConfigDedicated* and the previous version of this field that was received by the UE was *antennaInfo* (without suffix i.e. the version defined in REL-8):
  - 2> apply the default antenna configuration as specified in 9.2.4;
- 1> if the *cqi-ReportConfig-r10* is included in the received *physicalConfigDedicated* and the previous version of this field that was received by the UE was *cqi-ReportConfig* (without suffix i.e. the version defined in REL-8):
  - 2> apply the default CQI reporting configuration as specified in 9.2.4;

NOTE: Application of the default configuration involves release of all extensions introduced in REL-9 and later.

- 1> reconfigure the physical channel configuration in accordance with the received physicalConfigDedicated;
- 1> if the *antennaInfo* is included and set to *explicitValue*:
  - 2> if the configured transmissionMode is tm1, tm2, tm5, tm6 or tm7; or
  - 2> if the configured transmissionMode is tm8 and pmi-RI-Report is not present; or
  - 2> if the configured transmissionMode is tm9 and pmi-RI-Report is not present; or
  - 2> if the configured *transmissionMode* is *tm9* and *pmi-RI-Report* is present and *antennaPortsCount* within *csi-RS* is set to *an1*:

- 3> release ri-ConfigIndex in cqi-ReportPeriodic, if previously configured;
- 1> else if the *antennaInfo* is included and set to *defaultValue*:
  - 2> release ri-ConfigIndex in cqi-ReportPeriodic, if previously configured;
- 1> if the *pusch-EnhancementsConfig* is included in the received *physicalConfigDedicated*, for the associated serving cell:
  - 2> if PUSCH enhancement mode is previously released or not configured and *pusch-EnhancementsConfig* is set to *setup*, or
  - 2> if PUSCH enhancement mode is previously configured and *pusch-EnhancementConfig* is set to *release*:
    - 3> instruct the associated MAC entity to perform partial reset;
- 1> if the procedure was not triggered due to handover and *ce-Mode* is included in the received *physicalConfigDedicated*, for the associated serving cell:
  - 2> if ce-Mode is not currently configured and ce-Mode is set to setup, or
  - 2> if *ce-Mode* is currently configured and *ce-Mode* is set to *release*:
    - 3> instruct the associated MAC entity to perform partial reset;

#### For NB-IoT, the UE shall:

- 1> if the carrierConfigDedicated is not included in the received physicalConfigDedicated:
  - 2> if the UE is configured with a carrier configuration previously received in carrierConfigDedicated:
    - 3> use the carrier configuration received in *carrierConfigDedicated*;
  - 2> else:
    - 3> use the carrier configuration received in system information for the uplink and downlink carrier used during the random access procedure;

#### 1> else:

- 2> use the carrier configuration received in carrierConfigDedicated;
- 2> start to use the new carrier immediately after the last transport block carrying the RRC message has been acknowledged by the MAC layer, and any subsequent RRC response message sent for the current RRC procedure is therefore sent on the new carrier;
- 1> reconfigure the physical channel configuration in accordance with the received physical Config Dedicated.

### 5.3.10.7 Radio Link Failure Timers and Constants reconfiguration

- 1> if the received *rlf-TimersAndConstants* is set to release:
  - 2> use values for timers T301, T310, T311 and constants N310, N311, as included in *ue-TimersAndConstants* received in *SystemInformationBlockType2* (or *SystemInformationBlockType2-NB* in NB-IoT);
- 1> else:
  - 2> reconfigure the value of timers and constants in accordance with received rlf-TimersAndConstants;
- 1> if the received *rlf-TimersAndConstantsSCG* is set to release:
  - 2> stop timer T313, if running, and
  - 2> release the value of timer t313 as well as constants n313 and n314;

- 1> else:
  - 2> reconfigure the value of timers and constants in accordance with received rlf-TimersAndConstantsSCG;

## 5.3.10.8 Time domain measurement resource restriction for serving cell

The UE shall:

- 1> if the received *measSubframePatternPCell* is set to *release*:
  - 2> release the time domain measurement resource restriction for the PCell, if previously configured
- 1> else:
  - 2> apply the time domain measurement resource restriction for the PCell in accordance with the received *measSubframePatternPCell*;

## 5.3.10.9 Other configuration

- 1> if the received *otherConfig* includes the *reportProximityConfig*:
  - 2> if *proximityIndicationEUTRA* is set to *enabled*:
    - 3> consider itself to be configured to provide proximity indications for E-UTRA frequencies in accordance with 5.3.14;
  - 2> else:
    - 3> consider itself not to be configured to provide proximity indications for E-UTRA frequencies;
  - 2> if *proximityIndicationUTRA* is set to *enabled*:
    - 3> consider itself to be configured to provide proximity indications for UTRA frequencies in accordance with 5.3.14;
  - 2> else:
    - 3> consider itself not to be configured to provide proximity indications for UTRA frequencies;
- 1> if the received *otherConfig* includes the *obtainLocation*:
  - 2> attempt to have detailed location information available for any subsequent measurement report;
- NOTE: The UE is requested to attempt to have valid detailed location information available whenever sending a measurement report for which it is configured to include available detailed location information. The UE may not succeed e.g. because the user manually disabled the GPS hardware, due to no/poor satellite coverage. Further details, e.g. regarding when to activate GNSS, are up to UE implementation.
- 1> if the received *otherConfig* includes the *bt-NameListConfig*:
  - 2> if *bt-NameListConfig* is set to *setup*, attempt to have Bluetooth measurement results available for subsequent measurement report;
- 1> if the received otherConfig includes the wlan-NameListConfig:
  - 2> if wlan-NameListConfig is set to setup, attempt to have WLAN measurement results available for subsequent measurement report;
- NOTE: The UE is requested to attempt to have valid Bluetooth measurements and WLAN measurements whenever sending a measurement report for which it is configured to include these measurements. The UE may not succeed e.g. because the user manually disabled the WLAN or Bluetooth hardware. Further details, e.g. regarding when to activate WLAN or Bluetooth, are up to UE implementation.
- 1> if the received *otherConfig* includes the *idc-Config*:

- 2> if *idc-Indication* is included (i.e. set to *setup*):
  - 3> consider itself to be configured to provide IDC indications in accordance with 5.6.9;
  - 3> if *idc-Indication-UL-CA* is included (i.e. set to *setup*):
    - 4> consider itself to be configured to indicate UL CA related information in IDC indications in accordance with 5.6.9;
  - 3> if idc-HarwareSharingIndication is included (i.e. set to setup):
    - 4> consider itself to be configured to indicate IDC hardware sharing problem indications in IDC indications in accordance with 5.6.9;
  - 3> if *idc-Indication-MRDC* is included (i.e. set to *setup*):
    - 4> consider itself to be configured to provide IDC indications for MR-DC in accordance with 5.6.9;

#### 2>else:

- 3> consider itself not to be configured to provide IDC indications;
- 2> if autonomousDenialParameters is included:
  - 3> consider itself to be allowed to deny any transmission in a particular UL subframe if during the number of subframes indicated by *autonomousDenialValidity*, preceding and including this particular subframe, it autonomously denied fewer UL subframes than indicated by *autonomousDenialSubframes*;
- 2> else:
  - 3> consider itself not to be allowed to deny any UL transmission;
- 1> if the received *otherConfig* includes the *powerPrefIndicationConfig*:
  - 2> if *powerPrefIndicationConfig* is set to *setup*:
    - 3> consider itself to be configured to provide power preference indications in accordance with 5.6.10;
  - 2> else:
    - 3> consider itself not to be configured to provide power preference indications;
- 1> if the received *otherConfig* includes the sps-AssistanceInfoReport:
  - 2> if *sps-AssistanceInfoReport* is set to TRUE:
    - 3> consider itself to be configured to provide SPS assistance information in accordance with 5.6.10;
  - 2> else
    - 3> consider itself not to be configured to provide SPS assistance information;
- 1> if the received *otherConfig* includes the *bw-PreferenceIndicationTimer*.
  - 2> consider itself to be configured to provide maximum PDSCH/PUSCH bandwidth preference indication in accordance with 5.6.10;
- 1> else:
  - 2> consider itself not to be configured to provide maximum PDSCH/PUSCH bandwidth indication preference;
- $1> if the \ received \ \textit{other Config} \ includes \ the \ \textit{delay Budget Reporting Config}:$ 
  - 2> if delayBudgetReportingConfig is set to setup:
    - 3> consider itself to be configured to send delay budget reports in accordance with 5.6.10;
  - 2> else:

- 3> consider itself not to be configured to send delay budget reports and stop timer T342, if running;
- 1> if the received *otherConfig* includes the *overheatingAssistanceConfig*:
  - 2> if *overheatingAssistanceConfig* is set to *setup*:
    - 3> consider itself to be configured to provide overheating assistance information in accordance with 5.6.10;
  - 2> else:
    - 3> consider itself not to be configured to provide overheating assistance information and stop timer T345, if running:
- 1> for BL UEs or UEs in CE, if the received *otherConfig* includes the *rlm-ReportConfig*:
  - 2> if *rlm-ReportConfig* is set to *setup*:
    - 3> consider itself to be configured to detect "early-out-of-sync" and "early-in-sync" RLM events as specified in 5.3.11;
    - 3> if rlmReportRep-MPDCCH is set to setup:
      - 4> consider itself to be configured to report rlmReportRep-MPDCCH in accordance with 5.6.10;
  - 2> else:
    - 3> consider itself not to be configured to detect "early-out-of-sync" and "early-in-sync" RLM events and stop timer T343, timer T344, timer T314 and timer T315 if running;
- 1> if the received *otherConfig* includes the *measConfigAppLayer*:
  - 2> if *measConfigAppLayer* is set to setup:
    - 3> forward measConfigAppLayerContainer to upper layers considering the serviceType;
    - 3> consider itself to be configured to send application layer measurement report in accordance with 5.6.19;
  - 2> else:
    - 3> inform upper layers to clear the stored application layer measurement configuration;
    - 3> discard received application layer measurement report information from upper layers;
    - 3> consider itself not to be configured to send application layer measurement report.
- 1> if the received *otherConfig* includes the *ailc-BitConfig*:
  - 2> if *ailc-BitConfig* is set to TRUE:
    - 3> consider itself to be configured to provide assistance information bit for local cache as specified in TS 36.323 [8], clause 6.2.3;
  - 2> else:
    - 3> consider itself not to be configured to provide assistance information bit for local cache;

## 5.3.10.10 SCG reconfiguration

- 1> if makeBeforeBreakSCG is configured:
  - 2> stop timer T313, if running;
  - 2> start timer T307 with the timer value set to t307, as included in the *mobilityControlInfoSCG*;
  - 2> start synchronising to the DL of the target PSCell, if needed;

- 2> perform the remainder of this procedure including and following resetting MAC after the UE has stopped the uplink transmission/downlink reception with the source PSCell;
- NOTE 0a: It is up to UE implementation when to stop the uplink transmission/ downlink reception with the source PSCell to initiate re-tuning for the connection to the target cell, as specified in TS 36.133 [16], if *makeBeforeBreakSCG* is configured.
- NOTE 0b:It is up to UE implementation when to stop the uplink transmission/ downlink reception with the source SCG SCell(s) after receiving *mobilityControlInfoSCG*.
- 1> if *scg-Configuration* is received and is set to *release* or includes the *mobilityControlInfoSCG* (i.e. SCG release/ change):
  - 2> if mobilityControlInfo is not received (i.e. SCG release/ change without HO):
    - 3> reset SCG MAC, if configured;
    - 3> if the UE is not configured with NE-DC:
      - 4> for each *drb-Identity* value that is part of the current UE configuration:
        - 5> if the DRB indicated by *drb-Identity* is an SCG DRB:
          - 6> re-establish the PDCP entity and the SCG RLC entity or entities;
        - 5> if the DRB indicated by *drb-Identity* is a split DRB:
          - 6> perform PDCP data recovery and re-establish the SCG RLC entity;
        - 5> if the DRB indicated by *drb-Identity* is an MCG DRB; and
        - 5> *drb-ToAddModListSCG* is received and includes the *drb-Identity* value, while for this entry *drb-Type* is included and set to *scg* (i.e. MCG to SCG):
          - 6> re-establish the PDCP entity and the MCG RLC entity or entities;
    - 3> configure lower layers to consider the SCG SCell(s), except for the PSCell, to be in deactivated state;
- 1> if scg-Configuration is received and is set to release:
  - 2> release the entire SCG configuration, except for the DRB configuration (i.e. as configured by *drb-ToAddModListSCG*);
  - 2> if the current UE configuration includes one or more split or SCG DRBs and the received RRCConnectionReconfiguration message includes radioResourceConfigDedicated including drb-ToAddModList:
    - 3> reconfigure the SCG or split DRB by drb-ToAddModList as specified in 5.3.10.12;
  - 2> stop timer T313, if running;
  - 2> stop timer T307, if running;
- 1> else:
  - 2> if scg-ConfigPartMCG is received and includes the scg-Counter:
    - 3> update the S-K<sub>eNB</sub> key based on the K<sub>eNB</sub> key and using the received *scg-Counter* value, as specified in TS 33.401 [32];
    - 3> derive the K<sub>UPenc</sub> key associated with the *cipheringAlgorithmSCG* included in *mobilityControlInfoSCG* within the received *scg-ConfigPartSCG*, as specified in TS 33.401 [32];
    - 3> configure lower layers to apply the ciphering algorithm and the K<sub>UPenc</sub> key;
  - 2> if scg-ConfigPartSCG is received and includes the radioResourceConfigDedicatedSCG:
    - 3> reconfigure the dedicated radio resource configuration for the SCG as specified in 5.3.10.11;

- 2> if the current UE configuration includes one or more split or SCG DRBs and the received RRCConnectionReconfiguration message includes radioResourceConfigDedicated including drb-ToAddModList:
  - 3> reconfigure the SCG or split DRB by *drb-ToAddModList* as specified in 5.3.10.12;
- 2> if scg-ConfigPartSCG is received and includes measConfigSN:
  - 3> for *measConfigSN* perform the actions as specified in 5.5.2 for *measConfig* unless explicitly stated otherwise;
- 2> if scg-ConfigPartSCG is received and includes the sCellToReleaseListSCG:
  - 3> perform SCell release for the SCG as specified in 5.3.10.3a;
- 2> if scg-ConfigPartSCG is received and includes the pSCellToAddMod:
  - 3> perform PSCell addition or modification as specified in 5.3.10.3c;
- NOTE 0: This procedure is also used to release the PSCell e.g. PSCell change, SI change for the PSCell.
  - 2> if scg-ConfigPartSCG is received and includes the sCellToAddModListSCG:
    - 3> perform SCell addition or modification as specified in 5.3.10.3b;
  - 2> configure lower layers in accordance with mobilityControlInfoSCG, if received;
  - 2> if *rach-SkipSCG* is configured:
    - 3> configure lower layers to apply the *rach-SkipSCG* for the target SCG, as specified in TS 36.213 [23] and TS 36.321 [6];
  - 2> if scg-ConfigPartSCG is received and includes the mobilityControlInfoSCG (i.e. SCG change):
    - 3> resume all SCG DRBs and resume SCG transmission for split DRBs, if suspended;
    - 3> stop timer T313, if running;
    - 3> start timer T307 with the timer value set to *t307*, as included in the *mobilityControlInfoSCG*, if *makeBeforeBreakSCG* is not configured;
    - 3> start synchronising to the DL of the target PSCell;
    - 3> initiate the random access procedure on the PSCell, as specified in TS 36.321 [6], if *rach-SkipSCG* is not configured:
- NOTE 1: The UE is not required to determine the SFN of the target PSCell by acquiring system information from that cell before performing RACH access in the target PSCell.
  - 3> the procedure ends, except that the following actions are performed when MAC successfully completes the random access procedure on the PSCell or when MAC indicates the successful reception of a PDCCH transmission addressed to C-RNTI and if *rach-skipSCG* is configured:
    - 4> stop timer T307;
    - 4> release *rach-SkipSCG*;
    - 4> apply the parts of the CQI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the target PSCell, if any;
    - 4> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the target PSCell (e.g. periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of the target PSCell;
- NOTE 2: Whenever the UE shall setup or reconfigure a configuration in accordance with a field that is received it applies the new configuration, except for the cases addressed by the above statements.

## 5.3.10.11 SCG dedicated resource configuration

#### The UE shall:

- 1> if the received radioResourceConfigDedicatedSCG includes the srb-ToReleaseListSCG:
  - 2> for each *srb-Identity* value included in the *srb-ToReleaseListSCG* perform the SCG RLC bearer release as specified in 5.3.10.17;
- 1> if the received radioResourceConfigDedicatedSCG includes the srb-ToAddModListSCG:
  - 2> for each *srb-Identity* value included in the *srb-ToAddModListSCG* perform the SCG RLC bearer addition or reconfiguration as specified in 5.3.10.1a;
- 1> if the received *radioResourceConfigDedicatedSCG* includes *drb-ToReleaseListSCG*:
  - 2> perform the DRB release as specified in 5.3.10.2;
- 1> if the received radioResourceConfigDedicatedSCG includes the drb-ToAddModListSCG:
  - 2> if the UE is configured with NE-DC:
    - 3> for each *drb-Identity* value included in the *drb-ToAddModListSCG* perform the SCG RLC bearer addition or reconfiguration for DRBs in NE-DC as specified in 5.3.10.3a4;
  - 2> else:
    - 3> for each *drb-Identity* value included in the *drb-ToAddModListSCG* perform the DC specific DRB addition or reconfiguration as specified in 5.3.10.3a1;
- 1> if the received radioResourceConfigDedicatedSCG includes the mac-MainConfigSCG:
  - 2> perform the SCG MAC main reconfiguration as specified in 5.3.10.4;
- 1> if the received radioResourceConfigDedicatedSCG includes the rlf-TimersAndConstantsSCG:
  - 2> reconfigure the values of timers and constants as specified in 5.3.10.7;

### 5.3.10.12 Reconfiguration SCG or split DRB by drb-ToAddModList

### The UE shall:

- 1> for each split or SCG DRBs that is part of the current configuration:
  - 2> if the corresponding drb-Identity value is included in the received drb-ToAddModList; and
  - 2> if the corresponding *drb-Identity* value is not included in the received *drb-ToAddModListSCG* (i.e. reconfigure split, split to MCG or SCG to MCG):
    - 3> perform the DC specific DRB addition or reconfiguration as specified in 5.3.10.3a1;

## 5.3.10.13 Neighbour cell information reconfiguration

- 1> if the received *naics-Info* is set to *release*:
  - 2> instruct lower layer to release all the NAICS neighbour cell information for the concerned cell, if previously configured;
- 1> if the received *naics-Info* includes the *neighCellsToReleaseList-r12*:
  - 2> for each *physCellId-r12* value included in the *neighCellsToReleaseList-r12* that is part of the current NAICS neighbour cell information of the concerned cell:
    - 3> instruct lower layer to release the NAICS neighbour cell information for the concerned cell;

- 1> if the received *naics-Info* includes the *NeighCellsToAddModList-r12*:
  - 2> for each *physCellId-r12* value included in the *neighCellsToAddModList-r12* that is not part of the current NAICS neighbour cell information of the concerned cell:
    - 3> instruct lower layer to add the NAICS neighbour cell information for the concerned cell;
  - 2> for each *physCellId-r12* value included in the *neighCellsToAddModList-r12* that is part of the current NAICS neighbour cell information of the concerned cell:
    - 3> instruct lower layer to modify the NAICS neighbour cell information in accordance with the received NeighCellsInfo for the concerned cell;

#### 5.3.10.14 Void

## 5.3.10.15 Sidelink dedicated configuration

- 1> if the RRCConnectionReconfiguration message includes the sl-CommConfig:
  - 2> if *commTxResources* is included and set to *setup*:
    - 3> from the next SC period use the resources indicated by *commTxResources* for sidelink communication transmission, as specified in 5.10.4;
  - 2> else if commTxResources is included and set to release:
    - 3> from the next SC period, release the resources allocated for sidelink communication transmission previously configured by *commTxResources*;
- 1> if the RRCConnectionReconfiguration message includes the sl-DiscConfig:
  - 2> if *discTxResources* is included and set to *setup*:
    - 3> from the next discovery period, as defined by *discPeriod*, use the resources indicated by *discTxResources* for sidelink discovery announcement, as specified in 5.10.6;
  - 2> else if *discTxResources* is included and set to *release*:
    - 3> from the next discovery period, as defined by *discPeriod*, release the resources allocated for sidelink discovery announcement previously configured by *discTxResources*;
  - 2> if discTxResourcesPS is included and set to setup:
    - 3> from the next discovery period, as defined by *discPeriod*, use the resources indicated by *discTxResourcesPS* for sidelink discovery announcement, as specified in 5.10.6;
  - 2> else if *discTxResourcesPS* is included and set to *release*:
    - 3> from the next discovery period, as defined by discPeriod, release the resources allocated for sidelink discovery announcement previously configured by discTxResourcesPS;
  - 2> if *discTxInterFreqInfo* is included and set to *setup*:
    - 3> from the next discovery period, as defined by *discPeriod*, use the resources indicated by *discTxInterFreqInfo* for sidelink discovery announcement, as specified in 5.10.6;
  - 2> else if *discTxInterFreqInfo* is included and set to *release*:
    - 3> from the next discovery period, as defined by discPeriod, release the resources allocated for sidelink discovery announcement previously configured by discTxInterFreqInfo;
  - 2> if *discRxGapConfig* is included and set to *setup*:

- 3> from the next gap period, as defined by *gapPeriod*, use the gaps indicated by *discRxGapConfig* for sidelink discovery monitoring, as specified in 5.10.5;
- 2> else if *discRxGapConfig* is included and set to *release*:
  - 3> from the next gap period, as defined by *gapPeriod*, release the gaps configured for sidelink discovery monitoring previously configured by *discRxGapConfig*;
- 2> if *discTxGapConfig* is included and set to *setup*:
  - 3> from the next gap period, as defined by *gapPeriod*, use the gaps indicated by *discTxGapConfig* for sidelink discovery announcement, as specified in 5.10.6;
- 2> else if *discTxGapConfig* is included and set to *release*:
  - 3> from the next gap period, as defined by *gapPeriod*, release the gaps configured for sidelink discovery announcement previously configured by *discTxGapConfig*;
- 2> if discSysInfoToReportConfig is included and set to setup:
  - 3> start timer T370 with the timer value set to 60s;
- 2> else if *discSysInfoToReportConfig* is included and set to *release*:
  - 3> stop timer T370 and release discSysInfoToReportConfig;

### 5.3.10.15a V2X sidelink Communication dedicated configuration

- 1> if the RRCConnectionReconfiguration message includes the sl-V2X-ConfigDedicated:
  - 2> if *commTxResources* is included and set to *setup*:
    - 3> use the resources indicated by *commTxResources* for V2X sidelink communication transmission, as specified in 5.10.13;
    - 3> perform CBR measurement on the transmission resource pool indicated in *commTxResources* for V2X sidelink communication transmission, as specified in 5.5.3;
  - 2> else if *commTxResources* is included and set to *release*:
    - 3> release the resources allocated for V2X sidelink communication transmission previously configured by commTxResources:
  - 2> if *v2x-InterFreqInfoList* is included:
    - 3> use the synchronization configuration and resource configuration parameters for V2X sidelink communication on frequencies included in *v2x-InterFreqInfoList*, as specified in 5.10.13;
    - 3> perform CBR measurement on the transmission resource pool indicated in *v2x-InterFreqInfoList* for V2X sidelink communication transmission, as specified in 5.5.3;
- 1> if the RRCConnectionReconfiguration message includes the mobilityControlInfoV2X:
  - 2> if *v2x-CommRxPool* is included:
    - 3> use the resources indicated by *v2x-CommRxPool* for V2X sidelink communication reception, as specified in 5.10.12;
  - 2> if *v2x-CommTxPoolExceptional* is included:
    - 3> use the resources indicated by *v2x-CommTxPoolExceptional* for V2X sidelink communication transmission, as specified in 5.10.13;
    - 3> perform CBR measurement on the transmission resource pool indicated by *v2x-CommTxPoolExceptional* for V2X sidelink communication transmission, as specified in 5.5.3;

## 5.3.10.16 T370 expiry

The UE shall:

- 1> if T370 expires:
  - 2> release discSysInfoToReportConfig;

### 5.3.10.17 SRB release

The UE shall:

- 1> for each *srb-Identity* value included in *srb-ToReleaseList* or in *srb-ToReleaseListSCG* that is part of the current UE configuration:
  - 2> if the SRB configuration does not include an E-UTRA PDCP entity (release the SCG RLC bearer configuration):
    - 3> re-establish the RLC entity as specified in TS 36.322 [7] for this SRB;
    - 3> configure the E-UTRA PDCP entity to deactivate duplication;
  - 2> release the RLC entity or entities;
  - 2> release the DCCH logical channel;
  - 2> if srb-Identity value is set to 4, release the PDCP entity;

## 5.3.10.18 Scheduling Request Configuration for NB-IoT

The UE shall:

- 1> apply sr-WithHARQ-ACK-Config, if included;
- 1> apply *sr-WithoutHARQ-ACK-Config*, if included;
- 1> apply *sr-SPS-BSR-Config*, if included;

#### 5.3.10.19 NE-DC release

The UE shall:

- 1> if NE-DC release is triggered by NR:
  - 2> reset SCG MAC, if configured;
  - 2> for each RLC bearer that is part of the SCG configuration:
    - 3> perform RLC bearer release procedure as specified in 5.3.10.17 (SRBs) and in 5.3.10.2 (DRBs);
  - 2> release the measurement configuration;
  - 2> release the SCG configuration i.e. release the MAC and physical configuration for each cell that is part of the SCG configuration;
  - 2> stop timer T313 for the corresponding PSCell, if running;
  - 2> stop timer T307 for the corresponding PSCell, if running.

NOTE: Upon NE-DC release the UE releases all fields configured by the *RRCConnectionReconfiguration* message.

### 5.3.11 Radio link failure related actions

## 5.3.11.1 Detection of physical layer problems in RRC\_CONNECTED

The UE shall:

1> upon receiving N310 consecutive "out-of-sync" indications for the PCell from lower layers while neither T300, T301, T304 nor T311 is running:

2> start timer T310:

1> upon receiving N313 consecutive "out-of-sync" indications for the PSCell from lower layers while T307 is not running:

2> start T313;

NOTE: Physical layer monitoring and related autonomous actions do not apply to SCells except for the PSCell.

## 5.3.11.1a Early detection of physical layer problems in RRC\_CONNECTED

The UE shall:

1> upon receiving N310 consecutive "early-out-of-sync" indications for the PCell from lower layers:

2> start timer T314 with the timer value set to the value of T310;

## 5.3.11.1b Detection of physical layer improvements in RRC\_CONNECTED

The UE shall:

1> upon receiving N311 consecutive "early-in-sync" indications for the PCell from lower layers:

2> start timer T315 with the timer value set to the value of T310;

## 5.3.11.2 Recovery of physical layer problems

Upon receiving N311 consecutive "in-sync" indications for the PCell from lower layers while T310 is running, the UE shall:

1> stop timer T310;

1> stop timer T312, if running;

NOTE 1: In this case, the UE maintains the RRC connection without explicit signalling, i.e. the UE maintains the entire radio resource configuration.

NOTE 2: Periods in time where neither "in-sync" nor "out-of-sync" is reported by layer 1 do not affect the evaluation of the number of consecutive "in-sync" or "out-of-sync" indications.

Upon receiving N314 consecutive "in-sync" indications for the PSCell from lower layers while T313 is running, the UE shall:

1> stop timer T313;

## 5.3.11.2a Recovery of early detection of physical layer problems

Upon receiving N311 consecutive "in-sync" indications for the PCell from lower layers while T314 is running, the UE shall:

1> stop timer T314;

## 5.3.11.2b Cancellation of physical layer improvements in RRC\_CONNECTED

Upon receiving N311 consecutive "in-sync" indications for the PCell from lower layers while T315 is running, the UE shall:

1> stop timer T315;

### 5.3.11.3 Detection of radio link failure

- 1> upon T310 expiry; or
- 1> upon T312 expiry; or
- 1> upon random access problem indication from MCG MAC while neither T300, T301, T304 nor T311 is running; or
- 1> upon indication from MCG RLC, which is allowed to be send on PCell, that the maximum number of retransmissions has been reached for an SRB or DRB:
  - 2> consider radio link failure to be detected for the MCG i.e. RLF;
  - 2> except for NB-IoT, store the following radio link failure information in the *VarRLF-Report* by setting its fields as follows:
    - 3> clear the information included in *VarRLF-Report*, if any;
    - 3> set the plmn-IdentityList to include the list of EPLMNs stored by the UE (i.e. includes the RPLMN);
    - 3> set the *measResultLastServCell* to include the RSRP and RSRQ, if available, of the PCell based on measurements collected up to the moment the UE detected radio link failure;
    - 3> set the measResultNeighCells to include the best measured cells, other than the PCell, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected radio link failure, and set its fields as follows;
      - 4> if the UE was configured to perform measurements for one or more EUTRA frequencies, include the *measResultListEUTRA*;
      - 4> if the UE was configured to perform measurement reporting for one or more neighbouring UTRA frequencies, include the *measResultListUTRA*;
      - 4> if the UE was configured to perform measurement reporting for one or more neighbouring GERAN frequencies, include the *measResultListGERAN*;
      - 4> if the UE was configured to perform measurement reporting for one or more neighbouring CDMA2000 frequencies, include the *measResultsCDMA2000*;
      - 4> for each neighbour cell included, include the optional fields that are available;
- NOTE 1: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Blacklisted cells are not required to be reported.
  - 3> if available, set the *logMeasResultListWLAN* to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs;
  - 3> if available, set the *logMeasResultListBT* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;
  - 3> if detailed location information is available, set the content of the *locationInfo* as follows:
    - 4> include the *locationCoordinates*;
    - 4> include the *horizontalVelocity*, if available;

- 3> set the *failedPCellId* to the global cell identity, if available, and otherwise to the physical cell identity and carrier frequency of the PCell where radio link failure is detected;
- 3> set the *tac-FailedPCell* to the tracking area code, if available, of the PCell where radio link failure is detected;
- 3> if an RRCConnectionReconfiguration message including the mobilityControlInfo was received before the connection failure:
  - 4> if the last RRCConnectionReconfiguration message including the mobilityControlInfo concerned an intra E-UTRA handover:
    - 5> include the *previousPCellId* and set it to the global cell identity of the PCell where the last *RRCConnectionReconfiguration* message including *mobilityControlInfo* was received;
    - 5> set the *timeConnFailure* to the elapsed time since reception of the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo*;
  - 4> if the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo* concerned a handover to E-UTRA from UTRA and if the UE supports Radio Link Failure Report for Inter-RAT MRO:
    - 5> include the *previousUTRA-CellId* and set it to the physical cell identity, the carrier frequency and the global cell identity, if available, of the UTRA Cell in which the last *RRCConnectionReconfiguration* message including *mobilityControlInfo* was received;
    - 5> set the *timeConnFailure* to the elapsed time since reception of the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo*;
- 3> if the UE supports QCI1 indication in Radio Link Failure Report and has a DRB for which QCI is 1:
  - 4> include the *drb-EstablishedWithQCI-1*;
- 3> set the *connectionFailureType* to *rlf*;
- 3> set the *c-RNTI* to the C-RNTI used in the PCell;
- 3> set the *rlf-Cause* to the trigger for detecting radio link failure;
- 2> if AS security has not been activated:
  - 3> if the UE is a NB-IoT UE:
    - 4> if the UE supports RRC connection re-establishment for the Control Plane CIoT EPS optimisation:
      - 5> initiate the RRC connection re-establishment procedure as specified in 5.3.7;
    - 4> else:
      - 5> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';
  - 3> else:
    - 4> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';
- 2> else:
  - 3> initiate the connection re-establishment procedure as specified in 5.3.7;
- In case of DC or NE-DC, the UE shall:
  - 1> upon T313 expiry; or
  - 1> upon random access problem indication from SCG MAC; or

- 1> upon indication from SCG RLC, which is allowed to be sent on PSCell, that the maximum number of retransmissions has been reached for an SCG, for a split DRB or for a split SRB:
  - 2> consider radio link failure to be detected for the SCG i.e. SCG-RLF;
  - 2> initiate the SCG failure information procedure as specified in 5.6.13 to report SCG radio link failure;

In case of CA PDCP duplication, the UE shall:

- 1> upon indication from an RLC entity, which is restricted to be sent on SCell only, that the maximum number of retransmissions has been reached:
  - 2> initiate the failure information procedure as specified in 5.6.21 to report RLC failure of type duplication;

The UE may discard the radio link failure information, i.e. release the UE variable *VarRLF-Report*, 48 hours after the radio link failure is detected, upon power off or upon detach.

## 5.3.11.3a Detection of early-out-of-sync event

The UE shall:

- 1> upon T314 expiry;
  - 2> consider "early-out-of-sync" event to be detected and initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10;

## 5.3.11.3b Detection of early-in-sync event

The UE shall:

- 1> upon T315 expiry;
  - 2> consider "early-in-sync" event to be detected and initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10;

# 5.3.12 UE actions upon leaving RRC\_CONNECTED or RRC\_INACTIVE

Upon leaving RRC\_CONNECTED or RRC\_INACTIVE, the UE shall:

- 1> reset MAC;
- 1> if leaving RRC\_INACTIVE was not triggered by the reception of *RRCConnectionRelease* including *idleModeMobilityControlInfo*:
  - 2> stop the timer T320, if running;
  - 2> if stored, discard the cell reselection priority information provided by the idleModeMobilityControlInfo;
- 1> if entering RRC\_IDLE was triggered by reception of the RRCConnectionRelease message including a waitTime:
  - 2> start timer T302, with the timer value set according to the *waitTime*;
  - 2> inform the upper layer that access barring is applicable for all access categories except categories '0' and '2';
- 1> else if T302 is running:
  - 2> stop timer T302;
  - 2> if the UE is connected to 5GC:
    - 3> perform the actions as specified in 5.3.16.4;
- 1> if T309 is running:
  - 2> stop timer T309 for all access categories;

- 2> perform the actions as specified in 5.3.16.4.
- 1> stop all timers that are running except T302, T320, T322, T325, T330, T331;
- 1> if leaving RRC\_CONNECTED was triggered by suspension of the RRC:
  - 2> re-establish RLC entities for all SRBs and DRBs, including RBs configured with NR PDCP;
  - 2> store the UE AS Context including the current RRC configuration, the current security context, the PDCP state including ROHC state, C-RNTI used in the source PCell, the *cellIdentity* and the physical cell identity of the source PCell;
  - 2> store the following information provided by E-UTRAN:
    - 3> the resumeIdentity;
    - 3> the *nextHopChainingCount*, if present. Otherwise discard any stored *nextHopChainingCount* that does not correspond to stored key K<sub>RRCint</sub>;
    - 3> the drb-ContinueROHC, if present. Otherwise discard any stored drb-ContinueROHC;
  - 2> suspend all SRB(s) and DRB(s), including RBs configured with NR PDCP, except SRB0;
  - 2> indicate the suspension of the RRC connection to upper layers;
  - 2> configure lower layers to suspend integrity protection and ciphering;
- NOTE 1: Except for UP-EDT, ciphering is not applied for the subsequent *RRCConnectionResume* message used to resume the connection and an integrity check is performed by lower layers, but merely upon request from RRC.
- 1> else:
  - 2> upon leaving RRC\_INACTIVE:
    - 3> discard the UE Inactive AS context;
    - 3> release *rrc-InactiveConfig*, if configured;
    - 3> discard the  $K_{eNB}$ , the  $K_{RRCenc}$  key, the  $K_{RRCint}$  and the  $K_{UPenc}$  key;
  - 2> release *rrc-InactiveConfig*, if configured;
  - 2> release all radio resources, including release of the MAC configuration, the RLC entity and the associated PDCP entity and SDAP (if any) for all established RBs;
  - 2> indicate the release of the RRC connection to upper layers together with the release cause;
- 1> if leaving RRC\_CONNECTED was triggered neither by reception of the *MobilityFromEUTRACommand* message nor by selecting an inter-RAT cell while T311 was running; or
- 1> if leaving RRC\_INACTIVE was not triggered by the inter-RAT cell reselection:
  - 2> if timer T350 is configured:
    - 3> start timer T350:
    - 3> apply rclwi-Configuration if configured, otherwise apply the wlan-Id-List corresponding to the RPLMN included in SystemInformationBlockType17;
  - 2> else:
    - 3> release the wlan-OffloadConfigDedicated, if received;
    - 3> if the wlan-OffloadConfigCommon corresponding to the RPLMN is broadcast by the cell:
      - 4> apply the *wlan-OffloadConfigCommon* corresponding to the RPLMN included in *SystemInformationBlockType17*;

- 4> apply *steerToWLAN* if configured, otherwise apply the *wlan-Id-List* corresponding to the RPLMN included in *SystemInformationBlockType17*;
- 2> enter RRC\_IDLE and perform procedures as specified in TS 36.304 [4], clause 5.2.7;
- 1> else:
  - 2> release the wlan-OffloadConfigDedicated, if received;
- NOTE 2: BL UEs or UEs in CE verifies validity of SI when released to RRC\_IDLE.
- 1> release the LWA configuration, if configured, as described in 5.6.14.3;
- 1> release the LWIP configuration, if configured, as described in 5.6.17.3;

## 5.3.13 UE actions upon PUCCH/ SPUCCH/ SRS release request

Upon receiving a PUCCH release request from lower layers, for an indicated serving cell the UE shall:

- 1> apply the default physical channel configuration for *cqi-ReportConfig* for the indicated serving cell as specified in 9.2.4 and release *cqi-ReportConfigSCell*, for each SCell that sends HARQ feedback on the indicated serving cell, if any;
- 1> apply the default physical channel configuration for *schedulingRequestConfig* as specified in 9.2.4, for the concerned CG;

Upon receiving a sPUCCH release request from lower layers, the UE shall:

- 1> for each serving cell in the UE configuration:
- 2> apply the value *release* to the field *schedulingRequest-SPUCCH*;

Upon receiving an SRS release request from lower layers, for an indicated serving cell the UE shall:

1> apply the default physical channel configuration for soundingRS-UL-ConfigDedicated, as specified in 9.2.4;

NOTE: Upon PUCCH/ SRS release request, the UE does not modify the *soundingRS-UL-ConfigDedicatedAperiodic* i.e. it does not apply the default for this field (release).

# 5.3.13a UE actions upon SR release request for NB-IoT

Upon receiving a SR release request from lower layers, the UE shall:

- 1> apply the value FALSE for sr-WithHARQ-ACK-Config and release sr-WithHARQ-ACK-Config, if configured;
- 1> apply the value *release* for *sr-WithoutHARQ-ACK-Config* and release *sr-WithoutHARQ-ACK-Config*, if configured;
- 1> apply the value release for sr-SPS-BSR-Config and release sr-SPS-BSR-Config, if configured;

## 5.3.14 Proximity indication

#### 5.3.14.1 General

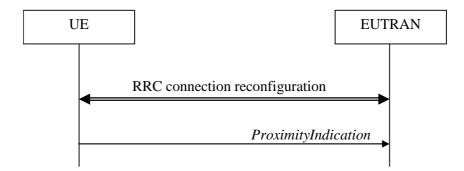


Figure 5.3.14.1-1: Proximity indication

The purpose of this procedure is to indicate that the UE is entering or leaving the proximity of one or more CSG member cells. The detection of proximity is based on an autonomous search function as defined in TS 36.304 [4].

### 5.3.14.2 Initiation

A UE in RRC\_CONNECTED shall:

- 1> if the UE enters the proximity of one or more CSG member cell(s) on an E-UTRA frequency while proximity indication is enabled for such E-UTRA cells; or
- 1> if the UE enters the proximity of one or more CSG member cell(s) on an UTRA frequency while proximity indication is enabled for such UTRA cells; or
- 1> if the UE leaves the proximity of all CSG member cell(s) on an E-UTRA frequency while proximity indication is enabled for such E-UTRA cells; or
- 1> if the UE leaves the proximity of all CSG member cell(s) on an UTRA frequency while proximity indication is enabled for such UTRA cells:
  - 2> if the UE has previously not transmitted a *ProximityIndication* for the RAT and frequency during the current RRC connection, or if more than 5 s has elapsed since the UE has last transmitted a *ProximityIndication* (either entering or leaving) for the RAT and frequency:
    - 3> initiate transmission of the *ProximityIndication* message in accordance with 5.3.14.3;

NOTE: In the conditions above, "if the UE enters the proximity of one or more CSG member cell(s)" includes the case of already being in the proximity of such cell(s) at the time proximity indication for the corresponding RAT is enabled.

### 5.3.14.3 Actions related to transmission of *ProximityIndication* message

The UE shall set the contents of *ProximityIndication* message as follows:

- 1> if the UE applies the procedure to report entering the proximity of CSG member cell(s):
  - 2> set type to entering;
- 1> else if the UE applies the procedure to report leaving the proximity of CSG member cell(s):
  - 2> set *type* to *leaving*;
- 1> if the proximity indication was triggered for one or more CSG member cell(s) on an E-UTRA frequency:
  - 2> set the *carrierFreq* to *eutra* with the value set to the E-ARFCN value of the E-UTRA cell(s) for which proximity indication was triggered;

- 1> else if the proximity indication was triggered for one or more CSG member cell(s) on a UTRA frequency:
  - 2> set the *carrierFreq* to *utra* with the value set to the ARFCN value of the UTRA cell(s) for which proximity indication was triggered;

The UE shall submit the *ProximityIndication* message to lower layers for transmission.

### 5.3.15 Void

### 5.3.16 Unified Access Control

#### 5.3.16.1 General

The purpose of this procedure is to perform access barring check for an access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers according to TS 24.501 [95] or the RRC layer.

After a handover resulting in change of PCell in RRC\_CONNECTED the UE shall defer access barring checks until it has obtained valid UAC information (from *SystemInformationBlockType25*) from the target cell if the *SystemInformationBlockType25* is broadcasted.

### 5.3.16.2 Initiation

Upon initiation of the procedure, the UE shall:

- 1> if T309 is running for the Access Category:
  - 2> consider the access attempt as barred;
- 1> else if timer T302 is running and the Access Category is neither '2' nor '0':
  - 2> consider the access attempt as barred;
- 1> else:
  - 2> if the Access Category is '0':
    - 3> consider the access attempt as allowed;
  - 2> else if *SystemInformationBlockType25* is not broadcasted:
    - 3> consider the access attempt as allowed;
  - 2> else:
    - 3> if *SystemInformationBlockType25* includes *uac-BarringPerPLMN-List* and the *uac-BarringPerPLMN-List* contains an *UAC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers (see TS 24.501 [95]):
      - 4> select the *UAC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers;
      - 4> in the remainder of this procedure, use the selected *UAC-BarringPerPLMN* entry (i.e. presence or absence of access barring parameters in this entry) irrespective of the *uac-BarringForCommon* included in *SystemInformationBlockType25*;
    - $3> else \ if \ \textit{SystemInformationBlockType25} \ includes \ \textit{uac-BarringForCommon}:$ 
      - 4> in the remainder of this procedure use the *uac-BarringForCommon* (i.e. presence or absence of these parameters) included in *SystemInformationBlockType25*;
    - 3> else:
      - 4> consider the access attempt as allowed;

- 3> if *uac-BarringForCommon* is applicable or the *uac-AC-BarringListType* indicated that *uac-ExplicitAC-BarringList* is used:
  - 4> if the corresponding *UAC-BarringPerCatList* contains a *UAC-BarringPerCat* entry corresponding to the Access Category:
    - 5> select the *UAC-BarringPerCat* entry;
    - 5> if the uac-BarringInfoSetList contain a *UAC-BarringInfoSet* entry corresponding to the *uac-barringInfoSetIndex* in the *UAC-BarringPerCat*:
      - 6> select the *UAC-BarringInfoSet* entry;
      - 6> perform access barring check for the Access Category as specified in 5.3.16.5, using the *UAC-BarringInfoSet* as "UAC barring parameter";
    - 5> else:
      - 6> consider the access attempt as allowed;
  - 4> else:
    - 5> consider the access attempt as allowed;
- 3> else if the uac-AC-BarringListType indicated that uac-ImplicitAC-BarringList is indicated:
  - 4> select the *uac-BarringInfoSetIndex* corresponding to the Access Category in the *uac-ImplicitACBarringList*;
  - 4> if the *uac-BarringInfoSetList* contain the *UAC-BarringInfoSet* entry corresponding to the selected *uac-BarringInfoSetIndex*:
    - 5> select the *UAC-BarringInfoSet* entry;
    - 5> perform access barring check for the Access Category as specified in 5.3.16.5, using the *UAC-BarringInfoSet* as "UAC barring parameter";
  - 4> else:
    - 5> consider the access attempt as allowed;
- 3> else:
  - 4> consider the access attempt as allowed;
- 1> if the access barring check was requested by upper layers:
  - 2> if the access attempt is considered as barred:
    - 3> if timer T302 is running:
      - 4> if timer T309 is running for Access Category '2':
        - 5> inform the upper layer that access barring is applicable for all access categories except categories '0', upon which the procedure ends;
      - 4> else:
        - 5> inform the upper layer that access barring is applicable for all access categories except categories '0' and '2', upon which the procedure ends;
    - 3> else:
      - 4> inform upper layers that the access attempt for the Access Category is barred, upon which the procedure ends;
  - 2> else:

3> inform upper layers that the access attempt for the Access Category is allowed, upon which the procedure ends:

1> else:

2> the procedure ends;

#### 5.3.16.3 Void

## 5.3.16.4 T302, T309 expiry or stop (Barring alleviation)

If the UE is connected to 5GC, the UE shall:

- 1> if timer T302 expires or is stopped:
  - 2> for each Access Category for which T309 is not running:
    - 3> consider the barring for this Access Category to be alleviated:
- 1> else if timer T309 corresponding to an Access Category other than '2' expires or is stopped, and if timer T302 is not running:
  - 2> consider the barring for this Access Category to be alleviated;
- 1> else if timer T309 corresponding to the Access Category '2' expires or is stopped:
  - 2> consider the barring for this Access Category to be alleviated;
- 1> When barring for an access category is considered being alleviated:
  - 2> if the Access Category was informed to upper layers as barred:
    - 3> inform upper layers about barring alleviation for the Access Category;
  - 2> if barring is alleviated for Access Category '8':
    - 3> perform actions specified in 5.3.17;

### 5.3.16.5 Access barring check

- 1> if one or more Access Identities are indicated according to TS 24.501 [95], and
- 1> if for at least one of these Access Identities the corresponding bit in the *uac-BarringForAccessIdentity* contained in "UAC barring parameter" is set to *zero*:
  - 2> consider the access attempt as allowed;
- 1> else:
  - 2> draw a random number 'rand' uniformly distributed in the range:  $0 \le rand < 1$ ;
  - 2> if 'rand' is lower than the value indicated by uac-BarringFactor included in "UAC barring parameter":
    - 3> consider the access attempt as allowed;
  - 2> else:
    - 3> consider the access attempt as barred;
- 1> if the access attempt is considered as barred:
  - 2> draw a random number 'rand' that is uniformly distributed in the range  $0 \le rand < 1$ ;

2> start timer T309 for the Access Category with the timer value calculated as follows, using the *uac-BarringTime* included in "AC barring parameter":

"Tbarring" = (0.7 + 0.6 \* rand) \* uac-BarringTime;

## 5.3.17 RAN notification area update

### 5.3.17.1 General

The purpose of this procedure is:

- to notify the network that a UE in RRC\_INACTIVE has re-selected to a cell not belonging to the configured RAN notification area; or
- to periodically notify the network by a UE in RRC\_INACTIVE;

#### 5.3.17.2 Initiation

When in RRC\_INACTIVE state, the UE shall:

- 1> if T380 expires, or:
- 1> if RNA Update is triggered at reception of SystemInformationBlockType1, as specified in 5.2.2.7:
  - 2> initiate RRC connection resume procedure in 5.3.3 with cause value set to 'rna-Update';
- 1> if barring is alleviated for Access Category '8', as specified in 5.3.16.4:
  - 2> if upper layers do not request RRC the resumption of an RRC connection, and
  - 2> if the variable *pendingRnaUpdate* is set to 'TRUE':
    - 3> initiate RRC connection resume procedure in 5.3.3 with cause value set to 'rna-Update';

If the UE in RRC\_INACTIVE state fails to find a suitable cell and camps on the acceptable cell to obtain limited service as defined in TS 36.304 [4], the UE shall:

1> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12 with release cause 'other'.

## 5.3.17.3 Inter RAT cell reselection or CN type change

Upon reselecting to an inter-RAT cell or to another CN type, the UE shall:

1> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12, with release cause 'other'.

# 5.4 Inter-RAT mobility

### 5.4.1 Introduction

The general principles of connected mode mobility are described in 5.3.1.3. The general principles of the security handling upon connected mode mobility are described in 5.3.1.2.

For the (network controlled) inter RAT mobility from E-UTRA for a UE in RRC\_CONNECTED, a single procedure is defined that supports both handover, cell change order with optional network assistance (NACC) and enhanced CS fallback to CDMA2000 1xRTT. The same procedure also supports inter-system handover between E-UTRA/EPC and E-UTRA/5GC. In case of mobility to CDMA2000, the eNB decides when to move to the other RAT while the target RAT determines to which cell the UE shall move.

### 5.4.2 Handover to E-UTRA

### 5.4.2.1 General

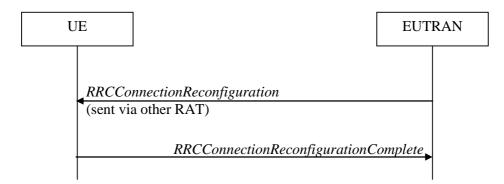


Figure 5.4.2.1-1: Handover to E-UTRA, successful

The purpose of this procedure is to, under the control of the network, transfer a connection between the UE and another Radio Access Network (e.g. GERAN, UTRAN or NR) to E-UTRAN, or transfer a connection between the UE and the E-UTRAN with one type of CN to the E-UTRAN with a different type of CN.

The handover to E-UTRA procedure applies when SRBs, possibly in combination with DRBs, are established in another RAT or in E-UTRA connected to another type of CN. Handover from UTRAN to E-UTRAN applies only after integrity has been activated in UTRAN. Handover to E-UTRA connected to a different type of CN applies only after integrity has been activated in E-UTRAN. Handover from NR to E-UTRAN applies only after integrity has been activated in NR.

### 5.4.2.2 Initiation

The RAN using another RAT or the E-UTRA connected to a different type of CN initiates the handover to E-UTRA procedure, in accordance with the specifications applicable for the other RAT or for the E-UTRA connected to a different type of CN, by sending the *RRCConnectionReconfiguration* message via the radio access technology from which the inter-RAT handover is performed.

E-UTRAN applies the procedure as follows:

- to activate ciphering, possibly using NULL algorithm, if not yet activated in the other RAT or in the E-UTRA connected to a different type of CN;
- to establish SRB1, SRB2 and one or more DRBs, i.e. at least the DRB associated with the default EPS bearer is established if the target CN is EPC and at least one DRB is established if the target CN is 5GC.

### 5.4.2.3 Reception of the *RRCConnectionReconfiguration* by the UE

If the UE is able to comply with the configuration included in the *RRCConnectionReconfiguration* message, the UE shall:

- 1> if the *RRCConnectionReconfiguration* message does not include the *fullConfig* and the UE is connected to 5GC (i.e., delta signalling during intra 5GC handover):
  - 2> re-use the source SDAP and PDCP configurations (i.e., current SDAP/PDCP configurations for all RBs from source RAT prior to the reception of the inter-RAT handover *RRCConnectionReconfiguration* message);
- 1> if the *RRCConnectionReconfiguration* message includes the *fullConfig* and the source RAT was E-UTRA (i.e., intra-RAT inter-system handover):
  - 2> except the MCG C-RNTI, release/ clear all current dedicated radio resources and configurations, including all SDAP (if configured), PDCP, RLC, logical channel configurations for the DRBs and the logged measurement configuration (if configured);
  - 2> release/ clear all current common radio configurations;

- 2> for each srb-Identity value included in the srb-ToAddModList (SRB reconfiguration):
  - 3> apply the specified configuration defined in 9.1.2 for the corresponding SRB;
  - 3> apply the corresponding default RLC configuration for the SRB specified in 9.2.1.1 for SRB1 or in 9.2.1.2 for SRB2;
  - 3> apply the corresponding default logical channel configuration for the SRB as specified in 9.2.1.1 for SRB1 or in 9.2.1.2 for SRB2;
  - 3> if the handoverType in securityConfigHO is set to fivegc-ToEPC (i.e, the UE is connecting to EPC):
    - 4> release the PDCP entity and establish it with an E-UTRA PDCP entity;
  - 3> else if the handoverType in securityConfigHO is set to epc-To5GC (i.e., the UE is connecting to 5GC):
    - 4> release the PDCP entity and establish it with an NR PDCP and apply the corresponding default PDCP configuration for the SRB as specified in TS 38.331 [82], clause 9.2.1;
  - 3> associate the RLC bearer of this SRB with the established PDCP entity;
- 1> apply the default physical channel configuration as specified in 9.2.4;
- 1> apply the default semi-persistent scheduling configuration as specified in 9.2.3;
- 1> apply the default MAC main configuration as specified in 9.2.2;
- 1> start timer T304 with the timer value set to t304, as included in the mobilityControlInfo;
- 1> consider the target PCell to be one on the frequency indicated by the *carrierFreq* with a physical cell identity indicated by the *targetPhysCellId*;
- 1> start synchronising to the DL of the target PCell;
- 1> set the C-RNTI to the value of the *newUE-Identity*;
- 1> for the target PCell, apply the downlink bandwidth indicated by the *dl-Bandwidth*;
- 1> for the target PCell, apply the uplink bandwidth indicated by (the absence or presence of) the ul-Bandwidth;
- 1> configure lower layers in accordance with the received *radioResourceConfigCommon*;
- 1> configure lower layers in accordance with any additional fields, not covered in the previous, if included in the received *mobilityControlInfo*;
- 1> perform the radio resource configuration procedure as specified in 5.3.10;
- 1> if the *handoverType* in *securityConfigHO* is set to *fivegc-ToEPC*:
  - 2> indicate to higher layer that the CN has changed from 5GC to EPC;
  - 2> derive the key K<sub>eNB</sub> based on the mapped K<sub>ASME</sub> key as specified for interworking between EPS and 5GS in TS 33.501 [86];
  - 2> store the *nextHopChainingCount-r15* value;
- 1> else if the *handoverType* in *securityConfigHO* is set to *intra5GC*:
  - 2> if the keyChangeIndicator-r15 received in the securityConfigHO is set to TRUE:
    - 3> forward nas-Container to the upper layers, if included;
    - 3> update the K<sub>eNB</sub> key based on the K<sub>AMF</sub> key, as specified in TS 33.501 [86];
  - 2> else:
    - 3> update the K<sub>eNB</sub> key based on the current K<sub>gNB</sub> or the NH, using the *nextHopChainingCount-r15* value indicated in the *SecurityConfigHO*, as specified in TS 33.501 [86];

- 2> store the *nextHopChainingCount-r15* value;
- 1> else if the *handoverType* in *securityConfigHO* is set to *epc-To5GC*:
  - 2> forward the nas-Container to the upper layers
  - 2> derive the K<sub>eNB</sub> key, as specified in TS 33.501 [86];
- 1> else:
  - 2> forward the *nas-SecurityParamToEUTRA* to the upper layers;
  - 2> derive the  $K_{eNB}$  key, as specified in TS 33.401 [32];
- 1> derive the K<sub>RRCint</sub> key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];
- 1> derive the K<sub>RRCenc</sub> key and the K<sub>UPenc</sub> key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];
- 1> if the received RRCConnectionReconfiguration includes the nr-RadioBearerConfig1:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;
- 1> if the received RRCConnectionReconfiguration includes the nr-RadioBearerConfig2:
  - 2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6.
- 1> if the handoverType in securityConfigHO is set to fivegc-ToEPC or if the handoverType-v1530 is not present:
  - 2> configure lower layers to apply the indicated integrity protection algorithm and the K<sub>RRCint</sub> key immediately, i.e. the indicated integrity protection configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
  - 2> configure lower layers to apply the indicated ciphering algorithm, the K<sub>RRCenc</sub> key and the K<sub>UPenc</sub> key immediately, i.e. the indicated ciphering configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 1> if the received RRCConnectionReconfiguration includes the sCellToAddModList:
  - 2> perform SCell addition as specified in 5.3.10.3b;
- 1> if the RRCConnectionReconfiguration message includes the measConfig:
  - 2> perform the measurement configuration procedure as specified in 5.5.2;
- 1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;
- 1> if the RRCConnectionReconfiguration message includes the otherConfig:
  - 2> perform the other configuration procedure as specified in 5.3.10.9;
- 1> if the RRCConnectionReconfiguration message includes wlan-OffloadInfo:
  - 2> perform the dedicated WLAN offload configuration procedure as specified in 5.6.12.2;
- 1> if the RRCConnectionReconfiguration message includes rclwi-Configuration:
  - 2> perform the WLAN traffic steering command procedure as specified in 5.6.16.2;
- 1> if the RRCConnectionReconfiguration message includes lwa-Configuration:
  - 2> perform the LWA configuration procedure as specified in 5.6.14.2;
- 1> if the *RRCConnectionReconfiguration* message includes *lwip-Configuration*:
  - 2> perform the LWIP reconfiguration procedure as specified in 5.6.17.2;
- 1> set the content of RRCConnectionReconfigurationComplete message as follows:

- 2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
  - 3> include *rlf-InfoAvailable*;
- 2> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport* and if T330 is not running:
  - 3> include logMeasAvailableMBSFN;
- 2> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
  - 3> include the *logMeasAvailable*;
- 2> if the UE has Bluetooth logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
  - 3> include the *logMeasAvailableBT*;
- 2> if the UE has WLAN logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
  - 3> include the *logMeasAvailableWLAN*;
- 2> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:
  - 3> include connEstFailInfoAvailable;
- 1> submit the *RRCConnectionReconfigurationComplete* message to lower layers for transmission using the new configuration;
- 1> if the RRCConnectionReconfiguration message does not include rlf-TimersAndConstants set to setup:
  - 2> use the default values specified in 9.2.5 for timer T310, T311 and constant N310, N311;
- 1> if MAC successfully completes the random access procedure:
  - 2> stop timer T304;
  - 2> apply the parts of the CQI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the target PCell, if any;
  - 2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the target PCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of the target PCell;
- NOTE 1: Whenever the UE shall setup or reconfigure a configuration in accordance with a field that is received it applies the new configuration, except for the cases addressed by the above statements.
  - 2> enter E-UTRA RRC\_CONNECTED, upon which the procedure ends;
- NOTE 2: The UE is not required to determine the SFN of the target PCell by acquiring system information from that cell before performing RACH access in the target PCell.
- NOTE 3: If the handover is from NR and target CN is 5GC, the delta configuration on PDCP and SDAP can be used for intra-system inter-RAT handover. For other cases, source RAT configuration is not considered when the UE applies the reconfiguration message of target RAT.

## 5.4.2.4 Reconfiguration failure

The UE shall:

1> if the UE is unable to comply with (part of) the configuration included in the *RRCConnectionReconfiguration* message:

- 2> if the source RAT is E-UTRA:
  - 3> perform the actions as specified in 5.3.5.5;
- 2> else:
  - 3> perform the actions defined for this failure case as defined in the specifications applicable for the other RAT;
- NOTE 1: The UE may apply above failure handling also in case the *RRCConnectionReconfiguration* message causes a protocol error for which the generic error handling as defined in 5.7 specifies that the UE shall ignore the message.
- NOTE 2: If the UE is unable to comply with part of the configuration, it does not apply any part of the configuration, i.e. there is no partial success/ failure.

## 5.4.2.5 T304 expiry (handover to E-UTRA failure)

The UE shall:

- 1> upon T304 expiry (handover to E-UTRA failure):
  - 2> if the source RAT is E-UTRA:
    - 3> perform the actions as specified in 5.3.5.6;
  - 2> else:
    - 3> reset MAC;
    - 3> perform the actions defined for this failure case as defined in the specifications applicable for the other RAT;

# 5.4.3 Mobility from E-UTRA

### 5.4.3.1 General



Figure 5.4.3.1-1: Mobility from E-UTRA, successful

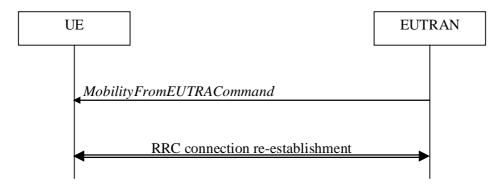


Figure 5.4.3.1-2: Mobility from E-UTRA, failure

The purpose of this procedure is to move a UE in RRC\_CONNECTED to a cell using another Radio Access Technology (RAT), e.g. GERAN, UTRA, CDMA2000 systems, NR, or handover a UE to an E-UTRA cell connected to another type of CN. The mobility from E-UTRA procedure covers the following type of mobility:

- handover, i.e. the *MobilityFromEUTRACommand* message includes radio resources that have been allocated for the UE in the target cell;
- cell change order, i.e. the *MobilityFromEUTRACommand* message may include information facilitating access of and/ or connection establishment in the target cell, e.g. system information. Cell change order is applicable only to GERAN; and
- enhanced CS fallback to CDMA2000 1xRTT, i.e. the MobilityFromEUTRACommand message includes radio resources that have been allocated for the UE in the target cell. The enhanced CS fallback to CDMA2000 1xRTT may be combined with concurrent handover or redirection to CDMA2000 HRPD.

NOTE: For the case of dual receiver/transmitter enhanced CS fallback to CDMA2000 1xRTT, the DLInformationTransfer message is used instead of the MobilityFromEUTRACommand message (see TS 36.300 [9]).

### 5.4.3.2 Initiation

E-UTRAN initiates the mobility from E-UTRA procedure to a UE in RRC\_CONNECTED, possibly in response to a *MeasurementReport* message or in response to reception of CS fallback indication for the UE from MME, by sending a *MobilityFromEUTRACommand* message. E-UTRAN applies the procedure as follows:

- the procedure is initiated only when AS-security has been activated, and SRB2 with at least one DRB are setup and not suspended;

## 5.4.3.3 Reception of the *MobilityFromEUTRACommand* by the UE

The UE shall be able to receive a *MobilityFromEUTRACommand* message and perform a cell change order to GERAN, even if no prior UE measurements have been performed on the target cell.

- 1> stop timer T310, if running;
- 1> stop timer T312, if running;
- 1> if T309 is running:
  - 2> stop timer T309 for all access categories;
  - 2> perform the actions as specified in 5.3.16.4.
- 1> if the MobilityFromEUTRACommand message includes the purpose set to handover:
  - 2> if the *targetRAT-Type* is set to *utra* or *geran*:
    - 3> consider inter-RAT mobility as initiated towards the RAT indicated by the *targetRAT-Type* included in the *MobilityFromEUTRACommand* message;
    - 3> forward the *nas-SecurityParamFromEUTRA* to the upper layers;
    - 3> access the target cell indicated in the inter-RAT message in accordance with the specifications of the target RAT;
    - 3> if the *targetRAT-Type* is set to *geran*:
      - 4> use the contents of *systemInformation*, if provided for PS Handover, as the system information to begin access on the target GERAN cell;
- NOTE 1: If there are DRBs for which no radio bearers are established in the target RAT as indicated in the targetRAT-MessageContainer in the message, the E-UTRA RRC part of the UE does not indicate the release of the concerned DRBs to the upper layers. Upper layers may derive which bearers are not established from information received from the AS of the target RAT.

- NOTE 2: In case of SR-VCC, the DRB to be replaced is specified in TS 23.216 [61].
  - 2> else if the *targetRAT-Type* is set to *eutra*:
    - 3> consider inter-system mobility as initiated towards E-UTRA;
    - 3> forward the nas-SecurityParamFromEUTRA to the upper layers, if included;
    - 3> access the target cell indicated in the inter-RAT message in accordance with clause 5.4.2.3;
  - 2> else if the *targetRAT-Type* is set to *nr*:
    - 3> consider inter-RAT mobility as initiated towards NR;
    - 3> access the target cell indicated in the inter-RAT message in accordance with the specifications in TS 38.331 [82];
  - 2> else if the *targetRAT-Type* is set to *cdma2000-1XRTT* or *cdma2000-HRPD*:
    - 3> forward the *targetRAT-Type* and the *targetRAT-MessageContainer* to the CDMA2000 upper layers for the UE to access the cell(s) indicated in the inter-RAT message in accordance with the specifications of the CDMA2000 target-RAT;
- 1> else if the MobilityFromEUTRACommand message includes the purpose set to cellChangeOrder:
  - 2> start timer T304 with the timer value set to t304, as included in the MobilityFromEUTRACommand message;
  - 2> if the *targetRAT-Type* is set to *geran*:
    - 3> if networkControlOrder is included in the MobilityFromEUTRACommand message:
      - 4> apply the value as specified in TS 44.060 [36];
    - 3> else:
      - 4> acquire networkControlOrder and apply the value as specified in TS 44.060 [36];
    - 3> use the contents of *systemInformation*, if provided, as the system information to begin access on the target GERAN cell;
  - 2> establish the connection to the target cell indicated in the *CellChangeOrder*;
- NOTE 3: The criteria for success or failure of the cell change order to GERAN are specified in TS 44.060 [36].
- 1> if the MobilityFromEUTRACommand message includes the purpose set to e-CSFB:
  - 2> if *messageContCDMA2000-1XRTT* is present:
    - 3> forward the *messageContCDMA2000-1XRTT* to the CDMA2000 upper layers for the UE to access the cell(s) indicated in the inter-RAT message in accordance with the specification of the target RAT;
  - 2> if *mobilityCDMA2000-HRPD* is present and is set to *handover*:
    - 3> forward the *messageContCDMA2000-HRPD* to the CDMA2000 upper layers for the UE to access the cell(s) indicated in the inter-RAT message in accordance with the specification of the target RAT;
  - 2> if *mobilityCDMA2000-HRPD* is present and is set to *redirection*:
    - 3> forward the redirectCarrierCDMA2000-HRPD to the CDMA2000 upper layers;
- NOTE 4: When the CDMA2000 upper layers in the UE receive both the *messageContCDMA2000-1XRTT* and *messageContCDMA2000-HRPD* the UE performs concurrent access to both CDMA2000 1xRTT and CDMA2000 HRPD RAT.
- NOTE 5: The UE should perform the handover, the cell change order or enhanced 1xRTT CS fallback as soon as possible following the reception of the RRC message *MobilityFromEUTRACommand*, which could be before confirming successful reception (HARQ and ARQ) of this message.

#### 5.4.3.4 Successful completion of the mobility from E-UTRA

Upon successfully completing the handover, the cell change order or enhanced 1xRTT CS fallback, the UE shall:

- 1> if the *targetRAT-Type* in the received *MobilityFromEUTRACommand* is set to *eutra* (intra-E-UTRA inter-system HO):
  - 2> indicate to the upper layers associated to the source system the release of the RRC connection together with the release cause 'other';
  - 2> the procedure ends;
- 1> else if the UE was connected to 5GC prior to the reception of the *MobilityFromEUTRACommand* and the *targetRAT-Type* in the received *MobilityFromEUTRACommand* is set to *nr*:
  - 2> reset MAC;
  - 2> stop all timers that are running;
  - 2> release ran-NotificationAreaInfo, if stored;
  - 2> release the AS security context including the  $K_{RRCenc}$  key, the  $K_{RRCint}$ , the  $K_{UPint}$  key and the  $K_{UPenc}$  key, if stored:
  - 2> release all radio resources, including release of the RLC entity, the MAC configuration and the associated PDCP entity and SDAP entity for all established RBs;
- NOTE 1: PDCP and SDAP configured by the source configurations RAT prior to the handover that are reconfigured and re-used by target RAT when delta signalling (i.e., during inter-RAT intra-sytem handover when *fullConfig* is not present) is used, are not released as part of this procedure.
- 1> else:
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';
- NOTE 2: If the UE performs enhanced 1xRTT CS fallback along with concurrent mobility to CDMA2000 HRPD and the connection to either CDMA2000 1xRTT or CDMA2000 HRPD succeeds, then the mobility from E-UTRA is considered successful.

#### 5.4.3.5 Mobility from E-UTRA failure

- 1> if T304 configured in the MobilityFromEUTRACommand message expires (mobility from E-UTRA failure); or
- 1> if the UE does not succeed in establishing the connection to the target radio access technology; or
- 1> if the UE is unable to comply with (part of) the configuration included in the *MobilityFromEUTRACommand* message; or
- 1> if there is a protocol error in the inter RAT information included in the *MobilityFromEUTRACommand* message, causing the UE to fail the procedure according to the specifications applicable for the target RAT (i.e. according to sub-clause 5.3.5.6 if the *targetRAT-Type* in the received *MobilityFromEUTRACommand* is set to *eutra*):
  - 2> stop T304, if running;
  - 2> if the *cs-FallbackIndicator* in the *MobilityFromEUTRACommand* message was set to *TRUE* or *e-CSFB* was present:
    - 3> indicate to upper layers that the CS fallback procedure has failed;
  - 2> revert back to the configuration used in the source PCell, excluding the configuration configured by the *physicalConfigDedicated*, *mac-MainConfig* and *sps-Config*;
  - 2> initiate the connection re-establishment procedure as specified in 5.3.7;

NOTE: For enhanced CS fallback to CDMA2000 1xRTT, the above UE behavior applies only when the UE is attempting the enhanced 1xRTT CS fallback and connection to the target radio access technology fails or if the UE is attempting enhanced 1xRTT CS fallback along with concurrent mobility to CDMA2000 HRPD and connection to both the target radio access technologies fails.

# 5.4.4 Handover from E-UTRA preparation request (CDMA2000)

#### 5.4.4.1 General

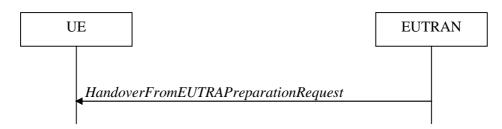


Figure 5.4.4.1-1: Handover from E-UTRA preparation request

The purpose of this procedure is to trigger the UE to prepare for handover or enhanced 1xRTT CS fallback to CDMA2000 by requesting a connection with this network. The UE may use this procedure to concurrently prepare for handover to CDMA2000 HRPD along with preparation for enhanced CS fallback to CDMA2000 1xRTT. This procedure applies to CDMA2000 capable UEs only.

This procedure is also used to trigger the UE which supports dual Rx/Tx enhanced 1xCSFB to redirect its second radio to CDMA2000 1xRTT.

The handover from E-UTRA preparation request procedure applies when signalling radio bearers are established.

#### 5.4.4.2 Initiation

E-UTRAN initiates the handover from E-UTRA preparation request procedure to a UE in RRC\_CONNECTED, possibly in response to a *MeasurementReport* message or CS fallback indication for the UE, by sending a *HandoverFromEUTRAPreparationRequest* message. E-UTRA initiates the procedure only when AS security has been activated.

#### 5.4.4.3 Reception of the HandoverFromEUTRAPreparationRequest by the UE

Upon reception of the HandoverFromEUTRAPreparationRequest message, the UE shall:

- 1> if *dualRxTxRedirectIndicator* is present in the received message:
  - 2> forward dualRxTxRedirectIndicator to the CDMA2000 upper layers;
  - 2> forward redirectCarrierCDMA2000-1XRTT to the CDMA2000 upper layers, if included;

#### 1> else:

- 2> indicate the request to prepare handover or enhanced 1xRTT CS fallback and forward the *cdma2000-Type* to the CDMA2000 upper layers;
- 2> if *cdma2000-Type* is set to *type1XRTT*:
  - 3> forward the rand and the mobilityParameters to the CDMA2000 upper layers;
- 2> if concurrPrepCDMA2000-HRPD is present in the received message:
  - 3> forward concurrPrepCDMA2000-HRPD to the CDMA2000 upper layers;
- 2> else:
  - 3> forward concurrPrepCDMA2000-HRPD, with its value set to FALSE, to the CDMA2000 upper layers;

# 5.4.5 UL handover preparation transfer (CDMA2000)

#### 5.4.5.1 General



Figure 5.4.5.1-1: UL handover preparation transfer

The purpose of this procedure is to tunnel the handover related CDMA2000 dedicated information or enhanced 1xRTT CS fallback related CDMA2000 dedicated information from UE to E-UTRAN when requested by the higher layers. The procedure is triggered by the higher layers on receipt of *HandoverFromEUTRAPreparationRequest* message. If preparing for enhanced CS fallback to CDMA2000 1xRTT and handover to CDMA2000 HRPD, the UE sends two consecutive *ULHandoverPreparationTransfer* messages to E-UTRAN, one per addressed CDMA2000 RAT Type. This procedure applies to CDMA2000 capable UEs only.

#### 5.4.5.2 Initiation

A UE in RRC\_CONNECTED initiates the UL handover preparation transfer procedure whenever there is a need to transfer handover or enhanced 1xRTT CS fallback related non-3GPP dedicated information. The UE initiates the UL handover preparation transfer procedure by sending the *ULHandoverPreparationTransfer* message.

# 5.4.5.3 Actions related to transmission of the *ULHandoverPreparationTransfer* message

The UE shall set the contents of the ULHandoverPreparationTransfer message as follows:

- 1> include the *cdma2000-Type* and the *dedicatedInfo*;
- 1> if the *cdma2000-Type* is set to *type1XRTT*:
  - 2> include the *meid* and set it to the value received from the CDMA2000 upper layers;
- 1> submit the *ULHandoverPreparationTransfer* message to lower layers for transmission, upon which the procedure ends;

# 5.4.5.4 Failure to deliver the *ULHandoverPreparationTransfer* message

The UE shall:

- 1> if the UE is unable to guarantee successful delivery of *ULHandoverPreparationTransfer* messages:
  - 2> inform upper layers about the possible failure to deliver the information contained in the concerned *ULHandoverPreparationTransfer* message;

# 5.4.6 Inter-RAT cell change order to E-UTRAN

#### 5.4.6.1 General

The purpose of the inter-RAT cell change order to E-UTRAN procedure is to transfer, under the control of the source radio access technology, a connection between the UE and another radio access technology (e.g. GSM/ GPRS) to E-UTRAN.

#### 5.4.6.2 Initiation

The procedure is initiated when a radio access technology other than E-UTRAN, e.g. GSM/GPRS, using procedures specific for that RAT, orders the UE to change to an E-UTRAN cell. In response, upper layers request the establishment of an RRC connection as specified in clause 5.3.3.

NOTE: Within the message used to order the UE to change to an E-UTRAN cell, the source RAT should specify the identity of the target E-UTRAN cell as specified in the specifications for that RAT.

The UE shall:

1> upon receiving an RRCConnectionSetup message:

2> consider the inter-RAT cell change order procedure to have completed successfully;

# 5.4.6.3 UE fails to complete an inter-RAT cell change order

If the inter-RAT cell change order fails the UE shall return to the other radio access technology and proceed as specified in the appropriate specifications for that RAT.

The UE shall:

1> upon failure to establish the RRC connection as specified in clause 5.3.3:

2> consider the inter-RAT cell change order procedure to have failed;

NOTE: The cell change was network ordered. Therefore, failure to change to the target PCell should not cause the UE to move to UE-controlled cell selection.

# 5.5 Measurements

#### 5.5.1 Introduction

The UE reports measurement information in accordance with the measurement configuration as provided by E-UTRAN. E-UTRAN provides the measurement configuration applicable for a UE in RRC\_CONNECTED by means of dedicated signalling, i.e. using the *RRCConnectionReconfiguration* or *RRCConnectionResume* message.

The UE can be requested to perform the following types of measurements:

- Intra-frequency measurements: measurements at the downlink carrier frequency(ies) of the serving cell(s).
- Inter-frequency measurements: measurements at frequencies that differ from any of the downlink carrier frequency(ies) of the serving cell(s).
- Inter-RAT measurements of NR frequencies.
- Inter-RAT measurements of UTRA frequencies.
- Inter-RAT measurements of GERAN frequencies.
- Inter-RAT measurements of CDMA2000 HRPD or CDMA2000 1xRTT or WLAN frequencies.
- CBR measurements.
- Sensing measurements.

The measurement configuration includes the following parameters:

- 1. **Measurement objects:** The objects on which the UE shall perform the measurements.
  - For intra-frequency and inter-frequency measurements a measurement object is a single E-UTRA carrier frequency. Associated with this carrier frequency, E-UTRAN can configure a list of cell specific offsets, a list of 'blacklisted' cells and a list of 'whitelisted' cells. Blacklisted cells are not considered in event evaluation or measurement reporting.

- For inter-RAT NR measurements a measurement object is a single NR carrier frequency. Associated with this carrier frequency, E-UTRAN can configure a list of 'blacklisted' cells. Blacklisted cells are not considered in event evaluation or measurement reporting.
- For inter-RAT UTRA measurements a measurement object is a set of cells on a single UTRA carrier frequency.
- For inter-RAT GERAN measurements a measurement object is a set of GERAN carrier frequencies.
- For inter-RAT CDMA2000 measurements a measurement object is a set of cells on a single (HRPD or 1xRTT) carrier frequency.
- For inter-RAT WLAN measurements a measurement object is a set of WLAN identifiers and optionally a set of WLAN frequencies.
- For CBR measurements and sensing measurements a measurement object is a set of transmission resource pools for V2X sidelink communication.
- NOTE 1: Some measurements using the above mentioned measurement objects, only concern a single cell, e.g. measurements used to report neighbouring cell system information, PCell UE Rx-Tx time difference, or a pair of cells, e.g. SSTD measurements between the PCell and the PSCell.
- 2. **Reporting configurations**: A list of reporting configurations where each reporting configuration consists of the following:
  - Reporting criterion: The criterion that triggers the UE to send a measurement report. This can either be periodical or a single event description.
  - Reporting format: The quantities that the UE includes in the measurement report and associated information (e.g. number of cells to report).
- 3. **Measurement identities**: A list of measurement identities where each measurement identity links one measurement object with one reporting configuration. By configuring multiple measurement identities it is possible to link more than one measurement object to the same reporting configuration, as well as to link more than one reporting configuration to the same measurement object. The measurement identity is used as a reference number in the measurement report.
- 4. **Quantity configurations:** One quantity configuration is configured per RAT type. The quantity configuration defines the measurement quantities and associated filtering used for all event evaluation and related reporting of that measurement type. One filter can be configured per measurement quantity, except for NR where the network may configure up to 2 sets of quantity configurations each comprising per measurement quantity seperate filters for cell and RS index measurement results. The quantity configuration set that applies for a given measurement is indicated within the NR measurement object.
- 5. **Measurement gaps:** Periods that the UE may use to perform measurements, i.e. no (UL, DL) transmissions are scheduled.

E-UTRAN only configures a single measurement object for a given frequency (except for WLAN and except for CBR measurements), i.e. it is not possible to configure two or more measurement objects for the same frequency with different associated parameters, e.g. different offsets and/ or blacklists. E-UTRAN may configure multiple instances of the same event e.g. by configuring two reporting configurations with different thresholds.

The UE maintains a single measurement object list, a single reporting configuration list, and a single measurement identities list. The measurement object list includes measurement objects, that are specified per RAT type, possibly including intra-frequency object(s) (i.e. the object(s) corresponding to the serving frequency(ies)), inter-frequency object(s) and inter-RAT objects. Similarly, the reporting configuration list includes E-UTRA and inter-RAT reporting configurations. Any measurement object can be linked to any reporting configuration of the same RAT type. Some reporting configurations may not be linked to a measurement object. Likewise, some measurement objects may not be linked to a reporting configuration.

The measurement procedures distinguish the following types of cells:

1. The serving cell(s) - these are the PCell and one or more SCells, if configured for a UE supporting CA or DC. Likewise, NR serving cell(s) are the NR PCell, NR PSCell and NR SCells, if the UE is configured with MR-DC.

- 2. Listed cells these are cells listed within the measurement object(s) or, for inter-RAT WLAN, the WLANs matching the WLAN identifiers configured in the measurement object or the WLAN the UE is connected to.
- 3. Detected cells these are cells that are not listed within the measurement object(s) but are detected by the UE on the carrier frequency(ies) indicated by the measurement object(s) or, for inter-RAT WLAN, the WLANs not included in the *measObjectWLAN* but meeting the triggering requirements.

For E-UTRA, the UE measures and reports on the serving cell(s), listed cells, detected cells, transmission resource pools for V2X sidelink communication, and, for RSSI and channel occupancy measurements, the UE measures and reports on any reception on the indicated frequency. For inter-RAT NR, the UE measures and reports on detected cells and, if configured with MR-DC, on NR serving cell(s). For inter-RAT UTRA, the UE measures and reports on listed cells and optionally on cells that are within a range for which reporting is allowed by E-UTRAN. For inter-RAT GERAN, the UE measures and reports on detected cells. For inter-RAT CDMA2000, the UE measures and reports on listed cells. For inter-RAT WLAN, the UE measures and reports on listed cells.

- NOTE 2: For inter-RAT UTRA and CDMA2000, the UE measures and reports also on detected cells for the purpose of SON.
- NOTE 3: This specification is based on the assumption that typically CSG cells of home deployment type are not indicated within the neighbour list. Furthermore, the assumption is that for non-home deployments, the physical cell identity is unique within the area of a large macro cell (i.e. as for UTRAN).

Whenever the procedural specification, other than contained in sub-clause 5.5.2, refers to a field it concerns a field included in the *VarMeasConfig* unless explicitly stated otherwise i.e. only the measurement configuration procedure covers the direct UE action related to the received *measConfig*.

# 5.5.2 Measurement configuration

#### 5.5.2.1 General

E-UTRAN applies the procedure as follows:

- to ensure that, whenever the UE has a measConfig, it includes a measObject for each LTE serving frequency;
- to configure at most one measurement identity using a reporting configuration with the *purpose* set to *reportCGI*;
- for E-UTRA serving frequencies, set the EARFCN within the corresponding *measObject* according to the band as used for reception/ transmission;
- to configure at most one measurement identity using a reporting configuration with *ul-DelayConfig*;
- to configure at most one measurement identity using a reporting configuration with reportSFTD-Meas;
- to configure at most one *MeasObjectNR* with the same *carrierFreq*;

- 1> if the received measConfig includes the measObjectToRemoveList:
  - 2> perform the measurement object removal procedure as specified in 5.5.2.4;
- 1> if the received *measConfig* includes the *measObjectToAddModList*:
  - 2> perform the measurement object addition/ modification procedure as specified in 5.5.2.5;
- 1> if the received *measConfig* includes the *reportConfigToRemoveList*:
  - 2> perform the reporting configuration removal procedure as specified in 5.5.2.6;
- 1> if the received *measConfig* includes the *reportConfigToAddModList*:
  - 2> perform the reporting configuration addition/ modification procedure as specified in 5.5.2.7;
- 1> if the received *measConfig* includes the *quantityConfig*:

- 2> perform the quantity configuration procedure as specified in 5.5.2.8;
- 1> if the received *measConfig* includes the *measIdToRemoveList*:
  - 2> perform the measurement identity removal procedure as specified in 5.5.2.2;
- 1> if the received measConfig includes the measIdToAddModList:
  - 2> perform the measurement identity addition/ modification procedure as specified in 5.5.2.3;
- 1> if the received measConfig includes the measGapConfig or measGapConfigPerCC-List:
  - 2> perform the measurement gap configuration procedure as specified in 5.5.2.9;
- 1> if the received *measConfig* includes the *measGapConfigDensePRS*:
  - 2> perform the measurement gap configuration procedure for RSTD measurements with dense PRS configuration as specified in 5.5.2.9a;
- 1> if the received *measConfig* includes the *measGapSharingConfig*:
  - 2> perform the measurement gap sharing configuration procedure as specified in 5.5.2.12;
- 1> if the received *measConfig* includes the *s-Measure*:
  - 2> set the parameter *s-Measure* within *VarMeasConfig* to the lowest value of the RSRP ranges indicated by the received value of *s-Measure*;
- 1> if the received *measConfig* includes the *preRegistrationInfoHRPD*:
  - 2> forward the *preRegistrationInfoHRPD* to CDMA2000 upper layers;
- 1> if the received *measConfig* includes the *speedStatePars*:
  - 2> set the parameter speedStatePars within VarMeasConfig to the received value of speedStatePars;
- 1> if the received *measConfig* includes the *allowInterruptions*:
  - 2> set the parameter *allowInterruptions* within *VarMeasConfig* to the received value of *allowInterruptions*;

#### 5.5.2.2 Measurement identity removal

The UE shall:

- 1> for each *measId* included in the received *measIdToRemoveList* that is part of the current UE configuration in *VarMeasConfig*:
  - 2> remove the entry with the matching measId from the measIdList within the VarMeasConfig;
  - 2> remove the measurement reporting entry for this measId from the VarMeasReportList, if included;
  - 2> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;
- NOTE: The UE does not consider the message as erroneous if the *measIdToRemoveList* includes any *measId* value that is not part of the current UE configuration.

# 5.5.2.2a Measurement identity autonomous removal

- 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:
  - 2> if the associated *reportConfig* concerns an event involving a serving cell while the concerned serving cell is not configured; or

- 2> if the associated *reportConfig* concerns an event involving a WLAN mobility set while the concerned WLAN mobility set is not configured; or
- 2> if the associated *reportConfig* concerns an event involving a transmission resource pool for V2X sidelink communication while the concerned resource pool is not configured; or
- 2> if the associated *reportConfig* concerns an event involving *reportSFTD-Meas* set to *pSCell* while the *nr-Config* is not configured:
  - 3> remove the *measId* from the *measIdList* within the *VarMeasConfig*;
  - 3> remove the measurement reporting entry for this measId from the VarMeasReportList, if included;
  - 3> stop the periodical reporting timer if running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;
- NOTE 1: The above UE autonomous removal of *measId*'s applies only for measurement events A1, A2, A6, and also applies for events A3 and A5 if configured for PSCell and W2 and W3 and V1 and V2 and event involving *reportSFTD-Meas* set to *pSCell*, if configured.
- NOTE 2: When performed during re-establishment, the UE is only configured with a primary frequency (i.e. the SCell(s) and WLAN mobility set are released, if configured).

#### 5.5.2.3 Measurement identity addition/ modification

E-UTRAN applies the procedure as follows:

- configure a *measId* only if the corresponding measurement object, the corresponding reporting configuration and the corresponding quantity configuration, are configured;

- 1> for each measId included in the received measIdToAddModList:
  - 2> if an entry with the matching measId exists in the measIdList within the VarMeasConfig:
    - 3> replace the entry with the value received for this *measId*;
  - 2> else:
    - 3> add a new entry for this *measId* within the *VarMeasConfig*;
  - 2> remove the measurement reporting entry for this measId from the VarMeasReportList, if included;
  - 2> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;
  - 2> if the triggerType is set to periodical and the purpose is set to reportCGI in the reportConfig associated with this measId:
    - 3> if the *measObject* associated with this *measId* concerns E-UTRA:
      - 4> if the *si-RequestForHO* is included in the *reportConfig* associated with this *measId*:
        - 5> if the UE is a category 0 UE according to TS 36.306 [5]:
          - 6> start timer T321 with the timer value set to 190 ms for this *measId*;
        - 5> else:
          - 6> start timer T321 with the timer value set to 150 ms for this *measId*;
      - 4> else:
        - 5> start timer T321 with the timer value set to 1 second for this *measId*;
    - 3> else if the *measObject* associated with this *measId* concerns UTRA:

- 4> if the *si-RequestForHO* is included in the *reportConfig* associated with this *measId*:
  - 5> for UTRA FDD, start timer T321 with the timer value set to 2 seconds for this measId;
  - 5> for UTRA TDD, start timer T321 with the timer value set to [1 second] for this measId;
- 4> else:
  - 5> start timer T321 with the timer value set to 8 seconds for this *measId*;
- 3> else if the *measObject* associated with this *measId* concerns NR:
  - 4> if the *measObject* associated with this *measId* concerns FR1:
    - 5> start timer T321 with the timer value set to 2 seconds for this *measId*;
  - 4> if the *measObject* associated with this *measId* concerns FR2:
    - 5> start timer T321 with the timer value set to 16 seconds for this *measId*:
- 3> else:
  - 4> start timer T321 with the timer value set to 8 seconds for this *measId*;

# 5.5.2.4 Measurement object removal

The UE shall:

- 1> for each *measObjectId* included in the received *measObjectToRemoveList* that is part of the current UE configuration in *VarMeasConfig*:
  - 2> remove the entry with the matching measObjectId from the measObjectList within the VarMeasConfig;
  - 2> remove all measId associated with this measObjectId from the measIdList within the VarMeasConfig, if any;
  - 2> if a *measId* is removed from the *measIdList*:
    - 3> remove the measurement reporting entry for this measId from the VarMeasReportList, if included;
    - 3> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

NOTE: The UE does not consider the message as erroneous if the *measObjectToRemoveList* includes any *measObjectId* value that is not part of the current UE configuration.

#### 5.5.2.5 Measurement object addition/ modification

- 1> for each measObjectId included in the received measObjectToAddModList:
  - 2> if an entry with the matching *measObjectId* exists in the *measObjectList* within the *VarMeasConfig*, for this entry:
    - 3> reconfigure the entry with the value received for this measObject, except for the fields cellsToAddModList, blackCellsToAddModList, whiteCellsToAddModList, altTTT-CellsToAddModList, cellsToRemoveList, blackCellsToRemoveList, whiteCellsToRemoveList, altTTT-CellsToRemoveList, measSubframePatternConfigNeigh, measDS-Config, wlan-ToAddModList, wlan-ToRemoveList, tx-ResourcePoolToRemoveList and tx-ResourcePoolToAddList;
    - 3> if the received *measObject* includes the *cellsToRemoveList*:
      - 4> for each *cellIndex* included in the *cellsToRemoveList*:
        - 5> remove the entry with the matching *cellIndex* from the *cellsToAddModList*;

- 3> if the received measObject includes the cellsToAddModList:
  - 4> for each cellIndex value included in the cellsToAddModList:
    - 5> if an entry with the matching *cellIndex* exists in the *cellsToAddModList*:
      - 6> replace the entry with the value received for this *cellIndex*;
    - 5> else:
      - 6> add a new entry for the received cellIndex to the cellsToAddModList;
- 3> if the received *measObject* includes the *blackCellsToRemoveList*:
  - 4> for each *cellIndex* included in the *blackCellsToRemoveList*:
    - 5> remove the entry with the matching *cellIndex* from the *blackCellsToAddModList*;
- NOTE 1: For each *cellIndex* included in the *blackCellsToRemoveList* that concerns overlapping ranges of cells, a cell is removed from the black list of cells only if all cell indexes containing it are removed.
  - 3> if the received *measObject* includes the *blackCellsToAddModList*:
    - 4> for each cellIndex included in the blackCellsToAddModList:
      - 5> if an entry with the matching *cellIndex* is included in the *blackCellsToAddModList*:
        - 6> replace the entry with the value received for this *cellIndex*;
      - 5> else:
        - 6> add a new entry for the received cellIndex to the blackCellsToAddModList;
  - 3> if the received *measObject* includes the *whiteCellsToRemoveList*:
    - 4> for each *cellIndex* included in the *whiteCellsToRemoveList*:
      - 5> remove the entry with the matching *cellIndex* from the *whiteCellsToAddModList*;
- NOTE 2: For each *cellIndex* included in the *whiteCellsToRemoveList* that concerns overlapping ranges of cells, a cell is removed from the white list of cells only if all cell indexes containing it are removed.
  - 3> if the received *measObject* includes the *whiteCellsToAddModList*:
    - 4> for each cellIndex included in the whiteCellsToAddModList:
      - 5> if an entry with the matching *cellIndex* is included in the *whiteCellsToAddModList*:
        - 6> replace the entry with the value received for this *cellIndex*;
      - 5> else:
        - 6> add a new entry for the received cellIndex to the whiteCellsToAddModList;
  - 3> if the received *measObject* includes the *altTTT-CellsToRemoveList*:
    - 4> for each cellIndex included in the altTTT-CellsToRemoveList:
      - 5> remove the entry with the matching *cellIndex* from the *altTTT-CellsToAddModList*;
- NOTE 3: For each *cellIndex* included in the *altTTT-CellsToRemoveList* that concerns overlapping ranges of cells, a cell is removed from the list of cells only if all cell indexes containing it are removed.
  - 3> if the received measObject includes the altTTT-CellsToAddModList:
    - 4> for each cellIndex value included in the altTTT-CellsToAddModList:
      - 5> if an entry with the matching *cellIndex* exists in the *altTTT-CellsToAddModList*:

- 6> replace the entry with the value received for this *cellIndex*;
- 5> else:
  - 6> add a new entry for the received cellIndex to the altTTT-CellsToAddModList;
- 3> if the received *measObject* includes *measSubframePatternConfigNeigh*:
  - 4> set measSubframePatternConfigNeigh within the VarMeasConfig to the value of the received field
- 3> if the received *measObject* includes *measDS-Config*:
  - 4> if *measDS-Config* is set to *setup*:
    - 5> if the received *measDS-Config* includes the *measCSI-RS-ToRemoveList*:
      - 6> for each measCSI-RS-Id included in the measCSI-RS-ToRemoveList:
        - 7> remove the entry with the matching measCSI-RS-Id from the measCSI-RS-ToAddModList;
    - 5> if the received *measDS-Config* includes the *measCSI-RS-ToAddModList*, for each *measCSI-RS-Id* value included in the *measCSI-RS-ToAddModList*:
      - 6> if an entry with the matching measCSI-RS-Id exists in the measCSI-RS-ToAddModList:
        - 7> replace the entry with the value received for this *measCSI-RS-Id*;
      - 6> else:
        - 7> add a new entry for the received measCSI-RS-Id to the measCSI-RS-ToAddModList;
    - 5> set other fields of the *measDS-Config* within the *VarMeasConfig* to the value of the received fields:
    - 5> perform the discovery signals measurement timing configuration procedure as specified in 5.5.2.10:
  - 4> else:
    - 5> release the discovery signals measurement configuration;
- 3> if the received *measObject* modifies fields other than *cellsForWhichToReportSFTD*:
  - 4> for each *measId* associated with this *measObjectId* in the *measIdList* within the *VarMeasConfig*, if any:
    - 5> remove the measurement reporting entry for this measId from the VarMeasReportList, if included;
    - 5> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;
- 3> if the received measObject includes the wlan-ToRemoveList:
  - 4> for each WLAN-Identifiers included in the wlan-ToRemoveList:
    - 5> remove the entry with the matching WLAN-Identifiers from the wlan-ToAddModList;
- NOTE 3a: Matching of WLAN-Identifiers requires that all WLAN identifier fields should be same.
  - 3> if the received measObject includes the wlan-ToAddModList:
    - 4> for each WLAN-Identifiers included in the wlan-ToAddModList:
      - 5> add a new entry for the received WLAN-Identifiers to the wlan-ToAddModList;
  - 3> if the received *measObject* includes the *tx-ResourcePoolToRemoveList*:
    - 4> for each transmission resource pool indicated in tx-ResourcePoolToRemoveList:

- 5> remove the entry with the matching identity of the transmission resource pool from the *tx-ResourcePoolToAddList*;
- 3> if the received *measObject* includes the *tx-ResourcePoolToAddList*:
  - 4> for each transmission resource pool indicated in tx-ResourcePoolToAddList:
    - 5> add a new entry for the received identity of the transmission resource pool to the *tx-ResourcePoolToAddList*;

2> else:

3> add a new entry for the received *measObject* to the *measObjectList* within *VarMeasConfig*;

NOTE 4: UE does not need to retain *cellForWhichToReportCGI* in the *measObject* after reporting *cgi-Info*.

# 5.5.2.6 Reporting configuration removal

The UE shall:

- 1> for each *reportConfigId* included in the received *reportConfigToRemoveList* that is part of the current UE configuration in *VarMeasConfig*:
  - 2> remove the entry with the matching reportConfigId from the reportConfigList within the VarMeasConfig;
  - 2> remove all measId associated with the reportConfigId from the measIdList within the VarMeasConfig, if any;
  - 2> if a *measId* is removed from the *measIdList*:
    - 3> remove the measurement reporting entry for this measId from the VarMeasReportList, if included;
    - 3> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

NOTE: The UE does not consider the message as erroneous if the *reportConfigToRemoveList* includes any *reportConfigId* value that is not part of the current UE configuration.

#### 5.5.2.7 Reporting configuration addition/ modification

The UE shall:

- 1> for each reportConfigId included in the received reportConfigToAddModList:
  - 2> if an entry with the matching *reportConfigId* exists in the *reportConfigList* within the *VarMeasConfig*, for this entry:
    - 3> reconfigure the entry with the value received for this *reportConfig*;
    - 3> for each *measId* associated with this *reportConfigId* included in the *measIdList* within the *VarMeasConfig*, if any:
      - 4> remove the measurement reporting entry for this measId from in VarMeasReportList, if included;
      - 4> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

2> else:

3> add a new entry for the received reportConfig to the reportConfigList within the VarMeasConfig;

#### 5.5.2.8 Quantity configuration

The UE shall:

1> for each RAT for which the received *quantityConfig* includes parameter(s):

- 2> set the corresponding parameter(s) in *quantityConfig* within *VarMeasConfig* to the value of the received *quantityConfig* parameter(s);
- 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:
  - 2> remove the measurement reporting entry for this measId from the VarMeasReportList, if included;
  - 2> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

#### 5.5.2.9 Measurement gap configuration

The UE shall:

- 1> if measGapConfig is set to setup:
  - 2> if a measurement gap configuration *measGapConfig* or *measGapConfigPerCC-List* is already setup, release the measurement gap configuration;
  - 2> if the gapOffset in *measGapConfig* indicates a non-uniform gap pattern:
    - 3> setup the measurement gap configuration indicated by the *measGapConfig* in accordance with the received *gapOffset*, i.e., the first subframe of the first gap of each non-uniform gap pattern occurs at an SFN and subframe meeting the following condition (SFN and subframe of MCG cells):

```
SFN mod T = \text{FLOOR}(gapOffset/10);

subframe = gapOffset \mod 10;

with T = \text{LMGRP}/10 as defined in TS 36.133 [16];
```

#### 2> else:

3> setup the measurement gap configuration indicated by the *measGapConfig* in accordance with the received *gapOffset*, i.e., the first subframe of each gap occurs at an SFN and subframe meeting the following condition (SFN and subframe of MCG cells):

```
SFN mod T = \text{FLOOR}(gapOffset/10);

subframe = gapOffset \mod 10;

with T = \text{MGRP}/10 as defined in TS 36.133 [16];
```

- 2> if (NG)EN-DC is configured:
  - 3> if the UE is configured with fr1-Gap set to TRUE:
    - 4> apply the gap configuration for LTE serving cells and for NR serving cells on FR1;
  - 3> else:
    - 4> apply the gap configuration for all LTE and NR serving cells;
- 2> if *mgta* is set to *TRUE*, apply a timing advance value of 0.5ms to the gap occurrences calculated above according to TS 38.133 [16];
- NOTE 1: The UE applies a single gap, which timing is relative to the MCG cells, even when configured with DC. In case of (NG)EN-DC, the UE may either be configured with a single (common) gap or with two separate gaps i.e. a first one for FR1 (configured by E-UTRA RRC) and a second one for FR2 (configured by NR RRC).
- 1> else if *measGapConfig* is set to *release*:
  - 2> release the measurement gap configuration measGapConfig;
- 1> if measGapConfigPerCC-List is set to setup:

- 2> if a measurement gap configuration measGapConfig is already setup, release measGapConfig;
- 2> if *measGapConfigToRemoveList* is included:
  - 3> for each ServCellIndex included in the measGapConfigToRemoveList:
    - 4> release measGapConfigCC for the serving cell indicated by servCellId;
- 2> if measGapConfigToAddModList is included:
  - 3> for each ServCellIndex included in the measGapConfigToAddModList:
    - 4> store measGapConfigCC for the serving cell indicated by servCellId;
- 2> for each serving cell with stored *measGapConfigCC* indicating a non-uniform gap pattern, setup the measurement gap configuration indicated by the *measGapConfigCC* in accordance with the received *gapOffset*, i.e., the first subframe of the first gap of each non-uniform gap pattern occurs at an SFN and subframe meeting the following condition (SFN and subframe of MCG cells):

```
SFN mod T = \text{FLOOR}(gapOffset/10);

subframe = gapOffset \mod 10;

with T = \text{LMGRP}/10 as defined in TS 36.133 [16];
```

2> for each serving cell with stored *measGapConfigCC* not indicating a non-uniform gap pattern, setup the measurement gap configuration indicated by the *measGapConfigCC* in accordance with the received *gapOffset*, i.e., the first subframe of each gap occurs at an SFN and subframe meeting the following condition (SFN and subframe of MCG cells):

```
SFN mod T = \text{FLOOR}(gapOffset/10);

subframe = gapOffset \mod 10;

with T = \text{MGRP}/10 as defined in TS 36.133 [16];
```

NOTE 2: The UE applies gap timing relative to the MCG cells, even when configured with DC.

- 1> else (*measGapConfigPerCC-List* is set to *release*):
  - 2> release the measurement gap configuration measGapConfigPerCC-List;
- NOTE 3: When a SCell is released, the UE is not required to apply a per CC measurement gap configuration associated to the SCell.

# 5.5.2.9a Measurement gap configuration for RSTD measurements with dense PRS configuration

- 1> if measGapConfigDensePRS is set to setup:
  - 2> setup the measurement gap configuration indicated by the *measGapConfigDensePRS* in accordance with the received *gapOffsetDensePRS*, i.e., the first subframe of each gap occurs at an SFN and subframe meeting the following condition:

```
SFN mod T = \text{FLOOR}(gapOffsetDensePRS/10);

subframe = gapOffsetDensePRS \mod 10;

with T = \text{MGRP}/10 as defined in TS 36.133 [16];
```

# 5.5.2.10 Discovery signals measurement timing configuration

The UE shall setup the discovery signals measurement timing configuration (DMTC) in accordance with the received *dmtc-PeriodOffset*, i.e., the first subframe of each DMTC occasion occurs at an SFN and subframe of the PCell meeting the following condition:

```
SFN mod T = \text{FLOOR}(dmtc\text{-}Offset/10);

subframe = dmtc\text{-}Offset \mod 10;

with T = dmtc\text{-}Periodicity/10;
```

On the concerned frequency, the UE shall not consider discovery signals transmission in subframes outside the DMTC occasion for measurements including RRM measurements.

#### 5.5.2.11 RSSI measurement timing configuration

The UE shall setup the RSSI measurement timing configuration (RMTC) in accordance with the received *rmtc-Period*, *rmtc-SubframeOffset* if configured otherwise determined by the UE randomly, i.e. the first symbol of each RMTC occasion occurs at first symbol of an SFN and subframe of the PCell meeting the following condition:

```
SFN mod T = \text{FLOOR}(rmtc\text{-}SubframeOffset/10);

subframe = rmtc\text{-}SubframeOffset \mod 10;

with T = rmtc\text{-}Period/10;
```

On the concerned frequency, the UE shall not consider RSSI measurements outside the configured RMTC occasion which lasts for *measDuration* for RSSI and channel occupancy measurements.

# 5.5.2.12 Measurement gap sharing configuration

The UE shall:

- 1> if *measGapSharingConfig* is set to *setup*:
  - 2> if a measurement gap sharing configuration is already setup, release the measurement gap sharing configuration;
  - 2> setup the measurement gap sharing configuration indicated by the *measGapSharingConfig* in accordance with the received *measGapSharingScheme* as defined in TS 36.133 [16];

NOTE: In case of (NG)EN-DC, the UE may either be configured with a single (common) gap sharing or with two separate gap sharing configurations, i.e. a first one for FR1 (configured by E-UTRA RRC) and a second one for FR2 (configured by NR RRC). For the case of per FR gap configuration, the gap sharing configured here (i.e. E-UTRA RRC) is applicable only for FR1 gap.

1> else:

2> release the measurement gap sharing configuration;

# 5.5.2.13 NR measurement timing configuration

The UE shall setup the first SS/PBCH block measurement timing configuration (SMTC) in accordance with the received *periodicityAndOffset* (providing *Periodicity* and *Offset* value for the following condition) in the *MTC-SSB-NR* configuration i.e., the first subframe of each SMTC occasion occurs at an SFN and subframe of the PCell meeting the following condition:

```
SFN mod T = FLOOR(Offset/10);
if the Periodicity is larger than sf5:
subframe = Offset mod 10;
else:
```

subframe = Offset or (Offset +5);

with T = CEIL(Periodicity/10).

On the concerned frequency, the UE shall not consider SS/PBCH block transmission in subframes outside the SMTC occasion which lasts for *ssb-Duration* for measurements including RRM measurements except for SFTD measurement (see TS 36.133 [16], clause 8.1.2.4.25.2 and 8.1.2.4.26.1).

# 5.5.3 Performing measurements

#### 5.5.3.1 General

For all measurements, except for UE Rx–Tx time difference measurements, RSSI, UL PDCP Packet Delay per QCI measurement, channel occupancy measurements, CBR measurement, sensing measurement and except for WLAN measurements of Band, Carrier Info, Available Admission Capacity, Backhaul Bandwidth, Channel Utilization, and Station Count, the UE applies the layer 3 filtering as specified in 5.5.3.2, before using the measured results for evaluation of reporting criteria or for measurement reporting. When performing measurements on NR carriers, the UE derives the cell quality as specified in 5.5.3.3 and the beam quality as specified in 5.5.3.4.

- 1> whenever the UE has a measConfig, perform RSRP and RSRQ measurements for each serving cell as follows:
  - 2> for the PCell, apply the time domain measurement resource restriction in accordance with *measSubframePatternPCell*, if configured;
  - 2> if the UE supports CRS based discovery signals measurement:
    - 3> for each SCell in deactivated state, apply the discovery signals measurement timing configuration in accordance with *measDS-Config*, if configured within the *measObject* corresponding to the frequency of the SCell;
- 1> if the UE has a *measConfig* with *rs-sinr-Config* configured, perform RS-SINR (as indicated in the associated *reportConfig*) measurements as follows:
  - 2> perform the corresponding measurements on the frequency indicated in the associated *measObject* using available idle periods or using autonomous gaps as necessary;
- 1> for each measId included in the measIdList within VarMeasConfig:
  - 2> if the *purpose* for the associated *reportConfig* is set to *reportCGI*:
    - 3> if the RAT indicated in the associated *measObject* is not NR:
      - 4> if si-RequestForHO is configured for the associated reportConfig:
        - 5> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using autonomous gaps as necessary;
      - 4> else:
        - 5> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using available idle periods or using autonomous gaps as necessary;
    - 3> else:
      - 4> perform the corresponding measurements on the NR frequency indicated in the associated *measObject* using available idle periods;
- NOTE 1: If autonomous gaps are used to perform measurements, the UE is allowed to temporarily abort communication with all serving cell(s), i.e. create autonomous gaps to perform the corresponding measurements within the limits specified in TS 36.133 [16]. Otherwise, the UE only supports the measurements with the purpose set to *reportCGI* only if E-UTRAN has provided sufficient idle periods.

- 3> try to acquire the global cell identity of the cell indicated by the *cellForWhichToReportCGI* in the associated *measObject* by acquiring the relevant system information from the concerned cell;
- 3> if an entry in the *cellAccessRelatedInfoList* includes the selected PLMN, acquire the relevant system information from the concerned cell;
- 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is an E-UTRAN cell:
  - 4> try to acquire the CSG identity, if the CSG identity is broadcast in the concerned cell;
  - 4> try to acquire the *trackingAreaCode* in the concerned cell;
  - 4> try to acquire the list of additional PLMN Identities, as included in the *plmn-IdentityList*, if multiple PLMN identities are broadcast in the concerned cell;
  - 4> if *cellAccessRelatedInfoList* is included, use *trackingAreaCode* and *plmn-IdentityList* from the entry of *cellAccessRelatedInfoList* containing the selected PLMN;
  - 4> if the *includeMultiBandInfo* is configured:
    - 5> try to acquire the freqBandIndicator in the SystemInformationBlockType1of the concerned cell;
    - 5> try to acquire the list of additional frequency band indicators, as included in the *multiBandInfoList*, if multiple frequency band indicators are included in the *SystemInformationBlockType1* of the concerned cell;
    - 5> try to acquire the *freqBandIndicatorPriority*, if the *freqBandIndicatorPriority* is included in the *SystemInformationBlockType1* of the concerned cell;
  - 4> if *cellAccessRelatedInfoList-5GC* is broadcast in the concerned cell and the UE is E-UTRA/5GC capable:
    - 5> try to acquire the *cellAccessRelatedInfoList-5GC*;
- NOTE 2: The 'primary' PLMN is part of the global cell identity.
  - 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a UTRAN cell:
    - 4> try to acquire the LAC, the RAC and the list of additional PLMN Identities, if multiple PLMN identities are broadcast in the concerned cell;
    - 4> try to acquire the CSG identity, if the CSG identity is broadcast in the concerned cell;
  - 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a GERAN cell:
    - 4> try to acquire the RAC in the concerned cell;
  - 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a CDMA2000 cell and the *cdma2000-Type* included in the *measObject* is *typeHRPD*:
    - 4> try to acquire the Sector ID in the concerned cell;
  - 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a CDMA2000 cell and the *cdma2000-Type* included in the *measObject* is *type1XRTT*:
    - 4> try to acquire the BASE ID, SID and NID in the concerned cell;
  - 3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *MeasObject* is an NR cell:
    - 4> if the indicated cell is broadcasting SIB1 (see TS 38.213 [88], clause 13):
      - 5> try to acquire the plmn-IdentityInfoList including plmn-IdentityList, trackingAreaCode (if available), ran-AreaCode (if available) and cellIdentity for each entry of the plmn-IdentityInfoList;

- 5> try to acquire the frequencyBandList, if multiple frequency bands are broadcasted in the concerned cell;
- 2> if the *ul-DelayConfig* is configured for the associated *reportConfig*:
  - 3> ignore the *measObject*;
  - 3> configure the PDCP layer to perform UL PDCP Packet Delay per QCI measurement;

#### 2> else:

- 3> if a measurement gap configuration is setup; or
- 3> if the UE does not require measurement gaps to perform the concerned measurements:
  - 4> if *s-Measure* is not configured; or
  - 4> if the UE is not in NE-DC and the PCell RSRP, after layer 3 filtering, is lower than s-Measure; or
  - 4> if the UE is in NE-DC and the PSCell RSRP, after layer 3 filtering, is lower than s-Measure; or
  - 4> if the associated measObject concerns NR; or
  - 4> if measDS-Config is configured in the associated measObject:
    - 5> if the UE supports CSI-RS based discovery signals measurement; and
    - 5> if the *eventId* in the associated *reportConfig* is set to *eventC1* or *eventC2*, or if *reportStrongestCSI-RSs* is set to *true* in the associated *reportConfig*:
      - 6> perform the corresponding measurements of CSI-RS resources on the frequency indicated in the concerned *measObject*, applying the discovery signals measurement timing configuration in accordance with *measDS-Config* in the concerned *measObject*;
      - 6> if reportCRS-Meas is set to true in the associated reportConfig, perform the corresponding measurements of neighbouring cells on the frequencies indicated in the concerned measObject as follows:
        - 7> for neighbouring cells on the primary frequency, apply the time domain measurement resource restriction in accordance with *measSubframePatternConfigNeigh*, if configured in the concerned *measObject*;
        - 7> apply the discovery signals measurement timing configuration in accordance with *measDS-Config* in the concerned *measObject*;

#### 5> else:

- 6> perform the corresponding measurements of neighbouring cells on the frequencies and RATs indicated in the concerned *measObject* as follows:
  - 7> for neighbouring cells on the primary frequency, apply the time domain measurement resource restriction in accordance with *measSubframePatternConfigNeigh*, if configured in the concerned *measObject*;
  - 7> if the UE supports CRS based discovery signals measurement, apply the discovery signals measurement timing configuration in accordance with *measDS-Config*, if configured in the concerned *measObject*;
- 4> if the *ue-RxTxTimeDiffPeriodical* is configured in the associated *reportConfig*:
  - 5> perform the UE Rx–Tx time difference measurements on the PCell;
- 4> if the reportSSTD-Meas is set to true or pSCell in the associated reportConfig:
  - 5> perform SSTD measurements between the PCell and the PSCell;
- 4> if the *reportSFTD-Meas* is set to *pSCell* in the associated *reportConfig*:

- 5> perform SFTD measurements between the PCell and the NR PSCell;
- 4> if the reportSFTD-Meas is set to neighborCells in the associated reportConfig:
  - 5> perform SFTD measurements between the PCell and NR cell(s) on the frequency indicated in the associated *measObject*;
- 4> if the measRSSI-ReportConfig is configured in the associated reportConfig:
  - 5> perform the RSSI and channel occupancy measurements on the frequency indicated in the associated *measObject*;
- 2> perform the evaluation of reporting criteria as specified in 5.5.4;

The UE capable of CBR measurement when configured to transmit non-P2X related V2X sidelink communication shall:

- 1> if in coverage on the frequency used for V2X sidelink communication transmission as defined in TS 36.304 [4], clause 11.4; or
- 1> if the concerned frequency is included in *v2x-InterFreqInfoList* in *RRCConnectionReconfiguration* or in *v2x-InterFreqInfoList* within *SystemInformationBlockType21* or *SystemInformationBlockType26*:
  - 2> if the UE is in RRC\_IDLE:
    - 3> if the concerned frequency is the camped frequency:
      - 4> perform CBR measurement on the pools in v2x-CommTxPoolNormalCommon and v2x-CommTxPoolExceptional if included in SystemInformationBlockType21;
    - 3> else if v2x-CommTxPoolNormal or v2x-CommTxPoolExceptional is included in v2x-InterFreqInfoList for the concerned frequency within SystemInformationBlockType21 or SystemInformationBlockType26:
      - 4> perform CBR measurement on pools in v2x-CommTxPoolNormal and v2x-CommTxPoolExceptional in v2x-InterFreqInfoList for the concerned frequency in SystemInformationBlockType21 or SystemInformationBlockType26;
    - 3> else if the concerned frequency broadcasts *SystemInformationBlockType21*:
      - 4> perform CBR measurement on pools in v2x-CommTxPoolNormalCommon and v2x-CommTxPoolExceptional if included in SystemInformationBlockType21 broadcast on the concerned frequency;
  - 2> if the UE is in RRC CONNECTED:
    - 3> if *tx-ResourcePoolToAddList* is included in *VarMeasConfig*:
      - 4> perform CBR measurements on each resource pool indicated in tx-ResourcePoolToAddList;
    - 3> if the concerned frequency is the PCell's frequency:
      - 4> perform CBR measurement on the pools in v2x-CommTxPoolNormalDedicated or v2x-SchedulingPool if included in RRCConnectionReconfiguration, v2x-CommTxPoolExceptional if included in SystemInformationBlockType21 for the concerned frequency and v2x-CommTxPoolExceptional if included in mobilityControlInfoV2X;
    - 3> else if v2x-CommTxPoolNormal, v2x-SchedulingPool or v2x-CommTxPoolExceptional is included in v2x-InterFreqInfoList for the concerned frequency within RRCConnectionReconfiguration:
      - 4> perform CBR measurement on pools in v2x-CommTxPoolNormal, v2x-SchedulingPool, and v2x-CommTxPoolExceptional if included in v2x-InterFreqInfoList for the concerned frequency in RRCConnectionReconfiguration;
    - 3> else if the concerned frequency broadcasts SystemInformationBlockType21:
      - 4> perform CBR measurement on pools in v2x-CommTxPoolNormalCommon and v2x-CommTxPoolExceptional if included in SystemInformationBlockType21 for the concerned frequency;

1> else:

2> perform CBR measurement on pools in *v2x-CommTxPoolList* in *SL-V2X-Preconfiguration* for the concerned frequency;

The UE capable of sensing measurement, with *commTxResources* set to *scheduled*, shall:

- 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:
  - 2> if measSensing-Config is configured in the associated measObject
    - 3> perform the sensing measurement in accordance with TS 36.213 [23] on the pools of *v2x-SchedulingPool* and also indicated in *tx-ResourcePoolToAddList* in the associated *measObject*, using *sensingSubchannelNumber*, *sensingPeriodicity*, *sensingReselectionCounter* and *sensingPriority*.
- NOTE 3: The *s-Measure* defines when the UE is required to perform measurements. The UE is however allowed to perform measurements also when the PCell RSRP (or PSCell RSRP, if the UE is in NE-DC) exceeds *s-Measure*, e.g., to measure cells broadcasting a CSG identity following use of the autonomous search function as defined in TS 36.304 [4].
- NOTE 4: The UE may not perform the WLAN measurements it is configured with e.g. due to connection to another WLAN based on user preferences as specified in TS 23.402 [75] or due to turning off WLAN.

#### 5.5.3.2 Layer 3 filtering

The UE shall:

- 1> for each measurement quantity that the UE performs measurements according to 5.5.3.1:
- NOTE 1: This does not include quantities configured solely for UE Rx-Tx time difference, SSTD measurements and RSSI, channel occupancy measurements, WLAN measurements of Band, Carrier Info, Available Admission Capacity, Backhaul Bandwidth, Channel Utilization, and Station Count, CBR measurement, sensing measurement and UL PDCP Packet Delay per QCI measurement i.e. for those types of measurements the UE ignores the *triggerQuantity* and *reportQuantity*.
  - 2> filter the measured result, before using for evaluation of reporting criteria or for measurement reporting, by the following formula:

$$F_n = (1-a) \cdot F_{n-1} + a \cdot M_n$$

where

 $M_n$  is the latest received measurement result from the physical layer;

 $F_n$  is the updated filtered measurement result, that is used for evaluation of reporting criteria or for measurement reporting;

 $F_{n-1}$  is the old filtered measurement result, where  $F_{\theta}$  is set to  $M_1$  when the first measurement result from the physical layer is received; and

except for NR,  $\mathbf{a} = 1/2^{(k/4)}$ , where  $\mathbf{k}$  is the *filterCoefficient* for the corresponding measurement quantity received by the *quantityConfig*; for NR,  $\mathbf{a} = 1/2^{(ki/4)}$ , where  $\mathbf{k}_i$  is the *filterCoefficient* for the corresponding measurement quantity of the i:th *QuantityConfigNR* in *quantityConfigNRList*, and i is indicated by *quantityConfigSet* in *MeasObjectNR*;

- 2> adapt the filter such that the time characteristics of the filter are preserved at different input rates, observing that the *filterCoefficient k* assumes a sample rate equal to 200 ms;
- NOTE 2: If k is set to 0, no layer 3 filtering is applicable.
- NOTE 3: The filtering is performed in the same domain as used for evaluation of reporting criteria or for measurement reporting, i.e., logarithmic filtering for logarithmic measurements.
- NOTE 4: The filter input rate is implementation dependent, to fulfil the performance requirements set in TS 36.133 [16]. For further details about the physical layer measurements, see TS 36.133 [16].

# 5.5.3.3 Derivation of NR cell quality

The UE shall:

- 1> if the associated measObject includes maxRS-IndexCellQual; and
- 1> if there are multiple detected NR-SS beams associated to the cell; and
- 1> if *threshRS-Index* is configured and if for more than one of the NR-SS beams the measured result exceeds this threshold:
  - 2> consider the cell quality to be the linear average of the power values of the, up to *maxRS-IndexCellQual*, best of the detected NR-SS beams exceeding *threshRS-Index*;

1> else:

2> consider the cell quality to be the measurement result of the detected NR-SS beam, associated to the cell, with the highest measurement result;

#### 5.5.3.4 Derivation of NR beam quality

The UE shall:

1> consider the beam quality to be the value resulting after layer 3 filtering, as specified in 5.5.3.2, of the measurement results of the concerned beam, where each result is averaged as described in TS 38.215 [89];

# 5.5.4 Measurement report triggering

#### 5.5.4.1 General

If security has been activated successfully, the UE shall:

- 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:
  - 2> if the corresponding reportConfig includes a purpose set to reportStrongestCellsForSON:
    - 3> consider any neighbouring cell detected on the associated frequency to be applicable;
  - 2> else if the corresponding reportConfig includes a purpose set to reportCGI:
    - 3> consider any neighbouring cell detected on the associated frequency/ set of frequencies (GERAN) which has a physical cell identity matching the value of the *cellForWhichToReportCGI* included in the corresponding *measObject* within the *VarMeasConfig* to be applicable;

#### 2> else:

- 3> if the corresponding *measObject* concerns E-UTRA:
  - 4> if the *ue-RxTxTimeDiffPeriodical* is configured in the corresponding *reportConfig*:
    - 5> consider only the PCell to be applicable;
  - 4> else if the *reportSSTD-Meas* is set to *true* in the corresponding *reportConfig*:
    - 5> consider the PSCell to be applicable;
  - 4> else if the eventA1 or eventA2 is configured in the corresponding reportConfig:
    - 5> consider only the serving cell to be applicable;
  - 4> else if *eventC1* or *eventC2* is configured in the corresponding *reportConfig*; or if *reportStrongestCSI-RSs* is set to *true* in the corresponding *reportConfig*:

- 5> consider a CSI-RS resource on the associated frequency to be applicable when the concerned CSI-RS resource is included in the *measCSI-RS-ToAddModList* defined within the *VarMeasConfig* for this *measId*;
- 4> else if *measRSSI-ReportConfig* is configured in the corresponding *reportConfig*:
  - 5> consider the resource indicated by the *rmtc-Config* on the associated frequency to be applicable;
- 4> else:
  - 5> if useWhiteCellList is set to TRUE:
    - 6> consider any neighbouring cell detected on the associated frequency to be applicable when the concerned cell is included in the *whiteCellsToAddModList* defined within the *VarMeasConfig* for this *measId*;
  - 5> else:
    - 6> consider any neighbouring cell detected on the associated frequency to be applicable when the concerned cell is not included in the blackCellsToAddModList defined within the VarMeasConfig for this measId;
  - 5> for events involving a serving cell on one frequency and neighbours on another frequency, consider the serving cell on the other frequency as a neighbouring cell;
- 4> if the corresponding *reportConfig* includes *alternativeTimeToTrigger* and if the UE supports *alternativeTimeToTrigger*:
  - 5> use the value of *alternativeTimeToTrigger* as the time to trigger instead of the value of *timeToTrigger* in the corresponding *reportConfig* for cells included in the *altTTT-CellsToAddModList* of the corresponding *measObject*;
- 3> else if the corresponding *measObject* concerns UTRA or CDMA2000:
  - 4> consider a neighbouring cell on the associated frequency to be applicable when the concerned cell is included in the *cellsToAddModList* defined within the *VarMeasConfig* for this *measId* (i.e. the cell is included in the white-list);
- NOTE 0: The UE may also consider a neighbouring cell on the associated UTRA frequency to be applicable when the concerned cell is included in the *csg-allowedReportingCells* within the *VarMeasConfig* for this *measId*, if configured in the corresponding *measObjectUTRA* (i.e. the cell is included in the range of physical cell identities for which reporting is allowed).
  - 3> else if the corresponding *measObject* concerns GERAN:
    - 4> consider a neighbouring cell on the associated set of frequencies to be applicable when the concerned cell matches the *ncc-Permitted* defined within the *VarMeasConfig* for this *measId*;
  - 3> else if the corresponding measObject concerns WLAN:
    - 4> consider a WLAN on the associated set of frequencies, as indicated by *carrierFreq* or on all WLAN frequencies when *carrierFreq* is not present, to be applicable if the WLAN matches all WLAN identifiers of at least one entry within *wlan-Id-List* for this *measId*;
  - 3> else if the corresponding *measObject* concerns NR:
    - 4> if the *reportSFTD-Meas* is set to *pSCell* in the corresponding *reportConfigInterRAT*:
      - 5> consider the PSCell to be applicable;
    - 4> else if the reportSFTD-Meas is set to neighborCells in the corresponding reportConfigInterRAT:
      - 5> if *cellsForWhichToReportSFTD* is configured in the corresponding *measObjectNR*:
        - 6> consider any neighbouring NR cell on the associated frequency that is included in *cellsForWhichToReportSFTD* to be applicable;

- 5> else:
  - 6> consider up to 3 strongest neighbouring NR cells detected on the associated frequency to be applicable when the concerned cells are not included in the *blackCellsToAddModList* defined within the *VarMeasConfig* for this measId;
- 4> else:
  - 5> if the *eventB1* or *eventB2* is configured in the corresponding *reportConfig*:
    - 6> consider a serving cell, if any, on the associated NR frequency as neighbouring cell;
  - 5> consider any neighbouring cell detected on the associated frequency to be applicable when the concerned cell is not included in the *blackCellsToAddModList* defined within the *VarMeasConfig* for this *measId*:
- 2> if *tx-ResourcePoolToAddList* is configured in the *measObject*, and if the corresponding *reportConfig* includes a purpose set to *sidelink* or includes *eventV1* or *eventV2*:
  - 3> consider the transmission resource pools indicated by the *tx-ResourcePoolToAddList* defined within the *VarMeasConfig* for this *measId* to be applicable;
- 2> if the corresponding *reportConfig* includes a purpose set to *reportLocation*:
  - 3> consider only the PCell to be applicable;
- 2> if the triggerType is set to event, and if the corresponding reportConfig does not include numberOfTriggeringCells, and if the entry condition applicable for this event, i.e. the event corresponding with the eventId of the corresponding reportConfig within VarMeasConfig, is fulfilled for one or more applicable cells for all measurements after layer 3 filtering taken during timeToTrigger defined for this event within the VarMeasConfig, while the VarMeasReportList does not include a measurement reporting entry for this measId (a first cell triggers the event):
  - 3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;
  - 3> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
  - 3> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*:
  - 3> if the UE supports T312 and if *useT312* is set to *true* for this event and if T310 is running:
    - 4> if T312 is not running:
      - 5> start timer T312 with the value configured in the corresponding *measObject*;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the triggerType is set to event, and if the corresponding reportConfig does not include numberOfTriggeringCells, and if the entry condition applicable for this event, i.e. the event corresponding with the eventId of the corresponding reportConfig within VarMeasConfig, is fulfilled for one or more applicable cells not included in the cellsTriggeredList for all measurements after layer 3 filtering taken during timeToTrigger defined for this event within the VarMeasConfig (a subsequent cell triggers the event):
  - 3> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
  - 3> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> if the UE supports T312 and if *useT312* is set to *true* for this event and if T310 is running:
    - 4> if T312 is not running:
      - 5> start timer T312 with the value configured in the corresponding *measObject*;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;

- 2> if the *triggerType* is set to *event* and if the corresponding *reportConfig* includes *numberOfTriggeringCells*, and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable cells for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig*:
  - 3> If the *VarMeasReportList* does not include a measurement reporting entry for this *measId* (a first cell triggers the event):
    - 4> include a measurement reporting entry within the VarMeasReportList for this measId;
  - 3> If the number of cell(s) in the *cellsTriggeredList* is larger than or equal to *numberOfTriggeringCells*:
    - 4> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

#### 3> else:

- 4> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
- 4> If the number of cell(s) in the cellsTriggeredList is larger than or equal to numberOfTriggeringCells:
  - 5> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
  - 5> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the *triggerType* is set to *event* and if the leaving condition applicable for this event is fulfilled for one or more of the cells included in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId* for all measurements after layer 3 filtering taken during *timeToTrigger* defined within the *VarMeasConfig* for this event:
  - 3> remove the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> if *reportOnLeave* is set to *TRUE* for the corresponding reporting configuration or if *a6-ReportOnLeave* is set to *TRUE* or if *a4-a5-ReportOnLeave* is set to TRUE for the corresponding reporting configuration:
    - 4> initiate the measurement reporting procedure, as specified in 5.5.5;
  - 3> if the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId* is empty:
    - 4> remove the measurement reporting entry within the VarMeasReportList for this measId;
    - 4> stop the periodical reporting timer for this *measId*, if running;
- 2> if the *triggerType* is set to *event* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable CSI-RS resources for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig*, while the *VarMeasReportList* does not include an measurement reporting entry for this *measId* (i.e. a first CSI-RS resource triggers the event):
  - 3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;
  - 3> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
  - 3> include the concerned CSI-RS resource(s) in the *csi-RS-TriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the triggerType is set to event and if the entry condition applicable for this event, i.e. the event corresponding with the eventId of the corresponding reportConfig within VarMeasConfig, is fulfilled for one or more applicable CSI-RS resources not included in the csi-RS-TriggeredList for all measurements after layer 3 filtering taken during timeToTrigger defined for this event within the VarMeasConfig (i.e. a subsequent CSI-RS resource triggers the event):

- 3> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
- 3> include the concerned CSI-RS resource(s) in the *csi-RS-TriggeredList* defined within the *VarMeasReportList* for this *measId*;
- 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the triggerType is set to event and if the leaving condition applicable for this event is fulfilled for one or more of the CSI-RS resources included in the csi-RS-TriggeredList defined within the VarMeasReportList for this measId for all measurements after layer 3 filtering taken during timeToTrigger defined within the VarMeasConfig for this event:
  - 3> remove the concerned CSI-RS resource(s) in the *csi-RS-TriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> if *c1-ReportOnLeave* is set to *TRUE* for the corresponding reporting configuration or if *c2-ReportOnLeave* is set to *TRUE* for the corresponding reporting configuration:
    - 4> initiate the measurement reporting procedure, as specified in 5.5.5;
  - 3> if the *csi-RS-TriggeredList* defined within the *VarMeasReportList* for this *measId* is empty:
    - 4> remove the measurement reporting entry within the *VarMeasReportList* for this *measId*;
    - 4> stop the periodical reporting timer for this *measId*, if running;
- 2> if the triggerType is set to event and if the entry condition applicable for this event, i.e. the event corresponding with the eventId of the corresponding reportConfig within VarMeasConfig, is fulfilled for one or more applicable transmission resource pools for all measurements taken during timeToTrigger defined for this event within the VarMeasConfig, while the VarMeasReportList does not include an measurement reporting entry for this measId (a first transmission resource pool triggers the event):
  - 3> include a measurement reporting entry within the VarMeasReportList for this measId;
  - 3> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
  - 3> include the concerned transmission resource pool(s) in the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the triggerType is set to event and if the entry condition applicable for this event, i.e. the event corresponding with the eventId of the corresponding reportConfig within VarMeasConfig, is fulfilled for one or more applicable transmission resource pools not included in the poolsTriggeredList for all measurements taken during timeToTrigger defined for this event within the VarMeasConfig (a subsequent transmission resource pool triggers the event):
  - 3> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
  - 3> include the concerned transmission resource pool(s) in the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the triggerType is set to event and if the leaving condition applicable for this event is fulfilled for one or more applicable transmission resource pools included in the poolsTriggeredList defined within the VarMeasReportList for this measId for all measurements taken during timeToTrigger defined within the VarMeasConfig for this event:
  - 3> remove the concerned transmission resource pool(s) from the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> if the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId* is empty:
    - 4> remove the measurement reporting entry within the VarMeasReportList for this measId;
    - 4> stop the periodical reporting timer for this *measId*, if running;

- 2> if the *triggerType* is set to *event* and if the *eventId* is set to *eventH1* or *eventH2* and if the entering condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled during *timeToTrigger* defined within the *VarMeasConfig* for this event:
  - 3> include a measurement reporting entry within the VarMeasReportList for this measId;
  - 3> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if measRSSI-ReportConfig is included and if a (first) measurement result is available:
  - 3> include a measurement reporting entry within the VarMeasReportList for this measId;
  - 3> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
  - 3> initiate the measurement reporting procedure as specified in 5.5.5 immediately when RSSI sample values are reported by the physical layer after the first L1 measurement duration;
- 2> else if the *purpose* is included and set to *reportStrongestCells*, *reportStrongestCellsForSON*, *reportLocation sidelink* or *sensing* and if a (first) measurement result is available:
  - 3> include a measurement reporting entry within the VarMeasReportList for this measId;
  - 3> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
  - 3> if the *purpose* is set to *reportStrongestCells* and *reportStrongestCSI-RSs* is set to *FALSE*:
    - 4> if the *triggerType* is set to *periodical* and the corresponding *reportConfig* includes the *ul-DelayConfig*:
      - 5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after a first measurement result is provided by lower layers;
    - 4> else if the corresponding measurement object concerns WLAN:
      - 5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for the PCell and for the applicable WLAN(s);
    - 4> else if the *reportAmount* exceeds 1:
      - 5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for the PCell;
    - 4> else (i.e. the *reportAmount* is equal to 1):
      - 5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for the PCell and for the strongest cell among the applicable cells, or becomes available for the pair of PCell and the PSCell in case of SSTD measurements, or becomes available for each requested pair of PCell and NR cell or the maximal measurement reporting delay as specified in TS 36.133 [16], clause 8.17.2.3 in case of SFTD measurements;
  - 3> if the *purpose* is set to *reportLocation*, *sidelink* or *sensing*:
    - 4> if the *purpose* is set to *reportLocation*:
      - 5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after both the quantity to be reported for the PCell and the location information become available;
    - 4> else if the *purpose* is set to *sidelink*:
      - 5> initiate the measurement reporting procedure as specified in 5.5.5 immediately after both the quantity to be reported for the PCell and the CBR measurement result become available;
    - 4> else if the *purpose* is set to *sensing*:

- 5> initiate the measurement reporting procedure as specified in 5.5.5 immediately after both the quantity to be reported for the PCell and the sensing measurement result become available;
- 3> else if the *purpose* is not set to *reportStrongestCells* or *reportStrongestCSI-RSs* is set to *true*:
  - 4> initiate the measurement reporting procedure, as specified in 5.5.5, when it has determined the strongest cells on the associated frequency;
- 2> upon expiry of the periodical reporting timer for this *measId*:
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the *purpose* is included and set to *reportCGI*:
  - 3> if the UE acquired the information needed to set all fields of cgi-Info for the requested cell; or
  - 3> if the UE detects that the requested NR cell is not transmitting SIB1:
    - 4> include a measurement reporting entry within the VarMeasReportList for this measId;
    - 4> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
    - 4> stop timer T321;
    - 4> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> upon expiry of the T321 for this *measId*:
  - 3> include a measurement reporting entry within the VarMeasReportList for this measId;
  - 3> set the numberOfReportsSent defined within the VarMeasReportList for this measId to 0;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- NOTE 2: The UE does not stop the periodical reporting with *triggerType* set to *event* or to *periodical* while the corresponding measurement is not performed due to the PCell RSRP (or PSCell RSRP, if the UE is in NE-DC) being equal to or better than *s-Measure* or due to the measurement gap not being setup.
- NOTE 3: If the UE is configured with DRX, the UE may delay the measurement reporting for event triggered and periodical triggered measurements until the Active Time, which is defined in TS 36.321 [6].

#### 5.5.4.2 Event A1 (Serving becomes better than threshold)

The UE shall:

- 1> consider the entering condition for this event to be satisfied when condition A1-1, as specified below, is fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition A1-2, as specified below, is fulfilled;
- 1> for this measurement, consider the primary or secondary cell that is configured on the frequency indicated in the associated *measObjectEUTRA* to be the serving cell;

Inequality A1-1 (Entering condition)

Ms - Hys > Thresh

Inequality A1-2 (Leaving condition)

Ms + Hys < Thresh

The variables in the formula are defined as follows:

Ms is the measurement result of the serving cell, not taking into account any offsets.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigEUTRA for this event).

*Thresh* is the threshold parameter for this event (i.e. *a1-Threshold* as defined within *reportConfigEUTRA* for this event).

Ms is expressed in dBm in case of RSRP, or in dB in case of RSRQ and RS-SINR.

Hys is expressed in dB.

*Thresh* is expressed in the same unit as *Ms*.

#### 5.5.4.3 Event A2 (Serving becomes worse than threshold)

The UE shall:

- 1> consider the entering condition for this event to be satisfied when condition A2-1, as specified below, is fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition A2-2, as specified below, is fulfilled;
- 1> for this measurement, consider the primary or secondary cell that is configured on the frequency indicated in the associated *measObjectEUTRA* to be the serving cell;

Inequality A2-1 (Entering condition)

Ms + Hys < Thresh

Inequality A2-2 (Leaving condition)

Ms - Hys > Thresh

The variables in the formula are defined as follows:

Ms is the measurement result of the serving cell, not taking into account any offsets.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigEUTRA for this event).

**Thresh** is the threshold parameter for this event (i.e. a2-Threshold as defined within reportConfigEUTRA for this event).

Ms is expressed in dBm in case of RSRP, or in dB in case of RSRQ and RS-SINR.

*Hys* is expressed in dB.

**Thresh** is expressed in the same unit as **Ms**.

#### 5.5.4.4 Event A3 (Neighbour becomes offset better than PCell/ PSCell)

The UE shall:

- 1> consider the entering condition for this event to be satisfied when condition A3-1, as specified below, is fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition A3-2, as specified below, is fulfilled;
- 1> if usePSCell of the corresponding reportConfig is set to true:
  - 2> use the PSCell for Mp, Ofp and Ocp;

1> else:

2> use the PCell for Mp, Ofp and Ocp;

NOTE The cell(s) that triggers the event is on the frequency indicated in the associated *measObject* which may be different from the frequency used by the PCell/ PSCell.

Inequality A3-1 (Entering condition)

Mn + Ofn + Ocn - Hys > Mp + Ofp + Ocp + Off

Inequality A3-2 (Leaving condition)

$$Mn + Ofn + Ocn + Hys < Mp + Ofp + Ocp + Off$$

The variables in the formula are defined as follows:

Mn is the measurement result of the neighbouring cell, not taking into account any offsets.

*Ofn* is the frequency specific offset of the frequency of the neighbour cell (i.e. *offsetFreq* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell).

*Ocn* is the cell specific offset of the neighbour cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell), and set to zero if not configured for the neighbour cell.

*Mp* is the measurement result of the PCell/ PSCell, not taking into account any offsets.

*Ofp* is the frequency specific offset of the frequency of the PCell/ PSCell (i.e. *offsetFreq* as defined within *measObjectEUTRA* corresponding to the frequency of the PCell/ PSCell).

*Ocp* is the cell specific offset of the PCell/ PSCell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the PCell/ PSCell), and is set to zero if not configured for the PCell/ PSCell.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigEUTRA for this event).

Off is the offset parameter for this event (i.e. a3-Offset as defined within reportConfigEUTRA for this event).

Mn, Mp are expressed in dBm in case of RSRP, or in dB in case of RSRQ and RS-SINR.

Ofn, Ocn, Ofp, Ocp, Hys, Off are expressed in dB.

# 5.5.4.5 Event A4 (Neighbour becomes better than threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition A4-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition A4-2, as specified below, is fulfilled;

Inequality A4-1 (Entering condition)

Mn + Ofn + Ocn - Hys > Thresh

Inequality A4-2 (Leaving condition)

Mn + Ofn + Ocn + Hys < Thresh

The variables in the formula are defined as follows:

Mn is the measurement result of the neighbouring cell, not taking into account any offsets.

*Ofn* is the frequency specific offset of the frequency of the neighbour cell (i.e. *offsetFreq* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell).

*Ocn* is the cell specific offset of the neighbour cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell), and set to zero if not configured for the neighbour cell.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigEUTRA for this event).

**Thresh** is the threshold parameter for this event (i.e. a4-Threshold as defined within reportConfigEUTRA for this event).

Mn is expressed in dBm in case of RSRP, or in dB in case of RSRQ and RS-SINR.

Ofn, Ocn, Hys are expressed in dB.

*Thresh* is expressed in the same unit as *Mn*.

# 5.5.4.6 Event A5 (PCell/ PSCell becomes worse than threshold1 and neighbour becomes better than threshold2)

The UE shall:

- 1> consider the entering condition for this event to be satisfied when both condition A5-1 and condition A5-2, as specified below, are fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition A5-3 or condition A5-4, i.e. at least one of the two, as specified below, is fulfilled;
- 1> if usePSCell of the corresponding reportConfig is set to true:

2> use the PSCell for *Mp*;

1> else:

2> use the PCell for Mp;

NOTE: The cell(s) that triggers the event is on the frequency indicated in the associated *measObject* which may be different from the frequency used by the PCell/ PSCell.

Inequality A5-1 (Entering condition 1)

Mp + Hys < Thresh1

Inequality A5-2 (Entering condition 2)

Mn + Ofn + Ocn - Hys > Thresh2

Inequality A5-3 (Leaving condition 1)

Mp - Hys > Thresh1

Inequality A5-4 (Leaving condition 2)

Mn + Ofn + Ocn + Hys < Thresh2

The variables in the formula are defined as follows:

Mp is the measurement result of the PCell/PSCell, not taking into account any offsets.

 ${\it Mn}$  is the measurement result of the neighbouring cell, not taking into account any offsets.

*Ofn* is the frequency specific offset of the frequency of the neighbour cell (i.e. *offsetFreq* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell).

**Ocn** is the cell specific offset of the neighbour cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell), and set to zero if not configured for the neighbour cell.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigEUTRA for this event).

**Thresh1** is the threshold parameter for this event (i.e. a5-Threshold1 as defined within reportConfigEUTRA for this event).

*Thresh2* is the threshold parameter for this event (i.e. *a5-Threshold2* as defined within *reportConfigEUTRA* for this event).

*Mn*, *Mp* are expressed in dBm in case of RSRP, or in dB in case of RSRQ and RS-SINR.

Ofn, Ocn, Hys are expressed in dB.

*Thresh1* is expressed in the same unit as *Mp*.

**Thresh2** is expressed in the same unit as Mn.

# 5.5.4.6a Event A6 (Neighbour becomes offset better than SCell)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition A6-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition A6-2, as specified below, is fulfilled;

1> for this measurement, consider the (secondary) cell that is configured on the frequency indicated in the associated *measObjectEUTRA* to be the serving cell;

NOTE: The neighbour(s) is on the same frequency as the SCell i.e. both are on the frequency indicated in the associated *measObject*.

Inequality A6-1 (Entering condition)

Mn + Ocn - Hys > Ms + Ocs + Off

Inequality A6-2 (Leaving condition)

Mn + Ocn + Hys < Ms + Ocs + Off

The variables in the formula are defined as follows:

Mn is the measurement result of the neighbouring cell, not taking into account any offsets.

**Ocn** is the cell specific offset of the neighbour cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell), and set to zero if not configured for the neighbour cell.

Ms is the measurement result of the serving cell, not taking into account any offsets.

**Ocs** is the cell specific offset of the serving cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the serving frequency), and is set to zero if not configured for the serving cell.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigEUTRA for this event).

Off is the offset parameter for this event (i.e. a6-Offset as defined within reportConfigEUTRA for this event).

Mn, Ms are expressed in dBm in case of RSRP, or in dB in case of RSRQ and RS-SINR.

Ocn, Ocs, Hys, Off are expressed in dB.

#### 5.5.4.7 Event B1 (Inter RAT neighbour becomes better than threshold)

The UE shall:

1> for UTRA and CDMA2000, only trigger the event for cells included in the corresponding measurement object;

1> consider the entering condition for this event to be satisfied when condition B1-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition B1-2, as specified below, is fulfilled;

Inequality B1-1 (Entering condition)

Mn + Ofn - Hys > Thresh

Inequality B1-2 (Leaving condition)

Mn + Ofn + Hys < Thresh

The variables in the formula are defined as follows:

*Mn* is the measurement result of the inter-RAT neighbour cell, not taking into account any offsets. For CDMA 2000 measurement result, *pilotStrength* is divided by -2.

*Ofn* is the frequency specific offset of the frequency of the inter-RAT neighbour cell (i.e. *offsetFreq* as defined within the *measObject* corresponding to the frequency of the neighbour inter-RAT cell).

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigInterRAT for this event).

**Thresh** is the threshold parameter for this event (i.e. b1-Threshold as defined within reportConfigInterRAT for this event). For CDMA2000, b1-Threshold is divided by -2.

Mn is expressed in dBm or in dB, depending on the measurement quantity of the inter-RAT neighbour cell.

*Ofn, Hys* are expressed in dB.

*Thresh* is expressed in the same unit as *Mn*.

# 5.5.4.8 Event B2 (PCell becomes worse than threshold1 and inter RAT neighbour becomes better than threshold2)

The UE shall:

- 1> for UTRA and CDMA2000, only trigger the event for cells included in the corresponding measurement object;
- 1> consider the entering condition for this event to be satisfied when both condition B2-1 and condition B2-2, as specified below, are fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition B2-3 or condition B2-4, i.e. at least one of the two, as specified below, is fulfilled;

Inequality B2-1 (Entering condition 1)

Mp + Hys < Thresh1

Inequality B2-2 (Entering condition 2)

Mn + Ofn - Hys > Thresh2

Inequality B2-3 (Leaving condition 1)

Mp - Hys > Thresh1

Inequality B2-4 (Leaving condition 2)

Mn + Ofn + Hys < Thresh2

The variables in the formula are defined as follows:

*Mp* is the measurement result of the PCell, not taking into account any offsets.

*Mn* is the measurement result of the inter-RAT neighbour cell, not taking into account any offsets. For CDMA2000 measurement result, *pilotStrength* is divided by -2.

*Ofn* is the frequency specific offset of the frequency of the inter-RAT neighbour cell (i.e. *offsetFreq* as defined within the *measObject* corresponding to the frequency of the inter-RAT neighbour cell).

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigInterRAT for this event).

*Thresh1* is the threshold parameter for this event (i.e. b2-*Threshold1* as defined within *reportConfigInterRAT* for this event).

*Thresh2* is the threshold parameter for this event (i.e. *b2-Threshold2* as defined within *reportConfigInterRAT* for this event). For CDMA2000, *b2-Threshold2* is divided by -2.

Mp is expressed in dBm in case of RSRP, or in dB in case of RSRQ.

Mn is expressed in dBm or dB, depending on the measurement quantity of the inter-RAT neighbour cell.

*Ofn, Hys* are expressed in dB.

*Thresh1* is expressed in the same unit as *Mp*.

*Thresh2* is expressed in the same unit as *Mn*.

# 5.5.4.9 Event C1 (CSI-RS resource becomes better than threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition C1-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition C1-2, as specified below, is fulfilled;

Inequality C1-1 (Entering condition)

Mcr + Ocr - Hys > Thresh

Inequality C1-2 (Leaving condition)

Mcr + Ocr + Hys < Thresh

The variables in the formula are defined as follows:

*Mcr* is the measurement result of the CSI-RS resource, not taking into account any offsets.

*Ocr* is the CSI-RS specific offset (i.e. *csi-RS-IndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the CSI-RS resource), and set to zero if not configured for the CSI-RS resource.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigEUTRA for this event).

*Thresh* is the threshold parameter for this event (i.e. *c1-Threshold* as defined within *reportConfigEUTRA* for this event).

Mcr, Thresh are expressed in dBm.

Ocr, Hys are expressed in dB.

# 5.5.4.10 Event C2 (CSI-RS resource becomes offset better than reference CSI-RS resource)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition C2-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition C2-2, as specified below, is fulfilled;

NOTE: The CSI-RS resource(s) that triggers the event is on the same frequency as the reference CSI-RS resource, i.e. both are on the frequency indicated in the associated *measObject*.

Inequality C2-1 (Entering condition)

Mcr + Ocr - Hys > Mref + Oref + Off

Inequality C2-2 (Leaving condition)

Mcr + Ocr + Hys < Mref + Oref + Off

The variables in the formula are defined as follows:

*Mcr* is the measurement result of the CSI-RS resource, not taking into account any offsets.

Ocr is the CSI-RS specific offset of the CSI-RS resource (i.e. csi-RS-IndividualOffset as defined within measObjectEUTRA corresponding to the frequency of the CSI-RS resource), and set to zero if not configured for the CSI-RS resource.

*Mref* is the measurement result of the reference CSI-RS resource (i.e. *c2-RefCSI-RS* as defined within *reportConfigEUTRA* for this event), not taking into account any offsets.

*Oref* is the CSI-RS specific offset of the reference CSI-RS resource (i.e. *csi-RS-IndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the reference CSI-RS resource), and is set to zero if not configured for the reference CSI-RS resource.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigEUTRA for this event).

Off is the offset parameter for this event (i.e. c2-Offset as defined within reportConfigEUTRA for this event).

Mcr, Mref are expressed in dBm.

Ocr, Oref, Hys, Off are expressed in dB.

#### 5.5.4.11 Event W1 (WLAN becomes better than a threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when wlan-MobilitySet within VarWLAN-MobilityConfig does not contain any entries and condition W1-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition W1-2, as specified below, is fulfilled;

Inequality W1-1 (Entering condition)

Mn - Hvs > Thresh

Inequality W1-2 (Leaving condition)

Mn + Hys < Thresh

The variables in the formula are defined as follows:

**Mn** is the measurement result of WLAN(s) configured in the measurement object, not taking into account any offsets.

*Hys* is the hysteresis parameter for this event.

**Thresh** is the threshold parameter for this event (i.e. w1-Threshold as defined within reportConfigInterRAT for this event).

*Mn* is expressed in dBm.

Hys is expressed in dB.

*Thresh* is expressed in the same unit as *Mn*.

# 5.5.4.12 Event W2 (All WLAN inside WLAN mobility set becomes worse than threshold1 and a WLAN outside WLAN mobility set becomes better than threshold2)

The UE shall:

- 1> consider the entering condition for this event to be satisfied when both conditions W2-1 and W2-2 as specified below are fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition W2-3 or condition W2-4, i.e. at least one of the two, as specified below is fulfilled;

Inequality W2-1 (Entering condition 1)

Ms + Hys < Thresh1

Inequality W2-2 (Entering condition 2)

Mn - Hys > Thresh2

Inequality W2-3 (Leaving condition 1)

Ms - Hys > Thresh1

Inequality W2-4 (Leaving condition 2)

Mn + Hys < Thresh2

The variables in the formula are defined as follows:

Ms is the measurement result of WLAN(s) which matches all WLAN identifiers of at least one entry within wlan-MobilitySet in VarWLAN-MobilityConfig, not taking into account any offsets.

**Mn** is the measurement result of WLAN(s) configured in the measurement object which does not match all WLAN identifiers of any entry within *wlan-MobilitySet* in *VarWLAN-MobilityConfig*, not taking into account any offsets.

*Hys* is the hysteresis parameter for this event.

**Thresh1** is the threshold parameter for this event (i.e. w2-Threshold1 as defined within reportConfigInterRAT for this event).

**Thresh2** is the threshold parameter for this event (i.e. w2-Threshold2 as defined within reportConfigInterRAT for this event).

Mn, Ms are expressed in dBm.

Hys is expressed in dB.

*Thresh1* is expressed in the same unit as *Ms*.

*Thresh2* is expressed in the same unit as *Mn*.

# 5.5.4.13 Event W3 (All WLAN inside WLAN mobility set becomes worse than a threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition W3-1, as specified below, is fulfilled:

1> consider the leaving condition for this event to be satisfied when condition W3-2, as specified below, is fulfilled;

Inequality W3-1 (Entering condition)

Ms + Hys < Thresh

Inequality W3-2 (Leaving condition)

Ms - Hys > Thresh

The variables in the formula are defined as follows:

Ms is the measurement result of WLAN(s) which matches all WLAN identifiers of at least one entry within wlan-MobilitySet in VarWLAN-MobilityConfig, not taking into account any offsets.

*Hys* is the hysteresis parameter for this event.

*Thresh* is the threshold parameter for this event (i.e. *w3-Threshold* as defined within *reportConfigInterRAT* for this event).

Ms is expressed in dBm.

Hys is expressed in dB.

*Thresh* is expressed in the same unit as *Ms*.

#### 5.5.4.14 Event V1 (The channel busy ratio is above a threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition V1-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition V1-2, as specified below, is fulfilled;

Inequality V1-1 (Entering condition)

Ms - Hys > Thresh

Inequality V1-2 (Leaving condition)

Ms + Hys < Thresh

The variables in the formula are defined as follows:

Ms is the measurement result of channel busy ratio of the transmission resource pool, not taking into account any offsets.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigEUTRA for this event).

*Thresh* is the threshold parameter for this event (i.e. v1-Threshold as defined within ReportConfigEUTRA).

Ms is expressed in decimal from 0 to 1 in steps of 0.01.

*Hys* is expressed is in the same unit as *Ms*.

*Thresh* is expressed in the same unit as *Ms*.

#### 5.5.4.15 Event V2 (The channel busy ratio is below a threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition V2-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition V2-2, as specified below, is fulfilled;

Inequality V2-1 (Entering condition)

Ms + Hys < Thresh

Inequality V2-2 (Leaving condition)

Ms - Hys > Thresh

The variables in the formula are defined as follows:

Ms is the measurement result of channel busy ratio of the transmission resource pool, not taking into account any offsets.

Hys is the hysteresis parameter for this event (i.e. hysteresis as defined within reportConfigEUTRA for this event).

Thresh is the threshold parameter for this event (i.e. v2-Threshold as defined within ReportConfigEUTRA).

Ms is expressed in decimal from 0 to 1 in steps of 0.01.

*Hys* is expressed is in the same unit as *Ms*.

*Thresh* is expressed in the same unit as *Ms*.

# 5.5.4.16 Event H1 (The Aerial UE height is above a threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition H1-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition H1-2, as specified below, is fulfilled;

Inequality H1-1 (Entering condition)

Ms - Hys > Thresh + Offset

Inequality H1-2 (Leaving condition)

Ms + Hys < Thresh + Offset

The variables in the formula are defined as follows:

Ms is the Aerial UE height, not taking into account any offsets.

Hys is the hysteresis parameter (i.e. h1-Hysteresis as defined within ReportConfigEUTRA) for this event.

**Thresh** is the reference threshold parameter for this event given in *MeasConfig* (i.e. *heightThreshRef* as defined within *MeasConfig*).

*Offset* is the offset value to *heightThreshRef* to obtain the absolute threshold for this event. (i.e. *h1-ThresholdOffset* as defined within *ReportConfigEUTRA*)

Ms is expressed in meters.

*Thresh* is expressed in the same unit as *Ms*.

## 5.5.4.17 Event H2 (The Aerial UE height is below a threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition H2-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition H2-2, as specified below, is fulfilled;

Inequality H2-1 (Entering condition)

Ms + Hys < Thresh + Offset

Inequality H2-2 (Leaving condition)

Ms - Hys > Thresh + Offset

The variables in the formula are defined as follows:

Ms is the Aerial UE height, not taking into account any offsets.

Hys is the hysteresis parameter (i.e. h2-Hysteresis as defined within ReportConfigEUTRA) for this event.

*Thresh* is the reference threshold parameter for this event given in MeasConfig(i.e. *heightThreshRef* as defined within *MeasConfig*).

Offset is the offset value to heightThreshRef to obtain the absolute threshold for this event. (i.e. h2-ThresholdOffset as defined within ReportConfigEUTRA)

Ms is expressed in meters.

Thresh is expressed in the same unit as Ms.

## 5.5.5 Measurement reporting

#### 5.5.5.1 General

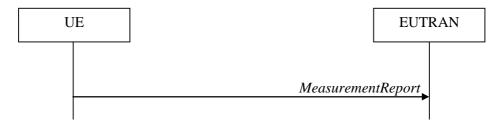


Figure 5.5.5.1-1: Measurement reporting

The purpose of this procedure is to transfer measurement results from the UE to E-UTRAN. The UE shall initiate this procedure only after successful security activation.

For the *measId* for which the measurement reporting procedure was triggered, the UE shall set the *measResults* within the *MeasurementReport* message as follows:

- 1> set the *measId* to the measurement identity that triggered the measurement reporting;
- 1> set the *measResultPCell* to include the quantities of the PCell;
- 1> set the measResultServFreqList to include for each E-UTRA SCell that is configured, if any, within measResultSCell the quantities of the concerned SCell, if available according to performance requirements in TS 36.133 [16], except if purpose for the reportConfig associated with the measId that triggered the measurement reporting is set to reportLocation;
- 1> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportAddNeighMeas*:
  - 2> for each E-UTRA serving frequency for which *measObjectId* is referenced in the *measIdList*, other than the frequency corresponding with the *measId* that triggered the measurement reporting:
    - 3> set the *measResultServFreqList* to include within *measResultBestNeighCell* the *physCellId* and the quantities of the best non-serving cell, based on RSRP, on the concerned serving frequency;
- 1> if the *triggerType* is set to *event*; and if the corresponding measObject concerns NR; and if *eventId* is set to *eventB1-NR* or *eventB2-NR*; or
- 1> if the triggerType is set to event; and if eventId is set to eventA3 or eventA4 or eventA5:
  - 2> if *purpose* for the *reportConfig* or *reportConfigInterRAT* associated with the *measId* that triggered the measurement reporting is set to a value other than *reportLocation*:
    - 3> set the *measResultServFreqListNR* to include for each NR serving frequency that the UE is configured to measure according to TS 38.331 [82], if any, the following:
      - 4> set measResultSCell to include the available results of the NR serving cell, as specified in 5.5.5.2;
      - 4> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportAddNeighMeas* and if *eventId* is set to *eventA3* or *eventA4* or *eventA5*:
        - 5> set *measResultBestNeighCell* to include the available results, as specified in 5.5.5.2, of the non-serving cell with the highest sorting quantity determined as specified in 5.5.5.3;
    - 3> for each (serving or neighbouring) cell for which the UE reports results according to the previous, additionally include available beam results according to the following:
      - 4> if maxReportRS-Index is configured, set measResultRS-IndexList to include available results, as specified in 5.5.5.2, of up to maxReportRS-Index beams, ordered based on the quantity determined as specified in 5.5.5.3;

- 1> if there is at least one applicable neighbouring cell to report:
  - 2> set the *measResultNeighCells* to include the best neighbouring cells up to *maxReportCells* in accordance with the following:
    - 3> if the *triggerType* is set to *event*:
      - 4> include the cells included in the *cellsTriggeredList* as defined within the *VarMeasReportList* for this *measId*;
    - 3> else:
      - 4> include the applicable cells for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;
- NOTE 1: The reliability of the report (i.e. the certainty it contains the strongest cells on the concerned frequency) depends on the measurement configuration i.e. the *reportInterval*. The related performance requirements are specified in TS 36.133 [16].
  - 3> for each cell that is included in the measResultNeighCells, include the physCellId;
  - 3> if the *triggerType* is set to *event*; or the *purpose* is set to *reportStrongestCells* or to *reportStrongestCellsForSON*:
    - 4> for each included cell, include the layer 3 filtered measured results in accordance with the *reportConfig* for this *measId*, ordered as follows:
      - 5> if the *measObject* associated with this *measId* concerns E-UTRA:
        - 6> set the *measResult* to include the quantity(ies) indicated in the *reportQuantity* within the concerned *reportConfig*;
        - 6> sort the included cells in order of decreasing triggerQuantity, i.e. the best cell is included first;
      - 5> if the *measObject* associated with this *measId* concerns NR:
        - 6> set the *measResultCell* to include the quantity(ies) indicated in the *reportQuantityCellNR* within the concerned *reportConfig*;
        - 6> if maxReportRS-Index and reportQuantityRS-IndexNR are configured, set measResultRS-IndexList to include the result of the best beam if threshRS-Index is included in the VarMeasConfig for the corresponding measObject, and the remaining beams whose quantity is above threshRS-Index, up to maxReportRS-Index beams in total:
          - 7> order beams based on the sorting quantity determined as specified in 5.5.5.3;
          - 7> for each included beam:
            - 8> include ssbIndex;
            - 8> if *reportRS-IndexResultsNR* is set to TRUE, for each quantity indicated, include the corresponding measurement result in *measResultSSB-Index* for each *ssb-Index*;
        - 6> sort the included cells in order of decreasing sorting quantity determined as specified in 5.5.5.3;
      - 5> if the *measObject* associated with this *measId* concerns UTRA FDD and if *ReportConfigInterRAT* includes the *reportQuantityUTRA-FDD*:
        - 6> set the *measResult* to include the quantities indicated by the *reportQuantityUTRA-FDD* in order of decreasing *measQuantityUTRA-FDD* within the *quantityConfig*, i.e. the best cell is included first;
      - 5> if the *measObject* associated with this *measId* concerns UTRA FDD and if *ReportConfigInterRAT* does not include the *reportQuantityUTRA-FDD*; or
      - 5> if the measObject associated with this measId concerns UTRA TDD, GERAN or CDMA2000:

- 6> set the *measResult* to the quantity as configured for the concerned RAT within the *quantityConfig* in order of either decreasing quantity for UTRA and GERAN or increasing quantity for CDMA2000 *pilotStrength*, i.e. the best cell is included first;
- 3> else if the purpose is set to reportCGI and the corresponding measObject concerns a RAT other than NR:
  - 4> if the mandatory present fields of the *cgi-Info* for the cell indicated by the *cellForWhichToReportCGI* in the associated *measObject* have been obtained:
    - 5> if the *includeMultiBandInfo* is configured:
      - 6> include the *freqBandIndicator*;
      - 6> if the cell broadcasts the *multiBandInfoList*, include the *multiBandInfoList*;
      - 6> if the cell broadcasts the freqBandIndicatorPriority, include the freqBandIndicatorPriority;
    - 5> if the cell broadcasts a CSG identity:
      - 6> include the *csg-Identity*;
      - 6> include the *csg-MemberStatus* and set it to *member* if the cell is a CSG member cell;
    - 5> if the si-RequestForHO is configured within the reportConfig associated with this measId:
      - 6> include the *cgi-Info* containing all the fields other than the *plmn-IdentityList* that have been successfully acquired;
      - 6> include, within the *cgi-Info*, the field *plmn-IdentityList* in accordance with the following:
        - 7> if the cell is a CSG member cell, determine the subset of the PLMN identities, starting from the second entry of PLMN identities in the broadcast information, that meet the following conditions:
          - a) equal to the RPLMN or an EPLMN; and
          - b) the CSG whitelist of the UE includes an entry comprising of the concerned PLMN identity and the CSG identity broadcast by the cell;
        - 7> if the subset of PLMN identities determined according to the previous includes at least one PLMN identity, include the *plmn-IdentityList* and set it to include this subset of the PLMN identities;
        - 7> if the cell is a CSG member cell, include the *primaryPLMN-Suitable* if the primary PLMN meets conditions a) and b) specified above;
        - 7> if the cell does not broadcast *csg-Identity* and the UE is capable of reporting the *plmn-IdentityList* from cells not broadcasting *csg-Identity*:
          - 8> include in the plmn-IdentityList the list of identities starting from the second entry of PLMN identities in the broadcast information;

- 6> include the *cgi-Info* containing all the fields that have been successfully acquired and in accordance with the following:
  - 7> include in the *plmn-IdentityList* the list of identities starting from the second entry of PLMN Identities in the broadcast information;
- 4> if the *cellAccessRelatedInfoList-5GC* has been acquired:
  - 5> include cgi-Info-5GC;
- NOTE 1a: The UE may include the *cgi-Info-5GC* even when the N1 mode is disabled.
  - 3> else if the purpose is set to reportCGI and the corresponding measObject concerns NR RAT:

- 4> if the Cell information of *cgi-Info* for the cell indicated by the *cellForWhichToReportCGI* in the associated *measObject* has been obtained:
  - 5> include plmn-IdentityInfoList including plmn-IdentityList, trackingAreaCode (if available), ran-AreaCode (if available) and cellIdentity for each entry of the plmn-IdentityInfoList;
  - 5> include *frequencyBandList* if broadcasted;
- 4> else if MIB associated with the concerned measObject indicates that SIB1 is not broadcast:
  - 5> include the *noSIB1* field;
- 1> for the cells included according to the previous (i.e. covering the PCell, the SCells, the best non-serving cells on serving frequencies as well as neighbouring EUTRA cells) include results according to the extended RSRQ if corresponding results are available according to the associated performance requirements defined in TS 36.133 [16];
- 1> if there is at least one applicable CSI-RS resource to report:
  - 2> set the *measResultCSI-RS-List* to include the best CSI-RS resources up to *maxReportCells* in accordance with the following:
    - 3> if the *triggerType* is set to *event*:
      - 4> include the CSI-RS resources included in the *csi-RS-TriggeredList* as defined within the *VarMeasReportList* for this *measId*;
    - 3> else:
      - 4> include the applicable CSI-RS resources for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;
- NOTE 2: The reliability of the report (i.e. the certainty it contains the strongest CSI-RS resources on the concerned frequency) depends on the measurement configuration i.e. the *reportInterval*. The related performance requirements are specified in TS 36.133 [16].
  - 3> for each CSI-RS resource that is included in the measResultCSI-RS-List:
    - 4> include the *measCSI-RS-Id*:
    - 4> include the layer 3 filtered measured results in accordance with the *reportConfig* for this *measId*, ordered as follow:
      - 5> set the *csi-RSRP-Result* to include the quantity indicated in the *reportQuantity* within the concerned *reportConfig* in order of decreasing *triggerQuantityCSI-RS*, i.e. the best CSI-RS resource is included first;
    - 4> if *reportCRS-Meas* is set to *true* within the associated *reportConfig*, and the cell indicated by *physCellId* of this CSI-RS resource is not a serving cell:
      - 5> set the *measResultNeighCells* to include the cell indicated by *physCellId* of this CSI-RS resource, and include the *physCellId*;
      - 5> set the *rsrpResult* to include the RSRP of the concerned cell, if available according to performance requirements in TS 36.133 [16];
      - 5> set the *rsrqResult* to include the RSRQ of the concerned cell, if available according to performance requirements in TS 36.133 [16];
- 1> if the *ue-RxTxTimeDiffPeriodical* is configured within the corresponding *reportConfig* for this *measId*;
  - 2> set the *ue-RxTxTimeDiffResult* to the measurement result provided by lower layers;
  - 2> set the *currentSFN*;
- 1> if the measRSSI-ReportConfig is configured within the corresponding reportConfig for this measId:

- 2> set the rssi-Result to the average of sample value(s) provided by lower layers in the reportInterval;
- 2> set the *channelOccupancy* to the rounded percentage of sample values which are beyond to the *channelOccupancyThreshold* within all the sample values in the *reportInterval*;
- 1> if uplink PDCP delay results are available:
  - 2> set the *ul-PDCP-DelayResultList* to include the uplink PDCP delay results available;
- 1> if the includeLocationInfo is configured in the corresponding reportConfig for this measId or if purpose for the reportConfig associated with the measId that triggered the measurement reporting is set to reportLocation; and detailed location information that has not been reported is available, set the content of the locationInfo as follows:
  - 2> include the *locationCoordinates*;
  - 2> if available, include the *gnss-TOD-msec*, except if *purpose* for the *reportConfig* associated with the *measId* that triggered the measurement reporting is set to *reportLocation*;
  - 2> include the *verticalVelocityInfo*, if available;
- 1> if the *includeWLAN-Meas* is configured in the corresponding *reportConfig* for this *measId*, set the *measResults* as follows:
  - 2> if available, include the logMeasResultListWLAN, in order of decreasing RSSI for WLAN APs;
- 1> if the *includeBT-Meas* is configured in the corresponding *reportConfig* for this *measId*, set the *measResults* as follows:
  - 2> if available, include the logMeasResultListBT, in order of decreasing RSSI for Bluetooth beacons;
- 1> if the reportSSTD-Meas is set to true or pSCell within the corresponding reportConfig for this measId:
  - 2> set the *measResultSSTD* to the measurement results provided by lower layers;
- 1> if the *reportSFTD-Meas* is set to *neighborCells* or *pSCell* within the corresponding *reportConfigInterRAT* for this *measId*, for each applicable cell for which results are available:
  - 2> set sfn-OffsetResult and frameBoundaryOffsetResult to the measurement results provided by lower layers;
  - 2> if the ss-rsrp in the reportQuantityCellNR is set to TRUE within the corresponding reportConfigInterRAT for this measId:
    - 3> include *rsrpResult* set to the RSRP of the concerned cell;
- 1> if there is at least one applicable transmission resource pool to report:
  - 2> set the measResultListCBR to include the CBR measurement results in accordance with the following:
    - 3> if the *triggerType* is set to *event*:
      - 4> include the transmission resource pools included in the *poolsTriggeredList* as defined within the *VarMeasReportList* for this *measId*;
    - 3> else:
      - 4> include the applicable transmission resource pools for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;
    - 3> for each transmission resource pool to be reported:
      - 4> set the *poolIdentity* to the *poolReportId* of this transmission resource pool;
      - 4> if *adjacencyPSCCH-PSSCH* is set to *TRUE* for this transmission resource pool:
        - 5> set the *cbr-PSSCH* to the CBR measurement result on PSSCH and PSCCH of this transmission resource pool provided by lower layers;

- 4> else:
  - 5> set the *cbr-PSSCH* to the CBR measurement result on PSSCH of this transmission resource pool provided by lower layers if available;
  - 5> set the *cbr-PSCCH* to the CBR measurement result on PSCCH of this transmission resource pool provided by lower layers if available;
- 2> set the measResultSensing to include the sensing measurement results in accordance with the following:
  - 3> include the applicable transmission resource pools for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;
  - 3> for each transmission resource pool to be reported:
    - 4> set the *sensingResult* to the sensing measurement results provided by the lower layers;
- 1> if the *triggerType* is set to *event*; and if *eventId* is set to *eventH1* or *eventH2*:
  - 2> set the *heightUE* to include the altitude of the UE;
- 1> increment the *numberOfReportsSent* as defined within the *VarMeasReportList* for this *measId* by 1;
- 1> stop the periodical reporting timer, if running;
- 1> if the *numberOfReportsSent* as defined within the *VarMeasReportList* for this *measId* is less than the *reportAmount* as defined within the corresponding *reportConfig* for this *measId*:
  - 2> start the periodical reporting timer with the value of *reportInterval* as defined within the corresponding *reportConfig* for this *measId*;

- 2> if the *triggerType* is set to *periodical*:
  - 3> remove the entry within the *VarMeasReportList* for this *measId*;
  - 3> remove this measId from the measIdList within VarMeasConfig;
- 1> if the measured results are for CDMA2000 HRPD:
  - 2> set the preRegistrationStatusHRPD to the UE's CDMA2000 upper layer's HRPD preRegistrationStatus;
- 1> if the measured results are for CDMA2000 1xRTT:
  - 2> set the preRegistrationStatusHRPD to FALSE;
- 1> if the measured results are for WLAN:
  - 2> set the *measResultListWLAN* to include the quantities within the *quantityConfigWLAN* for up to *maxReportCells* WLAN(s), determined according to the following:
    - 3> include WLAN the UE is connected to, if any;
    - 3> if reportAnyWLAN is set to TRUE:
      - 4> consider WLAN with any WLAN identifiers to be applicable for measurement reporting;
    - 3> else:
      - 4> consider only WLANs which do not match all WLAN identifiers of any entry within *wlan-MobilitySet* in *VarWLAN-MobilityConfig* to be applicable for measurement reporting;
    - 3> include applicable WLAN in order of decreasing WLAN RSSI, i.e. the best WLAN is included first;
  - 2> for each included WLAN:
    - 3> set wlan-Identifiers to include all WLAN identifiers that can be acquired for the WLAN measured;

- 3> set connected WLAN to TRUE if the UE is connected to the WLAN measured;
- 3> if reportQuantityWLAN exists within the ReportConfigInterRAT within the VarMeasConfig for this measId:
  - 4> if bandRequestWLAN is set to TRUE:
    - 5> set bandWLAN to include WLAN band of the WLAN measured:
  - 4> if *carrierInfoRequestWLAN* is set to *TRUE*:
    - 5> set *carrierInfoWLAN* to include WLAN carrier information of the WLAN measured if it can be acquired;
  - 4> if availableAdmissionCapacityRequestWLAN is set to TRUE:
    - 5> set the *measResult* to include *avaiableAdmissionCapacityWLAN* if it can be acquired;
  - 4> if backhaulDL-BandwidthRequestWLAN is set to TRUE:
    - 5> set the measResult to include backhaulDL-BandwidthWLAN if it can be acquired;
  - 4> if backhaulUL-BandwidthRequestWLAN is set to TRUE:
    - 5> set the measResult to include backhaulUL-BandwidthWLAN if it can be acquired;
  - 4> if *channelUtilizationRequestWLAN* is set to *TRUE*:
    - 5> set the *measResult* to include *channelUtilizationWLAN* if it can be acquired;
  - 4> if *stationCountRequestWLAN* is set to *TRUE*:
    - 5> set the *measResult* to include *stationCountWLAN* if it can be acquired;
- 1> if the UE is configured with NE-DC:
  - 2> submit the *MeasurementReport* message via SRB1 embedded in NR RRC message *ULInformationTransferMRDC* as specified in TS 38.331 [82].
- 1> else:
  - 2> submit the *MeasurementReport* message to lower layers for transmission, upon which the procedure ends;

## 5.5.5.2 Determination of available NR measurement results

When configured to report measurement results of the serving and the best neighbouring cells on NR serving frequencies, the UE shall consider NR measurement results to be available as follows:

- 1> only SSB based results are available and only if configured to measure these for the concerned serving frequency;
- 1> for the serving cell:
  - 2> include cell quantities RSRP and RSRQ while SINR is included if the UE is configured to measure this quantity on an NR frequency, possibly different from the concerned serving frequency, but only if configured by NR *measConfig*:
  - 2> include beam results and beam quantities if the UE is configured to measure these on an NR frequency, possibly different from the concerned serving frequency, but only if configured by NR *measConfig*;
- 1> for a neighbouring cell:
  - 2> include cell quantities, beam results and beam quantities if the UE is configured to measure these on an NR frequency, possibly different from the concerned serving frequency, but only if configured by NR measConfig.
- 1> filter available results according to the applicable field in NR quantityConfig:

## 5.5.5.3 Selection of NR sorting quality

When configured to report the best cells or beams, the UE shall determine the quantity that is used to order and select as follows:

- 1> for cells on the frequency associated with the *measId* that triggered the measurement reporting, if the *reportTrigger* is set to *event*, consider the quantity used in *bN-ThresholdYNR* to be the sorting quantity;
- 1> for other cases, determine the sorting quantity as follows:
  - 2> consider the following quantities as candidate sorting quantities:
    - 3> for cells on the frequency associated with the *measId* that triggered the measurement reporting (for a *triggerType* set to *periodical*):
      - 4> the quantities defined by reportQuantityCellNR, when used for sorting cells;
      - 4> the quantities defined by reportQuantityRS-IndexNR, when used for sorting beams;
    - 3> for cells, serving or non-serving (i.e. within *reportAddNeighMeas*), on NR serving frequencies other than the one associated with the *measId* triggering reporting:
      - 4> the available quantities of available NR measurement results as specified in 5.5.5.2;
  - 2> if there is a single candidate sorting quantity;
    - 3> consider the concerned quantity to be the sorting quantity;
  - 2> else:
    - 3> if RSRP is one of the candidate sorting quantities;
      - 4> consider RSRP to be the sorting quantity;
    - 3> else:
      - 4> consider RSRQ to be the sorting quantity;

## 5.5.6 Measurement related actions

## 5.5.6.1 Actions upon handover and re-establishment

E-UTRAN applies the handover procedure as follows:

- when performing the handover procedure, as specified in 5.3.5.4, ensure that a *measObjectId* corresponding to each handover target serving frequency is configured as a result of the procedures described in this sub-clause and in 5.3.5.4;
- when changing the band while the physical frequency remains unchanged, E-UTRAN releases the *measObject* corresponding to the source frequency and adds a *measObject* corresponding to the target frequency (i.e. it does not reconfigure the *measObject*);

E-UTRAN applies the re-establishment procedure as follows:

- when performing the connection re-establishment procedure, as specified in 5.3.7, ensure that a *measObjectId* corresponding each target serving frequency is configured as a result of the procedure described in this subclause and the subsequent connection reconfiguration procedure immediately following the re-establishment procedure;
- in the first reconfiguration following the re-establishment when changing the band while the physical frequency remains unchanged, E-UTRAN releases the *measObject* corresponding to the source frequency and adds a *measObject* corresponding to the target frequency (i.e. it does not reconfigure the *measObject*);

The UE shall:

- 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:
  - 2> if the *triggerType* is set to *periodical*:
    - 3> remove this *measId* from the *measIdList* within *VarMeasConfig*:
- 1> if the procedure was triggered due to a handover or successful re-establishment and the procedure involves a change of primary frequency, update the *measId* values in the *measIdList* within *VarMeasConfig* as follows:
  - 2> if a *measObjectId* value corresponding to the target primary frequency exists in the *measObjectList* within *VarMeasConfig*:
    - 3> for each measId value in the measIdList:
      - 4> if the *measId* value is linked to the *measObjectId* value corresponding to the source primary frequency:
        - 5> link this *measId* value to the *measObjectId* value corresponding to the target primary frequency;
      - 4> else if the *measId* value is linked to the *measObjectId* value corresponding to the target primary frequency:
        - 5> link this measId value to the measObjectId value corresponding to the source primary frequency;

- 3> remove all *measId* values that are linked to the *measObjectId* value corresponding to the source primary frequency;
- 1> remove all measurement reporting entries within VarMeasReportList;
- 1> stop the periodical reporting timer or timer T321, whichever one is running, as well as associated information (e.g. *timeToTrigger*) for all *measId*;
- 1> release the measurement gaps (configured by E-UTRA RRC), if activated;
- NOTE 1: If the UE requires measurement gaps to perform inter-frequency or inter-RAT measurements, the UE resumes the inter-frequency and inter-RAT measurements after the E-UTRAN has setup the measurement gaps.
- NOTE 2: In this procedure, the UE may or may not release the measGapSharingConfig.

## 5.5.6.2 Speed dependant scaling of measurement related parameters

The UE shall adjust the value of the following parameter configured by the E-UTRAN depending on the UE speed: *timeToTrigger*. The UE shall apply 3 different levels, which are selected as follows:

## The UE shall:

- 1> perform mobility state detection using the mobility state detection as specified in TS 36.304 [4] with the following modifications:
  - 2> counting handovers instead of cell reselections;
  - 2> applying the parameter applicable for RRC\_CONNECTED as included in *speedStatePars* within *VarMeasConfig*;
- 1> if high mobility state is detected:
  - 2> use the *timeToTrigger* value multiplied by *sf-High* within *VarMeasConfig*;
- 1> else if medium mobility state is detected:
  - 2> use the *timeToTrigger* value multiplied by *sf-Medium* within *VarMeasConfig*;

## 1> else:

2> no scaling is applied;

## 5.5.7 Inter-frequency RSTD measurement indication

#### 5.5.7.1 General

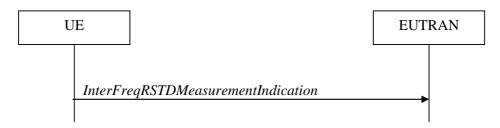


Figure 5.5.7.1-1: Inter-frequency RSTD measurement indication

The purpose of this procedure is to indicate to the network that the UE is going to start/stop OTDOA inter-frequency RSTD measurements which require measurement gaps as specified in TS 36.133 [16], clause 8.1.2.6. The procedure is also used to indicate to the network that the UE is going to start/stop OTDOA intra-frequency RSTD measurements which require measurement gaps. This procedure is also used to indicate to the network the measurement gap that the category M1 or M2 UE prefers to perform RSTD measurements with dense PRS configuration, as specified in TS 36.133 [16], Table 8.1.2.1-3.

NOTE: It is a network decision to configure the measurement gap.

#### 5.5.7.2 Initiation

The UE shall:

- 1> if and only if upper layers indicate to start performing inter-frequency RSTD measurements and the UE requires measurement gaps for these measurements while measurement gaps are either not configured or not sufficient:
  - 2> initiate the procedure to indicate start;
- NOTE 1: The UE verifies the measurement gap situation only upon receiving the indication from upper layers. If at this point in time sufficient gaps are available, the UE does not initiate the procedure. Unless it receives a new indication from upper layers, the UE is only allowed to further repeat the procedure in the same PCell once per frequency if the provided measurement gaps are insufficient.
- 1> if and only if upper layers indicate to stop performing inter-frequency RSTD measurements:
  - 2> initiate the procedure to indicate stop;
- NOTE 2: The UE may initiate the procedure to indicate stop even if it did not previously initiate the procedure to indicate start.

# 5.5.7.3 Actions related to transmission of *InterFreqRSTDMeasurementIndication* message

The UE shall set the contents of InterFreqRSTDMeasurementIndication message as follows:

- 1> if the procedure is initiated to indicate start or stop of inter-frequency RSTD measurements:
  - 2> set the *rstd-InterFreqIndication* as follows:
    - 3> if the procedure is initiated to indicate start of inter-frequency RSTD measurements:
      - 4> set the rstd-InterFreqInfoList according to the information received from upper layers;

- 4> for category M1 or M2 UE, if the procedure is initated to indicate the measurement gap that the UE prefers to perform RSTD measurements with dense PRS configuration:
  - 5> set the *measPRS-Offset-r15* according to the UE preference;
- 3> else if the procedure is initiated to indicate stop of inter-frequency RSTD measurements:
  - 4> set the *rstd-InterFreqIndication* to the value *stop*;

- 2> set the rstd-InterFreqIndication as follows:
  - 3> if the procedure is initiated to indicate start of intra-frequency RSTD measurements:
    - 4> set the *carrierFreq* in the *rstd-InterFreqInfoList* to the carrier frequency of the serving cell;
    - 4> for category M1 or M2 UE, if the procedure is initated to indicate the measurement gap that the UE prefers to perform RSTD measurements with dense PRS configuration:
      - 5> set the *measPRS-Offset-r15* according to the UE preference;
  - 3> else if the procedure is initiated to indicate stop of intra-frequency RSTD measurements:
    - 4> set the *rstd-InterFreqIndication* to the value *stop*;
- 1> submit the *InterFreqRSTDMeasurementIndication* message to lower layers for transmission, upon which the procedure ends;

## 5.6 Other

#### 5.6.0 General

For NB-IoT, only a subset of the procedures described in this sub-clause apply.

Table 5.6.0-1 specifies the procedures that are applicable to NB-IoT. All other procedures are not applicable to NB-IoT; this is not further stated in the corresponding procedures.

Table 5.6.0-1: "Other" Procedures applicable to a NB-IoT UE

Sub-clause	Procedures
5.6.1	DL information transfer
5.6.2	UL information transfer
5.6.3	UE Capability transfer

## 5.6.1 DL information transfer

## 5.6.1.1 General

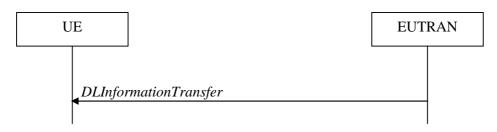


Figure 5.6.1.1-1: DL information transfer

The purpose of this procedure is to transfer NAS, (tunnelled) non-3GPP dedicated information or time reference information from E-UTRAN to a UE in RRC\_CONNECTED.

#### 5.6.1.2 Initiation

E-UTRAN initiates the DL information transfer procedure whenever there is a need to transfer NAS, non-3GPP dedicated information or time reference information. E-UTRAN initiates the DL information transfer procedure by sending the *DLInformationTransfer* message.

## 5.6.1.3 Reception of the *DLInformationTransfer* by the UE

Upon receiving *DLInformationTransfer* message, the UE shall:

- 1> if the UE is a NB-IoT UE; or
- 1> if the *dedicatedInfoType* is present and set to *dedicatedInfoNAS*:
  - 2> forward the *dedicatedInfoNAS* to the NAS upper layers.
- 1> if the dedicatedInfoType is present and set to dedicatedInfoCDMA2000-1XRTT or to dedicatedInfoCDMA2000-HRPD:
  - 2> forward the *dedicatedInfoCDMA2000* to the CDMA2000 upper layers;
- 1> if timeReferenceInfo is included:
  - 2> calculate the time reference based on the included *time*, *timeInfoType* and *referenceSFN* in *timeReferenceInfo*;
  - 2> calculate the inaccuracy of the time reference based on the *uncertainty* and other implementation-related inaccuracies, if *uncertainty* is included in *timeReferenceInfo*;
  - 2> inform upper layers of the time reference and, if *uncertainty* is included in *timeReferenceInfo*, of the inaccuracy of the time reference.

## 5.6.2 UL information transfer

#### 5.6.2.1 General



Figure 5.6.2.1-1: UL information transfer

The purpose of this procedure is to transfer NAS or (tunnelled) non-3GPP dedicated information from the UE to E-UTRAN.

## 5.6.2.2 Initiation

A UE in RRC\_CONNECTED initiates the UL information transfer procedure whenever there is a need to transfer NAS or non-3GPP dedicated information, except at RRC connection establishment or resume in which case the NAS information is piggybacked to the *RRCConnectionSetupComplete* or *RRCConnectionResumeComplete* message correspondingly. The UE initiates the UL information transfer procedure by sending the *ULInformationTransfer* message. When CDMA2000 information has to be transferred, the UE shall initiate the procedure only if SRB2 is established.

## 5.6.2.3 Actions related to transmission of *ULInformationTransfer* message

The UE shall set the contents of the *ULInformationTransfer* message as follows:

- 1> if there is a need to transfer NAS information:
  - 2> if the UE is a NB-IoT UE:
    - 3> set the *dedicatedInfoNAS* to include the information received from upper layers;
  - 2> else, set the *dedicatedInfoType* to include the *dedicatedInfoNAS*;
- 1> if there is a need to transfer CDMA2000 1XRTT information:
  - 2> set the *dedicatedInfoType* to include the *dedicatedInfoCDMA2000-1XRTT*;
- 1> if there is a need to transfer CDMA2000 HRPD information:
  - 2> set the dedicatedInfoType to include the dedicatedInfoCDMA2000-HRPD;
- 1> upon RRC connection establishment, if UE supports the Control Plane CIoT EPS optimisation and UE does not need UL gaps during continuous uplink transmission:
  - 2> configure lower layers to stop using UL gaps during continuous uplink transmission in FDD for *ULInformationTransfer* message and subsequent uplink transmission in RRC\_CONNECTED except for UL transmissions as specified in TS 36.211 [21];
- 1> submit the ULInformationTransfer message to lower layers for transmission, upon which the procedure ends;

## 5.6.2.4 Failure to deliver *ULInformationTransfer* message

The UE shall:

- 1> if the UE is a NB-IoT UE, AS security is not started and radio link failure occurs before the successful delivery of *ULInformationTransfer* messages has been confirmed by lower layers; or
- 1> if mobility (i.e. handover, RRC connection re-establishment) occurs before the successful delivery of *ULInformationTransfer* messages has been confirmed by lower layers:
  - 2> inform upper layers about the possible failure to deliver the information contained in the concerned *ULInformationTransfer* messages;

## 5.6.2a UL information transfer for MR-DC

#### 5.6.2a.1 General

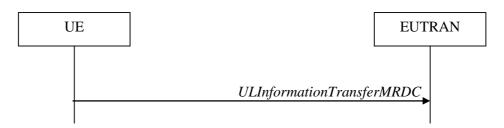


Figure 5.6.2a.1-1: UL information transfer MR-DC

The purpose of this procedure is to transfer from the UE to E-UTRAN MR-DC dedicated information e.g. the NR RRC Measurement Report message.

#### 5.6.2a.2 Initiation

A UE in RRC\_CONNECTED initiates the UL information transfer procedure whenever there is a need to transfer MR DC dedicated information as specified in TS 38.331 [82]. I.e. the procedure is not used during an RRC connection

reconfiguration involving NR connection reconfiguration, in which case the MR DC information is piggybacked to the *RRCConnectionReconfigurationComplete* message.

## 5.6.2a.3 Actions related to transmission of *ULInformationTransferMRDC* message

The UE shall set the contents of the *ULInformationTransferMRDC* message as follows:

- 1> if there is a need to transfer MR DC dedicated information:
  - 2> set the *ul-DCCH-MessageNR* to include the MR DC dedicated information to be transferred;
- 1> submit the *ULInformationTransferMRDC* message to lower layers for transmission, upon which the procedure ends:

#### 5.6.2a.4 Void

## 5.6.3 UE capability transfer

#### 5.6.3.1 General

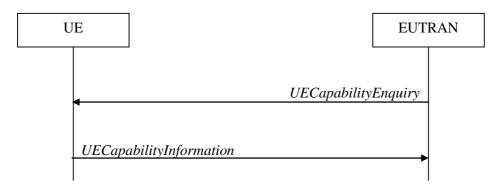


Figure 5.6.3.1-1: UE capability transfer

The purpose of this procedure is to transfer UE radio access capability information from the UE to E-UTRAN.

If the UE has changed its E-UTRAN radio access capabilities, the UE shall request higher layers to initiate the necessary NAS procedures (see TS 23.401 [41]) that would result in the update of UE radio access capabilities using a new RRC connection.

NOTE: Change of the UE's GERAN UE radio capabilities in RRC\_IDLE is supported by use of Tracking Area Update.

## 5.6.3.2 Initiation

E-UTRAN initiates the procedure to a UE in RRC\_CONNECTED when it needs (additional) UE radio access capability information. Except if the UE is using Control plane CIoT EPS optimisation, E-UTRAN should retrieve UE capabilities only after AS security activation and E-UTRAN does not forward capabilities that were retrieved before AS security activation to the CN.

## 5.6.3.3 Reception of the *UECapabilityEnquiry* by the UE

The UE shall:

- 1> for NB-IoT, set the contents of *UECapabilityInformation* message as follows:
  - 2> include the UE Radio Access Capability Parameters within the *ue-Capability*;
  - 2> include ue-RadioPagingInfo;

- 2> submit the *UECapabilityInformation* message to lower layers for transmission, upon which the procedure ends:
- 1> else, set the contents of *UECapabilityInformation* message as follows:
  - 2> if the *ue-CapabilityRequest* includes *eutra*:
    - 3> include the *UE-EUTRA-Capability* within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to *eutra*:
    - 3> if the UE supports FDD and TDD:
      - 4> set all fields of *UECapabilityInformation*, except field *fdd-Add-UE-EUTRA-Capabilities* and *tdd-Add-UE-EUTRA-Capabilities* (including their sub-fields), to include the values applicable for both FDD and TDD (i.e. functionality supported by both modes);
      - 4> if (some of) the UE capability fields have a different value for FDD and TDD:
        - 5> if for FDD, the UE supports additional functionality compared to what is indicated by the previous fields of *UECapabilityInformation*:
          - 6> include field *fdd-Add-UE-EUTRA-Capabilities* and set it to include fields reflecting the additional functionality applicable for FDD;
        - 5> if for TDD, the UE supports additional functionality compared to what is indicated by the previous fields of *UECapabilityInformation*:
          - 6> include field *tdd-Add-UE-EUTRA-Capabilities* and set it to include fields reflecting the additional functionality applicable for TDD;
- NOTE 1: The UE includes fields of XDD-Add-UE-EUTRA-Capabilities in accordance with the following:
  - The field is included only if one or more of its sub-fields (or bits in the feature group indicators string) has a value that is different compared to the value signalled elsewhere within *UE-EUTRA-Capability*; (this value signalled elsewhere is also referred to as the *Common value*, that is supported for both XDD modes)
  - For the fields that are included in *XDD-Add-UE-EUTRA-Capabilities*, the UE sets:
    - the sub-fields (or bits in the feature group indicators string) that are not allowed to be different to the same value as the *Common value*;
    - the sub-fields (or bits in the feature group indicators string) that are allowed to be different to a value indicating at least the same functionality as indicated by the *Common value*;
  - 3> else (UE supports single xDD mode):
    - 4> set all fields of *UECapabilityInformation*, except field *fdd-Add-UE-EUTRA-Capabilities* and *tdd-Add-UE-EUTRA-Capabilities* (including their sub-fields), to include the values applicable for the xDD mode supported by the UE;
  - 3> compile a list of band combinations, candidate for inclusion in the *UECapabilityInformation* message, comprising of band combinations supported by the UE according to the following priority order (i.e. listed in order of decreasing priority):
    - 4> include all non-CA bands, regardless of whether UE supports carrier aggregation, only:
      - if the UE includes ue-Category-v1020 (i.e. indicating category 6 to 8); or
      - if for at least one of the non-CA bands, the UE supports more MIMO layers with TM9 and TM10 than implied by the UE category; or
      - if the UE supports TM10 with one or more CSI processes; or
      - if the UE supports 1024QAM in DL;
    - 4> if the *UECapabilityEnquiry* message includes *requestedFrequencyBands* and UE supports *requestedFrequencyBands*:

- 5> include all 2DL+1UL CA band combinations, only consisting of bands included in requestedFrequencyBands;
- 5> include all other CA band combinations, only consisting of bands included in requestedFrequencyBands, and prioritized in the order of requestedFrequencyBands, (i.e. first include remaining band combinations containing the first-listed band, then include remaining band combinations containing the second-listed band, and so on);
- 4> else (no requested frequency bands):
  - 5> include all 2DL+1UL CA band combinations;
  - 5> include all other CA band combinations;
- 4> if UE supports *maximumCCsRetrieval* and if the *UECapabilityEnquiry* message includes the *requestedMaxCCsDL* and the *requestedMaxCCsUL* (i.e. both UL and DL maximums are given):
  - 5> remove from the list of candidates the band combinations for which the number of CCs in DL exceeds the value indicated in the *requestedMaxCCsDL* or for which the number of CCs in UL exceeds the value indicated in the *requestedMaxCCsUL*;
  - 5> indicate in requestedCCsUL the same value as received in requestedMaxCCsUL;
  - 5> indicate in requestedCCsDL the same value as received in requestedMaxCCsDL;
- 4> else if UE supports *maximumCCsRetrieval* and if the *UECapabilityEnquiry* message includes the *requestedMaxCCsDL* (i.e. only DL maximum limit is given):
  - 5> remove from the list of candidates the band combinations for which the number of CCs in DL exceeds the value indicated in the *requestedMaxCCsDL*;
  - 5> indicate value in requestedCCsDL the same value as received in requestedMaxCCsDL;
- 4> else if UE supports *maximumCCsRetrieval* and if the *UECapabilityEnquiry* message includes the *requestedMaxCCsUL* (i.e. only UL maximum limit is given):
  - 5> remove from the list of candidates the band combinations for which the number of CCs in UL exceeds the value indicated in the *requestedMaxCCsUL*;
  - 5> indicate in requestedCCsUL the same value as received in requestedMaxCCsUL;
- 4> if the UE supports reducedIntNonContComb and the UECapabilityEnquiry message includes requestReducedIntNonContComb:
  - 5> set reducedIntNonContCombRequested to true;
  - 5> remove from the list of candidates the intra-band non-contiguous CA band combinations which support is implied by another intra-band non-contiguous CA band combination included in the list of candidates as specified in TS 36.306 [5], clause 4.3.5.21:
- 4> if the UE supports requestReducedFormat and UE supports skipFallbackCombinations and UECapabilityEnquiry message includes requestSkipFallbackComb:
  - 5> set skipFallbackCombRequested to true;
  - 5> for each band combination included in the list of candidates (including 2DL+1UL CA band combinations), starting with the ones with the lowest number of DL and UL carriers, that concerns a fallback band combination of another band combination included in the list of candidates as specified in TS 36.306 [5]:
    - 6> remove the band combination from the list of candidates;
    - 6> include *differentFallbackSupported* in the band combination included in the list of candidates whose fallback concerns the removed band combination, if its capabilities differ from the removed band combination;

- 4> if the UE supports requestReducedFormat and diffFallbackCombReport, and UECapabilityEnquiry message includes requestDiffFallbackCombList:
  - 5> if the UE does not support *skipFallbackCombinations* or *UECapabilityEnquiry* message does not include *requestSkipFallbackComb*:
    - 6> remove all band combination from the list of candidates;
  - 5> for each CA band combination indicated in requestDiffFallbackCombList:
    - 6> include the CA band combination, if not already in the list of candidates;
    - 6> include the fallback combinations for which the supported UE capabilities are different from the capability of the CA band combination;
  - 5> include CA band combinations indicated in requestDiffFallbackCombList into requestedDiffFallbackCombList;
- 3> if the UECapabilityEnquiry message includes requestReducedFormat and UE supports requestReducedFormat:
  - 4> include in *supportedBandCombinationReduced* as many as possible of the band combinations included in the list of candidates, including the non-CA combinations, determined according to the rules and priority order defined above;

- 4> if the *UECapabilityEnquiry* message includes *requestedFrequencyBands* and UE supports *requestedFrequencyBands*:
  - 5> include in *supportedBandCombination* as many as possible of the band combinations included in the list of candidates, including the non-CA combinations and up to 5DL+5UL CA band combinations, determined according to the rules and priority order defined above;
  - 5> include in *supportedBandCombinationAdd* as many as possible of the remaining band combinations included in the list of candidates, (i.e. the candidates not included in *supportedBandCombination*), up to 5DL+5UL CA band combinations, determined according to the rules and priority order defined above;

#### 4> else

- 5> include in *supportedBandCombination* as many as possible of the band combinations included in the list of candidates, including the non-CA combinations and up to 5DL+5UL CA band combinations, determined according to the rules defined above;
- 5> if it is not possible to include in *supportedBandCombination* all the band combinations to be included according to the above, selection of the subset of band combinations to be included is left up to UE implementation;
- 3> indicate in *requestedBands* the same bands and in the same order as included in *requestedFrequencyBands*, if received;
- 3> if the UE is a category 0, M1 or M2 UE, or supports any UE capability information in *ue-RadioPagingInfo*, according to TS 36.306 [5]:
  - 4> include *ue-RadioPagingInfo* and set the fields according to TS 36.306 [5];
- 3> if the UE supports (NG)EN-DC or NE-DC and if requestedFreqBandsNR-MRDC is included in the request:
  - 4> include into *featureSetsEUTRA* the feature sets that are applicable for the received *requestedFreqBandsNR-MRDC* and *requestedCapabilityCommon* as specified in TS 38.331 [82], clause 5.6.1.4.
- NOTE 2: The network must include the *requestedFreqBandsNR-MRDC* in order to obtain feature sets for E-UTRA and MR-DC.

- NOTE 3: Even if the network requests (only) capabilities for *eutra*, it may include NR band numbers in the *requestedFreqBandsNR-MRDC* in order to ensure that the UE includes all necessary feature sets (i.e. E-UTRA and NR) needed for subsequently requested *eutra-nr* capabilities.
  - 3> if the *UECapabilityEnquiry* message includes *requestSTTI-SPT-Capability* and if the UE supports short TTI and/or SPT (i.e., *sTTI-SPT-Supported*):
    - 4> for each band combination the UE included in a field of the *UECapabilityInformation* message in accordance with the previous:
      - 5> if the UE supports short TTI, include the short TTI capabilities for each of the band combinations using the *stti-SPT-BandParameters*;
      - 5> if the UE supports SPT, include the SPT capabilities for each of the band combinations using the *stti-SPT-BandParameters*;
- NOTE 4: The UE may have to add/repeat the band combinations to the list of band combinations included earlier, to include short TTI capabilities and/or SPT capabilities.
  - 2> if the ue-CapabilityRequest includes geran-cs and if the UE supports GERAN CS domain:
    - 3> include the UE radio access capabilities for GERAN CS within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to *geran-cs*;
  - 2> if the ue-CapabilityRequest includes geran-ps and if the UE supports GERAN PS domain:
    - 3> include the UE radio access capabilities for GERAN PS within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to *geran-ps*;
  - 2> if the *ue-CapabilityRequest* includes *utra* and if the UE supports UTRA:
    - 3> include the UE radio access capabilities for UTRA within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to *utra*;
  - 2> if the ue-CapabilityRequest includes cdma2000-1XRTT and if the UE supports CDMA2000 1xRTT:
    - 3> include the UE radio access capabilities for CDMA2000 within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to *cdma2000-1XRTT*;
  - 2> if the *ue-CapabilityRequest* includes *nr* and if the UE supports NR:
    - 3> include the UE radio access capabilities for NR within a *ue-CapabilityRAT-Container*, with the *rat-Type* set to *nr*;
    - 3> include band combinations and feature sets as specified in TS 38.331 [82], clause 5.6.1.4, considering the included *requestedFreqBandsNR-MRDC*, *requestedCapabilityNR*, the *eutra-nr-only* flag and *requestedCapabilityCommon* (if present);
  - 2> if the ue-CapabilityRequest includes eutra-nr and if the UE supports (NG)EN-DC or NE-DC:
    - 3> include the UE radio access capabilities for EUTRA-NR within a *ue-CapabilityRAT-Container*, with the *rat-Type* set to *eutra-nr*;
    - 3> include band combinations as specified in TS 38.331 [82], clause 5.6.1.4, considering the included requestedFreqBandsNR-MRDC, requestedCapabilityNR (if present) and requestedCapabilityCommon (if included);
- 1> submit the UECapabilityInformation message to lower layers for transmission, upon which the procedure ends;

## 5.6.4 CSFB to 1x Parameter transfer

#### 5.6.4.1 General

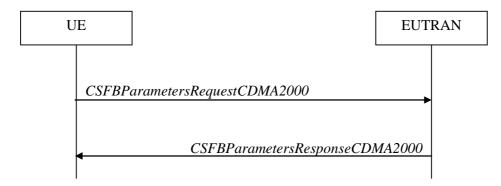


Figure 5.6.4.1-1: CSFB to 1x Parameter transfer

The purpose of this procedure is to transfer the CDMA2000 1xRTT parameters required to register the UE in the CDMA2000 1xRTT network for CSFB support.

#### 5.6.4.2 Initiation

A UE in RRC\_CONNECTED initiates the CSFB to 1x parameter transfer procedure upon request from the CDMA2000 upper layers. The UE initiates the CSFB to 1x parameter transfer procedure by sending the *CSFBParametersRequestCDMA2000* message.

## 5.6.4.3 Actions related to transmission of CSFBParametersRequestCDMA2000 message

The UE shall:

1> submit the *CSFBParametersRequestCDMA2000* message to lower layers for transmission using the current configuration;

## 5.6.4.4 Reception of the CSFBParametersResponseCDMA2000 message

Upon reception of the CSFBParametersResponseCDMA2000 message, the UE shall:

1> forward the rand and the mobilityParameters to the CDMA2000 1xRTT upper layers;

## 5.6.5 UE Information

#### 5.6.5.1 General

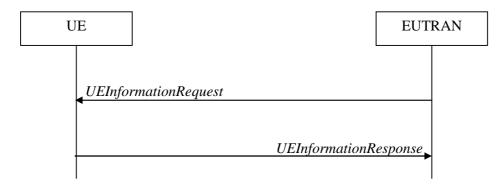


Figure 5.6.5.1-1: UE information procedure

The UE information procedure is used by E-UTRAN to request the UE to report information.

#### 5.6.5.2 Initiation

E-UTRAN initiates the procedure by sending the *UEInformationRequest* message. E-UTRAN should initiate this procedure only after successful security activation.

## 5.6.5.3 Reception of the *UEInformationRequest* message

Upon receiving the UEInformationRequest message, the UE shall, only after successful security activation:

- 1> if rach-ReportReq is set to true, set the contents of the rach-Report in the UEInformationResponse message as follows:
  - 2> set the *numberOfPreamblesSent* to indicate the number of preambles sent by MAC for the last successfully completed random access procedure;
  - 2> if contention resolution was not successful as specified in TS 36.321 [6] for at least one of the transmitted preambles for the last successfully completed random access procedure:
    - 3> set the *contentionDetected* to *true*;
  - 2> else:
    - 3> set the *contentionDetected* to *false*;
- 1> if *rlf-ReportReq* is set to *true* and the UE has radio link failure information or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
  - 2> set *timeSinceFailure* in *VarRLF-Report* to the time that elapsed since the last radio link or handover failure in E-UTRA;
  - 2> set the rlf-Report in the UEInformationResponse message to the value of rlf-Report in VarRLF-Report;
  - 2> discard the *rlf-Report* from *VarRLF-Report* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;
- 1> if *connEstFailReportReq* is set to *true* and the UE has connection establishment failure information in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:
  - 2> set *timeSinceFailure* in *VarConnEstFailReport* to the time that elapsed since the last connection establishment failure in E-UTRA;
  - 2> set the *connEstFailReport* in the *UEInformationResponse* message to the value of *connEstFailReport* in *VarConnEstFailReport*;
  - 2> discard the *connEstFailReport* from *VarConnEstFailReport* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;
- 1> if the *logMeasReportReq* is present and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
  - 2> if VarLogMeasReport includes one or more logged measurement entries, set the contents of the logMeasReport in the UEInformationResponse message as follows:
    - 3> include the absoluteTimeStamp and set it to the value of absoluteTimeInfo in the VarLogMeasReport;
    - 3> include the traceReference and set it to the value of traceReference in the VarLogMeasReport;
    - 3> include the *traceRecordingSessionRef* and set it to the value of *traceRecordingSessionRef* in the *VarLogMeasReport*;
    - 3> include the *tce-Id* and set it to the value of *tce-Id* in the *VarLogMeasReport*;
    - 3> include the *logMeasInfoList* and set it to include one or more entries from *VarLogMeasReport* starting from the entries logged first;
    - 3> if the *VarLogMeasReport* includes one or more additional logged measurement entries that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:

- 4> include the *logMeasAvailable*;
- 3> if the *VarLogMeasReport* includes one or more additional logged Bluetooth measurement entries that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:
  - 4> include the *logMeasAvailableBT*;
- 3> if the *VarLogMeasReport* includes one or more additional logged WLAN measurement entries that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:
  - 4> include the *logMeasAvailableWLAN*;
- 1> if mobilityHistoryReportReq is set to true:
  - 2> include the mobilityHistoryReport and set it to include entries from VarMobilityHistoryReport;
  - 2> include in the *mobilityHistoryReport* an entry for the current cell, possibly after removing the oldest entry if required, and set its fields as follows:
    - 3> set visitedCellId to the global cell identity of the current cell:
    - 3> set field *timeSpent* to the time spent in the current cell;
- 1> if the *idleModeMeasurementReq* is included in the *UEInformationRequest* and UE has stored *VarMeasIdleReport*:
  - 2> set the *measResultListIdle* in the *UEInformationResponse* message to the value of *measReportIdle* in the *VarMeasIdleReport*;
  - 2> discard the *VarMeasIdleReport* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;
- 1> if *flightPathInfoReq* field is present and the UE has flight path information available:
  - 2> include the flightPathInfoReport and set it to include the list of waypoints along the flight path;
  - 2> if the *includeTimeStamp* is set to TRUE:
    - 3> set the field *timeStamp* to the time when UE intends to arrive to each waypoint if this information is available at the UE;
- 1> if the *logMeasReport* is included in the *UEInformationResponse*:
  - 2> submit the *UEInformationResponse* message to lower layers for transmission via SRB2;
  - 2> discard the logged measurement entries included in the *logMeasInfoList* from *VarLogMeasReport* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;
- 1> else:
  - 2> submit the *UEInformationResponse* message to lower layers for transmission via SRB1;

# 5.6.6 Logged Measurement Configuration

#### 5.6.6.1 General

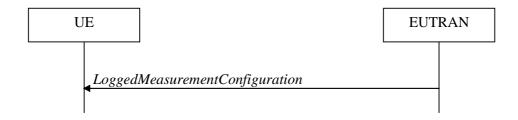


Figure 5.6.6.1-1: Logged measurement configuration

The purpose of this procedure is to configure the UE to perform logging of measurement results while in RRC\_IDLE and to perform logging of measurement results for MBSFN in both RRC\_IDLE and RRC\_CONNECTED. The procedure applies to logged measurements capable UEs that are in RRC\_CONNECTED.

NOTE: E-UTRAN may retrieve stored logged measurement information by means of the UE information procedure.

#### 5.6.6.2 Initiation

E-UTRAN initiates the logged measurement configuration procedure to UE in RRC\_CONNECTED by sending the *LoggedMeasurementConfiguration* message.

## 5.6.6.3 Reception of the LoggedMeasurementConfiguration by the UE

Upon receiving the *LoggedMeasurementConfiguration* message the UE shall:

- 1> discard the logged measurement configuration as well as the logged measurement information as specified in 5.6.7;
- 1> store the received logging Duration, logging Interval and area Configuration, if included, in VarLogMeas Config;
- 1> if the LoggedMeasurementConfiguration message includes plmn-IdentityList:
  - 2> set *plmn-IdentityList* in *VarLogMeasReport* to include the RPLMN as well as the PLMNs included in *plmn-IdentityList*;
- 1> else:
  - 2> set *plmn-IdentityList* in *VarLogMeasReport* to include the RPLMN;
- 1> store the received *absoluteTimeInfo*, *traceReference*, *traceRecordingSessionRef* and *tce-Id* in *VarLogMeasReport*;
- 1> store the received *targetMBSFN-AreaList*, if included, in *VarLogMeasConfig*;
- 1> store the received bt-NameList, if included, in VarLogMeasConfig;
- 1> store the received wlan-Namelist, if included, in VarLogMeasConfig;
- 1> start timer T330 with the timer value set to the *loggingDuration*;

## 5.6.6.4 T330 expiry

Upon expiry of T330 the UE shall:

1> release *VarLogMeasConfig*;

The UE is allowed to discard stored logged measurements, i.e. to release *VarLogMeasReport*, 48 hours after T330 expiry.

## 5.6.7 Release of Logged Measurement Configuration

#### 5.6.7.1 General

The purpose of this procedure is to release the logged measurement configuration as well as the logged measurement information.

#### 5.6.7.2 Initiation

The UE shall initiate the procedure upon receiving a logged measurement configuration in another RAT. The UE shall also initiate the procedure upon power off or detach.

The UE shall:

- 1> stop timer T330, if running;
- 1> if stored, discard the logged measurement configuration as well as the logged measurement information, i.e. release the UE variables *VarLogMeasConfig* and *VarLogMeasReport*;

## 5.6.8 Measurements logging

#### 5.6.8.1 General

This procedure specifies the logging of available measurements by a UE in RRC\_IDLE that has a logged measurement configuration and the logging of available measurements by a UE in both RRC\_IDLE and RRC\_CONNECTED if *targetMBSFN-AreaList* is included in *VarLogMeasConfig*.

#### 5.6.8.2 Initiation

While T330 is running, the UE shall:

- 1> if measurement logging is suspended:
  - 2> if during the last logging interval the IDC problems detected by the UE is resolved, resume measurement logging;
- 1> if not suspended, perform the logging in accordance with the following:
  - 2> if targetMBSFN-AreaList is included in VarLogMeasConfig:
    - 3> if the UE is camping normally on an E-UTRA cell or is connected to E-UTRA; and
    - 3> if the RPLMN is included in plmn-IdentityList stored in VarLogMeasReport; and
    - 3> if the PCell (in RRC\_CONNECTED) or cell where the UE is camping (in RRC\_IDLE) is part of the area indicated by *areaConfiguration* if configured in *VarLogMeasConfig*:
      - 4> for MBSFN areas, indicated in *targetMBSFN-AreaList*, from which the UE is receiving MBMS service:
        - 5> perform MBSFN measurements in accordance with the performance requirements as specified in TS 36.133 [16];
- NOTE 1: When configured to perform MBSFN measurement logging by *targetMBSFN-AreaList*, the UE is not required to receive additional MBSFN subframes, i.e. logging is based on the subframes corresponding to the MBMS services the UE is receiving.
  - 5> perform logging at regular time intervals as defined by the *loggingInterval* in *VarLogMeasConfig*, but only for those intervals for which MBSFN measurement results are available as specified in TS 36.133 [16];

2> else if:

- 3> if the UE is in any cell selection state (as specified in TS 36.304 [4]):
  - 4> perform the logging at regular time intervals, as defined by the loggingInterval in VarLogMeasConfig;
- 3> else if the UE is camping normally on an E-UTRA cell and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport* and, if the cell is part of the area indicated by *areaConfiguration* if configured in *VarLogMeasConfig*:
  - 4> perform the logging at regular time intervals, as defined by the loggingInterval in VarLogMeasConfig;
- 2> when adding a logged measurement entry in *VarLogMeasReport*, include the fields in accordance with the following:
  - 3> if the UE detected IDC problems during the last logging interval:
    - 4> if measResultServCell in VarLogMeasReport is not empty:
      - 5> include InDeviceCoexDetected;
      - 5> suspend measurement logging from the next logging interval;
    - 4> else:
      - 5> suspend measurement logging;
- NOTE 1A: The UE may detect the start of IDC problems as early as Phase 1 as described in clause 23.4 of TS 36.300 [9].
  - 3> set the *relativeTimeStamp* to indicate the elapsed time since the moment at which the logged measurement configuration was received;
  - 3> if detailed location information became available during the last logging interval, set the content of the *locationInfo* as follows:
    - 4> include the *locationCoordinates*;
  - 3> if wlan-NameList is included in VarLogMeasConfig:
    - 4> if detailed WLAN measurements are available:
      - 5> include logMeasResultListWLAN, in order of decreasing RSSI for WLAN APs;
  - 3> if bt-NameList is included in VarLogMeasConfig:
    - 4> if detailed Bluetooth measurements are available:
      - 5> include logMeasResultListBT, in order of decreasing RSSI for Bluetooth beacons;
  - 3> if targetMBSFN-AreaList is included in VarLogMeasConfig:
    - 4> for each MBSFN area, for which the mandatory measurements result fields became available during the last logging interval:
      - 5> set the *rsrpResultMBSFN*, *rsrqResultMBSFN* to include measurement results that became available during the last logging interval;
      - 5> include the fields *signallingBLER-Result* or *dataBLER-MCH-ResultList* if the concerned BLER results are availble,
      - 5> set the *mbsfn-Areald* and *carrierFrequency* to indicate the MBSFN area in which the UE is receiving MBSFN transmission;
    - 4> if in RRC\_CONNECTED:
      - 5> set the *servCellIdentity* to indicate global cell identity of the PCell;
      - 5> set the *measResultServCell* to include the layer 3 filtered measured results of the PCell;

- 5> if available, set the *measResultNeighCells* to include the layer 3 filtered measured results of SCell(s) and neighbouring cell(s) measurements that became available during the last logging interval, in order of decreasing RSRP, for at most the following number of cells: 6 intra-frequency and 3 inter-frequency cells per frequency and according to the following:
  - 6> for each cell included, include the optional fields that are available;
- 5> if available, optionally set the *measResultNeighCells* to include the layer 3 filtered measured results of neighbouring cell(s) measurements that became available during the last logging interval, in order of decreasing RSCP(UTRA)/RSSI(GERAN)/PilotStrength(cdma2000), for at most the following number of cells: 3 inter-RAT cells per frequency (UTRA, cdma2000)/set of frequencies (GERAN), and according to the following:
  - 6> for each cell included, include the optional fields that are available;

#### 4> if in RRC\_IDLE:

- 5> set the *servCellIdentity* to indicate global cell identity of the serving cell;
- 5> set the *measResultServCell* to include the quantities of the serving cell;
- 5> if available, set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell re-selection, to include neighbouring cell measurements that became available during the last logging interval for at most the following number of neighbouring cells: 6 intra-frequency and 3 inter-frequency neighbours per frequency and according to the following:
  - 6> for each neighbour cell included, include the optional fields that are available;
- 5> if available, optionally set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell re-selection, to include neighbouring cell measurements that became available during the last logging interval, for at most the following number of cells: 3 inter-RAT cells per frequency (UTRA, cdma2000)/set of frequencies (GERAN), and according to the following:
  - 6> for each cell included, include the optional fields that are available;
- 4> for the cells included according to the previous (i.e. covering previous and current serving cells as well as neighbouring EUTRA cells) include results according to the extended RSRQ if corresponding results are available according to the associated performance requirements defined in TS 36.133 [16];
- 4> for the cells included according to the previous (i.e. covering previous and current serving cells as well as neighbouring EUTRA cells) include RSRQ type if the result was based on measurements using a wider band or using all OFDM symbols;
- NOTE 2: The UE includes the latest results in accordance with the performance requirements as specified in TS 36.133 [16]. E.g. RSRP and RSRQ results are available only if the UE has a sufficient number of results/receives a sufficient number of subframes during the logging interval.

## 3> else:

- 4> if the UE is in any cell selection state (as specified in TS 36.304 [4]):
  - 5> set any Cell Selection Detected to indicate the detection of no suitable or no acceptable cell found;
  - 5> set the *servCellIdentity* to indicate global cell identity of the last logged cell that the UE was camping on;
  - 5> set the measResultServCell to include the quantities of the last logged cell the UE was camping on;

#### 4> else:

- 5> set the servCellIdentity to indicate global cell identity of the cell the UE is camping on;
- 5> set the *measResultServCell* to include the quantities of the cell the UE is camping on;
- 4> if available, set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell reselection, to include neighbouring cell measurements that became available during the last logging

interval for at most the following number of neighbouring cells: 6 intra-frequency and 3 inter-frequency neighbours per frequency as well as 3 inter-RAT neighbours, per frequency/ set of frequencies (GERAN) per RAT and according to the following:

- 5> for each neighbour cell included, include the optional fields that are available;
- 4> for the cells included according to the previous (i.e. covering previous and current serving cells as well as neighbouring EUTRA cells) include results according to the extended RSRQ if corresponding results are available according to the associated performance requirements defined in TS 36.133 [16];
- 4> for the cells included according to the previous (i.e. covering previous and current serving cells as well as neighbouring EUTRA cells) include RSRQ type if the result was based on measurements using a wider band or using all OFDM symbols;
- NOTE 3: The UE includes the latest results of the available measurements as used for cell reselection evaluation in RRC\_IDLE or as used for evaluation of reporting criteria or for measurement reporting according to 5.5.3 in RRC\_CONNECTED, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].
  - 2> when the memory reserved for the logged measurement information becomes full, stop timer T330 and perform the same actions as performed upon expiry of T330, as specified in 5.6.6.4;

## 5.6.9 In-device coexistence indication

#### 5.6.9.1 General

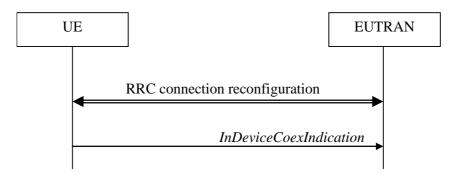


Figure 5.6.9.1-1: In-device coexistence indication

The purpose of this procedure is to inform E-UTRAN about (a change of) the In-Device Coexistence (IDC) problems experienced by the UE in RRC\_CONNECTED, as described in TS 36.300 [9], and to provide the E-UTRAN with information in order to resolve them.

#### 5.6.9.2 Initiation

A UE capable of providing IDC indications may initiate the procedure when it is configured to provide IDC indications and upon change of IDC problem information.

Upon initiating the procedure, the UE shall:

- 1> if configured to provide IDC indications:
  - 2> if the UE did not transmit an *InDeviceCoexIndication* message since it was configured to provide IDC indications:
    - 3> if on one or more frequencies for which a *measObjectEUTRA* is configured, the UE is experiencing IDC problems that it cannot solve by itself; or
    - 3> if configured to provide IDC indications for UL CA; and if on one or more supported UL CA combination comprising of carrier frequencies for which a measurement object is configured, the UE is experiencing IDC problems that it cannot solve by itself; or

- 3> if configured to provide IDC indications for MR-DC, and if on one or more supported MR-DC combination comprising of at least one E-UTRA carrier frequency for which a measurement object is configured and at least one NR carrier frequency included in *candidateServingFreqListNR*, the UE is experiencing IDC problems that it cannot solve by itself:
  - 4> initiate transmission of the InDeviceCoexIndication message in accordance with 5.6.9.3;

- 3> if the set of frequencies, for which a *measObjectEUTRA* is configured and on which the UE is experiencing IDC problems that it cannot solve by itself, is different from the set indicated in the last transmitted *InDeviceCoexIndication* message; or
- 3> if for one or more of the frequencies in the previously reported set of frequencies, the *interferenceDirection* is different from the value indicated in the last transmitted *InDeviceCoexIndication* message; or
- 3> if the TDM assistance information is different from the assistance information included in the last transmitted *InDeviceCoexIndication* message; or
- 3> if configured to provide IDC indications for UL CA; and if the *victimSystemType* is different from the value indicated in the last transmitted *InDeviceCoexIndication* message; or
- 3> if configured to provide IDC indications for UL CA; and if the set of supported UL CA combinations on which the UE is experiencing IDC problems that it cannot solve by itself and that the UE includes in affectedCarrierFreqCombList according to 5.6.9.3, is different from the set indicated in the last transmitted InDeviceCoexIndication message; or
- 3> if configured to provide IDC indications for MR-DC, and if the set of supported MR-DC combinations on which the UE is experiencing IDC problems that it cannot solve by itself and that the UE includes in affectedCarrierFreqCombInfoListMRDC according to 5.6.9.3, is different from the set indicated in the last transmitted InDeviceCoexIndication message:
  - 4> initiate transmission of the InDeviceCoexIndication message in accordance with 5.6.9.3;
- NOTE 1: The term "IDC problems" refers to interference issues applicable across several subframes/slots where not necessarily all the subframes/slots are affected.
- NOTE 2: For the frequencies on which a serving cell or serving cells is configured that is activated, IDC problems consist of interference issues that the UE cannot solve by itself, during either active data exchange or upcoming data activity which is expected in up to a few hundred milliseconds.

  For frequencies on which a SCell or SCells is configured that is deactivated, reporting IDC problems indicates an anticipation that the activation of the SCell or SCells would result in interference issues that the UE would not be able to solve by itself.

  For a non-serving frequency, reporting IDC problems indicates an anticipation that if the non-serving frequency or frequencies became a serving frequency or serving frequencies then this would result in interference issues that the UE would not be able to solve by itself.

## 5.6.9.3 Actions related to transmission of *InDeviceCoexIndication* message

The UE shall set the contents of the InDeviceCoexIndication message as follows:

- 1> if there is at least one E-UTRA carrier frequency, for which a measurement object is configured, that is affected by IDC problems:
  - 2> include the field *affectedCarrierFreqList* with an entry for each affected E-UTRA carrier frequency for which a measurement object is configured;
  - 2> for each E-UTRA carrier frequency included in the field *affectedCarrierFreqList*, include *interferenceDirection* and set it accordingly;
  - 2> include Time Domain Multiplexing (TDM) based assistance information, unless *idc-HardwareSharingIndication* is configured and the UE has no Time Doman Multiplexing based assistance information that could be used to resolve the IDC problems:

- 3> if the UE has DRX related assistance information that could be used to resolve the IDC problems:
  - 4> include *drx-CycleLength*, *drx-Offset* and *drx-ActiveTime*;
- 3> else (the UE has desired subframe reservation patterns related assistance information that could be used to resolve the IDC problems):
  - 4> include idc-SubframePatternList;
- 3> use the MCG as timing reference if TDM based assistance information regarding the SCG is included;
- 1> if the UE is configured to provide UL CA information and there is a supported UL CA combination comprising of carrier frequencies for which a measurement object is configured, that is affected by IDC problems:
  - 2> include victimSystemType in ul-CA-AssistanceInfo;
  - 2> if the UE sets *victimSystemType* to *wlan* or *Bluetooth*:
    - 3> include affectedCarrierFreqCombList in ul-CA-AssistanceInfo with an entry for each supported UL CA combination comprising of carrier frequencies for which a measurement object is configured, that is affected by IDC problems;

- 3> optionally include *affectedCarrierFreqCombList* in *ul-CA-AssistanceInfo* with an entry for each supported UL CA combination comprising of carrier frequencies for which a measurement object is configured, that is affected by IDC problems;
- 1> if *idc-HardwareSharingIndication* is configured, and there is at least one E-UTRA carrier frequency, for which a measurement object is configured, the UE is experiencing hardware sharing problems that it cannot solve by itself:
  - 2> include the *hardwareSharingProblem* and set it accordingly;
- 1> if the UE is configured to provide IDC indications for MR-DC and there is a supported MR-DC band combination comprising of at least one E-UTRA carrier frequency for which a measurement object is configured and at least one NR carrier frequency included in *candidateServingFreqListNR*, that is affected by IDC problems; and
- 1> if the IDC problem does not only concern the E-UTRA band combination as the UE already included in affectedCarrierFreqCombList:
  - 2> for each entry of affectedCarrierFreqCombInfoListMRDC in mrdc-AssistanceInfo;
    - 3> include *victimSystemType*;
    - 3> include interferenceDirection;
    - 3> if the UE sets *victimSystemType* to *wlan* or *Bluetooth*:
      - 4> include a set of at least one NR carrier frequency included in *candidateServingFreqListNR* and optionally one or more E-UTRA carrier frequency for which a measurement object is configured, that is affected by IDC problems;

#### 3> else:

- 4> optionally include a set of at least one NR carrier frequency included in *candidateServingFreqListNR* and optionally one or more E-UTRA carrier frequency for which a measurement object is configured, that is affected by IDC problems;
- NOTE 1: When sending an *InDeviceCoexIndication* message to inform E-UTRAN the IDC problems, the UE includes all assistance information (rather than providing e.g. the changed part(s) of the assistance information).
- NOTE 2: Upon not anymore experiencing a particular IDC problem that the UE previously reported, the UE provides an IDC indication with the modified contents of the *InDeviceCoexIndication* message (e.g. by an empty message).

The UE shall submit the InDeviceCoexIndication message to lower layers for transmission.

## 5.6.10 UE Assistance Information

#### 5.6.10.1 General

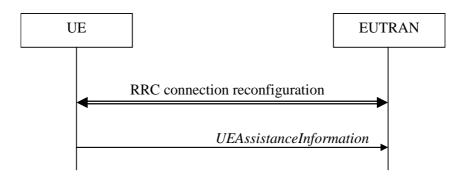


Figure 5.6.10.1-1: UE Assistance Information

The purpose of this procedure is to inform E-UTRAN of the UE's power saving preference and SPS assistance information, maximum PDSCH/PUSCH bandwidth configuration preference, overheating assistance information, or the UE's delay budget report carrying desired increment/decrement in the Uu air interface delay or connected mode DRX cycle length and for BL UEs or UEs in CE of the RLM event ("early-out-of-sync" or "early-in-sync") and RLM information. Upon configuring the UE to provide power preference indications E-UTRAN may consider that the UE does not prefer a configuration primarily optimised for power saving until the UE explictly indicates otherwise.

#### 5.6.10.2 Initiation

A UE capable of providing power preference indications in RRC\_CONNECTED may initiate the procedure in several cases including upon being configured to provide power preference indications and upon change of power preference. A UE capable of providing SPS assistance information in RRC\_CONNECTED may initiate the procedure in several cases including upon being configured to provide SPS assistance information and upon change of SPS assistance information.

A UE capable of providing delay budget report in RRC\_CONNECTED may initiate the procedure in several cases, including upon being configured to provide delay budget report and upon change of delay budget preference.

A UE capable of CE mode and providing maximum PDSCH/PUSCH bandwidth preference in RRC\_CONNECTED may initiate the procedure upon being configured to provide maximum PDSCH/PUSCH bandwidth preference and/or upon change of maximum PDSCH/PUSCH bandwidth preference.

A UE capable of providing overheating assistance information in RRC\_CONNECTED may initiate the procedure if it was configured to do so, upon detecting internal overheating, or upon detecting that it is no longer experiencing an overheating condition.

Upon initiating the procedure, the UE shall:

- 1> if configured to provide power preference indications:
  - 2> if the UE did not transmit a *UEAssistanceInformation* message with *powerPrefIndication* since it was configured to provide power preference indications; or
  - 2> if the current power preference is different from the one indicated in the last transmission of the *UEAssistanceInformation* message and timer T340 is not running:
    - 3> start or restart timer T340 with the timer value set to the *powerPrefIndicationTimer*, if the UE does not prefer a configuration primarily optimised for power saving;
    - 3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;
- 1> if configured to provide maximum PDSCH/PUSCH bandwidth preference:

- 2> if the UE did not transmit a *UEAssistanceInformation* message with *bw-Preference* since it was configured to provide maximum PDSCH/PUSCH bandwidth preference; or
- 2> if the current maximum PDSCH/PUSCH bandwidth preference is different from the one indicated in the last transmission of the *UEAssistanceInformation* message and timer T341 is not running;
  - 3> start timer T341 with the timer value set to the *bw-PreferenceIndicationTimer*;
  - 3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;
- 1> if configured to provide SPS assistance information:
  - 2> if the UE did not transmit a *UEAssistanceInformation* message with *sps-AssistanceInformation* since it was configured to provide SPS assistance information; or
  - 2> if the current SPS assistance information is different from the one indicated in the last transmission of the *UEAssistanceInformation* message:
    - 3> initiate transmission of the UEAssistanceInformation message in accordance with 5.6.10.3;
- 1> if configured to report RLM events:
  - 2> if "early-out-of-sync" event has been detected (T314 has expired) and T343 is not running:
    - 3> start timer T343 with the timer value set to the *rlmReportTimer*:
    - 3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;
  - 2> if "early-in-sync" event has been detected (T315 has expired) and T344 is not running:
    - 3> start timer T344 with the timer value set to the *rlmReportTimer*:
    - 3> initiate transmission of the UEAssistanceInformation message in accordance with 5.6.10.3;
- 1> if configured to provide delay budget report:
  - 2> if the UE did not transmit a *UEAssistanceInformation* message with *delayBudgetReport* since it was configured to provide delay budget report; or
  - 2> if the current delay budget is different from the one indicated in the last transmission of the *UEAssistanceInformation* message and timer T342 is not running:
    - 3> start or restart timer T342 with the timer value set to the *delayBudgetReportingProhibitTimer*;
    - 3> initiate transmission of the UEAssistanceInformation message in accordance with 5.6.10.3;
- 1> if configured to provide overheating assistance information:
  - 2> if the overheating condition has been detected and T345 is not running; or
  - 2> if the current overheating assistance information is different from the one indicated in the last transmission of the *UEAssistanceInformation* message and timer T345 is not running:
    - 3> start timer T345 with the timer value set to the *overheatingIndicationProhibitTimer*;
    - 3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;

## 5.6.10.3 Actions related to transmission of *UEAssistanceInformation* message

The UE shall set the contents of the UEAssistanceInformation message for power preference indications:

- 1> if configured to provide power preference indication and if the UE prefers a configuration primarily optimised for power saving:
  - 2> set powerPrefIndication to lowPowerConsumption;
- 1> else if configured to provide power preference indication:

2> set *powerPrefIndication* to *normal*;

The UE shall set the contents of the UEAssistanceInformation message for SPS assistance information:

- 1> if configured to provide SPS assistance information:
  - 2> if there is any traffic for V2X sidelink communication which needs to report SPS assistance information:
    - 3> include trafficPatternInfoListSL in the UEAssistanceInformation message;
  - 2> if there is any traffic for uplink communication which needs to report SPS assistance information:
    - 3> include trafficPatternInfoListUL in the UEAssistanceInformation message;

The UE shall set the contents of the UEAssistanceInformation message for bandwidth preference indications:

1> set bw-Preference to its preferred configuration;

The UE shall set the contents of the UEAssistanceInformation message for delay budget report:

- 1> if configured to provide delay budget report:
  - 2> if the UE prefers an adjustment in the connected mode DRX cycle length:
    - 3> set delayBudgetReport to type1 according to a desired value;
  - 2> else if the UE prefers coverage enhancement configuration change:
    - 3> set delayBudgetReport to type2 according to a desired value;

The UE shall set the contents of the UEAssistanceInformation message for the RLM report:

- 1> if configured to provide RLM report:
  - 2> if T314 has expired:
    - 3> set rlm-event to earlyOutOfSync;
  - 2> if T315 has expired:
    - 3> set rlm-event to earlyInSync;
    - 3> if configured to report *rlmReportRep-MPDCCH*:
      - 4> set excessRep-MPDCCH to the value indicated by lower layers;

The UE shall set the contents of the UEAssistanceInformation message for overheating assistance indication:

- 1> if configured to provide overheating assistance indication:
  - 2> if the UE experiences internal overheating:
    - 3> if the UE prefers to temporarily reduce its DL category and UL category:
      - 4> include reducedUE-Category in the OverheatingAssistance IE;
      - 4> set *reducedUE-CategoryDL* to the number to which the UE prefers to temporarily reduce its DL category;
      - 4> set *reducedUE-CategoryUL* to the number to which the UE prefers to temporarily reduce its UL category;
    - 3> if the UE prefers to temporarily reduce the number of maximum secondary component carriers:
      - 4> include reducedMaxCCs in the OverheatingAssistance IE;
      - 4> set *reducedCCsDL* to the number of maximum SCells the UE prefers to be temporarily configured in downlink;

- 4> set *reducedCCsUL* to the number of maximum SCells the UE prefers to be temporarily configured in uplink;
- 2> else (if the UE no longer experiences an overheating condition):
  - 3> do not include reducedUE-Category and reducedMaxCCs in OverheatingAssistance IE;

The UE shall submit the UEAssistanceInformation message to lower layers for transmission.

- NOTE 1: It is up to UE implementation when and how to trigger SPS assistance information.
- NOTE 2: It is up to UE implementation to set the content of trafficPatternInfoListSL and trafficPatternInfoListUL.
- NOTE 3: Traffic patterns for different Destination Layer 2 IDs are provided in different entries in *trafficPatternInfoListSL*.
- NOTE 4: Although not recommended, UE may start or restart the following timers whenever it sends the *UEAssistanceInformation* message (i.e. even if the message was not triggered for the concerned feature): T340, T341, T342, T343, T344 and T345.

# 5.6.11 Mobility history information

#### 5.6.11.1 General

This procedure specifies how the mobility history information is stored by the UE, covering RRC\_CONNECTED and RRC\_IDLE.

#### 5.6.11.2 Initiation

If the UE supports storage of mobility history information, the UE shall:

- 1> Upon change of cell, consisting of PCell in RRC\_CONNECTED or serving cell in RRC\_IDLE, to another E-UTRA or inter-RAT cell or when entering out of service:
  - 2> include an entry in variable *VarMobilityHistoryReport* possibly after removing the oldest entry, if necessary, according to following:
    - 3> if the global cell identity of the previous PCell/ serving cell is available:
      - 4> include the global cell identity of that cell in the field *visitedCellId* of the entry;
    - 3> else:
      - 4> include the physical cell identity and carrier frequency of that cell in the field *visitedCellId* of the entry;
    - 3> set the field *timeSpent* of the entry as the time spent in the previous PCell/ serving cell;
- 1> upon entering E-UTRA (in RRC\_CONNECTED or RRC\_IDLE) while previously out of service and/ or using another RAT:
  - 2> include an entry in variable *VarMobilityHistoryReport* possibly after removing the oldest entry, if necessary, according to following:
    - 3> set the field *timeSpent* of the entry as the time spent outside E-UTRA;

# 5.6.12 RAN-assisted WLAN interworking

#### 5.6.12.1 General

The purpose of this procedure is to facilitate access network selection and traffic steering between E-UTRAN and WLAN.

If required by upper layers (see TS 24.312 [66], the UE shall provide an up-to-date set of the applicable parameters provided by *wlan-OffloadConfigCommon* or *wlan-OffloadConfigDedicated* to upper layers, and inform upper layers when no parameters are configured. The parameter set from either *wlan-OffloadConfigCommon* or *wlan-OffloadConfigDedicated* is selected as specified in clauses 5.2.2.24, 5.3.12, 5.6.12.2 and 5.6.12.4.

## 5.6.12.2 Dedicated WLAN offload configuration

The UE shall:

- 1> if the received wlan-OffloadInfo is set to release:
  - 2> release wlan-OffloadConfigDedicated and t350;
  - 2> if the wlan-OffloadConfigCommon corresponding to the RPLMN is broadcast by the cell:
    - 3> apply the wlan-OffloadConfigCommon corresponding to the RPLMN included in SystemInformationBlockType17;

1> else:

2> apply the received wlan-OffloadConfigDedicated:

#### 5.6.12.3 WLAN offload RAN evaluation

The UE shall:

- 1> if the UE is configured with either wlan-OffloadConfigCommon or wlan-OffloadConfigDedicated; and
- 1> if the UE is in RRC\_IDLE or none of *rclwi-Configuration*, *lwa-Configuration* and *lwip-Configuration* is configured:
  - 2> provide measurement results required for the evaluation of the network selection and traffic steering rules as defined in TS 24.312 [66] to upper layers;
  - 2> evaluate the network selection and traffic steering rules as defined in TS 36.304 [4] using WLAN identifiers as indicated in other clauses (either provided in *steerToWLAN* included in *rclwi-Configuration* or in *wlan-Id-List* included in *SystemInformationBlockType17*);

## 5.6.12.4 T350 expiry or stop

The UE shall:

- 1> if T350 expires or is stopped:
  - 2> release the wlan-OffloadConfigDedicated and t350;
  - 2> release rclwi-Configuration if configured;
  - 2> if the wlan-OffloadConfigCommon corresponding to the RPLMN is broadcast by the cell:
    - 3> apply the *wlan-OffloadConfigCommon* and the *wlan-Id-List* corresponding to the RPLMN included in *SystemInformationBlockType17*;

## 5.6.12.5 Cell selection/ re-selection while T350 is running

The UE shall:

- 1> if, while T350 is running, the UE selects/ reselects a cell which is not the PCell when the wlan-OffloadDedicated was configured:
  - 2> stop timer T350;
  - 2> perform the actions as specified in 5.6.12.4;

## 5.6.13 SCG failure information

#### 5.6.13.1 General

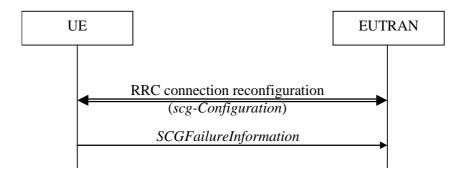


Figure 5.6.13.1-1: SCG failure information

The purpose of this procedure is to inform E-UTRAN about an SCG failure the UE has experienced i.e. SCG radio link failure, SCG change failure.

#### 5.6.13.2 Initiation

A UE initiates the procedure to report SCG failures when SCG transmission is not suspended and when one of the following conditions is met:

- 1> upon detecting radio link failure for the SCG, in accordance with 5.3.11; or
- 1> upon SCG change failure, in accordance with 5.3.5.7a; or
- 1> upon stopping uplink transmission towards the PSCell due to exceeding the maximum uplink transmission timing difference when *powerControlMode* is configured to 1, in accordance with clause 7.17.2 of TS 36.133 [29].

In case of DC, upon initiating the procedure, the UE shall:

- 1> suspend all SCG DRBs and suspend SCG transmission for split DRBs;
- 1> reset SCG-MAC;
- 1> stop T307;
- 1> if the UE is configured with NE-DC:
  - 2> initiate transmission of the *SCGFailureInformationEUTRA* message via the NR MCG as specified in TS 38.331 [82], clause 5.7.3a;
- 1> else:
  - 2> initiate transmission of the SCGFailureInformation message in accordance with 5.6.13.3;

#### 5.6.13.3 Actions related to transmission of SCGFailureInformation message

The UE shall set the contents of the SCGFailureInformation message as follows:

- 1> if the UE initiates transmission of the SCGFailureInformation message to provide SCG radio link failure information:
  - 2> include *failureType* and set it to the trigger for detecting SCG radio link failure;
- 1> else if the UE initiates transmission of the *SCGFailureInformation* message to provide SCG change failure information:
  - 2> include failureType and set it to scg-ChangeFailure;

- 1> else if the UE initiates transmission of the *SCGFailureInformation* message due to exceeding maximum uplink transmission timing difference:
  - 2> include failureType and set it to maxUL-TimingDiff;
- 1> set the *measResultServFreqList* to include for each E-UTRA SCG cell that is configured, if any, within *measResultSCell* the quantities of the concerned SCell, if available according to performance requirements in TS 36.133 [16];
- 1> for each E-UTRA SCG serving frequency included in measResultServFreqList, include within measResultBestNeighCell the physCellId and the quantities of the best non-serving cell, based on RSRP, on the concerned serving frequency;
- 1> set the *measResultNeighCells* to include the best measured cells on non-serving E-UTRA frequencies, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected the failure, and set its fields as follows;
  - 2> if the UE was configured to perform measurements for one or more non-serving EUTRA frequencies and measurement results are available, include the *measResultListEUTRA*;
  - 2> for each neighbour cell included, include the optional fields that are available;
- NOTE 1: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Blacklisted cells are not required to be reported.

The UE shall submit the SCGFailureInformation message to lower layers for transmission.

## 5.6.13.4 Failure type determination in NE-DC

The UE shall:

- 1> if SCG failure is due to T313 expiry:
  - 2> consider the *failureType* to be *t313-Expiry*;
- 1> else if SCG failure is due to indication from SCG MAC that a random access problem was detected:
  - 2> consider the *failureType* to be *randomAccessProblem*;
- 1> else if SCG failure is due to indication from SCG RLC that the maximum number of retransmissions was reached:
  - 2> consider the *failureType* to be *rlc-MaxNumRetx*;
- 1> else if SCG failure is due to SCG change failure:
  - 2> consider the *failureType* to be *scg-ChangeFailure*;

## 5.6.13.5 Setting the contents of *MeasResultSCG-FailureMRDC*

The UE shall:

- 1> set the contents of the *MeasResultSCG-FailureMRDC* as follows:
  - 2> for each *measObjectEUTRA* for which a *measId* is configured and for which measurement results are available;
    - 3> include an entry in *measResultsFreqListEUTRA*;
    - 3> if a serving cell is associated with the *MeasObjectEUTRA*:
      - 4> set *measResultServingCell* to include the available quantities of the concerned cell and in accordance with the performance requirements in TS 36.133 [16];

- 3> set the *measResultNeighCellList* to include the best measured cells, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected the failure, and set its fields as follows;
  - 4> ordering the cells with sorting as follows:
    - 5> using RSRP if RSRP measurement results are available, otherwise using RSRQ if RSRQ measurement results are available, otherwise using SINR;
  - 4> for each neighbour cell included:
    - 5> include the optional fields for which measurement results are available;

NOTE: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Blacklisted cells are not required to be reported.

# 5.6.13a NR SCG failure information

#### 5.6.13a.1 General

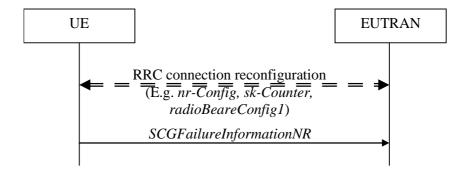


Figure 5.6.13a.1-1: NRSCG failure information

The purpose of this procedure is to inform E-UTRAN about an SCG failure the UE has experienced (e.g. SCG radio link failure, failure to successfully complete an SCG reconfiguration with sync), as specified in TS 38.331 [82], clause 5.7.3.2.

#### 5.6.13a.2 Initiation

A UE initiates the procedure to report NR SCG failures when NR SCG transmission is not suspended and in accordance with TS 38.331 [82], clause 5.7.3.2. Actions the UE shall perform upon initiating the procedure, other than related to the transmission of the SCGFailureInformationNR message are specified in TS 38.331 [82], clause 5.7.3.2.

## 5.6.13a.3 Actions related to transmission of SCGFailureInformationNR message

The UE shall set the contents of the SCGFailureInformationNR message as follows:

- 1> include *failureType* within *failureReportSCG-NR* and set it to indicate the SCG failure in accordance with TS 38.331 [82], clause 5.7.3.3;
- 1> include and set *measResultSCG* in accordance with TS 38.331 [82], clause 5.7.3.4:
- 1> for each NR frequency the UE is configured to measure by *measConfig* for which measurement results are available:
  - 2> set the measResultFreqListNR to include the best measured cells, ordered such that the best cell is listed first using RSRP to order if RSRP measurement results are available for cells on this frequency, otherwise using RSRQ to order if RSRQ measurement results are available for cells on this frequency, otherwise using SINR to order, and based on measurements collected up to the moment the UE detected the failure, and for each cell that is included, include the optional fields that are available;

NOTE: Field *measResultSCG* is used to report available results for NR frequencies the UE is configured to measure by NR RRC signalling.

The UE shall submit the SCGFailureInformationNR message to lower layers for transmission.

# 5.6.14 LTE-WLAN Aggregation

#### 5.6.14.1 Introduction

E-UTRAN can configure the UE to connect to a WLAN and configure bearers for LWA (referred to as LWA DRBs). The UE uses the WLAN parameters received from E-UTRAN in performing WLAN measurements. The UE also performs WLAN connection management as described in 5.6.15 while LWA is configured.

## 5.6.14.2 Reception of LWA configuration

Upon reception of LWA configuration, the UE shall:

- 1> if the received *lwa-Configuration* is set to *release*:
  - 2> release the LWA configuration as described in 5.6.14.3;
- 1> else:
  - 2> if the received *lwa-Config* includes *lwa-WT-Counter*:
    - 3> determine the S-K<sub>WT</sub> key based on the K<sub>eNB</sub> key and received *lwa-WT-Counter* value, as specified in TS 33.401 [32];
    - 3> forward the S-K<sub>WT</sub> key to upper layers to be used as a PMK or PSK for WLAN authentication;
  - 2> if the received *lwa-Config* includes *lwa-MobilityConfig*:
    - 3> if the received *lwa-MobilityConfig* includes *wlan-ToReleaseList*:
      - 4> for each WLAN-Identifiers included in wlan-ToReleaseList:
        - 5> remove the *WLAN-Identifiers* if already part of the current *wlan-MobilitySet* in *VarWLAN-MobilityConfig*;
    - 3> if the received lwa-MobilityConfig includes wlan-ToAddList:
      - 4> for each WLAN-Identifiers included in wlan-ToAddList:
        - 5> add the WLAN-Identifiers to the current wlan-MobilitySet in VarWLAN-MobilityConfig;
    - 3> if the received *lwa-MobilityConfig* includes *associationTimer*:
      - 4> start or restart timer T351 with the timer value set to the associationTimer;
    - 3> if the received *lwa-MobilityConfig* includes *successReportRequested*:
      - 4> set successReportRequested in VarWLAN-MobilityConfig to the value of successReportRequested;
    - 3> if the received *lwa-MobilityConfig* includes *wlan-SuspendConfig*:
      - 4> set the field(s) in *wlan-SuspendConfig* within *VarWLAN-MobilityConfig* to the value(s) of field(s) included in *wlan-SuspendConfig*;
  - 2> start WLAN Status Monitoring as described in 5.6.15.4;

## 5.6.14.3 Release of LWA configuration

To release the LWA configuration, the UE shall:

1> for each LWA DRB that is part of the current UE configuration:

- 2> disable data handling for this DRB at the LWAAP entity;
- 2> perform PDCP data recovery as specified in TS 36.323 [8];
- 1> delete any existing values in VarWLAN-MobilityConfig and VarWLAN-Status;
- 1> stop timer T351, if running;
- 1> stop WLAN status monitoring and WLAN connection attempts for LWA;
- 1> indicate the release of LWA configuration, if configured, to upper layers;

# 5.6.15 WLAN connection management

#### 5.6.15.1 Introduction

WLAN connection management procedures in this clause are triggered as specified in other clauses where the UE is using a WLAN connection for LWA, RCLWI or LWIP.

The UE stores the current WLAN mobility set, which is a set of one or more WLAN identifier(s) (e.g. BSSID, SSID, HESSID) in *wlan-MobilitySet* in *VarWLAN-MobilityConfig*. This WLAN mobility set can be configured and updated by the eNB. A WLAN is considered to be inside the WLAN mobility set if its identifiers match all WLAN identifiers of at least one entry in *wlan-MobilitySet* and outside the WLAN mobility set otherwise. When the UE receives a new or updated WLAN mobility set, it initiates connection to a WLAN inside the WLAN mobility set, if not already connected to such a WLAN, and starts WLAN status monitoring as described in 5.6.15.4. The UE can perform WLAN mobility within the WLAN mobility set (connect or reconnect to a WLAN inside the WLAN mobility set) without any signalling to E-UTRAN.

The UE reports the WLAN connection status information to E-UTRAN as described in 5.6.15.2. The information in this report is based on the monitoring of WLAN connection as described in 5.6.15.4.

## 5.6.15.2 WLAN connection status reporting

### 5.6.15.2.1 General



Figure 5.6.15.2.1-1: WLAN connection status reporting

The purpose of this procedure is to inform E-UTRAN about the status of WLAN connection for LWA, RCLWI, or LWIP.

#### 5.6.15.2.2 Initiation

The UE in RRC\_CONNECTED initiates the WLAN status reporting procedure when:

- 1> it connects successfully to a WLAN inside WLAN mobility set while T351 is running after a WLAN mobility set change; or
- 1> after a lwa-WT-Counter update or after a lwip-Counter update (if success report is requested by the eNB); or
- 1> its connection or connection attempts to all WLAN(s) inside WLAN mobility set fails in accordance with WLAN Status Monitoring described in 5.6.15.4; or
- 1> T351 expires; or

- 1> its WLAN connection to all WLAN(s) inside WLAN mobility set becomes temporarily unavailable; or
- 1> its WLAN connection to a WLAN inside the WLAN mobility set is successfully established after its previous WLAN Connection Status Report indicating WLAN temporary suspension;

Upon initiating the procedure, the UE shall:

1> initiate transmission of the WLANConnectionStatusReport message in accordance with 5.6.15.2.3;

## 5.6.15.2.3 Actions related to transmission of *WLANConnectionStatusReport* message

The UE shall set the contents of the WLANConnectionStatusReport message as follows:

- 1> set wlan-status to status in VarWLAN-Status;
- 1> submit the *WLANConnectionStatusReport* message to lower layers for transmission, upon which the procedure ends;

# 5.6.15.3 T351 Expiry (WLAN connection attempt timeout)

Upon T351 expiry, the UE shall:

- 1> set the *status* in *VarWLAN-Status* to *failureTimeout*;
- 1> perform WLAN connection status reporting procedure in 5.6.15.2;
- 1> stop WLAN status monitoring and WLAN connection attempts;

## 5.6.15.4 WLAN status monitoring

To perform WLAN status monitoring, the UE shall:

- 1> if UE is not configured with *rclwi-Configuration* and WLAN connection to a WLAN inside the WLAN mobility set is successfully established or maintained after a WLAN mobility set configuration update, after a *lwa-WT-Counter* update or after a *lwip-Counter* update:
  - 2> set the status in VarWLAN-Status to successful Association;
  - 2> stop timer T351, if running;
  - 2> if successReportRequested in VarWLAN-MobilityConfig is set to TRUE:
    - 3> perform WLAN Connection Status Reporting procedure in 5.6.15.2;
- 1> if WLAN connection or connection attempts to all WLAN(s) inside WLAN mobility set fails:
  - 2> if the failure is due to WLAN radio link issues:
    - 3> set the status in VarWLAN-Status to failureWlanRadioLink;
  - 2> else if the failure is due to UE internal problems related to WLAN:
    - 3> set the status in VarWLAN-Status to failureWlanUnavailable;
- NOTE 1: The UE internal problems related to WLAN include connection to another WLAN based on user preferences or turning off WLAN connection or connection rejection from WLAN or other WLAN problems.
  - 3> remove all WLAN related measurement reporting entries within VarMeasReportList;
  - 2> stop timer T351, if running;
  - 2> perform WLAN Connection Status Reporting procedure in 5.6.15.2;
  - 2> if the UE is configured with *rclwi-Configuration*:

- 3> release *rclwi-Configuration* and inform upper layers of a move-traffic-from-WLAN indication (see TS 24.302 [74]);
- 2> stop WLAN Status Monitoring and WLAN connection attempts;
- 1> if wlan-SuspendResumeAllowed in wlan-SuspendConfig within VarWLAN-MobilityConfig is set to TRUE:
  - 2> if WLAN connection to all WLAN(s) inside WLAN mobility set becomes temporarily unavailable:
    - 3> set the status in VarWLAN-Status to suspended;
    - 3> if wlan-SuspendTriggersStatusReport in wlan-SuspendConfig within VarWLAN-MobilityConfig is set to TRUE:
      - 4> trigger PDCP Status Report as specified in TS 36.323 [8];
    - 3> perform WLAN Connection Status Reporting procedure in 5.6.15.2;
  - 2> if the *status* in *VarWLAN-Status* in the last WLAN Connection Status Report by this UE was *suspended* and WLAN connection to a WLAN inside the WLAN mobility set is successfully established:
    - 3> set the status in VarWLAN-Status to resumed;
    - 3> perform WLAN Connection Status Reporting procedure in 5.6.15.2;

# 5.6.16 RAN controlled LTE-WLAN interworking

### 5.6.16.1 General

The purpose of this procedure is to perform RAN-controlled LTE-WLAN interworking (RCLWI) i.e. control access network selection and traffic steering between E-UTRAN and WLAN.

## 5.6.16.2 WLAN traffic steering command

The UE shall:

- 1> if the received *rclwi-Configuration* is set to *setup*:
  - 2> if the *command* is set to *steerToWLAN*:
    - 3> inform the upper layers of a move-traffic-to-WLAN indication along with the WLAN identifier lists in *steerToWLAN* (see TS 24.302 [74]);
    - 3> store steerToWLAN in wlan-MobilitySet in VarWLAN-MobilityConfig;
    - 3> perform the WLAN status monitoring procedure as specified in 5.6.15.4 using *steerToWLAN* as the WLAN mobility set;
  - 2> else:
    - 3> inform the upper layers of a move-traffic-from-WLAN indication (see TS 24.302 [74]);
    - 3> clear wlan-MobilitySet in VarWLAN-MobilityConfig;
    - 3> stop performing the WLAN status monitoring procedure as specified in 5.6.15.4;
    - 3> delete any existing values in VarWLAN-Status;
- 1> else (the *rclwi-Configuration* is released):
  - 2> clear wlan-MobilitySet in VarWLAN-MobilityConfig;
  - 2> stop performing the WLAN status monitoring procedure as specified in 5.6.15.4;
  - 2> delete any existing values in VarWLAN-Status;

2> inform the upper layers of release of the rclwi-Configuration.

# 5.6.17 LTE-WLAN aggregation with IPsec tunnel

#### 5.6.17.1 General

The WLAN resources that are used over the LWIP tunnel as described in TS 36.300 [9] established as part of LWIP procedures are referred to as 'LWIP resources'. The purpose of this clause is to specify procedures to indicate to higher layers to initiate the establishment/ release of the LWIP tunnel over WLAN and to indicate which DRB(s) shall use the LWIP resources.

## 5.6.17.2 LWIP reconfiguration

The UE shall:

- 1> if the received *lwip-Configuration* is set to *release*:
  - 2> release the LWIP configuration, if configured, as described in 5.6.17.3;
- 1> else:
  - 2> if lwip-MobilityConfig is included:
    - 3> if the received lwip-MobilityConfig includes wlan-ToReleaseList:
      - 4> for each WLAN-Identifiers included in wlan-ToReleaseList:
        - 5> remove the WLAN-Identifiers if already part of the current wlan-MobilitySet in VarWLAN-MobilityConfig;
    - 3> if the received *lwip-MobilityConfig* includes *wlan-ToAddList*:
      - 4> for each WLAN-Identifiers included in wlan-ToAddList:
        - 5> add the WLAN-Identifiers to the current wlan-MobilitySet in VarWLAN-MobilityConfig;
    - 3> if the received *lwip-MobilityConfig* includes *associationTimer*:
      - 4> start timer T351 with the timer value set according to the value of associationTimer;
    - 3> if the received *lwip-MobilityConfig* includes *successReportRequested*:
      - 4> set successReportRequested in VarWLAN-MobilityConfig to the value of successReportRequested;
  - 2> if tunnelConfigLWIP is included:
    - 3> indicate to higher layers to configure the LWIP tunnel according to the received *tunnelConfigLWIP*, as specified in TS 33.401 [32];
    - 3> if *lwip-Counter* is included:
      - 4> determine the LWIP-PSK based on the K<sub>eNB</sub> key and received *lwip-Counter* value, as specified in TS 33.401 [32];
      - 4> forward the LWIP-PSK to upper layers for LWIP tunnel establishment;
  - 2> start WLAN Status Monitoring as described in 5.6.15.4;

## 5.6.17.3 LWIP release

The UE shall:

- 1> delete any existing values in VarWLAN-MobilityConfig and VarWLAN-Status;
- 1> stop timer T351, if running;

- 1> release the *lwip-Configuration*;
- 1> indicate to higher layers to stop all DRBs from using the LWIP resources;
- 1> indicate to higher layers to release the LWIP tunnel, as specified in TS 33.401 [32];
- 1> stop WLAN status monitoring and WLAN connection attempts for LWIP;

## 5.6.18 Void

# 5.6.19 Application layer measurement reporting

#### 5.6.19.1 General

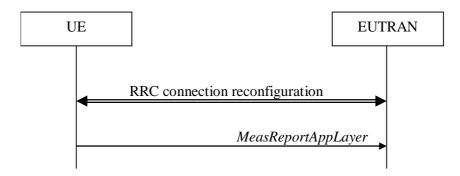


Figure 5.6.19.1-1: Application layer measurement reporting

The purpose of this procedure is to inform E-UTRAN about application layer measurement report.

#### 5.6.19.2 Initiation

A UE capable of application layer measurement reporting in RRC\_CONNECTED may initiate the procedure when configured with application layer measurement, i.e. when *measConfigAppLayer* has been configured by E-UTRAN.

Upon initiating the procedure, the UE shall:

- 1> if configured with application layer measurement, and SRB4 is configured, and the UE has received application layer measurement report information from upper layers:
  - 2> set the *measReportAppLayerContainer* in the *MeasReportAppLayer* message to the value of the application layer measurement report information;
  - 2> set the *serviceType* in the *MeasReportAppLayer* message to the type of the application layer measurement report information;
  - 2> submit the MeasReportAppLayer message to lower layers for transmission via SRB4.

## 5.6.20 IDLE Mode Measurements

### 5.6.20.1 General

This procedure specifies the measurements done by a UE in RRC\_IDLE or RRC\_INACTIVE when it has an IDLE mode measurement configuration and the storage of the available measurements by a UE in RRC\_IDLE, RRC\_INACTIVE and RRC\_CONNECTED.

### 5.6.20.2 Initiation

While T331 is running, the UE shall:

1> perform the measurements in accordance with the following:

- 2> for each entry in measIdleCarrierListEUTRA within VarMeasIdleConfig:
  - 3> if UE supports carrier aggregation between serving carrier and the carrier frequency and bandwidth indicated by *carrierFreq* and *allowedMeasBandwidth* within the corresponding entry;
    - 4> perform measurements in the carrier frequency and bandwidth indicated by *carrierFreq* and *allowedMeasBandwidth* within the corresponding entry;
- NOTE: The fields *s-NonIntraSearch* in *SystemInformationBlockType3* do not affect the UE measurement procedures in IDLE mode. How the UE performs measurements in IDLE mode is up to UE implementation as long as the requirements in TS 36.133 [16] are met for measurement reporting. UE is not required to perform idle measurements if the SIB2 does not contain *idleModeMeasurements*.
  - 4> if the *measCellList* is included:
    - 5> consider the serving cell and cells identified by each entry within the *measCellList* to be applicable for idle mode measurement reporting;
  - 4> else:
    - 5> consider the serving cell and up to *maxCellMeasIdle* strongest identified cells whose RSRP/RSRQ measurement results are above the value(s) provided in *qualityThreshold* (if any) to be applicable for idle mode measurement reporting;
  - 4> store measurement results for cells applicable for idle mode measurement reporting within the *VarMeasIdleReport*;
  - 3> else:
    - 4> do not consider the carrier frequency to be applicable for idle mode measurement reporting;
- 1> if *validityArea* is configured in *VarMeasIdleConfig* and UE reselects to a serving cell whose physical cell identity does not match any entry in *validityArea* for the corresponding carrier frequency:

2> stop T331;

### 5.6.20.3 T331 expiry or stop

The UE shall:

- 1> if T331 expires or is stopped:
  - 2> release the *VarMeasIdleConfig*;

NOTE: It is up to UE implementation whether to continue IDLE mode measurements according to SIB5 configuration after T331 has expired or stopped.

## 5.6.21 Failure information

#### 5.6.21.1 General



Figure 5.6.21.1-1: Failure information

The purpose of this procedure is to inform E-UTRAN about a failure that the UE has experienced.

#### 5.6.21.2 Initiation

A UE initiates the procedure to report failures when one of the following conditions is met:

1> upon detecting RLC failure, in accordance with 5.3.11.

Upon initiating the procedure, the UE shall:

1> initiate transmission of the FailureInformation message in accordance with 5.6.21.3;

## 5.6.21.3 Actions related to transmission of FailureInformation message

When initiating the procedure according to 5.6.21.2, the UE shall set the contents of the *FailureInformation* message as follows:

- 1> if the procedure is initiated to report RLC failure:
  - 2> set logicalChannelIdentity to the logical channel identity of the RLC entity;
  - 2> set *cellGroupIndication* to the cell group where the RLC entity is located;
  - 2> set *failureType* to the type of failure that has been detected;

The UE shall submit the FailureInformation message to lower layers for transmission.

# 5.7 Generic error handling

### 5.7.1 General

The generic error handling defined in the subsequent sub-clauses applies unless explicitly specified otherwise e.g. within the procedure specific error handling.

The UE shall consider a value as not comprehended when it is set:

- to an extended value that is not defined in the version of the transfer syntax supported by the UE.
- to a spare or reserved value unless the specification defines specific behaviour that the UE shall apply upon receiving the concerned spare/ reserved value.

The UE shall consider a field as not comprehended when it is defined:

- as spare or reserved unless the specification defines specific behaviour that the UE shall apply upon receiving the concerned spare/reserved field.

# 5.7.2 ASN.1 violation or encoding error

The UE shall:

- 1> when receiving an RRC message on the BCCH, BR-BCCH, PCCH, CCCH, MCCH, SC-MCCH or SBCCH for which the abstract syntax is invalid, as specified in ITU-T X.680 (07/2002) [13]:
  - 2> ignore the message;

NOTE: This clause applies in case one or more fields is set to a value, other than a spare, reserved or extended value, not defined in this version of the transfer syntax. E.g. in the case the UE receives value 12 for a field defined as INTEGER (1..11). In cases like this, it may not be possible to reliably detect which field is in the error hence the error handling is at the message level.

# 5.7.3 Field set to a not comprehended value

The UE shall, when receiving an RRC message on any logical channel:

- 1> if the message includes a field that has a value that the UE does not comprehend:
  - 2> if a default value is defined for this field:
    - 3> treat the message while using the default value defined for this field;
  - 2> else if the concerned field is optional:
    - 3> treat the message as if the field were absent and in accordance with the need code for absence of the concerned field:
  - 2> else:
    - 3> treat the message as if the field were absent and in accordance with sub-clause 5.7.4;

# 5.7.4 Mandatory field missing

The UE shall:

- 1> if the message includes a field that is mandatory to include in the message (e.g. because conditions for mandatory presence are fulfilled) and that field is absent or treated as absent:
  - 2> if the RRC message was received on DCCH or CCCH:
    - 3> ignore the message;
  - 2> else:
    - 3> if the field concerns a (sub-field of) an entry of a list (i.e. a SEQUENCE OF):
      - 4> treat the list as if the entry including the missing or not comprehended field was not present;
    - 3> else if the field concerns a sub-field of another field, referred to as the 'parent' field i.e. the field that is one nesting level up compared to the erroneous field:
      - 4> consider the 'parent' field to be set to a not comprehended value;
      - 4> apply the generic error handling to the subsequent 'parent' field(s), until reaching the top nesting level i.e. the message level;
    - 3> else (field at message level):
      - 4> ignore the message;
- NOTE 1: The error handling defined in these sub-clauses implies that the UE ignores a message with the message type or version set to a not comprehended value.
- NOTE 2: The nested error handling for messages received on logical channels other than DCCH and CCCH applies for errors in extensions also, even for errors that can be regarded as invalid E-UTRAN operation e.g. E-UTRAN not observing conditional presence.

The following ASN.1 further clarifies the levels applicable in case of nested error handling for errors in extension fields.

```
-- /example/ ASN1START
-- Example with extension addition group

ItemInfoList ::= SEQUENCE (SIZE (1..max)) OF ItemInfo

ItemInfo ::= SEQUENCE {
   itemIdentity INTEGER (1..max),
   field1 Field2 OPTIONAL, -- Need ON
```

```
[[ field3-r9
                                        Field3-r9
                                                                OPTIONAL,
                                                                                     -- Cond Cond1
        field4-r9
                                        Field4-r9
                                                                                     -- Need ON
                                                                OPTIONAL
    11
-- Example with traditional non-critical extension (empty sequence)
BroadcastInfoBlock1 ::=
                                   SEQUENCE {
    itemIdentity
                                        INTEGER (1..max),
    field1
                                        Field1,
    field2
                                        Field2
                                                                OPTIONAL.
                                                                                     -- Need ON
    nonCriticalExtension
                                        BroadcastInfoBlock1-v940-IEs OPTIONAL
BroadcastInfoBlock1-v940-IEs::= SEQUENCE {
                                        Field3-r9
                                                                OPTIONAL,
    field3-r9
                                                                                     -- Cond Cond1
    field4-r9
                                        Field4-r9
                                                                OPTIONAL,
                                                                                     -- Need ON
                                                                                     -- Need OP
   nonCriticalExtension
                                        SEQUENCE {}
                                                                OPTIONAL
-- ASN1STOP
```

The UE shall, apply the following principles regarding the levels applicable in case of nested error handling:

- an extension addition group is not regarded as a level on its own. E.g. in the ASN.1 extract in the previous, a error regarding the conditionality of *field3* would result in the entire itemInfo entry to be ignored (rather than just the extension addition group containing *field3* and *field4*)
- a traditional *nonCriticalExtension* is not regarded as a level on its own. E.g. in the ASN.1 extract in the previous, a error regarding the conditionality of *field3* would result in the entire *BroadcastInfoBlock1* to be ignored (rather than just the non critical extension containing *field3* and *field4*).

# 5.7.5 Not comprehended field

The UE shall, when receiving an RRC message on any logical channel:

- 1> if the message includes a field that the UE does not comprehend:
  - 2> treat the rest of the message as if the field was absent;

NOTE: This clause does not apply to the case of an extension to the value range of a field. Such cases are addressed instead by the requirements in clause 5.7.3.

## 5.8 MBMS

#### 5.8.1 Introduction

#### 5.8.1.1 General

In general the control information relevant only for UEs supporting MBMS is separated as much as possible from unicast control information. Most of the MBMS control information is provided on a logical channel specific for MBMS common control information: the MCCH. E-UTRA employs one MCCH logical channel per MBSFN area. In case the network configures multiple MBSFN areas, the UE acquires the MBMS control information from the MCCHs that are configured to identify if services it is interested to receive are ongoing. The action applicable when the UE is unable to simultaneously receive MBMS and unicast services is up to UE implementation. In this release of the specification, an MBMS capable UE is only required to support reception of a single MBMS service at a time, and reception of more than one MBMS service (also possibly on more than one MBSFN area) in parallel is left for UE implementation. The MCCH carries the MBSFNAreaConfiguration message, which indicates the MBMS sessions that are ongoing as well as the (corresponding) radio resource configuration. The MCCH may also carry the MBMSCountingRequest message, when E-UTRAN wishes to count the number of UEs in RRC\_CONNECTED that are receiving or interested to receive one or more specific MBMS services.

A limited amount of MBMS control information is provided on the BCCH. This primarily concerns the information needed to acquire the MCCH(s). This information is carried by means of a single MBMS specific *SystemInformationBlock: SystemInformationBlockType13*. An MBSFN area is identified solely by the *mbsfn-AreaId* in *SystemInformationBlockType13*. At mobility, the UE considers that the MBSFN area is continuous when the source cell and the target cell broadcast the same value in the *mbsfn-AreaId*.

### 5.8.1.2 Scheduling

The MCCH information is transmitted periodically, using a configurable repetition period. Scheduling information is not provided for MCCH i.e. both the time domain scheduling as well as the lower layer configuration are semi-statically configured, as defined within *SystemInformationBlockType13*.

For MBMS user data, which is carried by the MTCH logical channel, E-UTRAN periodically provides MCH scheduling information (MSI) at lower layers (MAC). This MCH information only concerns the time domain scheduling i.e. the frequency domain scheduling and the lower layer configuration are semi-statically configured. The periodicity of the MSI is configurable and defined by the MCH scheduling period.

## 5.8.1.3 MCCH information validity and notification of changes

Change of MCCH information only occurs at specific radio frames, i.e. the concept of a modification period is used. Within a modification period, the same MCCH information may be transmitted a number of times, as defined by its scheduling (which is based on a repetition period). The modification period boundaries are defined by SFN values for which SFN mod m=0, where m is the number of radio frames comprising the modification period. The modification period is configured by means of SystemInformationBlockType13.

When the network changes (some of) the MCCH information, it notifies the UEs about the change during a first modification period. In the next modification period, the network transmits the updated MCCH information. These general principles are illustrated in figure 5.8.1.3-1, in which different colours indicate different MCCH information. Upon receiving a change notification, a UE interested to receive MBMS services acquires the new MCCH information immediately from the start of the next modification period. The UE applies the previously acquired MCCH information until the UE acquires the new MCCH information.

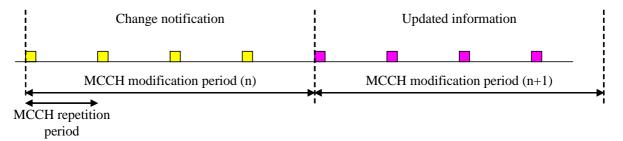


Figure 5.8.1.3-1: Change of MCCH Information

Indication of an MBMS specific RNTI, the M-RNTI (see TS 36.321 [6]), on PDCCH is used to inform UEs in RRC\_IDLE and UEs in RRC\_CONNECTED about an MCCH information change. When receiving an MCCH information change notification, the UE knows that the MCCH information will change at the next modification period boundary. The notification on PDCCH indicates which of the MCCHs will change, which is done by means of an 8-bit bitmap. Within this bitmap, the bit at the position indicated by the field *notificationIndicator* is used to indicate changes for that MBSFN area: if the bit is set to "1", the corresponding MCCH will change. No further details are provided e.g. regarding which MCCH information will change. The MCCH information change notification is used to inform the UE about a change of MCCH information upon session start or about the start of MBMS counting.

The MCCH information change notifications on PDCCH are transmitted periodically and are carried on MBSFN subframes only except on MBMS-dedicated cell or FeMBMS/Unicast-mixed cell where the MCCH information change is provided on non-MBSFN subframes. These MCCH information change notification occasions are common for all MCCHs that are configured, and configurable by parameters included in *SystemInformationBlockType13*: a repetition coefficient, a radio frame offset and a subframe index. These common notification occasions are based on the MCCH with the shortest modification period.

NOTE 1: E-UTRAN may modify the MBMS configuration information provided on MCCH at the same time as updating the MBMS configuration information carried on BCCH i.e. at a coinciding BCCH and MCCH modification period. Upon detecting that a new MCCH is configured on BCCH, a UE interested to receive one or more MBMS services should acquire the MCCH, unless it knows that the services it is interested in are not provided by the corresponding MBSFN area.

A UE that is receiving an MBMS service via MRB shall acquire the MCCH information from the start of each modification period. A UE interested to receive MBMS from a carrier on which *dl-Bandwidth* included in *MasterInformationBlock* is set to *n6* shall acquire the MCCH information at least once every MCCH modification period. A UE that is not receiving an MBMS service via MRB, as well as UEs that are receiving an MBMS service via MRB but potentially interested to receive other services not started yet in another MBSFN area from a carrier on which *dl-Bandwidth* included in *MasterInformationBlock* is other than n6, shall verify that the stored MCCH information remains valid by attempting to find the MCCH information change notification at least *notificationRepetitionCoeff* times during the modification period of the applicable MCCH(s), if no MCCH information change notification is received.

NOTE 2: In case the UE is aware which MCCH(s) E-UTRAN uses for the service(s) it is interested to receive, the UE may only need to monitor change notifications for a subset of the MCCHs that are configured, referred to as the 'applicable MCCH(s)' in the above.

# 5.8.2 MCCH information acquisition

#### 5.8.2.1 General



Figure 5.8.2.1-1: MCCH information acquisition

The UE applies the MCCH information acquisition procedure to acquire the MBMS control information that is broadcasted by the E-UTRAN. The procedure applies to MBMS capable UEs that are in RRC\_IDLE or in RRC\_CONNECTED.

#### 5.8.2.2 Initiation

A UE interested to receive MBMS services shall apply the MCCH information acquisition procedure upon entering the corresponding MBSFN area (e.g. upon power on, following UE mobility) and upon receiving a notification that the MCCH information has changed. A UE that is receiving an MBMS service shall apply the MCCH information acquisition procedure to acquire the MCCH, that corresponds with the service that is being received, at the start of each modification period.

Unless explicitly stated otherwise in the procedural specification, the MCCH information acquisition procedure overwrites any stored MCCH information, i.e. delta configuration is not applicable for MCCH information and the UE discontinues using a field if it is absent in MCCH information unless explicitly specified otherwise.

## 5.8.2.3 MCCH information acquisition by the UE

An MBMS capable UE shall:

- 1> if the procedure is triggered by an MCCH information change notification:
  - 2> start acquiring the *MBSFNAreaConfiguration* message and the *MBMSCountingRequest* message if present, from the beginning of the modification period following the one in which the change notification was received;

NOTE 1: The UE continues using the previously received MCCH information until the new MCCH information has been acquired.

- 1> if the UE enters an MBSFN area:
  - 2> acquire the *MBSFNAreaConfiguration* message and the *MBMSCountingRequest* message if present, at the next repetition period;
- 1> if the UE is receiving an MBMS service:
  - 2> start acquiring the *MBSFNAreaConfiguration* message and the *MBMSCountingRequest* message if present, that both concern the MBSFN area of the service that is being received, from the beginning of each modification period;

# 5.8.2.4 Actions upon reception of the MBSFNAreaConfiguration message

No UE requirements related to the contents of this *MBSFNAreaConfiguration* apply other than those specified elsewhere e.g. within procedures using the concerned system information, the corresponding field descriptions.

# 5.8.2.5 Actions upon reception of the MBMSCountingRequest message

Upon receiving *MBMSCountingRequest* message, the UE shall perform the MBMS Counting procedure as specified in 5.8.4.

# 5.8.3 MBMS PTM radio bearer configuration

#### 5.8.3.1 General

The MBMS PTM radio bearer configuration procedure is used by the UE to configure RLC, MAC and the physical layer upon starting and/or stopping to receive an MRB. The procedure applies to UEs interested to receive one or more MBMS services.

NOTE: In case the UE is unable to receive an MBMS service due to capability limitations, upper layers may take appropriate action e.g. terminate a lower priority unicast service.

#### 5.8.3.2 Initiation

The UE applies the MRB establishment procedure to start receiving a session of a service it has an interest in. The procedure may be initiated e.g. upon start of the MBMS session, upon (re-)entry of the corresponding MBSFN service area, upon becoming interested in the MBMS service, upon removal of UE capability limitations inhibiting reception of the concerned service.

The UE applies the MRB release procedure to stop receiving a session. The procedure may be initiated e.g. upon stop of the MBMS session, upon leaving the corresponding MBSFN service area, upon losing interest in the MBMS service, when capability limitations start inhibiting reception of the concerned service.

## 5.8.3.3 MRB establishment

Upon MRB establishment, the UE shall:

- 1> establish an RLC entity in accordance with the configuration specified in 9.1.1.4;
- 1> configure an MTCH logical channel in accordance with the received locgicalChannelIdentity, applicable for the MRB, as included in the MBSFNAreaConfiguration message;
- 1> configure the physical layer in accordance with the *pmch-Config*, applicable for the MRB, as included in the *MBSFNAreaConfiguration* message;
- 1> inform upper layers about the establishment of the MRB by indicating the corresponding tmgi and session1d;

#### 5.8.3.4 MRB release

Upon MRB release, the UE shall:

- 1> release the RLC entity as well as the related MAC and physical layer configuration;
- 1> inform upper layers about the release of the MRB by indicating the corresponding tmgi and sessionId;

# 5.8.4 MBMS Counting Procedure

#### 5.8.4.1 General

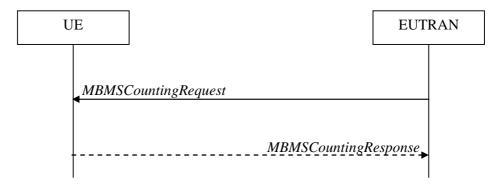


Figure 5.8.4.1-1: MBMS Counting procedure

The MBMS Counting procedure is used by the E-UTRAN to count the number of RRC\_CONNECTED mode UEs which are receiving via an MRB or interested to receive via an MRB the specified MBMS services.

The UE determines interest in an MBMS service, that is identified by the TMGI, by interaction with upper layers.

#### 5.8.4.2 Initiation

E-UTRAN initiates the procedure by sending an MBMSCountingRequest message.

#### 5.8.4.3 Reception of the MBMSCountingRequest message by the UE

Upon receiving the MBMSCountingRequest message, the UE in RRC\_CONNECTED mode shall:

- 1> if the *SystemInformationBlockType1*, that provided the scheduling information for the *systemInformationBlockType13* that included the configuration of the MCCH via which the *MBMSCountingRequest* message was received, contained the identity of the Registered PLMN; and
- 1> if the UE is receiving via an MRB or interested to receive via an MRB at least one of the services in the received *countingRequestList*:
  - 2> if more than one entry is included in the *mbsfn-AreaInfoList* received in the *SystemInformationBlockType13* that included the configuration of the MCCH via which the *MBMSCountingRequest* message was received:
    - 3> include the *mbsfn-AreaIndex* in the *MBMSCountingResponse* message and set it to the index of the entry in the *mbsfn-AreaInfoList* within the received *SystemInformationBlockType13* that corresponds with the MBSFN area used to transfer the received *MBMSCountingRequest* message;
  - 2> for each MBMS service included in the received *countingRequestList*:
    - 3> if the UE is receiving via an MRB or interested to receive via an MRB this MBMS service:
      - 4> include an entry in the *countingResponseList* within the *MBMSCountingResponse* message with *countingResponseService* set it to the index of the entry in the *countingRequestList* within the received

MBMSCountingRequest that corresponds with the MBMS service the UE is receiving or interested to receive:

- 2> submit the *MBMSCountingResponse* message to lower layers for transmission upon which the procedure ends;
- NOTE 1: UEs that are receiving an MBMS User Service, as specified in TS 23.246 [56], by means of a Unicast Bearer Service, as specified in TS 26.346 [57], (i.e. via a DRB), but are interested to receive the concerned MBMS User Service, as specified in TS 23.246 [56], via an MBMS Bearer Service (i.e. via an MRB), respond to the counting request.
- NOTE 2: If ciphering is used at upper layers, the UE does not respond to the counting request if it can not decipher the MBMS service for which counting is performed (see TS 22.146 [62], clause 5.3).
- NOTE 3: The UE treats the *MBMSCountingRequest* messages received in each modification period independently. In the unlikely case E-UTRAN would repeat an *MBMSCountingRequest* (i.e. including the same services) in a subsequent modification period, the UE responds again. The UE provides at most one *MBMSCountingResponse* message to multiple transmission attempts of an *MBMSCountingRequest* messages in a given modification period.

## 5.8.5 MBMS interest indication

#### 5.8.5.1 General

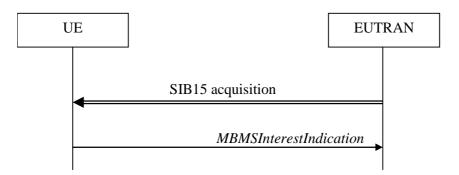


Figure 5.8.5.1-1: MBMS interest indication

The purpose of this procedure is to inform E-UTRAN that the UE is receiving or is interested to receive MBMS service(s) via an MRB or SC-MRB, and if so, to inform E-UTRAN about the priority of MBMS versus unicast reception or MBMS service(s) reception in receive only mode.

#### 5.8.5.2 Initiation

An MBMS or SC-PTM capable UE in RRC\_CONNECTED may initiate the procedure in several cases including upon successful connection establishment, upon entering or leaving the service area, upon session start or stop, upon change of interest, upon change of priority between MBMS reception and unicast reception, upon change to a PCell broadcasting <code>SystemInformationBlockType15</code>, upon starting and stopping of MBMS service(s) in receive only mode, upon change of receive only mode frequency, bandwidth or subcarrier spacing of MBMS service(s) in receive only mode.

Upon initiating the procedure, the UE shall:

- 1> if SystemInformationBlockType15 is broadcast by the PCell; or
- 1> if mbms-ROM-ServiceIndication is received in SystemInformationBlockType2 from PCell:
  - 2> ensure having a valid version of *SystemInformationBlockType15* for the PCell, if present;
  - 2> if the UE did not transmit an MBMSInterestIndication message since last entering RRC\_CONNECTED state; or

- 2> if since the last time the UE transmitted an *MBMSInterestIndication* message, the UE connected to a PCell neither broadcasting *SystemInformationBlockType15* nor including *mbms-ROM-ServiceIndication* in *SystemInformationBlockType2*:
  - 3> if the set of MBMS frequencies of interest, determined in accordance with 5.8.5.3, is not empty:
    - 4> initiate transmission of the MBMSInterestIndication message in accordance with 5.8.5.4;

- 3> if the set of MBMS frequencies of interest, determined in accordance with 5.8.5.3, has changed since the last transmission of the MBMSInterestIndication message; or
- 3> if at least one of the subcarrier spacing or bandwidth parameter of receive only mode MBMS frequency of interest, determined in accordance with 5.8.5.3, has changed since the last transmission of the *MBMSInterestIndication* message; or
- 3> if the prioritisation of reception of all indicated MBMS frequencies compared to reception of any of the established unicast bearers has changed since the last transmission of the *MBMSInterestIndication* message:
  - 4> initiate transmission of the MBMSInterestIndication message in accordance with 5.8.5.4;
- NOTE: The UE may send an *MBMSInterestIndication* even when it is able to receive the MBMS services it is interested in i.e. to avoid that the network allocates a configuration inhibiting MBMS reception.
  - 3> else if *SystemInformationBlockType20* is broadcast by the PCell:
    - 4> if since the last time the UE transmitted an *MBMSInterestIndication* message, the UE connected to a PCell not broadcasting *SystemInformationBlockType20*; or
    - 4> if the set of MBMS services of interest determined in accordance with 5.8.5.3a is different from *mbms-Services* included in the last transmission of the *MBMSInterestIndication* message;
      - 5> initiate the transmission of the MBMSInterestIndication message in accordance with 5.8.5.4.

## 5.8.5.3 Determine MBMS frequencies of interest

#### The UE shall:

- 1> consider a frequency to be part of the MBMS frequencies of interest if the following conditions are met:
  - 2> at least one MBMS session the UE is receiving or interested to receive via an MRB or SC-MRB is ongoing or about to start; and
- NOTE 1: The UE may determine whether the session is ongoing from the start and stop time indicated in the User Service Description (USD), see TS 36.300 [9] or TS 26.346 [57].
  - 2> for at least one of these MBMS sessions either SystemInformationBlockType15 acquired from the PCell includes for the concerned frequency one or more MBMS SAIs as indicated in the USD for this session or this session is in receive only mode; and
- NOTE 2: The UE considers a frequency to be part of the MBMS frequencies of interest even though E-UTRAN may (temporarily) not employ an MRB or SC-MRB for the concerned session. I.e. the UE does not verify if the session is indicated on (SC-)MCCH
- NOTE 3: The UE considers the frequencies of interest independently of any synchronization state, e.g. TS 36.300 [9], Annex J.1.
  - 2> the UE is capable of simultaneously receiving MRBs and/or is capable of simultaneously receiving SC-MRBs on the set of MBMS frequencies of interest, regardless of whether a serving cell is configured on each of these frequencies or not; and
  - 2> the *supportedBandCombination* the UE included in *UE-EUTRA-Capability* contains at least one band combination including the set of MBMS frequencies of interest;

- NOTE 4: Indicating a frequency implies that the UE supports *SystemInformationBlockType13* or *SystemInformationBlockType20* acquisition for the concerned frequency i.e. the indication should be independent of whether a serving cell is configured on that frequency.
- NOTE 5: When evaluating which frequencies it can receive simultaneously, the UE does not take into account the serving frequencies that are currently configured i.e. it only considers MBMS frequencies it is interested to receive.
- NOTE 6: The set of MBMS frequencies of interest includes at most one frequency for a given physical frequency. The UE only considers a physical frequency to be part of the MBMS frequencies of interest if it supports at least one of the bands indicated for this physical frequency in *SystemInformationBlockType1* (for serving frequency) or *SystemInformationBlockType15* (for neighbouring frequencies). In this case, E-UTRAN may assume the UE supports MBMS reception on any of the bands supported by the UE (i.e. according to *supportedBandCombination*).

#### 5.8.5.3a Determine MBMS services of interest

The UE shall:

- 1> consider a MBMS service to be part of the MBMS services of interest if the following conditions are met:
  - 2> the UE is SC-PTM capable; and
  - 2> the UE is receiving or interested to receive this service via an SC-MRB; and
  - 2> one session of this service is ongoing or about to start; and
  - 2> one or more MBMS SAIs in the USD for this service is included in *SystemInformationBlockType15* acquired from the PCell for a frequency belonging to the set of MBMS frequencies of interest, determined according to 5.8.5.3.

## 5.8.5.4 Actions related to transmission of MBMSInterestIndication message

The UE shall set the contents of the MBMSInterestIndication message as follows:

- 1> if the set of MBMS frequencies of interest, determined in accordance with 5.8.5.3, is not empty:
  - 2> include mbms-FreqList and set it to include the MBMS frequencies of interest sorted by decreasing order of interest, using the EARFCN corresponding with freqBandIndicator included in SystemInformationBlockType1 (for serving frequency), if applicable, and the EARFCN(s) as included in SystemInformationBlockType15 (for neighbouring frequencies);
- NOTE 1: The EARFCN included in *mbms-FreqList* is merely used to indicate a physical frequency the UE is interested to receive i.e. the UE may not support the band corresponding to the included EARFCN (but it does support at least one of the bands indicated in system information for the concerned physical frequency).
  - 2> include *mbms-Priority* if the UE prioritises reception of all indicated MBMS frequencies above reception of any of the unicast bearers;
  - 2> if *SystemInformationBlockType20* is broadcast by the PCell:
    - 3> include *mbms-Services* and set it to indicate the set of MBMS services of interest determined in accordance with 5.8.5.3a;
- NOTE 2: If the UE prioritises MBMS reception and unicast data cannot be supported because of congestion on the MBMS carrier(s), E-UTRAN may initiate release of unicast bearers. It is up to E-UTRAN implementation whether all bearers or only GBR bearers are released. E-UTRAN does not initiate reestablishment of the released unicast bearers upon alleviation of the congestion.
- 1> if the UE is receiving MBMS service(s) in receive only mode:
  - 2> if the *supportedBandCombination* the UE included in *UE-EUTRA-Capability* contains at least one band combination including the *mbms-ROM-Freq*:

3> include mbms-ROM-Freq, mbms-ROM-SubcarrierSpacing and mbms-Bandwidth;

NOTE 3: The EARFCN included in *mbms-ROM-Freq* is used to indicate a physical frequency the UE is interested to receive MBMS service(s) in receive only mode and is determined based on UE implementation.

The UE shall submit the MBMSInterestIndication message to lower layers for transmission.

## 5.8a SC-PTM

## 5.8a.1 Introduction

#### 5.8a.1.1 General

SC-PTM control information is provided on a specific logical channel: the SC-MCCH. The SC-MCCH carries the *SCPTMConfiguration* message which indicates the MBMS sessions that are ongoing as well as the (corresponding) information on when each session may be scheduled, i.e. scheduling period, scheduling window and start offset. The *SCPTMConfiguration* message also provides information about the neighbour cells transmitting the MBMS sessions which are ongoing on the current cell. In this release of the specification, an SC-PTM capable UE is only required to support reception of a single MBMS service at a time, and reception of more than one MBMS service in parallel is left for UE implementation.

A limited amount of SC-PTM control information is provided on the BCCH or BR-BCCH. This primarily concerns the information needed to acquire the SC-MCCH.

NOTE: For BL UEs and UEs in CE, SC-MCCH transmission uses a 1.4 MHz channel bandwidth and a maximum TBS of 936 bits, see TS 36.213 [23]. For NB-IoT UEs, the maximum TBS for SC-MCCH transmission is 680 bits, see TS 36.213 [23].

## 5.8a.1.2 SC-MCCH scheduling

The SC-MCCH information (i.e. information transmitted in messages sent over SC-MCCH) is transmitted periodically, using a configurable repetition period. SC-MCCH transmissions (and the associated radio resources and MCS) are indicated on PDCCH.

#### 5.8a.1.3 SC-MCCH information validity and notification of changes

Change of SC-MCCH information only occurs at specific radio frames, i.e. the concept of a modification period is used. Within a modification period, the same SC-MCCH information may be transmitted a number of times, as defined by its scheduling (which is based on a repetition period). The modification period boundaries are defined by SFN values for which SFN mod m= 0, where m is the number of radio frames comprising the modification period. The modification period is configured by means of SystemInformationBlockType20 (SystemInformationBlockType20-NB in NB-IoT). If H-SFN is provided in SystemInformationBlockType1-BR, modification period boundaries for BL UEs or UEs in CE are defined by SFN values for which (H-SFN \* 1024 + SFN) mod m=0. The modification period boundaries for NB-IoT UEs are defined by SFN values for which (H-SFN \* 1024 + SFN) mod m=0.

When the network changes (some of) the SC-MCCH information, it notifies the UEs, other than BL UEs, UEs in CE or NB-IoT UEs, about the change in the first subframe which can be used for SC-MCCH transmission in a repetition period. LSB bit in 8-bit bitmap when set to '1' indicates the change in SC-MCCH. Upon receiving a change notification, a UE interested to receive MBMS services transmitted using SC-PTM acquires the new SC-MCCH information starting from the same subframe. The UE applies the previously acquired SC-MCCH information until the UE acquires the new SC-MCCH information.

When the network changes (some of) the SC-MCCH information for start of new MBMS service(s) transmitted using SC-PTM, it notifies BL UEs, UEs in CE or NB-IoT UEs about the change in every PDCCH which schedules the first SC-MCCH in a repetition period in the current modification period. The notification is transmitted with 1 bit. The bit, when set to '1', indicates the start of new MBMS service(s), see TS 36.212 [22], clauses 5.3.3.1.14 and 6.4.3.3. Upon receiving a change notification, a BL UE, UE in CE or NB-IoT UE interested to receive MBMS services transmitted using SC-PTM acquires the new SC-MCCH information scheduled by the PDCCH. The BL UE, UE in CE or NB-IoT UE applies the previously acquired SC-MCCH information until the BL UE, UE in CE or NB-IoT UE acquires the new SC-MCCH information.

When the network changes SC-MTCH specific information e.g. start of new MBMS service(s) transmitted using SC-PTM or change of ongoing MBMS service(s) transmitted using SC-PTM, it notifies the BL UEs, UEs in CE or NB-IoT UEs in the PDCCH which schedules the SC-MTCH in the current modification period. The notification is transmitted with a 2 bit bitmap. The LSB in the 2-bit bitmap, when set to '1', indicates the change of the on-going MBMS service and the MSB in the 2-bit bitmap, when set to '1', indicates the start of new MBMS service(s), see TS 36.212 [22], clauses 5.3.3.1.12, 5.3.3.1.13 and 6.4.3.2. In the case the network changes an on-going SC-MTCH transmission in the next modification period, it notifies the BL UEs, UEs in CE or NB-IoT UEs in the PDCCH which schedules this SC-MTCH in the current modification period. In the case the network starts new MBMS service(s) transmitted using SC-PTM, the network notifies the UEs which have on-going SC-MTCH in the PDCCH scheduling each of the SC-MTCH. Upon receiving such notification, a BL UE, UE in CE or NB-IoT UE acquires the new SC-MCCH information at the start of the next modification period. The BL UE, UE in CE or NB-IoT UE applies the previously acquired SC-MCCH information until the BL UE, UE in CE or NB-IoT UE acquires the new SC-MCCH information.

#### 5.8a.1.4 Procedures

The SC-PTM capable UE receiving or interested to receive MBMS service(s) via SC-MRB applies SC-PTM procedures described in 5.8a and, except for NB-IoT UE, the MBMS interest indication procedure as specified in 5.8.5.

# 5.8a.2 SC-MCCH information acquisition

#### 5.8a.2.1 General



Figure 5.8a.2.1-1: SC-MCCH information acquisition

The UE applies the SC-MCCH information acquisition procedure to acquire the SC-PTM control information that is broadcast by the E-UTRAN. The procedure applies to SC-PTM capable UEs that are in RRC\_IDLE except for BL UEs, UEs in CE and NB-IoT UEs, performing EDT procedure. This procedure also applies to SC-PTM capable UEs that are in RRC\_CONNECTED except for BL UEs, UEs in CE or NB-IoT UEs.

#### 5.8a.2.2 Initiation

A UE interested to receive MBMS services via SC-MRB shall apply the SC-MCCH information acquisition procedure upon entering the cell broadcasting *SystemInformationBlockType20* (*SystemInformationBlockType20-NB* in NB-IoT) (e.g. upon power on, following UE mobility) and upon receiving a notification that the SC-MCCH information has changed. A UE, except for BL UE, UE in CE or NB-IoT UE, that is receiving an MBMS service via SC-MRB shall apply the SC-MCCH information acquisition procedure to acquire the SC-MCCH information that corresponds with the service that is being received, at the start of each modification period. The BL UE, UE in CE or NB-IoT UE that is receiving an MBMS service via SC-MRB shall apply the SC-MCCH information acquisition procedure upon receiving a notification that the SC-MCCH information that corresponds with the service that is being received is about to be changed. The BL UE, UE in CE or NB-IoT UE that is receiving an MBMS service via SC-MRB may apply the SC-MCCH information acquisition procedure upon receiving a notification that the SC-MCCH information is about to be changed due to start of a new service.

Unless explicitly stated otherwise in the procedural specification, the SC-MCCH information acquisition procedure overwrites any stored SC-MCCH information, i.e. delta configuration is not applicable for SC-MCCH information and the UE discontinues using a field if it is absent in SC-MCCH information unless explicitly specified otherwise.

## 5.8a.2.3 SC-MCCH information acquisition by the UE

A SC-PTM capable UE shall:

- 1> if the procedure is triggered by an SC-MCCH information change notification and the UE has no ongoing MBMS service:
  - 2> except for a BL UE, UE in CE or NB-IoT UE, start acquiring the *SCPTMConfiguration* message from the subframe in which the change notification was received;
  - 2> for a BL UE, UE in CE or NB-IoT UE, acquire the *SCPTMConfiguration* message scheduled by the PDCCH in which the change notification was received;
- NOTE 1: The UE continues using the previously received SC-MCCH information until the new SC-MCCH information has been acquired.
- 1> if the UE enters a cell broadcasting SystemInformationBlockType20 (SystemInformationBlockType20-NB in NB-IoT):
  - 2> acquire the SCPTMConfiguration message at the next repetition period;
- 1> if the UE is receiving an MBMS service via an SC-MRB:
  - 2> except for BL UE, UE in CE or NB-IoT UE, start acquiring the *SCPTMConfiguration* message from the beginning of each modification period;
  - 2> a BL UE, UE in CE or NB-IoT UE shall start acquiring the *SCPTMConfiguration* message at the start of the next modification period upon receiving a notification that the SC-MCCH information that corresponds with the service that is being received is about to be changed;
  - 2> a BL UE, UE in CE or NB-IoT UE may start acquiring the *SCPTMConfiguration* message at the start of the next modification period upon receiving a notification that the SC-MCCH information is about to be changed due to start of a new service;

## 5.8a.2.4 Actions upon reception of the SCPTMConfiguration message

No UE requirements related to the contents of this *SCPTMConfiguration* apply other than those specified elsewhere e.g. within procedures using the concerned system information, the corresponding field descriptions.

# 5.8a.3 SC-PTM radio bearer configuration

#### 5.8a.3.1 General

The SC-PTM radio bearer configuration procedure is used by the UE to configure RLC, MAC and the physical layer upon starting and/or stopping to receive an SC-MRB transmitted on SC-MTCH. The procedure applies to SC-PTM capable UEs that are in RRC\_IDLE and to SC-PTM capable UEs that are not BL UEs, UEs in CE or NB-IoT UEs in RRC\_CONNECTED, and are interested to receive one or more MBMS services via SC-MRB.

NOTE: In case the UE is unable to receive an MBMS service via an SC-MRB due to capability limitations, upper layers may take appropriate action e.g. terminate a lower priority unicast service.

## 5.8a,3.2 Initiation

The UE applies the SC-MRB establishment procedure to start receiving a session of a MBMS service it has an interest in. The procedure may be initiated e.g. upon start of the MBMS session, upon entering a cell providing via SC-MRB a MBMS service in which the UE has interest, upon becoming interested in the MBMS service, upon removal of UE capability limitations inhibiting reception of the concerned service.

The UE applies the SC-MRB release procedure to stop receiving a session. The procedure may be initiated e.g. upon stop of the MBMS session, upon leaving the cell where a SC-MRB is established, upon losing interest in the MBMS service, when capability limitations start inhibiting reception of the concerned service.

### 5.8a.3.3 SC-MRB establishment

Upon SC-MRB establishment, the UE shall:

- 1> establish an RLC entity in accordance with the configuration specified in 9.1.1.7;
- 1> configure a SC-MTCH logical channel applicable for the SC-MRB and instruct MAC to receive DL-SCH on the cell where the *SCPTMConfiguration* message was received for the MBMS service for which the SC-MRB is established and using *g-RNTI* and *sc-mtch-SchedulingInfo* (if included) in this message for this MBMS service;
- 1> configure the physical layer in accordance with the *sc-mtch-InfoList*, applicable for the SC-MRB, as included in the *SCPTMConfiguration* message;
- 1> inform upper layers about the establishment of the SC-MRB by indicating the corresponding tmgi and sessionId;

#### 5.8a.3.4 SC-MRB release

Upon SC-MRB release, the UE shall:

- 1> release the RLC entity as well as the related MAC and physical layer configuration;
- 1> inform upper layers about the release of the SC-MRB by indicating the corresponding tmgi and sessionId;

# 5.9 RN procedures

# 5.9.1 RN reconfiguration

#### 5.9.1.1 General

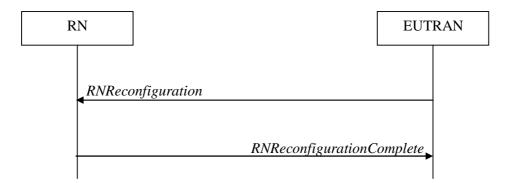


Figure 5.9.1.1-1: RN reconfiguration

The purpose of this procedure is to configure/reconfigure the RN subframe configuration and/or to update the system information relevant for the RN in RRC\_CONNECTED.

#### 5.9.1.2 Initiation

E-UTRAN may initiate the RN reconfiguration procedure to an RN in RRC\_CONNECTED when AS security has been activated.

## 5.9.1.3 Reception of the RNReconfiguration by the RN

The RN shall:

- 1> if the *rn-SystemInfo* is included:
  - 2> if the *systemInformationBlockType1* is included:
    - 3> act upon the received *SystemInformationBlockType1* as specified in 5.2.2.7;
  - 2> if the *SystemInformationBlockType2* is included:
    - 3> act upon the received SystemInformationBlockType2 as specified in 5.2.2.9;

- 1> if the *rn-SubframeConfig* is included:
  - 2> reconfigure lower layers in accordance with the received *subframeConfigPatternFDD* or *subframeConfigPatternTDD*;
  - 2> if the *rpdcch-Config* is included:
    - 3> reconfigure lower layers in accordance with the received *rpdcch-Config*;
- 1> submit the *RNReconfigurationComplete* message to lower layers for transmission, upon which the procedure ends:

# 5.10 Sidelink

# 5.10.1 Introduction

The sidelink communication and associated synchronisation resource configuration applies for the frequency at which it was received/acquired. Moreover, for a UE configured with one or more SCells, the sidelink communication and associated synchronisation resource configuration provided by dedicated signalling applies for the PCell/ the primary frequency. The sidelink discovery and associated synchronisation resource configuration applies for the frequency at which it was received/acquired or the indicated frequency in the configuration. For a UE configured with one or more SCells, the sidelink discovery and associated synchronisation resource configuration provided by dedicated signalling applies for the PCell/ the primary frequency / any other indicated frequency.

- NOTE 1: Upper layers configure the UE to receive or transmit sidelink communication on a specific frequency, to monitor or transmit non-PS related sidelink discovery announcements on one or more frequencies or to monitor or transmit PS related sidelink discovery announcements on a specific frequency, but only if the UE is authorised to perform these particular ProSe related sidelink activities.
- NOTE 2: It is up to UE implementation which actions to take (e.g. termination of unicast services, detach) when it is unable to perform the desired sidelink activities, e.g. due to UE capability limitations.

Sidelink communication consists of one-to-many and one-to-one sidelink communication. One-to-many sidelink communication consists of relay related and non-relay related one-to-many sidelink communication. One-to-one sidelink communication consists of relay related and non-relay related one-to-one sidelink communication. In relay related one-to-one sidelink communication the communicating parties consist of one sidelink relay UE and one sidelink remote UE.

Sidelink discovery consists of public safety related (PS related) and non-PS related sidelink discovery. PS related sidelink discovery consists of relay related and non-relay related PS related sidelink discovery. Upper layers indicate to RRC whether a particular sidelink announcement is PS related or non-PS related.

Upper layers indicate to RRC whether a particular sidelink procedure is V2X related or not.

The specification covers the use of UE to network sidelink relays by specifying the additional requirements that apply for a sidelink relay UE and a sidelink remote UE. I.e. for such UEs the regular sidelink UE requirements equally apply unless explicitly stated otherwise.

# 5.10.1a Conditions for sidelink communication operation

When it is specified that the UE shall perform sidelink communication operation only if the conditions defined in this clause are met, the UE shall perform sidelink communication operation only if:

- 1> if the UE's serving cell is suitable (RRC\_IDLE or RRC\_CONNECTED); and if either the selected cell on the frequency used for sidelink communication operation belongs to the registered or equivalent PLMN as specified in TS 24.334 [69] or the UE is out of coverage on the frequency used for sidelink communication operation as defined in TS 36.304 [4], clause 11.4; or
- 1> if the UE is camped on a serving cell (RRC\_IDLE) on which it fulfils the conditions to support sidelink communication in limited service state as specified in TS 23.303 [68], clause 4.5.6; and if either the serving cell is on the frequency used for sidelink communication operation or the UE is out of coverage on the frequency used for sidelink communication operation as defined in TS 36.304 [4], clause 11.4; or

1> if the UE has no serving cell (RRC\_IDLE);

# 5.10.1b Conditions for PS related sidelink discovery operation

When it is specified that the UE shall perform PS related sidelink discovery operation only if the conditions defined in this clause are met, the UE shall perform PS related sidelink discovery operation only if:

- 1> if the UE's serving cell is suitable (RRC\_IDLE or RRC\_CONNECTED); and if either the selected cell on the frequency used for PS related sidelink discovery operation belongs to the registered or other PLMN as specified in TS 24.334 [69] or the UE is out of coverage on the frequency used for PS related sidelink discovery operation as defined in TS 36.304 [4], clause 11.4; or
- 1> if the UE is camped on a serving cell (RRC\_IDLE) on which it fulfils the conditions to support sidelink discovery in limited service state as specified in TS 23.303 [68], clause 4.5.6; and if either the serving cell is on the frequency used for PS related sidelink discovery operation or the UE is out of coverage on the frequency used for PS related sidelink discovery operation as defined in TS 36.304 [4], clause 11.4; or
- 1> if the UE has no serving cell (RRC IDLE);

# 5.10.1c Conditions for non-PS related sidelink discovery operation

When it is specified that the UE shall perform non-PS related sidelink discovery operation only if the conditions defined in this clause are met, the UE shall perform non-PS related sidelink discovery operation only if:

1> if the UE's serving cell (RRC\_IDLE) or PCell (RRC\_CONNECTED) is suitable; and if the selected cell on the frequency used for non-PS related sidelink discovery operation belongs to the registered or other PLMN as specified in TS 24.334 [69].

# 5.10.1d Conditions for V2X sidelink communication operation

When it is specified that the UE shall perform V2X sidelink communication operation only if the conditions defined in this clause are met, the UE shall perform V2X sidelink communication operation only if:

- 1> if the UE's serving cell is suitable (RRC\_IDLE or RRC\_CONNECTED); and if either the selected cell on the frequency used for V2X sidelink communication operation belongs to the registered or equivalent PLMN as specified in TS 24.334 [69] or the UE is out of coverage on the frequency used for V2X sidelink communication operation as defined in TS 36.304 [4], clause 11.4; or
- 1> if the UE's serving cell (for RRC\_IDLE or RRC\_CONNECTED) fulfils the conditions to support V2X sidelink communication in limited service state as specified in TS 23.285 [78], clause 4.4.8; and if either the serving cell is on the frequency used for V2X sidelink communication operation or the UE is out of coverage on the frequency used for V2X sidelink communication operation as defined in TS 36.304 [4], clause 11.4; or
- 1> if the UE has no serving cell (RRC\_IDLE);

## 5.10.2 Sidelink UE information

#### 5.10.2.1 General

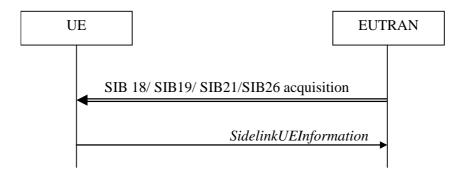


Figure 5.10.2-1: Sidelink UE information

The purpose of this procedure is to inform E-UTRAN that the UE is interested or no longer interested to receive sidelink communication or discovery, to receive V2X sidelink communication, as well as to request assignment or release of transmission resources for sidelink communication or discovery announcements or V2X sidelink communication or sidelink discovery gaps, to report parameters related to sidelink discovery from system information of inter-frequency/PLMN cells and to report the synchronization reference used by the UE for V2X sidelink communication.

#### 5.10.2.2 Initiation

A UE capable of sidelink communication or V2X sidelink communication or sidelink discovery that is in RRC\_CONNECTED may initiate the procedure to indicate it is (interested in) receiving sidelink communication or V2X sidelink communication or sidelink discovery in several cases including upon successful connection establishment, upon change of interest, upon change to a PCell broadcasting <code>SystemInformationBlockType18</code> or <code>SystemInformationBlockType19</code> or <code>SystemInformationBlockType21</code> including <code>sl-V2X-ConfigCommon</code>. A UE capable of sidelink communication or V2X sidelink communication or sidelink discovery may initiate the procedure to request assignment of dedicated resources for the concerned sidelink communication transmission or discovery announcements or V2X sidelink communication transmission or to request sidelink discovery gaps for sidelink discovery transmission or sidelink discovery reception and a UE capable of inter-frequency/PLMN sidelink discovery parameter reporting may initiate the procedure to report parameters related to sidelink discovery from system information of inter-frequency/PLMN cells.

NOTE 1: A UE in RRC\_IDLE that is configured to transmit sidelink communication / V2X sidelink communication / sidelink discovery announcements, while <code>SystemInformationBlockType18/SystemInformationBlockType19/SystemInformationBlockType21</code> including <code>sl-V2X-ConfigCommon</code> or <code>SystemInformationBlockType26</code> does not include the resources for transmission (in normal conditions), initiates connection establishment in accordance with 5.3.3.1a.

Upon initiating the procedure, the UE shall:

- 1> if *SystemInformationBlockType18* is broadcast by the PCell:
  - 2> ensure having a valid version of SystemInformationBlockType18 for the PCell;
  - 2> if configured by upper layers to receive sidelink communication:
    - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
    - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType18*; or
- NOTE 2: After handover/ re-establishment from a source PCell not broadcasting *SystemInformationBlockType18* the UE repeats the same interest information that it provided previously as such a source PCell may not forward the interest information.

- 3> if the last transmission of the *SidelinkUEInformation* message did not include *commRxInterestedFreq*; or if the frequency configured by upper layers to receive sidelink communication on has changed since the last transmission of the *SidelinkUEInformation* message:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate the sidelink communication reception frequency of interest in accordance with 5.10.2.3;

- 3> if the last transmission of the SidelinkUEInformation message included commRxInterestedFreq:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it is no longer interested in sidelink communication reception in accordance with 5.10.2.3;
- 2> if configured by upper layers to transmit non-relay related one-to-many sidelink communication:
  - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
  - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType18*; or
  - 3> if the last transmission of the *SidelinkUEInformation* message did not include *commTxResourceReq*; or if the information carried by the *commTxResourceReq* has changed since the last transmission of the *SidelinkUEInformation* message:
    - 4> initiate transmission of the *SidelinkUEInformation* message to indicate the non-relay related one-to-many sidelink communication transmission resources required by the UE in accordance with 5.10.2.3;

#### 2> else:

- 3> if the last transmission of the SidelinkUEInformation message included commTxResourceReq:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires non-relay related one-to-many sidelink communication transmission resources in accordance with 5.10.2.3;
- 2> if configured by upper layer to transmit relay related one-to-many sidelink communication:
  - 3> if the UE did not transmit a SidelinkUEInformation message since entering RRC\_CONNECTED state; or
  - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType18*, connected to a PCell not broadcasting *SystemInformationBlockType19* or broadcasting *SystemInformationBlockType19* not including *discConfigRelay*; or
  - 3> if the last transmission of *SidelinkUEInformation* message did not include *commTxResourceReqRelay*; or if the information carried by the *commTxResourceReqRelay* has changed since the last transmission of the *SidelinkUEInformation* message:
    - 4> if the UE is acting as sidelink relay UE:
      - 5> initiate transmission of the *SidelinkUEInformation* message to indicate the relay related one-tomany sidelink communication transmission resources required by the UE in accordance with 5.10.2.3;

- 3> if the last transmission of the SidelinkUEInformation message included commTxResourceReqRelay:
  - 4> initiate transmission of the SidelinkUEInformation message to indicate it no longer requires relay related one-to-many sidelink communication transmission resources in accordance with 5.10.2.3;
- 2> if configured by upper layers to transmit non-relay related one-to-one sidelink communication:
  - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or

- 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType18* or connected to a PCell broadcasting *SystemInformationBlockType18* not including *commTxResourceUC-ReqAllowed*; or
- 3> if the last transmission of the *SidelinkUEInformation* message did not include *commTxResourceReqUC*; or if the information carried by the *commTxResourceReqUC* has changed since the last transmission of the *SidelinkUEInformation* message:
  - 4> if commTxResourceUC-ReqAllowed is included in SystemInformationBlockType18:
    - 5> initiate transmission of the *SidelinkUEInformation* message to indicate the non-relay related one-to-one sidelink communication transmission resources required by the UE in accordance with 5.10.2.3;

- 3> if the last transmission of the SidelinkUEInformation message included commTxResourceReqUC:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires non-relay related one-to-one sidelink communication transmission resources in accordance with 5.10.2.3;
- 2> if configured by upper layers to transmit relay related one-to-one sidelink communication:
  - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
  - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType18*, connected to a PCell not broadcasting *SystemInformationBlockType19* or broadcasting *SystemInformationBlockType19* not including *discConfigRelay*; or
  - 3> if the last transmission of the *SidelinkUEInformation* message did not include *commTxResourceReqRelayUC*; or if the information carried by the *commTxResourceReqRelayUC* has changed since the last transmission of the *SidelinkUEInformation* message:
    - 4> if the UE is acting as sidelink relay UE; or
    - 4> if the UE has a selected sidelink relay UE; and if *SystemInformationBlockType19* is broadcast by the PCell and includes *discConfigRelay*; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met;
      - 5> initiate transmission of the *SidelinkUEInformation* message to indicate the relay related one-to-one sidelink communication transmission resources required by the UE in accordance with 5.10.2.3;

- 3> if the last transmission of the SidelinkUEInformation message included commTxResourceReqRelayUC:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires relay related one-to-one sidelink communication transmission resources in accordance with 5.10.2.3;
- 1> if *SystemInformationBlockType19* is broadcast by the PCell:
  - 2> ensure having a valid version of SystemInformationBlockType19 for the PCell;
  - 2> if configured by upper layers to receive sidelink discovery announcements on a serving frequency or on one or more frequencies included in *discInterFreqList*, if included in *SystemInformationBlockType19* of the PCell:
    - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
    - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType19*; or
    - 3> if the last transmission of the SidelinkUEInformation message did not include discRxInterest:

4> initiate transmission of the *SidelinkUEInformation* message to indicate it is interested in sidelink discovery reception in accordance with 5.10.2.3;

#### 2> else:

- 3> if the last transmission of the SidelinkUEInformation message included discRxInterest:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it is no longer interested in sidelink discovery reception in accordance with 5.10.2.3;
- 2> if the UE is configured by upper layers to transmit non-PS related sidelink discovery announcements on the primary frequency or on one or more frequencies included in *discInterFreqList*, if included in *SystemInformationBlockType19* of the PCell, with *discTxResourcesInterFreq* included within *discResourcesNonPS* and not set to *noTxOnCarrier*:
  - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
  - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType19* or connected to a PCell broadcasting *SystemInformationBlockType19* not including *discTxResourcesInterFreq* within *discResourcesNonPS* or *discTxResourcesInterFreq* did not include all frequencies for which the UE will request resources; or
  - 3> if the last transmission of the *SidelinkUEInformation* message did not include *discTxResourceReq*; or if the non-PS related sidelink discovery announcement resources required by the UE have changed (i.e. resulting in a change of *discTxResourceReq*) since the last transmission of the *SidelinkUEInformation* message:
    - 4> initiate transmission of the *SidelinkUEInformation* message to indicate the non-PS related sidelink discovery announcement resources required by the UE in accordance with 5.10.2.3;

- 3> if the last transmission of the SidelinkUEInformation message included discTxResourceReq:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires non-PS related sidelink discovery announcement resources in accordance with 5.10.2.3;
- 2> if configured by upper layers to transmit PS related sidelink discovery announcements on the primary frequency or, in case of non-relay PS related sidelink discovery announcements, on a frequency included in *discInterFreqList*, if included in *SystemInformationBlockType19*, with *discTxResourcesInterFreq* included within *discResourcesPS* and not set to *noTxOnCarrier*:
  - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
  - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType19*, connected to a PCell broadcasting *SystemInformationBlockType19* not including *discConfigPS*, or in case of non-relay PS related transmission: (connected to a PCell broadcasting *SystemInformationBlockType19* not including *discTxResourcesInterFreq* within *discResourcesPS* or for which *discTxResourcesInterFreq* did not include all frequencies for which the UE will request resources), or in case of relay related PS sidelink discovery announcements: (connected to a PCell broadcasting *SystemInformationBlockType19* not including *discConfigRelay*) sidelink; or
  - 3> if the last transmission of the *SidelinkUEInformation* message did not include *discTxResourceReqPS*; or if the PS related sidelink discovery announcement resources required by the UE have changed (i.e. resulting in a change of *discTxResourceReqPS*) since the last transmission of the *SidelinkUEInformation* message:
    - 4> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements; or
    - 4> if the UE is acting as sidelink relay UE; and if *SystemInformationBlockType19* includes *discConfigRelay*; and if the sidelink relay UE threshold conditions as specified in 5.10.10.4 are met; or

- 4> if the UE is selecting a sidelink relay UE / has a selected sidelink relay UE; and if SystemInformationBlockType19 includes discConfigRelay; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met:
  - 5> initiate transmission of the *SidelinkUEInformation* message to indicate the PS related sidelink discovery announcement resources required by the UE in accordance with 5.10.2.3;

- 3> if the last transmission of the SidelinkUEInformation message included discTxResourceReqPS:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires PS related sidelink discovery announcement resources in accordance with 5.10.2.3;
- 2> if configured by upper layers to monitor or transmit sidelink discovery announcements; and if the UE requires sidelink discovery gaps, to perform such actions:
  - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
  - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType19* or connected to a PCell broadcasting *SystemInformationBlockType19* not including *gapRequestsAllowedCommon* while at the same time the UE was not configured with *gapRequestsAllowedDedicated*; or
  - 3> if the last transmission of the *SidelinkUEInformation* message did not include the gaps required to monitor or transmit the sidelink discovery announcements (i.e. UE requiring gaps to monitor discovery announcements while *discRxGapReq* was not included or UE requiring gaps to transmit discovery announcements while *discTxGapReq* was not included); or if the sidelink discovery gaps required by the UE have changed (i.e. resulting in a change of *discRxGapReq* or *discTxGapReq*) since the last transmission of the *SidelinkUEInformation* message:
    - 4> if the UE is configured with gapRequestsAllowedDedicated set to true; or
    - 4> if the UE is not configured with *gapRequestsAllowedDedicated* and *gapRequestsAllowedCommon* is included in *SystemInformationBlockType19*:
      - 5> initiate transmission of the *SidelinkUEInformation* message to indicate the sidelink discovery gaps required by the UE in accordance with 5.10.2.3;

- 3> if the last transmission of the SidelinkUEInformation message included discTxGapReq or discRxGapReq:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires sidelink discovery gaps in accordance with 5.10.2.3;
- 2> if the UE acquired the relevant parameters from the system information of one or more cells on a carrier included in the *discSysInfoToReportConfig* and T370 is running:
  - 3> if the UE has configured lower layers to transmit or monitor the sidelink discovery announcements on those cells:
    - 4> initiate transmission of the *SidelinkUEInformation* message to report the acquired system information parameters and stop T370;
- 1> if SystemInformationBlockType21 including sl-V2X-ConfigCommon is broadcast by the PCell:
  - 2> ensure having a valid version of *SystemInformationBlockType21* and *SystemInformationBlockType26*, if broadcast, for the PCell;
  - 2> if configured by upper layers to receive V2X sidelink communication on a primary frequency or on one or more frequencies included in *v2x-InterFreqInfoList*, if included in *SystemInformationBlockType21* or *SystemInformationBlockType26* of the PCell:

- 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
- 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType21* including *sl-V2X-ConfigCommon*; or
- 3> if the last transmission of the *SidelinkUEInformation* message did not include *v2x-CommRxInterestedFreqList*; or if the frequency(ies) configured by upper layers to receive V2X sidelink communication on has changed since the last transmission of the *SidelinkUEInformation* message:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate the V2X sidelink communication reception frequency(ies) of interest in accordance with 5.10.2.3;

- 3> if the last transmission of the SidelinkUEInformation message included v2x-CommRxInterestedFreqList:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it is no longer interested in V2X sidelink communication reception in accordance with 5.10.2.3;
- 2> if configured by upper layers to transmit V2X sidelink communication on a primary frequency or on one or more frequencies included in *v2x-InterFreqInfoList*, if included in *SystemInformationBlockType21* or *SystemInformationBlockType26* of the PCell:
  - 3> if the UE did not transmit a *SidelinkUEInformation* message since last entering RRC\_CONNECTED state; or
  - 3> if since the last time the UE transmitted a *SidelinkUEInformation* message the UE connected to a PCell not broadcasting *SystemInformationBlockType21* including *sl-V2X-ConfigCommon*; or
  - 3> if the last transmission of the *SidelinkUEInformation* message did not include *v2x-CommTxResourceReq*; or if the information carried by the *v2x-CommTxResourceReq* has changed since the last transmission of the *SidelinkUEInformation* message:
    - 4> initiate transmission of the *SidelinkUEInformation* message to indicate the V2X sidelink communication transmission resources required by the UE in accordance with 5.10.2.3;

#### 2> else:

- 3> if the last transmission of the SidelinkUEInformation message included v2x-CommTxResourceReq:
  - 4> initiate transmission of the *SidelinkUEInformation* message to indicate it no longer requires V2X sidelink communication transmission resources in accordance with 5.10.2.3;

#### 5.10.2.3 Actions related to transmission of *SidelinkUEInformation* message

The UE shall set the contents of the Sidelink UE Information message as follows:

- 1> if the UE initiates the procedure to indicate it is (no more) interested to receive sidelink communication or discovery or receive V2X sidelink communication or to request (configuration/ release) of sidelink communication or V2X sidelink communication or sidelink discovery transmission resources (i.e. UE includes all concerned information, irrespective of what triggered the procedure):
  - 2> if SystemInformationBlockType18 is broadcast by the PCell:
    - 3> if configured by upper layers to receive sidelink communication:
      - 4> include *commRxInterestedFreq* and set it to the sidelink communication frequency;
    - 3> if configured by upper layers to transmit non-relay related one-to-many sidelink communication:
      - 4> include *commTxResourceReq* and set its fields as follows:
        - 5> set *carrierFreq* to indicate the sidelink communication frequency i.e. the same value as indicated in *commRxInterestedFreq* if included;

- 5> set *destinationInfoList* to include the non-relay related one-to-many sidelink communication transmission destination(s) for which it requests E-UTRAN to assign dedicated resources;
- 3> if configured by upper layers to transmit non-relay related one-to-one sidelink communication; and
- 3> if commTxResourceUC-ReqAllowed is included in SystemInformationBlockType18:
  - 4> include *commTxResourceReqUC* and set its fields as follows:
    - 5> set *carrierFreq* to indicate the one-to-one sidelink communication frequency i.e. the same value as indicated in *commRxInterestedFreq* if included;
    - 5> set *destinationInfoList* to include the non-relay related one-to-one sidelink communication transmission destination(s) for which it requests E-UTRAN to assign dedicated resources;
- 3> if configured by upper layers to transmit relay related one-to-one sidelink communication; and
- 3> if SystemInformationBlockType19 is broadcast by the PCell including discConfigRelay; and
- 3> if the UE is acting as sidelink relay UE; or if the UE has a selected sidelink relay UE; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met:
  - 4> include *commTxResourceReqRelayUC* and set its fields as follows:
    - 5> set *destinationInfoList* to include the one-to-one sidelink communication transmission destination(s) for which it requests E-UTRAN to assign dedicated resources;
  - 4> include *ue-Type* and set it to *relayUE* if the UE is acting as sidelink relay UE and to *remoteUE* otherwise;
- 3> if configured by upper layers to transmit relay related one-to-many sidelink communication; and
- 3> if SystemInformationBlockType19 is broadcast by the PCell including discConfigRelay; and
- 3> if the UE is acting as sidelink relay UE:
  - 4> include *commTxResourceReqRelay* and set its fields as follows:
    - 5> set *destinationInfoList* to include the one-to-many sidelink communication transmission destination(s) for which it requests E-UTRAN to assign dedicated resources;
  - 4> include *ue-Type* and set it to *relayUE*;
- 2> if *SystemInformationBlockType19* is broadcast by the PCell:
  - 3> if configured by upper layers to receive sidelink discovery announcements on a serving frequency or one or more frequencies included in *discInterFreqList*, if included in *SystemInformationBlockType19*:
    - 4> include discRxInterest;
  - 3> if the UE is configured by upper layers to transmit non-PS related sidelink discovery announcements:
    - 4> for each frequency on which the UE is configured to transmit non-PS related sidelink discovery announcements that concerns the primary frequency or that is included in *discInterFreqList* with *discTxResourcesInterFreq* included within *discResourcesNonPS* and not set to *noTxOnCarrier*:
      - 5> for the first frequency, include *discTxResourceReq* and set it to indicate the number of discovery messages for sidelink discovery announcement(s) for which it requests E-UTRAN to assign dedicated resources as well as the concerned frequency, if different from the primary;
      - 5> for any additional frequency, include *discTxResourceReqAddFreq* and set it to indicate the number of discovery messages for sidelink discovery announcement(s) for which it requests E-UTRAN to assign dedicated resources as well as the concerned frequency;
  - 3> if configured by upper layers to transmit PS related sidelink discovery announcements; and

- 3> if the frequency on which the UE is configured to transmit PS related sidelink discovery announcements either concerns the primary frequency or, in case of non-relay PS related sidelink discovery announcements, is included in *discInterFreqList* with *discTxResources InterFreq* included within *discResourcesPS* and not set to *noTxOnCarrier*:
  - 4> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements and *SystemInformationBlockType19* includes *discConfigPS*; or
  - 4> if the UE is acting as sidelink relay UE; and if *SystemInformationBlockType19* includes *discConfigRelay*; and if the sidelink relay UE threshold conditions as specified in 5.10.10.4 are met; or
  - 4> if the UE is selecting a sidelink relay UE / has a selected sidelink relay UE; and if SystemInformationBlockType19 includes discConfigRelay; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met:
    - 5> include *discTxResourceReqPS* and set it to indicate the number of discovery messages for PS related sidelink discovery announcement(s) for which it requests E-UTRAN to assign dedicated resources as well as the concerned frequency, if different from the primary;
- 2> if SystemInformationBlockType21 is broadcast by the PCell and SystemInformationBlockType21 includes sl-V2X-ConfigCommon:
  - 3> if configured by upper layers to receive V2X sidelink communication:
    - 4> include *v2x-CommRxInterestedFreqList* and set it to the frequency(ies) for V2X sidelink communication reception;
  - 3> if configured by upper layers to transmit V2X sidelink communication:
    - 4> if configured by upper layers to transmit P2X related V2X sidelink communication:
      - 5> include *p2x-CommTxType* set to *true*;
    - 4> include *v2x-CommTxResourceReq* and set its fields as follows for each frequency on which the UE is configured for V2X sidelink communication transmission:
      - 5> set carrierFreqCommTx to indicate the frequency for V2X sidelink communication transmission;
      - 5> set *v2x-TypeTxSync* to the current synchronization reference type used on the associated *carrierFreqCommTx* for V2X sidelink communication transmission;
      - 5> set *v2x-DestinationInfoList* to include the V2X sidelink communication transmission destination(s) for which it requests E-UTRAN to assign dedicated resources;
- 1> else if the UE initiates the procedure to request sidelink discovery transmission and/ or reception gaps:
  - 2> if the UE is configured with gapRequestsAllowedDedicated set to true; or
  - 2> if the UE is not configured with *gapRequestsAllowedDedicated* and *gapRequestsAllowedCommon* is included in *SystemInformationBlockType19*:
    - 3> if the UE requires sidelink discovery gaps to monitor the sidelink discovery announcements the UE is configured to monitor by upper layers:
      - 4> include *discRxGapReq* and set it to indicate, for each frequency that either concerns the primary frequency or is included in *discInterFreqList* on which the UE is configured to monitor sidelink discovery announcements and for which it requires sidelink discovery gaps to do so, the gap pattern(s) as well as the concerned frequency, if different from the primary;
    - 3> if the UE requires sidelink discovery gaps to transmit the sidelink discovery announcements the UE is configured to transmit by upper layers:
      - 4> include *discTxGapReq* and set it to indicate, for each frequency that either concerns the primary or is included in *discInterFreqList* on which the UE is configured to transmit sidelink discovery announcements and for which it requires sidelink discovery gaps to do so, the gap pattern(s) as well as the concerned frequency, if different from the primary;

- 1> else if the UE initiates the procedure to report the system information parameters related to sidelink discovery of carriers other than the primary:
  - 2> include *discSysInfoReportFreqList* and set it to report the system information parameter acquired from the cells on those carriers;

The UE shall submit the SidelinkUEInformation message to lower layers for transmission.

# 5.10.3 Sidelink communication monitoring

A UE capable of sidelink communication that is configured by upper layers to receive sidelink communication shall:

- 1> if the conditions for sidelink communication operation as defined in 5.10.1a are met:
  - 2> if in coverage on the frequency used for sidelink communication, as defined in TS 36.304 [4], clause 11.4:
    - 3> if the cell chosen for sidelink communication reception broadcasts *SystemInformationBlockType18* including *commRxPool*:
      - 4> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources indicated by *commRxPool*;
- NOTE 1: If *commRxPool* includes one or more entries including *rxParametersNCell*, the UE may only monitor such entries if the associated PSS/SSS or SLSSIDs is detected. When monitoring such pool(s), the UE applies the timing of the concerned PSS/SSS or SLSS.
  - 2> else (i.e. out of coverage on the sidelink carrier):
    - 3> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources that were preconfigured (i.e. *preconfigComm* in *SL-Preconfiguration* defined in 9.3);
- NOTE 2: The UE may monitor in accordance with the timing of the selected SyncRef UE, or if the UE does not have a selected SyncRef UE, based on the UE's own timing.

## 5.10.4 Sidelink communication transmission

A UE capable of sidelink communication that is configured by upper layers to transmit non-relay related sidelink communication and has related data to be transmitted or a UE capable of relay related sidelink communication that is configured by upper layers to transmit relay related sidelink communications and satisfies the conditions for relay related sidelink communication specified in this clause shall:

- 1> if the conditions for sidelink communication operation as defined in 5.10.1a are met:
  - 2> if in coverage on the frequency used for sidelink communication, as defined in TS 36.304 [4], clause 11.4:
    - 3> if the UE is in RRC\_CONNECTED and uses the PCell for sidelink communication:
      - 4> if the UE is configured, by the current PCell/ the PCell in which physical layer problems or radio link failure was detected, with *commTxResources* set to *scheduled*:
        - 5> if T310 or T311 is running; and if the PCell at which the UE detected physical layer problems or radio link failure broadcasts *SystemInformationBlockType18* including *commTxPoolExceptional*; or
        - 5> if T301 is running and the cell on which the UE initiated connection re-establishment broadcasts SystemInformationBlockType18 including commTxPoolExceptional:
          - 6> configure lower layers to transmit the sidelink control information and the corresponding data using the pool of resources indicated by the first entry in *commTxPoolExceptional*;
        - 5> else:
          - 6> configure lower layers to request E-UTRAN to assign transmission resources for sidelink communication;
      - 4> else if the UE is configured with commTxPoolNormalDedicated or commTxPoolNormalDedicatedExt:
        - 5> if *priorityList* is included for the entries of *commTxPoolNormalDedicated* or *commTxPoolNormalDedicatedExt*:
          - 6> configure lower layers to transmit the sidelink control information and the corresponding data using the one or more pools of resources indicated by *commTxPoolNormalDedicated* or *commTxPoolNormalDedicatedExt* i.e. indicate all entries of this field to lower layers;
        - 5> else:
          - 6> configure lower layers to transmit the sidelink control information and the corresponding data using the pool of resources indicated by the first entry in *commTxPoolNormalDedicated*;
    - 3> else (i.e. sidelink communication in RRC\_IDLE or on cell other than PCell in RRC\_CONNECTED):
      - 4> if the cell chosen for sidelink communication transmission broadcasts *SystemInformationBlockType18*:
        - 5> if SystemInformationBlockType18 includes commTxPoolNormalCommon:
          - 6> if *priorityList* is included for the entries of *commTxPoolNormalCommon* or *commTxPoolNormalCommonExt*:
            - 7> configure lower layers to transmit the sidelink control information and the corresponding data using the one or more pools of resources indicated by *commTxPoolNormalCommon* and/or *commTxPoolNormalCommonExt* i.e. indicate all entries of these fields to lower layers;
          - 6> else:
            - 7> configure lower layers to transmit the sidelink control information and the corresponding data using the pool of resources indicated by the first entry in *commTxPoolNormalCommon*;

- 5> else if *SystemInformationBlockType18* includes *commTxPoolExceptional*:
  - 6> from the moment the UE initiates connection establishment until receiving an RRCConnectionReconfiguration including sl-CommConfig or until receiving an RRCConnectionRelease or an RRCConnectionReject;
    - 7> configure lower layers to transmit the sidelink control information and the corresponding data using the pool of resources indicated by the first entry in *commTxPoolExceptional*;
- 2> else (i.e. out of coverage on sidelink carrier):
  - 3> if priorityList is included for the entries of preconfigComm in SL-Preconfiguration defined in 9.3:
    - 4> configure lower layers to transmit the sidelink control information and the corresponding data using the one or more pools of resources indicated *preconfigComm* i.e. indicate all entries of this field to lower layers and in accordance with the timing of the selected SyncRef UE, or if the UE does not have a selected SyncRef UE, based on the UEs own timing;

4> configure lower layers to transmit the sidelink control information and the corresponding data using the pool of resources that were preconfigured i.e. indicated by the first entry in *preconfigComm* in *SL-Preconfiguration* defined in 9.3 and in accordance with the timing of the selected SyncRef UE, or if the UE does not have a selected SyncRef UE, based on the UEs own timing;

The conditions for relay related sidelink communication are as follows:

- 1> if the transmission concerns sidelink relay communication; and the UE is capable of sidelink relay or sidelink remote operation:
  - 2> if the UE is in RRC\_IDLE; and if the UE has a selected sidelink relay UE: configure lower layers to transmit the sidelink control information and the corresponding data using the resources, as specified previously in this clause, only if the following condition is met:
    - 3> if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met; and if the UE configured lower layers with a pool of resources included in *SystemInformationBlockType18* (i.e. commTxPoolNormalCommon, commTxPoolNormalCommonExt or commTxPoolExceptional); and commTxAllowRelayCommon is included in *SystemInformationBlockType18*;
  - 2> if the UE is in RRC\_CONNECTED: configure lower layers to transmit the sidelink control information and the corresponding data using the resources, as specified previously in this clause, only if the following condition is met:
    - 3> if the UE configured lower layers with resources provided by dedicated signalling (i.e. *commTxResources*); and the UE is configured with *commTxAllowRelayDedicated* set to *true*;

# 5.10.5 Sidelink discovery monitoring

A UE capable of non-PS related sidelink discovery that is configured by upper layers to monitor non-PS related sidelink discovery announcements shall:

- 1> for each frequency the UE is configured to monitor non-PS related sidelink discovery announcements on, prioritising the frequencies included in *discInterFreqList*, if included in *SystemInformationBlockType19*:
  - 2> if the PCell or the cell the UE is camping on indicates the pool of resources to monitor sidelink discovery announcements on by discRxResourcesInterFreq in discResourcesNonPS within discInterFreqList in SystemInformationBlockType19:
    - 3> configure lower layers to monitor sidelink discovery announcements using the pool of resources indicated by discRxResourcesInterFreqin discResourcesNonPS within SystemInformationBlockType19;
  - 2> else if the cell used for sidelink discovery monitoring broadcasts SystemInformationBlockType19:

- 3> configure lower layers to monitor sidelink discovery announcements using the pool of resources indicated by *discRxPool* in *SystemInformationBlockType19*;
- 2> if the UE is configured with *discRxGapConfig* and requires sidelink discovery gaps to monitor sidelink discovery announcements on the concerned frequency;
  - 3> configure lower layers to monitor the concerned frequency using the sidelink discovery gaps indicated by discRxGapConfig;

3> configure lower layers to monitor the concerned frequency without affecting normal operation;

A UE capable of PS related sidelink discovery that is configured by upper layers to monitor PS related sidelink discovery announcements shall:

- 1> if out of coverage on the frequency, as defined in TS 36.304 [4], clause 11.4:
  - 2> configure lower layers to monitor sidelink discovery announcements using the pool of resources that were preconfigured (i.e. indicated by *discRxPoolList* within *preconfigDisc* in *SL-Preconfiguration* defined in 9.3);
- 1> else if configured by upper layers to monitor non-relay PS related discovery announcements; and if the PCell or the cell the UE is camping on indicates a pool of resources to monitor sidelink discovery announcements on by discRxResourcesInterFreq in discResourcesPS within discInterFreqList in SystemInformationBlockType19:
  - 2> configure lower layers to monitor sidelink discovery announcements using the pool of resources indicated by discRxResourcesInterFreq in discResourcesPS in SystemInformationBlockType19;
- 1> else if configured by upper layers to monitor PS related sidelink discovery announcements; and if the cell used for sidelink discovery monitoring broadcasts *SystemInformationBlockType19*:
  - 2> configure lower layers to monitor sidelink discovery announcements using the pool of resources indicated by discRxPoolPS in SystemInformationBlockType19;
- 1> if the UE is configured with *discRxGapConfig* and requires sidelink discovery gaps to monitor sidelink discovery announcements on the concerned frequency;
  - 2> configure lower layers to monitor the concerned frequency using the sidelink discovery gaps indicated by discRxGapConfig;

1> else:

- 2> configure lower layers to monitor the concerned frequency without affecting normal operation;
- NOTE 1: The requirement not to affect normal UE operation also applies for the acquisition of sidelink discovery related system and synchronisation information from inter-frequency cells.
- NOTE 2: The UE is not required to monitor all pools simultaneously.
- NOTE 3: It is up to UE implementation to decide whether a cell is sufficiently good to be used to monitor sidelink discovery announcements.
- NOTE 4: If *discRxPool*, *discRxPoolPS* or *discRxResourcesInterFreq* includes one or more entries including *rxParameters*, the UE may only monitor such entries if the associated SLSSIDs are detected. When monitoring such pool(s) the UE applies the timing of the corresponding SLSS.

# 5.10.6 Sidelink discovery announcement

A UE capable of non-PS related sidelink discovery that is configured by upper layers to transmit non-PS related sidelink discovery announcements shall, for each frequency the UE is configured to transmit such announcements on:

- NOTE: In case the configured resources are insufficient it is up to UE implementation to decide which sidelink discovery announcements to transmit.
- 1> if the frequency used to transmit sidelink discovery announcements concerns the serving frequency (RRC\_IDLE) or primary frequency (RRC\_CONNECTED):

- 2> if the UE's serving cell (RRC\_IDLE) or PCell (RRC\_CONNECTED) is suitable as defined in TS 36.304 [4]:
  - 3> if the UE is in RRC\_CONNECTED (i.e. PCell is used for sidelink discovery announcement):
    - 4> if the UE is configured with *discTxResources* set to *scheduled*:
      - 5> configure lower layers to transmit the sidelink discovery announcement using the assigned resources indicated by *scheduled* in *discTxResources*;
    - 4> else if the UE is configured with *discTxPoolDedicated* (i.e. *discTxResources* set to *ue-Selected*):
      - 5> select an entry of the list of resource pool entries in *discTxPoolDedicated* and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
  - 3> else if T300 is not running (i.e. UE in RRC\_IDLE, announcing via serving cell):
    - 4> if *SystemInformationBlockType19* of the serving cell includes *discTxPoolCommon*:
      - 5> select an entry of the list of resource pool entries in *discTxPoolCommon* and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
- 1> else if, for the frequency used to transmit sidelink discovery announcements on, the UE is configured with dedicated resources (i.e. with *discTxResources-r12*, if *discTxCarrierFreq* is included in *discTxInterFreqInfo*, or with *discTxResources* within *discTxInfoInterFreqListAdd* in *discTxInterFreqInfo*); and the conditions for non-PS related sidelink discovery operation as defined in 5.10.1c are met:
  - 2> if the UE is configured with *discTxResources* set to *scheduled*:
    - 3> configure lower layers to transmit the sidelink discovery announcement using the assigned resources indicated by *scheduled* in *discTxResources*;
  - 2> else if the UE is configured with *discTxResources* set to *ue-Selected*:
    - 3> select an entry of the list of resource pool entries in *ue-Selected* and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
- 1> else if the frequency used to transmit sidelink discovery announcements on is included in *discInterFreqList* within *SystemInformationBlockType19* of the serving cell/ PCell, and *discTxResourcesInterFreq* within *discResourcesNonPS* in the corresponding entry of *discInterFreqList* is set to *discTxPoolCommon* (i.e. serving cell/ PCell broadcasts pool of resources) and the conditions for non-PS related sidelink discovery operation as defined in 5.10.1c are met; or
- 1> else if *discTxPoolCommon* is included in *SystemInformationBlockType19* acquired from cell selected on the sidelink discovery announcement frequency; and the conditions for non-PS related sidelink discovery operation as defined in 5.10.1c are met:
  - 2> select an entry of the list of resource pool entries in *discTxPoolCommon* and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
- 1> if the UE is configured with *discTxGapConfig* and requires sidelink discovery gaps to transmit sidelink discovery announcements on the concerned frequency;
  - 2> configure lower layers to transmit on the concerned frequency using the sidelink discovery gaps indicated by discTxGapConfig,
- 1> else:
  - 2> configure lower layers to transmit on the concerned frequency without affecting normal operation;

A UE capable of PS related sidelink discovery that is configured by upper layers to transmit PS related sidelink discovery announcements shall:

- 1> if out of coverage on the frequency used to transmit PS related sidelink discovery announcements as defined in TS 36.304 [4], clause 11.4, and the conditions for PS -related sidelink discovery operation as defined in 5.10.1b are met:
  - 2> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements; or

- 2> if the UE is selecting a sidelink relay UE/ has a selected sidelink relay UE:
  - 3> configure lower layers to transmit sidelink discovery announcements using the pool of resources that were preconfigured and in accordance with the following;
    - 4> randomly select, using a uniform distribution, an entry of *preconfigDisc* in *SL-Preconfiguration* defined in 9.3:
    - 4> using the timing of the selected SyncRef UE, or if the UE does not have a selected SyncRef UE, based on the UEs own timing;
- 1> else if the frequency used to transmit sidelink discovery announcements concerns the serving frequency (RRC\_IDLE) or primary frequency (RRC\_CONNECTED) and the conditions for PS related sidelink discovery operation as defined in 5.10.1b are met:
  - 2> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements; or
  - 2> if the UE is acting as sidelink relay UE; and if the UE is in RRC\_IDLE; and if the sidelink relay UE threshold conditions as specified in 5.10.10.4 are met; or
  - 2> if the UE is acting as sidelink relay UE; and if the UE is in RRC\_CONNECTED; or
  - 2> if the UE is selecting a sidelink relay UE / has a selected sidelink relay UE; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met:
    - 3> if the UE is configured with discTxPoolPS-Dedicated; or
    - 3> if the UE is in RRC\_IDLE; and if discTxPoolPS-Common is included in SystemInformationBlockType19:
      - 4> select an entry of the list of resource pool entries and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
    - 3> else if the UE is configured with *discTxResourcesPS* set to *scheduled*:
      - 4> configure lower layers to transmit the sidelink discovery announcement using the assigned resources indicated by *scheduled* in *discTxResourcesPS*;
- 1> else if, for the frequency used to transmit sidelink discovery announcements on, the UE is configured with dedicated resources (i.e. with *discTxResourcesPS* in *discTxInterFreqInfo* within *sl-DiscConfig*); and the conditions for PS related sidelink discovery operation as defined in 5.10.1b are met:
  - 2> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements:
    - 3> if the UE is configured with *discTxResourcesPS* set to *scheduled*:
      - 4> configure lower layers to transmit the sidelink discovery announcement using the assigned resources indicated by *scheduled* in *discTxResourcesPS*;
    - 3> else if the UE is configured with *discTxResourcesPS* set to *ue-Selected*:
      - 4> select an entry of the list of resource pool entries in *ue-Selected* and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
- 1> else if the frequency used to transmit sidelink discovery announcements on is included in *discInterFreqList* within *SystemInformationBlockType19* of the serving cell/ PCell, while *discTxResourcesInterFreq* within *discResourcesPS* in the corresponding entry of *discInterFreqList* is set to *discTxPoolCommon* (i.e. serving cell/ PCell broadcasts pool of resources) and the conditions for PS related sidelink discovery operation as defined in 5.10.1b are met:
  - 2> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements:
    - 3> select an entry of the list of resource pool entries in *discTxPoolCommon* and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
- 1> else if *discTxPoolPS-Common* is included in *SystemInformationBlockType19* acquired from cell selected on the sidelink discovery announcement frequency; and the conditions for PS related sidelink discovery operation as defined in 5.10.1b are met:

- 2> if configured by upper layers to transmit non-relay PS related sidelink discovery announcements:
  - 3> select an entry of the list of resource pool entries in *discTxPoolPS-Common* and configure lower layers to use it to transmit the sidelink discovery announcements as specified in 5.10.6a;
- 1> if the UE is configured with *discTxGapConfig* and requires gaps to transmit sidelink discovery announcements on the concerned frequency;
  - 2> configure lower layers to transmit on the concerned frequency using the gaps indicated by discTxGapConfig,
- 1> else:
  - 2> configure lower layers to transmit on the concerned frequency without affecting normal operation;

# 5.10.6a Sidelink discovery announcement pool selection

A UE that is configured with a list of resource pool entries for sidelink discovery announcement transmission (i.e. by *SL-DiscTxPoolList*) shall:

- 1> if *poolSelection* is set to *rsrpBased*:
  - 2> select a pool from the list of pools the UE is configured with for which the RSRP measurement of the reference cell selected as defined in 5.10.6b, after applying the layer 3 filter defined by *quantityConfig* as specified in 5.5.3.2, is in-between *threshLow* and *threshHigh*;
- 1> else:
  - 2> randomly select, using a uniform distribution, a pool from the list of pools the UE is configured with;
- 1> configure lower layers to transmit the sidelink discovery announcement using the selected pool of resources;
- NOTE 1: When performing resource pool selection based on RSRP, the UE uses the latest results of the available measurements used for cell reselection evaluation in RRC\_IDLE/ for measurement report triggering evaluation in RRC\_CONNECTED, which are performed in accordance with the performance requirements specified in TS 36.133 [16].

# 5.10.6b Sidelink discovery announcement reference carrier selection

A UE capable of sidelink discovery that is configured by upper layers to transmit sidelink discovery announcements shall:

- 1> for each frequency the UE is transmitting sidelink discovery announcements on, select a cell to be used as reference for synchronisation and DL measurements in accordance with the following:
  - 2> if the frequency concerns the primary frequency:
    - 3> use the PCell as reference;
  - 2> else if the frequency concerns a secondary frequency:
    - 3> use the concerned SCell as reference;
  - 2> else if the UE is configured with *discTxRefCarrierDedicated* for the frequency:
    - 3> use the cell indicated by this field as reference;
  - 2> else if the UE is configured with *refCarrierCommon* for the frequency:
    - 3> use the serving cell (RRC\_IDLE)/ PCell (RRC\_CONNECTED) as reference;
  - 2> else:
    - 3> use the DL frequency paired with the one used to transmit sidelink discovery announcements on as reference;

# 5.10.7 Sidelink synchronisation information transmission

#### 5.10.7.1 General

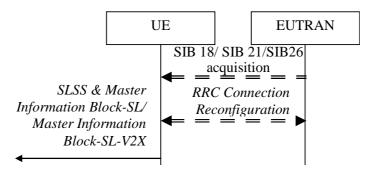


Figure 5.10.7.1-1: Synchronisation information transmission for sidelink communication or V2X sidelink communication, in (partial) coverage

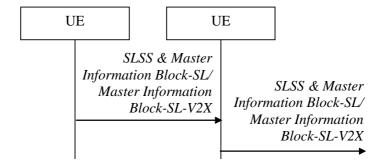


Figure 5.10.7.1-2: Synchronisation information transmission for sidelink communication or V2X sidelink communication / sidelink discovery, out of coverage

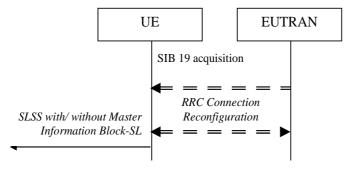


Figure 5.10.7.1-3: Synchronisation information transmission for sidelink discovery, in (partial) coverage

The purpose of this procedure is to provide synchronisation information to a UE. For sidelink discovery, the synchronisation information concerns a Sidelink Synchronisation Signal (SLSS) and, in case of PS related discovery, also timing information and some additional configuration parameters (i.e. the *MasterInformationBlock-SL* message), while for sidelink communication or V2X sidelink communication it concerns an SLSS and the *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X* message. A UE transmits synchronisation information either when E-UTRAN configures it to do so by dedicated signalling (i.e. network based), or when not configured by dedicated signalling (i.e. UE based) and E-UTRAN broadcasts (in coverage) or pre-configures a threshold (out of coverage).

The synchronisation information transmitted by the UE may be derived from information/ signals received from E-UTRAN (in coverage) or received from a UE acting as synchronisation reference for the transmitting UE or received from GNSS. In the remainder, the UE acting as synchronisation reference is referred to as SyncRef UE.

# 5.10.7.2 Initiation

A UE capable of SLSS transmission shall, when transmitting sidelink discovery announcements in accordance with 5.10.6 and when the following conditions are met:

- 1> if in coverage on the frequency used for sidelink discovery, as defined in TS 36.304 [4], clause 11.4:
  - 2> if in RRC CONNECTED; and if networkControlledSyncTx is configured and set to on; or
  - 2> if networkControlledSyncTx is not configured; and syncTxThreshIC is included in SystemInformationBlockType19; and the RSRP measurement of the reference cell, selected as defined in 5.10.6b, is below the value of syncTxThreshIC:
    - 3> if the sidelink discovery announcements are not PS related; or if syncTxPeriodic is not included:
      - 4> transmit SLSS on the frequency used for sidelink discovery in accordance with 5.10.7.3 and TS 36.211 [21];
    - 3> else:
      - 4> transmit SLSS on the frequency used for sidelink discovery in accordance with 5.10.7.3 and TS 36.211 [21];
      - 4> transmit the *MasterInformationBlock-SL* message on the frequency used for sidelink discovery, in the same subframe as SLSS, and in accordance with 5.10.7.4;
- 1> else (i.e. out of coverage, PS):
  - 2> if *syncTxThreshOoC* is included in the preconfigured sidelink parameters (i.e. *SL-Preconfiguration* defined in 9.3); and the UE has not selected SyncRef UE or the S-RSRP measurement result of the selected SyncRef UE is below the value of *syncTxThreshOoC*:
    - 3> transmit SLSS on the frequency used for sidelink discovery in accordance with 5.10.7.3 and TS 36.211 [21];
    - 3> transmit the *MasterInformationBlock-SL* message on the frequency used for sidelink discovery, in the same subframe as SLSS, and in accordance with 5.10.7.4;

A UE capable of sidelink communication that is configured by upper layers to transmit sidelink communication shall, irrespective of whether or not it has data to transmit:

- 1> if the conditions for sidelink communication operation as defined in 5.10.1a are met:
  - 2> if in RRC CONNECTED; and if networkControlledSyncTx is configured and set to on:
    - 3> transmit SLSS in accordance with 5.10.7.3 and TS 36.211 [21];
    - 3> transmit the *MasterInformationBlock-SL* message, in the same subframe as SLSS, and in accordance with 5.10.7.4;

A UE shall, when transmitting sidelink communication in accordance with 5.10.4 and when the following conditions are met:

- 1> if in coverage on the frequency used for sidelink communication, as defined in TS 36.304 [4], clause 11.4:
  - 2> if the UE is in RRC\_CONNECTED; and networkControlledSyncTx is not configured; and syncTxThreshIC is included in SystemInformationBlockType18; and the RSRP measurement of the cell chosen for sidelink communication transmission is below the value of syncTxThreshIC; or
  - 2> if the UE is in RRC\_IDLE; and *syncTxThreshIC* is included in *SystemInformationBlockType18*; and the RSRP measurement of the cell chosen for sidelink communication transmission is below the value of *syncTxThreshIC*:
    - 3> transmit SLSS in accordance with 5.10.7.3 and TS 36.211 [21];
    - 3> transmit the *MasterInformationBlock-SL* message, in the same subframe as SLSS, and in accordance with 5.10.7.4;

- 1> else (i.e. out of coverage):
  - 2> if *syncTxThreshOoC* is included in the preconfigured sidelink parameters (i.e. *SL-Preconfiguration* defined in 9.3); and the UE has no selected SyncRef UE or the S-RSRP measurement result of the selected SyncRef UE is below the value of *syncTxThreshOoC*:
    - 3> transmit SLSS in accordance with 5.10.7.3 and TS 36.211 [21];
    - 3> transmit the *MasterInformationBlock-SL* message, in the same subframe as SLSS, and in accordance with 5.10.7.4;

A UE capable of V2X sidelink communication and SLSS/PSBCH transmission shall, when transmitting non-P2X related V2X sidelink communication in accordance with 5.10.13, and if the conditions for V2X sidelink communication operation as defined in 5.10.1d are met and when the following conditions are met:

- 1> if in coverage on the frequency used for V2X sidelink communication, as defined in TS 36.304 [4], clause 11.4; and has selected GNSS or the cell as synchronization reference as defined in 5.10.13.3; or
- 1> if out of coverage on the frequency used for V2X sidelink communication, as defined in TS 36.304 [4], clause 11.4, and the frequency used to transmit V2X sidelink communication is included in v2x-InterFreqInfoList in RRCConnectionReconfiguration or in v2x-InterFreqInfoList within SystemInformationBlockType21 or SystemInformationBlockType26 of the serving cell/ PCell; and has selected GNSS or the cell as synchronization reference as defined in 5.10.13.3:
  - 2> if syncFreqList is not included in RRCConnectionReconfiguration nor in SystemInformationBlockType26; or
  - 2> if *syncFreqList* is included in *RRCConnectionReconfiguration* or in *SystemInformationBlockType26*; and if none of the frequency(ies) selected as specified in TS 36.321 [6] is included in the *syncFreqList* or the concerned frequency is selected as the synchronisation carrier frequency in accordance with 5.10.8a; or
  - 2> if syncFreqList and slss-TxMultiFreq are included in RRCConnectionReconfiguration or in SystemInformationBlockType26; and if the UE has selected a frequency other than the concerned frequency as the synchronisation carrier frequency; and if slss-TxDisabled corresponding to the concerned frequency is not configured in RRCConnectionReconfiguration; and if the concerned frequency has been selected for V2X sidelink communication transmission as specified in TS 36.321 [6] and is included in syncFreqList; and if UE is capable of SLSS/PSBCH transmission on the concerned frequency:
    - 3> if in RRC CONNECTED; and if networkControlledSyncTx is configured and set to on; or
    - 3> if networkControlledSyncTx is not configured; and for the concerned frequency syncTxThreshIC is configured; and the RSRP measurement of the reference cell, selected as defined in 5.10.13.3, for V2X sidelink communication transmission is below the value of syncTxThreshIC:
      - 4> transmit SLSS on the frequency used for V2X sidelink communication in accordance with 5.10.7.3 and TS 36.211 [21];
      - 4> transmit the *MasterInformationBlock-SL-V2X* message on the frequency used for V2X sidelink communication, in the same subframe as SLSS, and in accordance with 5.10.7.4;

- 2> for the frequency used for V2X sidelink communication, if *syncOffsetIndicators* is included in *SL-V2X-Preconfiguration*:
  - 3> If syncFreqList is not included in SL-V2X-Preconfiguration; or
  - 3> if *syncFreqList* is included in *SL-V2X-Preconfiguration*, and if none of the frequency(ies) selected as specified in TS 36.321 [6] is included in the *syncFreqList* or the concerned frequency is selected as the synchronisation carrier frequency in accordance with 5.10.8a; or
  - 3> if *syncFreqList* and *slss-TxMultiFreq* are included in *SL-V2X-Preconfiguration*, and if the UE has selected a frequency other than the concerned frequency as the synchronisation carrier frequency; and if *slss-TxDisabled* corresponding to the concerned frequency is not configured in *SL-V2X-Preconfiguration*; and if the concerned frequency has been selected for V2X sidelink communication transmission as specified in TS 36.321 [6] and included in *syncFreqList*; and if the UE is capable of SLSS/PSBCH transmission on the frequency:

- 4> if *syncTxThreshOoC* is included in *SL-V2X-Preconfiguration*; and the UE is not directly synchronized to GNSS, and the UE has no selected SyncRef UE or the S-RSRP measurement result of the selected SyncRef UE is below the value of *syncTxThreshOoC*; or
- 4> if the UE selects GNSS as the synchronization reference source:
  - 5> transmit SLSS in accordance with 5.10.7.3 and TS 36.211 [21];
  - 5> transmit the *MasterInformationBlock-SL-V2X* message, in the same subframe as SLSS, and in accordance with 5.10.7.4:
- NOTE 1: In the case of limited transmission capabilities on multiple carrier frequencies, when the UE is configured with *syncFreqList*, whether to transmit SLSS/PSBCH on a frequency, which is selected for V2X sidelink communication transmission as specified in TS 36.321 [6] and is other than the synchronisation carrier frequency, is up to UE implementation.

## 5.10.7.3 Transmission of SLSS

The UE shall select the SLSSID and the subframe in which to transmit SLSS as follows:

- 1> if triggered by sidelink discovery announcement and in coverage on the frequency used for sidelink discovery, as defined in TS 36.304 [4], clause 11.4:
  - 2> select the SLSSID included in the entry of *discSyncConfig* included in the received *SystemInformationBlockType19*, that includes *txParameters*;
  - 2> use *syncOffsetIndicator* corresponding to the selected SLSSID;
  - 2> for each pool used for the transmission of discovery announcements (each corresponding to the selected SLSSID):
    - 3> if a subframe indicated by *syncOffsetIndicator* corresponds to the first subframe of the discovery transmission pool;
      - 4> if *discTxGapConfig* is configured and includes the concerned subframe; or the subframe is not used for regular uplink transmission:
        - 5> select the concerned subframe:
    - 3> else
      - 4> if *discTxGapConfig* is configured and includes the concerned subframe; or the subframe is not used for regular uplink transmission:
        - 5> select the subframe indicated by *syncOffsetIndicator* that precedes and which, in time domain, is nearest to the first subframe of the discovery transmission pool;
    - 3> if the sidelink discovery announcements concern PS; and if syncTxPeriodic is included:
      - 4> additionally select each subframe that periodically occurs 40 subframes after the selected subframe;
- 1> if triggered by sidelink communication and in coverage on the frequency used for sidelink communication, as defined in TS 36.304 [4], clause 11.4:
  - 2> select the SLSSID included in the entry of *commSyncConfig* that is included in the received *SystemInformationBlockType18* and includes *txParameters*;
  - 2> use syncOffsetIndicator corresponding to the selected SLSSID;
  - 2> if in RRC\_CONNECTED; and if *networkControlledSyncTx* is configured and set to *on*:
    - 3> select the subframe(s) indicated by syncOffsetIndicator;
  - 2> else (when transmitting communication):

- 3> select the subframe(s) indicated by *syncOffsetIndicator* within the SC period in which the UE intends to transmit sidelink control information or data:
- 1> if triggered by V2X sidelink communication and in coverage on the frequency used for V2X sidelink communication, as defined in TS 36.304 [4], clause 11.4; or
- 1> if triggered by V2X sidelink communication, and out of coverage on the frequency used for V2X sidelink communication, and the concerned frequency is included in v2x-InterFreqInfoList in RRCConnectionReconfiguration or in v2x-InterFreqInfoList within SystemInformationBlockType21 of the serving cell/ PCell;
  - 2> if the UE has selected GNSS as synchronization reference in accordance with 5.10.8.2:
    - 3> select SLSSID 0:
    - 3> use *syncOffsetIndicator* included in the entry of *v2x-SyncConfig* corresponding to the concerned frequency in *v2x-InterFreqInfoList* or within *SystemInformationBlockType21*, that includes *txParameters* and *gnss-Sync*;
    - 3> select the subframe(s) indicated by syncOffsetIndicator;
  - 2> if the UE has selected a cell as synchronization reference in accordance with 5.10.8.2:
    - 3> select the SLSSID included in the entry of *v2x-SyncConfig* configured for the concerned frequency in *v2x-InterFreqInfoList* or within *SystemInformationBlockType21*, that includes *txParameters* and does not include *gnss-Sync*;
    - 3> use syncOffsetIndicator corresponding to the selected SLSSID;
    - 3> select the subframe(s) indicated by syncOffsetIndicator;
- 1> else if triggered by V2X sidelink communication and the UE has GNSS as the synchronization reference:
  - 2> select SLSSID 0;
  - 2> if *syncOffsetIndicator3* is configured for the frequency used for V2X sidelink communication in *SL-V2X-Preconfiguration*:
    - 3> select the subframe(s) indicated by *syncOffsetIndicator3*;
  - 2> else:
    - 3> select the subframe(s) indicated by syncOffsetIndicator1;
- 1> else:
  - 2> select the synchronisation reference UE (i.e. SyncRef UE) as defined in 5.10.8;
  - 2> if the UE has a selected SyncRef UE and *inCoverage* in the *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X* message received from this UE is set to *TRUE*; or
  - 2> if the UE has a selected SyncRef UE and *inCoverage* in the *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X* message received from this UE is set to *FALSE* while the SLSS from this UE is part of the set defined for out of coverage, see TS 36.211 [21]:
    - 3> select the same SLSSID as the SLSSID of the selected SyncRef UE;
    - 3> select the subframe in which to transmit the SLSS according to the *syncOffsetIndicator1* or *syncOffsetIndicator2* included in the preconfigured sidelink parameters (i.e. *preconfigSync* in *SL-Preconfiguration* or *v2x-CommPreconfigSync* in *SL-V2X-Preconfiguration* defined in 9.3) corresponding to the concerned frequency, such that the subframe timing is different from the SLSS of the selected SyncRef UE;
  - 2> else if the UE has a selected SyncRef UE and the SLSS from this UE was transmitted on the subframe indicated by *syncOffsetIndicator3* that is included in the *syncOffsetIndicators* in *SL-V2X-Preconfiguration*, and is corresponding to the frequency used for V2X sidelink communication:

- 3> select SLSSID 169;
- 3> select the subframe(s) indicated by syncOffsetIndicator2;
- 2> else if the UE has a selected SyncRef UE:
  - 3> select the SLSSID from the set defined for out of coverage having an index that is 168 more than the index of the SLSSID of the selected SyncRef UE, see TS 36.211 [21];
  - 3> select the subframe in which to transmit the SLSS according to *syncOffsetIndicator1* or *syncOffsetIndicator2* included in the preconfigured sidelink parameters (i.e. *preconfigSync* in *SL-Preconfiguration* or *v2x-CommPreconfigSync* in *SL-V2X-Preconfiguration* defined in 9.3), such that the subframe timing is different from the SLSS of the selected SyncRef UE;
- 2> else (i.e. no SyncRef UE selected):
  - 3> if triggered by V2X sidelink communication, randomly select, using a uniform distribution, an SLSSID from the set of sequences defined for out of coverage except SLSSID 168 and 169, see TS 36.211 [21];
  - 3> else, randomly select, using a uniform distribution, an SLSSID from the set of sequences defined for out of coverage, see TS 36.211 [21];
  - 3> select the subframe in which to transmit the SLSS according to the *syncOffsetIndicator1* or *syncOffsetIndicator2* (arbitrary selection between these) included in the preconfigured sidelink parameters (i.e. *preconfigSync* in *SL-Preconfiguration* or *v2x-CommPreconfigSync* in *SL-V2X-Preconfiguration* defined in 9.3);

# 5.10.7.4 Transmission of *MasterInformationBlock-SL* or *MasterInformationBlock-SL*-V2X message

The UE shall set the contents of the *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X* message as follows:

- 1> if in coverage on the frequency used for the sidelink operation that triggered this procedure as defined in TS 36.304 [4], clause 11.4:
  - 2> set inCoverage to TRUE;
  - 2> set *sl-Bandwidth* to the value of *ul-Bandwidth* as included in the received *SystemInformationBlockType2* of the cell chosen for the concerned sidelink operation;
  - 2> if tdd-Config is included in the received SystemInformationBlockType1:
    - 3> set *subframeAssignmentSL* to the value representing the same meaning as of *subframeAssignment* that is included in *tdd-Config* in the received *SystemInformationBlockType1*;
  - 2> else:
    - 3> set *subframeAssignmentSL* to *none*;
  - 2> if triggered by sidelink communication; and if *syncInfoReserved* is included in an entry of *commSyncConfig* from the received *SystemInformationBlockType18*:
    - 3> set reserved to the value of syncInfoReserved in the received SystemInformationBlockType18;
  - 2> if triggered by sidelink discovery; and if *syncInfoReserved* is included in an entry of *discSyncConfig* from the received *SystemInformationBlockType19*:
    - 3> set reserved to the value of syncInfoReserved in the received SystemInformationBlockType19;
  - 2> if triggered by V2X sidelink communication; and if *syncInfoReserved* is included in an entry of *v2x-SyncConfig* from the received *SystemInformationBlockType21* or *SystemInformationBlockType26*:
    - 3> set reserved to the value of syncInfoReserved in the received SystemInformationBlockType21 or SystemInformationBlockType26;

#### 2> else:

- 3> set all bits in reserved to 0;
- 1> else if out of coverage on the frequency used for V2X sidelink communication as defined in TS 36.304 [4], clause 11.4; and the concerned frequency is included in v2x-InterFreqInfoList in RRCConnectionReconfiguration or in v2x-InterFreqInfoList within SystemInformationBlockType21 or SystemInformationBlockType26 of the serving cell/ PCell:
  - 2> set inCoverage to TRUE;
  - 2> set sl-Bandwidth to the value of the corresponding field included in v2x-InterFreqInfoList;
  - 2> set *subframeAssignmentSL* and *reserved* to the value of the corresponding field included in the preconfigured sidelink parameters (i.e. *v2x-CommPreconfigGeneral* in *SL-V2X-Preconfiguration* defined in 9.3);
- 1> else if out of coverage on the frequency used for V2X sidelink communication as defined in TS 36.304 [4], clause 11.4; and the UE selects GNSS timing as the synchronization reference source and *syncOffsetIndicator3* is not included in *SL-V2X-Preconfiguration*:
  - 2> set inCoverage to TRUE;
  - 2> set *sl-Bandwidth*, *subframeAssignmentSL* and *reserved* to the value of the corresponding field included in the preconfigured sidelink parameters (i.e. *v2x-CommPreconfigGeneral* in *SL-V2X-Preconfiguration* defined in 9.3);
- 1> else if the UE has a selected SyncRef UE (as defined in 5.10.8) and if the SyncRef UE is selected on the concern frequency:
  - 2> set inCoverage to FALSE;
  - 2> set *sl-Bandwidth*, *subframeAssignmentSL* and *reserved* to the value of the corresponding field included in the received *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X*;

#### 1> else:

- 2> set inCoverage to FALSE;
- 2> set *sl-Bandwidth*, *subframeAssignmentSL* and *reserved* to the value of the corresponding field included in the preconfigured sidelink parameters (i.e. *preconfigGeneral* in *SL-Preconfiguration* or *v2x-CommPreconfigGeneral* in *SL-V2X-Preconfiguration* defined in 9.3);
- 1> set *directFrameNumber* and *directSubframeNumber* according to the subframe used to transmit the SLSS, as specified in 5.10.7.3;
- 1> submit the *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X* message to lower layers for transmission upon which the procedure ends;

# 5.10.7.5 Void

# 5.10.8 Sidelink synchronisation reference

# 5.10.8.1 General

The purpose of this procedure is to select a synchronisation reference and used a.o. when transmitting sidelink communication, V2X sidelink communication, sidelink discovery or synchronisation information.

# 5.10.8.2 Selection and reselection of synchronisation reference

## The UE shall:

1> if triggered by V2X sidelink communication, and in coverage on the frequency for V2X sidelink communication; or

- 1> if triggered by V2X sidelink communication, and out of coverage on the frequency for V2X sidelink communication, and the frequency used to transmit V2X sidelink communication is included in v2x-InterFreqInfoList in RRCConnectionReconfiguration or in v2x-InterFreqInfoList within SystemInformationBlockType21 or SystemInformationBlockType26 of the serving cell/ PCell:
  - 2> If syncFreqList is not included in RRCConnectionReconfiguration nor in SystemInformationBlockType26; or
  - 2> If syncFreqList is included in RRCConnectionReconfiguration or in SystemInformationBlockType26, and none of the frequency(ies) selected as specified in TS 36.321 [6] is included in the syncFreqList; or
  - 2> If syncFreqList is included in RRCConnectionReconfiguration or in SystemInformationBlockType26, and no synchronisation carrier frequency is selected as specified in 5.10.8a:
    - 3> if typeTxSync is configured for the concerned frequency and set to enb:
      - 4> select a cell as the synchronization reference source as defined in 5.10.13.3;
    - 3> else if *typeTxSync* for the concerned frequency is not configured or is set to *gnss*, and GNSS is reliable in accordance with TS 36.101 [42] and TS 36.133 [16]:
      - 4> select GNSS as the synchronization reference source;
    - 3> else (i.e., there is no GNSS which is reliable in accordance with TS 36.101 [42] and TS 36.133 [16]):
      - 4> search SLSSID=0 on the concerned frequency to detect candidate SLSS, in accordance with TS 36.133 [16];
      - 4> when evaluating the detected SLSS, apply layer 3 filtering as specified in 5.5.3.2 using the preconfigured *filterCoefficient* as defined in 9.3, before using the S-RSRP measurement results;
      - 4> if the S-RSRP of the SyncRef UE identified by the detected SLSS exceeds the minimum requirement defined in TS 36.133 [16]:
        - 5> select the SyncRef UE;
      - 4> else (i.e., no SLSSID=0 detected):
        - 5> select a cell as the synchronization reference source as defined in 5.10.13.3;
  - 2> If syncFreqList is included in RRCConnectionReconfiguration or in SystemInformationBlockType26, and the UE has selected a synchronisation carrier frequency as specified in 5.10.8a:
    - 3> consider the synchronisation reference source (i.e. eNB, GNSS or SyncRef UE) that is selected on the synchronisation carrier frequency as the synchronization reference;
- 1> else, if triggered by V2X sidelink communication, and out of coverage on the frequency for V2X sidelink communication, and for the frequency used for V2X sidelink communication, if *syncPriority* in *SL-V2X-Preconfiguration* is set to *gnss* and GNSS is reliable in accordance with TS 36.101 [42] and TS 36.133 [16]:
  - 2> select GNSS as the synchronization reference source;
- 1> else, for the frequency used for sidelink communication, V2X sidelink communication or sidelink discovery, if out of coverage on that frequency as defined in TS 36.304 [4], clause 11.4:
  - 2> if triggered by sidelink communication or sidelink discovery; or
  - 2> if triggered by V2X sidelink communication, and syncFreqList is not included in SL-V2X-Preconfiguration; or
  - 2> If triggered by V2X sidelink communication, and *syncFreqList* is included in *SL-V2X-Preconfiguration*, and none of the frequency(ies) selected as specified in TS 36.321 [6] is included in the *syncFreqList*; or
  - 2> If triggered by V2X sidelink communication, and *syncFreqList* is included in *SL-V2X-Preconfiguration*, and no synchronisation carrier frequency is selected as specified in 5.10.8a:
    - 3> perform a full search (i.e. covering all subframes and all possible SLSSIDs) to detect candidate SLSS, in accordance with TS 36.133 [16]

- 3> when evaluating the one or more detected SLSSIDs, apply layer 3 filtering as specified in 5.5.3.2 using the preconfigured *filterCoefficient* as defined in 9.3, before using the S-RSRP measurement results;
- 3> if the UE has selected a SyncRef UE:
  - 4> if the S-RSRP of the strongest candidate SyncRef UE exceeds the minimum requirement TS 36.133 [16] by *syncRefMinHyst* and the strongest candidate SyncRef UE belongs to the same priority group as the current SyncRef UE and the S-RSRP of the strongest candidate SyncRef UE exceeds the S-RSRP of the current SyncRef UE by *syncRefDiffHyst*; or
  - 4> if the S-RSRP of the candidate SyncRef UE exceeds the minimum requirement TS 36.133 [16] by *syncRefMinHyst* and the candidate SyncRef UE belongs to a higher priority group than the current SyncRef UE; or
  - 4> if GNSS becomes reliable in accordance with TS 36.101 [42] and TS 36.133 [16], and GNSS belongs to a higher priority group than the current SyncRef UE; or
  - 4> if the S-RSRP of the current SyncRef UE is less than the minimum requirement defined in TS 36.133 [16]:
    - 5> consider no SyncRef UE to be selected;
- 3> if the UE has selected GNSS as the synchronization reference for V2X sidelink communication:
  - 4> if the S-RSRP of the candidate SyncRef UE exceeds the minimum requirement defined in TS 36.133 [16] by *syncRefMinHyst* and the candidate SyncRef UE belongs to a higher priority group than GNSS; or
  - 4> if GNSS becomes not reliable in accordance with TS 36.101 [42] and TS 36.133 [16]:
    - 5> consider GNSS not to be selected;
- 3> if the UE has not selected a SyncRef UE and has not selected GNSS as synchronization reference source:
  - 4> if not concerning V2X sidelink communication, and if the UE detects one or more SLSSIDs for which the S-RSRP exceeds the minimum requirement defined in TS 36.133 [16] by *syncRefMinHyst* and for which the UE received the corresponding *MasterInformationBlock-SL* message (candidate SyncRef UEs), select a SyncRef UE according to the following priority group order:
    - 5> UEs of which *inCoverage*, included in the *MasterInformationBlock-SL* message received from this UE, is set to *TRUE*, starting with the UE with the highest S-RSRP result (priority group 1);
    - 5> UEs of which SLSSID is part of the set defined for in coverage, starting with the UE with the highest S-RSRP result (priority group 2);
    - 5> Other UEs, starting with the UE with the highest S-RSRP result (priority group 3);
  - 4> for V2X sidelink communication, if the UE detects one or more SLSSIDs for which the S-RSRP exceeds the minimum requirement defined in TS 36.133 [16] by *syncRefMinHyst* and for which the UE received the corresponding *MasterInformationBlock-SL-V2X* message (candidate SyncRef UEs), or if the UE detects GNSS that is reliable in accordance with TS 36.101 [42] and TS 36.133 [16], select a synchronization reference according to the following priority group order:
    - 5> if *syncPriority* corresponding to the concerned frequency in *SL-V2X-Preconfiguration* is set to *enb*:
      - 6> UEs of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE*, starting with the UE with the highest S-RSRP result (priority group 1);
      - 6> UE of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE*, starting with the UE with the highest S-RSRP result (priority group 2);
      - 6> GNSS that is reliable in accordance with TS 36.101 [42] and TS 36.133 [16] (priority group 3);

- 6> UEs of which SLSSID is 0, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE*, or of which SLSSID is 0 and SLSS is transmitted on subframes indicated by *syncOffsetIndicator3*, starting with the UE with the highest S-RSRP result (priority group 4);
- 6> UEs of which SLSSID is 0 and is not transmitted on subframes indicated by *syncOffsetIndicator3*, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE*, starting with the UE with the highest S-RSRP result (priority group 5);
- 6> UEs of which SLSSID is 169, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE*, starting with the UE with the highest S-RSRP result (priority group 5);
- 6> Other UEs, starting with the UE with the highest S-RSRP result (priority group 6);
- 5> if *syncPriority* corresponding to the concerned frequency in *SL-V2X-Preconfiguration* is set to *gnss*:
  - 6> GNSS that is reliable in accordance with TS 36.101 [42] and TS 36.133 [16] (priority group 1);
  - 6> UEs of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE*, starting with the UE with the highest S-RSRP result (priority group 2);
  - 6> UEs of which SLSSID is 0, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE*, or of which SLSSID is 0 and SLSS is transmitted on subframes indicated by *syncOffsetIndicator3*, starting with the UE with the highest S-RSRP result (priority group 2);
  - 6> UE of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE*, starting with the UE with the highest S-RSRP result (priority group 3);
  - 6> UEs of which SLSSID is 0 and is not transmitted on subframes indicated by *syncOffsetIndicator3*, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE*, starting with the UE with the highest S-RSRP result (priority group 3);
  - 6> UEs of which SLSSID is 169, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE*, starting with the UE with the highest S-RSRP result (priority group 3);
  - 6> Other UEs, starting with the UE with the highest S-RSRP result (priority group 4);
- 2> If triggered by V2X sidelink communication, and *syncFreqList* is included in *SL-V2X-Preconfiguration*, and the UE has selected a synchronisation carrier frequency as specified in 5.10.8a;
  - 3> consider the synchronization reference source (i.e. eNB, GNSS or SyncRef UE) that selected on the synchronization carrier frequency as the synchronization reference;

# 5.10.8a Selection and reselection of synchronisation carrier frequency

For the frequency(ies) which are in coverage for the UE as defined in TS 36.304 [4], clause 11.4 and which have been selected for V2X sidelink communication as specified in TS 36.321 [6], and/or for the frequency(ies) which are out of coverage for the UE and included in *v2x-InterFreqInfoList* within *RRCConnectionReconfiguration* or *SystemInformationBlockType21* or *SystemInformationBlockType26* of the serving cell/ PCell and which have been selected for V2X sidelink communication as specified in TS 36.321 [6], the UE capable of V2X sidelink communication and synchronisation carrier frequency selection shall:

- 1> If *syncFreqList* is included in RRCConnectionReconfiguration or in *SystemInformationBlockType26*, and includes at least one of the concerned frequency(ies):
  - 2> if no synchronisation carrier frequency is selected:

- 3> If typeTxSync is configured for the concerned frequency(ies) and set to enb; or
- 3> if *typeTxSync* for the concerned frequency(ies) is not configured or is set to *gnss*, and GNSS is reliable in accordance with TS 36.101 [42] and TS 36.133 [16]:
  - 4> select one frequency from the concerned frequency(ies) which are included in *syncFreqList* as the synchronisation carrier frequency.
- 3> else (i.e., there is no GNSS which is reliable in accordance with TS 36.101 [42] and TS 36.133 [16]):
  - 4> select the synchronisation reference source(s) on the concerned frequency(ies) which are included in *syncFreqList* according to 5.10.8.2:
  - 4> if SyncRef UE(s) with SLSSID=0 is detected on at least one frequency from the concerned frequency(ies):
    - 5> select one frequency from the concerned frequency(ies) with the SyncRef UE(s) with SLSSID=0 detected as the synchronisation carrier frequency;
  - 4> else (i.e., no SLSSID=0 detected and UE selects a cell as the synchronisation reference source):
    - 5> select one frequency from the concerned frequencies which are included in *syncFreqList* as the synchronisation carrier frequency;
- 2> else (i.e. the synchronisation carrier frequency is selected):
  - 3> If the UE selects GNSS as the synchronisation reference source, and GNSS is unreliable in accordance with TS 36.101 [42] and TS 36.133 [16]; or
  - 3> If the UE selects a cell as the synchronisation reference source, and the cell cannot fulfil the S criterion in accordance with TS 36.304 [4]; or
  - 3> If the UE selects a SyncRef UE and the S-RSRP of the current SyncRef UE is less than the minimum requirement defined in TS 36.133 [16]; or
  - 3> If the synchronisation carrier frequency is not selected for V2X sidelink communication as specified in TS 36.321 [6]:
    - 4> consider no synchronisation carrier frequency is selected;

For the frequency(ies) which are out of coverage for the UE and not included in *v2x-InterFreqInfoList* within *RRCConnectionReconfiguration* nor *SystemInformationBlockType21* nor *SystemInformationBlockType26* of the serving cell/ PCell and which have been selected for V2X sidelink carrier communication as specified in TS 36.321 [6], the UE capable of V2X sidelink communication and selection of synchronisation carrier frequency selection shall:

- 1> If *syncFreqList* is included in *SL-V2X-Preconfiguration*, and at least one of the concerned frequency(ies) is included in *syncFreqList*:
  - 2> if no synchronisation carrier frequency is selected:
    - 3> if *syncPriority* in *SL-V2X-Preconfiguration* is set to gnss and GNSS is reliable in accordance with TS 36.101 [42] and TS 36.133 [16]:
      - 4> select one frequency from the concerned frequency(ies) which are included in *syncFreqList* as the synchronisation carrier frequency.

- 4> select the synchronisation reference source(s) on the concerned frequency(ies) which are included in *SyncFreqList* according to 5.10.8.2;
- 4> select the frequency with the highest synchronisation reference source priority as the synchronisation carrier frequency, according to the following priority gourp order:
  - 5> if *syncPriority* corresponding to the concerned frequency(ies) in *SL-V2X-Preconfiguration* is set to *enb*:

- 6> the frequency(ies) with SyncRef UE of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE* (priority group 1);
- 6> the frequency(ies) with SyncRef UE of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE* (priority group 2);
- 6> the frequency(ies) using GNSS as synchronisation reference source (priority group 3);
- 6> the frequency(ies) with SyncRef UE of which SLSSID is 0, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE*, or of which SLSSID is 0 and SLSS is transmitted on subframes indicated by *syncOffsetIndicator3* (priority group 4);
- 6> the frequency(ies) with SyncRef UE of which SLSSID is 0 and is not transmitted on subframes indicated by *syncOffsetIndicator3*, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE* (priority group 5);
- 6> the frequency(ies) with SyncRef UE of which SLSSID is 169, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE* (priority group 5);
- 6> the frequency(ies) with other SyncRef UE (priority group 6);
- 5> if *syncPriority* corresponding to the concerned frequency(ies) in *SL-V2X-Preconfiguration* is set to *gnss*:
  - 6> the frequency(ies) with SyncRef UE of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE* (priority group 1);
  - 6> the frequency(ies) with SyncRef UE of which SLSSID is 0, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *TRUE*, or of which SLSSID is 0 and SLSS is transmitted on subframes indicated by *syncOffsetIndicator3* (priority group 1);
  - 6> the frequency(ies) with SyncRef UE of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE* (priority group 2);
  - 6> the frequency(ies) with SyncRef UE of which SLSSID is 0 and is not transmitted on subframes indicated by *syncOffsetIndicator3*, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE* (priority group 2);
  - 6> the frequency(ies) with SyncRef UE of which SLSSID is 169, and *inCoverage*, included in the *MasterInformationBlock-SL-V2X* message received from this UE, is set to *FALSE* (priority group 2);
  - 6> the frequency(ies) with other SyncRef UE (priority group 3);
- 2> else (i.e. the synchronisation carrier frequency is selected):
  - 3> If the UE selects GNSS as the synchronisation reference source, and GNSS is unreliable in accordance with TS 36.101 [42] and TS 36.133 [16]; or
  - 3> If the UE selects a SyncRef UE and the S-RSRP of the current SyncRef UE is less than the minimum requirement defined in TS 36.133 [16]; or
  - 3> If the synchronisation carrier frequency is not selected for V2X sidelink communication as specified in TS 36.321 [6]:
    - 4> consider no synchronisation carrier frequency is selected;

- NOTE 1: If more than one selected carrier frequencies satisfy the condition as the synchronisation carrier frequency for V2X sidelink communication, how to select one synchronisation carrier frequency is up to UE implementation.
- NOTE 2: All concerned carrier frequency(ies) have the same typeTxSync and syncPriority configured.

# 5.10.9 Sidelink common control information

# 5.10.9.1 General

The sidelink common control information is carried by a single message, the *MasterInformationBlock-SL* (MIB-SL) message for sidelink discovery and sidelink communication or the *MasterInformationBlock-SL-V2X* (MIB-SL-V2X) message for V2X sidelink communication. The MIB-SL or MIB-SL-V2X includes timing information as well as some configuration parameters and is transmitted via SL-BCH.

The MIB-SL for sidelink discovery and sidelink communication uses a fixed schedule with a periodicity of 40 ms without repetitions. In particular, the MIB-SL is scheduled in subframes indicated by syncOffsetIndicator-r12 i.e. for which  $(10*DFN + subframe number) \mod 40 = syncOffsetIndicator-r12$ .

The MIB-SL-V2X for V2X sidelink communication uses a fixed schedule with a periodicity of 160 ms without repetitions. In particular, the MIB-SL-V2X is scheduled in subframes indicated by SL-OffsetIndicatorSync i.e. for which (10\*DFN + subframe number) mod 160 = SL-OffsetIndicatorSync.

The sidelink common control information may change at any transmission i.e. neither a modification period nor a change notification mechanism is used.

A UE configured to receive or transmit sidelink communication or PS related sidelink discovery shall:

- 1> if the UE has a selected SyncRef UE, as specified in 5.10.8.2:
  - 2> ensure having a valid version of the *MasterInformationBlock-SL* message of that SyncRefUE;

A UE configured to receive or transmit V2X sidelink communication shall:

- 1> if the UE has a selected SyncRef UE, as specified in 5.10.8.2:
  - 2> ensure having a valid version of the *MasterInformationBlock-SL-V2X* message of that SyncRefUE;

# 5.10.9.2 Actions related to reception of *MasterInformationBlock-SL/MasterInformationBlock-SL-V2X* message

Upon receiving MasterInformationBlock-SL or MasterInformationBlock-SL-V2X, the UE shall:

1> apply the values of *sl-Bandwidth*, *subframeAssignmentSL*, *directFrameNumber* and *directSubframeNumber* included in the received *MasterInformationBlock-SL* or *MasterInformationBlock-SL-V2X* message;

# 5.10.10 Sidelink relay UE operation

# 5.10.10.1 General

This procedure is used by a UE supporting sidelink relay UE operation and involves evaluation of the AS-layer conditions that need to be met in order for upper layers to configure a sidelink relay UE to receive/ transmit relay related PS sidelink discovery/ relay related sidelink communication. The AS-layer conditions merely comprise of being configured with radio resources that can be used for transmission.

A UE that fulfils the criteria specified in 5.10.10.2 and 5.10.10.3 and that is configured by higher layers accordingly is acting as a sidelink relay UE.

# 5.10.10.2 AS-conditions for relay related sidelink communication transmission by sidelink relay UE

A UE capable of sidelink relay UE operation shall inform upper layers that it is configured with radio resources that can be used for relay related sidelink communication transmission if the following conditions are met:

1> if in RRC\_CONNECTED; and if the UE is configured with *commTxResources*; and the UE is configured with *commTxAllowRelayDedicated* set to *true*;

# 5.10.10.3 AS-conditions for relay PS related sidelink discovery transmission by sidelink relay UE

A UE capable of sidelink relay UE operation shall inform upper layers that it is configured with radio resources that can be used for relay PS related sidelink discovery transmission if the following conditions are met:

- 1> if in RRC\_IDLE; and if the UE's serving cell is suitable as defined in TS 36.304 [4]; and if SystemInformationBlockType19 includes discConfigPS including discTxPoolPS-Common and discConfigRelay; and if the sidelink relay UE threshold conditions as specified in 5.10.10.4 are met;
- 1> else if in RRC\_CONNECTED; and if discTxResourcesPS is configured;

# 5.10.10.4 Sidelink relay UE threshold conditions

A UE capable of sidelink relay UE operation shall:

- 1> if the threshold conditions specified in this clause were not met:
  - 2> if neither threshHigh nor threshLow is included in relayUE-Config within SystemInformationBlockType19:
    - 3> consider the threshold conditions to be met (entry);
  - 2> else if *threshHigh* is not included in *relayUE-Config* within *SystemInformationBlockType19*; or the RSRP measurement of the PCell, or the cell on which the UE camps, is below *threshHigh* by *hystMax* (also included within *relayUE-Config*); and
  - 2> if *threshLow* is not included in *relayUE-Config* within *SystemInformationBlockType19*; or the RSRP measurement of the PCell, or the cell on which the UE camps, is above *threshLow* by *hystMin* (also included within *relayUE-Config*):
    - 3> consider the threshold conditions to be met (entry);

#### 1> else:

- 2> if *threshHigh* is included in *relayUE-Config* within *SystemInformationBlockType19*; and the RSRP measurement of the PCell, or the cell on which the UE camps, is above *threshHigh* (also included within *relayUE-Config*); or
- 2> if *threshLow* is included in *relayUE-Config* within *SystemInformationBlockType19*; and the RSRP measurement of the PCell, or the cell on which the UE camps, is below *threshLow* (also included within *relayUE-Config*);
  - 3> consider the threshold conditions not to be met (leave);

# 5.10.11 Sidelink remote UE operation

# 5.10.11.1 General

This procedure is used by a UE supporting sidelink remote UE operation and involves evaluation of the AS-layer conditions that need to be met in order for upper layers to configure a sidelink remote UE to receive/ transmit relay related sidelink PS discovery/ relay related sidelink communication. The AS-layer conditions merely comprise of being configured with radio resources that can be used for transmission, as well as whether or not having a selected sidelink relay UE.

# 5.10.11.2 AS-conditions for relay related sidelink communication transmission by sidelink remote UE

A UE capable of sidelink remote UE operation shall inform upper layers whether it is configured with radio resources that can be used for relay related sidelink communication transmission if the following conditions are met:

- 1> if the UE is out of coverage; and is preconfigured with *SL-Preconfiguration* including *discTxPoolList* and *preconfigRelay*;
- 1> else if in RRC\_IDLE; and if the UE's serving cell is suitable as defined in TS 36.304 [4]; and if SystemInformationBlockType18 includes commTxPoolNormalCommon and commTxAllowRelayCommon; and if SystemInformationBlockType19 includes discConfigRelay; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met;
- 1> else if in RRC\_CONNECTED; and if the UE is configured with *commTxResources*; and the UE is configured with *commTxAllowRelayDedicated* set to *true*;

# 5.10.11.3 AS-conditions for relay PS related sidelink discovery transmission by sidelink remote UE

A UE capable of sidelink remote UE operation shall inform upper layers whether it is configured with radio resources that can be used for relay PS related sidelink discovery transmission if the following conditions are met:

- 1> if the UE is out of coverage; and is preconfigured with *SL-Preconfiguration* including *discTxPoolList* and *preconfigRelay*;
- 1> else if in RRC\_IDLE; and if the UE's serving cell is suitable as defined in TS 36.304 [4]; and if SystemInformationBlockType19 includes discConfigPS including discTxPoolPS-Common and discConfigRelay; and if the sidelink remote UE threshold conditions as specified in 5.10.11.5 are met;
- 1> else if in RRC\_CONNECTED; and if *discTxResourcesPS* is configured;

# 5.10.11.4 Selection and reselection of sidelink relay UE

A UE capable of sidelink remote UE operation that is configured by upper layers to search for a sidelink relay UE shall:

- 1> if out of coverage on the frequency used for sidelink communication, as defined in TS 36.304 [4], clause 11.4; or
- 1> if the serving frequency is used for sidelink communication and the RSRP measurement of the cell on which the UE camps (RRC IDLE)/ the PCell (RRC CONNECTED) is below *threshHigh* within *remoteUE-Config*:
  - 2> search for candidate sidelink relay UEs, in accordance with TS 36.133 [16]
  - 2> when evaluating the one or more detected sidelink relay UEs, apply layer 3 filtering as specified in 5.5.3.2 across measurements that concern the same ProSe Relay UE ID and using the *filterCoefficient* in *SystemInformationBlockType19* (in coverage) or the preconfigured *filterCoefficient* as defined in 9.3(out of coverage), before using the SD-RSRP measurement results;

NOTE 1: The details of the interaction with upper layers are up to UE implementation.

- 2> if the UE does not have a selected sidelink relay UE:
  - 3> select a candidate sidelink relay UE which SD-RSRP exceeds *q-RxLevMin* included in either *reselectionInfoIC* (in coverage) or *reselectionInfoOoC* (out of coverage) by *minHyst*;
- 2> else if SD-RSRP of the currently selected sidelink relay UE is below *q-RxLevMin* included in either *reselectionInfoIC* (in coverage) or *reselectionInfoOoC* (out of coverage); orif upper layers indicate not to use the currently selected sidelink relay: (i.e. sidelink relay UE reselection):
  - 3> select a candidate sidelink relay UE which SD-RSRP exceeds *q-RxLevMin* included in either *reselectionInfoIC* (in coverage) or *reselectionInfoOoC* (out of coverage) by *minHyst*;
- 2> else if the UE did not detect any candidate sidelink relay UE which SD-RSRP exceeds *q-RxLevMin* included in either *reselectionInfoIC* (in coverage) or *reselectionInfoOoC* (out of coverage) by *minHyst*:

- 3> consider no sidelink relay UE to be selected;
- NOTE 2: The UE may perform sidelink relay UE reselection in a manner resulting in selection of the sidelink relay UE, amongst all candidate sidelink relay UEs meeting higher layer criteria, that has the best radio link quality. Further details, including interaction with upper layers, are up to UE implementation.

# 5.10.11.5 Sidelink remote UE threshold conditions

A UE capable of sidelink remote UE operation shall:

- 1> if the threshold conditions specified in this clause were not met:
  - 2> if threshHigh is not included in remoteUE-Config within SystemInformationBlockType19; or
  - 2> if *threshHigh* is included in *remoteUE-Config* within *SystemInformationBlockType19*; and the RSRP measurement of the PCell, or the cell on which the UE camps, is below *threshHigh* by *hystMax* (also included within *remoteUE-Config*):
    - 3> consider the threshold conditions to be met (entry);

#### 1> else:

- 2> if *threshHigh* is included in *remoteUE-Config* within *SystemInformationBlockType19*; and the RSRP measurement of the PCell, or the cell on which the UE camps, is above *threshHigh* (also included within *remoteUE-Config*):
  - 3> consider the threshold conditions not to be met (leave);

# 5.10.12 V2X sidelink communication monitoring

A UE capable of V2X sidelink communication that is configured by upper layers to receive V2X sidelink communication shall:

- 1> if the conditions for sidelink operation as defined in 5.10.1d are met:
  - 2> if in coverage on the frequency used for V2X sidelink communication, as defined in TS 36.304 [4], clause 11.4:
    - 3> if the frequency used to receive V2X sidelink communication is included in v2x-InterFreqInfoList within RRCConnectionReconfiguration or in v2x-InterFreqInfoList within SystemInformationBlockType21 or SystemInformationBlockType26 of the serving cell/Pcell, and v2x-CommRxPool is included in SL-V2X-InterFreqUE-Config within v2x-UE-ConfigList in the entry of v2x-InterFreqInfoList for the concerned frequency:
      - 4> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources indicated in *v2x-CommRxPool*;

- 4> if the cell chosen for V2X sidelink communication reception broadcasts SystemInformationBlockType21 including v2x-CommRxPool in sl-V2X-ConfigCommon or,
- 4> if the UE is configured with *v2x-CommRxPool* included in *mobilityControlInfoV2X* in *RRCConnectionReconfiguration*:
  - 5> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources indicated in *v2x-CommRxPool*;
- 2> else (i.e. out of coverage on the frequency used for V2X sidelink communication, as defined in TS 36.304 [4], clause 11.4):
  - 3> if the frequency used to receive V2X sidelink communication is included in v2x-InterFreqInfoList within RRCConnectionReconfiguration or in v2x-InterFreqInfoList within SystemInformationBlockType21 or SystemInformationBlockType26 of the serving cell/PCell, and v2x-CommRxPool is included in SL-V2X-

*InterFreqUE-Config* within *v2x-UE-ConfigList* in the entry of *v2x-InterFreqInfoList* for the concerned frequency:

4> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources indicated in *v2x-CommRxPool*;

#### 3> else:

4> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources that were preconfigured (i.e. *v2x-CommRxPoolList* in *SL-V2X-Preconfiguration* defined in 9.3);

# 5.10.13 V2X sidelink communication transmission

# 5.10.13.1 Transmission of V2X sidelink communication

A UE capable of V2X sidelink communication that is configured by upper layers to transmit V2X sidelink communication and has related data to be transmitted shall:

- 1> if the conditions for sidelink operation as defined in 5.10.1d are met:
  - 2> if in coverage on the frequency used for V2X sidelink communication as defined in TS 36.304 [4], clause 11.4; or
  - 2> if the frequency used to transmit V2X sidelink communication is included in v2x-InterFreqInfoList in RRCConnectionReconfiguration or in v2x-InterFreqInfoList within SystemInformationBlockType21 or SystemInformationBlockType26:
    - 3> if the UE is in RRC\_CONNECTED and uses the PCell or the frequency included in *v2x-InterFreqInfoList* in *RRCConnectionReconfiguration* for V2X sidelink communication:
      - 4> if the UE is configured, by the current PCell with commTxResources set to scheduled:
        - 5> if T310 or T311 is running; and if the PCell at which the UE detected physical layer problems or radio link failure broadcasts *SystemInformationBlockType21* including *v2x-CommTxPoolExceptional* in *sl-V2X-ConfigCommon*, or *v2x-CommTxPoolExceptional* is included in *v2x-InterFreqInfoList* for the concerned frequency in *SystemInformationBlockType21* or *SystemInformationBlockType26* or *RRCConnectionReconfiguration*; or
        - 5> if T301 is running and the cell on which the UE initiated connection re-establishment broadcasts SystemInformationBlockType21 including v2x-CommTxPoolExceptional in sl-V2X-ConfigCommon, or v2x-CommTxPoolExceptional is included in v2x-InterFreqInfoList for the concerned frequency in SystemInformationBlockType21 or SystemInformationBlockType26; or
        - 5> if T304 is running and the UE is configured with *v2x-CommTxPoolExceptional* included in *mobilityControlInfoV2X* in *RRCConnectionReconfiguration* or in *v2x-InterFreqInfoList* for the concerned frequency in *RRCConnectionReconfiguration*:
          - 6> configure lower layers to transmit the sidelink control information and the corresponding data based on random selection using the pool of resources indicated by *v2x-CommTxPoolExceptional* as defined in TS 36.321 [6];

- 6> configure lower layers to request E-UTRAN to assign transmission resources for V2X sidelink communication;
- 4> else if the UE is configured with v2x-CommTxPoolNormalDedicated or v2x-CommTxPoolNormal or p2x-CommTxPoolNormal in the entry of v2x-InterFreqInfoList for the concerned frequency in sl-V2X-ConfigDedicated in RRCConnectionReconfiguration:
  - 5> if the UE is configured to transmit non-P2X related V2X sidelink communication and a result of sensing on the resources configured in *v2x-CommTxPoolNormalDedicated* or *v2x-*

- CommTxPoolNormal in the entry of v2x-InterFreqInfoList for the concerned frequency in RRCConnectionReconfiguration is not available in accordance with TS 36.213 [23]; or
- 5> if the UE is configured to transmit P2X related V2X sidelink communication and selects to use partial sensing according to 5.10.13.1a, and a result of partial sensing on the resources configured in v2x-CommTxPoolNormalDedicated or p2x-CommTxPoolNormal in the entry of v2x-InterFreqInfoList for the concerned frequency in RRCConnectionReconfiguration is not available in accordance with TS 36.213 [23]:
  - 6> if *v2x-CommTxPoolExceptional* is included in *mobilityControlInfoV2X* in *RRCConnectionReconfiguration* (i.e., handover case); or
  - 6> if v2x-CommTxPoolExceptional is included in the entry of v2x-InterFreqInfoList for the concerned frequency in RRCConnectionReconfiguration; or
  - 6> if the PCell broadcasts SystemInformationBlockType21 including v2x-CommTxPoolExceptional in sl-V2X-ConfigCommon or v2x-CommTxPoolExceptional in v2x-InterFreqInfoList for the concerned frequency or broadcasts SystemInformationBlockType26 including v2x-CommTxPoolExceptional in v2x-InterFreqInfoList for the concerned frequency:
    - 7> configure lower layers to transmit the sidelink control information and the corresponding data based on random selection using the pool of resources indicated by v2x-CommTxPoolExceptional as defined in TS 36.321 [6];
- 5> else if the UE is configured to transmit P2X related V2X sidelink communication:
  - 6> select a resource pool according to 5.10.13.2;
  - 6> perform P2X related V2X sidelink communication according to 5.10.13.1a;
- 5> else if the UE is configured to transmit non-P2X related V2X sidelink communication:
  - 6> configure lower layers to transmit the sidelink control information and the corresponding data based on sensing (as defined in TS 36.321 [6] and TS 36.213 [23]) using one of the resource pools indicated by v2x-commTxPoolNormalDedicated or v2x-CommTxPoolNormal in the entry of v2x-InterFreqInfoList for the concerned frequency, which is selected according to 5.10.13.2;

- 4> if the cell chosen for V2X sidelink communication transmission broadcasts SystemInformationBlockType21 or SystemInformationBlockType26:
  - 5> if the UE is configured to transmit non-P2X related V2X sidelink communication, and if SystemInformationBlockType21 includes v2x-CommTxPoolNormalCommon or v2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency, or SystemInformationBlockType26 includes v2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency, and if a result of sensing on the resources configured in v2x-CommTxPoolNormalCommon or v2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency is available in accordance with TS 36.213 [23]:
    - 6> configure lower layers to transmit the sidelink control information and the corresponding data based on sensing (as defined in TS 36.321 [6] and TS 36.213 [23]) using one of the resource pools indicated by v2x-CommTxPoolNormalCommon or v2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency, which is selected according to 5.10.13.2;
  - 5> else if the UE is configured to transmit P2X related V2X sidelink communication, and if SystemInformationBlockType21 includes p2x-CommTxPoolNormalCommon or p2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency, or SystemInformationBlockType26 includes p2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency, and if the UE selects to use random selection according to 5.10.13.1a, or selects to use partial sensing according to 5.10.13.1a and a result of partial sensing on the resources configured in p2x-CommTxPoolNormalCommon or p2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency is available in accordance with TS 36.213 [23]:

- 6> select a resource pool from p2x-CommTxPoolNormalCommon or p2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency according to 5.10.13.2, but ignoring zoneConfig in SystemInformationBlockType21 or SystemInformationBlockType26;
- 6> perform P2X related V2X sidelink communication according to 5.10.13.1a;
- 5> else if SystemInformationBlockType21 includes v2x-CommTxPoolExceptional in sl-V2X-ConfigCommon or v2x-CommTxPoolExceptional in v2x-InterFreqInfoList for the concerned frequency, or SystemInformationBlockType26 includes v2x-CommTxPoolExceptional in v2x-InterFreqInfoList for the concerned frequency:
  - 6> from the moment the UE initiates connection establishment until receiving an RRCConnectionReconfiguration including sl-V2X-ConfigDedicated, or until receiving an RRCConnectionRelease or an RRCConnectionReject; or
  - 6> if the UE is in RRC\_IDLE and a result of sensing on the resources configured in v2x-CommTxPoolNormalCommon or v2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency in Systeminformationblocktype21 or v2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency in Systeminformationblocktype26 is not available in accordance with TS 36.213 [23]; or
  - 6> if the UE is in RRC\_IDLE and UE selects to use partial sensing according to 5.10.13.1a and a result of partial sensing on the resources configured in p2x-CommTxPoolNormalCommon or p2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency in Systeminformationblocktype21 or v2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency in Systeminformationblocktype26 is not available in accordance with TS 36.213 [23]:
    - 7> configure lower layers to transmit the sidelink control information and the corresponding data based on random selection (as defined in TS 36.321 [6]) using the pool of resources indicated in *v2x-CommTxPoolExceptional*;

#### 2> else:

3> configure lower layers to transmit the sidelink control information and the corresponding data based on sensing (as defined in TS 36.321 [6] and TS 36.213 [23]) using one of the resource pools indicated by v2x-CommTxPoolList in SL-V2X-Preconfiguration in case of non-P2X related V2X sidelink communication, which is selected according to 5.10.13.2, or using one of the resource pools indicated by p2x-CommTxPoolList in SL-V2X-Preconfiguration in case of P2X related V2X sidelink communication, which is selected according to 5.10.13.2, and in accordance with the timing of the selected reference as defined in 5.10.8;

The UE capable of non-P2X related V2X sidelink communication that is configured by upper layers to transmit V2X sidelink communication shall perform sensing on all pools of resources which may be used for transmission of the sidelink control information and the corresponding data. The pools of resources are indicated by SL-V2X-Preconfiguration, v2x-CommTxPoolNormalCommon, v2x-CommTxPoolNormalDedicated in sl-V2X-ConfigDedicated, or v2x-CommTxPoolNormal in v2x-InterFreqInfoList for the concerned frequency, as configured above.

# 5.10.13.1a Transmission of P2X related V2X sidelink communication

A UE configured to transmit P2X related V2X sidelink communication shall:

- 1> if partialSensing is included and randomSelection is not included in resourceSelectionConfigP2X of the pool selected; or
- 1> if both *partialSensing* and *randomSelection* are included in *resourceSelectionConfigP2X* of the pool selected, and the UE selects to use partial sensing:
  - 2> configure lower layers to transmit the sidelink control information and the corresponding data based on partial sensing (as defined in TS 36.321 [6] and TS 36.213 [23]) using the selected resource pool, if the UE supports partial sensing;
- 1> if *partialSensing* is not included and *randomSelection* is included in *resourceSelectionConfigP2X* of the pool selected.

- 2> configure lower layers to transmit the sidelink control information and the corresponding data based on random selection (as defined in TS 36.321 [6] and TS 36.213 [23]) using the selected resource pool;
- 1> if both *partialSensing* and *randomSelection* is included in *resourceSelectionConfigP2X* of the pool selected, and the UE selects to use random selection:
  - 2> configure lower layers to transmit the sidelink control information and the corresponding data based on random selection using the selected resource pool and indicates to lower layers that transmissions of multiple MAC PDUs are allowed (as defined in TS 36.321 [6] and TS 36.213 [23]).

NOTE: If both *partialSensing* and *randomSelection* is included in *resourceSelectionConfigP2X* of the pool selected, the selection between partial sensing and random selection is left to UE implementation.

# 5.10.13.2 V2X sidelink communication transmission pool selection

For a frequency used for V2X sidelink communication, if *zoneConfig* is not ignored as specified in 5.10.13.1, the UE configured by upper layers for V2X sidelink communication shall only use the pool which corresponds to geographical coordinates of the UE, if *zoneConfig* is included in *SystemInformationBlockType21* or *SystemInformationBlockType26* of the serving cell (RRC\_IDLE)/ PCell (RRC\_CONNECTED) or in *RRCConnectionReconfiguration* for the concerned frequency, and the UE is configured to use resource pools provided by RRC signalling for the concerned frequency; or if *zoneConfig* is included in *SL-V2X-Preconfiguration* for the concerned frequency, and the UE is configured to use resource pools in *SL-V2X-Preconfiguration* for the frequency, according to 5.10.13.1. The UE shall only use the pool which is associated with the synchronization reference source selected in accordance with 5.10.8.2.

- 1> if the UE is configured to transmit on *p2x-CommTxPoolNormalCommon* or on *p2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* in *SystemInformationBlockType21* or on *p2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* in *SystemInformationBlockType26* according to 5.10.13.1; or
- 1> if the UE is configured to transmit on *p2x-CommTxPoolList-r14* in *SL-V2X-Preconfiguration* according to 5.10.13.1; or
- 1> if zoneConfig is not included in SystemInformationBlockType21 and the UE is configured to transmit on v2x-CommTxPoolNormalCommon or v2x-CommTxPoolNormalDedicated; or
- 1> if zoneConfig is included in SystemInformationBlockType21 and the UE is configured to transmit on v2x-CommTxPoolNormalDedicated for P2X related V2X sidelink communication and zoneID is not included in v2x-CommTxPoolNormalDedicated; or
- 1> if zoneConfig is not included in the entry of v2x-InterFreqInfoList for the concerned frequency and the UE is configured to transmit on v2x-CommTxPoolNormal in v2x-InterFreqInfoList or p2x-CommTxPoolNormal in v2x-InterFreqInfoList in RRCConnectionReconfiguration; or
- 1> if *zoneConfig* is included in the entry of *v2x-InterFreqInfoList* for the concerned frequency and the UE is configured to transmit on *p2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* in *RRCConnectionReconfiguration* and *zoneID* is not included in *p2x-CommTxPoolNormal*; or
- 1> if *zoneConfig* is not included in *SL-V2X-Preconfiguration* for the concerned frequency and the UE is configured to transmit on *v2x-CommTxPoolList* in *SL-V2X-Preconfiguration* for the concerned frequency:
  - 2> select a pool associated with the synchronization reference source selected in accordance with 5.10.8.2;
- NOTE 0: If multiple pools are associated with the selected synchronization reference source, it is up to UE implementation which resource pool is selected for V2X sidelink communication transmission.
- 1> if zoneConfig is included in SystemInformationBlockType21 and the UE is configured to transmit on v2x-CommTxPoolNormalCommon or v2x-CommTxPoolNormalDedicated for non-P2X related V2X sidelink communication; or
- 1> if zoneConfig is included in SystemInformationBlockType21 and the UE is configured to transmit on v2x-CommTxPoolNormalDedicated for P2X related V2X sidelink communication and zoneID is included in v2x-CommTxPoolNormalDedicated; or
- 1> if zoneConfig is included in the entry of v2x-InterFreqInfoList for the concerned frequency and if the UE is configured to transmit on v2x-CommTxPoolNormal in v2x-InterFreqInfoList or is configured to transmit on p2x-

CommTxPoolNormal in v2x-InterFreqInfoList in RRCConnectionReconfiguration and zoneID is included in p2x-CommTxPoolNormal; or

- 1> if *zoneConfig* is included in *SL-V2X-Preconfiguration* for the concerned frequency and the UE is configured to transmit on *v2x-CommTxPoolList* in *SL-V2X-Preconfiguration* for the concerned frequency:
  - 2> select the pool configured with *zoneID* equal to the zone identity determined below and associated with the synchronization reference source selected in accordance with 5.10.8.2;

The UE shall determine an identity of the zone (i.e. Zone\_id) in which it is located using the following formulae, if zoneConfig is included in SystemInformationBlockType21 or SystemInformationBlockType26 or in SL-V2X-Preconfiguration:

$$x_1$$
= FLOOR  $(x / L)$  Mod  $Nx$ ;  
 $y_1$ = FLOOR  $(y / W)$  Mod  $Ny$ ;  
Zone\_id =  $y_1 * Nx + x_1$ .

The parameters in the formulae are defined as follows:

*L* is the value of *zoneLength* included in *zoneConfig* in *SystemInformationBlockType21* or *SystemInformationBlockType26* or in *SL-V2X-Preconfiguration*;

W is the value of zoneWidth included in zoneConfig in SystemInformationBlockType21 or SystemInformationBlockType26 or in SL-V2X-Preconfiguration;

*Nx* is the value of *zoneIdLongiMod* included in *zoneConfig* in *SystemInformationBlockType21* or *SystemInformationBlockType26* or in *SL-V2X-Preconfiguration*;

*Ny* is the value of *zoneIdLatiMod* included in *zoneConfig* in *SystemInformationBlockType21* or *SystemInformationBlockType26* or in *SL-V2X-Preconfiguration*;

x is the geodesic distance in longitude between UE's current location and geographical coordinates (0, 0) according to WGS84 model [80] and it is expressed in meters;

y is the geodesic distance in latitude between UE's current location and geographical coordinates (0, 0) according to WGS84 model [80] and it is expressed in meters.

The UE shall select a pool of resources which includes a *zoneID* equals to the Zone\_id calculated according to above mentioned formulae and indicated by *v2x-CommTxPoolNormalDedicated*, *v2x-CommTxPoolNormalCommon*, *v2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* or *p2x-CommTxPoolNormal* in *v2x-InterFreqInfoList* in *RRCConnectionReconfiguration*, or *v2x-CommTxPoolList* according to 5.10.13.1.

- NOTE 1: The UE uses its latest geographical coordinates to perform resource pool selection.
- NOTE 2: If geographical coordinates are not available and zone specific TX resource pools are configured for the concerned frequency, it is up to UE implementation which resource pool is selected for V2X sidelink communication transmission.

# 5.10.13.3 V2X sidelink communication transmission reference cell selection

A UE capable of V2X sidelink communication that is configured by upper layers to transmit V2X sidelink communication shall:

- 1> for each frequency used to transmit V2X sidelink communication, select a cell to be used as reference for synchronisation and DL measurements in accordance with the following:
  - 2> if the frequency concerns the primary frequency:
    - 3> use the PCell (RRC\_CONNECTED) or the serving cell (RRC\_IDLE) as reference;
  - 2> else if the frequency concerns a secondary frequency:
    - 3> use the concerned SCell as reference;

2> else if the UE is in coverage of the concerned frequency:

3> use the DL frequency paired with the one used to transmit V2X sidelink communication as reference;

2> else (i.e., out of coverage on the concerned frequency):

3> use the PCell (RRC\_CONNECTED) or the serving cell (RRC\_IDLE) as reference, if needed;

# 5.10.14 DFN derivation from GNSS

When the UE selects GNSS as the synchronization reference source, the DFN used for V2X sidelink communication is derived from the current UTC time, by the following formulae:

DFN= FLOOR (0.1\*(Tcurrent -Tref-offsetDFN)) mod 1024

SubframeNumber= FLOOR (Tcurrent -Tref-offsetDFN) mod 10

Where:

**Tcurrent** is the current UTC time that obtained from GNSS. This value is expressed in milliseconds;

*Tref* is the reference UTC time 00:00:00 on Gregorian calendar date 1 January, 1900 (midnight between Thursday, December 31, 1899 and Friday, January 1, 1900). This value is expressed in milliseconds;

OffsetDFN is the value offsetDFN if configured, otherwise it is zero. This value is expressed in milliseconds.

NOTE: In case of leap second change event, how V2X UE obtains the scheduled time of leap second change to adjust *Tcurrent* correspondingly is left to UE implementation. How V2X UE handles the sudden discontinuity of DFN is left to UE implementation.

# 6 Protocol data units, formats and parameters (tabular & ASN.1)

# 6.1 General

The contents of each RRC message is specified in sub-clause 6.2 using ASN.1 to specify the message syntax and using tables when needed to provide further detailed information about the fields specified in the message syntax. The syntax of the information elements that are defined as stand-alone abstract types is further specified in a similar manner in sub-clause 6.3.

The need for fields to be present in a message or an abstract type, i.e., the ASN.1 fields that are specified as OPTIONAL in the abstract notation (ASN.1), is specified by means of comment text tags attached to the OPTIONAL statement in the abstract syntax. All comment text tags are available for use in the downlink direction only. The meaning of each tag is specified in table 6.1-1.

Table 6.1-1: Meaning of abbreviations used to specify the need for fields to be present

Abbreviation	Meaning
Cond conditionTag	Conditionally present
(Used in downlink only)	A field for which the need is specified by means of conditions. For each <i>conditionTag</i> , the need is specified in a tabular form following the ASN.1 segment. In case, according to the conditions, a field is not present, the UE takes no action and where applicable shall continue to use the existing value (and/ or the associated functionality) unless explicitly stated otherwise (e.g. in the conditional presence table or in the description of the field itself).
Need OP (Used in downlink only)	Optionally present A field that is optional to signal. For downlink messages, the UE is not required to take any special action on absence of the field beyond what is specified in the procedural text or the field description table following the ASN.1 segment. The UE behaviour on absence should be captured either in the procedural text or in the field description.

Abbreviation	Meaning
Need ON	Optionally present, No action
(Used in downlink only)	A field that is optional to signal. If the message is received by the UE, and in case the field is absent, the UE takes no action and where applicable shall continue to use the existing value (and/ or the associated functionality).
Need OR	Optionally present, Release
(Used in downlink only)	A field that is optional to signal. If the message is received by the UE, and in case the field is absent, the UE shall discontinue/ stop using/ delete any existing value (and/ or the associated functionality).

Any field with Need ON in system information shall be interpreted as Need OR.

Need codes may not be specified for a parent extension field/ extension group, used in downlink, which includes one or more child extension fields. Upon absence of such a parent extension field/ extension group, the UE shall:

- For each individual child extension field, including extensions that are mandatory to include in the optional group, act in accordance with the need code that is defined for the extension;
- Apply this behaviour not only for child extension fields included directly within the optional parent extension field/ extension group, but also for extension fields defined at further nesting levels as long as for none of the fields in-between the concerned extension field and the parent extension field a need code is specified;

NOTE 1: The above applies for groups of non critical extensions using double brackets (referred to as extension groups), as well as non-critical extensions at the end of a message or at the end of a structure contained in a BIT STRING or OCTET STRING (referred to as parent extension fields).

Need codes, conditions and ASN.1 defaults specified for a particular (child) field only apply in case the (parent) field including the particular field is present. This rule does not apply for optional parent extension fields/ extension groups without need codes,

NOTE 2: The previous rule implies that E-UTRAN has to include such a parent extension field to release a child field that is either:

- Optional with need OR, or
- Conditional while the UE releases the child field when absent.

The handling of need codes as specified in the previous is illustrated by means of an example, as shown in the following ASN.1.

```
-- /example/ ASN1START
RRCMessage-r8-IEs ::=
                                        SEOUENCE {
    field1
                                            InformationElement1,
    field2
                                            InformationElement2
                                                                            OPTIONAL,
                                                                                         -- Need ON
    nonCriticalExtension
                                            RRCMessage-v8a0-IEs
                                                                           OPTIONAL
RRCMessage-v8a0-IEs ::=
                                        SEQUENCE {
                                            InformationElement3
                                                                            OPTIONAL,
                                                                                         -- Need ON
   nonCriticalExtension
                                            RRCMessage-v940-IEs
                                                                            OPTIONAL
                                        SEQUENCE {
RRCMessage-v940-IEs ::=
                                            InformationElement4
                                                                            OPTIONAL,
                                                                                         -- Need OR
    nonCriticalExtension
                                            SEQUENCE {}
                                                                            OPTIONAL
InformationElement1 ::=
                                  SEQUENCE {
    field11
                                        InformationElement11
                                                                            OPTIONAL,
                                                                                         -- Need ON
                                                                                        -- Need OR
    field12
                                        InformationElement12
                                                                            OPTIONAL,
       field13
                                            InformationElement13
                                                                            OPTIONAL,
                                                                                         -- Need OR
        field14
                                            InformationElement14
                                                                                         -- Need ON
                                                                            OPTIONAL
    11
InformationElement2 ::=
                                SEQUENCE {
```

```
field21 InformationElement11 OPTIONAL, -- Need OR ... }
-- ASN1STOP
```

The handling of need codes as specified in the previous implies that:

- if field2 in RRCMessage-r8-IEs is absent, the UE does not modify field21;
- if *field2* in *RRCMessage-r8-IEs* is present but does not include *field21*, the UE releases *field21*;
- if the extension group containing field13 is absent, the UE releases field13 and does not modify field14;
- if nonCriticalExtension defined by IE RRCMessage-v8a0-IEs is absent, the UE does not modify field3 and releases field4;

In the ASN.1 of this specification, the first bit of a bit string refers to the leftmost bit, unless stated otherwise.

# 6.2 RRC messages

NOTE: The messages included in this clause reflect the current status of the discussions. Additional messages may be included at a later stage.

# 6.2.1 General message structure

# EUTRA-RRC-Definitions

This ASN.1 segment is the start of the E-UTRA RRC PDU definitions.

```
-- ASN1START

EUTRA-RRC-Definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

-- ASN1STOP
```

# BCCH-BCH-Message

The *BCCH-BCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via BCH on the BCCH logical channel.

# BCCH-BCH-Message-MBMS

The BCCH-BCH-Message-MBMS class is the set of RRC messages that may be sent from the E-UTRAN to the UE via BCH on the BCCH logical channel in an MBMS-dedicated cell.

```
BCCH-BCH-MessageType-MBMS-r14 ::= MasterInformationBlock-MBMS-r14
-- ASN1STOP
```

# BCCH-DL-SCH-Message

The *BCCH-DL-SCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via DL-SCH on the BCCH logical channel.

# BCCH-DL-SCH-Message-BR

The *BCCH-DL-SCH-Message-BR* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via DL-SCH on the BR-BCCH logical channel.

# BCCH-DL-SCH-Message-MBMS

The *BCCH-DL-SCH-Message-MBMS* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via DL-SCH on the BCCH logical channel in an MBMS-dedicated cell.

# MCCH-Message

The MCCH-Message class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the MCCH logical channel.

# PCCH-Message

The *PCCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the PCCH logical channel.

# DL-CCCH-Message

The *DL-CCCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the downlink CCCH logical channel.

```
-- ASN1START
DL-CCCH-Message ::= SEQUENCE {
                     DL-CCCH-MessageType
DL-CCCH-MessageType ::= CHOICE {
      CHOICE {
                                      RRCConnectionReestablishmentReject,
                                      RRCConnectionSetup
      rrcConnectionSetup
   messageClassExtension CHOICE {
                        CHOICE {
         rrcEarlyDataComplete-r15
                                      RRCEarlyDataComplete-r15,
         spare3 NULL, spare2 NULL, spare1 NULL
      messageClassExtensionFuture-r15
                                      SEQUENCE {}
   }
```

-- ASN1STOP

# DL-DCCH-Message

The *DL-DCCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE or from the E-UTRAN to the RN on the downlink DCCH logical channel.

```
-- ASN1START
DL-DCCH-Message ::= SEQUENCE {
                                         DL-DCCH-MessageType
DL-DCCH-MessageType ::= CHOICE {
            csfbParametersResponseCDMA2000 CSFBParametersResponseCDMA2000,
dlInformationTransfer DLInformationTransfer,
handoverFromEUTRAPreparationRequest HandoverFromEUTRAPreparationRequest,
MobilityFromEUTRACommand,
            mobilityFromEUTRACommand
                                                                           MobilityFromEUTRACommand,
            mobilityFromEUTRACommandMobilityFromEUTRACommand,rrcConnectionReconfigurationRRCConnectionReconfiguration,rrcConnectionReleaseRRCConnectionRelease,
            securityModeCommand
                                                                           SecurityModeCommand,
            ueCapabilityEnquiry
                                                                         UECapabilityEnquiry,
                                                                        CounterCheck,
UEInformationRequest-r9,
            counterCheck
            uernrormationRequest-r9
loggedMeasurementConfiguration-r10
rnReconfiguration-r10
rrcConnectionResume-r13

UEInformationRequest-r9,
LoggedMeasurementConfiguration-r10,
RNReconfiguration-r10,
            spare3 NULL, spare2 NULL, spare1 NULL
      messageClassExtension SEQUENCE {}
-- ASN1STOP
```

# UL-CCCH-Message

The *UL-CCCH-Message* class is the set of RRC messages that may be sent from the UE to the E-UTRAN on the uplink CCCH logical channel.

```
-- ASN1START
UL-CCCH-Message ::= SEQUENCE {
                          UL-CCCH-MessageType
   message
UL-CCCH-MessageType ::= CHOICE {
                          CHOICE {
       rrcConnectionReestablishmentRequest RRCConnectionReestablishmentRequest,
       rrcConnectionRequest
                                              RRCConnectionRequest
   messageClassExtension CHOICE {
                           CHOICE {
           rrcConnectionResumeRequest-r13
                                              RRCConnectionResumeRequest-r13
       messageClassExtensionFuture-r13 CHOICE {
                                  CHOICE {
-r15 RRCEarlyDataRequest-r15,
               rrcEarlyDataRequest-r15
               spare3 NULL, spare2 NULL, spare1
           messageClassExtensionFuture-r15
                                              SEQUENCE {}
-- ASN1STOP
```

# UL-DCCH-Message

The *UL-DCCH-Message* class is the set of RRC messages that may be sent from the UE to the E-UTRAN or from the RN to the E-UTRAN on the uplink DCCH logical channel.

```
-- ASN1START
UL-DCCH-Message ::= SEQUENCE {
                     UL-DCCH-MessageType
    message
UL-DCCH-MessageType ::= CHOICE {
        csfbParametersRequestCDMA2000
                                                         CSFBParametersRequestCDMA2000,
        measurementReport
                                                         MeasurementReport
                                                      RRCConnectionReconfigurationComplete,
        rrcConnectionReconfigurationComplete
         rrcConnectionReestablishmentComplete
                                                         RRCConnectionReestablishmentComplete,
        rrcConnectionSetupComplete
                                                         RRCConnectionSetupComplete,
         securityModeComplete
                                                         SecurityModeComplete,
         {\tt security} {\tt ModeFailure}
                                                         SecurityModeFailure,
         {\tt ueCapabilityInformation}
                                                         UECapabilityInformation,
         ulHandoverPreparationTransfer
                                                         ULHandoverPreparationTransfer,
        ulInformationTransfer
                                                         ULInformationTransfer,
         \verb"counterCheckResponse"
                                                         CounterCheckResponse,
         ueInformationResponse-r9
                                                         UEInformationResponse-r9,
        proximityIndication-r9
                                                         ProximityIndication-r9,
         rnReconfigurationComplete-r10
                                                         RNReconfigurationComplete-r10,
        {\tt mbmsCountingResponse-r10}
                                                         MBMSCountingResponse-r10,
         interFreqRSTDMeasurementIndication-r10
                                                        InterFreqRSTDMeasurementIndication-r10
    messageClassExtension CHOICE {
                                        CHOICE {
             mbmsInterestIndication-rl1
scgFailureInformation-rl2
                                                    MBMSInterestIndication-r11,
                                                    SCGFailureInformation-r12,
             sidelinkUEInformation-r12 SidelinkUEInformation-r12, wlanConnectionStatusReport-r13 WLANConnectionStatusReport-r13, rrcConnectionResumeComplete-r13 RRCConnectionResumeComplete-r13, ulInformationTransferMRDC-r15 ULInformationTransferMRDC-r15, scgFailureInformationNR-r15
             scgFailureInformationNR-r15
             measReportAppLayer-r15
                                                   MeasReportAppLayer-r15,
                                                     FailureInformation-r15
             failureInformation-r15
             spare5 NULL, spare4 NULL, spare3 NULL, spare2 NULL, spare1 NULL
         messageClassExtensionFuture-r11 SEQUENCE {}
-- ASN1STOP
```

# SC-MCCH-Message

The *SC-MCCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the SC-MCCH logical channel.

```
}
-- ASN1STOP
```

# 6.2.2 Message definitions

#### CounterCheck

The *CounterCheck* message is used by the E-UTRAN to indicate the current COUNT MSB values associated to each DRB and to request the UE to compare these to its COUNT MSB values and to report the comparison results to E-UTRAN.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

#### CounterCheck message

```
-- ASN1START
CounterCheck ::=
                         SEQUENCE {
   rrc-TransactionIdentifier
                                      RRC-TransactionIdentifier,
    criticalExtensions
                                     CHOICE {
                                          CHOICE {
       c1
           counterCheck-r8
                                             CounterCheck-r8-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                         SEQUENCE { }
CounterCheck-r8-IEs ::= SEQUENCE {
   drb-CountMSB-InfoList
                                    DRB-CountMSB-InfoList,
   nonCriticalExtension
                                     CounterCheck-v8a0-IEs
                                                                        OPTIONAL
CounterCheck-v8a0-IEs ::= SEQUENCE {
OCTET STRING
   lateNonCriticalExtension
                                                                        OPTIONAL,
   nonCriticalExtension
                                     CounterCheck-v1530-IEs
                                                                        OPTIONAL
CounterCheck-v1530-IEs ::= SEQUENCE {
   drb-CountMSB-InfoListExt-r15
                                      DRB-CountMSB-InfoListExt-r15
                                                                        OPTIONAL,
                                                                                    -- Need ON
   nonCriticalExtension
                                     SEQUENCE {}
                                                                        OPTIONAL
DRB-CountMSB-InfoList ::=
                            SEQUENCE (SIZE (1..maxDRB)) OF DRB-CountMSB-Info
DRB-CountMSB-InfoListExt-r15 ::=
                                  SEQUENCE (SIZE (1..maxDRBExt-r15)) OF DRB-CountMSB-Info
DRB-CountMSB-Info ::= SEQUENCE {
   drb-Identity
                                  DRB-Identity,
    countMSB-Uplink
                                  INTEGER(0..33554431),
                                  INTEGER(0..33554431)
    countMSB-Downlink
-- ASN1STOP
```

#### CounterCheck field descriptions

#### count-MSB-Downlink

If configured with E-UTRA PDCP, it indicates the value of 25 MSBs from downlink COUNT associated to this DRB. If configured with NR PDCP, it indicates the value of 25 MSBs from RX\_NEXT – 1 (specified in TS 38.323 [83]) associated to this DRB.

## count-MSB-Uplink

If configured with E-UTRA PDCP, it indicates the value of 25 MSBs from uplink COUNT associated to this DRB. If configured with NR PDCP, it indicates the value of 25 MSBs from TX\_NEXT – 1 (specified in TS 38.323 [83]) associated to this DRB.

#### drb-CountMSB-InfoList

Indicates the MSBs of the COUNT values of the DRBs.

# CounterCheckResponse

The CounterCheckResponse message is used by the UE to respond to a CounterCheck message.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# CounterCheckResponse message

```
-- ASN1START
   nterCheckResponse ::=
rrc-TransactionIdentifier
CounterCheckResponse ::=
                                   SEQUENCE {
                                     RRC-TransactionIdentifier,
    criticalExtensions
                                       CHOICE {
       counterCheckResponse-r8
                                          CounterCheckResponse-r8-IEs,
        criticalExtensionsFuture
                                           SEQUENCE {}
}
CounterCheckResponse-r8-IEs ::= SEQUENCE {
    drb-CountInfoList
                                       DRB-CountInfoList,
    nonCriticalExtension
                                       CounterCheckResponse-v8a0-IEs
                                                                         OPTIONAL
CounterCheckResponse-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING
                                                                           OPTIONAL.
    nonCriticalExtension
                                       CounterCheckResponse-v1530-IEs
                                                                           OPTIONAL
CounterCheckResponse-v1530-IEs ::= SEQUENCE {
    drb-CountInfoListExt-r15
                                      DRB-CountInfoListExt-r15
                                                                           OPTIONAL,
    nonCriticalExtension
                                       SEQUENCE {}
                                                                           OPTIONAL
                              SEQUENCE (SIZE (0..maxDRB)) OF DRB-CountInfo
DRB-CountInfoList ::=
DRB-CountInfoListExt-r15 ::= SEQUENCE (SIZE (1..maxDRBExt-r15)) OF DRB-CountInfo
DRB-CountInfo ::= SEQUENCE {
   drb-Identity
                                   DRB-Identity,
    count-Uplink
                                   INTEGER(0..4294967295),
   count-Downlink
                                   INTEGER(0..4294967295)
-- ASN1STOP
```

#### CounterCheckResponse field descriptions

#### count-Downlink

If configured with E-UTRA PDCP, it indicates the value of downlink COUNT associated to this DRB. If configured with NR PDCP, it indicates the value of RX\_NEXT – 1 (specified in TS 38.323 [83]) associated to this DRB.

#### count-Uplink

If configured with E-UTRA PDCP, it indicates the value of uplink COUNT associated to this DRB. If configured with NR PDCP, it indicates the value of TX\_NEXT – 1 (specified in TS 38.323 [83]) associated to this DRB.

#### drb-CountInfoList

Indicates the COUNT values of the DRBs

# – CSFBParametersRequestCDMA2000

The *CSFBParametersRequestCDMA2000* message is used by the UE to obtain the CDMA2000 1xRTT Parameters from the network. The UE needs these parameters to generate the CDMA2000 1xRTT Registration message used to register with the CDMA2000 1xRTT Network which is required to support CSFB to CDMA2000 1xRTT.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# CSFBParametersRequestCDMA2000 message

```
-- ASN1START
CSFBParametersRequestCDMA2000 ::= SEQUENCE {
   criticalExtensions
                               CHOICE {
      criticalExtensionsFuture
                                    SEOUENCE { }
CSFBParametersRequestCDMA2000-r8-IEs ::= SEQUENCE {
   nonCriticalExtension
                                CSFBParametersRequestCDMA2000-v8a0-IEs OPTIONAL
CSFBParametersRequestCDMA2000-v8a0-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
                                                               OPTIONAL,
   nonCriticalExtension
                                 SEQUENCE {}
                                                               OPTIONAL
-- ASN1STOP
```

# CSFBParametersResponseCDMA2000

The *CSFBParametersResponseCDMA2000* message is used to provide the CDMA2000 1xRTT Parameters to the UE so the UE can register with the CDMA2000 1xRTT Network to support CSFB to CDMA2000 1xRTT.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# CSFBParametersResponseCDMA2000 message

```
-- ASN1START

CSFBParametersResponseCDMA2000 ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
        csfbParametersResponseCDMA2000-r8 CSFBParametersResponseCDMA2000-r8-IEs,
```

# DLInformationTransfer

The *DLInformationTransfer* message is used for the downlink transfer of NAS, non-3GPP dedicated information or time reference information.

NOTE: The UE may use the time reference information provided in the *timeReferenceInfo* IE for numerous purposes, possibly involving upper layers e.g. to synchronise the UE clock.

Signalling radio bearer: SRB2 or SRB1. If only *timeReferenceInfo* is included in the message, SRB1 is used. Otherwise, SRB1 is used only if SRB2 not established yet, and if SRB2 is suspended, E-UTRAN does not send this message until SRB2 is resumed.

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# DLInformationTransfer message

```
-- ASN1START
DLInformationTransfer ::=
                                 SEQUENCE {
                                RRC-TransactionIdentifier,
   rrc-TransactionIdentifier
   criticalExtensions
                                     CHOICE {
                                     CHOICE {
       c1
           dlInformationTransfer-r8
                                            DLInformationTransfer-r8-IEs,
           dlInformationTransfer-r15
                                            DLInformationTransfer-r15-IEs,
           spare2 NULL, spare1 NULL
                                  SEQUENCE {}
       criticalExtensionsFuture
}
DLInformationTransfer-r8-IEs ::= SEQUENCE {
   dedicatedInfoType
                                    DedicatedInfoNAS,
       dedicatedInfoNAS
       dedicatedInfoCDMA2000-1XRTT
                                        DedicatedInfoCDMA2000.
       dedicatedInfoCDMA2000-HRPD
                                       DedicatedInfoCDMA2000
   nonCriticalExtension
                                   DLInformationTransfer-v8a0-IEs
                                                                     OPTIONAL
}
DLInformationTransfer-v8a0-IEs ::= SEQUENCE {
   lateNonCriticalExtension
                                    OCTET STRING
                                                                       OPTIONAL,
   nonCriticalExtension
                                    SEQUENCE {}
                                                                       OPTIONAL
DLInformationTransfer-r15-IEs ::= SEQUENCE {
                          CHOICE {
   dedicatedInfoType-r15
       dedicatedInfoNAS-r15
                                        DedicatedInfoNAS,
       dedicatedInfoCDMA2000-1XRTT-r15
                                        DedicatedInfoCDMA2000,
       dedicatedInfoCDMA2000-HRPD-r15
                                        DedicatedInfoCDMA2000
                                                                       OPTIONAL,
                                                                                  -- Need ON
                                                                       OPTIONAL,
   timeReferenceInfo-r15
                                    TimeReferenceInfo-r15
                                                                                  -- Need ON
                            DLInformationTransfer-v8a0-IEs
   {\tt nonCriticalExtension}
                                                                      OPTIONAL
```

```
}
-- ASN1STOP
```

## FailureInformation

The *FailureInformation* message is used to provide information regarding failures detected by the UE, e.g. radio link failure for one of the RLC entities configured with PDCP duplication.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

#### FailureInformation message

```
-- ASN1START
FailureInformation-r15 ::=
                           SEQUENCE {
   failedLogicalChannelInfo-r15 FailedLogicalChannelInfo-r15
                                                               OPTIONAL
   -- nonCriticalExtension is removed in this version as OPTIONAL was missing
FailedLogicalChannelInfo-r15 ::= SEQUENCE {
   cellGroupIndication-r15
       logicalChannelIdentity-r15
                                      ENUMERATED {mn, sn},
                                      INTEGER (1..10)
                                                               OPTIONAL,
       logicalChannelIdentityExt-r15
                                    INTEGER (32..38)
                                                               OPTIONAL
   failureType ENUMERATED {duplication, spare3, spare2, spare1}
-- ASN1STOP
```

## FailureInformation field descriptions

# cellGroupIndication

This field indicates the cell group (MCG, SCG) of the RLC entity for which the PDCP duplication failure occurred.

#### failureType

This field indicates the type of failure reported. Value *duplication* indicates that a radio link failure for one of the RLC entities configured with PDCP duplication has been detected.

# logicalChannelIdentity, logicalChannelIdentityExt

This field indicates the logical channel identity of the RLC entity for which the PDCP duplication failure occurred.

# HandoverFromEUTRAPreparationRequest (CDMA2000)

The *HandoverFromEUTRAPreparationRequest* message is used to trigger the handover preparation procedure with a CDMA2000 RAT. This message is also used to trigger a tunneled preparation procedure with a CDMA2000 1xRTT RAT to obtain traffic channel resources for the enhanced CS fallback to CDMA2000 1xRTT, which may also involve a concurrent preparation for handover to CDMA2000 HRPD. Also, this message is used to trigger the dual Rx/Tx redirection procedure with a CDMA2000 1xRTT RAT.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

## HandoverFromEUTRAPreparationRequest message

```
-- ASN1START
```

```
HandoverFromEUTRAPreparationRequest ::= SEQUENCE {
   rrc-TransactionIdentifier RRC-TransactionIdentifier,
   criticalExtensions
                                     CHOICE {
                                         CHOICE {
       c1
           handoverFromEUTRAPreparationRequest-r8
                                                    HandoverFromEUTRAPreparationRequest-r8-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
                                 SEQUENCE {}
       criticalExtensionsFuture
}
HandoverFromEUTRAPreparationRequest-r8-IEs ::= SEQUENCE {
   cdma2000-Type CDMA2000-Type, rand RAND-CDMA2000
                                                            OPTIONAL, -- Cond cdma2000-Type OPTIONAL, -- Cond cdma2000-Type
                                 MobilityParametersCDMA2000 OPTIONAL,
   mobilityParameters
   nonCriticalExtension
                                 HandoverFromEUTRAPreparationRequest-v890-IEs OPTIONAL
HandoverFromEUTRAPreparationRequest-v890-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
                                                             OPTIONAL,
   nonCriticalExtension
                                 HandoverFromEUTRAPreparationRequest-v920-IEs
                                                                               OPTIONAL
HandoverFromEUTRAPreparationRequest-v920-IEs ::= SEQUENCE {
   concurrPrepCDMA2000-HRPD-r9 BOOLEAN
                                                         OPTIONAL, -- Cond cdma2000-Type
   nonCriticalExtension
                                 HandoverFromEUTRAPreparationRequest-v1020-IEs
                                                                              OPTIONAL
HandoverFromEUTRAPreparationRequest-v1020-IEs ::= SEQUENCE {
                                                         OPTIONAL,
OPTIONAL,
OPTIONAL
   -- Cond cdma2000-1XRTT
                                                                        -- Cond dualRxTxRedirect
   redirectCarrierCDMA2000-1XRTT-r10
                                     CarrierFreqCDMA2000
   nonCriticalExtension
                                    SEQUENCE {}
-- ASN1STOP
```

### HandoverFromEUTRAPreparationRequest field descriptions

# concurrPrepCDMA2000-HRPD

Value TRUE indicates that upper layers should initiate concurrent preparation for handover to CDMA2000 HRPD in addition to preparation for enhanced CS fallback to CDMA2000 1xRTT.

### dualRxTxRedirectIndicator

Value TRUE indicates that the second radio of the dual Rx/Tx UE is being redirected to CDMA2000 1xRTT, as specified in TS 23.272 [51].

# redirectCarrierCDMA2000-1XRTT

Used to indicate the CDMA2000 1xRTT carrier frequency where the UE is being redirected to.

Conditional presence	Explanation
cdma2000-1XRTT	The field is optionally present, need ON, if the <i>cdma2000-Type</i> = <i>type1XRTT</i> ; otherwise it is not present.
	'
cdma2000-Type	The field is mandatory present if the <i>cdma2000-Type = type1XRTT</i> ; otherwise it is not
	present.
dualRxTxRedirect	The field is optionally present, need ON, if dualRxTxRedirectIndicator is present;
	otherwise it is not present.

## InDeviceCoexIndication

The *InDeviceCoexIndication* message is used to inform E-UTRAN about IDC problems which can not be solved by the UE itself, as well as to provide information that may assist E-UTRAN when resolving these problems.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# InDeviceCoexIndication message

```
-- ASN1START
                                   SEQUENCE {
InDeviceCoexIndication-r11 ::=
                                      CHOICE {
    criticalExtensions
           inDeviceCoexIndication-r11 spare3 NIII T
                                                   InDeviceCoexIndication-r11-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
       },
       criticalExtensionsFuture
                                          SEQUENCE {}
   }
}
{\tt InDeviceCoexIndication-r11-IEs} \ ::= \ {\tt SEQUENCE} \ \big\{
   affectedCarrierFreqList-rll AffectedCarrierFreqList-rll
tdm-AssistanceInfo-rll TDM-AssistanceInfo-rll
                                                                                  OPTIONAL,
   tdm-AssistanceInfo-r11
lateNonCriticalExtension
                                                                                  OPTIONAL,
                                      OCTET STRING
                                                                                  OPTIONAL,
                                       InDeviceCoexIndication-v11d0-IEs
    nonCriticalExtension
                                                                                  OPTIONAL
InDeviceCoexIndication-v11d0-IEs ::= SEQUENCE {
                                      SEQUENCE {
    ul-CA-AssistanceInfo-r11
       affectedCarrierFreqCombList-r11
                                           AffectedCarrierFreqCombList-r11
                                                                              OPTIONAL,
       victimSystemType-r11
                                           VictimSystemType-r11
                                                                              OPTIONAL,
                                      InDeviceCoexIndication-v1310-IEs
    nonCriticalExtension
                                                                              OPTIONAL
}
AffectedCarrierFreqList-v1310 OPTIONAL,
InDeviceCoexIndicati
   nonCriticalExtension
                                          InDeviceCoexIndication-v1360-IEs OPTIONAL
}
InDeviceCoexIndication-v1360-IEs ::=
                                    SEQUENCE {
    hardwareSharingProblem-r13
                                          ENUMERATED {true}
                                                                              OPTIONAL,
                                           InDeviceCoexIndication-v1530-IEs OPTIONAL
   nonCriticalExtension
InDeviceCoexIndication-v1530-IEs ::=
                                     SEQUENCE {
   mrdc-AssistanceInfo-r15
                                           MRDC-AssistanceInfo-r15
                                                                              OPTIONAL,
   nonCriticalExtension
                                           SEQUENCE {}
AffectedCarrierFreqList-r11 ::= SEQUENCE (SIZE (1..maxFreqIDC-r11)) OF AffectedCarrierFreq-r11
AffectedCarrierFreqList-v1310 ::= SEQUENCE (SIZE (1..maxFreqIDC-r11)) OF AffectedCarrierFreq-v1310
AffectedCarrierFreq-r11 ::= SEQUENCE {
   carrierFreq-r11
                              MeasObjectId,
    interferenceDirection-r11    ENUMERATED {eutra, other, both, spare}
AffectedCarrierFreq-v1310 ::= SEQUENCE {
   carrierFreq-v1310
                                  MeasObjectId-v1310
AffectedCarrierFreqCombList-rl1 ::= SEQUENCE (SIZE (1..maxCombIDC-rl1)) OF AffectedCarrierFreqComb-
AffectedCarrierFreqCombList-r13 ::= SEQUENCE (SIZE (1..maxCombIDC-r11)) OF AffectedCarrierFreqComb-
r13
```

```
AffectedCarrierFreqComb-r11 ::= SEQUENCE (SIZE (2..maxServCell-r10)) OF MeasObjectId
AffectedCarrierFreqComb-r13 ::= SEQUENCE (SIZE (2..maxServCell-r13)) OF MeasObjectId-r13
TDM-AssistanceInfo-r11 ::= CHOICE {
                                          SEQUENCE {
    drx-AssistanceInfo-r11
                                              ENUMERATED {sf40, sf64, sf80, sf128, sf160,
        drx-CycleLength-r11
                                                  sf256, spare2, spare1},
                                              INTEGER (0..255) OPTIONAL,
ENUMERATED {sf20, sf30, sf40, sf60, sf80,
        drx-Offset-r11
        drx-ActiveTime-r11
                                                  sf100, spare2, spare1}
    idc-SubframePatternList-r11 IDC-SubframePatternList-r11,
}
IDC-SubframePatternList-r11 ::= SEQUENCE (SIZE (1..maxSubframePatternIDC-r11)) OF IDC-
SubframePattern-r11
IDC-SubframePattern-r11 ::= CHOICE {
   -SubframePattern-rrr ... subframePatternFDD-rll subframePatternTDD-rll subframeConfig0-rll subframeConfig1-5-rll
                                          BIT STRING (SIZE (4)),
                                         CHOICE {
                                          BIT STRING (SIZE (70)),
                                              BIT STRING (SIZE (10)),
                                              BIT STRING (SIZE (60))
    },
    . . .
}
VictimSystemType-r11 ::= SEQUENCE {
                                      ENUMERATED {true}
   gps-r11
                                                                       OPTIONAL,
    glonass-r11
                                      ENUMERATED {true}
                                                                       OPTIONAL,
                                      ENUMERATED {true}
   bds-r11
                                                                       OPTIONAL.
   galileo-r11
                                      ENUMERATED {true}
                                                                       OPTIONAL,
    wlan-r11
                                      ENUMERATED
                                                  {true}
                                                                       OPTIONAL,
   bluetooth-r11
                                      ENUMERATED {true}
                                                                       OPTIONAL
}
MRDC-AssistanceInfo-r15 ::= SEQUENCE {
   affectedCarrierFreqCombInfoListMRDC-r15 SEQUENCE (SIZE (1..maxCombIDC-r11)) OF
AffectedCarrierFreqCombInfoMRDC-r15,
AffectedCarrierFreqCombInfoMRDC-r15 ::= SEQUENCE {
                                              VictimSystemType-r11,
   victimSystemType-r15
    interferenceDirectionMRDC-r15
                                              ENUMERATED {eutra-nr, nr, other, eutra-nr-other,
                                              nr-other, spare3, spare2, spare1},
   affectedCarrierFreqCombMRDC-r15
                                            SEQUENCE {
        affectedCarrierFreqCombEUTRA-r15 AffectedCarrierFreqCombNR-r15 AffectedCarrierFreqCombNR-r15
                                                                                    OPTIONAL,
        affectedCarrierFreqCombNR-r15
                                                  AffectedCarrierFreqCombNR-r15
                    OPTIONAL
AffectedCarrierFreqComb-r15 ::= SEQUENCE (SIZE (1..maxServCell-r13)) OF MeasObjectId-r13
AffectedCarrierFreqCombNR-r15 ::= SEQUENCE (SIZE (1..maxServCellNR-r15)) OF ARFCN-ValueNR-r15
-- ASN1STOP
```

### InDeviceCoexIndication field descriptions

### AffectedCarrierFreq

If carrierFreq-v1310 is included, carrierFreq-r11 is ignored by eNB.

### affectedCarrierFreqCombList

Indicates a list of E-UTRA carrier frequencies that are affected by IDC problems due to Inter-Modulation Distortion and harmonics from E-UTRA when configured with UL CA. affectedCarrierFreqCombList-r13 is used when more than 5 serving cells are configured or affected combinations contain MeasObjectId larger than 32. If affectedCarrierFreqCombList-r13 is included, affectedCarrierFreqCombList-r11 shall not be included.

### affectedCarrierFregCombMRDC

Indicates a set of at least one NR carrier frequency and optionally one or more E-UTRA carrier frequency that is affected by IDC problems due to Inter-Modulation Distortion and harmonics when configured with MR-DC.

## affectedCarrierFreqList

List of E-UTRA carrier frequencies affected by IDC problems. If E-UTRAN includes affectedCarrierFreqList-v1310 it includes the same number of entries, and listed in the same order, as in affectedCarrierFreqList-r11.

### drx-ActiveTime

Indicates the desired active time that the E-UTRAN is recommended to configure. Value in number of subframes. Value sf20 corresponds to 20 subframes, sf30 corresponds to 30 subframes and so on.

### drx-CycleLength

Indicates the desired DRX cycle length that the E-UTRAN is recommended to configure. Value in number of subframes. Value sf40 corresponds to 40 subframes, sf64 corresponds to 64 subframes and so on.

#### drx-Offset

Indicates the desired DRX starting offset that the E-UTRAN is recommended to configure. The UE shall set the value of drx-Offset smaller than the value of drx-CycleLength. The starting frame and subframe satisfy the relation: [(SFN \* 10) + subframe number] modulo (drx-CycleLength) = drx-Offset.

### hardwareSharingProblem

Indicates whether the UE has hardware sharing problems that the UE cannot solve by itself. The field is present (i.e. value *true*), if the UE has such hardware sharing problems. Otherwise the field is absent.

### idc-SubframePatternList

A list of one or more subframe patterns indicating which HARQ process E-UTRAN is requested to abstain from using. Value 0 indicates that E-UTRAN is requested to abstain from using the subframe. For FDD, the radio frame in which the pattern starts (i.e. the radio frame in which the first/leftmost bit of the *subframePatternFDD* corresponds to subframe #0) occurs when SFN mod 2 = 0. For TDD, the first/leftmost bit corresponds to the subframe #0 of the radio frame satisfying SFN mod x = 0, where x is the size of the bit string divided by 10. The UE shall indicate a subframe pattern that follows HARQ time line, as specified in TS 36.213 [23], i.e, if a subframe is set to 1 in the subframe pattern, also the corresponding subframes carrying the potential UL grant, as specified in TS 36.213 [23], clause 8.0, the UL HARQ retransmission, as specified in TS 36.213 [23], clause 8.0, and the DL/UL HARQ feedback, as specified in TS 36.213 [23], clauses 7.3, 8.3 and 9.1.2, shall be set to 1.

# interferenceDirection

Indicates the direction of IDC interference. Value *eutra* indicates that only E-UTRA is victim of IDC interference, value *other* indicates that only another radio is victim of IDC interference and value *both* indicates that both E-UTRA and another radio are victims of IDC interference. The other radio refers to either the ISM radio or GNSS (see TR 36.816 [63]).

### interferenceDirectionMRDC

Indicates the direction of IDC interference. Value *eutra-nr* indicates E-UTRA and NR is victim, value *nr* indicates NR, value *other* indicates other radio system and so on. The other radio refers to either the ISM radio or GNSS (see TR 36.816 [63]).

# victimSystemType

Indicate the list of victim system types to which IDC interference is caused from E-UTRA when configured with UL CA or from E-UTRA and NR when configured with MR-DC. Value *gps*, *glonass*, *bds* and *galileo* indicates the type of GNSS. Value *wlan* indicates WLAN and value *bluetooth* indicates Bluetooth.

# InterFregRSTDMeasurementIndication

The *InterFreqRSTDMeasurementIndication* message is used to indicate that the UE is going to either start or stop OTDOA inter-frequency RSTD measurement which requires measurement gaps as specified in TS 36.133 [16], clause 8.1.2.6. The *InterFreqRSTDMeasurementIndication* message is also used to indicate to the network that the UE is going to start/stop OTDOA intra-frequency RSTD measurements which require measurement gaps. The *InterFreqRSTDMeasurementIndication* message is also used to indicate to the network the measurement gap that the category M1 or M2 UE prefers to perform RSTD measurements with dense PRS configuration, as specified in TS 36.133 [16], Table 8.1.2.1-3.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# InterFreqRSTDMeasurementIndication message

```
-- ASN1START
InterFreqRSTDMeasurementIndication-r10 ::=
                                                        SEQUENCE {
                          CHOICE {
    criticalExtensions
                                               CHOICE {
             inter FreqRSTD Measurement Indication-r10 \\ Inter FreqRSTD Measurement Indication-r10-IEs, \\
             spare3 NULL, spare2 NULL, spare1 NULL
         },
        criticalExtensionsFuture
                                               SEQUENCE { }
}
InterFreqRSTDMeasurementIndication-r10-IEs ::=
                                                        SEQUENCE {
    rstd-InterFreqIndication-r10 CHOICE {
                                               SEQUENCE {
         start
             rstd-InterFreqInfoList-r10
                                                       RSTD-InterFreqInfoList-r10
                                               NULL
        stop
    lateNonCriticalExtension
                                           OCTET STRING
                                                                                  OPTIONAL,
    nonCriticalExtension
                                           SEQUENCE {}
                                                                                  OPTIONAL
}
 \texttt{RSTD-InterFreqInfoList-r10} ::= \\ \texttt{SEQUENCE} \ (\texttt{SIZE(1..maxRSTD-Freq-r10)}) \ \texttt{OF} \ \texttt{RSTD-InterFreqInfo-r10} 
RSTD-InterFreqInfo-r10 ::= SEQUENCE {
    carrierFreq-r10
                                      ARFCN-ValueEUTRA,
    measPRS-Offset-r10
                                       INTEGER (0..39),
    [[ carrierFreq-v1090
                                     ARFCN-ValueEUTRA-v9e0
                                                                             OPTIONAL
    11,
    [[ measPRS-Offset-r15 CHOICE {
                                  INTEGER (0..79)
             rstd0-r15
                                      INTEGER (0..159),
             rstd1-r15
                                     INTEGER (0..319),
INTEGER (0..639),
             rstd2-r15
             rstd3-r15
                                     INTEGER (0..1279),
             rstd4-r15
             rstd5-r15
                                      INTEGER (0..159),
             rstd6-r15
                                      INTEGER (0..319),
             rstd7-r15
                                     INTEGER (0..639),
INTEGER (0..1279),
             rstd8-r15
             rstd9-r15
                                     INTEGER (0..319),
             rstd10-r15
                                      INTEGER (0..639),
                                     INTEGER (0..1279),
             rstd11-r15
                                     INTEGER (0..319),
             rstd12-r15
             rstd13-r15
                                      INTEGER (0..639)
                                    INTEGER (0..639),
INTEGER (0..1279),
INTEGER (0.639)
             rstd14-r15
                                INTEGER (0..1279),
INTEGER (0..1279),
INTEGER (0..639),
INTEGER (0..1279),
             rstd15-r15
             rstd16-r15
             rstd17-r15
             rstd18-r15
                                     INTEGER (0..639),
             rstd19-r15
             rstd20-r15
                                      INTEGER (0..1279)
                                                                     OPTIONAL
    ]]
}
-- ASN1STOP
```

# InterFreqRSTDMeasurementIndication field descriptions

#### carrierFreq

The EARFCN value of the carrier received from upper layers for which the UE needs to perform the inter-frequency RSTD measurements. If the UE includes *carrierFreq-v1090*, it shall set *carrierFreq-r10* to *maxEARFCN*. In case the UE starts intra-frequency RSTD measurements the *carrierFreq* indicates the carrier frequency of the serving cell.

#### measPRS-Offset

Indicates the requested gap offset for performing inter-frequency or intra-frequency RSTD measurements. It is the smallest subframe offset from the beginning of subframe 0 of SFN=0 of the serving cell of the requested gap for measuring PRS positioning occasions in the carrier frequency *carrierFreq* for which the UE needs to perform the inter-frequency or intra-frequency RSTD measurements. The PRS positioning occasion information is received from upper layers. The value of *measPRS-Offset-r10* is obtained by mapping the starting subframe of the PRS positioning occasion in the measured cell onto the corresponding subframe in the serving cell and is calculated as the serving cell's number of subframes from SFN=0 mod 40.

If *measPRS-Offset-r15* is included, the field further indicates the requested gap pattern that the category M1 or M2 UE prefers to perform RSTD measurements with dense PRS configuration, as specified in TS 36.133 [16], Table 8.1.2.1-3, where value rstd0 corresponds to Gap Pattern Id rstd0, value rstd1 corresponds to Gap Pattern Id rstd1 and so on. The value of *measPRS-Offset-r15* is obtained by mapping the starting subframe of the PRS positioning occasion in the measured cell onto the corresponding subframe in the serving cell and is calculated as the serving cell's number of subframes from SFN=0 mod MGRP corresponding to the requested Gap pattern Id. If *measPRS-Offset-r15* is included, *measPRS-Offset-r10* is ignored.

The UE shall take into account any additional time required by the UE to start PRS measurements on the other carrier when it does this mapping for determining the *measPRS-Offset*.

NOTE: Figure 6.2.2-1 illustrates the measPRS-Offset field.

# rstd-InterFreqIndication

Indicates the inter-frequency or intra-frequency RSTD measurement action, i.e. the UE is going to start or stop inter-frequency or intra-frequency RSTD measurement.

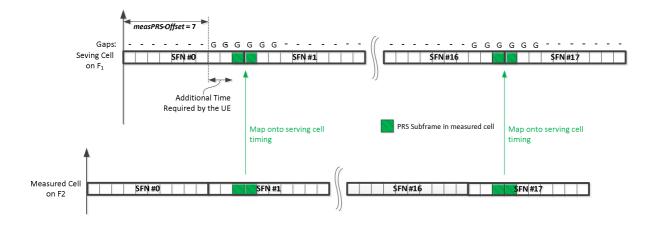


Figure 6.2.2-1 (informative): Exemplary calculation of measPRS-Offset field.

# LoggedMeasurementConfiguration

The *LoggedMeasurementConfiguration* message is used by E-UTRAN to configure the UE to perform logging of measurement results while in RRC\_IDLE or to perform logging of measurement results for MBSFN while in both RRC\_IDLE and RRC\_CONNECTED. It is used to transfer the logged measurement configuration for network performance optimisation, see TS 37.320 [60].

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# LoggedMeasurementConfiguration message

```
-- ASN1START
LoggedMeasurementConfiguration-r10 ::= SEQUENCE {
    criticalExtensions
                                         CHOICE {
                                            CHOICE {
                                                     LoggedMeasurementConfiguration-r10-IEs,
            loggedMeasurementConfiguration-r10
            spare3 NULL, spare2 NULL, spare1 NULL
        criticalExtensionsFuture
                                                 SEQUENCE {}
}
   LoggedMeasurementConfiguration-r10-IEs ::= SEQUENCE {
                                                                  OPTIONAL, -- Need OR
                                    LoggedMeasurementConfiguration-v1080-IES OPTIONAL
}
LoggedMeasurementConfiguration-v1080-IEs ::= SEQUENCE {
   lateNonCriticalExtension-r10 OCTET STRING
                                                                          OPTIONAL,
    nonCriticalExtension
                                    LoggedMeasurementConfiguration-v1130-IEs OPTIONAL
}
LoggedMeasurementConfiguration-v1130-IEs ::= SEQUENCE {
   plmn-IdentityList-r11 PLMN-IdentityList3-r11 OPTIONAL, -- Need OR areaConfiguration-v1130 AreaConfiguration-v1130 OPTIONAL, -- Need OR nonCriticalExtension LoggedMeasurementConfiguration-v1250-IEs OPTIONAL
}
LoggedMeasurementConfiguration-v1250-IEs ::= SEQUENCE {
    targetMBSFN-AreaList-r12 TargetMBSFN-AreaList-r12
                                                                  OPTIONAL,
                                                                             -- Need OP
    nonCriticalExtension
                                    LoggedMeasurementConfiguration-v1530-IEs
    OPTIONAL
LoggedMeasurementConfiguration-v1530-IEs ::= SEQUENCE {
    bt-NameList-r15 BT-NameList-r15 wlan-NameList-r15 WLAN-NameList-r15
   bt-NameList-r15
                                                                     OPTIONAL,
                                                                                  --Need OR
                                                                                  --Need OR
                                                                     OPTIONAL,
   nonCriticalExtension
                                    SEQUENCE {}
}
TargetMBSFN-AreaList-r12 ::=
                                      SEQUENCE (SIZE (0..maxMBSFN-Area)) OF TargetMBSFN-Area-r12
TargetMBSFN-Area-r12 ::=
                                        SEQUENCE {
                                                                 OPTIONAL, -- Need OR
                                        MBSFN-AreaId-r12
   mbsfn-AreaId-r12
    carrierFreq-r12
                                        ARFCN-ValueEUTRA-r9,
-- ASN1STOP
```

### LoggedMeasurementConfiguration field descriptions

#### absoluteTimeInfo

Indicates the absolute time in the current cell.

### areaConfiguration

Used to restrict the area in which the UE performs measurement logging to cells broadcasting either one of the included cell identities or one of the included tracking area codes/ identities.

## plmn-IdentityList

Indicates a set of PLMNs defining when the UE performs measurement logging as well as the associated status indication and information retrieval i.e. the UE performs these actions when the RPLMN is part of this set of PLMNs.

#### targetMBSFN-AreaList

Used to indicate logging of MBSFN measurements and further restrict the area and frequencies for which the UE performs measurement logging for MBSFN. If both MBSFN area id and carrier frequency are present, a specific MBSFN area is indicated. If only carrier frequency is present, all MBSFN areas on that carrier frequency are indicated. If there is no entry in the list, any MBSFN area is indicated.

### tce-ld

Parameter Trace Collection Entity Id: See TS 32.422 [58].

### traceRecordingSessionRef

Parameter Trace Recording Session Reference: See TS 32.422 [58]

### – MasterInformationBlock

The MasterInformationBlock includes the system information transmitted on BCH.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

### MasterInformationBlock

```
-- ASN1START
                                    SEOUENCE {
MasterInformationBlock ::=
    dl-Bandwidth
                                       ENUMERATED {
                                           n6, n15, n25, n50, n75, n100},
   phich-Config
                                       PHICH-Config,
    systemFrameNumber
                                       BIT STRING (SIZE (8)),
    schedulingInfoSIB1-BR-r13
                                        INTEGER (0..31),
    systemInfoUnchanged-BR-r15
                                       BOOLEAN,
                                        BIT STRING (SIZE (4))
    spare
-- ASN1STOP
```

### MasterInformationBlock field descriptions

### dl-Bandwidth

Parameter: transmission bandwidth configuration, N<sub>RB</sub> in downlink, see TS 36.101 [42], table 5.6-1. n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on.

### phich-Config

Specifies the PHICH configuration. If the UE is a BL UE or UE in CE, it shall ignore this field.

# schedulingInfoSIB1-BR

Indicates the index to the tables that define *SystemInformationBlockType1-BR* scheduling information. The tables are specified in TS 36.213 [23], Table 7.1.6-1 and Table 7.1.7.2.7-1. Value 0 means that *SystemInformationBlockType1-BR* is not scheduled.

# systemFrameNumber

Defines the 8 most significant bits of the SFN. As indicated in TS 36.211 [21], 6.6.1, the 2 least significant bits of the SFN are acquired implicitly in the P-BCH decoding, i.e. timing of 40ms P-BCH TTI indicates 2 least significant bits (within 40ms P-BCH TTI, the first radio frame: 00, the second radio frame: 01, the third radio frame: 10, the last radio frame: 11). One value applies for all serving cells of a Cell Group (i.e. MCG or SCG). The associated functionality is common (i.e. not performed independently for each cell).

## systemInfoUnchanged-BR

Value TRUE indicates that no change has occurred in the SIB1-BR and SI messages at least over the SI validity time.

## MasterInformationBlock-MBMS

The MasterInformationBlock-MBMS includes the system information transmitted on BCH.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

#### MasterInformationBlock-MBMS

# MasterInformationBlock-MBMS field descriptions

### additionalNonMBSFNSubframes

Configures additional non-MBSFN subframes where *SystemInformationBlockType1-MBMS* and *SystemInformation-MBMS* may be transmitted. Value 0, 1, 2, 3 mean zero, one, two, three additional non-MBSFN subframes are configured after each subframe which has PBCH.

# dl-Bandwidth-MBMS

Parameter: transmission bandwidth configuration, N<sub>RB</sub> in downlink, see TS 36.101 [42], table 5.6-1. n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on.

# systemFrameNumber

Defines the 6 most significant bits of the SFN of the MBMS-dedicated cell. As indicated in TS 36.211 [21], clause 6.6.1, the 4 least significant bits of the SFN are acquired implicitly in the P-BCH decoding, i.e. timing of 160ms P-BCH TTI indicates 4 least significant bits (within 40ms P-BCH TTI, the first radio frame: 00, the fourth radio frame: 01, the eighth radio frame: 10, the last radio frame: 11).

# MBMSCountingRequest

The *MBMSCountingRequest* message is used by E-UTRAN to count the UEs that are receiving or interested to receive specific MBMS services.

Signalling radio bearer: N/A

RLC-SAP: UM

Logical channel: MCCH

Direction: E-UTRAN to UE

# MBMSCountingRequest message

```
-- ASN1START
MBMSCountingRequest-r10 ::=
   countingRequestList-r10
                               SEOUENCE {
                               CountingRequestList-r10,
    lateNonCriticalExtension
                                   OCTET STRING
                                                                       OPTIONAL,
                                   SEQUENCE {}
   nonCriticalExtension
                                                                       OPTIONAL
}
CountingRequestList-r10 ::= SEQUENCE (SIZE (1..maxServiceCount)) OF CountingRequestInfo-r10
CountingRequestInfo-r10 ::=
                               SEOUENCE {
    tmgi-r10
                                       TMGI-r9,
    . . .
```

```
}
-- ASN1STOP
```

# MBMSCountingResponse

The MBMSCountingResponse message is used by the UE to respond to an MBMSCountingRequest message.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# MBMSCountingResponse message

```
-- ASN1START
                                     SEQUENCE {
MBMSCountingResponse-r10 ::=
   criticalExtensions
                                     CHOICE {
       c1
                                         CHOICE {
           countingResponse-r10
                                             MBMSCountingResponse-r10-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                         SEQUENCE {}
}
mbsfn-AreaIndex-r10
countingResponseList-r10
lateNonCriticalExtension
                                                                                   OPTIONAL,
                                 CountingResponseList-r10
                                                                   OPTIONAL,
                                  OCTET STRING
                                                                   OPTIONAL.
   nonCriticalExtension
                                SEQUENCE {}
                                                                   OPTIONAL
CountingResponseList-r10 ::=
                                 SEQUENCE (SIZE (1..maxServiceCount)) OF CountingResponseInfo-r10
CountingResponseInfo-r10 ::=
                                 SEQUENCE {
   countingResponseService-r10 INTEGER (0..maxServiceCount-1),
-- ASN1STOP
```

# MBMSCountingResponse field descriptions

### countingResponseLis

List of MBMS services which the UE is receiving or interested to receive. Value 0 for field *countingResponseService* corresponds to the first entry in *countingRequestList* within *MBMSCountingRequest*, value 1 corresponds to the second entry in this list and so on.

# mbsfn-Arealndex

Index of the entry in field *mbsfn-AreaInfoList* within *SystemInformationBlockType13*. Value 0 corresponds to the first entry in *mbsfn-AreaInfoList* within *SystemInformationBlockType13*, value 1 corresponds to the second entry in this list and so on.

# MBMSInterestIndication

The MBMSInterestIndication message is used to inform E-UTRAN that the UE is receiving/interested to receive or no longer receiving/ interested to receive MBMS via an MRB or SC-MRB including MBMS service(s) in receive only mode.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# MBMSInterestIndication message

```
-- ASN1START
MBMSInterestIndication-r11 ::=
                                 SEQUENCE {
                                CHOICE {
    criticalExtensions
                                     CHOICE {
           interestIndication-r11
                                            MBMSInterestIndication-r11-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                        SEQUENCE { }
   }
}
MBMSInterestIndication-r11-IEs ::= SEQUENCE {
   mbms-FreqList-r11 CarrierFreqListMBMS-r11
mbms-Priority-r11 ENUMERATED {true}
                                                                      OPTIONAL,
   lateNonCriticalExtension
                                    OCTET STRING
                                                                      OPTIONAL,
   nonCriticalExtension
                                     MBMSInterestIndication-v1310-IEs
                                                                      OPTIONAL
{\tt MBMSInterestIndication-v1310-IEs} \ ::= \ \ {\tt SEQUENCE} \ \{
   mbms-Services-r13
                                    MBMS-ServiceList-r13
                                                                     OPTIONAL
    nonCriticalExtension
                                     MBMSInterestIndication-v1540-IEs
                                                                         OPTIONAL
{\tt MBMSInterestIndication-v1540-IEs} \ ::= \ \ {\tt SEQUENCE} \ \{
   mbms-ROM-InfoList-r15 SEQUENCE (SIZE(1..maxMBMS-ServiceListPerUE-r13)) OF MBMS-ROM-
Info-r15
                                                                      OPTIONAL,
                                     SEQUENCE {}
   nonCriticalExtension
                                                                      OPTIONAL
ENUMERATED {n6, n15, n25, n50, n75, n100}
-- ASN1STOP
```

### MBMSInterestIndication field descriptions

#### mbms-Bandwidth

Indicates the UE received MBMS service frequency bandwidth configuration,  $N_{RB}$  in downlink, see TS 36.101 [42], table 5.6-1. n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on.

### mbms-FreqList

List of MBMS frequencies on which the UE is receiving or interested to receive MBMS via an MRB or SC-MRB.

### mbms-Priority

Indicates whether the UE prioritises MBMS reception above unicast reception. The field is present (i.e. value *true*), if the UE prioritises reception of all listed MBMS frequencies above reception of any of the unicast bearers. Otherwise the field is absent.

#### mbms-ROM-Freq

The value indicates the carrier frequency used by the UE to receive MBMS service(s) in receive only mode.

### mbms-ROM-InfoList

List of receive only mode MBMS service(s) related parameters which the UE is receiving or interested to receive.

### mbms-ROM-SubcarrierSpacing

The value indicates subcarrier spacing for MBSFN subframes received by UE in receive only mode and kHz15 refers to 15kHz, kHz7dot5 refers to 7.5kHz subcarrier spacing and kHz1dot25 refers to 1.25 kHz subcarrier spacing as defined in TS 36.211 [21], clause 6.12.

# MBSFNAreaConfiguration

The *MBSFNAreaConfiguration* message contains the MBMS control information applicable for an MBSFN area. For each MBSFN area included in *SystemInformationBlockType13* E-UTRAN configures an MCCH (i.e. the MCCH identifies the MBSFN area) and signals the *MBSFNAreaConfiguration* message.

Signalling radio bearer: N/A

RLC-SAP: UM

Logical channel: MCCH

Direction: E-UTRAN to UE

### MBSFNAreaConfiguration message

```
-- ASN1START
MBSFNAreaConfiguration-r9 ::=
                                   SEQUENCE {
   commonSF-Alloc-r9
                                      CommonSF-AllocPatternList-r9,
                                       ENUMERATED {
    commonSF-AllocPeriod-r9
                                               rf4, rf8, rf16, rf32, rf64, rf128, rf256},
    pmch-InfoList-r9
                                       PMCH-InfoList-r9,
    nonCriticalExtension
                                       MBSFNAreaConfiguration-v930-IEs OPTIONAL
MBSFNAreaConfiguration-v930-IEs ::= SEQUENCE {
    lateNonCriticalExtension
                                       OCTET STRING
                                                                           OPTIONAL,
    nonCriticalExtension
                                       MBSFNAreaConfiguration-v1250-IEs
                                                                           OPTIONAL
}
MBSFNAreaConfiguration-v1250-IEs ::= SEQUENCE {
                                      PMCH-InfoListExt-r12
   pmch-InfoListExt-r12
                                                                           OPTIONAL,
                                                                                       -- Need OR
   nonCriticalExtension
                                       MBSFNAreaConfiguration-v1430-IEs
                                                                           OPTIONAL
MBSFNAreaConfiguration-v1430-IEs ::= SEQUENCE {
   commonSF-Alloc-r14
                                       CommonSF-AllocPatternList-r14,
                                       SEQUENCE {}
   nonCriticalExtension
                                                                           OPTIONAL
CommonSF-AllocPatternList-r9 ::= SEQUENCE (SIZE (1..maxMBSFN-Allocations)) OF MBSFN-
SubframeConfig
CommonSF-AllocPatternList-r14 ::= SEQUENCE (SIZE (1..maxMBSFN-Allocations)) OF MBSFN-
SubframeConfig-v1430
-- ASN1STOP
```

# MBSFNAreaConfiguration field descriptions

### commonSF-Alloc

Indicates the subframes allocated to the MBSFN area. E-UTRAN always sets this field to cover at least the subframes configured by *SystemInformationBlockType13* for this MCCH, regardless of whether any MBMS sessions are ongoing.

# commonSF-AllocPeriod

Indicates the period during which resources corresponding with field *commonSF-Alloc* are divided between the (P)MCH that are configured for this MBSFN area. The subframe allocation patterns, as defined by *commonSF-Alloc*, repeat continously during this period. Value rf4 corresponds to 4 radio frames, rf8 corresponds to 8 radio frames and so on. The *commonSF-AllocPeriod* starts in the radio frames for which: SFN mod *commonSF-AllocPeriod* = 0.

#### pmch-InfoList

EUTRAN may include *pmch-InfoListExt* even if *pmch-InfoList* does not include *maxPMCH-PerMBSFN* entries. EUTRAN configures at most *maxPMCH-PerMBSFN* entries i.e. across *pmch-InfoList* and *pmch-InfoListExt*.

# MeasReportAppLayer

The MeasReportAppLayer message is used for sending application layer measurement report.

Signalling radio bearer: SRB4

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# MeasReportAppLayer message

```
-- ASN1START
MeasReportAppLayer-r15 ::= SEQUENCE {
       measReportAppLayer-r15
criticalri
   criticalExtensions
                                     MeasReportAppLayer-r15-IEs,
       criticalExtensionsFuture
                                         SEQUENCE { }
}
MeasReportAppLayer-r15-IEs ::= SEQUENCE {
   measReportAppLayerContainer-r15 OCTET STRING (SIZE(1..8000))
                                                                           OPTIONAL,
                                     ENUMERATED {qoe, qoemtsi, spare6, spare5, spare4, spare3,
   serviceType-r15
spare2, spare1} OPTIONAL,
   nonCriticalExtension
                                     MeasReportAppLayer-v1590-IEs
                                                                               OPTIONAL
MeasReportAppLayer-v1590-IEs ::= SEQUENCE {
                                     OCTET STRING
   lateNonCriticalExtension
                                                                       OPTIONAL,
   nonCriticalExtension
                                     SEQUENCE {}
                                                                   OPTIONAL
-- ASN1STOP
```

# MeasReportAppLayer field descriptions

# measReportAppLayerContainer

The field contains container of application layer measurements, see Annex L (normative) in TS 26.247 [90] and clause 16.5 in TS 26.114 [99].

# serviceType

Indicates the type of application layer measurement. Value qoe indicates Quality of Experience Measurement Collection for streaming services, value qoemtsi indicates Quality of Experience Measurement Collection for MTSI.

### - MeasurementReport

The MeasurementReport message is used for the indication of measurement results.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# MeasurementReport message

```
-- ASN1START
MeasurementReport ::=
                                   SEQUENCE {
                                   CHOICE {
   criticalExtensions
                                       CHOICE {
       c1
           measurementReport-r8
                                               MeasurementReport-r8-IEs,
           spare7 NULL,
           spare6 NULL, spare5 NULL, spare4 NULL,
           spare3 NULL, spare2 NULL, spare1 NULL
        criticalExtensionsFuture
                                           SEQUENCE {}
    }
}
MeasurementReport-r8-IEs ::=
                                 SEQUENCE {
                                    MeasResults,
   measResults
                                       MeasurementReport-v8a0-IEs
   nonCriticalExtension
                                                                         OPTIONAL
MeasurementReport-v8a0-IEs ::= SEQUENCE {
  lateNonCriticalExtension OCTET STRING nonCriticalExtension SEQUENCE {}
                                                                           OPTIONAL,
                                       SEQUENCE {}
                                                                           OPTIONAL
-- ASN1STOP
```

# MobilityFromEUTRACommand

The *MobilityFromEUTRACommand* message is used to command handover or a cell change from E-UTRA to another RAT (3GPP or non-3GPP), or enhanced CS fallback to CDMA2000 1xRTT.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# MobilityFromEUTRACommand message

```
-- ASN1START
MobilityFromEUTRACommand ::=
                             er RRC-TransactionIdentifier,
CHOICE {
                                     SEQUENCE {
   rrc-TransactionIdentifier
    criticalExtensions
            mobilityFromEUTRACommand-r8 Mob
        c1
            mobilityFromEUTRACommand-r8 MobilityFromEUTRACommand-r8-IEs, mobilityFromEUTRACommand-r9-IEs, spare2 NULL. spare1
            spare2 NULL, spare1
                                           SEQUENCE {}
        criticalExtensionsFuture
    }
}
MobilityFromEUTRACommand-r8-IEs ::= SEQUENCE {
    cs-FallbackIndicator
                                         BOOLEAN,
                                          CHOICE{
    purpose
        handover
                                              Handover,
        cellChangeOrder
                                              CellChangeOrder
    nonCriticalExtension
                                         MobilityFromEUTRACommand-v8a0-IES OPTIONAL
```

```
}
MobilityFromEUTRACommand-v8a0-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
                                                                       OPTIONAL,
                                     MobilityFromEUTRACommand-v8d0-IEs OPTIONAL
   nonCriticalExtension
}
MobilityFromEUTRACommand-v8d0-IEs ::= SEQUENCE {
                                     BandIndicatorGERAN OPTIONAL, -- Cond GERAN
   bandIndicator
   nonCriticalExtension
                                     SEQUENCE {}
                                                               OPTIONAL
}
MobilityFromEUTRACommand-r9-IEs ::= SEQUENCE {
   cs-FallbackIndicator
   purpose
                                     CHOICE {
       handover
                                        Handover,
       cellChangeOrder
                                         CellChangeOrder,
       e-CSFB-r9
                                         E-CSFB-r9,
                                     MobilityFromEUTRACommand-v930-IES OPTIONAL
   nonCriticalExtension
MobilityFromEUTRACommand-v930-IEs ::= SEQUENCE {
   lateNonCriticalExtension
                                     OCTET STRING
                                                                       OPTIONAL.
                                     MobilityFromEUTRACommand-v960-IES OPTIONAL
   nonCriticalExtension
MobilityFromEUTRACommand-v960-IEs ::= SEQUENCE {
                                BandIndicatorGERAN
                                                                   OPTIONAL,
   bandIndicator
                                                                               -- Cond GERAN
   nonCriticalExtension
                                 MobilityFromEUTRACommand-v1530-IEs OPTIONAL
MobilityFromEUTRACommand-v1530-IEs ::= SEQUENCE {
                                    SEQUENCE (
MTC-SSB-NR-r15
                                                          OPTIONAL,
   smtc-r15
                                                                               -- Need OP
   nonCriticalExtension
                                     SEQUENCE {}
                                                                OPTIONAL
}
Handover ::=
                                 SEQUENCE {
   targetRAT-Type
                                    ENUMERATED {
                                      utra, geran, cdma2000-1XRTT, cdma2000-HRPD,
                                         nr, eutra, spare2, spare1, ...},
   targetRAT-MessageContainer OCTET STRING,
nas-SecurityParamFromEUTRA OCTET STRING (SIZE (1)) OPTIONAL, -- Cond UTRAGERANEPC
   systemInformation
                                    SI-OrPSI-GERAN
                                                               OPTIONAL -- Cond PSHO
}
CellChangeOrder ::= SEQUENCE {
   t304
                                     ENUMERATED {
                                        ms100, ms200, ms500, ms1000,
                                         ms2000, ms4000, ms8000, ms10000-v1310},
   targetRAT-Type
                                     CHOICE {
          geran
                                       SEQUENCE {
                                            PhysCellIdGERAN,
              physCellId
              carrierFreq
                                             CarrierFreqGERAN,
              networkControlOrder
                                            BIT STRING (SIZE (2)) OPTIONAL, -- Need OP
                                                                                  -- Need OP
               systemInformation
                                            SI-OrPSI-GERAN
                                                                       OPTIONAL
           },
}
SI-OrPSI-GERAN ::=
                                 CHOICE {
                                     SystemInfoListGERAN,
   si
   psi
                                     SystemInfoListGERAN
E-CSFB-r9 ::=
                                 SEQUENCE {
                                OCTET STRING
   messageContCDMA2000-1XRTT-r9
                                                   OPTIONAL, -- Need ON
   mobilityCDMA2000-HRPD-r9
                                     ENUMERATED {
                                      handover, redirection
   messageContCDMA2000-HRPD-r9 OCTET STRING OPTIONAL,
                                                                   -- Need OP
                                                                  -- Cond concHO
                                                        OPTIONAL,
   redirectCarrierCDMA2000-HRPD-r9 CarrierFreqCDMA2000 OPTIONAL
                                                                  -- Cond concRedir
-- ASN1STOP
```

### MobilityFromEUTRACommand field descriptions

#### bandIndicator

Indicates how to interpret the ARFCN of the BCCH carrier.

#### carrierFreq

contains the carrier frequency of the target GERAN cell.

### cs-FallbackIndicator

Value true indicates that the CS fallback procedure to UTRAN or GERAN is triggered.

#### messageContCDMA2000-1XRTT

This field contains a message specified in CDMA2000 1xRTT standard that either tells the UE to move to specific 1xRTT target cell(s) or indicates a failure to allocate resources for the enhanced CS fallback to CDMA2000 1xRTT.

### messageContCDMA2000-HRPD

This field contains a message specified in CDMA2000 HRPD standard that either tells the UE to move to specific HRPD target cell(s) or indicates a failure to allocate resources for the handover to CDMA2000 HRPD.

# mobilityCDMA2000-HRPD

This field indicates whether or not mobility to CDMA2000 HRPD is to be performed by the UE and it also indicates the type of mobility to CDMA2000 HRPD that is to be performed; If this field is not present the UE shall perform only the enhanced CS fallback to CDMA2000 1xRTT.

### nas-SecurityParamFromEUTRA

If the *targetRAT-Type* is set to "*eutra*" and the source CN is 5GC, this field is used to deliver the key synchronisation and key freshness for the Key freshness for the 5GS to EPS handovers as specified in TS 33.501 [86] and the content of the parameter is defined in TS 24.501 [95]. Otherwise, this field is used to deliver the key synchronisation and Key freshness for the E-UTRAN to UTRAN handovers as specified in TS 33.401 [32] and the content of the parameter is defined in TS24.301 [35].

### networkControlOrder

Parameter NETWORK\_CONTROL\_ORDER in TS 44.060 [36].

#### purpose

Indicates which type of mobility procedure the UE is requested to perform. EUTRAN always applies value *e-CSFB* in case of enhanced CS fallback to CDMA2000 (e.g. also when that procedure results in handover to CDMA2000 1XRTT only, in handover to CDMA2000 HRPD only or in redirection to CDMA2000 HRPD only),

### redirectCarrierCDMA2000-HRPD

The *redirectCarrierCDMA2000-HRPD* indicates a CDMA2000 carrier frequency and is used to redirect the UE to a HRPD carrier frequency.

#### smtc

The SSB periodicity/offset/duration configuration of target cell for inter-RAT handover to NR. It is based on timing reference of EUTRA PCell. If the field is absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message.

### SystemInfoListGERAN

If purpose = CellChangeOrder and if the field is not present, the UE has to acquire SI/PSI from the GERAN cell.

## t304

Timer T304 as described in clause 7.3. Value ms100 corresponds with 100 ms, ms200 corresponds with 200 ms and so on. EUTRAN includes extended value *ms10000-v1310* only when UE supports CE.

# targetRAT-Type

Indicates the target RAT type.

# targetRAT-MessageContainer

The field contains a message specified in another standard, as indicated by the *targetRAT-Type*, and carries information about the target cell identifier(s) and radio parameters relevant for the target radio access technology. NOTE 1.

A complete message is included, as specified in the other standard.

Conditional presence	Explanation
concHO	The field is mandatory present if the mobilityCDMA2000-HRPD is set to "handover";
	otherwise the field is optional present, need ON.
concRedir	The field is mandatory present if the mobilityCDMA2000-HRPD is set to "redirection";
	otherwise the field is not present.
GERAN	The field should be present if the <i>purpose</i> is set to "handover" and the targetRAT-Type is
	set to "geran"; otherwise the field is not present
PSH0	The field is mandatory present in case of PS handover toward GERAN; otherwise the
	field is optionally present, but not used by the UE
UTRAGERANEPC	The field is mandatory present if the targetRAT-Type is set to "utra" or "geran" or if the
	targetRAT-Type is set to "eutra" and the source CN is 5GC; otherwise the field is not
	present

NOTE 1: The correspondence between the value of the *targetRAT-Type*, the standard to apply and the message contained within the *targetRAT-MessageContainer* is shown in the table below:

targetRAT-Type	Standard to apply	targetRAT-MessageContainer
cdma2000-	C.S0001 or later, C.S0007 or later, C.S0008 or	
1XRTT	later	
cdma2000-HRPD	C.S0024 or later	
eutra	TS 36.331 (clause 5.4.2)	RRCConnectionReconfiguration
geran	GSM TS 04.18, version 8.5.0 or later, or TS 44.018 (clause 9.1.15)	HANDOVER COMMAND
	TS 44.060, version 6.13.0 or later (clause 11.2.43)	PS HANDOVER COMMAND
	TS 44.060, version 7.6.0 or later (clause 11.2.46)	DTM HANDOVER COMMAND
nr	TS 38.331 (clause 6.2.2)	RRCReconfiguration
utra	TS 25.331 (clause 10.2.16a)	HANDOVER TO UTRAN COMMAND

# – Paging

The *Paging* message is used for the notification of one or more UEs.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: PCCH

Direction: E-UTRAN to UE

# Paging message

```
-- ASN1START
   pagingRecordList
systemInfor
Paging ::=
    pagingRecordList PagingRecordList systemInfoModification ENUMERATED {true} etws-Indication ENUMERATED {true} nonCriticalExtension Paging-v890-IEs
                                                                             OPTIONAL,
                                                                                           -- Need ON
                                                                           OPTIONAL,
                                                                                           -- Need ON
                                                                             OPTIONAL,
    nonCriticalExtension
                                                                                           -- Need ON
                                                                             OPTIONAL
}
Paging-v890-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING
                                                                             OPTIONAL,
    nonCriticalExtension
                                      Paging-v920-IEs
                                                                              OPTIONAL
Paging-v920-IEs ::= SEQUENCE {
  cmas-Indication-r9 ENUMERATED {true}
  nonCriticalExtension Paging-v1130-IEs
                                                                             OPTIONAL,
                                                                                           -- Need ON
                                                                              OPTIONAL
Paging-v1130-IEs ::=
                                 SEQUENCE {
    eab-ParamModification-r11 ENUMERATED {true} nonCriticalExtension Paging-v1310-IEs
                                                                             OPTIONAL,
                                                                                           -- Need ON
                                                                             OPTIONAL
Paging-v1310-IEs ::=
                                  SEQUENCE {
   redistributionIndication-r13 ENUMERATED {true}
                                                                            OPTIONAL,
                                                                                           -- Need ON
                                                                             OPTIONAL,
    systemInfoModification-eDRX-r13 ENUMERATED {true}
                                                                                           -- Need ON
    nonCriticalExtension
                                      Paging-v1530-IEs
                                                                             OPTIONAL
Paging-v1530-IEs ::=
                                SEQUENCE {
    nonCriticalExtension SEQUENCE {}
                                                                             OPTIONAL,
   accessType
                                                                                           -- Need ON
                                                                              OPTIONAL
PagingRecordList ::=
                                     SEQUENCE (SIZE (1..maxPageRec)) OF PagingRecord
PagingRecord ::=
                                       SEQUENCE {
                                          PagingUE-Identity,
   ue-Identity
                                           ENUMERATED {ps, cs},
    cn-Domain
```

# Paging field descriptions

### accessType

It indicates whether Paging is originated due to the PDU sessions from the non-3GPP access when E-UTRA is connected to 5GC.

#### cmas-Indication

If present: indication of a CMAS notification.

### cn-Domain

Indicates the origin of paging.

### eab-ParamModification

If present: indication of an EAB parameters (SIB14) modification.

#### etws-Indication

If present: indication of an ETWS primary notification and/ or ETWS secondary notification.

#### imsi

The International Mobile Subscriber Identity, a globally unique permanent subscriber identity, see TS 23.003 [27]. The first element contains the first IMSI digit, the second element contains the second IMSI digit and so on.

### redistributionIndication

If present: indication to trigger E-UTRAN inter-frequency redistribution procedure as specified in TS 36.304 [4], clause 5.2.4.10.

### systemInfoModification

If present: indication of a BCCH modification other than SIB10, SIB11, SIB12 and SIB14. This indication does not apply to UEs using eDRX cycle longer than the BCCH modification period.

# systemInfoModification-eDRX

If present: indication of a BCCH modification other than SIB10, SIB11, SIB12 and SIB14. This indication applies only to UEs using eDRX cycle longer than the BCCH modification period.

### ue-Identity

Provides the NAS identity of the UE that is being paged. The IMSI is not applicable for E-UTRA/5GC.

# ProximityIndication

The *ProximityIndication* message is used to indicate that the UE is entering or leaving the proximity of one or more CSG member cell(s).

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# ProximityIndication message

```
ProximityIndication-r9-IEs ::= SEQUENCE {
    type-r9
                                         ENUMERATED {entering, leaving},
    carrierFreq-r9
                                         CHOICE {
        eutra-r9
                                             ARFCN-ValueEUTRA,
        utra-r9
                                              ARFCN-ValueUTRA,
        eutra2-v9e0
                                             ARFCN-ValueEUTRA-v9e0
    nonCriticalExtension
                                       ProximityIndication-v930-IEs
    OPTIONAL
}
ProximityIndication-v930-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
nonCriticalExtension SEQUENCE {}
                                                                             OPTIONAL,
    nonCriticalExtension
                                         SEQUENCE {}
                                                                               OPTIONAL
-- ASN1STOP
```

# ProximityIndication field descriptions

# carrierFreq

Indicates the RAT and frequency of the CSG member cell(s), for which the proximity indication is sent. For E-UTRA and UTRA frequencies, the UE shall set the ARFCN according to a band it previously considered suitable for accessing (one of) the CSG member cell(s), for which the proximity indication is sent.

#### type

Used to indicate whether the UE is entering or leaving the proximity of CSG member cell(s).

# RNReconfiguration

The RNReconfiguration is a command to modify the RN subframe configuration and/or to convey changed system information.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to RN

# **RNReconfiguration message**

```
-- ASN1START
RNReconfiguration-r10 ::= SEQUENCE {
   rrc-TransactionIdentifier RRC-TransactionIdentifier,
criticalExtensions CHOICE {
    C1 CHOICE {
            CHOICE {
rnReconfiguration-r10
RNReconfiguration-r10-IEs,
        c1
             spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture
                                         SEQUENCE {}
}
                                     SEQUENCE {
                                     SEQUENCE {
   RN-SystemInfo-r10 OPTIONAL,
   RN-SubframeConfig-r10 OPTIONAL,
   OPTIONAL,
RNReconfiguration-r10-IEs ::=
   rn-SystemInfo-r10
rn-SubframeConfig-r10
lateNonCriticalExtension
   rn-SystemInfo-r10
                                                                                           -- Need ON
                                                                                           -- Need ON
                                       OCTET STRING
                                                                             OPTIONAL,
    nonCriticalExtension
                                          SEQUENCE {}
                                                                              OPTIONAL
}
                        SEQUENCE {
RN-SystemInfo-r10 ::=
   systemInformationBlockType1-r10 OCTET STRING (CONTAINING SystemInformationBlockType1)
    OPTIONAL, -- Need ON
    systemInformationBlockType2-r10 SystemInformationBlockType2
                                                                            OPTIONAL, -- Need ON
-- ASN1STOP
```

# RNReconfigurationComplete

The RNReconfigurationComplete message is used to confirm the successful completion of an RN reconfiguration.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: RN to E-UTRAN

# RNReconfigurationComplete message

```
-- ASN1START
                                                                                                                                                                                                                         SEQUENCE {
RNReconfigurationComplete-r10 ::=
                      rrc-TransactionIdentifier
                                                                                                                                                                                                                                            RRC-TransactionIdentifier,
                      criticalExtensions
                                                                                                                                                                                                                                             CHOICE {
                                                               rnReconfigurationComplete-r10 CHOICE {
spare3 NULL Transfer of the complete of
                                                                                                                                                                                                                                                                                          RNReconfigurationComplete-r10-IEs,
                                                                 spare3 NULL, spare2 NULL, spare1 NULL
                                            criticalExtensionsFuture
                                                                                                                                                                                                                                                                    SEQUENCE {}
}
RNReconfigurationComplete-r10-IEs ::= SEQUENCE {
                      lateNonCriticalExtension
                                                                                                                                                                                                                                           OCTET STRING
                                                                                                                                                                                                                                                                                                                                                                                                    OPTIONAL,
                                                                                                                                                                                                                                             SEQUENCE {}
                                                                                                                                                                                                                                                                                                                                                                                                      OPTIONAL
                      nonCriticalExtension
-- ASN1STOP
```

# RRCConnectionReconfiguration

The RRCConnectionReconfiguration message is the command to modify an RRC connection. It may convey information for measurement configuration, mobility control, radio resource configuration (including RBs, MAC main configuration and physical channel configuration) including any associated dedicated NAS information and security configuration.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# RRCConnectionReconfiguration message

```
-- ASN1START
RRCConnectionReconfiguration ::=
                                    SEQUENCE {
    rrc-TransactionIdentifier
                                       RRC-TransactionIdentifier,
    criticalExtensions
                                        CHOICE {
                                            CHOICE {
                                                RRCConnectionReconfiguration-r8-IEs,
            rrcConnectionReconfiguration-r8
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
        \verb|criticalExtensionsFuture| \\
                                            SEQUENCE {}
RRCConnectionReconfiguration-r8-IEs ::= SEQUENCE {
                                        MeasConfig
                                                                        OPTIONAL,
    measConfig
                                                                                     -- Need ON
    mobilityControlInfo
                                        MobilityControlInfo
                                                                        OPTIONAL,
                                                                                     -- Cond HO
    dedicatedInfoNASList
                                        SEQUENCE (SIZE(1..maxDRB)) OF
                                            DedicatedInfoNAS
                                                                        OPTIONAL, -- Cond nonHO
```

```
radioResourceConfigDedicated RadioResourceConfigDedicated OPTIONAL, -- Cond HO-toEUTRA
                                                                                  -- Cond HO-toEPC
    securityConfigHO
                                        SecurityConfigHO
                                                                        OPTIONAL,
   nonCriticalExtension
                                       RRCConnectionReconfiguration-v890-IEs OPTIONAL
}
RRCConnectionReconfiguration-v890-IEs ::= SEQUENCE {
   lateNonCriticalExtension
                                      OCTET STRING (CONTAINING RRCConnectionReconfiguration-v8m0-
IEs) OPTIONAL,
   nonCriticalExtension
                                       RRCConnectionReconfiguration-v920-IEs OPTIONAL
-- Late non-critical extensions:
RRCConnectionReconfiguration-v8m0-IEs ::= SEQUENCE {
    -- Following field is only for pre REL-10 late non-critical extensions
    lateNonCriticalExtension OCTET STRING
   nonCriticalExtension
                                       RRCConnectionReconfiguration-v10i0-IEs OPTIONAL
}
RRCConnectionReconfiguration-v10i0-IEs ::= SEQUENCE {
    antennaInfoDedicatedPCell-v10i0 AntennaInfoDedicated-v10i0
                                                                   OPTIONAL,
                                                                                -- Need ON
                                       RRCConnectionReconfiguration-v1010-IEs
    nonCriticalExtension
                                                                                   OPTIONAL
RRCConnectionReconfiguration-v1010-IEs ::= SEQUENCE {
                                   MobilityControlInfo-v1010 OPTIONAL,
SCellToAddModList-v1010 OPTIONAL, -- Need ON
   mobilityControlInfo-v1010
sCellToAddModList-v1010
    -- Following field is only for late non-critical extensions from REL-10 to REL-11
    lateNonCriticalExtension
                                       OCTET STRING
                                       RRCConnectionReconfiguration-v12f0-IEs OPTIONAL
   nonCriticalExtension
}
RRCConnectionReconfiguration-v12f0-IEs ::= SEQUENCE {
   scg-Configuration-v12f0 SCG-Configuration-v12f0 OPTIONAL,
                                                                           -- Cond nonFullConfig
    -- Following field is only for late non-critical extensions from REL-12
                                                                        OPTIONAL,
    lateNonCriticalExtension OCTET STRING
    nonCriticalExtension
                                       RRCConnectionReconfiguration-v1370-IEs
                                                                                    OPTIONAL
}
RRCConnectionReconfiguration-v1370-IEs ::= SEQUENCE {
    radioResourceConfigDedicated-v1370 RadioResourceConfigDedicated-v1370 OPTIONAL, -- Need ON
    sCellToAddModListExt-v1370 SCellToAddModListExt-v1370 OPTIONAL, -- Need ON
                                           RRCConnectionReconfiguration-v13c0-IEs OPTIONAL
   nonCriticalExtension
}
RRCConnectionReconfiguration-v13c0-IEs ::= SEQUENCE {
   radioResourceConfigDedicated-v13c0 RadioResourceConfigDedicated-v13c0 OPTIONAL, -- Need ON
                                       SCellToAddModList-v13c0 OPTIONAL, -- Need ON
    sCellToAddModList-v13c0
   sCellToAddModList-v13c0SCellToAddModList-v13c0OPTIONAL,sCellToAddModListExt-v13c0SCellToAddModListExt-v13c0OPTIONAL,scg-Configuration-v13c0SCG-Configuration-v13c0OPTIONAL,
                                                                                -- Need ON
                                                                               -- Need ON
    -- Following field is only for late non-critical extensions from REL-13 onwards
                                           SEQUENCE {}
   nonCriticalExtension
                                                                       OPTIONAL
}
-- Regular non-critical extensions:
RRCConnectionReconfiguration-v920-IEs ::= SEQUENCE {
                                        ENUMERATED {true}
                                                                               -- Need ON
    otherConfig-r9
                                       OtherConfig-r9
                                                                   OPTIONAL,
                                                                                -- Cond HO-Reestab
    fullConfig-r9
                                                                   OPTIONAL,
                                        RRCConnectionReconfiguration-v1020-IEs OPTIONAL
   nonCriticalExtension
}
RRCConnectionReconfiguration-v1020-IEs ::= SEQUENCE {
   SCellToReleaseList-r10 SCellToReleaseList-r10 OPTIONAL, SCellToAddModList-r10 SCellToAddModList-r10 O
                                                                              -- Need ON
                                                                           OPTIONAL, -- Need ON
   nonCriticalExtension
                                       RRCConnectionReconfiguration-v1130-IEs OPTIONAL
}
RRCConnectionReconfiguration-v1130-IEs ::= SEQUENCE {
   systemInformationBlockTypelDedicated-r11 OCTET STRING (CONTAINING
SystemInformationBlockType1)
   OPTIONAL, -- Need ON
   nonCriticalExtension
                                       RRCConnectionReconfiguration-v1250-IEs OPTIONAL
RRCConnectionReconfiguration-v1250-IEs ::= SEQUENCE {
    wlan-OffloadInfo-r12
                                        CHOICE {
       release
                                                SECUENCE {
        setup
           wlan-OffloadConfigDedicated-r12
                                               WLAN-OffloadConfig-r12,
```

```
t350-r12
                                                            ENUMERATED {min5, min10, min20, min30, min60,
                                                       min120, min180, spare1} OPTIONAL -- Need OR
         }
                                                                                   OPTIONAL,
                                                                                                      -- Need ON
                                             SCG-Configuration-r12
    scg-Configuration-r12
                                                                             OPTIONAL, -- Cond
nonFullConfig
                                             SL-SyncTxControl-r12
SL-DiscConfig-r12
SL-CommConfig-r12
    sl-SyncTxControl-r12
                                                                                   OPTIONAL.
                                                                                                 -- Need ON
                                                                                   OPTIONAL, -- Need ON
    sl-DiscConfig-r12
    sl-CommConfig-r12
    nonCriticalExtension
                                              RRCConnectionReconfiguration-v1310-IEs OPTIONAL
}
RRCConnectionReconfiguration-v1310-IEs ::= SEQUENCE {
    sCellToReleaseListExt-r13 SCellToReleaseListExt-r13 OPTIONAL, sCellToAddModListExt-r13 SCellToAddModListExt-r13 OPTIONAL, lwa-Configuration-r13 LWA-Configuration-r13 OPTIONAL,
    sCellToReleaseListExt-ris
sCellToAddModListExt-ris
lwa-Configuration-ris
lwip-Configuration-ris
rclwi-Configuration-ris
                                                                                                 -- Need ON
                                                                                                  -- Need ON
                                                                                                 -- Need ON
                                             RCLWI-Configuration-r13 OPTIONAL,
                                            LWIP-Configuration-r13
                                                                                                 -- Need ON
                                                                                                 -- Need ON
    nonCriticalExtension
                                             RRCConnectionReconfiguration-v1430-IEs
    OPTIONAL
}
RRCConnectionReconfiguration-v1430-IEs ::= SEQUENCE {
    sl-V2X-ConfigDedicated-r14 SL-V2X-ConfigDedicated-r14 sCellToAddModListExt-v1430 SCellToAddModListExt-v1430 perCC-GapIndicationRequest-r14 ENUMERATED{true}
                                                                              OPTIONAL, -- Need ON
                                                                              OPTIONAL,
                                                                                            -- Need ON
                                                                                OPTIONAL, -- Need ON
    systemInformationBlockType2Dedicated-r14 OCTET STRING (CONTAINING
                                                                                   OPTIONAL, -- Cond nonHO
SystemInformationBlockType2)
                                        RRCConnectionReconfiguration-v1510-IES OPTIONAL
    nonCriticalExtension
}
RRCConnectionReconfiguration-v1510-IEs ::= SEQUENCE {
                       CHOICE {
    nr-Config-r15
         release
                                             NULL.
         setup
                                              SEQUENCE {
             endc-ReleaseAndAdd-r15 BOOLEAN,
                                                                                   OPTIONAL,
             nr-SecondaryCellGroupConfig-r15 OCTET STRING
                                                                                                 -- Need ON
             p-MaxEUTRA-r15
                                                                                   OPTIONAL
                                                  P-Max
                                                                                                 -- Need ON
                                                                                   OPTIONAL,
                                                                                                 -- Need ON
                                         INTEGER (0..65535)
    sk-Counter-r15
                                                                                   OPTIONAL,
                                                                                                  -- Need ON
    nr-RadioBearerConfig1-r15 OCTET STRING
nr-RadioBearerConfig2-r15 OCTET STRING
tdm-PatternConfig-r15 TDM-PatternConfig-r
                                                                                OPTIONAL,
                                                                                                 -- Need ON
                                                                                   OPTIONAL,
                                        OCTET STRING

TDM-PatternConfig-r15

OPTIONAL, -- Cond FDD-PCell

DDCCorporationReconfiguration-v1530-IES

OPTIONAL
                                                                                                 -- Need ON
    tdm-PatternConfig-r15
    nonCriticalExtension
                                        RRCConnectionReconfiguration-v1530-IEs
}
RRCConnectionReconfiguration-v1530-IEs ::= SEQUENCE {
    securityConfigHO-v1530 SecurityConfigHO-v1530 OPTIONAL, sCellGroupToReleaseList-r15 SCellGroupToReleaseList-r15 OPTIONAL, sCellGroupToAddModList-r15 SCellGroupToAddModList-r15 OPTIONAL, dedicatedInfoNASList-r15 SEQUENCE (SIZE(1..maxDRB-r15)) OF
                                                                                                 -- Cond HO-5GC
                                                                                                 -- Need ON
                                                                                                  -- Need ON
                                                  DedicatedInfoNAS
                                                                                   OPTIONAL,
                                                                                                  -- Cond nonHO
    p-MaxUE-FR1-r15
                                         P-Max
                                                                                   OPTIONAL.
                                                                                                  -- Need OR
                                         MTC-SSB-NR-r15
                                                                                   OPTIONAL,
                                                                                                  -- Need OP
    smtc-r15
                                                                                   OPTIONAL
    nonCriticalExtension
                                         SEQUENCE {}
}
    networkControlledSyncTx-r12 SEQUENCE {
SL-SyncTxControl-r12 ::=
                                         ENUMERATED {on, off} OPTIONAL
                                                                                                 -- Need OP
PSCellToAddMod-r12 ::=
                                         SEQUENCE {
    sCellIndex-r12
                                             SCellIndex-r10,
    cellIdentification-r12
                                              SEOUENCE {
         physCellId-r12
                                                 PhysCellId,
         dl-CarrierFreq-r12
                                                   ARFCN-ValueEUTRA-r9
                                                                                   OPTIONAL,
                                                                                                 -- Cond SCellAdd
    radioResourceConfigCommonPSCell-r12 RadioResourceConfigCommonPSCell-r12 OPTIONAL, -- Cond
SCellAdd
    radioResourceConfigDedicatedPSCell-r12 RadioResourceConfigDedicatedPSCell-r12 OPTIONAL,
Cond SCellAdd2
    [[ antennaInfoDedicatedPSCell-v1280
                                                       AntennaInfoDedicated-v10i0 OPTIONAL
                                                                                                      -- Need ON
    [[ sCellIndex-r13
                                            SCellIndex-r13 OPTIONAL
                                                                                   -- Need ON
    ]],
```

```
[[ radioResourceConfigDedicatedPSCell-v1370 RadioResourceConfigDedicatedPSCell-v1370
   OPTIONAL
             -- Need ON
   ]],
   [[ radioResourceConfigDedicatedPSCell-v13c0 RadioResourceConfigDedicatedPSCell-v13c0
   OPTIONAL -- Need ON
   11
}
PSCellToAddMod-v12f0 ::=
                                      SEQUENCE {
   radioResourceConfigCommonPSCell-r12 RadioResourceConfigCommonPSCell-v12f0
PSCellToAddMod-v1440 ::=
                                      SEQUENCE {
   radioResourceConfigCommonPSCell-r14
                                       RadioResourceConfigCommonPSCell-v1440 OPTIONAL
PowerCoordinationInfo-r12 ::= SEQUENCE {
   p-MeNB-r12
                                      INTEGER (1..16),
   p-SeNB-r12
                                      INTEGER (1..16),
   powerControlMode-r12
                                      INTEGER (1..2)
}
SCellToAddModList-r10 ::=
                             SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellToAddMod-r10
SCellToAddModList-v1010 ::=
                              SEOUENCE (SIZE (1..maxSCell-r10)) OF SCellToAddMod-v1010
SCellToAddModList-v13c0 ::=
                              SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellToAddMod-v13c0
SCellToAddModListExt-r13 ::=
                              SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddModExt-r13
SCellToAddModListExt-v1370 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddModExt-v1370
SCellToAddModListExt-v13c0 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddMod-v13c0
SCellToAddModListExt-v1430 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddModExt-v1430
SCellGroupToAddModList-r15 ::= SEQUENCE (SIZE (1..maxSCellGroups-r15)) OF SCellGroupToAddMod-r15
                              SEQUENCE {
SCellToAddMod-r10 ::=
   sCellIndex-r10
                                      SCellIndex-r10,
   cellIdentification-r10
                                      SEQUENCE {
       physCellId-r10
                                          PhysCellId.
       dl-CarrierFreq-r10
                                          ARFCN-ValueEUTRA
                                                                 OPTIONAL, -- Cond SCellAdd
   radioResourceConfigCommonSCell-r10
                                        RadioResourceConfigCommonSCell-r10 OPTIONAL, -- Cond
SCellAdd
   radioResourceConfigDedicatedSCell-r10 RadioResourceConfigDedicatedSCell-r10 OPTIONAL,
Cond SCellAdd2
   [[ dl-CarrierFreq-v1090
                                          ARFCN-ValueEUTRA-v9e0 OPTIONAL -- Cond EARFCN-max
   ]],
   [[ antennaInfoDedicatedSCell-v10i0
                                          AntennaInfoDedicated-v10i0 OPTIONAL
                                                                                 -- Need ON
   ]],
   [[ srs-SwitchFromServCellIndex-r14
                                          INTEGER (0..31) OPTIONAL
                                                                   -- Need ON
   [[ sCellState-r15
                                          ENUMERATED {activated, dormant} OPTIONAL -- Need ON
   ]]
}
SCellToAddMod-v1010 ::=
                              SEOUENCE {
   radioResourceConfigCommonSCell-v1010
                                              RadioResourceConfigCommonSCell-v1010
                                                                                    OPTIONAL
SCellToAddMod-v13c0 ::=
                              SEQUENCE {
  radioResourceConfigDedicatedSCell-v13c0 RadioResourceConfigDedicatedSCell-v13c0 OPTIONAL
SCellToAddModExt-r13 ::=
                                  SEQUENCE {
   sCellIndex-r13
                                      SCellIndex-r13,
   cellIdentification-r13
                                      SEQUENCE {
       physCellId-r13
                                         PhysCellId,
       dl-CarrierFreq-r13
                                          ARFCN-ValueEUTRA-r9
                                                                 OPTIONAL,
                                                                             -- Cond SCellAdd
                                        RadioResourceConfigCommonSCell-r10 OPTIONAL, -- Cond
   radioResourceConfigCommonSCell-r13
SCellAdd
   radioResourceConfigDedicatedSCell-r13 RadioResourceConfigDedicatedSCell-r10 OPTIONAL,
Cond SCellAdd2
   antennaInfoDedicatedSCell-r13 AntennaInfoDedicated-v10i0 OPTIONAL -- Need ON
```

```
}
                                 SEQUENCE {
SCellToAddModExt-v1370 ::=
   radioResourceConfigCommonSCell-v1370
                                             RadioResourceConfigCommonSCell-v1010 OPTIONAL
SCellToAddModExt-v1430 ::=
                                 SEQUENCE {
                                   INTEGER (0..31) OPTIONAL, -- Need ON
   srs-SwitchFromServCellIndex-r14
       sCellState-r15
                                      ENUMERATED {activated, dormant} OPTIONAL
   ]]
}
SCellGroupToAddMod-r15 ::=
                                 SEQUENCE {
   sCellGroupIndex-r15
                                     SCellGroupIndex-r15,
   sCellConfigCommon-r15
                                      SCellConfigCommon-r15
                                                                    OPTIONAL,
                                                                                -- Need ON
                                                                    OPTIONAL,
   sCellToReleaseList-r15
                                     SCellToReleaseListExt-r13
                                                                                -- Need ON
   sCellToAddModList-r15
                                      SCellToAddModListExt-r13
                                                                    OPTIONAL
                                                                                -- Need ON
}
SCellToReleaseList-r10 ::=
                                 SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellIndex-r10
SCellToReleaseListExt-r13 ::=
                                     SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellIndex-r13
SCellGroupToReleaseList-r15 ::=
                                    SEQUENCE (SIZE (1..maxSCellGroups-r15)) OF SCellGroupIndex-
r15
SCellGroupIndex-r15 ::= INTEGER (1..maxSCellGroups-r15)
SCellConfigCommon-r15 ::= SEQUENCE {
   radioResourceConfigCommonSCell-r15
                                        RadioResourceConfigCommonSCell-r10 OPTIONAL, -- Need
   radioResourceConfigDedicatedSCell-r15 RadioResourceConfigDedicatedSCell-r10 OPTIONAL, -- Need
ON
   antennaInfoDedicatedSCell-r15
                                         AntennaInfoDedicated-v10i0
                                                                       OPTIONAL -- Need ON
}
SCG-Configuration-r12 ::=
                                 CHOICE {
                                     NULL,
   release
                                      SEQUENCE {
   setup
                                      SEQUENCE {
       scg-ConfigPartMCG-r12
                                        INTEGER (0..65535)
                                                                        OPTIONAL.
                                                                                    -- Need ON
           scg-Counter-r12
           powerCoordinationInfo-r12
                                             PowerCoordinationInfo-r12 OPTIONAL, -- Need ON
                                                                     OPTIONAL,
                                                                                -- Need ON
       scg-ConfigPartSCG-r12
                                        SCG-ConfigPartSCG-r12
                                                                    OPTIONAL
                                                                                -- Need ON
}
SCG-Configuration-v12f0 ::=
                                  CHOICE {
   release
                                      NULL,
   setup
                                      SEOUENCE {
       scg-ConfigPartSCG-v12f0
                                         SCG-ConfigPartSCG-v12f0 OPTIONAL
                                                                                -- Need ON
}
SCG-Configuration-v13c0 ::=
                                  CHOICE {
   release
                                    NULL,
   setup
                                      SEQUENCE {
                                         SCG-ConfigPartSCG-v13c0 OPTIONAL -- Need ON
       scg-ConfigPartSCG-v13c0
                                  SEQUENCE {
SCG-ConfigPartSCG-r12 ::=
   radioResourceConfigDedicatedSCG-r12\ RadioResourceConfigDedicatedSCG-r12\ OPTIONAL,
                                                                                    -- Need ON
   sCellToReleaseListSCG-r12 SCellToReleaseList-r10 OPTIONAL, -- Need ON
                                                                OPTIONAL,
                                                                            -- Need ON
   pSCellToAddMod-r12
                                     PSCellToAddMod-r12
                                     SCellToAddModList-r10
   sCellToAddModListSCG-r12
                                                                OPTIONAL,
                                                                            -- Need ON
   sCellToAddModListSCG-r12 SCellToAddModList-r10 OPTIONAL, mobilityControlInfoSCG-r12 MobilityControlInfoSCG-r12 OPTIONAL,
                                                                           -- Need ON
   sCellToReleaseListSCG-Ext-r13
                                         SCellToReleaseListExt-r13
                                                                        OPTIONAL,
                                                                                    -- Need ON
   sCellToAddModListSCG-Ext-r13
                                         SCellToAddModListExt-r13
                                                                                    -- Need ON
                                                                        OPTIONAL
   ]],
   [[
   sCellToAddModListSCG-Ext-v1370 SCellToAddModListExt-v1370 OPTIONAL -- Need ON
    ]],
   [ [
```

```
pSCellToAddMod-v1440 PSCellToAddMod-v1440
                                                                    OPTIONAL -- Need ON
    11,
    [[ sCellGroupToReleaseListSCG-r15 SCellGroupToReleaseList-r15 OPTIONAL, -- Need ON
       sCellGroupToAddModListSCG-r15 SCellGroupToAddModList-r15 OPTIONAL
                                                                                 -- Need ON
    [[ -- NE-DC addition for setup/ modification and release SN configured measurements
                                                                         OPTIONAL,
       measConfigSN-r15
                                        MeasConfig
        -- NE-DC additions concerning DRBs/ SRBs are within RadioResourceConfigDedicatedSCG
        tdm-PatternConfigNE-DC-r15 TDM-PatternConfig-r15
                                                                        OPTIONAL
PSCell
   ]],
    [[ p-MaxEUTRA-r15
                                       P-Max
                                                                         OPTIONAL -- Need ON
    11
}
SCG-ConfigPartSCG-v12f0 ::= SEQUENCE {
                                    PSCellToAddMod-v12f0 OPTIONAL,
SCellToAddModList-v1010 OPTIONAL
    pSCellToAddMod-v12f0
                                                                                 -- Need ON
    sCellToAddModListSCG-v12f0
                                                                                 -- Need ON
}
   SCELLTOAddModListSCG-v13c0 SCELLTOAddModListSCG-v13c0 SCELLTOAddModListSCG-v13c0
SCG-ConfigPartSCG-v13c0 ::=
    sCellToAddModListSCG-v13c0 SCellToAddModList-v13c0 OPTIONAL, sCellToAddModListSCG-Ext-v13c0 SCellToAddModListExt-v13c0 OPTIONAL
                                                                                 -- Need ON
                                                                                 -- Need ON
                                    SEQUENCE {
SecurityConfigHO ::=
   handoverType
                                       CHOICE {
                                           SEQUENCE {
       intraLTE
                                                SecurityAlgorithmConfig OPTIONAL, -- Cond
           securityAlgorithmConfig
fullConfig
            keyChangeIndicator
                                                BOOLEAN.
           nextHopChainingCount
                                               NextHopChainingCount
        interRAT
                                           SEQUENCE {
           erRAT
securityAlgorithmConfig
nas-SecurityParamToEUTRA
                                            SecurityAlgorithmConfig,
                                                OCTET STRING (SIZE(6))
    },
SecurityConfigHO-v1530 ::=
                                SEQUENCE {
   handoverType-v1530
                               CHOICE {
                                             SEQUENCE {
       intra5GC-r15
           securityAlgorithmConfig-r15
                                                SecurityAlgorithmConfig OPTIONAL, -- Cond HO-
toEUTRA
           keyChangeIndicator-r15
                                                BOOLEAN.
           nextHopChainingCount-r15
                                                NextHopChainingCount,
            nas-Container-r15
                                                OCTET STRING OPTIONAL
                                                                             -- Need ON
                                           SEQUENCE {
        fivegc-ToEPC-r15
           securityAlgorithmConfig-r15 SecurityAlgorithmConfig, nextHopChainingCount-r15 NextHopChainingCount
                             SEQUENCE {
        epc-To5GC-r15
            securityAlgorithmConfig-r15 SecurityAlgorithmConfig,
            nas-Container-r15
                                            OCTET STRING
    },
}
TDM-PatternConfig-r15 ::=
                                    NULL.
   release
                                    SEQUENCE {
    setup
                                    SubframeAssignment-r15,
        subframeAssignment-r15
        harq-Offset-r15
                                        INTEGER (0..9)
}
-- ASN1STOP
```

### RRCConnectionReconfiguration field descriptions

#### dedicatedInfoNASList

This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for each PDU in the list. If *dedicatedInfoNASList-r15* is present, UE shall ignore the *dedicatedInfoNASList* (without suffix).

# endc-ReleaseAndAdd

A one-shot field indicating whether the UE simultaneously releases and adds all the NR SCG related configuration within *nr-Config*, i.e. the configuration set by the NR *RRCReconfiguration* message (e.g. *secondaryCellGroup*, *SRB3* and *measConfig*).

### fullConfig

Indicates the full configuration option is applicable for the RRC Connection Reconfiguration message for intra-system intra-RAT handover. For inter-RAT handover from NR to E-UTRA, *fullConfig* indicates whether or not delta signalling of SDAP/PDCP from source RAT is applicable. This field is absent when the *RRCConnectionReconfiguration* message is generated by the E-UTRA SCG.

### harq-Offset

Indicates a HARQ subframe offset that is applied to the subframes designated as UL in the associated subrame assignment, see TS 36.213 [23].

# keyChangeIndicator

If UE is connected to EPC, true is used only in an intra-cell handover when a K<sub>eNB</sub> key is derived from a K<sub>ASME</sub> key taken into use through the latest successful NAS SMC procedure, as described in TS 33.401 [32] for K<sub>eNB</sub> re-keying. false is used in an intra-LTE handover when the new K<sub>eNB</sub> key is obtained from the current K<sub>eNB</sub> key or from the NH as described in TS 33.401 [32].

If UE is connected to 5GC, with keyChangeIndicator-r15, true is used in an intra-cell handover when a  $K_{\text{eNB}}$  key is derived from a  $K_{\text{AMF}}$  key taken into use through the latest successful NAS SMC procedure, as described in TS 33.501 [86] for  $K_{\text{eNB}}$  re-keying.

False is used for intra-system handover when the new K<sub>eNB</sub> key is obtained from the current K<sub>eNB</sub> key or from the NH as described in TS 33.501 [86]. True is also used in NG based handover procedure with K<sub>AMF</sub> change, when a K<sub>eNB</sub> key is derived from the new K<sub>AMF</sub> key as described in TS 33.501 [86].

### **Iwa-Configuration**

This field is used to provide parameters for LWA configuration. E-UTRAN does not simultaneously configure LWA with DC, LWIP or RCLWI for a UE.

### **Iwip-Configuration**

This field is used to provide parameters for LWIP configuration. E-UTRAN does not simultaneously configure LWIP with DC, LWA or RCLWI for a UE.

# measConfig

Measurements that E-UTRAN may configure when the UE is not configured with NE-DC.

### measConfigSN

Measurements that E-UTRAN may configure when the UE is configured with NE-DC and for which reports are carried within an NR RRC message.

### nas-Container

This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this field, although, if included, it affects activation of AS- security after handover within E-UTRA/5GC. The content is defined in TS 24.501 [95]. In case of NG based handover, the content of nas-Container is. the Intra N1 mode NAS transparent container IE. In case of inter-system handover to from 5GS to EPS, the content of NAS-Container is. the S1 mode to N1 mode NAS transparent container IE.

# nas-securityParamToEUTRA

This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this field, although, if included, it affects activation of AS- security after inter-RAT handover to E-UTRA/EPC or inter-system handover to E-UTRA/EPC. The content is defined in TS 24.301 [35]. This field is not used for handover from 5GC.

### networkControlledSyncTx

This field indicates whether the UE shall transmit synchronisation information (i.e. become synchronisation source). Value *On* indicates the UE to transmit synchronisation information while value *Off* indicates the UE to not transmit such information.

### nextHopChainingCount

Parameter NCC: See TS 33.401 [32] if UE is connected to EPC, else see 33.501 [86] if UE is connected to 5GC.

### nr-Config

Includes the NR related configurations. This field is used to configure (NG)EN-DC configuration, possibly in conjunction with fields *sk-Counter* and *nr-RadioBearerConfig1/2*. NOTE 1.

# nr-RadioBearerConfig1, nr-RadioBearerConfig2

Includes the NR *RadioBearerConfig* IE as specified in TS 38.331 [82]. The field includes the configuration of RBs configured with NR PDCP.

# nr-SecondaryCellGroupConfig

Includes the NR *RRCReconfiguration* message as specified in TS 38.331 [82]. In this version of the specification, the NR RRC message only includes fields *secondaryCellGroup* and/ or *measConfig*. If *nr-SecondaryCellGroupConfig* is configured, the network always includes this field upon MN handover to initiate an NR SCG reconfiguration with sync and key change.

### RRCConnectionReconfiguration field descriptions

### perCC-GapIndicationRequest

Indicates that UE shall include perCC-GapIndicationList and numFreqEffective in the

RRCConnectionReconfigurationComplete message. numFreqEffectiveReduced may also be included if frequencies are configured for reduced measurement performance.

### p-MaxEUTRA

Indicates the maximum power available for LTE.

# p-MaxUE-FR1

The maximum total transmit power to be used by the UE across all serving cells in frequency range 1 (FR1) across all cell groups. The maximum transmit power that the UE may use may be additionally limited on cell- or cell-group level. The field is optionally present, if (NG)EN-DC (nr-Config-r15) has been configured. It is absent otherwise.

#### p-MeNB

Indicates the guaranteed power for the MeNB, as specified in TS 36.213 [23]. The value N corresponds to N-1 in TS 36.213 [23].

### powerControlMode

Indicates the power control mode used in DC. Value 1 corresponds to DC power control mode 1 and value 2 indicates DC power control mode 2, as specified in TS 36.213 [23].

## p-SeNB

Indicates the guaranteed power for the SeNB as specified in TS 36.213 [23], Table 5.1.4.2-1. The value N corresponds to N-1 in TS 36.213 [23].

# rclwi-Configuration

WLAN traffic steering command as specified in 5.6.16.2. E-UTRAN does not simultaneously configure RCLWI with DC, LWA or LWIP for a UE.

### sCellConfigCommon

Indicates the common configuration for the SCell group.

### sCellGroupIndex

Indicates the identity of SCell groups for which a common configuration is provided.

#### sCellIndex

The sCellIndex is unique within the scope of the UE. In case of DC, an SCG cell can not use the same value as used for an MCG cell. For pSCellToAddMod, if sCellIndex-r13 is present the UE shall ignore sCellIndex-r12.

# sCellGroupToAddModList, sCellGroupToAddModListSCG

Indicates the SCell group to be added or modified. E-UTRAN only configures at most 4 SCell groups per UE over all cell groups. SCell groups can only be configured for LTE SCells, and all SCells in an SCell group must belong to the same cell group.

## sCellGroupToReleaseList

Indicates the SCell group to be released.

### sCellState

A one-shot field that indicates whether the SCell shall be considered to be in activated or dormant state upon SCell configuration.

# sCellToAddModList, sCellToAddModListExt

Indicates the SCell to be added or modified. E-UTRAN uses field sCellToAddModList-r10 to add or modify SCells (with sCellIndex-r10) for a UE that does not support carrier aggregation with more than 5 component carriers. If E-UTRAN includes sCellToAddModListExt-v1430 it includes the same number of entries, and listed in the same order, as in sCellToAddModListExt-v13. If E-UTRAN includes sCellToAddModList-v100 it includes the same number of entries, and listed in the same order, as in sCellToAddModList-v100. If E-UTRAN includes sCellToAddModListExt-v1370 it includes the same number of entries, and listed in the same order, as in sCellToAddModListExt-v130. If E-UTRAN includes sCellToAddModListExt-v130 it includes the same number of entries, and listed in the same order, as in sCellToAddModListExt-v130.

# sCellToAddModListSCG, sCellToAddModListSCG-Ext

Indicates the SCG cell to be added or modified. The field is used for SCG cells other than the PSCell (which is added/modified by field pSCellToAddMod). E-UTRAN uses field sCellToAddModListSCG-r12 to add or modify SCells (with sCellIndex-r10) for a UE that does not support carrier aggregation with more than 5 component carriers. If E-UTRAN includes sCellToAddModListSCG-v10l0 it includes the same number of entries, and listed in the same order, as in sCellToAddModListSCG-r12. If E-UTRAN includes sCellToAddModListSCG-Ext-v1370 it includes the same number of entries, and listed in the same order, as in sCellToAddModListSCG-Ext-r13. If E-UTRAN includes sCellToAddModListSCG-Ext-v13c0 it includes the same number of entries, and listed in the same order, as in sCellToAddModListSCG-Ext-v13c0 it includes the same number of entries, and listed in the same order, as in sCellToAddModListSCG-Ext-r13.

# sCellToReleaseList, sCellToReleaseListExt

Indicates the SCell to be released. E-UTRAN uses field *sCellToReleaseList-r10* to release SCells for a UE that does not support carrier aggregation with more than 5 component carriers.

# sCellToReleaseListSCG, sCellToReleaseListSCG-Ext

Indicates the SCG cell to be released. The field is also used to release the PSCell e.g. upon change of PSCell, upon system information change for the PSCell. E-UTRAN uses field *sCellToReleaseListSCG-r12* to release SCells for a UE that does not support carrier aggregation with more than 5 component carriers.

# scg-Configuration

Covers the SCG configuration as used in case of DC and NE-DC. When the UE is configured with NE-DC, E-UTRAN neither applies value release nor configures *scg-ConfigPartMCG*.

### RRCConnectionReconfiguration field descriptions

# scg-Counter

A counter used upon initial configuration of SCG security as well as upon refresh of S-K<sub>eNB</sub>. E-UTRAN includes the field upon SCG change when one or more SCG DRBs are configured. Otherwise E-UTRAN does not include the field.

### securityConfiaHO

This field contains the parameters required to update the security keys at handover. If E-UTRAN includes the securityConfigHO (i.e., without suffix), the choice intraLTE is used for handover within E-UTRA/EPC while the choice interRAT is used for handover from GERAN or UTRAN to E-UTRA/EPC. If E-UTRAN includes the securityConfigHO-v1530 (i.e., with suffix), the choice intra5GC is used for handover from NR or E-UTRA/5GC to E-UTRA/5GC while the choice fivegc-ToEPC is used for inter-system handover from NR or E-UTRA/5GC.

### sk-Counter

A one-shot counter used upon initial configuration of  $S-K_{gNB}$  as well as upon refresh of  $S-K_{gNB}$ . E-UTRAN always provides this field either upon initial configuration of an NR SCG, or upon configuration of the first (SN terminated) RB using  $S-K_{gNB}$ , whichever happens first.

### sI-V2X-ConfigDedicated

Indicates sidelink configuration for non-P2X related V2X sidelink communication as well as P2X related V2X sidelink communication.

#### smtc

The SSB periodicity/offset/duration configuration of target cell for NR PSCell addition and SN change. It is based on timing reference of EUTRA PCell. NOTE 2.

If the field is absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message.

### srs-SwitchFromServCellIndex

Indicates the serving cell whose UL transmission may be interrupted during SRS transmission on a PUSCH-less cell. During SRS transmission on a PUSCH-less cell, the UE may temporarily suspend the UL transmission on a serving cell with PUSCH in the same CG to allow the PUSCH-less cell to transmit SRS. The PUSCH-less cell is always a TDD cell but the serving cell with PUSCH may be either a FDD or TDD cell.

### subframeAssignment

Indicates DL/UL subframe configuration where sa0 points to Configuration 0, sa1 to Configuration 1 etc. as specified in TS 36.211 [21], table 4.2-2.

### systemInformationBlockType1Dedicated

This field is used to transfer SystemInformationBlockType1 or SystemInformationBlockType1-BR to the UE.

### systemInformationBlockType2Dedicated

This field is used to transfer BR version of *SystemInformationBlockType2* to BL UEs or UEs in CE or *SystemInformationBlockType2* to non-BL UEs.

### t350

Timer T350 as described in clause 7.3. Value minN corresponds to N minutes.

### tdm-PatternConfig

UL/DL reference configuration indicating the time during which a UE configured with (NG)EN-DC is allowed to transmit. This field is used when power control or IMD issues require single UL transmission as specified in TS 38.101-3 [101] and TS 38.213 [88].

### tdm-PatternConfigNE-DC

UL/DL reference configuration indicating the time during which a UE configured with NE-DC is allowed to transmit. This field is used when power control or IMD issues require single UL transmission as specified in TS 38.101-3 [101] and TS 38.213 [88].

Conditional presence	Explanation
EARFCN-max	The field is mandatory present if <i>dl-CarrierFreq-r10</i> is included and set to <i>maxEARFCN</i> .
	Otherwise the field is not present.
FDD-PCell	This field is optionally present, need ON, for a FDD PCell if there is no SCell with
	configured uplink. Otherwise, the field is not present.
FDD-PSCell	This field is optionally present, need ON, for a FDD PSCell if there is no SCell with
	configured uplink. Otherwise, the field is not present.
fullConfig	This field is mandatory present for handover within E-UTRA when the fullConfig is
	included; otherwise it is optionally present, Need OP.
HO	The field is mandatory present in case of handover within E-UTRA or to E-UTRA;
	otherwise the field is not present.
HO-Reestab	The field is mandatory present in case of inter-system handover within E-UTRA or
	handover from NR to E-UTRA/EPC; it is optionally present, need ON, in case of intra-
	system handover within E-UTRA or upon the first reconfiguration after RRC connection
	re-establishment; or for intra-system handover from NR to E-UTRA, otherwise the field is
	not present.
HO-5GC	The field is mandatory present in case of handover within E-UTRA/5GC, handover to E-
	UTRA/5GC, handover from NR to E-UTRA/EPC, or handover from E-UTRA/5GC to E-
	UTRA/EPC, otherwise the field is not present.
HO-toEPC	The field is mandatory present in case of handover within E-UTRA/EPC or to E-
	UTRA/EPC, except handover from NR or E-UTRA/5GC, otherwise the field is not present.
HO-toEUTRA	The field is mandatory present in case of handover to E-UTRA or for reconfigurations
	when fullConfig is included; otherwise the field is optionally present, need ON.
nonFullConfig	The field is not present when the <i>fullConfig</i> is included or in case of handover to E-UTRA;
	otherwise it is optional present, need ON.
nonHO	The field is not present in case of handover within E-UTRA or to E-UTRA; otherwise it is
	optional present, need ON.
SCellAdd	The field is mandatory present upon SCell addition; otherwise it is not present.
SCellAdd2	The field is mandatory present upon SCell addition; otherwise it is optionally present,
	need ON.

NOTE 1: Fields *sk-Counter* and *nr-RadioBearerConfig1*/2 are placed outside *nr-Config*, as these may be configured while the UE is not configured with (NG)EN-DC.

NOTE 2: It is not specified whether the timing reference for the SMTC configuration is the source EUTRA PCell or the target EUTRA PCell in case the NR PSCell addition or SN change takes place simultaneously with handover. As a consequence, explicit SMTC configuration is only supported when the source EUTRA PCell and the target EUTRA PCell of the handover are SFN/subframe-synchronized.

# RRCConnectionReconfigurationComplete

The *RRCConnectionReconfigurationComplete* message is used to confirm the successful completion of an RRC connection reconfiguration.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### RRCConnectionReconfigurationComplete message

```
}
RRCConnectionReconfigurationComplete-v8a0-IEs ::= SEQUENCE {
     lateNonCriticalExtension OCTET STRING
                                                                                           OPTIONAL,
     nonCriticalExtension
                                                RRCConnectionReconfigurationComplete-v1020-IEs OPTIONAL
}
rlf-InfoAvailable-r10 ENUMERATED {true} logMeasAvailable-r10 ENUMERATED {true}
                                                                                       OPTIONAL,
    logMeasAvailable-r10
nonCriticalExtension
                                                RRCConnectionReconfigurationComplete-v1130-IEs OPTIONAL
RRCConnectionReconfigurationComplete-v1130-IEs ::= SEQUENCE {
     connEstFailInfoAvailable-r11 ENUMERATED {true}
                                                RRCConnectionReconfigurationComplete-v1250-IEs OPTIONAL
     nonCriticalExtension
RRCConnectionReconfigurationComplete-v1250-IEs ::= SEQUENCE {
    logMeasAvailableMBSFN-r12 ENUMERATED {true} nonCriticalExtension RRCConnectionRecon
                                                                                       OPTIONAL,
                                               RRCConnectionReconfigurationComplete-v1430-IEs
         OPTIONAL
RRCConnectionReconfigurationComplete-v1430-IEs ::= SEQUENCE {
    perCC-GapIndicationList-r14 PerCC-GapIndicationList-r14 OPTIONAL,
numFreqEffective-r14 INTEGER (1..12) OPTIONAL,
numFreqEffectiveReduced-r14 INTEGER (1..12) OPTIONAL,
nonCriticalExtension RRCConnectionReconfigurationComplete-v1510-IEs
         OPTIONAL
RRCConnectionReconfigurationComplete-v1510-IEs ::= SEQUENCE {
    scg-ConfigResponseNR-r15 OCTET STRING nonCriticalExtension RRCConnection
                                                                                       OPTIONAL.
                                               RRCConnectionReconfigurationComplete-v1530-IEs
         OPTIONAL
}
{\tt RRCConnectionReconfigurationComplete-v1530-IEs} \ ::= \ {\tt SEQUENCE} \ \big\{
    logMeasAvailableBT-r15 ENUMERATED {true}
logMeasAvailableWLAN-r15 ENUMERATED {true}
flightPathInfoAvailable-r15 ENUMERATED {true}
nonCriticalExtension SEQUENCE {}
                                                                                      OPTIONAL,
                                                                                       OPTIONAL.
                                                                                       OPTIONAL.
                                                                                       OPTIONAL
-- ASN1STOP
```

### RRCConnectionReconfigurationComplete field descriptions

# numFreqEffective

This field is used to indicate the number of effective frequencies that a UE measures in series according to TS 36.133 [16]. Simultaneous measurement in parallel on multiple frequencies can be equivalent to a single effective frequency. The frequencies configured for reduced measurement performance should not be included.

### numFreqEffectiveReduced

This field is used to indicate the number of effective frequencies that a UE measures in series according to TS 36.133 [16] for frequencies configured for reduced measurement performance. Simultaneous measurement in parallel on multiple frequencies can be equivalent to a single effective frequency.

### perCC-GapIndicationList

This field is used to indicate per CC measurement gap preference by the UE.

## scg-ConfigResponseNR

Includes the NR RRCReconfigurationComplete message as defined in TS 38.331 [82].

# RRCConnectionReestablishment

The RRCConnectionReestablishment message is used to re-establish SRB1.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

# RRCConnectionReestablishment message

```
-- ASN1START
                                       SEQUENCE {
RRCConnectionReestablishment ::=
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
                                           CHOICE {
    criticalExtensions
        c1
                                                CHOICE {
             rrcConnectionReestablishment-r8
                                                     RRCConnectionReestablishment-r8-IEs,
             spare7 NULL,
             spare6 NULL, spare5 NULL, spare4
                                                     NULL,
                                                    NULL
             spare3 NULL, spare2 NULL, spare1
        criticalExtensionsFuture
                                              SEQUENCE {}
    }
}
RRCConnectionReestablishment-r8-IEs ::= SEQUENCE {
    radioResourceConfigDedicated RadioResourceConfigDedicated, nextHopChainingCount NextHopChainingCount, nonCriticalExtension RRCConnectionReestablishment-
    nonCriticalExtension
                                          RRCConnectionReestablishment-v8a0-IEs OPTIONAL
RRCConnectionReestablishment-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension nonCriticalExtension
                                           OCTET STRING
                                                                                    OPTIONAL.
    nonCriticalExtension
                                           SEQUENCE {}
                                                                                    OPTIONAL
-- ASN1STOP
```

# RRCConnectionReestablishmentComplete

The RRCConnectionReestablishmentComplete message is used to confirm the successful completion of an RRC connection re-establishment.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# RRCConnectionReestablishmentComplete message

```
-- ASN1START
RRCConnectionReestablishmentComplete ::= SEQUENCE {
   rrc-TransactionIdentifier
                                       RRC-TransactionIdentifier,
                                       CHOICE {
    criticalExtensions
       rrcConnectionReestablishmentComplete-r8
                                           RRCConnectionReestablishmentComplete-r8-IEs,
       criticalExtensionsFuture
                                           SEQUENCE { }
}
RRCConnectionReestablishmentComplete-r8-IEs ::= SEQUENCE {
   nonCriticalExtension
                                     RRCConnectionReestablishmentComplete-v920-IEs OPTIONAL
{\tt RRCConnectionReestablishmentComplete-v920-IEs} \ ::= \ {\tt SEQUENCE} \ \{
    rlf-InfoAvailable-r9
                                       ENUMERATED {true}
                                                                       OPTIONAL,
    nonCriticalExtension
                                       RRCConnectionReestablishmentComplete-v8a0-IEs
                                                                                       OPTIONAL
```

```
RRCConnectionReestablishmentComplete-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING
                                                                                   OPTIONAL,
                                             RRCConnectionReestablishmentComplete-v1020-IEs OPTIONAL
    nonCriticalExtension
RRCConnectionReestablishmentComplete-v1020-IEs ::= SEQUENCE {
                              ENUMERATED {true}
                                                                                   OPTIONAL.
    logMeasAvailable-r10
    nonCriticalExtension
                                             RRCConnectionReestablishmentComplete-v1130-IEs OPTIONAL
RRCConnectionReestablishmentComplete-v1130-IEs ::= SEQUENCE {
    connEstFailInfoAvailable-r11 ENUMERATED {true} OPTIONAL, nonCriticalExtension RRCConnectionReestablishmentComplete-v1250-IEs OPTIONAL
    nonCriticalExtension
}
RRCConnectionReestablishmentComplete-v1250-IEs ::= SEQUENCE {
    logMeasAvailableMBSFN-r12 ENUMERATED {true} OPTIONAL, nonCriticalExtension RRCConnectionReestablishmentComplete-v1530-IEs OPTIONAL
    nonCriticalExtension
}
RRCConnectionReestablishmentComplete-v1530-IEs ::= SEQUENCE {
    logMeasAvailableBT-r15 ENUMERATED {true}
logMeasAvailableWLAN-r15 ENUMERATED {true}
flightPathInfoAvailable-r15 ENUMERATED {true}
nonCriticalExtension SEQUENCE {}
                                                                                   OPTIONAL,
                                                                                  OPTIONAL,
                                                                                   OPTIONAL.
                                                                                   OPTIONAL
-- ASN1STOP
```

### RRCConnectionReestablishmentComplete field descriptions

### rlf-InfoAvailable

This field is used to indicate the availability of radio link failure or handover failure related measurements

# RRCConnectionReestablishmentReject

The RRCConnectionReestablishmentReject message is used to indicate the rejection of an RRC connection reestablishment request.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

# RRCConnectionReestablishmentReject message

```
-- ASN1START
RRCConnectionReestablishmentReject ::= SEQUENCE {
   criticalExtensions
                                      CHOICE {
       rrcConnectionReestablishmentReject-r8
                                          RRCConnectionReestablishmentReject-r8-IEs,
       criticalExtensionsFuture
                                          SEQUENCE {}
   }
RRCConnectionReestablishmentReject-r8-IEs ::= SEQUENCE {
                                     RRCConnectionReestablishmentReject-v8a0-IEs OPTIONAL
   nonCriticalExtension
RRCConnectionReestablishmentReject-v8a0-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
                                                                          OPTIONAL.
   nonCriticalExtension
                                      SEQUENCE {}
                                                                          OPTIONAL
-- ASN1STOP
```

# RRCConnectionReestablishmentRequest

The RRCConnectionReestablishmentRequest message is used to request the reestablishment of an RRC connection.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

# RRCConnectionReestablishmentRequest message

```
-- ASN1START
RRCConnectionReestablishmentRequest ::= SEQUENCE {
                                        CHOICE {
    criticalExtensions
        {\tt rrcConnectionReestablishmentRequest-r8}
                                             RRCConnectionReestablishmentRequest-r8-IEs,
        criticalExtensionsFuture
                                             SEQUENCE { }
RRCConnectionReestablishmentRequest-r8-IEs ::= SEQUENCE {
   ue-Identity ReestabUE-Identity, reestablishmentCause ReestablishmentCause,
   ue-Identity
                                        BIT STRING (SIZE (2))
}
ReestabUE-Identity ::=
                                    SEQUENCE {
    c-RNTI
                                        C-RNTI,
    physCellId
                                         PhysCellId,
    shortMAC-I
                                         ShortMAC-I
ReestablishmentCause ::=
                                     ENUMERATED {
                                         reconfigurationFailure, handoverFailure,
                                         otherFailure, spare1
-- ASN1STOP
```

# RRCConnectionReestablishmentRequest field descriptions

### physCellId

The Physical Cell Identity of the PCell the UE was connected to prior to the failure.

# reestablishmentCause

Indicates the failure cause that triggered the re-establishment procedure. eNB is not expected to reject a RRCConnectionReestablishmentRequest due to unknown cause value being used by the UE.

### ue-Identity

UE identity included to retrieve UE context and to facilitate contention resolution by lower layers.

# – RRCConnectionReject

The RRCConnectionReject message is used to reject the RRC connection establishment or to reject the EDT procedure.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

## RRCConnectionReject message

```
-- ASN1START

RRCConnectionReject ::= SEQUENCE {
```

```
criticalExtensions
                                        CHOICE {
           rrcConnectionReject-r8

RD272 NW -
       c1
                                               RRCConnectionReject-r8-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
        criticalExtensionsFuture
                                           SEQUENCE { }
}
RRCConnectionReject-r8-IEs ::=
                                   SEQUENCE {
                                    INTEGER (1..16),
   waitTime
   nonCriticalExtension
                                       RRCConnectionReject-v8a0-IEs
                                                                          OPTIONAL
RRCConnectionReject-v8a0-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
                                                                            OPTIONAL,
   nonCriticalExtension
                                       RRCConnectionReject-v1020-IEs
                                                                            OPTIONAL
RRCConnectionReject-v1020-IEs ::= SEQUENCE {
                         INTEGER (1..1800)
                                                                            OPTIONAL,
    extendedWaitTime-r10
                                                                                        -- Need ON
                                       RRCConnectionReject-v1130-IEs OPTIONAL
   nonCriticalExtension
RRCConnectionReject-v1130-IEs ::= SEQUENCE {
   deprioritisationReq-rl1 SEQUENCE {
    deprioritisationType-rl1 ENUMERATED {frequency, e-utra},
    deprioritisationTimer-rl1 ENUMERATED {min5, min10, min15,
                                           ENUMERATED {min5, min10, min15, min30}
                                                                            OPTIONAL.
                                                                                         -- Need ON
    nonCriticalExtension
                                      RRCConnectionReject-v1320-IEs
    OPTIONAL
}
RRCConnectionReject-v1320-IEs ::= SEQUENCE {
   rrc-SuspendIndication-r13
                                                                            OPTIONAL,
                                           ENUMERATED {true}
                                                                                         -- Need ON
                                            SEQUENCE {}
    nonCriticalExtension
                                                                            OPTIONAL
}
-- ASN1STOP
```

# RRCConnectionReject field descriptions

### deprioritisationReq

Indicates whether the current frequency or RAT is to be de-prioritised. The UE shall be able to store a depriotisation request for up to 8 frequencies (applicable when receiving another frequency specific deprioritisation request before T325 expiry).

## deprioritisationTimer

Indicates the period for which either the current carrier frequency or E-UTRA is deprioritised. Value *minN* corresponds to N minutes.

# extendedWaitTime

Value in seconds for the wait time for Delay Tolerant access requests.

### rrc-SuspendIndication

If present, this field indicates that the UE should remain suspended and not release its stored context.

### waitTime

Wait time value in seconds.

# RRCConnectionRelease

The RRCConnectionRelease message is used to command the release of an RRC connection, or to complete an UP-EDT procedure.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# RRCConnectionRelease message

```
-- ASN1START
RRCConnectionRelease ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
                         c.1
                                                                                              RRCConnectionRelease-r8-IEs,
                                                                                       SEQUENCE {}
                 criticalExtensionsFuture
}
RRCConnectionRelease-r8-IEs ::= SEQUENCE {
        releaseCause ReleaseCause,
redirectedCarrierInfo RedirectedCarrierInfo OPTIONAL,
idleModeMobilityControlInfo IdleModeMobilityControlInfo OPTIONAL,
nonCriticalExtension RRCConnectionRelease-v890-IES OPTIONAL
                                                                                                                                                                                      -- Need OP
       nonCriticalExtension
}
RRCConnectionRelease-v890-IEs ::= SEQUENCE {
        lateNonCriticalExtension OCTET STRING (CONTAINING RRCConnectionRelease-v9e0-IEs)
        OPTIONAL,
        nonCriticalExtension
                                                                                 RRCConnectionRelease-v920-IEs
                                                                                                                                                           OPTIONAL
 -- Late non critical extensions
RRCConnectionRelease-v9e0-IEs ::= SEQUENCE {
        redirectedCarrierInfo-v9e0 RedirectedCarrierInfo-v9e0
                                                                                                                                                           OPTIONAL,
NoRedirect-r8
       idleModeMobilityControlInfo-v9e0 IdleModeMobilityControlInfo-v9e0 OPTIONAL,
                                                                                                                                                                                     -- Cond
IdleInfoEUTRA
        nonCriticalExtension
                                                                                   SEQUENCE {}
                                                                                                                                                              OPTIONAL
 -- Regular non critical extensions
RRCConnectionRelease-v920-IEs ::= SEQUENCE {
        cellInfoList-r9
                                                                                  CHOICE {
                                                                                     CellInfoListGERAN-r9,
               geran-r9
                utra-FDD-r9
                                                                                           CellInfoListUTRA-FDD-r9,
                utra-TDD-r9
                                                                                           CellInfoListUTRA-TDD-r9
                utra-TDD-r10
                                                                                       CellInfoListUTRA-TDD-r10
                                                                                                                                            OPTIONAL, -- Cond Redirection
        nonCriticalExtension
                                                                               RRCConnectionRelease-v1020-IEs
                                                                                                                                                         OPTIONAL
RRCConnectionRelease-v1020-IEs ::= SEQUENCE {
                                                                                 INTEGER (1..1800) OPTIONAL, -- Need ON
        extendedWaitTime-r10
        nonCriticalExtension
                                                                                  RRCConnectionRelease-v1320-IEs
                                                                                                                                                                               OPTIONAL
RRCConnectionRelease-v1320-IEs::= SEQUENCE {
                                                         ResumeIdentity-r13
        resumeIdentity-r13
                                                                                                                                                     OPTIONAL,
                                                                                                                                                                               -- Need OR
        nonCriticalExtension
                                                                                  RRCConnectionRelease-v1530-IEs OPTIONAL
}
        nextHopChainingCount-r15
measIdleConfig v15

Total ContinuerOHC-r15

Total Con
RRCConnectionRelease-v1530-IEs ::= SEQUENCE {
                                                                                 ENUMERATED {true} OPTIONAL, -- Cond UP-EDT
NextHopChainingCount OPTIONAL, -- Cond UP-EDT
       measIdleConfig-r15 MeasIdleConfigDedicated-r15 OPTIONAL, rrc-InactiveConfig-r15 RRC-InactiveConfig-r15 OPTIONAL, cn-Type-r15 ENUMERATED {epc,fivegc} OPTIONAL,
                                                                                                                                                                     -- Need ON
                                                                                                                                                                     -- Need OR
                                                                                                                                                                     -- Need OR
        nonCriticalExtension
                                                                                  RRCConnectionRelease-v1540-IEs
                                                                                                                                                                     OPTIONAL
RRCConnectionRelease-v1540-IEs ::= SEQUENCE {
```

```
waitTime
                                        INTEGER (1..16) OPTIONAL, -- Cond 5GC
                                        SEQUENCE {}
   nonCriticalExtension
                                                                 OPTIONAL
}
ReleaseCause ::=
                                ENUMERATED {loadBalancingTAUrequired,
                                            other, cs-FallbackHighPriority-v1020, rrc-Suspend-v1320}
RedirectedCarrierInfo ::=
                                    CHOICE {
    eutra
                                        ARFCN-ValueEUTRA,
    geran
                                        CarrierFreqsGERAN,
   utra-FDD
                                        ARFCN-ValueUTRA,
   utra-TDD
                                        ARFCN-ValueUTRA,
    cdma2000-HRPD
                                        CarrierFreqCDMA2000,
   cdma2000-1xRTT
                                       CarrierFreqCDMA2000,
   utra-TDD-r10
                                        CarrierFreqListUTRA-TDD-r10,
                                        CarrierInfoNR-r15
   nr-r15
RedirectedCarrierInfo-v9e0 ::=
                                      SEQUENCE {
                                            ARFCN-ValueEUTRA-v9e0
    eutra-v9e0
RRC-InactiveConfig-r15::=
                               SEQUENCE {
    fullT-RNTT-r15
                                    T-RNTT-r15.
                                    ShortI-RNTI-r15,
    shortI-RNTI-r15
   ran-PagingCycle-r15
                                   ENUMERATED {
                                                    rf32, rf64, rf128, rf256} OPTIONAL, --Need
   ran-NotificationAreaInfo-r15 RAN-NotificationAreaInfo-r15
                                                                        OPTIONAL.
                                                                                     --Need ON
   periodic-RNAU-timer-r15
                                  ENUMERATED {min5, min10, min20, min30, min60,
                                           min120, min360, min720} OPTIONAL,
                                                                                     --Need OR
                                  NextHopChainingCount
   nextHopChainingCount-r15
                                                               OPTIONAL, --Cond INACTIVE
                                    SEQUENCE { }
                                                    OPTIONAL
    dummy
}
RAN-NotificationAreaInfo-r15
                                ::= CHOICE {
   cellList-r15
                               PLMN-RAN-AreaCellList-r15,
    ran-AreaConfigList-r15
                              PLMN-RAN-AreaConfigList-r15
PLMN-RAN-AreaCellList-r15 ::= SEQUENCE (SIZE (1..maxPLMN-r15)) OF PLMN-RAN-AreaCell-r15
PLMN-RAN-AreaCell-r15 ::= SEQUENCE {
                                    PLMN-Identity OPTIONAL,
    plmn-Identity-r15
    ran-AreaCells-r15
                                    SEQUENCE (SIZE (1..32)) OF CellIdentity
PLMN-RAN-AreaConfigList-r15 ::= SEQUENCE (SIZE (1..maxPLMN-r15)) OF PLMN-RAN-AreaConfig-r15
PLMN-RAN-AreaConfig-r15 ::= SEQUENCE {
   plmn-Identity-r15
                               PLMN-Identity OPTIONAL,
                                SEQUENCE (SIZE (1..16)) OF RAN-AreaConfig-r15
    ran-Area-r15
}
RAN-AreaConfig-r15 ::= SEQUENCE {
   trackingAreaCode-5GC-r15 TrackingAreaCode-5GC-r15,
ran-AreaCodeList-r15 SEQUENCE (SIZE (1..32)) OF RAN-AreaCode-r15 OPTIONAL --Need OR
                                   SEQUENCE (SIZE (1..maxFregUTRA-TDD-r10)) OF ARFCN-ValueUTRA
CarrierFreqListUTRA-TDD-r10 ::=
IdleModeMobilityControlInfo ::=
                                   SEQUENCE {
                                    FreqPriorityListEUTRA
   freqPriorityListEUTRA
                                                                       OPTIONAL,
                                                                                         -- Need ON
                                        FreqsPriorityListGERAN
    {\tt freqPriorityListGERAN}
                                                                       OPTIONAL,
                                        FreqPriorityListUTRA-FDD OPTIONAL,
FreqPriorityListUTRA-TDD OPTIONAL,
BandClassPriorityListHRPD OPTIONAL,
BandClassPriorityList1XRTT OPTIONAL,
ENUMERATED {
                                                                                         -- Need ON
                                        FreqPriorityListUTRA-FDD
                                                                                         -- Need ON
    freqPriorityListUTRA-FDD
    freqPriorityListUTRA-TDD
                                       FreqPriorityListUTRA-TDD
                                                                                         -- Need ON
    bandClassPriorityListHRPD
                                                                                         -- Need ON
    bandClassPriorityList1XRTT
                                                                                         -- Need ON
    t.320
                                            min5, min10, min20, min30, min60, min120, min180,
                                            spare1}
                                                                         OPTIONAL,
    ...,
[[ freqPriorityListExtEUTRA-r12
                                           FreqPriorityListExtEUTRA-r12
                                                                                OPTIONAL
Need ON
                                     FreqPriorityListEUTRA-v1310
    [[ freqPriorityListEUTRA-v1310
                                                                                 OPTIONAL,
Need ON
```

```
freqPriorityListExtEUTRA-v1310 FreqPriorityListExtEUTRA-v1310 OPTIONAL
Need ON
   ]],
                                          FreqPriorityListNR-r15
   [ [
       freqPriorityListNR-r15
                                                                    OPTIONAL
                                                                                    -- Need ON
    ]]
}
IdleModeMobilityControlInfo-v9e0 ::= SEQUENCE {
   freqPriorityListEUTRA-v9e0
                                      SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA-v9e0
FregPriorityListEUTRA ::=
                                SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA
FreqPriorityListExtEUTRA-r12 ::=
                                      SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA-r12
                                      SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA-v1310
FreqPriorityListEUTRA-v1310 ::=
FreqPriorityListExtEUTRA-v1310 ::=
                                     SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA-v1310
                                   SEQUENCE {
FreqPriorityEUTRA ::=
                                      ARFCN-ValueEUTRA.
   carrierFreq
   cellReselectionPriority
                                      CellReselectionPriority
FreqPriorityEUTRA-v9e0 ::=
                                  SECTIENCE {
                                      ARFCN-ValueEUTRA-v9e0
                                                                OPTIONAL -- Cond EARFCN-max
   carrierFreq-v9e0
FreqPriorityEUTRA-r12 ::=
                                      SEQUENCE {
                                          ARFCN-ValueEUTRA-r9,
   carrierFreq-r12
   cellReselectionPriority-r12
                                           CellReselectionPriority
FreqPriorityEUTRA-v1310 ::=
                                      SEOUENCE {
   cellReselectionSubPriority-r13
                                              CellReselectionSubPriority-r13
                                                                                OPTIONAL
Need ON
                             SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityNR-r15
FreqPriorityListNR-r15 ::=
FreqPriorityNR-r15 ::=
                             SEQUENCE {
   cellReselectionPriority-r15 CellBesolectionPriority-r15
   carrierFreg-r15
                                       CellReselectionPriority,
                                      CellReselectionSubPriority-r13
   cellReselectionSubPriority-r15
                                                                          OPTIONAL
                                                                                         -- Need
OR
FreqsPriorityListGERAN ::=
                                   SEQUENCE (SIZE (1..maxGNFG)) OF FreqsPriorityGERAN
FreqsPriorityGERAN ::=
                                   SEQUENCE {
                                       CarrierFreqsGERAN,
   carrierFregs
   cellReselectionPriority
                                       CellReselectionPriority
FreqPriorityListUTRA-FDD ::=
                                  SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF FreqPriorityUTRA-FDD
FreqPriorityUTRA-FDD ::=
                                   SEQUENCE {
                                      ARFCN-ValueUTRA,
   carrierFreq
   cellReselectionPriority
                                       CellReselectionPriority
FreqPriorityListUTRA-TDD ::=
                                  SEQUENCE (SIZE (1..maxUTRA-TDD-Carrier)) OF FreqPriorityUTRA-TDD
                                   SEOUENCE {
FreqPriorityUTRA-TDD ::=
                                      ARFCN-ValueUTRA,
   carrierFreq
   cellReselectionPriority
                                       CellReselectionPriority
BandClassPriorityListHRPD ::=
                                  SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandClassPriorityHRPD
BandClassPriorityHRPD ::=
                                   SEOUENCE {
   bandClass
                                      BandclassCDMA2000,
   cellReselectionPriority
                                       CellReselectionPriority
BandClassPriorityList1XRTT ::= SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandClassPriority1XRTT
BandClassPriority1XRTT ::= SEQUENCE {
```

```
bandClass
                                       BandclassCDMA2000,
   cellReselectionPriority
                                        CellReselectionPriority
}
CellInfoListGERAN-r9 ::=
                               SEQUENCE (SIZE (1..maxCellInfoGERAN-r9)) OF CellInfoGERAN-r9
CellInfoGERAN-r9 ::=
                                     SEQUENCE {
                                        PhysCellIdGERAN,
   physCellId-r9
   carrierFreq-r9
                                        CarrierFreqGERAN,
   systemInformation-r9
                                         SystemInfoListGERAN
}
CarrierInfoNR-r15 ::= SEQUENCE {
    carrierFreq-r15
                                    ARFCN-ValueNR-r15,
    carrierFreq-r15 ARFUN-valuenk-115, subcarrierSpacingSSB-r15 ENUMERATED {kHz15, kHz30, kHz120, kHz240}, smtc-r15 MTC-SSB-NR-r15 OPTIONAL
    smtc-r15
                                                                                      -- Need OP
CellInfoListUTRA-FDD-r9 ::=
                                    SEQUENCE (SIZE (1..maxCellInfoUTRA-r9)) OF CellInfoUTRA-FDD-r9
CellInfoUTRA-FDD-r9 ::=
                                    SEQUENCE {
   physCellId-r9
                                        PhysCellIdUTRA-FDD,
    utra-BCCH-Container-r9
                                         OCTET STRING
                                    SEQUENCE (SIZE (1..maxCellInfoUTRA-r9)) OF CellInfoUTRA-TDD-r9
CellInfoListUTRA-TDD-r9 ::=
CellInfoUTRA-TDD-r9 ::=
                                    SEQUENCE {
                                        PhysCellIdUTRA-TDD,
   physCellId-r9
    utra-BCCH-Container-r9
                                        OCTET STRING
CellInfoListUTRA-TDD-r10 ::=
                                    SEQUENCE (SIZE (1..maxCellInfoUTRA-r9)) OF CellInfoUTRA-TDD-r10
                           SEQUENCE {
CellInfoUTRA-TDD-r10 ::=
                                     PhysCellIdUTRA-TDD,
ARFCN-ValueUTRA,
   physCellId-r10
    carrierFreq-r10
   carrierFreq-r10
utra-BCCH-Container-r10
                                        OCTET STRING
-- ASN1STOP
```

# RRCConnectionRelease field descriptions

## carrierFreq or bandClass

The carrier frequency (UTRA, E-UTRA, and NR) and band class (HRPD and 1xRTT) for which the associated cellReselectionPriority is applied. For NR, the *ARFCN-ValueNR* corresponds to a GSCN value as specified in TS 38.101 [85].

## carrierFreqs

The list of GERAN carrier frequencies organised into one group of GERAN carrier frequencies.

#### cellInfoList

Used to provide system information of one or more cells on the redirected inter-RAT carrier frequency. The system information can be used if, upon redirection, the UE selects an inter-RAT cell indicated by the *physCellId* and *carrierFreq* (GERAN and UTRA TDD) or by the *physCellId* (other RATs). The choice shall match the *redirectedCarrierInfo*. In particular, E-UTRAN only applies value *utra-TDD-r10* in case *redirectedCarrierInfo* is set to *utra-TDD-r10*.

#### cellList

Indicates a list of cells configured as RAN area. For each element, in the absence of *plmn-Identity* the UE considers the registered PLMN. Total number of cells across all PLMNs does not exceed 32.

#### cn-Type

The *cn-Type* is used to indicate that the UE is redirected from 5GC to EPC or 5GC when *redirectedCarrierInfo* indicates E-UTRA frequency.

#### drb-ContinueROHC

This field indicates whether to continue or reset the header compression protocol context for the DRBs configured with the header compression protocol. Presence of the field indicates that the header compression protocol context continues when UE initiates UP-EDT in the same cell, while absence indicates that the header compression protocol context is reset.

# dummy

This field is not used in the specification. If received it shall be ignored by the UE.

#### extendedWaitTime

Value in seconds for the wait time for Delay Tolerant access requests.

#### freaPrioritvListX

Provides a cell reselection priority for each frequency, by means of separate lists for each RAT (including E-UTRA). The UE shall be able to store at least 3 occurrences of *FreqsPriorityGERAN*. If E-UTRAN includes *freqPriorityListEUTRA-v9e0* and/or *freqPriorityListEUTRA-v1310* it includes the same number of entries, and listed in the same order, as in *freqPriorityListEUTRA* (i.e. without suffix). Field *freqPriorityListExt* includes additional neighbouring inter-frequencies, i.e. extending the size of the inter-frequency carrier list using the general principles specified in 5.1.2. EUTRAN only includes *freqPriorityListExtEUTRA* if *freqPriorityListEUTRA* (i.e. without suffix) includes *maxFreq* entries. If E-UTRAN includes *freqPriorityListExtEUTRA-v1310* it includes the same number of entries, and listed in the same order, as in *freqPriorityListExtEUTRA-r12*.

# idleModeMobilityControlInfo

Provides dedicated cell reselection priorities. Used for cell reselection as specified in TS 36.304 [4]. For E-UTRA and UTRA frequencies, a UE that supports multi-band cells for the concerned RAT considers the dedicated priorities to be common for all overlapping bands (i.e. regardless of the ARFCN that is used).

# measIdleConfig

Indicates a one-shot measurement configuration to be stored and used by the UE while in RRC\_IDLE or RRC\_INACTIVE.

# periodic-RNAU-timer

Refers to the timer that triggers the periodic RNAU procedure in UE. Value min5 corresponds to 5 minutes, value min10 corresponds to 10 minutes and so on.

#### ran-Area

Indicates whether TA code(s) or RAN area code(s) are used for the RAN notification area. The network uses only TA code(s) or RAN area code(s) to configure a UE. Total number of TACs across all PLMNs does not exceed 16. Total number of RAN-AreaCode across all PLMNs does not exceed 32.

# ran-NotificationAreaInfo

Network ensures that the UE in RRC\_INACTIVE always has a valid ran-NotificationAreaInfo.

# ranAreaConfigList

Indicates a list of RAN area codes or RA code(s) as RAN area. For each element, in the absence of *plmn-Identity* the UE considers the registered PLMN.

# ran-pagingCycle

Refers to the UE specific cycle for RAN-initiated paging. Value rf32 corresponds to 32 radio frames, rf64 corresponds to 64 radio frames and so on.

# redirectedCarrierInfo

The redirectedCarrierInfo indicates a carrier frequency (downlink for FDD) and is used to redirect the UE to an E-UTRA or an inter-RAT carrier frequency, by means of the cell selection upon leaving RRC\_CONNECTED as specified in TS 36.304 [4]. The value *geran* can only be included after successful security activation when UE is connected to 5GC.

### RRCConnectionRelease field descriptions

#### releaseCause

The releaseCause is used to indicate the reason for releasing the RRC Connection. The cause value cs-FallbackHighPriority is only applicable when redirectedCarrierInfo is present with the value set to utra-FDD, utra-TDD or utra-TDD-r10. E-UTRAN should not set the releaseCause to loadBalancingTAURequired or to cs-FallbackHighPriority if the extendedWaitTime is present. The network should not set the releaseCause to loadBalancingTAURequired if the UE is connected to 5GC.

#### rrc-InactiveConfig

Indicates configuration for the RRC\_INACTIVE state. The network does not configure this field when the UE is redirected to an inter-RAT carrier frequency.

#### smtc

The SSB periodicity/offset/duration configuration of the redirected target NR frequency. It is based on the timing reference of EUTRAN PCell. If the field is absent, the UE uses the SMTC configured in the *measObjectNR* having the same SSB frequency and subcarrier spacing

# subcarrierSpacingSSB

Indicate subcarrier spacing of SSB of redirected target NR frequency. Only the values 15 or 30 (<6GHz), 120 kHz or 240 kHz (>6GHz) are applicable.

#### systemInformation

Container for system information of the GERAN cell i.e. one or more System Information (SI) messages as defined in TS 44.018 [45], table 9.1.1.

#### t320

Timer T320 as described in clause 7.3. Value minN corresponds to N minutes.

#### utra-BCCH-Container

Contains System Information Container message as defined in TS 25.331 [19].

#### waitTime

Wait time value in seconds.

Conditional presence	Explanation
5GC	The field is optionally present, Need ON, if the UE is connected to 5GC; otherwise the
	field is not present.
EARFCN-max	The field is mandatory present if the corresponding carrierFreq (i.e. without suffix) is set to
	maxEARFCN. Otherwise the field is not present.
IdleInfoEUTRA	The field is optionally present, Need OP, if the IdleModeMobilityControlInfo (i.e. without
	suffix) is included and includes freqPriorityListEUTRA; otherwise the field is not present.
INACTIVE	The field is mandatory present in this release.
NoRedirect-r8	The field is optionally present, Need OP, if the redirectedCarrierInfo (i.e. without suffix) is
	not included; otherwise the field is not present.
Redirection	The field is optionally present, Need ON, if the redirectedCarrierInfo is included and set to
	geran, utra-FDD, utra-TDD or utra-TDD-r10; otherwise the field is not present.
UP-EDT	The field is optionally present, Need ON, if the UE supports UP-EDT and releaseCause is
	set to <i>rrc-Suspend</i> ; otherwise the field is not present.

# RRCConnectionRequest

The RRCConnectionRequest message is used to request the establishment of an RRC connection.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

# RRCConnectionRequest message

```
-- ASN1START

RRCConnectionRequest ::= SEQUENCE {
    criticalExtensions CHOICE {
        rrcConnectionRequest-r8 RRCConnectionRequest-r8-IEs,
        rrcConnectionRequest-r15 RRCConnectionRequest-5GC-r15-IEs
    }
}

RRCConnectionRequest-r8-IEs ::= SEQUENCE {
```

```
ue-Identity
                                        InitialUE-Identity,
    establishmentCause
                                        EstablishmentCause,
                                        BIT STRING (SIZE (1))
    spare
}
RRCConnectionRequest-5GC-r15-IEs ::= SEQUENCE {
                                             InitialUE-Identity-5GC,
    ue-Identity
    establishmentCause
                                             EstablishmentCause-5GC,
    spare
                                            BIT STRING (SIZE (1))
InitialUE-Identity ::=
                                   CHOICE {
    s-TMSI
                                        S-TMSI,
   randomValue
                                        BIT STRING (SIZE (40))
InitialUE-Identity-5GC ::=
   ng-5G-S-TMSI-Part1
   randomValue
                                  CHOICE {
                                    BIT STRING (SIZE (40)),
                                        BIT STRING (SIZE (40))
   randomValue
EstablishmentCause ::=
                                    ENUMERATED {
                                        emergency, highPriorityAccess, mt-Access, mo-Signalling,
                                        mo-Data, delayTolerantAccess-v1020, mo-VoiceCall-v1280,
spare1}
EstablishmentCause-5GC ::=
                                    ENUMERATED {
                                        emergency, highPriorityAccess, mt-Access, mo-Signalling,
                                        mo-Data, mo-VoiceCall, spare2, spare1}
-- ASN1STOP
```

# RRCConnectionRequest field descriptions

#### establishmentCause

Provides the establishment cause for the RRC connection request as provided by the upper layers. W.r.t. the cause value names: highPriorityAccess concerns AC11..AC15, 'mt' stands for 'Mobile Terminating' and 'mo' for 'Mobile Originating. eNB is not expected to reject a *RRCConnectionRequest* due to unknown cause value being used by the UE. The cause value of *delayTolerantAccess* is not used for E-UTRA/5GC in this release.

# random Value

Integer value in the range 0 to  $2^{40} - 1$ .

# ng-5G-S-TMSI-Part1

The rightmost 40 bits of 5G-S-TMSI.

#### ue-Identity

UE identity included to facilitate contention resolution by lower layers.

# RRCConnectionResume

The RRCConnectionResume message is used to resume the suspended RRC connection.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# RRCConnectionResume message

```
-- ASN1START
RRCConnectionResume-r13 ::=
                               SEQUENCE {
                               RRC-TransactionIdentifier,
   rrc-TransactionIdentifier
   criticalExtensions
                                   CHOICE {
                                       CHOICE {
           rrcConnectionResume-r13
                                           RRCConnectionResume-r13-IEs,
           spare3
                                           NULL,
           spare2
                                           NULL,
           spare1
                                           NULL
```

```
criticalExtensionsFuture
                                        SEQUENCE {}
}
RRCConnectionResume-r13-IEs ::=
                                   SEQUENCE {
   radioResourceConfigDedicated-r13 RadioResourceConfigDedicated
                                                                             OPTIONAL.
                                                                                         -- Need ON
   nextHopChainingCount-r13
                                            NextHopChainingCount,
                                                                             OPTIONAL,
                                                                                         -- Need ON
   measConfig-r13
                                            MeasConfig
                                          AntennaInfoDedicated-v10i0
    antennaInfoDedicatedPCell-r13
                                                                            OPTIONAL,
                                                                                         -- Need ON
                                            ENUMERATED {true}
    drb-ContinueROHC-r13
                                                                             OPTIONAL,
                                                                                         -- Need OP
    lateNonCriticalExtension
                                            OCTET STRING
                                                                             OPTIONAL,
    rrcConnectionResume-v1430-IEs
                                            RRCConnectionResume-v1430-IEs OPTIONAL
RRCConnectionResume-v1430-IEs ::= SEQUENCE {
                                   OtherConfig-r9 OPTIONAL,
RRCConnectionResume-v1510-IES OPTIONAL
                                                                         OPTIONAL,
   otherConfig-r14
                                                                                         -- Need ON
   rrcConnectionResume-v1510-IEs
RRCConnectionResume-v1510-IEs ::= SEQUENCE {
   nr-RadioBearerConfig1-r15 OCTET STRING
nr-RadioBearerConfig2-r15 OCTET STRING
nonCriticalExtension
                                                                       OPTIONAL,
                                                                                     -- Need ON
                                                                        OPTIONAL,
                                                                                     -- Need ON
                                                                         OPTIONAL,
                                                                                     -- Need ON
                                       RRCConnectionResume-v1530-IEs OPTIONAL
RRCConnectionResume-v1530-IEs ::= SEQUENCE {
                                       ENUMERATED {true}
    fullConfig-r15
                                                                         OPTIONAL,
                                                                                      -- Need ON
    nonCriticalExtension
                                        SEOUENCE {}
                                                                         OPTIONAL
-- ASN1STOP
```

# RRCConnectionResume field descriptions

#### drb-ContinueROHC

This field indicates whether to continue or reset the header compression protocol context for the DRBs configured with EUTRA PDCP and the header compression protocol. Presence of the field indicates that the header compression protocol context continues while absence indicates that the header compression protocol context is reset.

#### fullConfid

Indicates that the full configuration option is applicable for the RRCConnectionResume message.

# nr-RadioBearerConfig1, nr-RadioBearerConfig2

Includes the NR *RadioBearerConfig* IE as specified in TS 38.331 [82]. The field includes the configuration of RBs configured with NR PDCP.

#### sk-Counter

A one-shot counter used upon initial configuration of S- $K_{gNB}$  as well as upon refresh of S- $K_{gNB}$ . E-UTRAN provides this field when the UE is configured with an (SN-terminated) RB using S- $K_{gNB}$ .

# RRCConnectionResumeComplete

The *RRCConnectionResumeComplete* message is used to confirm the successful completion of an RRC connection resumption.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

#### RRCConnectionResumeComplete message

```
}
RRCConnectionResumeComplete-r13-IEs ::= SEQUENCE {
   selectedPLMN-Identity-r13 INTEGER (1..maxPLMN-r11)
                                                                                OPTIONAL,
   dedicatedInfoNAS-r13
                                       DedicatedInfoNAS
                                                                                OPTIONAL,
   rlf-InfoAvailable-r13
                                       ENUMERATED {true}
                                                                                OPTIONAL,
                                       ENUMERATED {true}
   logMeasAvailable-r13
                                                                                OPTIONAL.
   OPTIONAL,
                                                                                OPTIONAL,
   mobilityHistoryAvail-r13
                                       ENUMERATED
                                                  {true}
                                                                                OPTIONAL,
                                    ENUMERATED {true}
   logMeasAvailableMBSFN-r13
                                                                                OPTIONAL,
   lateNonCriticalExtension
                                       OCTET STRING
                                                                                OPTIONAL,
   nonCriticalExtension
                                       RRCConnectionResumeComplete-v1530-IEs
                                                                                OPTIONAL
RRCConnectionResumeComplete-v1530-IEs ::= SEQUENCE {
                                       ENUMERATED {true}
   logMeasAvailableBT-r15
                                                                    OPTIONAL,
   logMeasAvailableWLAN-r15
                                        ENUMERATED {true}
                                                                    OPTIONAL,
                                       ENUMERATED {true}
                                                                    OPTIONAL,
   idleMeasAvailable-r15
   idleMeasAvallable-r15
flightPathInfoAvailable-r15
                                       ENUMERATED {true}
                                                                    OPTIONAL,
   nonCriticalExtension
                                       SEQUENCE {}
                                                                     OPTIONAL
-- ASN1STOP
```

# RRCConnectionResumeComplete field descriptions

#### idleMeasAvailable

Indication that the UE has idle mode measurement report available.

# selectedPLMN-Identity

Index of the PLMN selected by the UE from the *plmn-IdentityList* fields included in SIB1. 1 if the 1st PLMN is selected from the 1st *plmn-IdentityList* included in SIB1, 2 if the 2nd PLMN is selected from the same *plmn-IdentityList*, or when no more PLMN are present within the same *plmn-IdentityList*, then the PLMN listed 1st in the subsequent *plmn-IdentityList* within the same SIB1 and so on. The *selectedPLMN-Identity* is referred to the PLMN list for 5GC if the UE is in RRC\_INACTIVE state.

# RRCConnectionResumeRequest

The *RRCConnectionResumeRequest* message is used to request the resumption of a suspended RRC connection or to perform UP-EDT.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

# RRCConnectionResumeRequest message

```
-- ASN1START
RRCConnectionResumeRequest-r13 ::= SEQUENCE {
    criticalExtensions
                                            CHOICE {
       rrcConnectionResumeRequest-r13
                                            RRCConnectionResumeRequest-r13-IEs,
       rrcConnectionResumeRequest-r15
                                                RRCConnectionResumeRequest-5GC-r15-IEs
}
RRCConnectionResumeRequest-r13-IEs ::=
                                           SEQUENCE {
   resumeIdentity-r13
                                                    CHOICE {
       resumeID-r13
                                                        ResumeIdentity-r13,
        truncatedResumeID-r13
                                                        BIT STRING (SIZE (24))
                                                    BIT STRING (SIZE (16)),
    shortResumeMAC-I-r13
    resumeCause-r13
                                                    ResumeCause,
                                                    BIT STRING (SIZE (1))
    spare
}
RRCConnectionResumeRequest-5GC-r15-IEs ::=
                                                SEQUENCE {
   resumeIdentity-r15
                                                    CHOICE {
```

```
fullI-RNTI-r15
                                                         I-RNTI-r15,
        shortI-RNTI-r15
                                                         ShortI-RNTI-r15
    shortResumeMAC-I-r15
                                                    BIT STRING (SIZE (16)),
    resumeCause-r15
                                                    ResumeCause-r15,
                                                    BIT STRING (SIZE (1))
    spare
ResumeCause ::=
                            ENUMERATED {
                                emergency, highPriorityAccess, mt-Access, mo-Signalling,
                                mo-Data, delayTolerantAccess-v1020, mo-VoiceCall-v1280, spare1
ResumeCause-r15 ::=
                            ENUMERATED {
                                emergency, highPriorityAccess, mt-Access, mo-Signalling,
                                mo-Data, rna-Update, mo-VoiceCall, spare1
-- ASN1STOP
```

# RRCConnectionResumeRequest field descriptions

### resumeCause

Provides the resume cause for the RRC connection resume request as provided by the upper layers. The network is not expected to reject a *RRCConnectionResumeRequest* due to unknown cause value being used by the UE.

# resumeldentity

UE identity to facilitate UE context retrieval at eNB

# shortResumeMAC-I

Authentication token to facilitate UE authentication at eNB

# RRCConnectionSetup

The RRCConnectionSetup message is used to establish SRB1.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

# RRCConnectionSetup message

```
-- ASN1START
RRCConnectionSetup ::=
                                  SEQUENCE {
   rrc-TransactionIdentifier
                                      RRC-TransactionIdentifier,
   criticalExtensions
                                      CHOICE {
                                          CHOICE {
       c1
           rrcConnectionSetup-r8
                                              RRCConnectionSetup-r8-IEs,
           spare7 NULL,
           spare6 NULL, spare5 NULL, spare4 NULL,
           spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                          SEQUENCE {}
   }
RRCConnectionSetup-r8-IEs ::=
                                 SEQUENCE {
                                 RadioResourceConfigDedicated,
   radioResourceConfigDedicated
   nonCriticalExtension
                                      RRCConnectionSetup-v8a0-IEs
                                                                         OPTIONAL
RRCConnectionSetup-v8a0-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
                                                                         OPTIONAL,
   nonCriticalExtension
                                      SEQUENCE {}
                                                                         OPTIONAL
-- ASN1STOP
```

# RRCConnectionSetupComplete

The *RRCConnectionSetupComplete* message is used to confirm the successful completion of an RRC connection establishment.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# RRCConnectionSetupComplete message

```
-- ASN1START
RRCConnectionSetupComplete ::=
                                                                           SEQUENCE {
        rrc-TransactionIdentifier
                                                                                  RRC-TransactionIdentifier,
        criticalExtensions
                                                                                           CHOICE {
                                                                                                 RRCConnectionSetupComplete-r8-IEs,
                         rrcConnectionSetupComplete-r8
                         spare3 NULL, spare2 NULL, spare1 NULL
                 criticalExtensionsFuture
                                                                                            SEQUENCE {}
}
RRCConnectionSetupComplete-r8-IEs ::= SEQUENCE {
       selectedPLMN-Identity
                                                                                  INTEGER (1..maxPLMN-r11),
        registeredMME
                                                                                   RegisteredMME
                                                                                                                                                               OPTIONAL.
        dedicatedInfoNAS
                                                                                    DedicatedInfoNAS,
        nonCriticalExtension
                                                                                   RRCConnectionSetupComplete-v8a0-IEs OPTIONAL
RRCConnectionSetupComplete-v8a0-IEs ::= SEQUENCE {
        lateNonCriticalExtension
                                                                                    OCTET STRING
                                                                                                                                                                        OPTIONAL,
        nonCriticalExtension
                                                                                   RRCConnectionSetupComplete-v1020-IEs
                                                                                                                                                                       OPTIONAL
RRCConnectionSetupComplete-v1020-IEs ::= SEQUENCE {
       gummei-Type-r10
       gummei-Type-r10
rlf-InfoAvailable-r10
logMeasAvailable-r10
rn-SubframeConfigReq-r10
rnorCriticalExtension
                                                                                  ENUMERATED {native, mapped}
                                                                                  ENUMERATED {true}
                                                                                                                                                                       OPTIONAL,
                                                                      ENUMERATED {true}
ENUMERATED {requi
                                                                                                                                                                       OPTIONAL,
                                                                                  ENUMERATED {required, notRequired}
                                                                                                                                                                       OPTIONAL.
        nonCriticalExtension
                                                                                  RRCConnectionSetupComplete-v1130-IEs OPTIONAL
{\tt RRCConnectionSetupComplete-v1130-IEs} \; ::= \; {\tt SEQUENCE} \; \left\{ \right. \\
        connEstFailInfoAvailable-rl1 ENUMERATED {true}
                                                                                                                                                       OPTIONAL,
                                                                                  RRCConnectionSetupComplete-v1250-IEs
        nonCriticalExtension
RRCConnectionSetupComplete-v1250-IEs ::= SEQUENCE {
       mobilityState-r12 ENUMERATED {normal, medium, high, spare}
mobilityHistoryAvail-r12 ENUMERATED {true}
logMeasAvailableMBSFN-r12 ENUMERATED {true}
nonCriticalExtension PROCorposticals and a continuous procession and a continuou
                                                                                                                                                                                OPTIONAL,
        nonCriticalExtension
                                                                                  RRCConnectionSetupComplete-v1320-IEs
                                                                                                                                                                                OPTIONAL
RRCConnectionSetupComplete-v1320-IEs ::= SEQUENCE {
                                                                                 ENUMERATED {supported}
                                                                                                                                                                                OPTIONAL,
        ce-ModeB-r13
        s-TMSI-r13
                                                                                    S-TMSI
                                                                                                                                                                                OPTIONAL,
        attachWithoutPDN-Connectivity-r13 ENUMERATED {true}
                                                                                                                                                                                OPTIONAL,
       up-CIoT-EPS-Optimisation-r13 ENUMERATED {true}
cp-CIoT-EPS-Optimisation-r13 ENUMERATED {true}
nonCriticalExtension RRCConnectionSetupComplete-v1330-IEs
                                                                                                                                                                                OPTIONAL,
                                                                                                                                                                                OPTTONAL.
                                                                                                                                                                                OPTIONAL
{\tt RRCConnectionSetupComplete-v1330-IEs} \; ::= \; {\tt SEQUENCE} \; \; \{
                                                                                   ENUMERATED {true}
        ue-CE-NeedULGaps-r13
                                                                                                                                                                                OPTIONAL,
        nonCriticalExtension
                                                                                   RRCConnectionSetupComplete-v1430-IEs
                                                                                                                                                                                OPTIONAL
RRCConnectionSetupComplete-v1430-IEs ::= SEQUENCE {
       dcn-ID-r14
                                                                                  INTEGER (0..65535)
                                                                                                                                                                                OPTIONAL,
```

```
nonCriticalExtension
                                   RRCConnectionSetupComplete-v1530-IEs OPTIONAL
{\tt RRCConnectionSetupComplete-v1530-IEs} \; ::= \; {\tt SEQUENCE} \; \left\{ \right.
   OPTIONAL,
                                                                      OPTIONAL,
                                                                      OPTIONAL,
                                                                      OPTIONAL,
                                                                      OPTIONAL,
   registeredAMF-r15
                                  RegisteredAMF-r15
                                                                      OPTIONAL,
   s-NSSAI-list-r15
                                  SEQUENCE(SIZE (1..maxNrofS-NSSAI-r15)) OF S-NSSAI-r15
OPTIONAL,
   ng-5G-S-TMSI-Bits-r15 CHOICE {
    ng-5G-S-TMSI-r15 NG-5
                                 NG-5G-S-TMSI-r15,
       ng-5G-S-TMSI-Part2-r15
                                      BIT STRING (SIZE (8))
                                                                     OPTIONAL,
                          RRCConnectionSetupComplete-v1540-IEs OPTIONAL
   nonCriticalExtension
nonCriticalExtension
                                   SEQUENCE {}
                                                              OPTIONAL
}
RegisteredMME ::=
                              SEQUENCE {
  plmn-Identity
                                  PLMN-Identity
                                                                  OPTIONAL,
                                   BIT STRING (SIZE (16)),
                                  MMEC
   mmec
}
RegisteredAMF-r15 ::= SEQUENCE {
   plmn-Identity-r15 PLMN-Identity
   amf-Identifier-r15 AMF-Identifier-r15
                                                                 OPTIONAL,
-- ASN1STOP
```

# RRCConnectionSetupComplete field descriptions

## attachWithoutPDN-Connectivity

This field is used to indicate that the UE performs an Attach without PDN connectivity procedure, as indicated by the upper layers and specified in TS 24.301 [35].

### cp-CloT-EPS-Optimisation

This field is included when the UE supports the Control plane CloT EPS Optimisation, as indicated by the upper layers, see TS 24.301 [35].

#### ce-ModeB

Indicates whether the UE supports operation in CE mode B, as specified in TS 36.306 [5].

#### connectTo5GC

This field is not used in the specification. It shall not be sent by the UE.

#### dcn-ID

The Dedicated Core Network Identity, see TS 23.401 [41].

# guami-Type

This field is used to indicate whether the GUAMI included is native (derived from native 5G-GUTI) or mapped (from EPS, derived from EPS GUTI) as specified in TS 24.501 [95].

# gummei-Type

This field is used to indicate whether the GUMMEI included is native (assigned by EPC) or mapped. The value native indicates the GUMMEI is native, mapped indicates the GUMMEI is mapped from 2G/3G identifiers, and mappedFrom5G indicates the GUMMEI is mapped from 5G identifiers. A UE that sets *gummei-Type-v1540* to mappedFrom5G shall also include *gummei-Type-r10* and set it to native.

#### idleMeasAvailable

Indication that the UE has idle mode measurement report available.

#### mmeai

Provides the Group Identity of the registered MME within the PLMN, as provided by upper layers, see TS 23.003 [27].

### mobilityState

This field indicates the UE mobility state (as defined in TS 36.304 [4], clause 5.2.4.3) just prior to UE going into RRC\_CONNECTED state. The UE indicates the value of *medium* and *high* when being in Medium-mobility and High-mobility states respectively. Otherwise the UE indicates the value *normal*.

# ng-5G-S-TMSI-Part2

The leftmost 8 bits of 5G-S-TMSI.

#### registeredAMF

This field is used to transfer the GUAMI of the AMF where the UE is registered, as provided by upper layers, see TS 23.003 [27].

# registeredMME

This field is used to transfer the GUMMEI of the MME where the UE is registered, as provided by upper layers.

# rn-SubframeConfigReq

If present, this field indicates that the connection establishment is for an RN and whether a subframe configuration is requested or not.

# selectedPLMN-Identity

Index of the PLMN selected by the UE from the *plmn-IdentityList* fields included in SIB1. 1 if the 1st PLMN is selected from the 1st *plmn-IdentityList* included in SIB1, 2 if the 2nd PLMN is selected from the same *plmn-IdentityList*, or when no more PLMN are present within the same *plmn-IdentityList*, then the PLMN listed 1st in the subsequent *plmn-IdentityList* within the same SIB1 and so on.

### s-NSSAI-List

This field is a list of S-NSSAI as indicated by the upper layers. The UE can report up to eight S-NSSAI per NSSAI, see TS 23.003 [27].

# ue-CE-NeedULGaps

Indicates whether the UE needs uplink gaps during continuous uplink transmission in FDD as specified in TS 36.211 [21] and TS 36.306 [5].

# up-CloT-EPS-Optimisation

This field is included when the UE supports the User plane CloT EPS Optimisation, as indicated by the upper layers, see TS 24.301 [35].

# RRCEarlyDataComplete

The RRCEarlyDataComplete message is used to confirm the successful completion of the CP-EDT procedure.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

# RRCEarlyDataComplete message

```
-- ASN1START
RRCEarlyDataComplete-r15 ::=
                                           SEQUENCE {
         rrcEarlyDataComplete-r15 CHOICE {
    criticalExtensions
                                                 RRCEarlyDataComplete-r15-IEs,
          criticalExtensionsFuture
                                                      SEQUENCE { }
}
-- Need ON
                                                                                                              -- Need ON
                                                                                                              -- Need OP
     idleModeMobilityControlInfoExt-r15 IdleModeMobilityControlInfo-v9e0 OPTIONAL,
                                                                                                             -- Cond
IdleInfoEUTRA
    redirectedCarrierInfo-r15 RedirectedCarrierInfo-r15-IEs
nonCriticalExtension RRCEarlyDataComplete-v1590-IEs
                                                                                              OPTIONAL,
                                                                                                             -- Need ON
    nonCriticalExtension
                                                 RRCEarlyDataComplete-v1590-IEs OPTIONAL
}
RRCEarlyDataComplete-v1590-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING
nonCriticalExtension SEQUENCE {}
                                                                                               OPTIONAL,
                                                                                          OPTIONAL
    nonCriticalExtension
                                                       SEQUENCE {}
RedirectedCarrierInfo-r15-IEs ::= CHOICE {
    eutra-r15
geran-r15
geran-r15
cdma2000-HRPD-r15
cdma2000-1xRTT-r15
cdma2000-1xRTT-r15
cdma2000-r15
cdma2000-1xRTT-r15
cdma2000-r15
   eutra-r15
                                      CarrierFreqListUTRA-TDD-r10
-- ASN1STOP
```

RRCEarlyDataComplete field descriptions		
extendedWaitTime		
Value in seconds for the wait time for Delay	y Tolerant access requests.	

Conditional presence	Explanation
IdleInfoEUTRA	The field is optionally present, Need OP, if the IdleModeMobilityControlInfo-r15 is
	included and includes <i>freqPriorityListEUTRA</i> ; otherwise the field is not present.

# RRCEarlyDataRequest

The RRCEarlyDataRequest message is used to initiate CP-EDT.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

# RRCEarlyDataRequest message

```
-- ASN1START
```

```
RRCEarlyDataRequest-r15 ::= SEQUENCE {
                                CHOICE {
   criticalExtensions
                                  RRCEarlyDataRequest-r15-IEs,
       rrcEarlyDataRequest-r15
                                     SEQUENCE {}
       criticalExtensionsFuture
}
RRCEarlyDataRequest-r15-IEs ::= SEQUENCE {
   s-TMSI-r15
                                  S-TMSI
   establishmentCause-r15
                                  ENUMERATED {mo-Data, delayTolerantAccess},
   dedicatedInfoNAS-r15
                                     DedicatedInfoNAS,
                                     RRCEarlyDataRequest-v1590-IEs
   nonCriticalExtension
                                                                            OPTIONAL
RRCEarlyDataRequest-v1590-IEs ::=
                                 SEQUENCE {
   lateNonCriticalExtension
                                         OCTET STRING
                                                                        OPTIONAL,
   nonCriticalExtension
                                          SEQUENCE {}
                                                                    OPTIONAL
-- ASN1STOP
```

# RRCEarlyDataRequest field descriptions

# establishmentCause

Provides the establishment cause for the RRC Early Data Request as provided by the upper layers. W.r.t. the cause value names: 'mo' stands for 'Mobile Originating'. eNB is not expected to reject a *RRCEarlyDataRequest* due to unknown cause value being used by the UE.

# SCGFailureInformation

The SCGFailureInformation message is used to provide information regarding E-UTRA SCG failures detected by the UE.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# SCGFailureInformation message

```
-- ASN1START
SCGFailureInformation-r12 ::=
                                   SEOUENCE {
    criticalExtensions
                                      CHOICE {
                                           CHOICE {
       c1
           scgFailureInformation-r12
                                               SCGFailureInformation-r12-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
        },
       criticalExtensionsFuture
                                           SEQUENCE { }
    }
}
SCGFailureInformation-r12-IEs ::=
    failureReportSCG-r12
                                       FailureReportSCG-r12
                                                                       OPTIONAL,
   nonCriticalExtension
                                       SCGFailureInformation-v12d0a-IEs OPTIONAL
SCGFailureInformation-v12d0a-IEs ::= SEQUENCE {
                                     OCTET STRING (CONTAINING SCGFailureInformation-v12d0b-IEs)
   lateNonCriticalExtension
                       OPTIONAL,
    nonCriticalExtension
                                      SEQUENCE {}
                                                                           OPTIONAL
}
-- Late non-critical extensions:
SCGFailureInformation-v12d0b-IEs ::= SEQUENCE {
   failureReportSCG-v12d0 FailureReportSCG-v12d0
                                                                           OPTIONAL,
   nonCriticalExtension
                                       SEQUENCE {}
                                                                           OPTIONAL
-- Regular non-critical extensions:
```

```
FailureReportSCG-r12 ::=
                              SEQUENCE {
                                     ENUMERATED {t313-Expiry, randomAccessProblem,
   failureType-r12
                                             rlc-MaxNumRetx, scg-ChangeFailure },
   measResultServFreqList-r12
                                                                  OPTIONAL,
                                      MeasResultServFreqList-r10
   measResultNeighCells-r12
                                      MeasResultList2EUTRA-r9
                                                                        OPTIONAL,
    [[ failureType-v1290
                                     ENUMERATED {maxUL-TimingDiff-v1290} OPTIONAL
   [[
       measResultServFreqListExt-r13 MeasResultServFreqListExt-r13
                                                                        OPTIONAL
}
FailureReportSCG-v12d0 ::= SEQUENCE {
   measResultNeighCells-v12d0
                                      MeasResultList2EUTRA-v9e0
                                                                        OPTIONAL
-- ASN1STOP
```

# SCGFailureInformationNR

The SCGFailureInformationNR message is used to provide information regarding NR SCG failures detected by the UE.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# SCGFailureInformationNR message

```
-- ASN1START
SCGFailureInformationNR-r15 ::=
                                   SEQUENCE {
   criticalExtensions
                                      CHOICE {
       c1
                                          CHOICE {
           scgFailureInformationNR-r15
                                              SCGFailureInformationNR-r15-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                           SEQUENCE { }
}
SCGFailureInformationNR-r15-IES ::= SEQUENCE {
    failureReportSCG-NR-r15 FailureReportSCG-NR-r15
                                                                          OPTIONAL,
   nonCriticalExtension
                                          SCGFailureInformationNR-v1590-IES OPTIONAL
SCGFailureInformationNR-v1590-IEs ::= SEQUENCE {
   lateNonCriticalExtension
                                               OCTET STRING
                                                                              OPTIONAL.
                                                                         OPTIONAL
   nonCriticalExtension
                                               SEOUENCE {}
FailureReportSCG-NR-r15 ::=
                               SEQUENCE {
                                       ENUMERATED {
   failureType-r15
                                           t310-Expiry, randomAccessProblem,
                                           rlc-MaxNumRetx,
                                           synchReconfigFailureSCG, scg-reconfigFailure,
                                           srb3-IntegrityFailure},
    measResultFreqListNR-r15
                                           MeasResultFreqListFailNR-r15
                                                                              OPTIONAL.
    measResultSCG-r15
                                           OCTET STRING
                                                                               OPTIONAL,
}
MeasResultFreqListFailNR-r15 ::=
                                   SEQUENCE (SIZE (1..maxFreqNR-r15)) OF MeasResultFreqFailNR-r15
MeasResultFreqFailNR-r15 ::=
                                   SEQUENCE {
                                      ARFCN-ValueNR-r15.
   carrierFreg-r15
    measResultCellList-r15
                                       MeasResultCellListNR-r15
                                                                         OPTIONAL,
-- ASN1STOP
```

# SCGFailureInformationNR field descriptions

#### measResultFreqListNR

The field contains available results of measurements on NR frequencies the UE is configured to measure by measConfig.

#### measResultSCG

Includes the NR *MeasResultSCG-Failure* IE as specified in TS 38.331 [82]. The field contains available results of measurements on NR frequencies the UE is configured to measure by the NR RRCConfiguration message.

# SCPTMConfiguration

The SCPTMConfiguration message contains the control information applicable for MBMS services transmitted via SC-MRB.

Signalling radio bearer: N/A

RLC-SAP: UM

Logical channel: SC-MCCH
Direction: E-UTRAN to UE

# SCPTMConfiguration message

```
-- ASN1START
     scptm-NeighbourCellList-r13 SCPTM-NeighbourCellList-r13 lateNonCriticalExtension OCTET STRING nonCriticalExtension SCDTMOCTET
SCPTMConfiguration-r13 ::= SEQUENCE {
    sc-mtch-InfoList-r13 SC-MTC
                                                                                            OPTIONAL,
                                                                                                            -- Need OP
                                                                                            OPTIONAL.
                                                                                            OPTIONAL
SCPTMConfiguration-v1340 ::= SEQUENCE {
                                                   INTEGER (0..3)
     p-b-r13
                                                                                 OPTIONAL,
                                                                                                  -- Need ON
     nonCriticalExtension
                                                   SEQUENCE {}
                                                                                  OPTIONAL
-- ASN1STOP
```

# SCPTMConfiguration field descriptions

# sc-mtch-InfoList

Provides the configuration of each SC-MTCH in the current cell.

## scptm-NeighbourCellList

List of neighbour cells providing MBMS services via SC-MRB. When absent, the UE shall assume that MBMS services listed in the *SCPTMConfiguration* message are not provided via SC-MRB in any neighbour cell.

p-b

Parameter:  $P_B$  for the PDSCH scrambled by G-RNTI, see TS 36.213 [23], Table 5.2-1.

# SCPTMConfiguration-BR

The *SCPTMConfiguration-BR* message contains the control information applicable for MBMS services transmitted via SC-MRB for BL UEs or UEs in CE.

Signalling radio bearer: N/A

RLC-SAP: UM

Logical channel: SC-MCCH
Direction: E-UTRAN to UE

# SCPTMConfiguration-BR message

# SCPTMConfiguration-BR field descriptions

#### p-b

Parameter:  $P_B$  for the PDSCH scrambled by G-RNTI, see TS 36.213 [23], Table 5.2-1.

#### sc-mtch-InfoList

Provides the configuration of each SC-MTCH in the current cell for BL UEs or UEs in CE.

#### scptm-NeighbourCellList

List of neighbour cells providing MBMS services via SC-MRB. When absent, the BL UE or UE in CE shall assume that MBMS services listed in the *SCPTMConfiguration-BR* message are not provided via SC-MRB in any neighbour cell.

# SecurityModeCommand

The SecurityModeCommand message is used to command the activation of AS security.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# SecurityModeCommand message

```
-- ASN1START
SecurityModeCommand ::=
                                      SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier, criticalExtensions CHOICE {
            securityModeCommand-r8 CHOICE {
        c1
                                                  SecurityModeCommand-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        criticalExtensionsFuture
                                              SEQUENCE {}
    }
                                     SEQUENCE {
SecurityModeCommand-r8-IEs ::=
                                     SecurityConfigSMC,
   securityConfigSMC
nonCriticalExtension
                                         SecurityModeCommand-v8a0-IEs
                                                                               OPTIONAL
SecurityModeCommand-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING
nonCriticalExtension SEQUENCE {}
                                                                                OPTIONAL.
   nonCriticalExtension
                                                                                 OPTIONAL
                                         SEQUENCE {}
    arrityConfigSMC ::= SEQUENCE {
  securityAlgorithmConfig Securi
  ...
SecurityConfigSMC ::=
                                              SecurityAlgorithmConfig,
-- ASN1STOP
```

# SecurityModeComplete

The SecurityModeComplete message is used to confirm the successful completion of a security mode command.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# SecurityModeComplete message

```
-- ASNISTART

SecurityModeComplete ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
        securityModeComplete-r8 SecurityModeComplete-r8-IEs,
        criticalExtensionsFuture SEQUENCE {}
    }
}

SecurityModeComplete-r8-IES ::= SEQUENCE {
    nonCriticalExtension SecurityModeComplete-v8a0-IES OPTIONAL
}

SecurityModeComplete-v8a0-IES ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING OPTIONAL,
    nonCriticalExtension SEQUENCE {}
}

-- ASNISTOP
```

# SecurityModeFailure

The SecurityModeFailure message is used to indicate an unsuccessful completion of a security mode command.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# SecurityModeFailure message

```
-- ASN1START

SecurityModeFailure ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
        securityModeFailure-r8 SecurityModeFailure-r8-IEs,
        criticalExtensionsFuture SEQUENCE {}
    }
}

SecurityModeFailure-r8-IEs ::= SEQUENCE {
    nonCriticalExtension SecurityModeFailure-v8a0-IEs OPTIONAL
}

SecurityModeFailure-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING OPTIONAL,
    nonCriticalExtension SEQUENCE {}
}

-- ASN1STOP
```

# SidelinkUEInformation

The SidelinkUEInformation message is used for the indication of sidelink information to the eNB.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# SidelinkUEInformation message

```
-- ASN1START
SidelinkUEInformation-r12 ::= SEQUENCE {
     criticalExtensions CHOICE {
                 CHOICE {
sidelinkUEInformation-r12 Side
spare3 NULL Side
                                                          SidelinkUEInformation-r12-IEs,
                 spare3 NULL, spare2 NULL, spare1 NULL
           criticalExtensionsFuture
                                                            SEQUENCE {}
}
SidelinkUEInformation-r12-IEs ::= SEQUENCE {
     commRxInterestedFreq-r12 ARFCN-ValueEUTRA-r9 OPTIONAL, commTxResourceReq-r12 SL-CommTxResourceReq-r12 OPTIONAL, discRxInterest-r12 ENUMERATED {true} OPTIONAL, discTxResourceReq-r12 INTEGER (1..63) OPTIONAL, lateNonCriticalExtension OCTET STRING OPTIONAL, nonCriticalExtension SidelinkUEInformation-v1310-IES OPTIONAL
     nonCriticalExtension
                                                        SidelinkUEInformation-v1310-IEs OPTIONAL
{\tt SidelinkUEInformation-v1310-IEs} \ ::= \ {\tt SEQUENCE} \ \big\{
     commTxResourceReqUC-r13 SL-CommTxResourceReq-r12
commTxResourceInfoReqRelay-r13 SEQUENCE {
   commTxResourceReqRelay-r13 SL-CommTxResourceReq-r12
   commTxResourceReqRelayUC-r13 SL-CommTxResourceReq-r12
   ue-Type-r13 ENUMERATED {relavUE. remoteUE}
                                                                                                                 OPTIONAL,
                                                                                                                  OPTIONAL,
                                                                                                                  OPTIONAL,
                                                              ENUMERATED {relayUE, remoteUE}
                                                                                                                  OPTIONAL,
     discTxResourceReq-v1310 SEQUENCE {
    carrierFreqDiscTx-r13 INTEGE
           carrierFreqDiscTx-r13 INTEGER (1..maxFreq)
discTxResourceReqAddFreq-r13 SL-DiscTxResourceReqPerFreqList-r13
                                                                                                                  OPTIONAL,
                                                                                                                  OPTIONAL
                                                                                                                  OPTIONAL,
     discTxResourceReqPS-r13 SL-DiscTxResourceReq-r13 discRxGapReq-r13 SL-GapRequest-r13 discTxGapReq-r13 SL-GapRequest-r13
                                                                                                                  OPTIONAL,
                                                                                                                  OPTIONAL,
     discTxGapReq-r13 SL-GapRequest-r13
discSysInfoReportFreqList-r13 SL-DiscSysInfoReportFreqList-r13
nonCriticalExtension SidelinkUEInformation-v1430-IEs
                                                                                                                  OPTIONAL,
                                                                                                                  OPTIONAL,
                                                                                                                  OPTIONAL
SidelinkUEInformation-v1430-IEs ::= SEQUENCE {
     v2x-CommRxInterestedFreqList-r14 SL-V2X-CommFreqList-r14 p2x-CommTxType-r14 ENUMERATED {true} v2x-CommTxResourceReq-r14 SL-V2X-CommTxFreqList-r14 nonCriticalExtension SidelinkUEInformation-v1530-IEs
                                                                                                               OPTIONAL,
                                                                                                                 OPTIONAL,
     nonCriticalExtension
                                                                                                                  OPTIONAL
SidelinkUEInformation-v1530-IEs ::= SEQUENCE {
     reliabilityInfoListSL-r15 SL-ReliabilityList-r15
                                                                                                                  OPTIONAL,
      nonCriticalExtension
                                                        SEQUENCE {}
                                                                                                                  OPTIONAL
SL-CommTxResourceReq-r12 ::= SEQUENCE {
    carrierFreq-r12 ARFCN-
    destinationInfoList-r12 SL-Des
                                                        ARFCN-ValueEUTRA-r9
                                                         SL-DestinationInfoList-r12
                                                                                                                OPTIONAL,
SL-DiscTxResourceReqPerFreqList-r13 ::= SEQUENCE (SIZE (1..maxFreq)) OF SL-DiscTxResourceReq-r13
SL-DiscTxResourceReq-r13 ::= SEQUENCE {
     carrierFreqDiscTx-r13
                                                    INTEGER (1..maxFreq)
                                                                                                                OPTIONAL,
                                                         INTEGER (1..63)
      discTxResourceReq-r13
SL-DestinationInfoList-r12 ::= SEQUENCE (SIZE (1..maxSL-Dest-r12)) OF SL-DestinationIdentity-r12
SL-DestinationIdentity-r12 ::= BIT STRING (SIZE (24))
```

# SidelinkUEInformation field descriptions

## carrierFreqCommTx

Indicates the index of the frequency on which the UE is interested to transmit V2X sidelink communication. The value 1 corresponds to the frequency of first entry in *v2x-InterFreqInfoList* broadcast in SIB21, the value 2 corresponds to the frequency of second entry in *v2x-InterFreqInfoList* broadcast in SIB21 and so on. If SIB26 is broadcast and the number of entries included in *v2x-InterFreqInfoList* of SIB21 is N, the value N+1 corresponds to the frequency of the first entry which is included in *v2x-InterFreqInfoList* broadcast in SIB26 and has a frequency not included in SIB21, the value N+2 corresponds to the frequency of the second entry which is included in *v2x-InterFreqInfoList* broadcast in SIB26 and has a frequency not included in SIB21, and so on. The value 0 corresponds the PCell's frequency.

# carrierFreqDiscTx

Indicates the frequency by the index of the entry in field discInterFreqList within SystemInformationBlockType19. Value 1 corresponds to the first entry in discInterFreqList within SystemInformationBlockType19, value 2 corresponds to the second entry in this list and so on.

# commRxInterestedFreq

Indicates the frequency on which the UE is interested to receive sidelink communication.

#### commTxResourceReg

Indicates the frequency on which the UE is interested to transmit non-relay related sidelink communication as well as the one-to-many sidelink communication transmission destination(s) for which the UE requests E-UTRAN to assign dedicated resources. NOTE 1.

# commTxResourceReqRelay

Indicates the relay related one-to-many sidelink communication transmission destination(s) for which the sidelink relay UE requests E-UTRAN to assign dedicated resources.

# commTxResourceReqRelayUC

Indicates the relay related one-to-one sidelink communication transmission destination(s) for which the sidelink relay UE or sidelink remote UE requests E-UTRAN to assign dedicated resources i.e. either contains the unicast destination identity of the sidelink relay UE or of the sidelink remote UE.

### commTxResourceReaUC

Indicates the frequency on which the UE is interested to transmit non-relay related one-to-one sidelink communication as well as the sidelink communication transmission destination(s) for which the UE requests E-UTRAN to assign dedicated resources. NOTE 1.

#### destinationInfoList

Indicates the destination(s) for relay or non-relay related one-to-one or one-to-many sidelink communication. For one-to-one sidelink communication the destination is identified by the ProSe UE ID for unicast communication, while for one-to-many the destination it is identified by the ProSe Layer-2 Group ID as specified in TS 23.303 [68].

# discRxInterest

Indicates that the UE is interested to monitor sidelink discovery announcements.

# discSysInfoReportFreqList

Indicates, for one or more frequencies, a list of sidelink discovery related parameters acquired from system Information of cells on configured inter-frequency carriers.

# discTxResourceReq

Indicates the number of separate discovery message(s) the UE wants to transmit every discovery period. This field concerns the resources the UE requires every discovery period for transmitting sidelink discovery announcement(s).

#### discTxResourceRegAddFreq

Indicates, for any frequencies in addition to the one covered by *discTxResourceReq*, the number of separate discovery message(s) the UE wants to transmit every discovery period. This field concerns the resources the UE requires every discovery period for transmitting sidelink discovery announcement(s).

## discTxResourceReqPS

Indicates the number of separate PS related discovery message(s) the UE wants to transmit every discovery period. This field concerns the resources the UE requires every discovery period for transmitting PS related sidelink discovery announcement(s).

# p2x-CommTxType

Indicates that the requested transmission resource pool is for P2X related V2X sidelink communication.

#### reliabilityInfoListSL

Indicates the reliability(ies) (i.e., PPPRs as specified in TS 36.300 [9]), associated with the reported traffic to be transmitted for V2X sidelink communication.

# v2x-CommRxInterestedFreqList

Indicates the index(es) of the frequency(ies) on which the UE is interested to receive V2X sidelink communication. The value 1 corresponds to the frequency of first entry in *v2x-InterFreqInfoList* broadcast in SIB21, the value 2 corresponds to the frequency of second entry in *v2x-InterFreqInfoList* broadcast in SIB21 and so on. If SIB26 is broadcast and the number of entries included in *v2x-InterFreqInfoList* of SIB21 is N, the value N+1 corresponds to the frequency of the first entry which is included in *v2x-InterFreqInfoList* broadcast in SIB26 and has a frequency not included in SIB21, the value N+2 corresponds to the frequency of the second entry which is included in *v2x-InterFreqInfoList* broadcast in SIB26 and has a frequency not included in SIB21, and so on. The value 0 corresponds the PCell's frequency.

#### v2x-DestinationInfoList

Indicates the destination(s) for V2X sidelink communication.

# v2x-TypeTxSync

Indicates the synchronization reference used by the UE.

NOTE 1: When configuring *commTxResourceReq*, *commTxResourceReqUC*, *commTxResourceReqRelay* and *commTxResourceReqRelayUC*, E-UTRAN configures at most *maxSL-Dest-r12* destinations in total (i.e. as included in the four fields together).

# SystemInformation

The *SystemInformation* message is used to convey one or more System Information Blocks or Positioning System Information Blocks. All the SIBs or posSIBs included are transmitted with the same periodicity. *SystemInformation-BR* and *SystemInformation-MBMS* use the same structure as *SystemInformation*.

Signalling radio bearer: N/A

**RLC-SAP: TM** 

Logical channels: BCCH and BR-BCCH

Direction: E-UTRAN to UE

# SystemInformation message

```
-- ASN1START
SystemInformation-BR-r13 ::=
                                SystemInformation
SystemInformation-MBMS-r14 ::= SystemInformation
SystemInformation ::=
                                    SEOUENCE {
                              CHOICE {
    criticalExtensions
                                         SystemInformation-r8-IEs,
       systemInformation-r8
        criticalExtensionsFuture-r15
posSystemInformation-r15
                                            CHOICE {
                                                PosSystemInformation-r15-IEs,
            criticalExtensionsFuture
                                                 SEQUENCE {}
SystemInformation-r8-IEs ::=
                                    SEQUENCE {
    sib-TypeAndInfo
                                        SEQUENCE (SIZE (1..maxSIB)) OF CHOICE {
        sib2
                                            SystemInformationBlockType2,
        sib3
                                             SystemInformationBlockType3,
        sib4
                                             SystemInformationBlockType4,
        sib5
                                            SystemInformationBlockType5,
        sib6
                                             SystemInformationBlockType6,
        sib7
                                             SystemInformationBlockType7,
        sib8
                                             SystemInformationBlockType8,
        sib9
                                             SystemInformationBlockType9
        sib10
                                             SystemInformationBlockType10,
        sib11
                                             SystemInformationBlockType11,
        sib12-v920
                                             SystemInformationBlockType12-r9,
        sib13-v920
                                             SystemInformationBlockType13-r9,
        sib14-v1130
                                             SystemInformationBlockType14-r11,
        sib15-v1130
                                             SystemInformationBlockType15-r11,
        sib16-v1130
                                             SystemInformationBlockType16-r11,
        sib17-v1250
                                             SystemInformationBlockType17-r12,
        sib18-v1250
                                             SystemInformationBlockType18-r12,
        sib19-v1250
                                             SystemInformationBlockType19-r12,
        sib20-v1310
                                             SystemInformationBlockType20-r13,
        sib21-v1430
                                             SystemInformationBlockType21-r14,
        sib24-v1530
                                             SystemInformationBlockType24-r15,
        sib25-v1530
                                             SystemInformationBlockType25-r15,
        sib26-v1530
                                             SystemInformationBlockType26-r15
    nonCriticalExtension
                                        SystemInformation-v8a0-IEs
SystemInformation-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING
                                                                         OPTIONAL,
    nonCriticalExtension
                                    SEQUENCE {}
                                                                         OPTIONAL
```

```
PosSystemInformation-r15-IEs ::= SEQUENCE {
   posSiB-TypeAndInfo-r15 SEQUENCE (SIZE (1..maxSiB)) OF CHOICE {
    posSib1-1-r15 SystemInformationRlockPos-r15
       posSib1-1-r15
                                        SystemInformationBlockPos-r15,
        posSib1-2-r15
                                         SystemInformationBlockPos-r15,
       posSib1-3-r15
                                         SystemInformationBlockPos-r15,
        posSib1-4-r15
                                         SystemInformationBlockPos-r15,
        posSib1-5-r15
                                         SystemInformationBlockPos-r15,
        posSib1-6-r15
                                         SystemInformationBlockPos-r15,
        posSib1-7-r15
                                         SystemInformationBlockPos-r15,
        posSib2-1-r15
                                         SystemInformationBlockPos-r15,
        posSib2-2-r15
                                         SystemInformationBlockPos-r15,
        posSib2-3-r15
                                         SystemInformationBlockPos-r15,
                                         SystemInformationBlockPos-r15,
        posSib2-4-r15
        posSib2-5-r15
                                         SystemInformationBlockPos-r15,
        posSib2-6-r15
                                         SystemInformationBlockPos-r15,
        posSib2-7-r15
                                         SystemInformationBlockPos-r15,
        posSib2-8-r15
                                         SystemInformationBlockPos-r15,
        posSib2-9-r15
                                         SystemInformationBlockPos-r15,
        posSib2-10-r15
                                         SystemInformationBlockPos-r15,
        posSib2-11-r15
                                         SystemInformationBlockPos-r15,
        posSib2-12-r15
                                         SystemInformationBlockPos-r15,
        posSib2-13-r15
                                         SystemInformationBlockPos-r15,
        posSib2-14-r15
                                         SystemInformationBlockPos-r15,
        posSib2-15-r15
                                         SystemInformationBlockPos-r15,
        posSib2-16-r15
                                         SystemInformationBlockPos-r15,
        posSib2-17-r15
                                         SystemInformationBlockPos-r15,
        posSib2-18-r15
                                         SystemInformationBlockPos-r15,
        posSib2-19-r15
                                         SystemInformationBlockPos-r15,
        posSib3-1-r15
                                         SystemInformationBlockPos-r15,
    lateNonCriticalExtension
                                    OCTET STRING
                                                                               OPTIONAL,
    nonCriticalExtension
                                     SEOUENCE {}
                                                                               OPTIONAL
-- ASN1STOP
```

# SystemInformationBlockType1

SystemInformationBlockType1 contains information relevant when evaluating if a UE is allowed to access a cell and defines the scheduling of other system information. SystemInformationBlockType1-BR uses the same structure as SystemInformationBlockType1.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channels: BCCH and BR-BCCH

Direction: E-UTRAN to UE

# SystemInformationBlockType1 message

```
-- ASN1START
SystemInformationBlockType1-BR-r13 ::= SystemInformationBlockType1
SystemInformationBlockType1 ::=
                                  SEOUENCE {
   cellAccessRelatedInfo
                                      SEQUENCE {
       plmn-IdentityList
                                           PLMN-IdentityList,
        trackingAreaCode
                                           TrackingAreaCode,
       cellIdentity
                                           CellIdentity,
                                           ENUMERATED {barred, notBarred},
        cellBarred
                                           ENUMERATED {allowed, notAllowed},
        intraFreqReselection
       csg-Indication
                                           BOOLEAN,
        csg-Identity
                                           CSG-Identity
                                                                   OPTIONAL
                                                                               -- Need OR
    cellSelectionInfo
                                       SEQUENCE {
                                           Q-RxLevMin,
       q-RxLevMin
        q-RxLevMinOffset
                                           INTEGER (1..8)
                                                                   OPTIONAL
                                                                              -- Need OP
                                       P-Max
                                                                   OPTIONAL,
                                                                                      -- Need OP
    p-Max
```

```
freqBandIndicator
                                          FreqBandIndicator,
    schedulingInfoList
                                          SchedulingInfoList,
    tdd-Config
                                          TDD-Config
                                                                       OPTIONAL, -- Cond TDD
    si-WindowLength
                                          ENUMERATED {
                                             ms1, ms2, ms5, ms10, ms15, ms20,
                                              ms40},
    systemInfoValueTag
                                          INTEGER (0..31),
                                          SystemInformationBlockType1-v890-IEs OPTIONAL
    nonCriticalExtension
}
SystemInformationBlockType1-v890-IEs::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING (CONTAINING SystemInformationBlockType1-v8h0-
                OPTIONAL,
   nonCriticalExtension
                                        SystemInformationBlockType1-v920-IEs OPTIONAL
}
-- Late non critical extensions
SystemInformationBlockType1-v8h0-IEs ::= SEQUENCE {
                                        MultiBandInfoList OPTIONAL, -- Need OR
   mult.iBandInfoList
    nonCriticalExtension
                                          SystemInformationBlockType1-v9e0-IEs OPTIONAL
}
SystemInformationBlockType1-v9e0-IEs ::= SEQUENCE {
    freqBandIndicator-v9e0
multiBandInfoList-v9e0
                                         FreqBandIndicator-v9e0 OPTIONAL, -- Cond FBI-max
MultiBandInfoList-v9e0 OPTIONAL, -- Cond mFBI-max
                                                                       OPTIONAL,
                                                                                    -- Cond mFBI-max
                                          SystemInformationBlockType1-v10j0-IEs OPTIONAL
    nonCriticalExtension
SystemInformationBlockType1-v10j0-IEs ::= SEQUENCE {
    rreqBandInfo-r10 NS-PmaxList-r10 multiBandInfoList-v10j0 MultiBandInfoList nonCriticalExtension SystemInformation
                                          MultiBandInfoList-v10j0 OPTIONAL,
SystemInformati
                                                                                    -- Need OR
                                                                                     -- Need OR
                                         SystemInformationBlockType1-v1010-IEs
    OPTIONAL
}
SystemInformationBlockType1-v1010-IEs ::= SEQUENCE {
   freqBandInfo-v1010 NS-PmaxList-v1010
multiBandInfoList-v1010 MultiBandInfoList-v1010
                                         NS-PmaxList-v1010 OPTIONAL, -- Need OR MultiBandInfoList-v1010 OPTIONAL, -- Need OR
    nonCriticalExtension
                                         SEQUENCE {}
                                                                       OPTIONAL
}
-- Regular non critical extensions
SystemInformationBlockType1-v920-IEs ::= SEQUENCE {
    ims-EmergencySupport-r9 ENUMERATED {true} OPTIONAL, cellSelectionInfo-v920 CellSelectionInfo-v920 OPTIONAL, nonCriticalExtension SystemInformationBlockType1-v1130-IEs
                                                                       OPTIONAL,
                                                                                    -- Need OR
                                                                                    -- Cond RSRQ
    nonCriticalExtension
                                         SystemInformationBlockType1-v1130-IEs
}
SystemInformationBlockType1-v1130-IEs ::= SEQUENCE {
   tdd-Config-v1130 TDD-Config-v1130 cellSelectionInfo-v1130 CellSelectionInfo-v
                                     TDD-Config-v1130 OPTIONAL, -- Cond TDD-OR CellSelectionInfo-v1130 OPTIONAL, -- Cond WB-RSRQ
                                                                                -- Cond WB-RSRQ
                                     SystemInformationBlockType1-v1250-IEs OPTIONAL
    nonCriticalExtension
}
SystemInformationBlockType1-v1250-IEs ::= SEQUENCE {
    cellAccessRelatedInfo-v1250
                                                SEQUENCE {
       category0Allowed-r12
                                                      ENUMERATED {true}
                                                                               OPTIONAL
                                                                                             -- Need OP
    cellSelectionInfo-v1250
                                                                                       -- Cond RSRQ2
   -- Cond mFBI
SystemInformationBlockType1-v1310-IEs ::= SEQUENCE {
                                                  BIT STRING (SIZE (10)) OPTIONAL, -- Need OR ENUMERATED {true} OPTIONAL, -- Need OR
    hyperSFN-r13
    eDRX-Allowed-r13
                                              CellSelectionInfoCE-r13 OPTIONAL, -- Need OP
    cellSelectionInfoCE-r13
    bandwidthReducedAccessRelatedInfo-r13 SEQUENCE {
                                                   ENUMERATED {
        si-WindowLength-BR-r13
                                                      ms20, ms40, ms60, ms80, ms120,
                                                       ms160, ms200, spare},
        si-RepetitionPattern-r13
                                                  ENUMERATED {everyRF, every2ndRF, every4thRF,
                                                               every8thRF},
        schedulingInfoList-BR-r13
                                                  SchedulingInfoList-BR-r13 OPTIONAL, -- Cond SI-
BR
        fdd-DownlinkOrTddSubframeBitmapBR-r13
                                                      BIT STRING (SIZE (10)),
            subframePattern10-r13
            subframePattern40-r13
                                                      BIT STRING (SIZE (40))
```

```
OPTIONAL,
                                                                                                                                                -- Need OP
                                                                               BIT STRING (SIZE (10))
             fdd-UplinkSubframeBitmapBR-r13
                                                                                                                             OPTIONAL,
                                                                                                                                                 -- Need OP
             startSymbolBR-r13
si-HoppingConfigCommon-r13
                                                                              INTEGER (1..4),
ENUMERATED {on,off},
ENUMERATED {true} OPTIONAL,
                                                                               INTEGER (1..4),
                                                                               ENUMERATED {true} OPTIONAL, -- Need OP SystemInfoValueTagList-r13 OPTIONAL -- Need OR
             systemInfoValueTagList-r13
                                                                                                                OPTIONAL,
                                                                                                                                  -- Cond BW-reduced
                                                                               SystemInformationBlockType1-v1320-IEs OPTIONAL
      nonCriticalExtension
}
SystemInformationBlockType1-v1320-IEs ::= SEQUENCE {
             mpdcch-pdsch-HoppingNB-r13 SEQUENCE {
      freqHoppingParametersDL-r13
                                                                              ENUMERATED {nb2, nb4}
                                                                                                                          OPTIONAL,
                                                                                                                                                 -- Need OR
             interval-DLHoppingConfigCommonModeA-r13 CHOICE {
                   interval-FDD-r13
                                                                              ENUMERATED {int1, int2, int4, int8},
                   interval-TDD-r13
                                                                               ENUMERATED {int1, int5, int10, int20}
                                                                                                                             OPTIONAL,
                                                                                                                                                 -- Need OR
             interval-DLHoppingConfigCommonModeB-r13 CHOICE {
                                                                               ENUMERATED {int2, int4, int8, int16},
                   interval-FDD-r13
                   interval-TDD-r13
                                                                               ENUMERATED { int5, int10, int20, int40}
                                                                                                                            OPTIONAL,
                                                                                                                                                 -- Need OR
             mpdcch-pdsch-HoppingOffset-r13
                                                                              INTEGER (1..maxAvailNarrowBands-r13)
                                                                                                                                                OPTIONAL --
Need OR
                                                                                                                OPTIONAL,
                                                                                                                                    -- Cond Hopping
      nonCriticalExtension
                                                                               SystemInformationBlockType1-v1350-IEs
      OPTIONAL
{\tt SystemInformationBlockType1-v1350-IEs} \ ::= \ {\tt SEQUENCE} \ \{
      cellSelectionInfoCE1-r13
                                                                        CellSelectionInfoCE1-r13 OPTIONAL,
                                                                                                                                          -- Need OP
      nonCriticalExtension
                                                                        SystemInformationBlockType1-v1360-IEs
      OPTIONAL
}
SystemInformationBlockType1-v1360-IEs ::= SEQUENCE {
      cellSelectionInfoCE1-v1360
                                                                        CellSelectionInfoCE1-v1360 OPTIONAL,
OrxlevminCE1
     nonCriticalExtension
                                                                               SystemInformationBlockType1-v1430-IEs
                                                                                                                                                        OPTIONAL
SystemInformationBlockType1-v1430-IEs ::= SEQUENCE {
                                                                        ENUMERATED {true} OPTIONAL,
      eCallOverIMS-Support-r14
                                                                                                                                           -- Need OR
                                                                                                                     OPTIONAL,
                                                                        TDD-Config-v1430
      tdd-Config-v1430
                                                                                                                                          -- Cond TDD-OR
      cellAccessRelatedInfoList-r14
                                                                       SEQUENCE (SIZE (1..maxPLMN-1-r14)) OF
                                                                        CellAccessRelatedInfo-r14 OPTIONAL,
      nonCriticalExtension
                                                                        SystemInformationBlockType1-v1450-IEs
      OPTIONAL
}
{\tt SystemInformationBlockType1-v1450-IEs} \ ::= \ {\tt SEQUENCE} \ \{
                                                                        TDD-Config-v1450 OPTIONAL,
      tdd-Config-v1450
                                                                                                                                   -- Cond TDD-OR
      nonCriticalExtension
                                                                        SystemInformationBlockType1-v1530-IEs
      OPTIONAL
{\tt SystemInformationBlockType1-v1530-IEs} ::= {\tt SEQUENCE} \ \{
      hsdn-Cell-r15
      hsdn-Cell-r15 ENUMERATED {true} OPTIONAL, -- Need OR cellSelectionInfoCE-v1530 CellSelectionInfoCE-v1530 OPTIONAL, -- Need OP
                                                                ENUMERATED {true}
                                                                                                               OPTIONAL,
                                                                                                                                    -- Need OR
      crs-IntfMitigConfig-r15
crs-IntfMitigEnabled
                                                                CHOICE {
                                                                      NIII.I.
                                                                        ENUMERATED {n6, n24}
             crs-IntfMitigNumPRBs
             OPTIONAL, -- Need OR
      plmn-IdentityList-v1530
                                                              ENUMERATED {barred, notBarred},
      plmn-IdentityList-v1530
posSchedulingInfoList-r15
cellAccessRelatedInfo-5GC-r15
cellBarred-5GC-r15
cellBarred-5GC-r15
ENUMERATED {barred, notBarred},
ENUMERATED {barred, notBarred},
CROWNERATED {bar
                                                              PLMN-IdentityList-v1530 OPTIONAL,
PosSchedulingInfoList-r15 OPTIONAL,
                                                                                                                                    -- Need OR
                                                                                                                                   -- Need OR
            CellAccessRelatedInfo-5GC-r15
      OPTIONAL,
                                                                                                                                    -- Need OR
                                                                ENUMERATED {true} OPTIONAL,
ENUMERATED {true} OPTIONAL,
                                                                                                                                   -- Need OR
      nonCriticalExtension
                                                                SystemInformationBlockType1-v1540-IEs
                                                                                                                                          OPTIONAL
{\tt SystemInformationBlockType1-v1540-IEs} \ ::= \ \ {\tt SEQUENCE} \ \{
                                                                                    ENUMERATED {true} OPTIONAL, -- Need ON
     si-posOffset-r15
```

```
nonCriticalExtension
                                                    SEQUENCE { } OPTIONAL
PLMN-IdentityList ::=
                                       SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-IdentityInfo
PLMN-IdentityInfo ::=
                                       SEQUENCE {
                                            PLMN-Identity,
   plmn-Identity
    cellReservedForOperatorUse
                                            ENUMERATED {reserved, notReserved}
PLMN-IdentityList-v1530 ::=
                                       SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-IdentityInfo-v1530
PLMN-IdentityInfo-v1530 ::=
                                        SEQUENCE {
   cellReservedForOperatorUse-CRS-r15
                                         ENUMERATED {reserved, notReserved}
PLMN-IdentityList-r15::=
                                   SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-IdentityInfo-r15
PLMN-IdentityInfo-r15 ::=
                                  SEQUENCE {
                                    CHOICE {
       plmn-Identity-r15
                                        PLMN-Identity,
       plmn-Index-r15
                                           INTEGER (1..maxPLMN-r11)
    cellReservedForOperatorUse-r15
                                          ENUMERATED {reserved, notReserved},
    cellReservedForOperatorUse-CRS-r15
                                         ENUMERATED {reserved, notReserved}
}
SchedulingInfoList ::= SEQUENCE (SIZE (1..maxSI-Message)) OF SchedulingInfo
SchedulingInfo ::= SEQUENCE {
    si-Periodicity
                               ENUMERATED {rf8, rf16, rf32, rf64, rf128, rf256, rf512},
    sib-MappingInfo
                               SIB-MappingInfo
SchedulingInfoList-BR-r13 ::= SEQUENCE (SIZE (1..maxSI-Message)) OF SchedulingInfo-BR-r13
SchedulingInfo-BR-r13 ::= SEQUENCE {
    si-Narrowband-r13
                            INTEGER (1..maxAvailNarrowBands-r13),
                            ENUMERATED {b152, b208, b256, b328, b408, b504, b600, b712, b808, b936}
    si-TBS-r13
SIB-MappingInfo ::= SEQUENCE (SIZE (0..maxSIB-1)) OF SIB-Type
SIB-Type ::=
                                    ENUMERATED {
                                        sibType3, sibType4, sibType5, sibType6,
                                       sibType7, sibType8, sibType9, sibType10, sibType11, sibType11, sibType12-v920, sibType13-v920,
                                        sibType14-v1130, sibType15-v1130,
                                        sibType16-v1130, sibType17-v1250, sibType18-v1250,
                                        ..., sibType19-v1250, sibType20-v1310, sibType21-v1430,
                                        sibType24-v1530, sibType25-v1530, sibType26-v1530}
SystemInfoValueTagList-r13 ::=
                                   SEQUENCE (SIZE (1..maxSI-Message)) OF SystemInfoValueTagSI-r13
SystemInfoValueTagSI-r13 ::=
                                   INTEGER (0..3)
CellSelectionInfo-v920 ::=
                                    SEOUENCE {
                                       Q-QualMin-r9,
   q-QualMin-r9
   q-QualMinOffset-r9
                                       INTEGER (1..8)
                                                                          OPTIONAL
                                                                                     -- Need OP
CellSelectionInfo-v1130 ::=
                                   SEQUENCE {
   q-QualMinWB-r11
                                       Q-QualMin-r9
CellSelectionInfo-v1250 ::=
                                   SEQUENCE {
   q-QualMinRSRQ-OnAllSymbols-r12 Q-QualMin-r9
CellAccessRelatedInfo-r14 ::= SEQUENCE {
   plmn-IdentityList-r14
trackingAreaCode-r14
                                     PLMN-IdentityList,
                                       TrackingAreaCode,
                                       CellIdentity
    cellIdentity-r14
CellAccessRelatedInfo-5GC-r15 ::= SEQUENCE {
    plmn-IdentityList-r15
                                   PLMN-IdentityList-r15,
                                      RAN-AreaCode-r15 OPTIONAL, -- Need OR
    ran-AreaCode-r15
```

```
trackingAreaCode-5GC-r15
                                           TrackingAreaCode-5GC-r15,
    cellIdentity-5GC-r15
                                            CellIdentity-5GC-r15
}
CellIdentity-5GC-r15 ::= CHOICE{
   cellIdentity-r15 CellIdentity,
cellId-Index-r15 INTEGER (1..maxPLMN-r11)
PosSchedulingInfoList-r15 ::= SEQUENCE (SIZE (1..maxSI-Message)) OF PosSchedulingInfo-r15
PosSchedulingInfo-r15 ::= SEQUENCE {
   posSI-Periodicity-r15 ENUMER
                              ENUMERATED {rf8, rf16, rf32, rf64, rf128, rf256, rf512},
    posSIB-MappingInfo-r15
                                  PosSIB-MappingInfo-r15
{\tt PosSIB-MappingInfo-r15} \ ::= \ {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxSIB})) \ {\tt OF} \ {\tt PosSIB-Type-r15}
PosSIB-Type-r15 ::= SEQUENCE {
                                                              OPTIONAL, -- Need OP
OPTIONAL, -- Need OP
    encrypted-r15 ENUMERATED { true }
    gnss-id-r15
                          GNSS-ID-r15
    sbas-id-r15 SBAS-ID-r15 posSibType-r15 ENUMERATED {
                                          posSibType1-1,
                                            posSibType1-2,
                                            posSibType1-3,
                                            posSibType1-4,
                                            posSibType1-5,
                                            posSibType1-6,
                                            posSibType1-7,
                                            posSibType2-1,
                                            posSibType2-2,
                                            posSibType2-3,
                                            posSibType2-4,
                                            posSibType2-5,
                                            posSibType2-6,
                                            posSibType2-7,
                                            posSibType2-8,
                                            posSibType2-9,
                                            posSibType2-10,
                                            posSibType2-11,
                                            posSibType2-12,
                                            posSibType2-13,
                                            posSibType2-14,
                                            posSibType2-15,
                                            posSibType2-16,
                                            posSibType2-17,
                                            posSibType2-18,
                                            posSibType2-19,
                                            posSibType3-1,
                                             ...},
    . . .
-- ASN1STOP
```

#### SystemInformationBlockType1 field descriptions

#### bandwithReducedAccessRelatedInfo

Access related information for BL UEs and UEs in CE. NOTE 3.

## category0Allowed

The presence of this field indicates category 0 UEs are allowed to access the cell.

#### cellAccessRelatedInfoList

This field contains a list allowing signalling of access related information per PLMN. One PLMN can be included in only one entry of this list. NOTE 4.

# cellAccessRelatedInfoList-5GC

This field contains a PLMN list and a list allowing signalling of access related information per PLMN for PLMNs that provides connectivity to 5GC. One PLMN can be included in only one entry of this list. NOTE4

#### cellBarred, cellBarred-CRS

barred means the cell is barred, as defined in TS 36.304 [4].

# cellBarred-5GC, cellBarred-5GC-CRS

barred means the cell is barred for connectivity to 5GC, as defined in TS 36.304 [4].

#### cellidentity

Indicates the cell identity. NOTE 2.

#### cellid-index

The index of the cell ID in the PLMN lists for EPC, indicates UE the corresponding cell ID is used for 5GC. Value 1 indicates the cell ID of the 1st PLMN list for EPC in the SIB1. Value 2 indicates the cell ID of the 2nd PLMN list for EPC, and so on.

# cellReservedForOperatorUse, cellReservedForOperatorUse-CRS

As defined in TS 36.304 [4].

# cellSelectionInfoCE

Cell selection information for BL UEs and UEs in CE. If absent, coverage enhancement S criteria is not applicable. NOTE 3.

#### cellSelectionInfoCE1

Cell selection information for BL UEs and UEs in CE supporting CE Mode B. E-UTRAN includes this IE only if cellSelectionInfoCE is present in SystemInformationBlockType1-BR. NOTE 3.

#### crs-IntfMitigConfig

crs-IntfMitigEnabled indicates CRS interference mitigation is enabled for the cell, as specified in TS 36.133 [16], clause 3.6.1.1. For BL UEs or UEs in CE supporting ce-CRS-IntfMitig, presence of crs-IntfMitigNumPRBs indicates CRS interference mitigation is enabled in the cell, as specified in TS 36.133 [16], clauses 3.6.1.2 and 3.6.1.3, and the value of crs-IntfMitigNumPRBs indicates number of PRBs, i.e. 6 or 24 PRBs, for CRS transmission in the central cell BW when CRS interference mitigation is enabled. For UEs not supporting this feature, the behaviour is undefined if this field is configured and the field cellBarred in SystemInformationBlockType1 (SystemInformationBlockType1-BR for BL UEs or UEs in CE) is set to notbarred.

# csg-Identity

Identity of the Closed Subscriber Group the cell belongs to.

#### csg-Indication

If set to TRUE the UE is only allowed to access the cell if it is a CSG member cell, if selected during manual CSG selection or to obtain limited service, see TS 36.304 [4].

# eCallOverIMS-Support

Indicates whether the cell supports eCall over IMS services via EPC for UEs as defined in TS 23.401 [41]. If absent, eCall over IMS via EPC is not supported by the network in the cell. NOTE 2.

### eCallOverIMS-Support5GC

Indicates whether the cell supports eCall over IMS services via 5GC as defined in TS 23.401 [41]. If absent, eCall over IMS via 5GC is not supported by the network in the cell. NOTE 2.

# eDRX-Allowed

The presence of this field indicates if idle mode extended DRX is allowed in the cell. The UE shall stop using extended DRX in idle mode if *eDRX-Allowed* is not present.

# encrypted

The presence of this field indicates that the posSibType is encrypted as specified in TS 36.355 [54].

# fdd-DownlinkOrTddSubframeBitmapBR

The set of valid subframes for FDD downlink or TDD transmissions, see TS 36.213 [23].

If this field is present, *SystemInformationBlockType1-BR-r13* is transmitted in *RRCConnectionReconfiguration*, and if *RRCConnectionReconfiguration* does not include *systemInformationBlockType2Dedicated*, UE may assume the valid subframes in fdd-*DownlinkOrTddSubframeBitmapBR* are not indicated as MBSFN subframes. If this field is not present, the set of valid subframes is the set of non-MBSFN subframes as indicated by *mbsfn-SubframeConfigList*. If neither this field nor *mbsfn-SubframeConfigList* is present, all subframes are considered as valid subframes for FDD downlink transmission, all DL subframes according to the uplink-downlink configuration (see TS 36.211 [21]) are considered as valid subframes for TDD DL transmission, and all UL subframes for TDD UL transmission.

The first/leftmost bit corresponds to the subframe #0 of the radio frame satisfying SFN mod x = 0, where x is the size of the bit string divided by 10. Value 0 in the bitmap indicates that the corresponding subframe is invalid for transmission. Value 1 in the bitmap indicates that the corresponding subframe is valid for transmission.

# SystemInformationBlockType1 field descriptions

## fdd-UplinkSubframeBitmapBR

The set of valid subframes for FDD uplink transmissions for BL UEs, see TS 36.213 [23].

If the field is not present, then UE considers all uplink subframes as valid subframes for FDD uplink transmissions. The first/leftmost bit corresponds to the subframe #0 of the radio frame satisfying SFN mod x = 0, where x is the size of the bit string divided by 10. Value 0 in the bitmap indicates that the corresponding subframe is invalid for transmission. Value 1 in the bitmap indicates that the corresponding subframe is valid for transmission.

# fregBandIndicatorPriority

If the field is present and supported by the UE, the UE shall prioritize the frequency bands in the *multiBandInfoList* field in decreasing priority order. Only if the UE does not support any of the frequency band in *multiBandInfoList*, the UE shall use the value in *freqBandIndicator* field. Otherwise, the UE applies frequency band according to the rules defined in *multiBandInfoList*. NOTE 2.

# fregBandInfo

A list of additionalPmax and additionalSpectrumEmission values, as defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs, for the frequency band in freqBandIndicator. If E-UTRAN includes freqBandInfo-v10I0 it includes the same number of entries, and listed in the same order, as in freqBandInfo-r10.

# freqHoppingParametersDL

Downlink frequency hopping parameters for BR versions of SI messages, MPDCCH/PDSCH of paging, MPDCCH/PDSCH of RAR/Msg4 and unicast MPDCCH/PDSCH. If not present, the UE is not configured downlink frequency hopping.

# gnss-ID

The presence of this field indicates that the posSibType is for a specific GNSS.

#### hsdn-Cell

This field indicates this is a HSDN cell as specified in TS 36.304 [4].

#### hyperSFN

Indicates hyper SFN which increments by one when the SFN wraps around.

# ims-EmergencySupport

Indicates whether the cell supports IMS emergency bearer services via EPC for UEs in limited service mode. If absent, IMS emergency call via EPC is not supported by the network in the cell for UEs in limited service mode. NOTE 2.

## ims-EmergencySupport5GC

Indicates whether the cell supports IMS emergency bearer services for UEs in limited service mode via 5GC. If absent, IMS emergency call via 5GC is not supported by the network in the cell for UEs in limited service mode. NOTE 2.

# intraFreqReselection

Used to control cell reselection to intra-frequency cells when the highest ranked cell is barred, or treated as barred by the UE, as specified in TS 36.304 [4]. NOTE 2.

#### multiBandInfoList

A list of additional frequency band indicators, as defined in TS 36.101 [42], table 5.5-1, that the cell belongs to. If the UE supports the frequency band in the *freqBandIndicator* field it shall apply that frequency band. Otherwise, the UE shall apply the first listed band which it supports in the *multiBandInfoList* field. If E-UTRAN includes *multiBandInfoListv9e0* it includes the same number of entries, and listed in the same order, as in *multiBandInfoList* (i.e. without suffix). See Annex D for more descriptions. The UE shall ignore the rule defined in this field description if *freqBandIndicatorPriority* is present and supported by the UE.

# multiBandInfoList-v10j0

A list of additionalPmax and additionalSpectrumEmission values, as defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs, for the frequency bands in multiBandInfoList (i.e. without suffix) and multiBandInfoList-v9e0. If E-UTRAN includes multiBandInfoList-v10j0, it includes the same number of entries, and listed in the same order, as in multiBandInfoList (i.e. without suffix). If E-UTRAN includes multiBandInfoList-v10j0 it includes the same number of entries, and listed in the same order, as in multiBandInfoList-v10j0.

# plmn-IdentityList

List of PLMN identities. The first listed *PLMN-Identity* is the primary PLMN. If *plmn-IdentityList-v1530* is included, E-UTRAN includes the same number of entries, and listed in the same order, as in *plmn-IdentityList* (without suffix). NOTE 2.

# plmn-Index

Index of the PLMN in the *plmn-IdentityList* fields included in SIB1 for EPC, indicating the same PLMN ID is connected to 5GC. Value 1 indicates the 1st PLMN in the 1st *plmn-IdentityList* included in SIB1, value 2 indicates the 2nd PLMN in the same *plmn-IdentityList*, or when no more PLMNs are present within the same *plmn-IdentityList*, then the PLMN listed 1st in the subsequent *plmn-IdentityList* within the same SIB1 and so on. NOTE 6.

#### p-Max

Value applicable for the cell. If absent the UE applies the maximum power according to its capability as specified in TS 36.101 [42], clause 6.2.2. NOTE 2.

# posSIB-MappingInfo

List of the posSIBs mapped to this SystemInformation message.

# posSibType

The positioning SIB type is defined in TS 36.355 [54].

#### SystemInformationBlockType1 field descriptions

#### g-QualMin

Parameter "Q<sub>qualmin</sub>" in TS 36.304 [4]. If *cellSelectionInfo-v920* is not present, the UE applies the (default) value of negative infinity for Q<sub>qualmin</sub>. NOTE 1.

# q-QualMinRSRQ-OnAllSymbols

If this field is present and supported by the UE, the UE shall, when performing RSRQ measurements, perform RSRQ measurement on all OFDM symbols in accordance with TS 36.214 [48]. NOTE 1.

#### q-QualMinOffset

Parameter " $Q_{qualminoffset}$ " in TS 36.304 [4]. Actual value  $Q_{qualminoffset}$  = field value [dB]. If *cellSelectionInfo-v920* is not present or the field is not present, the UE applies the (default) value of 0 dB for  $Q_{qualminoffset}$ . Affects the minimum required quality level in the cell.

# q-QualMinWB

If this field is present and supported by the UE, the UE shall, when performing RSRQ measurements, use a wider bandwidth in accordance with TS 36.133 [16]. NOTE 1.

# q-RxLevMinOffset

Parameter Q<sub>rxlevminoffset</sub> in TS 36.304 [4]. Actual value Q<sub>rxlevminoffset</sub> = field value \* 2 [dB]. If absent, the UE applies the (default) value of 0 dB for Q<sub>rxlevminoffset</sub>. Affects the minimum required Rx level in the cell.

#### shas-ID

The presence of this field indicates that the *posSibType* is for a specific SBAS.

#### sib-MappingInfo

List of the SIBs mapped to this *SystemInformation* message. There is no mapping information of SIB2; it is always present in the first *SystemInformation* message listed in the *schedulingInfoList* list.

#### si-HoppingConfigCommon

Frequency hopping activation/deactivation for BR versions of SI messages and MPDCCH/PDSCH of paging.

#### si-Narrowband

This field indicates the index of a narrowband used to broadcast the SI message towards BL UEs and UEs in CE, see TS 36.211 [21], clause 6.4.1 and TS 36.213 [23], clause 7.1.6. Field values (1...maxAvailNarrowBands-r13) correspond to narrowband indices (0..[maxAvailNarrowBands-r13-1]) as specified in TS 36.211 [21].

# si-RepetitionPattern

Indicates the radio frames within the SI window used for SI message transmission. Value everyRF corresponds to every radio frame, value every2ndRF corresponds to every 2 radio frames, and so on. The first transmission of the SI message is transmitted from the first radio frame of the SI window.

# si-Periodicity, posSI-Periodicity

Periodicity of the SI-message in radio frames, such that rf8 denotes 8 radio frames, rf16 denotes 16 radio frames, and so on. If the *si-posOffset* is configured, the *posSI-Periodicity* of rf8 cannot be used.

#### si-posOffset

This field, if present and set to *true* indicates that the SI messages in *PosSchedulingInfoList* are scheduled with an offset of 8 radio frames compared to SI messages in *SchedulingInfoList*. *si-posOffset* may be present only if the shortest configured SI message periodicity for SI messages in *SchedulingInfoList* is 80ms.

#### si-TRS

This field indicates the transport block size information used to broadcast the SI message towards BL UEs and UEs in CE, see TS 36.213 [23], Table 7.1.7.2.1-1, for a 6 PRB bandwidth and a QPSK modulation.

# schedulingInfoList-BR

Indicates additional scheduling information of SI messages for BL UEs and UEs in CE. It includes the same number of entries, and listed in the same order, as in *schedulingInfoList* (without suffix).

#### si-ValidityTime

Indicates system information validity timer. If set to TRUE, the timer is set to 3h, otherwise the timer is set to 24h.

# $si-Window Length, \, si-Window Length-BR$

Common SI scheduling window for all SIs. Unit in milliseconds, where ms1 denotes 1 millisecond, ms2 denotes 2 milliseconds and so on. In case si-WindowLength-BR-r13 is present and the UE is a BL UE or a UE in CE, the UE shall use si-WindowLength-BR-r13 and ignore the original field si-WindowLength (without suffix). UEs other than BL UEs or UEs in CE shall ignore the extension field si-WindowLength-BR-r13.

#### startSvmbolBR

For BL UEs and UEs in CE, indicates the OFDM starting symbol for any MPDCCH, PDSCH scheduled on the same cell except the PDSCH carrying *SystemInformationBlockType1-BR*, see TS 36.213 [23]. Values 1, 2, and 3 are applicable for *dl-Bandwidth* greater than 10 resource blocks. Values 2, 3, and 4 are applicable otherwise.

#### systemInfoValueTagList

Indicates SI message specific value tags for BL UEs and UEs in CE. It includes the same number of entries, and listed in the same order, as in *schedulingInfoList* (without suffix).

# systemInfoValueTagSI

SI message specific value tag as specified in clause 5.2.1.3. Common for all SIBs within the SI message other than MIB, SIB1, SIB10, SIB11, SIB12 and SIB14.

# systemInfoValueTag

Common for all SIBs other than MIB, MIB-MBMS, SIB1, SIB1-MBMS, SIB10, SIB11, SIB12 and SIB14. Change of MIB, MIB-MBMS, SIB1 and SIB1-MBMS is detected by acquisition of the corresponding message.

#### tdd-Config

Specifies the TDD specific physical channel configurations. NOTE 2.

# SystemInformationBlockType1 field descriptions trackingAreaCode/trackingAreaCode-5GC A trackingAreaCode that is common for all the PLMNs listed. NOTE2. NOTE 5.

NOTE 1: The value the UE applies for parameter "Q<sub>qualmin</sub>" in TS 36.304 [4] depends on the *q-QualMin* fields signalled by E-UTRAN and supported by the UE. In case multiple candidate options are available, the UE shall select the highest priority candidate option according to the priority order indicated by the following table (top row is highest priority).

q-QualMinRSRQ-OnAllSymbols	q-QualMinWB	Value of parameter "Q <sub>qualmin</sub> " in TS 36.304 [4]
Included	Included	q-QualMinRSRQ-OnAllSymbols – (q-QualMin – q-
		QualMinWB)
Included	Not included	q-QualMinRSRQ-OnAllSymbols
Not included	Included	q-QualMinWB
Not included	Not included	g-QualMin

- NOTE 2: E-UTRAN sets this field to the same value for all instances of SIB1 message that are broadcasted within the same cell.
- NOTE 3: E-UTRAN configures this field only in the BR version of SIB1 message.
- NOTE 4: E-UTRAN configures at most 6 EPC PLMNs in total (i.e. across all the PLMN lists except for PLMN lists in *cellAccessRelatedInfoList-5GC* in SIB1). E-UTRAN configures at most 6 5GC PLMNs in total (i.e. across all the PLMN lists in *cellAccessRelatedInfoList-5GC* in SIB1).
- NOTE 5: E-UTRAN configures only one value for this parameter per PLMN.
- NOTE 6: E-UTRAN configures *plmn-Index* only if the *cellBarred* is set to *notBarred*.

Conditional presence	Explanation
BW-reduced	The field is optional present, Need OR, if schedulingInfoSIB1-BR in MIB is set to a value
	greater than 0. Otherwise the field is not present.
FBI-max	The field is mandatory present if <i>freqBandIndicator</i> (i.e. without suffix) is set to <i>maxFBI</i> .
	Otherwise the field is not present.
mFBI	The field is optional present, Need OR, if <i>multiBandInfoList</i> is present. Otherwise the field
	is not present.
mFBI-max	The field is mandatory present if one or more entries in <i>multiBandInfoList</i> (i.e. without
	suffix, introduced in -v8h0) is set to maxFBI. Otherwise the field is not present.
RSRQ	The field is mandatory present if SIB3 is being broadcast and threshServingLowQ is
	present in SIB3; otherwise optionally present, Need OP.
RSRQ2	The field is mandatory present if <i>q-QualMinRSRQ-OnAllSymbols</i> is present in SIB3;
	otherwise it is not present and the UE shall delete any existing value for this field.
Hopping	The field is mandatory present if si-HoppingConfigCommon field is broadcasted and set
	to on. Otherwise the field is optionally present, need OP.
QrxlevminCE1	The field is optionally present, Need OR, if <i>q-RxLevMinCE1-r13</i> is set below -140 dBm.
	Otherwise the field is not present.
TDD	This field is mandatory present for TDD; it is not present for FDD and the UE shall delete
	any existing value for this field.
TDD-OR	The field is optional present for TDD, need OR; it is not present for FDD.
WB-RSRQ	The field is optionally present, need OP if the measurement bandwidth indicated by
	allowedMeasBandwidth in systemInformationBlockType3 is 50 resource blocks or larger;
	otherwise it is not present.
SI-BR	The field is mandatory present if schedulingInfoSIB1-BR is included in MIB with a value
	greater than 0. Otherwise the field is not present.

# SystemInformationBlockType1-MBMS

*SystemInformationBlockType1-MBMS* contains information relevant for receiving service from MBMS-dedicated cell and defines the scheduling of other system information.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channels: BCCH

Direction: E-UTRAN to UE

# SystemInformationBlockType1-MBMS message

```
-- ASN1START
SystemInformationBlockType1-MBMS-r14 ::= SEQUENCE {
   cellAccessRelatedInfo-r14
                                              SEQUENCE
                                                  PLMN-IdentityList-MBMS-r14,
        plmn-IdentityList-r14
        trackingAreaCode-r14
                                                      TrackingAreaCode,
        cellIdentity-r14
                                                       CellIdentity
    freqBandIndicator-r14
                                              FreqBandIndicator-r11,
   multiBandInfoList-r14
                                              MultiBandInfoList-r11
                                                                                   OPTIONAL, -- Need OR
    schedulingInfoList-MBMS-r14 SchedulingInfoList-MBMS-r14,
    si-WindowLength-r14
                                          ENUMERATED
                                                  ms1, ms2, ms5, ms10, ms15, ms20, ms40, ms80},
    systemInfoValueTag-r14
                                              INTEGER (0..31),
   nonMBSFN-SubframeConfig-r14
                                              NonMBSFN-SubframeConfig-r14
                                                                              OPTIONAL, --Need OR
   pdsch-ConfigCommon-r14
                                             PDSCH-ConfigCommon,
   systemInformationBlockType13-r14 SystemInformationBlockType13-r9 OF cellAccessRelatedInfoList-r14 SEQUENCE (SIZE (1..maxPLMN-1-r14)) OF
                                              SystemInformationBlockType13-r9 OPTIONAL, --Need OR
                                              CellAccessRelatedInfo-r14 OPTIONAL,
                                                                                        -- Need OR
    {\tt nonCriticalExtension}
                                              SEQUENCE {}
                                                                                    OPTIONAL
PLMN-IdentityList-MBMS-r14 ::=
                                              SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-Identity
SchedulingInfoList-MBMS-r14 ::= SEQUENCE (SIZE (1..maxSI-Message)) OF SchedulingInfo-MBMS-r14
SchedulingInfo-MBMS-r14 ::= SEQUENCE {
    si-Periodicity-r14
                                              ENUMERATED {
                                                  rf16, rf32, rf64, rf128, rf256, rf512},
    sib-MappingInfo-r14
                                              SIB-MappingInfo-MBMS-r14
}
SIB-MappingInfo-MBMS-r14 ::= SEQUENCE (SIZE (0..maxSIB-1)) OF SIB-Type-MBMS-r14
SIB-Type-MBMS-r14 ::=
                                          ENUMERATED {
                                              sibType10, sibType11, sibType12-v920, sibType13-v920,
                                              sibType15-v1130, sibType16-v1130, ...}
NonMBSFN-SubframeConfig-r14 ::=
                                         SEQUENCE {
   radioFrameAllocationPeriod-r14
radioFrameAllocationOffset-r14
subframeAllocation r14
                                         ENUMERATED {rf4, rf8, rf16, rf32, rf64, rf128, rf512},
                                        INTEGER (0..7),
    subframeAllocation-r14
                                         BIT STRING (SIZE(9))
}
-- ASN1STOP
```

# SystemInformationBlockType1-MBMS field descriptions

#### cellAccessRelatedInfoList

This field contains a list allowing signalling of access related information per PLMN. One PLMN can be included in only one entry of this list. NOTE 2.

# cellIdentity

Indicates the cell identity. NOTE 1.

# freqBandIndicator

A list of as defined in TS 36.101 [42], table 6.2.4-1, for the frequency band in freqBandIndicator.

# multiBandInfoList

A list of additional frequency band indicators, as defined in TS 36.101 [42], table 5.5-1, that the cell belongs to. If the UE supports the frequency band in the *freqBandIndicator* field it shall apply that frequency band. Otherwise, the UE shall apply the first listed band which it supports in the *multiBandInfoList* field.

# nonMBSFN-SubframeConfig

Defines the non-MBSFN subframes within the radio frame allocation period defined by the radioFrameAllocationPeriod and the radioFrameAllocationOffset.

#### plmn-IdentityList

List of PLMN identities. The first listed *PLMN-Identity* is the primary PLMN. NOTE 1.

# radioFrameAllocationPeriod, radioFrameAllocationOffset

Radio-frames that contain non-MBSFN subframes occur when equation *SFN* mod *radioFrameAllocationPeriod* = *radioFrameAllocationOffset* is satisfied. Value rf4 for *radioframeAllocationPeriod* denotes 4 radio frames, rf8 detones 8 radion frames, and so on.

# schedulingInfoList-MBMS

Indicates additional scheduling information of SI messages on MBMS-dedicated cell.

#### sib-MappingInfo

List of the SIBs mapped to this SystemInformation message.

# si-Periodicity

Periodicity of the SI-message in radio frames, such that rf16 denotes 16 radio frames, rf32 denotes 32 radio frames, and so on.

#### si-WindowLength

Common SI scheduling window for all SIs. Unit in milliseconds, where ms1 denotes 1 millisecond, ms2 denotes 2 milliseconds and so on.

#### subframeAllocation

Defines the subframes that are allocated for non-MBSFN within the radio frame allocation period defined by the radioFrameAllocationPeriod and the radioFrameAllocationOffset. "0" denotes that the corresponding subframe is a MBSFN subframe. "1" denotes that the corresponding subframe is a non-MBSFN subframe. If E-UTRAN configures a value other than "0" for additionalNonMBSFNSubframes within MasterInformationBlock-MBMS, subframeAllocation configuration should also indicate subframes pointed out by additionalNonMBSFNSubframes as non-MBSFN subframes.

# systemInformationBlockType13

E-UTRAN does not configure this field if *schedulingInfoList–MBMS* indicates that *SystemInformationBlockType13* is present.

# systemInfoValueTag

Common for all SIBs other than MIB, SIB1, SIB10, SIB11, SIB12 and SIB14. Change of MIB and SIB1 is detected by acquisition of the corresponding message.

### trackingAreaCode

A trackingAreaCode that is common for all the PLMNs listed. NOTE1.

NOTE 1: E-UTRAN sets this field to the same value for all instances of SIB1-MBMS message that are broadcasted within the same cell.

# UEAssistanceInformation

The UEAssistanceInformation message is used for the indication of UE assistance information to the eNB.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# **UEAssistanceInformation message**

-- ASN1START

```
UEAssistanceInformation-r11 ::= SEQUENCE {
    criticalExtensions
                                       CHOICE {
        c1
                                           CHOICE {
            ueAssistanceInformation-r11
                                              UEAssistanceInformation-r11-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
                                           SEOUENCE {}
        criticalExtensionsFuture
}
UEAssistanceInformation-r11-IEs ::=
                                     SEQUENCE {
   powerPrefIndication-rl1
                                       ENUMERATED
                                                   {normal, lowPowerConsumption}
                                                                                    OPTIONAL.
                                                                                    OPTIONAL,
    lateNonCriticalExtension
                                        OCTET STRING
    nonCriticalExtension
                                       UEAssistanceInformation-v1430-IEs
                                                                                    OPTIONAL
UEAssistanceInformation-v1430-IEs ::= SEQUENCE {
    bw-Preference-r14
                                           BW-Preference-r14
                                                                                    OPTIONAL,
    sps-AssistanceInformation-r14
                                           SEQUENCE {
        trafficPatternInfoListSL-r14
trafficPatternInfoListUL-r14
                                               TrafficPatternInfoList-r14
                                                                                    OPTIONAL,
                                                TrafficPatternInfoList-r14
                                                                                    OPTIONAL
               OPTIONAL,
    rlm-Report-r14
                                           SEQUENCE {
       rlm-Event-r14
                                               ENUMERATED {earlyOutOfSync, earlyInSync},
       excessRep-MPDCCH-r14
                                               ENUMERATED {excessRep1, excessRep2} OPTIONAL
                                                                                   OPTIONAL,
    delayBudgetReport-r14
                                           DelayBudgetReport-r14
                                                                                   OPTIONAL,
                                           UEAssistanceInformation-v1450-IEs
    nonCriticalExtension
                                                                                    OPTIONAL
}
UEAssistanceInformation-v1450-IEs ::= SEQUENCE {
   overheatingAssistance-r14
                                           OverheatingAssistance-r14
                                            UEAssistanceInformation-v1530-IEs
    nonCriticalExtension
                                                                                   OPTIONAL
}
UEAssistanceInformation-v1530-IEs ::= SEQUENCE {
                                        SEQUENCE {
   sps-AssistanceInformation-v1530
        trafficPatternInfoListSL-v1530
                                                TrafficPatternInfoList-v1530
               OPTIONAL,
                                                                           OPTIONAL
    nonCriticalExtension
                                            SEQUENCE {}
}
BW-Preference-r14 ::= SEQUENCE {
   dl-Preference-r14 ENUMERATED {mhz1dot4, mhz5, mhz20 } ul-Preference-r14 ENUMERATED {mhz1dot4, mhz5}
                                                                                OPTIONAL,
                                                                                OPTIONAL
TrafficPatternInfoList-r14 ::= SEQUENCE (SIZE (1..maxTrafficPattern-r14)) OF TrafficPatternInfo-r14
TrafficPatternInfo-r14 ::= SEQUENCE {
   trafficPeriodicity-r14
                                    ENUMERATED {
                                        sf20, sf50, sf100, sf200, sf300, sf400, sf500,
                                        sf600, sf700, sf800, sf900, sf1000},
    timingOffset-r14
                                    INTEGER (0..10239),
                                    SL-Priority-r13
    priorityInfoSL-r14
                                                                                OPTIONAL,
    logicalChannelIdentityUL-r14
                                   INTEGER (3..10)
                                                                                OPTIONAL,
   messageSize-r14
                                   BIT STRING (SIZE (6))
}
TrafficPatternInfoList-v1530 ::= SEQUENCE (SIZE (1..maxTrafficPattern-r14)) OF TrafficPatternInfo-
TrafficPatternInfo-v1530 ::= SEQUENCE {
                               SL-DestinationIdentity-r12
    trafficDestination-r15
                                                                                OPTIONAL.
    reliabilityInfoSL-r15
                                   SL-Reliability-r15
                                                                                OPTIONAL
                         CHOICE {
DelayBudgetReport-r14::=
                                    ENUMERATED {
   type1
                                        msMinus1280, msMinus640, msMinus320, msMinus160,
                                        msMinus80, msMinus60, msMinus40, msMinus20, ms0, ms20,
                                        ms40, ms60, ms80, ms160, ms320, ms640, ms1280},
    type2
                                    ENUMERATED {
                                        msMinus192, msMinus168, msMinus144, msMinus120,
                                        msMinus96, msMinus72, msMinus48, msMinus24, ms0, ms24,
                                        ms48, ms72, ms96, ms120, ms144, ms168, ms192
```

```
OverheatingAssistance-r14 ::= SEQUENCE {
    reducedUE-Category SEQUENCE {
        reducedUE-CategoryDL INTEGER (0..19),
        reducedUE-CategoryUL INTEGER (0..21)
    } OPTIONAL,
    reducedMaxCCs SEQUENCE {
        reducedCCSDL INTEGER (0..31),
        reducedCCSUL INTEGER (0..31)
    }
}

-- ASN1STOP
```

# **UEAssistanceInformation** field descriptions

## delayBudgetReport

Indicates the UE-preferred adjustment to connected mode DRX or coverage enhancement configuration.

#### dl-Preference

Indicates UE's preference on configuration of maximum PDSCH bandwidth. The value mhz1dot4 corresponds to CE mode usage in 1.4MHz bandwidth, mhz5 corresponds to CE mode usage in 5MHz bandwidth, and mhz20 corresponds to CE mode usage in 20MHz bandwidth or normal coverage.

#### excessRep-MPDCCH

Indicates the excess number of repetitions on MPDCCH. Value excessRep1 and excessRep2 indicate the excess number of repetitions defined in TS 36.133 [16].

#### IogicalChannelIdentityUL

Indicates the logical channel identity associated with the reported traffic pattern in the uplink logical channel.

# messageSize

Indicates the maximum TB size based on the observed traffic pattern. The value refers to the index of TS 36.321 [6], table 6.1.3.1-1.

# powerPrefIndication

Value *lowPowerConsumption* indicates the UE prefers a configuration that is primarily optimised for power saving. Otherwise the value is set to *normal*.

# priorityInfoSL

Indicates the traffic priority (i.e., PPPP) associated with the reported traffic pattern for V2X sidelink communication.

#### reducedCCsDL

Indicates the UE's preference on reduced configuration corresponding to the maximum number of downlink SCells indicated by the field, to address overheating. This maximum number includes both SCells of E-UTRA and PSCell/SCells of NR in (NG)EN-DC.

#### reducedCCsUL

Indicates the UE's preference on reduced configuration corresponding to the maximum number of uplink SCells indicated by the field, to address overheating. This maximum number includes both SCells of E-UTRA and PSCell/SCells of NR in (NG)EN-DC.

# reducedUE-CategoryDL, reducedUE-CategoryUL

Indicates that UE prefers a configuration corresponding to the reduced UE category, to address overheating. The reduced UE DL category and reduced UE UL category should be indicated according to supported combinations for UE UL and DL Categories, see TS 36.306 [5], Table 4.1A-6.

#### reliabilityInfoSL

Indicates the traffic reliability (i.e., PPPR) associated with the reported traffic pattern for V2X sidelink communication.

#### rlm-Event

This field provides the RLM event ("early-out-of-sync" or "early-in-sync").

# rlm-Report

This field provides the RLM report for BL UEs and UEs in CE.

# sps-AssistanceInformation

Indicates the UE assistance information to assist E-UTRAN to configure SPS.

# timingOffset

This field indicates the estimated timing for a packet arrival in a SL/UL logical channel. Specifically, the value indicates the timing offset with respect to subframe#0 of SFN#0 in milliseconds.

# trafficDestination

Indicates the destination associated with the reported traffic pattern for V2X sidelink communication.

### trafficPatternInfoListSL

This field provides the traffic characteristics of sidelink logical channel(s) that are setup for V2X sidelink communication. If *trafficPatternInfoListSL-v1530* is included, it includes the same number of entries, and listed in the same order, as in *trafficPatternInfoListSL-r14*.

## trafficPatternInfoListUL

This field provides the traffic characteristics of uplink logical channel(s).

# trafficPeriodicity

This field indicates the estimated data arrival periodicity in a SL/UL logical channel. Value sf20 corresponds to 20 ms, sf50 corresponds to 50 ms and so on.

#### type1

Indicates the preferred amount of increment/decrement to the connected mode DRX cycle length with respect to the current configuration. Value in number of milliseconds. Value ms40 corresponds to 40 milliseconds, msMinus40 corresponds to -40 milliseconds and so on.

#### type2

Indicates the preferred amount of increment/decrement to the coverage enhancement configuration with respect to the current configuration so that the Uu air interface delay changes by the indicated amount. Value in number of milliseconds. Value ms24 corresponds to 24 milliseconds, msMinus24 corresponds to -24 milliseconds and so on.

# ul-Preference

Indicates UE's preference on configuration of maximum PUSCH bandwidth. The value mhz1dot4 corresponds to CE mode usage in 1.4MHz bandwidth, and mhz5 corresponds to CE mode usage in 5MHz bandwidth.

# UECapabilityEnquiry

The *UECapabilityEnquiry* message is used to request the transfer of UE radio access capabilities for E-UTRA as well as for other RATs.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# UECapabilityEnquiry message

```
-- ASN1START
UECapabilityEnquiry ::=
                                     SEQUENCE {
    rrc-TransactionIdentifier
                                        RRC-TransactionIdentifier,
    criticalExtensions
                                         CHOICE {
            ueCapabilityEnquiry-r8

Spare 2 Number 1
                                                UECapabilityEnquiry-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        criticalExtensionsFuture
                                             SEQUENCE { }
}
UECapabilityEnquiry-r8-IEs ::=
                                    SEQUENCE {
    ue-CapabilityRequest
                                        UE-CapabilityRequest,
   nonCriticalExtension
                                        UECapabilityEnquiry-v8a0-IEs
                                                                            OPTIONAL
UECapabilityEnquiry-v8a0-IEs ::=
                                    SEQUENCE {
                                   OCTET STRING
   lateNonCriticalExtension
                                                                              OPTIONAL.
    nonCriticalExtension
                                        UECapabilityEnquiry-v1180-IEs
                                                                              OPTIONAL
UECapabilityEnquiry-v1180-IEs ::= SEQUENCE {
   requestedFrequencyBands-r11 SEQUENCE (SIZE (1..16)) OF FreqBandIndicator-r11
    OPTIONAL,
    nonCriticalExtension
                                        UECapabilityEnquiry-v1310-IEs
                                                                                               OPTIONAL
}
UECapabilityEnquiry-v1310-IEs ::= SEQUENCE {
   requestReducedFormat-r13 ENUMERATED {true}
requestSkipFallbackComb-r13 ENUMERATED {true}
requestedMaxCCsDL-r13 INTEGER (2..32)
requestedMaxCCsUL-r13 INTEGER (2..32)
                                                                              OPTIONAL,
                                                                                          -- Need ON
                                                                              OPTIONAL,
                                                                                           -- Need ON
                                                                                          -- Need ON
                                                                              OPTIONAL,
    requestedMaxCCsUL-r13
                                        INTEGER (2..32)
                                                                              OPTIONAL,
                                                                                          -- Need ON
    requestReducedIntNonContComb-r13
                                         ENUMERATED {true}
                                                                              OPTIONAL,
                                                                                          -- Need ON
                                        UECapabilityEnquiry-v1430-IEs
   nonCriticalExtension
}
UECapabilityEnquiry-v1430-IEs ::= SEQUENCE {
    requestDiffFallbackCombList-r14 BandCombinationList-r14
                                                                              OPTIONAL,
                                                                                           -- Need ON
                                        UECapabilityEnquiry-v1510-IEs
   nonCriticalExtension
UECapabilityEnquiry-v1510-IEs ::= SEQUENCE {
    requestedFreqBandsNR-MRDC-r15 OCTET STRING
                                                                              OPTIONAL,
                                         UECapabilityEnquiry-v1530-IEs
    nonCriticalExtension
                                                                              OPTIONAL
UECapabilityEnquiry-v1530-IEs ::= SEQUENCE {
   requestSTTI-SPT-Capability-r15 ENUMERATED {true}
entra-nr-onlv-r15 ENUMERATED {true}
                                                                              OPTIONAL,
                                                                              OPTIONAL.
    nonCriticalExtension
                                        UECapabilityEnquiry-v1550-IEs
                                                                              OPTIONAL
UECapabilityEnquiry-v1550-IEs ::= SEQUENCE {
    requestedCapabilityNR-r15
                                         OCTET STRING
                                                                              OPTIONAL,
    nonCriticalExtension
                                         UECapabilityEnquiry-v1560-IEs
                                                                              OPTIONAL
UECapabilityEnquiry-v1560-IEs ::= SEQUENCE {
   requestedCapabilityCommon-r15 OCTET STRING
                                                                              OPTIONAL,
```

```
nonCriticalExtension SEQUENCE {} OPTIONAL
}

UE-CapabilityRequest ::= SEQUENCE (SIZE (1..maxRAT-Capabilities)) OF RAT-Type

-- ASN1STOP
```

### **UECapabilityEnquiry** field descriptions

### eutra-nr-only

Indicates that the UE is requested to provide UE capabilities related to (NG)EN-DC only as specified in TS38.331 [82].

## requestDiffFallbackCombList

List of CA band combinations for which the UE is requested to provide different capabilities for their fallback band combinations in conjunction with the capabilities supported for the CA band combinations in this list. The UE shall exclude fallback band combinations for which their supported UE capabilities are the same as the CA band combination indicated in this list.

## requestReducedFormat

Indicates that the UE is requested to provide supported CA band combinations in the

supportedBandCombinationReduced-r13 instead of the supportedBandCombination-r10. The E-UTRAN includes this field if requestSkipFallbackComb or requestDiffFallbackCombList is included in the message.

## requestSkipFallbackComb

Indicates that the UE shall explicitly exclude fallback CA band combinations in capability signalling.

## ue-CapabilityRequest

List of the RATs for which the UE is requested to transfer the UE radio access capabilities i.e. E-UTRA, UTRA, GERAN-CS, GERAN-PS, CDMA2000. A separate *RAT-Type* value applies for some EUTRA-NR capabilities that are transferred by a separate UE capability container, used in case of MRDC.

# requestedFrequencyBands

List of frequency bands for which the UE is requested to provide supported CA band combinations and non CA bands.

## requestedFreqBandsNR-MRDC

Interpreted as *FreqBandList* IE as specified in TS 38.331 [82]. It concerns a list of NR and/ or E-UTRA frequency bands for which the UE is requested to provide its supported NR CA and/or MR-DC band combinations (i.e. within the UE capability containers for NR and MR-DC, as requested by E-UTRAN) and feature sets corresponding to the MR-DC band combinations (i.e. within the UE capability containers for LTE and NR, as requested by E-UTRAN).

## requestedCapabilityCommon

Contains the filter common for all requested MR-DC related capability containers as defined by *UE-CapabilityRequestFilterCommon* IE in TS 38.331 [82].

# requestedCapabilityNR

Interpreted as *UE-CapabilityRequestFilterNR* IE as specified in TS 38.331 [82], in which the field *frequencyBandList* is omitted.

## requestedMaxCCsDL, requestedMaxCCsUL

Indicates the maximum number of CCs for which the UE is requested to provide supported CA band combinations and non-CA bands.

# requestReducedIntNonContComb

Indicates that the UE shall explicitly exclude supported intra-band non-contiguous CA band combinations other than included in capability signalling as specified in TS 36.306 [5], clause 4.3.5.21.

## requestSTTI-SPT-Capability

Indicates that the UE is requested to provide its supported short TTI and SPT capabilities in capability signalling.

# UECapabilityInformation

The UECapabilityInformation message is used to transfer of UE radio access capabilities requested by the E-UTRAN.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

## **UECapabilityInformation message**

```
-- ASN1START

UECapabilityInformation ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
```

```
CHOICE {
           ueCapabilityInformation-r8
                                              UECapabilityInformation-r8-IEs,
           spare7 NULL,
           spare6 NULL, spare5 NULL, spare4 NULL,
           spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                         SEQUENCE {}
}
UECapabilityInformation-r8-IEs ::= SEQUENCE {
   ue-CapabilityRAT-ContainerList
                                     UE-CapabilityRAT-ContainerList,
   nonCriticalExtension
                                      UECapabilityInformation-v8a0-IEs
                                                                       OPTIONAL
UECapabilityInformation-v8a0-IES ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
                                                                        OPTIONAL,
   nonCriticalExtension
                                      UECapabilityInformation-v1250-IES OPTIONAL
UECapabilityInformation-v1250-IEs ::= SEQUENCE {
   ue-RadioPagingInfo-r12
                                    UE-RadioPagingInfo-r12
                                                                        OPTIONAL,
   nonCriticalExtension
                                      SEQUENCE {}
                                                                         OPTIONAL
-- ASN1STOP
```

## **UECapabilityInformation** field descriptions

## ue-RadioPagingInfo

This field contains UE capability information used for paging.

# UEInformationRequest

The UEInformationRequest is the command used by E-UTRAN to retrieve information from the UE.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

## UEInformationRequest message

```
-- ASN1START
CHOICE {
ueInformationRequest-r9
spare3 NULT
                                           UEInformationRequest-r9-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
                                       SEQUENCE {}
       criticalExtensionsFuture
   }
UEInformationRequest-r9-IEs ::=
                               SEQUENCE {
   rach-ReportReq-r9
                                   BOOLEAN.
   rlf-ReportReq-r9
                                    BOOLEAN,
   nonCriticalExtension
                                    UEInformationRequest-v930-IEs
                                                                    OPTIONAL
}
UEInformationRequest-v930-IEs ::= SEOUENCE {
   lateNonCriticalExtension OCTET STRING
                                                                    OPTIONAL,
   nonCriticalExtension
                                   UEInformationRequest-v1020-IEs
                                                                    OPTIONAL
UEInformationRequest-v1020-IEs ::= SEQUENCE {
                        ENUMERATED {true}
UEInformationRegul
   logMeasReportReq-r10
                                                                    OPTIONAL,
                                                                               -- Need ON
   nonCriticalExtension
                                   UEInformationRequest-v1130-IEs
                                                                    OPTIONAL
```

```
}
UEInformationRequest-v1130-IEs ::= SEQUENCE {
   connEstFailReportReq-r11 ENUMERATED {true}
                                                                   OPTIONAL,
                                                                               -- Need ON
                                   UEInformationRequest-v1250-IEs
   {\tt nonCriticalExtension}
                                                                    OPTIONAL
UEInformationRequest-v1250-IEs ::= SEQUENCE {
   mobilityHistoryReportReq-r12 ENUMERATED {true}
                                                                   OPTIONAL,
                                                                               -- Need ON
                                   UEInformationRequest-v1530-IEs
   nonCriticalExtension
UEInformationRequest-v1530-IEs ::= SEQUENCE {
                                   FlightPathInfoReportConfig-rl5 OPTIONAL,
SEQUENCE {} OPTIONAL
   -- Need ON
   flightPathInfoReq-r15
                                                                               -- Need ON
   nonCriticalExtension
-- ASN1STOP
```

### **UEInformationRequest** field descriptions

## rach-ReportReq

This field is used to indicate whether the UE shall report information about the random access procedure.

# UEInformationResponse

The UEInformationResponse message is used by the UE to transfer the information requested by the E-UTRAN.

Signalling radio bearer: SRB1 or SRB2 (when logged measurement information is included)

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

## UEInformationResponse message

```
-- ASN1START
UEInformationResponse-r9
                                SEOUENCE {
   rrc-TransactionIdentifier RRC-TransactionIdentifier,
   criticalExtensions
                                   CHOICE {
           ueInformationResponse-r9 CHOICE {
                                         UEInformationResponse-r9-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                        SEQUENCE {}
}
                                    SEQUENCE {
UEInformationResponse-r9-IEs ::=
                                    SEQUENCE {
   rach-Report-r9
       numberOfPreamblesSent-r9
                                            NumberOfPreamblesSent-r11,
                                            BOOLEAN
       contentionDetected-r9
                                                              OPTIONAL,
                                        RLF-Report-r9
   rlf-Report-r9
                                                              OPTIONAL,
   nonCriticalExtension
                                        UEInformationResponse-v930-IEs
                                                                            OPTIONAL
-- Late non critical extensions
UEInformationResponse-v9e0-IEs ::= SEQUENCE {
                       RLF-Report-v9e0
   rlf-Report-v9e0
                                                                 OPTIONAL,
                                     SEQUENCE {}
                                                                  OPTIONAL
   nonCriticalExtension
 - Regular non critical extensions
UEInformationResponse-v930-IEs ::= SEQUENCE {
   lateNonCriticalExtension
                                    OCTET STRING (CONTAINING UEInformationResponse-v9e0-IEs)
   OPTIONAL,
   nonCriticalExtension
                                    UEInformationResponse-v1020-IEs
                                                                      OPTIONAL
}
```

```
UEInformationResponse-v1020-IEs ::= SEQUENCE {
    logMeasReport-r10
                                            LogMeasReport-r10
                                                                                     OPTIONAL,
                                             UEInformationResponse-v1130-IEs
                                                                                     OPTIONAL
    nonCriticalExtension
UEInformationResponse-v1130-IEs ::= SEQUENCE {
   connEstFailReport-r11
                                            ConnEstFailReport-r11
                                                                                     OPTIONAL.
                                            UEInformationResponse-v1250-IES OPTIONAL
    nonCriticalExtension
UEInformationResponse-v1250-IEs ::= SEQUENCE {
   mobilityHistoryReport-r12 MobilityHistoryReport-r12 OPTIONAL, nonCriticalExtension UEInformationResponse-v1530-IEs OPTIONAL
}
UEInformationResponse-v1530-IEs ::= SEQUENCE {
   nonCriticalExtension
                                            SEQUENCE {}
                                                                                 OPTIONAL
}
    -Report-r9 ::= SEQUENCE {
measResultLastServCell-r9 SEQUENCE {
rsrpResult-r9 RSRP-Rance rsrqResult-r9
RLF-Report-r9 ::=
                                             RSRP-Range,
                                                 RSRQ-Range
                                                                                    OPTIONAL
    measResultNeighCells-r9
measResultListEUTRA-r9
measResultListEUTRA-r9
measResultListUTRA-r9
measResultListUTRA-r9
measResultListGERAN-r9
measResultListGERAN-r9
measResultListGERAN
measResultSCDMA2000-r9
MeasResultList2CDMA2000-r9
MeasResultList2CDMA2000-r9
MeasResultList2CDMA2000-r9
MeasResultList2CDMA2000-r9
    }
        OPTIONAL,
    OPTIONAL,
                                                CellGlobalIdEUTRA,
                 physCellId-r10
                                                          PhysCellId.
                  carrierFreq-r10
                                                          ARFCN-ValueEUTRA
                                                                                     OPTIONAL.
        reestablishmentCellId-r10 CellGlobalIdEUTRA
timeConnFailure-r10 INTEGER (0..1023)
connectionFailureType-r10 ENUMERATED {rlf, hof}
previousPCellId-r10 CellGlobalIdEUTRA
                                                                                     OPTIONAL,
                                                                                     OPTIONAL,
                                                                                     OPTIONAL,
                                                                                     OPTIONAL
    11.
    ARFCN-ValueEUTRA-v9e0
        }
                                                                                     OPTIONAL
    11,
    [[ basicFields-r11
                                           SEQUENCE {
            c-RNTI-r11
                                               C-RNTI,
             rlf-Cause-r11
                                                  ENUMERATED {
                                                      t310-Expiry, randomAccessProblem,
                                                      rlc-MaxNumRetx, t312-Expiry-r12},
             timeSinceFailure-r11
                                               TimeSinceFailure-r11
        previousUTRA-CellId-r11 SEQUENCE {
    carrierFreq-r11 ARFCN-\
    physCellId-r11 CHOICE
                                            ARFCN-ValueUTRA,
                                                 CHOICE {
                 fdd-r11
                                                      PhysCellIdUTRA-FDD,
                                                      PhysCellIdUTRA-TDD
                 tdd-r11
             cellGlobalId-r11
                                                CellGlobalIdUTRA
                                                                                     OPTIONAL
                                                                                      OPTIONAL,
        selectedUTRA-CellId-r11
carrierFreq-r11
physCellId-r11
fdd-r11
                                          SEQUENCE {
                                             ARFCN-ValueUTRA,
                                                 CHOICE {
                 fdd-r11
                                                      PhysCellIdUTRA-FDD,
                                                      PhysCellIdUTRA-TDD
                  tdd-r11
        }
                                                                                      OPTIONAL
    ]],
             ledPCellId-v1250
tac-FailedPCell-r12
        failedPCellId-v1250
                                            SEQUENCE {
                                                TrackingAreaCode
                                                                                      OPTIONAL.
         measResultLastServCell-v1250 RSRQ-Range-v1250
                                                                                      OPTIONAL,
```

```
lastServCellRSRQ-Type-r12 RSRQ-Type-r12
                                                                         OPTIONAL,
                                     MeasResultList2EUTRA-v1250
       measResultListEUTRA-v1250
                                                                         OPTIONAL
   11,
       drb-EstablishedWithQCI-1-r13 ENUMERATED {qci1}
                                                                         OPTIONAL
   ] ]
    ]],
   [[ measResultLastServCell-v1360 RSRP-Range-v1360
                                                                         OPTIONAL
   11.
       logMeasResultListBT-r15
                                      LogMeasResultListBT-r15
                                                                         OPTIONAL.
   [ [
       logMeasResultListWLAN-r15
                                      LogMeasResultListWLAN-r15
                                                                         OPTIONAL
   ]]
}
RLF-Report-v9e0 ::=
                              SEOUENCE {
   measResultListEUTRA-v9e0
                                     MeasResultList2EUTRA-v9e0
MeasResultList2EUTRA-r9 ::=
                                     SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2EUTRA-r9
MeasResultList2EUTRA-v9e0 ::=
                                     SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2EUTRA-v9e0
                                     SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2EUTRA-v1250
MeasResultList2EUTRA-v1250 ::=
MeasResult2EUTRA-r9 ::=
                                 SEQUENCE {
   carrierFreq-r9
                                     ARFCN-ValueEUTRA,
   measResultList-r9
                                      MeasResultListEUTRA
                                  SEQUENCE {
MeasResult2EUTRA-v9e0 ::=
                                     ARFCN-ValueEUTRA-v9e0
   carrierFreq-v9e0
                                                               OPTIONAL
}
MeasResult2EUTRA-v1250 ::=
                                  SEQUENCE {
                                      RSRQ-Type-r12 OPTIONAL
   rsrq-Type-r12
MeasResultList2UTRA-r9 ::=
                                  SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2UTRA-r9
MeasResult2UTRA-r9 ::=
                                  SEOUENCE {
                                      ARFCN-ValueUTRA.
   carrierFreq-r9
   measResultList-r9
                                      MeasResultListUTRA
MeasResultList2CDMA2000-r9 ::=
                                  SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2CDMA2000-r9
MeasResult2CDMA2000-r9 ::=
                                  SEQUENCE {
   carrierFreq-r9
                                      CarrierFreqCDMA2000,
                                      MeasResultsCDMA2000
   measResultList-r9
}
LogMeasReport-r10 ::=
                                 SEQUENCE {
   absoluteTimeStamp-r10
                                    AbsoluteTimeInfo-r10,
   traceReference-r10
                                      TraceReference-r10,
    traceRecordingSessionRef-r10
                                     OCTET STRING (SIZE (2)),
                                      OCTET STRING (SIZE (1)),
   tce-Id-r10
   logMeasInfoList-r10
                                     LogMeasInfoList-r10,
                                      ENUMERATED {true}
   logMeasAvailable-r10
                                                                     OPTIONAL.
   [[ logMeasAvailableBT-r15
                                    ENUMERATED {true}
                                                                     OPTIONAL,
       logMeasAvailableWLAN-r15
                                     ENUMERATED {true}
                                                                     OPTIONAL
   11
}
LogMeasInfoList-r10 ::=
                         SEQUENCE (SIZE (1..maxLogMeasReport-r10)) OF LogMeasInfo-r10
LogMeasInfo-r10 ::=
                     SEOUENCE {
   locationInfo-r10
                                      LocationInfo-r10
                                                                     OPTIONAL,
   relativeTimeStamp-r10
                                      INTEGER (0..7200),
                                      CellGlobalIdEUTRA,
   servCellIdentity-r10
                                      SEQUENCE {
   measResultServCell-r10
       rsrpResult-r10
                                          RSRP-Range,
       rsrqResult-r10
                                          RSRQ-Range
                                     SEQUENCE {
   measResultNeighCells-r10
                                      MeasResultList2EUTRA-r9
       measResultListEUTRA-r10
                                                                  OPTIONAL,
                                          MeasResultList2UTRA-r9
       measResultListUTRA-r10
       measResultListGERAN-r10
                                         MeasResultList2GERAN-r10
                                                                     OPTIONAL.
       measResultListCDMA2000-r10
                                         MeasResultList2CDMA2000-r9 OPTIONAL
     OPTIONAL,
```

```
[[ measResultListEUTRA-v1090
                                          MeasResultList2EUTRA-v9e0 OPTIONAL
   1],
   [[ measResultListMBSFN-r12
                                         MeasResultListMBSFN-r12 OPTIONAL,
                                         RSRQ-Range-v1250
       measResultServCell-v1250
                                                                    OPTIONAL,
       servCellRSRQ-Type-r12
                                          RSRQ-Type-r12
                                                                    OPTIONAL.
       measResultListEUTRA-v1250
                                         MeasResultList2EUTRA-v1250 OPTIONAL
   ]],
   [[ inDeviceCoexDetected-r13
                                         ENUMERATED {true}
                                                                    OPTIONAL
    ]],
   [[ measResultServCell-v1360
                                         RSRP-Range-v1360
                                                                    OPTIONAL
   ]],
                                         LogMeasResultListBT-r15
   [[ logMeasResultListBT-r15
                                                                    OPTIONAL,
                                         LogMeasResultListWLAN-r15 OPTIONAL
       logMeasResultListWLAN-r15
   11,
                                         ENUMERATED {true}
   [ anyCellSelectionDetected-r15
                                                                    OPTIONAL
   11
}
MeasResultListMBSFN-r12 ::=
                                 SEQUENCE (SIZE (1..maxMBSFN-Area)) OF MeasResultMBSFN-r12
MeasResultMBSFN-r12 ::=
                         SEQUENCE {
                             SEQUENCE {
   mbsfn-Area-r12
                                  MBSFN-Areald-r12,
      mbsfn-AreaId-r12
                                     ARFCN-ValueEUTRA-r9
       carrierFreq-r12
   rsrpResultMBSFN-r12
                               RSRP-Range,
   rsrqResultMBSFN-r12
                                  MBSFN-RSRQ-Range-r12,
   signallingBLER-Result-r12 BLER-Result-r12 dataBLER-MCH-ResultList-r12 DataBLER-MCH-ResultList-r12
                                                                OPTIONAL.
                                                                OPTIONAL,
}
DataBLER-MCH-ResultList-r12 ::=
                                 SEQUENCE (SIZE (1..maxPMCH-PerMBSFN)) OF DataBLER-MCH-Result-r12
DataBLER-MCH-Result-r12 ::=
                                  SEOUENCE {
   mch-Index-r12
                                     INTEGER (1..maxPMCH-PerMBSFN),
   dataBLER-Result-r12
                                      BLER-Result-r12
}
BLER-Result-r12 ::=
                                  SEQUENCE {
                                   BLER-Range-r12,
   bler-r12
   blocksReceived-r12
                                     SEQUENCE {
       n-r12
                                         BIT STRING (SIZE (3)),
                                          BIT STRING (SIZE (8))
       m-r12
}
BLER-Range-r12 ::=
                                     INTEGER(0..31)
MeasResultList2GERAN-r10 ::=
                                     SEQUENCE (SIZE (1..maxCellListGERAN)) OF MeasResultListGERAN
ConnEstFailReport-r11 ::=
                                     SEQUENCE {
                                      CellGlobalIdEUTRA,
   failedCellId-r11
   locationInfo-r11
                                                                       OPTIONAL,
                                     LocationInfo-r10
   measResultFailedCell-r11
                                     SEQUENCE {
       rsrpResult-r11
                                         RSRP-Range,
       rsrqResult-r11
                                         RSRQ-Range
                                                                        OPTIONAL
                                    SEQUENCE {
   measResultNeighCells-r11
       sResultNeighCells-r11
measResultListEUTRA-r11
                                      MeasResultList2EUTRA-r9
MeasResultList2UTRA-r9
                                                                      OPTIONAL,
       measResultListUTRA-r11
                                                                        OPTIONAL,
       measResultListGERAN-r11
                                         MeasResultListGERAN
       measResultsCDMA2000-r11
                                         MeasResultList2CDMA2000-r9
                                                                        OPTIONAL
       OPTIONAL,
   numberOfPreamblesSent-r11
                                    NumberOfPreamblesSent-r11,
   contentionDetected-r11
                                      BOOLEAN,
   maxTxPowerReached-r11
                                     BOOLEAN,
                                     TimeSinceFailure-r11,
   timeSinceFailure-r11
   measResultListEUTRA-v1130
                                     MeasResultList2EUTRA-v9e0
                                                                       OPTIONAL,
   [[ measResultFailedCell-v1250
                                     RSRQ-Range-v1250
                                                                        OPTIONAL,
       failedCellRSRQ-Type-r12
                                     RSRQ-Type-r12
                                                                        OPTIONAL,
       measResultListEUTRA-v1250
                                     MeasResultList2EUTRA-v1250
                                                                        OPTIONAL
   ]],
   [[ measResultFailedCell-v1360
                                    RSRP-Range-v1360
                                                                        OPTIONAL
    ]],
```

```
logMeasResultListWLAN-r15 LogMeasResultListWLAN-r15 OPTIONAL
  ]]
}
NumberOfPreamblesSent-r11::=
                                      INTEGER (1..200)
TimeSinceFailure-r11 ::=
                                      INTEGER (0..172800)
MobilityHistoryReport-r12 ::= VisitedCellInfoList-r12
FlightPathInfoReport-r15 ::=
                                  SEQUENCE {
   flightPath-r15 SEQUENCE (SIZE (1..maxWayPoint-r15)) OF WayPointLocation-r15 OPTIONAL,
   nonCriticalExtension
                                      SEQUENCE {}
                                                                         OPTIONAL
WayPointLocation-r15 ::= wayPointLocation-r15
                                 SEQUENCE {
                                          LocationInfo-r10,
AbsoluteTimeInfo-r10 OPTIONAL
   timeStamp-r15
-- ASN1STOP
```

#### **UEInformationResponse** field descriptions

#### absoluteTimeStamp

Indicates the absolute time when the logged measurement configuration logging is provided, as indicated by E-UTRAN within absoluteTimeInfo.

## anyCellSelectionDetected

This field is used to indicate the detection of *any cell selection* state, as defined in TS 36.304 [4]. The UE sets this field when performing the logging of measurement results in RRC\_IDLE and there is no suitable cell or no acceptable cell.

#### hler

Indicates the measured BLER value. The coding of BLER value is defined in TS 36.133 [16].

#### blocksReceived

Indicates total number of MCH blocks, which were received by the UE and used for the corresponding BLER calculation, within the measurement period as defined in TS 36.133 [16].

#### carrierFreq

In case the UE includes *carrierFreq-v9e0* and/ or *carrierFreq-v1090*, the UE shall set the corresponding entry of *carrierFreq-r9* and/ or *carrierFreq-r10* respectively to *maxEARFCN*. For E-UTRA and UTRA frequencies, the UE sets the ARFCN according to the band used when obtaining the concerned measurement results.

## connectionFailureType

This field is used to indicate whether the connection failure is due to radio link failure or handover failure.

#### contentionDetected

This field is used to indicate that contention was detected for at least one of the transmitted preambles, see TS 36.321 [6].

#### c-RNTI

This field indicates the C-RNTI used in the PCell upon detecting radio link failure or the C-RNTI used in the source PCell upon handover failure.

#### dataBLER-MCH-ResultList

Includes a BLER result per MCH on subframes using *dataMCS*, with the applicable MCH(s) listed in the same order as in *pmch-InfoList* within *MBSFNAreaConfiguration*.

## drb-EstablishedWithQCI-1

This field is used to indicate the radio link failure occurred while a bearer with QCI value equal to 1 was configured, see TS 24.301 [35].

#### failedCellId

This field is used to indicate the cell in which connection establishment failed.

#### failedPCellId

This field is used to indicate the PCell in which RLF is detected or the target PCell of the failed handover. The UE sets the EARFCN according to the band used for transmission/ reception when the failure occurred.

## inDeviceCoexDetected

Indicates that measurement logging is suspended due to IDC problem detection.

## logMeasResultListBT

This field refers to the Bluetooth measurement results.

### logMeasResultListWLAN

This field refers to the WLAN measurement results.

## maxTxPowerReached

This field is used to indicate whether or not the maximum power level was used for the last transmitted preamble, see TS 36.321 [6].

### mch-Index

Indicates the MCH by referring to the entry as listed in pmch-InfoList within MBSFNAreaConfiguration.

## measResultFailedCell

This field refers to the last measurement results taken in the cell, where connection establishment failure happened. For UE supporting CE Mode B, when CE mode B is not restricted by upper layers, *measResultFailedCell-v1360* is reported if the measured RSRP is less than -140 dBm.

#### measResultLastServCell

This field refers to the last measurement results taken in the PCell, where radio link failure or handover failure happened. For BL UEs or UEs in CE, when operating in CE Mode B, *measResultLastServCell-v1360* is reported if the measured RSRP is less than -140 dBm.

#### measResultListEUTRA

If measResultListEUTRA-v9e0, measResultListEUTRA-v1090 or measResultListEUTRA-v1130 is included, the UE shall include the same number of entries, and listed in the same order, as in measResultListEUTRA-r9, measResultListEUTRA-r10 and/ or measResultListEUTRA-r11 respectively.

### measResultListEUTRA-v1250

If included in *RLF-Report-r9* the UE shall include the same number of entries, and listed in the same order, as in *measResultListEUTRA-r9*;

If included in *LogMeasInfo-r10* the UE shall include the same number of entries, and listed in the same order, as in *measResultListEUTRA-r10*;

If included in ConnEstFailReport-r11 the UE shall include the same number of entries, and listed in the same order, as in measResultListEUTRA-r11;

### measResultListIdle

This field indicates the measurement results done during IDLE mode at network request.

## UEInformationResponse field descriptions

#### measResultServCell

This field refers to the log measurement results taken in the Serving cell. For UE supporting CE Mode B, when CE mode B is not restricted by upper layers, *measResultServCell-v1360* is reported if the measured RSRP is less than -140 dBm.

### mobilityHistoryReport

This field is used to indicate the time of stay in 16 most recently visited E-UTRA cells or of stay out of E-UTRA.

#### numberOfPreamblesSent

This field is used to indicate the number of RACH preambles that were transmitted. Corresponds to parameter PREAMBLE\_TRANSMISSION\_COUNTER in TS 36.321 [6].

#### previousPCellId

This field is used to indicate the source PCell of the last handover (source PCell when the last *RRC-Connection-Reconfiguration* message including *mobilityControlInfo*was received).

## previousUTRA-CellId

This field is used to indicate the source UTRA cell of the last successful handover to E-UTRAN, when RLF occurred at the target PCell. The UE sets the ARFCN according to the band used for transmission/ reception on the concerned cell.

### reestablishmentCellId

This field is used to indicate the cell in which the re-establishment attempt was made after connection failure.

## relativeTimeStamp

Indicates the time of logging measurement results, measured relative to the absoluteTimeStamp. Value in seconds.

#### rlf-Cause

This field is used to indicate the cause of the last radio link failure that was detected. In case of handover failure information reporting (i.e., the *connectionFailureType* is set to 'hof'), the UE is allowed to set this field to any value.

#### selectedUTRA-CellId

This field is used to indicate the UTRA cell that the UE selects after RLF is detected, while T311 is running. The UE sets the ARFCN according to the band selected for transmission/ reception on the concerned cell.

## signallingBLER-Result

Includes a BLER result of MBSFN subframes using signallingMCS.

#### tac-FailedPCell

This field is used to indicate the Tracking Area Code of the PCell in which RLF is detected.

#### tce-ld

Parameter Trace Collection Entity Id: See TS 32.422 [58].

#### timeConnFailure

This field is used to indicate the time elapsed since the last HO initialization until connection failure. Actual value = field value \* 100ms. The maximum value 1023 means 102.3s or longer.

## timeSinceFailure

This field is used to indicate the time that elapsed since the connection (establishment) failure. Value in seconds. The maximum value 172800 means 172800s or longer.

#### timeStamp

Includes time stamps for the waypoints that describe planned locations for the UE.

# traceRecordingSessionRef

Parameter Trace Recording Session Reference: See TS 32.422 [58].

### wayPointLocation

Includes location coordinates for a UE for Aerial UE operation. The waypoints describe planned locations for the UE.

# ULHandoverPreparationTransfer (CDMA2000)

The *ULHandoverPreparationTransfer* message is used for the uplink transfer of handover related CDMA2000 information when requested by the higher layers.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ULHandoverPreparationTransfer message

```
ulHandoverPreparationTransfer-r8
                                                    ULHandoverPreparationTransfer-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        criticalExtensionsFuture
                                                SEQUENCE {}
}
{\tt ULHandoverPreparationTransfer-r8-IEs} \ ::= \ {\tt SEQUENCE} \ \{
                                       CDMA2000-Type,
    cdma2000-Type
                                        BIT STRING (SIZE (56))
                                                                                OPTIONAL,
    dedicatedInfo
                                        DedicatedInfoCDMA2000,
   nonCriticalExtension
                                       ULHandoverPreparationTransfer-v8a0-IEs OPTIONAL
ULHandoverPreparationTransfer-v8a0-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
                                                                            OPTIONAL,
   nonCriticalExtension
                                        SEQUENCE {}
                                                                            OPTIONAL.
-- ASN1STOP
```

# ULHandoverPreparationTransfer field descriptions

#### meia

The 56 bit mobile identification number provided by the CDMA2000 Upper layers.

## ULInformationTransfer

The ULInformationTransfer message is used for the uplink transfer of NAS or non-3GPP dedicated information.

Signalling radio bearer: SRB2 or SRB1(only if SRB2 not established yet). If SRB2 is suspended, the UE does not send this message until SRB2 is resumed

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

## ULInformationTransfer message

```
-- ASN1START
                                 SEQUENCE {
ULInformationTransfer ::=
                                  CHOICE {
   criticalExtensions
                                     CHOICE {
           ulInformationTransfer-r8
                                            ULInformationTransfer-r8-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                        SEQUENCE { }
}
ULInformationTransfer-r8-IEs ::=
                                 SEQUENCE {
                         CHOICE {
   dedicatedInfoType
       dedicatedInfoNAS
                                        DedicatedInfoNAS,
       dedicatedInfoCDMA2000-1XRTT
                                        DedicatedInfoCDMA2000,
       dedicatedInfoCDMA2000-HRPD
                                        DedicatedInfoCDMA2000
   nonCriticalExtension
                                   ULInformationTransfer-v8a0-IEs
ULInformationTransfer-v8a0-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
                                                                       OPTIONAL,
   nonCriticalExtension
                                    SEQUENCE {}
                                                                       OPTIONAL
-- ASN1STOP
```

## ULInformationTransferMRDC

The *ULInformationTransferMRDC* message is used for the uplink transfer of MR DC information (i.e. for the case the SCG employs another RAT e.g. for transferring the NR RRC Measurement Report message).

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

## ULInformationTransferMRDC message

```
-- ASN1START
                                       SEQUENCE {
ULInformationTransferMRDC-r15 ::=
                                           CHOICE {
    criticalExtensions
                                                CHOICE {
             ulInformationTransferMRDC-r15
                                                         ULInformationTransferMRDC-r15-IEs,
             spare3 NULL, spare2 NULL, spare1 NULL
        },
        \verb|criticalExtensionsFuture| \\
    }
}
ULInformationTransferMRDC-r15-IEs ::= SEQUENCE {
    ul-DCCH-MessageNR-r15 OCTET STRING
lateNonCriticalExtension OCTET STRING
                                                                               OPTIONAL,
                                                                               OPTIONAL,
    nonCriticalExtension
                                      SEQUENCE {}
                                                                               OPTIONAL
 - ASN1STOP
```

## ULInformationTransferMRDC field descriptions

## ul-DCCH-MessageNR

Includes the *UL-DCCH-Message* as defined in TS 38.331 [82]. In this version of the specification, the field is only used to transfer the NR RRC *MeasurementReport* and the NR RRC *FailureInformation* messages.

# WLANConnectionStatusReport

The *WLANConnectionStatusReport* message is used to inform the successful connection to WLAN or failure of the WLAN connection or connection attempt(s).

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# WLANConnectionStatusReport message

```
wlan-Status-r13
                                  WLAN-Status-r13,
   lateNonCriticalExtension
                                  OCTET STRING
                                                                         OPTIONAL,
   nonCriticalExtension
                                  WLANConnectionStatusReport-v1430-IEs
                                                                         OPTIONAL
}
WLANConnectionStatusReport-v1430-IEs ::=
                                        SEQUENCE {
   nonCriticalExtension
   wlan-Status-v1430
                                  WLAN-Status-v1430.
                                                                         OPTIONAL
                                  SEQUENCE {}
-- ASN1STOP
```

## WLANConnectionStatusReport field descriptions

#### wlan-Status

Indicates the connection status to WLAN and the cause of failures. If the *wlan-Status-v1430* is included, E-UTRAN ignores the *wlan-Status-r13*.

# 6.3 RRC information elements

# 6.3.1 System information blocks

# SystemInformationBlockPos

The IE SystemInformationBlockPos contains positioning assistance data as defined in TS 36.355 [54].

## SystemInformationBlockPos information element

```
-- ASN1START

SystemInformationBlockPos-r15 ::= SEQUENCE {
   assistanceDataSIB-Element-r15 OCTET STRING,
   lateNonCriticalExtension OCTET STRING OPTIONAL,
   ...
}

-- ASN1STOP
```

## SystemInformationBlockPos field descriptions

### assistanceDataSIB-Element

Parameter AssistanceDataSIBelement defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

# SystemInformationBlockType2

The IE SystemInformationBlockType2 contains radio resource configuration information that is common for all UEs.

NOTE: UE timers and constants related to functionality for which parameters are provided in another SIB are included in the corresponding SIB.

# SystemInformationBlockType2 information element

```
-- ASN1START
SystemInformationBlockType2 ::=
                                    SEQUENCE {
   ac-BarringInfo
                                       SEQUENCE {
                                           BOOLEAN
       ac-BarringForEmergency
                                                                            OPTIONAL,
        ac-BarringForMO-Signalling
                                            AC-BarringConfig
                                                                                        -- Need OP
       ac-BarringForMO-Data
                                                                                        -- Need OP
                                            AC-BarringConfig
                                                                            OPTIONAL
                                                                                        -- Need OP
                                                                            OPTIONAL,
   radioResourceConfigCommon
                                       RadioResourceConfigCommonSIB,
   ue-TimersAndConstants
                                        UE-TimersAndConstants,
   freqInfo
                                        SEQUENCE {
        ul-CarrierFreq
                                            ARFCN-ValueEUTRA
                                                                            OPTIONAL,
                                                                                         -- Need OP
       ul-Bandwidth
                                            ENUMERATED {n6, n15, n25, n50, n75, n100}
```

```
OPTIONAL, -- Need OP
        additionalSpectrumEmission
                                        AdditionalSpectrumEmission
    },
mbsfn-SubframeConfigList
                                        MBSFN-SubframeConfigList
                                                                             OPTIONAL, -- Need OR
    timeAlignmentTimerCommon
                                        TimeAlignmentTimer,
                                   OCTET STRING (CONTAINING SystemInformationBlockType2-v8h0-IEs)
    lateNonCriticalExtension
                        OPTIONAL.
    [[ ssac-BarringForMMTEL-Voice-r9 AC-BarringConfig
                                                                              OPTIONAL, -- Need OP
        ssac-BarringForMMTEL-Video-r9
                                             AC-BarringConfig
                                                                              OPTIONAL
                                                                                          -- Need OP
    [[ ac-BarringForCSFB-r10
                                             AC-BarringConfig
                                                                        OPTIONAL
                                                                                      -- Need OP
    ]],
    [[ ac-BarringSkipForMMTELVoice-r12 ENUMERATED {true} OPTIONAL,
ac-BarringSkipForMMTELVideo-r12 ENUMERATED {true} OPTIONAL,
ac-BarringSkipForSMS-r12 ENUMERATED {true} OPTIONAL,
ac-BarringPerPLMN-List-r12 AC-BarringPerPLMN-List-r12 OPTIONAL
                                                                        OPTIONAL, -- Need OP
                                                                         OPTIONAL,
                                                                                      -- Need OP
                                                                          OPTIONAL,
                                                                                      -- Need OP
                                                                                      -- Need OP
    [[ voiceServiceCauseIndication-r12
                                           ENUMERATED {true}
                                                                        OPTIONAL
                                                                                    -- Need OP
    ]],
                                            ACDC-BarringForCommon-r13
    [[ acdc-BarringForCommon-r13
                                                                             OPTIONAL, -- Need OP
        acdc-BarringForCommon-ri3 ACDC-BarringForCommon-ri3 OPTIONAL,
acdc-BarringPerPLMN-List-ri3 ACDC-BarringPerPLMN-List-ri3 OPTIONAL
                                                                                          -- Need OP
    ]],
    11
        udt-RestrictingForCommon-r13
                                             UDT-Restricting-r13
                                                                              OPTIONAL.
                                                                                          -- Need OR
        udt-RestrictingPerPLMN-List-r13
cIoT-EPS-OptimisationInfo-r13
useFullResumeID-r12
                                                                                          -- Need OR
                                             UDT-RestrictingPerPLMN-List-r13 OPTIONAL,
                                            CIOT-EPS-OptimisationInfo-r13 OPTIONAL,
                                                                                          -- Need OP
        useFullResumeID-r13
                                             ENUMERATED {true}
                                                                              OPTIONAL
                                                                                          -- Need OP
    ]],
    [[ unicastFreqHoppingInd-r13
                                            ENUMERATED {true}
                                                                              OPTIONAL
                                                                                          -- Need OP
    ]],
    [[ mbsfn-SubframeConfigList-v1430
                                           MBSFN-SubframeConfigList-v1430 OPTIONAL,
                                                                                          -- Need OP
        videoServiceCauseIndication-r14
                                            ENUMERATED {true}
                                                                              OPTIONAL
                                                                                           -- Need OP
    ]],
    [[ plmn-InfoList-r15
                                             PLMN-InfoList-r15
                                                                              OPTIONAL
                                                                                          -- Need OP
    11,
                                            ENUMERATED {true}
    [[ cp-EDT-r15
                                                                             OPTIONAL, -- Need OR
                                            ENUMERATED {true}
ENUMERATED {true}
                                                                             OPTIONAL,
        up-EDT-r15
                                                                                          -- Need OR
        idleModeMeasurements-r15
                                                                             OPTIONAL,
                                                                                          -- Need OR
       reducedCP-LatencyEnabled-r15
                                           ENUMERATED {true}
                                                                             OPTIONAL
                                                                                          -- Need OR
    [[ mbms-ROM-ServiceIndication-r15 ENUMERATED {true}
                                                                        OPTIONAL -- Need OR
    ]]
}
SystemInformationBlockType2-v8h0-IEs ::= SEQUENCE {
    multiBandInfoList
                             SEQUENCE (SIZE (1..maxMultiBands)) OF AdditionalSpectrumEmission
    OPTIONAL, -- Need OR
    nonCriticalExtension
                                    SystemInformationBlockType2-v9e0-IEs OPTIONAL
}
SystemInformationBlockType2-v9e0-IEs ::= SEQUENCE {
   ul-CarrierFreq-v9e0
                                       ARFCN-ValueEUTRA-v9e0 OPTIONAL, -- Cond ul-FreqMax
    nonCriticalExtension
                                         SystemInformationBlockType2-v9i0-IEs
    OPTIONAL
}
SystemInformationBlockType2-v9i0-IEs ::= SEQUENCE {
-- Following field is for any non-critical extensions from REL-9
   nonCriticalExtension
                                    OCTET STRING (CONTAINING SystemInformationBlockType2-v10m0-IEs)
                       OPTIONAL,
               SEQUENCE { } OPTIONAL
    dummy
}
SystemInformationBlockType2-v10m0-IEs ::= SEQUENCE {
    freqInfo-v1010
                                        SEQUENCE {
        additionalSpectrumEmission-v1010
                                                    AdditionalSpectrumEmission-v1010
                                                           OPTIONAL,
    ,
multiBandInfoList-v1010
                                        SEQUENCE (SIZE (1..maxMultiBands)) OF
                                                                 OPTIONAL,
                AdditionalSpectrumEmission-v1010
                             SystemInformationBlockType2-v10n0-IEs
    nonCriticalExtension
}
{\tt SystemInformationBlockType2-v10n0-IEs} \ ::= \ {\tt SEQUENCE} \ \{
-- Following field is for non-critical extensions up-to REL-12
    lateNonCriticalExtension OCTET STRING
                                                                             OPTIONAL.
    nonCriticalExtension
                                                                              OPTIONAL
                               SystemInformationBlockType2-v13c0-IEs
```

```
SystemInformationBlockType2-v13c0-IEs ::= SEQUENCE {
   uplinkPowerControlCommon-v13c0 UplinkPowerControlCommon-v1310
                                                                                  OPTIONAL,
                                                                                                -- Need OR
-- Following field is for non-critical extensions from REL-13
    nonCriticalExtension
                                  SEQUENCE {}
                                                                                    OPTIONAL
AC-BarringConfig ::=
                                       SEQUENCE {
    ac-BarringFactor
                                           ENUMERATED {
                                                p00, p05, p10, p15, p20, p25, p30, p40,
                                                p50, p60, p70, p75, p80, p85, p90, p95},
    ac-BarringTime
                                            ENUMERATED \{s4, s8, s16, s32, s64, s128, s256, s512\},
    ac-BarringForSpecialAC
                                            BIT STRING (SIZE(5))
}
MBSFN-SubframeConfigList ::=
                                      SEQUENCE (SIZE (1..maxMBSFN-Allocations)) OF MBSFN-
SubframeConfig
MBSFN-SubframeConfigList-v1430 ::= SEQUENCE (SIZE (1..maxMBSFN-Allocations)) OF MBSFN-
SubframeConfig-v1430
AC-BarringPerPLMN-List-r12 ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF AC-BarringPerPLMN-r12
    plmn-IdentityIndex-r12 ::= SEQUENCE {
ac-BarringInfo-r12
AC-BarringPerPLMN-r12 ::=
                                                INTEGER (1..maxPLMN-r11),
                                                SEQUENCE {
        ac-BarringForEmergency-r12
         ac-BarringForEmergency-r12 BOOLEAN,
ac-BarringForMO-Signalling-r12 AC-Barri
                                               AC-BarringConfig OPTIONAL,
AC-BarringConfig OPTIONAL
                                                                                    -- Need OP
                                                                                   -- Need OP
        ac-BarringForMO-Data-r12
                                                                                   -- Need OP
                                                                      OPTIONAL,
   ac-BarringSkipForMMTELVoice-r12 ENUMERATED {true} OPTIONAL,
ac-BarringSkipForMMTELVideo-r12 ENUMERATED {true} OPTIONAL,
ac-BarringSkipForSMS-r12 ENUMERATED {true} OPTIONAL,
ac-BarringForCSFB-r12 AC-BarringConfig OPTIONAL,
ssac-BarringForMMTEL-Voice-r12 AC-BarringConfig OPTIONAL,
ssac-BarringForMMTEL-Video-r12 AC-BarringConfig OPTIONAL
                                                                                   -- Need OP
                                                                                    -- Need OP
                                                                                   -- Need OP
                                                                                   -- Need OP
                                                                                   -- Need OP
}
ACDC-BarringForCommon-r13 ::= SEQUENCE {
    acdc-HPLMNonly-r13
                                               BOOLEAN,
    barringPerACDC-CategoryList-r13
                                                BarringPerACDC-CategoryList-r13
ACDC-BarringPerPLMN-List-r13 ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF ACDC-BarringPerPLMN-r13
ACDC-BarringPerPLMN-r13 ::= SEQUENCE {
   plmn-IdentityIndex-r13 INTEGER (1..maxPLMN-r11),
   acdc-OnlyForHPLMN-r13 BOOLEAN.
    acdc-OnlyForHPLMN-r13
                                           BOOLEAN,
    barringPerACDC-CategoryList-r13 BarringPerACDC-CategoryList-r13
}
BarringPerACDC-CategoryList-r13 ::= SEQUENCE (SIZE (1..maxACDC-Cat-r13)) OF BarringPerACDC-Category-
BarringPerACDC-Category-r13 ::= SEQUENCE {
    p00, p05, p10, p15, p20, p25, p30, p40,
                                                p50, p60, p70, p75, p80, p85, p90, p95},
                                           ENUMERATED {s4, s8, s16, s32, s64, s128, s256, s512}
        ac-BarringTime-r13
                                                OPTIONAL
                                                               -- Need OP
}
UDT-Restricting-r13 ::= SEQUENCE {
    udt-Restricting-r13
                                         ENUMERATED {true}
                                                                          OPTIONAL, --Need OR
    udt-Restricting-ri3
udt-RestrictingTime-r13
                                           ENUMERATED {s4, s8, s16, s32, s64, s128, s256, s512}
OPTIONAL --Need OR
UDT-RestrictingPerPLMN-List-r13 ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF UDT-RestrictingPerPLMN-r13
UDT-RestrictingPerPLMN-r13 ::= SEQUENCE {
    plmn-IdentityIndex-r13 INTEGER (1..maxPLMN-r11),
    udt-Restricting-r13
                                       UDT-Restricting-r13
                                                                      OPTIONAL --Need OR
CIOT-EPS-OptimisationInfo-r13 ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF CIOT-OptimisationPLMN-r13
```

```
}
PLMN-InfoList-r15 ::=
                SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-Info-r15
PLMN-Info-r15 ::= SEQUENCE {
                      ENUMERATED {true} OPTIONAL -- Need OR
 upperLayerIndication-r15
-- ASN1STOP
```

## SystemInformationBlockType2 field descriptions

### ac-BarringFactor

If the random number drawn by the UE is lower than this value, access is allowed. Otherwise the access is barred. The values are interpreted in the range [0,1): p00 = 0, p05 = 0.05, p10 = 0.10,..., p95 = 0.95. Values other than p00 can only be set if all bits of the corresponding *ac-BarringForSpecialAC* are set to 0.

#### ac-BarringForCSFB

Access class barring for mobile originating CS fallback.

## ac-BarringForEmergency

Access class barring for AC 10.

### ac-BarringForMO-Data

Access class barring for mobile originating calls.

## ac-BarringForMO-Signalling

Access class barring for mobile originating signalling.

## ac-BarringForSpecialAC

Access class barring for AC 11-15. The first/ leftmost bit is for AC 11, the second bit is for AC 12, and so on.

#### ac-BarringTime

Mean access barring time value in seconds.

## acdc-BarringConfig

Barring configuration for an ACDC category. If the field is absent, access to the cell is considered as not barred for the ACDC category in accordance with clause 5.3.3.13.

#### acdc-Category

Indicates the ACDC category as defined in TS 24.105 [72].

### acdc-OnlyForHPLMN

Indicates whether ACDC is applicable for UEs not in their HPLMN for the corresponding PLMN. *TRUE* indicates that ACDC is applicable only for UEs in their HPLMN for the corresponding PLMN. *FALSE* indicates that ACDC is applicable for both UEs in their HPLMN and UEs not in their HPLMN for the corresponding PLMN.

## additionalSpectrumEmission

The UE requirements related to IE *AdditionalSpectrumEmission* are defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs. NOTE 1.

#### attachWithoutPDN-Connectivity

If present, the field indicates that attach without PDN connectivity as specified in TS 24.301 [35] is supported for this PLMN.

# barringPerACDC-CategoryList

A list of barring information per ACDC category according to the order defined in TS 22.011 [10]. The first entry in the list corresponds to the highest ACDC category of which applications are the least restricted in access attempts at a cell, the second entry in the list corresponds to the ACDC category of which applications are restricted more than applications of the highest ACDC category in access attempts at a cell, and so on. The last entry in the list corresponds to the lowest ACDC category of which applications are the most restricted in access attempts at a cell.

## cloT-EPS-OptimisationInfo

A list of CIoT EPS related parameters. Value 1 indicates parameters for the PLMN listed 1st in the 1st *plmn-ldentityList* included in SIB1. Value 2 indicates parameters for the PLMN listed 2nd in the same *plmn-ldentityList*, or when no more PLMN are present within the same *plmn-ldentityList*, then the value indicates parameters for PLMN listed 1st in the subsequent *plmn-ldentityList* within the same SIB1 and so on. NOTE 1.

## cp-CloT-EPS-Optimisation

This field indicates if the UE is allowed to establish the connection with Control plane CloT EPS Optimisation, see TS 24.301 [35].

## cp-EDT

This field indicates whether the UE is allowed to initiate CP-EDT, see 5.3.3.1b.

## dummy

This field is not used in the specification. If received it shall be ignored by the UE.

### idleModeMeasurements

This field indicates that the eNB can process indication of IDLE mode measurements from UE.

## mbsfn-SubframeConfigList

Defines the subframes that are reserved for MBSFN in downlink.

NOTE 1. If the cell is a FeMBMS/Unicast mixed cell, EUTRAN includes *mbsfn-SubframeConfigList-v1430*. If a FeMBMS/Unicast mixed cell does not use sub-frames #4 or #9 as MBSFN sub-frames, *mbsfn-SubframeConfigList-v1430* is still included and indicates all sub-frames as non-MBSFN sub-frames.

## multiBandInfoList

A list of *AdditionalSpectrumEmission* i.e. one for each additional frequency band included in *multiBandInfoList* in *SystemInformationBlockType1*, listed in the same order. If E-UTRAN includes *multiBandInfoList-v10I0* it includes the same number of entries, and listed in the same order, as in *multiBandInfoList*.

# plmn-IdentityIndex

Index of the PLMN across the *plmn-IdentityList* fields included in SIB1. Value 1 indicates the PLMN listed 1st in the 1st *plmn-IdentityList* included in SIB1. Value 2 indicates the PLMN listed 2nd in the same *plmn-IdentityList*, or when no more PLMN are present within the same *plmn-IdentityList*, then the PLMN listed 1st in the subsequent *plmn-IdentityList* within the same SIB1 and so on. NOTE 1.

## SystemInformationBlockType2 field descriptions

### plmn-InfoList

If E-UTRAN includes this field, it includes the same number of entries, and listed in the same order as PLMNs across the plmn-IdentityList fields included in SIB1. I.e. the first entry corresponds to the first entry of the combined list that results from concatenating the entries included in the second to the original plmn-IdentityList field.

### reducedCP-LatencyEnabled

If present, reduced control plane latency is enabled. UEs supporting reduced CP latency transmit Msg3 according to  $k_1 \ge 5$  timing as specified in TS 36.213 [23] when transmitting *RRCConnectionResumeRequest* in Msg3.

#### mbms-ROM-ServiceIndication

This field indicates whether the UE is allowed to send *MBMSInterestIndication* message for the purpose of indicating receive only mode MBMS service parameters.

#### ssac-BarringForMMTEL-Video

Service specific access class barring for MMTEL video originating calls.

#### ssac-BarringForMMTEL-Voice

Service specific access class barring for MMTEL voice originating calls.

#### udt-Restrictina

Value TRUE indicates that the UE should indicate to the higher layers to restrict unattended data traffic TS 22.101 [77] irrespective of the UE being in RRC\_IDLE or RRC\_CONNECTED. The UE shall not indicate to the higher layers if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11].

#### udt-RestrictingTime

If present and when the *udt-Restricting* changes from TRUE, the UE runs a timer for a period equal to rand \* *udt-RestrictingTime*, where rand is a random number drawn that is uniformly distributed in the range  $0 \le \text{rand} < 1$  value in seconds. The timer stops if *udt-Restricting* changes to TRUE. Upon timer expiry, the UE indicates to the higher layers that the restriction is alleviated.

## unicastFreqHoppingInd

This field indicates if the UE is allowed to indicate support of frequency hopping for unicast MPDCCH/PDSCH/PUSCH as described in TS 36.321 [6]. This field is included only in the BR version of SI message carrying SystemInformationBlockType2.

#### ul-Bandwidth

Parameter: transmission bandwidth configuration, N<sub>RB</sub>, in uplink, see TS 36.101 [42], table 5.6-1. Value n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on. If for FDD this parameter is absent, the uplink bandwidth is equal to the downlink bandwidth. For TDD this parameter is absent and it is equal to the downlink bandwidth. NOTE 1.

### ul-CarrierFreq

For FDD: If absent, the (default) value determined from the default TX-RX frequency separation defined in TS 36.101 [42], table 5.7.3-1, applies.

For TDD: This parameter is absent and it is equal to the downlink frequency. NOTE 1.

## up-CloT-EPS-Optimisation

This field indicates if the UE is allowed to resume the connection with User plane CloT EPS Optimisation, see TS 24.301 [35].

#### up-EDT

This field indicates whether the UE is allowed to initiate UP-EDT, see 5.3.3.1b.

## upperLayerIndication

Indication to be provided to upper layers.

### useFullResumeID

This field indicates if the UE indicates full resume ID of 40 bits in RRCConnectionResumeRequest.

## videoServiceCauseIndication

Indicates whether the UE is requested to use the establishment cause *mo-VoiceCall* for mobile originating MMTEL video calls.

### voiceServiceCauseIndication

Indicates whether UE is requested to use the establishment cause *mo-VoiceCall* for mobile originating MMTEL voice calls.

Conditional presence	Explanation
ul-FreqMax	The field is mandatory present if <i>ul-CarrierFreq</i> (i.e. without suffix) is present and set to
	maxEARFCN. Otherwise the field is not present.

NOTE 1: E-UTRAN sets this field to the same value for all instances of SI message that are broadcasted within the same cell.

# SystemInformationBlockType3

The IE *SystemInformationBlockType3* contains cell re-selection information common for intra-frequency, inter-frequency and/ or inter-RAT cell re-selection (i.e. applicable for more than one type of cell re-selection but not necessarily all) as well as intra-frequency cell re-selection information other than neighbouring cell related.

## SystemInformationBlockType3 information element

```
-- ASN1START
SystemInformationBlockType3 ::=
                                  SEQUENCE {
                                      SEQUENCE {
   cellReselectionInfoCommon
       q-Hyst
                                         ENUMERATED {
                                             dB0, dB1, dB2, dB3, dB4, dB5, dB6, dB8, dB10,
                                             dB12, dB14, dB16, dB18, dB20, dB22, dB24},
       speedStateReselectionPars
                                         SEQUENCE {
           mobilityStateParameters
                                             MobilityStateParameters,
           q-HystSF
                                         SEQUENCE {
               sf-Medium
                                           ENUMERATED {
                                                     dB-6, dB-4, dB-2, dB0,
               sf-High
                                             ENUMERATED {
                                                    dB-6, dB-4, dB-2, dB0}
           }
       }
                                                                    OPTIONAL
                                                                                    -- Need OP
    cellReselectionServingFreqInfo
                                      SEQUENCE {
                                         ReselectionThreshold
       s-NonIntraSearch
                                                                    OPTIONAL,
                                                                                    -- Need OP
       threshServingLow
                                         ReselectionThreshold,
       cellReselectionPriority
                                         CellReselectionPriority
    q-RxLevMin
                                         Q-RxLevMin,
       p-Max
                                                                    OPTIONAL.
                                         P-Max
                                                                                    -- Need OP
                                                                                   -- Need OP
       s-IntraSearch
                                         ReselectionThreshold
                                                                    OPTIONAL,
                                         AllowedMeasBandwidth
       allowedMeasBandwidth
                                                                    OPTIONAL,
                                                                                   -- Need OP
       presenceAntennaPort1
                                         PresenceAntennaPort1,
       neighCellConfig
                                         NeighCellConfig,
       t-ReselectionEUTRA
                                         T-Reselection,
       t-ReselectionEUTRA-SF
                                         SpeedStateScaleFactors
                                                                    OPTIONAL
                                                                                   -- Need OP
   },
    . . . ,
   lateNonCriticalExtension
                                         OCTET STRING (CONTAINING SystemInformationBlockType3-
v10j0-IEs) OPTIONAL,
   [[ s-IntraSearch-v920
                                         SEQUENCE {
                                          ReselectionThreshold,
          s-IntraSearchP-r9
           s-IntraSearchQ-r9
                                             ReselectionThresholdQ-r9
                                                                    OPTIONAL,
                                                                                   -- Need OP
       s-NonIntraSearch-v920
                                         SEQUENCE {
                                         ReselectionThreshold,
           s-NonIntraSearchP-r9
           s-NonIntraSearchQ-r9
                                             ReselectionThresholdQ-r9
                                                                    OPTIONAL,
                                                                                   -- Need OP
                                                                    OPTIONAL,
       q-QualMin-r9
                                         Q-QualMin-r9
                                                                                   -- Need OP
                                         ReselectionThresholdQ-r9 OPTIONAL
                                                                                   -- Need OP
       threshServingLowQ-r9
   [[ q-QualMinWB-r11
                                                                    OPTIONAL
                                                                                -- Cond WB-RSRO
                                         O-OualMin-r9
   ]], [[ q-QualMinRSRQ-OnAllSymbols-r12
                                             Q-QualMin-r9
                                                                        OPTIONAL
Cond RSRQ
   ]],
   \hbox{\tt [[ cellReselectionServingFreqInfo-v1310 CellReselectionServingFreqInfo-v1310 OPTIONAL, } \\
       redistributionServingInfo-r13
                                             RedistributionServingInfo-r13 OPTIONAL, --Need OR
       cellSelectionInfoCE-r13
                                             CellSelectionInfoCE-r13
                                                                       OPTIONAL,
OP
       t-ReselectionEUTRA-CE-r13
                                             T-ReselectionEUTRA-CE-r13 OPTIONAL
                                                                                        -- Need
    ]],
    1.1
      cellSelectionInfoCE1-r13
                                           CellSelectionInfoCE1-r13 OPTIONAL
                                                                                    -- Need OP
   ]],
    [[ cellSelectionInfoCE1-v1360
                                         CellSelectionInfoCE1-v1360 OPTIONAL
QrxlevminCE1
   ]],
       cellReselectionInfoCommon-v1460 CellReselectionInfoCommon-v1460 OPTIONAL
   1 1
                                                                                    -- Need OR
   ]],
   [[ cellReselectionInfoHSDN-r15 CellReselectionInfoHSDN-r15 OPTIONAL,
                                                                                   -- Need OR
```

```
cellSelectionInfoCE-v1530 CellSelectionInfoCE-v1530 OPTIONAL, -- Need
OP
        11
   redistributionFactorCell-r13 ::= SEQUENCE {
redistributionFactorCell-r13 ENUMERATED {true}
t360-r13
RedistributionServingInfo-r13 ::=
                                                                             OPTIONAL, --Need OP
                                          ENUMERATED {min4, min8, min16, min32, infinity,
                                            spare3, spare2, spare1},
   redistrOnPagingOnly-r13 ENUMERATED {true} OPTIONAL
                                                                                --Need OP
CellReselectionServingFreqInfo-v1310 ::= SEQUENCE { cellReselectionSubPriority-r13 CellRe
                                               CellReselectionSubPriority-r13
-- Late non critical extensions
SystemInformationBlockType3-v10j0-IEs ::= SEQUENCE {
   temInformationBlockType3-v10j0-les::= SEQUENCE {freqBandInfo-r10NS-PmaxList-r10OPTIONAL, -- Need ORmultiBandInfoList-v10j0MultiBandInfoList-v10j0OPTIONAL, -- Need ORnonCriticalExtensionSystemInformationBlockType3-v1010-IEs
   fregBandInfo-r10
   OPTIONAL
}
SystemInformationBlockType3-v1010-IEs ::= SEQUENCE {
   freqBandInfo-v1010 NS-PmaxList-v1010 OPTIONAL,
multiBandInfoList-v1010 MultiBandInfoList-v1010 OPTIONAL,
nonCriticalExtension SEGUIENCE {}
   freqBandInfo-v1010
                                                                                      -- Need OR
   nonCriticalExtension
                                          SEQUENCE {}
CellReselectionInfoCommon-v1460 ::= SEQUENCE {
                                           ENUMERATED {dB6, dB9, dB12, dB15}
    s-SearchDeltaP-r14
CellReselectionInfoHSDN-r15 ::= SEQUENCE {
  cellEquivalentSize-r15 INTEGER(2..16)
-- ASN1STOP
```

## SystemInformationBlockType3 field descriptions

#### allowedMeasBandwidth

If absent, the value corresponding to the downlink bandwidth indicated by the *dl-Bandwidth* included in *MasterInformationBlock* applies.

## cellEquivalentSize

The number of cell count used for mobility state estimation for this cell as specified in TS 36.304 [4].

#### cellSelectionInfoCE

Parameters included in coverage enhancement S criteria for BL UEs and UEs in CE, applicable for intra-frequency neighbour cells. If absent, coverage enhancement S criteria is not applicable.

#### cellSelectionInfoCE1

Parameters included in coverage enhancement S criteria for BL UEs and UEs in CE supporting CE Mode B, applicable for intra-frequency neighbour cells. E-UTRAN includes this IE only if *cellSelectionInfoCE* in SIB3 is present.

## cellReselectionInfoCommon

Cell re-selection information common for cells.

## cellReselectionServingFreqInfo

Information common for Cell re-selection to inter-frequency and inter-RAT cells.

## crs-IntfMitigNeighCellsCE

For BL UEs or UEs in CE supporting *ce-CRS-IntfMitig*, this field indicates CRS interference mitigation, as specified in TS 36.133 [16], clause 3.6.1.2 and 3.6.1.3, is enabled in any of the intra-frequency neibhour cells, and the UE shall perform intra-frequency neighbour cell RRM measurements in the center 6 PRBs.

#### fregBandInfo

A list of additionalPmax and additionalSpectrumEmission values, as defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs, applicable for the intra-frequency neighouring E-UTRA cells if the UE selects the frequency band from freqBandIndicator in SystemInformationBlockType1. If E-UTRAN includes freqBandInfo-v10I0 it includes the same number of entries, and listed in the same order, as in freqBandInfo-r10.

### intraFreqcellReselectionInfo

Cell re-selection information common for intra-frequency cells.

## multiBandInfoList-v10j0

A list of additionalPmax and additionalSpectrumEmission values, as defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs, applicable for the intrafrequency neighouring E-UTRA cells if the UE selects the frequency bands in multiBandInfoList (i.e. without suffix) or multiBandInfoList-v9e0. If E-UTRAN includes multiBandInfoList-v10j0, it includes the same number of entries, and listed in the same order, as in multiBandInfoList-v10j0 it includes the same number of entries, and listed in the same order, as in multiBandInfoList-v10j0.

#### p-Max

Value applicable for the intra-frequency neighbouring E-UTRA cells. If absent the UE applies the maximum power according to its capability as specified in TS 36.101 [42], clause 6.2.2.

# redistrOnPagingOnly

If this field is present and the UE is redistribution capable, the UE shall only wait for the paging message to trigger E-UTRAN inter-frequency redistribution procedure as specified in clause 5.2.4.10 of TS 36.304 [4].

### q-Hyst

Parameter Q<sub>hyst</sub> in TS 36.304 [4], Value in dB. Value dB1 corresponds to 1 dB, dB2 corresponds to 2 dB and so on.

# q-HystSF

Parameter "Speed dependent ScalingFactor for  $Q_{hyst}$ " in TS 36.304 [4]. The sf-Medium and sf-High concern the additional hysteresis to be applied, in Medium and High Mobility state respectively, to  $Q_{hyst}$  as defined in TS 36.304 [4]. In dB. Value dB-6 corresponds to -6dB, dB-4 corresponds to -4dB and so on.

#### a-QualMin

Parameter " $Q_{qualmin}$ " in TS 36.304 [4], applicable for intra-frequency neighbour cells. If the field is not present, the UE applies the (default) value of negative infinity for  $Q_{qualmin}$ . NOTE 1.

### q-QualMinRSRQ-OnAllSymbols

If this field is present and supported by the UE, the UE shall, when performing RSRQ measurements, perform RSRQ measurement on all OFDM symbols in accordance with TS 36.214 [48]. NOTE 1.

## q-QualMinWB

If this field is present and supported by the UE, the UE shall, when performing RSRQ measurements, use a wider bandwidth in accordance with TS 36.133 [16]. NOTE 1.

#### g-RxLevMin

Parameter "Q<sub>rxlevmin</sub>" in TS 36.304 [4], applicable for intra-frequency neighbour cells.

## redistributionFactorCell

If redistributionFactorCell is present, redistributionFactorServing is only applicable for the serving cell otherwise it is applicable for serving frequency

### redistributionFactorServing

Parameter redistributionFactorServing in TS 36.304 [4].

# s-IntraSearch

Parameter "S<sub>IntraSearchP</sub>" in TS 36.304 [4]. If the field *s-IntraSearchP* is present, the UE applies the value of *s-IntraSearchP* instead. Otherwise if neither *s-IntraSearch* nor *s-IntraSearchP* is present, the UE applies the (default) value of infinity for S<sub>IntraSearchP</sub>.

#### SystemInformationBlockType3 field descriptions

#### s-IntraSearchP

Parameter "SIntraSearchP" in TS 36.304 [4]. See descriptions under s-IntraSearch.

#### s-IntraSearchQ

Parameter " $S_{IntraSearchQ}$ " in TS 36.304 [4]. If the field is not present, the UE applies the (default) value of 0 dB for  $S_{IntraSearchQ}$ .

#### s-NonIntraSearch

Parameter "SnonIntraSearchP" in TS 36.304 [4]. If the field *s-NonIntraSearchP* is present, the UE applies the value of *s-NonIntraSearchP* instead. Otherwise if neither *s-NonIntraSearch* nor *s-NonIntraSearchP* is present, the UE applies the (default) value of infinity for SnonIntraSearchP.

#### s-NonIntraSearchP

Parameter "SnonIntraSearchP" in TS 36.304 [4]. See descriptions under s-NonIntraSearch.

## s-NonIntraSearchQ

Parameter " $S_{nonIntraSearchQ}$ " in TS 36.304 [4]. If the field is not present, the UE applies the (default) value of 0 dB for  $S_{nonIntraSearchQ}$ .

#### s-SearchDeltaP

Parameter "S<sub>SearchDeltaP</sub>" in TS 36.304 [4]. This parameter is only applicable for UEs supporting relaxed monitoring as specified in TS 36.306 [5]. Value dB6 corresponds to 6 dB, dB9 corresponds to 9 dB and so on.

#### speedStateReselectionPars

Speed dependent reselection parameters, see TS 36.304 [4]. If this field is absent, i.e, *mobilityStateParameters* is also not present, UE behaviour is specified in TS 36.304 [4].

#### t360

Parameter "T360" in TS 36.304 [4]. Value *min4* corresponds to 4 minutes, value *min8* corresponds to 8 minutes, and so on.

### threshServingLow

Parameter "Thresh<sub>Serving, LowP</sub>" in TS 36.304 [4].

#### threshServingLowQ

Parameter "Thresh<sub>Serving</sub>, LowQ" in TS 36.304 [4].

#### t-ReselectionEUTRA

Parameter "TreselectionEUTRA" in TS 36.304 [4].

#### t-ReselectionEUTRA-SF

Parameter "Speed dependent ScalingFactor for Treselection<sub>EUTRA</sub>" in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].

NOTE 1: The value the UE applies for parameter "Q<sub>qualmin</sub>" in TS 36.304 [4] depends on the *q-QualMin* fields signalled by E-UTRAN and supported by the UE. In case multiple candidate options are available, the UE shall select the highest priority candidate option according to the priority order indicated by the following table (top row is highest priority).

q-QualMinRSRQ-OnAllSymbols	q-QualMinWB	Value of parameter "Q <sub>qualmin</sub> " in TS 36.304 [4]
Included	Included	q-QualMinRSRQ-OnAllSymbols – (q-QualMin – q-
		QualMinWB)
Included	Not included	q-QualMinRSRQ-OnAllSymbols
Not included	Included	q-QualMinWB
Not included	Not included	g-QualMin

Conditional presence	Explanation
QrxlevminCE1	The field is optionally present, Need OR, if <i>q-RxLevMinCE1-r13</i> is set below -140 dBm.
	Otherwise the field is not present.
RSRQ	The field is optionally present, Need OR, if threshServingLowQ is present in SIB3;
	otherwise it is not present.
WB-RSRQ	The field is optionally present, need OP if the measurement bandwidth indicated by
	allowedMeasBandwidth is 50 resource blocks or larger; otherwise it is not present.

# SystemInformationBlockType4

The IE *SystemInformationBlockType4* contains neighbouring cell related information relevant only for intra-frequency cell re-selection. The IE includes cells with specific re-selection parameters as well as blacklisted cells.

## SystemInformationBlockType4 information element

-- ASN1START

```
SystemInformationBlockType4 ::= SEQUENCE {
   intraFreqNeighCellList
                                      IntraFreqNeighCellList
                                                                  OPTIONAL, -- Need OR
   intraFreqBlackCellList
                                      IntraFreqBlackCellList
                                                                   OPTIONAL, -- Need OR
                                      PhysCellIdRange
   csg-PhysCellIdRange
                                                                  OPTIONAL, -- Cond CSG
   lateNonCriticalExtension
                                          OCTET STRING
                                                                     OPTIONAL,
       intraFreqNeighHSDN-CellList-r15
                                       IntraFreqNeighHSDN-CellList-r15 OPTIONAL
                                                                                     -- Need OR
   ΓΓ
   ]]
IntraFreqNeighCellList ::=
                               SEQUENCE (SIZE (1..maxCellIntra)) OF IntraFreqNeighCellInfo
IntraFreqNeighHSDN-CellList-r15 ::= SEQUENCE (SIZE (1..maxCellIntra)) OF PhysCellIdRange
IntraFreqNeighCellInfo ::=
                               SEQUENCE {
   physCellId
                                          PhysCellId,
   q-OffsetCell
                                          O-OffsetRange,
IntraFreqBlackCellList ::=
                              SEQUENCE (SIZE (1..maxCellBlack)) OF PhysCellIdRange
-- ASN1STOP
```

## SystemInformationBlockType4 field descriptions

## csg-PhysCellIdRange

Set of physical cell identities reserved for CSG cells on the frequency on which this field was received. The received csg-PhysCellIdRange applies if less than 24 hours has elapsed since it was received and the UE is camped on a cell of the same primary PLMN where this field was received. The 3 hour validity restriction (clause 5.2.1.3) does not apply to this field. The UE shall not apply any stored csg-PhysCellIdRange when it is in any cell selection state defined in TS 36.304 [4].

#### intraFreqBlackCellList

List of blacklisted intra-frequency neighbouring cells.

## intraFreqNeighCellList

List of intra-frequency neighbouring cells with specific cell re-selection parameters.

### intraFreqNeighHSDN-CellList

List of intra-frequency neighbouring HSDN cells as specified in TS 36.304 [4].

## q-OffsetCell

Parameter "Qoffsets,n" in TS 36.304 [4].

Conditional presence	Explanation
CSG	This field is optional, need OP, for non-CSG cells, and mandatory for CSG cells.

# SystemInformationBlockType5

The IE *SystemInformationBlockType5* contains information relevant only for inter-frequency cell re-selection i.e. information about other E-UTRA frequencies and inter-frequency neighbouring cells relevant for cell re-selection. The IE includes cell re-selection parameters common for a frequency as well as cell specific re-selection parameters.

## SystemInformationBlockType5 information element

```
-- ASN1START
SystemInformationBlockType5 ::=
                                   SEOUENCE {
   interFreqCarrierFreqList
                                    InterFreqCarrierFreqList,
    {\tt lateNonCriticalExtension}
                                           OCTET STRING
                                                          (CONTAINING SystemInformationBlockType5-
v8h0-IEs)
                      OPTIONAL,
    [[ interFreqCarrierFreqList-v1250 InterFreqCarrierFreqList-v1250
                                                                           OPTIONAL,
                                                                                       -- Need OR
        interFreqCarrierFreqListExt-r12 InterFreqCarrierFreqListExt-r12 OPTIONAL
                                                                                  -- Need OR
    ]],
       interFreqCarrierFreqListExt-v1280 InterFreqCarrierFreqListExt-v1280 OPTIONAL
    [[
OR
    11
      interFreqCarrierFreqList-v1310
                                           InterFreqCarrierFreqList-v1310
                                                                               OPTIONAL,
                                                                                           -- Need
OR
        interFreqCarrierFreqListExt-v1310 InterFreqCarrierFreqListExt-v1310 OPTIONAL
                                                                                           -- Need
OR
    ]],
```

```
[[ interFreqCarrierFreqList-v1350
                                                                                   InterFreqCarrierFreqList-v1350 OPTIONAL,
       interFreqCarrierFreqListExt-v1350 InterFreqCarrierFreqListExt-v1350 OPTIONAL
                                                                                                                                                                       -- Need OR
       11,
        ] ]
             interFreqCarrierFreqListExt-v1360
                                                                                   InterFreqCarrierFreqListExt-v1360
                                                                                                                                                       OPTIONAL
                                                                                                                                                                               -- Need
OR
        ]],
             scptm-FreqOffset-r14
                                                                                   INTEGER (1..8)
                                                                                                                                                OPTIONAL
                                                                                                                                                                   -- Need OP
        11
        [[ interFreqCarrierFreqList-v1530
                                                                                   InterFreqCarrierFreqList-v1530
                                                                                                                                                        OPTIONAL,
                                                                                                                                                                               -- Need
OR
               interFreqCarrierFreqListExt-v1530 InterFreqCarrierFreqListExt-v1530 OPTIONAL,
                                                                                                                                                                               -- Need
OR
               measIdleConfigSIB-r15
                                                                                   MeasIdleConfigSIB-r15
                                                                                                                                             OPTIONAL -- Need OR
       ]]
}
-- Late non critical extensions
SystemInformationBlockType5-v8h0-IEs ::=
                                                                                   SEQUENCE {
       interFreqCarrierFreqList-v8h0 SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v8h0
               OPTIONAL,
                                   -- Need OP
                                                                    SystemInformationBlockType5-v9e0-IEs
       nonCriticalExtension
       OPTIONAL
SystemInformationBlockType5-v9e0-IEs ::=
                                                                                  SEQUENCE {
       interFreqCarrierFreqList-v9e0 SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v9e0
                      OPTIONAL,
                                              -- Need OR
       nonCriticalExtension
                                                                    SystemInformationBlockType5-v10j0-IEs OPTIONAL
SystemInformationBlockType5-v10j0-IEs ::=
                                                                                  SEQUENCE {
       interFreqCarrierFreqList-v10j0 SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v10j0
                              OPTIONAL, -- Need OR
       nonCriticalExtension
                                                                    SystemInformationBlockType5-v1010-IEs
                                                                                                                                                        OPTIONAL
SystemInformationBlockType5-v1010-IEs ::= SEQUENCE {
       interFreqCarrierFreqList-v1010 SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v1010
                              OPTIONAL, -- Need OR
       nonCriticalExtension
                                                                    SystemInformationBlockType5-v13a0-IEs
                                                                                                                                                               OPTIONAL
}
{\tt SystemInformationBlockType5-v13a0-IEs} ::= {\tt SEQUENCE} \ \{
        -- Late non critical extensions from REL-10 upto REL-12
       lateNonCriticalExtension
                                                                 OCTET STRING
                                                                                                                                 OPTIONAL,
                                                                                                                                                        -- Need OR
       interFreqCarrierFreqList-v13a0 InterFreqCarrierFreqList-v13a0 OPTIONAL,
                                                                                                                                                        -- Need OR
        -- Late non critical extensions from REL-13
       nonCriticalExtension
                                                                    SEQUENCE {}
                                                                                                                                 OPTIONAL
InterFreqCarrierFreqList ::=
                                                                    SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo
InterFreqCarrierFreqList-v1250 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v1250
InterFreqCarrierFreqList-v1310 ::= SEOUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v1310
InterFreqCarrierFreqList-v1350 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v1350
InterFreqCarrierFreqList-v13a0 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v1360
InterFreqCarrierFreqList-v1530 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v1530
InterFreqCarrierFreqListExt-r12 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-r12
InterFreqCarrierFreqListExt-v1280 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-
                v10j0
InterFreqCarrierFreqListExt-v1310 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-
                v1310
InterFreqCarrierFreqListExt-v1350 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-
                v1350
 \texttt{InterFreqCarrierFreqListExt-v1360} ::= \\ \texttt{SEQUENCE} \ (\texttt{SIZE} \ (\texttt{1..maxFreq})) \ \texttt{OF} \ \texttt{InterFreqCarrierFreqInfo-listExt-v1360} ::= \\ \texttt{SEQUENCE} \ (\texttt{SIZE} \ (\texttt{1..maxFreq})) \ \texttt{OF} \ \texttt{InterFreqCarrierFreqInfo-listExt-v1360} ::= \\ \texttt{SEQUENCE} \ (\texttt{SIZE} \ (\texttt{1..maxFreq})) \ \texttt{OF} \ \texttt{InterFreqCarrierFreqInfo-listExt-v1360} ::= \\ \texttt{SEQUENCE} \ (\texttt{SIZE} \ (\texttt{1..maxFreq})) \ \texttt{OF} \ \texttt{InterFreqCarrierFreqInfo-listExt-v1360} ::= \\ \texttt{SEQUENCE} \ (\texttt{SIZE} \ (\texttt{1..maxFreq})) \ \texttt{OF} \ \texttt{InterFreqCarrierFreqInfo-listExt-v1360} ::= \\ \texttt{SEQUENCE} \ (\texttt{SIZE} \ (\texttt{1..maxFreq})) \ \texttt{OF} \ \texttt{InterFreqCarrierFreqInfo-listExt-v1360} ::= \\ \texttt{SEQUENCE} \ (\texttt{SIZE} \ (\texttt{1..maxFreq})) \ \texttt{OF} \ \texttt{InterFreqCarrierFreqUnfo-listExt-v1360} ::= \\ \texttt{SEQUENCE} \ (\texttt{SIZE} \ (\texttt{1..maxFreq})) \ \texttt{OF} \ \texttt{InterFreqCarrierFreqUnfo-listExt-v1360} ::= \\ \texttt{SEQUENCE} \ (\texttt{SIZE} \ (\texttt{1..maxFreq})) \ \texttt{OF} \ \texttt{InterFreqCarrierFreqUnfo-listExt-v1360} ::= \\ \texttt{SEQUENCE} \ (\texttt{SIZE} \ (\texttt{1..maxFreq})) \ \texttt{OF} \ \texttt{InterFreqCarrierFreqUnfo-listExt-v1360} ::= \\ \texttt{SEQUENCE} \ (\texttt{SIZE} \ (\texttt{1..maxFreq})) \ \texttt{OF} \ \texttt{InterFreqUnfo-listExt-v1360} ::= \\ \texttt{SEQUENCE} \ (\texttt{SIZE} \ (\texttt{1..maxFreq})) \ \texttt{OF} \ \texttt{InterFreqUnfo-listExt-v1360} ::= \\ \texttt{SEQUENCE} \ (\texttt{SIZE} \ (\texttt{1..maxFreq})) \ \texttt{OF} \ \texttt{InterFreqUnfo-listExt-v1360} ::= \\ \texttt{SEQUENCE} \ (\texttt{SIZE} \ (\texttt{1..maxFreq})) \ \texttt{OF} \ \texttt{InterFreqUnfo-listExt-v1360} ::= \\ \texttt{SEQUENCE} \ (\texttt{SIZE} \ (\texttt{1..maxFreq})) \ \texttt{OF} \ \texttt{InterFreqUnfo-listExt-v1360} ::= \\ \texttt{SEQUENCE} \ \texttt{OF} \ \texttt{OF}
v1360
InterFreqCarrierFreqListExt-v1530 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-
                v1530
```

```
\label{eq:continuous_section} \mbox{InterFreqCarrierFreqInfo} ::= \mbox{SEQUENCE } \{
   dl-CarrierFreq
                                       ARFCN-ValueEUTRA,
    q-RxLevMin
                                        O-RxLevMin,
    p-Max
                                        P-Max
                                                                        OPTIONAL,
                                                                                         -- Need OP
    t-ReselectionEUTRA
                                        T-Reselection,
    t-ReselectionEUTRA-SF
                                        SpeedStateScaleFactors
                                                                        OPTIONAL.
                                                                                        -- Need OP
    threshX-High
                                       ReselectionThreshold,
    threshX-Low
                                      ReselectionThreshold,
    allowedMeasBandwidth
                                        AllowedMeasBandwidth,
    presenceAntennaPort1
                                       PresenceAntennaPort1,
                                      CellReselectionPriority OPTIONAL, NeighCellConfig,
    cellReselectionPriority
                                                                                        -- Need OP
    neighCellConfig
    q-OffsetFreq
                                      Q-OffsetRange
                                                                       DEFAULT dB0,
                                                                        OPTIONAL,
    interFreqNeighCellList
                                        InterFreqNeighCellList
                                                                                         -- Need OR
    interFreqBlackCellList
                                       InterFreqBlackCellList
                                                                                        -- Need OR
                                                                        OPTIONAL,
    [[ q-QualMin-r9
                                        Q-QualMin-r9
                                                                        OPTIONAL,
                                                                                        -- Need OP
        threshX-Q-r9
                                       SEQUENCE {
           threshX-HighQ-r9
                                           ReselectionThresholdQ-r9,
            threshX-LowQ-r9
                                            ReselectionThresholdQ-r9
        }
                                                                        OPTIONAL
                                                                                        -- Cond RSRO
    ]],
    [[ q-QualMinWB-r11
                                      Q-QualMin-r9
                                                                        OPTIONAL
                                                                                     -- Cond WB-RSRQ
    11
}
InterFreqCarrierFreqInfo-v8h0 ::=
                                        SEQUENCE {
    mult.iBandInfoList
                                        MultiBandInfoList
                                                                       OPTIONAL
                                                                                     -- Need OR
}
InterFreqCarrierFreqInfo-v9e0 ::= SEQUENCE {
   multiBandInfoList-v9e0
                                        ARFCN-ValueEUTRA-v9e0 OPTIONAL, -- Cond dl-FreqMax MultiBandInfoList-v9e0 OPTIONAL -- Need OR
}
InterFreqCarrierFreqInfo-v10j0 ::= SEQUENCE {
                                        NS-PmaxList-r10 OPTIONAL, MultiBandInfoList-v10j0 OPTIONAL
   nultiBandInfoList-v10j0
    freqBandInfo-r10
                                       NS-PmaxList-r10
                                                                                -- Need OR
                                                                                -- Need OR
}
InterFreqCarrierFreqInfo-v1010 ::= SEQUENCE {
                                        MultiBandInfoList-v1010 OPTIONAL,

OPTIONAL
                            NS-PmaxList-v1010
   freqBandInfo-v1010
                                                                                -- Need OR
                                                                                -- Need OR
    multiBandInfoList-v1010
}
}
InterFreqCarrierFreqInfo-r12 ::=
                                       SEQUENCE {
   dl-CarrierFreq-r12
                                       ARFCN-ValueEUTRA-r9,
    q-RxLevMin-r12
                                        Q-RxLevMin,
    p-Max-r12
                                       P-Max
                                                                       OPTIONAL,
                                                                                        -- Need OP
    t-ReselectionEUTRA-r12
                                    T-Reselection,
SpeedStateScaleFactors
    t-ReselectionEUTRA-SF-r12
                                                                        OPTIONAL,
                                                                                        -- Need OP
    threshX-High-r12
                                      ReselectionThreshold,
                                  ReselectionThreshold,
AllowedMeasBandwidth,
PresenceAntennaPort1,
    threshX-Low-r12
    allowedMeasBandwidth-r12
    presenceAntennaPort1-r12
    cellReselectionPriority-r12
                                        CellReselectionPriority
                                                                       OPTIONAL,
                                                                                         -- Need OP
    neighCellConfig-r12
                                      NeighCellConfig,
    q-OffsetFreq-r12
                                       Q-OffsetRange
                                                                        DEFAULT dB0,
                                                                        OPTIONAL,
    interFreqNeighCellList-r12
                                        InterFreqNeighCellList
                                                                                        -- Need OR
    interFreqBlackCellList-r12
                                       InterFreqBlackCellList
                                                                        OPTIONAL,
                                                                                        -- Need OR
                                                                                        -- Need OP
    q-QualMin-r12
                                        Q-QualMin-r9
                                                                        OPTIONAL,
    threshX-Q-r12
                                       SEQUENCE {
        threshX-HighQ-r12
                                            ReselectionThresholdQ-r9,
        threshX-LowQ-r12
                                            ReselectionThresholdQ-r9
                                                                       OPTIONAL, -- Cond RSRQ
    q-QualMinWB-r12
                                        Q-QualMin-r9
                                                                        OPTIONAL,
                                                                                    -- Cond WB-RSRQ
    multiBandIntoList-r12 MultiBandInfoList-r11
reducedMeasPerformance-r12 ENUMERATED {true}
q-QualMinRSRQ-OnAllSymbols-r12 Q-QualMin-r9
                                                                       OPTIONAL,
                                                                                    -- Need OR
                                       MultiBandInfoList-r11
                                                                       OPTIONAL,
                                                                                    -- Need OP
                                                                        OPTIONAL,
                                                                                    -- Cond RSRQ2
} . . .
```

```
InterFreqCarrierFreqInfo-v1310 ::= SEQUENCE {
                                    CellReselectionSubPriority-r13
    cellReselectionSubPriority-r13
                                                                        OPTIONAL,
                                                                                          -- Need
OP
   redistributionInterFreqInfo-r13 RedistributionInterFreqInfo-r13 cellSelectionInfoCE-r13 CellSelectionInfoCE-r13 0
                                                                         OPTIONAL, --Need OP
                                                                 OPTIONAL, -- Need OP
OPTIONAL -- Need OP
    t-ReselectionEUTRA-CE-r13
                                      T-ReselectionEUTRA-CE-r13
}
InterFreqCarrierFreqInfo-v1350 ::= SEQUENCE {
   cellSelectionInfoCE1-r13
                                      CellSelectionInfoCE1-r13
                                                                         OPTIONAL
                                                                                      -- Need OP
InterFreqCarrierFreqInfo-v1360 ::= SEQUENCE {
   cellSelectionInfoCE1-v1360
                                  CellSelectionInfoCE1-v1360 OPTIONAL -- Cond QrxlevminCE1
InterFreqCarrierFreqInfo-v1530 ::= SEQUENCE {
   hsdn-Indication-r15 BOOLEAN,
interFreqNeighHSDN-CellList-r15 InterFreqNeighHSDN-CellList-r15 OPTIONAL, -- Need OR
   hsdn-Indication-r15
   cellSelectionInfoCE-v1530
                                      CellSelectionInfoCE-v1530
                                                                         OPTIONAL
                                                                                     -- Need OP
}
InterFreqNeighCellList ::= SEQUENCE (SIZE (1..maxCellInter)) OF InterFreqNeighCellInfo
InterFreqNeighHSDN-CellList-r15 ::= SEQUENCE (SIZE (1..maxCellInter)) OF PhysCellIdRange
InterFreqNeighCellInfo ::=
                                   SEQUENCE {
   physCellId
                                      PhysCellId,
    q-OffsetCell
                                       Q-OffsetRange
InterFreqBlackCellList ::=
                                 SEQUENCE (SIZE (1..maxCellBlack)) OF PhysCellIdRange
RedistributionFactor-r13 OPTIONAL, --Need OP
                                          RedistributionNeighCellList-r13 OPTIONAL --Need
OP
}
RedistributionNeighCellList-r13 ::= SEQUENCE (SIZE (1..maxCellInter)) OF RedistributionNeighCell-r13
RedistributionNeighCell-r13 ::=
                                 SEQUENCE {
   physCellId-r13
                                                   PhysCellId,
    redistributionFactorCell-r13
                                                  RedistributionFactor-r13
}
RedistributionFactor-r13 ::= INTEGER(1..10)
-- ASN1STOP
```

## SystemInformationBlockType5 field descriptions

#### cellSelectionInfoCE

Parameters included in coverage enhancement S criteria for BL UEs and UEs in CE, applicable for inter-frequency neighbour cells. If absent, coverage enhancement S criteria is not applicable.

#### cellSelectionInfoCE1

Parameters included in coverage enhancement S criteria for BL UEs and UEs in CE supporting CE Mode B. E-UTRAN includes this IE only in an entry of *InterFreqCarrierFreqList-v1350* or *InterFreqCarrierFreqListExt-v1350* if *cellSelectionInfoCE* is present in the corresponding entry of *InterFreqCarrierFreqList-v1310* or *InterFreqCarrierFreqListExt-v1310* is present.

### freqBandInfo

A list of *additionalPmax* and *additionalSpectrumEmission* values, as defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs, for the frequency band represented by *dl-CarrierFreq* for which cell reselection parameters are common. If E-UTRAN includes *freqBandInfo-v10l0* it includes the same number of entries, and listed in the same order, as in *freqBandInfo-r10*.

#### hsdn-Indication

Indicates whether there are deployed HSDN cells or not on the the DL carrier frequency indicated by *dl-CarrierFreq- r12*.

### interFreqBlackCellList

List of blacklisted inter-frequency neighbouring cells.

## interFreqCarrierFreqList

List of neighbouring inter-frequencies. E-UTRAN does not configure more than one entry for the same physical frequency regardless of the E-ARFCN used to indicate this. If E-UTRAN includes <code>interFreqCarrierFreqList-v8h0</code>, <code>interFreqCarrierFreqList-v1250</code>, <code>InterFreqCarrierFreqList-v1310</code>, <code>InterFreqCarrierFreqList-v1350</code>, <code>InterFreqCarrierFreqList-v1340</code> and/or <code>InterFreqCarrierFreqList-v1530</code>, it includes the same number of entries, and listed in the same order, as in <code>interFreqCarrierFreqList</code> (i.e. without suffix). See Annex D for more descriptions.

## interFreqCarrierFreqListExt

List of additional neighbouring inter-frequencies, i.e. extending the size of the inter-frequency carrier list using the general principles specified in 5.1.2. E-UTRAN does not configure more than one entry for the same physical frequency regardless of the E-ARFCN used to indicate this. EUTRAN may include <code>interFreqCarrierFreqListExt</code> even if <code>interFreqCarrierFreqList(i.e.</code> without suffix) does not include <code>maxFreq</code> entries. If E-UTRAN includes <code>InterFreqCarrierFreqListExt-v1310</code>, <code>InterFreqCarrierFreqListExt-v1350</code>, <code>InterFreqCarrierFreqListExt-v1360</code> and/or <code>InterFreqCarrierFreqListExt-v1530</code>, it includes the same number of entries, and listed in the same order, as in <code>interFreqCarrierFreqListExt-v12</code>.

## interFreqNeighCellList

List of inter-frequency neighbouring cells with specific cell re-selection parameters.

# interFreqNeighHSDN-CellList

List of inter-frequency neighbouring HSDN cells as specified in TS 36.304 [4].

### multiBandInfoList

Indicates the list of frequency bands in addition to the band represented by dl-CarrierFreq for which cell reselection parameters are common. E-UTRAN indicates at most *maxMultiBands* frequency bands (i.e. the total number of entries across both *multiBandInfoList* and *multiBandInfoList-v9e0* is below this limit).

### multiBandInfoList-v10j0

A list of additionalPmax and additionalSpectrumEmission values, as defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs, for the frequency bands in multiBandInfoList (i.e. without suffix) and multiBandInfoList-v9e0. If E-UTRAN includes multiBandInfoList-v10j0, it includes the same number of entries, and listed in the same order, as in multiBandInfoList (i.e. without suffix). If E-UTRAN includes multiBandInfoList-v10j0 it includes the same number of entries, and listed in the same order, as in multiBandInfoList-v10j0.

#### p-Max

Value applicable for the neighbouring E-UTRA cells on this carrier frequency. If absent the UE applies the maximum power according to its capability as specified in TS 36.101 [42], clause 6.2.2.

# q-OffsetCell

Parameter "Qoffsets,n" in TS 36.304 [4].

### q-OffsetFreq

Parameter "Qoffsetfrequency" in TS 36.304 [4].

# q-QualMin

Parameter "Q<sub>qualmin</sub>" in TS 36.304 [4]. If the field is not present, the UE applies the (default) value of negative infinity for Q<sub>qualmin</sub>. NOTE 1.

# $q\hbox{-}Qual {\it MinRSRQ-OnAll Symbols}$

If this field is present and supported by the UE, the UE shall, when performing RSRQ measurements, perform RSRQ measurement on all OFDM symbols in accordance with TS 36.214 [48]. NOTE 1.

#### q-QualMinWB

If this field is present and supported by the UE, the UE shall, when performing RSRQ measurements, use a wider bandwidth in accordance with TS 36.133 [16]. NOTE 1.

## redistributionFactorFreq

Parameter redistributionFactorFreq in TS 36.304 [4].

## SystemInformationBlockType5 field descriptions

### redistributionFactorCell

Parameter redistributionFactorCell in TS 36.304 [4].

#### reducedMeasPerformance

Value *TRUE* indicates that the neighbouring inter-frequency is configured for reduced measurement performance, see TS 36.133 [16]. If the field is not included, the neighbouring inter-frequency is configured for normal measurement performance, see TS 36.133 [16].

#### scptm-FreqOffset

Parameter Qoffset<sub>SCPTM</sub> in TS 36.304 [4]. Actual value Qoffset<sub>SCPTM</sub> = field value \* 2 [dB]. If the field is not present, the UE uses infinite dBs for the SC-PTM frequency offset with cell ranking as specified in TS 36.304 [4].

### threshX-High

Parameter "Threshx, HighP" in TS 36.304 [4].

## threshX-HighQ

Parameter "Threshx, HighQ" in TS 36.304 [4].

## threshX-Low

Parameter "Threshx, LowP" in TS 36.304 [4].

#### threshX-LowQ

Parameter "Threshx, LowQ" in TS 36.304 [4].

#### t-ReselectionEUTRA

Parameter "TreselectionEUTRA" in TS 36.304 [4].

#### t-ReselectionEUTRA-SF

Parameter "Speed dependent ScalingFactor for Treselection<sub>EUTRA</sub>" in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].

NOTE 1: The value the UE applies for parameter "Q<sub>qualmin</sub>" in TS 36.304 [4] depends on the *q-QualMin* fields signalled by E-UTRAN and supported by the UE. In case multiple candidate options are available, the UE shall select the highest priority candidate option according to the priority order indicated by the following table (top row is highest priority).

q-QualMinRSRQ-OnAllSymbols	q-QualMinWB	Value of parameter "Qqualmin" in TS 36.304 [4]
Included	Included	q-QualMinRSRQ-OnAllSymbols – (q-QualMin – q-
		QualMinWB)
Included	Not included	q-QualMinRSRQ-OnAllSymbols
Not included	Included	q-QualMinWB
Not included	Not included	g-QualMin

Conditional presence	Explanation
dl-FreqMax	The field is mandatory present if, for the corresponding entry in <i>InterFreqCarrierFreqList</i>
	(i.e. without suffix), dl-CarrierFreq (i.e. without suffix) is set to maxEARFCN. Otherwise
	the field is not present.
QrxlevminCE1	The field is optionally present, Need OR, if <i>q-RxLevMinCE1-r13</i> is set below -140 dBm.
	Otherwise the field is not present.
RSRQ	The field is mandatory present if threshServingLowQ is present in
	systemInformationBlockType3; otherwise it is not present.
RSRQ2	The field is mandatory present for all EUTRA carriers listed in SIB5 if <i>q-QualMinRSRQ</i> -
	OnAllSymbols is present in SIB3; otherwise it is not present and the UE shall delete any
	existing value for this field.
WB-RSRQ	The field is optionally present, need OP if the measurement bandwidth indicated by
	allowedMeasBandwidth is 50 resource blocks or larger; otherwise it is not present.

# SystemInformationBlockType6

The IE *SystemInformationBlockType6* contains information relevant only for inter-RAT cell re-selection i.e. information about UTRA frequencies and UTRA neighbouring cells relevant for cell re-selection. The IE includes cell re-selection parameters common for a frequency.

## SystemInformationBlockType6 information element

```
-- ASN1START

SystemInformationBlockType6 ::= SEQUENCE {
    carrierFreqListUTRA-FDD CarrierFreqListUTRA-FDD OPTIONAL, -- Need OR carrierFreqListUTRA-TDD CarrierFreqListUTRA-TDD OPTIONAL, -- Need OR t-ReselectionUTRA T-Reselection,
```

```
t-ReselectionUTRA-SF
                                      SpeedStateScaleFactors
                                                                       OPTIONAL,
                                                                                      -- Need OP
   lateNonCriticalExtension
                                      OCTET STRING (CONTAINING SystemInformationBlockType6-
                           OPTIONAL,
v8h0-IEs)
   [[ carrierFreqListUTRA-FDD-v1250 SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF
                                       CarrierFreqInfoUTRA-v1250
                                                                     OPTIONAL,
                                                                                   -- Cond UTRA-FDD
       carrierFreqListUTRA-TDD-v1250 SEQUENCE (SIZE (1..maxUTRA-TDD-Carrier)) OF
        CarrierFreqInfoUTRA-v1250 OPTIONAL, -- Cond UTRA-TDD carrierFreqListUTRA-FDD-Ext-r12 CarrierFreqListUTRA-FDD-Ext-r12 OPTIONAL, -- Cond UTRA-FDD
        carrierFreqListUTRA-TDD-Ext-r12 CarrierFreqListUTRA-TDD-Ext-r12 OPTIONAL
                                                                                      -- Cond
UTRA-TDD
   11
SystemInformationBlockType6-v8h0-IEs ::=
                                           SEQUENCE {
   carrierFreqListUTRA-FDD-v8h0 SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF CarrierFreqInfoUTRA-
FDD-v8h0 OPTIONAL, -- Cond UTRA-FDD
   nonCriticalExtension
                                       SEQUENCE {}
                                                                           OPTIONAL
}
CarrierFreqInfoUTRA-v1250 ::=
                                  SEQUENCE {
   reducedMeasPerformance-r12
                                 ENUMERATED {true}
                                                          OPTIONAL
                                                                           -- Need OP
                             SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF CarrierFreqUTRA-FDD
CarrierFreqListUTRA-FDD ::=
CarrierFreqUTRA-FDD ::=
                                   SEQUENCE {
                                      ARFCN-ValueUTRA,
   carrierFreq
    cellReselectionPriority
                                       CellReselectionPriority
                                                                     OPTIONAL,
                                                                                      -- Need OP
    threshX-High
                                       ReselectionThreshold,
    threshX-Low
                                       ReselectionThreshold,
   q-RxLevMin
                                       INTEGER (-60..-13),
   p-MaxUTRA
                                       INTEGER (-50..33),
    q-QualMin
                                      INTEGER (-24..0),
    [[ threshX-Q-r9
                                      SEQUENCE {
           threshX-HighQ-r9
                                        ReselectionThresholdQ-r9,
                                           ReselectionThresholdQ-r9
           threshX-LowO-r9
                                                                       OPTIONAL
        }
                                                                                      -- Cond RSRO
    ]]
}
CarrierFreqInfoUTRA-FDD-v8h0 ::=
                                           SEQUENCE {
    multiBandInfoList
                                      SEQUENCE (SIZE (1..maxMultiBands)) OF FreqBandIndicator-
UTRA-FDD
                       OPTIONAL -- Need OR
CarrierFreqListUTRA-FDD-Ext-r12 ::= SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF
                                   CarrierFreqUTRA-FDD-Ext-r12
CarrierFreqUTRA-FDD-Ext-r12 ::=
                                           SEOUENCE {
   carrierFreq-r12
                                       ARFCN-ValueUTRA,
    cellReselectionPriority-r12
                                       CellReselectionPriority
                                                                     OPTIONAL, -- Need OP
    threshX-High-r12
                                       ReselectionThreshold,
    threshX-Low-r12
                                      ReselectionThreshold,
                                       INTEGER (-60..-13),
   q-RxLevMin-r12
    p-MaxUTRA-r12
                                       INTEGER (-50..33),
    q-QualMin-r12
                                      INTEGER (-24..0),
                                       SEQUENCE {
    threshX-Q-r12
           threshX-HighQ-r12
                                          ReselectionThresholdO-r9.
           threshX-LowQ-r12
                                          ReselectionThresholdQ-r9
                                                                  OPTIONAL,
   multiBandInfoList-r12
                                      SEQUENCE (SIZE (1..maxMultiBands)) OF FreqBandIndicator-
UTRA-FDD
                      OPTIONAL, -- Need OR
   reducedMeasPerformance-r12
                                      ENUMERATED {true}
                                                                     OPTIONAL,
                                                                                  -- Need OP
CarrierFreqListUTRA-TDD ::= SEQUENCE (SIZE (1..maxUTRA-TDD-Carrier)) OF CarrierFreqUTRA-TDD
CarrierFregUTRA-TDD ::=
                                   SEOUENCE {
   carrierFreq
                                       ARFCN-ValueUTRA,
                                       CellReselectionPriority
    cellReselectionPriority
                                                                      OPTIONAL,
                                                                                      -- Need OP
    threshX-High
                                       ReselectionThreshold,
    threshX-Low
                                       ReselectionThreshold,
   q-RxLevMin
                                       INTEGER (-60..-13),
   p-MaxUTRA
                                       INTEGER (-50..33),
```

```
}
CarrierFreqListUTRA-TDD-Ext-r12 ::= SEQUENCE (SIZE (1..maxUTRA-TDD-Carrier)) OF
                                   CarrierFreqUTRA-TDD-r12
CarrierFreqUTRA-TDD-r12 ::= SEQUENCE {
    carrierFreq-r12
                                       ARFCN-ValueUTRA,
                                       CellReselectionPriority
                                                                      OPTIONAL,
    cellReselectionPriority-r12
                                                                                       -- Need OP
                                       ReselectionThreshold,
    threshX-High-r12
    threshX-Low-r12
                                       ReselectionThreshold,
   q-RxLevMin-r12
                                       INTEGER (-60..-13),
                                       INTEGER (-50..33),
    p-MaxUTRA-r12
    reducedMeasPerformance-r12
                                       ENUMERATED {true}
                                                                       OPTIONAL, -- Need OP
FregBandIndicator-UTRA-FDD ::=
                                           INTEGER (1..86)
-- ASN1STOP
```

## SystemInformationBlockType6 field descriptions

#### carrierFreqListUTRA-FDD

List of carrier frequencies of UTRA FDD. E-UTRAN does not configure more than one entry for the same physical frequency regardless of the ARFCN used to indicate this. If E-UTRAN includes *carrierFreqListUTRA-FDD-v8h0* and/or *carrierFreqListUTRA-FDD-v1250*, it includes the same number of entries, and listed in the same order, as in *carrierFreqListUTRA-FDD* (i.e. without suffix). See Annex D for more descriptions.

### carrierFreqListUTRA-FDD-Ext

List of additional carrier frequencies of UTRA FDD. E-UTRAN does not configure more than one entry for the same physical frequency regardless of the ARFCN used to indicate this. EUTRAN may include *carrierFreqListUTRA-FDD-Ext* even if *carrierFreqListUTRA-FDD* (i.e without suffix) does not include *maxUTRA-FDD-Carrier* entries.

#### carrierFreqListUTRA-TDD

List of carrier frequencies of UTRA TDD. E-UTRAN does not configure more than one entry for the same physical frequency regardless of the ARFCN used to indicate this If E-UTRAN includes *carrierFreqListUTRA-TDD-v1250*, it includes the same number of entries, and listed in the same order, as in *carrierFreqListUTRA-TDD* (i.e. without suffix).

## carrierFreqListUTRA-TDD-Ext

List of additional carrier frequencies of UTRA TDD. E-UTRAN does not configure more than one entry for the same physical frequency regardless of the ARFCN used to indicate this. EUTRAN may include *carrierFreqListUTRA-TDD-Ext* even if *carrierFreqListUTRA-TDD* (i.e without suffix) does not include *maxUTRA-TDD-Carrier* entries.

#### multiBandInfoList

Indicates the list of frequency bands in addition to the band represented by carrierFreq in the *CarrierFreqUTRA-FDD* for which UTRA cell reselection parameters are common.

# p-MaxUTRA

The maximum allowed transmission power on the (uplink) carrier frequency, see TS 25.304 [40]. In dBm

#### g-QualMin

Parameter "Q<sub>qualmin</sub>" in TS 25.304 [40]. Actual value = field value [dB].

## q-RxLevMin

Parameter "Q<sub>rxlevmin</sub>" in TS 25.304 [40]. Actual value = field value \* 2+1 [dBm].

#### reducedMeasPerformance

Value *TRUE* indicates that the UTRA carrier frequency is configured for reduced measurement performance, see TS 36.133 [16]. If the field is not included, the UTRA carrier frequency is configured for normal measurement performance, see TS 36.133 [16].

# t-ReselectionUTRA

Parameter "Treselection<sub>UTRAN</sub>" in TS 36.304 [4].

### t-ReselectionUTRA-SF

Parameter "Speed dependent ScalingFactor for Treselection<sub>UTRA</sub>" in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].

### threshX-High

Parameter "Threshx, HighP" in TS 36.304 [4].

## threshX-HighQ

Parameter "Threshx, HighQ" in TS 36.304 [4].

## threshX-Low

Parameter "Thresh<sub>X, LowP</sub>" in TS 36.304 [4].

#### threshX-LowQ

Parameter "Threshx, LowQ" in TS 36.304 [4].

Conditional presence	Explanation
RSRQ	The field is mandatory present if the <i>threshServingLowQ</i> is present in
	systemInformationBlockType3; otherwise it is not present.
UTRA-FDD	The field is optionally present, need OR, if the carrierFreqListUTRA-FDD is present.
	Otherwise it is not present.
UTRA-TDD	The field is optionally present, need OR, if the carrierFreqListUTRA-TDD is present.
	Otherwise it is not present.

# SystemInformationBlockType7

The IE *SystemInformationBlockType7* contains information relevant only for inter-RAT cell re-selection i.e. information about GERAN frequencies relevant for cell re-selection. The IE includes cell re-selection parameters for each frequency.

## SystemInformationBlockType7 information element

```
-- ASN1START
SystemInformationBlockType7 ::=
                                   SEQUENCE {
                                   T-Reselection,
    t-ReselectionGERAN
                                      SpeedStateScaleFactors
CarrierFreqsInfoListGERAN
    t-ReselectionGERAN-SF
                                                                           OPTIONAL,
                                                                                       -- Need OR
                                                                                       -- Need OR
   carrierFreqsInfoList
                                                                           OPTIONAL,
    lateNonCriticalExtension
                                           OCTET STRING
                                                                       OPTIONAL
CarrierFreqsInfoListGERAN ::=
                                      SEQUENCE (SIZE (1..maxGNFG)) OF CarrierFreqsInfoGERAN
CarrierFreqsInfoGERAN ::=
                           SEQUENCE {
   carrierFreqs
                                      CarrierFreqsGERAN,
   commonInfo
                                       SEQUENCE {
       cellReselectionPriority
                                           CellReselectionPriority
                                                                           OPTIONAL.
                                                                                      -- Need OP
       ncc-Permitted
                                           BIT STRING (SIZE (8)),
       q-RxLevMin
                                           INTEGER (0..45),
                                           INTEGER (0..39)
       p-MaxGERAN
                                                                          OPTIONAL, -- Need OP
        threshX-High
                                           ReselectionThreshold,
        threshX-Low
                                           ReselectionThreshold
    },
-- ASN1STOP
```

#### SystemInformationBlockType7 field descriptions

#### carrierFregs

The list of GERAN carrier frequencies organised into one group of GERAN carrier frequencies.

#### carrierFreqsInfoList

Provides a list of neighbouring GERAN carrier frequencies, which may be monitored for neighbouring GERAN cells. The GERAN carrier frequencies are organised in groups and the cell reselection parameters are provided per group of GERAN carrier frequencies.

#### commonlnfo

Defines the set of cell reselection parameters for the group of GERAN carrier frequencies.

#### ncc-Permitted

Field encoded as a bit map, where bit N is set to "0" if a BCCH carrier with NCC = N-1 is not permitted for monitoring and set to "1" if the BCCH carrier with NCC = N-1 is permitted for monitoring; N = 1 to 8; bit 1 of the bitmap is the leading bit of the bit string.

#### p-MaxGERAN

Maximum allowed transmission power for GERAN on an uplink carrier frequency, see TS 45.008 [28]. Value in dBm. Applicable for the neighbouring GERAN cells on this carrier frequency. If *pmaxGERAN* is absent, the maximum power according to the UE capability is used.

#### q-RxLevMin

Parameter " $Q_{rxlevmin}$ " in TS 36.304 [4], minimum required RX level in the GSM cell. The actual value of  $Q_{rxlevmin}$  in dBm = (field value \* 2) – 115.

#### threshX-High

Parameter "Threshx, HighP" in TS 36.304 [4].

#### threshX-Low

Parameter "Threshx, LowP" in TS 36.304 [4].

#### t-ReselectionGERAN

Parameter "TreselectionGERAN" in TS 36.304 [4].

#### t-ReselectionGERAN-SF

Parameter "Speed dependent ScalingFactor for Treselection<sub>GERAN</sub>" in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].

# SystemInformationBlockType8

The IE *SystemInformationBlockType8* contains information relevant only for inter-RAT cell re-selection i.e. information about CDMA2000 frequencies and CDMA2000 neighbouring cells relevant for cell re-selection. The IE includes cell re-selection parameters common for a frequency as well as cell specific re-selection parameters.

## SystemInformationBlockType8 information element

```
-- ASN1START
SystemInformationBlockType8 ::=
                                   SEQUENCE {
                                      SystemTimeInfoCDMA2000
                                                                          OPTIONAL.
   systemTimeInfo
                                                                                      -- Need OR
   searchWindowSize
                                       INTEGER (0..15)
                                                                          OPTIONAL,
                                                                                      -- Need OR
   parametersHRPD
                                       SEQUENCE {
       preRegistrationInfoHRPD
                                          PreRegistrationInfoHRPD,
       cellReselectionParametersHRPD
                                          CellReselectionParametersCDMA2000 OPTIONAL -- Need OR
                                                                          OPTIONAL, -- Need OR
   parameters1XRTT
                                       SEQUENCE {
       csfb-RegistrationParam1XRTT
                                           CSFB-RegistrationParam1XRTT
                                                                          OPTIONAL, -- Need OP
                                                                          OPTIONAL,
       longCodeState1XRTT
                                           BIT STRING (SIZE (42))
       cellReselectionParameters1XRTT
                                           CellReselectionParametersCDMA2000 OPTIONAL -- Need OR
                                                                          OPTIONAL,
                                                                                      -- Need OR
   lateNonCriticalExtension
                                      OCTET STRING
                                                                           OPTIONAL,
    [[ csfb-SupportForDualRxUEs-r9
                                                                                      -- Need OR
                                          BOOLEAN
                                                                          OPTIONAL.
       \verb|cellReselectionParametersHRPD-v920| CellReselectionParametersCDMA2000-v920| OPTIONAL, \\
Cond NCL-HRPD
       cellReselectionParameters1XRTT-v920 CellReselectionParametersCDMA2000-v920 OPTIONAL,
Cond NCL-1XRTT
       csfb-RegistrationParam1XRTT-v920
                                          CSFB-RegistrationParam1XRTT-v920
                                                                                  OPTIONAL.
Cond REG-1XRTT
       ac-BarringConfig1XRTT-r9
                                           AC-BarringConfig1XRTT-r9
                                                                      OPTIONAL
                                                                                   -- Cond REG-
   ]],
       csfb-DualRxTxSupport-r10
   [ [
                                           ENUMERATED {true}
                                                                      OPTIONAL
                                                                                   -- Cond REG-
1XRTT
   11.
       sib8-PerPLMN-List-r11
                                                                                   -- Need OR
                                           SIB8-PerPLMN-List-r11
                                                                      OPTIONAL
    [ [
```

```
CellReselectionParametersCDMA2000 ::= SEQUENCE {
   bandClassList
                                      BandClassListCDMA2000,
   neighCellList
                                      NeighCellListCDMA2000,
   t-ReselectionCDMA2000
                                 T-Reselection,
   t-ReselectionCDMA2000-SF
                                      SpeedStateScaleFactors
                                                                         OPTIONAL
                                                                                     -- Need OP
CellReselectionParametersCDMA2000-r11 ::= SEQUENCE {
   bandClassList
                                      BandClassListCDMA2000,
   neighCellList-r11
                                      SEQUENCE (SIZE (1..16)) OF NeighCellCDMA2000-r11,
                                     T-Reselection,
SpeedStateScaleFactors
   t-ReselectionCDMA2000
   t-ReselectionCDMA2000-SF
                                                                         OPTIONAL -- Need OP
}
CellReselectionParametersCDMA2000-v920 ::= SEQUENCE {
   neighCellList-v920
                                          NeighCellListCDMA2000-v920
NeighCellListCDMA2000 ::=
                                  SEQUENCE (SIZE (1..16)) OF NeighCellCDMA2000
NeighCellCDMA2000 ::= SEQUENCE {
                                       BandclassCDMA2000,
   bandClass
   neighCellsPerFreqList
                                      NeighCellsPerBandclassListCDMA2000
}
NeighCellCDMA2000-r11 ::= SEQUENCE {
                                       BandclassCDMA2000,
   bandClass
                                       SEQUENCE (SIZE (1..16)) OF NeighCellsPerBandclassCDMA2000-
   neighFreqInfoList-r11
r11
NeighCellsPerBandclassListCDMA2000 ::= SEQUENCE (SIZE (1..16)) OF NeighCellsPerBandclassCDMA2000
NeighCellsPerBandclassCDMA2000 ::= SEQUENCE {
                                       ARFCN-ValueCDMA2000
   physCellIdList
                                       PhysCellIdListCDMA2000
}
NeighCellsPerBandclassCDMA2000-r11 ::= SEQUENCE {
                                       ARFCN-ValueCDMA2000,
                                       SEQUENCE (SIZE (1..40)) OF PhysCellIdCDMA2000
   physCellIdList-r11
NeighCellListCDMA2000-v920 ::=
                                  SEQUENCE (SIZE (1..16)) OF NeighCellCDMA2000-v920
NeighCellCDMA2000-v920 ::=
                                 SEQUENCE {
   neighCellsPerFreqList-v920
                                    NeighCellsPerBandclassListCDMA2000-v920
NeighCellsPerBandclassListCDMA2000-v920 ::= SEQUENCE (SIZE (1..16)) OF
NeighCellsPerBandclassCDMA2000-v920
NeighCellsPerBandclassCDMA2000-v920 ::= SEQUENCE {
   physCellIdList-v920
                                       PhysCellIdListCDMA2000-v920
PhysCellIdListCDMA2000 ::=
                                  SEQUENCE (SIZE (1..16)) OF PhysCellIdCDMA2000
PhysCellIdListCDMA2000-v920 ::=
                                  SEQUENCE (SIZE (0..24)) OF PhysCellIdCDMA2000
BandClassListCDMA2000 ::=
                                  SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandClassInfoCDMA2000
BandClassInfoCDMA2000 ::= SEQUENCE {
   bandClass
                                       BandclassCDMA2000,
   cellReselectionPriority
                                       CellReselectionPriority
                                                                        OPTIONAL, -- Need OP
   threshX-High
                                       INTEGER (0..63),
                                      INTEGER (0..63),
   threshX-Low
AC-BarringConfig1XRTT-r9 ::=
                                  SEQUENCE {
                                      INTEGER (0..63),
   ac-BarringOto9-r9
                                       INTEGER (0..7),
   ac-Barring10-r9
   ac-Barring11-r9
                                       INTEGER (0..7),
   ac-Barring12-r9
                                      INTEGER (0..7),
                                       INTEGER (0..7),
   ac-Barring13-r9
   ac-Barring14-r9
                                    INTEGER (0..7),
```

```
ac-Barring15-r9
                                    INTEGER (0..7),
   ac-BarringMsg-r9
                                     INTEGER (0..7),
   ac-BarringReg-r9
                                    INTEGER (0..7),
   ac-BarringEmg-r9
                                    INTEGER (0..7)
SIB8-PerPLMN-List-r11 ::=
                                SEQUENCE (SIZE (1..maxPLMN-r11)) OF SIB8-PerPLMN-r11
SIB8-PerPLMN-r11 ::=
                                 SEQUENCE {
   plmn-Identity-r11
                                    INTEGER (1..maxPLMN-r11),
   parametersCDMA2000-r11
                                     CHOICE {
       explicitValue
                                        ParametersCDMA2000-r11.
       defaultValue
                                        NULL
}
ParametersCDMA2000-r11 ::=
systemTimeInfo-r11
explicitYalue
                                SEOUENCE {
                                    CHOICE {
       explicitValue
                                        SystemTimeInfoCDMA2000,
       defaultValue
                                        NULL
                                                              OPTIONAL, -- Need OR
   searchWindowSize-r11
                                    INTEGER (0..15),
   parametersHRPD-r11
                                    SEOUENCE {
       preRegistrationInfoHRPD-r11
                                     PreRegistrationInfoHRPD,
       cellReselectionParametersHRPD-r11 CellReselectionParametersCDMA2000-r11 OPTIONAL -- Need
OR
   parameters1XRTT-r11
                                    SEQUENCE {
       OPTIONAL, -- Need OP
       csfb-RegistrationParamlXRTT-Ext-r11 CSFB-RegistrationParamlXRTT-v920 OPTIONAL, -- Cond
REG-1XRTT-PerPLMN
                                        BIT STRING (SIZE (42)) OPTIONAL, -- Cond PerPLMN-LC
       longCodeState1XRTT-r11
       cellReselectionParameters1XRTT-r11 CellReselectionParametersCDMA2000-r11 OPTIONAL, --
Need OR
                                        AC-BarringConfig1XRTT-r9
       ac-BarringConfig1XRTT-r11
                                                                         OPTIONAL, -- Cond
REG-1XRTT-PerPLMN
   csfb-SupportForDualRxUEs-r11
                                        BOOLEAN
                                                                         OPTIONAL, -- Need OR
       csfb-DualRxTxSupport-r11
                                        ENUMERATED {true} OPTIONAL -- Cond REG-1XRTT-
PerPLMN
          OPTIONAL, -- Need OR
  }
   . . .
}
-- ASN1STOP
```

# SystemInformationBlockType8 field descriptions

## ac-BarringConfig1XRTT

Contains the access class barring parameters the UE uses to calculate the access class barring factor, see C.S0097 [53].

#### ac-Barring0to9

Parameter used for calculating the access class barring factor for access overload classes 0 through 9. It is the parameter "PSIST" in C.S0004 [34] for access overload classes 0 through 9.

#### ac-BarringEmg

Parameter used for calculating the access class barring factor for emergency calls and emergency message transmissions for access overload classes 0 through 9. It is the parameter "PSIST\_EMG" in C.S0004 [34].

### ac-BarringMsg

Parameter used for modifying the access class barring factor for message transmissions. It is the parameter "MSG\_PSIST" in C.S0004 [34].

## ac-BarringN

Parameter used for calculating the access class barring factor for access overload class N (N = 10 to 15). It is the parameter "PSIST" in C.S0004 [34] for access overload class N.

#### ac-BarringReg

Parameter used for modifying the access class barring factor for autonomous registrations. It is the parameter "REG\_PSIST" in C.S0004 [34].

## bandClass

Identifies the Frequency Band in which the Carrier can be found. Details can be found in C.S0057 [24, Table 1.5].

## bandClassList

List of CDMA2000 frequency bands.

#### SystemInformationBlockType8 field descriptions

#### cellReselectionParameters1XRTT

Cell reselection parameters applicable only to CDMA2000 1xRTT system.

#### cellReselectionParameters1XRTT-Ext

Cell reselection parameters applicable for cell reselection to CDMA2000 1XRTT system.

### cellReselectionParameters1XRTT-v920

Cell reselection parameters applicable for cell reselection to CDMA2000 1XRTT system. The field is not present if *cellReselectionParameters1XRTT* is not present; otherwise it is optionally present.

## cellReselectionParametersHRPD

Cell reselection parameters applicable for cell reselection to CDMA2000 HRPD system

#### cellReselectionParametersHRPD-Ext

Cell reselection parameters applicable for cell reselection to CDMA2000 HRPD system.

### cellReselectionParametersHRPD-v920

Cell reselection parameters applicable for cell reselection to CDMA2000 HRPD system. The field is not present if cellReselectionParametersHRPD is not present; otherwise it is optionally present.

## csfb-DualRxTxSupport

Value TRUE indicates that the network supports dual Rx/Tx enhanced 1xCSFB, which enables UEs capable of dual Rx/Tx enhanced 1xCSFB to switch off their 1xRTT receiver/transmitter while camped in E-UTRAN [51].

## csfb-RegistrationParam1XRTT

Contains the parameters the UE will use to determine if it should perform a CDMA2000 1xRTT Registration/Re-Registration. This field is included if either CSFB or enhanced CS fallback to CDMA2000 1xRTT is supported.

#### csfb-SupportForDualRxUEs

Value TRUE indicates that the network supports dual Rx CSFB [51].

#### IongCodeState1XRTT

The state of long code generation registers in CDMA2000 1XRTT system as defined in C.S0002 [12], clause 1.3, at  $\lceil t/10 \rceil \times 10 + 320$  ms, where t equals to the *cdma-SystemTime*. This field is required for reporting CGI for 1xRTT,

SRVCC handover and enhanced CS fallback to CDMA2000 1xRTT operation. Otherwise this IE is not needed. This field is excluded when estimating changes in system information, i.e. changes of *longCodeState1XRTT* should neither result in system information change notifications nor in a modification of *systemInfoValueTag* in SIB1.

### neighCellList

List of CDMA2000 neighbouring cells. The total number of neighbouring cells in neighCellList for each RAT (1XRTT or HRPD) is limited to 32.

## neighCellList-v920

Extended List of CDMA2000 neighbouring cells. The combined total number of CDMA2000 neighbouring cells in both neighCellList and neighCellList-v920 is limited to 32 for HRPD and 40 for 1xRTT.

# neighCellsPerFreqList

List of carrier frequencies and neighbour cell ids in each frequency within a CDMA2000 Band, see C.S0002 [12] or C.S0024 [26].

## neighCellsPerFreqList-v920

Extended list of neighbour cell ids, in the same CDMA2000 Frequency Band as the corresponding instance in "NeighCellListCDMA2000".

#### parameters1XRTT

Parameters applicable for interworking with CDMA2000 1XRTT system

## parametersCDMA2000

Provides the corresponding SIB8 parameters for the CDMA2000 network associated with the PLMN indicated in *plmn-Identity*. A choice is used to indicate whether for this PLMN the parameters are signalled explicitly or set to the (default) values common for all PLMNs i.e. the values not included in *sib8-PerPLMN-List*.

#### parametersHRPD

Parameters applicable only for interworking with CDMA2000 HRPD systems.

# physCellIdList

Identifies the list of CDMA2000 cell ids, see C.S0002 [12] or C.S0024 [26].

## physCellIdList-v920

Extended list of CDMA2000 cell ids, in the same CDMA2000 ARFCN as the corresponding instance in "NeighCellsPerBandclassCDMA2000".

#### SystemInformationBlockType8 field descriptions

#### plmn-Identity

Indicates the PLMN associated with this CDMA2000 network. Value 1 indicates the PLMN listed 1st in the 1st *plmn-IdentityList* included in SIB1, value 2 indicates the PLMN listed 2nd in the same *plmn-IdentityList*, or when no more PLMN are present within the same *plmn\_identityList*, then the PLMN listed 1st in the subsequent *plmn-IdentityList* within the same SIB1 and so on. A PLMN which identity is not indicated in the *sib8-PerPLMN-List*, does not support inter-working with CDMA2000.

## preRegistrationInfoHRPD

The CDMA2000 HRPD Pre-Registration Information tells the UE if it should pre-register with the CDMA2000 HRPD network and identifies the Pre-registration zone to the UE.

#### searchWindowSize

The search window size is a CDMA2000 parameter to be used to assist in searching for the neighbouring pilots. For values see C.S0005 [25], Table 2.6.6.2.1-1, and C.S0024 [26], Table 8.7.6.2-4. This field is required for a UE with *rx-ConfigHRPD*= *single* and/ or *rx-Config1XRTT*= *single* to perform handover, cell re-selection, UE measurement based redirection and enhanced 1xRTT CS fallback from E-UTRAN to CDMA2000 according to this specification and TS 36.304 [4].

## sib8-PerPLMN-List

This field provides the values for the interworking CDMA2000 networks corresponding, if any, to the UE's RPLMN.

#### systemTimeInfo

Information on CDMA2000 system time. This field is required for a UE with *rx-ConfigHRPD*= *single* and/ or *rx-Config1XRTT*= *single* to perform handover, cell re-selection, UE measurement based redirection and enhanced 1xRTT CS fallback from E-UTRAN to CDMA2000 according to this specification and TS 36.304 [4]. This field is excluded when estimating changes in system information, i.e. changes of *systemTimeInfo* should neither result in system information change notifications nor in a modification of *systemInfoValueTag* in SIB1.

For the field included in *ParametersCDMA2000*, a choice is used to indicate whether for this PLMN the parameters are signalled explicitly or set to the (default) value common for all PLMNs i.e. the value not included in *sib8-PerPLMN-List*.

#### threshX-High

Parameter "Threshx,  $_{HighP}$ " in TS 36.304 [4]. This specifies the high threshold used in reselection towards this CDMA2000 band class expressed as an unsigned binary number equal to FLOOR (-2 x 10 x  $log_{10}$  Ec/lo) in units of 0.5 dB, as defined in C.S0005 [25].

### threshX-Low

Parameter "Threshx, LowP" in TS 36.304 [4]. This specifies the low threshold used in reselection towards this CDMA2000 band class expressed as an unsigned binary number equal to FLOOR (-2 x 10 x  $log_{10}$   $E_c/l_o$ ) in units of 0.5 dB, as defined in C.S0005 [25].

### t-ReselectionCDMA2000

Parameter "Treselection<sub>CDMA\_HRPD</sub>" or "Treselection<sub>CDMA\_1xRTT</sub>" in TS 36.304 [4].

## t-ReselectionCDMA2000-SF

Parameter "Speed dependent ScalingFactor for Treselection<sub>CDMA-HRPD</sub>" or Treselection<sub>CDMA-1xRTT</sub>" in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].

Conditional presence	Explanation
NCL-1XRTT	The field is optional present, need OR, if <i>cellReselectionParameters1xRTT</i> is present;
	otherwise it is not present.
NCL-HRPD	The field is optional present, need OR, if <i>cellReselectionParametersHRPD</i> is present;
	otherwise it is not present.
PerPLMN-LC	The field is optional present, need OR, when systemTimeInfo is included in
	SIB8PerPLMN for this CDMA2000 network; otherwise it is not present.
REG-1XRTT	The field is optional present, need OR, if csfb-RegistrationParam1XRTT is present;
	otherwise it is not present.
REG-1XRTT-PerPLMN	The field is optional present, need OR, if csfb-RegistrationParam1XRTT is included in
	SIB8PerPLMN for this CDMA2000 network; otherwise it is not present.

# SystemInformationBlockType9

The IE SystemInformationBlockType9 contains a home eNB name (HNB Name).

## SystemInformationBlockType9 information element

```
-- ASN1START

SystemInformationBlockType9 ::= SEQUENCE {
   hnb-Name OCTET STRING (SIZE(1..48)) OPTIONAL, -- Need OR
   ...,
```

```
lateNonCriticalExtension OCTET STRING OPTIONAL
}
-- ASN1STOP
```

## SystemInformationBlockType9 field descriptions

#### hnb-Name

Carries the name of the home eNB, coded in UTF-8 with variable number of bytes per character, see TS 22.011 [10].

# SystemInformationBlockType10

The IE SystemInformationBlockType10 contains an ETWS primary notification.

## SystemInformationBlockType10 information element

```
-- ASN1START
                                    SEQUENCE {
SystemInformationBlockType10 ::=
    messageIdentifier
                                        BIT STRING (SIZE (16)),
    serialNumber
                                         BIT STRING (SIZE (16)),
    warningType
                                        OCTET STRING (SIZE (2)),
                                        OCTET STRING (SIZE (50))
                                                                                      -- Need OP
    dummy
                                                                     OPTIONAL.
    lateNonCriticalExtension
                                        OCTET STRING
                                                                     OPTIONAL
-- ASN1STOP
```

## SystemInformationBlockType10 field descriptions

### messageldentifier

Identifies the source and type of ETWS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.44) contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37], clause 9.4.3.2.1, while the trailing bit contains bit 0 of the second octet of the same equivalent IE.

### serialNumber

Identifies variations of an ETWS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.45), contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37], clause 9.4.3.2.2, while the trailing bit contains bit 0 of the second octet of the same equivalent IE.

### dummy

This field is not used in the specification. If received it shall be ignored by the UE.

## warningType

Identifies the warning type of the ETWS primary notification and provides information on emergency user alert and UE popup. The first octet (which is equivalent to the first octet of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.50) contains the first octet of the equivalent IE defined in and encoded according to TS 23.041 [37], clause 9.3.24, and so on.

# SystemInformationBlockType11

The IE SystemInformationBlockType11 contains an ETWS secondary notification.

# SystemInformationBlockType11 information element

```
-- ASN1START
SystemInformationBlockType11 ::=
                                    SEOUENCE {
    messageIdentifier
                                        BIT STRING (SIZE (16)),
    serialNumber
                                        BIT STRING (SIZE (16)),
    warningMessageSegmentType
                                        ENUMERATED {notLastSegment, lastSegment},
    {\tt warning Message Segment Number}
                                        INTEGER (0..63),
    warningMessageSegment
                                        OCTET STRING,
                                        OCTET STRING (SIZE (1))
    dataCodingScheme
                                                                     OPTIONAL,
                                                                                 -- Cond Segment1
    lateNonCriticalExtension
                                           OCTET STRING
                                                                         OPTIONAL
```

} -- ASN1STOP

# SystemInformationBlockType11 field descriptions

### dataCodingScheme

Identifies the alphabet/coding and the language applied variations of an ETWS notification. The octet (which is equivalent to the octet of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.52), contains the octet of the equivalent IE defined in TS 23.041 [37], clause 9.4.3.2.3, and encoded according to TS 23.038 [38].

### messageldentifier

Identifies the source and type of ETWS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.44), contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37], clause 9.4.3.2.1, while the trailing bit contains bit 0 of second octet of the same equivalent IE.

### serialNumber

Identifies variations of an ETWS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.45) contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37], clause 9.4.3.2.2, while the trailing bit contains bit 0 of second octet of the same equivalent IE.

#### warningMessageSegment

Carries a segment of the *Warning Message Contents* IE defined in TS 36.413 [39], clause 9.2.1.53. The first octet of the *Warning Message Contents* IE is equivalent to the first octet of the *CB data* IE defined in and encoded according to TS 23.041 [37], clause 9.4.2.2.5, and so on.

#### warningMessageSegmentNumber

Segment number of the ETWS warning message segment contained in the SIB. A segment number of zero corresponds to the first segment, one corresponds to the second segment, and so on.

#### warningMessageSegmentType

Indicates whether the included ETWS warning message segment is the last segment or not.

Conditional presence	Explanation
Segment1	The field is mandatory present in the first segment of SIB11, otherwise it is not present.

# SystemInformationBlockType12

The IE SystemInformationBlockType12 contains a CMAS notification.

# SystemInformationBlockType12 information element

# SystemInformationBlockType12 field descriptions

# dataCodingScheme

Identifies the alphabet/coding and the language applied variations of a CMAS notification. The octet (which is equivalent to the octet of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.52), contains the octet of the equivalent IE defined in TS 23.041 [37], clause 9.4.3.2.3, and encoded according to TS 23.038 [38].

# SystemInformationBlockType12 field descriptions

### messageldentifier

Identifies the source and type of CMAS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.44) contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37], clause 9.4.3.2.1, while the trailing bit contains bit 0 of second octet of the same equivalent IE.

### serialNumber

Identifies variations of a CMAS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39], clause 9.2.1.45), contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37], clause 9.4.3.2.2, while the trailing bit contains bit 0 of second octet of the same equivalent IE.

# warningAreaCoordinatesSegment

If present, carries a segment, with one or more octets, of the geographical area where the CMAS warning message is valid as defined in [98]. The first octet of the first warningAreaCoordinatesSegment is equivalent to the first octet of Warning Area Coordinates IE defined in and encoded according to TS 23.041 [37] and so on.

### warningMessageSegment

Carries a segment, with one or more octets, of the *Warning Message Contents* IE defined in TS 36.413 [39]. The first octet of the *Warning Message Contents* IE is equivalent to the first octet of the *CB data* IE defined in and encoded according to TS 23.041 [37], clause 9.4.2.2.5, and so on.

# warningMessageSegmentNumber

Segment number of the CMAS warning message segment contained in the SIB. A segment number of zero corresponds to the first segment, one corresponds to the second segment, and so on. If warning area coordinates are provided for the warning message, then this field applies to both warning message segment and warning area coordinates segment.

#### warningMessageSegmentType

Indicates whether the included CMAS warning message segment is the last segment or not. If warning area coordinates are provided for the warning message, then this field applies to both warning message segment and warning area coordinates segment.

Conditional presence	Explanation
Segment1	The field is mandatory present in the first segment of SIB12, otherwise it is not present.

# SystemInformationBlockType13

The IE *SystemInformationBlockType13* contains the information required to acquire the MBMS control information associated with one or more MBSFN areas.

## SystemInformationBlockType13 information element

# SystemInformationBlockType13 field descriptions

## notificationConfig

Indicates the MBMS notification related configuration parameters. The UE shall ignore this field when *dl-Bandwidth* included in *MasterInformationBlock* is set to n6.

# SystemInformationBlockType14

The IE SystemInformationBlockType14 contains the EAB parameters.

# SystemInformationBlockType14 information element

```
-- ASN1START
SystemInformationBlockType14-r11 ::= SEQUENCE {
                                           CHOICE {
   eab-Param-r11
       eab-Common-r11
                                               EAB-Config-r11,
       eab-PerPLMN-List-r11
                                               SEQUENCE (SIZE (1..maxPLMN-r11)) OF EAB-ConfigPLMN-
r11
                                                           OPTIONAL, -- Need OR
    lateNonCriticalExtension
                                           OCTET STRING
                                                                   OPTIONAL,
    [[ eab-PerRSRP-r15
                                     ENUMERATED {thresh0, thresh1, thresh2, thresh3} OPTIONAL --
Need OR
    ]]
}
EAB-ConfigPLMN-r11 ::=
                                   SEQUENCE {
    eab-Config-r11
                                       EAB-Config-r11
                                                                   OPTIONAL -- Need OR
EAB-Config-r11 ::=
                                   SEQUENCE {
   eab-Category-r11
                                    ENUMERATED {a, b, c},
    eab-BarringBitmap-r11
                                       BIT STRING (SIZE (10))
-- ASN1STOP
```

## SystemInformationBlockType14 field descriptions

#### eab-BarringBitmap

Extended access class barring for AC 0-9. The first/ leftmost bit is for AC 0, the second bit is for AC 1, and so on.

#### eab-Category

Indicates the category of UEs for which EAB applies. Value *a* corresponds to all UEs, value *b* corresponds to the UEs that are neither in their HPLMN nor in a PLMN that is equivalent to it, and value *c* corresponds to the UEs that are neither in the PLMN listed as most preferred PLMN of the country where the UEs are roaming in the operator-defined PLMN selector list on the USIM, nor in their HPLMN nor in a PLMN that is equivalent to their HPLMN, see TS 22.011 [10].

## eab-Common

The EAB parameters applicable for all PLMN(s).

### eab-PerPLMN-List

The EAB parameters per PLMN, listed in the same order as the PLMN(s) listed across the *plmn-IdentityList* fields in *SystemInformationBlockType1*.

#### eab-PerRSRF

Access barring per RSRP. Value *thresh0* means access to the cell is barred when in enhanced coverage as specified in TS 36.304 [4] and does not apply to UEs satisfying S criteria for normal coverage. Value *thresh1* is compared to the first entry configured in *rsrp-ThresholdsPrachInfoList*, value thresh2 is compared to the second entry configured in *rsrp-ThresholdsPrachInfoList* and so on.

# SystemInformationBlockType15

The IE *SystemInformationBlockType15* contains the MBMS Service Area Identities (SAI) of the current and/ or neighbouring carrier frequencies.

### SystemInformationBlockType15 information element

```
-- ASN1START
SystemInformationBlockType15-r11 ::=
                                       SEQUENCE {
                                                                           OPTIONAL,
    mbms-SAI-IntraFreq-r11
                                                                                       -- Need OR
                                           MBMS-SAI-List-r11
    mbms-SAI-InterFreqList-r11
                                                                           OPTIONAL,
                                           MBMS-SAI-InterFreqList-r11
                                                                                       -- Need OR
    lateNonCriticalExtension
                                                                           OPTIONAL,
                                           OCTET STRING
    [[ mbms-SAI-InterFreqList-v1140
                                           MBMS-SAI-InterFreqList-v1140
                                                                           OPTIONAL
                                                                                       -- Cond
InterFreq
    ]],
       mbms-IntraFreqCarrierType-r14
                                           MBMS-CarrierType-r14
                                                                           OPTIONAL,
                                                                                      -- Need OR
       mbms-InterFreqCarrierTypeList-r14
                                           MBMS-InterFreqCarrierTypeList-r14 OPTIONAL
                                                                                           -- Need
OR
    ]]
```

```
}
MBMS-SAI-List-r11 ::=
                                       SEQUENCE (SIZE (1..maxSAI-MBMS-r11)) OF MBMS-SAI-r11
MBMS-SAI-r11 ::=
                                       INTEGER (0..65535)
MBMS-SAI-InterFreqList-r11 ::=
                                       SEQUENCE (SIZE (1..maxFreq)) OF MBMS-SAI-InterFreq-r11
MBMS-SAI-InterFreqList-v1140 ::=
                                       SEQUENCE (SIZE (1..maxFreq)) OF MBMS-SAI-InterFreq-v1140
MBMS-SAI-InterFreq-r11 ::=
                                       SEQUENCE {
   dl-CarrierFreq-r11
                                           ARFCN-ValueEUTRA-r9.
   mbms-SAI-List-r11
                                           MBMS-SAI-List-r11
MBMS-SAI-InterFreq-v1140 ::=
                                       SEQUENCE {
       multiBandInfoList-r11
                                          MultiBandInfoList-r11
                                                                        OPTIONAL -- Need OR
MBMS-InterFreqCarrierTypeList-r14 ::= SEQUENCE (SIZE (1..maxFreq)) OF MBMS-CarrierType-r14
MBMS-CarrierType-r14 ::=
                                       SEQUENCE {
                                           ENUMERATED {mbms, fembmsMixed, fembmsDedicated},
   carrierType-r14
   frameOffset-r14
                                           INTEGER (0..3)
                                                                          OPTIONAL -- Need OR
-- ASN1STOP
```

## SystemInformationBlockType15 field descriptions

#### carrierType

Indicates whether the carrier is pre-Rel-14 MBMS carrier (*mbms*) or FeMBMS/Unicast mixed carrier (*fembmsMixed*) or MBMS-dedicated carrier (*fembmsDedicated*).

#### frameOffset

For MBMS-dedicated carrier, the *frameOffset* gives the radio frame which contains PBCH by SFN mod 4 = *frameOffset*.

# mbms-InterFreqCarrierTypeList

Indicates whether this is an feMBMS carrier. The field is included only if *mbms-SAI-InterFreqList-r11* is included. The number of entries is the same in both fields and carrier type relates to the frequency indicated in *mbms-SAI-InterFreqList-r11* in the corresponding entry index.

#### mbms-IntraFreqCarrierType

Contains indication whether the carrier is pre-Rel-14 MBMS carrier, FeMBMS/Unicast mixed carrier or MBMS-dedicated carrier.

# mbms-SAI-InterFreqList

Contains a list of neighboring frequencies including additional bands, if any, that provide MBMS services and the corresponding MBMS SAIs.

## mbms-SAI-IntraFreq

Contains the list of MBMS SAIs for the current frequency. A duplicate MBMS SAI indicates that this and all following SAIs are not offered by this cell but only by neighbour cells on the current frequency. For MBMS service continuity, the UE shall use all MBMS SAIs listed in *mbms-SAI-IntraFreq* to derive the MBMS frequencies of interest.

## mbms-SAI-List

Contains a list of MBMS SAIs for a specific frequency.

# multiBandInfoList

A list of additional frequency bands applicable for the cells participating in the MBSFN transmission.

Conditional presence	Explanation
InterFreq	The field is optionally present, need OR, if the <i>mbms-SAI-InterFreqList-r11</i> is present.
	Otherwise it is not present.

# SystemInformationBlockType16

The IE *SystemInformationBlockType16* contains information related to GPS time and Coordinated Universal Time (UTC). The UE may use the parameters provided in this system information block to obtain the UTC, the GPS and the local time.

NOTE: The UE may use the time information for numerous purposes, possibly involving upper layers e.g. to assist GPS initialisation, to synchronise the UE clock (a.o. to determine MBMS session start/stop).

# SystemInformationBlockType16 information element

```
-- ASN1START
SystemInformationBlockType16-r11 ::=
                                              SEQUENCE
                                              SEQUENCE
    timeInfo-r11
                                             INTEGER (0..5497558130077,
BIT STRING (SIZE (2)) OPTIONAL,
OPTIONAL,
        timeInfoUTC-r11
        dayLightSavingTime-r11
                                                                                        -- Need OR
                                             INTEGER (-127..128)
INTEGER (-63..64)
                                                                                        -- Need OR
        leapSeconds-r11
        localTimeOffset-r11
                                                                          OPTIONAL
                                                                                        -- Need OR
                                                                           OPTIONAL,
                                                                                        -- Need OR
    lateNonCriticalExtension
                                       OCTET STRING
                                                                       OPTIONAL,
        timeReferenceInfo-r15
                                              TimeReferenceInfo-r15 OPTIONAL -- Need OR
    ]]
-- ASN1STOP
```

# SystemInformationBlockType16 field descriptions

# dayLightSavingTime

It indicates if and how daylight saving time (DST) is applied to obtain the local time. The semantics is the same as the semantics of the *Daylight Saving Time* IE in TS 24.301 [35] and TS 24.008 [49]. The first/leftmost bit of the bit string contains the b2 of octet 3, i.e. the value part of the *Daylight Saving Time* IE, and the second bit of the bit string contains b1 of octet 3.

#### **leapSeconds**

Number of leap seconds offset between GPS Time and UTC. UTC and GPS time are related i.e. GPS time - leapSeconds = UTC time.

#### IocalTimeOffset

Offset between UTC and local time in units of 15 minutes. Actual value = field value \* 15 minutes. Local time of the day is calculated as UTC time + *localTimeOffset*.

# timeInfoUTC

Coordinated Universal Time corresponding to the SFN boundary at or immediately after the ending boundary of the SI-window in which *SystemInformationBlockType16* is transmitted. The field counts the number of UTC seconds in 10 ms units since 00:00:00 on Gregorian calendar date 1 January, 1900 (midnight between Sunday, December 31, 1899 and Monday, January 1, 1900). NOTE 1.

This field is excluded when estimating changes in system information, i.e. changes of *timeInfoUTC* should neither result in system information change notifications nor in a modification of *systemInfoValueTag* in SIB1.

NOTE 1: The UE may use this field together with the leapSeconds field to obtain GPS time as follows: GPS Time (in seconds) = timeInfoUTC (in seconds) - 2,524,953,600 (seconds) + leapSeconds, where 2,524,953,600 is the number of seconds between 00:00:00 on Gregorian calendar date 1 January, 1900 and 00:00:00 on Gregorian calendar date 6 January, 1980 (start of GPS time).

# SystemInformationBlockType17

The IE *SystemInformationBlockType17* contains information relevant for traffic steering between E-UTRAN and WLAN.

# SystemInformationBlockType17 information element

```
-- ASN1START
SystemInformationBlockType17-r12 ::=
                                    SEQUENCE {
   wlan-OffloadInfoPerPLMN-List-r12
                                       SEQUENCE (SIZE (1..maxPLMN-r11)) OF
                                    OPTIONAL, -- Need OR
   lateNonCriticalExtension
                                       OCTET STRING
                                                                 OPTIONAL.
WLAN-OffloadInfoPerPLMN-r12 ::=
                                   SEQUENCE {
       wlan-OffloadConfigCommon-r12
                                       WLAN-OffloadConfig-r12 OPTIONAL,
                                                                            -- Need OR
       wlan-Id-List-r12
                                        WLAN-Id-List-r12
                                                                 OPTIONAL,
                                                                           -- Need OR
                                {\tt SEQUENCE~(SIZE~(1..maxWLAN-Id-r12))~OF~WLAN-Identifiers-r12}\\
WLAN-Id-List-r12 ::=
WLAN-Identifiers-r12 ::=
                                SEQUENCE {
```

```
ssid-r12 OCTET STRING (SIZE (1..32)) OPTIONAL, -- Need OR
bssid-r12 OCTET STRING (SIZE (6)) OPTIONAL, -- Need OR
hessid-r12 OCTET STRING (SIZE (6)) OPTIONAL, -- Need OR
...
}
-- ASN1STOP
```

```
SystemInformationBlockType17 field descriptions
bssid
Basic Service Set Identifier (BSSID) defined in IEEE 802.11-2012 [67].
hessid
Homogenous Extended Service Set Identifier (HESSID) defined in IEEE 802.11-2012 [67].
ssid
Service Set Identifier (SSID) defined in IEEE 802.11-2012 [67].
wlan-OffloadInfoPerPLMN-List
The WLAN offload configuration per PLMN includes the same number of entries, listed in the same order as the PLMN(s) listed across the plmn-IdentityList fields in SystemInformationBlockType1.
```

# SystemInformationBlockType18

The IE *SystemInformationBlockType18* indicates E-UTRAN supports the sidelink UE information procedure and may contain sidelink communication related resource configuration information.

# SystemInformationBlockType18 information element

```
-- ASN1START
{\tt SystemInformationBlockType18-r12} \ ::= \ {\tt SEQUENCE} \ \big\{
    commConfig-r12
                                          SEQUENCE {
        commRxPool-r12
        commRxPool-r12
commTxPoolNormalCommon-r12
commTxPoolExceptional-r12
                                            SL-CommRxPoolList-r12,
                                               SL-CommTxPoolList-r12
SL-CommTxPoolList-r12
                                                                                 OPTIONAL,
                                                                                              -- Need OR
                                                                                 OPTIONAL,
                                                                                               -- Need OR
                                                                            OPTIONAL -- Need OR
        commSyncConfig-r12
                                               SL-SyncConfigList-r12
                                                                                  OPTIONAL,
                                                                                              -- Need OR
    lateNonCriticalExtension
                                         OCTET STRING
                                                                                  OPTIONAL,
                                                    SL-CommTxPoolListExt-r13
    [[ commTxPoolNormalCommonExt-r13
                                                                                OPTIONAL, -- Need OR
        commTxResourceUC-ReqAllowed-r13
                                                    ENUMERATED {true} OPTIONAL, -- Need OR
                                                                                  OPTIONAL
        commTxAllowRelayCommon-r13
                                                    ENUMERATED {true}
                                                                                               -- Need OR
    ]]
-- ASN1STOP
```

# SystemInformationBlockType18 field descriptions

#### commRxPool

Indicates the resources by which the UE is allowed to receive sidelink communication while in RRC\_IDLE and while in RRC\_CONNECTED.

## commSyncConfig

Indicates the configuration by which the UE is allowed to receive and transmit synchronisation information. E-UTRAN configures *commSyncConfig* including *txParameters* when configuring UEs by dedicated signalling to transmit synchronisation information.

### commTxAllowRelayCommon

Indicates whether the UE is allowed to transmit relay related sidelink communication data using the transmission pools included in *SystemInformationBlockType18* i.e. either via *commTxPoolNormalCommon*, *commTxPoolNormalCommonExt* or via *commTxPoolExceptional*.

# commTxPoolExceptional

Indicates the resources by which the UE is allowed to transmit sidelink communication in exceptional conditions, as specified in 5.10.4.

# commTxPoolNormalCommon

Indicates the resources by which the UE is allowed to transmit sidelink communication while in RRC\_IDLE or when in RRC\_CONNECTED while transmitting sidelink via a frequency other than the primary.

#### commTxPoolNormalCommonExt

Indicates transmission resource pool(s) in addition to the pool(s) indicated by field *commTxPoolNormalCommon*, by which the UE is allowed to transmit sidelink communication while in RRC\_IDLE or when in RRC\_CONNECTED while transmitting sidelink via a frequency other than the primary. E-UTRAN configures *commTxPoolNormalCommonExt* only when it configures *commTxPoolNormalCommon*.

## commTxResourceUC-ReqAllowed

Indicates whether the UE is allowed to request transmission pools for non-relay related one-to-one sidelink communication.

# SystemInformationBlockType19

The IE *SystemInformationBlockType19* indicates E-UTRAN supports the sidelink UE information procedure and may contain sidelink discovery related resource configuration information.

## SystemInformationBlockType19 information element

```
-- ASN1START
SystemInformationBlockType19-r12 ::= SEQUENCE {
    discConfig-r12
                         SEQUENCE {
        discRxPool-r12
                                             SL-DiscRxPoolList-r12,
                                                                       OPTIONAL,
        discTxPoolCommon-r12 SL-DiscTxPoolList-r12 OPTIONAL, --
discTxPowerInfo-r12 SL-DiscTxPowerInfoList-r12 OPTIONAL, -- Cond Tx
discSyncConfig-r12 SL-SyncConfigList-r12 OPTIONAL, -- Neer
        discSyncConfig-r12
                                            SL-SyncConfigList-r12 OPTIONAL
                                                                                      -- Need OR
                                                                             OPTIONAL, -- Need OR
    }
discInterFreqList-r12
lateNonCriticalExtension
                                                                                       -- Need OR
                                        SL-CarrierFreqInfoList-r12
                                                                        OPTIONAL,
                                         OCTET STRING
                                                                              OPTIONAL,
      discConfig-v1310
                                         SEQUENCE {
            discInterFreqList-v1310
                                             SL-CarrierFreqInfoList-v1310 OPTIONAL, -- Need OR
            gapRequestsAllowedCommon
                                             ENUMERATED {true} OPTIONAL -- Need OR
                                                                                      -- Need OR
                                                                          OPTIONAL.
           relayUE-Config-r13
remoteUE-Config-r13
                                        SEQUENCE {
        discConfigRelay-r13
                                            SL-DiscConfigRelayUE-r13,
                                             SL-DiscConfigRemoteUE-r13
                                                                          OPTIONAL,
                                                                                       -- Need OR
        discConfigPS-13
                                        SEQUENCE {
            discRxPoolPS-r13
                                            SL-DiscRxPoolList-r12.
            discTxPoolPS-Common-r13
                                             SL-DiscTxPoolList-r12
                                                                          OPTIONAL
                                                                                      -- Need OR
                                                                          OPTIONAL
                                                                                       -- Need OR
    ]]
SL-CarrierFreqInfoList-r12 ::= SEQUENCE (SIZE (1..maxFreq)) OF SL-CarrierFreqInfo-r12
SL-CarrierFreqInfoList-v1310 ::= SEQUENCE (SIZE (1..maxFreq)) OF SL-CarrierFreqInfo-v1310
SL-CarrierFreqInfo-r12::=
                                SEQUENCE {
                                   ARFCN-ValueEUTRA-r9,
    carrierFreq-r12
    plmn-IdentityList-r12
                                     PLMN-IdentityList4-r12
                                                                     OPTIONAL
                                                                                  -- Need OP
SL-DiscConfigRelayUE-r13 ::= SEQUENCE {
```

```
OPTIONAL,
    threshHigh-r13
                               RSRP-RangeSL4-r13
    threshLow-r13
                                                                                          -- Need OR
                               RSRP-RangeSL4-r13
                                                                             OPTIONAL,
    hvstMax-r13
                               ENUMERATED {dB0, dB3, dB6, dB9, dB12, dBinf} OPTIONAL, -- Cond
ThreshHigh
    hystMin-r13
                               ENUMERATED {dB0, dB3, dB6, dB9, dB12} OPTIONAL -- Cond ThreshLow
SL-DiscConfigRemoteUE-r13 ::= SEQUENCE {
                                                                             OPTIONAL,
                                                                                         -- Need OR
    threshHigh-r13 RSRP-RangeSL4-r13
    hystMax-r13
                               ENUMERATED {dB0, dB3, dB6, dB9, dB12} OPTIONAL,
                                                                                          -- Cond ThreshHigh
    reselectionInfoIC-r13 ReselectionInfoRelay-r13
{\tt ReselectionInfoRelay-r13} ::= \qquad {\tt SEQUENCE} \ \{
   q-RxLevMin-r13
                                       Q-RxLevMin,
    -- Note that the mapping of invidual values may be different for PC5, but the granularity/
    -- number of values is same as for Uu
    filterCoefficient-r13
                                     FilterCoefficient,
                                    ENUMERATED {dB0, dB3,
    minHyst-r13
                                             dB6, dB9, dB12, dBinf} OPTIONAL -- Need OR
}
SL-CarrierFreqInfo-v1310::= SEQUENCE {
   discResourcesNonPS-r13 SL-ResourcesInterFreq-r13 OPTIONAL, -- Need OR discResourcesPS-r13 SL-ResourcesInterFreq-r13 OPTIONAL, -- Need OR discConfigOther-r13 SL-DiscConfigOtherInterFreq-r13 OPTIONAL, -- Need OR
PLMN-IdentityList4-r12 ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-IdentityInfo2-r12
PLMN-IdentityInfo2-r12 ::=
                                    CHOICE {
   plmn-Index-r12
    plmnIdentity-r12
                                         INTEGER (1..maxPLMN-r11),
                                        PLMN-Identity
SL-DiscTxResourcesInterFreg-r13 ::= CHOICE {
   acquireSI-FromCarrier-r13 NULL,
discTxPoolCommon-r13 SL-DiscTxPoolList-r12,
    discTxPoolCommon-r13 requestDedicated-r13
                                       NULL,
    noTxOnCarrier-r13
                                        NULL
}
{\tt SL-DiscConfigOtherInterFreq-r13::= SEQUENCE } \{
   txPowerInfo-r13 SL-DiscTxPowerInfoList-r12 OPTIONAL, refCarrierCommon-r13 ENUMERATED {pCell} OPTIONAL, discSyncConfig-r13 SL-SyncConfigListNFreq-r13 OPTIONAL, discCellSelectionInfo-r13 CellSelectionInfoNFreq-r13 OPTIONAL
                                                                                                -- Need OR
                                                                                               -- Need OR
                                                                                               -- Need OR
SL-ResourcesInterFreq-r13 ::= SEQUENCE {
                                                                                                -- Need OR
   discRxResourcesInterFreq-r13 SL-DiscRxPoolList-r12
                                                                                 OPTIONAL,
    discTxResourcesInterFreq-r13 SL-DiscTxResourcesInterFreq-r13 OPTIONAL
                                                                                                -- Need OR
-- ASN1STOP
```

## SystemInformationBlockType19 field descriptions

#### discCellSelectionInfo

Parameters that may be used by the UE to select/ reselect a cell on the concerned non serving frequency. If absent, the UE acquires the information from the target cell on the concerned frequency. See TS 36.304 [4], clause 11.4.

### discInterFreaList

Indicates the neighbouring frequencies on which sidelink discovery announcement is supported. May also provide further information i.e. reception resource pool and/ or transmission resource pool, or an indication how resources could be obtained.

#### discRxPool

Indicates the resources by which the UE is allowed to receive non-PS related sidelink discovery announcements while in RRC IDLE and while in RRC CONNECTED.

## discRxPoolPS

Indicates the resources by which the UE is allowed to receive PS related sidelink discovery announcements while in RRC\_IDLE and while in RRC\_CONNECTED.

#### discRxResourcesInterFreq

Indicates the resource pool configuration for receiving discovery announcements on a carrier frequency.

### discSyncConfig

Indicates the configuration by which the UE is allowed to receive and transmit synchronisation information. E-UTRAN configures *discSyncConfig* including *txParameters* when configuring UEs by dedicated signalling to transmit synchronisation information.

#### discTxPoolCommon

Indicates the resources by which the UE is allowed to transmit non-PS related sidelink discovery announcements while in RRC\_IDLE.

#### discTxPoolPS-Common

Indicates the resources by which the UE is allowed to transmit PS related sidelink discovery announcements while in RRC\_IDLE.

### discTxResourcesInterFreq

For the concerned frequency, either provides the UE with a pool of sidelink discovery announcement transmission resources the UE is allowed to use while in RRC\_IDLE, or indicates whether such transmission is allowed, and if so how the UE may obtain the required resources. Value *noTxOnCarrier* indicates that the UE is not allowed to transmit sidelink discovery announcements on the concerned frequency. Value *acquireSI-FromCarrier* indicates that the required resources are to be obtained by autonomously acquiring SIB19 and other relevant SIBs from the concerned frequency. Value *requestDedicated* indicates, that for the concerned carrier, the required sidelink discovery resources are to be obtained by means of a dedicated resource request using the *SidelinkUEInformation* message.

# plmn-IdentityList

List of PLMN identities for the neighbouring frequency indicated by *carrierFreq*. Absence of the field indicates the same PLMN identities as listed across the *plmn-IdentityList* fields (without suffix) in *SystemInformationBlockType1*.

#### plmn-Index

Index of the corresponding entry across the *plmn-IdentityList* fields (without suffix) within *SystemInformationBlockType1*.

# refCarrierCommon

Indicates if the PCell (RRC\_CONNECTED)/ serving cell (RRC\_IDLE) is to be used as reference for DL measurements and synchronization, instead of the DL frequency paired with the one used to transmit sidelink discovery announcements on, see TS 36.213 [23], clause 14.3.1.

# reselectionInfolC

Includes the parameters used by the UE when selecting/ reselecting a sidelink relay UE.

### SL-CarrierFreqInfoList-v1310

If included, the UE shall include the same number of entries, and listed in the same order, as in *SL-CarrierFreqInfoList-r12*.

# threshHigh, threshLow (relayUE)

Indicates when a sidelink remote UE or sidelink relay UE that is in network coverage may use the broadcast PS related sidelink discovery Tx resource pool, if broadcast, or request Tx resources by dedicated signalling otherwise. For remote UEs, this parameter is used similarly for relay related sidelink communication.

Conditional presence	Explanation
ThreshHigh	The field is mandatory present if <i>threshHigh</i> is included in the corresponding IE.
	Otherwise the field is not present and UE shall delete any existing value for this field.
ThreshLow	The field is mandatory present if <i>threshLow</i> is included. Otherwise the field is not present
	UE shall delete any existing value for this field.
Tx	The field is mandatory present if <i>discTxPoolCommon</i> is included. Otherwise the field is
	optional present, need OR.

# SystemInformationBlockType20

The IE *SystemInformationBlockType20* contains the information required to acquire the control information associated transmission of MBMS using SC-PTM.

# SystemInformationBlockType20 information element

```
-- ASN1START
SystemInformationBlockType20-r13 ::= SEQUENCE {
    sc-mcch-RepetitionPeriod-r13
                                       ENUMERATED {rf2, rf4, rf8, rf16, rf32, rf64, rf128, rf256},
   sc-mcch-ModificationPeriod-rl3 ENUMERATED {rf2, rf4, rf8, rf16, rf32, rf64, rf128, rf256,
                                       rf512, rf1024, r2048, rf4096, rf8192, rf16384, rf32768,
    lateNonCriticalExtension
                                       OCTET STRING
    [[ br-BCCH-Config-r14
                                           SEQUENCE {
           dummy
                                               ENUMERATED {rf1},
           dummy2
                                               ENUMERATED {rf1},
           mpdcch-Narrowband-SC-MCCH-r14
                                               INTEGER (1..maxAvailNarrowBands-r13),
           mpdcch-NumRepetition-SC-MCCH-r14 ENUMERATED {r1, r2, r4, r8, r16,
                                                           r32, r64, r128, r256},
           mpdcch-StartSF-SC-MCCH-r14
                                               CHOICE {
               fdd-r14
                                                   ENUMERATED {v1, v1dot5, v2, v2dot5, v4,
                                                               v5, v8, v10},
               tdd-r14
                                                   ENUMERATED {v1, v2, v4, v5, v8, v10, v20}
           mpdcch-PDSCH-HoppingConfig-SC-MCCH-r14 ENUMERATED {off, ce-ModeA, ce-ModeB},
           sc-mcch-CarrierFreq-r14 ARFCN-ValueEUTRA-r9,
           sc-mcch-Offset-BR-r14
                                               INTEGER (0..10),
           sc-mcch-RepetitionPeriod-BR-r14 ENUMERATED {rf32, rf128, rf512, rf1024,
                                                   rf2048, rf4096, rf8192, rf16384},
           sc-mcch-ModificationPeriod-BR-r14 ENUMERATED { rf32, rf128, rf256, rf512, rf1024,
                                                   rf2048, rf4096, rf8192, rf16384, rf32768,
                                                   rf65536, rf131072, rf262144, rf524288,
                                                   rf1048576}
                                                                           OPTIONAL,
                                                                                       -- Need OR
                                          SC-MCCH-SchedulingInfo-r14
                                                                                      -- Need OP
        sc-mcch-SchedulingInfo-r14
                                                                          OPTIONAL,
       {\tt pdsch-maxNumRepetitionCEmodeA-SC-MTCH-r14}
                                           ENUMERATED { r16, r32 }
                                                                     OPTIONAL, -- Need OR
       pdsch-maxNumRepetitionCEmodeB-SC-MTCH-r14
                                           ENUMERATED {
                                               r192, r256, r384, r512, r768, r1024,
                                               r1536, r2048}
                                                                           OPTIONAL
                                                                                       -- Need OR
    [[ sc-mcch-RepetitionPeriod-v1470
                                           ENUMERATED {rf1}
                                                                          OPTIONAL, -- Need OR
        sc-mcch-ModificationPeriod-v1470
                                           ENUMERATED {rf1}
                                                                          OPTIONAL
                                                                                       -- Need OR
    11
SC-MCCH-SchedulingInfo-r14::= SEQUENCE
                                       ENUMERATED {psf10, psf20, psf100, psf300,
   onDurationTimerSCPTM-r14
                                               psf500, psf1000, psf1200, psf1600},
                                       ENUMERATED {psf0, psf1, psf2, psf4, psf8, psf16,
    drx-InactivityTimerSCPTM-r14
                                               psf32, psf64, psf128, psf256, ps512,
psf1024, psf2048, psf4096, psf8192, psf16384},
    {\tt schedulingPeriodStartOffsetSCPTM-r14}
                                           CHOICE {
                                               INTEGER(0..9),
        sf20
                                               INTEGER(0..19),
        sf32
                                               INTEGER(0..31),
        sf40
                                               INTEGER(0..39),
       sf64
                                               INTEGER(0..63),
        sf80
                                               INTEGER(0..79),
                                               INTEGER(0..127),
        sf128
        sf160
                                               INTEGER(0..159),
        sf256
                                               INTEGER(0..255),
        sf320
                                               INTEGER(0..319),
        sf512
                                               INTEGER(0..511),
       sf640
                                               INTEGER(0..639),
        sf1024
                                               INTEGER(0..1023),
        sf2048
                                               INTEGER(0..2047),
        sf4096
                                               INTEGER(0..4095),
                                               INTEGER(0..8191)
        sf8192
```

```
...
}
-- ASN1STOP
```

## SystemInformationBlockType20 field descriptions

### br-BCCH-Config-r14

The field is present if SystemInformationBlockType20 is sent on BR-BCCH. Otherwise the field is absent.

#### dummy

This field is not used in the specification. If received it shall be ignored by the UE.

### drx-InactivityTimerSCPTM

Timer for listening to SC-MCCH scheduling in TS 36.321 [6]. Value in number of MPDCCH sub-frames. Value psf0 corresponds to 0 MPDCCH sub-frame, psf1 corresponds to 1 MPDCCH sub-frame and so on.

#### mpdcch-Narrowband-SC-MCCH

Narrowband for MPDCCH for SC-MCCH, see TS 36.213 [23].

#### mpdcch-NumRepetitions-SC-MCCH

The maximum number of MPDCCH repetitions the UE needs to monitor for SC-MCCH, see TS 36.213 [23].

## mpdcch-StartSF-SC-MCCH

Configuration of the starting subframes of the MPDCCH search space for SC-MCCH, see TS 36.213 [23].

# mpdcch-PDSCH-HoppingConfig-SC-MCCH

Frequency hopping configuration for MPDCCH/PDSCH for SC-MCCH, see TS 36.213 [23].

#### onDurationTimerSCPTM

Indicates the duration in subframes during which SC-MCCH may be scheduled in MPDCCH sub-frames, see TS 36.321 [6].

## pdsch-maxNumRepetitionCEmodeA-SC-MTCH

Maximum value to indicate the set of PDSCH repetition numbers for SC-MTCH to UEs in CE mode A, see TS 36.213 [23].

# pdsch-maxNumRepetitionCEmodeB-SC-MTCH

Maximum value to indicate the set of PDSCH repetition numbers for SC-MTCH CE to UEs in mode B, see TS 36.213 [23].

### schedulingPeriodStartOffsetSCPTM

SCPTM-SchedulingCycle and SCPTM-SchedulingOffset in TS 36.321 [6]. The value of SCPTM-SchedulingCycle is in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. The value of SCPTM-SchedulingOffset is in number of sub-frames.

# sc-mcch-CarrierFreq

Downlink carrier used for all multicast SC-MCCH transmissions.

#### sc-mcch-duration

Indicates, starting from the subframe indicated by *sc-mcch-FirstSubframe*, the duration in subframes during which SC-MCCH may be scheduled in PDCCH sub-frames, see TS 36.321 [6]. Absence of this IE means that SC-MCCH is only scheduled in the subframe indicated by *sc-mcch-FirstSubframe*.

### sc-mcch-ModificationPeriod

Defines periodically appearing boundaries, i.e. radio frames for which SFN mod *sc-mcch-ModificationPeriod* = 0. The contents of different transmissions of SC-MCCH information can only be different if there is at least one such boundary in-between them. Value rf2 corresponds to 2 radio frames, value rf4 corresponds to 4 radio frames and so on. In case sc-mcch-ModificationPeriod-v1470 is configured, the UE shall ignore the configuration of *sc-mcch-ModificationPeriod-r13*.

# sc-mcch-ModificationPeriod-BR

Defines periodically appearing boundaries for BL UE or UE in CE, i.e. radio frames for which (H-SFN\*1024 + SFN) mod *sc-mcch-ModificationPeriod-BR* = 0 if hyperSFN is present in *SystemInformationBlockType1-BR* or radio frames for which SFN mod *sc-mcchModificationPeriod-BR* = 0 otherwise. The contents of different transmissions of SC-MCCH information can only be different if there is at least one such boundary in-between them. Value rf32 corresponds to 32 radio frames, value rf128 corresponds to 128 radio frames and so on.

#### sc-mcch-FirstSubframe

Indicates the first subframe in which SC-MCCH is scheduled

### sc-mcch-Offset

Indicates, together with the *sc-mcch-RepetitionPeriod*, the radio frames in which SC-MCCH is scheduled i.e. SC-MCCH is scheduled in radio frames for which: SFN mod *sc-mcch-RepetitionPeriod* = *sc-mcch-Offset*.

#### sc-mcch-Offset-BR

Indicates, together with the *sc-mcch-RepetitionPeriod-BR*, the boundary of the SC-MCCH repetition period for BL UE or UE in CE: (H-SFN\*1024 + SFN) mod *sc-mcch-RepetitionPeriod-BR* = *sc-mcch-Offset-BR* if hyperSFN is present in *SystemInformationBlockType1-BR* or radio frames for which (SFN mod mod *sc-mcch-RepetitionPeriod-BR*) = *sc-mcch-Offset-BR* otherwise.

# sc-mcch-RepetitionPeriod

Defines the interval between transmissions of SC-MCCH information, in radio frames. Value rf2 corresponds to 2 radio frames, rf4 corresponds to 4 radio frames and so on. In case *sc-mcch-RepetitionPeriod-v1470* is configured, the UE shall ignore the configuration of *sc-mcch-RepetitionPeriod-r13*.

### sc-mcch-RepetitionPeriod-BR

Defines the interval between transmissions of SC-MCCH information for BL UE or UE in CE, in radio frames. Value rf32 corresponds to 32 radio frames, rf128 corresponds to 128 radio frames and so on.

# sc-mcch-SchedulingInfo

DRX information for the SC-MCCH. If this field is absent, DRX is not used for SC-MCCH reception.

# SystemInformationBlockType21

The IE SystemInformationBlockType21 contains V2X sidelink communication configuration.

## SystemInformationBlockType21 information element

```
-- ASN1START
SystemInformationBlockType21-r14 ::= SEQUENCE {
      sl-V2X-ConfigCommon-r14
                                                                 SL-V2X-ConfigCommon-r14
                                                                                                                              OPTIONAL,
                                                                                                                                                  -- Need OR
      lateNonCriticalExtension
                                                                  OCTET STRING
                                                                                                                              OPTIONAL,
SL-V2X-ConfigCommon-r14 ::= SEQUENCE {
      v2x-CommTxPoolNormalCommon-r14 SL-CommTxPoolListV2X-r14
p2x-CommTxPoolNormalCommon-r14
                                                                                                                             OPTIONAL,
                                                                                                                                                  -- Need OR
      V2x-CommTxPoolNormalCommon-r14SL-CommTxPoolListV2X-r14OPTIONAL,p2x-CommTxPoolNormalCommon-r14SL-CommTxPoolListV2X-r14OPTIONAL,v2x-CommTxPoolExceptional-r14SL-CommResourcePoolV2X-r14OPTIONAL,v2x-SyncConfig-r14SL-SyncConfigListV2X-r14OPTIONAL,v2x-InterFreqInfoList-r14SL-InterFreqInfoListV2X-r14OPTIONAL,v2x-ResourceSelectionConfig-r14SL-CommTxPoolSensingConfig-r14OPTIONAL,zoneConfig-r14SL-ZoneConfig-r14OPTIONAL,typeTxSync-r14SL-TypeTxSync-r14OPTIONAL,
                                                                 SL-CommTxPoolListV2X-r14
                                                                                                                           OPTIONAL,
                                                                                                                                                  -- Need OR
                                                                                                                                                  -- Need OR
      typeTxSync-r14
                                                                  SL-TypeTxSync-r14
                                                                                                                              OPTIONAL,
      thresSL-TxPrioritization-r14 SL-Priority-r13
anchorCarrierFreqList-r14 SL-AnchorCarrierFreqList-V2X-r14
offsetDFN-r14 INTEGER (0..1000)
cbr-CommonTxConfigList-r14 SL-CBR-CommonTxConfigList-r14
                                                                                                                             OPTIONAL,
                                                                                                                                                  -- Need OR
                                                                                                                             OPTIONAL,
                                                                                                                                                  -- Need OR
                                                                                                                                                  -- Need OR
                                                                                                                                                  -- Need OR
                                                                                                                             OPTIONAL
-- ASN1STOP
```

## SystemInformationBlockType21 field descriptions

#### anchorCarrierFreqList

Indicates carrier frequencies which may include inter-carrier resource configuration for V2X sidelink communication.

#### cbr-CommonTxConfigList

Indicates the common list of CBR ranges and the list of PSSCH transmissions parameter configurations available to configure congestion control to the UE for V2X sidelink communication.

#### offsetDFN

Indicates the timing offset for the UE to determine DFN timing when GNSS is used for timing reference for the PCell. Value 0 corresponds to 0 milliseconds, value 1 corresponds to 0.001 milliseconds, value 2 corresponds to 0.002 milliseconds, and so on.

#### p2x-CommTxPoolNormalCommon

Indicates the resources by which the UE is allowed to transmit P2X related V2X sidelink communication. *zoneID* is not configured in the pools in this field.

#### thresSL-TxPrioritization

Indicates the threshold used to determine whether SL V2X transmission is prioritized over uplink transmission if they overlap in time (see TS 36.321 [6]). This value shall overwrite *thresSL-TxPrioritization* configured in *SL-V2X-Preconfiguration* if any.

#### typeTxSync

Indicates the prioritized synchronization type (i.e. eNB or GNSS) for performing V2X sidelink communication on the carrier frequency on which this field is broadcast.

#### v2x-CommRxPool

Indicates the resources by which the UE is allowed to receive V2X sidelink communication while in RRC\_IDLE and in RRC\_CONNECTED.

## v2x-CommTxPoolExceptional

Indicates the resources by which the UE is allowed to transmit V2X sidelink communication in exceptional conditions, as specified in 5.10.13.

#### v2x-CommTxPoolNormalCommon

Indicates the resources by which the UE is allowed to transmit non-P2X related V2X sidelink communication when in RRC\_IDLE or when in RRC\_CONNECTED while transmitting V2X sidelink communication via a frequency other than the primary. E-UTRAN configures one resource pool per zone.

#### v2x-InterFreqInfoList

Indicates synchronization and resource allocation configurations of neighboring frequencies for V2X sidelink communication.

# v2x-ResourceSelectionConfig

Indicates V2X sidelink communication configurations used for UE autonomous resource selection.

#### v2x-SyncConfig

Indicates the configuration by which the UE is allowed to receive and transmit synchronisation information for V2X sidelink communication. E-UTRAN configures *v2x-SyncConfig* including *txParameters* when configuring UEs to transmit synchronisation information.

# zoneConfig

Indicates zone configurations used for V2X sidelink communication in 5.10.13.2.

# SystemInformationBlockType24

The IE *SystemInformationBlockType24* contains information relevant only for inter-RAT cell re-selection i.e. information about NR frequencies and NR neighbouring cells relevant for cell re-selection. The IE includes cell reselection parameters common for a frequency.

# SystemInformationBlockType24 information element

```
-- ASN1START
SystemInformationBlockType24-r15 ::=
                                     SEQUENCE {
   carrierFreqListNR-r15
                                       CarrierFreqListNR-r15
                                                                           OPTIONAL,
                                                                                           -- Need
    t-ReselectionNR-r15
                                       T-Reselection,
    t-ReselectionNR-SF-r15
                                       SpeedStateScaleFactors
                                                                           OPTIONAL,
                                                                                       -- Need OR
                                                                       OPTIONAL,
    lateNonCriticalExtension
                                       OCTET STRING
}
CarrierFreqListNR-r15 ::=
                              SEQUENCE (SIZE (1..maxFreq)) OF CarrierFreqNR-r15
CarrierFreqNR-r15 ::=
                                   SEQUENCE {
                                      ARFCN-ValueNR-r15,
   carrierFreq-r15
    multiBandInfoList-r15
                                       MultiFrequencyBandListNR-r15
                                                                           OPTIONAL,
                                                                                       -- Need OR
                                                                           OPTIONAL,
                                                                                      -- Need OR
    multiBandInfoListSUL-r15
                                       MultiFrequencyBandListNR-r15
   measTimingConfig-r15
                                       MTC-SSB-NR-r15
                                                                           OPTIONAL,
                                                                                      -- Need OR
```

```
subcarrierSpacingSSB-r15 ENUMERATED {kHz15, kHz30, kHz120, kHz240},
    ss-RSSI-Measurement-r15 SS-RSSI-Measurement-r15 OPTIONAL, -- Cond RSI cellReselectionPriority-r15 CellReselectionPriority OPTIONAL, -- Need OP cellReselectionSubPriority-r15 CellReselectionSubPriority-r13 OPTIONAL, -- Need OR threshX-High-r15 ReselectionThreshold.
                                                                                                 -- Cond RSRQ2
    threshX-High-r15
                                             ReselectionThreshold,
    threshX-Low-r15
                                             ReselectionThreshold,
    threshX-0-r15
                                            SEQUENCE {
             threshX-HighQ-r15
                                                  ReselectionThresholdQ-r9,
             threshX-LowQ-r15
                                                  ReselectionThresholdQ-r9
                                                                                   OPTIONAL, -- Cond RSRQ
    q-RxLevMin-r15
                                             INTEGER (-70..-22),
    q-RxLevMinSUL-r15
                                             INTEGER (-70..-22)
                                                                                 OPTIONAL,
                                                                                                     -- Need OR
    p-MaxNR-r15
                                              P-MaxNR-r15,
    ns-PmaxListNR-r15
                                             NS-PmaxListNR-r15
                                                                                       OPTIONAL, -- Need OR
                                                                               OPTIONAL,
    q-QualMin-r15
deriveSSB-IndexFromCell-r15
    q-QualMin-r15
                                             INTEGER (-43..-12)
                                                                                                     -- Need OP
                                           BOOLEAN,
    maxRS-IndexCellQual-r15
                                           MaxRS-IndexCellQualNR-r15 OPTIONAL,
                                                                                                     -- Need OR
    threshRS-Index-r15
                                             ThresholdListNR-r15
                                                                                  OPTIONAL,
                                                                                                     -- Need OR
                                             MultiBandNsPmaxListNR-1-v1550 OPTIONAL, -- Need OR
OPTIONAL, -- Need OR
OPTIONAL, -- Need OR
Need
    [[ multiBandNsPmaxListNR-v1550
        multiBandNsPmaxListNR-V1550 MultiBandNsPmaxListNR-v1550 OPTIONAL,

CCP_TOMeasure-r15 OPTIONAL
                                                                                                     -- Need OR
    ]]
}
MultiBandNsPmaxListNR-1-v1550 ::= SEQUENCE (SIZE (1..maxMultiBandsNR-1-r15)) OF NS-PmaxListNR-r15
MultiBandNsPmaxListNR-v1550 ::= SEQUENCE (SIZE (1..maxMultiBandsNR-r15)) OF NS-PmaxListNR-r15
-- ASN1STOP
```

## SystemInformationBlockType24 field descriptions

#### carrierFregListNR

List of carrier frequencies of NR carriers. These frequencies correspond to GSCN values as specified in TS 38.101 [85].

# cellReselectionPriority

The field concerns the absolute priority of the concerned carrier frequency as used by the cell reselection procedure. Corresponds with parameter "priority" in TS 36.304 [4].

#### deriveSSB-IndexFromCell

The field indicates whether the UE may use, to derive the SSB index of a cell on the indicated SSB frequency and subcarrier spacing, the timing of any detected cell with the same SSB frequency and subcarrier spacing. If this field is set to TRUE, the UE assumes SFN and frame boundary alignment across cells on the same NR carrier frequency as specified in TS 36.133 [16].

#### maxRS-IndexCellQual

Number of SS blocks to average for cell measurement derivation. Corresponds to the parameter *nrofSS-BlocksToAverage* in TS 38.304 [92].

## measTimingConfig

Used to configure measurement timing configurations, i.e., timing occasions at which the UE measures SSBs. If the field is absent, the UE assumes that SSB periodicity is 5ms in this frequency.

#### multiBandInfoList

Indicates the list of frequency bands for which the NR cell reselection parameters apply. The UE shall select the first listed band which it supports in the *multiBandInfoList* field to represent the NR neighbour carrier frequency. The network always includes this field.

#### multiBandInfoListSUL

Indicates the list of frequency bands for which the NR cell reselection parameters apply. The UE shall select the first listed band which it supports in the *multiBandInfoListSUL* field to represent the NR neighbour carrier frequency.

#### multiBandNsPmaxListNR

Indicates the NS-PmaxListNR configuration for the NR frequency band(s) listed in multiBandInfoList. The first entry corresponds to the second listed band in multiBandInfoList, and second entry corresponds to the third listed band in multiBandInfoList, and so on.

#### multiBandNsPmaxListNR-SUL

Indicates the NS-PmaxListNR configuration for the NR SUL frequency band(s) listed in multiBandInfoListSUL. The first entry corresponds to the first listed band in multiBandInfoListSUL, and second entry corresponds to the second listed band in multiBandInfoListSUL, and so on.

# ns-PmaxListNR

Indicates a list of additionalPmax and additionalSpectrumEmission, corresponds to the first listed band in the multiBandInfoList.

## p-MaxNR

Indicates the maximum power for NR (see TS 38.104 [91]) the UE can use in NR SCG.

#### q-QualMin

Parameter "Q<sub>qualmin</sub>" in TS 36.304 [4], applicable for NR neighbour cells. If the field is not present, the UE applies the (default) value of negative infinity for Q<sub>qualmin</sub>.

### q-RxLevMin

Parameter "Q<sub>rxlevmin</sub>" in TS 36.304 [4], applicable for NR neighbour cells.

# q-RxLevMinSUL

Parameter "Q<sub>rxlevminSUL</sub>" in TS 38.304 [92], applicable for NR neighbouring cells.

#### ssb-ToMeasure

The set of SS blocks to be measured within the SMTC measurement duration (see TS 38.215 [89]). When the field is absent the UE measures on all SS-blocks.

# ss-RSSI-Measurements

Indicates the SSB-based RSSI measurement configuration. If the field is absent, the UE behaviour is defined in TS 38.215 [89], clause 5.1.3.

#### threshRS-Index

List of thresholds for consolidation of L1 measurements per RS index. Corresponds to the parameter *absThreshSS-BlocksConsolidation* in TS 38.304 [92].

# threshX-High

Parameter "Threshx, HighP" in TS 36.304 [4].

#### threshX-HiahQ

Parameter "Threshx, HighQ" in TS 36.304 [4].

# threshX-Low

Parameter "Threshx, LowP" in TS 36.304 [4].

#### threshX-LowQ

Parameter "Threshx, LowQ" in TS 36.304 [4].

# t-ReselectionNR

Parameter "Treselection<sub>NR</sub>" in TS 36.304 [4].

#### t-ReselectionNR-SF

Parameter "Speed dependent ScalingFactor for Treselection<sub>NR</sub>" in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].

Conditional presence	Explanation
RSRQ	The field is mandatory present if the threshServingLowQ is present in
	systemInformationBlockType3; otherwise it is not present.
RSRQ2	The field is optional Need OP if the threshServingLowQ is present in
	systemInformationBlockType3; otherwise it is not present.

# SystemInformationBlockType25

The IE SystemInformationBlockType25 contains the UAC parameters.

# SystemInformationBlockType25 information element

```
-- ASN1START
SystemInformationBlockType25-r15 ::= SEQUENCE {
   uac-BarringForCommon-r15
                                            UAC-BarringPerCatList-r15
                                                                                    OPTIONAL,
Need OP
    uac-BarringPerPLMN-List-r15
                                      UAC-BarringPerPLMN-List-r15
                                                                               OPTIONAL, -- Need
ΩP
   uac-BarringInfoSetList-r15
                                        UAC-BarringInfoSetList-r15,
   uac-BarringInfoSetList-r15
uac-AC1-SelectAssistInfo-r15
                                       CHOICE {
       plmnCommon-r15
                                                UAC-AC1-SelectAssistInfo-r15,
        individualPLMNList-r15 SEQUENCE (SIZE (2..maxPLMN-r11)) OF UAC-AC1-SelectAssistInfo-r15
                   OPTIONAL, -- Need OR
    lateNonCriticalExtension
                                            OCTET STRING
                                                                                         OPTIONAL,
UAC-BarringPerPLMN-List-r15::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF UAC-BarringPerPLMN-r15
UAC-BarringPerPLMN-r15 ::= SEQUENCE {
   plmn-IdentityIndex-r15 INTEGER (1..maxPLMN-r11), uac-AC-BarringListType-r15 CHOICE{
   plmn-IdentityIndex-r15
       uac-ImplicitAC-BarringList-r15
                                           SEQUENCE (SIZE(maxAccessCat-1-r15)) OF UAC-
BarringInfoSetIndex-r15,
       uac-ExplicitAC-BarringList-r15
                                            UAC-BarringPerCatList-r15
                           OPTIONAL
                                        -- Need OR
UAC-BarringPerCatList-r15 ::= SEQUENCE (SIZE (1..maxAccessCat-1-r15)) OF UAC-BarringPerCat-r15
UAC-BarringPerCat-r15 ::= SEQUENCE {
   accessCategory-r15
                                        INTEGER (1..maxAccessCat-1-r15),
    uac-barringInfoSetIndex-r15 UAC-BarringInfoSetIndex-r15
UAC-BarringInfoSetIndex-r15 ::= INTEGER (1..maxBarringInfoSet-r15)
UAC-BarringInfoSetList-r15 ::=
                                  SEQUENCE (SIZE (1..maxBarringInfoSet-r15)) OF UAC-BarringInfoSet-
r15
UAC-BarringInfoSet-r15 ::= SEQUENCE {
   uac-BarringFactor-r15
                             ENUMERATED {
                                    p00, p05, p10, p15, p20, p25, p30, p40,
                                    p50, p60, p70, p75, p80, p85, p90, p95},
   uac-BarringTime-r15
                               ENUMERATED {s4, s8, s16, s32, s64, s128, s256, s512},
                                                BIT STRING (SIZE(7))
    uac-BarringForAccessIdentity-r15
UAC-AC1-SelectAssistInfo-r15::= ENUMERATED {a, b, c}
-- ASN1STOP
```

#### SystemInformationBlockType25 field descriptions

#### accessCategory

The Access Category according to TS 22.261 [96].

### uac-AC-BarringListType

Access control parameters for each access category valid only for a specific PLMN. UE behaviour upon absence of this field is specified in clause 5.3.16.2.

#### uac-AC1-SelectAssistInfo

Information used to determine whether Access Category 1 applies to the UE, as defined in TS 22.261 [96]. The field is forwarded to upper layers, if present.

#### uac-BarringFactor

Represents the probability that access attempt would be allowed during access barring check.

## uac-BarringForAccessIdentity

Indicates whether access attempt is allowed for each Access Identity. The leftmost bit, bit 0 in the bit string corresponds to Access Identity 1, bit 1 in the bit string corresponds to Access Identity 2, bit 2 in the bit string corresponds to Access Identity 11, bit 3 in the bit string corresponds to Access Identity 12 and so on. Value 0 means that access attempt is allowed for the corresponding access identity.

# uac-BarringForCommon

Common access control parameters for each access category. Common values are used for all PLMNs, unless overwritten by the PLMN specific configuration provided in *uac-BarringPerPLMN-List*. The parameters are specified by providing an index to the set of configurations (*uac-BarringInfoSetList*). UE behaviour upon absence of this field is specified in clause 5.3.16.2.

### uac-barringInfoSetIndex

Index of the entry in field *uac-BarringInfoSetList*. Value 1 corresponds to the first entry in *uac-BarringInfoSetList*, value 2 corresponds to the second entry in this list and so on. An index value referring to an entry not included in *uac-BarringInfoSetList* indicates no barring.

#### uac-BarringInfoSetList

List of access control parameter sets. Each access category can be configured with access parameters corresponding to a particular set by *uac-barringInfoSetIndex*. Association of an access category with an index that has no corresponding entry in the *uac-BarringInfoSetList* is valid configuration and indicates no barring.

# uac-BarringPerPLMN-List

Access control parameters for each access category valid only for a specific PLMN.

### uac-BarringTime

The minimum time before a new access attempt is to be performed after an access attempt was barred at access barring check for the same access category.

# SystemInformationBlockType26

The IE *SystemInformationBlockType26* contains V2X sidelink communication configurations which can be used jointly with those included in *SystemInformationBlockType21*.

# SystemInformationBlockType26 information element

```
-- ASN1START
SystemInformationBlockType26-r15 ::= SEQUENCE {
   v2x-InterFreqInfoList-r15 SL-InterFreqInfoListV2X-r14 cbr-pssch-TxConfigList-r15 SL-CBR-PPPP-TxConfigList-r15
                                                                      OPTIONAL,
                                                                                   -- Need OR
                                     SL-CBR-PPPP-TxConfigList-r15
   OPTIONAL,
                                                                                   -- Need OR
                                                                                   -- Need OR
                                      SL-V2X-PacketDuplicationConfig-r15 OPTIONAL,
                                                                       OPTIONAL,
                                                                                   -- Need OR
                                                                        OPTIONAL,
   slss-TxMultiFreq-r15
                                                                                   -- Need OR
                                     ENUMERATED{true}
                                                                                   -- Need OR
   v2x-FreqSelectionConfigList-r15
                                     SL-V2X-FreqSelectionConfigList-r15 OPTIONAL,
   threshS-RSSI-CBR-r15
                                     INTEGER (0..45)
                                                                        OPTIONAL,
                                                                                   -- Need OR
   lateNonCriticalExtension
                                      OCTET STRING
                                                                        OPTIONAL
-- ASN1STOP
```

## SystemInformationBlockType26 field descriptions

#### cbr-pssch-TxConfigList

Indicates the mapping between PPPPs, CBR ranges by using indexes of the entry in *cbr-RangeCommonConfigList* included in SIB21, and PSSCH transmission parameters and CR limit by using indexes of the entry in *sl-CBR-PSSCH-TxConfigList* included in SIB21. The configurations in this field apply to all the resource pools on all the carrier frequencies included in SIB26 for V2X sidelink communication transmission. The *mcs-PSSCH-RangeList-r15* included in this field also applies to all the resource pools on all the carrier frequencies included in SIB21 for V2X sidelink communication transmission.

#### slss-TxMultiFrea

Value TRUE indicates the UE transmits SLSS on multiple carrier frequencies for V2X sidelink communication. If this field is absent, the UE transmits SLSS only on the synchronisation carrier frequency.

#### svncFreaList

Indicates a list of candidate carrier frequencies that can be used for the synchronisation of V2X sidelink communication.

#### threshS-RSSI-CBR

Indicates the S-RSSI threshold for determining the contribution of a sub-channel to the CBR measurement, as specified in TS 36.214 [48]. Value 0 corresponds to -112 dBm, value 1 to -110 dBm, value n to (-112 + n\*2) dBm, and so on. If included, the *threshS-RSSI-CBR* in *SL-CommResourcePoolV2X* in SIB26 is absent.

## v2x-FreqSelectionConfigList

Indicates the configuration information for the carrier selection for V2X sidelink communication transmission on the carrier frequency where the field is broadcast.

### v2x-PacketDuplicationConfig

Indicates the configuration information for sidelink packet duplication for V2X sidelink communication.

# v2x-InterFreqInfoList

If this field includes a carrier frequency which is included in SIB21 and some configuration(s) for that carrier are already included in SIB21, the corresponding configuration(s) for that carrier frequency are not included in this field.

# 6.3.2 Radio resource control information elements

## Antennalnfo

The IE *AntennaInfoCommon* and the *AntennaInfoDedicated* are used to specify the common and the UE specific antenna configuration respectively.

# Antennalnfo information elements

```
-- ASN1START
AntennaInfoCommon ::=
                                  SEQUENCE {
                                      ENUMERATED {an1, an2, an4, spare1}
   antennaPortsCount
AntennaInfoDedicated ::=
                                 SEQUENCE {
                                     ENUMERATED {
   transmissionMode
                                          tm1, tm2, tm3, tm4, tm5, tm6,
                                          tm7, tm8-v920},
   codebookSubsetRestriction
                                      CHOICE {
       n2TxAntenna-tm3
                                          BIT STRING (SIZE (2)),
                                          BIT STRING (SIZE (4)),
       n4TxAntenna-tm3
       n2TxAntenna-tm4
                                         BIT STRING (SIZE (6)),
       n4TxAntenna-tm4
                                          BIT STRING (SIZE (64)).
       n2TxAntenna-tm5
                                         BIT STRING (SIZE (4)),
                                          BIT STRING (SIZE (16)),
       n4TxAntenna-tm5
       n2TxAntenna-tm6
                                          BIT STRING (SIZE (4)),
                                         BIT STRING (SIZE (16))
       n4TxAntenna-tm6
          OPTIONAL,
                                                                             -- Cond TM
   ue-TransmitAntennaSelection CHOICE{
           release
                                          NULL.
                                          ENUMERATED {closedLoop, openLoop}
           setup
   }
AntennaInfoDedicated-v920 ::=
                                  SEOUENCE {
   codebookSubsetRestriction-v920
                                   CHOICE {
      n2TxAntenna-tm8-r9
                                         BIT STRING (SIZE (6)),
       n4TxAntenna-tm8-r9
                                          BIT STRING (SIZE (32))
           OPTIONAL
                                                                             -- Cond TM8
}
```

```
AntennaInfoDedicated-r10 ::= SEQUENCE {
    transmissionMode-r10
                                       ENUMERATED {
                                           tm1, tm2, tm3, tm4, tm5, tm6, tm7, tm8-v920,
                                           tm9-v1020, tm10-v1130, spare6, spare5, spare4,
                                           spare3, spare2, spare1},
   codebookSubsetRestriction-r10
                                       BIT STRING
                                                          OPTIONAL,
   ue-TransmitAntennaSelection
                                   CHOICE {
       release
                                       NULL
       setup
                                       ENUMERATED {closedLoop, openLoop}
}
AntennaInfoDedicated-v10i0::= SEQUENCE {
                               ENUMERATED {twoLayers, fourLayers, eightLayers} OPTIONAL
   maxLayersMIMO-r10
Need OR
}
AntennaInfoDedicated-v1250 ::= SEQUENCE {
   alternativeCodebookEnabledFor4TX-r12 BOOLEAN
AntennaInfoDedicated-v1430 ::= SEQUENCE {
    ce-UE-TxAntennaSelection-config-r14
                                               ENUMERATED {on} OPTIONAL -- Need OR
AntennaInfoDedicatedSTTI-r15 ::=
                                 CHOICE {
   release
                                     NULL,
                                       SEQUENCE {
        transmissionModeDL-MBSFN-r15
                                        ENUMERATED {tm9, tm10} OPTIONAL, -- Need OR
                                         ENUMERATED {tm1, tm2, tm3, tm4, tm6, tm8, tm9,
        transmissionModeDL-nonMBSFN-r15
                                                       tm10}
                                                                   OPTIONAL, --_Need OR
       codebookSubsetRestriction
                                           CHOICE {
           n2TxAntenna-tm3-r15
                                               BIT STRING (SIZE (2)),
                                               BIT STRING (SIZE (4)),
           n4TxAntenna-tm3-r15
           n2TxAntenna-tm4-r15
                                               BIT STRING (SIZE (6)),
           n4TxAntenna-tm4-r15
                                               BIT STRING (SIZE (64)),
           n2TxAntenna-tm5-r15
                                               BIT STRING (SIZE (4)),
                                               BIT STRING (SIZE (16)),
           n4TxAntenna-tm5-r15
                                              BIT STRING (SIZE (4)),
           n2TxAntenna-tm6-r15
           n4TxAntenna-tm6-r15
                                             BIT STRING (SIZE (16)),
           n2TxAntenna-tm8-r15
                                               BIT STRING (SIZE (6)),
                                              BIT STRING (SIZE (64)),
           n4TxAntenna-tm8-r15
                                           BIT STRING (SIZE (6)),
BIT STRING (SIZE (96)),
           n2TxAntenna-tm9and10-r15
n4TxAntenna-tm9and10-r15
           n8TxAntenna-tm9and10-r15
                                              BIT STRING (SIZE (109))
              OPTIONAL,
                                                                                    -- Cond TM
                                      ENUMERATED {twoLayers, fourLayers} OPTIONAL, -- Need OR
       maxLaversMIMO-STTI-r15
       slotSubslotPDSCH-TxDiv-2Layer-r15 BOOLEAN, slotSubslotPDSCH-TxDiv-4Layer-r15 BOOLEAN
}
AntennaInfoDedicated-v1530 ::=
                                  CHOICE {
                                       NULL,
                                       CHOICE {
    setup
       ue-TxAntennaSelection-SRS-1T4R-Config-r15
                                                          NULL,
       ue-TxAntennaSelection-SRS-2T4R-NrOfPairs-r15
                                                               ENUMERATED {two, three}
-- ASN1STOP
```

## Antennalnfo field descriptions

#### alternativeCodebookEnabledFor4TX

Indicates whether code book in TS 36.213 [23] Table 7.2.4-0A to Table 7.2.4-0D is being used for deriving CSI feedback and reporting. E-UTRAN only configures the field if the UE is configured with a) *tm8* with 4 CRS ports, *tm9* or *tm10* with 4 CSI-RS ports and b) PMI/RI reporting.

#### antennaPortsCount

Parameter represents the number of cell specific antenna ports where an1 corresponds to 1, an2 to 2 antenna ports etc. see TS 36.211 [21], clause 6.2.1.

# ce-ue-TxAntennaSelection-config

Configuration of UL closed-loop transmit antenna selection for non-BL UE in CE Mode A, see TS 36.212 [22].

#### codebookSubsetRestriction

Parameter: codebookSubsetRestriction, see TS 36.213 [23], clause 7.2 and TS 36.211 [21], clause 6.3.4.2.3. The number of bits in the codebookSubsetRestriction for applicable transmission modes is defined in TS 36.213 [23], Table 7.2-1b. If the UE is configured with transmissionMode tm8, E-UTRAN configures the field codebookSubsetRestriction if PMI/RI reporting is configured. If the UE is configured with transmissionMode tm9, E-UTRAN configures the field codebookSubsetRestriction if PMI/RI reporting is configured and if the number of CSI-RS ports is greater than 1. E-UTRAN does not configure the field codebookSubsetRestriction in other cases where the UE is configured with transmissionMode tm8 or tm9. Furthermore, E-UTRAN does not configure the field codebookSubsetRestriction if the UE is configured with eMIMO-Type unless it is set to beamformed, alternativeCodebookEnabledBeamformed is set to FALSE and csi-RS-ConfigNZPIdListExt is not configured.

#### maxLayersMIMO

Indicates the maximum number of layers for spatial multiplexing used to determine the rank indication bit width and Kc determination of the soft buffer size for the corresponding serving cell according to TS 36.212 [22]. EUTRAN configures this field only when *transmissionMode* is set to *tm3*, *tm4*, *tm9* or *tm10* for the corresponding serving cell. When configuring the field for a serving cell which *transmissionMode* is set to *tm3* or *tm4*, EUTRAN only configures value *fourLayers*: For a serving cell which *transmissionMode* is set to *tm9* or *tm10*, EUTRAN only configures the field only if *intraBandContiguousCC-InfoList* or *FeatureSetDL-PerCC* is indicated for the band and the band combination of the corresponding serving cell or the UE supports *maxLayersMIMO-Indication*.

### maxLaversMIMO-STTI

Indicates the maximum number of layers, for each serving cell, to be used when determining if the shifted DMRS pattern is applicable TS 36.211 [21], clause 6.10.3.2.

# slotSubslotPDSCH-TxDiv-2Layer, slotSubslotPDSCH-TxDiv-4Layer

Indicates the table to be used in case of dynamic TX diversity fallback for TM9 and 10 for up to 2-layer/4-layer slot or subslot PDSCH operation, see TS 36.212 [22], clause 5.3.3.1.22.

# transmissionMode

Points to one of Transmission modes defined in TS 36.213 [23], clause 7.1, where tm1 refers to transmission mode 1, tm2 to transmission mode 2 etc.

# transmissionModeDL-MBSFN

Indicates, for MBSFN, the transmission mode as defined in TS 36.213 [23], clause 7.1, where *tm1* refers to transmission mode 1, *tm2* to transmission mode 2 etc for slot or subslot operation. In case of FDD, TM8 is not applicable.

# transmissionModeDL-nonMBSFN

Indicates, for non-MBSFN, the transmission mode as defined in TS 36.213 [23], clause 7.1, where *tm1* refers to transmission mode 1, *tm2* to transmission mode 2 etc. for slot or subslot operation. In case of FDD, TM8 is not applicable.

### ue-TransmitAntennaSelection

For value *setup*, the field indicates whether UE transmit antenna selection control is closed-loop or open-loop as described in TS 36.213 [23], clause 8.7.

## ue-TxAntennaSelection-SRS-1T4R-Config

Configuration of UL closed-loop transmit antenna selection for UE to select one antenna among four antennas to transmit SRS for the corresponding serving cell as described in TS 36.213 [23]. When *ue-TxAntennaSelection-SRS-1T4R-Config* and *ue-TransmitAntennaSelection* are configured simultaneously for a given serving cell, the UE selects one of the first two antennas for PUSCH transmission and selects one antenna among four antennas at each SRS instance for SRS transmission for the corresponding serving cell as described in TS 36.213 [23].

# ue-TxAntennaSelection-SRS-2T4R-NrOfPairs

Presence of the field indicates configuration of UL closed-loop transmit antenna selection for UE to select two antennas among four antennas to transmit SRS simultaneously for the corresponding serving cell as described in TS 36.213 [23]. Further, the field indicates the number of antenna pairs to select from for SRS transmission for a given serving cell as described in TS 36.213 [23]. Value two indicates the UE to select one antenna pair between two antenna pairs to transmit SRS simultaneously at each SRS instance for the corresponding serving cell. Value three indicates the UE to select one antenna pair among three antenna pairs to transmit SRS simultaneously at each SRS instance for the corresponding serving cell. EUTRAN does not simultaneously configure *ue-TransmitAntennaSelection* and *ue-TxAntennaSelection-SRS-2T4R-NrOfPairs* for a given serving cell.

Conditional presence	Explanation
TM	The field is mandatory present if the <i>transmissionMode</i> is set to tm3, tm4, tm5 or tm6.
	Otherwise the field is not present and the UE shall delete any existing value for this field.
TM8	The field is optional present, need OR, if AntennaInfoDedicated is included and
	transmissionMode is set to tm8. If AntennaInfoDedicated is included and
	transmissionMode is set to a value other than tm8, the field is not present and the UE
	shall delete any existing value for this field. Otherwise the field is not present.
TMX	The field is mandatory present if the <i>transmissionMode-r10</i> is set to <i>tm3</i> , <i>tm4</i> , <i>tm5</i> or <i>tm6</i> .
	The field is optionally present, need OR, if the <i>transmissionMode-r10</i> is set to <i>tm8</i> or <i>tm9</i> .
	Otherwise the field is not present and the UE shall delete any existing value for this field.

# AntennalnfoUL

The IE AntennaInfoUL is used to specify the UL antenna configuration.

#### AntennalnfoUL information elements

```
-- ASN1START
                            SEQUENCE {
AntennaInfoIII.-r10 ::=
    transmissionModeUL-r10
                                        ENUMERATED {tm1, tm2, spare6, spare5,
                                                    spare4, spare3, spare2, spare1} OPTIONAL,
Need OR
    fourAntennaPortActivated-r10
                                            ENUMERATED {setup}
                                                                        OPTIONAL
                                                                                         -- Need OR
AntennaInfoUL-STTI-r15 ::= SEQUENCE {
    transmissionModeUL-STTI-r15
                                        ENUMERATED {tm1, tm2}
                                                                    OPTIONAL
                                                                                 -- Need OR
-- ASN1STOP
```

# AntennalnfoUL field descriptions

## fourAntennaPortActivated

Parameter indicates if four antenna ports are used. See TS 36.213 [23], clause 8.2. E-UTRAN optionally configures fourAntennaPortActivated only if transmissionModeUL is set to tm2.

#### transmissionModeUL

Points to one of UL Transmission modes defined in TS 36.213 [23], clause 8.0, where tm1 refers to transmission mode 1, tm2 to transmission mode 2 etc.

### transmissionModeUL-STTI

Indicates the UL transmission mode as defined in TS 36.213 [23], clause 8.0, where tm1 refers to transmission mode 1 and tm2 to transmission mode 2 for slot or subslot operation.

# AUL-Config

The IE AUL-Config is used to specify the autonomous uplink configuration.

## **AUL-Config** information element

```
-- ASN1START
AUL-Config-r15 ::= CHOICE {
    release
                                      NULL,
                                      SEQUENCE {
        aul-CRNTI-r15
                                                   C-RNTI,
        aul-Subframes-r15
                                                   BIT STRING (SIZE (40)),
        aul-HARQ-Processes-r15
                                                   INTEGER (1..16),
        transmissionModeUL-AUL-r15
                                                   ENUMERATED {tm1,tm2},
        aul-StartingFullBW-InsideMCOT-r15
                                                   BIT STRING (SIZE (5)),
        aul-StartingFullBW-OutsideMCOT-r15
                                                   BIT STRING (SIZE (7)),
        aul-StartingPartialBW-InsideMCOT-r15
                                                   ENUMERATED {034, 043, 052, 061, 00S1},
                                                   ENUMERATED {016, 025, 034, 043, 052, 061, 00S1}, ENUMERATED {psf4, psf5, psf6, psf8, psf10, psf12,
        aul-StartingPartialBW-OutsideMCOT-r15
        aul-RetransmissionTimer-r15
                                                   psf20, psf28, psf37, psf44, psf68, psf84, psf100,
                                                   psf116, psf132, psf164, psf324},
        endingSymbolAUL-r15
                                                   INTEGER(12..13),
        subframeOffsetCOT-Sharing-r15
                                                   INTEGER(2..4),
```

# **AUL-Config** field descriptions

#### aul-CRNTI

AUL C-RNTI, see TS 36.321 [6].

#### aul-HARQ-Processes

This field indicates which HARQ process IDs are configured for AUL operation as described in TS 36.321 [6]. In case tm1 is configured for the *transmissionModeUL-AUL* the number of configured HARQ processes equals to field value. In case tm2 is configured for the *transmissionModeUL-AUL* the number of configured HARQ processes equals to double of the field value. The largest value of the HARQ process ID is equal to the number of configured HARQ processes - 1.

## aul-RetransmissionTimer

This timer is used to restrict both new transmission and retransmission for the same HARQ process for AUL operation as described in TS 36.321 [6]. Value psf4 corresponds to 4 PDCCH subframes etc.

### aul-StartingFullBW-InsideMCOT

This field indicates the AUL-specific set of PUSCH starting offset values for the AUL transmission inside of eNB obtained MCOT when a UE configured with AUL configuration is allocated to occupy the full channel bandwidth as described in TS 36.213 [23], clause 8.0. The first/leftmost bit corresponds to value 34, second bit corresponds to value 43, third bit corresponds to value 52, fourth bit corresponds to value 61 and last bit corresponds to value OS#1.

### aul-StartingFullBW-OutsideMCOT

This field indicates the AUL-specific set of PUSCH starting offset values for the AUL transmission outside of eNB obtained MCOT when a UE configured with AUL configuration is allocated to occupy the full channel bandwidth as described in TS 36.213 [23], clause 8.0. The first/leftmost bit corresponds to value 16, second bit corresponds to value 25, third bit corresponds to value 34, fourth bit corresponds to value 43, fifth bit corresponds to value 52, sixth bit corresponds to value 61 and last bit corresponds to value OS#1.

## aul-StartingPartialBW-InsideMCOT

This field indicates the exact AUL-specific PUSCH starting offset value for the AUL transmission inside of eNB obtained MCOT when a UE configured with AUL configuration is allocated to occupy partial channel bandwidth as described in TS 36.213 [23], clause 8.0. The value o34 corresponds to 34, and the value o43 corresponds to 43 and so on.

#### aul-StartingPartialBW-OutsideCOT

This field indicates the exact AUL-specific PUSCH starting offset value for the AUL transmission outside of eNB obtained MCOT when a UE configured with AUL configuration is allocated to occupy partial channel bandwidth as described in TS 36.213 [23], clause 8.0. The value o16 corresponds to 16, the value o25 corresponds to 25 and so on.

## aul-Subframes

This field indicates which subframes are allowed for AUL operation as described in TS 36.321 [6]. The first/leftmost bit corresponds to the subframe #0 of the radio frame satisfying SFN mod 4 = 0. Value 0 in the bitmap indicates that the corresponding subframe is not allowed for AUL. Value 1 in the bitmap indicates that the corresponding subframe is allowed for AUL.

# contentionWindowSizeTimer

This field indicates contention window size adjustment timer as described in TS 37.213 [94], clause 4.2.2. The value n0 corresponds to 0ms, value n5 corresponds to 5ms, value n10 corresponds to 10ms. The value is set to n0 or n5 if the absence of other technologies on the same carrier cannot be guaranteed. The value is set to n0 or n10 if the absence of other technologies on the same carrier can be guaranteed.

# endingSymbolAUL

This field indicates PUSCH ending symbol of the last AUL subframe in an AUL burst as described in TS 36.211 [21], clause 4.1.3.

# subframeOffsetCOT-Sharing

This field is COT sharing indication parameter X indicating if subframe n+X is an applicable subframe for UL to DL sharing as described in TS 37.213 [94], clause 4.1.3.

#### transmissionModeUL-AUL

This field indicates which UL transmission mode is used for AUL as described in TS 36.213 [23], clause 8.0, where tm1 refers to transmission mode 1, tm2 to transmission mode 2.

# CQI-ReportAperiodic

The IE *CQI-ReportAperiodic* is used to specify the aperiodic CQI reporting configuration.

## CQI-ReportAperiodic information elements

```
-- ASN1START
```

```
CHOICE {
CQI-ReportAperiodic-r10 ::=
    release
                                         NULL,
                                         SEQUENCE {
    setup
        cqi-ReportModeAperiodic-r10
                                             CQI-ReportModeAperiodic,
        aperiodicCSI-Trigger-r10
                                             SEQUENCE {
            trigger1-r10
                                             BIT STRING (SIZE (8)),
                                             BIT STRING (SIZE (8))
            trigger2-r10
                                                                              OPTIONAL
                                                                                           -- Need OR
    }
}
                                     CHOICE {
CQI-ReportAperiodic-v1250 ::=
                                         NULL,
    release
                                         SEQUENCE {
    setup
        aperiodicCSI-Trigger-v1250
                                             SEQUENCE {
            trigger-SubframeSetIndicator-r12 ENUMERATED {s1, s2},
            trigger1-SubframeSetIndicator-r12
                                                 BIT STRING (SIZE (8)),
            trigger2-SubframeSetIndicator-r12
                                                BIT STRING (SIZE (8))
    }
}
CQI-ReportAperiodic-v1310
                                     CHOICE {
    release
                                         NULL.
    setup
                                         SEOUENCE {
        aperiodicCSI-Trigger-v1310
                                             SEQUENCE {
            trigger1-r13
                                             BIT STRING (SIZE (32)),
            trigger2-r13
                                             BIT STRING (SIZE (32)),
            trigger3-r13
                                             BIT STRING (SIZE (32)),
            trigger4-r13
                                             BIT STRING (SIZE (32)),
            trigger5-r13
                                             BIT STRING (SIZE (32)),
            trigger6-r13
                                             BIT STRING (SIZE (32))
                                                                              OPTIONAL,
                                                                                           -- Need ON
        aperiodicCSI-Trigger2-r13
                                         CHOICE {
            release
                                             NULL,
            setup
                                         SEQUENCE {
                trigger1-SubframeSetIndicator-r13
                                                     BIT STRING (SIZE (32)),
                                                    BIT STRING (SIZE (32)),
BIT STRING (SIZE (32)),
                trigger2-SubframeSetIndicator-r13
                trigger3-SubframeSetIndicator-r13
                trigger4-SubframeSetIndicator-r13    BIT STRING (SIZE (32)),
                trigger5-SubframeSetIndicator-r13
                                                     BIT STRING (SIZE (32)),
                trigger6-SubframeSetIndicator-r13 BIT STRING (SIZE (32))
                                                                              OPTIONAL
                                                                                           -- Need ON
    }
}
CQI-ReportAperiodicProc-r11 ::=
                                     SEQUENCE {
    cqi-ReportModeAperiodic-r11
                                         CQI-ReportModeAperiodic,
    trigger01-r11
                                         BOOLEAN,
                                         BOOLEAN,
    trigger10-r11
    trigger11-r11
                                         BOOLEAN
                                         SEQUENCE {
CQI-ReportAperiodicProc-v1310
    trigger001-r13
                                         BOOLEAN,
    trigger010-r13
                                         BOOLEAN
    trigger011-r13
                                         BOOLEAN,
    trigger100-r13
                                         BOOLEAN,
    trigger101-r13
                                         BOOLEAN.
    trigger110-r13
                                         BOOLEAN,
    trigger111-r13
                                         BOOLEAN
}
                                         SEQUENCE {
CQI-ReportAperiodicHybrid-r14
    triggers-r14
                                         CHOICE {
        oneBit-r14
                                             SEOUENCE {
            trigger1-Indicator-r14
                                                 BIT STRING (SIZE (8))
        },
        twoBit-r14
                                             SEQUENCE {
            trigger01-Indicator-r14
                                                 BIT STRING (SIZE (8)),
            trigger10-Indicator-r14
                                                 BIT STRING (SIZE (8)),
            trigger11-Indicator-r14
                                                 BIT STRING (SIZE (8))
        threeBit-r14
                                             SEQUENCE {
            trigger001-Indicator-r14
                                                 BIT STRING (SIZE (32)),
            trigger010-Indicator-r14
                                                 BIT STRING (SIZE (32)),
            trigger011-Indicator-r14
                                                 BIT STRING (SIZE (32)),
```

## CQI-ReportAperiodic field descriptions

# aperiodicCSI-Trigger

Indicates for which serving cell(s) the aperiodic CSI report is triggered when one or more SCells are configured. 
trigger1-r10 corresponds to the CSI request field 10 while trigger1-r13 corresponds to the CSI request field 010, 
trigger2-r10 corresponds to the CSI request field 11 while trigger2-r13 corresponds to the CSI request field 011, 
trigger3-r13 corresponds to the CSI request field 100, see TS 36.213 [23], table 7.2.1-1A and table 7.2.1-1D, and so 
on. The leftmost bit, bit 0 in the bit string corresponds to the cell with ServCellIndex=0 and bit 1 in the bit string 
corresponds to the cell with ServCellIndex=1 etc. Each bit has either value 0 (means no aperiodic CSI report is 
triggered) or value 1 (means the aperiodic CSI report is triggered). At most 5 bits can be set to value 1 in the bit string 
in aperiodicCSI-Trigger-r10 and in aperiodicCSI-Trigger-v1250 and at most 32 bits can be set to value 1 in the bit 
string in aperiodicCSI-Trigger-v1310. E-UTRAN configures value 1 only for cells configured with transmissionMode set 
in range tm1 to tm9. One value applies for all serving cells configured with transmissionMode set in range tm1 to tm9 
and belonging to the same PUCCH group (the associated functionality is common i.e. not performed independently for 
each cell).

#### trigger-SubframeSetIndicator

For a serving cell configured with *csi-MeasSubframeSets-r12*, indicates for which CSI subframe set the aperiodic CSI report is triggered for the serving cell if the aperiodic CSI is triggered by the CSI request field 01 or 001, see TS 36.213 [23], table 7.2.1-1C or table 7.2.1.-1E. Value s1 corresponds to CSI subframe set 1 and value s2 corresponds to CSI subframe set 2.

# trigger001

Indicates whether or not reporting for this CSI-process or reporting for this CSI-process corresponding to a CSI subframe set is triggered by CSI request field set to 001, for a CSI request applicable for the serving cell on the same frequency as the CSI process, see TS 36.213 [23], table 7.2.1-1D and 7.2.1-E.

## trigger001-IndicatorN..trigger111-IndicatorN

Indicates for which eMIMO-Type the aperiodic CSI report is triggered (the corresponding CSI process, CSI subframe set}-pair(s) and/or a serving cell) as applicable, See TS 36.213 [23], table 7.2.1-1A, 7.2.1-1B, and 7.2.1-1C.

#### trigger01

Indicates whether or not reporting for this CSI-process or reporting for this CSI-process corresponding to a CSI subframe set is triggered by CSI request field set to 01, for a CSI request applicable for the serving cell on the same frequency as the CSI process, see TS 36.213 [23], table 7.2.1-1D and 7.2.1-1E.

### trigger010, trigger011, trigger100, trigger101, Trigger110, Trigger111

Indicates whether or not reporting for this CSI-process or reporting for this CSI-process corresponding to a CSI subframe set is triggered by CSI request field set to 010, 011, 100, 101, 110 or 111, see TS 36.213 [23], table 7.2.1-1D and 7.2.1-1E.

# trigger10, trigger11

Indicates whether or not reporting for this CSI-process or reporting for this CSI-process corresponding to a CSI subframe set is triggered by CSI request field set to 10 or 11, see TS 36.213 [23], table 7.2.1-1B. EUTRAN configures at most 5 CSI processes, across all serving frequencies within each CG, to be triggered by a CSI request field set to value 10. The same restriction applies for value 11. In case E-UTRAN simultaneously triggers CSI requests for more than 5 CSI processes some limitations apply, see TS 36.213 [23].

# trigger1-SubframeSetIndicator

If signalled in the aperiodic CSI-Trigger-v1250, indicates for which CSI subframe set the aperiodic CSI report is triggered when aperiodic CSI is triggered by the CSI request field 10, see TS 36.213 [23], table 7.2.1-1C, or by the CSI request field 010, see TS 36.213 [23], table 7.2.1-1E. The leftmost bit, bit 0 in the bit string corresponds to the cell with ServCellIndex=0 and bit 1 in the bit string corresponds to the cell with ServCellIndex=1 etc. Each bit has either value 0 (means that aperiodic CSI report is triggered for CSI subframe set 1) or value 1 (means that aperiodic CSI report is triggered for CSI subframe set 2).

# trigger2-SubframeSetIndicator

If signalled in the aperiodic CSI-Trigger-v1250, indicates for which CSI subframe set the aperiodic CSI report is triggered when aperiodic CSI is triggered by the CSI request field 11, see TS 36.213 [23], table 7.2.1-1C, or by the CSI request field 011, see TS 36.213 [23], table 7.2.1-1E. The leftmost bit, bit 0 in the bit string corresponds to the cell with ServCellIndex=0 and bit 1 in the bit string corresponds to the cell with ServCellIndex=1 etc. Each bit has either value 0 (means that aperiodic CSI report is triggered for CSI subframe set 1) or value 1 (means that aperiodic CSI report is triggered for CSI subframe set 2).

# trigger3-SubframeSetIndicator

Indicates for which CSI subframe set the aperiodic CSI report is triggered when aperiodic CSI is triggered by the CSI request field100, see TS 36.213 [23], table 7.2.1-1E.The leftmost bit, bit 0 in the bit string corresponds to the cell with ServCellIndex=0 and bit 1 in the bit string corresponds to the cell with ServCellIndex=1 etc. Each bit has either value 0 (means that aperiodic CSI report is triggered for CSI subframe set 1) or value 1 (means that aperiodic CSI report is triggered for CSI subframe set 2).

# trigger4-SubframeSetIndicator

Indicates for which CSI subframe set the aperiodic CSI report is triggered when aperiodic CSI is triggered by the CSI request field 101, see TS 36.213 [23], table 7.2.1-1E. The leftmost bit, bit 0 in the bit string corresponds to the cell with ServCellIndex=0 and bit 1 in the bit string corresponds to the cell with ServCellIndex=1 etc. Each bit has either value 0 (means that aperiodic CSI report is triggered for CSI subframe set 1) or value 1 (means that aperiodic CSI report is triggered for CSI subframe set 2).

## CQI-ReportAperiodic field descriptions

### trigger5-SubframeSetIndicator

Indicates for which CSI subframe set the aperiodic CSI report is triggered when aperiodic CSI is triggered by the CSI request field 110, see TS 36.213 [23], table 7.2.1-1E.The leftmost bit, bit 0 in the bit string corresponds to the cell with ServCellIndex=0 and bit 1 in the bit string corresponds to the cell with ServCellIndex=1 etc. Each bit has either value 0 (means that aperiodic CSI report is triggered for CSI subframe set 1) or value 1 (means that aperiodic CSI report is triggered for CSI subframe set 2).

# trigger6-SubframeSetIndicator

Indicates for which CSI subframe set the aperiodic CSI report is triggered when aperiodic CSI is triggered by the CSI request field 111, see TS 36.213 [23], table 7.2.1-1E.The leftmost bit, bit 0 in the bit string corresponds to the cell with ServCellIndex=0 and bit 1 in the bit string corresponds to the cell with ServCellIndex=1 etc. Each bit has either value 0 (means that aperiodic CSI report is triggered for CSI subframe set 1) or value 1 (means that aperiodic CSI report is triggered for CSI subframe set 2).

# CQI-ReportBoth

The IE *CQI-ReportBoth* is used to specify the CQI reporting configuration common to both periodic and aperiodic configurations.

## CQI-ReportBoth information elements

```
-- ASN1START
COI-ReportBoth-r11 ::=
                            SEOUENCE {
   csi-IM-ConfigToReleaseList-rll CSI-IM-ConfigToReleaseList-rll OPTIONAL,
                                                                              -- Need ON
                                                                   OPTIONAL,
   csi-IM-ConfigToAddModList-r11
                                     CSI-IM-ConfigToAddModList-r11
                                                                              -- Need ON
                                     CSI-ProcessToReleaseList-r11
                                                                  OPTIONAL,
                                                                              -- Need ON
   csi-ProcessToReleaseList-r11
   csi-ProcessToAddModList-r11
                                     CSI-ProcessToAddModList-r11
                                                                   OPTIONAL
                                                                              -- Need ON
CQI-ReportBoth-v1250 ::=
                                SEQUENCE {
   csi-IM-ConfigToReleaseListExt-r12 CSI-IM-ConfigId-v1250 OPTIONAL,
                                                                          -- Need ON
                                                               OPTIONAL
   csi-IM-ConfigToAddModListExt-r12
                                        CSI-IM-ConfigExt-r12
                                                                          -- Need ON
CQI-ReportBoth-v1310 ::=
                                 SEQUENCE {
   csi-IM-ConfiqToReleaseListExt-r13 CSI-IM-ConfiqToReleaseListExt-r13 OPTIONAL,
                                                                                  -- Need ON
   OPTIONAL
                                                                                  -- Need ON
CSI-IM-ConfigToAddModList-r11 ::=
                                    SEQUENCE (SIZE (1..maxCSI-IM-r11)) OF CSI-IM-Config-r11
CSI-IM-ConfigToAddModListExt-r13 ::=
                                    SEQUENCE (SIZE (1..maxCSI-IM-v1310)) OF CSI-IM-ConfigExt-r12
CSI-IM-ConfigToReleaseList-r11 ::=
                                    SEQUENCE (SIZE (1..maxCSI-IM-r11)) OF CSI-IM-ConfigId-r11
CSI-IM-ConfigToReleaseListExt-r13 ::= SEQUENCE (SIZE (1..maxCSI-IM-v1310)) OF CSI-IM-ConfigId-
CSI-ProcessToAddModList-r11 ::=
                                 SEQUENCE (SIZE (1..maxCSI-Proc-r11)) OF CSI-Process-r11
CSI-ProcessToReleaseList-r11 ::=
                                 SEQUENCE (SIZE (1..maxCSI-Proc-r11)) OF CSI-ProcessId-r11
CQI-ReportBothProc-r11 ::=
                                 SEQUENCE {
   ri-Ref-CSI-ProcessId-rl1
                                     CSI-ProcessId-r11
                                                                   OPTIONAL,
                                                                                  -- Need OR
   pmi-RI-Report-r11
                                     ENUMERATED {setup}
                                                                   OPTIONAL
                                                                                  -- Need OR
-- ASN1STOP
```

## CQI-ReportBoth field descriptions

#### csi-IM-ConfigToAddModList

For a serving frequency E-UTRAN configures one or more *CSI-IM-Config* only when transmission mode 10 is configured for the serving cell on this carrier frequency.

#### csi-ProcessToAddModList

For a serving frequency E-UTRAN configures one or more *CSI-Process* only when transmission mode 10 is configured for the serving cell on this carrier frequency.

#### cgi-ReportModeAperiodic

Parameter: reporting mode. Value rm12 corresponds to Mode 1-2, rm20 corresponds to Mode 2-0, rm22 corresponds to Mode 2-2 etc. PUSCH reporting modes are described in TS 36.213 [23], clause 7.2.1. The UE shall ignore *cqi-ReportModeAperiodic-r10* when transmission mode 10 is configured for the serving cell on this carrier frequency. The UE shall ignore *cqi-ReportModeAperiodic-r10* configured for the PCell/ PSCell when the transmission bandwidth of the PCell/PSCell in downlink is 6 resource blocks.

## pmi-RI-Report

See TS 36.213 [23], clause 7.2. The presence of this field means PMI/RI reporting is configured; otherwise the PMI/RI reporting is not configured. EUTRAN configures this field only when *transmissionMode* is set to *tm8*, *tm9* or *tm10*. The UE shall ignore *pmi-RI-Report-r9*/ *pmi-RI-Report-r10* when transmission mode 10 is configured for the serving cell on this carrier frequency.

#### ri-Ref-CSI-ProcessId

CSI process whose RI value the UE inherits when reporting RI, in the same subframe, for CSI reporting. E-UTRAN ensures that the CSI process that inherits the RI value is configured in accordance with the conditions specified in TS 36.213 [23], clauses 7.2.1 and 7.2.2.

# CQI-ReportConfig

The IE CQI-ReportConfig is used to specify the CQI reporting configuration.

# CQI-ReportConfig information elements

```
-- ASN1START
CQI-ReportConfig ::=
                                  SEQUENCE {
   cqi-ReportModeAperiodic
                                  CQI-ReportModeAperiodic OPTIONAL,
                                                                             -- Need OR
   nomPDSCH-RS-EPRE-Offset
                                     INTEGER (-1..6),
   cqi-ReportPeriodic
                                  CQI-ReportPeriodic OPTIONAL
                                                                             -- Need ON
CQI-ReportConfig-v920 ::=
                             SEOUENCE {
                                  ENUMERATED {setup}
   cgi-Mask-r9
                                                         OPTIONAL,
                                                                         -- Cond cqi-Setup
   pmi-RI-Report-r9
                                  ENUMERATED {setup}
                                                         OPTIONAL
                                                                         -- Cond PMIRI
                          SEQUENCE {
CQI-ReportConfig-r10 ::=
                                      CQI-ReportAperiodic-r10
   cqi-ReportAperiodic-r10
                                                                    OPTIONAL,
                                                                                 -- Need ON
   nomPDSCH-RS-EPRE-Offset
                                  INTEGER (-1..6),
                                      CQI-ReportPeriodic-r10
   cqi-ReportPeriodic-r10
                                                                     OPTIONAL,
                                                                                 -- Need ON
   pmi-RI-Report-r9
                                      ENUMERATED {setup}
                                                                     OPTIONAL,
                                                                                 -- Cond
PMIRIPCell
   csi-SubframePatternConfig-r10
                                      CHOICE {
       release
                                      NULL,
                                      SEQUENCE {
           csi-MeasSubframeSet1-r10
                                             MeasSubframePattern-r10.
           csi-MeasSubframeSet2-r10
                                             MeasSubframePattern-r10
   }
                                                                     OPTIONAL
                                                                                 -- Need ON
CQI-ReportConfig-v1130 ::= SEQUENCE {
   cqi-ReportPeriodic-v1130
                                      CQI-ReportPeriodic-v1130,
   cqi-ReportBoth-r11
                                      CQI-ReportBoth-r11
CQI-ReportConfig-v1250 ::=
                             SEQUENCE {
                                      CHOICE {
   csi-SubframePatternConfig-r12
                                      NULL,
       release
       setup
                                      SEQUENCE {
                                           BIT STRING (SIZE (10))
           csi-MeasSubframeSets-r12
                                         OPTIONAL, -- Need ON CQI-ReportBoth-v1250 OPTIONAL, -- Need ON
    cqi-ReportBoth-v1250
   cqi-ReportAperiodic-v1250 CQI-ReportAperiodic-v1250 OPTIONAL, -- Need ON
   altCQI-Table-r12
                              ENUMERATED {
```

```
allSubframes, csi-SubframeSet1,
                                        csi-SubframeSet2, spare1}
                                                                           OPTIONAL
                                                                                              -- Need OP
}
CQI-ReportConfig-v1310 ::=
                                        SEQUENCE {
                                       CQI-ReportBoth-v1310
                                                                               OPTIONAL,
       cqi-ReportBoth-v1310
                                                                                             -- Need ON
                                                CQI-ReportAperiodic-v1310 OPTIONAL, -- Need ON CQI-ReportPeriodic-v1310 OPTIONAL -- Need ON
        cqi-ReportAperiodic-v1310
                                                CQI-ReportPeriodic-v1310
        cgi-ReportPeriodic-v1310
}
CQI-ReportConfig-v1320 ::= SEQUENCE {
    cqi-ReportPeriodic-v1320 CQI
                                                CQI-ReportPeriodic-v1320 OPTIONAL -- Need ON
CQI-ReportConfig-v1430 ::=
                                       SEQUENCE {
       cqi-ReportAperiodicHybrid-r14 CQI-ReportAperiodicHybrid-r14 OPTIONAL
                                                                                                 -- Need ON
CQI-ReportConfig-v1530 ::=
                                  SEQUENCE {
    altCQI-Table-1024QAM-r15
                                   ENUMERATED {
                                           allSubframes, csi-SubframeSet1,
                                                                              OPTIONAL
                                            csi-SubframeSet2, spare1}
                                                                                                  -- Need OP
                              CHOICE {
CQI-ReportConfig-r15 ::=
                              NULL,
    release
                               SEQUENCE {
                                    CQI-ReportConfig-r10 OPTIONAL,
CQI-ReportConfig-v1130 OPTIONAL,
CQI-ReportConfig-v1250 OPTIONAL,
CQI-ReportConfig-v1310 OPTIONAL,
CQI-ReportConfig-v1320 OPTIONAL,
CQI-ReportConfig-v1430 OPTIONAL,
         cqi-ReportConfig-r10
         cqi-ReportConfig-v1130
                                                                                                 -- Need ON
         cqi-ReportConfigPCell-v1250 CQI-ReportConfig-v1250
                                                                                                  -- Need ON
                                                                                                  -- Need ON
         cqi-ReportConfig-v1310
         cqi-ReportConfig-v1320
                                                                                                  -- Need ON
        cqi-ReportConfig-v1430 CQI-ReportConfig-v1430 OPTIONAL, altCQI-Table-1024QAM-r15 ENUMERATED {allSubframes, csi-SubframeSet1,
                                                                                                  -- Need ON
                                           csi-SubframeSet2, spare1}
                                                                              OPTIONAL
                                                                                                 -- Need OP
}
CQI-ReportConfigSCell-r10 ::=
                                               SEQUENCE {
                                           CQI-ReportModeAperiodic OPTIONAL,
    cqi-ReportModeAperiodic-r10
                                                                                            -- Need OR
    nomPDSCH-RS-EPRE-Offset-r10
                                                INTEGER (-1..6),
                                           INTEGER (-1..6),

CQI-ReportPeriodic-r10 OPTIONAL, -- Need ON
ENUMERATED {setup} OPTIONAL -- Cond
    cqi-ReportPeriodicSCell-r10
    pmi-RI-Report-r10
PMIRISCell
}
CQI-ReportConfigSCell-r15 ::=
                                            SEQUENCE {
    cqi-ReportPeriodicSCell-r15
altCOI-Table-102402
                                            CQI-ReportPeriodicSCell-r15 OPTIONAL, -- Need ON
    altCQI-Table-1024QAM-r15
                                                 ENUMERATED {allSubframes, csi-SubframeSet1,
                                                csi-SubframeSet2, spare1} OPTIONAL
                                                                                                       -- Need
OP
-- ASN1STOP
```

## CQI-ReportConfig field descriptions

### altCQI-Table, altCQI-Table-1024QAM

Indicates the applicability of the alternative CQI table (i.e. Table 7.2.3-2 and Table 7.2.3-4 in TS 36.213 [23]) for both aperiodic and periodic CSI reporting for the concerned serving cell. Value *allSubframes* means the alternative CQI table applies to all the subframes and CSI processes, if configured, and value *csi-SubframeSet1* means the alternative CQI table applies to CSI subframe set1, and value *csi-SubframeSet2* means the alternative CQI table applies to CSI subframe set2. EUTRAN sets the value to *csi-SubframeSet1* or *csi-SubframeSet2* only if *transmissionMode* is set in range *tm1* to *tm9* and *csi-SubframePatternConfig-r10* is configured for the concerned serving cell and different CQI tables apply to the two CSI subframe sets; otherwise EUTRAN sets the value to *allSubframes*. EUTRAN does not configure *altCQI-Table-r12* in *CQI-ReportConfig-v1250* and *altCQI-Table-1024QAM-r15* in *CQI-ReportConfig-v1530* or in *CQI-ReportConfigSCell-r15* in the same serving cell simultaneously. If *altCQI-Table-r12* and *altCQI-Table-1024QAM-r15* are absent, the UE shall use Table 7.2.3-1 in TS 36.213 [23] for all subframes and CSI processes, if configured.

## cqi-Mask

Limits CQI/PMI/PTI/RI reports to the on-duration period of the DRX cycle, see TS 36.321 [6]. One value applies for all CSI processes and all serving cells (the associated functionality is common i.e. not performed independently for each cell).

## cqi-ReportAperiodic

E-UTRAN does not configure *CQI-ReportAperiodic* when transmission mode 10 is configured for all serving cells. E-UTRAN configures *cqi-ReportAperiodic-v1250* only if *cqi-ReportAperiodic-r10* and *csi-MeasSubframeSets-r12* are configured. E-UTRAN configures *cqi-ReportAperiodic-v1310* only if *cqi-ReportAperiodic-r10* is configured.

# cqi-ReportModeAperiodic

Parameter: reporting mode. Value rm12 corresponds to Mode 1-2, rm20 corresponds to Mode 2-0, rm22 corresponds to Mode 2-2 etc. PUSCH reporting modes are described in TS 36.213 [23], clause 7.2.1. The UE shall ignore *cqi-ReportModeAperiodic-r10* when transmission mode 10 is configured for the serving cell on this carrier frequency. The UE shall ignore *cqi-ReportModeAperiodic-r10* configured for the PCell/ PSCell when the transmission bandwidth of the PCell/PSCell in downlink is 6 resource blocks.

### cgi-ReportPeriodic

E-UTRAN does not configure CQI-ReportPeriodic for sTTI within CQI-ReportConfig.

#### csi-MeasSubframeSets

Indicates the two CSI subframe sets. Value 0 means the subframe belongs to CSI subframe set 1 and value 1 means the subframe belongs to CSI subframe set 2. CSI subframe set 1 refers to  $C_{CSI,0}$  in TS 36.213 [23], clause 7.2, and CSI subframe set 2 refers to  $C_{CSI,1}$  in TS 36.213 [23], clause 7.2. EUTRAN does not configure csi-MeasSubframeSet1-r10 and csi-MeasSubframeSet2-r10 if either csi-MeasSubframeSets-r12 for PCell or eimta-MainConfigPCell-r12 is configured.

# csi-MeasSubframeSet1, csi-MeasSubframeSet2

Indicates the CSI measurement subframe sets. csi-MeasSubframeSet1 refers to  $C_{CSI,0}$  in TS 36.213 [23], clause 7.2 and csi-MeasSubframeSet2 refers to  $C_{CSI,1}$  in TS 36.213 [23], clause 7.2. E-UTRAN only configures the two CSI measurement subframe sets for the PCell.

## nomPDSCH-RS-EPRE-Offset

Parameter:  $\Delta_{offset}$  see TS 36.213 [23], clause 7.2.3. Actual value = field value \* 2 [dB].

# pmi-RI-Report

See TS 36.213 [23], clause 7.2. The presence of this field means PMI/RI reporting is configured; otherwise the PMI/RI reporting is not configured. EUTRAN configures this field only when *transmissionMode* is set to *tm8*, *tm9* or *tm10*. The UE shall ignore *pmi-RI-Report-r9*/ *pmi-RI-Report-r10* when transmission mode 10 is configured for the serving cell on this carrier frequency.

Conditional presence	Explanation
cqi-Setup	This field is not present for an Scell except for the PSCell, while it is conditionally present for the PCell and the PSCell according to the following. The field is optional present, need OR, if the <i>cqi-ReportPeriodic</i> in the <i>cqi-ReportConfig</i> is set to <i>setup</i> . If the field <i>cqi-ReportPeriodic</i> is present and set to <i>release</i> , the field is not present and the UE shall delete any existing value for this field. Otherwise the field is not present.
PMIRI	The field is optional present, need OR, if <i>cqi-ReportPeriodic</i> is included and set to <i>setup</i> , or <i>cqi-ReportModeAperiodic</i> is included. If the field <i>cqi-ReportPeriodic</i> is present and set to <i>release</i> and <i>cqi-ReportModeAperiodic</i> is absent, the field is not present and the UE shall delete any existing value for this field. Otherwise the field is not present.
PMIRIPCell	The field is optional present, need OR, if cqi-ReportPeriodic is included in the CQI-ReportConfig-r10 and set to setup, or cqi-ReportAperiodic is included in the CQI-ReportConfig-r10 and set to setup. If the field cqi-ReportPeriodic is present in the CQI-ReportConfig-r10 and set to release and cqi-ReportAperiodic is included in the CQI-ReportConfig-r10 and set to release, the field is not present and the UE shall delete any existing value for this field. Otherwise the field is not present.
PMIRISCell	The field is optional present, need OR, if cqi-ReportPeriodicSCell is included and set to setup, or cqi-ReportModeAperiodic-r10 is included in the CQI-ReportConfigSCell. If the field cqi-ReportPeriodicSCell is present and set to release and cqi-ReportModeAperiodic-r10 is absent in the CQI-ReportConfigSCell, the field is not present and the UE shall delete any existing value for this field. Otherwise the field is not present.

# CQI-ReportPeriodic

The IE CQI-ReportPeriodic is used to specify the periodic CQI reporting configuration elements.

# CQI-ReportPeriodic information elements

```
-- ASN1START
                              CHOICE {
CQI-ReportPeriodic ::=
                                       NULL,
    release
    setup
                                       SEQUENCE {
        cqi-PUCCH-ResourceIndex INTEGER (0..1185),
        cqi-pmi-ConfigIndex
                                               INTEGER (0..1023),
        cqi-FormatIndicatorPeriodic
                                               CHOICE {
                                                   NULL,
            widebandCQI
                                                    SEQUENCE {
             subbandCQI
                 k
                                                        INTEGER (1..4)
        ri-ConfigIndex
                                               INTEGER (0..1023) OPTIONAL,
                                                                                               -- Need OR
        simultaneousAckNackAndCQI
                                               BOOLEAN
CQI-ReportPeriodic-r10 ::=
                                 CHOICE {
                                           NULL,
                                          SEQUENCE {
    setup
        cqi-PUCCH-ResourceIndex-r10
        cqi-PUCCH-ResourceIndex-r10 INTEGER (0..1184), cqi-PUCCH-ResourceIndexP1-r10 INTEGER (0..1184) cqi-pmi-ConfigIndex INTEGER (0..1023),
                                                                                OPTIONAL,
                                                                                               -- Need OR
        cqi-FormatIndicatorPeriodic-r10 CHOICE {
            widebandCOI-r10
                                                   SEQUENCE {
                 ebandCQI-r10 SEQUENCE {
csi-ReportMode-r10 ENUMERATED {submode1, submode2} OPTIONAL
                                                                                               -- Need OR
                                                    SEQUENCE {
             subbandCQI-r10
                                                    INTEGER (1..4),
                k
                 periodicityFactor-r10
                                                        ENUMERATED {n2, n4}
        ri-ConfigIndex
                                          INTEGER (0..1023)
                                                                    OPTIONAL,
                                                                                               -- Need OR
        simultaneousAckNackAndCQI
cqi-Mask-r9
csi-ConfigIndex-r10
                                          BOOLEAN,
                                           ENUMERATED {setup}
                                                                     OPTIONAL,
                                                                                               -- Need OR
                                          CHOICE {
            release
                                               NULL,
                                               SEQUENCE {
             setup
                                             INTEGER (0..1023),
                 cqi-pmi-ConfigIndex2-r10
                 ri-ConfigIndex2-r10
                                                   INTEGER (0..1023)
                                                                              OPTIONAL
                                                                                               -- Need OR
                 OPTIONAL
                                                                                                -- Need ON
```

```
}
CQI-ReportPeriodic-v1130 ::=
                                                      SEQUENCE {
       simultaneousAckNackAndCQI-Format3-r11
                                                                                     ENUMERATED {setup}
                                                                                                                              OPTIONAL,
                                                                                                                                                      -- Need OR
       cqi-ReportPeriodicProcExtToReleaseList-r11 CQI-ReportPeriodicProcExtToReleaseList-r11
       OPTIONAL,
                           -- Need ON
       cqi-ReportPeriodicProcExtToAddModList-r11 CQI-ReportPeriodicProcExtToAddModList-r11 OPTIONAL
       -- Need ON
\verb|simultaneousAckNackAndCQI-Format4-Format5-r13| & \verb|ENUMERATED| { setup } \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  OR \\ | OPTIONAL-- \ \  Need \ \  Ne
CQI-ReportPeriodicSCell-r15 ::= CHOICE {
       release
                                                                        NULL,
                                                                       SEQUENCE {
              cgi-pmi-ConfigIndexDormant-r15
                                                                             INTEGER (0..1023),
                                                                              INTEGER (0..1023)
              ri-ConfigIndexDormant-r15
                                                                                                                      OPTIONAL,
                                                                                                                                                  -- Need OR
              csi-SubframePatternDormant-r15
                                                                              CHOICE {
                     release
                                                                            NULL,
                                                                              SEQUENCE {
                            CSi-MeasSubframeSet1-r15 MeasSubframePattern-r10, CSi-MeasSubframeSet2-r15 MeasSubframePattern-r10
                            csi-MeasSubframeSet1-r15
                                                                                                                                 OPTIONAL, -- Need ON
              cqi-FormatIndicatorDormant-r15 CHOICE {
                                                                        SEQUENCE {
                     widebandCOI-r15

      lebandCQI-r15
      SEQUENCE {

      csi-ReportMode-r15
      ENUMERATED {submode1, submode2} OPTIONAL -- Need OR

                                                     SEQUENCE {
                     subbandCQI-r15
                            periodicityFactor-r15 INTEGER (1..4),
                           k-r15
                                                                              ENUMERATED {n2, n4}
              }
                                                                                                                          OPTIONAL
                                                                                                                                                    -- Need OR
       }
}
CQI-ReportPeriodicProcExtToAddModList-r11 ::= SEQUENCE (SIZE (1..maxCQI-ProcExt-r11)) OF CQI-
ReportPeriodicProcExt-r11
CQI-ReportPeriodicProcExtToReleaseList-r11 ::= SEQUENCE (SIZE (1..maxCQI-ProcExt-r11)) OF CQI-
ReportPeriodicProcExtId-r11
                                                                      SEQUENCE {
COI-ReportPeriodicProcExt-r11 ::=
       cqi-ReportPeriodicProcExtId-r11 CQI-ReportPeriodicProcExtId-r11,
       cqi-pmi-ConfigIndex-r11 INTEGER (0..1023),
       cqi-FormatIndicatorPeriodic-r11 CHOICE {
                    epandCQI-r11 SEQUENCE {
csi-ReportMode-r11 ENUMER
              widebandCQI-r11
                                                                    ENUMERATED {submode1, submode2} OPTIONAL -- Need OR
              subbandCQI-r11
                                                           SEQUENCE {
                     k INTEGER (1..4), periodicityFactor-rl1 ENUMERATED {n2, n4}
       csi-ConfigIndex-rll
release
                                                              INTEGER (0..1023)
                                                                                                                               OPTIONAL,
                                                                                                                                                        -- Need OR
                                                             CHOICE {
                                                                      NULL.
                                                                      SEQUENCE {
                     cqi-pmi-ConfigIndex2-rl1 INTEGER (0..1023), ri-ConfigIndex2-rl1 INTEGER (0..1023)
                                                                                                                         OPTIONAL
                                                                                                                                                       -- Need OR
                                                                                                                          OPTIONAL,
       }
                                                                                                                                                -- Need ON
       [[ cri-ReportConfig-r13
                                                                      CRI-ReportConfig-r13
                                                                                                                                      OPTIONAL -- Need ON
       11,
                                                                    ENUMERATED {n2, n4}
       [[ periodicityFactorWB-r13
                                                                                                                         OPTIONAL
                                                                                                                                                    -- Need ON
       ]]
CQI-ShortConfigSCell-r15 ::= CHOICE {
```

```
release
                                NULL,
      setup
          subbandCQI-Short-r15 SEQUENCE {
                                  INTEGER (1..4),
             periodicityFactor-r15
                                     ENUMERATED {n2, n4}
                                                         OPTIONAL -- Need OR
   }
}
                             CHOICE {
CRI-ReportConfig-r13 ::=
  release
setup
                             NULL,
SEQUENCE {
      cri-ConfigIndex-r13 SEQUENCE {
    cri-ConfigIndex-r13 CRI-ConfigIndex-r13,
    cri-ConfigIndex2-r13 CRI-ConfigIndex-r13
      cri-ConfigIndex-r13
                                     CRI-ConfigIndex-r13 OPTIONAL -- Need OR
CRI-ConfigIndex-r13 ::=
                     INTEGER (0..1023)
-- ASN1STOP
```

## CQI-ReportPeriodic field descriptions

### cgi-FormatIndicatorPeriodic

Parameter: *PUCCH CQI Feedback Type*, see TS 36.213 [23], table 7.2.2-1. Depending on transmissionMode, reporting mode is implicitly given from the table.

# cgi-Mask

Limits CQI/PMI/PTI/RI reports to the on-duration period of the DRX cycle, see TS 36.321 [6]. One value applies for all CSI processes and all serving cells (the associated functionality is common i.e. not performed independently for each cell)

# cqi-pmi-ConfigIndex

Parameter: *CQI/PMI Periodicity and Offset Configuration Index Icqi/PMI*, see TS 36.213 [23], tables 7.2.2-1A and 7.2.2-1C. If subframe patterns for CSI (CQI/PMI/PTI/RI) reporting are configured (i.e. *csi-SubframePatternConfig* is configured), the parameter applies to the subframe pattern corresponding to *csi-MeasSubframeSet1* or corresponding to the CSI subframe set 1 indicated by *csi-MeasSubframeSets-r12*.

# cqi-pmi-ConfigIndex2

Parameter: CQI/PMI Periodicity and Offset Configuration Index IcquiPMI, see TS 36.213 [23], tables 7.2.2-1A and 7.2.2-1C. The parameter applies to the subframe pattern corresponding to csi-MeasSubframeSet2 or corresponding to the CSI subframe set 2 indicated by csi-MeasSubframeSets-r12.

#### cgi-PUCCH-ResourceIndex, cgi-PUCCH-ResourceIndexP1

Parameter  $n_{\text{PUCCH}}^{(2,p)}$  for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 7.2. E-UTRAN does not apply value 1185. One value applies for all CSI processes.

#### cai-ReportAperiodic

E-UTRAN does not configure *CQI-ReportAperiodic* when transmission mode 10 is configured for all serving cells. E-UTRAN configures *cqi-ReportAperiodic-v1250* only if *cqi-ReportAperiodic-r10* and *csi-MeasSubframeSets-r12* are configured. E-UTRAN configures *cqi-ReportAperiodic-v1310* only if *cqi-ReportAperiodic-r10* is configured.

## cgi-ReportModeAperiodic

Parameter: reporting mode. Value rm12 corresponds to Mode 1-2, rm20 corresponds to Mode 2-0, rm22 corresponds to Mode 2-2 etc. PUSCH reporting modes are described in TS 36.213 [23], clause 7.2.1. The UE shall ignore *cqi-ReportModeAperiodic-r10* when transmission mode 10 is configured for the serving cell on this carrier frequency. The UE shall ignore *cqi-ReportModeAperiodic-r10* configured for the PCell/ PSCell when the transmission bandwidth of the PCell/PSCell in downlink is 6 resource blocks.

# CQI-ReportPeriodicProcExt

A set of periodic CQI related parameters for which E-UTRAN may configure different values for each CSI process. For a serving frequency E-UTRAN configures one or more *CQI-ReportPeriodicProcExt* only when transmission mode 10 is configured for the serving cell on this carrier frequency.

#### cri-ConfigIndex

Parameter: *cri-ConfigIndex Icri*see TS 36.213 [23]. The parameter applies to the subframe pattern corresponding to *csi-MeasSubframeSet1*. EUTRAN configures the field if subframe patterns for CSI (CQI/PMI/PTI/RI/CRI) reporting are configured (i.e. *csi-SubframePatternConfig* is configured).

# cri-ConfigIndex2

Parameter: *cri-ConfigIndex Icri*see TS 36.213 [23]. The parameter applies to the subframe pattern corresponding to *csi-MeasSubframeSet2* or corresponding to the CSI subframe set 2 indicated by *csi-MeasSubframeSets*. E-UTRAN configures *cri-ConfigIndex2* only if *cri-ConfigIndex* is configured.

# cri-ReportConfig

E-UTRAN configures the field only if the UE is configured with *eMIMO-Ty*pe set to "*beamformed*" and if multiple references to RS configuration using non-zero power transmission are configured (i.e. if *csi-RS-ConfigNZPIdListExt* is configured).

# csi-ConfigIndex

E-UTRAN configures csi-ConfigIndex only for PCell and only if csi-SubframePatternConfig is configured. The UE shall release csi-ConfigIndex if csi-SubframePatternConfig is released.

# csi-ProcessToAddModList

For a serving frequency E-UTRAN configures one or more *CSI-Process* only when transmission mode 10 is configured for the serving cell on this carrier frequency.

# csi-ReportMode

Parameter: PUCCH\_format1-1\_CSI\_reporting\_mode, see TS 36.213 [23], clause 7.2.2.

#### Κ

Parameter: K, see TS 36.213 [23], clause 7.2.2.

# nomPDSCH-RS-EPRE-Offset

Parameter:  $\Delta_{offset}$  see TS 36.213 [23], clause 7.2.3. Actual value = field value \* 2 [dB].

# periodicityFactor, periodicityFactorWB

Parameter: H', see TS 36.213 [23], clause 7.2.2. EUTRAN configures field *periodicityFactorWB* only when the UE is configured with *eMIMO-Type* set to *nonPrecoded* and with *cqi-FormatIndicatorPeriodic* set to *widebandCQI*.

# ri-ConfigIndex

Parameter: RI Config Index  $I_{RI}$ , see TS 36.213 [23], clause 7.2.2-1B. If subframe patterns for CSI (CQI/PMI/PTI/RI/CRI) reporting are configured (i.e. csi-SubframePatternConfig is configured), the parameter applies to the subframe pattern corresponding to csi-MeasSubframeSet1.

## CQI-ReportPeriodic field descriptions

### ri-ConfigIndex2

Parameter: RI Config Index I<sub>RI</sub>, see TS 36.213 [23], clause 7.2.2-1B. The parameter applies to the subframe pattern corresponding to *csi-MeasSubframeSet2* or corresponding to the CSI subframe set 2 indicated by *csi-MeasSubframeSets-r12*. E-UTRAN configures *ri-ConfigIndex2* only if *ri-ConfigIndex* is configured.

#### simultaneousAckNackAndCQI

Parameter: Simultaneous-AN-and-CQI, see TS 36.213 [23], clause 10.1. TRUE indicates that simultaneous transmission of ACK/NACK and CQI is allowed. One value applies for all CSI processes. For SCells except for the PSCell and PUCCH SCell this field is not applicable and the UE shall ignore the value.

#### simultaneousAckNackAndCQI-Format3

Indicates that the UE shall perform simultaneous transmission of HARQ A/N and periodic CQI report multiplexing on PUCCH format 3, see TS 36.213 [23], clauses 7.2 and 10.1.1. E-UTRAN configures this information only when *pucch-Format* is set to *format*3. One value applies for all CSI processes. For SCells except for the PSCell and PUCCH SCell this field is not applicable and the UE shall ignore the value.

# simultaneousAckNackAndCQI-Format4-Format5

Indicates that the UE shall perform simultaneous transmission of HARQ A/N and periodic CSI report multiplexing on PUCCH format 4 and format 5, see TS 36.213 [23], clause 10.1.1. E-UTRAN configures this information only when *pucch-Format* is set to *format4* or *format5*. One value applies for all CSI processes. For SCells except for the PSCell and PUCCH SCell this field is not applicable and the UE shall ignore the value.

# CQI-ReportPeriodicProcExtId

The IE *CQI-ReportPeriodicProcExtId* is used to identify a periodic CQI reporting configuration that E-UTRAN may configure in addition to the configuration specified by the IE *CQI-ReportPeriodic-r10*. These additional configurations are specified by the IE *CQI-ReportPeriodicProcExt-r11*. The identity is unique within the scope of a carrier frequency.

## CQI-ReportPeriodicProcExtId information elements

```
-- ASN1START

CQI-ReportPeriodicProcExtId-r11 ::= INTEGER (1..maxCQI-ProcExt-r11)

-- ASN1STOP
```

# CrossCarrierSchedulingConfig

The IE *CrossCarrierSchedulingConfig* is used to specify the configuration when the cross carrier scheduling is used in a cell.

# CrossCarrierSchedulingConfig information elements

```
-- ASN1START
                                           SEQUENCE {
CrossCarrierSchedulingConfig-r10 ::=
                                       CHOICE {
   schedulingCellInfo-r10
                                            SEQUENCE {
                                                                        -- No cross carrier
       own-r10
scheduling
           cif-Presence-r10
                                                    BOOLEAN
       other-r10
                                                SEQUENCE {
                                                                            -- Cross carrier
scheduling
           schedulingCellId-r10
                                                ServCellIndex-r10,
           pdsch-Start-r10
                                                INTEGER (1..4)
   }
                                           SEQUENCE {
CrossCarrierSchedulingConfig-r13 ::=
   schedulingCellInfo-r13
                                       CHOICE {
       own-r13
                                           SEQUENCE {
                                                                        -- No cross carrier
scheduling
           cif-Presence-r13
                                                    BOOLEAN
       other-r13
                                            SEQUENCE {
                                                                        -- Cross carrier scheduling
           schedulingCellId-r13
                                              ServCellIndex-r13,
           pdsch-Start-r13
                                               INTEGER (1..4),
           cif-InSchedulingCell-r13
                                                    INTEGER (1..7)
```

```
}
}
CrossCarrierSchedulingConfigLAA-UL-r14 ::= SEQUENCE {
    schedulingCellId-r14 ServCellIndex-r13,
    cif-InSchedulingCell-r14 INTEGER (1..7)
}
-- ASN1STOP
```

# CrossCarrierSchedulingConfig field descriptions

## cif-Presence

The field is used to indicate whether carrier indicator field is present (value TRUE) or not (value FALSE) in PDCCH/EPDCCH DCI formats, see TS 36.212 [22], clause 5.3.3.1.

#### cif-InSchedulingCell

The field indicates the CIF value used in the scheduling cell to indicate this cell, see TS 36.212 [22], clause 5.3.3.1. In case of carrier indicator field is present, the CIF value is 0.

#### pdsch-Start

The starting OFDM symbol of PDSCH for the concerned SCell, see TS 36.213 [23]. clause 7.1.6.4. Values 1, 2, 3 are applicable when *dl-Bandwidth* for the concerned SCell is greater than 10 resource blocks, values 2, 3, 4 are applicable when *dl-Bandwidth* for the concerned SCell is less than or equal to 10 resource blocks, see TS 36.211 [21], Tables 6 and 7-1.

# schedulingCellId

Indicates which cell signals the downlink allocations and uplink grants, if applicable, for the concerned SCell. In case the UE is configured with DC, the scheduling cell is part of the same cell group (i.e. MCG or SCG) as the scheduled cell. In case the UE is configured with crossCarrierSchedulingConfigLAA-UL, schedulingCollid indicated in crossCarrierSchedulingConfigLAA-UL only indicates which cell signals the uplink grants.

# – CSI-IM-Config

The IE *CSI-IM-Config* is the CSI Interference Measurement (IM) configuration that E-UTRAN may configure on a serving frequency, see TS 36.213 [23], clause 7.2.6.

# CSI-IM-Config information elements

```
-- ASN1START
CSI-IM-Config-r11 ::=
                             SEQUENCE {
    csi-IM-ConfigId-rll CSI-IM-ConfigId-rll, resourceConfig-rll INTEGER (0..31), subframeConfig-rll INTEGER (0..154),
        interferenceMeasRestriction-r13
                                                 BOOLEAN
                                                               OPTIONAL
                                                                             -- Need ON
    11
CSI-IM-ConfigExt-r12 ::=
                                  SEQUENCE {
    csi-IM-ConfigId-v1250
resourceConfig-r12
                                     CSI-IM-ConfigId-v1250,
                                   INTEGER (0..31),
    subframeConfig-r12
                                   INTEGER (0..154),
       interferenceMeasRestriction-r13 BOOLEAN
                                                                   OPTIONAL, -- Need ON
         csi-IM-ConfigId-v1310 CSI-IM-ConfigId-v1310 OPTIONAL
    11
}
-- ASN1STOP
```

# CSI-IM-Config field descriptions

### resourceConfig

Parameter: CSI reference signal configuration, see TS 36.213 [23], clause 7.2.6 and TS 36.211 [21], tables 6.10.5.2-1 and 6.10.5.2-2 for 4 REs.

# subframeConfig

Parameter:  $I_{\rm CSI-RS}$  , see TS 36.213 [23], clause 7.2.6 and TS 36.211 [21], table 6.10.5.3-1.

# – CSI-IM-Configld

The IE *CSI-IM-ConfigId* is used to identify a CSI-IM configuration that is configured by the IE *CSI-IM-Config*. The identity is unique within the scope of a carrier frequency.

## CSI-IM-ConfigId information elements

```
-- ASN1START

CSI-IM-ConfigId-rl1 ::= INTEGER (1..maxCSI-IM-rl1)
CSI-IM-ConfigId-rl2 ::= INTEGER (1..maxCSI-IM-rl2)
CSI-IM-ConfigId-vl250 ::= INTEGER (maxCSI-IM-rl2)
CSI-IM-ConfigId-vl310 ::= INTEGER (minCSI-IM-rl3)
CSI-IM-ConfigId-rl3 ::= INTEGER (1..maxCSI-IM-rl3)
-- ASN1STOP
```

# – CSI-Process

The IE CSI-Process is the CSI process configuration that E-UTRAN may configure on a serving frequency.

#### CSI-Process information elements

```
-- ASN1START
CSI-Process-r11 ::=
                       SEQUENCE {
    csi-ProcessId-r11 CSI-ProcessId-r11, csi-RS-ConfigNZPId-r11 CSI-RS-ConfigNZPId-r11
                                 CSI-RS-ConfigNZPId-r11,
    csi-IM-ConfigId-rl1 CSI-IM-ConfigId-rl1, p-C-AndCBSRList-rl1 P-C-AndCBSR-Pair-rl3a, cqi-ReportBothProc-rl1 CQI-ReportBothProc-rl1
                                                                             OPTIONAL,
                                                                                              -- Need OR
    cqi-ReportPeriodicProcId-r11
                                     INTEGER (0..maxCQI-ProcExt-r11)
                                                                            OPTIONAL,
                                                                                               -- Need OR
    cqi-ReportAperiodicProc-rll CQI-ReportAperiodicProc-rll
                                                                             OPTIONAL,
    [[ alternativeCodebookEnabledFor4TXProc-r12 ENUMERATED {true} OPTIONAL,
                                                                                          -- Need ON
        csi-IM-ConfigIdList-r12
                                      CHOICE {
            release
                                           SEQUENCE (SIZE (1..2)) OF CSI-IM-ConfigId-r12
            setup
                                                                                          -- Need ON
                                                                             OPTIONAL,
        cqi-ReportAperiodicProc2-r12
                                          CHOICE {
                                           NULL,
                                           CQI-ReportAperiodicProc-r11
            setup
                                                                             OPTIONAL
                                                                                          -- Need ON
    ]],
       cqi-ReportAperiodicProc-v1310
                                          CHOICE {
            release
                                               NULL,
                                               COI-ReportAperiodicProc-v1310
            setup
                                                                             OPTIONAL,
                                                                                               -- Need ON
        cqi-ReportAperiodicProc2-v1310 CHOICE {
            release
                                               CQI-ReportAperiodicProc-v1310
            setup
                                                                             OPTIONAL,
                                                                                              -- Need ON
        eMIMO-Type-r13
                                           CSI-RS-ConfigEMIMO-r13
                                                                             OPTIONAL
                                                                                               -- Need ON
    ]],
                             CSI-RS-ConfigEMIMO-v1430
                                                                OPTIONAL,
                                                                                  -- Need ON
                                                                                 OPTIONAL,
        eMIMO-Hybrid-r14
                                           CSI-RS-ConfigEMIMO-Hybrid-r14
                                                                                               -- Need ON
                                                                                               -- Need ON
        advancedCodebookEnabled-r14
                                           BOOLEAN
                                                                             OPTIONAL
        eMIMO-Type-v1480
                                          CSI-RS-ConfigEMIMO-v1480
                                                                             OPTIONAL
                                                                                               -- Need ON
    [ [
       feCOMP-CSI-Enabled-v1530
    [ [
                                          BOOLEAN
                                                                             OPTIONAL,
                                                                                               -- Need ON
        eMIMO-Type-v1530
                                          CSI-RS-ConfigEMIMO-v1530
                                                                             OPTIONAL
                                                                                               -- Need ON
-- ASN1STOP
```

## CSI-Process field descriptions

#### advancedCodebookEnabled

Value TRUE indicates that the UE should use the advanced code book defined in TS 36.213 [23]. EUTRAN does not configure the field when the UE is configured with *eMIMO-Type* is set to *beamformed*, when the UE is configured with *eMIMO-Hybrid* or when the UE is configured with *semiOpenLoop*.

#### alternativeCodebookEnabledFor4TXProc

Indicates whether code book in TS 36.213 [23] Table 7.2.4-0A to Table 7.2.4-0D is being used for deriving CSI feedback and reporting for a CSI process. EUTRAN may configure the field only if the number of CSI-RS ports for non-zero power transmission CSI-RS configuration is 4.

#### cgi-ReportAperiodicProc

If csi-MeasSubframeSets-r12 is configured for the same frequency as the CSI process, cqi-ReportAperiodicProc applies for CSI subframe set 1. If csi-MeasSubframeSet1-r10 or csi-MeasSubframeSet2-r10 are configured for the same frequency as the CSI process, cqi-ReportAperiodicProc applies for CSI subframe set 1 or CSI subframe set 2. Otherwise, cqi-ReportAperiodicProc applies for all subframes. E-UTRAN configures cqi-ReportAperiodicProc-v1310 only if cqi-ReportAperiodicProc-r11 is configured

#### cgi-ReportAperiodicProc2

cqi-ReportAperiodicProc2 is configured only if csi-MeasSubframeSets-r12 is configured for the same frequency as the CSI process. cqi-ReportAperiodicProc2 is for CSI subframe set 2. E-UTRAN shall set cqi-ReportModeAperiodic-r11 in cqi-ReportAperiodicProc2 the same as in cqi-ReportAperiodicProc. E-UTRAN configures cqi-ReportAperiodicProc2-v1310 only if cqi-ReportAperiodicProc2-r12 is configured.

#### cgi-ReportBothProc

Includes CQI configuration parameters applicable for both aperiodic and periodic CSI reporting, for which CSI process specific values may be configured. E-UTRAN configures the field if and only if *cqi-ReportPeriodicProcId* is included and/ or if *cqi-ReportAperiodicProc* is included.

#### cgi-ReportPeriodicProcId

Refers to a periodic CQI reporting configuration that is configured for the same frequency as the CSI process. Value 0 refers to the set of parameters defined by the REL-10 CQI reporting configuration fields, while the other values refer to the additional configurations E-UTRAN assigns by *CQI-ReportPeriodicProcExt-r11* (and as covered by *CQI-ReportPeriodicProcExtId*).

## csi-IM-Configld

Refers to a CSI-IM configuration that is configured for the same frequency as the CSI process. If *csi-IM-Configld-v1250* or *csi-IM-Configld-v1310* is configured, the UE only considers this extension (i.e., UE ignores *csi-IM-Configld-r11* and *csi-IM-Configld-r12*).

## csi-IM-ConfigIdList

Refers to one or two CSI-IM configurations that are configured for the same frequency as the CSI process. *csi-IM-ConfigIdList* can include 2 entries only if *csi-MeasSubframeSets-r12* is configured for the same frequency as the CSI process.

## csi-RS-ConfigNZPId

Refers to a CSI RS configuration using non-zero power transmission that is configured for the same frequency as the CSI process.

# dummy

This field is not used in the specification. If received it shall be ignored by the UE.

#### eMIMO-Type

Parameter: *eMIMO-Type*, see TS 36.213 [23], TS 36.211 [21]. If *eMIMO-Type* is set to *nonPrecoded*, the codebooks used for deriving CSI feedback are in TS 36.213 [23], Table 7.2.4-10 to Table 7.2.4-17. Choice values *nonPrecoded* and *beamformed* correspond to 'CLASS A' and 'CLASS B' respectively, see TS 36.212 [22] and TS 36.213 [23].

# feCOMP-CSI-Enabled

Parameter: FeCoMPCSIEnabled, see TS 36.213 [23], clause 7.1.10. Refers to CSI feedback based on FeCoMP. E-UTRAN only configures the field when the UE is configured with eMIMO-Type-r13 set to beamformed with two NZP CSI-RS resources using the IE CSI-RS-ConfigBeamformed-r13 which contains the two NZP CSI-RS reources configued with csi-RS-ConfigNZPIdListExt-r13.

# p-C-AndCBSRList

The UE shall ignore *p-C-AndCBSRList-r11* if configured with *eMIMO-Type* unless it is set to *beamformed*, *alternativeCodebookEnabledBeamformed* (in *CSI-RS-ConfigBeamformed*) is set to *FALSE* and *csi-RS-ConfigNZPIdListExt* is not configured.

# CSI-ProcessId

The IE *CSI-ProcessId* is used to identify a CSI process that is configured by the IE *CSI-Process*. The identity is unique within the scope of a carrier frequency.

#### CSI-ProcessId information elements

```
-- ASN1START

CSI-ProcessId-r11 ::= INTEGER (1..maxCSI-Proc-r11)
```

-- ASN1STOP

# – CSI-RS-Config

The IE CSI-RS-Config is used to specify the CSI (Channel-State Information) reference signal configuration.

## CSI-RS-Config information elements

```
-- ASN1START
CSI-RS-Config-r10 ::= SEQUENCE {
    csi-RS-r10
                               CHOICE {
                               NULL,
        release
                                    SEQUENCE {
            antennaPortsCount-r10
resourceConfig-r10
subframeConfig-r10
                                             ENUMERATED {an1, an2, an4, an8},
                                            INTEGER (0..31),
                                             INTEGER (0..154),
                                             INTEGER (-8..15)
            p-C-r10
                                                                      OPTIONAL,
                                                                                           -- Need ON
                                                                                           -- Need ON
    zeroTxPowerCSI-RS-r10 ZeroTxPowerCSI-RS-Conf-r12
                                                                     OPTIONAL
}
CSI-RS-Config-v1250 ::= SEQUENCE {
    zeroTxPowerCSI-RS2-r12 ZeroTxl
    zeroTxPowerCSI-RS2-r12 ZeroTxPowerCSI-RS-Conf-r12 OPTIONAL, ds-ZeroTxPowerCSI-RS-r12 CHOICE {
                                                                                           -- Need ON
                               CHOICE {
        release
                                        NULL.
                                         SEQUENCE {
           zeroTxPowerCSI-RS-List-r12 SEQUENCE (SIZE (1..maxDS-ZTP-CSI-RS-r12)) OF ZeroTxPowerCSI-
RS-r12
    }
                                                                  OPTIONAL
                                                                                           -- Need ON
}
-- Need ON
CSI-RS-Config-v1430 ::= SEQUENCE {
    eMIMO-Hybrid-r14
                                                                         OPTIONAL,
OPTIONAL,
                                                                                          -- Need ON
                                         CSI-RS-ConfigEMIMO-v1430
                                                                                          -- Need ON
                                         CSI-RS-ConfigEMIMO-Hybrid-r14
                                                                                     -- Need ON
    advancedCodebookEnabled-r14 BOOLEAN
                                                                          OPTIONAL
}
OPTIONAL
                                                                                       -- Need ON
CSI-RS-Config-v1530 ::= SEQUENCE {
   eMIMO-Type-v1530 CS
                             CSI-RS-ConfigEMIMO-v1530
    eMIMO-Type-v1530
                                                                        OPTIONAL
CSI-RS-Config-r15 ::=
                            CHOICE {
                             NULL,
        csi-RS-Config-r10 CSI-RS-Config-r10 OPTIONAL, --
csi-RS-Config-v1250 CSI-RS-Config-v1250 OPTIONAL, -- Need ON
csi-RS-Config-v1310 CSI-RS-Config-v1310 OPTIONAL, -- Need ON
csi-RS-Config-v1430 CSI-RS-Config-v1430 OPTIONAL -- Need ON
                                                                                       -- Need ON
}
ZeroTxPowerCSI-RS-Conf-r12 ::= CHOICE {
                                         NULL,
        release
                                         ZeroTxPowerCSI-RS-r12
        setup
ZeroTxPowerCSI-RS-r12 ::= SEQUENCE {
    zeroTxPowerSubframeConfigList-r12 BIT STRING (SIZE zeroTxPowerSubframeConfig-r12 INTEGER (0..154)
                                             BIT STRING (SIZE (16)),
-- ASN1STOP
```

## CSI-RS-Config field descriptions

#### advancedCodebookEnabled

Value TRUE indicates that the UE should use the advanced code book defined in TS 36.213 [23]. EUTRAN does not configure the field when the UE is configured with *eMIMO-Type* is set to *beamformed*, when the UE is configured with *eMIMO-Hybrid* or when the UE is configured with *semiOpenLoop*.

#### antennaPortsCount

Parameter represents the number of antenna ports used for transmission of CSI reference signals where value an1 corresponds to 1 antenna port, an2 to 2 antenna ports and so on, see TS 36.211 [21], clause 6.10.5.

## ds-ZeroTxPowerCSI-RS

Parameter for additional zeroTxPowerCSI-RS for a serving cell, concerning the CSI-RS included in discovery signals.

#### dummy

This field is not used in the specification. If received it shall be ignored by the UE.

#### eMIMO-Type

Parameter: *eMIMO-Type*, see TS 36.213 [23], TS 36.211 [21]. If *eMIMO-Type* is set to *nonPrecoded*, the codebooks used for deriving CSI feedback are in TS 36.213 [23], Table 7.2.4-10 to Table 7.2.4-17. Choice values *nonPrecoded* and *beamformed* correspond to '*CLASS B*' respectively, see TS 36.212 [22] and TS 36.213 [23].

#### p-C

Parameter:  $P_c$ , see TS 36.213 [23], clause 7.2.5. The UE shall ignore p-C-r10 if configured with eMIMO-Type unless it is set to beamformed, alternativeCodebookEnabledBeamformed (in CSI-RS-ConfigBeamformed) is set to FALSE and  $csi\text{-}RS\text{-}ConfigNZPIdListExt}$  is not configured.

#### resourceConfig

Parameter: CSI reference signal configuration, see TS 36.211 [21], tables 6.10.5.2-1 and 6.10.5.2-2.

#### subframeConfig

Parameter:  $I_{\rm CSI-RS}$  , see TS 36.211 [21], table 6.10.5.3-1.

#### zeroTxPowerCSI-RS2

Parameter for additional *zeroTxPowerCSI-RS* for a serving cell. E-UTRAN configures the field only if *csi-MeasSubframeSets-r12* and *TM 1 – 9* are configured for the serving cell.

#### zeroTxPowerResourceConfigList

Parameter: ZeroPowerCSI-RS, see TS 36.213 [23], clause 7.2.7.

## zeroTxPowerSubframeConfig

Parameter:  $I_{\text{CSI-RS}}$ , see TS 36.211 [21], table 6.10.5.3-1.

# CSI-RS-ConfigBeamformed

The IE CSI-RS-ConfigBeamformed is used to specify the beamforming configuration of EBF/ FD-MIMO.

## CSI-RS-ConfigBeamformed information elements

```
-- ASN1START
CSI-RS-ConfigBeamformed-r13 ::=
                                       SEQUENCE
   csi-RS-ConfigNZPIdListExt-r13
                                           SEQUENCE (SIZE (1..7)) OF CSI-RS-ConfigNZPId-r13
   OPTIONAL,
               -- Need OR
   csi-IM-ConfigIdList-r13
                                           SEQUENCE (SIZE (1..8)) OF CSI-IM-ConfigId-r13
   OPTIONAL,
              -- Need OR
   p-C-AndCBSR-PerResourceConfigList-r13 SEQUENCE (SIZE (1..8)) OF P-C-AndCBSR-Pair-r13
              -- Need OR
   OPTIONAL,
   ace-For4Tx-PerResourceConfigList-r13
                                           SEQUENCE (SIZE (1..7)) OF BOOLEAN OPTIONAL,
OR
   alternativeCodebookEnabledBeamformed-r13
                                             ENUMERATED {true} OPTIONAL,
                                                                              -- Need OR
   channelMeasRestriction-r13
                                           ENUMERATED {on}
                                                                  OPTIONAL
                                                                              -- Need OR
}
CSI-RS-ConfigBeamformed-r14 ::= SEQUENCE {
   csi-RS-ConfigNZPIdListExt-r14
                                           SEQUENCE (SIZE (1..7)) OF CSI-RS-ConfigNZPId-r13
   OPTIONAL,
              -- Need OR
   csi-IM-ConfigIdList-r14
                                           SEQUENCE (SIZE (1..8)) OF CSI-IM-ConfigId-r13
   OPTIONAL, -- Need OR
   p-C-AndCBSR-PerResourceConfigList-r14 SEQUENCE (SIZE (1..8)) OF P-C-AndCBSR-Pair-r13
   OPTIONAL, -- Need OR
   ace-For4Tx-PerResourceConfigList-r14
                                          SEQUENCE (SIZE (1..7)) OF BOOLEAN OPTIONAL,
OR
                                              ENUMERATED {true} OPTIONAL, -- Need OR MERATED {on} OPTIONAL, -- Need OR
   alternativeCodebookEnabledBeamformed-r14
   channelMeasRestriction-r14 ENUMERATED {on}
   csi-RS-ConfigNZP-ApList-r14
                                           SEQUENCE (SIZE (1..8)) OF CSI-RS-ConfigNZP-r11
                                                                         OPTIONAL, -- Need OR
   nzp-ResourceConfigOriginal-v1430 CSI-RS-Config-NZP-v1430 OPTIONAL, -- Need OR
```

```
csi-RS-NZP-Activation-r14
                                         CSI-RS-ConfigNZP-Activation-r14 OPTIONAL
                                                                                        -- Need
OR
}
CSI-RS-ConfigBeamformed-v1430::=
                                      SEQUENCE {
   csi-RS-ConfigNZP-ApList-r14
                                       SEQUENCE (SIZE (1..8)) OF CSI-RS-ConfigNZP-r11
                                                                        OPTIONAL, -- Need OR
   nzp-ResourceConfigOriginal-v1430 CSI-RS-Config-NZP-v1430 OPTIONAL, -- Need OR
   csi-RS-NZP-Activation-r14
                                          CSI-RS-ConfigNZP-Activation-r14 OPTIONAL
                                                                                        -- Need
OR
CSI-RS-Config-NZP-v1430::=
                            SEQUENCE {
   transmissionComb-r14
                                          NZP-TransmissionComb-r14 OPTIONAL, -- Need OR
   frequencyDensity-r14
                                         NZP-FrequencyDensity-r14 OPTIONAL
                                                                                -- Need OR
CSI-RS-ConfigNZP-Activation-r14::= SEQUENCE {
                                         ENUMERATED {semiPersistent, aperiodic},
   csi-RS-NZP-mode-r14
   activatedResources-r14
                                         INTEGER (0..4)
-- ASN1STOP
```

# CSI-RS-ConfigBeamformed field descriptions

#### ace-For4Tx-PerResourceConfigList

The field indicates the *alternativeCodeBookEnabledFor4TX-r12* per CSI-RS resource. E-UTRAN configures the field only if *csi-RS-ConfigNZPIdListExt* is configured.

#### activatedResources

The number of activated CSI-RS resources, which concerns a subset of the aperiodic CSI-RS resources (for both semi-persistent and aperiodic mode). E-UTRAN configures at most the minimum between *nMaxResource* as configured by *MIMO-UE-ParametersPerTM-r1430* and the number of resources as configured by *csi-RS-ConfigNZP-ApList-r14*.

## alternativeCodebookEnabledBeamformed

The field indicates whether code book in TS 36.213 [23], Table 7.2.4-18 to Table 7.2.4-20, is being used for deriving CSI feedback and reporting for a CSI process. E-UTRAN configures the field only for a process referring to a single RS configuration using non-zero power transmission (i.e a process for which *csi-RS-ConfigNZPIdListExt* is not configured). Field *alternativeCodebookEnabledBeamformed* corresponds to parameter alternativeCodebookEnabledCLASSB\_K1 in TS 36.212 [22] and TS 36.213 [23].

#### csi-IM-ConfigldList

E-UTRAN configures the field *csi-IM-ConfigIdList* only if the IE is included in CSI-Process is configured (i.e. when TM10 is configured for the serving cell).

#### CSI-RS-ConfigBeamformed

If csi-RS-ConfigNZPIdListExt-r13 is configured, E-UTRAN configures the same total number of entries for NZP, csi-IM-ConfigIdList-r13 and p-C-AndCBSR-PerResourceConfigList-r13.

# csi-RS-ConfigNZP-ApList

The field is used to configure NZP configurations for aperiodic or semi-persistent CSI RS reporting for which MAC controls activation. EUTRAN configures this field only when the UE is configured to use 2, 4 or and 8 ports CSI-RS, in which case EUTRAN configures the number of entries to be the same as the number of NZP resource configurations. For all these entries the UE shall ignore field *subframeConfig.* EUTRAN always configures this field together with *csi-RS-NZP-Activation*. Furthermore, for a given process, E-UTRAN does not simultaneously configure the periodic NZP configuration(s) and NZP CSI RS configurations for aperiodic or semi-persistent reporting.

## csi-RS-ConfigNZP-EMIMO

The field is used to configure NZP configurations additional to the one defined by the original NZP configuration as included in CSI-RS-Config/ CSI-Process when using 12 and 16 ports CSI-RS.

# csi-RS-ConfigNZPIdListExt (in CSI-RS-ConfigBeamformed)

Indicates the NZP configuration(s)in addition to the original NZP configuration, as defined by *csi-RS-Config-r10* (TM9) or *csi-RS-ConfigNZPId-r11* (TM10). I.e. extends the size of the NZP configuration list (originally a single entry i.e. list of size 1) using the general principles specified in 5.1.2.

# p-C-AndCBSR-PerResourceConfigList

E-UTRAN does not configure the field *p-C-AndCBSR-PerResourceConfigList* if the UE is configured with *eMIMO-Type* set to *beamformed*, *alternativeCodebookEnabledBeamformed* is set to *FALSE* and *csi-RS-ConfigNZPIdListExt* is not configured.

# - CSI-RS-ConfigEMIMO

The IE *CSI-RS-ConfigEMIMO* is used to specify the CSI (Channel-State Information) reference signal configuration for EBF/ FD-MIMO.

# CSI-RS-ConfigEMIMO information elements

```
-- ASN1START
CSI-RS-ConfigEMIMO-r13 ::= CHOICE {
                                CHOICE {
    setup
        nonPrecoded-r13
                                    CSI-RS-ConfigNonPrecoded-r13,
        beamformed-r13
                                    CSI-RS-ConfigBeamformed-r13
}
CSI-RS-ConfigEMIMO-v1430 ::=
                                CHOICE {
    release
                                NULL,
                                CHOICE {
       nonPrecoded-v1430
                                        CSI-RS-ConfigNonPrecoded-v1430,
                                        {\tt CSI-RS-ConfigBeamformed-v1430}
       beamformed-v1430
CSI-RS-ConfigEMIMO-v1480 ::=
                                CHOICE {
   release
                                NULL,
                                CHOICE {
        nonPrecoded-v1480
                                        CSI-RS-ConfigNonPrecoded-v1480,
       beamformed-v1480
                                        CSI-RS-ConfigBeamformed-v1430
CSI-RS-ConfigEMIMO-v1530 ::=
                                CHOICE {
                                NULL.
   release
                                CHOICE {
    setup
        nonPrecoded-v1530
                                        CSI-RS-ConfigNonPrecoded-v1530
CSI-RS-ConfigEMIMO2-r14 ::= CHOICE {
                                CSI-RS-ConfigBeamformed-r14
    setup
CSI-RS-ConfigEMIMO-Hybrid-r14 ::= CHOICE {
   release
                               NULL,
                                SEQUENCE {
    setup
       periodicityOffsetIndex-r14
                                            INTEGER (0..1023)
                                                                            OPTIONAL,
                                                                                        -- Need OR
                                            INTEGER (0..1023) OPTIO
CSI-RS-ConfigEMIMO2-r14 OPTIONAL
        eMIMO-Type2-r14
                                                                                    -- Need ON
-- ASN1STOP
```

# CSI-RS-ConfigEMIMO field descriptions

# periodicityOffsetIndex

This parameter is associated with the first EMIMO configuration of the hybrid eMIMO configuration.

# CSI-RS-ConfigNonPrecoded

The IE CSI-RS-ConfigNonPrecoded is used to specify the non-precoded EBF/FD-MIMO configuration.

```
-- ASN1START
CSI-RS-ConfigNonPrecoded-r13 ::=
                                        SEQUENCE {
   p-C-AndCBSRList-r13
                                            P-C-AndCBSR-Pair-r13
                                                                             OPTIONAL,
   codebookConfigN1-r13
                                            ENUMERATED {n1, n2, n3, n4, n8},
                                            ENUMERATED {n1, n2, n3, n4, n8}, ENUMERATED {n4, n8}
   codebookConfigN2-r13
   codebookOverSamplingRateConfig-01-r13
                                                                             OPTIONAL,
                                                                                         -- Need OR
   codebookOverSamplingRateConfig-O2-r13 ENUMERATED {n4, n8}
                                                                             OPTIONAL,
                                                                                         -- Need OR
   codebookConfig-r13
                                            INTEGER (1..4),
   csi-IM-ConfigIdList-r13
                                            SEQUENCE (SIZE (1..2)) OF CSI-IM-ConfigId-r13
   OPTIONAL, -- Need OR
   csi-RS-ConfigNZP-EMIMO-r13
                                            CSI-RS-ConfigNZP-EMIMO-r13 OPTIONAL -- Need ON
```

```
}
CSI-RS-ConfigNonPrecoded-v1430::= SEQUENCE {
  csi-RS-ConfigNZP-EMIMO-v1430
  codebookConfigN1-v1430
  codebookConfigN2-v1430
  ENUMERATED {n5, n6, n7, n10, n2, n6, n7}
                                                                                  OPTIONAL,
                                                                                                 -- Need ON
                                                ENUMERATED {n5, n6, n7, n10, n12, n14, n16},
                                                ENUMERATED {n5, n6, n7},
    codebookConfigN2-v1430
    nzp-ResourceConfigTM9-Original-v1430 CSI-RS-Config-NZP-v1430
CSI-RS-ConfigNonPrecoded-v1480::=
                                            SEQUENCE {
                                            CSI-RS-ConfigNZP-EMIMO-v1430
    csi-RS-ConfigNZP-EMIMO-v1480
                                                                                   OPTIONAL,
                                                                                                 -- Need ON
    codebookConfiqN1-v1480
                                                ENUMERATED {n5, n6, n7, n10, n12, n14, n16}
    OPTIONAL,
                -- Need OR
    codebookConfigN2-r1480
                                                ENUMERATED {n5, n6, n7}
                                                                                    OPTIONAL,
                                                                                                -- Need OR
    nzp-ResourceConfigTM9-Original-v1480
                                                CSI-RS-Config-NZP-v1430
}
CSI-RS-ConfigNonPrecoded-v1530 ::=
                                                SEQUENCE {
    p-C-AndCBSRList-r15
                                                P-C-AndCBSR-Pair-r15
                                                                                    OPTIONAL
                                                                                                 -- Need OR
-- ASN1STOP
```

#### CSI-RS-ConfigNonPrecoded field descriptions

#### codebookConfig

Indicates a sub-set of the codebook entry, see TS 36.213 [23].

#### codebookConfigNx

Indicates the number of antenna ports per polarization in dimension x as used for transmission of CSI reference signals. Value n1 corresponds to 1, value n2 corresponds to 2 and so on, see TS 36.213 [23]. E-UTRAN configures the field in accordance with the restrictions as specified in TS 36.213 [23]. If codebookConfigNx in CSI-RS-ConfigNonPrecoded-v1480 is configured, the UE shall ignore the field codebookConfigNx in CSI-RS-ConfigNonPrecoded-r13.

# codebookOverSamplingRateConfig-Ox

Indicates the spatial over-sampling rate in dimension x as used for transmission of CSI reference signals. Value n4 corresponds to 4 and value n8 corresponds to 8, see TS 36.213 [23].

## csi-IM-ConfigId(List)

E-UTRAN configures the field *csi-IM-ConfigIdList* only if the IE is included in CSI-Process is configured (i.e. when TM10 is configured for the serving cell).

## csi-RS-ConfigNZP-EMIMO

The field is used to configure NZP configurations additional to the one defined by the original NZP configuration as included in *CSI-RS-Config/ CSI-Process* when using more than 8 ports CSI-RS as defined in TS 36.211 [21], table 6.10.5-1.

# - CSI-RS-ConfigNZP

The IE *CSI-RS-ConfigNZP* is the CSI-RS resource configuration using non-zero power transmission that E-UTRAN may configure on a serving frequency.

# CSI-RS-ConfigNZP information elements

```
-- ASN1START
CSI-RS-ConfigNZP-r11 ::=
                               SEOUENCE {
                               CSI-RS-ConfigNZPId-r11,
   csi-RS-ConfigNZPId-r11
   antennaPortsCount-r11
resourceConfig-r11
subframeConfig-r11
                                   ENUMERATED {an1, an2, an4, an8},
                                   INTEGER (0..31)
                                  INTEGER (0..154),
   scramblingIdentity-rll INTEGER (0..503),
   qcl-CRS-Info-r11
                                   SEQUENCE {
       qcl-ScramblingIdentity-rll INTEGER (0..503),
       crs-PortsCount-r11
                                       ENUMERATED {n1, n2, n4, spare1},
       mbsfn-SubframeConfigList-r11
                                       CHOICE {
               release
                                           NULL.
                                           SEQUENCE {
                   subframeConfigList
                                               MBSFN-SubframeConfigList
                                                                       OPTIONAL
                                                                                    -- Need ON
                                                                       OPTIONAL,
                                                                                    -- Need OR
   [[ csi-RS-ConfigNZPId-v1310 CSI-RS-ConfigNZPId-v1310 OPTIONAL
                                                                                   -- Need ON
```

```
transmissionComb-r14
                                       NZP-TransmissionComb-r14
                                                                      OPTIONAL,
                                                                                   -- Need OR
   [ [
                                      NZP-FrequencyDensity-r14
       frequencyDensity-r14
                                                                                  -- Need OR
                                                                      OPTIONAL
   1],
       mbsfn-SubframeConfigList-v1430 CHOICE {
                                   NULL,
               release
               setup
                                          SEQUENCE {
                   subframeConfigList-v1430
                                              MBSFN-SubframeConfigList-v1430
                                                                      OPTIONAL
                                                                                  -- Need OP
   ]]
}
CSI-RS-ConfigNZP-EMIMO-r13 ::= CHOICE {
   release
                               NULL,
   setup
                              SEOUENCE {
                                    SEQUENCE (SIZE (1..2)) OF NZP-ResourceConfig-r13,
       nzp-resourceConfigList-r13
       cdmType-r13
                                       ENUMERATED {cdm2, cdm4} OPTIONAL -- Need OR
}
CSI-RS-ConfigNZP-EMIMO-v1430 ::= SEQUENCE {
    -- All extensions are for Non-Precoded so could be grouped by setup/ release choice
   nzp-resourceConfigListExt-r14 SEQUENCE (SIZE (0..4)) OF NZP-ResourceConfig-r13,
                                  ENUMERATED {cdm8 }
                                                              OPTIONAL -- Need OR
   cdmTvpe-v1430
}
NZP-ResourceConfig-r13 ::= SEQUENCE {
                           ResourceConfig-r13,
   resourceConfig-r13
       transmissionComb-r14 NZP-TransmissionComb-r14 frequencyDensity-r14 NZP-FrequencyDensity-r14
                                                                  OPTIONAL,
                                                                              -- Need OR
                                                                              -- Need OR
                                                                  OPTIONAL
}
ResourceConfig-r13 ::=
                                   INTEGER (0..31)
NZP-TransmissionComb-r14 ::=
                                      INTEGER (0..2)
NZP-FrequencyDensity-r14 ::=
                                      ENUMERATED {d1, d2, d3}
-- ASN1STOP
```

## CSI-RS-ConfigNZP field descriptions

## antennaPortsCount

Parameter represents the number of antenna ports used for transmission of CSI reference signals where an1 corresponds to 1, an2 to 2 antenna ports etc. see TS 36.211 [21], clause 6.10.5.

#### cdmTvpe

Parameter: CDMType, see TS 36.211 [21], clause 6.10.5.2.

#### csi-RS-ConfigNZPId

Refers to a CSI RS configuration using non-zero power transmission that is configured for the same frequency as the CSI process. UE shall ignore CSI-RS-ConfigNZPId-r11 if CSI-RS-ConfigNZPId-v1310 is signalled.

#### frequencyDensity

Indicates the frequency-domain density reduction. E-UTRAN configures the values in accordance with the restrictions specified in TS 36.213 [23].

## mbsfn-SubframeConfigList

Indicates the MBSFN configuration for the CSI-RS resources. If qcl-CRS-Info-r11 is absent, the field is released.

# nzp-resourceConfigList

Indicate a list of non-zero power transmission CSI-RS resources using parameter resourceConfig.

## qcI-CRS-Info

Indicates CRS antenna ports that is quasi co-located with the CSI-RS antenna ports, see TS 36.213 [23], clause 7.2.5. EUTRAN configures this field if and only if the UE is configured with *qcl-Operation* set to *typeB*.

# resourceConfig

Parameter: CSI reference signal configuration, see TS 36.211 [21], table 6.10.5.2-1 and 6.10.5.2-2.

#### subframeConfig

Parameter:  $I_{\rm CSI-RS}$ , see TS 36.211 [21], table 6.10.5.3-1.

## scramblingIdentity

Parameter: Pseudo-random sequence generator parameter,  $n_{\mathrm{ID}}$  , see TS 36.213 [23], clause 7.2.5.

#### transmissionComb

Indicates the transmission combining offset. E-UTRAN configures the values in accordance with the restrictions specified in TS 36.213 [23].

# – CSI-RS-ConfigNZPId

The IE *CSI-RS-ConfigNZPId* is used to identify a CSI-RS resource configuration using non-zero transmission power, as configured by the IE *CSI-RS-ConfigNZP*. The identity is unique within the scope of a carrier frequency.

# CSI-RS-ConfigNZPId information elements

```
-- ASN1START

CSI-RS-ConfigNZPId-r11 ::= INTEGER (1..maxCSI-RS-NZP-r11)
CSI-RS-ConfigNZPId-v1310 ::= INTEGER (minCSI-RS-NZP-r13..maxCSI-RS-NZP-r13)
CSI-RS-ConfigNZPId-r13 ::= INTEGER (1..maxCSI-RS-NZP-r13)
-- ASN1STOP
```

# – CSI-RS-ConfigZP

The IE *CSI-RS-ConfigZP* is the CSI-RS resource configuration, for which UE assumes zero transmission power, that E-UTRAN may configure on a serving frequency.

# CSI-RS-ConfigZP information elements

# CSI-RS-ConfigZP field descriptions

#### CSI-RS-ConfigZP-ApList

Indicates the aperiodic zero power CSI-RS present in a given subframe. See 36.213 [23], Table 7.1.9-2. First entry in the list corresponds to aperiodic trigger 00, second entry in the list corresponds to aperiodic trigger 01 and so on.

# resourceConfigList

Parameter: ZeroPowerCSI-RS, see TS 36.213 [23], clause 7.2.7.

#### subframeConfig

Parameter:  $I_{\rm CSI-RS}$ , see TS 36.211 [21], table 6.10.5.3-1.

# – CSI-RS-ConfigZPId

The IE *CSI-RS-ConfigZPId* is used to identify a CSI-RS resource configuration for which UE assumes zero transmission power, as configured by the IE *CSI-RS-ConfigZP*. The identity is unique within the scope of a carrier frequency.

# CSI-RS-ConfigZPId information elements

```
-- ASN1START

CSI-RS-ConfigZPId-r11 ::= INTEGER (1..maxCSI-RS-ZP-r11)

-- ASN1STOP
```

# DataInactivityTimer

The IE *DataInactivityTimer* is used to control Data inactivity operation. Corresponds to the timer for data inactivity monitoring in TS 36.321 [6]. Value s1 corresponds to 1 second, s2 corresponds to 2 seconds and so on.

## DataInactivityTimer information element

# – DMRS-Config

The IE DMRS-Config is the DMRS configuration that E-UTRAN may configure on a serving frequency.

# **DMRS-Config** information elements

```
DMRS-Config field descriptions

scramblingIdentity, scramblingIdentity2

Parameter: n_{\text{ID}}^{\text{DMRS},i}, see TS 36.211 [21], clause 6.10.3.1.

dmrs-tableAlt

The field indicates whether to use an alternative table for DMRS upon PDSCH transmission, see TS 36.213 [23].
```

# – DRB-Identity

The IE DRB-Identity is used to identify a DRB used by a UE.

# DRB-Identity information elements

```
-- ASN1START

DRB-Identity ::= INTEGER (1..32)

-- ASN1STOP
```

# EPDCCH-Config

The IE EPDCCH-Config specifies the subframes and resource blocks for EPDCCH monitoring that E-UTRAN may configure for a serving cell.

# **EPDCCH-Config** information element

```
-- ASN1START
```

```
EPDCCH-Config-r11 ::=
    config-r11 CHOICE {
         release
                                          NULL,
                                          SEOUENCE {
         setup
              subframePatternConfig-r11 CHOICE {
                                                NULL,
                  release
                  setup
                                                   SEQUENCE {
                       subframePattern-r11
                                                       MeasSubframePattern-r10
                                                                                        OPTIONAL, -- Need ON
             startSymbol-r11 INTEGER (1..4) OPTIONAL, -- Need OP setConfigToReleaseList-r11 EPDCCH-SetConfigToAddModList-r11 OPTIONAL, -- Need ON setConfigToAddModList-r11 EPDCCH-SetConfigToAddModList-r11 OPTIONAL -- Need ON
    }
}
EPDCCH-SetConfigToAddModList-r11 ::= SEQUENCE (SIZE(1..maxEPDCCH-Set-r11)) OF EPDCCH-SetConfig-r11
EPDCCH-SetConfigToReleaseList-r11 ::= SEQUENCE (SIZE(1..maxEPDCCH-Set-r11)) OF EPDCCH-SetConfigId-
r11
EPDCCH-SetConfig-r11 ::=
                                     SEQUENCE {
                                        EPDCCH-SetConfigId-r11,
    setConfigId-r11
                                          ENUMERATED {localised, distributed},
    transmissionType-r11
    resourceBlockAssignment-r11 SEQUENCE {
         numberPRB-Pairs-rl1 ENUMERATED {n2, n4, n8}, resourceBlockAssignment-rl1 BIT STRING (SIZE(4..38))
        numberPRB-Pairs-r11
    dmrs-ScramblingSequenceInt-rl1 INTEGER (0..503),
pucch-ResourceStartOffset-rl1 INTEGER (0..2047),
re-MappingQCL-ConfigId-rl1 PDSCH-RE-MappingQCL-ConfigId-rl1 OPTIONAL, -- Need OR
    [[ csi-RS-ConfigZPId2-r12 CHOICE {
             release
                                                   NULL.
              setup
                                                   CSI-RS-ConfigZPId-r11
         }
                                                                               OPTIONAL
                                                                                             -- Need ON
    ]],
    [[ numberPRB-Pairs-v1310
                                              CHOICE {
                                                   NULL,
             release
              setup
                                                   ENUMERATED {n6}
                                                                               OPTIONAL,
                                                                                             -- Need ON
                                              CHOICE {
         mpdcch-config-r13
             release
                                                   NULL,
                                                   SEQUENCE {
                  csi-NumRepetitionCE-r13
                                                       ENUMERATED {sf1, sf2, sf4, sf8, sf16, sf32},
                  mpdcch-pdsch-HoppingConfig-r13 ENUMERATED {on,off},
                  mpdcch-StartSF-UESS-r13
                                                       CHOICE {
                       fdd-r13
                                                            ENUMERATED {v1, v1dot5, v2, v2dot5, v4,
                                                            v5, v8, v10},
ENUMERATED {v1, v2, v4, v5, v8, v10, v20, spare1}
                       tdd-r13
                  mpdcch-NumRepetition-r13
                                                       ENUMERATED {r1, r2, r4, r8, r16,
                                                                      r32, r64, r128, r256},
                  mpdcch-Narrowband-r13
                                                       INTEGER (1..maxAvailNarrowBands-r13)
              }
                                                                               OPTIONAL
         }
                                                                                             -- Need ON
    ]]
}
EPDCCH-SetConfigId-r11 ::= INTEGER (0..1)
-- ASN1STOP
```

## **EPDCCH-Config** field descriptions

#### csi-NumRepetitionCE

Number of subframes for CSI reference resource, see TS 36.213 [23]. Value sf1 corresponds to 1 subframe, sf2 corresponds to 2 subframes and so on.

## csi-RS-ConfigZPId2

Indicates the rate matching parameters in addition to those indicated by *re-MappingQCL-ConfigId*. E-UTRAN configures this field only when tm10 is configured.

#### dmrs-ScramblingSequenceInt

The DMRS scrambling sequence initialization parameter  $n_{{\rm ID},i}^{{\rm EPDCCH}}$  or  $n_{{\rm ID},i}^{{\rm MPDCCH}}$  defined in TS 36.211 [21], clause 6.10.3A.1.

# EPDCCH-SetConfig

Provides EPDCCH configuration set. See TS 36.213 [23], clause 9.1.4. E-UTRAN configures at least one *EPDCCH-SetConfig when EPDCCH-Config* is configured. For BL UEs or UEs in CE, EUTRAN does not configure more than one EPDCCH-SetConfig.

## mpdcch-Narrowband

Parameter: \*\* , see TS 36.211 [21], clause 6.8B.5. Field values (1...maxAvailNarrowBands-r13) correspond to narrowband indices (0...maxAvailNarrowBands-r13-1) as specified in TS 36.211 [21].

# mpdcch-NumRepetition

Maximum numbers of repetitions for UE-SS for MPDCCH, see TS 36.213 [23].

# mpdcch-pdsch-HoppingConfig

Frequency hopping activation/deactivation for unicast MPDCCH/PDSCH, see TS 36.211 [21]. E-UTRAN does not configure the value on if freqHoppingParametersDL is not present in SystemInformationBlockType1.

#### mpdcch-StartSF-UESS

Starting subframe configuration for an MPDCCH UE-specific search space, see TS 36.213 [23]. Value v1 corresponds to 1, value v1dot5 corresponds to 1.5, and so on.

#### numberPRB-Pairs

Indicates the number of physical resource-block pairs used for the EPDCCH set. Value n2 corresponds to 2 physical resource-block pairs; n4 corresponds to 4 physical resource-block pairs and so on. Value n8 is not supported if *dl-Bandwidth* is set to 6 resource blocks. EUTRAN only configures values up to n6 for BL UEs or UEs in CE. Value n6 is only applicable to BL UEs or UEs in CE.

## pucch-ResourceStartOffset

PUCCH format 1a, 1b and 3 resource starting offset for the EPDCCH set. See TS 36.213 [23], clause 10.1.

# re-MappingQCL-Configld

Indicates the starting OFDM symbol, the related rate matching parameters and quasi co-location assumption for EPDCCH when the UE is configured with tm10. This field provides the identity of a configured *PDSCH-RE-MappingQCL-Config.* E-UTRAN configures this field only when tm10 is configured.

#### resourceBlockAssianment

Indicates the index to a specific combination of physical resource-block pair for EPDCCH set. See TS 36.213 [23], clause 9.1.4.4. The size of *resourceBlockAssignment* is specified in TS 36.213 [23], clause 9.1.4.4, and based on *numberPRB-Pairs* and the signalled value of *dl-Bandwidth*. If *numberPRB-Pairs-v1310* field is present, the total number of physical resource-block pairs is 6 and it is composed of one subset of 2 physical resource-block pairs and another subset of 4 physical resource-block pairs, and the *resourceBlockAssignment* field defines the subset of 2 physical resource-block pairs.

# setConfigld

Indicates the identity of the EPDCCH configuration set.

#### startSymbol

Indicates the OFDM starting symbol for any EPDCCH and PDSCH scheduled by EPDCCH on the same cell, see TS 36.213 [23], clause 9.1.4.1. If not present, the UE shall release the configuration and shall derive the starting OFDM symbol of EPDCCH and PDSCH scheduled by EPDCCH from PCFICH. Values 1, 2, and 3 are applicable for *dl-Bandwidth* greater than 10 resource blocks. Values 2, 3, and 4 are applicable otherwise. E-UTRAN does not configure the field for UEs configured with tm10.

## subframePatternConfig

Configures the subframes which the UE shall monitor the UE-specific search space on EPDCCH, except for predefined rules in TS 36.213 [23], clause 9.1.4. If the field is not configured when EPDCCH is configured, the UE shall monitor the UE-specific search space on EPDCCH in all subframes except for pre-defined rules in TS 36.213 [23], clause 9.1.4.

#### transmissionType

Indicates whether distributed or localized EPDCCH transmission mode is used as defined in TS 36.211 [21], clause 6.8A.1.

# EIMTA-MainConfig

The IE *EIMTA-MainConfig* is used to specify the eIMTA-RNTI used for eIMTA and the subframes used for monitoring PDCCH with eIMTA-RNTI. The IE *EIMTA-MainConfigServCell* is used to specify the eIMTA related parameters applicable for the concerned serving cell.

# **EIMTA-MainConfig** information element

```
-- ASN1START
                         CHOICE {
EIMTA-MainConfig-r12 ::=
   release
                                 NULL,
   setup
                                 SEQUENCE {
       eimta-RNTI-r12
                                 C-RNTI,
       eimta-CommandPeriodicity-r12
                                    ENUMERATED {sf10, sf20, sf40, sf80},
       eimta-CommandSubframeSet-r12
                                    BIT STRING (SIZE(10))
}
EIMTA-MainConfigServCell-r12 ::=
                                 CHOICE {
                                    NULL,
   release
                                    SEQUENCE {
       eimta-UL-DL-ConfigIndex-r12
                                        INTEGER (1..5),
       eimta-HARQ-ReferenceConfig-r12
                                        ENUMERATED {sa2, sa4, sa5},
       release
                                                NULL.
              setup
                                                SEOUENCE {
              subframeConfigList-r12
                                               MBSFN-SubframeConfigList
           }
 - ASN1STOP
```

## EIMTA-MainConfig field descriptions

# eimta-CommandPeriodicity

Configures the periodicity to monitor PDCCH with eIMTA-RNTI, see TS 36.213 [23], clause 13.1. Value sf10 corresponds to 10 subframes, sf20 corresponds to 20 subframes and so on.

## eimta-CommandSubframeSet

Configures the subframe(s) to monitor PDCCH with eIMTA-RNTI within the periodicity configured by *eimta-CommandPeriodicity*. The 10 bits correspond to all subframes in the last radio frame within each periodicity. The left most bit is for subframe 0 and so on. Each bit can be of value 0 or 1. The value of 1 means that the corresponding subframe is configured for monitoring PDCCH with eIMTA-RNTI, and the value of 0 means otherwise. In case of TDD as PCell, only the downlink and the special subframes indicated by the UL/ DL configuration in SIB1 can be configured for monitoring PDCCH with eIMTA-RNTI. In case of FDD as PCell, any of the ten subframes can be configured for monitoring PDCCH with eIMTA-RNTI.

# eimta-HARQ-ReferenceConfig

Indicates UL/ DL configuration used as the DL HARQ reference configuration for this serving cell. Value sa2 corresponds to Configuration2, sa4 to Configuration4 etc, as specified in TS 36.211 [21], table 4.2-2. E-UTRAN configures the same value for all serving cells residing on same frequency band.

#### eimta-UL-DL-ConfigIndex

Index of *I*, see TS 36.212 [22], clause 5.3.3.1.4. E-UTRAN configures the same value for all serving cells residing on same frequency band.

## mbsfn-SubframeConfigList

Configure the MBSFN subframes for the UE on this serving cell. An uplink subframe indicated by the DL/UL subframe configuration in SIB1 can be configured as MBSFN subframe.

# LogicalChannelConfig

The IE *LogicalChannelConfig* is used to configure the logical channel parameters.

# LogicalChannelConfig information element

```
-- ASN1START

LogicalChannelConfig ::= SEQUENCE {
   ul-SpecificParameters SEQUENCE {
```

```
priority
                                          INTEGER (1..16),
       prioritisedBitRate
                                           ENUMERATED {
                                               kBps0, kBps8, kBps16, kBps32, kBps64, kBps128,
                                               kBps256, infinity, kBps512-v1020, kBps1024-v1020,
                                               kBps2048-v1020, spare5, spare4, spare3, spare2,
                                               spare1},
       bucketSizeDuration
                                           ENUMERATED {
                                              ms50, ms100, ms150, ms300, ms500, ms1000, spare2,
                                               spare1},
       logicalChannelGroup
                                           INTEGER (0..3)
                                                                  OPTIONAL
    }
        OPTIONAL,
                                                                                      -- Cond UL
    ...,
[[ logicalChannelSR-Mask-r9
                                                                                  -- Cond SRmask
                                         ENUMERATED {setup}
                                                                  OPTIONAL
   ]],
[[ logicalChannelSR-Prohibit-r12
                                          BOOLEAN
                                                                  OPTIONAL
    11,
    [[ laa-UL-Allowed-r14
                                          BOOLEAN
                                                                  OPTIONAL,
                                                                                  -- Need ON
       bitRateQueryProhibitTimer-r14 ENUMERATED {
                                          s0, s0dot4, s0dot8, s1dot6, s3, s6, s12,
                                           s30}
                                                              OPTIONAL
                                                                            --Need OR
           owedTTI-Lengths-r15 CHOICE {
release NULL,
setup SEQUENCE {
      allowedTTI-Lengths-r15
                              BOOLEAN,
5 BOOLEAN
              shortTTI-r15
               subframeTTI-r15
                                                                                      -- Need ON
        logicalChannelSR-Restriction-r15 CHOICE {
           release NULL,
                           ENUMERATED {spucch, pucch}
                                               OPTIONAL,
                                                                                      -- Need ON
                                           CHOICE {
        channelAccessPriority-r15
                                                  NULL,
           release
                                                 INTEGER (1..4)
           setup
                                               OPTIONAL,
                                                           -- Need ON
        lch-CellRestriction-r15
                                         BIT STRING (SIZE (maxServCell-r13)) OPTIONAL -- Need ON
   11
}
-- ASN1STOP
```

## LogicalChannelConfig field descriptions

#### allowedTTI-Lengths

Indicates the allowed TTI lengths for the logical channel. If not configured, the UE is allowed to transmit the logical channel using any TTI length.

## bitRateQueryProhibitTimer

The timer is used for bit rate recommendation query in TS 36.321 [6], clause 5.18, in seconds. Value s0 means 0s, s0dot4 means 0.4s and so on.

#### bucketSizeDuration

Bucket Size Duration for logical channel prioritization in TS 36.321 [6]. Value in milliseconds. Value ms50 corresponds to 50 ms, ms100 corresponds to 100 ms and so on.

#### channelAccessPriority

Indicates the channel access priority class for the logical channel. UE shall select the lowest channel access priority class (i.e. highest signalled value) of the logical channel with MAC SDU multiplexed into the MAC PDU. MAC CEs except padding BSR apply the highest channel access priority class (i.e. lowest signalled value), as defined in TS 36.300 [9].

## laa-UL-Allowed

Indicates whether the data of a logical channel is allowed to be transmitted via UL of LAA SCells. Value *TRUE* indicates that the logical channel is allowed to be sent via UL of LAA SCells. Value *FALSE* indicates that the logical channel is not allowed to be sent via UL of LAA SCells.

# Ich-CellRestriction

Indicates cells which are restricted for the logical channel, The bit is set to 1 if the cell is restricted and to 0 if the cell is not restricted, for each cell. The least significant bit corresponds to the serving cell with index 0, the next bit corresponds to the serving cell with index 1, and so on. If the cell is restricted for the logical channel, then data for the logical channel is not allowed to be sent using that cell. If the field is not included, no cells are restricted. See also TS 36.321 [6], clause 5.4.3.1. The restriction is only active when PDCP duplication using CA is activated.

#### logicalChannelGroup

Mapping of logical channel to logical channel group for BSR reporting in TS 36.321 [6].

# logicalChannelSR-Mask

Controlling SR triggering on a logical channel basis when an uplink grant is configured. See TS 36.321 [6].

#### logicalChannelSR-Prohibit

Value *TRUE* indicates that the *logicalChannelSR-ProhibitTimer* is enabled for the logical channel. E-UTRAN only (optionally) configures the field (i.e. indicates value *TRUE*) if *logicalChannelSR-ProhibitTimer* is configured. See TS 36.321 [6].

# logicalChannelSR-Restriction

Defines the restricted SR configuration for the logical channel. Value spucch indicates that the SR cannot be sent on SPUCCH and value pucch indicates that the SR cannot be sent on PUCCH. If not configured, the UE is allowed to transmit the SR on any SR resource.

#### prioritisedBitRate

Prioritized Bit Rate for logical channel prioritization in TS 36.321 [6]. Value in kilobytes/second. Value kBps0 corresponds to 0 kB/second, kBps8 corresponds to 8 kB/second, kBps16 corresponds to 16 kB/second and so on. Infinity is the only applicable value for SRB1 and SRB2

## priority

Logical channel priority in TS 36.321 [6]. Value is an integer.

## shortTTI, subframeTTI

For short TTIs and subframe TTIs respectively: Value TRUE indicates that the UE is allowed to transmit using this TTI length for the logical channel and the value FALSE indicates that the UE is not allowed to transmit using this TTI length for the logical channel. If not configured for a TTI length, then the UE is allowed to transmit this logical channel using this TTI length.

Conditional presence	Explanation
SRmask	The field is optionally present if <i>ul-SpecificParameters</i> is present, need OR; otherwise it is
	not present.
UL	The field is mandatory present for UL logical channels; otherwise it is not present.

# LWA-Configuration

The IE LWA-Configuration is used to setup/modify/release LTE-WLAN Aggregation.

```
-- ASN1START

LWA-Configuration-r13 ::= CHOICE {
    release NULL,
    setup SEQUENCE {
        lwa-Config-r13 LWA-Config-r13
    }
}
```

#### LWA-Configuration field descriptions

#### Iwa-MobilityConfig

Indicates the parameters used for WLAN mobility.

#### Iwa-WT-Counter

Indicates the parameter used by UE for WLAN authentication.

#### wt-MAC-Address

Indicates the WT MAC address of the WT handling the LWA operation for the UE. The UE uses this MAC address in uplink transmissions to enable routing of LWA uplink data from the AP to the WT. E-UTRAN configures the field only if *ul-LWA-Config-r14* is configured for at least one LWA bearer.

# LWIP-Configuration

The IE LWIP-Configuration is used to add, modify or release DRBs that are using LWIP Tunnel.

# LWIP-Configuration field descriptions

## Iwip-MobilityConfig

Indicates the WLAN mobility set for LWIP.

## tunnelConfigLWIP

Indicates the parameters used for establishing the LWIP tunnel.

# MAC-MainConfig

The IE *MAC-MainConfig* is used to specify the MAC main configuration for signalling and data radio bearers. All MAC main configuration parameters can be configured independently per Cell Group (i.e. MCG or SCG), unless explicitly specified otherwise.

# **MAC-MainConfig** information element

```
-- ASN1START
                                   SEQUENCE {
MAC-MainConfig ::=
   ul-SCH-Config
                                       SEQUENCE {
                                           ENUMERATED {
       maxHARQ-Tx
                                              n1, n2, n3, n4, n5, n6, n7, n8,
                                               n10, n12, n16, n20, n24, n28,
                                                                  OPTIONAL,
                                               spare2, spare1}
                                                                              -- Need ON
                                           PeriodicBSR-Timer-r12 OPTIONAL, -- Need ON
       periodicBSR-Timer
                                           RetxBSR-Timer-r12,
       retxBSR-Timer
       ttiBundling
                                           BOOLEAN
```

```
OPTIONAL, -- Need ON
                                       DRX-Config
   drx-Config
                                                                    OPTIONAL, -- Need ON
   drx-Config DRX-Config timeAlignmentTimerDedicated TimeAlignmentTimer,
                                       CHOICE {
   phr-Config
       release
                                           NULL,
       setup
                                           SEQUENCE {
                                               ENUMERATED {sf10, sf20, sf50, sf100, sf200, sf500, sf1000, infinity},
           periodicPHR-Timer
           prohibitPHR-Timer
                                                ENUMERATED {sf0, sf10, sf20, sf50, sf100,
                                                               sf200, sf500, sf1000},
                                                ENUMERATED {dB1, dB3, dB6, infinity}
           dl-PathlossChange
   }
                                                                   OPTIONAL, -- Need ON
                                                                  OPTIONAL -- Need ON
   [[ sr-ProhibitTimer-r9
                                           INTEGER (0..7)
   11,
   [[ mac-MainConfig-v1020
                                           SEOUENCE {
           e-MainConfig-v1020 SEQUENCE {
sCellDeactivationTimer-r10 ENUMERATED {
                                                rf2, rf4, rf8, rf16, rf32, rf64, rf128,
                                              extendedBSR-Sizes-r10
           extendedPHR-r10
                                                                   OPTIONAL -- Need ON
       }
   ]],
   ]],
[[ stag-ToReleaseList-r11 STAG-ToReleaseList-r11 OPTIONAL, -- Need ON stag-ToAddModList-r11 STAG-ToAddModList-r11 OPTIONAL, -- Need ON drx-Config-v1130 DRX-Config-v1130 OPTIONAL -- Need ON
   ]],
       dualConnectivityPHR CHOICE {
    release NIII.I
   [[ e-HARQ-Pattern-r12
                                                                   OPTIONAL, -- Need ON
                                           NULL,
SEQUENCE {
           setup
                                                 ENUMERATED {real, virtual}
               phr-ModeOtherCG-r12
                                                              OPTIONAL, -- Need ON
        logicalChannelSR-Config-r12 CHOICE {
                      NULL,
           release
                                               SEQUENCE {
              logicalChannelSR-ProhibitTimer-r12 ENUMERATED {sf20, sf40, sf64, sf128, sf512,
sf1024, sf2560, spare1}
         }
       }
                                                                   OPTIONAL
                                                                                    -- Need ON
   ]],
[[ drx-Config-v1310
                             DRX-Config-v1310 OPTIONAL,
BOOLEAN OPTIONAL, -- Need ON
                                                                                    -- Need ON
       extendedPHR2-r13
       eDRX-Config-CycleStartOffset-r13
                                           CHOICE {
           release
                                           NULL,
           setup
                                            CHOICE {
           sf5120
                                                    INTEGER(0..1),
           sf10240
                                                    INTEGER(0..3)
           }
       }
                                              OPTIONAL -- Need ON
    [[ drx-Config-r13
                                           CHOICE {
                                               NULL,
         release
           setup
                                                DRX-Config-r13
                                                                OPTIONAL -- Need ON
       }
   ]],
[[ skipUplinkTx-r14
                                           CHOICE {
           release
                                              NULL,
              tup
skipUplinkTxSPS-r14
skipUplinkTxDynamic-r14
                                                SEQUENCE {
           setup
                                              ENUMERATED {true} OPTIONAL, -- Need OR ENUMERATED {true} OPTIONAL -- Need OR
                                                                  OPTIONAL, -- Need ON
       dataInactivityTimerConfig-r14
                                           CHOICE {
          release
                                             NULL,
                                               SEQUENCE {
           setup
               dataInactivityTimer-r14
                                                   DataInactivityTimer-r14
       }
                                                       OPTIONAL -- Need ON
   11,
   [[ rai-Activation-r14
                                          ENUMERATED {true}
                                                                      OPTIONAL -- Need OR
   ]],
    [[ shortTTI-AndSPT-r15 CHOICE {
           release
                                           NULL,
                                           SEQUENCE {
           setup
```

```
drx-Config-r15
                                                 DRX-Config-r15 OPTIONAL, -- Need ON
                periodicBSR-Timer-r15
                                                  ENUMERATED {
                                                     sf1, sf5, sf10, sf16, sf20, sf32, sf40,
                                                      sf64, sf80, sf128, sf160, sf320, sf640,
                                                      sf1280, sf2560, infinity}
                                                                              OPTIONAL, -- Need ON
                                                 ENUMERATED {nplus4set1, nplus6set1, nplus6set2, nplus8set2 } OPTIONAL, -- Need ON
                proc-Timeline-r15
                                                                              OPTIONAL -- Need ON
                ssr-ProhibitTimer-r15
                                                 INTEGER (0..7)
            }
        }
                                                                              OPTIONAL, -- Need ON
        mpdcch-UL-HARQ-ACK-FeedbackConfig-r15 BOOLEAN OPTIONAL, -- Need ON
        dormantStateTimers-r15 CHOICE {
            release
                                                NULL,
            setup
                                                 SEQUENCE {
                sCellHibernationTimer-r15
                                                    ENUMERATED {
                    rf2, rf4, rf8, rf16, rf32, rf64, rf128, spare}
                                                                          OPTIONAL,
                                                                                       -- Need OR
                rf2, rf4, rf8, rf16, rf32, rf64,
                    rf128, rf320, rf640, rf1280, rf2560,
                    rf5120, rf10240, spare3, spare2, spare1}
                                                                         OPTIONAL
                                                                                       -- Need OR
            }
                                                                           OPTIONAL
                                                                                       -- Need ON
   ]]
}
MAC-MainConfigSCell-r11 ::=
                                    SEQUENCE {
                                         STAG-Id-r11 OPTIONAL, -- Need OP
   stag-Id-r11
DRX-Config ::=
                                     CHOICE {
   release
                                         NULL,
                                         SEQUENCE {
    setup
                                                 psf1, psf2, psf3, psf4, psf5, psf6,
psf8, psf10, psf20, psf30, psf40,
psf50, psf60, psf80, psf100,
psf200},
        onDurationTimer
                                             ENUMERATED {
        drx-InactivityTimer
                                             ENUMERATED {
                                                 psf1, psf2, psf3, psf4, psf5, psf6,
psf8, psf10, psf20, psf30, psf40,
                                                 psf50, psf60, psf80, psf100,
                                                  psf200, psf300, psf500, psf750,
                                                 psf1280, psf1920, psf2560, psf0-v1020,
                                                 spare9, spare8, spare7, spare6, spare5, spare4, spare3, spare2,
                                                 spare1},
        drx-RetransmissionTimer
                                             ENUMERATED {
                                                 psf1, psf2, psf4, psf6, psf8, psf16,
                                                 psf24, psf33},
                                        CHOICE {
        longDRX-CycleStartOffset
            sf10
                                            INTEGER(0..9),
                                             INTEGER(0..19),
            sf20
                                             INTEGER(0..31),
            sf32
            sf40
                                             INTEGER(0..39),
            sf64
                                             INTEGER(0..63),
            sf80
                                             INTEGER(0..79),
            sf128
                                             INTEGER(0..127),
                                             INTEGER(0..159),
            sf160
                                             INTEGER(0..255),
            sf256
            sf320
                                             INTEGER(0..319),
            sf512
                                             INTEGER(0..511),
                                             INTEGER(0..639),
            sf640
            sf1024
                                             INTEGER(0..1023),
            sf1280
                                             INTEGER(0..1279),
            sf2048
                                             INTEGER(0..2047),
            sf2560
                                             INTEGER(0..2559)
        shortDRX
                                             SEQUENCE {
                                                 ENUMERATED {
            shortDRX-Cycle
                                                     sf2, sf5, sf8, sf10, sf16, sf20,
                                                      sf32, sf40, sf64, sf80, sf128, sf160,
                                                      sf256, sf320, sf512, sf640},
            drxShortCycleTimer
                                                 INTEGER (1..16)
       }
               OPTIONAL
                                                                               -- Need OR
    }
```

```
DRX-Config-v1130 ::=
                                        SEQUENCE {
    drx-RetransmissionTimer-v1130
                                            ENUMERATED {psf0-v1130} OPTIONAL, --Need OR
                                            CHOICE {
    longDRX-CycleStartOffset-v1130
                                                INTEGER(0..59),
        sf60-v1130
       sf70-v1130
                                                INTEGER(0..69)
                                                                    OPTIONAL,
                                                                                 --Need OR
    shortDRX-Cycle-v1130
                                            ENUMERATED {sf4-v1130} OPTIONAL
                                                                                --Need OR
DRX-Config-v1310 ::=
                                        SEQUENCE {
   longDRX-CycleStartOffset-v1310
                                        SEQUENCE {
                                                INTEGER(0..59)
       sf60-v1310
                                                                    OPTIONAL
                                                                                --Need OR
}
DRX-Config-r13 ::=
                                    SEQUENCE {
                                            ENUMERATED {psf300, psf400, psf500, psf600,
   onDurationTimer-v1310
                                                    psf800, psf1000, psf1200, psf1600}
                                                    OPTIONAL, --Need OR
   drx-RetransmissionTimer-v1310
                                            ENUMERATED {psf40, psf64, psf80, psf96, psf112,
                                                    psf128, psf160, psf320}
                                            OPTIONAL, --Need OR ENUMERATED {psf0, psf1, psf2, psf4, psf6, psf8, psf16,
    drx-ULRetransmissionTimer-r13
                                                        psf24, psf33, psf40, psf64, psf80, psf96,
                                                        psf112, psf128, psf160, psf320}
                                                        OPTIONAL --Need OR
DRX-Config-r15 ::=
                                    SEQUENCE {
    drx-RetransmissionTimerShortTTI-r15
                                           ENUMERATED {
                                                    tti10, tti20, tti40, tti64, tti80, tti96,
                                                    tti112,tti128, tti160, tti320} OPTIONAL, --Need
OR
    drx-UL-RetransmissionTimerShortTTI-r15 ENUMERATED {
                                                tti0, tti1, tti2, tti4, tti6, tti8, tti16,
                                                tti24, tti33, tti40, tti64, tti80, tti96, tti112,
                                                tti128, tti160, tti320} OPTIONAL --Need OR
PeriodicBSR-Timer-r12 ::=
                                            ENUMERATED {
                                                sf5, sf10, sf16, sf20, sf32, sf40, sf64, sf80,
                                                sf128, sf160, sf320, sf640, sf1280, sf2560,
                                                infinity, spare1}
RetxBSR-Timer-r12 ::=
                                                ENUMERATED {
                                                sf320, sf640, sf1280, sf2560, sf5120,
                                                sf10240, spare2, spare1}
STAG-ToReleaseList-r11 ::= SEQUENCE (SIZE (1..maxSTAG-r11)) OF STAG-Id-r11
STAG-ToAddModList-r11 ::=
                          SEQUENCE (SIZE (1..maxSTAG-r11)) OF STAG-ToAddMod-r11
STAG-ToAddMod-r11 ::= SEQUENCE {
                                STAG-Id-r11,
    timeAlignmentTimerSTAG-r11 TimeAlignmentTimer,
STAG-Id-r11::=
                            INTEGER (1..maxSTAG-r11)
-- ASN1STOP
```

## MAC-MainConfig field descriptions

#### dl-PathlossChange

DL Pathloss Change and the change of the required power backoff due to power management (as allowed by P-MPRc, see TS 36.101 [42]) for PHR reporting in TS 36.321 [6]. Value in dB. Value dB1 corresponds to 1 dB, dB3 corresponds to 3 dB and so on. The same value applies for each serving cell (although the associated functionality is performed independently for each cell).

#### dormantSCellDeactivationTimer

SCell deactivation timer for UEs supporting dormant state as specified in TS 36.321 [6]. Value in number of radio frames. Value rf4 corresponds to 4 radio frames, value rf8 corresponds to 8 radio frames and so on. E-UTRAN only configures the field if the UE is configured with one or more SCells other than the PSCell and PUCCH SCell. The same value applies for each SCell of a Cell Group (i.e. MCG or SCG) (although the associated functionality is performed independently for each SCell). Field dormantSCellDeactivationTimer does not apply for the PUCCH SCell.

#### drx-Config

Used to configure DRX as specified in TS 36.321 [6]. E-UTRAN configures the values in *DRX-Config-v1130* only if the UE indicates support for IDC indication. E-UTRAN configures *drx-Config-v1130*, *drx-Config-v1310* and *drx-Config-r13* only if *drx-Config* (without suffix) is configured. E-UTRAN configures *drx-Config-r13* only if UE supports CE or if the UE is configured with uplink of an LAA SCell.

## drx-InactivityTimer

Timer for DRX in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf0 corresponds to 0 PDCCH sub-frame and behaviour as specified in 7.3.2 applies, value psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on.

#### drx-RetransmissionTimer

Timer for DRX in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf0 corresponds to 0 PDCCH sub-frame and behaviour as specified in 7.3.2 applies, value psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on. In case *drx-RetransmissionTimer-v1130* or *drx-RetransmissionTimer-v1310* is signalled, the UE shall ignore *drx-RetransmissionTimer* (i.e. without suffix).

## drx-RetransmissionTimerShortTTI

Timer for DRX in TS 36.321 [6]. Value in number of short TTIs when short TTI is configured. Value *tti10* corresponds to 10 TTIs, value *tti20* corresponds to 20 TTIs and so on.

#### drx-ULRetransmissionTimer

Timer for DRX in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf0 correponds to 0 PDCCH sub-frame and behaviour as specified in 7.3.2 applies, value psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on.

## drx-UL-RetransmissionTimerShortTTI

Timer for DRX in TS 36.321 [6]. Value in number of short TTIs when short TTI is configured. Value *tti0* corresponds to 0 TTIs and behaviour as specified in 7.3.2 applies, value *tti1* corresponds to 1 TTI and so on.

## drxShortCycleTimer

Timer for DRX in TS 36.321 [6]. Value in multiples of shortDRX-Cycle. A value of 1 corresponds to shortDRX-Cycle, a value of 2 corresponds to 2 \* shortDRX-Cycle and so on.

# dualConnectivityPHR

Indicates if power headroom shall be reported using Dual Connectivity Power Headroom Report MAC Control Element defined in TS 36.321 [6] (value *setup*). For both LTE DC and (NG)EN-DC, if PHR functionality is configured, E-UTRAN always configures the value *setup* for this field and configures *phr-Config* and *dualConnectivityPHR*. For LTE DC, E-UTRAN configures the field for both CGs while for (NG)EN-DC, E-UTRAN configures the field only for MCG.

#### e-HARQ-Pattern

TRUE indicates that enhanced HARQ pattern for TTI bundling is enabled for FDD. E-UTRAN enables this field only when *ttiBundling* is set to *TRUE*.

## eDRX-Config-CycleStartOffset

Indicates *longDRX-Cycle* and *drxStartOffset* in TS 36.321 [6]. The value of *longDRX-Cycle* is in number of subframes. The value of *drxStartOffset*, in number of subframes, is indicated by the value of *eDRX-Config-CycleStartOffset* multiplied by 2560 plus the offset value configured in *longDRX-CycleStartOffset*. E-UTRAN only configures value *setup* when the value in *longDRX-CycleStartOffset* is sf2560.

# extendedBSR-Sizes

If value *setup* is configured, the BSR index indicates extended BSR size levels as defined in TS 36.321 [6], Table 6.1.3.1-2.

#### extendedPHR

Indicates if power headroom shall be reported using the Extended Power Headroom Report MAC control element defined in TS 36.321 [6] (value setup). E-UTRAN always configures the value setup if more than one and up to eight Serving Cell(s) with uplink is configured and none of the serving cells with uplink configured has a servingCellIndex higher than seven and if PUCCH on SCell is not configured and if dual connectivity is not configured. E-UTRAN configures extendedPHR only if phr-Config is configured. The UE shall release extendedPHR if phr-Config is released.

## extendedPHR2

Indicates if power headroom shall be reported using the Extended Power Headeroom Report MAC Control Element defined in TS 36.321 [6] (value *setup*). E-UTRAN always configures the value *setup* if any of the serving cells with uplink configured has a *servingCellIndex* higher than seven in case dual connectivity is not configured or if PUCCH SCell (with any number of serving cells with uplink configured) is configured. E-UTRAN configures *extendedPHR2* only if *phr-Config* is configured. The UE shall release *extendedPHR2* if *phr-Config* is released.

## MAC-MainConfig field descriptions

# logicalChannelSR-ProhibitTimer

Timer used to delay the transmission of an SR for logical channels enabled by *logicalChannelSR-Prohibit*. Value sf20 corresponds to 20 subframes, sf40 corresponds to 40 subframes, and so on. See TS 36.321 [6].

## IongDRX-CycleStartOffset

longDRX-Cycle and drxStartOffset in TS 36.321 [6] unless eDRX-Config-CycleStartOffset is configured. The value of longDRX-Cycle is in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. If shortDRX-Cycle is configured, the value of longDRX-Cycle shall be a multiple of the shortDRX-Cycle value. The value of drxStartOffset value is in number of sub-frames. In case longDRX-CycleStartOffset-v1130 is signalled, the UE shall ignore longDRX-CycleStartOffset (i.e. without suffix). In case longDRX-CycleStartOffset-v1310 is signalled, the UE shall ignore longDRX-CycleStartOffset (i.e. without suffix).

#### maxHARQ-Tx

Maximum number of transmissions for UL HARQ in TS 36.321 [6].

## mpdcch-UL-HARQ-ACK-FeedbackConfig

TRUE indicates E-UTRAN may send UL HARQ-ACK feedback or UL grant corresponding to a new transmission for early termination of PUSCH transmission, or positive acknowledgement of completed PUSCH transmissions as specified in TS 36.321 [6] and TS 36.212 [22]. In case of acknowledgement of RRC Connection Release, MPDCCH monitoring is terminated.

# onDurationTimer

Timer for DRX in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on. In case *onDurationTimer-v1310* is signalled, the UE shall ignore *onDurationTimer* (i.e. without suffix).

#### periodicBSR-Timer

Timer for BSR reporting in TS 36.321 [6]. Value in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on.

#### periodicPHR-Timer

Timer for PHR reporting in TS 36.321 [6]. Value in number of sub-frames. Value sf10 corresponds to 10 subframes, sf20 corresponds to 20 subframes and so on.

## phr-ModeOtherCG

Indicates the mode (i.e. *real* or *virtual*) used for the PHR of the activated cells that are part of the other Cell Group (i.e. MCG or SCG), when DC is configured.

#### proc-Timeline

Minimum processing timeline for short TTI with subslot operation. Value nplus4set1 indicates processing time n+4 for set 1, value nplus6set1 indicates processing time n+6 for set 1, value nplus6set2 indicates processing time n+6 for set and value nplus8set2 indicates processing time n+8 for set 2. See also UE capability *min-Proc-TimelineSubslot* for sTTI.

## prohibitPHR-Timer

Timer for PHR reporting in TS 36.321 [6]. Value in number of sub-frames. Value sf0 corresponds to 0 subframes and behaviour as specified in 7.3.2 applies, sf100 corresponds to 100 subframes and so on.

#### rai-Activation

Activation of release assistance indication (RAI) in TS 36.321 [6] for BL UEs.

#### retxBSR-Timer

Timer for BSR reporting in TS 36.321 [6]. Value in number of sub-frames. Value sf640 corresponds to 640 sub-frames, sf1280 corresponds to 1280 sub-frames and so on.

# sCellDeactivationTimer

SCell deactivation timer in TS 36.321 [6]. Value in number of radio frames. Value rf4 corresponds to 4 radio frames, value rf8 corresponds to 8 radio frames and so on. E-UTRAN only configures the field if the UE is configured with one or more SCells other than the PSCell and PUCCH SCell. If the field is absent, the UE shall delete any existing value for this field and assume the value to be set to *infinity*. The same value applies for each SCell of a Cell Group (i.e. MCG or SCG) (although the associated functionality is performed independently for each SCell). Field *sCellDeactivationTimer* does not apply for the PUCCH SCell.

# sCellHibernationTimer

SCell hibernation timer for UEs supporting dormant SCell state as specified in TS 36.321 [6]. Value in number of radio frames. Value rf4 corresponds to 4 radio frames, value rf8 corresponds to 8 radio frames and so on. E-UTRAN only configures the field if the UE is configured with one or more SCells other than the PSCell and PUCCH SCell. The same value applies for each SCell of a Cell Group (i.e. MCG or SCG) (although the associated functionality is performed independently for each SCell). Field *sCellHibernationTimer* does not apply for the PUCCH SCell.

## shortDRX-Cycle

Short DRX cycle in TS 36.321 [6]. Value in number of sub-frames. Value sf2 corresponds to 2 sub-frames, sf5 corresponds to 5 subframes and so on. In case *shortDRX-Cycle-v1130* is signalled, the UE shall ignore *shortDRX-Cycle* (i.e. without suffix). Short DRX cycle is not configured for UEs in CE.

# skipUplinkTxDynamic

If configured, the UE skips UL transmissions for an uplink grant other than a configured uplink grant if no data is available for transmission in the UE buffer as described in TS 36.321 [6].

## MAC-MainConfig field descriptions

#### skipUplinkTxSPS

If configured, the UE skips UL transmissions for a configured uplink grant if no data is available for transmission in the UE buffer as described in TS 36.321 [6]. E-UTRAN always configures <code>skipUplinkTxSPS</code> when there is at least one SPS configuration with <code>semiPersistSchedIntervalUL</code> shorter than sf10 or when at least one SPS-ConfigUL-STTI is configured for the cell group.

#### sr-ProhibitTimer

Timer for SR transmission on PUCCH in TS 36.321 [6]. Value in number of SR period(s) of shortest SR period of any serving cell with PUCCH. Value 0 means that behaviour as specified in 7.3.2 applies. Value 1 corresponds to one SR period, Value 2 corresponds to 2\*SR periods and so on. SR period is defined in TS 36.213 [23], table 10.1.5-1.

#### ssr-ProhibitTimer

Timer for prohibiting SR transmission on SPUCCH in TS 36.321 [6]. Value in number of SR period(s) of shortest SR period of any serving cell with SPUCCH. Value 0 means that behaviour as specified in 7.3.2 applies. Value 1 corresponds to one SR period, value 2 corresponds to 2 SR periods and so on. SR period is defined in TS 36.213 [23], table 10.1.5-1.

#### stag-Id

Indicates the TAG of an SCell, see TS 36.321 [6]. Uniquely identifies the TAG within the scope of a Cell Group (i.e. MCG or SCG). If the field is not configured for an SCell (e.g. absent in *MAC-MainConfigSCell*), the SCell is part of the PTAG

## stag-ToAddModList, stag-ToReleaseList

Used to configure one or more STAGs. E-UTRAN ensures that a STAG contains at least one SCell with configured uplink. If, due to SCell release a reconfiguration would result in an 'empty' TAG, E-UTRAN includes release of the concerned TAG.

#### timeAlignmentTimerSTAG

Indicates the value of the time alignment timer for an STAG, see TS 36.321 [6].

#### ttiBundling

TRUE indicates that TTI bundling TS 36.321 [6] is enabled while FALSE indicates that TTI bundling is disabled. TTI bundling can be enabled for FDD and for TDD for configurations 0, 1 and 6 and additionally for configurations 2 and 3 when *symPUSCH-UpPTS-r14* is configured. The functionality is performed independently per Cell Group (i.e. MCG or SCG), but E-UTRAN does not configure TTI bundling for the SCG. For a TDD PCell, E-UTRAN does not simultaneously enable TTI bundling and semi-persistent scheduling in this release of specification. Furthermore, for a Cell Group, E-UTRAN does not simultaneously configure TTI bundling and SCells with configured uplink, and E-UTRAN does not simultaneously configure TTI bundling and elMTA.

# P-C-AndCBSR

The IE *P-C-AndCBSR* is used to specify the power control and codebook subset restriction configuration.

# P-C-AndCBSR information elements

```
-- ASN1START
P-C-AndCBSR-r11 ::= SEQUENCE {
   p-C-r11
                               INTEGER (-8..15),
    codebookSubsetRestriction-r11
                                  BIT STRING
P-C-AndCBSR-r13 ::= SEQUENCE {
    p-C-r13
                               INTEGER (-8..15),
    cbsr-Selection-r13
                               CHOICE {
                                   SEQUENCE {
       nonPrecoded-r13
           codebookSubsetRestriction1-r13
                                                       BIT STRING.
            codebookSubsetRestriction2-r13
                                                       BIT STRING
        beamformedKla-r13
                                   SEQUENCE {
           codebookSubsetRestriction3-r13
                                                       BIT STRING
       beamformedKN-r13
                                   SEOUENCE {
           codebookSubsetRestriction-r13
                                                       BIT STRING
    },
P-C-AndCBSR-r15 ::= SEQUENCE {
    p-C-r15
                               INTEGER (-8..15),
    codebookSubsetRestriction4-r15 BIT STRING
}
```

```
P-C-AndCBSR-Pair-r13a ::= SEQUENCE (SIZE (1..2)) OF P-C-AndCBSR-r11

P-C-AndCBSR-Pair-r13 ::= SEQUENCE (SIZE (1..2)) OF P-C-AndCBSR-r13

P-C-AndCBSR-Pair-r15 ::= SEQUENCE (SIZE (1..2)) OF P-C-AndCBSR-r15

-- ASN1STOP
```

# P-C-AndCBSR field descriptions

#### cbsr-Selection

Indicates which codebook subset restriction parameter(s) are to be used. E-UTRAN applies values nonPrecoded when eMIMO-Type is set to nonPrecoded. E-UTRAN applies value beamformedK1a when eMIMO-Type is set to beamformed, alternativeCodebookEnabledBeamformed is set to TRUE and csi-RS-ConfigNZPIdListExt is not configured. E-UTRAN applies value beamformedKN when csi-RS-ConfigNZPIdListExt is configured. E-UTRAN applies value beamformedKN when eMIMO-Type is set to beamformed, csi-RS-ConfigNZPIdListExt is not configured and alternativeCodebookEnabledBeamformed is set to FALSE.

#### codebookSubsetRestriction

Parameter: codebookSubsetRestriction, see TS 36.213 [23] and TS 36.211 [21]. The number of bits in the *codebookSubsetRestriction* for applicable transmission modes is defined in TS 36.213 [23].

#### codebookSubsetRestriction1

Parameter: codebookSubsetRestriction1, see TS 36.213 [23], Table 7.2-1d. The number of bits in the *codebookSubsetRestriction1* for applicable transmission modes is defined in TS 36.213 [23].

#### codebookSubsetRestriction2

Parameter: codebookSubsetRestriction2, see TS 36.213 [23], Table 7.2-1e. The number of bits in the *codebookSubsetRestriction2* for applicable transmission modes is defined in TS 36.213 [23].

#### codebookSubsetRestriction3

Parameter: codebookSubsetRestriction3, see TS 36.213 [23], Table 7.2-1f. The UE shall ignore codebookSubsetRestriction-r11 or codebookSubsetRestriction-r10 if codebookSubsetRestriction3-r13 is configured. The number of bits in the codebookSubsetRestriction3 for applicable transmission modes is defined in TS 36.213 [23].

#### codebookSubsetRestriction4

Parameter: codebookSubsetRestriction4, see TS 36.213 [23], Table 7.2. The number of bits in the codebookSubsetRestriction4 for applicable transmission modes is defined in TS 36.213 [23].

#### p-C

Parameter:  $P_c$ , see TS 36.213 [23], clause 7.2.5.

# P-C-AndCBSR-Pair

E-UTRAN includes a single entry if the UE is configured with TM9. If the UE is configured with TM10 and E-UTRAN includes 2 entries, this indicates that the subframe patterns configured for CSI (CQI/PMI/PTI/RI/CRI) reporting (i.e. as defined by field *csi-MeasSubframeSet1* and *csi-MeasSubframeSet2*, or as defined by *csi-MeasSubframeSets-r12*) are to be used for this CSI process, while including a single entry indicates that the subframe patterns are not to be used for this CSI process. For a UE configured with TM10, E-UTRAN does not include 2 entries with *csi-MeasSubframeSet1* and *csi-MeasSubframeSet2* for CSI processes concerning a secondary frequency. Furthermore, E-UTRAN includes 2 entries when configuring both *cqi-pmi-ConfigIndex* and *cqi-pmi-ConfigIndex2*.

# PDCCH-ConfigSCell

The IE PDCCH-ConfigSCell specifies PDCCH monitoring parameters that E-UTRAN may configure for a serving cell.

# PDCCH-ConfigSCell information element

```
-- ASN1START
PDCCH-ConfigSCell-r13 ::=
                               SEQUENCE {
    OPTIONAL
                                                                                    -- Need OR
PDCCH-ConfigLAA-r14 ::=
                          SEQUENCE {
    maxNumberOfSchedSubframes-Format0B-r14 ENUMERATED {sf2, sf3, sf4} OPTIONAL,
                                                                                        -- Need OR
    maxNumberOfSchedSubframes-Format4B-r14 ENUMERATED {sf2, sf3, sf4} skipMonitoringDCI-Format0A-r14 ENUMERATED {true}
                                                                       OPTIONAL,
                                                                                        -- Need OR
                                                                       OPTIONAL,
                                                                                        -- Need OR
    skipMonitoringDCI-Format4A-r14
                                               ENUMERATED {true}
                                                                       OPTIONAL,
                                                                                        -- Need OR
    pdcch-CandidateReductions-Format0A-r14
                               PDCCH-CandidateReductions-r13
                                                                        OPTIONAL,
                                                                                        -- Need ON
    pdcch-CandidateReductions-Format4A-r14
                               PDCCH-CandidateReductionsLAA-UL-r14
                                                                        OPTIONAL,
                                                                                        -- Need ON
    {\tt pdcch-CandidateReductions-Format0B-r14}
                                PDCCH-CandidateReductionsLAA-UL-r14
                                                                        OPTIONAL,
                                                                                         -- Need ON
    pdcch-CandidateReductions-Format4B-r14
```

```
PDCCH-CandidateReductionsLAA-UL-r14 OPTIONAL
                                                                                       -- Need ON
PDCCH-CandidateReductionValue-r13 ::= ENUMERATED {n0, n33, n66, n100}
PDCCH-CandidateReductionValue-r14 ::= ENUMERATED {n0, n50, n100, n150}
PDCCH-CandidateReductions-r13 ::= CHOICE {
    release
    setup
                                     SEQUENCE {
        {\tt pdcch-candidateReductionAL1-r13} \qquad {\tt PDCCH-CandidateReductionValue-r13},
        pdcch-candidateReductionAL2-r13
                                           PDCCH-CandidateReductionValue-r13,
PDCCH-CandidateReductionValue-r13,
        pdcch-candidateReductionAL3-r13
        pdcch-candidateReductionAL4-r13 PDCCH-CandidateReductionValue-r13,
        pdcch-candidateReductionAL5-r13
                                             PDCCH-CandidateReductionValue-r13
    }
}
PDCCH-CandidateReductionsLAA-UL-r14 ::= CHOICE {
                                     NULL,
    release
                                     SEQUENCE {
    setup
        pdcch-candidateReductionAL1-r14 PDCCH-CandidateReductionValue-r13,
        pdcch-candidateReductionAL2-r14
                                             PDCCH-CandidateReductionValue-r13,
                                            PDCCH-CandidateReductionValue-r14,
        pdcch-candidateReductionAL3-r14
        pdcch-candidateReductionAL4-r14
                                             PDCCH-CandidateReductionValue-r14.
        pdcch-candidateReductionAL5-r14
                                             PDCCH-CandidateReductionValue-r14
-- ASN1STOP
```

## PDCCH-ConfigSCell field descriptions

#### maxNumberOfSchedSubframes-Format0B

Indicates maximum number of schedulable subframes for DCI format 0B as specified in TS 36.213 [23]. Value sf2 corresponds to 2 subframes, value sf3 corresponds to 3 subframes and so on.

## maxNumberOfSchedSubframes-Format4B

Indicates maximum number of schedulable subframes for DCI format 4B as specified in TS 36.213 [23]. Value sf2 corresponds to 2 subframes, value sf3 corresponds to 3 subframes and so on.

# skipMonitoringDCI-format0-1A

Indicates whether the UE is configured to omit monitoring DCI fromat 0/1A, see TS 36.213 [23], clause 9.1.1.

## skipMonitoringDCI-Format0A

Indicates whether the UE is configured to omit monitoring DCI fromat 0A as specified in TS 36.213 [23].

# skipMonitoringDCI-Format4A

Indicates whether the UE is configured to omit monitoring DCI fromat 4A as specified in TS 36.213 [23].

# pdcch-candidateReductionALx

Indicates reduced (E)PDCCH monitoring requirements on UE specific search space of the x-th aggregation level, see TS 36.213 [23], clause 9.1.1. Value n0 corresponds to 0%, value n33 corresponds to 33% and so on.

# pdcch-CandidateReductions-Formatx

Indicates number of blind detections on UE specific search space for each aggregation layer as specified in TS 36.213 [23]. The field can only be present when the UE is configured with uplink of an LAA SCell. If *pdcch*-

CandidateReductions-Formatx is not configured, pdcch-CandidateReductions-r13 applies to the corresponding DCIs (if configured).

# PDCP-Config

The IE *PDCP-Config* is used to set the configurable PDCP parameters for data radio bearers.

# PDCP-Config information element

```
-- ASN1START
PDCP-Config ::=
                                     SEOUENCE {
    discardTimer
                                         ENUMERATED {
                                             ms50, ms100, ms150, ms300, ms500,
                                             ms750, ms1500, infinity
                                                                  OPTIONAL,
                                                                                       -- Cond Setup
                                         SEQUENCE {
    rlc-AM
        statusReportRequired
                                             BOOLEAN
                                                                                       -- Cond Rlc-AM
                                                                  OPTIONAL.
    rlc-UM
                                         SEQUENCE {
```

```
ENUMERATED {len7bits, len12bits}
       pdcp-SN-Size
                                                              OPTIONAL,
                                                                                  -- Cond Rlc-UM
   headerCompression
                                       CHOICE {
       notUsed
                                          NULL,
       rohc
                                           SEQUENCE {
                                              INTEGER (1..16383)
           maxCID
                                                                             DEFAULT 15,
                                              SEQUENCE {
           profiles
               profile0x0001
                                                  BOOLEAN,
               profile0x0002
                                                  BOOLEAN,
               profile0x0003
                                                  BOOLEAN,
               profile0x0004
                                                  BOOLEAN,
               profile0x0006
                                                  BOOLEAN,
               profile0x0101
                                                  BOOLEAN.
               profile0x0102
                                                  BOOLEAN,
               profile0x0103
                                                  BOOLEAN,
               profile0x0104
                                                  BOOLEAN
           },
       }
   },
      rn-IntegrityProtection-r10 ENUMERATED {enabled} OPTIONAL -- Cond RN
    ]],
   [[ pdcp-SN-Size-v1130
                                      ENUMERATED {len15bits} OPTIONAL
                                                                          -- Cond Rlc-AM2
   11,
   [[ ul-DataSplitDRB-ViaSCG-r12
                                                  OPTIONAL,
                                                              -- Need ON
                                      BOOLEAN
       t-Reordering-r12
                                       ENUMERATED {
                                       ms0, ms20, ms40, ms60, ms80, ms100, ms120, ms140,
                                       ms160, ms180, ms200, ms220, ms240, ms260, ms280, ms300,
                                       ms500, ms750, spare14, spare13, spare12, spare11, spare10,
                                       spare9, spare8, spare7, spare6, spare5, spare4, spare3,
                                       spare2, spare1}
                                                                      OPTIONAL
                                       CHOICE {
      ul-DataSplitThreshold-r13
           release
                                       NULL,
           setup
                                       ENUMERATED {
                                       b0, b100, b200, b400, b800, b1600, b3200, b6400, b12800,
                                       b25600, b51200, b102400, b204800, b409600, b819200,
                                       spare1}
                                                                      OPTIONAL, -- Need ON
       pdcp-SN-Size-v1310
                                       ENUMERATED {len18bits} OPTIONAL, -- Cond Rlc-AM3
                                       CHOICE {
       statusFeedback-r13
                                       NULL,
           release
                                       SEQUENCE {
           setup
               statusPDU-TypeForPolling-r13
                                                  ENUMERATED {type1, type2}
                                                                                OPTIONAL, --
Need ON
               statusPDU-Periodicity-Type1-r13
                                                 ENUMERATED {
                                       ms5, ms10, ms20, ms30, ms40, ms50, ms60, ms70, ms80, ms90,
                                       ms100, ms150, ms200, ms300, ms500, ms1000, ms2000, ms5000,
                                       ms10000, ms20000, ms50000} OPTIONAL, -- Need ON
                                                 ENUMERATED {
               statusPDU-Periodicity-Type2-r13
                                       ms5, ms10, ms20, ms30, ms40, ms50, ms60, ms70, ms80, ms90,
                                       ms100, ms150, ms200, ms300, ms500, ms1000, ms2000, ms5000,
                                       ms10000, ms20000, ms50000}
                                                                      OPTIONAL,
               statusPDU-Periodicity-Offset-r13 ENUMERATED {
                                       ms1, ms2, ms5, ms10, ms25, ms50, ms100, ms250, ms500,
                                       ms2500, ms5000, ms25000}
                                                                OPTIONAL -- Need ON
           }
                                                                      OPTIONAL
                                                                                 -- Need ON
   1],
      ul-LWA-Config-r14
                                  CHOICE {
                                      NULL,
           release
                                       SEQUENCE {
           setup
               ul-LWA-DRB-ViaWLAN-r14
                                          BOOLEAN,
               ul-LWA-DataSplitThreshold-r14 ENUMERATED {
                                       b0, b100, b200, b400, b800, b1600, b3200, b6400,
                                       b12800, b25600, b51200, b102400, b204800, b409600,
                                       b819200 }
                                                         OPTIONAL -- Need OR
                                                              OPTIONAL,
                                                                            -- Need ON
       uplinkOnlyHeaderCompression-r14
                                          CHOICE {
           notUsed-r14
                                              NULL,
           rohc-r14
                                                  SEOUENCE {
               maxCTD-r14
                                                      INTEGER (1..16383)
                                                                            DEFAULT 15,
               profiles-r14
                                                      SEQUENCE {
                  profile0x0006-r14
                                                         BOOLEAN
               },
```

## **PDCP-Config** field descriptions

#### bufferSize

Indicates the buffer size applied for UDC specified in TS 36.323 [8]. Value *kbyte2* means 2048 bytes, *kbyte4* means 4096 bytes and so on. E-UTRAN does not reconfigure *bufferSize* for a DRB except for handover cases.

## dictionary

Indicates which pre-defined dictionary is used for UDC as specified in TS 36.323 [8]. The value *sip-SDP* means that UE shall prefill the buffer with standard dictionary for SIP and SDP defined in TS 36.323 [8], and the value *operator* means that UE shall prefill the buffer with operator-defined dictionary.

#### discardTimer

Indicates the discard timer value specified in TS 36.323 [8]. Value in milliseconds. Value ms50 means 50 ms, ms100 means 100 ms and so on.

## headerCompression

E-UTRAN does not reconfigure header compression for an MCG DRB except for upon handover and upon the first reconfiguration after RRC connection re-establishment. E-UTRAN does not reconfigure header compression for a SCG DRB except for upon SCG change involving PDCP re-establishment. For split and LWA DRBs E-UTRAN configures only *notUsed*. E-UTRAN only configures this field when neither *uplinkOnlyHeaderCompression* nor *uplinkDataCompression* is configured.

If headerCompression is configured, the UE shall apply the configured ROHC profile(s) in both uplink and downlink.

#### maxCID

Indicates the value of the MAX\_CID parameter as specified in TS 36.323 [8]. The total value of MAX\_CIDs across all bearers for the UE should be less than or equal to the value of *maxNumberROHC-ContextSessions* parameter as indicated by the UE.

# pdcp-Duplication

Parameter for configuring PDCP duplication as specified in TS 36.323 [8]. Value *configured* indicates that PDCP duplication is configured but initially deactivated and value *activated* indicates that PDCP duplication is configured and activated upon configuration. For EN-DC, E-UTRAN configures PDCP duplication for MCG DRB only if PDCP duplication is not configured for any split DRB.

#### pdcp-SN-Size

Indicates the PDCP Sequence Number length in bits. For RLC UM: value *len7bits* means that the 7-bit PDCP SN format is used and *len12bits* means that the 12-bit PDCP SN format is used. For RLC AM: value *len15bits* means that the 15-bit PDCP SN format is used, value *len18bits* means that the 18-bit PDCP SN format is used, otherwise if the field is not included upon setup of the PCDP entity 12-bit PDCP SN format is used, as specified in TS 36.323 [8].

# profiles

The profiles used by both compressor and decompressor in both UE and E-UTRAN. The field indicates which of the ROHC profiles specified in TS 36.323 [8] are supported, i.e. value *true* indicates that the profile is supported. Profile 0x0000 shall always be supported when the use of ROHC is configured. If support of two ROHC profile identifiers with the same 8 LSB's is signalled, only the profile corresponding to the highest value shall be applied. E-UTRAN does not configure ROHC while *t-Reordering* is configured (i.e. for split DRBs, for LWA bearers or upon reconfiguration from split or LWA to MCG DRB).

#### statusFeedback

Indicates whether the UE shall send PDCP Status Report periodically or by E-UTRAN polling as specified in TS 36.323 [8]. E-UTRAN configures this field only for LWA DRB.

#### statusPDU-TypeForPolling

Indicates the PDCP Control PDU option when it is triggered by E-UTRAN polling. Value *type1* indicates using the legacy PDCP Control PDU for PDCP status reporting and value *type2* indicates using the LWA specific PDCP Control PDU for LWA status reporting as specified in TS 36.323 [8].

# statusPDU-Periodicity-Type1

Indicates the value of the PDCP Status reporting periodicity for *type1* Status PDU, as specified in TS 36.323 [8]. Value in milliseconds. Value ms5 means 5 ms, ms10 means 10 ms and so on.

#### statusPDU-Periodicity-Type2

Indicates the value of the PDCP Status reporting periodicity for *type2* Status PDU, as specified in TS 36.323 [8]. Value in milliseconds. Value ms5 means 5 ms, ms10 means 10 ms and so on.

# statusPDU-Periodicity-Offset

Indicates the value of the offset for *type2* Status PDU periodicity, as specified in TS 36.323 [8]. Value in milliseconds. Value ms1 means 1 ms, ms2 means 2 ms and so on.

# t-Reordering

Indicates the value of the reordering timer, as specified in TS 36.323 [8]. Value in milliseconds. Value ms0 means 0 ms and behaviour as specified in 7.3.2 applies, ms20 means 20 ms and so on.

#### rn-IntegrityProtection

Indicates that integrity protection or verification shall be applied for all subsequent packets received and sent by the RN on the DRB.

# statusReportRequired

Indicates whether or not the UE shall send a PDCP Status Report upon re-establishment of the PDCP entity and upon PDCP data recovery as specified in TS 36.323 [8].

## PDCP-Config field descriptions

#### ul-DataSplitDRB-ViaSCG

Indicates whether the UE shall send PDCP PDUs via SCG as specified in TS 36.323 [8]. E-UTRAN only configures the field (i.e. indicates value *TRUE*) for split DRBs. For PDCP duplication, if this field is set to *TRUE*, the primary RLC entity is SCG RLC entity and the secondary RLC entity is MCG RLC entity. If this field is not configured or set to *FALSE*, the primary RLC entity is MCG RLC entity and the secondary RLC entity is SCG RLC entity.

## ul-DataSplitThreshold

Indicates the threshold value for uplink data split operation specified in TS 36.323 [8]. Value b100 means 100 Bytes, b200 means 200 Bytes and so on. E-UTRAN only configures this field for split DRBs.

#### ul-LWA-DRB-ViaWLAN

Indicates whether the UE shall send PDCP PDUs via the LWAAP entity as specified in TS 36.323 [8]. E-UTRAN only configures this field (i.e. indicates value *TRUE*) for LWA DRBs.

#### ul-LWA-DataSplitThreshold

Indicates the threshold value for uplink data split operation as specified in TS 36.323 [8]. Value b0 means 0 Bytes, b100 means 100 Bytes and so on. E-UTRAN only configures this field for LWA DRBs.

#### uplinkDataCompression

Indicates the UDC configuration that the UE shall apply. E-UTRAN does not configure *uplinkDataCompression* for a DRB, if *headerCompression* or *uplinkOnlyHeaderCompression* is already configured for the DRB. E-UTRAN does not configure *uplinkDataCompression* for the split and LWA DRBs. The maximum number of DRBs where *uplinkDataCompression* can be applied is two. In this version of the specification, for existing DRBs, E-UTRAN can only configure *uplinkDataCompression* via handover procedure.

#### uplinkOnlyHeaderCompression

Indicates the ROHC configuration that the UE shall apply uplink-only ROHC operations, see TS 36.323 [8]. E-UTRAN only configures this field when *headerCompression* is not configured.

E-UTRAN does not reconfigure header compression for an MCG DRB except for upon handover and upon the first reconfiguration after RRC connection re-establishment. E-UTRAN does not reconfigure header compression for a SCG DRB except for upon SCG change involving PDCP re-establishment. For split and LWA DRBs E-UTRAN configures only *notUsed*.

Conditional presence	Explanation
RIc-AM	The field is mandatory present upon setup of a PDCP entity for a radio bearer configured with RLC AM. The field is optional, need ON, in case of reconfiguration of a PDCP entity at handover, at the first reconfiguration after RRC re-establishment or at SCG change involving PDCP re-establishment or PDCP data recovery for a radio bearer configured with RLC AM. Otherwise the field is not present.
RIc-AM2	The field is optionally present, need OP, upon setup of a PDCP entity for a radio bearer configured with RLC AM. Otherwise the field is not present.
RIc-AM3	The field is optionally present, need OP, upon setup of a PDCP entity for a radio bearer configured with RLC AM, if <i>pdcp-SN-Size-v1130</i> is absent. Otherwise the field is not present.
Ric-AM4	The field is optionally present, need ON, upon setup of a PDCP entity for a radio bearer configured with RLC AM. The field is optional, need OP, in case of reconfiguration of a PDCP entity at handover. Otherwise the field is not present and the UE shall continue to use the existing value.
RIc-UM	The field is mandatory present upon setup of a PDCP entity for a radio bearer configured with RLC UM. It is optionally present, Need ON, upon handover within E-UTRA, upon the first reconfiguration after re-establishment and upon SCG change involving PDCP re-establishment. Otherwise the field is not present.
RN	The field is optionally present when signalled to the RN, need OR. Otherwise the field is not present.
Setup	The field is mandatory present in case of radio bearer setup. Otherwise the field is optionally present, need ON.
SetupS	The field is mandatory present in case of setup of or reconfiguration to a split DRB or LWA DRB as well as in case of setup of reconfiguration to a DRB associated with at least one RLC entity configured with <i>rlc-OutOfOrderDelivery</i> . The field is optionally present upon reconfiguration of a split DRB or LWA DRB or upon DRB type change from split to MCG DRB or from LWA to LTE only as well as upon reconfiguration of a DRB associated with at least one RLC entity configured with <i>rlc-OutOfOrderDelivery</i> , need ON. Otherwise the field is not present.

# – PDSCH-Config

The IE *PDSCH-ConfigCommon* and the IE *PDSCH-ConfigDedicated* are used to specify the common and the UE specific PDSCH configuration respectively.

# PDSCH-Config information element

```
-- ASN1START
PDSCH-ConfigCommon ::=
                         SEQUENCE {
   referenceSignalPower
                                       INTEGER (-60..50),
                                       INTEGER (0..3)
}
PDSCH-ConfigCommon-v1310 ::= SEQUENCE {
   pdsch-maxNumRepetitionCEmodeA-r13 ENUMERATED {
                                          r16, r32 }
                                                                     OPTIONAL, -- Need OR
   pdsch-maxNumRepetitionCEmodeB-r13 ENUMERATED
                                           r192, r256, r384, r512, r768, r1024,
                                           r1536, r2048}
                                                                          OPTIONAL -- Need OR
}
PDSCH-ConfigDedicated::=
                              SEQUENCE {
                                       ENUMERATED {
                                          dB-6, dB-4dot77, dB-3, dB-1dot77,
                                           dB0, dB1, dB2, dB3}
PDSCH-ConfigDedicated-v1130 ::=
                                  SEOUENCE {
                                      DMRS-Config-r11 OPTIONAL, -- Need ON ENUMERATED {typeA, typeB} OPTIONAL, -- Need OR
   dmrs-ConfigPDSCH-rll DMRS-Config-rll
   gcl-Operation
    re-MappingQCLConfigToReleaseList-r11 RE-MappingQCLConfigToReleaseList-r11 OPTIONAL,
   re-MappingQCLConfigToAddModList-r11 RE-MappingQCLConfigToAddModList-r11 OPTIONAL
Need ON
}
                                   SEQUENCE {
PDSCH-ConfigDedicated-v1280 ::=
   tbsIndexAlt-r12
                                      ENUMERATED {a26, a33}
                                                                         OPTIONAL
                                                                                      -- Need OR
PDSCH-ConfigDedicated-v1310 ::=
                                   SEOUENCE {
  dmrs-ConfigPDSCH-v1310
                                   DMRS-Config-v1310
                                                                         OPTIONAL
                                                                                      -- Need ON
PDSCH-ConfigDedicated-v1430 ::= SEQUENCE {
                                  SEQUENCE {
   ENUMERATED {bw5, bw20}
   ENUMERATED {on}
   ce-PDSCH-MaxBandwidth-r14
ce-PDSCH-TenProcesses-r14
ce-HARQ-AckBundling-r14
                                                                          OPTIONAL,
                                                                                      -- Need OP
                                                                                      -- Need OR
                                                                          OPTIONAL,
                                     ENUMERATED {on}
                                                                         OPTIONAL,
                                                                                      -- Need OR
                                      ENUMERATED {range1, range2}
    ce-SchedulingEnhancement-r14
                                                                          OPTIONAL,
                                                                                      -- Need OR
                                                                                      -- Need OR
    tbsIndexAlt2-r14
                                          ENUMERATED {b33}
}
PDSCH-ConfigDedicated-v1530 ::= SEQUENCE {
                        ENUMERATED {typeC} OPTIONAL,

ENUMERATED {a37} OPTIONAL,
   qcl-Operation-v1530
                                                                                     -- Need OR
   tbs-IndexAlt3-r15
    -- eNote (ToDo): Clarify that eMTC fields (i.e. fields starting with ce-) do not apply
    -- for SCell (merging issue)
   ce-CQI-AlternativeTableConfig-r15
                                              ENUMERATED {on}
                                                                          OPTIONAL,
                                                                                       -- Need OR
                                                                          OPTIONAL,
OPTIONAL,
                                              ENUMERATED {on}
    ce-PDSCH-64QAM-Config-r15
                                                                                      -- Need OR
    ce-PDSCH-FlexibleStartPRB-AllocConfig-r15 ENUMERATED {on}
                                                                                      -- Need OR
                                    ENUMERATED {oDot5, oDot625, oDot75, oDot875}
                                                                                      OPTIONAL --
   altMCS-TableScalingConfig-r15
Need OR
PDSCH-ConfigDedicatedSCell-v1430 ::=
                                           SECUENCE {
                                           ENUMERATED {b33}
    tbsIndexAlt2-r14
                                                                         OPTIONAL -- Need OR
RE-MappingQCLConfigToAddModList-r11 ::= SEQUENCE (SIZE (1..maxRE-MapQCL-r11)) OF PDSCH-RE-
MappingQCL-Config-r11
RE-MappingQCLConfigToReleaseList-r11 ::= SEQUENCE (SIZE (1..maxRE-MapQCL-r11)) OF PDSCH-RE-
MappingQCL-ConfigId-r11
PDSCH-RE-MappingQCL-Config-r11 ::= SEQUENCE {
   pdsch-RE-MappingQCL-ConfigId-rll PDSCH-RE-MappingQCL-ConfigId-rll,
    optionalSetOfFields-r11
                                      SEQUENCE {
                                          ENUMERATED {n1, n2, n4, spare1},
       crs-PortsCount-r11
        crs-FreqShift-r11
                                           INTEGER (0..5),
        mbsfn-SubframeConfigList-r11
                                          CHOICE {
           release
                                              NULL.
                                              SEQUENCE {
           setup
```

```
subframeConfigList MBSFN-SubframeConfigList
           }
                                                                           OPTIONAL, -- Need ON
                                          ENUMERATED {reserved, n1, n2, n3, n4, assigned}
       pdsch-Start-r11
                                                                           OPTIONAL, -- Need OP
    csi-RS-ConfigZPId-r11
                                      CSI-RS-ConfigZPId-r11,
    qcl-CSI-RS-ConfigNZPId-r11 CSI-RS-ConfigNZPId-r11,
                                                                           OPTIONAL, -- Need OR
    [[ mbsfn-SubframeConfigList-v1430 CHOICE {
           release
                                        NULL,
                                        SEQUENCE {
           setup
                subframeConfigList-v1430 MBSFN-SubframeConfigList-v1430
                                                                            OPTIONAL -- Need OP
    [[ codewordOneConfig-v1530
                                           CHOICE {
                                       NULL,
           release
            setup
                                       SEQUENCE {
                                                       ENUMERATED {n1, n2, n4, spare1},
               crs-PortsCount-v1530
                crs-FreqShift-v1530
                                                        INTEGER (0..5),
               mbsfn-SubframeConfigList-v1530
                                                       MBSFN-SubframeConfigList
               mbsfn-SubframeConfigList-v1530 MBSFN-SubframeConfigList OPTIONAL, mbsfn-SubframeConfigListExt-v1530 MBSFN-SubframeConfigList-v1430 OPTIONAL,
                                                                                  OPTIONAL,
               pdsch-Start-v1530
                                                       ENUMERATED {reserved, n1, n2, n3, n4,
assigned},
               csi-RS-ConfigZPId-v1530
                                                       CSI-RS-ConfigZPId-r11,
               qcl-CSI-RS-ConfigNZPId-v1530
                                                       CSI-RS-ConfigNZPId-r11 OPTIONAL
                                                                        OPTIONAL -- Cond TypeC
   ]]
-- ASN1STOP
```

## PDSCH-Config field descriptions

#### altMCS-TableScalingConfig

Presence of the field indicates activation of 6-bit MCS table (i.e., *altMCS-Table*) for UE indicating support for *altMCS-Table*, see TS 36.212 [22] and TS 36.213 [23]. The indicated value configures the parameter *altMCS-Table-Scaling* where value oDot5 corresponds to scaling factor 0.5, value oDot625 corresponds to scaling factor 0.625 and so on, see TS 36.213 [23].

## ce-CQI-AlternativeTableConfig

Configures the UE supporting alternative CQI table to use the alternative CQI table in CE mode A. See TS 36.213 [23].

#### ce-HARQ-AckBundling

Activation of PDSCH HARQ-ACK bundling in half duplex FDD in CE mode A, see TS 36.212 [22] and TS 36.213 [23].

## ce-PDSCH-64QAM-Config

Activation of 64 QAM for non-repeated unicast PDSCH in CE mode A.

## ce-PDSCH-FlexibleStartPRB-AllocConfig

Activation of flexible starting PRB for PDSCH resource allocation in CE mode A or B. E-UTRAN does not configure this field when E-UTRA system bandwidth is 1.4 MHz.

#### ce-PDSCH-MaxBandwidth

Maximum PDSCH channel bandwidth in CE mode A and B, see TS 36.212 [22] and TS 36.213 [23]. Value bw5 corresponds to 5 MHz, and value bw20 corresponds to 20 MHz. If this field is absent, the UE shall release any existing value and set the maximum PDSCH channel bandwidth in CE mode A and B to 1.4 MHz. Parameter: transmission bandwidth configuration, see TS 36.101 [42], table 5.6-1. The max bandwidth can by configured to 5MHz for BL UEs and 5MHz or 20MHz for UEs in CE.

# ce-PDSCH-TenProcesses

Configuration of 10 (instead of 8) DL HARQ processes in FDD in CE mode A, see TS 36.212 [22] and TS 36.213 [23].

## ce-SchedulingEnhancement

Activation of dynamic HARQ-ACK delay for HD-FDD for PDSCH in CE mode A controlled by the DCI, see TS 36.212 [22] and TS 36.213 [23]. Value range1 corresponds to the first range of HARQ-ACK delays, and value range2 corresponds to second range of HARQ-ACK delays.

#### codewordOneConfia

The field corresponds to codeword 1, see TS 36.213 [23], clause 7.1.10. If absent, the UE applies the values from the serving cell configured on the same frequency.

# mbsfn-SubframeConfigList

Indicates the MBSFN configuration for the CSI-RS resources. If optionalSetOfFields is absent, the fields mbsfn-SubframeConfigList-r11 and mbsfn-SubframeConfigList-v1430 are released.

# optionalSetOfFields

If absent, the UE releases the configuration provided previously, if any, and applies the values from the serving cell configured on the same frequency. If the UE is configured with *qcl-Operation-v1530*, this field corresponds to codeword 0, see TS 36.213 [23], clause 7.1.10.

#### p-a

Parameter:  $P_A$ , see TS 36.213 [23], clause 5.2. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc.

#### n-h

Parameter:  $P_B$ , see TS 36.213 [23], clause Table 5.2-1.

# pdsch-maxNumRepetitionCEmodeA

Maximum value to indicate the set of PDSCH repetition numbers for CE mode A, see TS 36.211 [21] and TS 36.213 [23].

#### pdsch-maxNumRepetitionCEmodeB

Maximum value to indicate the set of PDSCH repetition numbers for CE mode B, see TS 36.211 [21] and TS 36.213 [23].

# pdsch-Start

The starting OFDM symbol of PDSCH for the concerned serving cell, see TS 36.213 [23], clause 7.1.6.4. Values 1, 2, 3 are applicable when *dl-Bandwidth* for the concerned serving cell is greater than 10 resource blocks, values 2, 3, 4 are applicable when *dl-Bandwidth* for the concerned serving cell is less than or equal to 10 resource blocks, see TS 36.211 [21], Table 6.7-1. Value *n1* corresponds to 1, value *n2* corresponds to 2 and so on. If the field *pdsch-Start-v1530* is also configured, E-UTRAN ensures that this value is the same as *pdsch-Start* (i.e., without suffix).

# qcI-CSI-RS-ConfigNZPId

Indicates the CSI-RS resource that is quasi co-located with the PDSCH antenna ports, see TS 36.213 [23], clause 7.1.9. E-UTRAN configures this field if and only if the UE is configured with *qcl-Operation* set to *typeB* or *qcl-Operation-v1530* set to *typeC*. If the UE is configured with *qcl-Operation-v1530* set to *typeC*, the field *qcl-CSI-RS-ConfigNZPId-v1530* corresponds to codeword 0, and the field *qcl-CSI-RS-ConfigNZPId-v1530* corresponds to codeword 1, see TS 36.213 [23], clause 7.1.10..

## qcl-Operation

Indicates the quasi co-location behaviour to be used by the UE, type A, type B, or type C, as described in TS 36.213 [23], clause 7.1.10. In case *qcl-Operation-v1530* is present, the UE shall ignore the field qcl-Operation (without suffix). E-UTRAN configures *qcl-Operation-v1530* only when transmission mode 10 is configured for the serving cell on this carrier frequency and QCL type C is configured.

# PDSCH-Config field descriptions

#### altMCS-TableScalingConfig

Presence of the field indicates activation of 6-bit MCS table (i.e., *altMCS-Table*) for UE indicating support for *altMCS-Table*, see TS 36.212 [22] and TS 36.213 [23]. The indicated value configures the parameter *altMCS-Table-Scaling* where value oDot5 corresponds to scaling factor 0.5, value oDot625 corresponds to scaling factor 0.625 and so on, see TS 36.213 [23].

## ce-CQI-AlternativeTableConfig

Configures the UE supporting alternative CQI table to use the alternative CQI table in CE mode A. See TS 36.213 [23].

#### ce-HARQ-AckBundling

Activation of PDSCH HARQ-ACK bundling in half duplex FDD in CE mode A, see TS 36.212 [22] and TS 36.213 [23].

## ce-PDSCH-64QAM-Config

Activation of 64 QAM for non-repeated unicast PDSCH in CE mode A.

# referenceSignalPower

Parameter: Reference-signal power, which provides the downlink reference-signal EPRE, see TS 36.213 [23], clause 5.2. The actual value in dBm.

## re-MappingQCLConfigToAddModList, re-MappingQCLConfigToReleaseList

For a serving frequency E-UTRAN configures at least one *PDSCH-RE-MappingQCL-Config* when transmission mode 10 is configured for the serving cell on this carrier frequency. Otherwise it does not configure this field.

#### tbsIndexAlt

Indicates the applicability of the alternative TBS index for the  $I_{TBS}$  26 and 33 (see TS 36.213 [23], Table 7.1.7.2.1-1), to all subframes scheduled by DCI format 2C or 2D. Value a26 refers to the alternative TBS index  $I_{TBS}$  26A, and value a33 refers to the alternative TBS index  $I_{TBS}$  33A. If this field is not configured, the UE shall use  $I_{TBS}$  26 specified in Table 7.1.7.2.1-1 in TS 36.213 [23] for all subframes instead. If neither this field nor tbsIndexAlt2 configures an alternative TBS index for  $I_{TBS}$  33, the UE shall use  $I_{TBS}$  33 specified in Table 7.1.7.2.1-1 in TS 36.213 [23] for all subframes instead.

#### tbsIndexAlt2

Indicates the applicability of the alternative TBS index for the  $I_{TBS}$  33 (see TS 36.213 [23], Table 7.1.7.2.1-1) to all subframes. Value b33 refers to the alternative TBS index  $I_{TBS}$  33B. If neither this field nor tbsIndexAlt configures an alternative TBS index for  $I_{TBS}$  33, the UE shall use  $I_{TBS}$  33 specified in Table 7.1.7.2.1-1 in TS 36.213 [23] for all subframes instead.

#### tbs-IndexAlt3

Indicates the applicability of the alternative TBS index for the  $h_{\rm TBS}$  37 (see TS 36.213 [23], Table 7.1.7.2.1-1) to all subframes. Value a37 refers to the alternative TBS index  $h_{\rm TBS}$  37A.

Conditional presence	Explanation
TypeC	The field is optional, need ON when <i>qcl-Operation</i> is configured with <i>typeC</i> . Otherwise the
	field is not present and the UE shall delete any existing value for this field.

# PDSCH-RE-MappingQCL-Configld

The IE *PDSCH-RE-MappingQCL-ConfigId* is used to identify a set of PDSCH parameters related to resource element mapping and quasi co-location, as configured by the IE *PDSCH-RE-MappingQCL-Config*. The identity is unique within the scope of a carrier frequency.

# PDSCH-RE-MappingQCL-ConfigId information elements

```
-- ASN1START

PDSCH-RE-MappingQCL-ConfigId-r11 ::= INTEGER (1..maxRE-MapQCL-r11)

-- ASN1STOP
```

# PerCC-GapIndicationList

The IE *PerCC-GapIndicationList* is used to specify the UE measurement gap preference.

# PerCC-GapIndication information elements

```
-- ASN1START
PerCC-GapIndicationList-r14 ::= SEQUENCE (SIZE (1..maxServCell-r13)) OF PerCC-GapIndication-r14

PerCC-GapIndication-r14 ::= SEQUENCE {
    servCellId-r14 ServCellIndex-r13,
```

## PerCC-GapIndication field descriptions

## servCellId

This field identifies the serving cell for which the measurement gap perference is provided.

## gapIndication

This field is used to indicate the measurement gap preference per component carrier (serving cell) by the UE both in non-CA and CA configurations. Value *gap* indicates that a measurement gap is needed for the associated *servCellId*, value *nogap-noNcsg* indicates that neither a measurement gap nor a ncsg is needed for the associated *servCellId*, value *ncsg* indicates that ncsg is needed for the associated *servCellId*. The UE shall indicate the per CC measurement gap preference consistently for the same non-CA or CA configuration and measurement configuration during the same RRC connection.

# - PHICH-Config

The IE PHICH-Config is used to specify the PHICH configuration.

# **PHICH-Config** information element

```
-- ASN1START

PHICH-Config ::= SEQUENCE {
    phich-Duration ENUMERATED {normal, extended},
    phich-Resource ENUMERATED {oneSixth, half, one, two}
}

-- ASN1STOP
```

# PHICH-Config field descriptions phich-Duration Parameter: PHICH-Duration, see TS 36.211 [21], Table 6.9.3-1. phich-Resource Parameter: Ng, see TS 36.211 [21], clause 6.9. Value oneSixth corresponds to 1/6, half corresponds to 1/2 and so on.

# PhysicalConfigDedicated

The IE *PhysicalConfigDedicated* is used to specify the UE specific physical channel configuration.

# PhysicalConfigDedicated information element

```
-- ASN1START
PhysicalConfigDedicated ::=
                                SEOUENCE {
   pdsch-ConfigDedicated
                                       PDSCH-ConfigDedicated
                                                                      OPTIONAL,
                                                                                        -- Need ON
                                        PUCCH-ConfigDedicated
PUSCH-ConfigDedicated
    pucch-ConfigDedicated
                                                                       OPTIONAL,
                                                                                        -- Need ON
                                                                       OPTIONAL,
                                                                                        -- Need ON
    pusch-ConfigDedicated
    uplinkPowerControlDedicated
                                       UplinkPowerControlDedicated OPTIONAL,
                                                                                        -- Need ON
    tpc-PDCCH-ConfigPUCCH
                                        TPC-PDCCH-Config
                                                                       OPTIONAL,
                                                                                        -- Need ON
    tpc-PDCCH-ConfigPUSCH
                                        TPC-PDCCH-Config
                                                                       OPTIONAL,
                                                                                        -- Need ON
    cqi-ReportConfig
                                        CQI-ReportConfig
                                                                        OPTIONAL,
                                                                                        -- Cond CQI-
r8
    soundingRS-UL-ConfigDedicated
                                        SoundingRS-UL-ConfigDedicated OPTIONAL,
                                                                                        -- Need ON
                                        CHOICE {
    antennaInfo
        explicitValue
                                           AntennaInfoDedicated,
        defaultValue
                                           NULL
                                                                        OPTIONAL,
                                                                                    -- Cond AI-r8
    schedulingRequestConfig
                                      SchedulingRequestConfig
                                                                        OPTIONAL,
                                                                                        -- Need ON
    [[ cqi-ReportConfig-v920
                                            CQI-ReportConfig-v920
                                                                        OPTIONAL,
                                                                                        -- Cond COI-
r8
        antennaInfo-v920
                                            AntennaInfoDedicated-v920
                                                                        OPTIONAL
                                                                                        -- Cond AI-
r8
    ]],
    [[ antennaInfo-r10
                                        CHOICE {
```

```
explicitValue-r10
                                           AntennaInfoDedicated-r10,
            defaultValue
                                            NULL
                                                                        OPTIONAL,
                                                                                    -- Cond AI-r10
        antennaInfoUL-r10
                                                                        OPTIONAL,
                                       AntennaInfoUL-r10
                                                                                        -- Need ON
        cif-Presence-r10
                                        BOOLEAN
                                                                        OPTIONAL,
                                                                                        -- Need ON
        cqi-ReportConfig-r10
                                       CQI-ReportConfig-r10
                                                                        OPTIONAL,
                                                                                    -- Cond CQI-r10
        csi-RS-Config-r10
                                                                        OPTIONAL,
                                        CSI-RS-Config-r10
                                                                                        -- Need ON
       pucch-ConfigDedicated-v1020 pusch-ConfigDedicated-v1020 pusch-ConfigDedicated-v1020 pusch-ConfigDedicated-v1020 schedulingRequestConfig-v1020 SchedulingRequestConfig-v1020
                                                                                        -- Need ON
                                                                        OPTIONAL,
                                                                        OPTIONAL,
                                                                                        -- Need ON
                                                                        OPTIONAL,
                                                                                        -- Need ON
        soundingRS-UL-ConfigDedicated-v1020
                                SoundingRS-UL-ConfigDedicated-v1020
                                                                        OPTIONAL.
                                                                                        -- Need ON
        soundingRS-UL-ConfigDedicatedAperiodic-r10
                            SoundingRS-UL-ConfigDedicatedAperiodic-r10 OPTIONAL,
                                                                                        -- Need ON
        uplinkPowerControlDedicated-v1020
                                    UplinkPowerControlDedicated-v1020 OPTIONAL
                                                                                        -- Need ON
    ]],
    [[ additionalSpectrumEmissionCA-r10
                                                    CHOICE {
           release
                                                    NULL.
                                                    SEQUENCE {
            setup
                {\tt additionalSpectrumEmissionPCell-r10}
                                                        AdditionalSpectrumEmission
                    OPTIONAL -- Need ON
    ]],
    [[ -- DL configuration as well as configuration applicable for DL and UL
        csi-RS-ConfigNZPToReleaseList-r11
                                    CSI-RS-ConfigNZPToReleaseList-r11 OPTIONAL,
                                                                                        -- Need ON
        csi-RS-ConfigNZPToAddModList-r11
                                    CSI-RS-ConfigNZPToAddModList-r11
                                                                        OPTIONAL.
                                                                                        -- Need ON
        csi-RS-ConfigZPToReleaseList-r11
                                    CSI-RS-ConfigZPToReleaseList-r11
                                                                        OPTIONAL,
                                                                                        -- Need ON
        csi-RS-ConfigZPToAddModList-rll CSI-RS-ConfigZPToAddModList-rll OPTIONAL,
                                                                                        -- Need ON
        epdcch-Config-rll
                                        EPDCCH-Config-r11
                                                                        OPTIONAL,
                                                                                        -- Need ON
                                                                                        -- Need ON
        pdsch-ConfigDedicated-v1130
                                                                        OPTIONAL,
                                       PDSCH-ConfigDedicated-v1130
    -- UL configuration
        cqi-ReportConfig-v1130
                                       CQI-ReportConfig-v1130
                                                                        OPTIONAL,
                                                                                        -- Need ON
       pucch-ConfigDedicated-v1130 PUCCH-ConfigDedicated-v1130 pusch-ConfigDedicated-v1130 PUSCH-ConfigDedicated-v1130
                                                                        OPTIONAL,
                                                                                        -- Need ON
                                                                        OPTIONAL,
                                                                                        -- Need ON
        uplinkPowerControlDedicated-v1130
                                   UplinkPowerControlDedicated-v1130 OPTIONAL
                                                                                        -- Need ON
                                        AntennaInfoDedicated-v1250
                                                                        OPTIONAL,
    [[ antennaInfo-v1250
                                                                                    -- Cond AI-r10
        eimta-MainConfig-r12
                                        EIMTA-MainConfig-r12
                                                                        OPTIONAL,
                                                                                        -- Need ON
        eimta-MainConfigPCell-r12
                                                                        OPTIONAL,
                                                                                        -- Need ON
                                        EIMTA-MainConfigServCell-r12
                                       PUCCH-ConfigDedicated-v1250
                                                                        OPTIONAL,
        pucch-ConfigDedicated-v1250
                                                                                        -- Need ON
        cqi-ReportConfigPCell-v1250
                                        CQI-ReportConfig-v1250
                                                                        OPTIONAL,
                                                                                        -- Need ON
        uplinkPowerControlDedicated-v1250
                                   UplinkPowerControlDedicated-v1250 OPTIONAL,
                                                                                        -- Need ON
                                       PUSCH-ConfigDedicated-v1250
        pusch-ConfigDedicated-v1250
                                                                        OPTIONAL,
                                                                                        -- Need ON
        csi-RS-Config-v1250
                                                                                         -- Need ON
                                           CSI-RS-Config-v1250
                                                                        OPTIONAL
    11,
                                           PDSCH-ConfigDedicated-v1280 OPTIONAL
    [[
       pdsch-ConfigDedicated-v1280
                                                                                        -- Need ON
    ]],
    [ [
       pdsch-ConfigDedicated-v1310
                                            PDSCH-ConfigDedicated-v1310 OPTIONAL,
                                                                                        -- Need ON
        pucch-ConfigDedicated-r13
                                                                                        -- Need ON
                                            PUCCH-ConfigDedicated-r13 OPTIONAL,
        pusch-ConfigDedicated-r13
                                            PUSCH-ConfigDedicated-r13 OPTIONAL,
                                                                                        -- Need ON
        pdcch-CandidateReductions-r13
                                       PDCCH-CandidateReductions-r13
                                                                       OPTIONAL,
                                                                                        -- Need ON
        cqi-ReportConfig-v1310
                                                CQI-ReportConfig-v1310 OPTIONAL,
                                                                                        -- Need ON
        soundingRS-UL-ConfigDedicated-v1310
                               SoundingRS-UL-ConfigDedicated-v1310
                                                                        OPTIONAL,
                                                                                        -- Need ON
        soundingRS-UL-ConfigDedicatedUpPTsExt-r13
                       SoundingRS-UL-ConfigDedicatedUpPTsExt-r13
                                                                        OPTIONAL,
                                                                                        -- Need ON
        {\tt soundingRS-UL-ConfigDedicatedAperiodic-v1310}
                        SoundingRS-UL-ConfigDedicatedAperiodic-v1310
                                                                        OPTIONAL,
                                                                                        -- Need ON
        soundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r13
                SoundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r13
                                                                        OPTIONAL,
                                                                                        -- Need ON
        csi-RS-Config-v1310
                                       CSI-RS-Config-v1310
                                                                        OPTIONAL,
                                                                                        -- Need ON
                                    CHOICE {
        ce-Mode-r13
           release
                                        NULL.
                                        ENUMERATED {ce-ModeA,ce-ModeB}
                                                                        OPTIONAL,
                                                                                        -- Need ON
        csi-RS-ConfigNZPToAddModListExt-rl3 CSI-RS-ConfigNZPToAddModListExt-rl3 OPTIONAL, -- Need
ON
        OPTIONAL --
Need ON
    ]],
    [[ cqi-ReportConfig-v1320 CQI-ReportConfig-v1320 OPTIONAL -- Need ON
```

```
[[ typeA-SRS-TPC-PDCCH-Group-r14
                                                                         CHOICE {
                                                                                NULL.
                     release
                                                                                SEQUENCE (SIZE (1..32)) OF SRS-TPC-PDCCH-Config-r14
                      setup
                                                                                                                                   OPTIONAL,
              must-Config-r14
                                                                                CHOICE {
                     release
                                                                                       NULL.
                                                                                       SEOUENCE {
                      setup
                            k-max-r14
                                                                                        ENUMERATED {11, 13},
                            p-a-must-r14
                                                                                        ENUMERATED {
                                                                                              dB-6, dB-4dot77, dB-3, dB-1dot77,
                                                                                               dB0, dB1, dB2, dB3} OPTIONAL
                                                                                                                                                                -- Need ON
                                                                                                                           OPTIONAL,
                                                                                                                                                     -- Need ON
              pusch-EnhancementsConfig-r14
                                                                             PUSCH-EnhancementsConfig-r14
                                                                                                                                                 OPTIONAL, -- Need
ON
              ce-pdsch-pusch-EnhancementConfig-r14
                                                                                             ENUMERATED {on} OPTIONAL, -- Need OR
              antennaInfo-v1430 AntennaInfoDedicated-v1430 OPTIONAL, -- Need ON pucch-ConfigDedicated-v1430 PUCCH-ConfigDedicated-v1430 OPTIONAL, -- Need ON pdsch-ConfigDedicated-v1430 PDSCH-ConfigDedicated-v1430 OPTIONAL, -- Need ON pusch-ConfigDedicated-v1430 PUSCH-ConfigDedicated-v1430 OPTIONAL, -- Need ON
                                                                                                                                                                -- Need ON
               soundingRS-UL-PeriodicConfigDedicatedList-r14
                                                                                                                   SEQUENCE (SIZE (1..2)) OF
SoundingRS-UL-ConfigDedicated OPTIONAL, -- Cond PeriodicSRSPCell
              soundingRS-UL-PeriodicConfigDedicatedUpPTsExtList-r14 SEQUENCE (SIZE (1..4)) OF
SoundingRS-UL-ConfigDedicatedUpPTsExt-r13 OPTIONAL, -- Cond PeriodicSRSExt soundingRS-UL-AperiodicConfigDedicatedList-r14 SEQUENCE (SIZE (1...), or SoundingRS-UL-ConfigDedicatedAperiodic-r10 OPTIONAL, -- Cond AperiodicSRS
               soundingRS-UL-ConfigDedicatedApUpPTsExtList-r14 SEQUENCE (SIZE (1..4)) OF SoundingRS-UL-
ConfigDedicatedAperiodicUpPTsExt-r13 OPTIONAL, -- Cond AperiodicSRSExt
              csi-RS-ConfigZP-ApList-r14
cqi-ReportConfig-v1420
                                                                        CSI-RS-Config-v1430
                                                                                                                                 OPTIONAL,
                                                                                                                                                                -- Need ON
                                                                                      CSI-RS-ConfigZP-ApList-r14 OPTIONAL,
                                                                                                                                                                -- Need ON
                                                                                        CQI-ReportConfig-v1430 OPTIONAL, -- Need ON
              semiOpenLoop-r14
                                                                                       BOOLEAN
                                                                                                                                   OPTIONAL
                                                                                                                                                         -- Need ON
       ]],
       [[ csi-RS-Config-v1480
                                                                                CSI-RS-Config-v1480
                                                                                                                                  OPTIONAL
                                                                                                                                                                -- Need ON
       \hbox{ [[ physical Config Dedicated STTI-r15 } Physical Config Dedicated STTI-r15 OPTIONAL, -- Need ON The Con
              pdsch-ConfigDedicated-v1530
pusch-ConfigDedicated-v1530
                                                                               PDSCH-ConfigDedicated-v1530 OPTIONAL,-- Need ON PUSCH-ConfigDedicated-v1530 OPTIONAL,-- Need ON
                                                                              PUSCH-ConfigDedicated VIII

CQI-ReportConfig-v1530 OPTIONAL, -- Need ON

AntennaInfoDedicated-v1530 OPTIONAL, -- Need ON

OPTIONAL, -- Need ON

OPTIONAL, -- Need ON
              cqi-ReportConfig-v1530
              antennaInfo-v1530
               csi-RS-Config-v1530
              uplinkPowerControlDedicated-v1530
                                                               UplinkPowerControlDedicated-v1530 OPTIONAL,
                                                                                                                                                               -- Need ON
                                                                  CHOICE {
              semiStaticCFI-Config-r15
                                                                          NULL,
                     release
                             up CHOICE {
cfi-Config-r15 CFI-Config-r15,
cfi-PatternConfig-r15 CFI-PatternConf
                                                                                CHOICE {
                      setup
                                                                                       CFI-PatternConfig-r15
                                                                                                                    OPTIONAL,
                                                                                                                                           -- Need ON
              blindPDSCH-Repetition-Config-r15
                                                                                CHOICE {
                      release
                                                                                SEQUENCE {
                             blindSubframePDSCH-Repetitions-r15
                                                                                                     BOOLEAN,
                             blindSlotSubslotPDSCH-Repetitions-r15
                                                                                                    BOOLEAN,
                             maxNumber-SubframePDSCH-Repetitions-r15 ENUMERATED {n4,n6} OPTIONAL, -- Need ON
                             maxNumber-SlotSubslotPDSCH-Repetitions-r15 ENUMERATED {n4,n6} OPTIONAL, --
Need ON
                             rv-SubframePDSCH-Repetitions-r15 ENUMERATED {dlrvseq1, dlrvseq2} OPTIONAL, --
Need ON
                             rv-SlotsublotPDSCH-Repetitions-r15 ENUMERATED {dlrvseq1, dlrvseq2} OPTIONAL, --
Need ON
                             numberOfProcesses-SubframePDSCH-Repetitions-r15 INTEGER(1..16) OPTIONAL, -- Need ON
                             numberOfProcesses-SlotSubslotPDSCH-Repetitions-r15 INTEGER(1..16) OPTIONAL, --
Need ON
                             mcs-restrictionSubframePDSCH-Repetitions-r15
                                                                                                                   ENUMERATED {n0, n1} OPTIONAL, --
Need ON
                             mcs-restrictionSlotSubslotPDSCH-Repetitions-r15 ENUMERATED {n0, n1} OPTIONAL -- Need
ON
                      }
              }
                                                                                                                     OPTIONAL
                                                                                                                                            -- Need ON
       11,
              spucch-Config-v1550
                                                                      SPUCCH-Config-v1550
       [[
                                                                                                                           OPTIONAL -- Need ON
        ]]
PhysicalConfigDedicated-v1370 ::= SEQUENCE {
```

```
pucch-ConfigDedicated-v1370 PUCCH-ConfigDedicated-v1370 OPTIONAL
                                                                                     -- Cond
PUCCH-Format4or5
}
PhysicalConfigDedicated-v13c0 ::= SEQUENCE {
   pucch-ConfigDedicated-v13c0
                                  PUCCH-ConfigDedicated-v13c0
PhysicalConfigDedicatedSCell-r10 ::=
                                        SEQUENCE {
    -- DL configuration as well as configuration applicable for DL and UL
   nonUL-Configuration-r10
                                         SEQUENCE {
       antennaInfo-r10
                                          AntennaInfoDedicated-r10 OPTIONAL,
                                                                                     -- Need ON
       crossCarrierSchedulingConfig-r10
                           CrossCarrierSchedulingConfig-r10
                                                                     OPTIONAL,
                                                                                     -- Need ON
       csi-RS-Config-r10
                                             CSI-RS-Config-r10
                                                                     OPTIONAL,
                                                                                     -- Need ON
       pdsch-ConfigDedicated-r10
                                              PDSCH-ConfigDedicated OPTIONAL
                                                                                     -- Need ON
                                                                      OPTIONAL,
                                                                                 -- Cond SCellAdd
   }
     - UL configuration
   ul-Configuration-r10
                                          SEOUENCE {
       antennaInfoUL-r10
                                              AntennaInfoUL-r10
                                                                     OPTIONAL,
                                                                                    -- Need ON
       pusch-ConfigDedicatedSCell-r10
                              PUSCH-ConfigDedicatedSCell-r10 OPTIONAL, -- Cond PUSCH-SCell1
       uplinkPowerControlDedicatedSCell-r10
                                                                     OPTIONAL,
                              UplinkPowerControlDedicatedSCell-r10
                                                                                     -- Need ON
                                          CQI-ReportConfigSCell-r10
       cgi-ReportConfigSCell-r10
                                                                     OPTIONAL,
                                                                                     -- Need ON
       {\tt soundingRS-UL-ConfigDedicated-r10}
                                      SoundingRS-UL-ConfigDedicated OPTIONAL,
       soundingRS-UL-ConfigDedicated-v1020
                                  SoundingRS-UL-ConfigDedicated-v1020 OPTIONAL,
                                                                                     -- Need ON
       soundingRS-UL-ConfigDedicatedAperiodic-r10
                          SoundingRS-UL-ConfigDedicatedAperiodic-r10 OPTIONAL
                                                                                 -- Need ON
                                                                     OPTIONAL,
   }
                                                                                 -- Cond CommonUL
    [[ -- DL configuration as well as configuration applicable for DL and UL
       csi-RS-ConfigNZPToReleaseList-r11
                                  CSI-RS-ConfigNZPToReleaseList-r11 OPTIONAL,
                                                                                     -- Need ON
       csi-RS-ConfigNZPToAddModList-r11
                                  CSI-RS-ConfigNZPToAddModList-r11
                                                                     OPTIONAL,
                                                                                     -- Need ON
       csi-RS-ConfigZPToReleaseList-r11
                                  CSI-RS-ConfigZPToReleaseList-r11
                                                                     OPTIONAL,
                                                                                     -- Need ON
       csi-RS-ConfigZPToAddModList-r11
                                      CSI-RS-ConfigZPToAddModList-r11 OPTIONAL,
                                                                                     -- Need ON
       epdcch-Config-r11
                                          EPDCCH-Config-r11
                                                                      OPTIONAL,
                                                                                     -- Need ON
                                                                                     -- Need ON
       pdsch-ConfigDedicated-v1130
                                          PDSCH-ConfigDedicated-v1130 OPTIONAL,
    -- UL configuration
       cqi-ReportConfig-v1130
                                          CQI-ReportConfig-v1130
                                                                                     -- Need ON
                                                                     OPTIONAL,
       pusch-ConfigDedicated-v1130
                                  PUSCH-ConfigDedicated-v1130 OPTIONAL, -- Cond PUSCH-SCell1
       uplinkPowerControlDedicatedSCell-v1130
                                  UplinkPowerControlDedicated-v1130 OPTIONAL
                                                                                     -- Need ON
    [[ antennaInfo-v1250
                                          AntennaInfoDedicated-v1250 OPTIONAL,
                                                                                     -- Need ON
       eimta-MainConfigSCell-r12
                                      EIMTA-MainConfigServCell-r12
                                                                     OPTIONAL,
                                                                                     -- Need ON
       cqi-ReportConfigSCell-v1250
                                          CQI-ReportConfig-v1250
                                                                     OPTIONAL,
                                                                                     -- Need ON
       uplinkPowerControlDedicatedSCell-v1250
                                 UplinkPowerControlDedicated-v1250 OPTIONAL,
                                                                                     -- Need ON
       csi-RS-Config-v1250
                                          CSI-RS-Config-v1250
                                                                     OPTIONAL
                                                                                     -- Need ON
   1],
   [[ pdsch-ConfigDedicated-v1280
                                          PDSCH-ConfigDedicated-v1280 OPTIONAL
                                                                                     -- Need ON
   [[ pucch-Cell-r13
                                          ENUMERATED {true}
                                                                OPTIONAL, -- Cond PUCCH-SCell1
       pucch-SCell
                                          CHOICE {
           release
                                              MIII.T.
                                              SEQUENCE {
           setup
               pucch-ConfigDedicated-r13
                                          PUCCH-ConfigDedicated-r13 OPTIONAL,
                                                                                     -- Need ON
               schedulingRequestConfig-r13
                                  SchedulingRequestConfigSCell-r13
                                                                     OPTIONAL,
                                                                                     -- Need ON
               tpc-PDCCH-ConfigPUCCH-SCell-r13
                                          TPC-PDCCH-ConfigSCell-r13 OPTIONAL,
                                                                                     -- Need ON
               pusch-ConfigDedicated-r13
                                      PUSCH-ConfigDedicated-r13 OPTIONAL, -- Cond PUSCH-SCell
               uplinkPowerControlDedicated-r13
                              UplinkPowerControlDedicatedSCell-v1310 OPTIONAL
                                                                                 -- Need ON
           }
                                                                    OPTIONAL, -- Need ON
```

```
crossCarrierSchedulingConfig-r13
        CrossCarrierSchedulingConfig-r13 OPTIONAL, -- Cond Cross-Optional -- ConfigSCell-r13 OPTIONAL, -- Cond Cross-Optional -- ConfigSCell-r13 OPTIONAL, cqi-ReportConfig-v1310 CQI-ReportConfig-v1310 OPTIONAL, pdsch-ConfigDedicated-v1310 PDSCH-ConfigDedicated-v1310 OPTIONAL,
                                                                            -- Cond Cross-Carrier-Config
                                                                                            -- Need ON
                                                                                             -- Need ON
                                                                                             -- Need ON
        soundingRS-UL-ConfigDedicated-v1310
                                 SoundingRS-UL-ConfigDedicated-v1310
                                                                          OPTIONAL.
                                                                                             -- Need ON
        {\tt soundingRS-UL-ConfigDedicatedUpPTsExt-r13}
                             SoundingRS-UL-ConfigDedicatedUpPTsExt-r13 OPTIONAL,
                                                                                             -- Need ON
        sounding RS-UL-Config Dedicated {\tt Aperiodic-v1310}
                        SoundingRS-UL-ConfigDedicatedAperiodic-v1310
                                                                            OPTIONAL,
                                                                                             -- Need ON
        soundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r13
                     SoundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r13 OPTIONAL,
                                                                                             -- Need ON
                                             CSI-RS-Config-v1310
        csi-RS-Config-v1310
                                                                           OPTIONAL,
                                                                                             -- Need ON
        laa-SCellConfiguration-r13
                                              LAA-SCellConfiguration-r13 OPTIONAL,
        csi-RS-ConfigNZPToAddModListExt-r13 CSI-RS-ConfigNZPToAddModListExt-r13 OPTIONAL, -- Need
ON
        Need ON
    ]],
                                              CQI-ReportConfig-v1320 OPTIONAL
        cqi-ReportConfig-v1320
                                                                                         -- Need ON
    1.1
    ]],
        laa-SCellConfiguration-v1430
                                              LAA-SCellConfiguration-v1430
                                                                            OPTIONAL,
                                                                                         -- Need ON
        typeB-SRS-TPC-PDCCH-Config-r14
                                              SRS-TPC-PDCCH-Config-r14 OPTIONAL,
                                                                                        -- Need ON
        uplinkPUSCH-LessPowerControlDedicated-v1430
                                                          UplinkPUSCH-LessPowerControlDedicated-v1430
OPTIONAL,
               -- Need ON
        soundingRS-UL-PeriodicConfigDedicatedList-r14
                                                                           SEQUENCE (SIZE (1..2)) OF
        soundingRS-UL-PeriodicConfigDedicatedUpPTsExtList-r14
soundingRS-UL-PeriodicConfigDedicatedUpPTsExtList-r14
SoundingRS-UL-ConfigDedicated
                                                                       -- Cond PeriodicSRS
                                                                                   SEQUENCE (SIZE
(1..4)) OF SoundingRS-UL-ConfigDedicatedUpPTsExt-r13
                                                                                OPTIONAL,
                                                                                                -- Cond
                                                 OPTIONAL, -- Cond AperiodicSRS
(List-r14 SEQUENCE (SIZ
PeriodicSRSExt
        soundingRS-UL-AperiodicConfigDedicatedList-r14
                                                                      SEQUENCE (SIZE (1..2)) OF
SoundingRS-AperiodicSet-r14
        soundingRS-UL-ConfigDedicatedApUpPTsExtList-r14
                                                                      SEQUENCE (SIZE (1..4)) OF
SoundingRS-AperiodicSetUpPTsExt-r14
                                                                  -- Cond AperiodicSRSExt
        must-Config-r14
                                              CHOICE {
            release
                                                  NULL.
                                                  SEQUENCE {
            setup
                k-max-r14
                                                   ENUMERATED {11, 13},
                p-a-must-r14
                                                   ENUMERATED {
                                                       dB-6, dB-4dot77, dB-3, dB-1dot77,
                                                       dB0, dB1, dB2, dB3} OPTIONAL
                                                                                             -- Need ON
            }
                                            OPTIONAL, -- Need ON
PUSCH-ConfigDedicatedSCell-v1430 OPTIONAL, -- Need
        pusch-ConfigDedicated-v1430
ON
                                                  CSI-RS-Config-v1430 OPTIONAL, -- Need ON CSI-RS-ConfigZP-ApList-r14 OPTIONAL, -- Need
        csi-RS-Config-v1430
        csi-RS-ConfigZP-ApList-r14
ON
        cqi-ReportConfig-v1430
                                                  CQI-ReportConfig-v1430 OPTIONAL,
                                                                                         -- Need ON
        semiOpenLoop-r14
                                                   BOOLEAN
                                                                                OPTIONAL, -- Need ON
                                                  PDSCH-ConfigDedicatedSCell-v1430
        pdsch-ConfigDedicatedSCell-v1430
    -- Need ON
    ]],
    [[ csi-RS-Config-v1480
                                              CSI-RS-Config-v1480
                                                                                OPTIONAL
                                                                                             -- Need ON
    11,
    [[ physicalConfigDedicatedSTTI-r15
                                              PhysicalConfigDedicatedSTTI-r15 OPTIONAL,
                                                                                             -- Need ON
                                              PDSCH-ConfigDedicated-v1530 OPTIONAL,
CQI-ReportConfig-v1530 OPTIONAL,
CQI-ReportConfigSCell-r15 OPTIONAL,
CQI-ShortConfigSCell-r15 OPTIONAL,
        pdsch-ConfigDedicated-v1530
                                                                                             -- Need ON
                                                                                             -- Need ON
        dummy
        cqi-ReportConfigSCell-r15
                                                                                             -- Need ON
        cqi-ShortConfigSCell-r15
                                                                                             -- Need ON
        csi-RS-Config-v1530
                                              CSI-RS-Config-v1530
                                                                               OPTIONAL.
                                                                                             -- Need ON
    uplinkPowerControlDedicatedSCell-v1530
                                     UplinkPowerControlDedicated-v1530 OPTIONAL,
                                                                                             -- Need ON
                                              LAA-SCellConfiguration-v1530 OPTIONAL, -- Need ON
        laa-SCellConfiguration-v1530
        pusch-ConfigDedicated-v1530
                                              PUSCH-ConfigDedicatedScell-v1530 OPTIONAL, -- Cond
AUL
        semiStaticCFI-Config-r15
                                          CHOICE {
            release
                                              NULL.
            setup
                                              CHOICE {
                                             CFI-Config-r15,
                cfi-Config-r15
                 cfi-PatternConfig-r15
                                                 CFI-PatternConfig-r15
                                                                    OPTIONAL,
                                                                                 -- Need ON
        blindPDSCH-Repetition-Config-r15
                                              CHOICE {
            release
                                              NULL,
```

```
SEQUENCE {
           setup
               blindSubframePDSCH-Repetitions-r15
                                                      BOOLEAN,
               blindSlotSubslotPDSCH-Repetitions-r15 BOOLEAN,
               maxNumber-SubframePDSCH-Repetitions-r15 ENUMERATED {n4,n6} OPTIONAL, -- Need ON
               maxNumber-SlotSubslotPDSCH-Repetitions-r15 ENUMERATED {n4,n6}
                                                                                OPTIONAL, --
Need ON
               rv-SubframePDSCH-Repetitions-r15 ENUMERATED {dlrvseq1, dlrvseq2} OPTIONAL, --
Need ON
               rv-SlotsublotPDSCH-Repetitions-r15 ENUMERATED {dlrvseq1, dlrvseq2} OPTIONAL, --
Need ON
               numberOfProcesses-SubframePDSCH-Repetitions-r15 INTEGER(1..16) OPTIONAL, -- Need ON
               Need ON
               mcs-restrictionSubframePDSCH-Repetitions-r15
                                                             ENUMERATED {n0, n1} OPTIONAL, --
Need ON
               mcs-restrictionSlotSubslotPDSCH-Repetitions-r15 ENUMERATED {n0, n1} OPTIONAL -- Need
ON
       }
                                                              OPTIONAL
                                                                          -- Need ON
    ]],
                                    SPUCCH-Config-v1550
       spucch-Config-v1550
                                                                 OPTIONAL -- Need ON
    [ [
}
PhysicalConfigDedicatedSCell-v1370 ::= SEQUENCE {
   pucch-SCell-v1370
                                  CHOICE {
       release
                                      NULL
                                      SEQUENCE {
           pucch-ConfigDedicated-v1370 PUCCH-ConfigDedicated-v1370 OPTIONAL
                                                                                     -- Cond
PUCCH-Format4or5
       }
}
PhysicalConfigDedicatedSCell-v13c0 ::= SEQUENCE {
   pucch-SCell-v13c0
                                  CHOICE {
       release
                                     NULL.
                                      SEQUENCE {
       setup
           pucch-ConfigDedicated-v13c0 PUCCH-ConfigDedicated-v13c0
   }
}
CFI-Config-r15 ::= SEQUENCE {
   cfi-SlotSubslotNonMBSFN-r15 INTEGER (1..4)
cfi-SubframeMBSFN-r15 INTEGER (1..3)
cfi-SubframeMBSFN-r15 INTEGER (1..3)
                                                                    OPTIONAL,
                                                                                  -- Need ON
                                                                 OPTIONAL,
                                                                            -- Need ON
                                                                                 -- Need ON
                                                                     OPTIONAL,
    cfi-SlotSubslotMBSFN-r15
                                 INTEGER (1..2)
                                                                     OPTIONAL
                                                                                  -- Need ON
CFI-PatternConfig-r15 ::= SEQUENCE {
    cfi-PatternSubframe-r15 SEQUENCE (SIZE(10)) OF INTEGER (1..4) OPTIONAL,
                                                                                  -- Need ON
    cfi-PatternSlotSubslot-r15 SEQUENCE (SIZE(10)) OF INTEGER (1..3) OPTIONAL
                                                                                 -- Need ON
LAA-SCellConfiguration-r13 ::= subframeStartPosition-r13
                                      SEQUENCE {
                                          ENUMERATED {s0, s07},
    laa-SCellSubframeConfig-r13
                                          BIT STRING (SIZE(8))
                                      SEQUENCE {
LAA-SCellConfiguration-v1430 ::=
   crossCarrierSchedulingConfig-UL-r14 CHOICE {
       release
                                              NULL,
                                              SEQUENCE {
       setup
           crossCarrierSchedulingConfigLAA-UL-r14
                                                     CrossCarrierSchedulingConfigLAA-UL-r14
        }
                                                      OPTIONAL, -- Cond Cross-Carrier-ConfigUL
    lbt-Config-r14
                                              LBT-Config-r14
                                                                     OPTIONAL,
                                                                                     -- Need ON
                                              PDCCH-ConfigLAA-r14 OPTIONAL,
    pdcch-ConfigLAA-r14
                                                                                 -- Need ON
    absenceOfAnyOtherTechnology-r14
                                                                               -- Need OR
                                          ENUMERATED {true} OPTIONAL,
    soundingRS-UL-ConfigDedicatedAperiodic-v1430
                       SoundingRS-UL-ConfigDedicatedAperiodic-v1430 OPTIONAL
                                                                                     -- Need ON
{\tt LAA-SCellConfiguration-v1530} ::= \\ {\tt SEQUENCE} \ \{
                                                           OPTIONAL,
   aul-Config-r15
                                          AUL-Config-r15
                                                                             -- Need ON
                                          PUSCH-ModeConfigLAA-r15 OPTIONAL
    pusch-ModeConfigLAA-r15
                                                                             -- Need OR
```

```
PUSCH-ModeConfigLAA-r15 ::=
                                                           SEQUENCE {
             laa-PUSCH-Model BOOLEAN,
             laa-PUSCH-Mode2 BOOLEAN,
             laa-PUSCH-Mode3 BOOLEAN
}
LBT-Config-r14 ::=
                                      CHOICE {
      maxEnergyDetectionThreshold-r14
                                                                              INTEGER(-85..-52),
      energyDetectionThresholdOffset-r14
                                                                              INTEGER(-13..20)
}
CSI-RS-ConfiqNZPToAddModList-r11 ::= SEQUENCE (SIZE (1..maxCSI-RS-NZP-r11)) OF CSI-RS-ConfiqNZP-
CSI-RS-ConfigNZPToAddModListExt-r13 ::= SEQUENCE (SIZE (1..maxCSI-RS-NZP-v1310)) OF CSI-RS-
ConfigNZP-r11
CSI-RS-ConfigNZPToAddModList-r15 ::= SEQUENCE (SIZE (1..maxCSI-RS-NZP-r13)) OF CSI-RS-ConfiqNZP-
r11
CSI-RS-ConfigNZPToReleaseList-r11 ::= SEQUENCE (SIZE (1..maxCSI-RS-NZP-r11)) OF CSI-RS-
ConfigNZPId-r11
CSI-RS-ConfigNZPToReleaseListExt-r13 ::= SEQUENCE (SIZE (1..maxCSI-RS-NZP-v1310)) OF CSI-RS-
ConfigNZPId-v1310
CSI-RS-ConfigNZPToReleaseList-r15 ::= SEQUENCE (SIZE (1..maxCSI-RS-NZP-r13)) OF CSI-RS-
ConfigNZPId-r13
CSI-RS-ConfigZPToAddModList-r11 ::= SEQUENCE (SIZE (1..maxCSI-RS-ZP-r11)) OF CSI-RS-ConfigZP-r11
CSI-RS-ConfigZPToReleaseList-r11 ::= SEQUENCE (SIZE (1..maxCSI-RS-ZP-r11)) OF CSI-RS-ConfigZPId-
r11
PhysicalConfigDedicatedSTTI-r15 ::= CHOICE {
      release
                                             NULL,
      setup
                                              SEQUENCE {
             antennaInfoDedicatedSTTI-r15
                                                                       AntennaInfoDedicatedSTTI-r15
                                                                                                                                   OPTIONAL, -- Need ON
             antennaInfoUL-STTI-r15
                                                                       AntennaInfoUL-STTI-r15
                                                                                                                                   OPTIONAL, -- Need ON
             antennaInfoUL-STTI-r15 AntennaInfoUL-STTI-r15 OPTIONAL, -- Need ON pucch-ConfigDedicated-v1530 PUCCH-ConfigDedicated-v1530 OPTIONAL, -- Need ON schedulingRequestConfig-v1530 SchedulingRequestConfig-v1530 OPTIONAL, -- Need ON
             pucch-ConfigDedicated-v1530
             uplinkPowerControlDedicatedSTTI-r15 UplinkPowerControlDedicatedSTTI-r15
                                                                                                                                         OPTIONAL,
Need ON
             cqi-ReportConfig-r15
                                                                        CQI-ReportConfig-r15
                                                                                                                                   OPTIONAL, -- Need ON
                                                                                                                                   OPTIONAL, -- Need ON
             csi-RS-Config-r15
                                                                        CSI-RS-Config-r15
             OPTIONAL, -- Need ON
                                                                                                                                  OPTIONAL, -- Need ON
             csi-RS-ConfigZPToAddModList-r11
                                                                       CSI-RS-ConfigZPToAddModList-r11
                                                                                                                                  OPTIONAL, -- Need ON
                                                                                                                                   OPTIONAL, -- Need ON
             csi-RS-ConfigZP-ApList-r15
                                                                       CSI-RS-ConfigZP-ApList-r14
             eimta-MainConfig-r12
                                                                       EIMTA-MainConfig-r12
                                                                                                                                   OPTIONAL, -- Need ON
             eimta-MainConfigServCell-r15
                                                                                                                                   OPTIONAL, -- Need ON
                                                                       EIMTA-MainConfigServCell-r12
             semiOpenLoopSTTI-r15
                                                                       BOOLEAN,
             slotOrSubslotPDSCH-Config-r15 SlotOrSubslotPDSCH-Config-r15 SlotOrSubslotPUSCH-Config-r15 SlotOr
                                                                                                                                  OPTIONAL, -- Need ON OPTIONAL, -- Need ON
             spdcch-Config-r15
                                                                                                                                   OPTIONAL, -- Need ON
                                                                       SPDCCH-Config-r15
                                                                                                                                   OPTIONAL, -- Need ON
             spucch-Config-r15
                                                                        SPUCCH-Config-r15
             srs-DCI7-TriggeringConfig-r15
                                                                       BOOLEAN.
             shortProcessingTime-r15
                                                                       BOOLEAN,
             shortTTI-r15
                                                                        ShortTTI-r15
                                                                                                                                   OPTIONAL -- Need ON
      }
}
SoundingRS-AperiodicSet-r14 ::= SEQUENCE{
      srs-CC-SetIndexList-r14
                                                    SEQUENCE (SIZE (1..4)) OF SRS-CC-SetIndex-r14
                                                                                                  OPTIONAL, -- Cond SRS-Trigger-TypeA
      soundingRS-UL-ConfigDedicatedAperiodic-r14
                                                                               SoundingRS-UL-ConfigDedicatedAperiodic-r10
}
SoundingRS-AperiodicSetUpPTsExt-r14 ::= SEQUENCE{
      srs-CC-SetIndexList-r14
                                                    SEQUENCE (SIZE (1..4)) OF SRS-CC-SetIndex-r14
                                                                                                  OPTIONAL, -- Cond SRS-Trigger-TypeA
      soundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r14
```

```
SoundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r13
}

ShortTTI-r15 ::= SEQUENCE {
    dl-STTI-Length-r15 ShortTTI-Length-r15 OPTIONAL, -- Need OR ul-STTI-Length-r15 ShortTTI-Length-r15 OPTIONAL -- Need OR
}

ShortTTI-Length-r15 ::= ENUMERATED {slot, subslot}
```

## PhysicalConfigDedicated field descriptions

## absenceOfAnyOtherTechnology

Presence of this field indicates absence on a long term basis (e.g. by level of regulation) of any other technology sharing the carrier; absence of this field indicates the potential presence of any other technology sharing the carrier, as specified in TS 37.213 [94].

## additionalSpectrumEmissionPCell

E-UTRAN does not configure this field in this release of the specification.

#### antennalnfo

A choice is used to indicate whether the *antennalnfo* is signalled explicitly or set to the default antenna configuration as specified in clause 9.2.4.

## blindSlotSubslotPDSCH-Repetitions

Enables HARQ-less/blind slot or subslot PDSCH repetitions for a UE in a given cell, i.e. back to back slot/subslot PDSCH transmissions for the same transport block. The number of slot/subslot PDSCH transmissions is indicated in the DCI.

## blindSubframePDSCH-Repetitions

Enables HARQ-less/blind subframe PDSCH repetitions for a UE in a given cell, i.e. back to back PDSCH transmissions for the same transport block. The number of PDSCH transmissions is indicated in the DCI.

#### cgi-ShortConfigSCell

Indicates whether the CSI (CQI/PMI/RI/PTI/CRI) reporting resource configured by *cqi-ShortConfigSCell* is available upon receiving the SCell activation command for this SCell. E-UTRAN only configures this field when transmission mode 1-8 is configured for the serving cell on this carrier frequency.

#### ce-Mode

Indicates the CE mode as specified in TS 36.213 [23].

## ce-pdsch-pusch-Enhancement-Config

Activation of new numbers of repetitions for PUSCH and modulation restrictions for PDSCH/PUSCH in CE mode A, see TS 36.212 [22] and TS 36.213 [23].

#### cfi-SlotSubslotMBSFN

Indicates the semi-static control format indicator for slot/subslot operation in MBSFN subframes.

#### cfi-SlotSubslotNonMBSFN

Indicates the semi-static control format indicator for slot/subslot operation in non-MBSFN subframes.

#### cfi-SubframeMBSFN

Indicates the semi-static control format indicator for subframe operation in MBSFN subframes.

#### cfi-SubframeNonMBSFN

Indicates the semi-static control format indicator for subframe operation in non-MBSFN subframes.

#### csi-RS-Config

For a serving frequency E-UTRAN does not configure *csi-RS-Config* (includes *zeroTxPowerCSI-RS*) when transmission mode 10 is configured for the serving cell on this carrier frequency.

## csi-RS-ConfigNZPToAddModList

For a serving frequency E-UTRAN configures one or more *CSI-RS-ConfigNZP* only when transmission mode 9 or 10 is configured for the serving cell on this carrier frequency. For a serving frequency, EUTRAN configures a maximum number of *CSI-RS-ConfigNZP* in accordance with transmission mode (including CSI processes), eMIMO (including class) and associated UE capabilities (e.g. k-Max, n-MaxList).

## csi-RS-ConfigZP-ApList

The aperiodic ZP CSI-RS for PDSCH rate matching. The field *subframeConfig* is applicable to semi-persistent CSI RS reporting. In other cases, the UE shall ignore field *subframeConfig*.

## csi-RS-ConfigZPToAddModList

For a serving frequency E-UTRAN configures one or more *CSI-RS-ConfigZP* only when transmission mode 10 is configured for the serving cell on this carrier frequency.

## dl-STTI-Length, ul-STTI-Length

Indicates the DL and UL short TTI lengths. Value slot corresponds to 7 OFDM symbols and value subslot corresponds to 2 or 3 OFDM symbols. E-UTRAN configures the same value for all serving cells sending PUCCH feedback on the same cell. If one SCell is configured with short TTI in the group of cells configured to send PUCCH on the same cell, the cell carrying PUCCH shall be configured with short TTI. E-UTRAN can configure different value of *dl-STTI-Length* and *ul-STTI-Length* for serving cells sending PUCCH feedback on different cells. E-UTRAN does not configure the combination {slot,subslot} for {DL,UL}.

## dummy

This field is not used in the specification. If received it shall be ignored by the UE.

## eimta-MainConfigPCell, eimta-MainConfigSCell

If E-UTRAN configures eimta-MainConfigPCell or eimta-MainConfigSCell for one serving cell in a frequency band, E-UTRAN configures eimta-MainConfigPCell or eimta-MainConfigSCell for all serving cells residing on the frequency band. E-UTRAN configures eimta-MainConfigPCell or eimta-MainConfigSCell only if eimta-MainConfig is configured.

#### energyDetectionThresholdOffset

Indicates the offset to the default maximum energy detection threshold value. Unit in dB. Value -13 corresponds to -13dB, value -12 corresponds to -12dB, and so on (i.e. in steps of 1dB) as specified in TS 37.213 [94].

#### epdcch-Config

indicates the *EPDCCH-Config* for the cell. E-UTRAN does not configure *EPDCCH-Config* for an SCell that is configured with value *other* for *schedulingCellInfo* in *CrossCarrierSchedulingConfig*.

## PhysicalConfigDedicated field descriptions

#### k-max

Indicates the maximum number of interfering spatial layers signaled in the assistance information for MUST. Value I1 corresponds to 1 layer, Value I3 corresponds to 3 layers.

## laa-PUSCH-Mode1, laa-PUSCH-Mode2, laa-PUSCH-Mode3

Indicates whether LAA PUSCH mode 1, 2 and/or 3 is configured as specified in TS 36.212 [22], clause 5.3.3.1.

## laa-SCellSubframeConfig

A bit-map indicating LAA SCell subframe configuration, "1" denotes that the corresponding subframe is allocated as MBSFN subframe. The bitmap is interpreted as follows:

Starting from the first/leftmost bit in the bitmap, the allocation applies to subframes #1, #2, #3, #4, #6, #7, #8, and #9.

#### maxEnergyDetectionThreshold

Indicates the absolute maximum energy detection threshold value. Unit in dBm. Value -85 corresponds to -85 dBm, value -84 corresponds to -84 dBm, and so on (i.e. in steps of 1dBm) as specified in TS 36.213 [23]. If the field is not configured, the UE shall use a default maximum energy detection threshold value as specified in TS 37.213 [94].

## maxNumber-SlotSubslotPDSCH-Repetitions

Indicates the maximum number of PDSCH transmissions for slot or subslot PDSCH repetitions.

## maxNumber-SubframePDSCH-Repetitions

Indicates the maximum number of PDSCH transmissions for subframe PDSCH repetitions.

#### mcs-restrictionSlotSubslotPDSCH-Repetitions

Indicates the MCS restriction in terms of number of non-addressable MSB in the MCS bit-field for slot or subslot PDSCH repetition applicable when k > 1.

## mcs-restrictionSubframePDSCH-Repetitions

Indicates MCS restriction in terms of number of non-addressable MSB in the MCS bit-field for subframe PDSCH repetition applicable when k > 1.

## numberOfProcesses-SlotSubslotPDSCH-Repetitions

Indicates the number of HARQ processes for slot/subslot PDSCH repetition applicable when k > 1 configured per serving cell.

## numberOfProcesses-SubframePDSCH-Repetitions

Indicates the number of HARQ processes for subframe PDSCH repetition applicable when k > 1 configured per serving cell.

#### p-a-must

Parameter:  $P_A$ , see TS 36.213 [23], clause 5.2. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc.

## pdsch-ConfigDedicated-v1130

For a serving frequency, E-UTRAN configures *pdsch-ConfigDedicated-v1130* only when transmission mode 10 is configured for the serving cell on this carrier frequency.

## pdsch-ConfigDedicated-v1280

For a serving frequency, E-UTRAN configures *pdsch-ConfigDedicated-v1280* only when transmission mode 9 or 10 is configured for the serving cell on this carrier frequency.

## pucch-Cell

If present, PUCCH feedback of this SCell is sent on the PUCCH SCell. If absent, PUCCH feedback of this SCell is sent on PCell or PSCell, or if the cell concerns the PUCCH SCell, on the concerned cell. If this field is not modified upon change of PUCCH SCell, the UE shall always send the PUCCH feedback of the concerned SCell using the configured PUCCH SCell.

## pucch-ConfigDedicated

E-UTRAN configures *pucch-ConfigDedicated-r13* only if *pucch-ConfigDedicated* (i.e., without suffix) is not configured. UE shall ignore *pucch-ConfigDedicated-v1020* when *pucch-ConfigDedicated-r13* is configured.

## pucch-SCell

If present, the concerned SCell is the PUCCH SCell. E-UTRAN only configures this field upon SCell addition i.e. this field is only released when the SCell is released. The field is not applicable for an LAA SCell in this release.

## pusch-ConfigDedicated-r13

E-UTRAN configures pusch-ConfigDedicated-r13 only if pusch-ConfigDedicated is not configured.

## pusch-ConfigDedicated-v1250

E-UTRAN configures pusch-ConfigDedicated-v1250 only if tpc-SubframeSet is configured.

## pusch-EnhancementsConfig

Indicates that the UE shall transmit in the PUSCH enhancement mode if *pusch-EnhancementsConfig* is set to *setup*, see TS 36.211 [21] and TS 36.213 [23].

#### rv-SlotsublotPDSCH-Repetitions

Indicates the RV cycling sequence for slot or subslot PDSCH repetition. Value dlrvseq1 =  $\{0, 0, 0, 0\}$  and value dlrvseq2 =  $\{0, 2, 3, 1\}$ .

## rv-SubframePDSCH-Repetitions

Indicates the RV cycling sequence for subframe PDSCH repetition. Value dlrvseq1 = {0, 0, 0, 0} and value dlrvseq2 = {0, 2, 3, 1}.

## semiOpenLoop, semiOpenLoopSTTI

Value TRUE indicates that semi-open-loop transmission is used for deriving CSI reporting and corresponding PDSCH transmission (DMRS).

## PhysicalConfigDedicated field descriptions

## shortProcessingTime

Indicates whether short processing time is configured as specific in TS 36.321 [6]. An SCell can only be configured with short processing if the cell carrying PUCCH for that SCell is configured with short processing time.

## soundingRS-UL-PeriodicConfigDedicatedList

Indicates periodic soundingRS configuration except for the extension sounding symbols of the UpPTs subframe. E-UTRAN configures this field in *PhysicalConfigDedicated* only for the UE indicating support of *ce-SRS-Enhancement-r14* or *ce-SRS-EnhancementWithoutComb4-r14*. E-UTRAN configures this field in *PhysicalConfigDedicatedSCell-r10* only for the UE indicating support of *srs-UpPTS-6sym-r14*.

## soundingRS-UL-PeriodicConfigDedicatedUpPTsExtList

Indicates periodic soundingRS configuration in extension sounding symbols of the UpPTs subframe. E-UTRAN configures this field in *PhysicalConfigDedicated* only for the UE indicating support of *ce-SRS-Enhancement-r14* or *ce-SRS-EnhancementWithoutComb4-r14*. E-UTRAN configures this field in *PhysicalConfigDedicatedSCell-r10* only for the UE indicating support of *srs-UpPTS-6sym-r14*.

## soundingRS-UL-AperiodicConfigDedicatedList

Indicates aperiodic soundingRS configuration except for the extension sounding symbols of the UpPTs subframe. E-UTRAN configures this field in *PhysicalConfigDedicated* only for the UE indicating support of *ce-SRS-Enhancement-r14* or *ce-SRS-EnhancementWithoutComb4-r14*. E-UTRAN configures this field in *PhysicalConfigDedicatedSCell-r10* only for the UE indicating support of *srs-UpPTS-6sym-r14*.

## soundingRS-UL-DedicatedApUpPTsExtList

Indicates aperiodic soundingRS configuration in extension sounding symbols of the UpPTs subframe. E-UTRAN configures this field in *PhysicalConfigDedicated* only for the UE indicating support of *ce-SRS-Enhancement-r14* or *ce-SRS-EnhancementWithoutComb4-r14*. E-UTRAN configures this field in *PhysicalConfigDedicatedSCell-r10* only for the UE indicating support of *srs-UpPTS-6sym-r14*.

#### srs-CC-SetIndexList

Indicates the srs-CC-SetIndex list which the soundingRS-UL-ConfigDedicatedAperiodic and soundingRS-UL-ConfigDedicatedAperiodicUpPTsExt belongs to.

## srs-DCI7-TriggeringConfig

Indicates whether SRS triggering via DCI7 is configured.

#### subframeStartPosition

Indicates possible starting positions of transmission in the first subframe of the DL transmission burst, see TS 36.211 [21]. Value *s0* means the starting position is subframe boundary, *s07* means the starting position is either subframe boundary or slot boundary.

## tpc-PDCCH-ConfigPUCCH

PDCCH configuration for power control of PUCCH using format 3/3A, see TS 36.212 [22].

## tpc-PDCCH-ConfigPUSCH

PDCCH configuration for power control of PUSCH using format 3/3A, see TS 36.212 [22].

## typeA-SRS-TPC-PDCCH-Group

Indicates Type A trigger configuration for SRS transmission on a PUSCH-less SCell. E-UTRAN configures the UE with either *typeA-SRS-TPC-PDCCH-Group* or *typeB-SRS-TPC-PDCCH-Group*, if any.

## uplinkPowerControlDedicated

E-UTRAN configures *uplinkPowerControlDedicated-v1130* only if *uplinkPowerControlDedicated* (without suffix) is configured.

## uplinkPowerControlDedicatedSCell

E-UTRAN configures *uplinkPowerControlDedicatedSCell-v1130* only if *uplinkPowerControlDedicatedSCell-r10* is configured for this serving cell.

Conditional presence	Explanation
AI-r8	The field is optionally present, need ON, if <i>antennalnfoDedicated-r10</i> is absent. Otherwise the field is not present
AI-r10	The field is optionally present, need ON, if <i>antennalnfoDedicated</i> is absent. Otherwise the field is not present
AperiodicSRS	If soundingRS-UL-ConfigDedicatedAperiodic-r10 is absent, the field is optional, Need ON. Otherwise the field is not present and the UE shall delete any existing value for this field.
AperiodicSRSExt	If soundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r13 is absent, the field is optional, Need ON. Otherwise the field is not present and the UE shall delete any existing value for this field.
AUL	The field is optionally present, need ON, if <i>aul-config-r15</i> is present. Otherwise the field is not present.
CommonUL	The field is mandatory present if <i>ul-Configuration</i> of <i>RadioResourceConfigCommonSCell-r10</i> is present; otherwise it is optional, need ON.
CQI-r8	The field is optionally present, need ON, if <i>cqi-ReportConfig-r10</i> is absent. Otherwise the field is not present
CQI-r10	The field is optionally present, need ON, if <i>cqi-ReportConfig</i> is absent. Otherwise the field is not present
Cross-Carrier-Config	The field is optionally present, need ON, if <i>crossCarrierSchedulingConfig-r10</i> is absent. Otherwise the field is not present
Cross-Carrier-ConfigUL	The field is optionally present, need ON, if crossCarrierSchedulingConfig-r10 and crossCarrierSchedulingConfig-r13 are absent or schedulingCellInfo is set to 'own'. Otherwise the field is not present.
PeriodicSRS	If soundingRS-UL-ConfigDedicated-r10 is absent, the field is optional, Need ON. Otherwise the field is not present and the UE shall delete any existing value for this field.
PeriodicSRSPCell	If soundingRS-UL-ConfigDedicated is absent, the field is optional, Need ON. Otherwise the field is not present and the UE shall delete any existing value for this field.
PeriodicSRSExt	If soundingRS-UL-ConfigDedicatedUpPTsExt-r13 is absent, the field is optional, Need ON. Otherwise the field is not present and the UE shall delete any existing value for this field.
PUCCH-Format4or5	The field is mandatory present with <i>pucch-Format-v1370</i> set to <i>setup</i> if <i>pucch-ConfigDedicated-r13</i> is configured and <i>pucch-ConfigDedicated-r13</i> indicates PUCCH format 4 or PUCCH format 5; otherwise it is not present and the UE shall delete any existing value for this field.
PUCCH-SCell1	The field is optionally present, need OR, for SCell not configured with <i>pucch-configDedicated-r13</i> . Otherwise it is not present.
PUSCH-SCell	The field is optionally present, need ON, if <i>pusch-ConfigDedicatedSCell-r10</i> and <i>pusch-ConfigDedicated-v1130</i> are absent. Otherwise the field is not present
PUSCH-SCell1	The field is optionally present, need ON, for SCell not configured with <i>pucch-configDedicated-r13</i> . Otherwise it is not present.
SCellAdd	The field is mandatory present if <i>cellIdentification</i> is present; otherwise it is optional, need ON.
SRS-Trigger-TypeA	The field is mandatory present if <i>typeA-SRS-TPC-PDCCH-Group-r14</i> is present.  Otherwise the field is not present and the UE shall delete any existing value for this field.

NOTE 1: During handover, the UE performs a MAC reset, which involves reverting to the default CQI/ SRS/ SR configuration in accordance with clause 5.3.13 and TS 36.321 [6], clauses 5.9 and 5.2. Hence, for these parts of the dedicated radio resource configuration, the default configuration (rather than the configuration used in the source PCell) is used as the basis for the delta signalling that is included in the message used to perform handover.

NOTE 2: Since delta signalling is not supported for the common SCell configuration, E-UTRAN can only add or release the uplink of an SCell by releasing and adding the concerned SCell.

## 

The IE *P-Max* is used to limit the UE's uplink transmission power on a carrier frequency and is used to calculate the parameter *Pcompensation* defined in TS 36.304 [4]. Corresponds to parameter P<sub>EMAX</sub> or P<sub>EMAX,c</sub> in TS 36.101 [42]. The UE transmit power on one serving cell shall not exceed the configured maximum UE output power of the serving cell determined by this value as specified in TS 36.101 [42], clauses 6.2.5 or 6.2.5A, or, when transmitting sidelink discovery announcements within the coverage of the concerned cell, as specified in TS 36.101 [42], clause 6.2.5D.

## P-Max information element

```
P-Max ::= INTEGER (-30..33)

-- ASN1STOP
```

## PRACH-Config

The IE *PRACH-ConfigSIB* and IE *PRACH-Config* are used to specify the PRACH configuration in the system information and in the mobility control information, respectively.

## PRACH-Config information elements

```
-- ASN1START
PRACH-ConfigSIB ::=
                                SEQUENCE {
    rootSequenceIndex
                                         INTEGER (0..837),
    prach-ConfigInfo
                                        PRACH-ConfigInfo
PRACH-ConfigSIB-v1310 ::=
                                   SEQUENCE {
    rsrp-ThresholdsPrachInfoList-r13
                                         RSRP-ThresholdsPrachInfoList-r13,
    mpdcch-startSF-CSS-RA-r13
                                         CHOICE {
                                            ENUMERATED {v1, v1dot5, v2, v2dot5, v4, v5, v8,
       fdd-r13
                                                v10},
        tdd-r13
                                             ENUMERATED {v1, v2, v4, v5, v8, v10, v20, spare}
                                                                             OPTIONAL, -- Cond MP
OPTIONAL, -- Need OR
    prach-HoppingOffset-r13
                                       INTEGER (0..94)
   prach-HoppingOffset-r13
prach-ParametersListCE-r13
                                        PRACH-ParametersListCE-r13
PRACH-ConfigSIB-v1530 ::=
   CH-ConfigSIB-v1530 ::= SEQUENCE {
edt-PRACH-ParametersListCE-r15 SEQUENCE (SIZE(1..maxCE-Level-r13)) OF EDT-PRACH-
ParametersCE-r15
   rootSequenceIndex
prach-ConfigInfo
PRACH-Config ::=
                                    SEQUENCE {
                                        INTEGER (0..837),
                                        PRACH-ConfigInfo
                                                                            OPTIONAL
                                                                                         -- Need ON
PRACH-Config-v1310 ::=
                                    SEQUENCE {
   rsrp-ThresholdsPrachInfoList-r13 RSRP-ThresholdsPrachInfoList-r13 OPTIONAL, --
Cond MP
   mpdcch-startSF-CSS-RA-r13
                                         CHOICE {
       fdd-r13
                                            ENUMERATED {v1, v1dot5, v2, v2dot5, v4, v5, v8,
        tdd-r13
                                            ENUMERATED {v1, v2, v4, v5, v8, v10, v20, spare}
                                                                             OPTIONAL, -- Cond MP
                                      INTEGER (0..94)
PRACH-ParametersListCE-r13
   prach-HoppingOffset-r13
                                                                             OPTIONAL, -- Need OR
    prach-HoppingOffset-r13
prach-ParametersListCE-r13
                                                                                          -- Cond MP
                                                                             OPTIONAL.
    initial-CE-level-r13
                                            INTEGER (0..3) OPTIONAL
                                                                             -- Need OR
}
                                    SEQUENCE {
PRACH-Config-v1430 ::=
   rootSequenceIndexHighSpeed-r14
                                                 INTEGER (0..837),
                                              INTEGER (0..12),
    zeroCorrelationZoneConfigHighSpeed-r14
   prach-ConfigIndexHighSpeed-r14
prach-FreqOffsetHighSpeed-r14
                                                 INTEGER (0..63),
                                                INTEGER (0..94)
}
PRACH-ConfigSCell-r10 ::=
                                       SEQUENCE {
   prach-ConfigIndex-r10
                                            INTEGER (0..63)
PRACH-ConfigInfo ::=
   CH-ConfigInfo ::=
prach-ConfigIndex
                                    SEQUENCE {
                                      INTEGER (0..63),
    highSpeedFlag
                                        BOOLEAN.
    zeroCorrelationZoneConfig
                                        INTEGER (0..15),
    prach-FreqOffset
                                        INTEGER (0..94)
}
PRACH-ParametersListCE-r13 ::= SEQUENCE (SIZE(1..maxCE-Level-r13)) OF PRACH-ParametersCE-r13
PRACH-ParametersCE-r13 ::=
                                     SEOUENCE {
                                            INTEGER (0..63),
  prach-ConfigIndex-r13
```

```
prach-FreqOffset-r13
                                                            INTEGER (0..94),
                                        INTEGER (U..94),
ENUMERATED \{sf2, sf4, sf8, sf16, sf32, sf64, sf128,
    prach-StartingSubframe-r13
                                                                      sf256}
                                                                                               OPTIONAL, -- Need OP
    maxNumPreambleAttemptCE-r13
                                       ENUMERATED {n3, n4, n5, n6, n7, n8, n10} OPTIONAL,
                                                                                                              -- Need OP
    numRepetitionPerPreamble \texttt{Attempt-r13} \qquad \texttt{ENUMERATED} \ \left\{ \texttt{n1}, \texttt{n2}, \texttt{n4}, \texttt{n8}, \texttt{n16}, \texttt{n32}, \texttt{n64}, \texttt{n128} \right\},
                                                      SEQUENCE (SIZE(1..2)) OF
    mpdcch-NarrowbandsToMonitor-r13
                                                                INTEGER (1..maxAvailNarrowBands-r13),
                                                      ENUMERATED {r1, r2, r4, r8, r16,
    mpdcch-NumRepetition-RA-r13
                                                                      r32, r64, r128, r256},
                                                       ENUMERATED {on,off}
    prach-HoppingConfig-r13
EDT-PRACH-ParametersCE-r15 ::= SEQUENCE {
   edt-PRACH-ParametersCE-r15 SEQUENCE {
     prach-ConfigIndex-r15 INTEGER (0..63),
     prach FrogOffgot r15
                                                           INTEGER (0..94),
         prach-FreqOffset-r15
prach-frequitset-fis INTEGER (0..94),
prach-StartingSubframe-rl5 ENUMERATED {sf2, sf4, sf8, sf16, sf32, sf64, sf128, sf256} OPTIONAL, -- Need OP
         mpdcch-NarrowbandsToMonitor-r15 SEQUENCE (SIZE(1..2)) OF INTEGER
(1..maxAvailNarrowBands-r13)
  } OPTIONAL -- Need OR
RSRP-ThresholdsPrachInfoList-r13 ::= SEQUENCE (SIZE(1..3)) OF RSRP-Range
-- ASN1STOP
```

## PRACH-Config field descriptions

#### edt-PRACH-ParametersListCE

Configures PRACH parameters for each CE level applicable to a UE performing EDT. If included, the number of entries is same as number of entries in *prach-ParametersListCE*. The first entry in the list is the PRACH parameters for CE level 0, the second entry in the list is the PRACH parameters for CE level 1, and so on. The parameters *maxNumPreambleAttemptCE*, *numRepetitionPerPreambleAttempt*, *mpdcch-NumRepetition-RA*, *prach-HoppingConfig* included in *prach-ParametersListCE* for CE level X are also applicable for EDT.

#### initial-CE-level

Indicates initial PRACH CE level at random access, see TS 36.321 [6]. If not configured, UE selects PRACH CE level based on measured RSRP level, see TS 36.321 [6].

#### highSpeedFlag

Parameter: High-speed-flag, see TS 36.211 [21], clause 5.7.2]. TRUE corresponds to Restricted set and FALSE to Unrestricted set.

## maxNumPreambleAttemptCE

Maximum number of preamble transmission attempts per CE level. See TS 36.321 [6].

If the field is absent, the UE shall use the default value n3.

## mpdcch-NarrowbandsToMonitor

Narrowbands to monitor for MPDCCH for RAR, see TS 36.213 [23], clause 6.2. Field values

(1...maxAvailNarrowBands-r13) correspond to narrowband indices (0..maxAvailNarrowBands-r13-1) as specified in TS 36.211 [21].

## mpdcch-NumRepetition-RA

Maximum number of repetitions for MPDCCH common search space (CSS) for RAR, Msg3 and Msg4, see TS 36.211 [21].

## mpdcch-startSF-CSS-RA

Starting subframe configuration for MPDCCH common search space (CSS), including RAR, Msg3 retransmission, PDSCH with contention resolution and PDSCH with CCCH MAC SDU, see TS 36.211 [21] and TS 36.213 [23]. Value v1 corresponds to 1, value v1dot5 corresponds to 1.5, and so on.

## numRepetitionPerPreambleAttempt

Number of PRACH repetitions per attempt for each CE level, See TS 36.211 [21].

## prach-ConfigIndex

Parameter: prach-ConfigurationIndex, see TS 36.211 [21], clause 5.7.1.

## prach-ConfigIndexHighSpeed

Parameter: prach-ConfigurationIndexHighSpeed, see TS 36.211 [21], clause 5.7.1. If this field is present, the UE shall ignore prach-ConfigIndex.

## prach-FreqOffset

Parameter: *prach-FrequencyOffset*, see TS 36.211 [21], clause 5.7.1. For TDD the value range is dependent on the value of *prach-ConfigIndex*.

## prach-FreqOffsetHighSpeed

Parameter: prach-FrequencyOffsetHighSpeed, see TS 36.211 [21], clause 5.7.1. For TDD the value range is dependent on the value of prach-ConfigIndexHighSpeed. If this field is present, the UE shall ignore prach-FreqOffset.

## prach-HoppingConfig

Coverage level specific frequency hopping configuration for PRACH.

## prach-HoppingOffset

Parameter: PRACH frequency hopping offset, expressed as a number of resource blocks, see TS 36.211 [21], clause 5.7.1.

## prach-ParametersListCE

Configures PRACH parameters for each CE level. The first entry in the list is the PRACH parameters of CE level 0, the second entry in the list is the PRACH parameters of CE level 1, and so on.

## prach-StartingSubframe

PRACH starting subframe periodicity, expressed in number of subframes available for preamble transmission (PRACH opportunities), see TS 36.211 [21]. Value sf2 corresponds to 2 subframes, sf4 corresponds to 4 subframes and so on. EUTRAN configures the PRACH starting subframe periodicity larger than or equal to the number of PRACH repetitions per attempt for each CE level (numRepetitionPerPreambleAttempt).

If the field is absent, the value is determined implicitly in TS 36.211 [21], clause 5.7.1.

## rootSequenceIndex

Parameter: RACH\_ROOT\_SEQUENCE, see TS 36.211 [21], clause 5.7.1.

## root Sequence Index High Speed

The field indicates starting logical root sequence index used to derive the 64 random access preambles based on restricted set type B in high speed scenario, see TS 36.211 [21], clause 5.7.2. If this field is present, the UE shall generate random access preambles based on restricted set type B and ignore *rootSequenceIndex*.

## PRACH-Config field descriptions

## rsrp-ThresholdsPrachInfoList

The criterion for BL UEs and UEs in CE to select PRACH resource set. Up to 3 RSRP threshold values are signalled to determine the CE level for PRACH, see TS 36.213 [23]. The first element corresponds to RSRP threshold 1, the second element corresponds to RSRP threshold 2 and so on, see TS 36.321 [6]. The UE shall ignore this field if only one CE level, i.e. CE level 0, is configured in *prach-ParametersListCE*. The number of RSRP thresholds present in *rsrp-ThresholdsPrachInfoList* is equal to the number of CE levels configured in *prach-ParametersListCE* minus one. A UE that supports *powerClass-14dBm* shall correct the RSRP threshold values before applying them as follows: RSRP threshold = Signalled RSRP threshold - min{0, (14-min(23, P-Max))} where P-Max is the value of *p-Max* field in *SystemInformationBlockType1-BR*.

#### zeroCorrelationZoneConfig

Parameter: N<sub>CS</sub> configuration, see TS 36.211 [21], clause 5.7.2: table 5.7.2-2, for preamble format 0..3 and TS 36.211 [21], clause 5.7.2: table 5.7.2-3, for preamble format 4.

## zeroCorrelationZoneConfigHighSpeed

The field indicates N<sub>CS</sub> configuration for the restricted set type B in high speed scenario, see TS 36.211 [21], clause 5.7.2. If this field is present, the UE shall generate random access preambles based on restricted set type B and ignore *zeroCorrelationZoneConfig*.

	Conditional presence	Explanation
1	MP	The field is mandatory present.

## PresenceAntennaPort1

The IE *PresenceAntennaPort1* is used to indicate whether all the neighbouring cells use Antenna Port 1. When set to *TRUE*, the UE may assume that at least two cell-specific antenna ports are used in all neighbouring cells.

#### PresenceAntennaPort1 information element

```
-- ASN1START

PresenceAntennaPort1 ::= BOOLEAN

-- ASN1STOP
```

## PUCCH-Config

The IE *PUCCH-ConfigCommon* and IE *PUCCH-ConfigDedicated* are used to specify the common and the UE specific PUCCH configuration respectively.

## **PUCCH-Config** information elements

```
-- ASN1START
PUCCH-ConfigCommon ::=
                                     SEOUENCE {
    deltaPUCCH-Shift
                                         ENUMERATED {ds1, ds2, ds3},
    nRB-COI
                                         INTEGER (0..98),
    nCS-AN
                                         INTEGER (0..7)
    n1PUCCH-AN
                                         INTEGER (0..2047)
}
PUCCH-ConfigCommon-v1310 ::=
                                     SEQUENCE {
                                           N1PUCCH-AN-InfoList-r13 OPTIONAL,
   n1PUCCH-AN-InfoList-r13
                                                                                      -- Need OR
                                            ENUMERATED {n1, n2, n4, n8} OPTIONAL, -- Need OR ENUMERATED {n1, n2, n4, n8} OPTIONAL, -- Need OR
    pucch-NumRepetitionCE-Msg4-Level0-r13
    pucch-NumRepetitionCE-Msg4-Level1-r13
                                                                              OPTIONAL,
    pucch-NumRepetitionCE-Msg4-Level2-r13
                                             ENUMERATED {n4, n8, n16, n32}
                                                                                           -- Need OR
    pucch-NumRepetitionCE-Msg4-Level3-r13
                                             ENUMERATED {n4, n8, n16, n32}
                                                                                           -- Need OR
                                                                              OPTIONAL
}
                                SEQUENCE {
PUCCH-ConfigCommon-v1430 ::=
   pucch-NumRepetitionCE-Msg4-Level3-r14 ENUMERATED {n64, n128} OPTIONAL
                                                                                   -- Need OR
PUCCH-ConfigDedicated ::=
                                     SEOUENCE {
    ackNackRepetition
                                         CHOICE {
        release
                                             NULL,
```

```
setup
                                           SEQUENCE {
                                               ENUMERATED {n2, n4, n6, spare1},
           repetitionFactor
           n1PUCCH-AN-Rep
                                               INTEGER (0..2047)
    tdd-AckNackFeedbackMode
                                      ENUMERATED {bundling, multiplexing} OPTIONAL
                                                                                     -- Cond TDD
PUCCH-ConfigDedicated-v1020 ::= SEQUENCE {
   pucch-Format-r10
                                       CHOICE {
                                       PUCCH-Format3-Conf-r13,
       format3-r10
                                           SEQUENCE {
        channelSelection-r10
           n1PUCCH-AN-CS-r10
                                               CHOICE {
               release
                                                   NULL,
                setup
                                                   SEQUENCE {
                   n1PUCCH-AN-CS-List-r10
                                                       SEQUENCE (SIZE (1..2)) OF N1PUCCH-AN-CS-r10
           }
                                                                           OPTIONAL
                                                                                       -- Need ON
        }
                                                                           OPTIONAL,
                                                                                       -- Need OR
                                                   ENUMERATED {true}
                                                                           OPTIONAL,
                                                                                       -- Need OR
    twoAntennaPortActivatedPUCCH-Formatlalb-r10
                                                                                       -- Need OR
    simultaneousPUCCH-PUSCH-r10
                                                   ENUMERATED {true}
                                                                           OPTIONAL,
    n1PUCCH-AN-RepP1-r10
                                                   INTEGER (0..2047)
                                                                           OPTIONAL
                                                                                       -- Need OR
}
PUCCH-ConfigDedicated-v1130 ::=
                                  SEOUENCE {
   n1PUCCH-AN-CS-v1130
                                       CHOICE {
                                           NULL,
       release
       setup
                                           SEQUENCE {
           n1PUCCH-AN-CS-ListP1-r11
                                               SEQUENCE (SIZE (2..4)) OF INTEGER (0..2047)
                                                                           OPTIONAL, -- Need ON
    nPUCCH-Param-r11
                                       CHOICE {
       release
                                           NULL.
        setup
                                           SEQUENCE {
           nPUCCH-Identity-r11
                                               INTEGER (0..503),
           n1PUCCH-AN-r11
                                               INTEGER (0..2047)
                                                                           OPTIONAL
                                                                                       -- Need ON
}
PUCCH-ConfigDedicated-v1250 ::=
                                   SEQUENCE {
   nkaPUCCH-Param-r12
                                       CHOICE {
       release
                                           NULL,
       setup
                                           SEQUENCE {
           nkaPUCCH-AN-r12
                                               INTEGER (0..2047)
PUCCH-ConfigDedicated-r13 ::=
                                  SEOUENCE {
--Release 8
   ackNackRepetition-r13
                                       CHOICE {
       release
                                           SEQUENCE {
       setup
           repetitionFactor-r13
                                               ENUMERATED {n2, n4, n6, spare1},
           n1PUCCH-AN-Rep-r13
                                           INTEGER (0..2047)
                                     ENUMERATED {bundling, multiplexing} OPTIONAL,
    tdd-AckNackFeedbackMode-r13
                                                                                      -- Cond TDD
--Release 10
   pucch-Format-r13
                                       CHOICE {
                                               SEQUENCE {
       format3-r13
           n3PUCCH-AN-List-r13 SEQUENCE (SIZE (1..4)) OF INTEGER (0..549) OPTIONAL,
                                                                                       -- Need ON
           twoAntennaPortActivatedPUCCH-Format3-r13
                                                           CHOICE {
               release
                                                               NULL.
                                                               SEQUENCE {
                setup
                   n3PUCCH-AN-ListP1-r13 SEQUENCE (SIZE (1..4)) OF INTEGER (0..549)
                                                                           OPTIONAL
                                                                                      -- Need ON
        channelSelection-r13
                                           SEQUENCE {
           n1PUCCH-AN-CS-r13
                                               CHOICE {
                release
                                                   NULT.
                setup
                                                   SEQUENCE {
                                                       SEQUENCE (SIZE (1..2)) OF N1PUCCH-AN-CS-r10,
                   n1PUCCH-AN-CS-List-r13
                                  SEQUENCE (SIZE (2..4)) OF INTEGER (0..2047)
                   dummv1
```

```
OPTIONAL -- Need ON
                                            SEQUENCE {
        format4-r13
            format4-resourceConfiguration-r13
                                                           SEQUENCE (SIZE (4)) OF Format4-resource-r13,
            format4-MultiCSI-resourceConfiguration-r13 SEQUENCE (SIZE (1..2)) OF Format4-resource-
r13 OPTIONAL -- Need OR
        format5-r13
                                SEQUENCE {
           format5-resourceConfiguration-r13 SEQUENCE (SIZE (4)) OF Format5-resource-r13, format5-MultiCSI-resourceConfiguration-r13 Format5-resource-r13 OPTIONAL -- Need OR
                                                                               OPTIONAL, -- Need OR OPTIONAL, -- Need OR
    twoAntennaPortActivatedPUCCH-Formatlalb-r13 ENUMERATED {true}
                                                                             OPTIONAL,
    simultaneousPUCCH-PUSCH-r13
                                                     ENUMERATED {true}
                                                                                            -- Need OR
    n1PUCCH-AN-RepP1-r13
                                                       INTEGER (0..2047)
                                                                                OPTIONAL,
--Release 11
                                         CHOICE {
   nPUCCH-Param-r13
        release
                                            NULL,
                                              SEQUENCE {
        setup
            nPUCCH-Identity-r13
                                                  INTEGER (0..503),
                                                  INTEGER (0..2047)
            n1PUCCH-AN-r13
                                                                                OPTIONAL, -- Need ON
--Release 12
   nkaPUCCH-Param-r13
                                        CHOICE {
                                           NULL,
       release
                                              SEQUENCE {
        setup
            nkaPUCCH-AN-r13
                                                  INTEGER (0..2047)
                                                                                            -- Need ON
                                                                                OPTIONAL.
--Release 13
   spatialBundlingPUCCH-r13 BOOLEAN, spatialBundlingPUSCH-r13 BOOLEAN, harq-TimingTDD-r13 BOOLEAN,
   codebooksizeDetermination-r13 ENUMERATED {dai,cc}
maximumPayloadCoderate-r13 INTEGER (0..7)
pucch-NumRepetitionCE-r13 CHOICE {
                                                                              OPTIONAL,
                                                                                             -- Need OR
                                                                                OPTIONAL,
                                                                                             -- Need OR
                                   NULL,
        release
                                     CHOICE {
        setup
            modeA
                                         SEQUENCE {
               pucch-NumRepetitionCE-format1-r13
                                                                        ENUMERATED {r1, r2, r4, r8},
                pucch-NumRepetitionCE-format2-r13
                                                                       ENUMERATED {r1, r2, r4, r8}
            modeB
                                          SEQUENCE {
               pucch-NumRepetitionCE-format1-r13
                                                                       ENUMERATED {r4, r8, r16, r32},
                pucch-NumRepetitionCE-format2-r13
                                                                        ENUMERATED {r4, r8, r16, r32}
        }
                                                                                OPTIONAL --Need ON
}
PUCCH-ConfigDedicated-v1370 ::=
                                     SEQUENCE {
   pucch-Format-v1370
                                      CHOICE {
        release NULL,
        setup PUCCH-Format3-Conf-r13
PUCCH-ConfigDedicated-v13c0 ::= SEQUENCE {
    channelSelection-v13c0 SEQUENCE {
        n1PUCCH-AN-CS-v13c0 CHOICE
                                              CHOICE {
            release
                                                  NULL,
                                                  SEQUENCE {
                   n1PUCCH-AN-CS-ListP1-v13c0
                                                      SEQUENCE (SIZE (2..4)) OF INTEGER (0..2047)
        }
    }
}
PUCCH-Format3-Conf-r13 ::= SEQUENCE {
   n3PUCCH-AN-List-r13 SEQUENCE (SIZE (1..4)) OF INTEGER (0..549) OPTIONAL, -- Need ON
    release
                                                         NULL,
                                                           SEOUENCE {
        setup
            n3PUCCH-AN-ListP1-r13 SEQUENCE (SIZE (1..4)) OF INTEGER (0..549)
                                                                        OPTIONAL
                                                                                     -- Need ON
    }
```

```
PUCCH-ConfigDedicated-v1430 ::= SEQUENCE {
   pucch-NumRepetitionCE-format1-r14 ENUMERATED {r64,r128} OPTIONAL -- Need OR
PUCCH-ConfigDedicated-v1530 ::= SEQUENCE {
    n1PUCCH-AN-SPT-r15 INTEGER (0..2047) OPTIONAL, -- Need OR codebooksizeDeterminationSTTI-r15 ENUMERATED {dai,cc} OPTIONAL -- Need OR
    n1PUCCH-AN-SPT-r15
Format4-resource-r13 ::=
startingPRB-format4-r13
numberOfPRB-format4-r13
                                          SEQUENCE {
                                                     INTEGER (0..109),
                                              INTEGER (0..7)
Format5-resource-r13 ::= startingPRB-format5-r13 cdm-index-format5-r13
                                          SEQUENCE {
                                                     INTEGER (0..109),
                                                     INTEGER (0..1)
}
N1PUCCH-AN-CS-r10 ::= SEQUENCE (SIZE (1..4)) OF INTEGER (0..2047)
N1PUCCH-AN-InfoList-r13 ::= SEQUENCE (SIZE(1..maxCE-Level-r13)) OF INTEGER (0..2047)
-- ASN1STOP
```

## **PUCCH-Config** field descriptions

#### ackNackRepetition

Parameter indicates whether ACK/NACK repetition is configured, see TS 36.213 [23], clause 10.1.

#### cdm-index-format5

Parameter  $n_{oc}$  see TS 36.211 [21], clause 5.4.2c, for determining PUCCH resource(s) of PUCCH format 5.

#### codebooksizeDetermination, codebooksizeDeterminationSTTI

Parameter indicates whether HARQ codebook size is determined with downlink assignment indicator based solution or number of configured CCs, see TS 36.212 [22], clauses 5.2.2.6, 5.2.3.1 and 5.3.3.1.2, and TS 36.213 [23], clauses 10.1.2.2.3, 10.1.3.2.3, 10.1.3.2.3.1, 10.1.3.2.3.2 and 10.1.3.2.4.

#### deltaPUCCH-Shift

Parameter:  $\Delta_{shift}^{PUCCH}$ , see TS 36.211 [21], clause 5.4.1, where ds1 corresponds to value 1, ds2 corresponds to value 2 etc.

## dummv1

This field is not used in the specification. If received it shall be ignored by the UE.

Parameter indicates for a TDD SCell when aggregated with a TDD PCell of different UL/DL configurations whether deriving the HARQ timing for such a cell is done in the same way as the DL HARQ timing of an FDD SCell with a TDD PCell, see TS 36.213 [23], clause 10.2.

#### maximumPayloadCoderate

Maximum payload or code rate for multi P-CSI on each PUCCH resource, see TS 36.213 [23], clause 10.1.1.

## n1PUCCH-AN

Parameter:  $N_{PUCCH}^{(1)}$ , see TS 36.213 [23], clause 10.1.

n1PUCCH-AN-r11 indicates UE-specific PUCCH AN resource offset, see TS 36.213 [23], clause 10.1.

## n1PUCCH-AN-CS-List

Parameter:  $n_{\text{PUCCH}, j}^{(1)}$  for antenna port  $p_0$  for PUCCH format 1b with channel selection, see TS 36.213 [23], clauses 10.1.2.2.1 and 10.1.3.2.1.

## n1PUCCH-AN-CS-ListP1

Parameter:  $n_{\text{PUCCH},j}^{(1,\tilde{p}_1)}$  for antenna port  $p_1$  for PUCCH format 1b with channel selection, see TS 36.213 [23], clause 10.1. E-UTRAN configures this field only when pucch-Format is set to channelSelection.

## n1PUCCH-AN-Rep, n1PUCCH-AN-RepP1

Parameter:  $n_{\text{PUCCH,ANRep}}^{(1,p)}$  for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 10.1.

## n3PUCCH-AN-List, n3PUCCH-AN-ListP1

Parameter:  $n_{\text{PUCCH}}^{(3,p)}$  for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 10.1.

## n1PUCCH-AN-SPT

Parameter:  $N_{PUCCH}^{(1)}$ , see TS 36.213 [23], clause 10.1. Indicates UE-specific PUCCH AN resource offset for short processing time.

## nCS-An

Parameter:  $N_{cs}^{(1)}$  see TS 36.211 [21], clause 5.4.

## nkaPUCCH-AN

Parameter:  $N_{\mathrm{PIICCH}}^{\mathrm{K_A}}$  , see TS 36.213 [23], clause 10.1.3.

 $\it nkaPUCCH-AN-r12$  indicates PUCCH format 1a/1b starting offset for the subframe set  $\it K^A$ , see TS 36.213 [23], clause 10.1.3. E-UTRAN configures  $\it nkaPUCCH-AN$  only if  $\it eimta-MainConfig$  is configured.

## nPUCCH-Identity

Parameter:  $n_{\mathrm{ID}}^{\mathrm{PUCCH}}$ , see TS 36.211 [21], clause 5.5.1.5.

## nRB-CQI

Parameter:  $N_{RB}^{(2)}$ , see TS 36.211 [21], clause 5.4.

## numberOfPRB-format4

Parameter  $n_{PUCCH}^{(4)}$  see TS 36.213 [23], Table 10.1.1-2, for determining PUCCH resource(s) of PUCCH format 4.

## n1PUCCH-AN-InfoList

Starting offsets of the PUCCH resource(s) indicated by SIB1-BR. The first entry in the list is the starting offset of the PUCCH resource(s) of CE level 0, the second entry in the list is the starting offset of the PUCCH resource(s) of CE level 1, and so on. If E-UTRAN includes n1PUCCH-AN-InfoList, it includes the same number of entries as in prach-ParametersListCE. See TS 36.213 [23].

## **PUCCH-Config** field descriptions

## pucch-Format

Parameter indicates one of the PUCCH formats for transmission of HARQ-ACK, see TS 36.213 [23], clause 10.1. For TDD, if the UE is configured with PCell only, the *channelSelection* indicates the transmission of HARQ-ACK multiplexing as defined in Tables 10.1.3-5, 10.1.3-6, and 10.1.3-7 in TS 36.213 [23] for PUCCH, and in 7.3 in TS 36.213 [23] for PUSCH. E-UTRAN only configures *pucch-Format-v1370* when *pucch-Format-r13* is configured and set to *format4* or *format5*.

## pucch-NumRepetitionCE

Number of PUCCH repetitions for PUCCH format 1/1a and for PUCCH format 2/2a/2b for CE modes A and B, see TS 36.211 [21] and TS 36.213 [23]. The UE shall ignore *pucch-NumRepetitionCE-format2-r13*, if received, for CE mode B in this release of specification. For UE in CE mode B supporting extended PUCCH repetition, if *pucch-NumRepetitionCE-format1-r14* is included then the UE shall ignore *pucch-NumRepetitionCE-format1-r13*.

## pucch-NumRepetitionCE-Msg4-Level0, pucch-NumRepetitionCE-Msg4-Level1, pucch-NumRepetitionCE-Msg4-Level2, pucch-NumRepetitionCE-Msg4-Level3

Number of repetitions for PUCCH carrying HARQ response to PDSCH containing Msg4 for PRACH CE levels 0, 1, 2 and 3, see TS 36.211 [21] and TS 36.213 [23]. Value n1 corresponds to 1 repetition, value n2 corresponds to 2 repetitions, and so on. For BL UEs or non-BL UEs in enhanced coverage supporting extended PUCCH repetition, if pucch-NumRepetitionCE-Msg4-Level3-r14 is included then the UE shall ignore pucch-NumRepetitionCE-Msg4-Level3-r13.

### repetitionFactor

Parameter  $N_{\mathrm{ANRep}}$  see TS 36.213 [23], clause 10.1, where n2 corresponds to repetition factor 2, n4 to 4.

## simultaneousPUCCH-PUSCH

Parameter indicates whether simultaneous PUCCH and PUSCH or simultaneous SPUCCH and SlotOrSubslotPUSCH transmissions are configured, see TS 36.213 [23], clauses 10.1 and 5.1.1. E-UTRAN configures this field for the PCell, only when the *nonContiguousUL-RA-WithinCC-Info* is set to *supported* in the band on which PCell is configured. Likewise, E-UTRAN configures this field for the PSCell, only when the *nonContiguousUL-RA-WithinCC-Info* is set to *supported* in the band on which PSCell is configured. Likewise, E-UTRAN configures this field for the PUCCH SCell, only when the *nonContiguousUL-RA-WithinCC-Info* is set to *supported* in the band on which PUCCH SCell is configured.

## spatialBundlingPUCCH

Parameter indicates whether spatial bundling is enabled or not for PUCCH, see TS 36.212 [22], clause 5.2.3.1.

## spatialBundlingPUSCH

Parameter indicates whether spatial bundling is enabled or not for PUSCH, see see TS 36.212 [22], clause 5.2.2.6.

## startingPRB-format4

Parameter  $n_{\it PUCCH}^{(4)}$  see TS 36.211 [21], clause5.4.3 for determining PUCCH resource(s) of PUCCH format 4.

## startingPRB-format5

Parameter  $n_{\mathrm{PUCCH}}^{(5)}$  see TS 36.211 [21], clause 5.4.3 for determining PUCCH resource(s) of PUCCH format 5.

## tdd-AckNackFeedbackMode

Parameter indicates one of the TDD ACK/NACK feedback modes used, see TS 36.213 [23], clauses 7.3 and 10.1.3. The value bundling corresponds to use of ACK/NACK bundling whereas, the value multiplexing corresponds to ACK/NACK multiplexing as defined in Tables 10.1.3-2, 10.1.3-3, and 10.1.3-4 in TS 36.213 [23]. The same value applies to both ACK/NACK feedback modes on PUCCH as well as on PUSCH.

## twoAntennaPortActivatedPUCCH-Format1a1b

Indicates whether two antenna ports are configured for PUCCH format 1a/1b for HARQ-ACK, see TS 36.213 [23], clause 10.1. The field also applies for PUCCH format 1a/1b transmission when *format3* is configured, see TS 36.213 [23], clauses 10.1.2.2.2 and 10.1.3.2.2.

## twoAntennaPortActivatedPUCCH-Format3

Indicates whether two antenna ports are configured for PUCCH format 3 for HARQ-ACK, see TS 36.213 [23], clause 10.1.

Conditional presence	Explanation
TDD	The field is mandatory present for TDD if the <i>pucch-Format</i> is not present. If the <i>pucch-</i>
	Format is present, the field is not present and the UE shall delete any existing value for
	this field. It is not present for FDD and the UE shall delete any existing value for this field.

## PUSCH-Confia

The IE *PUSCH-ConfigCommon* is used to specify the common PUSCH configuration and the reference signal configuration for PUSCH and PUCCH. The IE *PUSCH-ConfigDedicated* is used to specify the UE specific PUSCH configuration.

## **PUSCH-Config** information element

```
-- ASN1START
PUSCH-ConfigCommon ::=
                                  SEQUENCE {
   pusch-ConfigBasic
                                      SEQUENCE {
                                          INTEGER (1..4),
       n-SB
                                          {\tt ENUMERATED \ \{interSubFrame, intraAndInterSubFrame\},}
       hoppingMode
       pusch-HoppingOffset
                                          INTEGER (0..98),
       enable64QAM
                                          BOOLEAN
   ul-ReferenceSignalsPUSCH
                                     UL-ReferenceSignalsPUSCH
}
PUSCH-ConfigCommon-v1270 ::=
                                  SEQUENCE {
   enable64QAM-v1270
                                         ENUMERATED {true}
PUSCH-ConfigCommon-v1310 ::= SEQUENCE {
   r8, r16, r32 }
                                                                       OPTIONAL,
                                                                                    -- Need OR
   pusch-maxNumRepetitionCEmodeB-r13
                                      ENUMERATED {
                                         r192, r256, r384, r512, r768, r1024,
                                          r1536, r2048}
                                                                        OPTIONAL,
                                                                                    -- Need OR
   pusch-HoppingOffset-v1310
                                  INTEGER (1..maxAvailNarrowBands-r13)
                                                                       OPTIONAL
                                                                                    -- Need OR
PUSCH-ConfigDedicated ::=
                                  SEQUENCE {
                                      INTEGER (0..15),
   betaOffset-ACK-Index
                                      INTEGER (0..15),
   betaOffset-RI-Index
   betaOffset-CQI-Index
                                      INTEGER (0..15)
{\tt PUSCH-ConfigDedicated-v1020} ::= \\ {\tt SEQUENCE} \ \{
   betaOffsetMC-r10
                                    SEQUENCE {
       betaOffset-ACK-Index-MC-r10
                                         INTEGER (0..15),
       betaOffset-RI-Index-MC-r10
                                          INTEGER (0..15),
                                        INTEGER (0..15)
       betaOffset-CQI-Index-MC-r10
                                                                         OPTIONAL,
                                                                                    -- Need OR
                                                                         OPTIONAL,
   groupHoppingDisabled-r10
                                    ENUMERATED {true}
                                                                                    -- Need OR
   dmrs-WithOCC-Activated-r10
                                     ENUMERATED {true}
                                                                        OPTIONAL
                                                                                    -- Need OR
}
PUSCH-ConfigDedicated-v1130 ::=
                                SEQUENCE {
   pusch-DMRS-r11
                                     CHOICE {
                                         NULL,
       release
                                          SEQUENCE {
       setup
           nPUSCH-Identity-r11
                                             INTEGER (0..509),
           nDMRS-CSH-Identity-r11
                                              INTEGER (0..509)
   }
                                  SEQUENCE {
PUSCH-ConfigDedicated-v1250::=
   uciOnPUSCH
                                      CHOICE {
       release
                                         NULL,
                                             SEQUENCE {
       setup
           betaOffset-ACK-Index-SubframeSet2-r12
                                                         INTEGER (0..15),
           betaOffset-RI-Index-SubframeSet2-r12
                                                         INTEGER (0..15),
                                                         INTEGER (0..15),
           betaOffset-CQI-Index-SubframeSet2-r12
                                                 SEQUENCE {
           betaOffsetMC-r12
               {\tt betaOffset-ACK-Index-MC-SubframeSet2-r12} \qquad {\tt INTEGER} \ ({\tt 0..15}) \,,
               betaOffset-RI-Index-MC-SubframeSet2-r12
                                                         INTEGER (0..15),
               OPTIONAL
                                                                                    -- Need OR
       }
   }
                                     SEQUENCE {
PUSCH-ConfigDedicated-r13 ::=
                                          INTEGER (0..15),
   betaOffset-ACK-Index-r13
   betaOffset2-ACK-Index-r13
                                          INTEGER (0..15)
                                                                       OPTIONAL,
                                                                                    -- Need OR
   betaOffset-RI-Index-r13
                                          INTEGER (0..15),
   betaOffset-CQI-Index-r13
                                          INTEGER (0..15),
   betaOffsetMC-r13
                                          SEQUENCE {
       betaOffset-ACK-Index-MC-r13
                                              INTEGER (0..15),
       betaOffset2-ACK-Index-MC-r13
                                             INTEGER (0..15)
                                                                         OPTIONAL,
                                                                                    -- Need OR
       betaOffset-RI-Index-MC-r13
                                             INTEGER (0..15),
```

```
betaOffset-CQI-Index-MC-r13 INTEGER (0..15)
                                                                           OPTIONAL,
                                                                                       -- Need OR
    groupHoppingDisabled-r13
                                         ENUMERATED {true}
                                                                           OPTIONAL,
                                                                                      -- Need OR
                                           ENUMERATED {true}
    dmrs-WithOCC-Activated-r13
                                                                           OPTIONAL,
                                                                                      -- Need OR
    pusch-DMRS-r11
                                           CHOICE {
       release
                                               NULL.
                                               SEQUENCE {
       setup
                                                   INTEGER (0..509),
           nPUSCH-Identity-r13
           nDMRS-CSH-Identity-r13
                                                   INTEGER (0..509)
                                                                          OPTIONAL, -- Need ON
    uciOnPUSCH
                                           CHOICE {
                                               NULL,
       release
                                               SEQUENCE {
        setup
           betaOffset-ACK-Index-SubframeSet2-r13
                                                  INTEGER (0..15),
INTEGER (0..15) OPTIONAL,
                                                           INTEGER (0..15),
           betaOffset2-ACK-Index-SubframeSet2-r13
                                                                                      -- Need OR
                                                          INTEGER (0..15),
INTEGER (0..15),
           betaOffset-RI-Index-SubframeSet2-r13
           betaOffset-CQI-Index-SubframeSet2-r13
                                                 SEQUENCE {
           betaOffsetMC-r12
               betaOffset-ACK-Index-MC-SubframeSet2-r13 INTEGER (0..15),
               betaOffset2-ACK-Index-MC-SubframeSet2-r13
                                                           INTEGER (0..15) OPTIONAL,
                                                                                       -- Need OR
               betaOffset-RI-Index-MC-SubframeSet2-r13 INTEGER (0..15), betaOffset-CQI-Index-MC-SubframeSet2-r13 INTEGER (0..15)
                                                          INTEGER (0..15)
           }
                                                                           OPTIONAL
                                                                                       -- Need OR
                                                                           OPTIONAL,
                                                                                      -- Need ON
   pusch-HoppingConfig-r13
                                           ENUMERATED {on}
                                                                           OPTIONAL
                                                                                      -- Need OR
PUSCH-ConfigDedicated-v1430 ::=
                                      SEOUENCE {
    ce-PUSCH-NB-MaxTBS-r14
                                          ENUMERATED {on}
                                                                          OPTIONAL,
                                                                                       -- Need OR
    ce-PUSCH-MaxBandwidth-r14
                                           ENUMERATED {bw5}
                                                                                      -- Need OR
                                                                          OPTIONAL,
    tdd-PUSCH-UpPTS-r14
                                           TDD-PUSCH-UpPTS-r14
                                                                         OPTIONAL,
                                                                                       -- Need ON
   ul-DMRS-IFDMA-r14
                                           BOOLEAN.
    enable256QAM-r14
                                           Enable2560AM-r14
                                                                         OPTIONAL
                                                                                      -- Need ON
}
PUSCH-ConfigDedicated-v1530 ::= SEQUENCE {
    ce-PUSCH-FlexibleStartPRB-AllocConfig-r15 CHOICE {
       release NULL,
       setup
                           SEQUENCE {
           offsetCE-ModeB-r15
                                      INTEGER (-1..3) OPTIONAL
                                                                         -- Cond CE-ModeB
    ce-PUSCH-SubPRB-Config-r15 CHOICE {
       release NULL,
                           SEQUENCE {
        setup
                                       INTEGER (0..5)
           locationCE-ModeB-r15 INTEGER (0.sixToneCyclicShift-r15 INTEGER (0..3),
                                                            OPTIONAL, -- Cond CE-ModeB
           threeToneCyclicShift-r15
                                        INTEGER (0..2)
           OPTIONAL -- Need ON
}
PUSCH-ConfigDedicatedSCell-r10 ::=
                                     SEQUENCE {
                                           ENUMERATED {true}
                                                                          OPTIONAL,
    groupHoppingDisabled-r10
                                                                                      -- Need OR
    dmrs-WithOCC-Activated-r10
                                           ENUMERATED {true}
                                                                           OPTIONAL
                                                                                      -- Need OR
}
PUSCH-ConfigDedicatedSCell-v1430 ::=
                                               SECTIENCE {
    enable256QAM-r14
                                           Enable256QAM-r14
                                                                         OPTIONAL
                                                                                      -- Need OR
PUSCH-ConfigDedicatedScell-v1530 ::=
                                               SECUENCE {
   uci-OnPUSCH-r15
                                       CHOICE {
       release
                                               NULL.
                                               SEQUENCE {
       setup
           betaOffsetAUL-r15
                                                           INTEGER (0..15)
}
TDD-PUSCH-UpPTS-r14 ::=
                                       CHOICE {
   release
                                           NIII.I.
    setup
                                           SEOUENCE {
       symPUSCH-UpPTS-r14
                                               ENUMERATED {sym1, sym2, sym3, sym4, sym5, sym6}
                                                                           OPTIONAL, -- Need ON
     dmrs-LessUpPTS-Config-r14 ENUMERATED {true}
                                                                          OPTIONAL
                                                                                      -- Need OR
```

```
CHOICE {
Enable256QAM-r14 ::=
          release
                                                    NULL,
                                                       CHOICE {
          setup
               tpc-SubframeSet-Configured-r14
                                                            SEQUENCE {
                                                                                                                BOOLEAN,
                         subframeSet1-DCI-Format0-r14
                         subframeSet1-DCI-Format4-r14
                                                                                                                 BOOLEAN,
                         subframeSet2-DCI-Format0-r14
                                                                                                                 BOOLEAN,
                         subframeSet2-DCI-Format4-r14
                                                                                                                 BOOLEAN
               tpc-SubframeSet-NotConfigured-r14 SEQUENCE {
                       dci-Format0-r14 BOOLEAN,
dci-Format4-r14 BOOLEAN
PUSCH-EnhancementsConfig-r14 ::=
                                                  CHOICE {
                                            NULL,
    release
                                              SEQUENCE {
          pusch-HoppingOffsetPUSCH-Enh-r14 INTEGER (1..100) OPTIONAL, --
interval-ULHoppingPUSCH-Enh-r14 CHOICE {
  interval-FDD-PUSCH-Enh-r14 ENUMERATED {int1, int2, int4, int8},
  interval-TDD-PUSCH-Enh-r14 ENUMERATED {int1, int5, int10, int20}
                                                              INTEGER (1..100) OPTIONAL, -- Need ON
                                                                                                 OPTIONAL
                                                                                                                -- Need ON
     }
}
UL-ReferenceSignalsPUSCH ::=
groupHoppingEnabled
groupAssignmentPUSCH
seguenceHoppingEnabled
                                          SEQUENCE {
                                              BOOLEAN,
                                                   INTEGER (0..29),
    sequenceHoppingEnabled
                                                  BOOLEAN,
    cyclicShift
                                                  INTEGER (0..7)
-- ASN1STOP
```

## **PUSCH-Config** field descriptions

## betaOffset-ACK-Index, betaOffset2-ACK-Index, betaOffset-ACK-Index-MC, betaOffset2-ACK-Index-MC

Parameter:  $I_{offset}^{HARQ-ACK}$ ,  $I_{offset,X}^{HARQ-ACK}$ ,  $I_{offset,MC}^{HARQ-ACK}$  and  $I_{offset,MC,X}^{HARQ-ACK}$ , for single- and multiple-codeword respectively,

see TS 36.213 [23], Table 8.6.3-1. betaOffset-ACK-Index and betaOffset2-ACK-Index are used for single-codeword and betaOffset-ACK-Index-MC and betaOffset2-ACK-Index-MC are used for multiple-codeword. If betaOffset2-ACK-Index-MC are used for multiple-codeword. Index is configured; betaOffset-ACK-Index is used when up to 22 HARQ-ACK bits are transmitted otherwise betaOffset2-ACK-Index is used. If betaOffset-ACK2-Index-MC is configured; betaOffset-ACK-Index-MC is used when up to 22 HARQ-ACK bits are transmitted otherwise betaOffset2-ACK-Index-MC is used. One value applies for all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send PUCCH on the same cell in case PUCCH SCell is configured) and not configured with uplink power control subframe sets. The same value also applies for subframe set 1 of all serving cells with an uplink in that cell group and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell).

## betaOffset-ACK-Index-SubframeSet2, betaOffset2-ACK-Index-SubframeSet2, betaOffset-ACK-Index-MC-SubframeSet2, betaOffset2-ACK-Index-MC-SubframeSet2

Parameter:  $I_{offset,set2}^{HARQ-ACK}$ ,  $I_{offset,set2,X}^{HARQ-ACK}$ ,  $I_{offset,MC,set2}^{HARQ-ACK}$  and  $I_{offset,MC,set2,X}^{HARQ-ACK}$  respectively, see TS 36.213 [23], Table 8.6.3-1.

betaOffset-ACK-Index-SubframeSet2 and betaOffset2-ACK-Index-SubframeSet2 are used for single-codeword, betaOffset-ACK-Index-MC-SubframeSet2, betaOffset2-ACK-Index-MC-SubframeSet2 are used for multiple-codeword. If betaOffset2-ACK-Index-SubframeSet2 is configured; betaOffset-ACK-Index-SubframeSet2 is used when up to 22 HARQ-ACK bits are transmitted otherwise betaOffset2-ACK-Index-SubframeSet2 is used. If betaOffset2-ACK-Index-MC-SubframeSet2 is configured; betaOffset-ACK-Index-MC-SubframeSet2 is used when up to 22 HARQ-ACK bits are transmitted otherwise betaOffset2-ACK-Index-MC-SubframeSet2 is used. One value applies for subframe set 2 of all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send PUCCH on the same cell in case PUCCH SCell is configured) and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell configured with uplink power control subframe sets).

## betaOffsetAUL

Parameter:  $\beta_{offset}^{AUI-UCI}$ 

# Parameter: Pofat see TS 36.213 [23], clause 8.6.3. betaOffset-CQI-Index, betaOffset-CQI-Index-MC

Parameter:  $I_{\it offset}^{\it CQI}$ , for single- and multiple-codeword respectively, see TS 36.213 [23], Table 8.6.3-3. One value

applies for all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send PUCCH on the same cell in case PUCCH SCell is configured) and not configured with uplink power control subframe sets. The same value also applies for subframe set 1 of all serving cells with an uplink in that cell group and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell).

## betaOffset-CQI-Index-SubframeSet2, betaOffset-CQI-Index-MC-SubframeSet2

Parameter:  $I_{\it offset}^{\it CQI}$ , for single- and multiple-codeword respectively, see TS 36.213 [23], Table 8.6.3-3. One value applies for subframe set 2 of all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send PUCCH on the same cell in case PUCCH SCell is configured) and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell

## configured with uplink power control subframe sets). betaOffset-RI-Index, betaOffset-RI-Index-MC

Parameter:  $I_{offset}^{RI}$ , for single- and multiple-codeword respectively, see TS 36.213 [23], Table 8.6.3-2. One value applies for all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send PUCCH on the same cell in case PUCCH SCell is configured) and not configured with uplink power control subframe sets. The same value also applies for subframe set 1 of all serving cells with an uplink in that cell group and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell).

## betaOffset-RI-Index-SubframeSet2, betaOffset-RI-Index-MC-SubframeSet2

Parameter:  $I_{offset}^{RI}$ , for single- and multiple-codeword respectively, see TS 36.213 [23], Table 8.6.3-2. One value applies for subframe set 2 of all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send PUCCH on the same cell in case PUCCH SCell is configured) and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell configured with uplink power control subframe sets).

## ce-PUSCH-FlexibleStartPRB-AllocConfig

Activation of flexible starting PRB for PUSCH resource allocation in CE mode A or B. offsetCE-ModeB indicates starting PRB offset when flexible starting PRB for PUSCH resource allocation in CE mode B is enabled. See TS 36.212 [22] and TS 36.213 [23]. E-UTRAN does not configure this field when E-UTRA system bandwidth is 1.4 MHz.

## **PUSCH-Config** field descriptions

#### ce-PUSCH-MaxBandwidth

Maximum PUSCH channel bandwidth in CE mode A, see TS 36.212 [22] and TS 36.213 [23]. Value bw5 corresponds to 5 MHz. If this field is not configured, the maximum PUSCH channel bandwidth in CE mode A set to 1.4 MHz. The maximum PUSCH channel bandwidth in CE mode B is 1.4 MHz regardless of the setting of this parameter. Parameter: transmission bandwidth configuration, see TS 36.101 [42], table 5.6-1.

## ce-PUSCH-NB-MaxTBS

Activation of 2984 bits maximum PUSCH TBS in 1.4 MHz in CE mode A, see TS 36.212 [22] and TS 36.213 [23].

#### ce-PUSCH-SubPRB-Config

Activation of PUSCH sub-PRB allocation in CE mode A or B, see TS 36.211 [21], TS 36.212 [22] and TS 36.213 [23].

#### cyclicShift

Parameters: cyclicShift, see TS 36.211 [21], Table 5.5.2.1.1-2.

## dmrs-LessUpPTS-Config

Indicates the UE not to transmit DMRS for PUSCH in UpPTS, see TS36.211 [21], clause 5.5.2.1.2.

## dmrs-WithOCC-Activated

Parameter: Activate-DMRS-with OCC, see TS 36.211 [21], clause 5.5.2.1.

## enable256QAM

See TS 36.213 [23], clause 8.6.1. If *enable256QAM* is included and if uplink power control subframe sets are configured by *tpc-SubframeSet*, the field indicates (if set to TRUE) per uplink power control subframe set and DCI format 0/0A/0B and 4/4A/4B that 256QAM is allowed for UE UL categories 16 to 20 indicated in *ue-CategoryUL-v1430*, while FALSE indicates that 256 QAM is not allowed. If *enable256QAM* is included and if uplink power control subframe sets are not configured by *tpc-SubframeSet*, the field indicates (if set to TRUE) per DCI format 0/0A/0B and 4/4A/4B that 256QAM is allowed for UE UL categories 16 to 20 indicated in *ue-CategoryUL-v1430*, while FALSE indicates that 256 QAM is not allowed.

#### enable64QAM

See TS 36.213 [23], clause 8.6.1. If *enable64QAM* (without suffix) is set to TRUE, it indicates that 64QAM is allowed for UE categories 5 and 8 indicated in *ue-Category* and UL categories indicated in *ue-CategoryUL* which support UL 64QAM and can fallback to category 5 or 8, see TS 36.306 [5], Table 4.1A-2 and Table 4.1A-6, while FALSE indicates that 64QAM is not allowed. If *enable64QAM-v1270* is set to TRUE, it indicates that 64QAM is allowed for UL categories indicated in *ue-CategoryUL* which support UL 64QAM but cannot fallback category 5 or 8, see TS 36.306 [5], Table 4.1A-2 and Table 4.1A-6. E-UTRAN configures *enable64QAM-v1270* only when *enable64QAM* (without suffix) is set to TRUE.

## interval-ULHoppingPUSCH-Enh

Number of consecutive absolute subframes over which PUSCH stays at the same PRBs before hopping to other PRBs. For *interval-FDD-PUSCH-Enh*, int1 corresponds to 1 subframe, int2 corresponds to 2 subframes, and so on. For *interval-TDD-PUSCH-Enh*, int1 corresponds to 1 subframe, int5 corresponds to 5 subframes, and so on. See TS 36.211 [21], clause 5.3.4.

## groupAssignmentPUSCH

Parameter: △SS See TS 36.211 [21], clause 5.5.1.3.

## groupHoppingDisabled

Parameter: Disable-sequence-group-hopping, see TS 36.211 [21], clause 5.5.1.3.

## groupHoppingEnabled

Parameter: Group-hopping-enabled, see TS 36.211 [21], clause 5.5.1.3.

## hoppingMode

Parameter: Hopping-mode, see TS 36.211 [21], clause 5.3.4.

## IocationCE-ModeB

PRB location within the narrowband when PUSCH sub-PRB allocation is enabled in CE mode B.

## nDMRS-CSH-Identity

Parameter:  $N_{\mathrm{ID}}^{\mathrm{csh\_DMRS}}$ , see TS 36.211 [21], clause 5.5.2.1.1.

## nPUSCH-Identity

Parameter:  $n_{\mathrm{ID}}^{\mathrm{PUSCH}}$  , see TS 36.211 [21], clause 5.5.1.5.

## n-SB

Parameter: N<sub>sb</sub> see TS 36.211 [21], clause 5.3.4.

## pusch-HoppingConfig

For BL UEs and UEs in CE, frequency hopping activation/deactivation for unicast PUSCH, see TS 36.211 [21]

## pusch-hoppingOffset

Except for BL UEs and UEs in CE, parameter:  $N_{\rm RB}^{\rm HO}$  , see TS 36.211 [21], clause 5.3.4. For BL UEs and UEs in CE,

the pusch-hoppingOffset-v1310 indicates the parameter  $f_{\mathrm{NB,hop}}^{\mathrm{PUSCH}}$ , see TS 36.211 [21], clause 5.3.4. . In case pusch-hoppingOffset-v1310 indicates the parameter  $f_{\mathrm{NB,hop}}^{\mathrm{PUSCH}}$ , see TS 36.211 [21], clause 5.3.4. .

hoppingOffset-v1310 is signalled, the BL UEs and UEs in CE shall ignore pusch-hoppingOffset (i.e. without suffix).

## pusch-HoppingOffsetPUSCH-Enh

Indicates the frequency domain hopping offset between PRBs for PUSCH in frequency hopping, see TS 36.211 [21], clause 5.3.4. Value 1 corresponds to 1 PRB, value 2 corresponds to 2 PRBs, and so on.

## **PUSCH-Config** field descriptions

#### pusch-maxNumRepetitionCEmodeA

Maximum value to indicate the set of PUSCH repetition numbers for CE mode A, see TS 36.211 [21] and TS 36.213 [23]. E-UTRAN does not configure value r8. If the field is not configured, the UE shall apply the default value as defined in TS 36.213 [23], clause 8.0.

## pusch-maxNumRepetitionCEmodeB

Maximum value to indicate the set of PUSCH repetition numbers for CE mode B, see TS 36.211 [21] and TS 36.213 [23].

## sequenceHoppingEnabled

Parameter: Sequence-hopping-enabled, see TS 36.211 [21], clause 5.5.1.4.

#### sixToneCyclicShift, threeToneCyclicShift

Cyclic shift for PUSCH reference signal sequence of six/three subcarriers in CE mode A or B.

## symPUSCH-UpPTS

Indicates the number of data symbols that configured for PUSCH transmission in UpPTS. Values sym2, sym3, sym4, sym5 and sym6 can be used for normal cyclic prefix, if dmrsLess-UpPTS is set to true, otherwise, values sym2, sym3, sym4, sym5 can be used for normal cyclic prefix and values sym1, sym2, sym3 and sym4 can be used for extended cyclic prefix, see TS 36.213 [23], clause 8.6.2 and TS 36.211 [21], clause 5.3.4.

#### ul-DMRS-IFDMA

Value TRUE indicates that the UE is configured with enhanced UL DMRS.

## ul-ReferenceSignalsPUSCH

Used to specify parameters needed for the transmission on PUSCH (or PUCCH).

Conditional presence	Explanation
CE-ModeB	The field is optionally present, need ON, for CE Mode B. Otherwise, the field is not
	present.

## RACH-ConfigCommon

The IE RACH-ConfigCommon is used to specify the generic random access parameters.

## RACH-ConfigCommon information element

```
-- ASN1START
RACH-ConfigCommon ::=
                            SEQUENCE {
   preambleInfo
                                        SEQUENCE {
        numberOfRA-Preambles
                                            ENUMERATED {
                                                n4, n8, n12, n16, n20, n24, n28,
                                                n32, n36, n40, n44, n48, n52, n56,
                                                n60, n64},
        preamblesGroupAConfig
                                            SEQUENCE {
                                                ENUMERATED {
           sizeOfRA-PreamblesGroupA
                                                     n4, n8, n12, n16, n20, n24, n28,
                                                     n32, n36, n40, n44, n48, n52, n56,
                                                     n60},
            messageSizeGroupA
                                                     ENUMERATED {b56, b144, b208, b256},
            messagePowerOffsetGroupB
                                                ENUMERATED {
                                                     minusinfinity, dB0, dB5, dB8, dB10, dB12,
                                                     dB15, dB18},
        }
                    OPTIONAL
                                                                                 -- Need OP
    powerRampingParameters
                                        PowerRampingParameters,
                                        SEQUENCE {
    ra-SupervisionInfo
       preambleTransMax
                                            PreambleTransMax,
        ra-ResponseWindowSize
                                            ENUMERATED
                                                sf2, sf3, sf4, sf5, sf6, sf7,
                                                sf8, sf10},
        mac-ContentionResolutionTimer
                                            ENUMERATED
                                                sf8, sf16, sf24, sf32, sf40, sf48,
                                                sf56, sf64}
    maxHARQ-Msg3Tx
                                        INTEGER (1..8),
    [[ preambleTransMax-CE-r13
                                                                             OPTIONAL,
                                        PreambleTransMax
                                                                                         -- Need OR
        rach-CE-LevelInfoList-r13
                                        RACH-CE-LevelInfoList-r13
                                                                             OPTIONAL
                                                                                         -- Need OR
       edt-SmallTBS-Subset-r15
                                        ENUMERATED {true}
                                                                             OPTIONAL
                                                                                              -- Cond
    [ [
EDT-OR
    ]]
```

```
}
RACH-ConfigCommon-v1250 ::= SEQUENCE {
        connEstFailCount-r12
    txFailParams-r12
                                                     ENUMERATED {n1, n2, n3, n4},
        connEstFailOffsetValidity-r12
                                                     ENUMERATED {s30, s60, s120, s240,
                                                              s300, s420, s600, s900},
                                                                                        -- Need OP
        connEstFailOffset-r12
                                                     INTEGER (0..15) OPTIONAL
}
RACH-ConfigCommonSCell-r11 ::= SEQUENCE {
   powerRampingParameters-r11 PowerRampingParameters,
   ra-SupervisionInfo-r11 SEQUENCE {
        preambleTransMax-r11 PreambleTransMax
        preambleTransMax-r11
                                                     PreambleTransMax
RACH-CE-LevelInfoList-r13 ::= SEQUENCE (SIZE (1..maxCE-Level-r13)) OF RACH-CE-LevelInfo-r13
RACH-CE-LevelInfo-r13 ::= SEQUENCE {
    preambleMappingInfo-r13 SEQ
                                 SEQUENCE {
                                                INTEGER(0..63),
        firstPreamble-r13
        lastPreamble-r13
                                                 INTEGER(0..63)
    ra-ResponseWindowSize-r13
                                          ENUMERATED {sf20, sf50, sf80, sf120, sf180,
                                                          sf240, sf320, sf400},
    mac-ContentionResolutionTimer-r13 ENUMERATED {sf80, sf100, sf120,
                                                          sf160, sf200, sf240, sf480, sf960},
    rar-HoppingConfig-r13
                                           ENUMERATED {on,off},
    ...,
[[ edt-Parameters-r15 SEQUENCE {
    edt-LastPreamble-r15 INTEGER(0..63),
    edt-SmallTBS-Enabled-r15 BOOLEAN,
    FINIMEPATED {b328, b
                             ENUMERATED {b328, b408, b504, b600, b712,
             edt-TBS-r15
             b808, b936, b1000or456}, mac-ContentionResolutionTimer-r15 ENUMERATED {sf240, sf480, sf960,
                                                         sf1920, sf3840, sf5760, sf7680, sf10240}
    OPTIONAL -- Need OP
          } OPTIONAL
                                 -- Cond EDT
    ]]
PowerRampingParameters ::= SEQUENCE {
    powerRampingStep ENUMER
                                        ENUMERATED {dB0, dB2,dB4, dB6},
    powerRampingStep
    preambleInitialReceivedTargetPower ENUMERATED {
                                                 dBm-120, dBm-118, dBm-116, dBm-114, dBm-112,
                                                 dBm-110, dBm-108, dBm-106, dBm-104, dBm-102,
                                                 dBm-100, dBm-98, dBm-96, dBm-94, dBm-92, dBm-90}
                                       ENUMERATED {
PreambleTransMax ::=
                                                n3, n4, n5, n6, n7, n8, n10, n20, n50,
                                                 n100, n200}
-- ASN1STOP
```

## **RACH-ConfigCommon field descriptions**

#### connEstFailCount

Number of times that the UE detects T300 expiry on the same cell before applying connEstFailOffset.

#### connEstFailOffset

Parameter "Qoffsettemp" in TS 36.304 [4]. If the field is not present the value of infinity shall be used for "Qoffsettemp".

## connEstFailOffsetValidity

Amount of time that the UE applies *connEstFailOffset* before removing the offset from evaluation of the cell. Value s30 corresponds to 30 seconds, s60 corresponds to 60 seconds, and so on.

#### edt-LastPreamble

Provides the mapping of preambles to groups for each CE level for EDT, as specified in TS 36.321 [6]. For the concerned CE level, if PRACH resources configured by *edt-PRACH-ParametersCE-r15* are different from the PRACH resources configured by *PRACH-ParametersCE-r13* for all CE levels and *edt-PRACH-ParametersCE-r15* for all other CE levels, the preambles for EDT are the preambles *firstPreamble-r13* to *edt-LastPreamble-r15*, otherwise the preambles for EDT are the preambles *lastPreamble-r13* +1 to *edt-LastPreamble-r15*.

## edt-SmallTBS-Enabled

Value TRUE indicates UE performing EDT is allowed to select TBS smaller than *edt-TBS* for Msg3 in the corresponding CE level, as specified in TS 36.213 [23].

#### edt-SmallTBS-Subset

Presence indicates only two of the TBS values can be used according to *edt-TBS* corresponding to the CE level, as specified in TS 36.213 [23]. When the field is not present, any of the TBS values according to *edt-TBS* corresponding to the CE level can be used. This field is applicable for a CE level only when *edt-SmallTBS-Enabled* is included for the corresponding CE level.

#### edt-TBS

Largest TBS for Msg3 for a CE level applicable to a UE performing EDT. Value in bits. Value b328 corresponds to 328 bits, b408 corresponds to 408 bits and so on. Additionally, value b1000or456 corresponds to 1000 bits for CE levels 0 and 1, and 456 bits for CE levels 2 and 3. See TS 36.213 [23].

#### mac-ContentionResolutionTimer

Timer for contention resolution in TS 36.321 [6]. Value in subframes. Value sf8 corresponds to 8 subframes, sf16 corresponds to 16 subframes and so on. *mac-ContentionResolutionTimer-r15* is only applicable for EDT. UE performing EDT shall use *mac-ContentionResolutionTimer-r15*, if present.

## maxHARQ-Msg3Tx

Maximum number of Msg3 HARQ transmissions in TS 36.321 [6], used for contention based random access. Value is an integer.

## messagePowerOffsetGroupB

Threshold for preamble selection in TS 36.321 [6]. Value in dB. Value minusinfinity corresponds to –infinity. Value dB0 corresponds to 0 dB, dB5 corresponds to 5 dB and so on.

## messageSizeGroupA

Threshold for preamble selection in TS 36.321 [6]. Value in bits. Value b56 corresponds to 56 bits, b144 corresponds to 144 bits and so on.

## numberOfRA-Preambles

Number of non-dedicated random access preambles in TS 36.321 [6]. Value is an integer. Value n4 corresponds to 4, n8 corresponds to 8 and so on.

## powerRampingStep

Power ramping factor in TS 36.321 [6]. Value in dB. Value dB0 corresponds to 0 dB, dB2 corresponds to 2 dB and so on.

## preambleInitialReceivedTargetPower

Initial preamble power in TS 36.321 [6]. Value in dBm. Value dBm-120 corresponds to -120 dBm, dBm-118 corresponds to -118 dBm and so on.

## preambleMappingInfo

Provides the mapping of preambles to groups for each CE level, except for EDT, as specified in TS 36.321 [6]. When random access preambles group B is used, *firstPreamble-r13* is set to 0 and *lastPreamble-r13* is set to *numberOfRA-Preambles-1*.

## preamblesGroupAConfig

Provides the configuration for preamble grouping in TS 36.321 [6]. If the field is not signalled, the size of the random access preambles group A, as specified in TS 36.321 [6], is equal to *numberOfRA-Preambles*.

## preambleTransMax, preambleTransMax-CE

Maximum number of preamble transmission in TS 36.321 [6]. Value is an integer. Value n3 corresponds to 3, n4 corresponds to 4 and so on.

## rach-CE-LevelInfoList

Provides RACH information for each coverage level. The first entry in the list contains RACH information of CE level 0, the second entry in the list contains RACH information of CE level 1, and so on. If E-UTRAN includes *rach-CE-LevelInfoList*, it includes the same number of entries as in *prach-ParametersListCE*.

## ra-ResponseWindowSize

Duration of the RA response window in TS 36.321 [6]. Value in subframes. Value sf2 corresponds to 2 subframes, sf3 corresponds to 3 subframes and so on. The same value applies for each serving cell (although the associated functionality is performed independently for each cell).

RACH-ConfigCommon field descriptions	
connEstFailCount	
Number of times that the UE detects T300 expiry on the same cell before applying connEstFailOffset.	
rar-HoppingConfig	
Frequency hopping activation/deactivation for RAR/Msg3/Msg4 for a CE level, see TS 36.211 [21].	
sizeOfRA-PreamblesGroupA	
Size of the random access preambles group A in TS 36.321 [6]. Value is an integer. Value n4 corresponds to 4, n8 corresponds to 8 and so on.	

Conditional presence	Explanation
EDT	The field is mandatory present if <i>cp-EDT</i> or <i>up-EDT</i> in <i>SystemInformationBlockType2</i> is present; otherwise the field is not present and the UE shall delete any existing value for this field.
EDT-OR	The field is optionally present, Need OR, if <i>cp-EDT</i> or <i>up-EDT</i> in <i>SystemInformationBlockType2</i> is present; otherwise the field is not present and the UE shall delete any existing value for this field.

## RACH-ConfigDedicated

The IE RACH-ConfigDedicated is used to specify the dedicated random access parameters.

## RACH-ConfigDedicated information element

```
-- ASN1START

RACH-ConfigDedicated ::= SEQUENCE {
    ra-PreambleIndex INTEGER (0..63),
    ra-PRACH-MaskIndex INTEGER (0..15)
}

-- ASN1STOP
```

RACH-ConfigDedicated field descriptions	
ra-PRACH-Maskindex	
Explicitly signalled PRACH Mask Index for RA Resource selection in TS 36.321 [6].	
ra-PreambleIndex	
Explicitly signalled Random Access Preamble for RA Resource selection in TS 36.321 [6].	

## RadioResourceConfigCommon

The IE *RadioResourceConfigCommonSIB* and IE *RadioResourceConfigCommon* are used to specify common radio resource configurations in the system information and in the mobility control information, respectively, e.g., the random access parameters and the static physical layer parameters.

## RadioResourceConfigCommon information element

```
-- ASN1START
RadioResourceConfigCommonSIB ::=
                                   SEQUENCE {
    rach-ConfigCommon
                                      RACH-ConfigCommon,
    bcch-Config
                                   BCCH-Config,
   pcch-Config
                                   PCCH-Config,
                                       PRACH-ConfigSIB,
    prach-Config
   pdsch-ConfigCommon
                                       PDSCH-ConfigCommon,
    pusch-ConfigCommon
                                       PUSCH-ConfigCommon,
   pucch-ConfigCommon
                                      PUCCH-ConfigCommon,
    soundingRS-UL-ConfigCommon
                                      SoundingRS-UL-ConfigCommon,
    uplinkPowerControlCommon
                                       UplinkPowerControlCommon,
    ul-CyclicPrefixLength
                                       UL-CyclicPrefixLength,
    [[ uplinkPowerControlCommon-v1020 UplinkPowerControlCommon-v1020
                                                                           OPTIONAL
                                                                                       -- Need OR
    ]],
    [[ rach-ConfigCommon-v1250
                                       RACH-ConfigCommon-v1250
                                                                           OPTIONAL
                                                                                       -- Need OR
    [[ pusch-ConfigCommon-v1270
                                       PUSCH-ConfigCommon-v1270
                                                                           OPTIONAL
                                                                                       -- Need OR
```

```
]],
[[ bcch-Config-v1310
                                       BCCH-Config-v1310
                                                                           OPTIONAL,
                                                                                        -- Need OR
       pcch-Config-v1310
                                       PCCH-Config-v1310
                                                                           OPTIONAL,
                                                                                       -- Need OR
                                                                           OPTIONAL,
        freqHoppingParameters-r13
                                       FreqHoppingParameters-r13
                                                                                       -- Need OR
        pdsch-ConfigCommon-v1310
                                       PDSCH-ConfigCommon-v1310
                                                                           OPTIONAL,
                                                                                       -- Need OR
       pusch-ConfigCommon-v1310
                                       PUSCH-ConfigCommon-v1310
                                                                           OPTIONAL,
                                                                                       -- Need OR
       prach-ConfigCommon-v1310
                                       PRACH-ConfigSIB-v1310
                                                                           OPTIONAL,
                                                                                       -- Need OR
                                                                                       -- Need OR
                                       PUCCH-ConfigCommon-v1310
       pucch-ConfigCommon-v1310
                                                                           OPTIONAL
    ]],
    [[ highSpeedConfig-r14
                                       HighSpeedConfig-r14
                                                                           OPTIONAL,
                                                                                       -- Need OR
                                                                                       -- Need OR
       prach-Config-v1430
                                       PRACH-Config-v1430
                                                                           OPTIONAL,
                                       PUCCH-ConfigCommon-v1430
       pucch-ConfigCommon-v1430
                                                                           OPTIONAL
                                                                                       -- Need OR
    11,
    [[ prach-Config-v1530
                                       PRACH-ConfigSIB-v1530
                                                                           OPTIONAL,
                                                                                       -- Cond EDT
        ce-RSS-Config-r15
                                       RSS-Config-r15
                                                                           OPTIONAL,
                                                                                       -- Need OR
                                                                           OPTIONAL,
        wus-Config-r15
                                        WUS-Config-r15
                                                                                       -- Need OR
       highSpeedConfig-v1530
                                       HighSpeedConfig-v1530
                                                                           OPTIONAL
                                                                                       -- Need OR
    [[ uplinkPowerControlCommon-v1540 UplinkPowerControlCommon-v1530
                                                                           OPTIONAL
                                                                                       -- Need OR
    ]],
    [ [
       wus-Config-v1560
                                       WUS-Config-v1560
                                                                           OPTIONAL
                                                                                       -- Need OR
    ]]
}
RadioResourceConfigCommon ::=
                                   SEOUENCE {
    rach-ConfigCommon
                                       RACH-ConfigCommon
                                                                           OPTIONAL,
                                                                                       -- Need ON
    prach-Config
                                       PRACH-Config,
    pdsch-ConfigCommon
                                       PDSCH-ConfigCommon
                                                                           OPTIONAL,
                                                                                       -- Need ON
    pusch-ConfigCommon
                                       PUSCH-ConfigCommon,
    phich-Config
                                                                           OPTIONAL,
                                       PHICH-Config
                                                                                       -- Need ON
                                                                                       -- Need ON
    pucch-ConfigCommon
                                       PUCCH-ConfigCommon
                                                                           OPTIONAL,
    soundingRS-UL-ConfigCommon
                                       SoundingRS-UL-ConfigCommon
                                                                                       -- Need ON
                                                                           OPTIONAL,
    uplinkPowerControlCommon
                                       UplinkPowerControlCommon
                                                                           OPTIONAL,
                                                                                       -- Need ON
                                                                                       -- Need ON
                                                                           OPTIONAL,
    antennaInfoCommon
                                       AntennaInfoCommon
    p-Max
                                       P-Max
                                                                           OPTIONAL,
                                                                                       -- Need OP
    tdd-Config
                                        TDD-Config
                                                                           OPTIONAL,
                                                                                       -- Cond TDD
    ul-CyclicPrefixLength
                                       UL-CyclicPrefixLength,
    [[ uplinkPowerControlCommon-v1020 UplinkPowerControlCommon-v1020
                                                                                       -- Need ON
                                                                           OPTIONAL
    ]],
    [[ tdd-Config-v1130
                                       TDD-Config-v1130
                                                                           OPTIONAL
                                                                                       -- Cond TDD3
    11.
    [[ pusch-ConfigCommon-v1270
                                       PUSCH-ConfigCommon-v1270
                                                                           OPTIONAL
                                                                                       -- Need OR
    ]],
    [[
       prach-Config-v1310
                                       PRACH-Config-v1310
                                                                           OPTIONAL,
                                                                                       -- Need ON
        freqHoppingParameters-r13
                                       FreqHoppingParameters-r13
                                                                                       -- Need ON
                                                                           OPTIONAL,
        pdsch-ConfigCommon-v1310
                                       PDSCH-ConfigCommon-v1310
                                                                           OPTIONAL,
                                                                                       -- Need ON
        pucch-ConfigCommon-v1310
                                       PUCCH-ConfigCommon-v1310
                                                                                       -- Need ON
                                                                           OPTIONAL,
       pusch-ConfigCommon-v1310
                                       PUSCH-ConfigCommon-v1310
                                                                           OPTIONAL,
                                                                                       -- Need ON
        uplinkPowerControlCommon-v1310 UplinkPowerControlCommon-v1310
                                                                           OPTIONAL
                                                                                       -- Need ON
    [[ highSpeedConfig-r14
                                       HighSpeedConfig-r14
                                                                           OPTIONAL,
                                                                                       -- Need OR
                                                                                       -- Need OR
        prach-Config-v1430
                                        PRACH-Config-v1430
                                                                           OPTIONAL.
        pucch-ConfigCommon-v1430
                                       PUCCH-ConfigCommon-v1430
                                                                                       -- Need OR
                                                                           OPTIONAL,
        tdd-Config-v1430
                                       TDD-Config-v1430
                                                                           OPTIONAL
                                                                                       -- Cond TDD3
    ]],
    [ [
        tdd-Config-v1450
                                       TDD-Config-v1450
                                                                           OPTIONAL
                                                                                       -- Cond TDD3
    11.
    [[ uplinkPowerControlCommon-v1530 UplinkPowerControlCommon-v1530 OPTIONAL,
                                                                                       -- Need ON
       highSpeedConfig-v1530
                                       HighSpeedConfig-v1530
                                                                           OPTIONAL
                                                                                       -- Need OR
}
RadioResourceConfigCommonPSCell-r12 ::= SEQUENCE {
    basicFields-r12
                                       RadioResourceConfigCommonSCell-r10,
    pucch-ConfigCommon-r12
                                       PUCCH-ConfigCommon,
    rach-ConfigCommon-r12
                                       RACH-ConfigCommon,
    uplinkPowerControlCommonPSCell-r12 UplinkPowerControlCommonPSCell-r12,
    [[ uplinkPowerControlCommonPSCell-v1310
                                   UplinkPowerControlCommon-v1310
                                                                       OPTIONAL
                                                                                    -- Need ON
    ]],
    [[ uplinkPowerControlCommonPSCell-v1530
                                   UplinkPowerControlCommon-v1530
                                                                       OPTIONAL
                                                                                   -- Need ON
    11
```

```
RadioResourceConfigCommonPSCell-v12f0 ::= SEQUENCE {
   basicFields-v12f0
                                      RadioResourceConfigCommonSCell-v1010
}
RadioResourceConfigCommonPSCell-v1440 ::= SEQUENCE {
   basicFields-v1440
                                      RadioResourceConfigCommonSCell-v1440
RadioResourceConfigCommonSCell-r10 ::= SEQUENCE {
    -- DL configuration as well as configuration applicable for DL and UL
                                       SEQUENCE {
   nonUL-Configuration-r10
        -- 1: Cell characteristics
       dl-Bandwidth-r10
                                              ENUMERATED {n6, n15, n25, n50, n75, n100},
        -- 2: Physical configuration, general
       mbsfn-SubframeConfigList-r10
                                              AntennaInfoCommon,
                                              MBSFN-SubframeConfigList OPTIONAL, -- Need OR
        -- 3: Physical configuration, control
       phich-Config-r10
                                              PHICH-Config,
        -- 4: Physical configuration, physical channels
                                              PDSCH-ConfigCommon,
       pdsch-ConfigCommon-r10
       tdd-Config-r10
                                              TDD-Config
                                                                         OPTIONAL
                                                                                     -- Cond
TDDSCell
   },
    -- UL configuration
                                     SEQUENCE {
   ul-Configuration-r10
       ul-FreqInfo-r10
                                      SEQUENCE {
           ul-CarrierFreq-r10
                                              ARFCN-ValueEUTRA
                                                                        OPTIONAL,
                                                                                     -- Need OP
           ul-Bandwidth-r10
                                              ENUMERATED {n6, n15,
                                                n25, n50, n75, n100} OPTIONAL,
                                                                                    -- Need OP
           additionalSpectrumEmissionSCell-r10
                                                 AdditionalSpectrumEmission
       },
       p-Max-r10
                                                                         OPTIONAL,
                                                                                     -- Need OP
                                          P-Max
       uplinkPowerControlCommonSCell-r10
                                            UplinkPowerControlCommonSCell-r10,
        -- A special version of IE UplinkPowerControlCommon may be introduced
        -- 3: Physical configuration, control
       -- 4: Physical configuration, physical channels
                                                                        OPTIONAL,
       prach-ConfigSCell-r10
                                              PRACH-ConfigSCell-r10
                                                                                    -- Cond TDD-
OR-NoR11
                                         PUSCH-ConfigCommon
       pusch-ConfigCommon-r10
                                                                         OPTIONAL.
                                                                                     -- Need OR
    [[ ul-CarrierFreq-v1090
                                         ARFCN-ValueEUTRA-v9e0
                                                                         OPTIONAL
                                                                                     -- Need OP
   ]],
   [[ rach-ConfigCommonSCell-r11
                                         RACH-ConfigCommonSCell-r11
                                                                         OPTIONAL,
                                                                                     -- Cond
ULSCell
       prach-ConfigSCell-r11
                                                                         OPTIONAL,
                                          PRACH-Config
                                                                                     -- Cond UL
       tdd-Config-v1130
                                          TDD-Config-v1130
                                                                         OPTIONAL,
                                                                                     -- Cond TDD2
       uplinkPowerControlCommonSCell-v1130
                             UplinkPowerControlCommonSCell-v1130
                                                                         OPTIONAL
                                                                                     -- Cond UL
      pusch-ConfigCommon-v1270 PUSCH-ConfigCommon-v1270
                                                                         OPTIONAL
                                                                                     -- Need OR
   [[ pucch-ConfigCommon-r13
                                          PUCCH-ConfigCommon OPTIONAL, -- Cond UL
       uplinkPowerControlCommonSCell-v1310
                             UplinkPowerControlCommonSCell-v1310 OPTIONAL -- Cond UL
   [[ highSpeedConfigSCell-r14 HighSpeedConfigSCell-r14 prach-Config-v1430 PRACH-Config-v1430 ul-Configuration-r14 SEQUENCE {
                                                                         OPTIONAL.
                                                                                     -- Need OR
                                                                         OPTIONAL,
                                                                                     -- Cond UL
                                       SEQUENCE {
       ul-FreqInfo-r14
           ul-CarrierFreq-r14
                                              ARFCN-ValueEUTRA-r9 OPTIONAL,
                                                                                     -- Need OP
                                              ENUMERATED {n6, n15,
           ul-Bandwidth-r14
                                                n25, n50, n75, n100} OPTIONAL,
                                                                                     -- Need OP
           additionalSpectrumEmissionSCell-r14
                                                 AdditionalSpectrumEmission
       },
       p-Max-r14
                                          P-Max
                                                                         OPTIONAL.
                                                                                     -- Need OP
       ul-CyclicPrefixLength-r14 SoundingRS-UL-ConfigCom
prach-ConfigSCell-r14 UL-CyclicPrefixLength,
                                          SoundingRS-UL-ConfigCommon,
                                             PRACH-ConfigSCell-r10 OPTIONAL, -- Cond TDD-
       prach-ConfigSCell-r14
OR-NoR11
       uplinkPowerControlCommonPUSCH-LessCell-v1430
                                                               OPTIONAL
                                                                 OPTIONAL -- Need OR
OPTIONAL, -- Cond ULSRS
                   UplinkPowerControlCommonPUSCH-LessCell-v1430
   harq-ReferenceConfig-r14
                                              ENUMERATED {sa2,sa4,sa5} OPTIONAL, -- Need
OR
```

```
soundingRS-FlexibleTiming-r14 ENUMERATED {true} OPTIONAL -- Need OR
   11,
   [[ mbsfn-SubframeConfigList-v1430
                                      MBSFN-SubframeConfigList-v1430
                                                                        OPTIONAL -- Need ON
   ]],
      uplinkPowerControlCommonSCell-v1530 UplinkPowerControlCommon-v1530
                                                                         OPTIONAL -- Need ON
   [[
   ]]
}
RadioResourceConfigCommonSCell-v1010 ::= SEQUENCE {
   ul-Configuration-v1010
                                   SEQUENCE {
       additionalSpectrumEmissionSCell-v1010
                                               AdditionalSpectrumEmission-v1010
}
RadioResourceConfigCommonSCell-v1440 ::= SEQUENCE {
   ul-Configuration-v1440 SEQUENCE {
       ul-FreqInfo-v1440
                                          SEQUENCE {
          additionalSpectrumEmissionSCell-v1440 AdditionalSpectrumEmission-v1010
}
BCCH-Config ::=
                                 SEQUENCE {
   modificationPeriodCoeff
                                   ENUMERATED {n2, n4, n8, n16}
BCCH-Config-v1310 ::=
                                SEQUENCE {
   modificationPeriodCoeff-v1310
                                 ENUMERATED {n64}
                               SEQUENCE {
FreqHoppingParameters-r13 ::=
   dummy ENUMERATED {nb2, nb4} dummy2 CHOICE {
                                                  OPTIONAL,
                                            ENUMERATED {int1, int2, int4, int8},
ENUMERATED {int1, int5, int10, int20}
       interval-FDD-r13
       interval-TDD-r13
                                                                      OPTIONAL,
   dummy3
                 CHOICE {
      interval-FDD-r13
                                            ENUMERATED {int2, int4, int8, int16},
                                            ENUMERATED { int5, int10, int20, int40}
       interval-TDD-r13
   interval-ULHoppingConfigCommonModeA-r13 CHOICE {
                                            ENUMERATED {int1, int2, int4, int8},
      interval-FDD-r13
                                            ENUMERATED {int1, int5, int10, int20}
       interval-TDD-r13
                                                                      OPTIONAL, -- Cond MP-A
   interval-ULHoppingConfigCommonModeB-r13 CHOICE {
                                     ENUMERATED {int2, int4, int8, int16},
      interval-FDD-r13
       interval-TDD-r13
                                            ENUMERATED { int5, int10, int20, int40}
                                                                      OPTIONAL, -- Cond MP-B
   dummv4
                INTEGER (1..maxAvailNarrowBands-r13)
}
PCCH-Config ::=
                                SEQUENCE {
   defaultPagingCycle
                                    ENUMERATED {
                                       rf32, rf64, rf128, rf256},
                                     ENUMERATED {
                                        fourT, twoT, oneT, halfT, quarterT, oneEighthT,
                                        oneSixteenthT, oneThirtySecondT}
   paging-narrowBands-r13
mpdcch-NumPonetics
PCCH-Config-v1310 ::=
                                  INTEGER (1..maxAvailNarrowBands-r13),
   mpdcch-NumRepetition-Paging-r13
                                    ENUMERATED {r1, r2, r4, r8, r16, r32, r64, r128, r256},
                                    ENUMERATED {one64thT, one128thT, one256thT}
   nB-v1310
                                                                      OPTIONAL
                                                                                -- Need OR
UL-CyclicPrefixLength ::=
                                ENUMERATED {len1, len2}
                            SEQUENCE {
HighSpeedConfig-r14 ::=
                                                          OPTIONAL, -- Need OR
   highSpeedEnhancedMeasFlag-r14
                                        ENUMERATED {true}
   highSpeedEnhancedDemodulationFlag-r14 ENUMERATED {true}
                                                                      OPTIONAL
                                                                                 -- Need OR
HighSpeedConfig-v1530 ::= SEQUENCE {
  highSpeedMeasGapCE-ModeA-r15
                                        ENUMERATED {true}
```

```
HighSpeedConfigSCell-r14 ::= SEQUENCE {
   highSpeedEnhancedDemodulationFlag-r14 ENUMERATED {true} OPTIONAL -- Need OR
}
-- ASN1STOP
```

## RadioResourceConfigCommon field descriptions

## additionalSpectrumEmissionSCell

The UE requirements related to additional Spectrum Emission SCell are defined in TS 36.101 [42]. E-UTRAN configures the same value in additional Spectrum Emission SCell for all SCell(s) of the same band with UL configured. The additional Spectrum Emission SCell is applicable for all serving cells (including PCell) of the same band with UL configured.

## defaultPagingCycle

Default paging cycle, used to derive 'T' in TS 36.304 [4]. Value rf32 corresponds to 32 radio frames, rf64 corresponds to 64 radio frames and so on.

#### dummy

This field is not used in the specification. If received it shall be ignored by the UE.

## harq-ReferenceConfig

Indicates UL/ DL configuration used as the DL HARQ reference configuration for this serving cell. Value sa2 corresponds to Configuration2, sa4 to Configuration4 etc, as specified in TS 36.211 [21], table 4.2-2. E-UTRAN configures the same value for all serving cells residing on same frequency band.

## highSpeedEnhancedMeasFlag

If the field is present, the UE shall apply the high speed measurement enhancements as specified in TS 36.133 [16].

#### highSpeedEnhancedDemodulationFlag

If the field is present, the UE shall apply the advanced receiver in SFN scenario as specified in TS 36.101 [6].

## highSpeedMeasGapCE-ModeA

If the field is present, the UE in CE mode A shall apply the measurement gap sharing table associated with high-velocity scenario for measurements, as specified in TS 36.133 [16].

## interval-DLHoppingConfigCommonModeX

Number of consecutive absolute subframes over which MPDCCH or PDSCH for CE mode X stays at the same narrowband before hopping to another narrowband. For interval-FDD, int1 corresponds to 1 subframe, int2 corresponds to 2 subframes, and so on. For interval-TDD, int1 corresponds to 1 subframe, int5 corresponds to 5 subframes, and so on.

## interval-ULHoppingConfigCommonModeX

Number of consecutive absolute subframes over which PUCCH or PUSCH for CE mode X stays at the same narrowband before hopping to another narrowband. For interval-FDD, int1 corresponds to 1 subframe, int2 corresponds to 2 subframes, and so on. For interval-TDD, int1 corresponds to 1 subframe, int5 corresponds to 5 subframes, and so on.

#### modificationPeriodCoeff

Actual modification period, expressed in number of radio frames= modificationPeriodCoeff\* defaultPagingCycle. n2 corresponds to value 2, n4 corresponds to value 4, n8 corresponds to value 8, n16 corresponds to value 16, and n64 corresponds to value 64.

## mpdcch-NumRepetition-Paging

Maximum number of repetitions for MPDCCH common search space (CSS) for paging, see TS 36.211 [21].

## mpdcch-pdsch-HoppingOffset

Parameter:  $f_{NB,hop}^{DL}$ , see TS 36.211 [21], clause 6.4.1.

## mpdcch-pdsch-HoppingNB

The number of narrowbands for MPDCCH/PDSCH frequency hopping. Value nb2 corresponds to 2 narrowbands and value nb4 corresponds to 4 narrowbands.

#### nВ

Parameter: nB is used as one of parameters to derive the Paging Frame and Paging Occasion according to TS 36.304 [4]. Value in multiples of 'T' as defined in TS 36.304 [4]. A value of fourT corresponds to 4 \* T, a value of twoT corresponds to 2 \* T and so on. In case nB-v1310 is signalled, the UE shall ignore nB (i.e. without suffix). EUTRAN configures nB-v1310 only in the BR version of SI message.

### paging-narrowBands

Number of narrowbands used for paging, see TS 36.304 [4], TS 36.212 [22] and TS 36.213 [23].

## р-Мах

Pmax to be used in the target cell. If absent, for the band used in the target cell, the UE applies the maximum power according to its capability as specified in 36.101 [42], clause 6.2.2. In case the UE is configured with uplink intra-band contiguous CA and the UE indicates *ue-CA-PowerClass-N* in that band combination, then the *p-Max* in *RadioResourceConfigCommonSCell* for that SCell, if present, also applies for that band combination whenever that SCell is activated.

## prach-ConfigSCell

Indicates a PRACH configuration for an SCell. The field is not applicable for an LAA SCell in this release.

## rach-ConfigCommonSCell

Indicates a RACH configuration for an SCell. The field is not applicable for an LAA SCell in this release.

## soundingRS-FlexibleTiming

Indicates the SRS flexible timing (if configured) for aperiodic SRS triggered by DL grant. If the SRS transmission is collided with ACK/NACK, postpone once to the next configured SRS transmission opportunity.

## RadioResourceConfigCommon field descriptions

#### additionalSpectrumEmissionSCell

The UE requirements related to additional Spectrum Emission SCell are defined in TS 36.101 [42]. E-UTRAN configures the same value in additional Spectrum Emission SCell for all SCell(s) of the same band with UL configured. The additional Spectrum Emission SCell is applicable for all serving cells (including PCell) of the same band with UL configured.

#### ul-Bandwidth

Parameter: transmission bandwidth configuration, N<sub>RB</sub>, in uplink, see TS 36.101 [42], table 5.6-1. Value n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on. If for FDD this parameter is absent, the uplink bandwidth is equal to the downlink bandwidth. For TDD this parameter is absent and it is equal to the downlink bandwidth.

## ul-CarrierFreq

For FDD: If absent, the (default) value determined from the default TX-RX frequency separation defined in TS 36.101 [42], table 5.7.3-1, applies.

For TDD: This parameter is absent and it is equal to the downlink frequency.

## ul-CyclicPrefixLength

Parameter: Uplink cyclic prefix length see TS 36.211 [21], clause 5.2.1, where len1 corresponds to normal cyclic prefix and len2 corresponds to extended cyclic prefix.

Conditional presence	Explanation
EDT	The field is optionally present, Need OR, if edt-Parameters is present; otherwise the field
	is not present and the UE shall delete any existing value for this field.
MP-A	The field is mandatory present for CE mode A. Otherwise the field is optional, Need OR.
MP-B	The field is mandatory present for CE mode B. Otherwise the field is optional, Need OR.
TDD	The field is optional for TDD, Need ON; it is not present for FDD and the UE shall delete
	any existing value for this field.
TDD2	If tdd-Config-r10 is present, the field is optional, Need OR. Otherwise the field is not
	present and the UE shall delete any existing value for this field.
TDD3	If tdd-Config is present, the field is optional, Need OR. Otherwise the field is not present
	and the UE shall delete any existing value for this field.
TDD-OR-NoR11	If prach-ConfigSCell-r11 is absent, the field is optional for TDD, Need OR. Otherwise the
	field is not present and the UE shall delete any existing value for this field.
TDDSCell	This field is mandatory present for TDD; it is not present for FDD and LAA SCell, and the
	UE shall delete any existing value for this field.
UL	If the SCell is part of the STAG or concerns the PSCell or PUCCH SCell and if ul-
	Configuration is included, the field is optional, Need OR. Otherwise the field is not present
	and the UE shall delete any existing value for this field.
ULSCell	For the PSCell (IE is included in RadioResourceConfigCommonPSCell) the field is
	absent. Otherwise, if the SCell is part of the STAG and if <i>ul-Configuration</i> is included, the
	field is optional, Need OR. Otherwise the field is not present and the UE shall delete any
	existing value for this field.
ULSRS	If <i>ul-Configuration-r10</i> is absent, the field is optional, Need OR. Otherwise the field is not
	present and the UE shall delete any existing value for this field.

## RadioResourceConfigDedicated

The IE *RadioResourceConfigDedicated* is used to setup/modify/release RBs, to modify the MAC main configuration, to modify the SPS configuration and to modify dedicated physical configuration.

## RadioResourceConfigDedicated information element

```
-- ASN1START
RadioResourceConfigDedicated ::=
                                        SEQUENCE {
                                        SRB-ToAddModList
                                                                     OPTIONAL,
    srb-ToAddModList
                                                                                      -- Cond HO-Conn
    drb-ToAddModList
                                        DRB-ToAddModList
                                                                     OPTIONAL,
                                                                                      -- Cond HO-
toEUTRA
   drb-ToReleaseList
                                        DRB-ToReleaseList
                                                                     OPTIONAL,
                                                                                      -- Need ON
                                        CHOICE {
    mac-MainConfig
           explicitValue
                                            MAC-MainConfig,
            defaultValue
                                            NULL
           OPTIONAL,
                                                                                      -- Cond HO-
toEUTRA2
                                        SPS-Config
                                                                     OPTIONAL,
    sps-Config
                                                                                      -- Need ON
    physicalConfigDedicated
                                         PhysicalConfigDedicated
                                                                     OPTIONAL,
                                                                                      -- Need ON
```

```
[[ rlf-TimersAndConstants-r9 RLF-TimersAndConstants-r9
                                                                           OPTIONAL
                                                                                        -- Need ON
    11,
    [[ measSubframePatternPCell-r10 MeasSubframePatternPCell-r10
                                                                          OPTIONAL
                                                                                        -- Need ON
    ]],
    [[ neighCellsCRS-Info-r11
                                      NeighCellsCRS-Info-r11
                                                                           OPTIONAL
                                                                                        -- Need ON
    ]],
    [[ naics-Info-r12
                                                                  OPTIONAL -- Need ON
                                  NAICS-AssistanceInfo-r12
    ]]
    [[ neighCellsCRS-Info-r13
                                      NeighCellsCRS-Info-r13
                                                                            OPTIONAL,
                                                                                        -- Cond
CRSIM
       rlf-TimersAndConstants-r13
                                      RLF-TimersAndConstants-r13
                                                                           OPTIONAL
                                                                                        -- Need ON
    ]],
    [[ sps-Config-v1430
                                       SPS-Config-v1430
                                                                            OPTIONAL
                                                                                        -- Cond SPS
    ]],
                                        SRB-ToAddModListExt-r15
    [[ srb-ToAddModListExt-r15
                                                                            OPTIONAL,
                                                                                        -- Need ON
       srb-ToReleaseListExt-r15
                                                                                       -- Need ON
                                       INTEGER (4)
                                                                            OPTIONAL,
       sps-Config-v1530
                                       SPS-Config-v1530
                                                                            OPTIONAL,
                                                                                       -- Need ON
       crs-IntfMitigConfig-r15 CHOICE {
                                NULL.
           release
                                   CHOICE {
               crs-IntfMitigEnabled NULL,
crs-IntfMitigNumPRBs ENUMERATED {n6, n24}
       OPTIONAL, -- Need ON neighCellsCRS-Info-r15 NeighCellsCRS-Info-r15 OPTIONAL, -- Need ON drb-ToAddModList-r15 DRB-ToAddModList-r15 OPTIONAL, -- Need drb-ToReleaseList-r15 DRB-ToReleaseList-r15 OPTIONAL, -- Need dummy
                                                                   -- Need ON
                                                   OPTIONAL,
                                                                              -- Need ON
-- Need ON
                                       SEQUENCE (SIZE (1..2)) OF INTEGER (1..2) OPTIONAL
        dummy
Need ON
    ]],
       sps-Config-v1540
                          SPS-Config-v1540
                                                                          OPTIONAL -- Need ON
    [[
    11
}
RadioResourceConfigDedicated-v1370 ::= SEQUENCE {
   physicalConfigDedicated-v1370 PhysicalConfigDedicated-v1370 OPTIONAL
                                                                                       -- Need ON
RadioResourceConfigDedicated-v13c0 ::=
                                          SEQUENCE {
                                      PhysicalConfigDedicated-v13c0
   physicalConfigDedicated-v13c0
RadioResourceConfigDedicatedPSCell-r12 ::= SEQUENCE {
    -- UE specific configuration extensions applicable for an PSCell
                                                                       OPTIONAL,
    physicalConfigDedicatedPSCell-r12 PhysicalConfigDedicated
                                                                                    -- Need ON
                                                                       OPTIONAL,
    sps-Config-r12
                                           SPS-Config
                                                                                    -- Need ON
                                           NAICS-AssistanceInfo-r12
    naics-Info-r12
                                                                       OPTIONAL,
                                                                                    -- Need ON
    [[ neighCellsCRS-InfoPSCell-r13
                                          NeighCellsCRS-Info-r13
                                                                       OPTIONAL
                                                                                    -- Need ON
    ]],
    [[ sps-Config-v1430
                                      SPS-Config-v1430
                                                                        OPTIONAL
                                                                                    -- Cond SPS2
    ]],
                                       SPS-Config-v1530
                                                                        OPTIONAL,
                                                                                    -- Need ON
    [[ sps-Config-v1530
       sps-Config-v1530
crs-IntfMitigEnabled-r15
                                                                        OPTIONAL,
                                       BOOLEAN
                                                                                    -- Need ON
                                           NeighCellsCRS-Info-r15
                                                                                    -- Need ON
       neighCellsCRS-Info-r15
                                                                        OPTIONAL
       sps-Config-v1540
                                       SPS-Config-v1540
                                                                        OPTIONAL
                                                                                    -- Need ON
    11
    11
}
RadioResourceConfigDedicatedPSCell-v1370 ::= SEQUENCE {
   physicalConfigDedicatedPSCell-v1370 PhysicalConfigDedicated-v1370 OPTIONAL -- Need ON
RadioResourceConfigDedicatedPSCell-v13c0 ::=
                                                  SEQUENCE {
  physicalConfigDedicatedPSCell-v13c0 PhysicalConfigDedicated-v13c0
RadioResourceConfigDedicatedSCG-r12 ::=
                                          SEOUENCE {
   drb-ToAddModListSCG-r12 DRB-ToAddModListSCG-r12 OPTIONAL, mac-MainConfigSCG-r12 MAC-MainConfig OPTIONAL,
                                                                                    -- Need ON
                                                                                    -- Need ON
   mac-MainConfigSCG-r12 MAC-MainConfig OPTIONAL, rlf-TimersAndConstantsSCG-r12 RLF-TimersAndConstantsSCG-r12 OPTIONAL,
                                                                                    -- Need ON
    [[ drb-ToAddModListSCG-r15
                                     DRB-ToAddModListSCG-r15 OPTIONAL
                                                                                  -- Need ON
    [[ srb-ToAddModListSCG-r15 SRB-ToAddModList
                                                                    OPTIONAL, -- Need ON
```

```
srb-ToReleaseListSCG-r15 SRB-ToReleaseList-r15
                                                                       OPTIONAL -- Need
ON
   1],
   [[ -- NE-DC additions for release of RLC bearer config for DRBs
       drb-ToReleaseListSCG-r15 DRB-ToReleaseList-r15 OPTIONAL
                                                                          -- Need ON
}
RadioResourceConfigDedicatedSCell-r10 ::= SEQUENCE {
    - UE specific configuration extensions applicable for an SCell
   physicalConfigDedicatedSCell-r10 PhysicalConfigDedicatedSCell-r10 OPTIONAL, -- Need
   [[ mac-MainConfigSCell-r11 MAC-MainConfigSCell-r11
                                                               OPTIONAL -- Cond SCellAdd
   ]],
   [[ naics-Info-r12
                               NAICS-AssistanceInfo-r12
                                                           OPTIONAL -- Need ON
   ]],
   [[ physicalConfigDedicatedSCell-v1370 PhysicalConfigDedicatedSCell-v1370 OPTIONAL -- Need
ON
   ]],
   [[ crs-IntfMitigEnabled-r15
                                       BOOLEAN
                                                                OPTIONAL,
                                       NeighCellsCRS-Info-r15 OPTIONAL,
       neighCellsCRS-Info-r15
                                                                           -- Need ON
                                                               OPTIONAL
       sps-Config-v1530
                                       SPS-Config-v1530
                                                                           -- Need ON
   11
}
RadioResourceConfigDedicatedSCell-v13c0 ::= SEQUENCE {
   \verb|physicalConfigDedicatedSCell-v13c0|| PhysicalConfigDedicatedSCell-v13c0||
SRB-ToAddModList ::=
                               SEQUENCE (SIZE (1..2)) OF SRB-ToAddMod
SRB-ToAddModListExt-r15 ::=
                                  SEQUENCE (SIZE (1)) OF SRB-ToAddMod
SRB-ToAddMod ::= SEQUENCE {
   srb-Identity
                                    INTEGER (1..2),
   rlc-Config
                                    CHOICE {
                                      RLC-Config,
       explicitValue
      defaultValue
                                       NULL
          OPTIONAL.
                                                                            -- Cond Setup
   logicalChannelConfig
                                  CHOICE {
       explicitValue
                                       LogicalChannelConfig,
       defaultValue
                                       NULL
          OPTIONAL,
                                                                            -- Cond Setup
   [[ pdcp-verChange-r15 ENUMERATED {true} OPTIONAL, rlc-Config-v1530 PLC-Config-v1530 OPTIONAL.
                                                                           -- Cond NR-PDCP
       rlc-Config-v1530
                                   RLC-Config-v1530
                                                         OPTIONAL,
                                                                           -- Need ON
       rlc-BearerConfigSecondary-r15 RLC-BearerConfig-r15 OPTIONAL,
                                                                           -- Need ON
       srb-Identity-v1530 INTEGER (4)
                                                         OPTIONAL
                                                                            -- Need ON
   [[ rlc-Config-v1560
                                       RLC-Config-v1510
                                                           OPTIONAL
                                                                           -- Need ON
}
DRB-ToAddModList ::=
                               SEQUENCE (SIZE (1..maxDRB)) OF DRB-ToAddMod
                            SEQUENCE (SIZE (1..maxDRB-r15)) OF DRB-ToAddMod
DRB-ToAddModList-r15 ::=
DRB-ToAddModListSCG-r12 ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-ToAddModSCG-r12
DRB-ToAddModListSCG-r15 ::=
                           SEQUENCE (SIZE (1..maxDRB-r15)) OF DRB-ToAddModSCG-r12
DRB-ToAddMod ::=
                SEQUENCE {
   eps-BearerIdentity
                                    INTEGER (0..15)
                                                       OPTIONAL,
                                                                       -- Cond DRB-Setup
                                    DRB-Identity,
   drb-Identity
                                                         OPTIONAL,
   pdcp-Config
                                   PDCP-Config
                                                                        -- Cond PDCP
                                   RLC-Config OPTIONAL,
INTEGER (3..10) OPTIONAL,
                                                                        -- Cond SetupM
   rlc-Config
   logicalChannelIdentity
                                                                        -- Cond DRB-SetupM
   logicalChannelConfig
                               LogicalChannelConfig OPTIONAL,
                                                                       -- Cond SetupM
   [[ drb-TypeChange-r12
                                    ENUMERATED {toMCG} OPTIONAL,
                                                                           -- Need OP
      rlc-Config-v1250
                                       RLC-Config-v1250
                                                            OPTIONAL
                                                                           -- Need ON
                                                           OPTIONAL,
   [[ rlc-Config-v1310
                                       RLC-Config-v1310
                                                                          -- Need ON
-- Need ON
       drb-TypeLWA-r13
                                       BOOLEAN
                                       ENUMERATED {lwip, lwip-DL-only,
       drb-TypeLWIP-r13
                                       lwip-UL-only, eutran} OPTIONAL
                                                                              -- Need ON
  ]],
```

```
[[ rlc-Config-v1430
                                            RLC-Config-v1430
                                                                    OPTIONAL,
                                                                                     -- Need ON
        lwip-UL-Aggregation-r14
                                            BOOLEAN
                                                                    OPTIONAL,
                                                                                     -- Cond LWIP
        lwip-DL-Aggregation-r14
                                            BOOLEAN
                                                                    OPTIONAL,
                                                                                     -- Cond LWIP
        lwa-WLAN-AC-r14
                             ENUMERATED {ac-bk, ac-be, ac-vi, ac-vo} OPTIONAL
                                                                                    -- Cond UL-LWA
    11,
    [[ rlc-Config-v1510
                                            RLC-Config-v1510
                                                                    OPTIONAL
                                                                                     -- Need ON
    ]],
                                                                    OPTIONAL,
                                            RLC-Config-v1530
                                                                                    -- Need ON
    [[ rlc-Config-v1530
                                                                    OPTIONAL,
        rlc-BearerConfigSecondary-r15
                                            RLC-BearerConfig-r15
                                                                                    -- Need ON
        logicalChannelIdentity-r15
                                        INTEGER (32..38)
                                                                    OPTIONAL
                                                                                    -- Need ON
    11
}
DRB-ToAddModSCG-r12 ::= SEQUENCE {
    drb-Identity-r12
                                        DRB-Identity,
    drb-Type-r12
                                        CHOICE {
        split-r12
                                            NIII.I.
        scg-r12
                                            SEQUENCE {
                                                INTEGER (0..15) OPTIONAL, -- Cond DRB-Setup
           eps-BearerIdentity-r12
            pdcp-Config-r12
                                                PDCP-Config
                                                                OPTIONAL
                                                                            -- Cond PDCP-S
                                                                OPTIONAL, -- Cond SetupS2
OPTIONAL, -- Cond SetupS
                                       RLC-Config
    rlc-ConfigSCG-r12
   rlc-Config-v1250
                                           RLC-Config-v1250
                                                                      OPTIONAL, -- Need ON
    logicalChannelIdentitySCG-r12
                                                                OPTIONAL,
                                                                           -- Cond DRB-SetupS
                                        INTEGER (3..10)
    logicalChannelConfigSCG-r12
                                        LogicalChannelConfig
                                                                OPTIONAL,
                                                                            -- Cond SetupS
    [[ rlc-Config-v1430
                                            RLC-Config-v1430
                                                                    OPTIONAL
                                            INTEGER (32..38) OPTIONAL, RLC-Config-v1530 OPTIO
    [[ logicalChannelIdentitySCG-r15
                                                                                    -- Need ON
        rlc-Config-v1530
                                                                    OPTIONAL,
                                                                                    -- Need ON
       rlc-BearerConfigSecondary-r15
                                            RLC-BearerConfig-r15
                                                                                     -- Need ON
                                                                    OPTIONAL
    ]],
    [[ rlc-Config-v1560
                                                                    OPTIONAL
                                                                                     -- Need ON
                                            RLC-Config-v1510
    ]]
}
                                    SEQUENCE (SIZE (1..maxDRB)) OF DRB-Identity
DRB-ToReleaseList ::=
                                    SEQUENCE (SIZE (1..maxDRB-r15)) OF DRB-Identity
DRB-ToReleaseList-r15 ::=
SRB-ToReleaseList-r15 ::=
                                    SEQUENCE (SIZE (1..2)) OF INTEGER (1..2)
MeasSubframePatternPCell-r10 ::=
                                        CHOICE {
    release
                                        NULL,
    setup
                                    MeasSubframePattern-r10
}
NeighCellsCRS-Info-r11 ::=
                                CHOICE {
                                    NULL
    release
    setup
                                    CRS-AssistanceInfoList-r11
CRS-AssistanceInfoList-r11 ::= SEQUENCE (SIZE (1..maxCellReport)) OF CRS-AssistanceInfo-r11
CRS-AssistanceInfo-r11 ::= SEQUENCE {
                                        PhysCellId,
ENUMERATED {an1, an2, an4, spare1},
    physCellId-r11
    antennaPortsCount-r11
    mbsfn-SubframeConfigList-r11
                                       MBSFN-SubframeConfigList,
    [[ mbsfn-SubframeConfiqList-v1430 MBSFN-SubframeConfiqList-v1430 OPTIONAL -- Need ON
    ]]
NeighCellsCRS-Info-r13 ::=
                                CHOICE {
   release
                                    NULL
                                    CRS-AssistanceInfoList-r13
}
CRS-AssistanceInfoList-r13 ::= SEQUENCE (SIZE (1..maxCellReport)) OF CRS-AssistanceInfo-r13
CRS-AssistanceInfo-r13 ::= SEQUENCE {
   physCellId-r13
                                        PhysCellId,
    antennaPortsCount-r13
                                        ENUMERATED {an1, an2, an4, spare1},
    mbsfn-SubframeConfigList-r13
                                        MBSFN-SubframeConfigList
                                                                            OPTIONAL,
                                                                                         -- Need ON
    [[ mbsfn-SubframeConfigList-v1430 MBSFN-SubframeConfigList-v1430
                                                                            OPTIONAL
                                                                                         -- Need ON
    11
```

```
NeighCellsCRS-Info-r15 ::= CHOICE {
   release
                                          NULL,
                                          CRS-AssistanceInfoList-r15
    setup
CRS-AssistanceInfoList-r15 ::= SEQUENCE (SIZE (1..maxCellReport)) OF CRS-AssistanceInfo-r15
CRS-AssistanceInfo-r15 ::= SEQUENCE {
   physCellId-r15
                                          PhysCellId,
    crs-IntfMitigEnabled-r15
                                          ENUMERATED {enabled}
                                                                               OPTIONAL -- Need ON
}
NAICS-AssistanceInfo-r12 ::=
                                     CHOICE {
   release
                                      NULL,
                                      SEQUENCE {
   setup
                                                                              OPTIONAL,
        neighCellsToReleaseList-r12 NeighCellsToReleaseList-r12
                                                                                             -- Need ON
        neighCellsToAddModList-r12
                                          NeighCellsToAddModList-r12
                                                                               OPTIONAL, -- Need ON OPTIONAL -- Need ON
        servCellp-a-r12
}
NeighCellsToReleaseList-r12 ::= SEQUENCE (SIZE (1..maxNeighCell-r12)) OF PhysCellId
NeighCellsToAddModList-r12 ::= SEQUENCE (SIZE (1..maxNeighCell-r12)) OF NeighCellsInfo-r12
NeighCellsInfo-r12 ::=
                                   SEQUENCE {
   physCellId-r12
                                    PhysCellId,
                                   INTEGER (0..3),
    p-b-r12
    crs-PortsCount-r12
   crs-PortsCount-r12 ENUMERATED {n1, n2, n4, spare},
mbsfn-SubframeConfig-r12 MBSFN-SubframeConfigList
p-aList-r12 SEQUENCE (SIZE (1..maxP-a-PerNei
transmissionModeList-r12 BIT STRING (SIZE(8)),
resAllocGranularity-r12 INTEGER (1..4),
                                                                                OPTIONAL, -- Need ON
                                   SEQUENCE (SIZE (1..maxP-a-PerNeighCell-r12)) OF P-a,
P-a ::= ENUMERATED { dB-6, dB-4dot77, dB-3, dB-1dot77,
                                      dB0, dB1, dB2, dB3}
RLC-BearerConfig-r15 ::=
                                      CHOICE {
   release
                                       NULL,
        setup
            logicalChannelIdentity-r15 INTEGER (1..10), logicalChannelIdentityExt-r15 INTEGER (32..38)
        logicalChannelConfig-r15
                                            LogicalChannelConfig
                                                                          OPTIONAL
                                                                                         -- Need ON
}
-- ASN1STOP
```

## RadioResourceConfigDedicated field descriptions

### crs-IntfMitigConfig

crs-IntfMitigEnabled-r15 indicates CRS interference mitigation is enabled for the cell, as specified in TS 36.133 [16], clause 3.6.1.1. For BL UEs or UEs in CE supporting ce-CRS-IntfMitig, presence of this field indicates CRS interference mitigation is enabled in the cell, as specified in TS 36.133 [16], clauses 3.6.1.2 and 3.6.1.3, and the value crs-IntfMitigNumPRBs indicates number of PRBs, i.e. 6 or 24 PRBs, for CRS transmission in the central cell BW when CRS interference mitigation is enabled. For UEs not supporting this feature, the behaviour is undefined if this field is configured and the field cellBarred in SystemInformationBlockType1 (SystemInformationBlockType1-BR for BL UEs or UEs in CE) is set to notbarred.

## crs-PortsCount

Parameter represents the number of antenna ports for cell-specific reference signal used by the signaled neighboring cell where n1 corresponds to 1 antenna port, n2 to 2 antenna ports etc. see TS 36.211 [21], clause 6.10.1.

#### drb-Identity

In case of DC, the DRB identity is unique within the scope of the UE i.e. an SCG DRB can not use the same value as used for an MCG or split DRB. For a split DRB the same identity is used for the MCG- and SCG parts of the configuration.

### drb-ToAddModList

When drb-ToAddModList-r15 is configured, UE shall ignore the drb-ToAddModList (without suffix).

## drb-ToAddModListSCG

When an SCG is configured, E-UTRAN configures at least one SCG or split DRB. When drb-ToAddModListSCG-r15 is configured, UE shall ignore the drb-ToAddModListSCG (without suffix). When NE-DC is configured, this field indicates the SCG RLC bearers to be (re-)configured.

#### drb-ToReleaseList

When drb-ToReleaseList-r15 is configured, UE shall ignore the drb-ToReleaseList (without suffix).

### drb-ToReleaseListSCG

When NE-DC is configured, this field indicates the SCG RLC bearers to be released.

#### drb-Type

This field indicates whether the DRB is split or SCG DRB. E-UTRAN does not configure split and SCG DRBs simultaneously for the UE.

#### drb-TypeChange

Indicates that a split/SCG DRB is reconfigured to an MCG DRB (i.e. E-UTRAN only signals the field in case the DRB type changes).

## drb-TypeLWA

Indicates whether a DRB is (re)configured as an LWA DRB or an LWA DRB is reconfigured not to use WLAN resources. NOTE 1

## drb-TypeLWIP

Indicates whether a DRB is (re)configured to use LWIP Tunnel in UL and DL (value *lwip*), DL only (value *lwip-DL-only*), UL only (value *lwip-UL-only*) or not to use LWIP Tunnel (value *eutran*).

## dummy

This field is not used in the specification. If received it shall be ignored by the UE.

## logicalChannelConfig

For SRBs a choice is used to indicate whether the logical channel configuration is signalled explicitly or set to the default logical channel configuration for SRB1 as specified in 9.2.1.1 or for SRB2 as specified in 9.2.1.2.

## logicalChannelIdentity, LogicalChannelIdentityExt

The logical channel identity for both UL and DL. Value 4 is not configured for DRBs if SRB4 is configured. When logicalChannelIdentity-r15 is signalled, UE shall ignore contents of logicalChannelIdentity (without suffix).

### logicalChannelIdentitySCG

The logical channel identity for both UL and DL. When *logicalChannelIdentitySCG-r15* is signalled, UE shall ignore contents of *logicalChannelIdentitySCG* (without suffix).

## Iwa-WLAN-AC

For LWA bearers, indicates the corresponding WLAN access category for uplink. AC-BK (value *ac-bk*) corresponds to Background access category, AC-BE (value *ac-be*) corresponds to Best Effort access category, AC-VI (value *ac-vi*) corresponds to Video access category and AC-VO (value *ac-vo*) corresponds to Voice access category as defined by IEEE 802.11-2012 [67]. If *Iwa-WLAN-AC* is not configured, it is left up to UE to decide which IEEE 802.11 AC value to use when performing transmissions of packets for this DRB over WLAN in the uplink.

## Iwip-DL-Aggregation, Iwip-UL-Aggregation

Indicates whether LWIP is configured to utilize LWIP aggregation in DL or UL.

### mac-MainConfig

Although the ASN.1 includes a choice that is used to indicate whether the mac-MainConfig is signalled explicitly or set to the default MAC main configuration as specified in 9.2.2, EUTRAN does not apply "defaultValue".

## mbsfn-SubframeConfig

Defines the MBSFN subframe configuration used by the signaled neighboring cell. If absent, UE assumes no MBSFN configuration for the neighboring cell.

## measSubframePatternPCell

Time domain measurement resource restriction pattern for the PCell measurements (RSRP, RSRQ and the radio link monitoring).

## RadioResourceConfigDedicated field descriptions

## neighCellsCRS-Info, neighCellsCRS-InfoSCell, neighCellsCRS-InfoPSCell

This field contains assistance information used by the UE to mitigate interference from CRS while performing RRM/RLM/CSI measurement or data demodulation or DL control channel demodulation. When the received CRS assistance information is for a cell with CRS non-colliding with that of the CRS of the cell to measure, the UE may use the CRS assistance information to mitigate CRS interference. When the received CRS assistance information is for a cell with CRS colliding with that of the CRS of the cell to measure, the UE may use the CRS assistance information to mitigate CRS interference RRM/RLM (as specified in TS 36.133 [16]) and for CSI (as specified in TS 36.101 [42]) on the subframes indicated by measSubframePatternPCell, measSubframePatternConfigNeigh, csi-MeasSubframeSet1 if configured, and the CSI subframe set 1 if csi-MeasSubframeSets-r12 is configured. The UE may use CRS assistance information to mitigate CRS interference from the cells in the CRS-AssistanceInfoList for the demodulation purpose or DL control channel demodulation as specified in TS 36.101 [42]. EUTRAN does not configure neighCellsCRS-Info-r11 or neighCellsCRS-Info-r13 if eimta-MainConfigPCell-r12 is configured.

## neighCellsToAddModList

This field contains assistance information used by the UE to cancel and suppress interference of a neighbouring cell. If this field is present for a neighbouring cell, the UE assumes that the transmission parameters listed in the sub-fields are used by the neighbouring cell. If this field is present for a neighbouring cell, the UE assumes the neighbour cell is subframe and SFN synchronized to the serving cell, has the same system bandwidth, UL/DL and special subframe configuration, and cyclic prefix length as the serving cell.

## p-aList

Indicates the restricted subset of power offset for QPSK, 16QAM, and 64QAM PDSCH transmissions for the neighbouring cell by using the parameter  $P_A$ , see TS 36.213 [23], clause 5.2. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc.

### p-b

Parameter:  $P_B$ , indicates the cell-specific ratio used by the signaled neighboring cell, see TS 36.213 [23], Table 5.2-1.

## pdcp-verChange

Indicates that the PDCP version of the SRB is changed from NR PDCP to E-UTRA PDCP. Network only configures this version change for during handover, resume and first reconfiguration after re-establishment. E-UTRAN does not include this field when SRB-ToAddMod is included in srb-ToAddModListSCG.

## physicalConfigDedicated

The default dedicated physical configuration is specified in 9.2.4.

## resAllocGranularity

Indicates the resource allocation and precoding granularity in PRB pair level of the signaled neighboring cell, see TS 36.213 [23], clause 7.1.6.

## rlc-BearerConfigSecondary

The configuration of a secondary RLC bearer within the same Cell Group as may e.g. be used in case of PDCP duplication using CA. The configuration comprises a (secondary) RLC entity, a logical channel identity and a logical channel configuration. E-UTRAN may configure this for SRB1, SRB2 and DRBs. For SRBs, E-UTRAN only configures the field for MCG (i.e. if included in *radioResourceConfigDedicated*. E-UTRAN configures the same RLC mode (AM/UM) as used for the original RLC entity. The primary RLC entity is configured by *RLC-Config*.

### rlc-Config

For SRBs a choice is used to indicate whether the RLC configuration is signalled explicitly or set to the values defined in the default RLC configuration for SRB1 in 9.2.1.1 or for SRB2 in 9.2.1.2. RLC AM is the only applicable RLC mode for SRB1 and SRB2. E-UTRAN does not reconfigure the RLC mode of DRBs except when a full configuration option is used, and may reconfigure the RLC SN field size and the AM RLC LI field size only upon handover within E-UTRA or upon the first reconfiguration after RRC connection re-establishment or upon SCG Change for SCG and split DRBs.

### servCellp-a

Indicates the power offset for QPSK C-RNTI based PDSCH transmissions used by the serving cell, see TS 36.213 [23], clause 5.2. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc.

### sps-Config

The default SPS configuration is specified in 9.2.3. Except for handover or releasing SPS for MCG, E-UTRAN does not reconfigure *sps-Config* for MCG when there is a configured downlink assignment or a configured uplink grant for MCG (see TS 36.321 [6]). Except for SCG change or releasing SPS for SCG, E-UTRAN does not reconfigure *sps-Config* for SCG when there is a configured downlink assignment or a configured uplink grant for SCG (see TS 36.321 [6]). In one serving cell, *sps-Config-v1530* is not present simultaneously with either *sps-Config* (without suffix) or *sps-Config-r12*.

## srb-Identity

Value 1 is applicable for SRB1 only. Value 2 is applicable for SRB2 only. Value 4 is applicable for SRB4 only, if configured. For a split SRB the same identity is used for the MCG and NR SCG RLC bearer configurations. If *srb-Identity-v1530* is received, the UE shall ignore *srb-Identity* (i.e. without suffix).

## srb-Identity-v1530

E-UTRAN does not include this field when SRB-ToAddMod is included in srb-ToAddModListSCG.

## srb-ToAddModListExt

The field is to configure SRB4.

## srb-ToAddModList

E-UTRAN configures the same RAT type (i.e. EUTRA or NR) for PDCP configuration of SRB1 and SRB2.

## RadioResourceConfigDedicated field descriptions

### transmissionModeList

Indicates a subset of transmission mode 1, 2, 3, 4, 6, 8, 9, 10, for the signaled neighboring cell for which NeighCellsInfo applies. When TM10 is signaled, other signaled transmission parameters in NeighCellsInfo are not applicable to up to 8 layer transmission scheme of TM10. E-UTRAN may indicate TM9 when TM10 with QCL type A

and DMRS scrambling with  $n_{\rm ID}^{(i)} = N_{\rm ID}^{\rm cell}$  in TS 36.211 [21], clause 6.10.3.1, is used in the signalled neighbour cell and

TM9 or TM10 with QCL type A and DMRS scrambling with  $n_{\rm ID}^{(i)}=N_{\rm ID}^{\rm cell}$  in TS 36.211 [21], clause 6.10.3.1, is used in the serving cell. UE behaviour with NAICS when TM10 is used is only defined when QCL type A and DMRS

scrambling with  $n_{\rm ID}^{(i)}=N_{\rm ID}^{\rm cell}$  in TS 36.211 [21], clause 6.10.3.1, is used for the serving cell and all signalled neighbour cells. The first/ leftmost bit is for transmission mode 1, the second bit is for transmission mode 2, and so on.

NOTE 1: It is up to eNB to ensure that the field indicating LWA bearer type is set to FALSE when LWA bearer is no longer used (e.g. during handover or re-establishment where LWA configuration is released).

Conditional presence	Explanation
CRSIM	The field is optionally present, need ON, if <i>neighCellsCRS-Info-r11</i> is not present; otherwise it is not present.
DRB-Setup	The field is mandatory present if the corresponding DRB is being set up and the UE is connected to EPC; otherwise it is not present.
DRB-SetupM	The field is:  - mandatory present:  - for the UE without SCG: upon setup of MCG DRB;  - for E-UTRA DC, upon setup of MCG or split DRB;  - for (NG)EN-DC:  - upon setup of MCG RLC bearer;  - optionally present, Need ON:  - for E-UTRA DC, upon change from SCG to MCG DRB;  - for (NG)EN-DC:  - upon change of keyToUse, as defined in TS 38.331 [82], for a DRB configured with an MCG RLC bearer;  - when configured with MCG RLC bearer, upon change of S-K <sub>gNB</sub> without handover;
DRB-SetupS	- not present otherwise.  The field is:
	<ul> <li>mandatory present: <ul> <li>for E-UTRA DC:</li> <li>upon setup of SCG or split DRB;</li> <li>upon change from MCG to split DRB;</li> <li>for NE-DC: <ul> <li>upon setup of SCG RLC bearer;</li> </ul> </li> <li>optionally present, Need ON: <ul> <li>for E-UTRA DC, upon change from MCG to SCG DRB;</li> <li>for NE-DC, upon change of keyToUse, as defined in TS 38.331 [82], for a DRB configured with an SCG RLC bearer;</li> <li>not present otherwise.</li> </ul> </li> </ul></li></ul>
HO-Conn	The field is mandatory present in case of handover to E-UTRA or when the <i>fullConfig</i> is included in the <i>RRCConnectionReconfiguration</i> message or in case of RRC connection establishment (excluding <i>RRConnectionResume</i> ); otherwise the field is optionally present, need ON. Upon connection establishment/re-establishment only SRB1 is applicable (excluding <i>RRConnectionResume</i> ).
HO-toEUTRA	The field is mandatory present - in case of handover to E-UTRA or - when the fullConfig is included in the RRCConnectionReconfiguration message with the configuration for at least one MCG bearer or split data bearer; In case of RRC connection establishment (excluding RRConnectionResume); and RRC connection re-establishment the field is not present; otherwise the field is optionally present, need ON.
HO-toEUTRA2	The field is mandatory present in case of handover to E-UTRA or when the <i>fullConfig</i> is included in the <i>RRCConnectionReconfiguration</i> message; otherwise the field is optionally present, need ON.
LWIP	The field is optionally present, Need ON, if <i>drb-TypeLWIP-r13</i> is configured and not set to eutran; otherwise it is not present and the UE shall delete any existing value for this field.
NR-PDCP	The field is optional present, Need ON, when the SRB is configured with NR-PDCP prior to reception of this reconfiguration message. Otherwise it is not present.
PDCP	The field is mandatory present: - when connected to E-UTRA/EPC: - for the bearers configured with E-UTRA PDCP, if the corresponding DRB is being setup; the field is optionally present, need ON:: - when connected to E-UTRA/EPC: - for the bearers configured with E-UTRA PDCP, upon reconfiguration of the corresponding split DRB or LWA DRB, upon the corresponding DRB type change from split to MCG bearer, upon the corresponding DRB type change from MCG to split bearer or LWA bearer, upon the corresponding DRB type change from LWA to LTE only bearer, upon handover within E-UTRA and upon the first reconfiguration after re-establishment but in all these cases only when fullConfig is not included in the RRCConnectionReconfiguration message; otherwise it is not present.
PDCP-S	The field is mandatory present if the corresponding DRB is being setup; the field is optionally present, need ON, upon SCG change; otherwise it is not present.
RLC-Setup	This field is optionally present if the corresponding DRB is being setup, need ON; otherwise it is not present.

Conditional presence	Explanation
SCellAdd	The field is optionally present, need ON, upon SCell addition; otherwise it is not present.
Setup	The field is mandatory present if the corresponding SRB/DRB is being setup; otherwise
	the field is optionally present, need ON.
SetupM	The field is mandatory present upon setup of an MCG or split DRB, or upon setup of
	MCG RLC bearer; otherwise the field is optionally present, need ON.
SetupS	The field is mandatory present:
	- for E-UTRA DC:
	- upon setup of an SCG or split DRB,
	- upon change from MCG to split DRB;
	- for NE-DC, upon setup of SCG RLC bearer;
	otherwise the field is optionally present, need ON.
SetupS2	The field is:
	- mandatory present:
	- for E-UTRA DC:
	- upon setup of an SCG or split DRB, as well as upon change from MCG to
	split or SCG DRB.
	- optionally present, need ON:
	- for E-UTRA DC:
	- for an SCG DRB
CDC	otherwise the field is not present.
SPS	The field is optionally present, need ON, if sps-Config (without suffix) is not configured;
CDCO	otherwise it is not present.
SPS2	The field is optionally present, need ON, if sps-Config-r12 is not configured; otherwise it is
111 114/4	not present.
UL-LWA	The field is optionally present, need ON if <i>ul-LWA-Config-r14</i> is present. Otherwise the
	field is not present.

## - RCLWI-Configuration

The IE RCLWI-Configuration is used to add, modify or release the RCLWI configuration.

## – RLC-Config

The IE RLC-Config is used to specify the RLC configuration of SRBs and DRBs.

## **RLC-Config** information element

```
-- ASN1START

RLC-Config ::= CHOICE {
    am SEQUENCE {
      ul-AM-RLC UL-AM-RLC,
      dl-AM-RLC DL-AM-RLC
},
    um-Bi-Directional SEQUENCE {
      ul-UM-RLC UL-UM-RLC,
```

```
dl-UM-RLC
                                     DL-UM-RLC
   um-Uni-Directional-UL SEQUENCE {
      ul-UM-RLC
                                       UL-UM-RLC
   um-Uni-Directional-DL
                                   SEQUENCE {
                                       DL-UM-RLC
      dl-UM-RLC
   . . .
}
   ul-extended-RLC-LI-Field-r12 SEQUENCE {
 ul-extended-RLC-LI-Field-r12 BOOLEAN,
   BOOLEAN
RLC-Config-v1250 ::=
}
                                SEQUENCE {
RLC-Config-v1310 ::=
   ul-extended-RLC-AM-SN-r13
                                           BOOLEAN,
   dl-extended-RLC-AM-SN-r13
                                           BOOLEAN,
   pollPDU-v1310
                                           PollPDU-v1310
                                                           OPTIONAL -- Need OR
}
RLC-Config-v1430 ::=
                               CHOICE {
  release
                                  NULL,
   setup
                                    SEQUENCE {
      pollByte-r14
                                       PollByte-r14
}
  reestablishRLC-r15 SEQUENCE {
RLC-Config-v1510 ::=
                                ENUMERATED {true}
RLC-Config-v1530 ::=
                               CHOICE {
  release
                                  NULL,
   setup
                                   SEQUENCE {
       rlc-OutOfOrderDelivery-r15
                                      ENUMERATED {true}
}
RLC-Config-r15 ::=
                           SEQUENCE {
                                       CHOICE {
   mode-r15
                                       SEQUENCE {
      am-r15
          ul-AM-RLC-r15
                                           UL-AM-RLC-r15,
          dl-AM-RLC-r15
                                          DL-AM-RLC-r15
       },
       um-Bi-Directional-r15
                                       SEQUENCE {
                                       UL-UM-RLC,
        ul-UM-RLC-r15
          dl-UM-RLC-r15
                                           DL-UM-RLC-r15
       um-Uni-Directional-UL-r15 SEQUENCE {
         ul-UM-RLC-r15
                                         UL-UM-RLC
       um-Uni-Directional-DL-r15
                                       SEQUENCE {
          dl-UM-RLC-r15
                                          DL-UM-RLC-r15
   OPTIONAL, -- Need ON
                                                                OPTIONAL,
                                                                            -- Need ON
}
UL-AM-RLC ::=
                                SEQUENCE {
                                   T-PollRetransmit,
  t-PollRetransmit
   pollPDU
                                    PollPDU,
   pollByte
                                   PollByte,
   maxRetxThreshold
                                    ENUMERATED {
                                       t1, t2, t3, t4, t6, t8, t16, t32}
  t-PollRetransmit-r15
pollPDU-r15
pollByte-r15
UL-AM-RLC-r15 ::=
                               SEQUENCE {
                               T-PollRetransmit,
                                   PollPDU-r15,
   maxRetxThreshold-r15 ENUMERATED {
                                      t1, t2, t3, t4, t6, t8, t16, t32},
   extended-RLC-LI-Field-r15
                                   BOOLEAN
```

```
DL-AM-RLC ::=
                                      SEQUENCE {
   AM-RLC ::=
t-Reordering
t-StatusProhibit
   t-Reordering
                                           T-Reordering,
                                           T-StatusProhibit
   AM-RLC-r15 ::=
t-Reordering-r15
t-StatusProhibit-r15
DL-AM-RLC-r15 ::=
                                      SEQUENCE {
                                      T-Reordering,
                                           T-StatusProhibit,
                                           BOOLEAN
}
UL-UM-RLC ::=
                                       SEQUENCE {
   sn-FieldLength
                                          SN-FieldLength
DL-UM-RLC ::=
                                       SEQUENCE {
   sn-FieldLength
                                           SN-FieldLength,
   t-Reordering
                                           T-Reordering
                                       SEQUENCE {
DL-UM-RLC-r15 ::=
   -UM-RLC-r15 ::=
sn-FieldLength-r15
t-Reordering-r15
                                           SN-FieldLength-r15,
    t-Reordering-r15
                                           T-Reordering
SN-FieldLength ::=
                                      ENUMERATED {size5, size10}
SN-FieldLength-r15 ::=
                                       ENUMERATED {size5, size10, size16-r15}
T-PollRetransmit ::=
                                       ENUMERATED {
                                           ms5, ms10, ms15, ms20, ms25, ms30, ms35,
                                            ms40, ms45, ms50, ms55, ms60, ms65, ms70,
                                            ms75, ms80, ms85, ms90, ms95, ms100, ms105,
                                            ms110, ms115, ms120, ms125, ms130, ms135,
                                            ms140, ms145, ms150, ms155, ms160, ms165,
                                           ms170, ms175, ms180, ms185, ms190, ms195,
                                           ms200, ms205, ms210, ms215, ms220, ms225,
                                           ms230, ms235, ms240, ms245, ms250, ms300,
                                            {\tt ms350}\,,\;{\tt ms400}\,,\;{\tt ms450}\,,\;{\tt ms500}\,,\;{\tt ms800-v1310}\,,
                                           ms1000-v1310, ms2000-v1310, ms4000-v1310,
                                           spare5, spare4, spare3, spare2, spare1}
PollPDU ::=
                                       ENUMERATED {
                                           p4, p8, p16, p32, p64, p128, p256, pInfinity}
PollPDU-v1310 ::=
                                       ENUMERATED {
                                           p512, p1024, p2048, p4096, p6144, p8192, p12288, p16384}
                                       ENUMERATED {
PollPDU-r15 ::=
                                           p4, p8, p16, p32, p64, p128, p256, p512, p1024,
                                            p2048-r15, p4096-r15, p6144-r15, p8192-r15,
                                           p12288-r15, p16384-r15, pInfinity}
PollByte ::=
                                       ENUMERATED {
                                            kB25, kB50, kB75, kB100, kB125, kB250, kB375,
                                            kB500, kB750, kB1000, kB1250, kB1500, kB2000,
                                            kB3000, kBinfinity, spare1}
                                       ENUMERATED {
PollBvte-r14 ::=
                                           kB1, kB2, kB5, kB8, kB10, kB15, kB3500, kB4000, kB4500, kB5000, kB5500, kB6000, kB6500,
                                            kB7000, kB7500, kB8000, kB9000, kB10000, kB11000, kB12000,
                                           kB13000, kB14000, kB15000, kB16000, kB17000, kB18000, kB19000, kB20000, kB25000, kB30000, kB35000, kB40000}
T-Reordering ::=
                                       ENUMERATED {
                                           ms0, ms5, ms10, ms15, ms20, ms25, ms30, ms35,
                                            ms75, ms80, ms85, ms90, ms95, ms100, ms110,
                                            ms120, ms130, ms140, ms150, ms160, ms170,
                                           ms180, ms190, ms200, ms1600-v1310}
                                       ENUMERATED {
T-StatusProhibit ::=
                                           ms0, ms5, ms10, ms15, ms20, ms25, ms30, ms35,
                                            {\tt ms40},\ {\tt ms45},\ {\tt ms50},\ {\tt ms55},\ {\tt ms60},\ {\tt ms65},\ {\tt ms70},
                                            ms75, ms80, ms85, ms90, ms95, ms100, ms105,
                                            ms110, ms115, ms120, ms125, ms130, ms135,
```

```
ms140, ms145, ms150, ms155, ms160, ms165, ms170, ms175, ms180, ms185, ms190, ms195, ms200, ms205, ms210, ms215, ms220, ms225, ms230, ms235, ms240, ms245, ms250, ms300, ms350, ms400, ms450, ms500, ms800-v1310, ms1000-v1310, ms1200-v1310, ms1200-v1310, ms1600-v1310, ms2000-v1310, ms2410-v1310, ms1200-v1310, ms1200-v1310, ms1200-v1310, ms2000-v1310, ms2000-v1310, ms2410-v1310, ms2000-v1310, ms2
```

### **RLC-Config** field descriptions

#### dl-extended-RLC-LI-Field, ul-extended-RLC-LI-Field

Indicates the RLC LI field size. Value *TRUE* means that 15 bit LI length shall be used, otherwise 11 bit LI length shall be used; see TS 36.322 [7]. E-UTRAN enables this field only when *RLC-Config* (without suffix) is set to *am*.

### maxRetxThreshold

Parameter for RLC AM in TS 36.322 [7]. Value t1 corresponds to 1 retransmission, t2 to 2 retransmissions and so on.

### reestablishRLC

Indicates that RLC shall be re-established. For a UE configured with (NG)EN-DC, E-UTRAN may include this field for the (primary) RLC entity of an MCG RLC bearer of a DRB (used upon change from SN terminated split to MN terminated MCG RLC bearer). For a UE configured with NE-DC, E-UTRAN may include this field for the (primary) RLC entity of an SCG RLC bearer of a DRB or of an SRB (used upon key refresh for MN terminated split RB).

## pollByte

Parameter for RLC AM in TS 36.322 [7]. Value kB25 corresponds to 25 kBytes, kB50 to 50 kBytes and so on. kBInfinity corresponds to an infinite amount of kBytes. In case *pollByte-r14* is signalled, the UE shall ignore pollByte (i.e. without suffix).

## pollPDU

Parameter for RLC AM in TS 36.322 [7]. Value p4 corresponds to 4 PDUs, p8 to 8 PDUs and so on. pInfinity corresponds to an infinite number of PDUs. In case *pollPDU-r13* is signalled, the UE shall ignore *pollPDU* (i.e. without suffix). E-UTRAN enables *pollPDU-v1310* field only when *RLC-Config* (without suffix) is set to *am*.

## rlc-OutOfOrderDelivery

Indicates that out-of-order delivery from RLC to PDCP is configured for this RLC entity as specified in TS 36.322 [7].

### sn-FieldLength

Indicates the UM RLC SN field size, see TS 36.322 [7], in bits. Value size5 means 5 bits, size10 means 10 bits.

## t-PollRetransmit

Timer for RLC AM in TS 36.322 [7], in milliseconds. Value ms5 means 5ms, ms10 means 10ms and so on. EUTRAN configures values msX-v1310 (with suffix) only if UE supports CE.

## t-Reordering

Timer for reordering in TS 36.322 [7], in milliseconds. Value ms0 means 0ms and behaviour as specified in 7.3.2 applies, ms5 means 5ms and so on.

## t-StatusProhibit

Timer for status reporting in TS 36.322 [7], in milliseconds. Value ms0 means 0ms and behaviour as specified in 7.3.2 applies, ms5 means 5ms and so on. EUTRAN configures values msX-v1310 (with suffix) only if UE supports operation in CE.

## ul-extended-RLC-AM-SN, dl-extended-RLC-AM-SN

Indicates whether or not the UE shall use the extended SN and SO length for AM bearer. Value *TRUE* means that 16 bit SN length and 16 bit SO length shall be used, otherwise 10 bit SN length and 15 bit SO length shall be used; see TS 36.322 [7].

## RLF-TimersAndConstants

The IE *RLF-TimersAndConstants* contains UE specific timers and constants applicable for UEs in RRC\_CONNECTED.

## RLF-TimersAndConstants information element

```
-- ASN1START
RLF-TimersAndConstants-r9 ::=
                                         CHOICE {
   release
                                             NULL,
                                             SECUENCE {
    setup
        t301-r9
                                             ENUMERATED {
                                                 ms100, ms200, ms300, ms400, ms600, ms1000, ms1500,
                                                 ms2000}
                                             ENTIMERATED
        t310-r9
                                                ms0, ms50, ms100, ms200, ms500, ms1000, ms2000},
       n310-r9
                                             ENUMERATED {
                                                 n1, n2, n3, n4, n6, n8, n10, n20},
```

```
t311-r9
                                            ENUMERATED {
                                                ms1000, ms3000, ms5000, ms10000, ms15000,
                                                ms20000, ms30000},
                                            ENUMERATED {
       n311-r9
                                                n1, n2, n3, n4, n5, n6, n8, n10},
}
RLF-TimersAndConstants-r13 ::=
                                        CHOICE {
   release
                                            NULL,
                                            SECUENCE {
    setup
        t301-v1310
                                                ENUMERATED {
                                                    ms2500, ms3000, ms3500, ms4000, ms5000,
                                                    ms6000, ms8000, ms10000},
        [[ t310-v1330
                                                ENUMERATED {ms4000, ms6000} OPTIONAL
                                                                                       -- Need ON
    }
}
RLF-TimersAndConstantsSCG-r12 ::=
                                            CHOICE {
                                        NULL,
   release
   setup
                                        SEQUENCE {
        t313-r12
                                            ENUMERATED
                                               ms0, ms50, ms100, ms200, ms500, ms1000, ms2000},
       n313-r12
                                            ENUMERATED {
                                                n1, n2, n3, n4, n6, n8, n10, n20},
                                            ENUMERATED {
        n314-r12
                                                n1, n2, n3, n4, n5, n6, n8, n10},
-- ASN1STOP
```

## RLF-TimersAndConstants field descriptions

## п3ху

Constants are described in clause 7.4. n1 corresponds with 1, n2 corresponds with 2 and so on.

### t3xı

Timers are described in clause 7.3. Value ms0 corresponds with 0 ms, ms50 corresponds with 50 ms and so on. E-UTRAN configures *RLF-TimersAndConstants-r13* only if UE supports *ce-ModeB*. UE shall use the extended values *t3xy-v1310* and *t3xy-v1330*, if present, and ignore the values signaled by *t3xy-r9*.

## – RN-SubframeConfig

The IE RN-SubframeConfig is used to specify the subframe configuration for an RN.

## RN-SubframeConfig information element

```
-- ASN1START
RN-SubframeConfig-r10 ::=
                               SEOUENCE {
    subframeConfigPattern-r10
                                       CHOICE {
        subframeConfigPatternFDD-r10
                                        BIT STRING (SIZE(8)),
        subframeConfigPatternTDD-r10
                                      INTEGER (0..31)
                                                                        OPTIONAL. -- Need ON
    rpdcch-Config-r10
                                    SEQUENCE {
        resourceAllocationType-r10
                                       ENUMERATED {type0, type1, type2Localized, type2Distributed,
                                                    spare4, spare3, spare2, spare1},
        resourceBlockAssignment-r10
                                            CHOICE {
                                                CHOICE {
            type01-r10
                nrb6-r10
                                                    BIT STRING (SIZE(6)),
                nrb15-r10
                                                    BIT STRING (SIZE(8)),
                nrb25-r10
                                                    BIT STRING (SIZE(13)),
               nrb50-r10
                                                    BIT STRING (SIZE(17)),
               nrb75-r10
                                                    BIT STRING (SIZE(19)),
                nrb100-r10
                                                    BIT STRING (SIZE(25))
            type2-r10
                                                CHOICE {
               nrb6-r10
                                                    BIT STRING (SIZE(5)),
                nrb15-r10
                                                    BIT STRING (SIZE(7)),
                nrb25-r10
                                                    BIT STRING (SIZE(9)),
```

```
nrb50-r10
                                                   BIT STRING (SIZE(11)),
               nrb75-r10
                                                   BIT STRING (SIZE(12)),
                                                  BIT STRING (SIZE(13))
              nrb100-r10
           },
       },
       demodulationRS-r10
                                       CHOICE {
          interleaving-r10
                                          ENUMERATED {crs},
           noInterleaving-r10
                                          ENUMERATED {crs, dmrs}
      pdsch-Start-r10
                                       INTEGER (1..3),
                                       CHOICE {
       pucch-Config-r10
                                           CHOICE {
           tdd.
               channelSelectionMultiplexingBundling
                                                      SEQUENCE {
                  n1PUCCH-AN-List-r10
                                             SEQUENCE (SIZE (1..4)) OF INTEGER (0..2047)
               fallbackForFormat3
                                               SEQUENCE {
                  n1PUCCH-AN-P0-r10
                                                  INTEGER (0..2047),
                   n1PUCCH-AN-P1-r10
                                                   INTEGER (0..2047)
                                                                           OPTIONAL
                                                                                       -- Need OR
           fdd
                                          SEQUENCE {
               n1PUCCH-AN-P0-r10
                                              INTEGER (0..2047),
               n1PUCCH-AN-P1-r10
                                               INTEGER (0..2047)
                                                                           OPTIONAL
                                                                                       -- Need OR
       },
  }
                                                                       OPTIONAL,
                                                                                   -- Need ON
- ASN1STOP
```

## RN-SubframeConfig field descriptions

### demodulationRS

Indicates which reference signals are used for R-PDCCH demodulation according to TS 36.216 [55], clause 7.4.1. Value interleaving corresponds to cross-interleaving and value noInterleaving corresponds to no cross-interleaving according to TS 36.216 [55], clauses 7.4.2 and 7.4.3.

## n1PUCCH-AN-List

 $n_{\mathrm{PUCCH},i}^{(1)}, \text{ see TS 36.216, [55], clause 7.5.1. This parameter is only applicable for TDD. Configures PUCCH HARQ-ACK resources if the RN is configured to use HARQ-ACK channel selection, HARQ-ACK multiplexing or HARQ-ACK bundling.}$ 

## n1PUCCH-AN-P0, n1PUCCH-AN-P1

Parameter:  $n_{\text{PUCCH}}^{(1,p)}$ , for antenna port P0 and for antenna port P1 respectively, see TS 36.216, [55], clause 7.5.1, for FDD and [55], clause 7.5.2 for TDD.

### pdsch-Start

Parameter: DL-StartSymbol, see TS 36.216 [55], Table 5.4-1.

## resourceAllocationType

Represents the resource allocation used: type 0, type 1 or type 2 according to TS 36.213 [23], clause 7.1.6. Value type0 corresponds to type 0, value type1 corresponds to type 1, value type2Localized corresponds to type 2 with localized virtual resource blocks and type2Distributed corresponds to type 2 with distributed virtual resource blocks.

## resourceBlockAssignment

Indicates the resource block assignment bits according to TS 36.213 [23], clause 7.1.6. Value type01 corresponds to type 0 and type 1, and the value type2 corresponds to type 2. Value nrb6 corresponds to a downlink system bandwidth of 6 resource blocks, value nrb15 corresponds to a downlink system bandwidth of 15 resource blocks, and so on.

## subframeConfigPatternFDD

Parameter:  $Sub\bar{f}rameConfigurationFDD$ , see TS 36.216 [55], Table 5.2-1. Defines the DL subframe configuration for eNB-to-RN transmission, i.e. those subframes in which the eNB may indicate downlink assignments for the RN. The radio frame in which the pattern starts (i.e. the radio frame in which the first bit of the subframeConfigPatternFDD corresponds to subframe #0) occurs when SFN mod 4 = 0.

## subframeConfigPatternTDD

Parameter: SubframeConfigurationTDD, see TS 36.216 [55], Table 5.2-2. Defines the DL and UL subframe configuration for eNB-RN transmission.

## RSS-Config

The IE RSS-Config is used to specify the RSS configuration, see TS 36.211 [21].

## **RSS-Config** information element

### **RSS-Config** field descriptions

#### duration

Duration of RSS in subframes. Value sf8 corresponds to 8 subframes, value sf16 corresponds to 16 subframes and so on.

#### freal ocation

Frequency location (lowest PRB number) of RSS.

### periodicity

Periodicity of RSS. Value ms160 corresponds to 160 ms, value ms320 corresponds to 320 ms and so on.

## powerBoost

Power offset of RSS relative to CRS in dB. Value dB0 corresponds to 0 dB, value dB3 corresponds to 3 dB, value dB4dot8 corresponds to 4.8 dB and so on.

#### timeOffset

Time offset of RSS in frames. The actual value of time offset is based on the value of periodicity, as follows:

For periodicity 160 ms, only value range 0 to 15 are applicable. Actual value = timeOffset \* 1 frame.

For periodicity 320 ms, actual value = timeOffset \* 1 frame.

For periodicity 640 ms, actual value = timeOffset \* 2 frames.

For periodicity 1280 ms, actual value = timeOffset \* 4 frames.

## SchedulingRequestConfig

The IE Scheduling Request Config is used to specify the Scheduling Request related parameters

## SchedulingRequestConfig information element

```
-- ASN1START
                               CHOICE {
SchedulingRequestConfig ::=
                                       NULL,
   release
                                       SEQUENCE {
    setup
       sr-PUCCH-ResourceIndex
                                           INTEGER (0..2047),
        sr-ConfigIndex
                                           INTEGER (0..157),
       dsr-TransMax
                                           ENUMERATED {
                                               n4, n8, n16, n32, n64, spare3, spare2, spare1}
SchedulingRequestConfig-v1020 ::= SEQUENCE {
    sr-PUCCH-ResourceIndexP1-r10
                                      INTEGER (0..2047)
                                                                  OPTIONAL
                                                                                   -- Need OR
SchedulingRequestConfigSCell-r13 ::=
                                           CHOICE {
    release
                                       NULL,
                                       SEQUENCE {
                                           INTEGER (0..2047),
       sr-PUCCH-ResourceIndex-r13
       sr-PUCCH-ResourceIndexP1-r13
                                           INTEGER (0..2047)
                                                                       OPTIONAL,
                                                                                       -- Need OR
                                           INTEGER (0..157),
        sr-ConfigIndex-r13
       dsr-TransMax-r13
                                           ENUMERATED {
                                               n4, n8, n16, n32, n64, spare3, spare2, spare1}
```

```
SchedulingRequestConfig-v1530 ::= CHOICE {
    release
                                            SEQUENCE {
                                                UENCE {
INTEGER (0..1319) OPTIONAL,
OPTIONAL, -- Need OR
OPTIONAL, -- NEED OPTIONAL
    setup
         sr-SlotSPUCCH-IndexFH-r15
                                                                           OPTIONAL, -- Need OR
         sr-SlotSPUCCH-IndexFH-r15 INTEGER (0..1319 sr-SlotSPUCCH-IndexNoFH-r15 INTEGER (0..3959)
         sr-SubslotSPUCCH-ResourceList-r15 SR-SubslotSPUCCH-ResourceList-r15 OPTIONAL, -- Need OR
                                                 INTEGER (0..36) OPTIONAL,
INTEGER (0..122) OPTIONAL.
        sr-ConfigIndexSubslot-r15
         sr-ConfigIndexSlot-r15
                                                                                              -- Need OR
                                                 INTEGER (0..122)
                                                                                              -- Need OR
                                                                            OPTIONAL,
                                                 ENUMERATED {
        dssr-TransMax-r15
                                                      n4, n8, n16, n32, n64, spare3, spare2, spare1}
    }
SR-SubslotSPUCCH-ResourceList-r15 ::= SEQUENCE (SIZE(1..4)) OF INTEGER (0..1319)
-- ASN1STOP
```

### SchedulingRequestConfig field descriptions

#### dsr-TransMax

Parameter for SR transmission in TS 36.321 [6], clause 5.4.4. The value n4 corresponds to 4 transmissions, n8 corresponds to 8 transmissions and so on. EUTRAN configures the same value for all serving cells for which this field is configured.

#### dssr-TransMax

Parameter for SPUCCH SR transmission in TS 36.321 [6], clause 5.4.4. EUTRAN configures the same value for all serving cells for which this field is configured.

## sr-ConfigIndex, sr-ConfigIndexSlot, sr-ConfigIndexSubslot

Parameter  $I_{cp}$ . See TS 36.213 [23], clause 10.1. The values 156 and 157 are not applicable for Release 8.

## sr-PUCCH-ResourceIndex, sr-PUCCH-ResourceIndexP1

Parameter:  $n_{\text{PUCCH},\text{SRI}}^{(1,p)}$  for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 10.1. E-UTRAN configures sr-PUCCH-ResourceIndexP1 only if sr-PUCCHResourceIndex is configured.

#### sr-SlotSPUCCH-IndexFH

Resource configuration for SR using slot-SPUCCH when frequency hopping is enabled, see TS 36.213 [23], clause 10.1.5.

## sr-SlotSPUCCH-IndexNoFH

Resource configuration for SR using slot-SPUCCH when frequency hopping is disabled, see TS 36.213 [23], clause 10.1.5.

### sr-SubslotSPUCCH-ResourceList

Resource configuration for SR using subslot-SPUCCH, see TS 36.213 [23], clause 10.1.5.

## SlotOrSubslotPDSCH-Config

The IE SlotOrSubslotPDSCH-Config is used to specify the UE specific PDSCH configuration when sTTI is used.

## SlotOrSubslotPDSCH-Config information element

```
-- ASN1START
SlotOrSubslotPDSCH-Config-r15 ::= CHOICE {
    release
                                     SEQUENCE {
    setup
        altCQI-TableSTTI-r15
                                        ENUMERATED {
                                             allSubframes, csi-SubframeSet1,
                                             csi-SubframeSet2, spare1}
                                                                                   OPTIONAL, -- Need OR
        altCQI-Table1024QAM-STTI-r15 ENUMERATED {
                                             allSubframes, csi-SubframeSet1,
                                             csi-SubframeSet2, spare1}
                                                                                   OPTIONAL, -- Need OR
        resourceAllocation-r15
                                        ENUMERATED {
                                                                     ionType2} OPTIONAL, -- Need OR OPTIONAL, -- Need OR OPTIONAL, -- Need OR
                            resourceAllocationType0,resourceAllocationType2}
                                        ENUMERATED {a33}
        tbsIndexAlt-STTI-r15
                                        ENUMERATED (b33)
        tbsIndexAlt2-STTI-r15
        tbsIndexAlt3-STTI-r15
                                         ENUMERATED {a37}
                                                                      OPTIONAL, -- Need OR
-- ASN1STOP
```

## SlotOrSubslotPDSCH-Config field descriptions

### altCQI-TableSTTI, altCQI-Table1024QAM-STTI

Indicates the applicability of the alternative CQI table (i.e. Table 7.2.3-2 and Table 7.2.3-4 in TS 36.213 [23]) for aperiodic CSI reporting for slot or subslot PDSCH for the concerned serving cell. Value *allSubframes* means the alternative CQI table applies to all the subframes and CSI processes, if configured, and value *csi-SubframeSet1* means the alternative CQI table applies to CSI subframe set1, and value *csi-SubframeSet2* means the alternative CQI table applies to CSI subframe set2. EUTRAN sets the value to *csi-SubframeSet1* or *csi-SubframeSet2* only if transmissionMode is set in range *tm1* to *tm9* and csi-SubframePatternConfig-r10 is configured for the concerned serving cell and different CQI tables apply to the two CSI subframe sets; otherwise EUTRAN sets the value to *allSubframes*. EUTRAN does not configure the same value for altCQI-TableSTTI-r15 and altCQI-Table-1024QAM-STTI-r15 in SlotOrSubslotPDSCH-Config-r15. EUTRAN does not configure altCQI-Table-1024QAM-STTI-r15 if the value of altCQI-TableSTTI-r15 is set to *allSubframes*. EUTRAN does not configure altCQI-TableSTTI-r15 if the value of altCQI-Table-1024QAM-STTI-r15 is set to *allSubframes*. If both altCQI-TableSTTI-r15 and altCQI-Table-1024QAM-STTI-r15 are absent, the UE shall use Table 7.2.3-1 in TS 36.213 [23] for all subframes and CSI processes, if configured.

### resourceAllocation

Parameter indicates resource allocation type for slot-PDSCH or subslot-PDSCH.

#### tbsIndexAlt-STTI

Indicates the applicability of the alternative TBS index for the  $h_{\rm TBS}$  33 (see TS 36.213 [23], Table 7.1.7.2.1-1) to all slots/subslots scheduled by DCI format 7-1F and 7-1G. Value a 33 refers to the alternative TBS index  $h_{\rm TBS}$  33A. If neither this field nor *tbsIndexAlt2-STTI* configures an alternative TBS index for ITBS 33, the UE shall use ITBS 33 specified in Table 7.1.7.2.1-1 in TS 36.213 [23] for all slots/subslots instead.

#### thsIndexAlt2-STTI

Indicates the applicability of the alternative TBS index for the IrBs 33 (see TS 36.213 [23], Table 7.1.7.2.1-1) to all slots/subslots scheduled by DCI format 7-1B/7-1C/7-1D. Value b33 refers to the alternative TBS index IrBs 33B. If neither this field nor tbsIndexAlt-STTI configures an alternative TBS index for IrBs 33, the UE shall use IrBs 33 specified in Table 7.1.7.2.1-1 in TS 36.213 [23] for all slots/subslots instead.

### tbsIndexAlt3-STTI

Indicates the applicability of the alternative TBS index for the  $h_{\rm TBS}$  37 (see TS 36.213 [23], Table 7.1.7.2.1-1) to all slots/subslots scheduled by DCI format 7-1F/7-1G. Value a37 refers to the alternative TBS index  $h_{\rm TBS}$  37A. If this field does not configure an alternative TBS index for  $h_{\rm TBS}$  37, the UE shall use  $h_{\rm TBS}$  37 specified in TS 36.213 [23], Table 7.1.7.2.1-1 for all slots/subslots instead.

## SlotOrSubslotPUSCH-Config

The IE SlotOrSubslotPUSCH-Config is used to specify the UE specific PUSCH configuration when sTTI is used.

## SlotOrSubslotPUSCH-Config information element

```
-- ASN1START
SlotOrSubslotPUSCH-Config-r15 ::= CHOICE {
   release
    setup
                                   SEOUENCE {
                                       INTEGER(0..15)
        betaOffsetSlot-ACK-Index-r15
                                                              OPTIONAL, -- Need OR
                                                              OPTIONAL, -- Need OR
       betaOffset2Slot-ACK-Index-r15
                                           INTEGER(0..15)
        betaOffsetSubslot-ACK-Index-r15
                                           SEQUENCE (SIZE(1..2)) OF INTEGER(0..15) OPTIONAL, --
Need OR
                                           SEQUENCE (SIZE(1..2)) OF INTEGER(0..15) OPTIONAL, --
       betaOffset2Subslot-ACK-Index-r15
Need OR
        betaOffsetSlot-RI-Index-r15
                                           INTEGER(0..15)
                                                               OPTIONAL, -- Need OR
                                           SEQUENCE (SIZE(1..2)) OF INTEGER(0..15) OPTIONAL, --
        betaOffsetSubslot-RI-Index-r15
Need OR
       betaOffsetSlot-CQI-Index-r15
                                           INTEGER(0..15)
                                           INTEGER(0..15)
                                                               OPTIONAL, -- Need OR
        betaOffsetSubslot-CQI-Index-r15
                                                               OPTIONAL, -- Need OR
        enable256QAM-SlotOrSubslot-r15
                                           Enable256QAM-r14
                                                              OPTIONAL, -- Need ON
                                           INTEGER (1..2)
        resourceAllocationOffset-r15
                                                               OPTIONAL, -- Need OR
        ul-DMRS-TFDMA-SlotOrSubslot-r15
                                           BOOLEAN.
-- ASN1STOP
```

### SlotOrSubslotPUSCH-Config field descriptions

# betaOffsetSlot-ACK-Index, betaOffsetSubslot-ACK-Index, betaOffset2Slot-ACK-Index, betaOffset2Subslot-ACK-Index

Parameter:  $I_{offset}^{HARQ-ACK}$  and  $I_{offset,X}^{HARQ-ACK}$  for single-codeword, see TS 36.213 [23], Table 8.6.3-1. If betaOffset2Slot-

ACK-Index/betaOffset2Subslot-ACK-Index is configured; betaOffsetSlot-ACK-Index/betaOffsetSubslot-ACK-Index is used when up to 22 HARQ-ACK bits are transmitted otherwise betaOffset2Slot-ACK-Index/betaOffset2Subslot-ACK-Index is used. The values apply for all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send SPUCCH on the same cell in case SPUCCH SCell is configured) and not configured with uplink power control subframe sets. It is indicated in DCI format 7-0A/7-0B which of the two values taken by betaOffsetSubslot-ACK-Index-r15/betaOffset2Subslot-ACK-Index-r15/ betaOffsetSubslot-RI-Index-r15 to use. The same value also applies for subframe set 1 of all serving cells with an uplink in that cell group and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell).

## betaOffsetSlot-RI-Index, betaOffsetSubslot-RI-Index

Parameter:  $I_{offset}^{RI}$ , for single codeword, see TS 36.213 [23], Table 8.6.3-2. One value applies for subframe set 2 of all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send SPUCCH on the same cell in case SPUCCH SCell is configured) and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell configured with uplink power control subframe sets).

## betaOffsetSlot-CQI-Index, betaOffsetSubslot-CQI-Index

Parameter:  $I_{\it offset}^{\it CQI}$ , for single codeword, see TS 36.213 [23], Table 8.6.3-3. One value applies for all serving cells with an uplink in a cell group (MCG or SCG or the group of cells configured to send SPUCCH on the same cell in case SPUCCH SCell is configured) and not configured with uplink power control subframe sets. The same value also applies for subframe set 1 of all serving cells with an uplink in that cell group and configured with uplink power control subframe sets (the associated functionality is common i.e. not performed independently for each cell).

## enable256QAM-SlotOrSubslot

Indicates that 256QAM for slot or subslot is enabled, see TS 36.213 [23], clause 8.6.1.

## resourceAllocationOffset

Indicates an RB resource allocation offset of 1 or 2 PRBs for slot-PUSCH or subslot-PUSCH. When the field is absent, the UE assumes no offset is used (i.e. offset = 0).

## ul-DMRS-IFDMA-SlotOrSubslot

Value TRUE indicates that the UE is configured with enhanced UL DMRS.

## SoundingRS-UL-Config

The IE *SoundingRS-UL-Config* is used to specify the uplink Sounding RS configuration for periodic and aperiodic sounding.

## SoundingRS-UL-Config information element

```
-- ASN1START
SoundingRS-UL-ConfigCommon ::=
                                    CHOICE {
    release
    setup
                                        SEQUENCE {
        srs-BandwidthConfig
                                            ENUMERATED {bw0, bw1, bw2, bw3, bw4, bw5, bw6, bw7},
        srs-SubframeConfig
                                            ENUMERATED
                                                sc0, sc1, sc2, sc3, sc4, sc5, sc6, sc7,
                                                sc8, sc9, sc10, sc11, sc12, sc13, sc14, sc15},
        ackNackSRS-SimultaneousTransmission BOOLEAN,
        srs-MaxUpPts
                                            ENUMERATED {true}
                                                                         OPTIONAL
                                                                                     -- Cond TDD
}
SoundingRS-UL-ConfigDedicated ::= CHOICE{
    release
                                        NULL.
        srs-Bandwidth
                                            ENUMERATED {bw0, bw1, bw2, bw3},
        srs-HoppingBandwidth
                                            ENUMERATED {hbw0, hbw1, hbw2, hbw3},
        freqDomainPosition
                                            INTEGER (0..23),
        duration
                                            BOOLEAN,
                                            INTEGER (0..1023),
        srs-ConfigIndex
                                            INTEGER (0..1),
        transmissionComb
        cyclicShift
                                            ENUMERATED {cs0, cs1, cs2, cs3, cs4, cs5, cs6, cs7}
```

```
SoundingRS-UL-ConfigDedicated-v1020 ::= SEQUENCE {
    srs-AntennaPort-r10
                                         SRS-AntennaPort
SoundingRS-UL-ConfigDedicated-v1310 ::= CHOICE{
    release
                                         SEQUENCE {
    setup
                                                                        OPTIONAL,
        transmissionComb-v1310
                                             INTEGER (2..3)
                                                                                    -- Need OR
        cyclicShift-v1310
                                             ENUMERATED {cs8, cs9, cs10, cs11} OPTIONAL,
OR
        transmissionCombNum-r13
                                            ENUMERATED {n2, n4}
                                                                   OPTIONAL
                                                                                  -- Need OR
}
SoundingRS-UL-ConfigDedicatedUpPTsExt-r13 ::= CHOICE{
    release
                                         NULLI.
                                         SEQUENCE {
    setup
                                                ENUMERATED {sym2, sym4},
        srs-UpPtsAdd-r13
                                             ENUMERATED {bw0, bw1, bw2, bw3}, ENUMERATED {hbw0, hbw1, hbw2, hbw3},
        srs-Bandwidth-r13
        srs-HoppingBandwidth-r13
        freqDomainPosition-r13
                                             INTEGER (0..23),
        duration-r13
                                             BOOLEAN,
        srs-ConfigIndex-r13
                                            INTEGER (0..1023),
                                             INTEGER (0..3),
        transmissionComb-r13
                                             ENUMERATED {cs0, cs1, cs2, cs3, cs4, cs5, cs6, cs7,
        cyclicShift-r13
                                                         cs8, cs9, cs10, cs11},
        srs-AntennaPort-r13
                                             SRS-AntennaPort,
        transmissionCombNum-r13
                                            ENUMERATED {n2, n4}
}
SoundingRS-UL-ConfigDedicatedAperiodic-r10 ::= CHOICE{
    release
                                        NULL.
    setup
                                         SEQUENCE {
        srs-ConfigIndexAp-r10
                                             INTEGER (0..31),
                                            SEQUENCE (SIZE (1..3)) OF SRS-ConfigAp-r10 OPTIONAL, --
        srs-ConfigApDCI-Format4-r10
Need ON
        srs-ActivateAp-r10
                                            CHOICE {
                release
                                                NULL.
                setup
                                                 SEQUENCE {
                                                       SRS-ConfigAp-r10,
                    srs-ConfigApDCI-Format0-r10
                    {\tt srs-ConfigApDCI-Format1a2b2c-r10}
                                                            SRS-ConfigAp-r10,
                }
                                                                             OPTIONAL
                                                                                          -- Need ON
}
SoundingRS-UL-ConfigDedicatedAperiodic-v1310 ::=
    release
                                        NULL,
                                         SEQUENCE {
        {\tt srs-ConfigApDCI-Format4-v1310}
                                            SEQUENCE (SIZE (1..3)) OF SRS-ConfigAp-v1310
    OPTIONAL, -- Need ON
        srs-ActivateAp-v1310
                                             CHOICE {
                                                 NULL.
                release
                                                 SEQUENCE {
                    srs-ConfigApDCI-Format0-v1310
                                                        SRS-ConfigAp-v1310 OPTIONAL,
                    srs-ConfigApDCI-Format1a2b2c-v1310 SRS-ConfigAp-v1310 OPTIONAL
                                                                                          -- Need ON
        }
                                                                             OPTIONAL
                                                                                          -- Need ON
}
SoundingRS-UL-ConfigDedicatedAperiodicUpPTsExt-r13 ::= CHOICE{
    release
                                         NULL,
    setup
                                         SEOUENCE {
        srs-UpPtsAdd-r13
                                            ENUMERATED {sym2, sym4},
                                            INTEGER (0..31),
        srs-ConfigIndexAp-r13
        srs-ConfigApDCI-Format4-r13
                                            SEQUENCE (SIZE (1..3)) OF SRS-ConfigAp-r13 OPTIONAL, --
Need ON
       srs-ActivateAp-r13
                                             CHOICE {
                release
                                               NULL,
                                                 SEQUENCE {
                    srs-ConfigApDCI-Format0-r13
                                                        SRS-ConfigAp-r13,
                    srs-ConfigApDCI-Format1a2b2c-r13
                                                            SRS-ConfigAp-r13
                }
                                                                             OPTIONAL -- Need ON
```

```
{\tt SoundingRS-UL-ConfigDedicatedAperiodic-v1430 ::= CHOICE\{}
   release
                                NULL,
                                SEQUENCE {
   setup
      srs-SubframeIndication-r14
                                   INTEGER (1..4) OPTIONAL -- Need ON
}
SRS-ConfigAp-r10 ::= SEQUENCE {
  srs-AntennaPortAp-r10 SRS-AntennaPort,
srs-BandwidthAp-r10 ENUMERATED {bw0, bw1, bw2, bw3},
freqDomainPositionAp-r10 INTEGER (0..23),
transmissionCombAp-r10 INTEGER (0..1),
cyclicShiftAp-r10 ENUMERATED {cs0, cs1, cs2, cs3, cs4, cs5, cs6, cs7}
cs8, cs9, cs10, cs11},
                     ENUMERATED {an1, an2, an4, spare1}
SRS-AntennaPort ::=
-- ASN1STOP
```

## SoundingRS-UL-Config field descriptions

#### ackNackSRS-SimultaneousTransmission

Parameter: Simultaneous-AN-and-SRS, see TS 36.213 [23], clause 8.2. For SCells without PUCCH configured, this field is not applicable and the UE shall ignore the value.

## cyclicShift, cyclicShiftAp

Parameter: n\_SRS for periodic and aperiodic sounding reference signal transmission respectively except for an LAA SCell. See TS 36.211 [21], clause 5.5.3.1, where cs0 corresponds to 0 etc.

#### duration

Parameter: Duration for periodic sounding reference signal transmission except for an LAA SCell. See TS 36.213 [21], clause 8.2. FALSE corresponds to "single" and value TRUE to "indefinite".

### freqDomainPosition, freqDomainPositionAp

Parameter:  $n_{\rm RRC}$  for periodic and aperiodic sounding reference signal transmission respectively, see TS 36.211 [21], clause 5.5.3.2.

### srs-AntennaPort, srs-AntennaPortAp

Indicates the number of antenna ports used for periodic and aperiodic sounding reference signal transmission respectively, see TS 36.211 [21], clause 5.5.3. UE shall release *srs-AntennaPort* if *SoundingRS-UL-ConfigDedicated* is released.

## srs-Bandwidth, srs-BandwidthAp

Parameter:  $B_{\rm SRS}$  for periodic and aperiodic sounding reference signal transmission respectively, see TS 36.211 [21], tables 5.5.3.2-1, 5.5.3.2-2, 5.5.3.2-3 and 5.5.3.2-4. For LAA SCell only bw0 is applied.

### srs-BandwidthConfig

Parameter: SRS Bandwidth Configuration. See TS 36.211, [21], tables 5.5.3.2-1, 5.5.3.2-2, 5.5.3.2-3 and 5.5.3.2-4. Actual configuration depends on UL bandwidth. bw0 corresponds to value 0, bw1 to value 1 and so on.

## srs-ConfigApDCI-Format0 / srs-ConfigApDCI-Format1a2b2c / srs-ConfigApDCI-Format4

Parameters indicate the resource configurations for aperiodic sounding reference signal transmissions triggered by DCI formats 0, 1A, 2B, 2C, 4. See TS 36.213 [23], clause 8.2.

## srs-ConfigIndex, srs-ConfigIndexAp

Parameter: I<sub>SRS</sub> for periodic and aperiodic sounding reference signal transmission respectively except for an LAA SCell. See TS 36.213 [23], tables 8.2-1 and 8.2-2, for periodic and TS 36.213 [23], tables 8.2-4 an8.2-5, for aperiodic SRS transmission.

### srs-HoppingBandwidth

Parameter: SRS hopping bandwidth  $b_{hop} \in \{0,1,2,3\}$  for periodic sounding reference signal transmission except for an LAA SCell, see TS 36.211 [21], clause 5.5.3.2, where hbw0 corresponds to value 0, hbw1 to value 1 and so on.

## srs-MaxUpPts

Parameter: srsMaxUpPts, see TS 36.211 [21], clause 5.5.3.2. If this field is present, reconfiguration of  $m_{\rm SRS,0}^{\rm max}$  applies for UpPts, otherwise reconfiguration does not apply.

## srs-SubframeConfig

Parameter: SRS SubframeConfiguration except for an LAA SCell. See TS 36.211, [21], table 5.5.3.3-1, applies for FDD whereas TS 36.211 [21], table 5.5.3.3-2, applies for TDD. sc0 corresponds to value 0, sc1 corresponds to value 1 and so on.

## srs-SubframeIndication

Parameter: SRS subframe indication in SRS parameter set configuration for aperiodic sounding reference signal transmission on an LAA SCell configured with uplink, see TS 36.213 [23].

### srs-UpPtsAdd

The field only applies for TDD and frame structure type 3, see TS 36.211 [21]. If E-UTRAN configures both soundingRS-UL-ConfigDedicatedUpPTsExt and soundingRS-UL-ConfigDedicatedAperiodicUpPTsExt srs-UpPtsAdd in both fields is set to the same value. If E-UTRAN configures soundingRS-UL-PeriodicConfigDedicatedUpPTsExtList-r14 with a number of soundingRS-UL-ConfigDedicatedUpPTsExt and/or soundingRS-UL-

AperiodicConfigDedicatedList-r14 with a number of soundingRS-UL-ConfigDedicatedAperiodicUpPTsExt, srs-UpPtsAdd in all fields are set to the same value.

## transmissionComb, transmissionCombAp

Parameter:  $k_{\text{TC}} \in \{0..3\}$  for periodic and aperiodic sounding reference signal transmission respectively, see TS 36.211 [21], clause 5.5.3.2.

Conditional presence	Explanation
TDD	This field is optional present for TDD, need OR; it is not present for FDD and the UE shall
	delete any existing value for this field

## SPDCCH-Config

The IE SPDCCH-Config is used to specify the UE specific SPDCCH configuration.

## SPDCCH-Config information element

```
-- ASN1START
SPDCCH-Config-r15 ::=
                                     CHOICE {
    release
                                          NULL,
                                          SEQUENCE {
                                                         ENUMERATED {n0,n1,n2} OPTIONAL, -- Need OR SPDCCH-Set-r15 OPTIONAL -- Need OR
          spdcch-L1-ReuseIndication-r15
          spdcch-SetConfig-r15
}
SPDCCH-Set-r15 ::= SEQUENCE (SIZE (1..4)) OF SPDCCH-Elements-r15
SPDCCH-Elements-r15 ::= CHOICE {
    release
                                               NULL,
         SEQUENCE {
spdcch-SetConfigId-r15
     setup
                                                        INTEGER (0..3) OPTIONAL, -- Need OR ENUMERATED {crs, dmrs} OPTIONAL, -- Need OR ENUMERATED {localised, distributed} OPTIONAL, -- Need OR
          spdcch-NoOfSymbols-r15 INTEGER (1..2) OPTIONAL, -- Need OR dmrs-ScramblingSequenceInt-r15 INTEGER (0..503) OPTIONAL, -- Need OR dci7-CandidatesPerAL-PDCCH-r15 SEQUENCE (SIZE(1..4)) OF
                                                               DCI7-Candidates-r15 OPTIONAL, -- Need OR
          dci7-CandidateSetsPerAL-SPDCCH-r15 SEQUENCE (SIZE(1..2)) OF
                                                              DCI7-CandidatesPerAL-SPDCCH-r15 OPTIONAL, -- Need OR
          resourceBlockAssignment-r15
                                                         SEQUENCE {
               numberRB-InFreq-domain-r15 INTEGER (2..100), resourceBlockAssignment-r15 BIT STRING (SIZE(98))
             numberRB-InFreq-domain-r15
                                                                                         OPTIONAL, -- Need OR
          subslotApplicability-r15 BIT STRING (SIZE(5)) Of al-StartingPointSPDCCH-r15 SEQUENCE (SIZE(1..4)) OF INTEGER(0..49) Of subframeType-r15 ENUMERATED {mbsfn, nonmbstrateMatchingMode-r15
                                                                                         OPTIONAL, -- Need OR
                                                         INTEGER(0..49) OPTIONAL, -- Need OR
ENUMERATED {mbsfn, nonmbsfn, all} OPTIONAL, -- Need OR
ENUMERATED {m1, m2, m3, m4} OPTIONAL, -- Need OR
          rateMatchingMode-r15
}
DCI7-Candidates-r15 ::=
                                                               INTEGER (0..6)
DCI7-CandidatesPerAL-SPDCCH-r15 ::=
                                                               SEQUENCE (SIZE(1..4)) OF DCI7-Candidates-r15
-- ASN1STOP
```

## SPDCCH-Config field descriptions

### al-StartingPointSPDCCH

Indicates the starting SCCE index for an aggregation level, see TS 36.213 [23], clause 9.1.6.

#### dci7-Candidates

Number of candidates in each aggregation level for DCI format 7. The number of PDCCH/SPDCCH candidate(s) M\_DCI format 7^((L)) at aggregation level L for monitoring DCI format 7 in PDCCH and SPDCCH region shall conform to the following restriction:

- less than or equal to 2 for aggregation level 4 and 8,
- less than or equal to 6 for aggregation level 1 and 2

### dci7-CandidatesPerAL-SPDCCH

SPDCCH candidates configured per aggregation level in SPDCCH region

## dmrs-ScramblingSeqSPDCCH

The DMRS scrambling sequence initialization parameter  $n_{{
m ID},i}^{
m SPDCCH}$  defined in TS 36.211 [21], clause 6.10.3A.1.

## numberRB-InFreq-domain

Indicates the number of resource-blocks in the frequency domain used for the SPDCCH set. There is no restriction on the number of RBs in the frequency domain that can be configured to an SPDCCH resource set (up to 100), but at least two need to be configured to contain at least one SCCE. The granularity of resource block allocation in frequency domain for configuring an SPDCCH resource set is one in case spdcch-SetReferenceSig-r15 is set to crs. The granularity of resource block allocation for configuring an SPDCCH resource set is two in case sPDCCH-SetReferenceSig-r15 is set to dmrs.

### rateMatchingMode

Indicates, per resource-set, the mode of SPDCCH rate-matching operation

- Mode 1: UE rate-matches only around the DCI format 7 scheduling the slot or subslot PDSCH (if transmitted in the SPDCCH resouce-set), otherwise no rate-matching is performed for the RB set.
- Mode 2: UE rate-matches around the whole SPDCCH resource set
- Mode 3: UE rate-matches around the whole SPDCCH resource set if DCI format 7 scheduling the slot or subslot PDSCH is found in the resource-set, otherwise no rate-matching is performed for the RB set.
- Mode 4: UE rate-matches around the whole SPDCCH resource set if DCI format 7 scheduling the slot or subslot PDSCH is not found in the resource-set, otherwise UE rate-matches only around the DCI format 7 scheduling the slot or subslot PDSCH (if transmitted in the SPDCCH resource-set)

If the DCI format 7 scheduling the slot or subslot PDSCH is found on a candidate belonging to two SPDCCH resource sets, the DCI format 7 is assumed to be found in both resource sets.

### resourceBlockAssignment

Indicates the index to a specific combination of physical resource block in frequency for SPDCCH set, see TS 36.213 [23], clause 9.1.4.4. The value range is dependent on the combinatorial number defined in 36.213 [23], clause 9.1.4.4 with the assumption of no limitation in the number of RBs in frequency domain configured by the set.

### spdcch-NoOfSymbols

Indicates the number of OFDM symbols that the CRS based SPDCCH is mapped over.

## spdcch-L1-ReuseIndication

For the up to two resource sets configured with the same *subframeType* applicability, the *SPDCCH-L1-ReuseIndication* defines the allowed combinations for the two resource sets: {1,1}, {2,0} or {0,2} corresponding to the values n0, n1 and n2 repsectively. In case one resource set is configured, the allowed combinations are {2, 0} or {0,2} corresponding to n1 or n2. EUTRAN does not configure n0 in case one resource set is configured.

### spdcch-SetConfiald

Indicates the ID of the SPDCCH set configured in *SPDCCH-Elements*. Maximum two sets can be configured for MBSFN and two for non-MBSFN.

### spdcch-SetReferenceSig

Indicates CRS or DMRS based SPDCCH set.

## subframeType

Indicates applicable subframe type(s) for the SPDCCH set. CRS-based SPDCCH is only applied to non-MBSFN subframe.

## subslotApplicability

Indicates the set of subslots within the subframe where SPDCCH candidate set per aggregation levels applies, see DCI7-CandidateSetsPerAL-SPDCCH. The bitmap applies to the 5 DL subslot indices in a DL subframe. The first element in the sequence DCI7-CandidateSetsPerAL-SPDCCH applies to the indicated subslotApplicability. The second element in the sequence (if present) applies to the complement of the subslotApplicability.

## transmissionType

Indicates whether distributed or localized SPDCCH transmission mode is used as defined in TS 36.211 [21], clause 6.8A.1.

## SPS-Config

The IE SPS-Config is used to specify the semi-persistent scheduling configuration.

## SPS-Config information element

```
-- ASN1START
SPS-Config ::= SEQUENCE {
    semiPersistSchedC-RNTI
                                    C-RNTI
                                                            OPTIONAL,
                                                                                -- Need OR
    sps-ConfigDL
                                    SPS-ConfigDL
                                                            OPTIONAL,
                                                                                -- Need ON
                                    SPS-ConfigUL
                                                            OPTIONAL
                                                                                -- Need ON
    sps-ConfigUL
SPS-Config-v1430 ::=
                       SEOUENCE {
   ul-SPS-V-RNTI-r14
                                        C-RNTI
                                                                OPTIONAL,
                                                                                    -- Need OR
    sl-SPS-V-RNTI-r14
                                        C-RNTT
                                                                OPTIONAL,
                                                                                    -- Need OR
    sps-ConfigUL-ToAddModList-r14
                                        SPS-ConfigUL-ToAddModList-r14 OPTIONAL,
                                                                                    -- Need ON
    sps-ConfigUL-ToReleaseList-r14
                                      SPS-ConfigUL-ToReleaseList-r14 OPTIONAL,
                                                                                    -- Need ON
    sps-ConfigSL-ToAddModList-r14
                                        SPS-ConfigSL-ToAddModList-r14
                                                                       OPTIONAL,
                                                                                    -- Need ON
                                       SPS-ConfigSL-ToReleaseList-r14 OPTIONAL
                                                                                    -- Need ON
    sps-ConfigSL-ToReleaseList-r14
SPS-ConfigUL-ToAddModList-r14 ::= SEQUENCE (SIZE (1..maxConfigSPS-r14)) OF SPS-ConfigUL
SPS-ConfigUL-ToReleaseList-r14 ::= SEQUENCE (SIZE (1..maxConfigSPS-r14)) OF SPS-ConfigIndex-r14
SPS-ConfigSL-ToAddModList-r14 ::= SEQUENCE (SIZE (1..maxConfigSPS-r14)) OF SPS-ConfigSL-r14
SPS-ConfigSL-ToReleaseList-r14 ::= SEQUENCE (SIZE (1..maxConfigSPS-r14)) OF SPS-ConfigIndex-r14
SPS-Config-v1530 ::=
                        SEQUENCE {
    semiPersistSchedC-RNTI-r15
                                    C-RNTI
                                                                OPTIONAL,
                                                                                    -- Need OR
                                       SPS-ConfigDL
                                                                    OPTIONAL.
                                                                                        -- Need ON
    sps-ConfigDL-r15
    \verb|sps-ConfigUL-STTI-ToAddModList-r15| SPS-ConfigUL-STTI-ToAddModList-r15| OPTIONAL, \\
                                                                                       -- Need ON
    sps-ConfigUL-STTI-ToReleaseList-r15 SPS-ConfigUL-STTI-ToReleaseList-r15 OPTIONAL,
                                                                                       -- Need ON
                                    SPS-ConfigUL-ToAddModList-r15
    sps-ConfigUL-ToAddModList-r15
                                                                         OPTIONAL, -- Need ON
                                       SPS-ConfigUL-ToReleaseList-r15
    sps-ConfigUL-ToReleaseList-r15
                                                                           OPTIONAL
                                                                                       -- Need ON
}
SPS-Config-v1540 ::=
                       SEQUENCE {
   sps-ConfigDL-STTI-r15
                                        SPS-ConfigDL-STTI-r15
                                                                            OPTIONAL
                                                                                        -- Need OR
SPS-ConfigUL-STTI-ToAddModList-r15 ::= SEQUENCE (SIZE (1..maxConfigSPS-r15)) OF SPS-ConfigUL-STTI-
r15
SPS-ConfigUL-STTI-ToReleaseList-r15 ::= SEQUENCE (SIZE (1..maxConfigSPS-r15)) OF SPS-ConfigIndex-r15
SPS-ConfigUL-ToAddModList-r15 ::= SEQUENCE (SIZE (1..maxConfigSPS-r15)) OF SPS-ConfigUL
SPS-ConfigUL-ToReleaseList-r15 ::= SEQUENCE (SIZE (1..maxConfigSPS-r15)) OF SPS-ConfigIndex-r15
SPS-ConfigDL ::=
                   CHOICE {
   release
                                    NULL,
                                    SEQUENCE {
    setup
        semiPersistSchedIntervalDL
                                            ENUMERATED {
                                                sf10, sf20, sf32, sf40, sf64, sf80,
                                                sf128, sf160, sf320, sf640, spare6,
                                                spare5, spare4, spare3, spare2,
                                                spare1},
        numberOfConfSPS-Processes
                                            INTEGER (1..8),
                                            N1PUCCH-AN-PersistentList,
        n1PUCCH-AN-PersistentList
           twoAntennaPortActivated-r10
                                            CHOICE {
                                                NULL,
               release
                                                SEQUENCE {
                    n1PUCCH-AN-PersistentListP1-r10 N1PUCCH-AN-PersistentList
                                                                            OPTIONAL
                                                                                        -- Need ON
        ]]
    }
}
                    CHOICE {
SPS-ConfigUL ::=
   release
    setup
                                    SEQUENCE {
        semiPersistSchedIntervalUL
                                            ENUMERATED {
                                                sf10, sf20, sf32, sf40, sf64, sf80,
                                                sf128, sf160, sf320, sf640, sf1-v1430,
                                                sf2-v1430, sf3-v1430, sf4-v1430, sf5-v1430,
                                                spare1},
```

```
p0-Persistent
                                         SEQUENCE {
           p0-NominalPUSCH-Persistent
                                             INTEGER (-126..24),
           p0-UE-PUSCH-Persistent
                                             INTEGER (-8..7)
              OPTIONAL,
                                                                    -- Need OP
       twoIntervalsConfig
                                        ENUMERATED {true}
                                                                   OPTIONAL, -- Cond TDD
       [[ p0-PersistentSubframeSet2-r12
                                             CHOICE {
              release
                                                 NULL,
                                                 SEQUENCE {
                  p0-NominalPUSCH-PersistentSubframeSet2-r12
                                                                   INTEGER (-126..24),
                                                                    INTEGER (-8..7)
                  p0-UE-PUSCH-PersistentSubframeSet2-r12
           }
                                                                    OPTIONAL
                                                                                -- Need ON
       ]],
                                                                   OPTIONAL
       [[ numberOfConfUlSPS-Processes-r13
                                               INTEGER (1..8)
                                                                               -- Need OR
       ]],
                                                 ENUMERATED {true}
          fixedRV-NonAdaptive-r14
                                                                        OPTIONAL,
                                                                                   -- Need OR
                                                SPS-ConfigIndex-r14 OPTIONAL, -- Need OR
           sps-ConfigIndex-r14
                                                 ENUMERATED {
           semiPersistSchedIntervalUL-v1430
                                         sf50, sf100, sf200, sf300, sf400, sf500,
                                          sf600, sf700, sf800, sf900, sf1000, spare5,
                                          spare4, spare3, spare2, spare1} OPTIONAL -- Need OR
       11,
                                         ENUMERATED {cs0, cs1, cs2, cs3, cs4, cs5, cs6, cs7}
       [[ cyclicShiftSPS-r15
                                             OPTIONAL, -- Need ON
    -- eNote (TBC) that no separate STTI field is required (alike in merged CR)
          harq-ProcID-Offset-r15 INTEGER (0..7) OPTIONAL, -- Need ON rv-SPS-UL-Repetitions-r15 ENUMERATED {ulrvseq1, ulrvseq2, ulrvseq3} OPTIONAL
                                             ENUMERATED {ulrvseq1, ulrvseq2, ulrvseq3} OPTIONAL,
-- Need ON
           tpc-PDCCH-ConfigPUSCH-SPS-r15 TPC-PDCCH-Config
                                                                   OPTIONAL, -- Need ON
           totalNumberPUSCH-SPS-UL-Repetitions-r15 ENUMERATED {n2,n3,n4,n6} OPTIONAL, -- Need ON
                                             SPS-ConfigIndex-r15 OPTIONAL -- Cond SPS
           sps-ConfigIndex-r15
       ]]
   }
}
SPS-ConfigSL-r14 ::= SEQUENCE {
  sps-ConfigIndex-r14
                                  SPS-ConfigIndex-r14,
   semiPersistSchedIntervalSL-r14 ENUMERATED {
                                      sf20, sf50, sf100, sf200, sf300, sf400,
                                      sf500, sf600, sf700, sf800, sf900, sf1000,
                                      spare4, spare3, spare2, spare1}
                             INTEGER (1..maxConfigSPS-r14)
SPS-ConfigIndex-r14 ::=
SPS-ConfigIndex-r15 ::=
                             INTEGER (1..maxConfigSPS-r15)
N1PUCCH-AN-PersistentList ::=
                                 SEQUENCE (SIZE (1..4)) OF INTEGER (0..2047)
N1SPUCCH-AN-PersistentList-r15 ::=
                                    SEQUENCE (SIZE (1..4)) OF INTEGER (0..2047)
SPS-ConfigDL-STTI-r15 ::= CHOICE{
                                  NULL.
   release
                                  SEQUENCE {
       semiPersistSchedIntervalDL-STTI-r15
                                             ENUMERATED {
                                             STTI1, STTI2, STTI3, STTI4, STTI6, STTI8, STTI12,
STTI16.
                                             sTTI20, sTTI40, sTTI60, sTTI80, sTTI120, sTTI240,
                                             spare2, spare1},
                                             INTEGER (1..12),
       numberOfConfSPS-Processes-STTI-r15
       twoAntennaPortActivated-r15
                                         CHOICE {
              release
                                             NULL.
                                             SEQUENCE {
1-r15 N1SPUCCH-AN-PersistentList-r15
                  n1SPUCCH-AN-PersistentListP1-r15
                                                                    OPTIONAL, -- Need ON
                                           INTEGER (0..5),
       sTTI-StartTimeDL-r15
       tpc-PDCCH-ConfigPUCCH-SPS-r15 TPC-PDCCH-Config
                                                                   OPTIONAL, -- Need ON
}
SPS-ConfigUL-STTI-r15 ::= CHOICE {
                                  NULL,
   release
   setup
                                  SEOUENCE {
```

```
sTTI1, sTTI2, sTTI3, sTTI4, sTTI6, sTTI8, sTTI12,
sTTI16,
                                                        sTTI20, sTTI40, sTTI60, sTTI80, sTTI120, sTTI240,
                                                        spare2, spare1},
                                                        ENUMERATED {e2, e3, e4, e8},
         implicitReleaseAfter
         p0-Persistent-r15
                                                   SEQUENCE {
             pO-NominalSPUSCH-Persistent-r15 INTEGER (-126..24),
pO-UE-SPUSCH-Persistent-r15 INTEGER (-8..7)
             p0-UE-SPUSCH-Persistent-r15
                                                                                    OPTIONAL,
                                                                                                  -- Need OP
                                                                                  OPTIONAL, -- Cond TDD
         twoIntervalsConfig-r15
                                                       ENUMERATED {true}
         p0-PersistentSubframeSet2-r15 CHOICE {
    release NULL,
    setup
                      up SEQUENCE ( 126... Pp0-NominalSPUSCH-PersistentSubframeSet2-r15 INTEGER (-126... INTEGER (-8..7)
                                                        SEQUENCE {
                                                                                    INTEGER (-126..24),
                                                                                    OPTIONAL,
         numberOfConfUL-SPS-Processes-STTI-r15 INTEGER (1..12)
                                                                                                  -- Need OR
                                                                                   OPTIONAL,
         STTI-StartTimeUL-r15 INTEGER (0..5),
tpc-PDCCH-ConfigPUSCH-SPS-r15 TPC-PDCCH-Config OPTIONAL, -- Need ON
cyclicShiftSPS-sTTI-r15 ENUMERATED {cs0, cs1, cs2, cs3, cs4, cs5, cs6, cs7}
                                                                                    OPTIONAL, -- Need ON OPTIONAL, -- Need ON
                                                 BOOLEAN
         ifdma-Config-SPS-r15 BOOLEAN OPTIONAL, -- Need ON harq-ProcID-offset-r15 INTEGER (0..15) OPTIONAL, -- Need ON rv-SPS-STTI-UL-Repetitions-r15 ENUMERATED {ulrvseq1, ulrvseq2, ulrvseq3} OPTIONAL, --
         ifdma-Config-SPS-r15
Need ON
         sps-ConfigIndex-r15 SPS-ConfigIndex-r15 OPTIONAL, -- Need OR
         tbs-scalingFactorSubslotSPS-UL-Repetitions-r15 ENUMERATED {n6, n12}
                                                                                                 OPTIONAL, --
         totalNumberPUSCH-SPS-STTI-UL-Repetitions-r15 ENUMERATED {n2,n3,n4,n6} OPTIONAL, --
Need ON
-- ASN1STOP
```

### SPS-Config field descriptions

### cyclicShiftSPS, cyclicShiftSPS-sTTI,

Indicates the cyclic shift  $n_{\text{DMRS}}^{(2)}$  to be used for the UE-specific reference signal in case of UL SPS, see TS 36.211 [5] clause 5.2.1.1.

## fixedRV-NonAdaptive

If this field is present and *skipUplinkTxSPS* is configured, non-adaptive retransmissions on configured uplink grant uses redundancy version 0, otherwise the redundancy version for each retransmission is updated based on the sequence of redundancy versions as described in TS 36.321 [6].

## harq-ProcID-offset

If configured, this field indicates the offset used in deriving the HARQ process IDs, see TS 36.321 [6], clause 5.4.1.

## Ifdma-Config-SPS

Indicated  $\varpi$  to be used for the UE-specific reference signal in case of UL SPS see TS 36.211 [5], clause 5.2,1.1.

### implicitReleaseAfter

Number of empty transmissions before implicit release, see TS 36.321 [6], clause 5.10.2. Value e2 corresponds to 2 transmissions, e3 corresponds to 3 transmissions and so on. If *skipUplinkTxSPS* is configured, the UE shall ignore this field.

## n1PUCCH-AN-PersistentList, n1PUCCH-AN-PersistentListP1

List of parameter:  $n_{\mathrm{PUCCH}}^{(1,p)}$  for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 10.1. Field n1-PUCCH-AN-PersistentListP1 is applicable only if the twoAntennaPortActivatedPUCCH-Format1a1b in PUCCH-ConfigDedicated-v1020 is set to true. Otherwise the field is not configured.

### numberOfConfSPS-Processes

The number of configured HARQ processes for downlink Semi-Persistent Scheduling, see TS 36.321 [6].

## numberOfConfSPS-Processes-STTI

The number of configured HARQ processes for downlink Semi-Persistent Scheduling for sTTI in DL, see TS 36.321 [6].

### numberOfConfUISPS-Processes

The number of configured HARQ processes for uplink Semi-Persistent Scheduling, see TS 36.321 [6]. E-UTRAN always configures this field for asynchronous UL HARQ. Otherwise it does not configure this field.

## numberOfConfUL-SPS-Processes-STTI

The number of configured HARQ processes for uplink Semi-Persistent Scheduling for sTTI in UL, see TS 36.321 [6]. E-UTRAN always configures this field for asynchronous UL HARQ. Otherwise it does not configure this field.

## p0-NominalPUSCH-Persistent, p0-NominalSPUSCH-Persistent

Parameter:  $P_{\text{O\_NOMINAL\_PUSCH}}(0)$ . See TS 36.213 [23], clause 5.1.1.1, unit dBm step 1. This field is applicable for persistent scheduling, only. If choice setup is used and p0-Persistent is absent, apply the value of p0-NominalPUSCH for p0-NominalPUSCH-Persistent. If uplink power control subframe sets are configured by tpc-SubframeSet, this field applies for uplink power control subframe set 1.

## p0-NominalPUSCH-PersistentSubframeSet2, p0-NominalSPUSCH-PersistentSubframeSet2

Parameter:  $P_{\rm O\_NOMINAL\_PUSCH}(0)$ . See TS 36.213 [23], clause 5.1.1.1, unit dBm step 1. This field is applicable for persistent scheduling, only. If pO-PersistentSubframeSet2-r12 is not configured, apply the value of pO-NominalPUSCH-SubframeSet2-r12 for pO-NominalPUSCH-PersistentSubframeSet2. E-UTRAN configures this field only if uplink power control subframe sets are configured by tpc-SubframeSet, in which case this field applies for uplink power control subframe set 2.

## p0-UE-PUSCH-Persistent

Parameter:  $P_{\text{O\_UE\_PUSCH}}(0)$ . See TS 36.213 [23], clause 5.1.1.1, unit dB. This field is applicable for persistent scheduling, only. If choice setup is used and p0-Persistent is absent, apply the value of p0-UE-PUSCH for p0-UE-PUSCH-Persistent. If uplink power control subframe sets are configured by tpc-SubframeSet, this field applies for uplink power control subframe set 1.

## p0-UE-PUSCH-PersistentSubframeSet2

Parameter:  $P_{\text{O\_UE\_PUSCH}}(0)$ . See TS 36.213 [23], clause 5.1.1.1, unit dB. This field is applicable for persistent scheduling, only. If p0-PersistentSubframeSet2-r12 is not configured, apply the value of p0-UE-PUSCH-SubframeSet2 for p0-UE-PUSCH-PersistentSubframeSet2. E-UTRAN configures this field only if uplink power control subframe sets are configured by tpc-SubframeSet, in which case this field applies for uplink power control subframe set 2.

## rv-SPS-STTI-UL-Repetitions

## rv-SPS-UL-Repetitions

### semiPersistSchedC-RNTI

Semi-persistent Scheduling C-RNTI, see TS 36.321 [6]. If *sps-Config* is present for more than one cells in the same cell group, *semiPersistSchedC-RNTI* is present in only one *sps-Config*.

## SPS-Config field descriptions

### semiPersistSchedIntervalDL

Semi-persistent scheduling interval in downlink, see TS 36.321 [6]. Value in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. For TDD, the UE shall round this parameter down to the nearest integer (of 10 sub-frames), e.g. sf10 corresponds to 10 sub-frames, sf32 corresponds to 30 sub-frames, sf128 corresponds to 120 sub-frames.

### semiPersistSchedIntervalDL-STTI

Semi-persistent scheduling interval for sTTI in downlink, see TS 36.321 [6]. Value in number of sTTI. Value sTTI1 corresponds to a spacing of 1 sTTI interval, sTTI2 corresponds to 2 spacings of sTTI intervals and so on, e.g. sTTI1 equal to sub-slot of 2 symbols or 3 symbols when the type of 2OS sTTI is configured, or e.g. sTTI1 equal to slot of 7 symbols when type of 7OS sTTI is configured. SPS for sTTI is not supported for TDD.

## semiPersistSchedIntervalSL

Semi-persistent scheduling interval in sidelink, see TS 36.321 [6]. Value in number of sub-frames. Value sf20 corresponds to 20 sub-frames, sf50 corresponds to 50 sub-frames and so on.

## semiPersistSchedIntervalUL

Semi-persistent scheduling interval in uplink, see TS 36.321 [6]. Value in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. For TDD, when the configured Semi-persistent scheduling interval is greater than or equal to 10 sub-frames, the UE shall round this parameter down to the nearest integer (of 10 sub-frames), e.g. sf10 corresponds to 10 sub-frames, sf32 corresponds to 30 sub-frames, sf128 corresponds to 120 sub-frames. If semiPersistSchedIntervalUL-v1430 is configured, the UE only considers this extension (and igno*res semiPersistSchedIntervalUL* i.e. without suffix).

### semiPersistSchedIntervalUL-STTI

Semi-persistent scheduling interval for sTTI in uplink, see TS 36.321 [6]. Value in number of sTTI. Value sTTI1 corresponds to a spacing of 1 sTTI interval, sTTI2 corresponds to 2 spacings of sTTI intervals and so on, e.g. sTTI1 equal to sub-slot of 2 symbols or 3 symbols when the type of 2OS sTTI is configured, or e.g. sTTI1 equal to slot of 7 symbols when type of 7OS sTTI is configured. SPS for sTTI is not supported for TDD.

### sI-SPS-V-RNTI

SL Semi-Persistent Scheduling V-RNTI for V2X sidelink communication, see TS 36.321 [6].

### sps-ConfigIndex

Indicates the index of one of multiple SL/UL SPS configurations.

## sps-ConfigDL-STTI

If sps-ConfigDL-sTTI-r15 is signalled, the UE ignores sps-ConfigDL.

## sps-ConfigSL-ToAddModList

Indicates the SL SPS configurations to be added or modified, identified by SPS-ConfigIndex.

## sps-ConfigSL-ToReleaseList

Indicates the SL SPS configurations to be released, identified by SPS-ConfigIndex.

## sps-ConfigUL-STTI-ToAddModList

Indicates the UL sTTI SPS configurations to be added or modified, identified by SPS-ConfigIndex. If this list includes more than one entry, E-UTRAN includes totalNumberPUSCH-SPS-STTI-UL-Repetitions in each entry.

## sps-ConfigUL-STTI-ToReleaseList

Indicates the UL sTTI SPS configurations to be released, identified by SPS-ConfigIndex.

## sps-ConfigUL-ToAddModList

Indicates the UL SPS configurations to be added or modified, identified by SPS-ConfigIndex. If this list includes more than one entry, E-UTRAN includes *totalNumberPUSCH-SPS-UL-Repetitions* in each entry.

## sps-ConfigUL-ToReleaseList

Indicates the UL SPS configurations to be released, identified by SPS-ConfigIndex.

## sTTI-StartTimeDL

Indicates the DL sTTI index start offset for SPS (re-)initialization, see TS 36.321 [6].

## sTTI-StartTimeUL

Indicates the UL sTTI index start offset for SPS (re-)initialization, see TS 36.321 [6].

### tbs-scalingFactorSubslotSPS-UL-Repetitions

Indicates the TBS scaling factor of subslot PUSCH for UL SPS repetitions. Value n6 corresponds to 1/6 and value n12 corresponds to 1/12.

## totalNumberPUSCH-SPS-STTI-UL-Repetitions

Indicates the total number of UL transmissions for slot or subslot UL SPS repetitions. If the UE is configured with UL SPS and the configured number of SPS PUSCH transmissions k>1, simultaneous transmission of PUSCH and PUCCH is not configured.

## totalNumberPUSCH-SPS-UL-Repetitions

Indicates the total number of UL transmissions for subframe UL SPS repetitions. If the UE is configured with UL SPS and the configured number of SPS PUSCH transmissions k>1, simultaneous transmission of PUSCH and PUCCH is not configured.

## tpc-PDCCH-ConfigPUCCH-SPS

PDCCH configuration for power control of slot/subslot-PUCCH using format 3/3A, see TS 36.212 [22], when SPS-ConfigDL-STTI is configured.

## SPS-Config field descriptions

## tpc-PDCCH-ConfigPUSCH-SPS

PDCCH configuration for power control of slot/subslot-PUSCH using format 3/3A, see TS 36.212 [22], when SPS-ConfigUL-STTI is configured. If a UE is configured with multiple UL SPS configurations in a serving cell, the same TPC index for DCI format 3/3A applies to all the UL SPS configurations in the serving cell.

#### twoIntervalsConfig

Trigger of two-intervals-Semi-Persistent Scheduling in uplink. See TS 36.321 [6], clause 5.10. If this field is present and the configured Semi-persistent scheduling interval greater than or equal to 10 sub-frames, two-intervals-SPS is enabled for uplink. Otherwise, two-intervals-SPS is disabled.

#### ul-SPS-V-RNTI

UL Semi-Persistent Scheduling V-RNTI for UEs capable of multiple uplink SPS configurations and which support V2X communication, see TS 36.321 [6].

Conditional presence	Explanation
TDD	This field is optional present for TDD, need OR; it is not present for FDD and the UE shall
	delete any existing value for this field.
SPS	This field is optional present if sps-ConfigIndex-r14 is not configured, need OR; otherwise
	it is not present.

## SPUCCH-Config

The IE SPUCCH-Config is used to specify the UE specific SPUCCH configuration.

## SPUCCH-Config information element

```
-- ASN1START
SPUCCH-Config-r15 ::= CHOICE {
                                       NULL,
    release
    setup
                                       SEQUENCE {
        spucch-Set-r15
                                          SPUCCH-Set-r15
                                                                OPTIONAL, -- Need ON
        twoAntennaPortActivatedSPUCCH-Formatlalb-r15 ENUMERATED {true} OPTIONAL,
                                                                                                -- Need OR
            n3SPUCCH-AN-List-r15 SEQUENCE {
        dummy
                                                SEQUENCE (SIZE (1..4)) OF INTEGER (0..549)
    }
SPUCCH-Config-v1550 ::= CHOICE {
                                       NULL,
    release
                                       SEQUENCE {
    setup
        twoAntennaPortActivatedSPUCCH-Format3-v1550
                                                            SEQUENCE {
             n3SPUCCH-AN-List-v1550
                                       SEQUENCE (SIZE (1..4)) OF INTEGER (0..549)
SPUCCH-Set-r15 ::= SEQUENCE (SIZE (1..4)) OF SPUCCH-Elements-r15
SPUCCH-Elements-r15 ::= CHOICE {
    release
                                       NULL,
                                       SEQUENCE {
        n1SubslotSPUCCH-AN-List-r15
                                           SEQUENCE (SIZE(1..4)) OF INTEGER (0..1319) OPTIONAL, -- Need
OR
        n1SlotSPUCCH-FH-AN-List-r15
                                           INTEGER (0..1319)
                                                                     OPTIONAL, -- Need OR
                                            INTEGER (0..3959)
        nlSlotSPUCCH-NoFH-AN-List-r15
                                                                      OPTIONAL, -- Need OR
                                                                      OPTIONAL, -- Need OR
        n3SPUCCH-AN-List-r15
                                          INTEGER (0..549)
        n3SPUCCH-AN-List-r15 INTEGER (0..549) OPTIONAL, -- Need OR
n4SPUCCHSlot-Resource-r15 SEQUENCE (SIZE(1..2)) OF N4SPUCCH-Resource-r15 OPTIONAL, --
Need OR
        n4SPUCCHSubslot-Resource-r15
                                           SEQUENCE (SIZE(1..2)) OF N4SPUCCH-Resource-r15 OPTIONAL, --
Need OR
        n4maxCoderateSlotPUCCH-r15
                                          INTEGER (0..7)
                                                                     OPTIONAL, -- Need OR
                                                                      OPTIONAL, -- Need OR
        n4maxCoderateSubslotPUCCH-r15 INTEGER (0..7) OPTIONAL, -- Need n4maxCoderateMultiResourceSlotPUCCH-r15 INTEGER (0..7) OPTIONAL, n4maxCoderateMultiResourceSubslotPUCCH-r15 INTEGER (0..7) OPTIONAL
                                                                                            -- Need OR
                                                                                            -- Need OR
    }
}
N4SPUCCH-Resource-r15 ::= SEQUENCE {
   n4startingPRB-r15
                                       INTEGER (0..109),
```

```
n4numberOfPRB-r15 INTEGER (0..7)
}
-- ASN1STOP
```

## SPUCCH-Config field descriptions

#### dummy

This field is not used in the specification. If received it shall be ignored by the UE.

### n1SlotSPUCCH-FH-AN-List

Resource configuration for slot-SPUCCH format 1 when frequency hopping is enabled. Parameter:  $n_{\text{SPUCCH}}^{(1,p)}$  for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 10.1.

### n1SlotSPUCCH-NoFH-AN-List

Resource configuration for slot-SPUCCH format 1 when frequency hopping is disabled. Parameter:  $n_{\text{SPUCCH}}^{(3,p)}$  for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 10.1.

## n1SubslotSPUCCH-AN-List

Resource configuration for subslot-SPUCCH format 1. Parameter:  $n_{\text{SPUCCH}}^{(1,p)}$  for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23], clause 10.1.

### n3SPUCCH-AN-List

Resource index for slot-SPUCCH format 3:  $n_{\mathrm{SPUCCH}}^{(3,p)}$  , see TS 36.213 [23], clause 10.1.

## n4maxCoderateSlotPUCCH, n4maxCoderateSubslotPUCCH

Indicates the maximum coding rate for slot-PUCCH and subslot-PUCCH format 4 transmission.

## n4maxCoderateMultiResourceSlotPUCCH, n4maxCoderateMultiResourceSubslotPUCCH

Indicates the maximum coding rate for slot-PUCCH and subslot-PUCCH format 4 transmission in case of multiple resource configuration.

### n4numberOfPRB, n4numberOfPRBSubslot

Parameter  $n_{SPUCCH}^{(4)}$  see TS 36.213 [23], Table 10.1.1-2 for determining SPUCCH resource(s) of SPUCCH format 4.

## n4startingPRB

Parameter  $n_{SPUCCH}^{(4)}$  see TS 36.211 [21], clause 5.4A.3 for determining SPUCCH resource(s) of SPUCCH format 4.

## twoAntennaPortActivatedSPUCCH-Format1a1b

Indicates whether two antenna ports are configured for SPUCCH format 1a/1b for HARQ-ACK, see TS 36.213 [23], clause 10.1. The field also applies for SPUCCH format 1a/1b transmission when *format3* is configured, see TS 36.213 [23], clauses 10.1.2.2.2 and 10.1.3.2.2.

## twoAntennaPortActivatedSPUCCH-Format3

Indicates whether two antenna ports are configured for SPUCCH format 3 for HARQ-ACK, see TS 36.213 [23], clause 10.1.

## SRS-TPC-PDCCH-Config

The IE SRS-TPC-PDCCH-Config is used to specify the RNTIs and indexes for A-SRS trigger and TPC according to TS 36.212 [22].

## SRS-TPC-PDCCH-Config information element

```
-- ASN1START
SRS-TPC-PDCCH-Config-r14 ::=
                                                 CHOICE {
    release
                                         NULL.
                                         SEQUENCE {
    setup
        srs-TPC-RNTI-r14
                                                             BIT STRING (SIZE (16)),
        startingBitOfFormat3B-r14
                                                             INTEGER (0..31),
                                                             INTEGER (1..4),
        fieldTypeFormat3B-r14
        srs-CC-SetIndexlist-r14
                                                         SEQUENCE (SIZE(1..4)) OF SRS-CC-SetIndex-r14
    OPTIONAL
               -- Cond SRS-Trigger-TypeA
}
SRS-CC-SetIndex-r14 ::=
                                SEQUENCE {
    cc-SetIndex-r14
                                INTEGER (0..3),
    cc-IndexInOneCC-Set-r14
                                INTEGER (0..7)
-- ASN1STOP
```

## SRS-TPC-PDCCH-Config field descriptions

### cc-IndexInOneCC-Set

Indicates the CC index in one CC set for Type A associated with the group DCI with SRS request field (optional) and TPC commands for a PUSCH-less SCell

#### cc-SetIndex

Indicates the CC set index for Type A associated with the group DCI with SRS request field (optional) and TPC commands for a PUSCH-less SCell.

### fieldTypeFormat3B

The type of a field within the group DCI with SRS request fields (optional) and TPC commands for a PUSCH-less SCell, which indicates how many bits in the field are for SRS request (0 or 1/2) and how many bits in the field are for TPC (1 or 2). Note that for Type A, there is a common SRS request field for all SCells in the set, but each SCell has its own TPC command bits. See TS 36.212 [22], clause 5.3.3.1.7A. EUTRAN configures this field with the same value for all PUSCH-less SCells.

#### srs-CC-SetIndexlist

Indicates the index of the SRS-TPC-PDCCH-Config for Type A trigger by the group DCI with SRS request field (optional) and TPC commands for a PUSCH-less SCell. Each set may contain at most 8 CCs.

## srs-TPC-RNTI

RNTI for SRS trigger and power control using DCI format 3B, see TS 36.212 [22], clause 5.1.3.1.

### startingBitOfFormat3B

The starting bit position of a block within the group DCI with SRS request fields (optional) and TPC commands for a PUSCH-less SCell.

Conditional presence	Explanation
SRS-Trigger-TypeA	The field is mandatory present if <i>typeA-SRS-TPC-PDCCH-Group-r14</i> is present.
	Otherwise the field is not present and the UE shall delete any existing value for this field.

## – TDD-Config

The IE TDD-Config is used to specify the TDD specific physical channel configuration.

## TDD-Config information element

```
-- ASN1START
                                   SEQUENCE {
TDD-Config ::=
    subframeAssignment
                                       ENUMERATED {
                                           sa0, sa1, sa2, sa3, sa4, sa5, sa6},
    specialSubframePatterns
                                        ENUMERATED {
                                           ssp0, ssp1, ssp2, ssp3, ssp4,ssp5, ssp6, ssp7,
                                           ssp8}
}
TDD-Config-v1130 ::=
                                   SEQUENCE {
    specialSubframePatterns-v1130
                                     ENUMERATED {ssp7,ssp9}
TDD-Config-v1430 ::=
                                   SEQUENCE {
                                       ENUMERATED {ssp10}
    specialSubframePatterns-v1430
TDD-Config-v1450 ::=
                                   SEQUENCE {
    specialSubframePatterns-v1450
                                       ENUMERATED {ssp10-CRS-LessDwPTS}
TDD-ConfigSL-r12 ::=
                           SEQUENCE {
    subframeAssignmentSL-r12
                                           ENUMERATED {
                                           none, sa0, sa1, sa2, sa3, sa4, sa5, sa6}
SubframeAssignment-r15 ::= ENUMERATED {sa0, sa1, sa2, sa3, sa4, sa5, sa6}
-- ASN1STOP
```

## TDD-Config field descriptions

### specialSubframePatterns

Indicates Configuration as in TS 36.211 [21], table 4.2-1, where ssp0 points to Configuration 0, ssp1 to Configuration 1 etc. Value ssp7 points to Configuration 7 for extended cyclic prefix, value ssp9 points to Configuration 9 for normal cyclic prefix and value ssp10 points to Configuration 10 for normal cyclic prefix. Value ssp10-CRS-LessDwPTS corresponds to ssp10 without CRS transmission on the 5th symbol of DwPTS. E-UTRAN signals ssp7 only when setting specialSubframePatterns (without suffix i.e. the version defined in REL-8) to ssp4. E-UTRAN signals value ssp9 only when setting specialSubframePatterns (without suffix) to ssp5. E-UTRAN signals value ssp10 or ssp10-CRS-LessDwPTS only when setting specialSubframePatterns (without suffix) to ssp0 or ssp5. If specialSubframePatterns-v1130, specialSubframePatterns-v1430, or specialSubframePatterns-v1450 is present, the UE shall ignore specialSubframePatterns-v1430 or specialSubframePatterns-v1430 is present, the UE shall ignore specialSubframePatterns-v1130. E-UTRAN does not simultanuosly configure simultanuosly config

## subframeAssignment

Indicates DL/UL subframe configuration where sa0 points to Configuration 0, sa1 to Configuration 1 etc. as specified in TS 36.211 [21], table 4.2-2. E-UTRAN configures the same value for serving cells residing on same frequency band.

### subframeAssignmentSL

Indicates UL/ DL subframe configuration where sa0 points to Configuration 0, sa1 to Configuration 1 etc. as specified in TS 36.211 [21], table 4.2-2. The value *none* means that no TDD specific physical channel configuration is applicable (i.e. the carrier on which *MasterInformationBlock-SL* is transmitted is an FDD UL carrier or the carrier on which *MasterInformationBlock-SL-V2X* is transmitted is a carrier for V2X sidelink communication).

## TimeAlignmentTimer

The IE *TimeAlignmentTimer* is used to control how long the UE considers the serving cells belonging to the associated TAG to be uplink time aligned. Corresponds to the Timer for time alignment in TS 36.321 [6]. Value in number of subframes. Value sf500 corresponds to 500 sub-frames, sf750 corresponds to 750 sub-frames and so on.

## TimeAlignmentTimer information element

## TimeReferenceInfo

### TimeReferenceInfo information elements

```
-- ASN1START
TimeReferenceInfo-r15 ::=
                                SEQUENCE {
    time-r15
                                       ReferenceTime-r15,
    uncertainty-r15
                                        INTEGER (0..12)
                                                                   OPTIONAL, -- Need OR
                                                                   OPTIONAL,
    timeInfoType-r15
                                        ENUMERATED {localClock}
                                                                               -- Need OR
    referenceSFN-r15
                                       INTEGER (0..1023)
                                                                   OPTIONAL
                                                                               -- Cond TimeRef
ReferenceTime-r15 ::=
                               SEQUENCE {
                                       INTEGER (0..72999),
   refDays-r15
   refSeconds-r15
                                        INTEGER (0..86399),
    refMilliSeconds-r15
                                        INTEGER (0..999),
                                        INTEGER (0..3999)
    refOuarterMicroSeconds-r15
-- ASN1STOP
```

### TimeReferenceInfo field descriptions

#### referenceSFN

This field indicates the reference SFN for time reference information. The *time* field indicates the time at the ending boundary of the SFN indicated by *referenceSFN*. The UE considers the frame indicated by the *referenceSFN* nearest to the frame where the field is received.

If the *time* field is included in *SystemInformationBlockType16* and the *referenceSFN* field is not included, the *time* field indicates the time at the SFN boundary at or immediately after the ending boundary of the SI-window in which *SystemInformationBlockType16* is transmitted.

### time, timeInfoType

This field indicates time reference with 0.25 us granularity. The indicated time is referenced at the network, i.e., without compensating for RF propagation delay. The indicated time in 0.25 us unit from the origin is refDays\*86400\*1000\*4000 + refSeconds\*1000\*4000 + refMilliSeconds\*4000 + refQuarterMicroSeconds. The refDays field specifies the sequential number of days (with day count starting at 0) from the origin of the time field. If timeInfoType is not included, the origin of the time field is 00:00:00 on Gregorian calendar date 6 January, 1980 (start of GPS time). If timeInfoType is set to localClock, the interpretation of the origin of the time is unspecified and left up to upper layers.

If time field is included in *SystemInformationBlockType16*, this field is excluded when estimating changes in system information, i.e. changes of *time* should neither result in system information change notifications nor in a modification of *systemInfoValueTag* in SIB1.

#### uncertainty

This field indicates the number of LSBs which may be inaccurate in the *refQuarterMicroSeconds* field. If *uncertainty* is absent, the uncertainty of *refQuarterMicroSeconds* is not specified.

Conditional presence	Explanation
TimeRef	The field is mandatory present if <i>TimeReferenceInfo</i> is included in <i>DLInformationTransfer</i>
	message; otherwise the field is not present.

## TPC-PDCCH-Config

The IE *TPC-PDCCH-Config* is used to specify the RNTIs and indexes for PUCCH and PUSCH power control according to TS 36.212 [22]. The power control function can either be setup or released with the IE.

## TPC-PDCCH-Config information element

```
-- ASN1START
TPC-PDCCH-Config ::=
                                         CHOICE {
    release
                                         NULL,
                                         SEQUENCE {
    setup
                                              BIT STRING (SIZE (16)),
        tpc-RNTI
        tpc-Index
                                              TPC-Index
TPC-PDCCH-ConfigSCell-r13 ::=
                                                  CHOICE {
    release
                                         NULL.
                                         SEQUENCE {
    setup
        tpc-Index-PUCCH-SCell-r13
                                         TPC-Index
TPC-Index ::=
                                         CHOICE {
                                              INTEGER (1..15),
    indexOfFormat3
    indexOfFormat3A
                                              INTEGER (1..31)
-- ASN1STOP
```

## TPC-PDCCH-Config field descriptions

### indexOfFormat3

Index of N when DCI format 3 is used. See TS 36.212 [22], clause 5.3.3.1.6.

#### IndexOfFormat3A

Index of M when DCI format 3A is used. See TS 36.212 [22], clause 5.3.3.1.7.

## tpc-Index

Index of N or M, see TS 36.212 [22], clauses 5.3.3.1.6 and 5.3.3.1.7, where N or M is dependent on the used DCI format (i.e. format 3 or 3a).

## tpc-Index-PUCCH-SCell

Index of N or M, see TS 36.212 [22], clauses 5.3.3.1.6 and 5.3.3.1.7, where N or M is dependent on the used DCI format (i.e. format 3 or 3a).

#### tpc-RNTI

RNTI for power control using DCI format 3/3A, see TS 36.212 [22].

## TunnelConfigLWIP

The IE *TunnelConfigLWIP* is used to setup/release LWIP Tunnel.

```
-- ASN1START
TunnelConfigLWIP-r13 ::= SEQUENCE {
  IKE-Identity-r13,
     Π
                                     OPTIONAL
                                               -- Cond LWIP-Setup
}
IKE-Identity-r13 ::= SEQUENCE {
   idT-r13
                    OCTET STRING
IP-Address-r13 ::= CHOICE {
                       BIT STRING (SIZE (32)),
  ipv4-r13
   ipv6-r13
                       BIT STRING (SIZE (128))
-- ASN1STOP
```

## TunnelConfigLWIP field descriptions

### ip-Address

Parameter indicates the LWIP-SeGW IP Address to be used by the UE for initiating LWIP Tunnel establishment [32].

## ike-Identity

Parameter indicates the IKE Identity elements (IDi) to be used in IKE Authentication Procedures [32].

### Iwip-Counter

Indicates the parameter used by UE for computing the security keys used in LWIP tunnel establishment, as specified in TS 33.401 [32].

Conditional presence	Explanation
LWIP-Setup	The field is mandatory present upon setup of LWIP tunnel. Otherwise the field is optional, Need ON.

## UplinkPowerControl

The IE *UplinkPowerControlCommon* and IE *UplinkPowerControlDedicated* are used to specify parameters for uplink power control in the system information and in the dedicated signalling, respectively.

### UplinkPowerControl information elements

```
-- ASN1START

UplinkPowerControlCommon ::= SEQUENCE {
   p0-NominalPUSCH INTEGER (-126..24),
   alpha Alpha-r12,
```

```
p0-NominalPUCCH
                                       INTEGER (-127..-96),
    deltaFList-PUCCH
                                       DeltaFList-PUCCH,
    deltaPreambleMsq3
                                       INTEGER (-1..6)
}
UplinkPowerControlCommon-v1020 ::= SEQUENCE {
    deltaF-PUCCH-Format3-r10
                                           ENUMERATED {deltaF-1, deltaF0, deltaF1, deltaF2,
                                                       deltaF3, deltaF4, deltaF5, deltaF6},
    deltaF-PUCCH-Format1bCS-r10
                                           ENUMERATED {deltaF1, deltaF2, spare2, spare1}
}
UplinkPowerControlCommon-v1310 ::= SEQUENCE {
                                     ENUMERATED {deltaF16, deltaF15, deltaF14, deltaF13, deltaF12,
   deltaF-PUCCH-Format4-r13
                                     deltaF11, deltaF10, spare1}
                                                                         OPTIONAL, -- Need OR
    deltaF-PUCCH-Format5-13
                                     ENUMERATED { deltaF13, deltaF12, deltaF11, deltaF10, deltaF9,
                                     deltaF8, deltaF7, spare1} OPTIONAL -- Need OR
UplinkPowerControlCommon-v1530 ::= SEQUENCE {
    deltaFList-SPUCCH-r15 DeltaFList-SPUCCH-r15
UplinkPowerControlCommonPSCell-r12 ::= SEQUENCE {
-- For uplink power control the additional/ missing fields are signalled (compared to SCell)
                                         ENUMERATED {deltaF-1, deltaF0, deltaF1, deltaF2,
   deltaF-PUCCH-Format3-r12
                                                       deltaF3, deltaF4, deltaF5, deltaF6},
   deltaF-PUCCH-Format1bCS-r12
                                           ENUMERATED {deltaF1, deltaF2, spare2, spare1},
   p0-NominalPUCCH-r12
                                           INTEGER (-127..-96),
   deltaFList-PUCCH-r12
                                           DeltaFList-PUCCH
}
UplinkPowerControlCommonSCell-r10 ::= SEQUENCE {
   p0-NominalPUSCH-r10
                                       INTEGER (-126..24),
    alpha-r10
                                       Alpha-r12
UplinkPowerControlCommonSCell-v1130 ::= SEQUENCE {
                                       INTEGER (-1..6)
    deltaPreambleMsg3-r11
UplinkPowerControlCommonSCell-v1310 ::= SEQUENCE {
-- For uplink power control the additional/ missing fields are signalled (compared to SCell)
    p0-NominalPUCCH
                                           INTEGER (-127..-96),
    deltaFList-PUCCH
                                           DeltaFList-PUCCH,
    deltaF-PUCCH-Format3-r12
                                           ENUMERATED {deltaF-1, deltaF0, deltaF1,
                                           deltaF2, deltaF3, deltaF4, deltaF5,
                                           deltaF6}
                                                                          OPTIONAL,
                                                                                       -- Need OR
   deltaF-PUCCH-Format1bCS-r12
                                           ENUMERATED {deltaF1, deltaF2,
                                           spare2, spare1}
                                                                          OPTIONAL,
                                                                                       -- Need OR
                                           ENUMERATED {deltaF16, deltaF15, deltaF14,
   deltaF-PUCCH-Format4-r13
                                           deltaF13, deltaF12, deltaF11, deltaF10,
                                                                          OPTIONAL,
                                                                                       -- Need OR
                                           spare1}
                                           ENUMERATED { deltaF13, deltaF12, deltaF11,
    deltaF-PUCCH-Format5-13
                                           deltaF10, deltaF9, deltaF8, deltaF7,
                                                                           OPTIONAL
                                                                                      -- Need OR
UplinkPowerControlCommonPUSCH-LessCell-v1430 ::=
                                                 SEQUENCE {
                                                  INTEGER (-126..24) OPTIONAL, optional.
    p0-Nominal-PeriodicSRS-r14
                                                                                      -- Need OR
                                                                          OPTIONAL,
    p0-Nominal-AperiodicSRS-r14
                                                                                      -- Need OR
                                                                                      -- Need OR
    alpha-SRS-r14
                                               Alpha-r12
}
UplinkPowerControlDedicated ::= SEQUENCE {
   p0-UE-PUSCH
                                       INTEGER (-8..7),
    deltaMCS-Enabled
                                       ENUMERATED {en0, en1},
   accumulationEnabled
                                       BOOLEAN,
    p0-UE-PUCCH
                                       INTEGER (-8..7),
    pSRS-Offset
                                       INTEGER (0..15),
    filterCoefficient
                                       FilterCoefficient
                                                                          DEFAULT fc4
}
UplinkPowerControlDedicated-v1020 ::= SEQUENCE {
                                                                           OPTIONAL,
   deltaTxD-OffsetListPUCCH-r10 DeltaTxD-OffsetListPUCCH-r10
                                                                                       -- Need OR
   pSRS-OffsetAp-r10
                                      INTEGER (0..15)
                                                                           OPTIONAL
                                                                                       -- Need OR
}
```

```
UplinkPowerControlDedicated-v1130 ::= SEQUENCE {
   pSRS-OffsetAp-v1130
                                          INTEGER (16..31)
                                                                         OPTIONAL,
                                                                                     -- Need OR
                                         INTEGER (16..31)
                                                                         OPTIONAL,
                                                                                    -- Need OR
   deltaTxD-OffsetListPUCCH-v1130
                                         DeltaTxD-OffsetListPUCCH-v1130 OPTIONAL
                                                                                    -- Need OR
UplinkPowerControlDedicated-v1250 ::= SEQUENCE {
   set2PowerControlParameter CHOICE {
       release
                                   NULL,
          tpc-SubframeSet-r12
                                    SEQUENCE {
       setup
                                           BIT STRING (SIZE(10)),
           p0-NominalPUSCH-SubframeSet2-r12
                                               INTEGER (-126..24),
           alpha-SubframeSet2-r12
p0-UE-PUSCH-SubframeSet2-r12
                                             Alpha-r12,
                                             INTEGER (-8..7)
   }
}
UplinkPowerControlDedicated-v1530 ::= SEQUENCE {
   alpha-UE-r15
                              Alpha-r12
                                                                 OPTIONAL,
                                                                             -- Need OR
                                                                            -- Need OR
                              INTEGER (-16..15)
                                                                 OPTIONAL
UplinkPowerControlDedicatedSTTI-r15 ::= SEQUENCE {
   accumulationEnabledSTTI-r15 BOOLEAN,
deltaTxD-OffsetListSPUCCH-r15 DeltaTxD-OffsetListSPUCCH-r15 OPTIONAL, -- Need OR
   uplinkPower-CSIPayload
                                 BOOLEAN
}
UplinkPUSCH-LessPowerControlDedicated-v1430 ::=
                                                 SEQUENCE {
   p0-UE-PeriodicSRS-r14
                                             INTEGER (-8..7)
                                                                    OPTIONAL,
                                                                                -- Need OR
                                             INTEGER (-8..7)
                                                                   OPTIONAL,
   p0-UE-AperiodicSRS-r14
   accumulationEnabled-r14
                                              BOOLEAN
}
UplinkPowerControlDedicatedSCell-r10 ::=
   SEQUENCE INTEGER (-8..7), delta.
   p0-UE-PUSCH-r10
                                         ENUMERATED {en0, en1},
   accumulationEnabled-r10 BOOLEAN,
   pSRS-Offset-r10
pSRS-OffsetAp-r10
                                     INTEGER (0..15),
   filterCoefficient-r10
                                                                   OPTIONAL,
                                      INTEGER (0..15)
                                                                                -- Need OR
                                      FilterCoefficient
                                                                       DEFAULT fc4,
   pathlossReferenceLinking-r10
                                    ENUMERATED {pCell, sCell}
UplinkPowerControlDedicatedSCell-v1310 ::= SEQUENCE {
--Release 8
   p0-UE-PUCCH
                                     INTEGER (-8..7),
--Release 10
                                    DeltaTxD-OffsetListPUCCH-r10 OPTIONAL -- Need OR
   deltaTxD-OffsetListPUCCH-r10
Alpha-r12 ::=
                                 ENUMERATED {al0, al04, al05, al06, al07, al08, al09, al1}
DeltaFList-PUCCH ::=
                                 SEQUENCE {
                                 ENUMERATED {deltaF-2, deltaF0, deltaF2}, ENUMERATED {deltaF1, deltaF3, deltaF5},
   deltaF-PUCCH-Format1
   deltaF-PUCCH-Format1b
                               ENUMERATED {deltaf, deltaf, deltaf, deltaf2}, ENUMERATED {deltaf-2, deltaf0, deltaf2}, ENUMERATED {deltaf-2, deltaf0, deltaf2}
   deltaF-PUCCH-Format2
   deltaF-PUCCH-Format2a
   deltaF-PUCCH-Format2b
}
DeltaFList-SPUCCH-r15 ::= CHOICE {
       release
                              NULL.
                              SEQUENCE {
       setup
   deltaF3, deltaF4, deltaF5, deltaF6} OPTIONAL, --Need OR
   deltaF-slotSPUCCH-Formatla-r15 ENUMERATED {deltaF1, deltaF2, deltaF3, deltaF4,
                                     deltaF5, deltaF6, deltaF7, deltaF8} OPTIONAL, --Need OR
   deltaF-slotSPUCCH-Format1b-r15 ENUMERATED {deltaF3, deltaF4, deltaF5, deltaF6,
                                     deltaF7, deltaF8, deltaF9, deltaF10} OPTIONAL, -- Need OR
   deltaF-slotSPUCCH-Format3-r15 ENUMERATED {deltaF4, deltaF5, deltaF6, deltaF7,
                                     deltaF8, deltaF9, deltaF10, deltaF11} OPTIONAL, -- Need OR
   deltaF-slotSPUCCH-RM-Format4-r15
                                     ENUMERATED {deltaF13, deltaF14, deltaF15, deltaF16,
                                      deltaF17, deltaF18, deltaF19, deltaF20} OPTIONAL,
   deltaF-slotSPUCCH-TBCC-Format4-r15 ENUMERATED {deltaF10, deltaF11, deltaF12, deltaF13,
                                          deltaF14, deltaF15, deltaF16, deltaF17} OPTIONAL,
```

```
--Need OR
    deltaF-subslotSPUCCH-Formatland1a-r15 ENUMERATED {deltaF5, deltaF6, deltaF7, deltaF8,
                                                   deltaF9, deltaF10, deltaF11, deltaF12} OPTIONAL,
--Need OR
   deltaF-subslotSPUCCH-Formatlb-r15 ENUMERATED {deltaF6, deltaF7, deltaF8, deltaF9,
                                              deltaF10, deltaF11, deltaF12, deltaF13} OPTIONAL,
--Need OR
    deltaF-subslotSPUCCH-RM-Format4-r15 ENUMERATED {deltaF15, deltaF16, deltaF17, deltaF18,
                                               deltaF19, deltaF20, deltaF21, deltaF22} OPTIONAL,
   deltaF-subslotSPUCCH-TBCC-Format4-r15 ENUMERATED {deltaF10, deltaF11, deltaF12, deltaF13,
                                                   deltaF14, deltaF15, deltaF16, deltaF17} OPTIONAL,
--Need OR
DeltaTxD-OffsetListPUCCH-r10 ::= SEQUENCE {
   deltaTxD-OffsetPUCCH-Format1-r10 ENUMERATED {dB0, dB-2}, deltaTxD-OffsetPUCCH-Format1alb-r10 ENUMERATED {dB0, dB-2},
    deltaTxD-OffsetPUCCH-Formatlalb-r10 ENUMERATED {dB0, dB-2}, deltaTxD-OffsetPUCCH-Format22a2b-r10 ENUMERATED {dB0, dB-2}, deltaTxD-OffsetPUCCH-Format3-r10 ENUMERATED {dB0, dB-2},
}
DeltaTxD-OffsetListPUCCH-v1130 ::= SEQUENCE {
   deltaTxD-OffsetPUCCH-Format1bCS-r11 ENUMERATED {dB0, dB-1}
DeltaTxD-OffsetListSPUCCH-r15 ::= SEQUENCE {
   deltaTxD-OffsetSPUCCH-Format1-r15 ENUMERATED {dB0, dB-2},
   }
-- ASN1STOP
```

## UplinkPowerControl field descriptions

### accumulationEnabled, accumulationEnabledSTTI

Parameter: Accumulation-enabled, see TS 36.213 [23], clauses 5.1.1.1 and 5.1.3.1. TRUE corresponds to "enabled" whereas FALSE corresponds to "disabled".

#### alpha

Parameter:  $\alpha$  See TS 36.213 [23], clause 5.1.1.1, where all corresponds to 0, all04 corresponds to value 0.4, all05 to 0.5, all06 to 0.6, all07 to 0.7, all08 to 0.8, all09 to 0.9 and all1 corresponds to 1. This field applies for uplink power control subframe set 1 if uplink power control subframe sets are configured by *tpc-SubframeSet*.

### alpha-SRS

Parameter:  $\alpha_{SRS}$ . See TS 36.213 [23], clause 5.1.3.1, where all corresponds to 0, all04 corresponds to value 0.4, all05 to 0.5, all06 to 0.6, all07 to 0.7, all08 to 0.8, all09 to 0.9 and all corresponds to 1. This field applies for SRS power control on a PUSCH-less SCell.

## alpha-SubframeSet2

Parameter: α. See TS 36.213 [23], clause 5.1.1.1, where all corresponds to 0, all04 corresponds to value 0.4, all05 to 0.5, all06 to 0.6, all07 to 0.7, all08 to 0.8, all09 to 0.9 and all corresponds to 1. This field applies for uplink power control subframe set 2 if uplink power control subframe sets are configured by *tpc-SubframeSet*.

#### alpha-UE

Parameter:  $\alpha_{UE}$  See TS 36.213 [23], clause 5.1.1.1, where all corresponds to 0, al04 corresponds to value 0.4, al05 to 0.5, al06 to 0.6, al07 to 0.7, al08 to 0.8, al09 to 0.9 and al1 corresponds to 1.

## deltaF-PUCCH-FormatX

Parameter:  $\Delta_{F\_PUCCH}(F)$  for the PUCCH formats 1, 1b, 2, 2a, 2b, 3, 4, 5 and 1b with channel selection. See TS 36.213 [23], clause 5.1.2, where deltaF-2 corresponds to -2 dB, deltaF0 corresponds to 0 dB and so on.

## deltaF-PUCCH-FormatX, deltaF-slotSPUCCH-FormatX, deltaF-subslotSPUCCH-FormatX

Parameter:  $\Delta_{F,PUCCH}(F)$  for the SPUCCH formats 1, 1a, 1b, 3 and 4. See TS 36.213 [23], clause 5.1.2 where

deltaF-2 corresponds to -2 dB, deltaF0 corresponds to 0 dB and so on. In case both an A and a B configuration exist, configuration A is used in case SPUCCH carries ≤ 22 HARQ-ACK bits, and B otherwise.

### deltaMCS-Enabled

Parameter: Ks See TS 36.213 [23], clause 5.1.1.1. en0 corresponds to value 0 corresponding to state "disabled". en1 corresponds to value 1.25 corresponding to "enabled".

### deltaPreambleMsg3

Parameter:  $\Delta_{PREAMBLE-MSg.3}$  see TS 36.213 [23], clause 5.1.1.1. Actual value = field value \* 2 [dB].

## deltaTxD-OffsetPUCCH-FormatX

Parameter:  $\Delta_{TxD}(F')$  for the PUCCH formats 1, 1a/1b, 1b with channel selection, 2/2a/2b and 3 when two antenna ports are configured for PUCCH transmission. See TS 36.213 [23], clause 5.1.2.1, where dB0 corresponds to 0 dB, dB-1 corresponds to -1 dB, dB-2 corresponds to -2 dB. EUTRAN configures the field deltaTxD-OffsetPUCCH-Format1bCS-r11 for the PCell and/or the PSCell only.

## deltaTxD-OffsetSPUCCH-FormatX

Parameter:  $\Delta_{TxD}(F')$  for the SPUCCH formats 1, 1a/1b, 1b with channel selection and 3 when two antenna ports are configured for SPUCCH transmission. See TS 36.213 [23], clause 5.1.2.1 where dB0 corresponds to 0 dB, dB-1 corresponds to -1 dB, dB-2 corresponds to -2 dB.

## filterCoefficient

Specifies the filtering coefficient for RSRP measurements used to calculate path loss, as specified in TS 36.213 [23], clause 5.1.1.1. The same filtering mechanism applies as for *quantityConfig* described in 5.5.3.2.

## p0-Nominal-AperiodicSRS

Parameter:  $P_{\rm O~NOMINAL~SRS.c}(m)$  where m=1. See TS 36.213 [23], clause 5.1.3.1, unit dBm.

## p0-Nominal-PeriodicSRS

Parameter:  $P_{\text{O\_NOMINAL SRS,c}}(m)$  where m=0. See TS 36.213 [23], clause5.1.3.1, unit dBm.

## p0-NominalPUCCH

Parameter:  $P_{\rm O\_NOMINAL~PUCCH}$  See TS 36.213 [23], clause 5.1.2.1, unit dBm.

## p0-NominalPUSCH

Parameter:  $P_{\text{O\_NOMINAL\_PUSCH}}(1)$  See TS 36.213 [23], clause 5.1.1.1, unit dBm. This field is applicable for non-persistent scheduling only. This field applies for uplink power control subframe set 1 if uplink power control subframe sets are configured by *tpc-SubframeSet*.

## p0-NominalPUSCH-SubframeSet2

Parameter:  $P_{\text{O\_NOMINAL\_PUSCH}}(1)$ . See TS 36.213 [23], clause 5.1.1.1, unit dBm. This field is applicable for non-persistent scheduling only. This field applies for uplink power control subframe set 2 if uplink power control subframe sets are configured by tpc-SubframeSet.

## p0-UE-AperiodicSRS

Parameter:  $P_{\text{O LIE SRS c}}(m)$  where m=1. See TS 36.213 [23], clause 5.1.3.1, unit dB.

### UplinkPowerControl field descriptions

### p0-UE-PeriodicSRS

Parameter:  $P_{\text{O UE SRS.c}}(m)$  where m=0. See TS 36.213 [23], clause 5.1.3.1, unit dB.

## p0-UE-PUCCH

Parameter:  $P_{
m O~UE~PUCCH}$  See TS 36.213 [23], clause 5.1.2.1. Unit dB

## p0-UE-PUSCH

Parameter:  $P_{\text{O\_UE\_PUSCH}}$  (1) See TS 36.213 [23], clause 5.1.1.1, unit dB. This field is applicable for non-persistent scheduling, only. This field applies for uplink power control subframe set 1 if uplink power control subframe sets are configured by tpc-SubframeSet. If po-UE-PUSCH-r15 is included, the UE ignores po-UE-PUSCH (i.e., without suffix).

#### p0-UE-PUSCH-SubframeSet2

Parameter:  $P_{\text{O\_UE\_PUSCH}}$  (1) See TS 36.213 [23], clause 5.1.1.1, unit dB. This field is applicable for non-persistent scheduling, only. This field applies for uplink power control subframe set 2 if uplink power control subframe sets are configured by tpc-SubframeSet.

### pathlossReferenceLinking

Indicates whether the UE shall apply as pathloss reference either the downlink of the PCell or of the SCell that corresponds with this uplink (i.e. according to the *cellIdentification* within the field *sCellToAddMod*). For SCells part of an STAG E-UTRAN sets the value to sCell.

### pSRS-Offset, pSRS-OffsetAp

Parameter:  $P_{SRS\_OFFSET}$  for periodic and aperiodic sounding reference signal transmission repectively. See TS 36.213 [23], clause 5.1.3.1. For Ks=1.25, the actual parameter value is pSRS-Offset value -3. For Ks=0, the actual parameter value is -10.5 + 1.5\*pSRS-Offset value.

If *pSRS-Offset-v1130* is included, the UE ignores *pSRS-Offset* (i.e., without suffix). Likewise, if *pSRS-OffsetAp-v1130* is included, the UE ignores *pSRS-OffsetAp-r10*. For *Ks*=0, E-UTRAN does not set values larger than 26.

#### tpc-SubframeSea

Indicates the uplink subframes (including UpPTS in special subframes) of the uplink power control subframe sets. Value 0 means the subframe belongs to uplink power control subframe set 1, and value 1 means the subframe belongs to uplink power control subframe set 2.

### uplinkPower-CSIPayload

TRUE indicates that the UE shall derive BPRE based on the actual value of O\_CQI for slot/subslot-PUSCH, whereas FALSE indicates that the largest value of O\_CQI across all RI values shall be used for the derivation of BPRE for slot/subslot-PUSCH.

## WLAN-Id-List

The IE WLAN-Id-List is used to list WLAN(s) for configuration of WLAN measurements and WLAN mobility set.

```
-- ASN1START

WLAN-Id-List-r13 ::= SEQUENCE (SIZE (1..maxWLAN-Id-r13)) OF WLAN-Identifiers-r12

-- ASN1STOP
```

## WLAN-MobilityConfig

The IE *WLAN-MobilityConfig* is used for configuration of WLAN mobility set and WLAN Status Reporting. E-UTRAN configures at least one WLAN identifier in the *WLAN-MobilityConfig*.

```
-- ASN1START
WLAN-MobilityConfig-r13 ::=
   wlan-ToReleaseList-r13
                                        WLAN-Id-List-r13
                                                                    OPTIONAL,
                                                                                 -- Need ON
   wlan-ToAddList-r13
                                        WIAN-Id-List-r13
                                                                                 -- Need ON
                                                                    OPTIONAL,
   associationTimer-r13
                                        ENUMERATED {s10, s30,
                                        s60, s120, s240}
                                                                     OPTIONAL,
                                                                                 -- Need OR
   successReportRequested-r13
                                       ENUMERATED {true}
                                                                    OPTIONAL,
                                                                                 -- Need OR
       wlan-SuspendConfig-r14
                                       WLAN-SuspendConfig-r14
                                                                    OPTIONAL
                                                                                 -- Need ON
-- ASN1STOP
```

## WLAN-MobilityConfig field descriptions

#### associationTimer

Indicates the maximum time for connection to WLAN before connection failure reporting is initiated. Value s10 means 10 seconds, value s30 means 30 seconds and so on. E-UTRAN includes associationTimer only upon change in WLAN mobility set, *Iwa-WT-Counter* or *Iwip-Counter*.

### successReportRequested

Indicates whether the UE shall report successful connection to WLAN. Applicable to LWA and LWIP.

#### wlan-ToAddList

Indicates the WLAN identifiers to be added to the WLAN mobility set.

#### wlan-ToReleaseList

Indicates the WLAN identifiers to be removed from the WLAN mobility set.

## WUS-Config

The IE *WUS-Config* is used to specify the WUS configuration. For the UEs supporting WUS, E-UTRAN uses WUS to indicate that the UE shall attempt to receive paging in that cell, see TS 36.304 [4].

## WUS-Config information element

```
-- ASN1START
WUS-Config-r15 ::=
                               SEQUENCE {
                                           ENUMERATED {one32th, one16th, one8th, one4th},
   maxDurationFactor-r15
   numPOs-r15
                                   ENUMERATED {n1, n2, n4, spare1}
                                                                      DEFAULT n1,
   freqLocation-r15
                                      ENUMERATED {n0, n2, n4, spare1},
                                  ENUMERATED {ms40, ms80, ms160, ms240},
   timeOffsetDRX-r15
                                   ENUMERATED {ms40, ms80, ms160, ms240},
   timeOffset-eDRX-Short-r15
   timeOffset-eDRX-Long-r15
                                   ENUMERATED {ms1000, ms2000}
                                                                  OPTIONAL
                                                                               -- Need OP
WUS-Config-v1560 ::=
                              SEQUENCE {
                                   ENUMERATED {dB0, dB1dot8, dB3, dB4dot8}
   powerBoost-r15
-- ASN1STOP
```

## WUS-Config field descriptions

### fregLocation

Frequency location of WUS within paging narrowband for BL UEs and UEs in CE. Value *n0* corresponds to WUS in the 1st and 2nd PRB, value *n2* represents the 3rd and 4th PRB, and value *n4* represents the 5th and 6th PRB.

## maxDurationFactor

Maximum WUS duration, expressed as a ratio of Rmax associated with Type 1-CSS, see TS 36.211 [21]. Value one 32th corresponds to Rmax \* 1/32, value one 16th corresponds to Rmax \* 1/16 and so on.

The value  $L_{\text{MWUS}_{\text{max}}}$  in TS 36.213 [23] considered by the UE is : maxDuration = Max (signalled value \* Rmax, 1) where Rmax is the value of *mpdcch-NumRepetitionPaging* for the carrier.

### numPOs

Number of consecutive Paging Occasions (PO) mapped to one WUS, applicable to UEs configured to use extended DRX, see TS 36.304 [4]. Value *n1* corresponds to 1 PO, value *n2* corresponds to 2 POs and so on.

### powerBoost

Power offset of WUS relative to CRS in dB, see TS 36.213 [23] clause 5.2. Value *db0* corresponds to 0dB, value *db1dot8* corresponds to 1.8dB, and so on.

### timeOffsetDRX

Minimum time gap in milliseconds from the end of the configured maximum WUS duration to the first associated PO, see TS 36.211 [21]. Value *ms40* corresponds to 40 ms, value *ms80* corresponds to 80 ms and so on.

## timeOffset-eDRX-Short

When eDRX is used, the short non-zero gap in milliseconds from the end of the configured maximum WUS duration to the associated PO, see TS 36.211 [21]. Value *ms40* corresponds to 40 ms, value *ms80* corresponds to 80 ms and so on.

E-UTRAN configures timeOffset-eDRX-Short to a value longer than or equal to timeOffsetDRX.

## timeOffset-eDRX-Long

When eDRX is used, the long non-zero gap in milliseconds from the end of the configured maximum WUS duration to the associated PO, see TS 36.211 [21]. Value *ms1000* corresponds to 1000 ms and value *ms2000* corresponds to 2000 ms.

If the field is absent, UE uses timeOffset-eDRX-Short for monitoring WUS.

# 6.3.3 Security control information elements

# NextHopChainingCount

The IE *NextHopChainingCount* is used to update the K<sub>eNB</sub> key and corresponds to parameter NCC: See TS 33.401 [32], clause 7.2.8.4.

# NextHopChainingCount information element

```
-- ASN1START

NextHopChainingCount ::= INTEGER (0..7)

-- ASN1STOP
```

# SecurityAlgorithmConfig

The IE SecurityAlgorithmConfig is used to configure AS integrity protection algorithm (SRBs) and AS ciphering algorithm (SRBs and DRBs). For RNs, the IE SecurityAlgorithmConfig is also used to configure AS integrity protection algorithm for DRBs between the RN and the E-UTRAN.

# SecurityAlgorithmConfig information element

```
-- ASN1START

SecurityAlgorithmConfig ::= SEQUENCE {
    cipheringAlgorithm CipheringAlgorithm CipheringAlgorithm CipheringAlgorithm ENUMERATED {
        eia0-v920, eia1, eia2, eia3-v1130, spare4, spare3, spare2, spare1, ...}

CipheringAlgorithm-r12 ::= ENUMERATED {
        eea0, eea1, eea2, eea3-v1130, spare4, spare3, spare2, spare1, ...}

-- ASN1STOP
```

# SecurityAlgorithmConfig field descriptions

# cipheringAlgorithm

Indicates the ciphering algorithm to be used for SRBs and DRBs, as specified in TS 33.401 [32], clause 5.1.3.2.

#### integrityProtAlgorithm

Indicates the integrity protection algorithm to be used for SRBs, as specified in TS 33.401 [32], clause 5.1.4.2. For RNs, also indicates the integrity protection algorithm to be used for integrity protection-enabled DRB(s).

#### ShortMAC-I

The IE *ShortMAC-I* is used to identify and verify the UE at RRC connection re-establishment. The 16 least significant bits of the MAC-I calculated using the security configuration of the source PCell, as specified in 5.3.7.4.

# ShortMAC-I information element

```
-- ASN1START

ShortMAC-I ::= BIT STRING (SIZE (16))

-- ASN1STOP
```

# 6.3.4 Mobility control information elements

# AdditionalSpectrumEmission

If an extension is signalled using the extended value range (as defined by IE *AdditionalSpectrumEmission-v10l0*), the corresponding original field, using the value range as defined by IE *AdditionalSpectrumEmission* i.e. without suffix) shall be set to value 32, if signalled. UE supporting an LTE band assigned NS values larger than 32 as defined in TS 36.101 [42], clause 6.2.4, needs to support extension signaling (as defined by IE *AdditionalSpectrumEmission-v10l0*).

# AdditionalSpectrumEmission information element

```
-- ASN1START

AdditionalSpectrumEmission ::= INTEGER (1..32)

AdditionalSpectrumEmission-v1010 ::= INTEGER (33..288)

-- ASN1STOP
```

# AdditionalSpectrumEmissionNR

The IE *AdditionalSpectrumEmissionNR* is used to indicate NR emission requirements to be fulfilled by the UE (see TS 38.101-1 [85], clause 6.5.3.3, and TS 38.101-2 [100], clause 6.5.3.2 and TS 38.101-3 [101], clause 6.5B.2)

# AdditionalSpectrumEmissionNR information element

```
-- ASN1START

AdditionalSpectrumEmissionNR-r15 ::= INTEGER (0..7)

-- ASN1STOP
```

# ARFCN-ValueCDMA2000

The IE *ARFCN-ValueCDMA2000* used to indicate the CDMA2000 carrier frequency within a CDMA2000 band, see C.S0002 [12].

#### ARFCN-ValueCDMA2000 information element

```
-- ASN1START

ARFCN-ValueCDMA2000 ::= INTEGER (0..2047)

-- ASN1STOP
```

# ARFCN-ValueEUTRA

The IE *ARFCN-ValueEUTRA* is used to indicate the ARFCN applicable for a downlink, uplink or bi-directional (TDD) E-UTRA carrier frequency, as defined in TS 36.101 [42]. If an extension is signalled using the extended value range (as defined by IE *ARFCN-ValueEUTRA-v9e0*), the UE shall only consider this extension (and hence ignore the corresponding original field, using the value range as defined by IE *ARFCN-ValueEUTRA* i.e. without suffix, if signalled). In dedicated signalling, E-UTRAN only provides an EARFCN corresponding to an E-UTRA band supported by the UE.

#### ARFCN-ValueEUTRA information element

```
-- ASN1START

ARFCN-ValueEUTRA ::= INTEGER (0..maxEARFCN)

ARFCN-ValueEUTRA-v9e0 ::= INTEGER (maxEARFCN-Plus1..maxEARFCN2)

ARFCN-ValueEUTRA-r9 ::= INTEGER (0..maxEARFCN2)
```

NOTE:

For fields using the original value range, as defined by IE *ARFCN-ValueEUTRA* i.e. without suffix, value *maxEARFCN* indicates that the E-UTRA carrier frequency is indicated by means of an extension. In such a case, UEs not supporting the extension consider the field to be set to a not supported value.

#### ARFCN-ValueGERAN

The IE ARFCN-ValueGERAN is used to specify the ARFCN value applicable for a GERAN BCCH carrier frequency, see TS 45.005 [20].

# ARFCN-ValueGERAN information element

```
-- ASN1START

ARFCN-ValueGERAN ::= INTEGER (0..1023)

-- ASN1STOP
```

#### ARFCN-ValueNR

The IE *ARFCN-ValueNR* is used to indicate the ARFCN applicable for a downlink, uplink or bi-directional (TDD) NR carrier frequency, as defined in TS 38.101 [85].

#### ARFCN-ValueNR information element

```
-- ASN1START

ARFCN-ValueNR-r15 ::= INTEGER (0..3279165)

-- ASN1STOP
```

# ARFCN-ValueUTRA

The IE *ARFCN-ValueUTRA* is used to indicate the ARFCN applicable for a downlink (Nd, FDD) or bi-directional (Nt, TDD) UTRA carrier frequency, as defined in TS 25.331 [19].

# ARFCN-ValueUTRA information element

```
-- ASN1START

ARFCN-ValueUTRA ::= INTEGER (0..16383)

-- ASN1STOP
```

# BandclassCDMA2000

The IE *BandclassCDMA2000* is used to define the CDMA2000 band in which the CDMA2000 carrier frequency can be found, as defined in C.S0057 [24], table 1.5-1.

# BandclassCDMA2000 information element

# BandIndicatorGERAN

The IE *BandIndicatorGERAN* indicates how to interpret an associated GERAN carrier ARFCN, see TS 45.005 [20]. More specifically, the IE indicates the GERAN frequency band in case the ARFCN value can concern either a DCS 1800 or a PCS 1900 carrier frequency. For ARFCN values not associated with one of these bands, the indicator has no meaning.

#### BandIndicatorGERAN information element

```
-- ASN1START

BandIndicatorGERAN ::= ENUMERATED {dcs1800, pcs1900}

-- ASN1STOP
```

# CarrierFreqCDMA2000

The IE CarrierFreqCDMA2000 used to provide the CDMA2000 carrier information.

# CarrierFreqCDMA2000 information element

```
-- ASN1START

CarrierFreqCDMA2000 ::= SEQUENCE {
   bandClass BandclassCDMA2000,
   arfcn ARFCN-ValueCDMA2000
}

-- ASN1STOP
```

# CarrierFreqGERAN

The IE CarrierFreqGERAN is used to provide an unambiguous carrier frequency description of a GERAN cell.

# CarrierFreqGERAN information element

```
-- ASN1START

CarrierFreqGERAN ::= SEQUENCE {
   arfcn ARFCN-ValueGERAN,
   bandIndicator BandIndicatorGERAN
}

-- ASN1STOP
```

```
CarrierFreqGERAN field descriptions

arfcn
GERAN ARFCN of BCCH carrier.

bandIndicator
Indicates how to interpret the ARFCN of the BCCH carrier.
```

# CarrierFreqsGERAN

The IE *CarrierFreqListGERAN* is used to provide one or more GERAN ARFCN values, as defined in TS 45.005 [43], which represents a list of GERAN BCCH carrier frequencies.

# CarrierFreqsGERAN information element

-- ASN1START

```
CarrierFreqsGERAN ::=
                               SEQUENCE {
    startingARFCN
                                       ARFCN-ValueGERAN,
    bandIndicator
                                       BandIndicatorGERAN,
    followingARFCNs
                                       CHOICE
       explicitListOfARFCNs
                                           ExplicitListOfARFCNs,
                                           SEQUENCE {
        equallySpacedARFCNs
                                                INTEGER (1..8),
           arfcn-Spacing
           numberOfFollowingARFCNs
                                                INTEGER (0..31)
        variableBitMapOfARFCNs
                                           OCTET STRING (SIZE (1..16))
ExplicitListOfARFCNs ::=
                                    SEQUENCE (SIZE (0..31)) OF ARFCN-ValueGERAN
-- ASN1STOP
```

# CarrierFreqsGERAN field descriptions

# arfcn-Spacing

Space, d, between a set of equally spaced ARFCN values.

#### bandIndicator

Indicates how to interpret the ARFCN of the BCCH carrier.

#### explicitListOfARFCNs

The remaining ARFCN values in the set are explicitly listed one by one.

#### followingARFCNs

Field containing a representation of the remaining ARFCN values in the set.

#### numberOfFollowingARFCNs

The number, n, of the remaining equally spaced ARFCN values in the set. The complete set of (n+1) ARFCN values is defined as:  $\{s, ((s+d) \mod 1024), ((s+2*d) \mod 1024), ... ((s+n*d) \mod 1024)\}$ .

#### startingARFCN

The first ARFCN value, s, in the set.

# variableBitMapOfARFCNs

Bitmap field representing the remaining ARFCN values in the set. The leading bit of the first octet in the bitmap corresponds to the ARFCN = ((s + 1) mod 1024), the next bit to the ARFCN = ((s + 2) mod 1024), and so on. If the bitmap consists of N octets, the trailing bit of octet N corresponds to ARFCN = ((s + 8\*N) mod 1024). The complete set of ARFCN values consists of ARFCN = s and the ARFCN values, where the corresponding bit in the bitmap is set to "1".

# CarrierFregListMBMS

The IE CarrierFreqListMBMS is used to indicate the E-UTRA ARFCN values of the one or more MBMS frequencies the UE is interested to receive.

#### CarrierFreqListMBMS information element

```
-- ASN1START

CarrierFreqListMBMS-r11 ::= SEQUENCE (SIZE (1..maxFreqMBMS-r11)) OF ARFCN-ValueEUTRA-r9

-- ASN1STOP
```

# CDMA2000-Type

The IE CDMA2000-Type is used to describe the type of CDMA2000 network.

# CDMA2000-Type information element

```
-- ASN1START

CDMA2000-Type ::= ENUMERATED {type1XRTT, typeHRPD}

-- ASN1STOP
```

# CellIdentity

The IE CellIdentity is used to unambiguously identify a cell within a PLMN.

#### **CellIdentity** information element

```
-- ASN1START

CellIdentity ::= BIT STRING (SIZE (28))

-- ASN1STOP
```

#### CellIndexList

The IE CellIndexList concerns a list of cell indices, which may be used for different purposes.

#### CellIndexList information element

```
-- ASN1START

CellIndexList ::= SEQUENCE (SIZE (1..maxCellMeas)) OF CellIndex

CellIndex ::= INTEGER (1..maxCellMeas)

-- ASN1STOP
```

# CellReselectionPriority

The IE *CellReselectionPriority* concerns the absolute priority of the concerned carrier frequency/ set of frequencies (GERAN)/ bandclass (CDMA2000), as used by the cell reselection procedure. Corresponds with parameter "priority" in TS 36.304 [4]. Value 0 means: lowest priority. The UE behaviour for the case the field is absent, if applicable, is specified in TS 36.304 [4].

# CellReselectionPriority information element

```
-- ASN1START

CellReselectionPriority ::= INTEGER (0..7)

-- ASN1STOP
```

# CellSelectionInfoCE

The IE CellSelectionInfoCE contains cell selection information for CE. The q-RxLevMinCE corresponds to parameter  $Q_{rxlevmin\_CE}$  in TS 36.304 [4]. The q-QualMinRSRQ-CE corresponds to parameter  $Q_{qualmin\_CE}$  in TS 36.304 [4]. If q-QualMinRSRQ-CE is not present, the UE applies the (default) value of negative infinity for  $Q_{qualmin}$ .

# CellSelectionInfoCE information element

```
-- ASN1START

CellSelectionInfoCE-r13 ::= SEQUENCE {
    q-RxLevMinCE-r13 Q-RxLevMin,
    q-QualMinRSRQ-CE-r13 Q-QualMin-r9 OPTIONAL -- Need OR
}

CellSelectionInfoCE-v1530 ::= SEQUENCE {
    powerClass14dBm-Offset-r15 ENUMERATED {dB-6, dB-3, dB3, dB6, dB9, dB12}
}

-- ASN1STOP
```

#### CellSelectionInfoCE field descriptions

#### powerClass14dBm-Offset

Parameter "Poffset" in TS 36.304 [4], only applicable for UE supporting *powerClass-14dBm*. Value in dB. Value dB-6 corresponds to -6 dB, dB-3 corresponds to -3 dB and so on. E-UTRAN configures this field only if *cellSelectionInfoCE-r13* is configured. If the field is absent, the UE applies the (default) value of 0 dB for "Poffset" in TS 36.304 [4].

#### CellSelectionInfoCE1

The IE *CellSelectionInfoCE1* contains cell selection information for BL UEs or UEs in CE supporting CE Mode B. The q-RxLevMinCE1 corresponds to parameter  $Q_{rxlevmin\_CE1}$  in TS 36.304 [4]. If delta-RxLevMinCE1 is not included, actual value  $Q_{rxlevmin\_CE1} = q$ -RxLevMinCE1 \* 2 [dBm]. If delta-RxLevMinCE1 is included, the actual value  $Q_{rxlevmin\_CE1} = (q$ -RxLevMinCE1 + delta-RxLevMinCE1) \* 2 [dBm]. The q-QualMinRSRQ-CE1 corresponds to parameter  $Q_{qualmin\_CE1}$  in TS 36.304 [4]. If q-QualMinRSRQ-CE1 is not present, the UE applies the (default) value of negative infinity for  $Q_{qualmin}$ .

#### CellSelectionInfoCE1 information element

```
-- ASN1START

CellSelectionInfoCE1-r13 ::= SEQUENCE {
    q-RxLevMinCE1-r13 Q-RxLevMin,
    q-QualMinRSRQ-CE1-r13 Q-QualMin-r9 OPTIONAL -- Need OR
}

CellSelectionInfoCE1-v1360 ::= SEQUENCE {
    delta-RxLevMinCE1-v1360 INTEGER (-8..-1)
}
-- ASN1STOP
```

# CellReselectionSubPriority

The IE *CellReselectionSubPriority* indicates a fractional value to be added to the value of cellReselectionPriority to obtain the absolute priority of the concerned carrier frequency for E-UTRA and NR. Value oDot2 corresponds to 0.2, oDot4 corresponds to 0.4 and so on.

# CellReselectionSubPriority information element

```
-- ASN1START

CellReselectionSubPriority-r13 ::= ENUMERATED {oDot2, oDot4, oDot6, oDot8}

-- ASN1STOP
```

# – CSFB-RegistrationParam1XRTT

The IE *CSFB-RegistrationParam1XRTT* is used to indicate whether or not the UE shall perform a CDMA2000 1xRTT pre-registration if the UE does not have a valid / current pre-registration.

```
-- ASN1START
CSFB-RegistrationParam1XRTT ::=
                                    SEQUENCE {
                                        BIT STRING (SIZE (15)),
   sid
    nid
                                         BIT STRING (SIZE (16)),
    multipleSID
                                         BOOLEAN,
   multipleNID
                                        BOOLEAN,
                                        BOOLEAN,
    homeReq
    foreignSIDReg
                                        BOOLEAN.
    foreignNIDReg
                                        BOOLEAN,
    parameterReq
                                         BOOLEAN,
   powerUpReq
                                        BOOLEAN,
   registrationPeriod
                                        BIT STRING (SIZE (7)),
                                        BIT STRING (SIZE (12)),
    registrationZone
    totalZone
                                        BIT STRING (SIZE (3)),
    zoneTimer
                                        BIT STRING (SIZE (3))
```

```
CSFB-RegistrationParam1XRTT-v920 ::= SEQUENCE {
   powerDownReg-r9 ENUMERATED {true}
}
-- ASN1STOP
```

#### CSFB-RegistrationParam1XRTT field descriptions

#### foreignNIDReg

The CDMA2000 1xRTT NID roamer registration indicator.

#### foreignSIDReg

The CDMA2000 1xRTT SID roamer registration indicator.

#### homeRea

The CDMA2000 1xRTT Home registration indicator.

# multipleNID

The CDMA2000 1xRTT Multiple NID storage indicator.

#### multipleSID

The CDMA2000 1xRTT Multiple SID storage indicator.

#### nid

Used along with the *sid* as a pair to control when the UE should Register or Re-Register with the CDMA2000 1xRTT network.

#### parameterReg

The CDMA2000 1xRTT Parameter-change registration indicator.

#### powerDownReg

The CDMA2000 1xRTT Power-down registration indicator. If set to TRUE, the UE that has a valid / current CDMA2000 1xRTT pre-registration will perform a CDMA2000 1xRTT power down registration when it is switched off.

#### powerUpReg

The CDMA2000 1xRTT Power-up registration indicator.

#### registrationPeriod

The CDMA2000 1xRTT Registration period.

#### registrationZone

The CDMA2000 1xRTT Registration zone.

#### sid

Used along with the *nid* as a pair to control when the UE should Register or Re-Register with the CDMA2000 1xRTT network.

# totalZone

The CDMA2000 1xRTT Number of registration zones to be retained.

#### zoneTimer

The CDMA2000 1xRTT Zone timer length.

# CellGlobalIdEUTRA

The IE *CellGlobalIdEUTRA* specifies the Evolved Cell Global Identifier (ECGI), the globally unique identity of a cell in E-UTRA.

#### CellGlobalIdEUTRA information element

```
-- ASN1START

CellGlobalIdEUTRA ::= SEQUENCE {
   plmn-Identity PLMN-Identity,
   cellIdentity CellIdentity
}
```

# CellGlobalIdEUTRA field descriptions

#### cellIdentity

Identity of the cell within the context of the PLMN.

# plmn-Identity

Identifies the PLMN of the cell as given by the first PLMN entry in the *plmn-IdentityList* in *SystemInformationBlockType1*.

# CellGlobalIdUTRA

The IE CellGlobalIdUTRA specifies the global UTRAN Cell Identifier, the globally unique identity of a cell in UTRA.

#### CellGlobalIdUTRA information element

```
-- ASN1START

CellGlobalIdUTRA ::= SEQUENCE {
   plmn-Identity PLMN-Identity,
   cellIdentity BIT STRING (SIZE (28))
}

-- ASN1STOP
```

# CellGlobalIdUTRA field descriptions

# cellIdentity

UTRA Cell Identifier which is unique within the context of the identified PLMN as defined in TS 25.331 [19].

#### plmn-Identity

Identifies the PLMN of the cell as given by the common PLMN broadcast in the MIB, as defined in TS 25.331 [19].

# CellGlobalIdGERAN

The IE *CellGlobalIdGERAN* specifies the Cell Global Identification (CGI), the globally unique identity of a cell in GERAN.

#### CellGloballdGERAN information element

```
-- ASN1START

CellGlobalIdGERAN ::= SEQUENCE {
    plmn-Identity PLMN-Identity,
    locationAreaCode BIT STRING (SIZE (16)),
    cellIdentity BIT STRING (SIZE (16))
}

-- ASN1STOP
```

# CellGlobalIdGERAN field descriptions

# cellIdentity

Cell Identifier which is unique within the context of the GERAN location area as defined in TS 23.003 [27].

# IocationAreaCode

A fixed length code identifying the location area within a PLMN as defined in TS 23.003 [27].

# plmn-Identity

Identifies the PLMN of the cell, as defined in TS 23.003 [27]..

# CellGlobalIdCDMA2000

The IE *CellGlobalIdCDMA2000* specifies the Cell Global Identification (CGI), the globally unique identity of a cell in CDMA2000.

#### CellGloballdCDMA2000 information element

```
-- ASN1START

CellGlobalIdCDMA2000 ::= CHOICE {
    cellGlobalIdlXRTT BIT STRING (SIZE (47)),
    cellGlobalIdHRPD BIT STRING (SIZE (128))
}

-- ASN1STOP
```

# CellGloballdCDMA2000 field descriptions

#### cellGloballd1XRTT

Unique identifier for a CDMA2000 1xRTT cell, corresponds to BASEID, SID and NID parameters (in that order) defined in C.S0005 [25].

#### cellGloballdHRPD

Unique identifier for a CDMA2000 HRPD cell, corresponds to SECTOR ID parameter defined in C.S0024 [26], clause 14.9.

# CellSelectionInfoNFreq

The IE *CellSelectionInfoNFreq* includes the parameters used for cell selection on a neighbouring frequency, see TS 36.304 [4].

# CellSelectionInfoNFreq information element

```
-- ASN1START
CellSelectionInfoNFreq-r13 ::= SEQUENCE {
    -- Cell selection information as in SIB1
                     Q-RxLevMin,
   q-RxLevMin-r13
   q-RxLevMinOffset
                                      INTEGER (1..8)
                                                              OPTIONAL,
                                                                          -- Need OP
     - Cell re-selection information as in SIB3
                                      ENUMERATED {
   q-Hyst-r13
                                          dB0, dB1, dB2, dB3, dB4, dB5, dB6, dB8, dB10,
                                          dB12, dB14, dB16, dB18, dB20, dB22, dB24},
   q-RxLevMinReselection-r13
                                      Q-RxLevMin,
   t-ReselectionEUTRA-r13
                                      T-Reselection
-- ASN1STOP
```

# CSG-Identity

The IE CSG-Identity is used to identify a Closed Subscriber Group.

# **CSG-Identity** information element

```
-- ASN1START

CSG-Identity ::= BIT STRING (SIZE (27))

-- ASN1STOP
```

# FreqBandIndicator

The IE *FreqBandIndicator* indicates the E-UTRA operating band as defined in TS 36.101 [42], table 5.5-1. If an extension is signalled using the extended value range (as defined by IE *FreqBandIndicator-v9e0*), the UE shall only consider this extension (and hence ignore the corresponding original field, using the value range as defined by IE *FreqBandIndicator* i.e. without suffix, if signalled).

#### FreqBandIndicator information element

```
-- ASN1START

FreqBandIndicator ::= INTEGER (1..maxFBI)

FreqBandIndicator-v9e0 ::= INTEGER (maxFBI-Plus1..maxFBI2)

FreqBandIndicator-r11 ::= INTEGER (1..maxFBI2)

-- ASN1STOP
```

NOTE: For fields using the original value range, as defined by IE *FreqBandIndicator* i.e. without suffix, value *maxFBI* indicates that the frequency band is indicated by means of an extension. In such a case, UEs not supporting the extension consider the field to be set to a not supported value.

# FreqBandIndicatorNR

The IE FreqBandIndicatorNR indicates the NR operating band as defined in TS 38.101 [85].

# FreqBandIndicatorNR information element

```
-- ASN1START

FreqBandIndicatorNR-r15 ::= INTEGER (1..maxFBI-NR-r15)

-- ASN1STOP
```

# MobilityControlInfo

The IE Mobility Controllnfo includes parameters relevant for network controlled mobility to/within E-UTRA.

# MobilityControlInfo information element

```
-- ASN1START
MobilityControlInfo ::=
                            SEQUENCE {
    targetPhysCellId
                                         PhysCellId,
                                                                              OPTIONAL,
    carrierFreq
                                         CarrierFreqEUTRA
                                                                                          -- Cond HO-
toEUTRA2
                                         CarrierBandwidthEUTRA
   carrierBandwidth
                                                                              OPTIONAL.
                                                                                          -- Cond HO-
LOEUTRA
   additionalSpectrumEmission
                                         AdditionalSpectrumEmission
                                                                              OPTIONAL,
                                                                                          -- Cond HO-
toEUTRA
    t304
                                         ENUMERATED {
                                            ms50, ms100, ms150, ms200, ms500, ms1000,
                                             ms2000, ms10000-v1310},
   newUE-Identity
                                        C-RNTI,
    radioResourceConfigCommon
                                        RadioResourceConfigCommon,
    rach-ConfigDedicated
                                                                              OPTIONAL,
                                                                                          -- Need OP
                                        RACH-ConfigDedicated
       carrierFreq-v9e0
                                         CarrierFreqEUTRA-v9e0
                                                                              OPTIONAL
                                                                                          -- Need ON
    ]],
       drb-ContinueROHC-r11
                                        ENUMERATED {true}
                                                                              OPTIONAL
    [ [
                                                                                          -- Cond HO
    ]]
    [[ mobilityControlInfoV2X-r14 MobilityControlInfoV2X-r14
                                                                              OPTIONAL,
                                                                                          -- Need ON
        handoverWithoutWT-Change-r14 ENUMERATED {keepLWA-Config, sendEndMarker} OPTIONAL,
Cond HO
        makeBeforeBreak-r14
                                        ENUMERATED {true}
                                                                              OPTIONAL,
                                                                                          -- Need OR
        rach-Skip-r14
                                         RACH-Skip-r14
                                                                              OPTIONAL,
                                                                                          -- Need OR
        sameSFN-Indication-r14
                                        ENUMERATED {true}
                                                                                          -- Cond HO-
                                                                              OPTIONAL
SFNsynced
    ]],
    [ [
       mib-RepetitionStatus-r14 BOOLEAN schedulingInfoSIB1-BR-r14 INTEGER (0..31)
                                                                              OPTIONAL,
                                                                                          -- Need OR
                                                                                          -- Cond HO-
                                                                              OPTIONAL
SFNsvnced
    ]]
MobilityControlInfo-v1010 ::= SEQUENCE {
    additionalSpectrumEmission-v1010 AdditionalSpectrumEmission-v1010 OPTIONAL
                                                                                          -- Need ON
MobilityControlInfoSCG-r12 ::=
                                    SEQUENCE {
                                        ENUMERATED {
    t307-r12
                                            ms50, ms100, ms150, ms200, ms500, ms1000,
                                             ms2000, spare1},
   ue-IdentitySCG-r12
                                        C-RNTI
                                                                         OPTIONAL,
                                                                                      -- Cond SCGEst,
    rach-ConfigDedicated-r12 RACH-ConfigDedicated OPTIONAL, -- cipheringAlgorithmSCG-r12 CipheringAlgorithm-r12 OPTIONAL, -- Need ON
                                                                                     -- Need OP
```

```
ENUMERATED {true}
     [[ makeBeforeBreakSCG-r14
                                                                                  OPTIONAL,
                                                                                                -- Need OR
         rach-SkipSCG-r14
                                              RACH-Skip-r14
                                                                                   OPTIONAL
                                                                                                 -- Need OR
    ]]
}
MobilityControlInfoV2X-r14 ::= SEQUENCE {
    v2x-CommTxPoolExceptional-r14 SL-CommResourcePoolV2X-r14 OPTIONAL, v2x-CommRxPool-r14 SL-CommRxPoolListV2X-r14 OPTIONAL, v2x-CommSyncConfig-r14 SL-SyncConfigListV2X-r14 OPTIONAL, cbr-MobilityTxConfigList-r14 SL-CBR-CommonTxConfigList-r14 OPTIONAL
                                                                                                     -- Need OR
                                                                                                    -- Need OR
                                                                                                     -- Need OR
                                                                                                     -- Need OR
}
CarrierBandwidthEUTRA ::=
                                         SEQUENCE {
                                              ENUMERATED {
    dl-Bandwidth
                                                       n6, n15, n25, n50, n75, n100, spare10,
                                                       spare9, spare8, spare7, spare6, spare5,
                                                       spare4, spare3, spare2, spare1},
    ul-Bandwidth
                                              ENUMERATED {
                                                      n6, n15, n25, n50, n75, n100, spare10,
                                                       spare9, spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1} OPTIONAL -- Need OP
CarrierFreqEUTRA ::=
dl-CarrierFreq
                                        SEQUENCE {
                                             ARFCN-ValueEUTRA,
                                                                                 OPTIONAL -- Cond FDD
                                              ARFCN-ValueEUTRA
    ul-CarrierFreq
CarrierFreqEUTRA-v9e0 ::=
dl-CarrierFreq-v9e0
                                        SEQUENCE {
                                             ARFCN-ValueEUTRA-r9,
                                                                       OPTIONAL -- Cond FDD
    ul-CarrierFreq-v9e0
                                              ARFCN-ValueEUTRA-r9
RACH-Skip-r14 ::=
                                         SEQUENCE {
    targetTA-r14
                                         CHOICE {
         ta0-r14
                                             NULL,
         mcg-PTAG-r14
                                               NULL,
         scg-PTAG-r14
                                                  NULL,
         mcg-STAG-r14
                                             STAG-Id-r11,
         scg-STAG-r14
                                             STAG-Id-r11
    ul-ConfigInfo-r14
                                         SEQUENCE {
         numberOfConfUL-Processes-r14
                                                       INTEGER (1..8),
         ul-SchedInterval-r14
ul-StartSubframe-r14
                                              ENUMERATED {sf2, sf5, sf10},
                                             INTEGER (0..9),
         ul-Grant-r14
                                              BIT STRING (SIZE (16))
                                                                              OPTIONAL -- Need OR
-- ASN1STOP
```

# MobilityControlInfo field descriptions

#### additionalSpectrumEmission

For a UE with no SCells configured for UL in the same band as the PCell, the UE shall apply the value for the PCell instead of the corresponding value from *SystemInformationBlockType2* or *SystemInformationBlockType1*. For a UE with SCell(s) configured for UL in the same band as the PCell, the UE shall, in case all SCells configured for UL in that band are released after handover completion, apply the value for the PCell instead of the corresponding value from *SystemInformationBlockType1*. The UE requirements related to IE *AdditionalSpectrumEmission* are defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4-1, for UEs in CE or BL UEs.

#### carrierBandwidth

Provides the parameters Downlink bandwidth, and Uplink bandwidth, see TS 36.101 [42].

# carrierFreq

Provides the EARFCN to be used by the UE in the target cell.

#### cbr-MobilityTxConfigList

Indicates the list of CBR ranges and the list of PSSCH transmission parameter configurations available to configure congestion control to the UE for V2X sidelink communication during handover.

# cipheringAlgorithmSCG

Indicates the ciphering algorithm to be used for SCG DRBs. E-UTRAN includes the field upon SCG change when one or more SCG DRBs are configured. Otherwise E-UTRAN does not include the field.

#### dl-Bandwidth

Parameter: Downlink bandwidth, see TS 36.101 [42].

#### drb-ContinueROHC

This field indicates whether to continue or reset, for this handover, the header compression protocol context for the RLC UM bearers configured with the header compression protocol. Presence of the field indicates that the header compression protocol context continues while absence indicates that the header compression protocol context is reset. E-UTRAN includes the field only in case of a handover within the same eNB.

#### handoverWithoutWT-Change

Indicates whether UE performs handover where LWA configuration is retained with the same WT If sendEndMarker is configured, the LWA end-marker for PDCP key change indication is used as defined in [8]. If value keepLWA-Config is configured, LWA end marker is not used and UE shall only retain the LWA configuration.

#### makeBeforeBreak

Indicates that the UE shall continue uplink transmission/ downlink reception with the source cell(s) before performing the first transmission through PRACH to the target intra-frequency PCell, or performing initial PUSCH transmission to the target intra-frequency PCell while *rach-Skip* is configured.

# makeBeforeBreakSCG

Indicates that the UE shall continue uplink transmission/ downlink reception with the source cell(s) before performing the first transmission through PRACH to the target intra-frequency PSCell, or performing initial PUSCH transmission to the target intra-frequency PSCell while *rach-SkipSCG* is configured.

# mib-RepetitionStatus

Indicates whether additional MIB repetition is enabled in the target cell or not. Value TRUE indicates additional MIB repetition is enabled in the target cell. Value FALSE indicates additional MIB repetition is not enabled in the target cell. The absence of this field indicates additional MIB repetition may or may not be enabled in the target cell. See 5.2.1.2 and TS 36.211 [21], clause 6.4.1. This field is applicable to BL UE or UE in CE.

#### mobilityControlInfoV2X

Indicates the sidelink configurations of the target cell for V2X sidelink communication during handover.

# numberOfConfUL-Processes

The number of configured HARQ processes for preallocated uplink grant, see TS 36.321 [6], clause 5.20. This field is applicable if a UE is configured with asynchronous HARQ, otherwise it shall be ignored.

#### rach-ConfigDedicated

The dedicated random access parameters. If absent the UE applies contention based random access as specified in TS 36.321 [6].

#### rach-Skip

This field indicates whether random access procedure for the target PCell is skipped.

# rach-SkipSCG

This field indicates whether random access procedure for the target PSCell is skipped.

#### sameSFN-Indication

This field indicates that the target cell has the same SFN as the source cell and that the BL UE or UE in CE is not required to acquire *MasterInformationBlock* in the target PCell during handover to obtain the SFN of the target cell, as specified in clause 5.3.5.4.

# schedulingInfoSIB1-BR

Indicates the index to the tables that define *SystemInformationBlockType1-BR* scheduling information. The tables are specified in TS 36.213 [23], Table 7.1.6-1 and Table 7.1.7.2.7-1. Value 0 means *SystemInformationBlockType1-BR* is not scheduled. If absent when *sameSFN-Indication* is present, UE assumes that *SystemInformationBlockType1-BR* scheduling information in target cell may be different from source cell.

#### t304

Timer T304 as described in clause 7.3. ms50 corresponds with 50 ms, ms100 corresponds with 100 ms and so on. EUTRAN includes extended value *ms10000-v1310* only when UE supports CE.

#### MobilityControlInfo field descriptions

#### additional Spectrum Emission

For a UE with no SCells configured for UL in the same band as the PCell, the UE shall apply the value for the PCell instead of the corresponding value from *SystemInformationBlockType2* or *SystemInformationBlockType1*. For a UE with SCell(s) configured for UL in the same band as the PCell, the UE shall, in case all SCells configured for UL in that band are released after handover completion, apply the value for the PCell instead of the corresponding value from *SystemInformationBlockType1*. The UE requirements related to IE *AdditionalSpectrumEmission* are defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4-1, for UEs in CE or BL UEs.

#### t307

Timer T307 as described in clause 7.3. ms50 corresponds with 50 ms, ms100 corresponds with 100 ms and so on.

# targetTA

This field refers to the timing adjustment indication, see TS 36.213 [23], indicating the  $N_{TA}$  value which the UE shall use for the target PTAG of handover or the target PSTAG of SCG change. *ta0* corresponds to  $N_{TA}$ =0. *mcg-PTAG* corresponds to the latest  $N_{TA}$  value of the PTAG associated with MCG. *scg-PTAG* corresponds to the latest  $N_{TA}$  value of the PTAG associated with SCG. *mcg-STAG* corresponds to the latest  $N_{TA}$  value of a MCG STAG indicated by the STAG-Id. *scg-STAG* corresponds to the latest  $N_{TA}$  value of a SCG STAG indicated by the STAG-Id.

#### ul-Bandwidth

Parameter: *Uplink bandwidth*, see TS 36.101 [42], table 5.6-1. For TDD, the parameter is absent and it is equal to downlink bandwidth. If absent for FDD, apply the same value as applies for the downlink bandwidth.

#### ul-Grant

Indicates the resources of the target PCell/PSCell to be used for the uplink transmission of PUSCH [23], clause 8.8.

#### ul-SchedInterval

Indicates the scheduling interval in uplink, see TS 36.321 [6], clause 5.20. Value in number of sub-frames. Value sf2 corresponds to 2 subframes, sf5 corresponds to 5 subframes and so on.

#### ul-StartSubframe

Indicates the subframe in which the UE may initiate the uplink transmission, see TS 36.321 [6], clause 5.20. Value 0 corresponds to subframe number 0, 1 corresponds to subframe number 1 and so on. The subframe indicating a valid uplink grant according to the calculation of UL grant configured by *ul-StartSubframe* and *ul-SchedInterval*, see TS 36.321 [6], clause 5.20, is the same across all radio frames.

#### v2x-CommRxPool

Indicates reception pools for receiving V2X sidelink communication during handover.

# v2x-CommSyncConfig

Indicates synchronization configurations for performing V2X sidelink communication during handover.

# v2x-CommTxPoolExceptional

Indicates the transmission resources by which the UE is allowed to transmit V2X sidelink communication during handover.

Conditional presence	Explanation		
FDD	The field is mandatory with default value (the default duplex distance defined for the concerned band, as specified in TS 36.101 [42]) in case of "FDD"; otherwise the field is not present.		
НО	This field is optionally present, need OP, in case of handover within E-UTRA when the fullConfig is not included; otherwise the field is not present.		
HO-SFNsynced	This field is optionally present, need OP, in case of source E-UTRA and target E-UTRA cells are SFN synchronised.		
HO-toEUTRA	The field is mandatory present in case of inter-RAT handover to E-UTRA; otherwise the field is optionally present, need ON.		
HO-toEUTRA2	The field is absent if <i>carrierFreq-v9e0</i> is present. Otherwise it is mandatory present in case of inter-RAT handover to E-UTRA and optionally present, need ON, in all other cases.		
SCGEst	This field is mandatory present in case of SCG establishment; otherwise the field is optionally present, need ON.		

# MobilityParametersCDMA2000 (1xRTT)

The *MobilityParametersCDMA2000* contains the parameters provided to the UE for handover and (enhanced) CSFB to 1xRTT support, as defined in C.S0097 [53].

# MobilityParametersCDMA2000 information element

-- ASN1START

MobilityParametersCDMA2000 ::= OCTET STRING

# MobilityStateParameters 4 6 1

The IE *MobilityStateParameters* contains parameters to determine UE mobility state.

# MobilityStateParameters information element

# MobilityStateParameters field descriptions

#### n-CellChangeHigh

The number of cell changes to enter high mobility state. Corresponds to NcR\_H in TS 36.304 [4].

#### n-CellChangeMedium

The number of cell changes to enter medium mobility state. Corresponds to N<sub>CR\_M</sub> in TS 36.304 [4].

#### t-Evaluation

The duration for evaluating criteria to enter mobility states. Corresponds to  $T_{CRmax}$  in TS 36.304 [4]. Value in seconds, s30 corresponds to 30 s and so on.

#### t-HystNormal

The additional duration for evaluating criteria to enter normal mobility state. Corresponds to T<sub>CRmaxHyst</sub> in TS 36.304 [4]. Value in seconds, s30 corresponds to 30 s and so on.

# MultiBandInfoList

#### MultiBandInfoList information element

# MultiFrequencyBandListNR

The IE MultiFrequencyBandListNR is used to configure a list of one or multiple NR frequency bands.

# MultiFrequencyBandListNR information element

```
-- ASN1START

MultiFrequencyBandListNR-r15 ::= SEQUENCE (SIZE (1..maxMultiBandsNR-r15)) OF
FreqBandIndicatorNR-r15
```

## NS-PmaxList

The IE NS-PmaxList concerns a list of additionalPmax and additionalSpectrumEmission, as defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs, for a given frequency band. E-UTRAN does not include the same value of additionalSpectrumEmission in SystemInformationBlockType2 within this list.

#### NS-PmaxList information element

```
-- ASN1START
NS-PmaxList-r10 ::=
                               SEQUENCE (SIZE (1..maxNS-Pmax-r10)) OF NS-PmaxValue-r10
NS-PmaxList-v1010 ::=
                               SEQUENCE (SIZE (1..maxNS-Pmax-r10)) OF NS-PmaxValue-v1010
NS-PmaxValue-r10 ::=
                               SEQUENCE {
   additionalPmax-r10
                                       P-Max
                                                                      OPTIONAL,
                                                                                 -- Need OP
    additionalSpectrumEmission
                                      AdditionalSpectrumEmission
NS-PmaxValue-v1010 ::=
                              SEQUENCE {
   additionalSpectrumEmission-v1010
                                     AdditionalSpectrumEmission-v1010 OPTIONAL
                                                                                      -- Need OP
-- ASN1STOP
```

# NS-PmaxListNR

The IE NS-PmaxListNR concerns a list of additionalPmax and additionalSpectrumEmission, as defined in TS 38.101 [85], table 6.2.3-1 for a given frequency band.

# NS-PmaxListNR information element

# – PhysCellId

The IE *PhysCellId* is used to indicate the physical layer identity of the cell, as defined in TS 36.211 [21].

# PhysCellId information element

```
-- ASN1START

PhysCellid ::= INTEGER (0..503)

-- ASN1STOP
```

# – PhysCellIdRange

The IE *PhysCellIdRange* is used to encode either a single or a range of physical cell identities. The range is encoded by using a *start* value and by indicating the number of consecutive physical cell identities (including *start*) in the range. For fields comprising multiple occurrences of *PhysCellIdRange*, E-UTRAN may configure overlapping ranges of physical cell identities.

# PhysCellIdRange information element

#### PhysCellIdRange field descriptions

#### range

Indicates the number of physical cell identities in the range (including *start*). Value n4 corresponds with 4, n8 corresponds with 8 and so on. The UE shall apply value 1 in case the field is absent, in which case only the physical cell identity value indicated by *start* applies.

#### start

Indicates the lowest physical cell identity in the range.

# PhysCellIdRangeUTRA-FDDList

The IE *PhysCellIdRangeUTRA-FDDList* is used to encode one or more of *PhysCellIdRangeUTRA-FDD*. While the IE *PhysCellIdRangeUTRA-FDD* is used to encode either a single physical layer identity or a range of physical layer identities, i.e. primary scrambling codes. Each range is encoded by using a *start* value and by indicating the number of consecutive physical cell identities (including *start*) in the range.

# PhysCellIdRangeUTRA-FDDList information element

# PhysCellIdRangeUTRA-FDDList field descriptions

#### range

Indicates the number of primary scrambling codes in the range (including *start*). The UE shall apply value 1 in case the field is absent, in which case only the primary scrambling code value indicated by *start* applies.

#### start

Indicates the lowest primary scrambling code in the range.

# PhysCellIdCDMA2000

The IE *PhysCellIdCDMA2000* identifies the PNOffset that represents the "Physical cell identity" in CDMA2000.

# PhysCellIdCDMA2000 information element

```
-- ASN1START
```

```
PhysCellIdCDMA2000 ::= INTEGER (0..maxPNOffset)
-- ASN1STOP
```

# PhysCellIdGERAN

The IE *PhysCellIdGERAN* contains the Base Station Identity Code (BSIC).

# PhysCellIdGERAN information element

```
-- ASN1START

PhysCellidGeran ::= SEQUENCE {
    networkColourCode BIT STRING (SIZE (3)),
    baseStationColourCode BIT STRING (SIZE (3))
}

-- ASN1STOP
```

# PhysCellIdGERAN field descriptions baseStationColourCode Base station Colour Code as defined in TS 23.003 [27]. networkColourCode Network Colour Code as defined in TS 23.003 [27].

# PhysCellIdNR

The IE *PhysCellIdNR* indicates the physical layer identity (PCI) of an NR cell.

# PhysCellIdNR information element

```
-- ASN1START

PhysCellIdNR-r15 ::= INTEGER (0..1007)

-- ASN1STOP
```

# PhysCellIdUTRA-FDD

The IE *PhysCellIdUTRA-FDD* is used to indicate the physical layer identity of the cell, i.e. the primary scrambling code, as defined in TS 25.331 [19].

#### PhysCellIdUTRA-FDD information element

```
-- ASN1START

PhysCellidutra-FDD ::= INTEGER (0..511)

-- ASN1STOP
```

# PhysCellIdUTRA-TDD

The IE *PhysCellIdUTRA-TDD* is used to indicate the physical layer identity of the cell, i.e. the cell parameters ID (TDD), as specified in TS 25.331 [19]. Also corresponds to the Initial Cell Parameter Assignment in TS 25.223 [46].

# PhysCellIdUTRA-TDD information element

```
-- ASN1START

PhysCellidutra-TDD ::= INTEGER (0..127)
```

# – PLMN-Identity

The IE *PLMN-Identity* identifies a Public Land Mobile Network. Further information regarding how to set the IE are specified in TS 23.003 [27].

# **PLMN-Identity** information element

```
-- ASN1START
PLMN-Identity ::=
                                     SEQUENCE {
   mcc
                                        MCC
                                                             OPTIONAL,
                                                                                          -- Cond MCC
                                         MNC
   mnc
MCC ::=
                                    SEQUENCE (SIZE (3)) OF
                                            MCC-MNC-Digit
MNC ::=
                                     SEQUENCE (SIZE (2..3)) OF
                                           MCC-MNC-Digit
                                    INTEGER (0..9)
MCC-MNC-Digit ::=
-- ASN1STOP
```

#### **PLMN-Identity** field descriptions

#### mcc

The first element contains the first MCC digit, the second element the second MCC digit and so on. If the field is absent, it takes the same value as the mcc of the immediately preceding IE PLMN-Identity. See TS 23.003 [27].

#### mnc

The first element contains the first MNC digit, the second element the second MNC digit and so on. See TS 23.003 [27].

Conditional presence	Explanation	
MCC	This IE is mandatory when PLMN-Identity is included in CellGlobalIdEUTRA, in	
	CellGloballdUTRA, in CellGloballdGERAN or in RegisteredMME. This IE is also	
	mandatory in the first occurrence of the IE <i>PLMN-Identity</i> within the IE <i>PLMN-IdentityList</i> .	
	Otherwise it is optional, need OP.	

# PLMN-IdentityList3

Includes a list of PLMN identities.

#### PLMN-IdentityList3 information element

```
-- ASN1START

PLMN-IdentityList3-rll ::= SEQUENCE (SIZE (1..16)) OF PLMN-Identity

-- ASN1STOP
```

# – PmaxNR

The IE *PmaxNR* concerns a list of *additionalPmax* and *additionalSpectrumEmission*, as defined in TS 38.101 [85], table 6.2.3-1 for a given frequency band.

# **PmaxNR** information element

```
-- ASN1START

P-MaxNR-r15 ::= INTEGER (-30..33)
```

# PreRegistrationInfoHRPD

```
-- ASN1START

PreRegistrationInfoHRPD ::= SEQUENCE {
    preRegistrationAllowed BOOLEAN,
    preRegistrationZoneId PreRegistrationZoneIdHRPD OPTIONAL, -- cond PreRegAllowed secondaryPreRegistrationZoneIdList SecondaryPreRegistrationZoneIdListHRPD OPTIONAL -- Need OR }

SecondaryPreRegistrationZoneIdListHRPD ::= SEQUENCE (SIZE (1..2)) OF PreRegistrationZoneIdHRPD

PreRegistrationZoneIdHRPD ::= INTEGER (0..255)

-- ASN1STOP
```

# PreRegistrationInfoHRPD field descriptions

# preRegistrationAllowed

TRUE indicates that a UE shall perform a CDMA2000 HRPD pre-registration if the UE does not have a valid / current pre-registration. FALSE indicates that the UE is not allowed to perform CDMA2000 HRPD pre-registration in the current cell.

# preRegistrationZoneID

ColorCode (see C.S0024 [26], C.S0087 [44]) of the CDMA2000 Reference Cell corresponding to the HRPD sector under the HRPD AN that is configured for this LTE cell. It is used to control when the UE should register or re-register.

#### secondaryPreRegistrationZoneldList

List of SecondaryColorCodes (see C.S0024 [26], C.S0087 [44]) of the CDMA2000 Reference Cell corresponding to the HRPD sector under the HRPD AN that is configured for this LTE cell. They are used to control when the UE should reregister.

Conditional presence	Explanation	
PreRegAllowed	The field is mandatory in case the <i>preRegistrationAllowed</i> is set to <i>true</i> . Otherwise the	
-	field is not present and the UE shall delete any existing value for this field.	

# – Q-QualMin

The IE Q-QualMin is used to indicate for cell selection/re-selection the required minimum received RSRQ level in the (E-UTRA) cell. Corresponds to parameter Q<sub>qualmin</sub> in TS 36.304 [4]. Actual value Q<sub>qualmin</sub> = field value [dB].

#### **Q-QualMin** information element

```
-- ASN1START

Q-QualMin-r9 ::= INTEGER (-34..-3)

-- ASN1STOP
```

# – Q-RxLevMin

The IE *Q-RxLevMin* is used to indicate for cell selection/re-selection the required minimum received RSRP level in the (E-UTRA) cell. Corresponds to parameter Q<sub>rxlevmin</sub> in TS 36.304 [4]. Actual value Q<sub>rxlevmin</sub> = field value \* 2 [dBm].

# Q-RxLevMin information element

```
-- ASN1START

Q-RxLevMin ::= INTEGER (-70..-22)

-- ASN1STOP
```

# Q-OffsetRange

The IE *Q-OffsetRange* is used to indicate a cell, CSI-RS resource or frequency specific offset to be applied when evaluating candidates for cell re-selection or when evaluating triggering conditions for measurement reporting. The value in dB. Value dB-24 corresponds to -24 dB, dB-22 corresponds to -22 dB and so on.

# Q-OffsetRange information element

# Q-OffsetRangeInterRAT

The IE *Q-OffsetRangeInterRAT* is used to indicate a frequency specific offset to be applied when evaluating triggering conditions for measurement reporting. The value in dB.

# Q-OffsetRangeInterRAT information element

```
-- ASN1START

Q-OffsetRangeInterRAT ::= INTEGER (-15..15)

-- ASN1STOP
```

# ReselectionThreshold

The IE *ReselectionThreshold* is used to indicate an Rx level threshold for cell reselection. Actual value of threshold = field value \* 2 [dB].

#### ReselectionThreshold information element

```
-- ASN1START

ReselectionThreshold ::= INTEGER (0..31)

-- ASN1STOP
```

# ReselectionThresholdQ

The IE *ReselectionThresholdQ* is used to indicate a quality level threshold for cell reselection. Actual value of threshold = field value [dB].

# ReselectionThresholdQ information element

```
-- ASN1START

ReselectionThresholdQ-r9 ::= INTEGER (0..31)

-- ASN1STOP
```

# SCellIndex

The IE SCellIndex concerns a short identity, used to identify an SCell.

#### SCellIndex information element

```
-- ASN1START

SCellIndex-r10 ::= INTEGER (1..7)
SCellIndex-r13 ::= INTEGER (1..31)

-- ASN1STOP
```

# ServCellIndex

The IE *ServCellIndex* concerns a short identity, used to identify a serving cell (i.e. the PCell or an SCell). Value 0 applies for the PCell, while the *SCellIndex* that has previously been assigned applies for SCells.

#### ServCellIndex information element

```
-- ASN1START

ServCellIndex-r10 ::= INTEGER (0..7)

ServCellIndex-r13 ::= INTEGER (0..31)

-- ASN1STOP
```

# SpeedStateScaleFactors

The IE *SpeedStateScaleFactors* concerns factors, to be applied when the UE is in medium or high speed state, used for scaling a mobility control related parameter.

#### SpeedStateScaleFactors information element

# SpeedStateScaleFactors field descriptions

#### sf-High

The concerned mobility control related parameter is multiplied with this factor if the UE is in High Mobility state as defined in TS 36.304 [4]. Value oDot25 corresponds to 0.25, oDot5 corresponds to 0.5, oDot75 corresponds to 0.75 and so on.

#### sf-Medium

The concerned mobility control related parameter is multiplied with this factor if the UE is in Medium Mobility state as defined in TS 36.304 [4]. Value oDot25 corresponds to 0.25, oDot5 corresponds to 0.5, oDot75 corresponds to 0.75 and so on.

# SystemInfoListGERAN

The IE SystemInfoListGERAN contains system information of a GERAN cell.

# SystemInfoListGERAN information element

```
-- ASN1START

SystemInfoListGERAN ::= SEQUENCE (SIZE (1..maxGERAN-SI)) OF OCTET STRING (SIZE (1..23))

-- ASN1STOP
```

# SystemInfoListGERAN field descriptions

#### SystemInfoListGERAN

Each OCTET STRING contains one System Information (SI) message as defined in TS 44.018 [45], table 9.1.1, excluding the L2 Pseudo Length, the RR management Protocol Discriminator and the Skip Indicator or a complete Packet System Information (PSI) message as defined in TS 44.060 [36], table 11.2.1.

# SystemTimeInfoCDMA2000

The IE *SystemTimeInfoCDMA2000* informs the UE about the absolute time in the current cell. The UE uses this absolute time knowledge to derive the CDMA2000 Physical cell identity, expressed as PNOffset, of neighbour CDMA2000 cells.

NOTE: The UE needs the CDMA2000 system time with a certain level of accuracy for performing measurements as well as for communicating with the CDMA2000 network (HRPD or 1xRTT).

# SystemTimeInfoCDMA2000 information element

#### SystemTimeInfoCDMA2000 field descriptions

# asynchronousSystemTime

The CDMA2000 system time corresponding to the SFN boundary at or after the ending boundary of the SI-Window in which *SystemInformationBlockType8* is transmitted. E-UTRAN includes this field if the E-UTRA frame boundary is not aligned to the start of CDMA2000 system time. This field size is 49 bits and the unit is 8 CDMA chips based on 1.2288 Mcps.

#### cdma-EUTRA-Synchronisation

TRUE indicates that there is no drift in the timing between E-UTRA and CDMA2000. FALSE indicates that the timing between E-UTRA and CDMA2000 can drift. NOTE 1

#### synchronousSystemTime

CDMA2000 system time corresponding to the SFN boundary at or after the ending boundary of the SI-window in which *SystemInformationBlockType8* is transmitted. E-UTRAN includes this field if the E-UTRA frame boundary is aligned to the start of CDMA2000 system time. This field size is 39 bits and the unit is 10 ms based on a 1.2288 Mcps chip rate.

NOTE 1: The following table shows the recommended combinations of the *cdma-EUTRA-Synchronisation* field and the choice of cdma-SystemTime included by E-UTRAN for FDD and TDD:

FDD/TDD	cdma-EUTRA-Synchronisation	synchronousSystemTime	asynchronousSystemTime
FDD	FALSE	Not Recommended	Recommended
FDD	TRUE	Recommended	Recommended
TDD	FALSE	Not Recommended	Recommended
TDD	TRUE	Recommended	Recommended

#### ThresholdNR

The IE *ThresholdNR* and IE *ThresholdListNR* contain thresholds for NR related inter-RAT measurements.

# ThresholdNR information element

```
ThresholdNR-r15 ::=
                               CHOICE{
   nr-RSRP-r15
                                       RSRP-RangeNR-r15,
   nr-RSRQ-r15
                                       RSRQ-RangeNR-r15,
    nr-SINR-r15
                                        RS-SINR-RangeNR-r15
}
ThresholdListNR-r15 ::=
                                    SEQUENCE {
                                                                       OPTIONAL,
   nr-RSRP-r15
                                       RSRP-RangeNR-r15
                                                                                    -- Need OR
    nr-RSRQ-r15
                                        RSRQ-RangeNR-r15
                                                                        OPTIONAL,
                                                                                   -- Need OR
   nr-SINR-r15
                                       RS-SINR-RangeNR-r15
                                                                   OPTIONAL -- Need OR
-- ASN1STOP
```

# TrackingAreaCode

The IE *TrackingAreaCode* is used to identify a tracking area within the scope of a PLMN, see TS 24.301 [35].

#### TrackingAreaCode information element

```
-- ASN1START

TrackingAreaCode ::= BIT STRING (SIZE (16))

TrackingAreaCode-5GC-r15 ::= BIT STRING (SIZE (24))

-- ASN1STOP
```

# T-Reselection

The IE *T-Reselection* concerns the cell reselection timer Treselection<sub>RAT</sub> for E-UTRA, UTRA, GERAN or CDMA2000. Value in seconds. For value 0, behaviour as specified in 7.3.2 applies.

#### T-Reselection information element

```
-- ASN1START

T-Reselection ::= INTEGER (0..7)

-- ASN1STOP
```

# T-ReselectionEUTRA-CE

The IE *T-ReselectionEUTRA-CE* concerns the cell reselection timer Treselection<sub>EUTRA\_CE</sub> as specified in TS 36.304 [4]. Value in seconds. For value 0, behaviour as specified in 7.3.2 applies.

# T-ReselectionEUTRA-CE information element

```
-- ASN1START

T-ReselectionEUTRA-CE-r13 ::= INTEGER (0..15)

-- ASN1STOP
```

# 6.3.5 Measurement information elements

# AllowedMeasBandwidth

The IE *AllowedMeasBandwidth* is used to indicate the maximum allowed measurement bandwidth on a carrier frequency as defined by the parameter Transmission Bandwidth Configuration "N<sub>RB</sub>" TS 36.104 [47]. The values mbw6, mbw15, mbw25, mbw50, mbw75, mbw100 indicate 6, 15, 25, 50, 75 and 100 resource blocks respectively.

#### AllowedMeasBandwidth information element

```
-- ASN1START

AllowedMeasBandwidth ::= ENUMERATED {mbw6, mbw15, mbw25, mbw50, mbw75, mbw100}

-- ASN1STOP
```

# BT-NameList

The IE BT-NameList is used to indicate the names of the Bluetooth beacon which the UE is configured to measure.

# BT-NameList information element

```
-- ASN1START

BT-NameListConfig-r15 ::= CHOICE{
    release NULL,
    setup BT-NameList-r15
}

BT-NameList-r15 ::= SEQUENCE (SIZE (1..maxBT-Name-r15)) OF BT-Name-r15

BT-Name-r15 ::= OCTET STRING (SIZE (1..248))

-- ASN1STOP
```

#### BT-NameList field descriptions

#### bt-Name

If configured, the UE only performs Bluetooth measurements according to the names identified. For each name, it refers to LOCAL NAME defined in Bluetooth specification [93].

# – CSI-RSRP-Range

The IE *CSI-RSRP-Range* specifies the value range used in CSI-RSRP measurements and thresholds. Integer value for CSI-RSRP measurements according to mapping table in TS 36.133 [16].

# CSI-RSRP-Range information element

```
-- ASN1START

CSI-RSRP-Range-r12 ::= INTEGER(0..97)

-- ASN1STOP
```

# – Hysteresis

The IE *Hysteresis* is a parameter used within the entry and leave condition of an event triggered reporting condition. The actual value is field value \* 0.5 dB, except if included in *reportConfigEUTRA* and associated to *eventV1* or *eventV2*. If included in *reportConfigEUTRA* and associated to *eventV1* or *eventV2*, the actual value is field value divided by 100.

#### Hysteresis information element

```
-- ASN1START

Hysteresis ::= INTEGER (0..30)

-- ASN1STOP
```

#### LocationInfo

The IE *LocationInfo* is used to transfer detailed location information available at the UE to correlate measurements and UE position information.

#### LocationInfo information element

```
-- ASN1START
LocationInfo-r10 ::=
                       SEOUENCE {
                                             CHOICE {
    locationCoordinates-r10
                                                 OCTET STRING,
        ellipsoid-Point-r10
        ellipsoidPointWithAltitude-r10
                                                 OCTET STRING,
        ellipsoidPointWithUncertaintyCircle-r11
                                                                  OCTET STRING.
        ellipsoidPointWithUncertaintyEllipse-r11
                                                                  OCTET STRING,
        ellipsoidPointWithAltitudeAndUncertaintyEllipsoid-r11
                                                                  OCTET STRING,
        ellipsoidArc-r11
                                                                  OCTET STRING,
        polygon-r11
                                                                  OCTET STRING
    horizontalVelocity-r10
                                             OCTET STRING
                                                                          OPTIONAL,
    gnss-TOD-msec-r10
                                             OCTET STRING
                                                                          OPTIONAL,
    [[ verticalVelocityInfo-r15 verticalVelocity-r15
                                         CHOICE {
                                                  OCTET STRING,
            verticalVelocityAndUncertainty-r15 OCTET STRING
                OPTIONAL
    11
-- ASN1STOP
```

#### LocationInfo field descriptions

#### ellipsoidArc

Parameter *EllipsoidArc* defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

#### ellipsoid-Point

Parameter *Ellipsoid-Point* defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

# ellipsoidPointWithAltitude

Parameter *EllipsoidPointWithAltitude* defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

# ellipsoidPointWithAltitudeAndUncertaintyEllipsoid

Parameter *EllipsoidPointWithAltitudeAndUncertaintyEllipsoid* defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

# ellipsoidPointWithUncertaintyCircle

Parameter *Ellipsoid-PointWithUncertaintyCircle* defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

# ellipsoidPointWithUncertaintyEllipse

Parameter *EllipsoidPointWithUncertaintyEllipse* defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

#### gnss-TOD-msec

Parameter *Gnss-TOD-msec* defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

# horizontalVelocity

Parameter *HorizontalVelocity* defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

# polygon

Parameter Polygon defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

# verticalVelocityAndUncertainty

Parameter *verticalVelocityAndUncertainty* corresponds to *horizontalWithVerticalVelocityAndUncertainty* defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

# verticalVelocity

Parameter *verticalVelocity* corresponds to *horizontalWithVerticalVelocity* defined in TS 36.355 [54]. The first/leftmost bit of the first octet contains the most significant bit.

# LogMeasResultListBT

The IE *LogMeasResultListBT* covers measured results for Bluetooth.

# LogMeasResultListBT information element

#### LogMeasResultListBT field descriptions

#### bt-Addr

This field indicates the Bluetooth public address of the Bluetooth beacon as defined in TS 36.355 [54].

# rssi-BT

This field provides the beacon received signal strength indicator (RSSI) in dBm as defined in TS 36.355 [54].

# LogMeasResultListWLAN

The IE *LogMeasResultListWLAN* covers measured results for WLAN.

# LogMeasResultListWLAN information element

# LogMeasResultListWLAN field descriptions

#### rssiWLAN

Measured WLAN RSSI result in dBm.

#### rtt-WLAN

This field provides the measured round trip time between the target device and WLAN AP and optionally the accuracy expressed as the standard deviation of the delay. Units for each of these are 1000ns, 100ns, 10ns, 1ns, and 0.1ns as defined in TS 36.355 [54].

#### wlan-Identifiers

Indicates the WLAN parameters used for identification of the WLAN for which the measurement results are applicable.

# – MaxRS-IndexCellQualNR

The IE *MaxRS-IndexCellQualNR* indicates the maximum number of RS indices to be considered/ averaged to derive the cell quality for RRM.

# MaxRS-IndexCellQualNR information element

```
-- ASN1START

MaxRS-IndexCellQualNR-r15::= INTEGER (1..maxRS-IndexCellQual-r15)
```

# – MBSFN-RSRQ-Range

The IE *MBSFN-RSRQ-Range* specifies the value range used in MBSFN RSRQ measurements. Integer value for MBSFN RSRQ measurements according to mapping table in TS 36.133 [16].

# MBSFN-RSRQ-Range information element

```
-- ASN1START

MBSFN-RSRQ-Range-r12 ::= INTEGER(0..31)

-- ASN1STOP
```

# – MeasConfig

The IE *MeasConfig* specifies measurements to be performed by the UE, and covers intra-frequency, inter-frequency and inter-RAT mobility as well as configuration of measurement gaps.

#### MeasConfig information element

```
-- ASN1START
MeasConfig ::=
                                    SEQUENCE {
    -- Measurement objects
   {\tt measObjectToRemoveList}
                                        MeasObjectToRemoveList
                                                                            OPTIONAL,
                                                                                         -- Need ON
   measObjectToAddModList
                                        MeasObjectToAddModList
                                                                             OPTIONAL,
                                                                                         -- Need ON
    -- Reporting configurations
   reportConfigToRemoveList
                                                                            OPTIONAL.
                                                                                         -- Need ON
                                        ReportConfigToRemoveList
                                                                                         -- Need ON
    reportConfigToAddModList
                                        {\tt ReportConfigToAddModList}
                                                                            OPTIONAL,
    -- Measurement identities
    measIdToRemoveList
                                        MeasIdToRemoveList
                                                                            OPTIONAL,
                                                                                         -- Need ON
                                                                                         -- Need ON
   measIdToAddModList
                                        MeasIdToAddModList
                                                                            OPTIONAL,
    -- Other parameters
                                                                            OPTIONAL,
    quantityConfig
                                        QuantityConfig
                                                                                         -- Need ON
    measGapConfig
                                        MeasGapConfig
                                                                                        -- Need ON
                                                                            OPTIONAL,
                                                                            OPTIONAL,
                                                                                        -- Need ON
    s-Measure
                                        RSRP-Range
                                                                            OPTIONAL,
                                                                                        -- Need OP
    preRegistrationInfoHRPD
                                        PreRegistrationInfoHRPD
    speedStatePars
                          CHOICE {
                                            NULL,
        release
                                            SEQUENCE {
        setup
            mobilityStateParameters
                                                MobilityStateParameters,
            timeToTrigger-SF
                                                SpeedStateScaleFactors
    }
                                                                             OPTIONAL,
                                                                                         -- Need ON
       measObjectToAddModList-v9e0
    11
                                            MeasObjectToAddModList-v9e0
                                                                            OPTIONAL
                                                                                         -- Need ON
       allowInterruptions-r11
                                            BOOLEAN
                                                                             OPTIONAL
                                                                                         -- Need ON
    ] ]
    ]],
    [[ measScaleFactor-r12
                                    CHOICE {
           release
                                       NULL,
                                        MeasScaleFactor-r12
            setup
                                                                        OPTIONAL, -- Need ON
                                                                        OPTIONAL, -- Need ON
OPTIONAL, -- Need ON
        measIdToRemoveListExt-r12
                                            MeasIdToRemoveListExt-r12
        measIdToAddModListExt-r12
                                            MeasIdToAddModListExt-r12
        measRSRQ-OnAllSymbols-r12
                                                                            OPTIONAL,
                                                                                    -- Need ON
                                        BOOLEAN
                                                                        OPTIONAL
    ]],
    ] ]
                                                                            OPTIONAL,
        measObjectToRemoveListExt-r13
                                            MeasObjectToRemoveListExt-r13
                                                                                         -- Need ON
                                            MeasObjectToAddModListExt-r13
                                                                            OPTIONAL,
                                                                                        -- Need ON
        measObjectToAddModListExt-r13
        measIdToAddModList-v1310
                                            MeasIdToAddModList-v1310
                                                                            OPTIONAL,
                                                                                        -- Need ON
        measIdToAddModListExt-v1310
                                            MeasIdToAddModListExt-v1310
                                                                            OPTIONAL
                                                                                         -- Need ON
                                            MeasGapConfigPerCC-List-r14
                                                                            OPTIONAL,
    [[ measGapConfigPerCC-List-r14
                                                                                         -- Need ON
        measGapSharingConfig-r14
                                            MeasGapSharingConfig-r14
                                                                             OPTIONAL
                                                                                         -- Need ON
       fr1-Gap-r15
                                                BOOLEAN
                                                            OPTIONAL,
                                                                        -- Need ON
    [ [
        mgta-r15
                                                BOOLEAN
                                                                            OPTIONAL
                                                                                         -- Need ON
    11,
    [[ measGapConfigDensePRS-r15
                                            MeasGapConfigDensePRS-r15 OPTIONAL, -- Need ON
```

```
heightThreshRef-r15 CHOICE {
            release
                                    NULL,
                                    INTEGER (0..31)
            setup
                                                OPTIONAL
                                                             --Need ON
    ]]
}
                                    SEQUENCE (SIZE (1..maxMeasId)) OF MeasId
MeasIdToRemoveList ::=
MeasIdToRemoveListExt-r12 ::=
                                    SEQUENCE (SIZE (1..maxMeasId)) OF MeasId-v1250
MeasObjectToRemoveList ::=
                                    SEOUENCE (SIZE (1..maxObjectId)) OF MeasObjectId
MeasObjectToRemoveListExt-r13 ::=
                                    SEQUENCE (SIZE (1..maxObjectId)) OF MeasObjectId-v1310
ReportConfigToRemoveList ::=
                                    SEQUENCE (SIZE (1..maxReportConfigId)) OF ReportConfigId
-- ASN1STOP
```

# MeasConfig field descriptions

#### allowInterruptions

Value TRUE indicates that the UE is allowed to cause interruptions to serving cells when performing measurements of deactivated SCell carriers for *measCycleSCell* of less than 640ms, as specified in TS 36.133 [16]. E-UTRAN enables this field only when an SCell is configured.

#### fr1-Gap

Indicates whether the gap is only applicable for measurements on FR1. E-UTRAN only sets this field to *TRUE* when the UE is configured with (NG)EN-DC.

#### heightThreshRef

Reference height threshold for *eventH1* and *eventH2* in *reportConfig*. Value 0 refers to -420m, value 1 refers to - 120m, and so on until value 30 refers to 8880m. The actual value is height in meters relative to sea level. Value 31 is reserved.

# measGapConfig

Used to setup and release measurement gaps. E-UTRAN includes either measGapConfig or measGapConfigPerCC-List, if any.

#### meas Gap Config Dense PRS

Used to setup and release additional measurement gap pattern with dense PRS configuration as specified in TS 36.133 [16], Table 8.1.2.1-3. E-UTRAN configures this field only when UE indicates the preference of measurement gap configuration for dense PRS, i.e., *measPRS-Offset-r15*.

#### measGapConfigPerCC-List

Used to setup and release serving cell sepecific measurement gaps. E-UTRAN includes either *measGapConfig* or *measGapConfigPerCC*-List, if any.

#### meas Gap Sharing Config

Used to setup and release measurement gap sharing for intra- and inter-frequency measurement as specified in TS 36.133 [16].

# measIdToAddModList

List of measurement identities. Field *measIdToAddModListExt* includes additional measurement identities i.e. extends the size of the measurement identity list using the general principles specified in 5.1.2. If E-UTRAN includes *measIdToAddModList-v1310* it includes the same number of entries, and listed in the same order, as in *measIdToAddModList* (i.e. without suffix). If E-UTRAN includes *measIdToAddModListExt-v1310*, it includes the same number of entries, and listed in the same order, as in *measIdToAddModListExt-r12*.

#### measIdToRemoveList

List of measurement identities to remove. Field *measIdToRemoveListExt* includes additional measurement identities i.e. extends the size of the measurement identity list using the general principles specified in 5.1.2.

# measObjectToAddModList

If E-UTRAN includes measObjectToAddModList-v9e0 it includes the same number of entries, and listed in the same order, as in measObjectToAddModList (i.e. without suffix). Field measObjectToAddModListExt includes additional measurement object identities i.e. extends the size of the measurement object identity list using the general principles specified in 5.1.2.

# measObjectToRemoveList

List of measurement objects to remove. Field *measObjectToRemoveListExt* includes additional measurement object identities i.e. extends the size of the measurement object identity list using the general principles specified in 5.1.2.

#### measRSRQ-OnAllSymbols

Value *TRUE* indicates that the UE shall, when performing RSRQ measurements, perform RSRQ measurement on all OFDM symbols in accordance with TS 36.214 [48]. If *widebandRSRQ-Meas* is enabled for the frequency in *MeasObjectEUTRA*, the UE shall, when performing RSRQ measurements, perform RSRQ measurement on all OFDM symbols with wider bandwidth for concerned frequency in accordance with TS 36.214 [48].

# measScaleFactor

Even if reducedMeasPerformance is not included in any measObjectEUTRA or measObjectUTRA, E-UTRAN may configure this field. The UE behavior is specified in TS 36.133 [16].

# MeasConfig field descriptions

#### mgta

Indicates whether a timing advance value of 0.5 ms is applicable to the measurement gap configuration provided by E-UTRAN according to TS 38.133 [16]. E-UTRAN sets *mgta* to TRUE only when the UE is configured to perform NR measurements.

# preRegistrationInfoHRPD

The CDMA2000 HRPD Pre-Registration Information tells the UE if it should pre-register with the CDMA2000 HRPD network and identifies the Pre-registration zone to the UE.

#### reportConfigToRemoveList

List of measurement reporting configurations to remove.

#### s-Measure

PCell (or PSCell, if the UE is in NE-DC) quality threshold controlling whether or not the UE is required to perform measurements of intra-frequency, inter-frequency and inter-RAT neighbouring cells. Value "0" indicates to disable *s-Measure*.

# timeToTrigger-SF

The timeToTrigger in ReportConfigEUTRA and in ReportConfigInterRAT are multiplied with the scaling factor applicable for the UE's speed state.

# MeasDS-Config

The IE MeasDS-Config specifies information applicable for discovery signals measurement.

# MeasDS-Config information elements

```
-- ASN1START
                                 CHOICE {
MeasDS-Config-r12 ::=
    release
                                     NULL,
                                      SEQUENCE {
        dmtc-PeriodOffset-r12
                                          CHOICE {
                                             INTEGER(0..39),
            ms40-r12
            ms80-r12
                                              INTEGER(0..79)
            ms160-r12
                                              INTEGER(0..159),
        ds-OccasionDuration-r12
                                     CHOICE {
            durationFDD-r12
                                         INTEGER(1..maxDS-Duration-r12),
                                          INTEGER(2..maxDS-Duration-r12)
            durationTDD-r12
        measCSI-RS-ToRemoveList-r12 MeasCSI-RS-ToRemoveList-r12 OPTIONAL,
                                                                                -- Need ON
        measCSI-RS-ToAddModList-r12 MeasCSI-RS-ToAddModList-r12 OPTIONAL,
                                                                                -- Need ON
}
MeasCSI-RS-ToRemoveList-r12 ::= SEQUENCE (SIZE (1..maxCSI-RS-Meas-r12)) OF MeasCSI-RS-Id-r12
MeasCSI-RS-ToAddModList-r12 ::= SEQUENCE (SIZE (1..maxCSI-RS-Meas-r12)) OF MeasCSI-RS-Config-r12
MeasCSI-RS-Id-r12 ::=
                                INTEGER (1..maxCSI-RS-Meas-r12)
MeasCSI-RS-Config-r12 ::=
                                SEQUENCE {
   measCSI-RS-Id-r12
                                 MeasCSI-RS-Id-r12,
    physCellId-r12
                                     INTEGER (0..503),
   resourceConfig-r12 INTEGER (0..503), resourceConfig-r12 INTEGER (0..31), resourceConfig-r12 INTEGER (0..31), respectively.
    subframeOffset-r12
                                     INTEGER (0..4),
    csi-RS-IndividualOffset-r12 Q-OffsetRange,
-- ASN1STOP
```

# MeasDS-Config field descriptions

#### csi-RS-IndividualOffset

CSI-RS individual offset applicable to a specific CSI-RS resource. Value dB-24 corresponds to -24 dB, dB-22 corresponds to -22 dB and so on.

#### dmtc-PeriodOffset

Indicates the discovery signals measurement timing configuration (DMTC) periodicity (*dmtc-Periodicity*) and offset (*dmtc-Offset*) for this frequency. For DMTC periodicity, value ms40 corresponds to 40ms, ms80 corresponds to 80ms and so on. The value of DMTC offset is in number of subframe(s). The duration of a DMTC occasion is 6ms.

#### ds-OccasionDuration

Indicates the duration of discovery signal occasion for this frequency. Discovery signal occasion duration is common for all cells transmitting discovery signals on one frequency. If the *carrierFreq* in the measurement object is on an unlicensed band as specified in [42], the UE shall ignore the field *ds-OccasionDuration* for the carrier frequency and apply a value 1 instead.

#### measCSI-RS-ToAddModList

List of CSI-RS resources to add/ modify in the CSI-RS resource list for discovery signals measurement.

#### measCSI-RS-ToRemoveList

List of CSI-RS resources to remove from the CSI-RS resource list for discovery signals measurement.

#### physCellId

Indicates the physical cell identity where UE may assume that the CSI-RS and the PSS/SSS/CRS corresponding to the indicated physical cell identity are quasi co-located with respect to average delay and doppler shift.

#### resourceConfig

Parameter: CSI reference signal configuration, see TS 36.211 [21], tables 6.10.5.2-1 and 6.10.5.2-2. If the *carrierFreq* in the measurement object is on an unlicensed band as specified in TS 36.101 [42], E-UTRAN does not configure the values {0, 4, 5, 9, 10, 11, 18, 19}.

#### scramblingIdentity

Parameter: Pseudo-random sequence generator parameter,  $n_{\mathrm{ID}}$ , see TS 36.213 [23], clause 7.2.5.

#### subframeOffset

Indicates the subframe offset between SSS of the cell indicated by physCellId and the CSI-RS resource in a discovery signal occasion. The field *subframeOffset* is set to values 0 if the *carrierFreq* in the measurement object is on an unlicensed band as specified in TS 36.101 [42].

# – MeasGapConfig

The IE *MeasGapConfig* specifies the measurement gap configuration and controls setup/ release of measurement gaps.

# MeasGapConfig information element

```
-- ASN1START
MeasGapConfig ::=
                                     CHOICE {
    release
                                         NULL.
                                         SEQUENCE {
    setup
        qapOffset
                                             CHOICE {
                                                      INTEGER (0..39),
                ap0
                gp1
                                                      INTEGER (0..79),
                gp2-r14
                                                      INTEGER (0..39),
                                                     INTEGER (0..79),
                qp3-r14
                gp-ncsg0-r14
                                                     INTEGER (0..39),
                                                      INTEGER (0..79),
                gp-ncsg1-r14
                gp-ncsg2-r14
                                                     INTEGER (0..39),
                gp-ncsg3-r14
                                                     INTEGER (0..79)
                gp-nonUniform1-r14
                                                     INTEGER (0..1279),
                gp-nonUniform2-r14
                                                     INTEGER (0..2559),
                gp-nonUniform3-r14
                                                     INTEGER (0..5119),
                qp-nonUniform4-r14
                                                     INTEGER (0..10239),
                                                     INTEGER (0..19),
                gp4-r15
                gp5-r15
                                                      INTEGER (0..159)
                gp6-r15
                                                     INTEGER (0..19),
                gp7-r15
                                                      INTEGER (0..39),
                gp8-r15
                                                     INTEGER (0..79),
                gp9-r15
                                                     INTEGER (0..159),
                gp10-r15
                                                      INTEGER (0..19)
                gp11-r15
                                                     INTEGER (0..159)
        }
   }
```

#### MeasGapConfig field descriptions

#### gapOffset

Value gapOffset of gp0 corresponds to gap offset of Gap Pattern Id "0" with MGRP = 40ms, gapOffset of gp1 corresponds to gap offset of Gap Pattern Id "1" with MGRP = 80ms, gapOffset of gp2 corresponds to gap offset of Gap Pattern Id "2" with MGRP = 40ms and MGL = 3ms, gapOffset of gp3 Gap Pattern Id "3" with MGRP = 80ms and MGL = 3ms, gapOffset of gp-ncsg0 corresponds to gap offset of NCSG Pattern Id "0" with VIRP = 40ms and ML = 4ms, gapOffset of gp-ncsg1 corresponds to gap offset of of NCSG Pattern Id "1" with VIRP = 80ms and ML = 4ms, gapOffset of gp-ncsg2 corresponds to gap offset of NCSG Pattern Id "2" with VIRP = 40ms and ML = 3ms, gapOffset of gp-ncsg3 corresponds to gap offset of of NCSG Pattern Id "3" with VIRP = 80ms and ML =3ms. gapOffset of gpnonUniform1 corresponds to gap offset of non uniform gap pattern Id "1" with LMGRP = 1280ms, gapOffset of gpnonUniform2 corresponds to gap offset of non uniform gap pattern Id "2" with LMGRP = 2560ms, gapOffset of gpnonUniform3 corresponds to gap offset of non uniform gap pattern Id "3" with LMGRP = 5120ms, gapOffset of gpnonUniform4 corresponds to gap offset of non uniform gap pattern Id "4" with LMGRP = 10240ms. Also used to specify the measurement gap pattern to be applied, as defined in TS 36.133 [16]. For Gap Patterns (including nonuniform gap patterns, but excluding NCSG patterns), E-UTRAN includes the same gapOffset value (gap pattern id and gap offset) for all serving cells that are configured with a Gap Pattern. For NCSG Patterns, E-UTRAN includes gapOffset value indicating VIRP and gap offset consistent with the Gap Pattern configuration (MGRP and gap offset). Value gapOffset of gp4, gp5,...,gp11 are corresponding to gap pattern with Gap Pattern ID 4, 5,...11 respectively, see TS 38.133 [84], Table 9.1.2-1. Value *gp4*, *gp5*, ..., *gp11* can be applied for (NG)EN-DC, see TS 38.133 [84], Table 9.1.2-2

#### servCellId

Identifies the serving cell for which measurement gap configuration is provided (setup) or deleted (release).

# MeasGapConfigDensePRS

The IE *MeasGapConfigDensePRS* specifies the additional measurement gap pattern configuration for RSTD measurements with dense PRS configuration, see TS 36.133 [16], Table 8.1.2.1-3. Measurement gaps are configured according to applicability rules specified in 36.133 [16], Table 8.1.2.1-3.

#### MeasGapConfigDensePRS information element

```
-- ASN1START
MeasGapConfigDensePRS-r15 ::=
                                CHOICE {
    release
                                     SEQUENCE {
    setup
        gapOffsetDensePRS-r15
                                         CHOICE {
            rstd0-r15
                                             INTEGER (0..79),
            rstd1-r15
                                             INTEGER (0..159),
            rstd2-r15
                                             INTEGER (0..319),
            rstd3-r15
                                             INTEGER (0..639),
            rstd4-r15
                                             INTEGER (0..1279),
                                             INTEGER (0..159),
            rstd5-r15
            rstd6-r15
                                             INTEGER (0..319)
            rstd7-r15
                                             INTEGER (0..639)
            rstd8-r15
                                             INTEGER (0..1279),
            rstd9-r15
                                             INTEGER (0..319),
                                             INTEGER (0..639),
            rstd10-r15
            rstd11-r15
                                             INTEGER (0..1279),
            rstd12-r15
                                             INTEGER (0..319),
                                             INTEGER (0..639),
            rstd13-r15
                                             INTEGER (0..1279),
            rstd14-r15
            rstd15-r15
                                             INTEGER (0..639),
                                             INTEGER (0..1279),
            rstd16-r15
            rstd17-r15
                                             INTEGER (0..639),
                                             INTEGER (0..1279),
            rstd18-r15
            rstd19-r15
                                             INTEGER (0..639)
            rstd20-r15
                                             INTEGER (0..1279),
-- ASN1STOP
```

# MeasGapConfigDensePRS field descriptions

# gapOffsetDensePRS

Indicates the gap offset for performing RSTD measurements with dense PRS configurations as specified in 5.5.2.9a corresponding to measurement gap pattern ID specified in TS 36.133 [16].

# MeasGapConfigPerCC-List

The IE *MeasGapConfigPerCC-List* specifies the measurement gap configuration and controls setup/ release of measurement gaps.

# MeasGapConfigPerCC-List information element

```
-- ASN1START
MeasGapConfigPerCC-List-r14 ::= CHOICE {
    release
                               NULL,
                               SEOUENCE {
       measGapConfigToRemoveList-r14 MeasGapConfigToRemoveList-r14
                                                                       OPTIONAL,
                                                                                   -- Need ON
       measGapConfigToAddModList-r14 MeasGapConfigToAddModList-r14 OPTIONAL
                                                                                   -- Need ON
                                  SEQUENCE (SIZE (1..maxServCell-r13)) OF ServCellIndex-r13
MeasGapConfigToRemoveList-r14 ::=
MeasGapConfigToAddModList-r14 ::= SEQUENCE (SIZE (1..maxServCell-r13)) OF MeasGapConfigPerCC-r14
MeasGapConfigPerCC-r14 ::= SEQUENCE {
                               ServCellIndex-r13,
    servCellId-r14
    measGapConfigCC-r14
                               MeasGapConfig
-- ASN1STOP
```

# MeasGapConfigPerCC-List field descriptions

#### measGapConfigToAddModList

List of serving cells and corresponding serving cell specific measurement gap configuration to add /modify.

# measGapConfigToRemoveList

List of serving cells for which measurement gap configuration is removed.

# MeasGapSharingConfig

The IE *MeasGapSharingConfig* specifies the measurement gap sharing scheme and controls setup/ release of measurement gap sharing.

# MeasGapSharingConfig information element

```
-- ASN1START

MeasGapSharingConfig-r14 ::= CHOICE {
    release NULL,
    setup SEQUENCE {
        measGapSharingScheme-r14 ENUMERATED {scheme00, scheme01, scheme11}
    }
}

-- ASN1STOP
```

# MeasGapSharingConfig field descriptions

#### measGapSharingScheme

Indicates the measurement gaps sharing scheme for BL UEs in CE mode A and CE mode B and for (NG)EN-DC (for the measurement gap configured by E-UTRAN). For BL UEs, see TS 36.133 [16], Table 8.13.2.1.1.1-2 and Table 8.13.3.1.1.1-3. For (NG)EN-DC, see TS 36.133 [16], Table 8.17.1.1-1. Value *scheme00* corresponds to "00", value *scheme01* corresponds to "01", and so on.

#### – Measld

The IE *MeasId* is used to identify a measurement configuration, i.e., linking of a measurement object and a reporting configuration.

#### MeasId information element

```
-- ASN1START

MeasId ::= INTEGER (1..maxMeasId)

MeasId-v1250 ::= INTEGER (maxMeasId-Plus1..maxMeasId-r12)

-- ASN1STOP
```

# MeasIdleConfig

The IE *MeasIdleConfig* is used to convey information to UE about measurements requested to be done while in RRC\_IDLE or RRC\_INACTIVE.

# MeasIdleConfig information element

```
-- ASN1START
MeasIdleConfigSIB-r15 ::= SEQUENCE {
   measIdleCarrierListEUTRA-r15
                                 EUTRA-CarrierList-r15,
MeasIdleConfigDedicated-r15 ::= SEQUENCE {
   measIdleCarrierListEUTRA-r15 EUTRA-CarrierList-r15
                                                                  OPTIONAL, -- Need OR
   measIdleDuration-r15 ENUMERATED {sec10, sec30, sec60, sec120,
                                            sec180, sec240, sec300, spare},
}
EUTRA-CarrierList-r15 ::= SEQUENCE (SIZE (1..maxFreqIdle-r15)) OF MeasIdleCarrierEUTRA-r15
MeasIdleCarrierEUTRA-r15::= SEQUENCE {
   allowedMeasBandwidth-r15 AllowedMeasBandwidth validityArea-r15 CellList-r15 measCellList-r15
   carrierFreq-r15
                                    AllowedMeasBandwidth,
                                                                  OPTIONAL,
   -- Need OR
                                                                  OPTIONAL.
                                                                 OPTIONAL,
                                                                              -- Need OR
                                                                  OPTIONAL
                                                                              -- Need OR
                                                                            -- Need OP
   }
                                                                  OPTIONAL.
CellList-r15 ::=
                      SEQUENCE (SIZE (1..maxCellMeasIdle-r15)) OF PhysCellIdRange
-- ASN1STOP
```

# MeasIdleConfig field descriptions

#### allowedMeasBandwidth

If absent, the value corresponding to the downlink bandwidth indicated by the *dl-Bandwidt*h included in *MasterInformationBlock* of serving cell applies.

#### carrierFreq

Indicates the E-UTRA carrier frequency to be used for measurements during RRC\_IDLE or RRC\_INACTIVE mode.

#### measIdleCarrierListEUTRA

Indicates the E-UTRA carriers to be measured during RRC\_IDLE or RRC\_INACTIVE mode.

#### measIdleDuration

Indicates the duration for performing measurements during RRC\_IDLE or RRC\_INACTIVE mode for measurements assigned via *RRCConnectionRelease*. Value sec10 correspond to 10 seconds, value sec30 to 30 seconds and so on.

#### qualityThreshold

Indicates the quality thresholds for reporting the measured cells for IDLE mode measurements. If absent, PCell and up to *maxCellMeasIdle* strongest identified cells are considered for idle mode measurement reporting.

#### reportQuantities

Indicates which measurement quantities UE is requested to report in the idle mode measurement report. In this version of the specification, E-UTRAN always configures the value 'both'.

#### measCellList

Indicates the list of cells which the UE is requested to measure and report for IDLE mode measurements.

#### validityArea

Indicates the list of cells within which UE is requested to do IDLE mode measurements. If the UE reselects to a cell whose physical cell identity does not match any entry in *validityArea* for the corresponding carrier frequency, the measurements are no longer required. E-UTRAN configures this field only in *RRCConnectionRelease*.

# MeasIdToAddModList

The IE *MeasIdToAddModList* concerns a list of measurement identities to add or modify, with for each entry the *measId*, the associated *measObjectId* and the associated *reportConfigId*. Field *measIdToAddModListExt* includes additional measurement identities i.e. extends the size of the measurement identity list using the general principles specified in 5.1.2.

# MeasIdToAddModList information element

```
-- ASN1START
MeasIdToAddModList ::=
                                    SEQUENCE (SIZE (1..maxMeasId)) OF MeasIdToAddMod
MeasIdToAddModList-v1310 ::=
                                    SEQUENCE (SIZE (1..maxMeasId)) OF MeasIdToAddMod-v1310
MeasIdToAddModListExt-r12 ::=
                                    SEQUENCE (SIZE (1..maxMeasId)) OF MeasIdToAddModExt-r12
MeasIdToAddModListExt-v1310 ::=
                                    SEQUENCE (SIZE (1..maxMeasId)) OF MeasIdToAddMod-v1310
MeasIdToAddMod ::= SEQUENCE {
   measId
                                        MeasId,
    measObjectId
                                        MeasObjectId,
    reportConfigId
                                        ReportConfigId
MeasIdToAddModExt-r12 ::= SEQUENCE {
    measId-v1250
                                        MeasId-v1250,
   measObjectId-r12
                                        MeasObjectId,
   reportConfigId-r12
                                        ReportConfiqId
MeasIdToAddMod-v1310 ::=
                           SEQUENCE {
    measObjectId-v1310
                               MeasObjectId-v1310
                                                        OPTIONAL
-- ASN1STOP
```

# MeasIdToAddModList field descriptions

#### measObjectId

If the measObjectId-v1310 is included, the measObjectId or measObjectId-r12 is ignored by the UE.

# MeasObjectCDMA2000

The IE MeasObjectCDMA2000 specifies information applicable for inter-RAT CDMA2000 neighbouring cells.

# MeasObjectCDMA2000 information element

```
-- ASN1START
MeasObjectCDMA2000 ::=
                                  SEQUENCE {
   cdma2000-Type
                                     CDMA2000-Type,
                                      CarrierFreqCDMA2000,
   carrierFreq
                                                                       OPTIONAL,
   searchWindowSize
                                      INTEGER (0..15)
                                                                                    -- Need ON
   offsetFreq
                                      Q-OffsetRangeInterRAT
                                                                        DEFAULT 0,
                                                                        OPTIONAL,
   cellsToRemoveList
                                     CellIndexList
                                                                                    -- Need ON
                                     CellsToAddModListCDMA2000
                                                                        OPTIONAL,
                                                                                    -- Need ON
   cellsToAddModList
   cellForWhichToReportCGI
                                                                         OPTIONAL,
                                                                                    -- Need ON
                                     PhysCellIdCDMA2000
CellsToAddModListCDMA2000 ::=
                                 SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddModCDMA2000
CellsToAddModCDMA2000 ::= SEQUENCE {
   cellIndex
                                      INTEGER (1..maxCellMeas),
   physCellId
                                      PhysCellIdCDMA2000
-- ASN1STOP
```

# CarrierInfo Identifies CDMA2000 carrier frequency for which this configuration is valid. cdma2000-Type The type of CDMA2000 network: CDMA2000 1xRTT or CDMA2000 HRPD. cellIndex Entry index in the neighbouring cell list. cells ToAddModList List of cells to add/ modify in the neighbouring cell list. cells ToRemoveList List of cells to remove from the neighbouring cell list. physCellId CDMA2000 Physical cell identity of a cell in neighbouring cell list expressed as PNOffset. searchWindowSize Provides the search window size to be used by the UE for the neighbouring pilot, see C.S0005 [25].

# MeasObjectEUTRA

The IE MeasObjectEUTRA specifies information applicable for intra-frequency or inter-frequency E-UTRA cells.

# MeasObjectEUTRA information element

```
-- ASN1START
                               SEQUENCE {
MeasObjectEUTRA ::=
   carrierFreq
                                  ARFCN-ValueEUTRA,
   allowedMeasBandwidth
presenceAntennaPort1
                                  AllowedMeasBandwidth,
                                  PresenceAntennaPort1,
                                  NeighCellConfig,
   neighCellConfig
   offsetFreq
                                  Q-OffsetRange
                                                          DEFAULT dB0,
   -- Cell list
                                CellIndexList
                                                          OPTIONAL,
   cellsToRemoveList
                                                                         -- Need ON
                                  CellsToAddModList
   cellsToAddModList
                                                          OPTIONAL,
                                                                         -- Need ON
    - Black list
                                                          OPTIONAL,
   blackCellsToRemoveList
                                CellIndexList
                                                                         -- Need ON
                                                           OPTIONAL,
   blackCellsToAddModList
                                  BlackCellsToAddModList
                                                                         -- Need ON
                                                                         -- Need ON
   cellForWhichToReportCGI
                                  PhysCellId
                                                          OPTIONAL,
                                  MeasCycleSCell-r10
                                                     OPTIONAL,
   [[measCycleSCell-r10
                                                                      -- Need ON
      -- Need ON
   11,
```

```
[[widebandRSRQ-Meas-r11 BOOLEAN OPTIONAL -- Cond WB-RSRQ
    ]],
[[ altTTT-CellsToRemoveList-r12 CellIndexList
                                                                OPTIONAL,
                                                                               -- Need ON
       altTTT-CellsToAddModList-r12 AltTTT-CellsToAddModList-r12 OPTIONAL,
                                                                                  -- Need ON
       t312-r12
                                     CHOICE {
          release
                                         NULL.
                                         ENUMERATED {ms0, ms50, ms100, ms200,
           setup
                                         ms300, ms400, ms500, ms1000}
                                                    OPTIONAL, -- Need ON
OPTIONAL, -- Need ON
       reducedMeasPerformance-r12
                                     MeasDS-Config-r12 OPTIONAL,
                                                             OPTIONAL
       measDS-Config-r12
                                                                                -- Need ON
    11,
    Π
       -- Need ON
                                                                               -- Need ON
                                    ARFCN-ValueEUTRA-v9e0 OPTIONAL
       carrierFreq-r13
                                                                                   -- Need ON
    ]],
    [[
       tx-ResourcePoolToRemoveList-r14 Tx-ResourcePoolMeasList-r14 OPTIONAL, -- Need ON tx-ResourcePoolToAddList-r14 Tx-ResourcePoolMeasList-r14 OPTIONAL, -- Need ON fembms-MixedCarrier-r14 ROOLEAN OPTIONAL
                                                                OPTIONAL
       fembms-MixedCarrier-r14
                                        BOOLEAN
                                                                                   -- Need ON
    ]],
    11
                                    MeasSensing-Config-r15
       measSensing-Config-r15
                                                              OPTIONAL
                                                                              -- Need ON
    11
}
MeasObjectEUTRA-v9e0 ::= SEQUENCE {
                                     ARFCN-ValueEUTRA-v9e0
   carrierFreq-v9e0
CellsToAddModList ::=
                                 SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddMod
CellsToAddMod ::= SEQUENCE {
   cellIndex
                                      INTEGER (1..maxCellMeas),
   physCellId
                                    PhysCellId,
   cellIndividualOffset
                                     O-OffsetRange
BlackCellsToAddModList ::=
                                 SEQUENCE (SIZE (1..maxCellMeas)) OF BlackCellsToAddMod
BlackCellsToAddMod ::= SEQUENCE {
    {\tt cellIndex}
                                     INTEGER (1..maxCellMeas),
   physCellIdRange
                                     PhysCellIdRange
MeasCycleSCell-r10 ::=
                                 ENUMERATED {sf160, sf256, sf320, sf512,
                                                sf640, sf1024, sf1280, spare1}
MeasSubframePatternConfigNeigh-r10 ::= CHOICE {
  release
                                       NULL,
                                         SEQUENCE {
       measSubframePatternNeigh-r10
                                            MeasSubframePattern-r10,
                                        MeasSubframeCellList-r10 OPTIONAL -- Cond
       measSubframeCellList-r10
always
MeasSubframeCellList-r10 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF PhysCellIdRange
AltTTT-CellsToAddModList-r12 ::=
                                SEQUENCE (SIZE (1..maxCellMeas)) OF AltTTT-CellsToAddMod-r12
AltTTT-CellsToAddMod-r12 ::= SEQUENCE {
                                          INTEGER (1..maxCellMeas),
   cellIndex-r12
   physCellIdRange-r12
                                         PhysCellIdRange
WhiteCellsToAddModList-r13 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF WhiteCellsToAddMod-r13
WhiteCellsToAddMod-r13 ::= SEQUENCE {
   cellIndex-r13
                                          INTEGER (1..maxCellMeas),
   physCellIdRange-r13
                                         PhysCellIdRange
RMTC-Config-r13 ::= CHOICE {
   release
                                      NULL.
                                      SEQUENCE {
   setup
```

## MeasObjectEUTRA field descriptions

#### altTTT-CellsToAddModList

List of cells to add/ modify in the cell list for which the alternative time to trigger specified by alternativeTimeToTrigger in reportConfigEUTRA, if configured, applies.

#### altTTT-CellsToRemoveList

List of cells to remove from the list of cells for alternative time to trigger.

#### blackCellsToAddModList

List of cells to add/ modify in the black list of cells.

#### blackCellsToRemoveList

List of cells to remove from the black list of cells.

#### carrierFreq

Identifies E-UTRA carrier frequency for which this configuration is valid. E-UTRAN does not configure more than one measurement object for the same physical frequency regardless of the E-ARFCN used to indicate this. CarrierFreq-r13 is included only when the extension list measObjectToAddModListExt-r13 is used. If *carrierFreq-r13* is present, *carrierFreq* (i.e., without suffix) shall be set to value *maxEARFCN*.

#### cellIndex

Entry index in the cell list. An entry may concern a range of cells, in which case this value applies to the entire range.

#### cellIndividualOffset

Cell individual offset applicable to a specific cell. Value dB-24 corresponds to -24 dB, dB-22 corresponds to -22 dB and so on.

## cellsToAddModList

List of cells to add/ modify in the cell list.

#### cellsToRemoveList

List of cells to remove from the cell list.

#### fembms-MixedCarrier

If this field is set to *TRUE*, the cells on the carrier frequency indicated by the *measObject* are FeMBMS/Unicast-mixed cells.

# measCycleSCell

The parameter is used only when an SCell is configured on the frequency indicated by the *measObject* and is in deactivated state, see TS 36.133 [16], clause 8.3.3. E-UTRAN configures the parameter whenever an SCell is configured on the frequency indicated by the *measObject*, but the field may also be signalled when an SCell is not configured. Value *sf160* corresponds to 160 sub-frames, *sf256* corresponds to 256 sub-frames and so on.

#### measDS-Config

Parameters applicable to discovery signals measurement on the carrier frequency indicated by carrierFreq.

#### measDuration

Number of consecutive symbols for which the Physical Layer reports samples of RSSI, see TS 36.214 [48]. Value *sym1* corresponds to one symbol, *sym14* corresponds to 14 symbols, and so on.

# measSubframeCellList

List of cells for which measSubframePatternNeigh is applied.

## measSubframePatternNeigh

Time domain measurement resource restriction pattern applicable to neighbour cell RSRP and RSRQ measurements on the carrier frequency indicated by *carrierFreq*. For cells in *measSubframeCellList* the UE shall assume that the subframes indicated by *measSubframePatternNeigh* are non-MBSFN subframes, and have the same special subframe configuration as PCell.

## offsetFreq

Offset value applicable to the carrier frequency. Value dB-24 corresponds to -24 dB, dB-22 corresponds to -22 dB and so on.

# physCellId

Physical cell identity of a cell in the cell list.

## physCellIdRange

Physical cell identity or a range of physical cell identities.

# $reduced {\it MeasPerformance}$

If set to *TRUE*, the EUTRA carrier frequency is configured for reduced measurement performance, otherwise it is configured for normal measurement performance, see TS 36.133 [16].

## rmtc-Config

Parameters applicable to RSSI and channel occupancy measurement on the carrier frequency indicated by *carrierFreq*.

## rmtc-Period

Indicates the RSSI measurement timing configuration (RMTC) periodicity for this frequency. Value *ms40* corresponds to 40 ms periodicity, *ms80* corresponds to 80 ms periodicity and so on, see TS 36.214 [48].

#### rmtc-SubframeOffset

Indicates the RSSI measurement timing configuration (RMTC) subframe offset for this frequency. The value of *rmtc-SubframeOffset* should be smaller than the value of *rmtc-Period*, see TS 36.214 [48]. For inter-frequency measurements, this field is optional present and if it is not configured, the UE chooses a random value as *rmtc-SubframeOffset* for *measDuration* which shall be selected to be between 0 and the configured *rmtc-Period* with equal probability.

## MeasObjectEUTRA field descriptions

#### t312

The value of timer T312. Value ms0 represents 0 ms, ms50 represents 50 ms and so on.

#### tx-ResourcePoolToAddList

List of transmission pools identities to be added to the list of pools configured for CBR measurements and for which poolReportId is included in SL-V2X-ConfigDedicated, SystemInformationBlockType21 or SystemInformationBlockType26.

#### tx-ResourcePoolToRemoveList

List of transmission resource pools identities to be removed from the list of pools configured for CBR measurements and for which *poolReportId* is included in *SL-V2X-ConfigDedicated*, *SystemInformationBlockType21* or *SystemInformationBlockType26*.

#### widebandRSRQ-Meas

If this field is set to TRUE, the UE shall, when performing RSRQ measurements, use a wider bandwidth in accordance with TS 36.133 [16].

#### whiteCellsToAddModList

List of cells to add/modify in the white list of cells.

## whiteCellsToRemoveList

List of cells to remove from the white list of cells.

Conditional presence	Explanation
always	The field is mandatory present.
WB-RSRQ	The field is optionally present, need ON, if the measurement bandwidth indicated by allowedMeasBandwidth is 50 resource blocks or larger; otherwise it is not present and the
	UE shall delete any existing value for this field, if configured.

# MeasObjectGERAN

The IE MeasObjectGERAN specifies information applicable for inter-RAT GERAN neighbouring frequencies.

## MeasObjectGERAN information element

```
-- ASN1START

MeasObjectGERAN ::= SEQUENCE {
    carrierFreqs CarrierFreqsGERAN,
    offsetFreq Q-OffsetRangeInterRAT DEFAULT 0,
    ncc-Permitted BIT STRING(SIZE (8)) DEFAULT '11111111'B,
    cellForWhichToReportCGI PhysCellIdGERAN OPTIONAL, -- Need ON
    ...
}

-- ASN1STOP
```

# MeasObjectGERAN field descriptions

## ncc-Permitted

Field encoded as a bit map, where bit N is set to "0" if a BCCH carrier with NCC = N-1 is not permitted for monitoring and set to "1" if a BCCH carrier with NCC = N-1 is permitted for monitoring; N = 1 to 8; bit 1 of the bitmap is the leading bit of the bit string.

# carrierFreqs

If E-UTRAN includes cellForWhichToReportCGI, it includes only one GERAN ARFCN value in carrierFreqs.

# MeasObjectId

The IE MeasObjectId used to identify a measurement object configuration.

# MeasObjectId information element

```
-- ASN1START

MeasObjectId ::= INTEGER (1..maxObjectId)

MeasObjectId-v1310 ::= INTEGER (maxObjectId-Plus1-r13..maxObjectId-r13)

MeasObjectId-r13 ::= INTEGER (1..maxObjectId-r13)
```

-- ASN1STOP

# – MeasObjectNR

The IE MeasObjectNR specifies information applicable for inter-RAT NR neighbouring cells.

## MeasObjectNR information element

```
-- ASN1START
MeasObjectNR-r15 ::=
                                                                                                          SEQUENCE {
           carrierFreq-r15
                                                                                                                    ARFCN-ValueNR-r15,
           rs-ConfigSSB-r15
                                                                                                                     RS-ConfigSSB-NR-r15,
                                                                                                                   RS-ConfigSSB-NR-r15,
ThresholdListNR-r15 OPTIONAL,
MaxRS-IndexCellQualNR-r15 OPTIONAL,
Q-OffsetRangeInterRAT DEFAULT 0,
           threshRS-Index-r15
                                                                                                                                                                                                                                                                   -- Need OR
           maxRS-IndexCellQual-r15
                                                                                                                                                                                                                                                                   -- Need OR
                                                                                                                   MaxRS-Indexcelly
           offsetFreq-r15
          blackCellsToRemoveList-r15 CellIndexList OPTIONAL, -- Ne blackCellsToAddModList-r15 CellsToAddModListNR-r15 OPTIONAL, -- Ne quantityConfigSet-r15 INTEGER (1..maxQuantSetsNR-r15), cellsForWhichToReportSFTD-r15 SEQUENCE (SIZE (1..maxCellSFTD)) OF PhysCellIdNR-r15
                                                                                                                                                                                                                                                                   -- Need ON
                                                                                                                                                                                                                                                                   -- Need ON
           OPTIONAL,
                                          -- Need OR
           ...,
[[ cellForWhichToReportCGI-r15 PhysCellderiveSSB-IndexFromCell-r15 BOOLEAN SS-RSSI-r15 SS-RSSI-r1
                                                                                                                               PhysCellIdNR-r15
                                                                                                                                                                                                                                OPTIONAL,
                                                                                                                                                                                                                                                                   -- Need ON
                                                                                                                                                                                                                               OPTIONAL, -- Need ON
                       ss-RSSI-Measurement-r15
                                                                                                                                SS-RSSI-Measurement-r15
                                                                                                                                                                                                                              OPTIONAL,
                                                                                                                                                                                                                                                                 -- Need ON
                                                                                                        CHOICE {
                       bandNR-r15
                                  release
                                                                                                               NULL,
                                   setup
                                                                                                                    FreqBandIndicatorNR-r15
                                                                                                                                                                                                                    OPTIONAL
                                                                                                                                                                                                                                                       -- Need ON
           11
          configSSB-NR-r15 ::=
measTimingConfig-r15
RS-ConfigSSB-NR-r15 ::=
                                                                                            SEQUENCE {
                                                                                                 MTC-SSB-NR-r15,
           subcarrierSpacingSSB-r15 ENUMERATED {kHz15, kHz30, kHz120, kHz240},
           [[ ssb-ToMeasure-r15
                                                                                                          CHOICE {
                                  release
                                                                                                                   NULTITA.
                                   setup
                                                                                                                      SSB-ToMeasure-r15
                                                                                                                                                        OPTIONAL
                                                                                                                                                                                           -- Need ON
           ]]
CellsToAddModListNR-r15 ::=
                                                                                                         SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddModNR-r15
CellsToAddModNR-r15 ::=
                                                                                        SEQUENCE {
                                                                                                         INTEGER (1..maxCellMeas),
           cellIndex-r15
           physCellId-r15
                                                                                                          PhysCellIdNR-r15
-- ASN1STOP
```

## MeasObjectNR field descriptions

#### bandNR

Indicates the frequency band of the NR carrier frequency configured in this *MeasObjectNR*. This field is always set to setup when the network configures measurements with this *MeasObjectNR*.

#### carrierFreq

Identifies the SSB frequency to be measured. E-UTRAN does not configure more than one measurement object for the same SSB frequency.

## deriveSSB-IndexFromCell

The field indicates whether the UE may use, to derive the SSB index of a cell on the indicated SSB frequency and subcarrier spacing, the timing of the NR serving cell with the same SSB frequency and subcarrier spacing if configured. Otherwise, the field indicates whether the UE may use the timing of any detected cell with the same SSB frequency and subcarrier spacing.

## quantityConfigSet

Indicates the n-th element of quantityConfigNRList provided in MeasConfig.

#### rs-ConfigSSB

Indicates the SSB configuration for measuring the set of SS blocks within the SMTC measurement duration.

#### threshRS-Index

List of thresholds for consolidation of L1 measurements per RS index.

# MeasObjectToAddModList

The IE MeasObjectToAddModList concerns a list of measurement objects to add or modify

# MeasObjectToAddModList information element

```
-- ASN1START
MeasObjectToAddModList ::=
                                    SEQUENCE (SIZE (1..maxObjectId)) OF MeasObjectToAddMod
MeasObjectToAddModListExt-r13 ::=
                                    SEQUENCE (SIZE (1..maxObjectId)) OF MeasObjectToAddModExt-r13
MeasObjectToAddModList-v9e0 ::=
                                    SEQUENCE (SIZE (1..maxObjectId)) OF MeasObjectToAddMod-v9e0
MeasObjectToAddMod ::= SEQUENCE {
    measObjectId
                                        MeasObjectId,
   measObject
                                        CHOICE {
                                            MeasObjectEUTRA,
       measObjectEUTRA
        measObjectUTRA
                                            MeasObjectUTRA,
        measObjectGERAN
                                            MeasObjectGERAN
        measObjectCDMA2000
                                            MeasObjectCDMA2000,
        measObjectWLAN-r13
                                            MeasObjectWLAN-r13,
        measObjectNR-r15
                                            MeasObjectNR-r15
}
MeasObjectToAddModExt-r13 ::=
                                SEQUENCE {
    measObjectId-r13
                                        MeasObjectId-v1310,
    measObject-r13
                                            CHOICE {
                                                MeasObjectEUTRA,
        measObjectEUTRA-r13
        measObjectUTRA-r13
                                                MeasObjectUTRA,
        measObjectGERAN-r13
                                                 MeasObjectGERAN,
        measObjectCDMA2000-r13
                                                MeasObjectCDMA2000,
        measObjectWLAN-v1320
                                                MeasObjectWLAN-r13,
        measObjectNR-r15
                                                MeasObjectNR-r15
}
MeasObjectToAddMod-v9e0 ::= SEQUENCE {
    measObjectEUTRA-v9e0
                                        MeasObjectEUTRA-v9e0
                                                                     OPTIONAL
                                                                                 -- Cond eutra
-- ASN1STOP
```

Conditional presence	Explanation
eutra	The field is optional present, need OR, if for the corresponding entry in
	MeasObjectToAddModList or MeasObjectToAddModListExt-r13 field measObject is set to
	measObjectEUTRA and its sub-field carrierFreq is set to maxEARFCN. Otherwise the
	field is not present and the UE shall delete any existing value for this field.

# – MeasObjectUTRA

The IE MeasObjectUTRA specifies information applicable for inter-RAT UTRA neighbouring cells.

# MeasObjectUTRA information element

```
-- ASN1START
MeasObjectUTRA ::=
                                  SEQUENCE {
   carrierFreq
                                      ARFCN-ValueUTRA,
   offsetFreq
                                      Q-OffsetRangeInterRAT
                                                                 DEFAULT 0,
   cellsToRemoveList
                                      CellIndexList
                                                                 OPTIONAL,
                                                                                     -- Need ON
   cellsToAddModList
                                      CHOICE
       cellsToAddModListUTRA-FDD
                                          CellsToAddModListUTRA-FDD,
       cellsToAddModListUTRA-TDD
                                         CellsToAddModListUTRA-TDD
                                                                 OPTIONAL,
                                                                                     -- Need ON
                                      CHOICE {
   cellForWhichToReportCGI
       utra-FDD
                                          PhysCellIdUTRA-FDD,
       utra-TDD
                                          PhysCellIdUTRA-TDD
                                                                 OPTIONAL, -- Need ON
   ...,
[[ csg-allowedReportingCells-v930
                                             CSG-AllowedReportingCells-r9
                                                                             OPTIONAL
Need ON
    [ [
       reducedMeasPerformance-r12
                                            BOOLEAN
                                                           OPTIONAL -- Need ON
   11
CellsToAddModListUTRA-FDD ::=
                                  SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddModUTRA-FDD
CellsToAddModUTRA-FDD ::= SEQUENCE {
   cellIndex
                                      INTEGER (1..maxCellMeas),
                                      PhysCellIdUTRA-FDD
   physCellId
CellsToAddModListUTRA-TDD ::=
                                  SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddModUTRA-TDD
CellsToAddModUTRA-TDD ::= SEQUENCE {
   cellIndex
                                      INTEGER (1..maxCellMeas),
   physCellId
                                      PhysCellIdUTRA-TDD
CSG-AllowedReportingCells-r9 ::=
                                      SEQUENCE {
   physCellIdRangeUTRA-FDDList-r9
                                          PhysCellIdRangeUTRA-FDDList-r9 OPTIONAL -- Need OR
-- ASN1STOP
```

## MeasObjectUTRA field descriptions

#### carrierFreq

Identifies UTRA carrier frequency for which this configuration is valid. E-UTRAN does not configure more than one measurement object for the same physical frequency regardless of the ARFCN used to indicate this.

#### cellIndex

Entry index in the neighbouring cell list.

#### cellsToAddModListUTRA-FDD

List of UTRA FDD cells to add/ modify in the neighbouring cell list.

#### cellsToAddModListUTRA-TDD

List of UTRA TDD cells to add/modify in the neighbouring cell list.

#### cellsToRemoveList

List of cells to remove from the neighbouring cell list.

#### csg-allowedReportingCells

One or more ranges of physical cell identities for which UTRA-FDD reporting is allowed.

#### reducedMeasPerformance

If set to *TRUE* the UTRA carrier frequency is configured for reduced measurement performance, otherwise it is configured for normal measurement performance, see TS 36.133 [16].

# – MeasObjectWLAN

The IE *MeasObjectWLAN* specifies information applicable for inter-RAT WLAN measurements. E-UTRAN configures at least one WLAN identifier in the *MeasObjectWLAN*.

```
-- ASN1START
MeasObjectWLAN-r13 ::= SEQUENCE {
   carrierFreq-r13
                                  CHOICE {
                                     SEQUENCE (SIZE (1..maxWLAN-Bands-r13)) OF WLAN-
       bandIndicatorListWLAN-r13
BandIndicator-r13.
       carrierInfoListWLAN-r13
                                      SEQUENCE (SIZE (1..maxWLAN-CarrierInfo-r13)) OF WLAN-
CarrierInfo-r13
          OPTIONAL, -- Need ON
   wlan-ToAddModList-r13
                                    WLAN-Id-List-r13
                                                                 OPTIONAL,
                                                                             -- Need ON
                                                                 OPTIONAL,
   wlan-ToRemoveList-r13
                                      WLAN-Id-List-r13
                                                                            -- Need ON
WLAN-BandIndicator-r13 ::= ENUMERATED {band2dot4, band5, band60-v1430, spare5, spare4, spare3,
spare2, spare1, ...}
-- ASN1STOP
```

# MeasObjectWLAN field descriptions

#### bandIndicatorListWLAN

Includes the list of WLAN bands. Value band2dot4 indicates the 2.4GHz band, value band5 indicates the 5GHz band and value band60 indicates the 60GHz band.

## carrierInfoListWLAN

Includes the list of WLAN carrier information for the measurement object.

#### wlan-ToAddModList

Includes the list of WLAN identifiers to be added to the measurement configuration.

## wlan-ToRemoveList

Includes the list of WLAN identifiers to be removed from the measurement configuration.

## MeasResults

The IE MeasResults covers measured results for intra-frequency, inter-frequency and inter- RAT mobility.

#### MeasResults information element

```
-- ASN1START

MeasResults ::= SEQUENCE {
    measId MeasId,
    measResultPCell SEQUENCE {
        rsrpResult RSRP-Range,
        rsrqResult RSRQ-Range
```

```
measResultListEUTRA
                                        CHOICE {
    measResultNeighCells
                                            MeasResultListEUTRA,
                                            MeasResultListUTRA,
        measResultListGERAN
                                            MeasResultListGERAN.
       measResultsCDMA2000
                                            MeasResultsCDMA2000,
        measResultNeighCellListNR-r15
                                               MeasResultCellListNR-r15
    }
                                                                            OPTIONAL,
    [[ measResultForECID-r9
                                           MeasResultForECID-r9
                                                                           OPTIONAL
    ]],
      locationInfo-r10
                                           LocationInfo-r10
                                                                            OPTIONAL,
        measResultServFreqList-r10
                                          MeasResultServFreqList-r10
                                                                            OPTIONAL
    11,
    [[ measId-v1250
                                            MeasId-v1250
                                                                            OPTIONAL,
                                            RSRQ-Range-v1250
       measResultPCell-v1250
                                                                            OPTIONAL,
        measResultCSI-RS-List-r12
                                            MeasResultCSI-RS-List-r12
                                                                            OPTIONAL
    [[ measResultForRSSI-r13
                                            MeasResultForRSSI-r13
                                                                            OPTIONAL,
       measResultServFreqListExt-r13
                                           MeasResultServFreqListExt-r13 OPTIONAL,
                                            MeasResultSSTD-r13
        measResultSSTD-r13
                                                                            OPTIONAL,
        measResultPCell-v1310
                                            SEQUENCE {
           rs-sinr-Result-r13
                                               RS-SINR-Range-r13
                                                                            OPTIONAL.
       vul-PDCP-DelayResultList-r13 UL-PDCP-DelayResultList-r13
                                                                            OPTIONAL,
        measResultListWLAN-r13
                                           MeasResultListWLAN-r13
                                                                            OPTIONAL
    ]],
       measResultPCell-v1360
                                            RSRP-Range-v1360
                                                                            OPTIONAL
    1 1
    ]],
    [ [
       measResultListCBR-r14
                                            MeasResultListCBR-r14
                                                                            OPTIONAL.
       measResultListWLAN-r14
                                            MeasResultListWLAN-r14
    ]],
    [[ measResultServFreqListNR-r15 MeasResultServFreqListN measResultCellListSFTD-r15 MeasResultCellListSFTD-r15
                                          MeasResultServFreqListNR-r15 OPTIONAL,
                                                                           OPTIONAL
       logMeasResultListWLAN-r15 LogMeasResultListWmeasResultSensing-r15 MeasResultSensing-r15 heightUE-r15
                                           LogMeasResultListBT-r15
    [[ logMeasResultListBT-r15
                                                                           OPTIONAL,
                                           LogMeasResultListWLAN-r15
                                                                            OPTIONAL,
                                                                            OPTIONAL,
                                                                     OPTIONAL
                                            INTEGER (-400..8880)
       heightUE-r15
    ]]
}
MeasResultListEUTRA ::=
                                   SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultEUTRA
MeasResultEUTRA ::= SEQUENCE {
   physCellId
                                        PhysCellId,
    cgi-Info
                                        SEQUENCE {
        cellGlobalId
                                            CellGlobalIdEUTRA,
        trackingAreaCode
                                            TrackingAreaCode,
                                            PLMN-IdentityList2
       plmn-IdentityList
                                                                            OPTIONAL
                                                               OPTIONAL,
    measResult
                                        SEQUENCE {
        rsrpResult
                                            RSRP-Range
                                                                            OPTIONAL.
        rsrqResult
                                            RSRQ-Range
                                                                            OPTIONAL,
        [[ additionalSI-Info-r9
                                                AdditionalSI-Info-r9
                                                                            OPTIONAL
        11,
        [[ primaryPLMN-Suitable-r12
                                                ENUMERATED {true}
                                                                            OPTIONAL,
           measResult-v1250
                                                RSRO-Range-v1250
                                                                            OPTIONAL
        ]],
        [[ rs-sinr-Result-r13
                                                RS-SINR-Range-r13
                                                                            OPTIONAL,
           cgi-Info-v1310
                                                SEQUENCE {
                                                   FreqBandIndicator-r11
                freqBandIndicator-r13
                                                                                OPTIONAL.
                                                                                OPTIONAL.
                multiBandInfoList-r13
                                                    MultiBandInfoList-r11
                freqBandIndicatorPriority-r13
                                                   ENUMERATED {true}
                                                                               OPTIONAL
           }
                                                                           OPTIONAL
        ]],
        [ [
           measResult-v1360
                                                RSRP-Range-v1360
                                                                                    OPTIONAL
        ]],
        11
                                   SEQUENCE (SIZE (1..maxPLMN-r11)) OF CellAccessRelatedInfo-5GC-
           cgi-Info-5GC-r15
        OPTIONAL
r15
        ]]
   }
```

```
MeasResultListIdle-r15 ::= SEQUENCE (SIZE (1..maxIdleMeasCarriers-r15)) OF MeasResultIdle-r15
MeasResultIdle-r15 ::= SEQUENCE {
    measResultServingCell-r15
                                               SEQUENCE {
       rsrpResult-r15
                                       RSRP-Range,
       rsrqResult-r15
                                       RSRQ-Range-r13
    measResultNeighCells-r15 CHOICE {
       {\tt measResultIdleListEUTRA-r15} \qquad {\tt MeasResultIdleListEUTRA-r15}\,,
    }
                                                                       OPTIONAL,
MeasResultIdleListEUTRA-r15 ::= SEQUENCE (SIZE (1..maxCellMeasIdle-r15)) OF MeasResultIdleEUTRA-r15
MeasResultIdleEUTRA-r15 ::= SEQUENCE {
    carrierFreq-r15
                                        ARFCN-ValueEUTRA-r9,
    physCellId-r15
                                       PhysCellId,
                                       SEQUENCE {
    measResult-r15
                                           RSRP-Range,
       rsrpResult-r15
       rsrqResult-r15
                                           RSRQ-Range-r13
    },
}
MeasResultServFreqListNR-r15 ::=
                                 SEQUENCE (SIZE (1..maxServCell-r13)) OF MeasResultServFreqNR-r15
MeasResultServFreqNR-r15 ::= carrierFreq-r15
                                  SEQUENCE {
                                       ARFCN-ValueNR-r15,
    measResultSCell-r15
                                        MeasResultCellNR-r15
                                                                           OPTIONAL,
    measResultBestNeighCell-r15
                                       MeasResultCellNR-r15
                                                                           OPTIONAL,
}
MeasResultCellListNR-r15::=
                              SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultCellNR-r15
MeasResultCellNR-r15 ::=
                                   SEOUENCE {
   pci-r15
                                       PhysCellIdNR-r15,
    measResultCell-r15
                                       MeasResultNR-r15,
    measResultRS-IndexList-r15
                                       MeasResultSSB-IndexList-r15
                                                                               OPTIONAL,
    [[ cgi-Info-r15
                                          CGI-InfoNR-r15
                                                                       OPTIONAL
    ]]
}
MeasResultNR-r15 ::=
                                   SEOUENCE {
                                   RSRP-RangeNR-r15
   rsrpResult-r15
                                                                               OPTIONAL,
    rsrqResult-r15
                                       RSRQ-RangeNR-r15
                                                                               OPTIONAL,
   rs-sinr-Result-r15
                                      RS-SINR-RangeNR-r15
                                                                               OPTIONAL,
MeasResultSSB-IndexList-r15::= SEQUENCE (SIZE (1..maxRS-IndexReport-r15)) OF MeasResultSSB-
Index-r15
MeasResultSSB-Index-r15 ::=
                              SEQUENCE {
                              RS-IndexNR-r15,
   ssb-Index-r15
    measResultSSB-Index-r15
                                       MeasResultNR-r15
                                                                           OPTIONAL,
}
MeasResultServFreqList-r10 ::= SEQUENCE (SIZE (1..maxServCell-r10)) OF MeasResultServFreq-r10
MeasResultServFreqListExt-r13 ::= SEQUENCE (SIZE (1..maxServCell-r13)) OF MeasResultServFreq-r13
                                   SEQUENCE {
MeasResultServFreq-r10 ::=
   servFreqId-r10
                                       ServCellIndex-r10,
                                       SEQUENCE {
    measResultSCell-r10
       rsrpResultSCell-r10
                                           RSRP-Range,
       rsrqResultSCell-r10
                                           RSRQ-Range
                                                               OPTIONAL,
                                       SEQUENCE {
    measResultBestNeighCell-r10
       physCellId-r10
                                          PhysCellId,
        rsrpResultNCell-r10
                                           RSRP-Range,
       rsrqResultNCell-r10
                                           RSRQ-Range
                                                               OPTIONAL.
```

```
RSRQ-Range-v1250 OPTIONAL,
RSRQ-Range-v1250 OPTIONAL
    [[ measResultSCell-v1250
        measResultBestNeighCell-v1250
    11,
    [[ measResultSCell-v1310
                                           SEQUENCE {
           rs-sinr-Result-r13
                                              RS-SINR-Range-r13
             OPTIONAL,
       measResultBestNeighCell-v1310 SEQUENCE {
           rs-sinr-Result-r13
                                               RS-SINR-Range-r13
               OPTIONAL
    ]]
}
MeasResultServFreq-r13 ::=
                                  SEOUENCE {
    servFreqId-r13
                                      ServCellIndex-r13,
    measResultSCell-r13
                                       SEQUENCE {
                                        RSRP-Range,
      rsrpResultSCell-r13
       rsrqResultSCell-r13
                                          RSRQ-Range-r13,
       rs-sinr-Result-r13
                                           RS-SINR-Range-r13 OPTIONAL
                                                               OPTIONAL.
    measResultBestNeighCell-r13
                                     SEQUENCE {
       physCellId-r13
                                          PhysCellId,
       rsrpResultNCell-r13
                                           RSRP-Range,
        rsrqResultNCell-r13
                                           RSRQ-Range-r13,
       rs-sinr-Result-r13
                                           RS-SINR-Range-r13 OPTIONAL
    }
                                                               OPTIONAL.
    [[ measResultBestNeighCell-v1360 rsrpResultNCell-v1360
                                           SEQUENCE {
                                               RSRP-Range-v1360
                                                               OPTIONAL
    ]]
MeasResultCSI-RS-List-r12 ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultCSI-RS-r12
MeasResultCSI-RS-r12 ::=
                               SEQUENCE {
   measCSI-RS-Id-r12
                                   MeasCSI-RS-Id-r12,
    csi-RSRP-Result-r12
                                   CSI-RSRP-Range-r12,
MeasResultListUTRA ::=
                                   SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultUTRA
MeasResultUTRA ::= SEQUENCE {
   physCellId
                                       CHOICE {
       fdd
                                           PhysCellIdUTRA-FDD,
        tdd
                                           PhysCellIdUTRA-TDD
    cgi-Info
                                       SEQUENCE {
        cellGlobalId
                                           CellGlobalIdUTRA,
                                           BIT STRING (SIZE (16))
       locationAreaCode
                                                                         OPTIONAL,
                                           BIT STRING (SIZE (8))
       routingAreaCode
                                                                          OPTIONAL,
       plmn-IdentityList
                                           PLMN-IdentityList2
                                                                           OPTIONAL
                                                              OPTIONAL,
   measResult
                                       SEQUENCE {
       utra-RSCP
                                           INTEGER (-5..91)
                                                                           OPTIONAL,
       utra-EcN0
                                           INTEGER (0..49)
                                                                           OPTIONAL,
        [[ additionalSI-Info-r9
                                               AdditionalSI-Info-r9
                                                                                   OPTIONAL
        11,
        [[ primaryPLMN-Suitable-r12
                                              ENUMERATED {true}
                                                                          OPTIONAL
        ]]
}
                                  SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultGERAN
MeasResultListGERAN ::=
MeasResultGERAN ::= SEQUENCE {
   carrierFreq
                                       CarrierFreqGERAN,
    physCellId
                                       PhysCellIdGERAN,
    cgi-Info
                                       SEQUENCE {
       cellGlobalId
                                           CellGlobalIdGERAN,
                                           BIT STRING (SIZE (8))
       routingAreaCode
                                                                          OPTIONAL
                                                                           OPTIONAL,
    measResult
                                       SEQUENCE {
       rssi
                                           INTEGER (0..63),
        . . .
```

```
sResultsCDMA2000 ::=
preRegistrationStatusHRPD
measResultListCDMA2000
MeasResultsCDMA2000 ::=
                                   SEQUENCE {
                                  BOOLEAN,
                                       MeasResultListCDMA2000
MeasResultListCDMA2000 ::=
                           SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultCDMA2000
MeasResultCDMA2000 ::= SEQUENCE {
   physCellId
                                        PhysCellIdCDMA2000,
    cgi-Info
                                        CellGlobalIdCDMA2000
                                                                          OPTIONAL,
                                        SEQUENCE {
   measResult
       pilotPnPhase
                                           INTEGER (0..32767)
                                                                         OPTIONAL,
       pilotStrength
                                           INTEGER (0..63),
    }
}
MeasResultListWLAN-r13 ::=
                              SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultWLAN-r13
                              SEQUENCE (SIZE (1..maxWLAN-Id-Report-r14)) OF MeasResultWLAN-r13
MeasResultListWLAN-r14 ::=
MeasResultWLAN-r13 ::= SEQUENCE {
   wlan-Identifiers-r13
                                           WLAN-Identifiers-r12,
    carrierInfoWLAN-r13
                                           WLAN-CarrierInfo-r13
                                                                   OPTIONAL.
                                           WLAN-BandIndicator-r13 OPTIONAL,
   bandWLAN-r13
   rssiWLAN-r13
                                           WLAN-RSSI-Range-r13,
    availableAdmissionCapacityWLAN-r13
                                           INTEGER (0..31250)
                                                                   OPTIONAL,
   backhaulDL-BandwidthWLAN-r13
                                           WLAN-backhaulRate-r12 OPTIONAL,
   backhaulUL-BandwidthWLAN-r13
                                         WLAN-backhaulRate-r12 OPTIONAL,
                                           INTEGER (0..255) OPTIONAL,
INTEGER (0..65535) OPTIONAL,
    channelUtilizationWLAN-r13
    stationCountWLAN-r13
                                           ENUMERATED {true} OPTIONAL,
   connectedWLAN-r13
}
MeasResultListCBR-r14 ::=
                                   SEQUENCE (SIZE (1..maxCBR-Report-r14)) OF MeasResultCBR-r14
MeasResultCBR-r14 ::= SEQUENCE {
   poolIdentity-r14 SL-V2X-TxPoolReportIdentity-r14,
    cbr-PSSCH-r14
                           SL-CBR-r14,
   cbr-PSCCH-r14
                           SL-CBR-r14
                                                   OPTIONAL
}
MeasResultSensing-r15 ::= SEQUENCE {
   sl-SubframeRef-r15 INTEGER (0..10239),
                               SEQUENCE (SIZE (0..400)) OF SensingResult-r15
    sensingResult-r15
}
SensingResult-r15 ::= SEQUENCE {
                        INTEGER (1..2000)
   resourceIndex-r15
MeasResultForECID-r9 ::= SEQUENCE {
    ue-RxTxTimeDiffResult-r9
                                            INTEGER (0..4095),
   currentSFN-r9
                                           BIT STRING (SIZE (10))
PLMN-IdentityList2 ::=
                                   SEQUENCE (SIZE (1..5)) OF PLMN-Identity
AdditionalSI-Info-r9 ::= csg-MemberStatus-r9
                                   SEQUENCE {
                                   ENUMERATED {member}
                                                                   OPTIONAL,
    csg-Identity-r9
                                       CSG-Identity
                                                                          OPTIONAL
MeasResultForRSSI-r13 ::= SEQUENCE {
   rssi-Result-r13
                                           RSSI-Range-r13,
                                            INTEGER (0..100),
    channelOccupancy-r13
UL-PDCP-DelayResultList-r13 ::=
                                  SEQUENCE (SIZE (1..maxQCI-r13)) OF UL-PDCP-DelayResult-r13
UL-PDCP-DelayResult-r13 ::=
                                   SEQUENCE {
   qci-Id-r13
                                       ENUMERATED {qci1, qci2, qci3, qci4, spare4, spare3, spare2,
                                       spare1},
    excessDelay-r13
                                       INTEGER (0..31),
```

```
}
    I-InfoNR-r15 ::= SEQUENCE {
   plmn-IdentityInfoList-r15 PLMN-IdentityInfoListNR-r15 OPTIONAL,
   frequencyBandList-r15 MultiFrequencyBandListNR-r15 OPTIONAL,
   noSIB1-r15 SEQUENCE {
    ssb-SubcarrierOffset-r15 INTEGER (0..15),
    pdcch-ConfigSIB1-r15 INTEGER (0..255)
CGI-InfoNR-r15 ::=
                                                                      INTEGER (0..15),
INTEGER (0..255)
           pdcch-ConfigSIB1-r15
                                                                                                               OPTIONAL,
}
CellIdentityNR-r15 ::=
                                                   BIT STRING (SIZE (36))
PLMN-IdentityListNR-r15 ::=
                                                   SEQUENCE (SIZE (1..maxPLMN-NR-r15)) OF PLMN-Identity
PLMN-IdentityInfoListNR-r15 ::=
                                                   SEQUENCE (SIZE (1..maxPLMN-NR-r15)) OF PLMN-IdentityInfoNR-r15
PLMN-IdentityInfoNR-r15 ::= SEQUENCE {
   plmn-IdentityList-r15 PLMN-IdentityListNR-r15,
   trackingAreaCode-r15 TrackingAreaCodeNR-r15
   ran-AreaCode-r15 RAN-AreaCode-r15
                                                                                                     OPTIONAL,
     ran-AreaCode-r15
                                                       RAN-AreaCode-r15
                                                                                                       OPTIONAL,
     cellIdentity-r15
                                                          CellIdentityNR-r15
}
TrackingAreaCodeNR-r15 ::=
                                                   BIT STRING (SIZE (24))
-- ASN1STOP
```

#### MeasResults field descriptions

#### availableAdmissionCapacityWLAN

Indicates the available admission capacity of WLAN as defined in IEEE 802.11-2012 [67].

#### backhaulDL-BandwidthWLAN

Indicates the backhaul available downlink bandwidth of WLAN, equal to Downlink Speed times Downlink Load defined in Wi-Fi Alliance Hotspot 2.0 [76].

#### backhaulUL-BandwidthWLAN

Indicates the backhaul available uplink bandwidth of WLAN, equal to Uplink Speed times Uplink Load defined in Wi-Fi Alliance Hotspot 2.0 [76].

#### bandWLAN

Indicates the WLAN band.

#### carrierFreq

Indicates the carrier frequency. Within *MeasResultIdleListEUTRA-r15*, UE only includes measurements with the same carrier frequency.

## carrierInfoWLAN

Indicates the WLAN channel information.

#### cbr-PSSCH

Indicates the CBR measurement results on the PSSCH of the pool indicated by *poolIdentity*. If *adjacencyPSCCH-PSSCH* is set to *TRUE* for the pool indicated by *poolIdentity*, this field indicates the CBR measurement of both the PSSCH and PSCCH resources which are measured together.

#### cbr-PSCCH

Indicates the CBR measurement results on the PSCCH of the pool indicated by *poolIdentity*. This field is only included if *adjacencyPSCCH-PSSCH* is set to *FALSE* for the pool indicated by *poolIdentity*.

#### channelOccupancy

Indicates the percentage of samples when the RSSI was above the configured *channelOccupancyThreshold* for the associated *reportConfig.* 

#### channelUtilizationWLAN

Indicates WLAN channel utilization as defined in IEEE 802.11-2012 [67].

#### connectedWLAN

Indicates whether the UE is connected to the WLAN for which the measurement results are applicable.

#### csg-MemberStatus

Indicates whether or not the UE is a member of the CSG of the neighbour cell.

#### currentSFN

Indicates the current system frame number when receiving the UE Rx-Tx time difference measurement results from lower layer.

#### excess Delay

Indicates excess queueing delay ratio in UL, according to excess delay ratio measurement report mapping table, as defined in TS 36.314 [71], Table 4.2.1.1.1-1.

#### heightUE

Indicates height of the UE in meters relative to the sea level. Value 0 corresponds to sea level (i.e., negative value indicates depth of the UE below sea level). Value -400 corresponds to -400 m, value -399 corresponds to -399 m and so on.

## IocationAreaCode

A fixed length code identifying the location area within a PLMN, as defined in TS 23.003 [27].

#### measId

Identifies the measurement identity for which the reporting is being performed. If the *measId-v1250* is included, the *measId* (i.e. without a suffix) is ignored by eNB.

#### measResult

Measured result of an E-UTRA cell;

Measured result of a UTRA cell;

Measured result of a GERAN cell or frequency;

Measured result of a CDMA2000 cell;

Measured result of a WLAN:

Measured result of UE Rx-Tx time difference;

Measured result of UE SFN, radio frame and subframe timing difference; or

Measured result of RSSI and channel occupancy.

## measResultCSI-RS-List

Measured results of the CSI-RS resources in discovery signals measurement.

## measResultListCDMA2000

List of measured results for the maximum number of reported best cells for a CDMA2000 measurement identity.

#### measResultListEUTRA

List of measured results for the maximum number of reported best cells for an E-UTRA measurement identity. For UE supporting CE Mode B, when CE mode B is not restricted by upper layers, *measResult-v1360* is reported if the measured RSRP is less than -140 dBm.

## measResultListGERAN

List of measured results for the maximum number of reported best cells or frequencies for a GERAN measurement identity.

#### MeasResults field descriptions

## measResultListSFTD

List of measured SFTD results for the reported cells for a NR measurement identity.

#### measResultListUTRA

List of measured results for the maximum number of reported best cells for a UTRA measurement identity.

#### measResultListWLAN

List of measured results for the maximum number of reported best WLAN outside the WLAN mobility set and connected WLAN, if any, for a WLAN measurement identity.

## measResultPCell

Measured result of the PCell. For BL UEs or UEs in CE, when operating in CE Mode B, *measResultPCell-v1360* is reported if the measured RSRP is less than -140 dBm.

#### measResultsCDMA2000

Contains the CDMA2000 HRPD pre-registration status and the list of CDMA2000 measurements.

## MeasResultServFreqList

Measured results of the serving frequencies: the measurement result of each SCell, if any, and of the best neighbouring cell on each serving frequency. For UE supporting CE Mode B, when CE mode B is not restricted by upper layers, *measResultBestNeighCell-v1360* is reported if the measured RSRP is less than -140 dBm.

#### measResultServingCell

Measured results of the serving cell (i.e., PCell) from IDLE mode measurements.

#### noSIB1

Contains ssb-SubcarrierOffset and pdcch-ConfigSIB1 fields acquired by the UE from MIB of the cell for which report CGI procedure was requested by the network in case SIB1 was not broadcast by the cell.

#### pilotPnPhase

Indicates the arrival time of a CDMA2000 pilot, measured relative to the UE's time reference in units of PN chips, see C.S0005 [25]. This information is used in either SRVCC handover or enhanced 1xRTT CS fallback procedure to CDMA2000 1xRTT.

#### pilotStrength

CDMA2000 Pilot Strength, the ratio of pilot power to total power in the signal bandwidth of a CDMA2000 Forward Channel. See C.S0005 [25] for CDMA2000 1xRTT and C.S0024 [26] for CDMA2000 HRPD.

# poolldentity

The identity of the transmission resource pool which is corresponding to the *poolReportId* configured in a resource pool for V2X sidelink communication.

# plmn-IdentityList

The list of PLMN Identity read from broadcast information when the multiple PLMN Identities are broadcast.

#### preRegistrationStatusHRPD

Set to TRUE if the UE is currently pre-registered with CDMA2000 HRPD. Otherwise set to FALSE. This can be ignored by the eNB for CDMA2000 1xRTT.

## MeasResults field descriptions

#### qci-ld

Indicates QCI value for which excessDelay is provided, according to TS 36.314 [71].

#### resourceIndex

Indicates the available resource candidates within the [T1, T2] window as specified in TS 36.213 [23]. clause 14.1.1.6. Value 1 indicates the resource candidate on the subframe indicated by *sl-SubframeRef*, from subchannel 0 to *sensingSubchannelNumber-*1. Value 2 indicates the resource candidate on the first subframe following the subframe indicated by *sl-SubframeRef*, from subchannel 0 to *sensingSubchannelNumber-*1 (Value 101 indicates the resource candidate on the subframe indicated by *sl-SubframeRef*, from subchannel 1 to *sensingSubchannelNumber*, if the *numSubchannel* of the resource pool is larger than *sensingSubchannelNumber*) and so on.

#### routingAreaCode

The RAC identity read from broadcast information, as defined in TS 23.003 [27].

## rsrpResult

Measured RSRP result of an E-UTRA cell.

The rsrpResult is only reported if configured by the eNB.

## rsrqResult

Measured RSRQ result of an E-UTRA cell.

The rsrqResult is only reported if configured by the eNB.

#### rssi

GERAN Carrier RSSI. RXLEV is mapped to a value between 0 and 63, TS 45.008 [28]. When mapping the RXLEV value to the RSSI bit string, the first/leftmost bit of the bit string contains the most significant bit.

#### rssi-Result

Measured RSSI result in dBm.

#### rs-sinr-Result

Measured RS-SINR result of an E-UTRA or NR cell. The rs-sinr-Result is only reported if configured by the eNB.

#### rssiWLAN

Measured WLAN RSSI result in dBm.

#### sl-SubframeRef

Indicates the subframe corresponding to n+T1 used to obtain the sensing measurement results (see TS 36.213 [23]). Specifically, the value indicates the timing offset with respect to subframe#0 of DFN#0 in milliseconds.

#### stationCountWLAN

Indicates the total number stations currently associated with this WLAN as defined in IEEE 802.11-2012 [67].

## ue-RxTxTimeDiffResult

UE Rx-Tx time difference measurement result of the PCell, provided by lower layers. If ue-

RxTxTimeDiffPeriodicalTDD-r13 is set to TRUE, the measurement mapping is according to EUTRAN TDD UE Rx-Tx time difference report mapping in TS 36.133 [16] and measurement result includes  $N_{TAOffset}$ , else the measurement mapping is according to EUTRAN FDD UE Rx-Tx time difference report mapping in TS 36.133 [16].

# utra-EcN0

According to CPICH\_Ec/No in TS 25.133 [29] for FDD. Fourteen spare values. The field is not present for TDD.

## utra-RSCP

According to CPICH\_RSCP in TS 25.133 [29] for FDD and P-CCPCH\_RSCP in TS 25.123 [30] for TDD. Thirty-one spare values.

## wlan-Identifiers

Indicates the WLAN parameters used for identification of the WLAN for which the measurement results are applicable.

# MeasResultCellSFTD

The IE *MeasResultCellSFTD* consists of SFN and radio frame boundary difference between the PCell and an NR cell as specified in TS 38.215 [89] and TS 38.133 [84].

# MeasResultCellSFTD information element

## MeasResultCellSFTD field descriptions

#### physCellId

Indicates the physical layer identity (PCI) of an NR cell.

#### sfn-OffsetResult

Indicates the SFN difference between the PCell and the NR cell as an integer value according to TS 38.215 [89].

#### frameBoundaryOffsetResult

Indicates the frame boundary difference between the PCell and the NR cell as an integer value according to TS 38.215 [89].

#### rsrpResult

Measured RSRP result of an NR cell.

## MeasResultSCG-FailureMRDC

The IE *MeasResultSCG-FailureMRDC* is used to provide measurement information concerning E-UTRA measurements upon SCG failure detected by a UE configured with NE-DC.

## MeasResultSCG-FailureMRDC information element

```
-- ASN1START
MeasResultSCG-FailureMRDC-r15 ::= SEOUENCE {
   measResultFreqListEUTRA-r15
                                   MeasResultList3EUTRA-r15,
MeasResultList3EUTRA-r15 ::=
                                   SEQUENCE (SIZE (1..maxFreq)) OF MeasResult3EUTRA-r15
MeasResult3EUTRA-r15 ::=
                                   SEQUENCE {
                                       ARFCN-ValueEUTRA-r9,
   carrierFreq-r15
   measResultServingCell-r15
                                       MeasResultEUTRA
                                                                      OPTIONAL,
   measResultNeighCellList-r15
                                   MeasResultListEUTRA
                                                                  OPTIONAL,
}
-- ASN1STOP
```

# MeasResultSSTD

The IE *MeasResultSSTD* consists of SFN, radio frame and subframe boundary difference between the PCell and the PSCell as specified in TS 36.214 [48] and TS 36.133 [16].

# MeasResultSSTD information element

```
-- ASN1START

MeasResultSSTD-r13 ::= SEQUENCE {
    sfn-OffsetResult-r13 INTEGER (0..1023),
    frameBoundaryOffsetResult-r13 INTEGER (-5..4),
    subframeBoundaryOffsetResult-r13 INTEGER (0..127)
}

-- ASN1STOP
```

# MeasResultSSTD field descriptions

## sfn-OffsetResult

Indicates the SFN difference between the PCell and the PSCell as an integer value according to TS 36.214 [48].

## frameBoundaryOffsetResult

Indicates the frame boundary difference between the PCell and the PSCell as an integer value according to TS 36.214 [48].

# subframeBoundaryOffsetResult

Indicates the subframe boundary difference between the PCell and the PSCell as an integer value according to the mapping table in TS 36.133 [16].

## MeasScaleFactor

The IE *MeasScaleFactor* specifies the factor for scaling the measurement performance requirements in TS 36.133 [16].

#### MeasScaleFactor information element

```
-- ASN1START

MeasScaleFactor-r12 ::= ENUMERATED {sf-EUTRA-cf1, sf-EUTRA-cf2}

-- ASN1STOP
```

NOTE: If the *reducedMeasPerformance* is not included in any *measObjectEUTRA* or *measObjectUTRA* and the *measScaleFactor* is included in the *measConfig*, E-UTRAN can configure any of the values for the *measScaleFactor* as specified in TS 36.133 [16].

# MeasSensing-Config

The IE MeasSensing-Config specifies the input factors for sensing measurement as specified in TS 36.213 [23].

## MeasSensing-Config information element

# MeasSensing-Config field descriptions

# sensingReselectionCounter

Indicate the value of SL\_RESOURCE\_RESELECTION\_COUNTER, which is used to derive  $C_{\it resel}$ , as specified in TS 36.213 [23], clause 14.1.1.6.

## sensingSubchannelNumber

Indicate the number of sub-channels, i.e., parameter  $L_{\text{subCH}}$ , as specified in TS 36.213 [23], clause 14.1.1.6.

# sensingPeriodicity

Indicate the resource reservation interval, i.e., parameter  $P_{\text{rsvp TX}}$ , as specified in TS 36.213 [23], clause 14.1.1.6.

## sensingPriority

Indicate the priority, i.e., parameter  $prio_{TX}$  as specified in TS 36.213 [23], clause 14.1.1.6.

## MTC-SSB-NR

The IE *MTC-SSB-NR* specifies the measurement timing configuration (MTC) applicable for SSB based NR measurements i.e. the time occasions for performing these measurements, see 5.5.2.13.

# MTC-SSB-NR information elements

# QuantityConfig

The IE *QuantityConfig* specifies the measurement quantities and layer 3 filtering coefficients for E-UTRA and inter-RAT measurements.

# QuantityConfig information element

```
-- ASN1START
QuantityConfig ::=
                                   SEQUENCE {
   quantityConfigEUTRA
                                       QuantityConfigEUTRA
                                                                          OPTIONAL,
                                                                                      -- Need ON
                                                                                      -- Need ON
   quantityConfigUTRA
                                       QuantityConfigUTRA
                                                                          OPTIONAL,
   quantityConfigGERAN
                                      QuantityConfigGERAN
                                                                          OPTIONAL,
                                                                                      -- Need ON
   quantityConfigCDMA2000
                                      QuantityConfigCDMA2000
                                                                          OPTIONAL,
                                                                                      -- Need ON
   [[ quantityConfigUTRA-v1020
                                       QuantityConfigUTRA-v1020
                                                                          OPTIONAL
                                                                                      -- Need ON
   [[ quantityConfigEUTRA-v1250
                                      QuantityConfigEUTRA-v1250
                                                                         OPTIONAL
                                                                                      -- Need ON
   11,
   [[ quantityConfigEUTRA-v1310 QuantityConfigEUTRA-v1310
                                                                         OPTIONAL,
                                                                                      -- Need ON
       quantityConfigWLAN-r13
                                      QuantityConfigWLAN-r13
                                                                                      -- Need ON
                                                                         OPTIONAL
   [[ quantityConfigNRList-r15
                                      QuantityConfigNRList-r15
                                                                         OPTIONAL
                                                                                      -- Need ON
   ]]
QuantityConfigEUTRA ::=
                                  SEQUENCE {
   filterCoefficientRSRP
filterCoefficientRSRQ
                                      FilterCoefficient
                                                                         DEFAULT fc4.
                                      FilterCoefficient
                                                                         DEFAULT fc4
QuantityConfigEUTRA-v1250 ::=
                                  SEQUENCE {
   filterCoefficientCSI-RSRP-r12
                                                                         OPTIONAL
                                     FilterCoefficient
                                                                                          -- Need
OR
QuantityConfigEUTRA-v1310 ::=
                                  SEQUENCE {
   filterCoefficientRS-SINR-r13
                                   FilterCoefficient
                                                                         DEFAULT fc4
QuantityConfigUTRA ::=
                                   SEOUENCE {
   measQuantityUTRA-FDD
measQuantityUTRA-TDD
                                   ENUMERATED {cpich-RSCP, cpich-EcN0},
ENUMERATED {pccpch-RSCP},
   filterCoefficient
                                      FilterCoefficient
                                                                         DEFAULT fc4
   filterCoefficient2-FDD-r10 FilterC
QuantityConfigUTRA-v1020 ::=
                                   FilterCoefficient
                                                                         DEFAULT fc4
QuantityConfigGERAN ::=
                                   SEQUENCE {
   measQuantityGERAN
                                      ENUMERATED {rssi},
                                       FilterCoefficient
                                                                          DEFAULT fc2
   filterCoefficient
QuantityConfigCDMA2000 ::=
                                   SEQUENCE {
   measQuantityCDMA2000
                                      ENUMERATED {pilotStrength, pilotPnPhaseAndPilotStrength}
QuantityConfigNRList-r15 ::=
                                SEQUENCE (SIZE (1..maxQuantSetsNR-r15)) OF QuantityConfigNR-r15
QuantityConfigNR-r15 ::=
                                   SEOUENCE {
                                       QuantityConfigRS-NR-r15,
   measQuantityCellNR-r15
   measQuantityRS-IndexNR-r15
                                       QuantityConfigRS-NR-r15
                                                                         OPTIONAL
QuantityConfigRS-NR-r15 ::=
                           SEQUENCE {
```

```
filterCoeff-RSRP-r15
                                        FilterCoefficient
                                                                             DEFAULT fc4,
   filterCoeff-RSRQ-r15
                                        FilterCoefficient
                                                                             DEFAULT fc4,
   filterCoefficient-SINR-r13
                                        FilterCoefficient
                                                                             DEFAULT fc4
}
QuantityConfigWLAN-r13 ::=
                                    SEQUENCE {
   measOuantityWLAN-r13
                                        ENUMERATED {rssiWLAN},
   filterCoefficient-r13
                                        FilterCoefficient
                                                                             DEFAULT fc4
-- ASN1STOP
```

## QuantityConfig field descriptions

#### filterCoefficient2-FDD

Specifies the filtering coefficient used for the UTRAN FDD measurement quantity, which is not included in measQuantityUTRA-FDD, when reportQuantityUTRA-FDD is present in ReportConfigInterRAT.

#### filterCoefficientCSI-RSRP

Specifies the filtering coefficient used for CSI-RSRP.

## filterCoefficientRSRP

Specifies the filtering coefficient used for RSRP.

#### filterCoefficientRSRQ

Specifies the filtering coefficient used for RSRQ.

#### filterCoefficientRS-SINR

Specifies the filtering coefficient used for RS-SINR.

## measQuantityCDMA2000

Measurement quantity used for CDMA2000 measurements. *pilotPnPhaseAndPilotStrength* is only applicable for *MeasObjectCDMA2000* of *cdma2000-Type* = *type1XRTT*.

# measQuantityRS-IndexNR

Specifies L3 filter configurations for measurement results of an NR RS index for a particular RS Type (e.g. SS/PBCH block) and the configurable measurement quantities (e.g. RSRP, RSRQ and SINR).

# measQuantityGERAN

Measurement quantity used for GERAN measurements.

## measQuantityCellINR

Specifies L3 filter configurations for measurement results of an NR cell for a particular RS Type (e.g. SS/PBCH block) and the configurable measurement quantities (e.g. RSRP, RSRQ and SINR).

## measQuantityUTRA

Measurement quantity used for UTRA measurements.

#### measQuantityWLAN

Measurement quantity used for WLAN measurements.

# quantityConfigCDMA2000

Specifies quantity configurations for CDMA2000 measurements.

# quantityConfigEUTRA

Specifies filter configurations for E-UTRA measurements.

# quantityConfigGERAN

Specifies quantity and filter configurations for GERAN measurements.

## quantityConfigUTRA

Specifies quantity and filter configurations for UTRA measurements. Field *quantityConfigUTRA-v1020* is applicable only when *reportQuantityUTRA-FDD* is configured.

## quantityConfigWLAN

Specifies quantity and filter configurations for WLAN measurements.

# ReportConfigEUTRA

The IE *ReportConfigEUTRA* specifies criteria for triggering of an E-UTRA measurement reporting event. The E-UTRA measurement reporting events concerning CRS are labelled AN with N equal to 1, 2 and so on.

- Event A1: Serving becomes better than absolute threshold;
- Event A2: Serving becomes worse than absolute threshold;
- Event A3: Neighbour becomes amount of offset better than PCell/ PSCell;
- Event A4: Neighbour becomes better than absolute threshold;
- Event A5: PCell/PSCell becomes worse than absolute threshold1 AND Neighbour becomes better than another absolute threshold2;
- Event A6: Neighbour becomes amount of offset better than SCell.
- The E-UTRA measurement reporting events concerning CSI-RS are labelled CN with N equal to 1 and 2.
  - Event C1: CSI-RS resource becomes better than absolute threshold;
  - Event C2: CSI-RS resource becomes amount of offset better than reference CSI-RS resource.
- The E-UTRA measurement reporting events concerning CBR are labelled VN with N equal to 1 and 2.
  - Event V1: CBR becomes larger than absolute threshold;
  - Event V2: CBR becomes smaller than absolute threshold.
- The E-UTRA reporting events concerning Aerial UE height are labelled HN with N equal to 1 and 2.
  - Event H1: Aerial UE height becomes higher than absolute threshold;
  - Event H2: Aerial UE height becomes lower than absolute threshold.

# ReportConfigEUTRA information element

```
-- ASN1START
ReportConfigEUTRA ::=
                                    SEQUENCE {
    triggerType
                                        CHOICE {
                                            SEQUENCE {
        event
            eventId
                                                CHOICE {
                                                    SEQUENCE {
                eventA1
                   al-Threshold
                                                        ThresholdEUTRA
                },
                eventA2
                                                     SEQUENCE {
                    a2-Threshold
                                                        ThresholdEUTRA
                eventA3
                                                     SEQUENCE {
                    a3-Offset
                                                        INTEGER (-30..30),
                    reportOnLeave
                                                        BOOLEAN
                eventA4
                                                    SEQUENCE {
                    a4-Threshold
                                                        ThresholdEUTRA
                eventA5
                                                     SEQUENCE {
                                                        ThresholdEUTRA,
                   a5-Threshold1
                    a5-Threshold2
                                                        ThresholdEUTRA
                },
                eventA6-r10
                                                    SEQUENCE {
                                                        INTEGER (-30..30),
                    a6-Offset-r10
                    a6-ReportOnLeave-r10
                                                        BOOLEAN
                eventC1-r12
                                                    SEQUENCE {
                    c1-Threshold-r12
                                                        ThresholdEUTRA-v1250,
                    c1-ReportOnLeave-r12
                                                         BOOLEAN
                eventC2-r12
                                                    SEOUENCE {
                    c2-RefCSI-RS-r12
                                                        MeasCSI-RS-Id-r12,
                    c2-Offset-r12
                                                        INTEGER (-30..30),
                                                        BOOLEAN
                    c2-ReportOnLeave-r12
                eventV1-r14
                                                    SEOUENCE {
                    v1-Threshold-r14
                                                        SL-CBR-r14
                eventV2-r14
                                                     SEQUENCE {
```

```
v2-Threshold-r14
                                                                SL-CBR-r14
                      ntH1-r15 SEQUENCE {
h1-ThresholdOffset-r15 INTEGER (0..300),
h1-Hvsteresis-r15 INTEGER (1..16)
                   eventH1-r15
                                                           SEQUENCE {
                   eventH2-r15
                                                           INTEGER (0..300),
                      h2-ThresholdOffset-r15
                       h2-Hysteresis-r15
                                                                 INTEGER (1..16)
              },
                                                        Hysteresis,
              hysteresis
              timeToTrigger
                                                        TimeToTrigger
         periodical
                                                        SEQUENCE {
                                                            ENUMERATED {
             purpose
                                                                 reportStrongestCells, reportCGI}
    triggerQuantity
                                              ENUMERATED {rsrp, rsrq},
                                            ENUMERATED {sameAsTriggerQuantity, both},
    reportQuantity
    maxReportCells
                                              INTEGER (1..maxCellReport),
    reportInterval
                                               ReportInterval,
    reportAmount
                                              ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},
        si-RequestForHO-r9 ENUMERATED {setup} OPTIONAL, -- Cond reportCGI ue-RxTxTimeDiffPeriodical-r9 ENUMERATED {setup} OPTIONAL -- Need OR
     [[ si-RequestForHO-r9
     11,
    [[ includeLocationInfo-r10
                                                   ENUMERATED {true} OPTIONAL, -- Need OR ENUMERATED {setup} OPTIONAL -- Need OR
                                                  ENUMERATED {true}
         reportAddNeighMeas-r10
     [[ alternativeTimeToTrigger-r12
                                                 CHOICE {
                                                    NULL,
          release
                                                OPTIONAL, -- Need ON
BOOLEAN OPTIONAL, -- Need ON
BOOLEAN OPTIONAL, -- Need ON
             setup
                                                 BOOLEAN OPTIONAL, -- Need ON
BOOLEAN OPTIONAL, -- Need ON
RSRQ-RangeConfig-r12 OPTIONAL, -- Need ON
RSRQ-RangeConfig-r12 OPTIONAL, -- Need ON
         useT312-r12
         usePSCell-r12
         aN-Threshold1-v1250
         a5-Threshold2-v1250
         a5-Threshold2-v1250 KSKQ-RANGECONITG-112 OFFICIAL, reportStrongestCSI-RSs-r12 BOOLEAN OPTIONAL, -- Need ON reportCRS-Meas-r12 BOOLEAN OPTIONAL, -- Need ON triggerQuantityCSI-RS-r12 BOOLEAN OPTIONAL -- Need
                                                                                         -- Need ON
    ]],
                                                 BOOLEAN
                                                                OPTIONAL,
                                                                                       -- Need ON
       reportSSTD-Meas-r13
         rs-sinr-Config-r13
                                                 CHOICE {
             release
                                                     NULL,
                 triggerQuantity-v1310
aN-Threshold1-r13
a5-Threshold2-r13
                                                        SEQUENCE {
              setup
                                                       ENUMERATED {sinr} OPTIONAL, -- Need ON RS-SINR-Range-r13 OPTIONAL, -- Need ON RS-SINR-Range-r13 OPTIONAL, -- Need ON
                  reportQuantity-v1310
                                                            ENUMERATED {rsrpANDsinr, rsrqANDsinr, all}
             }
                                                                                     OPTIONAL,
                                                                                                   -- Need ON
         useWhiteCellList-r13 BOOLEAN OPTIONAL, measRSSI-ReportConfig-r13 MeasRSSI-ReportConfig-r13 OPTIONAL, includeMultiBandInfo-r13 ENIMERATED [true]
                                                                                     OPTIONAL,
                                                                                                   -- Need ON
                                                                                                   -- Cond
reportCGI
        ul-DelayConfig-r13
                                                   UL-DelayConfig-r13
                                                                                   OPTIONAL
                                                                                                   -- Need ON
    11,
    [ ue-RxTxTimeDiffPeriodicalTDD-r13 BOOLEAN
                                                                                    OPTIONAL
                                                                                                   -- Need ON
    ]],
                                   ENUMERATED {reportLocation, sidelink, spare2, spare1}
         purpose-v1430
                                                                       OPTIONAL -- Need ON
    ]],
         maxReportRS-Index-r15 INTEGER (0..maxRS-IndexReport-r15) OPTIONAL
                                            BT-NameListConfig-r15 OPTIONAL, -- Need ON WLAN-NameListConfig-r15 OPTIONAL, -- Need
         includeBT-Meas-r15 BT includeWLAN-Meas-r15
    [[ includeBT-Meas-r15
ON
                                     ENUMERATED {sensing}
                                                                                                   -- Need ON
         purpose-r15
                                                                                     OPTIONAL,
         numberOfTriggeringCells-r15 INTEGER (2..maxCellReport) OPTIONAL,
                                                                                                   -- Cond a3a4a5
                                                   BOOLEAN
                                                                                                   -- Cond a4a5
         a4-a5-ReportOnLeave-r15
                                                                                     OPTIONAL
    ]]
}
RSRQ-RangeConfig-r12 ::= CHOICE {
```

```
release NULL,
setup RSRQ-Range-v1250

}

ThresholdEUTRA ::= CHOICE {
    threshold-RSRP RSRP-Range,
    threshold-RSRQ RSRQ-Range
}

ThresholdEUTRA-v1250 ::= CSI-RSRP-Range-r12

MeasRSSI-ReportConfig-r13 ::= SEQUENCE {
    channelOccupancyThreshold-r13 RSSI-Range-r13 OPTIONAL -- Need OR
}

-- ASN1STOP
```

#### ReportConfigEUTRA field descriptions

#### a3-Offset/a6-Offset/c2-Offset

Offset value to be used in EUTRA measurement report triggering condition for event a3/ a6/ c2. The actual value is field value \* 0.5 dB.

## alternativeTimeToTrigger

Indicates the time to trigger applicable for cells specified in altTTT-CellsToAddModList of the associated measurement object, if configured

## aN-ThresholdM/ cN-ThresholdM

Threshold to be used in EUTRA measurement report triggering condition for event number aN/ cN. If multiple thresholds are defined for event number aN/ cN, the thresholds are differentiated by M. E-UTRAN configures *aN-Threshold1* only for events A1, A2, A4, A5 and *a5-Threshold2* only for event A5.

## c1-ReportOnLeave/ c2-ReportOnLeave

Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a CSI-RS resource in csi-RS-TriggeredList, as specified in 5.5.4.1.

## c2-RefCSI-RS

Identity of the CSI-RS resource from the *measCSI-RS-ToAddModList* of the associated *measObject*, to be used as the reference CSI-RS resource in EUTRA measurement report triggering condition for event c2.

#### channelOccupancyThreshold

RSSI threshold which is used for channel occupancy evaluation.

#### eventld

Choice of E-UTRA event triggered reporting criteria. EUTRAN may set this field to *eventC1* or *eventC2* only if *measDS-Config* is configured in the associated *measObject* with one or more CSI-RS resources. The *eventC1* and *eventC2* are not applicable for the *eventId* if RS-SINR is configured as *triggerQuantity* or *reportQuantity*.

## h1-Hysteresis, h2-Hysteresis

This parameter is used within the entry and leave condition of an event triggered reporting condition for event H1 and event H2. The actual value is field value. If this field is configured UE shall ignore parameter *hysteresis*.

#### h1-ThresholdOffset, h2-ThresholdOffset

An offset value to *heightThreshRef* to obtain the threshold to be used in EUTRA height report triggering condition for event H1 and event H2. The value for h1-ThresholdOffset and h2-ThresholdOffset is expressed in meters such that granularity is 2meters. Value 0 corresponds to offset value 0m, value 1 corresponds to offset value 2m, value 2 correspond to offset value 4m, and so on.

#### includeMultiBandInfo

If this field is present, the UE shall acquire and include multi band information in the measurement report.

## maxReportCells

Max number of cells, excluding the serving cell, to include in the measurement report concerning CRS, and max number of CSI-RS resources to include in the measurement report concerning CSI-RS.

## measRSSI-ReportConfig

If this field is present, the UE shall perform measurement reporting for RSSI and channel occupancy and ignore the triggerQuantity, reportQuantity and maxReportCells fields. E-UTRAN only sets this field to true when setting triggerType to periodical and purpose to reportStrongestCells.

## numberOfTriggeringCells

Indicates the number of cells detected that are required to fulfill an event for a measurement report to be triggered. This field is set only for the events concerning neighbor cells, i.e. eventA3, eventA4, eventA5.

# reportAmount

Number of measurement reports applicable for *triggerType event* as well as for *triggerType periodical*. In case *purpose* is set to *reportCGI* or *reportSSTD-Meas* is set to *true*, only value 1 applies.

#### reportCRS-Meas

If this field is set to TRUE the UE shall include rsrp, rsrq together with csi-rsrp in the measurement report, if possible.

# reportOnLeave/ a6-ReportOnLeave/ a4-a5-ReportOnLeave

Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a cell in *cellsTriggeredList*, as specified in 5.5.4.1.

# reportQuantity

The quantities to be included in the measurement report. The value both means that both the rsrp and rsrq quantities are to be included in the measurement report. The value *rsrpANDsinr* and *rsrqANDsinr* mean that both *rsrp* and *rs-sinr* quantities, and both *rsrq* and *rs-sinr* quantities are to be included respectively in the measurement report. The value *all* means that *rsrp*, *rsrq* and *rs-sinr* are to be included in the measurement report. In case *triggerQuantityCSI-RS* is set to *TRUE*, only value *sameAsTriggerQuantity* applies. If *reportQuantity-v1310* is configured, the UE only considers this extension (and ignores *reportQuantity* i.e. without suffix).

#### reportSSTD-Meas

If this field is set to *true*, the UE shall measure SSTD between the PCell and the PSCell as specified in TS 36.214 [48] and ignore the *triggerQuantity*, *reportQuantity* and *maxReportCells* fields. E-UTRAN only sets this field to *true* when setting *triggerType* to *periodical* and *purpose* to *reportStrongestCells*.

#### reportStrongestCSI-RSs

Indicates that periodical CSI-RS measurement report is performed. EUTRAN configures value *TRUE* only if *measDS-Config* is configured in the associated *measObject* with one or more CSI-RS resources.

## ReportConfigEUTRA field descriptions

#### si-RequestForHO

The field applies to the *reportCGI* functionality, and when the field is included, the UE is allowed to use autonomous gaps in acquiring system information from the neighbour cell, applies a different value for T321, and includes different fields in the measurement report.

## **ThresholdEUTRA**

For RSRP: RSRP based threshold for event evaluation. The actual value is field value - 140 dBm.

For RSRQ: RSRQ based threshold for event evaluation. The actual value is (field value - 40)/2 dB.

For RS-SINR: RS-SINR based threshold for event evaluation. The actual value is (field value -46)/2 dB.

For CSI-RSRP: CSI-RSRP based threshold for event evaluation. The actual value is field value - 140 dBm.

EUTRAN configures the same threshold quantity for all the thresholds of an event.

## timeToTrigger

Time during which specific criteria for the event needs to be met in order to trigger a measurement report.

## triggerQuantity

The quantity used to evaluate the triggering condition for the event concerning CRS. EUTRAN sets the value according to the quantity of the *ThresholdEUTRA* for this event. The values rsrp, rsrq and *sinr* correspond to Reference Signal Received Power (RSRP), Reference Signal Received Quality (RSRQ) and Reference Signal Signal to Noise and Interference Ratio (RS-SINR), see TS 36.214 [48]. If *triggerQuantity-v1310* is configured, the UE only considers this extension (and ignores *triggerQuantity* i.e. without suffix).

## triggerQuantityCSI-RS

The quantity used to evaluate the triggering condition for the event concerning CSI-RS. The value *TRUE* corresponds to CSI Reference Signal Received Power (CSI-RSRP), see TS 36.214 [48]. E-UTRAN configures value *TRUE* if and only if the measurement reporting event concerns CSI-RS.

#### ue-RxTxTimeDiffPeriodical

If this field is present, the UE shall perform UE Rx-Tx time difference measurement reporting and ignore the fields triggerQuantity, reportQuantity and maxReportCells. If the field is present, the only applicable values for the corresponding triggerType and purpose are periodical and reportStrongestCells respectively.

#### ue-RxTxTimeDiffPeriodicalTDD

If this field is set to *TRUE*, the UE shall perform UE Rx-Tx time difference measurement reporting according to EUTRAN TDD UE Rx-Tx time difference report mapping in TS 36.133 [16]. If the field is configured, the *ue-RxTxTimeDiffPeriodical* shall be configured. The field is applicable for TDD only.

#### usePSCell

If this field is set to *TRUE* the UE shall use the PSCell instead of the PCell. E-UTRAN configures value *TRUE* only for events A3 and A5, see 5.5.4.4 and 5.5.4.6.

#### useT312

If value *TRUE* is configured, the UE shall use the timer T312 with the value *t312* as specified in the corresponding *measObject*. If the corresponding *measObject* does not include the timer T312 then the timer T312 is considered as not configured. E-UTRAN configures value *TRUE* only if *triggerType* is set to *event*.

# useWhiteCellList

Indicates whether only the cells included in the white-list of the associated *measObject* are applicable as specified in 5.5.4.1. E-UTRAN does not configure the field for events A1, A2, C1 and C2.

## ul-DelayConfig

If the field is present, E-UTRAN configures UL PDCP Packet Delay per QCI measurement and the UE shall ignore the fields *triggerQuantity* and *maxReportCells*. The applicable values for the corresponding *triggerType* and *reportInterval* are *periodical* and (one of the) ms1024, ms2048, ms5120 or ms10240 respectively. The *reportInterval* indicates the periodicity for performing and reporting of UL PDCP Delay per QCI measurement as specified in TS 36.314 [71].

Conditional presence	Explanation
reportCGI	The field is optional, need OR, in case <i>purpose</i> is included and set to <i>reportCGI</i> ;
	otherwise the field is not present and the UE shall delete any existing value for this field.
a3a4a5	This field is optional, need OR, in case eventId is set to eventA3 or eventA4 or eventA5;
	otherwise, this field is not present and the UE shall delete any existing value of this field.
a4a5	This field is optional, need OR, in case eventId is set to eventA4 or eventA5; otherwise,
	this field is not present and the UE shall delete any existing value of this field.

# ReportConfigld

The IE *ReportConfigId* is used to identify a measurement reporting configuration.

# ReportConfigId information element

-- ASN1START

ReportConfigId ::= INTEGER (1..maxReportConfigId)

-- ASN1STOP

# ReportConfigInterRAT

The IE *ReportConfigInterRAT* specifies criteria for triggering of an inter-RAT measurement reporting event. The inter-RAT measurement reporting events for NR, UTRAN, GERAN and CDMA2000 are labelled BN with N equal to 1, 2 and so on. The inter-RAT measurement reporting events for WLAN are labelled WN with N equal to 1, 2 and so on.

- Event B1: Neighbour becomes better than absolute threshold;
- Event B2: PCell becomes worse than absolute threshold1 AND Neighbour becomes better than another absolute threshold2.
- Event W1: WLAN becomes better than a threshold;
- Event W2: All WLAN inside WLAN mobility set become worse than a threshold1 and a WLAN outside WLAN mobility set becomes better than a threshold2;
- Event W3: All WLAN inside WLAN mobility set become worse than a threshold.

The b1 and b2 event thresholds for CDMA2000 are the CDMA2000 pilot detection thresholds are expressed as an unsigned binary number equal to  $[-2 \times 10 \log 10 \text{ E}_c/I_o]$  in units of 0.5dB, see C.S0005 [25] for details.

## ReportConfigInterRAT information element

```
-- ASN1START
ReportConfigInterRAT ::=
                                    SEQUENCE {
                                       CHOICE {
    triggerType
       event
                                          SEQUENCE {
            eventId
                                              CHOICE {
                                                  SEQUENCE {
               eventB1
                   b1-Threshold
                                                       CHOICE {
                       b1-ThresholdUTRA
                                                           ThresholdUTRA,
                       bl-ThresholdGERAN
                                                            ThresholdGERAN,
                       b1-ThresholdCDMA2000
                                                            ThresholdCDMA2000
                eventB2
                                                   SEQUENCE {
                   b2-Threshold1
                                                    ThresholdEUTRA,
                                                       CHOICE {
                   b2-Threshold2
                       b2-Threshold2UTRA
                                                           ThresholdUTRA.
                       b2-Threshold2GERAN
                                                            ThresholdGERAN,
                       b2-Threshold2CDMA2000
                                                            ThresholdCDMA2000
                    }
                },
                eventW1-r13
                                               SEQUENCE {
                    wl-Threshold-r13
                                               WLAN-RSSI-Range-r13
                eventW2-r13
                                               SEQUENCE {
                   w2-Threshold1-r13
                                                WLAN-RSSI-Range-r13,
                    w2-Threshold2-r13
                                               WLAN-RSSI-Range-r13
                },
                                               SEOUENCE {
                eventW3-r13
                   w3-Threshold-r13
                                               WLAN-RSSI-Range-r13
                eventB1-NR-r15
                                                        SEOUENCE {
                   b1-ThresholdNR-r15
                                                        ThresholdNR-r15,
                                                       BOOLEAN
                    reportOnLeave-r15
                eventB2-NR-r15
                                                       SEQUENCE {
                   b2-Threshold1-r15
                                                       ThresholdEUTRA,
                   b2-Threshold2NR-r15
                                                       ThresholdNR-r15,
                    reportOnLeave-r15
                                                       BOOLEAN
            hysteresis
                                           Hysteresis,
                                           TimeToTrigger
            timeToTrigger
       periodical
                                                SEOUENCE {
                                                    ENUMERATED {
            purpose
```

```
reportStrongestCells,
                                                       reportStrongestCellsForSON,
                                                       reportCGI}
   maxReportCells
                                   INTEGER (1..maxCellReport),
                                   ReportInterval,
    reportInterval
                                   ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},
    reportAmount
    [[ si-RequestForHO-r9
                                       ENUMERATED {setup}
                                                               OPTIONAL
                                                                           -- Cond reportCGI
    ]],
    [[ reportQuantityUTRA-FDD-r10
                                       ENUMERATED {both}
                                                               OPTIONAL
                                                                           -- Need OR
    ]],
    [[ includeLocationInfo-r11
                                       BOOLEAN
                                                               OPTIONAL
                                                                           -- Need ON
    ]],
    [[ b2-Threshold1-v1250
                                        CHOICE {
           release
                                           NIII.I.
           setup
                                           RSRQ-Range-v1250
        }
                                                               OPTIONAL
                                                                           -- Need ON
    ]],
       reportQuantityWLAN-r13
    [ [
                                       ReportQuantityWLAN-r13 OPTIONAL
                                                                           -- Need ON
    ]],
    [[
       reportAnyWLAN-r14
                                       BOOLEAN
                                                               OPTIONAL
                                                                           -- Need ON
    11,
    [[ reportQuantityCellNR-r15
                                       ReportQuantityNR-r15
                                                               OPTIONAL.
                                                                            -- Need ON
                                       INTEGER (0..maxRS-IndexReport-r15) OPTIONAL,
       maxReportRS-Index-r15
                                                                                        -- Need ON
                                                                           -- Need ON
-- Need ON
        reportQuantityRS-IndexNR-r15 ReportQuantityNR-r15
                                                               OPTIONAL,
        reportRS-IndexResultsNR
                                       BOOLEAN
                                                               OPTIONAL,
                                       ENUMERATED {pSCell, neighborCells } OPTIONAL
        reportSFTD-Meas-r15
                                                                                       -- Need ON
    11
}
ThresholdUTRA ::=
                                   CHOICE {
    utra-RSCP
                                       INTEGER (-5..91),
    utra-EcN0
                                       INTEGER (0..49)
ThresholdGERAN ::=
                               INTEGER (0..63)
ThresholdCDMA2000 ::=
                              INTEGER (0..63)
ReportQuantityNR-r15::=
                                           SEQUENCE {
    ss-rsrp
                                               BOOLEAN,
    ss-rsrq
                                               BOOLEAN,
    ss-sinr
                                               BOOLEAN
}
ReportQuantityWLAN-r13 ::=
                              SEQUENCE {
                                                                               -- Need OR
    bandRequestWLAN-r13
                                               ENUMERATED {true}
                                                                   OPTIONAL,
    carrierInfoRequestWLAN-r13
                                                                   OPTIONAL,
                                                                               -- Need OR
                                               ENUMERATED {true}
    availableAdmissionCapacityRequestWLAN-r13
                                                                   OPTIONAL,
                                               ENUMERATED (true)
                                                                               -- Need OR
                                                                               -- Need OR
    backhaulDL-BandwidthRequestWLAN-r13
                                               ENUMERATED {true}
                                                                   OPTIONAL,
    backhaulUL-BandwidthRequestWLAN-r13
                                               ENUMERATED {true}
                                                                   OPTIONAL,
                                                                               -- Need OR
    channelUtilizationRequestWLAN-r13
                                                                               -- Need OR
                                               ENUMERATED {true}
                                                                   OPTIONAL,
                                                                               -- Need OR
    stationCountRequestWLAN-r13
                                               ENUMERATED {true}
                                                                   OPTIONAL,
-- ASN1STOP
```

## ReportConfigInterRAT field descriptions

#### availableAdmissionCapacityRequestWLAN

The value true indicates that the UE shall include, if available, WLAN Available Admission Capacity in measurement reports.

# backhaulDL-BandwidthRequestWLAN

The value true indicates that the UE shall include, if available, WLAN Backhaul Downlink Bandwidth in measurement reports.

## backhaulUL-BandwidthRequestWLAN

The value true indicates that the UE shall include, if available, WLAN Backhaul Uplink Bandwidth in measurement reports.

#### bandRequestWLAN

The value true indicates that the UE shall include WLAN band in measurement reports.

#### bN-ThresholdM

Threshold to be used in inter RAT measurement report triggering condition for event number bN. If multiple thresholds are defined for event number bN, the thresholds are differentiated by M.

## carrierInfoRequestWLAN

The value true indicates that the UE shall include, if available, WLAN Carrier Information in measurement reports.

#### channelUtilizationRequest-WLAN

The value true indicates that the UE shall include, if available, WLAN Channel Utilization in measurement reports.

#### eventld

Choice of inter-RAT event triggered reporting criteria.

#### maxReportCells

Max number of cells, excluding the serving cell, to include in the measurement report. In case *purpose* is set to *reportStrongestCellsForSON* only value 1 applies. For inter-RAT WLAN, it is the maximum number of WLANs to include in the measurement report.

#### maxReportRS-Index

Max number of RS indices to include in the measurement report. E-UTRAN configures value 0 only if it sets *reportRS-IndexResultsNR* to *FALSE*.

#### **Purpose**

reportStrongestCellsForSON applies only in case reportConfig is linked to a measObject set to measObjectUTRA or measObjectCDMA2000.

#### reportAmount

Number of measurement reports applicable for *triggerType event* as well as for *triggerType periodical*. In case *purpose* is set to *reportCGI* or reportStrongestCellsForSON only value 1 applies. In case *reportSFTD-Meas* is configured, only value 1 applies.

#### reportAnyWLAN

Indicates UE to report any WLAN AP meeting the triggering requirements, even if it is not included in the corresponding *MeasObjectWLAN*.

## reportOnLeave

Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a cell in *cellsTriggeredList*, as specified in 5.5.4.1.

# reportQuantityUTRA-FDD

The quantities to be included in the UTRA measurement report. The value *both* means that both the cpich RSCP and cpich EcN0 quantities are to be included in the measurement report.

## reportRS-IndexResultsNR

Indicates whether or not the UE shall report beam measurement result of NR in the measurement report.

## reportSFTD-Meas

If this field is set to *pSCell*, the UE shall measure SFTD between the PCell and the PSCell as specified in TS 38.215 [89], in this case, the frequency of PSCell is configured in the corresponding *measObjectNR*. If the field is set to *neighborCells*, the UE shall measure SFTD between the PCell and the NR cells included in

cellsForWhichToReportSFTD (if configured in the corresponding measObjectNR) or between the PCell and up to 3 strongest detected NR cells (if cellsForWhichToReportSFTD is not configured in the corresponding measObjectNR), as specified in TS 38.215 [89]. E-UTRAN only includes this field when setting triggerType to periodical and purpose to reportStrongestCells. If included, the UE shall ignore the maxReportCells field.

#### si-RequestForHO

The field applies to the *reportCGI* functionality, and when the field is included, the UE is allowed to use autonomous gaps in acquiring system information from the neighbour cell, applies a different value for T321, and includes different fields in the measurement report. EUTRAN does not configure the field if *reportConfig* is linked to a *measObject* set to *measObjectNR*.

# ss-rsrp

Indicates whether or not the UE shall report SS-RSRP quantity of NR.

#### ss-rsrq

Indicates whether or not the UE shall report SS-RSRQ quantity of NR.

## ss-sinr

Indicates whether or not the UE shall report SS-SINR quantity of NR.

## stationCountRequestWLAN

The value true indicates that the UE shall include, if available, WLAN Station Count in measurement reports.

## ReportConfigInterRAT field descriptions

#### availableAdmissionCapacityRequestWLAN

The value true indicates that the UE shall include, if available, WLAN Available Admission Capacity in measurement reports.

#### backhaulDL-BandwidthRequestWLAN

The value true indicates that the UE shall include, if available, WLAN Backhaul Downlink Bandwidth in measurement reports.

#### backhaulUL-BandwidthRequestWLAN

The value true indicates that the UE shall include, if available, WLAN Backhaul Uplink Bandwidth in measurement reports.

#### bandRequestWLAN

The value true indicates that the UE shall include WLAN band in measurement reports.

## b1-ThresholdGERAN, b2-Threshold2GERAN

The actual value is field value - 110 dBm.

## b1-ThresholdUTRA, b2-Threshold2UTRA

*utra-RSCP* corresponds to CPICH\_RSCP in TS 25.133 [29] for FDD and P-CCPCH\_RSCP in TS 25.123 [30] for TDD. *utra-EcN0* corresponds to CPICH\_Ec/No in TS 25.133 [29] for FDD, and is not applicable for TDD.

For utra-RSCP: The actual value is field value - 115 dBm.

For *utra-EcN0*: The actual value is (field value – 49)/2 dB.

#### timeToTrigger

Time during which specific criteria for the event needs to be met in order to trigger a measurement report.

## triggerType

E-UTRAN does not configure the value *periodical* in case *reportConfig* is linked to a *measObject* set to *measObjectWLAN*.

Conditional presence	Explanation
reportCGI	The field is optional, need OR, in case <i>purpose</i> is included and set to <i>reportCGI</i> ;
	otherwise the field is not present and the UE shall delete any existing value for this field.

# ReportConfigToAddModList

The IE ReportConfigToAddModList concerns a list of reporting configurations to add or modify

# ReportConfigToAddModList information element

```
-- ASN1START

ReportConfigToAddModList ::= SEQUENCE (SIZE (1..maxReportConfigId)) OF ReportConfigToAddMod

ReportConfigToAddMod ::= SEQUENCE {
    reportConfigId ReportConfigId,
    reportConfig CHOICE {
        reportConfigEUTRA ReportConfigEUTRA,
        reportConfigInterRAT ReportConfigInterRAT
    }
}

-- ASN1STOP
```

# - ReportInterval

The *ReportInterval* indicates the interval between periodical reports. The *ReportInterval* is applicable if the UE performs periodical reporting (i.e. when *reportAmount* exceeds 1), for *triggerType event* as well as for *triggerType periodical*. Value ms120 corresponds with 120 ms, ms240 corresponds with 240 ms and so on, while value min1 corresponds with 1 min, min6 corresponds with 6 min and so on.

## ReportInterval information element

-- ASN1STOP

## RS-IndexNR

The IE RS-IndexNR is used to identify an NR Reference Signal.

## RS-IndexNR information element

```
-- ASN1START

RS-IndexNR-r15 ::= INTEGER (0..maxRS-Index-1-r15)

-- ASN1STOP
```

# 

The IE *RSRP-Range* specifies the value range used in RSRP measurements and thresholds. Integer value for RSRP measurements according to mapping table in TS 36.133 [16]. A given field using *RSRP-Range-v1360* shall only be signalled if the corresponding original field (using *RSRP-Range* i.e. without suffix) is set to value 0.

## RSRP-Range information element

```
-- ASN1START

RSRP-Range ::= INTEGER(0..97)

RSRP-Range-v1360 ::= INTEGER(-17..-1)

RSRP-RangeSL-r12 ::= INTEGER(0..13)

RSRP-RangeSL2-r12 ::= INTEGER(0..7)

RSRP-RangeSL3-r12 ::= INTEGER(0..11)

RSRP-RangeSL4-r13 ::= INTEGER(0..49)

-- ASN1STOP
```

## RSRP-Range field descriptions

# RSRP-Range

For UE supporting CE Mode B, when CE mode B is not restricted by upper layers, *RSRP-Range-v1360* (i.e., with suffix) is reported if the measured RSRP is less than -140 dBm.

## RSRP-RangeSL

Value 0 corresponds to -infinity, value 1 to -115dBm, value 2 to -110dBm, and so on (i.e. in steps of 5dBm) until value 12, which corresponds to -60dBm, while value 13 corresponds to +infinity.

## RSRP-RangeSL2

Value 0 corresponds to -infinity, value 1 to -110dBm, value 2 to -100dBm, and so on (i.e. in steps of 10dBm) until value 6, which corresponds to -60dBm, while value 7 corresponds to +infinity.

#### RSRP-RangeSL3

Value 0 corresponds to -110dBm, value 1 to -105dBm, value 2 to -100dBm, and so on (i.e. in steps of 5dBm) until value 10, which corresponds to -60dBm, while value 11 corresponds to +infinity.

# RSRP-RangeSL4

Indicates the range for SD-RSRP. Value 0 corresponds to -130dBm, value 1 to -128dBm, value 2 to -126dBm, and so on (i.e. in steps of 2dBm) until value 48, which corresponds to -34dBm, while value 49 corresponds to +infinity.

# – RSRP-RangeNR

The IE *RSRP-RangeNR* specifies the value range used in RSRP measurements and thresholds. For RSRP measurements, integer value is according to mapping table in TS 38.133 [84]. For thresholds, the actual value is (field value – 156) dBm, except for field value 127, in which case the actual value is infinity.

# RSRP-RangeNR information element

```
-- ASN1START

RSRP-RangeNR-r15 ::= INTEGER (0..127)

-- ASN1STOP
```

# RSRQ-Range

The IE RSRQ-Range specifies the value range used in RSRQ measurements and thresholds. Integer value for RSRQ measurements is according to mapping table in TS 36.133 [16]. A given field using RSRQ-Range-v1250 shall only be signalled if the corresponding original field (using RSRQ-Range i.e. without suffix) is set to value 0 or 34. Only a UE indicating support of extendedRSRQ-LowerRange-r12 or rsrq-OnAllSymbols-r12 may report RSRQ-Range-v1250, and this may be done without explicit configuration from the E-UTRAN. If received, the UE shall use the value indicated by the RSRQ-Range-v1250 and ignore the value signalled by RSRQ-Range (without the suffix). RSRQ-Range-r13 covers the original range and extended RSRQ-Range-v1250. RSRQ-Range-r13 may be signalled without the corresponding original field and without any requirements for indicated support of extendedRSRQ-LowerRange-r12 or rsrq-OnAllSymbols-r12.

## RSRQ-Range information element

```
-- ASN1START

RSRQ-Range ::= INTEGER(0..34)

RSRQ-Range-v1250 ::= INTEGER(-30..46)

RSRQ-Range-r13 ::= INTEGER(-30..46)

-- ASN1STOP
```

# – RSRQ-RangeNR

The IE RSRQ-RangeNR specifies the value range used in RSRQ measurements and thresholds. For RSRQ measurements, integer value is according to mapping table in TS 38.133 [84]. For thresholds, the actual value is (field value -87) / 2 dB.

# RSRQ-RangeNR information element

```
-- ASN1START

RSRQ-RangeNR-r15 ::= INTEGER (0..127)

-- ASN1STOP
```

# 

The IE RSRQ-Type specifies the RSRQ value type used in RSRQ measurements, see TS 36.214 [48].

# RSRQ-Type information element

```
-- ASN1START

RSRQ-Type-r12 ::= SEQUENCE {
   allSymbols-r12 BOOLEAN,
   wideBand-r12 BOOLEAN
}

-- ASN1STOP
```

# RSRQ-Type field descriptions allSymbols Value TRUE indicates use of all OFDM symbols when performing RSRQ measurements. wideBand Value TRUE indicates use of a wider bandwidth when performing RSRQ measurements.

# RS-SINR-Range

The IE RS-SINR-Range specifies the value range used in RS-SINR measurements and thresholds. Integer value for RS-SINR measurements is according to mapping table in TS 36.133 [16].

# RS-SINR-Range information element

```
-- ASN1START

RS-SINR-Range-r13 ::= INTEGER(0..127)

-- ASN1STOP
```

# 

The IE RS-SINR-RangeNR specifies the value range used in RS-SINR measurements and thresholds. For RS-SINR measurements, integer value is according to mapping table in TS 38.133 [84]. For thresholds, the actual value is (field value -46) / 2 dB.

# RS-SINR-RangeNR information element

```
-- ASN1START

RS-SINR-RangeNR-r15 ::= INTEGER (0..127)

-- ASN1STOP
```

# – RSSI-Range-r13

The IE *RSSI-Range* specifies the value range used in RSSI measurements and thresholds. Integer value for RSSI measurements is according to mapping table in TS 36.133 [16].

## RSSI-Range information element

```
-- ASN1START

RSSI-Range-r13 ::= INTEGER(0..76)

-- ASN1STOP
```

# SS-RSSI-Measurement

The IE SS-RSSI-Measurement specifies the configuration of NR SSB based RSSI measurements.

## SS-RSSI-Measurement information element

```
-- ASN1START

SS-RSSI-Measurement-r15 ::= SEQUENCE {
    measurementSlots-r15 BIT STRING (SIZE(1..80)),
    endSymbol-r15 INTEGER(0..3)
}
```

-- ASN1STOP

## SS-RSSI-Measurement field descriptions

# endSymbol

Within a slot that is configured for RSSI measurements (see measurementSlots) the UE measures the RSSI from symbol 0 to symbol endSymbol. This field identifies the entry in Table 5.1.33-1 in TS 36.214 which determines the actual end symbol.

#### measurementSlots

Indicates the slots in which the UE can perform NR RSSI measurements. The length of the BIT STRING is equal to the number of slots in the configured SMTC window (determined by the ssb-duration and by the subcarrierSpacingSSB). The first (left-most / most significant) bit in the bitmap corresponds to the first slot in the SMTC window, the second bit in the bitmap corresponds to the second slot in the SMTC window, and so on. The UE measures in slots for which the corresponding bit in the bitmap is set to 1.

## SSB-ToMeasure

The IE SSB-ToMeasure is used to configure a pattern of SSBs.

#### SSB-ToMeasure information element

```
-- ASN1START

SSB-ToMeasure-r15 ::= CHOICE {
    shortBitmap-r15 BIT STRING (SIZE (4)),
    mediumBitmap-r15 BIT STRING (SIZE (8)),
    longBitmap-r15 BIT STRING (SIZE (64))
}

-- ASN1STOP
```

#### SSB-ToMeasure field descriptions

## IongBitmap

Bitmap when maximum number of SS/PBCH blocks per half frame equals to 64 as defined in TS 38.213 [88], clause 4.1. *mediumBitmap* 

Bitmap when maximum number of SS/PBCH blocks per half frame equals to 8 as defined in TS 38.213 [88], clause 4.1. **shortBitmap** 

Bitmap when maximum number of SS/PBCH blocks per half frame equals to 4 as defined in TS 38.213 [88], clause 4.1.

# TimeToTrigger

The IE *TimeToTrigger* specifies the value range used for time to trigger parameter, which concerns the time during which specific criteria for the event needs to be met in order to trigger a measurement report. Value ms0 corresponds to 0 ms and behaviour as specified in 7.3.2 applies, ms40 corresponds to 40 ms, and so on.

## TimeToTrigger information element

# UL-DelayConfig

The IE *UL-DelayConfig* IE specifies the configuration of the UL PDCP Packet Delay per QCI measurement specified in TS 36.314 [71].

## **UL-DelayConfig** information element

## **UL-DelayConfig** field descriptions

#### delayThreshold

Indicates the delay threshold value used by UE to provide results of UL PDCP Packet Delay per QCI measurement as specified in TS 36.314 [71]. Value in milliseconds. Value ms30 means 30 ms and so on.

## WLAN-CarrierInfo

The IE WLAN-CarrierInfo is used to identify the WLAN frequency band information, as specified in Annex E in [67].

#### WLAN-CarrierInfo information element

# WLAN-CarrierInfo field descriptions

## channelNumbers

Indicates the WLAN channels as defined in IEEE 802.11-2012 [67]. Value 0 is not used.

## countryCode

Indicates the country code of WLAN as defined in IEEE 802.11-2012 [67].

## operatingClass

Indicates the Operating Class of WLAN as defined in IEEE 802.11-2012 [67].

## WLAN-NameList

The IE WLAN-NameList is used to indicate the names of the WLAN AP for which the UE is configured to measure.

#### WLAN-NameList information element

-- ASN1STOP

## WLAN-NameList field descriptions

#### WLAN-Name

If configured, the UE only performs WLAN measurements according to the names identified. For each name, it refers to Service Set Identifier (SSID) defined in IEEE 802.11-2012 [67].

# – WLAN-RSSI-Range

The IE *WLAN-RSSI-Range* specifies the value range used in WLAN RSSI measurements and thresholds. Integer value for WLAN RSSI measurements is according to mapping table in TS 36.133 [16]. Value 0 corresponds to -infinity, value 1 to -100dBm, value 2 to -99dBm, and so on (i.e. in steps of 1dBm) until value 140, which corresponds to 39dBm, while value 141 corresponds to +infinity.

## WLAN-RSSI-Range information element

```
-- ASN1START

WLAN-RSSI-Range-r13 ::= INTEGER(0..141)

-- ASN1STOP
```

## – WLAN-RTT

The IE WLAN-RTT covers the measured round trip time between the target device and WLAN AP and optionally the accuracy expressed as the standard deviation of the delay.

#### **WLAN-RTT** information element

```
-- ASN1START
WLAN-RTT-r15 ::= SEQUENCE {
   rttValue-r15
                                     INTEGER (0..16777215),
    rttUnits-r15
                                     ENUMERATED {
                                                     microseconds,
                                     hundredsofnanoseconds,
                                     tensofnanoseconds,
                                     nanoseconds,
                                     tenthsofnanoseconds,
                                      . . . } ,
                                 INTEGER (0..255)
                                                                               OPTIONAL,
    rttAccuracy-r15
-- ASN1STOP
```

#### WLAN-RTT field descriptions

#### rttValue

This field specifies the Round Trip Time (RTT) measurement between the target device and WLAN AP in units given by the field rttUnits as defined in TS 36.355 [54].

## rttUnits

This field specifies the Units for the fields rttValue and rttAccuracy. The available Units are 1000ns, 100ns, 10ns, 1ns, and 0.1ns as defined in TS 36.355 [54].

#### rttAccuracy

This field provides the estimated accuracy of the provided rttValue expressed as the standard deviation in units given by the field rttUnits as defined in TS 36.355 [54].

# WLAN-Status

The IE WLAN-Status indicates the current status of WLAN connection. The values are set as described in clause 5.6.15.2 and 5.6.15.4.

#### WLAN-Status information element

```
-- ASN1START

WLAN-Status-r13 ::= ENUMERATED {successfulAssociation, failureWlanRadioLink, failureWlanUnavailable, failureTimeout}

WLAN-Status-v1430 ::= ENUMERATED {suspended, resumed}

-- ASN1STOP
```

# WLAN-SuspendConfig

The IE WLAN-SuspendConfig is used for configuration of WLAN suspend/resume functionality.

## WLAN-SuspendConfig field descriptions

# wlan-SuspendResumeAllowed

Indicates whether the UE is allowed to use suspend-resume mechanism, i.e., to indicate WLAN being temporarily unavailable and WLAN being available again after temporary unavailability.

#### wlan-SuspendTriggersStatusReport

Indicates whether the UE shall trigger PDCP status report as defined in TS 36.323 [8] when WLAN is temporarily unavailable and UE reports this status.

# 6.3.6 Other information elements

# AbsoluteTimeInfo

The IE *AbsoluteTimeInfo* indicates an absolute time in a format YY-MM-DD HH:MM:SS and using BCD encoding. The first/ leftmost bit of the bit string contains the most significant bit of the most significant digit of the year and so on.

## AbsoluteTimeInfo information element

```
-- ASN1START

AbsoluteTimeInfo-r10 ::= BIT STRING (SIZE (48))

-- ASN1STOP
```

## – AMF-Identifier

The IE *AMF-Identifier* (AMFI) comprises of an AMF Region ID, an AMF Set ID and an AMF Pointer as specified in 23.003 [27], clause 2.10.1.

## AMF-Identifier information element

```
-- ASN1START

AMF-Identifier-r15 ::= BIT STRING (SIZE (24))

-- ASN1STOP
```

# AreaConfiguration

The AreaConfiguration indicates area for which UE is requested to perform measurement logging. If not configured, measurement logging is not restricted to specific cells or tracking areas but applies as long as the RPLMN is contained in plmn-IdentityList stored in VarLogMeasReport.

## AreaConfiguration information element

```
-- ASN1START
AreaConfiguration-r10 ::= CHOICE {
   cellGlobalIdList-r10
                                   CellGlobalIdList-r10,
   trackingAreaCodeList-r10
                                   TrackingAreaCodeList-r10
AreaConfiguration-v1130 ::= SEQUENCE {
   trackingAreaCodeList-v1130 TrackingAreaCodeList-v1130
CellGlobalIdList-r10 ::=
                                       SEQUENCE (SIZE (1..32)) OF CellGlobalIdEUTRA
TrackingAreaCodeList-r10 ::=
                                       SEQUENCE (SIZE (1..8)) OF TrackingAreaCode
TrackingAreaCodeList-v1130 ::= SEQUENCE {
   plmn-Identity-perTAC-List-r11
                                           SEQUENCE (SIZE (1..8)) OF PLMN-Identity
-- ASN1STOP
```

## AreaConfiguration field descriptions

## plmn-Identity-perTAC-List

Includes the PLMN identity for each of the TA codes included in *trackingAreaCodeList*. The PLMN identity listed first in *plmn-Identity-perTAC-List* corresponds with the TA code listed first in *trackingAreaCodeList* and so on.

## BandCombinationList

The IE BandCombinationList contains a list of CA band combinations.

### BandCombinationList information element

## – C-RNTI

The IE C-RNTI identifies a UE having a RRC connection within a cell.

## **C-RNTI** information element

```
-- ASN1START

C-RNTI ::= BIT STRING (SIZE (16))

-- ASN1STOP
```

# DedicatedInfoCDMA2000

The *DedicatedInfoCDMA2000* is used to transfer UE specific CDMA2000 information between the network and the UE. The RRC layer is transparent for this information.

## DedicatedInfoCDMA2000 information element

```
-- ASN1START

DedicatedInfoCDMA2000 ::= OCTET STRING

-- ASN1STOP
```

## DedicatedInfoNAS

The IE *DedicatedInfoNAS* is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this information.

#### DedicatedInfoNAS information element

```
-- ASN1START

DedicatedInfoNAS ::= OCTET STRING

-- ASN1STOP
```

# FilterCoefficient

The IE *FilterCoefficient* specifies the measurement filtering coefficient. Value fc0 corresponds to k = 0, fc1 corresponds to k = 1, and so on.

#### FilterCoefficient information element

# FlightPathInfoReportConfig

The IE FlightPathInfoReportConfig speficies flight path information report configuration.

# FlightPathInfoReportConfig information element

# FlightPathInfoReportConfig field descriptions

### maxWayPointNumber

Indicates the maximum number of way points UE can include in the flight path information report if this information is available at the UE.

## includeTimeStamp

Indicates whether time stamp of each way point can be reported in the flight path information report if time stamp information is available at the UE.

# – GNSS-ID

The IE GNSS-ID is used to indicate a specific GNSS (see also TS 36.355 [54]).

## – I-RNTI

The *I-RNTI* IE is used to identify the suspended UE context of a UE in RRC\_INACTIVE.

# I-RNTI information element

```
-- ASN1START

I-RNTI-r15 ::= BIT STRING (SIZE(40))

-- ASN1STOP
```

# LoggingDuration

The *LoggingDuration* indicates the duration for which UE is requested to perform measurement logging. Value min10 corresponds to 10 minutes, value min20 corresponds to 20 minutes and so on.

## LoggingDuration information element

# LoggingInterval

The *LoggingInterval* indicates the periodicity for logging measurement results. Value ms1280 corresponds to 1.28s, value ms2560 corresponds to 2.56s and so on.

# LoggingInterval information element

## MeasSubframePattern

The IE MeasSubframePattern is used to specify a subframe pattern. The first/leftmost bit corresponds to the subframe #0 of the radio frame satisfying SFN mod x = 0, where SFN is that of PCell and x is the size of the bit string divided by 10. "1" denotes that the corresponding subframe is used.

#### MeasSubframePattern information element

## – MMEC

The IE MMEC identifies an MME within the scope of an MME Group within a PLMN, see TS 23.003 [27].

#### **MMEC** information element

```
-- ASN1START

MMEC ::= BIT STRING (SIZE (8))

-- ASN1STOP
```

# NeighCellConfig

The IE *NeighCellConfig* is used to provide the information related to MBSFN and TDD UL/DL configuration of neighbour cells.

# NeighCellConfig information element

```
-- ASN1START

NeighCellConfig ::= BIT STRING (SIZE (2))

-- ASN1STOP
```

# NeighCellConfig field descriptions

## neighCellConfig

Provides information related to MBSFN and TDD UL/DL configuration of neighbour cells of this frequency 00: Not all neighbour cells have the same MBSFN subframe allocation as the serving cell on this frequency, if configured, and as the PCell otherwise

- 10: The MBSFN subframe allocations of all neighbour cells are identical to or subsets of that in the serving cell on this frequency, if configured, and of that in the PCell otherwise
- 01: No MBSFN subframes are present in all neighbour cells
- 11: Different UL/DL allocation in neighbouring cells for TDD compared to the serving cell on this frequency, if configured, and compared to the PCell otherwise
- For TDD, 00, 10 and 01 are only used for same UL/DL allocation in neighbouring cells compared to the serving cell on this frequency, if configured, and compared to the PCell otherwise.

# – NG-5G-S-TMSI

The IE *NG-5G-S-TMSI* contains a 5G S-Temporary Mobile Subscriber Identity, a temporary UE identity provided by the AMF which uniquely identifies the UE within the tracking area, see TS 23.003 [27].

#### NG-5G-S-TMSI information element

```
-- ASN1START

NG-5G-S-TMSI-r15::= BIT STRING (SIZE (48))

-- ASN1STOP
```

# OtherConfig

The IE OtherConfig contains configuration related to other configuration.

## OtherConfig information element

```
-- ASN1START
OtherConfig-r9 ::= SEQUENCE {
   reportProximityConfig-r9
                                     ReportProximityConfig-r9
                                                                     OPTIONAL,
    [[ idc-Config-r11
                                      IDC-Config-r11
                                                                     OPTIONAL.
                                                                                 -- Need ON
       powerPrefIndicationConfig-rll PowerPrefIndicationConfig-rll
                                                                     OPTIONAL,
                                                                                -- Need ON
       obtainLocationConfig-r11 ObtainLocationConfig-r11
                                                                     OPTIONAL
                                                                                 -- Need ON
    ]],
   [[ bw-PreferenceIndicationTimer-r14
                                         ENUMERATED {s0, s0dot5, s1, s2, s5, s10, s20,
                                             s30, s60, s90, s120, s300, s600, spare3,
                                              spare2, spare1} OPTIONAL, -- Need OR
       sps-AssistanceInfoReport-r14
                                         BOOLEAN
                                                        OPTIONAL, -- Need ON
       delayBudgetReportingConfig-r14 CHOICE{
           release
                                  NULL.
                                  SEQUENCE {
               s0, s0dot4, s0dot8,
                                                             sldot6, s3, s6, s12, s30}
                                                                     OPTIONAL, -- Need ON
       rlm-ReportConfig-r14
                                     CHOICE {
                                  NULL,
           release
                                  SEQUENCE {
           setup
               rlmReportTimer-r14
                                              ENUMERATED {s0, s0dot5, s1, s2, s5, s10, s20, s30,
                                              s60, s90, s120, s300, s600, spare3, spare2, spare1},
               rlmReportRep-MPDCCH-r14
                                              ENUMERATED {setup}
                                                                   OPTIONAL
           OPTIONAL -- Need ON
      overheatingAssistanceConfig-r14 CHOICE{
           release
                                  NULL,
           setup
                                  SECTIENCE {
               overheatingIndicationProhibitTimer-r14 ENUMERATED {s0, s0dot5, s1, s2, s5, s10,
                                                     s20, s30, s60, s90, s120, s300, s600,
                                                     spare3, spare2, spare1}
                          -- Need ON
           OPTIONAL
   ]],
      measConfigAppLayer-r15
                                  CHOICE {
   [ [
           release
                                  NULL.
                                  SEQUENCE {
           setup
               {\tt measConfigAppLayerContainer-r15}
                                                 OCTET STRING (SIZE(1..1000)),
               serviceType-r15
                                                ENUMERATED {qoe, qoemtsi, spare6, spare5,
spare4, spare3, spare2, spare1}
          OPTIONAL, -- Need ON
       ailc-BitConfig-r15 BOOLEAN bt-NameListConfig-r15 BT-NameListConfig-r15
                                                                     OPTIONAL,
                                                                                -- Need ON
                                                                       OPTIONAL, --Need ON
       wlan-NameListConfig-r15 WLAN-NameListConfig-r15
                                                                        OPTIONAL
                                                                                        --Need
ON
   ]]
}
```

```
idc-Indication-rll
IDC-Config-r11 ::=
   idc-Indication-rl1 ENUMERATED {setup} autonomousDenialParameters-rl1 SEQUENCE {
                                                                   OPTIONAL, -- Need OR
           autonomousDenialSubframes-r11
                                                ENUMERATED {n2, n5, n10, n15,
                                                   n20, n30, spare2, spare1},
           autonomousDenialValidity-r11
                                               ENUMERATED {
                                                    sf200, sf500, sf1000, sf2000,
spare4, spare3, spare2, spare1}
          OPTIONAL, -- Need OR
   [[ idc-Indication-UL-CA-r11
                                       ENUMERATED {setup}
                                                             OPTIONAL -- Cond idc-Ind
   [[ idc-Indication-MRDC-r15 CHOICI release NULL,
                                CHOICE {
                                 CandidateServingFreqListNR-r15
          setup
                  OPTIONAL -- Cond idc-Ind
   ]]
}
ObtainLocationConfig-r11 ::= SEQUENCE {
   obtainLocation-r11 ENUMERATED {setup}
                                                    OPTIONAL -- Need OR
PowerPrefIndicationConfig-r11 ::= CHOICE{
  release
                        NULL,
                          SEQUENCE {
                                       ENUMERATED {s0, s0dot5, s1, s2, s5, s10, s20,
      powerPrefIndicationTimer-r11
                                        s30, s60, s90, s120, s300, s600, spare3,
                                         spare2, spare1}
ReportProximityConfig-r9 ::= SEQUENCE {
  proximityIndicationEUTRA-r9 ENUMERATED {enabled} OPTIONAL, -- Need OR proximityIndicationUTRA-r9 ENUMERATED {enabled} OPTIONAL -- Need OR
                                                                          -- Need OR
CandidateServingFreqListNR-r15 ::= SEQUENCE (SIZE (1..maxFreqIDC-r11)) OF ARFCN-ValueNR-r15
-- ASN1STOP
```

#### OtherConfig field descriptions

### ailc-BitConfig

Indicates whether the UE is allowed to provide assistance information bit for local cache. If configured, the UE shall only apply to a DRB configured with 12-bit PDCP SN format as specified in TS 36.323 [8].

#### autonomous Denial Subframes

Indicates the maximum number of the UL subframes for which the UE is allowed to deny any UL transmission. Value n2 corresponds to 2 subframes, n5 to 5 subframes and so on. E-UTRAN does not configure autonomous denial for frequencies on which SCG cells are configured.

#### autonomous Denial Validity

Indicates the validity period over which the UL autonomous denial subframes shall be counted. Value sf200 corresponds to 200 subframes, sf500 corresponds to 500 subframes and so on.

## bw-PreferenceIndicationTimer

Prohibit timer for bandwidth preference indication reporting. Value in seconds. Value s0 means prohibit timer is set to 0 second, value s0dot5 means prohibit timer is set to 0.5 second, value s1 means prohibit timer is set to 1 second and so on.

#### **CandidateServingFregListNR**

Indicates for each candidate NR serving cells, the center frequency around which UE is requested to report IDC issues for MR-DC.

## delayBudgetReportingProhibitTimer

Prohibit timer for delay budget reporting. Value in seconds. Value s0 means prohibit timer is set to 0 second, value s0dot4 means prohibit timer is set to 0.4 second, and so on.

#### idc-HardwareSharingIndication

The field is used to indicate whether the UE is allowed indicate in *InDeviceCoexIndication* that the cause of the problems are due to hardware sharing, and whether the UE is allowed to omit the TDM assistance information.

#### idc-Indication

The field is used to indicate whether the UE is configured to initiate transmission of the *InDeviceCoexIndication* message to the network.

#### idc-Indication-MRDC

The field is used to indicate whether the UE is configured to provide IDC indications for MR-DC using the InDeviceCoexIndication message.

#### idc-Indication-UL-CA

The field is used to indicate whether the UE is configured to provide IDC indications for UL CA using the InDeviceCoexIndication message.

# measConfigAppLayerContainer

The field contains configuration of application layer measurements, see Annex L (normative) in TS 26.247 [90] and clause 16.5 in TS 26.114 [99].

# serviceType

Indicates the type of application layer measurement. Value qoe indicates Quality of Experience Measurement Collection for streaming services, value qoemtsi indicates Enhanced Quality of Experience Measurement Collection for MTSI.

## obtainLocation

Requests the UE to attempt to have detailed location information available using GNSS. E-UTRAN configures the field only if *includeLocationInfo* is configured for one or more measurements.

# overheatingAssistanceConfig

Configuration for the UE to report assistance information to inform the eNB about UE detected internal overheating.

#### overheatingIndicationProhibitTimer

Prohibit timer for overheating assistance information reporting. Value in seconds. Value s0 means prohibit timer is set to 0 seconds, value s0dot5 means prohibit timer is set to 0.5 second, value s1 means prohibit timer is set to 1 second and so on.

## powerPrefIndicationTimer

Prohibit timer for Power Preference Indication reporting. Value in seconds. Value s0 means prohibit timer is set to 0 second, value s0dot5 means prohibit timer is set to 0.5 second, value s1 means prohibit timer is set to 1 second and so on.

# reportProximityConfig

Indicates, for each of the applicable RATs (EUTRA, UTRA), whether or not proximity indication is enabled for CSG member cell(s) of the concerned RAT. Note.

#### rlmReportTimer

Prohibit timer for RLM event reporting, i.e. "early-out-of-sync" and "early-in-sync" event reporting, as specified in clause 5.6.10. Value in seconds. Value s0 means prohibit timer is set to 0 second, value s0dot5 means prohibit timer is set to 0.5 second, value s1 means prohibit timer is set to 1 second and so on.

## rlmReportRep-MPDCCH

The field is used to indicate whether the UE is configured to report excess repetitions on MPDCCH.

## sps-AssistanceInfoReport

Value TRUE indicates that the UE is allowed to report SPS-AssistanceInformation.

NOTE: Enabling/ disabling of proximity indication includes enabling/ disabling of the related functionality e.g. autonomous search in connected mode.

Conditional presence	Explanation
idc-Ind	The field is optionally present if idc-Indication is present, need OR. Otherwise the field is
	not present.

# – RAN-AreaCode

The RAN-AreaCode IE indicates RAN area code of the cell.

## RAN-AreaCode information element

```
-- ASN1START

RAN-AreaCode-r15 ::= INTEGER (0..255)

-- ASN1STOP
```

# – RAND-CDMA2000 (1xRTT)

The RAND-CDMA2000 concerns a random value, generated by the eNB, to be passed to the CDMA2000 upper layers.

## RAND-CDMA2000 information element

```
-- ASN1START

RAND-CDMA2000 ::= BIT STRING (SIZE (32))

-- ASN1STOP
```

# 

The IE *RAT-Type* is used to indicate the radio access technology (RAT), including E-UTRA, of the requested/ transferred UE capabilities. A separate value applies for some EUTRA-NR capabilities that are transferred by a separate UE capability container, used in case of MR-DC.

# **RAT-Type** information element

# - Resumeldentity

The IE ResumeIdentity is used to identify the suspended UE context

# Resumeldentity information element

```
-- ASN1START

ResumeIdentity-r13 ::= BIT STRING (SIZE(40))

-- ASN1STOP
```

# RRC-TransactionIdentifier

The IE *RRC-TransactionIdentifier* is used, together with the message type, for the identification of an RRC procedure (transaction).

## RRC-TransactionIdentifier information element

```
-- ASN1START

RRC-TransactionIdentifier ::= INTEGER (0..3)

-- ASN1STOP
```

## – SBAS-ID

The IE SBAS-ID is used to indicate a specific SBAS (see also TS 36.355 [54]).

# – ShortI-RNTI

The *ShortI-RNTI* IE is used to identify the suspended UE context of a UE in RRC\_INACTIVE using fewer bits compared to *I-RNTI*.

# Shortl-RNTI information element

```
-- ASN1START

ShortI-RNTI-r15 ::= BIT STRING (SIZE(24))

-- ASN1STOP
```

# – S-NSSAI

The IE *S-NSSAI* identifies a Network Slice end to end and comprises a slice/service type and a slice differentiator, see TS 23.003 [27].

## S-NSSAI information element

```
S-NSSAI field descriptions

sst
Indicates the S-NSSAI consists of Slice/Service Type, see TS 23.003 [27].

sst-SD
Indicates the S-NSSAI consists of Slice/Service Type and Slice Differentiator, see TS 23.003 [27].
```

# – S-TMSI

The IE *S-TMSI* contains an S-Temporary Mobile Subscriber Identity, a temporary UE identity provided by the EPC which uniquely identifies the UE within the tracking area, see TS 23.003 [27].

## S-TMSI information element

# S-TMSI field descriptions

## m-TMSI

The first/leftmost bit of the bit string contains the most significant bit of the M-TMSI.

# TraceReference

The TraceReference contains parameter Trace Reference as defined in TS 32.422 [58].

## TraceReference information element

```
-- ASN1START

TraceReference-r10 ::= SEQUENCE {
   plmn-Identity-r10 PLMN-Identity,
   traceId-r10 OCTET STRING (SIZE (3))
}
-- ASN1STOP
```

# UE-CapabilityRAT-ContainerList

The IE *UE-CapabilityRAT-ContainerList* contains list of containers, one for each RAT for which UE capabilities are transferred, if any.

# UE-CapabilityRAT-ContainerList information element

```
-- ASN1START

UE-CapabilityRAT-ContainerList ::=SEQUENCE (SIZE (0..maxRAT-Capabilities)) OF UE-CapabilityRAT-Container

UE-CapabilityRAT-Container ::= SEQUENCE {
    rat-Type RAT-Type,
    ueCapabilityRAT-Container OCTET STRING
}

-- ASN1STOP
```

## UECapabilityRAT-ContainerList field descriptions

#### ueCapabilityRAT-Container

Container for the UE capabilities of the indicated RAT. The encoding is defined in the specification of each RAT: For E-UTRA: the encoding of UE capabilities is defined in IE *UE-EUTRA-Capability*.

For UTRA: the octet string contains the INTER RAT HANDOVER INFO message defined in TS 25.331 [19]. For GERAN CS: the octet string contains the concatenated string of the Mobile Station Classmark 2 and Mobile Station Classmark 3. The first 5 octets correspond to Mobile Station Classmark 2 and the following octets correspond to Mobile Station Classmark 3. The Mobile Station Classmark 2 is formatted as 'TLV' and is coded in the same way as the *Mobile Station Classmark 2* information element in TS 24.008 [49]. The first octet is the *Mobile station classmark 2* IEI and its value shall be set to 33H. The second octet is the *Length of mobile Station Classmark 2* and its value shall be set to 3. The octet 3 contains the first octet of the value part of the *Mobile Station Classmark 2* information element, the octet 4 contains the second octet of the value part of the *Mobile Station Classmark 2* information element and so on. For each of these octets, the first/ leftmost/ most significant bit of the octet contains b8 of the corresponding octet of the Mobile Station Classmark 2. The Mobile Station Classmark 3 is formatted as 'V' and is coded in the same way as the value part in the *Mobile station classmark 3* information element in TS 24.008 [49]. The sixth octet of this octet string contains octet 1 of the value part of *Mobile station classmark 3* and so on. Note.

For GERAN PS: the encoding of UE capabilities is formatted as 'V' and is coded in the same way as the value part in the MS Radio Access Capability information element in TS 24.008 [49].

For CDMA2000-1XRTT: the octet string contains the A21 Mobile Subscription Information and the encoding of this is defined in A.S0008 [33]. The A21 Mobile Subscription Information contains the supported CDMA2000 1xRTT band class and band sub-class information.

For NR: The octet string contains the IE UE-NR-Capability as defined in TS 38.331 [82].

For EUTRA-NR: The octet string contains the IE UE-MRDC-Capability as defined in TS 38.331 [82]

NOTE: The value part is specified by means of CSN.1, which encoding results in a bit string, to which final padding may be appended up to the next octet boundary TS 24.008 [49]. The first/ leftmost bit of the CSN.1 bit string is placed in the first/ leftmost/ most significant bit of the first octet. This continues until the last bit of the CSN.1 bit string, which is placed in the last/ rightmost/ least significant bit of the last octet.

# UE-EUTRA-Capability

The IE *UE-EUTRA-Capability* is used to convey the E-UTRA UE Radio Access Capability Parameters, see TS 36.306 [5], and the Feature Group Indicators for mandatory features (defined in Annexes B.1 and C.1) to the network. The IE *UE-EUTRA-Capability* is transferred in E-UTRA or in another RAT.

NOTE 0: For (UE capability specific) guidelines on the use of keyword OPTIONAL, see Annex A.3.5.

# **UE-EUTRA-Capability** information element

```
-- ASN1START
UE-EUTRA-Capability ::=
                                SEQUENCE {
    accessStratumRelease
                                    AccessStratumRelease,
    ue-Category
                                    INTEGER (1..5),
    pdcp-Parameters
                                    PDCP-Parameters,
    phyLayerParameters
                                    PhyLayerParameters,
    rf-Parameters
                                   RF-Parameters,
                                    MeasParameters,
    measParameters
    featureGroupIndicators
                                    BIT STRING (SIZE (32))
                                                                            OPTIONAL.
    interRAT-Parameters
                                    SEQUENCE
                                        IRAT-ParametersUTRA-FDD
                                                                            OPTIONAL,
        utraFDD
        utraTDD128
                                        IRAT-ParametersUTRA-TDD128
                                                                            OPTIONAL,
        utraTDD384
                                        IRAT-ParametersUTRA-TDD384
                                                                            OPTIONAL.
                                                                            OPTIONAL,
        utraTDD768
                                        IRAT-ParametersUTRA-TDD768
                                        IRAT-ParametersGERAN
                                                                            OPTIONAL,
        cdma2000-HRPD
                                        IRAT-ParametersCDMA2000-HRPD
                                                                            OPTIONAL,
        cdma2000-1xRTT
                                        IRAT-ParametersCDMA2000-1XRTT
                                                                            OPTIONAL
    nonCriticalExtension
                                    UE-EUTRA-Capability-v920-IEs
                                                                            OPTIONAL
-- Late non critical extensions
UE-EUTRA-Capability-v9a0-IEs ::=
                                    SEQUENCE {
                                       BIT STRING (SIZE (32))
    featureGroupIndRel9Add-r9
                                                                            OPTIONAL,
    fdd-Add-UE-EUTRA-Capabilities-r9
                                       UE-EUTRA-CapabilityAddXDD-Mode-r9
                                                                            OPTIONAL,
    tdd-Add-UE-EUTRA-Capabilities-r9
                                        UE-EUTRA-CapabilityAddXDD-Mode-r9
                                                                            OPTIONAL.
    nonCriticalExtension
                                       UE-EUTRA-Capability-v9c0-IEs
                                                                            OPTIONAL
```

```
}
UE-EUTRA-Capability-v9d0-IES OPTIONAL
    nonCriticalExtension
UE-EUTRA-Capability-v9e0-IEs ::= SEQUENCE {
    rf-Parameters-v9e0 RF-Parameters-v9e0 nonCriticalExtension UE-EUTRA-Capability-
                                                                                 OPTIONAL,
                                        UE-EUTRA-Capability-v9h0-IEs
}
OPTIONAL,
   -- Following field is only to be used for late REL-9 extensions lateNonCriticalExtension OCTET STRING nonCriticalExtension UE-EUTPR-Capability-v10c0-IFs
                                                                                OPTIONAL,
                                       UE-EUTRA-Capability-v10c0-IEs
   nonCriticalExtension
                                                                                OPTIONAL
UE-EUTRA-Capability-v10c0-IEs ::= SEQUENCE {
   otdoa-PositioningCapabilities-r10 OTDOA-PositioningCapabilities-r10 OPTIONAL, nonCriticalExtension UE-EUTRA-Capability-v10f0-IEs OPTIONAL
UE-EUTRA-Capability-v10f0-IEs ::= SEQUENCE {
   rf-Parameters-v10f0 RF-Parameters-v10f0
nonCriticalExtension UE-EUTRA-Capability-v10i0-IEs
                                                                                 OPTIONAL.
UE-EUTRA-Capability-v10i0-IEs ::= SEQUENCE {
    rf-Parameters-v10i0 RF-Parameters-v10i0
   rf-Parameters-v10i0
                                       RF-Parameters-v10i0
     - Following field is only to be used for late REL-10 extensions
    lateNonCriticalExtension OCTET STRING (CONTAINING UE-EUTRA-Capability-v10j0-IEs)
    OPTIONAL,
                                       UE-EUTRA-Capability-v11d0-IEs
   nonCriticalExtension
                                                                                OPTIONAL
}
UE-EUTRA-Capability-v10j0-IEs ::= SEQUENCE {
   rf-Parameters-v10j0 RF-Parameters-v10j0 nonCriticalExtension SEQUENCE {}
                                                                                 OPTIONAL.
                                                                                 OPTIONAL
}
UE-EUTRA-Capability-v11d0-IEs ::= SEQUENCE {
   rf-Parameters-v11d0 RF-Parameters-v11d0
otherParameters-v11d0 Other-Parameters-v11d0
nonCriticalExtension UE-EUTRA-Capability-v11x0-IEs
                                                                              OPTIONAL,
                                        UE-EUTRA-Capability-v11x0-IEs
   nonCriticalExtension
                                                                                OPTIONAL
}
UE-EUTRA-Capability-v11x0-IEs ::= SEQUENCE {
-- Following field is only to be used for late REL-11 extensions
    lateNonCriticalExtension OCTET STRING
                                                                                     OPTIONAL.
    nonCriticalExtension
                                        UE-EUTRA-Capability-v12b0-IEs
                                                                                     OPTIONAL
}
UE-EUTRA-Capability-v12b0-IEs ::= SEQUENCE {
                          RF-Parameters-v12b0
   rf-Parameters-v12b0
                                                                                 OPTIONAL,
                                        UE-EUTRA-Capability-v12x0-IEs
    nonCriticalExtension
                                                                                 OPTIONAL
}
UE-EUTRA-Capability-v12x0-IEs ::= SEQUENCE {
    -- Following field is only to be used for late REL-12 extensions
    lateNonCriticalExtension OCTET STRING
   nonCriticalExtension
                                        UE-EUTRA-Capability-v1370-IEs
                                                                                 OPTIONAL
UE-EUTRA-Capability-v1370-IEs ::= SEQUENCE {
    ce-Parameters-v1370
                                       CE-Parameters-v1370
                                                                                 OPTIONAL,
    fdd-Add-UE-EUTRA-Capabilities-v1370 UE-EUTRA-CapabilityAddXDD-Mode-v1370
                                                                                 OPTIONAL,
    tdd-Add-UE-EUTRA-Capabilities-v1370 UE-EUTRA-CapabilityAddXDD-Mode-v1370
                                                                                 OPTIONAL,
    nonCriticalExtension
                                        UE-EUTRA-Capability-v1380-IEs
                                                                                 OPTIONAL
UE-EUTRA-Capability-v1380-IEs ::= SEQUENCE {
```

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rf-Parameters-v1380
                                       RF-Parameters-v1380
                                                                                OPTIONAL,
    ce-Parameters-v1380
                                       CE-Parameters-v1380,
   fdd-Add-UE-EUTRA-Capabilities-v1380 UE-EUTRA-CapabilityAddXDD-Mode-v1380,
    tdd-Add-UE-EUTRA-Capabilities-v1380 UE-EUTRA-CapabilityAddXDD-Mode-v1380,
    nonCriticalExtension
                                       UE-EUTRA-Capability-v1390-IEs
                                                                                OPTTONAL.
}
UE-EUTRA-Capability-v1390-IEs ::= SEQUENCE {
   rf-Parameters-v1390
                                       RF-Parameters-v1390
                                                                                OPTIONAL,
    nonCriticalExtension
                                       UE-EUTRA-Capability-v13e0a-IEs
                                                                                OPTIONAL
                       nsion OCTET STRING (CONTAINING UE-EUTRA-Capability-v13e0b-IEs)
UE-EUTRA-Capability-v13e0a-IEs ::= SEQUENCE {
   lateNonCriticalExtension
    nonCriticalExtension
                                      UE-EUTRA-Capability-v1470-IEs
                                                                              OPTIONAL
}
UE-EUTRA-Capability-v13e0b-IEs ::= SEQUENCE {
   phyLayerParameters-v13e0 PhyLayerParameters-v13e0,
    -- Following field is only to be used for late REL-13 extensions
    nonCriticalExtension
                                      SEQUENCE {}
                                                                                OPTIONAL
UE-EUTRA-Capability-v1470-IEs ::= SEQUENCE {
   mbms-Parameters-v1470 MBMS-Parameters-v1470 phyLayerParameters-v1470 PhyLayerParameters-v14
                                                                               OPTIONAL,
                                       PhyLayerParameters-v1470
                                                                               OPTIONAL,
    phyLayerParameters-v1470
rf-Parameters-v1470
                                       RF-Parameters-v1470
                                                                               OPTIONAL,
   nonCriticalExtension
                                       UE-EUTRA-Capability-v14a0-IEs
                                                                               OPTIONAL
}
UE-EUTRA-Capability-v14a0-IEs ::= SEQUENCE {
   phyLayerParameters-v14a0
                                            PhyLayerParameters-v14a0,
    -- Following field is only to be used for late REL-14 extensions
    nonCriticalExtension
                                           UE-EUTRA-Capability-v14b0-IEs
                                                                                  OPTIONAL
}
UE-EUTRA-Capability-v14b0-IEs ::= SEQUENCE {
   nonCriticalExtension
                                  RF-Parameters-v14b0 OPTIONAL,
   rf-Parameters-v14b0
                                       SEQUENCE {}
                                                                   OPTIONAL
}
-- Regular non critical extensions
UE-EUTRA-Capability-v920-IEs ::=
                                       SEQUENCE {
                                       PhyLayerParameters-v920,
   phyLayerParameters-v920
   interRAT-ParametersGERAN-v920
interRAT-ParametersUTRA-v920
                                           IRAT-ParametersGERAN-v920,
                                           IRAT-ParametersUTRA-v920
                                                                               OPTIONAL,
                                          IRAT-ParametersCDMA2000-1XRTT-v920 OPTIONAL,
    interRAT-ParametersCDMA2000-v920
    deviceType-r9
                                           ENUMERATED {noBenFromBatConsumpOpt} OPTIONAL,
   csg-ProximityIndicationParameters-r9 CSG-ProximityIndicationParameters-r9,
   neighCellSI-AcquisitionParameters-r9
                                           NeighCellSI-AcquisitionParameters-r9,
    son-Parameters-r9
                                           SON-Parameters-r9,
    nonCriticalExtension
                                           UE-EUTRA-Capability-v940-IEs
                                                                              OPTIONAL
UE-EUTRA-Capability-v940-IEs ::= SEQUENCE {
   lateNonCriticalExtension
                                  OCTET STRING (CONTAINING UE-EUTRA-Capability-v9a0-IEs)
    OPTIONAL,
                                       UE-EUTRA-Capability-v1020-IEs
   nonCriticalExtension
                                                                               OPTIONAL
}
UE-EUTRA-Capability-v1020-IEs ::= SEQUENCE {
   ue-Category-v1020 INTEGER (6..8) phyLayerParameters-v1020 PhyLayerParamet
   ue-Category-v1020
                                                                               OPTIONAL,
                                       PhyLayerParameters-v1020
                                                                                OPTIONAL.
   rı-rarameters-v1020
measParameters-v1020
featureGroupIndRel10-r10
                                       RF-Parameters-v1020
                                                                               OPTIONAL.
                                      MeasParameters-v1020
                                                                               OPTIONAL,
                                       BIT STRING (SIZE (32))
                                                                               OPTIONAL,
    interRAT-ParametersCDMA2000-v1020 IRAT-ParametersCDMA2000-1XRTT-v1020
                                                                               OPTIONAL,
    ue-BasedNetwPerfMeasParameters-r10 UE-BasedNetwPerfMeasParameters-r10
                                                                               OPTIONAL,
    interRAT-ParametersUTRA-TDD-v1020 IRAT-ParametersUTRA-TDD-v1020
                                                                               OPTIONAL,
   nonCriticalExtension
                                      UE-EUTRA-Capability-v1060-IEs
}
UE-EUTRA-Capability-v1060-IEs ::= SEQUENCE {
    fdd-Add-UE-EUTRA-Capabilities-v1060 UE-EUTRA-CapabilityAddXDD-Mode-v1060
                                                                               OPTIONAL,
                                                                               OPTIONAL,
    tdd-Add-UE-EUTRA-Capabilities-v1060 UE-EUTRA-CapabilityAddXDD-Mode-v1060
    rf-Parameters-v1060
                                       RF-Parameters-v1060
                                                                               OPTIONAL,
                                       UE-EUTRA-Capability-v1090-IEs
   nonCriticalExtension
                                                                               OPTIONAL
```

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}
UE-EUTRA-Capability-v1090-IEs ::= SEQUENCE {
   rf-Parameters-v1090
                                      RF-Parameters-v1090
                                                                               OPTIONAL,
    nonCriticalExtension
                                       UE-EUTRA-Capability-v1130-IEs
                                                                               OPTIONAL
}
UE-EUTRA-Capability-v1130-IEs ::= SEQUENCE {
   pdcp-Parameters-v1130 PDCP-Parameters-v1130, phyLayerParameters-v1130 PhyLayerParameters-v11 RF-Parameters-v1130, measParameters-v1130 MeasParameters-v1130,
                                       PhyLayerParameters-v1130
                                                                              OPTIONAL,
   \begin{array}{ll} \mbox{measParameters-v1130} & \mbox{MeasParameters-v1130,} \\ \mbox{interRAT-ParametersCDMA2000-v1130} & \mbox{IRAT-ParametersCDMA2000-v1130,} \end{array}
                                       Other-Parameters-r11,
    otherParameters-r11
    fdd-Add-UE-EUTRA-Capabilities-v1130 UE-EUTRA-CapabilityAddXDD-Mode-v1130
                                                                               OPTIONAL,
    tdd-Add-UE-EUTRA-Capabilities-v1130 UE-EUTRA-CapabilityAddXDD-Mode-v1130
                                                                               OPTIONAL,
    nonCriticalExtension
                                       UE-EUTRA-Capability-v1170-IEs
                                                                              OPTIONAL
OPTIONAL,
                                                                               OPTIONAL,
    ue-Category-v1170
                                       INTEGER (9..10)
    nonCriticalExtension
                                       UE-EUTRA-Capability-v1180-IEs
                                                                               OPTIONAL
}
UE-EUTRA-Capability-v1180-IEs ::= SEQUENCE {
   rf-Parameters-v1180
                                     RF-Parameters-v1180
                                                                               OPTIONAL,
    mbms-Parameters-r11
                                       MBMS-Parameters-r11
                                                                               OPTIONAL,
   fdd-Add-UE-EUTRA-Capabilities-v1180 UE-EUTRA-CapabilityAddXDD-Mode-v1180
                                                                              OPTIONAL,
                                                                               OPTIONAL,
    tdd-Add-UE-EUTRA-Capabilities-v1180 UE-EUTRA-CapabilityAddXDD-Mode-v1180
    nonCriticalExtension
                                       UE-EUTRA-Capability-v11a0-IEs
                                                                               OPTIONAL
UE-EUTRA-Capability-v11a0-IEs ::= SEQUENCE {
                         INTEGER (11..12)
MeasParameters-vi
   ue-Category-v11a0
                                                                               OPTIONAL,
    measParameters-v11a0
                                       MeasParameters-v11a0
                                                                               OPTIONAL,
   nonCriticalExtension
                                       UE-EUTRA-Capability-v1250-IEs
                                                                              OPTIONAL
}
UE-EUTRA-Capability-v1250-IEs ::= SEQUENCE {
                                  PhyLayerParameters-v1250
   phyLayerParameters-v1250
                                                                                   OPTIONAL.
    rf-Parameters-v1250
                                           RF-Parameters-v1250
                                                                                   OPTIONAL.
                                                                                   OPTIONAL,
    rlc-Parameters-r12
                                           RLC-Parameters-r12
    ue-BasedNetwPerfMeasParameters-v1250
                                           UE-BasedNetwPerfMeasParameters-v1250
                                                                                   OPTIONAL,
    ue-CategoryDL-r12
                                           INTEGER (0..14)
                                                                                   OPTIONAL,
    ue-CategoryUL-r12
                                           INTEGER (0..13)
                                                                                   OPTIONAL,
    wlan-TW-Parameters-r12
                                           WLAN-IW-Parameters-r12
                                                                                   OPTIONAL,
    measParameters-v1250
                                           MeasParameters-v1250
                                                                                   OPTIONAL,
    dc-Parameters-r12
                                           DC-Parameters-r12
                                                                                   OPTIONAL,
   mbms-Parameters-v1250
                                           MBMS-Parameters-v1250
                                                                                   OPTIONAL,
   mac-Parameters-r12
                                           MAC-Parameters-r12
                                                                                   OPTIONAL,
    fdd-Add-UE-EUTRA-Capabilities-v1250
                                           UE-EUTRA-CapabilityAddXDD-Mode-v1250
                                                                                   OPTIONAL,
    tdd-Add-UE-EUTRA-Capabilities-v1250 UE-EUTRA-CapabilityAddXDD-Mode-v1250
                                                                                   OPTIONAL,
    sl-Parameters-r12
                                           SL-Parameters-r12
                                                                                   OPTIONAL.
                                           UE-EUTRA-Capability-v1260-IEs
   nonCriticalExtension
                                                                                   OPTIONAL
}
UE-EUTRA-Capability-v1260-IEs ::= SEQUENCE {
    ue-CategoryDL-v1260
                                       INTEGER (15..16)
                                                                               OPTIONAL,
                                       UE-EUTRA-Capability-v1270-IEs
   nonCriticalExtension
                                                                               OPTIONAL
UE-EUTRA-Capability-v1270-IEs ::= SEQUENCE {
   rf-Parameters-v1270 RF-Parameters-v1270
                                                                               OPTIONAL.
                                       UE-EUTRA-Capability-v1280-IEs
    nonCriticalExtension
                                                                               OPTIONAL
UE-EUTRA-Capability-v1280-IEs ::= SEQUENCE {
   phyLayerParameters-v1280 PhyLayerParameters-v1280
                                                                               OPTIONAL.
                                       UE-EUTRA-Capability-v1310-IEs
    nonCriticalExtension
                                                                               OPTIONAL
UE-EUTRA-Capability-v1310-IEs ::= SEQUENCE {
   ue-CategoryDL-v1310 ENUMERATED {n17, m1}
                                                                               OPTIONAL,
    ue-CategoryUL-v1310
                                       ENUMERATED {n14, m1}
                                                                               OPTIONAL,
   pdcp-Parameters-v1310
                                      PDCP-Parameters-v1310,
    rlc-Parameters-v1310
                                       RLC-Parameters-v1310.
                                  MAC-Parameters-v1310
                                                                           OPTIONAL,
   mac-Parameters-v1310
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phyLayerParameters-v1310
                                       PhyLayerParameters-v1310
                                                                                OPTIONAL,
    rf-Parameters-v1310
                                        RF-Parameters-v1310
                                                                                OPTIONAL,
   measParameters-v1310
                                       MeasParameters-v1310
                                                                                OPTIONAL,
    dc-Parameters-v1310
                                                                                OPTIONAL,
                                       DC-Parameters-v1310
    sl-Parameters-v1310
                                        SL-Parameters-v1310
                                                                                OPTIONAL,
                                                                                OPTIONAL,
    scptm-Parameters-r13
                                       SCPTM-Parameters-r13
    ce-Parameters-r13
                                        CE-Parameters-r13
                                                                                OPTIONAL.
    interRAT-ParametersWLAN-r13
                                       IRAT-ParametersWLAN-r13,
    laa-Parameters-r13
                                       LAA-Parameters-r13
                                                                                OPTIONAL,
    lwa-Parameters-r13
                                        LWA-Parameters-r13
                                                                                OPTIONAL,
    wlan-IW-Parameters-v1310
                                       WLAN-IW-Parameters-v1310,
    lwip-Parameters-r13
                                       LWIP-Parameters-r13,
    fdd-Add-UE-EUTRA-Capabilities-v1310 UE-EUTRA-CapabilityAddXDD-Mode-v1310 OPTIONAL,
    tdd-Add-UE-EUTRA-Capabilities-v1310 UE-EUTRA-CapabilityAddXDD-Mode-v1310 OPTIONAL,
    nonCriticalExtension
                                       UE-EUTRA-Capability-v1320-IEs
                                                                                OPTIONAL
}
UE-EUTRA-Capability-v1320-IEs ::= SEQUENCE {
   phyLayerParameters-v1320 PhyLayerParameters-v1320 PhyLayerParameters-v1320
                                                                                OPTIONAL.
                                                                                OPTIONAL,
                                        PhyLayerParameters-v1320
                                                                                OPTIONAL,
    rf-Parameters-v1320
                                        RF-Parameters-v1320
    fdd-Add-UE-EUTRA-Capabilities-v1320 UE-EUTRA-CapabilityAddXDD-Mode-v1320
                                                                               OPTIONAL,
    tdd-Add-UE-EUTRA-Capabilities-v1320 UE-EUTRA-CapabilityAddXDD-Mode-v1320
                                                                                OPTIONAL,
   nonCriticalExtension
                                       UE-EUTRA-Capability-v1330-IEs
                                                                                OPTIONAL
}
UE-EUTRA-Capability-v1330-IEs ::= SEQUENCE {
   ue-CategoryDL-v1330 INTEGER (18..19)
phyLayerParameters-v1330 PhyLayerParameters-v1330
   ue-CategoryDL-v1330
                                                                                OPTIONAL,
                                                                                OPTIONAL,
    ue-CE-NeedULGaps-r13
                                                                                OPTIONAL,
                                       ENUMERATED {true}
    nonCriticalExtension
                                        UE-EUTRA-Capability-v1340-IEs
                                                                                OPTIONAL
UE-EUTRA-Capability-v1340-IEs ::= SEQUENCE {
    ue-CategoryUL-v1340
                                        INTEGER (15)
                                                                                OPTIONAL,
    nonCriticalExtension
                                        UE-EUTRA-Capability-v1350-IEs
                                                                                OPTIONAL
}
UE-EUTRA-Capability-v1350-IEs ::= SEQUENCE {
   ue-CategoryUL-v1350 ENUMERATED {oneBis}
                                                                                OPTIONAL,
    ue-CategoryUL-v1350
                                        ENUMERATED {oneBis}
                                                                                OPTIONAL,
    ce-Parameters-v1350
                                        CE-Parameters-v1350.
    nonCriticalExtension
                                       UE-EUTRA-Capability-v1360-IEs
                                                                                OPTIONAL
UE-EUTRA-Capability-v1360-IEs ::= SEQUENCE {
   other-Parameters-v1360 Other-Parameters-v1360
                                                                                OPTIONAL.
                                       UE-EUTRA-Capability-v1430-IEs
    nonCriticalExtension
                                                                                OPTIONAL
UE-EUTRA-Capability-v1430-IEs ::= SEQUENCE {
   \begin{array}{lll} phyLayer Parameters-v1430 & PhyLayer Parameters-v1430\,,\\ ue-Category DL-v1430 & ENUMERATED \left\{m2\right\} \end{array}
                                                                                    OPTIONAL,
    ue-CategoryUL-v1430
                                       ENUMERATED {n16, n17, n18, n19, n20, m2}
                                                                                    OPTIONAL.
                                      ENUMERATED {n21}
   ue-CategoryUL-v1430b
                                                                                    OPTIONAL,
                                      MAC-Parameters-v1430
    mac-Parameters-v1430
                                                                                    OPTIONAL,
    measParameters-v1430
                                       MeasParameters-v1430
                                                                                     OPTIONAL,
                                     PDCP-Parameters-v1430
RLC-Parameters-v1430,
RF-Parameters-v1430
   pdcp-Parameters-v1430
                                                                                    OPTIONAL,
    rlc-Parameters-v1430
   rf-Parameters-v1430
                                                                                    OPTIONAL.
                                      LAA-Parameters-v1430
    laa-Parameters-v1430
                                                                                    OPTIONAL,
    lwa-Parameters-v1430
                                                                                     OPTIONAL,
                                       LWA-Parameters-v1430
    lwip-Parameters-v1430
                                      LWIP-Parameters-v1430
                                                                                    OPTIONAL,
    otherParameters-v1430
                                       Other-Parameters-v1430,
    mmtel-Parameters-r14
                                       MMTEL-Parameters-r14
                                                                                    OPTIONAL.
    mobilityParameters-r14
                                       MobilityParameters-r14
                                                                                    OPTIONAL,
    ce-Parameters-v1430
                                        CE-Parameters-v1430,
    fdd-Add-UE-EUTRA-Capabilities-v1430 UE-EUTRA-CapabilityAddXDD-Mode-v1430
                                                                                    OPTIONAL,
    tdd-Add-UE-EUTRA-Capabilities-v1430 UE-EUTRA-CapabilityAddXDD-Mode-v1430
                                                                                    OPTIONAL,
    mbms-Parameters-v1430
                                       MBMS-Parameters-v1430
                                                                                    OPTIONAL,
    sl-Parameters-v1430
                                       SL-Parameters-v1430
                                                                                    OPTIONAL,
    ue-BasedNetwPerfMeasParameters-v1430
                                           UE-BasedNetwPerfMeasParameters-v1430
                                                                                    OPTIONAL,
   highSpeedEnhParameters-r14 HighSpeedEnhParameters-r14
                                                                                    OPTIONAL,
    nonCriticalExtension
                                       UE-EUTRA-Capability-v1440-IEs
                                                                                    OPTIONAL
UE-EUTRA-Capability-v1440-IEs ::= SEQUENCE {
   lwa-Parameters-v1440
                                      LWA-Parameters-v1440,
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mac-Parameters-v1440
                                        MAC-Parameters-v1440,
    nonCriticalExtension
                                        UE-EUTRA-Capability-v1450-IEs
                                                                                 OPTIONAL
}
UE-EUTRA-Capability-v1450-IEs ::= SEQUENCE {
   phyLayerParameters-v1450 PhyLayerParameters-v1450
                                                                        OPTIONAL.
                                       RF-Parameters-v1450
OtherParameters-v1450,
    rf-Parameters-v1450
                                                                    OPTIONAL.
    otherParameters-v1450
    ue-CategoryDL-v1450
                                       INTEGER (20)
                                                                        OPTIONAL,
    nonCriticalExtension
                                            UE-EUTRA-Capability-v1460-IES OPTIONAL
}
UE-EUTRA-Capability-v1460-IEs ::= SEQUENCE {
    ue-CategoryDL-v1460
                                  INTEGER (21)
                                                                             OPTIONAL,
    otherParameters-v1460
                                        Other-Parameters-v1460,
    nonCriticalExtension
                                        UE-EUTRA-Capability-v1510-IEs
                                                                            OPTIONAL
UE-EUTRA-Capability-v1510-IEs ::= SEQUENCE {
   irat-ParametersNR-r15
                                            IRAT-ParametersNR-r15
                                                                                     OPTIONAL,
    featureSetsEUTRA-r15
                                            FeatureSetsEUTRA-r15
                                                                                     OPTIONAL,
                                                                                     OPTIONAL,
    pdcp-ParametersNR-r15
                                            PDCP-ParametersNR-r15
    fdd-Add-UE-EUTRA-Capabilities-v1510
                                            UE-EUTRA-CapabilityAddXDD-Mode-v1510
                                                                                     OPTIONAL,
    tdd-Add-UE-EUTRA-Capabilities-v1510
                                            UE-EUTRA-CapabilityAddXDD-Mode-v1510
                                                                                    OPTIONAL,
    nonCriticalExtension
                                            UE-EUTRA-Capability-v1520-IEs
                                                                                    OPTIONAL
}
UE-EUTRA-Capability-v1520-IEs ::= SEQUENCE {
   measParameters-v1520
                                            MeasParameters-v1520.
    nonCriticalExtension
                                            UE-EUTRA-Capability-v1530-IEs OPTIONAL
UE-EUTRA-Capability-v1530-IEs ::= SEQUENCE {
   measParameters-v1530
                                            MeasParameters-v1530
                                                                                     OPTIONAL.
                                                                                     OPTIONAL,
    otherParameters-v1530
                                            Other-Parameters-v1530
    neighCellSI-AcquisitionParameters-v1530 NeighCellSI-AcquisitionParameters-v1530 OPTIONAL,
   mac-Parameters-v1530
                                           MAC-Parameters-v1530
                                                                                    OPTIONAL,
    phyLayerParameters-v1530
                                            PhyLaverParameters-v1530
                                                                                     OPTIONAL,
    rf-Parameters-v1530
                                            RF-Parameters-v1530
                                                                                    OPTIONAL,
                                                                                     OPTIONAL,
   pdcp-Parameters-v1530
                                            PDCP-Parameters-v1530
    ue-CategoryDL-v1530
                                            INTEGER (22..26)
                                                                                     OPTIONAL,
    ue-BasedNetwPerfMeasParameters-v1530
                                            UE-BasedNetwPerfMeasParameters-v1530
                                                                                    OPTIONAL.
    rlc-Parameters-v1530
                                            RLC-Parameters-v1530
                                                                                     OPTIONAL,
    sl-Parameters-v1530
                                            SL-Parameters-v1530
                                                                                     OPTIONAL,
    extendedNumberOfDRBs-r15
                                            ENUMERATED {supported}
                                                                                     OPTIONAL,
    reducedCP-Latency-r15
                                            ENUMERATED {supported}
                                                                                    OPTIONAL,
    laa-Parameters-v1530
                                            LAA-Parameters-v1530
                                                                                    OPTIONAL,
    ue-CategoryUL-v1530
                                            INTEGER (22..26)
                                                                                    OPTIONAL,
    fdd-Add-UE-EUTRA-Capabilities-v1530
                                            UE-EUTRA-CapabilityAddXDD-Mode-v1530
                                                                                     OPTIONAL,
    tdd-Add-UE-EUTRA-Capabilities-v1530
                                            UE-EUTRA-CapabilityAddXDD-Mode-v1530
                                                                                    OPTIONAL,
                                            UE-EUTRA-Capability-v1540-IEs
                                                                                    OPTIONAL
   nonCriticalExtension
UE-EUTRA-Capability-v1540-IEs ::= SEQUENCE {
   phyLayerParameters-v1540
                                            PhyLayerParameters-v1540
                                                                                    OPTIONAL,
                                            Other-Parameters-v1540,
    otherParameters-v1540
                                            UE-EUTRA-CapabilityAddXDD-Mode-v1540
    fdd-Add-UE-EUTRA-Capabilities-v1540
                                                                                     OPTIONAL,
    tdd-Add-UE-EUTRA-Capabilities-v1540
                                            UE-EUTRA-CapabilityAddXDD-Mode-v1540
                                                                                     OPTIONAL,
    sl-Parameters-v1540
                                            SL-Parameters-v1540
                                                                                     OPTIONAL,
    irat-ParametersNR-v1540
                                            TRAT-ParametersNR-v1540
                                                                                     OPTIONAL.
                                            UE-EUTRA-Capability-v1550-IEs
   nonCriticalExtension
                                                                                    OPTIONAL
UE-EUTRA-Capability-v1550-IEs ::= SEQUENCE {
    neighCellSI-AcquisitionParameters-v1550 NeighCellSI-AcquisitionParameters-v1550 OPTIONAL,
    phyLayerParameters-v1550
                                            PhyLayerParameters-v1550,
    mac-Parameters-v1550
                                            MAC-Parameters-v1550,
    fdd-Add-UE-EUTRA-Capabilities-v1550
                                            UE-EUTRA-CapabilityAddXDD-Mode-v1550,
                                            UE-EUTRA-CapabilityAddXDD-Mode-v1550,
    tdd-Add-UE-EUTRA-Capabilities-v1550
    nonCriticalExtension
                                            UE-EUTRA-Capability-v1560-IES OPTIONAL
UE-EUTRA-Capability-v1560-IEs ::= SEQUENCE {
   pdcp-ParametersNR-v1560 PDCP-ParametersNR-v1560, irat-ParametersNR-v1560 IRAT-ParametersNR-v1560,
    appliedCapabilityFilterCommon-r15
                                          OCTET STRING
                                                                                     OPTIONAL,
    fdd-Add-UE-EUTRA-Capabilities-v1560 UE-EUTRA-CapabilityAddXDD-Mode-v1560,
    \verb|tdd-Add-UE-EUTRA-Capabilities-v1560| UE-EUTRA-Capability AddXDD-Mode-v1560|,
```

```
nonCriticalExtension
                                          UE-EUTRA-Capability-v1570-IEs
                                                                                    OPTIONAL
UE-EUTRA-Capability-v1570-IEs ::= SEQUENCE {
                           RF-Parameters-v1570
IRAT-ParametersNR-v1570
   rf-Parameters-v1570
                                                                                    OPTIONAL,
                                                                                    OPTIONAL,
    irat-ParametersNR-v1570
   nonCriticalExtension UE-EUTRA-Capability-v15a0-IEs
                                                                                    OPTIONAL
UE-EUTRA-Capability-v15a0-IEs ::= SEQUENCE {
   neighCellSI-AcquisitionParameters-v15a0 NeighCellSI-AcquisitionParameters-v15a0,
    eutra-5GC-Parameters-r15
                                           EUTRA-5GC-Parameters-r15
                                                                                    OPTIONAL.
    fdd-Add-UE-EUTRA-Capabilities-v15a0
                                                                                    OPTIONAL,
                                           UE-EUTRA-CapabilityAddXDD-Mode-v15a0
    tdd-Add-UE-EUTRA-Capabilities-v15a0
                                           UE-EUTRA-CapabilityAddXDD-Mode-v15a0
                                                                                    OPTIONAL,
    nonCriticalExtension
                                            SEQUENCE {}
                                                                                    OPTIONAL
}
UE-EUTRA-CapabilityAddXDD-Mode-r9 ::= SEQUENCE {
   phyLayerParameters-r9
featureGroupIndicators-r9
featureGroupIndRel9Add-r9
                                         PhyLayerParameters
                                                                                    OPTIONAL.
                                           BIT STRING (SIZE (32))
                                                                                    OPTIONAL,
                                           BIT STRING (SIZE (32))
                                                                                    OPTIONAL,
   interRAT-ParametersGERAN-r9
interRAT-ParametersUTRA-r9
                                          IRAT-ParametersGERAN
                                                                                   OPTIONAL,
                                           IRAT-ParametersUTRA-v920
                                                                                    OPTIONAL,
    interRAT-ParametersCDMA2000-r9
                                           IRAT-ParametersCDMA2000-1XRTT-v920
                                                                                    OPTIONAL,
   neighCellSI-AcquisitionParameters-r9 NeighCellSI-AcquisitionParameters-r9
                                                                                   OPTIONAL.
}
UE-EUTRA-CapabilityAddXDD-Mode-v1060 ::= SEQUENCE {
                                           PhyLayerParameters-v1020
    phyLayerParameters-v1060
                                                                                    OPTIONAL.
   interRAT-ParametersCDMA2000-v1060 BIT STRING (SIZE (32))
interRAT-ParametersCDMA2000-lXRTT-v1020
interRAT-ParametersUTRA-TDD-v1060 IRAT-ParametersUTRA-TDD-v1020
...,
                                                                                    OPTIONAL.
                                                                                    OPTIONAL,
                                                                                   OPTIONAL,
    [[ otdoa-PositioningCapabilities-r10 OTDOA-PositioningCapabilities-r10
                                                                                   OPTIONAL
}
UE-EUTRA-CapabilityAddXDD-Mode-v1130 ::=
                                           SEQUENCE {
   phyLayerParameters-v1130
                                               PhyLayerParameters-v1130
                                                                                    OPTIONAL,
    measParameters-v1130
                                                MeasParameters-v1130
                                                                                    OPTIONAL.
   otherParameters-r11
                                                Other-Parameters-r11
                                                                                    OPTIONAL.
UE-EUTRA-CapabilityAddXDD-Mode-v1180 ::= SEQUENCE {
   mbms-Parameters-r11
                                       MBMS-Parameters-r11
UE-EUTRA-CapabilityAddXDD-Mode-v1250 ::= SEQUENCE {
   phyLayerParameters-v1250 PhyLayerParameters-v1250
                                                                           OPTIONAL,
    measParameters-v1250
                                       MeasParameters-v1250
                                                                           OPTIONAL.
UE-EUTRA-CapabilityAddXDD-Mode-v1310 ::= SEQUENCE {
                                      PhyLayerParameters-v1310
   phyLayerParameters-v1310
                                                                          OPTIONAL
UE-EUTRA-CapabilityAddXDD-Mode-v1320 ::=
                                         SEOUENCE {
   phyLayerParameters-v1320 PhyLayerParameters-v1320
                                                                           OPTIONAL.
    scptm-Parameters-r13
                                       SCPTM-Parameters-r13
                                                                           OPTIONAL
UE-EUTRA-CapabilityAddXDD-Mode-v1370 ::=
                                           SEQUENCE {
                                                                          OPTIONAL
   ce-Parameters-v1370
                                       CE-Parameters-v1370
UE-EUTRA-CapabilityAddXDD-Mode-v1380 ::= SEQUENCE {
   ce-Parameters-v1380
                                       CE-Parameters-v1380
UE-EUTRA-CapabilityAddXDD-Mode-v1430 ::=
                                           SEOUENCE {
   phyLayerParameters-v1430
                                      PhyLayerParameters-v1430
                                                                           OPTIONAL,
   mmtel-Parameters-r14
                                       MMTEL-Parameters-r14
                                                                           OPTIONAL
UE-EUTRA-CapabilityAddXDD-Mode-v1510 ::=
                                           SEQUENCE {
                                               PDCP-ParametersNR-r15 OPTIONAL
  pdcp-ParametersNR-r15
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}
UE-EUTRA-CapabilityAddXDD-Mode-v1530 ::=
                                         SEQUENCE {
   neighCellSI-AcquisitionParameters-v1530 NeighCellSI-AcquisitionParameters-v1530 OPTIONAL,
   reducedCP-Latency-r15
                                 ENUMERATED {supported}
                                                                        OPTIONAL
}
UE-EUTRA-CapabilityAddXDD-Mode-v1540 ::= SEQUENCE {
                                           EQUENCE {
EUTRA-5GC-Parameters-r15
   eutra-5GC-Parameters-r15
                                                                            OPTIONAL,
   irat-ParametersNR-v1540
                                              IRAT-ParametersNR-v1540
                                                                            OPTIONAL
}
UE-EUTRA-CapabilityAddXDD-Mode-v1550 ::= SEQUENCE {
   neighCellSI-AcquisitionParameters-v1550 NeighCellSI-AcquisitionParameters-v1550 OPTIONAL
}
UE-EUTRA-CapabilityAddXDD-Mode-v1560 ::= SEQUENCE {
   pdcp-ParametersNR-v1560
                                          PDCP-ParametersNR-v1560
}
UE-EUTRA-CapabilityAddXDD-Mode-v15a0 ::= SEQUENCE {
   phyLayerParameters-v1530
                                         PhyLayerParameters-v1530
                                                                                OPTIONAL,
   phyLayerParameters-v1540
                                          PhyLayerParameters-v1540
                                                                                OPTIONAL,
   phyLayerParameters-v1550
                                         PhyLayerParameters-v1550
                                                                                OPTIONAL,
   neighCellSI-AcquisitionParameters-v15a0 NeighCellSI-AcquisitionParameters-v15a0
}
AccessStratumRelease ::=
                                  ENUMERATED {
                                      rel8, rel9, rel10, rel11, rel12, rel13,
                                      rel14, rel15, ...}
FeatureSetsEUTRA-r15 ::= SEQUENCE {
   featureSetsDL-r15 SEQUENCE (SIZE (1..maxFeatureSets-r15)) OF FeatureSetDL-r15
   OPTIONAL.
   featureSetsDL-PerCC-r15 SEQUENCE (SIZE (1..maxPerCC-FeatureSets-r15)) OF FeatureSetDL-PerCC-
r15
       OPTIONAL,
   featureSetsUL-r15
                             SEQUENCE (SIZE (1..maxFeatureSets-r15)) OF FeatureSetUL-r15
   OPTIONAL,
   featureSetsUL-PerCC-r15 SEQUENCE (SIZE (1..maxPerCC-FeatureSets-r15)) OF FeatureSetUL-PerCC-
r15
       OPTIONAL,
   OPTIONAL
    ]]
}
MobilityParameters-r14 ::=
                                 SEQUENCE {
   makeBeforeBreak-r14
                                      ENUMERATED {supported}
                                                                            OPTIONAL,
                                      ENUMERATED {supported}
   rach-Less-r14
                                                                            OPTIONAL
}
DC-Parameters-r12 ::=
                             SEQUENCE {
                                          ENUMERATED {supported}
   drb-TypeSplit-r12
                                                                        OPTIONAL,
                                          ENUMERATED {supported}
                                                                       OPTIONAL
   drb-TypeSCG-r12
}
DC-Parameters-v1310 ::=
                             SEQUENCE {
                                          ENUMERATED {supported}
ENUMERATED {supported}
   pdcp-TransferSplitUL-r13
                                                                        OPTIONAL,
                                                                       OPTIONAL
   ue-SSTD-Meas-r13
}
MAC-Parameters-r12 ::=
                                 SEQUENCE {
   logicalChannelSR-ProhibitTimer-r12 ENUMERATED {supported}
                                                                            OPTIONAL.
                                      ENUMERATED {supported}
   longDRX-Command-r12
                                                                            OPTIONAL
}
MAC-Parameters-v1310 ::=
                                     SEQUENCE {
   extendedMAC-LengthField-r13 ENUMERATED {supported} extendedLongDRX-r13 ENUMERATED {supported}
                                                                    OPTIONAL.
                                                                    OPTIONAL
MAC-Parameters-v1430 ::=
                                     SEOUENCE {
   shortSPS-IntervalFDD-r14
                                     ENUMERATED {supported}
                                                                        OPTIONAL,
                                      ENUMERATED
   shortSPS-IntervalTDD-r14
                                                                         OPTIONAL,
                                                 {supported}
   skipUplinkDynamic-r14
                                     ENUMERATED {supported}
                                                                        OPTIONAL,
                                      ENUMERATED {supported}
   skipUplinkSPS-r14
                                                                         OPTIONAL.
   multipleUplinkSPS-r14
                                  ENUMERATED {supported}
                                                                     OPTIONAL,
```

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dataInactMon-r14 ENUMERATED {supported} OPTIONAL
MAC-Parameters-v1440 ::= SEQUENCE {
ENUMERATED {supported}
                                                                                OPTIONAL
MAC-Parameters-v1530 ::= SEQUENCE {
    Parameters-v1530 ::= SEQUENCE {
min-Proc-TimelineSubslot-r15 SEQUENCE (SIZE(1..3)) OF ProcessingTimelineSet-r15 OPTIONAL,
skipSubframeProcessing-r15 SkipSubframeProcessing-r15 OPTIONAL,
earlyData-UP-r15 ENUMERATED {supported} OPTIONAL,
dormantSCellState-r15 ENUMERATED {supported} OPTIONAL,
directSCellActivation-r15 ENUMERATED {supported} OPTIONAL,
directSCellHibernation-r15 ENUMERATED {supported} OPTIONAL,
extendedLCID-Duplication-r15 ENUMERATED {supported} OPTIONAL,
sps-ServingCell-r15 ENUMERATED {supported} OPTIONAL,
OPTIONAL
MAC-Parameters-v1550 ::=
                                               SEQUENCE {
                                                ENUMERATED {supported}
    eLCID-Support-r15
                                                                                    OPTIONAL
ProcessingTimelineSet-r15 ::= ENUMERATED {set1, set2}
                                          SEQUENCE {
RLC-Parameters-r12 ::=
    extended-RLC-LI-Field-r12
                                            ENUMERATED {supported}
RLC-Parameters-v1310 ::=
                                               SEQUENCE {
    -Parameters-v1310 ::=
extendedRLC-SN-SO-Field-r13
                                                   ENUMERATED {supported}
                                                                                               OPTIONAL
RLC-Parameters-v1430 ::= SEQUENCE {
   extendedPollByte-r14 ENU
                                                  ENUMERATED {supported} OPTIONAL
}
PDCP-Parameters ::= SEQUENCE {
    supportedROHC-Profiles ROHC-ProfileSupportList-r15,
    maxNumberROHC-ContextSessions ENUMERATED {
                                                     cs2, cs4, cs8, cs12, cs16, cs24, cs32,
                                                      cs48, cs64, cs128, cs256, cs512, cs1024,
                                                      cs16384, spare2, spare1}
                                                                                                      DEFAULT cs16,
}
PDCP-Parameters-v1130 ::= SEQUENCE { pdcp-SN-Extension-r11
    pdcp-SN-Extension-r11 ENUMERATED {supported} OPTIONAL, supportRohcContextContinue-r11 ENUMERATED {supported} OPTIONAL
}
   CP-Parameters-v1310 ::= SEQUENCE {
   pdcp-SN-Extension-18bits-r13 ENUMERA
PDCP-Parameters-v1310 ::=
                                                  ENUMERATED {supported} OPTIONAL
PDCP-Parameters-v1430 ::=
                                               SEQUENCE {
    supportedUplinkOnlyROHC-Profiles-r14 SEQUENCE {
   profile0x0006-r14 BOOLEAN
       profile0x0006-r14
    maxNumberROHC-ContextSessions-r14
                                                   ENUMERATED {
                                                     cs2, cs4, cs8, cs12, cs16, cs24, cs32,
                                                      cs48, cs64, cs128, cs256, cs512, cs1024,
                                                                                                      DEFAULT cs16
                                                     cs16384, spare2, spare1}
PDCP-Parameters-v1530 ::= supportedUDC-r15 pdcp-Duplication-r15
                                          SupportedUDC-r15
                                                                                     OPTIONAL,
                                                ENUMERATED {supported} OPTIONAL
SupportedUDC-r15 ::=
                                            SEQUENCE {
     supportedStandardDic-r15
                                            ENUMERATED {supported}
                                                                                  OPTIONAL.
                                              SupportedOperatorDic-r15 OPTIONAL
     supportedOperatorDic-r15
```

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}
INTEGER (0..15),
      associatedPLMN-ID-r15
                                                      PLMN-Identity
PhyLayerParameters ::=
                                                SEQUENCE {
      ue-TxAntennaSelectionSupported BOOLEAN,
      ue-SpecificRefSigsSupported BOOLEAN
}
PhyLayerParameters-v920 ::= SEQUENCE {
   enhancedDualLayerFDD-r9 ENUMERATED {supported} OPTIONAL,
   enhancedDualLayerTDD-r9 ENUMERATED {supported} OPTIONAL
}
PhyLayerParameters-v9d0 ::= SEQUENCE {
                                                ENUMERATED {supported} OPTIONAL,
      tm5-FDD-r9
      tm5-TDD-r9
                                                 ENUMERATED {supported}
                                                                                            OPTIONAL
}
PhyLayerParameters-v1020 ::= twoAntennaPortsForPUCCH-r10
                                                   SEQUENCE {
                                                 ENUMERATED {supported}
                                                                                                                  OPTIONAL,
     tm9-With-8Tx-FDD-r10
                                                          ENUMERATED {supported}
ENUMERATED {supported}
                                                                                                                  OPTIONAL.
      pmi-Disabling-r10
                                                                                                                  OPTIONAL,
     crossCarrierScheduling-r10
simultaneousPUCCH-PUSCH-r10
                                                          ENUMERATED (supported)
                                                                                                                  OPTIONAL,
                                                         ENUMERATED {supported}
ENUMERATED {supported}
                                                                                                                  OPTIONAL,
     multiClusterPUSCH-WithinCC-r10
                                                                                                                  OPTIONAL.
      nonContiguousUL-RA-WithinCC-List-r10 NonContiguousUL-RA-WithinCC-List-r10
                                                                                                                  OPTIONAL
PhyLayerParameters-v1130 ::= SEQUENCE {
                                                     ENUMERATED {supported}
                                                                                                                  OPTIONAL.
      crs-InterfHandl-r11
                                                                                                                  OPTIONAL,
      ePDCCH-r11
                                                           ENUMERATED {supported}
     multiACK-CSI-Reporting-rll
ss-CCH-InterfHandl-rll
                                                           ENUMERATED
                                                                           {supported}
                                                                                                                  OPTIONAL,
                                                         ENUMERATED {supported}
                                                                                                                  OPTIONAL,
                                                         ENUMERATED {supported}
ENUMERATED {supported}
      tdd-SpecialSubframe-r11
                                                                                                                  OPTIONAL,
      txDiv-PUCCH1b-ChSelect-r11
                                                                                                                  OPTIONAL,
     ul-CoMP-r11
                                                           ENUMERATED {supported}
                                                                                                                  OPTIONAL
}
PhyLayerParameters-v1170 ::=
                                                     SEQUENCE {
      interBandTDD-CA-WithDifferentConfig-rll BIT STRING (SIZE (2)) OPTIONAL
PhyLayerParameters-v1250 ::= SEQUENCE {
    e-HARQ-Pattern-FDD-r12 ENUMERATED {supported} }
    enhanced-4TxCodebook-r12 ENUMERATED {supported} }
    tdd-FDD-CA-PCellDuplex-r12 BIT STRING (SIZE (2)) phy-TDD-ReConfig-TDD-PCell-r12 ENUMERATED {supported} }
    phy-TDD-ReConfig-FDD-PCell-r12 ENUMERATED {supported} }
    pusch-FeedbackMode-r12 ENUMERATED {supported} }
    Supported Supported}
                                                     ENUMERATED {supported}
                                                                                                 OPTIONAL,
OPTIONAL,
OPTIONAL,
                                                                                                     OPTIONAL,
                                                                                                     OPTIONAL,
      pusch-SRS-PowerControl-SubframeSet-r12 ENUMERATED {supported}
                                                                                                     OPTIONAL,
                                                           ENUMERATED {supported}
      csi-SubframeSet-r12
     noResourceRestrictionForTTIBundling-r12 ENUMERATED {supported} discoverySignalsInDeactSCell-r12 ENUMERATED {supported} naics-Capability-List-r12 NAICS-Capability-List-r12
                                                                                                      OPTIONAL,
                                                                                                       OPTIONAL,
     LayerParameters-v1280 ::=
alternativeTBS-Indices-r12
                                                   SEQUENCE {
PhyLayerParameters-v1280 ::=
                                                           ENUMERATED {supported}
                                                                                                     OPTIONAL
PhyLayerParameters-v1310 ::= SEQUENCE {
    aperiodicCSI-Reporting-r13 BIT STI
    codebook-HARO-ACK-r13 BIT STI
                                                      BIT STRING (SIZE (2))
                                                                                                     OPTIONAL,
                                                            BIT STRING (SIZE (2))
      codebook-HARQ-ACK-r13
                                                                                                       OPTIONAL,
      crossCarrierScheduling-B5C-r13
                                                          ENUMERATED {supported}
                                                                                                   OPTIONAL,
                                                         ENUMERATED {supported}
INTEGER(5..32)
      fdd-HARO-TimingTDD-r13
     fdd-HARQ-TimingTDD-r13
maxNumberUpdatedCSI-Proc-r13
                                                                                                      OPTIONAL,
     pucch-SCell-r13 ENUMERATED {supported}

pucch-SCell-r13 ENUMERATED {supported}

spatialBundling-HARQ-ACK-r13 ENUMERATED {supported}

supportedBlindDecoding-r13 ENUMERATED {supported}

maxNumberDecoding-r13 SEQUENCE {

maxNumberDecoding-r13 INTEGER(1..32)

pdcch-CandidateReductions-r13 ENUMERATED {supported}

skipMonitoringDCI-Format0-12 r12
                                                                                                      OPTIONAL,
                                                                                                      OPTIONAL,
                                                                                                     OPTIONAL,
                                                                                                     OPTIONAL.
                                                                                                       OPTIONAL,
           skipMonitoringDCI-Format0-1A-r13 ENUMERATED {supported} OPTIONAL
```

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OPTIONAL,
    uci-PUSCH-Ext-r13
                                             ENUMERATED {supported}
                                                                               OPTIONAL,
                                             ENUMERATED {supported}
    crs-InterfMitigationTM10-r13
                                                                               OPTIONAL,
                                             ENUMERATED {supported}
                                                                               OPTIONAL
    pdsch-CollisionHandling-r13
PhyLayerParameters-v1320 ::=
                                       SEQUENCE {
                                             MIMO-UE-Parameters-r13
    mimo-UE-Parameters-r13
                                                                             OPTIONAL
PhyLayerParameters-v1330 ::=
                                         SEQUENCE {
    cch-InterfMitigation-RefRecTypeA-r13 ENUMERATED {supported} cch-InterfMitigation-RefRecTypeB-r13 ENUMERATED {supported}
                                                                              OPTIONAL.
                                                                              OPTIONAL,
    cch-InterfMitigation-MaxNumCCs-r13 INTEGER (1..maxServCell-r13)
crs-InterfMitigationTM1toTM9-r13 INTEGER (1..maxServCell-r13)
                                                                              OPTIONAL,
    crs-InterfMitigationTM1toTM9-r13
                                             INTEGER (1..maxServCell-r13)
}
   mimo-UE-Parameters-v13e0 ::=
PhyLayerParameters-v13e0 ::=
                                        SEQUENCE {
                                             MIMO-UE-Parameters-v13e0
}
PhyLayerParameters-v1430 ::= SEQUENCE {
                                        ENUMERATED {supported}
ENUMERATED {bw5, bw20}
    ce-PUSCH-NB-MaxTBS-r14
                                                                              OPTIONAL,
    ce-PDSCH-PUSCH-MaxBandwidth-r14
                                                                              OPTIONAL,
                                             ENUMERATED {supported}
ENUMERATED {supported}
    ce-HARQ-AckBundling-r14
                                                                              OPTIONAL.
    ce-PDSCH-TenProcesses-r14
                                                                              OPTIONAL,
    ce-RetuningSymbols-r14
                                            ENUMERATED {n0, n1}
                                                                              OPTIONAL,
    ce-PDSCH-PUSCH-Enhancement-r14
                                             ENUMERATED {supported}
                                                                              OPTIONAL,
    ce-SchedulingEnhancement-r14
                                           ENUMERATED {supported}
                                                                              OPTIONAL,
                                             ENUMERATED {supported}
    ce-SRS-Enhancement-r14
                                                                              OPTIONAL,
    ce-PUCCH-Enhancement-r14
                                             ENUMERATED {supported}
                                                                              OPTIONAL.
    ce-ClosedLoopTxAntennaSelection-r14 ENUMERATED {supported}
    tdd-SpecialSubframe-r14
                                            ENUMERATED {supported}
ENUMERATED {supported}
                                                                             OPTIONAL,
    tdd-TTI-Bundling-r14
                                                                              OPTIONAL,
    dmrs-LessUpPTS-r14
                                             ENUMERATED {supported}
                                             MIMO-UE-Parameters-v1430
    mimo-UE-Parameters-v1430
                                                                              OPTIONAL,
    alternativeTBS-Index-r14
                                             ENUMERATED {supported}
                                                                              OPTIONAL,
    feMBMS-Unicast-Parameters-r14
                                             FeMBMS-Unicast-Parameters-r14 OPTIONAL
PhyLayerParameters-v1450 ::=
                                         SEQUENCE {
    ce-SRS-EnhancementWithoutComb4-r14 ENUMERATED {supported}
                                                                               OPTIONAL.
                                             ENUMERATED {supported}
    crs-LessDwPTS-r14
                                                                              OPTIONAL }
PhyLayerParameters-v1470 ::=
                                         SEQUENCE {
                                             MIMO-UE-Parameters-v1470
    mimo-UE-Parameters-v1470
                                                                              OPTIONAL.
                                             ENUMERATED {supported}
    srs-UpPTS-6sym-r14
                                                                              OPTIONAL
}
PhyLayerParameters-v14a0 ::=
                                         SEQUENCE {
    ssp10-TDD-Only-r14
                                             ENUMERATED {supported}
                                                                             OPTIONAL
    stti-SPT-Capabilities-r15
PhyLayerParameters-v1530 ::=
                                         SEQUENCE {
                                           SEQUENCE {
                                             ENUMERATED {supported}
ENUMERATED {supported}
        aperiodicCsi-ReportingSTTI-r15
                                                                                   OPTIONAL,
        dmrs-BasedSPDCCH-MBSFN-r15
                                                                                   OPTIONAL,
        dmrs-BasedSPDCCH-nonMBSFN-r15
                                               ENUMERATED {supported}
                                                ENUMERATED {supported}
ENUMERATED {supported}
        dmrs-PositionPattern-r15
                                                                                   OPTIONAL,
        dmrs-SharingSubslotPDSCH-r15
                                                                                  OPTIONAL,
        dmrs-Snaringsubsiderbsch 113
dmrs-RepetitionSubslotPDSCH-r15
epdcch-SPT-differentCells-r15
epdcch-STTI-differentCells-r15
                                               ENUMERATED (supported)
                                                                                  OPTIONAL,
                                                 ENUMERATED {supported}
                                                                                   OPTIONAL,
                                                ENUMERATED {supported}
                                                                                   OPTIONAL,
                                                ENUMERATED {oneLayer,twoLayers,fourLayers}
        maxLayersSlotOrSubslotPUSCH-r15
        OPTIONAL.
        maxNumberUpdatedCSI-Proc-SPT-r15
                                                INTEGER(5..32)
                                                                                   OPTIONAL.
        maxNumberUpdatedCSI-Proc-STTI-Comb77-r15 INTEGER(1..32)
                                                                                   OPTIONAL,
        maxNumberUpdatedCSI-Proc-STTI-Comb27-r15
                                                          INTEGER(1..32)
                                                                                   OPTIONAL,
        maxNumberUpdatedCSI-Proc-STTI-Comb22-Set1-r15 INTEGER(1..32)
maxNumberUpdatedCSI-Proc-STTI-Comb22-Set2-r15 INTEGER(1..32)
                                                                                   OPTIONAL,
                                                                                   OPTIONAL,
                                         MIMO-UE-Parameters-r13
        mimo-UE-ParametersSTTI-r15
                                                                                  OPTIONAL,
        numberOfBlindDecodesUSS-r15
                                                 MIMO-UE-Parameters-v1430
                                                                                   OPTIONAL,
                                                 INTEGER(4..32)
                                                                                   OPTIONAL,
        OPTIONAL,
                                                 ENUMERATED {supported}
        powerUCI-SlotPUSCH
                                                                                   OPTIONAL,
                                                                                  OPTIONAL,
        powerUCI-SubslotPUSCH
                                                 ENUMERATED {supported}
                                                  ENUMERATED {supported}
        slotPDSCH-TxDiv-TM9and10
                                                                                   OPTIONAL,
        subslotPDSCH-TxDiv-TM9and10
                                                 ENUMERATED {supported}
                                                                                  OPTIONAL,
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spdcch-differentRS-types-r15
                                                 ENUMERATED {supported}
                                                                                 OPTIONAL,
        srs-DCI7-TriggeringFS2-r15
                                                 ENUMERATED
                                                            {supported}
                                                                                 OPTIONAL,
        sps-cyclicShift-r15
                                                 ENUMERATED {supported}
                                                                                 OPTIONAL,
        spdcch-Reuse-r15
                                                 ENUMERATED {supported}
                                                                                 OPTIONAL.
        sps-STTI-r15
                                                 ENUMERATED {slot, subslot, slotAndSubslot}
        OPTIONAL.
        tm8-slotPDSCH-r15
                                                 ENUMERATED {supported}
                                                                                 OPTIONAL.
        tm9-slotSubslot-r15
                                                 ENUMERATED {supported}
                                                                                 OPTIONAL.
                                                                                 OPTIONAL,
        tm9-slotSubslotMBSFN-r15
                                                 ENUMERATED {supported}
        tm10-slotSubslot-r15
                                                 ENUMERATED
                                                            {supported}
                                                                                 OPTIONAL,
        tm10-slotSubslotMBSFN-r15
                                                 ENUMERATED {supported}
                                                                                 OPTIONAL,
                                                 ENUMERATED {supported}
        txDiv-SPUCCH-r15
                                                                                 OPTIONAL.
        ul-AsyncHarqSharingDiff-TTI-Lengths-r15 ENUMERATED {supported}
                                                                                 OPTIONAL
                                                                                 OPTIONAL,
    ce-Capabilities-r15
                                        SEQUENCE {
                                                ENUMERATED {supported}
       ce-CRS-IntfMitig-r15
                                                                                 OPTIONAL,
        ce-COI-AlternativeTable-r15
                                                 ENUMERATED {supported}
                                                                                 OPTIONAL,
        ce-PDSCH-FlexibleStartPRB-CE-ModeA-r15 ENUMERATED {supported}
                                                                                 OPTIONAL.
        ce-PDSCH-FlexibleStartPRB-CE-ModeB-r15 ENUMERATED {supported}
                                                                                 OPTIONAL.
        ce-PDSCH-64QAM-r15
                                                 ENUMERATED {supported}
                                                                                 OPTIONAL,
        ce-PUSCH-FlexibleStartPRB-CE-ModeA-r15 ENUMERATED {supported}
                                                                                 OPTIONAL,
        ce-PUSCH-FlexibleStartPRB-CE-ModeB-r15 ENUMERATED {supported}
                                                                                 OPTIONAL,
        ce-PUSCH-SubPRB-Allocation-r15
                                                 ENUMERATED
                                                                                 OPTIONAL,
                                                            {supported}
                                                ENUMERATED {supported}
        ce-UL-HARQ-ACK-Feedback-r15
                                                                                 OPTIONAL
        OPTIONAL.
                                           ENUMERATED {supported}
                                                                             OPTIONAL,
    shortCQI-ForSCellActivation-r15
    mimo-CBSR-AdvancedCSI-r15
                                            ENUMERATED {supported}
                                                                             OPTIONAL,
    crs-IntfMitig-r15
                                             ENUMERATED
                                                        {supported}
                                                                             OPTIONAL,
                                            ENUMERATED {supported}
    ul-PowerControlEnhancements-r15
                                                                             OPTIONAL.
                                            SEQUENCE {
    urllc-Capabilities-r15
        pdsch-RepSubframe-r15
                                                ENUMERATED {supported}
                                                                             OPTIONAL.
                                                 ENUMERATED {supported}
        pdsch-RepSlot-r15
                                                                             OPTIONAL,
        pdsch-RepSubslot-r15
                                                 ENUMERATED {supported}
                                                                             OPTIONAL,
        pusch-SPS-MultiConfigSubframe-r15
                                                INTEGER (0..6)
                                                                             OPTIONAL.
                                                                             OPTIONAL,
        pusch-SPS-MaxConfigSubframe-r15
                                                INTEGER (0..31)
        pusch-SPS-MultiConfigSlot-r15
                                                 INTEGER (0..6)
                                                                             OPTIONAL,
        pusch-SPS-MaxConfigSlot-r15
                                                INTEGER (0..31)
                                                                             OPTIONAL,
        pusch-SPS-MultiConfigSubslot-r15
                                                INTEGER (0..6)
                                                                             OPTIONAL,
                                                INTEGER (0..31)
        pusch-SPS-MaxConfigSubslot-r15
                                                                             OPTIONAL,
                                                                             OPTIONAL,
        pusch-SPS-SlotRepPCell-r15
                                                ENUMERATED {supported}
        pusch-SPS-SlotRepPSCell-r15
                                                 ENUMERATED {supported}
                                                                             OPTIONAL.
        pusch-SPS-SlotRepSCell-r15
                                                ENUMERATED {supported}
                                                                             OPTIONAL.
                                                ENUMERATED {supported}
        pusch-SPS-SubframeRepPCell-r15
                                                                             OPTIONAL,
        pusch-SPS-SubframeRepPSCell-r15
                                                ENUMERATED
                                                            {supported}
                                                                             OPTIONAL,
        pusch-SPS-SubframeRepSCell-r15
                                                 ENUMERATED {supported}
                                                                             OPTIONAL,
        pusch-SPS-SubslotRepPCell-r15
                                                ENUMERATED {supported}
                                                                             OPTIONAL,
                                                ENUMERATED {supported}
        pusch-SPS-SubslotRepPSCell-r15
                                                                             OPTIONAL,
        pusch-SPS-SubslotRepSCell-r15
                                                 ENUMERATED {supported}
                                                                             OPTIONAL,
        semiStaticCFI-r15
                                                 ENUMERATED
                                                                             OPTIONAL,
                                                            {supported}
        semiStaticCFI-Pattern-r15
                                                 ENUMERATED {supported}
                                                                             OPTIONAL
        OPTIONAL,
    altMCS-Table-r15
                                             ENUMERATED {supported}
                                                                             OPTIONAL
                                        SEQUENCE {
PhyLayerParameters-v1540 ::=
    stti-SPT-Capabilities-v1540
                                            SEQUENCE {
        slotPDSCH-TxDiv-TM8-r15
                                                ENUMERATED {supported}
                                                     OPTIONAL,
                                            ENUMERATED {supported}
ENUMERATED {supported}
                                                                             OPTIONAL,
    crs-IM-TM1-toTM9-OneRX-Port-v1540
    cch-IM-RefRecTypeA-OneRX-Port-v1540
                                                                             OPTIONAL
}
PhyLaverParameters-v1550 ::=
                                         SEQUENCE {
                                             ENUMERATED {supported}
    dmrs-OverheadReduction-r15
                                                                             OPTIONAL
MIMO-UE-Parameters-r13 ::=
                                         SEOUENCE {
   parametersTM9-r13
                                            MIMO-UE-ParametersPerTM-r13
                                                                             OPTIONAL.
    parametersTM10-r13
                                                                             OPTIONAL.
                                             MIMO-UE-ParametersPerTM-r13
    srs-EnhancementsTDD-r13
                                             ENUMERATED {supported}
                                                                             OPTIONAL,
    srs-Enhancements-r13
                                             ENUMERATED {supported}
                                                                             OPTIONAL,
    interferenceMeasRestriction-r13
                                             ENUMERATED {supported}
                                                                             OPTIONAL
}
MIMO-UE-Parameters-v13e0 ::=
                                        SEQUENCE {
    mimo-WeightedLayersCapabilities-r13
                                          MIMO-WeightedLayersCapabilities-r13 OPTIONAL
}
```

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MIMO-UE-Parameters-v1430 ::= SEQUENCE {
    parametersTM9-v1430
                                            MIMO-UE-ParametersPerTM-v1430 OPTIONAL,
                                            MIMO-UE-ParametersPerTM-v1430 OPTIONAL
    parametersTM10-v1430
}
MIMO-UE-Parameters-v1470 ::=
                                  SEQUENCE {
    parametersTM9-v1470
                                       MIMO-UE-ParametersPerTM-v1470,
    parametersTM10-v1470
                                            MIMO-UE-ParametersPerTM-v1470
MIMO-UE-ParametersPerTM-r13 ::=
                                      SEQUENCE {
                                           MIMO-NonPrecodedCapabilities-r13 OPTIONAL, MIMO-UE-BeamformedCapabilities-r13 OPTIONAL,
    nonPrecoded-r13
    beamformed-r13
    channelMeasRestriction-r13
                                           ENUMERATED {supported}
                                                                     OPTIONAL,
    dmrs-Enhancements-r13
                                            ENUMERATED {supported}
                                                                               OPTIONAL,
                                            ENUMERATED {supported}
    csi-RS-EnhancementsTDD-r13
                                                                               OPTIONAL
SEQUENCE {
                                            INTEGER(5..32),
       nMaxResource-r14
                                               ENUMERATED {ffs1, ffs2, ffs3, ffs4}
                                                                                OPTIONAL,
    nzp-CSI-RS-PeriodicInfo-r14 SEQUENCE {
                                              ENUMERATED {ffs1, ffs2, ffs3, ffs4}
       nMaxResource-r14
                                                                                OPTIONAL,
    zp-CSI-RS-AperiodicInfo-r14
                                       \begin{array}{c} \mathtt{ENUMERATED} \ \{\mathtt{support} \\ \mathtt{ENUMERATED} \ \{\mathtt{supported}\} \end{array} 
                                               ENUMERATED {supported}
                                                                                OPTIONAL,
    ul-dmrs-Enhancements-r14
                                                                                OPTIONAL,
    densityReductionNP-r14
                                          ENUMERATED {supported}
                                                                               OPTIONAL,
                                                                               OPTIONAL,
    densityReductionBF-r14
                                           ENUMERATED {supported}
    hybridCSI-r14
                                           ENUMERATED {supported}
                                                                                OPTIONAL.
    semiOL-r14
                                           ENUMERATED {supported}
                                                                               OPTIONAL,
                                           ENUMERATED {supported}
ENUMERATED {supported}
    csi-ReportingNP-r14
                                                                               OPTIONAL,
    csi-ReportingAdvanced-r14
                                                                               OPTIONAL
}
MIMO-UE-ParametersPerTM-v1470 ::=
                                     SEQUENCE {
    csi-ReportingAdvancedMaxPorts-r14 ENUMERATED {n8, n12, n16, n20, n24, n28} OPTIONAL
MIMO-CA-ParametersPerBoBC-r13 ::=
                                       SEQUENCE {
   parametersTM9-r13
                                           MIMO-CA-ParametersPerBoBCPerTM-r13
                                                                                   OPTIONAL.
    parametersTM10-r13
                                            MIMO-CA-ParametersPerBoBCPerTM-r13
                                                                                   OPTIONAL
MIMO-CA-ParametersPerBoBC-r15 ::=
                                      SEQUENCE {
    parametersTM9-r15
                                           MIMO-CA-ParametersPerBoBCPerTM-r15 OPTIONAL.
    parametersTM10-r15
                                           MIMO-CA-ParametersPerBoBCPerTM-r15 OPTIONAL
MIMO-CA-ParametersPerBoBC-v1430 ::= SEQUENCE {
    parametersTM9-v1430
                                                                                    OPTIONAL,
                                           MIMO-CA-ParametersPerBoBCPerTM-v1430
    parametersTM10-v1430
                                           MIMO-CA-ParametersPerBoBCPerTM-v1430
                                                                                    OPTIONAL
MIMO-CA-ParametersPerBoBC-v1470 ::= SEQUENCE {
    parametersTM9-v1470
                                       MIMO-CA-ParametersPerBoBCPerTM-v1470,
    parametersTM10-v1470
                                               MIMO-CA-ParametersPerBoBCPerTM-v1470
MIMO-CA-ParametersPerBoBCPerTM-r13 ::= SEQUENCE {
    nonPrecoded-r13
                                           MIMO-NonPrecodedCapabilities-r13
                                                                                OPTIONAL,
                                            MIMO-BeamformedCapabilityList-r13 OPTIONAL,
    beamformed-r13
                                            ENUMERATED {different}
    dmrs-Enhancements-r13
                                                                               OPTIONAL
}
MIMO-CA-ParametersPerBoBCPerTM-v1430 ::= SEQUENCE {
    csi-ReportingNP-r14 ENUMERATED {different}
    ENUMERATED {different}
                                                                              OPTIONAL.
                                                                               OPTIONAL
MIMO-CA-ParametersPerBoBCPerTM-v1470 ::=
                                            SEQUENCE {
                                            ENUMERATED {n8, n12, n16, n20, n24, n28} OPTIONAL
   csi-ReportingAdvancedMaxPorts-r14
MIMO-CA-ParametersPerBoBCPerTM-r15 ::= SEQUENCE {
    nonPrecoded-r13
                                            MIMO-NonPrecodedCapabilities-r13
                                                                                OPTIONAL.
                                            MIMO-BeamformedCapabilityList-r13 OPTIONAL,
    beamformed-r13
```

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dmrs-Enhancements-r13
                              ENUMERATED {different}
                                                                          OPTIONAL,
                                        ENUMERATED {different}
ENUMERATED {different}
   csi-ReportingNP-r14
                                                                          OPTIONAL,
   csi-ReportingAdvanced-r14
                                                                          OPTIONAL
}
MIMO-NonPrecodedCapabilities-r13 ::= SEQUENCE {
                                                                     OPTIONAL,
                                         ENUMERATED {supported}
   config1-r13
                                         ENUMERATED {supported}
                                                                      OPTIONAL,
   config2-r13
   config3-r13
                                         ENUMERATED {supported}
                                                                     OPTIONAL,
   config4-r13
                                         ENUMERATED {supported}
}
MIMO-UE-BeamformedCapabilities-r13 ::= SEQUENCE {
   altCodebook-r13
                                        ENUMERATED {supported}
                                                                     OPTIONAL,
   mimo-BeamformedCapabilities-r13
                                        MIMO-BeamformedCapabilityList-r13
}
MIMO-BeamformedCapabilityList-r13 ::= SEQUENCE (SIZE (1..maxCSI-Proc-r11)) OF MIMO-
BeamformedCapabilities-r13
MIMO-BeamformedCapabilities-r13 ::= SEQUENCE {
   k-Max-r13
                                        INTEGER (1..8),
   n-MaxList-r13
                                         BIT STRING (SIZE (1..7)) OPTIONAL
}
MIMO-WeightedLayersCapabilities-r13 ::=
                                        SEQUENCE {
   relWeightTwoLayers-r13 ENUMERATED {v1, v1dot25, v1dot5, v1dot75, v2, v2dot5, v3, v4},
   relWeightFourLayers-r13 ENUMERATED (v1, v1dot25, v1dot5, v1dot75, v2, v2dot5, v3, v4)
   OPTIONAL.
   relWeightEightLayers-r13 ENUMERATED {v1, v1dot25, v1dot5, v1dot75, v2, v2dot5, v3, v4}
   OPTIONAL,
   totalWeightedLayers-r13 INTEGER (2..128)
}
NonContiguousUL-RA-WithinCC-List-r10 ::= SEQUENCE (SIZE (1..maxBands)) OF NonContiguousUL-RA-
WithinCC-r10
NonContiguousUL-RA-WithinCC-r10 ::= SEQUENCE {
   OPTIONAL
                                SEQUENCE {
RF-Parameters ::=
   supportedBandListEUTRA
                                     SupportedBandListEUTRA
RF-Parameters-v9e0 ::=
                                    SEQUENCE {
   supportedBandListEUTRA-v9e0
                                       SupportedBandListEUTRA-v9e0
                                                                             OPTIONAL
RF-Parameters-v1020 ::=
                                SEQUENCE {
   supportedBandCombination-r10
                                       SupportedBandCombination-r10
RF-Parameters-v1060 ::=
                                 SEQUENCE {
   supportedBandCombinationExt-r10 SupportedBandCombinationExt-r10
RF-Parameters-v1090 ::=
                                    SEQUENCE {
                                        SupportedBandCombination-v1090
   supportedBandCombination-v1090
                                                                              OPTIONAL
RF-Parameters-v10f0 ::=
                                     SEQUENCE {
   modifiedMPR-Behavior-r10
                                        BIT STRING (SIZE (32))
RF-Parameters-v10i0 ::=
                                    SEQUENCE {
   supportedBandCombination-v10i0
                                      SupportedBandCombination-v10i0
RF-Parameters-v10j0 ::=
                                     SEQUENCE {
                                        ENUMERATED {supported}
   multiNS-Pmax-r10
}
RF-Parameters-v1130 ::=
                                 SEQUENCE {
                                        SupportedBandCombination-v1130
   supportedBandCombination-v1130
                                                                              OPTIONAL
RF-Parameters-v1180 ::= SEQUENCE {
```

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freqBandRetrieval-r11
                                             ENUMERATED {supported}
                                                                             OPTIONAL,
    requestedBands-r11
                                             SEQUENCE (SIZE (1..maxBands)) OF FreqBandIndicator-r11
                        OPTIONAL,
    supportedBandCombinationAdd-r11
                                             SupportedBandCombinationAdd-r11
                                                                                 OPTIONAL
RF-Parameters-v11d0 ::=
                                        SEQUENCE {
    supportedBandCombinationAdd-v11d0
                                            SupportedBandCombinationAdd-v11d0
                                                                                     OPTIONAL
RF-Parameters-v1250 ::=
                                    SEQUENCE {
    supportedBandListEUTRA-v1250
                                             SupportedBandListEUTRA-v1250
                                                                                     OPTIONAL.
                                                                                     OPTIONAL,
    supportedBandCombination-v1250
                                             SupportedBandCombination-v1250
    supportedBandCombinationAdd-v1250
                                             SupportedBandCombinationAdd-v1250
                                                                                     OPTIONAL,
    freqBandPriorityAdjustment-r12
                                            ENUMERATED {supported}
                                                                                     OPTIONAL
}
RF-Parameters-v1270 ::=
                                    SEQUENCE {
    supportedBandCombination-v1270
                                            SupportedBandCombination-v1270
                                                                                     OPTIONAL.
    supportedBandCombinationAdd-v1270
                                            SupportedBandCombinationAdd-v1270
                                                                                     OPTIONAL
}
RF-Parameters-v1310 ::=
                                    SEQUENCE {
    eNB-RequestedParameters-r13
                                       SEQUENCE {
        reducedIntNonContCombRequested-r13 ENUMERATED {true}
                                                                                     OPTIONAL.
        requestedCCsDL-r13
                                            INTEGER (2..32)
                                                                                     OPTIONAL,
        requestedCCsUL-r13
                                            INTEGER (2..32)
                                                                                     OPTIONAL,
                                            ENUMERATED {true}
        skipFallbackCombRequested-r13
                                                                                     OPTIONAL
                                                                                     OPTIONAL.
                                            ENUMERATED {supported}
    maximumCCsRetrieval-r13
                                                                                     OPTIONAL,
    skipFallbackCombinations-r13
                                             ENUMERATED {supported}
                                                                                     OPTIONAL.
    reducedIntNonContComb-r13
                                            ENUMERATED {supported}
                                                                                     OPTIONAL,
    supportedBandListEUTRA-v1310
                                            SupportedBandListEUTRA-v1310
                                                                                     OPTIONAL,
    supportedBandCombinationReduced-r13
                                            SupportedBandCombinationReduced-r13
                                                                                     OPTIONAL
RF-Parameters-v1320 ::=
                                    SEQUENCE {
    supportedBandListEUTRA-v1320
                                            SupportedBandListEUTRA-v1320
                                                                                     OPTIONAL,
    supportedBandCombination-v1320
                                            SupportedBandCombination-v1320
                                                                                     OPTIONAL,
                                                                                     OPTIONAL,
    supportedBandCombinationAdd-v1320
                                             SupportedBandCombinationAdd-v1320
    supportedBandCombinationReduced-v1320
                                            SupportedBandCombinationReduced-v1320
}
RF-Parameters-v1380 ::=
                                    SEQUENCE {
    supportedBandCombination-v1380
                                            SupportedBandCombination-v1380
                                                                                     OPTIONAL,
    supportedBandCombinationAdd-v1380
                                            SupportedBandCombinationAdd-v1380
                                                                                     OPTIONAL,
                                            SupportedBandCombinationReduced-v1380
    supportedBandCombinationReduced-v1380
                                                                                     OPTIONAL
RF-Parameters-v1390 ::=
                                    SEOUENCE {
    supportedBandCombination-v1390
                                            SupportedBandCombination-v1390
                                                                                     OPTIONAL,
                                            SupportedBandCombinationAdd-v1390
    supportedBandCombinationAdd-v1390
                                                                                     OPTIONAL,
    supportedBandCombinationReduced-v1390
                                            SupportedBandCombinationReduced-v1390
                                                                                     OPTIONAL
RF-Parameters-v12b0 ::=
                                    SEQUENCE {
    maxLayersMIMO-Indication-r12
                                            ENUMERATED {supported}
                                                                                     OPTIONAL
RF-Parameters-v1430 ::=
                                    SECUENCE {
                                            SupportedBandCombination-v1430
    supportedBandCombination-v1430
                                                                                     OPTIONAL,
    supportedBandCombinationAdd-v1430
                                             SupportedBandCombinationAdd-v1430
                                                                                     OPTIONAL,
    supportedBandCombinationReduced-v1430
                                             SupportedBandCombinationReduced-v1430
                                                                                     OPTIONAL,
    eNB-RequestedParameters-v1430
                                             SECUENCE {
        requestedDiffFallbackCombList-r14
                                                 BandCombinationList-r14
                                                                                     OPTIONAL.
                                            ENUMERATED {supported}
    diffFallbackCombReport-r14
                                                                                     OPTIONAL
}
                                    SEQUENCE {
RF-Parameters-v1450 ::=
    supportedBandCombination-v1450
                                            SupportedBandCombination-v1450
                                                                                     OPTIONAL,
    supportedBandCombinationAdd-v1450
                                             SupportedBandCombinationAdd-v1450
                                                                                     OPTIONAL,
    supportedBandCombinationReduced-v1450
                                            SupportedBandCombinationReduced-v1450
                                                                                     OPTIONAL
RF-Parameters-v1470 ::=
    supportedBandCombination-v1470
                                            SupportedBandCombination-v1470
                                                                                     OPTIONAL.
                                            SupportedBandCombinationAdd-v1470
                                                                                     OPTIONAL,
    supportedBandCombinationAdd-v1470
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supportedBandCombinationReduced-v1470 SupportedBandCombinationReduced-v1470
RF-Parameters-v14b0 ::=
                                   SEQUENCE {
    supportedBandCombination-v14b0
                                           SupportedBandCombination-v14b0
                                                                                   OPTIONAL,
    supportedBandCombinationAdd-v14b0
                                           SupportedBandCombinationAdd-v14b0
                                                                                   OPTIONAL.
    supportedBandCombinationReduced-v14b0 SupportedBandCombinationReduced-v14b0
                                                                                   OPTIONAL
RF-Parameters-v1530 ::=
                                   SEQUENCE {
   sTTI-SPT-Supported-r15
                                           ENUMERATED {supported}
                                                                                   OPTIONAL,
                                           SupportedBandCombination-v1530
    supportedBandCombination-v1530
                                                                                   OPTIONAL,
                                           SupportedBandCombinationAdd-v1530
    supportedBandCombinationAdd-v1530
                                                                                   OPTIONAL,
    supportedBandCombinationReduced-v1530
                                           SupportedBandCombinationReduced-v1530
                                                                                   OPTIONAL,
    powerClass-14dBm-r15
                                           ENUMERATED {supported}
                                                                                   OPTIONAL
}
RF-Parameters-v1570 ::=
                               SEQUENCE {
                                               ENUMERATED {v1, v1dot2, v1dot25},
    dl-1024QAM-ScalingFactor-r15
    dl-1024QAM-TotalWeightedLayers-r15
                                           INTEGER (0..10)
}
SkipSubframeProcessing-r15 ::=
                                   SEQUENCE {
    skipProcessingDL-Slot-r15
                                     INTEGER (0..3)
                                                                       OPTIONAL,
                                       INTEGER (0..3)
    skipProcessingDL-SubSlot-r15
                                                                       OPTIONAL.
                                       INTEGER (0..3)
    skipProcessingUL-Slot-r15
                                                                       OPTIONAL,
    skipProcessingUL-SubSlot-r15
                                      INTEGER (0..3)
                                                                       OPTIONAL
SPT-Parameters-r15 ::=
                                   SEOUENCE {
                                       BIT STRING (SIZE (3))
    frameStructureType-SPT-r15
                                                                       OPTIONAL,
    maxNumberCCs-SPT-r15
                                       INTEGER (1..32)
}
STTI-SPT-BandParameters-r15 ::= SEQUENCE {
                                           ENUMERATED {supported}
    dl-1024QAM-Slot-r15
                                                                          OPTIONAL,
    dl-1024QAM-SubslotTA-1-r15
                                           ENUMERATED {supported}
                                           ENUMERATED [supported]
    dl-10240AM-SubslotTA-2-r15
                                                                           OPTIONAL,
    OPTIONAL,
                                                                          OPTIONAL,
    sTTI-CA-MIMO-ParametersDL-r15 CA-MIMO-ParametersDL-r15
    sTTI-CA-MIMO-ParametersUL-r15
                                           CA-MIMO-ParametersUL-r15,
    sTTI-FD-MIMO-Coexistence
                                           ENUMERATED {supported}
                                                                          OPTIONAL.
    sTTI-MIMO-CA-ParametersPerBoBCs-r15
    sTTI-MIMO-CA-ParametersPerBoBCs-r15 MIMO-CA-ParametersPerBoBC-r13 OPTIONAL, sTTI-MIMO-CA-ParametersPerBoBCs-v1530 MIMO-CA-ParametersPerBoBC-v1430 OPTIONAL,
    sTTI-SupportedCombinations-r15 STTI-SupportedCombinations-r15 OPTIONAL,
    sTTI-SupportedCSI-Proc-r15
                                           ENUMERATED {n1, n3, n4} OPTIONAL,
                                           ENUMERATED {supported}
    111-2560AM-Slot-r15
                                                                          OPTIONAL,
    ul-256QAM-Subslot-r15
                                           ENUMERATED {supported}
                                                                          OPTIONAL,
}
STTI-SupportedCombinations-r15 ::= SEQUENCE {
    combination-22-r15 DL-UL-CCs-r15
                                                                       OPTIONAL,
    combination-77-r15
                                       DL-UL-CCs-r15
                                                                       OPTIONAL.
    combination-27-r15
                                      DL-UL-CCs-r15
                                                                       OPTIONAL,
                                       SEQUENCE (SIZE (1..2)) OF DL-UL-CCs-r15
    combination-22-27-r15
                                                                                   OPTIONAL.
                                                                                   OPTIONAL,
    combination-77-22-r15
                                       SEQUENCE (SIZE (1..2)) OF DL-UL-CCs-r15
    combination-77-27-r15
                                      SEQUENCE (SIZE (1..2)) OF DL-UL-CCs-r15
                                                                                   OPTIONAL
DL-UL-CCs-r15 ::= SEQUENCE {
    maxNumberDL-CCs-r15
                                   INTEGER (1..32)
                                                                       OPTIONAL,
    maxNumberUL-CCs-r15
                                   INTEGER (1..32)
                                                                       OPTIONAL
SupportedBandCombination-r10 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF BandCombinationParameters-
{\tt SupportedBandCombinationExt-r10} \ ::= \ {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxBandComb-r10})) \ {\tt OF}
BandCombinationParametersExt-r10
SupportedBandCombination-v1090 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF
BandCombinationParameters-v1090
SupportedBandCombination-v10i0 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF
BandCombinationParameters-v10i0
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{\tt SupportedBandCombination-v1130} ::= {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxBandComb-r10})) \ {\tt OF}
BandCombinationParameters-v1130
{\tt SupportedBandCombination-v1250} ::= {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxBandComb-r10})) \ {\tt OF}
BandCombinationParameters-v1250
SupportedBandCombination-v1270 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF
BandCombinationParameters-v1270
{\tt SupportedBandCombination-v1320} ::= {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxBandComb-r10})) \ {\tt OF}
BandCombinationParameters-v1320
SupportedBandCombination-v1380 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF
BandCombinationParameters-v1380
SupportedBandCombination-v1390 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF
BandCombinationParameters-v1390
SupportedBandCombination-v1430 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF
BandCombinationParameters-v1430
SupportedBandCombination-v1450 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF
BandCombinationParameters-v1450
SupportedBandCombination-v1470 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF
BandCombinationParameters-v1470
{\tt SupportedBandCombination-v14b0} ::= {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxBandComb-r10})) \ {\tt OF}
BandCombinationParameters-v14b0
SupportedBandCombination-v1530 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF
BandCombinationParameters-v1530
SupportedBandCombinationAdd-r11 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF
BandCombinationParameters-r11
SupportedBandCombinationAdd-v11d0 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF
BandCombinationParameters-v10i0
SupportedBandCombinationAdd-v1250 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF
BandCombinationParameters-v1250
SupportedBandCombinationAdd-v1270 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF
BandCombinationParameters-v1270
SupportedBandCombinationAdd-v1320 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF
BandCombinationParameters-v1320
SupportedBandCombinationAdd-v1380 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF
BandCombinationParameters-v1380
{\tt SupportedBandCombinationAdd-v1390} ::= {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxBandComb-r11})) \ {\tt OF}
BandCombinationParameters-v1390
SupportedBandCombinationAdd-v1430 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF
BandCombinationParameters-v1430
{\tt SupportedBandCombinationAdd-v1450} \; ::= \; {\tt SEQUENCE} \; ({\tt SIZE} \; ({\tt 1..maxBandComb-r11})) \; \; {\tt OF} \; \\
BandCombinationParameters-v1450
SupportedBandCombinationAdd-v1470 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF
BandCombinationParameters-v1470
SupportedBandCombinationAdd-v14b0 ::= SEQUENCE (SIZE (1..maxBandComb-r11)) OF
BandCombinationParameters-v14b0
{\tt SupportedBandCombinationAdd-v1530} \; ::= \; {\tt SEQUENCE} \; ({\tt SIZE} \; ({\tt 1..maxBandComb-r11})) \; \; {\tt OF} \; \\
BandCombinationParameters-v1530
SupportedBandCombinationReduced-r13 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-r13
SupportedBandCombinationReduced-v1320 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v1320
SupportedBandCombinationReduced-v1380 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v1380
```

```
{\tt SupportedBandCombinationReduced-v1390} ::= {\tt SEQUENCE} ({\tt SIZE} (1..maxBandComb-r13)) \ {\tt OF} \\
BandCombinationParameters-v1390
SupportedBandCombinationReduced-v1430 ::=
                                                                                SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v1430
SupportedBandCombinationReduced-v1450 ::=
                                                                               SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v1450
SupportedBandCombinationReduced-v1470 ::=
                                                                               SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v1470
SupportedBandCombinationReduced-v14b0 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v14b0
SupportedBandCombinationReduced-v1530 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF
BandCombinationParameters-v1530
BandCombinationParameters-r10 ::= SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF BandParameters-
r10
BandCombinationParametersExt-r10 ::= SEQUENCE {
                                                                               SupportedBandwidthCombinationSet-r10
       supportedBandwidthCombinationSet-r10
{\tt BandCombinationParameters-v1090} ::= {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxSimultaneousBands-r10})) \ {\tt OF} \ {\tt BandParameters-v1090} ::= {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxSimultaneousBands-r10})) \ {\tt OF} \ {\tt BandParameters-v1090} ::= {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxSimultaneousBands-r10})) \ {\tt OF} \ {\tt BandParameters-v1090} ::= {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxSimultaneousBands-r10})) \ {\tt OF} \ {\tt BandParameters-v1090} ::= {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxSimultaneousBands-r10})) \ {\tt OF} \ {\tt BandParameters-v1090} ::= {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxSimultaneousBands-r10})) \ {\tt OF} \ {\tt BandParameters-v1090} ::= {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxSimultaneousBands-r10})) \ {\tt OF} \ {\tt BandParameters-v1090} ::= {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxSimultaneousBands-r10})) \ {\tt OF} \ {\tt BandParameters-v1090} ::= {\tt SEQUENCE} \ ({\tt SIZE} \ ({\tt 1..maxSimultaneousBands-r10})) \ {\tt OF} \ {\tt BandParameters-v1090} ::= {\tt SEQUENCE} \ ({\tt 1..maxSimultaneousBands-r10}) \ {\tt OF} \ {\tt 1..maxSimultaneousBands-r10} ::= {\tt 1..maxSimultane
BandCombinationParameters-v10i0::= SEQUENCE {
       bandParameterList-v10i0
                                                                 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
                     BandParameters-v10i0
                                                                 OPTIONAL
BandCombinationParameters-v1130 ::= SEQUENCE {
       multipleTimingAdvance-r11 ENUMERATED {supported} simultaneousRx-Tx-r11 ENUMERATED {supported} bandParameterList-r11 SEQUENCE (SIZE (1. max)
                                                                                                                                          OPTIONAL,
                                                                                                                                          OPTIONAL,
       bandParameterList-r11
                                                               SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF BandParameters-
v1130 OPTIONAL,
BandCombinationParameters-r11 ::= SEQUENCE {
       bandParameterList-r11
                                                              SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
                     BandParameters-r11,
       supportedBandwidthCombinationSet-r11
                                                                               SupportedBandwidthCombinationSet-r10
       multipleTimingAdvance-r11 ENUMERATED {supported} simultaneousRx-Tx-r11 ENUMERATED {supported} bandInfoEUTRA-r11 BandInfoEUTRA.
                                                                                                                                         OPTIONAL.
                                                                                                                                         OPTIONAL.
       bandInfoEUTRA-r11
                                                               BandInfoEUTRA,
}
BandCombinationParameters-v1250::= SEQUENCE {
                                             SEQUENCE {
       dc-Support-r12
              asynchronous-r12
                                                                         ENUMERATED {supported}
              supportedCellGrouping-r12
                                                                         CHOICE {
                             threeEntries-r12
                                                                                       BIT STRING (SIZE(3)),
                             fourEntries-r12
                                                                                       BIT STRING (SIZE(7)),
                             fiveEntries-r12
                                                                                       BIT STRING (SIZE(15))
                                                                                                                                   OPTIONAL
                                                                                                                                   OPTIONAL.
       supportedNAICS-2CRS-AP-r12 commSupportedBandsPerBC-r12
                                                             BIT STRING (SIZE (1..maxNAICS-Entries-r12))
                                                                                                                                                         OPTIONAL,
                                                                 BIT STRING (SIZE (1..maxBands))
                                                                                                                                                OPTIONAL,
BandCombinationParameters-v1270 ::= SEQUENCE {
       bandParameterList-v1270 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
                     BandParameters-v1270
                                                                       OPTIONAL
BandCombinationParameters-r13 ::= SEQUENCE {
       {\tt differentFallbackSupported-r13-ENUMERATED}~\{{\tt true}\}
                                                                                                                           OPTIONAL,
                                                                SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF BandParameters-
       bandParameterList-r13
r13,
       supportedBandwidthCombinationSet-r13
                                                                               SupportedBandwidthCombinationSet-r10
                                                                                                                                                         OPTIONAL,
       multipleTimingAdvance-r13 ENUMERATED {supported}
                                                                                                                                  OPTIONAL.
       simultaneousRx-Tx-r13
                                                                 ENUMERATED {supported}
                                                                                                                                  OPTIONAL.
       bandInfoEUTRA-r13
                                                             BandInfoEUTRA,
```

```
asynchronous-r13
        supportedCellGrouping-rl3 CHOICE {
threeEntrice
    dc-Support-r13
                                   SEQUENCE {
                                                                          OPTIONAL,
                                                 BIT STRING (SIZE(3)),
                fourEntries-r13
                                                 BIT STRING (SIZE(7)),
                fiveEntries-r13
                                                 BIT STRING (SIZE(15))
                                                                          OPTIONAL
                                                                          OPTIONAL.
    supportedNaICS-2CRS-AP-r13 BIT STRING (SIZE (1..maxNaICS-Entries-r12)) OPTIONAL, commSupportedBandsPerBC-r13 BIT STRING (SIZE (1..maxBands)) OPTIONAL
}
BandCombinationParameters-v1320 ::= SEQUENCE {
   bandParameterList-v1320 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF BandParameters-v1320 OPTIONAL,
    OPTIONAL
}
BandCombinationParameters-v1380 ::= SEQUENCE {
    bandParameterList-v1380 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
           BandParameters-v1380
                                     OPTIONAL
BandCombinationParameters-v1390 ::= SEQUENCE {
    ue-CA-PowerClass-N-r13 ENUMERATED {class2}
                                                                    OPTIONAL
           ameterList-v1430 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
BandParameters-v1430 OPTIONAL.
BandCombinationParameters-v1430 ::= SEQUENCE {
   bandParameterList-v1430
    v2x-SupportedTxBandCombListPerBC-r14
                                                     BIT STRING (SIZE (1..maxBandComb-r13))
    OPTIONAL,
    v2x-SupportedRxBandCombListPerBC-r14
                                                   BIT STRING (SIZE (1..maxBandComb-r13))
    OPTIONAL
}
BandCombinationParameters-v1450 ::= SEQUENCE {
   bandParameterList-v1450 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
BandParameters-v1450 OPTIONAL
}
BandCombinationParameters-v1470 ::= SEQUENCE {
   bandParameterList-v1470 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF BandParameters-v1470 OPTIONAL,
    srs-MaxSimultaneousCCs-r14 INTEGER (1..31)
                                                             OPTIONAL
}
BandCombinationParameters-v14b0 ::= SEQUENCE {
           rameterList-v14b0 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
BandParameters-v14b0 OPTIONAL
    bandParameterList-v14b0
BandCombinationParameters-v1530 ::= SEQUENCE {
   bandParameterList-v1530 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF BandParameters-v1530 OPTIONAL,
                                    SPT-Parameters-r15
    spt-Parameters-r15
                                                                      OPTIONAL
-- If an additional band combination parameter is defined, which is supported for MR-DC,
-- it shall be defined in the IE CA-ParametersEUTRA in TS 38.331 [82].
SupportedBandwidthCombinationSet-r10 ::=
                                             BIT STRING (SIZE (1..maxBandwidthCombSet-r10))
BandParameters-r10 ::= SEQUENCE {
    bandEUTRA-r10
                                     FreqBandIndicator,
    bandParametersUL-r10
                                                                              OPTIONAL.
                                     BandParametersUL-r10
    bandParametersDL-r10
                                    BandParametersDL-r10
                                                                              OPTIONAL
}
BandParameters-v1090 ::= SEQUENCE {
                                     FreqBandIndicator-v9e0
   bandEUTRA-v1090
                                                                             OPTIONAL,
}
BandParameters-v10i0::= SEQUENCE {
   bandParametersDL-v10i0 SEQUENCE (SIZE (1..maxBandwidthClass-r10)) OF CA-MIMO-ParametersDL-
v10i0
}
```

```
BandParameters-v1130 ::= SEQUENCE {
    supportedCSI-Proc-r11
                                      ENUMERATED {n1, n3, n4}
BandParameters-r11 ::= SEQUENCE {
   bandEUTRA-r11
                                      FreqBandIndicator-r11,
    bandParametersUL-r11
bandParametersDL-r11
                                      BandParametersUL-r10
                                                                                OPTIONAL.
                                     BandParametersDL-r10
                                                                                OPTIONAL,
    supportedCSI-Proc-r11
                                     ENUMERATED {n1, n3, n4}
                                                                                OPTIONAL
BandParameters-v1270 ::= SEQUENCE {
                                      SEQUENCE (SIZE (1..maxBandwidthClass-r10)) OF CA-MIMO-
   bandParametersDL-v1270
ParametersDL-v1270
BandParameters-r13 ::= SEQUENCE {
    bandEUTRA-r13 FreqBandIndicator-r11,
bandParametersUL-r13 BandParametersUL-r13
bandParametersDL-r13 BandParametersDL-r13
supportedCSI-Proc-r13 ENUMERATED {n1, n3, n4}
    bandEUTRA-r13
                                      FreqBandIndicator-r11,
                                                                                OPTIONAL.
                                                                                 OPTIONAL,
                                                                      OPTIONAL
BandParameters-v1320 ::= SEQUENCE {
    bandParametersDL-v1320
                                      MIMO-CA-ParametersPerBoBC-r13
BandParameters-v1380 ::= SEQUENCE {
   txAntennaSwitchDL-r13 IN:
    txAntennaSwitchUL-r13 INTEGER (1..32)
                                                                        OPTIONAL.
                                     INTEGER (1..32)
                                                                        OPTIONAL
BandParameters-v1430 ::= SEQUENCE {
    bandParametersDL-v1430
                                     MIMO-CA-ParametersPerBoBC-v1430 OPTIONAL,
    ul-256QAM-r14
                                       ENUMERATED {supported} OPTIONAL,
    ul-256QAM-perCC-InfoList-r14
                                          SEQUENCE (SIZE (2..maxServCell-r13)) OF UL-256QAM-perCC-
                OPTIONAL,
Info-r14
    srs-CapabilityPerBandPairList-r14 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF SRS-CapabilityPerBandPair-r14 OPTIONAL
BandParameters-v1450 ::= SEQUENCE {
    must-CapabilityPerBand-r14
                                      MUST-Parameters-r14 OPTIONAL
BandParameters-v1470 ::= SEQUENCE {
   bandParametersDL-v1470
                                     MIMO-CA-ParametersPerBoBC-v1470 OPTIONAL
BandParameters-v14b0 ::= SEQUENCE {
    srs-CapabilityPerBandPairList-v14b0 SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF
    SRS-CapabilityPerBandPair-v14b0 OPTIONAL
BandParameters-v1530 ::= SEQUENCE {
                                                     ENUMERATED {supported} OPTIONAL, ENUMERATED {supported} OPTIONAL,
    ue-TxAntennaSelection-SRS-1T4R-r15
    ue-TxAntennaSelection-SRS-2T4R-2Pairs-r15
ue-TxAntennaSelection-SRS-2T4R-3Pairs-r15
                                                     ENUMERATED {supported} OPTIONAL,
                                                      ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
    dl-1024QAM-r15
    qcl-TypeC-Operation-r15
    qcl-CRI-BasedCSI-Reporting-r15
                                                      ENUMERATED {supported} OPTIONAL,
    stti-SPT-BandParameters-r15
                                                       STTI-SPT-BandParameters-r15 OPTIONAL
}
V2X-BandParameters-r14 ::= SEQUENCE {
    v2x-FreqBandEUTRA-r14 FreqBandIndicator-r11,
    bandParametersTxSL-r14
                                      BandParametersTxSL-r14
                                                                            OPTIONAL,
    bandParametersRxSL-r14
                                    BandParametersRxSL-r14
                                                                            OPTIONAL
V2X-BandParameters-v1530 ::= SEQUENCE {
    v2x-EnhancedHighReception-r15
                                              ENUMERATED {supported}
                                                                            OPTIONAL
BandParametersTxSL-r14 ::= SEQUENCE {
   v2x-BandwidthClassTxSL-r14 V2X-BandwidthClassSL-r14,
    v2x-eNB-Scheduled-r14
                                      ENUMERATED {supported}
                                                                             OPTIONAL.
   v2x-HighPower-r14
                          ENUMERATED {supported}
                                                                            OPTIONAL
```

```
}
BandParametersRxSL-r14 ::= SEQUENCE {
   v2x-BandwidthClassRxSL-r14 
v2x-HighReception-r14 
V2X-BandwidthClassSL-r14,
v2x-HighReception-r14 
ENUMERATED {supported}
                                                                    OPTIONAL
}
V2X-BandwidthClassSL-r14 ::= SEQUENCE (SIZE (1..maxBandwidthClass-r10)) OF V2X-BandwidthClass-r14
UL-256QAM-perCC-Info-r14 ::= SEQUENCE {
   ul-256QAM-perCC-r14 ENUMERATED {supported}
                                                                OPTIONAL
FeatureSetDL-r15 ::= SEQUENCE {
                                 MIMO-CA-ParametersPerBoBC-r15
   mimo-CA-ParametersPerBoBC-r15
                                                                         OPTIONAL,
   featureSetPerCC-ListDL-r15 SEQUENCE (SIZE (1..maxServCell-r13)) OF FeatureSetDL-PerCC-Id-r15
FeatureSetDL-v1550 ::= SEQUENCE {
   dl-1024QAM-r15 ENUMERATED {supported} OPTIONAL
FeatureSetDL-PerCC-r15 ::= SEQUENCE {
                                             ENUMERATED {supported} OPTIONAL, MO-CapabilityDL-r10 OPTIONAL, ENUMERATED {n1, n3, n4} OPTIONAL
   fourLayerTM3-TM4-r15
   supportedMIMO-CapabilityDL-MRDC-r15 MIMO-CapabilityDL-r10
    supportedCSI-Proc-r15
FeatureSetUL-r15 ::= SEQUENCE {
    featureSetPerCC-ListUL-r15 SEQUENCE (SIZE(1..maxServCell-r13)) OF FeatureSetUL-PerCC-Id-r15
FeatureSetUL-PerCC-r15 ::= SEQUENCE {
   supportedMIMO-CapabilityUL-r15
                                      MIMO-CapabilityUL-r10
                                      ENUMERATED {supported}
                                                                        OPTIONAL.
   ul-256QAM-r15
                                                                        OPTIONAL
FeatureSetDL-PerCC-Id-r15 ::= INTEGER (0..maxPerCC-FeatureSets-r15)
FeatureSetUL-PerCC-Id-r15 ::= INTEGER (0..maxPerCC-FeatureSets-r15)
BandParametersUL-r10 ::= SEQUENCE (SIZE (1..maxBandwidthClass-r10)) OF CA-MIMO-ParametersUL-r10
BandParametersUL-r13 ::= CA-MIMO-ParametersUL-r10
CA-MIMO-ParametersUL-r10 ::= SEQUENCE {
                                      CA-BandwidthClass-r10,
   ca-BandwidthClassUL-r10
   ca-BandwidthClassUL-r10 CA-BandwidthClass-r10, supportedMIMO-CapabilityUL-r10 MIMO-CapabilityUL-r10
                                                                       OPTIONAL
CA-MIMO-ParametersUL-r15 ::= SEQUENCE {
   supportedMIMO-CapabilityUL-r15
                                      MIMO-CapabilityUL-r10
                                                                        OPTIONAL
BandParametersDL-r10 ::= SEQUENCE (SIZE (1..maxBandwidthClass-r10)) OF CA-MIMO-ParametersDL-r10
BandParametersDL-r13 ::= CA-MIMO-ParametersDL-r13
CA-MIMO-ParametersDL-r10 ::= SEQUENCE {
   ca-BandwidthClassDL-r10 CA-BandwidthClass-r10, supportedMIMO-CapabilityDL-r10 MIMO-CapabilityDL-r10
                                      CA-BandwidthClass-r10.
                                                                        OPTIONAL
CA-MIMO-ParametersDL-v10i0 ::= SEQUENCE {
   fourLayerTM3-TM4-r10
                                      ENUMERATED {supported}
                                                             OPTIONAL
IntraBandContiguousCC-Info-r12
OPTIONAL,
   intraBandContiguousCC-InfoList-rl3 SEQUENCE (SIZE (1..maxServCell-rl3)) OF raBandContiguousCC-Info-rl2
IntraBandContiguousCC-Info-r12
```

```
}
CA-MIMO-ParametersDL-r15 ::= SEQUENCE {
                                            MIMO-CapabilityDL-r10
    supportedMIMO-CapabilityDL-r15
                                                                                        OPTIONAL,
    fourLayerTM3-TM4-r15
                                                ENUMERATED {supported}
                                                                                        OPTIONAL,
    intraBandContiguousCC-InfoList-r15 SEQUENCE (SIZE (1..maxServCell-r13)) OF IntraBandContiguousCC-Info-r12 OPTIONAL
}
IntraBandContiguousCC-Info-r12 ::= SEQUENCE {
   fourLayerTM3-TM4-perCC-r12 ENUMERATED {supported}
supportedMIMO-CapabilityDL-r12 MIMO-CapabilityDL-r10
                                                                                  OPTIONAL,
                                                                                   OPTIONAL,
                                           ENUMERATED {n1, n3, n4}
    supportedCSI-Proc-r12
                                                                                   OPTIONAL
CA-BandwidthClass-r10 ::= ENUMERATED {a, b, c, d, e, f, ...}
V2X-BandwidthClass-r14 ::= ENUMERATED {a, b, c, d, e, f, ..., c1-v1530}
MIMO-CapabilityUL-r10 ::= ENUMERATED {twoLayers, fourLayers}
MIMO-CapabilityDL-r10 ::= ENUMERATED {twoLayers, fourLayers, eightLayers}
MUST-Parameters-r14 ::= SEQUENCE {
   must-TM234-UpTo2Tx-r14
                                                    ENUMERATED {supported}
                                                                                   OPTIONAL.
   must-TM89-UpToOneInterferingLayer-r14 ENUMERATED {supported}
must-TM10-UpToOneInterferingLayer-r14 ENUMERATED {supported}
must-TM89-UpToThreeInterferingLayers-r14 ENUMERATED {supported}
must-TM10-UpToThreeInterferingLayers-r14 ENUMERATED {supported}
                                                                                   OPTIONAL,
                                                                                  OPTIONAL,
                                                                                   OPTIONAL,
                                                                                  OPTIONAL
                                      SEQUENCE (SIZE (1..maxBands)) OF SupportedBandEUTRA
SupportedBandListEUTRA ::=
                                           SEQUENCE (SIZE (1..maxBands)) OF SupportedBandEUTRA-v9e0
SupportedBandListEUTRA-v9e0::=
SupportedBandListEUTRA-v1250 ::=
                                           SEQUENCE (SIZE (1..maxBands)) OF SupportedBandEUTRA-v1250
SupportedBandListEUTRA-v1310 ::=
                                          SEQUENCE (SIZE (1..maxBands)) OF SupportedBandEUTRA-v1310
SupportedBandListEUTRA-v1320 ::=
                                          SEQUENCE (SIZE (1..maxBands)) OF SupportedBandEUTRA-v1320
SupportedBandEUTRA ::=
                                      SEQUENCE {
    bandEUTRA
                                            FreqBandIndicator,
    halfDuplex
                                            BOOLEAN
}
SupportedBandEUTRA-v9e0 ::= SEQUENCE {
    bandEUTRA-v9e0
                                            FreqBandIndicator-v9e0
                                                                          OPTIONAL
SupportedBandEUTRA-v1250 ::=
                                       SEOUENCE {
                                           ENUMERATED {supported}
    dl-2560AM-r12
                                                                          OPTIONAL,
    ul-64QAM-r12
                                            ENUMERATED {supported}
                                                                           OPTIONAL
SupportedBandEUTRA-v1310 ::= SEQUENCE {
    ENUMERATED {supported} OPTIONAL
SupportedBandEUTRA-v1320 ::= SEQUENCE {
intraFreq-CE-NeedForGaps-r13
ue-PowerGlags N. 112
                                                    ENUMERATED {supported}
                                                                                            OPTIONAL.
                               ENUMERATED {class1, class2, class4} OPTIONAL
    ue-PowerClass-N-r13
MeasParameters ::=
                                       SEOUENCE {
    bandListEUTRA
                                           BandListEUTRA
MeasParameters-v1020 ::=
                                      SEQUENCE {
    bandCombinationListEUTRA-r10
                                                BandCombinationListEUTRA-r10
MeasParameters-v1130 ::=
                                       SEQUENCE {
   rsrqMeasWideband-r11
                                       ENUMERATED {supported}
                                                                                  OPTIONAL
MeasParameters-v11a0 ::=
                                     SEQUENCE {
                                        ENUMERATED {true}
    benefitsFromInterruption-r11
                                                                                    OPTIONAL
```

```
| supported | OPTIONAL, | Supported | OPTIONAL, | Supported | OPTIONAL, | Supported | OPTIONAL, | ENUMERATED | Supported | OPTIONAL, | ENUMERATED | Supported | OPTIONAL, | OPTIONAL, | Supported | OPTIONAL, | OP
MeasParameters-v1250 ::= SEQUENCE {
}
MeasParameters-v1310 ::= SEQUENCE {
    rs-SINR-Meas-r13 EN
                                                                                                                                                    OPTIONAL,
                                                                                                                                                 OPTIONAL,
                                                                                                                                                 OPTIONAL,
                                                                                                                                                OPTIONAL,
        extendedFreqPriorities-r13 ENUMERATED {supported}
multiBandInfoReport-r13
                                                                                          ENUMERATED {supported}
                                                                                                                                                   OPTIONAL,
        multiBandInfoReport-r13
        rssi-AndChannelOccupancyReporting-r13 ENUMERATED {supported}
                                                                                                                                                    OPTIONAL
}
OPTIONAL,
                                                                                                                                                 OPTIONAL,
                                                                                                                                                                    OPTIONAL,
                                                                                                                                                                     OPTIONAL,
                                                                                                                                                                    OPTIONAL
}
MeasParameters-v1520 ::= SEQUENCE {
  measGapPatterns-r15 BIT STRING (SIZE (8)) OPTIONAL
OPTIONAL,
                                                                                          ENUMERATED {supported}
ENUMERATED {supported}
        heightMeas-r15
        multipleCellsMeasExtension-r15
                                                                                                                                                           OPTIONAL
BandListEUTRA ::=
                                                                        SEQUENCE (SIZE (1..maxBands)) OF BandInfoEUTRA
BandCombinationListEUTRA-r10 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF BandInfoEUTRA
BandInfoEUTRA ::=
      ndInfoEUTRA ::=
interFreqBandList
interRAT-BandList
                                                                        SEQUENCE {
                                                                          InterFreqBandList,
        interRAT-BandList
                                                                                  InterRAT-BandList
                                                                                                                                   OPTIONAL
InterFreqBandList ::=
                                                    SEQUENCE (SIZE (1..maxBands)) OF InterFreqBandInfo
        interFreqNeedForGaps
                                                                       SEQUENCE {
InterFreqBandInfo ::=
                                                                                 BOOLEAN
InterRAT-BandList ::=
                                                                        SEQUENCE (SIZE (1..maxBands)) OF InterRAT-BandInfo
InterRAT-BandInfo ::=
                                                                          SEQUENCE {
      interRAT-NeedForGaps
                                                                               BOOLEAN
ENUMERATED {supported}
                                                                                                                                                                             OPTIONAL,
                                                                                                                                                                OPTIONAL,
        eventB2-r15
                                                                         ENUMERATED {supported}
        supportedBandListEN-DC-r15 SupportedBandListNR-r15
                                                                                                                                                                    OPTIONAL
}
IRAT-ParametersNR-v1540 ::=
                                                                 SEQUENCE {
      eutra-5GC-HO-TONR-FDD-FR1-r15 ENUMERATED {supported}
                                                                                                                                                           OPTIONAL,
        eutra-5GC-HO-TONR-TDD-FR1-r15 ENUMERATED {supported} eutra-5GC-HO-TONR-FDD-FR2-r15 ENUMERATED {supported} eutra-5GC-HO-TONR-TDD-FR2-r15 ENUMERATED {supported} eutra-EPC-HO-TONR-FDD-FR1-r15 ENUMERATED {supported} eutra-EPC-HO-TONR-TDD-FR1-r15 ENUMERATED {supported} eutra-EPC-HO-TONR-TDD-FR1-r15 ENUMERATED {supported}
                                                                                                                                                           OPTIONAL,
                                                                                                                                                            OPTIONAL,
                                                                                                                                                           OPTIONAL,
                                                                                                                                                             OPTIONAL.
                                                                                                                                                    OPTIONAL,
```

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eutra-EPC-HO-ToNR-FDD-FR2-r15 ENUMERATED {supported} eutra-EPC-HO-ToNR-TDD-FR2-r15 ENUMERATED {supported} ims-VoiceOverNR-FR1-r15 ENUMERATED {supported} ims-VoiceOverNR-FR2-r15 ENUMERATED {supported}
                                                                                  OPTIONAL,
                                                                                  OPTIONAL,
                                                                                 OPTIONAL,
                                                                                OPTIONAL,
    sa-NR-r15
                                               ENUMERATED {supported}
                                                                                      OPTIONAL,
    supportedBandListNR-SA-r15
                                         SupportedBandListNR-r15
IRAT-ParametersNR-v1560 ::= SEQUENCE {
    ng-EN-DC-r15
                                               ENUMERATED {supported}
                                                                                      OPTIONAL
IRAT-ParametersNR-v1570 ::= SEQUENCE {
    ss-SINR-Meas-NR-FR1-r15 EN
                                           E {
ENUMERATED {supported}

Supported}
                                                                                OPTIONAL,
    ss-SINR-Meas-NR-FR2-r15
}
EUTRA-5GC-Parameters-r15 ::= SEQUENCE {
                                                   ENUMERATED {supported}
   eutra-5GC-r15
                                                                                     OPTIONAL.
    eutra-EPC-HO-EUTRA-5GC-r15
                                               ENUMERATED {supported}
ENUMERATED {supported}
                                                                                  OPTIONAL,
                                                                                 OPTIONAL,
    ho-EUTRA-5GC-FDD-TDD-r15
    ho-EUTRA-5GC-FDD-1DD-T15
ho-InterfreqEUTRA-5GC-r15
ims-VoiceOverMCG-BearerEUTRA-5GC-r15
inactiveState-r15
reflectiveQoS-r15
ENUMERATED {supported}
ENUMERATED {supported}
ENUMERATED {supported}
    ho-InterfreqEUTRA-5GC-r15
                                                                                  OPTIONAL,
                                                                                  OPTIONAL,
                                                                                      OPTIONAL
}
PDCP-ParametersNR-r15 ::= SEQUENCE {
                                        ROHC-ProfileSupportList-r15,
   rohc-Profiles-r15
    rohc-ContextMaxSessions-r15
                                           ENUMERATED {
                                               cs2, cs4, cs8, cs12, cs16, cs24, cs32,
                                               cs48, cs64, cs128, cs256, cs512, cs1024,
                                               cs16384, spare2, spare1}
                                                                                     DEFAULT cs16,
    rohc-ProfilesUL-Only-r15
                                               SEQUENCE {
       profile0x0006-r15
                                                   BOOLEAN
    rohc-ContextContinue-r15
outOfOrderDelivery-r15
                                        ENUMERATED {supported}
                                                                                 OPTIONAL,
                                                                                 OPTIONAL,
                                          ENUMERATED {supported}
    sn-SizeLo-r15
                                          ENUMERATED {supported}
                                                                                 OPTIONAL,
    ims-VoiceOverNR-PDCP-MCG-Bearer-r15 ENUMERATED {supported}
                                                                                 OPTIONAL,
    ims-VoiceOverNR-PDCP-SCG-Bearer-r15 ENUMERATED {supported}
                                                                                 OPTIONAL
}
PDCP-ParametersNR-v1560 ::= SEQUENCE {
    ims-VoNR-PDCP-SCG-NGENDC-r15
                                               ENUMERATED {supported}
                                                                                     OPTIONAL
}
ROHC-ProfileSupportList-r15 ::= SEQUENCE {
    profileOx0001-r15
                                           BOOLEAN,
    profile0x0002-r15
                                          BOOLEAN,
    profile0x0003-r15
                                           BOOLEAN,
    profile0x0004-r15
                                           BOOLEAN.
    profile0x0006-r15
                                           BOOLEAN,
    profile0x0101-r15
                                           BOOLEAN.
    profile0x0102-r15
                                          BOOLEAN,
    profile0x0103-r15
                                           BOOLEAN.
    profile0x0104-r15
                                           BOOLEAN
}
                                 SEQUENCE (SIZE (1..maxBandsNR-r15)) OF SupportedBandNR-r15
SupportedBandListNR-r15 ::=
SupportedBandNR-r15 ::=
                                  SEQUENCE {
   bandNR-r15
                                         FreqBandIndicatorNR-r15
IRAT-ParametersUTRA-FDD ::=
                                 SEQUENCE {
                                 SupportedBandListUTRA-FDD
   supportedBandListUTRA-FDD
IRAT-ParametersUTRA-v920 ::=
                                       SEQUENCE {
    e-RedirectionUTRA-r9
                                          ENUMERATED {supported}
IRAT-ParametersUTRA-v9c0 ::=
                                   SEQUENCE {
    voiceOverPS-HS-UTRA-FDD-r9
                                                        ENUMERATED {supported}
                                                                                      OPTIONAL,
                                                       ENUMERATED {supported}
                                                                                      OPTIONAL,
    voiceOverPS-HS-UTRA-TDD128-r9
                                                        ENUMERATED (supported)
    srvcc-FromUTRA-FDD-ToUTRA-FDD-r9
                                                                                      OPTIONAL,
                                                    ENUMERATED {supported}
    srvcc-FromUTRA-FDD-ToGERAN-r9
                                                                                   OPTIONAL,
```

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srvcc-FromUTRA-TDD128-ToUTRA-TDD128-r9
                                                  ENUMERATED {supported}
                                                                              OPTIONAL,
                                                   ENUMERATED (supported)
   srvcc-FromUTRA-TDD128-ToGERAN-r9
                                                                               OPTIONAL
}
IRAT-ParametersUTRA-v9h0 ::=
                                   SEQUENCE {
  mfbi-UTRA-r9
                                      ENUMERATED {supported}
SupportedBandListUTRA-FDD ::=
                                   SEQUENCE (SIZE (1..maxBands)) OF SupportedBandUTRA-FDD
SupportedBandUTRA-FDD ::=
                                   ENUMERATED {
                                       bandI, bandII, bandIV, bandV, bandVI,
                                       bandVII, bandVIII, bandIX, bandX, bandXI,
                                       bandXII, bandXIII, bandXIV, bandXV, bandXVI, ...,
                                       bandXVII-8a0, bandXVIII-8a0, bandXIX-8a0, bandXX-8a0,
                                       bandXXI-8a0, bandXXII-8a0, bandXXIV-8a0, bandXXIV-8a0,
                                       bandXXV-8a0, bandXXVI-8a0, bandXXVII-8a0, bandXXVIII-8a0,
                                       bandXXIX-8a0, bandXXX-8a0, bandXXXI-8a0, bandXXXII-8a0}
IRAT-ParametersUTRA-TDD128 ::=
                                   SEOUENCE {
                                      SupportedBandListUTRA-TDD128
    supportedBandListUTRA-TDD128
SupportedBandListUTRA-TDD128 ::=
                                   SEQUENCE (SIZE (1..maxBands)) OF SupportedBandUTRA-TDD128
SupportedBandUTRA-TDD128 ::=
                                   ENUMERATED {
                                       a, b, c, d, e, f, g, h, i, j, k, l, m, n,
                                       o, p, ...}
IRAT-ParametersUTRA-TDD384 ::=
                                   SEOUENCE {
                                       SupportedBandListUTRA-TDD384
   supportedBandListUTRA-TDD384
SupportedBandListUTRA-TDD384 ::=
                                   SEQUENCE (SIZE (1..maxBands)) OF SupportedBandUTRA-TDD384
SupportedBandUTRA-TDD384 ::=
                                   ENUMERATED {
                                           a, b, c, d, e, f, g, h, i, j, k, l, m, n,
                                           o, p, ...}
IRAT-ParametersUTRA-TDD768 ::=
                                   SEQUENCE {
   supportedBandListUTRA-TDD768
                                       SupportedBandListUTRA-TDD768
SupportedBandListUTRA-TDD768 ::=
                                   SEQUENCE (SIZE (1..maxBands)) OF SupportedBandUTRA-TDD768
SupportedBandUTRA-TDD768 ::=
                                   ENUMERATED {
                                       a, b, c, d, e, f, g, h, i, j, k, l, m, n,
IRAT-ParametersUTRA-TDD-v1020 ::=
                                       SEQUENCE {
                                           ENUMERATED {supported}
   e-RedirectionUTRA-TDD-r10
IRAT-ParametersGERAN ::=
                                   SEQUENCE {
   supportedBandListGERAN
                                    SupportedBandListGERAN,
                                       BOOLEAN
   interRAT-PS-HO-ToGERAN
IRAT-ParametersGERAN-v920 ::=
                                   SEQUENCE {
                                       ENUMERATED {supported}
                                                                     OPTIONAL.
   dtm-r9
    e-RedirectionGERAN-r9
                                       ENUMERATED {supported}
                                                                      OPTIONAL
SupportedBandListGERAN ::=
                                   SEQUENCE (SIZE (1..maxBands)) OF SupportedBandGERAN
                                   ENUMERATED {
SupportedBandGERAN ::=
                                       gsm450, gsm480, gsm710, gsm750, gsm810, gsm850,
                                       gsm900P, gsm900E, gsm900R, gsm1800, gsm1900,
                                       spare5, spare4, spare3, spare2, spare1, ...}
IRAT-ParametersCDMA2000-HRPD ::=
                                   SEOUENCE {
   supportedBandListHRPD
                                       SupportedBandListHRPD,
                                       ENUMERATED {single, dual},
    tx-ConfigHRPD
   rx-ConfigHRPD
                                       ENUMERATED {single, dual}
SupportedBandListHRPD ::=
                                   SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandclassCDMA2000
```

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IRAT-ParametersCDMA2000-1XRTT ::= SEQUENCE {
    supportedBandList1XRTT
                                            SupportedBandList1XRTT,
    tx-Config1XRTT
                                             ENUMERATED {single, dual},
    rx-Config1XRTT
                                             ENUMERATED {single, dual}
IRAT-ParametersCDMA2000-1XRTT-v920 ::= SEQUENCE {
                                             ENUMERATED {supported},
    e-CSFB-1XRTT-r9
    e-CSFB-1XRTT-r9 ENUMERATED {supported}, e-CSFB-ConcPS-MoblXRTT-r9 ENUMERATED {supported}
                                                                               OPTIONAL
IRAT-ParametersCDMA2000-1XRTT-v1020 ::= SEQUENCE {
                                             ENUMERATED {supported}
    e-CSFB-dual-1XRTT-r10
IRAT-ParametersCDMA2000-v1130 ::= SEQUENCE {
                                                 ENUMERATED {supported} OPTIONAL
    cdma2000-NW-Sharing-r11
SupportedBandList1XRTT ::=
                                      SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandclassCDMA2000
IRAT-ParametersWLAN-r13 ::= SEQUENCE {
    supportedBandListWLAN-r13 SEQUENCE (SIZE (1..maxWLAN-Bands-r13)) OF WLAN-BandIndicator-r13
}
CSG-ProximityIndicationParameters-r9 ::= SEQUENCE {
    intraFreqProximityIndication-r9 ENUMERATED {supported} utran-ProximityIndication-r9 ENUMERATED {supported} utran-ProximityIndication-r9 ENUMERATED {supported}
                                                                             OPTIONAL,
}
NeighCellSI-AcquisitionParameters-r9 ::= SEQUENCE {
    intraFreqSI-AcquisitionForHO-r9 ENUMERATED {supported} OPTIONAL, interFreqSI-AcquisitionForHO-r9 ENUMERATED {supported} OPTIONAL, utran-SI-AcquisitionForHO-r9 ENUMERATED {supported} OPTIONAL
}
{\tt NeighCellSI-AcquisitionParameters-v1530} \ ::= \ {\tt SEQUENCE} \ \big\{
   reportCGI-NR-EN-DC-r15
                                                 ENUMERATED {supported}
ENUMERATED {supported}
                                                                                   OPTIONAL,
    reportCGI-NR-NoEN-DC-r15
                                                                                     OPTIONAL
}
NeighCellSI-AcquisitionParameters-v1550 ::= SEQUENCE {
   eutra-CGI-Reporting-ENDC-r15 ENUMERATED {supported} OPTIONAL, utra-GERAN-CGI-Reporting-ENDC-r15 ENUMERATED {supported} OPTIONAL
}
NeighCellSI-AcquisitionParameters-v15a0 ::= SEQUENCE {
                                                     ENUMERATED {supported} OPTIONAL
   eutra-CGI-Reporting-NEDC-r15
}
SON-Parameters-r9 ::=
                                        SEQUENCE {
                                            ENUMERATED {supported} OPTIONAL
   rach-Report-r9
}
UE-BasedNetwPerfMeasParameters-r10 ::= SEQUENCE {
   loggedMeasurementsIdle-r10 ENUMERATED {supported} OPTIONAL, standaloneGNSS-Location-r10 ENUMERATED {supported} OPTIONAL
}
UE-BasedNetwPerfMeasParameters-v1430 ::= SEQUENCE {
                                                 ENUMERATED {supported}
    locationReport-r14
UE-BasedNetwPerfMeasParameters-v1530 ::= SEQUENCE {
                                                 ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
    loggedMeasBT-r15
                                                 ENUMERATED {supported}
ENUMERATED {supported}
    loggedMeasWLAN-r15
    immMeasBT-r15
                                                                                OPTIONAL,
                                                 ENUMERATED {supported}
    immMeasWLAN-r15
                                                                               OPTIONAL
OTDOA-PositioningCapabilities-r10 ::= SEQUENCE {
   otdoa-UE-Assisted-r10
                                 	ext{ENUMERATED } \{ 	ext{supported} \},
```

```
interFreqRSTD-Measurement-r10 ENUMERATED {supported} OPTIONAL
Other-Parameters-r11 ::= inDeviceCoexInd-r11
                                                    SEQUENCE {
                                                         ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL
     powerPrefInd-r11
     ue-Rx-TxTimeDiffMeasurements-r11
}
    ner-Parameters-v11d0 ::= SEQUENCE {
inDeviceCoexInd-UL-CA-r11 ENUMER
Other-Parameters-v11d0 ::=
                                                      ENUMERATED {supported}
                                                                                               OPTIONAL
Other-Parameters-v1360 ::= SEQUENCE {
    inDeviceCoexInd-HardwareSharingInd-r13 ENUMERATED {supported}
                                                                                                     OPTIONAL
Other-Parameters-v1430 ::= SEQUENCE {
bwPrefInd-r14 ENUMERATED {supported} OPTIONAL,
rlm-ReportSupport-r14 ENUMERATED {supported} OPTIONAL
}
OtherParameters-v1450 ::= SEQUENCE {
                                      ENUMERATED {supported} OPTIONAL
    overheatingInd-r14
}
Other-Parameters-v1460 ::= SEQUENCE {
    nonCSG-SI-Reporting-r14 ENUMERATED {supported} OPTIONAL
Other-Parameters-v1530 ::= SEQUENCE {
  assistInfoBitForLC-r15 ENUMERATED {supported} OPTIONAL,
  timeReferenceProvision-r15 ENUMERATED {supported} OPTIONAL,
  flightPathPlan-r15 ENUMERATED {supported} OPTIONAL
    ner-Parameters-v1540 ::= SEQUENCE {
inDeviceCoexInd-ENDC-r15 ENUMERATED {supported} OPTIONAL
Other-Parameters-v1540 ::=
MBMS-Parameters-r11 ::=
                                                SEQUENCE {
                                                      ENUMERATED {supported} OPTIONAL, ENUMERATED {supported} OPTIONAL
    mbms-SCell-r11
     mbms-NonServingCell-r11
MBMS-Parameters-v1250 ::=
                                                    SEQUENCE {
                                                         ENUMERATED {supported}
                                                                                               OPTIONAL
    mbms-AsyncDC-r12
    IS-Parameters-v1430 ::=
fembmsDedicatedCell-r14
MBMS-Parameters-v1430 ::=
                                                    SEQUENCE {
     fembmsDedicatedCell-r14 ENUMERATED {supported} OPTIONAL, fembmsMixedCell-r14 ENUMERATED {supported} OPTIONAL, subcarrierSpacingMBMS-khz7dot5-r14 ENUMERATED {supported} OPTIONAL, subcarrierSpacingMBMS-khz1dot25-r14 ENUMERATED {supported} OPTIONAL
}
MBMS-Parameters-v1470 ::= SEQUENCE {
    mbms-MaxBW-r14 CHOICE
    implicitValue NU:
    explicitValue IN
                                          CHOICE {
                                                  NULL,
INTEGER(2..20)
     mbms-ScalingFactor1dot25-r14 ENUMERATED {n3, n6, n9, n12} OPTIONAL ENUMERATED {n1, n2, n3, n4}
                                                   ENUMERATED {n3, n6, n9, n12} OPTIONAL,
FeMBMS-Unicast-Parameters-r14 ::= SEQUENCE {
    unicast-fembmsMixedSCell-r14 ENUMER.
    emptyUnicastRegion-r14 ENUMER.
                                                     ENUMERATED {supported} OPTIONAL, ENUMERATED {supported} OPTIONAL
     emptyUnicastRegion-r14
SCPTM-Parameters-r13 ::=
    TM-Parameters-r13 ::=
scptm-ParallelReception-r13
                                                    SEQUENCE {
                                                     ENUMERATED {supported}
                                                                                                      OPTIONAL,
                                                                ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL
     scptm-SCell-r13
     scptm-NonServingCell-r13
     scptm-AsyncDC-r13
CE-Parameters-r13 ::= SEQUENCE {
```

```
ENUMERATED {supported}
    ce-ModeA-r13
                                                                                       OPTIONAL,
    ce-ModeB-r13
                                              ENUMERATED {supported}
                                                                                       OPTIONAL
}
CE-Parameters-v1320 ::= SEQUENCE {
   intraFreqA3-CE-ModeA-r13
                                                  ENUMERATED {supported}
                                                                                           OPTIONAL,
                                                 ENUMERATED {supported}
ENUMERATED {supported}
    intraFregA3-CE-ModeB-r13
                                                                                           OPTIONAL,
                                                                                           OPTIONAL,
    intraFreqHO-CE-ModeA-r13
    intraFreqHO-CE-ModeB-r13
                                                  ENUMERATED {supported}
                                                                                           OPTIONAL
CE-Parameters-v1350 ::= SEQUENCE {
                                                  ENUMERATED {supported}
    unicastFrequencyHopping-r13
                                                                                          OPTIONAL
CE-Parameters-v1370 ::= SEQUENCE {
                                                                                     OPTIONAL,
                                                  ENUMERATED {supported}
    tm9-CE-ModeA-r13
    tm9-CE-ModeB-r13
                                                  ENUMERATED {supported}
                                                                                      OPTIONAL
}
CE-Parameters-v1380 ::= SEQUENCE {
                                                  ENUMERATED {supported}
    tm6-CE-ModeA-r13
                                                                                     OPTIONAL
CE-Parameters-v1430 ::=
                              SEQUENCE {
                                                  ENUMERATED {supported}
    ce-SwitchWithoutHO-r14
                                                                                           OPTIONAL
LAA-Parameters-r13 ::=
                                        SEQUENCE {
                                                                                  OPTIONAL,
    crossCarrierSchedulingLAA-DL-r13
                                                      ENUMERATED {supported}
    csi-RS-DRS-RRM-MeasurementsLAA-r13
                                                       ENUMERATED {supported}
                                                                                    OPTIONAL,
OPTIONAL,
OPTIONAL,
                                                      ENUMERATED (supported)
    downlinkLAA-r13
                                                      ENUMERATED {supported}
ENUMERATED {supported}
    endingDwPTS-r13
    secondSlotStartingPosition-r13
                                                       ENUMERATED {supported}
ENUMERATED {supported}
                                                                                      OPTIONAL,
    tm9-TAA-r13
    tm10-LAA-r13
                                                                                       OPTIONAL
}
                                             ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
ENUMERATED {nplus1
LAA-Parameters-v1430 ::=
                                             SEQUENCE {
    crossCarrierSchedulingLAA-UL-r14
    uplinkLAA-r14
                                                ENUMERATED {supported} OFFICIAL,
ENUMERATED {nPlus1, nPlus2, nPlus3} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
OPTIONAL,
    twoStepSchedulingTimingInfo-r14
    uss-BlindDecodingAdjustment-r14
    uss-BlindDecodingReduction-r14
outOfSequenceGrantHandling-r14
                                                     ENUMERATED {supported} OPTIONAL
}
LAA-Parameters-v1530 ::=
                                           SEQUENCE {
                                                      ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL,
ENUMERATED {supported} OPTIONAL
    aul-r15
    laa-PUSCH-Model-r15
    laa-PUSCH-Mode2-r15
    laa-PUSCH-Mode3-r15
WLAN-IW-Parameters-r12 ::= SEQUENCE {
    wlan-IW-RAN-Rules-r12
                                                 ENUMERATED {supported} OPTIONAL,
    wlan-IW-ANDSF-Policies-r12
                                                   ENUMERATED {supported} OPTIONAL
}
LWA-Parameters-v1430 ::= SEQUENCE {
    lwa-HO-WithoutWT-Change-r14 ENUMERATED {supported}
lwa-UL-r14 ENUMERATED {supported}
wlan-PeriodicMeas-r14 ENUMERATED {supported}
wlan-ReportAnyWLAN-r14 ENUMERATED {supported}
wlan-SupportedDataRate-r14 INTEGER (1..2048)
                                                                             OPTIONAL,
                                                                             OPTIONAL,
                                                                             OPTIONAL,
                                                                             OPTIONAL,
                                                                              OPTIONAL
}
LWA-Parameters-v1440 ::=
                                   SEQUENCE {
                                             ENUMERATED {supported} OPTIONAL
    lwa-RLC-UM-r14
```

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WLAN-IW-Parameters-v1310 ::= SEQUENCE {
                                                    ENUMERATED {supported}
    rclwi-r13
                                                                                 OPTIONAL
}
LWIP-Parameters-r13 ::=
                            SEQUENCE {
   lwip-r13
                               ENUMERATED {supported}
                                                                    OPTIONAL
                                SEQUENCE {
LWIP-Parameters-v1430 ::=
    lwip-Aggregation-DL-r14
                                            ENUMERATED {supported}
                                                                                 OPTIONAL,
    lwip-Aggregation-UL-r14
                                            ENUMERATED {supported}
                                                                                OPTIONAL
NAICS-Capability-List-r12 ::= SEQUENCE (SIZE (1..maxNAICS-Entries-r12)) OF NAICS-Capability-Entry-
NAICS-Capability-Entry-r12 ::= SEQUENCE {
   numberOfNAICS-CapableCC-r12
                                            INTEGER(1..5),
    numberOfAggregatedPRB-r12
                                            ENUMERATED {
                                                n50, n75, n100, n125, n150, n175,
                                                n200, n225, n250, n275, n300, n350,
                                                n400, n450, n500, spare},
}
SL-Parameters-r12 ::=
                                    SEQUENCE {
                                            ENUMERATED {supported}
    commSimultaneousTx-r12
                                                                        OPTIONAL,
                                            FreqBandIndicatorListEUTRA-r12 OPTIONAL,
    commSupportedBands-r12
    discSupportedBands-r12
                                            SupportedBandInfoList-r12 OPTIONAL,
    discScheduledResourceAlloc-r12
                                            ENUMERATED {supported}
                                                                        OPTIONAL.
                                            ENUMERATED {supported}
    disc-UE-SelectedResourceAlloc-r12
                                                                        OPTIONAL,
    disc-SLSS-r12
                                            ENUMERATED {supported}
                                                                        OPTIONAL,
                                            ENUMERATED {n50, n400}
    discSupportedProc-r12
                                                                        OPTIONAL
}
SL-Parameters-v1310 ::=
                                    SEQUENCE {
    discSysInfoReporting-r13
                                                ENUMERATED {supported}
                                                                            OPTIONAL,
    commMultipleTx-r13
                                                ENUMERATED {supported}
                                                                            OPTIONAL,
                                                                            OPTIONAL,
    discInterFreqTx-r13
                                                ENUMERATED {supported}
    discPeriodicSLSS-r13
                                                ENUMERATED {supported}
                                                                            OPTIONAL
}
SL-Parameters-v1430 ::=
                                    SEQUENCE {
    zoneBasedPoolSelection-r14
                                            ENUMERATED {supported}
                                                                                 OPTIONAL,
    ue-AutonomousWithFullSensing-r14
                                            ENUMERATED {supported}
                                                                                 OPTIONAL,
                                            ENUMERATED {supported}
                                                                                OPTIONAL,
    ue-AutonomousWithPartialSensing-r14
    sl-CongestionControl-r14
                                            ENUMERATED {supported}
                                                                                 OPTIONAL,
    v2x-TxWithShortResvInterval-r14
                                            ENUMERATED {supported}
                                                                                 OPTIONAL,
    v2x-numberTxRxTiming-r14
                                            INTEGER(1..16)
                                                                                OPTIONAL,
                                            ENUMERATED {supported}
    v2x-nonAdjacentPSCCH-PSSCH-r14
                                                                                OPTIONAL,
                                            ENUMERATED {supported}
                                                                                 OPTIONAL,
    slss-TxRx-r14
    v2x-SupportedBandCombinationList-r14
                                            V2X-SupportedBandCombination-r14
                                                                                OPTIONAL
SL-Parameters-v1530 ::=
                                    SEQUENCE {
    slss-SupportedTxFreq-r15
                                            ENUMERATED {single, multiple}
                                                                                OPTIONAL,
                                            ENUMERATED {supported}
    sl-640AM-Tx-r15
    sl-TxDiversity-r15
                                            ENUMERATED {supported}
                                                                                 OPTIONAL.
    ue-CategorySL-r15
                                            UE-CategorySL-r15
                                                                                 OPTIONAL.
    v2x-SupportedBandCombinationList-v1530 V2X-SupportedBandCombination-v1530 OPTIONAL
                                    SEQUENCE {
SL-Parameters-v1540 ::=
                                            ENUMERATED {supported}
                                                                                OPTIONAL,
    sl-640AM-Rx-r15
    sl-RateMatchingTBSScaling-r15
                                            ENUMERATED {supported}
                                                                                OPTIONAL,
                                            ENUMERATED
                                                                                 OPTIONAL,
    sl-LowT2min-r15
                                                        {supported}
    v2x-SensingReportingMode3-r15
                                            ENUMERATED {supported}
                                                                                OPTIONAL
UE-CategorySL-r15 ::=
                                SEOUENCE {
    ue-CategorySL-C-TX-r15
                                        INTEGER (1..5),
    ue-CategorySL-C-RX-r15
                                        INTEGER(1..4)
V2X-SupportedBandCombination-r14 ::=
                                            SEQUENCE (SIZE (1..maxBandComb-r13)) OF V2X-
BandCombinationParameters-r14
```

```
V2X-SupportedBandCombination-v1530 ::= SEQUENCE (SIZE (1..maxBandComb-r13)) OF V2X-
BandCombinationParameters-v1530
V2X-BandCombinationParameters-r14 ::= SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF V2X-
BandParameters-r14
V2X-BandCombinationParameters-v1530 ::= SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF V2X-
BandParameters-v1530
SupportedBandInfoList-r12 ::=
                                    SEQUENCE (SIZE (1..maxBands)) OF SupportedBandInfo-r12
SupportedBandInfo-r12 ::=
                                    SEOUENCE {
                                            ENUMERATED {supported} OPTIONAL
    support-r12
FreqBandIndicatorListEUTRA-r12 ::=
                                        SEQUENCE (SIZE (1..maxBands)) OF FreqBandIndicator-r11
MMTEL-Parameters-r14 ::=
                                    SEQUENCE {
   delayBudgetReporting-r14
                                                ENUMERATED {supported}
   pusch-Enhancements-r14
                                                ENUMERATED {supported}
                                                                             OPTIONAL,
                                                ENUMERATED {supported}
    recommendedBitRate-r14
                                                                             OPTIONAL,
   recommendedBitRateQuery-r14
                                                ENUMERATED {supported}
                                                                           OPTIONAL
SRS-CapabilityPerBandPair-r14 ::= SEQUENCE {
   retuningInfo
                               SEQUENCE {
       rf-RetuningTimeDL-r14
                                        ENUMERATED {n0, n0dot5, n1, n1dot5, n2, n2dot5, n3,
                                                    n3dot5, n4, n4dot5, n5, n5dot5, n6, n6dot5,
                                                    n7, spare1} OPTIONAL,
                                        ENUMERATED {n0, n0dot5, n1, n1dot5, n2, n2dot5, n3, n3dot5, n4, n4dot5, n5, n5dot5, n6, n6dot5, n7, spare1} OPTIONAL
       rf-RetuningTimeUL-r14
    }
}
SRS-CapabilityPerBandPair-v14b0 ::= SEQUENCE {
                                ENUMERATED {supported}
    srs-FlexibleTiming-r14
                                                                    OPTIONAL,
    srs-HARQ-ReferenceConfig-r14
                                            ENUMERATED {supported}
                                                                    OPTIONAL
HighSpeedEnhParameters-r14 ::= SEQUENCE {
   measurementEnhancements-r14 ENUMERATED {supported}
                                                                OPTIONAL.
                                    ENUMERATED {supported}
    demodulationEnhancements-r14
                                                                 OPTIONAL,
   prach-Enhancements-r14
                                   ENUMERATED {supported}
                                                                 OPTIONAL
}
-- ASN1STOP
```

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
accessStratumRelease	-
Set to rel15 in this version of the specification. NOTE 7.  additionalRx-Tx-PerformanceReq	
Indicates whether the UE supports the additional Rx and Tx performance requirement for a given band combination as specified in TS 36.101 [42].	_
alternativeTBS-Indices Indicates whether the UE supports alternative TBS indices ITBS 26A and 33A as specified in TS 36.213 [23].	<b>-</b>
alternativeTBS-Index Indicates whether the UE supports alternative TBS index I <sub>TBS</sub> 33B as specified in TS 36.213 [23].	No
alternativeTimeToTrigger Indicates whether the UE supports alternativeTimeToTrigger.	No
altMCS-Table Indicates whether the UE supports the 6-bit MCS table as specified in TS 36.212 [22] and TS 36.213 [23].	-
aperiodicCSI-Reporting Indicates whether the UE supports aperiodic CSI reporting with 3 bits of the CSI request field size as specified in TS 36.213 [23], clause 7.2.1 and/or aperiodic CSI reporting mode 1-0 and mode 1-1 as specified in TS 36.213 [23], clause 7.2.1. The first bit is set to "1" if the UE supports the aperiodic CSI reporting with 3 bits of the CSI request field size. The second bit is set to "1" if the UE supports the aperiodic CSI reporting mode 1-0 and mode 1-1.	No
aperiodicCsi-ReportingSTTI Indicates whether the UE supports aperiodic CSI reporting for short TTI as specified in TS 36.213 [23], clause 7.2.1.	No
appliedCapabilityFilterCommon Contains the filter, applied by the UE, common for all MR-DC related capability containers that are requested and as defined by UE-CapabilityRequestFilterCommon IE in TS 38.331 [82].	-
assistInfoBitForLC Indicates whether the UE supports assistance information bit for local cache.	-
aul Indicates whether the UE supports AUL as specified n TS 36.321 [6].	-
bandCombinationListEUTRA  One entry corresponding to each supported band combination listed in the same order as in supportedBandCombination.	-
BandCombinationParameters-v1090, BandCombinationParameters-v10i0, BandCombinationParameters-v1270  If included, the UE shall include the same number of entries, and listed in the same order, as in BandCombinationParameters-r10.	-
BandCombinationParameters-rto.  BandCombinationParameters-v1130  The field is applicable to each supported CA bandwidth class combination (i.e. CA configuration in TS 36.101 [42], clause 5.6A.1) indicated in the corresponding band combination. If included, the UE shall include the same number of entries, and listed in the same order, as in BandCombinationParameters-r10.	-
bandEUTRA E-UTRA band as defined in TS 36.101 [42]. In case the UE includes bandEUTRA-v9e0 or bandEUTRA-v1090, the UE shall set the corresponding entry of bandEUTRA (i.e. without suffix) or bandEUTRA-r10 respectively to maxFBI.	-
bandListEUTRA  One entry corresponding to each supported E-UTRA band listed in the same order as in supportedBandListEUTRA.	- 
bandParameterList-v1380  If included, the UE shall include the same number of entries listed in the same order as the band entries in the corresponding band combination.	-
bandParametersUL, bandParametersDL Indicates the supported parameters for the band. Each of CA-MIMO-ParametersUL and CA-MIMO-ParametersDL can be included only once for one band in a single band combination entry.	-
beamformed (in MIMO-CA-ParametersPerBoBCPerTM)  If signalled, the field indicates for a particular transmission mode, the UE capabilities concerning beamformed EBF/ FD-MIMO operation (class B) applicable for the concerned band combination.	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
beamformed (in MIMO-UE-ParametersPerTM) Indicates for a particular transmission mode, the UE capabilities concerning beamformed EBF/FD-MIMO operation (class B) applicable for band combinations for which the concerned capabilities are not signalled.	TBD
benefitsFromInterruption Indicates whether the UE power consumption would benefit from being allowed to cause	No
interruptions to serving cells when performing measurements of deactivated SCell carriers for <i>measCycleSCell</i> of less than 640ms, as specified in TS 36.133 [16].	
<b>bwPrefInd</b> Indicates whether the UE supports maximum PDSCH/PUSCH bandwidth preference indication.	-
ca-BandwidthClass The CA bandwidth class supported by the UE as defined in TS 36.101 [42], Table 5.6A-1. The UE explicitly includes all the supported CA bandwidth class combinations in the band combination signalling. Support for one CA bandwidth class does not implicitly indicate support for another CA bandwidth class.	-
ca-IdleModeMeasurements Indicates whether UE supports reporting measurements performed during RRC_IDLE.	-
ca-IdleModeValidityArea Indicates whether UE supports validity area for IDLE measurements during RRC_IDLE.	-
cch-IM-RefRecTypeA-OneRX-Port This field defines whether the DL Category 1bis or the DL Category M2 UE supports Type A downlink control channel interference mitigation (CCH-IM) receiver "LMMSE-IRC + CRS-IC" for PDCCH/PCFICH/PHICH/EPDCCH receive processing (Enhanced downlink control channel performance requirements Type A in TS 36.101 [6]).	-
cch-InterfMitigation-RefRecTypeA, cch-InterfMitigation-RefRecTypeB, cch- InterfMitigation-MaxNumCCs	-
control channel interference mitigation (CCH-IM) receiver "LMMSE-IRC + CRS-IC" for PDCCH/PCFICH/PHICH/EPDCCH receive processing (Enhanced downlink control channel performance requirements Type A in the TS 36.101 [6]). The field <i>cch-InterfMitigation-RefRecTypeB</i> defines whether the UE supports Type B downlink CCH-IM receiver "E-LMMSE-IRC + CRS-IC" for PDCCH/PCFICH/PHICH receive processing in synchronous networks (Enhanced downlink control channel performance requirements Type B in the TS 36.101 [6]). The UE supporting the capability defined by <i>cch-InterfMitigation-RefRecTypeB-r13</i> shall also support the capability defined by <i>cch-InterfMitigation-RefRecTypeA-r13</i> .	
If the UE sets one or more of the fields <i>cch-InterfMitigation-RefRecTypeA</i> and <i>cch-InterfMitigation-RefRecTypeB</i> to "supported", the UE shall include the parameter <i>cch-InterfMitigation-MaxNumCCs</i> to indicate that the UE supports CCH-IM on at least one arbitrary downlink CC for up to <i>cch-InterfMitigation-MaxNumCCs</i> downlink CC CA configuration. The UE shall not include the parameter <i>cch-InterfMitigation-MaxNumCCs</i> if neither <i>cch-InterfMitigation-RefRecTypeA</i> nor <i>cch-InterfMitigation-RefRecTypeB</i> is present. The UE may not perform CCH-IM on more than 1 DL CCs. For example, the UE sets " <i>cch-InterfMitigation-MaxNumCCs</i> = 3" to indicate that UE supports CCH-IM on at least one DL CC for supported non-CA, 2DL CA and 3DL CA configurations. For CA scenarios, the CCH-IM is guaranteed to be supported on at least one arbitrary component carrier.	
cdma2000-NW-Sharing Indicates whether the UE supports network sharing for CDMA2000.	-
ce-ClosedLoopTxAntennaSelection Indicates whether the UE supports UL closed-loop Tx antenna selection in CE mode A, as specified in TS 36.212 [22].	Yes
ce-CQI-AlternativeTable Indicates whether the UE supports alternative CQI table in CE mode A. See TS 36.213 [22].	-
ce-CRS-IntfMitig Indicates whether UE supports CRS interference mitigation, i.e., value supported indicates UE does not rely on the CRS outside certain PRBs and subframes as defined in TS 36.133 [16], clauses 3.6.1.2 and 3.6.1.3, and TS 36.213 [23] when operating in coverage enhancement mode.	-
ce-HARQ-AckBundling Indicates whether the UE supports HARQ-ACK bundling in half duplex FDD in CE mode A, as specified in TS 36.212 [22] and TS 36.213 [23].	Yes
ce-ModeA, ce-ModeB Indicates whether the UE supports operation in CE mode A and/or B, as specified in TS 36.211 [21] and TS 36.213 [23].	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
ceMeasurements Indicates whether the UE supports intra-frequency RSRQ measurements and inter-frequency RSRP and RSRQ measurements in RRC_CONNECTED, as specified in TS 36.133 [16] and TS 36.304 [4].	-
ce-PDSCH-64QAM	-
Indicates whether the UE supports 64QAM for non-repeated unicast PDSCH in CE mode A.  ce-PDSCH-FlexibleStartPRB-CE-ModeA, ce-PDSCH-FlexibleStartPRB-CE-ModeB,	-
ce-PUSCH-FlexibleStartPRB-CE-ModeA, ce-PUSCH-FlexibleStartPRB-CE-ModeB  This field indicates whether UE supports flexible starting PRB for PDSCH/PUSCH when operating in coverage enhancement mode A/B, as specified in TS 36.211 [21] and TS 36.213 [22].	
ce-PDSCH-PUSCH-Enhancement Indicates whether the UE supports new numbers of repetitions for PUSCH and modulation restrictions for PDSCH/PUSCH in CE mode A as specified in TS 36.212 [22] and TS 36.213 [23].	No
ce-PDSCH-PUSCH-MaxBandwidth Indicates the maximum supported PDSCH/PUSCH channel bandwidth in CE mode A and B, as specified in TS 36.212 [22] and TS 36.213 [23]. Value bw5 corresponds to 5 MHz and value bw20 corresponds to 20 MHz. If the field is absent the maximum PDSCH/PUSCH channel bandwidth in CE mode A and B is 1.4 MHz. If the setting of this parameter is 20 MHz, the max supported PUSCH channel bandwidth in CE mode A is 5 MHz. The maximum PUSCH channel bandwidth in CE mode B is 1.4 MHz regardless of the setting of this parameter. Parameter: transmission bandwidth configuration, see TS 36.101 [42], table 5.6-1.	Yes
ce-PDSCH-TenProcesses Indicates whether the UE supports 10 DL HARQ processes in FDD in CE mode A.	Yes
ce-PUCCH-Enhancement Indicates whether the UE supports repetition levels 64 and 128 for PUCCH in CE Mode B, as specified in TS 36.211 [21] and in TS 36.213 [23].	No
ce-PUSCH-NB-MaxTBS Indicates whether the UE supports 2984 bits max UL TBS in 1.4 MHz in CE mode A operation, as specified in TS 36.212 [22] and TS 36.213 [23].	Yes
ce-PUSCH-SubPRB-Allocation Indicates whether the UE supports sub-PRB resource allocation for PUSCH in CE mode A or B, as specified in TS 36.211 [21], TS 36.212 [22] and TS 36.213 [23].	-
ce-RetuningSymbols Indicates the number of retuning symbols in CE mode A and B as specified in TS 36.211 [21]. Value n0 corresponds to 0 retuning symbols and value n1 corresponds to 1 retuning symbol. If the field is absent the number of retuning symbols in CE mode A and B is 2.	No
ce-SchedulingEnhancement Indicates whether the UE supports dynamic HARQ-ACK delay for HD-FDD in CE mode A as specified in TS 36.212 [22] and TS 36.213 [23].	No
ce-SRS-Enhancement Indicates whether the UE supports SRS coverage enhancement in TDD with support of SRS combs 2 and 4 as specified in TS 36.213 [23]. This field can be included only if ce-SRS-EnhancementWithoutComb4 is not included.	Yes
ce-SRS-EnhancementWithoutComb4 Indicates whether the UE supports SRS coverage enhancement in TDD with support of SRS comb 2 but without support of SRS comb 4 as specified in TS 36.213 [23]. This field can be included only if ce-SRS-Enhancement is not included.	<u>-</u>
ce-SwitchWithoutHO Indicates whether the UE supports switching between normal mode and enhanced coverage mode without handover.	<b>-</b>
ce-UL-HARQ-ACK-Feedback This field indicates whether UE supports uplink HARQ ACK feedback when operating in coverage enhancement, as specified in TS36.213 [22].	<u>-</u>
channelMeasRestriction Indicates for a particular transmission mode whether the UE supports channel measurement restriction.	TBD
codebook-HARQ-ACK Indicates whether the UE supports determining HARQ ACK codebook size based on the DAI-ased solution and/or the number of configured CCs. The first bit is set to "1" if the UE supports the DAI-based codebook size determination. The second bit is set to "1" if the UE supports the codebook determination based on the number of configured CCs.	No

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
commMultipleTx Indicates whether the UE supports multiple transmissions of sidelink communication to different destinations in one SC period. If commMultipleTx-r13 is set to supported then the UE support 8 transmitting sidelink processes.	-
commSimultaneousTx Indicates whether the UE supports simultaneous transmission of EUTRA and sidelink communication (on different carriers) in all bands for which the UE indicated sidelink support in a band combination (using commSupportedBandsPerBC).	-
commSupportedBands Indicates the bands on which the UE supports sidelink communication, by an independent list of bands i.e. separate from the list of supported E-UTRA band, as indicated in supportedBandListEUTRA.	-
commSupportedBandsPerBC Indicates, for a particular band combination, the bands on which the UE supports simultaneous reception of EUTRA and sidelink communication. If the UE indicates support simultaneous transmission (using commSimultaneousTx), it also indicates, for a particular band combination, the bands on which the UE supports simultaneous transmission of EUTRA and sidelink communication. The first bit refers to the first band included in commSupportedBands, with value 1 indicating sidelink is supported.	-
configN (in MIMO-CA-ParametersPerBoBCPerTM)  If signalled, the field indicates for a particular transmission mode whether the UE supports non-precoded EBF/ FD-MIMO (class A) related configuration N for the concerned band combination.	-
configN (in MIMO-UE-ParametersPerTM) Indicates for a particular transmission mode whether the UE supports non-precoded EBF/ FD-MIMO (class A) related configuration N for band combinations for which the concerned capabilities are not signalled.	TBD
crossCarrierScheduling	Yes
crossCarrierScheduling-B5C	No
Indicates whether the UE supports cross carrier scheduling beyond 5 DL CCs.	
crossCarrierSchedulingLAA-DL Indicates whether the UE supports cross-carrier scheduling from a licensed carrier for LAA cell(s) for downlink. This field can be included only if downlinkLAA is included.	-
crossCarrierSchedulingLAA-UL Indicates whether the UE supports cross-carrier scheduling from a licensed carrier for LAA cell(s) for uplink. This field can be included only if uplinkLAA is included.	-
crs-DiscoverySignalsMeas Indicates whether the UE supports CRS based discovery signals measurement, and PDSCH/EPDCCH RE mapping with zero power CSI-RS configured for discovery signals.	FFS
Indicates whether the DL Cateogry 1bis UE of the DL Category M2 UE supports CRS interference mitigation (IM) while operating in the following transmission modes (TM): TM 1, TM 2,, TM 8 and TM 9.	-
crs-InterfHandl Indicates whether the UE supports CRS interference handling.	Yes
crs-InterfMitigationTM10  The field defines whether the UE supports CRS interference mitigation in transmission mode 10. The UE supporting the crs-InterfMitigationTM10 capability shall also support the crs-InterfHandI capability.	No
Indicates whether the UE supports CRS interference mitigation (IM) while operating in the following transmission modes (TM): TM 1, TM 2,, TM 8 and TM 9. The UE shall not include the field if it does not support CRS IM in TMs 1-9. If the field is present, the UE supports CRS-IM on at least one arbitrary downlink CC for up to crs-InterfMitigationTM1toTM9-r13 downlink CC CA configuration. The UE signals crs-InterfMitigationTM1toTM9-r13 value to indicate the maximum crs-InterfMitigationTM1toTM9-r13 downlink CC CA configuration where UE may apply CRS IM. For example, the UE sets "crs-InterfMitigationTM1toTM9-r13 = 3" to indicate that the UE supports CRS-IM on at least one DL CC for supported non-CA, 2DL CA and 3DL CA configurations. The UE supporting the crs-InterfMitigationTM1toTM9-r13 capability shall also support the crs-InterfHandI-r11 capability.	-
crs-IntfMitig Indicate whether the UE supports CRS interference mitigation as specified in TS 36.133 [16], clause 3.6.1.1.	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
crs-LessDwPTS Indicates whether the UE supports TDD special subframe configuration 10 without CRS transmission on the 5th symbol of DwPTS, i.e. ssp10-CRS-LessDwPTS, as specified in TS 36.211 [17].	-
csi-ReportingAdvanced, csi-ReportingAdvancedMaxPorts (in MIMO-CA-	-
ParametersPerBoBCPerTM)	
If signalled, the field indicates that for a particular transmission mode, the maximum number of CSI-RS ports supported by the UE for advanced CSI reporting is different in the concerned band of band combination than the value indicated by the field csi-ReportingAdvanced or csi-ReportingAdvancedMaxPorts in MIMO-UE-ParametersPerTM. The UE shall not include both csi-ReportingAdvanced and csi-ReportingAdvancedMaxPorts for a particular transmission mode in the concerned band of band combination.	
csi-ReportingAdvanced, csi-ReportingAdvancedMaxPorts (in MIMO-UE-	FFS
ParametersPerTM) Indicates for a particular transmission mode the maximum number of CSI-RS ports supported by the UE for advanced CSI reporting. The field csi-ReportingAdvanced indicates 32 CSI-RS ports whereas csi-ReportingAdvancedMaxPorts indicates 8, 12, 16, 20, 24 or 28 CSI-RS ports. The UE shall not include both csi-ReportingAdvanced and csi-ReportingAdvancedMaxPorts for a particular transmission mode.	
csi-ReportingNP (in MIMO-CA-ParametersPerBoBCPerTM)  If signalled, value different indicates that for a particular transmission mode, the CSI reporting on non-precoded CSI-RS with 20, 24, 28 or 32 antenna ports for the concerned band of band combination is different than the value indicated by field csi-ReportingNP in MIMO-UE-	-
ParametersPerTM.	
csi-ReportingNP (in MIMO-UE-ParametersPerTM) Indicates for a particular transmission mode whether the UE supports CSI reporting on non-precoded CSI-RS with 20, 24, 28, or 32 antenna ports for band combinations for which the concerned capabilities are not signalled in MIMO-CA-ParametersPerBoBCPerTM, and the FD-MIMO processing capability condition as described in NOTE 8 is satisfied.	FFS
csi-RS-DiscoverySignalsMeas Indicates whether the UE supports CSI-RS based discovery signals measurement. If this field is included, the UE shall also include crs-DiscoverySignalsMeas.	FFS
csi-RS-DRS-RRM-MeasurementsLAA Indicates whether the UE supports performing RRM measurements on LAA cell(s) based on CSI-RS-based DRS. This field can be included only if downlinkLAA is included.	-
csi-RS-EnhancementsTDD Indicates for a particular transmission mode whether the UE supports CSI-RS enhancements applicable for TDD.	Yes
csi-SubframeSet Indicates whether the UE supports REL-12 DL CSI subframe set configuration, REL-12 DL CSI subframe set dependent CSI measurement/feedback, configuration of up to 2 CSI-IM resources for a CSI process with no more than 4 CSI-IM resources for all CSI processes of one frequency if the UE supports tm10, configuration of two ZP-CSI-RS for tm1 to tm9, PDSCH RE mapping with two ZP-CSI-RS configurations, and EPDCCH RE mapping with two ZP-CSI-RS configurations if the UE supports EPDCCH. This field is only applicable for UEs supporting TDD.	Yes
dataInactMon Indicates whether the UE supports the data inactivity monitoring as specified in TS 36.321 [6].	-
dc-Support Including this field indicates that the UE supports synchronous DC and power control mode 1. Including this field for a band combination entry comprising of single band entry indicates that the UE supports intra-band contiguous DC. Including this field for a band combination entry comprising of two or more band entries, indicates that the UE supports DC for these bands and that the serving cells corresponding to a band entry shall belong to one cell group (i.e. MCG or SCG). Including field asynchronous indicates that the UE supports asynchronous DC and power control mode 2. Including this field for a TDD/FDD band combination indicates that the UE supports TDD/FDD DC for this band combination.	-
delayBudgetReporting Indicates whether the UE supports delay budget reporting.	No
demodulationEnhancements This field defines whether the UE supports advanced receiver in SFN scenario as specified in TS 36.101 [42].	-
densityReductionNP, densityReductionBF Indicates whether the UE supports CSI-RS density reduction with values 1, 1/2 and 1/3 for non-precoded CSI-RS and beamformed CSI-RS respectively.	FFS

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
deviceType UE may set the value to "noBenFromBatConsumpOpt" when it does not foresee to particularly benefit from NW-based battery consumption optimisation. Absence of this value means that	-
the device does benefit from NW-based battery consumption optimisation.	
diffFallbackCombReport Indicates that the UE supports reporting of UE radio access capabilities for the CA band combinations asked by the eNB as well as, if any, reporting of different UE radio access capabilities for their fallback band combination as specified in TS 36.306 [5]. The UE does not report fallback combinations if their UE radio access capabilities are the same as the ones for the CA band combination asked by the eNB.	<del>-</del>
differentFallbackSupported	-
Indicates that the UE supports different capabilities for at least one fallback case of this band combination.	
directSCellActivation	-
Indicates whether the UE supports having an SCell configured in activated SCell state.	
directSCellHibernation	-
Indicates whether the UE supports having an SCell configured in dormant SCell state.	
discInterFreqTx Indicates whether the UE support sidelink discovery announcements either a) on the primary frequency only or b) on other frequencies also, regardless of the UE configuration (e.g. CA, DC). The UE may set discInterFreqTx to supported when having a separate transmitter or if it can request sidelink discovery transmission gaps.	<u>-</u>
discoverySignalsInDeactSCell Indicates whether the UE supports the behaviour on DL signals and physical channels when SCell is deactivated and discovery signals measurement is configured as specified in TS 36.211 [21], clause 6.11A. This field is included only if UE supports carrier aggregation and includes crs-DiscoverySignalsMeas.	FFS
discPeriodicSLSS Indicates whether the UE supports periodic (i.e. not just one time before sidelink discovery announcement) Sidelink Synchronization Signal (SLSS) transmission and reception for sidelink discovery.	<del>-</del>
discScheduledResourceAlloc	-
Indicates whether the UE supports transmission of discovery announcements based on network scheduled resource allocation.	
disc-UE-SelectedResourceAlloc Indicates whether the UE supports transmission of discovery announcements based on UE autonomous resource selection.	-
disc-SLSS Indicates whether the UE supports Sidelink Synchronization Signal (SLSS) transmission and	-
reception for sidelink discovery.  discSupportedBands	
Indicates the bands on which the UE supports sidelink discovery. One entry corresponding to each supported E-UTRA band, listed in the same order as in <i>supportedBandListEUTRA</i> .	
discSupportedProc Indicates the number of processes supported by the UE for sidelink discovery.	-
discSysInfoReporting Indicates whether the UE supports reporting of system information for inter-frequency/PLMN	-
sidelink discovery.  dI-256QAM	-
Indicates whether the UE supports 256QAM in DL on the band.  dI-1024QAM	
Indicates whether the UE supports 1024QAM in DL on the band or on the band within the band combination. When <i>dl-1024QAM-ScalingFactor</i> and <i>dl-1024QAM-TotalWeightedLayers</i> are included, the UE supports 1024QAM in a set of CCs in a band combination if the CCs belong to bands indicated to support 1024QAM in that band combination and the 1024QAM processing capability condition as specified in equation 4.3.5.31-1 in TS 36.306 [5] is satisfied.	- 
dI-1024QAM-ScalingFactor Indicates scaling factor for processing a CC configured with 1024QAM with respect to a CC not configured with 1024QAM as described in 4.3.5.31 in TS 36.306 [5]. Value v1 indicates 1, value v1dot2 indicates 1.2 and value v1dot25 indicates 1.25.	-
dI-1024QAM-TotalWeightedLayers Indicates total number of weighted layers the UE can process for 1024QAM as described in 4.3.5.31 in TS 36.306 [5]. Actual value = (10 + indicated value x 2), i.e., value 0 indicates 10 layers, value 1 indicates 12 layers and so on.	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
dI-1024QAM-Slot	-
Indicates whether the UE supports 1024QAM in DL on the band for slot TTI operation.  dI-1024QAM-SubslotTA-1	-
Indicates whether the UE supports 1024QAM in DL on the band for subslot TTI operation with TA set 1.	
dl-1024QAM-SubslotTA-2	-
Indicates whether the UE supports 1024QAM in DL on the band for subslot TTI operation with TA set 2, dmrsBasedSPDCCH-nonMBSFN	
dmrs-BasedSPDCCH-MBSFN	-
Indicates whether the UE supports sDCI monitoring in DMRS based SPDCCH for MBSFN subframe. If UE supports this, it also provides the corresponding DMRS based SPDCCH capability in <i>min-Proc-TimelineSubslot</i> .	
dmrs-BasedSPDCCH-nonMBSFN	-
Indicates whether the UE supports sDCI monitoring in DMRS based SPDCCH for non-MBSFN subframe. If UE supports this, it also provides the corresponding DMRS based SPDCCH capability in <i>min-Proc-TimelineSubslot</i> .	
dmrs-Enhancements (in MIMO-CA-ParametersPerBoBCPerTM)	-
If signalled, the field indicates for a particular transmission mode, that for the concerned band combination the DMRS enhancements are different than the value indicated by field <i>dmrs-Enhancements</i> in <i>MIMO-UE-ParametersPerTM</i> .	
dmrs-Enhancements (in MIMO-UE-ParametersPerTM)	TBD
Indicates for a particular transmission mode whether the UE supports DMRS enhancements for the indicated transmission mode.	
dmrs-LessUpPTS	No
Indicates whether the UE supports not to transmit DMRS for PUSCH in UpPTS.	
dmrs-OverheadReduction Indicates whether the UE supports OCC4 for rank 3 and 4 transmission as specified in clause 5.3.3.1.5C of TS 36.212 [22].	-
dmrs-PositionPattern	-
Indicates whether the UE supports uplink DMRS position pattern 'D D D' in subslot #5 with	
application of the 1/6 as the TBS scaling factor.	
dmrs-RepetitionSubslotPDSCH	-
Indicates whether the UE supports back-to-back 3/4-layer DMRS reception in two consecutive subslots across subframe boundary for subslot-PDSCH.	
dmrs-SharingSubslotPDSCH Indicates whether the UE supports DMRS sharing in two consecutive subslots across	-
subframe boundary for subslot-PDSCH.	
dormantSCellState	-
Indicates whether UE supports Dormant SCell state (i.e. SCell state with CQI and RRM measurement reporting but no PDCCH monitoring).	
downlinkLAA	-
Presence of the field indicates that the UE supports downlink LAA operation including identification of downlink transmissions on LAA cell(s) for full downlink subframes, decoding of common downlink control signalling on LAA cell(s), CSI feedback for LAA cell(s), RRM measurements on LAA cell(s) based on CRS-based DRS.	
drb-TypeSCG Indicates whether the UE supports SCG bearer.	-
drb-TypeSplit	-
Indicates whether the UE supports split bearer except for PDCP data transfer in UL.	
dtm Indicates whether the LIE supports DTM in GERAN	-
Indicates whether the UE supports DTM in GERAN.  earlyData-UP	
Indicates whether the UE supports UP-EDT.	_
e-CSFB-1XRTT	Yes
Indicates whether the UE supports enhanced CS fallback to CDMA2000 1xRTT or not.	
e-CSFB-ConcPS-Mob1XRTT Indicates whether the UE supports concurrent enhanced CS fallback to CDMA2000 1xRTT and	Yes
PS handover/ redirection to CDMA2000 HRPD.  e-CSFB-dual-1XRTT	Yes
C-USI D-uual-IANI I	168

UE-EUTRA-Capability field descriptions	FDD/
	TDD diff
e-HARQ-Pattern-FDD	Yes
Indicates whether the UE supports enhanced HARQ pattern for TTI bundling operation for FDD.	
eLCID-Support	-
Indicates whether the UE supports LCID "10000" and MAC PDU subheader containing the eLCID field as described in TS 36.321 [6].	
emptyUnicastRegion Indicates whether the UE supports unicast reception in subframes with empty unicast control region as described in TS 36.213 [23] clause 12. This field can be included only if unicast-	No
fembmsMixedSCell and crossCarrierScheduling are included.  en-DC	_
Indicates whether the UE supports EN-DC.	
endingDwPTS Indicates whether the UE supports reception ending with a subframe occupied for a DwPTS-duration as described in TS 36.211 [21] and TS 36.213 [23]. This field can be included only if downlinkLAA is included.	-
Enhanced-4TxCodebook	No
Indicates whether the UE supports enhanced 4Tx codebook.  enhancedDualLayerTDD	
Indicates whether the UE supports enhanced dual layer (PDSCH transmission mode 8) for TDD or not.	-
ePDCCH Indicates whether the UE can receive DCI on UE specific search space on Enhanced PDCCH.	Yes
epdcch-SPT-differentCells	-
Indicates whether the UE supports EPDCCH and short processing time on different serving cells.	
epdcch-STTI-differentCells	-
Indicates whether the UE supports EPDCCH and sTTI on different serving cells.  e-RedirectionUTRA	Yes
e-RedirectionUTRA-TDD	Yes
Indicates whether the UE supports enhanced redirection to UTRA TDD to multiple carrier frequencies both with and without using related SIB provided by <i>RRCConnectionRelease</i> or not.	
eutra-5GC Indicates whether the UE supports E-UTRA/5GC.	Yes
eutra-5GC-HO-ToNR-FDD-FR1	Yes
Indicates whether the UE supports handover from E-UTRA/5GC to NR FDD FR1.  eutra-5GC-HO-ToNR-TDD-FR1	Yes
Indicates whether the UE supports handover from E-UTRA/5GC to NR TDD FR1.	
eutra-5GC-HO-ToNR-FDD-FR2 Indicates whether the UE supports handover from E-UTRA/5GC to NR FDD FR2.	Yes
eutra-5GC-HO-ToNR-TDD-FR2 Indicates whether the UE supports handover from E-UTRA/5GC to NR TDD FR2.	Yes
eutra-CGI-Reporting-ENDC Indicates whether the UE supports Intra-RAT report CGI procedure when it is configured with (NG) EN-DC wherein either MN and SN have different DRX cycles, or on-duration configured by MN does not contain on-duration configured by SN if their DRX cycles are same.	Yes
eutra-CGI-Reporting-NEDC Indicates whether the UE supports acquisition of relevant information from a neighbouring E-UTRA cell by reading the SI of the neighbouring cell and reporting the acquired information to	Yes
the network when the NE-DC is configured  eutra-EPC-HO-ToNR-FDD-FR1	Yes
Indicates whether the UE supports handover from E-UTRA/EPC to NR FDD FR1.  eutra-EPC-HO-ToNR-TDD-FR1  Indicates whether the UE supports handover from E-UTRA/EPC to NR FDD FR1.	Yes
Indicates whether the UE supports handover from E-UTRA/EPC to NR TDD FR1.  eutra-EPC-HO-ToNR-FDD-FR2	Yes
Indicates whether the UE supports handover from E-UTRA/EPC to NR FDD FR2.  eutra-EPC-HO-ToNR-TDD-FR2	Yes
Indicates whether the UE supports handover from E-UTRA/EPC to NR TDD FR2.  eutra-EPC-HO-EUTRA-5GC	Yes
Indicates whether the UE supports handover between E-UTRA/EPC and E-UTRA/5GC.  eventB2	-
Indicates whether the UE supports event B2. A UE supporting NR SA operation shall set this bit to <i>supported</i> .	

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
extendedFreqPriorities Indicates whether the UE supports extended E-UTRA frequency priorities indicated by cellReselectionSubPriority field. A UE supporting NR SA operation shall set this bit to	-
supported.  extendedLCID-Duplication	
Indicates whether the UE supports use of extended LCIDs 32-38 for PDCP duplication.	
extendedLongDRX Indicates whether the UE supports extended long DRX cycle values of 5.12s and 10.24s in RRC_CONNECTED.	-
extendedMAC-LengthField Indicates whether the UE supports the MAC header with L field of size 16 bits as specified in TS 36.321 [6], clause 6.2.1.	-
extendedMaxMeasId Indicates whether the UE supports extended number of measurement identies as defined by	No
maxMeasId-r12.  extendedMaxObjectId  Indicates whether the UE supports extended number of measurement object identies as defined by maxObjectId-r13.	No
extendedNumberOfDRBs Indicates whether the UE supports up to 15 DRBs. The UE shall support any combination of	-
RLC AM and RLC UM entities for the configured DRBs.  extendedPollByte  Indicates whether the UE supports extended pollByte values as defined by pollByte-r14.	-
extended-RLC-LI-Field	-
Indicates whether the UE supports 15 bit RLC length indicator.  extendedRLC-SN-SO-Field  Indicates whether the UE supports 16 bits of RLC sequence number and segmentation offset.	-
extendedRSRQ-LowerRange Indicates whether the UE supports to bits of REC sequence number and segmentation onset.  19.5dB in measurement configuration and reporting as specified in TS 36.133 [16].	No
fdd-HARQ-TimingTDD Indicates whether UE supports FDD HARQ timing for TDD SCell when configured with TDD PCell.	Yes
featureGroupIndicators, featureGroupIndRel9Add, featureGroupIndRel10 The definitions of the bits in the bit string are described in Annex B.1 (for featureGroupIndicators and featureGroupIndRel9Add) and in Annex C.1 (for featureGroupIndRel10).	Yes
featureSetsDL-PerCC In MR-DC, indicates a set of features that the UE supports on one component carrier in a bandwidth class for a band in a given band combination. The UE shall hence include at least as many FeatureSetDL-PerCC-Id in this list as the number of carriers it supports according to the ca-bandwidthClassDL, except if indicating additional functionality by reducing the number of FeatureSetDownlinkPerCC-Id in the feature set. The order of the elements in this list is not relevant, i.e., the network may configure any of the carriers in accordance with any of the FeatureSetDL-PerCC-Id in this list.	-
FeatureSetDL-PerCC-Id  In MR-DC, indicates the index position of the FeatureSetDL-PerCC-r15 in the featureSetsDL- PerCC-r15 list. Value 0 corresponds to the first element in the list, value 1 corresponds to the second element in the list, and so on. Value 32 is not used.	-
featureSetsUL-PerCC In MR-DC, indicates a set of features that the UE supports on one component carrier in a bandwidth class for a band in a given band combination. The UE shall hence include at least as many FeatureSetUL-PerCC-Id in this list as the number of carriers it supports according to the ca-bandwidthClassUL, except if indicating additional functionality by reducing the number of FeatureSetDownlinkPerCC-Id in the feature set. The order of the elements in this list is not relevant, i.e., the network may configure any of the carriers in accordance with any of the FeatureSetUL-PerCC-Id in this list.	-
FeatureSetUL-PerCC-Id In MR-DC, indicates the index position of the FeatureSetUL-PerCC-r15 in the featureSetsUL-PerCC-r15 list. Value 0 corresponds to the first element in the list, value 1 corresponds to the second element in the list, and so on. Value 32 is not used.	-
<b>fembmsMixedCell</b> Indicates whether the UE in RRC_CONNECTED supports MBMS reception with 15 kHz subcarrier spacings via MBSFN from FeMBMS/Unicast mixed cells on a frequency indicated in an <i>MBMSInterestIndication</i> message.	

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
fembmsDedicatedCell Indicates whether the UE in RRC_CONNECTED supports MBMS reception with 15 kHz subcarrier spacings via MBSFN from MBMS-dedicated cells on a frequency indicated in an	
MBMSInterestIndication message.  flexibleUM-AM-Combinations	
Indicates whether the UE supports any combination of RLC UM and RLC AM bearers as long as the total number of bearers is at most 8, regardless of what FGI20 indicates.	
flightPathPlan Indicates whether UE supports reporting of flight path plan information.  fourLayerTM3-TM4	
Indicates whether the UE supports 4-layer spatial multiplexing for TM3 and TM4.  fourLayerTM3-TM4 (in FeatureSetDL-PerCC)	
Indicates whether the UE supports 4-layer spatial multiplexing for TM3 and TM4 for MR-DC within the indicated feature set. If this field is absent, UE supports two layer MIMO for TM3/TM4.	-
fourLayerTM3-TM4-perCC Indicates whether the UE supports 4-layer spatial multiplexing for TM3 and TM4 for the component carrier.	-
frameStructureType-SPT This field indicates the supported FS-type(s) for short processing time. The UE capability is reported per band combination. The reported FS-type(s) apply to the reported maxNumberCCs-SPT-r15 for the given band combination.	-
freqBandPriorityAdjustment Indicates whether the UE supports the prioritization of frequency bands in multiBandInfoList over the band in freqBandIndicator as defined by freqBandIndicatorPriority-r12.	-
freqBandRetrieval Indicates whether the UE supports reception of requestedFrequencyBands.	-
halfDuplex  If halfDuplex is set to true, only half duplex operation is supported for the band, otherwise full duplex operation is supported.	-
heightMeas Indicates whether UE supports the measurement events H1/H2.	-
ho-EUTRA-5GC-FDD-TDD Indicates whether the UE supports handover between E-UTRA/5GC FDD and E-UTRA/5GC TDD.	No
ho-InterfreqEUTRA-5GC Indicates whether the UE supports inter frequency handover within E-UTRA/5GC.	Yes
hybridCSI Indicates whether the UE supports hybrid CSI transmission as described in TS 36.213 [23].	FFS
immMeasBT Indicates whether the UE supports Bluetooth measurements in RRC connected mode.	-
immMeasWLAN Indicates whether the UE supports WLAN measurements in RRC connected mode.	-
ims-VoiceOverMCG-BearerEUTRA-5GC Indicates whether the UE supports IMS voice over NR PDCP for MCG bearer for E-UTRA/5GC.	No
ims-VoiceOverNR-FR1 Indicates whether the UE supports IMS voice over NR FR1.	No
ims-VoiceOverNR-FR2 Indicates whether the UE supports IMS voice over NR FR2.	No
inactiveState Indicates whether the UE supports RRC_INACTIVE.	No
incMonEUTRA Indicates whether the UE supports increased number of E-UTRA carrier monitoring in RRC_IDLE and RRC_CONNECTED, as specified in TS 36.133 [16].	No
incMonUTRA Indicates whether the UE supports increased number of UTRA carrier monitoring in RRC_IDLE and RRC_CONNECTED, as specified in TS 36.133 [16].	No
inDeviceCoexInd Indicates whether the UE supports in-device coexistence indication as well as autonomous denial functionality.	Yes

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
inDeviceCoexInd-ENDC	-
Indicates whether the UE supports in-device coexistence indication for (NG)EN-DC operation.	
This field can be included only if inDeviceCoexInd is included. The UE supports	
inDeviceCoexInd-ENDC in the same duplexing modes as it supports inDeviceCoexInd.	
inDeviceCoexInd-HardwareSharingInd	-
Indicates whether the UE supports indicating hardware sharing problems when sending the	
InDeviceCoexIndication, as well as omitting the TDM assistance information. A UE that	
supports hardware sharing indication shall also indicate support of LAA operation.	
inDeviceCoexInd-UL-CA	_
Indicates whether the UE supports UL CA related in-device coexistence indication. This field	
can be included only if inDeviceCoexInd is included. The UE supports inDeviceCoexInd-UL-CA	
in the same duplexing modes as it supports inDeviceCoexInd.	
interBandTDD-CA-WithDifferentConfig	
Indicates whether the UE supports inter-band TDD carrier aggregation with different UL/DL	-
configuration combinations. The first bit indicates UE supports the configuration combination of	
SCell DL subframes are a subset of PCell and PSCell by SIB1 configuration and the	
configuration combination of SCell DL subframes are a superset of PCell and PSCell by SIB1	
configuration; the second bit indicates UE supports the configuration combination of SCell DL	
subframes are neither superset nor subset of PCell and PSCell by SIB1 configuration. This	
field is included only if UE supports inter-band TDD carrier aggregation.	
interferenceMeasRestriction	TBD
Indicates whether the UE supports interference measurement restriction.	
interFreqBandList	-
One entry corresponding to each supported E-UTRA band listed in the same order as in	
supportedBandListEUTRA.	
interFreqNeedForGaps	-
Indicates need for measurement gaps when operating on the E-UTRA band given by the entry	
in bandListEUTRA or on the E-UTRA band combination given by the entry in	
bandCombinationListEUTRA and measuring on the E-UTRA band given by the entry in	
interFreqBandList.	
interFreqProximityIndication	-
Indicates whether the UE supports proximity indication for inter-frequency E-UTRAN CSG	
member cells.	
interFreqRSTD-Measurement	Yes
Indicates whether the UE supports inter-frequency RSTD measurements for OTDOA	
positioning, as specified in TS 36.355 [54].	
interFreqSI-AcquisitionForHO	Yes
Indicates whether the UE supports, upon configuration of si-RequestForHO by the network,	
acquisition and reporting of relevant information using autonomous gaps by reading the SI from	
a neighbouring inter-frequency cell.	
interRAT-BandList	_
One entry corresponding to each supported band of another RAT listed in the same order as in	-
the interRAT-Parameters. The NR bands reported in SupportedBandListNR are excluded from	
this list.	
interRAT-NeedForGaps	-
Indicates need for DL measurement gaps when operating on the E-UTRA band given by the	
entry in bandListEUTRA or on the E-UTRA band combination given by the entry in	
bandCombinationListEUTRA and measuring on the inter-RAT band given by the entry in the	
interRAT-BandList.	
interRAT-ParametersWLAN	-
Indicates whether the UE supports WLAN measurements configured by MeasObjectWLAN	
with corresponding quantity and report configuration in the supported WLAN bands.	
interRAT-PS-HO-ToGERAN	Yes
Indicates whether the UE supports inter-RAT PS handover to GERAN or not.	

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
intraBandContiguousCC-InfoList Indicates, per serving carrier of which the corresponding bandwidth class includes multiple serving carriers (i.e. bandwidth class B, C, D and so on), the maximum number of supported	-
layers for spatial multiplexing in DL and the maximum number of CSI processes supported.  The number of entries is equal to the number of component carriers in the corresponding	
bandwidth class. The UE shall support the setting indicated in each entry of the list regardless of the order of entries in the list. The UE shall include the field only if it supports 4-layer spatial multiplexing in transmission mode 3/4 for a subset of component carriers in the corresponding	
bandwidth class, or if the maximum number of supported layers for at least one component carrier is higher than <i>supportedMIMO-CapabilityDL-r10</i> in the corresponding bandwidth class, or if the number of CSI processes for at least one component carrier is higher than <i>supportedCSI-Proc-r11</i> in the corresponding band.	
This field may also be included for bandwidth class A but in such a case without including any sub-fields in <i>IntraBandContiguousCC-Info-r12</i> (see NOTE 6).	
intraFreqA3-CE-ModeA Indicates whether the UE when operating in CE Mode A supports eventA3 for intra-frequency neighbouring cells.	-
intraFreqA3-CE-ModeB Indicates whether the UE when operating in CE Mode B supports eventA3 for intra-frequency neighbouring cells.	-
intraFreq-CE-NeedForGaps Indicates need for measurement gaps when operating in CE on the E-UTRA band given by the entry in supportedBandListEUTRA.	
intraFreqHO-CE-ModeA Indicates whether the UE when operating in CE Mode A supports intra-frequency handover.	-
intraFreqHO-CE-ModeB Indicates whether the UE when operating in CE Mode B supports intra-frequency handover.	-
intraFreqProximityIndication Indicates whether the UE supports proximity indication for intra-frequency E-UTRAN CSG member cells.	-
intraFreqSI-AcquisitionForHO Indicates whether the UE supports, upon configuration of si-RequestForHO by the network, acquisition and reporting of relevant information using autonomous gaps by reading the SI from a neighbouring intra-frequency cell.	Yes
<b>k-Max (in MIMO-CA-ParametersPerBoBCPerTM)</b> If signalled, the field indicates for a particular transmission mode the maximum number of NZP CSI RS resource configurations supported within a CSI process applicable for the concerned	No
band combination.  k-Max (in MIMO-UE-ParametersPerTM)	TBD
Indicates for a particular transmission mode the maximum number of NZP CSI RS resource configurations supported within a CSI process applicable for band combinations for which the concerned capabilities are not signalled.	100
Iaa-PUSCH-Mode1 Indicates whether the UE supports LAA PUSCH mode 1 as defined in TS 36.213 [23].	-
Iaa-PUSCH-Mode2 Indicates whether the UE supports LAA PUSCH mode 2 as defined in TS 36.213 [23]. Iaa-PUSCH-Mode3	-
Indicates whether the UE supports LAA PUSCH mode 3 as defined in TS 36.213 [23].  IocationReport	<u> </u>
Indicates whether the UE supports reporting of its geographical location information to eNB.  IoggedMBSFNMeasurements	-
Indicates whether the UE supports logged measurements for MBSFN. A UE indicating support for logged measurements for MBSFN shall also indicate support for logged measurements in Idle mode.	
Indicates whether the UE supports Bluetooth measurements in RRC idle mode.	-
Indicates whether the UE supports logged measurements in Idle mode.  IoggedMeasWLAN	-
Indicates whether the UE supports WLAN measurements in RRC idle mode.  IogicalChannelSR-ProhibitTimer	-
Indicates whether the UE supports the <i>logicalChannelSR-ProhibitTimer</i> as defined in TS 36.321 [6].	

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
IongDRX-Command Indicates whether the UE supports Long DRX Command MAC Control Element.	-
Iwa	
Indicates whether the UE supports LTE-WLAN Aggregation (LWA). The UE which supports LWA shall also indicate support of <i>interRAT-ParametersWLAN-r13</i> .	_
Iwa-BufferSize	-
Indicates whether the UE supports the layer 2 buffer sizes for "with support for split bearers" as defined in Table 4.1-3 and 4.1A-3 of TS 36.306 [5] for LWA.	
Iwa-HO-WithoutWT-Change Indicates whether the UE supports handover where LWA configuration is retained without WT change and using LWA end-marker for PDCP key change indication for LWA operation.	-
Iwa-RLC-UM Indicates whether the UE supports RLC UM for LWA bearer.	-
lwa-SplitBearer	-
Indicates whether the UE supports the split LWA bearer (as defined in TS 36.300 [9]).  Iwa-UL	
Indicates whether the UE supports UL transmission over WLAN for LWA bearer.	
Iwip Indicates whether the UE supports LTE/WLAN Radio Level Integration with IPsec Tunnel (LWIP). The UE which supports LWIP shall also indicate support of interRAT-  ParametersWLAN-r13.	-
Iwip-Aggregation-UL, Iwip-Aggregation-UL Indicates whether the UE supports aggregation of LTE and WLAN over DL/UL LWIP. The UE	-
that indicates support of LWIP aggregation over DL or UL shall also indicate support of <i>lwip</i> .	
makeBeforeBreak Indicates whether the UE supports intra-frequency Make-Before-Break handover, and whether the UE which indicates dc-Parameters supports intra-frequency Make-Before-Break SeNB change, as defined in TS 36.300 [9].	-
maximumCCsRetrieval	-
Indicates whether UE supports reception of requestedMaxCCsDL and requestedMaxCCsUL.	
maxLayersMIMO-Indication	-
Indicates whether the UE supports the network configuration of <i>maxLayersMIMO</i> . If the UE supports <i>fourLayerTM3-TM4</i> or <i>intraBandContiguousCC-InfoList</i> or <i>FeatureSetDL-PerCC</i> for MR-DC, UE supports the configuration of <i>maxLayersMIMO</i> for these cases regardless of indicating most excreMIMO. Indication	
indicating maxLayersMIMO-Indication.  maxLayersSlotOrSubslotPUSCH	
Indicates the maxiumum number of layers for slot-PUSCH or subslot-PUSCH transmission.	-
maxNumberCCs-SPT	
Indicates the maximum number of supported CCs for short processing time. The UE capability is reported per band combination. The reported number of carriers applies to all the FS-type(s) frameStructureType-SPT-r15 supported in a given band combination. Absence of the field indicates that 0 number of CCs are supported for short processing time.	
maxNumberDL-CCs, maxNumberUL-CCs	
Indicates for each TTI combination "sTTI-SupportedCombinations", the maximum number of supported DL CCs/UL CCs for short TTI. Absence of the field indicates that 0 number of CCs	
are supported for short TTI.  maxNumberDecoding	No
Indicates the maximum number of blind decodes in UE-specific search space per UE in one subframe for CA with more than 5 CCs as defined in TS 36.213 [23] which is supported by the	INO
UE. The number of blind decodes supported by the UE is the field value * 32. Only values 5 to 32 can be used in this version of the specification.	
maxNumberROHC-ContextSessions	-
Set to the maximum number of concurrently active ROHC contexts supported by the UE,	
excluding context sessions that leave all headers uncompressed. cs2 corresponds with 2	
(context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in <i>supportedROHC-Profiles</i> . If the UE indicates both	
maxNumberROHC-ContextSessions and maxNumberROHC-ContextSessions-r14, same	
value shall be indicated.  maxNumberUpdatedCSI-Proc, maxNumberUpdatedCSI-Proc-SPT	

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
maxNumberUpdatedCSI-Proc-STTI-Comb77, maxNumberUpdatedCSI-Proc-STTI-Comb27, maxNumberUpdatedCSI-Proc-STTI-Comb22-Set1, maxNumberUpdatedCSI-	
Proc-STTI-Comb22-Set2 Indicates the maximum number of CSI processes to be updated across CCs. Comb77 is	
applicable for {slot, slot}, Comb27 for {subslot, slot}, Comb22-Set1 for	
{subslot, subslot} processing timeline set 1 and the Comb22-Set2 for {subslot, subslot}	
processing timeline set 2.	
mbms-AsyncDC Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a	-
frequency indicated in an MBMSInterestIndication message, where (according to	
supportedBandCombination) the carriers that are or can be configured as serving cells in the	
MCG and the SCG are not synchronized. If this field is included, the UE shall also include mbms-SCell and mbms-NonServingCell. The field indicates that the UE supports the feature	
for xDD if <i>mbms-SCell</i> and <i>mbms-NonServingCell</i> are supported for xDD.	
mbms-MaxBW	-
Indicates maximum supported bandwidth (T) for MBMS reception, see TS 36.213 [23]. clause	
11.1. If the value is set to <i>implicitValue</i> , the corresponding value of T is calculated as specified in TS 36.213 [23], clause 11.1. If the value is set to <i>explicitValue</i> , the actual value of T =	
explicitValue * 40 MHz.	
mbms-NonServingCell	Yes
Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a	
frequency indicated in an MBMSInterestIndication message, where (according to supportedBandCombination and to network synchronization properties) a serving cell may be	
additionally configured. If this field is included, the UE shall also include the <i>mbms-SCell</i> field.	
mbms-ScalingFactor1dot25, mbms-ScalingFactor7dot5	-
Indicates parameter A <sup>(1.25</sup> / A <sup>(7.5</sup> , i.e., scaling factor for processing one unit of bandwidth	
corresponding to subcarrier spacing of 1.25 kHz / 7.5 kHz, with respect to one unit of bandwidth corresponding to subcarrier spacing of 15 kHz. See TS 36.213 [23], clause 11.1.	
This field is included only if subcarrierSpacingMBMS-khz1dot25 / subcarrierSpacingMBMS-	
khz7dot5 is included. This field shall be included if mbms-MaxBW and	
subcarrierSpacingMBMS-khz1dot25 / subcarrierSpacingMBMS-khz7dot5 are included.  mbms-SCell	Yes
Indicates whether the UE in RRC_CONNECTED supports MBMS reception via MRB on a	168
frequency indicated in an MBMSInterestIndication message, when an SCell is configured on	
that frequency (regardless of whether the SCell is activated or deactivated).	
measurementEnhancements This field defines whether UE supports measurement enhancements in high speed scenario as	-
specified in TS 36.133 [16].	
measGapPatterns	-
Indicates whether the UE that supports NR supports gap patterns 4 to 11 in LTE standalone as	
specified in TS 36.133 [16], and for independent measurement gap configuration on FR1 and per-UE gap in (NG)EN-DC as specified in TS 38.133 [84]. The first/ leftmost bit covers pattern	
4, and so on. Value 1 indicates that the UE supports the concerned gap pattern.	
mfbi-UTRA	-
It indicates if the UE supports the signalling requirements of multiple radio frequency bands in	
a UTRA FDD cell, as defined in TS 25.307 [65].  MIMO-BeamformedCapabilityList	No
A list of pairs of {k-Max, n-MaxList} values with the n <sup>th</sup> entry indicating the values that the UE	140
supports for each CSI process in case n CSI processes would be configured.	
MIMO-CapabilityDL	-
The number of supported layers for spatial multiplexing in DL. The field may be absent for category 0 and category 1 UE in which case the number of supported layers is 1.	
MIMO-CapabilityUL	-
The number of supported layers for spatial multiplexing in UL. Absence of the field means that	
the number of supported layers is 1.	
MIMO-CA-ParametersPerBoBC A set of MIMO parameters provided per band of a band combination. In case a subfield is	-
absent, the concerned capabilities are the same as indicated at the per UE level (i.e. by MIMO-	
UE-ParametersPerTM).	
mimo-CBSR-AdvancedCSI	-
Indicates whether UE supports CBSR for advanced CSI reporting with and without amplitude restriction as defined in TS 36.213 [23], clause 7.2.	

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
min-Proc-TimelineSubslot Minimum processing timeline for subslot operation. The minimum processing timeline can belong to one of two sets of associated processing and maximum TA operation. The sets supported can be different for 1os CRS-based SPDCCH, 2os CRS-based SPDCCH and DMRS-based SPDCCH. The sequence applies to:  1. 1os CRS based SPDCCH  2. 2os CRS based SPDCCH  3. DMRS based SPDCCH	-
modifiedMPR-Behavior	-
Field encoded as a bit map, where at least one bit N is set to "1" if UE supports modified MPR/A-MPR behaviour N, see TS 36.101 [42]. All remaining bits of the field are set to "0". The leading / leftmost bit (bit 0) corresponds to modified MPR/A-MPR behaviour 0, the next bit corresponds to modified MPR/A-MPR behaviour 1 and so on.  Absence of this field means that UE does not support any modified MPR/A-MPR behaviour.	
multiACK-CSI-reporting	Yes
Indicates whether the UE supports multi-cell HARQ ACK and periodic CSI reporting and SR on PUCCH format 3.	
multiBandInfoReport Indicates whether the UE supports the acquisition and reporting of multi band information for reportCGI.	-
multiClusterPUSCH-WithinCC	Yes
multiNS-Pmax Indicates whether the UE supports the mechanisms defined for cells broadcasting NS- PmaxList.	-
multipleCellsMeasExtension	-
Indicates whether the UE supports numberOfTriggeringCells in the report configuration.	
Indicates whether the UE supports multiple timing advances for each band combination listed in supportedBandCombination. If the band combination comprised of more than one band entry (i.e., inter-band or intra-band non-contiguous band combination), the field indicates that the same or different timing advances on different band entries are supported. If the band combination comprised of one band entry (i.e., intra-band contiguous band combination), the field indicates that the same or different timing advances across component carriers of the band entry are supported.	-
multipleUplinkSPS Indicates whether the UE supports multiple uplink SPS and reporting SPS assistance information. A UE indicating multipleUplinkSPS shall also support V2X communication via Uu, as defined in TS 36.300 [9].	-
must-CapabilityPerBand Indicates that UE supports MUST, as specified in 36.212 [22], clause 5.3.3.1, on the band in the band combination.	-
must-TM234-UpTo2Tx-r14	-
Indicates that the UE supports MUST operation for TM2/3/4 using up to 2Tx.	
must-TM89-UpToOneInterferingLayer-r14 Indicates that the UE supports MUST operation for TM8/9 with assistance information for up to 1 interfering layer.	-
must-TM89-UpToThreeInterferingLayers-r14 Indicates that the UE supports MUST operation for TM8/9 with assistance information for up to	-
3 interfering layers.  must-TM10-UpToOneInterferingLayer-r14 Indicates that the UE supports MUST operation for TM10 with assistance information for up to	-
1 interfering layer.  must-TM10-UpToThreeInterferingLayers-r14  Indicates that the UE supports MUST operation for TM10 with assistance information for up to 3 interfering layers.	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
naics-Capability-List	No
Indicates that UE supports NAICS, i.e. receiving assistance information from serving cell and	110
using it to cancel or suppress interference of neighbouring cell(s) for at least one band	
combination. If not present, UE does not support NAICS for any band combination. The field	
numberOfNAICS-CapableCC indicates the number of component carriers where the NAICS	
processing is supported and the field <i>numberOfAggregatedPRB</i> indicates the maximum	
aggregated bandwidth across these of component carriers (expressed as a number of PRBs)	
with the restriction that NAICS is only supported over the full carrier bandwidth. The UE shall	
indicate the combination of {numberOfNAICS-CapableCC, numberOfNAICS-CapableCC} for	
every supported <i>numberOfNAICS-CapableCC</i> , e.g. if a UE supports {x CC, y PRBs} and {x-n	
CC, y-m PRBs} where n>=1 and m>=0, the UE shall indicate both.	
- For <i>numberOfNAICS-CapableCC</i> = 1, UE signals one value for	
numberOfAggregatedPRB from the range {50, 75, 100};	
- For numberOfNAICS-CapableCC = 2, UE signals one value for	
numberOfAggregatedPRB from the range {50, 75, 100, 125, 150, 175, 200};	
- For <i>numberOfNAICS-CapableCC</i> = 3, UE signals one value for	
numberOfAggregatedPRB from the range {50, 75, 100, 125, 150, 175, 200, 225, 250,	
275, 300};	
- For numberOfNAICS-CapableCC = 4, UE signals one value for	
numberOfAggregatedPRB from the range {50, 100, 150, 200, 250, 300, 350, 400};	
- For <i>numberOfNAICS-CapableCC</i> = 5, UE signals one value for	
numberOfAggregatedPRB from the range {50, 100, 150, 200, 250, 300, 350, 400, 450,	
500}.	
ncsg	No
Indicates whether the UE supports measurement NCSG Pattern Id 0, 1, 2 and 3, as specified	
in TS 36.133 [16]. If this field is included and the UE supports asynchronous DC, the UE shall	
support NCSG Pattern Id 0, 1, 2 and 3. If this field is included but the UE does not support	
asynchronous DC, only NCSG Pattern Id 0 and 1 shall be supported	
ng-EN-DC	-
Indicates whether the UE supports NGEN-DC.	
n-MaxList (in MIMO-UE-ParametersPerTM)	TBD
Indicates for a particular transmission mode the maximum number of NZP CSI RS ports	
supported within a CSI process applicable for band combinations for which the concerned	
capabilities are not signalled. For <i>k-Max</i> values exceeding 1, the UE shall include the field and	
signal <i>k-Max</i> minus 1 bits. The first bit indicates <i>n-Max</i> 2, with value 0 indicating 8 and value 1	
indicating 16. The second bit indicates <i>n-Max3</i> , with value 0 indicating 8 and value 1 indicating	
16. The third bit indicates <i>n-Max4</i> , with value 0 indicating 8 and value 1 indicating 32. The	
fourth bit indicates <i>n-Max5</i> , with value 0 indicating 16 and value 1 indicating 32. The fifth bit	
indicates <i>n-Max6</i> , with value 0 indicating 16 and value 1 indicating 32. The sixt bit indicates <i>n-</i>	
Max7, with value 0 indicating 16 and value 1 indicating 32. The seventh bit indicates n-Max8,	
with value 0 indicating 16 and value 1 indicating 64.	
n-MaxList (in MIMO-CA-ParametersPerBoBCPerTM)	No
If signalled, the field indicates for a particular transmission mode the maximum number of NZP	140
COLDO manta accompanta di crittain a COL musassa anni l'article facilità de la carrière del color del colo	
CSI RS ports supported within a CSI process applicable for band the concerned combination.	
Further details are as indicated for <i>n-MaxList</i> in <i>MIMO-UE-ParametersPerTM</i> .	
Further details are as indicated for <i>n-MaxList</i> in <i>MIMO-UE-ParametersPerTM</i> .  NonContiguousUL-RA-WithinCC-List	No
Further details are as indicated for <i>n-MaxList</i> in <i>MIMO-UE-ParametersPerTM</i> .	No
Further details are as indicated for <i>n-MaxList</i> in <i>MIMO-UE-ParametersPerTM</i> .  NonContiguousUL-RA-WithinCC-List  One entry corresponding to each supported E-UTRA band listed in the same order as in	No
Further details are as indicated for <i>n-MaxList</i> in <i>MIMO-UE-ParametersPerTM</i> .  NonContiguousUL-RA-WithinCC-List  One entry corresponding to each supported E-UTRA band listed in the same order as in supportedBandListEUTRA.	
Further details are as indicated for n-MaxList in MIMO-UE-ParametersPerTM.  NonContiguousUL-RA-WithinCC-List  One entry corresponding to each supported E-UTRA band listed in the same order as in supportedBandListEUTRA.  nonPrecoded (in MIMO-UE-ParametersPerTM)	No TBD
Further details are as indicated for n-MaxList in MIMO-UE-ParametersPerTM.  NonContiguousUL-RA-WithinCC-List  One entry corresponding to each supported E-UTRA band listed in the same order as in supportedBandListEUTRA.  nonPrecoded (in MIMO-UE-ParametersPerTM)  Indicates for a particular transmission mode the UE capabilities concerning non-precoded EBF/	
Further details are as indicated for <i>n-MaxList</i> in <i>MIMO-UE-ParametersPerTM</i> .  NonContiguousUL-RA-WithinCC-List  One entry corresponding to each supported E-UTRA band listed in the same order as in supportedBandListEUTRA.  nonPrecoded (in MIMO-UE-ParametersPerTM)  Indicates for a particular transmission mode the UE capabilities concerning non-precoded EBF/FD-MIMO operation (class A) for band combinations for which the concerned capabilities are	
Further details are as indicated for n-MaxList in MIMO-UE-ParametersPerTM.  NonContiguousUL-RA-WithinCC-List  One entry corresponding to each supported E-UTRA band listed in the same order as in supportedBandListEUTRA.  nonPrecoded (in MIMO-UE-ParametersPerTM)  Indicates for a particular transmission mode the UE capabilities concerning non-precoded EBF/	
Further details are as indicated for <i>n-MaxList</i> in <i>MIMO-UE-ParametersPerTM</i> .  NonContiguousUL-RA-WithinCC-List  One entry corresponding to each supported E-UTRA band listed in the same order as in supportedBandListEUTRA.  nonPrecoded (in MIMO-UE-ParametersPerTM)  Indicates for a particular transmission mode the UE capabilities concerning non-precoded EBF/FD-MIMO operation (class A) for band combinations for which the concerned capabilities are not signalled in MIMO-CA-ParametersPerBoBCPerTM, and the FD-MIMO processing	
Further details are as indicated for <i>n-MaxList</i> in <i>MIMO-UE-ParametersPerTM</i> .  NonContiguousUL-RA-WithinCC-List  One entry corresponding to each supported E-UTRA band listed in the same order as in supportedBandListEUTRA.  nonPrecoded (in MIMO-UE-ParametersPerTM)  Indicates for a particular transmission mode the UE capabilities concerning non-precoded EBF/FD-MIMO operation (class A) for band combinations for which the concerned capabilities are not signalled in MIMO-CA-ParametersPerBoBCPerTM, and the FD-MIMO processing capability condition as described in NOTE 8 is satisfied.	
Further details are as indicated for <i>n-MaxList</i> in <i>MIMO-UE-ParametersPerTM</i> .  NonContiguousUL-RA-WithinCC-List  One entry corresponding to each supported E-UTRA band listed in the same order as in supportedBandListEUTRA.  nonPrecoded (in MIMO-UE-ParametersPerTM)  Indicates for a particular transmission mode the UE capabilities concerning non-precoded EBF/FD-MIMO operation (class A) for band combinations for which the concerned capabilities are not signalled in MIMO-CA-ParametersPerBoBCPerTM, and the FD-MIMO processing capability condition as described in NOTE 8 is satisfied.  nonPrecoded (in MIMO-CA-ParametersPerBoBCPerTM)	
Further details are as indicated for <i>n-MaxList</i> in <i>MIMO-UE-ParametersPerTM</i> .  NonContiguousUL-RA-WithinCC-List  One entry corresponding to each supported E-UTRA band listed in the same order as in supportedBandListEUTRA.  nonPrecoded (in MIMO-UE-ParametersPerTM)  Indicates for a particular transmission mode the UE capabilities concerning non-precoded EBF/FD-MIMO operation (class A) for band combinations for which the concerned capabilities are not signalled in MIMO-CA-ParametersPerBoBCPerTM, and the FD-MIMO processing capability condition as described in NOTE 8 is satisfied.	

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
nonUniformGap Indicates whether the UE supports measurement non uniform Pattern Id 1, 2, 3 and 4 in LTE standalone as specified in TS 36.133 [16].	No
noResourceRestrictionForTTIBundling	No
Indicate whether the UE supports TTI bundling operation without resource allocation restriction.	
nonCSG-SI-Reporting	-
Indicates whether UE will report PLMN list from non-CSG cells.  numberOfBlindDecodesUSS	
Indicates the maximum number of blind decodes in UE specific search space in one subframe for CCs configured with sTTI operation supported by the UE. The number of blind decodes supported by the UE is the field value X*68. Field value ranges from 4 to 32.	-
otdoa-UE-Assisted Indicates whether the UE supports UE-assisted OTDOA positioning, as specified in TS 36.355	Yes
[54].	
outOfOrderDelivery Same as "outOfOrderDelivery" defined in TS 38.306 [87].	No
outOfSequenceGrantHandling	
Indicates whether the UE supports PUSCH transmissions with out of sequence UL grants as defined in TS 36.213 [22]. This field can be included only if uplinkLAA is included.	
overheatingInd	No
Indicates whether the UE supports overheating assistance information.	NI-
pdcch-CandidateReductions Indicates whether the UE supports PDCCH candidate reduction on UE specific search space as specified in TS 36.213 [23], clause 9.1.1.	No
pdcp-Duplication	-
Indicates whether the UE supports PDCP duplication.	
pdcp-SN-Extension Indicates whether the UE supports 15 bit length of PDCP sequence number.	-
pdcp-SN-Extension-18bits	
Indicates whether the UE supports 18 bit length of PDCP sequence number.	
pdcp-TransferSplitUL Indicates whether the UE supports PDCP data transfer split in UL for the drb-TypeSplit as	-
specified in TS 36.323 [8].  pdsch-CollisionHandling	No
Indicates whether the UE supports PDSCH collision handling as specified in TS 36.213 [23].	140
pdsch-RepSubframe	-
Indicates whether the UE supports subframe PDSCH repetition.	
pdsch-RepSlot	-
Indicates whether the UE supports slot PDSCH repetition.	
pdsch-RepSubslot Indicates whether the UE supports subslot PDSCH repetition. This field is only applicable for UEs supporting FDD.	-
pdsch-SlotSubslotPDSCH-Decoding	-
Indicates whether the UE supports decoding of PDSCH and slot-PDSCH/subslot-PDSCH assigned with C-RNTI/SPS C-RNTI in the same subframe for a given carrier.	
perServingCellMeasurementGap Indicates whether the UE supports per serving cell measurement gap indication, as specified in TS 36.133 [16].	-
phy-TDD-ReConfig-FDD-PCell	No
Indicates whether the UE supports TDD UL/DL reconfiguration for TDD serving cell(s) via monitoring PDCCH with elMTA-RNTI on a FDD PCell, and HARQ feedback according to UL and DL HARQ reference configurations. This bit can only be set to supported only if the UE	
supports FDD PCell and <i>phy-TDD-ReConfig-TDD-PCell</i> is set to supported.	
phy-TDD-ReConfig-TDD-PCell	Yes
Indicates whether the UE supports TDD UL/DL reconfiguration for TDD serving cell(s) via monitoring PDCCH with elMTA-RNTI on a TDD PCell, and HARQ feedback according to UL and DL HARQ reference configurations, and PUCCH format 3.	
pmi-Disabling	Yes
powerClass-14dBm	-
Indicates whether the UE supports power class 14 dBm when operating in CE mode A or B for all the bands that are supported by the UE, as specified in TS 36.101 [42].	
powerPrefInd Property of the Control	No
ndicates whether the UE supports power preference indication.	

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
powerUCI-SlotPUSCH, powerUCI-SubslotPUSCH Indicates whether the UE supports BPRE derivation based on the actual derived O_CQI. The parameter uplinkPower-CSIPayload configures the UE to derive BPRE based on either the actual value of O_CQI or the largest value of O_CQI across all RI values. If the UE does not	-
support the capability, the UE will derive BPRE based on the largest value of O_CQI across all RI values.	
prach-Enhancements This field defines whether the UE supports random access preambles generated from restricted set type B in high speed scenoario as specified in TS 36.211 [21].	-
processingTimelineSet	
Indicates, for each SPDCCH configuration, support for a set of TA values. Each set consists of two different processing timelines and associated maximum TA. Set 1 indicates support for n+4 and n+6 and set 2 indicates support for n+6 and n+8, see TS 36.211 [21], clause 8.1, The minimum processing timeline to use, out of the two options for a given set is configured by parameter <i>proc-Timeline</i> . Support of Set 1 implicitly means support of Set 2.	
pucch-Format4	Yes
Indicates whether the UE supports PUCCH format 4.  pucch-Format5	Yes
Indicates whether the UE supports PUCCH format 5.  pucch-SCell	No
Indicates whether the UE supports PUCCH on SCell.	INO
pusch-Enhancements Indicates whether the UE supports the PUSCH enhancement mode as specified in TS 36.211 [21] and TS 36.213 [23].	Yes
pusch-FeedbackMode Indicates whether the UE supports PUSCH feedback mode 3-2.	No
pusch-SPS-MaxConfigSlot	-
Indicates the max number of SPS configurations across all cells for slot PUSCH.  pusch-SPS-MultiConfigSlot Indicates the number of multiple SPS configurations of slot PUSCH for each serving cell.	-
pusch-SPS-MaxConfigSubframe Indicates the max number of SPS configurations across all cells for subframe PUSCH.	-
pusch-SPS-MultiConfigSubframe Indicates the number of multiple SPS configurations of subframe PUSCH for each serving cell.	-
Indicates the max number of SPS configurations across all cells for subslot PUSCH.	-
pusch-SPS-MultiConfigSubslot Indicates the number of multiple SPS configurations of subslot PUSCH for each serving cell. This field is only applicable for UEs supporting FDD.	-
pusch-SPS-SlotRepPCell Indicates whether the UE supports SPS repetition for slot PUSCH for PCell.	-
pusch-SPS-SlotRepPSCell Indicates whether the UE supports SPS repetition for slot PUSCH for PSCell.	-
pusch-SPS-SlotRepSCell Indicates whether the UE supports SPS repetition for slot PUSCH for serving cells other than SpCell.	-
pusch-SPS-SubframeRepPCell Indicates whether the UE supports SPS repetition for subframe PUSCH for PCell.	-
pusch-SPS-SubframeRepPSCell Indicates whether the UE supports SPS repetition for subframe PUSCH for PSCell. pusch-SPS-SubframeRepSCell	
Indicates whether the UE supports SPS repetition for subframe PUSCH for serving cells other than SpCell.	-
pusch-SPS-SubslotRepPCell Indicates whether the UE supports SPS repetition for subslot PUSCH for PCell. This field is only applicable for UEs supporting FDD.	-
pusch-SPS-SubslotRepPSCell Indicates whether the UE supports SPS repetition for subslot PUSCH for PSCell. This field is only applicable for UEs supporting FDD.	-
pusch-SPS-SubslotRepSCell Indicates whether the UE supports SPS repetition for subslot PUSCH for serving cells other than SpCell. This field is only applicable for UEs supporting FDD.	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
pusch-SRS-PowerControl-SubframeSet Indicates whether the UE supports subframe set dependent UL power control for PUSCH and SRS. This field is only applicable for UEs supporting TDD.	Yes
qcI-CRI-BasedCSI-Reporting Indicates whether the UE supports CRI based CSI feedback for the FeCoMP feature as specified in TS 36.213 [23], clause 7.1.10.	-
qcl-TypeC-Operation  The UE uses this field to indicate the support of all of the following three features: QCL Type-C operation for FeCoMP, the capability to support separate PDSCH RE mapping for different PDSCH CWs in non-coherent joint transmission and the capability to support handling new DMRS port to MIMO layer mapping for the CWs, as specified in TS 36.213 [23], clause 7.1.10.	-
qoe-MeasReport Indicates whether the UE supports QoE Measurement Collection for streaming services.	-
qoe-MTSI-MeasReport Indicates whether the UE supports QoE Measurement Collection for MTSI services.	
rach-Less Indicates whether the UE supports RACH-less handover, and whether the UE which indicates dc-Parameters supports RACH-less SeNB change, as defined in TS 36.300 [9].	-
rach-Report Indicates whether the UE supports delivery of rach-Report.	-
rai-Support  Defines whether the UE supports release assistance indication (RAI) as specified in TS 36.321  [6] for BL UEs.	No
Indicates whether the UE supports RCLWI, i.e. reception of rclwi-Configuration. The UE which supports RLCWI shall also indicate support of interRAT-ParametersWLAN-r13. The UE which supports RCLWI and wlan-IW-RAN-Rules shall also support applying WLAN identifiers received in rclwi-Configuration for the access network selection and traffic steering rules when in RRC_IDLE.	-
recommendedBitRate Indicates whether the UE supports the bit rate recommendation message from the eNB to the UE as specified in TS 36.321 [6], clause 6.1.3.13.	No
recommendedBitRateQuery Indicates whether the UE supports the bit rate recommendation query message from the UE to the eNB as specified in TS 36.321 [6], clause 6.1.3.13. If this field is included, the UE shall also include the recommendedBitRate field.	No
reducedCP-Latency Indicates whether the UE supports reduced CP latency.	Yes
reducedIntNonContComb Indicates whether the UE supports receiving requestReducedIntNonContComb that requests the UE to exclude supported intra-band non-contiguous CA band combinations other than included in capability signalling as specified in TS 36.306 [5], clause 4.3.5.21.	-
reducedIntNonContCombRequested Indicates that the UE excluded supported intra-band non-contiguous CA band combinations other than included in capability signalling as specified in TS 36.306 [5,] clause 4.3.5.21.	-
reflectiveQoS Indicates whether the UE supports AS reflective QoS.	No
relWeightTwoLayers/ relWeightFourLayers/ relWeightEightLayers Indicates relative weight of processing FD-MIMO with 2/ 4/ 8 layers with respect to non-FD-MIMO with the same number of layers, see NOTE 8. Value v1 corresponds to relative weight of 1, value v1dot25 corresponds to relative weight of 1.25 and so on. This field can be included only if the UE supports the corresponding number of layers (i.e., 2/ 4/ 8 layers).	-
reportCGI-NR-EN-DC Indicates whether the UE supports Inter-RAT report CGI procedure towards NR cell when it is configured with (NG)EN-DC.	Yes
reportCGI-NR-NoEN-DC Indicates whether the UE supports Inter-RAT report CGI procedure towards NR cell when it is not configured with (NG)EN-DC.	Yes

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
srs-CapabilityPerBandPairList Indicates, for a particular pair of bands, the SRS carrier switching parameters when switching	-
between the band pair to transmit SRS on a PUSCH-less SCell as specified in TS 36.212 [22]	
and TS 36.213 [23]. If included, the UE shall include a number of entries as indicated in the following, and listed in the same order, as in <i>bandParameterList</i> for the concerned band combination:	
- For the first band, the UE shall include the same number of entries as in	
bandParameterList i.e. first entry corresponds to first band in bandParameterList and so on.	
For the second band, the UE shall include one entry less i.e. first entry corresponds to the second band in bandParameterList and so on	
- And so on.	
requestedBands	-
Indicates the frequency bands requested by E-UTRAN.	
requestedCCsDL, requestedCCsUL Indicates the maximum number of CCs requested by E-UTRAN.	-
requestedDiffFallbackCombList	-
Indicates the CA band combinations for which report of different UE capabilities is requested by E-UTRAN.	
rf-RetuningTimeDL	-
Indicates the interruption time on DL reception within a band pair during the RF retuning for switching between the band pair to transmit SRS on a PUSCH-less SCell. n0 represents 0	
OFDM symbols, n0dot5 represents 0.5 OFDM symbols, n1 represents 1 OFDM symbol and so	
on. This field is mandatory present if switching between the band pair is supported.	
rf-RetuningTimeUL	-
Indicates the interruption time on UL transmission within a band pair during the RF retuning for switching between the band pair to transmit SRS on a PUSCH-less SCell. n0 represents 0	
OFDM symbols, n0dot5 represents 0.5 OFDM symbols, n1 represents 1 OFDM symbol and so	
on. This field is mandatory present if switching between the band pair is supported.	
rlc-AM-Ooo-Delivery	-
Indicates whether the UE supports out-of-order delivery from RLC to PDCP for RLC AM.	
rlc-UM-Ooo-Delivery Indicates whether the UE supports out-of-order delivery from RLC to PDCP for RLC UM.	-
rlm-ReportSupport Indicates whether the UE supports RLM event and information reporting.	-
rohc-ContextContinue	No
Same as "continueROHC-Context" defined in TS 38.306 [87].	
rohc-ContextMaxSessions Same as "maxNumberROHC-ContextSessions" defined in TS 38.306 [87].	No
rohc-Profiles	No
Same as "supportedROHC-Profiles" defined in TS 38.306 [87].	
rohc-ProfilesUL-Only Same as "uplinkOnlyROHC-Profiles" defined in TS 38.306 [87].	No
rsrqMeasWideband	Yes
Indicates whether the UE can perform RSRQ measurements with wider bandwidth.	
rsrq-OnAllSymbols	No
Indicates whether the UE can perform RSRQ measurement on all OFDM symbols and also support the extended RSRQ upper value range from -3dB to 2.5dB in measurement	
configuration and reporting as specified in TS 36.133 [16].	
rs-SINR-Meas	
Indicates whether the UE can perform RS-SINR measurements in RRC_CONNECTED as	
specified in TS 36.214 [48].  rssi-AndChannelOccupancyReporting	
Indicates whether the UE supports performing measurements and reporting of RSSI and	-
channel occupancy. This field can be included only if <i>downlinkLAA</i> is included.	
sa-NR	No
Indicates whether the UE supports standalone NR as specified in TS 38.331 [82].	Vaa
scptm-AsyncDC Indicates whether the UE in RRC_CONNECTED supports MBMS reception via SC-MRB on a	Yes
frequency indicated in an MBMSInterestIndication message, where (according to	
supportedBandCombination) the carriers that are or can be configured as serving cells in the	
MCG and the SCG are not synchronized. If this field is included, the UE shall also include	
scptm-SCell and scptm-NonServingCell.	

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
scptm-NonServingCell Indicates whether the UE in RRC_CONNECTED supports MBMS reception via SC-MRB on a frequency indicated in an MBMSInterestIndication message, where (according to supportedBandCombination and to network synchronization properties) a serving cell may be additionally configured. If this field is included, the UE shall also include the scptm-SCell field.	Yes
scptm-Parameters Presence of the field indicates that the UE supports SC-PTM reception as specified in TS 36.306 [5].	Yes
scptm-SCell Indicates whether the UE in RRC_CONNECTED supports MBMS reception via SC-MRB on a frequency indicated in an MBMSInterestIndication message, when an SCell is configured on that frequency (regardless of whether the SCell is activated or deactivated).	Yes
scptm-ParallelReception Indicates whether the UE in RRC_CONNECTED supports parallel reception in the same subframe of DL-SCH transport blocks transmitted using C-RNTI/Semi-Persistent Scheduling C-RNTI and using SC-RNTI/G-RNTI as specified in TS 36.306 [5].	Yes
secondSlotStartingPosition Indicates whether the UE supports reception of subframes with second slot starting position as described in TS 36.211 [21] and TS 36.213 [23]. This field can be included only if downlinkLAA is included.	-
semiOL Indicates whether the UE supports semi-open-loop transmission for the indicated transmission mode.	FFS
semiStaticCFI Indicates whether the UE supports the semi-static configuration of CFI for subframe/slot/sub-slot operation.	-
semiStaticCFI-Pattern Indicates whether the UE supports the semi-static configuration of CFI pattern for subframe/slot/sub-slot operation. This field is only applicable for UEs supporting TDD.	-
shortCQI-ForSCellActivation Indicates whether the UE supports additional CQI reporting periodicity after SCell activation.	-
shortMeasurementGap Indicates whether the UE supports shorter measurement gap length (i.e. <i>gp2</i> and <i>gp3</i> ) in LTE standalone as specified in TS 36.133 [16], and for independent measurement gap configuration on FR1 and per-UE gap in (NG)EN-DC as specified in TS38.133 [84].	No
shortSPS-IntervalFDD Indicates whether the UE supports uplink SPS intervals shorter than 10 subframes in FDD mode.	-
shortSPS-IntervalTDD Indicates whether the UE supports uplink SPS intervals shorter than 10 subframes in TDD mode.	-
simultaneousPUCCH-PUSCH Indicates whether the UE supports simultaneous transmission of PUSCH/PUCCH and SlotOrSubslotPUSCH/SPUCCH (if supported).	Yes
simultaneousRx-Tx Indicates whether the UE supports simultaneous reception and transmission on different bands for each band combination listed in supportedBandCombination. This field is only applicable for inter-band TDD band combinations. A UE indicating support of simultaneousRx-Tx and dc-Support-r12 shall support different UL/DL configurations between PCell and PSCell.	-
simultaneousTx-DifferentTx-Duration Indicates whether the UE supports simultaneous transmission of different transmission durations over different carriers. The different transmission durations can be of subframe, slot or subslot duration.	-
skipFallbackCombinations Indicates whether UE supports receiving reception of requestSkipFallbackComb that requests UE to exclude fallback band combinations from capability signalling.	-
skipFallbackCombRequested Indicates whether requestSkipFallbackComb is requested by E-UTRAN.	-
skipMonitoringDCI-Format0-1A Indicates whether UE supports blind decoding reduction on UE specific search space by not monitoring DCI Format 0 and 1A as specified in TS 36.213 [23], clause 9.1.1.	No

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
SkipSubframeProcessing This fields defines whether the UE supports aborting reception of PDSCH if the UE receives slot-PDSCH/subslot-PDSCH during an ongoing PDSCH reception and instead starts receiving the slot-PDSCH/subslot-PDSCH, as well as whether the UE supports aborting a PUSCH transmission if the UE gets a grant for a slot-PUSCH/ subslot-PUSCH transmission that overlaps with a grant received for a PUSCH transmission. The capability indicates the number of subframes that the UE may drop prior to the subframe in which it prioritizes the processing of slot/subslot PDSCH/PUSCH as described in TS 36.213 [23], clauses 7.1 and 8.0. Separate capability for UL and DL and per sTTI length in each direction: skipProcessingDL-Slot, skipProcessingDL-Subslot.	-
skipUplinkDynamic Indicates whether the UE supports skipping of UL transmission for an uplink grant indicated on PDCCH if no data is available for transmission as described in TS 36.321 [6].	-
skipUplinkSPS Indicates whether the UE supports skipping of UL transmission for a configured uplink grant if no data is available for transmission as described in TS 36.321 [6].	-
sI-64QAM-Rx Indicates whether the UE supports 64QAM for the reception of V2X sidelink communication.	-
sI-64QAM-Tx Indicates whether the UE supports 64QAM for the transmission of V2X sidelink communication.	-
sl-CongestionControl Indicates whether the UE supports Channel Busy Ratio measurement and reporting of Channel Busy Ratio measurement results to eNB for V2X sidelink communication.	-
sI-LowT2min Indicates whether the UE supports 10ms as minimum value of T2 for resource selection procedure of V2X sidelink communication.	-
sI-RateMatchingTBSScaling Indicates whether the UE supports rate matching and TBS scalling for V2X sidelink communication.	-
slotPDSCH-TxDiv-TM8 Indicates whether the UE supports TX diversity transmission using ports 7 and 8 for TM8 for slot PDSCH.	
slotPDSCH-TxDiv-TM9and10 Indicates whether the UE supports TX diversity transmission using ports 7 and 8 for TM9/10 for slot PDSCH.	
slss-SupportedTxFreq Indicates whether the UE supports the SLSS transmission on single carrier or on multiple carriers in the case of sidelink carrier aggregation.	- 
slss-TxRx Indicates whether the UE supports SLSS/PSBCH transmission and reception in UE autonomous resource selection mode and eNB scheduled mode in a band for V2X sidelink communication.	-
sI-TxDiversity Indicates whether the UE supports transmit diversity for V2X sidelink communication. See TS 36.101 [42].	-
sn-SizeLo Same as "shortSN" defined in TS 38.306 [87].	No
spatialBundling-HARQ-ACK Indicates whether UE supports HARQ-ACK spatial bundling on PUCCH or PUSCH as specified in TS 36.213 [23], clauses 7.3.1 and 7.3.2.	No
spdcch-differentRS-types Indicates whether the UE supports monitoring of sPDCCH on RB sets with different RS types within a TTI.	-
spdcch-Reuse Indicates whether the UE supports L1 based SPDCCH reuse.	-
sps-CyclicShift Indicates whether the UE supports RRC configuration of cyclic shift for DMRS for UL SPS using 1ms TTI.	-
sps-ServingCell Indicates whether the UE supports multiple UL/DL SPS configurations simultaneously active on different serving cells as specified in TS 36.321 [6].	-
sps-STTI Indicates whether the UE supports SPS in DL and/or UL for slot or subslot based PDSCH and PUSCH, respectively.	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
srs-DCI7-TriggeringFS2	-
Indicates whether the UE supports SRS triggerring via DCI format 7 for FS2.  srs-Enhancements	TBD
Indicates whether the UE supports SRS enhancements.  srs-EnhancementsTDD	Yes
Indicates whether the UE supports TDD specific SRS enhancements.	162
srs-FlexibleTiming Indicates whether the UE supports configuration of soundingRS-FlexibleTiming-r14 for the corresponding band pair. For a TDD-TDD band pair, UE shall include at least one of srs-FlexibleTiming and/or srs-HARQ-ReferenceConfig when rf-RetuningTimeDL or rf-RetuningTimeUL corresponding to the band pair is larger than 1 OFDM symbol.	-
srs-HARQ-ReferenceConfig Indicates whether the UE supports configuration of harq-ReferenceConfig-r14 for the corresponding band pair. For a TDD-TDD band pair, UE shall include at least one of srs-FlexibleTiming and/or srs-HARQ-ReferenceConfig when rf-RetuningTimeDL or rf-RetuningTimeUL corresponding to the band pair is larger than 1 OFDM symbol.	-
srs-MaxSimultaneousCCs Indicates the maximum number of simultaneously configurable target CCs for SRS switching (i.e., CCs for which srs-SwitchFromServCellIndex is configured) supported by the UE.	-
srs-UpPTS-6sym	-
Indicates whether the UE supports up to 6-symbol SRS in UpPTS.  srvcc-FromUTRA-FDD-ToGERAN	-
Indicates whether UE supports SRVCC handover from UTRA FDD PS HS to GERAN CS.	
srvcc-FromUTRA-FDD-ToUTRA-FDD Indicates whether UE supports SRVCC handover from UTRA FDD PS HS to UTRA FDD CS.	-
srvcc-FromUTRA-TDD128-ToGERAN Indicates whether UE supports SRVCC handover from UTRA TDD 1.28Mcps PS HS to GERAN CS.	-
srvcc-FromUTRA-TDD128-ToUTRA-TDD128 Indicates whether UE supports SRVCC handover from UTRA TDD 1.28Mcps PS HS to UTRA TDD 1.28Mcps CS.	-
ss-CCH-InterfHandI Indicates whether the UE supports synchronisation signal and common channel interference handling.	Yes
ss-SINR-Meas-NR-FR1, ss-SINR-Meas-NR-FR2 Indicates whether the UE can perform NR SS-SINR measurement for a frequency range (i.e. FR1 or FR2) as specified in TS 38.215 [89].	-
ssp10-TDD-Only Indicates the UE supports special subframe configuration 10 when operating only in TDD carriers (i.e., not in TDD/FDD CA or TDD/FS3 CA). A UE including this field shall not include tdd-SpecialSubframe-r14.	-
standaloneGNSS-Location Indicates whether the UE is equipped with a standalone GNSS receiver that may be used to provide detailed location information in RRC measurement report and logged measurements.	-
sTTI-SPT-Supported Indicates whether the UE supports the features STTI and/or SPT. If the UE supports STTI and/or SPT features, the UE shall report the field sTTI-SPT-Supported set to supported in capability signalling, irrespective of whether requestSTTI-SPT-Capability field is present or not.	-
sTTI-FD-MIMO-Coexistence Indicates whether the UE supports CSI feedback for more than 8 NZP CSI-RS ports on subframe based PUSCH in any serving cell and supporting STTI in any serving cell.	-
sTTI-SupportedCombinations Indicates the different combinations of short TTI lengths, see field description for dI-STTI-Length and uI-STTI-Length, that the UE supports in a single PUCCH group or in two PUCCH groups. A short TTI length combination is reported for DL first followed by UL. In case of two PUCCH groups the support for the primary PUCCH group is indicated first.	-
subcarrierSpacingMBMS-khz7dot5, subcarrierSpacingMBMS-khz1dot25 Indicates the supported subcarrier spacings for MBSFN subframes in addition to 15 kHz subcarrier spacing. subcarrierSpacingMBMS-khz1dot25 and subcarrierSpacingMBMS-khz7dot5 indicates that the UE supports 1.25 and 7.5 kHz respectively for MBSFN subframes as described in TS 36.211 [21], clause 6.12. This field is included only if fembmsMixedCell or fembmsDedicatedCell is included.	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
subslotPDSCH-TxDiv-TM9and10 Indicates whether the UE supports TX diversity transmission using ports 7 and 8 for TM9/10 for subslot PDSCH.	
supportedBandCombination Includes the supported CA band combinations, if any, and may include all the supported non-CA bands.	-
supportedBandCombinationAdd-r11 Includes additional supported CA band combinations in case maximum number of CA band combinations of supportedBandCombination is exceeded.	-
SupportedBandCombinationAdd-v11d0, SupportedBandCombinationAdd-v1250, SupportedBandCombinationAdd-v1270, SupportedBandCombinationAdd-v1320, SupportedBandCombinationAdd-v1380, SupportedBandCombinationAdd-v1390, SupportedBandCombinationAdd-v1450, SupportedBandCombinationAdd-v1470, SupportedBandCombinationAdd-v14b0, SupportedBandCombinationAdd-v1530  If included, the UE shall include the same number of entries, and listed in the same order, as in	-
SupportedBandCombinationAdd-r11.  SupportedBandCombinationExt, SupportedBandCombination-v1090, SupportedBandCombination-v10i0, SupportedBandCombination-v1130, SupportedBandCombination-v1250, SupportedBandCombination-v1270, SupportedBandCombination-v1320, SupportedBandCombination-v1380, SupportedBandCombination-v1390, SupportedBandCombination-v1430, SupportedBandCombination-v1450, SupportedBandCombination-v1470, SupportedBandCombination-v14b0, SupportedBandCombination-v1530  If included, the UE shall include the same number of entries, and listed in the same order, as in supportedBandCombination-r10.	-
supportedBandCombinationReduced Includes the supported CA band combinations, and may include the fallback CA combinations specified in TS 36.101 [42], clause 4.3A. This field also indicates whether the UE supports reception of requestReducedFormat.	-
v1380, SupportedBandCombinationReduced-v1390, SupportedBandCombinationReduced-v1430, SupportedBandCombinationReduced-v1450, SupportedBandCombinationReduced-v1470, SupportedBandCombinationReduced-v14b0, SupportedBandCombinationReduced-v1530 If included, the UE shall include the same number of entries, and listed in the same order, as in	
supportedBandCombinationReduced-r13.  SupportedBandGERAN	No
GERAN band as defined in TS 45.005 [20].  SupportedBandList1XRTT  One entry corresponding to each supported CDMA2000 1xRTT band class.	-
SupportedBandListEUTRA Includes the supported E-UTRA bands. This field shall include all bands which are indicated in BandCombinationParameters.	-
SupportedBandListEUTRA-v9e0, SupportedBandListEUTRA-v1250, SupportedBandListEUTRA-v1310, SupportedBandListEUTRA-v1320  If included, the UE shall include the same number of entries, and listed in the same order, as in supportedBandListEUTRA (i.e. without suffix).	-
SupportedBandListGERAN	No
SupportedBandListHRPD One entry corresponding to each supported CDMA2000 HRPD band class.	-
SupportedBandListNR-SA Includes the NR bands supported by the UE in NR-SA (for handover and redirection). The field is included in case the UE supports NR SA as specified in TS 38.331 [32] and not otherwise. The presence of this field also indicates that the UE can perform both NR SS-RSRP and SS-RSRQ measurement in the included NR band(s) as specified in TS 38.215 [89].	No
Includes the NR bands supported by the UE in NR-SA (for handover and redirection). The field is included in case the UE supports NR SA as specified in TS 38.331 [32] and not otherwise. The presence of this field also indicates that the UE can perform both NR SS-RSRP and SS-	No -

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
SupportedBandUTRA-FDD	-
UTRA band as defined in TS 25.101 [17].	
SupportedBandUTRA-TDD128 UTRA band as defined in TS 25.102 [18].	-
SupportedBandUTRA-TDD384	-
UTRA band as defined in TS 25.102 [18].	
SupportedBandUTRA-TDD768	-
UTRA band as defined in TS 25.102 [18].  supportedBandwidthCombinationSet	_
The supportedBandwidthCombinationSet indicated for a band combination is applicable to all	_
bandwidth classes indicated by the UE in this band combination.	
Field encoded as a bit map, where bit N is set to "1" if UE support Bandwidth Combination Set	
N for this band combination, see 36.101 [42]. The leading / leftmost bit (bit 0) corresponds to the Bandwidth Combination Set 0, the next bit corresponds to the Bandwidth Combination Set	
1 and so on. The UE shall neither include the field for a non-CA band combination, nor for a	
CA band combination for which the UE only supports Bandwidth Combination Set 0.	
supportedCellGrouping (Control of the Control of th	-
This field indicates for which mapping of serving cells to cell groups (i.e. MCG or SCG) the UE supports asynchronous DC. This field is only present for a band combination with more than	
two but less than six band entries where the UE supports asynchronous DC. If this field is not	
present but asynchronous operation is supported, the UE supports all possible mappings of	
serving cells to cell groups for the band combination. The bitmap size is selected based on the	
number of entries in the combinations, i.e., in case of three entries, the bitmap corresponding	
to threeEntries is selected and so on.  A bit in the bit string set to 1 indicates that the UE supports asynchronous DC for the cell	
grouping option represented by the concerned bit position. Each bit position represents a	
different cell grouping option, as illustrated by a table, see NOTE 5. A cell grouping option is	
represented by a number of bits, each representing a particular band entry in the band	
combination with the left-most bit referring to the band listed first in the band combination, etc.  Value 0 indicates that the carriers of the corresponding band entry are mapped to a first cell	
group, while value 1 indicates that the carriers of the corresponding band entry are mapped to	
a second cell group.	
It is noted that the mapping table does not include entries with all bits set to the same value (0	
or 1) as this does not represent a DC scenario (i.e. indicating that the UE supports that all carriers of the corresponding band entry are in one cell group).	
supportedCSI-Proc, sTTI-SupportedCSI-Proc	-
Indicates the maximum number of CSI processes supported on a component carrier within a	
band. Value n1 corresponds to 1 CSI process, value n3 corresponds to 3 CSI processes, and	
value n4 corresponds to 4 CSI processes. If this field is included, the UE shall include the same number of entries listed in the same order as in <i>BandParameters/STTI-SPT-</i>	
BandParameters. If the UE supports at least 1 CSI process on any component carrier, then the	
UE shall include this field in all bands in all band combinations.	
supportedCSI-Proc (in FeatureSetDL-PerCC)	-
In MR-DC, indicates the number of CSI processes for the component carrier in the	
corresponding bandwidth class. If the UE supports at least 1 CSI process, then the UE shall include this field.	
supportedMIMO-CapabilityDL-MRDC (in FeatureSetDL-PerCC)	-
In MR-DC, indicates the maximum number of supported layers in TM9/10 for the component	
carrier in the corresponding bandwidth class.	
supportedNAICS-2CRS-AP If included, the UE supports NAICS for the band combination. The UE shall include a bitmap of	-
the same length, and in the same order, as in <i>naics-Capability-List</i> , to indicate 2 CRS AP	
NAICS capability of the band combination. The first/ leftmost bit points to the first entry of	
naics-Capability-List, the second bit points to the second entry of naics-Capability-List, and so	
on.  For band combinations with a single component carrier, UE is only allowed to indicate	
{numberOfNAICS-CapableCC, numberOfAggregatedPRB} = {1, 100} if NAICS is supported.	
supportedOperatorDic	-
Indicates whether the UE supports operator defined dictionary. If UE supports operator defined	
dictionary, the UE shall report <i>versionOfDictionary</i> and <i>associatedPLMN-ID</i> of the stored operator defined dictionary. This parameter is not required to be present if the UE is in VPLMN.	
In this release of the specification, UE can only support one operator defined dictionary. The	
associatedPLMN-ID is only associated to the operator defined dictionary which has no	
relationship with UE's HPLMN ID.	

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
SupportRohcContextContinue Indicates whether the UE supports ROHC context continuation operation where the UE does	-
not reset the current ROHC context upon handover.  supportedROHC-Profiles	
Indicates the ROHC profiles that UE supports in both uplink and downlink.	
supportedUplinkOnlyROHC-Profiles	-
Indicates the ROHC profiles that UE supports in uplink and not in downlink, see TS 36.323 [8] supportedStandardDic	
Indicates whether the UE supports standard dictionary for SIP and SDP as specified in TS 36.323 [8].	_
supportedUDC	-
Indicates whether the UE supports UL data compression, see TS 36.323 [8].	
Indicates whether the UE supports TDD special subframe defined in TS 36.211 [21]. A UE shall indicate tdd-SpecialSubframe-r11 if it supports the TDD special subframes ssp7 and ssp9. A UE shall indicate tdd-SpecialSubframe-r14 if it supports the TDD special subframe ssp10, except when ssp10-TDD-Only-r14 is included.	Yes
tdd-FDD-CA-PCellDuplex	No
The presence of this field indicates that the UE supports TDD/FDD CA in any supported band combination including at least one FDD band with <i>bandParametersUL</i> and at least one TDD band with <i>bandParametersUL</i> . The first bit is set to "1" if UE supports the TDD PCell. The second bit is set to "1" if UE supports FDD PCell. This field is included only if the UE supports band combination including at least one FDD band with <i>bandParametersUL</i> and at least one TDD band with <i>bandParametersUL</i> . If this field is included, the UE shall set at least one of the bits as "1". If this field is included with DC, then it is applicable within a CG, and the presence of this field indicates the capability of the UE to support TDD/FDD CA with at least one FDD band and at least one TDD band in the same CG, with the value indicating the support for TDD/FDD PCell (PSCell).	
tdd-TTI-Bundling	Yes
The presence of this field indicates whether the UE supporting TDD special subframe configuration 10 also supports TTI bundling for TDD configuration 2 and 3 when PUSCH transimission in UpPTS is configured, see TS 36.213 [23], clause 8.0. If this field is present, the tdd-SpecialSubframe-r14 or ssp10-TDD-Only-r14 shall be present.	
timeReferenceProvision	-
Indicates whether the UE supports provision of time reference in <i>DLInformationTransfer</i>	
message. timerT312	No
Indicates whether the UE supports T312.	110
tm5-FDD	-
Indicates whether the UE supports the PDSCH transmission mode 5 in FDD.	
Indicates whether the UE supports the PDSCH transmission mode 5 in TDD.	-
tm6-CE-ModeA	Yes
Indicates whether the UE supports tm6 operation in CE mode A, see TS 36.213 [23], clause 7.2.3. This field can be included only if <i>ce-ModeA</i> is included.	
tm8-slotPDSCH Indicates whether the UE supports configuration and decoding of TM8 for slot PDSCH in TDD.	-
tm9-CE-ModeA Indicates whether the UE supports tm9 operation in CE mode A, see TS 36.213 [23], clause	Yes
7.2.3. This field can be included only if <i>ce-ModeA</i> is included.	
tm9-CE-ModeB Indicates whether the UE supports tm9 operation in CE mode B, see TS 36.213 [23], clause	Yes
7.2.3. This field can be included only if ce-ModeB is included.	
tm9-LAA Indicates whether the UE supports tm9 operation on LAA cell(s). This field can be included only if downlinkLAA is included.	-
tm9-slotSubslot Indicates whether the UE supports configuration and decoding of TM9 for slot and/or subslot	-
PDSCH for non-MBSFN.  tm9-slotSubslotMBSFN	
Indicates whether the UE supports configuration and decoding of TM9 for slot and/or subslot PDSCH for MBSFN.	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
tm9-With-8Tx-FDD	Yes
Indicates whether the UE supports PDSCH transmission mode 9 with 8 CSI reference signal ports for FDD when not operating in CE mode.	
tm10-LAA	-
Indicates whether the UE supports tm10 operation on LAA cell(s). This field can be included only if downlinkLAA is included.	
tm10-slotSubslot	-
Indicates whether the UE supports configuration and decoding of TM10 for slot and/or subslot PDSCH for non-MBSFN.	
tm10-slotSubslotMBSFN	-
Indicates whether the UE supports configuration and decoding of TM10 for slot and/or subslot PDSCH for MBSFN.	
totalWeightedLayers	-
Indicates total number of weighted layers the UE can process for FD-MIMO. See NOTE 8.	
twoAntennaPortsForPUCCH	No
twoStepSchedulingTimingInfo	-
Presence of this field indicates that the UE supports uplink scheduling using PUSCH trigger A and PUSCH trigger B (as defined in TS 36.213 [23]).	
This field also indicates the timing between the PUSCH trigger B and the earliest time the UE	
supports performing the associated UL transmission. For reception of PUSCH trigger B in	
subframe N, value <i>nPlus1</i> indicates that the UE supports performing the UL transmission in	
subframe N+1, value <i>nPlus2</i> indicates that the UE supports performing the UL transmission in	
subframe N+2, and so on.	
This field can be included only if <i>uplinkLAA</i> is included.	
txAntennaSwitchDL, txAntennaSwitchUL	-
The presence of <i>txAntennaSwitchUL</i> indicates the UE supports transmit antenna selection for	
this UL band in the band combination as described in TS 36.213 [23], clauses 8.2 and 8.7.	
The field <i>txAntennaSwitchDL</i> indicates the entry number of the first-listed band with UL in the	
band combination that affects this DL. The field <i>txAntennaSwitchUL</i> indicates the entry number	
of the first-listed band with UL in the band combination that switches together with this UL.	
Value 1 means first entry, value 2 means second entry and so on. All DL and UL that switch	
together indicate the same entry number.	
For the case of carrier switching, the antenna switching capability for the target carrier	
configuration is indicated as follows:	
For UE configured with a set of component carriers belonging to a band combination C <sub>baseline</sub> =	
$\{b_1(1),,b_x(1),,b_y(0),\}$ , where "1/0" denotes whether the corresponding band has an uplink,	
if a component carrier in $b_x$ is to be switched to a component carrier in $b_y$ (according to srs-	
SwitchFromServCellIndex), the antenna switching capability is derived based on band	
combination $C_{\text{target}} = \{b_1(1), \dots, b_x(0), \dots, b_y(1), \dots\}.$	
txDiv-PUCCH1b-ChSelect	Yes
Indicates whether the UE supports transmit diversity for PUCCH format 1b with channel	
selection.	
txDiv-SPUCCH	_
Indicates whether the UE supports Tx diversity on SPUCCH format 1/1a/1b/3.	
uci-PUSCH-Ext	No
Indicates whether the UE supports an extension of UCI delivering more than 22 HARQ-ACK	
bits on PUSCH as specified in TS 36.212 [22], clause 5.2.2.6 and TS 36.213 [23], clause 8.6.3.	
ue-Autonomous With Full Sensing	_
Indicates whether the UE supports transmitting PSCCH/PSSCH using UE autonomous	
resource selection mode with full sensing (i.e., continuous channel monitoring) for V2X sidelink	
communication and the UE supports maximum transmit power associated with Power class 3	
V2X UE, see TS 36.101 [42].	
ue-AutonomousWithPartialSensing	
	-
Indicates whether the UE supports transmitting PSCCH/PSSCH using UE autonomous	
resource selection mode with partial sensing (i.e., channel monitoring in a limited set of	
resource selection mode with partial sensing (i.e., channel monitoring in a limited set of subframes) for V2X sidelink communication and the UE supports maximum transmit power	
resource selection mode with partial sensing (i.e., channel monitoring in a limited set of subframes) for V2X sidelink communication and the UE supports maximum transmit power associated with Power class 3 V2X UE, see TS 36.101 [42].	
resource selection mode with partial sensing (i.e., channel monitoring in a limited set of subframes) for V2X sidelink communication and the UE supports maximum transmit power	

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
ue-CategoryDL	-
UE DL category as defined in TS 36.306 [5]. Value <i>n17</i> corresponds to UE category 17, value <i>m1</i> corresponds to UE category M1, value <i>oneBis</i> corresponds to UE category 1bis, value m2 corresponds to UE category M2. For ASN.1 compatibility, a UE indicating DL category 0, m1 or m2 shall also indicate any of the categories (15) in <i>ue-Category</i> (without suffix), which is ignored by the eNB, a UE indicating UE category oneBis shall also indicate UE category 1 in	
ue-Category (without suffix), and a UE indicating UE category m2 shall also indicate UE category m1. The field ue-CategoryDL is set to values 0, m1, oneBis, m2, 4, 6, 7, 9 to 16, n17, 18, 19, 20, 21, 22, 23, 24, 25, 26 in this version of the specification.	
<ul><li>ue-CategorySL-C-TX</li><li>UE SL category for V2X transmission as defined in TS 36.306 [5]. Set to values 1 to 5 in this version of the specification.</li></ul>	-
<ul><li>ue-CategorySL-C-RX</li><li>UE SL category for V2X reception as defined in TS 36.306 [5]. Set to values 1 to 4 in this version of the specification.</li></ul>	-
ue-CategoryUL UE UL category as defined in TS 36.306 [5]. Value n14 corresponds to UE category 14, value n16 corresponds to UE category 16 and so on. Value m1 corresponds to UE category M1, value m2 corresponds to UE category M2, value oneBis corresponds to UE category 1bis. The field ue-CategoryUL is set to values m1, m2, 0, oneBis, 3, 5, 7, 8, 13, n14, 15, n16 to n21 or 22 to 26 in this version of the specification.	-
ue-CA-PowerClass-N Indicates whether the UE supports UE power class N in the E-UTRA band combination, see TS 36.101 [42] and TS 36.307 [78]. If ue-CA-PowerClass-N is not included, UE supports the default UE power class in the E-UTRA band combination, see TS 36.101 [42].	-
ue-CE-NeedULGaps Indicates whether the UE needs uplink gaps during continuous uplink transmission in FDD as specified in TS 36.211 [21] and TS 36.306 [5].	-
ue-PowerClass-N, ue-PowerClass-5 Indicates whether the UE supports UE power class 1, 2, 4 or 5 in the E-UTRA band, see TS 36.101 [42] and TS 36.307 [79]. UE includes either ue-PowerClass-N or ue-PowerClass-5. If neither ue-PowerClass-N nor ue-PowerClass-5 is included, UE supports the default UE power class in the E-UTRA hand, see TS 36.404 [42].	-
class in the E-UTRA band, see TS 36.101 [42].  ue-Rx-TxTimeDiffMeasurements	No
Indicates whether the UE supports Rx - Tx time difference measurements.	Na
ue-SpecificRefSigsSupported ue-SSTD-Meas	No
Indicates whether the UE supports SSTD measurements between the PCell and the PSCell as specified in TS 36.214 [48] and TS 36.133 [16].	-
ue-TxAntennaSelectionSupported Except for the supported band combinations for which bandParameterList-v1380 is included, TRUE indicates that the UE is capable of supporting UE transmit antenna selection such that all the supported bands in the band combination are affected by transmit antenna switching, as described in TS 36.213 [23], clause 8.7. E-UTRAN ignores this field for band combinations for which bandParameterList-v1380 is included.	Yes
ue-TxAntennaSelection-SRS-1T4R Indicates whether the UE supports selecting one antenna among four antennas to transmit SRS for the corresponding band of the band combination as described in TS 36.213 [23].	-
ue-TxAntennaSelection-SRS-2T4R-2Pairs Indicates whether the UE supports selecting one antenna pair between two antenna pairs to transmit SRS simultaneously for the corresponding band of the band combination as described in TS 36.213 [23].	-
ue-TxAntennaSelection-SRS-2T4R-3Pairs Indicates whether the UE supports selecting one antenna pair among three antenna pairs to transmit SRS simultaneously for the corresponding band of the band combination as described in TS 36.213 [23].	-
ul-64QAM Indicates whether the UE supports 64QAM in UL on the band. This field is only present when the field ue-CategoryUL indicates UL UE category that supports UL 64QAM, see TS 36.306 [5], Table 4.1A-2. If the field is present for one band, the field shall be present for all bands including downlink only bands.	-

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
ul-256QAM Indicates whether the UE supports 256QAM in UL on the band in the band combination. This field is only present when the field ue-CategoryUL indicates UL UE category that supports 256QAM in UL, see TS 36.306 [5], Table 4.1A-2. The UE includes this field only if the field ul-256QAM-perCC-InfoList is not included.	-
ul-256QAM-perCC-InfoList Indicates, per serving carrier of which the corresponding bandwidth class includes multiple serving carriers (i.e. bandwidth class B, C, D and so on), whether the UE supports 256QAM in the band combination. The number of entries is equal to the number of component carriers in the corresponding bandwidth class. The UE shall support the setting indicated in each entry of the list regardless of the order of entries in the list. This field is only present when the field ue-CategoryUL indicates UL UE category that supports 256QAM in UL, see TS 36.306 [5], Table 4.1A-2. The UE includes this field only if the field ul-256QAM is not included.  ul-256QAM-Slot	-
Indicates whether the UE supports 256QAM in UL for slot TTI operation on the band.	
ul-256QAM-Subslot Indicates whether the UE supports 256QAM in UL for subslot TTI operation on the band.	-
ul-AsyncHarqSharingDiff-TTI-Lengths Indicates whether the UE supports UL asynchronous HARQ sharing between different TTI lengths for an UL serving cell.	-
ul-CoMP Indicates whether the UE supports UL Coordinated Multi-Point operation.	No
ul-dmrs-Enhancements Indicates whether the UE supports UL DMRS enhancements as defined in TS 36.211 [21], clause 6.10.3A.	FFS
ul-PDCP-Delay Indicates whether the UE supports UL PDCP Packet Delay per QCI measurement as specified in TS 36.314 [71].	-
ul-powerControlEnhancements Indicates whether UE supports UplinkPowerControlDedicated.	-
uplinkLAA Presence of the field indicates that the UE supports uplink LAA operation.	-
uss-BlindDecodingAdjustment Indicates whether the UE supports blind decoding adjustment on UE specific search space as defined in TS 36.213 [22]. This field can be included only if uplinkLAA is included.	-
uss-BlindDecodingReduction Indicates whether the UE supports blind decoding reduction on UE specific search space by not monitoring DCI format 0A/0B/4A/4B as defined in TS 36.213 [22]. This field can be included only if uplinkLAA is included.	-
unicastFrequencyHopping Indicates whether the UE supports frequency hopping for unicast MPDCCH/PDSCH (configured by mpdcch-pdsch-HoppingConfig) and unicast PUSCH (configured by pusch-HoppingConfig).	-
unicast-fembmsMixedSCell Indicates whether the UE supports unicast reception from FeMBMS/Unicast mixed cell. This field is included only if UE supports carrier aggregation.	No
utra-GERAN-CGI-Reporting-ENDC Indicates whether the UE supports Inter-RAT report CGI procedure towards GERAN/UTRA cell when it is configured with (NG)EN-DC wherein either MN and SN have different DRX cycles, or on-duration configured by MN does not contain on-duration configured by SN if their DRX cycles are same.	Yes
utran-ProximityIndication	-
Indicates whether the UE supports proximity indication for UTRAN CSG member cells.  utran-SI-AcquisitionForHO  Indicates whether the UE supports, upon configuration of si-RequestForHO by the network, acquisition and reporting of relevant information using autonomous gaps by reading the SI from a neighbouring UMTS cell.	Yes
v2x-BandwidthClassTxSL, v2x-BandwidthClassRxSL  The bandwidth class for V2X sidelink transmission and reception supported by the UE as defined in TS 36.101 [42], Table 5.6G.1-3.	-
The UE explicitly includes all the supported bandwidth class combinations for V2X sidelink transmission or reception in the band combination signalling. Support for one bandwidth class does not implicitly indicate support for another bandwidth class.	

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
v2x-eNB-Scheduled	-
Indicates whether the UE supports transmitting PSCCH/PSSCH using dynamic scheduling,	
SPS in eNB scheduled mode for V2X sidelink communication, reporting SPS assistance	
information and the UE supports maximum transmit power associated with Power class 3 V2X	
UE, see TS 36.101 [42] in a band.  v2x-EnhancedHighReception	1
Indicates whether the UE supports reception of 30 PSCCH in a subframe and decoding of 204	-
RBs per subframe counting both PSCCH and PSSCH in a band for V2X sidelink	
communication.	
v2x-HighPower	_
Indicates whether the UE supports maximum transmit power associated with Power class 2	
V2X UE for V2X sidelink transmission in a band, see TS 36.101 [42].	
v2x-HighReception	-
Indicates whether the UE supports reception of 20 PSCCH in a subframe and decoding of 136	
RBs per subframe counting both PSCCH and PSSCH in a band for V2X sidelink	
communication.	
v2x-nonAdjacentPSCCH-PSSCH	-
Indicates whether the UE supports transmission and reception in the configuration of non-	
adjacent PSCCH and PSSCH for V2X sidelink communication.	
v2x-numberTxRxTiming	-
Indicates the number of multiple reference TX/RX timings counted over all the configured	
sidelink carriers for V2X sidelink communication.	
v2x-SensingReportingMode3	-
Indicates whether the UE supports sensing measurements and reporting of measurement	
results in eNB scheduled mode for V2X sidelink communication.	
v2x-SupportedBandCombinationList	
Indicates the supported band combination list on which the UE supports simultaneous transmission and/or reception of V2X sidelink communication.	
v2x-SupportedTxBandCombListPerBC, v2x-SupportedRxBandCombListPerBC	
Indicates, for a particular band combination of EUTRA, the supported band combination list	_
among v2x-SupportedBandCombinationList on which the UE supports simultaneous	
transmission or reception of EUTRA and V2X sidelink communication respectively. The first bit	
refers to the first entry of v2x-SupportedBandCombinationList, with value 1 indicating V2X	
sidelink transmission/reception is supported.	
v2x-TxWithShortResvInterval	-
Indicates whether the UE supports 20 ms and 50 ms resource reservation periods for UE	
autonomous resource selection and eNB scheduled resource allocation for V2X sidelink	
communication.	
voiceOverPS-HS-UTRA-FDD	-
Indicates whether UE supports IMS voice according to GSMA IR.58 profile in UTRA FDD.	
voiceOverPS-HS-UTRA-TDD128	-
Indicates whether UE supports IMS voice in UTRA TDD 1.28Mcps.	
ims-VoiceOverNR-PDCP-MCG-Bearer	Yes
Indicates whether the UE supports IMS voice over NR PDCP with only MCG RLC bearer.	V
ims-VoiceOverNR-PDCP-SCG-Bearer	Yes
Indicates whether the UE supports IMS voice over NR PDCP with only SCG RLC bearer when	
configured with EN-DC. ims-VoNR-PDCP-SCG-NGENDC	Yes
Indicates whether the UE supports IMS voice over NR PDCP with only SCG RLC bearer when	163
configured with NGEN-DC.	
whiteCellList	
Indicates whether the UE supports EUTRA white cell listing to limit the set of cells applicable	
for measurements.	
wlan-IW-RAN-Rules	-
Indicates whether the UE supports RAN-assisted WLAN interworking based on access network	
selection and traffic steering rules.	
wlan-IW-ANDSF-Policies	-
Indicates whether the UE supports RAN-assisted WLAN interworking based on ANDSF	
policies.	
wlan-MAC-Address	-
Indicates the WLAN MAC address of this UE.	
wlan-PeriodicMeas	-
Indicates whether the UE supports periodic reporting of WLAN measurements.	

UE-EUTRA-Capability field descriptions	FDD/ TDD diff
wlan-ReportAnyWLAN	-
Indicates whether the UE supports reporting of WLANs not listed in the measObjectWLAN.	
wlan-SupportedDataRate	-
Indicates the maximum WLAN data rate supported by the UE over all LWA bearers. Actual	
value of supported data rate is field value * 10 Mbps (i.e., value 1 corresponds to 10 Mbps,	
value 2 corresponds to 20 Mbps and so on).	
zp-CSI-RS-AperiodicInfo	FFS
Indicates whether the UE supports aperiodic ZP-CSI-RS transmission for the indicated	
transmission mode.	

- NOTE 1: The IE *UE-EUTRA-Capability* does not include AS security capability information, since these are the same as the security capabilities that are signalled by NAS. Consequently, AS need not provide "man-in-the-middle" protection for the security capabilities.
- NOTE 2: The column FDD/ TDD diff indicates if the UE is allowed to signal, as part of the additional capabilities for an XDD mode i.e. within *UE-EUTRA-CapabilityAddXDD-Mode-xNM*, a different value compared to the value signalled elsewhere within *UE-EUTRA-Capability* (i.e. the common value, supported for both XDD modes). A '-' is used to indicate that it is not possible to signal different values (used for fields for which the field description is provided for other reasons). Annex E specifies for which TDD and FDD serving cells a UE supporting TDD/FDD CA shall support a capability for which it indicates support within the capability signalling.
- NOTE 2a: From REL-15 onwards, the UE is not allowed to signal different values for FDD and TDD unless yes is indicated in column FDD/ TDD diff (i.e. no need to introduce field description solely for the purpose of indicate no).
- NOTE 3: The BandCombinationParameters for the same band combination can be included more than once.
- NOTE 4: UE CA and measurement capabilities indicate the combinations of frequencies that can be configured as serving frequencies.
- NOTE 5: The grouping of the cells to the first and second cell group, as indicated by *supportedCellGrouping*, is shown in the table below. The leading / leftmost bit of *supportedCellGrouping* corresponds to the Bit String Position 1.

Nr of Band Entries:	5	4	3
Length of Bit-String:	15	7	3
Bit String Position	Cell grouping option (0= first cell group, 1= second cell group)		
1	00001	0001	001
2	00010	0010	010
3	00011	0011	011
4	00100	0100	
5	00101	0101	
6	00110	0110	
7	00111	0111	
8	01000		
9	01001		
10	01010		
11	01011		
12	01100		
13	01101		
14	01110		
15	01111		

- NOTE 6: UE includes the *intraBandContiguousCC-InfoList-r12* also for bandwidth class A because of the presence conditions in *BandCombinationParameters-v1270*. For example, if UE supports CA\_1A\_41D band combination, if UE includes the field *intraBandContiguousCC-InfoList-r12* for band 41, the UE includes *intraBandContiguousCC-InfoList-r12* also for band 1.
- NOTE 7: For a UE that indicates release X in field *accessStratumRelease* but supports a feature specified in release X+ N (i.e. early UE implementation), the ASN.1 comprehension requirement are specified in Annex F.
- NOTE 8: For a UE that does not include *mimo-WeightedLayersCapabilities-r13*, or for the case with no CC configured with FD-MIMO, the FD-MIMO processing capability condition is not applicable (i.e. considered as satisfied). For a UE that includes *mimo-WeightedLayersCapabilities-r13*, the FD-MIMO processing capability condition is satisfied if the equation 4.3.28.13-1 in TS 36.306 [5] is satisfied.

## UE-RadioPagingInfo

The UE-RadioPagingInfo IE contains UE capability information needed for paging.

## UE-RadioPagingInfo information element

```
-- ASN1START
UE-RadioPagingInfo-r12 ::=
                                        SEQUENCE {
    ue-Category-v1250
                                        INTEGER (0)
                                                            OPTIONAL.
    [[ ue-CategoryDL-v1310
                                                ENUMERATED {m1}
                                                                     OPTIONAL,
       ce-ModeA-r13
                                                ENUMERATED {true}
                                                                    OPTIONAL,
        ce-ModeB-r13
                                                ENUMERATED {true}
                                                                    OPTIONAL
    [[ wakeUpSignal-r15
                                                ENUMERATED {true}
                                                                    OPTIONAL,
        wakeUpSignal-TDD-r15
                                                ENUMERATED {true}
                                                                    OPTIONAL,
        wakeUpSignalMinGap-eDRX-r15
                                            ENUMERATED {ms40, ms240, ms1000, ms2000}
    OPTIONAL,
        wakeUpSignalMinGap-eDRX-TDD-r15
                                            ENUMERATED {ms40, ms240, ms1000, ms2000}
                                                                                             OPTIONAL
```

-- ASN1STOP

### UE-RadioPagingInfo field descriptions

### ce-ModeA, ce-ModeB

Indicates whether the UE supports operation in CE mode A and/or B, as specified in TS 36.211 [21] and TS 36.213 [23].

### ue-Category, ue-CategoryDL

UE category as defined in TS 36.306 [5]. A category M2 UE shall always include the field *ue-CategoryDL-v1310* in this version of the specification.

# wakeUpSignal, wakeUpSignal-TDD

Indicates whether the UE supports WUS for paging, as specified in TS 36.213 [22] and TS 36.304 [4]. If this field is included, the minimum gap between WUS and associated PO for DRX is fixed as 40 ms.

# wakeUpSignalMinGap-eDRX, wakeUpSignalMinGap-eDRX-TDD

Indicates the minimum gap the UE supports between WUS and associated PO for eDRX as specified in TS 36.213 [22] and TS 36.304 [4]. Value ms40 corresponds to 40 ms, ms240 corresponds to 240 ms and so on. If this field is included, the UE shall also indicate support of WUS for paging.

# UE-TimersAndConstants

The IE *UE-TimersAndConstants* contains timers and constants used by the UE in either RRC\_CONNECTED or RRC\_IDLE.

### **UE-TimersAndConstants** information element

```
-- ASN1START
UE-TimersAndConstants ::=
                                  SEQUENCE {
                                      ENUMERATED {
   t.300
                                          ms100, ms200, ms300, ms400, ms600, ms1000, ms1500,
                                          ms2000}.
   t301
                                      ENUMERATED {
                                          ms100, ms200, ms300, ms400, ms600, ms1000, ms1500,
                                          ms2000}
   +310
                                      ENUMERATED
                                         ms0, ms50, ms100, ms200, ms500, ms1000, ms2000},
                                      n310
   t.311
                                      ENUMERATED {
                                          ms1000, ms3000, ms5000, ms10000, ms15000,
                                          ms20000, ms30000},
   n311
                                      ENUMERATED {
                                          n1, n2, n3, n4, n5, n6, n8, n10},
   [[ t300-v1310
                                      ENUMERATED {
                                          ms2500, ms3000, ms3500, ms4000, ms5000, ms6000, ms8000,
                                          ms10000}
                                                        OPTIONAL, -- Need OR
                                      ENUMERATED {
       t301-v1310
                                          ms2500, ms3000, ms3500, ms4000, ms5000, ms6000, ms8000,
                                          ms10000}
                                                         OPTIONAL
                                                                    -- Need OR
    [[ t310-v1330
                                          ENUMERATED {ms4000, ms6000}
                                                         OPTIONAL
                                                                     -- Need OR
    [[ t300-r15
                                      ENUMERATED {ms4000, ms6000, ms8000, ms10000, ms15000,
                                          ms25000, ms40000, ms60000} OPTIONAL
                                                                                   -- Cond EDT
   11
-- ASN1STOP
```

### **UE-TimersAndConstants** field descriptions

### n3xy

Constants are described in clause 7.4. n1 corresponds with 1, n2 corresponds with 2 and so on.

#### t3xy

Timers are described in clause 7.3. Value ms0 corresponds with 0 ms, ms50 corresponds with 50 ms and so on. EUTRAN includes an extended value t3xy-v1310 and t3xy-v1330 only in the Bandwidth Reduced (BR) version of the SIB. UEs that support Coverage Enhancement (CE) mode B shall use the extended values t3xy-v1310 and t3xy-v1330, if present, and ignore the value signaled by t3xy (without the suffix).

t300-r15 is only applicable for EDT. UE performing EDT shall use t300-r15, if present.

Conditional presence	Explanation
EDT	The field is optionally present, Need OR, if edt-Parameters is present in SIB2; otherwise
	the field is not present and the UE shall delete any existing value for this field.

# VisitedCellInfoList

The IE *VisitedCellInfoList* includes the mobility history information of maximum of 16 most recently visited cells or time spent outside E-UTRA. The most recently visited cell is stored first in the list. The list includes cells visited in RRC\_IDLE and RRC\_CONNECTED states.

### VisitedCellInfoList information element

```
-- ASN1START
VisitedCellInfoList-r12 ::= SEQUENCE (SIZE (1..maxCellHistory-r12)) OF VisitedCellInfo-r12
VisitedCellInfo-r12 ::=
                                    SEQUENCE {
    visitedCellId-r12
                                        CHOICE {
        cellGlobalId-r12
                                                 CellGlobalIdEUTRA.
        pci-arfcn-r12
                                                 SEQUENCE {
           physCellId-r12
                                                    PhysCellId,
                                                     ARFCN-ValueEUTRA-r9
            carrierFreg-r12
                                                                     OPTIONAL,
    timeSpent-r12
                                        INTEGER (0..4095),
-- ASN1STOP
```

# VisitedCellInfoList field descriptions

### timeSpent

This field indicates the duration of stay in the cell or outside E-UTRA approximated to the closest second. If the duration of stay exceeds 4095s, the UE shall set it to 4095s.

# WLAN-OffloadConfig

The IE *WLAN-OffloadConfig* includes information for traffic steering between E-UTRAN and WLAN. The fields are applicable to both RAN-assisted WLAN interworking based on access network selection and traffic steering rules and RAN-assisted WLAN interworking based on ANDSF policies unless stated otherwise in the field description.

# WLAN-OffloadConfig information element

```
-- ASN1START
WLAN-OffloadConfig-r12 ::=
                                        SEQUENCE {
    thresholdRSRP-r12
                                            SEQUENCE {
        thresholdRSRP-Low-r12
                                                RSRP-Range,
        thresholdRSRP-High-r12
                                                RSRP-Range
                                                                        OPTIONAL, -- Need OR
    thresholdRSRQ-r12
                                            SEQUENCE {
        thresholdRSRQ-Low-r12
                                                RSRQ-Range,
        thresholdRSRQ-High-r12
                                                RSRQ-Range
                                                                        OPTIONAL, -- Need OR
    thresholdRSRQ-OnAllSymbolsWithWB-r12 SEQUENCE {
```

```
thresholdRSRQ-OnAllSymbolsWithWB-Low-r12
                                                           RSRQ-Range,
        thresholdRSRQ-OnAllSymbolsWithWB-High-r12
                                                            RSRQ-Range
                                                                        OPTIONAL, -- Need OP
    thresholdRSRQ-OnAllSymbols-r12
                                           SEQUENCE {
        thresholdRSRQ-OnAllSymbolsLow-r12
                                                            RSRQ-Range,
         thresholdRSRQ-OnAllSymbolsHigh-r12
                                                            RSRQ-Range
                                                                        OPTIONAL, -- Need OP
    thresholdRSRO-WB-r12
                                            SEQUENCE {
        thresholdRSRQ-WB-Low-r12
                                                            RSRQ-Range,
        thresholdRSRQ-WB-High-r12
                                                            RSRQ-Range
                                                                        OPTIONAL, -- Need OP
    thresholdChannelUtilization-r12
                                           SEQUENCE {
        thresholdChannelUtilizationLow-r12
                                             INTEGER (0..255),
        thresholdChannelUtilizationHigh-r12
                                               INTEGER (0..255)
                                                                        OPTIONAL, -- Need OR
                                       SEQUENCE {
    thresholdBackhaul-Bandwidth-r12
        thresholdBackhaulDL-BandwidthLow-r12
                                               WLAN-backhaulRate-r12,
        thresholdBackhaulDL-BandwidthHigh-r12
                                               WLAN-backhaulRate-r12,
        thresholdBackhaulUL-BandwidthLow-r12
                                                WLAN-backhaulRate-r12,
        thresholdBackhaulUL-BandwidthHigh-r12
                                               WLAN-backhaulRate-r12
                                                                       OPTIONAL, -- Need OR
    thresholdWLAN-RSSI-r12
                                                SEQUENCE {
        thresholdWLAN-RSSI-Low-r12
                                                   INTEGER (0..255),
        thresholdWLAN-RSSI-High-r12
                                                   INTEGER (0..255)
                                                                       OPTIONAL, -- Need OR
                                                                       OPTIONAL, -- Need OR
OPTIONAL, -- Need OR
    offloadPreferenceIndicator-r12
                                        BIT STRING (SIZE (16))
    t-SteeringWLAN-r12
                                           T-Reselection
WLAN-backhaulRate-r12 ::=
                                           ENUMERATED
                                        {r0, r4, r8, r16, r32, r64, r128, r256, r512,
                                        r1024, r2048, r4096, r8192, r16384, r32768, r65536, r131072,
                                        r262144, r524288, r1048576, r2097152, r4194304, r8388608,
                                        r16777216, r33554432, r67108864, r134217728, r268435456,
                                       r536870912, r1073741824, r2147483648, r4294967296}
-- ASN1STOP
```

### WLAN-OffloadConfig field descriptions

### offloadPreferenceIndicator

Indicates the offload preference indicator. Parameter: OPI in TS 24.312 [66]. Only applicable to RAN-assisted WLAN interworking based on ANDSF policies.

# thresholdBackhaulDLBandwidth-High

Indicates the backhaul available downlink bandwidth threshold used by the UE for traffic steering to WLAN. Parameter: ThreshBackhRateDLWLAN, High in TS 36.304 [4]. Value in kilobits/second. Value rN corresponds to N kbps.

# thresholdBackhaulDLBandwidth-Low

Indicates the backhaul available downlink bandwidth threshold used by the UE for traffic steering to E-UTRAN. Parameter: ThreshBackhRateDLWLAN, Low in TS 36.304 [4]. Value in kilobits/second. Value rN corresponds to N kbps.

### thresholdBackhaulULBandwidth-High

Indicates the backhaul available uplink bandwidth threshold used by the UE for traffic steering to WLAN. Parameter: Thresh<sub>BackhRateULWLAN, High</sub> in TS 36.304 [4]. Value in kilobits/second. Value rN corresponds to N kbps.

### thresholdBackhaulULBandwidth-Low

Indicates the backhaul available uplink bandwidth threshold used by the UE for traffic steering to E-UTRAN. Parameter: ThreshBackhRateULWLAN, Low in TS 36.304 [4]. Value in kilobits/second. Value rN corresponds to N kbps.

### thresholdChannelUtilization-High

Indicates the WLAN channel utilization (BSS load) threshold used by the UE for traffic steering to E-UTRAN. Parameter: ThreshohutilwLAN, High in TS 36.304 [4].

### thresholdChannelUtilization-Low

Indicates the WLAN channel utilization (BSS load) threshold used by the UE for traffic steering to WLAN. Parameter: Threshchutilwlan, Low in TS 36.304 [4].

### thresholdRSRP-High

Indicates the RSRP threshold (in dBm) used by the UE for traffic steering to E-UTRAN. Parameter:

ThreshServingOffloadWLAN, HighP in TS 36.304 [4].

### thresholdRSRP-Low

Indicates the RSRP threshold (in dBm) used by the UE for traffic steering to WLAN. Parameter: Thresh<sub>ServingOffloadWLAN</sub>, LowP in TS 36.304 [4].

# thresholdRSRQ-High,thresholdRSRQ-OnAllSymbolsHigh, thresholdRSRQ-WB-High, thresholdRSRQ-OnAllSymbolsWithWB-High

Indicates the RSRQ threshold (in dB) used by the UE for traffic steering to E-UTRAN. Parameter:

Thresh<sub>ServingOffloadWLAN, HighQ</sub> in TS 36.304 [4]. The UE shall only apply one of threshold values of *thresholdRSRQ-OnAllSymbolsWithWB-High*, *thresholdRSRQ-OnAllSymbolsHigh*, *thresholdRSRQ-WB-High* and *thresholdRSRQ-High* as present in *wlan-OffloadConfigCommon* and forward this to upper layer. NOTE 1.

# thresholdRSRQ-Low,thresholdRSRQ-OnAllSymbolsLow, thresholdRSRQ-WB-Low, thresholdRSRQ-OnAllSymbolsWithWB-Low

Indicates the RSRQ threshold (in dB) used by the UE for traffic steering to WLAN. Parameter: Thresh<sub>ServingOffloadWLAN</sub>, LowQ in TS 36.304 [4].

The UE shall only apply one of threshold values of thresholdRSRQ-OnAllSymbolsWithWB-Low, thresholdRSRQ-OnAllSymbolsLow, thresholdRSRQ-WB-Low and thresholdRSRQ-Low as present in wlan-OffloadConfigCommon and forward this to upper layer. NOTE 1.

### thresholdWLAN-RSSI-High

Indicates the WLAN RSSI threshold used by the UE for traffic steering to WLAN. Parameter: Thresh<sub>WLANRSSI, High</sub> in TS 36.304 [4]. Value 0 corresponds to -128dBm, 1 corresponds to -127dBm and so on.

# thresholdWLAN-RSSI-Low

Indicates the WLAN RSSI threshold used by the UE for traffic steering to E-UTRAN. Parameter: ThreshwLANRSSI, Low in TS 36.304 [4]. Value 0 corresponds to -128dBm, 1 corresponds to -127dBm and so on.

### t-SteeringWLAN

Indicates the timer value during which the rules should be fulfilled before starting traffic steering between E-UTRAN and WLAN. Parameter: Tsteering<sub>WLAN</sub> in TS 36.304 [4]. Only applicable to RAN-assisted WLAN interworking based on access network selection and traffic steering rules.

NOTE 1: Within SIB17, E-UTRAN includes the fields corresponding to same RSRQ types as included in SIB1. E.g. if E-UTRAN includes *q-QualMinRSRQ-OnAllSymbols* in SIB1 it also includes *thresholdRSRQ-OnAllSymbols* in SIB17. Within the *RRCConnectionReconfiguration* message E-UTRAN only includes *thresholdRSRQ*, setting the value according to the RSRQ type used for E-UTRAN. The UE shall apply the RSRQ fields (RSRQ threshold, high and low) corresponding to one RSRQ type i.e. the same as it applies for E-UTRAN.

# 6.3.7 MBMS information elements

# MBMS-NotificationConfig

The IE *MBMS-NotificationConfig* specifies the MBMS notification related configuration parameters, that are applicable for all MBSFN areas.

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### MBMS-NotificationConfig information element

```
-- ASN1START

MBMS-NotificationConfig-r9 ::= SEQUENCE {
    notificationRepetitionCoeff-r9 ENUMERATED {n2, n4},
    notificationOffset-r9 INTEGER (0..10),
    notificationSF-Index-r9 INTEGER (1..6)
}

MBMS-NotificationConfig-v1430 ::= SEQUENCE {
    notificationSF-Index-v1430 INTEGER (7..10)
}

-- ASN1STOP
```

### MBMS-NotificationConfig field descriptions

### notificationOffset

Indicates, together with the *notificationRepetitionCoeff*, the radio frames in which the MCCH information change notification is scheduled i.e. the MCCH information change notification is scheduled in radio frames for which: SFN mod notification repetition period = *notificationOffset*.

### notificationRepetitionCoeff

Actual change notification repetition period common for all MCCHs that are configured= shortest modification period/ notificationRepetitionCoeff. The 'shortest modification period' corresponds with the lowest value of mcch-ModificationPeriod of all MCCHs that are configured. Value n2 corresponds to coefficient 2, and so on.

### notificationSF-Index

Indicates the subframe used to transmit MCCH change notifications on PDCCH. FDD: Value 1, 2, 3, 4, 5 and 6 correspond with subframe #1, #2, #3 #6, #7, and #8 respectively. Value 7, 8, 9 and 10 correspond with subframe #0, #4, #5 and #9 respectively. If *notificationSF-Index-v1430* is included, UE ignores *notificationSF-Index-r9*. TDD: Value 1, 2, 3, 4, and 5 correspond with subframe #3, #4, #7, #8, and #9 respectively.

# – MBMS-ServiceList

The IE MBMS-ServiceList provides the list of MBMS services which the UE is receiving or interested to receive.

### MBMS-ServiceList information element

### MBSFN-Areald

The IE *MBSFN-Areald* identifies an MBSFN area by means of a locally unique value at lower layers i.e. it concerns parameter  $N_{\rm ID}^{\rm MBSFN}$  in TS 36.211 [21], clause 6.10.2.1.

## **MBSFN-Areald** information element

```
-- ASN1START

MBSFN-Areald-r12 ::= INTEGER (0..255)

-- ASN1STOP
```

# – MBSFN-AreaInfoList

The IE *MBSFN-AreaInfoList* contains the information required to acquire the MBMS control information associated with one or more MBSFN areas.

### MBSFN-AreaInfoList information element

```
-- ASN1START
MBSFN-AreaInfoList-r9 ::=
                                           SEQUENCE (SIZE(1..maxMBSFN-Area)) OF MBSFN-AreaInfo-r9
MBSFN-AreaInfo-r9 ::=
                                           SEQUENCE {
    mbsfn-AreaId-r9
non-MBSFNregionLength
notificationIndicator-r9
                                            MBSFN-Areald-r12,
                                                 ENUMERATED {s1, s2},
                                                INTEGER (0..7),
        h-Config-r9
mcch-RepetitionPeriod-r9
mcch-Offset-r9
mcch-ModificationPeriod-r9
sf-AllocInfo-r9
signallingMCS-r9

SEQUENCE {
ENUMERATED {rf32, rf64, rf128
INTEGER (0..10),
ENUMERATED {rf512, rf1024},
BIT STRING (SIZE(6)),
ENUMERATED {n2, n7, n13, n19}
    mcch-Config-r9
                                                ENUMERATED {rf32, rf64, rf128, rf256},
     },
                               SEQUENCE {
     [[ mcch-Config-r14
              mcch-RepetitionPeriod-v1430 ENUMERATED {rf1, rf2, rf4, rf8,
                                               rf16 } OPTIONAL, -- Need OR
              mcch-ModificationPeriod-v1430 ENUMERATED {rf1, rf2, rf4, rf8, rf16, rf32, rf64, rf128,
                                                     rf256, spare7}
                                                                                             OPTIONAL -- Need OR
                                                                                                            -- Need OR
                                                                                             OPTIONAL,
          subcarrierSpacingMBMS-r14 ENUMERATED {kHz7dot5, kHz1dot25} OPTIONAL
                                                                                                            -- Need OR
     ]]
-- ASN1STOP
```

### MBSFN-AreaInfoList field descriptions

#### mcch-ModificationPeriod

Defines periodically appearing boundaries, i.e. radio frames for which SFN mod *mcch-ModificationPeriod* = 0. The contents of different transmissions of MCCH information can only be different if there is at least one such boundary inbetween them. In case *mcch-ModificationPeriod-v1430* is configured, the UE shall ignore the *mcch-ModificationPeriod-r9*.

#### mcch-Offset

Indicates, together with the *mcch-RepetitionPeriod*, the radio frames in which MCCH is scheduled i.e. MCCH is scheduled in radio frames for which: SFN mod *mcch-RepetitionPeriod* = *mcch-Offset*.

#### mcch-RepetitionPeriod

Defines the interval between transmissions of MCCH information, in radio frames, Value rf32 corresponds to 32 radio frames, rf64 corresponds to 64 radio frames and so on. In case *mcch-RepetitionPeriod-v1430* is configured, the UE shall ignore the *mcch-RepetitionPeriod-r9*.

### non-MBSFNregionLength

Indicates how many symbols from the beginning of the subframe constitute the non-MBSFN region. This value applies in all subframes of the MBSFN area used for PMCH transmissions as indicated in the MSI. The values s1 and s2 correspond with 1 and 2 symbols, respectively: see TS 36.211 [21], Table 6.7-1.

#### notificationIndicator

Indicates which PDCCH bit is used to notify the UE about change of the MCCH applicable for this MBSFN area. Value 0 corresponds with the least significant bit as defined in TS 36.212 [22], clause 5.3.3.1 and so on.

#### sf-AllocInfo

Indicates the subframes of the radio frames indicated by the *mcch-RepetitionPeriod* and the *mcch-Offset*, that may carry MCCH. Value "1" indicates that the corresponding subframe is allocated. The following mapping applies: FDD: The first/ leftmost bit defines the allocation for subframe #1 of the radio frame indicated by *mcch-RepetitionPeriod* and *mcch-Offset*, the second bit for #2, the third bit for #3, the fourth bit for #6, the fifth bit for #7 and the sixth bit for #8.

TDD: The first/leftmost bit defines the allocation for subframe #3 of the radio frame indicated by *mcch-RepetitionPeriod* and *mcch-Offset*, the second bit for #4, third bit for #7, fourth bit for #8, fifth bit for #9. Uplink subframes are not allocated. The last bit is not used.

# signallingMCS

Indicates the MCS applicable for the subframes indicated by the field *sf-AllocInfo* and for each (P)MCH that is configured for this MBSFN area, for the first subframe allocated to the (P)MCH within each MCH scheduling period (which may contain the MCH scheduling information provided by MAC). Value n2 corresponds with the value 2 for

parameter  $I_{\rm MCS}$  in TS 36.213 [23], Table 7.1.7.1-1, and so on.

# subcarrierSpacingMBMS

The value indicates subcarrier spacing for MBSFN subframes and kHz7dot5 refers to 7.5kHz subcarrier spacing and kHz1dot25 refers to 1.25 kHz subcarrier spacing as defined in TS 36.211 [21], clause 6.12. These subframes do not have non-MBSFN region. If subcarrierSpacingMBMS is present, then non-MBSFN regionLength shall be ignored. EUTRAN configures parameter subcarrierSpacingMBMS only when the MBSFN subframes have subcarrier spacing other than 15kHz.

# MBSFN-SubframeConfig

The IE MBSFN-SubframeConfig defines subframes that are reserved for MBSFN in downlink.

# MBSFN-SubframeConfig information element

```
-- ASN1START
MBSFN-SubframeConfig ::=
                                    SEQUENCE {
   radioframeAllocationPeriod
                                       ENUMERATED {n1, n2, n4, n8, n16, n32},
                                        INTEGER (0..7),
    radioframeAllocationOffset
    subframeAllocation
                                        CHOICE {
        oneFrame
                                            BIT STRING (SIZE(6)),
        fourFrames
                                            BIT STRING (SIZE(24))
}
MBSFN-SubframeConfig-v1430 ::=
    subframeAllocation-v1430
                                            CHOICE {
        oneFrame-v1430
                                            BIT STRING (SIZE(2)),
        fourFrames-v1430
                                            BIT STRING (SIZE(8))
}
-- ASN1STOP
```

# MBSFN-SubframeConfig field descriptions

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#### **fourFrames**

A bit-map indicating MBSFN subframe allocation in four consecutive radio frames, "1" denotes that the corresponding subframe is allocated for MBSFN. The bitmap is interpreted as follows:

FDD: Starting from the first radioframe and from the first/leftmost bit in the bitmap, the allocation applies to subframes #1, #2, #3, #6, #7, and #8 in the sequence of the four radio-frames.

TDD: Starting from the first radioframe and from the first/leftmost bit in the bitmap, the allocation applies to subframes #3, #4, #7, #8, and #9 in the sequence of the four radio-frames. The last four bits are not used. E-UTRAN allocates uplink subframes only if *eimta-MainConfig* is configured.

### fourFrames-v1430

A bit-map indicating MBSFN subframe allocation in four consecutive radio frames, "1" denotes that the corresponding subframe is allocated for MBSFN. The bitmap is interpreted as follows:

FDD: Starting from the first radioframe and from the first/leftmost bit in the bitmap, the allocation applies to subframes #4 and #9 in the sequence of the four radio-frames.

#### oneFrame

"1" denotes that the corresponding subframe is allocated for MBSFN. The following mapping applies:

FDD: The first/leftmost bit defines the MBSFN allocation for subframe #1, the second bit for #2, third bit for #3, fourth bit for #6, fifth bit for #7, sixth bit for #8.

TDD: The first/leftmost bit defines the allocation for subframe #3, the second bit for #4, third bit for #7, fourth bit for #8, fifth bit for #9. E-UTRAN allocates uplink subframes only if eimta-MainConfig is configured. The last bit is not used.

### oneFrame-v1430

"1" denotes that the corresponding subframe is allocated for MBSFN. The following mapping applies:

FDD: The first/leftmost bit defines the MBSFN allocation for subframe #4 and the second bit for #9.

### radioFrameAllocationPeriod, radioFrameAllocationOffset

Radio-frames that contain MBSFN subframes occur when equation *SFN* mod *radioFrameAllocationPeriod* = *radioFrameAllocationOffset* is satisfied. Value n1 for *radioframeAllocationPeriod* denotes value 1, n2 denotes value 2, and so on. When *fourFrames* is used for *subframeAllocation*, the equation defines the first radio frame referred to in the description below. Values *n1* and *n2* are not applicable when *fourFrames* is used.

#### subframeAllocation

Defines the subframes that are allocated for MBSFN within the radio frame allocation period defined by the radioFrameAllocationPeriod and the radioFrameAllocationOffset.

# – PMCH-InfoList

The IE *PMCH-InfoList* specifies configuration of all PMCHs of an MBSFN area, while IE *PMCH-InfoListExt* includes additional PMCHs, i.e. extends the PMCH list using the general principles specified in 5.1.2. The information provided for an individual PMCH includes the configuration parameters of the sessions that are carried by the concerned PMCH. For all PMCH that E-UTRAN includes in *PMCH-InfoList*, the list of ongoing sessions has at least one entry.

### **PMCH-InfoList** information element

```
-- ASN1START
PMCH-InfoList-r9 ::=
                                    SEQUENCE (SIZE (0..maxPMCH-PerMBSFN)) OF PMCH-Info-r9
PMCH-InfoListExt-r12 ::=
                                    SEQUENCE (SIZE (0..maxPMCH-PerMBSFN)) OF PMCH-InfoExt-r12
PMCH-Info-r9 ::=
                                    SEOUENCE {
   pmch-Config-r9
                                        PMCH-Config-r9,
    mbms-SessionInfoList-r9
                                    MBMS-SessionInfoList-r9,
PMCH-InfoExt-r12 ::=
                                    SEQUENCE {
   pmch-Config-r12
                                        PMCH-Config-r12,
   mbms-SessionInfoList-r12
                                        MBMS-SessionInfoList-r9.
MBMS-SessionInfoList-r9 ::=
                                SEQUENCE (SIZE (0..maxSessionPerPMCH)) OF MBMS-SessionInfo-r9
                                SEQUENCE {
MBMS-SessionInfo-r9 ::=
   tmgi-r9
                                        TMGI-r9,
    sessionId-r9
                                        OCTET STRING (SIZE (1))
                                                                    OPTIONAL,
                                                                                -- Need OR
    logicalChannelIdentity-r9
                                        INTEGER (0..maxSessionPerPMCH-1),
                                    SEQUENCE {
PMCH-Config-r9 ::=
```

```
sf-AllocEnd-r9
                                        INTEGER (0..1535),
    dataMCS-r9
                                        INTEGER (0..28),
   mch-SchedulingPeriod-r9
                                    ENUMERATED {
                                        rf8, rf16, rf32, rf64, rf128, rf256, rf512, rf1024},
}
                                    SEQUENCE {
PMCH-Config-r12 ::=
                                        INTEGER (0..1535),
    sf-AllocEnd-r12
                                        CHOICE {
    dataMCS-r12
       normal-r12
                                            INTEGER (0..28),
                                            INTEGER (0..27)
       higerOrder-r12
    mch-SchedulingPeriod-r12
                                    ENUMERATED {
                                        rf4, rf8, rf16, rf32, rf64, rf128, rf256, rf512, rf1024},
    [[ mch-SchedulingPeriod-v1430
                                        ENUMERATED {rf1, rf2}
                                                                        OPTIONAL
                                                                                    -- Need OR
    ]]
}
                                SEQUENCE {
TMGI-r9 ::=
   plmn-Id-r9
                                        CHOICE {
       plmn-Index-r9
                                            INTEGER (1..maxPLMN-r11),
       explicitValue-r9
                                            PLMN-Identity
    serviceId-r9
                                        OCTET STRING (SIZE (3))
-- ASN1STOP
```

### PMCH-InfoList field descriptions

### dataMCS

Indicates the value for parameter  $I_{\rm MCS}$  in TS 36.213 [23], which defines the MCS applicable for the subframes of this (P)MCH as indicated by the field commonSF-Alloc. Value normal corresponds to Table 7.1.7.1-1 and value higherOrder corresponds to Table 7.1.7.1-1A. The MCS does however neither apply to the subframes that may carry MCCH i.e. the subframes indicated by the field sf-AllocInfo within SystemInformationBlockType13 nor for the first subframe allocated to this (P)MCH within each MCH scheduling period (which may contain the MCH scheduling information provided by MAC).

# mch-SchedulingPeriod

Indicates the MCH scheduling period i.e. the periodicity used for providing MCH scheduling information at lower layers (MAC) applicable for an MCH. Value rf8 corresponds to 8 radio frames, rf16 corresponds to 16 radio frames and so on. The *mch-SchedulingPeriod* starts in the radio frames for which: SFN mod *mch-SchedulingPeriod* = 0. E-UTRAN configures *mch-SchedulingPeriod* of the (P)MCH listed first in *PMCH-InfoList* to be smaller than or equal to *mcch-RepetitionPeriod*. In case *mch-SchedulingPeriod-v1430* is configured, the UE shall ignore *mch-SchedulingPeriod-r12*.

# plmn-Index

Index of the entry across the plmn-IdentityList fields within SystemInformationBlockType1.

### sessionId

Indicates the optional MBMS Session Identity, which together with TMGI identifies a transmission or a possible retransmission of a specific MBMS session: see TS 29.061 [51], clauses 20.5, 17.7.11, and 17.7.15. The field is included whenever upper layers have assigned a session identity i.e. one is available for the MBMS session in E-UTRAN.

### serviceld

Uniquely identifies the identity of an MBMS service within a PLMN. The field contains octet 3- 5 of the IE Temporary Mobile Group Identity (TMGI) as defined in TS 24.008 [49]. The first octet contains the third octet of the TMGI, the second octet contains the fourth octet of the TMGI and so on.

### sf-AllocEnd

Indicates the last subframe allocated to this (P)MCH within a period identified by field *commonSF-AllocPeriod*. The subframes allocated to (P)MCH corresponding with the n<sup>th</sup> entry in *pmch-InfoList* are the subsequent subframes starting from either the next subframe after the subframe identified by *sf-AllocEnd* of the (n-1)<sup>th</sup> listed (P)MCH or, for n=1, the first subframe defined by field *commonSF-Alloc*, through the subframe identified by *sf-AllocEnd* of the n<sup>th</sup> listed (P)MCH. Value 0 corresponds with the first subframe defined by field *commonSF-Alloc*.

# 6.3.7a SC-PTM information elements

# SC-MTCH-InfoList

The IE SC-MTCH-InfoList provides the list of ongoing MBMS sessions transmitted via SC-MRB and for each MBMS session, the associated G-RNTI and scheduling information.

### SC-MTCH-InfoList information element

```
-- ASN1START
SC-MTCH-InfoList-r13 ::=
                                    SEQUENCE (SIZE (0..maxSC-MTCH-r13)) OF SC-MTCH-Info-r13
SC-MTCH-Info-r13 ::=
                                    SEQUENCE
   mbmsSessionInfo-r13
                                            MBMSSessionInfo-r13,
   g-RNTI-r13
                                            BIT STRING(SIZE(16)),
    sc-mtch-schedulingInfo-r13
                                            SC-MTCH-SchedulingInfo-r13
                                                                                OPTIONAL, -- Need
OP
    sc-mtch-neighbourCell-r13
                                            BIT STRING (SIZE(maxNeighCell-SCPTM-r13)) OPTIONAL, --
Need OP
    [[ p-a-r13
                                            ENUMERATED {
                                                dB-6, dB-4dot77, dB-3, dB-1dot77,
                                                dB0, dB1, dB2, dB3}
                                                                       OPTIONAL
                                                                                     -- Need ON
    11
}
MBMSSessionInfo-r13 ::=
                                    SEQUENCE
                                            TMGI-r9,
   tmgi-r13
                                            OCTET STRING (SIZE (1))
    sessionId-r13
                                                                       OPTIONAL
                                                                                     -- Need OR
SC-MTCH-SchedulingInfo-r13::=
                                    SEQUENCE
   onDurationTimerSCPTM-r13
                                            ENUMERATED {
                                                psf1, psf2, psf3, psf4, psf5, psf6,
                                                psf8, psf10, psf20, psf30, psf40,
                                                psf50, psf60, psf80, psf100,
                                                psf200},
    drx-InactivityTimerSCPTM-r13
                                            ENTIMERATED {
                                                psf0, psf1, psf2, psf4, psf8,
                                                psf10, psf20, psf40,
                                                psf80, psf160, ps320,
                                                psf640, psf960,
                                                psf1280, psf1920, psf2560},
    schedulingPeriodStartOffsetSCPTM-r13
                                            CHOICE {
                                                INTEGER(0..9),
                                                INTEGER(0..19),
        sf20
                                                INTEGER(0..31),
        sf32
        sf40
                                                INTEGER(0..39),
        sf64
                                                INTEGER(0..63),
        sf80
                                                INTEGER(0..79),
                                                INTEGER(0..127),
        sf128
        sf160
                                                INTEGER(0..159),
        sf256
                                                INTEGER(0..255),
       sf320
                                                INTEGER(0..319),
                                                INTEGER(0..511),
        sf512
        sf640
                                                INTEGER(0..639),
        sf1024
                                                INTEGER(0..1023),
        sf2048
                                                INTEGER(0..2048),
       sf4096
                                                INTEGER(0..4096),
        sf8192
                                                INTEGER(0..8192)
    },
-- ASN1STOP
```

### SC-MTCH-InfoList field descriptions

### drx-InactivityTimerSCPTM

Timer for SC-MTCH in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf0 corresponds to 0 PDCCH sub-frame and behaviour as specified in 7.3.2 applies, psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on.

#### g-RNTI

G-RNTI used to scramble the scheduling and transmission of a SC-MTCH.

#### mbmsSessionInfo

Indicates the ongoing MBMS session in a SC-MTCH.

#### onDurationTimerSCPTM

Timer for SC-MTCH reception in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on.

#### p-a

Parameter:  $P_A''$ , for the SC-MTCH per G-RNTI, see TS 36.213 [23], clause 5.2. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc.

### schedulingPeriodStartOffsetSCPTM

SCPTM-SchedulingCycle and SCPTM-SchedulingOffset in TS 36.321 [6]. The value of SCPTM-SchedulingCycle is in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. The value of SCPTM-SchedulingOffset is in number of sub-frames. The E-UTRAN does not configure a maximum value 2048 for sf2048, 4096 for sf4096 or 8192 for sf8192.

### sc-mtch-neighbourCell

Indicates neighbour cells which also provide this service on SC-MTCH. The first bit is set to 1 if the service is provided on SC-MTCH in the first cell in *scptmNeighbourCellList*, otherwise it is set to 0. The second bit is set to 1 if the service is provided on SC-MTCH in the second cell in *scptmNeighbourCellList*, and so on. If this field is absent, the UE shall assume that this service is not available on SC-MTCH in any neighbour cell.

### sc-mtch-schedulingInfo

DRX information for the SC-MTCH. If this field is absent, the SC-MTCH may be scheduled in any subframe.

### SC-MTCH-InfoList-BR

The IE *SC-MTCH-InfoList-BR* provides the list of ongoing MBMS sessions transmitted via SC-MRB and for each MBMS session, the associated G-RNTI and scheduling information.

### SC-MTCH-InfoList-BR information element

```
-- ASN1START
SC-MTCH-InfoList-BR-r14 ::=
                                SEQUENCE (SIZE (0..maxSC-MTCH-BR-r14)) OF SC-MTCH-Info-BR-r14
SC-MTCH-Info-BR-r14 ::=
                                SEOUENCE
    sc-mtch-CarrierFreq-r14
                                            ARFCN-ValueEUTRA-r9,
   mbmsSessionInfo-r14
                                            MBMSSessionInfo-r13.
    g-RNTI-r14
                                            BIT STRING(SIZE(16)),
    sc-mtch-schedulingInfo-r14 SC-MTCH-SchedulingInfo-BR-r14
                                                                                     OPTIONAL,
Need OP
   sc-mtch-neighbourCell-r14
                                            BIT STRING (SIZE(maxNeighCell-SCPTM-r13)) OPTIONAL, --
Need OP
   mpdcch-Narrowband-SC-MTCH-r14
                                                 INTEGER (1..maxAvailNarrowBands-r13),
                                                 ENUMERATED {r1, r2, r4, r8, r16, r32, r64, r128, r256},
   mpdcch-NumRepetition-SC-MTCH-r14
    mpdcch-StartSF-SC-MTCH-r14
                                    CHOICE {
            fdd-r14
                                                 ENUMERATED {v1, v1dot5, v2, v2dot5, v4,
                                                                 v5, v8, v10},
            tdd-r14
                                                 ENUMERATED {v1, v2, v4, v5, v8, v10,
                                                                 v20}
    mpdcch-PDSCH-HoppingConfig-SC-MTCH-r14
                                                 ENUMERATED {on, off},
    mpdcch-PDSCH-CEmodeConfig-SC-MTCH-r14
                                                 ENUMERATED {ce-ModeA, ce-ModeB},
    mpdcch-PDSCH-MaxBandwidth-SC-MTCH-r14
                                                 ENUMERATED {bwldot4, bw5},
    mpdcch-Offset-SC-MTCH-r14
                                                 ENUMERATED {zero, oneEighth, oneQuarter,
                                                             threeEighth, oneHalf, fiveEighth,
                                                             threeQuarter, sevenEighth},
    p-a-r14
                                                 ENUMERATED { dB-6, dB-4dot77, dB-3,
                                                             dB-1dot77, dB0, dB1, dB2,
                                                                                 OPTIONAL . -- Need OR
SC-MTCH-SchedulingInfo-BR-r14::= SEQUENCE
```

```
ENUMERATED {
   psf300, psf400, psf500, psf600,
   psf800, psf1000, psf1200, psf1600},
   onDurationTimerSCPTM-r14
    drx-InactivityTimerSCPTM-r14
                                               ENUMERATED {
                                                   psf0, psf1, psf2, psf4, psf8, psf16,
                                                   psf32, psf64, psf128, psf256, ps512,
                                                   psf1024, psf2048, psf4096, psf8192, psf16384},
    schedulingPeriodStartOffsetSCPTM-r14
                                              CHOICE {
                                                   INTEGER(0..9),
        sf10
        sf20
                                                    INTEGER(0..19),
        sf32
                                                   INTEGER(0..31),
                                                   INTEGER(0..39),
        sf40
        sf64
                                                   INTEGER(0..63),
        sf80
                                                   INTEGER(0..79),
        sf128
                                                   INTEGER(0..127),
                                                   INTEGER(0..159),
        sf160
        sf256
                                                   INTEGER(0..255),
        sf320
                                                   INTEGER(0..319),
        sf512
                                                   INTEGER(0..511),
                                                   INTEGER(0..639),
        sf640
        sf1024
                                                   INTEGER(0..1023),
        sf2048
                                                   INTEGER(0..2047),
        sf4096
                                                   INTEGER(0..4095),
        sf8192
                                                   INTEGER(0..8191)
    },
-- ASN1STOP
```

### SC-MTCH-InfoList-BR field descriptions

### drx-InactivityTimerSCPTM

Timer for SC-MTCH in TS 36.321 [6]. Value in number of MPDCCH sub-frames. Value psf0 corresponds to 0 MPDCCH sub-frame and behaviour as specified in 7.3.2 applies, psf1 corresponds to 1 MPDCCH sub-frame, psf2 corresponds to 2 MPDCCH sub-frames and so on.

#### g-RNTI

G-RNTI used to scramble the scheduling and transmission of a SC-MTCH

#### mbmsSessionInfo

Indicates the ongoing MBMS session in a SC-MTCH.

### mpdcch-Narrowband-SC-MTCH

Narrowband for MPDCCH for SC-MTCH, see TS 36.213 [23].

#### mpdcch-NumRepetitions-SC-MTCH

The maximum number of MPDCCH repetitions the UE needs to monitor for SC-MTCH, see TS 36.213 [23].

### mpdcch-Offset-SC-MTCH

Fractional period offset of starting subframes for MPDCCH search space for SC-MTCH, see TS 36.213 [23].

### mpdcch-PDSCH-CEmodeConfig-SC-MTCH

Coverage enhancement mode configuration for MPDCCH/PDSCH for SC-MTCH, see TS 36.213 [23].

# mpdcch-PDSCH-HoppingConfig-SC-MTCH

Frequency hopping configuration for MPDCCH/PDSCH for SC-MTCH, see TS 36.213 [23].

### mpdcch-PDSCH-MaxBandwidth-SC-MTCH

Maximum PDSCH channel bandwidth for SC-MTCH, see TS 36.213 [23]. Value *bw1dot4* corresponds to 1.4 MHz channel bandwidth and value *bw5* corresponds to 5 MHz channel bandwidth. Corresponding maximum TBS are specified in TS 36.213 [23], clause 7.1.7.2.

### mpdcch-StartSF-SC-MTCH

Starting subframes configuration of the MPDCCH search space for SC-MTCH, see TS 36.213 [23].

### onDurationTimerSCPTM

Timer for SC-MTCH reception in TS 36.321 [6]. Value in number of MPDCCH sub-frames. Value psf300 corresponds to 300 MPDCCH sub-frames, psf400 corresponds to 400 MPDCCH sub-frames and so on.

### schedulingPeriodStartOffsetSCPTM

SCPTM-SchedulingCycle and SCPTM-SchedulingOffset in TS 36.321 [6]. The value of SCPTM-SchedulingCycle is in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. The value of SCPTM-SchedulingOffset is in number of sub-frames.

### sc-mtch-CarrierFreq

Downlink carrier used for multicast SC-MTCH transmissions.

### sc-mtch-neiahbourCell

Indicates neighbour cells which also provide this service on SC-MTCH. The first bit is set to 1 if the service is provided on SC-MTCH in the first cell in *scptmNeighbourCellList*, otherwise it is set to 0. The second bit is set to 1 if the service is provided on SC-MTCH in the second cell in *scptmNeighbourCellList*, and so on. If this field is absent, the UE shall assume that this service is not available on SC-MTCH in any neighbour cell.

### sc-mtch-schedulingInfo

DRX information for the SC-MTCH. If this field is absent, DRX is not used for SC-MTCH reception.

### p-a

Parameter:  $P_A''$  for the SC-MTCH per G-RNTI, see TS 36.213 [23], clause 5.2. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc.

# SCPTM-NeighbourCellList

The IE SCPTM-NeighbourCellList indicates a list of neighbour cells where ongoing MBMS sessions provided via SC-MRB in the current cells are also provided.

```
-- ASN1START

SCPTM-NeighbourCellList-r13 ::= SEQUENCE (SIZE (1..maxNeighCell-SCPTM-r13)) OF PCI-ARFCN-r13

PCI-ARFCN-r13 ::= SEQUENCE {
    physCellId-r13 PhysCellId,
    carrierFreq-r13 ARFCN-ValueEUTRA-r9 OPTIONAL
}

-- ASN1STOP
```

# SCPTM-NeighbourCellList field description

#### carrierFreq

Indicates the frequency of the neighbour cell indicated by *physCellId*. Absence of the IE means that the neighbour cell is on the same frequency as the current cell.

# 6.3.8 Sidelink information elements

# SL-AnchorCarrierFreqList-V2X

The IE *SL-AnchorCarrierFreqList-V2X* specifies the SL V2X anchor frequencies i.e. frequencies that include intercarrier resource configuration for V2X sidelink communication.

# SL-AnchorCarrierFreqList-V2X information element

```
-- ASN1START

SL-AnchorCarrierFreqList-V2X-r14 ::= SEQUENCE (SIZE (1..maxFreqV2X-r14)) OF ARFCN-ValueEUTRA-r9

-- ASN1STOP
```

# SL-CBR-CommonTxConfigList

The IE *SL-CBR-CommonTxConfigList* indicates the list of PSSCH transmission parameters (such as MCS, sub-channel number, retransmission number, CR limit) in *sl-CBR-PSSCH-TxConfigList*, and the list of CBR ranges in *cbr-RangeCommonConfigList*, to configure congestion control to the UE for V2X sidelink communication.

# SL-CBR-CommonTxConfigList information element

```
-- ASN1START
SL-CBR-CommonTxConfigList-r14 ::=
                                    SEQUENCE {
    cbr-RangeCommonConfigList-r14
                                    SEQUENCE (SIZE (1..maxSL-V2X-CBRConfig-r14)) OF SL-CBR-Levels-
Config-r14,
   sl-CBR-PSSCH-TxConfigList-r14
                                   SEQUENCE (SIZE (1..maxSL-V2X-TxConfig-r14)) OF SL-CBR-PSSCH-
TxConfig-r14
SL-CBR-Levels-Config-r14 ::=
                                    SEQUENCE (SIZE (1..maxCBR-Level-r14)) OF SL-CBR-r14
                                    SEQUENCE {
SL-CBR-PSSCH-TxConfig-r14 ::=
                                    INTEGER(0..10000),
    cr-Limit-r14
    tx-Parameters-r14
                                    SL-PSSCH-TxParameters-r14
SL-CBR-r14 ::=
                                    INTEGER(0..100)
-- ASN1STOP
```

### SL-CBR-CommonTxConfigList field descriptions

### cbr-RangeCommonConfigList

Indicates the list of CBR ranges. Each entry of the list indicates in *SL-CBR-Levels-Config* the upper bound of the CBR range for the respective entry. The upper bounds of the CBR ranges are configured in ascending order for consecutive entries of *cbr-RangeCommonConfigList*. For the first entry of *cbr-RangeCommonConfigList* the lower bound of the CBR range is 0.

### cr-Limit

Indicates the maximum limit on the occupancy ratio. Value 0 corresponds to 0, value 1 to 0.0001, value 2 to 0.0002, and so on (i.e. in steps of 0.0001) until value 10000, which corresponds to 1.

### sI-CBR-PSSCH-TxConfigList

Indicates the list of available PSSCH transmission parameters (such as MCS, sub-channel number, retransmission number and CR limit) configurations.

### SL-CBR

Value 0 corresponds to 0, value 1 to 0.01, value 2 to 0.02, and so on.

### tx-Parameters

Indicates PSSCH transmission parameters.

# SL-CBR-PPPP-TxConfigList

The IE *SL-CBR-PPPP-TxConfigList* indicates the mapping between PSSCH transmission parameter (such as MCS, PRB number, retransmission number, CR limit) sets by using the indexes of the configurations provided in *sl-CBR-PSSCH-TxConfigList*, CBR ranges by an index to the entry of the CBR range configuration in *cbr-RangeCommonConfigList*, and PPPP ranges. It also indicates the default PSSCH transmission parameters to be used when CBR measurement results are not available.

# SL-CBR-PPPP-TxConfigList information element

```
-- ASN1START
SL-CBR-PPPP-TxConfigList-r14 ::=
                                     SEQUENCE (SIZE (1..8)) OF SL-PPPP-TxConfigIndex-r14
SL-PPPP-TxConfigIndex-r14 ::= SEQUENCE {
SL-Priority-r13,
    priorityThreshold-r14
defaultTxConfigIndex-r14
cbr-ConfigIndex-r14
tx-ConfigIndexList-r14
                                     INTEGER(0..maxCBR-Level-1-r14),
                                     INTEGER(0..maxSL-V2X-CBRConfig-1-r14),
                                     SEQUENCE (SIZE (1..maxCBR-Level-r14)) OF Tx-ConfigIndex-r14
                                     INTEGER(0..maxSL-V2X-TxConfig-1-r14)
Tx-ConfigIndex-r14 ::=
SL-CBR-PPPP-TxConfigList-v1530 ::= SEQUENCE (SIZE (1..8)) OF SL-PPPP-TxConfigIndex-v1530
SL-PPPP-TxConfigIndex-v1530 ::=
                                      SEQUENCE {
                                         SEQUENCE (SIZE (1..maxCBR-Level-r14)) OF MCS-PSSCH-Range-r15
    mcs-PSSCH-RangeList-r15
                         OPTIONAL
                                          --Need OR
MCS-PSSCH-Range-r15 ::=
                            SEQUENCE {
    minMCS-PSSCH-r15
                                 INTEGER (0..31),
    maxMCS-PSSCH-r15
                                 INTEGER (0..31)
SL-CBR-PPPP-TxConfigList-r15 ::=
                                     SEQUENCE (SIZE (1..8)) OF SL-PPPP-TxConfigIndex-r15
SL-PPPP-TxConfigIndex-r15 ::=
                                      SEQUENCE {
                                  SL-Priority-r13,
INTEGER(0..maxCB
    priorityThreshold-r15
    defaultTxConfigIndex-r15
                                     INTEGER(0..maxCBR-Level-1-r14),
    cbr-ConfigIndex-r15
                                      INTEGER(0..maxSL-V2X-CBRConfig-1-r14),
    tx-ConfigIndexList-r15
                                    SEQUENCE (SIZE (1..maxCBR-Level-r14)) OF Tx-ConfigIndex-r14,
    mcs-PSSCH-RangeList-r15
                                          SEQUENCE (SIZE (1..maxCBR-Level-r14)) OF MCS-PSSCH-Range-r15
-- ASN1STOP
```

### SL-CBR-PPPP-TxConfigList field descriptions

### cbr-ConfigIndex

Indicates the CBR ranges to be used by an index to the entry of the CBR range configuration in *cbr-RangeCommonConfigList*.

### defaultTxConfigIndex

Indicates the PSSCH transmission parameters to be used by the UEs which do not have available CBR measurement results, by means of an index to the corresponding entry in *tx-ConfigIndexList*. Value 0 indicates the first entry in *tx-ConfigIndexList*. The field is ignored if the UE has available CBR measurement results.

### mcs-PSSCH-RangeList

If included, this field applies to the PPPP(s) indicated by the *priorityThreshold* and each entry in this field sequentially corresponds to each CBR range indicated by *cbr-ConfigIndex*.

### minMCS-PSSCH, maxMCS-PSSCH

Indicates the minimum and maximum MCS values which correspond to both the MCS table in Table 8.6.1-1 and Table 14.1.1-2 in TS 36.213 [23] used for transmission on PSSCH.

# priorityThreshold

Indicates the upper bound of PPPP range which is associated with the configurations in *cbr-ConfigIndex* and in *tx-ConfigIndexList*. The upper bounds of the PPPP ranges are configured in ascending order for consecutive entries of *SL-PPPP-TxConfigIndex* in *SL-CBR-PPPP-TxConfigList*. For the first entry of *SL-PPPP-TxConfigIndex*, the lower bound of the PPPP range is 1.

# SL-CBR-PPPP-TxConfigList-v1530

If included, E-UTRAN shall include the same number of entries, and listed in the same order, as in *SL-CBR-PPPP-TxConfigList-r14*.

### tx-ConfigIndexList

Indicates the list of the PSSCH transmission parameters and CR limit by the indexes to the entries of the configurations in *sl-CBR-PSSCH-TxConfigList*. Each index in *tx-ConfigIndexList* sequentially maps to each CBR range indicated by *cbr-ConfigIndex*.

# SL-CommConfig

The IE *SL-CommConfig* specifies the dedicated configuration information for sidelink communication. In particular it concerns the transmission resource configuration for sidelink communication on the primary frequency.

### SL-CommConfig information element

```
-- ASN1START
SL-CommConfig-r12 ::=
                                  SEQUENCE
   commTxResources-r12
                                      CHOICE {
                                          NULL,
       release
                                          CHOICE {
        setup
           scheduled-r12
                                          SEQUENCE {
               sl-RNTI-r12
                                              C-RNTI,
               mac-MainConfig-r12
                                              MAC-MainConfigSL-r12,
               sc-CommTxConfig-r12
                                              SL-CommResourcePool-r12,
                                              INTEGER (0..28)
                                                                         OPTIONAL
                                                                                     -- Need OP
               mcs-r12
           ue-Selected-r12
                                          SEQUENCE {
               -- Pool for normal usage
               commTxPoolNormalDedicated-r12
                                             SEQUENCE {
                   poolToReleaseList-r12
                                                  SL-TxPoolToReleaseList-r12 OPTIONAL,
                                                                                         -- Need
ON
                   poolToAddModList-r12
                                                  SL-CommTxPoolToAddModList-r12 OPTIONAL -- Need
ON
               }
           }
                                                                         OPTIONAL,
                                                                                    -- Need ON
    [[ commTxResources-v1310
                                          CHOICE {
                                              NULL.
           release
           setup
                                              CHOICE {
               scheduled-v1310
                                                  SEQUENCE {
                   logicalChGroupInfoList-r13
                                                      LogicalChGroupInfoList-r13,
                   multipleTx-r13
                                                      BOOLEAN
               ue-Selected-v1310
                                                  SEQUENCE {
                   poolToReleaseListExt-r13
                                                         SL-TxPoolToReleaseListExt-r13 OPTIONAL,
    -- Need ON
                       poolToAddModListExt-r13
                                                          SL-CommTxPoolToAddModListExt-r13
   OPTIONAL -- Need ON
```

```
}
                                                                       OPTIONAL,
                                                                                    -- Need ON
        commTxAllowRelayDedicated-r13
                                           BOOLEAN
                                                           OPTIONAL
                                                                        -- Need ON
    ]]
LogicalChGroupInfoList-r13 ::=
                                  SEQUENCE (SIZE (1..maxLCG-r13)) OF SL-PriorityList-r13
SL-CommTxPoolToAddModList-r12 ::=
                                       SEQUENCE (SIZE (1..maxSL-TxPool-r12)) OF SL-
CommTxPoolToAddMod-r12
SL-CommTxPoolToAddModListExt-r13 ::=
                                       SEQUENCE (SIZE (1..maxSL-TxPool-v1310)) OF SL-
CommTxPoolToAddModExt-r13
SL-CommTxPoolToAddMod-r12 ::=
                                  SECUENCE
    poolIdentity-r12
                                       SL-TxPoolIdentity-r12,
   pool-r12
                                       SL-CommResourcePool-r12
SL-CommTxPoolToAddModExt-r13 ::=
                                       SEOUENCE
                                        SL-TxPoolIdentity-v1310,
   poolIdentity-v1310
   pool-r13
                                       SL-CommResourcePool-r12
MAC-MainConfigSL-r12 ::=
                               SEQUENCE
   periodic-BSR-TimerSL
                                           PeriodicBSR-Timer-r12
                                                                       OPTIONAL,
                                                                                    -- Need ON
    retx-BSR-TimerSL
                                           RetxBSR-Timer-r12
-- ASN1STOP
```

### SL-CommConfig field descriptions

### commTxAllowRelayDedicated

Indicates whether the UE is allowed to transmit relay related sidelink communication using the configured dedicated transmission resources i.e. either via scheduled or via UE selected resources.

# commTxPoolNormalDedicated

Indicates a pool of transmission resources the UE is allowed to use while in RRC\_CONNECTED.

### logicalChGroupInfoList

Indicates for each logical channel group the list of associated priorities, used as specified in TS 36.321 [6], in order of increasing logical channel group identity.

### mcs

Indicates the MCS as defined in TS 36.212 [23], clause 14.2.1. If not configured, the selection of MCS is up to UE implementation.

### multipleTx

Indicates whether the UE should perform multiple transmissions to different destinations in one SC period in accordance with TS 36.321 [6], clause 5.14.1.1. Value TRUE indicates that multiple transmissions should be performed.

### sc-CommTxConfig

Indicates a pool of resources for SC when E-UTRAN schedules Tx resources (i.e. when indices included in DCI format 5 indicate the actual data resources to be used as specified in TS 36.212 [22], clause 5.3.3.1.9).

### scheduled

Indicates the configuration for the case E-UTRAN schedules the transmission resources based on sidelink specific BSR from the UE.

### ue-Selected

Indicates the configuration for the case the UE selects the transmission resources from a pool of resources configured by E-UTRAN.

# SL-CommResourcePool

The IE *SL-CommResourcePool* and *SL-CommResourcePoolV2X* specifies the configuration information for an individual pool of resources for sidelink communication and V2X sidelink communication respectively. The IE covers the configuration of both the sidelink control information and the data.

### SL-CommResourcePool information element

```
-- ASN1START
```

```
SL-CommTxPoolList-r12 ::=
                              SEQUENCE (SIZE (1..maxSL-TxPool-r12)) OF SL-CommResourcePool-r12
SL-CommTxPoolListExt-r13 ::= SEOUENCE (SIZE (1..maxSL-TxPool-v1310)) OF SL-CommResourcePool-r12
SL-CommTxPoolListV2X-r14 ::=
                                  SEQUENCE (SIZE (1..maxSL-V2X-TxPool-r14)) OF SL-
CommResourcePoolV2X-r14
SL-CommRxPoolList-r12 ::=
                              SEQUENCE (SIZE (1..maxSL-RxPool-r12)) OF SL-CommResourcePool-r12
SL-CommRxPoolListV2X-r14 ::=
                                  SEQUENCE (SIZE (1..maxSL-V2X-RxPool-r14)) OF SL-
CommResourcePoolV2X-r14
SL-CommResourcePool-r12 ::= SEQUENCE {
    sc-CP-Len-r12
                                      SL-CP-Len-r12,
    sc-Period-r12
                                       SL-PeriodComm-r12,
    sc-TF-ResourceConfig-r12
                                       SL-TF-ResourceConfig-r12,
    data-CP-Len-r12
                                      SL-CP-Len-r12,
                                       SL-HoppingConfigComm-r12,
    dataHoppingConfig-r12
       SelectedResourceConfig-r12 SEQUENCE {
data-TF-ResourceConfig-r12 SL-TF-ResourceConfig-r12,
trpt-Subset-r12 SL-TRPT-Subset-r12 OPTIONAL
   ue-SelectedResourceConfig-r12
                                              SL-TF-ResourceConfig-r12,
                                                                         -- Need OP
                                                                  OPTIONAL, -- Need OR
    rxParametersNCell-r12
                                      SEQUENCE {
                                      TDD-Config
       tdd-Config-r12
                                                                  OPTIONAL, -- Need OP
       syncConfigIndex-r12 INTEGER (0..15)
                                                                  OPTIONAL,
                                                                               -- Need OR
    txParameters-r12
                                      SEQUENCE {
       sc-TxParameters-r12
       dataTxParameters-r12
                                       SL-TxParameters-r12,
                                      SL-TxParameters-r12
                                                                  OPTIONAL, -- Cond Tx
                                    SL-PriorityList-r13
    [[ priorityList-r13
                                                                  OPTIONAL
                                                                               -- Cond Tx
}
SL-CommResourcePoolV2X-r14 ::=
                                  SEQUENCE {
                                  SL-OffsetIndicator-r12 OPTIONAL, -- Need OR
   sl-OffsetIndicator-r14
    sl-Subframe-r14
                                       SubframeBitmapSL-r14,
    adjacencyPSCCH-PSSCH-r14
                                      BOOLEAN,
    sizeSubchannel-r14
                                       ENUMERATED {
                                       n4, n5, n6, n8, n9, n10, n12, n15, n16, n18, n20, n25, n30,
                                      n48, n50, n72, n75, n96, n100, spare13, spare12, spare11,
                                       spare10, spare9, spare8, spare7, spare6, spare5, spare4,
                                       spare3, spare2, spare1},
   numSubchannel-r14
                                       ENUMERATED {n1, n3, n5, n8, n10, n15, n20, spare1},
                                       INTEGER (0..99),
    startRB-Subchannel-r14
    startRB-PSCCH-Pool-r14
                                      INTEGER (0..99)
                                                                  OPTIONAL, -- Need OR
   rxParametersNCell-r14
                                       SEQUENCE {
                                      TDD-Config
       tdd-Config-r14
                                                                 OPTIONAL, -- Need OP
       syncConfigIndex-r14
                                      INTEGER (0..15)
                                                                  OPTIONAL, -- Need OR
                                                                OPTIONAL, -- Cond Tx
OPTIONAL, -- Need OR
    dataTxParameters-r14
                                      SL-TxParameters-r12
                                                                 OPTIONAL, -- Need OR
OPTIONAL, -- Need OR
    zoneID-r14
                                       INTEGER (0..7)
                                        INTEGER (0..45)
    threshS-RSSI-CBR-r14
                                      SL-V2X-TxPoolReportIdentity-r14 OPTIONAL, -- Need OR SL-CBR-PPPP-TxConfigList-r14 OPTIONAL, -- Need OR
    poolReportId-r14
    cbr-pssch-TxConfigList-r14
   resourceSelectionConfigP2X-r14 SL-P2X-ResourceSelectionConfig-r14 OPTIONAL, -- Cond P2X
                                                                                 -- Need OR
                                       SL-SyncAllowed-r14
                                                                      OPTIONAL,
    syncAllowed-r14
    restrictResourceReservationPeriod-r14 SL-RestrictResourceReservationPeriodList-r14
   OPTIONAL, -- Need OR
                                  SL-MinT2ValueList-r15
    [[ sl-MinT2ValueList-r15
                                                              OPTIONAL, -- Need OR
       cbr-pssch-TxConfigList-v1530 SL-CBR-PPPP-TxConfigList-v1530 OPTIONAL -- Need OR
    11
}
SL-TRPT-Subset-r12 ::=
                              BIT STRING (SIZE (3..5))
SL-V2X-TxPoolReportIdentity-r14::= INTEGER (1..maxSL-PoolToMeasure-r14)
SL-MinT2ValueList-r15 ::= SEQUENCE (SIZE (1..maxSL-Prio-r13)) OF SL-MinT2Value-r15
SL-MinT2Value-r15 ::=
                               SEQUENCE {
   priorityList-r15
                                      SL-PriorityList-r13,
   minT2Value-r15
                                      INTEGER (10..20)
}
```

-- ASN1STOP

### SL-CommResourcePool field descriptions

### adjacencyPSCCH-PSSCH

Indicates whether a UE shall always transmit PSCCH and PSSCH in adjacent RBs (indicated by TRUE) or in non-adjacent RBs (indicated by FALSE) (see TS 36.213 [23]).

### cbr-pssch-TxConfigList

Indicates the mapping between PPPPs, CBR ranges by using indexes of the entry in cbr-RangeCommonConfigList, and PSSCH transmission parameters and CR limit by using indexes of the entry in sl-CBR-PSSCH-TxConfigList. If SL-CommResourcePoolV2X is included in MobilityControlInfoV2X, it refers to cbr-MobilityTxConfigList for cbr-RangeCommonConfigList and sl-CBR-PSSCH-TxConfigList. If SL-CommResourcePoolV2X is included in SL-V2X-ConfigDedicated, it refers to cbr-DedicatedTxConfigList for cbr-RangeCommonConfigList and sl-CBR-PSSCH-TxConfigList. Otherwise, it refers to cbr-CommonTxConfigList included in the SystemInformationBlockType21 of the serving cell / PCell for cbr-RangeCommonConfigList and sl-CBR-PSSCH-TxConfigList.

### minT2Value

Indicates the minimum value of T2 that applies to the PPPP(s), as specified in TS 36.300 [9], included in priorityList.

### numSubchannel

indicates the number of subchannels in the corresponding resource pool (see TS 36.213 [23]).

### poolReportId

The identity of the transmission resource pool used for CBR measurement reporting, which is corresponding to the poolIdentity reported in measResultListCBR. This field is only present in the transmission pools configured in RRCConnectionReconfiguration and v2x-CommTxPoolExceptional, p2x-CommTxPoolNormalCommon, v2x-CommTxPoolNormalCommon, v2x-CommTxPoolNormal in SystemInformationBlockType21 or SystemInformationBlockType26. Otherwise, the field is absent.

### resourceSelectionConfigP2X

Indicates the allowed resource selection mechanism(s), i.e. partial sensing and/or random selection, for P2X related V2X sidelink communication.

### restrictResourceReservationPeriod

If configured, the field restrictResourceReservationPeriod configured in v2x-ResourceSelectionConfig shall be ignored for transmission on this pool.

### sc-Period

Indicates the period over which resources are allocated in a cell for SC and over which scheduled and UE selected data transmissions occur, see PSCCH period in TS 36.213 [23]. Value in number of subframes. Value sf40 corresponds to 40 subframes, sf80 corresponds to 80 subframes and so on. E-UTRAN configures values sf40, sf160 and sf320 for FDD and for TDD config 1 to 5, values sf70, sf140 and sf280 for TDD config 0, and finally values sf60, sf120 and sf240 for TDD config 6.

### sizeSubchannel

Indicates the number of PRBs of each subchannel in the corresponding resource pool (see TS 36.213 [23]). The value n5 denotes 5 PRBs; n6 denotes 6 PRBs and so on. E-UTRAN configures values n5, n6, n10, n15, n20, n25, n50, n75 and n100 in the case of *adjacencyPSCCH-PSSCH* set to TRUE; otherwise, E-UTRAN configures values n4, n5, n6, n8, n9, n10, n12, n15, n16, n18, n20, n30, n48, n72 and n96 in the case of *adjacencyPSCCH-PSSCH* set to FALSE,

### sl-minT2ValueList

Indicates a list of minimum value sets for the parameter T2 which is used for UE autonomous resource selection in this resource pool (see TS 36.213 [23]).

### sl-OffsetIndicator

Indicates the offset of the first subframe of a resource pool, i.e., the starting subframe of the repeating bitmap *sl-Subframe*, within a SFN cycle. If absent, the resource pool starts from first subframe of SFN=0. This field is not applicable to V2X sidelink communication.

# sl-Subframe

Indicates the bitmap of the resource pool, which is defined by repeating the bitmap within a SFN cycle (see TS 36.213 [23]).

# startRB-PSCCH-Pool

Indicates the lowest RB index of the PSCCH pool (see TS 36.213 [23]). This field is absent when a pool is (pre)configured such that a UE always transmits SC and data in adjacent RBs in the same subframe.

### startRB-Subchannel

Indicates the lowest RB index of the subchannel with the lowest index (see TS 36.213 [23]).

### syncAllowed

Indicates the allowed synchronization reference(s) which is (are) allowed to use the configured resource pool.

### syncConfigIndex

Indicates the synchronisation configuration that is associated with a reception pool, by means of an index to the corresponding entry of *commSyncConfig* in *SystemInformationBlockType18* for sidelink communication, *or* by means of an index to the corresponding entry of *v2x-SyncConfig* in *SystemInformationBlockType21* or *SystemInformationBlockType26* for V2X sidelink communication.

# tdd-Config

TDD configuration associated with the reception pool of the cell indicated by *syncConfigIndex*. Absence of the field indicates that the duplex mode is FDD and no TDD specific physical channel configuration is applicable.

### threshS-RSSI-CBR

Indicates the S-RSSI threshold for determining the contribution of a sub-channel to the CBR measurement, as specified in TS 36.214 [48]. Value 0 corresponds to -112 dBm, value 1 to -110 dBm, value n to (-112 + n\*2) dBm, and so on.

### SL-CommResourcePool field descriptions

### adjacencyPSCCH-PSSCH

Indicates whether a UE shall always transmit PSCCH and PSSCH in adjacent RBs (indicated by TRUE) or in non-adjacent RBs (indicated by FALSE) (see TS 36.213 [23]).

### trpt-Subset

Indicates the subset of T-RPT available (see TS 36.213 [23], clause 14.1.1.1.1). Consists of a bitmap which is used to indicate the set of available 'k' values to be used for sidelink communication (see TS 36.213 [23], clause 14.1.1.3). If T-RPT subset configuration is not signaled/ preconfigured then UE assumes the whole T-RPT set is available.

### zoneID

Indicates the zone ID for which the UE shall use this resource pool as described in 5.10.13.2. The field is absent in v2x-CommTxPoolExceptional, p2x-CommTxPoolNormalCommon, p2x-CommTxPoolNormal and v2x-CommRxPool in SIB21, in SIB26 or in mobilityControlInfoV2X.

Conditional presence	Explanation
Tx	The field is mandatory present when included in commTxPoolNormalDedicated,
	commTxPoolNormalDedicatedExt, commTxPoolNormalCommon,
	commTxPoolNormalCommonExt, commTxPoolExceptional, sc-CommTxConfig, v2x-
	CommTxPoolNormalCommon, v2x-CommTxPoolExceptional, v2x-
	CommTxPoolNormalDedicated, p2x-CommTxPoolNormalCommon or v2x-
	CommTxPoolNormal and p2x-CommTxPoolNormal in v2x-InterFreqInfoList. Otherwise
	the field is not present.
P2X	The field is mandatory present when included in p2x-CommTxPoolNormalCommon, v2x-
	CommTxPoolNormalDedicated in sl-V2X-ConfigDedicated for P2X related V2X sidelink
	communication or p2x-CommTxPoolNormal in v2x-InterFreqInfoList. Otherwise the field is
	not present.

# SL-CommTxPoolSensingConfig

The IE *SL-CommTxPoolSensingConfig* specifies V2X sidelink communication configurations used for UE autonomous resource selection.

### SL-CommTxPoolSensingConfig information element

```
-- ASN1START
SL-CommTxPoolSensingConfig-r14 ::=
                                        SEQUENCE {
   pssch-TxConfigList-r14
                                            SL-PSSCH-TxConfigList-r14,
                                            SL-ThresPSSCH-RSRP-List-r14,
    thresPSSCH-RSRP-List-r14
    {\tt restrictResourceReservationPeriod-r14} \qquad {\tt SL-RestrictResourceReservationPeriodList-r14}
    OPTIONAL,
               -- Need OR
   probResourceKeep-r14
                                        ENUMERATED {v0, v0dot2, v0dot4, v0dot6, v0dot8,
                                                     spare3,spare2, spare1},
                                             SEQUENCE {
    p2x-SensingConfig-r14
        minNumCandidateSF-r14
                                             INTEGER (1..13),
       gapCandidateSensing-r14
                                            BIT STRING (SIZE (10))
           OPTIONAL, -- Need OR
    sl-ReselectAfter-r14
                                        ENUMERATED {n1, n2, n3, n4, n5, n6, n7, n8, n9,
                                                spare7, spare6, spare5, spare4, spare3, spare2,
                                                                                     -- Need OR
                                                 spare1}
                                                                     OPTIONAL
-- ASN1STOP
```

### SL-CommTxPoolSensingConfig field descriptions

### gapCandidateSensing

Indicates which subframe should be sensed when a certain subframe is considered as a candidate resource (see TS 36.213 [23]).

### minNumCandidateSF

Indicates the minimum number of subframes that are included in the possible candidate resources.

### p2x-SensingConfig

Indicates the sensing configuration for P2X related V2X sidelink communication transmission only.

### probResourceKeep

Indicates the probability with which the UE keeps the current resource when the resource reselection counter reaches zero for sensing based UE autonomous resource selection (see TS 36.321 [6]).

### pssch-TxConfigList

Indicates PSSCH TX parameters such as MCS, PRB number, retransmission number, associated to different UE absolute speeds and different synchronization reference types for UE autonomous resource selection (see TS 36.213 [23]).

### restrictResourceReservationPeriod

Indicates which values are allowed for the signaling of the resource reservation period in PSCCH.

### sl-ReselectAfter

Indicates the number of consecutive skipped transmissions before triggering resource reselection for V2X sidelink communication (see TS 36.321 [6]).

### thresPSSCH-RSRP-List

Indicates a list of 64 thresholds, and the threshold should be selected based on the priority in the decoded SCI and the priority in the SCI to be transmitted (see TS 36.213 [23]). A resource is excluded if it is indicated or reserved by a decoded SCI and PSSCH RSRP in the associated data resource is above a threshold.

# SL-CP-Len

The IE SL-CP-Len indicates the cyclic prefix length, see TS 36.211 [21].

### SL-CP-Len information element

```
-- ASN1START

SL-CP-Len-r12 ::= ENUMERATED {normal, extended}

-- ASN1STOP
```

# SL-DiscConfig

The IE SL-DiscConfig specifies the dedicated configuration information for sidelink discovery.

# SL-DiscConfig information element

```
-- ASN1START
SL-DiscConfig-r12 ::=
                                          SEQUENCE {
                                               CHOICE {
    discTxResources-r12
                                               NULL,
        release
                                               CHOICE
        setup
            scheduled-r12
                                               SEQUENCE {
                                                       SL-DiscResourcePool-r12 OPTIONAL, -- Need ON SL-TF-IndexPairList-r12 OPTIONAL, -- Need ON
                discTxConfig-r12
                 discTF-IndexList-r12
                 discHoppingConfig-r12
                                                       SL-HoppingConfigDisc-r12
                                                           OPTIONAL
                                                                        -- Need ON
                                               SEQUENCE {
            ue-Selected-r12
                discTxPoolDedicated-r12
                                               SEQUENCE {
                                                      SL-TxPoolToReleaseList-r12 OPTIONAL,
                    poolToReleaseList-r12
ON
                     poolToAddModList-r12
                                                      SL-DiscTxPoolToAddModList-r12 OPTIONAL -- Need
ON
                                                                         OPTIONAL
                                                                                     -- Need ON
            }
                                                                         OPTIONAL,
                                                                                     -- Need ON
    [[ discTF-IndexList-v1260
                                               CHOICE {
            release
                                                   NULL.
```

```
SEQUENCE {
           setup
                                                 SL-TF-IndexPairList-r12b
               discTF-IndexList-r12b
       }
                                                                  OPTIONAL -- Need ON
    11,
   [[ discTxResourcesPS-r13
                                    CHOICE {
                                              NULL,
           release
                                              CHOICE {
           setup
               scheduled-r13
                                                 SL-DiscTxConfigScheduled-r13,
               ue-Selected-r13
                                                  SEQUENCE {
                   discTxPoolPS-Dedicated-r13
                                                     SL-DiscTxPoolDedicated-r13
                                                                 OPTIONAL, -- Need ON
       discTxInterFreqInfo-r13
                                      CHOICE {
                                              NULL,
           release
                                              SEOUENCE {
           setup
                                                 ARFCN-ValueEUTRA-r9 OPTIONAL, -- Need
               discTxCarrierFreq-r13
OR
               discTxRefCarrierDedicated-r13
                                                SL-DiscTxRefCarrierDedicated-r13 OPTIONAL, --
Need OR
               discTxInfoInterFreqListAdd-r13
                                                     SL-DiscTxInfoInterFreqListAdd-r13 OPTIONAL
    -- Need ON
                                                                 OPTIONAL,
                                                                             -- Need ON
       gapRequestsAllowedDedicated-r13 BOOLEAN
                                                         OPTIONAL, -- Need ON
       discRxGapConfig-r13
                                          CHOICE {
           release
                                              NULL,
                                              SL-GapConfig-r13
           setup
                                                                  OPTIONAL. -- Need ON
       discTxGapConfig-r13
                                          CHOICE {
          release
                                              SL-GapConfig-r13
           setup
                                                                 OPTIONAL.
                                                                             -- Need ON
                                          CHOICE {
       discSysInfoToReportConfig-r13
                                              NULL,
           setup
                                              SL-DiscSysInfoToReportFreqList-r13
                                                                 OPTIONAL -- Need ON
   11
}
SL-DiscSysInfoToReportFreqList-r13 ::= SEQUENCE (SIZE (1..maxFreq)) OF ARFCN-ValueEUTRA-r9
{\tt SL-DiscTxInfoInterFreqListAdd-r13} ::= {\tt SEQUENCE} \ \{
   discTxFreqToAddModList-r13
                                       SEQUENCE (SIZE (1..maxFreq)) OF SL-
DiscTxResourceInfoPerFreq-r13 OPTIONAL,
                                          -- Need ON
                                         -- Neeu UN
SEQUENCE (SIZE (1..maxFreq)) OF ARFCN-ValueEUTRA-r9
   discTxFreqToReleaseList-r13
   OPTIONAL,
              -- Need ON
}
SL-DiscTxResourceInfoPerFreq-r13 ::= SEQUENCE
                                     ARFCN-ValueEUTRA-r9,
   discTxCarrierFreq-r13
                                         SL-DiscTxResource-r13 OPTIONAL, -- Need OR SL-DiscTxResource-r13 OPTIONAL, -- Need OR
   discTxResources-r13
   discTxResourcesPS-r13
   discTxRefCarrierDedicated-r13
                                         SL-DiscTxRefCarrierDedicated-r13 OPTIONAL, -- Need
OR
   discCellSelectionInfo-r13
                                             CellSelectionInfoNFreq-r13
                                                                                 OPTIONAL,
Need OR
}
SL-DiscTxResource-r13 ::=
                                      CHOICE {
                                      NULL.
   release
   setup
                                      CHOICE {
       scheduled-r13
                                      SL-DiscTxConfigScheduled-r13,
       ue-Selected-r13
                                      SL-DiscTxPoolDedicated-r13
}
SL-DiscTxPoolToAddModList-r12 ::=
                                    SEQUENCE (SIZE (1..maxSL-TxPool-r12)) OF SL-
DiscTxPoolToAddMod-r12
SL-DiscTxPoolToAddMod-r12 ::=
                                  SEOUENCE
   poolIdentity-r12
                                      SL-TxPoolIdentity-r12,
   pool-r12
                                      SL-DiscResourcePool-r12
}
```

```
SL-DiscTxConfigScheduled-r13 ::= SEQUENCE {
    discTxConfig-r13 SL-DiscResourcePool-r12 OPTIONAL, -- Need ON
    discTF-IndexList-r13 SL-TF-IndexPairList-r12b OPTIONAL, -- Need ON
                                                                              OPTIONAL, -- Need ON
                                             SL-HoppingConfigDisc-r12
    discHoppingConfig-r13
}
SL-DiscTxPoolDedicated-r13 ::= SEQUENCE {
   poolToReleaseList-r13 SL-TxPoolToReleaseList-r12 OPTIONAL,
   poolToAddModList-r13 SL-DiscTxPoolToAddModList-r12 OPTIONAL,
                                                                                        -- Need ON
    poolToAddModList-r13
                                         SL-DiscTxPoolToAddModList-r12 OPTIONAL -- Need ON
SL-TF-IndexPairList-r12 ::= SEQUENCE (SIZE (1..maxSL-TF-IndexPair-r12)) OF SL-TF-IndexPair-r12
SL-TF-IndexPair-r12 ::=
                              SEQUENCE
                                              INTEGER (1..200)
    discSF-Index-r12
                                                                         OPTIONAL,
                                                                                        -- Need ON
    discPRB-Index-r12
                                              INTEGER (1..50) OPTIONAL -- Need ON
SL-TF-IndexPairList-r12b ::=
                                        SEQUENCE (SIZE (1..maxSL-TF-IndexPair-r12)) OF SL-TF-IndexPair-
SL-TF-IndexPair-r12b ::= SEQUENCE
    discSF-Index-r12b
                                             INTEGER (0..209) OPTIONAL,
                                                                                       -- Need ON
    discPRB-Index-r12b
                                                                          OPTIONAL
                                              INTEGER (0..49)
                                                                                       -- Need ON
SL-DiscTxRefCarrierDedicated-r13 ::=
    pCell
                                              NULL.
                                              SCellIndex-r10
    sCell
-- ASN1STOP
```

### SL-DiscConfig field descriptions

### discCellSelectionInfo

Parameters that may be used by the UE to select/ reselect a cell on the concerned non serving frequency. If absent, the UE acquires the information from the target cell on the concerned frequency. See TS 36.304 [4], clause 11.4.

### discSysInfoToReportConfig

Indicates the request to start a *SidelinkUEInformation* procedure for reporting system information acquired during an inter-frequency discovery procedure.

# discTF-IndexList

Indicates a list of time-frequency resource indices pair where each pair of indices corresponds to one discovery message. E-UTRAN only configures discTF-IndexList-r12b when configuring the UE with scheduled SL discovery Tx resources. When receiving discTF-IndexList-r12b, the UE shall only consider this field (and hence ignore discTF-IndexList-r12, if included or previously configured).

# discTxConfig

Indicates the resources configuration used when E-UTRAN schedules Tx resources (i.e. the fields *discSF-Index* and *discPRB-Index* indicate the actual resources to be used).

### discTxInterFreaInfo

Indicates frequency applicable for the resources indicated by *discTxResources-r12* (i.e. original resource field may cover first inter-frequency), and possibly resource allocations on additional frequencies as may be indicated by field *discTxInfoInterFreqListAdd*.

### discTxRefCarrierDedicated

Indicates if the PCell or an SCell is to be used as reference for DL measurements and synchronization, instead of the DL frequency paired with the one used to transmit sidelink discovery announcements on, see TS 36.213 [23], clause 14.3.1.

### discTxResources

Indicates the resources assigned to the UE for discovery announcements, which can either be a pool from which the UE may select or a set of resources specifically assigned for use by the UE.

### discTxResourcesPS

Indicates the resources assigned to the UE for PS discovery announcements, which can either be a pool from which the UE may select or a set of resources specifically assigned for use by the UE.

### SL-TF-IndexPair

A pair of indices, one for the time domain and one for the frequency domain, indicating the start of resources within the pool covered by *discTxConfig*, see TS 36.211 [21], clause 9.5.6 for one discovery message. The upper limits of *discSF-Index* and *discPRB-Index* are defined in TS 36.213 [23], clause 14.3.1.

# SL-DiscResourcePool

The IE *SL-DiscResourcePool* specifies the configuration information for an individual pool of resources for sidelink discovery.

### SL-DiscResourcePool information element

```
-- ASN1START
SL-DiscTxPoolList-r12 ::=
                              SEQUENCE (SIZE (1..maxSL-TxPool-r12)) OF SL-DiscResourcePool-r12
SL-DiscRxPoolList-r12 ::=
                              SEQUENCE (SIZE (1..maxSL-RxPool-r12)) OF SL-DiscResourcePool-r12
SL-DiscResourcePool-r12 ::=
                              SEQUENCE
                                           {
                                 SL-CP-Len-r12,
   cp-Len-r12
                              ENUMERATED {rf32, rf64, rf128,
   discPeriod-r12
                                          rf256, rf512, rf1024, rf16-v1310, spare},
   numRetx-r12 INTEGER (U...),
numRepetition-r12 INTEGER (1..50),
tf-ResourceConfig-r12 SL-TF-ResourceConfig-r12,
SEQUENCE {
       rrameters-r12 SEQUENCE {
txParametersGeneral-r12 SL-TxParameters-r12,
       CHOICE {
           poolSelection-r12
               rsrpBased-r12
                                              SL-PoolSelectionConfig-r12,
               random-r12
                                              NULL
           txProbability-r12
                                    ENUMERATED {p25, p50, p75, p100}
       }
                                                                  OPTIONAL
                                                                              -- Need OR
                                                                  OPTIONAL,
                                                                              -- Cond Tx
       tdd-Config-r12
   rxParameters-r12
                                  SEQUENCE {
                                      TDD-Config
                                                                  OPTIONAL.
                                                                              -- Need OR
                                 INTEGER (0..15)
       syncConfigIndex-r12
                                                                  OPTIONAL,
                                                                              -- Need OR
    [[ discPeriod-v1310
                                       CHOICE {
                                              NULL,
           release
                                              ENUMERATED {rf4, rf6, rf7, rf8,
           setup
                                                 rf12, rf14, rf24, rf28}
                                                                  OPTIONAL,
       rxParamsAddNeighFreq-r13
                                      CHOICE {
           release
                                          NIII.I.
                                           SEQUENCE {
           setup
               physCellId-r13
                                              PhysCellIdList-r13
                                                                  OPTIONAL, -- Need ON
        txParamsAddNeighFreq-r13
                                    CHOICE {
           release
                                          NULL,
                                          SEQUENCE {
           setup
               physCellId-r13
                                              PhysCellIdList-r13,
               p-Max
                                              P-Max
                                                                    OPTIONAL,
                                                                                 -- Need OP
               tdd-Config-r13
                                              TDD-Config
                                                                     OPTIONAL, -- Cond TDD-OR
                                              TDD-Config-v1130
               tdd-Config-v1130
                                                                     OPTIONAL,
                                                                                 -- Cond TDD-OR
                                                 SEQUENCE {
               freqInfo
                                                      ARFCN-ValueEUTRA OPTIONAL,
                   ul-CarrierFreq
                                                                                    -- Need OP
                   ul-Bandwidth
                                                      ENUMERATED {n6, n15, n25, n50, n75, n100}
                                                                         OPTIONAL, -- Need OP
               additionalSpectrumEmission
                                                 AdditionalSpectrumEmission
                                                  INTEGER (-60..50),
               referenceSignalPower
               syncConfigIndex-r13
                                              INTEGER (0..15)
                                                                     OPTIONAL -- Need OR
           }
       }
                                                                  OPTIONAL -- Need ON
   1],
    [[ txParamsAddNeighFreq-v1370
                                       CHOICE {
           release
                                          NULL,
                                          SEQUENCE {
           setup
                                              SEQUENCE {
               freqInfo-v1370
                   additionalSpectrumEmission-v1370
                                                          AdditionalSpectrumEmission-v1010
           }
                                                                  OPTIONAL -- Need ON
   11
PhysCellIdList-r13 ::=
                         SEQUENCE (SIZE (1..maxSL-DiscCells-r13)) OF PhysCellId
```

```
SL-PoolSelectionConfig-r12 ::= SEQUENCE {
    threshLow-r12 RSRP-RangeSL2-r12,
    threshHigh-r12 RSRP-RangeSL2-r12
}
-- ASN1STOP
```

### SL-DiscResourcePool field descriptions

### discPeriod

Indicates the period over which resources are allocated in a cell for discovery message transmission/reception, see PSDCH period in TS 36.213 [23]. Value in number of radio frames. Value rf32 corresponds to 32 radio frames, rf64 corresponds to 64 radio frames and so on. The extended values apply for PS discovery (not only for sidelink relaying). When broadcasting an extended value, E-UTRAN sets the original field to spare to ensure legacy UEs ignore the concerned pool entry.

### numRepetition

Indicates the number of times *subframeBitmap* is repeated for mapping to subframes that occurs within a *discPeriod*. The highest value E-UTRAN uses is value 5 for FDD and TDD configuration 0, value 13 for TDD configuration 1, value 25 for TDD configuration 2, value 17 for TDD configuration 3, value 25 for TDD configuration 4, value 50 for TDD configuration 5 and value 7 for TDD configuration 6. E-UTRAN configures *numRepetition* and *subframeBitmap* such that the mapped subframes do not exceed the *discPeriod*.

### poolSelection

Indicates the mechanism for selecting a (transmission) pool when multiple candidates are provided. E-UTRAN configures the same value (i.e. a pool selection method) for all candidate pools within one pool list (discTxPoolCommon or discTxPoolDedicated) but the pool selection method in different pool lists may or may not be the same.

### syncConfigIndex

Indicates the synchronisation configuration that is associated with a reception or transmission pool, by means of an index to the corresponding entry of *discSyncConfig* in *SystemInformationBlockType19*.

### threshLow, threshHigh

Specifies the thresholds used to select a resource pool in RSRP based pool selection. The E-UTRAN should configure *threshLow* and *threshHigh* such that the UE selects only one resource pool upon RSRP based pool selection.

### txProbability

Indicates the probability of transmitting announcement in a discovery period when configured with a pool of resources, see TS 36.321 [6].

Conditional presence	Explanation
TDD-OR	The field is optional present for TDD, need OR; it is not present for FDD.
Tx	The field is mandatory present when included in discTxPoolDedicated or
	discTxPoolCommon. Otherwise the field is not present.

# SL-DiscSysInfoReport

The IE *SL-DiscSysInfoReport* contains the parameters related to sidelink discovery acquired from system information of inter-frequency cells (including inter-PLMN).

# SL-DiscSysInfoReport information element

```
-- ASN1START
SL-DiscSysInfoReport-r13 ::=
                                  SEQUENCE {
    plmn-IdentityList-r13
                                  PLMN-IdentityList
                                                                          OPTIONAL,
                                       CellIdentity OPTIONAL,
ARFCN-ValueEUTRA-r9 OPTIONAL,
SL-DiscRxPoolList-r12 OPTIONAL,
SL-DiscTxPoolList-r12 OPTIONAL,
    cellIdentity-13
                                        CellIdentity
    carrierFreqInfo-13
    discRxResources-r13
    discTxPoolCommon-r13
discTxPowerInfo-r13
discSyncConfig-r13
discCellSelectionInfo-r13
                                         SL-DiscTxPowerInfoList-r12 OPTIONAL,
                                        SL-SyncConfigNFreq-r13
                                                                         OPTIONAL,
                                       SEQUENCE {
                                              Q-RxLevMin,
         q-RxLevMin-r13
                                             INTEGER (1..8)
         q-RxLevMinOffset-r13
                                                                         OPTIONAL
                                                                          OPTIONAL.
                                        SEQUENCE {
    cellReselectionInfo-r13
        q-Hyst-r13
                                             ENUMERATED {
                                                       dB0, dB1, dB2, dB3, dB4, dB5, dB6, dB8, dB10,
                                                       dB12, dB14, dB16, dB18, dB20, dB22, dB24},
        g-RxLevMin-r13
                                              O-RxLevMin,
```

```
t-ReselectionEUTRA-r13
                                    T-Reselection
                                                            OPTIONAL,
   tdd-Config-r13
                               TDD-Config
                                                            OPTIONAL,
   freqInfo-r13
                                SEQUENCE {
       ul-CarrierFreq-r13
                                     ARFCN-ValueEUTRA
                                                                   OPTIONAL
       ul-Bandwidth-r13
                                    ENUMERATED {n6, n15, n25, n50, n75, n100}
       additionalSpectrumEmission-r13 AdditionalSpectrumEmission OPTIONAL
                                                               OPTIONAL,
                                 P-Max OPTIONAL,
                                INTEGER (-60..50)
   referenceSignalPower-r13
                                                   OPTIONAL,
   freqInfo-v1370
                                SEQUENCE {
       additionalSpectrumEmission-v1370 AdditionalSpectrumEmission-v1010
                                                               OPTIONAL
   11
-- ASN1STOP
```

# SL-DiscSysInfoReport field descriptions

# carrierFreqInfo

Indicates the frequency of the cell from which the UE acquired the system information relevant for discovery

### cellidentity

Indicated the identity of the cell from which the UE acquired the system information relevant for discovery

# plmn-IdentityList

Indicates the list of PLMN identity of the cell from which the UE acquired the system information relevant for discovery

### SL-DiscTxPowerInfo

The IE SL-DiscTxPowerInfo specifies power control parameters for one or more power classes.

### SL-DiscTxPowerInfo information element

# SL-DiscTxPowerInfo field descriptions

### discMaxTxPower

Indicates the P-Max parameter used to calculate the maximum transmit power a UE configured with the concerned range class, see TS 24.333 [70], clause 4.2.11. The first entry in *SL-DiscTxPowerInfoList* corresponds to UE range class 'short', the second entry corresponds to 'medium' and the third entry corresponds to 'long'.

# SL-GapConfig

The IE *SL-GapConfig* indicates the gaps, requested or assigned, to enable the UE to receive or transmit sidelink discovery, intra or inter frequency (includings inter-PLMN).

# SL-GapConfig information element

```
-- ASN1START

SL-GapConfig-r13 ::= SEQUENCE {
    gapPatternList-r13 SL-GapPatternList-r13 }

SL-GapPatternList-r13 ::= SEQUENCE (SIZE (1..maxSL-GP-r13)) OF SL-GapPattern-r13
```

### SL-GapConfig field descriptions

### gapOffset

Indicates the offset from the start of SFN 0 to the start of the first *gapPeriod*. If the SFN period is not an integer multiple of *gapPeriod*, no subframes within this period (i.e. from SFN 0 to offset) are considered part of the gap.

### gapPeriod

Indicates the period by which gapSubframeBitmap is repeated.

#### gapSubframeBitmap

Indicates the subframes of one or more individual gaps, not only covering the subframes of the associated discovery resources but also including e.g. re-tuning and synchronisation delays. The UE and E-UTRAN signal bit strings of valid sizes only i.e. sizes equal to or less than *gapPeriod*. Value 1 indicates that the UE is allowed to use the subframe for sidelink discovery.

# SL-GapRequest

The IE *SL-GapRequest* indicates the gaps requested by the UE to receive or transmit sidelink discovery, intra or inter frequency (includings inter-PLMN).

### SL-GapRequest information element

```
-- ASN1START

SL-GapRequest-r13 ::= SEQUENCE (SIZE (1..maxFreq)) OF SL-GapFreqInfo-r13

SL-GapFreqInfo-r13 ::= SEQUENCE {
    carrierFreq-r13 ARFCN-ValueEUTRA-r9 OPTIONAL,
        gapPatternList-r13 SL-GapPatternList-r13
}

-- ASN1STOP
```

# SL-HoppingConfig

The IE *SL-HoppingConfig* indicates the hopping configuration used for sidelink.

# SL-HoppingConfig information element

```
-- ASN1START
SL-HoppingConfigComm-r12 ::=
                                       SEQUENCE
    hoppingParameter-r12
                                           INTEGER (0..504),
    numSubbands-r12
                                           ENUMERATED {ns1, ns2, ns4},
    rb-Offset-r12
                                           INTEGER (0..110)
}
SL-HoppingConfigDisc-r12 ::=
                                  SEQUENCE
   a-r12
                                               INTEGER (1..200),
                                               INTEGER (1..10),
ENUMERATED {n1, n5}
    b-r12
    c-r12
-- ASN1STOP
```

```
 \begin{array}{c} \textit{SL-HoppingConfig} \ \text{field descriptions} \\ \textit{a} \\ \\ \textit{Per cell parameter:} \ N_{PSDCH}^{(1)} \ \text{ see TS 36.213 [23], clause 14.3.1.} \\ \textit{b} \\ \\ \textit{Per UE parameter:} \ N_{PSDCH}^{(2)} \ \text{ see TS 36.213 [23], clause 14.3.1.} \\ \textit{c} \\ \\ \textit{Per cell parameter:} \ N_{PSDCH}^{(3)} \ \text{ see TS 36.213 [23], clause 14.3.1.} \\ \textit{hoppingParameter} \\ \textit{Affects the hopping performed as specificed in TS 36.213 [23], clauses 14.1.1.2 and 14.1.1.4. In case value 504 is received, the value used by the UE is 510. \\ \textit{numSubbands} \\ \textit{Parameter:} \ N_{\text{sb}} \ \text{see TS 36.211 [21], clause 9.3.6.} \\ \textit{rb-Offset} \\ \\ \textit{Parameter:} \ N_{\text{RB}}^{\text{HO}} \ \text{, see TS 36.211 [21], clause 9.3.6.} \\ \end{array}
```

# SL-InterFreqInfoListV2X

The IE *SL-InterFreqInfoListV2X* indicates synchronization and resource allocation configurations of the neighboring frequency for V2X sidelink communication.

### SL-InterFreqInfoListV2X information element

```
-- ASN1START
SL-InterFreqInfoListV2X-r14 ::= SEQUENCE (SIZE (0..maxFreqV2X-1-r14)) OF SL-InterFreqInfoV2X-r14
SL-InterFreqInfoV2X-r14 ::=
                                 SEQUENCE {
    plmn-IdentityList-r14
                                         PLMN-IdentityList
                                                                       OPTIONAL,
                                                                                       -- Need OP
    v2x-CommCarrierFreq-r14
                                     ARFCN-ValueEUTRA-r9,
    sl-MaxTxPower-r14
                                                              OPTIONAL,
                                                                               -- Need OR
                                         P-Max
    sl-Bandwidth-r14
                                         ENUMERATED {n6, n15, n25, n50, n75, n100} OPTIONAL,
Need OR
    v2x-SchedulingPool-r14
                                         SL-CommResourcePoolV2X-r14
                                                                                              -- Need
    v2x-UE-ConfigList-r14
                                SL-V2X-UE-ConfigList-r14
                                                              OPTIONAL,
                                                                         -- Need OR
      additionalSpectrumEmissionV2X-r14 additionalSpectrumEmission-r14
            additionalSpectrumEmission-v1440 AdditionalSpectrumEmission,

AdditionalSpectrumEmission,
                                                CHOICE {
                                                     AdditionalSpectrumEmission-v1010
                        OPTIONAL
    ]],
        v2x-FreqSelectionConfigList-r15 SL-V2X-FreqSelectionConfigList-r15 OPTIONAL
                                                                                           --Need OR
-- ASN1STOP
```

### SL-InterFreqInfoListV2X field descriptions

### plmn-IdentityList

Indicates PLMN identities of this frequency for reception of V2X sidelink communication. If this field is not present, the UE considers this frequency for reception of V2X sidelink communication concerns the first PLMN entry in the *plmn-ldentityList* in *SystemInformationBlockType1*.

#### sI-MaxTxPower

Indicates the maximum transmission power for transmitting V2X sidelink communication on the corresponding frequency.

### additionalSpectrumEmissionV2X

Indicates the additional Spectrum Emission value defined in TS 36.101 [42], clause 6.2.4, for V2X sidelink communication.

### v2x-FreqSelectionConfigList

Indicates the configuration information for the carrier selection for V2X sidelink communication transmission. The configuration applies to the carrier frequency identified by v2x-CommCarrierFreq (i.e. carrier specific configuration).

### v2x-SchedulingPool

Indicates the resource pool for inter-carrier scheduled resource allocation. This field is configured in RRC dedicated signalling only when *scheduled* is configured in IE *SL-V2X-ConfigDedicated*.

### v2x-UE-ConfigList

Indicates the inter-carrier resource configuration. If there is only one entry in the list without *physCellId* configured, the configuration is applied to the frequency identified by *v2x-CommCarrierFreq* (i.e. carrier specific configuration); if the entry of this field includes *physCellIdList*, the configuration is applied to the cell(s) identified by *physCellIdList* (i.e. cell specific configuration).

# SL-V2X-UE-ConfigList

The IE SL-V2X-UE-ConfigList indicates inter-frequency resource configuration per-carrier or per-cell.

# SL-V2X-UE-ConfigList information element

```
-- ASN1START
SL-V2X-UE-ConfigList-r14 ::= SEQUENCE (SIZE (1..maxCellIntra)) OF SL-V2X-InterFreqUE-Config-r14
SL-V2X-InterFreqUE-Config-r14 ::=
                                       SEQUENCE {
   physCellIdList-r14
                                       PhysCellIdList-r13
                                                                           OPTIONAL, -- Need OR
                                                                           OPTIONAL, -- Need OR
OPTIONAL, -- Need OR
    typeTxSync-r14
                                       SL-TypeTxSync-r14
    v2x-SyncConfig-r14
                                       SL-SyncConfigListNFreqV2X-r14
                                                                               OPTIONAL, -- Need
                                       SL-CommRxPoolListV2X-r14
    v2x-CommRxPool-r14
OR
    v2x-CommTxPoolNormal-r14
                                           SL-CommTxPoolListV2X-r14
                                                                                   OPTIONAL,
Need OR
   p2x-CommTxPoolNormal-r14
                                           SL-CommTxPoolListV2X-r14
                                                                                   OPTIONAL,
Need OR
    v2x-CommTxPoolExceptional-r14
                                       SL-CommResourcePoolV2X-r14
                                                                           OPTIONAL,
                                                                                       -- Need OR
                                                                                       -- Need OR
    v2x-ResourceSelectionConfig-r14
                                       SL-CommTxPoolSensingConfig-r14
                                                                           OPTIONAL,
                                       SL-ZoneConfig-r14
                                                                           OPTIONAL,
                                                                                       -- Need OR
    zoneConfig-r14
                                                                                       -- Need OR
                                       INTEGER (0..1000)
    offsetDFN-r14
                                                                           OPTIONAL,
-- ASN1STOP
```

# SL-V2X-UE-ConfigList field descriptions

### offsetDFN

Indicates the timing offset for the UE to determine DFN timing when GNSS is used for timing reference. Value 0 corresponds to 0 milliseconds, value 1 corresponds to 0.001 milliseconds, value 2 corresponds to 0.002 milliseconds, and so on.

### p2x-CommTxPoolNormal

Indicates the resources on a carrier frequency by which the UE may transmit P2X related V2X sidelink communication.

### physCellIdList

If configured, the resource configuration is applicable for the cell(s) identified by this field. Otherwise, the resource configuration is for a given carrier frequency.

### typeTxSync

Indicates the prioritized synchronization type (i.e. eNB or GNSS) for performing V2X sidelink communication on a carrier frequency.

### v2x-CommRxPool

Indicates the resources on a carrier frequency by which the UE may receive V2X sidelink communication. This field is absent within *v2x-InterFreqInfoList* included in *RRCConnectionReconfiguration* except if received with *MobilityControlInfo* or *MobilityControlInfoV2X*.

### v2x-CommTxPoolExceptional

Indicates the resources on a carrier frequency by which the UE may transmit V2X sidelink communication in exceptional conditions, as specified in 5.10.13.

### v2x-CommTxPoolNormal

Indicates the resources on a carrier frequency by which the UE may transmit V2X sidelink communication.

### v2x-SyncConfig

Indicates the synchronization configuration used for transmission/reception of SLSS on the given frequency.

### SI -OffsetIndicator

The IE *SL-OffsetIndicator* indicates the offset of the pool of resources relative to SFN 0 of the cell from which it was obtained or, when out of coverage, relative to DFN 0.

### SL-OffsetIndicator information element

### SL-OffsetIndicator field descriptions

# SL-OffsetIndicator

In sc-TF-ResourceConfig, it indicates the offset of the first period of pool of resources within a SFN cycle. For data-TF-ResourceConfig, it corresponds to the offsetIndicator as defined in TS 36.213 [23], clause 14.1.3.

### SL-OffsetIndicatorSync

For sidelink discovery and sidelink communication, synchronisation resources are present in those SFN and subframes which satisfy the relation: (SFN\*10+ Subframe Number) mod 40 = SL-OffsetIndicatorSync. For V2X sidelink communication, synchronisation resources are present in those SFN and subframes which satisfy the relation: (SFN\*10+ Subframe Number) mod 160 = SL-OffsetIndicatorSync.

# SL-P2X-ResourceSelectionConfig

The IE *SL-P2X-ResourceSelectionConfig* includes the configuration of resource selection for P2X related V2X sidelink communication. E-UTRAN configures at least one resource selection mechanism.

# SL-P2X-ResourceSelectionConfig information element

```
-- ASN1START

SL-P2X-ResourceSelectionConfig-r14 ::= SEQUENCE {
   partialSensing-r14 ENUMERATED {true} OPTIONAL, -- Need OR
   randomSelection-r14 ENUMERATED {true} OPTIONAL -- Need OR
}

-- ASN1STOP
```

# SL-P2X-ResourceSelectionConfig field descriptions

# partialSensing

Indicates that partial sensing is allowed for UE autonomous resource selection in a resource pool.

### randomSelection

Indicates that random selection is allowed for UE autonomous resource selection in a resource pool.

# SL-PeriodComm

The IE SL-PeriodComm indicates the period over which resources allocated in a cell for sidelink communication.

### SL-PeriodComm information element

```
-- ASN1START

SL-PeriodComm-r12 ::= ENUMERATED {sf40, sf60, sf70, sf80, sf120, sf140, sf160, sf240, sf280, sf320, spare6, spare5, spare4, spare3, spare2, spare}

-- ASN1STOP
```

# – SL-Priority

The IE *SL-Priority* indicates the one or more priorities of resource pool used for sidelink communication, or of a logical channel group used in case of scheduled sidelink communication resources, see TS 36.321 [6].

### **SL-Priority** information element

```
-- ASN1START

SL-PriorityList-r13 ::= SEQUENCE (SIZE (1..maxSL-Prio-r13)) OF SL-Priority-r13

SL-Priority-r13 ::= INTEGER (1..8)

-- ASN1STOP
```

# SL-PSSCH-TxConfigList

The IE *SL-PSSCH-TxConfigList* indicates PSSCH transmission parameters. When lower layers select parameters from the range indicated in IE *SL-PSSCH-TxConfigList*, the UE considers both configurations in IE *SL-PSSCH-TxConfigList* and the CBR-dependent configurations represented in IE *SL-CBR-PPPP-TxConfigList*. Only one IE *SL-PSSCH-TxConfig* is provided per *typeTxSync*.

# SL-PSSCH-TxConfigList information element

```
-- ASN1START

SL-PSSCH-TxConfigList-r14 ::= SEQUENCE (SIZE (1..maxPSSCH-TxConfig-r14)) OF SL-PSSCH-TxConfig-r14
```

```
SL-PSSCH-TxConfig-r14 ::=
                              SEQUENCE {
   typeTxSync-r14
                              SL-TypeTxSync-r14
                                                     OPTIONAL,
                                                                 -- Need OR
   thresUE-Speed-r14
                              ENUMERATED {kmph60, kmph80, kmph100, kmph120,
                              kmph140, kmph160, kmph180, kmph200},
   parametersAboveThres-r14
                              SL-PSSCH-TxParameters-r14,
   parametersBelowThres-r14 SL-PSSCH-TxParameters-r14,
                                                                OPTIONAL,
       parametersAboveThres-v1530 SL-PSSCH-TxParameters-v1530
                                                                            -- Need OR
       parametersBelowThres-v1530 SL-PSSCH-TxParameters-v1530
                                                                OPTIONAL
                                                                            -- Need OR
}
SL-PSSCH-TxParameters-r14 ::=
                                SEOUENCE {
   minMCS-PSSCH-r14 INTEGER (0..31),
   maxMCS-PSSCH-r14
                              INTEGER (0..31),
   minSubChannel-NumberPSSCH-r14 INTEGER (1..20),
   maxSubchannel-NumberPSSCH-r14
                                     INTEGER (1..20),
   allowedRetxNumberPSSCH-r14 ENUMERATED {n0, n1, both, spare1},
   maxTxPower-r14
                             SL-TxPower-r14
                                                                            -- Cond CBR
SL-PSSCH-TxParameters-v1530 ::=
                                  SEQUENCE {
   minMCS-PSSCH-r15 INTEGER (0..31),
   maxMCS-PSSCH-r15
                              INTEGER (0..31)
-- ASN1STOP
```

### SL-PSSCH-TxConfigList field descriptions

#### allowedRetxNumberPSSCH

Indicates the allowed retransmission number for transmissions on PSSCH (see TS 36.213 [23]). The value n0 indicates no retransmission for a transport block allowed; the value n1 indicates that the UE shall perform one retransmission for a transport block; and the value both indicates that the UE may autonomously select no retransmission or one retransmission for a transport block.

### maxTxPower

Indicates the maximum transmission power for transmission on PSSCH and PSCCH (see TS 36.213 [23]).

# minMCS-PSSCH, maxMCS-PSSCH

Indicates the minimum and maximum MCS values used for transmissions on PSSCH (see TS 36.213 [23]). If included, *minMCS-PSSCH-r14* and *maxMCS-PSSCH-r14* correspond to the MCS table in Table 8.6.1-1 with 64QAM indices overridden by 16QAM used for transmission on PSSCH. If included, *minMCS-PSSCH-r15* and *maxMCS-PSSCH-r15* correspond to both the MCS table in Table 8.6.1-1 and Table 14.1.1-2 in TS 36.213 [23] used for transmission on PSSCH.

# minSubchannel-NumberPSSCH, maxSubchannel-NumberPSSCH

Indicates the minimum and maximum number of sub-channels which may be used for transmissions on PSSCH (see TS 36.213 [23]).

### thresUE-Speed

Indicates a UE speed threshold.

### typeTxSvnc

Indicates the synchronization reference type (see TS 36.213 [23]). For configurations by the eNB, only *gnss* and *enb* can be configured; and for pre-configuration, only *gnss* and *ue* can be configured. If the field is absent, the configuration is applicable for all synchronization reference types.

### parametersAboveThres

Indicates TX parameters for the UE speed above thresUE-Speed.

# parametersBelowThres

Indicates TX parameters for the UE speed below thresUE-Speed.

Conditional presence	Explanation
CBR	The field is optionally present, need OR, in IE SL-CBR-CommonTxConfigList-r14, or in IE
	SL-CBR-PreconfiaTxConfiaList-r14. Otherwise the field is not present. Need OR.

# SL-Reliability

The IE *SL-Reliability* indicates one or more reliabilities of a logical channel group used in case of scheduled sidelink communication resources or traffic reliability(ies) associated with the reported traffic pattern for V2X sidelink communication; see TS 36.321 [6].

# SL-Reliability information element

```
-- ASN1START

SL-ReliabilityList-r15 ::= SEQUENCE (SIZE (1..maxSL-Reliability-r15)) OF SL-Reliability-r15

SL-Reliability-r15 ::= INTEGER (1..8)

-- ASN1STOP
```

### SL-RestrictResourceReservationPeriodList

The IE *SL-RestrictResourceReservationPeriodList* indicates which values are allowed for the signaling of the resource reservation period in PSCCH for V2X sidelink communication, see TS 36.321 [6].

### SL-RestrictResourceReservationPeriodList information element

```
-- ASN1START

SL-RestrictResourceReservationPeriodList-r14 ::= SEQUENCE (SIZE (1..maxReservationPeriod-r14)) OF SL-RestrictResourceReservationPeriod-r14

SL-RestrictResourceReservationPeriod-r14 ::= ENUMERATED {v0dot2, v0dot5, v1, v2, v3, v4, v5, v6, v7, v8, v9, v10, spare4, spare3, spare2, spare1}

-- ASN1STOP
```

# SL-RestrictResourceReservationPeriodList field descriptions

### SL-RestrictResourceReservationPeriod

Value v0dot2 means SL-RestrictResourceReservationPeriod is set to 0.2, value v0dot5 means SL-RestrictResourceReservationPeriod is set to 0.5, value v1 means SL-RestrictResourceReservationPeriod is set to 1, and so on. Value v0dot2 and value v0dot5 are configured in a pool-specific manner only. E-UTRAN should not set value v0dot2 and v0dot5 for transmission pool for P2X related V2X sidelink communication.

### SLSSID

The IE *SLSSID* identifies a cell and is used by the receiving UE to detect asynchronous neighbouring cells, and by transmitting UEs to extend the synchronisation signals beyond the cell's coverage area.

## SLSSID information element

```
-- ASN1START

SLSSID-r12 ::= INTEGER (0..167)

-- ASN1STOP
```

# SL-SyncAllowed

The IE SL-SyncAllowed indicates the allowed the synchronization references for a transmission resource pool for V2X sidelink communication.

### SL-SyncAllowed information element

```
-- ASN1START
                             SEQUENCE {
SL-SyncAllowed-r14 ::=
                                                                          OPTIONAL,
    gnss-Sync-r14
                                         ENUMERATED {true}
                                                                                       -- Need OR
    enb-Sync-r14
                                         ENUMERATED {true}
                                                                          OPTIONAL,
                                                                                       -- Need OR
    ue-Sync-r14
                                         ENUMERATED {true}
                                                                           OPTIONAL
                                                                                        -- Need OR
-- ASN1STOP
```

# SL-SyncAllowed field descriptions

### enb-Sync

If configured, the (pre-) configured resources can be used if the UE is directly or indirectly synchronized to eNB (i.e., synchronized to a reference UE which is directly synchronized to eNB).

#### gnss-Synd

If configured, the (pre-) configured resources can be used if the UE is directly or indirectly synchronized to GNSS (i.e. synchronized to a reference UE which is directly synchronized to GNSS).

#### ue-Svnc

If configured, the (pre-) configured resources can be used if the UE is synchronized to a reference UE which is synchronized to neither GNSS nor eNB directly or indirectly.

# SL-SyncConfig

The IE *SL-SyncConfig* specifies the configuration information concerning reception of synchronisation signals from neighbouring cells as well as concerning the transmission of synchronisation signals for sidelink communication and sidelink discovery.

# SL-SyncConfig information element

```
-- ASN1START
                                SEQUENCE (SIZE (1..maxSL-SyncConfig-r12)) OF SL-SyncConfig-r12
SL-SyncConfigList-r12 ::=
SL-SyncConfigListV2X-r14 ::=
                              SEQUENCE (SIZE (1..maxSL-V2X-SyncConfig-r14)) OF SL-SyncConfig-r12
SL-SyncConfig-r12 ::=
                                         SEOUENCE {
    syncCP-Len-r12
                                            SL-CP-Len-r12,
    syncOffsetIndicator-r12
                                         SL-OffsetIndicatorSync-r12,
    slssid-r12
                                            SLSSID-r12,
                                                 SEQUENCE {
    txParameters-r12
        syncTxParameters-r12
                                                 SL-TxParameters-r12,
        syncTxThreshIC-r12
                                                 RSRP-RangeSL-r12,
        syncInfoReserved-r12
                                                 BIT STRING (SIZE (19)) OPTIONAL
                                                                                      -- Need OR
                                                                                      -- Need OR
                                                                          OPTIONAL.
    rxParamsNCell-r12
                                             SEQUENCE {
        physCellId-r12
                                                 PhysCellId,
                              ENUMERATED {w1, w2}
        discSyncWindow-r12
                                                                          OPTIONAL,
                                                                                      -- Need OR
    [[ syncTxPeriodic-r13
                                             ENUMERATED {true}
                                                                         OPTIONAL
                                                                                      -- Need OR
    \hbox{ [[ syncOffsetIndicator-v1430 } \\ \hbox{ SL-OffsetIndicatorSync-v1430 } \\ \hbox{ OPTIONAL,}
                                                                                      -- Need OR
                                        ENUMERATED {true}
                                                                          OPTIONAL
        gnss-Sync-r14
                                                                                      -- Need OR
    [[ syncOffsetIndicator2-r14 SL-OffsetIndicatorSync-r14 OPTIONAL, -- Need OR syncOffsetIndicator3-r14 SL-OffsetIndicatorSync-r14 OPTIONAL, -- Need OR
                                        ENUMERATED {true}
       slss-TxDisabled-r15
                                                                          OPTIONAL
                                                                                      -- Need OR
    [ [
}
SL-SyncConfigListNFreq-r13 ::= SEQUENCE (SIZE (1..maxSL-SyncConfig-r12)) OF SL-SyncConfiqNFreq-
r13
SL-SyncConfigListNFreqV2X-r14 ::=
                                         SEQUENCE (SIZE (1..maxSL-V2X-SyncConfig-r14)) OF SL-
SyncConfigNFreq-r13
SL-SyncConfigNFreq-r13 ::=
asyncParameters-r13
                                    SEQUENCE {
                                        SEQUENCE {
                                            SL-CP-Len-r12,
       syncCP-Len-r13
                                             SL-OffsetIndicatorSync-r12,
        syncOffsetIndicator-r13
        slssid-r13
                                             SLSSID-r12
                                                                     OPTIONAL,
    txParameters-r13
                                         SEQUENCE {
        syncTxParameters-r13
                                            SL-TxParameters-r12,
        syncTxThreshIC-r13
                                             RSRP-RangeSL-r12,
        syncInfoReserved-r13
                                             BIT STRING (SIZE (19)) OPTIONAL,
                                                                                  -- Need OR
                                            ENUMERATED {true}
                                                                                  -- Need OR
        syncTxPeriodic-r13
                                                                     OPTIONAL
                                                                     OPTIONAL,
                                                                                 -- Need OR
                                        SEQUENCE {
    rxParameters-r13
        discSyncWindow-r13
                                            ENUMERATED {w1, w2}
                                                                          OPTIONAL,
                                                                                      -- Need OR
```

#### SL-SyncConfig field descriptions

#### discSyncWindow

Indicates the synchronization window over which the UE expects that SLSS or discovery resources indicated by the pool configuration (see TS 36.213 [23], clause 14.4). The value w1 denotes 5 milliseconds. The value w2 denotes the length corresponding to normal cyclic prefix divided by 2.

#### gnss-Sync

if configured, the synchronization configuration is used for SLSS transmission/reception when the UE is synchronized to GNSS, by using slssid=0 and ignoring *slssid-r12* configured. If not configured, the synchronization configuration is used for SLSS transmission/reception when the UE is synchronized to eNB, by using the configured *slssid-r12*.

#### slss-TxDisabled

Value TRUE indicates that the carrier, even though equipped with synchronisation resources, cannot be used as a synchronisation carrier frequency to transmit SLSS or PSBCH.

This parameter cannot be included in SystemInformationBlockType21 or SystemInformationBlockType26.

#### svncCP-Len

In case of V2X sidelink communications this field is always configured to normal.

# syncInfoReserved

Reserved for future use.

#### syncOffsetIndicator

E-UTRAN should ensure *syncOffsetIndicator* is set to the same value as *syncOffsetIndicator1* or *syncOffsetIndicator2* in *preconfigSync* within *SL-Preconfiguration*, if configured. If *syncOffsetIndicator-v1430* is configured, the UE shall ignore the field *syncOffsetIndicator-r12*. E-UTRAN should ensure *syncOffsetIndicator* is set to the same value as *syncOffsetIndicator1* in *v2x-CommPreconfigSync* within *SL-V2X-Preconfiguration*, if configured. E-UTRAN should ensure *syncOffsetIndicator2* is set to the same value as *syncOffsetIndicator3* is set to the same value as *syncOffsetIndicator3* in *v2x-CommPreconfigSync* within *SL-V2X-Preconfiguration*, if configured. E-UTRAN should ensure all values in *syncOffsetIndicator* are same across all carrier frequencies configured for UEs performing V2X sidelink communication on multiple carrier frequencies. For *SL-V2X-Preconfiguration*, all values in *syncOffsetIndicator* should be same across all carrier frequencies configured for UEs performing V2X sidelink communication on multiple carrier frequencies.

#### syncTxPeriodic

Indicates whether in each discovery period in which UE transmits discovery, the UE transmits SLSS once or periodically (i.e. every 40ms). In the latter case (periodic) the UE also transmits the *MasterInformationBlock-SL* message alongside. E-UTRAN configures this field only for synchronisation configurations applicable for PS discovery.

# syncTxThreshIC

Indicates the threshold used while in coverage. In case the RSRP measurement of the cell chosen for transmission of sidelink communication/ discovery announcements/ V2X sidelink communication, or of the cell used as reference for DL measurements and synchronization, is below the level indicated by this field, the UE may transmit SLSS (i.e. become synchronisation reference) when performing the corresponding sidelink transmission..

#### txParameters

Includes parameters relevant only for transmission. E-UTRAN includes the field in one entry per list, as included in commSyncConfig or discSyncConfig.

# SL-TF-ResourceConfig

The IE SL-TF-ResourceConfig specifies a set of time/ frequency resources used for sidelink.

#### SL-TF-ResourceConfig information element

```
-- ASN1START
SL-TF-ResourceConfig-r12 ::=
                                   SEOUENCE
   prb-Num-r12
                                      INTEGER (1..100),
                                        INTEGER (0..99),
    prb-Start-r12
   prb-End-r12
                                       INTEGER (0..99),
    offsetIndicator-r12
                                       SL-OffsetIndicator-r12,
    subframeBitmap-r12
                                       SubframeBitmapSL-r12
SubframeBitmapSL-r12 ::=
                              CHOICE {
   bs4-r12
                                           BIT STRING (SIZE (4)),
    bs8-r12
                                           BIT STRING (SIZE (8)),
    bs12-r12
                                           BIT STRING (SIZE (12)),
    bs16-r12
                                           BIT STRING (SIZE (16)),
                                           BIT STRING (SIZE (30)),
    bs30-r12
    bs40-r12
                                           BIT STRING (SIZE (40)),
    bs42-r12
                                           BIT STRING (SIZE (42))
```

```
SubframeBitmapSL-r14 ::=
                                 CHOICE {
    bs10-r14
                                             BIT STRING (SIZE (10)),
    bs16-r14
                                             BIT STRING (SIZE (16)),
    bs20-r14
                                             BIT STRING (SIZE (20)),
    bs30-r14
                                             BIT STRING (SIZE (30)),
                                             BIT STRING (SIZE (40)),
    bs40-r14
    bs50-r14
                                             BIT STRING (SIZE (50)),
    bs60-r14
                                             BIT STRING (SIZE (60)),
    bs100-r14
                                             BIT STRING (SIZE (100))
-- ASN1STOP
```

# SL-TF-ResourceConfig field descriptions

#### prb-Start, prb-End, prb-Num

Sidelink transmissions on a sub-frame can occur on PRB with index greater than or equal to *prb-Start* and less than *prb-Start* + *prb-Num*, and on PRB with index greater than *prb-End* - *prb-Num* and less than or equal to *prb-End*. Even for neighbouring cells, *prb-Start* and *prb-End* are relative to PRB #0 of the cell from which it was obtained. See TS 36.213 [23], clauses 14.1.3, 14.2.3 and 14.3.3.

#### subframeBitmap

Indicates the subframe bitmap indicating resources used for sidelink. For sidelink communication, E-UTRAN configures value bs40 for FDD and the following values for TDD: value bs42 for configuration0, value bs16 for configuration1, value bs8 for configuration2, value bs12 for configuration3, value bs8 for configuration4, value bs4 for configuration5 and value bs30 for configuration6. For V2X sidelink communication, E-UTRAN configures value bs16, bs20 or bs100 for FDD or Frame Structure Type 1 as defined in TS 36.211 [21], and the following values for TDD or Frame Structure Type 2 as defined in TS 36.211 [21]: value bs60 for configuration0, value bs40 for configuration1, value bs20 for configuration2, value bs30 for configuration3, value bs20 for configuration4, value bs10 for configuration5 and value bs50 for configuration6.

# - SL-TxPower

The IE *SL-TxPower* is used to limit the UE's sidelink transmission power on a carrier frequency. The unit is dBm. Value minusinfinity corresponds to –infinity.

# SL-TxPower information element

# SL-TypeTxSync

The IE *SL-TypeTxSync* indicates the synchronization reference type.

#### SL-TypeTxSync information element

```
-- ASN1START

SL-TypeTxSync-r14 ::= ENUMERATED {gnss, enb, ue}

-- ASN1STOP
```

# SL-ThresPSSCH-RSRP-List

IE *SL-ThresPSSCH-RSRP-List* indicates a threshold used for sensing based UE autonomous resource selection (see TS 36.213 [23]). A resource is excluded if it is indicated or reserved by a decoded SCI and PSSCH RSRP in the associated data resource is above the threshold defined by IE *SL-ThresPSSCH-RSRP-List*.

#### SL-ThresPSSCH-RSRP-List information element

```
-- ASN1START

SL-ThresPSSCH-RSRP-List-r14 ::= SEQUENCE (SIZE (64)) OF SL-ThresPSSCH-RSRP-r14

SL-ThresPSSCH-RSRP-r14 ::= INTEGER (0..66)

-- ASN1STOP
```

# SL-ThresPSSCH-RSRP-List field descriptions

#### SL-ThresPSSCH-RSRP

Value 0 corresponds to minus infinity dBm, value 1 corresponds to -128dBm, value 2 corresponds to -126dBm, value n corresponds to (-128 + (n-1)\*2) dBm and so on, value 66 corresponds to infinity dBm.

# SL-TxParameters

The IE *SL-TxParameters* identifies a set of parameters configured for sidelink transmission, used for communication, discovery and synchronisation.

#### SL-TxParameters information element

```
-- ASN1START

SL-TxParameters-r12 ::= SEQUENCE {
    alpha-r12 Alpha-r12,
    p0-r12 P0-SL-r12
}

P0-SL-r12 ::= INTEGER (-126..31)

-- ASN1STOP
```

# SL-TxParameters field descriptions

#### alpha

Parameter(s):  $\alpha_{PSSCH,1}$ ,  $\alpha_{PSSCH,2}$ ,  $\alpha_{PSSCH,3}$ ,  $\alpha_{PSSCH,4}$ ,  $\alpha_{PSSCH,1}$ ,  $\alpha_{PSCCH,1}$ ,  $\alpha_{PSSCH,1}$ ,  $\alpha_{PSSCH,1}$ ,  $\alpha_{PSSS}$  See TS 36.213 [23], clauses 14.1.1.5, 14.2.1.3, 14.3.1 and 14.4, where allo corresponds to 0, allo4 corresponds to value 0.4, allo5 to 0.5, allo6 to 0.6, allo7 to 0.7, allo8 to 0.8, allo9 to 0.9 and all corresponds to 1. This field applies for sidelink power control.

p0

Parameter:  $P_{\text{O\_PSSCH},1}$ ,  $P_{\text{O\_PSSCH},2}$ ,  $P_{\text{O\_PSSCH},3}$ ,  $P_{\text{O\_PSSCH},4}$ ,  $P_{\text{O\_PSCCH},1}$ ,  $P_{\text{O\_PSCCH},2}$ ,  $P_{\text{O\_PSDCH},1}$ ,  $P_{\text{O\_PSSCH},4}$ , see TS 36.213 [23], clauses 14.1.1.5, 14.2.1.3, 14.3.1 and 14.4, unit dBm.

# SL-TxPoolIdentity

The IE *SL-TxPoolIdentity* identifies an individual pool entry configured for sidelink transmission, used for communication and discovery.

# SL-TxPoolIdentity information element

```
-- ASN1START

SL-TxPoolIdentity-r12 ::= INTEGER (1..maxSL-TxPool-r12)

SL-TxPoolIdentity-v1310 ::= INTEGER (maxSL-TxPool-r12Plus1-r13..maxSL-TxPool-r13)

SL-V2X-TxPoolIdentity-r14 ::= INTEGER (1..maxSL-V2X-TxPool-r14)

-- ASN1STOP
```

# SL-TxPoolToReleaseList

The IE *SL-TxPoolToReleaseList* is used to release one or more individual pool entries used for sidelink transmission, for communication and discovery.

#### SL-TxPoolToReleaseList information element

```
-- ASN1START

SL-TxPoolToReleaseList-r12 ::= SEQUENCE (SIZE (1..maxSL-TxPool-r12)) OF SL-TxPoolIdentity-r12

SL-TxPoolToReleaseListExt-r13 ::= SEQUENCE (SIZE (1..maxSL-TxPool-v1310)) OF SL-TxPoolIdentity-v1310

-- ASN1STOP
```

# SL-V2X-ConfigDedicated

The IE SL-V2X-ConfigDedicated specifies the dedicated configuration information for V2X sidelink communication.

# SL-V2X-ConfigDedicated information element

```
-- ASN1START
SL-V2X-ConfigDedicated-r14 ::=
                                            SEOUENCE
                                        CHOICE {
    commTxResources-r14
       release
                                            NULL,
        setup
                                            CHOICE {
            scheduled-r14
                                            SEQUENCE {
                sl-V-RNTI-r14
                                       C-RNTI,
                mac-MainConfig-r14
                                                MAC-MainConfigSL-r12,
                v2x-SchedulingPool-r14
                                               SL-CommResourcePoolV2X-r14 OPTIONAL,
                                                                                       -- Need ON
                                                INTEGER (0..31)
                                                                            OPTIONAL,
                                                                                        -- Need OR
                logicalChGroupInfoList-r14
                                             LogicalChGroupInfoList-r13
            ue-Selected-r14
                                            SEQUENCE {
                 -- Pool for normal usage
                v2x-CommTxPoolNormalDedicated-r14 SEQUENCE {
                   poolToReleaseList-r14 SL-TxPoolToReleaseListV2X-r14 OPTIONAL, poolToAddModList-r14 SL-TxPoolToAddModListV2X-r14 O
                                                                                       -- Need ON
                                                                                    OPTIONAL,
Need ON
                    v2x-CommTxPoolSensingConfig-r14
                                                      SL-CommTxPoolSensingConfig-r14
                                                                            OPTIONAL
                                                                                        -- Need ON
            }
                                                                            OPTIONAL,
                                                                                        -- Need ON
                                                                                       -- Need ON
    v2x-InterFreqInfoList-r14
                                        SL-InterFreqInfoListV2X-r14
                                                                            OPTIONAL,
    thresSL-TxPrioritization-r14
                                            SL-Priority-r13
                                                                                OPTIONAL,
OR
    typeTxSync-r14
                                        SL-TypeTxSync-r14
                                                                            OPTIONAL,
                                                                                        -- Need OR
                                        SL-CBR-CommonTxConfigList-r14 OPTIONAL, -- Need OR
    cbr-DedicatedTxConfigList-r14
    [[ commTxResources-v1530
                                                CHOICE {
           release
                                                NULL,
                                                CHOICE {
            setup
                scheduled-v1530
                                                SEQUENCE {
                   logicalChGroupInfoList-v1530
                                                   LogicalChGroupInfoList-v1530 OPTIONAL,
Need OR
                   mcs-r15
                                                   INTEGER (0..31)
                                                                            OPTIONAL
                                                                                        -- Need OR
                ue-Selected-v1530
                                               SEQUENCE {
                    v2x-FreqSelectionConfigList-r15 SL-V2X-FreqSelectionConfigList-r15 OPTIONAL --
Need OR
                }
                                                                        OPTIONAL,
        v2x-PacketDuplicationConfig-r15 SL-V2X-PacketDuplicationConfig-r15 OPTIONAL,
                                                                                        -- Need OR
                                                                            OPTIONAL,
                                       SL-V2X-SyncFreqList-r15
        syncFreqList-r15
                                                                                        -- Need OR
        slss-TxMultiFreq-r15
                                        ENUMERATED {true}
                                                                            OPTIONAL
                                                                                        -- Need OR
    [[
        slss-TxDisabled-r15 ENUMERATED {true}
                                                                       OPTIONAL -- Need OR
```

# SL-V2X-ConfigDedicated field descriptions

# cbr-DedicatedTxConfigList

Indicates the dedicated list of CBR range division and the list of PSCCH TX configurations available to configure congestion control to the UE for V2X sidelink communication.

#### IogicalChGroupInfoList

Indicates for each logical channel group the list of associated priorities and reliabilities, used as specified in TS 36.321 [6], in order of increasing logical channel group identity. If E-UTRAN includes logicalChGroupInfoList-v1530, it includes the same number of entries, and listed in the same order, as in logicalChGroupInfoList-r14, and a logical channel group identity of the same entry in logicalChGroupInfoList-r14 and in logicalChGroupInfo-v1530 is associated with both the priorties (as in logicalChGroupInfoList-v1530) of that entry. If logicalChGroupInfoList-v1530 is not included, this field indicates for each logical channel group the list of associated priorities.

#### mcs

Indicates the MCS as defined in TS 36.213 [23], clause 14.2.1. If not configured, the selection of MCS is up to UE implementation. If included, *mcs-r14* corresponds to the MCS table in Table 8.6.1-1 with 64QAM indices overridden by 16QAM used for transmission on PSSCH. If included, *mcs-r15* corresponds to both the MCS table in Table 8.6.1-1 in TS 36.213 [23] and the MCS table supporting 64QAM in Table 14.1.1-2 in TS 36.213 [23] used for transmission on PSSCH. If this field is present, E-UTRAN shall configure both *mcs-r14* and *mcs-r15*.

#### scheduled

Indicates the configuration for the case E-UTRAN schedules the transmission resources based on sidelink specific BSR from the UE.

#### sI-V-RNTI

Indicates the RNTI used for DCI dynamically scheduling sidelink resources for V2X sidelink communication.

#### slss-TxDisabled

Value TRUE indicates that the primary carrier, even though equipped with synchronisation resources, cannot be used as a synchronisation carrier frequency to transmit SLSS or PSBCH.

#### thresSL-TxPrioritization

Indicates the threshold used to determine whether SL V2X transmission is prioritized over uplink transmission if they overlap in time (see TS 36.321 [6]). This value shall overwrite *thresSL-TxPrioritization* configured in *SIB21* or *SL-V2X-Preconfiguration* if any.

#### typeTxSync

Indicates the prioritized synchronization type (i.e. eNB or GNSS) for performing V2X sidelink communication on PCell.

#### ue-Selected

Indicates the configuration for the case the UE selects the transmission resources from a pool of resources configured by E-UTRAN.

## v2x-InterFreqInfoList

Indicates synchronization and resource allocation configurations of other carrier frequencies than the serving carrier frequency for V2X sidelink communication. For inter-carrier scheduled resource allocation, CIF=1 in DCI-5A corresponds to the first entry in this frequency list, CIF=2 corresponds to the second entry, and so on (see TS 36.213 [23]). CIF=0 in DCI-5A corresponds to the frequency where the DCI is received.

#### v2x-SchedulingPool

Indicates a pool of resources when E-UTRAN schedules Tx resources for V2X sidelink communications.

# SL-V2X-FreqSelectionConfigList

The IE *SL-V2X-FreqSelectionConfigList* specifies the configuration information for carrier selection for V2X sidelink communication transmission using UE autonomous resource selection.

## SL-V2X-FreqSelectionConfigList information element

```
-- ASN1START

SL-V2X-FreqSelectionConfigList-r15 ::= SEQUENCE (SIZE (1..8)) OF SL-V2X-FreqSelectionConfig-r15

SL-V2X-FreqSelectionConfig-r15 ::= SEQUENCE {
    priorityList-r15 SL-PriorityList-r13,
    threshCBR-FreqReselection-r15 SL-CBR-r14 OPTIONAL, -- Need OR
    threshCBR-FreqKeeping-r15 SL-CBR-r14 OPTIONAL -- Need OR
}

-- ASN1STOP
```

# SL-V2X-FreqSelectionConfig field descriptions

#### priorityList

Indicates the list of PPPP(s) which is associated with the configurations in *threshCBR-FreqReselection* and in *threshCBR-FreqReselection* and in *threshCBR-FreqReselection* and in

#### threshCBR-FreqReselection

Indicates the CBR threshold to determine whether the carrier frequency can be (re)selected for the transmission of V2X sidelink communication. See TS 36.321 [6].

#### threshCBR-FreqKeeping

Indicates the CBR threshold to determine whether the UE can keep using the carrier which was selected for the transmission of V2X sidelink communication. See TS 36.321 [6].

# SL-V2X-PacketDuplicationConfig

The IE *SL-V2X-PacketDuplicationConfig* specifies the configuration information for sidelink packet duplication for V2X sidelink communication transmission.

# SL-V2X-PacketDuplicationConfig information element

```
-- ASN1START
SL-V2X-PacketDuplicationConfig-r15 ::= SEQUENCE {
     threshSL-Reliability-r15 SL-Reliability-r15, allowedCarrierFreqConfig-r15 SL-PPPR-Dest-CarrierFreqList-r15
    threshSL-Reliability-r15
                                                                                              OPTIONAL.
                                                                                                             -- Need OR
SL-PPPR-Dest-CarrierFreqList-r15 ::=
                                               SEQUENCE (SIZE (1..maxSL-Dest-r12)) OF SL-PPPR-Dest-
CarrierFreq
SL-PPPR-Dest-CarrierFreq ::= SEQUENCE {
    destinationInfoList-r15 SL-Des
    allowedCarrierFreqList-r15 SL-AllowedCarrierFreqList-r12
                                                                                   OPTIONAL,
                                                                                                  -- Need OR
                                                                                            OPTIONAL
                                                                                                           -- Need OR
SL-AllowedCarrierFreqList-r15 ::= SEQUENCE {
    allowedCarrierFreqSet1 SEQUENCE (SIZE (1..maxFreqV2X-r14)) OF ARFCN-ValueEUTRA-r9, allowedCarrierFreqSet2 SEQUENCE (SIZE (1..maxFreqV2X-r14)) OF ARFCN-ValueEUTRA-r9
-- ASN1STOP
```

#### SL-V2X-PacketDuplicationConfig field descriptions

#### allowedCarrierFreqList, allowedCarrierFreqSet1, allowedCarrierFreqSet2

Indicates, for V2X sidelink communication, the set of carrier frequencies applicable for the transmission of the MAC SDUs from the sidelink logical channels whose associated destination are included in *destinationInfoList* (see TS 36.321 [6]). If present, E-UTRAN shall ensure *allowedCarrierFreqSet1* and *allowedCarrierFreqSet2* do not include the same carrier frequency.

#### threshSL-Reliability

Indicates the reliability threshold used to determine whether sidelinik packet duplication is configured and activated for V2X sidelink communication transmission. See TS 36.323 [8] and TS 36.321 [6].

# SL-V2X-SyncFreqList

The IE SL-V2X-SyncFreqList specifies the list of candidate synchronisation carrier frequencies used for V2X sidelink communication.

# SL-V2X-SyncFreqList information element

```
-- ASN1START

SL-V2X-SyncFreqList-r15 ::= SEQUENCE (SIZE (1..maxFreqV2X-r14)) OF ARFCN-ValueEUTRA-r9

-- ASN1STOP
```

# – SL-ZoneConfig

The IE SL-ZoneConfig indicates zone configurations used for V2X sidelink communication.

# SL-ZoneConfig information element

#### SL-ZoneConfig field descriptions

#### zoneLength

Indicates the length of each geographic zone. Value m5 corresponds to 5 meters, m10 corresponds to 10 meters and so on.

#### zoneWidth

Indicates the width of each geographic zone. Value m5 corresponds to 5 meters, m10 corresponds to 10 meters and so on.

#### zoneldLonaiMod

Indicates the total number of zones that is configured with respect to longitude.

#### zoneldLatiMod

Indicates the total number of zones that is configured with respect to latitude.

# 6.4 RRC multiplicity and type constraint values

# Multiplicity and type constraint definitions

```
maxBandComb-r11
                            INTEGER ::= 256 -- Maximum number of additional band combinations.
                            INTEGER ::= 384 -- Maximum number of band combinations in Rel-13
maxBandComb-r13
maxBands
                            INTEGER ::= 64 -- Maximum number of bands listed in EUTRA UE caps
maxBandsNR-r15
                            INTEGER ::= 1024 -- Maximum number of NR bands listed in EUTRA UE
caps
maxBandwidthClass-r10
                            INTEGER ::= 16 -- Maximum number of supported CA BW classes per band
maxBandwidthCombSet-r10
                           INTEGER ::= 32 -- Maximum number of bandwidth combination sets per
                                             -- supported band combination
                          INTEGER ::= 8 -- Maximum number of UAC barring information sets
maxBarringInfoSet-r15
                            INTEGER ::= 32 -- Maximum number of Bluetooth IDs to report INTEGER ::= 4 -- Maximum number of Bluetooth name
maxBT-IdReport-r15
maxBT-Name-r15
                           INTEGER ::= 16 -- Maximum number of CBR levels
maxCBR-Level-r14
                            INTEGER ::= 15
maxCBR-Level-1-r14
maxCBR-Report-r14
                           INTEGER ::= 72 -- Maximum number of CBR results in a report
                            INTEGER ::= 32 -- Maximum value of the CDMA band classes
INTEGER ::= 4 -- Maximum number of CE levels
maxCDMA-BandClass
maxCE-Level-r13
maxCellBlack
                            INTEGER ::= 16 -- Maximum number of blacklisted physical cell identity
                                             -- ranges listed in SIB type 4 and 5
                            INTEGER ::= 16 -- Maximum number of visited EUTRA cells reported
maxCellHistory-r12
                      INTEGER ::= 32 -- Maximum number of GERAN cells for which system in-
maxCellInfoGERAN-r9
                                             -- formation can be provided as redirection assistance
                            INTEGER ::= 16 -- Maximum number of UTRA cells for which system
maxCellInfoUTRA-r9
                                             -- information can be provided as redirection
                                             -- assistance
maxCellMeasIdle-r15
                            INTEGER ::= 8
                                            -- Maximum number of neighbouring inter-frequency
                                             -- cells per carrier measured in IDLE mode
maxCombIDC-r11
                            INTEGER ::= 128 -- Maximum number of reported UL CA or
                                             -- MR-DC combinations
maxCSI-IM-r11
                                             -- Maximum number of CSI-IM configurations
                            INTEGER ::= 3
                                             -- (per carrier frequency)
maxCSI-IM-r12
                            INTEGER ::= 4
                                            -- Maximum number of CSI-IM configurations
                                             -- (per carrier frequency)
minCSI-IM-r13
                            INTEGER ::= 5
                                             -- Minimum number of CSI IM configurations from which
                                             -- REL-13 extension is used
maxCSI-IM-r13
                            INTEGER ::= 24 -- Maximum number of CSI-IM configurations
                                             -- (per carrier frequency)
                            INTEGER ::= 20 -- Maximum number of additional CSI-IM configurations
maxCSI-IM-v1310
                                             -- (per carrier frequency)
                                            -- Maximum number of CSI processes (per carrier
maxCSI-Proc-r11
                            INTEGER ::= 4
                                             -- frequency)
maxCSI-RS-NZP-r11
                            INTEGER ::= 3
                                            -- Maximum number of CSI RS resource
                                             -- configurations using non-zero Tx power
                                             -- (per carrier frequency)
minCSI-RS-NZP-r13
                            INTEGER ::= 4
                                            -- Minimum number of CSI RS resource from which
                                             -- REL-13 extension is used
maxCSI-RS-NZP-r13
                            INTEGER ::= 24 -- Maximum number of CSI RS resource
                                             -- configurations using non-zero Tx power
                                             -- (per carrier frequency)
maxCSI-RS-NZP-v1310
                            INTEGER ::= 21 -- Maximum number of additional CSI RS resource
                                             -- configurations using non-zero Tx power
                                             -- (per carrier frequency)
                                            -- Maximum number of CSI RS resource
maxCSI-RS-ZP-r11
                            INTEGER ::= 4
                                             -- configurations using zero Tx power(per carrier
                                             -- frequency)
                            INTEGER ::= 3 -- Maximum number of additional periodic CQI
maxCOI-ProcExt-r11
                                             -- configurations (per carrier frequency)
maxFreqUTRA-TDD-r10
                            INTEGER ::= 6
                                            -- Maximum number of UTRA TDD carrier frequencies for
                                             -- which system information can be provided as
                                             -- redirection assistance
                            INTEGER ::= 16 -- Maximum number of neighbouring inter-frequency
maxCellInter
                                             -- cells listed in SIB type 5
maxCellIntra
                            INTEGER ::= 16 -- Maximum number of neighbouring intra-frequency
                                             -- cells listed in SIB type 4
maxCellListGERAN
                            INTEGER ::= 3
                                             -- Maximum number of lists of GERAN cells
                            INTEGER ::= 32 -- Maximum number of entries in each of the
maxCellMeas
                                             -- cell lists in a measurement object
                                            -- Maximum number of reported cells/CSI-RS resources
maxCellReport
                            INTEGER ::= 8
maxCellSFTD
                       INTEGER ::= 3 -- Maximum number of cells for SFTD reporting
                            INTEGER ::= 8 -- Maximum number of simultaneous SPS configurations

TNTEGER ::= 6 -- Maximum number of simultaneous SPS configurations
maxConfigSPS-r14
                                            -- Maximum number of simultaneous SPS configurations
maxConfigSPS-r15
maxCSI-RS-Meas-r12
                            INTEGER ::= 96 -- Maximum number of entries in the CSI-RS list
                                             -- in a measurement object
                            INTEGER ::= 11 -- Maximum number of Data Radio Bearers
maxDRB
maxDRBExt-r15
                            INTEGER ::= 4 -- Maximum number of additional DRBs
                            INTEGER ::= 15 -- Highest value of extended maximum number of DRBs
maxDRB-r15
                            INTEGER ::= 5
maxDS-Duration-r12
                                             -- Maximum number of subframes in a discovery signals
                                            -- occasion
```

```
maxDS-ZTP-CSI-RS-r12 INTEGER ::= 5 -- Maximum number of zero transmission power CSI-RS for
                                           -- a serving cell concerning discovery signals
maxEARFCN
                          INTEGER ::= 65535 -- Maximum value of EUTRA carrier frequency
                                               -- Lowest value extended EARFCN range
maxEARFCN-Plus1
                           INTEGER ::= 65536
                           INTEGER ::= 262143 -- Highest value extended EARFCN range
maxEARFCN2
                           INTEGER ::= 2 -- Maximum number of EPDCCH sets
INTEGER ::= 64 -- Maximum value of fequency band indicator
INTEGER ::= 1024 -- Highest value FBI range for NR.
maxEPDCCH-Set-r11
maxFBI
maxFBI-NR-r15
                           INTEGER ::= 65 -- Lowest value extended FBI range
INTEGER ::= 256 -- Highest value extended FBI range
maxFBI-Plus1
maxFBI2
                           INTEGER ::= 256 -- Total number of feature sets (size of pool)
maxFeatureSets-r15
maxPerCC-FeatureSets-r15
                         INTEGER ::= 32 -- Total number of CC-specific feature sets
                                               -- (size of the pool)
                           INTEGER ::= 8 -- Maximum number of carrier frequencies
maxFreq
maxFreqIDC-r11
                           INTEGER ::= 32 -- Maximum number of carrier frequencies that are
                                           -- affected by the IDC problems
maxFregIdle-r15
                           INTEGER ::= 8 -- Maximum number of carrier frequencies for
                                               -- IDLE mode measurements configured by eNB
                           INTEGER ::= 5 -- Maximum number of carrier frequencies for which an
maxFreqMBMS-r11
                                           -- MBMS capable UE may indicate an interest
                                           -- Maximum number of NR carrier frequencies for
maxFreqNR-r15
                           INTEGER ::= 5
                                           \mbox{--} which a UE may provide measurement results upon
                                           -- NR SCG failure
maxFreqV2X-r14
                           INTEGER ::= 8
                                           -- Maximum number of carrier frequencies for which V2X
                                           -- sidelink communication can be configured
                           INTEGER ::= 7
maxFreqV2X-1-r14
                                           -- Highest index of frequencies
maxGERAN-SI
                           INTEGER ::= 10 -- Maximum number of GERAN SI blocks that can be
                                            -- provided as part of NACC information
                           INTEGER ::= 16 -- Maximum number of GERAN neighbour freq groups
maxGNFG
                           INTEGER ::= 3 -- Maximum number of neighbouring inter-
maxIdleMeasCarriers-r15
                                               -- frequency carriers measured in IDLE mode
                           INTEGER ::= 4 -- Maximum number of logical channel groups
maxLCG-r13
maxLogMeasReport-r10
                           INTEGER ::= 520 -- Maximum number of logged measurement entries
                                           -- that can be reported by the UE in one message
maxMBSFN-Allocations
                          INTEGER ::= 8 -- Maximum number of MBSFN frame allocations with
                                           -- different offset
maxMBSFN-Area
                          INTEGER ::= 8
                           INTEGER ::= 7
maxMBSFN-Area-1
-- include in the MBMS interest indication
                           INTEGER ::= 32
maxMeasId
maxMeasId-Plus1
                           INTEGER ::= 33
maxMeasId-r12
                           INTEGER ::= 64
maxMultiBands
                           INTEGER ::= 8
                                           -- Maximum number of additional frequency bands
                                           -- that a cell belongs to
maxMultiBandsNR-r15
                           INTEGER ::= 32 -- Maximum number of additional NR frequency bands
                                           -- that a cell belongs to
maxMultiBandsNR-1-r15
                           INTEGER ::= 31
maxNS-Pmax-r10
                           INTEGER ::= 8
                                           -- Maximum number of NS and P-Max values per band
maxNAICS-Entries-r12
                           INTEGER ::= 8
                                           -- Maximum number of supported NAICS combination(s)
maxNeighCell-r12
                           INTEGER ::= 8
                                          -- Maximum number of neighbouring cells in NAICS
                                           -- configuration (per carrier frequency)
maxNeighCell-SCPTM-r13
                          INTEGER ::= 8
                                          -- Maximum number of SCPTM neighbour cells
maxNrofS-NSSAI-r15
                                           -- Maximum number of S-NSSAI
                           INTEGER ::= 8
maxObjectId
                           INTEGER ::= 32
maxObjectId-Plus1-r13
                           INTEGER ::= 33
maxObjectId-r13
                           INTEGER ::= 64
maxP-a-PerNeighCell-r12
                                           -- Maximum number of power offsets for a neighbour cell
                          INTEGER ::= 3
                                           -- in NAICS configuration
maxPageRec
                           INTEGER ::= 16 --
maxPhysCellIdRange-r9
                           INTEGER ::= 4 -- Maximum number of physical cell identity ranges
maxPLMN-r11
                           INTEGER ::= 6
                                           -- Maximum number of PLMNs
                           INTEGER ::= 5
                                         -- Maximum number of PLMNs minus one
maxPLMN-1-r14
                           INTEGER ::= 8
                                           -- Maximum number of PLMNs for RNA configuration
maxPLMN-r15
                           INTEGER ::= 12 -- Maximum number of NR PLMNs
maxPLMN-NR-r15
maxPNOffset
                           INTEGER ::= 511 -- Maximum number of CDMA2000 PNOffsets
maxPMCH-PerMBSFN
                           INTEGER ::= 15
maxPSSCH-TxConfig-r14
                           INTEGER ::= 16 -- Maximum number of PSSCH TX configurations
maxQuantSetsNR-r15
                           INTEGER ::= 2 -- Maximum number of NR quantity configuration sets
maxOCI-r13
                           INTEGER ::= 6
                                           -- Maximum number of QCIs
maxRAT-Capabilities
                           INTEGER ::= 8
                                           -- Maximum number of interworking RATs (incl EUTRA)
maxRE-MapQCL-r11
                           INTEGER ::= 4
                                           -- Maximum number of PDSCH RE Mapping configurations
                                           -- (per carrier frequency)
                         INTEGER ::= 32
maxReportConfigId
maxReservationPeriod-r14
                           INTEGER ::= 16 -- Maximum number of resource reservation periodicities
                                           -- for sidelink V2X communication
                           INTEGER ::= 64 -- Maximum number of RS indices
maxRS-Index-r15
                           INTEGER ::= 63 -- Highest value of RS index as used to identify
maxRS-Index-1-r15
```

```
-- RS index in RRM reports.
-- cell quality for RRM.
maxRS-IndexReport-r15 INTEGER ::= 32 -- Maximum number of RS indices for RRM.
maxRSTD-Freq-r10
                          INTEGER ::= 3 -- Maximum number of frequency layers for RSTD
                                          -- measurement
                         INTEGER ::= 64 -- Maximum number of MBMS service area identities
maxSAI-MBMS-r11
                                          -- broadcast per carrier frequency
                          INTEGER ::= 4 -- Maximum number of SCells

INTEGER ::= 31 -- Highest value of extended number range of SCells

INTEGER ::= 4 -- Maximum number of SCell common parameter groups
maxSCell-r10
                         INTEGER ::= 4
maxSCell-r13
maxSCellGroups-r15
                          INTEGER ::= 4
                          INTEGER ::= 1023 -- Maximum number of SC-MTCHs in one cell
maxSC-MTCH-r13
maxSC-MTCH-BR-r14
                           INTEGER ::= 128 -- Maximum number of SC-MTCHs in one cell for feMTC
maxSL-CommRxPoolNFreq-r13 INTEGER := 32 -- Maximum number of individual sidelink communication
                                          -- Rx resource pools on neighbouring freq
maxSL-CommRxPoolPreconf-v1310 INTEGER ::= 12 -- Maximum number of additional preconfigured
                                              -- sidelink communication Rx resource pool entries
maxSL-TxPool-r12Plus1-r13 INTEGER ::= 5
                                         -- First additional individual sidelink
                                               - Tx resource pool
                         INTEGER ::= 4 -- Maximum number of additional sidelink
maxSL-TxPool-v1310
                                              -- Tx resource pool entries
maxSL-TxPool-r13
                         INTEGER ::= 8 -- Maximum number of individual sidelink
                                              -- Tx resource pools
maxSL-CommTxPoolPreconf-v1310 INTEGER ::= 7 -- Maximum number of additional preconfigured
                                              -- sidelink Tx resource pool entries
maxSL-Dest-r12 INTEGER ::= 16 maxSL-DiscCells-r13 INTEGER ::= 16
                                              -- Maximum number of sidelink destinations
                                              -- Maximum number of cells with similar sidelink
                                              -- configurations
maxSL-DiscPowerClass-r12 INTEGER ::= 3
                                              -- Maximum number of sidelink power classes
maxSL-DiscRxPoolPreconf-r13 INTEGER ::= 16 -- Maximum number of preconfigured sidelink
                                              -- discovery Rx resource pool entries
maxSL-DiscSysInfoReportFreq-r13 INTEGER := 8 -- Maximum number of frequencies to include in a
                                              -- SidelinkUEInformation for SI reporting
maxSL-DiscTxPoolPreconf-r13 INTEGER ::= 4 -- Maximum number of preconfigured sidelink
                                              -- discovery Tx resource pool entries
                     INTEGER ::= 8 -- Maximum number of gap patterns that can be requested
maxSL-GP-r13
                                      -- for a frequency or assigned
maxSL-PoolToMeasure-r14 INTEGER ::= 72 -- Maximum number of TX resource pools for CBR
                                              -- measurement and report
maxSL-Prio-r13
                     INTEGER ::= 8 -- Maximum number of entries in sidelink priority list
maxSL-RxPool-r12
                       INTEGER ::= 16 -- Maximum number of individual sidelink Rx resource
pools
maxSL-Reliability-r15 INTEGER := 8 -- Maximum number of entries in sidelink reliability list maxSL-SyncConfig-r12 INTEGER := 16 -- Maximum number of sidelink Sync configurations
maxSL-TF-IndexPair-r12 INTEGER ::= 64 -- Maximum number of sidelink Time Freq resource index
                                          -- pairs
                          INTEGER ::= 4 -- Maximum number of individual sidelink Tx resource
maxSL-TxPool-r12
pools
maxSL-V2X-RxPool-r14
                          INTEGER ::= 16 -- Maximum number of RX resource pools for
                                              -- V2X sidelink communication
maxSL-V2X-RxPoolPreconf-r14 INTEGER ::= 16
                                              -- Maximum number of RX resource pools for
                                              -- V2X sidelink communication
maxSL-V2X-TxPool-r14
                         INTEGER ::= 8 -- Maximum number of TX resource pools for
                                              -- V2X sidelink communication
                                              -- Maximum number of TX resource pools for
maxSL-V2X-TxPoolPreconf-r14 INTEGER ::= 8
                                              -- V2X sidelink communication
maxSL-V2X-SyncConfig-r14 INTEGER ::= 16 -- Maximum number of sidelink Sync configurations
                                              -- for V2X sidelink communication
maxSL-V2X-CBRConfig-r14 INTEGER ::= 4 -- Maximum number of CBR range configurations
                                              -- for V2X sidelink communication congestion
                                              -- control
maxSL-V2X-CBRConfig-1-r14 INTEGER ::= 3
-- for V2X sidelink communication congestion -- control
-- pre-configuration for V2X sidelink
                                              -- communication congestion control
maxSL-V2X-CBRConfig2-1-r14 INTEGER ::= 7
-- configurations in pre-configuration for V2X
-- sidelink communication congestion control
maxSL-V2X-TxConfig2-1-r14 INTEGER ::= 127
maxSTAG-r11 INTEGER ::= 3 -- Maximum number of STAGs
maxServCell-r10 INTEGER ::= 5 -- Maximum number of Serving cells
maxServCell-r13
                         INTEGER ::= 32 -- Highest value of extended number range of Serving
maxServCell-r13
cells
```

```
maxServCellNR-r15
                               INTEGER ::= 16 -- Maximum number of NR serving cells
                  INTEGER ::= 16 -- Maximum number of MBMS services that can be included
maxServiceCount
                                                 -- in an MBMS counting request and response
maxServiceCount-1
maxSessionPerPMCH
maxSessionPerPMCH-1
                              INTEGER ::= 15
                               INTEGER ::= 29
                              INTEGER ::= 28
                               INTEGER ::= 32 -- Maximum number of SIBs
maxSIB
maxSIB-1
                              INTEGER ::= 31
maxSI-Message
                             INTEGER ::= 32 -- Maximum number of SI messages
maxSimultaneousBands-r10
                               INTEGER ::= 64 -- Maximum number of simultaneously aggregated bands
maxSubframePatternIDC-r11 INTEGER ::= 8 -- Maximum number of subframe reservation patterns
                                                -- that the UE can simultaneously recommend to the
                                                -- E-UTRAN for use.
-- that the UE can simultaneously report to the
                                                -- E-UTRAN.
maxUTRA-FDD-Carrier INTEGER ::= 16 -- Maximum number of UTRA FDD carrier frequencies
maxUTRA-TDD-Carrier
                              INTEGER ::= 16 -- Maximum number of UTRA TDD carrier frequencies
                              INTEGER ::= 20 -- Maximum number of flight path information waypoints
maxWayPoint-r15
                              INTEGER ::= 16 -- Maximum number of WLAN identifiers
INTEGER ::= 8 -- Maximum number of WLAN bands
maxWLAN-Id-r12
maxWLAN-Id-I12

maxWLAN-Bands-r13

INTEGER ::= 8 -- Maximum number of WLAN bands

maxWLAN-Id-r13

INTEGER ::= 32 -- Maximum number of WLAN identifiers

maxWLAN-Channels-r13

INTEGER ::= 16 -- maximum number of WLAN channels used in
                                                 -- WLAN-CarrierInfo
maxWLAN-CarrierInfo-r13 INTEGER ::= 8 -- Maximum number of WLAN Carrier Information
maxWLAN-Id-Report-r14 INTEGER ::= 32 -- Maximum number of WLAN IDs to report maxWLAN-Name-r15 INTEGER ::= 4 -- Maximum number of WLAN name
-- ASN1STOP
```

NOTE: The value of maxDRB aligns with SA2.

# End of EUTRA-RRC-Definitions

```
-- ASN1START
END
-- ASN1STOP
```

# 6.5 PC5 RRC messages

NOTE: The messages included in this clause reflect the current status of the discussions. Additional messages may be included at a later stage.

# 6.5.1 General message structure

# PC5-RRC-Definitions

This ASN.1 segment is the start of the PC5 RRC PDU definitions.

```
-- ASN1START

PC5-RRC-Definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS
    TDD-ConfigSL-r12
FROM EUTRA-RRC-Definitions;

-- ASN1STOP
```

# SBCCH-SL-BCH-Message

The SBCCH-SL-BCH-Message class is the set of RRC messages that may be sent from the UE to the UE via SL-BCH on the SBCCH logical channel.

# SBCCH-SL-BCH-Message-V2X

The SBCCH-SL-BCH-Message-V2X class is the set of RRC messages that may be sent from the UE to the UE via SL-BCH on the SBCCH logical channel for V2X sidelink communication.

# 6.5.2 Message definitions

# MasterInformationBlock-SL

The *MasterInformationBlock-SL* includes the information transmitted by a UE transmitting SLSS, i.e. acting as synchronisation reference, via SL-BCH.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: SBCCH

Direction: UE to UE

# MasterInformationBlock-SL

```
-- ASN1START
MasterInformationBlock-SL ::=
                                       SEQUENCE {
                                       ENUMERATED {
    sl-Bandwidth-r12
                                               n6, n15, n25, n50, n75, n100},
    tdd-ConfigSL-r12
                                           TDD-ConfigSL-r12,
    tdd-ConfigSL-r12
directFrameNumber-r12
directSubframeNumber-r12
                                         BIT STRING (SIZE (10)),
                                           INTEGER (0..9),
    directSubframeNumber-r12
    inCoverage-r12
                                           BOOLEAN,
                                           BIT STRING (SIZE (19))
    reserved-r12
-- ASN1STOP
```

#### MasterInformationBlock-SL field descriptions

#### directFrameNumber

Indicates the frame number in which SLSS and SL-BCH are transmitted. The subframe in the frame corresponding to directFrameNumber is indicated by directSubframeNumber.

#### inCoverage

Value TRUE indicates that the UE transmitting the MasterInformationBlock-SL is in E-UTRAN coverage.

#### sl-Bandwidth

Parameter: transmission bandwidth configuration. n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on.

#### MasterInformationBlock-SL-V2X

The *MasterInformationBlock-SL-V2X* includes the information transmitted by a UE transmitting SLSS, i.e. acting as synchronisation reference, via SL-BCH for V2X sidelink communication.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: SBCCH

Direction: UE to UE

#### MasterInformationBlock-SL-V2X

```
-- ASN1START
MasterInformationBlock-SL-V2X-r14 ::=
                                               SEQUENCE {
    sl-Bandwidth-r14
                                          ENUMERATED {
                                              n6, n15, n25, n50, n75, n100},
    tdd-ConfigSL-r14
                                          TDD-ConfigSL-r12,
    directFrameNumber-r14
directSubframeNumber-r14
                                        BIT STRING (SIZE (10)),
    directSubframeNumber-r14
                                          INTEGER (0..9),
    inCoverage-r14
                                          BOOLEAN,
                                          BIT STRING (SIZE (27))
    reserved-r14
-- ASN1STOP
```

# MasterInformationBlock-SL-V2X field descriptions

#### directFrameNumber

Indicates the frame number in which SLSS and SL-BCH for V2X sidelink communication are transmitted. The subframe in the frame corresponding to *directFrameNumber* is indicated by *directSubframeNumber*.

#### inCoverage

Value TRUE indicates that the UE transmitting the MasterInformationBlock-SL-V2X for V2X sidelink communication is in E-UTRAN coverage.

#### sl-Bandwidth

Parameter: transmission bandwidth configuration. n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on.

# End of PC5-RRC-Definitions

```
-- ASN1START
END
-- ASN1STOP
```

# 6.6 Direct Indication Information

Direct Indication information is transmitted on MPDCCH using P-RNTI but without associated *Paging* message. Table 6.6-1 defines the Direct Indication information, see TS 36.212 [22], clause 5.3.3.1.14.

When bit n is set to 1, UE shall behave as if the corresponding field is set in the *Paging* message, see 5.3.2.3. Bit 1 is the least significant bit.

Table 6.6-1: Direct Indication information

Bit	Direct Indication information
1	systemInfoModification
2	etws-Indication
3	cmas-Indication
4	eab-ParamModification
5	systemInfoModification-eDRX
6, 7, 8	Not used, and shall be ignored by UE if received.

# 6.6a Direct Indication FeMBMS

On MBMS-dedicated cell and on FeMBMS/Unicast-mixed cell, a Direct Indication FeMBMS is transmitted on PDCCH together with 8-bit MCCH change notification using M-RNTI, see TS 36.212 [22], clause 5.3.3.1.4. Table 6.6a-1 defines the Direct Indication FeMBMS.

When the first bit is set to 1, UE shall behave as if *systemInfoModification* field is set in the *Paging* message and when the second bit is set to 1, UE shall behave as if both *etws-Indication* and *cmas-Indication* are set in the *Paging* message, see 5.3.2.3. Bit 1 is the least significant bit.

Table 6.6a-1: Direct Indication FeMBMS

Bit	Direct Indication FeMBMS
1	systemInfoModification
2	etws-Indication and cmas-Indication

# 6.7 NB-IoT RRC messages

# 6.7.1 General NB-IoT message structure

```
-- ASN1START
NBIOT-RRC-Definitions DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
    RRCConnectionReestablishmentReject,
    SecurityModeCommand,
    SecurityModeComplete,
    SecurityModeFailure,
    Additional Spectrum Emission,
    ARFCN-ValueEUTRA-r9,
    CellIdentity,
    C-RNTI,
    DedicatedInfoNAS,
    DRB-Identity,
    InitialUE-Identity,
    IntraFreqBlackCellList,
    IntraFreqNeighCellList,
    maxBands,
    maxCellBlack.
    maxCellInter,
```

```
maxCellIntra,
   maxFBI2,
   maxFreq.
   maxMultiBands,
   maxPageRec,
   maxPLMN-r11,
   maxSAI-MBMS-r11,
   maxSIB,
   maxSIB-1,
    MBMS-SAI-r11,
   MBMS-SAI-List-r11,
   MBMSSessionInfo-r13,
   NextHopChainingCount,
   PagingUE-Identity,
    PLMN-Identity,
   P-Max,
   PowerRampingParameters,
    PreambleTransMax,
   PhysCellId,
    Q-OffsetRange,
    Q-QualMin-r9
    Q-RxLevMin,
    ReestabUE-Identity,
   RegisteredMME,
   ReselectionThreshold,
   ResumeIdentity-r13,
   RRC-TransactionIdentifier,
   RSRP-Range,
   ShortMAC-I,
   S-TMSI,
    SystemInformationBlockType16-r11,
   SystemInfoValueTagSI-r13,
    T-Reordering,
   TimeAlignmentTimer,
   TMGI-r9,
    TrackingAreaCode,
   DataInactivityTimer-r14
FROM EUTRA-RRC-Definitions;
-- ASN1STOP
```

# BCCH-BCH-Message-NB

The *BCCH-BCH-Message-NB* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via BCH on the BCCH logical channel in FDD.

# BCCH-BCH-Message-TDD-NB

The *BCCH-BCH-Message-TDD-NB* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via BCH on the BCCH logical channel in TDD.

-- ASN1STOP

# BCCH-DL-SCH-Message-NB

The *BCCH-DL-SCH-Message-NB* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via DL-SCH on the BCCH logical channel.

# PCCH-Message-NB

The *PCCH-Message-NB* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the PCCH logical channel.

# DL-CCCH-Message-NB

The *DL-CCCH-Message-NB* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the downlink CCCH logical channel.

```
-- ASN1START
DL-CCCH-Message-NB ::= SEQUENCE {
                           DL-CCCH-MessageType-NB
   message
DL-CCCH-MessageType-NB ::= CHOICE {
                            CHOICE {
       rrcConnectionReestablishment-r13
                                                RRCConnectionReestablishment-NB,
       rrcConnectionReestablishmentReject-r13 RRCConnectionReestablishmentReject,
                                      RRCConnectionReject-NB,
RRCConnectionSetup-NB,
       rrcConnectionReject-r13
       rrcConnectionSetup-r13
       rrcEarlyDataComplete-r15
                                                RRCEarlyDataComplete-NB-r15,
        spare3 NULL, spare2 NULL, spare1 NULL
   messageClassExtension SEQUENCE {}
-- ASN1STOP
```

# DL-DCCH-Message-NB

The *DL-DCCH-Message-NB* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the downlink DCCH logical channel.

# UL-CCCH-Message-NB

The *UL-CCCH-Message-NB* class is the set of RRC messages that may be sent from the UE to the E-UTRAN on the uplink CCCH logical channel.

# SC-MCCH-Message-NB

The SC-MCCH-Message-NB class is the set of RRC messages that may be sent from the E-UTRAN to the NB-IoT UE on the SC-MCCH logical channel.

# UL-DCCH-Message-NB

The *UL-DCCH-Message-NB* class is the set of RRC messages that may be sent from the UE to the E-UTRAN on the uplink DCCH logical channel.

```
-- ASN1START
UL-DCCH-Message-NB ::= SEQUENCE {
                           UL-DCCH-MessageType-NB
   message
UL-DCCH-MessageType-NB ::= CHOICE {
                           CHOICE {
       rrcConnectionReconfigurationComplete-r13 RRCConnectionReconfigurationComplete-NB,
       rrcConnectionReestablishmentComplete-r13 RRCConnectionReestablishmentComplete-NB,
                                         RRCConnections:
SecurityModeComplete,
       rrcConnectionSetupComplete-r13
                                                  RRCConnectionSetupComplete-NB,
       securityModeComplete-r13
       securityModeFailure-r13
                                                  SecurityModeFailure,
        ueCapabilityInformation-r13
                                                   UECapabilityInformation-NB,
       ulInformationTransfer-r13
                                                  ULInformationTransfer-NB,
       rrcConnectionResumeComplete-r13
                                                  RRCConnectionResumeComplete-NB,
        spare8 NULL, spare7 NULL,
       spare6 NULL, spare5 NULL, spare4 NULL,
       spare3 NULL, spare2 NULL, spare1 NULL
   messageClassExtension SEQUENCE {}
-- ASN1STOP
```

# 6.7.2 NB-IoT Message definitions

# DLInformationTransfer-NB

The DLInformationTransfer-NB message is used for the downlink transfer of NAS dedicated information.

Signalling radio bearer: SRB1or SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# DLInformationTransfer-NB message

```
-- ASN1START
DLInformationTransfer-NB ::=
                              SEQUENCE {
                             RRC-TransactionIdentifier,
   rrc-TransactionIdentifier
   criticalExtensions
                                     CHOICE {
                                         CHOICE {
       c1
           dlInformationTransfer-r13
                                         DLInformationTransfer-NB-r13-IEs,
           sparel NULL
       criticalExtensionsFuture
                                        SEQUENCE {}
DLInformationTransfer-NB-r13-IEs ::=
                                   SEQUENCE {
   dedicatedInfoNAS-r13
                                      DedicatedInfoNAS,
   lateNonCriticalExtension
                                                                        OPTIONAL,
                                         OCTET STRING
   nonCriticalExtension
                                         SEQUENCE {}
                                                                        OPTIONAL
-- ASN1STOP
```

# MasterInformationBlock-NB

The MasterInformationBlock-NB includes the system information transmitted on BCH in FDD.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

#### MasterInformationBlock-NB

```
-- ASN1START
{\tt MasterInformationBlock-NB} ::= \quad {\tt SEQUENCE} \ \{
     terInformationBlock-NB ::= SEQUENCE {
systemFrameNumber-MSB-r13 BIT STRING (SIZE (4)),
hyperSFN-LSB-r13 BIT STRING (SIZE (2)),
schedulingInfoSIB1-r13 INTEGER (0..15),
systemInfoValueTag-r13 INTEGER (0..31),
ab-Enabled-r13 BOOLEAN,
operationModeInfo-r13 CHOICE {
   inband-SamePCI-r13 Inband-SamePCI-NB-r13,
   juardband-r13 Guardband-NB-r13,
   standalone-r13 Standalone-NB-r13
             standalone-r13
                                                                Standalone-NB-r13
      additionalTransmissionSIB1-r15 BOOLEAN,
                                                           BIT STRING (SIZE (10))
      spare
Guardband-NB-r13 ::= SEQUENCE {
  rasterOffset-r13 ChannelRasterOffset-NB-r13,
  spare BIT STRING (SIZE (3))
Inband-SamePCI-NB-r13 ::= SEQUENCE {
      eutra-CRS-SequenceInfo-r13 INTEGER (0..31)
Inband-DifferentPCI-NB-r13 ::= SEQUENCE {
      eutra-NumCRS-Ports-r13 ENUMERATED {same, four}, rasterOffset-r13 ChannelRasterOffset-NB-r
                                                           ChannelRasterOffset-NB-r13,
                                                          BIT STRING (SIZE (2))
      spare
Standalone-NB-r13 ::= SEQUENCE {
                                                           BIT STRING (SIZE (5))
      spare
  - ASN1STOP
```

#### MasterInformationBlock-NB field descriptions

#### ab-Enabled

Value TRUE indicates that access barring is enabled.

#### additionalTransmissionSIB1

Value TRUE indicates that additional SIB1-NB transmissions are present. See TS 36.211 [21] and TS 36.213 [23]. E-UTRAN only configures *additionalTransmissionSIB1* to *TRUE* if *schedulingInfoSIB1* indicates that the number of NPDSCH repetitions is 16, see TS 36.213 [23], Table 16.4.1.3-3.

#### eutra-CRS-SequenceInfo

Information of the carrier containing NPSS/NSSS/NPBCH.

Each value is associated with an E-UTRA PRB index as an offset from the middle of the LTE system sorted out by channel raster offset. See TS 36.211[21] and TS 36.213 [23].

#### eutra-NumCRS-Ports

Number of E-UTRA CRS antenna ports, either the same number of ports as NRS or 4 antenna ports. See TS 36.211 [21], TS 36.212 [22], and TS 36.213 [23].

# hyperSFN-LSB

Indicates the 2 least significant bits of hyper SFN. The remaining bits are present in SystemInformationBlockType1-NB.

#### operationModeInfo

Deployment scenario (in-band/guard-band/standalone) and related information. See TS 36.211 [21] and TS 36.213 [23].

Inband-SamePCI indicates an in-band deployment and that the NB-IoT and LTE cell share the same physical cell id and have the same number of NRS and CRS ports.

Inband-DifferentPCI indicates an in-band deployment and that the NB-IoT and LTE cell have different physical cell id. guardband indicates a guard-band deployment.

standalone indicates a standalone deployment.

#### schedulingInfoSIB1

This field contains an index to a table specified in TS 36.213 [23], Table 16.4.1.3-3, that defines SystemInformationBlockType1-NB scheduling information.

#### systemFrameNumber-MSB

Defines the 4 most significant bits of the SFN. As indicated in TS 36.211 [21], the 6 least significant bits of the SFN are acquired implicitly by decoding the NPBCH.

#### systemInfoValueTag

Common for all SIBs other than MIB-NB, SIB14-NB and SIB16-NB.

#### MasterInformationBlock-TDD-NB

The MasterInformationBlock-TDD-NB includes the system information transmitted on BCH in TDD.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

#### MasterInformationBlock-TDD-NB

```
-- ASN1START
MasterInformationBlock-TDD-NB-r15 ::= SEQUENCE {
    systemFrameNumber-MSB-r15
                                           BIT STRING (SIZE (4)),
    hyperSFN-LSB-r15
                                            BIT STRING (SIZE (2)),
   schedulingInfoSIB1-r15
                                           INTEGER (0..15),
                                           INTEGER (0..31),
    systemInfoValueTag-r15
    ab-Enabled-r15
                                           BOOLEAN,
    operationModeInfo-r15
                                       CHOICE {
        inband-SamePCI-r15
                                           Inband-SamePCI-TDD-NB-r15,
        inband-DifferentPCI-r15
                                           Inband-DifferentPCI-TDD-NB-r15.
        guardband-r15
                                           GuardbandTDD-NB-r15,
        standalone-r15
                                            StandaloneTDD-NB-r15
    sib1-CarrierInfo-r15
                                            ENUMERATED {anchor, non-anchor},
                                           BIT STRING (SIZE (9))
    spare
GuardbandTDD-NB-r15 ::=
                                   SEQUENCE {
                                        ChannelRasterOffset-NB-r13,
    rasterOffset-r15
    sib-GuardbandInfo-r15
                                        CHOICE {
```

```
sib-GuardbandAnchor-r15SIB-GuardbandAnchorTDD-NB-r15,sib-GuardbandGuardband-r15SIB-GuardbandGuardbandTDD-NB-r15,sib-GuardbandInbandSamePCI-r15SIB-GuardbandInbandSamePCI-TDD-NB-r15,
         sib-GuardbandinbandDiffPCI-r15 SIB-GuardbandInbandDiffPCI-TDD-NB-r15
                                               ENUMERATED {bw5or10, bw15or20}
     eutra-Bandwitdh-r15
Inband-SamePCI-TDD-NB-r15 ::=
                                        SEQUENCE {
                                         INTEGER (0..31),
    eutra-CRS-SequenceInfo-r15
sib-InbandLocation-r15
                                               ENUMERATED {lower, higher}
Inband-DifferentPCI-TDD-NB-r15 ::= SEQUENCE {
    eutra-NumCRS-Ports-r15 ENUMER;
    rasterOffset-r15 Channe;
    sib-InbandLocation-r15 ENUMER;
                                               ENUMERATED {same, four},
                                                    ChannelRasterOffset-NB-r13,
                                                    ENUMERATED {lower, higher},
     spare
                                                     BIT STRING (SIZE (2))
}
StandaloneTDD-NB-r15 ::=
                                              SEQUENCE {
   sib-StandaloneLocation-r15
                                                     ENUMERATED {lower, higher},
BIT STRING (SIZE (5))
                                               SEQUENCE {
SIB-GuardbandAnchorTDD-NB-r15 ::=
                                                    BIT STRING (SIZE (1))
                                               SEQUENCE {
SIB-GuardbandGuardbandTDD-NB-r15 ::=
     sib-GuardbandGuardbandLocation-r15 ENUMERATED {same, opposite}
SIB-GuardbandInbandSamePCI-TDD-NB-r15 ::= SEQUENCE {
                                                     BIT STRING (SIZE (1))
SIB-GuardbandInbandDiffPCI-TDD-NB-r15 ::= SEQUENCE {
     sib-EUTRA-NumCRS-Ports-r15
                                                     ENUMERATED {same, four}
-- ASN1STOP
```

#### MasterInformationBlock-TDD-NB field descriptions

#### ab-Enabled

Value TRUE indicates that access barring is enabled.

#### eutra-Bandwidth

EUTRA system bandwidth. Value *bw5or10* corresponds to bandwidth 5 or 10 MHz, value *bw15or20* corresponds to bandwidth 15 or 20 MHz.

If the value of *eutra-Bandwidth* is *bw5or10* and *rasterOffset* is set to *khz7dot5* or *khz-7dot5*, the E-UTRA system bandwidth is 5 MHz

If the value of eutra-Bandwidth is bw5or10 and rasterOffset is set to khz2dot5 or khz-2dot5, the E-UTRA system bandwidth is 10 MHz.

If the value of *eutra-Bandwidth* is *bw15or20* and *rasterOffset* is set to *khz7dot5* or *khz-7dot5*, the E-UTRA system bandwidth is 15 MHz.

If the value of *eutra-Bandwidth* is *bw15or20* and *rasterOffset* is set to *khz2dot5* or *khz-2dot5*, the E-UTRA system bandwidth is 20 MHz.

When the E-UTRA system bandwidth is 5 MHz or 15 MHz, if the value of sib-GuardbandInfo is sib-

GuardbandInbandSamePCI or sib-GuardbandinbandDiffPCI, the offset between the anchor carrier and the non-anchor carrier used for SIB1 and/or SI transmission is 45 kHz.

#### eutra-CRS-SequenceInfo

Information of the carrier containing NPSS/NSSS/NPBCH.

Each value is associated with an E-UTRA PRB index as an offset from the middle of the LTE system sorted out by channel raster offset. See TS 36.211 [21] and TS 36.213 [23].

#### eutra-NumCRS-Ports, sib-eutra-NumCRS-Ports

Number of E-UTRA CRS antenna ports, either the same number of ports as NRS or 4 antenna ports. See TS 36.211 [21], TS 36.212 [22], and TS 36.213 [23].

#### hyperSFN-LSB

Indicates the 2 least significant bits of hyper SFN. The remaining bits are present in *SystemInformationBlockType1-NB*.

## operationModeInfo

Deployment scenario (in-band/guard-band/standalone) and related information. See TS 36.211 [21] and TS 36.213 [23]

Inband-SamePCI indicates an in-band deployment and that the NB-IoT and LTE cell share the same physical cell id and have the same number of NRS and CRS ports.

Inband-DifferentPCI indicates an in-band deployment and that the NB-IoT and LTE cell have different physical cell id. guardband indicates a guard-band deployment.

standalone indicates a standalone deployment.

When operationmodeInfo is set to guardband, if rasterOffset is set to khz-7dot5 or khz-2dot5, the guardband anchor carrier is at the higher edge of the LTE carrier. If rasterOffset is set to khz7dot5 or khz2dot5, the guardband anchor carrier is at the lower edge of the LTE carrier

## schedulingInfoSIB1

This field contains an index to a table specified in TS 36.213 [23], Table 16.4.1.3-5 or Table 16.4.1.3-7 when *sib1-CarrierInfo* is set to *anchor* or to *non-anchor* respectively, that defines *SystemInformationBlockType1-NB* scheduling information.

If sib1-CarrierInfo is set to non-anchor, E-UTRAN configures a value between 0 and 7.

#### sib-GuardbandGuardbandLocation

Location of the non-anchor carrier used for SIB1 and/or SI transmission when *operationmodeInfo* is set to *guardband* and the non-anchor carrier is in guardband. See TS 36.213 [23].

Value *same* corresponds to the carrier adjacent to the anchor carrier on the outer side of the guardband, value *opposite* corresponds to the carrier closest to the edge of the LTE carrier in the opposite guardband.

#### sib-GuardbandInfo

Information of the carrier used for SIB1 and/or SI transmission when *operationmodeInfo* is set to *guardband*. See TS 36.213 [23].

sib-GuardbandAnchor indicates the anchor carrier.

sib-GuardbandGuardband indicates a non-anchor carrier in guardband mode.

sib-GuardbandInbandSamePCI or sib-GuardbandinbandDiffPCI indicates a non-anchor carrier in inband mode, and at the edge of the LTE carrier and on the same side as the anchor carrier.

#### sib-InbandLocation

Location of the non-anchor carrier used for SIB1 and/or SI transmission when *operationmodeInfo* is set to *inband-SamePCI* or *inband-DifferentPCI*, and *sib1-CarrierInfo* value and/or *tdd-SI-CarrierInfo* in SIB1-NB is set to *non-anchor*. See TS 36.213 [23].

Value *lower* corresponds to the lower adjacent carrier relative to the anchor carrier and value *higher* corresponds to the higher adjacent carrier relative to the anchor carrier.

If both sib1-CarrierInfo value and tdd-SI-CarrierInfo value in SIB1-NB are set to anchor, the UE ignores sib-InbandLocation.

#### MasterInformationBlock-TDD-NB field descriptions

#### sib-StandaloneLocation

Location of the non-anchor carrier used for SIB1 and/or SI transmission when *operationmodeInfo* is set to *standalone*, and *sib1-CarrierInfo* value and/or *tdd-SI-CarrierInfo* in SIB1-NB is set to *non-anchor*. See TS 36.213 [23]. Value *lower* corresponds to the lower adjacent carrier relative to the anchor carrier and value *higher* corresponds to the higher adjacent carrier relative to the anchor carrier.

If both sib1-CarrierInfo value and tdd-SI-CarrierInfo value in SIB1-NB are set to anchor, the UE ignores sib-StandaloneLocation.

#### sib1-CarrierInfo

Carrier used for SIB1 transmission. See TS 36.213 [23], clause 16.4.1.3. Value *anchor* corresponds to anchor carrier, value *non-anchor* corresponds to non-anchor carrier.

#### systemFrameNumber-MSB

Defines the 4 most significant bits of the SFN. As indicated in TS 36.211 [21], the 6 least significant bits of the SFN are acquired implicitly by decoding the NPBCH.

#### systemInfoValueTag

Common for all SIBs other than MIB-NB, SIB14-NB and SIB16-NB.

# 

The Paging-NB message is used for the notification of one or more UEs.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: PCCH

Direction: E-UTRAN to UE

# Paging-NB message

```
-- ASN1START
Paging-NB ::=
                                   SEQUENCE {
   pagingRecordList-r13
                                      PagingRecordList-NB-r13
                                                                   OPTIONAL, -- Need ON
                                       ENUMERATED {true}
ENUMERATED {true}
    systemInfoModification-r13
                                                                   OPTIONAL, -- Need ON
    systemInfoModification-eDRX-r13
                                                                       OPTIONAL,
                                                                                   -- Need ON
    nonCriticalExtension
                                       SEQUENCE {}
                                                                       OPTIONAL
PagingRecordList-NB-r13 ::= SEQUENCE (SIZE (1..maxPageRec)) OF PagingRecord-NB-r13
PagingRecord-NB-r13 ::=
                                   SEQUENCE {
    ue-Identity-r13
                                       PagingUE-Identity,
-- ASN1STOP
```

# Paging-NB field descriptions

# systemInfoModification

If present: indication of a BCCH modification other than for *SystemInformationBlockType14-NB* (SIB14-NB) and *SystemInformationBlockType16-NB* (SIB16-NB). This indication does not apply to UEs using eDRX cycle longer than the BCCH modification period.

#### systemInfoModification-eDRX

If present: indication of a BCCH modification other than for *SystemInformationBlockType14-NB* (SIB14-NB) and *SystemInformationBlockType16-NB* (SIB16-NB). This indication applies only to UEs using eDRX cycle longer than the BCCH modification period.

#### ue-Identity

Provides the NAS identity of the UE that is being paged.

# RRCConnectionReconfiguration-NB

The *RRCConnectionReconfiguration-NB* message is the command to modify an RRC connection. It may convey information for resource configuration (including RBs, MAC main configuration and physical channel configuration) including any associated dedicated NAS information.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# RRCConnectionReconfiguration-NB message

```
-- ASN1START
RRCConnectionReconfiguration-NB ::= SEQUENCE {
                                           RRC-TransactionIdentifier,
    rrc-TransactionIdentifier
    criticalExtensions
                                          CHOICE {
                                               CHOICE {
       c1
           rrcConnectionReconfiguration-r13
                                                   RRCConnectionReconfiguration-NB-r13-IEs,
           spare1 NULL
       criticalExtensionsFuture
                                           SEQUENCE { }
}
RRCConnectionReconfiguration-NB-r13-IEs ::= SEQUENCE {
                                SEQUENCE (SIZE(1..maxDRB-NB-r13)) OF
    dedicatedInfoNASList-r13
    radioResourceConfigDedicated-r13 RadioResourceConfi
ENUMERATED {true}
                                                   DedicatedInfoNAS
                                                                          OPTIONAL,
                                                                                       -- Need ON
                                       RadioResourceConfigDedicated-NB-r13 OPTIONAL,
                                                                                       -- Need ON
                                                                                      -- Cond
                                                                          OPTIONAL,
Reestab
   lateNonCriticalExtension OCTET STRING
                                                                           OPTIONAL,
    nonCriticalExtension
                                      SEQUENCE {} OPTIONAL
-- ASN1STOP
```

# RRCConnectionReconfiguration-NB field descriptions

#### dedicatedInfoNASList

This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for each PDU in the list.

#### fullConfig

Indicates the full configuration option is applicable for the RRC Connection Reconfiguration message.

Conditional presence	Explanation
Reestab	This field is optionally present, need ON upon the first reconfiguration after RRC
	connection re-establishment: otherwise the field is not present.

# RRCConnectionReconfigurationComplete-NB

The RRCConnectionReconfigurationComplete-NB message is used to confirm the successful completion of an RRC connection reconfiguration.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# RRCConnectionReconfigurationComplete-NB message

```
-- ASN1START
RRCConnectionReconfigurationComplete-NB ::= SEQUENCE {
   rrc-TransactionIdentifier
                                         RRC-TransactionIdentifier,
                                         CHOICE {
   criticalExtensions
       rrcConnectionReconfigurationComplete-r13 RRCConnectionReconfigurationComplete-NB-r13-IEs,
       criticalExtensionsFuture
                                                 SEQUENCE {}
}
RRCConnectionReconfigurationComplete-NB-r13-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
                                                                    OPTIONAL,
   nonCriticalExtension
                                                                    OPTIONAL
                                     SEQUENCE {}
-- ASN1STOP
```

# RRCConnectionReestablishment-NB

The RRCConnectionReestablishment-NB message is used to re-establish SRB1.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

# RRCConnectionReestablishment-NB message

```
-- ASN1START
RRCConnectionReestablishment-NB ::= SEQUENCE {
   rrc-TransactionIdentifier RRC-TransactionIdentifier,
   criticalExtensions
                                     CHOICE {
                                         CHOICE {
           rrcConnectionReestablishment-r13 RRCConnectionReestablishment-NB-r13-IEs,
           spare1 NULL
       criticalExtensionsFuture
                                         SEQUENCE {}
}
RRCConnectionReestablishment-NB-r13-IEs ::= SEQUENCE {
   radioResourceConfigDedicated-r13 RadioResourceConfigDedicated-NB-r13,
                                             NextHopChainingCount,
   nextHopChainingCount-r13
   lateNonCriticalExtension
                                             OCTET STRING
                                                                               OPTIONAL.
   nonCriticalExtension
                                             RRCConnectionReestablishment-NB-v1430-IEs
                                                                                      OPTIONAL
RRCConnectionReestablishment-NB-v1430-IEs ::= SEQUENCE {
                                     BIT STRING (SIZE (16)) OPTIONAL,
                                                                       -- Cond Reestablish-CP
   dl-NAS-MAC
                                     SEQUENCE {}
   nonCriticalExtension
                                                            OPTIONAL
-- ASN1STOP
```

# RRCConnectionReestablishment-NB field descriptions dI-NAS-MAC Downlink authentication token, see TS 33.401 [32]. If this field is present, the UE shall ignore the field nextHopChainingCount.

Conditional presence	Explanation
Reestablish-CP	This field is mandatory present for NB-IoT UE using the Control Plane CloT EPS
	optimisation; otherwise the field is not present.

# RRCConnectionReestablishmentComplete-NB

The RRCConnectionReestablishmentComplete-NB message is used to confirm the successful completion of an RRC connection re-establishment.

Signalling radio bearer: SRB1 or SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# RRCConnectionReestablishmentComplete-NB message

```
-- ASN1START
RRCConnectionReestablishmentComplete-NB ::= SEQUENCE {
   rrc-TransactionIdentifier
                                        RRC-TransactionIdentifier,
       rrcConnectionReestablishmentComplete-r13 RRCConnecti SEQUENCE {}
   criticalExtensions
                                                 RRCConnectionReestablishmentComplete-NB-r13-IEs,
}
RRCConnectionReestablishmentComplete-NB-r13-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
                                                                    OPTIONAL,
   nonCriticalExtension
                                     RRCConnectionReestablishmentComplete-NB-v1470-IEs OPTIONAL
RRCConnectionReestablishmentComplete-NB-v1470-IEs ::= SEQUENCE {
   measResultServCell-r14 MeasResultServCell-NB-r14
                                                                   OPTIONAL,
   nonCriticalExtension
                                     SEQUENCE {}
                                                                    OPTIONAL
-- ASN1STOP
```

#### RRCConnectionReestablishmentComplete-NB field descriptions

# measResultServCell

This field refers to the last idle mode measurement results taken of the serving cell.

# RRCConnectionReestablishmentRequest-NB

The RRCConnectionReestablishmentRequest-NB message is used to request the reestablishment of an RRC connection.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

# RRCConnectionReestablishmentRequest-NB message

-- ASN1START

```
RRCConnectionReestablishmentRequest-NB ::= SEQUENCE {
    criticalExtensions
                                         CHOICE {
        rrcConnectionReestablishmentRequest-r13
                                              RRCConnectionReestablishmentRequest-NB-r13-IEs,
                                              CHOICE {
            rrcConnectionReestablishmentRequest-r14
                                             RRCConnectionReestablishmentRequest-NB-r14-IEs,
            criticalExtensionsFuture
                                              SEQUENCE {}
    }
}
RRCConnectionReestablishmentRequest-NB-r13-IEs ::= SEQUENCE {
   reestablishmentCause-r13

cqi-NPDCCH-r14

seQUENCE
ReestabUE-Identity,
ReestablishmentCause
                                         ReestablishmentCause-NB-r13,
    earlyContentionResolution-r14
                                          BOOLEAN,
                                         BIT STRING (SIZE (20))
RRCConnectionReestablishmentRequest-NB-r14-IEs ::= SEQUENCE {
    ue-Identity-r14
                                         ReestabUE-Identity-CP-NB-r14,
   ue-Identity-r14 ReestabUE-Identity-CP-NB-r14 reestablishmentCause-r14 ReestablishmentCause-NB-r13,
    cqi-NPDCCH-r14
                                         CQI-NPDCCH-Short-NB-r14,
    earlyContentionResolution-r14
                                         BOOLEAN,
                                         BIT STRING (SIZE (1))
ReestablishmentCause-NB-r13 ::=
                                          ENUMERATED {
                                              reconfigurationFailure, otherFailure,
                                              spare2, spare1}
ReestabUE-Identity-CP-NB-r14 ::=
                                         SEQUENCE {
    s-TMSI-r14
                                             S-TMSI,
    ul-NAS-MAC-r14
                                              BIT STRING (SIZE (16)),
    ul-NAS-Count-r14
                                              BIT STRING (SIZE (5))
-- ASN1STOP
```

# RRCConnectionReestablishmentRequest-NB field descriptions

#### earlyContentionResolution

Value TRUE indicates UE supports MAC PDU containing the UE contention resolution identity MAC control element without RRC response message. This field is always set to TRUE in this version of the specification.

#### reestablishmentCause

Indicates the failure cause that triggered the re-establishment procedure.

eNB is not expected to reject a RRCConnectionReestablishmentRequest due to unknown cause value being used by the UE.

#### ue-Identity

UE identity included to retrieve UE context and to facilitate contention resolution by lower layers.

# ul-NAS-Count

For description of this field see TS 33.401 [32].

#### ul-NAS-MAC

For description of this field see TS 33.401 [32].

# RRCConnectionReject-NB

The *RRCConnectionReject-NB* message is used to reject the RRC connection establishment or RRC connection resume or to reject the EDT procedure.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

# RRCConnectionReject-NB message

```
-- ASN1START
RRCConnectionReject-NB ::=
                                      SEQUENCE {
   criticalExtensions
                                      CHOICE {
                                         CHOICE {
       c1
           rrcConnectionReject-r13
                                             RRCConnectionReject-NB-r13-IEs,
           spare1 NULL
       },
       criticalExtensionsFuture
                                     SEQUENCE {}
}
RRCConnectionReject-NB-r13-IEs ::=
                                    SEQUENCE {
                                     INTEGER (1..1800),
   extendedWaitTime-r13
   rrc-SuspendIndication-r13
                                         ENUMERATED {true}
                                                                  OPTIONAL,
                                                                                -- Need ON
   lateNonCriticalExtension
                                         OCTET STRING
                                                                    OPTIONAL,
   nonCriticalExtension
                                         SEQUENCE {}
                                                                    OPTIONAL
}
-- ASN1STOP
```

# RRCConnectionReject-NB field descriptions

#### extendedWaitTime

Value in seconds.

#### rrc-SuspendIndication

If present, this field indicates that the UE should remain suspended and not release its stored context.

# RRCConnectionRelease-NB

The *RRCConnectionRelease-NB* message is used to command the release of an RRC connection, or to complete an UP-EDT procedure.

Signalling radio bearer: SRB1 or SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# RRCConnectionRelease-NB message

```
-- ASN1START
RRCConnectionRelease-NB ::=
                             SEQUENCE {
                            RRC-TransactionIdentifier,
   rrc-TransactionIdentifier
   {\tt criticalExtensions}
                                    CHOICE {
          rrcConnectionRelease-r13 CHOICE {
sparel NULL
       c1
                                            RRCConnectionRelease-NB-r13-IEs.
           sparel NULL
       criticalExtensionsFuture
                                       SEQUENCE {}
   }
}
RRCConnectionRelease-NB-r13-IEs ::= SEQUENCE {
                      ReleaseCause-NB-r13,
   releaseCause-r13
   resumeIdentity-r13
                                                                  OPTIONAL.
                                    ResumeIdentity-r13
                                                                              -- Need OR
   extendedWaitTime-r13
                                     INTEGER (1..1800)
                                                                  OPTIONAL,
                                                                              -- Need ON
   redirectedCarrierInfo-r13
                                RedirectedCarrierInfo-NB-r13 OPTIONAL,
```

```
lateNonCriticalExtension
                                      OCTET STRING
                                                                       OPTIONAL,
   nonCriticalExtension
                                       RRCConnectionRelease-NB-v1430-IEs
                                                                               OPTIONAL
}
RRCConnectionRelease-NB-v1430-IEs ::= SEQUENCE {
   redirectedCarrierInfo-v1430
                                       RedirectedCarrierInfo-NB-v1430 OPTIONAL,
Redirection
    extendedWaitTime-CPdata-r14 INTEGER (1..1800)
                                                      OPTIONAL,
                                                                  -- Cond NoExtendedWaitTime
    nonCriticalExtension
                                       RRCConnectionRelease-NB-v1530-IEs OPTIONAL
RRCConnectionRelease-NB-v1530-IEs ::= SEQUENCE {
                                           ENUMERATED {true}
NextHopChainingCount
   nextHopChainingCount-r15
    drb-ContinueROHC-r15
                                                                      OPTIONAL,
                                                                                 -- Cond UP-EDT
                                                                                   -- Cond UP-EDT
                                                                      OPTIONAL,
    {\tt nonCriticalExtension}
                                       RRCConnectionRelease-NB-v1550-IEs OPTIONAL
}
RRCConnectionRelease-NB-v1550-IEs ::=
                                       SEQUENCE {
   redirectedCarrierInfo-v1550
                                       RedirectedCarrierInfo-NB-v1550 OPTIONAL, -- Cond
Redirection-TDD
   nonCriticalExtension
                                       SEQUENCE {}
                                                      OPTIONAL
ReleaseCause-NB-r13 ::=
                                       ENUMERATED {loadBalancingTAUrequired, other,
                                                   rrc-Suspend, spare1}
RedirectedCarrierInfo-NB-r13::=
                                       CarrierFreq-NB-r13
RedirectedCarrierInfo-NB-v1430 ::=
                                       SEQUENCE {
    redirectedCarrierOffsetDedicated-r14
                                           ENUMERATED {
                                               dB1, dB2, dB3, dB4, dB5, dB6, dB8, dB10,
                                               dB12, dB14, dB16, dB18, dB20, dB22, dB24, dB26},
    t322-r14
                                           ENUMERATED{
                                               min5, min10, min20, min30, min60, min120, min180,
                                               spare1}
RedirectedCarrierInfo-NB-v1550::=
                                       CarrierFreq-NB-v1550
-- ASN1STOP
```

# RRCConnectionRelease-NB field descriptions

#### drb-ContinueROHC

This field indicates whether to continue or reset the header compression protocol context for the DRBs configured with the header compression protocol. Presence of the field indicates that the header compression protocol context continues when UE initiates UP-EDT in the same cell, while absence indicates that the header compression protocol context is reset.

# extendedWaitTime

Value in seconds.

#### extendedWaitTime-CPdata

Wait time for data transfer using the Control Plane CloT EPS optimisation. Value in seconds. See TS 24.301 [35].

#### redirectedCarrierInfo

The redirectedCarrierInfo indicates a carrier frequency (downlink for FDD) and is used to redirect the UE to a NB-IoT carrier frequency, by means of the cell selection upon leaving RRC\_CONNECTED as specified in TS 36.304 [4].

# redirectedCarrierOffsetDedicated

Parameter "Qoffsetdedicated<sub>frequency</sub>" in TS 36.304 [4]. For NB-IoT carrier frequencies, a UE that supports multi-band cells considers the *redirectedCarrierOffsetDedicated* to be common for all overlapping bands (i.e. regardless of the EARFCN that is used).

#### releaseCause

The releaseCause is used to indicate the reason for releasing the RRC Connection.

E-UTRAN should not set the releaseCause to loadBalancingTAURequired if the extendedWaitTime is present.

#### t322

Timer T322 as described in clause 7.3. Value minN corresponds to N minutes.

Conditional presence	Explanation
NoExtendedWaitTime	The field is optionally present, Need ON, if the extendedWaitTime is not included;
	otherwise the field is not present.
Redirection	The field is optionally present, Need ON, if redirectedCarrierInfo is included; otherwise the
	field is not present.
Redirection-TDD	The field is optionally present, Need ON, if <i>redirectedCarrierInfo</i> is included in TDD mode.
	Otherwise, the field is not present.
UP-EDT	The field is optionally present, Need ON, if the UE supports UP-EDT and releaseCause is
	set to <i>rrc-Suspend</i> ; otherwise the field is not present.

# – RRCConnectionRequest-NB

The RRCConnectionRequest-NB message is used to request the establishment of an RRC connection.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

# RRCConnectionRequest-NB message

```
-- ASN1START
RRCConnectionRequest-NB ::=
                               SEQUENCE {
    criticalExtensions
                                       CHOICE {
       rrcConnectionRequest-r13
                                           RRCConnectionRequest-NB-r13-IEs,
       criticalExtensionsFuture
                                           SEQUENCE { }
}
RRCConnectionRequest-NB-r13-IEs ::= SEQUENCE {
   ue-Identity-r13
                                           InitialUE-Identity,
   establishmentCause-r13
                                           EstablishmentCause-NB-r13,
                                          ENUMERATED {true}
   multiToneSupport-r13
                                                                           OPTIONAL,
   multiCarrierSupport-r13
                                          ENUMERATED (true)
                                                                           OPTIONAL.
    earlyContentionResolution-r14
                                         BOOLEAN,
    cqi-NPDCCH-r14
                                           CQI-NPDCCH-NB-r14,
                                           BIT STRING (SIZE (17))
    spare
-- ASN1STOP
```

#### RRCConnectionRequest-NB field descriptions

# earlyContentionResolution

Value TRUE indicates UE supports MAC PDU containing the UE contention resolution identity MAC control element without RRC response message. This field is always set to TRUE in this version of the specification.

#### establishmentCause

Provides the establishment cause for the RRC connection request as provided by the upper layers.

eNB is not expected to reject a RRCConnectionRequest due to unknown cause value being used by the UE.

# multiCarrierSupport

If present, this field indicates that the UE supports multi-carrier operation in the mode, FDD or TDD, used for access.

#### multiToneSupport

If present, this field indicates that the UE supports UL multi-tone transmissions on NPUSCH in the mode, FDD or TDD, used for access.

## ue-Identity

UE identity included to facilitate contention resolution by lower layers.

# RRCConnectionResume-NB

The RRCConnectionResume-NB message is used to resume the suspended RRC connection.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# RRCConnectionResume-NB message

```
-- ASN1START
RRCConnectionResume-NB ::=
                             RRC-TransactionIdentifier,
   rrc-TransactionIdentifier
    criticalExtensions
       c1
                                           CHOICE {
            rrcConnectionResume-r13
                                               RRCConnectionResume-NB-r13-IEs,
            spare1
        },
        criticalExtensionsFuture
                                           SEQUENCE {}
    }
}
   radioResourceConfigDedicated-r13 RadioResourceConfigDedicated-r13
RRCConnectionResume-NB-r13-IEs ::=
                                           RadioResourceConfigDedicated-NB-r13 OPTIONAL,
Need ON
   nextHopChainingCount-r13
                                           NextHopChainingCount,
                                           ENUMERATED {true}
    drb-ContinueROHC-r13
                                                                            OPTIONAL,
                                                                                        -- Need OP
    lateNonCriticalExtension
                                            OCTET STRING
                                                                            OPTIONAL,
    nonCriticalExtension
                                            SEQUENCE {}
                                                                            OPTIONAL
}
-- ASN1STOP
```

# RRCConnectionResume-NB field descriptions

#### drb-ContinueROHC

This field indicates whether to continue or reset the header compression protocol context for the DRBs configured with the header compression protocol. Presence of the field indicates that the header compression protocol context continues while absence indicates that the header compression protocol context is reset.

# RRCConnectionResumeComplete-NB

The RRCConnectionResumeComplete-NB message is used to confirm the successful completion of an RRC connection resumption

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# RRCConnectionResumeComplete-NB message

```
-- ASN1START
RRCConnectionResumeComplete-NB ::= SEQUENCE {
   rrc-TransactionIdentifier RRC-TransactionIdentifier,
                                          CHOICE {
   criticalExtensions
       rrcConnectionResumeComplete-r13
                                              RRCConnectionResumeComplete-NB-r13-IEs,
       criticalExtensionsFuture
                                                 SEQUENCE {}
}
RRCConnectionResumeComplete-NB-r13-IEs ::= SEQUENCE {
   selectedPLMN-Identity-r13
                                             INTEGER (1..maxPLMN-r11)
   dedicatedInfoNAS-r13
                                             DedicatedInfoNAS OPTIONAL,
                                                                             OPTIONAL.
   lateNonCriticalExtension
                                              OCTET STRING
   nonCriticalExtension
                                              RRCConnectionResumeComplete-NB-v1470-IEs
                                                                                        OPTIONAL
```

# RRCConnectionResumeComplete-NB field descriptions

#### measResultServCell

This field refers to the last idle mode measurement results taken of the serving cell.

#### selectedPLMN-Identity

Index of the PLMN selected by the UE from the *plmn-IdentityList* included in *SystemInformationBlockType1-NB*. 1 if the 1st PLMN is selected from the *plmn-IdentityList* included in SIB1-NB, 2 if the 2nd PLMN is selected from the *plmn-IdentityList* included in SIB1-NB and so on.

# RRCConnectionResumeRequest-NB

The *RRCConnectionResumeRequest-NB* message is used to request the resumption of a suspended RRC connection or to perform UP-EDT.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

# RRCConnectionResumeRequest-NB message

```
-- ASN1START
RRCConnectionResumeRequest-NB ::= SEQUENCE {
                                     CHOICE {
   criticalExtensions
                                              RRCConnectionResumeRequest-NB-r13-IEs,
       rrcConnectionResumeRequest-r13
       criticalExtensionsFuture
                                              SEQUENCE {}
RRCConnectionResumeRequest-NB-r13-IEs ::= SEQUENCE {
   resumeID-r13
                                           ResumeIdentity-r13,
                                              ShortMAC-I,
   shortResumeMAC-I-r13
   resumeCause-r13
                                               EstablishmentCause-NB-r13,
   earlyContentionResolution-r14
                                              BOOLEAN,
                                              COI-NPDCCH-NB-r14,
   cqi-NPDCCH-r14
   spare
                                              BIT STRING (SIZE (4))
-- ASN1STOP
```

# RRCConnectionResumeRequest-NB field descriptions

#### earlyContentionResolution

Value TRUE indicates UE supports MAC PDU containing the UE contention resolution identity MAC control element without RRC response message. This field is always set to TRUE in this version of the specification.

#### resumeCause

Provides the resume cause for the RRC connection resume request as provided by the upper layers.

eNB is not expected to reject a RRCConnectionResumeRequest due to unknown cause value being used by the UE.

#### resumeID

UE identity to facilitate UE context retrieval at eNB.

#### shortResumeMAC-I

Authentication token to facilitate UE authentication at eNB

# – RRCConnectionSetup-NB

The RRCConnectionSetup-NB message is used to establish SRB1 and SRB1bis.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

# RRCConnectionSetup-NB message

```
-- ASN1START
RRCConnectionSetup-NB ::=
                              SEQUENCE {
                              RRC-TransactionIdentifier,
   rrc-TransactionIdentifier
   criticalExtensions
                                      CHOICE {
                                          CHOICE {
           rrcConnectionSetup-r13
                                              RRCConnectionSetup-NB-r13-IEs,
           spare1 NULL
       criticalExtensionsFuture
                                          SEQUENCE {}
}
RRCConnectionSetup-NB-r13-IEs ::=
                                      SEQUENCE {
   radioResourceConfigDedicated-r13
                                         RadioResourceConfigDedicated-NB-r13,
                                                                             OPTIONAL,
   lateNonCriticalExtension
                                          OCTET STRING
   nonCriticalExtension
                                          SEQUENCE {}
                                                                             OPTIONAL
-- ASN1STOP
```

# RRCConnectionSetupComplete-NB

The RRCConnectionSetupComplete-NB message is used to confirm the successful completion of an RRC connection establishment.

Signalling radio bearer: SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# RRCConnectionSetupComplete-NB message

```
-- ASN1START
RRCConnectionSetupComplete-NB ::= SEQUENCE {
                                   RRC-TransactionIdentifier,
   rrc-TransactionIdentifier
   criticalExtensions
          rrcConnectionSetupComplete-r13
                                              RRCConnectionSetupComplete-NB-r13-IEs,
                                              SEQUENCE {}
           criticalExtensionsFuture
}
RRCConnectionSetupComplete-NB-r13-IEs ::= SEQUENCE {
   selectedPLMN-Identity-r13
                                          INTEGER (1..maxPLMN-r11),
   s-TMSI-r13
                                          S-TMSI
                                                                          OPTIONAL,
   registeredMME-r13
                                          RegisteredMME
                                                                          OPTIONAL,
   dedicatedInfoNAS-r13
                                          DedicatedInfoNAS.
   attachWithoutPDN-Connectivity-r13
                                          ENUMERATED {true}
                                                                          OPTIONAL,
   up-CIoT-EPS-Optimisation-r13
                                          ENUMERATED {true}
                                                                          OPTIONAL,
   {\tt lateNonCriticalExtension}
                                          OCTET STRING
                                                                          OPTIONAL,
   nonCriticalExtension
                                          RRCConnectionSetupComplete-NB-v1430-IEs OPTIONAL
```

```
RRCConnectionSetupComplete-NB-v1430-IEs ::= SEQUENCE {
    gummei-Type-r14
                                            ENUMERATED { mapped}
                                                                    OPTIONAL,
    dcn-ID-r14
                                            INTEGER (0..65535)
                                                                        OPTIONAL,
                                            RRCConnectionSetupComplete-NB-v1470-IEs OPTIONAL
   nonCriticalExtension
RRCConnectionSetupComplete-NB-v1470-IEs ::= SEQUENCE {
                                                MeasResultServCell-NB-r14 OPTIONAL,
   measResultServCell-r14
   {\tt nonCriticalExtension}
                                                SEQUENCE {}
                                                                             OPTIONAL
-- ASN1STOP
```

#### RRCConnectionSetupComplete-NB field descriptions

#### attachWithoutPDN-Connectivity

This field is used to indicate that the UE performs an Attach without PDN connectivity procedure, as indicated by the upper layers, TS 24.301 [35].

#### dcn-ID

The Dedicated Core Network Identity, see TS 23.401 [41].

#### gummei-Type

This field is used to indicate that the GUMMEI included is mapped (from 2G/3G identifiers) as indicated by the upper layers, TS 24.301 [35].

# measResultServCell

This field refers to the last idle mode measurement results taken of the serving cell.

#### registeredMME

This field is used to transfer the GUMMEI of the MME where the UE is registered, as provided by upper layers.

#### selectedPLMN-Identity

Index of the PLMN selected by the UE from the *plmn-IdentityList* included in *SystemInformationBlockType1-NB*. 1 if the 1st PLMN is selected from the *plmn-IdentityList* included in SIB1, 2 if the 2nd PLMN is selected from the *plmn-IdentityList* included in SIB1 and so on.

# up-CloT-EPS-Optimisation

This field is included when the UE supports S1-U data transfer or the User plane CloT EPS Optimisation, as indicated by the upper layers, see TS 24.301 [35].

# RRCEarlyDataComplete-NB

The RRCEarlyDataComplete-NB message is used to confirm the successful completion of the CP-EDT procedure.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

#### RRCEarlyDataComplete-NB message

```
-- ASN1START
RRCEarlyDataComplete-NB-r15 ::=
                                   SEQUENCE {
                                   CHOICE {
   criticalExtensions
       rrcEarlyDataComplete-r15
                                          RRCEarlyDataComplete-NB-r15-IEs,
       criticalExtensionsFuture
                                           SEOUENCE { }
}
RRCEarlyDataComplete-NB-r15-IEs ::= SEQUENCE {
   dedicatedInfoNAS-r15 DedicatedInfoNAS
                                                                     OPTIONAL,
                                                                                  -- Need ON
                                                                      OPTIONAL,
   extendedWaitTime-r15
                                      INTEGER (1..1800)
                                                                                  -- Need ON
                                      RedirectedCarrierInfo-NB-r13 OPTIONAL,
   redirectedCarrierInfo-r15
   redirectedCarrierInfo-r15
redirectedCarrierInfoExt-r15
                                                                                  -- Need ON
                                    RedirectedCarrierInfo-NB-v1430 OPTIONAL,
                                                                                  -- Cond
Redirection
   nonCriticalExtension
                                      RRCEarlyDataComplete-NB-v1590-IEs OPTIONAL
RRCEarlyDataComplete-NB-v1590-IEs ::= SEQUENCE {
   lateNonCriticalExtension
                                               OCTET STRING
                                                                              OPTIONAL,
                                              SEQUENCE {}
   nonCriticalExtension
                                                                          OPTIONAL
```

```
}
-- ASN1STOP
```

	RRCEarlyDataComplete-NB field descriptions
extendedWaitTime	
Value in seconds.	

Conditional presence	Explanation
Redirection	The field is optionally present, Need ON, if redirectedCarrierInfo is included; otherwise the
	field is not present.

# RRCEarlyDataReguest-NB

The RRCEarlyDataRequest-NB message is used to initiate CP-EDT.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

# RRCEarlyDataRequest-NB message

```
-- ASN1START
RRCEarlyDataRequest-NB-r15 ::=
                                          SEOUENCE {
         rrcEarlyDataRequest-r15 RRCE
criticalExtensionsFuture SEQU
    criticalExtensions
                                                     RRCEarlyDataRequest-NB-r15-IEs,
                                                    SEQUENCE {}
RRCEarlyDataRequest-NB-r15-IEs ::= SEQUENCE {
   s-TMSI-r15 SEQUENCE {
    s-TMSI,
    establishmentCause-r15 ENUMERA
    rel},
    cqi-NPDCCH-r15 CQI-NPD.
    dedicatedInfoNAS-r15 Dedicate
    nonCriticalExtension RRCEarl
                                                ENUMERATED {mo-Data, mo-ExceptionData, delayTolerantAccess,
spare1},
                                              CQI-NPDCCH-NB-r14
                                                                                                 OPTIONAL,
                                                DedicatedInfoNAS,
                                             DedicatedInfoNAS,
RRCEarlyDataRequest-NB-v1590-IES OPTIONAL
}
RRCEarlyDataRequest-NB-v1590-IEs ::= SEQUENCE {
    lateNonCriticalExtension
                                                     OCTET STRING
                                                                                             OPTIONAL,
    nonCriticalExtension
                                                                                     OPTIONAL
                                                     SEQUENCE {}
-- ASN1STOP
```

#### RRCEarlyDataRequest-NB field descriptions

#### establishmentCause

Provides the establishment cause for the RRC early data request as provided by the upper layers. eNB is not expected to reject a *RRCEarlyDataRequest* due to unknown cause value being used by the UE.

# SCPTMConfiguration-NB

The SCPTMConfiguration-NB message contains the control information applicable for MBMS services transmitted via SC-MRB.

Signalling radio bearer: N/A

RLC-SAP: UM

Logical channel: SC-MCCH
Direction: E-UTRAN to UE

# SCPTMConfiguration-NB message

```
-- ASN1START

SCPTMConfiguration-NB-r14 ::= SEQUENCE {
    sc-mtch-InfoList-r14 SCPTM-NeighbourCellList-NB-r14,
    scptm-NeighbourCellList-r14 SCPTM-NeighbourCellList-NB-r14 OPTIONAL, -- Need OP
    lateNonCriticalExtension OCTET STRING OPTIONAL,
    nonCriticalExtension SEQUENCE {} OPTIONAL
}

-- ASN1STOP
```

## SCPTMConfiguration-NB field descriptions

#### sc-mtch-InfoList

Provides the configuration of each SC-MTCH in the current cell.

#### scptm-NeighbourCellList

List of neighbour cells providing MBMS services via SC-MRB. When absent, the UE shall assume that MBMS services listed in the SCPTMConfiguration-NB message are not provided via SC-MRB in any neighbour cell.

# SystemInformation-NB

The *SystemInformation-NB* message is used to convey one or more System Information Blocks. All the SIBs included are transmitted with the same periodicity.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

# SystemInformation-NB message

```
-- ASN1START
SystemInformation-NB ::=
                               SEQUENCE {
    criticalExtensions
                                       CHOICE {
       systemInformation-r13
                                            SystemInformation-NB-r13-IEs,
                                            SEQUENCE {}
        criticalExtensionsFuture
SystemInformation-NB-r13-IEs ::= SEQUENCE {
   sib-TypeAndInfo-r13
                                       SEQUENCE (SIZE (1..maxSIB)) OF CHOICE {
       sib2-r13
                                           SystemInformationBlockType2-NB-r13,
                                            SystemInformationBlockType3-NB-r13,
       sib3-r13
       sib4-r13
                                           SystemInformationBlockType4-NB-r13,
        sib5-r13
                                           SystemInformationBlockType5-NB-r13,
        sib14-r13
                                            SystemInformationBlockType14-NB-r13,
       sib16-r13
                                           SystemInformationBlockType16-NB-r13,
        sib15-v1430
                                           SystemInformationBlockType15-NB-r14,
        sib20-v1430
                                            SystemInformationBlockType20-NB-r14,
        sib22-v1430
                                            SystemInformationBlockType22-NB-r14,
       sib23-v1530
                                            SystemInformationBlockType23-NB-r15
    lateNonCriticalExtension
                                       OCTET STRING
                                                                            OPTIONAL,
    nonCriticalExtension
                                       SEQUENCE {}
                                                                            OPTIONAL
-- ASN1STOP
```

# SystemInformationBlockType1-NB

The *SystemInformationBlockType1-NB* message contains information relevant when evaluating if a UE is allowed to access a cell and defines the scheduling of other system information.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

# SystemInformationBlockType1-NB message

```
-- ASN1START
SystemInformationBlockType1-NB ::= SEQUENCE {
     hyperSFN-MSB-r13
     cellAccessRelatedInfo-r13
                                                    BIT STRING (SIZE (8)),
                                                    SEOUENCE {
         plmn-IdentityList-r13
trackingAreaCode-r13
cellIdentity-r13
                                                    PLMN-IdentityList-NB-r13,
                                                           TrackingAreaCode,
                                                          CellIdentity,
          cellBarred-r13
                                                           ENUMERATED {barred, notBarred},
          intraFreqReselection-r13
                                                        ENUMERATED {allowed, notAllowed}
                                                   SEQUENCE {
     cellSelectionInfo-r13
          q-RxLevMin-r13
                                                         Q-RxLevMin,
          q-QualMin-r13
                                                           Q-QualMin-r9
    p-Max-r13
p-Max
oPTIONAL, -- Need OP
freqBandIndicator-r13
freqBandInfo-r13
nS-PmaxList-NB-r13
oPTIONAL, -- Need OR
multiBandInfoList-r13
downlinkBitmap-r13
pL-Bitmap-NB-r13
oPTIONAL, -- Need OR
downlinkBitmap-r13
pL-Bitmap-NB-r13
oPTIONAL, -- Cond SIB1
eutraControlRegionSize-r13
nrs-CRS-PowerOffset-r13
ENUMERATED {n1, n2, n3}
oPTIONAL, -- Cond inband
                                                                     dB-1dot77, dB0, dB1,
dB1dot23, dB2, dB3,
                                                                      dBldot23, dB2,
                                                                      dB4, dB4dot23, dB5, dB6, dB7, dB8, dB8,
                                                                                     OPTIONAL, -- Cond inband-SamePCI
                                                                      dB9}
                                                    SchedulingInfoList-NB-r13,
ENUMERATED {ms160, ms320, ms480, ms640,
ms960, ms1280, ms1600, spare1},
     schedulingInfoList-r13
     si-WindowLength-r13
    ms960, ms1280, ms1600, spare1},
systemInfoValueTagList-r13 SystemInfoValueTagList-NB-r13 OPTIONAL,
lateNonCriticalExtension OCTET STRING
nonCriticalExtension SystemInfoValueTagList-NB-r13 OPTIONAL,
                                                     SystemInfoValueTagList-NB-r13 OPTIONAL, -- Need OR
SystemInformationBlockType1-NB-v1350 ::=
                                                          SEQUENCE {
     cellSelectionInfo-v1350 CellSelectionInfo-NB-v1350 OPTIONAL, -- Cond Qrxlevmin nonCriticalExtension SystemInformationBlockTypel-NB-v1430 OPTIONAL
SystemInformationBlockType1-NB-v1430 ::=
                                                          SEOUENCE {
     cellSelectionInfo-v1430 CellSelectionInfo-NB-v1430 OPTIONAL, nonCriticalExtension SystemInformationBlockTypel-NB-v1450
                                                                                                                 -- Need OR
     OPTIONAL
SystemInformationBlockType1-NB-v1450 ::= SEQUENCE {
    nrs-CRS-PowerOffset-v1450
                                                         ENUMERATED {dB-6, dB-4dot77, dB-3,
                                                                      dB-1dot77, dB0, dB1, dB1, dB1, dB3,
                                                                      dBldot23, dB2,
                                                                      dB4,
                                                                                    dB4dot23, dB5,
                                                                                    dB7,
                                                                      dB6
                                                                                                  dB8,
                                                                      dB9}
                                                                                     OPTIONAL, -- Cond inband-SamePCI-
ExceptAnchor
     nonCriticalExtension
                                                   SystemInformationBlockType1-NB-v1530
     OPTIONAL
{\tt SystemInformationBlockType1-NB-v1530} \ ::= \ {\tt SEQUENCE} \ \{
    tdd-Parameters-r15
                                                           SEQUENCE {
```

```
tdd-Config-r15
                                              TDD-Config-NB-r15,
       tdd-SI-CarrierInfo-r15
                                               ENUMERATED {anchor, non-anchor},
       tdd-SI-SubframesBitmap-r15
                                              DL-Bitmap-NB-r13
                                                                                  -- Cond TDD-SI-
                                                                    OPTIONAL
NonAnchor
      OPTIONAL,
                   -- Cond TDD
   schedulingInfoList-v1530
                                     SchedulingInfoList-NB-v1530 OPTIONAL,
                                                                                  -- Need OR
                                      SEQUENCE {}
   nonCriticalExtension
                                                                      OPTIONAL
PLMN-IdentityList-NB-r13 ::=
                                  SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-IdentityInfo-NB-r13
PLMN-IdentityInfo-NB-r13 ::=
                                 SEQUENCE {
   cellReservedForOperatorUse-r13
   plmn-Identity-r13
                                          PLMN-Identity,
                                          ENUMERATED {reserved, notReserved},
   attachWithoutPDN-Connectivity-r13
                                          ENUMERATED {true} OPTIONAL -- Need OP
}
SchedulingInfoList-NB-r13 ::= SEQUENCE (SIZE (1..maxSI-Message-NB-r13)) OF SchedulingInfo-NB-r13
SchedulingInfoList-NB-v1530 ::= SEQUENCE (SIZE (1..maxSI-Message-NB-r13)) OF SchedulingInfo-NB-v1530
SchedulingInfo-NB-r13::=
                              SEQUENCE {
   si-Periodicity-r13
                                   ENUMERATED {rf64, rf128, rf256, rf512,
                                              rf1024, rf2048, rf4096, spare},
                                   ENUMERATED {every2ndRF, every4thRF, every8thRF, every16thRF},
   si-RepetitionPattern-r13
   sib-MappingInfo-r13
                                   SIB-MappingInfo-NB-r13,
   si-TB-r13
                                   ENUMERATED {b56, b120, b208, b256, b328, b440, b552, b680}
}
SchedulingInfo-NB-v1530::= SEQUENCE {
   sib-MappingInfo-v1530
                                SIB-MappingInfo-NB-v1530
                                                                OPTIONAL -- Need OR
SystemInfoValueTagList-NB-r13 ::= SEQUENCE (SIZE (1..maxSI-Message-NB-r13)) OF
                                       SystemInfoValueTagSI-r13
SIB-MappingInfo-NB-r13 ::=
                                  SEQUENCE (SIZE (0..maxSIB-1)) OF SIB-Type-NB-r13
                                   SEQUENCE (SIZE (1..8)) OF SIB-Type-NB-v1530
SIB-MappingInfo-NB-v1530 ::=
SIB-Type-NB-r13 ::=
                                   ENUMERATED {
                                       sibType3-NB-r13, sibType4-NB-r13, sibType5-NB-r13,
                                       \verb|sibType14-NB-r13|, \verb|sibType16-NB-r13|, \verb|sibType15-NB-r14|, \\
                                       sibType20-NB-r14, sibType22-NB-r14}
SIB-Type-NB-v1530 ::=
                                   ENUMERATED {
                                       sibType23-NB-r15, spare7, spare6, spare5,
                                       spare4, spare3, spare2, spare1}
CellSelectionInfo-NB-v1350 ::=
                                   SEQUENCE {
   delta-RxLevMin-v1350
                                      INTEGER (-8..-1)
                               SEQUENCE {
CellSelectionInfo-NB-v1430 ::=
   powerClass14dBm-Offset-r14
                                      ENUMERATED {dB-6, dB-3, dB3, dB6, dB9, dB12} OPTIONAL, --
   Need OP
   ce-authorisationOffset-r14
                                      ENUMERATED {dB5, dB10, dB15, dB20, dB25, dB30, dB35}
   OPTIONAL
              -- Need OP
-- ASN1STOP
```

## SystemInformationBlockType1-NB field descriptions

## attachWithoutPDN-Connectivity

If present, the field indicates that attach without PDN connectivity as specified in TS 24.301 [35] is supported for this PLMN.

#### ce-authorisationOffset

Parameter "Qoffset<sub>authorization</sub>" in TS 36.304 [4]. Value in dB. Value dB5 corresponds to 5 dB, dB10 corresponds to 10 dB and so on.

If the field is absent, the value of 0 dB shall be used for "Qoffset<sub>authorization</sub>".

#### cellBarred

Barred means the cell is barred, as defined in TS 36.304 [4].

#### cellIdentity

Indicates the cell identity.

# cellReservedForOperatorUse

As defined in TS 36.304 [4].

## cellSelectionInfo

Cell selection information as specified in TS 36.304 [4].

#### downlinkBitmap

For FDD, NB-IoT downlink subframe configuration for downlink transmission as specified in TS 36.213 [23], clause 16.4.

For TDD, NB-IoT downlink, uplink and special subframes configuration for transmission on the anchor carrier as specified in TS 36.213 [23], clause 16.4. If the bitmap is not present, the UE shall assume that all subframes are valid (except for subframes carrying NPSS/NSSS/NPBCH/SIB1-NB) as specified in TS 36.213 [23], clause 16.4.

#### eutraControlRegionSize

Indicates the control region size of the E-UTRA cell for the in-band operation mode, see TS 36.213 [23]. Unit is in number of OFDM symbols.

#### freaBandInfo

A list of additionalPmax and additionalSpectrumEmission values as defined in TS 36.101 [42], clause 6.2.4F for the frequency band in *freqBandIndicator*.

#### hyperSFN-MSB

Indicates the 8 most significant bits of hyper-SFN. Together with hyperSFN-LSB in MIB-NB, the complete hyper-SFN is built up. hyper-SFN is incremented by one when the SFN wraps around.

# intraFreqReselection

Used to control cell reselection to intra-frequency cells when the highest ranked cell is barred, or treated as barred by the UE, as specified in TS 36.304 [4].

## multiBandInfoList

A list of additional frequency band indicators, *additionalPmax* and *additionalSpectrumEmission* values, as defined in TS 36.101 [42], table 5.5-1. If the UE supports the frequency band in the *freqBandIndicator* IE it shall apply that frequency band. Otherwise, the UE shall apply the first listed band which it supports in the *multiBandInfoList* IE.

# nrs-CRS-PowerOffset

NRS power offset between NRS and E-UTRA CRS, see TS 36.213 [23], clause 16.2.2. Unit in dB. Default value of 0.

## plmn-IdentityList

List of PLMN identities. The first listed *PLMN-Identity* is the primary PLMN.

# powerClass14dBm-Offset

Parameter "Poffset" in TS 36.304 [4]. Only applicable for UE supporting *powerClassNB-14dBm*. Value in dB. Value dB-6 corresponds to -6 dB, dB-3 corresponds to -3 dB and so on. If the fied is absent, the UE applies the (default) value of 0 dB for "Poffset" in TS 36.304 [4].

# p-Max

Value applicable for the cell. If absent the UE applies the maximum power according to the UE capability.

# q-QualMin

Parameter "Qqualmin" in TS 36.304 [4].

## q-RxLevMin, delta-RxLevMin

Parameter  $Q_{rxlevmin}$  in TS 36.304 [4]. If delta-RxLevMin is not included, actual value  $Q_{rxlevmin} = q-RxLevMin * 2$  [dBm]. If delta-RxLevMin is included, actual value  $Q_{rxlevmin} = (q-RxLevMin + delta-RxLevMin) * 2$  [dBm].

## schedulingInfoList

Indicates additional scheduling information of SI messages.

# si-Periodicity

Periodicity of the SI-message in radio frames, such that rf256 denotes 256 radio frames, rf512 denotes 512 radio frames, and so on.

## si-RadioFrameOffset

Offset in number of radio frames to calculate the start of the SI window.

If the field is absent, no offset is applied.

# si-RepetitionPattern

Indicates the starting radio frames within the SI window used for SI message transmission. Value every2ndRF corresponds to every 2 radio frames, value every4thRF corresponds to every 4 radio frames and so on. The first transmission of the SI message is transmitted from the first radio frame of the SI window.

## SystemInformationBlockType1-NB field descriptions

#### si-TB

This field indicates the transport block size in number of bits and the corresponding number of consecutive NB-IoT downlink subframes that are used to broadcast the SI message. Value b56 corresponds to 56 bits, b120 corresponds to 120 bits and so on. TBS of 56 bits and 120 bits are transmitted over 2 sub-frames, other TBS are transmitted over 8 sub-frames, see TS 36.213 [23], Table 16.4.1.5.1-1.

## si-WindowLength

Common SI scheduling window for all SIs. Unit in milliseconds, where ms160 denotes 160 milliseconds, ms320 denotes 320 milliseconds and so on.

## sib-MappingInfo

List of the SIBs mapped to this *SystemInformation* message. There is no mapping information of SIB2-NB; it is always present in the first *SystemInformation* message listed in the *schedulingInfoList* list.

# systemInfoValueTagList

Indicates SI message specific value tags. It includes the same number of entries, and listed in the same order, as in SchedulingInfoList.

# systemInfoValueTagSI

SI message specific value tag as specified in Clause 5.2.1.3. Common for all SIBs within the SI message other than SIB14-NB.

## tdd-Config

Indicates the the TDD specific physical channel configuration.

#### tdd-SI-CarrierInfo

Carrier used for SI message transmission. Value *anchor* corresponds to anchor carrier, value *non-anchor* corresponds to non-anchor carrier. See TS 36.213 [23].

When *tdd-SI-CarrierInfo* set to value *non-anchor* then *sib-GuardbandInfo* in MIB-TDD-NB (in case of *operationmodeInfo* is set to *guardband*) or *sib-InbandLocation* in MIB-TDD-NB (in case of *operationmodeInfo* is set to *inband-SamePCI* or *inband-DifferentPCI*) or *sib-StandaloneLocation* in MIB-TDD-NB (in case of *operationmodeInfo* is set to *standalone*) defines which non-anchor carrier is used (see MIB-NB-TDD).

## tdd-SI-SubframesBitmap

NB-IoT downlink, uplink and special subframes configuration for transmission on the carrier carrying the SI message as specified in TS 36.213 [23], clause 16.4.

#### trackingAreaCode

A trackingAreaCode that is common for all the PLMNs listed.

Conditional presence	Explanation
inband	In FDD: The field is mandatory present if IE operationModeInfo in MIB-NB is set to
	inband-SamePCI or inband-DifferentPCI. Otherwise the field is not present.
	In TDD: The field is mandatory present if:
	- IE operationModeInfo in MIB-TDD-NB is set to inband-SamePCI or inband-DifferentPCI
	or
	- IE operationModeInfo in MIB-TDD-NB is set to guardband and IE sib-GuardbandInfo in
	MIB-TDD-NB is set to sib-GuardbandInbandSamePCI or sib-GuardbandinbandDiffPCI
	and IE tdd-SI-CarrierInfo is set to non-anchor
inband-SamePCI	The field is mandatory present, if IE operationModeInfo in MIB-NB is set to inband-
	SamePCI. Otherwise the field is not present.
inband-SamePCI-	The field is optionally present if IE operationModeInfo in MIB-NB is set to a value other
ExceptAnchor	than inband-SamePCI, and at least one non-anchor carrier is inband carrier and uses the
	same PCI as the E-UTRA carrier. Otherwise the field is not present.
Qrxlevmin	This field is optionally present, Need OR, if <i>q-RxLevMin</i> is set to the minimum value.
	Otherwise the field is not present.
SIB1	The field is mandatory present if IE additionalTransmissionSIB1 in MIB-NB is set to
	TRUE. Otherwise the field is optionally present, Need OP.
TDD	The field is mandatory present for TDD; otherwise the field is not present and the UE shall
	delete any existing value for this field.
TDD-SI-NonAnchor	The field is mandatory present for TDD if <i>si-CarrierInfo</i> is set to <i>non-anchor</i> , otherwise the
	field is not present and the UE shall delete any existing value for this field.

# UECapabilityEnquiry-NB

The UECapabilityEnquiry-NB message is used to request the transfer of UE radio access capabilities for NB-IoT.

Signalling radio bearer: SRB1 or SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

# UECapabilityEnquiry-NB message

```
-- ASN1START
UECapabilityEnquiry-NB ::= SEQUENCE {
   rrc-TransactionIdentifier
                                     RRC-TransactionIdentifier,
   criticalExtensions
                                     CHOICE {
           CHOICE {
ueCapabilityEnquiry-r13 UECa
spare1
       c1
                                             UECapabilityEnquiry-NB-r13-IEs,
                                SEQUENCE {}
       criticalExtensionsFuture
}
UECapabilityEnquiry-NB-r13-IES ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
                                                                       OPTIONAL,
   nonCriticalExtension
                                     SEQUENCE {}
                                                                       OPTIONAL
-- ASN1STOP
```

# UECapabilityInformation-NB

The *UECapabilityInformation-NB* message is used to transfer of UE radio access capabilities requested by the E-UTRAN.

Signalling radio bearer: SRB1 or SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# **UECapabilityInformation-NB** message

```
-- ASN1START
UECapabilityInformation-NB ::= SEQUENCE {
   rrc-TransactionIdentifier RRC-TransactionIdentifier,
   criticalExtensions
           {\tt ueCapabilityInformation-r13} \qquad {\tt UECapabilityInformation-NB-r13-IEs},
           criticalExtensionsFuture
                                           SEQUENCE {}
UECapabilityInformation-NB-r13-IEs ::= SEQUENCE {
   ue-RadioPagingInfo-r13
                                          UE-Capability-NB-r13,
                                          UE-RadioPagingInfo-NB-r13,
   lateNonCriticalExtension
                                          OCTET STRING
                                                                              OPTIONAL.
   nonCriticalExtension
                                          UECapabilityInformation-NB-Ext-r14-IEs
       OPTIONAL
UECapabilityInformation-NB-Ext-r14-IEs ::= SEQUENCE {
   ue-Capability-ContainerExt-r14
                                          OCTET STRING (CONTAINING UE-Capability-NB-Ext-r14-IEs),
   {\tt nonCriticalExtension}
                                          SEQUENCE { }
                                                                              OPTIONAL
-- ASN1STOP
```

# UECapabilityInformation-NB field descriptions

ue-RadioPagingInfo

This field contains UE capability information used for paging.

# ULInformationTransfer-NB

The ULInformationTransfer-NB message is used for the uplink transfer of NAS information.

Signalling radio bearer: SRB1 or SRB1bis

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

# ULInformationTransfer-NB message

```
-- ASN1START
ULInformationTransfer-NB ::=
                                SEQUENCE {
                                         CHOICE {
    criticalExtensions
            ulInformationTransfer-r13
                                            ULInformationTransfer-NB-r13-IEs,
            criticalExtensionsFuture
                                            SEQUENCE { }
ULInformationTransfer-NB-r13-IEs ::=
                                        SEQUENCE {
    dedicatedInfoNAS-r13
                                            DedicatedInfoNAS,
    lateNonCriticalExtension
                                            OCTET STRING
                                                                             OPTIONAL.
    {\tt nonCriticalExtension}
                                             SEQUENCE {}
                                                                              OPTIONAL
-- ASN1STOP
```

# 6.7.3 NB-IoT information elements

# 6.7.3.1 NB-IoT System information blocks

# SystemInformationBlockType2-NB

The IE *SystemInformationBlockType2-NB* contains radio resource configuration information that is common for all LIEs

NOTE: UE timers and constants related to functionality for which parameters are provided in another SIB are included in the corresponding SIB.

## SystemInformationBlockType2-NB information element

```
-- ASN1START
SystemInformationBlockType2-NB-r13 ::= SEQUENCE {
    radioResourceConfigCommon-r13 RadioResourceConfigCommonSIB-NB-r13, ue-TimersAndConstants-r13 UE-TimersAndConstants-NB-r13, freqInfo-r13 SEQUENCE {
         ul-CarrierFreq-r13
                                                      CarrierFreq-NB-r13
                                                                                      OPTIONAL,
         additionalSpectrumEmission-r13
                                                      AdditionalSpectrumEmission
    timeAlignmentTimerCommon-r13
                                                 TimeAlignmentTimer,
    multiBandInfoList-r13 SEQUENCE (SIZE (1..maxMultiBands)) OF AdditionalSpectrumEmission
    OPTIONAL,
                  -- Need OR
                                                 OCTET STRING
    lateNonCriticalExtension
                                                                                      OPTIONAL.
        cp-Reestablishment-r14
                                                  ENUMERATED {true}
                                                                                      OPTIONAL
                                                                                                        -- Need
OP
    11,
```

```
[[ servingCellMeasInfo-r14
                                             ENUMERATED {true}
                                                                             OPTIONAL,
                                                                                              -- Need
OR
        cgi-Reporting-r14
                                             ENUMERATED {true}
                                                                             OPTIONAL
                                                                                              -- Need
OR
    ]],
    [[ enhancedPHR-r15
                                             ENUMERATED {true}
                                                                     OPTIONAL,
                                                                                  -- Need OR
        fregInfo-v1530
                                             SEQUENCE {
            tdd-UL-DL-AlignmentOffset-r15
                                                 TDD-UL-DL-AlignmentOffset-NB-r15
                         -- Cond TDD
           OPTIONAL,
        cp-EDT-r15
                                             ENUMERATED {true}
                                                                     OPTIONAL,
        up-EDT-r15
                                             ENUMERATED {true}
                                                                     OPTIONAL
                                                                                  -- Need OR
    11
-- ASN1STOP
```

## SystemInformationBlockType2-NB field descriptions

#### additionalSpectrumEmission

The UE requirements related to IE Additional Spectrum Emission are defined in TS 36.101 [42], clause 6.2.4F.

#### cp-EDT

For FDD: This field indicates whether the UE is allowed to initiate CP-EDT, see 5.3.3.1b.

#### cp-Reestablishment

This field indicates if the NB-IoT UE is allowed to trigger RRC connection re-establishment when AS security has not been activated.

#### cgi-Reporting

For FDD: This field indicates if downlink channel quality reporting in RRCConnectionReestablishmentRequest-NB, RRCConnectionReguest-NB and RRCConnectionResumeRequest-NB message is allowed.

#### enhancedPHR

For FDD: This field indicates if the NB-IoT UE is allowed to report enhanced PHR in MSG3 as specified in TS 36.321 [6].

#### multiBandInfoList

A list of *additionalSpectrumEmission* i.e. one for each additional frequency band included in *multiBandInfoList* in *SystemInformationBlockType1-NB*, listed in the same order.

## servingCellMeasInfo

This field indicates if serving cell idle mode measurement reporting in RRCConnectionReestablishmentComplete-NB, RRCConnectionResumeComplete-NB and RRCConnectionSetupComplete-NB is allowed.

## tdd-UL-DL-AlignmentOffset

Indicates the offset between the UL carrier frequency center with respect to DL carrier frequency center for the anchor carrier.

# ul-CarrierFreq

For FDD: Uplink carrier frequency as defined in TS 36.101 [42], clause 5.7.3F. If *operationModeInfo* in the MIB-NB is set to *standalone* and the field is absent, the value of the carrier frequency is determined by the TX-RX frequency separation defined in TS 36.101 [42], table 5.7.4-1, and the value of the carrier frequency offset is 0. If *operationModeInfo* in the MIB-NB is not set to *standalone*, the field is mandatory present.

For TDD: This field is absent and the uplink carrier frequency is same as the downlink frequency.

## up-EDT

For FDD: This field indicates whether the UE is allowed to initiate UP-EDT, see 5.3.3.1b.

Conditional presence	Explanation
TDD	The field is mandatory present for TDD; otherwise the field is not present and the UE shall
	delete any existing value for this field.

# SystemInformationBlockType3-NB

The IE *SystemInformationBlockType3-NB* contains cell re-selection information common for intra-frequency, and interfrequency cell re-selection as well as intra-frequency cell re-selection information other than neighbouring cell related.

# SystemInformationBlockType3-NB information element

```
cellReselectionServingFreqInfo-r13 SEQUENCE {
      s-NonIntraSearch-r13
                                           ReselectionThreshold
   intraFreqCellReselectionInfo-r13
                                       SEQUENCE {
      q-RxLevMin-r13
                                          Q-RxLevMin,
                                                                OPTIONAL, -- Need OP
OPTIONAL, -- Need OP
       q-QualMin-r13
                                           O-QualMin-r9
                                           P-Max
       p-Max-r13
       s-IntraSearchP-r13
                                           ReselectionThreshold,
       t-Reselection-r13
                                           T-Reselection-NB-r13
   freqBandInfo-r13
                                     NS-PmaxList-NB-r13
                                                                    OPTIONAL, -- Need OR
   multiBandInfoList-r13
                                       SEQUENCE (SIZE (1..maxMultiBands)) OF
                                           NS-PmaxList-NB-r13 OPTIONAL, -- Need OR
   lateNonCriticalExtension
                                           OCTET STRING
                                                                    OPTIONAL,
   ...,
[[ intraFreqCellReselectionInfo-v1350 IntraFreqCellReselectionInfo-NB-v1350 OPTIONAL -- Cond
Qrxlevmin
   ]],
   [[ intraFreqCellReselectionInfo-v1360 IntraFreqCellReselectionInfo-NB-v1360 OPTIONAL -- Need
OR
   ]],
   [[ intraFreqCellReselectionInfo-v1430 IntraFreqCellReselectionInfo-NB-v1430 OPTIONAL -- Need
OR
   1],
   OR
   ]],
                             NSSS-RRM-Config-NB-r15 OPTIONAL, -- Need OR
   [[ nsss-RRM-Config-r15
      npbch-RRM-Config-r15
                                       ENUMERATED {enabled} OPTIONAL
                                                                       -- Need OR
}
IntraFreqCellReselectionInfo-NB-v1350 ::= SEQUENCE {
   delta-RxLevMin-v1350
                                           INTEGER (-8..-1)
IntraFreqCellReselectionInfo-NB-v1360 ::= SEQUENCE {
                                              ReselectionThreshold-NB-v1360
   s-IntraSearchP-v1360
}
IntraFreqCellReselectionInfo-NB-v1430 ::= SEQUENCE {
  powerClass14dBm-Offset-r14 ENUMERATED {dB-6, dB-3, dB3, dB6, dB9, dB12} OPTIONAL, --
Need OP
  ce-AuthorisationOffset-r14 ENUMERATED {dB5, dB10, dB15, dB20, dB25, dB30, dB35} OPTIONAL
   -- Need OP
}
CellReselectionInfoCommon-NB-v1450 ::= SEQUENCE {
                                    ENUMERATED {dB6, dB9, dB12, dB15}
  s-SearchDeltaP-r14
-- ASN1STOP
```

## SystemInformationBlockType3-NB field descriptions

#### ce-AuthorisationOffset

Parameter "Qoffset<sub>authorization</sub>" in TS 36.304 [4]. Value in dB. Value dB5 corresponds to 5 dB, dB10 corresponds to 10 dB and so on.

If the field is absent, the UE applies the value of ce-authorisationOffset in SystemInformationBlockType1-NB.

# multiBandInfoList

A list of additionalPmax and additionalSpectrumEmission values as defined in TS 36.101 [42], clause 6.2.4F, applicable for the intra-frequency neighbouring NB-IoT cells if the UE selects the frequency band from freqBandIndicator in SystemInformationBlockType1-NB.

#### npbch-RRM-Config

For FDD: Configuration for NPBCH-based RRM measurements. See TS 36.214 [24].

If enabled, NPBCH can be used in addition to NRS for RRM measurements for serving cell.

## nsss-RRM-Config

For FDD: Configuration for NSSS-based RRM measurements for the serving cell.

## powerClass14dBm-Offset

Parameter "Poffset" in TS 36.304 [4], only applicable for UE supporting *powerClassNB-14dBm*. Value in dB. Value dB-6 corresponds to -6 dB, dB-3 corresponds to -3 dB and so on. If the field is absent, the UE applies the (default) value of 0 dB for "Poffset" in TS 36.304 [4].

#### р-Мах

Value applicable for the intra-frequency neighbouring E-UTRA cells. If absent the UE applies the maximum power according to the UE capability.

#### q-Hyst

Parameter Q<sub>hyst</sub> in TS 36.304 [4], Value in dB. Value dB1 corresponds to 1 dB, dB2 corresponds to 2 dB and so on.

#### q-QualMin

Parameter "Q<sub>qualmin</sub>" in TS 36.304 [4], applicable for intra-frequency neighbour cells. If the field is not present, the UE applies the (default) value of negative infinity for Q<sub>qualmin</sub>.

#### q-RxLevMin, delta-RxLevMin

Parameter " $Q_{rxlevmin}$ " in TS 36.304 [4], applicable for intra-frequency neighbour cells. If delta-RxLevMin is not included, actual value  $Q_{rxlevmin} = q$ -RxLevMin \* 2 [dBm]. If delta-RxLevMin is included, actual value  $Q_{rxlevmin} = (q$ -RxLevMin) \* 2 [dBm].

#### s-IntraSearchP

Parameter "SIntraSearchP" in TS 36.304 [4].

In case s-IntraSearchP-v1360 is included, the UE shall ignore s-IntraSearchP (i.e. without suffix).

## s-NonIntraSearch

Parameter "SnonIntraSearchP" in TS 36.304 [4].

## s-SearchDeltaP

Parameter "S<sub>SearchDeltaP</sub>" in TS 36.304 [4]. This parameter is only applicable for UEs supporting relaxed monitoring as specified in TS 36.306 [5]. Value dB6 corresponds to 6 dB, dB9 corresponds to 9 dB and so on.

# t-Reselection

Parameter "Treselection<sub>NB-loT\_Intra</sub>" in TS 36.304 [4].

Conditional presence	Explanation
Qrxlevmin	This field is optionally present, Need OR, if <i>q-RxLevMin</i> is set to the minimum value.
	Otherwise the field is not present.

# SystemInformationBlockType4-NB

The IE *SystemInformationBlockType4-NB* contains neighbouring cell related information relevant only for intrafrequency cell re-selection. The IE includes cells with specific re-selection parameters.

# SystemInformationBlockType4-NB information element

```
-- ASN1START
SystemInformationBlockType4-NB-r13 ::=
                                        SEQUENCE {
   intraFreqNeighCellList OPTIONAL,
                                                                     -- Need OR
   intraFreqBlackCellList-r13
                                    IntraFreqBlackCellList OPTIONAL,
                                                                     -- Need OR
   lateNonCriticalExtension
                                    OCTET STRING
                                                          OPTIONAL.
      nsss-RRM-Config-r15
                                    NSSS-RRM-Config-NB-r15 OPTIONAL,
       intraFreqNeighCellList-v1530 IntraFreqNeighCellList-NB-v1530 OPTIONAL
                                                                             -- Need OR
   11
IntraFreqNeighCellList-NB-v1530 ::=
                                    SEQUENCE (SIZE (1..maxCellIntra)) OF IntraFreqNeighCellInfo-
NB-v1530
```

# IntraFreqBlackCellList List of blacklisted intra-frequency neighbouring cells. IntraFreqNeighCellList List of intra-frequency neighbouring cells with specific cell re-selection parameters. IntraFreqNeighCellList List of intra-frequency neighbouring cells with specific cell re-selection parameters. IntraFreqNeighCellList For FDD: Configuration for NSSS-based RRM measurements. If IntraFreqNeighCellList-NB-v1530 is present then for a cell which is included in IntraFreqNeighCellList, the UE applies the Insss-RRM-Config configured in the corresponding entry of IntraFreqNeighCellList-NB-v1530. Otherwise, the UE applies the Insss-RRM-Config configured in SystemInformationBlockType4-NB-r13.

Conditional presence	Explanation
NSSS-RRM	This field is optionally present, Need OR, when nsss-RRM-Config is present in
	SystemInformationBlockType4-NB. Otherwise, the field is not present, and the UE shall
	delete any existing value for this field.

# SystemInformationBlockType5-NB

The IE *SystemInformationBlockType5-NB* contains information relevant only for inter-frequency cell re-selection i.e. information about other NB-IoT frequencies and inter-frequency neighbouring cells relevant for cell re-selection. The IE includes cell re-selection parameters common for a frequency as well as cell specific re-selection parameters.

## SystemInformationBlockType5-NB information element

```
-- ASN1START
SystemInformationBlockType5-NB-r13 ::= SEQUENCE {
    interFreqCarrierFreqList-r13
                                           InterFreqCarrierFreqList-NB-r13,
    t-Reselection-r13
                                            T-Reselection-NB-r13,
    lateNonCriticalExtension
                                            OCTET STRING
                                                                            OPTIONAL.
      scptm-FreqOffset-r14
                                            INTEGER (1..8)
                                                                            OPTIONAL -- Need OP
    ]]
}
InterFreqCarrierFreqList-NB-r13 ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-NB-
InterFreqCarrierFreqInfo-NB-r13 ::= SEQUENCE {
    dl-CarrierFreq-r13
                                       CarrierFreq-NB-r13,
    q-RxLevMin-r13
                                        O-RxLevMin,
    q-QualMin-r13
                                        Q-QualMin-r9
                                                                       OPTIONAL,
                                                                                        -- Need OP
    p-Max-r13
                                        P-Max
                                                                        OPTIONAL,
                                                                                        -- Need OP
    q-OffsetFreq-r13
                                       Q-OffsetRange
                                                                        DEFAULT dB0,
                                        InterFreqNeighCellList-NB-r13 OPTIONAL,
InterFreqBlackCellList-NB-r13 OPTIONAL,
    interFreqNeighCellList-r13
interFreqBlackCellList-r13
                                                                                        -- Need OR
                                                                                        -- Need OR
    multiBandInfoList-r13
                                       MultiBandInfoList-NB-r13
                                                                      OPTIONAL,
                                                                                        -- Need OR
    [[ delta-RxLevMin-v1350
                                                               OPTIONAL
                                                                            -- Cond Orxlevmin
                                       INTEGER (-8..-1)
    ]],
    [[ powerClass14dBm-Offset-r14
                                       ENUMERATED {dB-6, dB-3, dB3, dB6, dB9, dB12}
OPTIONAL, -- Need OP
       ce-AuthorisationOffset-r14
                                        ENUMERATED {dB5, dB10, dB15, dB20, dB25, dB30, dB35}
    OPTIONAL
               -- Need OP
    ]],
       nsss-RRM-Config-r15
                                        NSSS-RRM-Config-NB-r15 OPTIONAL,
        interFreqNeighCellList-v1530
                                        InterFreqNeighCellList-NB-v1530 OPTIONAL -- Need OR
                                                                OPTIONAL -- Cond TDD
    [ [
       dl-CarrierFreg-v1550
                                        CarrierFreg-NB-v1550
    ]]
}
```

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# SystemInformationBlockType5-NB field descriptions

## ce-AuthorisationOffset

Parameter "Qoffset<sub>authorization</sub>" in TS 36.304 [4]. Value in dB. Value dB5 corresponds to 5 dB, dB10 corresponds to 10 dB and so on. If the field is absent, the UE applies the value of ce-*authorisationOffset* in *SystemInformationBlockType1-NB*.

## interFreqBlackCellList

List of blacklisted inter-frequency neighbouring cells

## interFreqCarrierFreqList

List of neighbouring inter-frequencies. E-UTRAN does not configure more than one entry for the same physical frequency regardless of the E-ARFCN used to indicate this.

#### interFreqNeighCellList

List of inter-frequency neighbouring cells. E-UTRAN may include *interFreqNeighCellList* when including *InterFreqNeighCellList-NB-v1530* to provide cell specific NSSS-based measurement configuration. The UE that does not support NSSS-based RRM measurements shall ignore this field in this version of the specification.

#### multiRandInfol ist

Indicates the list of frequency bands, with the associated *additionalPmax* and *additionalSpectrumEmission* values as defined in TS 36.101 [42], clause 6.2.4, in addition to the band represented by dl-CarrierFreq for which cell reselection parameters are common.

## nsss-RRM-Config

For FDD: Configuration for NSSS-based RRM measurements.

If InterFreqNeighCellList-NB-v1530 is present then for a cell which is included in interFreqNeighCellList, the UE applies the nsss-RRM-Config configured in the corresponding entry of InterFreqNeighCellList-NB-v1530. Otherwise, the UE applies the nsss-RRM-Config configured in InterFreqCarrierFreqInfo.

# p-Max

Value applicable for the neighbouring NB-IoT cells on this carrier frequency. If absent the UE applies the maximum power according to the UE capability.

## powerClass14dBm-Offset

Parameter "Poffset" in TS 36.304 [4], only applicable for UE supporting *powerClassNB-14dBm*. Value in dB. Value dB-6 corresponds to -6 dB, dB-3 corresponds to -3 dB and so on. If the field is absent, the UE applies the (default) value of 0 dB for "Poffset" in TS 36.304 [4]

## q-OffsetFreq

Parameter "Qoffset<sub>frequency</sub>" in TS 36.304 [4].

# q-QualMin

Parameter " $Q_{qualmin}$ " in TS 36.304 [4]. If the field is not present, the UE applies the (default) value of negative infinity for  $Q_{qualmin}$ .

## q-RxlevMin, delta-RxLevMin

Parameter " $Q_{RxLevmin}$ " in TS 36.304 [4]. If *delta-RxLevMin* is not included, actual value  $Q_{rxlevmin} = q-RxLevMin*$  2 [dBm]. If *delta-RxLevMin* is included, actual value  $Q_{rxlevmin} = (q-RxLevMin + delta-RxLevMin)*$  2 [dBm].

## scptm-FreqOffset

Parameter Qoffsetscptm in TS 36.304 [4]. Actual value Qoffsetscptm = field value \* 2 [dB].

If the field is absent, the UE uses infinite dBs for the SC-PTM frequency offset with cell ranking as specified in TS 36.304 [4].

# t-Reselection

Parameter "Treselection<sub>NB-loT\_Inter</sub>" in TS 36.304 [4].

Conditional presence	Explanation
NSSS-RRM	This field is optionally present, Need OR, when nsss-RRM-Config is present in
	InterFreqCarrierFreqInfo. Otherwise, the field is not present, and the UE shall delete any
	existing value for this field.
Qrxlevmin	This field is optionally present, Need OR, if <i>q-RxLevMin</i> is set to the minimum value.
	Otherwise the field is not present.
TDD	The field is optionally present, Need OR, in TDD. Otherwise, the field is not present.

# SystemInformationBlockType14-NB

The IE SystemInformationBlockType14-NB contains the AB parameters.

# SystemInformationBlockType14-NB information element

```
-- ASN1START
SystemInformationBlockType14-NB-r13 ::= SEQUENCE {
                        CHOICE {
    ab-Param-r13
                                         AB-Config-NB-r13,
        ab-Common-r13
        ab-PerPLMN-List-r13
                                         SEQUENCE (SIZE (1..maxPLMN-r11)) OF AB-ConfigPLMN-NB-r13
                                                                 OPTIONAL, -- Need OR
    lateNonCriticalExtension
                                    OCTET STRING
                                                                  OPTIONAL,
       ab-PerNRSRP-r15
                                    ENUMERATED {thresh1, thresh2} OPTIONAL -- Need OR
}
AB-ConfigPLMN-NB-r13 ::= SEQUENCE {
    ab-Config-r13
                                     AB-Config-NB-r13
                                                                  OPTIONAL -- Need OR
AB-Config-NB-r13 ::=
                            SEOUENCE {
    ab-Category-r13
                                    ENUMERATED {a, b, c},
    ab-BarringBitmap-r13
                                     BIT STRING (SIZE(10)),
    ab-BarringForExceptionData-r13 ENUMERATED {true} ab-BarringForSpecialAC-r13 BIT STRING (SIZE(5))
                                                                  OPTIONAL,
                                                                              -- Need OP
    ab-BarringForSpecialAC-r13
-- ASN1STOP
```

## SystemInformationBlockType14-NB field descriptions

#### ab-BarringBitmap

Access class barring for AC 0-9. The first/ leftmost bit is for AC 0, the second bit is for AC 1, and so on.

# ab-BarringForExceptionData

Indicates whether ExceptionData is subject to access barring.

## ab-BarringForSpecialAC

Access class barring for AC 11-15. The first/ leftmost bit is for AC 11, the second bit is for AC 12, and so on.

# ab-Category

Indicates the category of UEs for which AB applies. Value *a* corresponds to all UEs, value *b* corresponds to the UEs that are neither in their HPLMN nor in a PLMN that is equivalent to it, and value *c* corresponds to the UEs that are neither in the PLMN listed as most preferred PLMN of the country where the UEs are roaming in the operator-defined PLMN selector list on the USIM, nor in their HPLMN nor in a PLMN that is equivalent to their HPLMN, see TS 22.011 [10].

## ab-Common

The AB parameters applicable for all PLMN(s).

## ab-PerNRSRP

Access barring per NRSRP. Value *thresh1* corresponds to the first entry configured in *rsrp-ThresholdsPrachInfoList*, value *thresh2* corresponds to the second entry configured in *rsrp-ThresholdsPrachInfoList*.

# ab-PerPLMN-List

The AB parameters per PLMN, listed in the same order as the PLMN(s) occur in *plmn-IdentityList* in *SystemInformationBlockType1-NB*.

# SystemInformationBlockType15-NB

The IE SystemInformationBlockType15-NB contains the MBMS Service Area Identities (SAI) of the current and/ or neighbouring carrier frequencies.

## SystemInformationBlockType15-NB information element

## SystemInformationBlockType15-NB field descriptions

# mbms-SAI-InterFreqList

Contains a list of neighboring frequencies including additional frequency bands, if any, that provide MBMS services and the corresponding MBMS SAIs.

#### mbms-SAI-IntraFreq

Contains the list of MBMS SAIs for the current frequency. A duplicate MBMS SAI indicates that this and all following SAIs are not offered by this cell but only by neighbour cells on the current frequency. For MBMS service continuity, the UE shall use all MBMS SAIs listed in *mbms-SAI-IntraFreq* to derive the MBMS frequencies of interest.

#### mbms-SAI-List

Contains a list of MBMS SAIs for a specific frequency.

## multiBandInfoList

A list of additional frequency bands applicable for the cells participating in the SC-PTM transmission.

# SystemInformationBlockType16-NB

The IE *SystemInformationBlockType16-NB* contains information related to GPS time and Coordinated Universal Time (UTC). The UE may use the parameters provided in this system information block to obtain the UTC, the GPS and the local time.

```
-- ASN1START

SystemInformationBlockType16-NB-r13 ::= SystemInformationBlockType16-r11

-- ASN1STOP
```

# SystemInformationBlockType20-NB

For FDD, the IE *SystemInformationBlockType20-NB* contains the information required to acquire the control information associated with transmission of MBMS using SC-PTM.

# SystemInformationBlockType20-NB information element

```
-- ASN1START
SystemInformationBlockType20-NB-r14 ::= SEQUENCE {
                              NPDCCH-SC-MCCH-Config-NB-r14,
    npdcch-SC-MCCH-Config-r14
    sc-mcch-CarrierConfig-r14
                                           CHOICE {
        dl-CarrierConfig-r14
                                               DL-CarrierConfigCommon-NB-r14,
       dl-CarrierIndex-r14
                                               INTEGER (0..maxNonAnchorCarriers-NB-r14)
    sc-mcch-RepetitionPeriod-r14
                                           ENUMERATED {rf32, rf128, rf512, rf1024,
                                                       rf2048, rf4096, rf8192, rf16384},
    sc-mcch-Offset-r14
                                           INTEGER (0..10)
    sc-mcch-ModificationPeriod-r14
                                           ENUMERATED { rf32, rf128, rf256, rf512, rf1024,
                                                   rf2048, rf4096, rf8192, rf16384, rf32768,
                                                   rf65536, rf131072, rf262144, rf524288,
                                                   rf1048576, spare1},
    sc-mcch-SchedulingInfo-r14
                                           SC-MCCH-SchedulingInfo-NB-r14
                                                                               OPTIONAL,
                                                                                           -- Need
OP
    lateNonCriticalExtension
                                           OCTET STRING
                                                                               OPTIONAL,
NPDCCH-SC-MCCH-Config-NB-r14 ::= SEQUENCE {
                                           ENUMERATED {r1, r2, r4, r8, r16,
    npdcch-NumRepetitions-SC-MCCH-r14
                                                       r32, r64, r128, r256,
                                                       r512, r1024, r2048},
```

```
ENUMERATED {vldot5, v2, v4, v8,
   npdcch-StartSF-SC-MCCH-r14
                                               v16, v32, v48, v64},
ENUMERATED {zero, oneEighth, oneQuarter,
   npdcch-Offset-SC-MCCH-r14
                                                             threeEighth, oneHalf, fiveEighth, threeQuarter, sevenEighth}
}
SC-MCCH-SchedulingInfo-NB-r14::=
                                     SEQUENCE
                                                    ENUMERATED {
    onDurationTimerSCPTM-r14
                                                        pp1, pp2, pp3, pp4, pp8, pp16, pp32, spare},
    drx-InactivityTimerSCPTM-r14
                                                    ENUMERATED {
                                                        pp0, pp1, pp2, pp3,
                                                        pp4, pp8, pp16, pp32},
    schedulingPeriodStartOffsetSCPTM-r14
                                                    CHOICE {
                                                       INTEGER(0..9),
        sf10
        sf20
                                                        INTEGER(0..19),
        sf32
                                                        INTEGER(0..31),
        sf40
                                                        INTEGER(0..39),
        sf64
                                                        INTEGER(0..63),
                                                        INTEGER(0..79),
        sf80
                                                        INTEGER(0..127),
        sf128
        sf160
                                                        INTEGER(0..159),
        sf256
                                                        INTEGER(0..255),
                                                        INTEGER(0..319),
        sf320
        sf512
                                                        INTEGER(0..511),
        sf640
                                                        INTEGER(0..639),
        sf1024
                                                        INTEGER(0..1023),
        sf2048
                                                        INTEGER(0..2047),
        sf4096
                                                        INTEGER(0..4095),
        sf8192
                                                        INTEGER(0..8191)
    },
}
-- ASN1STOP
```

# SystemInformationBlockType20-NB field descriptions

#### dl-CarrierConfig

Downlink carrier used for SC-MCCH. E-UTRAN cannot configure a downlink carrier operating in mixed operation mode.

#### dl-CarrierIndex

Index to a downlink carrier signalled in system information. Value '0' corresponds to the anchor carrier, value '1' corresponds to the first entry in *dl-ConfigList* in *SystemInformationBlockType22-NB*, value '2' corresponds to the second entry in *dl-ConfigList* and so on.

## drx-InactivityTimerSCPTM

Timer for SC-MCCH reception in TS 36.321 [6]. Value in number of NPDCCH periods. Value pp1 corresponds to 1 NPDCCH period, pp2 corresponds to 2 NPDCCH periods and so on.

## npdcch-NumRepetitions-SC-MCCH

The maximum number of NPDCCH repetitions the UE needs to monitor for SC-MCCH multicast search space, see TS 36.213 [23].

## npdcch-Offset-SC-MCCH

Fractional period offset of starting subframe for NPDCCH multicast search space for SC-MCCH, see TS 36.213 [23].

## npdcch-StartSF-SC-MCCH

Starting subframes configuration of the NPDCCH multicast search space for SC-MCCH, see TS 36.213 [23].

#### onDurationTimerSCPTM

Timer for SC-MCCH reception in TS 36.321 [6]. Value in number of NPDCCH periods. Value pp1 corresponds to 1 NPDCCH period, pp2 corresponds to 2 NPDCCH periods and so on.

## schedulingPeriodStartOffsetSCPTM

SCPTM-SchedulingCycle and SCPTM-SchedulingOffset in TS 36.321 [6]. The value of SCPTM-SchedulingCycle is in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. The value of SCPTM-SchedulingOffset is in number of sub-frames.

## sc-mcch-CarrierConfig

Downlink carrier that is used for SC-MCCH.

#### sc-mcch-ModificationPeriod

Defines periodically appearing boundaries, i.e. radio frames for which (H-SFN \* 1024 +SFN) mod *sc-mcch-ModificationPeriod* = 0. The contents of different transmissions of SC-MCCH information can only be different if there is at least one such boundary in-between them. Value rf32 corresponds to 32 radio frames, value rf128 corresponds to 128 radio frames and so on.

#### sc-mcch-Offset

Indicates, together with the sc-mcch-RepetitionPeriod, the boundary of the repetition period: (H-SFN \* 1024 +SFN) mod sc-mcch-RepetitionPeriod = sc-mcch-Offset.

## sc-mcch-RepetitionPeriod

Defines the interval between transmissions of SC-MCCH information, in radio frames. Value rf32 corresponds to 32 radio frames, rf128 corresponds to 128 radio frames and so on.

## sc-mcch-SchedulingInfo

DRX information for the SC-MCCH. If the field is absent, DRX is not used for SC-MCCH reception.

# SystemInformationBlockType22-NB

The IE *SystemInformationBlockType22-NB* contains radio resource configuration for paging and random access procedure on non-anchor carriers.

## SystemInformationBlockType22-NB information element

```
-- ASN1START
SystemInformationBlockType22-NB-r14 ::= SEQUENCE {
   dl-ConfigList-r14
                                     DL-ConfigCommonList-NB-r14 OPTIONAL,
                                                                            -- Need OR
                                     UL-ConfigCommonList-NB-r14 OPTIONAL,
                                                                           -- Need OR
-- Cond pcch-config
   ul-ConfigList-r14
   pagingWeightAnchor-r14
                                                                OPTIONAL,
                                     PagingWeight-NB-r14
   nprach-ProbabilityAnchorList-r14 NPRACH-ProbabilityAnchorList-NB-r14 OPTIONAL,
                                                                                    -- Cond
nprach-config
   lateNonCriticalExtension
                                     OCTET STRING
                                                                    OPTIONAL,
   dl-ConfigListMixed-r15
                                         DL-ConfigCommonList-NB-r14 OPTIONAL,
                                                                                -- Cond dl-
ConfigList
           ul-ConfigListMixed-r15
                                         UL-ConfigCommonList-NB-r14 OPTIONAL.
                                                                                -- Cond ul-
ConfigList
           pagingDistribution-r15
nprach-Distribution-r15
                                         ENUMERATED {true}
                                                                    OPTIONAL,
                                                                                -- Need OR
                                         ENUMERATED {true}
                                                                    OPTIONAL
                                                                                -- Need OR
                                                                    OPTIONAL,
                                                                                -- Need OR
       ul-ConfigList-r15
                                     UL-ConfigCommonListTDD-NB-r15 OPTIONAL
                                                                                -- Cond TDD
   11
```

```
}
DL-ConfigCommonList-NB-r14 ::= SEQUENCE (SIZE (1..maxNonAnchorCarriers-NB-r14)) OF
                                           DL-ConfigCommon-NB-r14
UL-ConfigCommonList-NB-r14 ::=
                                  SEQUENCE (SIZE (1..maxNonAnchorCarriers-NB-r14)) OF
                                           UL-ConfigCommon-NB-r14
UL-ConfigCommonListTDD-NB-r15 ::= SEQUENCE (SIZE (1..maxNonAnchorCarriers-NB-r14)) OF
                                           UL-ConfigCommonTDD-NB-r15
DL-ConfigCommon-NB-r14 ::=
                                   SEQUENCE {
   dl-CarrierConfig-r14
                                       DL-CarrierConfigCommon-NB-r14,
   pcch-Config-r14
                                       PCCH-Config-NB-r14 OPTIONAL, -- Need OR
    [[ wus-Config-r15
                                       WUS-ConfigPerCarrier-NB-r15
                                                                      OPTIONAL -- Cond WUS
    11
PCCH-Config-NB-r14 ::=
                                   SEQUENCE {
   npdcch-NumRepetitionPaging-r14
                                    ENUMERATED {
                                           r1, r2, r4, r8, r16, r32, r64, r128,
                                            r256, r512, r1024, r2048,
                                           spare4, spare3, spare2, spare1} OPTIONAL, -- Need OP
    pagingWeight-r14
                                           PagingWeight-NB-r14 DEFAULT w1,
PagingWeight-NB-r14 ::= ENUMERATED {w1, w2, w3, w4, w5, w6, w7, w8,
                                                w9, w10, w11, w12, w13, w14, w15, w16}
UL-ConfigCommon-NB-r14 ::=
                                   SEQUENCE {
                                     CarrierFreq-NB-r13,
NPRACH-ParametersList-NB-r14 OPTIONAL, -- Need OR
   ul-CarrierFreq-r14
    nprach-ParametersList-r14
    [[ nprach-ParametersListEDT-r15 NPRACH-ParametersList-NB-r14
                                                                        OPTIONAL -- Cond EDT
    11
}
UL-ConfigCommonTDD-NB-r15 ::=
                                    SEQUENCE {
    tdd-UL-DL-AlignmentOffset-r15 TDD-UL-DL-AlignmentOffset-NB-r15, nprach-ParametersListTDD-r15 NPRACH-ParametersListTDD-NB-r15 O
                                       NPRACH-ParametersListTDD-NB-r15 OPTIONAL, -- Need OR
NPRACH-ProbabilityAnchorList-NB-r14 ::= SEQUENCE (SIZE (1..maxNPRACH-Resources-NB-r13)) OF
                                               NPRACH-ProbabilityAnchor-NB-r14
NPRACH-ProbabilityAnchor-NB-r14 ::=
                                        SEQUENCE {
   nprach-ProbabilityAnchor-r14
                                           ENUMERATED {
                                               zero, oneSixteenth, oneFifteenth, oneFourteenth,
                                                oneThirteenth, oneTwelfth, oneEleventh, oneTenth,
                                                oneNinth, oneEighth, oneSeventh, oneSixth,
                                                oneFifth, oneFourth, oneThird, oneHalf}
                                                        OPTIONAL
                                                                   -- Need OP
-- ASN1STOP
```

## SystemInformationBlockType22-NB field descriptions

## dl-CarrierConfig

For FDD: Provides the configuration of the DL non-anchor carrier.

For TDD: Provides the configuration of the non-anchor carrier.

## dl-ConfigList, dl-ConfigListMixed

For FDD: List of DL non-anchor carriers and associated configuration that can be used for paging and/or random access. E-UTRAN configures DL non-anchor carriers operating in mixed operation mode only in *dl-ConfigListMixed* and only a UE that supports mixed operation mode uses the carriers in *dl-ConfigListMixed*. A given carrier is either signalled in the *dl-ConfigList* or in *dl-ConfigListMixed*.

If dl-ConfigListMixed is present and at least one of the carriers in dl-ConfigListMixed is configured for paging:

- If pagingDistribution is present, the UE supporting mixed operation mode creates a combined list of DL carriers for paging by appending dl-ConfigListMixed to the dl-ConfigList while maintaining the order among dl-ConfigList and dl-ConfigListMixed; the total number of signalled DL non-anchor carriers cannot be more than maxNonAnchorCarriers-NB-r14.
- If pagingDistribution is absent, the UE supporting mixed operation mode uses the list of DL carriers for paging provided in dl-ConfigListMixed and considers pagingWeightAnchor being set to w0, i.e. the anchor carrier is not used.

Otherwise, the paging Distribution field is not applicable and the UE shall ignore the value.

For TDD: List of non-anchor carriers and associated configuration that can be used for paging and/or random access.

## mixedOperationModeConfig

For FDD: Provides the configuration of DL and UL non-anchor carriers that can be used for paging and random access by a UE that supports mixed operation mode.

For TDD: This parameter is absent.

# npdcch-NumRepetitionPaging

Maximum number of repetitions for NPDCCH common search space (CSS) for paging, see TS 36.213 [23], clause 16.6

If the field is absent, the value of npdcch-NumRepetitionPaging configured in SystemInformationBlockType2-NB in IE pcch-Config applies.

## nprach-Distribution

Indicates which UL carriers a UE supporting mixed operation mode uses for random access as defined in description of *ul-ConfigList, ul-ConfigListMixed*.

## nprach-ParametersList, nprach-ParametersList-EDT

Configure NPRACH parameters for each NPRACH resource on one non-anchor UL carrier. Up to three NPRACH resources can be configured on one non-anchor UL carrier. Each NPRACH resource is associated with a different number of NPRACH repetitions.

NPRACH resources in *nprach-ParametersListEDT* are used to initiate EDT. Each NPRACH resource is associated with a maximum TBS signalled in the corresponding entry of *edt-TBS-InfoList* in *SystemInformationBlockType2-NB*. E-UTRAN includes the same number of entries, and listed in the same order, as in *nprach-ParametersList* in *SystemInformationBlockType2-NB*.

# nprach-ParametersListTDD

For TDD: Configure NPRACH parameters for each NPRACH resource on one non-anchor UL carrier. Up to three NPRACH resources can be configured on one non-anchor UL carrier. Each NPRACH resource is associated with a different number of NPRACH repetitions.

E-UTRAN includes the same number of entries in *nprach-ParametersListTDD*, and listed in the same order, as in *nprach-ParametersListTDD* in *SystemInformationBlockType2-NB*.

## nprach-ProbabilityAnchor

Configure the selection probability for the anchor carrier NPRACH resource, see TS 36.321 [6]. Value zero corresponds to a probability of 0, oneSixteenth corresponds to the probability of 1/16, oneFifteenth corresponds to the probability of 1/15, and so on.

If the field is absent, the selection probability of the anchor carrier NPRACH resource is 1.

All non-anchor carriers NPRACH resources have equal probability between them.

If there is no NPRACH resource defined on the anchor carrier for one repetition level in *nprach-ParametersList-EDT*, (respectively *nprach-ParametersListFmt2*, *nprach-ParametersListFmt2-EDT*), the UE shall use the value 'zero' and ignore the signalled value of *nprach-ProbabilityAnchor* for this repetition level for the NPRACH resources defined by *nprach-ParametersList-EDT* (respectively *nprach-ParametersListFmt2*, *nprach-ParametersListFmt2-EDT*).

# nprach-ProbabilityAnchorList

Configures the selection probability for each NPRACH resource on the anchor carrier.

E-UTRAN includes the same number of entries, and listed in the same order, as in *nprach-ParametersList* in *SystemInformationBlockType2-NB*.

# pagingDistribution

Indicates which DL carriers a UE supporting mixed operation mode monitors for paging as defined in description of *dl-ConfigList, dl-ConfigListMixed*.

# pagingWeight

Weight of the non-anchor paging carrier for uneven paging load distribution across the carriers. Value w1 corresponds to a relative weight of 1, w2 corresponds to a relative weight of 2, and so on.

The paging load for a carrier 'i' is equal to w(i)/W where i is equal to 0 for the anchor carrier and equal to the index of the carrier in the *dl-ConfigList / dl-ConfigListMixed* for a non-anchor carrier, W is the sum of the weights of all paging carriers.

To avoid correlation between paging carrier and paging occasion, the weights should be assigned such that: nB \* W <= 16384.

## pagingWeightAnchor

Weight of the anchor carrier for uneven paging load distribution across the carriers. Value w1 corresponds to a relative weight of 1, w2 corresponds to a relative weight of 2, and so on.

If the field is absent, the (default) value of wo is applied, i.e. the anchor carrier is not used for paging.

## pcch-Config

Configure the PCCH parameters for the non-anchor DL carrier.

## tdd-UL-DL-AlignmentOffset

Indicates the offset between the UL carrier frequency center with respect to DL carrier frequency center for the non-anchor carrier.

## ul-CarrierFreq

For FDD: UL carrier frequency of the non-anchor carrier as defined in TS 36.101 [42], clause 5.7.3F.

For TDD: This field is absent and the uplink carrier frequency is same as the downlink frequency.

## ul-ConfigList, ul-ConfigListMixed

For FDD: List of UL non-anchor carriers and associated configuration that can be used for random access. E-UTRAN configures UL non-anchor carriers operating in mixed operation mode only in *ul-ConfigListMixed* and only a UE that supports mixed operation mode uses the carriers in *ul-ConfigListMixed*. A given carrier is either signalled in the *ul-ConfigListOfigListMixed*.

If *ul-ConfigListMixed* is present and at least one of the carriers in *ul-ConfigListMixed* is configured for random access:

- If *nprach-Distribution* is present, the UE supporting mixed operation mode creates a combined list of UL carriers for random access by appending *ul-ConfigListMixed* to the *ul-ConfigList* while maintaining the order among both *ul-ConfigList* and *ul-ConfigListMixed*; the total number of signalled UL non-anchor carriers cannot be more than *maxNonAnchorCarriers-NB-r14*.
- If nprach-Distribution is absent, the UE supporting mixed operation mode uses the list of UL carriers for random access provided in ul-ConfigListMixed and considers nprach-ProbabiliyAnchor being set to zero for each NPRACH resource, i.e. the anchor carrier is not used for random access.

Otherwise, the *nprach-Distribution* field is not applicable and the UE shall ignore the value.

For TDD: E-UTRAN configures *ul-ConfigList-r15* and includes the same number of entries as in *dl-ConfigList*. The UL carrier frequency of the non-anchor carrier is same as the DL carrier frequency.

# wus-Config

For FDD: Carrier specific WUS Configuration.

Conditional presence	Explanation
dl-ConfigList	This field is optionally present, Need OR, if the field <i>dl-ConfigList</i> is present. Otherwise
_	the field is not present.
EDT	The field is optionally present, Need OR, if edt-Parameters in
	SystemInformationBlockType2-NB is present; otherwise the field is not present and the
	UE shall delete any existing value for this field.
pcch-config	This field is optionally present, Need OP, if the field <i>dl-ConfigList</i> is present and at least
	one of the carriers in <i>dl-ConfigList</i> is configured for paging. Otherwise the field is not
	present and only the anchor carrier is used for paging.
nprach-config	This field is mandatory present, if the field <i>ul-ConfigList</i> is present and at least one of the
	carriers in <i>ul-ConfigList</i> is configured for random access. Otherwise the field is not
	present and only the anchor carrier is used for random access.
TDD	This field is optionally present, Need OR, for TDD. Otherwise the field is not present.
ul-ConfigList	This field is optionally present, Need OR, if the field <i>ul-ConfigList</i> is present. Otherwise
	the field is not present.
WUS	This field is mandatory present, if the field wus-Config is present in
	SystemInformationBlockType2-NB. Otherwise the field is not present, Need OR.

# SystemInformationBlockType23-NB

For FDD, the IE *SystemInformationBlockType23-NB* contains radio resource configuration for NPRACH resources using preamble format 2 on non-anchor carriers.

SystemInformationBlockType23-NB information element

```
-- ASN1START
SystemInformationBlockType23-NB-r15 ::= SEQUENCE {
                                           UL-ConfigCommonList-NB-v1530 OPTIONAL,
OCTET STRING
                                         UL-ConfigCommonList-NB-v1530
    ul-ConfigListMixed-v1530
                                                                                       -- Need OR
                                                                                        -- Need OR
    lateNonCriticalExtension
                                                                            OPTIONAL,
UL-ConfigCommonList-NB-v1530 ::=
                                     SEQUENCE (SIZE (1..maxNonAnchorCarriers-NB-r14)) OF
                                           UL-ConfigCommon-NB-v1530
   ConfigCommon-NB-v1530 ::= SEQUENCE {
nprach-ParametersListFmt2-r15 NPRACH
UL-ConfigCommon-NB-v1530 ::=
                                        NPRACH-ParametersListFmt2-NB-r15 OPTIONAL, -- Need OR
   nprach-ParametersListFmt2EDT-r15
                                           NPRACH-ParametersListFmt2-NB-r15
                                                                                OPTIONAL, -- Cond
EDT
-- ASN1STOP
```

# SystemInformationBlockType23-NB field descriptions

# nprach-ParametersListFmt2, nprach-ParametersListFmt2EDT

Configures NPRACH parameters for each NPRACH resource format 2 on one UL carrier. Up to three NPRACH resources can be configured on one carrier. Each NPRACH resource is associated with a different number of NPRACH repetitions.

The NPRACH resources in *nprach-ParametersListFmt2EDT* are used to initiate EDT. Each NPRACH resource is associated with a TBS signalled in the corresponding entry of *edt-TBS-InfoList*.

E-UTRAN configures the NPRACH resources format 2 so that they do not overlap in time domain with the NPRACH resources configured in *nprach-ParametersList* and *nprach-ParametersListEDT* on the same UL carrier. If there is no NPRACH resource in *nprach-ParametersListFmt2* (respectively *nprach-ParametersListFmt2EDT*) on any UL carrier, including the anchor carrier, for one NPRACH repetition level, the UE uses the NPRACH resources in *nprach-ParametersList* (respectively *nprach-ParametersListEDT*) for this NPRACH repetition level. Otherwise, the UE uses only NPRACH resources in *nprach-ParametersListFmt2* (respectively *nprach-ParametersListFmt2EDT*). If E-UTRAN configures NPRACH resources format 2 in one NPRACH repetition level, the E-UTRAN configures NPRACH repetition levels upwards.

#### ul-ConfigList, ul-ConfigListMixed

*ul-ConfigList* (respectively *ul-ConfigListMixed*) is parallel to *ul-ConfigList* (respectively *ul-ConfigListMixed*) in *SystemInformationBlockType22-NB*.

E-UTRAN includes the same number of entries and in the same order in *ul-ConfigList* (respectively *ul-ConfigListMixed*) in *SystemInformationBlockType23-NB* as in *ul-ConfigList* (respectively *ul-ConfigListMixed*) in *SystemInformationBlockType22-NB*. The UE combines each entry in *ul-ConfigList* (respectively *ul-ConfigListMixed*) in *SystemInformationBlockType23-NB* with the corresponding entry in *ul-ConfigList* (respectively *ul-ConfigListMixed*) in *SystemInformationBlockType22-NB*.

Conditional presence	Explanation
EDT	The field is optionally present, Need OR, if edt-Parameters in
	SystemInformationBlockType2-NB is present; otherwise the field is not present and the
	UE shall delete any existing value for this field.

## 6.7.3.2 NB-IoT Radio resource control information elements

# CarrierConfigDedicated-NB

The IE *CarrierConfigDedicated-NB* is used to specify a carrier in NB-IoT.

# CarrierConfigDedicated-NB information elements

```
downlinkBitmapNonAnchor-r13 CHOICE {
                                           NULL,
       useNoBitmap-r13
       useAnchorBitmap-r13
       explicitBitmapConfiguration-r13
                                            DL-Bitmap-NB-r13,
       spare
                                             NULL
        OPTIONAL, -- Need ON
                                        CHOICE {
   dl-GapNonAnchor-r13
                                        NULL,
       useNoGap-r13
       useAnchorGapConfig-r13
                                             NULL,
       explicitGapConfiguration-r13
                                             DL-GapConfig-NB-r13,
       spare
          OPTIONAL, -- Need ON
       andCarrierInfo-r13
samePCI-Indicator-r13
   inbandCarrierInfo-r13
                                         SEQUENCE {
                                         CHOICE {
                                              SEQUENCE {
          samePCI-r13
             indexToMidPRB-r13
                                                    INTEGER (-55..54)
                                              SEQUENCE {
           differentPCI-r13
             eutra-NumCRS-Ports-r13
                                                    ENUMERATED {same, four}
                                 OPTIONAL, -- Cond anchor-guardband-or-standalone ENUMERATED {n1, n2, n3}
OPTIONAL, -- Cond non-anchor-inband
       eutraControlRegionSize-r13
   :..,
[[ nrs-PowerOffsetNonAnchor-v1330 ENUMERATED {dB-12, dB-10, dB-8, dB-6, dB-4, dB-2, dB0, dB3}
                                OPTIONAL -- Need ON
   ]],
   [[ dl-GapNonAnchor-v1530
                                        DL-GapConfig-NB-v1530 OPTIONAL -- Cond TDD1
   ]],
      dl-CarrierFreq-v1550
                                        CarrierFreq-NB-v1550 OPTIONAL -- Cond TDD1
}
UL-CarrierConfigDedicated-NB-r13 ::= SEQUENCE {
   ul-CarrierFreq-r13 CarrierFreq-NB-r13
                                                    OPTIONAL, -- Need OP
   ...,
[[ tdd-UL-DL-AlignmentOffset-r15 TDD-UL-DL-AlignmentOffset-NB-r15 OPTIONAL
Cond TDD
  ]]
}
-- ASN1STOP
```

## CarrierConfigDedicated-NB field descriptions

#### dl-CarrierConfig

Downlink carrier used for all unicast transmissions.

#### dl-CarrierFreq

DL carrier frequency. The downlink carrier is not in a E-UTRA PRB which contains E-UTRA PSS/SSS/PBCH.

## dl-GapNonAnchor

Downlink transmission gap configuration for the anchor/ non-anchor carrier, see TS 36.211 [21], clause 10.2.3.4. E-UTRAN may configure *dl-GapNonAnchor-v1530* only if *dl-GapNonAnchor-r13* is set to *explicitGapConfiguration*.

#### downlinkBitmapNonAnchor

For FDD: NB-IoT downlink subframe configuration for downlink transmission on the anchor/ non-anchor carrier. See TS 36.213 [23], clause 16.4.

For TDD: NB-IoT downlink, uplink and special subframes configuration for transmission on the anchor/ non-anchor carrier. See TS 36.213 [23], clause 16.4.

## eutraControlRegionSize

Indicates the control region size of the E-UTRA cell for the in-band operation mode, see TS 36.213 [23]. Unit is in number of OFDM symbols. If *operationModeInfo* in MIB-NB is set to *inband-SamePCI* or *inband-DifferentPCI*, it should be set to the value broadcast in SIB1-NB.

#### eutra-NumCRS-Ports

Number of E-UTRA CRS antenna ports, either the same number of ports as NRS or 4 antenna ports. See TS 36.211 [21], TS 36.212 [22], and TS 36.213 [23].

#### inbandCarrierInfo

Provides the configuration of the anchor/ non-anchor inband carrier. If *operationModeInfo* is set to standalone in the MIB-NB, E-UTRAN only configures this field if the UE supports mixed operation mode.

#### indexToMidPRB

The PRB index is signaled by offset from the middle of the EUTRA system.

#### nrs-PowerOffsetNonAnchor

Provides the power offset of the downlink narrowband reference-signal EPRE of the anchor/ non-anchor carrier relative to the anchor carrier, unit in dB. Value dB-12 corresponds to -12 dB, dB-10 corresponds to -10 dB and so on. See TS 36.213 [23], clause16.2.2.

## samePCI-Indicator

This parameter specifies whether the anchor/non-anchor carrier reuses the same PCI as the EUTRA carrier.

## ul-CarrierConfig

Uplink anchor/ non-anchor carrier used for all unicast transmissions.

## ul-CarrierFreq

For FDD: UL carrier frequency as defined in TS 36.101 [42], clause 5.7.3F. If absent, the same TX-RX frequency separation and carrier frequency offset as for the anchor carrier applies.

For TDD: This field is absent and the uplink carrier frequency is equal to the downlink frequency.

Conditional presence	Explanation
non-anchor-inband	The field is mandatory present if the anchor/ non-anchor carrier is an inband carrier;
	otherwise it is not present.
anchor-guardband-or-	The field is mandatory present if operationModeInfo is set to guardband or standalone in
standalone	the MIB; otherwise it is not present.
TDD	The field is mandatory present for TDD; otherwise the field is not present and the UE shall
	delete any existing value for this field.
TDD1	The field is optionally present, Need OR, for TDD; otherwise the field is not present and
	the UE shall delete any existing value for this field.

# - CarrierFreg-NB

The IE CarrierFreq-NB is used to provide the NB-IoT carrier frequency, as defined in TS 36.101 [42].

# CarrierFreq-NB information elements

```
-- ASN1START
CarrierFreq-NB-r13 ::=
                               SEQUENCE {
                                   ARFCN-ValueEUTRA-r9,
    carrierFreg-r13
    carrierFregOffset-r13
                                   ENUMERATED {
                                        v-10, v-9, v-8, v-7, v-6, v-5, v-4, v-3, v-2, v-1, v-0dot5, v0, v1, v2, v3, v4, v5, v6, v7, v8, v9
                                        }
                                           OPTIONAL
                                                         -- Need ON
CarrierFreq-NB-v1550
                                    SEQUENCE {
                        ::=
   carrierFreqOffset-v1550
                                    ENUMERATED {v-8dot5, v-4dot5, v3dot5, v7dot5}
```

```
}
-- ASN1STOP
```

## CarrierFreq-NB field descriptions

#### carrierFreq

Provides the ARFCN applicable for the NB-IoT carrier frequency as defined in TS 36.101 [42], Table 5.7.3-1.

#### carrierFreqOffset

Offset of the NB-IoT channel number to EARFCN as defined in TS 36.101 [42], clause 5.7.3F. Value v-10 means -10, v-9 means -9, and so on. E-UTRAN may configure the values v-8dot5, v-4dot5, v3dot5 and v7dot5 only for a carrier in a TDD band.

For TDD, the UE shall use the value signalled in *carrierFreqOffset-v1550*, if present, and ignore the value signaled in *carrierFreqOffset-r13*.

#### ChannelRasterOffset-NB

The IE *ChannelRasterOffset-NB* is used to specify the NB-IoT offset from LTE channel raster. Unit in kHz in set { -7.5, -2.5, 2.5, 7.5} See TS 36.211[21] and TS 36.213 [23].

## ChannelRasterOffset-NB information element

```
-- ASN1START

ChannelRasterOffset-NB-r13 ::= ENUMERATED {khz-7dot5, khz-2dot5, khz2dot5, khz7dot5}

-- ASN1STOP
```

# – DL-Bitmap-NB

The IE *DL-Bitmap-NB* is used to specify the set of NB-IoT downlink subframes for downlink transmission.

## **DL-Bitmap-NB** information element

```
-- ASN1START

DL-Bitmap-NB-r13 ::= CHOICE {
    subframePattern10-r13 BIT STRING (SIZE (10)),
    subframePattern40-r13 BIT STRING (SIZE (40))
}
```

# **DL-Bitmap-NB** field descriptions

## subframePattern10, subframePattern40

For FDD: NB-IoT downlink subframe configuration over 10ms or 40ms for inband and 10ms for standalone/guardband.

For TDD: NB-IoT downlink, uplink and special subframes configuration over 10ms or 40ms for inband and 10ms for standalone/guardband.

The first/leftmost bit corresponds to the subframe #0 of the radio frame satisfying SFN mod x = 0, where x is the size of the bit string divided by 10. Value 0 in the bitmap indicates that the corresponding subframe is invalid for transmission. Value 1 in the bitmap indicates that the corresponding subframe is valid for transmission.

# DL-CarrierConfigCommon-NB

The IE *DL-CarrierConfigCommon-NB* is used to specify the common configuration of a DL non-anchor carrier in NB-IoT.

## DL-CarrierConfigCommon-NB information elements

```
-- ASN1START
```

```
DL-CarrierConfigCommon-NB-r14 ::= SEQUENCE {
    downlinkBitmapNonAnchor-r14 CHOICE (
        useAnchorBitmap-r14
                                             NULL
        explicitBitmapConfiguration-r14
                                            DL-Bitmap-NB-r13
    dl-GapNonAnchor-r14
                                        CHOICE {
        useNoGap-r14
                                             NULL,
        useAnchorGapConfig-r14
                                             NULL,
        explicitGapConfiguration-r14
                                             DL-GapConfig-NB-r13
        andCarrierInfo-r14
samePCI-Indicator-r14
    inbandCarrierInfo-r14
                                         SEOUENCE {
                                          CHOICE {
                                               SEOUENCE {
                indexToMidPRB-r14
                                                    INTEGER (-55..54)
            differentPCI-r14
                                                 SEQUENCE {
                eutra-NumCRS-Ports-r14
                                                     ENUMERATED {same, four}
                            -- Cond anchor-guardband-or-standalone
           OPTIONAL.
        eutraControlRegionSize-r14
                                            ENUMERATED {n1, n2, n3}
                       -- Cond non-anchor-inband
        OPTIONAL,
    {\tt nrs-PowerOffsetNonAnchor-r14} \qquad \qquad {\tt ENUMERATED} \ \left\{ {\tt dB-12} \,, \,\, {\tt dB-10} \,, \,\, {\tt dB-8} \,, \,\, {\tt dB-6} \,, \right.
                                                     dB-4, dB-2, dB0, dB3} DEFAULT dB0,
                                   DL-GapConfig-NB-v1530 OPTIONAL
    [[ dl-GapNonAnchor-v1530
                                                                              -- Cond TDD
    ]],
        dl-CarrierFreq-v1550
                                       CarrierFreq-NB-v1550 OPTIONAL
                                                                             -- Cond TDD
    1.1
    ]]
-- ASN1STOP
```

#### DL-CarrierConfigCommon-NB field descriptions

## dl-CarrierFreq

DL carrier frequency. The downlink carrier is not in a E-UTRA PRB which contains E-UTRA PSS/SSS/PBCH.

#### dl-GapNonAnchor

Downlink transmission gap configuration for the non-anchor carrier, see TS 36.211 [21], clause 10.2.3.4.

E-UTRAN may configure *dl-GapNonAnchor-v1530* only if *dl-GapNonAnchor-r14* is set to *explicitGapConfiguration*.

## downlinkBitmapNonAnchor

For FDD: NB-IoT downlink subframe configuration for downlink transmission on the non-anchor carrier. See TS 36.213 [23], clause 16.4.

For TDD: NB-IoT downlink, uplink and special subframes configuration for transmission on the anchor/ non-anchor carrier. See TS 36.213 [23], clause 16.4.

# eutraControlRegionSize

Indicates the control region size of the E-UTRA cell for the in-band operation mode, see TS 36.213 [23]. Unit is in number of OFDM symbols. If *operationModeInfo* in MIB-NB is set to *inband-SamePCI* or *inband-DifferentPCI*, it should be set to the value broadcast in SIB1-NB.

#### eutra-NumCRS-Ports

Number of E-UTRA CRS antenna ports, either the same number of ports as NRS or 4 antenna ports. See TS 36.211 [21], TS 36.212 [22], and TS 36.213 [23].

## inbandCarrierInfo

Provides the configuration of a non-anchor inband carrier.

## indexToMidPRB

The PRB index is signaled by offset from the middle of the EUTRA system.

# nrs-PowerOffsetNonAnchor

Provides the downlink narrowband reference-signal EPRE offset of the non-anchor carrier relative to the downlink narrowband reference-signal EPRE of the anchor carrier, unit in dB. Value dB-12 corresponds to -12 dB, dB-10 corresponds to -10 dB and so on. See TS 36.213 [23], clause 16.2.2.

# samePCI-Indicator

This parameter specifies whether the non-anchor carrier reuses the same PCI as the EUTRA carrier.

Conditional presence	Explanation
non-anchor-inband	The field is mandatory present if the non-anchor carrier is an inband carrier; otherwise it is
	not present.
anchor-guardband-or-	The field is mandatory present, if operationModeInfo is set to guardband or standalone in
standalone	the MIB; otherwise it is not present.
TDD	The field is optionally present, Need OR, for TDD; otherwise the field is not present and
	the UE shall delete any existing value for this field.

# DL-GapConfig-NB

The IE *DL-GapConfig-NB* is used to specify the downlink gap configuration for NPDCCH and NPDSCH. Downlink gaps apply to all NPDCCH/NPDSCH transmissions except for BCCH.

# DL-GapConfig-NB information element

## DL-GapConfig-NB field descriptions

## dl-GapDurationCoeff

Coefficient to calculate the gap duration of a DL transmission: dl-GapDurationCoeff \* dl-GapPeriodicity, Duration in number of subframes. See TS 36.211 [21], clause 10.2.3.4.

## dl-GapPeriodicity

Periodicity of a DL transmission gap in number of subframes. See TS 36.211 [21], clause 10.2.3.4.

Value *sf64* corresponds to 64 subframes, value *sf128* corresponds to 128 subframes, value *sf256* corresponds to 256 subframes and so on. E-UTRAN may configure the value *sf64* only in FDD mode and the value *sf1024* only in TDD mode.

The UE shall use the value signalled in *dl-GapPeriodicity-v1530*, if present, and ignore the value signaled in *dl-GapPeriodicity-r13*.

# dl-GapThreshold

Threshold on the maximum number of repetitions configured for NPDCCH before application of DL transmission gap configuration. See TS 36.211 [21], clause 10.2.3.4.

# LogicalChannelConfig-NB

The IE LogicalChannelConfig-NB is used to configure the logical channel parameters.

# LogicalChannelConfig-NB information element

## LogicalChannelConfig-NB field descriptions

#### logicalChannelSR-Prohibit

Value *TRUE* indicates that the *logicalChannelSR-ProhibitTimer* is enabled for the logical channel. If *logicalChannelSR-Prohibit* is configured (i.e. indicates value *TRUE*), E-UTRAN also configures *logicalChannelSR-ProhibitTimer*. See TS 36.321 [6].

#### priority

Logical channel priority in TS 36.321 [6]. Value is an integer.

Conditional presence	Explanation
UL	The field is mandatory present for UL logical channels; otherwise it is not present.

# – MAC-MainConfig-NB

The IE MAC-MainConfig-NB is used to specify the MAC main configuration for signalling and data radio bearers.

## MAC-MainConfig-NB information element

```
-- ASN1START
MAC-MainConfig-NB-r13 ::=
                                   SEOUENCE {
    ul-SCH-Config-r13
                                      SEQUENCE {
       periodicBSR-Timer-r13
                                          PeriodicBSR-Timer-NB-r13
                                                                         OPTIONAL, -- Need ON
       retxBSR-Timer-r13
                                           RetxBSR-Timer-NB-r13
                                                                      OPTIONAL,
                                                                                   -- Need ON
    drx-Config-r13
                                       DRX-Config-NB-r13
                                                                       OPTIONAL,
                                                                                  -- Need ON
    timeAlignmentTimerDedicated-r13
                                       TimeAlignmentTimer,
    logicalChannelSR-Config-r13
                                       CHOICE {
       release
                                           NULL,
                                           SEQUENCE {
        setup
           logicalChannelSR-ProhibitTimer-r13   ENUMERATED {
                                                   pp2, pp8, pp32, pp128, pp512,
                                                   pp1024, pp2048, spare}
        }
    }
                                                                       OPTIONAL, -- Need ON
    [[ rai-Activation-r14
                                               ENUMERATED {true}
                                                                          OPTIONAL, -- Need OR
       dataInactivityTimerConfig-r14 CHOICE {
                                               NULL,
           release
                                               SEQUENCE {
           setup
               dataInactivityTimer-r14
                                                   DataInactivityTimer-r14
        }
                                                                       OPTIONAL
                                                                                   -- Need ON
    ]],
       drx-Cycle-v1430
                                       ENUMERATED {
                                   sf1280, sf2560, sf5120, sf10240}
                                                                       OPTIONAL
                                                                                   -- Need ON
    11,
       ra-CFRA-Config-r14
                                       ENUMERATED {true}
                                                                       OPTIONAL
                                                                                   -- Need ON
    1 1
PeriodicBSR-Timer-NB-r13 ::=
                                   ENUMERATED {
                                       pp2, pp4, pp8, pp16, pp64, pp128, infinity, spare}
RetxBSR-Timer-NB-r13 ::=
                                   ENUMERATED {
                                       pp4, pp16, pp64, pp128, pp256, pp512, infinity, spare}
DRX-Config-NB-r13 ::=
                                   CHOICE {
   release
                                      NULL,
                                       SEQUENCE {
    setup
        onDurationTimer-r13
                                           ENTIMERATED {
                                               pp1, pp2, pp3, pp4, pp8, pp16, pp32, spare},
       drx-InactivityTimer-r13
                                               pp0, pp1, pp2, pp3, pp4, pp8, pp16, pp32},
                                           ENUMERATED
       drx-RetransmissionTimer-r13
                                               pp0, pp1, pp2, pp4, pp6, pp8, pp16, pp24,
                                               pp33, spare7, spare6, spare5,
                                               spare4, spare3, spare2, spare1},
       drx-Cycle-r13
                                           ENUMERATED {
                                               sf256, sf512, sf1024, sf1536, sf2048, sf3072,
                                               sf4096, sf4608, sf6144, sf7680, sf8192, sf9216,
                                               spare4, spare3, spare2, spare1},
       drx-StartOffset-r13
                                           INTEGER (0..255),
```

## MAC-MainConfig-NB field descriptions

## drx-Config

Used to configure DRX as specified in TS 36.321 [6].

#### drx-Cycle

longDRX-Cycle in TS 36.321 [6]. The value of longDRX-Cycle is in number of sub-frames. Value sf256 corresponds to 256 sub-frames, sf512 corresponds to 512 sub-frames and so on. In case drx-Cycle-v1430 is signalled, the UE shall ignore drx-Cycle-r13.

#### drx-StartOffset

drxStartOffset in TS 36.321 [6]. Value is in number of sub-frames by step of (drx-cycle / 256).

# drx-InactivityTimer

Timer for DRX in TS 36.321 [6]. Value in number of PDCCH periods. Value pp0 corresponds to 0 PDCCH period and behaviour as specified in 7.3.2 applies, pp1 corresponds to 1 PDCCH period, pp2 corresponds to 2 PDCCH periods and so on.

#### drx-RetransmissionTimer

Timer for DRX in TS 36.321 [6]. Value in number of PDCCH periods. Value pp0 corresponds to 0 PDCCH period and behaviour as specified in 7.3.2 applies, pp1 corresponds to 1 PDCCH period, pp2 corresponds to 2 PDCCH periods and so on.

#### drx-ULRetransmissionTimer

Timer for DRX in TS 36.321 [6].

Value in number of PDCCH periods. Value pp0 corresponds to 0 PDCCH period and behaviour as specified in 7.3.2 applies, value pp1 corresponds to 1 PDCCH period, pp2 corresponds to 2 PDCCH periods and so on.

## logicalChannelSR-ProhibitTimer

Timer used to delay the transmission of an SR. See TS 36.321 [6]. Value in number of PDCCH periods. Value pp2 corresponds to 2 PDCCH periods, pp8 corresponds to 8 PDCCH periods and so on.

# periodicBSR-Timer

Timer for BSR reporting in TS 36.321 [6].

Value in number of PDCCH periods. Value pp2 corresponds to 2 PDCCH periods, pp4 corresponds to 4 PDCCH periods and so on.

## ra-CFRA-Config

Activation of contention free random access (CFRA), see TS 36.321 [6].

#### rai-Activation

Activation of release assistance indication (RAI) in TS 36.321 [6].

# retxBSR-Timer

Timer for BSR reporting in TS 36.321 [6]. Value in number of PDCCH periods. Value pp4 corresponds to 4 PDCCH periods, pp16 corresponds to 16 PDCCH periods and so on.

#### onDurationTimer

Timer for DRX in TS 36.321 [6]. Value in number of PDCCH periods. Value pp1 corresponds to 1 PDCCH period, pp2 corresponds to 2 PDCCH periods and so on.

## timeAlignmentTimer

Indicates the value of the time alignment timer, see TS 36.321 [6].

# NPDCCH-ConfigDedicated-NB

The IE NPDCCH-ConfigDedicated-NB specifies the subframes and resource blocks for NPDCCH monitoring.

# NPDCCH-ConfigDedicated-NB information element

# NPDCCH-ConfigDedicated-NB field descriptions

#### npdcch-NumRepetitions

Maximum number of repetitions for NPDCCH UE specific search space (USS), see TS 36.213 [23], clause 16.6. UE monitors one set of values (consisting of aggregation level, number of repetitions and number of blind decodes) according to the configured maximum number of repetitions.

## npdcch-Offset-USS

Fractional period offset of starting subframe for NPDCCH UE specific search space (USS), see TS 36.213 [23], clause 16.6.

# npdcch-StartSF-USS

Starting subframe configuration for an NPDCCH UE-specific search space, see TS 36.213 [23], clause 16.6. Value v1dot5 corresponds to 1.5, value 2 corresponds to 2 and so on. E-UTRAN may configure values v1dot5 and v2 only in FDD mode and values v96 and v128 only in TDD mode.

The UE shall use the value signalled in *npdcch-StartSF-USS-v1530*, if present, and ignore the value signalled in *npdcch-StartSF-USS-r13*.

# NPDSCH-ConfigCommon-NB

The IE NPDSCH-ConfigCommon-NB is used to specify the common NPDSCH configuration.

# NPDSCH-ConfigCommon-NB information element

# NPDSCH-ConfigCommon-NB field descriptions

#### nrs-Powei

Provides the downlink narrowband reference-signal EPRE, see TS 36.213 [23], clause 16.2. The actual value in dBm.

# NPRACH-ConfigSIB-NB

The IE NPRACH-ConfigSIB-NB is used to specify the NPRACH configuration for the anchor and non-anchor carriers.

# NPRACH-ConfigSIB-NB information elements

```
-- ASN1START
NPRACH-ConfigSIB-NB-r13 ::=
                                   SEQUENCE {
   nprach-CP-Length-r13
                                      ENUMERATED {us66dot7, us266dot7},
   rsrp-ThresholdsPrachInfoList-r13
                                    RSRP-ThresholdsNPRACH-InfoList-NB-r13 OPTIONAL,
                                                                                          -- Need
OR
                                  NPRACH-ParametersList-NB-r13
   nprach-ParametersList-r13
}
NPRACH-ConfigSIB-NB-v1330 ::=
                                 SEQUENCE {
                                     NPRACH-ParametersList-NB-v1330
   nprach-ParametersList-v1330
NPRACH-ConfigSIB-NB-v1450 ::=
                                   SEQUENCE +
   maxNumPreambleAttemptCE-r14
                                      ENUMERATED {n3, n4, n5, n6, n7, n8, n10, spare1}
NPRACH-ConfigSIB-NB-v1530 ::=
                                   SEQUENCE {
   tdd-Parameters-r15
                                      SEQUENCE {
       nprach-PreambleFormat-r15
                                          ENUMERATED {
```

```
fmt0, fmt1, fmt2, fmt0-a, fmt1-a},
                                             ENUMERATED {
        dummy
                                                 n1, n2, n4, n8, n16, n32, n64, n128,
                                                 n256, n512, n1024},
        nprach-ParametersListTDD-r15
                                             NPRACH-ParametersListTDD-NB-r15
    } OPTIONAL, -- Cond TDD fmt2-Parameters-r15
                                         SEQUENCE {
                                            NPRACH-ParametersListFmt2-NB-r15 OPTIONAL, -- Need OR
        nprach-ParametersListFmt2-r15
        {\tt nprach-ParametersListFmt2EDT-r15}
                                           NPRACH-ParametersListFmt2-NB-r15 OPTIONAL
                                                                                         -- Cond EDT2
        OPTIONAL,
                        -- Need OR
    edt-Parameters-r15
                                         SEQUENCE {
                                            ENUMERATED {true}
        edt-SmallTBS-Subset-r15
                                                                             OPTIONAL.
                                                                                          -- Need OR
        edt-TBS-InfoList-r15
                                             EDT-TBS-InfoList-NB-r15,
        nprach-ParametersListEDT-r15
                                            NPRACH-ParametersList-NB-r14 OPTIONAL
                                                                                          -- Need OR
}
NPRACH-ConfigSIB-NB-v1550 ::=
                                     SEQUENCE {
    tdd-Parameters-v1550
                                        SEQUENCE {
       nprach-ParametersListTDD-v1550
                                            NPRACH-ParametersListTDD-NB-v1550
}
NPRACH-ParametersList-NB-r13 ::= SEQUENCE (SIZE (1..maxNPRACH-Resources-NB-r13)) OF NPRACH-
Parameters-NB-r13
NPRACH-ParametersList-NB-v1330 ::= SEQUENCE (SIZE (1..maxNPRACH-Resources-NB-r13)) OF NPRACH-
Parameters-NB-v1330
NPRACH-Parameters-NB-r13::=
                                    SEQUENCE {
    nprach-Periodicity-r13
                                             ENUMERATED {ms40, ms80, ms160, ms240,
                                                         ms320, ms640, ms1280, ms2560},
    nprach-StartTime-r13
                                             ENUMERATED {ms8, ms16, ms32, ms64,
                                                         ms128, ms256, ms512, ms1024},
    nprach-SubcarrierOffset-r13
                                             ENUMERATED {n0, n12, n24, n36, n2, n18, n34, spare1},
    nprach-NumSubcarriers-r13
                                             ENUMERATED {n12, n24, n36, n48},
    nprach-SubcarrierMSG3-RangeStart-r13
                                            ENUMERATED {zero, oneThird, twoThird, one},
    maxNumPreambleAttemptCE-r13 ENUMERATED {n3, n4, n5, n6, n7, n8, n10, spare1}, numRepetitionsPerPreambleAttempt-r13 ENUMERATED {n1, n2, n4, n8, n16, n32, n64, n128},
    npdcch-NumRepetitions-RA-r13
                                            ENUMERATED {r1, r2, r4, r8, r16, r32, r64, r128,
                                                         r256, r512, r1024, r2048,
                                                         spare4, spare3, spare2, spare1},
    npdcch-StartSF-CSS-RA-r13
                                             ENUMERATED {v1dot5, v2, v4, v8, v16, v32, v48, v64},
    npdcch-Offset-RA-r13
                                             ENUMERATED {zero, oneEighth, oneFourth, threeEighth}
}
NPRACH-Parameters-NB-v1330 ::=
                                   SECUENCE {
   nprach-NumCBRA-StartSubcarriers-r13
                                            ENUMERATED {n8, n10, n11, n12, n20, n22, n23, n24,
                                                         n32, n34, n35, n36, n40, n44, n46, n48}
}
                                        SEQUENCE (SIZE (1..maxNPRACH-Resources-NB-r13)) OF
NPRACH-ParametersList-NB-r14 ::=
                                            NPRACH-Parameters-NB-r14
                                         SEQUENCE {
NPRACH-Parameters-NB-r14 ::=
    nprach-Parameters-r14
                                             SEQUENCE {
        nprach-Periodicity-r14
                                                 ENUMERATED {ms40, ms80, ms160, ms240,
                                                             ms320, ms640, ms1280, ms2560}
                                                     OPTIONAL,
                                                                -- NEED OP
                                                 ENUMERATED {ms8, ms16, ms32, ms64,
        nprach-StartTime-r14
                                                             ms128, ms256, ms512, ms1024}
                                                     OPTIONAL,
                                                                 -- NEED OP
                                                 ENUMERATED {n0, n12, n24, n36, n2, n18, n34, spare1}
        nprach-SubcarrierOffset-r14
                                                     OPTIONAL, -- NEED OP
                                                 ENUMERATED {n12, n24, n36, n48}
        nprach-NumSubcarriers-r14
                                                     OPTIONAL, -- NEED OP
        nprach-SubcarrierMSG3-RangeStart-r14
                                                 ENUMERATED {zero, oneThird, twoThird, one}
                                                     OPTIONAL, -- NEED OP
                                                 ENUMERATED \{r1, r2, r4, r8, r16, r32, r64, r128,
        npdcch-NumRepetitions-RA-r14
                                                             r256, r512, r1024, r2048,
                                                             spare4, spare3, spare2, spare1}
                                                     OPTIONAL,
                                                                 -- NEED OP
                                                 ENUMERATED {vldot5, v2, v4, v8, v16, v32, v48, v64}

OPTIONAL, -- NEED OP
        npdcch-StartSF-CSS-RA-r14
        npdcch-Offset-RA-r14
                                                 ENUMERATED {zero, oneEighth, oneFourth, threeEighth}
                                                     OPTIONAL, -- NEED OP
        nprach-NumCBRA-StartSubcarriers-r14
                                                 ENUMERATED \{n8, n10, n11, n12, n20, n22, n23, n24,
                                                             n32, n34, n35, n36, n40, n44, n46, n48}
```

```
OPTIONAL, -- NEED OP
                                                 INTEGER (1..maxNonAnchorCarriers-NB-r14)
        npdcch-CarrierIndex-r14
                                                     OPTIONAL, -- Need OP
        OPTIONAL -- Need OR
}
NPRACH-ParametersListTDD-NB-r15 ::= SEQUENCE (SIZE (1..maxNPRACH-Resources-NB-r13)) OF
                                             NPRACH-ParametersTDD-NB-r15
NPRACH-ParametersTDD-NB-r15 ::=
                                   SEQUENCE {
    nprach-Parameters-r15
                                             SECUENCE {
                                                 ENUMERATED {ms80, ms160, ms320, ms640,
        nprach-Periodicity-r15
                                                             ms1280, ms2560, ms5120, ms10240}
                                                     OPTIONAL,
                                                                 -- NEED OP
                                                 ENUMERATED {ms10, ms20, ms40, ms80,
        nprach-StartTime-r15
                                                             ms160, ms320, ms640, ms1280,
                                                             ms2560, ms5120, spare6, spare5,
                                                             spare4, spare3, spare2, spare1}
                                                     OPTIONAL,
                                                                -- NEED OP
        nprach-SubcarrierOffset-r15
                                                 ENUMERATED {n0, n12, n24, n36, n2, n18, n34, spare1}
                                                     OPTIONAL, -- NEED OP
        nprach-NumSubcarriers-r15
                                                 ENUMERATED {n12, n24, n36, n48}
                                                    OPTIONAL, -- NEED OP
        nprach-SubcarrierMSG3-RangeStart-r15
                                                 ENUMERATED {zero, oneThird, twoThird, one}
                                                    OPTIONAL, -- NEED OP
        npdcch-NumRepetitions-RA-r15
                                                 ENUMERATED {r1, r2, r4, r8, r16, r32, r64, r128,
                                                             r256, r512, r1024, r2048,
                                                             spare4, spare3, spare2, spare1}
                                                 OPTIONAL, -- NEED OP ENUMERATED \{v4,\ v8,\ v16,\ v32,\ v48,\ v64,\ v96,\ v128\}
        npdcch-StartSF-CSS-RA-r15
                                                         OPTIONAL, -- NEED OP
        npdcch-Offset-RA-r15
                                                 ENUMERATED {zero, oneEighth, oneFourth, threeEighth}
                                                   OPTIONAL, -- NEED OP
                                                 ENUMERATED \{n8, n10, n11, n12, n20, n22, n23, n24,
        nprach-NumCBRA-StartSubcarriers-r15
                                                             n32, n34, n35, n36, n40, n44, n46, n48}
                                                    OPTIONAL, -- NEED OP
                  -- Need OR
        OPTTONAL.
NPRACH-ParametersListTDD-NB-v1550 ::= SEQUENCE (SIZE (1..maxNPRACH-Resources-NB-r13)) OF
                                                NPRACH-ParametersTDD-NB-v1550
\begin{array}{lll} {\tt NPRACH-ParametersTDD-NB-v1550} & ::= & {\tt SEQUENCE} & \\ {\tt maxNumPreambleAttemptCE-v1550} & {\tt EN} \end{array}
                                            ENUMERATED {n3, n4, n5, n6, n7, n8, n10, spare1},
    numRepetitionsPerPreambleAttempt-v1550 ENUMERATED {n1, n2, n4, n8, n16, n32, n64, n128,
                                                              n256, n512, n1024}
NPRACH-ParametersListFmt2-NB-r15 ::= SEQUENCE (SIZE (1..maxNPRACH-Resources-NB-r13)) OF NPRACH-
ParametersFmt2-NB-r15
NPRACH-ParametersFmt2-NB-r15 ::=
                                       SEQUENCE {
   nprach-Parameters-r15
                                             SEQUENCE {
        nprach-Periodicity-r15
                                                 ENUMERATED {ms40, ms80, ms160, ms320,
                                                             ms640, ms1280, ms2560, ms5120}
                                                     OPTIONAL, -- NEED OP
                                                 ENUMERATED {ms8, ms16, ms32, ms64,
        nprach-StartTime-r15
                                                             ms128, ms256, ms512, ms1024}
                                                     OPTIONAL, -- NEED OP
        nprach-SubcarrierOffset-r15
                                                 ENUMERATED {n0, n36, n72, n108, n6, n54, n102, n42,
                                                             n78, n90, n12, n24, n48, n84, n60, n18}
                                                     OPTIONAL,
                                                                -- NEED OP
                                                 ENUMERATED {n36, n72, n108, n144}
        nprach-NumSubcarriers-r15
                                                    OPTIONAL, -- NEED OP
                                                 ENUMERATED {zero, oneThird, twoThird, one}
        nprach-SubcarrierMSG3-RangeStart-r15
                                                    OPTIONAL, -- NEED OP
                                                 ENUMERATED {r1, r2, r4, r8, r16, r32, r64, r128,
        npdcch-NumRepetitions-RA-r15
                                                             r256, r512, r1024, r2048,
                                                             spare4, spare3, spare2, spare1}
                                                    OPTIONAL,
                                                                -- NEED OP
        npdcch-StartSF-CSS-RA-r15
                                                 ENUMERATED \{v1dot5, v2, v4, v8, v16, v32, v48, v64\}
                                                        OPTIONAL, -- NEED OP
        npdcch-Offset-RA-r15
                                                 ENUMERATED {zero, oneEighth, oneFourth, threeEighth}
                                                     OPTIONAL,
                                                                -- NEED OP
        nprach-NumCBRA-StartSubcarriers-r15
                                                 ENUMERATED {
                                                    n24, n30, n33, n36, n60, n66, n69, n72,
```

# NPRACH-ConfigSIB-NB field descriptions

#### dummy

This field is not used in the specification. If received it shall be ignored by the UE.

#### edt-SmallTBS-Enabled

Value TRUE indicates UE performing EDT is allowed to select TBS smaller than *edt-TBS* for Msg3 according to the corresponding NPRACH resource, as specified in TS 36.213 [23].

#### edt-SmallTBS-Subset

Presence indicates only two of the TBS values can be used according to *edt-TBS* corresponding to the NPRACH resource, as specified in TS 36.213 [23]. When the field is not present, any of the TBS values according to *edt-TBS* corresponding to the NPRACH resource can be used. This field is applicable for a NPRACH resource only when *edt-SmallTBS-Enabled* is included for the corresponding NPRACH resource.

## edt-TBS

Largest TBS for Msg3 for a NPRACH resource applicable to a UE performing EDT. Value in bits. Value b328 corresponds to 328 bits, value b408 corresponds to 408 bits and so on. See TS 36.213 [23].

#### maxNumPreambleAttemptCE

Maximum number of preamble transmission attempts per NPRACH resource. See TS 36.321 [6].

If the UE supports enhanced random access power control and maxNumPreambleAttemptCE-r14 is included, the UE shall use maxNumPreambleAttemptCE-r14 instead of maxNumPreambleAttemptCE-r13 for the first entry in nprach-Parameters list.

maxNumPreambleAttemptCE-r13 applies to FDD and maxNumPreambleAttemptCE-v1550 applies to TDD.

## npdcch-CarrierIndex

For FDD: Index of the carrier in the list of DL non anchor carriers. The first entry in the list has index '1', the second entry has index '2' and so on.

If the UE supports mixed operation mode and *dl-ConfigListMixed* is present in *systemInformationBlockType22-NB*, the UE creates a combined list of DL carriers for random access by appending *dl-ConfigListMixed* to the *dl-ConfigList* while maintaining the order among both *dl-ConfigList* and *dl-ConfigListMixed*, only the first *maxNonAnchorCarriers-NB-r14* DL non-anchor carriers in the concatenated list can be used for random access.

If the field is absent in the entry in *nprach-ParametersListEDT* in *SystemInformationBlockType22-NB*, the value of *npdcch-CarrierIndex* in the corresponding entry of *nprach-ParametersList* applies, if present. If the field is absent in an entry in *nprach-ParametersListFmt2EDT* in *SystemInformationBlockType23-NB*, the value of *npdcch-CarrierIndex* in the corresponding entry of *nprach-ParametersListFmt2* applies, if present. Otherwise, the DL anchor carrier is used. For TDD: This parameter is absent and the same carrier is used in uplink and downlink.

# npdcch-NumRepetitions-RA

Maximum number of repetitions for NPDCCH common search space (CSS) for RAR, Msg3 retransmission and Msg4, see TS 36.213 [23], clause 16.6.

See NOTE.

# npdcch-Offset -RA

Fractional period offset of starting subframe for NPDCCH common search space (CSS Type 2), see TS 36.213 [23], clause 16.6.

See NOTE.

# npdcch-StartSF-CSS-RA

Starting subframe configuration for NPDCCH common search space (CSS), including RAR, Msg3 retransmission, and Msg4, see TS 36.213 [23], clause 16.6.

See NOTE.

# nprach-CP-Length

Cyclic prefix length for NPRACH transmission (T<sub>CP</sub>), see TS 36.211 [21], clause 10.1.6. Value us66dot7 corresponds to 66.7 microseconds and value us266dot7 corresponds to 266.7 microseconds. If the UE uses a NPRACH resource for preamble format 2, the UE ignores the value signalled in *nprach-CP-Length* and considers the value to be 800 microseconds.

# nprach-NumCBRA-StartSubcarriers

The number of start subcarriers from which a UE can randomly select a start subcarrier as specified in TS 36.321 [6]. If nprach-Config-v1330 is not included in SystemInformationBlockType2-NB, the UE sets the value of nprach-NumCBRA-StartSubcarriers-r13 to the value signalled by nprach-NumSubcarriers-r13 for the corresponding NPRACH resource.

The start subcarrier indices that the UE is allowed to randomly select from, are given by: nprach-SubcarrierOffset + [0, nprach-NumCBRA-StartSubcarriers - 1].

See NOTE.

# nprach-NumSubcarriers

Number of sub-carriers in a NPRACH resource, see TS 36.211 [21], clause 10.1.6. In number of subcarriers. See NOTE.

# nprach-ParametersList, nprach-ParametersListEDT

Configures NPRACH parameters for each NPRACH resource. Up to three PRACH resources can be configured in *nprach-ParametersList* in a cell. Each NPRACH resource is associated with a different number of NPRACH repetitions.

The NPRACH resources in *nprach-ParametersListEDT* are used to initiate EDT. Each NPRACH resource is associated with a TBS signalled in the corresponding entry of *edt-TBS-InfoList*.

For TDD: The UE shall use nprach-ParametersListTDD and ignore nprach-ParametersList.

## NPRACH-ConfigSIB-NB field descriptions

## nprach-ParametersListTDD

For TDD: Configure NPRACH parameters for each NPRACH. Up to three NPRACH resources can be configured in a cell. Each NPRACH resource is associated with a different number of NPRACH repetitions.

## nprach-ParametersListFmt2, nprach-ParametersListFmt2EDT

Configures NPRACH parameters for each NPRACH resource format 2. Up to three NPRACH resources can be configured on one carrier. Each NPRACH resource is associated with a different number of NPRACH repetitions. The NPRACH resources in *nprach-ParametersListFmt2EDT* are used to initiate EDT. Each NPRACH resource is associated with a TBS signalled in the corresponding entry of *edt-TBS-InfoList*.

E-UTRAN configures the NPRACH resources format 2 so that they do not overlap in time domain with the NPRACH resources configured in *nprach-ParametersList* and *nprach-ParametersListEDT*.

If there is no NPRACH resource in *nprach-ParametersListFmt2* (respectively *nprach-ParametersListFmt2EDT*) on any UL carrier for one NPRACH repetition level, the UE uses the NPRACH resources in *nprach-ParametersList* (respectively *nprach-ParametersListEDT*) for this NPRACH repetition level. Otherwise, the UE uses only NPRACH resources in *nprach-ParametersListFmt2* (respectively *nprach-ParametersListFmt2EDT*).

#### nprach-Periodicity

Periodicity of a NPRACH resource, see TS 36.211 [21], clause10.1.6. Unit in millisecond. See NOTE.

## nprach-PreambleFormat

TDD: TDD preamble format, see TS 36.211 [21]. clause 10.1.6,

Value fmt0 corresponds to preamble format 0, value fmt1 corresponds to preamble format 1 and so on.

#### nprach-StartTime

Start time of the NPRACH resource in one period, see TS 36.211 [21], clause 10.1.6. Unit in millisecond. See NOTE.

#### nprach-SubcarrierOffset

Frequency location of the NPRACH resource, see TS 36.211 [21], clause 10.1.6. In number of subcarriers, offset from sub-carrier 0.

See NOTE.

## nprach-SubcarrierMSG3-RangeStart

Fraction for calculating the starting subcarrier index of the range reserved for indication of UE support for multi-tone Msg3 transmission, within the NPRACH resource, see TS 36.211 [21], clause 10.1.6. Multi-tone Msg3 transmission is not supported for {32, 64, 128} repetitions of NPRACH. For at least one of the NPRACH resources with the number of NPRACH repetitions other than {32, 64, 128}, the value of *nprach-SubcarrierMSG3-RangeStart* should not be 0. If *nprach-SubcarrierMSG3-RangeStart* is equal to zero, no start subcarrier index for the single-tone Msg3 NPRACH is allocated and the start subcarrier indexes for the multi-tone Msg3 NPRACH partition are given by *nprach-SubcarrierOffset* + [0, *nprach-NumCBRA-StartSubcarriers* - 1].

If nprach-SubcarrierMSG3-RangeStart is equal to oneThird or twoThird, the start subcarrier indexes for the two partitions are given by:

nprach-SubcarrierOffset + [0, floor(nprach-NumCBRA-StartSubcarriers \* nprach-SubcarrierMSG3-RangeStart) -1] for the single-tone Msg3 NPRACH partition;

nprach-SubcarrierOffset + [floor(nprach-NumCBRA-StartSubcarriers \* nprach-SubcarrierMSG3-RangeStart), nprach-NumCBRA-StartSubcarriers - 1]

for the multi-tone Msg3 NPRACH partition;

If nprach-SubcarrierMSG3-RangeStart is equal to one, the start subcarrier indexes for the single-tone Msg3 NPRACH are given by nprach-SubcarrierOffset + [0, nprach-NumCBRA-StartSubcarriers - 1] and no start subcarrier index for the multi-tone Msg3 NPRACH partition is allocated. See NOTE.

## numRepetitionsPerPreambleAttempt

Number of NPRACH repetitions per attempt for each NPRACH resource, See TS 36.211 [21], clause 10.1.6. numRepetitionsPerPreambleAttempt-r13 applies to FDD and numRepetitionsPerPreambleAttempt-v1550 applies to TDD.

## rsrp-ThresholdsPrachInfoList

The criterion for UEs to select a NPRACH resource. Up to 2 RSRP threshold values can be signalled. The first element corresponds to RSRP threshold 1, the second element corresponds to RSRP threshold 2. See TS 36.321 [6]. If absent, there is only one NPRACH resource.

A UE that supports *powerClassNB-14dBm-r14* shall correct the RSRP threshold values before applying them as follows:

RSRP threshold = Signalled RSRP threshold -  $min\{0, (14-min(23, P-Max))\}\$  where P-Max is the value of p-Max field in SystemInformationBlockType1-NB.

# NOTE:

- If the field is absent in an entry of nprach-ParametersList in SystemInformationBlockType22-NB, the value of the same field in the corresponding entry of nprach-ParametersList\_in SystemInformationBlockType2-NB applies.

- If the field is absent in the entry in *nprach-ParametersListEDT*, the value of the same field in the corresponding entry of *nprach-ParametersList* on the same UL carrier applies, if present. Otherwise, the value of the same field in the corresponding entry of *nprach-ParametersList* in *SystemInformationBlockType2-NB* applies.
- If the field is absent in an entry of *nprach-ParametersListTDD* in *SystemInformationBlockType22-NB*, the value of the same field in the corresponding entry of *nprach-ParametersListTDD* in *SystemInformationBlockType2-NB* applies. The field is mandatory present in *nprach-ParametersListTDD* in *SystemInformationBlockType2-NB*.
- If the field is absent in an entry of *nprach-ParametersListFmt2* in *SystemInformationBlockType23-NB*, the value of the same field, if present, in the corresponding entry of *nprach-ParametersListFmt2* in *SystemInformationBlockType2-NB* applies. Otherwise the value of the same field, if present, in the corresponding entry of the first occurrence of *nprach-ParametersListFmt2* in the non anchor carrier list applies. Otherwise, the value of the same field in the corresponding entry of *nprach-ParametersList* in *SystemInformationBlockType2-NB* applies.
- If the field is absent in an entry of *nprach-ParametersListFmt2* in *SystemInformationBlockType2-NB*, the value of the same field in the corresponding entry of *nprach-ParametersList* in *SystemInformationBlockType2-NB* applies.
- If the field is absent in an entry of nprach-ParametersListFmt2EDT in SystemInformationBlockType23-NB, the value of the same field, if present, in the corresponding entry of nprach-ParametersListFmt2 on the same UL carrier applies. Otherwise, the value of the same field, if present, in the corresponding entry of nprach-ParametersListFmt2 in SystemInformationBlockType2-NB applies. Otherwise the value of the same field, if present, in the corresponding entry of the first occurence of nprach-ParametersListFmt2 in the non anchor carrier list applies. Otherwise, the value of the same field in the corresponding entry of nprach-ParametersList in SystemInformationBlockType2-NB applies.
- If the field is absent in an entry of *nprach-ParametersListFmt2EDT* in *SystemInformationBlockType2-NB*, the value of the same field, if present, in the corresponding entry of *nprach-ParametersListFmt2* in *SystemInformationBlockType2-NB* applies. Otherwise the value of the same field in the corresponding entry of *nprach-ParametersList* in *SystemInformationBlockType2-NB* applies.

Conditional presence	Explanation
EDT1	The field is mandatory present if cp-EDT or up-EDT in SystemInformationBlockType2-NB
	is present; otherwise the field is not present and the UE shall delete any existing value for
	this field.
EDT2	The field is optionally present, Need OR, if edt-Parameters is present; otherwise the field
	is not present and the UE shall delete any existing value for this field.
TDD	This field is mandatory present for TDD; otherwise the field is not present and the UE
	shall delete any existing value for this field.

# NPUSCH-Config-NB

The IE *NPUSCH-ConfigCommon-NB* is used to specify the common NPUSCH configuration. The IE *NPUSCH-ConfigDedicated-NB* is used to specify the UE specific NPUSCH configuration.

# NPUSCH-Config-NB information element

```
-- ASN1START
NPUSCH-ConfigCommon-NB-r13 ::=
                                    SEQUENCE {
    ack-NACK-NumRepetitions-Msg4-r13
                                        SEQUENCE (SIZE(1..maxNPRACH-Resources-NB-r13)) OF
                                                        ACK-NACK-NumRepetitions-NB-r13,
                                        ENUMERATED {
    srs-SubframeConfig-r13
                                            sc0, sc1, sc2, sc3, sc4, sc5, sc6, sc7,
                                            sc8, sc9, sc10, sc11, sc12, sc13, sc14, sc15
                                                                        OPTIONAL,
                                                                                    -- Need OR
    dmrs-Config-r13
                                        SEQUENCE {
        threeTone-BaseSequence-r13
                                            INTEGER (0..12)
                                                                    OPTIONAL,
                                                                                 -- Need OP
        threeTone-CyclicShift-r13
                                            INTEGER (0..2),
        sixTone-BaseSequence-r13
                                            INTEGER (0..14)
                                                                    OPTIONAL,
                                                                                 -- Need OP
                                            INTEGER (0..3),
        sixTone-CyclicShift-r13
        twelveTone-BaseSequence-r13
                                            INTEGER (0..30)
                                                                    OPTIONAL
                                                                                 -- Need OP
            OPTIONAL,
                        -- Need OR
    ul-ReferenceSignalsNPUSCH-r13
                                        UL-ReferenceSignalsNPUSCH-NB-r13
```

```
SEQUENCE {
UL-ReferenceSignalsNPUSCH-NB-r13 ::=
                                          BOOLEAN,
   groupHoppingEnabled-r13
                                          INTEGER (0..29)
   groupAssignmentNPUSCH-r13
NPUSCH-ConfigDedicated-NB-r13 ::= SEQUENCE {
   ack-NACK-NumRepetitions-r13 ACK-NACK-NumRepetitions-NB-r13 OPTIONAL,
                                                                                 -- Need ON
                                                                     OPTIONAL,
   npusch-AllSymbols-r13
                                      BOOLEAN
                                                                                 -- Cond SRS
   groupHoppingDisabled-r13
                                      ENUMERATED {true}
                                                                     OPTIONAL
                                                                                 -- Need OR
ACK-NACK-NumRepetitions-NB-r13 ::= ENUMERATED {r1, r2, r4, r8, r16, r32, r64, r128}
-- ASN1STOP
```

## NPUSCH-Config-NB field descriptions

## ack-NACK-NumRepetitions

Number of repetitions for the ACK NACK resource unit carrying HARQ response to NPDSCH, see TS 36.213 [23], clause 16.4.2. If this field is absent and no value was configured via dedicated signalling, the value used for reception of Msq4 is used.

#### ack-NACK-NumRepetitions-Msq4

Number of repetitions for ACK/NACK HARQ response to NPDSCH containing Msg4 per NPRACH resource, see TS 36.213 [23], clause 16.4.2.

## groupAssignmentNPUSCH

See TS 36.211 [21], clause 10.1.4.1.3.

#### groupHoppingDisabled

See TS 36.211 [21], clause 10.1.4.1.3.

## groupHoppingEnabled

See TS 36.211 [21], clause 10.1.4.1.3.

## npusch-AllSymbols

If set to TRUE, the UE shall use all NB-IoT symbols for NPUSCH transmission. If set to FALSE, the UE punctures the NPUSCH transmissions in the symbols that collides with SRS. If the field is not present, the UE uses all NB-IoT symbols for NPUSCH transmission. See TS 36.211 [21], clause 10.1.3.6.

## sixTone-BaseSequence

The base sequence of DMRS sequence in a cell for 6 tones transmission; see TS 36.211 [21], clause 10.1.4.1.2. If absent, it is given by NB-IoT CellID mod 14. Value 14 is not used.

# sixTone-CyclicShift

Define 4 cyclic shifts for the 6-tone case, see TS 36.211 [21], clause 10.1.4.1.2.

## srs-SubframeConfig

SRS SubframeConfiguration. See TS 36.211 [21], table 5.5.3.3-1. Value sc0 corresponds to value 0, sc1 to value 1 and so on.

## threeTone-BaseSequence

The base sequence of DMRS sequence in a cell for 3 tones transmission; see TS 36.211 [21], clause 10.1.4.1.2. If absent, it is given by NB-IoT CellID mod 12. Value 12 is not used.

## threeTone-CyclicShift

Define 3 cyclic shifts for the 3-tone case, see TS 36.211 [21], clause 10.1.4.1.2.

#### twelveTone-BaseSequence

The base sequence of DMRS sequence in a cell for 12 tones transmission; see TS 36.211 [21], clause 10.1.4.1.2. If absent, it is given by NB-IoT CellID mod 30. Value 30 is not used.

## ul-ReferenceSignalsNPUSCH

Used to specify parameters needed for the transmission on NPUSCH.

Conditional presence	Explanation
SRS	This field is optionally present, need OP, if srs-SubframeConfig is broadcasted.
	Otherwise, the IE is not present.

# PDCP-Config-NB

The IE PDCP-Config-NB is used to set the configurable PDCP parameters for data radio bearers.

# PDCP-Config-NB information element

```
-- ASN1START
```

```
PDCP-Config-NB-r13 ::=
                           SEQUENCE {
    discardTimer-r13
                               ENUMERATED {
                                   ms5120, ms10240, ms20480, ms40960,
                                   ms81920, infinity, spare2, spare1
                                       OPTIONAL,
                                                          -- Cond Setup
    headerCompression-r13
                              CHOICE {
        notUsed
                                  NULL.
                                   SEQUENCE {
        rohc
           maxCID-r13
                                      INTEGER (1..16383)
                                                                      DEFAULT 15,
           profiles-r13
                                       SEQUENCE {
               profile0x0002
                                          BOOLEAN,
               profile0x0003
                                          BOOLEAN.
               profile0x0004
                                          BOOLEAN.
               profile0x0006
                                          BOOLEAN,
               profile0x0102
                                           BOOLEAN.
               profile0x0103
                                          BOOLEAN,
               profile0x0104
                                          BOOLEAN
            },
            . . .
        }
    },
-- ASN1STOP
```

## PDCP-Config-NB field descriptions

## discardTimer

Indicates the discard timer value specified in TS 36.323 [8]. Value in milliseconds. Value ms5120 means 5120 ms, ms10240 means 10240 ms and so on.

## headerCompression

E-UTRAN does not reconfigure header compression except optionally upon RRC Connection Resumption.

#### mavCID

Indicates the value of the MAX\_CID parameter as specified in TS 36.323 [8]. The total value of MAX\_CIDs across all bearers for the UE should be less than or equal to the value of *maxNumberROHC-ContextSessions* parameter as indicated by the UE.

## profiles

The profiles used by both compressor and decompressor in both UE and E-UTRAN. The field indicates which of the ROHC profiles specified in TS 36.323 [8] are supported, i.e. value *true* indicates that the profile is supported. Profile 0x0000 shall always be supported when the use of ROHC is configured. If support of two ROHC profile identifiers with the same 8 LSB's is signalled, only the profile corresponding to the highest value shall be applied.

Conditional presence	Explanation
Setup	The field is mandatory present in case of radio bearer setup. Otherwise the field is optionally present, need ON.

# PhysicalConfigDedicated-NB

The IE *PhysicalConfigDedicated-NB* is used to specify the UE specific physical channel configuration.

# PhysicalConfigDedicated-NB information element

```
-- ASN1START
PhysicalConfigDedicated-NB-r13 ::= SEQUENCE {
     carrierConfigDedicated-r13 CarrierConfigDedicated-NB-r13 OPTIONAL, npdcch-ConfigDedicated-r13 NPDCCH-ConfigDedicated-NB-r13 OPTIONAL, npusch-ConfigDedicated-r13 NPUSCH-ConfigDedicated-NB-r13 OPTIONAL, uplinkPowerControlDedicated-r13 UplinkPowerControlDedicated-NB-r13 OPTIONAL,
                                                                                                                     -- Need ON
                                                                                                     OPTIONAL,
                                                                                                                     -- Need ON
                                                                                                     OPTIONAL,
                                                                                                                    -- Need ON
                                                                                                                     -- Need ON
     [[ twoHARQ-ProcessesConfig-r14
                                                   ENUMERATED {true}
                                                                                OPTIONAL
                                                                                               -- Need OR
     [[ interferenceRandomisationConfig-r14 ENUMERATED {true} OPTIONAL
                                                                                                     -- Need OR
     ]],
     [[ npdcch-ConfigDedicated-v1530 NPDCCH-ConfigDedicated-NB-v1530
                                                                                                     OPTIONAL
                                                                                                                     -- Cond TDD
     ]],
          additionalTxSIB1-Config-v1540
                                                         ENUMERATED {true}
                                                                                     OPTIONAL
                                                                                                      -- Cond additionalSIB1
```

}
-- ASN1STOP

# PhysicalConfigDedicated-NB field descriptions carrierConfigDedicated Anchor/ non-anchor carrier used for all unicast transmissions. interferenceRandomisationConfig For FDD: Interference randomisation enabled in connected mode, except for random access procedure in connected mode, see TS 36.211 [21]. For random access in connected mode interference randomisation on non-anchor is used and is not used on anchor carrier, see TS 36.211 [21]. For TDD: the parameter is not present. npdcch-ConfigDedicated NPDCCH configuration. npusch-ConfigDedicated UL unicast configuration. twoHARQ-ProcessesConfig Activation of two HARQ processes, see TS 36.212 [22] and TS 36.213 [23]. uplink-PowerControlDedicated UL power control parameter. additionalTxSIB1-Config

Indicates if subframe #3 not containing additional SIB1 transmission is a NB-IoT DL subframe, as specified in TS 36.213 [23], clause 16.4.

Conditional presence	Explanation
TDD	The field is optionally present, Need OR, for TDD; otherwise the field is not present and
	the UE shall delete any existing value for this field.
additionalSIB1	This field is optionally present, Need OR, if additionalTransmissionSIB1 is set to TRUE in
	MasterInformationBlock-NB; otherwise it is not present.

# RACH-ConfigCommon-NB

The IE RACH-ConfigCommon-NB is used to specify the generic random access parameters.

# RACH-ConfigCommon-NB information element

```
-- ASN1START
RACH-ConfigCommon-NB-r13 ::= preambleTransMax-CE-r13
                                   SEQUENCE {
                                  PreambleTransMax,
    powerRampingParameters-r13
                                       PowerRampingParameters,
    rach-InfoList-r13
                                       RACH-InfoList-NB-r13,
    connEstFailOffset-r13
                                       INTEGER (0..15)
                                                                       OPTIONAL, -- Need OP
    [[ powerRampingParameters-v1450 PowerRampingParameters-NB-v1450 OPTIONAL
                                                                                   -- Need OR
    11,
                                       RACH-InfoList-NB-v1530 OPTIONAL -- Cond EDT
    [[ rach-InfoList-v1530
    ]]
RACH-InfoList-NB-r13 ::= SEQUENCE (SIZE (1..maxNPRACH-Resources-NB-r13)) OF RACH-Info-NB-r13
RACH-InfoList-NB-v1530 ::= SEQUENCE (SIZE (1..maxNPRACH-Resources-NB-r13)) OF RACH-Info-NB-v1530
                  ::=
                          SEQUENCE {
RACH-Info-NB-r13
                                       ENUMERATED {
   ra-ResponseWindowSize-r13
                                           pp2, pp3, pp4, pp5, pp6, pp7, pp8, pp10},
    mac-ContentionResolutionTimer-r13 ENUMERATED {
                                           pp1, pp2, pp3, pp4, pp8, pp16, pp32, pp64}
}
RACH-Info-NB-v1530 ::=
                           SEQUENCE {
                                       ENUMERATED {
   mac-ContentionResolutionTimer-r15
                                           pp1, pp2, pp3, pp4, pp8, pp16, pp32, pp64}
PowerRampingParameters-NB-v1450 ::=
                                       SEQUENCE {
                                                   ENUMERATED {
  preambleInitialReceivedTargetPower-v1450
```

```
dBm-130, dBm-128, dBm-126, dBm-124, dBm-122,
                                                    dBm-88, dBm-86, dBm-84, dBm-82, dBm-80}
                                                    OPTIONAL,
                                                                -- Need OR
                                                SEQUENCE {
   powerRampingParametersCE1-r14
       powerRampingStepCE1-r14
                                                    ENUMERATED {dB0, dB2, dB4, dB6},
       preambleInitialReceivedTargetPowerCE1-r14
                                                    ENUMERATED {
                                                    dBm-130, dBm-128, dBm-126, dBm-124, dBm-122,
                                                    dBm-120, dBm-118, dBm-116, dBm-114, dBm-112,
                                                    dBm-110, dBm-108, dBm-106, dBm-104, dBm-102,
                                                    dBm-100, dBm-98, dBm-96, dBm-94, dBm-92,
                                                    dBm-90, dBm-88, dBm-86, dBm-84, dBm-82, dBm-80}
   } OPTIONAL -- Need OR
-- ASN1STOP
```

#### RACH-ConfigCommon-NB field descriptions

#### connEstFailOffset

Parameter "Qoffset<sub>temp</sub>" in TS 36.304 [4]. If the field is not present the value of infinity shall be used for "Qoffset<sub>temp</sub>".

#### mac-ContentionResolutionTimer

Timer for contention resolution in TS 36.321 [6]. Value in PDCCH periods. Value pp1 corresponds to 1 PDCCH period, pp2 corresponds to 2 PDCCH periods and so on. *mac-ContentionResolutionTimer-r15* is only applicable for EDT. UE performing EDT shall use *mac-ContentionResolutionTimer-r15*, if present.

For FDD: The value considered by the UE is: *mac-ContentionResolutionTimer* = Min (signaled value x PDCCH period, 10.24s).

For TDD: The value considered by the UE is: *mac-ContentionResolutionTimer* = Min (signaled value x PDCCH period, 20.48s).

# powerRampingParameters, powerRampingParametersCE1

Power ramping step and preamble initial received target power – same as TS 36.213 [23] and TS 36.321 [6]. For FDD, if the UE does not support enhanced random access power control and more than one repetition level is configured in the cell, then the UE transmits NPRACH with max power except for the lowest repetition level. Otherwise, the UE uses NPRACH power ramping.

For FDD, if the UE supports enhanced random access power control and *powerRampingParameters-v1450* is signalled, or for TDD, the UE uses NPRACH power ramping across repetition levels as specified in TS 36.321 [6]. If *preambleInitialReceivedTargetPower-v1450* is present, the UE shall use *preambleInitialReceivedTargetPower-v1450* instead of *preambleInitialReceivedTargetPower* (i.e. without suffix). If *powerRampingParametersCE1* is present, the UE shall use *powerRampingParametersCE1* instead of *powerRampingParameters* for NPRACH power ramping in the second repetition level.

# preambleTransMax-CE

Maximum number of preamble transmission in TS 36.321 [6]. Value is an integer.

# ra-ResponseWindowSize

Duration of the RA response window in TS 36.321 [6]. Value in PDCCH periods. Value pp2 corresponds to 2 PDDCH periods, pp3 corresponds to 3 PDCCH periods and so on.

For FDD: The value considered by the UE is: *ra-ResponseWindowSize* = Min (signaled value x PDCCH period, 10.24s).

For TDD: The value considered by the UE is: *ra-ResponseWindowSize* = Min (signaled value x PDCCH period, 20.48s).

Conditional presence	Explanation	
EDT	The field is optionally present, Need OR, if edt-Parameters is present; otherwise the field	
	is not present and the UE shall delete any existing value for this field.	

# RadioResourceConfigCommonSIB-NB

The IE *RadioResourceConfigCommonSIB-NB* is used to specify common radio resource configurations in the system information, e.g., the random access parameters and the static physical layer parameters.

#### RadioResourceConfigCommonSIB-NB information element

```
NPUSCH-ConfigCommon-NB-r13,
    npusch-ConfigCommon-r13
                                                                         OPTIONAL,
    dl-Gap-r13
                                             DL-GapConfig-NB-r13
                                                                                          -- Need OP
    uplinkPowerControlCommon-r13
                                             UplinkPowerControlCommon-NB-r13,
       nprach-Config-v1330
                                             NPRACH-ConfigSIB-NB-v1330
                                                                         OPTIONAL
                                                                                          -- Need OR
    ]],
[[ nprach-Config-v1450
                                            NPRACH-ConfigSIB-NB-v1450 OPTIONAL
                                                                                          -- Cond
EnhPowerControl
    ]],
    [[ nprach-Config-v1530
                                             NPRACH-ConfigSIB-NB-v1530 OPTIONAL,
                                                                                      -- Need OR
                                            DL-GapConfig-NB-v1530
        dl-Gap-v1530
                                                                         OPTIONAL,
                                                                                      -- Cond TDD
        wus-Config-r15
                                            WUS-Config-NB-r15
                                                                        OPTIONAL
                                                                                      -- Need OR
    ]],
    [[ nprach-Config-v1550
                                            NPRACH-ConfigSIB-NB-v1550 OPTIONAL
                                                                                      -- Cond TDD1
}
BCCH-Config-NB-r13 ::=
                                         SEQUENCE {
   modificationPeriodCoeff-r13
                                            ENUMERATED {n16, n32, n64, n128}
                                        SEQUENCE {
PCCH-Config-NB-r13 ::=
    defaultPagingCycle-r13
                                             ENUMERATED {rf128, rf256, rf512, rf1024},
   nB-r13
                                             ENUMERATED
                                                fourT, twoT, oneT, halfT, quarterT, one8thT,
one16thT, one32ndT, one64thT,
                                                 one128thT, one256thT, one512thT, one1024thT,
                                                 spare3, spare2, spare1},
    npdcch-NumRepetitionPaging-r13
                                             ENUMERATED {
                                                 r1, r2, r4, r8, r16, r32, r64, r128,
                                                 r256, r512, r1024, r2048,
                                                 spare4, spare3, spare2, spare1}
-- ASN1STOP
```

#### RadioResourceConfigCommonSIB-NB field descriptions

#### defaultPagingCycle

Default paging cycle, used to derive 'T' in TS 36.304 [4]. Value rf128 corresponds to 128 radio frames, rf256 corresponds to 256 radio frames and so on.

#### dl-Gap

Downlink transmission gap configuration for the anchor carrier. See TS 36.211 [21], clause 10.2.3.4. If the field is absent, there is no gap.

#### modificationPeriodCoeff

Actual modification period, expressed in number of radio frames= *modificationPeriodCoeff\* defaultPagingCycle*. n16 corresponds to value 16, n32 corresponds to value 32, and so on. The BCCH modification period should be larger or equal to 40.96s.

#### nВ

Parameter: nB is used as one of parameters to derive the Paging Frame and Paging Occasion according to TS 36.304 [4]. Value in multiples of 'T' as defined in TS 36.304 [4]. A value of fourT corresponds to 4 \* T, a value of twoT corresponds to 2 \* T and so on.

#### npdcch-NumRepetitionPaging

Maximum number of repetitions for NPDCCH common search space (CSS) for paging, see TS 36.213 [23], clause 16.6.

# wus-Config

For FDD: WUS Configuration.

Conditional presence	Explanation	
EnhPowerControl	This field is optional present, Need OR, if PowerRampingParameters-NB-v1450 is	
	included in SIB2-NB. Otherwise the field is not present.	
TDD	The field is optionally present, Need OR, for TDD; otherwise the field is not present and	
	the UE shall delete any existing value for this field.	
TDD1	The field is mandatory present for TDD; otherwise the field is not present and the UE shall	
	delete any existing value for this field.	

# RadioResourceConfigDedicated-NB

The IE *RadioResourceConfigDedicated-NB* is used to setup/modify/release RBs, to modify the MAC main configuration, and to modify dedicated physical configuration.

#### RadioResourceConfigDedicated-NB information element

```
-- ASN1START
RadioResourceConfigDedicated-NB-r13 ::= SEQUENCE {
                                            SRB-ToAddModList-NB-r13
DRB-ToAddModList-NB-r13
                                                                             OPTIONAL,
    srb-ToAddModList-r13
                                                                                         -- Need ON
                                                                                         -- Need ON
    drb-ToAddModList-r13
                                                                             OPTIONAL,
                                            DRB-ToReleaseList-NB-r13
    drb-ToReleaseList-r13
                                                                            OPTIONAL,
    mac-MainConfig-r13
                                            CHOICE {
                                                MAC-MainConfig-NB-r13,
        explicitValue-r13
        defaultValue-r13
                                                NULL
                                                                             OPTIONAL,
   physicalConfigDedicated-r13 PhysicalConfigDedicated-NB-r13 OPTIONAL, rlf-TimersAndConstants-r13 RLF-TimersAndConstants-NB-r13 OPTIONAL,
                                                                                          -- Need ON
                                                                                         -- Need ON
       schedulingRequestConfig-r15 SchedulingRequestConfig-NB-r15 OPTIONAL
                                                                                         -- Need ON
}
SRB-ToAddModList-NB-r13 ::=
                                    SEQUENCE (SIZE (1)) OF SRB-ToAddMod-NB-r13
SRB-ToAddMod-NB-r13 ::=
                                    SEQUENCE {
    rlc-Config-r13
                                        CHOICE {
                                            RLC-Config-NB-r13,
        explicitValue
        defaultValue
                                            NULL
           OPTIONAL,
                                                                                  -- Cond Setup
    logicalChannelConfig-r13
                                        CHOICE {
                                            LogicalChannelConfig-NB-r13,
        explicitValue
        defaultValue
                                            NULL
            OPTIONAL,
    }
                                                                                  -- Cond Setup
    [[ rlc-Config-v1430
                                        RLC-Config-NB-v1430
                                                                    OPTIONAL
                                                                                 -- Need ON
}
DRB-ToAddModList-NB-r13 ::=
                                    SEQUENCE (SIZE (1..maxDRB-NB-r13)) OF DRB-ToAddMod-NB-r13
DRB-ToAddMod-NB-r13 ::=
                                    SEQUENCE {
                                       INTEGER (0..15)
    eps-BearerIdentity-r13
                                                                    OPTIONAL,
                                                                                 -- Cond DRB-Setup
    drb-Identity-r13
                                        DRB-Identity,
    pdcp-Config-r13
                                        RLC-Config-NB-r13
INTEGER (3..10)
                                        PDCP-Config-NB-r13
                                                                    OPTIONAL,
                                                                                 -- Cond Setup
                                                                     OPTIONAL,
    rlc-Config-r13
                                                                                 -- Cond Setup
                                                                     OPTIONAL,
    logicalChannelIdentity-r13
                                                                                 -- Cond DRB-Setup
    logicalChannelConfig-r13
                                        LogicalChannelConfig-NB-r13 OPTIONAL,
                                                                                 -- Cond Setup
    [[ rlc-Config-v1430
                                                                    OPTIONAL
                                       RLC-Config-NB-v1430
                                                                                 -- Need ON
}
DRB-ToReleaseList-NB-r13 ::=
                                   SEQUENCE (SIZE (1..maxDRB-NB-r13)) OF DRB-Identity
-- ASN1STOP
```

#### RadioResourceConfigDedicated-NB field descriptions

#### logicalChannelConfig

For SRB a choice is used to indicate whether the logical channel configuration is signalled explicitly or set to the default logical channel configuration for SRB1 as specified in 9.2.1.1.

## logicalChannelIdentity

The logical channel identity for both UL and DL for a DRB. Value 3 is not used.

#### mac-MainConfig

The default MAC MAIN configuration is specified in 9.2.2.

#### physicalConfigDedicated

The default dedicated physical configuration is specified in 9.2.4.

#### rlc-Confid

For SRBs a choice is used to indicate whether the RLC configuration is signalled explicitly or set to the values defined in the default RLC configuration for SRB1 in 9.2.1.1. RLC AM is the only applicable RLC mode for SRB1 and SRB1bis.

#### schedulingRequestConfig

For FDD: Scheduling request configuration.

Conditional presence	Explanation
DRB-Setup	The field is mandatory present if the corresponding DRB is being set up; otherwise it is
	not present.
Setup	The field is mandatory present if the corresponding SRB/DRB is being setup; otherwise
	the field is optionally present, need ON.

# RLC-Config-NB

The IE RLC-Config-NB is used to specify the RLC configuration of SRBs and DRBs.

#### RLC-Config-NB information element

```
-- ASN1START
RLC-Config-NB-r13 ::= CHOICE {
       ul-AM-RLC-r13 SEQUENCE {
dl-AM-RLC-r13
                                     UL-AM-RLC-NB-r13,
                                    DL-AM-RLC-NB-r13
    },
    um-Bi-Directional-r15
                                NULL,
    um-Uni-Directional-UL-r15
    um-Uni-Directional-DL-r15 NULL
RLC-Config-NB-v1430 ::= SEQUENCE {
    t-Reordering-r14 T-Reordering
                                                   OPTIONAL
                                                                    -- Cond twoHARQ
   t-PollRetransmit-r13 T-Poll
maxRetxThroab
UL-AM-RLC-NB-r13 ::=
   T-PollRetransmit-NB-r13, maxRetxThreshold-r13 ENUMERATED 5+1
                                ENUMERATED {t1, t2, t3, t4, t6, t8, t16, t32}
DL-AM-RLC-NB-r13 ::= SEQUENCE {
                                   ENUMERATED {true} OPTIONAL
    enableStatusReportSN-Gap-r13
T-PollRetransmit-NB-r13 ::= ENUMERATED {
                                ms250, ms500, ms1000, ms2000, ms3000, ms4000, ms6000, ms10000, ms15000, ms25000, ms40000, ms60000,
                                ms90000, ms120000, ms180000, ms300000-v1530}
-- ASN1STOP
```

#### RLC-Config-NB field descriptions

#### enableStatusReportSN-Gap

Indicates that status reporting due to detection of reception failure is enabled, as specified in TS 36.322 [7].

#### maxRetxThreshold

Parameter for RLC AM in TS 36.322 [7]. Value t1 corresponds to 1 retransmission, t2 to 2 retransmissions and so on.

#### t-PollRetransmit

Timer for RLC AM in TS 36.322 [7], in milliseconds. Value msX means X ms, msY means Y ms and so on.

E-UTRAN may configure the value msX-v1530 (with suffix) only in TDD mode.

#### t-Reordering

Timer for reordering in TS 36.322 [7], in milliseconds.

Conditional presence	Explanation		
twoHARQ	The field is mandatory present if <i>twoHARQ-ProcessesConfig</i> is set to TRUE. Otherwise,		
	the field is not present and, if previously configured, the timer is released.		

#### RLF-TimersAndConstants-NB

The IE *RLF-TimersAndConstants-NB* contains UE specific timers and constants applicable for UEs in RRC\_CONNECTED.

#### RLF-TimersAndConstants-NB information element

```
-- ASN1START
RLF-TimersAndConstants-NB-r13 ::=
                                    CHOICE {
    setup
                                        SEQUENCE {
                                            ENUMERATED {
        t301-r13
                                                ms2500, ms4000, ms6000, ms10000,
                                                ms15000, ms25000, ms40000, ms60000},
        t310-r13
                                               ms0, ms200, ms500, ms1000, ms2000, ms4000, ms8000},
                                            ENUMERATED {
        n310-r13
                                                n1, n2, n3, n4, n6, n8, n10, n20},
        t311-r13
                                            ENUMERATED {
                                                ms1000, ms3000, ms5000, ms10000, ms15000,
                                                ms20000, ms30000},
        n311-r13
                                            ENUMERATED {
                                                n1, n2, n3, n4, n5, n6, n8, n10},
                                            ENUMERATED {
        [[ t311-v1350
                                                ms40000, ms60000, ms90000, ms120000}
                                                        OPTIONAL
                                                                   -- Need OR
        [[ t301-v1530
                                            ENUMERATED {
                                                ms80000, ms100000, ms120000}
                                                         OPTIONAL, -- Cond TDD
            t311-v1530
                                            ENUMERATED {
                                                ms160000, ms200000}
                                                                    -- Cond TDD
                                                        OPTIONAL
        ]]
-- ASN1STOP
```

# RLF-TimersAndConstants-NB field descriptions

#### n3xy

Constants are described in clause 7.4. n1 corresponds with 1, n2 corresponds with 2 and so on.

#### t3xv

Timers are described in clause 7.3. Value ms0 corresponds with 0 ms, ms200 corresponds with 200 ms and so on. The UE shall use the extended values *t311-v1350*, *t301-v1530* and *t311-v1530*, if present, and ignore the value signaled by *t311-r13*, *t301-r13* and *t311-r13* respectively.

Conditional presence	Explanation	
TDD	The field is optionally present, Need OR, in TDD mode. Otherwise, the field is not	
	present.	

# SchedulingRequestConfig-NB

The IE Scheduling Request Config-NB is used to specify the Scheduling Request related parameters.

#### SchedulingRequestConfig-NB information element

```
-- ASN1START
SchedulingRequestConfig-NB-r15 ::= SEQUENCE {
   sr-WithHARQ-ACK-Config-r15 ENUMERATED {true} OPTIONAL, sr-WithoutHARQ-ACK-Config-r15 SR-WithoutHARQ-ACK-Config-NB-r15 OPTIONAL,
                                                                                            -- Need
ON
    sr-SPS-BSR-Config-r15
                                      SR-SPS-BSR-Config-NB-r15
                                                                           OPTIONAL, -- Need ON
SR-WithoutHARQ-ACK-Config-NB-r15 ::= CHOICE {
   release
                                        SEQUENCE {
       sr-ProhibitTimer-r15
                                            INTEGER (0..7) OPTIONAL,
                                                                        -- Need ON
       sr-NPRACH-Resource-r15
                                            SR-NPRACH-Resource-NB-r15 OPTIONAL -- Need ON
}
SR-NPRACH-Resource-NB-r15
                            ::= SEQUENCE {
                                INTEGER (0..maxNonAnchorCarriers-NB-r14),
   nprach-CarrierIndex-r15
   nprach-ResourceIndex-r15
                                        INTEGER (1..maxNPRACH-Resources-NB-r13),
   nprach-SubCarrierIndex-r15
                                        CHOICE {
       nprach-Fmt0Fmt1-r15
                                            INTEGER (0..47),
       nprach-Fmt2-r15
                                            INTEGER (0..143)
    p0-SR-r15
                                        INTEGER (-126..24),
                                        ENUMERATED {al0, al04, al05, al06, al07, al08, al09, al1}}
    alpha-r15
SR-SPS-BSR-Config-NB-r15
                         ::= CHOICE {
                                       NULL,
   release
                                        SEQUENCE {
    setup
       semiPersistSchedC-RNTI-r15
                                           C-RNTI,
       semiPersistSchedIntervalUL-r15
                                           ENUMERATED {sf128, sf256, sf512, sf1024,
                                                        sf1280, sf2048, sf2560, sf5120}
-- ASN1STOP
```

#### SchedulingRequestConfig-NB field descriptions

#### alpha

Parameter:  $\alpha_c$ . Fractional power control parameter for SR without HARQ-ACK. See TS 36.213 [23], clause 16.2.1.2.1, where value *al0* corresponds to 0, value *al04* corresponds to 0.4, value *al05* to 0.5, value *al06* to 0.6, value *al07* to 0.7, value *al08* to 0.8, value *al09* to 0.9 and value *al1* corresponds to 1.

#### nprach-CarrierIndex

Index of the carrier in the list of UL non anchor carriers in *SystemInformationBlockType22-NB*. The first entry in the list has index '1', the second entry has index '2' and so on. Value '0' indicates the anchor carrier.

#### nprach-ResourceIndex

Index of the NPRACH resource in the list of NPRACH resources in *NPRACH-ParametersList* or *NPRACH-ParametersList-Fmt2* for the UL carrier indicated by *nprach-CarrierIndex*. The first entry in the list has index '1', the second entry has index '2' and so on.

E-UTRAN configures a NPRACH resource in *NPRACH-ParametersList-Fmt2* only to UEs that have reported support of NPRACH resource Format2.

#### nprach-SubCarrierIndex

Index of the subcarrier in the NPRACH resource in NPRACH-ParametersList or or NPRACH-ParametersList-Fmt2 for the indicated UL carrier.

E-UTRAN does not configure *nprach-SubcarrierIndex* to a smaller value than *nprach-SubcarrierOffset* + *nprach-NumCBRA-StartSubcarriers* for the indicated NPRACH resource.

#### p0-SR

Parameter:  $P_{O\_SR,c}$  . Target power for SR without HARQ-ACK. See TS 36.213 [23], clause 16.2.1.2.1, unit dBm.

# semiPersistSchedC-RNTI

Semi-persistent Scheduling C-RNTI, see TS 36.321 [6].

#### semiPersistSchedIntervalUL

Semi-persistent scheduling interval in uplink, see TS 36.321 [6]. Value in number of sub-frames. Value *sf128* corresponds to 128 sub-frames, value *sf256* corresponds to 256 sub-frames and so on.

#### sr-SPS-BSR-Config

Activation of SR with SPS BSR, see TS 36,321 [6].

E-UTRAN cannot configure sr-SPS-BSR together with sr-WithoutHARQ-ACK-Config.

#### sr-NPRACH-Resource

NPRACH resource for physical layer SR without HARQ-ACK, see TS 36.211 [21] and TS 36.213 [23].

#### sr-ProhibitTimer

Timer for SR transmission on the NPRACH resource for SR in TS 36.321 [6]. Value in number of SR period, where the SR period is equal to the field *nprach-Periodicity* of the NPRACH resource. Value 0 means that behaviour as specified in 7.3.2 applies. Value 1 corresponds to one SR period, Value 2 corresponds to 2\*SR period and so on.

#### sr-WithHARQ-ACK-Config

Activation of physical layer SR with HARQ ACK, see TS 36.213 [23].

# sr-WithoutHARQ-ACK-Config

Activation of physical layer SR without HARQ ACK, see TS 36.211 [21] and TS 36.213 [23].

E-UTRAN cannot configure sr-WithoutHARQ-ACK-Config together with sr-SPS-BSR.

# - TDD-Config-NB

The IE TDD-Config-NB is used to specify the TDD specific physical channel configuration.

# TDD-Config information element

```
-- ASN1START

TDD-Config-NB-r15 ::= SEQUENCE {
    subframeAssignment-r15 ENUMERATED {
        sal, sa2, sa3, sa4, sa5},
        ENUMERATED {
            ssp0, ssp1, ssp2, ssp3, ssp4, ssp5, ssp6, ssp7,
            ssp8, ssp9, ssp10, ssp10-CRS-LessDwPTS}
}

-- ASN1STOP
```

#### TDD-Config field descriptions

#### specialSubframePatterns

Indicates Configuration as in TS 36.211 [21], table 4.2-1 where ssp0 points to Configuration 0, ssp1 to Configuration 1 etc. Value ssp10-CRS-LessDwPTS corresponds to ssp10 without CRS transmission on the 5th symbol of DwPTS.

#### subframeAssignment

Indicates DL/UL subframe configuration where sa1 points to Configuration1, sa2 to Configuration 2 and so on, as specified in TS 36.211 [21], table 4.2-2.

E-UTRAN configures the same value for serving cells residing on same frequency band.

# TDD-UL-DL-AlignmentOffset-NB

The IE *TDD-UL-DL-AlignmentOffset-NB* is used to specify the offset between the UL carrier frequency center with respect to DL carrier frequency center. This information should be used to calculate the Mul value, see TS 36.101 [42].

# TDD-UL-DL-AlignmentOffset-NB information element

```
-- ASN1START

TDD-UL-DL-AlignmentOffset-NB-r15 ::= ENUMERATED { khz-7dot5, khz0, khz7dot5}

-- ASN1STOP
```

# UplinkPowerControl-NB

The IE *UplinkPowerControlCommon-NB* and IE *UplinkPowerControlDedicated-NB* are used to specify parameters for uplink power control in the system information and in the dedicated signalling, respectively.

# UplinkPowerControl-NB information elements

```
UplinkPowerControl-NB field descriptionsalphaParameter: α_c(1). See TS 36.213 [23], clause 16.2.1.1, where all corresponds to 0, al04 corresponds to value 0.4, al05 to 0.5, al06 to 0.6, al07 to 0.7, al08 to 0.8, al09 to 0.9 and al1 corresponds to 1.deltaPreambleMsg3Parameter: \frac{\Delta_{PREAMBLE\_Msg3}}{\Delta_{PREAMBLE\_Msg3}}. See TS 36.213 [23], clause 16.2.1.1. Actual value = IE value * 2 [dB].p0-NominalNPUSCHParameter: \frac{P_{O\_NOMINAL\_NPUSCH,c}}{\Delta_{O\_UE\_NPUSCH}} (1). See TS 36.213 [23], clause 16.2.1.1, unit dBm.P0-UE-NPUSCHParameter: \frac{P_{O\_UE\_NPUSCH,c}}{\Delta_{O\_UE\_NPUSCH,c}} (1). See TS 36.213 [23], clause 16.2.1.1, unit dB.
```

# WUS-Config-NB

The IE *WUS-Config-NB* is used to specify the WUS configuration. For UEs supporting WUS, E-UTRAN uses WUS to indicate that the UE shall attempt to receive paging in that cell, see TS 36.304 [4].

#### WUS-Config-NB information element

```
-- ASN1START
WUS-Config-NB-r15 ::=
                                                                                                                                          SEQUENCE {
                                                                                                                                            WUS-MaxDurationFactor-NB-r15,
                maxDurationFactor-r15
                                                                                                                                                      ENUMERATED {n1, n2, n4} DEFAULT n1, ENUMERATED {n1, n2, n4, n8},
                 numPOs-r15
                 numDRX-CyclesRelaxed-r15
                                                                                                                                                         ENUMERATED \{ms40, ms80, ms160, ms240\},
                 timeOffsetDRX-r15
                 timeOffset-eDRX-Short-r15
                                                                                                                                                           ENUMERATED {ms40, ms80, ms160, ms240},
                 timeOffset-eDRX-Long-r15
                                                                                                                                                    ENUMERATED {ms1000, ms2000} OPTIONAL,
                                                                                                                                                                                                                                                                                                                                        -- Need OP
}
WUS-ConfigPerCarrier-NB-r15 ::= SEQUENCE {
                 maxDurationFactor-r15
                                                                                                                                                         WUS-MaxDurationFactor-NB-r15
{\tt WUS-MaxDurationFactor-NB-r15} ::= {\tt ENUMERATED} \ \{ {\tt one128th, one64th, one32th, one16th, one16th, one16th, one18th, one1
                                                                                                                                                                                              oneEighth, oneQuarter, oneHalf}
-- ASN1STOP
```

#### WUS-Config-NB field descriptions

#### maxDurationFactor

Maximum WUS duration, expressed as a ratio of Rmax for Type 1-CSS. Value *one128th* means Rmax \* 1/128, value *one64th* means Rmax \* 1/64 and so on.

The value  $L_{\text{NWUS\_max}}$  in TS 36.213 [23] considered by the UE is : maxDuration = Max (signalled value \* Rmax, 1) where Rmax is the value of *npdcch-NumRepetitionPaging* for the carrier.

#### numDRX-CyclesRelaxed

Maximum number of consecutive DRX cycles during which the UE may use WUS for synchronisation and skip serving cell measurements, see TS 36.133 [16]. Value n1 corresponds to 1 DRX cycle, value n2 corresponds to 2 DRX cycles and so on.

#### numPOs

Number of consecutive Paging Occasions (PO) mapped to one Wake Up Signal (WUS), applicable to UEs configured to use extended DRX, see TS 36.304 [4]. Value n1 corresponds to 1 PO and value n2 corresponds to 2 POs and so on.

#### timeOffsetDRX

When DRX is used, non-zero gap from the end of the configured maximum WUS duration to the associated PO, see TS 36.304 [4], clause 7.4 and TS 36.211 [21]. In milliseconds. Value *ms40* corresponds to 40ms, value *ms80* corresponds to 80 ms and so on.

#### timeOffset-eDRX-Short

When eDRX is used, the short non-zero gap from the end of the configured maximum WUS duration to the associated PO, see TS 36.304 [4], clause 7.4 and TS 36.211 [21]. In milliseconds. Value *ms40* corresponds to 40ms, value *ms80* corresponds to 80 ms and so on.

E-UTRAN configures timeOffset-eDRX-Short to a value longer than or equal to timeOffsetDRX.

# timeOffset-eDRX-Long

When eDRX is used, the long non-zero gap from the end of the configured maximum WUS duration to the associated PO, see TS 36.304 [4], clause 7.4 and TS 36.211 [21]. In milliseconds. Value *ms1000* corresponds to 1000 ms, value *ms2000* corresponds to 2000 ms.

# 6.7.3.3 NB-IoT Security control information elements

Void

#### 6.7.3.4 NB-IoT Mobility control information elements

#### AdditionalBandInfoList-NB

#### AdditionalBandInfoList-NB information element

```
-- ASN1START

AdditionalBandInfoList-NB-r14 ::= SEQUENCE (SIZE (1..maxMultiBands)) OF FreqBandIndicator-NB-r13

-- ASN1STOP
```

# FreqBandIndicator-NB

The IE FreqBandIndicator-NB indicates the E-UTRA operating band as defined in TS 36.101 [42], table 5.5-1.

## FreqBandIndicator-NB information element

```
-- ASN1START

FreqBandIndicator-NB-r13 ::= INTEGER (1..maxFBI2)

-- ASN1STOP
```

#### – MultiBandInfoList-NB

#### MultiBandInfoList-NB information element

```
-- ASN1START

MultiBandInfoList-NB-r13 ::= SEQUENCE (SIZE (1..maxMultiBands)) OF MultiBandInfo-NB-r13

MultiBandInfo-NB-r13 ::= SEQUENCE {
    freqBandIndicator-r13 FreqBandIndicator-NB-r13 OPTIONAL, -- Need OR freqBandInfo-r13 NS-PmaxList-NB-r13 OPTIONAL -- Need OR }
}

-- ASN1STOP
```

#### NS-PmaxList-NB

The IE NS-PmaxList-NB concerns a list of additionalPmax and additionalSpectrumEmission as defined in TS 36.101 [42], clause 6.2.4F, for a given frequency band. E-UTRAN does not include the same value of additionalSpectrumEmission in SystemInformationBlockType2-NB within this list.

#### NS-PmaxList-NB information element

#### ReselectionThreshold-NB

The IE *ReselectionThreshold-NB* is used to indicate an Rx level threshold for cell reselection. Actual value of threshold = field value \* 2 [dB].

#### ReselectionThreshold-NB information element

```
-- ASN1START

ReselectionThreshold-NB-v1360 ::= INTEGER (32..63)

-- ASN1STOP
```

#### T-Reselection-NB

The IE *T-Reselection-NB* concerns the cell reselection timer Treselection<sub>RAT</sub> for NB-IoT.

Value in seconds. s0 means 0 second and behaviour as specified in 7.3.2 applies, s3 means 3 seconds and so on.

#### T-Reselection-NB information element

```
-- ASN1START

T-Reselection-NB-r13 ::= ENUMERATED {s0, s3, s6, s9, s12, s15, s18, s21}

-- ASN1STOP
```

### 6.7.3.5 NB-IoT Measurement information elements

#### – CQI-NPDCCH-NB

The IE *CQI-NPDCCH-NB* represents the downlink channel quality measurement of the NB-IoT carrier where the random access response is received. The codepoints for the CQI-NPDCCH measurements are according to the mapping table in TS 36.133 [16]. The value *noMeasurements* indicates no measurement reporting.

#### CQI-NPDCCH-NB information element

```
-- ASN1START

CQI-NPDCCH-NB-r14 ::= ENUMERATED {
    noMeasurements, candidateRep-A, candidateRep-B, candidateRep-C, candidateRep-D, candidateRep-E, candidateRep-F, candidateRep-G, candidateRep-H, candidateRep-I, candidateRep-J, candidateRep-K, candidateRep-L}

-- ASN1STOP
```

# – CQI-NPDCCH-Short-NB

The IE *CQI-NPDCCH-Short-NB* represents the short version of the downlink channel quality measurement of the NB-IoT carrier where the random access response is received. The codepoints for the CQI-NPDCCH-Short measurements are according to the mapping table in TS 36.133 [16]. The value *noMeasurements* indicates no measurement reporting.

# CQI-NPDCCH-Short-NB information element

#### MeasResultServCell-NB

The IE MeasResultServCell-NB covers the measured results for the serving cell.

#### MeasResultServCell-NB information element

#### NRSRP-Range-NB

The IE *NRSRP-Range-NB* specifies the value range used in NRSRP measurements and thresholds. Integer value for NRSRP measurements according to mapping table in TS 36.133 [16], Table 9.1.22.9-1.

## NRSRP-Range-NB information element

```
-- ASN1START

NRSRP-Range-NB-r14 ::= INTEGER(0..113)

-- ASN1STOP
```

# 

The IE *NRSRQ-Range-NB* specifies the value range used in NRSRQ measurements and thresholds. Integer value for RSRQ measurements is according to mapping table in TS 36.133 [16], Table 9.1.22.14-1. The UE shall not report values 0 and 34.

## NRSRQ-Range-NB information element

```
-- ASN1START

NRSRQ-Range-NB-r14 ::= INTEGER(-30..46)

-- ASN1STOP
```

# NSSS-RRM-Config-NB

The IE *NSSS-RRM-Config-NB* provides the configuration for NSSS-based RRM measurements. See TS 36.133 [16], TS 36.211 [21] and TS 36.214 [48]. The UE only perfoms NSSS-based RRM measurement on cells for which the configuration has been provided.

## NSSS-RRM-Config-NB information element

```
-- ASN1START

NSSS-RRM-Config-NB-r15 ::= SEQUENCE {
   nsss-RRM-PowerOffset-r15 ENUMERATED {dB-3, db0, dB3},
   nsss-NumOccDiffPrecoders-r15 ENUMERATED {n1, n2, n4, n8} OPTIONAL -- Need OP
}
-- ASN1STOP
```

#### NSSS-RRM-Config-NB field descriptions

#### nsss-RRM-PowerOffset

NSSS to NRS ratio for the serving cell as specified in TS 36.214 [48]. Value in dB. Value dB-3 corresponds to -3 dB, dB0 corresponds to 0 dB and so on.

#### nsss-NumOccDiffPrecoders

Number of consecutive NSSS occasions that use different precoders for NSSS transmission. See TS 36.211 [21]. Value *n1* corresponds to 1 occasion, *n2* corresponds to 2 occasions and so on.

For value *n*2, *n*4, and *n*8, UE may assume for *nsss-NumOccDiffPrecoders* consecutive NSSS occasions, E-UTRAN uses different precoders for NSSS transmission. For value *n*1, UE may assume that E-UTRAN always uses the same precoder.

If the field is absent, the UE makes no assumption on the antenna port(s) used for NSSS.

#### 6.7.3.6 NB-IoT Other information elements

#### EstablishmentCause-NB

The IE *EstablishmentCause-NB* provides the establishment cause for the RRC connection request or the RRC connection resume request as provided by the upper layers.

## EstablishmentCause-NB information element

```
-- ASN1START

EstablishmentCause-NB-r13 ::= ENUMERATED {
    mt-Access, mo-Signalling, mo-Data, mo-ExceptionData,
```

```
delayTolerantAccess-v1330, spare3, spare2, spare1}
-- ASN1STOP
```

# UE-Capability-NB

The IE *UE-Capability-NB* is used to convey the NB-IoT UE Radio Access Capability Parameters, see TS 36.306 [5]. The IE *UE-Capability-NB* is transferred in NB-IoT only.

#### **UE-Capability-NB** information element

```
-- ASN1START
UE-Capability-NB-r13 ::=
                              SEOUENCE {
                              AccessStratumRelease-NB-r13, ENUMERATED {nb1}
    accessStratumRelease-r13
    ue-Category-NB-r13
                                                                       OPTIONAL,
                                  ENUMERATED {supported}
PDCP-Parameters-NB-r13
   multipleDRB-r13
                                                                       OPTIONAL,
    pdcp-Parameters-r13
                                                                       OPTIONAL.
                                PhyLayerParameters-NB-r13,
    phyLayerParameters-r13
    rf-Parameters-r13
                                   RF-Parameters-NB-r13,
                                   SEQUENCE {}
    dummy
                                                                       OPTIONAL
UE-Capability-NB-Ext-r14-IEs ::=
                                       SEQUENCE {
    ue-Category-NB-r14
                                       ENUMERATED {nb2}
    mac-Parameters-r14
                                       MAC-Parameters-NB-r14
                                                                       OPTIONAL,
                                       PhyLayerParameters-NB-v1430
    phyLayerParameters-v1430
                                                                     OPTIONAL,
    rf-Parameters-v1430
                                       RF-Parameters-NB-v1430,
    nonCriticalExtension
                                      UE-Capability-NB-v1440-IEs
                                                                       OPTIONAL
}
UE-Capability-NB-v1440-IEs ::= SEQUENCE {
    phyLayerParameters-v1440
                                       PhyLayerParameters-NB-v1440
                                                                       OPTIONAL,
    nonCriticalExtension
                                       UE-Capability-NB-v14x0-IEs
                                                                     OPTIONAL
UE-Capability-NB-v14x0-IEs ::= SEQUENCE {
-- Following field is only to be used for late REL-14 extensions
   lateNonCriticalExtension
                                       OCTET STRING
                                                                       OPTIONAL,
   nonCriticalExtension
                                       UE-Capability-NB-v1530-IEs
                                                                       OPTIONAL
UE-Capability-NB-v1530-IEs ::= SEQUENCE {
                                   ENUMERATED {supported}
    earlyData-UP-r15
                                                                       OPTIONAL,
                                       RLC-Parameters-NB-r15,
   rlc-Parameters-r15
   mac-Parameters-v1530
phyLayerParameters-v1530
                                       MAC-Parameters-NB-v1530,
                                    PhyLayerParameters-NB-v1530 OPTIONAL,
TDD-UE-Capability-NB-r15 OPTIONAL,
    tdd-UE-Capability-r15
    nonCriticalExtension
                                       SEQUENCE {}
                                                                       OPTIONAL
}
TDD-UE-Capability-NB-r15 ::=
                                  SEQUENCE {
                                   ENUMERATED {nb2}
    ue-Category-NB-r15
                                                                       OPTIONAL.
    phyLayerParametersRel13-r15
                                       PhyLayerParameters-NB-r13
                                                                      OPTIONAL,
    phyLayerParametersRel14-r15
                                       PhyLayerParameters-NB-v1430
                                                                      OPTIONAL,
    phyLayerParameters-v1530
                                       PhyLayerParameters-NB-v1530
                                                                      OPTIONAL,
AccessStratumRelease-NB-r13 ::=
                                  ENUMERATED {rel13, rel14, rel15, spare5, spare4, spare3, spare2,
spare1, ...}
                          ::= SEQUENCE {
PDCP-Parameters-NB-r13
    supportedROHC-Profiles-r13
                                       SEQUENCE {
       profile0x0002
                                           BOOLEAN.
        profile0x0003
                                           BOOLEAN,
        profile0x0004
                                           BOOLEAN.
        profile0x0006
                                           BOOLEAN,
        profile0x0102
                                           BOOLEAN,
       profile0x0103
                                           BOOLEAN,
       profile0x0104
                                           BOOLEAN
    maxNumberROHC-ContextSessions-r13 ENUMERATED {cs2, cs4, cs8, cs12}
                                                                           DEFAULT cs2,
}
```

```
RLC-Parameters-NB-r15
                                       SEQUENCE {
    rlc-UM-r15
                                            ENUMERATED {supported}
                                                                                  OPTIONAL
}
                                       SEQUENCE {
MAC-Parameters-NB-r14
                                            ENUMERATED {supported}
ENUMERATED {supported}
   dataInactMon-r14
                                                                                         OPTIONAL,
                                                                        OPTIC
OPTIONAL
    rai-Support-r14
}
MAC-Parameters-NB-v1530 ::= SEQUENCE {
                                           ENUMERATED {supported}
   sr-SPS-BSR-r15
                                                                             OPTIONAL
PhyLayerParameters-NB-r13 ::= SEQUENCE {
                                        ENUMERATED {supported}
                                                                        OPTIONAL,
OPTIONAL
   multiTone-r13
    multiCarrier-r13
                                           ENUMERATED (supported)
PhyLayerParameters-NB-v1430 ::= SEQUENCE {
  multiCarrier-NPRACH-r14 ENUMERATED {supported}
  twoHARO-Processes-r14 ENUMERATED {supported}
                                                                      OPTIONAL,
OPTIONAL
                                          ENUMERATED {supported}
    twoHARQ-Processes-r14
PhyLayerParameters-NB-v1440 ::=
    LayerParameters-NB-v1440 ::= SEQUENCE {
interferenceRandomisation-r14 ENUMERATED {supported}
                                                                              OPTIONAL
PhyLayerParameters-NB-v1530 ::= SEQUENCE {
                                                                          OPTIONAL,
   sr-WithHARQ-ACK-r15
                                            ENUMERATED {supported}
                                  ENUMERATED {supported}
                                                                              OPTIONAL,
OPTIONAL,
OPTIONAL,
    sr-WithoutHARQ-ACK-r15
   nprach-Format2-r15 ENUMERATED {supported} additionalTransmissionSIB1-r15 ENUMERATED {supported} npusch-3dot75kHz-SCS-TDD-r15 ENUMERATED {supported}
                                                                              OPTIONAL
}
RF-Parameters-NB-r13 ::= SEQUENCE {
    supportedBandList-r13 SupportedBandList-NB-r13,
    rulting Property 13
    multiNS-Pmax-r13
                                           ENUMERATED {supported}
                                                                           OPTIONAL
}
RF-Parameters-NB-v1430 ::= SEQUENCE {
    powerClassNR-14dRm-r14 ENUMER
                                           ENUMERATED {supported} OPTIONAL
    powerClassNB-14dBm-r14
SupportedBandList-NB-r13 ::=
                                      SEQUENCE (SIZE (1..maxBands)) OF SupportedBand-NB-r13
SupportedBand-NB-r13 ::=
                                      SEQUENCE {
   band-r13
                                           FreqBandIndicator-NB-r13,
    powerClassNB-20dBm-r13
                                            ENUMERATED {supported}
                                                                          OPTIONAL
-- ASN1STOP
```

Set to rel 15 in this version of the specification.  Additional Transmission/BIP indicates whether the UE supports additional SIB1 transmission as specified in TS 36.213 23.  Additational SIB2 supports additional SIB1 transmission as specified in TS 36.213 23.  Additional SIB2 supports by the UE supports the data inactivity monitoring as specified in TS 36.321 6].  ANA NA NA NA Difference Standard SIB2 supports EDT for User plane Clot EPS optimisations, as defined in TS 24.301 [35].  Interference Randomisation or FPDD Indicates whether the UE supports EDT for User plane Clot EPS optimisations, as defined in TS 36.211 [21].  Interference Randomisation or FPDD Indicates whether the UE supports interference randomisation in connected mode as defined in TS 36.211 [21].  Interference Randomisation or FPDD Indicates whether the UE supports interference randomisation in connected mode as defined in TS 36.211 [21].  Interference Randomisation or FPDD Indicates whether the UE supports interference randomisation in connected mode as defined in TS 36.211 [21].  Interference Randomisation or FPDD Indicates whether the UE supports interference randomisation in connected mode as defined in TS 36.211 [21].  Interference Randomisation or FPDD Indicates the maximum number of concurrently active ROHC contexts supported by the UE proports one of the ROHC profiles in supports mode in the Context sessions whether the UE supports in supported ROHC Profiles.  FPDD Indicates whether the UE supports Interference randomisation in connected mode as defined or or inband/guardband, between the anchor carrier and the non-anchor carrier for unicast, paging, and random access as specified in TS 36.321 [6].  FPDD/TDD Yes Defines whether the UE supports Interference operation with mixed operation mode, standalon or inband/guardband, between the anchor carrier as specified in TS 36.321 [6].  FPDD/TDD No Defines whether the UE supports Interference operation with insert of the Interference of the Interference of the Interference of the Interferen	UE-Capability-NB field descriptions	FDD/TDD appl	FDD/TDE diff
And indicates whether the UE supports additional SIB1 transmission as specified in TS 36.213 39.  Indicates whether the UE supports the data inactivity monitoring as specified in TS 36.213 39.  Indicates whether the UE supports the data inactivity monitoring as specified in TS 36.321 50.  No ndicates whether the UE supports the data inactivity monitoring as specified in TS 36.321 50.  No ndicates whether the UE supports EDT for User plane CloT EPS optimisations, as defined in TS 43.01 [35].  Indicates whether the UE supports EDT for User plane CloT EPS optimisations, as defined in TS 43.01 [35].  Indicates whether the UE supports interference randomisation in connected mode as defined in TS 36.3211 [21].  Indicates whether the UE supports RoHC contexts supported by the UE.  Indicates whether the UE supports with a data on. The network ignores this field if the UE supports one of the ROHC profiles in supportedROHC-Profiles.  Indicates whether the UE supports multi-carrier operation with mixed operation mode, standalone or inbandinguardband between the anchor carrier and the non-anchor carrier for unicast, paging, and random access as specified in TS 36.300 [9].  Indicates whether the UE supports multi-carrier operation.  Indicates whether the UE supports MPRACH on non-anchor carrier as specified in TS 36.321  Indicates whether the UE supports NPRACH on non-anchor carrier as specified in TS 36.321  Indicates whether the UE supports the mechanisms defined for NB-IoT cells broadcasting VS-Pmax/LSFNB.  Indicates whether the UE supports NPRACH resources using preamble format 2.  Indicates whether the UE supports NPRACH resources using preamble format 2.  Indicates whether the UE supports NPRACH resources using preamble format 2.  Indicates whether the UE supports NPRACH resources using preamble format 2.  Indicates whether the UE supports NPRACH resources using preamble format 2.  Indicates whether the UE supports NPRACH resources using preamble format 2.  In powerClassNB-14dBm  In powerClassNB-20dBm in Network of th	accessStratumRelease Set to rel15 in this version of the specification	FDD/TDD	No
datalanaction ndicates whether the UE supports the data inactivity monitoring as specified in TS 36.321 fl.  dummy This field is not used in the specification. It shall not be sent by the UE.  sartyData-UP ndicates whether the UE supports EDT for User plane CloT EPS optimisations, as defined in TS 43.01 [35].  interferenceRandomisation FDD indicates whether the UE supports interference randomisation in connected mode as defined in TS 36.211 [21].  maxNumberROHC-ContextSessions Set to the maximum number of concurrently active ROHC contexts supported by the UE.  succluding context sessions that leave all headers uncompressed. e32 corresponds with 2 context sessions), cs4 corresponds with 4 and 50 on. The network ignores this field if the UE supports none of the ROHC profiles in supportedROHC-Profiles.  FDD Indicates whether the UE supports multi-carrier operation with mixed operation mode, standardone or inbandiguardshand, between the anchor carrier and the non-anchor carrier for unicast, paging, and random access as specified in TS 36.300 [9].  FDD/TDD Yes Defines whether the UE supports multi-carrier operation.  multiScarrier. PRACH Defines whether the UE supports NPRACH on non-anchor carrier as specified in TS 36.321  FDD/TDD Yes Defines whether the UE supports by RACH on non-anchor carrier as specified in TS 36.321  FDD/TDD No Defines whether the UE supports the mechanisms defined for NB-IoT cells broadcasting  FDD/TDD No Defines whether the UE supports NPRACH resources using preamble format 2.  FDD/TDD Yes Defines whether the UE supports NPRACH resources using preamble format 2.  FDD/TDD No Defines whether the UE supports NPRACH resources using preamble format 2.  FDD/TDD No Defines whether the UE supports NPRACH resources using preamble format 2.  FDD/TDD No Defines whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS 36.101 [42].  FDD/TDD No Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified  FDD/TDD No Defines whether the UE	additionalTransmissionSIB1	FDD	-
FDD/TDD   No   No   No   No   No   No   No	Indicates whether the UE supports additional SIB1 transmission as specified in TS 36.213		
ndicates whether the UE supports the data inactivity monitoring as specified in TS 36.321 6].  ### Substitution of the specification. It shall not be sent by the UE.  ### Substitution of the specification. It shall not be sent by the UE.  ### Substitution of the specification. It shall not be sent by the UE.  ### Substitution of the Substitutio		EDD/TDD	No
This field is not used in the specification. It shall not be sent by the UE.  ### Application   ### Ap		טטו/טט	INO
This field is not used in the specification. It shall not be sent by the UE.  adart/Data-UP  ndicates whether the UE supports EDT for User plane CloT EPS optimisations, as defined in TS 24.301 [35].  InterferenceRandomisation  To FDD: Indicates whether the UE supports interference randomisation in connected mode as defined in TS.36.211 [21].  Backfunding context sessions that leave all headers uncompressed. cs2 corresponds with 2 context sessions), cs4 corresponds with ad and so on. The network ignores this field if the UE supports one of the ROHC profiles in supportedROHC-Profiles.  MixedOperationMode  Defines whether the UE supports multi-carrier operation with mixed operation mode, standalone or inband/guardband, between the anchor carrier and the non-anchor carrier for unicast, paging, and random access as specified in TS 36.300 [9].  PEDD/TDD  Yes  Defines whether the UE supports multi-carrier operation.  multicarrier PacCH  Defines whether the UE supports multi-carrier operation.  multipleDRB  Defines whether the UE supports multiple DRBs.  multipleDRB  Defines whether the UE supports the mechanisms defined for NB-IoT cells broadcasting NS-Pmax/LSH-NB.  PEDD/TDD  Yes  Defines whether the UE supports the mechanisms defined for NB-IoT cells broadcasting NS-Pmax/LSH-NB.  multiTone  Defines whether the UE supports NPRACH resources using preamble format 2.  pusch-3dor75kHz-SCS-TDD  noticates whether the UE supports power class 14dBm in all the bands supported by the UE apports whether the UE supports power class 14dBm in all the bands supported by the UE apports whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 20dBm in NB-IoT band.  PEDD/TDD  No  Defines whether the UE supports RUE UM as specified in TS 36.321 [6].  FDD/TDD  No  Defines whether the UE supports RUE UM as specified in TS 36.322 [7].  FDD/TDD  No  Defines whether the UE supports RUE UM as specified in TS 36.32	[6].		
control cates whether the UE supports EDT for User plane CloT EPS optimisations, as defined in TS 24.301 [35].  InterferenceRandomisation For FDD: Indicates whether the UE supports interference randomisation in connected mode as defined in TS.36.21 [21].  InterferenceRandomisation FDD: Indicates whether the UE supports interference randomisation in connected mode as defined in TS.36.21 [21].  ImaxNumberROHC-ContextSessions Set to the maximum number of concurrently active ROHC contexts supported by the UE, succluding context sessions that leave all headers uncompressed. ss2 corresponds with 2 context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports one of the ROHC profiles in supportedPOHC-Profiles.  Interference one of the ROHC profiles in supported with mixed operation mode, standalone or inband/guardband, between the anchor carrier and the non-anchor carrier for unicast, paging, and random access as specified in TS 36.300 [9].  Interference on the ROHC profiles in supports multi-carrier operation.  Interference whether the UE supports multiple DRBs.  Intuitional profiles whether the UE supports with the anon-anchor carrier as specified in TS 36.321 [6].  Intuitificance  Defines whether the UE supports NPRACH resources using preamble format 2.  Inpusch-3dot/5kHz-SCS-TDD  Defines whether the UE supports NPRACH resources using preamble format 2.  Inpusch-3dot/5kHz-SCS-TDD  Defines whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS 36.101 [42].  In power/ClassNB-20dBm is included, the UE shall not include the field power/ClassNB-Defined in TS 36.101 [42].  If power/ClassNB-20dBm is included, the UE supports power class 20 als min in the NB-16 band.  If support power class 20 als min in the NB-16 band.  If supports power class 2		NA	NA
ndicates whether the UE supports EDT for User plane CloT EPS optimisations, as defined in TS 24.301 [35]. InterferenceRandomisation Tor FDD: Indicates whether the UE supports interference randomisation in connected mode as defined in TS.36.211 [21]. InterferenceRandomisation Tor FDD: Indicates whether the UE supports interference randomisation in connected mode as defined in TS.36.211 [21]. InterferenceRandomisation Tor FDD: Indicates whether the UE supports interference randomisation in connected mode as defined in TS.36.211 [21]. InterferenceRandomisation Tor FDD: Indicates whether the UE supports multi-define and as on. The network ignores with 2 context sessions, set corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in supportedROHC-Profiles. The Interference and the non-anchor carrier for indicates, paging, and random access as specified in TS.36.300 [3].  FDD/TDD  Yes Defines whether the UE supports multi-carrier operation. InterferenceRACH Defines whether the UE supports NPRACH on non-anchor carrier as specified in TS.36.321 [6].  FDD/TDD  Yes Defines whether the UE supports multiple DRBs. Intitional Profiles whether the UE supports the mechanisms defined for NB-IoT cells broadcasting VS-PraxList-NB. Intitional Profiles whether the UE supports the mechanisms defined for NB-IoT cells broadcasting VS-PraxList-NB.  Intitional Profiles whether the UE supports NPRACH resources using preamble format 2.  Proprach-Format2  Defines whether the UE supports NPRACH resources using preamble format 2.  Proprach-Format2  Defines whether the UE supports NPRACH with 3.75kHz SCS for TDD.  Defines whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS.36.101 [42].  FDD/TDD  No Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS.36.321 [6].  FDD/TDD  No Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS.36.321 [6].  FDD/TDD  No Defines whether t		FDD	_
sa defined in Ts 36.211 [21].  maxNumberROHC-ContextSessions  Set to the maximum number of concurrently active ROHC contexts supported by the UE, sexcluding context sessions that leave all headers uncompressed. cs2 corresponds with 2 context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in supportedROHC-Profiles.  FDD - Defines whether the UE supports multi-carrier operation with mixed operation mode, standalone or inband/guardband, between the anchor carrier and the non-anchor carrier for uncast, paging, and random access as specified in Ts 36.300 [9].  multiCarrier  Defines whether the UE supports multi-carrier operation.  multiCarrier  Defines whether the UE supports multi-carrier operation.  multiCarrier  Defines whether the UE supports NPRACH on non-anchor carrier as specified in Ts 36.321 [6].  multipleDRB  Defines whether the UE supports the mechanisms defined for NB-IoT cells broadcasting NS-PmaxLis-INB.  multiTone  Defines whether the UE supports UL multi-tone transmissions on NPUSCH.  mprach-Format2  Defines whether the UE supports NPRACH resources using preamble format 2.  mprach-Format2  Defines whether the UE supports NPRACH resources using preamble format 2.  mprach-Format2  Defines whether the UE supports NPRACH resources using preamble format 2.  mprach-Format2  Defines whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS 36.101 [42].  If powerClassNB-14dBm  Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42].  If powerClassNB-20dBm is included, the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42]. If powerClassNB-14dBm in all the bands supported by the UE as specified in TS 36.101 [42]. If powerClassNB-20dBm is included, UE supports power class 23 dBm in the NB-IoT band.  missupport of the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.301 [6].  FDD/TDD No Defi	Indicates whether the UE supports EDT for User plane CloT EPS optimisations, as defined in TS 24.301 [35].	100	
as defined in TS.36.211 [21].  maxNumberROHC-ContextSessions Set to the maximum number of concurrently active ROHC contexts supported by the UE, excluding context sessions that leave all headers uncompressed. esz corresponds with 2 context sessions), est corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in supported/ROHC-Profiles.  MixedOperationMode Defines whether the UE supports multi-carrier operation with mixed operation mode, standalone or inband/guardband, between the anchor carrier and the non-anchor carrier for unleast, paging, and random access as specified in TS 36.300 [9].  PDD/TDD  Yes Defines whether the UE supports multi-carrier operation.  multicarrier Poefines whether the UE supports multi-carrier operation.  multicarrier NPRACH Defines whether the UE supports NPRACH on non-anchor carrier as specified in TS 36.321 [6].  Selfines whether the UE supports multiple DRBs.  multipleDRB Defines whether the UE supports the mechanisms defined for NB-IoT cells broadcasting Ns-PmaxList-NB.  multiTOne Defines whether the UE supports the mechanisms defined for NB-IoT cells broadcasting Ns-PmaxList-NB.  multiTone Defines whether the UE supports WPRACH resources using preamble format 2.  pripach-Format2 Defines whether the UE supports NPRACH resources using preamble format 2.  pripach-Format2 Defines whether the UE supports NPRACH resources using preamble format 2.  pripach-Format2 Defines whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS 36.101 [42].  If nowerClassNB-20dBm is included, the UE shall not include the field powerClassNB-10dBm is included.  JE supports power class 23 dBm in the NB-IoT for the band, as specified in TS 36.321 [6].  FDD/TDD No Defines whether the UE supports Power class 20dBm in NB-IoT for the band, as specified in TS 36.321 [6].  FDD/TDD No Defines whether the UE supports Power class 20dBm in NB-IoT for the band, as specified in TS 36.321 [6].  FDD/TDD No Defines whether the UE s	interferenceRandomisation	FDD	-
Set to the maximum number of concurrently active ROHC contexts supported by the UE, excluding context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in supported ROHC-Profiles whether the UE supports multi-carrier operation with mixed operation mode, standalone or inband/guardband, between the anchor carrier and the non-anchor carrier for unicast, paging, and random access as specified in TS 36.300 [9].  **TROMITICAL PROFILES**  **Defines whether the UE supports multi-carrier operation.**  **Inititicarrier-NPRACH**  **Defines whether the UE supports NPRACH on non-anchor carrier as specified in TS 36.321 [6].  **FDD/TDD**  **PDD/TDD**			
Set to the maximum number of concurrently active ROHC contexts supported by the UE, excluding context sessions that leave all headers uncompressed. cs2 corresponds with 2 context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in supportedROHC-Profiles.  ### PD ###		FDD/TDD	No
Context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in supportedROHC-Profiles.	Set to the maximum number of concurrently active ROHC contexts supported by the UE,	1 55,155	110
UE supports none of the ROHC profiles in supportedROHC-Profiles.    PDD	excluding context sessions that leave all headers uncompressed. cs2 corresponds with 2		
Defines whether the UE supports multi-carrier operation with mixed operation mode, standalone or inband/guardband, between the anchor carrier and the non-anchor carrier for unicast, paging, and random access as specified in TS 36.300 [9].  Multicarrier Defines whether the UE supports multi -carrier operation.  Multicarrier-NPRACH Defines whether the UE supports NPRACH on non-anchor carrier as specified in TS 36.321 [6].  PDD/TDD Ves Defines whether the UE supports nultiple DRBs.  MultiNS-Pmax Defines whether the UE supports the mechanisms defined for NB-IoT cells broadcasting NS-PmaxList-NB.  MultiNS-Pmax Defines whether the UE supports the mechanisms defined for NB-IoT cells broadcasting NS-PmaxList-NB.  MultiTone Defines whether the UE supports UL multi-tone transmissions on NPUSCH.  Paprach-Format2 Defines whether the UE supports NPRACH resources using preamble format 2.  **TDD** **TDD** **TDD** **TDD** **TDD** **Defines whether the UE supports NPUSCH with 3.75kHz SCS for TDD. **Defines whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS 36.101 [42].  If powerClassNB-20dBm Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 23dBm in the NB-IoT band.  **Tal-Support* **Defines whether the UE supports RLC UM as specified in TS 36.322 [7].  **Support* **Defines whether the UE supports RLC UM as specified in TS 36.321 [6].  **FDD/TDD* **No Defines whether the UE supports RLC UM as specified in TS 36.321 [6].  **Support* **Defines whether the UE supports RLC UM as specified in TS 36.321 [6].  **Support* **Defines whether the UE supports RLC UM as specified in TS 36.321 [6].  **Support* **Defines whether the UE supports RLC UM as specified in TS 36.321 [6].  **Support* **Defines whether the UE supports RLC UM as specified in TS 36.321 [6].  **Support* **Defines whether the UE supports RLC UM as specified in TS 36.321 [6].  **Sup			
Defines whether the UE supports multi-carrier operation with mixed operation mode, standalone or inband/guardband, between the anchor carrier and the non-anchor carrier for unicast, paging, and random access as specified in TS 36.300 [9].  #### PDD/TDD PDEfines whether the UE supports multi-carrier operation.  ###################################		FDD	_
unicast, paging, and random access as specified in TS 36.300 [9].  multiCarrier  Defines whether the UE supports multi-carrier operation.  multicarrier-NPRACH  Defines whether the UE supports NPRACH on non-anchor carrier as specified in TS 36.321  8].  multipleDRB  Defines whether the UE supports multiple DRBs.  multiNS-Pmax  Defines whether the UE supports the mechanisms defined for NB-IoT cells broadcasting  NS-Pmax(Ist-IMB.  multiTone  Defines whether the UE supports UL multi-tone transmissions on NPUSCH.  mprach-Format2  Defines whether the UE supports NPRACH resources using preamble format 2.  mprach-Format2  Defines whether the UE supports NPRACH resources using preamble format 2.  mprach-Format2  Defines whether the UE supports NPRACH resources using preamble format 2.  mprach-Format2  Defines whether the UE supports NPRACH resources using preamble format 2.  mprach-Format2  Defines whether the UE supports NPRACH resources using preamble format 2.  mprach-Format2  Defines whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS 36.101 [42].  If powerClassNB-20dBm  Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 23 dBm in the NB-IoT band.  Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.312 [6].  FDD/TDD  No  Defines whether the UE supports RLC UM as specified in TS 36.322 [7].  SupportedBandList  notucules the supported NB-IoT bands as defined in TS 36.301 [42].  Sr-SPS-BSR  Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].  Sr-SPS-BSR  Defines whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].  FDD  -  Defines whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [27].	Defines whether the UE supports multi-carrier operation with mixed operation mode,	100	
publicarrier Defines whether the UE supports multi-carrier operation.  multicarrier-NPRACH Defines whether the UE supports NPRACH on non-anchor carrier as specified in TS 36.321 6].  multipleDRB Defines whether the UE supports multiple DRBs.  multipleDRB Defines whether the UE supports multiple DRBs.  multiNS-Pmax Defines whether the UE supports the mechanisms defined for NB-IoT cells broadcasting NS-PmaxList-NB.  multiTore Defines whether the UE supports UL multi-tone transmissions on NPUSCH.  multiTore Defines whether the UE supports NPRACH resources using preamble format 2.  perfines whether the UE supports NPUSCH with 3.75kHz SCS for TDD.  powerClassNB-14dBm Defines whether the UE supports NPUSCH with 3.75kHz SCS for TDD.  powerClassNB-14dBm Defines whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS 36.101 [42].  If powerClassNB-20dBm is included, the UE shall not include the field powerClassNB-14dBm.  powerClassNB-20dBm Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.321 [6].  powerClassNB-20dBm Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.321 [6].  powerClassNB-20dBm Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.321 [6].  powerClassNB-20dBm Defines whether the UE supports release assistance indication (RAI) as specified in TS 36.321 [6].  powerClassNB-20dBm is included.  powerClassNB-20dBm is in	standalone or inband/guardband, between the anchor carrier and the non-anchor carrier for		
Defines whether the UE supports multi-carrier operation.  multicarrier-NPRACH Defines whether the UE supports NPRACH on non-anchor carrier as specified in TS 36.321 6].  FDD/TDD Pole of the Web Supports NPRACH on non-anchor carrier as specified in TS 36.321 6].  FDD/TDD Pole of the Web Supports multiple DRBs.  multipleDRB Defines whether the UE supports multiple DRBs.  multipleDRB Defines whether the UE supports the mechanisms defined for NB-IoT cells broadcasting NS-PmaxList-NB.  FDD/TDD Pole of the Web Supports UL multi-tone transmissions on NPUSCH.  multiTone Defines whether the UE supports NPRACH resources using preamble format 2.  mprach-Format2 Defines whether the UE supports NPUSCH with 3.75kHz SCS for TDD.  Defines whether the UE supports NPUSCH with 3.75kHz SCS for TDD.  DowerClassNB-14dBm Defines whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS 36.101 [42].  If powerClassNB-20dBm is included, the UE shall not include the field powerClassNB-14dBm is included, DE supports power class 23 dBm in the NB-IoT for the band, as specified in TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, DE supports power class 23 dBm in the NB-IoT band.  Tail-Support  Defines whether the UE supports release assistance indication (RAI) as specified in TS 36.321 [6].  FDD/TDD  No Defines whether the UE supports RLC UM as specified in TS 36.322 [7].  SupportedBandList notudes the supported NB-IoT bands as defined in TS 36.301 [42].  FDD/TDD  No Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].  FDD/TDD	unicast, paging, and random access as specified in TS 36.300 [9].		
### PDD/TDD Processor		FDD/TDD	Yes
Defines whether the UE supports NPRACH on non-anchor carrier as specified in TS 36.321 6]. 6]. 7Defines whether the UE supports multiple DRBs. 7Defines whether the UE supports the mechanisms defined for NB-IoT cells broadcasting NS-PmaxList-NB. 7Defines whether the UE supports UL multi-tone transmissions on NPUSCH. 7Defines whether the UE supports NPRACH resources using preamble format 2. 7Defines whether the UE supports NPRACH resources using preamble format 2. 7Defines whether the UE supports NPUSCH with 3.75kHz SCS for TDD. 7Defines whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS 36.101 [42]. 8Defines whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.301 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 23 dBm in the NB-IoT band. 8Defines whether the UE supports release assistance indication (RAI) as specified in TS 36.321 [6]. 8Defines whether the UE supports RLC UM as specified in TS 36.322 [7]. 8Defines whether the UE supports RLC UM as specified in TS 36.321 [6]. 8Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6]. 8Defines whether the UE supports Physical layer SR with HARQ ACK as specified in TS 36.213 [23]. 8Defines whether the UE supports Physical layer SR with HARQ ACK as specified in TS 36.213 [23]. 8Defines whether the UE supports Physical layer SR with HARQ ACK as specified in TS 36.215 [23]. 8Defines whether the UE supports Physical layer SR with HARQ ACK as specified in TS 36.215 [27]. 8Defines whether the UE supports Physical layer SR with HARQ ACK as specified in TS 36.215 [27]. 8Defines whether the UE supports Physical layer SR with HARQ ACK as specified in TS 36.215 [27]. 8Defines whether the UE supports Physical layer SR with HARQ ACK as specified in TS 36.215 [27].		FDD/TDD	Yes
6].  Defines whether the UE supports multiple DRBs.  multiNS-Pmax  Defines whether the UE supports the mechanisms defined for NB-IoT cells broadcasting NS-Pmax(List-NB.  multiTone  Defines whether the UE supports UL multi-tone transmissions on NPUSCH.  mprach-Format2  Defines whether the UE supports NPRACH resources using preamble format 2.  mpusch-3dot75kHz-SCS-TDD  rowerClassNB-14dBm  Defines whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS 36.101 [42].  If powerClassNB-20dBm  Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 20dBm in NB-IoT band.  Tai-Support  Defines whether the UE supports release assistance indication (RAI) as specified in TS 36.321 [6].  FDD/TDD  No Defines whether the UE supports RLC UM as specified in TS 36.322 [7].  SupportedBandList  ncludes the supported NB-IoT bands as defined in TS 36.101 [42].  FDD/TDD  PDD/TDD		1 00/100	103
Defines whether the UE supports multiple DRBs.  multiNS-Pmax Defines whether the UE supports the mechanisms defined for NB-IoT cells broadcasting NS-PmaxList-NB.  multiTone Defines whether the UE supports UL multi-tone transmissions on NPUSCH.  mprach-Format2 Defines whether the UE supports NPRACH resources using preamble format 2.  mprach-3dot75kHz-SCS-TDD  mdicates whether the UE supports NPUSCH with 3.75kHz SCS for TDD.  Defines whether the UE supports NPUSCH with 3.75kHz SCS for TDD.  Defines whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS 36.101 [42].  If powerClassNB-20dBm is included, the UE shall not include the field powerClassNB-14dBm.  Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.321 [6].  Tel-Defines whether the UE supports release assistance indication (RAI) as specified in TS 36.321 [6].  FDD/TDD  No Defines whether the UE supports RLC UM as specified in TS 36.322 [7].  SupportedBandList  ncludes the supported NB-IoT bands as defined in TS 36.301 [42].  FDD/TDD  No Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].  FDD/TDD  - Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].  FDD/TDD  - Defines whether the UE supports Physical layer SR with HARQ ACK as specified in TS 36.213 [23].  FDD  - Defines whether the UE supports Physical layer SR with HARQ ACK as specified in TS 36.213 [23].  FDD  - Defines whether the UE supports Physical layer SR with HARQ ACK as specified in TS 36.213 [23].  FDD  - Defines whether the UE supports Physical layer SR with HARQ ACK as specified in TS 36.213 [23].	[6].		
Defines whether the UE supports the mechanisms defined for NB-IoT cells broadcasting NS-PmaxList-NB.  multiTone Defines whether the UE supports UL multi-tone transmissions on NPUSCH. mprach-Format2 Defines whether the UE supports NPRACH resources using preamble format 2. mpusch-3dot75kHz-SCS-TDD ndicates whether the UE supports NPUSCH with 3.75kHz SCS for TDD. Defines whether the UE supports NPUSCH with 3.75kHz SCS for TDD. Defines whether the UE supports NPUSCH with 3.75kHz SCS for TDD. Defines whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS 36.101 [42]. If powerClassNB-20dBm is included, the UE shall not include the field powerClassNB-14dBm. Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 23 dBm in the NB-IoT band.  Tal-Support Defines whether the UE supports release assistance indication (RAI) as specified in TS 36.321 [6].  The-UM Defines whether the UE supports RLC UM as specified in TS 36.322 [7].  SupportedBandList Includes the supported NB-IoT bands as defined in TS 36.101 [42].  The Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].  ST-SPS-BSR Defines whether the UE supports Physical layer SR with HARQ ACK as specified in TS 36.213 [23].  FDD Defines withouthARQ-ACK FDD Tobles SP-SW withouthARQ-ACK FDD Tobles Supported SR US SPS BSR as specified in TS 36.213 [23].  FDD Tobles SP-SW withouthARQ-ACK FDD Tobles Supports PDD Tobles Supported SR US SPS BSR as specified in TS 36.213 [23].  FDD Tobles SP-SW SPS SPS SPS SPS SPS SPS SPS SPS SPS S		FDD/TDD	No
Defines whether the UE supports the mechanisms defined for NB-IoT cells broadcasting NS-PmaxList-NB.  Intriction  Defines whether the UE supports UL multi-tone transmissions on NPUSCH.  Intriction  Defines whether the UE supports NPRACH resources using preamble format 2.  Intriction  Intriction  TDD  Defines whether the UE supports NPRACH resources using preamble format 2.  Intriction  Intriction  TDD  Defines whether the UE supports NPUSCH with 3.75kHz SCS for TDD.  Defines whether the UE supports NPUSCH with 3.75kHz SCS for TDD.  Defines whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS 36.101 [42].  If powerClassNB-14dBm  Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 23 dBm in the NB-IoT band.  Intriction  Topic Intriction  Introduction  Intro		EDD/TDD	No
Defines whether the UE supports UL multi-tone transmissions on NPUSCH.  Imprach-Format2  Defines whether the UE supports NPRACH resources using preamble format 2.  Imprach-3dot75kHz-SCS-TDD  Indicates whether the UE supports NPUSCH with 3.75kHz SCS for TDD.  Imprach-3dot75kHz-SCS-TDD  Indicates whether the UE supports NPUSCH with 3.75kHz SCS for TDD.  Imprach-3dot75kHz-SCS-TDD  Indicates whether the UE supports NPUSCH with 3.75kHz SCS for TDD.  Imprach-3dot75kHz-SCS-TDD  Indicates whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS 36.101 [42].  If powerClassNB-14dBm  Indicates whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 23 dBm in the NB-IoT band.  Indicates whether the UE supports release assistance indication (RAI) as specified in TS 36.321 [6].  Indicates whether the UE supports RLC UM as specified in TS 36.322 [7].  Indicates whether the UE supports RLC UM as specified in TS 36.322 [7].  Indicates whether the UE supported NB-IoT bands as defined in TS 36.101 [42].  Indicates whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].  Indicates whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].  Indicates whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].  Indicates whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].  Indicates whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].  Indicates whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].  Indicates whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].	Defines whether the UE supports the mechanisms defined for NB-IoT cells broadcasting NS-PmaxList-NB.	FDD/1DD	NO
Defines whether the UE supports NPRACH resources using preamble format 2.  TDD -  TDD	multiTone	FDD/TDD	Yes
Defines whether the UE supports NPRACH resources using preamble format 2.  **npusch-3dot75kHz-SCS-TDD**  **ndicates whether the UE supports NPUSCH with 3.75kHz SCS for TDD.*  **powerClassNB-14dBm**  Defines whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS 36.101 [42].   **fpowerClassNB-20dBm** is included, the UE shall not include the field **powerClassNB-14dBm**.   **powerClassNB-20dBm** is included, the UE shall not include the field **powerClassNB-14dBm**.   **powerClassNB-20dBm** is included, as specified in TS 36.101 [42]. If neither **powerClassNB-14dBm** nor **powerClassNB-20dBm** is included, as specified in TS 36.301 [42]. If neither **powerClassNB-14dBm** nor **powerClassNB-20dBm** is included, as specified in TS 36.321 [6].   **properties** whether the UE supports release assistance indication (RAI) as specified in TS 36.321 [6].   **properties** supported NB-IoT bands as defined in TS 36.322 [7].   **supportedBandList**		EDD	
Indicates whether the UE supports NPUSCH with 3.75kHz SCS for TDD.  Indicates whether the UE supports NPUSCH with 3.75kHz SCS for TDD.  Indicates whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS 36.101 [42].  If powerClassNB-20dBm is included, the UE shall not include the field powerClassNB-14dBm.  Indicates whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 23 dBm in the NB-IoT band.  Indicates NB-20dBm is included, the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.310 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 23 dBm in the NB-IoT band.  Indicates NB-20dBm is included, UE supports power class 20dBm in TS 36.310 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 23 dBm in the NB-IoT band.  Indicates NB-20dBm is included, UE supports release assistance indication (RAI) as specified in TS 36.321 [6].  Indicates NB-20dBm is included, UE supports RLC UM as specified in TS 36.322 [7].  Indicates NB-20dBm is included, UE supports RLC UM as specified in TS 36.321 [6].  Indicates NB-20dBm is included, UE supports RLC UM as specified in TS 36.321 [6].  Indicates NB-20dBm is included, UE supports RLC UM as specified in TS 36.321 [6].  Indicates NB-20dBm is included, UE supports RLC UM as specified in TS 36.321 [6].  Indicates NB-20dBm is included, UE supports RLC UM as specified in TS 36.321 [6].  Indicates NB-20dBm is included, UE supports RLC UM as specified in TS 36.321 [6].  Indicates NB-20dBm is included, UE supports RLC UM as specified in TS 36.321 [6].  Indicates NB-20dBm is included, UE supports RLC UM as specified in TS 36.321 [6].  Indicates NB-20dBm is included, UE supports RLC UM as specified in TS 36.321 [6].  Indicates NB-20dBm is included, UE supports RLC UM as specified in TS 36.321 [6].  Indicat	•	FDD	-
indicates whether the UE supports NPUSCH with 3.75kHz SCS for TDD.  prowerClassNB-14dBm  Defines whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS 36.101 [42].  If powerClassNB-20dBm is included, the UE shall not include the field powerClassNB-14dBm.  prowerClassNB-20dBm  Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 23 dBm in the NB-IoT band.  Property Defines whether the UE supports release assistance indication (RAI) as specified in TS 36.321 [6].  Property Defines whether the UE supports RLC UM as specified in TS 36.322 [7].  SupportedBandList includes the supported NB-IoT bands as defined in TS 36.101 [42].  Property Sistem of the UE supports Rusing SPS BSR as specified in TS 36.321 [6].  Property Sistem of the UE supports Rusing SPS BSR as specified in TS 36.321 [6].  Property Sistem of the UE supports Rusing SPS BSR as specified in TS 36.321 [6].  Property Sistem of the UE supports Rusing SPS BSR as specified in TS 36.321 [6].  Property Sistem of the UE supports Physical layer SR with HARQ ACK as specified in TS 36.321 [6].  Property Sistem of TDD Indicate the UE supports Physical layer SR with HARQ ACK as specified in TS 36.321 [6].  Property Sistem of TDD Indicate the UE supports Physical layer SR with HARQ ACK as specified in TS 36.321 [6].  Property Sistem of TDD Indicate the UE Supports Physical layer SR with HARQ ACK as specified in TS 36.321 [6].  Property Sistem of TDD Indicate the UE Supports Physical layer SR with HARQ ACK as specified in TS 36.321 [6].  Property Sistem of TDD Indicate the UE Supports Physical layer SR with HARQ ACK as specified in TS 36.321 [6].  Property Sistem of TDD Indicate the UE Supports Physical Layer SR with HARQ ACK as specified in TS 36.321 [6].		TDD	-
Defines whether the UE supports power class 14dBm in all the bands supported by the UE as specified in TS 36.101 [42].  If powerClassNB-20dBm is included, the UE shall not include the field powerClassNB-14dBm.  Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 23 dBm in the NB-IoT band.  Tai-Support  Defines whether the UE supports release assistance indication (RAI) as specified in TS 36.321 [6].  Tic-UM  Defines whether the UE supports RLC UM as specified in TS 36.322 [7].  SupportedBandList Includes the supported NB-IoT bands as defined in TS 36.101 [42].  Sr-SPS-BSR  Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].  FDD  FDD  PDD  - Defines whether the UE supports Physical layer SR with HARQ ACK as specified in TS 36.213 [23].  Sr-withoutHARQ-ACK  FDD  - Defines whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].	Indicates whether the UE supports NPUSCH with 3.75kHz SCS for TDD.		
as specified in TS 36.101 [42]. If powerClassNB-20dBm is included, the UE shall not include the field powerClassNB-14dBm.  DowerClassNB-20dBm Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 23 dBm in the NB-IoT band.  Tai-Support Defines whether the UE supports release assistance indication (RAI) as specified in TS 36.321 [6].  TIC-UM Defines whether the UE supports RLC UM as specified in TS 36.322 [7].  SupportedBandList Includes the supported NB-IoT bands as defined in TS 36.101 [42].  SIST-SPS-BSR Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].  SIST-WithHARQ-ACK Defines whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].  SIST-WithoutHARQ-ACK FDD  TOD  TOD  TOD  TOD  TOD  TOD  TOD	powerClassNB-14dBm	FDD/TDD	No
If powerClassNB-20dBm is included, the UE shall not include the field powerClassNB-14dBm.  DowerClassNB-20dBm Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 23 dBm in the NB-IoT band.  Tai-Support Defines whether the UE supports release assistance indication (RAI) as specified in TS 36.321 [6].  Tic-UM Defines whether the UE supports RLC UM as specified in TS 36.322 [7].  SupportedBandList Includes the supported NB-IoT bands as defined in TS 36.101 [42].  Tar-SPS-BSR Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].  The supported BandList The supported NB-IoT bands as defined in TS 36.321 [6].  The supported BandList The supported NB-IoT bands as defined in TS 36.321 [6].  The supported BandList The supported NB-IoT bands as defined in TS 36.321 [6].  The supported NB-IoT bands as defined in TS 36.321 [6].  The supported BandList The supported NB-IoT bands as defined in TS 36.321 [6].  The supported BandList The supported NB-IoT bands as defined in TS 36.321 [6].  The supported BandList The supported NB-IoT bands as defined in TS 36.321 [6].  The supported BandList The supported NB-IoT bands as defined in TS 36.321 [6].  The supported BandList The supported NB-IoT bands as defined in TS 36.321 [6].  The supported BandList The supported NB-IoT bands as defined in TS 36.321 [6].  The supported BandList The supported NB-IoT bands as defined in TS 36.321 [6].  The supported BandList The supported NB-IoT bands as defined in TS 36.321 [6].  The supported BandList The supported NB-IoT bands as defined in TS 36.321 [6].  The supported BandList The supported NB-IoT bands as defined in TS 36.321 [6].  The supported BandList The supported NB-IoT bands as defined in TS 36.322 [7].			
powerClassNB-20dBm Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 23 dBm in the NB-IoT band.  **rai-Support** Defines whether the UE supports release assistance indication (RAI) as specified in TS 36.321 [6].  **ric-UM** Defines whether the UE supports RLC UM as specified in TS 36.322 [7].  **supportedBandList** Includes the supported NB-IoT bands as defined in TS 36.101 [42].  **sr-SPS-BSR** Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].  **sr-withHARQ-ACK** Defines whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].  **sr-withoutHARQ-ACK** FDD  **FDD  **TDD  **			
Defines whether the UE supports power class 20dBm in NB-IoT for the band, as specified in TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 23 dBm in the NB-IoT band.  **rai-Support**  Defines whether the UE supports release assistance indication (RAI) as specified in TS 36.321 [6].  **ric-UM**  Defines whether the UE supports RLC UM as specified in TS 36.322 [7].  **supportedBandList*  FDD/TDD  No includes the supported NB-IoT bands as defined in TS 36.101 [42].  **sr-SPS-BSR*  Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].  **sr-withHARQ-ACK**  Defines whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].  **sr-withoutHARQ-ACK**  FDD  -  **FDD  -  **FD  -  **FD	14dBm.		
n TS 36.101 [42]. If neither powerClassNB-14dBm nor powerClassNB-20dBm is included, UE supports power class 23 dBm in the NB-IoT band.  rai-Support  Defines whether the UE supports release assistance indication (RAI) as specified in TS 36.321 [6].  rIc-UM  Defines whether the UE supports RLC UM as specified in TS 36.322 [7].  supportedBandList Includes the supported NB-IoT bands as defined in TS 36.101 [42].  sr-SPS-BSR  Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].  sr-withHARQ-ACK  Defines whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].  sr-withoutHARQ-ACK  FDD  FDD  FDD  FDD  FDD  FDD  FDD  F	powerClassNB-20dBm	FDD/TDD	No
DE supports power class 23 dBm in the NB-IoT band.  rai-Support  Defines whether the UE supports release assistance indication (RAI) as specified in TS 36.321 [6].  rIc-UM  Defines whether the UE supports RLC UM as specified in TS 36.322 [7].  supportedBandList Includes the supported NB-IoT bands as defined in TS 36.101 [42].  sr-SPS-BSR  Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].  sr-withHARQ-ACK  Defines whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].  sr-withoutHARQ-ACK  FDD  FDD  FDD  FDD  FDD  FDD  FDD  F			
Tai-Support Defines whether the UE supports release assistance indication (RAI) as specified in TS 36.321 [6].  FDD/TDD No Defines whether the UE supports RLC UM as specified in TS 36.322 [7].  SupportedBandList Includes the supported NB-IoT bands as defined in TS 36.101 [42].  SIFT-SPS-BSR Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].  SIFT-WithHARQ-ACK Defines whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].  SIFT-WithoutHARQ-ACK FDD -			
Defines whether the UE supports release assistance indication (RAI) as specified in TS 36.321 [6].  FIC-UM Defines whether the UE supports RLC UM as specified in TS 36.322 [7].  SupportedBandList Includes the supported NB-IoT bands as defined in TS 36.101 [42].  FDD/TDD No Includes the supported NB-IoT bands as defined in TS 36.101 [42].  FDD FDD  FDD  FDD  FDD  FDD  FDD  FD		FDD/TDD	No
Pic-UM Defines whether the UE supports RLC UM as specified in TS 36.322 [7].  SupportedBandList Includes the supported NB-IoT bands as defined in TS 36.101 [42].  SIR-SPS-BSR Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].  SIR-WithHARQ-ACK Defines whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].  SIR-WithoutHARQ-ACK FDD -	Defines whether the UE supports release assistance indication (RAI) as specified in TS		
Defines whether the UE supports RLC UM as specified in TS 36.322 [7].  SupportedBandList Includes the supported NB-IoT bands as defined in TS 36.101 [42].  SIR-SPS-BSR Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].  SIR-WithHARQ-ACK Defines whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].  SIR-WithoutHARQ-ACK FDD -	36.321 [6].		
supportedBandList ncludes the supported NB-IoT bands as defined in TS 36.101 [42].  sr-SPS-BSR Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].  sr-withHARQ-ACK Defines whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].  sr-withoutHARQ-ACK FDD -		FDD/TDD	No
Includes the supported NB-IoT bands as defined in TS 36.101 [42].  Sr-SPS-BSR  Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].  Sr-withHARQ-ACK  Defines whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].  Sr-withoutHARQ-ACK  FDD -	.,	FDD/TDD	No
Sr-SPS-BSR Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].  Sr-withHARQ-ACK Defines whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].  Sr-withoutHARQ-ACK FDD -		טטו/טטו	110
sr-withHARQ-ACK  Defines whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].  sr-withoutHARQ-ACK  FDD -	sr-SPS-BSR	FDD	-
Defines whether the UE supports physical layer SR with HARQ ACK as specified in TS 36.213 [23].  Sr-withoutHARQ-ACK FDD -	Defines whether the UE supports SR using SPS BSR as specified in TS 36.321 [6].		
36.213 [23]. FDD -	sr-withHARQ-ACK	FDD	-
sr-withoutHARQ-ACK FDD -			
		FDD	-
	Defines whether the UE supports physical layer SR without HARQ ACK as specified in TS		

UE-Capability-NB field descriptions	FDD/TDD appl	FDD/TDD diff
supportedROHC-Profiles	FDD/TDD	No
List of supported ROHC profiles as defined in TS 36.323 [8].		
twoHARQ-Processes	FDD/TDD	Yes
Defines whether the UE supports two HARQ processes operation in DL and UL as		
specified in TS 36.212 [22] and TS 36.213 [23].		
ue-Category-NB	FDD/TDD	Yes
UE category as defined in TS 36.306 [5]. Value nb1 corresponds to UE category NB1,		
value nb2 corresponds to UE category NB2.		
A UE shall always include the field <i>ue-Category-NB-r13</i> in this version of the specification.		

- NOTE 1: The IE *UE-Capability-NB* does not include AS security capability information, since these are the same as the security capabilities that are signalled by NAS. Consequently AS need not provide "man-in-the-middle" protection for the security capabilities.
- NOTE 2: The column 'FDD/TDD appl' indicates the applicability to the xDD mode: 'FDD' means applicable to FDD only, 'TDD' means applicable to TDD only and 'FDD/TDD' means applicable to FDD and TDD.
- NOTE 3: The column 'FDD/TDD diff' indicates if the UE is allowed to signal a different value for FDD and TDD when the capability applies to both FDD and TDD modes. '-' is used when the capability applies to one mode only, 'No' is used for dual mode capabilities where a common value is signalled for both modes, and 'Yes' is used for dual mode capabilities where a separate value is signalled for each mode. Common capabilities and FDD capabilities are reported in the fields of *UE-Capability-NB* except field *tdd-UE-Capability*. TDD capabilities are reported in *tdd-UE-Capability*.

# UE-RadioPagingInfo-NB

The IE UE-RadioPagingInfo-NB contains UE NB-IoT capability information needed for paging.

#### UE-RadioPagingInfo-NB information element

```
-- ASN1START
UE-RadioPagingInfo-NB-r13 ::=
                                    SEQUENCE {
    ue-Category-NB-r13
                                    ENUMERATED {nb1}
                                                                OPTIONAL,
    [[ multiCarrierPaging-r14
                                   ENUMERATED {true}
                                                                OPTIONAL
       mixedOperationMode-r15 ENUMERATED {supported}
                                                                OPTIONAL,
        wakeUpSignal-r15
                                    ENUMERATED {true}
                                                                OPTIONAL,
       wakeUpSignalMinGap-eDRX-r15 ENUMERATED {ms40, ms240, ms1000, ms2000}
                                                                                OPTIONAL,
        multiCarrierPagingTDD-r15 ENUMERATED {true}
                                                                OPTIONAL
    ]]
-- ASN1STOP
```

#### UE-RadioPagingInfo-NB field descriptions

#### mixedOperationMode

Indicates whether the UE supports multi-carrier operation with mixed operation mode, standalone or inband/guardband, between the anchor carrier and non-anchor carrier for unicast, paging, and random access, as specified in TS 36.300 [9].

#### multiCarrierPaging

Indicates whether the UE supports paging on non-anchor carriers as defined in TS 36.304 [4].

#### multiCarrierPagingTDD

Indicates whether the UE supports paging on non-anchor carriers for TDD as defined in TS 36.304 [4].

#### ue-Category-NB

UE NB-IoT category as defined in TS 36.306 [5]. A UE shall always include the field *ue-Category-NB-r13* in this version of the specification.

#### wakeUpSignal

Indicates whether the UE supports WUS for paging in DRX in FDD as specified in TS 36.304 [4]. If this field is included, the minimum gap between WUS and associated PO for DRX is fixed as 40 ms.

#### wakeUpSignalMinGap-eDRX

Indicates the minimum gap the UE supports between WUS and associated PO in case of eDRX in FDD, as specified in TS 36.304 [4]. Value *ms40* corresponds to 40 ms, value *ms240* corresponds to 240 ms and so on. If this field is included, the UE shall also indicate support for WUS for paging in DRX,

#### UE-TimersAndConstants-NB

The IE *UE-TimersAndConstants-NB* contains timers and constants used by the UE in either RRC\_CONNECTED or RRC\_IDLE.

#### UE-TimersAndConstants-NB information element

```
-- ASN1START
UE-TimersAndConstants-NB-r13 ::=
                                    SEQUENCE {
                                        ENUMERATED {
    t300-r13
                                            ms2500, ms4000, ms6000, ms10000,
                                            ms15000, ms25000, ms40000, ms60000},
    t301-r13
                                        ENUMERATED {
                                            ms2500, ms4000, ms6000, ms10000,
                                            ms15000, ms25000, ms40000, ms60000},
    t310-r13
                                        ENUMERATED
                                            ms0, ms200, ms500, ms1000, ms2000, ms4000, ms8000},
                                        ENUMERATED {
    n310-r13
                                            n1, n2, n3, n4, n6, n8, n10, n20},
    t311-r13
                                        ENUMERATED {
                                            ms1000, ms3000, ms5000, ms10000, ms15000,
                                            ms20000, ms30000},
    n311-r13
                                        ENUMERATED {
                                            n1, n2, n3, n4, n5, n6, n8, n10},
    [[ t311-v1350
                                        ENUMERATED {
                                            ms40000, ms60000, ms90000, ms120000}
                                                        OPTIONAL
                                                                   -- Need OR
                                        ENUMERATED {
      t300-v1530
                                           ms80000, ms100000, ms120000}
                                                                          OPTIONAL,
                                                                                         -- Cond TDD
                                        ENUMERATED {
        t301-v1530
                                            ms80000, ms100000, ms120000}
                                                                            OPTIONAL,
                                                                                         -- Cond TDD
        t311-v1530
                                        ENUMERATED {
                                            ms160000, ms200000)
                                                                            OPTIONAL,
                                                                                        -- Cond TDD
                                        ENUMERATED {ms6000, ms10000, ms15000, ms25000, ms40000,
        t300-r15
                                            ms60000, ms80000, ms120000} OPTIONAL
                                                                                        -- Cond EDT
    ]]
}
-- ASN1STOP
```

#### UE-TimersAndConstants-NB field descriptions

#### n3xy

Constants are described in clause 7.4. n1 corresponds with 1, n2 corresponds with 2 and so on.

#### t3xy

Timers are described in clause 7.3. Value ms0 corresponds with 0 ms, ms200 corresponds with 200 ms and so on. The UE shall use the extended values t311-v1350, t300-v1530, t301-v1530 and t311-v1530, if present, and ignore the value signaled by t311-r13, t300-r13, t300-r13 and t311-r13 respectively.

t300-r15 is only applicable for EDT. UE performing EDT shall use t300-r15, if present.

Conditional presence	Explanation		
EDT	The field is optionally present, Need OR, if edt-Parameters is present in SIB2-NB;		
	otherwise the field is not present and the UE shall delete any existing value for this field.		
TDD	The field is optionally present, Need OR, in TDD mode. Otherwise, the field is not		
	present.		

#### 6.7.3.7 NB-IoT MBMS information elements

Void

#### 6.7.3.7a NB-IoT SC-PTM information elements

#### SC-MTCH-InfoList-NB

The IE *SC-MTCH-InfoList-NB* provides the list of ongoing MBMS sessions transmitted via SC-MRB and for each MBMS session, the associated G-RNTI and scheduling information.

#### SC-MTCH-InfoList-NB information element

```
-- ASN1START
SC-MTCH-InfoList-NB-r14 ::=
                                     SEQUENCE (SIZE (0..maxSC-MTCH-NB-r14)) OF SC-MTCH-Info-NB-r14
SC-MTCH-Info-NB-r14 ::=
                                     SEQUENCE
    sc-mtch-CarrierConfig-r14
                                     CHOICE {
        dl-CarrierConfig-r14
                                                 DL-CarrierConfigCommon-NB-r14,
        dl-CarrierIndex-r14
                                             INTEGER (0..maxNonAnchorCarriers-NB-r14)
    mbmsSessionInfo-r14
                                         MBMSSessionInfo-r13,
    g-RNTI-r14
                                        BIT STRING(SIZE(16))
    g-RNTI-114
sc-mtch-SchedulingInfo-r14
sc-mtch-SchedulingInfo-r14
sc-mtch-SchedulingInfo-r14
BIT STRING (SIZE(maxNeighCell-SCPTM-NB-r14))
                                                                             OPTIONAL,
                                                                                            -- Need OP
                                                                                           OPTIONAL, --
Need OP
    npdcch-NPDSCH-MaxTBS-SC-MTCH-r14
                                             ENUMERATED {n680, n2536},
    npdcch-NumRepetitions-SC-MTCH-r14 ENUMERATED {r1, r2, r4, r8, r16,
                                                      r32, r64, r128, r256,
                                                      r512, r1024, r2048, spare4,
                                                      spare3, spare2, spare1},
    npdcch-StartSF-SC-MTCH-r14 ENUMERATED {v1dot5, v2, v4, v8,
                                                      v16, v32, v48, v64},
   npdcch-Offset-SC-MTCH-r14
                                         ENUMERATED {zero, oneEighth, oneQuarter,
                                                      threeEighth, oneHalf, fiveEighth,
                                                      threeQuarter, sevenEighth},
}
SC-MTCH-SchedulingInfo-NB-r14 ::=
                                         SEQUENCE
   onDurationTimerSCPTM-r14
                                             ENUMERATED {
                                                 pp1, pp2, pp3, pp4,
                                                 pp8, pp16, pp32, spare},
    drx-InactivityTimerSCPTM-r14
                                             ENUMERATED
                                                 pp0, pp1, pp2, pp3,
                                                 pp4, pp8, pp16, pp32},
    schedulingPeriodStartOffsetSCPTM-r14
                                             CHOICE {
        sf10
                                                 INTEGER(0..9),
        sf20
                                                 INTEGER(0..19),
        sf32
                                                  INTEGER(0..31),
                                                 INTEGER(0..39),
        sf40
        sf64
                                                 INTEGER(0..63),
        sf80
                                                  INTEGER(0..79),
```

```
sf128
                                                   INTEGER(0..127),
        sf160
                                                   INTEGER (0..159),
                                                   INTEGER(0..255),
        sf256
                                                   INTEGER(0..319),
        sf320
        sf512
                                                   INTEGER(0..511),
        sf640
                                                   INTEGER(0..639),
                                                   INTEGER(0..1023),
        sf1024
                                                   INTEGER(0..2047),
        sf2048
        sf4096
                                                   INTEGER(0..4095),
        sf8192
                                                   INTEGER(0..8191)
    },
-- ASN1STOP
```

#### SC-MTCH-InfoList-NB field descriptions

#### dl-CarrierConfig

Downlink carrier used for SC-MTCH. E-UTRAN cannot configure a downlink carrier operating in mixed operation mode.

#### dl-CarrierIndex

Index to a downlink carrier signalled in system information. Value '0' corresponds to the anchor carrier, value '1' corresponds to the first entry in *dl-ConfigList* in *SystemInformationBlockType22-NB*, value '2' corresponds to the second entry in *dl-ConfigList* and so on.

#### drx-InactivityTimerSCPTM

Timer for SC-MTCH reception in TS 36.321 [6]. Value in number of NPDCCH periods. Value pp1 corresponds to 1 NPDCCH period, pp2 corresponds to 2 NPDCCH periods and so on.

#### g-RNTI

G-RNTI used to scramble the scheduling and transmission of a SC-MTCH.

#### mbmsSessionInfo

Indicates the ongoing MBMS session in a SC-MTCH.

#### npdcch-NPDSCH-MaxTBS-SC-MTCH

Maximum NPDSCH TBS for the SC-MTCH, see TS 36.213 [23]. Value *n680* corresponds to 680 bits and value *n2536* corresponds to 2536 bits.

## npdcch-NumRepetition-SC-MTCH

The maximum number of NPDCCH repetitions the UE needs to monitor for SC-MTCH multicast search space, see TS 36.213 [23].

#### npdcch-Offset-SC-MTCH

Fractional period offset of starting subframe for NPDCCH multicast search space for SC-MTCH, see TS 36.213 [23].

## npdcch-startSF-SC-MTCH

Starting subframes configuration of the NPDCCH multicast search space for SC-MTCH, see TS 36.213 [23].

# onDurationTimerSCPTM

Timer for SC-MTCH reception in TS 36.321 [6]. Value in number of NPDCCH periods. Value pp1 corresponds to 1 NPDCCH period, pp2 corresponds to 2 NPDCCH periods and so on.

# schedulingPeriodStartOffsetSCPTM

SCPTM-SchedulingCycle and SCPTM-SchedulingOffset in TS 36.321 [6]. The value of SCPTM-SchedulingCycle is in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. The value of SCPTM-SchedulingOffset is in number of sub-frames.

#### sc-mtch-CarrierConfig

Downlink carrier that is used for SC-MTCH.

#### sc-mtch-NeighbourCell

Indicates neighbour cells which also provide this service on SC-MTCH. The first bit is set to 1 if the service is provided on SC-MTCH in the first cell in *scptmNeighbourCellList*, otherwise it is set to 0. The second bit is set to 1 if the service is provided on SC-MTCH in the second cell in *scptmNeighbourCellList*, and so on. If this field is absent, the UE shall assume that this service is not available on SC-MTCH in any neighbour cell.

#### sc-mtch-SchedulingInfo

DRX information for the SC-MTCH.

If this field is absent, DRX is not used for the SC-MTCH.

## SCPTM-NeighbourCellList-NB

The IE SCPTM-NeighbourCellList-NB indicates a list of neighbour cells where ongoing MBMS sessions provided via SC-MRB in the current cells are also provided.

```
-- ASN1START
```

# SCPTM-NeighbourCellList-NB field descriptions physCellId Physical Cell Identity of the neighbour cell. carrierFreq Carrier frequency of the neighbour cell. Absence of the IE means that the neighbour cell is on the same frequency as the current cell.

# 6.7.4 NB-IoT RRC multiplicity and type constraint values

# Multiplicity and type constraint definitions

```
maxNPRACH-Resources-NB-r13 INTEGER ::= 3 -- Maximum number of NPRACH resources for NB-IoT maxNonAnchorCarriers-NB-r14 INTEGER ::= 15 -- Maximum number of non-anchor carriers for NB-IoT maxDRB-NB-r13 INTEGER ::= 2 -- Maximum number of Data Radio Bearers for NB-IoT maxNeighCell-SCPTM-NB-r14 INTEGER ::= 8 -- Maximum number of SCPTM neighbour cells maxNS-Pmax-NB-r13 INTEGER ::= 4 -- Maximum number of NS and P-Max values per band maxSC-MTCH-NB-r14 INTEGER ::= 64 -- Maximum number of SC-MTCHs in one cell for NB-IoT maxSI-Message-NB-r13 INTEGER ::= 8 -- Maximum number of SI messages for NB-IoT
```

# End of NBIOT-RRC-Definitions

```
-- ASN1START
END
-- ASN1STOP
```

# 6.7.5 Direct Indication Information

Direct Indication information is transmitted on NPDCCH using P-RNTI but without associated *Paging-NB* message. Table 6.7.5-1 defines the Direct Indication information, see TS 36.212 [22], clause 6.4.3.3.

When bit n is set to 1, the UE shall behave as if the corresponding field is set in the *Paging-NB* message, see 5.3.2.3. Bit 1 is the least significant bit.

Table 6.7.5-1: Direct Indication information

Bit	Field in Direct Indication information	
1	systemInfoModification	
2	systemInfoModification-eDRX	
3, 4, 5,	Not used, and shall be ignored by UE if received	
6. 7. 8	-	

# 7 Variables and constants

# 7.1 UE variables

NOTE:

To facilitate the specification of the UE behavioural requirements, UE variables are represented using ASN.1. Unless explicitly specified otherwise, it is however up to UE implementation how to store the variables. The optionality of the IEs in ASN.1 is used only to indicate that the values may not always be available.

#### EUTRA-UE-Variables

This ASN.1 segment is the start of the E-UTRA UE variable definitions.

```
-- ASN1START
EUTRA-UE-Variables DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
    AbsoluteTimeInfo-r10,
    AreaConfiguration-r10
    AreaConfiguration-v1130,
   ARFCN-ValueNR-r15,
    BT-NameList-r15,
    CarrierFreqGERAN,
    CellIdentity,
    CellList-r15,
    ConnEstFailReport-r11,
    EUTRA-CarrierList-r15,
    SpeedStateScaleFactors,
    C-RNTI.
    LoggingDuration-r10,
    LoggingInterval-r10,
    LogMeasInfo-r10,
    MeasCSI-RS-Id-r12,
    MeasId.
    MeasId-v1250,
    MeasIdToAddModList,
    MeasIdToAddModListExt-r12,
    MeasIdToAddModList-v1310,
    MeasIdToAddModListExt-v1310,
    MeasObjectToAddModList,
    MeasObjectToAddModList-v9e0,
    MeasObjectToAddModListExt-r13,
    MeasResultListIdle-r15,
    MeasScaleFactor-r12,
    MobilityStateParameters,
    NeighCellConfig,
    PhysCellId,
    PhysCellIdCDMA2000,
    PhysCellIdGERAN,
    PhysCellIdUTRA-FDD,
    PhysCellIdUTRA-TDD,
    PLMN-Identity,
    PLMN-IdentityList3-r11,
    QuantityConfig,
    ReportConfigToAddModList,
    RLF-Report-r9,
    TargetMBSFN-AreaList-r12,
    TraceReference-r10,
    Tx-ResourcePoolMeasList-r14,
    VisitedCellInfoList-r12,
    maxCellMeas,
    maxCSI-RS-Meas-r12,
   maxMeasId,
    maxMeasId-r12.
    maxRS-Index-r15
    PhysCellIdNR-r15,
    RS-IndexNR-r15,
    UL-DelayConfig-r13,
    WLAN-CarrierInfo-r13,
```

```
WLAN-Identifiers-r12,
WLAN-Id-List-r13,
WLAN-NameList-r15,
WLAN-Status-r13,
WLAN-Status-v1430,
WLAN-Status-v1430,
WLAN-SuspendConfig-r14

FROM EUTRA-RRC-Definitions;
```

# VarConnEstFailReport

The UE variable VarConnEstFailReport includes the connection establishment failure information.

#### VarConnEstFailReport UE variable

```
-- ASN1START

VarConnEstFailReport-rl1 ::= SEQUENCE {
    connEstFailReport-rl1 ConnEstFailReport-rl1,
    plmn-Identity-rl1 PLMN-Identity
}

-- ASN1STOP
```

# VarLogMeasConfig

The UE variable *VarLogMeasConfig* includes the configuration of the logging of measurements to be performed by the UE while in RRC\_IDLE, covering intra-frequency, inter-frequency, inter-RAT mobility and MBSFN related measurements. If MBSFN logging is configured, the UE performs logging of measurements while in both RRC\_IDLE and RRC\_CONNECTED. Otherwise, the UE performs logging of measurements only while in RRC\_IDLE.

# VarLogMeasConfig UE variable

```
-- ASN1START
VarLogMeasConfig-r10 ::=
                                           SEQUENCE {
    areaConfiguration-r10
                                     AreaConfiguration-r10
                                                                      OPTIONAL,
    loggingDuration-r10
                                       LoggingDuration-r10,
    loggingInterval-r10
                                      LoggingInterval-r10
VarLogMeasConfig-r11 ::= SEQUENCE { areaConfiguration-r10 AreaCo
                                  AreaConfiguration-r10
AreaConfiguration-v1130
                                                                      OPTIONAL,
    areaConfiguration-v1130
                                                                      OPTIONAL,
                                       LoggingDuration-r10,
    loggingDuration-r10
    loggingInterval-r10
                                       LoggingInterval-r10
}
                             SEQUENCE {
VarLogMeasConfig-r12 ::=
                                  AreaConfiguration-r10
AreaConfiguration-v1130
   areaConfiguration-r10
                                                                     OPTIONAL,
    areaConfiguration-v1130
                                                                      OPTIONAL,
    loggingDuration-r10
                                     LoggingDuration-r10,
    loggingInterval-r10
                                       LoggingInterval-r10,
    targetMBSFN-AreaList-r12
                                       TargetMBSFN-AreaList-r12
                                                                      OPTIONAL
VarLogMeasConfig-r15 ::=
                                  SEQUENCE {
                                  AreaConfiguration-r10
                                                                      OPTIONAL,
    areaConfiguration-r10
    areaConfiguration-v1130 AreaConfiguration-v11 loggingDuration-r10 LoggingDuration-r10,
                                       AreaConfiguration-v1130
                                                                      OPTIONAL,
    loggingInterval-r10 LoggingInterval-r10,
targetMBSFN-AreaList-r12 TargetMBSFN-AreaList-r12
bt-NameList-r15 BT-NameList-r15 OPTION
                                                                               OPTIONAL.
                                                            OPTIONAL,
    wlan-NameList-r15
                                       WLAN-NameList-r15
                                                                               OPTIONAL
}
-- ASN1STOP
```

# VarLogMeasReport

The UE variable VarLogMeasReport includes the logged measurements information.

## VarLogMeasReport UE variable

```
-- ASN1START
VarLogMeasReport-r10 ::=
                                         SEQUENCE {
    traceReference-r10
                                         TraceReference-r10,
    traceRecordingSessionRef-r10
                                             OCTET STRING (SIZE (2)),
    tce-Id-r10
                                         OCTET STRING (SIZE (1)),
    plmn-Identity-r10
                                         PLMN-Identity,
    absoluteTimeInfo-r10
                                         AbsoluteTimeInfo-r10.
    logMeasInfoList-r10
                                         LogMeasInfoList2-r10
VarLogMeasReport-r11 ::= SEQUENCE {
    traceReference-r10 TraceReference-r10, traceRecordingSessionRef-r10 OCTET STRING (SIZE (2)), tce-Id-r10
    plmn-IdentityList-r11
                                        PLMN-IdentityList3-r11,
    absoluteTimeInfo-r10
                                         AbsoluteTimeInfo-r10,
    logMeasInfoList-r10
                                         LogMeasInfoList2-r10
LogMeasInfoList2-r10 ::=
                                         SEQUENCE (SIZE (1..maxLogMeas-r10)) OF LogMeasInfo-r10
-- ASN1STOP
```

# VarMeasConfig

The UE variable *VarMeasConfig* includes the accumulated configuration of the measurements to be performed by the UE, covering intra-frequency, inter-frequency and inter-RAT mobility related measurements.

NOTE: The amount of measurement configuration information, which a UE is required to store, is specified in clause 11.1. If the number of frequencies configured for a particular RAT exceeds the minimum performance requirements specified in TS 36.133 [16], it is up to UE implementation which frequencies of that RAT are measured. If the total number of frequencies for all RATs provided to the UE in the measurement configuration exceeds the minimum performance requirements specified in TS 36.133 [16], it is up to UE implementation which frequencies/RATs are measured.

# VarMeasConfig UE variable

```
-- ASN1START
VarMeasConfig ::=
                                   SEQUENCE {
     - Measurement identities
                                       MeasIdToAddModList
   measIdList
                                                                           OPTIONAL,
                                       MeasIdToAddModListExt-r12
   measIdListExt-r12
                                                                           OPTIONAL,
   measIdList-v1310
                                         MeasIdToAddModList-v1310
                                                                                  OPTIONAL,
   measIdListExt-v1310
                                       MeasIdToAddModListExt-v1310
                                                                           OPTIONAL,
     - Measurement objects
   measObjectList
                                       MeasObjectToAddModList
                                                                           OPTIONAL,
                                       MeasObjectToAddModListExt-r13
   measObjectListExt-r13
                                                                           OPTIONAL.
   measObjectList-v9i0
                                       MeasObjectToAddModList-v9e0
                                                                           OPTIONAL.
    -- Reporting configurations
   reportConfigList
                                       ReportConfigToAddModList
                                                                           OPTIONAL,
    -- Other parameters
                                       QuantityConfig
   quantityConfig
                                                                           OPTIONAL,
   measScaleFactor-r12
                                       MeasScaleFactor-r12
                                                                           OPTIONAL,
   s-Measure
                                       INTEGER (-140..-44)
                                                                           OPTIONAL,
   speedStatePars
                                       CHOICE {
       release
                                           NULL.
                                           SEQUENCE {
           mobilityStateParameters
                                               MobilityStateParameters,
           timeToTrigger-SF
                                               SpeedStateScaleFactors
                                                                           OPTIONAL.
   allowInterruptions-r11
                                   BOOLEAN
                                                                       OPTIONAL
```

-- ASN1STOP

# VarMeasIdleConfig

The UE variable *VarMeasIdleConfig* includes the configuration of the measurements to be performed by the UE while in RRC\_IDLE for E-UTRA inter-frequency measurements. The UE performs logging of these measurements only while in RRC\_IDLE.

# VarMeasIdleConfig UE variable

# VarMeasIdleReport

The UE variable VarMeasIdleReport includes the logged measurements information.

## VarMeasIdleReport UE variable

# VarMeasReportList

The UE variable *VarMeasReportList* includes information about the measurements for which the triggering conditions have been met.

# VarMeasReportList UE variable

```
-- ASN1START
VarMeasReportList ::=
                                   SEQUENCE (SIZE (1..maxMeasId)) OF VarMeasReport
                                   SEQUENCE (SIZE (1..maxMeasId-r12)) OF VarMeasReport
VarMeasReportList-r12 ::=
                                   SEQUENCE {
VarMeasReport ::=
   -- List of measurement that have been triggered
   measId
                                      MeasId,
   measId-v1250
                                       MeasId-v1250
                                                                      OPTIONAL,
                                      CSI-RS-TriggeredList-r12 OPTIONAL,
   cellsTriggeredList
                                      CellsTriggeredList
   csi-RS-TriggeredList-r12
   poolsTriggeredList-r14
                                      Tx-ResourcePoolMeasList-r14 OPTIONAL,
   numberOfReportsSent
                                      INTEGER
CellsTriggeredList ::=
                                  SEQUENCE (SIZE (1..maxCellMeas)) OF CHOICE {
   physCellIdEUTRA
                                           PhysCellId,
   physCellIdUTRA
                                           CHOICE {
       fdd
                                               PhysCellIdUTRA-FDD,
       tdd
                                               PhysCellIdUTRA-TDD
   physCellIdGERAN
                                           SEQUENCE {
       carrierFreq
                                               CarrierFreqGERAN,
       physCellId
                                               PhysCellIdGERAN
   physCellIdCDMA2000
                                           PhysCellIdCDMA2000,
   wlan-Identifiers-r13
                                           WLAN-Identifiers-r12,
```

# VarMobilityHistoryReport

The UE variable VarMobilityHistoryReport includes the mobility history information.

```
-- ASN1START
VarMobilityHistoryReport-r12 ::= VisitedCellInfoList-r12
-- ASN1STOP
```

# VarPendingRnaUpdate

The UE variable *VarPendingRnaUpdate* indicates whether there is a pending RNAU procedure or not. The setting of this BOOLEAN variable to TRUE means that there is a pending RANU procedure.

# VarPendingRnaUpdate UE variable

```
-- ASN1START

VarPendingRnaUpdate-r15 ::= SEQUENCE {
   pendingRnaUpdate BOOLEAN OPTIONAL
}

-- ASN1STOP
```

## VarRLF-Report

The UE variable VarRLF-Report includes the radio link failure information or handover failure information.

#### VarRLF-Report UE variable

```
-- ASN1START

VarRLF-Report-r10 ::= SEQUENCE {
    rlf-Report-r10 RLF-Report-r9,
    plmn-Identity-r10 PLMN-Identity
}

VarRLF-Report-r11 ::= SEQUENCE {
    rlf-Report-r10 RLF-Report-r9,
    plmn-IdentityList-r11 PLMN-IdentityList3-r11
}
```

# VarShortINACTIVE-MAC-Input

The UE variable *VarShortINACTIVE-MAC-Input* specifies the input used to generate the *shortResume-MAC-I* during RRC Connection Resume procedure for RRC\_INACTIVE.

## VarShortINACTIVE-MAC-Input UE variable

```
-- ASN1START
```

# VarShortINACTIVE-MAC-Input field descriptions

#### cellIdentity

An input variable used to calculate the *shortResume-MAC-I*. Set to CellIdentity included in *cellIdentity* (without suffix) in SIB1 of the current cell.

#### c-RNTI

Set to C-RNTI that the UE had in the PCell it was connected to prior to suspension of the RRC connection.

#### physCellId

Set to the physical cell identity of the PCell the UE was connected to prior to suspension of the RRC connection.

# VarShortMAC-Input

The UE variable VarShortMAC-Input specifies the input used to generate the shortMAC-I.

## VarShortMAC-Input UE variable

```
-- ASN1START

VarShortMAC-Input ::= SEQUENCE {
   cellIdentity CellIdentity,
   physCellId PhysCellId,
   c-RNTI C-RNTI
}

-- ASN1STOP
```

#### VarShortMAC-Input field descriptions

#### cellIdentity

An input variable used to calculate the *shortMAC-I*. Set to CellIdentity included in *cellIdentity* (without suffix) in SIB1 of the current cell.

#### c-RNTI

Set to C-RNTI that the UE had in the PCell it was connected to prior to the failure.

#### physCellId

Set to the physical cell identity of the PCell the UE was connected to prior to the failure.

## VarShortResumeMAC-Input

The UE variable *VarShortResumeMAC-Input* specifies the input used to generate the *shortResumeMAC-I* during RRC Connection Resume procedure.

#### VarShortResumeMAC-Input UE variable

```
-- ASN1START

VarShortResumeMAC-Input-r13 ::= SEQUENCE {
    cellIdentity-r13 CellIdentity,
    physCellId-r13 PhysCellId,
    c-RNTI-r13 C-RNTI,
    resumeDiscriminator-r13 BIT STRING(SIZE(1))
}

-- ASN1STOP
```

#### VarShortResumeMAC-Input field descriptions

#### cellidentity

An input variable used to calculate the *shortResumeMAC-I*. Set to CellIdentity included in *cellIdentity* (without suffix) in SIB1 of the current cell.

#### c-RNTI

Set to C-RNTI that the UE had in the PCell it was connected to prior to suspension of the RRC connection.

#### physCellId

Set to the physical cell identity of the PCell the UE was connected to prior to suspension of the RRC connection.

#### resumeDiscriminator

A constant that allows differentiation in the calculation of the MAC-I for shortResumeMAC-I

The resumeDiscriminator is set to '1'

# VarWLAN-MobilityConfig

The UE variable VarWLAN-MobilityConfig includes information about WLAN for access selection and mobility.

## VarWLAN-MobilityConfig UE variable

```
-- ASN1START

VarWLAN-MobilityConfig ::= SEQUENCE {
    wlan-MobilitySet-r13 WLAN-Id-List-r13 OPTIONAL,
    successReportRequested ENUMERATED {true} OPTIONAL,
    wlan-SuspendConfig-r14 WLAN-SuspendConfig-r14 OPTIONAL
}

-- ASN1STOP
```

# VarWLAN-MobilityConfig field descriptions

#### wlan-MobilitySet

Indicates the WLAN mobility set configured.

#### successReportRequested

Indicates whether the UE shall report successful connection to WLAN. Applicable to LWA and LWIP.

## VarWLAN-Status

The UE variable *VarWLAN-Status* includes information about the status of WLAN connection for LWA, RCLWI or LWIP.

#### VarWLAN-Status UE variable

```
-- ASN1START

VarWLAN-Status-r13 ::= SEQUENCE {
    status-r13 WLAN-Status-r13,
    status-r14 WLAN-Status-v1430 OPTIONAL
}

-- ASN1STOP
```

# VarWLAN-Status field descriptions

#### status

Indicates the connection status to WLAN and causes for connection failures.

# Multiplicity and type constraint definitions

This clause includes multiplicity and type constraints applicable (only) for UE variables.

-- ASN1STOP

#### End of EUTRA-UE-Variables

-- ASN1START
END

-- ASN1STOP

# 7.1a NB-IoT UE variables

NOTE:

To facilitate the specification of the UE behavioural requirements, UE variables are represented using ASN.1. Unless explicitly specified otherwise, it is however up to UE implementation how to store the variables. The optionality of the IEs in ASN.1 is used only to indicate that the values may not always be available.

# NBIOT-UE-Variables

This ASN.1 segment is the start of the NB-IoT UE variable definitions.

```
-- ASN1START

NBIOT-UE-Variables DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS
    VarShortMAC-Input,
    VarShortResumeMAC-Input-r13

FROM EUTRA-UE-Variables;

VarShortMAC-Input-NB-r13 ::= VarShortMAC-Input

VarShortResumeMAC-Input-NB-r13 ::= VarShortResumeMAC-Input-r13

-- ASN1STOP
```

# End of NBIOT-UE-Variables

```
-- ASN1START
END
-- ASN1STOP
```

# 7.2 Counters

Counter	Reset	Incremented	When reaching max value

- 7.3 Timers
- 7.3.1 Timers (Informative)

Timer	Start	Stop	At expiry
T300	Transmission of	Reception of	Perform the actions as specified
NOTE1	RRCConnectionRequest or	RRCConnectionSetup, RRCConnectionReject or	in 5.3.3.6
	RRCConnectionResume	RRCConnectionResume or	
	Request or RRCEarlyDataRequest	RRCEarlyDataComplete or RRCConnectionRelease for UP-	
		EDT, cell re-selection and upon	
		abortion of connection	
T301	Transmission of	establishment by upper layers Reception of	Go to RRC_IDLE
NOTE1	RRCConnectionReestabil	RRCConnectionReestablishmen	OS TO TATO_IDEL
	shmentRequest	tor	
		RRCConnectionReestablishmen tReject message as well as	
		when the selected cell becomes	
Tage	Describer	unsuitable	lufama una an lau
T302	Reception of RRCConnectionReject	Upon entering  RRC_CONNECTED and upon	Inform upper layers about barring alleviation as specified in
	while performing RRC	cell re-selection, or upon	5.3.3.7
	connection establishment	reception of	
	or reception of RRCConnectionRelease	RRCEarlyDataComplete or RRCConnectionRelease for UP-	
	including waitTime	EDT or upon reception of	
		RRCConnectionReject message	
T303	Access barred while	for E-UTRA/5GC. Upon entering	Inform upper layers about
1000	performing RRC	RRC_CONNECTED and upon	barring alleviation as specified in
	connection establishment	cell re-selection, or upon	5.3.3.7
	for mobile originating calls	reception of RRCEarlyDataComplete or	
	Jana	RRCConnectionRelease for UP-	
Too	D	EDT	
T304	Reception of RRCConnectionReconfig	Criterion for successful completion of handover within E-	In case of cell change order from E-UTRA
	uration message	UTRA, handover to E-UTRA or	handover, initiate the RRC
	including the	cell change order is met (the	connection re-establishment
	MobilityControl Info or reception of	criterion is specified in the target RAT in case of inter-RAT)	procedure; In case of handover to E-UTRA, perform the actions
	MobilityFromEUTRACom		defined in the specifications
	mand message including		applicable for the source RAT.
T305	CellChangeOrder Access barred while	Upon entering	Inform upper layers about
	performing RRC	RRC_CONNECTED and upon	barring alleviation as specified in
	connection establishment	cell re-selection, or upon	5.3.3.7
	for mobile originating signalling	reception of RRCEarlyDataComplete or	
	orginaling	RRCConnectionRelease for UP-	
Toos	Assess to 1.1.	EDT	Lafarra va a a la l
T306	Access barred while performing RRC	Upon entering  RRC_CONNECTED and upon	Inform upper layers about barring alleviation as specified in
	connection establishment	cell re-selection, or upon	5.3.3.7
	for mobile originating CS	reception of	
	fallback.	RRCEarlyDataComplete or RRCConnectionRelease for UP-	
		EDT	
T307	Reception of	Successful completion of	Initiate the SCG failure
	RRCConnectionReconfig uration message	random access on the PSCell, upon initiating re-establishment	information procedure as specified in 5.6.13.
	including	and upon SCG release	opcomed in 0.0.10.
Toos	MobilityControlInfoSCG	·	
T308	Access barred due to ACDC while performing	Upon entering RRC_CONNECTED and upon	Inform upper layers about barring alleviation for ACDC as
	RRC connection	cell re-selection, or upon	specified in 5.3.3.7
	establishment subject to	reception of	
	ACDC	RRCEarlyDataComplete or RRCConnectionRelease for UP-	
		EDT	
<u> </u>			1

Timer	Start	Stop	At expiry
T309	When access attempt is barred at access barring check for an Access Category. The UE shall maintain one instance of this timer per Access Category.	Upon entering RRC_CONNECTED, upon cell (re)selection, upon reception of RRCConnectionRelease, upon change of PCell while in RRC_CONNECTED, or upon reception of MobilityFromEUTRACommand.	Perform the actions as specified in 5.3.16.4.
T310 NOTE1 NOTE2	Upon detecting physical layer problems for the PCell i.e. upon receiving N310 consecutive out-of-sync indications from lower layers	Upon receiving N311 consecutive in-sync indications from lower layers for the PCell, upon triggering the handover procedure and upon initiating the connection re-establishment procedure	If security is not activated and the UE is not a NB-IoT UE that supports RRC connection reestablishment for the Control Plane CloT EPS optimisation: go to RRC_IDLE else: initiate the connection re-establishment procedure
T311 NOTE1	Upon initiating the RRC connection re- establishment procedure	Selection of a suitable E-UTRA cell or a cell using another RAT.	Enter RRC_IDLE
T312 NOTE2	Upon triggering a measurement report for a measurement identity for which T312 has been configured, while T310 is running	Upon receiving N311 consecutive in-sync indications from lower layers, upon triggering the handover procedure, upon initiating the connection re-establishment procedure, and upon the expiry of T310	If security is not activated: go to RRC_IDLE else: initiate the connection re-establishment procedure
T313 NOTE2	Upon detecting physical layer problems for the PSCell i.e. upon receiving N313 consecutive out-of-sync indications from lower layers	Upon receiving N314 consecutive in-sync indications from lower layers for the PSCell, upon initiating the connection re- establishment procedure, upon SCG release and upon receiving RRCConnectionReconfiguration including MobilityControlInfoSCG	Inform E-UTRAN about the SCG radio link failure by initiating the SCG failure information procedure as specified in 5.6.13.
T320	Upon receiving t320 or upon cell (re)selection to E-UTRA from another RAT with validity time configured for dedicated priorities (in which case the remaining validity time is applied).	Upon entering RRC_CONNECTED, when PLMN selection is performed on request by NAS, when the UE enters RRC_IDLE from RRC_INACTIVE, or upon cell (re)selection to another RAT (in which case the timer is carried on to the other RAT), or upon reception of RRCEarlyDataComplete or RRCConnectionRelease for UP- EDT	Discard the cell reselection priority information provided by dedicated signalling.
T321	Upon receiving measConfig including a reportConfig with the purpose set to reportCGI	Upon acquiring the information needed to set all fields of cellGlobalId for the requested cell, upon receiving measConfig that includes removal of the reportConfig with the purpose set to reportCGI and upon detecting that a cell is not broadcasting SIB1.	Initiate the measurement reporting procedure, stop performing the related measurements and remove the corresponding <i>measId</i>

Timer	Start	Stop	At expiry
T322 NOTE1	Upon receiving redirectedCarrierOffsetD edicated included in RedirectedCarrierInfo	Upon entering RRC_CONNECTED, when PLMN selection is performed on request by NAS, or upon cell (re)selection to another frequency or RAT, or upon reception of RRCEarlyDataComplete or RRCConnectionRelease for UP- EDT	Release redirectedCarrierOffsetDedicate d.
T325	Timer (re)started upon receiving RRCConnectionReject message with deprioritisationTimer.		Stop deprioritisation of all frequencies or E-UTRA signalled by RRCConnectionReject.
T330	Upon receiving LoggedMeasurementCon figuration message	Upon log volume exceeding the suitable UE memory, upon initiating the release of LoggedMeasurementConfiguration procedure	Perform the actions specified in 5.6.6.4
T331	Upon receiving RRCConnectionRelease message including measIdleConfig.	Upon receiving RRCConnectionSetup, RRCConnectionResume or, if validityArea is configured, upon reselecting to cell that does not belong to validityArea.	Release the stored VarMeasIdleConfig.
T340 NOTE2	Upon transmitting UEAssistanceInformation message with powerPrefIndication set to normal	Upon initiating the connection re-establishment procedure	No action.
T341 NOTE2	Upon transmitting UEAssistanceInformation message with bw- Preference.	Upon resuming an RRC connection or upon initiating the connection re-establishment procedure	No action.
T342 NOTE2	Upon transmitting DelayBudgetReport message.	Upon initiating the connection re-establishment and connection resume procedures	No action.
T350	Upon entering RRC_IDLE if <i>t350</i> has been received in wlan-OffloadInfo.	Upon entering RRC_CONNECTED, or upon cell reselection.	Perform the actions specified in 5.6.12.4.
T351	Reception of RRCConnectionReconfig uration message including the association Timer in WLAN-MobilityConfig.	Upon successful connection to WLAN, upon WLAN connection failure, upon leaving RRC_CONNECTED, upon triggering the handover procedure, or upon initiating the connection re-establishment procedure.	Perform WLAN Connection Status Reporting specified in 5.6.15.2.
T360	Upon performing the redistribution target selection as specified in TS 36.304 [4].	Upon entering RRC_CONNECTED, upon receiving a Paging message including redistributionIndication; upon reselecting a cell not belonging to the redistribution target.	Stop considering a frequency or cell to be redistribution target, and perform the redistribution target selection if the condition specified in TS 36.304 [4] is met.
T370	Upon receiving SL- DiscConfig including a discSysInfoToReportConf ig set to setup.	Upon initiating the transmission of SidelinkUEInformation including discSysInfoReportFreqList, upon receiving SL-DiscConfig including discSysInfoToReportConfig set to release, upon handover and re-establishment.	Release discSysInfoToReportConfig.

Timer	Start	Stop	At expiry
T314 NOTE2	Upon early detecting physical layer problems for the PCell i.e. upon receiving N310 consecutive "early-out-of-sync" indications from lower layers.	Upon receiving N311 consecutive in-sync indications from lower layers for the PCell, upon triggering the handover procedure and upon initiating the connection re-establishment procedure	Initiate the UE Assistance Information procedure to report early detection of physical layer problems in accordance with 5.6.10.
T315 NOTE2	Upon detecting physical layer improvements of the PCell i.e. upon receiving N311 consecutive "early-insync" indications from lower layers.	Upon receiving N310 consecutive "early-out-of-sync" indications from lower layers for the PCell.	Initiate the UE Assistance Information procedure to report detection of physical layer improvements in accordance with 5.6.10.
T343 NOTE2	Upon transmitting UEAssistanceInformation message with RLM- Report including earlyOutOfSync.	Upon initiating the connection re-establishment procedure	No action.
T344 NOTE2	Upon transmitting UEAssistanceInformation message with RLM- Report including earlyInSync.	Upon initiating the connection re-establishment procedure	No action.
T345	Upon transmitting UEAssistanceInformation message with overheatingAssistance	Upon initiating the connection re-establishment procedure	No action.
T380	Upon reception of periodic-RNAU-timer in RRCConnectionRelease.	Upon reception of RRCConnectionResume, RRCConnectionRelease or RRCConnectionSetup.	Initiate the RAN notification area update procedure
NOTE1: Only the timers marked with "NOTE1" are applicable to NB-IoT.			
NOTE2: The behaviour as specified in 7.3.2 applies.			

# 7.3.2 Timer handling

When the UE applies zero value for a timer, the timer shall be started and immediately expire unless explicitly stated otherwise.

# 7.4 Constants

Constant	Usage
N310	Maximum number of consecutive "out-of-sync" or "early-out-of-sync" indications for the PCell received from lower layers
N311	Maximum number of consecutive "in-sync" or "early-in-sync" indications for the PCell received from lower layers
N313	Maximum number of consecutive "out-of-sync" indications for the PSCell received from lower layers
N314	Maximum number of consecutive "in-sync" indications for the PSCell received from lower layers

# 8 Protocol data unit abstract syntax

# 8.1 General

The RRC PDU contents in clause 6, clause 9.3.2 and clause 10 are described using abstract syntax notation one (ASN.1) as specified in ITU-T Rec. X.680 [13] and X.681 [14]. Transfer syntax for RRC PDUs is derived from their ASN.1 definitions by use of Packed Encoding Rules, unaligned as specified in ITU-T Rec. X.691 [15].

The following encoding rules apply in addition to what has been specified in X.691:

- When a bit string value is placed in a bit-field as specified in 15.6 to 15.11 in X.691, the leading bit of the bit string value shall be placed in the leading bit of the bit-field, and the trailing bit of the bit string value shall be placed in the trailing bit of the bit-field.

NOTE: The terms 'leading bit' and 'trailing bit' are defined in ITU-T Rec. X.680. When using the 'bstring' notation, the leading bit of the bit string value is on the left, and the trailing bit of the bit string value is on the right.

- When decoding types constrained with the ASN.1 Contents Constraint ("CONTAINING"), automatic decoding of the contained type should not be performed because errors in the decoding of the contained type should not cause the decoding of the entire RRC message PDU to fail. It is recommended that the decoder first decodes the outer PDU type that contains the OCTET STRING or BIT STRING with the Contents Constraint, and then decodes the contained type that is nested within the OCTET STRING or BIT STRING as a separate step.
- When decoding a) RRC message PDUs, b) BIT STRING constrained with a Contents Constraint, or c) OCTET STRING constrained with a Contents Constraint, PER decoders are required to never report an error if there are extraneous zero or non-zero bits at the end of the encoded RRC message PDU, BIT STRING or OCTET STRING.

# 8.2 Structure of encoded RRC messages

An RRC PDU, which is the bit string that is exchanged between peer entities/ across the radio interface contains the basic production as defined in X.691.

RRC PDUs shall be mapped to and from PDCP SDUs (in case of DCCH) or RLC SDUs (in case of PCCH, BCCH, BR-BCCH, CCCH or MCCH) upon transmission and reception as follows:

- when delivering an RRC PDU as an PDCP SDU to the PDCP layer for transmission, the first bit of the RRC PDU shall be represented as the first bit in the PDCP SDU and onwards; and
- when delivering an RRC PDU as an RLC SDU to the RLC layer for transmission, the first bit of the RRC PDU shall be represented as the first bit in the RLC SDU and onwards; and
- upon reception of an PDCP SDU from the PDCP layer, the first bit of the PDCP SDU shall represent the first bit of the RRC PDU and onwards; and
- upon reception of an RLC SDU from the RLC layer, the first bit of the RLC SDU shall represent the first bit of the RRC PDU and onwards.

# 8.3 Basic production

The 'basic production' is obtained by applying UNALIGNED PER to the abstract syntax value (the ASN.1 description) as specified in X.691. It always contains a multiple of 8 bits.

# 8.4 Extension

The following rules apply with respect to the use of protocol extensions:

- A transmitter compliant with this version of the specification shall, unless explicitly indicated otherwise on a PDU type basis, set the extension part empty. Transmitters compliant with a later version may send non-empty extensions;
- A transmitter compliant with this version of the specification shall set spare bits to zero;

# 8.5 Padding

If the encoded RRC message does not fill a transport block, the RRC layer shall add padding bits. This applies to PCCH, BCCH and BR-BCCH.

Padding bits shall be set to 0 and the number of padding bits is a multiple of 8.

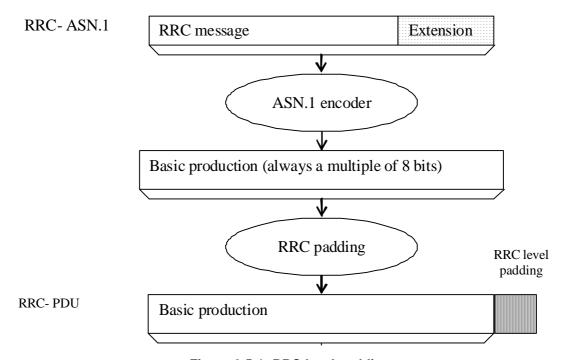


Figure 8.5-1: RRC level padding

# 9 Specified and default radio configurations

Specified and default configurations are configurations of which the details are specified in the standard. Specified configurations are fixed while default configurations can be modified using dedicated signalling.

# 9.1 Specified configurations

# 9.1.1 Logical channel configurations

# 9.1.1.1 BCCH configuration

Parameters

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	TM		
MAC configuration	TM		

NOTE: RRC will perform padding, if required due to the granularity of the TF signalling, as defined in 8.5.

# 9.1.1.2 CCCH configuration

#### Parameters

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	TM		
MAC configuration		Normal MAC headers are used	
Logical channel configuration			
priority	1	Highest priority	
prioritisedBitRate	infinity		
bucketSizeDuration	N/A		
logicalChannelGroup	0		
logicalChannelSR-Mask-r9	release		v920

# 9.1.1.3 PCCH configuration

#### Parameters

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	TM		
MAC configuration	TM		

NOTE: RRC will perform padding, if required due to the granularity of the TF signalling, as defined in 8.5.

# 9.1.1.4 MCCH and MTCH configuration

# Parameters

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	UM		
sn-FieldLength	size5		
t-Reordering	0		

# 9.1.1.5 SBCCH configuration

**Parameters** 

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	TM		
MAC configuration	TM		

NOTE: RRC will perform padding, if required due to the granularity of the TF signalling, as defined in 8.5.

# 9.1.1.6 STCH configuration

# Parameters

Name	Value	Semantics description	Ver
PDCP configuration			
discardTimer	Undefined	Up to UE implementation	
pdcp-SN-Size	16		
maxCID	15		
profiles			
t-Reordering (PDCP)	Undefined	Only used for V2X sidelink communication. Selected by the receiving UE, up to UE implementation	V1520
RLC configuration		Uni-directional UM RLC UM window size is set to 0	
		Uni-directional UM RLC UM window size is set to 0 for sidelink communication	v1440
sn-FieldLength	5		
logicalChannelIdentity	Undefined	Selected by the transmitting UE, up to UE implementation	
Logical channel configuration			
priority	Undefined	Selected by the transmitting UE, up to UE implementation	
prioritisedBitRate	Undefined	Selected by the transmitting UE, up to UE implementation	
bucketSizeDuration	Undefined	Selected by the transmitting UE, up to UE implementation	
logicalChannelGroup	3		
t-Reordering	Undefined	Only used for V2X sidelink communication. Selected by the receiving UE, up to UE implementation	v1440
MAC configuration			

# 9.1.1.7 SC-MCCH and SC-MTCH configuration

# Parameters

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	UM		
sn-FieldLength	size5		
t-Reordering	0		

# 9.1.1.8 BR-BCCH configuration

Parameters

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	TM		
MAC configuration	TM		

NOTE: RRC will perform padding, if required due to the granularity of the TF signalling, as defined in 8.5.

# 9.1.2 SRB configurations

# 9.1.2.1 SRB1

#### **Parameters**

Name	Value	Semantics description	Ver
RLC configuration			
logicalChannelIdentity	1		

# 9.1.2.1a SRB1bis

#### **Parameters**

Name	Value	Semantics description	Ver
RLC configuration			
logicalChannelIdentity	3		

# 9.1.2.2 SRB2

# Parameters

Name	Value	Semantics description	Ver
RLC configuration			
logicalChannelIdentity	2		

# 9.1.2.3 SRB4

# Parameters

Name	Value	Semantics description	Ver
RLC configuration			
logicalChannelIdentity	4		

# 9.2 Default radio configurations

The following clauses only list default values for REL-8 parameters included in protocol version v8.5.0. For all fields introduced in a later protocol version, the default value is "released" unless explicitly specified otherwise. If UE is to apply default configuration while it is configured with some critically extended fields, the UE shall apply the original version with only default values. For the following fields, introduced in a protocol version later than v8.5.0, the default corresponds with "value not applicable":

- codeBookSubsetRestriction-v920;
- pmi-RI-Report;
- NOTE 1: Value "N/A" indicates that the UE does not apply a specific value (i.e. upon switching to a default configuration, E-UTRAN can not assume the UE keeps the previously configured value). This implies that E-UTRAN needs to configure a value before invoking the related functionality.
- NOTE 2: In general, the signalling should preferably support a "release" option for fields introduced after v8.5.0. The "value not applicable" should be used restrictively, mainly limited to for fields which value is relevant only if another field is set to a value other than its default.

# 9.2.1 SRB configurations

# 9.2.1.1 SRB1

# **Parameters**

Name	Value	NB-IoT	Semantics description	Ver
RLC configuration CHOICE	am	am		
ul-RLC-Config				
>t-PollRetransmit	ms45	ms25000		
>pollPDU	infinity	N/A		
>pollByte	infinity	N/A		
>maxRetxThreshold	t4	t4		
dl-RLC-Config				
>t-Reordering	ms35	released		
>t-StatusProhibit	ms0	N/A		
>enableStatusReportSN-Gap	N/A	disabled		
Logical channel configuration				
priority	1	1	Highest priority	
prioritisedBitRate	infinity	N/A		
bucketSizeDuration	N/A	N/A		
logicalChannelGroup	0	N/A		
logicalChannelSR-Prohibit	N/A	TRUE		
logicalChannelSR-Prohibit	N/A	TRUE		

# 9.2.1.2 SRB2

# **Parameters**

Name	Value	Semantics description	Ver
RLC configuration CHOICE	am		
ul-RLC-Config			
>t-PollRetransmit	ms45		

Name	Value	Semantics description	Ver
>pollPDU	infinity		
>pollByte	infinity		
>maxRetxThreshold	t4		
dl-RLC-Config			
>t-Reordering	ms35		
>t-StatusProhibit	ms0		
Logical channel configuration			
priority	3		
prioritisedBitRate	infinity		
bucketSizeDuration	N/A		
logicalChannelGroup	0		

# 9.2.2 Default MAC main configuration

# **Parameters**

Name	Value	NB-IoT	Semantics description	Ver
MAC main configuration				
maxHARQ-tx	n5	N/A		
periodicBSR-Timer	infinity	pp8		
retxBSR-Timer	sf2560	infinity		
ttiBundling	FALSE	N/A		
drx-Config	release	N/A		
phr-Config	release	N/A		

# 9.2.3 Default semi-persistent scheduling configuration

SPS-Config			
>sps-ConfigL	DL	release	
>sps-ConfigU	<i>IL</i>	release	

# 9.2.4 Default physical channel configuration

Parameters (not applicable for NB-IoT)

Name	Value	Semantics description	Ver
PDSCH-ConfigDedicated			
>p-a	dB0		

Name	Value	Semantics description	Ver
PUCCH-ConfigDedicated			
>tdd-AckNackFeedbackMode	bundling	Only valid for TDD mode	
>ackNackRepetition	release		
PUSCH-ConfigDedicated			
>betaOffset-ACK-Index	10		
>betaOffset-RI-Index	12		
>betaOffset-CQI-Index	15		
UplinkPowerControlDedicated			
>p0-UE-PUSCH	0		
>deltaMCS-Enabled	en0 (disabled)		
>accumulationEnabled	TRUE		
>p0-UE-PUCCH	0		
>pSRS-Offset	7		
>filterCoefficient	fc4		
tpc-pdcch-ConfigPUCCH	release		
tpc-pdcch-ConfigPUSCH	release		
CQI-ReportConfig			
>CQI-ReportPeriodic	release		
>cqi-ReportModeAperiodic	N/A		
>nomPDSCH-RS-EPRE-Offset	N/A		
SoundingRS-UL-ConfigDedicated	release		
AntennaInfoDedicated			
>transmissionMode	tm1, tm2	If the number of PBCH antenna ports is one, tm1 is used as default; otherwise tm2 is used as default	
	NI/A		
>codebookSubsetRestriction	N/A		
>ue-TransmitAntennaSelection	release		
SchedulingRequestConfig	release		

# Parameters applicable for NB-IoT

Name	Value	Semantics description	Ver
NPUSCH-ConfigDedicated-NB			
>ack-NACK-NumRepetitions	N/A		

Name	Value	Semantics description	Ver
>npusch-AllSymbols	TRUE		
<i>UplinkPowerControlDedicated</i>			
>p0-UE-NPUSCH	0		

# 9.2.5 Default values timers and constants

# **Parameters**

Name	Value	Semantics description	Ver
t310	ms1000		
n310	n1		
t311	ms1000		
n311	n1		

# 9.3 Sidelink pre-configured parameters

# 9.3.1 Specified parameters

This clause only list parameters which value is specified in the standard.

# **Parameters**

Name	Value	Semantics description	Ver
preconfigSync			
>syncTxParameters			
>>alpha	0		
preconfigComm			
>sc-TxParameters			
>>alpha	0		
>dataTxParameters			
>>alpha	0		
v2x-CommPreconfigSync			
>syncTxParameters			
>>alpha	0		
v2x-CommTxPoolList, p2x-			
CommTxPoolList			
>dataTxParameters			
>>alpha	0		

# 9.3.2 Pre-configurable parameters

This ASN.1 segment is the start of the E-UTRA definitions of pre-configured sidelink parameters.

NOTE 1: Upper layers are assumed to provide a set of pre-configured parameters that are valid at the current UE location if any, see TS 24.334 [69], clause 10.2.

```
-- ASN1START

EUTRA-Sidelink-Preconf DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS
```

```
Additional Spectrum Emission,
    AdditionalSpectrumEmission-v1010,
    ARFCN-ValueEUTRA-r9,
    FilterCoefficient,
    maxCBR-Level-r14,
   maxCBR-Level-1-r14,
    maxFreq,
   maxFreqV2X-r14,
    maxSL-TxPool-r12,
    maxSL-CommRxPoolPreconf-v1310,
   maxSL-CommTxPoolPreconf-v1310,
    maxSL-DiscRxPoolPreconf-r13,
    maxSL-DiscTxPoolPreconf-r13
   maxSL-V2X-CBRConfig2-r14,
    maxSL-V2X-CBRConfig2-1-r14,
   maxSL-V2X-RxPoolPreconf-r14,
    maxSL-V2X-TxConfig2-r14,
    maxSL-V2X-TxConfig2-1-r14
    maxSL-V2X-TxPoolPreconf-r14,
    MCS-PSSCH-Range-r15,
    P-Max,
    ReselectionInfoRelay-r13,
    SL-AnchorCarrierFreqList-V2X-r14,
    SL-CBR-Levels-Config-r14,
    SL-CBR-PSSCH-TxConfig-r14,
    SL-CommTxPoolSensingConfig-r14,
    SL-CP-Len-r12,
    SL-HoppingConfigComm-r12,
    SL-OffsetIndicator-r12,
    SL-OffsetIndicatorSync-r12,
    SL-OffsetIndicatorSync-v1430,
    SL-PeriodComm-r12,
    RSRP-RangeSL3-r12,
    SL-MinT2ValueList-r15,
    SL-PriorityList-r13,
    SL-TF-ResourceConfig-r12,
    SL-TRPT-Subset-r12,
    SL-TxParameters-r12
    SL-ZoneConfig-r14,
    P0-SL-r12,
    TDD-ConfigSL-r12,
    SubframeBitmapSL-r14,
    SL-P2X-ResourceSelectionConfig-r14,
    SL-RestrictResourceReservationPeriodList-r14,
    SL-SyncAllowed-r14,
    SL-OffsetIndicatorSync-r14,
    SL-Priority-r13,
    SL-V2X-FreqSelectionConfigList-r15,
    SL-V2X-PacketDuplicationConfig-r15,
    SL-V2X-SyncFreqList-r15
FROM EUTRA-RRC-Definitions;
-- ASN1STOP
```

# SL-Preconfiguration

The IE SL-Preconfiguration includes the sidelink pre-configured parameters.

# SL-Preconfiguration information elements

```
-- ASN1START
SL-Preconfiguration-r12 ::=
                               SEQUENCE {
   preconfigGeneral-r12
                                      SL-PreconfigGeneral-r12,
   preconfigSync-r12
                                       SL-PreconfigSync-r12,
   preconfigComm-r12
                                      SL-PreconfigCommPoolList4-r12,
    [[ preconfigComm-v1310
                                      SEQUENCE {
           commRxPoolList-r13
                                      SL-PreconfigCommRxPoolList-r13,
           commTxPoolList-r13
                                      SL-PreconfigCommTxPoolList-r13
                                                                          OPTIONAL
                                                                             OPTIONAL,
       preconfigDisc-r13
                                      SEQUENCE {
           discRxPoolList-r13
                                          SL-PreconfigDiscRxPoolList-r13,
           discTxPoolList-r13
                                           SL-PreconfigDiscTxPoolList-r13
                                                                            OPTIONAL
```

```
OPTIONAL,
       preconfigRelay-r13
                                      SL-PreconfigRelay-r13
                                                                          OPTIONAL
   11
}
SL-PreconfigGeneral-r12 ::= SEQUENCE {
   -- PDCP configuration
   rohc-Profiles-r12
                                       SEQUENCE {
       profile0x0001-r12
                                               BOOLEAN,
       profile0x0002-r12
                                              BOOLEAN,
       profile0x0004-r12
                                              BOOLEAN.
       profile0x0006-r12
                                              BOOLEAN,
       profile0x0101-r12
                                              BOOLEAN,
       profile0x0102-r12
                                               BOOLEAN,
       profile0x0104-r12
                                               BOOLEAN
    -- Physical configuration
                                      ARFCN-ValueEUTRA-r9,
   carrierFreq-r12
   maxTxPower-r12
                                      P-Max,
   additionalSpectrumEmission-r12
                                      Additional Spectrum Emission,
   sl-bandwidth-r12
                                       ENUMERATED {n6, n15, n25, n50, n75, n100},
   tdd-ConfigSL-r12
                                       TDD-ConfigSL-r12,
   reserved-r12
                                      BIT STRING (SIZE (19)),
   [[ additionalSpectrumEmission-v1440
                                             AdditionalSpectrumEmission-v1010
                                                                                     OPTIONAL
}
SL-PreconfigSync-r12 ::= SEQUENCE {
   syncCP-Len-r12
                                       SL-CP-Len-r12,
   syncOffsetIndicator1-r12
                                     SL-OffsetIndicatorSync-r12,
   syncOffsetIndicator2-r12
                                      SL-OffsetIndicatorSync-r12,
                                      P0-SL-r12,
   syncTxParameters-r12
   syncTxThreshOoC-r12
                                      RSRP-RangeSL3-r12,
   filterCoefficient-r12
                                       FilterCoefficient,
                                     ENUMERATED {dB0, dB3, dB6, dB9, dB12},
   syncRefMinHyst-r12
                                     ENUMERATED {dB0, dB3, dB6, dB9, dB12, dBinf},
   syncRefDiffHyst-r12
   [[ syncTxPeriodic-r13
                                          ENUMERATED {true}
                                                                     OPTIONAL
   ]]
}
SL-PreconfigCommPoolList4-r12 ::= SEQUENCE (SIZE (1..maxSL-TxPool-r12)) OF SL-PreconfigCommPool-
SL-PreconfigCommRxPoolList-r13 ::= SEQUENCE (SIZE (1..maxSL-CommRxPoolPreconf-v1310)) OF SL-
PreconfigCommPool-r12
SL-PreconfigCommTxPoolList-r13 ::= SEQUENCE (SIZE (1..maxSL-CommTxPoolPreconf-v1310)) OF SL-
PreconfigCommPool-r12
SL-PreconfigCommPool-r12 ::= SEQUENCE {
-- This IE is same as SL-CommResourcePool with rxParametersNCell absent
   sc-CP-Len-r12
                                      SL-CP-Len-r12,
   sc-Period-r12
                                      SL-PeriodComm-r12,
   sc-TF-ResourceConfig-r12
                                      SL-TF-ResourceConfig-r12,
   sc-TxParameters-r12
                                      P0-SL-r12,
   data-CP-Len-r12
                                      SL-CP-Len-r12,
                                   SL-TF-ResourceConfig-r12,
   data-TF-ResourceConfig-r12
   dataHoppingConfig-r12
                                      SL-HoppingConfigComm-r12,
   dataTxParameters-r12
                                       P0-SL-r12,
   trpt-Subset-r12
                                      SL-TRPT-Subset-r12,
                                                                  OPTIONAL -- For Tx
       priorityList-r13
                                      SL-PriorityList-r13
   ]]
}
SL-PreconfigDiscRxPoolList-r13 ::= SEQUENCE (SIZE (1..maxSL-DiscRxPoolPreconf-r13)) OF SL-
PreconfigDiscPool-r13
SL-PreconfigDiscTxPoolList-r13 ::= SEQUENCE (SIZE (1..maxSL-DiscTxPoolPreconf-r13)) OF SL-
PreconfigDiscPool-r13
SL-PreconfigDiscPool-r13 ::= SEQUENCE {
-- This IE is same as SL-DiscResourcePool with rxParameters absent
                                   SL-CP-Len-r12,
   cp-Len-r13
   discPeriod-r13
                               ENUMERATED {rf4, rf6, rf7, rf8, rf12, rf14, rf16, rf24, rf28,
```

# SL-Preconfiguration field descriptions

# carrierFreq

Indicates the carrier frequency for out of coverage sidelink communication and sidelink discovery. In case of FDD it is uplink carrier frequency and the corresponding downlink frequency can be determined from the default TX-RX frequency separation defined in TS 36.101 [42], table 5.7.3-1.

#### additional Spectrum Emission

The UE requirements related to IE *AdditionalSpectrumEmission* are defined in TS 36.101 [42], clause 6.2.4. If *additionalSpectrumEmissionExt-r14* is configured, the UE only considers *additionalSpectrumEmissionExt-r14* (and ignores *additionalSpectrumEmission-r12*).

#### commRxPoolList

Indicates a list of reception pools for sidelink communication in addition to the resource pools indicated by *preconfigComm*.

#### commTxPoolList

Indicates a list of transmission pools for sidelink communication in addition to the first resource pool within preconfigComm.

#### preconfigComm

Indicates a list of resource pools. The first resource pool in the list is used for both reception and transmission of sidelink communication. The other resource pools, if present, are only used for reception of sidelink communication.

#### syncRefDiffHyst

Hysteresis when evaluating a SyncRef UE using relative comparison. Value *dB0* corresponds to 0 dB, *dB3* to 3 dB and so on, value *dBinf* corresponds to infinite dB.

# syncRefMinHyst

Hysteresis when evaluating a SyncRef UE using absolute comparison. Value *dB0* corresponds to 0 dB, *dB3* to 3 dB and so on.

- NOTE 1: The network may configure one or more of the reception only resource pools in *preconfigComm* to cover reception from in coverage UEs using scheduled resource allocation. For such a resource pool the network should set all bits of *subframeBitmap* to 1 and *offsetIndicator* to indicate the subframe immediately following the sidelink control information.
- NOTE 2: The network should ensure that the resources defined by the first entry in *preconfigComm* (used for transmission by an out of coverage UE) do not overlap with those of the pool(s) covering scheduled transmissions by in coverage UEs. Furthermore, the network should ensure that for none of the entries in *preconfigComm* the resources defined by *sc-TF-ResourceConfig* overlap.

# SL-V2X-Preconfiguration

The IE SL-V2X-Preconfiguration includes the sidelink pre-configured parameters used for V2X sidelink communication

# SL-V2X-Preconfiguration information elements

```
syncFreqList-r15
                                   SL-V2X-SyncFreqList-r15
                                                                                 OPTIONAL,
        slss-TxMultiFreq-r15 ENUMERATED {true}
v2x-TxProfileList-r15 SL-V2X-TxProfileList-r15
                                                                                 OPTIONAL,
                                                                                 OPTIONAL
    11
SL-CBR-PreconfigTxConfigList-r14 ::= SEQUENCE {
    cbr-RangeCommonConfigList-r14 SEQUENCE (SIZE (1..maxSL-V2X-CBRConfig2-r14)) OF SL-CBR-Levels-
Config-r14,
   sl-CBR-PSSCH-TxConfigList-r14 SEQUENCE (SIZE (1..maxSL-V2X-TxConfig2-r14)) OF SL-CBR-PSSCH-
TxConfig-r14
}
SL-V2X-PreconfigFreqList-r14 ::=
                                  SEQUENCE (SIZE (1..maxFreqV2X-r14)) OF SL-V2X-PreconfigFreqInfo-
SL-V2X-PreconfigFreqInfo-r14 ::=
                                        SEQUENCE {
    v2x-CommPreconfigGeneral-r14
                                        SL-PreconfigGeneral-r12,
    v2x-CommPreconfigSync-r14
                                       SL-PreconfigV2X-Sync-r14
                                                                                 OPTIONAL,
    v2x-CommRxPoolList-r14
                                        SL-PreconfigV2X-RxPoolList-r14,
                                        SL-PreconfigV2X-TxPoolList-r14,
    v2x-CommTxPoolList-r14
   p2x-CommTxPoolList-r14
                                       SL-PreconfigV2X-TxPoolList-r14,
                                            SL-CommTxPoolSensingConfig-r14
    v2x-ResourceSelectionConfig-r14
                                                                                     OPTIONAL,
    zoneConfig-r14
                                       SL-ZoneConfig-r14
                                                                                OPTIONAL,
                                        ENUMERATED {gnss, enb},
    syncPriority-r14
    thresSL-TxPrioritization-r14
                                        SL-Priority-r13
                                                                             OPTIONAL,
    offsetDFN-r14
                                        INTEGER (0..1000)
                                                                             OPTIONAL,
    [[ v2x-FreqSelectionConfigList-r15 SL-V2X-FreqSelectionConfigList-r15 OPTIONAL
    ]]
}
SL-PreconfigV2X-RxPoolList-r14 ::= SEQUENCE (SIZE (1..maxSL-V2X-RxPoolPreconf-r14)) OF SL-V2X-
PreconfigCommPool-r14
SL-PreconfigV2X-TxPoolList-r14 ::= SEQUENCE (SIZE (1..maxSL-V2X-TxPoolPreconf-r14)) OF SL-V2X-
PreconfigCommPool-r14
SL-V2X-PreconfigCommPool-r14 ::= SEQUENCE {
-- This IE is same as SL-CommResourcePoolV2X with rxParametersNCell absent
   sl-OffsetIndicator-r14
                            SL-OffsetIndicator-r12 OPTIONAL,
                                        SubframeBitmapSL-r14,
    sl-Subframe-r14
    adjacencyPSCCH-PSSCH-r14
                                        BOOLEAN,
                                        ENUMERATED {
    sizeSubchannel-r14
                                        n4, n5, n6, n8, n9, n10, n12, n15, n16, n18, n20, n25, n30,
                                        n48, n50, n72, n75, n96, n100, spare13, spare12, spare11,
                                        spare10, spare9, spare8, spare7, spare6, spare5, spare4,
                                        spare3, spare2, spare1},
    numSubchannel-r14
                                        ENUMERATED {n1, n3, n5, n8, n10, n15, n20, spare1},
    startRB-Subchannel-r14
                                       INTEGER (0..99),
    startRB-PSCCH-Pool-r14
                                        INTEGER (0..99)
                                                                    OPTIONAL,
   dataTxParameters-r14
                                        P0-SL-r12,
    zoneID-r14
                                       INTEGER (0..7)
                                                                    OPTIONAL,
    threshS-RSSI-CBR-r14
                                            INTEGER (0..45)
                                                                        OPTIONAL.
   cbr-pssch-TxConfigList-r14 SL-CBR-PPPP-TxPreconfigList-r14 OPTIONAL, resourceSelectionConfigP2X-r14 SL-P2X-ResourceSelectionConfig-r14 OPTIONAL,
    syncAllowed-r14
                                        SL-SyncAllowed-r14
                                                                        OPTIONAL,
    restrictResourceReservationPeriod-r14 SL-RestrictResourceReservationPeriodList-r14
    OPTIONAL,
    [[ sl-MinT2ValueList-r15
                                       SL-MinT2ValueList-r15
                                                                        OPTIONAL,
        cbr-pssch-TxConfigList-v1530 SL-CBR-PPPP-TxPreconfigList-v1530 OPTIONAL
}
SL-PreconfigV2X-Sync-r14 ::=
                                SEQUENCE {
    syncOffsetIndicators-r14
                                       SL-V2X-SyncOffsetIndicators-r14,
                                        P0-SL-r12,
    syncTxParameters-r14
    syncTxThreshOoC-r14
                                        RSRP-RangeSL3-r12,
    filterCoefficient-r14
                                        FilterCoefficient,
                                       ENUMERATED {dB0, dB3, dB6, dB9, dB12},
    syncRefMinHyst-r14
    syncRefDiffHyst-r14
                                        ENUMERATED {dB0, dB3, dB6, dB9, dB12, dBinf},
       slss-TxDisabled-r15
                                       ENUMERATED {true}
    Π
                                                                        OPTIONAL
    ]]
}
SL-V2X-SyncOffsetIndicators-r14 ::= SEQUENCE {
```

```
syncOffsetIndicator1-r14 SL-OffsetIndicatorSync-r14, syncOffsetIndicator2-r14 SL-OffsetIndicatorSync-r14, syncOffsetIndicator3-r14 SL-OffsetIndicatorSync-r14
                                                                                               OPTIONAL
}
SL-CBR-PPPP-TxPreconfigList-r14 ::= SEQUENCE (SIZE (1..8)) OF SL-PPPP-TxPreconfigIndex-r14
SL-PPPP-TxPreconfigIndex-r14 ::= SEQUENCE {
    priorityThreshold-r14 SL-Priority-r13,
defaultTxConfigIndex-r14 INTEGER(0..maxCBR-Level-1-r14),
cbr-ConfigIndex-r14 INTEGER(0..maxSL-V2X-CBRConfig2-1-r14),
tx-ConfigIndexList-r14 SEQUENCE (SIZE (1..maxCBR-Level-r14)) OF Tx-PreconfigIndex-r14
Tx-PreconfigIndex-r14 ::=
                                            INTEGER(0..maxSL-V2X-TxConfig2-1-r14)
SL-CBR-PPPP-TxPreconfigList-v1530 ::= SEQUENCE (SIZE (1..8)) OF SL-PPPP-TxPreconfigIndex-v1530
SL-PPPP-TxPreconfigIndex-v1530 ::=
                                                 SEQUENCE {
    mcs-PSSCH-Range-r15
                                            SEQUENCE (SIZE (1..maxCBR-Level-r14)) OF MCS-PSSCH-Range-r15
                        OPTIONAL
                                       SEQUENCE (SIZE (1..256)) OF SL-V2X-TxProfile-r15
SL-V2X-TxProfileList-r15 ::=
SL-V2X-TxProfile-r15 ::=
                                       ENUMERATED {
                                              rel14, rel15, spare6, spare5, spare4,
                                              spare3, spare2, spare1, ...}
END
-- ASN1STOP
```

#### SL-V2X-Preconfiguration field descriptions

#### adjacencyPSCCH-PSSCH

Indicates whether a UE always transmits PSCCH and PSSCH in adjacent RBs (indicated by TRUE) or it may transmit PSCCH and PSSCH in non-adjacent RBs (indicated by FALSE). This parameter appears only when a pool is configured such that a UE transmits PSCCH and the associated PSSCH in the same subframe.

#### anchorCarrierFreqList

Indicates carrier frequencies which may include inter-carrier resource configuration for V2X sidelink communication.

#### cbr-PreconfigList

Indicates the preconfigured list of CBR ranges and the list of PSSCH transmission configurations available to configure congestion control to the UE for V2X sidelink communication.

#### cbr-pssch-TxConfigList

Indicates the mapping between PPPPs, CBR ranges by using indexes of the entry in *cbr-RangeCommonConfigList* in *cbr-PreconfigList*, and PSSCH transmission parameters and CR limits by using indexes of the entry in *sl-CBR-PSSCH-TxConfigList* in *cbr-PreconfigList*.

#### numSubchannel

Indicates the number of subchannels in the corresponding resource pool.

#### offsetDFN

Indicates the timing offset for the UE to determine DFN timing when GNSS is used for timing reference. Value 0 corresponds to 0 milliseconds, value 1 corresponds to 0.001 milliseconds, value 2 corresponds to 0.002 milliseconds, and so on.

# resourceSelectionConfigP2X

Indicates the allowed resource selection mechanism(s), i.e. partial sensing and/or random selection, for P2X related V2X sidelink communication.

# restrictResourceReservationPeriod

If configured, the field restrictResourceReservationPeriod configured in v2x-ResourceSelectionConfig shall be ignored for transmission on this pool.

#### sizeSubchannel

Indicates the number of PRBs of each subchannel in the corresponding resource pool. The value n5 denotes 5 PRBs; n6 denotes 6 PRBs and so on. The values n5, n6, n10, n15, n20, n25, n50, n75 and n100 apply in the case of *adjacencyPSCCH-PSSCH* set to TRUE; the values n4, n5, n6, n8, n9, n10, n12, n15, n16, n18, n20, n30, n48, n72 and n96 apply in the case of *adjacencyPSCCH-PSSCH* set to FALSE.

# sl-OffsetIndicator

Indicates the offset of the first subframe of a resource pool within a SFN cycle. If absent, the resource pool starts from first subframe of SFN=0. This field is not applicable to V2X sidelink communication.

#### sl-Subframe

Indicates the bitmap of the resource pool, which is is defined by repeating the bitmap within a SFN cycle (see TS 36.213 [23]).

#### startRB-Subchannel

Indicates the lowest RB index of the subchannel with the lowest index.

# startRB-PSCCH-Pool

Indicates the lowest RB index of the PSCCH pool.

#### syncAllowed

Indicates the allowed synchronization reference(s) which is (are) allowed to use the pre-configured resource pool.

# syncPriority 5 1

Indicates the synchronization priority order. In case the UE does not detect any cell which configures synchronization configuration on the carrier frequency in *anchorCarrierFreqList*, if this field is set to *gnss*, the UE shall prioritize GNSS over the UE directly synchronized to eNB; if this field is set to *enb*, the UE shall prioritize the UE directly synchronized to eNB over GNSS.

# thresSL-TxPrioritization

Indicates the threshold used to determine whether SL V2X transmission is prioritized over uplink transmission if they overlap in time (see TS 36.321 [6]).

#### threshS-RSSI-CBR

Indicates the S-RSSI threshold for determining the contribution of a sub-channel to the CBR measurement, as specified in TS 36.214 [48]. Value 0 corresponds to -112 dBm, value 1 to -110 dBm, value n to (-112 + n\*2) dBm, and so on.

# v2x-CommRxPoolList

Indicates a list of reception pools for V2X sidelink communication.

#### v2x-CommTxPoolList

Indicates a list of transmission pools for V2X sidelink communication.

# v2x-ResourceSelectionConfig

Indicates V2X sidelink communication configurations used for UE autonomous resource selection.

# SL-V2X-Preconfiguration field descriptions

#### v2x-TxProfileList

Indicates for each Tx profile the corresponding transmission format, used as specified in TS 36.321 [6], in order of increasing Tx profile pointer identities. For each entry, Value REL14 indicates that the UE shall use Release 14 compatible format (i.e. using MCS table in Table 8.6.1-1 with 64 QAM indices overridden by 16QAM in TS 36.213 [23] and not Rel-15 feature) to transmit the corresponding V2X packet. Value REL15 indicates that the UE shall use Release 15 format (i.e. using rate matching, TBS scaling, MCS table in Table 8.6.1 and, if applicable, the MCS indices supporting 64QAM in Table 8.6.1 and Table 14.1.1-2 in TS 36.213 [23]) to transmit the corresponding V2X packet. If *v2x-TxProfileList* is not configured by upper layers, the UE shall use Release 14 compatible format to transmit the corresponding V2X packet.

#### zoneConfig

Indicates zone configurations used for V2X sidelink communication in 5.10.13.2.

#### zonell

Indicates the zone ID for which the UE shall use this resource pool as described in 5.10.13.2. The field is absent in *v2x-CommRxPoolList* and p2x-CommTxPoolList in *SL-V2X-PreconfigFreqInfo*.

# 10 Radio information related interactions between network nodes

# 10.1 General

This clause specifies RRC messages that are transferred between network nodes. These RRC messages may be transferred to or from the UE via another Radio Access Technology. Consequently, these messages have similar characteristics as the RRC messages that are transferred across the E-UTRA radio interface, i.e. the same transfer syntax and protocol extension mechanisms apply.

# 10.2 Inter-node RRC messages

# 10.2.1 General

This clause specifies RRC messages that are sent either across the X2- or the S1-interface, either to or from the eNB, i.e. a single 'logical channel' is used for all RRC messages transferred across network nodes. The information could originate from or be destined for another RAT.

# EUTRA-InterNodeDefinitions

This ASN.1 segment is the start of the E-UTRA inter-node PDU definitions.

```
-- ASN1START
EUTRA-InterNodeDefinitions DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
TMPORTS
    AntennaInfoCommon,
    AntennaInfoDedicated-v10i0,
    ARFCN-ValueEUTRA,
    ARFCN-ValueEUTRA-v9e0,
    ARFCN-ValueEUTRA-r9,
    CellIdentity,
    C-RNTI,
    DL-DCCH-Message,
    DRB-Identity,
    DRB-ToReleaseList,
    DRB-ToReleaseList-r15,
    FreqBandIndicator-r11,
    InDeviceCoexIndication-r11,
    LWA-Config-r13,
    MasterInformationBlock,
    maxBands,
```

```
maxFreq,
   maxDRB,
   maxDRBExt-r15,
   maxDRB-r15,
   maxSCell-r10,
   maxSCell-r13,
   maxServCell-r10,
   maxServCell-r13
   MBMSInterestIndication-r11,
    MeasConfig,
   MeasGapConfig,
   MeasGapConfigPerCC-List-r14,
   MeasResultForRSSI-r13,
   MeasResultListWLAN-r13,
    OtherConfig-r9,
    PhysCellId,
    P-Max,
    PowerCoordinationInfo-r12,
    SidelinkUEInformation-r12,
    SL-CommConfig-r12,
    SL-DiscConfig-r12,
    SubframeAssignment-r15,
    RadioResourceConfigDedicated,
    RadioResourceConfigDedicated-v13c0,
    RadioResourceConfigDedicated-v1370,
    RAN-NotificationAreaInfo-r15,
    RCLWI-Configuration-r13,
    RSRP-Range,
    RSRQ-Range,
    RSRQ-Range-v1250,
    RS-SINR-Range-r13,
    SCellToAddModList-r10,
    SCellToAddModList-v13c0,
    SCellToAddModListExt-r13
    SCellToAddModListExt-v13c0,
    SCG-ConfigPartSCG-r12,
    SCG-ConfigPartSCG-v12f0,
    SCG-ConfigPartSCG-v13c0,
    {\tt SecurityAlgorithmConfig,}
    SCellIndex-r10,
    SCellIndex-r13,
    SCellToReleaseList-r10,
    SCellToReleaseListExt-r13,
    ServCellIndex-r10,
    ServCellIndex-r13,
    ShortMAC-I,
   MeasResultServFreqListNR-r15,
   MeasResultSSTD-r13,
    SL-V2X-ConfigDedicated-r14,
    SystemInformationBlockType1,
    SystemInformationBlockType1-v890-IEs,
    SystemInformationBlockType2,
    UEAssistanceInformation-r11,
    UECapabilityInformation,
    UE-CapabilityRAT-ContainerList,
    UE-RadioPagingInfo-r12,
    WLANConnectionStatusReport-r13,
    WLAN-OffloadConfig-r12
FROM EUTRA-RRC-Definitions;
-- ASN1STOP
```

# 10.2.2 Message definitions

# HandoverCommand

This message is used to transfer the handover command generated by the target eNB.

Direction: target eNB to source eNB/ source RAN

# HandoverCommand message

```
-- ASN1START
```

```
HandoverCommand ::=
                                      SEQUENCE {
    criticalExtensions
                                         CHOICE {
                                            CHOICE {
        c1
            handoverCommand-r8
                                                  HandoverCommand-r8-IEs,
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
        criticalExtensionsFuture
                                              SEQUENCE { }
    }
}
HandoverCommand-r8-IEs ::= handoverCommandMessage
                                     SEQUENCE {
                                         OCTET STRING (CONTAINING DL-DCCH-Message),
    nonCriticalExtension
                                          SEQUENCE {}
                                                                              OPTIONAL
-- ASN1STOP
```

#### HandoverCommand field descriptions

#### handoverCommandMessage

Contains the entire DL-DCCH-Message including the *RRCConnectionReconfiguration* message used to perform handover within E-UTRAN or handover to E-UTRAN, generated (entirely) by the target eNB.

NOTE: The source BSC, in case of inter-RAT handover from GERAN to E-UTRAN, expects that the HandoverCommand message includes DL-DCCH-Message only. Thus, criticalExtensionsFuture, spare1-spare7 and nonCriticalExtension should not be used regardless whether the source RAT is E-UTRAN, UTRAN or GERAN.

# HandoverPreparationInformation

This message is used to transfer the E-UTRA RRC information used by the target eNB or target ng-eNB during handover preparation or UE context retrieval, e.g. in case of resume or re-establishment, including UE capability information.

Direction: source eNB/ source RAN to target eNB or target ng-eNB

# HandoverPreparationInformation message

```
-- ASN1START
HandoverPreparationInformation ::= SEQUENCE {
   criticalExtensions CHOICE {
                                       CHOICE {
       c1
           handoverPreparationInformation-r8 HandoverPreparationInformation-r8-IEs,
           spare7 NULL,
           spare6 NULL, spare5 NULL, spare4 NULL,
           spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                         SEOUENCE { }
}
HandoverPreparationInformation-r8-IEs ::= SEQUENCE {
   ue-RadioAccessCapabilityInfo UE-CapabilityRAT-ContainerList,
   as-Config
                                     AS-Config
                                                                OPTIONAL,
                                                                OPTIONAL,
   rrm-Config
                                     RRM-Config
   as-Context
                                                            OPTIONAL,
                                      AS-Context
                                                                            -- Cond HO
                                      HandoverPreparationInformation-v920-IEs
   nonCriticalExtension
                                                                                OPTIONAL
HandoverPreparationInformation-v920-IEs ::= SEQUENCE {
                         ENUMERATED {
   ue-ConfigRelease-r9
                                      rel9, rel10, rel11, rel12, v10j0, v11e0,
                                      v1280, rel13, ..., rel14, rel15}
                                                                           OPTIONAL,
                                                                                        -- Cond
HO<sub>2</sub>
   nonCriticalExtension
                                     HandoverPreparationInformation-v9d0-IEs
                                                                                OPTIONAL
```

```
HandoverPreparationInformation-v9d0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING (CONTAINING HandoverPreparationInformation-
v9j0-IEs) OPTIONAL,
   nonCriticalExtension
                                      HandoverPreparationInformation-v9e0-IEs
                                                                                         OPTIONAL
-- Late non-critical extensions:
HandoverPreparationInformation-v9j0-IEs ::= SEQUENCE {
    -- Following field is only for pre REL-10 late non-critical extensions
    lateNonCriticalExtension
                                       OCTET STRING
   nonCriticalExtension
                                        HandoverPreparationInformation-v10j0-IEs
                                                                                         OPTIONAL
}
HandoverPreparationInformation-v10j0-IEs ::= SEQUENCE {
   as-Config-v10j0
                                       AS-Config-v10j0
                                                                OPTIONAL,
                                        HandoverPreparationInformation-v10x0-IEs
   nonCriticalExtension
                                                                                        OPTIONAL
HandoverPreparationInformation-v10x0-IEs ::= SEQUENCE {
    -- Following field is only for late non-critical extensions from REL-10 to REL-12 lateNonCriticalExtension OCTET STRING OPTIONAL,
                                       HandoverPreparationInformation-v13c0-IEs
   nonCriticalExtension
                                                                                        OPTIONAL
HandoverPreparationInformation-v13c0-IEs ::= SEQUENCE {
                                       AS-Config-v13c0 OPTIONAL,
   as-Config-v13c0
    -- Following field is only for late non-critical extensions from REL-13
    nonCriticalExtension
                                       SEQUENCE {}
}
-- Regular non-critical extensions:
HandoverPreparationInformation-v9e0-IEs ::= SEQUENCE {
                                                                        OPTIONAL,
   as-Config-v9e0 AS-Config-v9e0 OPTIONAL, nonCriticalExtension HandoverPreparationInformation-v1130-IEs
   as-Config-v9e0
                                                                                     -- Cond HO2
                                                                                         OPTIONAL
}
HandoverPreparationInformation-v1130-IEs ::= SEQUENCE {
   as-Context-v1130 AS-Context-v1130 OPTIONAL, nonCriticalExtension HandoverPreparationInformation-v1250-IEs
   as-Context-v1130
                                                                        OPTIONAL,
                                                                                     -- Cond HO2
   OPTIONAL
}
   ue-SupportedEARFCN-r12 ARFCN-ValueEUTRA-r9 OPTIONAL, -- C
as-Config-v1250 AS-Config-v1250 OPTIONAL, -- Cond HO2
nonCriticalExtension HandoverPreparationInformation-v1320-TF2
HandoverPreparationInformation-v1250-IEs ::= SEQUENCE {
                                                                       OPTIONAL, -- Cond HO3
    OPTIONAL
}
HandoverPreparationInformation-v1320-IEs ::= SEQUENCE {
                                                           OPTIONAL,
   as-Config-v1320 AS-Config-v1320
as-Context-v1320 AS-Context-v1320
                                                                                     -- Cond HO2
                                                                                     -- Cond HO2
                                       AS-Context-v1320
                                                                        OPTIONAL,
    as-Context-v1320
nonCriticalExtension
                                      HandoverPreparationInformation-v1430-IEs
    OPTIONAL
}
OPTIONAL, -- Cond HO2
}
HandoverPreparationInformation-v1530-IEs ::= SEQUENCE {
   ran-NotificationAreaInfo-r15 RAN-NotificationAreaInfo-r15 CononCriticalExtension HandoverPreparationInformation-v1540-IEs
    nonCriticalExtension
        OPTIONAL
}
HandoverPreparationInformation-v1540-IEs ::= SEQUENCE {
                                                                        OPTIONAL,
    sourceRB-ConfigIntra5GC-r15 OCTET STRING
                                                                                     --Cond HO4
   nonCriticalExtension
                                       SEOUENCE {}
                                                                        OPTIONAL
-- ASN1STOP
```

#### HandoverPreparationInformation field descriptions

#### as-Config

The radio resource configuration. Applicable in case of intra-E-UTRA handover. If the target receives an incomplete MeasConfig and/or RadioResourceConfigDedicated in the as-Config, the target eNB may decide to apply the full configuration option based on the ue-ConfigRelease.

#### as-Context

Local E-UTRAN context required by the target eNB.

#### makeBeforeBreakReg

To request the target eNB to add the *makeBeforeBreak* indication in the *mobilityControlInfo* in case of intra-frequency handover.

#### rrm-Config

Local E-UTRAN context used depending on the target node's implementation, which is mainly used for the RRM purpose. May also be provided at inter-RAT intra-5GC handover from NR.

#### sourceRB-ConfigIntra5GC

NR radio bearer config used at intra5GC handover, as defined by RadioBearerConfig IE in TS 38.331 [82].

#### ue-ConfigRelease

Indicates the RRC protocol release or version applicable for the current UE configuration. This could be used by target eNB to decide if the full configuration approach should be used. If this field is not present, the target assumes that the current UE configuration is based on the release 8 version of RRC protocol. NOTE 1.

# ue-RadioAccessCapabilityInfo

For E-UTRA radio access capabilities, it is up to E-UTRA how the backward compatibility among supportedBandCombinationReduced, supportedBandCombination and supportedBandCombinationAdd is ensured. If supportedBandCombinationReduced and supportedBandCombination/supportedBandCombinationAdd are included into ueCapabilityRAT-Container, it can be assumed that the value of fields, requestedBands, reducedIntNonContCombRequested and requestedCCsXL are consistend with all supported band combination fields. NOTE 2

# ue-SupportedEARFCN

Includes UE supported EARFCN of the handover target E-UTRA cell if the target E-UTRA cell belongs to multiple frequency bands.

NOTE 1: The source typically sets the *ue-ConfigRelease* to the release corresponding with the current dedicated radio configuration. The source may however also consider the common radio resource configuration e.g. in case interoperability problems would appear if the UE temporary continues extensions of this part of the configuration in a target PCell not supporting them.

NOTE 2: The following table indicates per source RAT whether RAT capabilities are included or not.

Source RAT	E-UTRA capabilites	UTRA capabilities	GERAN capabilities	MR DC capabilities	NR capabilities
UTRAN		May be included, ignored by eNB if received	May be included	Excluded	Excluded
GERAN CS		May be included, ignored by eNB if received	Included	Excluded	Excluded
GERAN PS		May be included, ignored by eNB if received	Included	Excluded	Excluded
E-UTRAN	Included	May be included	May be included	May be included	May be included
NR	Included	Excluded	Excluded	May be included	May be included

Conditional presence	Explanation
НО	The field is mandatory present in case of handover within E-UTRA; otherwise the field is
	not present.
HO2	The field is optional present in case of handover within E-UTRA; otherwise the field is not
	present.
HO3	The field is optional present in case of handover from GERAN to E-UTRA, otherwise the
	field is not present.
HO4	The field is mandatory present in case of handover within E-UTRA/5GC and optional
	present in case of handover from NR to E-UTRA/5GC; otherwise the field is not present.

# SCG-Config

This message is used to transfer the SCG radio configuration generated by the SeNB.

Direction: Secondary eNB to master eNB

# SCG-Config message

```
-- ASN1START
SCG-Config-r12 ::=
    criticalExtensions
                                     SEQUENCE {
                                       CHOICE {
        c1
                                               CHOICE {
             scg-Config-r12
                                               SCG-Config-r12-IEs,
             spare7 NULL,
             spare6 NULL, spare5 NULL, spare4 NULL,
             spare3 NULL, spare2 NULL, spare1 NULL
        criticalExtensionsFuture
                                              SEQUENCE {}
}
   G-Config-r12-IES ::= SEQUENCE {
    scg-RadioConfig-r12 SCG-ConfigPartSCG-r12 OPTIONAL,
    nonCriticalExtension SCG-Config-v12i0a-IES OPTIO
SCG-Config-r12-IEs ::=
                                                                                     OPTIONAL
SCG-Config-v12i0a-IEs ::=
                                          SEQUENCE {
     -- Following field is only for late non-critical extensions from REL-12
    lateNonCriticalExtension OCTET STRING (CONTAINING SCG-Config-v12i0b-IEs) OPTIONAL,
    nonCriticalExtension
                                          SCG-Config-v13c0-IEs
                                                                                  OPTIONAL
}
SCG-Config-v12i0b-IEs ::= SEQUENCE {
    scg-RadioConfig-v12i0 SCG-ConfigR
    nonCriticalExtension SEQUENCE { }
                                          SCG-ConfigPartSCG-v12f0 OPTIONAL,
                                                                                         -- Need ON
                                          SEQUENCE { }
                                                                             OPTIONAL
}
   -Config-v13c0-IEs ::= SEQUENCE {
scg-RadioConfig-v13c0 SCG-ConfigPartSCG-v13c0
SCG-Config-v13c0-IEs ::=
                                                                                 OPTIONAL,
    -- Following field is only for late non-critical extensions from REL-13 onwards
                                          SEQUENCE {}
    nonCriticalExtension
-- ASN1STOP
```

# SCG-Config field descriptions

#### scg-RadioConfig-r12

Includes the change of the dedicated SCG configuration and, upon addition of an SCG cell, the common SCG configuration.

The SeNB only includes a new SCG cell in response to a request from MeNB, but may include release of an SCG cell release or release of the SCG part of an SCG/Split DRB without prior request from MeNB. The SeNB does not use this field to initiate release of the SCG.

# SCG-ConfigInfo

This message is used by MeNB to request the SeNB to perform certain actions e.g. to establish, modify or release an SCG, and it may include additional information e.g. to assist the SeNB with assigning the SCG configuration.

Direction: Master eNB to secondary eNB

# SCG-ConfigInfo message

```
}
SCG-ConfigInfo-r12-IEs ::=
                                         SEQUENCE {
    radioResourceConingDeal.co
sCellToAddModListMCG-r12 SCellToAddModListMCG-r12 MeasGapConfig
    radioResourceConfigDedMCG-r12 RadioResourceConfigDedicated
                                                                                  OPTIONAL,
                                         SCellToAddModList-r10
                                                                                   OPTIONAL,
                                                                                  OPTIONAL.
    powerCoordinationInfo-r12 PowerCoordinationInfo-r12 scg-RadioConfig-r12 SCG-ConfigPartSCG-r12
                                                                                  OPTIONAL.
                                                                                  OPTIONAL,
    eutra-CapabilityInfo-r12
    eutra-CapabilityInfo-r12 OCTET STRING (CONTAINING UECapabilityInformation)
scg-ConfigRestrictInfo-r12 SCG-ConfigRestrictInfo-r12 OPTIONAL,
mbmsInterestIndication-r12 OCTET STRING (CONTAINING
                                                                                                     OPTIONAL,
                                              MBMSInterestIndication-r11) OPTIONAL, sResultServCellListSCG-r12 OPTIONAL,
    measResultServCellListSCG-r12 MeasResultServCellListSCG-r12
    drb-ToAddModListSCG-r12 DRB-InfoListSCG-r12 drb-ToReleaseListSCG-r12 DRB-ToReleaseList sCellToAddModListSCG-r12 SCellToAddModListSCG-r12
                                                                                  OPTIONAL,
                                                                                  OPTIONAL,
                                                                                  OPTIONAL,
    sCellToReleaseListSCG-r12
                                                                                 OPTIONAL,
                                       SCellToReleaseList-r10
                                              P-Max
    p-Max-r12
                                                                                       OPTIONAL,
                                       SCG-ConfigInfo-v1310-IEs
    nonCriticalExtension
                                                                                 OPTIONAL
SCG-ConfigInfo-v1310-IEs ::= SEQUENCE {
    SCellToAddModListMCG-Ext-r13
measResultServCellToAddModListMCG-Ext-r13
                                          SCellToAddModListExt-r13 OPTIONAL,
                                                                                    OPTIONAL,
    measResultServCellListSCG-Ext-r13 MeasResultServCellListSCG-Ext-r13 OPTIONAL,
                                              SCellToAddModListSCG-Ext-r13 OPTIONAL,
    sCellToAddModListSCG-Ext-r13
                                                                                          OPTIONAL,
    sCellToReleaseListSCG-Ext-r13 SCellToReleaseListExt-r13
    nonCriticalExtension
                                         SCG-ConfigInfo-v1330-IEs
                                                                                   OPTIONAL
}
    measResultListRSSI-SCG-r13 MeasResultListRSSI-SCG-r13 nonCriticalExtension SCG-ConfigInfo-v1430-IEs
SCG-ConfigInfo-v1330-IEs ::=
                                                                                 OPTIONAL,
                                                                                                     OPTIONAL
SCG-ConfigInfo-v1430-IEs ::=
                                         SEOUENCE {
    -Configinfo-v1430-les ::= SEQUENCE {
makeBeforeBreakSCG-Req-r14 ENUMERATED {true}
                                                                                   OPTIONAL,
    measGapConfigPerCC-List MeasGapConfigPerCC-List-r14
                                                                              OPTIONAL,
                                     SCG-ConfigInfo-v1530-IEs
    nonCriticalExtension
                                                                                            OPTIONAL
SCG-ConfigInfo-v1530-IEs ::= SEQUENCE {
    drb-ToAddModListSCG-r15 DRB-InfoListSCG-r15
    drb-ToReleaseListSCG-r15 DRB-ToReleaseList-r15
    nonCriticalExtension SEQUENCE {}
                                                                                  OPTIONAL,
                                                                                   OPTIONAL,
                                        SEQUENCE {}
    nonCriticalExtension
                                                                                   OPTIONAL
                                    SEQUENCE (SIZE (1..maxDRB)) OF DRB-InfoSCG-r12
SEQUENCE (SIZE (1..maxDRB-r15)) OF DRB-InfoSCG-r12
DRB-InfoListSCG-r12 ::=
DRB-InfoListSCG-r15 ::=
DRB-InfoSCG-r12 ::=
                                   SEQUENCE {
    eps-BearerIdentity-r12
                                    INTEGER (0..15)
                                                                         OPTIONAL, -- Cond DRB-Setup
    drb-Identity-r12
                                         DRB-Identity,
    drb-Type-r12
                                         ENUMERATED {split, scg}
                                                                         OPTIONAL,
                                                                                       -- Cond DRB-Setup
SCellToAddModListSCG-r12 ::= SEQUENCE (SIZE (1..maxSCell-r10)) OF Cell-ToAddMod-r12
SCellToAddModListSCG-Ext-r13 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF Cell-ToAddMod-r12
Cell-ToAddMod-r12 ::=
                                         SEQUENCE {
                                             SCellIndex-r10,
    sCellIndex-r12
    cellIdentification-r12
                                              SEQUENCE {
         physCellId-r12
                                                  PhysCellId,
         dl-CarrierFreq-r12
                                                  ARFCN-ValueEUTRA-r9
                                                                              OPTIONAL,
                                                                                            -- Cond SCellAdd
    measResultCellToAdd-r12
                                             SEQUENCE {
                                                  RSRP-Range,
         rsrpResult-r12
         rsrqResult-r12
                                                   RSRQ-Range
    }
                                                                              OPTIONAL, -- Cond SCellAdd2
             sCellIndex-r13
                                                 SCellIndex-r13
    [ [
                                                                                  OPTIONAL,
         measResultCellToAdd-v1310
                                                  SEQUENCE {
             rs-sinr-Result-r13
                                                       RS-SINR-Range-r13
                                                                              OPTIONAL -- Cond SCellAdd2
     11
```

```
MeasResultServCellListSCG-r12 ::= SEQUENCE (SIZE (1..maxServCell-r10)) OF MeasResultServCellSCG-
r12
MeasResultServCellListSCG-Ext-r13 ::= SEQUENCE (SIZE (1..maxServCell-r13)) OF
MeasResultServCellSCG-r12
MeasResultServCellSCG-r12 ::= SEQUENCE {
    servCellId-r12 ServCellIndex-r10,
    measResultSCell-r12 SEQUENCE {
        rsrpResultSCell-r12 RSRP-Range,
        rsrqResultSCell-r12 RSRQ-Range
      },
      servCellId-r13
       [[ servCellId-r13 ServCellIndex-r13 measResultSCell-v1310 SEQUENCE { rs-sinr-ResultSCell-r13 RS-SINR-Range-r13
                                                                  ServCellIndex-r13
                                                                                                    OPTIONAL,
                                                                                                OPTIONAL
     ]]
}
MeasResultListRSSI-SCG-r13 ::= SEQUENCE (SIZE (1..maxServCell-r13)) OF MeasResultRSSI-SCG-r13
MeasResultRSSI-SCG-r13 ::= SEQUENCE {
    servCellId-r13 ServCe;
    measResultForRSSI-r13 MeasRes
                                                         ServCellIndex-r13,
                                                         MeasResultForRSSI-r13
SCG-ConfigRestrictInfo-r12 ::= SEQUENCE {
   maxSCH-TB-BitsDL-r12 INTEGER (1..100),
   maxSCH-TB-BitsUL-r12 INTEGER (1..100)
-- ASN1STOP
```

# SCG-ConfigInfo field descriptions

#### drb-ToAddModListSCG

Includes DRBs the SeNB is requested to establish or modify (DRB type change).

#### drb-ToReleaseListSCG

Includes DRBs the SeNB is requested to release.

#### makeBeforeBreakSCG-Reg

To request the target eNB to add the *makeBeforeBreakSCG* indication in the *mobilityControlInfoSCG* in case of intra-frequency SCG change.

#### maxSCH-TB-BitsXL

Indicates the maximum DL-SCH/UL-SCH TB bits that may be scheduled in a TTI. Specified as a percentage of the value defined for the applicable UE category.

#### measGapConfig

Includes the current measurement gap configuration.

#### measResultListRSSI-SCG

Includes RSSI measurement results of SCG (serving) cells

#### measResultSSTD

Includes measurement results of UE SFN and Subframe Timing Difference between the PCell and the PSCell.

#### measResultServCellListSCG

Includes measurement results of SCG (serving) cells.

#### radioResourceConfigDedMCG

Includes the current dedicated MCG radio resource configuration.

#### sCellIndex |

If sCellIndex-r13 is present, sCellIndex-r12 shall be ignored.

# sCellToAddModListMCG, sCellToAddModListMCG-Ext

Includes the current MCG SCell configuration. Field sCellToAddModListMCG is used to add the first 4 SCells with sCellIndex-r10 while sCellToAddModListMCG-Ext is used to add the rest.

# sCellToAddModListSCG, sCellToAddModListSCG-Ext

Includes SCG cells the SeNB is requested to establish. Measurement results may be provided for these cells. Field sCellToAddModListSCG is used to add the first 4 SCells with sCellIndex-r12 while sCellToAddModListSCG-Ext is used to add the rest.

#### sCellToReleaseListSCG, sCellToReleaseListSCG-Ext

Includes SCG cells the SeNB is requested to release.

#### scg-RadioConfig

Includes the current dedicated SCG configuration.

# scg-ConfigRestrictInfo

Includes fields for which MeNB explictly indicates the restriction to be observed by SeNB.

#### servCellId

If servCellId-r13 is present, servCellId-r12 shall be ignored.

#### p-Max

Cell specific value i.e. as broadcast by PCell.

Conditional presence	Explanation
DRB-Setup	The field is mandatory present in case DRB establishment is requested; otherwise the
-	field is not present.
SCellAdd	The field is mandatory present in case SCG cell establishment is requested; otherwise
	the field is not present.
SCellAdd2	The field is optional present in case SCG cell establishment is requested; otherwise the
	field is not present.

# 

This message is used to transfer UE paging coverage information, covering both upload to and download from the EPC.

Direction: eNB to/from EPC

# UEPagingCoverageInformation message

```
spare3 NULL, spare2 NULL, spare1 NULL
},
criticalExtensionsFuture SEQUENCE {}
}

UEPagingCoverageInformation-r13-IEs ::= SEQUENCE {
   mpdcch-NumRepetition-r13 INTEGER (1..256) OPTIONAL,
   nonCriticalExtension SEQUENCE {} OPTIONAL
}
-- ASN1STOP
```

# **UEPagingCoverageInformation** field descriptions

#### mpdcch-NumRepetition

Number of repetitions for MPDCCH. The value is an estimate of the required number of repetitions for MPDCCH for paging.

# UERadioAccessCapabilityInformation

This message is used to transfer UE radio access capability information, covering both upload to and download from the EPC.

Direction: eNB to/ from EPC

# UERadioAccessCapabilityInformation message

```
-- ASN1START
UERadioAccessCapabilityInformation ::= SEQUENCE {
    criticalExtensions
                                       CHOICE {
                                           CHOICE {
       c1
           ueRadioAccessCapabilityInformation-r8
                                               UERadioAccessCapabilityInformation-r8-IEs,
           spare7 NULL,
           spare6 NULL, spare5 NULL, spare4 NULL,
           spare3 NULL, spare2 NULL, spare1 NULL
        criticalExtensionsFuture
                                           SEQUENCE { }
UERadioAccessCapabilityInformation-r8-IEs ::= SEQUENCE {
    ue-RadioAccessCapabilityInfo OCTET STRING (CONTAINING UECapabilityInformation),
    nonCriticalExtension
                                       SEQUENCE {}
                                                                           OPTIONAL
-- ASN1STOP
```

# UERadioAccessCapabilityInformation field descriptions

# ue-RadioAccessCapabilityInfo

Including E-UTRA, GERAN, CDMA2000-1xRTT Bandclass, NR and MR-DC radio access capabilities (separated). UTRA radio access capabilities are not included. For E-UTRA radio access capabilities, it is up to E-UTRA how the backward compatibility among supportedBandCombinationReduced, supportedBandCombination and supportedBandCombinationAdd is ensured. If supportedBandCombinationReduced and supportedBandCombination/supportedBandCombinationAdd are included into ueCapabilityRAT-Container, it can be assumed that the value of fields, requestedBands, reducedIntNonContCombRequested and requestedCCsXL are consistent with all supported band combination fields.

# UERadioPagingInformation

This message is used to transfer radio paging information, covering both upload to and download from the EPC/5GC.

Direction: eNB to/ from EPC/5GC

# UERadioPagingInformation message

```
-- ASN1START
UERadioPagingInformation ::= SEQUENCE {
    criticalExtensions
                                        CHOICE {
                                            CHOICE {
       c1
            ueRadioPagingInformation-r12
                                                    UERadioPagingInformation-r12-IEs,
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
        criticalExtensionsFuture
                                           SEQUENCE { }
}
UERadioPagingInformation-r12-IES ::= SEQUENCE {
   ue-RadioPagingInfo-r12 OCTET STRING (CONTAINING UE-RadioPagingInfo-r12),
    nonCriticalExtension
                                        UERadioPagingInformation-v1310-IEs
                                                                                    OPTIONAL
UERadioPagingInformation-v1310-IEs ::= SEQUENCE {
   supportedBandListEUTRAForPaging-r13
                                         SEQUENCE (SIZE (1..maxBands)) OF FreqBandIndicator-r11
OPTIONAL.
    {\tt nonCriticalExtension}
                                            SEOUENCE { }
                                                                                    OPTIONAL
-- ASN1STOP
```

# UERadioPagingInformation field descriptions

# ue-RadioPagingInfo

The field is used to transfer UE capability information used for paging. The eNB generates the *ue-RadioPagingInfo* and the contained UE capability information is absent when not supported by the UE.

# supportedBandListEUTRAForPaging

Indicates the UE supported frequency bands which is derived by the eNB from UE-EUTRA-Capability.

# 10.3 Inter-node RRC information element definitions

# – AS-Config

The AS-Config IE contains information about RRC configuration information in the source eNB which can be utilized by target eNB to determine the need to change the RRC configuration during the handover preparation phase. The information can also be used after the handover is successfully performed or during the RRC connection reestablishment or resume.

# AS-Config information element

```
-- ASN1START
AS-Config ::=
                           SEOUENCE {
   sourceMeasConfig
                                        MeasConfig,
   sourceRadioResourceConfig
                                        RadioResourceConfigDedicated,
   sourceSecurityAlgorithmConfig
                                        SecurityAlgorithmConfig,
   sourceUE-Identity
                                        C-RNTI,
   sourceMasterInformationBlock
                                        MasterInformationBlock,
   sourceSystemInformationBlockType1 SystemInformationBlockType1(WITH COMPONENTS
                                            {..., nonCriticalExtension ABSENT}),
   sourceSystemInformationBlockType2 SystemInformationBlockType2,
   \verb"antennaInfoCommon"
                                       AntennaInfoCommon,
   sourceDl-CarrierFreq
                                       ARFCN-ValueEUTRA,
    [[ sourceSystemInformationBlockType1Ext
                                                OCTET STRING (CONTAINING
                                                SystemInformationBlockType1-v890-IEs)
       sourceOtherConfig-r9
                                           OtherConfig-r9
    -- sourceOtherConfig-r9 should have been optional. A target eNB compliant with this transfer
   -- syntax should support receiving an AS-Config not including this extension addition group
```

```
-- e.g. from a legacy source eNB
    ]],
    [[ sourceSCellConfigList-r10
                                                 SCellToAddModList-r10
                                                                                         OPTIONAL
    ]],
    [[ sourceConfigSCG-r12
                                                   SCG-Config-r12
                                                                          OPTIONAL
    ]],
    [[ as-ConfigNR-r15
                                                   AS-ConfigNR-r15
                                                                                         OPTIONAL
    ]],
    [[ as-Config-v1550
                                                   AS-Config-v1550
                                                                                         OPTIONAL
    [[ as-ConfigNR-v1570
                                                   AS-ConfigNR-v1570
                                                                                         OPTIONAL
    11
    Config-v9e0 ::= SEQUENCE {
sourceDl-CarrierFreq-v9e0 ARFCN-ValueEUTRA-v9e0
AS-Config-v9e0 ::=
AS-Config-v10j0 ::=
                                    SEQUENCE {
    antennaInfoDedicatedPCell-v10i0 AntennaInfoDedicated-v10i0
                                                                                       OPTIONAL
                        SEQUENCE {
AS-Config-v1250 ::=
                                                                                 OPTIONAL,
   sourceWlan-OffloadConfig-r12 WLAN-OffloadConfig-r12 sourceSL-CommConfig-r12 SL-CommConfig-r12 sourceSL-DiscConfig-r12 SL-DiscConfig-r12
                                                                                         OPTIONAL
    Config-v1320 ::= SEQUENCE {
sourceSCellConfigList-r13 SCellToAddModListExt-r13 OPTIONAL,
sourceRCLWI-Configuration-r13 RCLWI-Configuration-r13 OPTIONAL
AS-Config-v1320 ::=
AS-Config-v13c0 ::=
                                   SEQUENCE {
    radioResourceConfigDedicated-v13c01 RadioResourceConfigDedicated-v1370 OPTIONAL,
    radioResourceConfigDedicated-v13c02 RadioResourceConfigDedicated-v13c0 OPTIONAL,
    sCellToAddModList-v13c0 SCellToAddModList-v13c0 OPTIONAL, sCellToAddModListExt-v13c0 SCellToAddModListExt-v13c0 OPTIONAL
AS-Config-v1430 ::=
                                     SEQUENCE {
    sourceSL-V2X-CommConfig-r14 SL-V2X-ConfigDedicated-r14
                                                                                                  OPTIONAL.
    sourceLWA-Config-r14 LWA-Config-r13 sourceWLAN-MeasResult-r14 MeasResultListWLAN-r13
                                                                                        OPTIONAL,
                                                                                         OPTIONAL
}
   sourceRB-ConfigNR-r15 SEQUENCE {
AS-ConfigNR-r15 ::=
    ConfigNR-r15 ::= SEQUENCE {
sourceRB-ConfigNR-r15 OCTET STRING OPTIONAL,
sourceRB-ConfigSN-NR-r15 OCTET STRING OPTIONAL
sourceOtherConfigSN-NR-r15 OCTET STRING OPTIONAL
                                                                           OPTIONAL,
}
AS-ConfigNR-v1570 ::=
    ConfigNR-v1570 ::= SEQUENCE {
sourceSCG-ConfiguredNR-r15 ENUMERATED {true}
AS-Config-v1550 ::=
    Config-v1550 ::= SEQUENCE {
tdm-PatternConfig-r15 SEQUENCE {
         subframeAssignment-r15 SubframeAssignment-r15, harq-Offset-r15 INTEGER (0..9)
                                                            OPTIONAL,
                                   P-Max
    p-MaxEUTRA-r15
                                                 OPTIONAL
}
-- ASN1STOP
```

NOTE: The *AS-Config* re-uses information elements primarily created to cover the radio interface signalling requirements. Consequently, the information elements may include some parameters that are not relevant for the target eNB e.g. the SFN as included in the *MasterInformationBlock*.

# AS-Config field descriptions

#### antennalnfoCommon

This field provides information about the number of antenna ports in the source PCell.

#### p-MaxEUTRA

Indicates the *p-MaxEUTRA* in the source PCell.

# sourceOtherConfigSN-NR

Other NR config set by SN (cell group, measurements) in case of (NG)EN-DC i.e. as defined by the *RRCReconfiguration* message in TS 38.331 [82].

#### sourceRB-ConfigNR

NR radio bearer config, as defined by *RadioBearerConfig* IE in TS 38.331 [82]. The field may e.g. be set by MN in case of (NG)EN-DC, by source eNB connected to 5GCN.

#### sourceRB-ConfigSN-NR

NR radio bearer config set by SN in case of (NG)EN-DC or of SN terminated RB without SCG, as defined by *RadioBearerConfig* IE in TS 38.331 [82].

#### sourceDL-CarrierFreq

Provides the parameter Downlink EARFCN in the source PCell, see TS 36.101 [42]. If the source eNB provides AS-Config-v9e0, it sets sourceDI-CarrierFreq (i.e. without suffix) to maxEARFCN.

#### sourceLWA-Config

LWA configuration in the source PCell when handover is triggered.

#### sourceOtherConfig

Provides other configuration in the source PCell.

# sourceMasterInformationBlock

MasterInformationBlock transmitted in the source PCell.

# sourceMeasConfig

Measurement configuration in the source cell. The measurement configuration for all measurements existing in the source eNB when handover is triggered shall be included. See 10.5.

# sourceRCLWI-Configuration

RCLWI Configuration in the source PCell.

# sourceSL-CommConfig

This field covers the sidelink communication configuration.

#### sourceSL-DiscConfig

This field covers the sidelink discovery configuration.

#### sourceRadioResourceConfig

Radio configuration in the source PCell. The radio resource configuration for all radio bearers existing in the source PCell when handover is triggered shall be included. See 10.5.

# sourceSCellConfigList

Radio resource configuration (common and dedicated) of the SCells configured in the source eNB.

#### sourceSCG-ConfiguredNR

Value *true* indicates that the UE is configured with NR SCG in source configuration. The field is included only if *sourceOtherConfigSN-NR* is not included.

# sourceSecurityAlgorithmConfig

This field provides the AS integrity protection (SRBs) and AS ciphering (SRBs and DRBs) algorithm configuration used in the source PCell.

## sourceSystemInformationBlockType1

SystemInformationBlockType1 (or SystemInformationBlockType1-BR) transmitted in the source PCell.

# sourceSystemInformationBlockType2

SystemInformationBlockType2 transmitted in the source PCell.

## sourceSL-V2X-CommConfig

Indicates the V2X sidelink communication related configurations configured in the source eNB.

# sourceWLAN-MeasResult

WLAN measurement results in the source PCell when handover is triggered.

# tdm-PatternConfig

Indicates the TDM pattern configuration in the source PCell.

# AS-Context

The IE AS-Context is used to transfer local E-UTRAN context required by the target eNB.

#### AS-Context information element

```
-- ASN1START

AS-Context ::= SEQUENCE {
    reestablishmentInfo ReestablishmentInfo OPTIONAL -- Cond HO
```

```
AS-Context-v1130 ::=
                                      SEQUENCE {
   idc-Indication-r11
                                          OCTET STRING (CONTAINING
                                          InDeviceCoexIndication-r11) OPTIONAL, -- Cond HO2
   mbmsInterestIndication-r11
                                          OCTET STRING (CONTAINING
                                         MBMSInterestIndication-r11) OPTIONAL, -- Cond HO2
                                          OCTET STRING (CONTAINING
   powerPrefIndication-r11
                                          UEAssistanceInformation-r11) OPTIONAL, -- Cond HO2
   [[ sidelinkUEInformation-r12
                                              OCTET STRING (CONTAINING
                                              SidelinkUEInformation-r12) OPTIONAL
                                                                                    -- Cond HO2
   ]],
   [[ sourceContextEN-DC-r15
                                         OCTET STRING
                                                                         OPTIONAL
                                                                                    -- Cond HO2
   ]],
       selectedbandCombinationInfoEN-DC-v1540
                                                OCTET STRING
                                                                        OPTIONAL
                                                                                     -- Cond HO2
   [ [
   11
}
AS-Context-v1320 ::=
                                      SEQUENCE {
                                         OCTET STRING (CONTAINING
   wlanConnectionStatusReport-r13
                                          WLANConnectionStatusReport-r13) OPTIONAL
                                                                                    -- Cond HO2
-- ASN1STOP
```

	AS-Context field descriptions
idc-Indication	
Including information used	for handling the IDC problems.
reestablishmentInfo	
Including information need	ed for the RRC connection re-establishment.
sourceContextEN-DC	
(NG)EN-DC related contex	t information, in particular regarding the UE capability coordination, as defined by the
	specified in TS 38.331 [82].
selectedBandCombination	nInfoEN-DC
Including the BandCombin	ationInfoSN IE specified in TS 38.331 [82]. See NOTE 1.

Conditional presence	Explanation
НО	The field is mandatory present in case of handover within E-UTRA; otherwise the field is
	not present.
HO2	The field is optional present in case of handover within E-UTRA; otherwise the field is not
	present.

NOTE 1: If the field is present, it is used to help target MN to decide appropriate LTE band for SCell frequency measurement in case of inter-MN handover without SN change.

# ReestablishmentInfo

The ReestablishmentInfo IE contains information needed for the RRC connection re-establishment.

#### ReestablishmentInfo information element

```
-- ASN1START
ReestablishmentInfo ::=
                                   SEQUENCE {
                                   PhysCellId,
   sourcePhysCellId
    targetCellShortMAC-I
                                      ShortMAC-I,
    additionalReestabInfoList
                                      AdditionalReestabInfoList
                                                                               OPTIONAL,
AdditionalReestabInfoList ::=
                                   SEQUENCE ( SIZE (1..maxReestabInfo) ) OF AdditionalReestabInfo
AdditionalReestabInfo ::= SEQUENCE{
    cellIdentity
                                       CellIdentity,
    key-eNodeB-Star
                                       Key-eNodeB-Star,
    shortMAC-I
                                       ShortMAC-I
Key-eNodeB-Star ::=
                                   BIT STRING (SIZE (256))
```

-- ASN1STOP

# ReestablishmentInfo field descriptions

# additionalReestabInfoList

Contains a list of shortMAC-I and KeNB\* for cells under control of the target eNB, required for potential reestablishment by the UE in these cells to succeed.

# Key-eNodeB-Star

Parameter KeNB\*: See TS 33.401 [32], clause 7.2.8.4. If the cell identified by *cellIdentity* belongs to multiple frequency bands, the source eNB selects the DL-EARFCN for the KeNB\* calculation using the same logic as UE uses when selecting the DL-EARFCN in IDLE as defined in clause 6.2.2. This parameter is only used for X2 handover, and for S1 handover, it shall be ignored by target eNB.

#### sourcePhyCellId

The physical cell identity of the source PCell, used to determine the UE context in the target eNB at re-establishment.

# targetCellShortMAC-I

The ShortMAC-I for the handover target PCell, in order for potential re-establishment to succeed.

# - RRM-Config

The *RRM-Config* IE contains information about UE specific RRM information before the handover which can be utilized by target eNB.

# **RRM-Config** information element

```
-- ASN1START
RRM-Config ::=
                             SEQUENCE {
                                 ENUMERATED {
    ue-InactiveTime
                                     s1, s2, s3, s5, s7, s10, s15, s20,
                                     s25, s30, s40, s50, min1, min1s20c, min1s40,
                                     min2, min2s30, min3, min3s30, min4, min5, min6,
                                     min7, min8, min9, min10, min12, min14, min17, min20,
                                      min24, min28, min33, min38, min44, min50, hr1,
                                      hrlmin30, hr2, hr2min30, hr3, hr3min30, hr4, hr5, hr6,
                                     hr8, hr10, hr13, hr16, hr20, day1, day1hr12, day2, day2hr12, day3, day4, day5, day7, day10, day14, day19,
                                     day24, day30, dayMoreThan30}
                                                                           OPTIONAL,
    [[ candidateCellInfoList-r10
                                    CandidateCellInfoList-r10
                                                                      OPTIONAL
    11,
    [ [
        candidateCellInfoListNR-r15 MeasResultServFreqListNR-r15
                                                                           OPTIONAL
}
                                SEQUENCE (SIZE (1..maxFreq)) OF CandidateCellInfo-r10
CandidateCellInfoList-r10 ::=
CandidateCellInfo-r10 ::=
                                 SEQUENCE {
    -- cellIdentification
    physCellId-r10
                                     PhysCellId,
    dl-CarrierFreq-r10
                                     ARFCN-ValueEUTRA,
    -- available measurement results
    rsrpResult-r10
                                     RSRP-Range
                                                          OPTIONAL,
    rsrqResult-r10
                                     RSRO-Range
                                                          OPTIONAL.
    [[ dl-CarrierFreq-v1090
                                         ARFCN-ValueEUTRA-v9e0
                                                                       OPTIONAL
    ]],
    [[ rsrqResult-v1250
                                         RSRO-Range-v1250
                                                                       OPTIONAL
        rs-sinr-Result-r13
                                         RS-SINR-Range-r13
                                                                       OPTIONAL
    ]]
}
-- ASN1STOP
```

# RRM-Config field descriptions

#### candidateCellInfoList

A list of the best cells on each frequency for which measurement information was available, in order of decreasing RSRP.

# candidateCellInfoListNR

A list of NR cells including serving cells and best neighbour cells on each SSB requency, for which measurement results were available, and for each cell the best beams.

#### dl-CarrierFreq

The source includes dl-CarrierFreq-v1090 if and only if dl-CarrierFreq-r10 is set to maxEARFCN.

#### ue-InactiveTime

Duration while UE has not received or transmitted any user data. Thus the timer is still running in case e.g., UE measures the neighbour cells for the HO purpose. Value s1 corresponds to 1 second, s2 corresponds to 2 seconds and so on. Value min1 corresponds to 1 minute, value min1s20 corresponds to 1 minute and 20 seconds, value min1s40 corresponds to 1 minute and 40 seconds and so on. Value hr1 corresponds to 1 hour, hr1min30 corresponds to 1 hour and 30 minutes and so on.

# 10.4 Inter-node RRC multiplicity and type constraint values

# Multiplicity and type constraints definitions

# End of EUTRA-InterNodeDefinitions

```
-- ASN1START
END
-- ASN1STOP
```

# 10.5 Mandatory information in AS-Config

The AS-Config transferred between source eNB and target-eNB shall include all IEs necessary to describe the AS context. The conditional presence in clause 6 is only applicable for eNB to UE communication.

The "need" or "cond" statements are not applied in case of sending the IEs from source eNB to target eNB. Some fields shall be included regardless of the "need" or "cond" e.g. *discardTimer*. The *AS-Config* re-uses information elements primarily created to cover the radio interface signalling requirements. The information elements may include some parameters that are not relevant for the target eNB e.g. the SFN as included in the *MasterInformationBlock*.

All the fields in the *AS-Config* as defined in 10.3 that are introduced after v9.2.0 and that are optional for eNB to UE communication shall be included, if the functionality is configured, except for the fields *sourceOtherConfigSN-NR* and *sourceRB-ConfigSN-NR* in AS-*ConfigNR*. The fields in the *AS-Config* that are defined before and including v9.2.0 shall be included as specified in the following.

Within the *sourceRadioResourceConfig, sourceMeasConfig* and *sourceOtherConfig*, the source eNB shall include fields that are optional for eNB to UE communication, if the functionality is configured unless explicitly specified otherwise in the following:

- in accordance with a condition that is explicitly stated to be applicable; or
- a default value is defined for the concerned field; and the configured value is the same as the default value that is defined; or

the need of the field is OP and the current UE configuration corresponds with the behaviour defined for absence
of the field;

The following fields, if the functionality is configured, are not mandatory for the source eNB to include in the *AS-Config* since delta signalling by the target eNB for these fields is not supported:

- semiPersistSchedC-RNTI
- measGapConfig

For the measurement configuration, a corresponding operation as 5.5.6.1 and 5.5.2.2a is executed by target eNB.

# 10.6 Inter-node NB-IoT messages

# 10.6.1 General

This clause specifies NB-IoT RRC messages that are sent either across the X2- or the S1-interface, either to or from the eNB, i.e. a single 'logical channel' is used for all NB-IoT RRC messages transferred across network nodes.

# NB-IoT-InterNodeDefinitions

This ASN.1 segment is the start of the NB-IoT inter-node PDU definitions.

```
-- ASN1START
NBIOT-InterNodeDefinitions DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
    C-RNTI,
    PhysCellId.
    SecurityAlgorithmConfig,
    ShortMAC-I
FROM EUTRA-RRC-Definitions
   AdditionalReestabInfoList
FROM EUTRA-InterNodeDefinitions
    CarrierFreq-NB-r13,
    CarrierFreq-NB-v1550,
    RadioResourceConfigDedicated-NB-r13,
    UECapabilityInformation-NB,
    UE-Capability-NB-r13,
    UE-Capability-NB-Ext-r14-IEs,
    UE-RadioPagingInfo-NB-r13
FROM NBIOT-RRC-Definitions;
-- ASN1STOP
```

# 10.6.2 Message definitions

# HandoverPreparationInformation-NB

This message is used to transfer the UE context from the eNB where the RRC connection has been suspended and transfer it to the eNB where the RRC Connection has been requested to be resumed.

Direction: source eNB to target eNB

# HandoverPreparationInformation-NB message

```
handoverPreparationInformation-r13
                                                  HandoverPreparationInformation-NB-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
                                           SEQUENCE {}
        criticalExtensionsFuture
}
HandoverPreparationInformation-NB-IEs ::= SEQUENCE {
                                         UE-Capability-NB-r13,
    ue-RadioAccessCapabilityInfo-r13
    as-Config-r13
                                           AS-Config-NB,
   rrm-Config-r13
                                           RRM-Config-NB
                                                                          OPTIONAL,
   as-Context-r13
                                           AS-Context-NB
                                                                          OPTIONAL.
    nonCriticalExtension
                                           HandoverPreparationInformation-NB-v1380-IEs
    OPTIONAL
}
HandoverPreparationInformation-NB-v1380-IEs ::= SEQUENCE {
    lateNonCriticalExtension
                                      OCTET STRING
                                                                           OPTIONAL,
   nonCriticalExtension
                                      HandoverPreparationInformation-NB-Ext-r14-IEs
                                                                                      OPTIONAL
HandoverPreparationInformation-NB-Ext-r14-IEs ::= SEQUENCE {
   ue-RadioAccessCapabilityInfoExt-r14 OCTET STRING (CONTAINING UE-Capability-NB-Ext-r14-IEs)
   OPTIONAL,
                                           SEQUENCE {}
   nonCriticalExtension
                                                                           OPTIONAL.
-- ASN1STOP
```

### HandoverPreparationInformation-NB field descriptions

#### as-Config

The radio resource configuration.

#### as-Context

The local E-UTRAN context required by the target eNB.

#### rrm-Config

The local E-UTRAN context used depending on the target node's implementation, which is mainly used for the RRM purpose.

### ue-RadioAccessCapabilityInfo, ue-RadioAccessCapabilityInfoExt

The NB-IoT UE Radio Access Capability Parameters, see TS 36.306 [5].

# UEPagingCoverageInformation-NB

This message is used to transfer UE paging coverage information for NB-IoT, covering both upload to and download from the EPC.

Direction: eNB to/from EPC

# UEPagingCoverageInformation-NB message

```
-- ASN1START
UEPagingCoverageInformation-NB ::= SEQUENCE {
                         CHOICE {
    criticalExtensions
                                           CHOICE {
           uePagingCoverageInformation-r13
                                                   UEPagingCoverageInformation-NB-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                           SEQUENCE { }
}
UEPagingCoverageInformation-NB-IEs ::= SEQUENCE {
-- the possible value(s) can differ from those sent on Uu
    npdcch-NumRepetitionPaging-r13
                                           INTEGER (1..2048)
                                                             OPTTONAL.
    {\tt nonCriticalExtension}
                                           SEQUENCE {}
                                                          OPTIONAL
-- ASN1STOP
```

# UEPagingCoverageInformation-NB field descriptions

#### npdcch-NumRepetitionPaging

Number of repetitions for NPDCCH, see TS 36.211 [21]. This value is an estimate of the required number of repetitions for NPDCCH.

# UERadioAccessCapabilityInformation-NB

This message is used to transfer UE NB-IoT Radio Access capability information, covering both upload to and download from the EPC.

Direction: eNB to/ from EPC

# UERadioAccessCapabilityInformation-NB message

```
-- ASN1START
UERadioAccessCapabilityInformation-NB ::= SEQUENCE
   criticalExtensions
                                           CHOICE
                                              CHOICE {
       c1
           ueRadioAccessCapabilityInformation-r13
                                                   UERadioAccessCapabilityInformation-NB-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
       criticalExtensionsFuture
                                               SEQUENCE {}
}
UERadioAccessCapabilityInformation-NB-IEs ::= SEQUENCE {
   ue-RadioAccessCapabilityInfo-r13
                                              OCTET STRING (CONTAINING UE-Capability-NB-r13),
   nonCriticalExtension
                                              UERadioAccessCapabilityInformation-NB-v1380-IEs
   OPTIONAL
UERadioAccessCapabilityInformation-NB-v1380-IEs ::= SEQUENCE {
   lateNonCriticalExtension OCTET STRING
                                                                          OPTIONAL.
   nonCriticalExtension
                                          UERadioAccessCapabilityInformation-NB-r14-IEs
   OPTIONAL
}
UERadioAccessCapabilityInformation-NB-r14-IES ::= SEQUENCE {
   ue-RadioAccessCapabilityInfo-r14 OCTET STRING (CONTAINING UECapabilityInformation-NB)
   OPTIONAL,
                                          SEQUENCE {}
                                                                          OPTIONAL
   nonCriticalExtension
-- ASN1STOP
```

# UERadioAccessCapabilityInformation-NB field descriptions

#### ue-RadioAccessCapabilityInfo

The NB-IoT UE Radio Access Capability Parameters, see TS 36.306 [5].

# UERadioPagingInformation-NB

This message is used to transfer NB-IoT radio paging information, covering both upload to and download from the EPC.

Direction: eNB to/ from EPC

# **UERadioPagingInformation-NB** message

# UERadioPagingInformation-NB field descriptions

# ue-RadioPagingInfo

The field is used to transfer UE NB-IoT capability information used for paging. The eNB generates the *ue-RadioPagingInfo* and the contained UE capability information is absent when not supported bythe UE.

# 10.7 Inter-node NB-IoT RRC information element definitions

# AS-Config-NB

The AS-Config-NB IE contains information about NB-IoT RRC configuration information in the source eNB which can be utilized by target eNB.

# AS-Config-NB information element

```
-- ASN1START
                                 SEQUENCE {
AS-Config-NB ::=
                                   RadioResourceConfigDedicated-NB-r13,
   sourceRadioResourceConfig-r13
   sourceSecurityAlgorithmConfig-r13
                                         SecurityAlgorithmConfig,
   sourceUE-Identity-r13
                                         C-RNTI,
   sourceDl-CarrierFreq-r13
                                         CarrierFreq-NB-r13,
      sourceDL-CarrierFreq-v1550
                                       CarrierFreq-NB-v1550
                                                                OPTIONAL
                                                                           -- Cond TDD
   ]]
-- ASN1STOP
```

## AS-Config-NB field descriptions

# sourceDL-CarrierFreq

Provides the parameter Downlink EARFCN in the source PCell, see TS 36.101 [42].

# sourceRadioResourceConfig

Radio configuration in the source PCell. The radio resource configuration for all radio bearers existing in the source PCell shall be included. See 10.9.

# sourceSecurityAlgorithmConfig

This field provides the AS integrity protection (SRBs) and AS ciphering (SRBs and DRBs) algorithm configuration used in the source PCell.

Conditional presence	Explanation		
TDD	The field is optionally present in case of TDD; otherwise the field is not present.		

# AS-Context-NB

The IE AS-Context-NB is used to transfer the UE context required by the target eNB.

# AS-Context-NB information element

```
-- ASN1START

AS-Context-NB ::= SEQUENCE {
   reestablishmentInfo-r13 ReestablishmentInfo-NB OPTIONAL,
```

```
...
}
-- ASN1STOP
```

# AS-Context-NB field descriptions

# reestablishmentInfo

Including information needed for the RRC connection re-establishment.

# ReestablishmentInfo-NB

The ReestablishmentInfo-NB IE contains information needed for the RRC connection re-establishment.

## ReestablishmentInfo-NB information element

# ReestablishmentInfo-NB field descriptions

#### additionalReestabInfoList

Contains a list of shortMAC-I and KeNB\* for cells under control of the target eNB, required for potential reestablishment by the UE in these cells to succeed.

# sourcePhyCellId

The physical cell identity of the source PCell, used to determine the UE context in the target eNB at re-establishment.

## targetCellShortMAC-I

The ShortMAC-I for the target PCeII, in order for potential re-establishment to succeed.

# RRM-Config-NB

The RRM-Config-NB IE contains information about UE specific RRM information which can be utilized by target eNB.

# RRM-Config-NB information element

```
-- ASN1START

RRM-Config-NB ::= SEQUENCE {
    ue-InactiveTime ENUMERATED {
        s1, s2, s3, s5, s7, s10, s15, s20, s25, s30, s40, s50, min1, min1s20, min1s40, min2, min2, min2s30, min3, min3s30, min4, min5, min6, min7, min8, min9, min10, min12, min14, min17, min20, min24, min28, min33, min38, min44, min50, hr1, hr1min30, hr2, hr2min30, hr3, hr3min30, hr4, hr5, hr6, hr8, hr10, hr13, hr16, hr20, day1, day1hr12, day2, day2hr12, day3, day4, day5, day7, day10, day14, day19, day24, day30, dayMoreThan30} OPTIONAL,

-- ASN1STOP
```

# RRM-Config-NB field descriptions

#### ue-InactiveTime

Duration while UE has not received or transmitted any user data. Value s1 corresponds to 1 second, s2 corresponds to 2 seconds and so on. Value min1 corresponds to 1 minute, value min1s20 corresponds to 1 minute and 20 seconds, value min1s40 corresponds to 1 minute and 40 seconds and so on. Value hr1 corresponds to 1 hour, hr1min30 corresponds to 1 hour and 30 minutes and so on.

# 10.8 Inter-node RRC multiplicity and type constraint values

- Multiplicity and type constraints definitions
- End of NB-IoT-InterNodeDefinitions

-- ASN1START
END
-- ASN1STOP

# 10.9 Mandatory information in AS-Config-NB

The AS-Config-NB transferred between source eNB and target-eNB shall include all IEs necessary to describe the AS context. The conditional presence in clause 6 is only applicable for eNB to UE communication.

The "Need" or "Cond" statements are not applied in case of sending the IEs from source eNB to target eNB. Some information elements shall be included regardless of the "Need" or "Cond" e.g. *discardTimer*. The *AS-Config-NB* reuses information elements primarily created to cover the radio interface signalling requirements.

Within the *sourceRadioResourceConfig*, the source eNB shall include fields that are optional for eNB to UE communication, if the functionality is configured unless explicitly specified otherwise in the following:

- in accordance with a condition that is explicitly stated to be applicable; or
- a default value is defined for the concerned field; and the configured value is the same as the default value that is defined; or
- the need of the field is OP and the current UE configuration corresponds with the behaviour defined for absence of the field;

# 11 UE capability related constraints and performance requirements

# 11.1 UE capability related constraints

The following table lists constraints regarding the UE capabilities that E-UTRAN is assumed to take into account.

Parameter	neter Description		NB-IoT		
#DRBs	The number of DRBs that a UE shall support	8, 15 NOTE2 NOTE3	(0, 1, 2) NOTE1		
#RLC-AM	LC-AM The number of RLC AM entities that a UE shall support		(2, 3) NOTE1		
#minCellperMeasObject EUTRA	The minimum number of neighbour cells (excluding black list cells) that a UE shall be able to store within a MeasObjectEUTRA. NOTE.	32	N/A		
#minBlackCellRangesp erMeasObjectEUTRA	The minimum number of blacklist cell PCI ranges that a UE shall be able to store within a MeasObjectEUTRA	32	N/A		
#minCellperMeasObject UTRA	The minimum number of neighbour cells that a UE shall be able to store within a MeasObjectUTRA. NOTE.	32	N/A		
#minCellperMeasObject GERAN	The minimum number of neighbour cells that a UE shall be able to store within a measObjectGERAN. NOTE.	32	N/A		
#minCellperMeasObject CDMA2000	The minimum number of neighbour cells that a UE shall be able to store within a measObjectCDMA2000. NOTE.	32	N/A		
#minBlackCellperMeas ObjectNR	The minimum number of blacklist cells that a UE shall be able to store within a MeasObjectNR	32	N/A		
#minCellTotal	The minimum number of neighbour cells (excluding black list cells) that UE shall be able to store in total in all measurement objects configured	256	N/A		
NOTE: In case of CGI reporting, the limit regarding the cells E-UTRAN can configure includes the cell for which the UE is requested to report CGI i.e. the amount of neighbour cells that can be included is at most (# minCellperMeasObjectRAT - 1), where RAT represents EUTRA/UTRA/GERAN/CDMA2000 respectively.  NOTE 1: #DRBs based on UE capability, #RLC-AM =#DRBs + 2.  NOTE 2: '15' applies when the UE supports extendedNumberOfDRBs-r15. For one MAC entity, the maximum number of DRBs configured with PDCP duplication and with RLC entity(ies) associated with this MAC entity is 8.					
NOTE 3: The requirement is applicable in EN-DC, NGEN-DC and LTE standalone.					

# 11.2 Processing delay requirements for RRC procedures

The UE performance requirements for RRC procedures are specified in the following tables, by means of a value N:

N = the number of 1ms subframes from the end of reception of the E-UTRAN -> UE message on the UE physical layer up to when the UE shall be ready for the reception of uplink grant for the UE -> E-UTRAN response message with no access delay other than the TTI-alignment (e.g. excluding delays caused by scheduling, the random access procedure or physical layer synchronisation).

NOTE: No processing delay requirements are specified for RN-specific procedures.

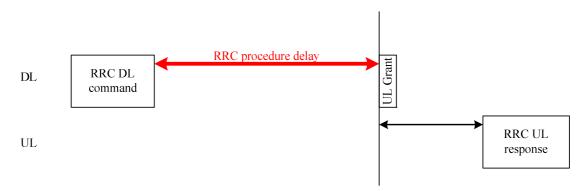


Figure 11.2-1: Illustration of RRC procedure delay

Table 11.2-1: UE performance requirements for RRC procedures for UEs other than NB-IoT UEs

Procedure title:	E-UTRAN -> UE	UE -> E-UTRAN	N	Notes
RRC Connection Contr	ol Procedures			
RRC connection establishment	RRCConnectionSetu p or RRCConnectionResu me	RRCConnectionSetupCo mplete or RRCConnectionResumeC omplete	15 or 3	N = 3 applies for the case of reception of RRCConnectionResume if reducedCP- LatencyEnabled is configured, the UE supports reduced CP latency, and the RRC message only includes MAC and PHY (re-)configurations and does not include (re-)configurations of DRX, SPS, SCells, and MIMO. Further, the UL grant is sent using PDCCH DCI format 0 in common search space. In this scenario, the RRC procedure delay can extend beyond the reception of the UL grant, up to 7 ms.  For other cases N = 15 applies.
RRC connection release	RRCConnectionRele ase		NA	арріїеъ.
RRC connection re- configuration (radio resource configuration)	RRCConnectionReco nfiguration	RRCConnectionReconfigu rationComplete	15	
RRC connection re- configuration (measurement configuration)	RRCConnectionReco nfiguration	RRCConnectionReconfigu rationComplete	15	
RRC connection re- configuration (intra- LTE mobility)	RRCConnectionReco nfiguration	RRCConnectionReconfigu rationComplete	15	
RRC connection reconfiguration (SCell addition/release)	RRCConnectionReco nfiguration	RRCConnectionReconfigu rationComplete	20	
RRC connection reconfiguration (SCG establishment/ release, SCG cell addition/ release)	RRCConnectionReco nfiguration	RRCConnectionReconfigu rationComplete	20	
RRC connection re- configuration (NR measurement configuration)	RRCConnectionReco nfiguration	RRCConnectionReconfigu rationComplete	15	
RRC connection reconfiguration (NR SCG establishment//modification/release)	RRCConnectionReco nfiguration	RRCConnectionReconfigu rationComplete	20	
RRC connection re- configuration (intra- LTE mobility with NR SCG establishment/ /modification/release)	RRCConnectionReco nfiguration	RRCConnectionReconfigu rationComplete	20	
RRC connection re- establishment	RRCConnectionRees tablishment	RRCConnectionReestabli shmentComplete	15	

Procedure title:	E-UTRAN -> UE	UE -> E-UTRAN	N	Notes
Initial security	SecurityModeComma	SecurityModeCommandC	10	
activation	nd	omplete/SecurityModeCo mmandFailure		
Initial security activation + RRC connection re- configuration (RB establishment)	SecurityModeComma nd, RRCConnectionReco nfiguration	RRCConnectionReconfigu rationComplete	20	The two DL messages are transmitted in the same TTI
EDT	RRCEarlyDataCompl ete or RRCConnectionRele ase for UP-EDT		NA	
Paging	Paging		NA	
Inter RAT mobility		<u> </u>		1
Handover to E-UTRA	RRCConnectionReco nfiguration (sent by other RAT)	RRCConnectionReconfigu rationComplete	NA	The performance of this procedure is specified in TS 45.010 [50] in case of handover from GSM and TS 25.133 [29], TS 25.123 [30] in case of handover from UTRA.
Handover from E- UTRA	MobilityFromEUTRA Command		NA	The performance of this procedure is specified in TS 36.133 [16]
Handover from E- UTRA to CDMA2000	HandoverFromEUTR APreparationRequest (CDMA2000)		NA	Used to trigger the handover preparation procedure with a CDMA2000 RAT. The performance of this procedure is specified in TS 36.133 [16]
Measurement procedu  Measurement	res	MeasurementReport	NA	1
Reporting		Weasurementiveport	INA	
Other procedures UE capability transfer	UECapabilityEnquiry	UECapabilityInformation	10/ 80	N = 80 applies in case the UE has to report at least one of the following UE capabilities MR-DC band combinations NR band combinations - EUTRA feature sets
Counter check	CounterCheck	CounterCheckResponse	10	
Proximity indication	III Information D	ProximityIndication	NA 45	
UE information  MBMS counting	UEInformationReque st MBMSCountingRequ	UEInformationResponse  MBMSCountingResponse	15 NA	
	est			
MBMS interest		MBMSInterestIndication	NA	
indication		to Dougla of Co. 1. 11. 11	N14	
In-device coexistence indication		InDeviceCoexIndication	NA	
In-device coexistence indication UE assistance information		UEAssistanceInformation	NA	
In-device coexistence indication UE assistance information SCG failure information		UEAssistanceInformation SCGFailureInformation	NA NA	
In-device coexistence indication  UE assistance information  SCG failure information  NR SCG failure information		UEAssistanceInformation  SCGFailureInformation  SCGFailureInformationNR	NA NA NA	
In-device coexistence indication UE assistance information SCG failure information NR SCG failure information Sidelink UE information		UEAssistanceInformation SCGFailureInformation SCGFailureInformationNR SidelinkUEInformation	NA NA NA	
In-device coexistence indication UE assistance information SCG failure information NR SCG failure information Sidelink UE		UEAssistanceInformation  SCGFailureInformation  SCGFailureInformationNR	NA NA NA	

Table 11.2-2: UE performance requirements for RRC procedures for NB-IoT UEs

Procedure title:	E-UTRAN -> UE	UE -> E-UTRAN	N	Notes
RRC Connection Contr	ol Procedures			
RRC connection establishment	RRCConnectionSetu p-NB or RRCConnectionResu me-NB	RRCConnectionSetupCo mplete-NB or RRCConnectionResumeC omplete-NB	45	
RRC connection release	RRCConnectionRele ase-NB		NA	
RRC connection re- configuration (radio resource configuration)	RRCConnectionReconfiguration-NB	RRCConnectionReconfigu rationComplete-NB	45	
RRC connection re- establishment	RRCConnectionRees tablishment-NB	RRCConnectionReestabli shmentComplete-NB	45	
Initial security activation	SecurityModeComma nd	SecurityModeCommandC omplete/SecurityModeCo mmandFailure	35	
Initial security activation + RRC connection re- configuration (RB establishment)	SecurityModeComma nd, RRCConnectionReco nfiguration-NB	RRCConnectionReconfigu rationComplete-NB	55	The two DL messages are transmitted in the same TTI
EDT	RRCEarlyDataCompl ete-NB or RRCConnectionRele ase-NB for UP-EDT		NA	
Paging	Paging-NB		NA	
Other procedures			-	
UE capability transfer	UECapabilityEnquiry- NB	UECapabilityInformation- NB	35	

# 11.3 Void

# Annex A (informative): Guidelines, mainly on use of ASN.1

Editor's note No agreements have been reached concerning the extension of RRC PDUs so far. Any statements in this clause about the protocol extension mechanism should be considered as FFS.

#### A.1 Introduction

The following clauses contain guidelines for the specification of RRC protocol data units (PDUs) with ASN.1.

# A.2 Procedural specification

## A.2.1 General principles

The procedural specification provides an overall high level description regarding the UE behaviour in a particular scenario.

It should be noted that most of the UE behaviour associated with the reception of a particular field is covered by the applicable parts of the PDU specification. The procedural specification may also include specific details of the UE behaviour upon reception of a field, but typically this should be done only for cases that are not easy to capture in the PDU clause e.g. general actions, more complicated actions depending on the value of multiple fields.

Likewise, the procedural specification need not specify the UE requirements regarding the setting of fields within the messages that are send to E-UTRAN i.e. this may also be covered by the PDU specification.

## A.2.2 More detailed aspects

The following more detailed conventions should be used:

- Bullets:
  - Capitals should be used in the same manner as in other parts of the procedural text i.e. in most cases no capital applies since the bullets are part of the sentence starting with 'The UE shall:'
  - All bullets, including the last one in a sub-clause, should end with a semi-colon i.e. an ';'
- Conditions
  - Whenever multiple conditions apply, a semi-colon should be used at the end of each conditions with the exception of the last one, i.e. as in 'if cond1; or cond2:

# A.3 PDU specification

# A.3.1 General principles

#### A.3.1.1 ASN.1 sections

The RRC PDU contents are formally and completely described using abstract syntax notation (ASN.1), see X.680 [13], X.681 (02/2002) [14].

The complete ASN.1 code is divided into a number of ASN.1 sections in the specifications. In order to facilitate the extraction of the complete ASN.1 code from the specification, each ASN.1 section begins with a text paragraph consisting entirely of an *ASN.1 start tag*, which consists of a double hyphen followed by a single space and the text string "ASN1START" (in all upper case letters). Each ASN.1 section ends with a text paragraph consisting entirely of an *ASN.1 stop tag*, which consists of a double hyphen followed by a single space and the text "ASN1STOP" (in all upper case letters):

-- ASN1START

-- ASN1STOP

The text paragraphs containing the ASN.1 start and stop tags should not contain any ASN.1 code significant for the complete description of the RRC PDU contents. The complete ASN.1 code may be extracted by copying all the text paragraphs between an ASN.1 start tag and the following ASN.1 stop tag in the order they appear, throughout the specification.

NOTE: A typical procedure for extraction of the complete ASN.1 code consists of a first step where the entire RRC PDU contents description (ultimately the entire specification) is saved into a plain text (ASCII) file format, followed by a second step where the actual extraction takes place, based on the occurrence of the ASN.1 start and stop tags.

#### A.3.1.2 ASN.1 identifier naming conventions

The naming of identifiers (i.e., the ASN.1 field and type identifiers) should be based on the following guidelines:

- Message (PDU) identifiers should be ordinary mixed case without hyphenation. These identifiers, *e.g.*, the *RRCConnectionModificationCommand*, should be used for reference in the procedure text. Abbreviated forms of these identifiers should not be used.
- Type identifiers other than PDU identifiers should be ordinary mixed case, with hyphenation used to set off acronyms only where an adjacent letter is a capital, *e.g.*, *EstablishmentCause*, *SelectedPLMN* (not *Selected-PLMN*, since the "d" in "Selected" is lowercase), *InitialUE-Identity* and *MeasSFN-SFN-TimeDifference*.
- Field identifiers shall start with a lowercase letter and use mixed case thereafter, *e.g.*, *establishmentCause*. If a field identifier begins with an acronym (which would normally be in upper case), the entire acronym is lowercase (*plmn-Identity*, not *pLMN-Identity*). The acronym is set off with a hyphen (*ue-Identity*, not *ueIdentity*), in order to facilitate a consistent search pattern with corresponding type identifiers.
- Identifiers that are likely to be keywords of some language, especially widely used languages, such as C++ or Java, should be avoided to the extent possible.
- Identifiers, other than PDU identifiers, longer than 25 characters should be avoided where possible. It is recommended to use abbreviations, which should be done in a consistent manner i.e. use 'Meas' instead of 'Measurement' for all occurrences. Examples of typical abbreviations are given in table A.3.1.2.1-1 below.
- For future extension: When an extension is introduced a suffix is added to the identifier of the concerned ASN.1 field and/ or type. A suffix of the form "-rX" is used, with X indicating the release, for ASN.1 fields or types introduced in a later release (i.e. a release later than the original/ first release of the protocol) as well as for ASN.1 fields or types for which a revision is introduced in a later release replacing a previous version, e.g., Foor9 for the Rel-9 version of the ASN.1 type Foo. A suffix of the form "-rXb" is used for the first revision of a field that it appears in the same release (X) as the original version of the field, "-rXc" for a second intra-release revision and so on. A suffix of the form "-vXYZ" is used for ASN.1 fields or types that only are an extension of a corresponding earlier field or type (see sub-clause A.4), e.g., AnElement-v10b0 for the extension of the ASN.1 type AnElement introduced in version 10.11.0 of the specification. A number 0...9, 10, 11, etc. is used to represent the first part of the version number, indicating the release of the protocol. Lower case letters a, b, c, etc. are used to represent the second (and third) part of the version number if they are greater than 9. In the procedural specification, in field descriptions as well as in headings suffices are not used, unless there is a clear need to distinguish the extension from the original field.
- More generally, in case there is a need to distinguish different variants of an ASN.1 field or IE, a suffix should be added at the end of the identifiers e.g. *MeasObjectUTRA*, *ConfigCommon*. When there is no particular need to distinguish the fields (e.g. because the field is included in different IEs), a common field identifier name may be used. This may be attractive e.g. in case the procedural specification is the same for the different variants.

Abbreviation	Abbreviated word
Comm	Communication
Conf	Confirmation
Config	Configuration
Disc	Discovery
DL	Downlink
Ext	Extension
Freq	Frequency
Id	Identity
Ind	Indication
Info	Information
Meas	Measurement
Neigh	Neighbour(ing)
Param(s)	Parameter(s)
Persist	Persistent
Phys	Physical
Proc	Process
Reestab	Reestablishment
Req	Request
Rx	Reception
Sched	Scheduling
Sync	Synchronisation
Thresh	Threshold
Tx/ Transm	Transmission
UL	Uplink

Table A.3.1.2-1: Examples of typical abbreviations used in ASN.1 identifiers

NOTE: The table A.3.1.2.1-1 is not exhaustive. Additional abbreviations may be used in ASN.1 identifiers when needed.

#### A.3.1.3 Text references using ASN.1 identifiers

A text reference into the RRC PDU contents description from other parts of the specification is made using the ASN.1 field or type identifier of the referenced element. The ASN.1 field and type identifiers used in text references should be in the *italic font style*. The "do not check spelling and grammar" attribute in Word should be set. Quotation marks (i.e., " ") should not be used around the ASN.1 field or type identifier.

A reference to an RRC PDU type should be made using the corresponding ASN.1 type identifier followed by the word "message", e.g., a reference to the *RRCConnectionRelease* message.

A reference to a specific part of an RRC PDU, or to a specific part of any other ASN.1 type, should be made using the corresponding ASN.1 field identifier followed by the word "field", e.g., a reference to the *prioritisedBitRate* field in the example below.

```
-- /example/ ASNISTART

LogicalChannelConfig ::= SEQUENCE {
   ul-SpecificParameters SEQUENCE {
      priority Priority,
      prioritisedBitRate PrioritisedBitRate,
      bucketSizeDuration BucketSizeDuration,
      logicalChannelGroup INTEGER (0..3)
   }
}

-- ASNISTOP
```

NOTE: All the ASN.1 start tags in the ASN.1 sections, used as examples in this annex to the specification, are deliberately distorted, in order not to include them when the ASN.1 description of the RRC PDU contents is extracted from the specification.

A reference to a specific type of information element should be made using the corresponding ASN.1 type identifier preceded by the acronym "IE", e.g., a reference to the IE *LogicalChannelConfig* in the example above.

References to a specific type of information element should only be used when those are generic, i.e., without regard to the particular context wherein the specific type of information element is used. If the reference is related to a particular context, e.g., an RRC PDU type (message) wherein the information element is used, the corresponding field identifier in that context should be used in the text reference.

A reference to a specific value of an ASN.1 field should be made using the corresponding ASN.1 value without using quotation marks around the ASN.1 value, e.g., 'if the *status* field is set to value *true*'.

# A.3.2 High-level message structure

Within each logical channel type, the associated RRC PDU (message) types are alternatives within a CHOICE, as shown in the example below.

```
-- /example/ ASN1START
DL-DCCH-Message ::= SEQUENCE {
                           DL-DCCH-MessageType
DL-DCCH-MessageType ::= CHOICE {
        dlInformationTransfer
                                                DLInformationTransfer,
        handoverFromEUTRAPreparationRequest
                                               HandoverFromEUTRAPreparationRequest,
        mobilityFromEUTRACommand
                                               MobilityFromEUTRACommand,
        rrcConnectionReconfiguration
                                                RRCConnectionReconfiguration,
        rrcConnectionRelease
                                               RRCConnectionRelease,
        securityModeCommand
                                                SecurityModeCommand,
        ueCapabilityEnquiry
                                                UECapabilityEnquiry,
        sparel NULL
    messageClassExtension    SEQUENCE {}
  ASN1STOP
```

A nested two-level CHOICE structure is used, where the alternative PDU types are alternatives within the inner level cI CHOICE.

Spare alternatives (i.e., *spare1* in this case) may be included within the *c1* CHOICE to facilitate future extension. The number of such spare alternatives should not extend the total number of alternatives beyond an integer-power-of-two number of alternatives (i.e., eight in this case).

Further extension of the number of alternative PDU types is facilitated using the *messageClassExtension* alternative in the outer level CHOICE.

# A.3.3 Message definition

Each PDU (message) type is specified in an ASN.1 section similar to the one shown in the example below.

Hooks for *critical* and *non-critical* extension should normally be included in the PDU type specification. How these hooks are used is further described in sub-clause A.4.

Critical extensions are characterised by a redefinition of the PDU contents and need to be governed by a mechanism for protocol version agreement between the encoder and the decoder of the PDU, such that the encoder is prevented from sending a critically extended version of the PDU type, which is not comprehended by the decoder.

Critical extension of a PDU type is facilitated by a two-level CHOICE structure, where the alternative PDU contents are alternatives within the inner level *c1* CHOICE. Spare alternatives (i.e., *spare3* down to *spare1* in this case) may be included within the *c1* CHOICE. The number of spare alternatives to be included in the original PDU specification should be decided case by case, based on the expected rate of critical extension in the future releases of the protocol.

Further critical extension, when the spare alternatives from the original specifications are used up, is facilitated using the *criticalExtensionsFuture* in the outer level CHOICE.

In PDU types where critical extension is not expected in the future releases of the protocol, the inner level *c1* CHOICE and the spare alternatives may be excluded, as shown in the example below.

Non-critical extensions are characterised by the addition of new information to the original specification of the PDU type. If not comprehended, a non-critical extension may be skipped by the decoder, whilst the decoder is still able to complete the decoding of the comprehended parts of the PDU contents.

Non-critical extensions at locations other than the end of the message or other than at the end of a field contained in a BIT or OCTET STRING are facilitated by use of the ASN.1 extension marker "...". The original specification of a PDU type should normally include the extension marker at the end of the sequence of information elements contained.

Non-critical extensions at the end of the message or at the end of a field that is contained in a BIT or OCTET STRING are facilitated by use of an empty sequence that is marked OPTIONAL e.g. as shown in the following example:

```
-- /example/ ASNISTART

RRCMessage-r8-IEs ::= SEQUENCE {
    field1 InformationElement1,
    field2 InformationElement2,
    nonCriticalExtension SEQUENCE {} OPTIONAL
}

-- ASNISTOP
```

The ASN.1 section specifying the contents of a PDU type may be followed by a *field description* table where a further description of, e.g., the semantic properties of the fields may be included. The general format of this table is shown in the example below. The field description table is absent in case there are no fields for which further description needs to be provided e.g. because the PDU does not include any fields, or because an IE is defined for each field while there is nothing specific regarding the use of this IE that needs to be specified.

%PDU-Typeldentifier% field descriptions				
%field identifier%				
Field description.				
%field identifier%				
Field description.				

The field description table has one column. The header row shall contain the ASN.1 type identifier of the PDU type.

The following rows are used to provide field descriptions. Each row shall include a first paragraph with a *field identifier* (in *bold and italic* font style) referring to the part of the PDU to which it applies. The following paragraphs at the same row may include (in regular font style), e.g., semantic description, references to other specifications and/or specification of value units, which are relevant for the particular part of the PDU.

The parts of the PDU contents that do not require a field description shall be omitted from the field description table.

#### A.3.4 Information elements

Each IE (information element) type is specified in an ASN.1 section similar to the one shown in the example below.

```
-- /example/ ASN1START
PRACH-ConfigSTB ::=
                                    SECTIENCE {
                                        INTEGER (0..1023),
   rootSequenceIndex
   prach-ConfigInfo
                                        PRACH-ConfigInfo
                                    SEOUENCE {
PRACH-Config ::=
   rootSequenceIndex
                                        INTEGER (0..1023),
    prach-ConfigInfo
                                        PRACH-ConfigInfo
                                                                             OPTIONAL
                                                                                         -- Need ON
PRACH-ConfigInfo ::=
                                    SEQUENCE {
    prach-ConfigIndex
                                        ENUMERATED {ffs},
    highSpeedFlag
                                        ENUMERATED {ffs},
    zeroCorrelationZoneConfig
                                        ENUMERATED {ffs}
-- ASN1STOP
```

IEs should be introduced whenever there are multiple fields for which the same set of values apply. IEs may also be defined for other reasons e.g. to break down a ASN.1 definition in to smaller pieces.

A group of closely related IE type definitions, like the IEs *PRACH-ConfigSIB* and *PRACH-Config* in this example, are preferably placed together in a common ASN.1 section. The IE type identifiers should in this case have a common base, defined as the *generic type identifier*. It may be complemented by a suffix to distinguish the different variants. The "*PRACH-Config*" is the generic type identifier in this example, and the "*SIB*" suffix is added to distinguish the variant. The sub-clause heading and generic references to a group of closely related IEs defined in this way should use the generic type identifier.

The same principle should apply if a new version, or an extension version, of an existing IE is created for *critical* or *non-critical* extension of the protocol (see sub-clause A.4). The new version, or the extension version, of the IE is included in the same ASN.1 section defining the original. A suffix is added to the type identifier, using the naming conventions defined in sub-clause A.3.1.2, indicating the release or version of the where the new version, or extension version, was introduced.

Local IE type definitions, like the IE *PRACH-ConfigInfo* in the example above, may be included in the ASN.1 section and be referenced in the other IE types defined in the same ASN.1 section. The use of locally defined IE types should be encouraged, as a tool to break up large and complex IE type definitions. It can improve the readability of the code. There may also be a benefit for the software implementation of the protocol end-points, as these IE types are typically provided by the ASN.1 compiler as independent data elements, to be used in the software implementation.

An IE type defined in a local context, like the IE *PRACH-ConfigInfo*, should not be referenced directly from other ASN.1 sections in the RRC specification. An IE type which is referenced in more than one ASN.1 section should be defined in a separate sub-clause, with a separate heading and a separate ASN.1 section (possibly as one in a set of

closely related IE types, like the IEs *PRACH-ConfigSIB* and *PRACH-Config* in the example above). Such IE types are also referred to as 'global IEs'.

NOTE: Referring to an IE type, that is defined as a local IE type in the context of another ASN.1 section, does not generate an ASN.1 compilation error. Nevertheless, using a locally defined IE type in that way makes the IE type definition difficult to find, as it would not be visible at an outline level of the specification. It should be avoided.

The ASN.1 section specifying the contents of one or more IE types, like in the example above, may be followed by a *field description* table, where a further description of, e.g., the semantic properties of the fields of the information elements may be included. This table may be absent, similar as indicated in sub-clause A.3.3 for the specification of the PDU type. The general format of the *field description* table is the same as shown in sub-clause A.3.3 for the specification of the PDU type.

## A.3.5 Fields with optional presence

A field with optional presence may be declared with the keyword DEFAULT. It identifies a default value to be assumed, if the sender does not include a value for that field in the encoding:

```
-- /example/ ASN1START

PreambleInfo ::= SEQUENCE {
   numberOfRA-Preambles INTEGER (1..64) DEFAULT 1,
   ...
}

-- ASN1STOP
```

Alternatively, a field with optional presence may be declared with the keyword OPTIONAL. It identifies a field for which a value can be omitted. The omission carries semantics, which is different from any normal value of the field:

```
-- /example/ ASN1START

PRACH-Config ::= SEQUENCE {
   rootSequenceIndex INTEGER (0..1023),
   prach-ConfigInfo PRACH-ConfigInfo OPTIONAL -- Need ON
}

-- ASN1STOP
```

The semantics of an optionally present field, in the case it is omitted, should be indicated at the end of the paragraph including the keyword OPTIONAL, using a short comment text with a need statement. The need statement includes the keyword "Need", followed by one of the predefined semantics tags (OP, ON or OR) defined in sub-clause 6.1. If the semantics tag OP is used, the semantics of the absent field are further specified either in the field description table following the ASN.1 section, or in procedure text.

The addition of OPTIONAL keywords for capability groups is based on the following guideline. If there is more than one field in the lower level IE, then OPTIONAL keyword is added at the group level. If there is only one field in the lower level IE, OPTIONAL keyword is not added at the group level.

# A.3.6 Fields with conditional presence

A field with conditional presence is declared with the keyword OPTIONAL. In addition, a short comment text shall be included at the end of the paragraph including the keyword OPTIONAL. The comment text includes the keyword "Cond", followed by a condition tag associated with the field ("UL" in this example):

```
-- /example/ ASN1START

LogicalChannelConfig ::= SEQUENCE {
   ul-SpecificParameters SEQUENCE {
      priority INTEGER (0),
      ...
   } OPTIONAL -- Cond UL
}

-- ASN1STOP
```

When conditionally present fields are included in an ASN.1 section, the field description table after the ASN.1 section shall be followed by a *conditional presence* table. The conditional presence table specifies the conditions for including the fields with conditional presence in the particular ASN.1 section.

Conditional presence	Explanation
UL	Specification of the conditions for including the field associated with the condition
	tag = "UL". Semantics in case of optional presence under certain conditions may
	also be specified.

The conditional presence table has two columns. The first column (heading: "Conditional presence") contains the condition tag (in *italic* font style), which links the fields with a condition tag in the ASN.1 section to an entry in the table. The second column (heading: "Explanation") contains a text specification of the conditions and requirements for the presence of the field. The second column may also include semantics, in case of an optional presence of the field, under certain conditions i.e. using the same predefined tags as defined for optional fields in A.3.5.

Conditional presence should primarily be used when presence of a field despends on the presence and/ or value of other fields within the same message. If the presence of a field depends on whether another feature/ function has been configured, while this function can be configured indepedently e.g. by another message and/ or at another point in time, the relation is best reflected by means of a statement in the field description table.

If the ASN.1 section does not include any fields with conditional presence, the conditional presence table shall not be included.

Whenever a field is only applicable in specific cases e.g. TDD, use of conditional presence should be considered.

## A.3.7 Guidelines on use of lists with elements of SEQUENCE type

Where an information element has the form of a list (the SEQUENCE OF construct in ASN.1) with the type of the list elements being a SEQUENCE data type, an information element shall be defined for the list elements even if it would not otherwise be needed.

For example, a list of PLMN identities with reservation flags is defined as in the following example:

rather than as in the following (bad) example, which may cause generated code to contain types with unpredictable names:

```
-- /bad example/ ASN1START

PLMN-IdentityList ::= SEQUENCE (SIZE (1..6)) OF SEQUENCE {
   plmn-Identity PLMN-Identity,
   cellReservedForOperatorUse ENUMERATED {reserved, notReserved}
}

-- ASN1STOP
```

# A.4 Extension of the PDU specifications

## A.4.1 General principles to ensure compatibility

It is essential that extension of the protocol does not affect interoperability i.e. it is essential that implementations based on different versions of the RRC protocol are able to interoperate. In particular, this requirement applies for the following kind of protocol extensions:

- Introduction of new PDU types (i.e. these should not cause unexpected behaviour or damage).
- Introduction of additional fields in an extensible PDUs (i.e. it should be possible to ignore uncomprehended extensions without affecting the handling of the other parts of the message).
- Introduction of additional values of an extensible field of PDUs. If used, the behaviour upon reception of an uncomprehended value should be defined.

It should be noted that the PDU extension mechanism may depend on the logical channel used to transfer the message e.g. for some PDUs an implementation may be aware of the protocol version of the peer in which case selective ignoring of extensions may not be required.

The non-critical extension mechanism is the primary mechanism for introducing protocol extensions i.e. the critical extension mechanism is used merely when there is a need to introduce a 'clean' message version. Such a need appears when the last message version includes a large number of non-critical extensions, which results in issues like readability, overhead associated with the extension markers. The critical extension mechanism may also be considered when it is complicated to accommodate the extensions by means of non-critical extension mechanisms.

## A.4.2 Critical extension of messages and fields

The mechanisms to critically extend a message are defined in A.3.3. There are both "outer branch" and "inner branch" mechanisms available. The "outer branch" consists of a CHOICE having the name *criticalExtensions*, with two values, *c1* and *criticalExtensionsFuture*. The *criticalExtensionsFuture* branch consists of an empty SEQUENCE, while the c1 branch contains the "inner branch" mechanism.

The "inner branch" structure is a CHOICE with values of the form "MessageName-rX-IEs" (e.g., "RRCConnectionReconfiguration-r8-IEs") or "spareX", with the spare values having type NULL. The "-rX-IEs" structures contain the complete structure of the message IEs for the appropriate release; i.e., the critical extension branch for the Rel-10 version of a message includes all Rel-8 and Rel-9 fields (that are not obviated in the later version), rather than containing only the additional Rel-10 fields.

The following guidelines may be used when deciding which mechanism to introduce for a particular message, i.e. only an 'outer branch', or an 'outer branch' in combination with an 'inner branch' including a certain number of spares:

- For certain messages, e.g. initial uplink messages, messages transmitted on a broadcast channel, critical extension may not be applicable.
- An outer branch may be sufficient for messages not including any fields.
- The number of spares within inner branch should reflect the likelihood that the message will be critically extended in future releases (since each release with a critical extension for the message consumes one of the spare values). The estimation of the critical extension likelyhood may be based on the number, size and changeability of the fields included in the message.
- In messages where an inner branch extension mechanism is available, all spare values of the inner branch should be used before any critical extensions are added using the outer branch.

The following example illustrates the use of the critical extension mechanism by showing the ASN.1 of the original and of a later release

```
-- /example/ ASN1START -- Original release

RRCMessage ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
        c1 CHOICE {
```

```
-- /example/ ASN1START
                                        -- Later release
                                        SEQUENCE {
RRCMessage ::=
    rrc-TransactionIdentifier
                                            RRC-TransactionIdentifier,
    criticalExtensions
                                        CHOICE {
                                            CHOICE {
       c1
            rrcMessage-r8
                                                RRCMessage-r8-IEs,
           rrcMessage-r10
                                                RRCMessage-r10-IEs,
            rrcMessage-r11
                                                RRCMessage-r11-IEs,
           rrcMessage-r14
                                                RRCMessage-r14-IEs
        later
                                        CHOICE {
            с2
                                                 CHOICE {
                                                    RRCMessage-r16-IEs,
                rrcMessage-r16
                spare7 NULL, spare6 NULL, spare5 NULL, spare4 NULL,
                spare3 NULL, spare2 NULL, spare1 NULL
            criticalExtensionsFuture
                                                    SEQUENCE {}
-- ASN1STOP
```

It is important to note that critical extensions may also be used at the level of individual fields i.e. a field may be replaced by a critically extended version. When sending the extended version, the original version may also be included (e.g. original field is mandatory, EUTRAN is unaware if UE supports the extended version). In such cases, a UE supporting both versions may be required to ignore the original field. The following example illustrates the use of the critical extension mechanism by showing the ASN.1 of the original and of a later release

```
-- /example/ ASN1START
                                       -- Original release
RRCMessage ::=
                                       SEQUENCE {
   rrc-TransactionIdentifier
                                           RRC-TransactionIdentifier,
    criticalExtensions
                                       CHOICE {
                                           CHOICE {
       c1
           rrcMessage-r8
                                              RRCMessage-r8-IEs,
           spare3 NULL, spare2 NULL, spare1 NULL
        },
       criticalExtensionsFuture
                                          SEQUENCE { }
}
RRCMessage-rN-IEs ::= SEQUENCE {
                                      ENUMERATED {
   field1-rN
                                          value1, value2, value3, value4} OPTIONAL,
                                                                                      -- Need ON
    field2-rN
                                      InformationElement2-rN
                                                                          OPTIONAL,
   nonCriticalExtension
                                      RRCConnectionReconfiguration-vMxy-IEs OPTIONAL
}
RRCConnectionReconfiguration-vMxy-IEs ::= SEQUENCE {
   field2-rM
                                      InformationElement2-rM
                                                                      OPTIONAL, -- Cond NoField2rN
                                       SEQUENCE {}
   nonCriticalExtension
                                                                      OPTIONAL
-- ASN1STOP
```

Conditional presence	Explanation
NoField2rN	The field is optionally present, need ON, if field2-rN is absent. Otherwise the field is not
	present

Finally, it is noted that a critical extension may be introduced in the same release as the one in which the original field was introduced e.g. to correct an essential ASN.1 error. In such cases a UE capability may be introduced, to assist E-UTRAN in deciding whether or not to use the critically extension.

## A.4.3 Non-critical extension of messages

#### A.4.3.1 General principles

The mechanisms to extend a message in a non-critical manner are defined in A.3.3. W.r.t. the use of extension markers, the following additional guidelines apply:

- When further non-critical extensions are added to a message that has been critically extended, the inclusion of these non-critical extensions in earlier critical branches of the message should be avoided when possible.
- The extension marker ("...") is the primary non-critical extension mechanism that is used unless a length determinant is not required. Examples of cases where a length determinant is not required:
  - at the end of a message,
  - at the end of a structure contained in a BIT STRING or OCTET STRING
- When an extension marker is available, non-critical extensions are preferably placed at the location (e.g. the IE) where the concerned parameter belongs from a logical/ functional perspective (referred to as the 'default extension location')
- It is desirable to aggregate extensions of the same release or version of the specification into a group, which should be placed at the lowest possible level.
- In specific cases it may be preferrable to place extensions elsewhere (referred to as the 'actual extension location') e.g. when it is possible to aggregate several extensions in a group. In such a case, the group should be placed at the lowest suitable level in the message. <TBD: ref to seperate example>
- In case placement at the default extension location affects earlier critical branches of the message, locating the extension at a following higher level in the message should be considered.
- In case an extension is not placed at the default extension location, an IE should be defined. The IE's ASN.1 definition should be placed in the same ASN.1 section as the default extension location. In case there are intermediate levels in-between the actual and the default extension location, an IE may be defined for each level. Intermediate levels are primarily introduced for readability and overview. Hence intermediate levels need not allways be introduced e.g. they may not be needed when the default and the actual extension location are within the same ASN.1 section. <TBD: ref to seperate example>

#### A.4.3.2 Further guidelines

Further to the general principles defined in the previous clause, the following additional guidelines apply regarding the use of extension markers:

- Extension markers within SEQUENCE
  - Extension markers are primarily, but not exclusively, introduced at the higher nesting levels
  - Extension markers are introduced for a SEQUENCE comprising several fields as well as for information elements whose extension would result in complex structures without it (e.g. re-introducing another list)
  - Extension markers are introduced to make it possible to maintain important information structures e.g. parameters relevant for one particular RAT
  - Extension markers are also used for size critical messages (i.e. messages on BCCH, BR-BCCH, PCCH and CCCH), although introduced somewhat more carefully
  - The extension fields introduced (or frozen) in a specific version of the specification are grouped together using double brackets.
- Extension markers within ENUMERATED

- Spare values are used until the number of values reaches the next power of 2, while the extension marker caters for extension beyond that limit
- A suffix of the form "vXYZ" is used for the identifier of each new value, e.g. "value-vXYZ".
- Extension markers within CHOICE:
  - Extension markers are introduced when extension is foreseen and when comprehension is not required by the
    receiver i.e. behaviour is defined for the case where the receiver cannot comprehend the extended value (e.g.
    ignoring an optional CHOICE field). It should be noted that defining the behaviour of a receiver upon
    receiving a not comprehended choice value is not required if the sender is aware whether or not the receiver
    supports the extended value.
  - A suffix of the form "vXYZ" is used for the identifier of each new choice value, e.g. "choice-vXYZ".

Non-critical extensions at the end of a message/ of a field contained in an OCTET or BIT STRING:

- When a nonCriticalExtension is actually used, a "Need" statement should not be provided for the field, which always is a group including at least one extension and a field facilitating further possible extensions. For simplicity, it is recommended not to provide a "Need" statement when the field is not actually used either.

Further, more general, guidelines:

- In case a need statement is not provided for a group, a "Need" statement is provided for all individual extension fields within the group i.e. including for fields that are not marked as OPTIONAL. The latter is to clarify the action upon absence of the whole group.

#### A.4.3.3 Typical example of evolution of IE with local extensions

The following example illustrates the use of the extension marker for a number of elementary cases (sequence, enumerated, choice). The example also illustrates how the IE may be revised in case the critical extension mechanism is used.

NOTE In case there is a need to support further extensions of release n while the ASN.1 of release (n+1) has been frozen, without requiring the release n receiver to support decoding of release (n+1) extensions, more advanced mechanisms are needed e.g. including multiple extension markers.

```
-- /example/ ASN1START
InformationElement1 ::=
                                SEQUENCE {
                                        ENUMERATED {
    field1
                                            value1, value2, value3, value4-v880,
                                             ..., value5-v960 },
                                        CHOICE {
        field2a
                                            BOOLEAN,
        field2b
                                            InformationElement2b,
        field2c-v960
                                            InformationElement2c-r9
    },
      field3-r9
                                            InformationElement3-r9
                                                                        OPTIONAL
                                                                                         -- Need OR
    [[ field3-v9a0
                                            InformationElement3-v9a0 OPTIONAL,
                                                                                         -- Need OR
        field4-r9
                                            InformationElement4
                                                                     OPTIONAL
                                                                                         -- Need OR
    11
InformationElement1-r10 ::=
                                    SEQUENCE {
                                        ENUMERATED {
    field1
                                            value1, value2, value3, value4-v880,
                                            value5-v960, value6-v1170, spare2, spare1, ...},
    field2
                                        CHOICE {
       field2a
                                            BOOLEAN.
        field2b
                                            InformationElement2b,
        field2c-v960
                                            InformationElement2c-r9,
        field2d-v12b0
                                            INTEGER (0..63)
    field3-r9
                                        InformationElement3-r10
                                                                        OPTIONAL,
                                                                                     -- Need OR
    field4-r9
                                        InformationElement4
                                                                        OPTIONAL,
                                                                                     -- Need OR
    field5-r10
                                        BOOLEAN,
```

```
field6-r10 InformationElement6-r10 OPTIONAL, -- Need OR
...,
[[ field3-v1170 InformationElement3-v1170 OPTIONAL -- Need OR
]]
}
-- ASN1STOP
```

Some remarks regarding the extensions of *InformationElement1* as shown in the above example:

- The InformationElement1 is initially extended with a number of non-critical extensions. In release 10 however, a critical extension is introduced for the message using this IE. Consequently, a new version of the IE InformationElement1 (i.e. InformationElement1-r10) is defined in which the earlier non-critical extensions are incorporated by means of a revision of the original field.
- The *value4-v880* is replacing a spare value defined in the original protocol version for *field1*. Likewise *value6-v1170* replaces *spare3* that was originally defined in the r10 version of *field1*
- Within the critically extended release 10 version of *InformationElement1*, the names of the original fields/ IEs are not changed, unless there is a real need to distinguish them from other fields/ IEs. E.g. the *field1* and *InformationElement4* were defined in the original protocol version (release 8) and hence not tagged. Moreover, the *field3-r9* is introduced in release 9 and not re-tagged; although, the *InformationElement3* is also critically extended and therefore tagged *InformationElement3-r10* in the release 10 version of InformationElement1.

#### A.4.3.4 Typical examples of non critical extension at the end of a message

The following example illustrates the use of non-critical extensions at the end of the message or at the end of a field that is contained in a BIT or OCTET STRING i.e. when an empty sequence is used.

```
-- /example/ ASN1START
RRCMessage-r8-IEs ::=
                                SEQUENCE {
    field1
                                    InformationElement1,
    field2
                                    InformationElement2,
                                                                         OPTIONAL,
    field3
                                    InformationElement3
                                                                                      -- Need ON
    nonCriticalExtension
                                    RRCMessage-v860-IEs
                                                                         OPTIONAL
}
RRCMessage-v860-IEs ::=
                                SEQUENCE {
    field4-v860
                                    InformationElement4
                                                                         OPTIONAL,
                                                                                      -- Need OP
    field5-v860
                                    BOOLEAN
                                                                         OPTIONAL,
                                                                                      -- Cond C54
   nonCriticalExtension
                                    RRCMessage-v940-IEs
                                                                         OPTIONAL
RRCMessage-v940-IEs ::=
                                SEQUENCE {
                                    InformationElement6-r9
    field6-v940
                                                                             OPTIONAL,
                                                                                          -- Need OR
                                    SEQUENCE {}
    nonCriticalExtensions
                                                                             OPTIONAL
-- ASN1STOP
```

Some remarks regarding the extensions shown in the above example:

The InformationElement4 is introduced in the original version of the protocol (release 8) and hence no suffix is used.

# A.4.3.5 Examples of non-critical extensions not placed at the default extension location

The following example illustrates the use of non-critical extensions in case an extension is not placed at the default extension location.

#### ParentIE-WithEM

The IE *ParentIE-WithEM* is an example of a high level IE including the extension marker (EM). The root encoding of this IE includes two lower level IEs *ChildIE1-WithoutEM* and *ChildIE2-WithoutEM* which not include the extension marker. Consequently, non-critical extensions of the Child-IEs have to be included at the level of the Parent-IE.

The example illustrates how the two extension IEs *ChildIE1-WithoutEM-vNx0* and *ChildIE2-WithoutEM-vNx0* (both in release N) are used to connect non-critical extensions with a default extension location in the lower level IEs to the actual extension location in this IE.

#### ParentlE-WithEM information element

```
-- /example/ ASN1START
ParentIE-WithEM ::=
                                    SEQUENCE {
     - Root encoding, including:
    childIE1-WithoutEM
                                        ChildIE1-WithoutEM
                                                                         OPTIONAL,
                                                                                          -- Need ON
    childIE2-WithoutEM
                                        ChildTE2-WithoutEM
                                                                         OPTIONAL,
                                                                                          -- Need ON
    [[ childIE1-WithoutEM-vNx0
                                            ChildIE1-WithoutEM-vNx0
                                                                         OPTIONAL,
                                                                                          -- Need ON
        childIE2-WithoutEM-vNx0
                                             ChildIE2-WithoutEM-vNx0
                                                                         OPTIONAL
                                                                                          -- Need ON
    11
-- ASN1STOP
```

Some remarks regarding the extensions shown in the above example:

- The fields *childIEx-WithoutEM-vNx0* may not really need to be optional (depends on what is defined at the next lower level).
- In general, especially when there are several nesting levels, fields should be marked as optional only when there
  is a clear reason.

#### ChildIE1-WithoutEM

The IE *ChildIE1-WithoutEM* is an example of a lower level IE, used to control certain radio configurations including a configurable feature which can be setup or released using the local IE *ChIE1-ConfigurableFeature*. The example illustrates how the new field *chIE1-NewField* is added in release N to the configuration of the configurable feature. The example is based on the following assumptions:

- when initially configuring as well as when modifying the new field, the original fields of the configurable feature have to be provided also i.e. as if the extended ones were present within the setup branch of this feature.
- when the configurable feature is released, the new field should be released also.
- when omitting the original fields of the configurable feature the UE continues using the existing values (which is
  used to optimise the signalling for features that typically continue unchanged upon handover).
- when omitting the new field of the configurable feature the UE releases the existing values and discontinues the
  associated functionality (which may be used to support release of unsupported functionality upon handover to an
  eNB supporting an earlier protocol version).

The above assumptions, which affect the use of conditions and need codes, may not always apply. Hence, the example should not be re-used blindly.

#### ChildIE1-WithoutEM information elements

```
-- /example/ ASN1START

ChildIel-WithouteM ::= SEQUENCE {
    -- Root encoding, including:
     chiel-ConfigurableFeature Chiel-ConfigurableFeature OPTIONAL -- Need ON
}
```

```
ChildIE1-WithoutEM-vNx0 ::=
                                SEQUENCE {
                                       ChIE1-ConfigurableFeature-vNx0 OPTIONAL
    chIE1-ConfigurableFeature-vNx0
                                                                                    -- Cond ConfigF
ChIE1-ConfigurableFeature ::=
                                    CHOICE {
   release
                                       NULL,
                                        SEQUENCE {
    setup
        -- Root encoding
ChIE1-ConfigurableFeature-vNx0 ::= SEQUENCE {
    chIE1-NewField-rN
                                        INTEGER (0..31)
-- ASN1STOP
```

Conditional presence	Explanation
ConfigF	The field is optional present, need OR, in case of chIE1-ConfigurableFeature is included
	and set to "setup"; otherwise the field is not present and the UE shall delete any existing
	value for this field.

#### ChildIE2-WithoutEM

The IE *ChildIE2-WithoutEM* is an example of a lower level IE, typically used to control certain radio configurations. The example illustrates how the new field *chIE1-NewField* is added in release N to the configuration of the configurable feature.

#### ChildIE2-WithoutEM information element

```
-- /example/ ASN1START

ChildIE2-WithoutEM ::= CHOICE {
    release NULL,
    setup SEQUENCE {
    -- Root encoding
    }
}

ChildIE2-WithoutEM-vNx0 ::= SEQUENCE {
    chiE2-NewField-rN INTEGER (0..31) OPTIONAL -- Cond Configf
}

-- ASN1STOP
```

Conditional presence	Explanation
ConfigF	The field is optional present, need OR, in case of chIE2-ConfigurableFeature is included
	and set to "setup"; otherwise the field is not present and the UE shall delete any existing
	value for this field.

# A.5 Guidelines regarding inclusion of transaction identifiers in RRC messages

The following rules provide guidance on which messages should include a Transaction identifier

- 1: DL messages on CCCH that move UE to RRC-Idle should not include the RRC transaction identifier.
- 2: All network initiated DL messages by default should include the RRC transaction identifier.
- 3: All UL messages that are direct response to a DL message with an RRC Transaction identifier should include the RRC Transaction identifier.
- 4: All UL messages that require a direct DL response message should include an RRC transaction identifier.

5: All UL messages that are not in response to a DL message nor require a corresponding response from the network should not include the RRC Transaction identifier.

# A.6 Protection of RRC messages (informative)

The following list provides information which messages can be sent (unprotected) prior to security activation and which messages can be sent unprotected after security activation. Those messages indicated "-" in "P" column should never be sent unprotected by eNB or UE. Further requirements are defined in the procedural text.

- P...Messages that can be sent (unprotected) prior to security activation
- A I...Messages that can be sent without integrity protection after security activation
- A C...Messages that can be sent unciphered after security activation
- NA... Message can never be sent after security activation

Message	Р	A-I	A-C	Comment
CSFBParametersRequestCDMA20	+	-	-	
00				
CSFBParametersResponseCDMA	+	-	-	
2000				
CounterCheck	-	-	-	
CounterCheckResponse	-	-	-	
DelayBudgetReport	-	-	-	
DLInformationTransfer	+	-	-	
FailureInformation	<u> </u>	-	-	
HandoverFromEUTRAPreparation	-	-	-	
Request (CDMA2000)				
InDeviceCoexIndication	-	-	-	
InterFreqRSTDMeasurementIndica	-	-	-	
tion				
LoggedMeasurementsConfiguratio	İ -	-	-	
n				
MasterInformationBlock	+	+	+	
MasterInformationBlock-MBMS	+	+	+	
MBMSCountingRequest	+	+	+	
MBMSCountingResponse	T .	-	-	
MBMSInterestIndication	+	-	-	
MBSFNAreaConfiguration	+	+	+	
MeasReportAppLayer	<del>  T</del>	<del>  T</del>	+	
MeasurementReport	-	+-	<del>  -</del>	Magazirament configuration may be cont
weasurementkeport	-	-	-	Measurement configuration may be sent prior to security activation. But: In order to
				protect privacy of UEs, MEASUREMENT
				REPORT is only sent from the UE after
				successful security activation.
MobilityFromEUTRACommand		-	-	Successiul security activation.
	-			
Paging Province to the disease of the second	+	+	+	
ProximityIndication	-	-	-	
RNReconfiguration	1		-	
RNReconfigurationComplete	-	-	-	The management of the second community and
RRCConnectionReconfiguration	+	-	-	The message shall not be sent unprotected
				before security activation if it is used to
				perform handover or to establish SRB2, SRB4 and DRBs
RRCConnectionReconfigurationCo		-	-	Unprotected, if sent as response to
	+	-	-	RRCConnectionReconfiguration which was
mplete				
DDCC and action Departurblishment			<u>.</u>	sent before security activation
RRCConnectionReestablishment	-	+	+	This message is not protected by PDCP
RRCConnectionReestablishmentC				operation.
	-	-	-	
omplete  RRCConnectionReestablishmentR			<u>.</u>	
	-	+	+	One reason to send this may be that the security context has been lost, therefore
eject				sent as unprotected.
RRCConnectionReestablishmentR	-			This message is not protected by PDCP
	-	-	+	
equest				operation. However, a short MAC-I is
DDCConnection Deignt	1		+ .	included.  Except for UP-EDT, A-I and A-C are NA.
RRCConnectionReject	+	+	+	
RRCConnectionRelease	+	-	-	Justification for P: If the RRC connection
				only for signalling not requiring DRBs or
				ciphered messages, or the signalling
				connection has to be released prematurely,
				this message is sent as unprotected.
				For UP-EDT, the message is only sent
				after successful security activation.
				RRCConnectionRelease message sent
				before security activation cannot include
				rrc-InactiveConfig, redirectedCarrierInfo,
				idleModeMobilityControlInfo information
PPCConnection Progress	1	NIA	NI A	fields when UE is connected to 5GC.
RRCConnectionRequest	+	NA	NA	

Message	Р	A-I	A-C	Comment
RRCConnectionResume	-	-	+	When this message is transmitted, security is activated but suspended. Integrity verification is done after the message received by RRC. For UP-EDT, the message is only sent after successful security activation. For RRC_INACTIVE state, the message is protected with both integrity and ciphering.
RRCConnectionResumeRequest	-	-	+	This message is not protected by PDCP operation. However, a short MAC-I is included.
RRCConnectionResumeComplete	-	-	-	
RRCConnectionSetup	+	NA	NA	
RRCConnectionSetupComplete	+	NA	NA	
RRCEarlyDataRequest	+	NA	NA	
RRCEarlyDataComplete	+	NA	NA	
SCGFailureInformation	-	-	-	
SCGFailureInformationNR	-	-	-	
SCPTMConfiguration	+	+	+	
SecurityModeCommand	+	NA	NA	Integrity protection applied, but no ciphering (integrity verification done after the message received by RRC)
SecurityModeComplete	-	NA	NA	Integrity protection applied, but no ciphering. Ciphering is applied after completing the procedure.
SecurityModeFailure	+	NA	NA	Neither integrity protection nor ciphering applied.
SidelinkUEInformation	+	-	-	
SystemInformation	+	+	+	
SystemInformationBlockType1	+	+	+	
SystemInformationBlockType1- MBMS	+	+	+	
UEAssistanceInformation	-	-	-	
UECapabilityEnquiry	+	-	-	Except if the UE is using Control plane CIoT EPS optimisation, E-UTRAN should retrieve UE capabilities only after AS security activation.
UECapabilityInformation	+	-	-	
UEInformationRequest	-	-	-	
UEInformationResponse	-	-	-	In order to protect privacy of UEs, UEInformationResponse is only sent from the UE after successful security activation
ULHandoverPreparationTransfer (CDMA2000)	-	-	-	This message should follow HandoverFromEUTRAPreparationRequest
ÜLInformationTransfer	+	-	-	<u> </u>
ULInformationTransferMRDC	-	-	-	
WLANConnectionStatusReport	-	-	-	

# A.7 Miscellaneous

The following miscellaneous conventions should be used:

- References: Whenever another specification is referenced, the specification number and optionally the relevant clause, table or figure, should be indicated in addition to the pointer to the References clause e.g. as follows: 'see TS 36.212 [22, 5.3.3.1.6]'.
- UE capabilities: TS 36.306 [5] specifies that E-UTRAN should in general respect the UE's capabilities. Hence there is no need to include statement clarifying that E-UTRAN, when setting the value of a certain configuration field, shall respect the related UE capabilities unless there is a particular need e.g. particularly complicated cases.

# Annex B (normative): Release 8 and 9 AS feature handling

# B.1 Feature group indicators

This annex contains the definitions of the bits in fields *featureGroupIndicators* (in Table B.1-1) and *featureGroupIndRel9Add* (in Table B.1-1a).

In this release of the protocol, the UE shall include the fields *featureGroupIndicators* in the IE *UE-EUTRA-Capability* and *featureGroupIndRel9Add* in the IE *UE-EUTRA-Capability-v9a0*. All the functionalities defined within the field *featureGroupIndicators* defined in Table B.1-1 or Table B.1-1a are mandatory for the UE (with exceptions for category M1 and M2 UEs), if the related capability (frequency band, RAT, SR-VCC or Inter-RAT ANR) is also supported. For a specific indicator, if all functionalities for a feature group listed in Table B.1-1 have been implemented and tested, the UE shall set the indicator as one (1), else (i.e. if any one of the functionalities in a feature group listed in Table B.1-1 or Table B.1-1a, which have not been implemented or tested), the UE shall set the indicator as zero (0).

The UE shall set all indicators that correspond to RATs not supported by the UE as zero (0).

The UE shall set all indicators, which do not have a definition in Table B.1-1 or Table B.1-1a, as zero (0).

If the optional fields *featureGroupIndicators* or *featureGroupIndRel9Add* are not included by a UE of a future release, the network may assume that all features pertaining to the RATs supported by the UE, respectively listed in Table B.1-1 or Table B.1-1a and deployed in the network, have been implemented and tested by the UE.

In Table B.1-1, a 'VoLTE capable UE' corresponds to a UE which is IMS voice capable and a 'MCPTT capable UE' corresponds to a UE which supports MCPTT voice application as defined in TS 23.179 [73].

The indexing in Table B.1-1a starts from index 33, which is the leftmost bit in the field featureGroupIndRel9Add.

Table B.1-1: Definitions of feature group indicators

Index of indicator (bit number)	Definition (description of the supported functionality, if indicator set to one)	Notes	If indicated "Yes" the feature shall be implemented and successfully tested for this version of the specification	FDD/ TDD diff
1 (leftmost bit)	- Intra-subframe frequency hopping for PUSCH scheduled by UL grant - DCI format 3a (TPC commands for PUCCH and PUSCH with single bit power adjustments) - Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-0 – UE selected subband CQI without PMI - Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-2 – UE selected subband CQI with multiple PMI	- set to 1 by category M1 and M2 UEs that have implemented and successfully tested "Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-0 – UE selected subband CQI without PMI"		Yes
2	- Simultaneous CQI and ACK/NACK on PUCCH, i.e. PUCCH format 2a and 2b - Absolute TPC command for PUSCH - Resource allocation type 1 for PDSCH - Periodic CQI/PMI/RI reporting on PUCCH: Mode 2-0 – UE selected subband CQI without PMI - Periodic CQI/PMI/RI reporting on PUCCH: Mode 2-1 – UE selected subband CQI with single PMI	- If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.		Yes
3	- 5bit RLC UM SN - 7bit PDCP SN	- can only be set to 1 if the UE has set bit number 7 to 1.	Yes, if UE supports VoLTE, MCPTT, or both.	No

Yes, if UE supports	
SRVCC to EUTRAN	
from GERAN.	

4	- Short DRX cycle	- can only be set to 1		Yes
		if the UE has set bit		. 55
		number 5 to 1 not supported by		
		category M1 or M2 UE		
5	Long DRX cycle     DRX command MAC control element		Yes	No
7	- Prioritised bit rate - RLC UM	- can only be set to 0	Yes if LIE supports	No No
7		if the UE does neither support VoLTE nor MCPTT	Yes, if UE supports VoLTE, MCPTT, or both. Yes, if UE supports SRVCC to EUTRAN from GERAN.	NO
8	- EUTRA RRC_CONNECTED to UTRA	- can only be set to 1	Yes (except for category	Yes
	FDD or UTRA TDD CELL_DCH PS handover, if the UE supports either only	if the UE has set bit number 22 to 1	M1 and M2 UEs) for FDD, if UE supports	
	UTRAN FDD or only UTRAN TDD	Hamber 22 to 1	UTRA FDD.	
	- EUTRA RRC_CONNECTED to UTRA			
	FDD CELL_DCH PS handover, if the UE supports both UTRAN FDD and UTRAN TDD			
9	- EUTRA RRC_CONNECTED to	- related to SR-VCC	Yes (except for category	Yes
	GERAN GSM_Dedicated handover	- can only be set to 1 if the UE has set bit	M1 and M2 UEs), if UE supports SRVCC to	
		number 23 to 1	EUTRAN from GERAN.	
10	- EUTRA RRC_CONNECTED to GERAN (Packet_) Idle by Cell Change			Yes
	Order			
	- EUTRA RRC_CONNECTED to			
	GERAN (Packet_) Idle by Cell Change Order with NACC (Network Assisted Cell			
	Change)			
11	- EUTRA RRC_CONNECTED to CDMA2000 1xRTT CS Active handover	- related to SR-VCC - can only be set to 1		Yes
	CDIVIAZOUO TXICTT CO ACTIVE HAIIGOVEI	if the UE has sets bit		
10	FUTDA DDO CONNECTED (	number 24 to 1		
12	- EUTRA RRC_CONNECTED to CDMA2000 HRPD Active handover	- can only be set to 1 if the UE has set bit		Yes
		number 26 to 1		
13	- Inter-frequency handover (within FDD	- can only be set to 1	Yes (except for category M1 and M2 UEs), unless	No
	or TDD)	if the UE has set bit number 25 to 1	UE only supports band	
			13	
14	- Measurement reporting event: Event A4 – Neighbour > threshold		Yes (except for category M1 and M2 UEs)	No
	- Measurement reporting event: Event		and we obs	
	A5 – Serving < threshold1 & Neighbour > threshold2			
15	- Measurement reporting event: Event	- can only be set to 1	Yes for FDD, if UE	Yes
	B1 – Neighbour > threshold for UTRAN	if the UE has set at	supports only UTRAN	
	FDD or UTRAN TDD, if the UE supports either only UTRAN FDD or only UTRAN	least one of the bit number 22, 23, 24, 26	FDD and does not support UTRAN TDD or	
	TDD and has set bit number 22 to 1	or 39 to 1.	GÉRAN or 1xRTT or	
	- Measurement reporting event: Event	- even if the UE sets bits 41, it shall still set	HRPD	
	B1 – Neighbour > threshold for UTRAN	bit 15 to 1 if		
	FDD or UTRAN TDD, if the UE supports	measurement		
	both UTRAN FDD and UTRAN TDD and has set bit number 22 or 39 to 1,	reporting event B1 is tested for all RATs		
	respectively	supported by UE		
	- Measurement reporting event: Event	- If a category M1 or M2 UE does not		
	B1 – Neighbour > threshold for GERAN,	support this feature		
	1xRTT or HRPD, if the UE has set bit	group, this bit shall be		
	number 23, 24 or 26 to 1, respectively	set to 0.	l	<u> </u>

16	- Intra-frequency periodical measurement reporting where triggerType is set to periodical and purpose is set to reportStrongestCells  - Inter-frequency periodical measurement reporting where triggerType is set to periodical and purpose is set to reportStrongestCells, if the UE has set bit number 25 to 1	- If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.	Yes	No
	- Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to reportStrongestCells for UTRAN FDD or UTRAN TDD, if the UE supports either only UTRAN FDD or only UTRAN TDD and has set bit number 22 to 1			
	- Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to reportStrongestCells for UTRAN FDD or UTRAN TDD, if the UE supports both UTRAN FDD and UTRAN TDD and has set bit number 22 or 39 to 1, respectively			
	- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCells</i> for GERAN, 1xRTT or HRPD, if the UE has set bit number 23, 24 or 26 to 1, respectively.			
	NOTE: Event triggered periodical reporting (i.e., with triggerType set to event and with reportAmount > 1) is a mandatory functionality of event triggered reporting and therefore not the subject of this bit.			
17	Intra-frequency ANR features (including the case of (NG)EN-DC wherein MN and SN have the same DRX cycle and onduration configured by MN completely contains on-duration configured by SN) including:  - Intra-frequency periodical measurement reporting where triggerType is set to periodical and purpose is set to reportStrongestCells - Intra-frequency periodical measurement reporting where triggerType is set to periodical and purpose is set to periodical and purpose is set to reportCGI.	- can only be set to 1 if the UE has set bit number 5 to 1 If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.	Yes	No
18	Inter-frequency ANR features (including the case of (NG)EN-DC wherein MN and SN have the same DRX cycle and onduration configured by MN completely contains on-duration configured by SN) including:  - Inter-frequency periodical measurement reporting where triggerType is set to periodical and purpose is set to reportStrongestCells - Inter-frequency periodical measurement reporting where triggerType is set to periodical and purpose is set to periodical and purpose is set to reportCGI	- can only be set to 1 if the UE has set bit number 5 and bit number 25 to 1 If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.	Yes, unless UE only supports band 13	No

19	Inter-RAT ANR features (including the	- can only be set to 1	Yes
	case of (NG)EN-DC wherein MN and SN	if the UE has set bit	. 55
	have the same DRX cycle and on-	number 5 to 1 and the	
	duration configured by MN completely	UE has set at least	
	contains on-duration configured by SN)	one of the bit number	
	including:	22, 23, 24 or 26 to 1.	
	- Inter-RAT periodical measurement		
		- even if the UE sets	
	reporting where <i>triggerType</i> is set to	bits 33 to 37, it shall	
	periodical and purpose is set to	still set bit 19 to 1 if	
	reportStrongestCells for GERAN, if the	inter-RAT ANR	
	UE has set bit number 23 to 1	features are tested for	
	- Inter-RAT periodical measurement	all RATs for which	
	reporting where triggerType is set to	inter-RAT	
	periodical and purpose is set to	measurement	
	reportStrongestCellsForSON for UTRAN	reporting is indicated	
	FDD or UTRAN TDD, if the UE supports	as tested	
	either only UTRAN FDD or only UTRAN		
	TDD and has set bit number 22 to 1		
	- Inter-RAT periodical measurement		
	reporting where triggerType is set to		
	periodical and purpose is set to		
	reportStrongestCellsForSON for UTRAN		
	FDD or UTRAN TDD, if the UE supports		
	both UTRAN FDD and UTRAN TDD and		
	has set bit number 22 or 39 to 1,		
	respectively		
	- Inter-RAT periodical measurement		
	reporting where triggerType is set to		
	periodical and purpose is set to		
	reportStrongestCellsForSON for 1xRTT		
	or HRPD, if the UE has set bit number		
	24 or 26 to 1, respectively		
	- Inter-RAT periodical measurement		
	reporting where <i>triggerType</i> is set to		
	periodical and purpose is set to		
	reportCGI for UTRAN FDD or UTRAN		
	TDD, if the UE supports either only		
	UTRAN FDD or only UTRANTDD and		
	has set bit number 22 to 1		
	- Inter-RAT periodical measurement		
	reporting where <i>triggerType</i> is set to		
	periodical and purpose is set to		
	reportCGI for UTRAN FDD or UTRAN		
	TDD, if the UE supports both UTRAN		
	FDD and UTRAN TDD and has set bit		
	number 22 or 39 to 1, respectively		
	- Inter-RAT periodical measurement		
	reporting where <i>triggerType</i> is set to		
	periodical and purpose is set to		
	reportCGI for GERAN, 1xRTT or HRPD,		
	if the UE has set bit number 23, 24 or 26		
	to 1, respectively		

20	If bit number 7 is set to 0:	- Regardless of what	Yes	No
20	- SRB1 and SRB2 for DCCH + 8x AM	bit number 7 and bit	163	140
	DRB	number 20 is set to,		
		UE shall support at		
	If bit number 7 is set to 1:	least SRB1 and SRB2		
	- SRB1 and SRB2 for DCCH + 8x AM	for DCCH + 4x AM		
	DRB	DRB		
	- SRB1 and SRB2 for DCCH + 5x AM	- Regardless of what		
	DRB + 3x UM DRB	bit number 20 is set		
	NOTE: UE which indicate cumpert for a	to, if bit number 7 is		
	NOTE: UE which indicate support for a DRB combination also support all	set to 1, UE shall support at least SRB1		
	subsets of the DRB combination.	and SRB2 for DCCH		
	Therefore, release of DRB(s) never	+ 4x AM DRB + 1x		
	results in an unsupported DRB	UM DRB		
	combination.	- If flexibleUM-AM-		
		Combinations is		
		included the UE shall		
		support any		
		combination of RLC		
		UM and RLC AM bearers as long as		
		the total number of		
		bearers is at most 8,		
		regardless of what		
		FGI20 indicates		
21	- Predefined intra- and inter-subframe	- If a category M1 or		No
	frequency hopping for PUSCH with	M2 UE does not		
	N_sb > 1	support this feature		
	- Predefined inter-subframe frequency hopping for PUSCH with N_sb > 1	group, this bit shall be set to 0.		
22	- UTRAN FDD or UTRAN TDD	- If a category M1 or	Yes for FDD, if UE	Yes
	measurements, reporting and	M2 UE does not	supports UTRA FDD	100
	measurement reporting event B2 in E-	support this feature		
	UTRA connected mode, if the UE	group, this bit shall be		
	supports either only UTRAN FDD or only	set to 0.		
	UTRAN TDD			
	LITEAN EDD magaurements, reporting			
	- UTRAN FDD measurements, reporting and measurement reporting event B2 in			
	E-UTRA connected mode, if the UE			
	supports both UTRAN FDD and UTRAN			
	TDD			
23	- GERAN measurements, reporting and	- If a category M1 or		Yes
	measurement reporting event B2 in E-	M2 UE does not		
	UTRA connected mode	support this feature		
		group, this bit shall be set to 0.		
24	- 1xRTT measurements, reporting and	- If a category M1 or	Yes for FDD, if UE	Yes
- '	measurement reporting event B2 in E-	M2 UE does not	supports enhanced	100
	UTRA connected mode	support this feature	1xRTT CSFB for FDD	
		group, this bit shall be	Yes for TDD, if UE	
		set to 0.	supports enhanced	
25	later from the supplier of the	A anto	1xRTT CSFB for TDD	N I -
25	- Inter-frequency measurements and reporting in E-UTRA connected mode	- A category M1 or M2 UE shall set this bit to	Yes, unless UE only	No
	reporting in E-01KA connected mode	1 only if	supports band 13	
	NOTE: The UE setting this bit to 1 and	ceMeasurements-r14		
	indicating support for FDD and TDD	is supported.		
	frequency bands in the UE capability	11		
	signalling implements and is tested for			
	FDD measurements while the UE is in			
	TDD, and for TDD measurements while			
	the UE is in FDD.			

26	- HRPD measurements, reporting and measurement reporting event B2 in E-UTRA connected mode	- If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.	Yes for FDD, if UE supports HRPD	Yes
27	- EUTRA RRC_CONNECTED to UTRA FDD or UTRA TDD CELL_DCH CS handover, if the UE supports either only UTRAN FDD or only UTRAN TDD  - EUTRA RRC_CONNECTED to UTRA FDD CELL_DCH CS handover, if the UE supports both UTRAN FDD and UTRAN TDD	- related to SR-VCC - can only be set to 1 if the UE has set bit number 8 to 1 and supports SR-VCC from EUTRA defined in TS 24.008 [49] - If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.	Yes for FDD, if UE supports VoLTE and UTRA FDD	Yes
28	- TTI bundling	- If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.	Yes for FDD	Yes
29	- Semi-Persistent Scheduling	- If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.		Yes
30	- Handover between FDD and TDD	- can only be set to 1 if the UE has set bit number 13 to 1		No
31	- Indicates whether the UE supports the mechanisms defined for cells broadcasting multi band information i.e. comprehending multiBandInfoList, disregarding in RRC_CONNECTED the related system information fields and understanding the EARFCN signalling for all bands, that overlap with the bands supported by the UE, and that are defined in the earliest version of TS 36.101 [42] that includes all UE supported bands.		Yes	No
32	Undefined			

NOTE: The column FDD/ TDD diff indicates if the UE is allowed to signal different values for FDD and TDD.

Table B.1-1a: Definitions of feature group indicators

Index of	Definition	Notes	If indicated "Yes" the	FDD/
indicator (bit number)	(description of the supported functionality, if indicator set to one)	Notes	feature shall be implemented and successfully tested for this version of the specification	TDD diff
33 (leftmost bit)	Inter-RAT ANR features for UTRAN FDD (including the case of (NG)EN-DC wherein MN and SN have the same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN) including: - Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to reportStrongestCellsForSON - Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to periodical and purpose is set to reportCGI	- can only be set to 1 if the UE has set bit number 5 and bit number 22 to 1.		Yes
34	Inter-RAT ANR features for GERAN (including the case of (NG)EN-DC wherein MN and SN have the same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN) including: - Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to reportStrongestCells - Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to periodical and purpose is set to reportCGI	- can only be set to 1 if the UE has set bit number 5 and bit number 23 to 1.		Yes
35	Inter-RAT ANR features for 1xRTT (including the case of (NG)EN-DC wherein MN and SN have the same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN) including: - Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to reportStrongestCellsForSON - Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to periodical and purpose is set to reportCGI	- can only be set to 1 if the UE has set bit number 5 and bit number 24 to 1.		Yes
36	Inter-RAT ANR features for HRPD (including the case of (NG)EN-DC wherein MN and SN have the same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN) including: - Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to reportStrongestCellsForSON - Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to periodical and purpose is set to reportCGI	- can only be set to 1 if the UE has set bit number 5 and bit number 26 to 1.		Yes

37	Inter-RAT ANR features for UTRAN TDD (including the case of (NG)EN-DC wherein MN and SN have the same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN) including: - Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to reportStrongestCellsForSON - Inter-RAT periodical measurement reporting where triggerType is set to periodical and purpose is set to reportCGI	- can only be set to 1 if the UE has set bit number 5 and at least one of the bit number 22 (for UEs supporting only UTRA TDD) or the bit number 39 to 1.		Yes
38	- EUTRA RRC_CONNECTED to UTRA TDD CELL_DCH PS handover, if the UE supports both UTRAN FDD and UTRAN TDD	- can only be set to 1 if the UE has set bit number 39 to 1		Yes
39	- UTRAN TDD measurements, reporting and measurement reporting event B2 in E-UTRA connected mode, if the UE supports both UTRAN FDD and UTRAN TDD	- If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.		Yes
40	- EUTRA RRC_CONNECTED to UTRA TDD CELL_DCH CS handover, if the UE supports both UTRAN FDD and UTRAN TDD	- related to SR-VCC - can only be set to 1 if the UE has set bit number 38 to 1		Yes
41	Measurement reporting event: Event B1  – Neighbour > threshold for UTRAN FDD, if the UE supports UTRAN FDD and has set bit number 22 to 1	- If a category M1 or M2 UE does not support this feature group, this bit shall be set to 0.	Yes for FDD, unless UE has set bit number 15 to 1	Yes
42	- DCI format 3a (TPC commands for PUCCH and PUSCH with single bit power adjustments)	- If a category M1 or M2UE supports this feature group, this bit shall be set to 1. For a UE of all other categories, this bit shall be set to 0.		Yes
43	Undefined			
44	Undefined			
45	Undefined			
46	Undefined			
47	Undefined			
48	Undefined			
49	Undefined			
50	Undefined			_
51	Undefined			
52	Undefined			
53	Undefined			
54	Undefined			
55	Undefined			
56	Undefined			
57	Undefined			
58	Undefined			
59	Undefined			
60 61	Undefined Undefined			
62	Undefined			
63	Undefined			
64	Undefined			
<sub>1</sub> <del>0 -</del>	- Cridonica	i e	Ī	

NOTE: The column FDD/ TDD diff indicates if the UE is allowed to signal different values for FDD and TDD. Annex E specifies for which TDD and FDD serving cells a UE supporting TDD/FDD CA shall support a feature for which it indicates support within the FGI signalling.

#### Clarification for mobility from EUTRAN and inter-frequency handover within EUTRAN

There are several feature groups related to mobility from E-UTRAN and inter-frequency handover within EUTRAN. The description of these features is based on the assumption that we have 5 main "functions" related to mobility from E-UTRAN:

- A. Support of measurements and cell reselection procedure in idle mode
- B. Support of RRC release with redirection procedure in connected mode
- C. Support of Network Assisted Cell Change in connected mode
- D. Support of measurements and reporting in connected mode
- E. Support of handover procedure in connected mode

All functions can be applied for mobility to Inter-frequency to EUTRAN, GERAN, UTRAN, CDMA2000 HRPD and CDMA2000 1xRTT except for function C) which is only applicable for mobility to GERAN. Table B.1-2 below summarises the mobility functions that are supported based on the UE capability signaling (band support) and the setting of the feature group support indicators.

Table B.1-2: Mobility from E-UTRAN

Feature	GERAN	UTRAN	HRPD	1xRTT	EUTRAN
A. Measurements and cell reselection procedure in E-UTRA idle mode	Supported if GERAN band support is indicated	Supported if UTRAN band support is indicated	Supported if CDMA2000 HRPD band support is indicated	Supported if CDMA2000 1xRTT band support is indicated	Supported for supported bands
B. RRC release with blind redirection procedure in E-UTRA connected mode	Supported if GERAN band support is indicated	Supported if UTRAN band support is indicated	Supported if CDMA2000 HRPD band support is indicated	Supported if CDMA2000 1xRTT band support is indicated	Supported for supported bands
C. Cell Change Order (with or without) Network Assisted Cell Change) in E- UTRA connected mode	Group 10	N.A.	N.A.	N.A.	N.A.
D. Inter-frequency/RAT measurements, reporting and measurement reporting event B2 (for inter-RAT) in E-UTRA connected mode	Group 23	Group 22/39	Group 26	Group 24	Group 25
E. Inter-frequency/RAT handover procedure in E-UTRA connected mode	Group 9 (GSM_connected handover) Separate UE capability bit defined in TS 36.306 [5] for PS handover	Group 8/38 (PS handover) or Group 27/40 (SRVCC handover)	Group 12	Group 11	Group 13 (within FDD TDD) Group 30 (between FD and TDD)

In case measurements and reporting function is not supported by UE, the network may still issue the mobility procedures redirection (B) and CCO (C) in a blind fashion.

# B.2 CSG support

In this release of the protocol, it is mandatory for the UE to support a minimum set of CSG functionality consisting of:

- Identifying whether a cell is CSG or not;
- Ignoring CSG cells in cell selection/reselection.

Additional CSG functionality in AS, i.e. the requirement to detect and camp on CSG cells when the "CSG whitelist" is available or when manual CSG selection is triggered by the user, are related to the corresponding NAS features. This additional AS functionality consists of:

- Manual CSG selection;
- Autonomous CSG search;
- Implicit priority handling for cell reselection with CSG cells.

It is possible that this additional CSG functionality in AS is not supported or tested in early UE implementations.

Note that since the above AS features relate to idle mode operations, the capability support is not signalled to the network. For these reasons, no "feature group indicator" is assigned to this feature to indicate early support in Rel-8.

# Annex C (normative): Release 10 AS feature handling

# C.1 Feature group indicators

This annex contains the definitions of the bits in field featureGroupIndRel10.

In this release of the protocol, the UE shall include the field *featureGroupIndRel10* in the IE *UE-EUTRA-Capability-v1020-IEs*. All the functionalities defined within the field *featureGroupIndRel10* defined in Table C.1-1 are mandatory for the UE, if the related capability (spatial multiplexing in UL, PDSCH transmission mode 9, carrier aggregation, handover to EUTRA, or RAT) is also supported. For a specific indicator, if all functionalities for a feature group listed in Table C.1-1 have been implemented and tested, the UE shall set the indicator as one (1), else (i.e. if any one of the functionalities in a feature group listed in Table C.1-1 have not been implemented or tested), the UE shall set the indicator as zero (0).

The UE shall set all indicators that correspond to RATs not supported by the UE as zero (0).

The UE shall set all indicators, which do not have a definition in Table C.1-1, as zero (0).

If the optional field *featureGroupIndRel10* is not included by a UE of a future release, the network may assume that all features, listed in Table C.1-1 and deployed in the network, have been implemented and tested by the UE.

The indexing in Table C.1-1 starts from index 101, which is the leftmost bit in the field featureGroupIndRel10.

Table C.1-1: Definitions of feature group indicators

Index of indicator	<b>Definition</b> (description of the supported functionality, if indicator set to one)	Notes	If indicated "Yes" the feature shall be implemented and successfully tested for this version of the specification	FDD/ TDD diff
101 (leftmost bit)	- DMRS with OCC (orthogonal cover code) and SGH (sequence group hopping) disabling	- if the UE supports two or more layers for spatial multiplexing in UL, this bit shall be set to 1 If a category 0 or 1bis UE does not support this feature, this bit shall be set to 0.		No
102	- Trigger type 1 SRS (aperiodic SRS) transmission (Up to X ports)  NOTE: X = number of supported layers on given band			Yes
103	- PDSCH transmission mode 9 when up to 4 CSI reference signal ports are configured and when not operating in CE mode	- for Category 8 UEs, this bit shall be set to 1. - for Category 11 and higher UEs, this bit shall be set to 1. - for DL Category 11 and higher UEs (except for DL Category 13), this bit shall be set to 1.	Yes for the UE categories listed in the column "Notes"	Yes

		T	T	
104	- PDSCH transmission mode 9 for TDD when 8 CSI reference signal ports are configured and when not operating in CE mode	- if the UE does not support TDD, this bit is irrelevant, and shall be set to 0 this bit is not applicable to FDD (capability signalling exists for FDD for this feature) for Category 8 UEs, this bit shall be set to 1 for Category 11 and higher UEs, this bit shall be set to 1 for DL Category 11 and higher UEs (except for DL Category 13), this bit shall be set to 1.	Yes for TDD, for the UE categories listed in the column "Notes"	No
105	- Periodic CQI/PMI/RI reporting on PUCCH: Mode 2-0 – UE selected subband CQI without PMI, when PDSCH transmission mode 9 is configured - Periodic CQI/PMI/RI reporting on PUCCH: Mode 2-1 – UE selected subband CQI with single PMI, when PDSCH transmission mode 9 and up to 4 CSI reference signal ports are configured	- this bit can be set to 1 only if indices 2 (Table B.1-1) and 103 are set to 1 For UEs capable of TDD-FDD CA, this bit can be set to 1 for both FDD and TDD if index 2 is set to 1 for both FDD and TDD, and index 103 is set to 1 for at least one of FDD and TDD duplex modes.		Yes

			 •
106	- Periodic CQI/PMI/RI/PTI reporting on PUCCH: Mode 2-1 – UE selected subband CQI with single PMI, when PDSCH transmission mode 9 and 8 CSI reference signal ports are configured	- this bit can be set to 1 only if the UE supports PDSCH transmission mode 9 with 8 CSI reference signal ports (i.e., for TDD, if index 104 is set to 1, and for FDD, if tm9-With-8Tx-FDD-r10 is set to 'supported') and if index 2 (Table B.1-1) is set to 1 For UEs capable of TDD-FDD CA, this bit can be set to 1 for both FDD and TDD if at least one of index 104 and tm9-With-8Tx-FDD-r10 is set to 1/'supported', and if index 2 is set to 1 for both FDD and TDD.	Yes
107	- Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-0 – UE selected subband CQI without PMI, when PDSCH transmission mode 9 is configured - Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-2 – UE selected subband CQI with multiple PMI, when PDSCH transmission mode 9 and up to 4 CSI reference signal ports are configured	- this bit can be set to 1 only if indices 1 (Table B.1-1) and 103 are set to 1 For UEs capable of TDD-FDD CA, this bit can be set to 1 for both FDD and TDD if index 1 is set to 1 for both FDD and TDD, and index 103 is set to 1 for at least one of FDD and TDD duplex modes.	Yes
108	- Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-2 – UE selected subband CQI with multiple PMI, when PDSCH transmission mode 9 and 8 CSI reference signal ports are configured	- this bit can be set to 1 only if the UE supports PDSCH transmission mode 9 with 8 CSI reference signal ports (i.e., for TDD, if index 104 is set to 1, and for FDD, if tm9-With-8Tx-FDD-r10 is set to 'supported') and if index 1 (Table B.1-1) is set to 1 For UEs capable of TDD-FDD CA, this bit can be set to 1 for both FDD and TDD if at least one of index 104 and tm9-With-8Tx-FDD-r10 is set to 1/'supported', and if index 1 is set to 1 for both FDD and TDD and TDD.	Yes
109	- Periodic CQI/PMI/RI reporting on PUCCH Mode 1-1, submode 1	- this bit can be set to 1 only if the UE supports PDSCH transmission mode 9 with 8 CSI reference signal ports (i.e., for TDD, if index 104 is set to 1, and for FDD, if tm9-With-8Tx-FDD-r10 is set to 'supported') For UEs capable of TDD-FDD CA, this bit can be set to 1 for both FDD and TDD if at least one of index 104 and tm9-With-8Tx-FDD-r10 is set to 1/'supported'.	Yes

440	Davis dia COL/DMI/DI san antina	this hit as a base of the Asaba	1	V
110	- Periodic CQI/PMI/RI reporting on	- this bit can be set to 1 only		Yes
	PUCCH Mode 1-1, submode 2	if the UE supports PDSCH		
		transmission mode 9 with 8		
		CSI reference signal ports		
		(i.e., for TDD, if index 104 is		
		set to 1, and for FDD, if tm9-		
		With-8Tx-FDD-r10 is set to		
		'supported').		
		- For UEs capable of TDD-		
		FDD CA, this bit can be set to 1 for both FDD and TDD if		
		at least one of index 104 and		
		tm9-With-8Tx-FDD-r10 is set		
		to 1/'supported'.		
111	- Measurement reporting trigger Event	- this bit can be set to 1 only		Yes
' ' '	A6	if the UE supports carrier		163
	710	aggregation.		
112	- SCell addition within the handover to	- this bit can be set to 1 only		Yes
112	EUTRA procedure	if the UE supports carrier		163
	Lo Trox procedure	aggregation and the		
		handover to EUTRA		
		procedure.		
113	- Trigger type 0 SRS (periodic SRS)	- this bit can be set to 1 only		Yes
1.0	transmission on X Serving Cells	if the UE supports carrier		
	manoning cond	aggregation in UL.		
	NOTE: X = number of supported	999		
	component carriers in a given band			
	combination			
114	- Reporting of both UTRA CPICH	- this bit can be set to 1 only		No
	RSCP and Ec/N0 in a Measurement	if index 22 (Table B.1-1) is		
	Report	set to 1.		
115	- time domain ICIC RLM/RRM	- If a category M1 or M2 UE		Yes
	measurement subframe restriction for	does not support this feature		
	the serving cell	group, this bit shall be set to		
	- time domain ICIC RRM measurement	0.		
	subframe restriction for neighbour cells			
	- time domain ICIC CSI measurement			
	subframe restriction			
116	- Relative transmit phase continuity for	- this bit can be set to 1 only		Yes
	spatial multiplexing in UL	if the UE supports two or		
		more layers for spatial		
		multiplexing in UL.		
117	Undefined			
118	Undefined			
119	Undefined			
120	Undefined			
121	Undefined			
122	Undefined			
123	Undefined			
124	Undefined			
125	Undefined			
126	Undefined			
127	Undefined			
128	Undefined			
129	Undefined			
130	Undefined			
131	Undefined			
132	Undefined		1	<u> </u>

NOTE: The column FDD/ TDD diff indicates if the UE is allowed to signal different values for FDD and TDD. Annex E specifies for which TDD and FDD serving cells a UE supporting TDD/FDD CA shall support a feature for which it indicates support within the FGI signalling.

#### Annex D (informative): Descriptive background information

## D.1 Signalling of Multiple Frequency Band Indicators (Multiple FBI)

### D.1.1 Mapping between frequency band indicator and multiple frequency band indicator

This clause describes the use of the Multiple Frequency Band Indicator (MFBI) lists and the E-UTRA frequency bands in *SystemInformationBlockType1* by means of an example as shown in Figure D.1.1-1. In this example:

- E-UTRAN cell belongs to band B90 and also bands B6, B7, B91, and B92.
- The freqBandIndicatorPriority field is not present in SystemInformationBlockType1.
- E-UTRAN uses B64 to indicate the presence of B90 in freqBandIndicator-v9e0.
- For the MFBI list of this cell, E-UTRAN uses B64 in MultiBandInfoList to indicate the position and priority of the bands in MultiBandInfoList-v9e0.
- The UE, after reading *SystemInformationBlockType1*, generates an MFBI list with priority of B91, B6, B92, and B7. If the UE supports the frequency band in the *freqBandIndicator-v9e0* IE it applies that frequency band. Otherwise, the UE applies the first listed band in the MFBI list which it supports.

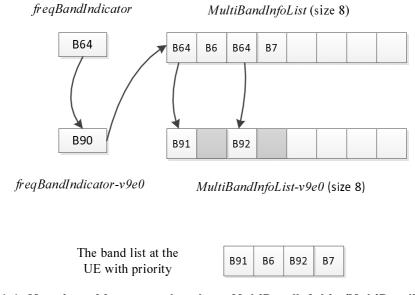


Figure D.1.1-1: Mapping of frequency bands to MultiBandInfoList/MultiBandInfoList-v9e0

### D.1.2 Mapping between inter-frequency neighbour list and multiple frequency band indicator

This clause describes the use of the Multiple Frequency Band Indicator (MFBI) lists and the E-UTRA frequencies signalled in *SystemInformationBlockType5* by means of an example as shown in Figure D.1.2-1. In this example:

- E-UTRAN includes 4 frequencies (EARFCNs): the bands associated with f1 and f4 belong to bands lower than 64; the bands associated with f2 and f3 belong to bands larger than 64. The reserved EARFCN value of 65535 is used to indicate the presence of *ARFCN-ValueEUTRA-v9e0*.

- The band associated with f1 has two overlapping bands, B1 and B2 (lower than 64); the band associated with f2 has one overlapping band, B91; the band associated with f3 has four overlapping bands B3, B4, B92, and B93; the band associated with f4 does not have overlapping bands.
- E-UTRAN includes 4 lists in both *interFreqCarrierFreqList-v8h0* and *interFreqCarrierFreqList-v9e0* and ensure the order of the lists is matching. Each list corresponds to one EARFCN and contains up to 8 bands. The first list corresponds to f1, the second list corresponds to f2, and so on. The grey lists mean not including *MultiBandInfoList* or *MultiBandInfoList-v9e0*, i.e. the corresponding EARFCN does not have any overlapping frequency bands in *MultiBandInfoList* or *MultiBandInfoList-v9e0*.



Figure D.1.2-1: Mapping of EARFCNs to MultiBandInfoList/MultiBandInfoList-v9e0

### D.1.3 Mapping between UTRA FDD frequency list and multiple frequency band indicator

This clause describes the use of the Multiple Frequency Band Indicator (MFBI) lists and the UTRA FDD frequencies signalled in *SystemInformationBlockType6* by means of an example as shown in Figure D.1.3-1. In this example:

- E-UTRAN includes 4 UTRA FDD frequencies (UARFCNs).
- The bands associated with f1 and f4 have no overlapping bands. The band associated with f2 has two overlapping bands, B1 and B2. The band associated with f3 has one overlapping band, B3.
- E-UTRAN includes 4 lists in *carrierFreqListUTRA-FDD-v8h0* with the first and fourth entry not including *MultiBandInfoList*.

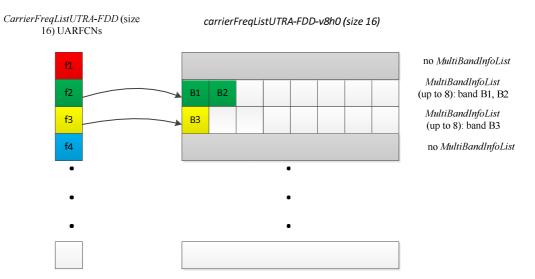


Figure D.1.3-1: Mapping of UARFCNs to MultiBandInfoList

# Annex E (normative): TDD/FDD differentiation of FGIs/capabilities in TDD-FDD CA

Annex E specifies for which TDD and FDD serving cells a UE supporting TDD/FDD CA shall support a feature/capability for which it indicates support within the FGI/capability signalling.

A UE that indicates support for TDD/ FDD CA:

- For the fields for which the UE is allowed to indicate different support for FDD and TDD, the UE shall support the feature on the PCell and/or SCell(s), as specified in tables E-1, E-2 and E-3 in accordance to the following rules:
  - PCell: the UE shall support the feature for the PCell, if the UE indicates support of the feature for the PCell duplex mode;
  - SCell: the UE shall support the feature for SCell(s), if the UE indicates support of the feature for the SCell duplex mode;
  - Per serving cell: the UE shall support the feature for a serving cell if the UE indicates support of the feature for the serving cell's duplex mode;
  - All serving cells: UE shall support the feature if the UE indicates support of the feature for both TDD and FDD duplex modes;
- For the fields where the UE is not allowed to indicate different support for FDD and TDD, the UE shall support the feature for PCell and SCell(s) if the UE indicates support of the feature via the common FGI/capability bit.

Table E-1: Rel-8/9 FGIs for which FDD/TDD differentiation is allowed (from Annex B)

Index of	Classification
indicator	
1	Per serving cell
2	All serving cells
4	All serving cells
8	PCell
9	PCell
10	PCell
11	PCell
12	PCell
15	PCell
19	PCell
22	PCell
23	PCell
24	PCell
26	PCell
27	PCell
28	PCell
29	PCell
33	PCell
34	PCell
35	PCell
36	PCell
37	PCell
38	PCell
39	PCell
40	PCell
41	PCell

Table E-2: Rel-10 FGIs for which FDD/TDD differentiation is allowed (from Annex C)

Index of indicator	Classification
102	Per serving cell
103	Per serving cell
105	All serving cells
106	All serving cells
107	All serving cells
108	All serving cells
109	All serving cells
110	All serving cells
111	SCell
112	PCell
113	Per serving cell
115	PCell
116	Per serving cell

Table E-3: Rel-12 UE-EUTRA capabilities for which FDD/TDD differentiation is allowed

UE-EUTRA-Capability	Classification
crossCarrierScheduling	All serving cells
e-CSFB-1XRTT	PCell
e-CSFB-ConcPS-Mob1XRTT	PCell
e-CSFB-dual-1XRTT	PCell
ePDCCH	Per serving cell
e-RedirectionUTRA	PCell
e-RedirectionUTRA-TDD	PCell
inDeviceCoexInd	All serving cells
interFreqRSTD-Measurement	PCell
interFreqSI-AcquisitionForHO	PCell
interRAT-PS-HO-ToGERAN	PCell
intraFreqSI-AcquisitionForHO	PCell
mbms-Scell	SCell
mbms-NonServingCell	SCell
multiACK-CSIreporting	PCell
multiClusterPUSCH-WithinCC	Per serving cell
otdoa-UE-Assisted	PCell
pmi-Disabling	Per serving cell
rsrqMeasWideband	Per serving cell
simultaneousPUCCH-PUSCH	All serving cells
ss-CCH-InterfHandl	PCell
txDiv-PUCCH1b-ChSelect	PCell
ue-TxAntennaSelectionSupported	All serving cells
utran-SI-AcquisitionForHO	PCell

## Annex F (normative): UE requirements on ASN.1 comprehension

This clause specifies UE requirements regarding the ASN.1 transfer syntax support i.e. the ASN.1 definitions to be comprehended by the UE.

A UE that indicates release X in field *accessStratumRelease* shall comprehend the entire transfer syntax (ASN.1) of release X, in particular at least the first version upon ASN.1 freeze. The UE is however not required to support dedicated signalling related transfer syntax associated with optional features it does not support.

In case a UE that indicates release X in field *accessStratumRelease* supports a feature specified in release X+ N (i.e. early UE implementation) additional requirements apply.

#### **Cricitical extensions (dedicated signaling)**

If the early implemented feature involves one or more critical extensions (i.e. case of dedicated signaling), the UE shall comprehend the parts of the transfer syntax (ASN.1) of release X+ N that are related to the feature implemented early. This in particular concerns the ASN.1 parts related to configuration of the feature. The UE obviously also has to support the ASN.1 parts related to indicating support of the feature (in UE capabilities).

If configuration of an early implemented feature introduced in release X+ N involves a message or field that has been critically extended, the UE shall support configuration of all features supported by the UE that are associated with subfields of this critical extension. Apart from the early implemented feature(s), the UE need however not support functionality beyond what is defined in the release the UE indicates in access stratum release.

Let's consider the example of a UE indicating value X in field *accessStratumRelease* that supports the features associated with fields A1, A3 and A5 of *InformationElementA* (see ASN.1 below). The feature implemented early is associated with field A5, and can only be configured by the –rX+N version of *InformationElementA*. In such case, the UE should support configuration of the features associated with fields A1, A3 and A5 by the –rX+N version of *InformationElementA*. If however one of the features was modified, e.g. the feature associated with *fieldA3*, E-UTRAN should assume the UE only supports the feature according to the release it indicated in field *accessStratumRelease* (X). I.e. UE is neither required to support the additional code-point (n80-vX+N0) nor the additional sub-field (fieldA3a).

```
InformationElementA-rX ::=
                              SEQUENCE {
                                                                       OPTIONAL,
    fieldA1-rX
                                   InformationElementA1-rX
                                                                                   -- Need ON
                                                                       OPTIONAL,
                                                                                  -- Need OR
    fieldA2-rX
                                   InformationElementA2-rX
    fieldA3-rX
                                   InformationElementA3-rX
                                                                       OPTIONAL
                                                                                   -- Need OR
InformationElementA-rX+N ::= SEQUENCE {
    fieldA1-rX+N
                                   InformationElementA1-rX
                                                                      OPTIONAL,
                                                                                   -- Need ON
    fieldA2-rX+N
                                                                      OPTIONAL,
                                                                                   -- Need OR
                                   InformationElementA2-rX
    fieldA3-rX+N
                                   InformationElementA3-rX+N
                                                                      OPTIONAL,
                                                                                   -- Need OR
                                                                      OPTIONAL,
                                                                                   -- Need OR
    fieldA4-rX+N
                                   InformationElementA4-rX+N
    fieldA5-rX+N
                                   InformationElementA5-rX+N
                                                                       OPTIONAL
                                                                                   -- Need OR
InformationElementA3-rX+N ::= SEQUENCE {
                              InformationElementAla-rX
    fieldAla-rX+N
                                                                       OPTIONAL,
                                                                                   -- Need ON
    fieldA2a-rX+N
                                   ENUMERATED {n10, n20, n40,
                                        n80-vX+N0}
                                                                       OPTIONAL,
                                                                                   -- Need OR
    fieldA3a-rX+N
                                                                                   -- Need OR
                                   InformationElementA3a-rX+N
                                                                       OPTIONAL
}
```

#### Non-cricitical extensions (broadcast signaling)

If the early implemented feature involves one or more non-critical extensions in broadcast signaling (i.e. system information), the UE shall comprehend the parts of the transfer syntax (ASN.1) of release X+N that are related to the feature implemented early. The SIB(s) containing the release X+N fields related to the early implemented features may also include other extensions concerning releases from X upto X+N. The UE shall comprehend such intermediate fields (but again is not required to support the functionality associated with these intermediate fields, in case this concerns optional features not supported by the UE).

## Annex G (normative): List of CRs Containing Early Implementable Features and Corrections

This annex lists the Change Requests (CRs) whose changes may be implemented by a UE of an earlier release than which the CR was approved in (i.e. CRs that contain on their coversheets the sentence "Implementation of this CR from Rel-N will not cause interoperability issues").

Table G-1: List of CRs Containing Early Implementable Features and Corrections

TDoc Number (RP-xxxxxx):	CR	CR Revision	Earliest	Additional Information
CR Title	Number(s)	Number(s)	Implementable	
			Release	
RP-181233: Successful	3324	1	Release 13	RRCConnectionRelease
acknowledgement of RRCConnectionRelease for				message, for which the poll bit is not set, can be
BL and CE UE				considered succesfully
				acknowledged when ÚE has
				sent HARQ ACK feedback.
RP-182674: CR for T312 on	3506	5	Release 12	Remove T312 in leaving
LTE HetNet mobility			5	condition for event trigger.
RP-182671: Corrections on	3647	2	Release 13	
paging monitoring and SI acquisition in				
RRC_CONNECTED for BL				
UEs and UEs in CE				
RP-190548: Update	3899	2	Release 13	
description of ack-NACK-				
NumRepetitions				
RP-190548: Corrections of	3900	2	Release 13	
NB-IoT Access Barring	4000	2	D-1 40	
RP-191382: SI update notification and access barring	4020	2	Release 13	
in NB-IoT				
RP-192195 : Correction on	3986	3	Release 14	
handling of SCell(s) during				
Make Before Break handover				
RP-192940: Stop using	4144	1	Release 14	
redirectedCarrierOffsetDedicat				
ed after reselection to another				
frequency	4198	1	Delegge 12	
RP-200338: Corrections to T312 and Discovery Signals	4190	1	Release 12	
measurement				
NOTE 4: January of OD January	OD/-\			l

NOTE 1: In case a CR has mirror CR(s), the mirror CR(s) are not listed.

NOTE 2: The Additional Information column briefly describes the content of a CR in cases where the CR title may not be descriptive enough. If the CR title is descriptive enough, then the Additional Information column may be left blank.

## Annex H (informative): Change history

						Change history	
Date	TSG #	TSG Doc.	CR	Rev	Cat	Subject/Comment	New .
40/0007	DD 20	DD 070000				Annual of TCC DAN #20 and placed upday Change Control	version
		RP-070920 RP-080163	0001	4		Approved at TSG-RAN #38 and placed under Change Control CR to 36.331 with Miscellaneous corrections	8.0.0 8.1.0
		RP-080164	0001	2		CR to 36.331 to convert RRC to agreed ASN.1 format	8.1.0
		RP-080361	0002	1		CR to 36.331 to convert KRC to agreed ASN. Hormat	8.2.0
		RP-080693	0005	<u>                                     </u>		CR on Miscellaneous corrections and clarifications	8.3.0
		RP-081021	0006	1_		Miscellaneous corrections and clarifications	8.4.0
		RP-090131	0007	1_		Correction to the Counter Check procedure	8.5.0
00/2000		RP-090131	8000	1_		CR to 36.331-UE Actions on Receiving SIB11	8.5.0
		RP-090131	0009	1		Spare usage on BCCH	8.5.0
		RP-090131	0010	-		Issues in handling optional IE upon absence in GERAN NCL	8.5.0
	RP-43	RP-090131	0011	-		CR to 36.331 on Removal of useless RLC re-establishment at RB release	8.5.0
	RP-43	RP-090131	0012	1		Clarification to RRC level padding at PCCH and BCCH	8.5.0
	RP-43	RP-090131	0013	-		Removal of Inter-RAT message	8.5.0
		RP-090131	0014	-		Padding of the SRB-ID for security input	8.5.0
		RP-090131	0015	-		Validity of ETWS SIB	8.5.0
		RP-090131	0016	1		Configuration of the Two-Intervals-SPS	8.5.0
		RP-090131	0017	-		Corrections on Scaling Factor Values of Qhyst	8.5.0
		RP-090131	0018	1		Optionality of srsMaxUppts	8.5.0
		RP-090131	0019	-		CR for discussion on field name for common and dedicated IE	8.5.0
		RP-090131	0020	1-		Corrections to Connected mode mobility	8.5.0
		RP-090131	0021	-		Clarification regarding the measurement reporting procedure	8.5.0
		RP-090131	0022	1		Corrections on s-Measure	8.5.0
		RP-090131	0023	1		R1 of CR0023 (R2-091029) on combination of SPS and TTI bundling for TDD	8.5.0
		RP-090131	0024	-		L3 filtering for path loss measurements	8.5.0
		RP-090131	0025	1		S-measure handling for reportCGI	8.5.0
		RP-090131	0026	1		Measurement configuration clean up	8.5.0
		RP-090131	0027	-		Alignment of measurement quantities for UTRA	8.5.0
		RP-090131	0028	-		CR to 36.331 on L1 parameters ranges alignment	8.5.0
		RP-090131	0029	-		Default configuration for transmissionMode	8.5.0
		RP-090131	0030	-		CR to 36.331 on RRC Parameters for MAC, RLC and PDCP	8.5.0
		RP-090131	0031	1		CR to 36.331 - Clarification on Configured PRACH Freq Offset	8.5.0
		RP-090131	0032	-		Clarification on TTI bundling configuration	8.5.0
		RP-090131 RP-090133	0033 0034	11		Update of R2-091039 on Inter-RAT UE Capability	8.5.0
		RP-090133	0034	-		Feature Group Support Indicators  Corrections to RLF detection	8.5.0 8.5.0
		RP-090131	0037	1		Indication of Dedicated Priority	8.5.0
		RP-090131	0038	2		Security Clean up	8.5.0
		RP-090131	0039	-		Correction of TTT value range	8.5.0
		RP-090131	0040	-		Correction on CDMA measurement result IE	8.5.0
		RP-090131	0041	1		Clarification of Measurement Reporting	8.5.0
	RP-43	RP-090131	0042	-		Spare values in DL and UL Bandwidth in MIB and SIB2	8.5.0
	RP-43	RP-090131	0044	1		Clarifications to System Information Block Type 8	8.5.0
	RP-43	RP-090131	0045	-		Reception of ETWS secondary notification	8.5.0
		RP-090131	0046	1		Validity time for ETWS message Id and Sequence No	8.5.0
		RP-090131	0047	-		CR for Timers and constants values used during handover to E-UTRA	8.5.0
		RP-090131	0048	-		Inter-RAT Security Clarification	8.5.0
		RP-090131	0049	1-		CR to 36.331 on consistent naming of 1xRTT identifiers	8.5.0
		RP-090131	0050	-		Capturing RRC behavior regarding NAS local release	8.5.0
		RP-090131	0051	1-		Report CGI before T321 expiry and UE null reporting	8.5.0
		RP-090131	0052	-		System Information and 3 hour validity	8.5.0
		RP-090131	0053	1		Inter-Node AS Signalling	8.5.0
		RP-090131	0054 0055	1-		Set of values for the parameter "messagePowerOffsetGroupB"	8.5.0
		RP-090131 RP-090131	0056	1		CR to paging reception for ETWS capable UEs in RRC_CONNECTED CR for CSG related items in 36.331	8.5.0 8.5.0
		RP-090131	0057	1		SRS common configuration	8.5.0
		RP-090131	0057	<u>                                     </u>		RRC processing delay	8.5.0
		RP-090131	0059	1_		CR for HNB Name	8.5.0
		RP-090131	0060	3		Handover to EUTRA delta configuration	8.5.0
		RP-090131	0063	-		Delivery of Message Identifier and Serial Number to upper layers for	8.5.0
	DD 42	RP-090131	0066	1		ETWS Clarification on the maximum size of cell lists	8.5.0
		RP-090131	0067	1		Missing RRC messages in 'Protection of RRC messages'	8.5.0
		RP-090131	0067	1		Clarification on NAS Security Container	8.5.0
		RP-090131	0009	<u>                                     </u>		Extension of range of CQI/PMI configuration index	8.5.0
		RP-090131	0071	1		Access barring alleviation in RRC connection establishment	8.5.0
		RP-090367	0072	6		Corrections to feature group support indicators	8.5.0
		RP-090131	0078	1-		CR from email discussion to capture DRX and TTT handling	8.5.0
		RP-090131	0079	1		Need Code handling on BCCH messages	8.5.0
			0080	+		Unification of T300 and T301 and removal of miscallaneous FFSs	8.5.0

	RP-43	RP-090131	0084	1	Proposed CR modifying the code-point definitions of	8.5.0
					neighbourCellConfiguration	
		RP-090131	0087	2	Remove Redundant Optionality in SIB8	8.5.0
		RP-090131	0089	-	Corrections to the generic error handling	8.5.0
	_	RP-090131	0090	-	Configurability of T301	8.5.0
		RP-090131	0091	1	Correction related to TTT	8.5.0
		RP-090131	0095	-	CR for 36.331 on SPS-config	8.5.0
		RP-090131	0096	2	CR for Deactivation of periodical measurement	8.5.0
		RP-090131	0099	2	SMC and reconfiguration	8.5.0
		RP-090131	0101	-	TDD handover	8.5.0
		RP-090131	0102	-	Corrections to system information acquisition	8.5.0
		RP-090131	0106	-	Some Corrections and Clarifications to 36.331	8.5.0
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	RP-44 RP-44 RP-44 RP-44	RP-090516 RP-090516 RP-090516	0180 0181 0182	1	Handling of Measurement Context During HO Preparation Clarification of key-eNodeB-Star in AdditionalReestabInfo UE Capability Transfer Clarification regarding mobility from E-UTRA in-between SMC and SRB2/DRB setup	8.6.0 8.6.0 8.6.0
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	RP-44 RP-44 RP-44 RP-44 RP-44 RP-44	RP-090516 RP-090516 RP-090516 RP-090516 RP-090516	0180 0181 0182 0186 0188 0195	1 1 1 2	Handling of Measurement Context During HO Preparation Clarification of key-eNodeB-Star in AdditionalReestabInfo UE Capability Transfer Clarification regarding mobility from E-UTRA in-between SMC and SRB2/DRB setup Correction and completion of specification conventions RB combination in feature group indicator	8.6.0 8.6.0 8.6.0 8.6.0
	RP-44 RP-44 RP-44 RP-44 RP-44 RP-44 RP-44	RP-090516 RP-090516 RP-090516 RP-090516 RP-090516 RP-090516 RP-090516	0180 0181 0182 0186 0188 0195 0196	1 1 1	Handling of Measurement Context During HO Preparation Clarification of key-eNodeB-Star in AdditionalReestabInfo UE Capability Transfer Clarification regarding mobility from E-UTRA in-between SMC and SRB2/DRB setup Correction and completion of specification conventions RB combination in feature group indicator CR for need code for fields in mobilityControlInfo	8.6.0 8.6.0 8.6.0 8.6.0 8.6.0 8.6.0
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12,2000		RP-091346	0254	1	Adding references to RRC processing delay for inter-RAT mobility	9.1.0
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		RP-091341	0257	5	Baseline CR capturing eMBMS agreements	9.1.0
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		RP-091314	0260	-	Clarification of preRegistrationZoneID/secondaryPreRegistrationZoneID	9.1.0
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		RP-091314	0265	1	Clarification on the definition of maxCellMeas	9.1.0
		RP-091346	0266	<u>'</u>	Correction of q-RxLevMin reference in SIB7	9.1.0
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		RP-091346	0277	-	Parameters used for enhanced 1xRTT CS fallback	9.1.0
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			0288	1	Introduction of UE Rx-Tx Time Difference measurement	9.1.0
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-		RP-091343	0301	1	Renaming Allowed CSG List (36.331 Rel-9)	9.1.0
<del></del>		RP-091346	0305	<u> </u>	Re-introduction of message segment discard time	9.1.0
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		RP-091346	0309	1	Support for Dual Radio 1xCSFB	9.1.0
		RP-091346	0303	<u> </u>	Shorter SR periodicity	9.1.0
		RP-091342	0316	t_	CR to 36.331 for Introduction of Dual Layer Transmission	9.1.0
		RP-091343	0318	1	Draft CR to 36.331 on Network ordered SI reporting	9.1.0
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		RP-091331	0327	1	Clarification on coding of ETWS related IEs	9.1.0
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		RP-100303	0333	1_ 1	Clarification on measurement for serving cell only	9.2.0
		RP-100306	0334	<u> </u>	Clarification on proximity indication configuration in handover to E-UTRA	9.2.0
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		RP-100306	0340	1_ 1	CR 36.331 R9 for Unifying SI reading for ANR and inbound mobility	9.2.0
		RP-100308	0341	1	CR to 36.331 for 1xRTT pre-registration information in SIB8	9.2.0
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-		RP-100308	0344	2	CR to 36.331 on Optionality of Rel-9 UE features	9.2.0
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		RP-100308	0379	1	Miscellaneous corrections from REL-9 ASN.1 review	9.2.0
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			0493	-	The field descriptions of MeasObjectEUTRA	9.5.0
		RP-101197	0498	1	Clarification of FGI settings non ANR periodical measurement reporting	9.5.0
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		RP-101197	0526	1	Clarification regarding default configuration value N/A	9.5.0
		RP-101431	0532	-	Splitting FGI bit 3	9.5.0
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		RP-101293	0477	4	AC-Barring for Mobile Originating CSFB call	10.0.0
		RP-101214	0478	-	Addition of UE-EUTRA-Capability descriptions	10.0.0
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		RP-101215	0487	-	CR to 36.331 adding e1xCSFB support for dual Rx/Tx UE	10.0.0
	RP-50	RP-101227	0488	1	Introduction of Carrier Aggregation and UL/ DL MIMO	10.0.0
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		RP-101214	0513	-	Combined Quantity Report for IRAT measurement of UTRAN	10.0.0
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		RP-110282	0536	-	Clear MDT configuration and logs when the UE is not registered	10.1.0
		RP-110280	0537	-	Correction to the field description of nB	10.1.0
		RP-110289	0538	-	CR on impact on UP with remove&add approach_2	10.1.0
		RP-110282	0539	-	CR to 36.331 on corrections for MDT	10.1.0
	RP-51	RP-110290	0543	-	Introduction of CA/MIMO capability signalling and measurement capability	10.1.0
	DD 54	DD 440000	0544		signalling in CA	10.1.0
		RP-110282	0544	-	MDT PDU related clarifications	10.1.0
	RP-51	RP-110282	0545	-	Correction on release of logged measurement configuration while in	10.1.0
	DD 51	DD 110290	0546		another RAT  Miscellaneous Corrections for CA Bunning BBC CB	10.1.0
		RP-110289	0546 0547	1	Miscellaneous Corrections for CA Running RRC CR	10.1.0
		RP-110280 RP-110293	0547	4	Miscellaneous small clarifications and corrections  Necessary changes for RLF reporting enhancements	10.1.0
		RP-110293	0549	4	Memory size for logged measurements capable UE	10.1.0
		RP-110289	0550	_	Parameters confusion of non-CA and CA configurations	10.1.0
		RP-110272	0553	_	Presence condition for cellSelectionInfo-v920 in SIB1	10.1.0
		RP-110272	0554	1	Removal of MDT configuration at T330 expiry	10.1.0
		RP-110289	0556	1	Signalling aspects of existing LTE-A parameters	10.1.0
		RP-110280	0557	1	Some Corrections on measurement	10.1.0
		RP-110291	0558	-	Stored system information for RNs	10.1.0
		RP-110291	0559	-	Support of Integrity Protection for Relay	10.1.0
		RP-110290	0561	2	Updates of L1 parameters for CA and UL/DL MIMO	10.1.0
			0571	1	Note for Dedicated SIB for RNs	10.1.0
	RP-51		0579	-	Correction to cs-fallbackIndicator field description	10.1.0
		RP-110289	0580	-	Clarification to the default configuration of sCellDeactivationTimer	10.1.0
	RP-51	RP-110289	0581	-	Miscellaneous corrections to TS 36.331 on Carrier Aggregation	10.1.0
		RP-110280	0584	-	Correction of configuration description in SIB2	10.1.0
		RP-110265	0587	-	Clarification of band indicator in handover from E-UTRAN to GERAN	10.1.0
		RP-110285	0588	1	36331_CRxxxx Support of Delay Tolerant access requests	10.1.0
		RP-110292	0590	<b>-</b>	Update of R2-110807 on CSI measurement resource restriction for time	10.1.0
		<b>DD</b> (1-2-2-2-2-2-2-2-2-2-2-2-2-2-2-2-2-2-2-2			domain ICIC	10.
	RP-51	RP-110292	0591	-	Update of R2-110821 on RRM/RLM resource restriction for time domain ICIC	10.1.0
	RD-51	RP-110200	0502	<del>                                     </del>	Corrections on UE capability related parameters	10 1 0
-		RP-110290 RP-110282	0592 0596	<del>-</del>	Validity time for location information in Immediate MDT	10.1.0
		RP-110282	0596	-	CR to 36.331 adding UE capability indicator for dual Rx/Tx e1xCSFB	10.1.0
		RP-110280	0598	-	Miscellaneous corrections to CA	10.1.0
		RP-110289	0599	<del> </del>	Further correction to combined measurement report of UTRAN	10.1.0
		RP-110280	0600	-	Correction to the reference of ETWS	10.1.0
		RP-110269	0602	1	Introduction of OTDOA inter-freq RSTD measurement indication procedure	
		RP-110280	0603	-	Correction of use of RRCConnectionReestablishment message for	10.1.0
	51	110200	3300		contention resolution	
	RP-51	RP-110282	0604	-	CR to 36.331 on MDT neighbour cell measurements logging	10.1.0
		RP-110272	0609	l-	Minor ASN.1 corrections for the UEInformationResponse message	10.1.0
		RP-110280	0613	-	Clarification regarding dedicated RLF timers and constants	10.1.0
		RP-110282	0615	-	Release of Logged Measurement Configuration	10.1.0
		RP-110280	0616	-	Some corrections on TS 36.331	10.1.0
		RP-110280	0623	-	AC barring procedure clean up	10.1.0
		RP-110282	0624	-	Counter proposal to R2-110826 on UE capabilities for MDT	10.1.0
		RP-110280	0628	1	UE information report for RACH	10.1.0
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	DD 51	DD 440000	0620	10	Management on the depath stad CCalle	1010
		RP-110289	0629	2	Measurement on the deactivated SCells	10.1.0
		RP-110282	0632	1	Trace configuration paremeters for Logged MDT	10.1.0
		RP-110282	0635	-	Clarification on stop condition for timer T3330	10.1.0
		RP-110282	0637	-	User consent for MDT	10.1.0
		RP-110280	0638	-	Correction on the range of CQI resource index	10.1.0
		RP-110272	0640	1	Small corrections to ETWS & CMAS system information	10.1.0
	RP-51	RP-110290	0641	1	UE capability signaling structure w.r.t carrier aggregation, MIMO and	10.1.0
					measurement gap	
	RP-51	RP-110289	0642	1	Normal PHR and the multiple uplink carriers	10.1.0
	RP-51	RP-110280	0643	1	Corrections to TS36.331 on SIB2 handling	10.1.0
		RP-110280	0644	1	Adding a Power Management indication in PHR	10.1.0
		RP-110289	0646	1	Clarification for CA and TTI bundling in RRC	10.1.0
		RP-110443	0648	1	Updates to FGI settings	10.1.0
06/2011		RP-110836	0651	1-	Add MBMS counting procedure to processing delay requirement for RRC	10.2.0
00/2011	111 32	111 -110050	0001		procedure clause 11.2	10.2.0
	RP-52	RP-110830	0653	<u> </u>	Add pre Rel-10 procedures to processing delay requirement for RRC	10.2.0
	111 02	111 110000	0000		procedure clause 11.2	10.2.0
	DD 52	RP-110847	0654	1	Addition of a specific reference for physical configuration fields	10.2.0
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		RP-110839	0656	-	Clarification of inter-frequency RSTD measurement indication procedure	10.2.0
		RP-110830	0658	-	Clarification of optionality of UE features without capability	10.2.0
		RP-110839	0660	-	Clarification on the definition of maxCellBlack	10.2.0
		RP-110839	0661	<u> -                                    </u>	Clarification on upper layer requested connection release	10.2.0
	RP-52	RP-110850	0662	3	Clarification regarding eICIC measurements	10.2.0
		RP-110839	0663	-	CR for s-measure handling	10.2.0
		RP-110851	0664	1	CR on clarification of RLF Report in Carrier Aggregation	10.2.0
		RP-110830	0669	1- 1	FGI bit for handover between LTE FDD/TDD	10.2.0
		RP-110847	0670	2	Further updates on L1 parameters	10.2.0
		RP-110847		2	General error handling for extension fields	10.2.0
			0671			
<u> </u>		RP-110851	0672	2	Additional information for RLF report	10.2.0
		RP-110843	0673	-	Introduction of TCE ID for logged MDT	10.2.0
	RP-52	RP-110670	0674	4	Miscellaneous corrections (related to review in preparation for ASN.1	10.2.0
					freeze)	
	RP-52	RP-110843	0675	-	PLMN check for MDT logging	10.2.0
	RP-52	RP-110839	0677	-	UE actions upon leaving RRC_CONNECTED	10.2.0
		RP-110847	0678	-	Clarification on bandEUTRA-r10 and supportedBandListEUTRA	10.2.0
		RP-110837	0679	1_	Updated value range for the Extended Wait Timer	10.2.0
		RP-110839	0680	1	Value range of DRX-InactivityTimer	10.2.0
		RP-110828	0693	1	Correction for SR-VCC and QCI usage	10.2.0
		RP-110847	0694	-	Restructuring of CQI-ReportConfig-r10	10.2.0
		RP-110839	0695	2	Correction on DL allocations in MBSFN subframes	10.2.0
		RP-110850	0700	-	Reference SFN for MeasSubframePattern	10.2.0
		RP-110846	0701	-	Clarifications to CA related field descriptions	10.2.0
	RP-52	RP-110847	0702	-	Corrections to codebookSubsetRestriction and SRS parameters	10.2.0
	RP-52	RP-110834	0704	-	Corrections to the handling of ri-ConfigIndex for TM9	10.2.0
	RP-52	RP-110715	0710	2	UE capabilities for Rel-10 LTE features with eICIC measurement	10.2.0
					restrictions as FGI (Alt.1)	
	RP-52	RP-110839	0713	1-	CR to 36.331 on redirected utra-TDD carrier frequency	10.2.0
		RP-110839	0714	1_	Explicit AS signalling for mapped PTMSI/GUTI	10.2.0
		RP-110847	0718	1_ 1	Counter proposal for Updates of mandatory information in AS-Config	10.2.0
<b>-</b>						10.2.0
<b>—</b>		RP-110839	0719	<del>                                     </del>	CR for Reconfiguration of discardTimer in PDCP-Config	
		RP-110847	0723	-	On the missing multiplicity of UE capability parameters	10.2.0
		RP-110830	0735	-	Radio frame alignment of CSA and MSP	10.2.0
	RP-52	RP-110847	0740	-	Reconfiguration involving critically extended IEs (using fullFieldConfig i.e.	10.2.0
					option 2)	
	RP-52	RP-110839	0744	-	Counter proposal to R2-112753 on CR to remove CSG Identity validity	10.2.0
			<u></u>		limited to CSG cell	
	RP-52	RP-110839	0746	1	Increase of prioritisedBitRate	10.2.0
		RP-110847	0747	-	CA and MIMO Capabilities in LTE Rel-10	10.2.0
09/2011		RP-111297	0752	-	TS36.331 Correction	10.3.0
		RP-111297	0754	1_	maxNumberROHC-ContextSessions when no ROHC profile is supported	10.3.0
		RP-111280	0757	t_	Correction to Subframe Allocation End in PMCH-Info	10.3.0
$\vdash$		RP-111288	0761		Correction to Subframe Allocation End in Pilich-IIIIo	10.3.0
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			0700		Miscellaneous corrections to 36.331	10.3.0
	RP-53	RP-111297	0762	-		
	RP-53		0762 0764	2	36.331 correction on CSG identity validity to allow introduction of CSG	10.3.0
	RP-53 RP-53	RP-111297 RP-111278	0764		36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing	
	RP-53 RP-53	RP-111297 RP-111278 RP-111283	0764 0770	2	36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing AdditionalSpectrumEmissions in CA	10.3.0
	RP-53 RP-53 RP-53 RP-53	RP-111297 RP-111278 RP-111283 RP-111297	0764 0770 0773	2 -	36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing AdditionalSpectrumEmissions in CA CR to 36.331 on Small correction of PHR parameter	10.3.0
	RP-53 RP-53 RP-53 RP-53	RP-111297 RP-111278 RP-111283	0764 0770		36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing AdditionalSpectrumEmissions in CA	10.3.0
	RP-53 RP-53 RP-53 RP-53 RP-53	RP-111297 RP-111278 RP-111283 RP-111297 RP-111283	0764 0770 0773	2 -	36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing AdditionalSpectrumEmissions in CA CR to 36.331 on Small correction of PHR parameter Clarifications to P-max on CA	10.3.0
	RP-53 RP-53 RP-53 RP-53 RP-53 RP-53	RP-111297 RP-111278 RP-111283 RP-111297 RP-111283 RP-111280	0764 0770 0773 0775 0784	2 -	36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing AdditionalSpectrumEmissions in CA CR to 36.331 on Small correction of PHR parameter Clarifications to P-max on CA Clarification on for which subframes signalling MCS applies	10.3.0 10.3.0 10.3.0 10.3.0
	RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53	RP-111297 RP-111278 RP-111283 RP-111297 RP-111283 RP-111280 RP-111283	0764 0770 0773 0775 0784 0792	2 -	36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing AdditionalSpectrumEmissions in CA CR to 36.331 on Small correction of PHR parameter Clarifications to P-max on CA Clarification on for which subframes signalling MCS applies Corrections in RRC	10.3.0 10.3.0 10.3.0 10.3.0 10.3.0
	RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53	RP-111297 RP-111278 RP-111283 RP-111297 RP-111283 RP-111280 RP-111283 RP-111297	0764 0770 0773 0775 0784 0792 0793	2 -	36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing AdditionalSpectrumEmissions in CA CR to 36.331 on Small correction of PHR parameter Clarifications to P-max on CA Clarification on for which subframes signalling MCS applies Corrections in RRC Replace the tables with exception list in 10.5 AS-Config	10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0
	RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53	RP-111297 RP-111278 RP-111283 RP-111297 RP-111283 RP-111280 RP-111283 RP-111297 RP-111297	0764 0770 0773 0775 0784 0792 0793 0796	2 -	36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing AdditionalSpectrumEmissions in CA CR to 36.331 on Small correction of PHR parameter Clarifications to P-max on CA Clarification on for which subframes signalling MCS applies Corrections in RRC Replace the tables with exception list in 10.5 AS-Config Corrections to the field descriptions	10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0
	RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53 RP-53	RP-111297 RP-111278 RP-111283 RP-111297 RP-111283 RP-111280 RP-111283 RP-111297	0764 0770 0773 0775 0784 0792 0793	2 -	36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing AdditionalSpectrumEmissions in CA CR to 36.331 on Small correction of PHR parameter Clarifications to P-max on CA Clarification on for which subframes signalling MCS applies Corrections in RRC Replace the tables with exception list in 10.5 AS-Config	10.3.0 10.3.0 10.3.0 10.3.0 10.3.0 10.3.0

	RP-53	RP-111272	0810	<u> </u>	GERAN SI format for cell change order&PS handover& enhanced	10.3.0
					redirection to GERAN	
	RP-53	RP-111283	0811	-	Corrections to PUCCH-Config field descriptions	10.3.0
12/2011		RP-111711	0812	1	Clarification of PCI range for CSG cells	10.4.0
		RP-111716	0813	-	Clarifications to Default Radio Configurations	10.4.0
		RP-111716	0814	1	Corrections to enhancedDualLayerTDD	10.4.0
		RP-111716	0815	-	Miscellaneous small corrections	10.4.0
		RP-111716	0816	1	Correction on notation of SRS transmission comb	10.4.0
		RP-111706	0823	1	36.331 CR SPS reconfiguration	10.4.0
		RP-111716	0827	2	Clarification of list sizes in measurement configuration stored by UE	10.4.0
		RP-111706	0835	-	Clarification of the event B1 and ANR related FGI bits	10.4.0
		RP-111714 RP-111706	0840	1	Clarification on MBSFN and measurement resource restrictions	10.4.0
	KP-54	RP-111706	0845	-	Clarification on parallel message transmission upon connection re- establishment	10.4.0
02/2012	DD 55	RP-120326	0855	1	Limiting MBMS counting responses to within the PLMN	10.5.0
03/2012		RP-120320	0857	_	CR to 36.331 on cdma2000 band classes and references	10.5.0
		RP-120326	0862	1	Clarification on MBSFN and measurement resource restrictions	10.5.0
		RP-120325	0871	-	On SIB10/11 Reception Timing	10.5.0
		RP-120326	0875	1	Clarification on MBMS counting for uncipherable services	10.5.0
		RP-120325	0876	-	Minor correction regarding limited service access on non-CSG-member	10.5.0
					cell	
	RP-55	RP-120326	0894	-	Time to keep RLF Reporting logs	10.5.0
	RP-55	RP-120356	0895	1	Introducing means to signal different FDD/TDD Capabilities/FGIs for Dual-	10.5.0
					xDD UE	
	RP-55	RP-120321	0899	-	Clarification on SRB2 resumption upon connection re-establishment	10.5.0
	DE ==	DD (22277)	000-	ļ.	(parallel message transmission)	10 -
00/00:5		RP-120321	0900	1	Duplicated ASN.1 naming correction	10.5.0
06/2012		RP-120805	0909	-	SPS Reconfiguration	10.6.0
		RP-120805	0912	1	Change in Scheduling Information for ETWS	10.6.0
		RP-120807	0914	-	Clarification of mch-SchedulingPeriod configuration	10.6.0
		RP-120808 RP-120814	0916 0919	1	Change in Scheduling Information for CMAS	10.6.0
	KP-56	KP-120614	0919	1	Introducing means to signal different REL-10 FDD/TDD Capabilities/FGIs for Dual-xDD UE	10.6.0
	RP-56	RP-120812	0920	1	Clarification on setting of dedicated NS value for CA by E-UTRAN	10.6.0
		RP-120808	0931	-	T321 value for UTRA SI acquisition	10.6.0
		RP-120813	0957	1	Korean Public Alert System (KPAS) in relation to CMAS	10.6.0
		RP-120812	0969	1	Introduction of supported bandwidth combinations for CA	10.6.0
		RP-120734	0970	1	Introduction of multiple frequency band indicator	10.6.0
	RP-56	RP-120825	0934	-	Introduction of a new security algorithm ZUC	11.0.0
		RP-120813	0973	1	EU-Alert in relation to CMAS	11.0.0
09/2012	RP-57	RP-121371	0982	1	Introduction of EAB	11.1.0
		RP-121381	0990	-	Additional special subframe configuration related correction	11.1.0
		RP-121423	1000	4	36.331 CR introducing In-Device Coexistence (IDC)	11.1.0
		RP-121359	1008	-	Voice support Capabilities	11.1.0
		RP-121361	1013	-	Differentiating UTRAN modes in FGIs	11.1.0
	RP-57	RP-121368	1022	1	Introduction of absolute priority based measurements and reselection in	11.1.0
	DD 57	DD 404070	1001		CELL_FACH State in 36.331	44.4.0
		RP-121370	1024	-	Introducing MDT enhancements for REL-11	11.1.0
-		RP-121349	1025	2	Introducing Carrier aggregation enhancements for REL-11	11.1.0
		RP-121375 RP-121376	1026 1052	2	Introducing MBMS enhancements for REL-11 Signaling support for CRS interference management in elCIC	11.1.0 11.1.0
		RP-121376 RP-121395	1052	_	CR on scell measurement cycle	11.1.0
		RP-121395	1056	-	CR on measurement report	11.1.0
		RP-121378	1057	3	Introduction of 'Power preference indication'	11.1.0
		RP-121280	1060	-	Correction for PUCCH/SRS Release	11.1.0
12/2012		RP-121933	1063	-	Correction related to differentiating UTRAN modes in FGIs	11.2.0
		RP-121936	1065	-	Processing delay for RRCConnectionReconfiguration	11.2.0
		RP-121953	1066	2	Addition of the stage-3 agreements on IDC	11.2.0
	RP-58	RP-121951	1067	3	Carrier Aggregation Enhancement RAN1 parameters	11.2.0
	RP-58	RP-121957	1068	1	Clarification of SR period	11.2.0
		RP-121957	1069	1	Clarification on HandoverCommand message	11.2.0
		RP-121957	1070	-	Clarification on mobility related issues	11.2.0
		RP-121946	1071	1	Correction of the signaling for Uncertainty and Confidence	11.2.0
		RP-121940	1072	2	Corrections to MBMS Service Continuity	11.2.0
		RP-121940	1073	-	CR to 36.331 on SIB15 acquisition	11.2.0
		RP-121957	1074	1	Handling of 1xCSFB failure	11.2.0
	KP-58	RP-121957	1075	-	Miscellaneous corrections	11.2.0
		RP-121958	1076	1	RAN overload control using RRC connection Rejection	11.2.0
-		RP-121954	1077	-	RRC support for CoMP in UL	11.2.0
		RP-121951	1078	1	Some clarification to Carrier aggregation enhancements	11.2.0
		RP-121939 RP-121922	1079 1085	-	Validity of EAB SIB and acquisition of SIB1  Clarification for Multiple Frequency Band Indicators feature	11.2.0 11.2.0
		RP-121922 RP-121924	1085	1	Moving the TM5 capability	11.2.0
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	RP-58	RP-121959	1093	1	CR to 36.331 on introducing ROHC context continue for intra-ENB	11.2.0
					handover	
		RP-121946	1100	-	Correction on MDT multi-PLMN support	11.2.0
		RP-121953 RP-121970	1102 1103	6	Clarification and alignment of handling of other configuration Introducing support for Coordinated Multi-Point (CoMP) operation	11.2.0 11.2.0
		RP-121922	1105	2	Introducing support of Coordinated Matter of the Coordinated Matter of	11.2.0
		RP-121947	1120	-	CR to 36.331 on additional information in RLF report for inter-RAT MRO	11.2.0
		RP-121952	1125	1	Correction on Power preference indication	11.2.0
		RP-121950	1127	1	SIB1 provisioning via dedicated signalling	11.2.0
		RP-121936 RP-121956	1128 1129	1	Measurement reporting of Scells Introduction of EPDCCH parameters in TS 36.331	11.2.0 11.2.0
		RP-121956	1130	2	Introduction of Rel-11 UE capabilities	11.2.0
		RP-121958	1131	-	Introducion of wideband RSRQ measurements	11.2.0
		RP-121958	1146	-	Introduction of network sharing for CDMA2000 inter-working	11.2.0
		RP-121960	1157	-	Broadcast of Time Info by Using a New SIB	11.2.0
02/2012		RP-121957 RP-130246	1175 1182	-	GERAN measurement object at ANR	11.2.0 11.3.0
03/2013		RP-130246 RP-130243	1186	2	Miscellaneous corrections from review preceeding ASN.1 freeze DL COMP capability related correction	11.3.0
		RP-130231	1193	1	Mandatory supporting of B1 measurement to UMTS FDD (FGI bit 15)	11.3.0
	RP-59	RP-130241	1197	-	Clarification on MBMS Service Continuity	11.3.0
		RP-130241	1198	-	IDC Problem Reporting	11.3.0
		RP-130247	1210	-	Corrections on definition of CSG member cell	11.3.0
		RP-130237 RP-130228	1211 1220	-	Extension of FBI and EARFCN Invalidation of ETWS with security feature	11.3.0 11.3.0
		RP-130226 RP-130225	1224	-	Invalidation of ETV/S with security realure  Invalid measurement configuration with different (E)ARFCN	11.3.0
		RP-130241	1231	2	PPI and IDC indication upon handover	11.3.0
	RP-59	RP-130227	1235	1	Correcting further UE aspects regarding multi band cells	11.3.0
		RP-130248	1236	1	Behaviour in case of excessive dedicated priority information	11.3.0
		RP-130225	1241	-	Clarification on EARFCN signalling in Mobility control info	11.3.0
		RP-130241 RP-130249	1244 1252	-	IDC-SubframePattern length for FDD Introduction of wideband RSRQ measurements in RRC_IDLE	11.3.0 11.3.0
		RP-130249	1255	-	Optional support of RLF report for inter-RAT MRO	11.3.0
		RP-130233	1258	2	The presence of bandcombination for non-CA capable UEs	11.3.0
		RP-130248	1259	-	Correction for event A5	11.3.0
00/0040		RP-130332	1265	-	Mandating the settings of FGI bit 14, 27 and 28 to true	11.3.0
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RP-72   RP-161980   2167				2163	1	UE behaviours while configured with steeringCommandWLAN (release)	13.2.0
RP-72 RP-161080 2169   Clarification on the usage of threshold conditions for sidelink relay UE   13.2.0   RP-72 RP-161080 2171   Variable Handling for RCLWI   RP-72 RP-161080 2171   Variable Handling for RCLWI   Variable Handling for Variable Handling for RCLWI   Variable				2166	1		
RP-72 RP-161080 2170   Corrections to LWA   13.2.0		RP-72	RP-161080	2167	-		13.2.0
RP-72 RP-161080 2174   Correction on configuration of PRACH and MPDCCH for RA procedure for 13.2 of BL UEs or UEs in CE		RP-72	RP-161080	2169	-	Clarification on the usage of threshold conditions for sidelink relay UE	13.2.0
RP-72 RP-161080 2174   Correction on configuration of PRACH and MPDCCH for RA procedure for 13.2 of BL UEs or UEs in CE		RP-72	RP-161080	2170	-	Corrections to LWA	13.2.0
RP-72 RP-161080 2176   BUEs or UEs in ICE   RP-72 RP-161080 2176   BUEs or UEs in ICE   RP-72 RP-161080 2176   BUEs or UEs in ICE   RP-72 RP-161080 2176   Macoellanous corrections on LWA   13.2.0   RP-72 RP-161080 2181   Correction on FDD/TID0 differentiation for Rel-13 capabilities   13.2.0   RP-72 RP-161080 2181   Correction on FDD/TID0 differentiation for Rel-13 capabilities   13.2.0   RP-72 RP-161080 2181   Correction on FDD/TID0 differentiation for Rel-13 capabilities   13.2.0   RP-72 RP-161080 2185   Correction on FDD/TID0 differentiation for SC-PTM reception on non-Pcell   13.2.0   RP-72 RP-161080 2185   Correction on FDD/TID0 differentiation for SC-PTM reception on non-Pcell   13.2.0   RP-72 RP-161080 2186   U.U. EU Categories support for 64 GAM   13.2.0   RP-72 RP-161080 2188   Correction is 18 SS-RISK configuration   13.2.0   RP-72 RP-161080 2188   Correction is 18 SS-RISK configuration   13.2.0   RP-72 RP-161080 2189   Add the field description for mpdoth-Narrowabands Monitor-r13   13.2.0   RP-72 RP-161080 2189   Add the field description for mpdoth-Narrowabands Monitor-r13   13.2.0   RP-72 RP-161080 2189   Macoellanous corrections is RP-72 RP-161080 2189   Add the field description for mpdoth-Narrowabands Monitor-r13   13.2.0   RP-72 RP-161080 2189   Some CC4 reliated corrections   13.2.0   RP-72 RP-161080 2189   Some CC4 reliated corrections   13.2.0   RP-72 RP-161080 2189   Some CC4 reliated corrections   13.2.0   RP-72 RP-161080 2201   Correction to U.S.PS operation   13.2.0   RP-72 RP-161080 2201   Correction to U.S.PS operation   13.2.0   RP-72 RP-161080 2202   Correction to U.S.PS operation   13.2.0   RP-72 RP-161080 2203   U.P. Pewer Class in U.E. capability signaling   13.2.0   RP-72 RP-161080 2205   Macoellanous eMTC corrections   13.2.0   RP-72 RP-161080 2205   Macoellanous eMTC corrections   13.2.0   RP-72 RP-161080 2205   Macoellanous eMTC correction to New Proceedings of Procedure o					-	Variable Handling for RCLWI	13.2.0
RP-72 RP-161080 2176   Miscoellaneous corrections   13.2 o		RP-72	RP-161080		1		13.2.0
RP-72 RP-161080 2176   Clarification on LWA   13.2 0   RP-72 RP-161080 2180   Correction on FDD/TDD differentiation for Rel-13 capabilities   13.2 0   RP-72 RP-161080 2181   Correction on FDD/TDD differentiation for Rel-13 capabilities   13.2 0   RP-72 RP-161080 2181   Correction on FDD/TDD differentiation for SC-PTM reception on non-Pcell   13.2 0   RP-72 RP-161080 2183   Corrections on capability report for GD   RP-72 RP-161080 2185   Corrections to RS-SINR configuration   13.2 0   RP-72 RP-161080 2185   Corrections to RS-SINR configuration   13.2 0   RP-72 RP-161080 2185   Corrections to RS-SINR configuration   13.2 0   RP-72 RP-161080 2185   Correction on Situation   13.2 0   RP-72 RP-161080 2185   Correction   13.2 0   RP-72 RP-161080 2185   Correction   13.2 0   RP-72 RP-161080 2186   Correction   13.2 0   RP-72 RP-161080 2186   Correction   13.2 0   RP-72 RP-161080 2186   Correction   13.2 0   RP-72 RP-161080 2189   PUCCH SCell corrections   13.2 0   RP-72 RP-161080 2200   Small corrections of timer description for Sidelink   13.2 0   RP-72 RP-161080 2200   Correction   13.2 0   RP-72 RP-161080 2201   Correction   10 Intel® CE Level   RP-72 RP-161080 22							
RP-72 RP-161080   2176   1   Miscellaneous corrections   13.2.0   RP-72 RP-161080   2181   1   Correction on the definition of sc-moch-duration   13.2.0   RP-72 RP-161080   2181   1   Correction on the definition of sc-moch-duration   13.2.0   RP-72 RP-161080   2182   . System information acquisition for SC-PTM freeeption on non-Pcell   13.2.0   RP-72 RP-161080   2188   1   Corrections on capability report for sCA   13.2.0   RP-72 RP-161080   2188   1   Corrections on capability report for sCA   13.2.0   RP-72 RP-161080   2188   1   U. U. Categories support for sCA   13.2.0   RP-72 RP-161080   2188   1   U. U. Categories support for sCA   13.2.0   RP-72 RP-161080   2189   Additional for scale fo		RP-72	RP-161080	2175	_		13.2.0
RP-72 RP-161080   2180   Correction on FDD/TDD differentiation for Re-13 capabilities   13.2.0					1		
RP-72 RP-161080   2181   Correction on the definition of se-moch-duration   13.2.0					-		
RP-72 RP-161080 2183					1		
RP-72 RP-161080   2185	-				-		
RP-72   RP-161080   2185   1   Corrections to RS-SINR configuration   13.2 o   RP-72   RP-161080   2188   1   Correction on SI update for eDRX   RP-72   RP-161080   2188   1   Add the field description for mpdoch-NarrowbandsToMonitor-r13   13.2 o   RP-72   RP-161080   2193   1   Miscellaneous corrections resulting from REL-13 ASN.1 review   13.2 o   RP-72   RP-161080   2193   1   Miscellaneous corrections resulting from REL-13 ASN.1 review   13.2 o   RP-72   RP-161080   2195   2   Correction to MFTC message classes and logical channels   13.2 o   RP-72   RP-161080   2196   1   PUCCH SCell corrections   13.2 o   RP-72   RP-161080   2199   1   PUCCH SCell corrections   13.2 o   RP-72   RP-161080   2200   1   Small corrections of timer description for Sidelink   13.2 o   RP-72   RP-161080   2201   2   Correction to United description for Institute   13.2 o   RP-72   RP-161080   2202   2   Correction to United Palabelling   13.2 o   RP-72   RP-161080   2203   1   US Power Class in UE capability signaling   13.2 o   RP-72   RP-161080   2203   1   US Power Class in UE capability signaling   13.2 o   RP-72   RP-161080   2204   Correction to UE Power Class in UE capability signaling   13.2 o   RP-72   RP-161080   2205   Correction to UE Power Class in UE capability signaling   13.2 o   RP-72   RP-161080   2205   Correction to UE Power Class in UE capabilities for MFTC   RP-161080   2206   Correction to UE Power Class in UE capabilities for MFTC   RP-161080   2207   Correction to UE power Class in UE capabilities for MFTC   RP-161080   2208   Correction to UE power Class of UE capability signaling   13.2 o   RP-72   RP-161080   2209   Correction to UE power Class of UE capability signaling   13.2 o   RP-72   RP-161080   2209   Correction to UE power class of UE capability signaling   13.2 o   RP-72   RP-161080   2209   Correction to UE power class of UE capability signaling   13.2 o   RP-72   RP-161080   2209   Correction to UE power class of UE capability signaling   13.2 o   RP-72   RP-161080   2209   Correction to					-		
RP-72   RP-161080   2188   1					-		
RP-72   RP-161080   2189   Add the field description for mptoch-NarrowbandsToMonitor-r13   13.2.0     RP-72   RP-161080   2193   WILAN measurements and user preference   13.2.0     RP-72   RP-161080   2193   WILAN measurements and user preference   13.2.0     RP-72   RP-161080   2195   Correction to eMTC message classes and logical channels   13.2.0     RP-72   RP-161080   2196   Correction to eMTC message classes and logical channels   13.2.0     RP-72   RP-161080   2199   PUCCH SCell corrections   13.2.0     RP-72   RP-161080   2200   Some eCA related corrections   13.2.0     RP-72   RP-161080   2200   Small corrections of timer description for Sidelink   13.2.0     RP-72   RP-161080   2201   Correction to the extended timer values for UEs that support CE mode   13.2.0     RP-72   RP-161080   2202   Correction to UL SPS operation   13.2.0     RP-72   RP-161080   2203   UE Power Class in UE capability signaling   13.2.0     RP-72   RP-161080   2203   UE Power Class in UE capability signaling   13.2.0     RP-72   RP-161080   2204   Correction to Initial CE Level   13.2.0     RP-72   RP-161080   2205   Further miscellaneous eMTC corrections   13.2.0     RP-72   RP-161080   2205   Further miscellaneous eMTC corrections   13.2.0     RP-72   RP-161080   2207   Correction to Initial CE Level   13.2.0     RP-72   RP-161080   2209   Feature Group Indicators and UE capabilities for eMTC   13.2.0     RP-72   RP-161080   2211   Valid subframes for FDD and TDD DL transmissions   13.2.0     RP-72   RP-161080   2221   Valid subframes for FDD and TDD DL transmissions   13.2.0     RP-72   RP-161080   2221   Correction to Initial CE Level   13.2.0     RP-72   RP-161080   2221   Correction to Initial CE Level   13.2.0     RP-72   RP-161080   2221   Correction to Initial CE Level   13.2.0     RP-72   RP-161080   2221   Correction to Initial CE Level   13.2.0     RP-72   RP-161080   2221   Correction to Initial CE Level   13.2.0     RP-72   RP-161080   2221   Correction to Initial CE Level   13.2.0     RP-73   RP-161760   2220					1		
RP-72   RP-161080   2199   .   Add the field description for mpdcch-NarrowbandsToMonitor-r13   13.2.0					1		
RP-72   RP-161080   2193   .					1		
RP-72 RP-161080 2195					-	Add the field description for mpdcch-NarrowbandsToMonitor-r13	
RP-72 RP-161080 2198   Correction to eMTC message classes and logical channels   13.2.0					-		
RP-72   RP-161080   2199     Some eCA related corrections   13.2.0		RP-72	RP-161080	2193	1	Miscellaneous corrections resulting from REL-13 ASN.1 review	
RP-72   RP-161080   2199     Some eCA related corrections   13.2.0		RP-72	RP-161080	2195	-		13.2.0
RP-72   RP-161080   2199   1   PUCCH SCell corrections   13.2.0   RP-72   RP-161080   2201					<b> -</b>		
RP-72 RP-161080 2200   1					1		
RP-72 RP-161080 2201 - Clarification of use of extended timer values for UEs that support CE mode   13.2.0					1		
RP-72 RP-161080 2202 2   B					<del>                                      </del>		
RP-72   RP-161080   2202   2   Correction to UL SPS operation   13.2.0		12	101000				10.2.0
RP-72 RP-161080   2203   1		RP-72	RP-161080	2202	2		13.2 0
RP-72 RP-161080   2204   Correction to FD-MIMO field descriptions   13.2.0							
RP-72 RP-161080   2205   1   Miscellaneous eMTC corrections   13.2.0   RP-72 RP-161080   2207   Correction to Initial CE Level   13.2.0   RP-72 RP-161080   2207   Correction to Initial CE Level   13.2.0   RP-72 RP-161080   2208   CR to capture Clo7 optimizations for non-NB-1oT UES   13.2.0   RP-72 RP-161080   2211   Valid subframes for FDD and TDD DL transmissions   13.2.0   RP-72 RP-161080   2211   Valid subframes for FDD and TDD DL transmissions   13.2.0   RP-72 RP-161080   2211   Avoiding conflict between relf3 LWM.WIP and rel12 RALWI   13.2.0   RP-72 RP-161080   2220   Clarification on the presence of ul-84QAM-12 for DL-only bands   13.2.0   RP-72 RP-161080   2221   Correction on keeping SCG upon inter eNB handove   13.2.0   RP-72 RP-161080   2222   The granularity of LWAAP entity   13.2.0   RP-72 RP-161080   2222   The granularity of LWAAP entity   13.2.0   RP-72 RP-161080   2224   The handling of WLAN status monitoring   13.2.0   RP-72 RP-161080   2224   The handling of WLAN status monitoring   13.2.0   RP-72 RP-161080   2230   Restricting Unattended Data Traific   13.2.0   RP-72 RP-161080   2231   Restricting Unattended Data Traific   13.2.0   RP-72 RP-161080   2233   Restricting Unattended Data Traific   13.2.0   RP-72 RP-161080   2234   Various corrections to MTCe related ASN.1 code and field descriptions   13.2.0   RP-72 RP-161080   2234   Various corrections to MTCe related ASN.1 code and field descriptions   13.2.0   RP-72 RP-161080   2236   Clarification to related description for the timer T360   23.0   RP-72 RP-161080   2236   Clarification to related description for the timer T360   13.2.0   RP-72 RP-161080   2236   Clarification to related ASN.1 code and field descriptions   13.2.0   RP-72 RP-161080   2236   Clarification to related ASN.1 code and field descriptions   13.2.0   RP-72 RP-161080   2236   Clarification to related ASN.1 code and field description of the timer T360   23.0   RP-73 RP-161756   2244   Correction to access barring checking for network sharing case   13.3.0   RP-73 RP-1					<del>                                     </del>		
RP-72   RP-161080   2206   -     Further miscellaneous eMTC corrections   13.2.0     RP-72   RP-161080   2209   2     Feature Group Indicators and UE capabilities for eMTC   13.2.0     RP-72   RP-161080   2210   2     CR to capture CloT optimizations for non-NB-IoT UEs   13.2.0   RP-72   RP-161080   2211   -   Valid subframes for FDD and TDD DL transmissions   13.2.0   RP-72   RP-161080   2216   1   Avoiding conflict between rel13 LWALWIP and rel12 RALWI   13.2.0   RP-72   RP-161078   2220   -   Clarification on the presence of up-64QAM-r12 for DL-only bands   13.2.0   RP-72   RP-161080   2221   -   Correction on keeping SCG upon inter eNB handove   13.2.0   RP-72   RP-161080   2221   -   The graularity of UMAP entity   13.2.0   RP-72   RP-161080   2222   -   The graularity of UMAP entity   13.2.0   RP-72   RP-161080   2223   -   Clarification on WLAN measurment   13.2.0   RP-72   RP-161080   2224   -   The handling of WLAN status monitoring   13.2.0   RP-72   RP-161080   2224   -   The handling of WLAN status monitoring   13.2.0   RP-72   RP-161080   2225   1   Clarification on the handover from the MeNB to the SeNB   13.2.0   RP-72   RP-161080   2223   1   Introduction of NB-1071   36.331   13.2.0   RP-72   RP-161080   2223   1   Clarification on the handover from the MeNB to the SeNB   13.2.0   RP-72   RP-161080   2223   1   Introduction of NB-1071   36.331   13.2.0   RP-72   RP-161080   2233   1   Various corrections to MTC er elated ASN 1 code and field descriptions   13.2.0   RP-72   RP-161080   2235   Clarification to field description for the timer T360   13.2.0   RP-72   RP-161080   2235   Clarification to field description for the timer T360   13.2.0   RP-72   RP-161080   2236   Clarification to field description for the timer T360   13.2.0   RP-72   RP-161080   2236   Clarification to field description for the timer T360   13.2.0   RP-73   RP-161786   2256   Clarification to Epidemic for eMTC   13.2.0   RP-73   RP-161786   2256   Clarification of Epidemic for eMTC   13.3.0   RP-73   RP-161786   225	-				4		
RP-72   RP-161080   2207   Correction to Initial CE Level   13.2.0		RP-72	RP-161080		1		
RP-72 RP-161080 2209   2   Feature Group Indicators and UE capabilities for eMTC   13.2.0					-		
RP-72 RP-161080 2216   - Valid subframes for FDD and TDD DL transmissions   13.2.0					-		
RP-72 RP-161080 2216							
RP-72   RP-161080   2220   Clarification on the presence of ul-64QAM-r12 for DL-only bands   13.2.0					2	CR to capture Clo I optimizations for non-NB-Io I UEs	
RP-72   RP-161078   2220   Clarification on the presence of ul-84QAM-r12 for DL-only bands   13.2.0					-		
RP-72 RP-161080 2221 -					1		
RP-72 RP-161080 2222 -					-		13.2.0
RP-72 RP-161080 2222 - Clarification on WLAN measurment   13.2.0		RP-72	RP-161080	2221	-	Correction on keeping SCG upon inter eNB handove	13.2.0
RP-72   RP-161080   2224   The handling of WLAN status monitoring   13.2.0   RP-72   RP-161080   2226   Clarification on the handover from the MeNB to the SeNB   13.2.0   RP-72   RP-161080   2230   Restricting Unattended Data Traffic   13.2.0   RP-72   RP-161080   2231   Thirduction of NB-IoTin 36.331   13.2.0   RP-72   RP-161080   2233   Correction to T302 and T308 conflict issue   13.2.0   RP-72   RP-161080   2234   Various corrections to MTCe related ASN.1 code and field descriptions   13.2.0   RP-72   RP-161080   2235   Clarification to field description for the timer T360   13.2.0   RP-72   RP-161080   2236   Clarification to ordering of Rel13 Frequency priority lists   13.2.0   RP-72   RP-161080   2236   Clarification to ordering of Rel13 Frequency priority lists   13.2.0   RP-72   RP-161080   2238   Introduction of LWIP counter   13.2.0   RP-72   RP-161080   2239   Clarification on EpdochSetConfig for eMTC   13.2.0   RP-72   RP-161080   2240   Skipping fallback "2DL + 1UL" CA in UE capability report in Rel 13   13.2.0   RP-72   RP-161270   2241   3 NAS timer settings for eMTC   13.2.0   RP-73   RP-161758   2242   Correction to access barring checking for network sharing case   13.3.0   RP-73   RP-161756   2248   Correction to LWIP and LWA   13.3.0   RP-73   RP-161756   2249   Correction on measurement reporting for WLAN   13.3.0   RP-73   RP-161756   2250   Corrections to simultaneous configuration of LWA, RCLWI and LWIP   13.3.0   RP-73   RP-161758   2254   Corrections to Simultaneous configuration of LWA, RCLWI and LWIP   13.3.0   RP-73   RP-161758   2254   Corrections to Simultaneous configuration of LWA, RCLWI and LWIP   13.3.0   RP-73   RP-161758   2254   Corrections to Simultaneous configuration of LWA, RCLWI and LWIP   13.3.0   RP-73   RP-161758   2256   Corrections to Simultaneous configuration of LWA, RCLWI and LWIP   13.3.0   RP-73   RP-161758   2256   Corrections to Simultaneous configuration of LWA, RCLWI and LWIP   13.3.0   RP-73   RP-161758   2263   Corrections to Simultaneous corrections		RP-72	RP-161080	2222	-	The granularity of LWAAP entity	13.2.0
RP-72   RP-161080   2224   The handling of WLAN status monitoring   13.2.0   RP-72   RP-161080   2226   Clarification on the handover from the MeNB to the SeNB   13.2.0   RP-72   RP-161080   2230   Restricting Unattended Data Traffic   13.2.0   RP-72   RP-161080   2231   Thirduction of NB-IoTin 36.331   13.2.0   RP-72   RP-161080   2233   Correction to T302 and T308 conflict issue   13.2.0   RP-72   RP-161080   2234   Various corrections to MTCe related ASN.1 code and field descriptions   13.2.0   RP-72   RP-161080   2235   Clarification to field description for the timer T360   13.2.0   RP-72   RP-161080   2236   Clarification to ordering of Rel13 Frequency priority lists   13.2.0   RP-72   RP-161080   2236   Clarification to ordering of Rel13 Frequency priority lists   13.2.0   RP-72   RP-161080   2238   Introduction of LWIP counter   13.2.0   RP-72   RP-161080   2239   Clarification on EpdochSetConfig for eMTC   13.2.0   RP-72   RP-161080   2240   Skipping fallback "2DL + 1UL" CA in UE capability report in Rel 13   13.2.0   RP-72   RP-161270   2241   3 NAS timer settings for eMTC   13.2.0   RP-73   RP-161758   2242   Correction to access barring checking for network sharing case   13.3.0   RP-73   RP-161756   2248   Correction to LWIP and LWA   13.3.0   RP-73   RP-161756   2249   Correction on measurement reporting for WLAN   13.3.0   RP-73   RP-161756   2250   Corrections to simultaneous configuration of LWA, RCLWI and LWIP   13.3.0   RP-73   RP-161758   2254   Corrections to Simultaneous configuration of LWA, RCLWI and LWIP   13.3.0   RP-73   RP-161758   2254   Corrections to Simultaneous configuration of LWA, RCLWI and LWIP   13.3.0   RP-73   RP-161758   2254   Corrections to Simultaneous configuration of LWA, RCLWI and LWIP   13.3.0   RP-73   RP-161758   2256   Corrections to Simultaneous configuration of LWA, RCLWI and LWIP   13.3.0   RP-73   RP-161758   2256   Corrections to Simultaneous configuration of LWA, RCLWI and LWIP   13.3.0   RP-73   RP-161758   2263   Corrections to Simultaneous corrections		RP-72	RP-161080	2223	-	Clarification on WLAN measurment	13.2.0
RP-72   RP-161080   2226   1   Clarification on the handover from the MeNB to the SeNB   13.2.0				2224	-		
RP-72   RP-161080   2230   1   Restricting Unattended Data Traffic   13.2.0					1		13.2.0
RP-72   RP-161080   2233   - Correction to T302 and T308 conflict issue   13.2.0							
RP-72   RP-161080   2233   -     Correction to T302 and T308 conflict issue   13.2.0   RP-72   RP-161080   2234   1   Various corrections to MTCe related ASN.1 code and field descriptions   13.2.0   RP-72   RP-161080   2235   -   Clarification to field description for the timer T360   13.2.0   RP-72   RP-161080   2236   -   Clarification to ordering of Rel13 Frequency priority lists   13.2.0   RP-72   RP-161080   2238   1   Introduction of LWIP counter   13.2.0   RP-72   RP-161080   2239   1   Clarification ne EpdechSetConfig for eMTC   13.2.0   RP-72   RP-161080   2239   1   Clarification ne EpdechSetConfig for eMTC   13.2.0   RP-72   RP-161080   2239   1   Clarification ne EpdechSetConfig for eMTC   13.2.0   RP-72   RP-161080   2240   2   Skipping fallback "2DL + 1U." CA in UE capability report in Rel 13   13.2.0   RP-73   RP-161758   2242   1   Correction to LWIP and LWA   13.3.0   RP-73   RP-161758   2244   1   Correction to LWIP and LWA   13.3.0   RP-73   RP-161756   2244   1   Correction to LWIP and LWA   13.3.0   RP-73   RP-161756   2248   1   Correction on measurement reporting for WLAN   13.3.0   RP-73   RP-161756   2249   1   Correction on MLAN authentication   13.3.0   RP-73   RP-161756   2250   2   Corrections to simultaneous configuration of LWA, RCLWI and LWIP   13.3.0   RP-73   RP-161758   2254   1   Corrections to TS36.331   13.3.0   RP-73   RP-161758   2256   2   Corrections to TS36.331   13.3.0   RP-73   RP-161758   2256   2   Corrections to TS36.331   13.3.0   RP-73   RP-161758   2266   3   Miscellaneous corrections to clause 4 and 5 for NB-IoT   13.3.0   RP-73   RP-161759   2268   3   Clarification to intra-band contiguous CA capabilities   13.3.0   RP-73   RP-161758   2262   Corrections to NB-IoT in 36.331   13.3.0   RP-73   RP-161759   2268   3   Clarification to intra-band contiguous CA capabilities   13.3.0   RP-73   RP-161759   2269   Clarification to intra-band contiguous CA capabilities   13.3.0   RP-73   RP-161754   2273   1   Simplification of UE capability reporting procedure   1							
RP-72 RP-161080 2234   1					_		
RP-72 RP-161080   2235   Clarification to field description for the timer T360   13.2.0					1		
RP-72   RP-161080   2238   1							
RP-72 RP-161080   2238   1					++		
RP-72	<u> </u>	NT-12	NF-101080		1-		
RP-72 RP-161080 2240   2   Skipping fallback "2DL + 1UL" CA in UE capability report in Rel 13   13.2.0	-						
RP-72   RP-161270   2241   3   NAS timer settings for eMTC   13.2.0							
09/2016         RP-73         RP-161758         2242         1         Correction to access barring checking for network sharing case         13.3.0           RP-73         RP-161757         2244         1         Correction to LWIP and LWA         13.3.0           RP-73         RP-161754         2245         2         Backward compatibility of CA band combination signalling         13.3.0           RP-73         RP-161756         2248         1         Correction on measurement reporting for WLAN         13.3.0           RP-73         RP-161756         2249         1         Correction on measurement reporting for WLAN         13.3.0           RP-73         RP-161756         2249         1         Correction on WLAN authentication         13.3.0           RP-73         RP-161756         2259         2         Corrections to simultaneous configuration of LWA, RCLWI and LWIP         13.3.0           RP-73         RP-161756         2253         -         Corrections to WLAN connection management         13.3.0           RP-73         RP-161758         2254         1         Corrections to TS36.331         13.3.0           RP-73         RP-161758         2262         -         Corrections to NB-IoT in 36.331         13.3.0           RP-73         RP-161758         2263					2	Skipping fallback "2DL + 1UL" CA in UE capability report in Rel 13	
RP-73   RP-161757   2244   1   Correction to LWIP and LWA   13.3.0							
RP-73   RP-161754   2245   2   Backward compatibility of CA band combination signalling   13.3.0     RP-73   RP-161756   2248   1   Correction on measurement reporting for WLAN   13.3.0     RP-73   RP-161756   2249   1   Correction on WLAN authentication   13.3.0     RP-73   RP-161756   2250   2   Corrections to simultaneous configuration of LWA, RCLWI and LWIP   13.3.0     RP-73   RP-161756   2253   - Correction on WLAN connection management   13.3.0     RP-73   RP-161758   2254   1   Corrections to TS36.331   13.3.0     RP-73   RP-161758   2258   2   Issue on resume procedure   13.3.0     RP-73   RP-161758   2262   - Corrections to NB-IoT in 36.331   13.3.0     RP-73   RP-161758   2263   - Cleanup of the NB-IoT ASN.1   13.3.0     RP-73   RP-161758   2266   3   Miscellaneous corrections to clause 4 and 5 for NB-IoT   13.3.0     RP-73   RP-161759   2268   3   Clarification to intra-band contiguous CA capabilities   13.3.0     RP-73   RP-161759   2269   - Clarification on RRC processing delay for CloT   13.3.0     RP-73   RP-161759   2270   2   Supporting new UE Rx — Tx time difference mapping table   13.3.0     RP-73   RP-161754   2273   1   Simplification of UE capability reporting procedure   13.3.0     RP-73   RP-161754   2273   1   Simplification on system information acquisition for Sidelink discovery   13.3.0     RP-73   RP-161756   2274   2   Corrections regarding (WLAN) measurement reporting   13.3.0     RP-73   RP-161755   2275   1   Small corrections regarding (WLAN) measurement reporting   13.3.0     RP-73   RP-161758   2279   - Correction on cell reslection procedure while T300 is running   13.3.0     RP-73   RP-161758   2279   - Correction on cell reslection procedure while T300 is running   13.3.0     RP-73   RP-161758   2279   - Correction on cell reslection procedure while T300 is running   13.3.0	09/2016						
RP-73						Correction to LWIP and LWA	
RP-73					2		
RP-73 RP-161756   2249   1   Correction on WLAN authentication   13.3.0     RP-73 RP-161756   2250   2   Corrections to simultaneous configuration of LWA, RCLWI and LWIP   13.3.0     RP-73 RP-161756   2253   - Correction on WLAN connection management   13.3.0     RP-73 RP-161758   2254   1   Corrections to TS36.331   13.3.0     RP-73 RP-161758   2258   2   Issue on resume procedure   13.3.0     RP-73 RP-161758   2262   - Corrections to NB-IoT in 36.331   13.3.0     RP-73 RP-161758   2263   - Cleanup of the NB-IoT ASN.1   13.3.0     RP-73 RP-161758   2266   3   Miscellaneous corrections to clause 4 and 5 for NB-IoT   13.3.0     RP-73 RP-161759   2268   3   Clarification to intra-band contiguous CA capabilities   13.3.0     RP-73 RP-161759   2269   - Clarification on RRC processing delay for CloT   13.3.0     RP-73 RP-161759   2270   2   Supporting new UE Rx - Tx time difference mapping table   13.3.0     RP-73 RP-161754   2273   1   Alignment of procedure when handling up-CloT-EPS-Optimisation   13.3.0     RP-73 RP-161754   2273   1   Simplification of UE capability reporting procedure   13.3.0     RP-73 RP-161756   2275   1   Small corrections regarding (WLAN) measurement reporting   13.3.0     RP-73 RP-161758   2279   - Correction on cell reslection procedure while T300 is running   13.3.0     RP-73 RP-161758   2279   - Correction on cell reslection procedure while T300 is running   13.3.0     RP-73 RP-161758   2279   - Correction on cell reslection procedure while T300 is running   13.3.0     RP-73 RP-161758   2279   - Correction on cell reslection procedure while T300 is running   13.3.0			RP-161756	2248	1		
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RP-73         RP-161758         2262         -         Corrections to NB-IoT in 36.331         13.3.0           RP-73         RP-161758         2263         -         Cleanup of the NB-IoT ASN.1         13.3.0           RP-73         RP-161758         2266         3         Miscellaneous corrections to clause 4 and 5 for NB-IoT         13.3.0           RP-73         RP-161751         2268         3         Clarification to intra-band contiguous CA capabilities         13.3.0           RP-73         RP-161759         2269         -         Clarification on RRC processing delay for CloT         13.3.0           RP-73         RP-161759         2270         2         Supporting new UE Rx – Tx time difference mapping table         13.3.0           RP-73         RP-161758         2272         1         Alignment of procedure when handling up-CloT-EPS-Optimisation         13.3.0           RP-73         RP-161754         2273         1         Simplification of UE capability reporting procedure         13.3.0           RP-73         RP-161752         2274         2         Corrections on system information acquisition for Sidelink discovery         13.3.0           RP-73         RP-161756         2275         1         Small corrections regarding (WLAN) measurement reporting         13.3.0							
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RP-73         RP-161758         2266         3         Miscellaneous corrections to clause 4 and 5 for NB-IoT         13.3.0           RP-73         RP-161751         2268         3         Clarification to intra-band contiguous CA capabilities         13.3.0           RP-73         RP-161759         2269         -         Clarification on RRC processing delay for CloT         13.3.0           RP-73         RP-161759         2270         2         Supporting new UE Rx – Tx time difference mapping table         13.3.0           RP-73         RP-161758         2272         1         Alignment of procedure when handling up-CloT-EPS-Optimisation         13.3.0           RP-73         RP-161754         2273         1         Simplification of UE capability reporting procedure         13.3.0           RP-73         RP-161752         2274         2         Corrections on system information acquisition for Sidelink discovery         13.3.0           RP-73         RP-161756         2275         1         Small corrections regarding (WLAN) measurement reporting         13.3.0           RP-73         RP-161758         2279         -         Correction on cell reslection procedure while T300 is running         13.3.0					<del> _  </del>		
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		RP-73	RP-161758	2280	1	Correction on full configuration	13.3.0

		RP-161758	2281	1	Correction on SRB addition and modification	13.3.0
	RP-73	RP-161756	2282	1	Clarifications on RCLWI	13.3.0
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	RP-73	RP-161762	2287	2	Clarification on DRX cycle used by the UE	13.3.0
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		RP-161755		1	Clarification on bit mapping of fdd-DownlinkOrTddSubframeBitmapLC and	13.3.0
	KP-/3	KP-101/55	2289	1		13.3.0
	DD =0	DD 404==0			fdd-UplinkSubframeBitmapLC	1000
		RP-161759	2290	-	Correction on C-IoT optimizations for non-NB-IoT UE	13.3.0
		RP-161749	2295	1	Clarification on timer handling for zero value	13.3.0
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	RP-73	RP-161755	2301	1	Correction on UEPagingCoverageInformation	13.3.0
	RP-73	RP-161749	2305	1	DRB re-setup in Full Configuration	13.3.0
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		RP-161753	2317	1	Handling of tdd-Config-r10 for LAA Scell	13.3.0
	RP-73	RP-161760	2318	1	Introduction of 1.2Gbps and 1.6Gbps UE categories in Rel-13	13.3.0
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		RP-161758	2342	-	Correction of downlink gap applicability for NB-IoT	13.3.0
		RP-161751	2344	1	Indication of the maxLayersMIMO	13.3.0
		RP-161758	2346	<u>'</u>	nrs-Power signaling for NB-IoT non-anchor carrier	13.3.0
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09/2016		RP-161746	2261	1	Introducing V2V to TS 36.331	14.0.0
		RP-161745	2340	1	Introduction of enhanced LAA for LTE	14.0.0
		RP-161747	2341	-	Introduction of L2 Latency reduction techniques	14.0.0
12/2016		RP-162318	2362	-	Clarification on the RRC connection resume procedure	14.1.0
		RP-162313	2364	1	Clarification on AS-Config	14.1.0
	RP-74	RP-162316	2366	1	Corrections to LWA release	14.1.0
	RP-74	RP-162327	2373	1	Signalling of LWIP aggregation	14.1.0
			2375	1	Miscellaneous corrections to TS 36.331	14.1.0
	RP-74		2377	-	Clarification on valid value range of codebookConfigNx fields	14.1.0
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					FDD&TDD diff for mbms-AsyncDC	
-		RP-162311	2381	<del> </del>		14.1.0
<u> </u>		RP-162316	2386	<b> </b> -	Corrections to WLAN status monitoring	14.1.0
		RP-162314	2389	-	Clarification to the security mode command procedure for NB-IoT	14.1.0
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		RP-162312	2394	<u>  </u>	Correction on UE behavior in Paging procedure	14.1.0
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		RP-162314	2398	1	Data available for transmission	14.1.0
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		RP-162314	2403	1_ 1	Correction on field description of up/cp-CloT-EPS-Optimisation	14.1.0
		RP-162327	2404	<del>                                     </del>	Extension of PollByte	14.1.0
-		RP-162327		+ +		
<b>—</b>			2407	<del> </del>	Clarification on Rel-13 CCH-IM UE capability	14.1.0
	KP-/4	RP-162317	2411	-	Configuration of DMTC for neighbour and serving cells in LAA carrier	14.1.0
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		RP-162314	2413	-	Clarification on uplink carrier frequency	14.1.0
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		RP-162317	2422	-	Clarification regarding on CSI-RS resource configuration for FD-MIMO	14.1.0
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		RP-162313	2428	1- 1	Minor changes regarding UE category	14.1.0
		RP-162309	2435	1	Correction of NOTE 3 in UE-EUTRA-Capability related to multiple CA-	14.1.0
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	RD-74	RP-162311	2441	1	Clarification on reporting of the plmn-IdentityList	14.1.0
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		RP-162317		2	Correction on SSTD Measurement Reporting	14.1.0
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	RP-162317	2453	1	Correction on ACDC handling	14.1.0
	RP-162328	2457	1	Correction and Clarification to TS 36.331	14.1.0
	RP-162313	2459	1	Correction to frequecy hopping configuration	14.1.0
RP-74	RP-162314	2461	-	Correction to non-anchor carrier configuration	14.1.0
	RP-162329	2462	1	UE capabilities for Latency Reduction	14.1.0
	RP-162311	2466	1	Conrrections on sidelink pre-configurations and default configurations	14.1.0
RP-74	RP-162317	2469	-	Minor corrections for Rel-13 eD2D	14.1.0
RP-74	RP-162324	2471	3	Introduction of SRS switching for LTE	14.1.0
RP-74	RP-162325	2473	1	Introduction of MUST	14.1.0
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			2675	2	Α	Correction of pusch-hoppingOffset	14.2.0
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		RP-170644	2685	1	В	Addition of geographical location reporting in 36.331	14.2.0
		RP-170630	2689	3	В	Introduction of mobility enhancement solutions in RRC	14.2.0
		RP-170651	2694	-	Α	Clarification on data handling for LWA bearer	14.2.0
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		RP-170656	2703	<b> -</b>	Α	Extension of timer T311	14.2.0
	RP-75					Fixed ASN.1 syntax check error ("PLMN-IdentityList-MBMS-14" -> "PLMN-	14.2.1
			<u> </u>			IdentityList-MBMS- <u>r</u> 14")	
	RP-75					Updated the version number on the cover sheet	14.2.2
06/2017	RP-76	RP-171226	2705	2	F	Correction to exceptional pool usage in TS 36.331	14.3.0
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		RP-171231	2709	1	F	Correction on UE capabilities for eLAA	14.3.0
		RP-171243	2711	3	Α	Correction on WLAN connection status report monitoring for LWIP	14.3.0
		RP-171236	2712	3	F	Correction on eLWA	14.3.0
		RP-171225	2713	2	В	Introduction of new Transport Block Size for DL 256QAM	14.3.0
		RP-171236	2714	2	F	UE capabilities for eLWA	14.3.0
		RP-171227	2715	2	В	Introducing a new SL master information block for V2X sidelink	14.3.0
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		RP-171236	2720	2	F	Clarifications to eLWA	14.3.0
		RP-171247	2728	2	В	Introduction of a new UL UE category for 300Mbps with 64QAM	14.3.0
	RP-76	RP-171425	2872	3	F	Miscellaneous general corrections and clarifications resulting from ASN.1	14.3.0
				<u> </u>		review	
	RP-76	RP-171223	2734	2	F	Introduction of SFN indication in handover message	14.3.0

	DD 76	DD 474004	2727	14	I -	Correction to CID Type ND	1420
		RP-171224 RP-171233	2737 2741	5	F	Correction to SIB-Type-NB Clarification of intra-frequency applicability of makeBeforeBreak HO	14.3.0
		RP-171233	2745	3	F	Correction to the value range of ce-AuthorisationOffset	14.3.0
		RP-171224	2746	2	С	Introduction of Overload Control for Control plane data only	14.3.0
		RP-171224	2748	2	F	SC-MCCH information change notification for FeMTC and NB-IoT	14.3.0
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	RP-76	RP-171223	2749	1	F	Alignment of the parameter names for SC-PTM DRX for SC-MCCH and	14.3.0
	DD 70	DD 474000	0750		_	SC-MTCH	4400
		RP-171233	2752	3 7	F	Corrections to RACH-less handover and SCG change	14.3.0 14.3.0
	KP-76	RP-171222	2759	<b>'</b>	F	Corrections to per-CC measurement gap configuration and add the support for UE reporting of numFreqEffectiveReduced when frequencies	14.3.0
						are configured for reduced measurement performance	
	RP-76	RP-171233	2760	1	F	Corrections to make before break mobility	14.3.0
		RP-171243	2768	2	F	Clarification regarding requesting fallback combinations with different	14.3.0
	111 70	17 17 12 40	2700	_	!	capabilities	14.0.0
	RP-76	RP-171226	2771	1	F	Leap second change for DFN timing	14.3.0
		RP-171244	2773	1	Α	Correction to RACH CE level info list	14.3.0
	RP-76	RP-171223	2775	2	С	CE mode configuration/deconfiguration without handover	14.3.0
	RP-76	RP-171227	2791	3	F	Correction on V2X Rx pool for inter-frequency configuration in 36.331	14.3.0
	RP-76	RP-171248	2795	1	Α	Entry-Level UE Support UL 64QAM	14.3.0
		RP-171235	2797	1	F	FDD TDD difference for VoLTE capability	14.3.0
		RP-171242	2804	1	Α	Setting of FGI 107 and 108 in case of TDD-FDD CA	14.3.0
		RP-171226	2813	1	F	Correction of RRCConnectionReconfiguration reception for V2X	14.3.0
		RP-171227	2820	-	F	CR on V2X miscellaneous RRC corrections	14.3.0
		RP-171224	2823	2	В	Introduction of RRC connection re-establishment for NB-IoT control plane	14.3.0
		RP-171243	2826	-	A	Miscellaneous corrections to CA enhancements	14.3.0
		RP-171244	2828	2	Α	Clarification to MIB repetitions	14.3.0
		RP-171243	2830	3	Α	LAA/WiFi sharing indiction	14.3.0
		RP-171225	2831	-	В	Enable Uplink-Only RoHC operations	14.3.0
		RP-171245	2833	1	Α	Clarification on contention based random access for NB-IoT	14.3.0
		RP-171245	2836	-	Α	Editorial correction on ab-Barring parameter	14.3.0
		RP-171223 RP-171223	2842 2844	1	F	Correction to FGI 25 Correction to InterFreqRSTDMeasurementIndication message	14.3.0
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		RP-171223	2854	2	F	Correction to SC-MCCH and SC-MTCH configuration without delta	14.3.0
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	RP-76	RP-171221	2873	1	F	Miscellaneous feMBMS corrections and clarifications resulting from ASN.1	14.3.0
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	RP-76	RP-171224	2876	1	F	Long DRX values with regular wake-up cycle - Option 1	14.3.0
	RP-76	RP-171244	2879	-	Α	Correction on terminology of SI for eMTC	14.3.0
		RP-171223	2882	1	F	Correction on the descritption of ce-srsEnhancement for FeMTC	14.3.0
	RP-76	RP-171223	2883	2	В	Measurement gap sharing for FeMTC intra- and inter-frequency	14.3.0
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		RP-171223	2884	1	F	Minor correction in TS 36.331 for feMTC	14.3.0
	RP-76	RP-171223	2885	2	F	Corrections on reconfiguration between CE mode and normal mode in	14.3.0
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<u> </u>			2887	1	F	Clarification regarding eFD-MIMO configuration (REL-14)	14.3.0
<u> </u>		RP-171235	2889	1	F	Correction of issues related to ASN.1 review for eVoLTE	14.3.0
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-		RP-171407 RP-171227	2903	1	F	Correction on V2X behavior in 36.331	14.3.0
		RP-171227 RP-171246	2905	2	В	EUTRAN sharing enhancement	14.3.0
		RP-171246 RP-171244	2912	-	А	Clarification on additionalSpectrumEmission for eMTC	14.3.0
		RP-171244 RP-171245	2920	<del> </del>	A	Clarification on additionalSpectrumEmission for NB-IoT	14.3.0
		RP-171243	2927	<del> </del>	F	Correction to CarrierConfigDedicated-NB	14.3.0
		RP-171224	2929	2	F	Miscellaneous NB-IoT corrections and clarifications resulting from ASN.1	14.3.0
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	RP-76	RP-171245	2931	2	Α	Extension of SIntraSearchP value range	14.3.0
	RP-76	RP-171223	2932	-	F	Maximum PDSCH/PUSCH BW preference indication handling for	14.3.0
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	RP-76	RP-171225	2938	3	F	Revert PDCP state variable HFN and SN back to the values used in the	14.3.0
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	DD 70	DD 474000	100.40	1.	-	140414	14400
		RP-171236	2942	1	F	ASN.1 corrections for eLWA	14.3.0
		RP-171241	2943	-	Α	Clarification on UE capability and early feature support	14.3.0
		RP-171243 RP-171245	2944 2945	1	Α	Clarification regarding EBF-FDMIMO configuration (REL-13)	14.3.0
		RP-171245 RP-171229	2945	!	В	Generic clarification of "first bit" as leftmost bit Introduction of UE capabilities for high speed	14.3.0
		RP-171229	2949	-	F	Correction of high speed	14.3.0
		RP-171227	2950	L	F	CR on reduction of SIB21 size	14.3.0
		RP-171244	2952	1	Α	Configuration of preamble groups for CE levels and preamble groups A/B	14.3.0
		RP-171244	2954	1	Α	Extension of RSRP range for eMTC	14.3.0
		RP-171169	2958	-	C	FeMBMS/unicast-mixed carrier flag in measurement object	14.3.0
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		RP-171914	2977	1	F	Miscellaneous correction to V2X in TS 36.331	14.4.0
		RP-171914	2978	2	В	Introduction of new NS values for V2X sidelink communication	14.4.0
		RP-171918	2980	3	Α	Adding abstract syntax notation one chapter of sidelink pre-configuration.	14.4.0
		RP-171914	2983	1	F	Correction on TTI bundling for TDD configurations 2 and 3	14.4.0
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		RP-171919	2989	-	Α	Clarification that DL only bands are not supported in NB-IoT	14.4.0
		RP-171915	2990	1	F	Cleanup for NB-IoT Enhancements	14.4.0
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	RP-77	RP-171914	2993	2	F	CR on condition for RRC connection establishment and condition for	14.4.0
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		RP-171913	2994	2	F	Correction on RACH-less SeNB Change	14.4.0
		RP-171915	2995	-	F	Corrections on eVoLTE	14.4.0
	RP-77	RP-171914	2997	2	F	Corrections to random selection for P2X related V2X sidelink	14.4.0
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		RP-171919	3022	-	F	Cat-M1 indication by Cat-M2 UE	14.4.0
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		RP-171913	3028	<u> </u>	F	Clarification on systemInformationBlockType2Dedicated	14.4.0
		RP-171920	3030	2	Α	Clarification on Bandwidth Reduced operation	14.4.0
		RP-171915	3036	-	F	Correction for connEstFailOffset	14.4.0
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		RP-171913	3041	1	F	Correction to eLAA configuration	14.4.0
		RP-171914	3042	2	C	Packet Reordering for Sidelink	14.4.0
		RP-171920	3044	1	A	Corrections on TS 36.331 for Rel-13 MTC	14.4.0
	RP-77	RP-171913	3047	-	F	Corrections on Bandwidth preference indication for Rel-14 MTC	14.4.0
		RP-171913	3048	1	F	Corrections on TS 36.331 for Rel-14 MTC	14.4.0
			3051	2	F	Clarification on NCSG UE capability	14.4.0
			3052	1	F	Corrections to UL 256 QAM capability field descriptions	14.4.0
	RP-77	RP-171914	3054	1	F	Clarification on per CC measurement gap	14.4.0
	RP-77	RP-171915	3055	1	С	Introduction of RLC UM support for LWA	14.4.0
	RP-77	RP-171919	3057	-	Α	Correction on eCA with Dual Connectivity	14.4.0
	RP-77	RP-171913	3059	-	F	Clarification of the PTAG value for the RACH-less handover	14.4.0
		RP-171920	3063	1	Α	Clarification on number of RACH CE levels vs number of RSRP thresholds	
		RP-171915	3064	1	F	Correction to contention free random access	14.4.0
		RP-171913	3065	2	С	Introduction of Release Assistance Indication	14.4.0
		RP-171920	3067	2	Α	TM9 capabilities in CE mode	14.4.0
		RP-171915	3068	1	F	Introduction of interference randomisation in NB-IoT	14.4.0
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12/2017	RP-78	RP-172615	2968	5	F	Cleaning up CQI and CSI-RS-related configurations (related to Rel-14 ASN.1 review issue N.099)	14.5.0
	RP-78	RP-172615	2982	8	В	Introduction of the overheating indication	14.5.0
		RP-172616	3037	4	F	Target cell optional PBCH repetition status indication	14.5.0
		RP-172624	3046	3	Α	Corrections on paging monitoring in RRC_CONNECTED in Rel-13 eMTC	14.5.0
		RP-172721	3071	3	В	Introduction of DL 2Gbps Category	14.5.0
		RP-172617	3072	3	F	Correction to Inter-frequency reception for V2X sidelink communication	14.5.0
	RP-78	RP-172617	3073	4	F	CR on SIB21 reading	14.5.0
		RP-172622	3081	2	Α	UE capabilities for Tx antenna selection	14.5.0
	RP-78	RP-172617	3084	3	F	Transmission of P2X sidelink communication in Exceptional Pool	14.5.0
	D D = 0	RP-172617	3085	2	F	Correction on SubframeBitmap Configuration in Band 47	14.5.0
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	RP-78	RP-172616	3088	1	F	Correction on SRS switching capabilities field description	
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	RP-78	RP-172623	3108	1	Α	Define requirement for reception of number of simultaneous SC-PTM	14.5.0
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		RP-172616	3110	3	В	Signaling of NCSG Support for Inter-F Measurement	14.5.0
		RP-172623 RP-172617	3112 3113	2	A F	Clarification on csi-RS-ConfigNZPId Correction to UE-Capability-NB extension and provision for late rel-13	14.5.0 14.5.0
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	RP-78	RP-172624	3120	1	F	Alignment of FGI4 (Short DRX) for Cat M1 and M2	14.5.0
		RP-172616 RP-172624	3127 3129	1	F F	UE capability for support of SRS enhancements without support of comb 4 MBSFN subframes for target cell during handover to CE cell	14.5.0 14.5.0
		RP-172624	3132	3	С	Reject of unprotected redirect to GERAN	14.5.0
		RP-172616	3135	2	F	Correction to actions related to InterFreqRSTDMeasurementIndication	14.5.0
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		RP-172616 RP-172616	3137 3138	1	F F	Clarification on srs-UpPtsAdd in SRS coverage enhancement Scheduling information of SIB1-BR when skipping MIB during HO	14.5.0 14.5.0
		RP-172624	3140	1	A	Introducing a definition for the term UE in CE	14.5.0
	RP-78	RP-172617	3153	2	F	NRS-CRS power offset configuration for NB-IoT	14.5.0
		RP-172617	3154	3	С	Introduction of relaxed monitoring in NB-IoT	14.5.0
		RP-172617	3157	1	F	Successful acknowledgement of RRCConnectionRelease	14.5.0 14.5.0
		RP-172624 RP-172616	3160 3169	1	A F	TM6 capabilities in CE mode  Correction on the field description of ce-PDSCH-TenProcesses	14.5.0
		RP-172617	3175	1	F	Small corrections to CarrierConfigDedicated, T322 and t-reordering default	
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		RP-172617	3176	1	F	Correction to random access power control in 36.331	14.5.0
-		RP-172616 RP-172617	3180 3184	1	B F	Introduction of a new configuration for ssp10 with less CRS Correction on zone configuration in transmission pool selection	14.5.0 14.5.0
		RP-172617	3190	1-	А	DCI monitoring subframes for eIMTA	14.5.0
		RP-172623	3194	-	F	SFN desynchronizaion between eNB and eDRX UE	14.5.0
12/2017		RP-172614	3115	3	В	Introducing support for NR, changes relevant for NSA	15.0.0
01/2018	DD =:	DD 400 :::	0000		_	Removed ASN.1 errors to make it pass the syntax check	15.0.1
03/2018		RP-180491 RP-180443	3208 3217	2	F A	Miscellaneous corrections from review in preparation for ASN.1 freeze  Correction on SRS carrier switching	15.1.0 15.1.0
		RP-180443	3222	-  -	A	Correction on SRS carrier switching  Correction to field description for HARQ-ACK delay for Rel-14 MTC	15.1.0
		RP-180445	3224	1	Α	Correction to RRCConnectionReestablishment message in 36.331	15.1.0
		RP-180448	3245	2	Α	Introduction of LTE DL 1.4Gbps Category	15.1.0
		RP-180442	3256	1	Α	Correction to handling of p-Max procedure for high-power UEs	15.1.0
	RP-79	RP-180446	3263	2	Α	Correction on Override of the highPriorityAccess Establishment Cause by the mo-VoiceCall value	15.1.0
		RP-180442	3267	1	Α	Different power class support for band combinations	15.1.0
	RP-79	RP-180444	3272	1	Α	Clarifications on V2X resource selection in the absence of positioning information	15.1.0
		RP-180446	3274	1	Α	Correction to GERAN redirection without security	15.1.0
		RP-180441	3277	2	Α	Correction to pucch-ConfigDedicated for fallback configuration	15.1.0
		RP-180446 RP-180443	3279 3282	-	A	Signalling for reading shared PLMN information from non-CSG cells Clarification to PUCCH Configuration for LAA SCells	15.1.0 15.1.0
		RP-180441	3296	2	A	Clarification on the NPRACH starting subcarrier partitioning for multi-tone Msg3 transmission	15.1.0
	RP-79	RP-180443	3297	2	Α	Introduction of support of relaxed monitoring for BL and CE UE	15.1.0
		RP-180444	3301	1	Α	Correction on SI-offsetIndicator for the sidelink resource pool	15.1.0
		RP-180441	3306	-	Α	RRC Corrections for RRC Resume	15.1.0
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		RP-181234	3312	2	A	Correction on SPS assistance information in TS 36.331	15.2.0
		RP-181233	3324	1	F	Successful acknowledgement of RRCConnectionRelease for BL and CE UE	15.2.0
		RP-181230	3357	2	Α	Correction for IDC hardware sharing problems	15.2.0
	RP-80	RP-181234	3360	2	Α	Corrections to syncOffsetIndicator Configuration	15.2.0
		RP-181236	3365	3	A	Correction on UE capabilities	15.2.0
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		RP-181216	3386	3	F	Miscellaneous EN-DC related corrections	15.2.0
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	RP-80	RP-181236	3396	1	Α	Correction for support of alternative TBS indices	15.2.0
	RP-80	RP-181233	3399	1	Α	Clarification on RACH-less configuration release	15.2.0
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		RP-181232	3430	1	Α	Correction to handling of p-Max procedure for high-power UEs	15.2.0
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		RP-181236	3439	2	Α	Introduction of DL Channel Quality reporting	15.2.0
		RP-181235 RP-181235	3441 3442	1	A	Introduction of serving cell idle mode measurements reporting in 36.331  Correction to T310 timer description and editorials	15.2.0 15.2.0

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		RP-181224	3466	1	Α	Correction on delta-RxLevMinCE1	15.2.0
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09/2018		RP-181953	3144	3	В	Introduction of QoE Measurement Collection for LTE	15.3.0
		RP-181951	3178	6	В	Introduce assistance information for local cache 36.331 CR	15.3.0
		RP-181939	3186	8	В	Introducing support for NR, changes only relevant for SA	15.3.0
		RP-182130 RP-181955	3202 3211	9	В	Introduction of shortened TTI and processing time for LTE Introduction of DEFLATE based UDC Solution	15.3.0 15.3.0
		RP-181960	3226	10	В	Enhancement of SRS antenna switching in TS 36.331	15.3.0
		RP-181947	3227	6	В	Support of 1024QAM in TS 36.331	15.3.0
		RP-181964	3251	6	В	Introduction of further enhancements to CoMP	15.3.0
		RP-181945	3333	8	В	Introduction of further NB-IoT enhancements other than EDT in TS 36.331	15.3.0
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		RP-182000	3342	7	В	Introduction of Bluetooth and WLAN measurement collection in MDT	15.3.0
		RP-181960	3343	5	В	Running 36.331 CR for HSDN	15.3.0
		RP-181944 RP-182081	3389 3390	4 5	В	Introduction of EDT for eMTC and NB-IoT enhancements Introduction of Rel-15 eMTC enhancements (other than EDT)	15.3.0 15.3.0
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		RP-181960	3407	1	В	Avoiding FGI20 limitation	15.3.0
		RP-182119	3408	6	В	Implementing network-based CRS interference mitigation	15.3.0
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		RP-181963	3497	1	Α	Correction to RRC Connection Re-establishment for the control plane	15.3.0
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	RP-81	RP-181961	3502	1	Α	Radio resource configuration handling when resuming a suspended RRC connection	15.3.0
	RP-81	RP-181962	3516	1	Α	Correction to the description of UE capability for V2X sidelink communication	15.3.0
	RP-81	RP-181962	3518	-	Α	Correction on the duplex mode configuration for Rx pool	15.3.0
	RP-81	RP-181960	3523	1	С	Introduction of Geofencing information in CMAS	15.3.0
		RP-181962	2531	ļ	Α	Correction on V2X TX pool selection	15.3.0
		RP-181962	3533	1	A	CR on Clarification of Configuring codebookConfigNx for Rel-15	15.3.0
	RP-81	RP-181962	3538	[-	Α	Clarification for the asynchronous HARQ with the LTE mobility enhancements	15.3.0
	RP-81	RP-181962	3539	<del> </del> -	Α	Correction for Zoning	15.3.0
		RP-181960	3541	<u> -</u>	В	UE UL categories for 1024QAM	15.3.0
12/2018	RP-82	RP-182681	3495	3	Α	Editorial restructuring of NPRACH resource configuration	15.4.0
		RP-182674	3506	5	F	CR for T312 on LTE HetNet mobility	15.4.0
		RP-182655	3525	3	F	Introduction of including EUTRA UE capability for MRDC usage	15.4.0
		RP-182680 RP-182678	3544 3548	3	F	Correction for sidelink measurement periodical triggering condition  Correction on UE capability for eV2X	15.4.0 15.4.0
		RP-182678 RP-182675	3548	2	F	Clarification on RRC state transition	15.4.0
		RP-182675	3553	1	F	Addition of RAN specific Access Category	15.4.0
		RP-182675	3554	1	F	Clarification to no barring configuration for Implicit UAC	15.4.0
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		RP-182679	3557	3	Α	Correction on maximum symbols for PUSCH transmission in UpPTS	15.4.0
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		RP-182681	3581	2	F	Miscellaneous corrections and cleanup for NB-IoT Rel-15	15.4.0
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RP-	-82	RP-182650	3583	2	F	CR for security handling upon handover to eLTE in 36.331	15.4.0
		RP-182678	3584	4	F	Miscellaneous corrections in TS 36.331 on eV2X	15.4.0
		RP-182681	3585	1	F	Update description of consecutive precoders used for NSSS	15.4.0
		RP-182676	3586	2	F	Miscellaneous corrections on E-UTRA connected to 5GCN	15.4.0
		RP-182675	3587	1	F	Open issues on E-UTRA connected to 5GC for UAC	15.4.0
		RP-182675	3588	2	F	Open issues on E-UTRA connected to 5GC for INACTIVE	15.4.0
		RP-182676	3589	3	F	TS36.331 CR on UE capabilities for mobility and E-UTRA/5GC	15.4.0
RP-	'-82	RP-182652	3592	2	F	[E201] CR to 36.331 on handling of mapped GUMMEI/GUAMI at idle	15.4.0
DD	00	RP-182676	2502	2	г	mode mobility between 5GS and EPS  Access barring check after handover for eLTE	15 10
		RP-182670	3593	4	F	SI message scheduling enhancement to avoid conflicts between legacy	15.4.0
KP-	'-82	RP-182672	3596	4	С	and positioning System Information	15.4.0
DD	92	RP-182657	3597	5	F	Corrections for handover between NR and E-UTRA in TS36.331	15.4.0
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		RP-182681	3605	4	F	Corrections and clarifications for MO EDT	15.4.0
		RP-182674	3607	2	F	Small correction to pos-schedulingInfoList in SIB1-BR (RIL Z107)	15.4.0
		RP-182675	3614	2	F	Correction on system information blocks acquisition	15.4.0
		RP-182679	3616	2	F	Clarification on UDC configuration	15.4.0
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		RP-182656	3634	2	F	Some NR SA related corrections	15.4.0
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	-02	102071	3047	_	'	RRC_CONNECTED for BL UEs and UEs in CE	13.4.0
RP.	-82	RP-182679	3651	3	F	Correction on Bluetooth and WLAN measurement collection in MDT	15.4.0
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RP- RP- RP- RP- RP- RP- RP- RP- RP- RP-	9-82 9-82 9-82 9-82 9-82 9-82 9-82 9-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3666	2 2 2 1 1 2 2 3 2 4 2	F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI	15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0
RP- RP- RP- RP- RP- RP- RP- RP- RP- RP-	9-82 9-82 9-82 9-82 9-82 9-82 9-82 9-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665	2 2 2 1 1 2 2 2 3 2 4	F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I	15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0
RP- RP- RP- RP- RP- RP- RP- RP- RP- RP-	9-82 9-82 9-82 9-82 9-82 9-82 9-82 9-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3666 3673	2 2 2 1 1 2 2 3 2 4 2	F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation	15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0
RP-	9-82 9-82 9-82 9-82 9-82 9-82 9-82 9-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182680 RP-182680 RP-182678 RP-182680 RP-182680 RP-182682 RP-182682	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3666 3673	2 2 1 1 2 2 3 2 4 2 4	F F F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation Correction to FDD/TDD Diff for NR PDCP Capabilities	15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0
RP-	9-82 9-82 9-82 9-82 9-82 9-82 9-82 9-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182682 RP-182682 RP-182682	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3665 3673 3674	2 2 1 1 2 2 3 2 4 2	F F F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation Correction to FDD/TDD Diff for NR PDCP Capabilities Removal of redefinition of MCS-PSSCH-Range-r15	15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0
RP-	7-82 7-82 7-82 7-82 7-82 7-82 7-82 7-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182682 RP-182682 RP-182678 RP-182678 RP-182678	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3665 3665 3673	2 2 1 1 2 2 3 2 4 2 4	F F F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation Correction to FDD/TDD Diff for NR PDCP Capabilities Removal of redefinition of MCS-PSSCH-Range-r15 Corrections to multiple SPS configurations after sTTI and HRLLC merge	15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0
RP-	7-82 7-82 7-82 7-82 7-82 7-82 7-82 7-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182682 RP-182653 RP-182653 RP-182679 RP-182650	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3665 3673 3674 3675 3676	2 2 1 1 2 2 3 2 4 2 4 1 1 3 1 1	F F F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation Correction to FDD/TDD Diff for NR PDCP Capabilities Removal of redefinition of MCS-PSSCH-Range-r15 Corrections to multiple SPS configurations after sTTI and HRLLC merge Clarification on measObjectNR of SFTD between PCell and PSCell	15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0
RP-	7-82 7-82 7-82 7-82 7-82 7-82 7-82 7-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182682 RP-182682 RP-182653 RP-182678 RP-182679 RP-182650 RP-182650	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3665 3673 3674 3675 3676 3678	2 2 1 1 2 2 3 2 4 2 4	F F F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation Correction to FDD/TDD Diff for NR PDCP Capabilities Removal of redefinition of MCS-PSSCH-Range-r15 Corrections to multiple SPS configurations after sTTI and HRLLC merge Clarification on measObjectNR of SFTD between PCell and PSCell Clarification on B events in EN-DC	15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0
RP-	7-82 7-82 7-82 7-82 7-82 7-82 7-82 7-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182682 RP-182682 RP-182653 RP-182678 RP-182679 RP-182650 RP-182650 RP-182650 RP-182650 RP-182650	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3666 3673 3674 3675 3678 3679 3680	2 2 2 1 1 1 2 2 3 2 4 2 4 1 3 1 1 2 2 1 1 1 1 2 2 1 1 1 1 1 1 1 1	F F F F F F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation Correction to FDD/TDD Diff for NR PDCP Capabilities Removal of redefinition of MCS-PSSCH-Range-r15 Corrections to multiple SPS configurations after sTTI and HRLLC merge Clarification on measObjectNR of SFTD between PCell and PSCell Clarification on the measurement gaps for dense PRS	15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0
RP-	7-82 7-82 7-82 7-82 7-82 7-82 7-82 7-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182682 RP-182682 RP-182653 RP-182678 RP-182679 RP-182650 RP-182650	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3665 3673 3674 3675 3676 3678	2 2 1 1 2 2 3 2 4 2 4 1 1 3 1 1	F F F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation Correction to FDD/TDD Diff for NR PDCP Capabilities Removal of redefinition of MCS-PSSCH-Range-r15 Corrections to multiple SPS configurations after sTTI and HRLLC merge Clarification on measObjectNR of SFTD between PCell and PSCell Clarification on B events in EN-DC Correction on the measurement gaps for dense PRS Normative Annex of CRs Containing Early Implementable Features and	15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0
RP-	7-82 7-82 7-82 7-82 7-82 7-82 7-82 7-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182682 RP-182673 RP-182675 RP-182679 RP-182650 RP-182650 RP-182650 RP-182651 RP-182671 RP-182672	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3666 3673 3674 3675 3678 3679 3680	2 2 2 1 1 1 2 2 3 2 4 2 4 1 3 1 1 2 2 1 1 1 1 2 2 1 1 1 1 1 1 1 1	F F F F F F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation Correction to FDD/TDD Diff for NR PDCP Capabilities Removal of redefinition of MCS-PSSCH-Range-r15 Corrections to multiple SPS configurations after sTTI and HRLLC merge Clarification on measObjectNR of SFTD between PCell and PSCell Clarification on B events in EN-DC Correction on the measurement gaps for dense PRS Normative Annex of CRs Containing Early Implementable Features and Corrections	15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0
RP-	7-82 7-82 7-82 7-82 7-82 7-82 7-82 7-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182682 RP-182682 RP-182653 RP-182678 RP-182679 RP-182650 RP-182650 RP-182650 RP-182650 RP-182650	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3673 3674 3675 3676 3678 3679 3680	2 2 2 1 1 1 2 2 3 2 4 2 4 1 1 3 1 1 2 2 4 2 4 1 1 1 2 2 4 1 1 1 1 1 2 1 1 1 1	F F F F F F F F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation Correction to FDD/TDD Diff for NR PDCP Capabilities Removal of redefinition of MCS-PSSCH-Range-r15 Corrections to multiple SPS configurations after sTTI and HRLLC merge Clarification on measObjectNR of SFTD between PCell and PSCell Clarification on B events in EN-DC Correction on the measurement gaps for dense PRS Normative Annex of CRs Containing Early Implementable Features and Corrections Discard the AS context and Resumeld when initiating the establishment of a RRC Connection	15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0
RP-	7-82 7-82 7-82 7-82 7-82 7-82 7-82 7-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182682 RP-182673 RP-182675 RP-182679 RP-182650 RP-182650 RP-182650 RP-182651 RP-182671 RP-182672	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3673 3674 3675 3676 3678 3679 3680	2 2 2 1 1 1 2 2 3 2 4 2 4 1 1 3 1 1 2 2 4 2 4 1 1 1 2 2 4 1 1 1 1 1 2 1 1 1 1	F F F F F F F F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation Correction to FDD/TDD Diff for NR PDCP Capabilities Removal of redefinition of MCS-PSSCH-Range-r15 Corrections to multiple SPS configurations after sTTI and HRLLC merge Clarification on measObjectNR of SFTD between PCell and PSCell Clarification on B events in EN-DC Correction on the measurement gaps for dense PRS Normative Annex of CRs Containing Early Implementable Features and Corrections Discard the AS context and Resumeld when initiating the establishment of a RRC Connection	15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0
RP-	7-82 7-82 7-82 7-82 7-82 7-82 7-82 7-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182678 RP-182653 RP-182679 RP-182670 RP-182670 RP-182670 RP-182671 RP-182671 RP-182672	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3666 3673 3674 3675 3676 3678 3679 3680 3681	2 2 2 1 1 1 2 2 3 2 4 2 4 1 1 3 1 1 2 2 4 2 4 1 1 1 2 2 4 1 1 1 1 1 2 1 1 1 1	F F F F F F F F F F F F F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation Correction to FDD/TDD Diff for NR PDCP Capabilities Removal of redefinition of MCS-PSSCH-Range-r15 Corrections to multiple SPS configurations after sTTI and HRLLC merge Clarification on measObjectNR of SFTD between PCell and PSCell Clarification on B events in EN-DC Correction on the measurement gaps for dense PRS Normative Annex of CRs Containing Early Implementable Features and Corrections Discard the AS context and Resumeld when initiating the establishment of	15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0 15.4.0
RP-	7-82 7-82 7-82 7-82 7-82 7-82 7-82 7-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182678 RP-182678 RP-182653 RP-182679 RP-182679 RP-182650 RP-182670 RP-182671 RP-182672 RP-182672	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3666 3673 3674 3675 3678 3679 3680 3681	2 2 1 1 2 2 3 2 4 2 4 1 1 1 2 2 4 2 4 2 4 1 1 1 2 2 1 1 1 1	F F F F F F F F F F F F F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation Correction to FDD/TDD Diff for NR PDCP Capabilities Removal of redefinition of MCS-PSSCH-Range-r15 Corrections to multiple SPS configurations after sTTI and HRLLC merge Clarification on measObjectNR of SFTD between PCell and PSCell Clarification on the measurement gaps for dense PRS Normative Annex of CRs Containing Early Implementable Features and Corrections Discard the AS context and Resumeld when initiating the establishment of a RRC Connection Clarification of features not supported in NB-IoT Additional Corrections to EDT in 36.331	15.4.0 15.4.0
RP-	7-82 7-82 7-82 7-82 7-82 7-82 7-82 7-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182678 RP-182653 RP-182653 RP-182679 RP-182670 RP-182670 RP-182671 RP-182671 RP-182672 RP-182672 RP-182670 RP-182670 RP-182670 RP-182670 RP-182670 RP-182670 RP-182670 RP-182670 RP-182670 RP-182670	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3666 3673 3674 3675 3678 3679 3680 3681	2 2 2 1 1 2 2 3 2 4 2 4 1 1 1 2 2 4 2 4 2 4 1 1 1 2 2 1 1 1 1	F F F F F F F F F F F F F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation Correction to FDD/TDD Diff for NR PDCP Capabilities Removal of redefinition of MCS-PSSCH-Range-r15 Corrections to multiple SPS configurations after sTTI and HRLLC merge Clarification on measObjectNR of SFTD between PCell and PSCell Clarification on B events in EN-DC Correction on the measurement gaps for dense PRS Normative Annex of CRs Containing Early Implementable Features and Corrections Discard the AS context and Resumeld when initiating the establishment of a RRC Connection Clarification of features not supported in NB-IoT Additional Corrections to EDT in 36.331 Corrections to procedure upon Reception of the RRCConnectionSetup	15.4.0 15.4.0
RP-	7-82 7-82 7-82 7-82 7-82 7-82 7-82 7-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182678 RP-182653 RP-182653 RP-182657 RP-182670 RP-182670 RP-182650 RP-182670 RP-182671 RP-182672 RP-182680	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3666 3673 3674 3675 3676 3678 3679 3680 3681 3687	2 2 2 1 1 2 2 3 2 4 2 4 1 1 1 2 2 4 2 4 2 4 1 1 1 2 2 1 1 1 1	F F F F F F F F F F F F F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation Correction to FDD/TDD Diff for NR PDCP Capabilities Removal of redefinition of MCS-PSSCH-Range-r15 Corrections to multiple SPS configurations after sTTI and HRLLC merge Clarification on measObjectNR of SFTD between PCell and PSCell Clarification on B events in EN-DC Correction on the measurement gaps for dense PRS Normative Annex of CRs Containing Early Implementable Features and Corrections Discard the AS context and Resumeld when initiating the establishment of a RRC Connection Clarification of features not supported in NB-IoT Additional Corrections to EDT in 36.331 Corrections to procedure upon Reception of the RRCConnectionSetup Clarification for additional SRS symbols	15.4.0 15.4.0
RP-	7-82 7-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182653 RP-182678 RP-182679 RP-182650 RP-182670 RP-182670 RP-182670 RP-182670 RP-182670 RP-182670 RP-182670 RP-182670 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182681 RP-182682 RP-182649	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3666 3673 3674 3675 3676 3678 3680 3681 3687	2 2 2 1 1 2 2 3 2 4 2 4 1 1 1 2 2 4 2 4 2 4 1 1 1 2 2 1 1 1 1	F F F F F F F F F F F F F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation Correction to FDD/TDD Diff for NR PDCP Capabilities Removal of redefinition of MCS-PSSCH-Range-r15 Corrections to multiple SPS configurations after sTTI and HRLLC merge Clarification on measObjectNR of SFTD between PCell and PSCell Clarification on B events in EN-DC Correction on the measurement gaps for dense PRS Normative Annex of CRs Containing Early Implementable Features and Corrections Discard the AS context and Resumeld when initiating the establishment of a RRC Connection Clarification of features not supported in NB-IoT Additional Corrections to EDT in 36.331 Corrections to procedure upon Reception of the RRCConnectionSetup Clarification for additional SRS symbols Correction for E-UTRA connected to 5GC Procedures	15.4.0 15.4.0
RP-	7-82 7-82 7-82 7-82 7-82 7-82 7-82 7-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182650 RP-182679 RP-182650 RP-182670 RP-182670 RP-182670 RP-182670 RP-182670 RP-182670 RP-182670 RP-182670 RP-182670 RP-182680 RP-182670 RP-182680 RP-182670 RP-182680 RP-182680 RP-182681 RP-182682 RP-182649 RP-182678	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3666 3673 3674 3675 3678 3679 3680 3681 3687 3691 3692 3695 3698 3700	2 2 2 1 1 2 2 3 2 4 2 4 1 1 1 2 2 2 4 2 4 2 1 1 1 2 2 1 1 2 1 2	F F F F F F F F F F F F F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation Correction to FDD/TDD Diff for NR PDCP Capabilities Removal of redefinition of MCS-PSSCH-Range-r15 Corrections to multiple SPS configurations after sTTI and HRLLC merge Clarification on measObjectNR of SFTD between PCell and PSCell Clarification on B events in EN-DC Correction on the measurement gaps for dense PRS Normative Annex of CRs Containing Early Implementable Features and Corrections Discard the AS context and Resumeld when initiating the establishment of a RRC Connection Clarification of features not supported in NB-IoT Additional Corrections to EDT in 36.331 Corrections to procedure upon Reception of the RRCConnectionSetup Clarification for additional SRS symbols Correction for E-UTRA connected to 5GC Procedures CR on carrier frequency indication in SidelinkUEInformation	15.4.0 15.4.0
RP-	7-82 7-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182650 RP-182650 RP-182650 RP-182650 RP-182650 RP-182650 RP-182650 RP-182671 RP-182672 RP-182672 RP-182672 RP-182680 RP-182676 RP-182680 RP-182676 RP-182681 RP-182682 RP-182689 RP-182689 RP-182678 RP-182689	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3665 3673 3674 3675 3676 3678 3679 3680 3681 3687 3691 3692 3695 3697 3698 3700 3707	2 2 2 1 1 2 2 3 2 4 2 4 1 1 1 2 2 2 4 2 4 2 1 1 1 2 2 1 1 2 1 2	F F F F F F F F F F F F F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation Correction to FDD/TDD Diff for NR PDCP Capabilities Removal of redefinition of MCS-PSSCH-Range-r15 Corrections to multiple SPS configurations after sTTI and HRLLC merge Clarification on measObjectNR of SFTD between PCell and PSCell Clarification on the measurement gaps for dense PRS Normative Annex of CRs Containing Early Implementable Features and Corrections Discard the AS context and Resumeld when initiating the establishment of a RRC Connection Clarification of features not supported in NB-IoT Additional Corrections to EDT in 36.331 Corrections to procedure upon Reception of the RRCConnectionSetup Clarification for additional SRS symbols Correction For E-UTRA connected to 5GC Procedures CR on carrier frequency indication in SidelinkUEInformation Support of multiple UL SPS configurations and configuration of repetition	15.4.0 15.4.0
RP-	7-82 7-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182650 RP-182678 RP-182650 RP-182650 RP-182650 RP-182650 RP-182670	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3666 3673 3674 3675 3678 3679 3680 3681 3687 3691 3692 3695 3698 3700	2 2 2 1 1 2 2 3 2 4 2 4 1 1 1 2 2 2 4 2 4 2 1 1 1 2 2 1 1 2 1 2	F F F F F F F F F F F F F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI SkipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation Correction to FDD/TDD Diff for NR PDCP Capabilities Removal of redefinition of MCS-PSSCH-Range-r15 Corrections to multiple SPS configurations after sTTI and HRLLC merge Clarification on measObjectNR of SFTD between PCell and PSCell Clarification on B events in EN-DC Correction on the measurement gaps for dense PRS Normative Annex of CRs Containing Early Implementable Features and Corrections Discard the AS context and Resumeld when initiating the establishment of a RRC Connection Clarification of features not supported in NB-IoT Additional Corrections to EDT in 36.331 Corrections to procedure upon Reception of the RRCConnectionSetup Clarification for additional SRS symbols Correction for E-UTRA connected to 5GC Procedures CR on carrier frequency indication in SidelinkUEInformation Support of multiple UL SPS configurations and configuration of repetition Clarification on s-Measure for IDLE mode measurements	15.4.0 15.4.0
RP-	7-82 7-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182650 RP-182650 RP-182650 RP-182650 RP-182650 RP-182650 RP-182650 RP-182671 RP-182672 RP-182672 RP-182672 RP-182680 RP-182676 RP-182680 RP-182676 RP-182681 RP-182682 RP-182689 RP-182689 RP-182678 RP-182689	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3666 3673 3674 3675 3676 3678 3679 3680 3681 3687 3691 3692 3695 3697 3698 3700 3707 3708	2 2 2 1 1 1 2 2 3 2 4 2 4 1 3 1 1 2 2 1 2 1 2 1 1 2 1 2 1 1 2 1 2	F F F F F F F F F F F F F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation Correction to FDD/TDD Diff for NR PDCP Capabilities Removal of redefinition of MCS-PSSCH-Range-r15 Corrections to multiple SPS configurations after sTTI and HRLLC merge Clarification on measObjectNR of SFTD between PCell and PSCell Clarification on the measurement gaps for dense PRS Normative Annex of CRs Containing Early Implementable Features and Corrections Discard the AS context and Resumeld when initiating the establishment of a RRC Connection Clarification of features not supported in NB-IoT Additional Corrections to EDT in 36.331 Corrections to procedure upon Reception of the RRCConnectionSetup Clarification for additional SRS symbols Correction For E-UTRA connected to 5GC Procedures CR on carrier frequency indication in SidelinkUEInformation Support of multiple UL SPS configurations and configuration of repetition	15.4.0 15.4.0
RP-	7-82 7-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182650 RP-182678 RP-182650 RP-182650 RP-182650 RP-182650 RP-182670	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3666 3673 3674 3675 3676 3678 3679 3680 3681 3687 3691 3692 3695 3697 3698 3700 3707 3708	2 2 2 1 1 1 2 2 3 2 4 2 4 1 3 1 1 2 2 1 2 1 2 1 1 2 1 2 1 1 2 1 2	F F F F F F F F F F F F F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation Correction to FDD/TDD Diff for NR PDCP Capabilities Removal of redefinition of MCS-PSSCH-Range-r15 Corrections to multiple SPS configurations after sTTI and HRLLC merge Clarification on measObjectNR of SFTD between PCell and PSCell Clarification on B events in EN-DC Correction on the measurement gaps for dense PRS Normative Annex of CRs Containing Early Implementable Features and Corrections Discard the AS context and Resumeld when initiating the establishment of a RRC Connection Clarification of features not supported in NB-IoT Additional Corrections to EDT in 36.331 Corrections to procedure upon Reception of the RRCConnectionSetup Clarification for additional SRS symbols Correction for E-UTRA connected to 5GC Procedures CR on carrier frequency indication in SidelinkUEInformation Support of multiple UL SPS configurations and configuration of repetition Clarification on s-Measure for IDLE mode measurements Indications of RRC connection resumption and establishment to upper layers during EDT	15.4.0 15.4.0
RP-	7-82 7-82	RP-182675 RP-182679 RP-182679 RP-182674 RP-182674 RP-182674 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182680 RP-182653 RP-182678 RP-182679 RP-182650 RP-182671 RP-182672 RP-182670 RP-182672 RP-182670	3653 3654 3655 3656 3657 3658 3660 3661 3663 3665 3666 3673 3674 3675 3676 3678 3679 3680 3681 3687 3691 3692 3695 3695 3698 3700 3707 3708 3709	2 2 2 1 1 1 2 2 3 2 4 4 2 4 1 1 2 2 1 1 2 2 1 1 2 1 2 1 2	F F F F F F F F F F F F F F F F F F F	Corrections for E-UTRA connected to 5GC Corrections on time reference information Correction on UE behaviour about referenceSFN Correction on flight path information Correction on measurement triggering based on number of cells Correction on triggering idle mode measurement SPS for TDD sTTI skipUplinkTxSPS for short TTI option 1 correction on power control Clarification for SLSS_TxDisabled Correction for sTTI CR to 36.331 on the ambiguity of CellIdentity in Resume/Short MAC-I calculation Correction to FDD/TDD Diff for NR PDCP Capabilities Removal of redefinition of MCS-PSSCH-Range-r15 Corrections to multiple SPS configurations after sTTI and HRLLC merge Clarification on measObjectNR of SFTD between PCell and PSCell Clarification on the measurement gaps for dense PRS Normative Annex of CRs Containing Early Implementable Features and Corrections Discard the AS context and Resumeld when initiating the establishment of a RRC Connection Clarification of features not supported in NB-IoT Additional Corrections to EDT in 36.331 Corrections to procedure upon Reception of the RRCConnectionSetup Clarification for additional SRS symbols Correction For E-UTRA connected to 5GC Procedures CR on carrier frequency indication in SidelinkUEInformation Support of multiple UL SPS configurations and configuration of repetition Clarification on S-Measure for IDLE mode measurements Indications of RRC connection resumption and establishment to upper	15.4.0 15.4.0

		RP-182651	3714		F	MO configuration with SSB SCS for a given SSB frequency	15.4.0
	RP-82	RP-182677	3717		Α	UL power control information for PUCCH format 4/5 in SIB	15.4.0
	RP-82	RP-182676	3718	1	F	Ignore NCC on reception of resume message	15.4.0
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		RP-182675	3746	1	F	Corrections for Inter-system intra-E-UTRA handover in TS36.331	15.4.0
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		RP-182679	3751	_	F	RRC corrections for URLLC	15.4.0
		RP-182679	3752	2	F	Clarification of primary and secondary RLC entity	15.4.0
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	RP-82	RP-182666	3764	2	F	CR to 36.331 on corrections related to inter-RAT CGI reporting towards	15.4.0
						NR	
	RP-82	RP-182671	3769	3	F	Correction on the use of PRACH resource pool for EDT	15.4.0
		RP-182667	3775	2	F	Correction concerning IDC reporting	15.4.0
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		RP-182668	3779	2	F	Correction to UE capability procedures in 36.331	15.4.0
		RP-182654	3781		F	CR to 36.331 on reporting of NR serving frequencies which the UE is not	15.4.0
	111 02	102001	0.01		ľ	configured to measure	10.1.0
	RP-82	RP-182666	3787	2	F	Correction to description of parameter CarrierFreq	15.4.0
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		RP-182676	3794	1	F	Introducing PDCP suspend procedure	15.4.0
		RP-182681	3796	1	F	Clarification to UE states for EDT	15.4.0
		RP-182667	3799	2	F	CR on PSCell (SPCell of SN) change (36.331)	15.4.0
		RP-182674	3800	3	F	Signalling of CRS IM and CCH-IM for UE cat 1bis and cat M2	15.4.0
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		RP-182662	3807		F	Correction on the terminology scg-ChangeFailure	15.4.0
		RP-182662	3808		F	CR to 36331 on release after completion of inter-RAT HO	15.4.0
		RP-182662	3809		F	Clarification on supportedMIMO-CapabilityDL-r15	15.4.0
	RP-82	RP-182663	3810		F	CR to 36.331 on alignment of use of fullI-RNTI and I-RNTI in paging and	15.4.0
						InactiveConfig (Alt.2)	
	RP-82	RP-182667	3811	1	F	Clarification on the candidateCellInfoListNR in RRM-Config	15.4.0
	RP-82	RP-182676	3812		F	TS36.331 CR on [104#23][LTE/5GC] Capture NR agreements	15.4.0
	RP-82		3813		F	Addition of SRB duplication in SCG	15.4.0
			3785	3	F	CR to mandate FGI 103 and 104	15.5.0
		RP-190550	3818	2	F	Clarification on RRC connection resume	15.5.0
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		RP-190637	3821	3	F.	CR to 36.331 on clarification of autonomous gap in EN-DC	15.5.0
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				1	F	Clarification for EN-DC SN change scenario	
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		RP-190551	3833	1	F	Clarification to MeasResults for IDLE mode measurements	15.5.0
		RP-190551	3834	2	F	Corrections to SCell group handling	15.5.0
		RP-190551	3836	1	F	Clarification of mode 3 sensing parameter in TS 36.331	15.5.0
		RP-190549	3839	1	Α	Correction to systemInformationBlockType2Dedicated	15.5.0
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	RP-83	RP-190547	3843	1	Α	Missing inter-node SCG field	15.5.0
		RP-190542	3849	1	F	NR UE capability filtering in E-UTRAN	15.5.0
		RP-190551	3857	3	F	Removal of parameter alpha in WUS configuration	15.5.0
		RP-190550	3858	1	F	Miscellaneous Corrections for eLTE	15.5.0
		RP-190549	3860	-	Α	Correction on UE capability signalling for simultaneous antenna and carrier	15.5.0
						switching	-
	RP-83	RP-190553	3861	1	F	UE capability for eLCID support	15.5.0
		RP-190542	3866	1	F	Corrections on NR NS-Pmax and frequency band list configuration in	15.5.0
	55	100072	5500	Ι΄	[	SIB24	10.0.0
	RP-83	RP-190552	3872	1	F	Correction on SPUCCH-Config	15.5.0
		RP-190550	3873	<u> </u>	F	Correction on the field description of h1-ThresholdOffset	15.5.0
		RP-190550	3874	1	F	Correction on QoE measurement collection for LTE	15.5.0
				1		Correction on Qoe measurement collection for LTE  Clarification to Permitted MaxCID for ROHC and Uplink-Only ROHC	
L !		RP-190549	3875	1	A F	Small corrections on TS 36.331	15.5.0 15.5.0
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		RP-190550 RP-190551	3878 3879	2	F	Clarifications on mixed operation mode	15.5.0

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		RP-190551	3882	-	F	Corrections to TDD parameters - Option 2	15.5.0
		RP-190551	3883	1	F	Correction to carrierFreqOffset in TDD	15.5.0
		RP-190553	3886	1	F	Corrections for MBMS reception in Receive Only Mode (ROM)	15.5.0
	RP-83	RP-190542	3887	1	F	Minor NR related changes to 36331	15.5.0
	RP-83	RP-190550	3890	1	F	Introduction of UE capabilities on DMRS overhead reduction	15.5.0
	RP-83	RP-190540	3891	-	F	Correction to Q-QualMin value range	15.5.0
	RP-83	RP-190542	3892	1	F	Supporting bearer type change with LCID change	15.5.0
		RP-190551	3897	3	F	Correction to field description in IE RSS-Config	15.5.0
		RP-190546	3889	2	F	Changes related to CA and or DC duplication	15.5.0
		RP-190548	3899	2	F	Update description of ack-NACK-NumRepetitions	15.5.0
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		RP-190543	3902	1	F	Release and addition of the DRB	15.5.0
			1	2	F	Clarification on counter check procedure for eLTE	15.5.0
		RP-190550	3908				
		RP-190551	3910	1	F	Miscellaneous CRs for euCA	15.5.0
		RP-190549	3912	-	A	Clarification on ssp mapping rules for ssp10-CRS-LessDwPTS	15.5.0
	RP-83	RP-190550	3913	1	F	Correction to simultaneous configuration of altCQI-Table-1024QAM and	15.5.0
						altCQI-Table	
		RP-190550	3914	-	F	DL 1024QAM capability in FeatureSetsEUTRA	15.5.0
		RP-190550	3918	3	F	Correction to fallback to the RRC connection establishment	15.5.0
	RP-83	RP-190550	3929	3	F	Addition of missing condition in SCell release	15.5.0
	RP-83	RP-190542	3932	1	F	Clearing of SFTD measurements at handover and re-establishment	15.5.0
		RP-190543	3937	2	F	Introducation of tdm-PatternConfig and p-MaxEUTRA in AS-Config	15.5.0
		RP-190541	3938	-	F	Corrections on FeatureSetDL-PerCC-Id and FeatureSetUL-PerCC-Id	15.5.0
		RP-190549	3940	ļ-	Α	UE capability for support of special subframe configuration 10 with TDD-	15.5.0
	50		23.0		1	Ionly CA	
	RP-83	RP-190543	3941	<u> </u>	F	CR to 36.331 on clarification of gap release during HO	15.5.0
		RP-190550	3942	<del> </del>	F	Capture NR agreements into eLTE	15.5.0
04/2019		141 - 190000	JJ42	1	-	Correction to the implementation of CR#3913	15.5.0
		RP-191378	3947	3	F	Corrections to SIB24 configuration on SS-RSSI measurements	15.6.0
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-		RP-191375	3953	3	F	CR to 36.331 on SFTD measurement	15.6.0
		RP-191385	3956	2	F	Correction of TDD UL DL Alignment offset	15.6.0
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	RP-84	RP-191381	3958	5	F	Alignment of definition of upperLayerIndication with the definition in the	15.6.0
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