

# ETSI TS 136 331 V11.1.0 (2012-11)



Technical Specification

**LTE;  
Evolved Universal Terrestrial Radio Access (E-UTRA);  
Radio Resource Control (RRC);  
Protocol specification  
(3GPP TS 36.331 version 11.1.0 Release 11)**



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**Reference**

RTS/TSGR-0236331vb10

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**Keywords**

LTE

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## Foreword

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# Foreword

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

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# 1 Scope

The present document specifies the Radio Resource Control protocol for the radio interface between UE and E-UTRAN as well as for the radio interface between RN and E-UTRAN.

The scope of the present document also includes:

- the radio related information transported in a transparent container between source eNB and target eNB upon inter eNB handover;
- the radio related information transported in a transparent container between a source or target eNB and another system upon inter RAT handover.

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# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] Void.
- [3] 3GPP TS 36.302: "Evolved Universal Terrestrial Radio Access (E-UTRA); Services provided by the physical layer".
- [4] 3GPP TS 36.304: "Evolved Universal Terrestrial Radio Access (E-UTRA); UE Procedures in Idle Mode".
- [5] 3GPP TS 36.306 "Evolved Universal Terrestrial Radio Access (E-UTRA); UE Radio Access Capabilities".
- [6] 3GPP TS 36.321: "Evolved Universal Terrestrial Radio Access (E-UTRA); Medium Access Control (MAC) protocol specification".
- [7] 3GPP TS 36.322: "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Link Control (RLC) protocol specification".
- [8] 3GPP TS 36.323: "Evolved Universal Terrestrial Radio Access (E-UTRA); Packet Data Convergence Protocol (PDCP) Specification".
- [9] 3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access (E-UTRAN); Overall description; Stage 2".
- [10] 3GPP TS 22.011: "Service accessibility".
- [11] 3GPP TS 23.122: "Non-Access-Stratum (NAS) functions related to Mobile Station (MS) in idle mode".
- [12] 3GPP2 C.S0002-A v6.0: "Physical Layer Standard for cdma2000 Spread Spectrum Systems – Release A".

- [13] ITU-T Recommendation X.680 (07/2002) "Information Technology - Abstract Syntax Notation One (ASN.1): Specification of basic notation" (Same as the ISO/IEC International Standard 8824-1).
- [14] ITU-T Recommendation X.681 (07/2002) "Information Technology - Abstract Syntax Notation One (ASN.1): Information object specification" (Same as the ISO/IEC International Standard 8824-2).
- [15] ITU-T Recommendation X.691 (07/2002) "Information technology - ASN.1 encoding rules: Specification of Packed Encoding Rules (PER)" (Same as the ISO/IEC International Standard 8825-2).
- [16] 3GPP TS 36.133: "Evolved Universal Terrestrial Radio Access (E-UTRA); Requirements for support of radio resource management".
- [17] 3GPP TS 25.101: "Universal Terrestrial Radio Access (UTRA); User Equipment (UE) radio transmission and reception (FDD)".
- [18] 3GPP TS 25.102: "Universal Terrestrial Radio Access (UTRA); User Equipment (UE) radio transmission and reception (TDD)".
- [19] 3GPP TS 25.331: "Universal Terrestrial Radio Access (UTRA); Radio Resource Control (RRC); Protocol specification".
- [20] 3GPP TS 45.005: "Radio transmission and reception".
- [21] 3GPP TS 36.211: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical Channels and Modulation".
- [22] 3GPP TS 36.212: "Evolved Universal Terrestrial Radio Access (E-UTRA); Multiplexing and channel coding".
- [23] 3GPP TS 36.213: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer procedures".
- [24] 3GPP2 C.S0057-E v1.0: "Band Class Specification for cdma2000 Spread Spectrum Systems".
- [25] 3GPP2 C.S0005-A v6.0: "Upper Layer (Layer 3) Signaling Standard for cdma2000 Spread Spectrum Systems – Release A, Addendum 2".
- [26] 3GPP2 C.S0024-A v3.0: "cdma2000 High Rate Packet Data Air Interface Specification".
- [27] 3GPP TS 23.003: "Numbering, addressing and identification".
- [28] 3GPP TS 45.008: "Radio subsystem link control".
- [29] 3GPP TS 25.133: "Requirements for Support of Radio Resource Management (FDD)".
- [30] 3GPP TS 25.123: "Requirements for Support of Radio Resource Management (TDD)".
- [31] 3GPP TS 36.401: "Evolved Universal Terrestrial Radio Access (E-UTRA); Architecture description".
- [32] 3GPP TS 33.401: "3GPP System Architecture Evolution (SAE); Security architecture".
- [33] 3GPP2 A.S0008-C v4.0: "Interoperability Specification (IOS) for High Rate Packet Data (HRPD) Radio Access Network Interfaces with Session Control in the Access Network"
- [34] 3GPP2 C.S0004-A v6.0: "Signaling Link Access Control (LAC) Standard for cdma2000 Spread Spectrum Systems – Addendum 2"
- [35] 3GPP TS 24.301: "Non-Access-Stratum (NAS) protocol for Evolved Packet System (EPS); Stage 3".
- [36] 3GPP TS 44.060: "General Packet Radio Service (GPRS); Mobile Station (MS) - Base Station System (BSS) interface; Radio Link Control/Medium Access Control (RLC/MAC) protocol".

- [37] 3GPP TS 23.041: "Technical realization of Cell Broadcast Service (CBS)".
- [38] 3GPP TS 23.038: "Alphabets and Language".
- [39] 3GPP TS 36.413: "Evolved Universal Terrestrial Radio Access (E-UTRAN); S1 Application Protocol (S1 AP)".
- [40] 3GPP TS 25.304: "Universal Terrestrial Radio Access (UTRAN); User Equipment (UE) procedures in idle mode and procedures for cell reselection in connected mode".
- [41] 3GPP TS 23.401: "General Packet Radio Service (GPRS) enhancements for Evolved Universal Terrestrial Radio Access Network (E-UTRAN) access".
- [42] 3GPP TS 36.101: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio transmission and reception".
- [43] 3GPP TS 44.005: "Data Link (DL) Layer General Aspects".
- [44] 3GPP2 C.S0087-A v1.0: "E-UTRAN - cdma2000 HRPD Connectivity and Interworking: Air Interface Specification"
- [45] 3GPP TS 44.018: "Mobile radio interface layer 3 specification; Radio Resource Control (RRC) protocol".
- [46] 3GPP TS 25.223: "Spreading and modulation (TDD)".
- [47] 3GPP TS 36.104: "Evolved Universal Terrestrial Radio Access (E-UTRA); Base Station (BS) radio transmission and reception".
- [48] 3GPP TS 36.214: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer - Measurements".
- [49] 3GPP TS 24.008: "Mobile radio interface layer 3 specification; Core network protocols; Stage 3".
- [50] 3GPP TS 45.010: "Radio subsystem synchronization".
- [51] 3GPP TS 23.272: "Circuit Switched Fallback in Evolved Packet System; Stage 2".
- [52] 3GPP TS 29.061: "Interworking between the Public Land Mobile Network (PLMN) supporting packet based services and Packet Data Networks (PDN)".
- [53] 3GPP2 C.S0097-0 v2.0: "E-UTRAN - cdma2000 1x Connectivity and Interworking Air Interface Specification".
- [54] 3GPP TS 36.355: "LTE Positioning Protocol (LPP)".
- [55] 3GPP TS 36.216: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer for relaying operation".
- [56] 3GPP TS 23.246: "Multimedia Broadcast/Multicast Service (MBMS); Architecture and functional description".
- [57] 3GPP TS 26.346: "Multimedia Broadcast/Multicast Service (MBMS); Protocols and codecs".
- [58] 3GPP TS 32.422: "Telecommunication management; Subscriber and equipment trace; Trace control and configuration management".
- [59] 3GPP TS 22.368: "Service Requirements for Machine Type Communications; Stage 1".
- [60] 3GPP TS 37.320: "Universal Terrestrial Radio Access (UTRA) and Evolved Universal Terrestrial Radio Access (E-UTRA); Radio measurement collection for Minimization of Drive Tests (MDT); Overall description; Stage 2".
- [61] 3GPP TS 23.216: "Single Radio Voice Call Continuity (SRVCC); Stage 2".
- [62] 3GPP TS 22.146: "Multimedia Broadcast/Multicast Service (MBMS); Stage 1".

- [63] 3GPP TR 36.816: "Evolved Universal Terrestrial Radio Access (E-UTRA); Study on signalling and procedure for interference avoidance for in-device coexistence".

## 3 Definitions, symbols and abbreviations

### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

**Commercial Mobile Alert System:** Public Warning System that delivers *Warning Notifications* provided by *Warning Notification Providers* to CMAS capable UEs.

**CSG member cell:** for a UE in RRC\_CONNECTED, a cell broadcasting the identity of the Registered PLMN or Equivalent PLMN and for which CSG whitelist of the UE includes an entry comprising of cell's CSG ID and the respective PLMN identity.

**EU-Alert:** Public Warning System that delivers Warning Notifications provided by Warning Notification Providers using the same AS mechanisms as defined for CMAS.

**Field:** The individual contents of an information element are referred as fields.

**Floor:** Mathematical function used to 'round down' i.e. to the nearest integer having a lower value.

**Information element:** A structural element containing a single or multiple fields is referred as information element.

**Korean Public Alert System (KPAS):** Public Warning System that delivers Warning Notifications provided by Warning Notification Providers using the same AS mechanisms as defined for CMAS.

**MBMS service:** MBMS bearer service as defined in TS 23.246 [56] (i.e. provided via an MRB).

**Primary Cell:** the cell, operating on the primary frequency, in which the UE either performs the initial connection establishment procedure or initiates the connection re-establishment procedure, or the cell indicated as the primary cell in the handover procedure.

**Primary Timing Advance Group:** Timing Advance group containing the PCell.

**Secondary Cell:** a cell, operating on a secondary frequency, which may be configured once an RRC connection is established and which may be used to provide additional radio resources.

**Secondary Timing Advance Group:** Timing Advance group not containing the PCell. A secondary timing advance group contains at least one cell with configured uplink.

**Serving Cell:** For a UE in RRC\_CONNECTED not configured with CA there is only one serving cell comprising of the primary cell. For a UE in RRC\_CONNECTED configured with CA the term 'serving cells' is used to denote the set of one or more cells comprising of the primary cell and all secondary cells.

**Timing Advance Group:** a group of serving cells that is configured by RRC and that, for the cells with an UL configured, use the same timing reference cell and the same Timing Advance value.

### 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

1xRTT	CDMA2000 1x Radio Transmission Technology
AM	Acknowledged Mode
ASN.1	Abstract Syntax Notation One
ARQ	Automatic Repeat Request
AS	Access Stratum

BCCH	Broadcast Control Channel
BCD	Binary Coded Decimal
BCH	Broadcast Channel
CA	Carrier Aggregation
CCCH	Common Control Channel
CCO	Cell Change Order
CMAS	Commercial Mobile Alert Service
CP	Control Plane
C-RNTI	Cell RNTI
CSFB	CS fallback
CSG	Closed Subscriber Group
DCCH	Dedicated Control Channel
DRB	(user) Data Radio Bearer
DRX	Discontinuous Reception
DTCH	Dedicated Traffic Channel
DL	Downlink
DL-SCH	Downlink Shared Channel
EAB	Extended Access Barring
ETWS	Earthquake and Tsunami Warning System
E-UTRA	Evolved Universal Terrestrial Radio Access
E-UTRAN	Evolved Universal Terrestrial Radio Access Network
ENB	Evolved Node B
EPC	Enhanced Packet Core
EHPLMN	Equivalent Home Public Land Mobile Network
EPS	Enhanced Packet System
FDD	Frequency Division Duplex
FFS	For Further Study
GERAN	GSM/EDGE Radio Access Network
GNSS	Global Navigation Satellite System
GSM	Global System for Mobile Communications
HARQ	Hybrid Automatic Repeat Request
HPLMN	Home Public Land Mobile Network
HRPD	CDMA2000 High Rate Packet Data
IDC	In-Device Coexistence
IE	Information element
IMEI	International Mobile Equipment Identity
IMSI	International Mobile Subscriber Identity
ISM	Industrial, Scientific and Medical
kB	Kilobyte (1000 bytes)
L1	Layer 1
L2	Layer 2
L3	Layer 3
MAC	Medium Access Control
MBMS	Multimedia Broadcast Multicast Service
MBSFN	Multimedia Broadcast multicast service Single Frequency Network
MDT	Minimization of Drive Tests
MIB	Master Information Block
MO	Mobile Originating
MT	Mobile Terminating
MRB	MBMS Point to Multipoint Radio Bearer
MSI	MCH Scheduling Information
N/A	Not Applicable
NACC	Network Assisted Cell Change
NAS	Non Access Stratum
PCCH	Paging Control Channel
PCell	Primary Cell
PDU	Protocol Data Unit
PDCP	Packet Data Convergence Protocol
PLMN	Public Land Mobile Network
QoS	Quality of Service
RACH	Random Access CHannel
RAT	Radio Access Technology

RB	Radio Bearer
RLC	Radio Link Control
RN	Relay Node
RNTI	Radio Network Temporary Identifier
RPLMN	Registered Public Land Mobile Network
RRC	Radio Resource Control
RSCP	Received Signal Code Power
RSRP	Reference Signal Received Power
RSSI	Received Signal Strength Indicator
SAE	System Architecture Evolution
SAP	Service Access Point
SCell	Secondary Cell
SFN	System Frame Number
SI	System Information
SIB	System Information Block
SI-RNTI	System Information RNTI
SPS	Semi-Persistent Scheduling
SRB	Signalling Radio Bearer
SSAC	Service Specific Access Control
S-TMSI	SAE Temporary Mobile Station Identifier
TA	Tracking Area
TAG	Timing Advance Group
TDD	Time Division Duplex
TDM	Time Division Multiplexing
TM	Transparent Mode
TPC-RNTI	Transmit Power Control RNTI
UE	User Equipment
UICC	Universal Integrated Circuit Card
UL	Uplink
UM	Unacknowledged Mode
UL-SCH	Uplink Shared Channel
UP	User Plane
UTRAN	Universal Terrestrial Radio Access Network
VoLTE	Voice over Long Term Evolution

In the ASN.1, lower case may be used for some (parts) of the above abbreviations e.g. c-RNTI

---

## 4 General

### 4.1 Introduction

In this specification, (parts of) procedures and messages specified for the UE equally apply to the RN for functionality necessary for the RN. There are also (parts of) procedures and messages which are only applicable to the RN in its communication with the E-UTRAN, in which case the specification denotes the RN instead of the UE. Such RN-specific aspects are not applicable to the UE.

This specification is organised as follows:

- sub-clause 4.2 describes the RRC protocol model;
- sub-clause 4.3 specifies the services provided to upper layers as well as the services expected from lower layers;
- sub-clause 4.4 lists the RRC functions;
- clause 5 specifies RRC procedures, including UE state transitions;
- clause 6 specifies the RRC message in a mixed format (i.e. tabular & ASN.1 together);
- clause 7 specifies the variables (including protocol timers and constants) and counters to be used by the UE;
- clause 8 specifies the encoding of the RRC messages;

- clause 9 specifies the specified and default radio configurations;
- clause 10 specifies the RRC messages transferred across network nodes;
- clause 11 specifies the UE capability related constraints and performance requirements.

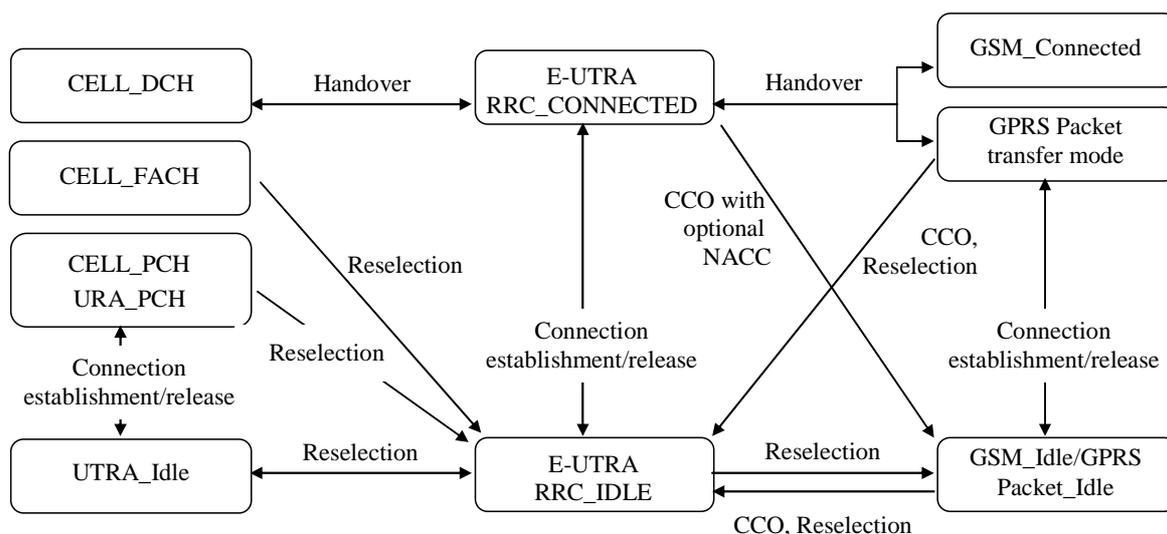
## 4.2 Architecture

### 4.2.1 UE states and state transitions including inter RAT

A UE is in RRC\_CONNECTED when an RRC connection has been established. If this is not the case, i.e. no RRC connection is established, the UE is in RRC\_IDLE state. The RRC states can further be characterised as follows:

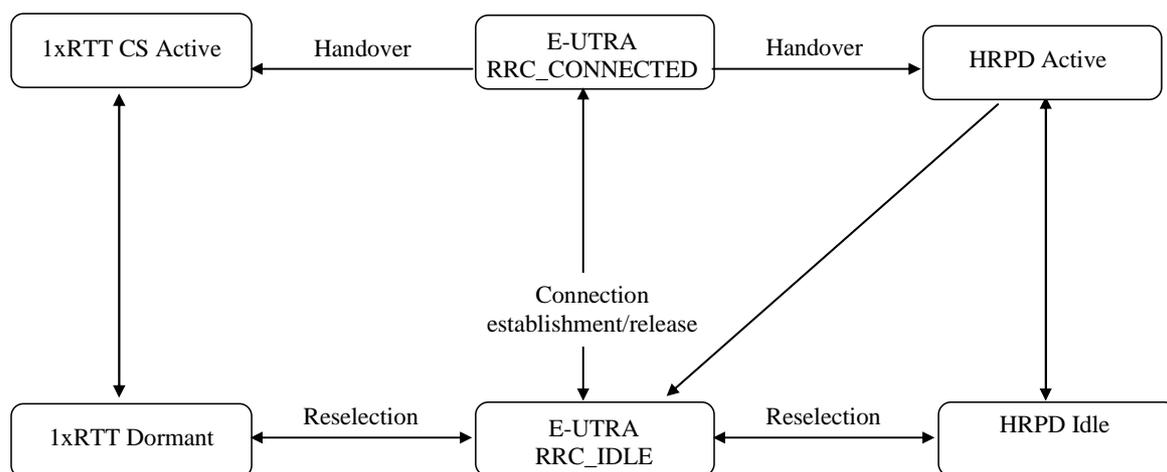
- **RRC\_IDLE:**
  - A UE specific DRX may be configured by upper layers.
  - UE controlled mobility;
  - The UE:
    - Monitors a Paging channel to detect incoming calls, system information change, for ETWS capable UEs, ETWS notification, and for CMAS capable UEs, CMAS notification;
    - Performs neighbouring cell measurements and cell (re-)selection;
    - Acquires system information.
    - Performs logging of available measurements together with location and time for logged measurement configured UEs.
- **RRC\_CONNECTED:**
  - Transfer of unicast data to/from UE.
  - At lower layers, the UE may be configured with a UE specific DRX.
  - For UEs supporting CA, use of one or more SCells, aggregated with the PCell, for increased bandwidth;
  - Network controlled mobility, i.e. handover and cell change order with optional network assistance (NACC) to GERAN;
  - The UE:
    - Monitors a Paging channel and/ or System Information Block Type 1 contents to detect system information change, for ETWS capable UEs, ETWS notification, and for CMAS capable UEs, CMAS notification;
    - Monitors control channels associated with the shared data channel to determine if data is scheduled for it;
    - Provides channel quality and feedback information;
    - Performs neighbouring cell measurements and measurement reporting;
    - Acquires system information.

The following figure not only provides an overview of the RRC states in E-UTRA, but also illustrates the mobility support between E-UTRAN, UTRAN and GERAN.



**Figure 4.2.1-1: E-UTRA states and inter RAT mobility procedures, 3GPP**

The following figure illustrates the mobility support between E-UTRAN, CDMA2000 1xRTT and CDMA2000 HRPD. The details of the CDMA2000 state models are out of the scope of this specification.



**Figure 4.2.1-2: Mobility procedures between E-UTRA and CDMA2000**

The inter-RAT handover procedure(s) supports the case of signalling, conversational services, non-conversational services and combinations of these.

In addition to the state transitions shown in Figure 4.2.1-1 and Figure 4.2.1-2, there is support for connection release with redirection information from E-UTRA RRC\_CONNECTED to GERAN, UTRAN and CDMA2000 (HRPD Idle/ 1xRTT Dormant mode).

### 4.2.2 Signalling radio bearers

"Signalling Radio Bearers" (SRBs) are defined as Radio Bearers (RB) that are used only for the transmission of RRC and NAS messages. More specifically, the following three SRBs are defined:

- SRB0 is for RRC messages using the CCCH logical channel;
- SRB1 is for RRC messages (which may include a piggybacked NAS message) as well as for NAS messages prior to the establishment of SRB2, all using DCCH logical channel;
- SRB2 is for RRC messages which include logged measurement information as well as for NAS messages, all using DCCH logical channel. SRB2 has a lower-priority than SRB1 and is always configured by E-UTRAN after security activation.

In downlink piggybacking of NAS messages is used only for one dependant (i.e. with joint success/ failure) procedure: bearer establishment/ modification/ release. In uplink NAS message piggybacking is used only for transferring the initial NAS message during connection setup.

NOTE: The NAS messages transferred via SRB2 are also contained in RRC messages, which however do not include any RRC protocol control information.

Once security is activated, all RRC messages on SRB1 and SRB2, including those containing NAS or non-3GPP messages, are integrity protected and ciphered by PDCP. NAS independently applies integrity protection and ciphering to the NAS messages.

## 4.3 Services

### 4.3.1 Services provided to upper layers

The RRC protocol offers the following services to upper layers:

- Broadcast of common control information;
- Notification of UEs in RRC\_IDLE, e.g. about a terminating call, for ETWS, for CMAS;
- Transfer of dedicated control information, i.e. information for one specific UE.

### 4.3.2 Services expected from lower layers

In brief, the following are the main services that RRC expects from lower layers:

- PDCP: integrity protection and ciphering;
- RLC: reliable and in-sequence transfer of information, without introducing duplicates and with support for segmentation and concatenation.

Further details about the services provided by Packet Data Convergence Protocol layer (e.g. integrity and ciphering) are provided in TS 36.323 [8]. The services provided by Radio Link Control layer (e.g. the RLC modes) are specified in TS 36.322 [7]. Further details about the services provided by Medium Access Control layer (e.g. the logical channels) are provided in TS 36.321 [6]. The services provided by physical layer (e.g. the transport channels) are specified in TS 36.302 [3].

## 4.4 Functions

The RRC protocol includes the following main functions:

- Broadcast of system information:
  - Including NAS common information;
  - Information applicable for UEs in RRC\_IDLE, e.g. cell (re-)selection parameters, neighbouring cell information and information (also) applicable for UEs in RRC\_CONNECTED, e.g. common channel configuration information.
  - Including ETWS notification, CMAS notification;
- RRC connection control:

- Paging;
- Establishment/ modification/ release of RRC connection, including e.g. assignment/ modification of UE identity (C-RNTI), establishment/ modification/ release of SRB1 and SRB2, access class barring;
- Initial security activation, i.e. initial configuration of AS integrity protection (SRBs) and AS ciphering (SRBs, DRBs);
- For RNs, configuration of AS integrity protection for DRBs;
- RRC connection mobility including e.g. intra-frequency and inter-frequency handover, associated security handling, i.e. key/ algorithm change, specification of RRC context information transferred between network nodes;
- Establishment/ modification/ release of RBs carrying user data (DRBs);
- Radio configuration control including e.g. assignment/ modification of ARQ configuration, HARQ configuration, DRX configuration;
- For RNs, RN-specific radio configuration control for the radio interface between RN and E-UTRAN;
- In case of CA, cell management including e.g. change of PCell, addition/ modification/ release of SCell(s) and addition/modification/release of secondary TAG(s);
- QoS control including assignment/ modification of semi-persistent scheduling (SPS) configuration information for DL and UL, assignment/ modification of parameters for UL rate control in the UE, i.e. allocation of a priority and a prioritised bit rate (PBR) for each RB;
- Recovery from radio link failure;
- Inter-RAT mobility including e.g. security activation, transfer of RRC context information;
- Measurement configuration and reporting:
  - Establishment/ modification/ release of measurements (e.g. intra-frequency, inter-frequency and inter- RAT measurements);
  - Setup and release of measurement gaps;
  - Measurement reporting;
- Other functions including e.g. transfer of dedicated NAS information and non-3GPP dedicated information, transfer of UE radio access capability information, support for E-UTRAN sharing (multiple PLMN identities);
- Generic protocol error handling;
- Support of self-configuration and self-optimisation;
- Support of measurement logging and reporting for network performance optimisation [60];

NOTE: Random access is specified entirely in the MAC including initial transmission power estimation.

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## 5 Procedures

### 5.1 General

#### 5.1.1 Introduction

The procedural requirements are structured according to the main functional areas: system information (5.2), connection control (5.3), inter-RAT mobility (5.4) and measurements (5.5). In addition sub-clause 5.6 covers other aspects e.g. NAS dedicated information transfer, UE capability transfer, sub-clause 5.7 specifies the generic error handling, sub-clause 5.8 covers MBMS and sub-clause 5.9 covers RN-specific procedures.

## 5.1.2 General requirements

The UE shall:

- 1> process the received messages in order of reception by RRC, i.e. the processing of a message shall be completed before starting the processing of a subsequent message;

NOTE 1: E-UTRAN may initiate a subsequent procedure prior to receiving the UE's response of a previously initiated procedure.

- 1> within a sub-clause execute the steps according to the order specified in the procedural description;

- 1> consider the term 'radio bearer' (RB) to cover SRBs and DRBs but not MRBs unless explicitly stated otherwise;

- 1> set the *rrc-TransactionIdentifier* in the response message, if included, to the same value as included in the message received from E-UTRAN that triggered the response message;

- 1> upon receiving a choice value set to *setup*:

- 2> apply the corresponding received configuration and start using the associated resources, unless explicitly specified otherwise;

- 1> upon receiving a choice value set to *release*:

- 2> clear the corresponding configuration and stop using the associated resources;

- 1> upon handover to E-UTRA; or

- 1> upon receiving an *RRCConnectionReconfiguration* message including the *fullConfig*:

- 2> apply the Conditions in the ASN.1 for inclusion of the fields for the DRB/PDCP/RLC setup during the reconfiguration of the DRBs included in the *drb-ToAddModList*;

NOTE 2: At each point in time, the UE keeps a single value for each field except for during handover when the UE temporarily stores the previous configuration so it can revert back upon handover failure. In other words: when the UE reconfigures a field, the existing value is released except for during handover.

NOTE 3: Although not explicitly stated, the UE initially considers all functionality to be deactivated/ released until it is explicitly stated that the functionality is setup/ activated. Correspondingly, the UE initially considers lists to be empty e.g. the list of radio bearers, the list of measurements.

## 5.2 System information

### 5.2.1 Introduction

#### 5.2.1.1 General

System information is divided into the *MasterInformationBlock* (MIB) and a number of *SystemInformationBlocks* (SIBs). The MIB includes a limited number of most essential and most frequently transmitted parameters that are needed to acquire other information from the cell, and is transmitted on BCH. SIBs other than *SystemInformationBlockType1* are carried in *SystemInformation* (SI) messages and mapping of SIBs to SI messages is flexibly configurable by *schedulingInfoList* included in *SystemInformationBlockType1*, with restrictions that: each SIB is contained only in a single SI message, only SIBs having the same scheduling requirement (periodicity) can be mapped to the same SI message, and *SystemInformationBlockType2* is always mapped to the SI message that corresponds to the first entry in the list of SI messages in *schedulingInfoList*. There may be multiple SI messages transmitted with the same periodicity. *SystemInformationBlockType1* and all SI messages are transmitted on DL-SCH.

NOTE 1: The physical layer imposes a limit to the maximum size a SIB can take. When DCI format 1C is used the maximum allowed by the physical layer is 1736 bits (217 bytes) while for format 1A the limit is 2216 bits (277 bytes), see TS 36.212 [22] and TS 36.213 [23].

The UE applies the system information acquisition and change monitoring procedures for the PCell only. For an SCell, E-UTRAN provides, via dedicated signalling, all system information relevant for operation in RRC\_CONNECTED

when adding the SCell. Upon change of the relevant system information of a configured SCell, E-UTRAN releases and subsequently adds the concerned SCell, which may be done with a single *RRCConnectionReconfiguration* message.

NOTE 2: E-UTRAN may configure via dedicated signalling different parameter values than the ones broadcast in the concerned SCell.

An RN configured with an RN subframe configuration does not need to apply the system information acquisition and change monitoring procedures. Upon change of any system information relevant to an RN, E-UTRAN provides the system information blocks containing the relevant system information to an RN configured with an RN subframe configuration via dedicated signalling using the *RNReconfiguration* message. For RNs configured with an RN subframe configuration, the system information contained in this dedicated signalling replaces any corresponding stored system information and takes precedence over any corresponding system information acquired through the system information acquisition procedure. The dedicated system information remains valid until overridden.

NOTE 3: E-UTRAN may configure an RN, via dedicated signalling, with different parameter values than the ones broadcast in the concerned cell.

### 5.2.1.2 Scheduling

The MIB uses a fixed schedule with a periodicity of 40 ms and repetitions made within 40 ms. The first transmission of the MIB is scheduled in subframe #0 of radio frames for which the  $\text{SFN mod } 4 = 0$ , and repetitions are scheduled in subframe #0 of all other radio frames.

The *SystemInformationBlockType1* uses a fixed schedule with a periodicity of 80 ms and repetitions made within 80 ms. The first transmission of *SystemInformationBlockType1* is scheduled in subframe #5 of radio frames for which the  $\text{SFN mod } 8 = 0$ , and repetitions are scheduled in subframe #5 of all other radio frames for which  $\text{SFN mod } 2 = 0$ .

The SI messages are transmitted within periodically occurring time domain windows (referred to as SI-windows) using dynamic scheduling. Each SI message is associated with a SI-window and the SI-windows of different SI messages do not overlap. That is, within one SI-window only the corresponding SI is transmitted. The length of the SI-window is common for all SI messages, and is configurable. Within the SI-window, the corresponding SI message can be transmitted a number of times in any subframe other than MBSFN subframes, uplink subframes in TDD, and subframe #5 of radio frames for which  $\text{SFN mod } 2 = 0$ . The UE acquires the detailed time-domain scheduling (and other information, e.g. frequency-domain scheduling, used transport format) from decoding SI-RNTI on PDCCH (see TS 36.321 [6]).

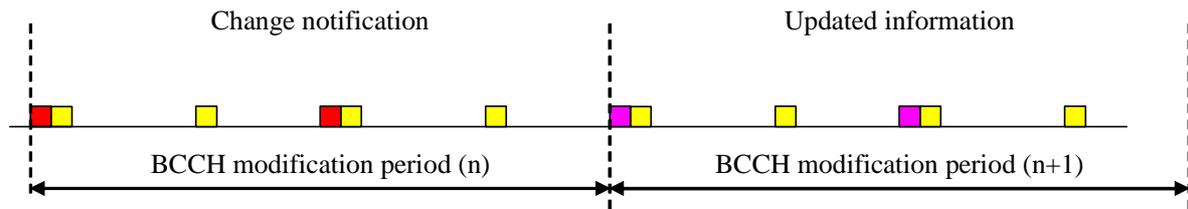
A single SI-RNTI is used to address *SystemInformationBlockType1* as well as all SI messages.

*SystemInformationBlockType1* configures the SI-window length and the transmission periodicity for the SI messages.

### 5.2.1.3 System information validity and notification of changes

Change of system information (other than for ETWS, CMAS and EAB parameters) only occurs at specific radio frames, i.e. the concept of a modification period is used. System information may be transmitted a number of times with the same content within a modification period, as defined by its scheduling. The modification period boundaries are defined by SFN values for which  $\text{SFN mod } m = 0$ , where  $m$  is the number of radio frames comprising the modification period. The modification period is configured by system information.

When the network changes (some of the) system information, it first notifies the UEs about this change, i.e. this may be done throughout a modification period. In the next modification period, the network transmits the updated system information. These general principles are illustrated in figure 5.2.1.3-1, in which different colours indicate different system information. Upon receiving a change notification, the UE acquires the new system information immediately from the start of the next modification period. The UE applies the previously acquired system information until the UE acquires the new system information.



**Figure 5.2.1.3-1: Change of system Information**

The *Paging* message is used to inform UEs in RRC\_IDLE and UEs in RRC\_CONNECTED about a system information change. If the UE receives a *Paging* message including the *systemInfoModification*, it knows that the system information will change at the next modification period boundary. Although the UE may be informed about changes in system information, no further details are provided e.g. regarding which system information will change.

*SystemInformationBlockType1* includes a value tag, *systemInfoValueTag*, that indicates if a change has occurred in the SI messages. UEs may use *systemInfoValueTag*, e.g. upon return from out of coverage, to verify if the previously stored SI messages are still valid. Additionally, the UE considers stored system information to be invalid after 3 hours from the moment it was successfully confirmed as valid, unless specified otherwise.

E-UTRAN may not update *systemInfoValueTag* upon change of some system information e.g. ETWS information, CMAS information, regularly changing parameters like CDMA2000 system time (see 6.3), EAB parameters. Similarly, E-UTRAN may not include the *systemInfoModification* within the *Paging* message upon change of some system information.

The UE verifies that stored system information remains valid by either checking *systemInfoValueTag* in *SystemInformationBlockType1* after the modification period boundary, or attempting to find the *systemInfoModification* indication at least *modificationPeriodCoeff* times during the modification period in case no paging is received, in every modification period. If no paging message is received by the UE during a modification period, the UE may assume that no change of system information will occur at the next modification period boundary. If UE in RRC\_CONNECTED, during a modification period, receives one paging message, it may deduce from the presence/ absence of *systemInfoModification* whether a change of system information other than ETWS and CMAS information will occur in the next modification period or not.

ETWS and/or CMAS capable UEs in RRC\_CONNECTED shall attempt to read paging at least once every *defaultPagingCycle* to check whether ETWS and/or CMAS notification is present or not.

#### 5.2.1.4 Indication of ETWS notification

ETWS primary notification and/ or ETWS secondary notification can occur at any point in time. The *Paging* message is used to inform ETWS capable UEs in RRC\_IDLE and UEs in RRC\_CONNECTED about presence of an ETWS primary notification and/ or ETWS secondary notification. If the UE receives a *Paging* message including the *etws-Indication*, it shall start receiving the ETWS primary notification and/ or ETWS secondary notification according to *schedulingInfoList* contained in *SystemInformationBlockType1*. If the UE receives *Paging* message including the *etws-Indication* while it is acquiring ETWS notification(s), the UE shall continue acquiring ETWS notification(s) based on the previously acquired *schedulingInfoList* until it re-acquires *schedulingInfoList* in *SystemInformationBlockType1*.

NOTE: The UE is not required to periodically check *schedulingInfoList* contained in *SystemInformationBlockType1*, but *Paging* message including the *etws-Indication* triggers the UE to re-acquire *schedulingInfoList* contained in *SystemInformationBlockType1* for scheduling changes for *SystemInformationBlockType10* and *SystemInformationBlockType11*. The UE may or may not receive a *Paging* message including the *etws-Indication* and/ or *systemInfoModification* when ETWS is no longer scheduled.

ETWS primary notification is contained in *SystemInformationBlockType10* and ETWS secondary notification is contained in *SystemInformationBlockType11*. Segmentation can be applied for the delivery of a secondary notification. The segmentation is fixed for transmission of a given secondary notification within a cell (i.e. the same segment size for a given segment with the same *messageIdentifier*, *serialNumber* and *warningMessageSegmentNumber*). An ETWS secondary notification corresponds to a single *CB data* IE as defined according to TS 23.041 [37].

### 5.2.1.5 Indication of CMAS notification

CMAS notification can occur at any point in time. The *Paging* message is used to inform CMAS capable UEs in RRC\_IDLE and UEs in RRC\_CONNECTED about presence of one or more CMAS notifications. If the UE receives a *Paging* message including the *cmas-Indication*, it shall start receiving the CMAS notifications according to *schedulingInfoList* contained in *SystemInformationBlockType1*. If the UE receives *Paging* message including the *cmas-Indication* while it is acquiring CMAS notification(s), the UE shall continue acquiring CMAS notification(s) based on the previously acquired *schedulingInfoList* until it re-acquires *schedulingInfoList* in *SystemInformationBlockType1*.

NOTE: The UE is not required to periodically check *schedulingInfoList* contained in *SystemInformationBlockType1*, but *Paging* message including the *cmas-Indication* triggers the UE to re-acquire *schedulingInfoList* contained in *SystemInformationBlockType1* for scheduling changes for *SystemInformationBlockType12*. The UE may or may not receive a *Paging* message including the *cmas-Indication* and/or *systemInfoModification* when *SystemInformationBlockType12* is no longer scheduled.

CMAS notification is contained in *SystemInformationBlockType12*. Segmentation can be applied for the delivery of a CMAS notification. The segmentation is fixed for transmission of a given CMAS notification within a cell (i.e. the same segment size for a given segment with the same *messageIdentifier*, *serialNumber* and *warningMessageSegmentNumber*). E-UTRAN does not interleave transmissions of CMAS notifications, i.e. all segments of a given CMAS notification transmission are transmitted prior to those of another CMAS notification. A CMAS notification corresponds to a single *CB data* IE as defined according to TS 23.041 [37].

### 5.2.1.6 Notification of EAB parameters change

Change of EAB parameters can occur at any point in time. The EAB parameters are contained in *SystemInformationBlockType14*. The *Paging* message is used to inform EAB capable UEs in RRC\_IDLE about a change of EAB parameters or that *SystemInformationBlockType14* is no longer scheduled. If the UE receives a *Paging* message including the *eab-ParamModification*, it shall acquire *SystemInformationBlockType14* according to *schedulingInfoList* contained in *SystemInformationBlockType1*. If the UE receives a *Paging* message including the *eab-ParamModification* while it is acquiring *SystemInformationBlockType14*, the UE shall continue acquiring *SystemInformationBlockType14* based on the previously acquired *schedulingInfoList* until it re-acquires *schedulingInfoList* in *SystemInformationBlockType1*.

NOTE: The EAB capable UE is not expected to periodically check *schedulingInfoList* contained in *SystemInformationBlockType1*.

## 5.2.2 System information acquisition

### 5.2.2.1 General

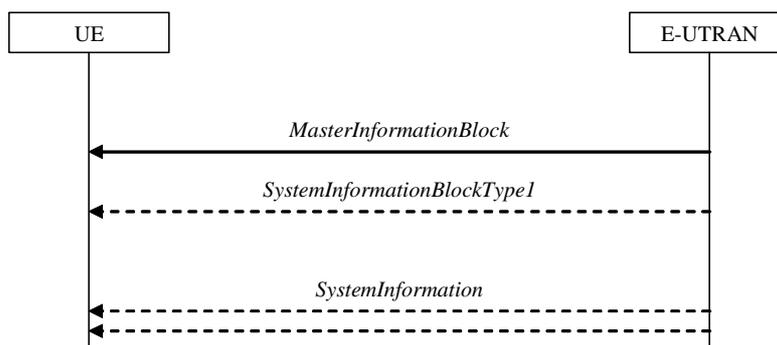


Figure 5.2.2.1-1: System information acquisition, normal

The UE applies the system information acquisition procedure to acquire the AS- and NAS- system information that is broadcasted by the E-UTRAN. The procedure applies to UEs in RRC\_IDLE and UEs in RRC\_CONNECTED.

### 5.2.2.2 Initiation

The UE shall apply the system information acquisition procedure upon selecting (e.g. upon power on) and upon re-selecting a cell, after handover completion, after entering E-UTRA from another RAT, upon return from out of coverage, upon receiving a notification that the system information has changed, upon receiving an indication about the presence of an ETWS notification, upon receiving an indication about the presence of a CMAS notification, upon receiving a request from CDMA2000 upper layers and upon exceeding the maximum validity duration. Unless explicitly stated otherwise in the procedural specification, the system information acquisition procedure overwrites any stored system information, i.e. delta configuration is not applicable for system information and the UE discontinues using a field if it is absent in system information unless explicitly specified otherwise.

### 5.2.2.3 System information required by the UE

The UE shall:

- 1> ensure having a valid version, as defined below, of (at least) the following system information, also referred to as the 'required' system information:
  - 2> if in RRC\_IDLE:
    - 3> the *MasterInformationBlock* and *SystemInformationBlockType1* as well as *SystemInformationBlockType2* through *SystemInformationBlockType8*, depending on support of the concerned RATs;
  - 2> if in RRC\_CONNECTED:
    - 3> the *MasterInformationBlock*, *SystemInformationBlockType1* and *SystemInformationBlockType2* as well as *SystemInformationBlockType8*, depending on support of CDMA2000;
- 1> delete any stored system information after 3 hours from the moment it was confirmed to be valid as defined in 5.2.1.3, unless specified otherwise;
- 1> consider any stored system information except *SystemInformationBlockType10*, *SystemInformationBlockType11*, *SystemInformationBlockType12* and *SystemInformationBlockType14* to be invalid if *systemInfoValueTag* included in the *SystemInformationBlockType1* is different from the one of the stored system information;

### 5.2.2.4 System information acquisition by the UE

The UE shall:

- 1> apply the specified BCCH configuration defined in 9.1.1.1;
- 1> if the procedure is triggered by a system information change notification:
  - 2> start acquiring the required system information, as defined in 5.2.2.3, from the beginning of the modification period following the one in which the change notification was received;

NOTE 1: The UE continues using the previously received system information until the new system information has been acquired.

- 1> if the UE is in RRC\_IDLE and enters a cell for which the UE does not have stored a valid version of the system information required in RRC\_IDLE, as defined in 5.2.2.3:
  - 2> acquire, using the system information acquisition procedure as defined in 5.2.3, the system information required in RRC\_IDLE, as defined in 5.2.2.3;
- 1> following successful handover completion to a PCell for which the UE does not have stored a valid version of the system information required in RRC\_CONNECTED, as defined in 5.2.2.3:
  - 2> acquire, using the system information acquisition procedure as defined in 5.2.3, the system information required in RRC\_CONNECTED, as defined in 5.2.2.3;
  - 2> upon acquiring the concerned system information:
    - 3> discard the corresponding radio resource configuration information included in the *radioResourceConfigCommon* previously received in a dedicated message, if any;

- 1> following a request from CDMA2000 upper layers:
  - 2> acquire *SystemInformationBlockType8*, as defined in 5.2.3;
- 1> neither initiate the RRC connection establishment procedure nor initiate transmission of the *RRCConnectionReestablishmentRequest* message until the UE has a valid version of the *MasterInformationBlock* and *SystemInformationBlockType1* messages as well as *SystemInformationBlockType2* ;
- 1> not initiate the RRC connection establishment subject to EAB until the UE has a valid version of *SystemInformationBlockType14*, if broadcast;
- 1> if the UE is ETWS capable:
  - 2> upon entering a cell during RRC\_IDLE, following successful handover or upon connection re-establishment:
    - 3> discard any previously buffered *warningMessageSegment*;
    - 3> clear, if any, the current values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*;
  - 2> when the UE acquires *SystemInformationBlockType1* following ETWS indication, upon entering a cell during RRC\_IDLE, following successful handover or upon connection re-establishment:
    - 3> if *schedulingInfoList* indicates that *SystemInformationBlockType10* is present:
      - 4> start acquiring *SystemInformationBlockType10* immediately;
    - 3> if *schedulingInfoList* indicates that *SystemInformationBlockType11* is present:
      - 4> start acquiring *SystemInformationBlockType11* immediately;

NOTE 2: UEs shall start acquiring *SystemInformationBlockType10* and *SystemInformationBlockType11* as described above even when *systemInfoValueTag* in *SystemInformationBlockType1* has not changed.

- 1> if the UE is CMAS capable:
  - 2> upon entering a cell during RRC\_IDLE, following successful handover or upon connection re-establishment:
    - 3> discard any previously buffered *warningMessageSegment*;
    - 3> clear, if any, stored values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType12* associated with the discarded *warningMessageSegment* ;
  - 2> when the UE acquires *SystemInformationBlockType1* following CMAS indication, upon entering a cell during RRC\_IDLE, following successful handover and upon connection re-establishment:
    - 3> if *schedulingInfoList* indicates that *SystemInformationBlockType12* is present:
      - 4> acquire *SystemInformationBlockType12*;

NOTE 3: UEs shall start acquiring *SystemInformationBlockType12* as described above even when *systemInfoValueTag* in *SystemInformationBlockType1* has not changed.

- 1> if the UE is interested to receive MBMS services; and
- 1> if *schedulingInfoList* indicates that *SystemInformationBlockType13* is present and the UE does not have stored a valid version of this system information block:
  - 2> acquire *SystemInformationBlockType13*;
- 1> if the UE is EAB capable:
  - 2> when the UE does not have stored a valid version of *SystemInformationBlockType14* upon entering RRC\_IDLE, or when the UE acquires *SystemInformationBlockType1* following EAB parameters change notification or upon entering a cell during RRC\_IDLE:
    - 3> if *schedulingInfoList* indicates that *SystemInformationBlockType14* is present:

- 4> start acquiring *SystemInformationBlockType14* immediately;
- 3> else:
  - 4> discard *SystemInformationBlockType14*, if previously received;

NOTE 4: EAB capable UEs shall start acquiring *SystemInformationBlockType14* as described above even when *systemInfoValueTag* in *SystemInformationBlockType1* has not changed.

NOTE 5: EAB capable UEs shall maintain an up to date *SystemInformationBlockType14* in RRC\_IDLE.

The UE may apply the received SIBs immediately, i.e. the UE does not need to delay using a SIB until all SI messages have been received. The UE may delay applying the received SIBs until completing lower layer procedures associated with a received or a UE originated RRC message, e.g. an ongoing random access procedure.

NOTE 6: While attempting to acquire a particular SIB, if the UE detects from *schedulingInfoList* that it is no longer present, the UE should stop trying to acquire the particular SIB.

### 5.2.2.5 Essential system information missing

The UE shall

- 1> if in RRC\_IDLE or in RRC\_CONNECTED while T311 is running:
  - 2> if the UE is unable to acquire the *MasterInformationBlock* or the *SystemInformationBlockType1*:
    - 3> consider the cell as barred in accordance with TS 36.304 [4] and;
    - 3> perform barring as if *intraFreqReselection* is set to *allowed*, and as if the *csg-Indication* is set to *FALSE*;
  - 2> else if the UE is unable to acquire the *SystemInformationBlockType2*:
    - 3> treat the cell as barred in accordance with TS 36.304 [4];

### 5.2.2.6 Actions upon reception of the *MasterInformationBlock* message

Upon receiving the *MasterInformationBlock* message the UE shall:

- 1> apply the radio resource configuration included in the *rrc-Config*;
- 1> if the UE is in RRC\_IDLE or if the UE is in RRC\_CONNECTED while T311 is running:
  - 2> if the UE has no valid system information stored according to 5.2.2.3 for the concerned cell:
    - 3> apply the received value of *dl-Bandwidth* to the *ul-Bandwidth* until *SystemInformationBlockType2* is received;

### 5.2.2.7 Actions upon reception of the *SystemInformationBlockType1* message

Upon receiving the *SystemInformationBlockType1* message the UE shall:

- 1> if the frequency band indicated in the *freqBandIndicator* is part of the frequency bands supported by the UE; or
- 1> if the UE supports *multiBandInfoList*, and if one or more of the frequency bands indicated in the *multiBandInfoList* are part of the frequency bands supported by the UE:
  - 2> forward the *cellIdentity* to upper layers;
  - 2> forward the *trackingAreaCode* to upper layers;
- 1> else:
  - 2> consider the cell as barred in accordance with TS 36.304 [4] and;
  - 2> perform barring as if *intraFreqReselection* is set to *notAllowed*, and as if the *csg-Indication* is set to *FALSE*;

#### 5.2.2.8 Actions upon reception of *SystemInformation* messages

No UE requirements related to the contents of the *SystemInformation* messages apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.9 Actions upon reception of *SystemInformationBlockType2*

Upon receiving *SystemInformationBlockType2*, the UE shall:

- 1> apply the configuration included in the *radioResourceConfigCommon*;
- 1> if upper layers indicate that a (UE specific) paging cycle is configured:
  - 2> apply the shortest of the (UE specific) paging cycle and the *defaultPagingCycle* included in the *radioResourceConfigCommon*;
- 1> if the *mbsfn-SubframeConfigList* is included:
  - 2> consider that DL assignments may occur in the MBSFN subframes indicated in the *mbsfn-SubframeConfigList* under the conditions specified in [23, 7.1];
- 1> apply the specified PCCH configuration defined in 9.1.1.3;
- 1> not apply the *timeAlignmentTimerCommon*;
- 1> if in RRC\_CONNECTED and UE is configured with RLF timer and constants values received within *rlf-TimersAndConstants*:
  - 2> not update its values of the timers and constants in *ue-TimersAndConstants* except for the value of timer T300.

#### 5.2.2.10 Actions upon reception of *SystemInformationBlockType3*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.11 Actions upon reception of *SystemInformationBlockType4*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.12 Actions upon reception of *SystemInformationBlockType5*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.13 Actions upon reception of *SystemInformationBlockType6*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.14 Actions upon reception of *SystemInformationBlockType7*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.15 Actions upon reception of *SystemInformationBlockType8*

Upon receiving *SystemInformationBlockType8*, the UE shall:

- 1> if the *systemTimeInfo* is included:

- 2> forward the *systemTimeInfo* to CDMA2000 upper layers;
- 1> if the UE is in RRC\_IDLE and if *searchWindowSize* is included:
  - 2> forward the *searchWindowSize* to CDMA2000 upper layers;
- 1> if *parametersHRPD* is included;
  - 2> forward the *preRegistrationInfoHRPD* to CDMA2000 upper layers only if the UE has not received the *preRegistrationInfoHRPD* within an *RRCCONNECTIONRECONFIGURATION* message after entering this cell;
  - 2> if the *cellReselectionParametersHRPD* is included:
    - 3> forward the *neighCellList* to the CDMA2000 upper layers;
- 1> if the *parametersIXRTT* is included:
  - 2> if the *csfb-RegistrationParamIXRTT* is included:
    - 3> forward the *csfb-RegistrationParamIXRTT* to the CDMA2000 upper layers which will use this information to determine if a CS registration/re-registration towards CDMA2000 1xRTT in the EUTRA cell is required;
  - 2> else:
    - 3> indicate to CDMA2000 upper layers that CSFB Registration to CDMA2000 1xRTT is not allowed;
  - 2> if the *longCodeStateIXRTT* is included:
    - 3> forward the *longCodeStateIXRTT* to CDMA2000 upper layers;
  - 2> if the *cellReselectionParametersIXRTT* is included:
    - 3> forward the *neighCellList* to the CDMA2000 upper layers;
  - 2> if the *csfb-SupportForDualRxUEs* is included:
    - 3> forward *csfb-SupportForDualRxUEs* to the CDMA2000 upper layers;
  - 2> else
    - 3> forward *csfb-SupportForDualRxUEs*, with its value set to *FALSE*, to the CDMA2000 upper layers;
  - 2> forward the *ac-BarringConfigIXRTT* to CDMA2000 upper layers, if included;
  - 2> if the *csfb-DualRxTxSupport* is included:
    - 3> forward *csfb-DualRxTxSupport* to the CDMA2000 upper layers;
  - 2> else
    - 3> forward *csfb-DualRxTxSupport*, with its value set to *FALSE*, to the CDMA2000 upper layers;

#### 5.2.2.16 Actions upon reception of *SystemInformationBlockType9*

Upon receiving *SystemInformationBlockType9*, the UE shall:

- 1> if *hnb-Name* is included, forward the *hnb-Name* to upper layers;

#### 5.2.2.17 Actions upon reception of *SystemInformationBlockType10*

Upon receiving *SystemInformationBlockType10*, the UE shall:

- 1> forward the received *warningType*, *warningSecurityInfo* (if present), *messageIdentifier* and *serialNumber* to upper layers;

### 5.2.2.18 Actions upon reception of *SystemInformationBlockType11*

Upon receiving *SystemInformationBlockType11*, the UE shall:

- 1> if there is no current value for *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*; or
- 1> if either the received value of *messageIdentifier* or of *serialNumber* or of both are different from the current values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*:
  - 2> use the received values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11* as the current values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*;
  - 2> discard any previously buffered *warningMessageSegment*;
  - 2> if all segments of a warning message have been received:
    - 3> assemble the warning message from the received *warningMessageSegment*;
    - 3> forward the received warning message, *messageIdentifier*, *serialNumber* and *dataCodingScheme* to upper layers;
    - 3> stop reception of *SystemInformationBlockType11*;
    - 3> discard the current values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*;
  - 2> else:
    - 3> store the received *warningMessageSegment*;
    - 3> continue reception of *SystemInformationBlockType11*;
- 1> else if all segments of a warning message have been received:
  - 2> assemble the warning message from the received *warningMessageSegment*;
  - 2> forward the received complete warning message, *messageIdentifier*, *serialNumber* and *dataCodingScheme* to upper layers;
  - 2> stop reception of *SystemInformationBlockType11*;
  - 2> discard the current values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11*;
- 1> else:
  - 2> store the received *warningMessageSegment*;
  - 2> continue reception of *SystemInformationBlockType11*;

The UE should discard any stored *warningMessageSegment* and the current value of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType11* if the complete warning message has not been assembled within a period of 3 hours.

### 5.2.2.19 Actions upon reception of *SystemInformationBlockType12*

Upon receiving *SystemInformationBlockType12*, the UE shall:

- 1> if the *SystemInformationBlockType12* contains a complete warning message:
  - 2> forward the received warning message, *messageIdentifier*, *serialNumber* and *dataCodingScheme* to upper layers;
  - 2> continue reception of *SystemInformationBlockType12*;
- 1> else:
  - 2> if the received values of *messageIdentifier* and *serialNumber* are the same (each value is the same) as a pair for which a warning message is currently being assembled:

- 3> store the received *warningMessageSegment*;
- 3> if all segments of a warning message have been received:
  - 4> assemble the warning message from the received *warningMessageSegment*;
  - 4> forward the received warning message, *messageIdentifier*, *serialNumber* and *dataCodingScheme* to upper layers;
  - 4> stop assembling a warning message for this *messageIdentifier* and *serialNumber* and delete all stored information held for it;
- 3> continue reception of *SystemInformationBlockType12*;
- 2> else if the received values of *messageIdentifier* and/or *serialNumber* are not the same as any of the pairs for which a warning message is currently being assembled:
  - 3> start assembling a warning message for this *messageIdentifier* and *serialNumber* pair;
  - 3> store the received *warningMessageSegment*;
  - 3> continue reception of *SystemInformationBlockType12*;

The UE should discard *warningMessageSegment* and the associated values of *messageIdentifier* and *serialNumber* for *SystemInformationBlockType12* if the complete warning message has not been assembled within a period of 3 hours.

NOTE: The number of warning messages that a UE can re-assemble simultaneously is a function of UE implementation.

#### 5.2.2.20 Actions upon reception of *SystemInformationBlockType13*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.21 Actions upon reception of *SystemInformationBlockType14*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

#### 5.2.2.22 Actions upon reception of *SystemInformationBlockType15*

No UE requirements related to the contents of this *SystemInformationBlock* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

### 5.2.3 Acquisition of an SI message

When acquiring an SI message, the UE shall:

- 1> determine the start of the SI-window for the concerned SI message as follows:
  - 2> for the concerned SI message, determine the number  $n$  which corresponds to the order of entry in the list of SI messages configured by *schedulingInfoList* in *SystemInformationBlockType1*;
  - 2> determine the integer value  $x = (n - 1) * w$ , where  $w$  is the *si-WindowLength*;
  - 2> the SI-window starts at the subframe  $\#a$ , where  $a = x \bmod 10$ , in the radio frame for which  $\text{SFN} \bmod T = \text{FLOOR}(x/10)$ , where  $T$  is the *si-Periodicity* of the concerned SI message;

NOTE: E-UTRAN should configure an SI-window of 1 ms only if all SIs are scheduled before subframe  $\#5$  in radio frames for which  $\text{SFN} \bmod 2 = 0$ .

- 1> receive DL-SCH using the SI-RNTI from the start of the SI-window and continue until the end of the SI-window whose absolute length in time is given by *si-WindowLength*, or until the SI message was received, excluding the following subframes:

- 2> subframe #5 in radio frames for which  $SFN \bmod 2 = 0$ ;
  - 2> any MBSFN subframes;
  - 2> any uplink subframes in TDD;
- 1> if the SI message was not received by the end of the SI-window, repeat reception at the next SI-window occasion for the concerned SI message;

## 5.3 Connection control

### 5.3.1 Introduction

#### 5.3.1.1 RRC connection control

RRC connection establishment involves the establishment of SRB1. E-UTRAN completes RRC connection establishment prior to completing the establishment of the S1 connection, i.e. prior to receiving the UE context information from the EPC. Consequently, AS security is not activated during the initial phase of the RRC connection. During this initial phase of the RRC connection, the E-UTRAN may configure the UE to perform measurement reporting. However, the UE only accepts a handover message when security has been activated.

Upon receiving the UE context from the EPC, E-UTRAN activates security (both ciphering and integrity protection) using the initial security activation procedure. The RRC messages to activate security (command and successful response) are integrity protected, while ciphering is started only after completion of the procedure. That is, the response to the message used to activate security is not ciphered, while the subsequent messages (e.g. used to establish SRB2 and DRBs) are both integrity protected and ciphered.

After having initiated the initial security activation procedure, E-UTRAN initiates the establishment of SRB2 and DRBs, i.e. E-UTRAN may do this prior to receiving the confirmation of the initial security activation from the UE. In any case, E-UTRAN will apply both ciphering and integrity protection for the RRC connection reconfiguration messages used to establish SRB2 and DRBs. E-UTRAN should release the RRC connection if the initial security activation and/ or the radio bearer establishment fails (i.e. security activation and DRB establishment are triggered by a joint S1-procedure, which does not support partial success).

For SRB2 and DRBs, security is always activated from the start, i.e. the E-UTRAN does not establish these bearers prior to activating security.

After having initiated the initial security activation procedure, E-UTRAN may configure a UE that supports CA, with one or more SCells in addition to the PCell that was initially configured during connection establishment. The PCell is used to provide the security inputs and upper layer system information (i.e. the NAS mobility information e.g. TAI). SCells are used to provide additional downlink and optionally uplink radio resources.

The release of the RRC connection normally is initiated by E-UTRAN. The procedure may be used to re-direct the UE to an E-UTRA frequency or an inter-RAT carrier frequency. Only in exceptional cases, as specified within this specification, TS 36.300 [9], TS 36.304 [4] or TS 24.301 [35], may the UE abort the RRC connection, i.e. move to RRC\_IDLE without notifying E-UTRAN.

#### 5.3.1.2 Security

AS security comprises of the integrity protection of RRC signalling (SRBs) as well as the ciphering of RRC signalling (SRBs) and user data (DRBs).

RRC handles the configuration of the security parameters which are part of the AS configuration: the integrity protection algorithm, the ciphering algorithm and two parameters, namely the *keyChangeIndicator* and the *nextHopChainingCount*, which are used by the UE to determine the AS security keys upon handover and/ or connection re-establishment.

The integrity protection algorithm is common for signalling radio bearers SRB1 and SRB2. The ciphering algorithm is common for all radio bearers (i.e. SRB1, SRB2 and DRBs). Neither integrity protection nor ciphering applies for SRB0.

RRC integrity and ciphering are always activated together, i.e. in one message/ procedure. RRC integrity and ciphering are never de-activated. However, it is possible to switch to a 'NULL' ciphering algorithm (eea0).

The 'NULL' integrity protection algorithm (eia0) is used only for the UE in limited service mode [32, TS33.401]. In case the 'NULL' integrity protection algorithm is used, 'NULL' ciphering algorithm is also used.

NOTE 1: Lower layers discard RRC messages for which the integrity check has failed and indicate the integrity verification check failure to RRC.

The AS applies three different security keys: one for the integrity protection of RRC signalling ( $K_{\text{RRCint}}$ ), one for the ciphering of RRC signalling ( $K_{\text{RRCenc}}$ ) and one for the ciphering of user data ( $K_{\text{UPenc}}$ ). All three AS keys are derived from the  $K_{\text{eNB}}$  key. The  $K_{\text{eNB}}$  is based on the  $K_{\text{ASME}}$  key, which is handled by upper layers.

Upon connection establishment new AS keys are derived. No AS-parameters are exchanged to serve as inputs for the derivation of the new AS keys at connection establishment.

The integrity and ciphering of the RRC message used to perform handover is based on the security configuration used prior to the handover and is performed by the source eNB.

The integrity and ciphering algorithms can only be changed upon handover. The four AS keys ( $K_{\text{eNB}}$ ,  $K_{\text{RRCint}}$ ,  $K_{\text{RRCenc}}$  and  $K_{\text{UPenc}}$ ) change upon every handover and connection re-establishment. The *keyChangeIndicator* is used upon handover and indicates whether the UE should use the keys associated with the latest available  $K_{\text{ASME}}$  key. The *nextHopChainingCount* parameter is used upon handover and connection re-establishment by the UE when deriving the new  $K_{\text{eNB}}$  that is used to generate  $K_{\text{RRCint}}$ ,  $K_{\text{RRCenc}}$  and  $K_{\text{UPenc}}$  (see TS 33.401 [32]). An intra cell handover procedure may be used to change the keys in RRC\_CONNECTED.

For each radio bearer an independent counter (COUNT, as specified in TS 36.323 [8]) is maintained for each direction. For each DRB, the COUNT is used as input for ciphering. For each SRB, the COUNT is used as input for both ciphering and integrity protection. It is not allowed to use the same COUNT value more than once for a given security key. In order to limit the signalling overhead, individual messages/ packets include a short sequence number (PDCP SN, as specified in TS 36.323 [8]). In addition, an overflow counter mechanism is used: the hyper frame number (TX\_HFN and RX\_HFN, as specified in TS 36.323 [8]). The HFN needs to be synchronized between the UE and the eNB. The eNB is responsible for avoiding reuse of the COUNT with the same RB identity and with the same  $K_{\text{eNB}}$ , e.g. due to the transfer of large volumes of data, release and establishment of new RBs. In order to avoid such re-use, the eNB may e.g. use different RB identities for successive RB establishments, trigger an intra cell handover or an RRC\_CONNECTED to RRC\_IDLE to RRC\_CONNECTED transition.

For each SRB, the value provided by RRC to lower layers to derive the 5-bit BEARER parameter used as input for ciphering and for integrity protection is the value of the corresponding *srb-Identity* with the MSBs padded with zeroes.

### 5.3.1.2a RN security

For RNs, AS security follows the procedures in 5.3.1.2. Furthermore, E-UTRAN may configure per DRB whether or not integrity protection is used. The use of integrity protection may be configured only upon DRB establishment and reconfigured only upon handover or upon the first reconfiguration following RRC connection re-establishment.

To provide integrity protection on DRBs between the RN and the E-UTRAN, the  $K_{\text{UPint}}$  key is derived from the  $K_{\text{eNB}}$  key as described in TS33.401 [32]. The same integrity protection algorithm used for SRBs also applies to the DRBs. The  $K_{\text{UPint}}$  changes at every handover and RRC connection re-establishment and is based on an updated  $K_{\text{eNB}}$  which is derived by taking into account the *nextHopChainingCount*. The COUNT value maintained for DRB ciphering is also used for integrity protection, if the integrity protection is configured for the DRB.

### 5.3.1.3 Connected mode mobility

In RRC\_CONNECTED, the network controls UE mobility, i.e. the network decides when the UE shall connect to which E-UTRA cell(s), or inter-RAT cell. For network controlled mobility in RRC\_CONNECTED, the PCell can be changed using an *RRCConnectionReconfiguration* message including the *mobilityControlInfo* (handover), whereas the SCell(s) can be changed using the *RRCConnectionReconfiguration* message either with or without the *mobilityControlInfo*. The network triggers the handover procedure e.g. based on radio conditions, load. To facilitate this, the network may configure the UE to perform measurement reporting (possibly including the configuration of measurement gaps). The network may also initiate handover blindly, i.e. without having received measurement reports from the UE.

Before sending the handover message to the UE, the source eNB prepares one or more target cells. The source eNB selects the target PCell. The source eNB may also provide the target eNB with a list of best cells on each frequency for which measurement information is available, in order of decreasing RSRP. The source eNB may also include available

measurement information for the cells provided in the list. The target eNB decides which SCells are configured for use after handover, which may include cells other than the ones indicated by the source eNB.

The target eNB generates the message used to perform the handover, i.e. the message including the AS-configuration to be used in the target cell(s). The source eNB transparently (i.e. does not alter values/ content) forwards the handover message/ information received from the target to the UE. When appropriate, the source eNB may initiate data forwarding for (a subset of) the DRBs.

After receiving the handover message, the UE attempts to access the target PCell at the first available RACH occasion according to Random Access resource selection defined in TS 36.321 [6], i.e. the handover is asynchronous. Consequently, when allocating a dedicated preamble for the random access in the target PCell, E-UTRA shall ensure it is available from the first RACH occasion the UE may use. Upon successful completion of the handover, the UE sends a message used to confirm the handover.

If the target eNB does not support the release of RRC protocol which the source eNB used to configure the UE, the target eNB may be unable to comprehend the UE configuration provided by the source eNB. In this case, the target eNB should use the full configuration option to reconfigure the UE for Handover and Re-establishment. Full configuration option includes an initialization of the radio configuration, which makes the procedure independent of the configuration used in the source cell(s) with the exception that the security algorithms are continued for the RRC re-establishment.

After the successful completion of handover, PDCP SDUs may be re-transmitted in the target cell(s). This only applies for DRBs using RLC-AM mode and for handovers not involving full configuration option. The further details are specified in TS 36.323 [8]. After the successful completion of handover not involving full configuration option, the SN and the HFN are reset except for the DRBs using RLC-AM mode (for which both SN and HFN continue). For reconfigurations involving the full configuration option, the PDCP entities are newly established (SN and HFN do not continue) for all DRBs irrespective of the RLC mode. The further details are specified in TS 36.323 [8].

One UE behaviour to be performed upon handover is specified, i.e. this is regardless of the handover procedures used within the network (e.g. whether the handover includes X2 or S1 signalling procedures).

The source eNB should, for some time, maintain a context to enable the UE to return in case of handover failure. After having detected handover failure, the UE attempts to resume the RRC connection either in the source PCell or in another cell using the RRC re-establishment procedure. This connection resumption succeeds only if the accessed cell is prepared, i.e. concerns a cell of the source eNB or of another eNB towards which handover preparation has been performed. The cell in which the re-establishment procedure succeeds becomes the PCell while SCells and secondary TAGs, if configured, are released.

Normal measurement and mobility procedures are used to support handover to cells broadcasting a CSG identity. In addition, E-UTRAN may configure the UE to report that it is entering or leaving the proximity of cell(s) included in its CSG whitelist. Furthermore, E-UTRAN may request the UE to provide additional information broadcast by the handover candidate cell e.g. cell global identity, CSG identity, CSG membership status.

**NOTE** E-UTRAN may use the 'proximity report' to configure measurements as well as to decide whether or not to request additional information broadcast by the handover candidate cell. The additional information is used to verify whether or not the UE is authorised to access the target PCell and may also be needed to identify handover candidate cell (*PCI confusion* i.e. when the physical layer identity that is included in the measurement report does not uniquely identify the cell).

## 5.3.2 Paging

### 5.3.2.1 General



**Figure 5.3.2.1-1: Paging**

The purpose of this procedure is:

- to transmit paging information to a UE in RRC\_IDLE and/ or;
- to inform UEs in RRC\_IDLE and UEs in RRC\_CONNECTED about a system information change and/ or;
- to inform about an ETWS primary notification and/ or ETWS secondary notification and/ or;
- to inform about a CMAS notification.

The paging information is provided to upper layers, which in response may initiate RRC connection establishment, e.g. to receive an incoming call.

### 5.3.2.2 Initiation

E-UTRAN initiates the paging procedure by transmitting the *Paging* message at the UE's paging occasion as specified in TS 36.304 [4]. E-UTRAN may address multiple UEs within a *Paging* message by including one *PagingRecord* for each UE. E-UTRAN may also indicate a change of system information, and/ or provide an ETWS notification or a CMAS notification in the *Paging* message.

### 5.3.2.3 Reception of the *Paging* message by the UE

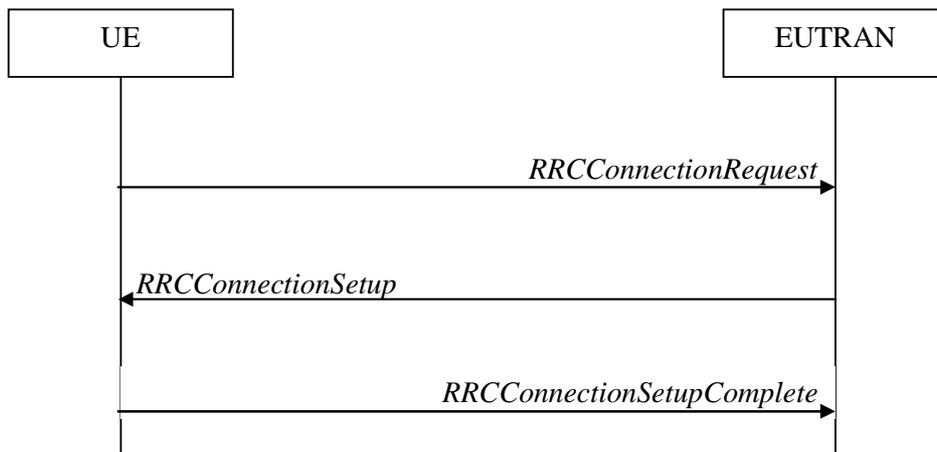
Upon receiving the *Paging* message, the UE shall:

- 1> if in RRC\_IDLE, for each of the *PagingRecord*, if any, included in the *Paging* message:
  - 2> if the *ue-Identity* included in the *PagingRecord* matches one of the UE identities allocated by upper layers:
    - 3> forward the *ue-Identity* and the *cn-Domain* to the upper layers;
- 1> if the *systemInfoModification* is included:
  - 2> re-acquire the required system information using the system information acquisition procedure as specified in 5.2.2.
- 1> if the *etws-Indication* is included and the UE is ETWS capable:
  - 2> re-acquire *SystemInformationBlockType1* immediately, i.e., without waiting until the next system information modification period boundary;
  - 2> if the *schedulingInfoList* indicates that *SystemInformationBlockType10* is present:
    - 3> acquire *SystemInformationBlockType10*;
  - 2> if the *schedulingInfoList* indicates that *SystemInformationBlockType11* is present:
    - 3> acquire *SystemInformationBlockType11*;
- 1> if the *cmass-Indication* is included and the UE is CMAS capable:

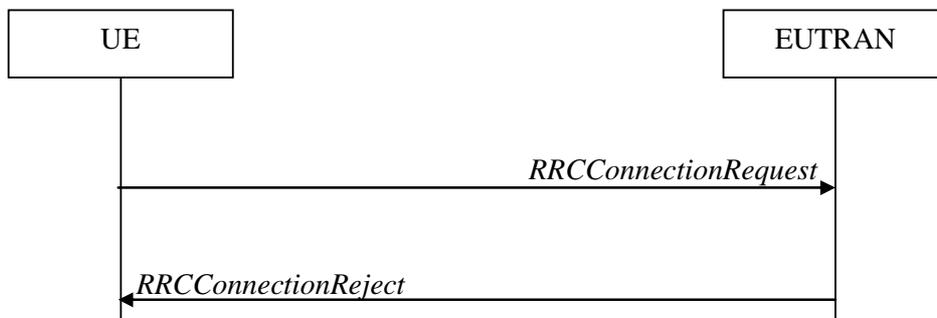
- 2> re-acquire *SystemInformationBlockType1* immediately, i.e., without waiting until the next system information modification period boundary as specified in 5.2.1.5;
- 2> if the *schedulingInfoList* indicates that *SystemInformationBlockType12* is present:
  - 3> acquire *SystemInformationBlockType12*;
- 1> if in RRC\_IDLE, the *eab-ParamModification* is included and the UE is EAB capable:
  - 2> re-acquire *SystemInformationBlockType14* using the system information acquisition procedure as specified in 5.2.2.4;

### 5.3.3 RRC connection establishment

#### 5.3.3.1 General



**Figure 5.3.3.1-1: RRC connection establishment, successful**



**Figure 5.3.3.1-2: RRC connection establishment, network reject**

The purpose of this procedure is to establish an RRC connection. RRC connection establishment involves SRB1 establishment. The procedure is also used to transfer the initial NAS dedicated information/ message from the UE to E-UTRAN.

E-UTRAN applies the procedure as follows:

- to establish SRB1 only.

#### 5.3.3.2 Initiation

The UE initiates the procedure when upper layers request establishment of an RRC connection while the UE is in RRC\_IDLE.

Upon initiation of the procedure, the UE shall:

- 1> if upper layers indicate that the RRC connection is subject to EAB, see TS 24.301 [35]:
  - 2> if the result of the EAB check, as specified in 5.3.3.12, is that access to the cell is barred:
    - 3> inform upper layers about the failure to establish the RRC connection and that EAB is applicable, upon which the procedure ends;
- 1> if the UE is establishing the RRC connection for mobile terminating calls:
  - 2> if timer T302 is running:
    - 3> inform upper layers about the failure to establish the RRC connection and that access barring for mobile terminating calls is applicable, upon which the procedure ends;
- 1> else if the UE is establishing the RRC connection for emergency calls:
  - 2> if *SystemInformationBlockType2* includes the *ac-BarringInfo*:
    - 3> if the *ac-BarringForEmergency* is set to *TRUE*:
      - 4> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11]:

NOTE 1: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/ EHPLMN.

- 5> if the *ac-BarringInfo* includes *ac-BarringForMO-Data*, and for all of these valid Access Classes for the UE, the corresponding bit in the *ac-BarringForSpecialAC* contained in *ac-BarringForMO-Data* is set to *one*:
  - 6> consider access to the cell as barred;
- 4> else:
  - 5> consider access to the cell as barred;
- 2> if access to the cell is barred:
  - 3> inform upper layers about the failure to establish the RRC connection, upon which the procedure ends;
- 1> else if the UE is establishing the RRC connection for mobile originating calls:
  - 2> perform access barring check as specified in 5.3.3.11, using T303 as "Tbarring" and *ac-BarringForMO-Data* as "AC barring parameter";
  - 2> if access to the cell is barred:
    - 3> if *SystemInformationBlockType2* includes *ac-BarringForCSFB* or the UE does not support CS fallback:
      - 4> inform upper layers about the failure to establish the RRC connection and that access barring for mobile originating calls is applicable, upon which the procedure ends;
    - 3> else (*SystemInformationBlockType2* does not include *ac-BarringForCSFB* and the UE supports CS fallback):
      - 4> if timer T306 is not running, start T306 with the timer value of T303;
      - 4> inform upper layers about the failure to establish the RRC connection and that access barring for mobile originating calls and mobile originating CS fallback is applicable, upon which the procedure ends;
- 1> else if the UE is establishing the RRC connection for mobile originating signalling:
  - 2> perform access barring check as specified in 5.3.3.11, using T305 as "Tbarring" and *ac-BarringForMO-Signalling* as "AC barring parameter";
  - 2> if access to the cell is barred:

- 3> inform upper layers about the failure to establish the RRC connection and that access barring for mobile originating signalling is applicable, upon which the procedure ends;
- 1> else (the UE is establishing the RRC connection for mobile originating CS fallback):
  - 2> if *SystemInformationBlockType2* includes *ac-BarringForCSFB*:
    - 3> perform access barring check as specified in 5.3.3.11, using T306 as "Tbarring" and *ac-BarringForCSFB* as "AC barring parameter";
    - 3> if access to the cell is barred:
      - 4> inform upper layers about the failure to establish the RRC connection and that access barring for mobile originating CS fallback is applicable, due to *ac-BarringForCSFB*, upon which the procedure ends;
  - 2> else:
    - 3> perform access barring check as specified in 5.3.3.11, using T306 as "Tbarring" and *ac-BarringForMO-Data* as "AC barring parameter";
    - 3> if access to the cell is barred:
      - 4> if timer T303 is not running, start T303 with the timer value of T306;
      - 4> inform upper layers about the failure to establish the RRC connection and that access barring for mobile originating CS fallback and mobile originating calls is applicable, due to *ac-BarringForMO-Data*, upon which the procedure ends;
- 1> apply the default physical channel configuration as specified in 9.2.4;
- 1> apply the default semi-persistent scheduling configuration as specified in 9.2.3;
- 1> apply the default MAC main configuration as specified in 9.2.2;
- 1> apply the CCCH configuration as specified in 9.1.1.2;
- 1> apply the *timeAlignmentTimerCommon* included in *SystemInformationBlockType2*;
- 1> start timer T300;
- 1> initiate transmission of the *RRCCConnectionRequest* message in accordance with 5.3.3.3;

NOTE 2: Upon initiating the connection establishment procedure, the UE is not required to ensure it maintains up to date system information applicable only for UEs in RRC\_IDLE state. However, the UE needs to perform system information acquisition upon cell re-selection.

### 5.3.3.3 Actions related to transmission of *RRCCConnectionRequest* message

The UE shall set the contents of *RRCCConnectionRequest* message as follows:

- 1> set the *ue-Identity* as follows:
  - 2> if upper layers provide an S-TMSI:
    - 3> set the *ue-Identity* to the value received from upper layers;
  - 2> else:
    - 3> draw a random value in the range  $0 \dots 2^{40}-1$  and set the *ue-Identity* to this value;

NOTE 1: Upper layers provide the S-TMSI if the UE is registered in the TA of the current cell.

- 1> set the *establishmentCause* in accordance with the information received from upper layers;

The UE shall submit the *RRConnectionRequest* message to lower layers for transmission.

The UE shall continue cell re-selection related measurements as well as cell re-selection evaluation. If the conditions for cell re-selection are fulfilled, the UE shall perform cell re-selection as specified in 5.3.3.5.

#### 5.3.3.4 Reception of the *RRConnectionSetup* by the UE

NOTE: Prior to this, lower layer signalling is used to allocate a C-RNTI. For further details see TS 36.321 [6];

The UE shall:

- 1> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10;
- 1> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo* or inherited from another RAT;
- 1> stop timer T300;
- 1> stop timer T302, if running;
- 1> stop timer T303, if running;
- 1> stop timer T305, if running;
- 1> stop timer T306, if running;
- 1> perform the actions as specified in 5.3.3.7;
- 1> stop timer T320, if running;
- 1> enter RRC\_CONNECTED;
- 1> stop the cell re-selection procedure;
- 1> consider the current cell to be the PCell;
- 1> set the content of *RRConnectionSetupComplete* message as follows:
  - 2> set the *selectedPLMN-Identity* to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]) from the PLMN(s) included in the *plmn-IdentityList* in *SystemInformationBlockType1*;
  - 2> if upper layers provide the 'Registered MME', include and set the *registeredMME* as follows:
    - 3> if the PLMN identity of the 'Registered MME' is different from the PLMN selected by the upper layers:
      - 4> include the *plmnIdentity* in the *registeredMME* and set it to the value of the PLMN identity in the 'Registered MME' received from upper layers;
    - 3> set the *mmegi* and the *mmec* to the value received from upper layers;
  - 2> if upper layers provided the 'Registered MME':
    - 3> include and set the *gummei-Type* to the value provided by the upper layers;
  - 2> if connecting as an RN:
    - 3> include the *rn-SubframeConfigReq*;
  - 2> set the *dedicatedInfoNAS* to include the information received from upper layers;
  - 2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
    - 3> include *rlf-InfoAvailable*;

- 2> if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
  - 3> include *logMeasAvailable*;
- 2> if the UE has connection establishment failure information available in *VarConnEstFail-Report* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFail-Report*:
  - 3> include *connEstFailInfoAvailable*;
- 2> submit the *RRCConnectionSetupComplete* message to lower layers for transmission, upon which the procedure ends;

### 5.3.3.5 Cell re-selection while T300, T302, T303, T305 or T306 is running

The UE shall:

- 1> if cell reselection occurs while T300, T302, T303, T305 or T306 is running:
  - 2> if timer T302, T303, T305 and/ or T306 is running:
    - 3> stop timer T302, T303, T305 and T306, whichever ones were running;
    - 3> perform the actions as specified in 5.3.3.7;
  - 2> if timer T300 is running:
    - 3> stop timer T300;
    - 3> reset MAC, release the MAC configuration and re-establish RLC for all RBs that are established;
    - 3> inform upper layers about the failure to establish the RRC connection;

### 5.3.3.6 T300 expiry

The UE shall:

- 1> if timer T300 expires:
  - 2> reset MAC, release the MAC configuration and re-establish RLC for all RBs that are established;
  - 2> store the following connection establishment failure information in the *VarConnEstFail-Report* by setting its fields as follows:
    - 3> clear the information included in *VarConnEstFail-Report*, if any;
    - 3> set the *plmn-Identity* to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]) from the PLMN(s) included in the *plmn-IdentityList* in *SystemInformationBlockType1*;
    - 3> set the *failedCellId* to the global cell identity of the cell where connection establishment failure is detected;
    - 3> set the *measResultFailedCell* to include the RSRP and RSRQ, if available, of the cell where connection establishment failure is detected and based on measurements collected up to the moment the UE detected the failure;
    - 3> if available, set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell reselection, to include neighbouring cell measurements for at most the following number of neighbouring cells; 6 intra-frequency and 3 inter-frequency neighbours per frequency as well as 3 inter-RAT neighbours, per frequency/ set of frequencies (GERAN) per RAT;

NOTE: The UE includes the latest results of the available measurements as used for cell reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].

- 3> if detailed location information is available, set the content of the *locationInfo* as follows:

- 4> include the *locationCoordinates*;
- 4> include the *horizontalVelocity*, if available;
- 3> set the *numberOfPreamblesSent* to indicate the number of preambles sent by MAC for the failed random access procedure;
- 3> if contention resolution was not successful as specified in TS 36.321 [6] for at least one of the transmitted preambles for the failed random access procedure:
  - 4> set *contentionDetected* to *true*;
- 3> else:
  - 4> set *contentionDetected* to *false*;
- 3> set *maxTxPowerReached* to indicate whether or not the maximum power level was used for the last transmitted preamble, see TS 36.321 [6];
- 2> inform upper layers about the failure to establish the RRC connection, upon which the procedure ends;

The UE may discard the connection establishment failure information, i.e. release the UE variable *VarConnEstFail-Report*, 48 hours after the failure is detected, upon power off or upon detach.

### 5.3.3.7 T302, T303, T305 or T306 expiry or stop

The UE shall:

- 1> if timer T302 expires or is stopped:
  - 2> inform upper layers about barring alleviation for mobile terminating access;
- 2> if timer T303 is not running:
  - 3> inform upper layers about barring alleviation for mobile originating calls;
- 2> if timer T305 is not running:
  - 3> inform upper layers about barring alleviation for mobile originating signalling;
- 2> if timer T306 is not running:
  - 3> inform upper layers about barring alleviation for mobile originating CS fallback;
- 1> if timer T303 expires or is stopped:
  - 2> if timer T302 is not running:
    - 3> inform upper layers about barring alleviation for mobile originating calls;
- 1> if timer T305 expires or is stopped:
  - 2> if timer T302 is not running:
    - 3> inform upper layers about barring alleviation for mobile originating signalling;
- 1> if timer T306 expires or is stopped:
  - 2> if timer T302 is not running:
    - 3> inform upper layers about barring alleviation for mobile originating CS fallback;

### 5.3.3.8 Reception of the *RRCCConnectionReject* by the UE

The UE shall:

- 1> stop timer T300;

- 1> reset MAC and release the MAC configuration;
- 1> start timer T302, with the timer value set to the *waitTime*;
- 1> if the *extendedWaitTime* is present and the UE supports delay tolerant access:
  - 2> forward the *extendedWaitTime* to upper layers;
- 1> inform upper layers about the failure to establish the RRC connection and that access barring for mobile originating calls, mobile originating signalling, mobile terminating access and mobile originating CS fallback is applicable, upon which the procedure ends;

### 5.3.3.9 Abortion of RRC connection establishment

If upper layers abort the RRC connection establishment procedure while the UE has not yet entered RRC\_CONNECTED, the UE shall:

- 1> stop timer T300, if running;
- 1> reset MAC, release the MAC configuration and re-establish RLC for all RBs that are established;

### 5.3.3.10 Handling of SSAC related parameters

Upon request from the upper layers, the UE shall:

- 1> set the local variables *BarringFactorForMMTEL-Voice* and *BarringTimeForMMTEL-Voice* as follows:
  - 2> if the UE is in RRC\_IDLE and *ssac-BarringForMMTEL-Voice* is present:
    - 3> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11], and

NOTE: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/ EHPLMN.

- 3> if, for at least one of these Access Classes, the corresponding bit in the *ac-BarringForSpecialAC* contained in *ssac-BarringForMMTEL-Voice* is set to zero:
    - 4> set *BarringFactorForMMTEL-Voice* to one and *BarringTimeForMMTEL-Voice* to zero;
  - 3> else:
    - 4> set *BarringFactorForMMTEL-Voice* and *BarringTimeForMMTEL-Voice* to the value of *ac-BarringFactor* and *ac-BarringTime* included in *ssac-BarringForMMTEL-Voice*, respectively;
  - 2> else set *BarringFactorForMMTEL-Voice* to one and *BarringTimeForMMTEL-Voice* to zero;
- 1> set the local variables *BarringFactorForMMTEL-Video* and *BarringTimeForMMTEL-Video* as follows:
  - 2> if the UE is in RRC\_IDLE and *ssac-BarringForMMTEL-Video* is present:
    - 3> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11], and
    - 3> if, for at least one of these Access Classes, the corresponding bit in the *ac-BarringForSpecialAC* contained in *ssac-BarringForMMTEL-Video* is set to zero:
      - 4> set *BarringFactorForMMTEL-Video* to one and *BarringTimeForMMTEL-Video* to zero;
    - 3> else:
      - 4> set *BarringFactorForMMTEL-Video* and *BarringTimeForMMTEL-Video* to the value of *ac-BarringFactor* and *ac-BarringTime* included in *ssac-BarringForMMTEL-Video*, respectively;
    - 2> else set *BarringFactorForMMTEL-Video* to one and *BarringTimeForMMTEL-Video* to zero;

- 1> forward the variables *BarringFactorForMMTEL-Voice*, *BarringTimeForMMTEL-Voice*, *BarringFactorForMMTEL-Video* and *BarringTimeForMMTEL-Video* to the upper layers;

### 5.3.3.11 Access barring check

- 1> if timer T302 or "Tbarring" is running:
- 2> consider access to the cell as barred;
- 1> else if *SystemInformationBlockType2* includes "AC barring parameter":
- 2> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11], and

NOTE: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/ EHPLMN.

- 2> for at least one of these valid Access Classes the corresponding bit in the *ac-BarringForSpecialAC* contained in "AC barring parameter" is set to *zero*:
- 3> consider access to the cell as not barred;
- 2> else:
- 3> draw a random number '*rand*' uniformly distributed in the range:  $0 \leq rand < 1$ ;
- 3> if '*rand*' is lower than the value indicated by *ac-BarringFactor* included in "AC barring parameter":
- 4> consider access to the cell as not barred;
- 3> else:
- 4> consider access to the cell as barred;
- 1> else:
- 2> consider access to the cell as not barred;
- 1> if access to the cell is barred and both timers T302 and "Tbarring" are not running:
- 2> draw a random number '*rand*' that is uniformly distributed in the range  $0 \leq rand < 1$ ;
- 2> start timer "Tbarring" with the timer value calculated as follows, using the *ac-BarringTime* included in "AC barring parameter":
- "Tbarring" =  $(0.7 + 0.6 * rand) * ac-BarringTime$ .

### 5.3.3.12 EAB check

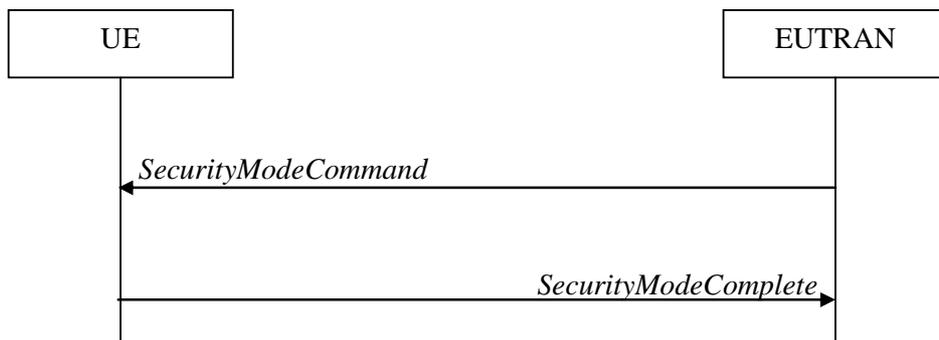
The UE shall:

- 1> if *SystemInformationBlockType14* is present and includes the *eab-Param*:
- 2> if the *eab-Common* is included in the *eab-Param*:
- 3> if the UE belongs to the category of UEs as indicated in the *eab-Category* contained in *eab-Common*; and
- 3> if for the Access Class of the UE that with a value in the range 0..9, as stored on the USIM, the corresponding bit in the *eab-BarringBitmap* contained in *eab-Common* is set to *one*:
- 4> consider access to the cell as barred;
- 3> else:
- 4> consider access to the cell as not barred due to EAB;
- 2> else (the *eab-PerPLMN-List* is included in the *eab-Param*):

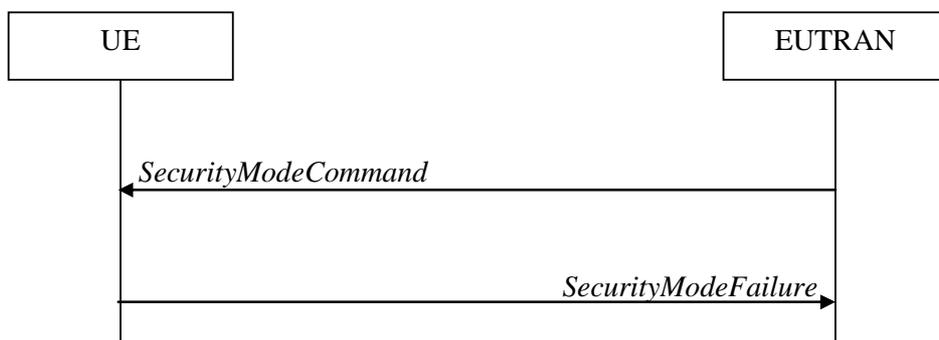
- 3> select the entry in the *eab-PerPLMN-List* corresponding to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]);
- 3> if the *eab-Config* for that PLMN is included:
  - 4> if the UE belongs to the category of UEs as indicated in the *eab-Category* contained in *eab-Config*; and
  - 4> if for the Access Class of the UE that with a value in the range 0..9, as stored on the USIM, the corresponding bit in the *eab-BarringBitmap* contained in *eab-Config* is set to *one*:
    - 5> consider access to the cell as barred;
  - 4> else:
    - 5> consider access to the cell as not barred due to EAB;
- 3> else:
  - 4> consider access to the cell as not barred due to EAB;
- 1> else:
  - 2> consider access to the cell as not barred due to EAB;

## 5.3.4 Initial security activation

### 5.3.4.1 General



**Figure 5.3.4.1-1: Security mode command, successful**



**Figure 5.3.4.1-2: Security mode command, failure**

The purpose of this procedure is to activate AS security upon RRC connection establishment.

### 5.3.4.2 Initiation

E-UTRAN initiates the security mode command procedure to a UE in RRC\_CONNECTED. Moreover, E-UTRAN applies the procedure as follows:

- when only SRB1 is established, i.e. prior to establishment of SRB2 and/ or DRBs.

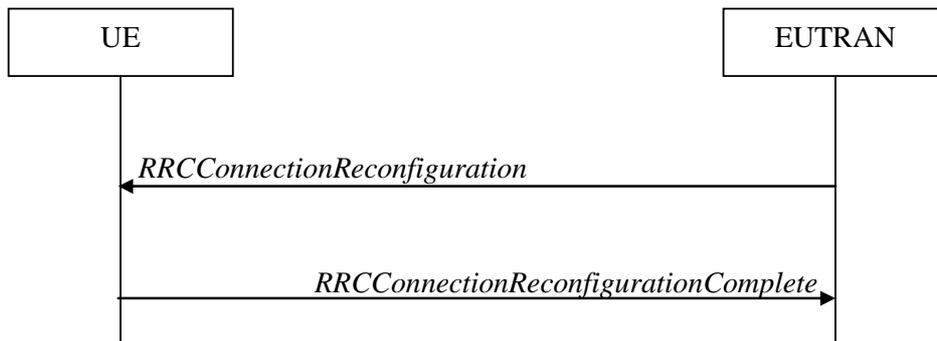
### 5.3.4.3 Reception of the *SecurityModeCommand* by the UE

The UE shall:

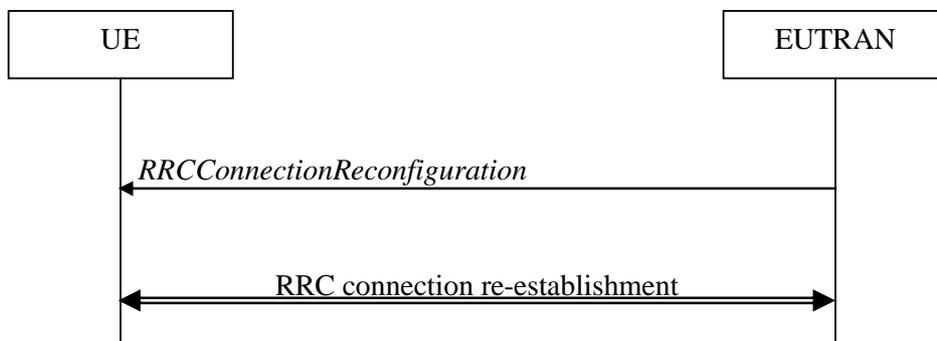
- 1> derive the  $K_{eNB}$  key, as specified in TS 33.401 [32];
- 1> derive the  $K_{RRCint}$  key associated with the *integrityProtAlgorithm* indicated in the *SecurityModeCommand* message, as specified in TS 33.401 [32];
- 1> request lower layers to verify the integrity protection of the *SecurityModeCommand* message, using the algorithm indicated by the *integrityProtAlgorithm* as included in the *SecurityModeCommand* message and the  $K_{RRCint}$  key;
- 1> if the *SecurityModeCommand* message passes the integrity protection check:
  - 2> derive the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key associated with the *cipheringAlgorithm* indicated in the *SecurityModeCommand* message, as specified in TS 33.401 [32];
  - 2> if connected as an RN:
    - 3> derive the  $K_{UPint}$  key associated with the *integrityProtAlgorithm* indicated in the *SecurityModeCommand* message, as specified in TS 33.401 [32];
  - 2> configure lower layers to apply integrity protection using the indicated algorithm and the  $K_{RRCint}$  key immediately, i.e. integrity protection shall be applied to all subsequent messages received and sent by the UE, including the *SecurityModeComplete* message;
  - 2> configure lower layers to apply ciphering using the indicated algorithm, the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key after completing the procedure, i.e. ciphering shall be applied to all subsequent messages received and sent by the UE, except for the *SecurityModeComplete* message which is sent unciphered;
  - 2> if connected as an RN:
    - 3> configure lower layers to apply integrity protection using the indicated algorithm and the  $K_{UPint}$  key, for DRBs that are subsequently configured to apply integrity protection, if any;
  - 2> consider AS security to be activated;
  - 2> submit the *SecurityModeComplete* message to lower layers for transmission, upon which the procedure ends;
- 1> else:
  - 2> continue using the configuration used prior to the reception of the *SecurityModeCommand* message, i.e. neither apply integrity protection nor ciphering.
  - 2> submit the *SecurityModeFailure* message to lower layers for transmission, upon which the procedure ends;

## 5.3.5 RRC connection reconfiguration

### 5.3.5.1 General



**Figure 5.3.5.1-1: RRC connection reconfiguration, successful**



**Figure 5.3.5.1-2: RRC connection reconfiguration, failure**

The purpose of this procedure is to modify an RRC connection, e.g. to establish/ modify/ release RBs, to perform handover, to setup/ modify/ release measurements, to add/ modify/ release SCells. As part of the procedure, NAS dedicated information may be transferred from E-UTRAN to the UE.

### 5.3.5.2 Initiation

E-UTRAN may initiate the RRC connection reconfiguration procedure to a UE in RRC\_CONNECTED. E-UTRAN applies the procedure as follows:

- the *mobilityControlInfo* is included only when AS-security has been activated, and SRB2 with at least one DRB are setup and not suspended;
- the establishment of RBs (other than SRB1, that is established during RRC connection establishment) is included only when AS security has been activated;
- the addition of SCells is performed only when AS security has been activated;

### 5.3.5.3 Reception of an *RRCConnectionReconfiguration* not including the *mobilityControlInfo* by the UE

If the *RRCConnectionReconfiguration* message does not include the *mobilityControlInfo* and the UE is able to comply with the configuration included in this message, the UE shall:

- 1> if this is the first *RRCConnectionReconfiguration* message after successful completion of the RRC Connection Re-establishment procedure:
  - 2> re-establish PDCP for SRB2 and for all DRBs that are established, if any;
  - 2> re-establish RLC for SRB2 and for all DRBs that are established, if any;

- 2> if the *RRCCConnectionReconfiguration* message includes the *fullConfig*:
  - 3> perform the radio configuration procedure as specified in section 5.3.5.8;
- 2> if the *RRCCConnectionReconfiguration* message includes the *radioResourceConfigDedicated*:
  - 3> perform the radio resource configuration procedure as specified in 5.3.10;
- 2> resume SRB2 and all DRBs that are suspended, if any;

NOTE 1: The handling of the radio bearers after the successful completion of the PDCP re-establishment, e.g. the re-transmission of unacknowledged PDCP SDUs (as well as the associated status reporting), the handling of the SN and the HFN, is specified in TS 36.323 [8].

NOTE 2: The UE may discard SRB2 messages and data that it receives prior to completing the reconfiguration used to resume these bearers.

1> else:

- 2> if the *RRCCConnectionReconfiguration* message includes the *radioResourceConfigDedicated*:
  - 3> perform the radio resource configuration procedure as specified in 5.3.10;

NOTE 3: If the *RRCCConnectionReconfiguration* message includes the establishment of radio bearers other than SRB1, the UE may start using these radio bearers immediately, i.e. there is no need to wait for an outstanding acknowledgment of the *SecurityModeComplete* message.

1> if the received *RRCCConnectionReconfiguration* includes the *sCellToReleaseList*:

- 2> perform SCell release as specified in 5.3.10.3a;

1> if the received *RRCCConnectionReconfiguration* includes the *sCellToAddModList*:

- 2> perform SCell addition or modification as specified in 5.3.10.3b;

1> if the *RRCCConnectionReconfiguration* message includes the *dedicatedInfoNASList*:

- 2> forward each element of the *dedicatedInfoNASList* to upper layers in the same order as listed;

1> if the *RRCCConnectionReconfiguration* message includes the *measConfig*:

- 2> perform the measurement configuration procedure as specified in 5.5.2;

1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;

1> if the *RRCCConnectionReconfiguration* message includes the *reportProximityConfig*:

- 2> perform the proximity indication in accordance with the received *reportProximityConfig*;

1> if the *RRCCConnectionReconfiguration* message includes the *idc-Config*:

- 2> perform the In-device coexistence indication procedure as specified in 5.6.9;

1> if the *RRCCConnectionReconfiguration* message includes the *powerPrefIndicationConfig*:

- 2> perform the power preference indication procedure as specified in 5.3.15;

1> if the *RRCCConnectionReconfiguration* message includes the *obtainLocation*:

- 2> attempt to have detailed location information available;

NOTE 4: The UE is requested to attempt to have valid detailed location information available whenever sending a measurement report for which it is configured to include available detailed location information. The UE may not succeed e.g. because the user manually disabled the GPS hardware, due to no/ poor satellite coverage. Further details are up to UE implementation.

1> submit the *RRCCConnectionReconfigurationComplete* message to lower layers for transmission using the new configuration, upon which the procedure ends;

#### 5.3.5.4 Reception of an *RRCCONNECTIONRECONFIGURATION* including the *MOBILITYCONTROLLINFO* by the UE (handover)

If the *RRCCONNECTIONRECONFIGURATION* message includes the *MOBILITYCONTROLLINFO* and the UE is able to comply with the configuration included in this message, the UE shall:

- 1> stop timer T310, if running;
- 1> start timer T304 with the timer value set to *t304*, as included in the *MOBILITYCONTROLLINFO*;
- 1> if the *CARRIERFREQ* is included:
  - 2> consider the target PCell to be one on the frequency indicated by the *CARRIERFREQ* with a physical cell identity indicated by the *TARGETPHYSCELLID*;
- 1> else:
  - 2> consider the target PCell to be one on the frequency of the source PCell with a physical cell identity indicated by the *TARGETPHYSCELLID*;
- 1> start synchronising to the DL of the target PCell;

NOTE 1: The UE should perform the handover as soon as possible following the reception of the RRC message triggering the handover, which could be before confirming successful reception (HARQ and ARQ) of this message.

- 1> reset MAC;
- 1> re-establish PDCP for all RBs that are established;

NOTE 2: The handling of the radio bearers after the successful completion of the PDCP re-establishment, e.g. the re-transmission of unacknowledged PDCP SDUs (as well as the associated status reporting), the handling of the SN and the HFN, is specified in TS 36.323 [8].

- 1> re-establish RLC for all RBs that are established;
- 1> configure lower layers to consider the SCell(s), if configured, to be in deactivated state;
- 1> apply the value of the *NEWUE-IDENTITY* as the C-RNTI;
- 1> if the *RRCCONNECTIONRECONFIGURATION* message includes the *FULLCONFIG*:
  - 2> perform the radio configuration procedure as specified in section 5.3.5.8;
- 1> configure lower layers in accordance with the received *RADIORESOURCECONFIGCOMMON*;
- 1> configure lower layers in accordance with any additional fields, not covered in the previous, if included in the received *MOBILITYCONTROLLINFO*;
- 1> if the *RRCCONNECTIONRECONFIGURATION* message includes the *RADIORESOURCECONFIGDEDICATED*:
  - 2> perform the radio resource configuration procedure as specified in 5.3.10;
- 1> if the *KEYCHANGEINDICATOR* received in the *SECURITYCONFIGHO* is set to *TRUE*:
  - 2> update the  $K_{eNB}$  key based on the fresh  $K_{ASME}$  key taken into use with the previous successful NAS SMC procedure, as specified in TS 33.401 [32];
- 1> else:
  - 2> update the  $K_{eNB}$  key based on the current  $K_{eNB}$  or the NH, using the *nextHopChainingCount* value indicated in the *SECURITYCONFIGHO*, as specified in TS 33.401 [32];
- 1> store the *nextHopChainingCount* value;
- 1> if the *SECURITYALGORITHMCONFIG* is included in the *SECURITYCONFIGHO*:

- 2> derive the  $K_{RRCint}$  key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];
- 2> if connected as an RN:
  - 3> derive the  $K_{UPint}$  key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];
- 2> derive the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];
- 1> else:
  - 2> derive the  $K_{RRCint}$  key associated with the current integrity algorithm, as specified in TS 33.401 [32];
  - 2> if connected as an RN:
    - 3> derive the  $K_{UPint}$  key associated with the current integrity algorithm, as specified in TS 33.401 [32];
  - 2> derive the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key associated with the current ciphering algorithm, as specified in TS 33.401 [32];
- 1> configure lower layers to apply the integrity protection algorithm and the  $K_{RRCint}$  key, i.e. the integrity protection configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 1> configure lower layers to apply the ciphering algorithm, the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 1> if connected as an RN:
  - 2> configure lower layers to apply the integrity protection algorithm and the  $K_{UPint}$  key, for current or subsequently established DRBs that are configured to apply integrity protection, if any;
- 1> if the received *RRCCConnectionReconfiguration* includes the *sCellToReleaseList*:
  - 2> perform SCell release as specified in 5.3.10.3a;
- 1> if the received *RRCCConnectionReconfiguration* includes the *sCellToAddModList*:
  - 2> perform SCell addition or modification as specified in 5.3.10.3b;
- 1> perform the measurement related actions as specified in 5.5.6.1;
- 1> if the *RRCCConnectionReconfiguration* message includes the *measConfig*:
  - 2> perform the measurement configuration procedure as specified in 5.5.2;
- 1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;
- 1> release *reportProximityConfig* and clear any associated proximity status reporting timer;
- 1> if the *RRCCConnectionReconfiguration* message includes the *reportProximityConfig*:
  - 2> perform the proximity indication in accordance with the received *reportProximityConfig*;
- 1> if the *RRCCConnectionReconfiguration* message includes the *idc-Config*:
  - 2> perform the In-device coexistence indication procedure as specified in 5.6.9;
- 1> if the *RRCCConnectionReconfiguration* message includes the *powerPrefIndicationConfig*:
  - 2> perform the power preference indication procedure as specified in 5.3.15;
- 1> if the *RRCCConnectionReconfiguration* message includes the *obtainLocation*:
  - 2> attempt to have detailed location information available;

NOTE 3: The UE is requested to attempt to have valid detailed location information available whenever sending a measurement report for which it is configured to include available detailed location information. The UE may not succeed e.g. because the user manually disabled the GPS hardware, due to no/ poor satellite coverage. Further details, e.g. regarding when to activate GNSS, are up to UE implementation.

- 1> set the content of *RRCCONNECTIONRECONFIGURATIONCOMPLETE* message as follows:
  - 2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
    - 3> include *rlf-InfoAvailable*;
  - 2> if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
    - 3> include the *logMeasAvailable*;
  - 2> if the UE has connection establishment failure information available in *VarConnEstFail-Report* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFail-Report*:
    - 3> include *connEstFailInfoAvailable*;
- 1> submit the *RRCCONNECTIONRECONFIGURATIONCOMPLETE* message to lower layers for transmission;
- 1> if MAC successfully completes the random access procedure:
  - 2> stop timer T304;
  - 2> apply the parts of the CQI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the target PCell, if any;
  - 2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the target PCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of the target PCell;

NOTE 3: Whenever the UE shall setup or reconfigure a configuration in accordance with a field that is received it applies the new configuration, except for the cases addressed by the above statements.

- 2> the procedure ends;

NOTE 4: The UE is not required to determine the SFN of the target PCell by acquiring system information from that cell before performing RACH access in the target PCell.

### 5.3.5.5 Reconfiguration failure

The UE shall:

- 1> if the UE is unable to comply with (part of) the configuration included in the *RRCCONNECTIONRECONFIGURATION* message:
  - 2> continue using the configuration used prior to the reception of *RRCCONNECTIONRECONFIGURATION* message;
  - 2> if security has not been activated:
    - 3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause other;
  - 2> else:
    - 3> initiate the connection re-establishment procedure as specified in 5.3.7, upon which the connection reconfiguration procedure ends;

NOTE 1: The UE may apply above failure handling also in case the *RRCCONNECTIONRECONFIGURATION* message causes a protocol error for which the generic error handling as defined in 5.7 specifies that the UE shall ignore the message.

NOTE 2: If the UE is unable to comply with part of the configuration, it does not apply any part of the configuration, i.e. there is no partial success/ failure.

### 5.3.5.6 T304 expiry (handover failure)

The UE shall:

1> if T304 expires (handover failure):

NOTE: Following T304 expiry any dedicated preamble, if provided within the *rach-ConfigDedicated*, is not available for use by the UE anymore.

2> revert back to the configuration used in the source PCell, excluding the configuration configured by the *physicalConfigDedicated*, the *mac-MainConfig* and the *sps-Config*;

2> store the following handover failure information in *VarRLF-Report* by setting its fields as follows:

3> clear the information included in *VarRLF-Report*, if any;

3> set the *plmn-IdentityList* to include the list of EPLMNs stored by the UE (i.e. includes the RPLMN);

3> set the *measResultLastServCell* to include the RSRP and RSRQ, if available, of the PCell based on measurements collected up to the moment the UE detected handover failure;

3> set the *measResultNeighCells* to include the best measured cells, other than the PCell, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected handover failure, and set its fields as follows;

4> if the UE was configured to perform measurements for one or more EUTRA frequencies, include the *measResultListEUTRA*;

4> if the UE was configured to perform measurement reporting for one or more neighbouring UTRA frequencies, include the *measResultListUTRA*;

4> if the UE was configured to perform measurement reporting for one or more neighbouring GERAN frequencies, include the *measResultListGERAN*;

4> if the UE was configured to perform measurement reporting for one or more neighbouring CDMA2000 frequencies, include the *measResultsCDMA2000*;

NOTE 1: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Blacklisted cells are not required to be reported.

3> if detailed location information is available, set the content of the *locationInfo* as follows:

4> include the *locationCoordinates*;

4> include the *horizontalVelocity*, if available;

3> set the *failedPCellId* to the global cell identity, if available, and otherwise to the physical cell identity and carrier frequency of the target PCell of the failed handover;

3> include *previousPCellId* and set it to the global cell identity of the PCell where the last *RRCCONNECTIONRECONFIGURATION* message including *mobilityControlInfo* was received;

3> set the *timeConnFailure* to the elapsed time since reception of the last *RRCCONNECTIONRECONFIGURATION* message including the *mobilityControlInfo*;

3> set the *connectionFailureType* to 'hof'; 3> set the *c-RNTI* to the C-RNTI used in the source PCell;

2> initiate the connection re-establishment procedure as specified in 5.3.7, upon which the RRC connection reconfiguration procedure ends;

The UE may discard the handover failure information, i.e. release the UE variable *VarRLF-Report*, 48 hours after the failure is detected, upon power off or upon detach.

NOTE 2: E-UTRAN may retrieve the handover failure information using the UE information procedure with *rlf-ReportReq* set to *true*, as specified in 5.6.5.3.

### 5.3.5.7 Void

### 5.3.5.8 Radio Configuration involving full configuration option

The UE shall:

- 1> release/ clear all current dedicated radio configurations except the C-RNTI, the security configuration and the PDCP, RLC, logical channel configurations for the RBs and the logged measurement configuration;

NOTE 1: Radio configuration is not just the resource configuration but includes other configurations like *MeasConfig* and *OtherConfig*.

- 1> if the *RRCConnectionReconfiguration* message includes the *mobilityControlInfo*:

- 2> release/ clear all current common radio configurations;
- 2> use the default values specified in 9.2.5 for timer T310, T311 and constant N310, N311;

- 1> else:

- 2> use values for timers T301, T310, T311 and constants N310, N311, as included in *ue-TimersAndConstants* received in *SystemInformationBlockType2*;

- 1> apply the default physical channel configuration as specified in 9.2.4;

- 1> apply the default semi-persistent scheduling configuration as specified in 9.2.3;

- 1> apply the default MAC main configuration as specified in 9.2.2;

- 1> for each *srb-Identity* value included in the *srb-ToAddModList* (SRB reconfiguration):

- 2> apply the specified configuration defined in 9.1.2 for the corresponding SRB;
- 2> apply the corresponding default RLC configuration for the SRB specified in 9.2.1.1 for SRB1 or in 9.2.1.2 for SRB2;
- 2> apply the corresponding default logical channel configuration for the SRB as specified in 9.2.1.1 for SRB1 or in 9.2.1.2 for SRB2;

NOTE 2: This is to get the SRBs (SRB1 and SRB2 for handover and SRB2 for reconfiguration after reestablishment) to a known state from which the reconfiguration message can do further configuration.

- 1> for each *eps-BearerIdentity* value included in the *drb-ToAddModList* that is part of the current UE configuration:

- 2> release the PDCP entity;
- 2> release the RLC entity or entities;
- 2> release the DTCH logical channel;
- 2> release the *drb-identity*;

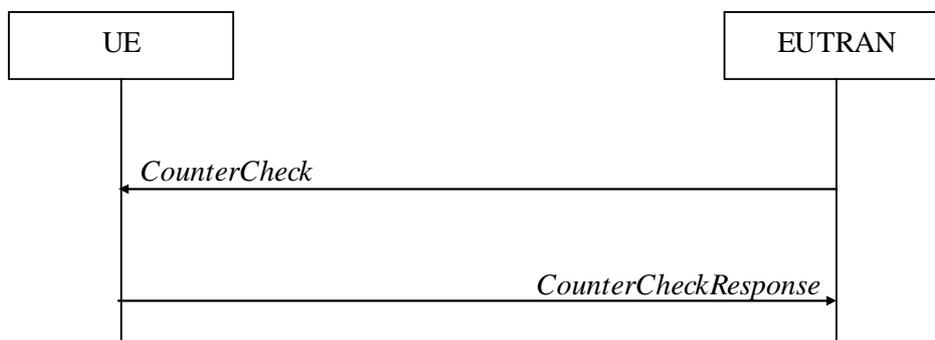
NOTE 3: This will retain the *eps-bearerIdentity* but remove the DRBs including *drb-identity* of these bearers from the current UE configuration and trigger the setup of the DRBs within the AS in Section 5.3.10.3 using the new configuration. The *eps-bearerIdentity* acts as the anchor for associating the released and re-setup DRB.

- 1> for each *eps-BearerIdentity* value that is part of the current UE configuration but not part of the *drb-ToAddModList*:

- 2> perform DRB release as specified in 5.3.10.2;

## 5.3.6 Counter check

### 5.3.6.1 General



**Figure 5.3.6.1-1: Counter check procedure**

The counter check procedure is used by E-UTRAN to request the UE to verify the amount of data sent/ received on each DRB. More specifically, the UE is requested to check if, for each DRB, the most significant bits of the COUNT match with the values indicated by E-UTRAN.

NOTE: The procedure enables E-UTRAN to detect packet insertion by an intruder (a 'man in the middle').

### 5.3.6.2 Initiation

E-UTRAN initiates the procedure by sending a *CounterCheck* message.

NOTE: E-UTRAN may initiate the procedure when any of the COUNT values reaches a specific value.

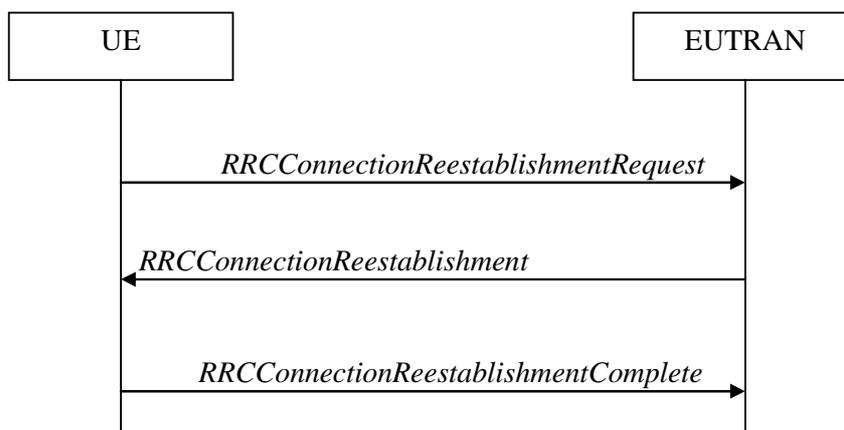
### 5.3.6.3 Reception of the *CounterCheck* message by the UE

Upon receiving the *CounterCheck* message, the UE shall:

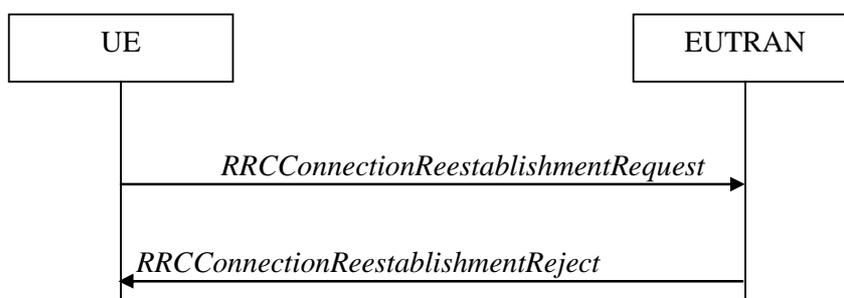
- 1> for each DRB that is established:
  - 2> if no COUNT exists for a given direction (uplink or downlink) because it is a uni-directional bearer configured only for the other direction:
    - 3> assume the COUNT value to be 0 for the unused direction;
  - 2> if the *drb-Identity* is not included in the *drb-CountMSB-InfoList*:
    - 3> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of the corresponding COUNT;
  - 2> else if, for at least one direction, the most significant bits of the COUNT are different from the value indicated in the *drb-CountMSB-InfoList*:
    - 3> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of the corresponding COUNT;
- 1> for each DRB that is included in the *drb-CountMSB-InfoList* in the *CounterCheck* message that is not established:
  - 2> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* with the most significant bits set identical to the corresponding values in the *drb-CountMSB-InfoList* and the least significant bits set to zero;
- 1> submit the *CounterCheckResponse* message to lower layers for transmission upon which the procedure ends;

## 5.3.7 RRC connection re-establishment

### 5.3.7.1 General



**Figure 5.3.7.1-1: RRC connection re-establishment, successful**



**Figure 5.3.7.1-2: RRC connection re-establishment, failure**

The purpose of this procedure is to re-establish the RRC connection, which involves the resumption of SRB1 operation, the re-activation of security and the configuration of only the PCell.

A UE in RRC\_CONNECTED, for which security has been activated, may initiate the procedure in order to continue the RRC connection. The connection re-establishment succeeds only if the concerned cell is prepared i.e. has a valid UE context. In case E-UTRAN accepts the re-establishment, SRB1 operation resumes while the operation of other radio bearers remains suspended. If AS security has not been activated, the UE does not initiate the procedure but instead moves to RRC\_IDLE directly.

E-UTRAN applies the procedure as follows:

- to reconfigure SRB1 and to resume data transfer only for this RB;
- to re-activate AS security without changing algorithms.

### 5.3.7.2 Initiation

The UE shall only initiate the procedure when AS security has been activated. The UE initiates the procedure when one of the following conditions is met:

- 1> upon detecting radio link failure, in accordance with 5.3.11; or
- 1> upon handover failure, in accordance with 5.3.5.6; or
- 1> upon mobility from E-UTRA failure, in accordance with 5.4.3.5; or
- 1> upon integrity check failure indication from lower layers; or

1> upon an RRC connection reconfiguration failure, in accordance with 5.3.5.5;

Upon initiation of the procedure, the UE shall:

- 1> stop timer T310, if running;
- 1> start timer T311;
- 1> suspend all RBs except SRB0;
- 1> reset MAC;
- 1> release the SCell(s), if configured, in accordance with 5.3.10.3a;
- 1> release the secondary TAG(s), if configured, in accordance with 5.3.10.4;
- 1> apply the default physical channel configuration as specified in 9.2.4;
- 1> apply the default semi-persistent scheduling configuration as specified in 9.2.3;
- 1> apply the default MAC main configuration as specified in 9.2.2;
- 1> release *reportProximityConfig* and clear any associated proximity status reporting timer;
- 1> release *measSubframePatternPCell*, if configured;
- 1> if connected as an RN and configured with an RN subframe configuration:
  - 2> release the RN subframe configuration;
- 1> perform cell selection in accordance with the cell selection process as specified in TS 36.304 [4];

### 5.3.7.3 Actions following cell selection while T311 is running

Upon selecting a suitable E-UTRA cell, the UE shall:

- 1> stop timer T311;
- 1> start timer T301;
- 1> apply the *timeAlignmentTimerCommon* included in *SystemInformationBlockType2*;
- 1> initiate transmission of the *RRCConnectionReestablishmentRequest* message in accordance with 5.3.7.4;

NOTE: This procedure applies also if the UE returns to the source PCell.

Upon selecting an inter-RAT cell, the UE shall:

- 1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

### 5.3.7.4 Actions related to transmission of *RRCConnectionReestablishmentRequest* message

If the procedure was initiated due to radio link failure or handover failure, the UE shall:

- 1> set the *reestablishmentCellId* in the *VarRLF-Report* to the global cell identity of the selected cell;

The UE shall set the contents of *RRCConnectionReestablishmentRequest* message as follows:

- 1> set the *ue-Identity* as follows:
  - 2> set the *c-RNTI* to the C-RNTI used in the source PCell (handover and mobility from E-UTRA failure) or used in the PCell in which the trigger for the re-establishment occurred (other cases);
  - 2> set the *physCellId* to the physical cell identity of the source PCell (handover and mobility from E-UTRA failure) or of the PCell in which the trigger for the re-establishment occurred (other cases);

- 2> set the *shortMAC-I* to the 16 least significant bits of the MAC-I calculated:
  - 3> over the ASN.1 encoded as per section 8 (i.e., a multiple of 8 bits) *VarShortMAC-Input*;
  - 3> with the  $K_{\text{RRCint}}$  key and integrity protection algorithm that was used in the source PCell (handover and mobility from E-UTRA failure) or of the PCell in which the trigger for the re-establishment occurred (other cases); and
  - 3> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;
- 1> set the *reestablishmentCause* as follows:
  - 2> if the re-establishment procedure was initiated due to reconfiguration failure as specified in 5.3.5.5 (the UE is unable to comply with the reconfiguration):
    - 3> set the *reestablishmentCause* to the value *reconfigurationFailure*;
  - 2> else if the re-establishment procedure was initiated due to handover failure as specified in 5.3.5.6 (intra-LTE handover failure) or 5.4.3.5 (inter-RAT mobility from EUTRA failure):
    - 3> set the *reestablishmentCause* to the value *handoverFailure*;
  - 2> else:
    - 3> set the *reestablishmentCause* to the value *otherFailure*;

The UE shall submit the *RRConnectionReestablishmentRequest* message to lower layers for transmission.

### 5.3.7.5 Reception of the *RRConnectionReestablishment* by the UE

NOTE: Prior to this, lower layer signalling is used to allocate a C-RNTI. For further details see TS 36.321 [6];

The UE shall:

- 1> stop timer T301;
- 1> consider the current cell to be the PCell;
- 1> re-establish PDCP for SRB1;
- 1> re-establish RLC for SRB1;
- 1> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10;
- 1> resume SRB1;

NOTE: E-UTRAN should not transmit any message on SRB1 prior to receiving the *RRConnectionReestablishmentComplete* message.

- 1> update the  $K_{\text{eNB}}$  key based on the  $K_{\text{ASME}}$  key to which the current  $K_{\text{eNB}}$  is associated, using the *nextHopChainingCount* value indicated in the *RRConnectionReestablishment* message, as specified in TS 33.401 [32];
- 1> store the *nextHopChainingCount* value;
- 1> derive the  $K_{\text{RRCint}}$  key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];
- 1> derive the  $K_{\text{RRCenc}}$  key and the  $K_{\text{UPenc}}$  key associated with the previously configured ciphering algorithm, as specified in TS 33.401 [32];
- 1> if connected as an RN:
  - 2> derive the  $K_{\text{UPint}}$  key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];

- 1> configure lower layers to activate integrity protection using the previously configured algorithm and the  $K_{RRcInt}$  key immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 1> if connected as an RN:
  - 2> configure lower layers to apply integrity protection using the previously configured algorithm and the  $K_{UPint}$  key, for subsequently resumed or subsequently established DRBs that are configured to apply integrity protection, if any;
- 1> configure lower layers to apply ciphering using the previously configured algorithm, the  $K_{RRcEnc}$  key and the  $K_{UPenc}$  key immediately, i.e., ciphering shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 1> set the content of *RRCConnectionReestablishmentComplete* message as follows:
  - 2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
    - 3> include the *rlf-InfoAvailable*;
  - 2> if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
    - 3> include the *logMeasAvailable*;
  - 2> if the UE has connection establishment failure information available in *VarConnEstFail-Report* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFail-Report*:
    - 3> include the *connEstFailInfoAvailable*;
- 1> perform the measurement related actions as specified in 5.5.6.1;
- 1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;
- 1> submit the *RRCConnectionReestablishmentComplete* message to lower layers for transmission, upon which the procedure ends;

### 5.3.7.6 T311 expiry

Upon T311 expiry, the UE shall:

- 1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

### 5.3.7.7 T301 expiry or selected cell no longer suitable

The UE shall:

- 1> if timer T301 expires; or
- 1> if the selected cell becomes no longer suitable according to the cell selection criteria as specified in TS 36.304 [4]:
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

### 5.3.7.8 Reception of *RRCConnectionReestablishmentReject* by the UE

Upon receiving the *RRCConnectionReestablishmentReject* message, the UE shall:

- 1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

## 5.3.8 RRC connection release

### 5.3.8.1 General



**Figure 5.3.8.1-1: RRC connection release, successful**

The purpose of this procedure is to release the RRC connection, which includes the release of the established radio bearers as well as all radio resources.

### 5.3.8.2 Initiation

E-UTRAN initiates the RRC connection release procedure to a UE in RRC\_CONNECTED.

### 5.3.8.3 Reception of the *RRCConnectionRelease* by the UE

The UE shall:

- 1> delay the following actions defined in this sub-clause 60 ms from the moment the *RRCConnectionRelease* message was received or optionally when lower layers indicate that the receipt of the *RRCConnectionRelease* message has been successfully acknowledged, whichever is earlier;
- 1> if the *RRCConnectionRelease* message includes the *idleModeMobilityControlInfo*:
  - 2> store the cell reselection priority information provided by the *idleModeMobilityControlInfo*;
  - 2> if the *t320* is included:
    - 3> start timer T320, with the timer value set according to the value of *t320*;
- 1> else:
  - 2> apply the cell reselection priority information broadcast in the system information;
- 1> if the *releaseCause* received in the *RRCConnectionRelease* message indicates *loadBalancingTAURequired*:
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'load balancing TAU required';
- 1> else if the *releaseCause* received in the *RRCConnectionRelease* message indicates *cs-FallbackHighPriority*:
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'CS Fallback High Priority';
- 1> else:
  - 2> if the *extendedWaitTime* is present and the UE supports delay tolerant access:
    - 3> forward the *extendedWaitTime* to upper layers;
  - 2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause other';

### 5.3.8.4 T320 expiry

The UE shall:

- 1> if T320 expires:

- 2> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo* or inherited from another RAT;
- 2> apply the cell reselection priority information broadcast in the system information;

## 5.3.9 RRC connection release requested by upper layers

### 5.3.9.1 General

The purpose of this procedure is to release the RRC connection. Access to the current PCell may be barred as a result of this procedure.

NOTE: Upper layers invoke the procedure, e.g. upon determining that the network has failed an authentication check, see TS 24.301 [35].

### 5.3.9.2 Initiation

The UE initiates the procedure when upper layers request the release of the RRC connection. The UE shall not initiate the procedure for power saving purposes.

The UE shall:

- 1> if the upper layers indicate barring of the PCell:
  - 2> treat the PCell used prior to entering RRC\_IDLE as barred according to TS 36.304 [4];
- 1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';

## 5.3.10 Radio resource configuration

### 5.3.10.0 General

The UE shall:

- 1> if the received *radioResourceConfigDedicated* includes the *srb-ToAddModList*:
  - 2> perform the SRB addition or reconfiguration as specified in 5.3.10.1;
- 1> if the received *radioResourceConfigDedicated* includes the *drb-ToReleaseList*:
  - 2> perform DRB release as specified in 5.3.10.2;
- 1> if the received *radioResourceConfigDedicated* includes the *drb-ToAddModList*:
  - 2> perform DRB addition or reconfiguration as specified in 5.3.10.3;
- 1> if the received *radioResourceConfigDedicated* includes the *mac-MainConfig*:
  - 2> perform MAC main reconfiguration as specified in 5.3.10.4;
- 1> if the received *radioResourceConfigDedicated* includes *sps-Config*:
  - 2> perform SPS reconfiguration according to 5.3.10.5;
- 1> if the received *radioResourceConfigDedicated* includes the *physicalConfigDedicated*:
  - 2> reconfigure the physical channel configuration as specified in 5.3.10.6.
- 1> if the received *radioResourceConfigDedicated* includes the *rlf-TimersAndConstants*:
  - 2> reconfigure the values of timers and constants as specified in 5.3.10.7;
- 1> if the received *radioResourceConfigDedicated* includes the *measSubframePatternPCell*:

- 2> reconfigure the time domain measurement resource restriction for the serving cell as specified in 5.3.10.8;

### 5.3.10.1 SRB addition/ modification

The UE shall:

- 1> for each *srb-Identity* value included in the *srb-ToAddModList* that is not part of the current UE configuration (SRB establishment):
  - 2> apply the specified configuration defined in 9.1.2 for the corresponding SRB;
  - 2> establish a PDCP entity and configure it with the current security configuration, if applicable;
  - 2> establish an RLC entity in accordance with the received *rlc-Config*;
  - 2> establish a DCCH logical channel in accordance with the received *logicalChannelConfig* and with the logical channel identity set in accordance with 9.1.2;
- 1> for each *srb-Identity* value included in the *srb-ToAddModList* that is part of the current UE configuration (SRB reconfiguration):
  - 2> reconfigure the RLC entity in accordance with the received *rlc-Config*;
  - 2> reconfigure the DCCH logical channel in accordance with the received *logicalChannelConfig*;

### 5.3.10.2 DRB release

The UE shall:

- 1> for each *drb-Identity* value included in the *drb-ToReleaseList* that is part of the current UE configuration (DRB release); or
- 1> for each *drb-identity* value that is to be released as the result of full configuration option according to 5.3.5.8:
  - 2> release the PDCP entity;
  - 2> release the RLC entity or entities;
  - 2> release the DTCH logical channel;
- 1> if the procedure was triggered due to handover:
  - 2> indicate the release of the DRB(s) and the *eps-BearerIdentity* of the released DRB(s) to upper layers after successful handover;
- 1> else:
  - 2> indicate the release of the DRB(s) and the *eps-BearerIdentity* of the released DRB(s) to upper layers immediately.

NOTE: The UE does not consider the message as erroneous if the *drb-ToReleaseList* includes any *drb-Identity* value that is not part of the current UE configuration.

### 5.3.10.3 DRB addition/ modification

The UE shall:

- 1> for each *drb-Identity* value included in the *drb-ToAddModList* that is not part of the current UE configuration (DRB establishment including the case when full configuration option is used):
  - 2> establish a PDCP entity and configure it with the current security configuration and in accordance with the received *pdcp-Config*;
  - 2> establish an RLC entity or entities in accordance with the received *rlc-Config*;

- 2> establish a DTCH logical channel in accordance with the received *logicalChannelIdentity* and the received *logicalChannelConfig*;
  - 1> if the *RRCConnectionReconfiguration* message includes the *fullConfig* IE:
    - 2> associate the established DRB with corresponding included *eps-BearerIdentity*;
  - 1> else:
    - 2> indicate the establishment of the DRB(s) and the *eps-BearerIdentity* of the established DRB(s) to upper layers;
  - 1> for each *drb-Identity* value included in the *drb-ToAddModList* that is part of the current UE configuration (DRB reconfiguration):
    - 2> if the *pdcp-Config* is included:
      - 3> reconfigure the PDCP entity in accordance with the received *pdcp-Config*;
    - 2> if the *rlc-Config* is included:
      - 3> reconfigure the RLC entity or entities in accordance with the received *rlc-Config*;
    - 2> if the *logicalChannelConfig* is included:
      - 3> reconfigure the DTCH logical channel in accordance with the received *logicalChannelConfig*;
- NOTE: Removal and addition of the same *drb-Identity* in single *radioResourceConfiguration* is not supported.

### 5.3.10.3a SCell release

The UE shall:

- 1> if the release is triggered by reception of the *sCellToReleaseList*:
  - 2> for each *sCellIndex* value included in the *sCellToReleaseList*:
    - 3> if the current UE configuration includes an SCell with value *sCellIndex*:
      - 4> release the SCell;
- 1> if the release is triggered by RRC connection re-establishment:
  - 2> release all SCells that are part of the current UE configuration;

### 5.3.10.3b SCell addition/ modification

The UE shall:

- 1> for each *sCellIndex* value included in the *sCellToAddModList* that is not part of the current UE configuration (SCell addition):
  - 2> add the SCell, corresponding to the *cellIdentification*, in accordance with the received *radioResourceConfigCommonSCell* and *radioResourceConfigDedicatedSCell*;
  - 2> configure lower layers to consider the SCell to be in deactivated state;
- 1> for each *sCellIndex* value included in the *sCellToAddModList* that is part of the current UE configuration (SCell modification):
  - 2> modify the SCell configuration in accordance with the received *radioResourceConfigDedicatedSCell*;

### 5.3.10.4 MAC main reconfiguration

The UE shall:

- 1> reconfigure the MAC main configuration in accordance with the received *mac-MainConfig* other than *secTAG-ToReleaseList* and *secTAG-ToAddModList*;
- 1> if the received *mac-MainConfig* includes the *secTAG-ToReleaseList*:
  - 2> for each *SecTAG-Id* value included in the *secTAG-ToReleaseList*:
    - 3> release the secondary TAG indicated by *SecTAG-Id*, if that TAG is part of the current UE configuration;
- 1> if the received *mac-MainConfig* includes the *secTAG-ToAddModList*:
  - 2> for each *secTAG-Id* value included in *secTAG-ToAddModList* that is not part of the current UE configuration (secondary TAG addition):
    - 3> add the secondary TAG, corresponding to the *secTAG-Id*, in accordance with the received *timeAlignmentTimerSecTAG*;
  - 2> for each *secTAG-Id* value included in *secTAG-ToAddModList* that is part of the current UE configuration (secondary TAG modification):
    - 3> reconfigure the secondary TAG, corresponding to the *secTAG-Id*, in accordance with the received *timeAlignmentTimerSecTAG*;

### 5.3.10.5 Semi-persistent scheduling reconfiguration

The UE shall:

- 1> reconfigure the semi-persistent scheduling in accordance with the received *sps-Config*;

### 5.3.10.6 Physical channel reconfiguration

The UE shall:

- 1> if the *antennaInfo-r10* is included in the received *physicalConfigDedicated* and the previous version of this field that was received by the UE was *antennaInfo* (without suffix i.e. the version defined in REL-8):
  - 2> apply the default antenna configuration as specified in section 9.2.4;
- 1> if the *cqi-ReportConfig-r10* is included in the received *physicalConfigDedicated* and the previous version of this field that was received by the UE was *cqi-ReportConfig* (without suffix i.e. the version defined in REL-8):
  - 2> apply the default CQI reporting configuration as specified in 9.2.4;

NOTE: Application of the default configuration involves release of all extensions introduced in REL-9 and later.

- 1> reconfigure the physical channel configuration in accordance with the received *physicalConfigDedicated*;
- 1> if the *antennaInfo* is included and set to *explicitValue*:
  - 2> if the configured *transmissionMode* is not *tm3* or *tm4* or *tm8* or *tm9*; or
  - 2> if the configured *transmissionMode* is *tm8* and *pmi-RI-Report* is not present; or
  - 2> if the configured *transmissionMode* is *tm9* and *pmi-RI-Report* is not present; or
  - 2> if the configured *transmissionMode* is *tm9* and *pmi-RI-Report* is present and *antennaPortsCount* within *csi-RS* is set to *an1*:
    - 3> release *ri-ConfigIndex* in *cqi-ReportPeriodic*, if previously configured;
- 1> else if the *antennaInfo* is included and set to *defaultValue*:
  - 2> release *ri-ConfigIndex* in *cqi-ReportPeriodic*, if previously configured;

### 5.3.10.7 Radio Link Failure Timers and Constants reconfiguration

The UE shall:

- 1> if the received *rlf-TimersAndConstants* is set to release:
  - 2> use values for timers T301, T310, T311 and constants N310, N311, as included in *ue-TimersAndConstants* received in *SystemInformationBlockType2*;
- 1> else:
  - 2> reconfigure the value of timers and constants in accordance with received *rlf-TimersAndConstants*;

### 5.3.10.8 Time domain measurement resource restriction for serving cell

The UE shall:

- 1> if the received *measSubframePatternPCell* is set to *release*:
  - 2> release the time domain measurement resource restriction for the PCell, if previously configured
- 1> else:
  - 2> apply the time domain measurement resource restriction for the PCell in accordance with the received *measSubframePatternPCell*;

## 5.3.11 Radio link failure related actions

### 5.3.11.1 Detection of physical layer problems in RRC\_CONNECTED

The UE shall:

- 1> upon receiving N310 consecutive "out-of-sync" indications for the PCell from lower layers while neither T300, T301, T304 nor T311 is running;
- 2> start timer T310;

NOTE: Physical layer monitoring and related autonomous actions do not apply to SCells.

### 5.3.11.2 Recovery of physical layer problems

Upon receiving N311 consecutive "in-sync" indications for the PCell from lower layers while T310 is running, the UE shall:

- 1> stop timer T310;

NOTE 1: In this case, the UE maintains the RRC connection without explicit signalling, i.e. the UE maintains the entire radio resource configuration.

NOTE 2: Periods in time where neither "in-sync" nor "out-of-sync" is reported by layer 1 do not affect the evaluation of the number of consecutive "in-sync" or "out-of-sync" indications.

### 5.3.11.3 Detection of radio link failure

The UE shall:

- 1> upon T310 expiry; or
- 1> upon random access problem indication from MAC while neither T300, T301, T304 nor T311 is running; or
- 1> upon indication from RLC that the maximum number of retransmissions has been reached:

- 2> consider radio link failure to be detected;
- 2> store the following radio link failure information in the *VarRLF-Report* by setting its fields as follows:
  - 3> clear the information included in *VarRLF-Report*, if any;
  - 3> set the *plmn-IdentityList* to include the list of EPLMNs stored by the UE (i.e. includes the RPLMN);
  - 3> set the *measResultLastServCell* to include the RSRP and RSRQ, if available, of the PCell based on measurements collected up to the moment the UE detected radio link failure;
  - 3> set the *measResultNeighCells* to include the best measured cells, other than the PCell, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected radio link failure, and set its fields as follows:
    - 4> if the UE was configured to perform measurements for one or more EUTRA frequencies, include the *measResultListEUTRA*;
    - 4> if the UE was configured to perform measurement reporting for one or more neighbouring UTRA frequencies, include the *measResultListUTRA*;
    - 4> if the UE was configured to perform measurement reporting for one or more neighbouring GERAN frequencies, include the *measResultListGERAN*;
    - 4> if the UE was configured to perform measurement reporting for one or more neighbouring CDMA2000 frequencies, include the *measResultsCDMA2000*;

NOTE: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Blacklisted cells are not required to be reported.

- 3> if detailed location information is available, set the content of the *locationInfo* as follows:
  - 4> include the *locationCoordinates*;
  - 4> include the *horizontalVelocity*, if available;
- 3> set the *failedPCellId* to the global cell identity, if available, and otherwise to the physical cell identity and carrier frequency of the PCell where radio link failure is detected;
- 3> if an *RRCCConnectionReconfiguration* message including the *mobilityControlInfo* was received before the connection failure:
  - 4> include *previousPCellId* and set it to the global cell identity of the PCell where the last *RRCCConnectionReconfiguration* including the *mobilityControlInfo* message was received;
  - 4> set the *timeConnFailure* to the elapsed time since reception of the last *RRCCConnectionReconfiguration* message including the *mobilityControlInfo*;
- 3> set the *connectionFailureType* to *rlf*;
- 3> set the *c-RNTI* to the C-RNTI used in the PCell;
- 3> set the *rlf-Cause* as follows:
  - 4> if radio link failure was triggered due to T310 expiry:
    - 5> set the *rlf-Cause* to the value *t310Expiry*;
  - 4> else if radio link failure was triggered due to random access problem indication from MAC:
    - 5> set the *rlf-Cause* to the value *randomAccessProblem*;
  - 4> else (radio link failure was triggered due to indication from RLC that the maximum number of retransmissions has been reached):
    - 5> set the *rlf-Cause* to the value *rlc-MaxNumRetx*;

- 2> if AS security has not been activated:
  - 3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';
- 2> else:
  - 3> initiate the connection re-establishment procedure as specified in 5.3.7;

The UE may discard the radio link failure information, i.e. release the UE variable *VarRLF-Report* 48, hours after the radio link failure is detected, upon power off or upon detach.

### 5.3.12 UE actions upon leaving RRC\_CONNECTED

Upon leaving RRC\_CONNECTED, the UE shall:

- 1> reset MAC;
- 1> stop all timers that are running except T320 and T330;
- 1> release all radio resources, including release of the RLC entity, the MAC configuration and the associated PDCP entity for all established RBs;
- 1> indicate the release of the RRC connection to upper layers together with the release cause;
- 1> if leaving RRC\_CONNECTED was triggered neither by reception of the *MobilityFromEUTRACommand* message nor by selecting an inter-RAT cell while T311 was running:
  - 2> enter RRC\_IDLE and perform procedures as specified in TS 36.304 [4, 5.2.7];

### 5.3.13 UE actions upon PUCCH/ SRS release request

Upon receiving a PUCCH/ SRS release request from lower layers, the UE shall:

- 1> apply the default physical channel configuration for *cqi-ReportConfig* as specified in 9.2.4 and release *cqi-ReportConfigSCell*, for each SCell that is configured, if any;
- 1> apply the default physical channel configuration for *soundingRS-UL-ConfigDedicated* as specified in 9.2.4, for all serving cells;
- 1> apply the default physical channel configuration for *schedulingRequestConfig* as specified in 9.2.4;

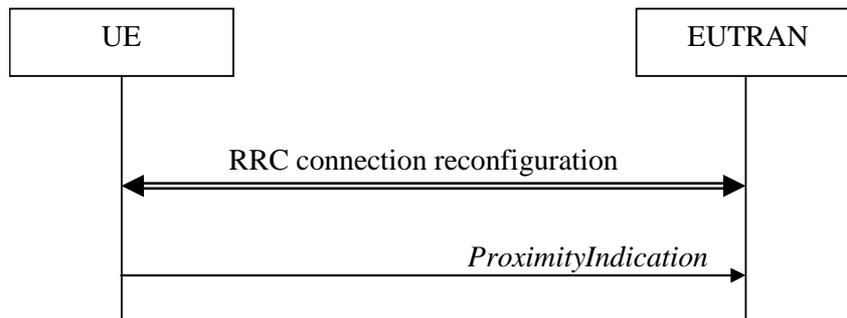
Upon receiving an SRS release request from lower layers, the UE shall:

- 1> apply the default physical channel configuration for *soundingRS-UL-ConfigDedicated*, as specified in 9.2.4, for the cells of the concerned TAG;

NOTE: Upon PUCCH/ SRS release request, the UE does not modify the *soundingRS-UL-ConfigDedicatedAperiodic* i.e. it does not apply the default for this field (release).

## 5.3.14 Proximity indication

### 5.3.14.1 General



**Figure 5.3.14.1-1: Proximity indication**

The purpose of this procedure is to indicate that the UE is entering or leaving the proximity of one or more CSG member cells. The detection of proximity is based on an autonomous search function as defined in TS 36.304 [4].

### 5.3.14.2 Initiation

A UE in RRC\_CONNECTED shall:

- 1> if the UE enters the proximity of one or more CSG member cell(s) on an E-UTRA frequency while proximity indication is enabled for such E-UTRA cells; or
- 1> if the UE enters the proximity of one or more CSG member cell(s) on an UTRA frequency while proximity indication is enabled for such UTRA cells; or
- 1> if the UE leaves the proximity of all CSG member cell(s) on an E-UTRA frequency while proximity indication is enabled for such E-UTRA cells; or
- 1> if the UE leaves the proximity of all CSG member cell(s) on an UTRA frequency while proximity indication is enabled for such UTRA cells:
  - 2> if the UE has previously not transmitted a *ProximityIndication* for the RAT and frequency during the current RRC connection, or if more than 5 s has elapsed since the UE has last transmitted a *ProximityIndication* (either entering or leaving) for the RAT and frequency:
    - 3> initiate transmission of the *ProximityIndication* message in accordance with 5.3.14.3;

**NOTE:** In the conditions above, "if the UE enters the proximity of one or more CSG member cell(s)" includes the case of already being in the proximity of such cell(s) at the time proximity indication for the corresponding RAT is enabled.

### 5.3.14.3 Actions related to transmission of *ProximityIndication* message

The UE shall set the contents of *ProximityIndication* message as follows:

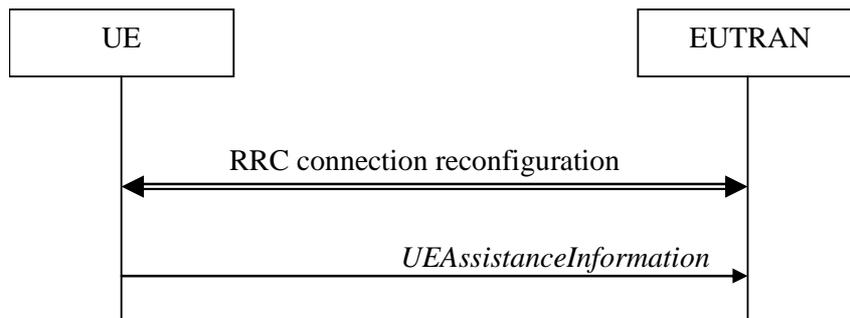
- 1> if the UE applies the procedure to report entering the proximity of CSG member cell(s):
  - 2> set *type* to *entering*;
- 1> else if the UE applies the procedure to report leaving the proximity of CSG member cell(s):
  - 2> set *type* to *leaving*;
- 1> if the proximity indication was triggered for one or more CSG member cell(s) on an E-UTRA frequency:
  - 2> set the *carrierFreq* to *eutra* with the value set to the E-ARFCN value of the E-UTRA cell(s) for which proximity indication was triggered;

- 1> else if the proximity indication was triggered for one or more CSG member cell(s) on a UTRA frequency:
  - 2> set the *carrierFreq* to *utra* with the value set to the ARFCN value of the UTRA cell(s) for which proximity indication was triggered;

The UE shall submit the *ProximityIndication* message to lower layers for transmission.

## 5.3.15 UE Assistance Information

### 5.3.15.1 General



**Figure 5.3.15.1-1: UE Assistance Information**

The purpose of this procedure is to inform E-UTRAN of the UE's power saving preference. E-UTRAN may assume that the UE prefers a default configuration for power saving initially when it configures and enables the UE for power preference indication.

### 5.3.15.2 Initiation

A UE capable of providing power preference indications in RRC\_CONNECTED may initiate the procedure only if:

- 1> the received *powerPrefIndicationConfig* includes the *powerPrefIndication-Enabled*; and
- 1> the UE did not indicate any power saving preference since last entering RRC\_CONNECTED on the current Pcell, or the current UE preference is different from the one indicated in the last transmission of the *UEAssistanceInformation* message to the current Pcell; and
- 1> timer T340 is not running.

**Editor's Note:** It is FFS whether the first transmission of the *powerPrefIndication* is restricted so that it can only be set to *lowpowerconsumption*.

Upon initiating the procedure, the UE shall:

- 1> if the UE prefers a default configuration for power saving:
  - 2> start timer T340 with the timer value set to the *powerPrefIndication-Timer*;
- 1> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.3.15.3;

**Editor's Note:** It is FFS whether T340 is started also in case where the UE prefers a configuration that is primarily optimized for power saving.

### 5.3.15.3 Actions related to transmission of *UEAssistanceInformation* message

The UE shall set the contents of *UEAssistanceInformation* message as follows:

- 1> if the UE prefers a default configuration for power saving:
  - 2> set *powerPrefIndication* to *default*;
- 1> else if the UE prefers a configuration primarily optimised for power saving:

2> set *powerPrefIndication* to *lowpowerconsumption*;

The UE shall submit the *UEAssistanceInformation* message to lower layers for transmission.

## 5.4 Inter-RAT mobility

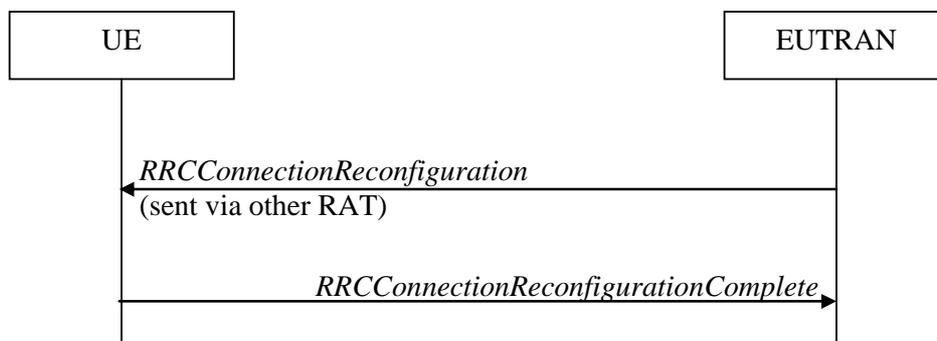
### 5.4.1 Introduction

The general principles of connected mode mobility are described in 5.3.1.3. The general principles of the security handling upon connected mode mobility are described in 5.3.1.2.

For the (network controlled) inter RAT mobility from E-UTRA for a UE in *RRC\_CONNECTED*, a single procedure is defined that supports both handover, cell change order with optional network assistance (NACC) and enhanced CS fallback to CDMA2000 1xRTT. In case of mobility to CDMA2000, the eNB decides when to move to the other RAT while the target RAT determines to which cell the UE shall move.

### 5.4.2 Handover to E-UTRA

#### 5.4.2.1 General



**Figure 5.4.2.1-1: Handover to E-UTRA, successful**

The purpose of this procedure is to, under the control of the network, transfer a connection between the UE and another Radio Access Network (e.g. GERAN or UTRAN) to E-UTRAN.

The handover to E-UTRA procedure applies when SRBs, possibly in combination with DRBs, are established in another RAT. Handover from UTRAN to E-UTRAN applies only after integrity has been activated in UTRAN.

#### 5.4.2.2 Initiation

The RAN using another RAT initiates the Handover to E-UTRA procedure, in accordance with the specifications applicable for the other RAT, by sending the *RRCConnectionReconfiguration* message via the radio access technology from which the inter-RAT handover is performed.

E-UTRAN applies the procedure as follows:

- to activate ciphering, possibly using NULL algorithm, if not yet activated in the other RAT;
- to establish SRB1, SRB2 and one or more DRBs, i.e. at least the DRB associated with the default EPS bearer is established;

#### 5.4.2.3 Reception of the *RRCConnectionReconfiguration* by the UE

If the UE is able to comply with the configuration included in the *RRCConnectionReconfiguration* message, the UE shall:

- 1> apply the default physical channel configuration as specified in 9.2.4;

- 1> apply the default semi-persistent scheduling configuration as specified in 9.2.3;
- 1> apply the default MAC main configuration as specified in 9.2.2;
- 1> start timer T304 with the timer value set to  $t_{304}$ , as included in the *mobilityControlInfo*;
- 1> consider the target PCell to be one on the frequency indicated by the *carrierFreq* with a physical cell identity indicated by the *targetPhysCellId*;
- 1> start synchronising to the DL of the target PCell;
- 1> set the C-RNTI to the value of the *newUE-Identity*;
- 1> for the target PCell, apply the downlink bandwidth indicated by the *dl-Bandwidth*;
- 1> for the target PCell, apply the uplink bandwidth indicated by (the absence or presence of) the *ul-Bandwidth*;
- 1> configure lower layers in accordance with the received *radioResourceConfigCommon*;
- 1> configure lower layers in accordance with any additional fields, not covered in the previous, if included in the received *mobilityControlInfo*;
- 1> perform the radio resource configuration procedure as specified in 5.3.10;
- 1> forward the *nas-SecurityParamToEUTRA* to the upper layers;
- 1> derive the  $K_{eNB}$  key, as specified in TS 33.401 [32];
- 1> derive the  $K_{RRCint}$  key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];
- 1> derive the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];
- 1> configure lower layers to apply the indicated integrity protection algorithm and the  $K_{RRCint}$  key immediately, i.e. the indicated integrity protection configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 1> configure lower layers to apply the indicated ciphering algorithm, the  $K_{RRCenc}$  key and the  $K_{UPenc}$  key immediately, i.e. the indicated ciphering configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;
- 1> if the received *RRCCConnectionReconfiguration* includes the *sCellToAddModList*:
  - 2> perform SCell addition as specified in 5.3.10.3b;
- 1> if the *RRCCConnectionReconfiguration* message includes the *measConfig*:
  - 2> perform the measurement configuration procedure as specified in 5.5.2;
- 1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;
- 1> if the *RRCCConnectionReconfiguration* message includes the *reportProximityConfig*:
  - 2> perform the proximity indication configuration in accordance with the received *reportProximityConfig*;
- 1> if the *RRCCConnectionReconfiguration* message includes the *idc-Config*:
  - 2> perform the In-device coexistence indication procedure as specified in 5.6.9;
- 1> if the *RRCCConnectionReconfiguration* message includes the *powerPrefIndicationConfig*:
  - 2> perform the power preference indication procedure as specified in 5.3.15;
- 1> if the *RRCCConnectionReconfiguration* message includes the *obtainLocation*:
  - 2> attempt to have detailed location information available;

NOTE 1: The UE is requested to attempt to have valid detailed location information available whenever sending a measurement report for which it is configured to include available detailed location information. The UE may not succeed e.g. because the user manually disabled the GPS hardware, due to no/ poor satellite coverage. Further details, e.g. regarding when to activate GNSS, are up to UE implementation.

- 1> set the content of *RRCCONNECTIONRECONFIGURATIONCOMPLETE* message as follows:
  - 2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
    - 3> include *rlf-InfoAvailable*;
  - 2> if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
    - 3> include the *logMeasAvailable*;
  - 2> if the UE has connection establishment failure information available in *VarConnEstFail-Report* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFail-Report*:
    - 3> include *connEstFailInfoAvailable*;
- 1> submit the *RRCCONNECTIONRECONFIGURATIONCOMPLETE* message to lower layers for transmission using the new configuration;
- 1> if the *RRCCONNECTIONRECONFIGURATION* message does not include *rlf-TimersAndConstants* set to *setup*:
  - 2> use the default values specified in 9.2.5 for timer T310, T311 and constant N310, N311;
- 1> if MAC successfully completes the random access procedure:
  - 2> stop timer T304;
  - 2> apply the parts of the CQI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the target PCell, if any;
  - 2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the target PCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of the target PCell;

NOTE 2: Whenever the UE shall setup or reconfigure a configuration in accordance with a field that is received it applies the new configuration, except for the cases addressed by the above statements.

- 2> enter E-UTRA RRC\_CONNECTED, upon which the procedure ends;

NOTE 3: The UE is not required to determine the SFN of the target PCell by acquiring system information from that cell before performing RACH access in the target PCell.

#### 5.4.2.4 Reconfiguration failure

The UE shall:

- 1> if the UE is unable to comply with (part of) the configuration included in the *RRCCONNECTIONRECONFIGURATION* message:
  - 2> perform the actions defined for this failure case as defined in the specifications applicable for the other RAT;

NOTE 1: The UE may apply above failure handling also in case the *RRCCONNECTIONRECONFIGURATION* message causes a protocol error for which the generic error handling as defined in 5.7 specifies that the UE shall ignore the message.

NOTE 2: If the UE is unable to comply with part of the configuration, it does not apply any part of the configuration, i.e. there is no partial success/ failure.

### 5.4.2.5 T304 expiry (handover to E-UTRA failure)

The UE shall:

- 1> upon T304 expiry (handover to E-UTRA failure):
  - 2> reset MAC;
  - 2> perform the actions defined for this failure case as defined in the specifications applicable for the other RAT;

## 5.4.3 Mobility from E-UTRA

### 5.4.3.1 General

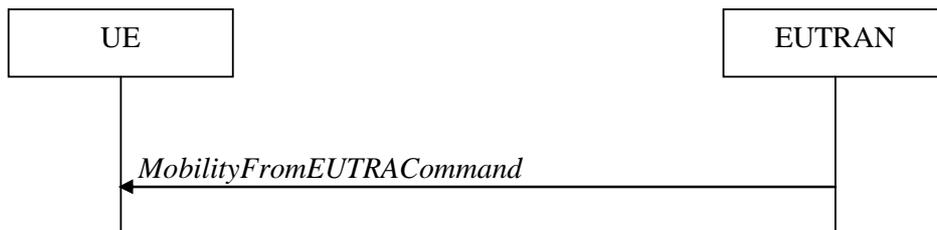


Figure 5.4.3.1-1: Mobility from E-UTRA, successful

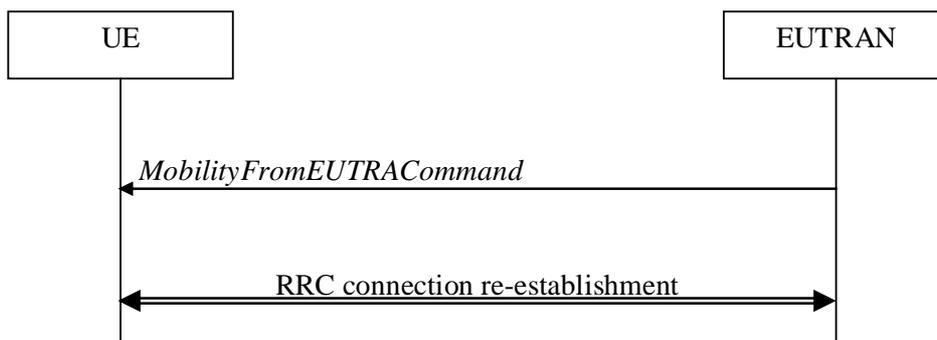


Figure 5.4.3.1-2: Mobility from E-UTRA, failure

The purpose of this procedure is to move a UE in RRC\_CONNECTED to a cell using another Radio Access Technology (RAT), e.g. GERAN, UTRA or CDMA2000 systems. The mobility from E-UTRA procedure covers the following type of mobility:

- handover, i.e. the *MobilityFromEUTRACommand* message includes radio resources that have been allocated for the UE in the target cell;
- cell change order, i.e. the *MobilityFromEUTRACommand* message may include information facilitating access of and/ or connection establishment in the target cell, e.g. system information. Cell change order is applicable only to GERAN; and
- enhanced CS fallback to CDMA2000 1xRTT, i.e. the *MobilityFromEUTRACommand* message includes radio resources that have been allocated for the UE in the target cell. The enhanced CS fallback to CDMA2000 1xRTT may be combined with concurrent handover or redirection to CDMA2000 HRPD.

NOTE: For the case of dual receiver/transmitter enhanced CS fallback to CDMA2000 1xRTT, the *DLInformationTransfer* message is used instead of the *MobilityFromEUTRACommand* message (see TS 36.300 [9]).

### 5.4.3.2 Initiation

E-UTRAN initiates the mobility from E-UTRA procedure to a UE in RRC\_CONNECTED, possibly in response to a *MeasurementReport* message or in response to reception of CS fallback indication for the UE from MME, by sending a *MobilityFromEUTRACommand* message. E-UTRAN applies the procedure as follows:

- the procedure is initiated only when AS-security has been activated, and SRB2 with at least one DRB are setup and not suspended;

### 5.4.3.3 Reception of the *MobilityFromEUTRACommand* by the UE

The UE shall be able to receive a *MobilityFromEUTRACommand* message and perform a cell change order to GERAN, even if no prior UE measurements have been performed on the target cell.

The UE shall:

- 1> stop timer T310, if running;
- 1> if the *MobilityFromEUTRACommand* message includes the *purpose* set to *handover*:
  - 2> if the *targetRAT-Type* is set to *utra* or *geran*:
    - 3> consider inter-RAT mobility as initiated towards the RAT indicated by the *targetRAT-Type* included in the *MobilityFromEUTRACommand* message;
    - 3> forward the *nas-SecurityParamFromEUTRA* to the upper layers;
    - 3> access the target cell indicated in the inter-RAT message in accordance with the specifications of the target RAT;
    - 3> if the *targetRAT-Type* is set to *geran*:
      - 4> use the contents of *systemInformation*, if provided for PS Handover, as the system information to begin access on the target GERAN cell;

NOTE 1: If there are DRBs for which no radio bearers are established in the target RAT as indicated in the *targetRAT-MessageContainer* in the message, the E-UTRA RRC part of the UE does not indicate the release of the concerned DRBs to the upper layers. Upper layers may derive which bearers are not established from information received from the AS of the target RAT.

NOTE 2: In case of SR-VCC, the DRB to be replaced is specified in [61].

- 2> else if the *targetRAT-Type* is set to *cdma2000-1XRTT* or *cdma2000-HRPD*:
  - 3> forward the *targetRAT-Type* and the *targetRAT-MessageContainer* to the CDMA2000 upper layers for the UE to access the cell(s) indicated in the inter-RAT message in accordance with the specifications of the CDMA2000 target-RAT;
- 1> else if the *MobilityFromEUTRACommand* message includes the *purpose* set to *cellChangeOrder*:
  - 2> start timer T304 with the timer value set to *t304*, as included in the *MobilityFromEUTRACommand* message;
  - 2> if the *targetRAT-Type* is set to *geran*:
    - 3> if *networkControlOrder* is included in the *MobilityFromEUTRACommand* message:
      - 4> apply the value as specified in TS 44.060 [36];
    - 3> else:
      - 4> acquire *networkControlOrder* and apply the value as specified in TS 44.060 [36];
    - 3> use the contents of *systemInformation*, if provided, as the system information to begin access on the target GERAN cell;
  - 2> establish the connection to the target cell indicated in the *CellChangeOrder*;

NOTE 3: The criteria for success or failure of the cell change order to GERAN are specified in TS 44.060[36].

- 1> if the *MobilityFromEUTRACommand* message includes the *purpose* set to *e-CSFB*:
  - 2> if *messageContCDMA2000-1XRTT* is present:
    - 3> forward the *messageContCDMA2000-1XRTT* to the CDMA2000 upper layers for the UE to access the cell(s) indicated in the inter-RAT message in accordance with the specification of the target RAT;
  - 2> if *mobilityCDMA2000-HRPD* is present and is set to *handover*:
    - 3> forward the *messageContCDMA2000-HRPD* to the CDMA2000 upper layers for the UE to access the cell(s) indicated in the inter-RAT message in accordance with the specification of the target RAT;
  - 2> if *mobilityCDMA2000-HRPD* is present and is set to *redirection*:
    - 3> forward the *redirectedCarrierInfoCDMA2000-HRPD* to the CDMA2000 upper layers;

NOTE 4: When the CDMA2000 upper layers in the UE receive both the *messageContCDMA2000-1XRTT* and *messageContCDMA2000-HRPD* the UE performs concurrent access to both CDMA2000 1xRTT and CDMA2000 HRPD RAT.

#### 5.4.3.4 Successful completion of the mobility from E-UTRA

Upon successfully completing the handover, the cell change order or enhanced 1xRTT CS fallback, the UE shall:

- 1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';

NOTE: If the UE performs enhanced 1xRTT CS fallback along with concurrent mobility to CDMA2000 HRPD and the connection to either CDMA2000 1xRTT or CDMA2000 HRPD succeeds, then the mobility from E-UTRA is considered successful.

#### 5.4.3.5 Mobility from E-UTRA failure

The UE shall:

- 1> if T304 expires (mobility from E-UTRA failure); or
- 1> if the UE does not succeed in establishing the connection to the target radio access technology; or
- 1> if the UE is unable to comply with (part of) the configuration included in the *MobilityFromEUTRACommand* message; or
- 1> if there is a protocol error in the inter RAT information included in the *MobilityFromEUTRACommand* message, causing the UE to fail the procedure according to the specifications applicable for the target RAT:
  - 2> stop T304, if running;
  - 2> if the *cs-FallbackIndicator* in the *MobilityFromEUTRACommand* message was set to *TRUE*:
    - 3> indicate to upper layers that the CS Fallback procedure has failed;
  - 2> revert back to the configuration used in the source PCell, excluding the configuration configured by the *physicalConfigDedicated*, *mac-MainConfig* and *sps-Config*;
  - 2> initiate the connection re-establishment procedure as specified in 5.3.7;

NOTE: For enhanced CS fallback to CDMA2000 1xRTT, the above UE behavior applies only when the UE is attempting the enhanced 1xRTT CS fallback and connection to the target radio access technology fails or if the UE is attempting enhanced 1xRTT CS fallback along with concurrent mobility to CDMA2000 HRPD and connection to both the target radio access technologies fails.

## 5.4.4 Handover from E-UTRA preparation request (CDMA2000)

### 5.4.4.1 General



**Figure 5.4.4.1-1: Handover from E-UTRA preparation request**

The purpose of this procedure is to trigger the UE to prepare for handover or enhanced 1xRTT CS fallback to CDMA2000 by requesting a connection with this network. The UE may use this procedure to concurrently prepare for handover to CDMA2000 HRPD along with preparation for enhanced CS fallback to CDMA2000 1xRTT. This procedure applies to CDMA2000 capable UEs only.

This procedure is also used to trigger the UE which supports dual Rx/Tx enhanced 1xCsFB to redirect its second radio to CDMA2000 1xRTT.

The handover from E-UTRA preparation request procedure applies when signalling radio bearers are established.

### 5.4.4.2 Initiation

E-UTRAN initiates the handover from E-UTRA preparation request procedure to a UE in RRC\_CONNECTED, possibly in response to a *MeasurementReport* message or CS fallback indication for the UE, by sending a *HandoverFromEUTRAPreparationRequest* message. E-UTRAN initiates the procedure only when AS security has been activated.

### 5.4.4.3 Reception of the *HandoverFromEUTRAPreparationRequest* by the UE

Upon reception of the *HandoverFromEUTRAPreparationRequest* message, the UE shall:

- 1> if *dualRxTxRedirectIndicator* is present in the received message:
  - 2> forward *dualRxTxRedirectIndicator* to the CDMA2000 upper layers;
  - 2> forward *redirectCarrierCDMA2000-1XRTT* to the CDMA2000 upper layers, if included;
- 1> else
  - 2> indicate the request to prepare handover or enhanced 1xRTT CS fallback and forward the *cdma2000-Type* to the CDMA2000 upper layers;
  - 2> if *cdma2000-Type* is set to *type1XRTT*:
    - 3> forward the *rand* and the *mobilityParameters* to the CDMA2000 upper layers;
  - 2> if *concurrPrepCDMA2000-HRPD* is present in the received message:
    - 3> forward *concurrPrepCDMA2000-HRPD* to the CDMA2000 upper layers;
  - 2> else
    - 3> forward *concurrPrepCDMA2000-HRPD*, with its value set to *FALSE*, to the CDMA2000 upper layers;

## 5.4.5 UL handover preparation transfer (CDMA2000)

### 5.4.5.1 General



**Figure 5.4.5.1-1: UL handover preparation transfer**

The purpose of this procedure is to tunnel the handover related CDMA2000 dedicated information or enhanced 1xRTT CS fallback related CDMA2000 dedicated information from UE to E-UTRAN when requested by the higher layers. The procedure is triggered by the higher layers on receipt of *HandoverFromEUTRAPreparationRequest* message. If preparing for enhanced CS fallback to CDMA2000 1xRTT and handover to CDMA2000 HRPD, the UE sends two consecutive *ULHandoverPreparationTransfer* messages to E-UTRAN, one per addressed CDMA2000 RAT Type. This procedure applies to CDMA2000 capable UEs only.

### 5.4.5.2 Initiation

A UE in RRC\_CONNECTED initiates the UL Handover Preparation Transfer procedure whenever there is a need to transfer handover or enhanced 1xRTT CS fallback related non-3GPP dedicated information. The UE initiates the UL handover preparation transfer procedure by sending the *ULHandoverPreparationTransfer* message.

### 5.4.5.3 Actions related to transmission of the *ULHandoverPreparationTransfer* message

The UE shall set the contents of the *ULHandoverPreparationTransfer* message as follows:

- 1> include the *cdma2000-Type* and the *dedicatedInfo*;
- 1> if the *cdma2000-Type* is set to *type1XRTT*:
  - 2> include the *meid* and set it to the value received from the CDMA2000 upper layers;
- 1> submit the *ULHandoverPreparationTransfer* message to lower layers for transmission, upon which the procedure ends;

### 5.4.5.4 Failure to deliver the *ULHandoverPreparationTransfer* message

The UE shall:

- 1> if the UE is unable to guarantee successful delivery of *ULHandoverPreparationTransfer* messages:
  - 2> inform upper layers about the possible failure to deliver the information contained in the concerned *ULHandoverPreparationTransfer* message;

## 5.4.6 Inter-RAT cell change order to E-UTRAN

### 5.4.6.1 General

The purpose of the inter-RAT cell change order to E-UTRAN procedure is to transfer, under the control of the source radio access technology, a connection between the UE and another radio access technology (e.g. GSM/ GPRS) to E-UTRAN.

### 5.4.6.2 Initiation

The procedure is initiated when a radio access technology other than E-UTRAN, e.g. GSM/GPRS, using procedures specific for that RAT, orders the UE to change to an E-UTRAN cell. In response, upper layers request the establishment of an RRC connection as specified in subclause 5.3.3.

NOTE: Within the message used to order the UE to change to an E-UTRAN cell, the source RAT should specify the identity of the target E-UTRAN cell as specified in the specifications for that RAT.

The UE shall:

- 1> upon receiving an *RRCConnectionSetup* message;
- 2> consider the inter-RAT cell change order procedure to have completed successfully;

### 5.4.6.3 UE fails to complete an inter-RAT cell change order

If the inter-RAT cell change order fails the UE shall return to the other radio access technology and proceed as specified in the appropriate specifications for that RAT.

The UE shall:

- 1> upon failure to establish the RRC connection as specified in subclause 5.3.3;
- 2> consider the inter-RAT cell change order procedure to have failed;

NOTE: The cell change was network ordered. Therefore, failure to change to the target PCell should not cause the UE to move to UE-controlled cell selection.

## 5.5 Measurements

### 5.5.1 Introduction

The UE reports measurement information in accordance with the measurement configuration as provided by E-UTRAN. E-UTRAN provides the measurement configuration applicable for a UE in RRC\_CONNECTED by means of dedicated signalling, i.e. using the *RRCConnectionReconfiguration* message.

The UE can be requested to perform the following types of measurements:

- Intra-frequency measurements: measurements at the downlink carrier frequency(ies) of the serving cell(s).
- Inter-frequency measurements: measurements at frequencies that differ from any of the downlink carrier frequency(ies) of the serving cell(s).
- Inter-RAT measurements of UTRA frequencies.
- Inter-RAT measurements of GERAN frequencies.
- Inter-RAT measurements of CDMA2000 HRPD or CDMA2000 1xRTT frequencies.

The measurement configuration includes the following parameters:

1. **Measurement objects:** The objects on which the UE shall perform the measurements.
  - For intra-frequency and inter-frequency measurements a measurement object is a single E-UTRA carrier frequency. Associated with this carrier frequency, E-UTRAN can configure a list of cell specific offsets and a list of 'blacklisted' cells. Blacklisted cells are not considered in event evaluation or measurement reporting.
  - For inter-RAT UTRA measurements a measurement object is a set of cells on a single UTRA carrier frequency.
  - For inter-RAT GERAN measurements a measurement object is a set of GERAN carrier frequencies.

- For inter-RAT CDMA2000 measurements a measurement object is a set of cells on a single (HRPD or 1xRTT) carrier frequency.

NOTE 1: Some measurements using the above mentioned measurement objects, only concern a single cell, e.g. measurements used to report neighbouring cell system information, PCell UE Rx-Tx time difference.

2. **Reporting configurations:** A list of reporting configurations where each reporting configuration consists of the following:
  - Reporting criterion: The criterion that triggers the UE to send a measurement report. This can either be periodical or a single event description.
  - Reporting format: The quantities that the UE includes in the measurement report and associated information (e.g. number of cells to report).
3. **Measurement identities:** A list of measurement identities where each measurement identity links one measurement object with one reporting configuration. By configuring multiple measurement identities it is possible to link more than one measurement object to the same reporting configuration, as well as to link more than one reporting configuration to the same measurement object. The measurement identity is used as a reference number in the measurement report.
4. **Quantity configurations:** One quantity configuration is configured per RAT type. The quantity configuration defines the measurement quantities and associated filtering used for all event evaluation and related reporting of that measurement type. One filter can be configured per measurement quantity.
5. **Measurement gaps:** Periods that the UE may use to perform measurements, i.e. no (UL, DL) transmissions are scheduled.

E-UTRAN only configures a single measurement object for a given frequency, i.e. it is not possible to configure two or more measurement objects for the same frequency with different associated parameters, e.g. different offsets and/ or blacklists. E-UTRAN may configure multiple instances of the same event e.g. by configuring two reporting configurations with different thresholds.

The UE maintains a single measurement object list, a single reporting configuration list, and a single measurement identities list. The measurement object list includes measurement objects, that are specified per RAT type, possibly including intra-frequency object(s) (i.e. the object(s) corresponding to the serving frequency(ies)), inter-frequency object(s) and inter-RAT objects. Similarly, the reporting configuration list includes E-UTRA and inter-RAT reporting configurations. Any measurement object can be linked to any reporting configuration of the same RAT type. Some reporting configurations may not be linked to a measurement object. Likewise, some measurement objects may not be linked to a reporting configuration.

The measurement procedures distinguish the following types of cells:

1. The serving cell(s)– these are the PCell and one or more SCells, if configured for a UE supporting CA.
2. Listed cells - these are cells listed within the measurement object(s).
3. Detected cells - these are cells that are not listed within the measurement object(s) but are detected by the UE on the carrier frequency(ies) indicated by the measurement object(s).

For E-UTRA, the UE measures and reports on the serving cell(s), listed cells and detected cells. For inter-RAT UTRA, the UE measures and reports on listed cells and optionally on cells that are within a range for which reporting is allowed by E-UTRAN. For inter-RAT GERAN, the UE measures and reports on detected cells. For inter-RAT CDMA2000, the UE measures and reports on listed cells.

NOTE 2: For inter-RAT UTRA and CDMA2000, the UE measures and reports also on detected cells for the purpose of SON.

NOTE 3: This specification is based on the assumption that typically CSG cells of home deployment type are not indicated within the neighbour list. Furthermore, the assumption is that for non-home deployments, the physical cell identity is unique within the area of a large macro cell (i.e. as for UTRAN).

Whenever the procedural specification, other than contained in sub-clause 5.5.2, refers to a field it concerns a field included in the *VarMeasConfig* unless explicitly stated otherwise i.e. only the measurement configuration procedure covers the direct UE action related to the received *measConfig*.

## 5.5.2 Measurement configuration

### 5.5.2.1 General

E-UTRAN applies the procedure as follows:

- to ensure that, whenever the UE has a *measConfig*, it includes a *measObject* for each serving frequency;
- to configure at most one measurement identity using a reporting configuration with the *purpose* set to *reportCGI*;

The UE shall:

- 1> if the received *measConfig* includes the *measObjectToRemoveList*:
  - 2> perform the measurement object removal procedure as specified in 5.5.2.4;
- 1> if the received *measConfig* includes the *measObjectToAddModList*:
  - 2> perform the measurement object addition/ modification procedure as specified in 5.5.2.5;
- 1> if the received *measConfig* includes the *reportConfigToRemoveList*:
  - 2> perform the reporting configuration removal procedure as specified in 5.5.2.6;
- 1> if the received *measConfig* includes the *reportConfigToAddModList*:
  - 2> perform the reporting configuration addition/ modification procedure as specified in 5.5.2.7;
- 1> if the received *measConfig* includes the *quantityConfig*:
  - 2> perform the quantity configuration procedure as specified in 5.5.2.8;
- 1> if the received *measConfig* includes the *measIdToRemoveList*:
  - 2> perform the measurement identity removal procedure as specified in 5.5.2.2;
- 1> if the received *measConfig* includes the *measIdToAddModList*:
  - 2> perform the measurement identity addition/ modification procedure as specified in 5.5.2.3;
- 1> if the received *measConfig* includes the *measGapConfig*:
  - 2> perform the measurement gap configuration procedure as specified in 5.5.2.9;
- 1> if the received *measConfig* includes the *s-Measure*:
  - 2> set the parameter *s-Measure* within *VarMeasConfig* to the lowest value of the RSRP ranges indicated by the received value of *s-Measure*;
- 1> if the received *measConfig* includes the *preRegistrationInfoHRPD*:
  - 2> forward the *preRegistrationInfoHRPD* to CDMA2000 upper layers;
- 1> if the received *measConfig* includes the *speedStatePars*:
  - 2> set the parameter *speedStatePars* within *VarMeasConfig* to the received value of *speedStatePars*;

### 5.5.2.2 Measurement identity removal

The UE shall:

- 1> for each *measId* included in the received *measIdToRemoveList* that is part of the current UE configuration in *VarMeasConfig*:
  - 2> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

- 2> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;
- 2> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

NOTE: The UE does not consider the message as erroneous if the *measIdToRemoveList* includes any *measId* value that is not part of the current UE configuration.

### 5.5.2.2a Measurement identity autonomous removal

The UE shall:

- 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:
  - 2> if the associated *reportConfig* concerns an event involving a serving cell while the concerned serving cell is not configured:
    - 3> remove the *measId* from the *measIdList* within the *VarMeasConfig*;
    - 3> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;
    - 3> stop the periodical reporting timer if running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

NOTE 1: The above UE autonomous removal of *measId*'s applies only for measurement events A1, A2 and A6.

NOTE 2: When performed during re-establishment, the UE is only configured with a primary frequency (i.e. the SCell(s) are released, if configured).

### 5.5.2.3 Measurement identity addition/ modification

E-UTRAN applies the procedure as follows:

- configure a *measId* only if the corresponding measurement object, the corresponding reporting configuration and the corresponding quantity configuration, are configured;

The UE shall:

- 1> for each *measId* included in the received *measIdToAddModList*:
  - 2> if an entry with the matching *measId* exists in the *measIdList* within the *VarMeasConfig*:
    - 3> replace the entry with the value received for this *measId*;
  - 2> else:
    - 3> add a new entry for this *measId* within the *VarMeasConfig* ;
  - 2> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;
  - 2> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;
  - 2> if the *triggerType* is set to *periodical* and the *purpose* is set to *reportCGI* in the *reportConfig* associated with this *measId*:
    - 3> if the *measObject* associated with this *measId* concerns E-UTRA:
      - 4> if the *si-RequestForHO* is included in the *reportConfig* associated with this *measId*:
        - 5> start timer T321 with the timer value set to 150 ms for this *measId*;
      - 4> else:
        - 5> start timer T321 with the timer value set to 1 second for this *measId*;

- 3> else if the *measObject* associated with this *measId* concerns UTRA:
  - 4> if the *si-RequestForHO* is included in the *reportConfig* associated with this *measId*:
    - 5> for UTRA FDD, start timer T321 with the timer value set to 2 seconds for this *measId*;
    - 5> for UTRA TDD, start timer T321 with the timer value set to [1 second] for this *measId*;
  - 4> else:
    - 5> start timer T321 with the timer value set to 8 seconds for this *measId*;
- 3> else:
  - 4> start timer T321 with the timer value set to 8 seconds for this *measId*;

#### 5.5.2.4 Measurement object removal

The UE shall:

- 1> for each *measObjectId* included in the received *measObjectToRemoveList* that is part of the current UE configuration in *VarMeasConfig*:
  - 2> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;
  - 2> remove all *measId* associated with this *measObjectId* from the *measIdList* within the *VarMeasConfig*, if any;
  - 2> if a *measId* is removed from the *measIdList*:
    - 3> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;
    - 3> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

NOTE: The UE does not consider the message as erroneous if the *measObjectToRemoveList* includes any *measObjectId* value that is not part of the current UE configuration.

#### 5.5.2.5 Measurement object addition/ modification

The UE shall:

- 1> for each *measObjectId* included in the received *measObjectToAddModList*:
  - 2> if an entry with the matching *measObjectId* exists in the *measObjectList* within the *VarMeasConfig*, for this entry:
    - 3> replace the entry with the value received for this *measObject*, except for the fields *cellsToAddModList*, *blackCellsToAddModList*, *cellsToRemoveList*, *blackCellsToRemoveList* and *measSubframePatternConfigNeigh*;
    - 3> if the received *measObject* includes the *cellsToRemoveList*:
      - 4> for each *cellIndex* included in the *cellsToRemoveList*:
        - 5> remove the entry with the matching *cellIndex* from the *cellsToAddModList*;
    - 3> if the received *measObject* includes the *cellsToAddModList*:
      - 4> for each *cellIndex* value included in the *cellsToAddModList*:
        - 5> if an entry with the matching *cellIndex* exists in the *cellsToAddModList*:
          - 6> replace the entry with the value received for this *cellIndex*;
        - 5> else:
          - 6> add a new entry for the received *cellIndex* to the *cellsToAddModList*;

- 3> if the received *measObject* includes the *blackCellsToRemoveList*:
  - 4> for each *cellIndex* included in the *blackCellsToRemoveList*:
    - 5> remove the entry with the matching *cellIndex* from the *blackCellsToAddModList*;
- 3> if the received *measObject* includes the *blackCellsToAddModList*:
  - 4> for each *cellIndex* included in the *blackCellsToAddModList*:
    - 5> if an entry with the matching *cellIndex* is included in the *blackCellsToAddModList*:
      - 6> replace the entry with the value received for this *cellIndex*;
    - 5> else:
      - 6> add a new entry for the received *cellIndex* to the *blackCellsToAddModList*;
- 3> if the received *measObject* includes *measSubframePatternConfigNeigh*:
  - 4> set *measSubframePatternConfigNeigh* within the *VarMeasConfig* to the value of the received field
- 3> for each *measId* associated with this *measObjectId* in the *measIdList* within the *VarMeasConfig*, if any:
  - 4> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;
  - 4> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;
- 2> else:
  - 3> add a new entry for the received *measObject* to the *measObjectList* within *VarMeasConfig*;

### 5.5.2.6 Reporting configuration removal

The UE shall:

- 1> for each *reportConfigId* included in the received *reportConfigToRemoveList* that is part of the current UE configuration in *VarMeasConfig*:
  - 2> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;
  - 2> remove all *measId* associated with the *reportConfigId* from the *measIdList* within the *VarMeasConfig*, if any;
  - 2> if a *measId* is removed from the *measIdList*:
    - 3> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;
    - 3> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

NOTE: The UE does not consider the message as erroneous if the *reportConfigToRemoveList* includes any *reportConfigId* value that is not part of the current UE configuration.

### 5.5.2.7 Reporting configuration addition/ modification

The UE shall:

- 1> for each *reportConfigId* included in the received *reportConfigToAddModList*:
  - 2> if an entry with the matching *reportConfigId* exists in the *reportConfigList* within the *VarMeasConfig*, for this entry:
    - 3> replace the entry with the value received for this *reportConfig*;
  - 3> for each *measId* associated with this *reportConfigId* included in the *measIdList* within the *VarMeasConfig*, if any:

- 4> remove the measurement reporting entry for this *measId* from in *VarMeasReportList*, if included;
- 4> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;
- 2> else:
  - 3> add a new entry for the received *reportConfig* to the *reportConfigList* within the *VarMeasConfig*;

### 5.5.2.8 Quantity configuration

The UE shall:

- 1> for each RAT for which the received *quantityConfig* includes parameter(s):
  - 2> set the corresponding parameter(s) in *quantityConfig* within *VarMeasConfig* to the value of the received *quantityConfig* parameter(s);
- 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:
  - 2> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;
  - 2> stop the periodical reporting timer or timer T321, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*;

### 5.5.2.9 Measurement gap configuration

The UE shall:

- 1> if *measGapConfig* is set to *setup*:
  - 2> if a measurement gap configuration is already setup, release the measurement gap configuration;
  - 2> setup the measurement gap configuration indicated by the *measGapConfig* in accordance with the received *gapOffset*, i.e., each gap starts at an SFN and subframe meeting the following condition:
    - SFN mod  $T = \text{FLOOR}(\text{gapOffset}/10)$ ;
    - subframe =  $\text{gapOffset} \bmod 10$ ;
    - with  $T = \text{MGRP}/10$  as defined in TS 36.133 [16];
- 1> else:
  - 2> release the measurement gap configuration;

## 5.5.3 Performing measurements

### 5.5.3.1 General

For all measurements the UE applies the layer 3 filtering as specified in 5.5.3.2, before using the measured results for evaluation of reporting criteria or for measurement reporting.

The UE shall:

- 1> whenever the UE has a *measConfig*, perform RSRP and RSRQ measurements for each serving cell, applying for the PCell the time domain measurement resource restriction in accordance with *measSubframePatternPCell*, if configured;
- 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:
  - 2> if the *purpose* for the associated *reportConfig* is set to *reportCGI*:
    - 3> if *si-RequestForHO* is configured for the associated *reportConfig*:

4> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using autonomous gaps as necessary;

3> else:

4> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using available idle periods or using autonomous gaps as necessary;

NOTE 1: If autonomous gaps are used to perform measurements, the UE is allowed to temporarily abort communication with all serving cell(s), i.e. create autonomous gaps to perform the corresponding measurements within the limits specified in TS 36.133 [16]. Otherwise, the UE only supports the measurements with the purpose set to *reportCGI* only if E-UTRAN has provided sufficient idle periods.

3> try to acquire the global cell identity of the cell indicated by the *cellForWhichToReportCGI* in the associated *measObject* by acquiring the relevant system information from the concerned cell;

3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is an E-UTRAN cell:

4> try to acquire the CSG identity, if the CSG identity is broadcast in the concerned cell;

4> try to acquire the *trackingAreaCode* in the concerned cell;

4> try to acquire the list of additional PLMN Identities, as included in the *plmn-IdentityList*, if multiple PLMN identities are broadcast in the concerned cell;

NOTE 2: The 'primary' PLMN is part of the global cell identity.

3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a UTRAN cell:

4> try to acquire the LAC, the RAC and the list of additional PLMN Identities, if multiple PLMN identities are broadcast in the concerned cell;

4> try to acquire the CSG identity, if the CSG identity is broadcast in the concerned cell;

3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a GERAN cell:

4> try to acquire the RAC in the concerned cell;

3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a CDMA2000 cell and the *cdma2000-Type* included in the *measObject* is *typeHRPD*:

4> try to acquire the Sector ID in the concerned cell;

3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a CDMA2000 cell and the *cdma2000-Type* included in the *measObject* is *type1XRTT*:

4> try to acquire the BASE ID, SID and NID in the concerned cell;

2> else:

3> if a measurement gap configuration is setup; or

3> if the UE does not require measurement gaps to perform the concerned measurements:

4> if *s-Measure* is not configured; or

4> if *s-Measure* is configured and the PCell RSRP, after layer 3 filtering, is lower than this value:

5> perform the corresponding measurements of neighbouring cells on the frequencies and RATs indicated in the concerned *measObject*, applying for neighbouring cells on the primary frequency the time domain measurement resource restriction in accordance with *measSubframePatternConfigNeigh*, if configured in the concerned *measObject*;

4> if the *ue-RxTxTimeDiffPeriodical* is configured in the associated *reportConfig*:

5> perform the UE Rx–Tx time difference measurements on the PCell;

2> perform the evaluation of reporting criteria as specified in 5.5.4;

NOTE 3: The *s-Measure* defines when the UE is required to perform measurements. The UE is however allowed to perform measurements also when the PCell RSRP exceeds *s-Measure*, e.g., to measure cells broadcasting a CSG identity following use of the autonomous search function as defined in TS 36.304 [4].

### 5.5.3.2 Layer 3 filtering

The UE shall:

1> for each measurement quantity that the UE performs measurements according to 5.5.3.1:

NOTE 1: This does not include quantities configured solely for UE Rx-Tx time difference measurements i.e. for those type of measurements the UE ignores the *triggerQuantity* and *reportQuantity*.

2> filter the measured result, before using for evaluation of reporting criteria or for measurement reporting, by the following formula:

$$F_n = (1 - a) \cdot F_{n-1} + a \cdot M_n$$

where

$M_n$  is the latest received measurement result from the physical layer;

$F_n$  is the updated filtered measurement result, that is used for evaluation of reporting criteria or for measurement reporting;

$F_{n-1}$  is the old filtered measurement result, where  $F_0$  is set to  $M_1$  when the first measurement result from the physical layer is received; and

$a = 1/2^{(k/4)}$ , where  $k$  is the *filterCoefficient* for the corresponding measurement quantity received by the *quantityConfig*;

2> adapt the filter such that the time characteristics of the filter are preserved at different input rates, observing that the *filterCoefficient*  $k$  assumes a sample rate equal to 200 ms;

NOTE 2: If  $k$  is set to 0, no layer 3 filtering is applicable.

NOTE 3: The filtering is performed in the same domain as used for evaluation of reporting criteria or for measurement reporting, i.e., logarithmic filtering for logarithmic measurements.

NOTE 4: The filter input rate is implementation dependent, to fulfil the performance requirements set in [16]. For further details about the physical layer measurements, see TS 36.133 [16].

## 5.5.4 Measurement report triggering

### 5.5.4.1 General

The UE shall:

1> for each *measId* included in the *measIdList* within *VarMeasConfig*:

2> if the corresponding *reportConfig* includes a purpose set to *reportStrongestCellsForSON*:

3> consider any neighbouring cell detected on the associated frequency to be applicable;

2> else if the corresponding *reportConfig* includes a purpose set to *reportCGI*:

3> consider any neighbouring cell detected on the associated frequency/ set of frequencies (GERAN) which has a physical cell identity matching the value of the *cellForWhichToReportCGI* included in the corresponding *measObject* within the *VarMeasConfig* to be applicable;

- 2> else:
  - 3> if the corresponding *measObject* concerns E-UTRA:
    - 4> if the *ue-RxTxTimeDiffPeriodical* is configured in the corresponding *reportConfig*:
      - 5> consider only the PCell to be applicable;
    - 4> else if the *eventA1* or *eventA2* is configured in the corresponding *reportConfig*:
      - 5> consider only the serving cell to be applicable;
    - 4> else:
      - 5> consider any neighbouring cell detected on the associated frequency to be applicable when the concerned cell is not included in the *blackCellsToAddModList* defined within the *VarMeasConfig* for this *measId*;
      - 5> for events involving a serving cell on one frequency and neighbours on another frequency, consider the serving cell on the other frequency as a neighbouring cell;
  - 3> else if the corresponding *measObject* concerns UTRA or CDMA2000:
    - 4> consider a neighbouring cell on the associated frequency to be applicable when the concerned cell is included in the *cellsToAddModList* defined within the *VarMeasConfig* for this *measId* (i.e. the cell is included in the white-list);

NOTE 0: The UE may also consider a neighbouring cell on the associated UTRA frequency to be applicable when the concerned cell is included in the *csg-allowedReportingCells* within the *VarMeasConfig* for this *measId*, if configured in the corresponding *measObjectUTRA* (i.e. the cell is included in the range of physical cell identities for which reporting is allowed).

- 3> else if the corresponding *measObject* concerns GERAN:
  - 4> consider a neighbouring cell on the associated set of frequencies to be applicable when the concerned cell matches the *ncc-Permitted* defined within the *VarMeasConfig* for this *measId*;
- 2> if the *triggerType* is set to *event* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable cells for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig*, while the *VarMeasReportList* does not include an measurement reporting entry for this *measId* (a first cell triggers the event):
  - 3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;
  - 3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
  - 3> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the *triggerType* is set to *event* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable cells not included in the *cellsTriggeredList* for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig* (a subsequent cell triggers the event):
  - 3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
  - 3> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the *triggerType* is set to *event* and if the leaving condition applicable for this event is fulfilled for one or more of the cells included in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId* for

all measurements after layer 3 filtering taken during *timeToTrigger* defined within the *VarMeasConfig* for this event:

- 3> remove the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;
- 3> if *reportOnLeave* is set to *TRUE* for the corresponding reporting configuration or if *a6-ReportOnLeave* is set to *TRUE* for the corresponding reporting configuration:
  - 4> initiate the measurement reporting procedure, as specified in 5.5.5;
- 3> if the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId* is empty:
  - 4> remove the measurement reporting entry within the *VarMeasReportList* for this *measId*;
  - 4> stop the periodical reporting timer for this *measId*, if running;
- 2> if the *purpose* is included and set to *reportStrongestCells* or to *reportStrongestCellsForSON* and if a (first) measurement result is available:
  - 3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;
  - 3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;

NOTE 1: If the *purpose* is set to *reportStrongestCells* and *reportAmount* > 1, the UE initiates a first measurement report immediately after the quantity to be reported becomes available for all serving cells. If the *purpose* is set to *reportStrongestCells* and *reportAmount* = 1, the UE initiates a first measurement report immediately after the quantity to be reported becomes available for all serving cells and for the strongest cell among the applicable cells. If the *purpose* is set to *reportStrongestCellsForSON*, the UE initiates a first measurement report when it has determined the strongest cells on the associated frequency.

- 2> upon expiry of the periodical reporting timer for this *measId*:
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> if the *purpose* is included and set to *reportCGI* and if the UE acquired the information needed to set all fields of *cgi-Info* for the requested cell:
  - 3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;
  - 3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
  - 3> stop timer T321;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;
- 2> upon expiry of the T321 for this *measId*:
  - 3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;
  - 3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;
  - 3> initiate the measurement reporting procedure, as specified in 5.5.5;

NOTE 2: The UE does not stop the periodical reporting with *triggerType* set to *event* or to *periodical* while the corresponding measurement is not performed due to the PCell RSRP being equal to or better than *s-Measure* or due to the measurement gap not being setup.

NOTE 3: If the UE is configured with DRX, the UE may delay the measurement reporting for event triggered and periodical triggered measurements until the Active Time, which is defined in TS 36.321 [6].

#### 5.5.4.2 Event A1 (Serving becomes better than threshold)

The UE shall:

- 1> consider the entering condition for this event to be satisfied when condition A1-1, as specified below, is fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition A1-2, as specified below, is fulfilled;
- 1> for this measurement, consider the primary or secondary cell that is configured on the frequency indicated in the associated *measObjectEUTRA* to be the serving cell;

Inequality A1-1 (Entering condition)

$$Ms - Hys > Thresh$$

Inequality A1-2 (Leaving condition)

$$Ms + Hys < Thresh$$

The variables in the formula are defined as follows:

***Ms*** is the measurement result of the serving cell, not taking into account any offsets.

***Hys*** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigEUTRA* for this event).

***Thresh*** is the threshold parameter for this event (i.e. *a1-Threshold* as defined within *reportConfigEUTRA* for this event).

***Ms*** is expressed in dBm in case of RSRP, or in dB in case of RSRQ.

***Hys*** is expressed in dB.

***Thresh*** is expressed in the same unit as ***Ms***.

#### 5.5.4.3 Event A2 (Serving becomes worse than threshold)

The UE shall:

- 1> consider the entering condition for this event to be satisfied when condition A2-1, as specified below, is fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition A2-2, as specified below, is fulfilled;
- 1> for this measurement, consider the primary or secondary cell that is configured on the frequency indicated in the associated *measObjectEUTRA* to be the serving cell;

Inequality A2-1 (Entering condition)

$$Ms + Hys < Thresh$$

Inequality A2-2 (Leaving condition)

$$Ms - Hys > Thresh$$

The variables in the formula are defined as follows:

***Ms*** is the measurement result of the serving cell, not taking into account any offsets.

***Hys*** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigEUTRA* for this event).

***Thresh*** is the threshold parameter for this event (i.e. *a2-Threshold* as defined within *reportConfigEUTRA* for this event).

***Ms*** is expressed in dBm in case of RSRP, or in dB in case of RSRQ.

***Hys*** is expressed in dB.

***Thresh*** is expressed in the same unit as ***Ms***.

#### 5.5.4.4 Event A3 (Neighbour becomes offset better than PCell)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition A3-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition A3-2, as specified below, is fulfilled;

NOTE The cell(s) that triggers the event is on the frequency indicated in the associated *measObject* which may be different from the (primary) frequency used by the PCell.

Inequality A3-1 (Entering condition)

$$Mn + Ofn + Ocn - Hys > Mp + Ofp + Ocp + Off$$

Inequality A3-2 (Leaving condition)

$$Mn + Ofn + Ocn + Hys < Mp + Ofp + Ocp + Off$$

The variables in the formula are defined as follows:

**Mn** is the measurement result of the neighbouring cell, not taking into account any offsets.

**Ofn** is the frequency specific offset of the frequency of the neighbour cell (i.e. *offsetFreq* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell).

**Ocn** is the cell specific offset of the neighbour cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell), and set to zero if not configured for the neighbour cell.

**Mp** is the measurement result of the PCell, not taking into account any offsets.

**Ofp** is the frequency specific offset of the primary frequency (i.e. *offsetFreq* as defined within *measObjectEUTRA* corresponding to the primary frequency).

**Ocp** is the cell specific offset of the PCell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the primary frequency), and is set to zero if not configured for the PCell.

**Hys** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigEUTRA* for this event).

**Off** is the offset parameter for this event (i.e. *a3-Offset* as defined within *reportConfigEUTRA* for this event).

**Mn, Mp** are expressed in dBm in case of RSRP, or in dB in case of RSRQ.

**Ofn, Ocn, Ofp, Ocp, Hys, Off** are expressed in dB.

#### 5.5.4.5 Event A4 (Neighbour becomes better than threshold)

The UE shall:

1> consider the entering condition for this event to be satisfied when condition A4-1, as specified below, is fulfilled;

1> consider the leaving condition for this event to be satisfied when condition A4-2, as specified below, is fulfilled;

Inequality A4-1 (Entering condition)

$$Mn + Ofn + Ocn - Hys > Thresh$$

Inequality A4-2 (Leaving condition)

$$Mn + Ofn + Ocn + Hys < Thresh$$

The variables in the formula are defined as follows:

**Mn** is the measurement result of the neighbouring cell, not taking into account any offsets.

**Ofn** is the frequency specific offset of the frequency of the neighbour cell (i.e. *offsetFreq* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell).

**Ocn** is the cell specific offset of the neighbour cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell), and set to zero if not configured for the neighbour cell.

*Hys* is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigEUTRA* for this event).

*Thresh* is the threshold parameter for this event (i.e. *a4-Threshold* as defined within *reportConfigEUTRA* for this event).

*Mn* is expressed in dBm in case of RSRP, or in dB in case of RSRQ.

*Ofn*, *Ocn*, *Hys* are expressed in dB.

*Thresh* is expressed in the same unit as *Ms*.

#### 5.5.4.6 Event A5 (PCell becomes worse than threshold1 and neighbour becomes better than threshold2)

The UE shall:

1> consider the entering condition for this event to be satisfied when both condition A5-1 and condition A5-2, as specified below, are fulfilled;

1> consider the leaving condition for this event to be satisfied when condition A5-3 or condition A5-4, i.e. at least one of the two, as specified below, is fulfilled;

NOTE: The cell(s) that triggers the event is on the frequency indicated in the associated *measObject* which may be different from the (primary) frequency used by the PCell.

Inequality A5-1 (Entering condition 1)

$$Mp + Hys < Thresh1$$

Inequality A5-2 (Entering condition 2)

$$Mn + Ofn + Ocn - Hys > Thresh2$$

Inequality A5-3 (Leaving condition 1)

$$Mp - Hys > Thresh1$$

Inequality A5-4 (Leaving condition 2)

$$Mn + Ofn + Ocn + Hys < Thresh2$$

The variables in the formula are defined as follows:

*Mp* is the measurement result of the PCell, not taking into account any offsets.

*Mn* is the measurement result of the neighbouring cell, not taking into account any offsets.

*Ofn* is the frequency specific offset of the frequency of the neighbour cell (i.e. *offsetFreq* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell).

*Ocn* is the cell specific offset of the neighbour cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell), and set to zero if not configured for the neighbour cell.

*Hys* is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigEUTRA* for this event).

*Thresh1* is the threshold parameter for this event (i.e. *a5-Threshold1* as defined within *reportConfigEUTRA* for this event).

*Thresh2* is the threshold parameter for this event (i.e. *a5-Threshold2* as defined within *reportConfigEUTRA* for this event).

*Mn*, *Mp* are expressed in dBm in case of RSRP, or in dB in case of RSRQ.

*Ofn*, *Ocn*, *Hys* are expressed in dB.

*Thresh1* is expressed in the same unit as *Mp*.

*Thresh2* is expressed in the same unit as *Mn*.

#### 5.5.4.6a Event A6 (Neighbour becomes offset better than SCell)

The UE shall:

- 1> consider the entering condition for this event to be satisfied when condition A6-1, as specified below, is fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition A6-2, as specified below, is fulfilled;
- 1> for this measurement, consider the (secondary) cell that is configured on the frequency indicated in the associated *measObjectEUTRA* to be the serving cell;

NOTE: The neighbour(s) is on the same frequency as the SCell i.e. both are on the frequency indicated in the associated *measObject*.

Inequality A6-1 (Entering condition)

$$Mn + Ocn - Hys > Ms + Ocs + Off$$

Inequality A6-2 (Leaving condition)

$$Mn + Ocn + Hys < Ms + Ocs + Off$$

The variables in the formula are defined as follows:

*Mn* is the measurement result of the neighbouring cell, not taking into account any offsets.

*Ocn* is the cell specific offset of the neighbour cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the frequency of the neighbour cell), and set to zero if not configured for the neighbour cell.

*Ms* is the measurement result of the serving cell, not taking into account any offsets.

*Ocs* is the cell specific offset of the serving cell (i.e. *cellIndividualOffset* as defined within *measObjectEUTRA* corresponding to the serving frequency), and is set to zero if not configured for the serving cell.

*Hys* is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigEUTRA* for this event).

*Off* is the offset parameter for this event (i.e. *a6-Offset* as defined within *reportConfigEUTRA* for this event).

*Mn*, *Ms* are expressed in dBm in case of RSRP, or in dB in case of RSRQ.

*Ocn*, *Ocs*, *Hys*, *Off* are expressed in dB.

#### 5.5.4.7 Event B1 (Inter RAT neighbour becomes better than threshold)

The UE shall:

- 1> for UTRA and CDMA2000, only trigger the event for cells included in the corresponding measurement object;
- 1> consider the entering condition for this event to be satisfied when condition B1-1, as specified below, is fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition B1-2, as specified below, is fulfilled;

Inequality B1-1 (Entering condition)

$$Mn + Ofn - Hys > Thresh$$

Inequality B1-2 (Leaving condition)

$$Mn + Ofn + Hys < Thresh$$

The variables in the formula are defined as follows:

*Mn* is the measurement result of the inter-RAT neighbour cell, not taking into account any offsets. For CDMA 2000 measurement result, *pilotStrength* is divided by -2.

*Ofn* is the frequency specific offset of the frequency of the inter-RAT neighbour cell (i.e. *offsetFreq* as defined within the *measObject* corresponding to the frequency of the neighbour inter-RAT cell).

*Hys* is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigInterRAT* for this event).

*Thresh* is the threshold parameter for this event (i.e. *b1-Threshold* as defined within *reportConfigInterRAT* for this event). For CDMA2000, *b1-Threshold* is divided by -2.

*Mn* is expressed in dBm or in dB, depending on the measurement quantity of the inter-RAT neighbour cell.

*Ofn*, *Hys* are expressed in dB.

*Thresh* is expressed in the same unit as *Mn*.

#### 5.5.4.8 Event B2 (PCell becomes worse than threshold1 and inter RAT neighbour becomes better than threshold2)

The UE shall:

- 1> for UTRA and CDMA2000, only trigger the event for cells included in the corresponding measurement object;
- 1> consider the entering condition for this event to be satisfied when both condition B2-1 and condition B2-2, as specified below, are fulfilled;
- 1> consider the leaving condition for this event to be satisfied when condition B2-3 or condition B2-4, i.e. at least one of the two, as specified below, is fulfilled;

Inequality B2-1 (Entering condition 1)

$$M_p + H_{ys} < Thresh1$$

Inequality B2-2 (Entering condition 2)

$$M_n + Ofn - H_{ys} > Thresh2$$

Inequality B2-3 (Leaving condition 1)

$$M_p - H_{ys} > Thresh1$$

Inequality B2-4 (Leaving condition 2)

$$M_n + Ofn + H_{ys} < Thresh2$$

The variables in the formula are defined as follows:

*Mp* is the measurement result of the PCell, not taking into account any offsets.

*Mn* is the measurement result of the inter-RAT neighbour cell, not taking into account any offsets. For CDMA2000 measurement result, *pilotStrength* is divided by -2.

*Ofn* is the frequency specific offset of the frequency of the inter-RAT neighbour cell (i.e. *offsetFreq* as defined within the *measObject* corresponding to the frequency of the inter-RAT neighbour cell).

*Hys* is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigInterRAT* for this event).

*Thresh1* is the threshold parameter for this event (i.e. *b2-Threshold1* as defined within *reportConfigInterRAT* for this event).

*Thresh2* is the threshold parameter for this event (i.e. *b2-Threshold2* as defined within *reportConfigInterRAT* for this event). For CDMA2000, *b2-Threshold2* is divided by -2.

*Mp* is expressed in dBm in case of RSRP, or in dB in case of RSRQ.

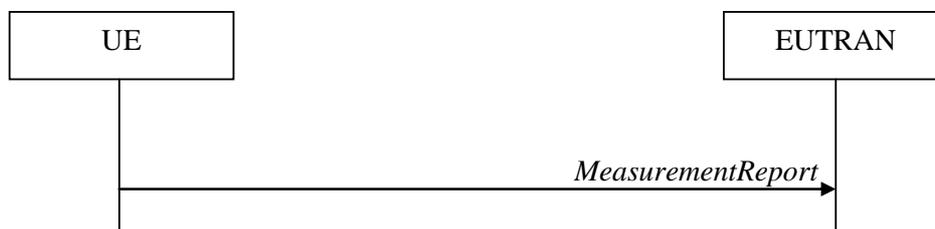
*Mn* is expressed in dBm or dB, depending on the measurement quantity of the inter-RAT neighbour cell.

*Ofn*, *Hys* are expressed in dB.

*Thresh1* is expressed in the same unit as *Mp*.

*Thresh2* is expressed in the same unit as *Mn*.

### 5.5.5 Measurement reporting



**Figure 5.5.5-1: Measurement reporting**

The purpose of this procedure is to transfer measurement results from the UE to E-UTRAN.

For the *measId* for which the measurement reporting procedure was triggered, the UE shall set the *measResults* within the *MeasurementReport* message as follows:

- 1> set the *measId* to the measurement identity that triggered the measurement reporting;
  - 1> set the *measResultPCell* to include the quantities of the PCell;
  - 1> set the *measResultServFreqList* to include for each SCell that is configured, if any, within *measResultSCell* the quantities of the concerned SCell;
  - 1> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportAddNeighMeas*:
    - 2> for each serving frequency for which *measObjectId* is referenced in the *measIdList*, other than the frequency corresponding with the *measId* that triggered the measurement reporting:
      - 3> set the *measResultServFreqList* to include within *measResultBestNeighCell* the *physCellId* and the quantities of the best non-serving cell, based on RSRP, on the concerned serving frequency;
  - 1> if there is at least one applicable neighbouring cell to report:
    - 2> set the *measResultNeighCells* to include the best neighbouring cells up to *maxReportCells* in accordance with the following:
      - 3> if the *triggerType* is set to *event*:
        - 4> include the cells included in the *cellsTriggeredList* as defined within the *VarMeasReportList* for this *measId*;
      - 3> else:
        - 4> include the applicable cells for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;
- NOTE: The reliability of the report (i.e. the certainty it contains the strongest cells on the concerned frequency) depends on the measurement configuration i.e. the *reportInterval*. The related performance requirements are specified in TS 36.133 [16].
- 3> for each cell that is included in the *measResultNeighCells*, include the *physCellId*;
  - 3> if the *triggerType* is set to *event*; or the *purpose* is set to *reportStrongestCells* or to *reportStrongestCellsForSON*:
    - 4> for each included cell, include the layer 3 filtered measured results in accordance with the *reportConfig* for this *measId*, ordered as follows:
      - 5> if the *measObject* associated with this *measId* concerns E-UTRA:

- 6> set the *measResult* to include the quantity(ies) indicated in the *reportQuantity* within the concerned *reportConfig* in order of decreasing *triggerQuantity*, i.e. the best cell is included first;
- 5> if the *measObject* associated with this *measId* concerns UTRA FDD and if *ReportConfigInterRAT* includes the *reportQuantityUTRA-FDD*:
  - 6> set the *measResult* to include the quantities indicated by the *reportQuantityUTRA-FDD* in order of decreasing *measQuantityUTRA-FDD* within the *quantityConfig*, i.e. the best cell is included first;
- 5> if the *measObject* associated with this *measId* concerns UTRA FDD and if *ReportConfigInterRAT* does not include the *reportQuantityUTRA-FDD*; or
- 5> if the *measObject* associated with this *measId* concerns UTRA TDD, GERAN or CDMA2000:
  - 6> set the *measResult* to the quantity as configured for the concerned RAT within the *quantityConfig* in order of either decreasing quantity for UTRA and GERAN or increasing quantity for CDMA2000 *pilotStrength*, i.e. the best cell is included first;
- 3> else if the *purpose* is set to *reportCGI*:
  - 4> if the mandatory present fields of the *cgi-Info* for the cell indicated by the *cellForWhichToReportCGI* in the associated *measObject* have been obtained:
    - 5> if the cell broadcasts a CSG identity:
      - 6> include the *csg-Identity*;
      - 6> include the *csg-MemberStatus* and set it to *member* if the cell is a CSG member cell;
    - 5> if the *si-RequestForHO* is configured within the *reportConfig* associated with this *measId*:
      - 6> include the *cgi-Info* containing all the fields that have been successfully acquired, except for the *plmn-IdentityList*;
    - 5> else:
      - 6> include the *cgi-Info* containing all the fields that have been successfully acquired;
- 1> if the *ue-RxTxTimeDiffPeriodical* is configured within the corresponding *reportConfig* for this *measId*:
  - 2> set the *ue-RxTxTimeDiffResult* to the measurement result provided by lower layers;
  - 2> set the *currentSFN*;
- 1> if the *includeLocationInfo* is configured in the corresponding *reportConfig* for this *measId* and detailed location information that has not been reported is available, set the content of the *locationInfo* as follows:
  - 2> include the *locationCoordinates*;
  - 2> if available, include the *gnss-TOD-msec*;
  - 2> if available, include the *uncertainty*;
  - 2> if available, include the *confidence*;
- 1> increment the *numberOfReportsSent* as defined within the *VarMeasReportList* for this *measId* by 1;
- 1> stop the periodical reporting timer, if running;
- 1> if the *numberOfReportsSent* as defined within the *VarMeasReportList* for this *measId* is less than the *reportAmount* as defined within the corresponding *reportConfig* for this *measId*:
  - 2> start the periodical reporting timer with the value of *reportInterval* as defined within the corresponding *reportConfig* for this *measId*;

- 1> else:
  - 2> if the *triggerType* is set to *periodical*:
    - 3> remove the entry within the *VarMeasReportList* for this *measId*;
    - 3> remove this *measId* from the *measIdList* within *VarMeasConfig*;
- 1> if the measured results are for CDMA2000 HRPD:
  - 2> set the *preRegistrationStatusHRPD* to the UE's CDMA2000 upper layer's HRPD *preRegistrationStatus*;
- 1> if the measured results are for CDMA2000 1xRTT:
  - 2> set the *preRegistrationStatusHRPD* to *FALSE*;
- 1> submit the *MeasurementReport* message to lower layers for transmission, upon which the procedure ends;

## 5.5.6 Measurement related actions

### 5.5.6.1 Actions upon handover and re-establishment

E-UTRAN applies the handover procedure as follows:

- when performing the handover procedure, as specified in 5.3.5.4, ensure that a *measObjectId* corresponding to each handover target serving frequency is configured as a result of the procedures described in this sub-clause and in 5.3.5.4;

E-UTRAN applies the re-establishment procedure as follows:

- when performing the connection re-establishment procedure, as specified in 5.3.7, ensure that a *measObjectId* corresponding each target serving frequency is configured as a result of the procedure described in this sub-clause and the subsequent connection reconfiguration procedure immediately following the re-establishment procedure;

The UE shall:

- 1> for each *measId* included in the *measIdList* within *VarMeasConfig*:
  - 2> if the *triggerType* is set to *periodical*:
    - 3> remove this *measId* from the *measIdList* within *VarMeasConfig*;
- 1> if the procedure was triggered due to a handover or successful re-establishment and the procedure involves a change of primary frequency, update the *measId* values in the *measIdList* within *VarMeasConfig* as follows:
  - 2> if a *measObjectId* value corresponding to the target primary frequency exists in the *measObjectList* within *VarMeasConfig*:
    - 3> for each *measId* value in the *measIdList*:
      - 4> if the *measId* value is linked to the *measObjectId* value corresponding to the source primary frequency:
        - 5> link this *measId* value to the *measObjectId* value corresponding to the target primary frequency;
      - 4> else if the *measId* value is linked to the *measObjectId* value corresponding to the target primary frequency:
        - 5> link this *measId* value to the *measObjectId* value corresponding to the source primary frequency;
  - 2> else:
    - 3> remove all *measId* values that are linked to the *measObjectId* value corresponding to the source primary frequency;

- 1> remove all measurement reporting entries within *VarMeasReportList*;
- 1> stop the periodical reporting timer or timer T321, whichever one is running, as well as associated information (e.g. *timeToTrigger*) for all *measId*;
- 1> release the measurement gaps, if activated;

NOTE: If the UE requires measurement gaps to perform inter-frequency or inter-RAT measurements, the UE resumes the inter-frequency and inter-RAT measurements after the E-UTRAN has setup the measurement gaps.

### 5.5.6.2 Speed dependant scaling of measurement related parameters

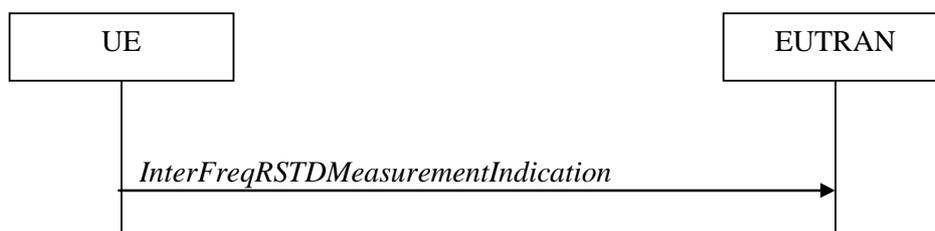
The UE shall adjust the value of the following parameter configured by the E-UTRAN depending on the UE speed: *timeToTrigger*. The UE shall apply 3 different levels, which are selected as follows:

The UE shall:

- 1> perform mobility state detection using the mobility state detection as specified in TS 36.304 [4] with the following modifications:
  - 2> counting handovers instead of cell reselections;
  - 2> applying the parameter applicable for RRC\_CONNECTED as included in *speedStatePars* within *VarMeasConfig*;
- 1> if high mobility state is detected:
  - 2> use the *timeToTrigger* value multiplied by *sf-High* within *VarMeasConfig*;
- 1> else if medium mobility state is detected:
  - 2> use the *timeToTrigger* value multiplied by *sf-Medium* within *VarMeasConfig*;
- 1> else
  - 2> no scaling is applied;

## 5.5.7 Inter-frequency RSTD measurement indication

### 5.5.7.1 General



**Figure 5.5.7.1-1: Inter-frequency RSTD measurement indication**

The purpose of this procedure is to indicate to the network that the UE is going to start/stop OTDOA inter-frequency RSTD measurements which require measurement gaps as specified in [16, 8.1.2.6].

NOTE: It is a network decision to configure the measurement gap.

### 5.5.7.2 Initiation

The UE shall:

- 1> if and only if upper layers indicate to start performing inter-frequency RSTD measurements; and the UE requires measurement gaps for these measurements while measurement gaps are either not configured or not sufficient:
- 2> initiate the procedure to indicate start;

NOTE 1: The UE verifies the measurement gap situation only upon receiving the indication from upper layers. If at this point in time sufficient gaps are available, the UE does not initiate the procedure. Also if at a later point in time the measurement gaps become insufficient, the UE does not initiate the procedure unless it receives a new indication from upper layers.

- 1> if and only if upper layers indicate to stop performing inter-frequency RSTD measurements:
- 2> initiate the procedure to indicate stop;

NOTE 2: The UE may initiate the procedure to indicate stop even if it did not previously initiate the procedure to indicate start.

### 5.5.7.3 Actions related to transmission of *InterFreqRSTDMeasurementIndication* message

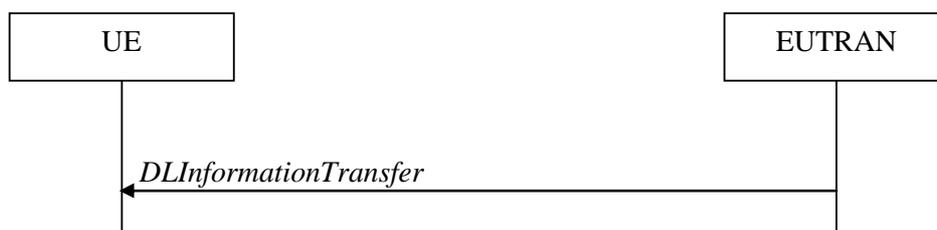
The UE shall set the contents of *InterFreqRSTDMeasurementIndication* message as follows:

- 1> set the *rstd-InterFreqIndication* as follows:
  - 2> if the procedure is initiated to indicate start of inter-frequency RSTD measurements:
    - 3> set the *rstd-InterFreqInfoList* according to the information received from upper layers;
  - 2> else if the procedure is initiated to indicate stop of inter-frequency RSTD measurements:
    - 3> set the *rstd-InterFreqIndication* to the value *stop*;
- 1> submit the *InterFreqRSTDMeasurementIndication* message to lower layers for transmission, upon which the procedure ends;

## 5.6 Other

### 5.6.1 DL information transfer

#### 5.6.1.1 General



**Figure 5.6.1.1-1: DL information transfer**

The purpose of this procedure is to transfer NAS or (tunnelled) non-3GPP dedicated information from E-UTRAN to a UE in RRC\_CONNECTED.

#### 5.6.1.2 Initiation

E-UTRAN initiates the DL information transfer procedure whenever there is a need to transfer NAS or non-3GPP dedicated information. E-UTRAN initiates the DL information transfer procedure by sending the *DLInformationTransfer* message.

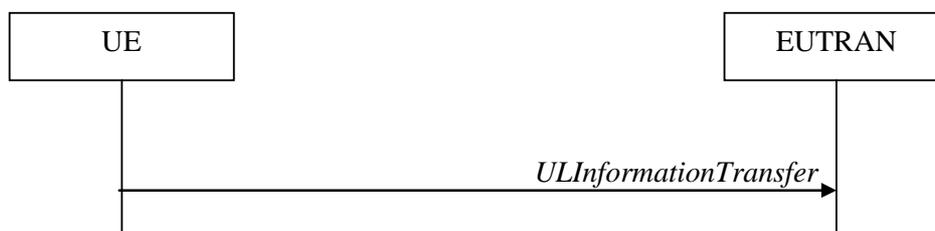
### 5.6.1.3 Reception of the *DLInformationTransfer* by the UE

Upon receiving *DLInformationTransfer* message, the UE shall:

- 1> if the *dedicatedInfoType* is set to *dedicatedInfoNAS*:
  - 2> forward the *dedicatedInfoNAS* to the NAS upper layers.
- 1> if the *dedicatedInfoType* is set to *dedicatedInfoCDMA2000-1XRTT* or to *dedicatedInfoCDMA2000-HRPD*:
  - 2> forward the *dedicatedInfoCDMA2000* to the CDMA2000 upper layers;

## 5.6.2 UL information transfer

### 5.6.2.1 General



**Figure 5.6.2.1-1: UL information transfer**

The purpose of this procedure is to transfer NAS or (tunnelled) non-3GPP dedicated information from the UE to E-UTRAN.

### 5.6.2.2 Initiation

A UE in RRC\_CONNECTED initiates the UL information transfer procedure whenever there is a need to transfer NAS or non-3GPP dedicated information, except at RRC connection establishment in which case the NAS information is piggybacked to the *RRCConnectionSetupComplete* message. The UE initiates the UL information transfer procedure by sending the *ULInformationTransfer* message. When CDMA2000 information has to be transferred, the UE shall initiate the procedure only if SRB2 is established.

### 5.6.2.3 Actions related to transmission of *ULInformationTransfer* message

The UE shall set the contents of the *ULInformationTransfer* message as follows:

- 1> if there is a need to transfer NAS information:
  - 2> set the *dedicatedInfoType* to include the *dedicatedInfoNAS*;
- 1> if there is a need to transfer CDMA2000 1XRTT information:
  - 2> set the *dedicatedInfoType* to include the *dedicatedInfoCDMA2000-1XRTT*;
- 1> if there is a need to transfer CDMA2000 HRPD information:
  - 2> set the *dedicatedInfoType* to include the *dedicatedInfoCDMA2000-HRPD*;
- 1> submit the *ULInformationTransfer* message to lower layers for transmission, upon which the procedure ends;

### 5.6.2.4 Failure to deliver *ULInformationTransfer* message

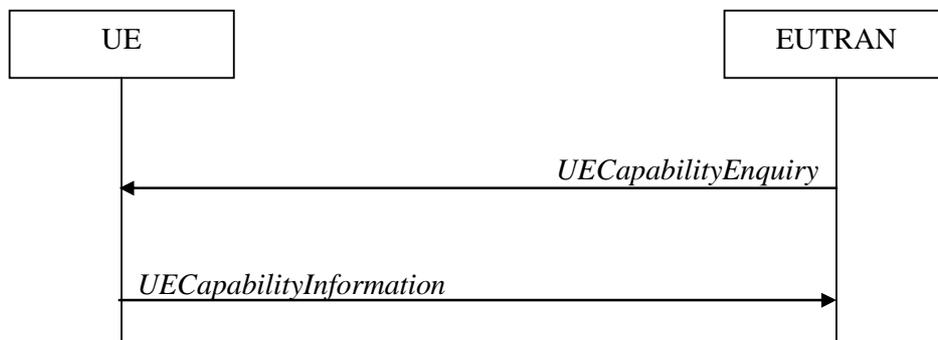
The UE shall:

- 1> if mobility (i.e. handover, RRC connection re-establishment) occurs before the successful delivery of *ULInformationTransfer* messages has been confirmed by lower layers:

- 2> inform upper layers about the possible failure to deliver the information contained in the concerned *ULInformationTransfer* messages;

### 5.6.3 UE capability transfer

#### 5.6.3.1 General



**Figure 5.6.3.1-1: UE capability transfer**

The purpose of this procedure is to transfer UE radio access capability information from the UE to E-UTRAN.

If the UE has changed its E-UTRAN radio access capabilities, the UE shall request higher layers to initiate the necessary NAS procedures (see TS 23.401 [41]) that would result in the update of UE radio access capabilities using a new RRC connection.

NOTE: Change of the UE's GERAN UE radio capabilities in RRC\_IDLE is supported by use of Tracking Area Update.

#### 5.6.3.2 Initiation

E-UTRAN initiates the procedure to a UE in RRC\_CONNECTED when it needs (additional) UE radio access capability information.

#### 5.6.3.3 Reception of the *UECapabilityEnquiry* by the UE

The UE shall:

- 1> set the contents of *UECapabilityInformation* message as follows:
  - 2> if the *ue-CapabilityRequest* includes *eutra*:
    - 3> include the *UE-EUTRA-Capability* within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to *eutra*;
    - 3> if the UE supports FDD and TDD:
      - 4> set all fields of *UECapabilityInformation*, except field *fdd-Add-UE-EUTRA-Capabilities* and *tdd-Add-UE-EUTRA-Capabilities* (including their sub-fields), to include the values applicable for both FDD and TDD (i.e. functionality supported by both modes);
      - 4> if (some of) the UE capability fields have a different value for FDD and TDD:
        - 5> if for FDD, the UE supports additional functionality compared to what is indicated by the previous fields of *UECapabilityInformation*:
          - 6> include field *fdd-Add-UE-EUTRA-Capabilities* and set it to include fields reflecting the additional functionality applicable for FDD;
        - 5> if for TDD, the UE supports additional functionality compared to what is indicated by the previous fields of *UECapabilityInformation*:

6> include field *tdd-Add-UE-EUTRA-Capabilities* and set it to include fields reflecting the additional functionality applicable for TDD;

NOTE: The UE includes fields of *XDD-Add-UE-EUTRA-Capabilities* in accordance with the following:

- The field is included only if one or more of its sub-fields has a value that is different compared to the value signalled elsewhere within *UE-EUTRA-Capability*;  
(this value signalled elsewhere is also referred to as the *Common value*, that is supported for both XDD modes)
- For the fields that are included in *XDD-Add-UE-EUTRA-Capabilities*, the UE sets:
  - the sub-fields that are not allowed to be different the same as the *Common value*;
  - the sub-fields that are allowed to be different to a value indicating at least the same functionality as indicated by the *Common value*;

3> else (UE supports single xDD mode):

4> set all fields of *UECapabilityInformation*, except field *fdd-Add-UE-EUTRA-Capabilities* and *tdd-Add-UE-EUTRA-Capabilities* (including their sub-fields), to include the values applicable for the xDD mode supported by the UE;

2> if the *ue-CapabilityRequest* includes *geran-cs* and if the UE supports GERAN CS domain:

3> include the UE radio access capabilities for GERAN CS within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to *geran-cs*;

2> if the *ue-CapabilityRequest* includes *geran-ps* and if the UE supports GERAN PS domain:

3> include the UE radio access capabilities for GERAN PS within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to *geran-ps*;

2> if the *ue-CapabilityRequest* includes *utra* and if the UE supports UTRA:

3> include the UE radio access capabilities for UTRA within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to *utra*;

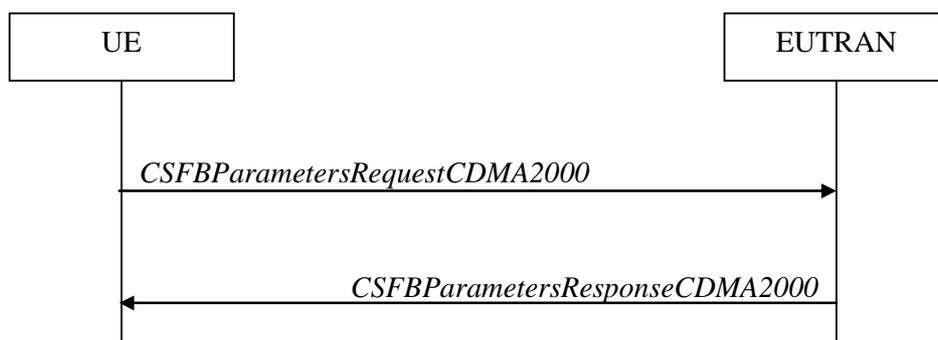
2> if the *ue-CapabilityRequest* includes *cdma2000-1XRTT* and if the UE supports CDMA2000 1xRTT:

3> include the UE radio access capabilities for CDMA2000 within a *ue-CapabilityRAT-Container* and with the *rat-Type* set to *cdma2000-1XRTT*;

1> submit the *UECapabilityInformation* message to lower layers for transmission, upon which the procedure ends;

## 5.6.4 CSFB to 1x Parameter transfer

### 5.6.4.1 General



**Figure 5.6.4.1-1: CSFB to 1x Parameter transfer**

The purpose of this procedure is to transfer the CDMA2000 1xRTT parameters required to register the UE in the CDMA2000 1xRTT network for CSFB support.

### 5.6.4.2 Initiation

A UE in RRC\_CONNECTED initiates the CSFB to 1x Parameter transfer procedure upon request from the CDMA2000 upper layers. The UE initiates the CSFB to 1x Parameter transfer procedure by sending the *CSFBParametersRequestCDMA2000* message.

### 5.6.4.3 Actions related to transmission of *CSFBParametersRequestCDMA2000* message

The UE shall:

- 1> submit the *CSFBParametersRequestCDMA2000* message to lower layers for transmission using the current configuration;

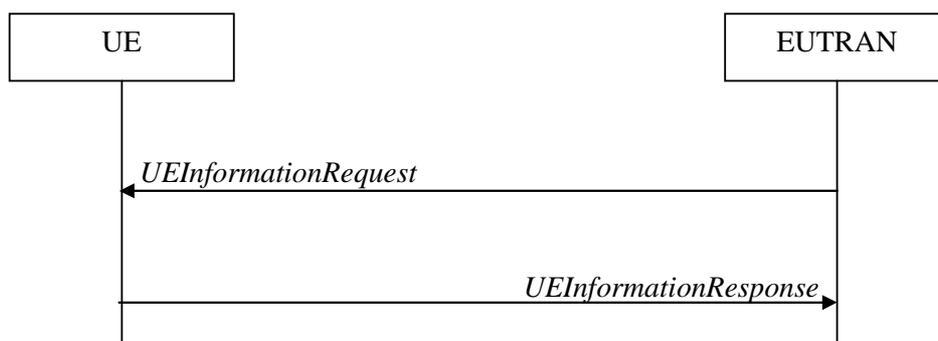
### 5.6.4.4 Reception of the *CSFBParametersResponseCDMA2000* message

Upon reception of the *CSFBParametersResponseCDMA2000* message, the UE shall:

- 1> forward the *rand* and the *mobilityParameters* to the CDMA2000 1xRTT upper layers;

## 5.6.5 UE Information

### 5.6.5.1 General



**Figure 5.6.5.1-1: UE information procedure**

The UE information procedure is used by E-UTRAN to request the UE to report information.

### 5.6.5.2 Initiation

E-UTRAN initiates the procedure by sending the *UEInformationRequest* message.

### 5.6.5.3 Reception of the *UEInformationRequest* message

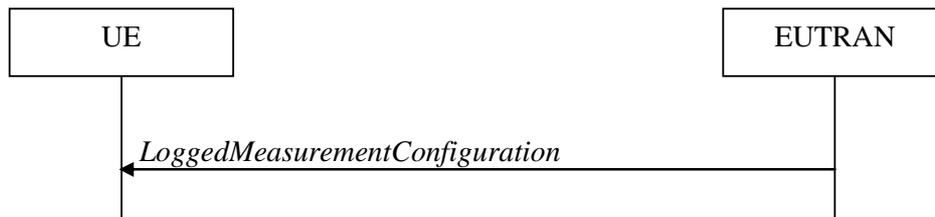
Upon receiving the *UEInformationRequest* message, the UE shall:

- 1> if *rach-ReportReq* is set to *true*, set the contents of the *rach-Report* in the *UEInformationResponse* message as follows:
  - 2> set the *numberOfPreamblesSent* to indicate the number of preambles sent by MAC for the last successfully completed random access procedure;
  - 2> if contention resolution was not successful as specified in TS 36.321 [6] for at least one of the transmitted preambles for the last successfully completed random access procedure:
    - 3> set the *contentionDetected* to *true*;
  - 2> else:
    - 3> set the *contentionDetected* to *false*;

- 1> if *rlf-ReportReq* is set to *true* and the UE has radio link failure information or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:
  - 2> set *timeSinceFailure* in *VarRLF-Report* to the time that elapsed since the last radio link failure or handover failure;
  - 2> set the *rlf-Report* in the *UEInformationResponse* message to the value of *rlf-Report* in *VarRLF-Report*;
  - 2> discard the *rlf-Report* from *VarRLF-Report* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;
- 1> if *connEstFail-ReportReq* is set to *true* and the UE has connection establishment failure information in *VarConnEstFail-Report* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFail-Report*:
  - 2> set *timeSinceFailure* in *VarConnEstFail-Report* to the time that elapsed since the last connection establishment failure;
  - 2> set the *connEstFail-Report* in the *UEInformationResponse* message to the value of *connEstFail-Report* in *VarConnEstFail-Report*;
  - 2> discard the *connEstFail-Report* from *VarConnEstFail-Report* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;
- 1> if the *logMeasReportReq* is present and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:
  - 2> if *VarLogMeasReport* includes one or more logged measurement entries, set the contents of the *logMeasReport* in the *UEInformationResponse* message as follows:
    - 3> include the *absoluteTimeStamp* and set it to the value of *absoluteTimeInfo* in the *VarLogMeasReport*;
    - 3> include the *traceReference* and set it to the value of *traceReference* in the *VarLogMeasReport*;
    - 3> include the *traceRecordingSessionRef* and set it to the value of *traceRecordingSessionRef* in the *VarLogMeasReport*;
    - 3> include the *tce-Id* and set it to the value of *tce-Id* in the *VarLogMeasReport*;
    - 3> include the *logMeasInfoList* and set it to include one or more entries from *VarLogMeasReport* starting from the entries logged first;
    - 3> if the *VarLogMeasReport* includes one or more additional logged measurement entries that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:
      - 4> include the *logMeasAvailable*;
  - 1> if the *logMeasReport* is included in the *UEInformationResponse*:
    - 2> submit the *UEInformationResponse* message to lower layers for transmission via SRB2;
    - 2> discard the logged measurement entries included in the *logMeasInfoList* from *VarLogMeasReport* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;
- 1> else:
  - 2> submit the *UEInformationResponse* message to lower layers for transmission via SRB1;

## 5.6.6 Logged Measurement Configuration

### 5.6.6.1 General



**Figure 5.6.6.1-1: Logged measurement configuration**

The purpose of this procedure is to configure the UE to perform logging of measurement results while in RRC\_IDLE. The procedure applies to logged measurements capable UEs that are in RRC\_CONNECTED.

NOTE E-UTRAN may retrieve stored logged measurement information by means of the UE Information procedure.

### 5.6.6.2 Initiation

E-UTRAN initiates the logged measurement configuration procedure to UE in RRC\_CONNECTED by sending the *LoggedMeasurementConfiguration* message.

### 5.6.6.3 Reception of the *LoggedMeasurementConfiguration* by the UE

Upon receiving the *LoggedMeasurementConfiguration* message the UE shall:

- 1> discard the logged measurement configuration as well as the logged measurement information as specified in 5.6.7;
- 1> store the received *loggingDuration*, *loggingInterval* and *areaConfiguration*, if included, in *VarLogMeasConfig*;
- 1> if the *LoggedMeasurementConfiguration* message includes *plmn-IdentityList*;
  - 2> set *plmn-IdentityList* in *VarLogMeasReport* to include the RPLMN as well as the PLMNs included in *plmn-IdentityList*;
- 1> else:
  - 2> set *plmn-IdentityList* in *VarLogMeasReport* to include the RPLMN;
- 1> store the received *absoluteTimeInfo*, *traceReference*, *traceRecordingSessionRef* and *tce-Id* in *VarLogMeasReport*;
- 1> start timer T330 with the timer value set to the *loggingDuration*;

### 5.6.6.4 T330 expiry

Upon expiry of T330 the UE shall:

- 1> release *VarLogMeasConfig*;

The UE is allowed to discard stored logged measurements, i.e. to release *VarLogMeasReport* 48 hours after T330 expiry.

## 5.6.7 Release of Logged Measurement Configuration

### 5.6.7.1 General

The purpose of this procedure is to release the logged measurement configuration as well as the logged measurement information.

### 5.6.7.2 Initiation

The UE shall initiate the procedure upon receiving a logged measurement configuration in another RAT. The UE shall also initiate the procedure upon power off or detach.

The UE shall:

- 1> stop timer T330, if running;
- 1> if stored, discard the logged measurement configuration as well as the logged measurement information, i.e. release the UE variables *VarLogMeasConfig* and *VarLogMeasReport*;

## 5.6.8 Measurements logging

### 5.6.8.1 General

This procedure specifies the logging of available measurements by a UE in RRC\_IDLE that has a logged measurement configuration.

### 5.6.8.2 Initiation

While T330 is running, the UE shall:

- 1> perform the logging in accordance with the following:
  - 2> if the UE is camping normally on an E-UTRA cell and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport* and, if the cell is part of the area indicated by *areaConfiguration* if configured in *VarLogMeasConfig*:
    - 3> perform the logging at regular time intervals, as defined by the *loggingInterval* in *VarLogMeasConfig*;
  - 2> when adding a logged measurement entry in *VarLogMeasReport*, include the fields in accordance with the following:
    - 3> set the *relativeTimeStamp* to indicate the elapsed time since the moment at which the logged measurement configuration was received;
    - 3> if detailed location information became available during the last logging interval, set the content of the *locationInfo* as follows:
      - 4> include the *locationCoordinates*;
      - 4> if available, include the *uncertainty*;
      - 4> if available, include the *confidence*;
    - 3> set the *servCellIdentity* to indicate global cell identity of the cell the UE is camping on;
    - 3> set the *measResultServCell* to include the quantities of the cell the UE is camping on;
    - 3> if available, set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell re-selection, to include neighbouring cell measurements that became available during the last logging interval for at most the following number of neighbouring cells; 6 intra-frequency and 3 inter-frequency neighbours per frequency as well as 3 inter-RAT neighbours, per frequency/ set of frequencies (GERAN) per RAT;

NOTE: The UE includes the latest results of the available measurements as used for cell reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].

- 2> when the memory reserved for the logged measurement information becomes full, stop timer T330 and perform the same actions as performed upon expiry of T330, as specified in 5.6.6.4;

## 5.6.9 In-device coexistence indication

### 5.6.9.1 General

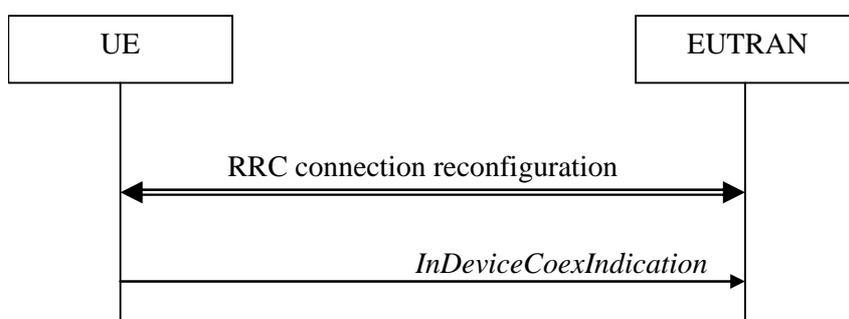


Figure 5.6.9.1-1: In-device coexistence indication

The purpose of this procedure is to inform E-UTRAN about (a change of) the In-Device Coexistence (IDC) problems experienced by the UE in RRC\_CONNECTED and to provide the E-UTRAN with information in order to resolve them.

NOTE: It is FFS how RRM/RLM/CSI measurements in different phases of IDC interference are captured.

### 5.6.9.2 Initiation

A UE in RRC\_CONNECTED shall:

- 1> if the received *otherConfig* includes the *idc-Config* set to *setup*:
  - 2> if on one or more frequencies for which a *measObjectEUTRA* is configured, the UE is experiencing IDC problems that it cannot solve by itself:
    - 3> initiate the transmission of the *InDeviceCoexIndication* message in accordance with 5.6.9.3;
- 2> if since the last transmission of the *InDeviceCoexIndication* message:
  - 3> if no frequency is any longer experiencing IDC problems as reported in the last *InDeviceCoexIndication* message; or
  - 3> if the set of frequencies for which a *measObjectEUTRA* is configured and on which the UE is experiencing IDC problems that it cannot solve by itself, has changed; or
  - 3> if for one or more of the frequencies in this set of frequencies, the *interferenceDirection* has changed; or
  - 3> if the TDM assistance information has changed:
    - 4> initiate the transmission of the *InDeviceCoexIndication* message in accordance with 5.6.9.3.

NOTE: It is FFS how the IDC indication prohibit timer is captured.

### 5.6.9.3 Actions related to transmission of *InDeviceCoexIndication* message

The UE shall set the contents of the *InDeviceCoexIndication* message as follows:

- 1> if there is at least one E-UTRA carrier frequency affected by the IDC problems:
  - 2> include the IE *affectedCarrierFreqList* with an entry for each affected E-UTRA carrier frequency for which a measurement object is configured;

- 2> for each E-UTRA carrier frequency included in the the IE *affectedCarrierFreqList*, include *interferenceDirection* and set it accordingly;
- 2> include Time Domain Multiplexing (TDM) based assistance information:
  - 3> if the UE has DRX related assistance information that could be used to resolve the IDC problems:
    - 4> include *drx-CycleLength*, *drx-Offset* and *drx-ActiveTime*;
  - 3> else if the UE has desired subframe reservation patterns related assistance information that could be used to resolve the IDC problems:
    - 4> include *idc-SubframePatternList*.

NOTE 1: When sending an *InDeviceCoexIndication* message to inform E-UTRAN the IDC problems, the UE includes all assistance information (rather than providing e.g. the changed part(s) of the assistance information).

NOTE 2: When sending an *InDeviceCoexIndication* message to inform E-UTRAN the IDC problem is over, the UE does not include the IE *affectedCarrierFreqList* and *tdm-AssistanceInfo*.

The UE shall submit the *InDeviceCoexIndication* message to lower layers for transmission.

## 5.7 Generic error handling

### 5.7.1 General

The generic error handling defined in the subsequent sub-clauses applies unless explicitly specified otherwise e.g. within the procedure specific error handling.

The UE shall consider a value as not comprehended when it is set:

- to an extended value that is not defined in the version of the transfer syntax supported by the UE.
- to a spare or reserved value unless the specification defines specific behaviour that the UE shall apply upon receiving the concerned spare/ reserved value.

The UE shall consider a field as not comprehended when it is defined:

- as spare or reserved unless the specification defines specific behaviour that the UE shall apply upon receiving the concerned spare/ reserved field.

### 5.7.2 ASN.1 violation or encoding error

The UE shall:

- 1> when receiving an RRC message on the BCCH, PCCH, CCCH, or MCCH for which the abstract syntax is invalid [13]:
  - 2> ignore the message;

NOTE This section applies in case one or more fields is set to a value, other than a spare, reserved or extended value, not defined in this version of the transfer syntax. E.g. in the case the UE receives value 12 for a field defined as INTEGER (1..11). In cases like this, it may not be possible to reliably detect which field is in the error hence the error handling is at the message level.

### 5.7.3 Field set to a not comprehended value

The UE shall, when receiving an RRC message on any logical channel:

- 1> if the message includes a field that has a value that the UE does not comprehend:
  - 2> if a default value is defined for this field:

- 3> treat the message while using the default value defined for this field;
- 2> else if the concerned field is optional:
  - 3> treat the message as if the field were absent and in accordance with the need code for absence of the concerned field;
- 2> else:
  - 3> treat the message as if the field were absent and in accordance with sub-clause 5.7.4;

## 5.7.4 Mandatory field missing

The UE shall:

- 1> if the message includes a field that is mandatory to include in the message (e.g. because conditions for mandatory presence are fulfilled) and that field is absent or treated as absent:
  - 2> if the RRC message was received on DCCH or CCCH:
    - 3> ignore the message;
  - 2> else:
    - 3> if the field concerns a (sub-field of) an entry of a list (i.e. a SEQUENCE OF):
      - 4> treat the list as if the entry including the missing or not comprehended field was not present;
    - 3> else if the field concerns a sub-field of another field, referred to as the 'parent' field i.e. the field that is one nesting level up compared to the erroneous field:
      - 4> consider the 'parent' field to be set to a not comprehended value;
      - 4> apply the generic error handling to the subsequent 'parent' field(s), until reaching the top nesting level i.e. the message level;
    - 3> else (field at message level):
      - 4> ignore the message;

NOTE 1: The error handling defined in these sub-clauses implies that the UE ignores a message with the message type or version set to a not comprehended value.

NOTE 2: The nested error handling for messages received on logical channels other than DCCH and CCCH applies for errors in extensions also, even for errors that can be regarded as invalid E-UTRAN operation e.g. E-UTRAN not observing conditional presence.

The following ASN.1 further clarifies the levels applicable in case of nested error handling for errors in extension fields.

```
-- /example/ ASN1START
-- Example with extension addition group
ItemInfoList ::=                               SEQUENCE (SIZE (1..max)) OF ItemInfo
ItemInfo ::=                                   SEQUENCE {
  itemIdentity                                INTEGER (1..max),
  field1                                       Field1,
  field2                                       Field2                               OPTIONAL,      -- Need ON
  ...
  [[ field3-r9                                Field3-r9                               OPTIONAL,      -- Cond Cond1
    field4-r9                                Field4-r9                               OPTIONAL      -- Need ON
  ]]
}
-- Example with traditional non-critical extension (empty sequence)
BroadcastInfoBlock1 ::=                       SEQUENCE {
  itemIdentity                                INTEGER (1..max),
```

```

    field1                Field1,
    field2                Field2                OPTIONAL,
    nonCriticalExtension  BroadcastInfoBlock1-v940-IEs  OPTIONAL
}
BroadcastInfoBlock1-v940-IEs ::= SEQUENCE {
    field3-r9            Field3-r9                OPTIONAL,
    field4-r9            Field4-r9                OPTIONAL,
    nonCriticalExtension SEQUENCE {              OPTIONAL
}
-- ASN1STOP

```

The UE shall, apply the following principles regarding the levels applicable in case of nested error handling:

- an extension addition group is not regarded as a level on its own. E.g. in the ASN.1 extract in the previous, a error regarding the conditionality of *field3* would result in the entire itemInfo entry to be ignored (rather than just the extension addition group containing *field3* and *field4*)
- a traditional *nonCriticalExtension* is not regarded as a level on its own. E.g. in the ASN.1 extract in the previous, a error regarding the conditionality of *field3* would result in the entire *BroadcastInfoBlock1* to be ignored (rather than just the non critical extension containing *field3* and *field4*).

## 5.7.5 Not comprehended field

The UE shall, when receiving an RRC message on any logical channel:

- 1> if the message includes a field that the UE does not comprehend:
  - 2> treat the rest of the message as if the field was absent;

NOTE: This section does not apply to the case of an extension to the value range of a field. Such cases are addressed instead by the requirements in section 5.7.3.

## 5.8 MBMS

### 5.8.1 Introduction

#### 5.8.1.1 General

In general the control information relevant only for UEs supporting MBMS is separated as much as possible from unicast control information. Most of the MBMS control information is provided on a logical channel specific for MBMS common control information: the MCCH. E-UTRA employs one MCCH logical channel per MBSFN area. In case the network configures multiple MBSFN areas, the UE acquires the MBMS control information from the MCCHs that are configured to identify if services it is interested to receive are ongoing. The action applicable when the UE is unable to simultaneously receive MBMS and unicast services is up to UE implementation. In this release of the specification, an MBMS capable UE is only required to support reception of a single MBMS service at a time, and reception of more than one MBMS service (also possibly on more than one MBSFN area) in parallel is left for UE implementation. The MCCH carries the *MBSFNAreaConfiguration* message, which indicates the MBMS sessions that are ongoing as well as the (corresponding) radio resource configuration. The MCCH may also carry the *MBMSCountingRequest* message, when E-UTRAN wishes to count the number of UEs in RRC\_CONNECTED that are receiving or interested to receive one or more specific MBMS services.

A limited amount of MBMS control information is provided on the BCCH. This primarily concerns the information needed to acquire the MCCH(s). This information is carried by means of a single MBMS specific *SystemInformationBlock: SystemInformationBlockType13*. An MBSFN area is identified solely by the *mbsfn-AreaId* in *SystemInformationBlockType13*. At mobility, the UE considers that the MBSFN area is continuous when the source cell and the target cell broadcast the same value in the *mbsfn-AreaId*.

### 5.8.1.2 Scheduling

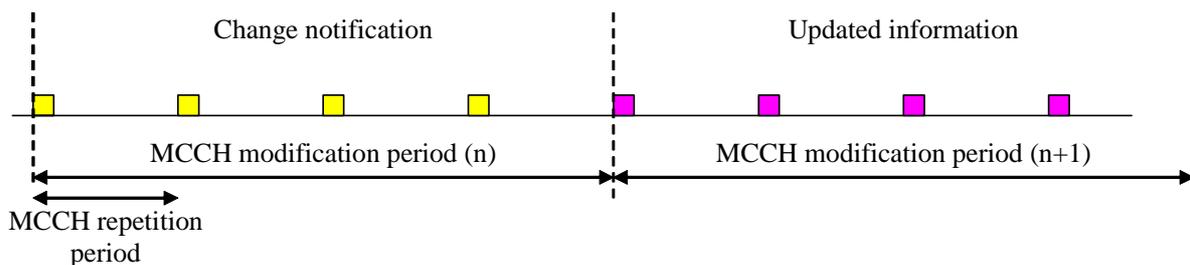
The MCCH information is transmitted periodically, using a configurable repetition period. Scheduling information is not provided for MCCH i.e. both the time domain scheduling as well as the lower layer configuration are semi-statically configured, as defined within *SystemInformationBlockType13*.

For MBMS user data, which is carried by the MTCH logical channel, E-UTRAN periodically provides MCH scheduling information (MSI) at lower layers (MAC). This MCH scheduling information only concerns the time domain scheduling i.e. the frequency domain scheduling and the lower layer configuration are semi-statically configured. The periodicity of the MSI is configurable and defined by the MCH scheduling period.

### 5.8.1.3 MCCH information validity and notification of changes

Change of MCCH information only occurs at specific radio frames, i.e. the concept of a modification period is used. Within a modification period, the same MCCH information may be transmitted a number of times, as defined by its scheduling (which is based on a repetition period). The modification period boundaries are defined by SFN values for which  $\text{SFN} \bmod m = 0$ , where  $m$  is the number of radio frames comprising the modification period. The modification period is configured by means of *SystemInformationBlockType13*.

When the network changes (some of) the MCCH information, it notifies the UEs about the change during a first modification period. In the next modification period, the network transmits the updated MCCH information. These general principles are illustrated in figure 5.8.1.3-1, in which different colours indicate different MCCH information. Upon receiving a change notification, a UE interested to receive MBMS services acquires the new MCCH information immediately from the start of the next modification period. The UE applies the previously acquired MCCH information until the UE acquires the new MCCH information.



**Figure 5.8.1.3-1: Change of MCCH Information**

Indication of an MBMS specific RNTI, the M-RNTI (see TS 36.321 [6]), on PDCCH is used to inform UEs in RRC\_IDLE and UEs in RRC\_CONNECTED about an MCCH information change. When receiving an MCCH information change notification, the UE knows that the MCCH information will change at the next modification period boundary. The notification on PDCCH indicates which of the MCCHs will change, which is done by means of an 8-bit bitmap. Within this bitmap, the bit at the position indicated by the field *notificationIndicator* is used to indicate changes for that MBSFN area: if the bit is set to "1", the corresponding MCCH will change. No further details are provided e.g. regarding which MCCH information will change. The MCCH information change notification is used to inform the UE about a change of MCCH information upon session start or about the start of MBMS counting.

The MCCH information change notifications on PDCCH are transmitted periodically and are carried on MBSFN subframes only. These MCCH information change notification occasions are common for all MCCHs that are configured, and configurable by parameters included in *SystemInformationBlockType13*: a repetition coefficient, a radio frame offset and a subframe index. These common notification occasions are based on the MCCH with the shortest modification period.

**NOTE 1:** E-UTRAN may modify the MBMS configuration information provided on MCCH at the same time as updating the MBMS configuration information carried on BCCH i.e. at a coinciding BCCH and MCCH modification period. Upon detecting that a new MCCH is configured on BCCH, a UE interested to receive one or more MBMS services should acquire the MCCH, unless it knows that the services it is interested in are not provided by the corresponding MBSFN area.

A UE that is receiving an MBMS service shall acquire the MCCH information from the start of each modification period. A UE that is not receiving an MBMS service, as well as UEs that are receiving an MBMS service but potentially interested to receive other services not started yet in another MBSFN area, shall verify that the stored MCCH information remains valid by attempting to find the MCCH information change notification at least

*notificationRepetitionCoeff* times during the modification period of the applicable MCCH(s), if no MCCH information change notification is received.

NOTE 2: In case the UE is aware which MCCH(s) E-UTRAN uses for the service(s) it is interested to receive, the UE may only need to monitor change notifications for a subset of the MCCHs that are configured, referred to as the 'applicable MCCH(s)' in the above.

## 5.8.2 MCCH information acquisition

### 5.8.2.1 General

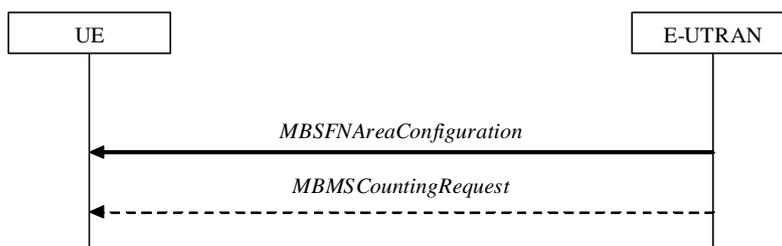


Figure 5.8.2.1-1: MCCH information acquisition

The UE applies the MCCH information acquisition procedure to acquire the MBMS control information that is broadcasted by the E-UTRAN. The procedure applies to MBMS capable UEs that are in RRC\_IDLE or in RRC\_CONNECTED.

### 5.8.2.2 Initiation

A UE interested to receive MBMS services shall apply the MCCH information acquisition procedure upon entering the corresponding MBSFN area (e.g. upon power on, following UE mobility) and upon receiving a notification that the MCCH information has changed. A UE that is receiving an MBMS service shall apply the MCCH information acquisition procedure to acquire the MCCH, that corresponds with the service that is being received, at the start of each modification period.

Unless explicitly stated otherwise in the procedural specification, the MCCH information acquisition procedure overwrites any stored MCCH information, i.e. delta configuration is not applicable for MCCH information and the UE discontinues using a field if it is absent in MCCH information unless explicitly specified otherwise.

### 5.8.2.3 MCCH information acquisition by the UE

An MBMS capable UE shall:

- 1> if the procedure is triggered by an MCCH information change notification:
  - 2> start acquiring the *MBSFNAreaConfiguration* message and the *MBMSCountingRequest* message if present, from the beginning of the modification period following the one in which the change notification was received;

NOTE 1: The UE continues using the previously received MCCH information until the new MCCH information has been acquired.

- 1> if the UE enters an MBSFN area:
  - 2> acquire the *MBSFNAreaConfiguration* message and the *MBMSCountingRequest* message if present, at the next repetition period;
- 1> if the UE is receiving an MBMS service:
  - 2> start acquiring the *MBSFNAreaConfiguration* message and the *MBMSCountingRequest* message if present, that both concern the MBSFN area of the service that is being received, from the beginning of each modification period;

#### 5.8.2.4 Actions upon reception of the *MBSFNAreaConfiguration* message

No UE requirements related to the contents of this *MBSFNAreaConfiguration* apply other than those specified elsewhere e.g. within procedures using the concerned system information, the corresponding field descriptions.

#### 5.8.2.5 Actions upon reception of the *MBMSCountingRequest* message

Upon receiving *MBMSCountingRequest* message, the UE shall perform the MBMS Counting procedure as specified in section 5.8.4.

### 5.8.3 MBMS PTM radio bearer configuration

#### 5.8.3.1 General

The MBMS PTM radio bearer configuration procedure is used by the UE to configure RLC, MAC and the physical layer upon starting and/or stopping to receive an MRB. The procedure applies to UEs interested to receive one or more MBMS services.

NOTE: In case the UE is unable to receive an MBMS service due to capability limitations, upper layers may take appropriate action e.g. terminate a lower priority unicast service.

#### 5.8.3.2 Initiation

The UE applies the MRB establishment procedure to start receiving a session of a service it has an interest in. The procedure may be initiated e.g. upon start of the MBMS session, upon (re-)entry of the corresponding MBSFN service area, upon becoming interested in the MBMS service, upon removal of UE capability limitations inhibiting reception of the concerned service.

The UE applies the MRB release procedure to stop receiving a session. The procedure may be initiated e.g. upon stop of the MBMS session, upon leaving the corresponding MBSFN service area, upon losing interest in the MBMS service, when capability limitations start inhibiting reception of the concerned service.

#### 5.8.3.3 MRB establishment

Upon MRB establishment, the UE shall:

- 1> establish an RLC entity in accordance with the configuration specified in 9.1.1.4;
- 1> configure an MTCH logical channel in accordance with the received *logicalChannelIdentity*, applicable for the MRB, as included in the *MBSFNAreaConfiguration* message;
- 1> configure the physical layer in accordance with the *pmch-Config*, applicable for the MRB, as included in the *MBSFNAreaConfiguration* message;
- 1> inform upper layers about the establishment of the MRB by indicating the corresponding *tmgi* and *sessionId*;

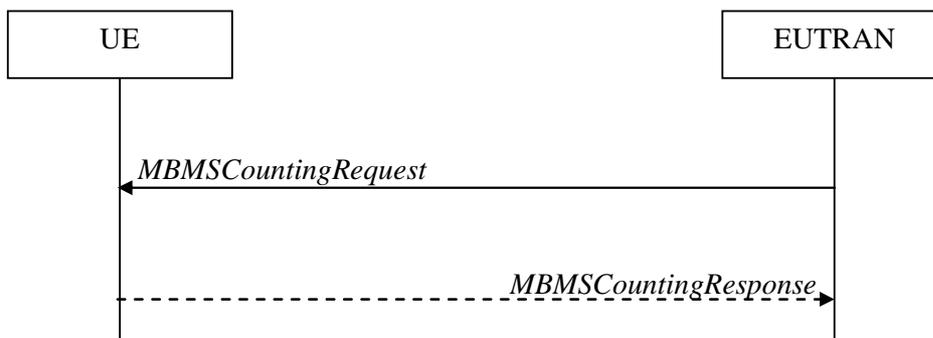
#### 5.8.3.4 MRB release

Upon MRB release, the UE shall:

- 1> release the RLC entity as well as the related MAC and physical layer configuration;
- 1> inform upper layers about the release of the MRB by indicating the corresponding *tmgi* and *sessionId*;

### 5.8.4 MBMS Counting Procedure

#### 5.8.4.1 General



**Figure 5.8.4.1-1: MBMS Counting procedure**

The MBMS Counting procedure is used by the E-UTRAN to count the number of RRC\_CONNECTED mode UEs which are receiving via an MRB or interested to receive via an MRB the specified MBMS services.

The UE determines interest in an MBMS service, that is identified by the TMGI, by interaction with upper layers.

#### 5.8.4.2 Initiation

E-UTRAN initiates the procedure by sending an *MBMSCountingRequest* message.

#### 5.8.4.3 Reception of the *MBMSCountingRequest* message by the UE

Upon receiving the *MBMSCountingRequest* message, the UE in RRC\_CONNECTED mode shall:

- 1> if the *SystemInformationBlockType1*, that provided the scheduling information for the *systemInformationBlockType13* that included the configuration of the MCCH via which the *MBMSCountingRequest* message was received, contained the identity of the Registered PLMN, and
- 1> if the UE is receiving via an MRB or interested to receive via an MRB at least one of the services in the received *countingRequestList*:
  - 2> if more than one entry is included in the *mbsfn-AreaInfoList* received in the *SystemInformationBlockType13* that included the configuration of the MCCH via which the *MBMSCountingRequest* message was received:
    - 3> include the *mbsfn-AreaIndex* in the *MBMSCountingResponse* message and set it to the index of the entry in the *mbsfn-AreaInfoList* within the received *SystemInformationBlockType13* that corresponds with the MBSFN area used to transfer the received *MBMSCountingRequest* message;
  - 2> for each MBMS service included in the received *countingRequestList*:
    - 3> if the UE is receiving via an MRB or interested to receive via an MRB this MBMS service:
      - 4> include an entry in the *countingResponseList* within the *MBMSCountingResponse* message with *countingResponseService* set it to the index of the entry in the *countingRequestList* within the received *MBMSCountingRequest* that corresponds with the MBMS service the UE is receiving or interested to receive;
  - 2> submit the *MBMSCountingResponse* message to lower layers for transmission upon which the procedure ends;

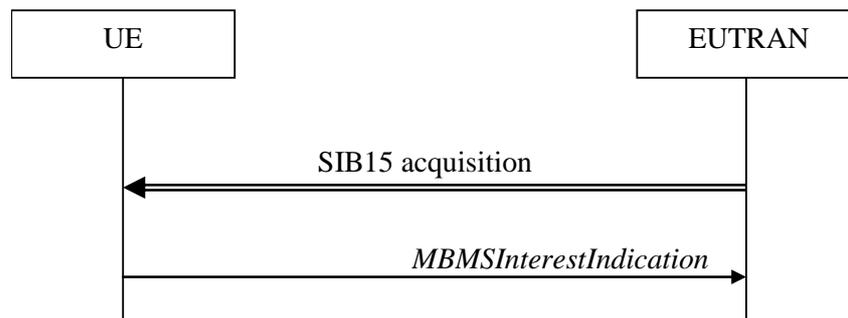
NOTE 1: UEs that are receiving an MBMS User Service [56] by means of a Unicast Bearer Service [57] (i.e. via a DRB), but are interested to receive the concerned MBMS User Service [56] via an MBMS Bearer Service (i.e. via an MRB), respond to the counting request.

NOTE 2: If ciphering is used at upper layers, the UE does not respond to the counting request if it can not decipher the MBMS service for which counting is performed (see TS 22.146 [62, 5.3]).

NOTE 3: The UE treats the *MBMSCountingRequest* messages received in each modification period independently. In the unlikely case E-UTRAN would repeat an *MBMSCountingRequest* (i.e. including the same services) in a subsequent modification period, the UE responds again.

## 5.8.5 MBMS interest indication

### 5.8.5.1 General



**Figure 5.8.5.1-1: MBMS interest indication**

The purpose of this procedure is to inform E-UTRAN that the UE is receiving or is interested to receive MBMS via an MRB, and if so, to inform E-UTRAN about the priority of MBMS versus unicast reception.

### 5.8.5.2 Initiation

An MBMS capable UE in RRC\_CONNECTED may initiate the procedure in several cases including upon successful connection establishment, upon entering or leaving the service area, upon session start or stop, upon change of interest, upon change to a PCell broadcasting of *SystemInformationBlockType15*.

Upon initiating the procedure, the UE shall:

- 1> if *SystemInformationBlockType15* is broadcast by the PCell; and has been acquired by the UE:
  - 2> if the UE did not transmit an *MBMSInterestIndication* message since last entering RRC\_CONNECTED state; or
  - 2> if since the last time the UE transmitted an *MBMSInterestIndication* message, the UE connected to a PCell not broadcasting *SystemInformationBlockType15*:
  - 3> if the set of MBMS frequencies of interest, determined in accordance with 5.8.5.3, is not empty:
    - 4> initiate the transmission of the *MBMSInterestIndication* message in accordance with 5.8.5.4;
  - 2> else:
    - 3> if the set of MBMS frequencies of interest, determined in accordance with 5.8.5.3, has changed since the last transmission of the *MBMSInterestIndication* message; or
    - 3> if the prioritisation of reception of all indicated MBMS frequencies compared to reception of any of the established unicast bearers has changed since the last transmission of the *MBMSInterestIndication* message:
      - 4> initiate the transmission of the *MBMSInterestIndication* message in accordance with 5.8.5.4;

NOTE: The UE may send an *MBMSInterestIndication* even when it is able to receive the MBMS services it is interested in i.e. to avoid that the network allocates a configuration inhibiting MBMS reception.

### 5.8.5.3 Determine MBMS frequencies of interest

The UE shall:

- 1> consider a frequency to be part of the MBMS frequencies of interest if the following conditions are met:
  - 2> if at least one MBMS session the UE is receiving or interested to receive via an MRB is ongoing or about to start; and

NOTE 1: The UE may determine whether the session is ongoing from the start and stop time indicated in the User Service Description (USD), see 3GPP TS 36.300 [9] or 3GPP TS 26.346 [X].

- 2> if for at least one of these MBMS sessions *SystemInformationBlockType15* acquired from the PCell includes for the concerned frequency one or more MBMS SAIs as indicated in the USD for this session; and

NOTE 2: The UE considers a frequency to be part of the MBMS frequencies of interest even though E-UTRAN may (temporarily) not employ an MRB for the concerned session. I.e. the UE does not verify if the session is indicated on MCCH.

- 2> the UE is capable of simultaneously receiving the set of MBMS frequencies of interest, regardless of whether a serving cell is configured on each of these frequencies or not; and
- 2> the *supportedBandCombination* the UE included in *UE-EUTRA-Capability* contains at least one band combination including the set of MBMS frequencies of interest;

NOTE 3: Indicating a frequency implies that the UE supports *SystemInformationBlockType13* acquisition for the concerned frequency as no serving cell may be configured on that frequency.

NOTE 4: When evaluating which frequencies it can receive simultaneously, the UE does not take into account the serving frequencies that are currently configured i.e. it only considers MBMS frequencies it is interested to receive.

#### 5.8.5.4 Actions related to transmission of *MBMSInterestIndication* message

The UE shall set the contents of the *MBMSInterestIndication* message as follows:

- 1> if the set of MBMS frequencies of interest, determined in accordance with 5.8.5.3, is not empty:
  - 2> include *mbms-FreqList* and set it to include the MBMS frequencies of interest;
  - 2> include *mbms-Priority* if the UE prioritises reception of all indicated MBMS frequencies above reception of any of the unicast bearers;

NOTE: If the UE prioritises MBMS reception and unicast data cannot be supported because of congestion on the MBMS carrier(s), E-UTRAN may initiate release of unicast bearers. It is up to E-UTRAN implementation whether all bearers or only GBR bearers are released. E-UTRAN does not initiate re-establishment of the released unicast bearers upon alleviation of the congestion.

The UE shall submit the *MBMSInterestIndication* message to lower layers for transmission.

## 5.9 RN procedures

### 5.9.1 RN reconfiguration

#### 5.9.1.1 General

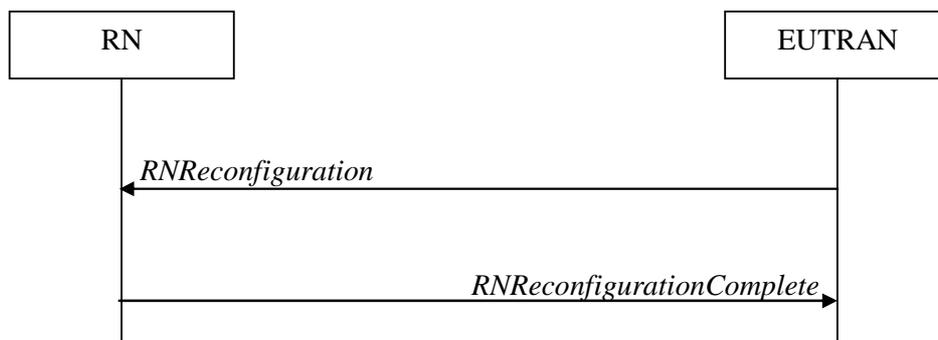


Figure 5.9.1.1-1: RN reconfiguration

The purpose of this procedure is to configure/reconfigure the RN subframe configuration and/or to update the system information relevant for the RN in RRC\_CONNECTED.

### 5.9.1.2 Initiation

E-UTRAN may initiate the RN reconfiguration procedure to an RN in RRC\_CONNECTED when AS security has been activated.

### 5.9.1.3 Reception of the *RNReconfiguration* by the RN

The RN shall:

- 1> if the *rn-SystemInfo* is included:
  - 2> if the *systemInformationBlockType1* is included:
    - 3> act upon the received *SystemInformationBlockType1* as specified in 5.2.2.7;
  - 2> if the *SystemInformationBlockType2* is included:
    - 3> act upon the received *SystemInformationBlockType2* as specified in 5.2.2.9;
- 1> if the *rn-SubframeConfig* is included:
  - 2> reconfigure lower layers in accordance with the received *subframeConfigPatternFDD* or *subframeConfigPatternTDD*;
  - 2> if the *rpdcch-Config* is included:
    - 3> reconfigure lower layers in accordance with the received *rpdcch-Config*;
- 1> submit the *RNReconfigurationComplete* message to lower layers for transmission, upon which the procedure ends;

---

## 6 Protocol data units, formats and parameters (tabular & ASN.1)

### 6.1 General

The contents of each RRC message is specified in sub-clause 6.2 using ASN.1 to specify the message syntax and using tables when needed to provide further detailed information about the information elements specified in the message syntax. The syntax of the information elements that are defined as stand-alone abstract types is further specified in a similar manner in sub-clause 6.3.

The need for information elements to be present in a message or an abstract type, i.e., the ASN.1 fields that are specified as OPTIONAL in the abstract notation (ASN.1), is specified by means of comment text tags attached to the OPTIONAL statement in the abstract syntax. All comment text tags are available for use in the downlink direction only. The meaning of each tag is specified in table 6.1-1.

**Table 6.1-1: Meaning of abbreviations used to specify the need for information elements to be present**

Abbreviation	Meaning
--------------	---------

Abbreviation	Meaning
Cond <i>conditionTag</i> (Used in downlink only)	<i>Conditionally present</i> An information element for which the need is specified by means of conditions. For each <i>conditionTag</i> , the need is specified in a tabular form following the ASN.1 segment. In case, according to the conditions, a field is not present, the UE takes no action and where applicable shall continue to use the existing value (and/ or the associated functionality) unless explicitly stated otherwise in the description of the field itself.
Need OP (Used in downlink only)	<i>Optionally present</i> An information element that is optional to signal. For downlink messages, the UE is not required to take any special action on absence of the IE beyond what is specified in the procedural text or the field description table following the ASN.1 segment. The UE behaviour on absence should be captured either in the procedural text or in the field description.
Need ON (Used in downlink only)	<i>Optionally present, No action</i> An information element that is optional to signal. If the message is received by the UE, and in case the information element is absent, the UE takes no action and where applicable shall continue to use the existing value (and/ or the associated functionality).
Need OR (Used in downlink only)	<i>Optionally present, Release</i> An information element that is optional to signal. If the message is received by the UE, and in case the information element is absent, the UE shall discontinue/ stop using/ delete any existing value (and/ or the associated functionality).

Any IE with Need ON in system information shall be interpreted as Need OR.

Need codes may not be specified for a group, used in downlink, which includes one or more extensions. Upon absence of such a field, the UE shall:

- For each individual extension, including extensions that are mandatory to include in the optional group, act in accordance with the need code that is defined for the extension;
- Apply this behaviour not only for extensions included directly within the optional field, but also for extensions defined at further nesting levels;

NOTE: The above applies for groups of non critical extensions using double brackets, as well as non-critical extensions at the end of a message or at the end of a structure contained in a BIT STRING or OCTET STRING.

## 6.2 RRC messages

NOTE: The messages included in this section reflect the current status of the discussions. Additional messages may be included at a later stage.

### 6.2.1 General message structure

#### – *EUTRA-RRC-Definitions*

This ASN.1 segment is the start of the E-UTRA RRC PDU definitions.

```
-- ASN1START
EUTRA-RRC-Definitions DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
-- ASN1STOP
```

#### – *BCCH-BCH-Message*

The *BCCH-BCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via BCH on the BCCH logical channel.

```
-- ASN1START
BCCH-BCH-Message ::= SEQUENCE {
```

```

    message                BCCH-BCH-MessageType
  }
BCCH-BCH-MessageType ::=
-- ASN1STOP

```

### – *BCCH-DL-SCH-Message*

The *BCCH-DL-SCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE via DL-SCH on the BCCH logical channel.

```

-- ASN1START
BCCH-DL-SCH-Message ::= SEQUENCE {
  message                BCCH-DL-SCH-MessageType
}
BCCH-DL-SCH-MessageType ::= CHOICE {
  c1                    CHOICE {
    systemInformation    SystemInformation,
    systemInformationBlockType1 SystemInformationBlockType1
  },
  messageClassExtension SEQUENCE {}
}
-- ASN1STOP

```

### – *MCCH-Message*

The *MCCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the MCCH logical channel.

```

-- ASN1START
MCCH-Message ::= SEQUENCE {
  message                MCCH-MessageType
}
MCCH-MessageType ::= CHOICE {
  c1                    CHOICE {
    mbsfnAreaConfiguration-r9 MBSFNAreaConfiguration-r9
  },
  later                 CHOICE {
    c2                    CHOICE {
      mbmsCountingRequest-r10 MBMSCountingRequest-r10
    },
    messageClassExtension SEQUENCE {}
  }
}
-- ASN1STOP

```

### – *PCCH-Message*

The *PCCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the PCCH logical channel.

```

-- ASN1START
PCCH-Message ::= SEQUENCE {
  message                PCCH-MessageType
}
PCCH-MessageType ::= CHOICE {
  c1                    CHOICE {
    paging                Paging
  },
  messageClassExtension SEQUENCE {}
}

```

```
}
-- ASN1STOP
```

### – *DL-CCCH-Message*

The *DL-CCCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE on the downlink CCCH logical channel.

```
-- ASN1START
DL-CCCH-Message ::= SEQUENCE {
    message                DL-CCCH-MessageType
}
DL-CCCH-MessageType ::= CHOICE {
    c1                    CHOICE {
        rrcConnectionReestablishment          RRCConnectionReestablishment,
        rrcConnectionReestablishmentReject    RRCConnectionReestablishmentReject,
        rrcConnectionReject                   RRCConnectionReject,
        rrcConnectionSetup                    RRCConnectionSetup
    },
    messageClassExtension SEQUENCE {}
}
-- ASN1STOP
```

### – *DL-DCCH-Message*

The *DL-DCCH-Message* class is the set of RRC messages that may be sent from the E-UTRAN to the UE or from the E-UTRAN to the RN on the downlink DCCH logical channel.

```
-- ASN1START
DL-DCCH-Message ::= SEQUENCE {
    message                DL-DCCH-MessageType
}
DL-DCCH-MessageType ::= CHOICE {
    c1                    CHOICE {
        csfbParametersResponseCDMA2000      CSFBParametersResponseCDMA2000,
        dlInformationTransfer                 DLInformationTransfer,
        handoverFromEUTRAPreparationRequest  HandoverFromEUTRAPreparationRequest,
        mobilityFromEUTRACommand            MobilityFromEUTRACommand,
        rrcConnectionReconfiguration        RRCConnectionReconfiguration,
        rrcConnectionRelease                 RRCConnectionRelease,
        securityModeCommand                  SecurityModeCommand,
        ueCapabilityEnquiry                  UECapabilityEnquiry,
        counterCheck                          CounterCheck,
        ueInformationRequest-r9               UEInformationRequest-r9,
        loggedMeasurementConfiguration-r10    LoggedMeasurementConfiguration-r10,
        rnReconfiguration-r10                RNReconfiguration-r10,
        spare4 NULL,
        spare3 NULL, spare2 NULL, spare1 NULL
    },
    messageClassExtension SEQUENCE {}
}
-- ASN1STOP
```

### – *UL-CCCH-Message*

The *UL-CCCH-Message* class is the set of RRC messages that may be sent from the UE to the E-UTRAN on the uplink CCCH logical channel.

```
-- ASN1START
UL-CCCH-Message ::= SEQUENCE {
    message                UL-CCCH-MessageType
```

```

}
UL-CCCH-MessageType ::= CHOICE {
  c1 CHOICE {
    rrcConnectionReestablishmentRequest RRCConnectionReestablishmentRequest,
    rrcConnectionRequest RRCConnectionRequest
  },
  messageClassExtension SEQUENCE {}
}
-- ASN1STOP

```

## – *UL-DCCH-Message*

The *UL-DCCH-Message* class is the set of RRC messages that may be sent from the UE to the E-UTRAN or from the RN to the E-UTRAN on the uplink DCCH logical channel.

```

-- ASN1START
UL-DCCH-Message ::= SEQUENCE {
  message UL-DCCH-MessageType
}
UL-DCCH-MessageType ::= CHOICE {
  c1 CHOICE {
    csfbParametersRequestCDMA2000 CSFBParametersRequestCDMA2000,
    measurementReport MeasurementReport,
    rrcConnectionReconfigurationComplete RRCConnectionReconfigurationComplete,
    rrcConnectionReestablishmentComplete RRCConnectionReestablishmentComplete,
    rrcConnectionSetupComplete RRCConnectionSetupComplete,
    securityModeComplete SecurityModeComplete,
    securityModeFailure SecurityModeFailure,
    ueCapabilityInformation UECapabilityInformation,
    ulHandoverPreparationTransfer ULHandoverPreparationTransfer,
    ulInformationTransfer ULInformationTransfer,
    counterCheckResponse CounterCheckResponse,
    ueInformationResponse-r9 UEInformationResponse-r9,
    proximityIndication-r9 ProximityIndication-r9,
    rnReconfigurationComplete-r10 RNReconfigurationComplete-r10,
    mbmsCountingResponse-r10 MBMSCountingResponse-r10,
    interFreqRSTDMeasurementIndication-r10 InterFreqRSTDMeasurementIndication-r10
  },
  messageClassExtension CHOICE {
    c2 CHOICE {
      ueAssistanceInformation-r11 UEAssistanceInformation-r11,
      inDeviceCoexIndication-r11 InDeviceCoexIndication-r11,
      mbmsInterestIndication-r11 MBMSInterestIndication-r11,
      spare13 NULL,
      spare12 NULL, spare11 NULL, spare10 NULL,
      spare9 NULL, spare8 NULL, spare7 NULL,
      spare6 NULL, spare5 NULL, spare4 NULL,
      spare3 NULL, spare2 NULL, spare1 NULL
    },
    messageClassExtensionFuture-r11 SEQUENCE {}
  }
}
-- ASN1STOP

```

## 6.2.2 Message definitions

### – CounterCheck

The *CounterCheck* message is used by the E-UTRAN to indicate the current COUNT MSB values associated to each DRB and to request the UE to compare these to its COUNT MSB values and to report the comparison results to E-UTRAN.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

#### CounterCheck message

```
-- ASN1START
CounterCheck ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                        CHOICE {
            counterCheck-r8      CounterCheck-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

CounterCheck-r8-IEs ::= SEQUENCE {
    drb-CountMSB-InfoList        DRB-CountMSB-InfoList,
    nonCriticalExtension          CounterCheck-v8a0-IEs OPTIONAL
}

CounterCheck-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING OPTIONAL, -- Need OP
    nonCriticalExtension          SEQUENCE {} OPTIONAL -- Need OP
}

DRB-CountMSB-InfoList ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-CountMSB-Info

DRB-CountMSB-Info ::= SEQUENCE {
    drb-Identity                  DRB-Identity,
    countMSB-Uplink               INTEGER(0..33554431),
    countMSB-Downlink             INTEGER(0..33554431)
}
-- ASN1STOP
```

#### CounterCheck field descriptions

<b>count-MSB-Downlink</b>
Indicates the value of 25 MSBs from downlink COUNT associated to this DRB.
<b>count-MSB-Uplink</b>
Indicates the value of 25 MSBs from uplink COUNT associated to this DRB.
<b>drb-CountMSB-InfoList</b>
Indicates the MSBs of the COUNT values of the DRBs.

## – CounterCheckResponse

The *CounterCheckResponse* message is used by the UE to respond to a *CounterCheck* message.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### CounterCheckResponse message

```
-- ASN1START

CounterCheckResponse ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        counterCheckResponse-r8   CounterCheckResponse-r8-IEs,
        criticalExtensionsFuture   SEQUENCE {}
    }
}

CounterCheckResponse-r8-IEs ::= SEQUENCE {
    drb-CountInfoList            DRB-CountInfoList,
    nonCriticalExtension         CounterCheckResponse-v8a0-IEs
    OPTIONAL
}

CounterCheckResponse-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension     OCTET STRING                OPTIONAL,
    nonCriticalExtension         SEQUENCE {}                OPTIONAL
}

DRB-CountInfoList ::= SEQUENCE (SIZE (0..maxDRB)) OF DRB-CountInfo

DRB-CountInfo ::= SEQUENCE {
    drb-Identity                DRB-Identity,
    count-Uplink                INTEGER(0..4294967295),
    count-Downlink              INTEGER(0..4294967295)
}

-- ASN1STOP
```

#### CounterCheckResponse field descriptions

<b>count-Downlink</b>	Indicates the value of downlink COUNT associated to this DRB.
<b>count-Uplink</b>	Indicates the value of uplink COUNT associated to this DRB.
<b>drb-CountInfoList</b>	Indicates the COUNT values of the DRBs.

## – CSFBParametersRequestCDMA2000

The *CSFBParametersRequestCDMA2000* message is used by the UE to obtain the CDMA2000 1xRTT Parameters from the network. The UE needs these parameters to generate the CDMA2000 1xRTT Registration message used to register with the CDMA2000 1xRTT Network which is required to support CSFB to CDMA2000 1xRTT.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***CSFBParametersRequestCDMA2000 message***

```
-- ASN1START
CSFBParametersRequestCDMA2000 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        csfbParametersRequestCDMA2000-r8      CSFBParametersRequestCDMA2000-r8-IEs,
        criticalExtensionsFuture              SEQUENCE {}
    }
}

CSFBParametersRequestCDMA2000-r8-IEs ::= SEQUENCE {
    nonCriticalExtension          CSFBParametersRequestCDMA2000-v8a0-IEs
    OPTIONAL
}

CSFBParametersRequestCDMA2000-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING          OPTIONAL,
    nonCriticalExtension          SEQUENCE {}            OPTIONAL
}
-- ASN1STOP
```

### ***CSFBParametersResponseCDMA2000***

The *CSFBParametersResponseCDMA2000* message is used to provide the CDMA2000 1xRTT Parameters to the UE so the UE can register with the CDMA2000 1xRTT Network to support CSFB to CDMA2000 1xRTT.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### ***CSFBParametersResponseCDMA2000 message***

```
-- ASN1START
CSFBParametersResponseCDMA2000 ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions              CHOICE {
        csfbParametersResponseCDMA2000-r8      CSFBParametersResponseCDMA2000-r8-IEs,
        criticalExtensionsFuture              SEQUENCE {}
    }
}

CSFBParametersResponseCDMA2000-r8-IEs ::= SEQUENCE {
    rand                          RAND-CDMA2000,
    mobilityParameters            MobilityParametersCDMA2000,
    nonCriticalExtension          CSFBParametersResponseCDMA2000-v8a0-IEs
    OPTIONAL
}

CSFBParametersResponseCDMA2000-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING          OPTIONAL, -- Need OP
    nonCriticalExtension          SEQUENCE {}            OPTIONAL -- Need OP
}
-- ASN1STOP
```

## – *DLInformationTransfer*

The *DLInformationTransfer* message is used for the downlink transfer of NAS or non-3GPP dedicated information.

Signalling radio bearer: SRB2 or SRB1 (only if SRB2 not established yet. If SRB2 is suspended, E-UTRAN does not send this message until SRB2 is resumed.)

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### ***DLInformationTransfer message***

```
-- ASN1START
DLInformationTransfer ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                         CHOICE {
            dlInformationTransfer-r8      DLInformationTransfer-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture         SEQUENCE {}
    }
}

DLInformationTransfer-r8-IEs ::= SEQUENCE {
    dedicatedInfoType             CHOICE {
        dedicatedInfoNAS           DedicatedInfoNAS,
        dedicatedInfoCDMA2000-1XRTT DedicatedInfoCDMA2000,
        dedicatedInfoCDMA2000-HRPD DedicatedInfoCDMA2000
    },
    nonCriticalExtension           DLInformationTransfer-v8a0-IEs OPTIONAL
}

DLInformationTransfer-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension       OCTET STRING OPTIONAL, -- Need OP
    nonCriticalExtension           SEQUENCE {} OPTIONAL -- Need OP
}
-- ASN1STOP
```

## – *HandoverFromEUTRAPreparationRequest (CDMA2000)*

The *HandoverFromEUTRAPreparationRequest* message is used to trigger the handover preparation procedure with a CDMA2000 RAT. This message is also used to trigger a tunneled preparation procedure with a CDMA2000 1xRTT RAT to obtain traffic channel resources for the enhanced CS fallback to CDMA2000 1xRTT, which may also involve a concurrent preparation for handover to CDMA2000 HRPD. Also, this message is used to trigger the dual Rx/Tx redirection procedure with a CDMA2000 1xRTT RAT.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### ***HandoverFromEUTRAPreparationRequest message***

```
-- ASN1START
HandoverFromEUTRAPreparationRequest ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                         CHOICE {
            handoverFromEUTRAPreparationRequest-r8
            HandoverFromEUTRAPreparationRequest-r8-IEs,

```

```

        spare3 NULL, spare2 NULL, spare1 NULL
    },
    criticalExtensionsFuture          SEQUENCE {}
}
}

HandoverFromEUTRAPreparationRequest-r8-IEs ::= SEQUENCE {
    cdma2000-Type                    CDMA2000-Type,
    rand                             RAND-CDMA2000          OPTIONAL, -- Cond cdma2000-Type
    mobilityParameters              MobilityParametersCDMA2000 OPTIONAL, -- Cond cdma2000-Type
    nonCriticalExtension             HandoverFromEUTRAPreparationRequest-v890-IEs OPTIONAL
}

HandoverFromEUTRAPreparationRequest-v890-IEs ::= SEQUENCE {
    lateNonCriticalExtension         OCTET STRING          OPTIONAL, -- Need OP
    nonCriticalExtension             HandoverFromEUTRAPreparationRequest-v920-IEs OPTIONAL
}

HandoverFromEUTRAPreparationRequest-v920-IEs ::= SEQUENCE {
    concurrPrepCDMA2000-HRPD-r9     BOOLEAN              OPTIONAL, -- Cond cdma2000-Type
    nonCriticalExtension             HandoverFromEUTRAPreparationRequest-v1020-IEs OPTIONAL
}

HandoverFromEUTRAPreparationRequest-v1020-IEs ::= SEQUENCE {
    dualRxTxRedirectIndicator-r10    ENUMERATED {true}    OPTIONAL, -- Cond cdma2000-1XRTT
    redirectCarrierCDMA2000-1XRTT-r10 CarrierFreqCDMA2000 OPTIONAL, -- Cond dualRxTxRedirect
    nonCriticalExtension             SEQUENCE {}              OPTIONAL -- Need OP
}

-- ASN1STOP

```

#### ***HandoverFromEUTRAPreparationRequest* field descriptions**

***concurrPrepCDMA2000-HRPD***

Value TRUE indicates that upper layers should initiate concurrent preparation for handover to CDMA2000 HRPD in addition to preparation for enhanced CS fallback to CDMA2000 1xRTT.

***dualRxTxRedirectIndicator***

Value TRUE indicates that the second radio of the dual Rx/Tx UE is being redirected to CDMA2000 1xRTT [51].

***redirectCarrierCDMA2000-1XRTT***

Used to indicate the CDMA2000 1xRTT carrier frequency where the UE is being redirected to.

Conditional presence	Explanation
<i>cdma2000-1XRTT</i>	The field is optionally present, need ON, if the <i>cdma2000-Type = type1XRTT</i> ; otherwise it is not present.
<i>cdma2000-Type</i>	The field is mandatory present if the <i>cdma2000-Type = type1XRTT</i> ; otherwise it is not present.
<i>dualRxTxRedirect</i>	The field is optionally present, need ON, if <i>dualRxTxRedirectIndicator</i> is present; otherwise it is not present.

#### ***InDeviceCoexIndication***

The *InDeviceCoexIndication* message is used to inform E-UTRAN about the IDC problems experienced by the UE, any changes in the IDC problems previously informed, and to provide the E-UTRAN with information in order to resolve them.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

#### ***InDeviceCoexIndication* message**

```
-- ASN1START
```

```

InDeviceCoexIndication-r11 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        c1                     CHOICE {
            inDeviceCoexIndication-r11
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

InDeviceCoexIndication-r11-IEs ::= SEQUENCE {
    affectedCarrierFreqList-r11    AffectedCarrierFreqList-r11    OPTIONAL,
    tdm-AssistanceInfo-r11        TDM-AssistanceInfo-r11        OPTIONAL,
    lateNonCriticalExtension       OCTET STRING                 OPTIONAL,
    nonCriticalExtension           SEQUENCE {}                     OPTIONAL
}

AffectedCarrierFreqList-r11 ::= SEQUENCE (SIZE (1..maxFreqIDC-r11)) OF AffectedCarrierFreq-r11

AffectedCarrierFreq-r11 ::= SEQUENCE {
    carrierFreq-r11              MeasObjectId,
    interferenceDirection-r11    ENUMERATED {eutra, other, both, spare}
}

TDM-AssistanceInfo-r11 ::= CHOICE {
    drx-AssistanceInfo-r11       SEQUENCE {
        drx-CycleLength-r11      ENUMERATED {n1}          OPTIONAL,
        drx-Offset-r11           ENUMERATED {n1}          OPTIONAL,
        drx-ActiveTime-r11       ENUMERATED {n1}          OPTIONAL
        -- The above three parameters (i.e. drx-CycleLength-r11, drx-Offset-r11 and
        -- drx-ActiveTime-r11) are FFS and need to be discussed
    },
    idc-SubframePattern-r11      SEQUENCE {
        idc-SubframePatternList-r11 IDC-SubframePatternList-r11
    },
    ...
}

IDC-SubframePatternList-r11 ::= SEQUENCE (SIZE (1..maxSubframePatternIDC-r11)) OF IDC-SubframePattern-r11

IDC-SubframePattern-r11 ::= CHOICE {
    subframePatternFDD-r11       BIT STRING (SIZE (40)),
    subframePatternTDD-r11       CHOICE {
        subframeConfig0-r11      BIT STRING (SIZE (70)),
        subframeConfig1-5-r11    BIT STRING (SIZE (10)),
        subframeConfig6-r11      BIT STRING (SIZE (60))
    },
    ...
}

-- ASN1STOP

```

### ***InDeviceCoexIndication* field descriptions**

<b><i>affectedCarrierFreqList</i></b>
List of E-UTRA carrier frequencies affected by IDC problems.
<b><i>drx-CycleLength</i></b>
Indicates the desired DRX cycle length that the E-UTRAN is recommended to configure.
<b><i>drx-Offset</i></b>
Indicates the desired DRX starting offset that the E-UTRAN is recommended to configure.
<b><i>drx-ActiveTime</i></b>
Indicates the desired active time that the E-UTRAN is recommended to configure.
<b><i>idc-SubframePattern</i></b>
A list of one or more subframe patterns indicating which HARQ process E-UTRAN is requested to abstain from using. Value 0 indicates that E-UTRAN is requested to abstain from using the subframe. The first/leftmost bit corresponds to the subframe #0 of the radio frame satisfying SFN mod x = 0, where x is the size of the bit string divided by 10. Note 1.
<b><i>interferenceDirection</i></b>
Indicates the direction of IDC interference. If only E-UTRA is victim of IDC interference, set it to <i>eutra</i> ; else if only other radio is victim of IDC interference, set it to <i>other</i> ; else if both E-UTRA and other radio are victims of IDC interference, set it to <i>both</i> . Note 2.

NOTE 1: The UE shall indicate a subframe pattern that follows HARQ time line, as specified in TS 36.213, i.e, if a subframe is set to 1 in the subframe pattern, also the corresponding subframes carrying the potential UL grant [23, 8.0], the UL HARQ retransmission [23, 8.0] and the DL/UL HARQ feedback [23, 7.3, 8.3 and 9.1.2] shall be set to 1.

NOTE 2: The other radio refers to either the ISM radio or GNSS (see 3GPP TR 36.816 [63]).

## – *InterFreqRSTDMeasurementIndication*

The *InterFreqRSTDMeasurementIndication* message is used to indicate that the UE is going to either start or stop OTDOA inter-frequency RSTD measurement which requires measurement gaps as specified in TS 36.133 [16, 8.1.2.6].

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### *InterFreqRSTDMeasurementIndication message*

```
-- ASN1START
InterFreqRSTDMeasurementIndication-r10 ::= SEQUENCE {
    criticalExtensions      CHOICE {
        c1                  CHOICE {
            interFreqRSTDMeasurementIndication-r10 InterFreqRSTDMeasurementIndication-r10-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

InterFreqRSTDMeasurementIndication-r10-IEs ::= SEQUENCE {
    rstd-InterFreqIndication-r10 CHOICE {
        start SEQUENCE {
            rstd-InterFreqInfoList-r10 RSTD-InterFreqInfoList-r10
        },
        stop NULL
    },
    lateNonCriticalExtension OCTET STRING OPTIONAL,
    nonCriticalExtension SEQUENCE {} OPTIONAL
}

RSTD-InterFreqInfoList-r10 ::= SEQUENCE (SIZE(1..maxRSTD-Freq-r10)) OF RSTD-InterFreqInfo-r10

RSTD-InterFreqInfo-r10 ::= SEQUENCE {
    carrierFreq-r10 ARFCN-ValueEUTRA,
    measPRS-Offset-r10 INTEGER (0..39),
    ...
}
-- ASN1STOP
```

<i>InterFreqRSTDMeasurementIndication</i> field descriptions
<p><b>carrierFreq</b> The EARFCN value of the carrier received from upper layers for which the UE needs to perform the inter-frequency RSTD measurements.</p>
<p><b>measPRS-Offset</b> Indicates the smallest offset of the PRS positioning occasions in the carrier frequency <i>carrierFreq</i> for which the UE needs to perform the inter-frequency RSTD measurements. The PRS positioning occasion information is received from upper layers. The value of <i>measPRS-Offset</i> is obtained by mapping the starting subframe of the PRS positioning occasion in the measured cell to the corresponding subframe in the serving cell and is calculated as the serving cell's subframe number mod 40ms.</p>
<p><b>rstd-InterFreqIndication</b> Indicates the inter-frequency RSTD measurement action, i.e. the UE is going to start or stop inter-frequency RSTD measurement.</p>

## – *LoggedMeasurementConfiguration*

The *LoggedMeasurementConfiguration* message is used by E-UTRAN to configure the UE to perform logging of measurement results while in RRC\_IDLE. It is used to transfer the logged measurement configuration for network performance optimisation, see TS 37.320 [60].

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### ***LoggedMeasurementConfiguration* message**

```
-- ASN1START
LoggedMeasurementConfiguration-r10 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        c1                     CHOICE {
            loggedMeasurementConfiguration-r10    LoggedMeasurementConfiguration-r10-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture          SEQUENCE {}
    }
}

LoggedMeasurementConfiguration-r10-IEs ::= SEQUENCE {
    traceReference-r10          TraceReference-r10,
    traceRecordingSessionRef-r10 OCTET STRING (SIZE (2)),
    tce-Id-r10                  OCTET STRING (SIZE (1)),
    absoluteTimeInfo-r10       AbsoluteTimeInfo-r10,
    areaConfiguration-r10      AreaConfiguration-r10          OPTIONAL, -- Need OR
    loggingDuration-r10        LoggingDuration-r10,
    loggingInterval-r10        LoggingInterval-r10,
    nonCriticalExtension        LoggedMeasurementConfiguration-v11x0-IEs  OPTIONAL
}

LoggedMeasurementConfiguration-v11x0-IEs ::= SEQUENCE {
    lateNonCriticalExtension    OCTET STRING          OPTIONAL, -- Need OP
    plmn-IdentityList-r11      PLMN-IdentityList3-r11  OPTIONAL, -- Need OP
    areaConfiguration-v11x0    AreaConfiguration-v11x0  OPTIONAL, -- Need OR
    nonCriticalExtension        SEQUENCE {}             OPTIONAL  -- Need OP
}
-- ASN1STOP
```

<b>LoggedMeasurementConfiguration field descriptions</b>
<b>absoluteTimeInfo</b> Indicates the absolute time in the current cell.
<b>plmn-IdentityList-r11</b> Indicates the PLMNs in which the UE performs measurement logging as well as the associated status indication and information retrieval. E-UTRAN may restrict the area in which the UE performs measurement logging related activities to specific cells or tracking areas, using field <i>areaConfiguration</i> .
<b>tce-Id</b> Parameter Trace Collection Entity Id: See TS 32.422 [58].
<b>traceRecordingSessionRef</b> Parameter Trace Recording Session Reference: See TS 32.422 [58]

## – *MasterInformationBlock*

The *MasterInformationBlock* includes the system information transmitted on BCH.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

### **MasterInformationBlock**

```
-- ASN1START
MasterInformationBlock ::= SEQUENCE {
    dl-Bandwidth           ENUMERATED {
                           n6, n15, n25, n50, n75, n100},
    phich-Config          PHICH-Config,
    systemFrameNumber     BIT STRING (SIZE (8)),
    spare                 BIT STRING (SIZE (10))
}
-- ASN1STOP
```

<b>MasterInformationBlock field descriptions</b>
<b>dl-Bandwidth</b> Parameter: transmission bandwidth configuration, $N_{RB}$ in downlink, see TS 36.101 [42, table 5.6-1]. n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on.
<b>systemFrameNumber</b> Defines the 8 most significant bits of the SFN. As indicated in TS 36.211 [21, 6.6.1], the 2 least significant bits of the SFN are acquired implicitly in the P-BCH decoding, i.e. timing of 40ms P-BCH TTI indicates 2 least significant bits (within 40ms P-BCH TTI, the first radio frame: 00, the second radio frame: 01, the third radio frame: 10, the last radio frame: 11). One value applies for all serving cells (the associated functionality is common i.e. not performed independently for each cell).

## – *MBMSCountingRequest*

The *MBMSCountingRequest* message is used by E-UTRAN to count the UEs that are receiving or interested to receive specific MBMS services.

Signalling radio bearer: N/A

RLC-SAP: UM

Logical channel: MCCH

Direction: E-UTRAN to UE

**MBMSCountingRequest message**

```

-- ASN1START
MBMSCountingRequest-r10 ::= SEQUENCE {
    countingRequestList-r10      CountingRequestList-r10,
    lateNonCriticalExtension     OCTET STRING                      OPTIONAL,  -- Need OP
    nonCriticalExtension         SEQUENCE {}                          OPTIONAL,  -- Need OP
}

CountingRequestList-r10 ::= SEQUENCE (SIZE (1..maxServiceCount)) OF CountingRequestInfo-r10

CountingRequestInfo-r10 ::= SEQUENCE {
    tmgi-r10                     TMGI-r9,
    ...
}
-- ASN1STOP

```

**MBMSCountingResponse**

The *MBMSCountingResponse* message is used by the UE to respond to an *MBMSCountingRequest* message.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

**MBMSCountingResponse message**

```

-- ASN1START
MBMSCountingResponse-r10 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        c1                     CHOICE {
            countingResponse-r10      MBMSCountingResponse-r10-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture     SEQUENCE {}
    }
}

MBMSCountingResponse-r10-IEs ::= SEQUENCE {
    mbsfn-AreaIndex-r10        INTEGER (0..maxMBSFN-Area-1)          OPTIONAL,
    countingResponseList-r10   CountingResponseList-r10          OPTIONAL,
    lateNonCriticalExtension    OCTET STRING                      OPTIONAL,
    nonCriticalExtension        SEQUENCE {}                          OPTIONAL
}

CountingResponseList-r10 ::= SEQUENCE (SIZE (1..maxServiceCount)) OF CountingResponseInfo-r10

CountingResponseInfo-r10 ::= SEQUENCE {
    countingResponseService-r10 INTEGER (0..maxServiceCount-1),
    ...
}
-- ASN1STOP

```

**MBMSCountingResponse field descriptions****countingResponseList**

List of MBMS services which the UE is receiving or interested to receive. Value 0 for field *countingResponseService* corresponds to the first entry in *countingRequestList* within *MBMSCountingRequest*, value 1 corresponds to the second entry in this list and so on.

**mbsfn-AreaIndex**

Index of the entry in field *mbsfn-AreaInfoList* within *SystemInformationBlockType13*. Value 0 corresponds to the first entry in *mbsfn-AreaInfoList* within *SystemInformationBlockType13*, value 1 corresponds to the second entry in this list and so on.

## – *MBMSInterestIndication*

The *MBMSInterestIndication* message is used to inform E-UTRAN that the UE is receiving/ interested to receive or no longer receiving/ interested to receive MBMS via an MRB.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### *MBMSInterestIndication message*

```
-- ASN1START
MBMSInterestIndication-r11 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        c1                     CHOICE {
            interestIndication-r11 MBMSInterestIndication-r11-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

MBMSInterestIndication-r11-IEs ::= SEQUENCE {
    mbms-FreqList-r11          CarrierFreqListMBMS-r11          OPTIONAL,
    mbms-Priority-r11          ENUMERATED {true}                OPTIONAL,
    lateNonCriticalExtension   OCTET STRING                    OPTIONAL,
    nonCriticalExtension       SEQUENCE {}                       OPTIONAL
}
-- ASN1STOP
```

#### *MBMSInterestIndication field descriptions*

##### ***mbms-FreqList***

List of MBMS frequencies which the UE is receiving or interested to receive.

##### ***mbms-Priority***

Indicates whether the UE prioritises MBMS reception above unicast reception. The field is present (i.e. value *true*), if the UE prioritises reception of all listed MBMS frequencies above reception of any of the unicast bearers. Otherwise the field is absent.

## – *MBSFNAreaConfiguration*

The *MBSFNAreaConfiguration* message contains the MBMS control information applicable for an MBSFN area. E-UTRAN configures an MCCH for each MBSFN area i.e. the MCCH identifies the MBSFN area.

Signalling radio bearer: N/A

RLC-SAP: UM

Logical channel: MCCH

Direction: E-UTRAN to UE

### *MBSFNAreaConfiguration message*

```
-- ASN1START
MBSFNAreaConfiguration-r9 ::= SEQUENCE {
    commonSF-Alloc-r9          CommonSF-AllocPatternList-r9,
    commonSF-AllocPeriod-r9   ENUMERATED {
        rf4, rf8, rf16, rf32, rf64, rf128, rf256},
    pmch-InfoList-r9          PMCH-InfoList-r9,
    nonCriticalExtension       MBSFNAreaConfiguration-v930-IEs          OPTIONAL
}
-- ASN1STOP
```

```

MBSFNAreaConfiguration-v930-IEs ::= SEQUENCE {
    lateNonCriticalExtension    OCTET STRING                OPTIONAL, -- Need OP
    nonCriticalExtension        SEQUENCE {}                  OPTIONAL  -- Need OP
}

CommonSF-AllocPatternList-r9 ::= SEQUENCE (SIZE (1..maxMBSFN-Allocations)) OF MBSFN-
SubframeConfig

-- ASN1STOP

```

#### **MBSFNAreaConfiguration field descriptions**

##### **commonSF-Alloc**

Indicates the subframes allocated to the MBSFN area

##### **commonSF-AllocPeriod**

Indicates the period during which resources corresponding with field *commonSF-Alloc* are divided between the (P)MCH that are configured for this MBSFN area. The subframe allocation patterns, as defined by *commonSF-Alloc*, repeat continuously during this period. Value *rf4* corresponds to 4 radio frames, *rf8* corresponds to 8 radio frames and so on. The *commonSF-AllocPeriod* starts in the radio frames for which:  $SFN \bmod commonSF-AllocPeriod = 0$ .

## – *MeasurementReport*

The *MeasurementReport* message is used for the indication of measurement results.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

#### **MeasurementReport message**

```

-- ASN1START

MeasurementReport ::= SEQUENCE {
    criticalExtensions          CHOICE {
        c1                      CHOICE {
            measurementReport-r8      MeasurementReport-r8-IEs,
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture      SEQUENCE {}
    }
}

MeasurementReport-r8-IEs ::= SEQUENCE {
    measResults                MeasResults,
    nonCriticalExtension        MeasurementReport-v8a0-IEs                OPTIONAL
}

MeasurementReport-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension    OCTET STRING                OPTIONAL,
    nonCriticalExtension        SEQUENCE {}                  OPTIONAL
}

-- ASN1STOP

```

## – *MobilityFromEUTRACommand*

The *MobilityFromEUTRACommand* message is used to command handover or a cell change from E-UTRA to another RAT (3GPP or non-3GPP), or enhanced CS fallback to CDMA2000 1xRTT.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### ***MobilityFromEUTRACommand message***

```

-- ASN1START
MobilityFromEUTRACommand ::= SEQUENCE {
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  criticalExtensions             CHOICE {
    c1                           CHOICE {
      mobilityFromEUTRACommand-r8  MobilityFromEUTRACommand-r8-IEs,
      mobilityFromEUTRACommand-r9  MobilityFromEUTRACommand-r9-IEs,
      spare2 NULL, spare1          NULL
    },
    criticalExtensionsFuture       SEQUENCE {}
  }
}

MobilityFromEUTRACommand-r8-IEs ::= SEQUENCE {
  cs-FallbackIndicator          BOOLEAN,
  purpose                       CHOICE {
    handover                    Handover,
    cellChangeOrder            CellChangeOrder
  },
  nonCriticalExtension          MobilityFromEUTRACommand-v8a0-IEs
  OPTIONAL
}

MobilityFromEUTRACommand-v8a0-IEs ::= SEQUENCE {
  lateNonCriticalExtension      OCTET STRING          OPTIONAL, -- Need OP
  nonCriticalExtension          MobilityFromEUTRACommand-v8d0-IEs OPTIONAL
}

MobilityFromEUTRACommand-v8d0-IEs ::= SEQUENCE {
  bandIndicator                BandIndicatorGERAN          OPTIONAL, -- Cond GERAN
  nonCriticalExtension          SEQUENCE {}                 OPTIONAL  -- Need OP
}

MobilityFromEUTRACommand-r9-IEs ::= SEQUENCE {
  cs-FallbackIndicator          BOOLEAN,
  purpose                       CHOICE {
    handover                    Handover,
    cellChangeOrder            CellChangeOrder,
    e-CSFB-r9                  E-CSFB-r9,
    ...
  },
  nonCriticalExtension          MobilityFromEUTRACommand-v930-IEs
  OPTIONAL
}

MobilityFromEUTRACommand-v930-IEs ::= SEQUENCE {
  lateNonCriticalExtension      OCTET STRING          OPTIONAL, -- Need OP
  nonCriticalExtension          MobilityFromEUTRACommand-v960-IEs OPTIONAL
}

MobilityFromEUTRACommand-v960-IEs ::= SEQUENCE {
  bandIndicator                BandIndicatorGERAN          OPTIONAL, -- Cond GERAN
  nonCriticalExtension          SEQUENCE {}                 OPTIONAL  -- Need OP
}

Handover ::= SEQUENCE {
  targetRAT-Type               ENUMERATED {
    utra, geran, cdma2000-1XRTT, cdma2000-HRPD,
    spare4, spare3, spare2, spare1, ...},
  targetRAT-MessageContainer   OCTET STRING,
  nas-SecurityParamFromEUTRA   OCTET STRING (SIZE (1))  OPTIONAL, -- Cond UTRAGERAN
  systemInformation             SI-OrPSI-GERAN            OPTIONAL  -- Cond PSHO
}

CellChangeOrder ::= SEQUENCE {
  t304                         ENUMERATED {

```

```

ms100, ms200, ms500, ms1000,
ms2000, ms4000, ms8000, spare1},
targetRAT-Type CHOICE {
  geran SEQUENCE {
    physCellId PhysCellIdGERAN,
    carrierFreq CarrierFreqGERAN,
    networkControlOrder BIT STRING (SIZE (2)) OPTIONAL, -- Need OP
    systemInformation SI-OrPSI-GERAN OPTIONAL -- Need OP
  },
  ...
}
}

SI-OrPSI-GERAN ::= CHOICE {
  si SystemInfoListGERAN,
  psi SystemInfoListGERAN
}

E-CSFB-r9 ::= SEQUENCE {
  messageContCDMA2000-1XRTP-r9 OCTET STRING OPTIONAL, -- Need ON
  mobilityCDMA2000-HRPD-r9 ENUMERATED {
    handover, redirection
  } OPTIONAL, -- Need OP
  messageContCDMA2000-HRPD-r9 OCTET STRING OPTIONAL, -- Cond concHO
  redirectCarrierCDMA2000-HRPD-r9 CarrierFreqCDMA2000 OPTIONAL -- Cond concRedir
}

-- ASN1STOP

```

<b>MobilityFromEUTRACommand field descriptions</b>	
<b>bandIndicator</b>	Indicates how to interpret the ARFCN of the BCCH carrier.
<b>carrierFreq</b>	contains the carrier frequency of the target GERAN cell.
<b>cs-FallbackIndicator</b>	Value <i>true</i> indicates that the CS Fallback procedure to UTRAN or GERAN is triggered.
<b>messageContCDMA2000-1XRTT</b>	This field contains a message specified in CDMA2000 1xRTT standard that either tells the UE to move to specific 1xRTT target cell(s) or indicates a failure to allocate resources for the enhanced CS fallback to CDMA2000 1xRTT.
<b>messageContCDMA2000-HRPD</b>	This field contains a message specified in CDMA2000 HRPD standard that either tells the UE to move to specific HRPD target cell(s) or indicates a failure to allocate resources for the handover to CDMA2000 HRPD.
<b>mobilityCDMA2000-HRPD</b>	This field indicates whether or not mobility to CDMA2000 HRPD is to be performed by the UE and it also indicates the type of mobility to CDMA2000 HRPD that is to be performed; If this field is not present the UE shall perform only the enhanced CS fallback to CDMA2000 1xRTT.
<b>nas-SecurityParamFromEUTRA</b>	Used to deliver the key synchronisation and Key freshness for the E-UTRAN to UTRAN handovers as specified in TS 33.401. The content of the parameter is defined in TS24.301.
<b>networkControlOrder</b>	Parameter NETWORK_CONTROL_ORDER in TS 44.060 [36].
<b>purpose</b>	Indicates which type of mobility procedure the UE is requested to perform. EUTRAN always applies value <i>e-CSFB</i> in case of enhanced CS fallback to CDMA2000 (e.g. also when that procedure results in handover to CDMA2000 1XRTT only, in handover to CDMA2000 HRPD only or in redirection to CDMA2000 HRPD only),
<b>redirectCarrierCDMA2000-HRPD</b>	The <i>redirectCarrierCDMA2000-HRPD</i> indicates a CDMA2000 carrier frequency and is used to redirect the UE to a HRPD carrier frequency.
<b>SystemInfoListGERAN</b>	If <i>purpose = CellChangeOrder</i> and if the field is not present, the UE has to acquire SI/PSI from the GERAN cell.
<b>t304</b>	Timer T304 as described in section 7.3. Value <i>ms100</i> corresponds with 100 ms, <i>ms200</i> corresponds with 200 ms and so on.
<b>targetRAT-Type</b>	Indicates the target RAT type.
<b>targetRAT-MessageContainer</b>	The field contains a message specified in another standard, as indicated by the <i>targetRAT-Type</i> , and carries information about the target cell identifier(s) and radio parameters relevant for the target radio access technology. NOTE 1.  A complete message is included, as specified in the other standard.

Conditional presence	Explanation
<i>conchO</i>	The field is mandatory present if the <i>mobilityCDMA2000-HRPD</i> is set to " <i>handover</i> "; otherwise the field is optional present, need ON.
<i>concRedir</i>	The field is mandatory present if the <i>mobilityCDMA2000-HRPD</i> is set to " <i>redirection</i> "; otherwise the field is not present.
<i>GERAN</i>	The field should be present if the <i>purpose</i> is set to " <i>handover</i> " and the <i>targetRAT-Type</i> is set to " <i>geran</i> "; otherwise the field is not present
<i>PSHO</i>	The field is mandatory present in case of PS handover toward GERAN; otherwise the field is optionally present, but not used by the UE
<i>UTRAGERAN</i>	The field is mandatory present if the <i>targetRAT-Type</i> is set to " <i>utra</i> " or " <i>geran</i> "; otherwise the field is not present

NOTE 1: The correspondence between the value of the *targetRAT-Type*, the standard to apply and the message contained within the *targetRAT-MessageContainer* is shown in the table below:

targetRAT-Type	Standard to apply	targetRAT-MessageContainer
cdma2000-1XRTT	C.S0001 or later, C.S0007 or later, C.S0008 or later	
cdma2000-HRPD	C.S0024 or later	
geran	GSM TS 04.18, version 8.5.0 or later, or 3GPP TS 44.018 (clause 9.1.15)	HANDOVER COMMAND
	3GPP TS 44.060, version 6.13.0 or later (clause 11.2.43)	PS HANDOVER COMMAND
	3GPP TS 44.060, version 7.6.0 or later (clause 11.2.46)	DTM HANDOVER COMMAND
utra	3GPP TS 25.331 (clause 10.2.16a)	HANDOVER TO UTRAN COMMAND

## – Paging

The *Paging* message is used for the notification of one or more UEs.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: PCCH

Direction: E-UTRAN to UE

### Paging message

```

-- ASN1START
Paging ::=
    SEQUENCE {
        pagingRecordList          PagingRecordList          OPTIONAL, -- Need ON
        systemInfoModification    ENUMERATED {true}          OPTIONAL, -- Need ON
        etws-Indication           ENUMERATED {true}          OPTIONAL, -- Need ON
        nonCriticalExtension       Paging-v890-IEs             OPTIONAL
    }

Paging-v890-IEs ::=
    SEQUENCE {
        lateNonCriticalExtension   OCTET STRING          OPTIONAL, -- Need OP
        nonCriticalExtension       Paging-v920-IEs             OPTIONAL
    }

Paging-v920-IEs ::=
    SEQUENCE {
        cmas-Indication-r9        ENUMERATED {true}    OPTIONAL, -- Need ON
        nonCriticalExtension       Paging-v11xy-IEs     OPTIONAL
    }

Paging-v11xy-IEs ::=
    SEQUENCE {
        eab-ParamModification-r11 ENUMERATED {true}    OPTIONAL, -- Need ON
        nonCriticalExtension       SEQUENCE {}          OPTIONAL, -- Need OP
    }

PagingRecordList ::=
    SEQUENCE (SIZE (1..maxPageRec)) OF PagingRecord

PagingRecord ::=
    SEQUENCE {
        ue-Identity                PagingUE-Identity,
        cn-Domain                  ENUMERATED {ps, cs},
        ...
    }

PagingUE-Identity ::=
    CHOICE {
        s-TMSI                     S-TMSI,
        imsi                       IMSI,
        ...
    }

IMSI ::=
    SEQUENCE (SIZE (6..21)) OF IMSI-Digit

IMSI-Digit ::=
    INTEGER (0..9)
-- ASN1STOP

```

<b>Paging field descriptions</b>
<b>cmas-Indication</b> If present: indication of a CMAS notification.
<b>cn-Domain</b> Indicates the origin of paging.
<b>eab-ParamModification</b> If present: indication of a EAB parameters (SIB14) modification.
<b>etws-Indication</b> If present: indication of an ETWS primary notification and/ or ETWS secondary notification.
<b>imsi</b> The International Mobile Subscriber Identity, a globally unique permanent subscriber identity, see TS 23.003 [27]. The first element contains the first IMSI digit, the second element contains the second IMSI digit and so on.
<b>systemInfoModification</b> If present: indication of a BCCH modification other than SIB10, SIB11, SIB12 and SIB14.
<b>ue-Identity</b> Provides the NAS identity of the UE that is being paged.

### – ProximityIndication

The *ProximityIndication* message is used to indicate that the UE is entering or leaving the proximity of one or more CSG member cell(s).

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ProximityIndication message

```
-- ASN1START
ProximityIndication-r9 ::= SEQUENCE {
    criticalExtensions          CHOICE {
        c1                      CHOICE {
            proximityIndication-r9          ProximityIndication-r9-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture          SEQUENCE {}
    }
}

ProximityIndication-r9-IEs ::= SEQUENCE {
    type-r9                      ENUMERATED {entering, leaving},
    carrierFreq-r9              CHOICE {
        eutra-r9                  ARFCN-ValueEUTRA,
        utra-r9                    ARFCN-ValueUTRA,
        ...
    },
    nonCriticalExtension          ProximityIndication-v930-IEs
    OPTIONAL
}

ProximityIndication-v930-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING          OPTIONAL,
    nonCriticalExtension          SEQUENCE {}              OPTIONAL
}
-- ASN1STOP
```

<b>ProximityIndication field descriptions</b>
<b>carrierFreq</b> Indicates the RAT and frequency of the CSG member cell(s), for which the proximity indication is sent.
<b>type</b> Used to indicate whether the UE is entering or leaving the proximity of CSG member cell(s).

– *RNReconfiguration*

The *RNReconfiguration* is a command to modify the RN subframe configuration and/or to convey changed system information.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to RN

***RNReconfiguration message***

```
-- ASN1START
RNReconfiguration-r10 ::= SEQUENCE {
  rrc-TransactionIdentifier RRC-TransactionIdentifier,
  criticalExtensions CHOICE {
    c1 CHOICE {
      rnReconfiguration-r10 RNReconfiguration-r10-IEs,
      spare3 NULL, spare2 NULL, spare1 NULL
    },
    criticalExtensionsFuture SEQUENCE {}
  }
}

RNReconfiguration-r10-IEs ::= SEQUENCE {
  rn-SystemInfo-r10 RN-SystemInfo-r10 OPTIONAL, -- Need ON
  rn-SubframeConfig-r10 RN-SubframeConfig-r10 OPTIONAL, -- Need ON
  lateNonCriticalExtension OCTET STRING OPTIONAL, -- Need OP
  nonCriticalExtension SEQUENCE {} OPTIONAL, -- Need OP
}

RN-SystemInfo-r10 ::= SEQUENCE {
  systemInformationBlockType1-r10 OCTET STRING (CONTAINING SystemInformationBlockType1)
  OPTIONAL, -- Need ON
  systemInformationBlockType2-r10 SystemInformationBlockType2 OPTIONAL, -- Need ON
  ...
}
-- ASN1STOP
```

– *RNReconfigurationComplete*

The *RNReconfigurationComplete* message is used to confirm the successful completion of an RN reconfiguration.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: RN to E-UTRAN

***RNReconfigurationComplete message***

```
-- ASN1START
RNReconfigurationComplete-r10 ::= SEQUENCE {
  rrc-TransactionIdentifier RRC-TransactionIdentifier,
  criticalExtensions CHOICE {
    c1 CHOICE {
      rnReconfigurationComplete-r10 RNReconfigurationComplete-r10-IEs,
      spare3 NULL, spare2 NULL, spare1 NULL
    },
    criticalExtensionsFuture SEQUENCE {}
  }
}
-- ASN1STOP
```

```

RNReconfigurationComplete-r10-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING          OPTIONAL,
    nonCriticalExtension          SEQUENCE {}                 OPTIONAL
}
-- ASN1STOP

```

## *RRCConnectionReconfiguration*

The *RRCConnectionReconfiguration* message is the command to modify an RRC connection. It may convey information for measurement configuration, mobility control, radio resource configuration (including RBs, MAC main configuration and physical channel configuration) including any associated dedicated NAS information and security configuration.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### *RRCConnectionReconfiguration message*

```

-- ASN1START
RRCConnectionReconfiguration ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                        CHOICE {
            rrcConnectionReconfiguration-r8      RRCConnectionReconfiguration-r8-IEs,
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture          SEQUENCE {}
    }
}

RRCConnectionReconfiguration-r8-IEs ::= SEQUENCE {
    measConfig                MeasConfig                OPTIONAL, -- Need ON
    mobilityControlInfo       MobilityControlInfo        OPTIONAL, -- Cond HO
    dedicatedInfoNASList      SEQUENCE (SIZE(1..maxDRB)) OF
        DedicatedInfoNAS      OPTIONAL, -- Cond nonHO
    radioResourceConfigDedicated RadioResourceConfigDedicated OPTIONAL, -- Cond HO-toEUTRA
    securityConfigHO          SecurityConfigHO          OPTIONAL, -- Cond HO
    nonCriticalExtension       RRCConnectionReconfiguration-v890-IEs OPTIONAL
}

RRCConnectionReconfiguration-v890-IEs ::= SEQUENCE {
    lateNonCriticalExtension    OCTET STRING          OPTIONAL, -- Need OP
    nonCriticalExtension        RRCConnectionReconfiguration-v920-IEs OPTIONAL
}

RRCConnectionReconfiguration-v920-IEs ::= SEQUENCE {
    otherConfig-r9             OtherConfig-r9            OPTIONAL, -- Need ON
    fullConfig-r9              ENUMERATED {true}         OPTIONAL, -- Cond HO-
    Reestab                    nonCriticalExtension       RRCConnectionReconfiguration-v1020-IEs OPTIONAL
}

RRCConnectionReconfiguration-v1020-IEs ::= SEQUENCE {
    sCellToReleaseList-r10     SCellToReleaseList-r10    OPTIONAL, -- Need ON
    sCellToAddModList-r10      SCellToAddModList-r10     OPTIONAL, -- Need ON
    nonCriticalExtension        SEQUENCE {}              OPTIONAL, -- Need OP
}

SCellToAddModList-r10 ::= SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellToAddMod-r10

SCellToAddMod-r10 ::= SEQUENCE {
    sCellIndex-r10             SCellIndex-r10,
    cellIdentification-r10      SEQUENCE {
        physCellId-r10          PhysCellId,

```

```

        dl-CarrierFreq-r10                ARFCN-ValueEUTRA
    }
    radioResourceConfigCommonSCell-r10    RadioResourceConfigCommonSCell-r10  OPTIONAL,  -- Cond SCellAdd
SCellAdd
    radioResourceConfigDedicatedSCell-r10 RadioResourceConfigDedicatedSCell-r10  OPTIONAL,  --
Cond SCellAdd2
    ...
}

SCellToReleaseList-r10 ::=
    SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellIndex-r10

SecurityConfigHO ::=
    SEQUENCE {
        handoverType
            CHOICE {
                intraLTE
                    SEQUENCE {
                        securityAlgorithmConfig
                            SecurityAlgorithmConfig  OPTIONAL,  -- Cond
fullConfig
                            keyChangeIndicator
                                BOOLEAN,
                            nextHopChainingCount
                                NextHopChainingCount
                    },
                interRAT
                    SEQUENCE {
                        securityAlgorithmConfig
                            SecurityAlgorithmConfig,
                        nas-SecurityParamToEUTRA
                            OCTET STRING (SIZE(6))
                    }
            },
        ...
    }
-- ASN1STOP

```

#### **RRCConnectionReconfiguration field descriptions**

<b><i>dedicatedInfoNASList</i></b>
This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for each PDU in the list.
<b><i>fullConfig</i></b>
Indicates the full configuration option is applicable for the RRC Connection Reconfiguration message.
<b><i>keyChangeIndicator</i></b>
true is used only in an intra-cell handover when a $K_{eNB}$ key is derived from a native $K_{ASME}$ key taken into use through the successful NAS SMC, as described in TS 33.401 [32] for $K_{eNB}$ re-keying. false is used in an intra-LTE handover when the new $K_{eNB}$ key is obtained from the current $K_{eNB}$ key or from the NH as described in TS 33.401 [32].
<b><i>nas-securityParamToEUTRA</i></b>
This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this field, although it affects activation of AS- security after inter-RAT handover to E-UTRA. The content is defined in TS 24.301.
<b><i>nextHopChainingCount</i></b>
Parameter NCC: See TS 33.401 [32]

<b>Conditional presence</b>	<b>Explanation</b>
<i>fullConfig</i>	This field is mandatory present for handover within E-UTRA when the <i>fullConfig</i> is included; otherwise it is optionally present, Need OP.
<i>HO</i>	The field is mandatory present in case of handover within E-UTRA or to E-UTRA; otherwise the field is not present.
<i>HO-Reestab</i>	This field is optionally present, need ON, in case of handover within E-UTRA or upon the first reconfiguration after RRC connection re-establishment; otherwise the field is not present.
<i>HO-toEUTRA</i>	The field is mandatory present in case of handover to E-UTRA or for reconfigurations when <i>fullConfig</i> is included; otherwise the field is optionally present, need ON.
<i>nonHO</i>	The field is not present in case of handover within E-UTRA or to E-UTRA; otherwise it is optional present, need ON.
<i>SCellAdd</i>	The field is mandatory present upon SCell addition; otherwise it is not present.
<i>SCellAdd2</i>	The field is mandatory present upon SCell addition; otherwise it is optionally present, need ON.

– ***RRCCONNECTIONRECONFIGURATIONCOMPLETE***

The *RRCCONNECTIONRECONFIGURATIONCOMPLETE* message is used to confirm the successful completion of an RRC connection reconfiguration.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

***RRCCONNECTIONRECONFIGURATIONCOMPLETE message***

```
-- ASN1START
RRCCONNECTIONRECONFIGURATIONCOMPLETE ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        rrcConnectionReconfigurationComplete-r8
        RRCConnectionReconfigurationComplete-r8-IEs,
        criticalExtensionsFuture   SEQUENCE {}
    }
}

RRCCONNECTIONRECONFIGURATIONCOMPLETE-r8-IEs ::= SEQUENCE {
    nonCriticalExtension          RRCConnectionReconfigurationComplete-v8a0-IEs  OPTIONAL
}

RRCCONNECTIONRECONFIGURATIONCOMPLETE-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING                               OPTIONAL,
    nonCriticalExtension          RRCConnectionReconfigurationComplete-v1020-IEs  OPTIONAL
}

RRCCONNECTIONRECONFIGURATIONCOMPLETE-v1020-IEs ::= SEQUENCE {
    rlf-InfoAvailable-r10        ENUMERATED {true}                       OPTIONAL,
    logMeasAvailable-r10         ENUMERATED {true}                       OPTIONAL,
    nonCriticalExtension          RRCConnectionReconfigurationComplete-v11x0-IEs  OPTIONAL
}

RRCCONNECTIONRECONFIGURATIONCOMPLETE-v11x0-IEs ::= SEQUENCE {
    connEstFailInfoAvailable-r11  ENUMERATED {true}                       OPTIONAL,
    nonCriticalExtension          SEQUENCE {}                               OPTIONAL
}
-- ASN1STOP
```

– ***RRCCONNECTIONREESTABLISHMENT***

The *RRCCONNECTIONREESTABLISHMENT* message is used to re-establish SRB1.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

***RRCCONNECTIONREESTABLISHMENT message***

```
-- ASN1START
RRCCONNECTIONREESTABLISHMENT ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                         CHOICE {
            rrcConnectionReestablishment-r8      RRCConnectionReestablishment-r8-IEs,
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4     NULL,
        }
    }
}
```



***RRCConnectionReestablishmentComplete* field descriptions*****rf-InfoAvailable***

This field is used to indicate the availability of radio link failure or handover failure related measurements

– ***RRCConnectionReestablishmentReject***

The *RRCConnectionReestablishmentReject* message is used to indicate the rejection of an RRC connection reestablishment request.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

***RRCConnectionReestablishmentReject* message**

```
-- ASN1START
RRCConnectionReestablishmentReject ::= SEQUENCE {
    criticalExtensions          CHOICE {
        rrcConnectionReestablishmentReject-r8
                                RRCConnectionReestablishmentReject-r8-IEs,
        criticalExtensionsFuture SEQUENCE {}
    }
}
RRCConnectionReestablishmentReject-r8-IEs ::= SEQUENCE {
    nonCriticalExtension        RRCConnectionReestablishmentReject-v8a0-IEs
    OPTIONAL
}
RRCConnectionReestablishmentReject-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension    OCTET STRING                OPTIONAL, -- Need OP
    nonCriticalExtension        SEQUENCE {}                OPTIONAL  -- Need OP
}
-- ASN1STOP
```

– ***RRCConnectionReestablishmentRequest***

The *RRCConnectionReestablishmentRequest* message is used to request the reestablishment of an RRC connection.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

***RRCConnectionReestablishmentRequest* message**

```
-- ASN1START
RRCConnectionReestablishmentRequest ::= SEQUENCE {
    criticalExtensions          CHOICE {
        rrcConnectionReestablishmentRequest-r8
                                RRCConnectionReestablishmentRequest-r8-IEs,
        criticalExtensionsFuture SEQUENCE {}
    }
}
RRCConnectionReestablishmentRequest-r8-IEs ::= SEQUENCE {
    ue-Identity                ReestabUE-Identity,
    reestablishmentCause        ReestablishmentCause,
    spare                       BIT STRING (SIZE (2))
}
```

```

}
ReestabUE-Identity ::=          SEQUENCE {
    c-RNTI                    C-RNTI,
    physCellId                PhysCellId,
    shortMAC-I                ShortMAC-I
}
ReestablishmentCause ::=      ENUMERATED {
    reconfigurationFailure, handoverFailure,
    otherFailure, spare1}
-- ASN1STOP

```

#### ***RRCCConnectionReestablishmentRequest* field descriptions**

<b><i>physCellId</i></b>	The Physical Cell Identity of the PCell the UE was connected to prior to the failure.
<b><i>reestablishmentCause</i></b>	Indicates the failure cause that triggered the re-establishment procedure.
<b><i>ue-Identity</i></b>	UE identity included to retrieve UE context and to facilitate contention resolution by lower layers.

#### – ***RRCCConnectionReject***

The *RRCCConnectionReject* message is used to reject the RRC connection establishment.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

#### ***RRCCConnectionReject* message**

```

-- ASN1START
RRCCConnectionReject ::=          SEQUENCE {
    criticalExtensions            CHOICE {
        c1                       CHOICE {
            rrcConnectionReject-r8          RRCCConnectionReject-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture          SEQUENCE {}
    }
}
RRCCConnectionReject-r8-IEs ::=    SEQUENCE {
    waitTime                    INTEGER (1..16),
    nonCriticalExtension          RRCCConnectionReject-v8a0-IEs          OPTIONAL
}
RRCCConnectionReject-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING          OPTIONAL,    -- Need OP
    nonCriticalExtension          RRCCConnectionReject-v1020-IEs      OPTIONAL
}
RRCCConnectionReject-v1020-IEs ::= SEQUENCE {
    extendedWaitTime-r10         INTEGER (1..1800)          OPTIONAL,    -- Need ON
    nonCriticalExtension          SEQUENCE {}          OPTIONAL    -- Need OP
}
-- ASN1STOP

```

<i><b>RRCConnectionReject</b></i> field descriptions
<b><i>extendedWaitTime</i></b> Value in seconds for the wait time for Delay Tolerant access requests.
<b><i>waitTime</i></b> Wait time value in seconds.

## – *RRCConnectionRelease*

The *RRCConnectionRelease* message is used to command the release of an RRC connection.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### *RRCConnectionRelease* message

```
-- ASN1START
RRCConnectionRelease ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
        c1 CHOICE {
            rrcConnectionRelease-r8 RRCConnectionRelease-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

RRCConnectionRelease-r8-IEs ::= SEQUENCE {
    releaseCause ReleaseCause,
    redirectedCarrierInfo RedirectedCarrierInfo OPTIONAL, -- Need ON
    idleModeMobilityControlInfo IdleModeMobilityControlInfo OPTIONAL, -- Need OP
    nonCriticalExtension RRCConnectionRelease-v890-IEs OPTIONAL
}

RRCConnectionRelease-v890-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING OPTIONAL, -- Need OP
    nonCriticalExtension RRCConnectionRelease-v920-IEs OPTIONAL
}

RRCConnectionRelease-v920-IEs ::= SEQUENCE {
    cellInfoList-r9 CHOICE {
        geran-r9 CellInfoListGERAN-r9,
        utra-FDD-r9 CellInfoListUTRA-FDD-r9,
        utra-TDD-r9 CellInfoListUTRA-TDD-r9,
        ...,
        utra-TDD-r10 CellInfoListUTRA-TDD-r10
    } OPTIONAL, -- Cond Redirection
    nonCriticalExtension RRCConnectionRelease-v1020-IEs OPTIONAL
}

RRCConnectionRelease-v1020-IEs ::= SEQUENCE {
    extendedWaitTime-r10 INTEGER (1..1800) OPTIONAL, -- Need ON
    nonCriticalExtension SEQUENCE {} OPTIONAL -- Need OP
}

ReleaseCause ::= ENUMERATED {loadBalancingTAUrequired,
    other, cs-FallbackHighPriority-v1020, spare1}

RedirectedCarrierInfo ::= CHOICE {
    eutra ARFCN-ValueEUTRA,
    geran CarrierFreqsGERAN,
    utra-FDD ARFCN-ValueUTRA,
    utra-TDD ARFCN-ValueUTRA,
    cdma2000-HRPD CarrierFreqCDMA2000,
    cdma2000-1xRTT CarrierFreqCDMA2000,
    ...,
    utra-TDD-r10 CarrierFreqListUTRA-TDD-r10
}
```

```

}
CarrierFreqListUTRA-TDD-r10 ::= SEQUENCE (SIZE (1..maxFreqUTRA-TDD-r10)) OF ARFCN-ValueUTRA
IdleModeMobilityControlInfo ::= SEQUENCE {
    freqPriorityListEUTRA          FreqPriorityListEUTRA          OPTIONAL,      -- Need ON
    freqPriorityListGERAN          FreqsPriorityListGERAN         OPTIONAL,      -- Need ON
    freqPriorityListUTRA-FDD       FreqPriorityListUTRA-FDD       OPTIONAL,      -- Need ON
    freqPriorityListUTRA-TDD       FreqPriorityListUTRA-TDD       OPTIONAL,      -- Need ON
    bandClassPriorityListHRPD      BandClassPriorityListHRPD      OPTIONAL,      -- Need ON
    bandClassPriorityList1XRTT     BandClassPriorityList1XRTT     OPTIONAL,      -- Need ON
    t320                           ENUMERATED {
                                    min5, min10, min20, min30, min60, min120, min180,
                                    spare1}                               OPTIONAL,      -- Need OR
    ...
}
FreqPriorityListEUTRA ::= SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA
FreqPriorityEUTRA ::= SEQUENCE {
    carrierFreq                    ARFCN-ValueEUTRA,
    cellReselectionPriority        CellReselectionPriority
}
FreqsPriorityListGERAN ::= SEQUENCE (SIZE (1..maxGNFG)) OF FreqsPriorityGERAN
FreqsPriorityGERAN ::= SEQUENCE {
    carrierFreqs                  CarrierFreqsGERAN,
    cellReselectionPriority        CellReselectionPriority
}
FreqPriorityListUTRA-FDD ::= SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF FreqPriorityUTRA-FDD
FreqPriorityUTRA-FDD ::= SEQUENCE {
    carrierFreq                    ARFCN-ValueUTRA,
    cellReselectionPriority        CellReselectionPriority
}
FreqPriorityListUTRA-TDD ::= SEQUENCE (SIZE (1..maxUTRA-TDD-Carrier)) OF FreqPriorityUTRA-TDD
FreqPriorityUTRA-TDD ::= SEQUENCE {
    carrierFreq                    ARFCN-ValueUTRA,
    cellReselectionPriority        CellReselectionPriority
}
BandClassPriorityListHRPD ::= SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandClassPriorityHRPD
BandClassPriorityHRPD ::= SEQUENCE {
    bandClass                      BandclassCDMA2000,
    cellReselectionPriority        CellReselectionPriority
}
BandClassPriorityList1XRTT ::= SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandClassPriority1XRTT
BandClassPriority1XRTT ::= SEQUENCE {
    bandClass                      BandclassCDMA2000,
    cellReselectionPriority        CellReselectionPriority
}
CellInfoListGERAN-r9 ::= SEQUENCE (SIZE (1..maxCellInfoGERAN-r9)) OF CellInfoGERAN-r9
CellInfoGERAN-r9 ::= SEQUENCE {
    physCellId-r9                  PhysCellIdGERAN,
    carrierFreq-r9                 CarrierFreqGERAN,
    systemInformation-r9           SystemInfoListGERAN
}
CellInfoListUTRA-FDD-r9 ::= SEQUENCE (SIZE (1..maxCellInfoUTRA-r9)) OF CellInfoUTRA-FDD-r9
CellInfoUTRA-FDD-r9 ::= SEQUENCE {
    physCellId-r9                  PhysCellIdUTRA-FDD,
    utra-BCCH-Container-r9         OCTET STRING
}
CellInfoListUTRA-TDD-r9 ::= SEQUENCE (SIZE (1..maxCellInfoUTRA-r9)) OF CellInfoUTRA-TDD-r9
CellInfoUTRA-TDD-r9 ::= SEQUENCE {
    physCellId-r9                  PhysCellIdUTRA-TDD,
}

```

```

    ultra-BCCH-Container-r9          OCTET STRING
  }
CellInfoListUTRA-TDD-r10 ::=      SEQUENCE (SIZE (1..maxCellInfoUTRA-r9)) OF CellInfoUTRA-TDD-r10
CellInfoUTRA-TDD-r10 ::=          SEQUENCE {
    physCellId-r10                  PhysCellIdUTRA-TDD,
    carrierFreq-r10                 ARFCN-ValueUTRA,
    ultra-BCCH-Container-r10        OCTET STRING
  }
-- ASN1STOP

```

#### **RRCConnectionRelease field descriptions**

<b>carrierFreq or bandClass</b>
The carrier frequency (UTRA and E-UTRA) and band class (HRPD and 1xRTT) for which the associated cellReselectionPriority is applied.
<b>carrierFreqs</b>
The list of GERAN carrier frequencies organised into one group of GERAN carrier frequencies.
<b>cellInfoList</b>
Used to provide system information of one or more cells on the redirected inter-RAT carrier frequency. The system information can be used if, upon redirection, the UE selects an inter-RAT cell indicated by the <i>physCellId</i> and <i>carrierFreq</i> (GERAN and UTRA TDD) or by the <i>physCellId</i> (other RATs). The choice shall match the <i>redirectedCarrierInfo</i> .
<b>extendedWaitTime</b>
Value in seconds for the wait time for Delay Tolerant access requests.
<b>freqPriorityListX</b>
Provides a cell reselection priority for each frequency, by means of separate lists for each RAT (including E-UTRA).
<b>idleModeMobilityControlInfo</b>
Provides dedicated cell reselection priorities. Used for cell reselection as specified in TS 36.304 [4].
<b>redirectedCarrierInfo</b>
The <i>redirectedCarrierInfo</i> indicates a carrier frequency (downlink for FDD) and is used to redirect the UE to an E-UTRA or an inter-RAT carrier frequency, by means of the cell selection upon leaving RRC_CONNECTED as specified in TS 36.304 [4]. E-UTRAN only applies value <i>utra-TDD-r10</i> for <i>redirectedCarrierInfo</i> if <i>cellInfoList-r9</i> is set to <i>utra-TDD-r10</i> .
<b>releaseCause</b>
The <i>releaseCause</i> is used to indicate the reason for releasing the RRC Connection. The cause value <i>cs-FallbackHighPriority</i> is only applicable when <i>redirectedCarrierInfo</i> is present with the value set to <i>utra-FDD</i> or <i>utra-TDD</i> . E-UTRAN should not set the <i>releaseCause</i> to <i>loadBalancingTAURequired</i> or to <i>cs-FallbackHighPriority</i> if the <i>extendedWaitTime</i> is present.
<b>systemInformation</b>
Container for system information of the GERAN cell i.e. one or more System Information (SI) messages as defined in TS 44.018 [45, table 9.1.1].
<b>t320</b>
Timer T320 as described in section 7.3. Value minN corresponds to N minutes.
<b>utra-BCCH-Container</b>
Contains System Information Container message as defined in TS 25.331 [19].

Conditional presence	Explanation
<i>Redirection</i>	The field is optionally present, need ON, if the <i>redirectedCarrierInfo</i> is included and set to <i>geran</i> , <i>utra-FDD</i> , <i>utra-TDD</i> or <i>utra-TDD-r10</i> ; otherwise the field is not present.

## – RRCConnectionRequest

The *RRCConnectionRequest* message is used to request the establishment of an RRC connection.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: UE to E-UTRAN

### ***RRCConnectionRequest message***

```
-- ASN1START
RRCConnectionRequest ::= SEQUENCE {
    criticalExtensions      CHOICE {
        rrcConnectionRequest-r8      RRCConnectionRequest-r8-IEs,
        criticalExtensionsFuture      SEQUENCE {}
    }
}

RRCConnectionRequest-r8-IEs ::= SEQUENCE {
    ue-Identity             InitialUE-Identity,
    establishmentCause      EstablishmentCause,
    spare                   BIT STRING (SIZE (1))
}

InitialUE-Identity ::= CHOICE {
    s-TMSI                  S-TMSI,
    randomValue             BIT STRING (SIZE (40))
}

EstablishmentCause ::= ENUMERATED {
    emergency, highPriorityAccess, mt-Access, mo-Signalling,
    mo-Data, delayTolerantAccess-v1020, spare2, spare1}
-- ASN1STOP
```

#### ***RRCConnectionRequest field descriptions***

<b><i>establishmentCause</i></b>	Provides the establishment cause for the RRC connection request as provided by the upper layers. W.r.t. the cause value names: highPriorityAccess concerns AC11..AC15, 'mt' stands for 'Mobile Terminating' and 'mo' for 'Mobile Originating'.
<b><i>randomValue</i></b>	Integer value in the range 0 to $2^{40} - 1$ .
<b><i>ue-Identity</i></b>	UE identity included to facilitate contention resolution by lower layers.

### ***RRCConnectionSetup***

The *RRCConnectionSetup* message is used to establish SRB1.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: E-UTRAN to UE

### ***RRCConnectionSetup message***

```
-- ASN1START
RRCConnectionSetup ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions              CHOICE {
        c1                          CHOICE {
            rrcConnectionSetup-r8      RRCConnectionSetup-r8-IEs,
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
        }
    }
}
```



```

    mmeC          MMEC
  }
-- ASN1STOP

```

#### **RRCConnectionSetupComplete field descriptions**

<b><i>gummei-Type</i></b>
This field is used to indicate whether the GUMMEI included is native (assigned by EPC) or mapped (from 2G/3G identifiers).
<b><i>mmegi</i></b>
Provides the Group Identity of the registered MME within the PLMN, as provided by upper layers, see TS 23.003 [27].
<b><i>registeredMME</i></b>
This field is used to transfer the GUMMEI of the MME where the UE is registered, as provided by upper layers.
<b><i>rn-SubframeConfigReq</i></b>
If present, this field indicates that the connection establishment is for an RN and whether a subframe configuration is requested or not.
<b><i>selectedPLMN-Identity</i></b>
Index of the PLMN selected by the UE from the <i>plmn-IdentityList</i> included in SIB1. 1 if the 1st PLMN is selected from the <i>plmn-IdentityList</i> included in SIB1, 2 if the 2nd PLMN is selected from the <i>plmn-IdentityList</i> included in SIB1 and so on.

### SecurityModeCommand

The *SecurityModeCommand* message is used to command the activation of AS security.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

#### **SecurityModeCommand message**

```

-- ASN1START
SecurityModeCommand ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                         CHOICE {
            securityModeCommand-r8      SecurityModeCommand-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture        SEQUENCE {}
    }
}

SecurityModeCommand-r8-IEs ::= SEQUENCE {
    securityConfigSMC              SecurityConfigSMC,
    nonCriticalExtension            SecurityModeCommand-v8a0-IEs
    OPTIONAL
}

SecurityModeCommand-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension        OCTET STRING                OPTIONAL, -- Need OP
    nonCriticalExtension            SEQUENCE {}                  OPTIONAL, -- Need OP
}

SecurityConfigSMC ::= SEQUENCE {
    securityAlgorithmConfig        SecurityAlgorithmConfig,
    ...
}
-- ASN1STOP

```

## – SecurityModeComplete

The *SecurityModeComplete* message is used to confirm the successful completion of a security mode command.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### SecurityModeComplete message

```
-- ASN1START
SecurityModeComplete ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
        securityModeComplete-r8 SecurityModeComplete-r8-IEs,
        criticalExtensionsFuture SEQUENCE {}
    }
}

SecurityModeComplete-r8-IEs ::= SEQUENCE {
    nonCriticalExtension SecurityModeComplete-v8a0-IEs
    OPTIONAL
}

SecurityModeComplete-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING OPTIONAL,
    nonCriticalExtension SEQUENCE {} OPTIONAL
}
-- ASN1STOP
```

## – SecurityModeFailure

The *SecurityModeFailure* message is used to indicate an unsuccessful completion of a security mode command.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### SecurityModeFailure message

```
-- ASN1START
SecurityModeFailure ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
        securityModeFailure-r8 SecurityModeFailure-r8-IEs,
        criticalExtensionsFuture SEQUENCE {}
    }
}

SecurityModeFailure-r8-IEs ::= SEQUENCE {
    nonCriticalExtension SecurityModeFailure-v8a0-IEs
    OPTIONAL
}

SecurityModeFailure-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING OPTIONAL,
    nonCriticalExtension SEQUENCE {} OPTIONAL
}
-- ASN1STOP
```

## – SystemInformation

The *SystemInformation* message is used to convey one or more System Information Blocks. All the SIBs included are transmitted with the same periodicity.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

### SystemInformation message

```
-- ASN1START
SystemInformation ::=
    criticalExtensions
        systemInformation-r8
        criticalExtensionsFuture
    }
}
SystemInformation-r8-IEs ::=
    sib-TypeAndInfo
        sib2
        sib3
        sib4
        sib5
        sib6
        sib7
        sib8
        sib9
        sib10
        sib11
        . . .
        sib12-v920
        sib13-v920
        sib14-v11xy
        sib15-v11x0
    },
    nonCriticalExtension
}
SystemInformation-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING OPTIONAL, -- Need OP
    nonCriticalExtension SEQUENCE {} OPTIONAL -- Need OP
}
-- ASN1STOP
```

## – SystemInformationBlockType1

*SystemInformationBlockType1* contains information relevant when evaluating if a UE is allowed to access a cell and defines the scheduling of other system information.

Signalling radio bearer: N/A

RLC-SAP: TM

Logical channel: BCCH

Direction: E-UTRAN to UE

### SystemInformationBlockType1 message

```
-- ASN1START
```

```

SystemInformationBlockType1 ::= SEQUENCE {
    cellAccessRelatedInfo          SEQUENCE {
        plmn-IdentityList          PLMN-IdentityList,
        trackingAreaCode            TrackingAreaCode,
        cellIdentity                CellIdentity,
        cellBarred                  ENUMERATED {barred, notBarred},
        intraFreqReselection        ENUMERATED {allowed, notAllowed},
        csg-Indication              BOOLEAN,
        csg-Identity                CSG-Identity          OPTIONAL -- Need OR
    },
    cellSelectionInfo              SEQUENCE {
        q-RxLevMin                  Q-RxLevMin,
        q-RxLevMinOffset            INTEGER (1..8)          OPTIONAL -- Need OP
    },
    p-Max                          P-Max                    OPTIONAL, -- Need OP
    freqBandIndicator              FreqBandIndicator,
    schedulingInfoList             SchedulingInfoList,
    tdd-Config                     TDD-Config              OPTIONAL, -- Cond TDD
    si-WindowLength                ENUMERATED {
        ms1, ms2, ms5, ms10, ms15, ms20,
        ms40},
    systemInfoValueTag             INTEGER (0..31),
    nonCriticalExtension            SystemInformationBlockType1-v890-IEs
    OPTIONAL
}

SystemInformationBlockType1-v890-IEs ::= SEQUENCE {
    lateNonCriticalExtension        OCTET STRING (CONTAINING SystemInformationBlockType1-v8h0-IEs)
    OPTIONAL, -- Need OP
    nonCriticalExtension            SystemInformationBlockType1-v920-IEs  OPTIONAL
}

SystemInformationBlockType1-v8h0-IEs ::= SEQUENCE {
    multiBandInfoList              MultiBandInfoList          OPTIONAL, -- Need OR
    nonCriticalExtension            SEQUENCE {}                OPTIONAL -- Need OP
}

SystemInformationBlockType1-v920-IEs ::= SEQUENCE {
    ims-EmergencySupport-r9        ENUMERATED {true}          OPTIONAL, -- Need OR
    cellSelectionInfo-v920         CellSelectionInfo-v920    OPTIONAL, -- Cond RSRQ
    nonCriticalExtension            SystemInformationBlockType1-v11xy-IEs  OPTIONAL
}

SystemInformationBlockType1-v11xy-IEs ::= SEQUENCE {
    tdd-Config-v11xy               TDD-Config-v11xy          OPTIONAL, -- Cond TDD-OR
    nonCriticalExtension            SEQUENCE {}                OPTIONAL -- Need OP
}

PLMN-IdentityList ::= SEQUENCE (SIZE (1..6)) OF PLMN-IdentityInfo

PLMN-IdentityInfo ::= SEQUENCE {
    plmn-Identity                  PLMN-Identity,
    cellReservedForOperatorUse     ENUMERATED {reserved, notReserved}
}

SchedulingInfoList ::= SEQUENCE (SIZE (1..maxSI-Message)) OF SchedulingInfo

SchedulingInfo ::= SEQUENCE {
    si-Periodicity                 ENUMERATED {
        rf8, rf16, rf32, rf64, rf128, rf256, rf512},
    sib-MappingInfo                SIB-MappingInfo
}

SIB-MappingInfo ::= SEQUENCE (SIZE (0..maxSIB-1)) OF SIB-Type

SIB-Type ::= ENUMERATED {
    sibType3, sibType4, sibType5, sibType6,
    sibType7, sibType8, sibType9, sibType10,
    sibType11, sibType12-v920, sibType13-v920,
    sibType14-v11xy, sibType15-v11x0,
    spare3, spare2, spare1, ...}

CellSelectionInfo-v920 ::= SEQUENCE {
    q-QualMin-r9                   Q-QualMin-r9,
    q-QualMinOffset-r9             INTEGER (1..8)          OPTIONAL -- Need OP
}

-- ASN1STOP

```

<b>SystemInformationBlockType1 field descriptions</b>	
<b>cellBarred</b>	barred means the cell is barred, as defined in TS 36.304 [4].
<b>cellReservedForOperatorUse</b>	As defined in TS 36.304 [4].
<b>csg-Identity</b>	Identity of the Closed Subscriber Group the cell belongs to.
<b>csg-Indication</b>	If set to TRUE the UE is only allowed to access the cell if it is a CSG member cell, if selected during manual CSG selection or to obtain limited service, see TS 36.304 [4].
<b>ims-EmergencySupport</b>	Indicates whether the cell supports IMS emergency bearer services for UEs in limited service mode. If absent, IMS emergency call is not supported by the network in the cell for UEs in limited service mode.
<b>intraFreqReselection</b>	Used to control cell reselection to intra-frequency cells when the highest ranked cell is barred, or treated as barred by the UE, as specified in TS 36.304 [4].
<b>multiBandInfoList</b>	A list of additional frequency band indicators, including the frequency band indicated by <i>freqBandIndicator</i> , as defined in TS 36.101 [42, table 5.5-1] that the cell belongs to. If there are multiple bands supported by the UE, the UE shall apply the first listed band which it supports.
<b>plmn-IdentityList</b>	List of PLMN identities. The first listed <i>PLMN-Identity</i> is the primary PLMN.
<b>p-Max</b>	Value applicable for the cell. If absent the UE applies the maximum power according to the UE capability.
<b>q-QualMin</b>	Parameter “ $Q_{qualmin}$ ” in TS 36.304 [4]. If <i>cellSelectionInfo-v920</i> is not present, the UE applies the (default) value of negative infinity for $Q_{qualmin}$ .
<b>q-QualMinOffset</b>	Parameter “ $Q_{qualminoffset}$ ” in TS 36.304 [4]. Actual value $Q_{qualminoffset} = IE \text{ value [dB]}$ . If <i>cellSelectionInfo-v920</i> is not present or the field is not present, the UE applies the (default) value of 0 dB for $Q_{qualminoffset}$ . Affects the minimum required quality level in the cell.
<b>q-RxLevMinOffset</b>	Parameter $Q_{rxlevminoffset}$ in TS 36.304 [4]. Actual value $Q_{rxlevminoffset} = IE \text{ value} * 2 \text{ [dB]}$ . If absent, the UE applies the (default) value of 0 dB for $Q_{rxlevminoffset}$ . Affects the minimum required Rx level in the cell.
<b>sib-MappingInfo</b>	List of the SIBs mapped to this <i>SystemInformation</i> message. There is no mapping information of SIB2; it is always present in the first <i>SystemInformation</i> message listed in the <i>schedulingInfoList</i> list.
<b>si-Periodicity</b>	Periodicity of the SI-message in radio frames, such that rf8 denotes 8 radio frames, rf16 denotes 16 radio frames, and so on.
<b>si-WindowLength</b>	Common SI scheduling window for all SIs. Unit in milliseconds, where ms1 denotes 1 millisecond, ms2 denotes 2 milliseconds and so on.
<b>systemInfoValueTag</b>	Common for all SIBs other than MIB, SIB1, SIB10, SIB11, SIB12 and SIB14. Change of MIB and SIB1 is detected by acquisition of the corresponding message.
<b>trackingAreaCode</b>	A <i>trackingAreaCode</i> that is common for all the PLMNs listed.

Conditional presence	Explanation
RSRQ	The field is mandatory present if SIB3 is being broadcast and <i>threshServingLowQ</i> is present in SIB3; otherwise optionally present, Need OP.
TDD	This field is mandatory present for TDD; it is not present for FDD and the UE shall delete any existing value for this field.
TDD-OR	The field is optional present for TDD, need OR; it is not present for FDD.

## – UEAssistanceInformation

The *UEAssistanceInformation* message is used for the indication of UE assistance information to the eNB.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***UEAssistanceInformation message***

```
-- ASN1START
UEAssistanceInformation-r11 ::= SEQUENCE {
  criticalExtensions CHOICE {
    c1 CHOICE {
      ueAssistanceInformation-r11 UEAssistanceInformation-r11-IEs,
      spare7 NULL,
      spare6 NULL, spare5 NULL, spare4 NULL,
      spare3 NULL, spare2 NULL, spare1 NULL
    },
    criticalExtensionsFuture SEQUENCE {}
  }
}

UEAssistanceInformation-r11-IEs ::= SEQUENCE {
  powerPrefIndication-r11 PowerPrefIndication-r11 OPTIONAL,
  nonCriticalExtension SEQUENCE {} OPTIONAL
}
-- ASN1STOP
```

### ***UECapabilityEnquiry***

The *UECapabilityEnquiry* message is used to request the transfer of UE radio access capabilities for E-UTRA as well as for other RATs.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### ***UECapabilityEnquiry message***

```
-- ASN1START
UECapabilityEnquiry ::= SEQUENCE {
  rrc-TransactionIdentifier RRC-TransactionIdentifier,
  criticalExtensions CHOICE {
    c1 CHOICE {
      ueCapabilityEnquiry-r8 UECapabilityEnquiry-r8-IEs,
      spare3 NULL, spare2 NULL, spare1 NULL
    },
    criticalExtensionsFuture SEQUENCE {}
  }
}

UECapabilityEnquiry-r8-IEs ::= SEQUENCE {
  ue-CapabilityRequest UE-CapabilityRequest,
  nonCriticalExtension UECapabilityEnquiry-v8a0-IEs OPTIONAL
}

UECapabilityEnquiry-v8a0-IEs ::= SEQUENCE {
  lateNonCriticalExtension OCTET STRING OPTIONAL, -- Need OP
  nonCriticalExtension SEQUENCE {} OPTIONAL -- Need OP
}

UE-CapabilityRequest ::= SEQUENCE (SIZE (1..maxRAT-Capabilities)) OF RAT-Type
```

```
-- ASN1STOP
```

### ***UECapabilityEnquiry* field descriptions**

#### ***ue-CapabilityRequest***

List of the RATs for which the UE is requested to transfer the UE radio access capabilities i.e. E-UTRA, UTRA, GERAN-CS, GERAN-PS, CDMA2000.

### – ***UECapabilityInformation***

The *UECapabilityInformation* message is used to transfer of UE radio access capabilities requested by the E-UTRAN.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***UECapabilityInformation* message**

```
-- ASN1START
UECapabilityInformation ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
        c1 CHOICE {
            ueCapabilityInformation-r8 UECapabilityInformation-r8-IEs,
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

UECapabilityInformation-r8-IEs ::= SEQUENCE {
    ue-CapabilityRAT-ContainerList UE-CapabilityRAT-ContainerList,
    nonCriticalExtension UECapabilityInformation-v8a0-IEs
    OPTIONAL
}

UECapabilityInformation-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING OPTIONAL,
    nonCriticalExtension SEQUENCE {} OPTIONAL
}
-- ASN1STOP
```

### – ***UEInformationRequest***

The *UEInformationRequest* is the command used by E-UTRAN to retrieve information from the UE.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E-UTRAN to UE

### ***UEInformationRequest* message**

```
-- ASN1START
UEInformationRequest-r9 ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {

```

```

        c1 CHOICE {
            ueInformationRequest-r9 UEInformationRequest-r9-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

UEInformationRequest-r9-IEs ::= SEQUENCE {
    rach-ReportReq-r9 BOOLEAN,
    rlf-ReportReq-r9 BOOLEAN,
    nonCriticalExtension UEInformationRequest-v930-IEs OPTIONAL
}

UEInformationRequest-v930-IEs ::= SEQUENCE {
    lateNonCriticalExtension OCTET STRING OPTIONAL, -- Need OP
    nonCriticalExtension UEInformationRequest-v1020-IEs OPTIONAL
}

UEInformationRequest-v1020-IEs ::= SEQUENCE {
    logMeasReportReq-r10 ENUMERATED {true} OPTIONAL, -- Need ON
    nonCriticalExtension UEInformationRequest-v11x0-IEs OPTIONAL
}

UEInformationRequest-v11x0-IEs ::= SEQUENCE {
    connEstFailReportReq-r11 ENUMERATED {true} OPTIONAL, -- Need ON
    nonCriticalExtension SEQUENCE {} OPTIONAL -- Need OP
}

-- ASN1STOP

```

#### ***UEInformationRequest* field descriptions**

##### ***rach-ReportReq***

This field is used to indicate whether the UE shall report information about the random access procedure.

#### – ***UEInformationResponse***

The *UEInformationResponse* message is used by the UE to transfer the information requested by the E-UTRAN.

Signalling radio bearer: SRB1 or SRB2 (when logged measurement information is included)

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

#### ***UEInformationResponse* message**

```

-- ASN1START

UEInformationResponse-r9 ::= SEQUENCE {
    rrc-TransactionIdentifier RRC-TransactionIdentifier,
    criticalExtensions CHOICE {
        c1 CHOICE {
            ueInformationResponse-r9 UEInformationResponse-r9-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture SEQUENCE {}
    }
}

UEInformationResponse-r9-IEs ::= SEQUENCE {
    rach-Report-r9 SEQUENCE {
        numberOfPreamblesSent-r9 INTEGER (1..200),
        contentionDetected-r9 BOOLEAN
    },
    rlf-Report-r9 RLF-Report-r9 OPTIONAL,
    nonCriticalExtension UEInformationResponse-v930-IEs OPTIONAL
}

UEInformationResponse-v930-IEs ::= SEQUENCE {

```

```

    lateNonCriticalExtension          OCTET STRING          OPTIONAL,
    nonCriticalExtension              UEInformationResponse-v1020-IEs  OPTIONAL
  }
UEInformationResponse-v1020-IEs ::= SEQUENCE {
    logMeasReport-r10                LogMeasReport-r10    OPTIONAL,
    nonCriticalExtension              UEInformationResponse-v11x0-IEs  OPTIONAL
}
UEInformationResponse-v11x0-IEs ::= SEQUENCE {
    connEstFailReport-r11            ConnEstFailReport-r11  OPTIONAL,
    nonCriticalExtension              SEQUENCE {}           OPTIONAL
}
RLF-Report-r9 ::= SEQUENCE {
    measResultLastServCell-r9        SEQUENCE {
        rsrpResult-r9                RSRP-Range,
        rsrqResult-r9                RSRQ-Range            OPTIONAL
    },
    measResultNeighCells-r9          SEQUENCE {
        measResultListEUTRA-r9       MeasResultList2EUTRA-r9  OPTIONAL,
        measResultListUTRA-r9        MeasResultList2UTRA-r9  OPTIONAL,
        measResultListGERAN-r9       MeasResultListGERAN     OPTIONAL,
        measResultsCDMA2000-r9       MeasResultList2CDMA2000-r9  OPTIONAL
    } OPTIONAL,
    ...
    [[ locationInfo-r10              LocationInfo-r10        OPTIONAL,
        failedPCellId-r10            CHOICE {
            cellGlobalId-r10         CellGlobalIdEUTRA,
            pci-arfcn-r10            SEQUENCE {
                physCellId-r10       PhysCellId,
                carrierFreq-r10      ARFCN-ValueEUTRA
            }
        }
        reestablishmentCellId-r10    CellGlobalIdEUTRA      OPTIONAL,
        timeConnFailure-r10          INTEGER (0..1023)      OPTIONAL,
        connectionFailureType-r10    ENUMERATED {rlf, hof}  OPTIONAL,
        previousPCellId-r10          CellGlobalIdEUTRA      OPTIONAL
    ]],
    [[ c-RNTI-r11                    C-RNTI                  OPTIONAL,
        rlf-Cause-r11                ENUMERATED {
            t310Expiry, randomAccessProblem,
            rlc-MaxNumRetx, spare1}  OPTIONAL,
        timeSinceFailure-r11         INTEGER (0..172800)    OPTIONAL
    ]]
}
MeasResultList2EUTRA-r9 ::= SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2EUTRA-r9
MeasResult2EUTRA-r9 ::= SEQUENCE {
    carrierFreq-r9                   ARFCN-ValueEUTRA,
    measResultList-r9                MeasResultListEUTRA
}
MeasResultList2UTRA-r9 ::= SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2UTRA-r9
MeasResult2UTRA-r9 ::= SEQUENCE {
    carrierFreq-r9                   ARFCN-ValueUTRA,
    measResultList-r9                MeasResultListUTRA
}
MeasResultList2CDMA2000-r9 ::= SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2CDMA2000-r9
MeasResult2CDMA2000-r9 ::= SEQUENCE {
    carrierFreq-r9                   CarrierFreqCDMA2000,
    measResultList-r9                MeasResultsCDMA2000
}
LogMeasReport-r10 ::= SEQUENCE {
    absoluteTimeStamp-r10            AbsoluteTimeInfo-r10,
    traceReference-r10               TraceReference-r10,
    traceRecordingSessionRef-r10     OCTET STRING (SIZE (2)),
    tce-Id-r10                       OCTET STRING (SIZE (1)),
    logMeasInfoList-r10              LogMeasInfoList-r10,
    logMeasAvailable-r10             ENUMERATED {true}      OPTIONAL,
    ...
}

```

```

LogMeasInfoList-r10 ::= SEQUENCE (SIZE (1..maxLogMeasReport-r10)) OF LogMeasInfo-r10

LogMeasInfo-r10 ::= SEQUENCE {
  locationInfo-r10          LocationInfo-r10          OPTIONAL,
  relativeTimeStamp-r10    INTEGER (0..7200),
  servCellIdentity-r10     CellGlobalIdEUTRA,
  measResultServCell-r10   SEQUENCE {
    rsrpResult-r10         RSRP-Range,
    rsrqResult-r10         RSRQ-Range
  },
  measResultNeighCells-r10 SEQUENCE {
    measResultListEUTRA-r10 MeasResultList2EUTRA-r9  OPTIONAL,
    measResultListUTRA-r10  MeasResultList2UTRA-r9   OPTIONAL,
    measResultListGERAN-r10 MeasResultList2GERAN-r10 OPTIONAL,
    measResultListCDMA2000-r10 MeasResultList2CDMA2000-r9 OPTIONAL
  } OPTIONAL,
  ...
}

MeasResultList2GERAN-r10 ::= SEQUENCE (SIZE (1..maxCellListGERAN)) OF MeasResultListGERAN

ConnEstFailReport-r11 ::= SEQUENCE {
  failedCellId-r11          CellGlobalIdEUTRA,
  locationInfo-r11          LocationInfo-r10          OPTIONAL,
  measResultFailedCell-r11  SEQUENCE {
    rsrpResult-r11         RSRP-Range,
    rsrqResult-r11         RSRQ-Range
  },
  measResultNeighCells-r11  SEQUENCE {
    measResultListEUTRA-r11 MeasResultList2EUTRA-r9  OPTIONAL,
    measResultListUTRA-r11  MeasResultList2UTRA-r9   OPTIONAL,
    measResultListGERAN-r11 MeasResultListGERAN      OPTIONAL,
    measResultsCDMA2000-r11 MeasResultList2CDMA2000-r9 OPTIONAL
  } OPTIONAL,
  numberOfPreamblesSent-r11 INTEGER (1..200),
  contentionDetected-r11    BOOLEAN,
  maxTxPowerReached-r11    BOOLEAN,
  timeSinceFailure-r11     INTEGER (0..172800) OPTIONAL,
  ...
}

-- ASN1STOP

```

<b>UEInformationResponse field descriptions</b>	
<b>absoluteTimeStamp</b>	Indicates the absolute time when the logged measurement configuration logging is provided, as indicated by E-UTRAN within <i>absoluteTimeInfo</i> .
<b>connectionFailureType</b>	This field is used to indicate whether the connection failure is due to radio link failure or handover failure.
<b>contentionDetected</b>	This field is used to indicate that contention was detected for at least one of the transmitted preambles, see TS 36.321 [6].
<b>c-RNTI</b>	This field indicates the C-RNTI used in the PCell upon detecting radio link failure or the C-RNTI used in the source PCell upon handover failure.
<b>failedCellId</b>	This field is used to indicate the cell in which connection establishment failed.
<b>failedPCellId</b>	This field is used to indicate the PCell in which RLF is detected or the target PCell of the failed handover.
<b>maxTxPowerReached</b>	This field is used to indicate whether or not the maximum power level was used for the last transmitted preamble, see TS 36.321 [6].
<b>measResultFailedCell</b>	This field refers to the last measurement results taken in the cell, where connection establishment failure happened.
<b>measResultLastServCell</b>	This field refers to the last measurement results taken in the PCell, where radio link failure happened.
<b>numberOfPreamblesSent</b>	This field is used to indicate the number of RACH preambles that were transmitted. Corresponds to parameter PREAMBLE_TRANSMISSION_COUNTER in TS 36.321 [6].
<b>previousPCellId</b>	This field is used to indicate the source PCell of the last handover (source PCell when the last <i>RRC-Connection-Reconfiguration</i> message including <i>mobilityControlInfo</i> was received).
<b>reestablishmentCellId</b>	This field is used to indicate the cell in which the re-establishment attempt was made after connection failure.
<b>relativeTimeStamp</b>	Indicates the time of logging measurement results, measured relative to the <i>absoluteTimeStamp</i> . Value in seconds.
<b>rlf-Cause</b>	This field is used to indicate the cause of the last radio link failure that was detected.
<b>tce-Id</b>	Parameter Trace Collection Entity Id: See TS 32.422 [58].
<b>timeConnFailure</b>	This field is used to indicate the time elapsed since the last HO initialization until connection failure. Actual value = IE value * 100ms. The maximum value 1023 means 102.3s or longer.
<b>timeSinceFailure</b>	This field is used to indicate the time that elapsed since the connection (establishment) failure. Value in seconds.
<b>traceRecordingSessionRef</b>	Parameter Trace Recording Session Reference: See TS 32.422 [58].

## – *ULHandoverPreparationTransfer (CDMA2000)*

The *ULHandoverPreparationTransfer* message is used for the uplink transfer of handover related CDMA2000 information when requested by the higher layers.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***ULHandoverPreparationTransfer message***

```
-- ASN1START
ULHandoverPreparationTransfer ::= SEQUENCE {
    criticalExtensions      CHOICE {
        c1                  CHOICE {
            ulHandoverPreparationTransfer-r8
            ULHandoverPreparationTransfer-r8-IEs,
```

```

        spare3 NULL, spare2 NULL, spare1 NULL
    },
    criticalExtensionsFuture          SEQUENCE {}
}
}
}

ULHandoverPreparationTransfer-r8-IEs ::= SEQUENCE {
    cdma2000-Type                    CDMA2000-Type,
    meid                             BIT STRING (SIZE (56)) OPTIONAL,
    dedicatedInfo                    DedicatedInfoCDMA2000,
    nonCriticalExtension              ULHandoverPreparationTransfer-v8a0-IEs
    OPTIONAL
}

ULHandoverPreparationTransfer-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension          OCTET STRING                      OPTIONAL,
    nonCriticalExtension              SEQUENCE {}                      OPTIONAL
}

-- ASN1STOP

```

#### ***ULHandoverPreparationTransfer* field descriptions**

##### ***meid***

The 56 bit mobile identification number provided by the CDMA2000 Upper layers.

## – *ULInformationTransfer*

The *ULInformationTransfer* message is used for the uplink transfer of NAS or non-3GPP dedicated information.

Signalling radio bearer: SRB2 or SRB1 (only if SRB2 not established yet). If SRB2 is suspended, the UE does not send this message until SRB2 is resumed

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

### ***ULInformationTransfer* message**

```

-- ASN1START

ULInformationTransfer ::= SEQUENCE {
    criticalExtensions          CHOICE {
        c1                     CHOICE {
            ulInformationTransfer-r8          ULInformationTransfer-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture          SEQUENCE {}
    }
}

ULInformationTransfer-r8-IEs ::= SEQUENCE {
    dedicatedInfoType          CHOICE {
        dedicatedInfoNAS                DedicatedInfoNAS,
        dedicatedInfoCDMA2000-1XRTT     DedicatedInfoCDMA2000,
        dedicatedInfoCDMA2000-HRPD     DedicatedInfoCDMA2000
    },
    nonCriticalExtension        ULInformationTransfer-v8a0-IEs
    OPTIONAL
}

ULInformationTransfer-v8a0-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING                      OPTIONAL,
    nonCriticalExtension          SEQUENCE {}                      OPTIONAL
}

-- ASN1STOP

```

## 6.3 RRC information elements

### 6.3.1 System information blocks

#### – *SystemInformationBlockType2*

The IE *SystemInformationBlockType2* contains radio resource configuration information that is common for all UEs.

NOTE: UE timers and constants related to functionality for which parameters are provided in another SIB are included in the corresponding SIB.

#### ***SystemInformationBlockType2 information element***

```
-- ASN1START
SystemInformationBlockType2 ::= SEQUENCE {
  ac-BarringInfo SEQUENCE {
    ac-BarringForEmergency BOOLEAN,
    ac-BarringForMO-Signalling AC-BarringConfig OPTIONAL, -- Need OP
    ac-BarringForMO-Data AC-BarringConfig OPTIONAL, -- Need OP
  }
  radioResourceConfigCommon RadioResourceConfigCommonSIB,
  ue-TimersAndConstants UE-TimersAndConstants,
  freqInfo SEQUENCE {
    ul-CarrierFreq ARFCN-ValueEUTRA OPTIONAL, -- Need OP
    ul-Bandwidth ENUMERATED {n6, n15, n25, n50, n75, n100} OPTIONAL, -- Need OP
  }
  additionalSpectrumEmission AdditionalSpectrumEmission
},
mbsfn-SubframeConfigList MBSFN-SubframeConfigList OPTIONAL, -- Need OR
timeAlignmentTimerCommon TimeAlignmentTimer,
...,
lateNonCriticalExtension OCTET STRING (CONTAINING SystemInformationBlockType2-v8h0-IEs)
OPTIONAL, -- Need OP
[[ ssac-BarringForMMTEL-Voice-r9 AC-BarringConfig OPTIONAL, -- Need OP
  ssac-BarringForMMTEL-Video-r9 AC-BarringConfig OPTIONAL -- Need OP
]],
[[ ac-BarringForCSFB-r10 AC-BarringConfig OPTIONAL -- Need OP
]]
}

SystemInformationBlockType2-v8h0-IEs ::= SEQUENCE {
  multiBandInfoList SEQUENCE (SIZE (1..maxMultiBands)) OF AdditionalSpectrumEmission
OPTIONAL, -- Need OR
  nonCriticalExtension SEQUENCE {} OPTIONAL -- Need OP
}

AC-BarringConfig ::= SEQUENCE {
  ac-BarringFactor ENUMERATED {
    p00, p05, p10, p15, p20, p25, p30, p40,
    p50, p60, p70, p75, p80, p85, p90, p95},
  ac-BarringTime ENUMERATED {s4, s8, s16, s32, s64, s128, s256, s512},
  ac-BarringForSpecialAC BIT STRING (SIZE(5))
}

MBSFN-SubframeConfigList ::= SEQUENCE (SIZE (1..maxMBSFN-Allocations)) OF MBSFN-SubframeConfig
-- ASN1STOP
```

<b>SystemInformationBlockType2 field descriptions</b>
<b>ac-BarringFactor</b> If the random number drawn by the UE is lower than this value, access is allowed. Otherwise the access is barred. The values are interpreted in the range [0,1): p00 = 0, p05 = 0.05, p10 = 0.10, ..., p95 = 0.95. Values other than p00 can only be set if all bits of the corresponding <i>ac-BarringForSpecialAC</i> are set to 0.
<b>ac-BarringForCSFB</b> Access class barring for mobile originating CS fallback.
<b>ac-BarringForEmergency</b> Access class barring for AC 10.
<b>ac-BarringForMO-Data</b> Access class barring for mobile originating calls.
<b>ac-BarringForMO-Signalling</b> Access class barring for mobile originating signalling.
<b>ac-BarringForSpecialAC</b> Access class barring for AC 11-15. The first/ leftmost bit is for AC 11, the second bit is for AC 12, and so on.
<b>ac-BarringTime</b> Mean access barring time value in seconds.
<b>additionalSpectrumEmission</b> The UE requirements related to IE <i>AdditionalSpectrumEmission</i> are defined in TS 36.101 [42, table 6.2.4.1].
<b>mbsfn-SubframeConfigList</b> Defines the subframes that are reserved for MBSFN in downlink.
<b>multiBandInfoList</b> A list of <i>additionalSpectrumEmission</i> i.e. one for each additional frequency band included in <i>multiBandInfoList</i> in <i>SystemInformationBlockType1</i> , listed in the same order.
<b>ssac-BarringForMMTEL-Video</b> Service specific access class barring for MMTEL video originating calls.
<b>ssac-BarringForMMTEL-Voice</b> Service specific access class barring for MMTEL voice originating calls.
<b>ul-Bandwidth</b> Parameter: transmission bandwidth configuration, $N_{RB}$ , in uplink, see TS 36.101 [42, table 5.6-1]. Value n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on. If for FDD this parameter is absent, the uplink bandwidth is equal to the downlink bandwidth. For TDD this parameter is absent and it is equal to the downlink bandwidth.
<b>ul-CarrierFreq</b> For FDD: If absent, the (default) value determined from the default TX-RX frequency separation defined in TS 36.101 [42, table 5.7.3-1] applies. For TDD: This parameter is absent and it is equal to the downlink frequency.

### SystemInformationBlockType3

The IE *SystemInformationBlockType3* contains cell re-selection information common for intra-frequency, inter-frequency and/ or inter-RAT cell re-selection (i.e. applicable for more than one type of cell re-selection but not necessarily all) as well as intra-frequency cell re-selection information other than neighbouring cell related.

#### SystemInformationBlockType3 information element

```
-- ASN1START
SystemInformationBlockType3 ::= SEQUENCE {
    cellReselectionInfoCommon SEQUENCE {
        q-Hyst ENUMERATED {
            dB0, dB1, dB2, dB3, dB4, dB5, dB6, dB8, dB10,
            dB12, dB14, dB16, dB18, dB20, dB22, dB24},
        speedStateReselectionPars SEQUENCE {
            mobilityStateParameters,
            q-HystSF SEQUENCE {
                sf-Medium ENUMERATED {
                    dB-6, dB-4, dB-2, dB0},
                sf-High ENUMERATED {
                    dB-6, dB-4, dB-2, dB0}
            }
        }
    },
    cellReselectionServingFreqInfo SEQUENCE {
        s-NonIntraSearch ReselectionThreshold OPTIONAL, -- Need OP
        threshServingLow ReselectionThreshold,
        cellReselectionPriority CellReselectionPriority
    },
}
```

```

intraFreqCellReselectionInfo      SEQUENCE {
  q-RxLevMin                       Q-RxLevMin,
  p-Max                             P-Max                               OPTIONAL,      -- Need OP
  s-IntraSearch                     ReselectionThreshold              OPTIONAL,      -- Need OP
  allowedMeasBandwidth              AllowedMeasBandwidth              OPTIONAL,      -- Need OP
  presenceAntennaPort1              PresenceAntennaPort1,
  neighCellConfig                   NeighCellConfig,
  t-ReselectionEUTRA                T-Reselection,
  t-ReselectionEUTRA-SF             SpeedStateScaleFactors            OPTIONAL,      -- Need OP
},
...,
lateNonCriticalExtension           OCTET STRING                       OPTIONAL,      -- Need OP
[[ s-IntraSearch-v920               SEQUENCE {
  s-IntraSearchP-r9                 ReselectionThreshold,
  s-IntraSearchQ-r9                 ReselectionThresholdQ-r9          OPTIONAL,      -- Need OP
}
s-NonIntraSearch-v920              SEQUENCE {
  s-NonIntraSearchP-r9              ReselectionThreshold,
  s-NonIntraSearchQ-r9              ReselectionThresholdQ-r9          OPTIONAL,      -- Need OP
}
q-QualMin-r9                        Q-QualMin-r9                       OPTIONAL,      -- Need OP
threshServingLowQ-r9                ReselectionThresholdQ-r9           OPTIONAL,      -- Need OP
]]
}
-- ASN1STOP

```

<b>SystemInformationBlockType3 field descriptions</b>
<b>allowedMeasBandwidth</b> If absent, the value corresponding to the downlink bandwidth indicated by the <i>dl-Bandwidth</i> included in <i>MasterInformationBlock</i> applies.
<b>cellReselectionInfoCommon</b> Cell re-selection information common for cells.
<b>cellReselectionServingFreqInfo</b> Information common for Cell re-selection to inter-frequency and inter-RAT cells.
<b>intraFreqcellReselectionInfo</b> Cell re-selection information common for intra-frequency cells.
<b>p-Max</b> Value applicable for the intra-frequency neighbouring E-UTRA cells. If absent the UE applies the maximum power according to the UE capability.
<b>q-Hyst</b> Parameter $Q_{hyst}$ in 36.304 [4], Value in dB. Value dB1 corresponds to 1 dB, dB2 corresponds to 2 dB and so on.
<b>q-HystSF</b> Parameter "Speed dependent ScalingFactor for $Q_{hyst}$ " in TS 36.304 [4]. The sf-Medium and sf-High concern the additional hysteresis to be applied, in Medium and High Mobility state respectively, to $Q_{hyst}$ as defined in TS 36.304 [4]. In dB. Value dB-6 corresponds to -6dB, dB-4 corresponds to -4dB and so on.
<b>q-QualMin</b> Parameter " $Q_{qualmin}$ " in TS 36.304 [4], applicable for intra-frequency neighbour cells. If the field is not present, the UE applies the (default) value of negative infinity for $Q_{qualmin}$ .
<b>q-RxLevMin</b> Parameter " $Q_{rxlevmin}$ " in TS 36.304 [4], applicable for intra-frequency neighbour cells.
<b>s-IntraSearch</b> Parameter " $S_{intraSearchP}$ " in TS 36.304 [4]. If the field <i>s-IntraSearchP</i> is present, the UE applies the value of <i>s-IntraSearchP</i> instead. Otherwise if neither <i>s-IntraSearch</i> nor <i>s-IntraSearchP</i> is present, the UE applies the (default) value of infinity for $S_{intraSearchP}$ .
<b>s-IntraSearchP</b> Parameter " $S_{intraSearchP}$ " in TS 36.304 [4]. See descriptions under <i>s-IntraSearch</i> .
<b>s-IntraSearchQ</b> Parameter " $S_{intraSearchQ}$ " in TS 36.304 [4]. If the field is not present, the UE applies the (default) value of 0 dB for $S_{intraSearchQ}$ .
<b>s-NonIntraSearch</b> Parameter " $S_{nonIntraSearchP}$ " in TS 36.304 [4]. If the field <i>s-NonIntraSearchP</i> is present, the UE applies the value of <i>s-NonIntraSearchP</i> instead. Otherwise if neither <i>s-NonIntraSearch</i> nor <i>s-NonIntraSearchP</i> is present, the UE applies the (default) value of infinity for $S_{nonIntraSearchP}$ .
<b>s-NonIntraSearchP</b> Parameter " $S_{nonIntraSearchP}$ " in TS 36.304 [4]. See descriptions under <i>s-NonIntraSearch</i> .
<b>s-NonIntraSearchQ</b> Parameter " $S_{nonIntraSearchQ}$ " in TS 36.304 [4]. If the field is not present, the UE applies the (default) value of 0 dB for $S_{nonIntraSearchQ}$ .
<b>speedStateReselectionPars</b> Speed dependent reselection parameters, see TS 36.304 [4]. If this field is absent, i.e. <i>mobilityStateParameters</i> is also not present, UE behaviour is specified in TS 36.304 [4].
<b>threshServingLow</b> Parameter " $Thresh_{Serving, LowP}$ " in TS 36.304 [4].
<b>threshServingLowQ</b> Parameter " $Thresh_{Serving, LowQ}$ " in TS 36.304 [4].
<b>t-ReselectionEUTRA</b> Parameter " $Treselection_{EUTRA}$ " in TS 36.304 [4].
<b>t-ReselectionEUTRA-SF</b> Parameter "Speed dependent ScalingFactor for $Treselection_{EUTRA}$ " in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].

## SystemInformationBlockType4

The IE *SystemInformationBlockType4* contains neighbouring cell related information relevant only for intra-frequency cell re-selection. The IE includes cells with specific re-selection parameters as well as blacklisted cells.

### SystemInformationBlockType4 information element

```
-- ASN1START
SystemInformationBlockType4 ::= SEQUENCE {
    intraFreqNeighCellList          IntraFreqNeighCellList          OPTIONAL,  -- Need OR
```

```

    intraFreqBlackCellList          IntraFreqBlackCellList          OPTIONAL, -- Need OR
    csg-PhysCellIdRange             PhysCellIdRange             OPTIONAL, -- Cond CSG
    . . .
    lateNonCriticalExtension         OCTET STRING                OPTIONAL  -- Need OP
}

IntraFreqNeighCellList ::=      SEQUENCE (SIZE (1..maxCellIntra)) OF IntraFreqNeighCellInfo

IntraFreqNeighCellInfo ::=     SEQUENCE {
    physCellId                    PhysCellId,
    q-OffsetCell                  Q-OffsetRange,
    . . .
}

IntraFreqBlackCellList ::=     SEQUENCE (SIZE (1..maxCellBlack)) OF PhysCellIdRange

-- ASN1STOP

```

#### SystemInformationBlockType4 field descriptions

<b>csg-PhysCellIdRange</b>	Set of physical cell identities reserved for CSG cells on the frequency on which this field was received. The received <i>csg-PhysCellIdRange</i> applies if less than 24 hours has elapsed since it was received and the UE is camped on a cell of the same primary PLMN where this field was received. The 3 hour validity restriction (section 5.2.1.3) does not apply to this field. The UE shall not apply any stored <i>csg-PhysCellIdRange</i> when it is in <i>any cell selection</i> state defined in TS 36.304 [4].
<b>intraFreqBlackCellList</b>	List of blacklisted intra-frequency neighbouring cells.
<b>intraFreqNeighbCellList</b>	List of intra-frequency neighbouring cells with specific cell re-selection parameters.
<b>q-OffsetCell</b>	Parameter "Qoffset <sub>s,n</sub> " in TS 36.304 [4].

Conditional presence	Explanation
CSG	This field is optional, need OP, for non-CSG cells, and mandatory for CSG cells.

#### SystemInformationBlockType5

The IE *SystemInformationBlockType5* contains information relevant only for inter-frequency cell re-selection i.e. information about other E-UTRA frequencies and inter-frequency neighbouring cells relevant for cell re-selection. The IE includes cell re-selection parameters common for a frequency as well as cell specific re-selection parameters.

#### SystemInformationBlockType5 information element

```

-- ASN1START

SystemInformationBlockType5 ::= SEQUENCE {
    interFreqCarrierFreqList      InterFreqCarrierFreqList,
    . . .
    lateNonCriticalExtension      OCTET STRING          (CONTAINING SystemInformationBlockType5-
v8h0-IEs)                       OPTIONAL          -- Need OP
}

SystemInformationBlockType5-v8h0-IEs ::= SEQUENCE {
    interFreqCarrierFreqList-v8h0 SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo-v8h0
    OPTIONAL, -- Need OP
    nonCriticalExtension          SEQUENCE { }                OPTIONAL  -- Need OP
}

InterFreqCarrierFreqList ::= SEQUENCE (SIZE (1..maxFreq)) OF InterFreqCarrierFreqInfo

InterFreqCarrierFreqInfo ::= SEQUENCE {
    dl-CarrierFreq               ARFCN-ValueEUTRA,
    q-RxLevMin                   Q-RxLevMin,
    p-Max                        P-Max                    OPTIONAL, -- Need OP
    t-ReselectionEUTRA           T-Reselection,
    t-ReselectionEUTRA-SF        SpeedStateScaleFactors  OPTIONAL, -- Need OP
    threshX-High                 ReselectionThreshold,
    threshX-Low                  ReselectionThreshold,
    allowedMeasBandwidth         AllowedMeasBandwidth,
}

```

```

presenceAntennaPort1          PresenceAntennaPort1,
cellReselectionPriority       CellReselectionPriority      OPTIONAL,      -- Need OP
neighCellConfig              NeighCellConfig,
q-OffsetFreq                 Q-OffsetRange                DEFAULT dB0,
interFreqNeighCellList       InterFreqNeighCellList       OPTIONAL,      -- Need OR
interFreqBlackCellList       InterFreqBlackCellList       OPTIONAL,      -- Need OR
...
[[ q-QualMin-r9                Q-QualMin-r9                OPTIONAL,      -- Need OP
  threshX-Q-r9                 SEQUENCE {
    threshX-HighQ-r9           ReselectionThresholdQ-r9,
    threshX-LowQ-r9           ReselectionThresholdQ-r9
  }
]]
}

InterFreqCarrierFreqInfo-v8h0 ::= SEQUENCE {
  multiBandInfoList           MultiBandInfoList           OPTIONAL      -- Need OR
}

InterFreqNeighCellList ::= SEQUENCE (SIZE (1..maxCellInter)) OF InterFreqNeighCellInfo

InterFreqNeighCellInfo ::= SEQUENCE {
  physCellId                  PhysCellId,
  q-OffsetCell                 Q-OffsetRange
}

InterFreqBlackCellList ::= SEQUENCE (SIZE (1..maxCellBlack)) OF PhysCellIdRange

-- ASN1STOP

```

#### SystemInformationBlockType5 field descriptions

<b>interFreqBlackCellList</b>	List of blacklisted inter-frequency neighbouring cells.
<b>interFreqCarrierFreqList-v8h0</b>	One entry corresponding to each supported carrier frequency listed in the same order as in <i>interFreqCarrierFreqList</i> .
<b>interFreqNeighCellList</b>	List of inter-frequency neighbouring cells with specific cell re-selection parameters.
<b>multiBandInfoList</b>	Indicates the list of frequency bands in addition to the band represented by <i>dl-CarrierFreq</i> for which cell reselection parameters are common.
<b>p-Max</b>	Value applicable for the neighbouring E-UTRA cells on this carrier frequency. If absent the UE applies the maximum power according to the UE capability.
<b>q-OffsetCell</b>	Parameter "Qoffset <sub>s,n</sub> " in TS 36.304 [4].
<b>q-OffsetFreq</b>	Parameter "Qoffset <sub>frequency</sub> " in TS 36.304 [4].
<b>q-QualMin</b>	Parameter "Q <sub>qualmin</sub> " in TS 36.304 [4]. If the field is not present, the UE applies the (default) value of negative infinity for Q <sub>qualmin</sub> .
<b>threshX-High</b>	Parameter "Thresh <sub>X, HighP</sub> " in TS 36.304 [4].
<b>threshX-HighQ</b>	Parameter "Thresh <sub>X, HighQ</sub> " in TS 36.304 [4].
<b>threshX-Low</b>	Parameter "Thresh <sub>X, LowP</sub> " in TS 36.304 [4].
<b>threshX-LowQ</b>	Parameter "Thresh <sub>X, LowQ</sub> " in TS 36.304 [4].
<b>t-ReselectionEUTRA</b>	Parameter "T <sub>reselectionEUTRA</sub> " in TS 36.304 [4].
<b>t-ReselectionEUTRA-SF</b>	Parameter "Speed dependent ScalingFactor for T <sub>reselectionEUTRA</sub> " in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].

Conditional presence	Explanation
RSRQ	The field is mandatory present if <i>threshServingLowQ</i> is present in <i>systemInformationBlockType3</i> ; otherwise it is not present.

## – SystemInformationBlockType6

The IE *SystemInformationBlockType6* contains information relevant only for inter-RAT cell re-selection i.e. information about UTRA frequencies and UTRA neighbouring cells relevant for cell re-selection. The IE includes cell re-selection parameters common for a frequency.

### SystemInformationBlockType6 information element

```

-- ASN1START
SystemInformationBlockType6 ::= SEQUENCE {
    carrierFreqListUTRA-FDD      CarrierFreqListUTRA-FDD      OPTIONAL,      -- Need OR
    carrierFreqListUTRA-TDD      CarrierFreqListUTRA-TDD      OPTIONAL,      -- Need OR
    t-ReselectionUTRA            T-Reselection,
    t-ReselectionUTRA-SF         SpeedStateScaleFactors      OPTIONAL,      -- Need OP
    ...,
    lateNonCriticalExtension      OCTET STRING      (CONTAINING SystemInformationBlockType6-
v8h0-IEs)                        OPTIONAL      -- Need OP
}

SystemInformationBlockType6-v8h0-IEs ::= SEQUENCE {
    carrierFreqListUTRA-FDD-v8h0 SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF CarrierFreqInfoUTRA-
FDD-v8h0 OPTIONAL,      -- Need OR
    nonCriticalExtension          SEQUENCE {}                                OPTIONAL      -- Need OP
}

CarrierFreqListUTRA-FDD ::= SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF CarrierFreqUTRA-FDD

CarrierFreqUTRA-FDD ::= SEQUENCE {
    carrierFreq                  ARFCN-ValueUTRA,
    cellReselectionPriority       CellReselectionPriority      OPTIONAL,      -- Need OP
    threshX-High                 ReselectionThreshold,
    threshX-Low                  ReselectionThreshold,
    q-RxLevMin                   INTEGER (-60..-13),
    p-MaxUTRA                    INTEGER (-50..33),
    q-QualMin                    INTEGER (-24..0),
    ...,
    [[ threshX-Q-r9              SEQUENCE {
        threshX-HighQ-r9         ReselectionThresholdQ-r9,
        threshX-LowQ-r9         ReselectionThresholdQ-r9
    }
    ]]
}

CarrierFreqInfoUTRA-FDD-v8h0 ::= SEQUENCE {
    multiBandInfoList           SEQUENCE (SIZE (1..maxMultiBands)) OF FreqBandIndicator-
UTRA-FDD                      OPTIONAL      -- Need OR
}

CarrierFreqListUTRA-TDD ::= SEQUENCE (SIZE (1..maxUTRA-TDD-Carrier)) OF CarrierFreqUTRA-TDD

CarrierFreqUTRA-TDD ::= SEQUENCE {
    carrierFreq                  ARFCN-ValueUTRA,
    cellReselectionPriority       CellReselectionPriority      OPTIONAL,      -- Need OP
    threshX-High                 ReselectionThreshold,
    threshX-Low                  ReselectionThreshold,
    q-RxLevMin                   INTEGER (-60..-13),
    p-MaxUTRA                    INTEGER (-50..33),
    ...
}

FreqBandIndicator-UTRA-FDD ::= INTEGER (1..86)
-- ASN1STOP

```

<b>SystemInformationBlockType6 field descriptions</b>
<b>carrierFreqListUTRA-FDD</b> List of carrier frequencies of UTRA FDD.
<b>carrierFreqListUTRA-FDD-v8h0</b> One entry corresponding to each supported UTRA FDD carrier frequency listed in the same order as in <i>carrierFreqListUTRA-FDD</i> .
<b>carrierFreqListUTRA-TDD</b> List of carrier frequencies of UTRA TDD.
<b>multiBandInfoList</b> Indicates the list of frequency bands in addition to the band represented by <i>carrierFreq</i> in the <i>CarrierFreqUTRA-FDD</i> for which UTRA cell reselection parameters are common
<b>p-MaxUTRA</b> The maximum allowed transmission power on the (uplink) carrier frequency, see TS 25.304 [40]. In dBm
<b>q-QualMin</b> Parameter "Q <sub>qualmin</sub> " in TS 25.304 [40]. Actual value = IE value [dB].
<b>q-RxLevMin</b> Parameter "Q <sub>rxlevmin</sub> " in TS 25.304 [40]. Actual value = IE value * 2+1 [dBm].
<b>t-ReselectionUTRA</b> Parameter "T <sub>reselctionUTRA</sub> " in TS 36.304 [4].
<b>t-ReselectionUTRA-SF</b> Parameter "Speed dependent ScalingFactor for T <sub>reselctionUTRA</sub> " in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].
<b>threshX-High</b> Parameter "Thresh <sub>X, HighP</sub> " in TS 36.304 [4].
<b>threshX-HighQ</b> Parameter "Thresh <sub>X, HighQ</sub> " in TS 36.304 [4].
<b>threshX-Low</b> Parameter "Thresh <sub>X, LowP</sub> " in TS 36.304 [4].
<b>threshX-LowQ</b> Parameter "Thresh <sub>X, LowQ</sub> " in TS 36.304 [4].

<b>Conditional presence</b>	<b>Explanation</b>
RSRQ	The field is mandatory present if the <i>threshServingLowQ</i> is present in <i>systemInformationBlockType3</i> , otherwise it is not present.

## SystemInformationBlockType7

The IE *SystemInformationBlockType7* contains information relevant only for inter-RAT cell re-selection i.e. information about GERAN frequencies relevant for cell re-selection. The IE includes cell re-selection parameters for each frequency.

### SystemInformationBlockType7 information element

```

-- ASN1START
SystemInformationBlockType7 ::= SEQUENCE {
    t-ReselectionGERAN          T-Reselection,
    t-ReselectionGERAN-SF      SpeedStateScaleFactors          OPTIONAL, -- Need OR
    carrierFreqsInfoList       CarrierFreqsInfoListGERAN        OPTIONAL, -- Need OR
    ...,
    lateNonCriticalExtension    OCTET STRING                      OPTIONAL  -- Need OP
}

CarrierFreqsInfoListGERAN ::= SEQUENCE (SIZE (1..maxGNFG)) OF CarrierFreqsInfoGERAN

CarrierFreqsInfoGERAN ::= SEQUENCE {
    carrierFreqs                CarrierFreqsGERAN,
    commonInfo                   SEQUENCE {
        cellReselectionPriority  CellReselectionPriority      OPTIONAL, -- Need OP
        ncc-Permitted            BIT STRING (SIZE (8)),
        q-RxLevMin               INTEGER (0..45),
        p-MaxGERAN               INTEGER (0..39)              OPTIONAL, -- Need OP
        threshX-High             ReselectionThreshold,
        threshX-Low              ReselectionThreshold
    },
    ...
}

```

-- ASN1STOP

<b>SystemInformationBlockType7 field descriptions</b>	
<b>carrierFreqs</b>	The list of GERAN carrier frequencies organised into one group of GERAN carrier frequencies.
<b>carrierFreqsInfoList</b>	Provides a list of neighbouring GERAN carrier frequencies, which may be monitored for neighbouring GERAN cells. The GERAN carrier frequencies are organised in groups and the cell reselection parameters are provided per group of GERAN carrier frequencies.
<b>commonInfo</b>	Defines the set of cell reselection parameters for the group of GERAN carrier frequencies.
<b>ncc-Permitted</b>	Field encoded as a bit map, where bit N is set to "0" if a BCCH carrier with NCC = N-1 is not permitted for monitoring and set to "1" if the BCCH carrier with NCC = N-1 is permitted for monitoring; N = 1 to 8; bit 1 of the bitmap is the leading bit of the bit string.
<b>p-MaxGERAN</b>	Maximum allowed transmission power for GERAN on an uplink carrier frequency, see TS 45.008 [28]. Value in dBm. Applicable to the neighbouring GERAN cells on this carrier frequency. If <i>pmaxGERAN</i> is absent, the maximum power according to the UE capability is used.
<b>q-RxLevMin</b>	Parameter "Q <sub>rxlevmin</sub> " in TS 36.304 [1], minimum required RX level in the GSM cell. The actual value of Q <sub>rxlevmin</sub> in dBm = (IE value * 2) - 115.
<b>threshX-High</b>	Parameter "Thresh <sub>X, HighP</sub> " in TS 36.304 [4].
<b>threshX-Low</b>	Parameter "Thresh <sub>X, LowP</sub> " in TS 36.304 [4].
<b>t-ReselectionGERAN</b>	Parameter "Treselction <sub>GERAN</sub> " in TS 36.304 [4].
<b>t-ReselectionGERAN-SF</b>	Parameter "Speed dependent ScalingFactor for Treselction <sub>GERAN</sub> " in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].

## SystemInformationBlockType8

The IE *SystemInformationBlockType8* contains information relevant only for inter-RAT cell re-selection i.e. information about CDMA2000 frequencies and CDMA2000 neighbouring cells relevant for cell re-selection. The IE includes cell re-selection parameters common for a frequency as well as cell specific re-selection parameters.

### SystemInformationBlockType8 information element

```

-- ASN1START
SystemInformationBlockType8 ::= SEQUENCE {
    systemTimeInfo          SystemTimeInfoCDMA2000          OPTIONAL,  -- Need OR
    searchWindowSize        INTEGER (0..15)                OPTIONAL,  -- Need OR
    parametersHRPD          SEQUENCE {
        preRegistrationInfoHRPD      PreRegistrationInfoHRPD,
        cellReselectionParametersHRPD CellReselectionParametersCDMA2000 OPTIONAL -- Need OR
    }
    parameters1XRTT        SEQUENCE {
        csfb-RegistrationParam1XRTT  CSFB-RegistrationParam1XRTT    OPTIONAL,  -- Need OP
        longCodeState1XRTT          BIT STRING (SIZE (42))          OPTIONAL,  -- Need OR
        cellReselectionParameters1XRTT CellReselectionParametersCDMA2000 OPTIONAL -- Need OR
    }
    ...,
    lateNonCriticalExtension OCTET STRING                  OPTIONAL,  -- Need OP
    [ [ csfb-SupportForDualRxUEs-r9   BOOLEAN              OPTIONAL,  -- Need OR
      cellReselectionParametersHRPD-v920 CellReselectionParametersCDMA2000-v920 OPTIONAL,  --
Cond NCL-HRPD
      cellReselectionParameters1XRTT-v920 CellReselectionParametersCDMA2000-v920 OPTIONAL,  --
Cond NCL-1XRTT
      csfb-RegistrationParam1XRTT-v920 CSFB-RegistrationParam1XRTT-v920      OPTIONAL,  --
Cond REG-1XRTT
      ac-BarringConfig1XRTT-r9         AC-BarringConfig1XRTT-r9         OPTIONAL  -- Cond REG-
1XRTT
    ] ],
    [ [ csfb-DualRxTxSupport-r10      ENUMERATED {true}          OPTIONAL  -- Cond REG-
1XRTT

```

```

    ]]
  }
}

CellReselectionParametersCDMA2000 ::= SEQUENCE {
    bandClassList          BandClassListCDMA2000,
    neighCellList         NeighCellListCDMA2000,
    t-ReselectionCDMA2000 T-Reselection,
    t-ReselectionCDMA2000-SF SpeedStateScaleFactors          OPTIONAL -- Need OP
}

CellReselectionParametersCDMA2000-v920 ::= SEQUENCE {
    neighCellList-v920      NeighCellListCDMA2000-v920
}

NeighCellListCDMA2000 ::= SEQUENCE (SIZE (1..16)) OF NeighCellCDMA2000

NeighCellCDMA2000 ::= SEQUENCE {
    bandClass          BandclassCDMA2000,
    neighCellsPerFreqList NeighCellsPerBandclassListCDMA2000
}

NeighCellsPerBandclassListCDMA2000 ::= SEQUENCE (SIZE (1..16)) OF NeighCellsPerBandclassCDMA2000

NeighCellsPerBandclassCDMA2000 ::= SEQUENCE {
    arfcn          ARFCN-ValueCDMA2000,
    physCellIdList PhysCellIdListCDMA2000
}

NeighCellListCDMA2000-v920 ::= SEQUENCE (SIZE (1..16)) OF NeighCellCDMA2000-v920

NeighCellCDMA2000-v920 ::= SEQUENCE {
    neighCellsPerFreqList-v920 NeighCellsPerBandclassListCDMA2000-v920
}

NeighCellsPerBandclassListCDMA2000-v920 ::= SEQUENCE (SIZE (1..16)) OF
NeighCellsPerBandclassCDMA2000-v920

NeighCellsPerBandclassCDMA2000-v920 ::= SEQUENCE {
    physCellIdList-v920      PhysCellIdListCDMA2000-v920
}

PhysCellIdListCDMA2000 ::= SEQUENCE (SIZE (1..16)) OF PhysCellIdCDMA2000

PhysCellIdListCDMA2000-v920 ::= SEQUENCE (SIZE (0..24)) OF PhysCellIdCDMA2000

BandClassListCDMA2000 ::= SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandClassInfoCDMA2000

BandClassInfoCDMA2000 ::= SEQUENCE {
    bandClass          BandclassCDMA2000,
    cellReselectionPriority CellReselectionPriority          OPTIONAL, -- Need OP
    threshX-High      INTEGER (0..63),
    threshX-Low      INTEGER (0..63),
    ...
}

AC-BarringConfig1XRTT-r9 ::= SEQUENCE {
    ac-Barring0to9-r9      INTEGER (0..63),
    ac-Barring10-r9       INTEGER (0..7),
    ac-Barring11-r9       INTEGER (0..7),
    ac-Barring12-r9       INTEGER (0..7),
    ac-Barring13-r9       INTEGER (0..7),
    ac-Barring14-r9       INTEGER (0..7),
    ac-Barring15-r9       INTEGER (0..7),
    ac-BarringMsg-r9      INTEGER (0..7),
    ac-BarringReg-r9      INTEGER (0..7),
    ac-BarringEmg-r9      INTEGER (0..7)
}

-- ASN1STOP

```

<b>SystemInformationBlockType8 field descriptions</b>
<p><b>ac-BarringConfig1XRTT</b> Contains the access class barring parameters the UE uses to calculate the access class barring factor, see C.S0097 [53].</p>
<p><b>ac-Barring0to9</b> Parameter used for calculating the access class barring factor for access overload classes 0 through 9. It is the parameter "PSIST" in C.S0004-A [34] for access overload classes 0 through 9.</p>
<p><b>ac-BarringEmg</b> Parameter used for calculating the access class barring factor for emergency calls and emergency message transmissions for access overload classes 0 through 9. It is the parameter "PSIST_EMG" in C.S0004-A [34].</p>
<p><b>ac-BarringMsg</b> Parameter used for modifying the access class barring factor for message transmissions. It is the parameter "MSG_PSIST" in C.S0004-A [34].</p>
<p><b>ac-BarringN</b> Parameter used for calculating the access class barring factor for access overload class N (N = 10 to 15). It is the parameter "PSIST" in C.S0004-A [34] for access overload class N.</p>
<p><b>ac-BarringReg</b> Parameter used for modifying the access class barring factor for autonomous registrations. It is the parameter "REG_PSIST" in C.S0004-A [34].</p>
<p><b>bandClass</b> Identifies the Frequency Band in which the Carrier can be found. Details can be found in C.S0057-E [24, Table 1.5].</p>
<p><b>bandClassList</b> List of CDMA2000 frequency bands.</p>
<p><b>cellReselectionParameters1XRTT</b> Cell reselection parameters applicable only to CDMA2000 1xRTT system.</p>
<p><b>cellReselectionParameters1XRTT-v920</b> Cell reselection parameters applicable for cell reselection to CDMA2000 1XRTT system. The field is not present if <i>cellReselectionParameters1XRTT</i> is not present; otherwise it is optionally present.</p>
<p><b>cellReselectionParametersHRPD</b> Cell reselection parameters applicable for cell reselection to CDMA2000 HRPD system</p>
<p><b>cellReselectionParametersHRPD-v920</b> Cell reselection parameters applicable for cell reselection to CDMA2000 HRPD system. The field is not present if <i>cellReselectionParametersHRPD</i> is not present; otherwise it is optionally present.</p>
<p><b>csfb-DualRxTxSupport</b> Value TRUE indicates that the network supports dual Rx/Tx enhanced 1xCSFB, which enables UEs capable of dual Rx/Tx enhanced 1xCSFB to switch off their 1xRTT receiver/transmitter while camped in E-UTRAN [51].</p>
<p><b>csfb-RegistrationParam1XRTT</b> Contains the parameters the UE will use to determine if it should perform a CDMA2000 1xRTT Registration/Re-Registration. This field is included if either CSFB or enhanced CS fallback to CDMA2000 1xRTT is supported.</p>
<p><b>csfb-SupportForDualRxUEs</b> Value TRUE indicates that the network supports dual Rx CSFB [51].</p>
<p><b>longCodeState1XRTT</b> The state of long code generation registers in CDMA2000 1XRTT system as defined in C.S0002-A [12, Section 1.3] at <math>\lceil t/10 \rceil \times 10 + 320</math> ms, where <i>t</i> equals to the <i>cdma-SystemTime</i>. This field is required for SRVCC handover and enhanced CS fallback to CDMA2000 1xRTT operation. Otherwise this IE is not needed. This field is excluded when estimating changes in system information, i.e. changes of <i>longCodeState1XRTT</i> should neither result in system information change notifications nor in a modification of <i>systemInfoValueTag</i> in SIB1.</p>
<p><b>neighCellList</b> List of CDMA2000 neighbouring cells. The total number of neighbouring cells in <i>neighCellList</i> for each RAT (1XRTT or HRPD) is limited to 32.</p>
<p><b>neighCellList-v920</b> Extended List of CDMA2000 neighbouring cells. The combined total number of CDMA2000 neighbouring cells in both <i>neighCellList</i> and <i>neighCellList-v920</i> is limited to 32 for HRPD and 40 for 1xRTT.</p>
<p><b>neighCellsPerFreqList</b> List of carrier frequencies and neighbour cell ids in each frequency within a CDMA2000 Band, see C.S0002-A [12] or C.S0024-A [26].</p>
<p><b>neighCellsPerFreqList-v920</b> Extended list of neighbour cell ids, in the same CDMA2000 Frequency Band as the corresponding instance in "NeighCellListCDMA2000".</p>

<b>SystemInformationBlockType8 field descriptions</b>	
<b>parameters1XRTT</b>	Parameters applicable for interworking with CDMA2000 1XRTT system.
<b>parametersHRPD</b>	Parameters applicable only for interworking with CDMA2000 HRPD systems.
<b>physCellIdList</b>	Identifies the list of CDMA2000 cell ids, see C.S0002-A [12] or C.S0024-A [26].
<b>physCellIdList-v920</b>	Extended list of CDMA2000 cell ids, in the same CDMA2000 ARFCN as the corresponding instance in "NeighCellsPerBandclassCDMA2000".
<b>preRegistrationInfoHRPD</b>	The CDMA2000 HRPD Pre-Registration Information tells the UE if it should pre-register with the CDMA2000 HRPD network and identifies the Pre-registration zone to the UE.
<b>searchWindowSize</b>	The search window size is a CDMA2000 parameter to be used to assist in searching for the neighbouring pilots. For values see C.S0005-A [25, Table 2.6.6.2.1-1] and C.S0024-A [26, Table 8.7.6.2-4]. This field is required for a UE with <i>rx-ConfigHRPD= single</i> and/ or <i>rx-Config1XRTT= single</i> to perform handover, cell re-selection, UE measurement based redirection and enhanced 1xRTT CS fallback from E-UTRAN to CDMA2000 according to this specification and TS 36.304 [4].
<b>systemTimeInfo</b>	Information on CDMA2000 system time. This field is required for a UE with <i>rx-ConfigHRPD= single</i> and/ or <i>rx-Config1XRTT= single</i> to perform handover, cell re-selection, UE measurement based redirection and enhanced 1xRTT CS fallback from E-UTRAN to CDMA2000 according to this specification and TS 36.304 [4]. This field is excluded when estimating changes in system information, i.e. changes of <i>systemTimeInfo</i> should neither result in system information change notifications nor in a modification of <i>systemInfoValueTag</i> in SIB1.
<b>threshX-High</b>	Parameter "Thresh <sub>X, HighP</sub> " in TS 36.304 [4]. This specifies the high threshold used in reselection towards this CDMA2000 band class expressed as an unsigned binary number equal to FLOOR (-2 x 10 x log <sub>10</sub> E <sub>c</sub> /I <sub>0</sub> ) in units of 0.5 dB, as defined in C.S0005-A [25].
<b>threshX-Low</b>	Parameter "Thresh <sub>X, LowP</sub> " in TS 36.304 [4]. This specifies the low threshold used in reselection towards this CDMA2000 band class expressed as an unsigned binary number equal to FLOOR (-2 x 10 x log <sub>10</sub> E <sub>c</sub> /I <sub>0</sub> ) in units of 0.5 dB, as defined in C.S0005-A [25].
<b>t-ReselectionCDMA2000</b>	Parameter "Treselction <sub>CDMA_HRPD</sub> " or "Treselction <sub>CDMA_1XRTT</sub> " in TS 36.304 [4].
<b>t-ReselectionCDMA2000-SF</b>	Parameter "Speed dependent ScalingFactor for Treselction <sub>CDMA-HRPD</sub> " or Treselction <sub>CDMA-1XRTT</sub> " in TS 36.304 [4]. If the field is not present, the UE behaviour is specified in TS 36.304 [4].

Conditional presence	Explanation
<i>NCL-1XRTT</i>	The field is optional present, need OR, if <i>cellReselectionParameters1XRTT</i> is present; otherwise it is not present.
<i>NCL-HRPD</i>	The field is optional present, need OR, if <i>cellReselectionParametersHRPD</i> is present; otherwise it is not present.
<i>REG-1XRTT</i>	The field is optional present, need OR, if <i>csfb-RegistrationParam1XRTT</i> is present; otherwise it is not present.

## SystemInformationBlockType9

The IE *SystemInformationBlockType9* contains a home eNB name (HNB Name).

### SystemInformationBlockType9 information element

```
-- ASN1START
SystemInformationBlockType9 ::= SEQUENCE {
    hnb-Name                OCTET STRING (SIZE(1..48))    OPTIONAL,    -- Need OR
    ...,
    lateNonCriticalExtension OCTET STRING                OPTIONAL    -- Need OP
}
-- ASN1STOP
```

**SystemInformationBlockType9 field descriptions****hnb-Name**

Carries the name of the home eNB, coded in UTF-8 with variable number of bytes per character, see TS 22.011 [10].

– **SystemInformationBlockType10**

The IE *SystemInformationBlockType10* contains an ETWS primary notification.

**SystemInformationBlockType10 information element**

```
-- ASN1START
SystemInformationBlockType10 ::= SEQUENCE {
    messageIdentifier      BIT STRING (SIZE (16)),
    serialNumber           BIT STRING (SIZE (16)),
    warningType            OCTET STRING (SIZE (2)),
    warningSecurityInfo    OCTET STRING (SIZE (50))          OPTIONAL,      -- Need OP
    . . . ,
    lateNonCriticalExtension OCTET STRING                  OPTIONAL      -- Need OP
}
-- ASN1STOP
```

**SystemInformationBlockType10 field descriptions****messageIdentifier**

Identifies the source and type of ETWS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39, 9.2.1.44]) contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37, 9.4.1.2.2], while the trailing bit contains bit 0 of the second octet of the same equivalent IE.

**serialNumber**

Identifies variations of an ETWS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39, 9.2.1.45]) contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37, 9.4.1.2.1], while the trailing bit contains bit 0 of the second octet of the same equivalent IE.

**warningSecurityInfo**

Provides security information for the ETWS notification. The first octet (which is equivalent to the first octet of the equivalent IE defined in TS 36.413 [39, 9.2.1.51]) contains the first octet of the equivalent IE defined in and encoded according to TS 23.041 [37, 9.3.25], and so on.

**warningType**

Identifies the warning type of the ETWS primary notification and provides information on emergency user alert and UE popup. The first octet (which is equivalent to the first octet of the equivalent IE defined in TS 36.413 [39, 9.2.1.50]) contains the first octet of the equivalent IE defined in and encoded according to TS 23.041 [37, 9.3.24], and so on.

– **SystemInformationBlockType11**

The IE *SystemInformationBlockType11* contains an ETWS secondary notification.

**SystemInformationBlockType11 information element**

```
-- ASN1START
SystemInformationBlockType11 ::= SEQUENCE {
    messageIdentifier      BIT STRING (SIZE (16)),
    serialNumber           BIT STRING (SIZE (16)),
    warningMessageSegmentType  ENUMERATED {notLastSegment, lastSegment},
    warningMessageSegmentNumber INTEGER (0..63),
    warningMessageSegment  OCTET STRING,
    dataCodingScheme       OCTET STRING (SIZE (1))          OPTIONAL,      -- Cond Segment1
    . . . ,
    lateNonCriticalExtension OCTET STRING                  OPTIONAL      -- Need OP
}
-- ASN1STOP
```

```
-- ASN1STOP
```

### SystemInformationBlockType11 field descriptions

<b>dataCodingScheme</b>
Identifies the alphabet/coding and the language applied variations of an ETWS notification. The octet (which is equivalent to the octet of the equivalent IE defined in TS 36.413 [39, 9.2.1.52]) contains the octet of the equivalent IE defined in TS 23.041 [37, 9.4.2.2.4] and encoded according to TS 23.038 [38].
<b>messageIdentifier</b>
Identifies the source and type of ETWS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39, 9.2.1.44]) contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37, 9.4.1.2.2], while the trailing bit contains bit 0 of second octet of the same equivalent IE.
<b>serialNumber</b>
Identifies variations of an ETWS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39, 9.2.1.45]) contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37, 9.4.1.2.1], while the trailing bit contains bit 0 of second octet of the same equivalent IE.
<b>warningMessageSegment</b>
Carries a segment of the <i>Warning Message Contents</i> IE defined in TS 36.413 [39, 9.2.1.53]. The first octet of the <i>Warning Message Contents</i> IE is equivalent to the first octet of the <i>CB data</i> IE defined in and encoded according to TS 23.041 [37, 9.4.2.2.5] and so on.
<b>warningMessageSegmentNumber</b>
Segment number of the ETWS warning message segment contained in the SIB. A segment number of zero corresponds to the first segment, one corresponds to the second segment, and so on.
<b>warningMessageSegmentType</b>
Indicates whether the included ETWS warning message segment is the last segment or not.

Conditional presence	Explanation
<i>Segment1</i>	The field is mandatory present in the first segment of SIB11, otherwise it is not present.

### SystemInformationBlockType12

The IE *SystemInformationBlockType12* contains a CMAS notification.

### SystemInformationBlockType12 information element

```
-- ASN1START
SystemInformationBlockType12-r9 ::= SEQUENCE {
    messageIdentifier-r9          BIT STRING (SIZE (16)),
    serialNumber-r9              BIT STRING (SIZE (16)),
    warningMessageSegmentType-r9 ENUMERATED {notLastSegment, lastSegment},
    warningMessageSegmentNumber-r9 INTEGER (0..63),
    warningMessageSegment-r9    OCTET STRING,
    dataCodingScheme-r9         OCTET STRING (SIZE (1))          OPTIONAL, -- Cond Segment1
    lateNonCriticalExtension     OCTET STRING                    OPTIONAL, -- Need OP
    ...
}
-- ASN1STOP
```

### SystemInformationBlockType12 field descriptions

<b>dataCodingScheme</b>
Identifies the alphabet/coding and the language applied variations of a CMAS notification. The octet (which is equivalent to the octet of the equivalent IE defined in TS 36.413 [39, 9.2.1.52]) contains the octet of the equivalent IE defined in TS 23.041 [37, 9.4.2.2.4] and encoded according to TS 23.038 [38].
<b>messageIdentifier</b>
Identifies the source and type of CMAS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39, 9.2.1.44]) contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37, 9.4.1.2.2], while the trailing bit contains bit 0 of second octet of the same equivalent IE.

<b>SystemInformationBlockType12 field descriptions</b>	
<b>serialNumber</b>	Identifies variations of a CMAS notification. The leading bit (which is equivalent to the leading bit of the equivalent IE defined in TS 36.413 [39, 9.2.1.45]) contains bit 7 of the first octet of the equivalent IE, defined in and encoded according to TS 23.041 [37, 9.4.1.2.1], while the trailing bit contains bit 0 of second octet of the same equivalent IE.
<b>warningMessageSegment</b>	Carries a segment of the <i>Warning Message Contents</i> IE defined in TS 36.413 [39]. The first octet of the <i>Warning Message Contents</i> IE is equivalent to the first octet of the <i>CB data</i> IE defined in and encoded according to TS 23.041 [37, 9.4.2.2.5] and so on.
<b>warningMessageSegmentNumber</b>	Segment number of the CMAS warning message segment contained in the SIB. A segment number of zero corresponds to the first segment, one corresponds to the second segment, and so on.
<b>warningMessageSegmentType</b>	Indicates whether the included CMAS warning message segment is the last segment or not.

<b>Conditional presence</b>	<b>Explanation</b>
<i>Segment1</i>	The field is mandatory present in the first segment of SIB12, otherwise it is not present.

## – SystemInformationBlockType13

The IE *SystemInformationBlockType13* contains the information required to acquire the MBMS control information associated with one or more MBSFN areas.

### SystemInformationBlockType13 information element

```
-- ASN1START
SystemInformationBlockType13-r9 ::= SEQUENCE {
    mbsfn-AreaInfoList-r9          MBSFN-AreaInfoList-r9,
    notificationConfig-r9         MBMS-NotificationConfig-r9,
    lateNonCriticalExtension       OCTET STRING                OPTIONAL, -- Need OP
    ...
}
-- ASN1STOP
```

## – SystemInformationBlockType14

The IE *SystemInformationBlockType14* contains the EAB parameters.

### SystemInformationBlockType14 information element

```
-- ASN1START
SystemInformationBlockType14-r11 ::= SEQUENCE {
    eab-Param-r11                  CHOICE {
        eab-Common-r11            EAB-Config-r11,
        eab-PerPLMN-List-r11     SEQUENCE (SIZE (1..6)) OF EAB-ConfigPLMN-r11
    }                               OPTIONAL, -- Need OR
    lateNonCriticalExtension       OCTET STRING                OPTIONAL, -- Need OP
    ...
}
EAB-ConfigPLMN-r11 ::= SEQUENCE {
    eab-Config-r11                EAB-Config-r11                OPTIONAL -- Need OR
}
EAB-Config-r11 ::= SEQUENCE {
    eab-Category-r11              ENUMERATED {a, b, c, spare},
    eab-BarringBitmap-r11         BIT STRING (SIZE (10))
}
-- ASN1STOP
```

<b>SystemInformationBlockType14 field descriptions</b>
<b>eab-BarringBitmap</b> Extended access class barring for AC 0-9. The first/ leftmost bit is for AC 0, the second bit is for AC 1, and so on.
<b>eab-Category</b> Indicates the category of UEs for which EAB applies. Value <i>a</i> corresponds to all UEs, value <i>b</i> corresponds to the UEs that are neither in their HPLMN nor in a PLMN that is equivalent to it, and value <i>c</i> corresponds to the UEs that are neither in the PLMN listed as most preferred PLMN of the country where the UEs are roaming in the operator-defined PLMN selector list on the USIM, nor in their HPLMN nor in a PLMN that is equivalent to their HPLMN, see TS 22.011 [10].
<b>eab-Common</b> The EAB parameters applicable for all PLMN(s).
<b>eab-PerPLMN-List</b> The EAB parameters per PLMN, listed in the same order as the PLMN(s) occur in <i>plmn-IdentityList</i> in <i>SystemInformationBlockType1</i> .

## – SystemInformationBlockType15

The IE *SystemInformationBlockType15* contains the MBMS Service Area Identities (SAI) of the current and/ or neighbouring carrier frequencies.

### **SystemInformationBlockType15 information element**

```
-- ASN1START
SystemInformationBlockType15-r11 ::= SEQUENCE {
    sai-IntraFreq-r11          MBMS-SAI-List-r11          OPTIONAL, -- Need OR
    sai-InterFreqList-r11    MBMS-SAI-InterFreqList-r11  OPTIONAL, -- Need OR
    lateNonCriticalExtension  OCTET STRING          OPTIONAL, -- Need OP
    ...
}
MBMS-SAI-List-r11 ::= SEQUENCE (SIZE (1..maxSAI-MBMS-r11)) OF MBMS-SAI-r11
MBMS-SAI-r11 ::= INTEGER (0..65535)
MBMS-SAI-InterFreqList-r11 ::= SEQUENCE (SIZE (1..maxFreq)) OF MBMS-SAI-InterFreq-r11
MBMS-SAI-InterFreq-r11 ::= SEQUENCE {
    dl-CarrierFreq          ARFCN-ValueEUTRA,
    sai-List-r11            MBMS-SAI-List-r11,
    ...
}
-- ASN1STOP
```

<b>SystemInformationBlockType15 field descriptions</b>
<b>sai-IntraFreq</b> Contains the list of MBMS SAIs for the current frequency
<b>sai-InterFreqList</b> Contains a list of neighboring frequencies that provide MBMS services and the corresponding MBMS SAIs.
<b>sai-List</b> Contains a list of MBMS SAIs for a specific frequency.

## 6.3.2 Radio resource control information elements

### – AntennaInfo

The IE *AntennaInfoCommon* and the *AntennaInfoDedicated* are used to specify the common and the UE specific antenna configuration respectively.

#### **AntennaInfo information elements**

```
-- ASN1START
AntennaInfoCommon ::= SEQUENCE {
```

```

    antennaPortsCount          ENUMERATED {an1, an2, an4, spare1}
}
AntennaInfoDedicated ::=
    transmissionMode          SEQUENCE {
        ENUMERATED {
            tm1, tm2, tm3, tm4, tm5, tm6,
            tm7, tm8-v920},
        codebookSubsetRestriction CHOICE {
            n2TxAntenna-tm3      BIT STRING (SIZE (2)),
            n4TxAntenna-tm3      BIT STRING (SIZE (4)),
            n2TxAntenna-tm4      BIT STRING (SIZE (6)),
            n4TxAntenna-tm4      BIT STRING (SIZE (64)),
            n2TxAntenna-tm5      BIT STRING (SIZE (4)),
            n4TxAntenna-tm5      BIT STRING (SIZE (16)),
            n2TxAntenna-tm6      BIT STRING (SIZE (4)),
            n4TxAntenna-tm6      BIT STRING (SIZE (16))
        } OPTIONAL, -- Cond TM
        ue-TransmitAntennaSelection CHOICE{
            release              NULL,
            setup                 ENUMERATED {closedLoop, openLoop}
        }
    }
AntennaInfoDedicated-v920 ::=
    codebookSubsetRestriction-v920 CHOICE {
        n2TxAntenna-tm8-r9      BIT STRING (SIZE (6)),
        n4TxAntenna-tm8-r9      BIT STRING (SIZE (32))
    } OPTIONAL -- Cond TM8
}
AntennaInfoDedicated-r10 ::=
    transmissionMode-r10       SEQUENCE {
        ENUMERATED {
            tm1, tm2, tm3, tm4, tm5, tm6, tm7, tm8-v920,
            tm9-v1020, spare7, spare6, spare5, spare4,
            spare3, spare2, spare1},
        codebookSubsetRestriction-r10 BIT STRING OPTIONAL, -- Cond TMX
        ue-TransmitAntennaSelection CHOICE{
            release              NULL,
            setup                 ENUMERATED {closedLoop, openLoop}
        }
    }
-- ASN1STOP

```

#### **AntennaInfo field descriptions**

##### **antennaPortsCount**

Parameter represents the number of cell specific antenna ports where an1 corresponds to 1, an2 to 2 antenna ports etc. see TS 36.211 [21, 6.2.1].

##### **codebookSubsetRestriction**

Parameter: *codebookSubsetRestriction*, see TS 36.213 [23, 7.2] and TS 36.211 [21, 6.3.4.2.3]. The number of bits in the *codebookSubsetRestriction* for applicable transmission modes is defined in TS 36.213 [23, Table 7.2-1b]. If the UE is configured with *transmissionMode* tm8, E-UTRAN only configures the field *codebookSubsetRestriction* if PMI/RI reporting is configured. If the UE is configured with *transmissionMode* tm9, E-UTRAN only configures the field *codebookSubsetRestriction* if PMI/RI reporting is configured and if the number of CSI-RS ports is greater than 1.

##### **transmissionMode**

Points to one of Transmission modes defined in TS 36.213 [23, 7.1] where tm1 refers to transmission mode 1, tm2 to transmission mode 2 etc.

##### **ue-TransmitAntennaSelection**

For value *setup* the field indicates whether UE transmit antenna selection control is closed-loop or open-loop as described in TS 36.213 [23, 8.7]. EUTRAN configures the same value for all serving cells.

Conditional presence	Explanation
<i>TM</i>	The field is mandatory present if the <i>transmissionMode</i> is set to <i>tm3</i> , <i>tm4</i> , <i>tm5</i> or <i>tm6</i> . Otherwise the field is not present and the UE shall delete any existing value for this field.
<i>TM8</i>	The field is optional present, need OR, if <i>AntennaInfoDedicated</i> is included and <i>transmissionMode</i> is set to <i>tm8</i> . If <i>AntennaInfoDedicated</i> is included and <i>transmissionMode</i> is set to a value other than <i>tm8</i> , the field is not present and the UE shall delete any existing value for this field. Otherwise the field is not present and the UE takes no action i.e. continues to use the existing value, if previously configured.
<i>TMX</i>	The field is mandatory present if the <i>transmissionMode-r10</i> is set to <i>tm3</i> , <i>tm4</i> , <i>tm5</i> , <i>tm6</i> , <i>tm8</i> or <i>tm9</i> . Otherwise the field is not present and the UE shall delete any existing value for this field.

## – *AntennaInfoUL*

The IE *AntennaInfoUL* is used to specify the UL antenna configuration.

### *AntennaInfoUL* information elements

```
-- ASN1START
AntennaInfoUL-r10 ::= SEQUENCE {
    transmissionModeUL-r10      ENUMERATED {tm1, tm2, spare6, spare5,
                                             spare4, spare3, spare2, spare1} OPTIONAL, --
Need OR
    fourAntennaPortActivated-r10  ENUMERATED {setup}          OPTIONAL          -- Need OR
}
-- ASN1STOP
```

### *AntennaInfoUL* field descriptions

#### ***fourAntennaPortActivated***

Parameter indicates if four antenna ports are used. See TS 36.213 [23, 8.2]. E-UTRAN optionally configures *fourAntennaPortActivated* only if *transmissionModeUL* is set to *tm2*.

#### ***transmissionModeUL***

Points to one of UL Transmission modes defined in TS 36.213 [23, 8.0] where *tm1* refers to transmission mode 1, *tm2* to transmission mode 2 etc.

## – *CQI-ReportConfig*

The IE *CQI-ReportConfig* is used to specify the CQI reporting configuration.

### *CQI-ReportConfig* information elements

```
-- ASN1START
CQI-ReportConfig ::= SEQUENCE {
    cqi-ReportModeAperiodic      CQI-ReportModeAperiodic OPTIONAL,          -- Need OR
    nomPDSCH-RS-EPRE-Offset      INTEGER (-1..6),
    cqi-ReportPeriodic           CQI-ReportPeriodic   OPTIONAL              -- Need ON
}

CQI-ReportConfig-v920 ::= SEQUENCE {
    cqi-Mask-r9                  ENUMERATED {setup}          OPTIONAL,          -- Cond cqi-Setup
    pmi-RI-Report-r9             ENUMERATED {setup}          OPTIONAL          -- Cond PMIRI
}

CQI-ReportConfig-r10 ::= SEQUENCE {
    cqi-ReportAperiodic-r10      CQI-ReportAperiodic-r10   OPTIONAL,          -- Need ON
    nomPDSCH-RS-EPRE-Offset      INTEGER (-1..6),
    cqi-ReportPeriodic-r10       CQI-ReportPeriodic-r10     OPTIONAL,          -- Need ON
    pmi-RI-Report-r9             ENUMERATED {setup}          OPTIONAL,          -- Cond
PMIRIPCell
    csi-SubframePatternConfig-r10 CHOICE {
        release                NULL,
        setup                   SEQUENCE {
            csi-MeasSubframeSet1-r10 MeasSubframePattern-r10,
            csi-MeasSubframeSet2-r10 MeasSubframePattern-r10
        }
    }
}
```

```

    }
  }
}
OPTIONAL -- Need ON

CQI-ReportConfigSCell-r10 ::= SEQUENCE {
  cqi-ReportModeAperiodic-r10 CQI-ReportModeAperiodic OPTIONAL, -- Need OR
  nomPDSCH-RS-EPRE-Offset-r10 INTEGER (-1..6),
  cqi-ReportPeriodicSCell-r10 CQI-ReportPeriodic-r10 OPTIONAL, -- Need ON
  pmi-RI-Report-r10 ENUMERATED {setup} OPTIONAL -- Cond
}
PMIRISCell
}

CQI-ReportPeriodic ::= CHOICE {
  release NULL,
  setup SEQUENCE {
    cqi-PUCCH-ResourceIndex INTEGER (0..1185),
    cqi-pmi-ConfigIndex INTEGER (0..1023),
    cqi-FormatIndicatorPeriodic CHOICE {
      widebandCQI NULL,
      subbandCQI SEQUENCE {
        k INTEGER (1..4)
      }
    },
    ri-ConfigIndex INTEGER (0..1023) OPTIONAL, -- Need OR
    simultaneousAckNackAndCQI BOOLEAN
  }
}

CQI-ReportPeriodic-r10 ::= CHOICE {
  release NULL,
  setup SEQUENCE {
    cqi-PUCCH-ResourceIndex-r10 INTEGER (0..1184),
    cqi-PUCCH-ResourceIndexP1-r10 INTEGER (0..1184) OPTIONAL, -- Need OR
    cqi-pmi-ConfigIndex INTEGER (0..1023),
    cqi-FormatIndicatorPeriodic-r10 CHOICE {
      widebandCQI-r10 SEQUENCE {
        csi-ReportMode-r10 ENUMERATED {submode1, submode2} OPTIONAL -- Need OR
      },
      subbandCQI-r10 SEQUENCE {
        k INTEGER (1..4),
        periodicityFactor-r10 ENUMERATED {n2, n4}
      }
    },
    ri-ConfigIndex INTEGER (0..1023) OPTIONAL, -- Need OR
    simultaneousAckNackAndCQI BOOLEAN,
    cqi-Mask-r9 ENUMERATED {setup} OPTIONAL, -- Need OR
    csi-ConfigIndex-r10 CHOICE {
      release NULL,
      setup SEQUENCE {
        cqi-pmi-ConfigIndex2-r10 INTEGER (0..1023),
        ri-ConfigIndex2-r10 INTEGER (0..1023) OPTIONAL -- Need OR
      }
    }
  } OPTIONAL -- Need ON
}

CQI-ReportAperiodic-r10 ::= CHOICE {
  release NULL,
  setup SEQUENCE {
    cqi-ReportModeAperiodic-r10 CQI-ReportModeAperiodic,
    aperiodicCSI-Trigger-r10 SEQUENCE {
      trigger1-r10 BIT STRING (SIZE (8)),
      trigger2-r10 BIT STRING (SIZE (8))
    }
  } OPTIONAL -- Need OR
}

CQI-ReportModeAperiodic ::= ENUMERATED {
  rm12, rm20, rm22, rm30, rm31,
  spare3, spare2, spare1
}
-- ASN1STOP

```

<b>CQI-ReportConfig field descriptions</b>
<p><b>aperiodicCSI-Trigger</b> indicates for which serving cell(s) the aperiodic CSI report is triggered when one or more SCells are configured. <i>trigger1</i> corresponds to the CSI request field 10 and <i>trigger2</i> corresponds to the CSI request field 11, see TS 36.213 [23, table 7.2.1-1A]. The leftmost bit, bit 0 in the bit string corresponds to the cell with <i>ServCellIndex</i>=0 and bit 1 in the bit string corresponds to the cell with <i>ServCellIndex</i>=1 etc. Each bit has either value 0 (means no aperiodic CSI report is triggered) or value 1 (means the aperiodic CSI report is triggered). At most 5 bits can be set to value 1 in the bit string. One value applies for all serving cells (the associated functionality is common i.e. not performed independently for each cell).</p>
<p><b>cqi-Mask</b> Limits CQI/PMI/PTI/RI reports to the on-duration period of the DRX cycle, see TS 36.321 [6]. One value applies for all serving cells (the associated functionality is common i.e. not performed independently for each cell).</p>
<p><b>cqi-FormatIndicatorPeriodic</b> Parameter: <i>PUCCH CQI Feedback Type</i>, see TS 36.213 [23, table 7.2.2-1]. Depending on <i>transmissionMode</i>, reporting mode is implicitly given from the table.</p>
<p><b>cqi-pmi-ConfigIndex</b> Parameter: <i>CQI/PMI Periodicity and Offset Configuration Index <math>I_{CQI/PMI}</math></i>, see TS 36.213 [23, tables 7.2.2-1A and 7.2.2-1C]. If subframe patterns for CSI (CQI/PMI/PTI/RI) reporting are configured (i.e. <i>csi-SubframePatternConfig</i> is configured), the parameter applies to the subframe pattern corresponding to <i>csi-MeasSubframeSet1</i>.</p>
<p><b>cqi-pmi-ConfigIndex2</b> Parameter: <i>CQI/PMI Periodicity and Offset Configuration Index <math>I_{CQI/PMI}</math></i>, see TS 36.213 [23, tables 7.2.2-1A and 7.2.2-1C]. The parameter applies to the subframe pattern corresponding to <i>csi-MeasSubframeSet2</i>.</p>
<p><b>cqi-PUCCH-ResourceIndex, cqi-PUCCH-ResourceIndexP1</b> Parameter <math>n_{PUCCH}^{(2,p)}</math> for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23, 7.2]. E-UTRAN does not apply value 1185.</p>
<p><b>cqi-ReportModeAperiodic</b> Parameter: <i>reporting mode</i>. Value <i>rm12</i> corresponds to Mode 1-2, <i>rm20</i> corresponds to Mode 2-0, <i>rm22</i> corresponds to Mode 2-2 etc. PUSCH reporting modes are described in TS 36.213 [23, 7.2.1].</p>
<p><b>csi-ConfigIndex</b> E-UTRAN configures <i>csi-ConfigIndex</i> only for PCell and only if <i>csi-SubframePatternConfig</i> is configured. The UE shall release <i>csi-ConfigIndex</i> if <i>csi-SubframePatternConfig</i> is released.</p>
<p><b>csi-ReportMode</b> Parameter: <i>PUCCH_format1-1_CSI_reporting_mode</i>, see TS 36.213 [23, 7.2.2].</p>
<p><b>K</b> Parameter: <i>K</i>, see TS 36.213 [23, 7.2.2].</p>
<p><b>nomPDSCH-RS-EPRE-Offset</b> Parameter: <math>\Delta_{offset}</math> see TS 36.213 [23, 7.2.3]. Actual value = IE value * 2 [dB].</p>
<p><b>periodicityFactor</b> Parameter: <i>H'</i>, see TS 36.213 [23, 7.2.2].</p>
<p><b>pmi-RI-Report</b> See TS 36.213 [23, 7.2]. The presence of this field means PMI/RI reporting is configured; otherwise the PMI/RI reporting is not configured. EUTRAN configures this field only when <i>transmissionMode</i> is set to <i>tm8</i> or <i>tm9</i>.</p>
<p><b>ri-ConfigIndex</b> Parameter: <i>RI Config Index <math>I_{RI}</math></i>, see TS 36.213 [23, 7.2.2-1B]. If subframe patterns for CSI (CQI/PMI/PTI/RI) reporting are configured (i.e. <i>csi-SubframePatternConfig</i> is configured), the parameter applies to the subframe pattern corresponding to <i>csi-MeasSubframeSet1</i>.</p>
<p><b>ri-ConfigIndex2</b> Parameter: <i>RI Config Index <math>I_{RI}</math></i>, see TS 36.213 [23, 7.2.2-1B]. The parameter applies to the subframe pattern corresponding to <i>csi-MeasSubframeSet2</i>. E-UTRAN configures <i>ri-ConfigIndex2</i> only if <i>ri-ConfigIndex</i> is configured.</p>
<p><b>simultaneousAckNackAndCQI</b> Parameter: <i>Simultaneous-AN-and-CQI</i>. see TS 36.213 [23, 10.1] TRUE indicates that simultaneous transmission of ACK/NACK and CQI is allowed. For SCells this field is not applicable and the UE shall ignore the value.</p>

Conditional presence	Explanation
<i>cqi-Setup</i>	The field is optional present, need OR, if the <i>cqi-ReportPeriodic</i> in the <i>cqi-ReportConfig</i> is set to <i>setup</i> . If the field <i>cqi-ReportPeriodic</i> is present and set to <i>release</i> , the field is not present and the UE shall delete any existing value for this field. Otherwise the field is not present and the UE takes no action i.e. continues to use the existing value, if previously configured.
<i>PMIRI</i>	The field is optional present, need OR, if <i>cqi-ReportPeriodic</i> is included and set to <i>setup</i> , or <i>cqi-ReportModeAperiodic</i> is included. If the field <i>cqi-ReportPeriodic</i> is present and set to <i>release</i> and <i>cqi-ReportModeAperiodic</i> is absent, the field is not present and the UE shall delete any existing value for this field. Otherwise the field is not present and the UE takes no action i.e. continues to use the existing value, if previously configured.
<i>PMIRIPCell</i>	The field is optional present, need OR, if <i>cqi-ReportPeriodic</i> is included in the <i>CQI-ReportConfig-r10</i> and set to <i>setup</i> , or <i>cqi-ReportAperiodic</i> is included in the <i>CQI-ReportConfig-r10</i> and set to <i>release</i> and <i>cqi-ReportAperiodic</i> is included in the <i>CQI-ReportConfig-r10</i> and set to <i>release</i> , the field is not present and the UE shall delete any existing value for this field. Otherwise the field is not present and the UE takes no action i.e. continues to use the existing value, if previously configured.
<i>PMIRISCell</i>	The field is optional present, need OR, if <i>cqi-ReportPeriodicSCell</i> is included and set to <i>setup</i> , or <i>cqi-ReportModeAperiodic-r10</i> is included in the <i>CQI-ReportConfigSCell</i> . If the field <i>cqi-ReportPeriodicSCell</i> is present and set to <i>release</i> and <i>cqi-ReportModeAperiodic-r10</i> is absent in the <i>CQI-ReportConfigSCell</i> , the field is not present and the UE shall delete any existing value for this field. Otherwise the field is not present and the UE takes no action i.e. continues to use the existing value, if previously configured.

## – CrossCarrierSchedulingConfig

The IE *CrossCarrierSchedulingConfig* is used to specify the configuration when the cross carrier scheduling is used in a cell.

### CrossCarrierSchedulingConfig information elements

```

-- ASN1START
CrossCarrierSchedulingConfig-r10 ::= SEQUENCE {
  schedulingCellInfo-r10 CHOICE {
    own-r10 SEQUENCE {
      scheduling cif-Presence-r10 BOOLEAN
    },
    other-r10 SEQUENCE {
      scheduling schedulingCellId-r10 ServCellIndex-r10,
      pdsch-Start-r10 INTEGER (1..4)
    }
  }
}
-- ASN1STOP

```

### CrossCarrierSchedulingConfig field descriptions

<b><i>cif-Presence</i></b> The field is used to indicate whether carrier indicator field is present (value TRUE) or not (value FALSE) in PDCCH DCI formats, see TS 36.212 [22, 5.3.3.1].
<b><i>pdsch-Start</i></b> The starting OFDM symbol of PDSCH for the concerned SCell, see TS 36.213 [23, 7.1.6.4]. Values 1, 2, 3 are applicable when <i>dl-Bandwidth</i> for the concerned SCell is greater than 10 resource blocks, values 2, 3, 4 are applicable when <i>dl-Bandwidth</i> for the concerned SCell is less than or equal to 10 resource blocks, see TS 36.211 [21, Table 6,7-1].
<b><i>schedulingCellId</i></b> Indicates which cell signals the downlink allocations and uplink grants, if applicable, for the concerned SCell.

## – CSI-RS-Config

The IE *CSI-RS-Config* is used to specify the CSI (Channel-State Information) reference signal configuration.

**CSI-RS-Config information elements**

```

-- ASN1START
CSI-RS-Config-r10 ::= SEQUENCE {
  csi-RS-r10 CHOICE {
    release NULL,
    setup SEQUENCE {
      antennaPortsCount-r10 ENUMERATED {an1, an2, an4, an8},
      resourceConfig-r10 INTEGER (0..31),
      subframeConfig-r10 INTEGER (0..154),
      p-C-r10 INTEGER (-8..15)
    }
  } OPTIONAL, -- Need ON
  zeroTxPowerCSI-RS-r10 CHOICE {
    release NULL,
    setup SEQUENCE {
      zeroTxPowerResourceConfigList-r10 BIT STRING (SIZE (16)),
      zeroTxPowerSubframeConfig-r10 INTEGER (0..154)
    }
  } OPTIONAL -- Need ON
}
-- ASN1STOP

```

**CSI-RS-Config field descriptions**

<b>antennaPortsCount</b>
Parameter represents the number of antenna ports used for transmission of CSI reference signals where an1 corresponds to 1, an2 to 2 antenna ports etc. see TS 36.211 [21, 6.10.5].
<b>p-C</b>
Parameter: $P_C$ , see TS 36.213 [23, 7.2.5].
<b>resourceConfig</b>
Parameter: CSI reference signal configuration, see TS 36.211 [21, table 6.10.5.2-1 and 6.10.5.2-2].
<b>subframeConfig</b>
Parameter: $I_{\text{CSI-RS}}$ , see TS 36.211 [21, table 6.10.5.3-1].
<b>zeroTxPowerResourceConfigList</b>
Parameter: $\text{ZeroPowerCSI-RS}$ , see TS 36.211 [21, 6.10.5.2].
<b>zeroTxPowerSubframeConfig</b>
Parameter: $I_{\text{CSI-RS}}$ , see TS 36.211 [21, table 6.10.5.3-1].

– **DRB-Identity**

The IE *DRB-Identity* is used to identify a DRB used by a UE.

**DRB-Identity information elements**

```

-- ASN1START
DRB-Identity ::= INTEGER (1..32)
-- ASN1STOP

```

– **LogicalChannelConfig**

The IE *LogicalChannelConfig* is used to configure the logical channel parameters.

**LogicalChannelConfig information element**

```

-- ASN1START
LogicalChannelConfig ::= SEQUENCE {
  ul-SpecificParameters SEQUENCE {
    priority INTEGER (1..16),
    prioritisedBitRate ENUMERATED {
      kBps0, kBps8, kBps16, kBps32, kBps64, kBps128,
      kBps256, infinity, kBps512-v1020, kBps1024-v1020,

```

```

        kbps2048-v1020, spare5, spare4, spare3, spare2,
        spare1},
        bucketSizeDuration      ENUMERATED {
            ms50, ms100, ms150, ms300, ms500, ms1000, spare2,
            spare1},
        logicalChannelGroup      INTEGER (0..3)          OPTIONAL      -- Need OR
    }                               OPTIONAL,           -- Cond UL
    ...,
    [[ logicalChannelSR-Mask-r9   ENUMERATED {setup}     OPTIONAL      -- Cond SRmask
    ]]
}
-- ASN1STOP

```

### LogicalChannelConfig field descriptions

<b>bucketSizeDuration</b>	Bucket Size Duration for logical channel prioritization in TS 36.321 [6]. Value in milliseconds. Value ms50 corresponds to 50 ms, ms100 corresponds to 100 ms and so on.
<b>logicalChannelGroup</b>	Mapping of logical channel to logical channel group for BSR reporting in TS 36.321 [6].
<b>logicalChannelSR-Mask</b>	Controlling SR triggering on a logical channel basis when an uplink grant is configured. See TS 36.321 [6].
<b>prioritisedBitRate</b>	Prioritized Bit Rate for logical channel prioritization in TS 36.321 [6]. Value in kilobytes/second. Value kbps0 corresponds to 0 kB/second, kbps8 corresponds to 8 kB/second, kbps16 corresponds to 16 kB/second and so on. Infinity is the only applicable value for SRB1 and SRB2
<b>priority</b>	Logical channel priority in TS 36.321 [6]. Value is an integer.

Conditional presence	Explanation
SRmask	The field is optionally present if <i>ul-SpecificParameters</i> is present, need OR; otherwise it is not present.
UL	The field is mandatory present for UL logical channels; otherwise it is not present.

## MAC-MainConfig

The IE *MAC-MainConfig* is used to specify the MAC main configuration for signalling and data radio bearers.

### MAC-MainConfig information element

```

-- ASN1START
MAC-MainConfig ::=
    SEQUENCE {
        ul-SCH-Config          SEQUENCE {
            maxHARQ-Tx          ENUMERATED {
                n1, n2, n3, n4, n5, n6, n7, n8,
                n10, n12, n16, n20, n24, n28,
                spare2, spare1}    OPTIONAL,    -- Need ON
            periodicBSR-Timer    ENUMERATED {
                sf5, sf10, sf16, sf20, sf32, sf40, sf64, sf80,
                sf128, sf160, sf320, sf640, sf1280, sf2560,
                infinity, spare1}  OPTIONAL,    -- Need ON
            retxBSR-Timer        ENUMERATED {
                sf320, sf640, sf1280, sf2560, sf5120,
                sf10240, spare2, spare1},
            ttiBundling           BOOLEAN
        }                               OPTIONAL,    -- Need ON
        drx-Config              DRX-Config          OPTIONAL,    -- Cond DRX-r8
        timeAlignmentTimerDedicated TimeAlignmentTimer,
        phr-Config              CHOICE {
            release              NULL,
            setup                 SEQUENCE {
                periodicPHR-Timer ENUMERATED {sf10, sf20, sf50, sf100, sf200,
                    sf500, sf1000, infinity},
                prohibitPHR-Timer ENUMERATED {sf0, sf10, sf20, sf50, sf100,
                    sf200, sf500, sf1000},
                dl-PathlossChange ENUMERATED {dB1, dB3, dB6, infinity}
            }
        }
    }                               OPTIONAL,    -- Need ON
-- ASN1STOP

```

```

...
[[ sr-ProhibitTimer-r9                INTEGER (0..7)                OPTIONAL    -- Need ON
]],
[[ mac-MainConfig-v1020                SEQUENCE {
    sCellDeactivationTimer-r10         ENUMERATED {
        rf2, rf4, rf8, rf16, rf32, rf64, rf128,
        spare}                   OPTIONAL,    -- Need OP
    extendedBSR-Sizes-r10             ENUMERATED {setup}          OPTIONAL,    -- Need OR
    extendedPHR-r10                   ENUMERATED {setup}          OPTIONAL,    -- Need OR
}
]],
[[ secTAG-ToReleaseList-r11           SectAG-ToReleaseList-r11     OPTIONAL,    -- Need ON
   secTAG-ToAddModList-r11           SectAG-ToAddModList-r11     OPTIONAL,    -- Need ON
   drx-Config-r11                    DRX-Config-r11              OPTIONAL,    -- Cond DRX-r11
]]
}

MAC-MainConfigSCell-r11 ::=          SEQUENCE {
   secTAG-Id-r11                      SectAG-Id-r11                OPTIONAL,    -- Need OP
   ...
}

DRX-Config ::=                       CHOICE {
   release                             NULL,
   setup                               SEQUENCE {
       onDurationTimer                ENUMERATED {
           psf1, psf2, psf3, psf4, psf5, psf6,
           psf8, psf10, psf20, psf30, psf40,
           psf50, psf60, psf80, psf100,
           psf200},
       drx-InactivityTimer            ENUMERATED {
           psf1, psf2, psf3, psf4, psf5, psf6,
           psf8, psf10, psf20, psf30, psf40,
           psf50, psf60, psf80, psf100,
           psf200, psf300, psf500, psf750,
           psf1280, psf1920, psf2560, psf0-v1020,
           spare9, spare8, spare7, spare6,
           spare5, spare4, spare3, spare2,
           spare1},
       drx-RetransmissionTimer        ENUMERATED {
           psf1, psf2, psf4, psf6, psf8, psf16,
           psf24, psf33},
       longDRX-CycleStartOffset      CHOICE {
           sf10                       INTEGER(0..9),
           sf20                       INTEGER(0..19),
           sf32                       INTEGER(0..31),
           sf40                       INTEGER(0..39),
           sf64                       INTEGER(0..63),
           sf80                       INTEGER(0..79),
           sf128                     INTEGER(0..127),
           sf160                     INTEGER(0..159),
           sf256                     INTEGER(0..255),
           sf320                     INTEGER(0..319),
           sf512                     INTEGER(0..511),
           sf640                     INTEGER(0..639),
           sf1024                    INTEGER(0..1023),
           sf1280                    INTEGER(0..1279),
           sf2048                    INTEGER(0..2047),
           sf2560                    INTEGER(0..2559)
       },
       shortDRX                      SEQUENCE {
           shortDRX-Cycle             ENUMERATED {
               sf2, sf5, sf8, sf10, sf16, sf20,
               sf32, sf40, sf64, sf80, sf128, sf160,
               sf256, sf320, sf512, sf640},
           drxShortCycleTimer         INTEGER (1..16)
       }
   }
}

DRX-Config-r11 ::=                   CHOICE {
   release                             NULL,
   setup                               SEQUENCE {
       onDurationTimer                ENUMERATED {
           psf1, psf2, psf3, psf4, psf5, psf6,
           psf8, psf10, psf20, psf30, psf40,
           psf50, psf60, psf80, psf100,
           psf200},

```



<b>MAC-MainConfig field descriptions</b>
<p><b>dl-PathlossChange</b> DL Pathloss Change and the change of the required power backoff due to power management (as allowed by P-MPRc [42]) for PHR reporting in TS 36.321 [6]. Value in dB. Value dB1 corresponds to 1 dB, dB3 corresponds to 3 dB and so on. The same value applies for each serving cell (although the associated functionality is performed independently for each cell).</p>
<p><b>drx-InactivityTimer</b> Timer for DRX in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on.</p>
<p><b>drx-RetransmissionTimer</b> Timer for DRX in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on.</p>
<p><b>drxShortCycleTimer</b> Timer for DRX in TS 36.321 [6]. Value in multiples of shortDRX-Cycle. A value of 1 corresponds to shortDRX-Cycle, a value of 2 corresponds to 2 * shortDRX-Cycle and so on.</p>
<p><b>extendedBSR-Sizes</b> If value <i>setup</i> is configured, the BSR index indicates extended BSR size levels as defined in TS 36.321 [6, Table 6.1.3.1-2].</p>
<p><b>extendedPHR</b> Indicates if power headroom shall be reported using the Extended Power Headroom Report MAC control element defined in TS 36.321 [6] (value <i>setup</i>). Otherwise the power headroom shall be reported using the Power Headroom Report MAC control element defined in TS 36.321 [6]. E-UTRAN always configures the value <i>setup</i> if more than one Serving Cell with uplink is configured.</p>
<p><b>longDRX-CycleStartOffset</b> <i>longDRX-Cycle</i> and <i>drxStartOffset</i> in TS 36.321 [6]. The value of <i>longDRX-Cycle</i> is in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. If <i>shortDRX-Cycle</i> is configured, the value of <i>longDRX-Cycle</i> shall be a multiple of the <i>shortDRX-Cycle</i> value. The value of <i>drxStartOffset</i> value is in number of sub-frames.</p>
<p><b>maxHARQ-Tx</b> Maximum number of transmissions for UL HARQ in TS 36.321 [6].</p>
<p><b>onDurationTimer</b> Timer for DRX in TS 36.321 [6]. Value in number of PDCCH sub-frames. Value psf1 corresponds to 1 PDCCH sub-frame, psf2 corresponds to 2 PDCCH sub-frames and so on.</p>
<p><b>periodicBSR-Timer</b> Timer for BSR reporting in TS 36.321 [6]. Value in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on.</p>
<p><b>periodicPHR-Timer</b> Timer for PHR reporting in TS 36.321 [6]. Value in number of sub-frames. Value sf10 corresponds to 10 subframes, sf20 corresponds to 20 subframes and so on.</p>
<p><b>prohibitPHR-Timer</b> Timer for PHR reporting in TS 36.321 [6]. Value in number of sub-frames. Value sf0 corresponds to 0 subframes, sf100 corresponds to 100 subframes and so on.</p>
<p><b>retxBSR-Timer</b> Timer for BSR reporting in TS 36.321 [6]. Value in number of sub-frames. Value sf640 corresponds to 640 sub-frames, sf1280 corresponds to 1280 sub-frames and so on.</p>
<p><b>sCellDeactivationTimer</b> SCell deactivation timer in TS 36.321 [6]. Value in number of radio frames. Value rf4 corresponds to 4 radio frames, value rf8 corresponds to 8 radio frames and so on. E-UTRAN only configures the field if the UE is configured with one or more SCells. If the field is absent, the UE shall delete any existing value for this field and assume the value to be set to <i>infinity</i>. The same value applies for each SCell (although the associated functionality is performed independently for each SCell).</p>
<p><b>secTAG-ToAddModList, secTAG-ToReleaseList</b> Used to configure one or more secondary TAGs. E-UTRAN ensures that a secondary TAG contains at least one SCell with configured uplink. If, as part of a reconfiguration that due to SCell release would result in an 'empty' TAG, E-UTRAN releases the concerned TAG.</p>
<p><b>shortDRX-Cycle</b> Short DRX cycle in TS 36.321 [6]. Value in number of sub-frames. Value sf2 corresponds to 2 sub-frames, sf5 corresponds to 5 subframes and so on.</p>
<p><b>sr-ProhibitTimer</b> Timer for SR transmission on PUCCH in TS 36.321 [6]. Value in number of SR period(s). Value 0 means no timer for SR transmission on PUCCH is configured. Value 1 corresponds to one SR period, Value 2 corresponds to 2*SR periods and so on.</p>
<p><b>secTAG-Id</b> Indicates the TAG of an SCell, see TS 36.321 [6]. If the field is not configured for an SCell (e.g. absent in <i>MAC-MainConfigSCell</i>), the SCell is part of the primary TAG.</p>
<p><b>timeAlignmentTimerSecTAG</b> Indicates the value of the time alignment timer for secondary TAGs, see TS 36.321 [6].</p>

**MAC-MainConfig field descriptions****ttiBundling**

TRUE indicates that TTI bundling TS 36.321 [6] is enabled while FALSE indicates that TTI bundling is disabled. TTI bundling can be enabled for FDD and for TDD only for configurations 0, 1 and 6. For TDD, E-UTRAN does not simultaneously enable TTI bundling and semi-persistent scheduling in this release of specification. Furthermore, E-UTRAN does not simultaneously configure TTI bundling and SCells with configured uplink.

Conditional presence	Explanation
<i>DRX-r8</i>	The field is optionally present, need ON, if <i>drx-Config-r11</i> is absent. Otherwise the field is not present.
<i>DRX-r11</i>	The field is optionally present, need ON, if <i>drx-Config</i> is absent. Otherwise the field is not present.

NOTE 1: It is FFS whether the new values introduced in *DRX-config-r11* are applicable for non-IDC UEs.

NOTE 2: It is FFS if a UE that is configured with the REL-8 version of *DRX-config* upon receiving the REL-11 version of this field first applies the default configuration before processing the received DRX configuration.

## – PDCP-Config

The IE *PDCP-Config* is used to set the configurable PDCP parameters for data radio bearers.

### PDCP-Config information element

```
-- ASN1START
PDCP-Config ::=
    discardTimer                SEQUENCE {
        ENUMERATED {
            ms50, ms100, ms150, ms300, ms500,
            ms750, ms1500, infinity
        }
        OPTIONAL,                -- Cond Setup
    }
    rlc-AM                      SEQUENCE {
        statusReportRequired    BOOLEAN
    }
        OPTIONAL,                -- Cond Rlc-AM
    rlc-UM                      SEQUENCE {
        pdcp-SN-Size            ENUMERATED {len7bits, len12bits}
    }
        OPTIONAL,                -- Cond Rlc-UM
    headerCompression           CHOICE {
        notUsed                NULL,
        rohc                   SEQUENCE {
            maxCID              INTEGER (1..16383)                DEFAULT 15,
            profiles            SEQUENCE {
                profile0x0001    BOOLEAN,
                profile0x0002    BOOLEAN,
                profile0x0003    BOOLEAN,
                profile0x0004    BOOLEAN,
                profile0x0006    BOOLEAN,
                profile0x0101    BOOLEAN,
                profile0x0102    BOOLEAN,
                profile0x0103    BOOLEAN,
                profile0x0104    BOOLEAN
            }
        }
    },
    ...
},
...,
[[ rn-IntegrityProtection-r10  ENUMERATED {enabled}    OPTIONAL  -- Cond RN
]],
[[ pdcp-SN-Size-v11x0          ENUMERATED {len15bits}  OPTIONAL  -- Cond Rlc-AM2
]]
}
-- ASN1STOP
```

<b>PDCP-Config field descriptions</b>
<p><b>discardTimer</b> Indicates the discard timer value specified in TS 36.323 [8]. Value in milliseconds. Value ms50 means 50 ms, ms100 means 100 ms and so on.</p>
<p><b>maxCID</b> Indicates the value of the MAX_CID parameter as specified in TS 36.323 [8].</p>
<p><b>pdcp-SN-Size</b> Indicates the PDCP Sequence Number length in bits. For RLC UM: value <i>len7bits</i> means that the 7-bit PDCP SN format is used and <i>len12bits</i> means that the 12-bit PDCP SN format is used. For RLC AM: value <i>len15bits</i> means that the 15-bit PDCP SN format is used, otherwise if the field is not included 12-bit PDCP SN format is used, as specified in TS 36.323 [8].</p>
<p><b>profiles</b> The profiles used by both compressor and decompressor in both UE and E-UTRAN. The field indicates which of the ROHC profiles specified in TS 36.323 [8] are supported, i.e. value <i>true</i> indicates that the profile is supported. Profile 0x0000 shall always be supported when the use of ROHC is configured. If support of two ROHC profile identifiers with the same 8 LSB's is signalled, only the profile corresponding to the highest value shall be applied.</p>
<p><b>rn-IntegrityProtection</b> Indicates that integrity protection or verification shall be applied for all subsequent packets received and sent by the RN on the DRB.</p>
<p><b>statusReportRequired</b> Indicates whether or not the UE shall send a PDCP Status Report upon re-establishment of the PDCP entity as specified in TS 36.323 [8].</p>

<b>Conditional presence</b>	<b>Explanation</b>
<i>Rlc-AM</i>	The field is mandatory present upon setup of a PDCP entity for a radio bearer configured with RLC AM. The field is optional, need ON, in case of reconfiguration of a PDCP entity at handover for a radio bearer configured with RLC AM. Otherwise the field is not present.
<i>Rlc-AM2</i>	The field is optionally present, need OR, upon setup of a PDCP entity for a radio bearer configured with RLC AM. Otherwise the field is not present.
<i>Rlc-UM</i>	The field is mandatory present upon setup of a PDCP entity for a radio bearer configured with RLC UM. Otherwise the field is not present.
<i>RN</i>	The field is optionally present when signalled to the RN, need OR. Otherwise the field is not present.
<i>Setup</i>	The field is mandatory present in case of radio bearer setup. Otherwise the field is optionally present, need ON.

### – PDSCH-Config

The IE *PDSCH-ConfigCommon* and the IE *PDSCH-ConfigDedicated* are used to specify the common and the UE specific PDSCH configuration respectively.

#### **PDSCH-Config information element**

```

-- ASN1START
PDSCH-ConfigCommon ::= SEQUENCE {
    referenceSignalPower    INTEGER (-60..50),
    p-b                    INTEGER (0..3)
}
PDSCH-ConfigDedicated ::= SEQUENCE {
    p-a                    ENUMERATED {
        dB-6, dB-4dot77, dB-3, dB-1dot77,
        dB0, dB1, dB2, dB3}
}
-- ASN1STOP

```

<b>PDSCH-Config field descriptions</b>
<b>p-a</b> Parameter: $P_A$ , see TS 36.213 [23, 5.2]. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc.
<b>p-b</b> Parameter: $P_B$ , see TS 36.213 [23, Table 5.2-1].
<b>referenceSignalPower</b> Parameter: <i>Reference-signal power</i> , which provides the downlink reference-signal EPRE, see TS 36.213 [23, 5.2]. The actual value in dBm.

## – PHICH-Config

The IE *PHICH-Config* is used to specify the PHICH configuration.

### **PHICH-Config information element**

```
-- ASN1START
PHICH-Config ::=
    SEQUENCE {
        phich-Duration      ENUMERATED {normal, extended},
        phich-Resource      ENUMERATED {oneSixth, half, one, two}
    }
-- ASN1STOP
```

<b>PHICH-Config field descriptions</b>
<b>phich-Duration</b> Parameter: <i>PHICH-Duration</i> , see TS 36.211 [21, Table 6.9.3-1].
<b>phich-Resource</b> Parameter: $N_g$ , see TS 36.211 [21, 6.9]. Value oneSixth corresponds to 1/6, half corresponds to 1/2 and so on.

## – PhysicalConfigDedicated

The IE *PhysicalConfigDedicated* is used to specify the UE specific physical channel configuration.

### **PhysicalConfigDedicated information element**

```
-- ASN1START
PhysicalConfigDedicated ::=
    SEQUENCE {
        pdsch-ConfigDedicated      PDSCH-ConfigDedicated      OPTIONAL,      -- Need ON
        pucch-ConfigDedicated      PUCCH-ConfigDedicated      OPTIONAL,      -- Need ON
        pusoch-ConfigDedicated      PUSCH-ConfigDedicated      OPTIONAL,      -- Need ON
        uplinkPowerControlDedicated UplinkPowerControlDedicated OPTIONAL,      -- Need ON
        tpc-PDCCH-ConfigPUCCH      TPC-PDCCH-Config      OPTIONAL,      -- Need ON
        tpc-PDCCH-ConfigPUSCH      TPC-PDCCH-Config      OPTIONAL,      -- Need ON
        cqi-ReportConfig            CQI-ReportConfig      OPTIONAL,      -- Cond CQI-
r8
        soundingRS-UL-ConfigDedicated SoundingRS-UL-ConfigDedicated OPTIONAL,      -- Need ON
        antennaInfo
            CHOICE {
                explicitValue      AntennaInfoDedicated,
                defaultValue        NULL
            } OPTIONAL,      -- Cond AI-r8
        schedulingRequestConfig      SchedulingRequestConfig      OPTIONAL,      -- Need ON
        ...,
        [[ cqi-ReportConfig-v920      CQI-ReportConfig-v920      OPTIONAL,      -- Cond CQI-
r8
        antennaInfo-v920              AntennaInfoDedicated-v920      OPTIONAL,      -- Cond AI-
r8
    ]],
        [[ antennaInfo-r10
            CHOICE {
                explicitValue-r10    AntennaInfoDedicated-r10,
                defaultValue          NULL
            } OPTIONAL,      -- Cond AI-r10
        antennaInfoUL-r10            AntennaInfoUL-r10            OPTIONAL,      -- Need ON
        cif-Presence-r10              BOOLEAN                      OPTIONAL,      -- Need ON
        cqi-ReportConfig-r10          CQI-ReportConfig-r10          OPTIONAL,      -- Cond CQI-
r10
```

```

csi-RS-Config-r10          CSI-RS-Config-r10          OPTIONAL,    -- Need ON
pucch-ConfigDedicated-v1020 PUCCH-ConfigDedicated-v1020 OPTIONAL,    -- Need ON
pusch-ConfigDedicated-v1020 PUSCH-ConfigDedicated-v1020 OPTIONAL,    -- Need ON
schedulingRequestConfig-v1020 SchedulingRequestConfig-v1020 OPTIONAL,    -- Need ON
soundingRS-UL-ConfigDedicated-v1020
    SoundingRS-UL-ConfigDedicated-v1020 OPTIONAL,    -- Need ON
soundingRS-UL-ConfigDedicatedAperiodic-r10
    SoundingRS-UL-ConfigDedicatedAperiodic-r10 OPTIONAL,    -- Need ON
uplinkPowerControlDedicated-v1020 UplinkPowerControlDedicated-v1020 OPTIONAL -- Need
ON
]],
[[ additionalSpectrumEmissionCA-r10          CHOICE {
    release          NULL,
    setup           SEQUENCE {
        additionalSpectrumEmissionPCell-r10 AdditionalSpectrumEmission
    }
} OPTIONAL -- Need ON
]]
}

PhysicalConfigDedicatedSCell-r10 ::= SEQUENCE {
    -- DL configuration as well as configuration applicable for DL and UL
    nonUL-Configuration-r10 SEQUENCE {
        antennaInfo-r10          AntennaInfoDedicated-r10 OPTIONAL, -- Need ON
        crossCarrierSchedulingConfig-r10 CrossCarrierSchedulingConfig-r10 OPTIONAL, --
Need ON
        csi-RS-Config-r10          CSI-RS-Config-r10          OPTIONAL, -- Need ON
        pdsch-ConfigDedicated-r10 PDSCH-ConfigDedicated OPTIONAL -- Need ON
    } OPTIONAL, -- Cond SCellAdd
    -- UL configuration
    ul-Configuration-r10 SEQUENCE {
        antennaInfoUL-r10          AntennaInfoUL-r10          OPTIONAL, -- Need ON
        pusch-ConfigDedicatedSCell-r10 PUSCH-ConfigDedicatedSCell-r10 OPTIONAL, --
Need ON
        uplinkPowerControlDedicatedSCell-r10 UplinkPowerControlDedicatedSCell-r10 OPTIONAL, --
Need ON
        cqi-ReportConfigSCell-r10 CQI-ReportConfigSCell-r10 OPTIONAL, -- Need ON
        soundingRS-UL-ConfigDedicated-r10 SoundingRS-UL-ConfigDedicated OPTIONAL, -- Need
ON
        soundingRS-UL-ConfigDedicated-v1020
            SoundingRS-UL-ConfigDedicated-v1020 OPTIONAL, -- Need ON
        soundingRS-UL-ConfigDedicatedAperiodic-r10
            SoundingRS-UL-ConfigDedicatedAperiodic-r10 OPTIONAL -- Need ON
    } OPTIONAL, -- Cond CommonUL
    ...
}
-- ASN1STOP

```

#### **PhysicalConfigDedicated field descriptions**

##### **additionalSpectrumEmissionPCell**

The UE requirements related to IE *AdditionalSpectrumEmissionPCell* are defined in TS 36.101 [42]. EUTRAN does not configure *AdditionalSpectrumEmissionPCell* if there are no other serving cells configured. E-UTRAN does not configure the field in case of contiguous intra-band carrier aggregation.

##### **antennaInfo**

A choice is used to indicate whether the *antennaInfo* is signalled explicitly or set to the default antenna configuration as specified in section 9.2.4.

##### **tpc-PDCCH-ConfigPUCCH**

PDCCH configuration for power control of PUCCH using format 3/3A, see TS 36.212 [22].

##### **tpc-PDCCH-ConfigPUSCH**

PDCCH configuration for power control of PUSCH using format 3/3A, see TS 36.212 [22].

Conditional presence	Explanation
<i>AI-r8</i>	The field is optionally present, need ON, if <i>antennaInfoDedicated-r10</i> is absent. Otherwise the field is not present
<i>AI-r10</i>	The field is optionally present, need ON, if <i>antennaInfoDedicated</i> is absent. Otherwise the field is not present
<i>CommonUL</i>	The field is mandatory present if <i>ul-Configuration of RadioResourceConfigCommonSCell-r10</i> is present; otherwise it is optional, need ON.
<i>CQI-r8</i>	The field is optionally present, need ON, if <i>cqi-ReportConfig-r10</i> is absent. Otherwise the field is not present
<i>CQI-r10</i>	The field is optionally present, need ON, if <i>cqi-ReportConfig</i> is absent. Otherwise the field is not present
<i>SCellAdd</i>	The field is mandatory present if <i>cellIdentification</i> is present; otherwise it is optional, need ON.

NOTE 1: During handover, the UE performs a MAC reset, which involves reverting to the default CQI/ SRS/ SR configuration in accordance with subclause 5.3.13 and TS 36.321 [6, 5.9 & 5.2]. Hence, for these parts of the dedicated radio resource configuration, the default configuration (rather than the configuration used in the source PCell) is used as the basis for the delta signalling that is included in the message used to perform handover.

NOTE 2: Since delta signalling is not supported for the common SCell configuration, E-UTRAN can only add or release the uplink of an SCell by releasing and adding the concerned SCell.

## – *P-Max*

The IE *P-Max* is used to limit the UE's uplink transmission power on a carrier frequency and is used to calculate the parameter *Pcompensation* defined in TS 36.304 [4]. Corresponds to parameter  $P_{EMAX}$  or  $P_{EMAX,c}$  in TS 36.101 [42]. The UE transmit power on one serving cell shall not exceed the configured maximum UE output power of the serving cell determined by this value as specified in TS 36.101 [42, 6.2.5 or 6.2.5A].

### *P-Max* information element

```
-- ASN1START
P-Max ::=
    INTEGER (-30..33)
-- ASN1STOP
```

## – *PRACH-Config*

The IE *PRACH-ConfigSIB* and IE *PRACH-Config* are used to specify the PRACH configuration in the system information and in the mobility control information, respectively.

### *PRACH-Config* information elements

```
-- ASN1START
PRACH-ConfigSIB ::=
    SEQUENCE {
        rootSequenceIndex
            INTEGER (0..837),
        prach-ConfigInfo
            PRACH-ConfigInfo
    }

PRACH-Config ::=
    SEQUENCE {
        rootSequenceIndex
            INTEGER (0..837),
        prach-ConfigInfo
            PRACH-ConfigInfo OPTIONAL -- Need ON
    }

PRACH-ConfigSCell-r10 ::=
    SEQUENCE {
        prach-ConfigIndex-r10
            INTEGER (0..63)
    }

PRACH-ConfigInfo ::=
    SEQUENCE {
        prach-ConfigIndex
            INTEGER (0..63),
        highSpeedFlag
            BOOLEAN,
        zeroCorrelationZoneConfig
            INTEGER (0..15),
        prach-FreqOffset
            INTEGER (0..94)
    }
-- ASN1STOP
```

```
-- ASN1STOP
```

#### PRACH-Config field descriptions

<b>highSpeedFlag</b> Parameter: High-speed-flag, see TS 36.211, [21, 5.7.2]. TRUE corresponds to Restricted set and FALSE to Unrestricted set.
<b>prach-ConfigIndex</b> Parameter: <i>prach-ConfigurationIndex</i> , see TS 36.211 [21, 5.7.1].
<b>prach-FreqOffset</b> Parameter: <i>prach-FrequencyOffset</i> , see TS 36.211, [21, 5.7.1]. For TDD the value range is dependent on the value of <i>prach-ConfigIndex</i> .
<b>rootSequenceIndex</b> Parameter: <i>RACH_ROOT_SEQUENCE</i> , see TS 36.211 [21, 5.7.1].
<b>zeroCorrelationZoneConfig</b> Parameter: <i>N<sub>CS</sub></i> configuration, see TS 36.211, [21, 5.7.2: table 5.7.2-2] for preamble format 0..3 and TS 36.211, [21, 5.7.2: table 5.7.2-3] for preamble format 4.

#### – PresenceAntennaPort1

The IE *PresenceAntennaPort1* is used to indicate whether all the neighbouring cells use Antenna Port 1. When set to *TRUE*, the UE may assume that at least two cell-specific antenna ports are used in all neighbouring cells.

#### PresenceAntennaPort1 information element

```
-- ASN1START
PresenceAntennaPort1 ::=          BOOLEAN
-- ASN1STOP
```

#### – PUCCH-Config

The IE *PUCCH-ConfigCommon* and IE *PUCCH-ConfigDedicated* are used to specify the common and the UE specific PUCCH configuration respectively.

#### PUCCH-Config information elements

```
-- ASN1START
PUCCH-ConfigCommon ::=          SEQUENCE {
    deltaPUCCH-Shift              ENUMERATED {ds1, ds2, ds3},
    nRB-CQI                       INTEGER (0..98),
    nCS-AN                        INTEGER (0..7),
    n1PUCCH-AN                    INTEGER (0..2047)
}

PUCCH-ConfigDedicated ::=       SEQUENCE {
    ackNackRepetition             CHOICE {
        release                    NULL,
        setup                      SEQUENCE {
            repetitionFactor        ENUMERATED {n2, n4, n6, spare1},
            n1PUCCH-AN-Rep          INTEGER (0..2047)
        }
    },
    tdd-AckNackFeedbackMode       ENUMERATED {bundling, multiplexing} OPTIONAL -- Cond TDD
}

PUCCH-ConfigDedicated-v1020 ::= SEQUENCE {
    pucch-Format-r10              CHOICE {
        format3-r10                SEQUENCE {
            n3PUCCH-AN-List-r10    SEQUENCE (SIZE (1..4)) OF INTEGER (0..549) OPTIONAL, -- Need ON
            twoAntennaPortActivatedPUCCH-Format3-r10 CHOICE {
                release              NULL,
                setup                SEQUENCE {
                    n3PUCCH-AN-ListP1-r10 SEQUENCE (SIZE (1..4)) OF INTEGER (0..549)
                }
            }
        }
    }
}
-- Need ON
```



Conditional presence	Explanation
<i>TDD</i>	The field is mandatory present for TDD if the <i>pucch-Format</i> is not present. If the <i>pucch-Format</i> is present, the field is not present. It is not present for FDD and the UE shall delete any existing value for this field.

## – PUSCH-Config

The IE *PUSCH-ConfigCommon* is used to specify the common PUSCH configuration and the reference signal configuration for PUSCH and PUCCH. The IE *PUSCH-ConfigDedicated* is used to specify the UE specific PUSCH configuration.

### *PUSCH-Config* information element

```
-- ASN1START

PUSCH-ConfigCommon ::=
    SEQUENCE {
        pusch-ConfigBasic
            SEQUENCE {
                n-SB
                    INTEGER (1..4),
                hoppingMode
                    ENUMERATED {interSubFrame, intraAndInterSubFrame},
                pusch-HoppingOffset
                    INTEGER (0..98),
                enable64QAM
                    BOOLEAN
            },
        ul-ReferenceSignalsPUSCH
            UL-ReferenceSignalsPUSCH
    }

PUSCH-ConfigDedicated ::=
    SEQUENCE {
        betaOffset-ACK-Index
            INTEGER (0..15),
        betaOffset-RI-Index
            INTEGER (0..15),
        betaOffset-CQI-Index
            INTEGER (0..15)
    }

PUSCH-ConfigDedicated-v1020 ::=
    SEQUENCE {
        betaOffsetMC-r10
            SEQUENCE {
                betaOffset-ACK-Index-MC-r10
                    INTEGER (0..15),
                betaOffset-RI-Index-MC-r10
                    INTEGER (0..15),
                betaOffset-CQI-Index-MC-r10
                    INTEGER (0..15)
            }
            OPTIONAL, -- Need OR
        groupHoppingDisabled-r10
            ENUMERATED {true}
            OPTIONAL, -- Need OR
        dmrs-WithOCC-Activated-r10
            ENUMERATED {true}
            OPTIONAL -- Need OR
    }

PUSCH-ConfigDedicatedSCell-r10 ::=
    SEQUENCE {
        groupHoppingDisabled-r10
            ENUMERATED {true}
            OPTIONAL, -- Need OR
        dmrs-WithOCC-Activated-r10
            ENUMERATED {true}
            OPTIONAL -- Need OR
    }

UL-ReferenceSignalsPUSCH ::=
    SEQUENCE {
        groupHoppingEnabled
            BOOLEAN,
        groupAssignmentPUSCH
            INTEGER (0..29),
        sequenceHoppingEnabled
            BOOLEAN,
        cyclicShift
            INTEGER (0..7)
    }

-- ASN1STOP
```

<b>PUSCH-Config field descriptions</b>
<p><b>betaOffset-ACK-Index, betaOffset-ACK-Index-MC</b></p> <p>Parameter: <math>I_{offset}^{HARQ-ACK}</math>, for single- and multiple-codeword respectively, see TS 36.213 [23, Table 8.6.3-1]. One value applies for all serving cells with an uplink (the associated functionality is common i.e. not performed independently for each cell).</p>
<p><b>betaOffset-CQI-Index, betaOffset-CQI-Index-MC</b></p> <p>Parameter: <math>I_{offset}^{CQI}</math>, for single- and multiple-codeword respectively, see TS 36.213 [23, Table 8.6.3-3]. One value applies for all serving cells with an uplink (the associated functionality is common i.e. not performed independently for each cell).</p>
<p><b>betaOffset-RI-Index, betaOffset-RI-Index-MC</b></p> <p>Parameter: <math>I_{offset}^{RI}</math>, for single- and multiple-codeword respectively, see TS 36.213 [23, Table 8.6.3-2]. One value applies for all serving cells with an uplink (the associated functionality is common i.e. not performed independently for each cell).</p>
<p><b>cyclicShift</b></p> <p>Parameters: <i>cyclicShift</i>, see TS 36.211 [21, Table 5.5.2.1.1-2].</p>
<p><b>dmrs-WithOCC-Activated</b></p> <p>Parameter: <i>Activate-DMRS-with OCC</i>, see TS 36.211 [21, 5.5.2.1].</p>
<p><b>enable64QAM</b></p> <p>See TS 36.213 [23, 8.6.1]. TRUE indicates that 64QAM is allowed while FALSE indicates that 64QAM is not allowed.</p>
<p><b>groupAssignmentPUSCH</b></p> <p>Parameter: <math>\Delta SS</math> See TS 36.211 [21, 5.5.1.3].</p>
<p><b>groupHoppingDisabled</b></p> <p>Parameter: <i>Disable-sequence-group-hopping</i>, see TS 36.211 [21, 5.5.1.3].</p>
<p><b>groupHoppingEnabled</b></p> <p>Parameter: <i>Group-hopping-enabled</i>, see TS 36.211 [21, 5.5.1.3].</p>
<p><b>hoppingMode</b></p> <p>Parameter: <i>Hopping-mode</i>, see TS 36.211 [21, 5.3.4].</p>
<p><b>n-SB</b></p> <p>Parameter: <math>N_{sb}</math> see TS 36.211 [21, 5.3.4].</p>
<p><b>pusch-hoppingOffset</b></p> <p>Parameter: <math>N_{RB}^{HO}</math>, see TS 36.211 [21, 5.3.4].</p>
<p><b>sequenceHoppingEnabled</b></p> <p>Parameter: <i>Sequence-hopping-enabled</i>, see TS 36.211 [21, 5.5.1.4].</p>
<p><b>ul-ReferenceSignalsPUSCH</b></p> <p>Used to specify parameters needed for the transmission on PUSCH (or PUCCH).</p>

## – RACH-ConfigCommon

The IE *RACH-ConfigCommon* is used to specify the generic random access parameters.

### RACH-ConfigCommon information element

```

-- ASN1START
RACH-ConfigCommon ::= SEQUENCE {
    preambleInfo SEQUENCE {
        numberOfRA-Preambles ENUMERATED {
            n4, n8, n12, n16, n20, n24, n28,
            n32, n36, n40, n44, n48, n52, n56,
            n60, n64},
        preamblesGroupAConfig SEQUENCE {
            sizeOfRA-PreamblesGroupA ENUMERATED {
                n4, n8, n12, n16, n20, n24, n28,
                n32, n36, n40, n44, n48, n52, n56,
                n60},
            messageSizeGroupA ENUMERATED {b56, b144, b208, b256},
            messagePowerOffsetGroupB ENUMERATED {
                minusinfinity, dB0, dB5, dB8, dB10, dB12,
                dB15, dB18},
            ...
        } OPTIONAL -- Need OP
    },
    powerRampingParameters PowerRampingParameters,
    ra-SupervisionInfo SEQUENCE {
        preambleTransMax

```

```

    ra-ResponseWindowSize      ENUMERATED {
                                sf2, sf3, sf4, sf5, sf6, sf7,
                                sf8, sf10},
    mac-ContentionResolutionTimer  ENUMERATED {
                                sf8, sf16, sf24, sf32, sf40, sf48,
                                sf56, sf64}
},
maxHARQ-Msg3Tx                INTEGER (1..8),
...
}

RACH-ConfigCommonSCell-r11 ::= SEQUENCE {
    powerRampingParameters      PowerRampingParameters,
    ra-SupervisionInfo          SEQUENCE {
        preambleTransMax        PreambleTransMax
    },
    ...
}

PowerRampingParameters ::= SEQUENCE {
    powerRampingStep            ENUMERATED {dB0, dB2, dB4, dB6},
    preambleInitialReceivedTargetPower  ENUMERATED {
        dBm-120, dBm-118, dBm-116, dBm-114, dBm-112,
        dBm-110, dBm-108, dBm-106, dBm-104, dBm-102,
        dBm-100, dBm-98, dBm-96, dBm-94,
        dBm-92, dBm-90}
}

PreambleTransMax ::=          ENUMERATED {
                                n3, n4, n5, n6, n7, n8, n10, n20, n50,
                                n100, n200}

-- ASN1STOP

```

#### RACH-ConfigCommon field descriptions

<b>mac-ContentionResolutionTimer</b>
Timer for contention resolution in TS 36.321 [6]. Value in subframes. Value sf8 corresponds to 8 subframes, sf16 corresponds to 16 subframes and so on.
<b>maxHARQ-Msg3Tx</b>
Maximum number of Msg3 HARQ transmissions in TS 36.321 [6], used for contention based random access. Value is an integer.
<b>messagePowerOffsetGroupB</b>
Threshold for preamble selection in TS 36.321 [6]. Value in dB. Value minusinfinity corresponds to -infinity. Value dB0 corresponds to 0 dB, dB5 corresponds to 5 dB and so on.
<b>messageSizeGroupA</b>
Threshold for preamble selection in TS 36.321 [6]. Value in bits. Value b56 corresponds to 56 bits, b144 corresponds to 144 bits and so on.
<b>numberOfRA-Preambles</b>
Number of non-dedicated random access preambles in TS 36.321 [6]. Value is an integer. Value n4 corresponds to 4, n8 corresponds to 8 and so on.
<b>powerRampingStep</b>
Power ramping factor in TS 36.321 [6]. Value in dB. Value dB0 corresponds to 0 dB, dB2 corresponds to 2 dB and so on.
<b>preambleInitialReceivedTargetPower</b>
Initial preamble power in TS 36.321 [6]. Value in dBm. Value dBm-120 corresponds to -120 dBm, dBm-118 corresponds to -118 dBm and so on.
<b>preamblesGroupAConfig</b>
Provides the configuration for preamble grouping in TS 36.321 [6]. If the field is not signalled, the size of the random access preambles group A [6] is equal to <i>numberOfRA-Preambles</i> .
<b>preambleTransMax</b>
Maximum number of preamble transmission in TS 36.321 [6]. Value is an integer. Value n3 corresponds to 3, n4 corresponds to 4 and so on.
<b>ra-ResponseWindowSize</b>
Duration of the RA response window in TS 36.321 [6]. Value in subframes. Value sf2 corresponds to 2 subframes, sf3 corresponds to 3 subframes and so on. The same value applies for each serving cell (although the associated functionality is performed independently for each cell).
<b>sizeOfRA-PreamblesGroupA</b>
Size of the random access preambles group A in TS 36.321 [6]. Value is an integer. Value n4 corresponds to 4, n8 corresponds to 8 and so on.

– *RACH-ConfigDedicated*

The IE *RACH-ConfigDedicated* is used to specify the dedicated random access parameters.

**RACH-ConfigDedicated information element**

```
-- ASN1START
RACH-ConfigDedicated ::= SEQUENCE {
    ra-PreambleIndex      INTEGER (0..63),
    ra-PRACH-MaskIndex    INTEGER (0..15)
}
-- ASN1STOP
```

**RACH-ConfigDedicated field descriptions**

<b>ra-PRACH-MaskIndex</b> Explicitly signalled PRACH Mask Index for RA Resource selection in TS 36.321 [6].
<b>ra-PreambleIndex</b> Explicitly signalled Random Access Preamble for RA Resource selection in TS 36.321 [6].

– *RadioResourceConfigCommon*

The IE *RadioResourceConfigCommonSIB* and IE *RadioResourceConfigCommon* are used to specify common radio resource configurations in the system information and in the mobility control information, respectively, e.g., the random access parameters and the static physical layer parameters.

**RadioResourceConfigCommon information element**

```
-- ASN1START
RadioResourceConfigCommonSIB ::= SEQUENCE {
    rach-ConfigCommon      RACH-ConfigCommon,
    bccch-Config           BCCH-Config,
    pcch-Config           PCCH-Config,
    prach-Config          PRACH-ConfigSIB,
    pdsch-ConfigCommon    PDSCH-ConfigCommon,
    pusch-ConfigCommon    PUSCH-ConfigCommon,
    pucch-ConfigCommon    PUCCH-ConfigCommon,
    soundingRS-UL-ConfigCommon SoundingRS-UL-ConfigCommon,
    uplinkPowerControlCommon UplinkPowerControlCommon,
    ul-CyclicPrefixLength UL-CyclicPrefixLength,
    ...,
    [[ uplinkPowerControlCommon-v1020 UplinkPowerControlCommon-v1020 OPTIONAL -- Need OR
    ]]
}

RadioResourceConfigCommon ::= SEQUENCE {
    rach-ConfigCommon      RACH-ConfigCommon OPTIONAL, -- Need ON
    prach-Config          PRACH-Config,
    pdsch-ConfigCommon    PDSCH-ConfigCommon OPTIONAL, -- Need ON
    pusch-ConfigCommon    PUSCH-ConfigCommon,
    phich-Config          PHICH-Config OPTIONAL, -- Need ON
    pucch-ConfigCommon    PUCCH-ConfigCommon OPTIONAL, -- Need ON
    soundingRS-UL-ConfigCommon SoundingRS-UL-ConfigCommon OPTIONAL, -- Need ON
    uplinkPowerControlCommon UplinkPowerControlCommon OPTIONAL, -- Need ON
    antennaInfoCommon     AntennaInfoCommon OPTIONAL, -- Need ON
    p-Max                 P-Max OPTIONAL, -- Need OP
    tdd-Config            TDD-Config OPTIONAL, -- Cond TDD
    ul-CyclicPrefixLength UL-CyclicPrefixLength,
    ...,
    [[ uplinkPowerControlCommon-v1020 UplinkPowerControlCommon-v1020 OPTIONAL -- Need ON
    ]],
    [[ tdd-Config-v11xy      TDD-Config-v11xy OPTIONAL -- Cond TDD-OR
    ]]
}

RadioResourceConfigCommonSCell-r10 ::= SEQUENCE {
    -- DL configuration as well as configuration applicable for DL and UL
    nonUL-Configuration-r10 SEQUENCE {
        -- 1: Cell characteristics
```

```

dl-Bandwidth-r10          ENUMERATED {n6, n15, n25, n50, n75, n100},
-- 2: Physical configuration, general
antennaInfoCommon-r10    AntennaInfoCommon,
mbsfn-SubframeConfigList-r10 MBSFN-SubframeConfigList    OPTIONAL,  -- Need OR
-- 3: Physical configuration, control
phich-Config-r10         PHICH-Config,
-- 4: Physical configuration, physical channels
pdsch-ConfigCommon-r10  PDSCH-ConfigCommon,
tdd-Config-r10          TDD-Config          OPTIONAL  -- Cond
TDDSCell
},
-- UL configuration
ul-Configuration-r10     SEQUENCE {
  ul-FreqInfo-r10       SEQUENCE {
    ul-CarrierFreq-r10  ARFCN-ValueEUTRA          OPTIONAL,  -- Need OP
    ul-Bandwidth-r10    ENUMERATED {n6, n15,
                                     n25, n50, n75, n100}  OPTIONAL,  -- Need OP
    additionalSpectrumEmissionSCell-r10  AdditionalSpectrumEmission
  },
  p-Max-r10             P-Max          OPTIONAL,  -- Need OP
  uplinkPowerControlCommonSCell-r10  UplinkPowerControlCommonSCell-r10,
-- A special version of IE UplinkPowerControlCommon may be introduced
-- 3: Physical configuration, control
soundingRS-UL-ConfigCommon-r10  SoundingRS-UL-ConfigCommon,
ul-CyclicPrefixLength-r10  UL-CyclicPrefixLength,
-- 4: Physical configuration, physical channels
prach-ConfigSCell-r10      PRACH-ConfigSCell-r10    OPTIONAL,  -- Cond TDD-OR
OR-NoR11
}
pusch-ConfigCommon-r10    PUSCH-ConfigCommon          OPTIONAL,  -- Need OR
...,
[[ rach-ConfigCommonSCell-r11  RACH-ConfigCommonSCell-r11    OPTIONAL,  -- Cond UL
  prach-ConfigSCell-r11      PRACH-Config          OPTIONAL,  -- Cond UL
  tdd-Config-v11xy          TDD-Config-v11xy          OPTIONAL  -- Cond TDD-OR
]]
}
BCCH-Config ::=
SEQUENCE {
  modificationPeriodCoeff  ENUMERATED {n2, n4, n8, n16}
}
PCCH-Config ::=
SEQUENCE {
  defaultPagingCycle      ENUMERATED {
    rf32, rf64, rf128, rf256},
  nB                      ENUMERATED {
    fourT, twoT, oneT, halfT, quarterT, oneEighthT,
    oneSixteenthT, oneThirtySecondT}
}
UL-CyclicPrefixLength ::=
ENUMERATED {len1, len2}
-- ASN1STOP

```

<b>RadioResourceConfigCommon field descriptions</b>	
<b>additionalSpectrumEmissionSCell</b>	The UE requirements related to IE <i>AdditionalSpectrumEmissionSCell</i> are defined in TS 36.101 [42].
<b>defaultPagingCycle</b>	Default paging cycle, used to derive 'T' in TS 36.304 [4]. Value rf32 corresponds to 32 radio frames, rf64 corresponds to 64 radio frames and so on.
<b>modificationPeriodCoeff</b>	Actual modification period, expressed in number of radio frames= <i>modificationPeriodCoeff</i> * <i>defaultPagingCycle</i> . n2 corresponds to value 2, n4 corresponds to value 4, n8 corresponds to value 8 and n16 corresponds to value 16.
<b>nB</b>	Parameter: nB is used as one of parameters to derive the Paging Frame and Paging Occasion according to TS 36.304 [4]. Value in multiples of 'T' as defined in TS 36.304 [4]. A value of fourT corresponds to 4 * T, a value of twoT corresponds to 2 * T and so on.
<b>p-Max</b>	Pmax to be used in the target cell. If absent the UE applies the maximum power according to the UE capability.
<b>ul-Bandwidth</b>	Parameter: transmission bandwidth configuration, N <sub>RB</sub> , in uplink, see TS 36.101 [42, table 5.6-1]. Value n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on. If for FDD this parameter is absent, the uplink bandwidth is equal to the downlink bandwidth. For TDD this parameter is absent and it is equal to the downlink bandwidth.
<b>ul-CarrierFreq</b>	For FDD: If absent, the (default) value determined from the default TX-RX frequency separation defined in TS 36.101 [42, table 5.7.3-1] applies. For TDD: This parameter is absent and it is equal to the downlink frequency.
<b>UL-CyclicPrefixLength</b>	Parameter: Uplink cyclic prefix length see 36.211 [21, 5.2.1] where len1 corresponds to normal cyclic prefix and len2 corresponds to extended cyclic prefix.

<b>Conditional presence</b>	<b>Explanation</b>
<i>TDD</i>	The field is optional for TDD, Need ON; it is not present for FDD and the UE shall delete any existing value for this field.
<i>TDD-OR-NoR11</i>	If <i>prach-ConfigSCell-r11</i> is absent, the field is optional for TDD, Need OR. Otherwise the field is not present and the UE shall delete any existing value for this field.
<i>TDDSCell</i>	This field is mandatory present for TDD; it is not present for FDD and the UE shall delete any existing value for this field.
<i>UL</i>	If the SCell is part of the secondary TAG and if <i>ul-Configuration</i> is included, the field is optional, Need OR. Otherwise the field is not present and the UE shall delete any existing value for this field.

## – *RadioResourceConfigDedicated*

The IE *RadioResourceConfigDedicated* is used to setup/modify/release RBs, to modify the MAC main configuration, to modify the SPS configuration and to modify dedicated physical configuration.

### ***RadioResourceConfigDedicated* information element**

```
-- ASN1START
RadioResourceConfigDedicated ::=
    SEQUENCE {
        srb-ToAddModList          SRB-ToAddModList          OPTIONAL,      -- Cond HO-Conn
        drb-ToAddModList          DRB-ToAddModList          OPTIONAL,      -- Cond HO-
    toEUTRA
        drb-ToReleaseList         DRB-ToReleaseList         OPTIONAL,      -- Need ON
        mac-MainConfig            CHOICE {
            explicitValue         MAC-MainConfig,
            defaultValue          NULL
        } OPTIONAL,      -- Cond HO-
    toEUTRA2
        sps-Config                SPS-Config                OPTIONAL,      -- Need ON
        physicalConfigDedicated   PhysicalConfigDedicated   OPTIONAL,      -- Need ON
        . . .
        [[ rlf-TimersAndConstants-r9  RLF-TimersAndConstants-r9          OPTIONAL      -- Need ON
        ]],
        [[ measSubframePatternPCell-r10 MeasSubframePatternPCell-r10        OPTIONAL      -- Need ON
        ]],
        [[ neighCellsCRS-Info-r11      NeighCellsCRS-Info-r11              OPTIONAL      -- Need ON
        ]]
```

```

}
RadioResourceConfigDedicatedSCell-r10 ::= SEQUENCE {
  -- UE specific configuration extensions applicable for an SCell
  physicalConfigDedicatedSCell-r10    PhysicalConfigDedicatedSCell-r10    OPTIONAL,  -- Need
ON
  ...,
  [[ mac-MainConfigSCell-r11          MAC-MainConfigSCell-r11          OPTIONAL  -- Cond SCellAdd
  ]]
}
SRB-ToAddModList ::= SEQUENCE (SIZE (1..2)) OF SRB-ToAddMod
SRB-ToAddMod ::= SEQUENCE {
  srb-Identity          INTEGER (1..2),
  rlc-Config            CHOICE {
    explicitValue      RLC-Config,
    defaultValue      NULL
  } OPTIONAL,  -- Cond Setup
  logicalChannelConfig CHOICE {
    explicitValue      LogicalChannelConfig,
    defaultValue      NULL
  } OPTIONAL,  -- Cond Setup
  ...
}
DRB-ToAddModList ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-ToAddMod
DRB-ToAddMod ::= SEQUENCE {
  eps-BearerIdentity   INTEGER (0..15)          OPTIONAL,  -- Cond DRB-Setup
  drb-Identity         DRB-Identity,
  pdcp-Config          PDCP-Config              OPTIONAL,  -- Cond PDCP
  rlc-Config           RLC-Config              OPTIONAL,  -- Cond Setup
  logicalChannelIdentity INTEGER (3..10)        OPTIONAL,  -- Cond DRB-Setup
  logicalChannelConfig LogicalChannelConfig     OPTIONAL,  -- Cond Setup
  ...
}
DRB-ToReleaseList ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-Identity
MeasSubframePatternPCell-r10 ::= CHOICE {
  release              NULL,
  setup               MeasSubframePattern-r10
}
NeighCellsCRS-Info-r11 ::= CHOICE {
  release              NULL,
  setup               CRS-AssistanceInfoList-r11
}
CRS-AssistanceInfoList-r11 ::= SEQUENCE (SIZE (1.. maxCellReport)) OF CRS-AssistanceInfo
CRS-AssistanceInfo ::= SEQUENCE {
  physCellId-r11      PhysCellId,
  antennaPortsCount-r11 ENUMERATED {an1, an2, an4, spare1},
  mbsfn-SubframeConfigList-r11 MBSFN-SubframeConfigList
}
-- ASN1STOP

```

<b>RadioResourceConfigDedicated field descriptions</b>
<p><b>neighCellsCRSInfo</b> This field contains assistance information for UE to mitigate interference from CRS while performing RRM/RLM/CSI measurement or data demodulation. The UE forwards the received CRS assistance information to lower layers. When the received CRS assistance information is for a cell with CRS colliding with that of the CRS of the cell to measure, the UE may use the CRS assistance information to mitigate CRS interference (as specified in [FFS]) on the subframes indicated by <i>measSubframePatternPCell</i>, <i>measSubframePatternConfigNeigh</i> and <i>csi-MeasSubframeSet1</i>. Furthermore, the UE may use CRS assistance information to mitigate CRS interference from the cells in the IE for the demodulation purpose as specified in [FFS].</p>
<p><b>logicalChannelConfig</b> For SRBs a choice is used to indicate whether the logical channel configuration is signalled explicitly or set to the default logical channel configuration for SRB1 as specified in 9.2.1.1 or for SRB2 as specified in 9.2.1.2.</p>
<p><b>logicalChannelIdentity</b> The logical channel identity for both UL and DL.</p>
<p><b>mac-MainConfig</b> Although the ASN.1 includes a choice that is used to indicate whether the mac-MainConfig is signalled explicitly or set to the default MAC main configuration as specified in 9.2.2, EUTRAN does not apply "defaultValue".</p>
<p><b>measSubframePatternPCell</b> Time domain measurement resource restriction pattern for the PCell measurements (RSRP, RSRQ and the radio link monitoring).</p>
<p><b>physicalConfigDedicated</b> The default dedicated physical configuration is specified in 9.2.4.</p>
<p><b>rlc-Config</b> For SRBs a choice is used to indicate whether the RLC configuration is signalled explicitly or set to the values defined in the default RLC configuration for SRB1 in 9.2.1.1 or for SRB2 in 9.2.1.2. RLC AM is the only applicable RLC mode for SRB1 and SRB2. E-UTRAN does not reconfigure the RLC mode of DRBs except when a full configuration option is used, and may reconfigure the UM RLC SN field size only upon handover within E-UTRA or upon the first reconfiguration after RRC connection re-establishment.</p>
<p><b>sps-Config</b> The default SPS configuration is specified in 9.2.3. Except for handover or releasing SPS, E-UTRAN does not reconfigure <i>sps-Config</i> when there is a configured downlink assignment or a configured uplink grant (see 36.321 [6]).</p>
<p><b>srb-Identity</b> Value 1 is applicable for SRB1 only. Value 2 is applicable for SRB2 only.</p>

<b>Conditional presence</b>	<b>Explanation</b>
<i>DRB-Setup</i>	The field is mandatory present if the corresponding DRB is being set up; otherwise it is not present.
<i>HO-Conn</i>	The field is mandatory present in case of handover to E-UTRA or when the <i>fullConfig</i> is included in the <i>RRCCConnectionReconfiguration</i> message or in case of RRC connection establishment; otherwise the field is optionally present, need ON. Upon connection establishment/ re-establishment only SRB1 is applicable.
<i>HO-toEUTRA</i>	The field is mandatory present in case of handover to E-UTRA or when the <i>fullConfig</i> is included in the <i>RRCCConnectionReconfiguration</i> message; In case of RRC connection establishment and RRC connection re-establishment the field is not present; otherwise the field is optionally present, need ON.
<i>HO-toEUTRA2</i>	The field is mandatory present in case of handover to E-UTRA or when the <i>fullConfig</i> is included in the <i>RRCCConnectionReconfiguration</i> message; otherwise the field is optionally present, need ON.
<i>PDCP</i>	The field is mandatory present if the corresponding DRB is being setup; the field is optionally present, need ON, upon handover within E-UTRA and upon the first reconfiguration after re-establishment but in both these cases only when <i>fullConfig</i> is not included in the <i>RRCCConnectionReconfiguration</i> message; otherwise it is not present.
<i>SCellAdd</i>	The field is optionally present, need ON, upon SCell addition; otherwise it is not present.
<i>Setup</i>	The field is mandatory present if the corresponding SRB/DRB is being setup; otherwise the field is optionally present, need ON.

## – RLC-Config

The IE *RLC-Config* is used to specify the RLC configuration of SRBs and DRBs.

### **RLC-Config information element**

-- ASN1START

```

RLC-Config ::= CHOICE {
    am SEQUENCE {
        ul-AM-RLC UL-AM-RLC,
        dl-AM-RLC DL-AM-RLC
    },
    um-Bi-Directional SEQUENCE {
        ul-UM-RLC UL-UM-RLC,
        dl-UM-RLC DL-UM-RLC
    },
    um-Uni-Directional-UL SEQUENCE {
        ul-UM-RLC UL-UM-RLC
    },
    um-Uni-Directional-DL SEQUENCE {
        dl-UM-RLC DL-UM-RLC
    },
    ...
}

UL-AM-RLC ::= SEQUENCE {
    t-PollRetransmit T-PollRetransmit,
    pollPDU PollPDU,
    pollByte PollByte,
    maxRetxThreshold ENUMERATED {
        t1, t2, t3, t4, t6, t8, t16, t32}
}

DL-AM-RLC ::= SEQUENCE {
    t-Reordering T-Reordering,
    t-StatusProhibit T-StatusProhibit
}

UL-UM-RLC ::= SEQUENCE {
    sn-FieldLength SN-FieldLength
}

DL-UM-RLC ::= SEQUENCE {
    sn-FieldLength SN-FieldLength,
    t-Reordering T-Reordering
}

SN-FieldLength ::= ENUMERATED {size5, size10}

T-PollRetransmit ::= ENUMERATED {
    ms5, ms10, ms15, ms20, ms25, ms30, ms35,
    ms40, ms45, ms50, ms55, ms60, ms65, ms70,
    ms75, ms80, ms85, ms90, ms95, ms100, ms105,
    ms110, ms115, ms120, ms125, ms130, ms135,
    ms140, ms145, ms150, ms155, ms160, ms165,
    ms170, ms175, ms180, ms185, ms190, ms195,
    ms200, ms205, ms210, ms215, ms220, ms225,
    ms230, ms235, ms240, ms245, ms250, ms300,
    ms350, ms400, ms450, ms500, spare9, spare8,
    spare7, spare6, spare5, spare4, spare3,
    spare2, spare1}

PollPDU ::= ENUMERATED {
    p4, p8, p16, p32, p64, p128, p256, pInfinity}

PollByte ::= ENUMERATED {
    kB25, kB50, kB75, kB100, kB125, kB250, kB375,
    kB500, kB750, kB1000, kB1250, kB1500, kB2000,
    kB3000, kBInfinity, spare1}

T-Reordering ::= ENUMERATED {
    ms0, ms5, ms10, ms15, ms20, ms25, ms30, ms35,
    ms40, ms45, ms50, ms55, ms60, ms65, ms70,
    ms75, ms80, ms85, ms90, ms95, ms100, ms110,
    ms120, ms130, ms140, ms150, ms160, ms170,
    ms180, ms190, ms200, spare1}

T-StatusProhibit ::= ENUMERATED {
    ms0, ms5, ms10, ms15, ms20, ms25, ms30, ms35,
    ms40, ms45, ms50, ms55, ms60, ms65, ms70,
    ms75, ms80, ms85, ms90, ms95, ms100, ms105,
    ms110, ms115, ms120, ms125, ms130, ms135,
    ms140, ms145, ms150, ms155, ms160, ms165,
    ms170, ms175, ms180, ms185, ms190, ms195,
}

```

```
ms200, ms205, ms210, ms215, ms220, ms225,
ms230, ms235, ms240, ms245, ms250, ms300,
ms350, ms400, ms450, ms500, spare8, spare7,
spare6, spare5, spare4, spare3, spare2,
spare1}
```

```
-- ASN1STOP
```

#### RLC-Config field descriptions

<b>maxRetxThreshold</b>	Parameter for RLC AM in TS 36.322 [7]. Value t1 corresponds to 1 retransmission, t2 to 2 retransmissions and so on.
<b>pollByte</b>	Parameter for RLC AM in TS 36.322 [7]. Value kB25 corresponds to 25 kBytes, kB50 to 50 kBytes and so on. kBInfinity corresponds to an infinite amount of kBytes.
<b>pollPDU</b>	Parameter for RLC AM in TS 36.322 [7]. Value p4 corresponds to 4 PDUs, p8 to 8 PDUs and so on. pInfinity corresponds to an infinite number of PDUs.
<b>sn-FieldLength</b>	Indicates the UM RLC SN field size, see TS 36.322 [7], in bits. Value size5 means 5 bits, size10 means 10 bits.
<b>t-PollRetransmit</b>	Timer for RLC AM in TS 36.322 [7], in milliseconds. Value ms5 means 5ms, ms10 means 10ms and so on.
<b>t-Reordering</b>	Timer for reordering in TS 36.322 [7], in milliseconds. Value ms0 means 0ms, ms5 means 5ms and so on.
<b>t-StatusProhibit</b>	Timer for status reporting in TS 36.322 [7], in milliseconds. Value ms0 means 0ms, ms5 means 5ms and so on.

### – RLF-TimersAndConstants

The IE *RLF-TimersAndConstants* contains UE specific timers and constants applicable for UEs in RRC\_CONNECTED.

#### RLF-TimersAndConstants information element

```
-- ASN1START
RLF-TimersAndConstants-r9 ::= CHOICE {
  release          NULL,
  setup           SEQUENCE {
    t301-r9       ENUMERATED {
      ms100, ms200, ms300, ms400, ms600, ms1000, ms1500,
      ms2000},
    t310-r9       ENUMERATED {
      ms0, ms50, ms100, ms200, ms500, ms1000, ms2000},
    n310-r9       ENUMERATED {
      n1, n2, n3, n4, n6, n8, n10, n20},
    t311-r9       ENUMERATED {
      ms1000, ms3000, ms5000, ms10000, ms15000,
      ms20000, ms30000},
    n311-r9       ENUMERATED {
      n1, n2, n3, n4, n5, n6, n8, n10},
    ...
  }
}
-- ASN1STOP
```

#### RLF-TimersAndConstants field descriptions

<b>n3xy</b>	Constants are described in section 7.4. n1 corresponds with 1, n2 corresponds with 2 and so on.
<b>t3xy</b>	Timers are described in section 7.3. Value ms0 corresponds with 0 ms, ms50 corresponds with 50 ms and so on.

### – RN-SubframeConfig

The IE *RN-SubframeConfig* is used to specify the subframe configuration for an RN.

***RN-SubframeConfig information element***

```

-- ASN1START
RN-SubframeConfig-r10 ::= SEQUENCE {
  subframeConfigPattern-r10 CHOICE {
    subframeConfigPatternFDD-r10 BIT STRING (SIZE(8)),
    subframeConfigPatternTDD-r10 INTEGER (0..31)
  }
  rpdccch-Config-r10 SEQUENCE {
    resourceAllocationType-r10 ENUMERATED {type0, type1, type2Localized, type2Distributed,
      spare4, spare3, spare2, spare1},
    resourceBlockAssignment-r10 CHOICE {
      type01-r10 CHOICE {
        nrb6-r10 BIT STRING (SIZE(6)),
        nrb15-r10 BIT STRING (SIZE(8)),
        nrb25-r10 BIT STRING (SIZE(13)),
        nrb50-r10 BIT STRING (SIZE(17)),
        nrb75-r10 BIT STRING (SIZE(19)),
        nrb100-r10 BIT STRING (SIZE(25))
      },
      type2-r10 CHOICE {
        nrb6-r10 BIT STRING (SIZE(5)),
        nrb15-r10 BIT STRING (SIZE(7)),
        nrb25-r10 BIT STRING (SIZE(9)),
        nrb50-r10 BIT STRING (SIZE(11)),
        nrb75-r10 BIT STRING (SIZE(12)),
        nrb100-r10 BIT STRING (SIZE(13))
      },
      ...
    },
    demodulationRS-r10 CHOICE {
      interleaving-r10 ENUMERATED {crs},
      noInterleaving-r10 ENUMERATED {crs, dmrs}
    },
    pdsch-Start-r10 INTEGER (1..3),
    pucch-Config-r10 CHOICE {
      tdd CHOICE {
        channelSelectionMultiplexingBundling SEQUENCE {
          n1PUCCH-AN-List-r10 SEQUENCE (SIZE (1..4)) OF INTEGER (0..2047)
        },
        fallbackForFormat3 SEQUENCE {
          n1PUCCH-AN-P0-r10 INTEGER (0..2047),
          n1PUCCH-AN-P1-r10 INTEGER (0..2047) OPTIONAL -- Need OR
        }
      },
      fdd SEQUENCE {
        n1PUCCH-AN-P0-r10 INTEGER (0..2047),
        n1PUCCH-AN-P1-r10 INTEGER (0..2047) OPTIONAL -- Need OR
      }
    },
    ...
  }
  ...
}
-- ASN1STOP

```

<b>RN-SubframeConfig field descriptions</b>
<p><b>demodulationRS</b> Indicates which reference signals are used for R-PDCCH demodulation according to TS 36.216 [55, 7.4.1]. Value interleaving corresponds to cross-interleaving and value noInterleaving corresponds to no cross-interleaving according to TS 36.216 [55, 7.4.2 and 7.4.3].</p>
<p><b>n1PUCCH-AN-List</b> Parameter: <math>n_{\text{PUCCH},l}^{(1)}</math>, see TS 36.216, [55, 7.5.1]. This parameter is only applicable for TDD. Configures PUCCH HARQ-ACK resources if the RN is configured to use HARQ-ACK channel selection, HARQ-ACK multiplexing or HARQ-ACK bundling.</p>
<p><b>n1PUCCH-AN-P0, n1PUCCH-AN-P1</b> Parameter: <math>n_{\text{PUCCH}}^{(1,p)}</math>, for antenna port P0 and for antenna port P1 respectively, see TS 36.216, [55, 7.5.1] for FDD and [55, 7.5.2] for TDD.</p>
<p><b>pdsch-Start</b> Parameter: <i>DL-StartSymbol</i>, see TS 36.216 [55, Table 5.4-1].</p>
<p><b>resourceAllocationType</b> Represents the resource allocation used: type 0, type 1 or type 2 according to TS 36.213 [23, 7.1.6]. Value type0 corresponds to type 0, value type1 corresponds to type 1, value type2Localized corresponds to type 2 with localized virtual resource blocks and type2Distributed corresponds to type 2 with distributed virtual resource blocks.</p>
<p><b>resourceBlockAssignment</b> Indicates the resource block assignment bits according to TS 36.213 [23, 7.1.6]. Value type01 corresponds to type 0 and type 1, and the value type2 corresponds to type 2. Value nrb6 corresponds to a downlink system bandwidth of 6 resource blocks, value nrb15 corresponds to a downlink system bandwidth of 15 resource blocks, and so on.</p>
<p><b>subframeConfigPatternFDD</b> Parameter: <i>SubframeConfigurationFDD</i>, see TS 36.216 [55, Table 5.2-1]. Defines the DL subframe configuration for eNB-to-RN transmission, i.e. those subframes in which the eNB may indicate downlink assignments for the RN. The radio frame in which the pattern starts (i.e. the radio frame in which the first bit of the <i>subframeConfigPatternFDD</i> corresponds to subframe #0) occurs when SFN mod 4 = 0.</p>
<p><b>subframeConfigPatternTDD</b> Parameter: <i>SubframeConfigurationTDD</i>, see TS 36.216 [55, Table 5.2-2]. Defines the DL and UL subframe configuration for eNB-RN transmission.</p>

## – SchedulingRequestConfig

The IE *SchedulingRequestConfig* is used to specify the Scheduling Request related parameters

### SchedulingRequestConfig information element

```

-- ASN1START
SchedulingRequestConfig ::= CHOICE {
    release          NULL,
    setup           SEQUENCE {
        sr-PUCCH-ResourceIndex    INTEGER (0..2047),
        sr-ConfigIndex           INTEGER (0..157),
        dsr-TransMax             ENUMERATED {
                                n4, n8, n16, n32, n64, spare3, spare2, spare1}
    }
}

SchedulingRequestConfig-v1020 ::= SEQUENCE {
    sr-PUCCH-ResourceIndexP1-r10    INTEGER (0..2047)           OPTIONAL    -- Need OR
}
-- ASN1STOP

```

<i>SchedulingRequestConfig</i> field descriptions
<b><i>dsr-TransMax</i></b> Parameter for SR transmission in TS 36.321 [6, 5.4.4]. The value n4 corresponds to 4 transmissions, n8 corresponds to 8 transmissions and so on.
<b><i>sr-ConfigIndex</i></b> Parameter $I_{SR}$ . See TS 36.213 [23,10.1]. The values 156 and 157 are not applicable for Release 8.
<b><i>sr-PUCCH-ResourceIndex, sr-PUCCH-ResourceIndexP1</i></b> Parameter: $n_{PUCCH,SRI}^{(l,p)}$ for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23, 10.1]. E-UTRAN configures <i>sr-PUCCH-ResourceIndexP1</i> only if <i>sr-PUCCHResourceIndex</i> is configured.

## – *SoundingRS-UL-Config*

The IE *SoundingRS-UL-Config* is used to specify the uplink Sounding RS configuration for periodic and aperiodic sounding.

### *SoundingRS-UL-Config* information element

```
-- ASN1START
SoundingRS-UL-ConfigCommon ::= CHOICE {
  release      NULL,
  setup       SEQUENCE {
    srs-BandwidthConfig      ENUMERATED {bw0, bw1, bw2, bw3, bw4, bw5, bw6, bw7},
    srs-SubframeConfig       ENUMERATED {
      sc0, sc1, sc2, sc3, sc4, sc5, sc6, sc7,
      sc8, sc9, sc10, sc11, sc12, sc13, sc14, sc15},
    ackNackSRS-SimultaneousTransmission BOOLEAN,
    srs-MaxUpPts             ENUMERATED {true}           OPTIONAL -- Cond TDD
  }
}

SoundingRS-UL-ConfigDedicated ::= CHOICE{
  release      NULL,
  setup       SEQUENCE {
    srs-Bandwidth      ENUMERATED {bw0, bw1, bw2, bw3},
    srs-HoppingBandwidth  ENUMERATED {hbw0, hbw1, hbw2, hbw3},
    freqDomainPosition  INTEGER (0..23),
    duration            BOOLEAN,
    srs-ConfigIndex     INTEGER (0..1023),
    transmissionComb     INTEGER (0..1),
    cyclicShift         ENUMERATED {cs0, cs1, cs2, cs3, cs4, cs5, cs6, cs7}
  }
}

SoundingRS-UL-ConfigDedicated-v1020 ::= SEQUENCE {
  srs-AntennaPort-r10 SRS-AntennaPort
}

SoundingRS-UL-ConfigDedicatedAperiodic-r10 ::= CHOICE{
  release      NULL,
  setup       SEQUENCE {
    srs-ConfigIndexAp-r10      INTEGER (0..31),
    srs-ConfigApDCI-Format4-r10 SEQUENCE (SIZE (1..3)) OF SRS-ConfigAp-r10 OPTIONAL, --
  }
}

Need ON
  srs-ActivateAp-r10          CHOICE {
    release                    NULL,
    setup                      SEQUENCE {
      srs-ConfigApDCI-Format0-r10 SRS-ConfigAp-r10,
      srs-ConfigApDCI-Format1a2b2c-r10 SRS-ConfigAp-r10,
      ...
    }
  }
}

SRS-ConfigAp-r10 ::= SEQUENCE {
  srs-AntennaPortAp-r10      SRS-AntennaPort,
  srs-BandwidthAp-r10        ENUMERATED {bw0, bw1, bw2, bw3},
  freqDomainPositionAp-r10  INTEGER (0..23),
  transmissionCombAp-r10    INTEGER (0..1),
  cyclicShiftAp-r10         ENUMERATED {cs0, cs1, cs2, cs3, cs4, cs5, cs6, cs7}
}
-- ASN1END
```

```
SRS-AntennaPort ::= ENUMERATED {an1, an2, an4, spare1}
-- ASN1STOP
```

<b>SoundingRS-UL-Config field descriptions</b>	
<b>ackNackSRS-SimultaneousTransmission</b>	Parameter: <i>Simultaneous-AN-and-SRS</i> , see TS 36.213 [23, 8.2]. For SCells this field is not applicable and the UE shall ignore the value.
<b>cyclicShift, cyclicShiftAp</b>	Parameter: $n_{\text{SRS}}$ for periodic and aperiodic sounding reference signal transmission respectively. See TS 36.211 [21, 5.5.3.1], where $cs_0$ corresponds to 0 etc.
<b>duration</b>	Parameter: Duration for periodic sounding reference signal transmission. See TS 36.213 [21, 8.2]. FALSE corresponds to "single" and value TRUE to "indefinite".
<b>freqDomainPosition, freqDomainPositionAp</b>	Parameter: $n_{\text{RRC}}$ for periodic and aperiodic sounding reference signal transmission respectively, see TS 36.211 [21, 5.5.3.2].
<b>srs-AntennaPort, srs-AntennaPortAp</b>	Indicates the number of antenna ports used for periodic and aperiodic sounding reference signal transmission respectively, see TS 36.211 [21, 5.5.3]. UE shall release <i>srs-AntennaPort</i> if <i>SoundingRS-UL-ConfigDedicated</i> is released.
<b>srs-Bandwidth, srs-BandwidthAp</b>	Parameter: $B_{\text{SRS}}$ for periodic and aperiodic sounding reference signal transmission respectively, see TS 36.211 [21, tables 5.5.3.2-1, 5.5.3.2-2, 5.5.3.2-3 and 5.5.3.2-4].
<b>srs-BandwidthConfig</b>	Parameter: SRS Bandwidth Configuration. See TS 36.211, [21, table 5.5.3.2-1, 5.5.3.2-2, 5.5.3.2-3 and 5.5.3.2-4]. Actual configuration depends on UL bandwidth. $bw_0$ corresponds to value 0, $bw_1$ to value 1 and so on.
<b>srs-ConfigApDCI-Format0 / srs-ConfigApDCI-Format1a2b2c / srs-ConfigApDCI-Format4</b>	Parameters indicate the resource configurations for aperiodic sounding reference signal transmissions triggered by DCI formats 0, 1A, 2B, 2C, 4. See TS 36.213 [23, 8.2].
<b>srs-ConfigIndex, srs-ConfigIndexAp</b>	Parameter: $I_{\text{SRS}}$ for periodic and aperiodic sounding reference signal transmission respectively. See TS 36.213 [23, table 8.2-1 and table 8.2-2] for periodic and TS 36.213 [23, table 8.2-4 and table 8.2-5] for aperiodic SRS transmission.
<b>srs-HoppingBandwidth</b>	Parameter: SRS hopping bandwidth $b_{\text{hop}} \in \{0,1,2,3\}$ for periodic sounding reference signal transmission, see TS 36.211 [21, 5.5.3.2] where $hbw_0$ corresponds to value 0, $hbw_1$ to value 1 and so on.
<b>srs-MaxUpPts</b>	Parameter: <i>srsMaxUpPts</i> , see TS 36.211 [21, 5.5.3.2]. If this field is present, reconfiguration of $m_{\text{SRS},0}^{\text{max}}$ applies for UpPts, otherwise reconfiguration does not apply.
<b>srs-SubframeConfig</b>	Parameter: SRS Subframe Configuration. See TS 36.211, [21, table 5.5.3.3-1] applies for FDD whereas TS 36.211, [21, table 5.5.3.3-2] applies for TDD. $sc_0$ corresponds to value 0, $sc_1$ to value 1 and so on.
<b>transmissionComb, transmissionCombAp</b>	Parameter: $\bar{k}_{\text{TC}} \in \{0,1\}$ for periodic and aperiodic sounding reference signal transmission respectively, see TS 36.211 [21, 5.5.3.2].

Conditional presence	Explanation
<i>TDD</i>	This field is optional present for TDD, need OR; it is not present for FDD and the UE shall delete any existing value for this field.

## – SPS-Config

The IE *SPS-Config* is used to specify the semi-persistent scheduling configuration.

### SPS-Config information element

```
-- ASN1START
SPS-Config ::= SEQUENCE {
```

```

semiPersistSchedC-RNTI      C-RNTI      OPTIONAL,      -- Need OR
sps-ConfigDL               SPS-ConfigDL  OPTIONAL,      -- Need ON
sps-ConfigUL               SPS-ConfigUL  OPTIONAL      -- Need ON
}

SPS-ConfigDL ::= CHOICE{
  release                    NULL,
  setup                      SEQUENCE {
    semiPersistSchedIntervalDL  ENUMERATED {
      sf10, sf20, sf32, sf40, sf64, sf80,
      sf128, sf160, sf320, sf640, spare6,
      spare5, spare4, spare3, spare2,
      spare1},
    numberOfConfSPS-Processes  INTEGER (1..8),
    n1PUCCH-AN-PersistentList  N1PUCCH-AN-PersistentList,
    ...,
    [[ twoAntennaPortActivated-r10 CHOICE {
      release                    NULL,
      setup                      SEQUENCE {
        n1PUCCH-AN-PersistentListP1-r10 N1PUCCH-AN-PersistentList
      }
    }
  ]]
}
OPTIONAL      -- Need ON
}

SPS-ConfigUL ::= CHOICE {
  release                    NULL,
  setup                      SEQUENCE {
    semiPersistSchedIntervalUL  ENUMERATED {
      sf10, sf20, sf32, sf40, sf64, sf80,
      sf128, sf160, sf320, sf640, spare6,
      spare5, spare4, spare3, spare2,
      spare1},
    implicitReleaseAfter        ENUMERATED {e2, e3, e4, e8},
    p0-Persistent               SEQUENCE {
      p0-NominalPUSCH-Persistent  INTEGER (-126..24),
      p0-UE-PUSCH-Persistent      INTEGER (-8..7)
    }
    OPTIONAL,
    twoIntervalsConfig          ENUMERATED {true}
    OPTIONAL,
    ...
  }
}
OPTIONAL,      -- Cond TDD

N1PUCCH-AN-PersistentList ::= SEQUENCE (SIZE (1..4)) OF INTEGER (0..2047)

-- ASN1STOP

```

<b>SPS-Config field descriptions</b>
<p><b>implicitReleaseAfter</b> Number of empty transmissions before implicit release, see TS 36.321 [6, 5.10.2]. Value e2 corresponds to 2 transmissions, e3 corresponds to 3 transmissions and so on.</p>
<p><b>n1PUCCH-AN-PersistentList, n1PUCCH-AN-PersistentListP1</b> List of parameter: <math>n_{\text{PUCCH}}^{(1,p)}</math> for antenna port P0 and for antenna port P1 respectively, see TS 36.213 [23, 10.1]. Field <i>n1-PUCCH-AN-PersistentListP1</i> is applicable only if the <i>twoAntennaPortActivatedPUCCH-Format1a1b</i> in <i>PUCCH-ConfigDedicated-v1020</i> is set to <i>true</i>. Otherwise the field is not configured.</p>
<p><b>numberOfConfSPS-Processes</b> The number of configured HARQ processes for Semi-Persistent Scheduling, see TS 36.321 [6].</p>
<p><b>p0-NominalPUSCH-Persistent</b> Parameter: <math>P_{\text{O\_NOMINAL\_PUSCH}}(0)</math>. See TS 36.213 [23, 5.1.1.1], unit dBm step 1. This field is applicable for persistent scheduling, only. If choice setup is used and <i>p0-Persistent</i> is absent, apply the value of <i>p0-NominalPUSCH</i> for <i>p0-NominalPUSCH-Persistent</i>.</p>
<p><b>p0-UE-PUSCH-Persistent</b> Parameter: <math>P_{\text{O\_UE\_PUSCH}}(0)</math>. See TS 36.213 [23, 5.1.1.1], unit dB. This field is applicable for persistent scheduling, only. If choice setup is used and <i>p0-Persistent</i> is absent, apply the value of <i>p0-UE-PUSCH</i> for <i>p0-UE-PUSCH-Persistent</i>.</p>
<p><b>semiPersistSchedC-RNTI</b> Semi-persistent Scheduling C-RNTI, see TS 36.321 [6].</p>
<p><b>semiPersistSchedIntervalDL</b> Semi-persistent scheduling interval in downlink, see TS 36.321 [6]. Value in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. For TDD, the UE shall round this parameter down to the nearest integer (of 10 sub-frames), e.g. sf10 corresponds to 10 sub-frames, sf32 corresponds to 30 sub-frames, sf128 corresponds to 120 sub-frames.</p>
<p><b>semiPersistSchedIntervalUL</b> Semi-persistent scheduling interval in uplink, see TS 36.321 [6]. Value in number of sub-frames. Value sf10 corresponds to 10 sub-frames, sf20 corresponds to 20 sub-frames and so on. For TDD, the UE shall round this parameter down to the nearest integer (of 10 sub-frames), e.g. sf10 corresponds to 10 sub-frames, sf32 corresponds to 30 sub-frames, sf128 corresponds to 120 sub-frames.</p>
<p><b>twoIntervalsConfig</b> Trigger of two-intervals-Semi-Persistent Scheduling in uplink. See TS 36.321 [6, 5.10]. If this field is present, two-intervals-SPS is enabled for uplink. Otherwise, two-intervals-SPS is disabled.</p>

<b>Conditional presence</b>	<b>Explanation</b>
<i>TDD</i>	This field is optional present for TDD, need OR; it is not present for FDD and the UE shall delete any existing value for this field.

## – TDD-Config

The IE *TDD-Config* is used to specify the TDD specific physical channel configuration.

### **TDD-Config information element**

```

-- ASN1START
TDD-Config ::=
    SEQUENCE {
        subframeAssignment      ENUMERATED {
            sa0, sa1, sa2, sa3, sa4, sa5, sa6},
        specialSubframePatterns ENUMERATED {
            ssp0, ssp1, ssp2, ssp3, ssp4, ssp5, ssp6, ssp7,
            ssp8}
    }
TDD-Config-v11xy ::=
    SEQUENCE {
        specialSubframePatterns-v11xy  ENUMERATED {ssp7, ssp9}
    }
-- ASN1STOP

```

<b>TDD-Config field descriptions</b>
<p><b>specialSubframePatterns</b> Indicates Configuration as in TS 36.211 [21, table 4.2-1] where ssp0 point to Configuration 0, ssp1 to Configuration 1 etc.</p>
<p><b>specialSubframePatterns-v11xy</b> Indicates special subframe patterns as in TS 36.211 [21, table 4.2-1]. The value ssp9 points to Configuration 9 for normal cyclic prefix and it can only be present if the value of <i>specialSubframePatterns</i> is set to ssp5. And the value ssp7 points to Configuration 7 for extended cyclic prefix and it can only be present if the value of <i>specialSubframePatterns</i> is set to ssp4. When this IE is present, values of <i>specialSubframePatterns</i> in <i>TDD-config</i> shall be ignored.</p>
<p><b>subframeAssignment</b> Indicates DL/UL subframe configuration where sa0 point to Configuration 0, sa1 to Configuration 1 etc. as specified in TS 36.211 [21, table 4.2-2]. One value applies for all serving cells (the associated functionality is common i.e. not performed independently for each cell)</p>

### – *TimeAlignmentTimer*

The IE *TimeAlignmentTimer* is used to control how long the UE considers the serving cells belonging to the associated TAG to be uplink time aligned. Corresponds to the Timer for time alignment in TS 36.321 [6]. Value in number of sub-frames. Value sf500 corresponds to 500 sub-frames, sf750 corresponds to 750 sub-frames and so on.

#### ***TimeAlignmentTimer* information element**

```
-- ASN1START
TimeAlignmentTimer ::=
    ENUMERATED {
        sf500, sf750, sf1280, sf1920, sf2560, sf5120,
        sf10240, infinity}
-- ASN1STOP
```

### – *TPC-PDCCH-Config*

The IE *TPC-PDCCH-Config* is used to specify the RNTIs and indexes for PUCCH and PUSCH power control according to TS 36.212 [22]. The power control function can either be setup or released with the IE.

#### ***TPC-PDCCH-Config* information element**

```
-- ASN1START
TPC-PDCCH-Config ::=
    CHOICE {
        release
            NULL,
        setup
            SEQUENCE {
                tpc-RNTI
                    BIT STRING (SIZE (16)),
                tpc-Index
                    TPC-Index
            }
    }
TPC-Index ::=
    CHOICE {
        indexOfFormat3
            INTEGER (1..15),
        indexOfFormat3A
            INTEGER (1..31)
    }
-- ASN1STOP
```

<b><i>TPC-PDCCH-Config</i> field descriptions</b>
<p><b>indexOfFormat3</b> Index of N when DCI format 3 is used. See TS 36.212 [22, 5.3.3.1.6].</p>
<p><b>IndexOfFormat3A</b> Index of M when DCI format 3A is used. See TS 36.212 [22, 5.3.3.1.7].</p>
<p><b>tpc-Index</b> Index of N or M, see TS 36.212 [22, 5.3.3.1.6 and 5.3.3.1.7], where N or M is dependent on the used DCI format (i.e. format 3 or 3a).</p>
<p><b>tpc-RNTI</b> RNTI for power control using DCI format 3/3A, see TS 36.212 [22].</p>

## UplinkPowerControl

The IE *UplinkPowerControlCommon* and IE *UplinkPowerControlDedicated* are used to specify parameters for uplink power control in the system information and in the dedicated signalling, respectively.

### UplinkPowerControl information elements

```

-- ASN1START
UplinkPowerControlCommon ::= SEQUENCE {
    p0-NominalPUSCH          INTEGER (-126..24),
    alpha                    ENUMERATED {a10, a104, a105, a106, a107, a108, a109, a11},
    p0-NominalPUCCH          INTEGER (-127..-96),
    deltaFList-PUCCH        DeltaFList-PUCCH,
    deltaPreambleMsg3       INTEGER (-1..6)
}

UplinkPowerControlCommon-v1020 ::= SEQUENCE {
    deltaF-PUCCH-Format3-r10  ENUMERATED {deltaF-1, deltaF0, deltaF1, deltaF2,
                                             deltaF3, deltaF4, deltaF5, deltaF6},
    deltaF-PUCCH-Format1bCS-r10  ENUMERATED {deltaF1, deltaF2, spare2, spare1}
}

UplinkPowerControlCommonSCell-r10 ::= SEQUENCE {
    p0-NominalPUSCH-r10      INTEGER (-126..24),
    alpha-r10                ENUMERATED {a10, a104, a105, a106, a107, a108, a109, a11}
}

UplinkPowerControlDedicated ::= SEQUENCE {
    p0-UE-PUSCH              INTEGER (-8..7),
    deltaMCS-Enabled         ENUMERATED {en0, en1},
    accumulationEnabled      BOOLEAN,
    p0-UE-PUCCH              INTEGER (-8..7),
    pSRS-Offset              INTEGER (0..15),
    filterCoefficient        FilterCoefficient           DEFAULT fc4
}

UplinkPowerControlDedicated-v1020 ::= SEQUENCE {
    deltaTxD-OffsetListPUCCH-r10  DeltaTxD-OffsetListPUCCH-r10  OPTIONAL,  -- Need OR
    pSRS-OffsetAp-r10             INTEGER (0..15)                 OPTIONAL,  -- Need OR
}

UplinkPowerControlDedicatedSCell-r10 ::= SEQUENCE {
    p0-UE-PUSCH-r10             INTEGER (-8..7),
    deltaMCS-Enabled-r10        ENUMERATED {en0, en1},
    accumulationEnabled-r10     BOOLEAN,
    pSRS-Offset-r10             INTEGER (0..15),
    pSRS-OffsetAp-r10           INTEGER (0..15)                 OPTIONAL,  -- Need OR
    filterCoefficient-r10       FilterCoefficient               DEFAULT fc4,
    pathlossReferenceLinking-r10 ENUMERATED {pCell, sCell}
}

DeltaFList-PUCCH ::= SEQUENCE {
    deltaF-PUCCH-Format1        ENUMERATED {deltaF-2, deltaF0, deltaF2},
    deltaF-PUCCH-Format1b      ENUMERATED {deltaF1, deltaF3, deltaF5},
    deltaF-PUCCH-Format2        ENUMERATED {deltaF-2, deltaF0, deltaF1, deltaF2},
    deltaF-PUCCH-Format2a      ENUMERATED {deltaF-2, deltaF0, deltaF2},
    deltaF-PUCCH-Format2b      ENUMERATED {deltaF-2, deltaF0, deltaF2}
}

DeltaTxD-OffsetListPUCCH-r10 ::= SEQUENCE {
    deltaTxD-OffsetPUCCH-Format1-r10  ENUMERATED {dB0, dB-2},
    deltaTxD-OffsetPUCCH-Format1a1b-r10  ENUMERATED {dB0, dB-2},
    deltaTxD-OffsetPUCCH-Format22a2b-r10  ENUMERATED {dB0, dB-2},
    deltaTxD-OffsetPUCCH-Format3-r10  ENUMERATED {dB0, dB-2},
    ...
}
-- ASN1STOP

```

<b>UplinkPowerControl field descriptions</b>
<p><b>accumulationEnabled</b> Parameter: Accumulation-enabled, see TS 36.213 [23, 5.1.1.1]. TRUE corresponds to “enabled” whereas FALSE corresponds to “disabled”.</p>
<p><b>alpha</b> Parameter: <math>\alpha</math> See TS 36.213 [23, 5.1.1.1] where al0 corresponds to 0, al04 corresponds to value 0.4, al05 to 0.5, al06 to 0.6, al07 to 0.7, al08 to 0.8, al09 to 0.9 and al1 corresponds to 1.</p>
<p><b>deltaF-PUCCH-FormatX</b> Parameter: <math>\Delta_{F\_PUCCH}(F)</math> for the PUCCH formats 1, 1b, 2, 2a, 2b, 3 and 1b with channel selection. See TS 36.213 [23, 5.1.2] where deltaF-2 corresponds to -2 dB, deltaF0 corresponds to 0 dB and so on.</p>
<p><b>deltaMCS-Enabled</b> Parameter: <math>K_s</math> See TS 36.213 [23, 5.1.1.1]. en0 corresponds to value 0 corresponding to state “disabled”. en1 corresponds to value 1.25 corresponding to “enabled”.</p>
<p><b>deltaPreambleMsg3</b> Parameter: <math>\Delta_{PREAMBLE\_Msg3}</math> see TS 36.213 [23, 5.1.1.1]. Actual value = IE value * 2 [dB].</p>
<p><b>deltaTxD-OffsetPUCCH-FormatX</b> Parameter: <math>\Delta_{TxD}(F')</math> for the PUCCH formats 1, 1a/1b, 2/2a/2b and 3 when two antenna ports are configured for PUCCH transmission. See TS 36.213 [23, 5.1.2.1] where dB0 corresponds to 0 dB, dB-2 corresponds to -2 dB.</p>
<p><b>filterCoefficient</b> Specifies the filtering coefficient for RSRP measurements used to calculate path loss, as specified in TS 36.213 [23, 5.1.1.1]. The same filtering mechanism applies as for <i>quantityConfig</i> described in 5.5.3.2.</p>
<p><b>p0-NominalPUCCH</b> Parameter: <math>P_{O\_NOMINAL\_PUCCH}</math> See TS 36.213, 5.1.2.1, unit dBm.</p>
<p><b>p0-NominalPUSCH</b> Parameter: <math>P_{O\_NOMINAL\_PUSCH}(I)</math> See TS 36.213, 5.1.1.1, unit dBm. This field is applicable for non-persistent scheduling, only.</p>
<p><b>p0-UE-PUCCH</b> Parameter: <math>P_{O\_UE\_PUCCH}</math> See TS 36.213 [23, 5.1.2.1]. Unit dB</p>
<p><b>p0-UE-PUSCH</b> Parameter: <math>P_{O\_UE\_PUSCH}(I)</math> See TS 36.213 [23, 5.1.1.1], unit dB. This field is applicable for non-persistent scheduling, only.</p>
<p><b>pathlossReferenceLinking</b> Indicates whether the UE shall apply as pathloss reference either the downlink of the PCell or of the SCell that corresponds with this uplink (i.e. according to the <i>cellIdentification</i> within the field <i>sCellToAddMod</i>). For SCells part of a secondary TAG E-UTRAN sets the value to sCell.</p>
<p><b>pSRS-Offset, pSRS-OffsetAp</b> Parameter: <math>P_{SRS\_OFFSET}</math> for periodic and aperiodic sounding reference signal transmission respectively. See TS 36.213 [23, 5.1.3.1]. For <math>K_s=1.25</math>, the actual parameter value is pSRS-Offset value – 3. For <math>K_s=0</math>, the actual parameter value is <math>-10.5 + 1.5 * pSRS-Offset</math> value.</p>

### 6.3.3 Security control information elements

#### – *NextHopChainingCount*

The IE *NextHopChainingCount* is used to update the  $K_{cNB}$  key and corresponds to parameter NCC: See TS 33.401 [32, 7.2.8.4].

#### ***NextHopChainingCount* information element**

```
-- ASN1START
NextHopChainingCount ::=
                                INTEGER (0..7)
-- ASN1STOP
```

## – SecurityAlgorithmConfig

The IE *SecurityAlgorithmConfig* is used to configure AS integrity protection algorithm (SRBs) and AS ciphering algorithm (SRBs and DRBs). For RNs, the IE *SecurityAlgorithmConfig* is also used to configure AS integrity protection algorithm for DRBs between the RN and the E-UTRAN.

### SecurityAlgorithmConfig information element

```
-- ASN1START
SecurityAlgorithmConfig ::= SEQUENCE {
  cipheringAlgorithm      ENUMERATED {
    eea0, eea1, eea2, eea3-v11xy, spare4, spare3,
    spare2, spare1, ...},
  integrityProtAlgorithm  ENUMERATED {
    eia0-v920, eia1, eia2, eia3-v11xy, spare4, spare3,
    spare2, spare1, ...}
}
-- ASN1STOP
```

### SecurityAlgorithmConfig field descriptions

#### ***cipheringAlgorithm***

Indicates the ciphering algorithm to be used for SRBs and DRBs, as specified in TS 33.401 [32, 5.1.3.2].

#### ***integrityProtAlgorithm***

Indicates the integrity protection algorithm to be used for SRBs, as specified in TS 33.401 [32, 5.1.4.2]. For RNs, also indicates the integrity protection algorithm to be used for integrity protection-enabled DRB(s).

## – ShortMAC-I

The IE *ShortMAC-I* is used to identify and verify the UE at RRC connection re-establishment. The 16 least significant bits of the MAC-I calculated using the security configuration of the source PCell, as specified in 5.3.7.4.

### ShortMAC-I information element

```
-- ASN1START
ShortMAC-I ::= BIT STRING (SIZE (16))
-- ASN1STOP
```

## 6.3.4 Mobility control information elements

### – AdditionalSpectrumEmission

#### AdditionalSpectrumEmission information element

```
-- ASN1START
AdditionalSpectrumEmission ::= INTEGER (1..32)
-- ASN1STOP
```

### – ARFCN-ValueCDMA2000

The IE *ARFCN-ValueCDMA2000* used to indicate the CDMA2000 carrier frequency within a CDMA2000 band, see C.S0002-A [12].

#### ARFCN-ValueCDMA2000 information element

```
-- ASN1START
ARFCN-ValueCDMA2000 ::= INTEGER (0..2047)
```

```
-- ASN1STOP
```

### – *ARFCN-ValueEUTRA*

The IE *ARFCN-ValueEUTRA* is used to indicate the ARFCN applicable for a downlink, uplink or bi-directional (TDD) E-UTRA carrier frequency, as defined in TS 36.101 [42].

#### ***ARFCN-ValueEUTRA* information element**

```
-- ASN1START
ARFCN-ValueEUTRA ::=                INTEGER (0..maxEARFCN)
-- ASN1STOP
```

### – *ARFCN-ValueGERAN*

The IE *ARFCN-ValueGERAN* is used to specify the ARFCN value applicable for a GERAN BCCH carrier frequency, see TS 45.005 [20].

#### ***ARFCN-ValueGERAN* information element**

```
-- ASN1START
ARFCN-ValueGERAN ::=                INTEGER (0..1023)
-- ASN1STOP
```

### – *ARFCN-ValueUTRA*

The IE *ARFCN-ValueUTRA* is used to indicate the ARFCN applicable for a downlink (Nd, FDD) or bi-directional (Nt, TDD) UTRA carrier frequency, as defined in TS 25.331 [19].

#### ***ARFCN-ValueUTRA* information element**

```
-- ASN1START
ARFCN-ValueUTRA ::=                INTEGER (0..16383)
-- ASN1STOP
```

### – *BandclassCDMA2000*

The IE *BandclassCDMA2000* is used to define the CDMA2000 band in which the CDMA2000 carrier frequency can be found, as defined in C.S0057-E [24, table 1.5-1].

#### ***BandclassCDMA2000* information element**

```
-- ASN1START
BandclassCDMA2000 ::=                ENUMERATED {
                                        bc0, bc1, bc2, bc3, bc4, bc5, bc6, bc7, bc8,
                                        bc9, bc10, bc11, bc12, bc13, bc14, bc15, bc16,
                                        bc17, bc18-v9a0, bc19-v9a0, bc20-v9a0, bc21-v9a0,
                                        spare10, spare9, spare8, spare7, spare6, spare5, spare4,
                                        spare3, spare2, spare1, ...}
-- ASN1STOP
```

## – *BandIndicatorGERAN*

The IE *BandIndicatorGERAN* indicates how to interpret an associated GERAN carrier ARFCN, see TS 45.005 [20]. More specifically, the IE indicates the GERAN frequency band in case the ARFCN value can concern either a DCS 1800 or a PCS 1900 carrier frequency. For ARFCN values not associated with one of these bands, the indicator has no meaning.

### ***BandIndicatorGERAN* information element**

```
-- ASN1START
BandIndicatorGERAN ::=          ENUMERATED {dcs1800, pcs1900}
-- ASN1STOP
```

## – *CarrierFreqCDMA2000*

The IE *CarrierFreqCDMA2000* used to provide the CDMA2000 carrier information.

### ***CarrierFreqCDMA2000* information element**

```
-- ASN1START
CarrierFreqCDMA2000 ::=          SEQUENCE {
    bandClass                BandclassCDMA2000,
    arfcn                    ARFCN-ValueCDMA2000
}
-- ASN1STOP
```

## – *CarrierFreqGERAN*

The IE *CarrierFreqGERAN* is used to provide an unambiguous carrier frequency description of a GERAN cell.

### ***CarrierFreqGERAN* information element**

```
-- ASN1START
CarrierFreqGERAN ::=          SEQUENCE {
    arfcn                    ARFCN-ValueGERAN,
    bandIndicator            BandIndicatorGERAN
}
-- ASN1STOP
```

### ***CarrierFreqGERAN* field descriptions**

<b><i>arfcn</i></b> GERAN ARFCN of BCCH carrier.
<b><i>bandIndicator</i></b> Indicates how to interpret the ARFCN of the BCCH carrier.

## – *CarrierFreqsGERAN*

The IE *CarrierFreqListGERAN* is used to provide one or more GERAN ARFCN values, as defined in TS 44.005 [43], which represents a list of GERAN BCCH carrier frequencies.

### ***CarrierFreqsGERAN* information element**

```
-- ASN1START
CarrierFreqsGERAN ::=          SEQUENCE {
    startingARFCN            ARFCN-ValueGERAN,
    bandIndicator            BandIndicatorGERAN,
    followingARFCNs          CHOICE {
```

```

    explicitListOfARFCNs          ExplicitListOfARFCNs,
    equallySpacedARFCNs          SEQUENCE {
        arfcn-Spacing             INTEGER (1..8),
        numberOfFollowingARFCNs   INTEGER (0..31)
    },
    variableBitMapOfARFCNs       OCTET STRING (SIZE (1..16))
}
ExplicitListOfARFCNs ::=      SEQUENCE (SIZE (0..31)) OF ARFCN-ValueGERAN
-- ASN1STOP

```

#### **CarrierFreqsGERAN field descriptions**

<b>arfcn-Spacing</b>	Space, d, between a set of equally spaced ARFCN values.
<b>bandIndicator</b>	Indicates how to interpret the ARFCN of the BCCH carrier.
<b>explicitListOfARFCNs</b>	The remaining ARFCN values in the set are explicitly listed one by one.
<b>followingARFCNs</b>	Field containing a representation of the remaining ARFCN values in the set.
<b>numberOfFollowingARFCNs</b>	The number, n, of the remaining equally spaced ARFCN values in the set. The complete set of (n+1) ARFCN values is defined as: {s, ((s + d) mod 1024), ((s + 2*d) mod 1024) ... ((s + n*d) mod 1024)}.
<b>startingARFCN</b>	The first ARFCN value, s, in the set.
<b>variableBitMapOfARFCNs</b>	Bitmap field representing the remaining ARFCN values in the set. The leading bit of the first octet in the bitmap corresponds to the ARFCN = ((s + 1) mod 1024), the next bit to the ARFCN = ((s + 2) mod 1024), and so on. If the bitmap consist of N octets, the trailing bit of octet N corresponds to ARFCN = ((s + 8*N) mod 1024). The complete set of ARFCN values consists of ARFCN = s and the ARFCN values, where the corresponding bit in the bitmap is set to "1".

#### **CarrierFreqListMBMS**

The IE *CarrierFreqListMBMS* is used to indicate the E-UTRA ARFCN values of the one or more MBMS frequencies the UE is interested to receive.

#### **CarrierFreqListMBMS information element**

```

-- ASN1START
CarrierFreqListMBMS-r11 ::=      SEQUENCE (SIZE (1..maxFreqMBMS-r11)) OF ARFCN-ValueEUTRA
-- ASN1STOP

```

#### **CDMA2000-Type**

The IE *CDMA2000-Type* is used to describe the type of CDMA2000 network.

#### **CDMA2000-Type information element**

```

-- ASN1START
CDMA2000-Type ::=                ENUMERATED {type1XRTT, typeHRPD}
-- ASN1STOP

```

#### **CellIdentity**

The IE *CellIdentity* is used to unambiguously identify a cell within a PLMN.

**CellIdentity information element**

```
-- ASN1START
CellIdentity ::=          BIT STRING (SIZE (28))
-- ASN1STOP
```

**CellIndexList**

The IE *CellIndexList* concerns a list of cell indices, which may be used for different purposes.

**CellIndexList information element**

```
-- ASN1START
CellIndexList ::=          SEQUENCE (SIZE (1..maxCellMeas)) OF CellIndex
CellIndex ::=             INTEGER (1..maxCellMeas)
-- ASN1STOP
```

**CellReselectionPriority**

The IE *CellReselectionPriority* concerns the absolute priority of the concerned carrier frequency/ set of frequencies (GERAN)/ bandclass (CDMA2000), as used by the cell reselection procedure. Corresponds with parameter "priority" in TS 36.304 [4]. Value 0 means: lowest priority. The UE behaviour for the case the field is absent, if applicable, is specified in TS 36.304 [4].

**CellReselectionPriority information element**

```
-- ASN1START
CellReselectionPriority ::=          INTEGER (0..7)
-- ASN1STOP
```

**CSFB-RegistrationParam1XRTT**

The IE *CSFB-RegistrationParam1XRTT* is used to indicate whether or not the UE shall perform a CDMA2000 1xRTT pre-registration if the UE does not have a valid / current pre-registration.

```
-- ASN1START
CSFB-RegistrationParam1XRTT ::=          SEQUENCE {
    sid                BIT STRING (SIZE (15)),
    nid                BIT STRING (SIZE (16)),
    multipleSID        BOOLEAN,
    multipleNID        BOOLEAN,
    homeReg            BOOLEAN,
    foreignSIDReg      BOOLEAN,
    foreignNIDReg      BOOLEAN,
    parameterReg       BOOLEAN,
    powerUpReg         BOOLEAN,
    registrationPeriod BIT STRING (SIZE (7)),
    registrationZone   BIT STRING (SIZE (12)),
    totalZone          BIT STRING (SIZE (3)),
    zoneTimer          BIT STRING (SIZE (3))
}
CSFB-RegistrationParam1XRTT-v920 ::=          SEQUENCE {
    powerDownReg-r9    ENUMERATED {true}
}
-- ASN1STOP
```

<b>CSFB-RegistrationParam1XRTT field descriptions</b>	
<b>foreignNIDReg</b>	The CDMA2000 1xRTT NID roamer registration indicator.
<b>foreignSIDReg</b>	The CDMA2000 1xRTT SID roamer registration indicator.
<b>homeReg</b>	The CDMA2000 1xRTT Home registration indicator.
<b>multipleNID</b>	The CDMA2000 1xRTT Multiple NID storage indicator.
<b>multipleSID</b>	The CDMA2000 1xRTT Multiple SID storage indicator.
<b>nid</b>	Used along with the <i>sid</i> as a pair to control when the UE should Register or Re-Register with the CDMA2000 1xRTT network.
<b>parameterReg</b>	The CDMA2000 1xRTT Parameter-change registration indicator.
<b>powerDownReg</b>	The CDMA2000 1xRTT Power-down registration indicator. If set to TRUE, the UE that has a valid / current CDMA2000 1xRTT pre-registration will perform a CDMA2000 1xRTT power down registration when it is switched off.
<b>powerUpReg</b>	The CDMA2000 1xRTT Power-up registration indicator.
<b>registrationPeriod</b>	The CDMA2000 1xRTT Registration period.
<b>registrationZone</b>	The CDMA2000 1xRTT Registration zone.
<b>sid</b>	Used along with the <i>nid</i> as a pair to control when the UE should Register or Re-Register with the CDMA2000 1xRTT network.
<b>totalZone</b>	The CDMA2000 1xRTT Number of registration zones to be retained.
<b>zoneTimer</b>	The CDMA2000 1xRTT Zone timer length.

## – *CellGlobalIdEUTRA*

The IE *CellGlobalIdEUTRA* specifies the Evolved Cell Global Identifier (ECGI), the globally unique identity of a cell in E-UTRA.

### **CellGlobalIdEUTRA information element**

```
-- ASN1START
CellGlobalIdEUTRA ::=
    SEQUENCE {
        plmn-Identity      PLMN-Identity,
        cellIdentity       CellIdentity
    }
-- ASN1STOP
```

<b>CellGlobalIdEUTRA field descriptions</b>	
<b>cellIdentity</b>	Identity of the cell within the context of the PLMN.
<b>plmn-Identity</b>	Identifies the PLMN of the cell as given by the first PLMN entry in the <i>plmn-IdentityList</i> in <i>SystemInformationBlockType1</i> .

## – *CellGlobalIdUTRA*

The IE *CellGlobalIdUTRA* specifies the global UTRAN Cell Identifier, the globally unique identity of a cell in UTRA.

**CellGlobalIdUTRA information element**

```

-- ASN1START
CellGlobalIdUTRA ::= SEQUENCE {
    plmn-Identity      PLMN-Identity,
    cellIdentity       BIT STRING (SIZE (28))
}
-- ASN1STOP

```

**CellGlobalIdUTRA field descriptions**

<b>cellIdentity</b>	UTRA Cell Identifier which is unique within the context of the identified PLMN as defined in TS 25.331 [19].
<b>plmn-Identity</b>	Identifies the PLMN of the cell as given by the common PLMN broadcast in the MIB, as defined in TS 25.331 [19].

– **CellGlobalIdGERAN**

The IE *CellGlobalIdGERAN* specifies the Cell Global Identification (CGI), the globally unique identity of a cell in GERAN.

**CellGlobalIdGERAN information element**

```

-- ASN1START
CellGlobalIdGERAN ::= SEQUENCE {
    plmn-Identity      PLMN-Identity,
    locationAreaCode   BIT STRING (SIZE (16)),
    cellIdentity       BIT STRING (SIZE (16))
}
-- ASN1STOP

```

**CellGlobalIdGERAN field descriptions**

<b>cellIdentity</b>	Cell Identifier which is unique within the context of the GERAN location area as defined in TS 23.003 [27].
<b>locationAreaCode</b>	A fixed length code identifying the location area within a PLMN as defined in TS 23.003 [27].
<b>plmn-Identity</b>	Identifies the PLMN of the cell, as defined in TS 23.003 [27].

– **CellGlobalIdCDMA2000**

The IE *CellGlobalIdCDMA2000* specifies the Cell Global Identification (CGI), the globally unique identity of a cell in CDMA2000.

**CellGlobalIdCDMA2000 information element**

```

-- ASN1START
CellGlobalIdCDMA2000 ::= CHOICE {
    cellGlobalId1XRTT  BIT STRING (SIZE (47)),
    cellGlobalIdHRPD   BIT STRING (SIZE (128))
}
-- ASN1STOP

```

<b>CellGlobalIdCDMA2000 field descriptions</b>
<p><b>cellGlobalId1XRTT</b> Unique identifier for a CDMA2000 1xRTT cell, corresponds to BASEID, SID and NID parameters (in that order) defined in C.S0005-A [25].</p>
<p><b>cellGlobalIdHRPD</b> Unique identifier for a CDMA2000 HRPD cell, corresponds to SECTOR ID parameter defined in C.S0024-A [26, 14.9].</p>

## – *CSG-Identity*

The IE *CSG-Identity* is used to identify a Closed Subscriber Group.

### **CSG-Identity information element**

```
-- ASN1START
CSG-Identity ::=                BIT STRING (SIZE (27))
-- ASN1STOP
```

## – *FreqBandIndicator*

The IE *FreqBandIndicator* indicates the E-UTRA operating band as defined in TS 36.101 [42, table 5.5-1].

### **FreqBandIndicator information element**

```
-- ASN1START
FreqBandIndicator ::=          INTEGER (1..64)
-- ASN1STOP
```

## – *MobilityControlInfo*

The IE *MobilityControlInfo* includes parameters relevant for network controlled mobility to/within E-UTRA.

### **MobilityControlInfo information element**

```
-- ASN1START
MobilityControlInfo ::=       SEQUENCE {
    targetPhysCellId           PhysCellId,
    carrierFreq                CarrierFreqEUTRA                OPTIONAL,  -- Cond HO-
toEUTRA
    carrierBandwidth           CarrierBandwidthEUTRA            OPTIONAL,  -- Cond HO-
toEUTRA
    additionalSpectrumEmission AdditionalSpectrumEmission  OPTIONAL,  -- Cond HO-
toEUTRA
    t304                       ENUMERATED {
                                ms50, ms100, ms150, ms200, ms500, ms1000,
                                ms2000, spare1},
    newUE-Identity             C-RNTI,
    radioResourceConfigCommon RadioResourceConfigCommon,
    rach-ConfigDedicated       RACH-ConfigDedicated                OPTIONAL,  -- Need OP
    ...
}

CarrierBandwidthEUTRA ::=     SEQUENCE {
    dl-Bandwidth               ENUMERATED {
                                n6, n15, n25, n50, n75, n100, spare10,
                                spare9, spare8, spare7, spare6, spare5,
                                spare4, spare3, spare2, spare1},
    ul-Bandwidth               ENUMERATED {
                                n6, n15, n25, n50, n75, n100, spare10,
                                spare9, spare8, spare7, spare6, spare5,
                                spare4, spare3, spare2, spare1} OPTIONAL -- Need OP
}
-- ASN1STOP
```

```

CarrierFreqEUTRA ::= SEQUENCE {
    dl-CarrierFreq ARFCN-ValueEUTRA,
    ul-CarrierFreq ARFCN-ValueEUTRA OPTIONAL -- Cond FDD
}
-- ASN1STOP

```

#### **MobilityControlInfo field descriptions**

<b>additionalSpectrumEmission</b>	The UE requirements related to IE <i>AdditionalSpectrumEmission</i> are defined in TS 36.101 [42, table 6.2.4.1].
<b>carrierBandwidth</b>	Provides the parameters <i>Downlink bandwidth</i> , and <i>Uplink bandwidth</i> , see TS 36.101 [42].
<b>dl-Bandwidth</b>	Parameter: <i>Downlink bandwidth</i> , see TS 36.101 [42].
<b>rach-ConfigDedicated</b>	The dedicated random access parameters. If absent the UE applies contention based random access as specified in TS 36.321 [6].
<b>t304</b>	Timer T304 as described in section 7.3. ms50 corresponds with 50 ms, ms100 corresponds with 100 ms and so on.
<b>ul-Bandwidth</b>	Parameter: <i>Uplink bandwidth</i> , see TS 36.101 [42, table 5.6-1]. For TDD, the parameter is absent and it is equal to downlink bandwidth. If absent for FDD, apply the same value as applies for the downlink bandwidth.

Conditional presence	Explanation
<i>FDD</i>	The field is mandatory with default value (the default duplex distance defined for the concerned band, as specified in TS 36.101 [42]) in case of "FDD"; otherwise the field is not present.
<i>HO-toEUTRA</i>	The field is mandatory present in case of inter-RAT handover to E-UTRA; otherwise the field is optionally present, need ON.

#### – *MobilityParametersCDMA2000 (1xRTT)*

The *MobilityParametersCDMA2000* contains the parameters provided to the UE for handover and (enhanced) CSFB to 1xRTT support, as defined in C.S0097 [53].

#### **MobilityParametersCDMA2000 information element**

```

-- ASN1START
MobilityParametersCDMA2000 ::= OCTET STRING
-- ASN1STOP

```

#### – *MobilityStateParameters*

The IE *MobilityStateParameters* contains parameters to determine UE mobility state.

#### **MobilityStateParameters information element**

```

-- ASN1START
MobilityStateParameters ::= SEQUENCE {
    t-Evaluation ENUMERATED {
        s30, s60, s120, s180, s240, spare3, spare2, spare1},
    t-HystNormal ENUMERATED {
        s30, s60, s120, s180, s240, spare3, spare2, spare1},
    n-CellChangeMedium INTEGER (1..16),
    n-CellChangeHigh INTEGER (1..16)
}
-- ASN1STOP

```

<b>MobilityStateParameters field descriptions</b>
<b>n-CellChangeHigh</b> The number of cell changes to enter high mobility state. Corresponds to $N_{CR\_H}$ in TS 36.304 [4].
<b>n-CellChangeMedium</b> The number of cell changes to enter medium mobility state. Corresponds to $N_{CR\_M}$ in TS 36.304 [4].
<b>t-Evaluation</b> The duration for evaluating criteria to enter mobility states. Corresponds to $T_{CRmax}$ in TS 36.304 [4]. Value in seconds, s30 corresponds to 30 s and so on.
<b>t-HystNormal</b> The additional duration for evaluating criteria to enter normal mobility state. Corresponds to $T_{CRmaxHyst}$ in TS 36.304 [4]. Value in seconds, s30 corresponds to 30 s and so on.

– **MultiBandInfoList**

**MultiBandInfoList information element**

```
-- ASN1START
MultiBandInfoList ::= SEQUENCE (SIZE (1..maxMultiBands)) OF FreqBandIndicator
-- ASN1STOP
```

– **PhysCellId**

The IE *PhysCellId* is used to indicate the physical layer identity of the cell, as defined in TS 36.211 [21].

**PhysCellId information element**

```
-- ASN1START
PhysCellId ::= INTEGER (0..503)
-- ASN1STOP
```

– **PhysCellIdRange**

The IE *PhysCellIdRange* is used to encode either a single or a range of physical cell identities. The range is encoded by using a *start* value and by indicating the number of consecutive physical cell identities (including *start*) in the range.

**PhysCellIdRange information element**

```
-- ASN1START
PhysCellIdRange ::= SEQUENCE {
    start PhysCellId,
    range ENUMERATED {
        n4, n8, n12, n16, n24, n32, n48, n64, n84,
        n96, n128, n168, n252, n504, spare2,
        spare1} OPTIONAL -- Need OP
    }
-- ASN1STOP
```

<b>PhysCellIdRange field descriptions</b>
<b>range</b> Indicates the number of physical cell identities in the range (including <i>start</i> ). Value n4 corresponds with 4, n8 corresponds with 8 and so on. The UE shall apply value 1 in case the field is absent, in which case only the physical cell identity value indicated by <i>start</i> applies.
<b>start</b> Indicates the lowest physical cell identity in the range.

– *PhysCellIdRangeUTRA-FDDList*

The IE *PhysCellIdRangeUTRA-FDDList* is used to encode one or more of *PhysCellIdRangeUTRA-FDD*. While the IE *PhysCellIdRangeUTRA-FDD* is used to encode either a single physical layer identity or a range of physical layer identities, i.e. primary scrambling codes. Each range is encoded by using a *start* value and by indicating the number of consecutive physical cell identities (including *start*) in the range.

***PhysCellIdRangeUTRA-FDDList* information element**

```
-- ASN1START
PhysCellIdRangeUTRA-FDDList-r9 ::= SEQUENCE (SIZE (1.. maxPhysCellIdRange-r9)) OF
PhysCellIdRangeUTRA-FDD-r9
PhysCellIdRangeUTRA-FDD-r9 ::= SEQUENCE {
    start-r9 PhysCellIdUTRA-FDD,
    range-r9 INTEGER (2..512) OPTIONAL -- Need OP
}
-- ASN1STOP
```

***PhysCellIdRangeUTRA-FDDList* field descriptions**

<b>range</b>	Indicates the number of primary scrambling codes in the range (including <i>start</i> ). The UE shall apply value 1 in case the field is absent, in which case only the primary scrambling code value indicated by <i>start</i> applies.
<b>start</b>	Indicates the lowest primary scrambling code in the range.

– *PhysCellIdCDMA2000*

The IE *PhysCellIdCDMA2000* identifies the PNOffset that represents the "Physical cell identity" in CDMA2000.

***PhysCellIdCDMA2000* information element**

```
-- ASN1START
PhysCellIdCDMA2000 ::= INTEGER (0..maxPNOffset)
-- ASN1STOP
```

– *PhysCellIdGERAN*

The IE *PhysCellIdGERAN* contains the Base Station Identity Code (BSIC).

***PhysCellIdGERAN* information element**

```
-- ASN1START
PhysCellIdGERAN ::= SEQUENCE {
    networkColourCode BIT STRING (SIZE (3)),
    baseStationColourCode BIT STRING (SIZE (3))
}
-- ASN1STOP
```

***PhysCellIdGERAN* field descriptions**

<b>baseStationColourCode</b>	Base station Colour Code as defined in TS 23.003 [27].
<b>networkColourCode</b>	Network Colour Code as defined in TS 23.003 [27].

– *PhysCellIdUTRA-FDD*

The IE *PhysCellIdUTRA-FDD* is used to indicate the physical layer identity of the cell, i.e. the primary scrambling code, as defined in TS 25.331 [19].

***PhysCellIdUTRA-FDD* information element**

```
-- ASN1START
PhysCellIdUTRA-FDD ::=          INTEGER (0..511)
-- ASN1STOP
```

– *PhysCellIdUTRA-TDD*

The IE *PhysCellIdUTRA-TDD* is used to indicate the physical layer identity of the cell, i.e. the cell parameters ID (TDD), as specified in TS 25.331 [19]. Also corresponds to the Initial Cell Parameter Assignment in TS 25.223 [46].

***PhysCellIdUTRA-TDD* information element**

```
-- ASN1START
PhysCellIdUTRA-TDD ::=          INTEGER (0..127)
-- ASN1STOP
```

– *PLMN-Identity*

The IE *PLMN-Identity* identifies a Public Land Mobile Network. Further information regarding how to set the IE are specified in TS 23.003 [27].

***PLMN-Identity* information element**

```
-- ASN1START
PLMN-Identity ::=              SEQUENCE {
    mcc                        MCC                OPTIONAL,      -- Cond MCC
    mnc                        MNC
}
MCC ::=                        SEQUENCE (SIZE (3)) OF
                                MCC-MNC-Digit
MNC ::=                        SEQUENCE (SIZE (2..3)) OF
                                MCC-MNC-Digit
MCC-MNC-Digit ::=             INTEGER (0..9)
-- ASN1STOP
```

***PLMN-Identity* field descriptions**

***mcc***

The first element contains the first MCC digit, the second element the second MCC digit and so on. If the field is absent, it takes the same value as the *mcc* of the immediately preceding IE *PLMN-Identity*. See TS 23.003 [27].

***mnc***

The first element contains the first MNC digit, the second element the second MNC digit and so on. See TS 23.003 [27].

Conditional presence	Explanation
MCC	This IE is mandatory when <i>PLMN-Identity</i> is included in <i>CellGlobalIdEUTRA</i> , in <i>CellGlobalIdUTRA</i> , in <i>CellGlobalIdGERAN</i> or in <i>RegisteredMME</i> . This IE is also mandatory in the first occurrence of the IE <i>PLMN-Identity</i> within the IE <i>PLMN-IdentityList</i> . Otherwise it is optional, need OP.

– *PLMN-IdentityList3*

Includes a list of PLMN identities.

**PLMN-IdentityList3 information element**

```
-- ASN1START
PLMN-IdentityList3-r11 ::=          SEQUENCE (SIZE (1..16)) OF PLMN-Identity
-- ASN1STOP
```

– *PreRegistrationInfoHRPD*

```
-- ASN1START
PreRegistrationInfoHRPD ::=          SEQUENCE {
  preRegistrationAllowed             BOOLEAN,
  preRegistrationZoneId              PreRegistrationZoneIdHRPD   OPTIONAL, -- cond PreRegAllowed
  secondaryPreRegistrationZoneIdList SecondaryPreRegistrationZoneIdListHRPD  OPTIONAL -- Need OR
}
SecondaryPreRegistrationZoneIdListHRPD ::= SEQUENCE (SIZE (1..2)) OF PreRegistrationZoneIdHRPD
PreRegistrationZoneIdHRPD ::=          INTEGER (0..255)
-- ASN1STOP
```

**PreRegistrationInfoHRPD field descriptions**

**preRegistrationAllowed**

TRUE indicates that a UE shall perform a CDMA2000 HRPD pre-registration if the UE does not have a valid / current pre-registration. FALSE indicates that the UE is not allowed to perform CDMA2000 HRPD pre-registration in the current cell.

**preRegistrationZoneId**

ColorCode (see C.S0024-A [26], C.S0087-A [44]) of the CDMA2000 Reference Cell corresponding to the HRPD sector under the HRPD AN that is configured for this LTE cell. It is used to control when the UE should register or re-register.

**secondaryPreRegistrationZoneIdList**

List of SecondaryColorCodes (see C.S0024-A [26], C.S0087-A [44]) of the CDMA2000 Reference Cell corresponding to the HRPD sector under the HRPD AN that is configured for this LTE cell. They are used to control when the UE should re-register.

Conditional presence	Explanation
<i>PreRegAllowed</i>	<i>The field is mandatory in case the preRegistrationAllowed is set to true. Otherwise the field is not present and the UE shall delete any existing value for this field.</i>

– *Q-QualMin*

The IE *Q-QualMin* is used to indicate for cell selection/ re-selection the required minimum received RSRQ level in the (E-UTRA) cell. Corresponds to parameter  $Q_{\text{qualmin}}$  in 36.304 [4]. Actual value  $Q_{\text{qualmin}} = \text{IE value [dB]}$ .

**Q-QualMin information element**

```
-- ASN1START
Q-QualMin-r9 ::=          INTEGER (-34..-3)
-- ASN1STOP
```

– *Q-RxLevMin*

The IE *Q-RxLevMin* is used to indicate for cell selection/ re-selection the required minimum received RSRP level in the (E-UTRA) cell. Corresponds to parameter  $Q_{\text{rxlevmin}}$  in 36.304 [4]. Actual value  $Q_{\text{rxlevmin}} = \text{IE value} * 2 \text{ [dBm]}$ .

**Q-RxLevMin information element**

```
-- ASN1START
Q-RxLevMin ::= INTEGER (-70..-22)
-- ASN1STOP
```

– **Q-OffsetRange**

The IE *Q-OffsetRange* is used to indicate a cell or frequency specific offset to be applied when evaluating candidates for cell re-selection or when evaluating triggering conditions for measurement reporting. The value in dB. Value dB-24 corresponds to -24 dB, dB-22 corresponds to -22 dB and so on.

**Q-OffsetRange information element**

```
-- ASN1START
Q-OffsetRange ::= ENUMERATED {
    dB-24, dB-22, dB-20, dB-18, dB-16, dB-14,
    dB-12, dB-10, dB-8, dB-6, dB-5, dB-4, dB-3,
    dB-2, dB-1, dB0, dB1, dB2, dB3, dB4, dB5,
    dB6, dB8, dB10, dB12, dB14, dB16, dB18,
    dB20, dB22, dB24}
-- ASN1STOP
```

– **Q-OffsetRangeInterRAT**

The IE *Q-OffsetRangeInterRAT* is used to indicate a frequency specific offset to be applied when evaluating triggering conditions for measurement reporting. The value in dB.

**Q-OffsetRangeInterRAT information element**

```
-- ASN1START
Q-OffsetRangeInterRAT ::= INTEGER (-15..15)
-- ASN1STOP
```

– **ReselectionThreshold**

The IE *ReselectionThreshold* is used to indicate an Rx level threshold for cell reselection. Actual value of threshold = IE value \* 2 [dB].

**ReselectionThreshold information element**

```
-- ASN1START
ReselectionThreshold ::= INTEGER (0..31)
-- ASN1STOP
```

– **ReselectionThresholdQ**

The IE *ReselectionThresholdQ* is used to indicate a quality level threshold for cell reselection. Actual value of threshold = IE value [dB].

**ReselectionThresholdQ information element**

```
-- ASN1START
ReselectionThresholdQ-r9 ::= INTEGER (0..31)
-- ASN1STOP
```

```
-- ASN1STOP
```

## – *SCellIndex*

The IE *SCellIndex* concerns a short identity, used to identify an SCell.

### **SCellIndex information element**

```
-- ASN1START
SCellIndex-r10 ::=
    INTEGER (1..7)
-- ASN1STOP
```

## – *ServCellIndex*

The IE *ServCellIndex* concerns a short identity, used to identify a serving cell (i.e. the PCell or an SCell). Value 0 applies for the PCell, while the *SCellIndex* that has previously been assigned applies for SCells.

### **ServCellIndex information element**

```
-- ASN1START
ServCellIndex-r10 ::=
    INTEGER (0..7)
-- ASN1STOP
```

## – *SpeedStateScaleFactors*

The IE *SpeedStateScaleFactors* concerns factors, to be applied when the UE is in medium or high speed state, used for scaling a mobility control related parameter.

### **SpeedStateScaleFactors information element**

```
-- ASN1START
SpeedStateScaleFactors ::=
    SEQUENCE {
        sf-Medium    ENUMERATED {oDot25, oDot5, oDot75, lDot0},
        sf-High      ENUMERATED {oDot25, oDot5, oDot75, lDot0}
    }
-- ASN1STOP
```

#### **SpeedStateScaleFactors field descriptions**

##### ***sf-High***

The concerned mobility control related parameter is multiplied with this factor if the UE is in High Mobility state as defined in TS 36.304 [4]. Value oDot25 corresponds to 0.25, oDot5 corresponds to 0.5 , oDot75 corresponds to 0.75 and so on.

##### ***sf-Medium***

The concerned mobility control related parameter is multiplied with this factor if the UE is in Medium Mobility state as defined in TS 36.304 [4]. Value oDot25 corresponds to 0.25, oDot5 corresponds to 0.5 , oDot75 corresponds to 0.75 and so on.

## – *SystemInfoListGERAN*

The IE *SystemInfoListGERAN* contains system information of a GERAN cell.

### **SystemInfoListGERAN information element**

```
-- ASN1START
SystemInfoListGERAN ::=
    SEQUENCE (SIZE (1..maxGERAN-SI)) OF
        OCTET STRING (SIZE (1..23))
-- ASN1STOP
```

```
-- ASN1STOP
```

### SystemInfoListGERAN field descriptions

#### SystemInfoListGERAN

Each OCTET STRING contains one System Information (SI) message as defined in TS 44.018 [45, table 9.1.1] excluding the L2 Pseudo Length, the RR management Protocol Discriminator and the Skip Indicator or a complete Packet System Information (PSI) message as defined in TS 44.060 [36, table 11.2.1].

### SystemTimeInfoCDMA2000

The IE *SystemTimeInfoCDMA2000* informs the UE about the absolute time in the current cell. The UE uses this absolute time knowledge to derive the CDMA2000 Physical cell identity, expressed as PNOffset, of neighbour CDMA2000 cells.

NOTE: The UE needs the CDMA2000 system time with a certain level of accuracy for performing measurements as well as for communicating with the CDMA2000 network (HRPD or 1xRTT).

### SystemTimeInfoCDMA2000 information element

```
-- ASN1START
SystemTimeInfoCDMA2000 ::= SEQUENCE {
    cdma-EUTRA-Synchronisation    BOOLEAN,
    cdma-SystemTime               CHOICE {
        synchronousSystemTime    BIT STRING (SIZE (39)),
        asynchronousSystemTime   BIT STRING (SIZE (49))
    }
}
-- ASN1STOP
```

### SystemTimeInfoCDMA2000 field descriptions

#### asynchronousSystemTime

The CDMA2000 system time corresponding to the SFN boundary at or after the ending boundary of the SI-Window in which *SystemInformationBlockType8* is transmitted. If not synchronized then the size is 49 bits and the unit is [8 CDMA2000 chips based on 1.2288 Mcps].

#### cdma-EUTRA-Synchronisation

TRUE indicates that the networks are synchronised i.e. there is no drift in the timing between E-UTRA and CDMA2000. FALSE indicates that the networks are not synchronised, i.e. the timing between E-UTRA and CDMA2000 can drift.

#### synchronousSystemTime

CDMA2000 system time corresponding to the SFN boundary at or after the ending boundary of the SI-window in which *SystemInformationBlockType8* is transmitted. If synchronized to CDMA2000 system time then the size is 39 bits and the unit is 10 ms based on a 1.2288 Mcps chip rate.

### TrackingAreaCode

The IE *TrackingAreaCode* is used to identify a tracking area within the scope of a PLMN, see TS 24.301 [35].

### TrackingAreaCode information element

```
-- ASN1START
TrackingAreaCode ::= BIT STRING (SIZE (16))
-- ASN1STOP
```

### T-Reselection

The IE *T-Reselection* concerns the cell reselection timer  $T_{reselction_{RAT}}$  for E-UTRA, UTRA, GERAN or CDMA2000. Value in seconds.

**T-Reselection information element**

```
-- ASN1START
T-Reselection ::=                INTEGER (0..7)
-- ASN1STOP
```

**6.3.5 Measurement information elements**– **AllowedMeasBandwidth**

The IE *AllowedMeasBandwidth* is used to indicate the maximum allowed measurement bandwidth on a carrier frequency as defined by the parameter Transmission Bandwidth Configuration "N<sub>RB</sub>" TS 36.104 [47]. The values mbw6, mbw15, mbw25, mbw50, mbw75, mbw100 indicate 6, 15, 25, 50, 75 and 100 resource blocks respectively.

**AllowedMeasBandwidth information element**

```
-- ASN1START
AllowedMeasBandwidth ::=        ENUMERATED {mbw6, mbw15, mbw25, mbw50, mbw75, mbw100}
-- ASN1STOP
```

– **Hysteresis**

The IE *Hysteresis* is a parameter used within the entry and leave condition of an event triggered reporting condition. The actual value is IE value \* 0.5 dB.

**Hysteresis information element**

```
-- ASN1START
Hysteresis ::=                  INTEGER (0..30)
-- ASN1STOP
```

– **LocationInfo**

The IE *LocationInfo* is used to transfer detailed location information available at the UE to correlate measurements and UE position information.

**LocationInfo information element**

```
-- ASN1START
LocationInfo-r10 ::= SEQUENCE {
    locationCoordinates-r10          CHOICE {
        ellipsoid-Point-r10          OCTET STRING,
        ellipsoidPointWithAltitude-r10 OCTET STRING,
        ...
    },
    horizontalVelocity-r10           OCTET STRING           OPTIONAL,
    gnss-TOD-msec-r10                OCTET STRING           OPTIONAL,
    ...
    [[ uncertainty-r11                OCTET STRING           OPTIONAL,
       confidence-r11                 OCTET STRING           OPTIONAL
    ]]
}
-- ASN1STOP
```

<b>LocationInfo field descriptions</b>
<b>confidence</b> Parameter defined in TS36.355 [54]. Coding details are FFS.
<b>ellipsoid-Point</b> Parameter <i>Ellipsoid-Point</i> defined in TS36.355 [54].
<b>ellipsoidPointWithAltitude</b> Parameter <i>EllipsoidPointWithAltitude</i> defined in TS36.355 [54].
<b>gnss-TOD-msec</b> Parameter <i>Gnss-TOD-msec</i> defined in TS36.355 [54]
<b>horizontalVelocity</b> Parameter <i>HorizontalVelocity</i> defined in TS36.355 [54].
<b>uncertainty</b> Parameter defined in TS36.355 [54]. Coding details are FFS.

## – *MeasConfig*

The IE *MeasConfig* specifies measurements to be performed by the UE, and covers intra-frequency, inter-frequency and inter-RAT mobility as well as configuration of measurement gaps.

### **MeasConfig information element**

```
-- ASN1START
MeasConfig ::= SEQUENCE {
  -- Measurement objects
  measObjectToRemoveList      MeasObjectToRemoveList      OPTIONAL, -- Need ON
  measObjectToAddModList     MeasObjectToAddModList     OPTIONAL, -- Need ON
  -- Reporting configurations
  reportConfigToRemoveList   ReportConfigToRemoveList   OPTIONAL, -- Need ON
  reportConfigToAddModList   ReportConfigToAddModList   OPTIONAL, -- Need ON
  -- Measurement identities
  measIdToRemoveList         MeasIdToRemoveList         OPTIONAL, -- Need ON
  measIdToAddModList         MeasIdToAddModList         OPTIONAL, -- Need ON
  -- Other parameters
  quantityConfig             QuantityConfig             OPTIONAL, -- Need ON
  measGapConfig              MeasGapConfig              OPTIONAL, -- Need ON
  s-Measure                   RSRP-Range                OPTIONAL, -- Need ON
  preRegistrationInfoHRPD     PreRegistrationInfoHRPD    OPTIONAL, -- Need OP
  speedStatePars              CHOICE {
    release                    NULL,
    setup                      SEQUENCE {
      mobilityStateParameters  MobilityStateParameters,
      timeToTrigger-SF         SpeedStateScaleFactors
    }
  }
  ...
}
MeasIdToRemoveList ::= SEQUENCE (SIZE (1..maxMeasId)) OF MeasId
MeasObjectToRemoveList ::= SEQUENCE (SIZE (1..maxObjectId)) OF MeasObjectId
ReportConfigToRemoveList ::= SEQUENCE (SIZE (1..maxReportConfigId)) OF ReportConfigId
-- ASN1STOP
```

<b>MeasConfig field descriptions</b>
<b>measGapConfig</b> Used to setup and release measurement gaps.
<b>measIdToRemoveList</b> List of measurement identities to remove.
<b>measObjectToRemoveList</b> List of measurement objects to remove.
<b>PreRegistrationInfoHRPD</b> The CDMA2000 HRPD Pre-Registration Information tells the UE if it should pre-register with the CDMA2000 HRPD network and identifies the Pre-registration zone to the UE.

<b>MeasConfig field descriptions</b>
<b>reportConfigToRemoveList</b> List of measurement reporting configurations to remove.
<b>s-Measure</b> PCell quality threshold controlling whether or not the UE is required to perform measurements of intra-frequency, inter-frequency and inter-RAT neighbouring cells. Value "0" indicates to disable <i>s-Measure</i> .
<b>timeToTrigger-SF</b> The <i>timeToTrigger</i> in <i>ReportConfigEUTRA</i> and in <i>ReportConfigInterRAT</i> are multiplied with the scaling factor applicable for the UE's speed state.

## – **MeasGapConfig**

The IE *MeasGapConfig* specifies the measurement gap configuration and controls setup/ release of measurement gaps.

### **MeasGapConfig information element**

```
-- ASN1START
MeasGapConfig ::=
    CHOICE {
        release          NULL,
        setup            SEQUENCE {
            gapOffset   CHOICE {
                gp0      INTEGER (0..39),
                gp1      INTEGER (0..79),
                ...
            }
        }
    }
-- ASN1STOP
```

<b>MeasGapConfig field descriptions</b>
<b>gapOffset</b> Value <i>gapOffset</i> of <i>gp0</i> corresponds to gap offset of Gap Pattern Id "0" with MGRP = 40ms, <i>gapOffset</i> of <i>gp1</i> corresponds to gap offset of Gap Pattern Id "1" with MGRP = 80ms. Also used to specify the measurement gap pattern to be applied, as defined in TS 36.133 [16].

## – **MeasId**

The IE *MeasId* is used to identify a measurement configuration, i.e., linking of a measurement object and a reporting configuration.

### **MeasId information element**

```
-- ASN1START
MeasId ::=
    INTEGER (1..maxMeasId)
-- ASN1STOP
```

## – **MeasIdToAddModList**

The IE *MeasIdToAddModList* concerns a list of measurement identities to add or modify, with for each entry the *measId*, the associated *measObjectId* and the associated *reportConfigId*.

### **MeasIdToAddModList information element**

```
-- ASN1START
MeasIdToAddModList ::=
    SEQUENCE (SIZE (1..maxMeasId)) OF MeasIdToAddMod
MeasIdToAddMod ::= SEQUENCE {
    measId           MeasId,
    measObjectId     MeasObjectId,

```

```

    reportConfigId          ReportConfigId
  }
-- ASN1STOP

```

## – *MeasObjectCDMA2000*

The IE *MeasObjectCDMA2000* specifies information applicable for inter-RAT CDMA2000 neighbouring cells.

### ***MeasObjectCDMA2000* information element**

```

-- ASN1START
MeasObjectCDMA2000 ::=
    SEQUENCE {
        cdma2000-Type          CDMA2000-Type,
        carrierFreq            CarrierFreqCDMA2000,
        searchWindowSize      INTEGER (0..15)          OPTIONAL,    -- Need ON
        offsetFreq            Q-OffsetRangeInterRAT    DEFAULT 0,
        cellsToRemoveList     CellIndexList           OPTIONAL,    -- Need ON
        cellsToAddModList     CellsToAddModListCDMA2000 OPTIONAL,    -- Need ON
        cellForWhichToReportCGI PhysCellIdCDMA2000    OPTIONAL,    -- Need ON
        ...
    }
CellsToAddModListCDMA2000 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddModCDMA2000
CellsToAddModCDMA2000 ::= SEQUENCE {
    cellIndex                INTEGER (1..maxCellMeas),
    physCellId               PhysCellIdCDMA2000
}
-- ASN1STOP

```

### ***MeasObjectCDMA2000* field descriptions**

<b><i>carrierInfo</i></b>	Identifies CDMA2000 carrier frequency for which this configuration is valid.
<b><i>cdma2000-Type</i></b>	The type of CDMA2000 network: CDMA2000 1xRTT or CDMA2000 HRPD.
<b><i>cellIndex</i></b>	Entry index in the neighbouring cell list.
<b><i>cellsToAddModList</i></b>	List of cells to add/ modify in the neighbouring cell list.
<b><i>cellsToRemoveList</i></b>	List of cells to remove from the neighbouring cell list.
<b><i>physCellId</i></b>	CDMA2000 Physical cell identity of a cell in neighbouring cell list expressed as PNOffset.
<b><i>searchWindowSize</i></b>	Provides the search window size to be used by the UE for the neighbouring pilot, see C.S0005-A [25].

## – *MeasObjectEUTRA*

The IE *MeasObjectEUTRA* specifies information applicable for intra-frequency or inter-frequency E-UTRA cells.

### ***MeasObjectEUTRA* information element**

```

-- ASN1START
MeasObjectEUTRA ::=
    SEQUENCE {
        carrierFreq            ARFCN-ValueEUTRA,
        allowedMeasBandwidth   AllowedMeasBandwidth,
        presenceAntennaPort1   PresenceAntennaPort1,
        neighCellConfig        NeighCellConfig,
        offsetFreq            Q-OffsetRange          DEFAULT dB0,
        -- Cell list
        cellsToRemoveList     CellIndexList         OPTIONAL,    -- Need ON
        cellsToAddModList     CellsToAddModList     OPTIONAL,    -- Need ON
        -- Black list
        blackCellsToRemoveList CellIndexList        OPTIONAL,    -- Need ON
    }

```

```

    blackCellsToAddModList      BlackCellsToAddModList      OPTIONAL,      -- Need ON
    cellForWhichToReportCGI     PhysCellId          OPTIONAL,      -- Need ON
    ...,
    [[measCycleSCell-r10        MeasCycleSCell-r10  OPTIONAL,      -- Need ON
      measSubframePatternConfigNeigh-r10 MeasSubframePatternConfigNeigh-r10 OPTIONAL
      -- Need ON
    ]]
  }
}

CellsToAddModList ::=          SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddMod

CellsToAddMod ::= SEQUENCE {
  cellIndex                    INTEGER (1..maxCellMeas),
  physCellId                   PhysCellId,
  cellIndividualOffset         Q-OffsetRange
}

BlackCellsToAddModList ::=    SEQUENCE (SIZE (1..maxCellMeas)) OF BlackCellsToAddMod

BlackCellsToAddMod ::= SEQUENCE {
  cellIndex                    INTEGER (1..maxCellMeas),
  physCellIdRange              PhysCellIdRange
}

MeasCycleSCell-r10 ::=       ENUMERATED {sf160, sf256, sf320, sf512,
                                          sf640, sf1024, sf1280, spare1}

MeasSubframePatternConfigNeigh-r10 ::= CHOICE {
  release                       NULL,
  setup                         SEQUENCE {
    measSubframePatternNeigh-r10 MeasSubframePattern-r10,
    measSubframeCellList-r10     MeasSubframeCellList-r10  OPTIONAL  -- Cond
  }
}

MeasSubframeCellList-r10 ::= SEQUENCE (SIZE (1..maxCellMeas)) OF PhysCellIdRange

-- ASN1STOP

```

<b>MeasObjectEUTRA field descriptions</b>	
<b>blackCellsToAddMoList</b>	List of cells to add/ modify in the black list of cells.
<b>blackCellsToRemoveList</b>	List of cells to remove from the black list of cells.
<b>carrierFreq</b>	Identifies E-UTRA carrier frequency for which this configuration is valid.
<b>cellIndex</b>	Entry index in the cell list. An entry may concern a range of cells, in which case this value applies to the entire range.
<b>cellIndividualOffset</b>	Cell individual offset applicable to a specific cell. Value dB-24 corresponds to -24 dB, dB-22 corresponds to -22 dB and so on.
<b>cellsToAddModList</b>	List of cells to add/ modify in the cell list.
<b>cellsToRemoveList</b>	List of cells to remove from the cell list.
<b>measCycleSCell</b>	The parameter is used only when an SCell is configured on the frequency indicated by the <i>measObject</i> and is in deactivated state, see TS 36.133 [16, 8.3.3], but the field may also be signalled when an SCell is not configured. Value <i>sf160</i> corresponds to 160 sub-frames, <i>sf256</i> corresponds to 256 sub-frames and so on.
<b>measSubframeCellList</b>	List of cells for which <i>measSubframePatternNeigh</i> is applied.
<b>measSubframePatternNeigh</b>	Time domain measurement resource restriction pattern applicable to neighbour cell RSRP and RSRQ measurements on the carrier frequency indicated by <i>carrierFreq</i> . For cells in <i>measSubframeCellList</i> the UE shall assume that the subframes indicated by <i>measSubframePatternNeigh</i> are non-MBSFN subframes.
<b>offsetFreq</b>	Offset value applicable to the carrier frequency. Value dB-24 corresponds to -24 dB, dB-22 corresponds to -22 dB and so on.
<b>physCellId</b>	Physical cell identity of a cell in the cell list.
<b>physCellIdRange</b>	Physical cell identity or a range of physical cell identities of cells in the black list.

<b>Conditional presence</b>	<b>Explanation</b>
<i>measSubframe</i>	The field is mandatory present if <i>measSubframePatternNeigh</i> is configured.

## – *MeasObjectGERAN*

The IE *MeasObjectGERAN* specifies information applicable for inter-RAT GERAN neighbouring frequencies.

### **MeasObjectGERAN information element**

```
-- ASN1START
MeasObjectGERAN ::=
    SEQUENCE {
        carrierFreqs          CarrierFreqsGERAN,
        offsetFreq            Q-OffsetRangeInterRAT          DEFAULT 0,
        ncc-Permitted         BIT STRING(SIZE (8))           DEFAULT '11111111'B,
        cellForWhichToReportCGI PhysCellIdGERAN             OPTIONAL, -- Need ON
        ...
    }
-- ASN1STOP
```

<b>MeasObjectGERAN field descriptions</b>	
<b>ncc-Permitted</b>	Field encoded as a bit map, where bit N is set to "0" if a BCCH carrier with NCC = N-1 is not permitted for monitoring and set to "1" if a BCCH carrier with NCC = N-1 is permitted for monitoring; N = 1 to 8; bit 1 of the bitmap is the leading bit of the bit string.

– *MeasObjectId*

The IE *MeasObjectId* used to identify a measurement object configuration.

***MeasObjectId* information element**

```
-- ASN1START
MeasObjectId ::= INTEGER (1..maxObjectId)
-- ASN1STOP
```

– *MeasObjectToAddModList*

The IE *MeasObjectToAddModList* concerns a list of measurement objects to add or modify

***MeasObjectToAddModList* information element**

```
-- ASN1START
MeasObjectToAddModList ::= SEQUENCE (SIZE (1..maxObjectId)) OF MeasObjectToAddMod
MeasObjectToAddMod ::= SEQUENCE {
    measObjectId          MeasObjectId,
    measObject            CHOICE {
        measObjectEUTRA    MeasObjectEUTRA,
        measObjectUTRA     MeasObjectUTRA,
        measObjectGERAN    MeasObjectGERAN,
        measObjectCDMA2000 MeasObjectCDMA2000,
        ...
    }
}
-- ASN1STOP
```

– *MeasObjectUTRA*

The IE *MeasObjectUTRA* specifies information applicable for inter-RAT UTRA neighbouring cells.

***MeasObjectUTRA* information element**

```
-- ASN1START
MeasObjectUTRA ::= SEQUENCE {
    carrierFreq          ARFCN-ValueUTRA,
    offsetFreq           Q-OffsetRangeInterRAT          DEFAULT 0,
    cellsToRemoveList   CellIndexList                  OPTIONAL,          -- Need ON
    cellsToAddModList   CHOICE {
        cellsToAddModListUTRA-FDD CellsToAddModListUTRA-FDD,
        cellsToAddModListUTRA-TDD CellsToAddModListUTRA-TDD
    }
    cellForWhichToReportCGI CHOICE {
        utra-FDD          PhysCellIdUTRA-FDD,
        utra-TDD          PhysCellIdUTRA-TDD
    }
    ...,
    [[ csg-allowedReportingCells-v930 CSG-AllowedReportingCells-r9 OPTIONAL -- Need ON
    ]]
}

CellsToAddModListUTRA-FDD ::= SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddModUTRA-FDD

CellsToAddModUTRA-FDD ::= SEQUENCE {
    cellIndex          INTEGER (1..maxCellMeas),
    physCellId        PhysCellIdUTRA-FDD
}

CellsToAddModListUTRA-TDD ::= SEQUENCE (SIZE (1..maxCellMeas)) OF CellsToAddModUTRA-TDD
```

```

CellsToAddModUTRA-TDD ::= SEQUENCE {
    cellIndex          INTEGER (1..maxCellMeas),
    physCellId        PhysCellIdUTRA-TDD
}

CSG-AllowedReportingCells-r9 ::= SEQUENCE {
    physCellIdRangeUTRA-FDDList-r9  OPTIONAL  -- Need OR
}
-- ASN1STOP

```

### **MeasObjectUTRA field descriptions**

<b>carrierFreq</b>	Identifies UTRA carrier frequency for which this configuration is valid.
<b>cellIndex</b>	Entry index in the neighbouring cell list.
<b>cellsToAddModListUTRA-FDD</b>	List of UTRA FDD cells to add/ modify in the neighbouring cell list.
<b>cellsToAddModListUTRA-TDD</b>	List of UTRA TDD cells to add/modify in the neighbouring cell list.
<b>cellsToRemoveList</b>	List of cells to remove from the neighbouring cell list.
<b>csg-allowedReportingCells</b>	One or more ranges of physical cell identities for which UTRA-FDD reporting is allowed.

## – **MeasResults**

The IE *MeasResults* covers measured results for intra-frequency, inter-frequency and inter- RAT mobility.

### **MeasResults information element**

```

-- ASN1START

MeasResults ::= SEQUENCE {
    measId          MeasId,
    measResultPCell SEQUENCE {
        rsrpResult  RSRP-Range,
        rsrqResult  RSRQ-Range
    },
    measResultNeighCells CHOICE {
        measResultListEUTRA  MeasResultListEUTRA,
        measResultListUTRA   MeasResultListUTRA,
        measResultListGERAN  MeasResultListGERAN,
        measResultsCDMA2000  MeasResultsCDMA2000,
        ...
    } OPTIONAL,
    ...,
    [[ measResultForECID-r9  MeasResultForECID-r9          OPTIONAL
    ]],
    [[ locationInfo-r10     LocationInfo-r10              OPTIONAL,
    measResultServFreqList-r10 MeasResultServFreqList-r10  OPTIONAL
    ]]
}

MeasResultListEUTRA ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultEUTRA

MeasResultEUTRA ::= SEQUENCE {
    physCellId          PhysCellId,
    cgi-Info            SEQUENCE {
        cellGlobalId    CellGlobalIdEUTRA,
        trackingAreaCode TrackingAreaCode,
        plmn-IdentityList PLMN-IdentityList2
    } OPTIONAL,
    measResult          SEQUENCE {
        rsrpResult      RSRP-Range          OPTIONAL,
        rsrqResult      RSRQ-Range          OPTIONAL,
        ...,
        [[ additionalSI-Info-r9  AdditionalSI-Info-r9          OPTIONAL
        ]]
    }
}
-- ASN1STOP

```

```

MeasResultServFreqList-r10 ::= SEQUENCE (SIZE (1..maxServCell-r10)) OF MeasResultServFreq-r10

MeasResultServFreq-r10 ::= SEQUENCE {
    servFreqId-r10          ServCellIndex-r10,
    measResultSCell-r10    SEQUENCE {
        rsrpResultSCell-r10  RSRP-Range,
        rsrqResultSCell-r10  RSRQ-Range
    } OPTIONAL,
    measResultBestNeighCell-r10 SEQUENCE {
        physCellId-r10      PhysCellId,
        rsrpResultNCell-r10 RSRP-Range,
        rsrqResultNCell-r10 RSRQ-Range
    } OPTIONAL,
    ...
}

MeasResultListUTRA ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultUTRA

MeasResultUTRA ::= SEQUENCE {
    physCellId          CHOICE {
        fdd              PhysCellIdUTRA-FDD,
        tdd              PhysCellIdUTRA-TDD
    },
    cgi-Info            SEQUENCE {
        cellGlobalId    CellGlobalIdUTRA,
        locationAreaCode BIT STRING (SIZE (16)) OPTIONAL,
        routingAreaCode BIT STRING (SIZE (8))  OPTIONAL,
        plmn-IdentityList PLMN-IdentityList2  OPTIONAL
    } OPTIONAL,
    measResult          SEQUENCE {
        ultra-RSCP       INTEGER (-5..91)      OPTIONAL,
        ultra-EcN0       INTEGER (0..49)       OPTIONAL,
        ...,
        [[ additionalSI-Info-r9 AdditionalSI-Info-r9 OPTIONAL
        ]]
    }
}

MeasResultListGERAN ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultGERAN

MeasResultGERAN ::= SEQUENCE {
    carrierFreq          CarrierFreqGERAN,
    physCellId          PhysCellIdGERAN,
    cgi-Info            SEQUENCE {
        cellGlobalId    CellGlobalIdGERAN,
        routingAreaCode BIT STRING (SIZE (8))  OPTIONAL
    } OPTIONAL,
    measResult          SEQUENCE {
        rssi             INTEGER (0..63),
        ...
    }
}

MeasResultsCDMA2000 ::= SEQUENCE {
    preRegistrationStatusHRPD BOOLEAN,
    measResultListCDMA2000 MeasResultListCDMA2000
}

MeasResultListCDMA2000 ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultCDMA2000

MeasResultCDMA2000 ::= SEQUENCE {
    physCellId          PhysCellIdCDMA2000,
    cgi-Info            CellGlobalIdCDMA2000  OPTIONAL,
    measResult          SEQUENCE {
        pilotPnPhase    INTEGER (0..32767)    OPTIONAL,
        pilotStrength    INTEGER (0..63),
        ...
    }
}

MeasResultForECID-r9 ::= SEQUENCE {
    ue-RxTxTimeDiffResult-r9 INTEGER (0..4095),
    currentSFN-r9          BIT STRING (SIZE (10))
}

PLMN-IdentityList2 ::= SEQUENCE (SIZE (1..5)) OF PLMN-Identity

AdditionalSI-Info-r9 ::= SEQUENCE {

```

```

    csg-MemberStatus-r9          ENUMERATED {member}          OPTIONAL,
    csg-Identity-r9              CSG-Identity              OPTIONAL
}
-- ASN1STOP

```

### **MeasResults field descriptions**

<b>csg-MemberStatus</b>	Indicates whether or not the UE is a member of the CSG of the neighbour cell.
<b>currentSFN</b>	Indicates the current system frame number when receiving the UE Rx-Tx time difference measurement results from lower layer.
<b>locationAreaCode</b>	A fixed length code identifying the location area within a PLMN, as defined in TS 23.003 [27].
<b>measId</b>	Identifies the measurement identity for which the reporting is being performed.
<b>measResult</b>	Measured result of an E-UTRA cell; Measured result of a UTRA cell; Measured result of a GERAN cell or frequency; or Measured result of a CDMA2000 cell. Measured result of UE Rx-Tx time difference.
<b>measResultListCDMA2000</b>	List of measured results for the maximum number of reported best cells for a CDMA2000 measurement identity.
<b>measResultListEUTRA</b>	List of measured results for the maximum number of reported best cells for an E-UTRA measurement identity.
<b>measResultListGERAN</b>	List of measured results for the maximum number of reported best cells or frequencies for a GERAN measurement identity.
<b>measResultListUTRA</b>	List of measured results for the maximum number of reported best cells for a UTRA measurement identity.
<b>measResultPCell</b>	Measured result of the PCell.
<b>measResultsCDMA2000</b>	Contains the CDMA2000 HRPD pre-registration status and the list of CDMA2000 measurements.
<b>MeasResultServFreqList</b>	Measured results of the serving frequencies: the measurement result of each SCell, if any, and of the best neighbouring cell on each serving frequency.
<b>pilotPnPhase</b>	Indicates the arrival time of a CDMA2000 pilot, measured relative to the UE's time reference in units of PN chips, see C.S0005-A [25]. This information is used in either SRVCC handover or enhanced 1xRTT CS fallback procedure to CDMA2000 1xRTT.
<b>pilotStrength</b>	CDMA2000 Pilot Strength, the ratio of pilot power to total power in the signal bandwidth of a CDMA2000 Forward Channel. See C.S0005-A [25] for CDMA2000 1xRTT and C.S0024-A [26] for CDMA2000 HRPD.
<b>plmn-IdentityList</b>	The list of PLMN Identity read from broadcast information when the multiple PLMN Identities are broadcast. This field contains the list of identities starting from the second entry of PLMN Identities in the broadcast information.
<b>preRegistrationStatusHRPD</b>	Set to TRUE if the UE is currently pre-registered with CDMA2000 HRPD. Otherwise set to FALSE. This can be ignored by the eNB for CDMA2000 1xRTT.

<b>MeasResults field descriptions</b>
<p><b>routingAreaCode</b> The RAC identity read from broadcast information, as defined in TS 23.003 [27].</p>
<p><b>rsrpResult</b> Measured RSRP result of an E-UTRA cell. The rsrpResult is only reported if configured by the eNB.</p>
<p><b>rsrqResult</b> Measured RSRQ result of an E-UTRA cell. The rsrqResult is only reported if configured by the eNB.</p>
<p><b>rssi</b> GERAN Carrier RSSI. RXLEV is mapped to a value between 0 and 63, TS 45.008 [28]. When mapping the RXLEV value to the RSSI bit string, the first/leftmost bit of the bit string contains the most significant bit.</p>
<p><b>ue-RxTxTimeDiffResult</b> UE Rx-Tx time difference measurement result of the PCell, provided by lower layers. According to UE Rx-Tx time difference report mapping in TS 36.133 [16].</p>
<p><b>utra-EcN0</b> According to CPICH_Ec/No in TS 25.133 [29] for FDD. Fourteen spare values. The field is not present for TDD.</p>
<p><b>utra-RSCP</b> According to CPICH_RSCP in TS 25.133 [29] for FDD and P-CCPCH_RSCP in TS 25.123 [30] for TDD. Thirty-one spare values.</p>

## QuantityConfig

The IE *QuantityConfig* specifies the measurement quantities and layer 3 filtering coefficients for E-UTRA and inter-RAT measurements.

### QuantityConfig information element

```

-- ASN1START
QuantityConfig ::=
    SEQUENCE {
        quantityConfigEUTRA          QuantityConfigEUTRA          OPTIONAL, -- Need ON
        quantityConfigUTRA           QuantityConfigUTRA           OPTIONAL, -- Need ON
        quantityConfigGERAN          QuantityConfigGERAN          OPTIONAL, -- Need ON
        quantityConfigCDMA2000       QuantityConfigCDMA2000       OPTIONAL, -- Need ON
        . . .
        [[ quantityConfigUTRA-v1020   QuantityConfigUTRA-v1020   OPTIONAL -- Need ON
        ]]
    }

QuantityConfigEUTRA ::=
    SEQUENCE {
        filterCoefficientRSRP        FilterCoefficient          DEFAULT fc4,
        filterCoefficientRSRQ        FilterCoefficient          DEFAULT fc4
    }

QuantityConfigUTRA ::=
    SEQUENCE {
        measQuantityUTRA-FDD         ENUMERATED {cpich-RSCP, cpich-EcN0},
        measQuantityUTRA-TDD         ENUMERATED {pccpch-RSCP},
        filterCoefficient            FilterCoefficient          DEFAULT fc4
    }

QuantityConfigUTRA-v1020 ::=
    SEQUENCE {
        filterCoefficient2-FDD-r10   FilterCoefficient          DEFAULT fc4
    }

QuantityConfigGERAN ::=
    SEQUENCE {
        measQuantityGERAN            ENUMERATED {rssi},
        filterCoefficient            FilterCoefficient          DEFAULT fc2
    }

QuantityConfigCDMA2000 ::=
    SEQUENCE {
        measQuantityCDMA2000        ENUMERATED {pilotStrength, pilotPnPhaseAndPilotStrength}
    }
-- ASN1STOP

```

<b>QuantityConfig field descriptions</b>
<b>filterCoefficient2-FDD</b> Specifies the filtering coefficient used for the UTRAN FDD measurement quantity, which is not included in <i>measQuantityUTRA-FDD</i> , when <i>reportQuantityUTRA-FDD</i> is present in <i>ReportConfigInterRAT</i> .
<b>filterCoefficientRSRP</b> Specifies the filtering coefficient used for RSRP.
<b>filterCoefficientRSRQ</b> Specifies the filtering coefficient used for RSRQ.
<b>measQuantityCDMA2000</b> Measurement quantity used for CDMA2000 measurements. <i>pilotPnPhaseAndPilotStrength</i> is only applicable for <i>MeasObjectCDMA2000</i> of <i>cdma2000-Type = type1XRTT</i> .
<b>measQuantityGERAN</b> Measurement quantity used for GERAN measurements.
<b>measQuantityUTRA</b> Measurement quantity used for UTRA measurements.
<b>quantityConfigCDMA2000</b> Specifies quantity configurations for CDMA2000 measurements.
<b>quantityConfigEUTRA</b> Specifies filter configurations for E-UTRA measurements.
<b>quantityConfigGERAN</b> Specifies quantity and filter configurations for GERAN measurements.
<b>quantityConfigUTRA</b> Specifies quantity and filter configurations for UTRA measurements. Field <i>quantityConfigUTRA-v1020</i> is applicable only when <i>reportQuantityUTRA-FDD</i> is configured.

## – *ReportConfigEUTRA*

The IE *ReportConfigEUTRA* specifies criteria for triggering of an E-UTRA measurement reporting event. The E-UTRA measurement reporting events are labelled *AN* with *N* equal to 1, 2 and so on.

- Event A1: Serving becomes better than absolute threshold;
- Event A2: Serving becomes worse than absolute threshold;
- Event A3: Neighbour becomes amount of offset better than PCell;
- Event A4: Neighbour becomes better than absolute threshold;
- Event A5: PCell becomes worse than absolute threshold1 AND Neighbour becomes better than another absolute threshold2.
- Event A6: Neighbour becomes amount of offset better than SCell.

### ***ReportConfigEUTRA* information element**

```
-- ASN1START
ReportConfigEUTRA ::=
    triggerType
    event
    eventId
        eventA1
            a1-Threshold
        },
        eventA2
            a2-Threshold
        },
        eventA3
            a3-Offset
            reportOnLeave
        },
        eventA4
            a4-Threshold
        },
        eventA5
            a5-Threshold1
            a5-Threshold2
        },
        ...
    SEQUENCE {
        CHOICE {
            SEQUENCE {
                CHOICE {
                    SEQUENCE {
                        ThresholdEUTRA
                    }
                    SEQUENCE {
                        ThresholdEUTRA
                    }
                    SEQUENCE {
                        INTEGER (-30..30),
                        BOOLEAN
                    }
                    SEQUENCE {
                        ThresholdEUTRA
                    }
                    SEQUENCE {
                        ThresholdEUTRA,
                        ThresholdEUTRA
                    }
                }
            }
        }
    }
```

```

        eventA6-r10                               SEQUENCE {
            a6-Offset-r10                          INTEGER (-30..30),
            a6-ReportOnLeave-r10                   BOOLEAN
        },
        hysteresis                                Hysteresis,
        timeToTrigger                             TimeToTrigger
    },
    periodical                                    SEQUENCE {
        purpose                                    ENUMERATED {
                                                    reportStrongestCells, reportCGI}
    }
},
triggerQuantity                                ENUMERATED {rsrp, rsrq},
reportQuantity                                  ENUMERATED {sameAsTriggerQuantity, both},
maxReportCells                                  INTEGER (1..maxCellReport),
reportInterval                                  ReportInterval,
reportAmount                                    ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},
...
[[ si-RequestForHO-r9                           ENUMERATED {setup}          OPTIONAL, -- Cond reportCGI
  ue-RxTxTimeDiffPeriodical-r9                 ENUMERATED {setup}        OPTIONAL  -- Need OR
]],
[[ includeLocationInfo-r10                      ENUMERATED {true}         OPTIONAL, -- Need OR
  reportAddNeighMeas-r10                       ENUMERATED {setup}        OPTIONAL  -- Need OR
]]
}

ThresholdEUTRA ::= CHOICE{
    threshold-RSRP                               RSRP-Range,
    threshold-RSRQ                               RSRQ-Range
}
-- ASN1STOP

```

### ReportConfigEUTRA field descriptions

<b>a3-Offset/ a6-Offset</b>
Offset value to be used in EUTRA measurement report triggering condition for event a3/ a6. The actual value is IE value * 0.5 dB.
<b>aN-ThresholdM</b>
Threshold to be used in EUTRA measurement report triggering condition for event number aN. If multiple thresholds are defined for event number aN, the thresholds are differentiated by M.
<b>eventId</b>
Choice of E-UTRA event triggered reporting criteria.
<b>maxReportCells</b>
Max number of cells, excluding the serving cell, to include in the measurement report.
<b>reportAmount</b>
Number of measurement reports applicable for <i>triggerType event</i> as well as for <i>triggerType periodical</i> . In case <i>purpose</i> is set to <i>reportCGI</i> only value 1 applies.
<b>reportOnLeave/ a6-ReportOnLeave</b>
Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a cell in <i>cellsTriggeredList</i> , as specified in 5.5.4.1.
<b>reportQuantity</b>
The quantities to be included in the measurement report. The value both means that both the rsrp and rsrq quantities are to be included in the measurement report.
<b>si-RequestForHO</b>
The field applies to the <i>reportCGI</i> functionality, and when the field is included, the UE is allowed to use autonomous gaps in acquiring system information from the neighbour cell, applies a different value for T321, and includes different fields in the measurement report.
<b>ThresholdEUTRA</b>
For RSRP: RSRP based threshold for event evaluation. The actual value is IE value – 140 dBm. For RSRQ: RSRQ based threshold for event evaluation. The actual value is (IE value – 40)/2 dB.
<b>timeToTrigger</b>
Time during which specific criteria for the event needs to be met in order to trigger a measurement report.
<b>triggerQuantity</b>
The quantities used to evaluate the triggering condition for the event. The values rsrp and rsrq correspond to Reference Signal Received Power (RSRP) and Reference Signal Received Quality (RSRQ), see TS 36.214 [48].
<b>ue-RxTxTimeDiffPeriodical</b>
If this field is present, the UE shall perform UE Rx-Tx time difference measurement reporting and ignore the fields <i>triggerQuantity</i> , <i>reportQuantity</i> and <i>maxReportCells</i> . If the field is present, the only applicable values for the corresponding <i>triggerType</i> and <i>purpose</i> are periodical and reportStrongestCells respectively.

Conditional presence	Explanation
<i>reportCGI</i>	The field is optional, need OR, in case <i>purpose</i> is included and set to <i>reportCGI</i> ; otherwise the field is not present.

## – *ReportConfigId*

The IE *ReportConfigId* is used to identify a measurement reporting configuration.

### *ReportConfigId* information element

```
-- ASN1START
ReportConfigId ::=                INTEGER (1..maxReportConfigId)
-- ASN1STOP
```

## – *ReportConfigInterRAT*

The IE *ReportConfigInterRAT* specifies criteria for triggering of an inter-RAT measurement reporting event. The inter-RAT measurement reporting events are labelled *BN* with *N* equal to 1, 2 and so on.

Event B1: Neighbour becomes better than absolute threshold;

Event B2: PCell becomes worse than absolute threshold1 AND Neighbour becomes better than another absolute threshold2.

The b1 and b2 event thresholds for CDMA2000 are the CDMA2000 pilot detection thresholds are expressed as an unsigned binary number equal to  $[-2 \times 10 \log_{10} E_c/I_o]$  in units of 0.5dB, see C.S0005-A [25] for details.

### *ReportConfigInterRAT* information element

```
-- ASN1START
ReportConfigInterRAT ::=
  triggerType                CHOICE {
    event                    SEQUENCE {
      eventId                CHOICE {
        eventB1              SEQUENCE {
          b1-Threshold       CHOICE {
            b1-ThresholdUTRA  ThresholdUTRA,
            b1-ThresholdGERAN  ThresholdGERAN,
            b1-ThresholdCDMA2000  ThresholdCDMA2000
          }
        },
        eventB2              SEQUENCE {
          b2-Threshold1       ThresholdEUTRA,
          b2-Threshold2       CHOICE {
            b2-Threshold2UTRA  ThresholdUTRA,
            b2-Threshold2GERAN  ThresholdGERAN,
            b2-Threshold2CDMA2000  ThresholdCDMA2000
          }
        },
        ...
      },
      hysteresis              Hysteresis,
      timeToTrigger          TimeToTrigger
    },
    periodical                SEQUENCE {
      purpose                ENUMERATED {
        reportStrongestCells,
        reportStrongestCellsForSON,
        reportCGI
      }
    }
  },
  maxReportCells             INTEGER (1..maxCellReport),
  reportInterval             ReportInterval,
  reportAmount               ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},
  ...,
  [[ si-RequestForHO-r9      ENUMERATED {setup}          OPTIONAL   -- Cond reportCGI
  ]],
```

```

[[ reportQuantityUTRA-FDD-r10          ENUMERATED {both}          OPTIONAL  -- Need OR
]],
[[ includeLocationInfo-r11             ENUMERATED {true}          OPTIONAL  -- Need OR
]]
}

ThresholdUTRA ::=
    CHOICE {
        utra-RSCP                INTEGER (-5..91),
        utra-EcN0                INTEGER (0..49)
    }

ThresholdGERAN ::=          INTEGER (0..63)

ThresholdCDMA2000 ::=      INTEGER (0..63)

-- ASN1STOP

```

### ReportConfigInterRAT field descriptions

<b>bN-ThresholdM</b>	Threshold to be used in inter RAT measurement report triggering condition for event number bN. If multiple thresholds are defined for event number bN, the thresholds are differentiated by M.
<b>eventId</b>	Choice of inter-RAT event triggered reporting criteria.
<b>maxReportCells</b>	Max number of cells, excluding the serving cell, to include in the measurement report. In case <i>purpose</i> is set to <i>reportStrongestCellsForSON</i> only value 1 applies.
<b>Purpose</b>	<i>reportStrongestCellsForSON</i> applies only in case <i>reportConfig</i> is linked to a <i>measObject</i> set to <i>measObjectUTRA</i> or <i>measObjectCDMA2000</i> .
<b>reportAmount</b>	Number of measurement reports applicable for <i>triggerType event</i> as well as for <i>triggerType periodical</i> . In case <i>purpose</i> is set to <i>reportCGI</i> or <i>reportStrongestCellsForSON</i> only value 1 applies.
<b>reportQuantityUTRA-FDD</b>	The quantities to be included in the UTRA measurement report. The value <i>both</i> means that both the cpich RSCP and cpich EcN0 quantities are to be included in the measurement report.
<b>si-RequestForHO</b>	The field applies to the <i>reportCGI</i> functionality, and when the field is included, the UE is allowed to use autonomous gaps in acquiring system information from the neighbour cell, applies a different value for T321, and includes different fields in the measurement report.
<b>ThresholdGERAN</b>	The actual value is IE value – 110 dBm.
<b>ThresholdUTRA</b>	<i>utra-RSCP</i> corresponds to CPICH_RSCP in TS 25.133 [29] for FDD and P-CCPCH_RSCP in TS 25.123 [30] for TDD. <i>utra-EcN0</i> corresponds to CPICH_Ec/No in TS 25.133 [29] for FDD, and is not applicable for TDD. For <i>utra-RSCP</i> : The actual value is IE value – 115 dBm. For <i>utra-EcN0</i> : The actual value is (IE value – 49)/2 dB.
<b>timeToTrigger</b>	Time during which specific criteria for the event needs to be met in order to trigger a measurement report.

Conditional presence	Explanation
<i>reportCGI</i>	The field is optional, need OR, in case <i>purpose</i> is included and set to <i>reportCGI</i> ; otherwise the field is not present.

### ReportConfigToAddModList

The IE *ReportConfigToAddModList* concerns a list of reporting configurations to add or modify

#### ReportConfigToAddModList information element

```

-- ASN1START

ReportConfigToAddModList ::=          SEQUENCE (SIZE (1..maxReportConfigId)) OF ReportConfigToAddMod

ReportConfigToAddMod ::=              SEQUENCE {
    reportConfigId                    ReportConfigId,
    reportConfig                       CHOICE {
        reportConfigEUTRA              ReportConfigEUTRA,

```

```

        reportConfigInterRAT          ReportConfigInterRAT
    }
}
-- ASN1STOP

```

### – *ReportInterval*

The *ReportInterval* indicates the interval between periodical reports. The *ReportInterval* is applicable if the UE performs periodical reporting (i.e. when *reportAmount* exceeds 1), for *triggerType event* as well as for *triggerType periodical*. Value ms120 corresponds with 120 ms, ms240 corresponds with 240 ms and so on, while value min1 corresponds with 1 min, min6 corresponds with 6 min and so on.

#### **ReportInterval information element**

```

-- ASN1START
ReportInterval ::=
    ENUMERATED {
        ms120, ms240, ms480, ms640, ms1024, ms2048, ms5120, ms10240,
        min1, min6, min12, min30, min60, spare3, spare2, spare1}
-- ASN1STOP

```

### – *RSRP-Range*

The IE *RSRP-Range* specifies the value range used in RSRP measurements and thresholds. Integer value for RSRP measurements according to mapping table in TS 36.133 [16].

#### **RSRP-Range information element**

```

-- ASN1START
RSRP-Range ::=
    INTEGER(0..97)
-- ASN1STOP

```

### – *RSRQ-Range*

The IE *RSRQ-Range* specifies the value range used in RSRQ measurements and thresholds. Integer value for RSRQ measurements according to mapping table in TS 36.133 [16].

#### **RSRQ-Range information element**

```

-- ASN1START
RSRQ-Range ::=
    INTEGER(0..34)
-- ASN1STOP

```

### – *TimeToTrigger*

The IE *TimeToTrigger* specifies the value range used for time to trigger parameter, which concerns the time during which specific criteria for the event needs to be met in order to trigger a measurement report. Value ms0 corresponds to 0 ms, ms40 corresponds to 40 ms, and so on.

#### **TimeToTrigger information element**

```

-- ASN1START
TimeToTrigger ::=
    ENUMERATED {
        ms0, ms40, ms64, ms80, ms100, ms128, ms160, ms256,
        ms320, ms480, ms512, ms640, ms1024, ms1280, ms2560,

```

```
ms5120}
```

```
-- ASN1STOP
```

## 6.3.6 Other information elements

### – *AbsoluteTimeInfo*

The IE *AbsoluteTimeInfo* indicates an absolute time in a format YY-MM-DD HH:MM:SS and using BCD encoding. The first/ leftmost bit of the bit string contains the most significant bit of the most significant digit of the year and so on.

#### ***AbsoluteTimeInfo* information element**

```
-- ASN1START
AbsoluteTimeInfo-r10 ::=          BIT STRING (SIZE (48))
-- ASN1STOP
```

### – *AreaConfiguration*

The *AreaConfiguration* indicates area for which UE is requested to perform measurement logging. If not configured, measurement logging is not restricted to specific cells or tracking areas but applies as long as the RPLMN is contained in *plmn-IdentityList* stored in *VarLogMeasReport*.

#### ***AreaConfiguration* information element**

```
-- ASN1START
AreaConfiguration-r10 ::= CHOICE {
    cellGlobalIdList-r10      CellGlobalIdList-r10,
    trackingAreaCodeList-r10  TrackingAreaCodeList-r10
}
AreaConfiguration-v11x0 ::= SEQUENCE {
    trackingAreaCodeList-v11x0 TrackingAreaCodeList-v11x0
}
CellGlobalIdList-r10 ::= SEQUENCE (SIZE (1..32)) OF CellGlobalIdEUTRA
TrackingAreaCodeList-r10 ::= SEQUENCE (SIZE (1..8)) OF TrackingAreaCode
TrackingAreaCodeList-v11x0 ::= SEQUENCE {
    plmn-IdentityList-r11     SEQUENCE (SIZE (1..8)) OF PLMN-Identity
}
-- ASN1STOP
```

#### ***AreaConfiguration* field descriptions**

##### ***plmn-IdentityList***

Includes the PLMN identity for each of the TA codes included in *trackingAreaCodeList*. The PLMN identity listed first in *plmn-IdentityList* corresponds with the TA code listed first in *trackingAreaCodeList* and so on.

### – *C-RNTI*

The IE *C-RNTI* identifies a UE having a RRC connection within a cell.

#### ***C-RNTI* information element**

```
-- ASN1START
C-RNTI ::=          BIT STRING (SIZE (16))
-- ASN1STOP
```

### – *DedicatedInfoCDMA2000*

The *DedicatedInfoCDMA2000* is used to transfer UE specific CDMA2000 information between the network and the UE. The RRC layer is transparent for this information.

#### **DedicatedInfoCDMA2000 information element**

```
-- ASN1START
DedicatedInfoCDMA2000 ::= OCTET STRING
-- ASN1STOP
```

### – *DedicatedInfoNAS*

The IE *DedicatedInfoNAS* is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this information.

#### **DedicatedInfoNAS information element**

```
-- ASN1START
DedicatedInfoNAS ::= OCTET STRING
-- ASN1STOP
```

### – *FilterCoefficient*

The IE *FilterCoefficient* specifies the measurement filtering coefficient. Value *fc0* corresponds to  $k = 0$ , *fc1* corresponds to  $k = 1$ , and so on.

#### **FilterCoefficient information element**

```
-- ASN1START
FilterCoefficient ::= ENUMERATED {
    fc0, fc1, fc2, fc3, fc4, fc5,
    fc6, fc7, fc8, fc9, fc11, fc13,
    fc15, fc17, fc19, spare1, ...}
-- ASN1STOP
```

### – *LoggingDuration*

The *LoggingDuration* indicates the duration for which UE is requested to perform measurement logging. Value *min10* corresponds to 10 minutes, value *min20* corresponds to 20 minutes and so on.

#### **LoggingDuration information element**

```
-- ASN1START
LoggingDuration-r10 ::= ENUMERATED {
    min10, min20, min40, min60, min90, min120, spare2, spare1}
-- ASN1STOP
```

## – *LoggingInterval*

The *LoggingInterval* indicates the periodicity for logging measurement results. Value ms1280 corresponds to 1.28s, value ms2560 corresponds to 2.56s and so on.

### **LoggingInterval information element**

```
-- ASN1START
LoggingInterval-r10 ::=          ENUMERATED {
                                ms1280, ms2560, ms5120, ms10240, ms20480,
                                ms30720, ms40960, ms61440}
-- ASN1STOP
```

## – *MeasSubframePattern*

The IE *MeasSubframePattern* is used to specify time domain measurement resource restriction. The first/leftmost bit corresponds to the subframe #0 of the radio frame satisfying  $\text{SFN mod } x = 0$ , where SFN is that of PCell and  $x$  is the size of the bit string divided by 10. "1" denotes that the corresponding subframe is used for measurement.

### **MeasSubframePattern information element**

```
-- ASN1START
MeasSubframePattern-r10 ::= CHOICE {
  subframePatternFDD-r10          BIT STRING (SIZE (40)),
  subframePatternTDD-r10          CHOICE {
    subframeConfig1-5-r10          BIT STRING (SIZE (20)),
    subframeConfig0-r10           BIT STRING (SIZE (70)),
    subframeConfig6-r10           BIT STRING (SIZE (60)),
    ...
  },
  ...
}
-- ASN1STOP
```

## – *MMEC*

The IE *MMEC* identifies an MME within the scope of an MME Group within a PLMN, see TS 23.003 [27].

### **MMEC information element**

```
-- ASN1START
MMEC ::=          BIT STRING (SIZE (8))
-- ASN1STOP
```

## – *NeighCellConfig*

The IE *NeighCellConfig* is used to provide the information related to MBSFN and TDD UL/DL configuration of neighbour cells.

### **NeighCellConfig information element**

```
-- ASN1START
NeighCellConfig ::=          BIT STRING (SIZE (2))
-- ASN1STOP
```

**NeighCellConfig field descriptions****neighCellConfig**

Provides information related to MBSFN and TDD UL/DL configuration of neighbour cells of this frequency

00: Not all neighbour cells have the same MBSFN subframe allocation as the serving cell on this frequency, if configured, and as the PCell otherwise

10: The MBSFN subframe allocations of all neighbour cells are identical to or subsets of that in the serving cell on this frequency, if configured, and of that in the PCell otherwise

01: No MBSFN subframes are present in all neighbour cells

11: Different UL/DL allocation in neighbouring cells for TDD compared to the serving cell on this frequency, if configured, and compared to the PCell otherwise

For TDD, 00, 10 and 01 are only used for same UL/DL allocation in neighbouring cells compared to the serving cell on this frequency, if configured, and compared to the PCell otherwise.

**OtherConfig**

The IE *OtherConfig* contains configuration related to other configuration

**OtherConfig information element**

```
-- ASN1START

OtherConfig-r9 ::= SEQUENCE {
    reportProximityConfig-r9          ReportProximityConfig-r9          OPTIONAL,  -- Need ON
    ...
    [[ idc-Config-r11                 IDC-Config-r11                 OPTIONAL,  -- Need ON
      powerPrefIndicationConfig-r11  PowerPrefIndicationConfig-r11  OPTIONAL,  -- Need ON
      obtainLocationConfig-r11       ObtainLocationConfig-r11       OPTIONAL,  -- Need ON
    ]]
}

IDC-Config-r11 ::= CHOICE {
    release                            NULL,
    setup                              SEQUENCE {
        autonomousDenialParameters-r11 SEQUENCE {
            autonomousDenialSubframes-r11  ENUMERATED {n2, n5, n10, n15,
                                                    n20, n30, spare2, spare1},
            autonomousDenialValidity-r11    ENUMERATED {
                sf200, sf500, sf1000, sf2000,
                spare4, spare3, spare2, spare1}
        }
        ...
    }
}

ObtainLocationConfig-r11 ::= SEQUENCE {
    obtainLocation-r11                ENUMERATED {setup}                OPTIONAL  -- Need OR
}

PowerPrefIndicationConfig-r11 ::= SEQUENCE {
    powerPrefIndication-Enabled-r11    ENUMERATED {enabled}                OPTIONAL,  -- Need OR
    powerPrefIndication-Timer-r11     ENUMERATED {s0, s0dot5, s1, s2, s5, s10, s20,
                                                    s30, s60, s90, s120, s300, s600, spare3,
                                                    spare2, spare1}                OPTIONAL  -- Cond ppiENABLED
}

ReportProximityConfig-r9 ::= SEQUENCE {
    proximityIndicationEUTRA-r9        ENUMERATED {enabled}                OPTIONAL,  -- Need OR
    proximityIndicationUTRA-r9         ENUMERATED {enabled}                OPTIONAL,  -- Need OR
}

-- ASN1STOP
```

<b>OtherConfig field descriptions</b>
<p><b>autonomousDenialSubframes</b> Indicates the maximum number of the assigned UL subframes for which the UE is allowed to deny the scheduled UL transmission. Value <i>n2</i> corresponds to 2 subframes, <i>n5</i> to 5 subframes and so on.</p>
<p><b>autonomousDenialValidity</b> Indicates the validity period over which the autonomous denial subframes shall be counted. Value <i>sf200</i> corresponds to 200 subframes, <i>sf500</i> corresponds to 500 subframes and so on.</p>
<p><b>obtainLocation</b> Requests the UE to attempt to have detailed location information available using GNSS. E-UTRAN configures the field only if <i>includeLocationInfo</i> is configured for one or more measurements.</p>
<p><b>powerPrefIndication-Enabled</b> The field is used to indicate whether Power Preference Indication reporting from the UE is allowed or not.</p>
<p><b>powerPrefIndication-Timer</b> Prohibit timer for Power Preference Indication reporting. Value in seconds. Value <i>s0</i> means prohibit timer is set to 0 second or not set, value <i>s0dot5</i> means prohibit timer is set to 0.5 second, value <i>s1</i> means prohibit timer is set to 1 second and so on.</p>
<p><b>reportProximityConfig</b> Indicates, for each of the applicable RATs (EUTRA, UTRA), whether or not proximity indication is enabled for CSG member cell(s) of the concerned RAT. Note.</p>

<b>Conditional presence</b>	<b>Explanation</b>
<i>ppiENABLED</i>	The field is mandatory present in case <i>powerPrefIndication-Enabled</i> is present; otherwise the field is not present.

NOTE: Enabling/ disabling of proximity indication includes enabling/ disabling of the related functionality e.g. autonomous search in connected mode.

## – *PowerPrefIndication*

The IE *PowerPrefIndication* is used to provide information related to the UE power saving preference.

### **PowerPrefIndication information element**

```
-- ASN1START
PowerPrefIndication-r11 ::=          ENUMERATED {default, lowpowerconsumption}
-- ASN1STOP
```

<b>PowerPrefIndication field descriptions</b>
<p><b>PowerPrefIndication</b> Value <i>default</i> indicates the UE preference for a default configuration for power saving while <i>lowpowerconsumption</i> indicates the UE preference for a configuration that is primarily optimised for power saving.</p>

## – *RAND-CDMA2000 (1xRTT)*

The *RAND-CDMA2000* concerns a random value, generated by the eNB, to be passed to the CDMA2000 upper layers.

### **RAND-CDMA2000 information element**

```
-- ASN1START
RAND-CDMA2000 ::=          BIT STRING (SIZE (32))
-- ASN1STOP
```

– *RAT-Type*

The IE *RAT-Type* is used to indicate the radio access technology (RAT), including E-UTRA, of the requested/transferred UE capabilities.

***RAT-Type* information element**

```
-- ASN1START
RAT-Type ::=
    ENUMERATED {
        eutra, utra, geran-cs, geran-ps, cdma2000-1XRTT,
        spare3, spare2, spare1, ...}
-- ASN1STOP
```

– *RRC-TransactionIdentifier*

The IE *RRC-TransactionIdentifier* is used, together with the message type, for the identification of an RRC procedure (transaction).

***RRC-TransactionIdentifier* information element**

```
-- ASN1START
RRC-TransactionIdentifier ::=
    INTEGER (0..3)
-- ASN1STOP
```

– *S-TMSI*

The IE *S-TMSI* contains an S-Temporary Mobile Subscriber Identity, a temporary UE identity provided by the EPC which uniquely identifies the UE within the tracking area, see TS 23.003 [27].

***S-TMSI* information element**

```
-- ASN1START
S-TMSI ::=
    SEQUENCE {
        mmec          MMEC,
        m-TMSI        BIT STRING (SIZE (32))
    }
-- ASN1STOP
```

***S-TMSI* field descriptions**

***m-TMSI***

The first/leftmost bit of the bit string contains the most significant bit of the M-TMSI.

– *TraceReference*

The *TraceReference* contains parameter Trace Reference as defined in TS 32.422 [58].

***TraceReference* information element**

```
-- ASN1START
TraceReference-r10 ::=
    SEQUENCE {
        plmn-Identity-r10 PLMN-Identity,
        traceId-r10       OCTET STRING (SIZE (3))
    }
-- ASN1STOP
```

## – UE-CapabilityRAT-ContainerList

The IE *UE-CapabilityRAT-ContainerList* contains list of containers, one for each RAT for which UE capabilities are transferred, if any.

### UE-CapabilityRAT-ContainerList information element

```
-- ASN1START
UE-CapabilityRAT-ContainerList ::=SEQUENCE (SIZE (0..maxRAT-Capabilities)) OF UE-CapabilityRAT-Container
UE-CapabilityRAT-Container ::= SEQUENCE {
    rat-Type          RAT-Type,
    ueCapabilityRAT-Container OCTET STRING
}
-- ASN1STOP
```

### UECapabilityRAT-ContainerList field descriptions

#### ueCapabilityRAT-Container

Container for the UE capabilities of the indicated RAT. The encoding is defined in the specification of each RAT:

For E-UTRA: the encoding of UE capabilities is defined in IE *UE-EUTRA-Capability*.

For UTRA: the octet string contains the INTER RAT HANDOVER INFO message defined in TS 25.331 [19].

For GERAN CS: the octet string contains the concatenated string of the Mobile Station Classmark 2 and Mobile Station Classmark 3. The first 5 octets correspond to Mobile Station Classmark 2 and the following octets correspond to Mobile Station Classmark 3. The Mobile Station Classmark 2 is formatted as 'TLV' and is coded in the same way as the *Mobile Station Classmark 2* information element in TS 24.008 [49]. The first octet is the *Mobile station classmark 2 IEI* and its value shall be set to 33H. The second octet is the *Length of mobile station classmark 2* and its value shall be set to 3. The octet 3 contains the first octet of the value part of the *Mobile Station Classmark 2* information element, the octet 4 contains the second octet of the value part of the *Mobile Station Classmark 2* information element and so on. For each of these octets, the first/ leftmost/ most significant bit of the octet contains b8 of the corresponding octet of the Mobile Station Classmark 2. The Mobile Station Classmark 3 is formatted as 'V' and is coded in the same way as the value part in the *Mobile station classmark 3* information element in TS 24.008 [49]. The sixth octet of this octet string contains octet 1 of the value part of *Mobile station classmark 3*, the seventh of octet of this octet string contains octet 2 of the value part of *Mobile station classmark 3* and so on. Note.

For GERAN PS: the encoding of UE capabilities is formatted as 'V' and is coded in the same way as the value part in the *MS Radio Access Capability* information element in TS 24.008 [49].

For CDMA2000-1XRTT: the octet string contains the A21 Mobile Subscription Information and the encoding of this is defined in A.S0008-C [33]. The A21 Mobile Subscription Information contains the supported CDMA2000 1xRTT band class and band sub-class information.

NOTE: The value part is specified by means of CSN.1, which encoding results in a bit string, to which final padding may be appended up to the next octet boundary TS 24.008 [49]. The first/ leftmost bit of the CSN.1 bit string is placed in the first/ leftmost/ most significant bit of the first octet. This continues until the last bit of the CSN.1 bit string, which is placed in the last/ rightmost/ least significant bit of the last octet.

## – UE-EUTRA-Capability

The IE *UE-EUTRA-Capability* is used to convey the E-UTRA UE Radio Access Capability Parameters, see TS 36.306 [5], and the Feature Group Indicators for mandatory features (defined in Annexes B.1 and C.1) to the network. The IE *UE-EUTRA-Capability* is transferred in E-UTRA or in another RAT.

### UE-EUTRA-Capability information element

```
-- ASN1START
UE-EUTRA-Capability ::= SEQUENCE {
    accessStratumRelease    AccessStratumRelease,
    ue-Category             INTEGER (1..5),
    pdcp-Parameters        PDCP-Parameters,
    phyLayerParameters      PhyLayerParameters,
    rf-Parameters          RF-Parameters,
    measParameters         MeasParameters,
    featureGroupIndicators  BIT STRING (SIZE (32)) OPTIONAL,
    interRAT-Parameters    SEQUENCE {
        utraFDD             IRAT-ParametersUTRA-FDD OPTIONAL,
        utraTDD128         IRAT-ParametersUTRA-TDD128 OPTIONAL,
    }
}
```

```

        ultraTDD384          IRAT-ParametersUTRA-TDD384          OPTIONAL,
        ultraTDD768          IRAT-ParametersUTRA-TDD768          OPTIONAL,
        geran                 IRAT-ParametersGERAN           OPTIONAL,
        cdma2000-HRPD          IRAT-ParametersCDMA2000-HRPD       OPTIONAL,
        cdma2000-1xRTT         IRAT-ParametersCDMA2000-1XRTT       OPTIONAL
    },
    nonCriticalExtension      UE-EUTRA-Capability-v920-IEs      OPTIONAL
}

UE-EUTRA-Capability-v920-IEs ::= SEQUENCE {
    phyLayerParameters-v920    PhyLayerParameters-v920,
    interRAT-ParametersGERAN-v920  IRAT-ParametersGERAN-v920,
    interRAT-ParametersUTRA-v920    IRAT-ParametersUTRA-v920          OPTIONAL,
    interRAT-ParametersCDMA2000-v920 IRAT-ParametersCDMA2000-1XRTT-v920 OPTIONAL,
    deviceType-r9                ENUMERATED {noBenFromBatConsumpOpt} OPTIONAL,
    csg-ProximityIndicationParameters-r9 CSG-ProximityIndicationParameters-r9,
    neighCellSI-AcquisitionParameters-r9 NeighCellSI-AcquisitionParameters-r9,
    son-Parameters-r9            SON-Parameters-r9,
    nonCriticalExtension          UE-EUTRA-Capability-v940-IEs      OPTIONAL
}

UE-EUTRA-Capability-v940-IEs ::= SEQUENCE {
    lateNonCriticalExtension      OCTET STRING (CONTAINING UE-EUTRA-Capability-v9a0-IEs)
                                  OPTIONAL,
    nonCriticalExtension          UE-EUTRA-Capability-v1020-IEs    OPTIONAL
}

UE-EUTRA-Capability-v9a0-IEs ::= SEQUENCE {
    featureGroupIndRel9Add-r9     BIT STRING (SIZE (32))          OPTIONAL,
    fdd-Add-UE-EUTRA-Capabilities-r9 UE-EUTRA-CapabilityAddXDD-Mode-r9 OPTIONAL,
    tdd-Add-UE-EUTRA-Capabilities-r9 UE-EUTRA-CapabilityAddXDD-Mode-r9 OPTIONAL,
    nonCriticalExtension          UE-EUTRA-Capability-v9c0-IEs    OPTIONAL
}

UE-EUTRA-Capability-v9c0-IEs ::= SEQUENCE {
    interRAT-ParametersUTRA-v9c0  IRAT-ParametersUTRA-v9c0        OPTIONAL,
    nonCriticalExtension          SEQUENCE {}                          OPTIONAL
}

UE-EUTRA-Capability-v1020-IEs ::= SEQUENCE {
    ue-Category-v1020             INTEGER (6..8)                  OPTIONAL,
    phyLayerParameters-v1020      PhyLayerParameters-v1020        OPTIONAL,
    rf-Parameters-v1020           RF-Parameters-v1020            OPTIONAL,
    measParameters-v1020          MeasParameters-v1020           OPTIONAL,
    featureGroupIndRel10-r10      BIT STRING (SIZE (32))          OPTIONAL,
    interRAT-ParametersCDMA2000-v1020 IRAT-ParametersCDMA2000-1XRTT-v1020 OPTIONAL,
    ue-BasedNetwPerfMeasParameters-r10 UE-BasedNetwPerfMeasParameters-r10 OPTIONAL,
    interRAT-ParametersUTRA-TDD-v1020 IRAT-ParametersUTRA-TDD-v1020    OPTIONAL,
    nonCriticalExtension          UE-EUTRA-Capability-v1060-IEs    OPTIONAL
}

UE-EUTRA-Capability-v1060-IEs ::= SEQUENCE {
    fdd-Add-UE-EUTRA-Capabilities-v1060 UE-EUTRA-CapabilityAddXDD-Mode-v1060 OPTIONAL,
    tdd-Add-UE-EUTRA-Capabilities-v1060 UE-EUTRA-CapabilityAddXDD-Mode-v1060 OPTIONAL,
    rf-Parameters-v1060           RF-Parameters-v1060            OPTIONAL,
    nonCriticalExtension          SEQUENCE {}                          OPTIONAL
}

UE-EUTRA-CapabilityAddXDD-Mode-r9 ::= SEQUENCE {
    phyLayerParameters-r9         PhyLayerParameters              OPTIONAL,
    featureGroupIndicators-r9     BIT STRING (SIZE (32))          OPTIONAL,
    featureGroupIndRel9Add-r9     BIT STRING (SIZE (32))          OPTIONAL,
    interRAT-ParametersGERAN-r9   IRAT-ParametersGERAN           OPTIONAL,
    interRAT-ParametersUTRA-r9    IRAT-ParametersUTRA-v920        OPTIONAL,
    interRAT-ParametersCDMA2000-r9 IRAT-ParametersCDMA2000-1XRTT-v920 OPTIONAL,
    neighCellSI-AcquisitionParameters-r9 NeighCellSI-AcquisitionParameters-r9 OPTIONAL,
    ...
}

UE-EUTRA-CapabilityAddXDD-Mode-v1060 ::= SEQUENCE {
    phyLayerParameters-v1060      PhyLayerParameters-v1020        OPTIONAL,
    featureGroupIndRel10-v1060    BIT STRING (SIZE (32))          OPTIONAL,
    interRAT-ParametersCDMA2000-v1060 IRAT-ParametersCDMA2000-1XRTT-v1020 OPTIONAL,
    interRAT-ParametersUTRA-TDD-v1060 IRAT-ParametersUTRA-TDD-v1020    OPTIONAL,
    ...
}

AccessStratumRelease ::= ENUMERATED {

```

```

re18, re19, re110, spare5, spare4, spare3,
spare2, spare1, ...}

PDCP-Parameters ::= SEQUENCE {
  supportedROHC-Profiles SEQUENCE {
    profile0x0001 BOOLEAN,
    profile0x0002 BOOLEAN,
    profile0x0003 BOOLEAN,
    profile0x0004 BOOLEAN,
    profile0x0006 BOOLEAN,
    profile0x0101 BOOLEAN,
    profile0x0102 BOOLEAN,
    profile0x0103 BOOLEAN,
    profile0x0104 BOOLEAN
  },
  maxNumberROHC-ContextSessions ENUMERATED {
    cs2, cs4, cs8, cs12, cs16, cs24, cs32,
    cs48, cs64, cs128, cs256, cs512, cs1024,
    cs16384, spare2, spare1} DEFAULT cs16,
  ...
}

PhyLayerParameters ::= SEQUENCE {
  ue-TxAntennaSelectionSupported BOOLEAN,
  ue-SpecificRefSigsSupported BOOLEAN
}

PhyLayerParameters-v920 ::= SEQUENCE {
  enhancedDualLayerFDD-r9 ENUMERATED {supported} OPTIONAL,
  enhancedDualLayerTDD-r9 ENUMERATED {supported} OPTIONAL
}

PhyLayerParameters-v1020 ::= SEQUENCE {
  twoAntennaPortsForPUCCH-r10 ENUMERATED {supported} OPTIONAL,
  tm9-With-8Tx-FDD-r10 ENUMERATED {supported} OPTIONAL,
  pmi-Disabling-r10 ENUMERATED {supported} OPTIONAL,
  crossCarrierScheduling-r10 ENUMERATED {supported} OPTIONAL,
  simultaneousPUCCH-PUSCH-r10 ENUMERATED {supported} OPTIONAL,
  multiClusterPUSCH-WithinCC-r10 ENUMERATED {supported} OPTIONAL,
  nonContiguousUL-RA-WithinCC-List-r10 NonContiguousUL-RA-WithinCC-List-r10 OPTIONAL
}

NonContiguousUL-RA-WithinCC-List-r10 ::= SEQUENCE (SIZE (1..maxBands)) OF NonContiguousUL-RA-
WithinCC-r10

NonContiguousUL-RA-WithinCC-r10 ::= SEQUENCE {
  nonContiguousUL-RA-WithinCC-Info-r10 ENUMERATED {supported} OPTIONAL
}

RF-Parameters ::= SEQUENCE {
  supportedBandListEUTRA SupportedBandListEUTRA
}

RF-Parameters-v1020 ::= SEQUENCE {
  supportedBandCombination-r10 SupportedBandCombination-r10
}

RF-Parameters-v1060 ::= SEQUENCE {
  supportedBandCombinationExt-r10 SupportedBandCombinationExt-r10
}

SupportedBandCombination-r10 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF BandCombinationParameters-
r10

SupportedBandCombinationExt-r10 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF
BandCombinationParametersExt-r10

BandCombinationParameters-r10 ::= SEQUENCE (SIZE (1..maxSimultaneousBands-r10)) OF BandParameters-
r10

BandCombinationParametersExt-r10 ::= SEQUENCE {
  supportedBandwidthCombinationSet-r10 SupportedBandwidthCombinationSet-r10 OPTIONAL
}

SupportedBandwidthCombinationSet-r10 ::= BIT STRING (SIZE (1..maxBandwidthCombSet-r10))

BandParameters-r10 ::= SEQUENCE {
  bandEUTRA-r10 INTEGER (1..64),

```

```

    bandParametersUL-r10          BandParametersUL-r10          OPTIONAL,
    bandParametersDL-r10          BandParametersDL-r10          OPTIONAL
}

BandParametersUL-r10 ::= SEQUENCE (SIZE (1..maxBandwidthClass-r10)) OF CA-MIMO-ParametersUL-r10

CA-MIMO-ParametersUL-r10 ::= SEQUENCE {
    ca-BandwidthClassUL-r10      CA-BandwidthClass-r10,
    supportedMIMO-CapabilityUL-r10 MIMO-CapabilityUL-r10          OPTIONAL
}

BandParametersDL-r10 ::= SEQUENCE (SIZE (1..maxBandwidthClass-r10)) OF CA-MIMO-ParametersDL-r10

CA-MIMO-ParametersDL-r10 ::= SEQUENCE {
    ca-BandwidthClassDL-r10      CA-BandwidthClass-r10,
    supportedMIMO-CapabilityDL-r10 MIMO-CapabilityDL-r10          OPTIONAL
}

CA-BandwidthClass-r10 ::= ENUMERATED {a, b, c, d, e, f, ...}

MIMO-CapabilityUL-r10 ::= ENUMERATED {twoLayers, fourLayers}

MIMO-CapabilityDL-r10 ::= ENUMERATED {twoLayers, fourLayers, eightLayers}

SupportedBandListEUTRA ::= SEQUENCE (SIZE (1..maxBands)) OF SupportedBandEUTRA

SupportedBandEUTRA ::= SEQUENCE {
    bandEUTRA                    INTEGER (1..64),
    halfDuplex                    BOOLEAN
}

MeasParameters ::= SEQUENCE {
    bandListEUTRA                BandListEUTRA
}

MeasParameters-v1020 ::= SEQUENCE {
    bandCombinationListEUTRA-r10 BandCombinationListEUTRA-r10
}

BandListEUTRA ::= SEQUENCE (SIZE (1..maxBands)) OF BandInfoEUTRA

BandCombinationListEUTRA-r10 ::= SEQUENCE (SIZE (1..maxBandComb-r10)) OF BandInfoEUTRA

BandInfoEUTRA ::= SEQUENCE {
    interFreqBandList            InterFreqBandList,
    interRAT-BandList            InterRAT-BandList          OPTIONAL
}

InterFreqBandList ::= SEQUENCE (SIZE (1..maxBands)) OF InterFreqBandInfo

InterFreqBandInfo ::= SEQUENCE {
    interFreqNeedForGaps         BOOLEAN
}

InterRAT-BandList ::= SEQUENCE (SIZE (1..maxBands)) OF InterRAT-BandInfo

InterRAT-BandInfo ::= SEQUENCE {
    interRAT-NeedForGaps         BOOLEAN
}

IRAT-ParametersUTRA-FDD ::= SEQUENCE {
    supportedBandListUTRA-FDD    SupportedBandListUTRA-FDD
}

IRAT-ParametersUTRA-v920 ::= SEQUENCE {
    e-RedirectionUTRA-r9         ENUMERATED {supported}
}

IRAT-ParametersUTRA-v9c0 ::= SEQUENCE {
    voiceOverPS-HS-UTRA-FDD-r9   ENUMERATED {supported}          OPTIONAL,
    voiceOverPS-HS-UTRA-TDD128-r9 ENUMERATED {supported}          OPTIONAL,
    srvcc-FromUTRA-FDD-ToUTRA-FDD-r9 ENUMERATED {supported}          OPTIONAL,
    srvcc-FromUTRA-FDD-ToGERAN-r9  ENUMERATED {supported}          OPTIONAL,
    srvcc-FromUTRA-TDD128-ToUTRA-TDD128-r9 ENUMERATED {supported}          OPTIONAL,
    srvcc-FromUTRA-TDD128-ToGERAN-r9 ENUMERATED {supported}          OPTIONAL
}

SupportedBandListUTRA-FDD ::= SEQUENCE (SIZE (1..maxBands)) OF SupportedBandUTRA-FDD

```

```

SupportedBandUTRA-FDD ::=
    ENUMERATED {
        bandI, bandII, bandIII, bandIV, bandV, bandVI,
        bandVII, bandVIII, bandIX, bandX, bandXI,
        bandXII, bandXIII, bandXIV, bandXV, bandXVI, ...,
        bandXVII-8a0, bandXVIII-8a0, bandXIX-8a0, bandXX-8a0,
        bandXXI-8a0, bandXXII-8a0, bandXXIII-8a0, bandXXIV-8a0,
        bandXXV-8a0, bandXXVI-8a0, bandXXVII-8a0, bandXXVIII-8a0,
        bandXXIX-8a0, bandXXX-8a0, bandXXXI-8a0, bandXXXII-8a0}

IRAT-ParametersUTRA-TDD128 ::=
    SEQUENCE {
        supportedBandListUTRA-TDD128
    }

SupportedBandListUTRA-TDD128 ::=
    SEQUENCE (SIZE (1..maxBands)) OF SupportedBandUTRA-TDD128

SupportedBandUTRA-TDD128 ::=
    ENUMERATED {
        a, b, c, d, e, f, g, h, i, j, k, l, m, n,
        o, p, ...}

IRAT-ParametersUTRA-TDD384 ::=
    SEQUENCE {
        supportedBandListUTRA-TDD384
    }

SupportedBandListUTRA-TDD384 ::=
    SEQUENCE (SIZE (1..maxBands)) OF SupportedBandUTRA-TDD384

SupportedBandUTRA-TDD384 ::=
    ENUMERATED {
        a, b, c, d, e, f, g, h, i, j, k, l, m, n,
        o, p, ...}

IRAT-ParametersUTRA-TDD768 ::=
    SEQUENCE {
        supportedBandListUTRA-TDD768
    }

SupportedBandListUTRA-TDD768 ::=
    SEQUENCE (SIZE (1..maxBands)) OF SupportedBandUTRA-TDD768

SupportedBandUTRA-TDD768 ::=
    ENUMERATED {
        a, b, c, d, e, f, g, h, i, j, k, l, m, n,
        o, p, ...}

IRAT-ParametersUTRA-TDD-v1020 ::=
    SEQUENCE {
        e-RedirectionUTRA-TDD-r10
        ENUMERATED {supported}
    }

IRAT-ParametersGERAN ::=
    SEQUENCE {
        supportedBandListGERAN
        interRAT-PS-HO-ToGERAN
        BOOLEAN
    }

IRAT-ParametersGERAN-v920 ::=
    SEQUENCE {
        dtm-r9
        e-RedirectionGERAN-r9
        ENUMERATED {supported} OPTIONAL,
        ENUMERATED {supported} OPTIONAL
    }

SupportedBandListGERAN ::=
    SEQUENCE (SIZE (1..maxBands)) OF SupportedBandGERAN

SupportedBandGERAN ::=
    ENUMERATED {
        gsm450, gsm480, gsm710, gsm750, gsm810, gsm850,
        gsm900P, gsm900E, gsm900R, gsm1800, gsm1900,
        spare5, spare4, spare3, spare2, spare1, ...}

IRAT-ParametersCDMA2000-HRPD ::=
    SEQUENCE {
        supportedBandListHRPD
        tx-ConfigHRPD
        ENUMERATED {single, dual},
        rx-ConfigHRPD
        ENUMERATED {single, dual}
    }

SupportedBandListHRPD ::=
    SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandclassCDMA2000

IRAT-ParametersCDMA2000-1XRTT ::=
    SEQUENCE {
        supportedBandList1XRTT
        SupportedBandList1XRTT,
        tx-Config1XRTT
        ENUMERATED {single, dual},
        rx-Config1XRTT
        ENUMERATED {single, dual}
    }

IRAT-ParametersCDMA2000-1XRTT-v920 ::=
    SEQUENCE {
        e-CSFB-1XRTT-r9
        ENUMERATED {supported},
        e-CSFB-ConcPS-Mob1XRTT-r9
        ENUMERATED {supported} OPTIONAL
    }

```

```
}
IRAT-ParametersCDMA2000-1XRTT-v1020 ::= SEQUENCE {
    e-CSFB-dual-1XRTT-r10      ENUMERATED {supported}
}
SupportedBandList1XRTT ::= SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandclassCDMA2000
CSG-ProximityIndicationParameters-r9 ::= SEQUENCE {
    intraFreqProximityIndication-r9 ENUMERATED {supported}      OPTIONAL,
    interFreqProximityIndication-r9 ENUMERATED {supported}      OPTIONAL,
    utran-ProximityIndication-r9     ENUMERATED {supported}      OPTIONAL
}
NeighCellSI-AcquisitionParameters-r9 ::= SEQUENCE {
    intraFreqSI-AcquisitionForHO-r9 ENUMERATED {supported}      OPTIONAL,
    interFreqSI-AcquisitionForHO-r9 ENUMERATED {supported}      OPTIONAL,
    utran-SI-AcquisitionForHO-r9     ENUMERATED {supported}      OPTIONAL
}
SON-Parameters-r9 ::= SEQUENCE {
    rach-Report-r9              ENUMERATED {supported}          OPTIONAL
}
UE-BasedNetwPerfMeasParameters-r10 ::= SEQUENCE {
    loggedMeasurementsIdle-r10   ENUMERATED {supported}        OPTIONAL,
    standaloneGNSS-Location-r10  ENUMERATED {supported}        OPTIONAL
}
-- ASN1STOP
```

<b>UE-EUTRA-Capability field descriptions</b>	<b>FDD/ TDD diff</b>
<b>accessStratumRelease</b> Set to rel10 in this version of the specification.	-
<b>bandCombinationListEUTRA</b> One entry corresponding to each supported band combination listed in the same order as in <i>supportedBandCombination</i> .	-
<b>bandEUTRA</b> E-UTRA band as defined in TS 36.101 [42].	-
<b>bandListEUTRA</b> One entry corresponding to each supported E-UTRA band listed in the same order as in <i>supportedBandListEUTRA</i> . This field shall include all bands which are indicated in <i>BandCombinationParameters-r10</i> .	-
<b>CA-BandwidthClass</b> The CA bandwidth class supported by the UE as defined in TS 36.101 [42, Table 5.6A-1].	-
<b>crossCarrierScheduling</b>	No
<b>deviceType</b> UE may set the value to "noBenFromBatConsumpOpt" when it does not foresee to particularly benefit from NW-based battery consumption optimisation. Absence of this value means that the device does benefit from NW-based battery consumption optimisation.	-
<b>dtm</b> Indicates whether the UE supports DTM in GERAN.	-
<b>e-CSFB-1XRTT</b> Indicates whether the UE supports enhanced CS fallback to CDMA2000 1xRTT or not.	Yes
<b>e-CSFB-ConcPS-Mob1XRTT</b> Indicates whether the UE supports concurrent enhanced CS fallback to CDMA2000 1xRTT and PS handover/ redirection to CDMA2000 HRPD.	Yes
<b>e-CSFB-dual-1XRTT</b> Indicates whether the UE supports enhanced CS fallback to CDMA2000 1xRTT for dual Rx/Tx configuration. This bit can only be set to supported if <i>tx-Config1XRTT</i> and <i>rx-Config1XRTT</i> are both set to dual.	Yes
<b>enhancedDualLayerTDD</b> Indicates whether the UE supports enhanced dual layer (PDSCH transmission mode 8) for TDD or not.	-
<b>e-RedirectionUTRA</b>	Yes
<b>e-RedirectionUTRA-TDD</b> Indicates whether the UE supports enhanced redirection to UTRA TDD using SIB related to multiple carrier frequencies provided by <i>RRCCConnectionRelease</i> or not.	Yes
<b>featureGroupIndicators, featureGroupIndRel9Add, featureGroupIndRel10</b> The definitions of the bits in the bit string are described in Annex B.1 (for <i>featureGroupIndicators</i> and <i>featureGroupIndRel9Add</i> ) and in Annex C.1.(for <i>featureGroupIndRel10</i> )	Yes
<b>halfDuplex</b> If <i>halfDuplex</i> is set to true, only half duplex operation is supported for the band, otherwise full duplex operation is supported.	-
<b>interFreqBandList</b> One entry corresponding to each supported E-UTRA band listed in the same order as in <i>supportedBandListEUTRA</i> .	-
<b>interFreqNeedForGaps</b> Indicates need for measurement gaps when operating on the E-UTRA band given by the entry in <i>bandListEUTRA</i> or on the E-UTRA band combination given by the entry in <i>bandCombinationListEUTRA</i> and measuring on the E-UTRA band given by the entry in <i>interFreqBandList</i> .	-
<b>interFreqProximityIndication</b> Indicates whether the UE supports proximity indication for inter-frequency E-UTRAN CSG member cells.	-
<b>interFreqSI-AcquisitionForHO</b> Indicates whether the UE supports, upon configuration of si-RequestForHO by the network, acquisition and reporting of relevant information using autonomous gaps by reading the SI from a neighbouring inter-frequency cell.	Yes
<b>interRAT-BandList</b> One entry corresponding to each supported band of another RAT listed in the same order as in the <i>interRAT-Parameters</i> .	-
<b>interRAT-NeedForGaps</b> Indicates need for DL measurement gaps when operating on the E-UTRA band given by the entry in <i>bandListEUTRA</i> or on the E-UTRA band combination given by the entry in <i>bandCombinationListEUTRA</i> and measuring on the inter-RAT band given by the entry in the	-

<b>UE-EUTRA-Capability field descriptions</b>	<b>FDD/ TDD diff</b>
<i>interRAT-BandList.</i>	
<b>interRAT-PS-HO-ToGERAN</b> Indicates whether the UE supports inter-RAT PS handover to GERAN or not.	Yes
<b>intraFreqProximityIndication</b> Indicates whether the UE supports proximity indication for intra-frequency E-UTRAN CSG member cells.	-
<b>intraFreqSI-AcquisitionForHO</b> Indicates whether the UE supports, upon configuration of si-RequestForHO by the network, acquisition and reporting of relevant information using autonomous gaps by reading the SI from a neighbouring intra-frequency cell.	Yes
<b>loggedMeasurementsIdle</b> Indicates whether the UE supports logged measurements in Idle mode.	-
<b>maxNumberROHC-ContextSessions</b> Set to the maximum number of concurrently active ROHC contexts supported by the UE, excluding context sessions that leave all headers uncompressed. cs2 corresponds with 2 (context sessions), cs4 corresponds with 4 and so on. The network ignores this field if the UE supports none of the ROHC profiles in <i>supportedROHC-Profiles</i> .	-
<b>MIMO-CapabilityDL</b> The number of supported layers for spatial multiplexing in DL.	-
<b>MIMO-CapabilityUL</b> The number of supported layers for spatial multiplexing in UL.	-
<b>multiClusterPUSCH-WithinCC</b>	No
<b>NonContiguousUL-RA-WithinCC-List</b> One entry corresponding to each supported E-UTRA band listed in the same order as in <i>supportedBandListEUTRA</i> .	No
<b>pmi-Disabling</b>	Yes
<b>rach-Report</b> Indicates whether the UE supports delivery of rachReport.	-
<b>simultaneousPUCCH-PUSCH</b>	No
<b>srvcc-FromUTRA-FDD-ToGERAN</b> Indicates whether UE supports SRVCC handover from UTRA FDD PS HS to GERAN CS.	-
<b>srvcc-FromUTRA-FDD-ToUTRA-FDD</b> Indicates whether UE supports SRVCC handover from UTRA FDD PS HS to UTRA FDD CS.	-
<b>srvcc-FromUTRA-TDD128-ToGERAN</b> Indicates whether UE supports SRVCC handover from UTRA TDD 1.28Mcps PS HS to GERAN CS.	-
<b>srvcc-FromUTRA-TDD128-ToUTRA-TDD128</b> Indicates whether UE supports SRVCC handover from UTRA TDD 1.28Mcps PS HS to UTRA TDD 1.28Mcps CS.	-
<b>standaloneGNSS-Location</b> Indicates whether the UE is equipped with a standalone GNSS receiver that may be used to provide detailed location information in RRC measurement report and logged measurements.	-
<b>SupportedBandCombinationExt</b> Each entry corresponds to the band combination listed in the same order as in <i>supportedBandCombination</i> .	-
<b>SupportedBandGERAN</b> GERAN band as defined in TS 45.005 [20].	No
<b>SupportedBandList1XRTT</b> One entry corresponding to each supported CDMA2000 1xRTT band class.	-
<b>SupportedBandListGERAN</b>	No
<b>SupportedBandListHRPD</b> One entry corresponding to each supported CDMA2000 HRPD band class.	-
<b>SupportedBandUTRA-FDD</b> UTRA band as defined in TS 25.101 [17].	-
<b>SupportedBandUTRA-TDD128</b> UTRA band as defined in TS 25.102 [18].	-
<b>SupportedBandUTRA-TDD384</b> UTRA band as defined in TS 25.102 [18].	-
<b>SupportedBandUTRA-TDD768</b> UTRA band as defined in TS 25.102 [18].	-
<b>supportedBandwidthCombinationSet</b> Field encoded as a bit map, where bit N is set to "1" if UE support Bandwidth Combination Set N for this band combination, see 36.133 [42]. The leading / leftmost bit (bit 0) corresponds to the Bandwidth Combination Set 0, the next bit corresponds to the Bandwidth Combination Set 1 and so on. The UE shall neither include the field for a non-CA band combination, nor for a CA	-

<b>UE-EUTRA-Capability field descriptions</b>	<b>FDD/ TDD diff</b>
band combination for which the UE only supports Bandwidth Combination Set 0.	
<b>tm9-With-8Tx-FDD</b>	No
<b>twoAntennaPortsForPUCCH</b>	No
<b>ue-Category</b> UE category as defined in TS 36.306 [5]. Set to values 1 to 8 in this version of the specification. If the UE includes <i>ue-Category-v1020</i> (category 6 to 8) it shall also include <i>rf-Parameters-v1020</i> even if it does not support carrier aggregation.	-
<b>ue-SpecificRefSigsSupported</b>	No
<b>ue-TxAntennaSelectionSupported</b> TRUE indicates that the UE is capable of supporting UE transmit antenna selection as described in TS 36.213 [23, 8.7].	Yes
<b>utran-ProximityIndication</b> Indicates whether the UE supports proximity indication for UTRAN CSG member cells.	-
<b>utran-SI-AcquisitionForHO</b> Indicates whether the UE supports, upon configuration of si-RequestForHO by the network, acquisition and reporting of relevant information using autonomous gaps by reading the SI from a neighbouring UMTS cell.	Yes
<b>voiceOverPS-HS-UTRA-FDD</b> Indicates whether UE supports IMS voice according to GSMA IR.58 profile in UTRA FDD.	-
<b>voiceOverPS-HS-UTRA-TDD128</b> Indicates whether UE supports IMS voice in UTRA TDD 1.28Mcps.	-

NOTE 1: The IE *UE-EUTRA-Capability* does not include AS security capability information, since these are the same as the security capabilities that are signalled by NAS. Consequently AS need not provide "man-in-the-middle" protection for the security capabilities.

NOTE 2: The column FDD/ TDD diff indicates if the UE is allowed to signal, as part of the additional capabilities for an XDD mode i.e. within *UE-EUTRA-CapabilityAddXDD-Mode-xNM*, a different value compared to the value signalled elsewhere within *UE-EUTRA-Capability* (i.e. the common value, supported for both XDD modes). A '-' is used to indicate that it is not possible to signal different values (used for fields for which the field description is provided for other reasons).

NOTE 3: All the combinations of *CA-MIMO-ParametersUL* and *CA-MIMO-ParametersDL* for one band and across all the bands in each *BandCombinationParameters* are supported by the UE and have the same measurement gap requirement (i.e. the same *BandInfoEUTRA* applies). The *BandCombinationParameters* for the same band combination can be included more than once.

## – *UE-TimersAndConstants*

The IE *UE-TimersAndConstants* contains timers and constants used by the UE in either RRC\_CONNECTED or RRC\_IDLE.

### **UE-TimersAndConstants information element**

```
-- ASN1START
UE-TimersAndConstants ::= SEQUENCE {
    t300      ENUMERATED {
                ms100, ms200, ms300, ms400, ms600, ms1000, ms1500,
                ms2000},
    t301      ENUMERATED {
                ms100, ms200, ms300, ms400, ms600, ms1000, ms1500,
                ms2000},
    t310      ENUMERATED {
                ms0, ms50, ms100, ms200, ms500, ms1000, ms2000},
    n310      ENUMERATED {
                n1, n2, n3, n4, n6, n8, n10, n20},
    t311      ENUMERATED {
                ms1000, ms3000, ms5000, ms10000, ms15000,
                ms20000, ms30000},
    n311      ENUMERATED {
                n1, n2, n3, n4, n5, n6, n8, n10},
    ...
}
-- ASN1STOP
```

<b>UE-TimersAndConstants field descriptions</b>
<b>n3xy</b> Constants are described in section 7.4. n1 corresponds with 1, n2 corresponds with 2 and so on.
<b>t3xy</b> Timers are described in section 7.3. Value ms0 corresponds with 0 ms, ms50 corresponds with 50 ms and so on.

## 6.3.7 MBMS information elements

### – *MBMS-NotificationConfig*

The IE *MBMS-NotificationConfig* specifies the MBMS notification related configuration parameters, that are applicable for all MBSFN areas.

#### **MBMS-NotificationConfig information element**

```
-- ASN1START
MBMS-NotificationConfig-r9 ::=          SEQUENCE {
    notificationRepetitionCoeff-r9      ENUMERATED {n2, n4},
    notificationOffset-r9               INTEGER (0..10),
    notificationSF-Index-r9             INTEGER (1..6)
}
-- ASN1STOP
```

<b>MBMS-NotificationConfig field descriptions</b>
<b>notificationOffset</b> Indicates, together with the <i>notificationRepetitionCoeff</i> , the radio frames in which the MCCH information change notification is scheduled i.e. the MCCH information change notification is scheduled in radio frames for which: SFN mod notification repetition period = <i>notificationOffset</i>
<b>notificationRepetitionCoeff</b> Actual change notification repetition period common for all MCCHs that are configured= shortest modification period/ <i>notificationRepetitionCoeff</i> . The 'shortest modification period' corresponds with the lowest value of <i>mcch-ModificationPeriod</i> of all MCCHs that are configured. Value n2 corresponds to coefficient 2, and so on.
<b>notificationSF-Index</b> Indicates the subframe used to transmit MCCH change notifications on PDCCH. FDD: Value 1, 2, 3, 4, 5 and 6 correspond with subframe #1, #2, #3 #6, #7, and #8 respectively. TDD: Value 1, 2, 3, 4, and 5 correspond with subframe #3, #4, #7, #8, and #9 respectively.

### – *MBSFN-AreaInfoList*

The IE *MBSFN-AreaInfoList* contains the information required to acquire the MBMS control information associated with one or more MBSFN areas.

#### **MBSFN-AreaInfoList information element**

```
-- ASN1START
MBSFN-AreaInfoList-r9 ::=          SEQUENCE (SIZE(1..maxMBSFN-Area)) OF MBSFN-AreaInfo-r9
MBSFN-AreaInfo-r9 ::=          SEQUENCE {
    mbsfn-AreaId-r9              INTEGER (0..255),
    non-MBSFNregionLength        ENUMERATED {s1, s2},
    notificationIndicator-r9      INTEGER (0..7),
    mcch-Config-r9               SEQUENCE {
        mcch-RepetitionPeriod-r9  ENUMERATED {rf32, rf64, rf128, rf256},
        mcch-Offset-r9            INTEGER (0..10),
        mcch-ModificationPeriod-r9 ENUMERATED {rf512, rf1024},
        sf-AllocInfo-r9           BIT STRING (SIZE(6)),
        signallingMCS-r9          ENUMERATED {n2, n7, n13, n19}
    },
}
```

```

}
...
-- ASN1STOP

```

#### MBSFN-AreaInfoList field descriptions

<b>mbsfn-Areaid</b>
Indicates the MBSFN area ID, parameter $N_{ID}^{MBSFN}$ in TS 36.211 [21, 6.10.2.1].
<b>mcch-ModificationPeriod</b>
Defines periodically appearing boundaries, i.e. radio frames for which $SFN \bmod mcch\text{-}ModificationPeriod = 0$ , The contents of different transmissions of MCCH information can only be different if there is at least one such boundary in-between them.
<b>mcch-Offset</b>
Indicates, together with the <i>mcch-RepetitionPeriod</i> , the radio frames in which MCCH is scheduled i.e. MCCH is scheduled in radio frames for which: $SFN \bmod mcch\text{-}RepetitionPeriod = mcch\text{-}Offset$
<b>mcch-RepetitionPeriod</b>
Defines the interval between transmissions of MCCH information, in radio frames, Value rf32 corresponds to 32 radio frames, rf64 corresponds to 64 radio frames and so on.
<b>non-MBSFNregionLength</b>
Indicates how many symbols from the beginning of the subframe constitute the non-MBSFN region. This value applies in all subframes of the MBSFN area used for PMCH transmissions as indicated in the MSI. The values s1 and s2 correspond with 1 and 2 symbols, respectively: see TS 36.211 [21, Table 6.7-1].
<b>notificationIndicator</b>
Indicates which PDCCCH bit is used to notify the UE about change of the MCCH applicable for this MBSFN area. Value 0 corresponds with the least significant bit as defined in TS 36.212 [22, Section 5.3.3.1] and so on.
<b>sf-AlloclInfo</b>
Indicates the subframes of the radio frames indicated by the <i>mcch-RepetitionPeriod</i> and the <i>mcch-Offset</i> , that may carry MCCH. Value "1" indicates that the corresponding subframe is allocated. The following mapping applies: FDD: The first/ leftmost bit defines the allocation for subframe #1 of the radio frame indicated by <i>mcch-RepetitionPeriod</i> and <i>mcch-Offset</i> , the second bit for #2, the third bit for #3, the fourth bit for #6, the fifth bit for #7 and the sixth bit for #8. TDD: The first/leftmost bit defines the allocation for subframe #3 of the radio frame indicated by <i>mcch-RepetitionPeriod</i> and <i>mcch-Offset</i> , the second bit for #4, third bit for #7, fourth bit for #8, fifth bit for #9. Uplink subframes are not allocated. The last bit is not used.
<b>signallingMCS</b>
Indicates the Modulation and Coding Scheme (MCS) applicable for the subframes indicated by the field <i>sf-AlloclInfo</i> and for each (P)MCH that is configured for this MBSFN area, for the first subframe allocated to the (P)MCH within each MCH scheduling period (which may contain the MCH scheduling information provided by MAC). Value n2 corresponds with the value 2 for parameter $I_{MCS}$ in TS 36.213 [23, Table 7.1.7.1-1], and so on.

#### MBSFN-SubframeConfig

The IE *MBSFN-SubframeConfig* defines subframes that are reserved for MBSFN in downlink.

#### MBSFN-SubframeConfig information element

```

-- ASN1START
MBSFN-SubframeConfig ::=
    SEQUENCE {
        radioframeAllocationPeriod
            ENUMERATED {n1, n2, n4, n8, n16, n32},
        radioframeAllocationOffset
            INTEGER (0..7),
        subframeAllocation
            CHOICE {
                oneFrame
                    BIT STRING (SIZE(6)),
                fourFrames
                    BIT STRING (SIZE(24))
            }
    }
-- ASN1STOP

```

<b>MBSFN-SubframeConfig field descriptions</b>
<p><b>fourFrames</b> A bit-map indicating MBSFN subframe allocation in four consecutive radio frames, "1" denotes that the corresponding subframe is allocated for MBSFN. The bitmap is interpreted as follows: FDD: Starting from the first radioframe and from the first/leftmost bit in the bitmap, the allocation applies to subframes #1, #2, #3, #6, #7, and #8 in the sequence of the four radio-frames. TDD: Starting from the first radioframe and from the first/leftmost bit in the bitmap, the allocation applies to subframes #3, #4, #7, #8, and #9 in the sequence of the four radio-frames. The last four bits are not used. Uplink subframes are not allocated.</p>
<p><b>oneFrame</b> "1" denotes that the corresponding subframe is allocated for MBSFN. The following mapping applies: FDD: The first/leftmost bit defines the MBSFN allocation for subframe #1, the second bit for #2, third bit for #3, fourth bit for #6, fifth bit for #7, sixth bit for #8. TDD: The first/leftmost bit defines the allocation for subframe #3, the second bit for #4, third bit for #7, fourth bit for #8, fifth bit for #9. Uplink subframes are not allocated. The last bit is not used.</p>
<p><b>radioFrameAllocationPeriod, radioFrameAllocationOffset</b> Radio-frames that contain MBSFN subframes occur when equation <math>SFN \bmod radioFrameAllocationPeriod = radioFrameAllocationOffset</math> is satisfied. Value <math>n1</math> for <i>radioFrameAllocationPeriod</i> denotes value 1, <math>n2</math> denotes value 2, and so on. When <i>fourFrames</i> is used for <i>subframeAllocation</i>, the equation defines the first radio frame referred to in the description below. Values <math>n1</math> and <math>n2</math> are not applicable when <i>fourFrames</i> is used.</p>
<p><b>subframeAllocation</b> Defines the subframes that are allocated for MBSFN within the radio frame allocation period defined by the <i>radioFrameAllocationPeriod</i> and the <i>radioFrameAllocationOffset</i>.</p>

## – PMCH-InfoList

The IE *PMCH-InfoList* specifies configuration of all PMCHs of an MBSFN area. The information provided for an individual PMCH includes the configuration parameters of the sessions that are carried by the concerned PMCH.

### **PMCH-InfoList information element**

```
-- ASN1START
PMCH-InfoList-r9 ::= SEQUENCE (SIZE (0..maxPMCH-PerMBSFN)) OF PMCH-Info-r9
PMCH-Info-r9 ::= SEQUENCE {
    pmch-Config-r9          PMCH-Config-r9,
    mbms-SessionInfoList-r9 MBMS-SessionInfoList-r9,
    ...
}
MBMS-SessionInfoList-r9 ::= SEQUENCE (SIZE (0..maxSessionPerPMCH)) OF MBMS-SessionInfo-r9
MBMS-SessionInfo-r9 ::= SEQUENCE {
    tmgi-r9                TMGI-r9,
    sessionId-r9           OCTET STRING (SIZE (1))          OPTIONAL,  -- Need OR
    logicalChannelIdentity-r9 INTEGER (0..maxSessionPerPMCH-1),
    ...
}
PMCH-Config-r9 ::= SEQUENCE {
    sf-AllocEnd-r9        INTEGER (0..1535),
    dataMCS-r9           INTEGER (0..28),
    mch-SchedulingPeriod-r9 ENUMERATED {
        rf8, rf16, rf32, rf64, rf128, rf256, rf512, rf1024},
    ...
}
TMGI-r9 ::= SEQUENCE {
    plmn-Id-r9           CHOICE {
        plmn-Index-r9   INTEGER (1..6),
        explicitValue-r9 PLMN-Identity
    },
    serviceId-r9        OCTET STRING (SIZE (3))
}
-- ASN1STOP
```

<b>PMCH-InfoList field descriptions</b>	
<b>dataMCS</b>	Indicates the value for parameter $I_{MCS}$ in TS 36.213 [23, Table 7.1.7.1-1], which defines the Modulation and Coding Scheme (MCS) applicable for the subframes of this (P)MCH as indicated by the field <i>commonSF-Alloc</i> . The MCS does however neither apply to the subframes that may carry MCCH i.e. the subframes indicated by the field <i>sf-AllocInfo</i> within <i>SystemInformationBlockType13</i> nor for the first subframe allocated to this (P)MCH within each MCH scheduling period (which may contain the MCH scheduling information provided by MAC).
<b>mch-SchedulingPeriod</b>	Indicates the MCH scheduling period i.e. the periodicity used for providing MCH scheduling information at lower layers (MAC) applicable for an MCH. Value rf8 corresponds to 8 radio frames, rf16 corresponds to 16 radio frames and so on. The <i>mch-SchedulingPeriod</i> starts in the radio frames for which: $SFN \bmod mch-SchedulingPeriod = 0$ . E-UTRAN configures <i>mch-SchedulingPeriod</i> of the (P)MCH listed first in <i>PMCH-InfoList</i> to be smaller than or equal to <i>mcch-RepetitionPeriod</i> .
<b>plmn-Index</b>	Index of the entry in field <i>plmn-IdentityList</i> within <i>SystemInformationBlockType1</i> .
<b>sessionId</b>	Indicates the optional MBMS Session Identity, which together with TMGI identifies a transmission or a possible retransmission of a specific MBMS session: see TS 29.061 [51, Sections 20.5, 17.7.11, 17.7.15]. The field is included whenever upper layers have assigned a session identity i.e. one is available for the MBMS session in E-UTRAN.
<b>serviceId</b>	Uniquely identifies the identity of an MBMS service within a PLMN. The field contains octet 3- 5 of the IE Temporary Mobile Group Identity (TMGI) as defined in TS 24.008 [49]. The first octet contains the third octet of the TMGI, the second octet contains the fourth octet of the TMGI and so on.
<b>sf-AllocEnd</b>	Indicates the last subframe allocated to this (P)MCH within a period identified by field <i>commonSF-AllocPeriod</i> . The subframes allocated to (P)MCH corresponding with the $n^{\text{th}}$ entry in <i>pmch-InfoList</i> are the subsequent subframes starting from either the next subframe after the subframe identified by <i>sf-AllocEnd</i> of the $(n-1)^{\text{th}}$ listed (P)MCH or, for $n=1$ , the first subframe defined by field <i>commonSF-Alloc</i> , through the subframe identified by <i>sf-AllocEnd</i> of the $n^{\text{th}}$ listed (P)MCH. Value 0 corresponds with the first subframe defined by field <i>commonSF-Alloc</i> .

## 6.4 RRC multiplicity and type constraint values

### – Multiplicity and type constraint definitions

```

-- ASN1START
maxBandComb-r10          INTEGER ::= 128 -- Maximum number of band combinations.
maxBands                 INTEGER ::= 64  -- Maximum number of bands listed in EUTRA UE caps
maxBandwidthClass-r10   INTEGER ::= 16  -- Maximum number of supported CA BW classes per band
maxBandwidthCombSet-r10 INTEGER ::= 32  -- Maximum number of bandwidth combination sets per
-- supported band combination
maxCDMA-BandClass       INTEGER ::= 32  -- Maximum value of the CDMA band classes
maxCellBlack            INTEGER ::= 16  -- Maximum number of blacklisted physical cell identity
-- ranges listed in SIB type 4 and 5
maxCellInfoGERAN-r9     INTEGER ::= 32  -- Maximum number of GERAN cells for which system in-
-- formation can be provided as redirection assistance
maxCellInfoUTRA-r9     INTEGER ::= 16  -- Maximum number of UTRA cells for which system
-- information can be provided as redirection
-- assistance
maxFreqUTRA-TDD-r10    INTEGER ::= 6  -- Maximum number of UTRA TDD carrier frequencies for
-- which system information can be provided as
-- redirection assistance
maxCellInter            INTEGER ::= 16  -- Maximum number of neighbouring inter-frequency
-- cells listed in SIB type 5
maxCellIntra            INTEGER ::= 16  -- Maximum number of neighbouring intra-frequency
-- cells listed in SIB type 4
maxCellListGERAN       INTEGER ::= 3  -- Maximum number of lists of GERAN cells
maxCellMeas            INTEGER ::= 32  -- Maximum number of entries in each of the
-- cell lists in a measurement object
maxCellReport          INTEGER ::= 8  -- Maximum number of reported cells
maxDRB                 INTEGER ::= 11  -- Maximum number of Data Radio Bearers
maxEARFCN              INTEGER ::= 65535 -- Maximum value of EUTRA carrier fequency
maxFreq                INTEGER ::= 8  -- Maximum number of carrier frequencies
maxFreqIDC-r11        INTEGER ::= 32  -- Maximum number of carrier frequencies that are
-- affected by the IDC problems. This value may need
-- further study

```

```

maxFreqMBMS-r11          INTEGER ::= 5 -- Maximum number of carrier frequencies for which an
-- MBMS capable UE may indicate an interest
maxGERAN-SI              INTEGER ::= 10 -- Maximum number of GERAN SI blocks that can be
-- provided as part of NACC information
maxGNFG                  INTEGER ::= 16 -- Maximum number of GERAN neighbour freq groups
maxLogMeasReport-r10    INTEGER ::= 520 -- Maximum number of logged measurement entries
-- that can be reported by the UE in one message
maxMBSFN-Allocations    INTEGER ::= 8 -- Maximum number of MBSFN frame allocations with
-- different offset
maxMBSFN-Area            INTEGER ::= 8
maxMBSFN-Area-1         INTEGER ::= 7
maxMeasId                INTEGER ::= 32
maxMultiBands            INTEGER ::= 8 -- Maximum number of additional frequency bands
-- that a cell belongs to
maxObjectId              INTEGER ::= 32
maxPageRec               INTEGER ::= 16 --
maxPhysCellIdRange-r9   INTEGER ::= 4 -- Maximum number of physical cell identity ranges
maxPNOffset              INTEGER ::= 511 -- Maximum number of CDMA2000 PNOFFSETS
maxPMCH-PerMBSFN        INTEGER ::= 15
maxRAT-Capabilities      INTEGER ::= 8 -- Maximum number of interworking RATs (incl EUTRA)
maxReportConfigId       INTEGER ::= 32
maxRSTD-Freq-r10        INTEGER ::= 3 -- Maximum number of frequency layers for RSTD
-- measurement
maxSAI-MBMS-r11         INTEGER ::= 64 -- Maximum number of MBMS service area identities
-- broadcast per frequency
maxSCell-r10             INTEGER ::= 4 -- Maximum number of SCells
maxSecTAG-r11            INTEGER ::= 3 -- Maximum number of secondary TAGs
maxServCell-r10          INTEGER ::= 5 -- Maximum number of Serving cells
maxServiceCount          INTEGER ::= 16 -- Maximum number of MBMS services that can be included
-- in an MBMS counting request and response
maxServiceCount-1       INTEGER ::= 15
maxSessionPerPMCH       INTEGER ::= 29
maxSessionPerPMCH-1     INTEGER ::= 28
maxSIB                   INTEGER ::= 32 -- Maximum number of SIBs
maxSIB-1                 INTEGER ::= 31
maxSI-Message            INTEGER ::= 32 -- Maximum number of SI messages
maxSimultaneousBands-r10 INTEGER ::= 64 -- Maximum number of simultaneously aggregated bands
maxSubframePatternIDC-r11 INTEGER ::= 8 -- Maximum number of subframe reservation patterns
-- that the UE can simultaneously recommend to the
-- E-UTRAN for use. This value may need further study
maxUTRA-FDD-Carrier     INTEGER ::= 16 -- Maximum number of UTRA FDD carrier frequencies
maxUTRA-TDD-Carrier     INTEGER ::= 16 -- Maximum number of UTRA TDD carrier frequencies
-- ASN1STOP

```

NOTE: The value of maxDRB align with SA2.

## – End of EUTRA-RRC-Definitions

```

-- ASN1START
END
-- ASN1STOP

```

# 7 Variables and constants

## 7.1 UE variables

NOTE: To facilitate the specification of the UE behavioural requirements, UE variables are represented using ASN.1. Unless explicitly specified otherwise, it is however up to UE implementation how to store the variables. The optionality of the IEs in ASN.1 is used only to indicate that the values may not always be available.

### – *EUTRA-UE-Variables*

This ASN.1 segment is the start of the E-UTRA UE variable definitions.

```

-- ASN1START

```

```

EUTRA-UE-Variables DEFINITIONS AUTOMATIC TAGS ::=
BEGIN

IMPORTS
    AbsoluteTimeInfo-r10,
    AreaConfiguration-r10,
    AreaConfiguration-v11x0,
    CarrierFreqGERAN,
    CellIdentity,
    ConnEstFailReport-r11,
    SpeedStateScaleFactors,
    C-RNTI,
    LoggingDuration-r10,
    LoggingInterval-r10,
    LogMeasInfo-r10,
    MeasId,
    MeasIdToAddModList,
    MeasObjectToAddModList,
    MobilityStateParameters,
    NeighCellConfig,
    PhysCellId,
    PhysCellIdCDMA2000,
    PhysCellIdGERAN,
    PhysCellIdUTRA-FDD,
    PhysCellIdUTRA-TDD,
    PLMN-Identity,
    PLMN-IdentityList3-r11,
    QuantityConfig,
    ReportConfigToAddModList,
    RLF-Report-r9,
    RSRP-Range,
-- TraceRecordingSessionRef-r10,
    TraceReference-r10,
    maxCellMeas,
    maxMeasId
FROM EUTRA-RRC-Definitions;

-- ASN1STOP

```

### – *VarConnEstFail-Report*

The UE variable *VarConnEstFail-Report* includes the connection establishment failure information.

#### ***VarConnEstFail-Report* UE variable**

```

-- ASN1START
VarConnEstFail-Report-r11 ::= SEQUENCE {
    connEstFailReport-r11 ConnEstFailReport-r11,
    plmn-Identity-r11      PLMN-Identity
}
-- ASN1STOP

```

### – *VarLogMeasConfig*

The UE variable *VarLogMeasConfig* includes the configuration of the logging of measurements to be performed by the UE while in RRC\_IDLE, covering intra-frequency, inter-frequency and inter-RAT mobility related measurements.

#### ***VarLogMeasConfig* UE variable**

```

-- ASN1START
VarLogMeasConfig-r10 ::= SEQUENCE {
    areaConfiguration-r10 AreaConfiguration-r10 OPTIONAL,
    loggingDuration-r10   LoggingDuration-r10,
    loggingInterval-r10  LoggingInterval-r10
}

```

```

VarLogMeasConfig-r11 ::=          SEQUENCE {
    areaConfiguration-r10          AreaConfiguration-r10          OPTIONAL,
    areaConfiguration-v11x0       AreaConfiguration-v11x0       OPTIONAL,
    loggingDuration-r10           LoggingDuration-r10,
    loggingInterval-r10          LoggingInterval-r10
}
-- ASN1STOP

```

## – *VarLogMeasReport*

The UE variable *VarLogMeasReport* includes the logged measurements information.

### *VarLogMeasReport* UE variable

```

-- ASN1START
VarLogMeasReport-r10 ::=          SEQUENCE {
    traceReference-r10            TraceReference-r10,
    traceRecordingSessionRef-r10  OCTET STRING (SIZE (2)),
    tce-Id-r10                    OCTET STRING (SIZE (1)),
    plmn-Identity-r10            PLMN-Identity,
    absoluteTimeInfo-r10         AbsoluteTimeInfo-r10,
    logMeasInfoList-r10          LogMeasInfoList2-r10
}

VarLogMeasReport-r11 ::=          SEQUENCE {
    traceReference-r10            TraceReference-r10,
    traceRecordingSessionRef-r10  OCTET STRING (SIZE (2)),
    tce-Id-r10                    OCTET STRING (SIZE (1)),
    plmn-IdentityList-r11        PLMN-IdentityList3-r11,
    absoluteTimeInfo-r10         AbsoluteTimeInfo-r10,
    logMeasInfoList-r10          LogMeasInfoList2-r10
}

LogMeasInfoList2-r10 ::=          SEQUENCE (SIZE (1..maxLogMeas-r10)) OF LogMeasInfo-r10
-- ASN1STOP

```

## – *VarMeasConfig*

The UE variable *VarMeasConfig* includes the accumulated configuration of the measurements to be performed by the UE, covering intra-frequency, inter-frequency and inter-RAT mobility related measurements.

**NOTE:** Requirements affecting the amount of measurement configuration information, which a UE is required to store, are specified in subclause 11.1 as well as in [16].

### *VarMeasConfig* UE variable

```

-- ASN1START
VarMeasConfig ::=                SEQUENCE {
    -- Measurement identities
    measIdList                    MeasIdToAddModList          OPTIONAL,
    -- Measurement objects
    measObjectList                MeasObjectToAddModList       OPTIONAL,
    -- Reporting configurations
    reportConfigList              ReportConfigToAddModList     OPTIONAL,
    -- Other parameters
    quantityConfig                QuantityConfig              OPTIONAL,
    s-Measure                     INTEGER (-140..-44)          OPTIONAL,
    speedStatePars                 CHOICE {
        release                  NULL,
        setup                    SEQUENCE {
            mobilityStateParameters  MobilityStateParameters,
            timeToTrigger-SF        SpeedStateScaleFactors
        }
    }
}
-- ASN1STOP

```

```
-- ASN1STOP
```

## – *VarMeasReportList*

The UE variable *VarMeasReportList* includes information about the measurements for which the triggering conditions have been met.

### ***VarMeasReportList* UE variable**

```
-- ASN1START
VarMeasReportList ::=
    SEQUENCE (SIZE (1..maxMeasId)) OF VarMeasReport
VarMeasReport ::=
    SEQUENCE {
    -- List of measurement that have been triggered
    measId                MeasId,
    cellsTriggeredList    CellsTriggeredList          OPTIONAL,
    numberOfReportsSent   INTEGER
    }
CellsTriggeredList ::=
    SEQUENCE (SIZE (1..maxCellMeas)) OF CHOICE {
    physCellIdEUTRA      PhysCellId,
    physCellIdUTRA       CHOICE {
        fdd                PhysCellIdUTRA-FDD,
        tdd                PhysCellIdUTRA-TDD
    },
    physCellIdGERAN      SEQUENCE {
        carrierFreq        CarrierFreqGERAN,
        physCellId         PhysCellIdGERAN
    },
    physCellIdCDMA2000   PhysCellIdCDMA2000
    }
-- ASN1STOP
```

## – *VarRLF-Report*

The UE variable *VarRLF-Report* includes the radio link failure information or handover failure information.

### ***VarRLF-Report* UE variable**

```
-- ASN1START
VarRLF-Report-r10 ::=
    SEQUENCE {
    rlf-Report-r10        RLF-Report-r9,
    plmn-Identity-r10     PLMN-Identity
    }
VarRLF-Report-r11 ::=
    SEQUENCE {
    rlf-Report-r10        RLF-Report-r9,
    plmn-IdentityList-r11 PLMN-IdentityList3-r11
    }
-- ASN1STOP
```

## – *VarShortMAC-Input*

The UE variable *VarShortMAC-Input* specifies the input used to generate the shortMAC-I.

### ***VarShortMAC-Input* UE variable**

```
-- ASN1START
VarShortMAC-Input ::=
    SEQUENCE {
    cellIdentity          CellIdentity,
    physCellId           PhysCellId,
    c-RNTI                C-RNTI
    }
-- ASN1STOP
```

<i>VarShortMAC-Input</i> field descriptions
<b><i>cellIdentity</i></b> Set to CellIdentity of the current cell.
<b><i>c-RNTI</i></b> Set to C-RNTI that the UE had in the PCell it was connected to prior to the failure.
<b><i>physCellId</i></b> Set to the physical cell identity of the PCell the UE was connected to prior to the failure.

## – Multiplicity and type constraint definitions

This section includes multiplicity and type constraints applicable (only) for UE variables.

```
-- ASN1START
maxLogMeas-r10          INTEGER ::= 4060-- Maximum number of logged measurement entries
                        -- that can be stored by the UE
-- ASN1STOP
```

## – End of *EUTRA-UE-Variables*

```
-- ASN1START
END
-- ASN1STOP
```

## 7.2 Counters

Counter	Reset	Incremented	When reaching max value

## 7.3 Timers (Informative)

Timer	Start	Stop	At expiry
T300	Transmission of <i>RRCConnectionRequest</i>	Reception of <i>RRCConnectionSetup</i> or <i>RRCConnectionReject</i> message, cell re-selection and upon abortion of connection establishment by upper layers	Perform the actions as specified in 5.3.3.6
T301	Transmission of <i>RRCConnectionReestablishmentRequest</i>	Reception of <i>RRCConnectionReestablishment</i> or <i>RRCConnectionReestablishmentReject</i> message as well as when the selected cell becomes unsuitable	Go to RRC_IDLE
T302	Reception of <i>RRCConnectionReject</i> while performing RRC connection establishment	Upon entering RRC_CONNECTED and upon cell re-selection	Inform upper layers about barring alleviation as specified in 5.3.3.7
T303	Access barred while performing RRC connection establishment for mobile originating calls	Upon entering RRC_CONNECTED and upon cell re-selection	Inform upper layers about barring alleviation as specified in 5.3.3.7
T304	Reception of <i>RRCConnectionReconfiguration</i> message including the <i>MobilityControl Info</i> or reception of <i>MobilityFromEUTRACommand</i> message including <i>CellChangeOrder</i>	Criterion for successful completion of handover to EUTRA or cell change order is met (the criterion is specified in the target RAT in case of inter-RAT)	In case of cell change order from E-UTRA or intra E-UTRA handover, initiate the RRC connection re-establishment procedure; In case of handover to E-UTRA, perform the actions defined in the specifications applicable for the source RAT.
T305	Access barred while performing RRC connection establishment for mobile originating signalling	Upon entering RRC_CONNECTED and upon cell re-selection	Inform upper layers about barring alleviation as specified in 5.3.3.7
T306	Access barred while performing RRC connection establishment for mobile originating CS fallback.	Upon entering RRC_CONNECTED and upon cell re-selection	Inform upper layers about barring alleviation as specified in 5.3.3.7
T310	Upon detecting physical layer problems i.e. upon receiving N310 consecutive out-of-sync indications from lower layers	Upon receiving N311 consecutive in-sync indications from lower layers, upon triggering the handover procedure and upon initiating the connection re-establishment procedure	If security is not activated: go to RRC_IDLE else: initiate the connection re-establishment procedure
T311	Upon initiating the RRC connection re-establishment procedure	Selection of a suitable E-UTRA cell or a cell using another RAT.	Enter RRC_IDLE
T320	Upon receiving <i>t320</i> or upon cell (re)selection to E-UTRA from another RAT with validity time configured for dedicated priorities (in which case the remaining validity time is applied).	Upon entering RRC_CONNECTED, when PLMN selection is performed on request by NAS, or upon cell (re)selection to another RAT (in which case the timer is carried on to the other RAT).	Discard the cell reselection priority information provided by dedicated signalling.

Timer	Start	Stop	At expiry
T321	Upon receiving <i>measConfig</i> including a <i>reportConfig</i> with the <i>purpose</i> set to <i>reportCGI</i>	Upon acquiring the information needed to set all fields of <i>cellGlobalId</i> for the requested cell, upon receiving <i>measConfig</i> that includes removal of the <i>reportConfig</i> with the <i>purpose</i> set to <i>reportCGI</i>	Initiate the measurement reporting procedure, stop performing the related measurements and remove the corresponding <i>measId</i>
T330	Upon receiving <i>LoggedMeasurementConfiguration</i> message	Upon log volume exceeding the suitable UE memory, upon initiating the release of <i>LoggedMeasurementConfiguration</i> procedure	Perform the actions specified in 5.6.6.4
T340	Upon transmitting <i>UEAssistanceInformation</i> message with <i>powerPrefIndication</i> set to <i>default</i>		No action.

## 7.4 Constants

Constant	Usage
N310	Maximum number of consecutive "out-of-sync" indications received from lower layers
N311	Maximum number of consecutive "in-sync" indications received from lower layers

---

# 8 Protocol data unit abstract syntax

## 8.1 General

The RRC PDU contents in clause 6 and clause 10 are described using abstract syntax notation one (ASN.1) as specified in ITU-T Rec. X.680 [13] and X.681 [14]. Transfer syntax for RRC PDUs is derived from their ASN.1 definitions by use of Packed Encoding Rules, unaligned as specified in ITU-T Rec. X.691 [15].

The following encoding rules apply in addition to what has been specified in X.691:

- When a bit string value is placed in a bit-field as specified in 15.6 to 15.11 in X.691, the leading bit of the bit string value shall be placed in the leading bit of the bit-field, and the trailing bit of the bit string value shall be placed in the trailing bit of the bit-field.

NOTE: The terms 'leading bit' and 'trailing bit' are defined in ITU-T Rec. X.680. When using the 'bstring' notation, the leading bit of the bit string value is on the left, and the trailing bit of the bit string value is on the right.

- When decoding types constrained with the ASN.1 Contents Constraint ("CONTAINING"), automatic decoding of the contained type should not be performed because errors in the decoding of the contained type should not cause the decoding of the entire RRC message PDU to fail. It is recommended that the decoder first decodes the outer PDU type that contains the OCTET STRING or BIT STRING with the Contents Constraint, and then decodes the contained type that is nested within the OCTET STRING or BIT STRING as a separate step.
- When decoding a) RRC message PDUs, b) BIT STRING constrained with a Contents Constraint, or c) OCTET STRING constrained with a Contents Constraint, PER decoders are required to never report an error if there are extraneous zero or non-zero bits at the end of the encoded RRC message PDU, BIT STRING or OCTET STRING.

## 8.2 Structure of encoded RRC messages

An RRC PDU, which is the bit string that is exchanged between peer entities/ across the radio interface contains the basic production as defined in X.691.

RRC PDUs shall be mapped to and from PDCP SDUs (in case of DCCH) or RLC SDUs (in case of PCCH, BCCH, CCCH or MCCH) upon transmission and reception as follows:

- when delivering an RRC PDU as a PDCP SDU to the PDCP layer for transmission, the first bit of the RRC PDU shall be represented as the first bit in the PDCP SDU and onwards; and
- when delivering an RRC PDU as an RLC SDU to the RLC layer for transmission, the first bit of the RRC PDU shall be represented as the first bit in the RLC SDU and onwards; and
- upon reception of a PDCP SDU from the PDCP layer, the first bit of the PDCP SDU shall represent the first bit of the RRC PDU and onwards; and
- upon reception of an RLC SDU from the RLC layer, the first bit of the RLC SDU shall represent the first bit of the RRC PDU and onwards.

## 8.3 Basic production

The 'basic production' is obtained by applying UNALIGNED PER to the abstract syntax value (the ASN.1 description) as specified in X.691. It always contains a multiple of 8 bits.

## 8.4 Extension

The following rules apply with respect to the use of protocol extensions:

- A transmitter compliant with this version of the specification shall, unless explicitly indicated otherwise on a PDU type basis, set the extension part empty. Transmitters compliant with a later version may send non-empty extensions;
- A transmitter compliant with this version of the specification shall set spare bits to zero;

## 8.5 Padding

If the encoded RRC message does not fill a transport block, the RRC layer shall add padding bits. This applies to PCCH and BCCH.

Padding bits shall be set to 0 and the number of padding bits is a multiple of 8.

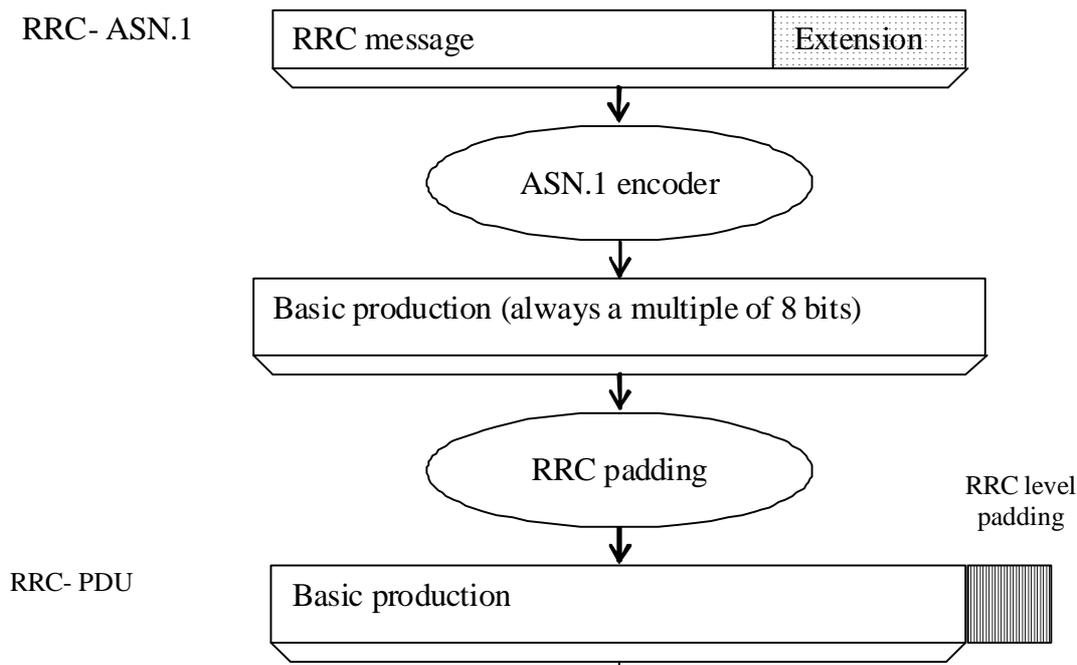


Figure 8.5-1: RRC level padding

## 9 Specified and default radio configurations

Specified and default configurations are configurations of which the details are specified in the standard. Specified configurations are fixed while default configurations can be modified using dedicated signalling.

### 9.1 Specified configurations

#### 9.1.1 Logical channel configurations

##### 9.1.1.1 BCCH configuration

Parameters

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	TM		
MAC configuration	TM		

NOTE: RRC will perform padding, if required due to the granularity of the TF signalling, as defined in 8.5.

##### 9.1.1.2 CCCH configuration

Parameters

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	TM		
MAC configuration		Normal MAC headers are used	
Logical channel configuration			
<i>priority</i>	1	Highest priority	
<i>prioritisedBitRate</i>	infinity		
<i>bucketSizeDuration</i>	N/A		
<i>logicalChannelGroup</i>	0		

Name	Value	Semantics description	Ver
<i>logicalChannelSR-Mask-r9</i>	release		v920

### 9.1.1.3 PCCH configuration

Parameters

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	TM		
MAC configuration	TM		

NOTE: RRC will perform padding, if required due to the granularity of the TF signalling, as defined in 8.5.

### 9.1.1.4 MCCH and MTCH configuration

Parameters

Name	Value	Semantics description	Ver
PDCP configuration	N/A		
RLC configuration	UM		
<i>Sn-FieldLength</i>	size5		
<i>t-Reordering</i>	0		

## 9.1.2 SRB configurations

### 9.1.2.1 SRB1

Parameters

Name	Value	Semantics description	Ver
RLC configuration			
<i>logicalChannelIdentity</i>	1		

### 9.1.2.2 SRB2

Parameters

Name	Value	Semantics description	Ver
RLC configuration			
<i>logicalChannelIdentity</i>	2		

## 9.2 Default radio configurations

The following sections only list default values for REL-8 parameters included in protocol version v8.5.0. For all fields introduced in a later protocol version, the default value is "released" unless explicitly specified otherwise. For the following fields, introduced in a protocol version later than v8.5.0, the default corresponds with "value not applicable":

- *codeBookSubsetRestriction-v920*;
- *pmi-RI-Report*;

NOTE 1: Value "N/A" indicates that the UE does not apply a specific value (i.e. upon switching to a default configuration, E-UTRAN can not assume the UE keeps the previously configured value). This implies that E-UTRAN needs to configure a value before invoking the related functionality.

NOTE 2: In general, the signalling should preferably support a "release" option for fields introduced after v8.5.0. The "value not applicable" should be used restrictively, mainly limited to for fields which value is relevant only if another field is set to a value other than its default.

## 9.2.1 SRB configurations

### 9.2.1.1 SRB1

Parameters

Name	Value	Semantics description	Ver
RLC configuration CHOICE	am		
<i>ul-RLC-Config</i>			
> <i>t-PollRetransmit</i>	ms45		
> <i>pollPDU</i>	infinity		
> <i>pollByte</i>	infinity		
> <i>maxRetxThreshold</i>	t4		
<i>dl-RLC-Config</i>			
> <i>t-Reordering</i>	ms35		
> <i>t-StatusProhibit</i>	ms0		
Logical channel configuration			
<i>priority</i>	1	Highest priority	
<i>prioritisedBitRate</i>	infinity		
<i>bucketSizeDuration</i>	N/A		
<i>logicalChannelGroup</i>	0		

### 9.2.1.2 SRB2

Parameters

Name	Value	Semantics description	Ver
RLC configuration CHOICE	am		
<i>ul-RLC-Config</i>			
> <i>t-PollRetransmit</i>	ms45		
> <i>pollPDU</i>	infinity		
> <i>pollByte</i>	infinity		
> <i>maxRetxThreshold</i>	t4		
<i>dl-RLC-Config</i>			
> <i>t-Reordering</i>	ms35		
> <i>t-StatusProhibit</i>	ms0		
Logical channel configuration			
<i>priority</i>	3		
<i>prioritisedBitRate</i>	infinity		
<i>bucketSizeDuration</i>	N/A		
<i>logicalChannelGroup</i>	0		

## 9.2.2 Default MAC main configuration

Parameters

Name	Value	Semantics description	Ver
MAC main configuration			
<i>maxHARQ-tx</i>	n5		
<i>periodicBSR-Timer</i>	infinity		
<i>retxBSR-Timer</i>	sf2560		
<i>ttiBundling</i>	FALSE		
<i>drx-Config</i>	release		
<i>phr-Config</i>	release		

### 9.2.3 Default semi-persistent scheduling configuration

SPS-Config >sps-ConfigDL >sps-ConfigUL	release release		
--	--------------------	--	--

### 9.2.4 Default physical channel configuration

Parameters

Name	Value	Semantics description	Ver
<i>PDSCH-ConfigDedicated</i> >p-a	dB0		
<i>PUCCH-ConfigDedicated</i> > tdd-AckNackFeedbackMode >ackNackRepetition	bundling release	Only valid for TDD mode	
<i>PUSCH-ConfigDedicated</i> >betaOffset-ACK-Index >betaOffset-RI-Index >betaOffset-CQI-Index	10 12 15		
<i>UplinkPowerControlDedicated</i> >p0-UE-PUSCH >deltaMCS-Enabled >accumulationEnabled >p0-UE-PUCCH >pSRS-Offset > filterCoefficient	0 en0 (disabled) TRUE 0 7 fc4		
<i>tpc-pdcch-ConfigPUCCH</i>	release		
<i>tpc-pdcch-ConfigPUSCH</i>	release		
<i>CQI-ReportConfig</i> > CQI-ReportPeriodic > cqi-ReportModeAperiodic > nomPDSCH-RS-EPRE-Offset	release N/A N/A		
<i>SoundingRS-UL-ConfigDedicated</i>	release		
<i>AntennaInfoDedicated</i> >transmissionMode  >codebookSubsetRestriction >ue-TransmitAntennaSelection <i>SchedulingRequestConfig</i>	tm1, tm2  N/A release release	If the number of PBCH antenna ports is one, tm1 is used as default; otherwise tm2 is used as default	

### 9.2.5 Default values timers and constants

Parameters

Name	Value	Semantics description	Ver
t310	ms1000		
n310	n1		
t311	ms1000		
n311	n1		

# 10 Radio information related interactions between network nodes

## 10.1 General

This section specifies RRC messages that are transferred between network nodes. These RRC messages may be transferred to or from the UE via another Radio Access Technology. Consequently, these messages have similar characteristics as the RRC messages that are transferred across the E-UTRA radio interface, i.e. the same transfer syntax and protocol extension mechanisms apply.

## 10.2 Inter-node RRC messages

### 10.2.1 General

This section specifies RRC messages that are sent either across the X2- or the S1-interface, either to or from the eNB, i.e. a single 'logical channel' is used for all RRC messages transferred across network nodes. The information could originate from or be destined for another RAT.

#### – *EUTRA-InterNodeDefinitions*

This ASN.1 segment is the start of the E-UTRA inter-node PDU definitions.

```
-- ASN1START
EUTRA-InterNodeDefinitions DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
IMPORTS
    AffectedCarrierFreqList-r11,
    AntennaInfoCommon,
    CellIdentity,
    C-RNTI,
    DL-DCCH-Message,
    ARFCN-ValueEUTRA,
    MasterInformationBlock,
    maxFreq,
    MBMSInterestIndication-r11,
    MeasConfig,
    OtherConfig-r9,
    PhysCellId,
    RadioResourceConfigDedicated,
    RSRP-Range,
    RSRQ-Range,
    SCellToAddModList-r10,
    SecurityAlgorithmConfig,
    ShortMAC-I,
    SystemInformationBlockType1,
    SystemInformationBlockType1-v890-IEs,
    SystemInformationBlockType2,
    TDM-AssistanceInfo-r11,
    UECapabilityInformation,
    UE-CapabilityRAT-ContainerList
FROM EUTRA-RRC-Definitions;
-- ASN1STOP
```

## 10.2.2 Message definitions

### – *HandoverCommand*

This message is used to transfer the handover command generated by the target eNB, which is transparently transferred by the source RAN to the UE.

Direction: target eNB to source eNB/ source RAN

#### **HandoverCommand message**

```
-- ASN1START
HandoverCommand ::=
    SEQUENCE {
        criticalExtensions
            CHOICE {
                c1
                    CHOICE {
                        handoverCommand-r8
                            HandoverCommand-r8-IEs,
                        spare7 NULL,
                        spare6 NULL, spare5 NULL, spare4 NULL,
                        spare3 NULL, spare2 NULL, spare1 NULL
                    },
                criticalExtensionsFuture
                    SEQUENCE {}
            }
    }

HandoverCommand-r8-IEs ::=
    SEQUENCE {
        handoverCommandMessage
            OCTET STRING (CONTAINING DL-DCCH-Message),
        nonCriticalExtension
            SEQUENCE {} OPTIONAL
    }
-- ASN1STOP
```

#### **HandoverCommand field descriptions**

##### ***handoverCommandMessage***

Contains the entire DL-DCCH-Message including the *RRConnectionReconfiguration* message used to perform handover to E-UTRAN, generated (entirely) by the target eNB.

### – *HandoverPreparationInformation*

This message is used to transfer the E-UTRA RRC information used by the target eNB during handover preparation, including UE capability information.

Direction: source eNB/ source RAN to target eNB

#### **HandoverPreparationInformation message**

```
-- ASN1START
HandoverPreparationInformation ::= SEQUENCE {
    criticalExtensions
        CHOICE {
            c1
                CHOICE {
                    handoverPreparationInformation-r8
                        HandoverPreparationInformation-r8-IEs,
                    spare7 NULL,
                    spare6 NULL, spare5 NULL, spare4 NULL,
                    spare3 NULL, spare2 NULL, spare1 NULL
                },
            criticalExtensionsFuture
                SEQUENCE {}
        }
}

HandoverPreparationInformation-r8-IEs ::= SEQUENCE {
    ue-RadioAccessCapabilityInfo
        UE-CapabilityRAT-ContainerList,
    as-Config
        AS-Config OPTIONAL, -- Cond HO
    rrm-Config
        RRM-Config OPTIONAL,
    as-Context
        AS-Context OPTIONAL, -- Cond HO
    nonCriticalExtension
        HandoverPreparationInformation-v920-IEs OPTIONAL
}
-- ASN1STOP
```

```

HandoverPreparationInformation-v920-IEs ::= SEQUENCE {
    ue-ConfigRelease-r9          ENUMERATED {
                                  rel9, rel10, spare6, spare5, spare4, spare3,
                                  spare2, spare1, ...} OPTIONAL, -- Cond HO2
    nonCriticalExtension         HandoverPreparationInformation-v11x0-IEs OPTIONAL
}

HandoverPreparationInformation-v11x0-IEs ::= SEQUENCE {
    as-Context-v11x0            AS-Context-v11x0          OPTIONAL, -- Cond HO2
    nonCriticalExtension         SEQUENCE {}              OPTIONAL
}
-- ASN1STOP
    
```

<b>HandoverPreparationInformation field descriptions</b>	
<b>as-Config</b>	The radio resource configuration. Applicable in case of intra-E-UTRA handover. If the target receives an incomplete <i>MeasConfig</i> and <i>RadioResourceConfigDedicated</i> in the <i>as-Config</i> , the target eNB may decide to apply the full configuration option based on the <i>ue-ConfigRelease</i> .
<b>as-Context</b>	Local E-UTRAN context required by the target eNB.
<b>rrm-Config</b>	Local E-UTRAN context used depending on the target node's implementation, which is mainly used for the RRM purpose.
<b>ue-ConfigRelease</b>	Indicates the RRC protocol release applicable for the current UE configuration. This could be used by target eNB to decide if the full configuration approach should be used. If this field is not present, the target assumes that the current UE configuration is based on the release 8 version of RRC protocol. NOTE 1.
<b>ue-RadioAccessCapabilityInfo</b>	E-UTRA radio access capabilities are always included and in case of inter-RAT handover to E-UTRA, UTRA radio access capabilities may be included. (If UTRA radio access capabilities are received from the source RAN, they are ignored by target eNB.) In case of inter-RAT handover to E-UTRA and the source is GERAN, GERAN capabilities are always included.

NOTE 1: The source typically sets the *ue-ConfigRelease* to the release corresponding with the current dedicated radio configuration. The source may however also consider the common radio resource configuration e.g. in case interoperability problems would appear if the UE temporary continues extensions of this part of the configuration in a target PCell not supporting them.

Conditional presence	Explanation
<i>HO</i>	The field is mandatory present in case of handover within E-UTRA; otherwise the field is not present.
<i>HO2</i>	The field is optional present in case of handover within E-UTRA; otherwise the field is not present.

– **UERadioAccessCapabilityInformation**

This message is used to transfer UE radio access capability information, covering both upload to and download from the EPC.

Direction: eNB to/ from EPC

**UERadioAccessCapabilityInformation message**

```

-- ASN1START
UERadioAccessCapabilityInformation ::= SEQUENCE {
    criticalExtensions          CHOICE {
        c1                     CHOICE {
            ueRadioAccessCapabilityInformation-r8
                                UERadioAccessCapabilityInformation-r8-IEs,
            spare7 NULL,
            spare6 NULL, spare5 NULL, spare4 NULL,
            spare3 NULL, spare2 NULL, spare1 NULL
        }
    }
}
    
```

```

    },
    criticalExtensionsFuture          SEQUENCE {}
  }
}
UERadioAccessCapabilityInformation-r8-IEs ::= SEQUENCE {
  ue-RadioAccessCapabilityInfo      OCTET STRING (CONTAINING UECapabilityInformation),
  nonCriticalExtension                SEQUENCE {}                                OPTIONAL
}
-- ASN1STOP

```

#### **UERadioAccessCapabilityInformation field descriptions**

##### **ue-RadioAccessCapabilityInfo**

Including E-UTRA, GERAN, and CDMA2000-1xRTT Bandclass radio access capabilities (separated). UTRA radio access capabilities are not included.

## 10.3 Inter-node RRC information element definitions

### – AS-Config

The *AS-Config* IE contains information about RRC configuration information in the source eNB which can be utilized by target eNB to determine the need to change the RRC configuration during the handover preparation phase. The information can also be used after the handover is successfully performed or during the RRC connection re-establishment.

#### **AS-Config information element**

```

-- ASN1START
AS-Config ::= SEQUENCE {
  sourceMeasConfig           MeasConfig,
  sourceRadioResourceConfig RadioResourceConfigDedicated,
  sourceSecurityAlgorithmConfig SecurityAlgorithmConfig,
  sourceUE-Identity          C-RNTI,
  sourceMasterInformationBlock MasterInformationBlock,
  sourceSystemInformationBlockType1 SystemInformationBlockType1 (WITH COMPONENTS
    { ..., nonCriticalExtension ABSENT}),
  sourceSystemInformationBlockType2 SystemInformationBlockType2,
  antennaInfoCommon         AntennaInfoCommon,
  sourceDl-CarrierFreq       ARFCN-ValueEUTRA,
  ...,
  [[ sourceSystemInformationBlockType1Ext OCTET STRING (CONTAINING
    SystemInformationBlockType1-v890-IEs) OPTIONAL,
  sourceOtherConfig-r9          OtherConfig-r9
  ]],
  [[ sourceSCellConfigList-r10      SCellToAddModList-r10          OPTIONAL
  ]]
}
-- ASN1STOP

```

**NOTE:** The *AS-Config* re-uses information elements primarily created to cover the radio interface signalling requirements. Consequently, the information elements may include some parameters that are not relevant for the target eNB e.g. the SFN as included in the *MasterInformationBlock*.

<b>AS-Config field descriptions</b>
<p><b>antennaInfoCommon</b> This field provides information about the number of antenna ports in the source PCell.</p>
<p><b>sourceDL-CarrierFreq</b> Provides the parameter Downlink EARFCN in the source PCell, see TS 36.101 [42].</p>
<p><b>sourceOtherConfig</b> Provides other configuration in the source PCell.</p>
<p><b>sourceMasterInformationBlock</b> <i>MasterInformationBlock</i> transmitted in the source PCell.</p>
<p><b>sourceMeasConfig</b> Measurement configuration in the source cell. The measurement configuration for all measurements existing in the source eNB when handover is triggered shall be included. See 10.5.</p>
<p><b>sourceRadioResourceConfig</b> Radio configuration in the source PCell. The radio resource configuration for all radio bearers existing in the source PCell when handover is triggered shall be included. See 10.5.</p>
<p><b>sourceSCellConfigList</b> Radio resource configuration (common and dedicated) of the SCells configured in the source eNB.</p>
<p><b>sourceSecurityAlgorithmConfig</b> This field provides the AS integrity protection (SRBs) and AS ciphering (SRBs and DRBs) algorithm configuration used in the source PCell.</p>
<p><b>sourceSystemInformationBlockType1</b> <i>SystemInformationBlockType1</i> transmitted in the source PCell.</p>
<p><b>sourceSystemInformationBlockType2</b> <i>SystemInformationBlockType2</i> transmitted in the source PCell.</p>

## – AS-Context

The IE *AS-Context* is used to transfer local E-UTRAN context required by the target eNB.

### AS-Context information element

```

-- ASN1START
AS-Context ::=
    SEQUENCE {
        reestablishmentInfo          OPTIONAL  -- Cond HO
    }
AS-Context-v11x0 ::=
    SEQUENCE {
        idc-Context-r11             OPTIONAL,  -- Cond HO
        mbmsInterestIndication-r11 OCTET STRING (CONTAINING
        MBMSInterestIndication-r11) OPTIONAL,  -- Cond HO2
        ...
    }
-- ASN1STOP

```

<b>AS-Context field descriptions</b>
<p><b>idc-Context</b> Including information used for handling the IDC problems.</p>
<p><b>reestablishmentInfo</b> Including information needed for the RRC connection re-establishment.</p>

Conditional presence	Explanation
HO	The field is mandatory present in case of handover within E-UTRA; otherwise the field is not present.
HO2	The field is optional present in case of handover within E-UTRA; otherwise the field is not present.

## – *IDC-Context*

The *IDC-Context* IE contains information used for handling IDC problems and it is set according to the IDC problems experienced by the UE.

### **IDCContext** information element

```
-- ASN1START
IDC-Context-r11 ::= SEQUENCE {
    affectedCarrierFreqList-r11 AffectedCarrierFreqList-r11 OPTIONAL,
    tdm-AssistanceInfo-r11 TDM-AssistanceInfo-r11 OPTIONAL,
    ...
}
-- ASN1STOP
```

### **IDC-Context** field descriptions

<b>affectedCarrierFreqList</b>	Contains a list of E-UTRA carrier frequencies that are affected by ongoing IDC problems.
<b>tdm-AssistanceInfo</b>	The assistance information that may be used for DRX configuration to solve the IDC problems.

## – *ReestablishmentInfo*

The *ReestablishmentInfo* IE contains information needed for the RRC connection re-establishment.

### **ReestablishmentInfo** information element

```
-- ASN1START
ReestablishmentInfo ::= SEQUENCE {
    sourcePhysCellId PhysCellId,
    targetCellShortMAC-I ShortMAC-I,
    additionalReestabInfoList AdditionalReestabInfoList OPTIONAL,
    ...
}
AdditionalReestabInfoList ::= SEQUENCE ( SIZE (1..maxReestabInfo) ) OF AdditionalReestabInfo
AdditionalReestabInfo ::= SEQUENCE{
    cellIdentity CellIdentity,
    key-eNodeB-Star Key-eNodeB-Star,
    shortMAC-I ShortMAC-I
}
Key-eNodeB-Star ::= BIT STRING (SIZE (256))
-- ASN1STOP
```

<b>ReestablishmentInfo field descriptions</b>
<p><b>additionalReestabInfoList</b> Contains a list of shortMAC-I and KeNB* for cells under control of the target eNB, required for potential re-establishment by the UE in these cells to succeed.</p>
<p><b>Key-eNodeB-Star</b> Parameter KeNB*: See TS 33.401 [32, 7.2.8.4]. This parameter is only used for X2 handover, and for S1 handover, it shall be ignored by target eNB.</p>
<p><b>sourcePhyCellId</b> The physical cell identity of the source PCell, used to determine the UE context in the target eNB at re-establishment.</p>
<p><b>targetCellShortMAC-I</b> The ShortMAC-I for the handover target PCell, in order for potential re-establishment to succeed.</p>

## – RRM-Config

The *RRM-Config* IE contains information about UE specific RRM information before the handover which can be utilized by target eNB.

### RRM-Config information element

```
-- ASN1START
RRM-Config ::=
  ue-InactiveTime          SEQUENCE {
    ENUMERATED {
      s1, s2, s3, s5, s7, s10, s15, s20,
      s25, s30, s40, s50, min1, min1s20c, min1s40,
      min2, min2s30, min3, min3s30, min4, min5, min6,
      min7, min8, min9, min10, min12, min14, min17, min20,
      min24, min28, min33, min38, min44, min50, hr1,
      hr1min30, hr2, hr2min30, hr3, hr3min30, hr4, hr5, hr6,
      hr8, hr10, hr13, hr16, hr20, day1, day1hr12, day2,
      day2hr12, day3, day4, day5, day7, day10, day14, day19,
      day24, day30, dayMoreThan30}          OPTIONAL,
    ...,
    [[ candidateCellInfoList-r10 CandidateCellInfoList-r10          OPTIONAL
    ]]
  }
CandidateCellInfoList-r10 ::= SEQUENCE (SIZE (1..maxFreq)) OF CandidateCellInfo-r10
CandidateCellInfo-r10 ::= SEQUENCE {
  -- cellIdentification
  physCellId-r10          PhysCellId,
  dl-CarrierFreq-r10      ARFCN-ValueEUTRA,
  -- available measurement results
  rsrpResult-r10          RSRP-Range          OPTIONAL,
  rsrqResult-r10          RSRQ-Range          OPTIONAL,
  ...
}
-- ASN1STOP
```

<b>RRM-Config field descriptions</b>
<p><b>candidateCellInfoList</b> A list of the best cells on each frequency for which measurement information was available, in order of decreasing RSRP.</p>
<p><b>ue-InactiveTime</b> Duration while UE has not received or transmitted any user data. Thus the timer is still running in case e.g., UE measures the neighbour cells for the HO purpose. Value s1 corresponds to 1 second, s2 corresponds to 2 seconds and so on. Value min1 corresponds to 1 minute, value min1s20 corresponds to 1 minute and 20 seconds, value min1s40 corresponds to 1 minute and 40 seconds and so on. Value hr1 corresponds to 1 hour, hr1min30 corresponds to 1 hour and 30 minutes and so on.</p>

## 10.4 Inter-node RRC multiplicity and type constraint values

### – Multiplicity and type constraints definitions

```
-- ASN1START
maxReestabInfo          INTEGER ::= 32 -- Maximum number of KeNB* and shortMAC-I forwarded
                           -- at handover for re-establishment preparation
-- ASN1STOP
```

### – End of *EUTRA-InterNodeDefinitions*

```
-- ASN1START
END
-- ASN1STOP
```

## 10.5 Mandatory information in *AS-Config*

The *AS-Config* transferred between source eNB and target-eNB shall include all IEs necessary to describe the AS context. The conditional presence in section 6 is only applicable for eNB to UE communication.

The "need" or "cond" statements are not applied in case of sending the IEs from source eNB to target eNB. Some information elements shall be included regardless of the "need" or "cond" e.g. *discardTimer*. The *AS-Config* re-uses information elements primarily created to cover the radio interface signalling requirements. The information elements may include some parameters that are not relevant for the target eNB e.g. the SFN as included in the *MasterInformationBlock*.

All the fields in the *AS-Config* as defined in 10.3 that are introduced after v9.2.0 and that are optional for eNB to UE communication shall be included, if the functionality is configured. The fields in the *AS-Config* that are defined before and including v9.2.0 shall be included as specified in the following.

Within the *sourceRadioResourceConfig*, *sourceMeasConfig* and *sourceOtherConfig*, the source eNB shall include fields that are optional for eNB to UE communication, if the functionality is configured unless explicitly specified otherwise in the following:

- in accordance with a condition that is explicitly stated to be applicable; or
- a default value is defined for the concerned field; and the configured value is the same as the default value that is defined; or
- the need of the field is OP and the current UE configuration corresponds with the behaviour defined for absence of the field;

The following fields, if the functionality is configured, are not mandatory for the source eNB to include in the *AS-Config* since delta signalling by the target eNB for these fields is not supported:

- *semiPersistSchedC-RNTI*
- *measGapConfig*

For the measurement configuration, a corresponding operation as 5.5.6.1 and 5.5.2.2a is executed by target eNB.

# 11 UE capability related constraints and performance requirements

## 11.1 UE capability related constraints

The following table lists constraints regarding the UE capabilities that E-UTRAN is assumed to take into account.

Parameter	Description	Value
#DRBs	The number of DRBs that a UE shall support	8
#RLC-AM	The number of RLC AM entities that a UE shall support	10
#minCellperMeasObject EUTRA	The minimum number of neighbour cells (excluding black list cells) that a UE shall be able to store within a MeasObjectEUTRA	32
#minBlackCellRangesperMeasObjectEUTRA	The minimum number of blacklist cell PCI ranges that a UE shall be able to store within a MeasObjectEUTRA	32
#minCellperMeasObject UTRA	The minimum number of neighbour cells that a UE shall be able to store within a MeasObjectUTRA	32
#minCellperMeasObject GERAN	The minimum number of neighbour cells that a UE shall be able to store within a measObjectGERAN	32
#minCellperMeasObject CDMA2000	The minimum number of neighbour cells that a UE shall be able to store within a measObjectCDMA2000	32
#minCellTotal	The minimum number of neighbour cells (excluding black list cells) that UE shall be able to store in total in all measurement objects configured	256

## 11.2 Processing delay requirements for RRC procedures

The UE performance requirements for RRC procedures are specified in the following table, by means of a value N:

N = the number of 1ms subframes from the end of reception of the E-UTRAN -> UE message on the UE physical layer up to when the UE shall be ready for the reception of uplink grant for the UE -> E-UTRAN response message with no access delay other than the TTI-alignment (e.g. excluding delays caused by scheduling, the random access procedure or physical layer synchronisation).

NOTE: No processing delay requirements are specified for RN-specific procedures.

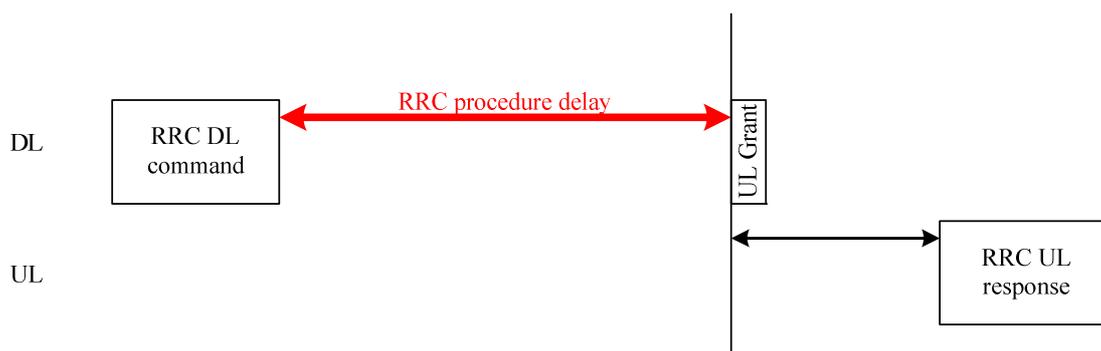


Figure 11.2-1: Illustration of RRC procedure delay

Procedure title:	E-UTRAN -> UE	UE -> E-UTRAN	N	Notes
------------------	---------------	---------------	---	-------

Procedure title:	E-UTRAN -> UE	UE -> E-UTRAN	N	Notes
<b>RRC Connection Control Procedures</b>				
RRC connection establishment	<i>RRCCConnectionSetup</i>	<i>RRCCConnectionSetupComplete</i>	15	
RRC connection release	<i>RRCCConnectionRelease</i>		NA	
RRC connection re-configuration (radio resource configuration)	<i>RRCCConnectionReconfiguration</i>	<i>RRCCConnectionReconfigurationComplete</i>	15	
RRC connection re-configuration (measurement configuration)	<i>RRCCConnectionReconfiguration</i>	<i>RRCCConnectionReconfigurationComplete</i>	15	
RRC connection re-configuration (intra-LTE mobility)	<i>RRCCConnectionReconfiguration</i>	<i>RRCCConnectionReconfigurationComplete</i>	15	
RRC connection re-establishment	<i>RRCCConnectionReestablishment</i>	<i>RRCCConnectionReestablishmentComplete</i>	15	
Initial security activation	<i>SecurityModeCommand</i>	<i>SecurityModeCommandComplete/SecurityModeCommandFailure</i>	10	
Initial security activation + RRC connection re-configuration (RB establishment)	<i>SecurityModeCommand, RRCCConnectionReconfiguration</i>	<i>RRCCConnectionReconfigurationComplete</i>	20	The two DL messages are transmitted in the same TTI
Paging	<i>Paging</i>		NA	
<b>Inter RAT mobility</b>				
Handover to E-UTRA	<i>RRCCConnectionReconfiguration (sent by other RAT)</i>	<i>RRCCConnectionReconfigurationComplete</i>	NA	The performance of this procedure is specified in [50] in case of handover from GSM and [29], [30] in case of handover from UTRA.
Handover from E-UTRA	<i>MobilityFromEUTRACommand</i>		NA	The performance of this procedure is specified in [16]
Handover from E-UTRA to CDMA2000	<i>HandoverFromEUTRAPreparationRequest (CDMA2000)</i>		NA	Used to trigger the handover preparation procedure with a CDMA2000 RAT. The performance of this procedure is specified in [16]
<b>Measurement procedures</b>				
Measurement Reporting		<i>MeasurementReport</i>	NA	
<b>Other procedures</b>				
UE capability transfer	<i>UECapabilityEnquiry</i>	<i>UECapabilityInformation</i>	10	
Counter check	<i>CounterCheck</i>	<i>CounterCheckResponse</i>	10	
Proximity indication		<i>ProximityIndication</i>	NA	
UE information	<i>UEInformationRequest</i>	<i>UEInformationResponse</i>	15	
MBMS counting	<i>MBMSCountingRequest</i>	<i>MBMSCountingResponse</i>	NA	
MBMS interest indication		<i>MBMSInterestIndication</i>	NA	
In-device coexistence indication		<i>InDeviceCoexIndication</i>	NA	

<b>Procedure title:</b>	<b>E-UTRAN -&gt; UE</b>	<b>UE -&gt; E-UTRAN</b>	<b>N</b>	<b>Notes</b>
UE assistance information		<i>UEAssistanceInformation</i>	NA	

### 11.3 Void

---

## Annex A (informative): Guidelines, mainly on use of ASN.1

**Editor's note** No agreements have been reached concerning the extension of RRC PDUs so far. Any statements in this section about the protocol extension mechanism should be considered as FFS.

### A.1 Introduction

The following clauses contain guidelines for the specification of RRC protocol data units (PDUs) with ASN.1.

### A.2 Procedural specification

#### A.2.1 General principles

The procedural specification provides an overall high level description regarding the UE behaviour in a particular scenario.

It should be noted that most of the UE behaviour associated with the reception of a particular field is covered by the applicable parts of the PDU specification. The procedural specification may also include specific details of the UE behaviour upon reception of a field, but typically this should be done only for cases that are not easy to capture in the PDU section e.g. general actions, more complicated actions depending on the value of multiple fields.

Likewise, the procedural specification need not specify the UE requirements regarding the setting of fields within the messages that are sent to E-UTRAN i.e. this may also be covered by the PDU specification.

#### A.2.2 More detailed aspects

The following more detailed conventions should be used:

- Bullets:
  - Capitals should be used in the same manner as in other parts of the procedural text i.e. in most cases no capital applies since the bullets are part of the sentence starting with 'The UE shall:'
  - All bullets, including the last one in a sub-clause, should end with a semi-colon i.e. an ';'.
- Conditions
  - Whenever multiple conditions apply, a semi-colon should be used at the end of each conditions with the exception of the last one, i.e. as in 'if cond1; or cond2:'

### A.3 PDU specification

#### A.3.1 General principles

##### A.3.1.1 ASN.1 sections

The RRC PDU contents are formally and completely described using abstract syntax notation (ASN.1), see X.680 [13], X.681 (02/2002) [14].

The complete ASN.1 code is divided into a number of ASN.1 sections in the specifications. In order to facilitate the extraction of the complete ASN.1 code from the specification, each ASN.1 section begins with a text paragraph consisting entirely of an *ASN.1 start tag*, which consists of a double hyphen followed by a single space and the text string "ASN1START" (in all upper case letters). Each ASN.1 section ends with a text paragraph consisting entirely of

an *ASN.1 stop tag*, which consists of a double hyphen followed by a single space and the text "ASN1STOP" (in all upper case letters):

```
-- ASN1START
-- ASN1STOP
```

The text paragraphs containing the ASN.1 start and stop tags should not contain any ASN.1 code significant for the complete description of the RRC PDU contents. The complete ASN.1 code may be extracted by copying all the text paragraphs between an ASN.1 start tag and the following ASN.1 stop tag in the order they appear, throughout the specification.

NOTE: A typical procedure for extraction of the complete ASN.1 code consists of a first step where the entire RRC PDU contents description (ultimately the entire specification) is saved into a plain text (ASCII) file format, followed by a second step where the actual extraction takes place, based on the occurrence of the ASN.1 start and stop tags.

### A.3.1.2 ASN.1 identifier naming conventions

The naming of identifiers (i.e., the ASN.1 field and type identifiers) should be based on the following guidelines:

- Message (PDU) identifiers should be ordinary mixed case without hyphenation. These identifiers, *e.g.*, the *RRCConnectionModificationCommand*, should be used for reference in the procedure text. Abbreviated forms of these identifiers should not be used.
- Type identifiers other than PDU identifiers should be ordinary mixed case, with hyphenation used to set off acronyms only where an adjacent letter is a capital, *e.g.*, *EstablishmentCause*, *SelectedPLMN* (not *Selected-PLMN*, since the "d" in "Selected" is lowercase), *InitialUE-Identity* and *MeasSFN-SFN-TimeDifference*.
- Field identifiers shall start with a lowercase letter and use mixed case thereafter, *e.g.*, *establishmentCause*. If a field identifier begins with an acronym (which would normally be in upper case), the entire acronym is lowercase (*plmn-Identity*, not *PLMN-Identity*). The acronym is set off with a hyphen (*ue-Identity*, not *ueIdentity*), in order to facilitate a consistent search pattern with corresponding type identifiers.
- Identifiers that are likely to be keywords of some language, especially widely used languages, such as C++ or Java, should be avoided to the extent possible.
- Identifiers, other than PDU identifiers, longer than 25 characters should be avoided where possible. It is recommended to use abbreviations, which should be done in a consistent manner i.e. use 'Meas' instead of 'Measurement' for all occurrences. Examples of typical abbreviations are given in table A.3.1.2.1-1 below.
- *For future extension:* When an extension is introduced a suffix is added to the identifier of the concerned ASN.1 field and/ or type. A suffix of the form "-rX" is used, with X indicating the release, for ASN.1 fields or types introduced in a later release (i.e. a release later than the original/ first release of the protocol) as well as for ASN.1 fields or types for which a revision is introduced in a later release replacing a previous version, *e.g.*, *Foo-r9* for the Rel-9 version of the ASN.1 type *Foo*. A suffix of the form "-vXYZ" is used for ASN.1 fields or types that only are an extension of a corresponding earlier field or type (see sub-clause A.4), *e.g.*, *AnElement-v10b0* for the extension of the ASN.1 type *AnElement* introduced in version 10.11.0 of the specification. A number 0...9, 10, 11, *etc.* is used to represent the first part of the version number, indicating the release of the protocol. Lower case letters *a*, *b*, *c*, *etc.* are used to represent the second (and third) part of the version number if they are greater than 9. In the procedural specification, in field descriptions as well as in headings suffices are not used, unless there is a clear need to distinguish the extension from the original field.
- More generally, in case there is a need to distinguish different variants of an ASN.1 field or IE, a suffix should be added at the end of the identifiers *e.g.* *MeasObjectUTRA*, *ConfigCommon*. When there is no particular need to distinguish the fields (*e.g.* because the field is included in different IEs), a common field identifier name may be used. This may be attractive *e.g.* in case the procedural specification is the same for the different variants.

**Table A.3.1.2-1: Examples of typical abbreviations used in ASN.1 identifiers**

Abbreviation	Abbreviated word
Conf	Confirmation
Config	Configuration
DL	Downlink
Freq	Frequency
Id	Identity
Ind	Indication
Info	Information
Meas	Measurement
Neigh	Neighbour(ing)
Param(s)	Parameter(s)
Persist	Persistent
Phys	Physical
Reestab	Reestablishment
Req	Request
Sched	Scheduling
Thresh	Threshold
Transm	Transmission
UL	Uplink

NOTE: The table A.3.1.2.1-1 is not exhaustive. Additional abbreviations may be used in ASN.1 identifiers when needed.

### A.3.1.3 Text references using ASN.1 identifiers

A text reference into the RRC PDU contents description from other parts of the specification is made using the ASN.1 field or type identifier of the referenced element. The ASN.1 field and type identifiers used in text references should be in the *italic font style*. The "do not check spelling and grammar" attribute in Word should be set. Quotation marks (i.e., " ") should not be used around the ASN.1 field or type identifier.

A reference to an RRC PDU type should be made using the corresponding ASN.1 type identifier followed by the word "message", e.g., a reference to the *RRCConnectionRelease* message.

A reference to a specific part of an RRC PDU, or to a specific part of any other ASN.1 type, should be made using the corresponding ASN.1 field identifier followed by the word "field", e.g., a reference to the *prioritisedBitRate* field in the example below.

```
-- /example/ ASN1START
LogicalChannelConfig ::=
    ul-SpecificParameters
        priority
        prioritisedBitRate
        bucketSizeDuration
        logicalChannelGroup
    } OPTIONAL
SEQUENCE {
    SEQUENCE {
        Priority,
        PrioritisedBitRate,
        BucketSizeDuration,
        INTEGER (0..3)
    }
}
-- ASN1STOP
```

NOTE: All the ASN.1 start tags in the ASN.1 sections, used as examples in this annex to the specification, are deliberately distorted, in order not to include them when the ASN.1 description of the RRC PDU contents is extracted from the specification.

A reference to a specific type of information element should be made using the corresponding ASN.1 type identifier preceded by the acronym "IE", e.g., a reference to the IE *LogicalChannelConfig* in the example above.

References to a specific type of information element should only be used when those are generic, i.e., without regard to the particular context wherein the specific type of information element is used. If the reference is related to a particular context, e.g., an RRC PDU type (message) wherein the information element is used, the corresponding field identifier in that context should be used in the text reference.

A reference to a specific value of an ASN.1 field should be made using the corresponding ASN.1 value without using quotation marks around the ASN.1 value, e.g., 'if the *status* field is set to value *true*'.

### A.3.2 High-level message structure

Within each logical channel type, the associated RRC PDU (message) types are alternatives within a CHOICE, as shown in the example below.

```
-- /example/ ASN1START
DL-DCCH-Message ::= SEQUENCE {
    message          DL-DCCH-MessageType
}
DL-DCCH-MessageType ::= CHOICE {
    c1               CHOICE {
        dlInformationTransfer          DLInformationTransfer,
        handoverFromEUTRAPreparationRequest  HandoverFromEUTRAPreparationRequest,
        mobilityFromEUTRACommand        MobilityFromEUTRACommand,
        rrcConnectionReconfiguration    RRCConnectionReconfiguration,
        rrcConnectionRelease            RRCConnectionRelease,
        securityModeCommand             SecurityModeCommand,
        ueCapabilityEnquiry             UECapabilityEnquiry,
        spare1 NULL
    },
    messageClassExtension SEQUENCE {}
}
-- ASN1STOP
```

A nested two-level CHOICE structure is used, where the alternative PDU types are alternatives within the inner level *c1* CHOICE.

Spare alternatives (i.e., *spare1* in this case) may be included within the *c1* CHOICE to facilitate future extension. The number of such spare alternatives should not extend the total number of alternatives beyond an integer-power-of-two number of alternatives (i.e., eight in this case).

Further extension of the number of alternative PDU types is facilitated using the *messageClassExtension* alternative in the outer level CHOICE.

### A.3.3 Message definition

Each PDU (message) type is specified in an ASN.1 section similar to the one shown in the example below.

```
-- /example/ ASN1START
RRCConnectionReconfiguration ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        c1                         CHOICE {
            rrcConnectionReconfiguration-r8  RRCConnectionReconfiguration-r8-IEs,
            spare3 NULL, spare2 NULL, spare1 NULL
        },
        criticalExtensionsFuture          SEQUENCE {}
    }
}
RRCConnectionReconfiguration-r8-IEs ::= SEQUENCE {
    -- Enter the IEs here.
    ...
}
-- ASN1STOP
```

Hooks for *critical* and *non-critical* extension should normally be included in the PDU type specification. How these hooks are used is further described in sub-clause A.4.

Critical extensions are characterised by a redefinition of the PDU contents and need to be governed by a mechanism for protocol version agreement between the encoder and the decoder of the PDU, such that the encoder is prevented from sending a critically extended version of the PDU type, which is not comprehended by the decoder.

Critical extension of a PDU type is facilitated by a two-level CHOICE structure, where the alternative PDU contents are alternatives within the inner level *c1* CHOICE. Spare alternatives (i.e., *spare3* down to *spare1* in this case) may be included within the *c1* CHOICE. The number of spare alternatives to be included in the original PDU specification should be decided case by case, based on the expected rate of critical extension in the future releases of the protocol.

Further critical extension, when the spare alternatives from the original specifications are used up, is facilitated using the *criticalExtensionsFuture* in the outer level CHOICE.

In PDU types where critical extension is not expected in the future releases of the protocol, the inner level *c1* CHOICE and the spare alternatives may be excluded, as shown in the example below.

```
-- /example/ ASN1START
RRCConnectionReconfigurationComplete ::= SEQUENCE {
    rrc-TransactionIdentifier      RRC-TransactionIdentifier,
    criticalExtensions             CHOICE {
        rrcConnectionReconfigurationComplete-r8
        criticalExtensionsFuture   RRCConnectionReconfigurationComplete-r8-IEs,
    }
}
RRCConnectionReconfigurationComplete-r8-IEs ::= SEQUENCE {
    -- Enter the IEs here. --
    ...
}
-- ASN1STOP
```

Non-critical extensions are characterised by the addition of new information to the original specification of the PDU type. If not comprehended, a non-critical extension may be skipped by the decoder, whilst the decoder is still able to complete the decoding of the comprehended parts of the PDU contents.

Non-critical extensions at locations other than the end of the message or other than at the end of a field contained in a BIT or OCTET STRING are facilitated by use of the ASN.1 extension marker "...". The original specification of a PDU type should normally include the extension marker at the end of the sequence of information elements contained.

Non-critical extensions at the end of the message or at the end of a field that is contained in a BIT or OCTET STRING are facilitated by use of an empty sequence that is marked OPTIONAL e.g. as shown in the following example:

```
-- /example/ ASN1START
RRCMessage-r8-IEs ::=
    SEQUENCE {
        field1          InformationElement1,
        field2          InformationElement2,
        nonCriticalExtension SEQUENCE {} OPTIONAL -- Need OP
    }
-- ASN1STOP
```

The ASN.1 section specifying the contents of a PDU type may be followed by a *field description* table where a further description of, e.g., the semantic properties of the fields may be included. The general format of this table is shown in the example below. The field description table is absent in case there are no fields for which further description needs to be provided e.g. because the PDU does not include any fields, or because an IE is defined for each field while there is nothing specific regarding the use of this IE that needs to be specified.

<b>%PDU-TypeIdentifier% field descriptions</b>
<b>%field identifier%</b> Field description.
<b>%field identifier%</b> Field description.

The field description table has one column. The header row shall contain the ASN.1 type identifier of the PDU type.

The following rows are used to provide field descriptions. Each row shall include a first paragraph with a *field identifier* (in **bold and italic** font style) referring to the part of the PDU to which it applies. The following paragraphs at the same

row may include (in regular font style), e.g., semantic description, references to other specifications and/ or specification of value units, which are relevant for the particular part of the PDU.

The parts of the PDU contents that do not require a field description shall be omitted from the field description table.

### A.3.4 Information elements

Each IE (information element) type is specified in an ASN.1 section similar to the one shown in the example below.

```
-- /example/ ASN1START

PRACH-ConfigSIB ::=
    rootSequenceIndex
    prach-ConfigInfo
}
SEQUENCE {
    INTEGER (0..1023),
    PRACH-ConfigInfo
}

PRACH-Config ::=
    rootSequenceIndex
    prach-ConfigInfo
}
SEQUENCE {
    INTEGER (0..1023),
    PRACH-ConfigInfo
} OPTIONAL -- Need ON

PRACH-ConfigInfo ::=
    prach-ConfigIndex
    highSpeedFlag
    zeroCorrelationZoneConfig
}
SEQUENCE {
    ENUMERATED { ffs },
    ENUMERATED { ffs },
    ENUMERATED { ffs }
}

-- ASN1STOP
```

IEs should be introduced whenever there are multiple fields for which the same set of values apply. IEs may also be defined for other reasons e.g. to break down a ASN.1 definition in to smaller pieces.

A group of closely related IE type definitions, like the IEs *PRACH-ConfigSIB* and *PRACH-Config* in this example, are preferably placed together in a common ASN.1 section. The IE type identifiers should in this case have a common base, defined as the *generic type identifier*. It may be complemented by a suffix to distinguish the different variants. The "*PRACH-Config*" is the generic type identifier in this example, and the "*SIB*" suffix is added to distinguish the variant. The sub-clause heading and generic references to a group of closely related IEs defined in this way should use the generic type identifier.

The same principle should apply if a new version, or an extension version, of an existing IE is created for *critical* or *non-critical* extension of the protocol (see sub-clause A.4). The new version, or the extension version, of the IE is included in the same ASN.1 section defining the original. A suffix is added to the type identifier, using the naming conventions defined in sub-clause A.3.1.2, indicating the release or version of the where the new version, or extension version, was introduced.

Local IE type definitions, like the IE *PRACH-ConfigInfo* in the example above, may be included in the ASN.1 section and be referenced in the other IE types defined in the same ASN.1 section. The use of locally defined IE types should be encouraged, as a tool to break up large and complex IE type definitions. It can improve the readability of the code. There may also be a benefit for the software implementation of the protocol end-points, as these IE types are typically provided by the ASN.1 compiler as independent data elements, to be used in the software implementation.

An IE type defined in a local context, like the IE *PRACH-ConfigInfo*, should not be referenced directly from other ASN.1 sections in the RRC specification. An IE type which is referenced in more than one ASN.1 section should be defined in a separate sub-clause, with a separate heading and a separate ASN.1 section (possibly as one in a set of closely related IE types, like the IEs *PRACH-ConfigSIB* and *PRACH-Config* in the example above). Such IE types are also referred to as 'global IEs'.

**NOTE:** Referring to an IE type, that is defined as a local IE type in the context of another ASN.1 section, does not generate an ASN.1 compilation error. Nevertheless, using a locally defined IE type in that way makes the IE type definition difficult to find, as it would not be visible at an outline level of the specification. It should be avoided.

The ASN.1 section specifying the contents of one or more IE types, like in the example above, may be followed by a *field description* table, where a further description of, e.g., the semantic properties of the fields of the information elements may be included. This table may be absent, similar as indicated in sub-clause A.3.3 for the specification of the

PDU type. The general format of the *field description* table is the same as shown in sub-clause A.3.3 for the specification of the PDU type.

### A.3.5 Fields with optional presence

A field with optional presence may be declared with the keyword `DEFAULT`. It identifies a default value to be assumed, if the sender does not include a value for that field in the encoding:

```
-- /example/ ASN1START
PreambleInfo ::=
    numberOfRA-Preambles          SEQUENCE {
    ..                             INTEGER (1..64)           DEFAULT 1,
    ..
    }
-- ASN1STOP
```

Alternatively, a field with optional presence may be declared with the keyword `OPTIONAL`. It identifies a field for which a value can be omitted. The omission carries semantics, which is different from any normal value of the field:

```
-- /example/ ASN1START
PRACH-Config ::=
    rootSequenceIndex             SEQUENCE {
    ..                             INTEGER (0..1023),
    prach-ConfigInfo              PRACH-ConfigInfo           OPTIONAL -- Need ON
    }
-- ASN1STOP
```

The semantics of an optionally present field, in the case it is omitted, should be indicated at the end of the paragraph including the keyword `OPTIONAL`, using a short comment text with a need statement. The need statement includes the keyword "Need", followed by one of the predefined semantics tags (`OP`, `ON` or `OR`) defined in sub-clause 6.1. If the semantics tag `OP` is used, the semantics of the absent field are further specified either in the field description table following the ASN.1 section, or in procedure text.

### A.3.6 Fields with conditional presence

A field with conditional presence is declared with the keyword `OPTIONAL`. In addition, a short comment text shall be included at the end of the paragraph including the keyword `OPTIONAL`. The comment text includes the keyword "Cond", followed by a condition tag associated with the field ("UL" in this example):

```
-- /example/ ASN1START
LogicalChannelConfig ::=
    ul-SpecificParameters         SEQUENCE {
    ..                             SEQUENCE {
    ..                             ..         priority          INTEGER (0),
    ..                             ..
    } OPTIONAL                      -- Cond UL
    }
-- ASN1STOP
```

When conditionally present fields are included in an ASN.1 section, the field description table after the ASN.1 section shall be followed by a *conditional presence* table. The conditional presence table specifies the conditions for including the fields with conditional presence in the particular ASN.1 section.

Conditional presence	Explanation
UL	<b>Specification of the conditions for including the field associated with the condition tag = "UL". Semantics in case of optional presence under certain conditions may also be specified.</b>

The conditional presence table has two columns. The first column (heading: "Conditional presence") contains the condition tag (in *italic* font style), which links the fields with a condition tag in the ASN.1 section to an entry in the table. The second column (heading: "Explanation") contains a text specification of the conditions and requirements for

the presence of the field. The second column may also include semantics, in case of an optional presence of the field, under certain conditions i.e. using the same predefined tags as defined for optional fields in A.3.5.

Conditional presence should primarily be used when presence of a field depends on the presence and/ or value of other fields within the same message. If the presence of a field depends on whether another feature/ function has been configured, while this function can be configured independently e.g. by another message and/ or at another point in time, the relation is best reflected by means of a statement in the field description table.

If the ASN.1 section does not include any fields with conditional presence, the conditional presence table shall not be included.

Whenever a field is only applicable in specific cases e.g. TDD, use of conditional presence should be considered.

## A.3.7 Guidelines on use of lists with elements of SEQUENCE type

Where an information element has the form of a list (the SEQUENCE OF construct in ASN.1) with the type of the list elements being a SEQUENCE data type, an information element shall be defined for the list elements even if it would not otherwise be needed.

For example, a list of PLMN identities with reservation flags is defined as in the following example:

```
-- /example/ ASN1START
PLMN-IdentityInfoList ::=                SEQUENCE (SIZE (1..6)) OF PLMN-IdentityInfo
PLMN-IdentityInfo ::=                   SEQUENCE {
    plmn-Identity                        PLMN-Identity,
    cellReservedForOperatorUse           ENUMERATED {reserved, notReserved}
}
-- ASN1STOP
```

rather than as in the following (bad) example, which may cause generated code to contain types with unpredictable names:

```
-- /bad example/ ASN1START
PLMN-IdentityList ::=                   SEQUENCE (SIZE (1..6)) OF SEQUENCE {
    plmn-Identity                        PLMN-Identity,
    cellReservedForOperatorUse           ENUMERATED {reserved, notReserved}
}
-- ASN1STOP
```

## A.4 Extension of the PDU specifications

### A.4.1 General principles to ensure compatibility

It is essential that extension of the protocol does not affect interoperability i.e. it is essential that implementations based on different versions of the RRC protocol are able to interoperate. In particular, this requirement applies for the following kind of protocol extensions:

- Introduction of new PDU types (i.e. these should not cause unexpected behaviour or damage).
- Introduction of additional fields in an extensible PDUs (i.e. it should be possible to ignore uncomprehended extensions without affecting the handling of the other parts of the message).
- Introduction of additional values of an extensible field of PDUs. If used, the behaviour upon reception of an uncomprehended value should be defined.

It should be noted that the PDU extension mechanism may depend on the logical channel used to transfer the message e.g. for some PDUs an implementation may be aware of the protocol version of the peer in which case selective ignoring of extensions may not be required.

The non-critical extension mechanism is the primary mechanism for introducing protocol extensions i.e. the critical extension mechanism is used merely when there is a need to introduce a 'clean' message version. Such a need appears when the last message version includes a large number of non-critical extensions, which results in issues like readability, overhead associated with the extension markers. The critical extension mechanism may also be considered when it is complicated to accommodate the extensions by means of non-critical extension mechanisms.

## A.4.2 Critical extension of messages

The mechanisms to critically extend a message are defined in A.3.3. There are both "outer branch" and "inner branch" mechanisms available. The "outer branch" consists of a CHOICE having the name *criticalExtensions*, with two values, *c1* and *criticalExtensionsFuture*. The *criticalExtensionsFuture* branch consists of an empty SEQUENCE, while the *c1* branch contains the "inner branch" mechanism.

The "inner branch" structure is a CHOICE with values of the form "*MessageName-rX-IEs*" (e.g., "*RRCConnectionReconfiguration-r8-IEs*") or "*spareX*", with the spare values having type NULL. The "-rX-IEs" structures contain the *complete* structure of the message IEs for the appropriate release; i.e., the critical extension branch for the Rel-10 version of a message includes all Rel-8 and Rel-9 fields (that are not obviated in the later version), rather than containing only the additional Rel-10 fields.

The following guidelines may be used when deciding which mechanism to introduce for a particular message, i.e. only an 'outer branch', or an 'outer branch' in combination with an 'inner branch' including a certain number of spares:

- For certain messages, e.g. initial uplink messages, messages transmitted on a broadcast channel, critical extension may not be applicable.
- An outer branch may be sufficient for messages not including any fields.
- The number of spares within inner branch should reflect the likelihood that the message will be critically extended in future releases (since each release with a critical extension for the message consumes one of the spare values). The estimation of the critical extension likelihood may be based on the number, size and changeability of the fields included in the message.
- In messages where an inner branch extension mechanism is available, all spare values of the inner branch should be used before any critical extensions are added using the outer branch.

The following example illustrates the use of the critical extension mechanism by showing the ASN.1 of the original and of a later release

```
-- /example/ ASN1START                                -- Original release
RRCMessage ::=                                       SEQUENCE {
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  criticalExtensions              CHOICE {
    c1                            CHOICE{
      rrcMessage-r8              RRCMessage-r8-IEs,
      spare3 NULL, spare2 NULL, spare1 NULL
    },
    criticalExtensionsFuture      SEQUENCE {}
  }
}
-- ASN1STOP
```

```
-- /example/ ASN1START                                -- Later release
RRCMessage ::=                                       SEQUENCE {
  rrc-TransactionIdentifier      RRC-TransactionIdentifier,
  criticalExtensions              CHOICE {
    c1                            CHOICE{
      rrcMessage-r8              RRCMessage-r8-IEs,
      rrcMessage-r10             RRCMessage-r10-IEs,
      rrcMessage-r11             RRCMessage-r11-IEs,
      rrcMessage-r14             RRCMessage-r14-IEs
    },
    later                          CHOICE {
      c2                            CHOICE{
        rrcMessage-r16           RRCMessage-r16-IEs,
        spare7 NULL, spare6 NULL, spare5 NULL, spare4 NULL,

```

```

        spare3 NULL, spare2 NULL, spare1 NULL
    },
    criticalExtensionsFuture          SEQUENCE {}
}
}
}
-- ASN1STOP

```

## A.4.3 Non-critical extension of messages

### A.4.3.1 General principles

The mechanisms to extend a message in a non-critical manner are defined in A.3.3. W.r.t. the use of extension markers, the following additional guidelines apply:

- When further non-critical extensions are added to a message that has been critically extended, the inclusion of these non-critical extensions in earlier critical branches of the message should be avoided when possible.
- The extension marker ("...") is the primary non-critical extension mechanism that is used unless a length determinant is not required. Examples of cases where a length determinant is not required:
  - at the end of a message,
  - at the end of a structure contained in a BIT STRING or OCTET STRING
- When an extension marker is available, non-critical extensions are preferably placed at the location (e.g. the IE) where the concerned parameter belongs from a logical/ functional perspective (referred to as the '*default extension location*')
- It is desirable to aggregate extensions of the same release or version of the specification into a group, which should be placed at the lowest possible level.
- In specific cases it may be preferable to place extensions elsewhere (referred to as the '*actual extension location*') e.g. when it is possible to aggregate several extensions in a group. In such a case, the group should be placed at the lowest suitable level in the message. <TBD: ref to separate example>
- In case placement at the default extension location affects earlier critical branches of the message, locating the extension at a following higher level in the message should be considered.
- In case an extension is not placed at the default extension location, an IE should be defined. The IE's ASN.1 definition should be placed in the same ASN.1 section as the default extension location. In case there are intermediate levels in-between the actual and the default extension location, an IE may be defined for each level. Intermediate levels are primarily introduced for readability and overview. Hence intermediate levels need not allways be introduced e.g. they may not be needed when the default and the actual extension location are within the same ASN.1 section. <TBD: ref to separate example>

### A.4.3.2 Further guidelines

Further to the general principles defined in the previous section, the following additional guidelines apply regarding the use of extension markers:

- Extension markers within SEQUENCE
  - Extension markers are primarily, but not exclusively, introduced at the higher nesting levels
  - Extension markers are introduced for a SEQUENCE comprising several fields as well as for information elements whose extension would result in complex structures without it (e.g. re-introducing another list)
  - Extension markers are introduced to make it possible to maintain important information structures e.g. parameters relevant for one particular RAT
  - Extension markers are also used for size critical messages (i.e. messages on BCCH, PCCH and CCCH), although introduced somewhat more carefully



```

        field2d-v12b0                INTEGER (0..63)
    },
    field3-r9                        InformationElement3-r10    OPTIONAL, -- Need OR
    field4-r9                        InformationElement4        OPTIONAL, -- Need OR
    field5-r10                       BOOLEAN,
    field6-r10                       InformationElement6-r10    OPTIONAL, -- Need OR
    ...,
    [[ field3-v1170                   InformationElement3-v1170  OPTIONAL  -- Need OR
    ]]
}
-- ASN1STOP

```

Some remarks regarding the extensions of *InformationElement1* as shown in the above example:

- The *InformationElement1* is initially extended with a number of non-critical extensions. In release 10 however, a critical extension is introduced for the message using this IE. Consequently, a new version of the IE *InformationElement1* (i.e. *InformationElement1-r10*) is defined in which the earlier non-critical extensions are incorporated by means of a revision of the original field.
- The *value4-v880* is replacing a spare value defined in the original protocol version for *field1*. Likewise *value6-v1170* replaces *spare3* that was originally defined in the r10 version of *field1*.
- Within the critically extended release 10 version of *InformationElement1*, the names of the original fields/ IEs are not changed, unless there is a real need to distinguish them from other fields/ IEs. E.g. the *field1* and *InformationElement4* were defined in the original protocol version (release 8) and hence not tagged. Moreover, the *field3-r9* is introduced in release 9 and not re-tagged; although, the *InformationElement3* is also critically extended and therefore tagged *InformationElement3-r10* in the release 10 version of *InformationElement1*.

#### A.4.3.4 Typical examples of non critical extension at the end of a message

The following example illustrates the use of non-critical extensions at the end of the message or at the end of a field that is contained in a BIT or OCTET STRING i.e. when an empty sequence is used.

```

-- /example/ ASN1START
RRCMessage-r8-IEs ::=          SEQUENCE {
    field1                    InformationElement1,
    field2                    InformationElement2,
    field3                    InformationElement3        OPTIONAL, -- Need ON
    nonCriticalExtension      RRCMessage-v860-IEs      OPTIONAL
}
RRCMessage-v860-IEs ::=       SEQUENCE {
    field4-v860               InformationElement4        OPTIONAL, -- Need OP
    field5-v860               BOOLEAN                  OPTIONAL, -- Cond C54
    nonCriticalExtension      RRCMessage-v940-IEs
}
RRCMessage-v940-IEs ::=       SEQUENCE {
    field6-v940               InformationElement6-r9     OPTIONAL, -- Need OR
    nonCriticalExtensions     SEQUENCE {}              OPTIONAL  -- Need OP
}
-- ASN1STOP

```

Some remarks regarding the extensions shown in the above example:

- The *InformationElement4* is introduced in the original version of the protocol (release 8) and hence no suffix is used.

#### A.4.3.5 Examples of non-critical extensions not placed at the default extension location

The following example illustrates the use of non-critical extensions in case an extension is not placed at the default extension location.

## – ParentIE-WithEM

The IE *ParentIE-WithEM* is an example of a high level IE including the extension marker (EM). The root encoding of this IE includes two lower level IEs *ChildIE1-WithoutEM* and *ChildIE2-WithoutEM* which not include the extension marker. Consequently, non-critical extensions of the Child-IEs have to be included at the level of the Parent-IE.

The example illustrates how the two extension IEs *ChildIE1-WithoutEM-vNx0* and *ChildIE2-WithoutEM-vNx0* (both in release N) are used to connect non-critical extensions with a default extension location in the lower level IEs to the actual extension location in this IE.

### ParentIE-WithEM information element

```
-- /example/ ASN1START
ParentIE-WithEM ::= SEQUENCE {
  -- Root encoding, including:
  childIE1-WithoutEM      ChildIE1-WithoutEM      OPTIONAL,      -- Need ON
  childIE2-WithoutEM      ChildIE2-WithoutEM      OPTIONAL,      -- Need ON
  ...,
  [ [ childIE1-WithoutEM-vNx0      ChildIE1-WithoutEM-vNx0      OPTIONAL,      -- Need ON
      childIE2-WithoutEM-vNx0      ChildIE2-WithoutEM-vNx0      OPTIONAL,      -- Need ON
    ] ]
}
-- ASN1STOP
```

Some remarks regarding the extensions shown in the above example:

- The fields *childIEx-WithoutEM-vNx0* may not really need to be optional (depends on what is defined at the next lower level).
- In general, especially when there are several nesting levels, fields should be marked as optional only when there is a clear reason.

## – ChildIE1-WithoutEM

The IE *ChildIE1-WithoutEM* is an example of a lower level IE, used to control certain radio configurations including a configurable feature which can be setup or released using the local IE *ChIE1-ConfigurableFeature*. The example illustrates how the new field *chIE1-NewField* is added in release N to the configuration of the configurable feature. The example is based on the following assumptions:

- when initially configuring as well as when modifying the new field, the original fields of the configurable feature have to be provided also i.e. as if the extended ones were present within the setup branch of this feature.
- when the configurable feature is released, the new field should be released also.
- when omitting the original fields of the configurable feature the UE continues using the existing values (which is used to optimise the signalling for features that typically continue unchanged upon handover).
- when omitting the new field of the configurable feature the UE releases the existing values and discontinues the associated functionality (which may be used to support release of unsupported functionality upon handover to an eNB supporting an earlier protocol version).

The above assumptions, which affect the use of conditions and need codes, may not always apply. Hence, the example should not be re-used blindly.

### ChildIE1-WithoutEM information elements

```
-- /example/ ASN1START
ChildIE1-WithoutEM ::= SEQUENCE {
  -- Root encoding, including:
  chIE1-ConfigurableFeature      ChIE1-ConfigurableFeature      OPTIONAL      -- Need ON
}
-- ASN1STOP
```

```

ChildIE1-WithoutEM-vNx0 ::= SEQUENCE {
  chIE1-ConfigurableFeature-vNx0 ChIE1-ConfigurableFeature-vNx0 OPTIONAL -- Cond ConfigF
}

ChIE1-ConfigurableFeature ::= CHOICE {
  release NULL,
  setup SEQUENCE {
    -- Root encoding
  }
}

ChIE1-ConfigurableFeature-vNx0 ::= SEQUENCE {
  chIE1-NewField-rN INTEGER (0..31)
}

-- ASN1STOP

```

Conditional presence	Explanation
<i>ConfigF</i>	The field is optional present, need OR, in case of <i>chIE1-ConfigurableFeature</i> is included and set to "setup"; otherwise the field is not present and the UE shall delete any existing value for this field.

## – *ChildIE2-WithoutEM*

The IE *ChildIE2-WithoutEM* is an example of a lower level IE, typically used to control certain radio configurations. The example illustrates how the new field *chIE1-NewField* is added in release N to the configuration of the configurable feature.

### ***ChildIE2-WithoutEM* information element**

```

-- /example/ ASN1START

ChildIE2-WithoutEM ::= CHOICE {
  release NULL,
  setup SEQUENCE {
    -- Root encoding
  }
}

ChildIE2-WithoutEM-vNx0 ::= SEQUENCE {
  chIE2-NewField-rN INTEGER (0..31) OPTIONAL -- Cond ConfigF
}

-- ASN1STOP

```

Conditional presence	Explanation
<i>ConfigF</i>	The field is optional present, need OR, in case of <i>chIE2-ConfigurableFeature</i> is included and set to "setup"; otherwise the field is not present and the UE shall delete any existing value for this field.

## A.5 Guidelines regarding inclusion of transaction identifiers in RRC messages

The following rules provide guidance on which messages should include a Transaction identifier

- 1: DL messages on CCCH that move UE to RRC-Idle should not include the RRC transaction identifier.
- 2: All network initiated DL messages by default should include the RRC transaction identifier.
- 3: All UL messages that are direct response to a DL message with an RRC Transaction identifier should include the RRC Transaction identifier.
- 4: All UL messages that require a direct DL response message should include an RRC transaction identifier.

- 5: All UL messages that are not in response to a DL message nor require a corresponding response from the network should not include the RRC Transaction identifier.

## A.6 Protection of RRC messages (informative)

The following list provides information which messages can be sent (unprotected) prior to security activation and which messages can be sent unprotected after security activation.

P...Messages that can be sent (unprotected) prior to security activation

A - I...Messages that can be sent without integrity protection after security activation

A - C...Messages that can be sent unciphered after security activation

NA... Message can never be sent after security activation

Message	P	A-I	A-C	Comment
CSFBParametersRequestCDMA2000	+	-	-	
CSFBParametersResponseCDMA2000	+	-	-	
CounterCheck	-	-	-	
CounterCheckResponse	-	-	-	
DLInformationTransfer	+	-	-	
HandoverFromEUTRANPreparationRequest (CDMA2000)	-	-	-	
InDeviceCoexIndication	-	-	-	
InterFreqRSTDMeasurementIndication	-	-	-	
LoggedMeasurementsConfiguration	-	-	-	
MasterInformationBlock	+	+	+	
MBMSCountingRequest	+	+	+	
MBMSCountingResponse	-	-	-	
MBMSInterestIndication	+	-	-	
MBSFNAreaConfiguration	+	+	+	
MeasurementReport	+	-	-	Justification for case "P": RAN2 agreed that measurement configuration may be sent prior to security activation
MobilityFromEUTRANCommand	-	-	-	
Paging	+	+	+	
ProximityIndication	-	-	-	
RNReconfiguration	-	-	-	
RNReconfigurationComplete	-	-	-	
RRCCConnectionReconfiguration	+	-	-	The message shall not be sent unprotected before security activation if it is used to perform handover or to establish SRB2 and DRBs
RRCCConnectionReconfigurationComplete	+	-	-	Unprotected, if sent as response to RRCCConnectionReconfiguration which was sent before security activation
RRCCConnectionReestablishment	-	+	+	This message is not protected by PDCP operation.
RRCCConnectionReestablishmentComplete	-	-	-	
RRCCConnectionReestablishmentReject	-	+	+	One reason to send this may be that the security context has been lost, therefore sent as unprotected.
RRCCConnectionReestablishmentRequest	-	-	+	This message is not protected by PDCP operation. However a short MAC-I is included.
RRCCConnectionReject	+	NA	NA	
RRCCConnectionRelease	+	-	-	Justification for P: If the RRC connection only for signalling not requiring DRBs or ciphered messages, or the signalling connection has to be released prematurely, this message is sent as unprotected.
RRCCConnectionRequest	+	NA	NA	
RRCCConnectionSetup	+	NA	NA	
RRCCConnectionSetupComplete	+	NA	NA	
SecurityModeCommand	+	NA	NA	Integrity protection applied, but no ciphering (integrity verification done after the message received by RRC)
SecurityModeComplete	-	NA	NA	Integrity protection applied, but no ciphering. Ciphering is applied after completing the procedure.
SecurityModeFailure	+	NA	NA	Neither integrity protection nor ciphering applied.
SystemInformation	+	+	+	
SystemInformationBlockType1	+	+	+	
UEAssistanceInformation	-	-	-	
UECapabilityEnquiry	+	-	-	
UECapabilityInformation	+	-	-	

Message	P	A-I	A-C	Comment
UEInformationRequest	-	-	-	
UEInformationResponse	-	-	-	
ULHandoverPreparationTransfer (CDMA2000)	-	-	-	This message should follow HandoverFromEUTRAPreparationRequest
ULInformationTransfer	+	-	-	

## A.7 Miscellaneous

The following miscellaneous conventions should be used:

- References: Whenever another specification is referenced, the specification number and optionally the relevant subclause, table or figure, should be indicated in addition to the pointer to the References section e.g. as follows: 'see TS 36.212 [22, 5.3.3.1.6]'.

## Annex B (normative): Release 8 and 9 AS feature handling

### B.1 Feature group indicators

This annex contains the definitions of the bits in fields *featureGroupIndicators* (in Table B.1-1) and *featureGroupIndRel9Add* (in Table B.1-1a).

In this release of the protocol, the UE shall include the fields *featureGroupIndicators* in the IE *UE-EUTRA-Capability* and *featureGroupIndRel9Add* in the IE *UE-EUTRA-Capability-v9a0*. All the functionalities defined within the field *featureGroupIndicators* defined in Table B.1-1 or Table B.1-1a are mandatory for the UE, if the related capability (frequency band, RAT, SR-VCC or Inter-RAT ANR) is also supported. For a specific indicator, if all functionalities for a feature group listed in Table B.1-1 have been implemented and tested, the UE shall set the indicator as one (1), else (i.e. if any one of the functionalities in a feature group listed in Table B.1-1 or Table B.1-1a, which have not been implemented or tested), the UE shall set the indicator as zero (0).

The UE shall set all indicators that correspond to RATs not supported by the UE as zero (0).

The UE shall set all indicators, which do not have a definition in Table B.1-1 or Table B.1-1a, as zero (0).

If the optional fields *featureGroupIndicators* or *featureGroupIndRel9Add* are not included by a UE of a future release, the network may assume that all features pertaining to the RATs supported by the UE, respectively listed in Table B.1-1 or Table B.1-1a and deployed in the network, have been implemented and tested by the UE.

In Table B.1-1, a 'VoLTE capable UE' corresponds to a UE which is IMS voice capable.

The indexing in Table B.1-1a starts from index 33, which is the leftmost bit in the field *featureGroupIndRel9Add*.

**Table B.1-1: Definitions of feature group indicators**

Index of indicator (bit number)	Definition (description of the supported functionality, if indicator set to one)	Notes	If indicated "Yes" the feature shall be implemented and successfully tested for this version of the specification	FDD/TDD diff
1 (leftmost bit)	<ul style="list-style-type: none"> <li>- Intra-subframe frequency hopping for PUSCH scheduled by UL grant</li> <li>- DCI format 3a (TPC commands for PUCCH and PUSCH with single bit power adjustments)</li> <li>- PDSCH transmission mode 5</li> <li>- Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-0 – UE selected subband CQI without PMI</li> <li>- Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-2 – UE selected subband CQI with multiple PMI</li> </ul>			Yes
2	<ul style="list-style-type: none"> <li>- Simultaneous CQI and ACK/NACK on PUCCH, i.e. PUCCH format 2a and 2b</li> <li>- Absolute TPC command for PUSCH</li> <li>- Resource allocation type 1 for PDSCH</li> <li>- Periodic CQI/PMI/RI reporting on PUCCH: Mode 2-0 – UE selected subband CQI without PMI</li> <li>- Periodic CQI/PMI/RI reporting on PUCCH: Mode 2-1 – UE selected subband CQI with single PMI</li> </ul>			Yes
3	<ul style="list-style-type: none"> <li>- 5bit RLC UM SN</li> <li>- 7bit PDCP SN</li> </ul>	<ul style="list-style-type: none"> <li>- can only be set to 1 if the UE has set bit number 7 to 1.</li> </ul>	Yes, if UE supports VoLTE	No
4	<ul style="list-style-type: none"> <li>- Short DRX cycle</li> </ul>	<ul style="list-style-type: none"> <li>- can only be set to 1 if</li> </ul>		Yes

		the UE has set bit number 5 to 1.		
--	--	-----------------------------------	--	--

5	- Long DRX cycle - DRX command MAC control element		Yes	No
6	- Prioritised bit rate		Yes	No
7	- RLC UM	- can only be set to 0 if the UE does not support VoLTE	Yes, if UE supports VoLTE	No
8	- EUTRA RRC_CONNECTED to UTRA FDD or UTRA TDD CELL_DCH PS handover, if the UE supports either only UTRAN FDD or only UTRAN TDD  - EUTRA RRC_CONNECTED to UTRA FDD CELL_DCH PS handover, if the UE supports both UTRAN FDD and UTRAN TDD	- can only be set to 1 if the UE has set bit number 22 to 1	Yes for FDD, if UE supports UTRA FDD	Yes
9	- EUTRA RRC_CONNECTED to GERAN GSM_Dedicated handover	- related to SR-VCC - can only be set to 1 if the UE has set bit number 23 to 1		Yes
10	- EUTRA RRC_CONNECTED to GERAN (Packet_) Idle by Cell Change Order - EUTRA RRC_CONNECTED to GERAN (Packet_) Idle by Cell Change Order with NACC (Network Assisted Cell Change)			Yes
11	- EUTRA RRC_CONNECTED to CDMA2000 1xRTT CS Active handover	- related to SR-VCC - can only be set to 1 if the UE has set bit number 24 to 1		Yes
12	- EUTRA RRC_CONNECTED to CDMA2000 HRPD Active handover	- can only be set to 1 if the UE has set bit number 26 to 1		Yes
13	- Inter-frequency handover (within FDD or TDD)	- can only be set to 1 if the UE has set bit number 25 to 1	Yes, unless UE only supports band 13	No
14	- Measurement reporting event: Event A4 - Neighbour > threshold - Measurement reporting event: Event A5 - Serving < threshold1 & Neighbour > threshold2			No
15	- Measurement reporting event: Event B1 - Neighbour > threshold for UTRAN FDD or UTRAN TDD, if the UE supports either only UTRAN FDD or only UTRAN TDD and has set bit number 22 to 1  - Measurement reporting event: Event B1 - Neighbour > threshold for UTRAN FDD or UTRAN TDD, if the UE supports both UTRAN FDD and UTRAN TDD and has set bit number 22 or 39 to 1, respectively  - Measurement reporting event: Event B1 - Neighbour > threshold for GERAN, 1xRTT or HRPD, if the UE has set bit number 23, 24 or 26 to 1, respectively	- can only be set to 1 if the UE has set at least one of the bit number 22, 23, 24, 26 or 39 to 1.		Yes
16	- Intra-frequency periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCells</i>  - Inter-frequency periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCells</i> , if the UE has set bit number 25 to 1  - Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to		Yes	No

	<p><i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCells</i> for UTRAN FDD or UTRAN TDD, if the UE supports either only UTRAN FDD or only UTRAN TDD and has set bit number 22 to 1</p> <p>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCells</i> for UTRAN FDD or UTRAN TDD, if the UE supports both UTRAN FDD and UTRAN TDD and has set bit number 22 or 39 to 1, respectively</p> <p>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCells</i> for GERAN, 1xRTT or HRPD, if the UE has set bit number 23, 24 or 26 to 1, respectively.</p> <p>NOTE: Event triggered periodical reporting (i.e., with <i>triggerType</i> set to <i>event</i> and with <i>reportAmount</i> &gt; 1) is a mandatory functionality of event triggered reporting and therefore not the subject of this bit.</p>			
17	<p>Intra-frequency ANR features including:</p> <p>- Intra-frequency periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCells</i></p> <p>- Intra-frequency periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportCGI</i></p>	- can only be set to 1 if the UE has set bit number 5 to 1.	Yes	No
18	<p>Inter-frequency ANR features including:</p> <p>- Inter-frequency periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCells</i></p> <p>- Inter-frequency periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportCGI</i></p>	- can only be set to 1 if the UE has set bit number 5 and bit number 25 to 1.	Yes, unless UE only supports band 13	No
19	<p>Inter-RAT ANR features including:</p> <p>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCells</i> for GERAN, if the UE has set bit number 23 to 1</p> <p>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCellsForSON</i> for UTRAN FDD or UTRAN TDD, if the UE supports either only UTRAN FDD or only UTRAN TDD and has set bit number 22 to 1</p> <p>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCellsForSON</i> for UTRAN FDD or UTRAN TDD, if the UE supports both UTRAN FDD and UTRAN TDD and has set bit number 22 or 39 to 1, respectively</p> <p>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to</p>	- can only be set to 1 if the UE has set bit number 5 to 1 and the UE has set at least one of the bit number 22, 23, 24 or 26 to 1. - even if the UE sets bits 33 to 37, it shall still set bit 19 to 1 if inter-RAT ANR features are tested for all RATs for which inter-RAT measurement reporting is indicated as tested		Yes

	<p><i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCellsForSON</i> for 1xRTT or HRPD, if the UE has set bit number 24 or 26 to 1, respectively</p> <ul style="list-style-type: none"> <li>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportCGI</i> for UTRAN FDD or UTRAN TDD, if the UE supports either only UTRAN FDD or only UTRAN TDD and has set bit number 22 to 1</li> <li>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportCGI</i> for UTRAN FDD or UTRAN TDD, if the UE supports both UTRAN FDD and UTRAN TDD and has set bit number 22 or 39 to 1, respectively</li> <li>- Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportCGI</i> for GERAN, 1xRTT or HRPD, if the UE has set bit number 23, 24 or 26 to 1, respectively</li> </ul>			
20	<p>If bit number 7 is set to 0:</p> <ul style="list-style-type: none"> <li>- SRB1 and SRB2 for DCCH + 8x AM DRB</li> </ul> <p>If bit number 7 is set to 1:</p> <ul style="list-style-type: none"> <li>- SRB1 and SRB2 for DCCH + 8x AM DRB</li> <li>- SRB1 and SRB2 for DCCH + 5x AM DRB + 3x UM DRB</li> </ul> <p>NOTE: UE which indicate support for a DRB combination also support all subsets of the DRB combination. Therefore, release of DRB(s) never results in an unsupported DRB combination.</p>	<ul style="list-style-type: none"> <li>- Regardless of what bit number 7 and bit number 20 is set to, UE shall support at least SRB1 and SRB2 for DCCH + 4x AM DRB</li> <li>- Regardless of what bit number 20 is set to, if bit number 7 is set to 1, UE shall support at least SRB1 and SRB2 for DCCH + 4x AM DRB + 1x UM DRB</li> </ul>	Yes	No
21	<ul style="list-style-type: none"> <li>- Predefined intra- and inter-subframe frequency hopping for PUSCH with <math>N_{sb} &gt; 1</math></li> <li>- Predefined inter-subframe frequency hopping for PUSCH with <math>N_{sb} &gt; 1</math></li> </ul>			No
22	<ul style="list-style-type: none"> <li>- UTRAN FDD or UTRAN TDD measurements, reporting and measurement reporting event B2 in E-UTRA connected mode, if the UE supports either only UTRAN FDD or only UTRAN TDD</li> <li>- UTRAN FDD measurements, reporting and measurement reporting event B2 in E-UTRA connected mode, if the UE supports both UTRAN FDD and UTRAN TDD</li> </ul>		Yes for FDD, if UE supports UTRA FDD	Yes
23	<ul style="list-style-type: none"> <li>- GERAN measurements, reporting and measurement reporting event B2 in E-UTRA connected mode</li> </ul>			Yes
24	<ul style="list-style-type: none"> <li>- 1xRTT measurements, reporting and measurement reporting event B2 in E-UTRA connected mode</li> </ul>		Yes for FDD, if UE supports enhanced 1xRTT CSFB for FDD Yes for TDD, if UE supports enhanced 1xRTT CSFB for TDD	Yes
25	<ul style="list-style-type: none"> <li>- Inter-frequency measurements and</li> </ul>		Yes, unless UE only	No

	reporting in E-UTRA connected mode  NOTE: The UE setting this bit to 1 and indicating support for FDD and TDD frequency bands in the UE capability signalling implements and is tested for FDD measurements while the UE is in TDD, and for TDD measurements while the UE is in FDD.		supports band 13	
26	- HRPD measurements, reporting and measurement reporting event B2 in E-UTRA connected mode		Yes for FDD, if UE supports HRPD	Yes
27	- EUTRA RRC_CONNECTED to UTRA FDD or UTRA TDD CELL_DCH CS handover, if the UE supports either only UTRAN FDD or only UTRAN TDD  - EUTRA RRC_CONNECTED to UTRA FDD CELL_DCH CS handover, if the UE supports both UTRAN FDD and UTRAN TDD	- related to SR-VCC - can only be set to 1 if the UE has set bit number 8 to 1		Yes
28	- TTI bundling			Yes
29	- Semi-Persistent Scheduling			Yes
30	- Handover between FDD and TDD	- can only be set to 1 if the UE has set bit number 13 to 1		No
31	Undefined			
32	Undefined			

NOTE: The column FDD/ TDD diff indicates if the UE is allowed to signal different values for FDD and TDD.

Table B.1-1a: Definitions of feature group indicators

Index of indicator (bit number)	Definition (description of the supported functionality, if indicator set to one)	Notes	If indicated "Yes" the feature shall be implemented and successfully tested for this version of the specification	FDD/ TDD diff
33 (leftmost bit)	Inter-RAT ANR features for UTRAN FDD including: - Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCellsForSON</i> - Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportCGI</i>	- can only be set to 1 if the UE has set bit number 5 and bit number 22 to 1.		Yes
34	Inter-RAT ANR features for GERAN including: - Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCells</i> - Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportCGI</i>	- can only be set to 1 if the UE has set bit number 5 and bit number 23 to 1.		Yes
35	Inter-RAT ANR features for 1xRTT including: - Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCellsForSON</i> - Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportCGI</i>	- can only be set to 1 if the UE has set bit number 5 and bit number 24 to 1.		Yes
36	Inter-RAT ANR features for HRPD including: - Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCellsForSON</i> - Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportCGI</i>	- can only be set to 1 if the UE has set bit number 5 and bit number 26 to 1.		Yes
37	Inter-RAT ANR features for UTRAN TDD including: - Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportStrongestCellsForSON</i> - Inter-RAT periodical measurement reporting where <i>triggerType</i> is set to <i>periodical</i> and <i>purpose</i> is set to <i>reportCGI</i>	- can only be set to 1 if the UE has set bit number 5 and bit number 39 to 1.		Yes
38	- EUTRA RRC_CONNECTED to UTRA TDD CELL_DCH PS handover, if the UE supports both UTRAN FDD and UTRAN TDD	- can only be set to 1 if the UE has set bit number 39 to 1		Yes
39	- UTRAN TDD measurements, reporting and measurement reporting event B2 in E-UTRA connected mode, if the UE supports both UTRAN FDD and UTRAN TDD			Yes
40	- EUTRA RRC_CONNECTED to UTRA	- related to SR-VCC		Yes

	TDD CELL_DCH CS handover, if the UE supports both UTRAN FDD and UTRAN TDD	- can only be set to 1 if the UE has set bit number 38 to 1		
41	Undefined			
42	Undefined			
43	Undefined			
44	Undefined			
45	Undefined			
46	Undefined			
47	Undefined			
48	Undefined			
49	Undefined			
50	Undefined			
51	Undefined			
52	Undefined			
53	Undefined			
54	Undefined			
55	Undefined			
56	Undefined			
57	Undefined			
58	Undefined			
59	Undefined			
60	Undefined			
61	Undefined			
62	Undefined			
63	Undefined			
64	Undefined			

NOTE: The column FDD/ TDD diff indicates if the UE is allowed to signal different values for FDD and TDD.

#### Clarification for mobility from EUTRAN and inter-frequency handover within EUTRAN

There are several feature groups related to mobility from E-UTRAN and inter-frequency handover within EUTRAN. The description of these features is based on the assumption that we have 5 main "functions" related to mobility from E-UTRAN:

- A. Support of measurements and cell reselection procedure in idle mode
- B. Support of RRC release with redirection procedure in connected mode
- C. Support of Network Assisted Cell Change in connected mode
- D. Support of measurements and reporting in connected mode
- E. Support of handover procedure in connected mode

All functions can be applied for mobility to Inter-frequency to EUTRAN, GERAN, UTRAN, CDMA2000 HRPD and CDMA2000 1xRTT except for function C) which is only applicable for mobility to GERAN. Table B.1-2 below summarises the mobility functions that are supported based on the UE capability signaling (band support) and the setting of the feature group support indicators.

**Table B.1-2: Mobility from E-UTRAN**

Feature	GERAN	UTRAN	HRPD	1xRTT	EUTRAN
A. Measurements and cell reselection procedure in E-UTRA idle mode	Supported if GERAN band support is indicated	Supported if UTRAN band support is indicated	Supported if CDMA2000 HRPD band support is indicated	Supported if CDMA2000 1xRTT band support is indicated	Supported for supported bands
B. RRC release with blind redirection procedure in E-UTRA connected mode	Supported if GERAN band support is indicated	Supported if UTRAN band support is indicated	Supported if CDMA2000 HRPD band support is indicated	Supported if CDMA2000 1xRTT band support is indicated	Supported for supported bands
C. Cell Change Order (with or without Network Assisted Cell Change) in E-UTRA connected mode	Group 10	N.A.	N.A	N.A	N.A.
D. Inter-frequency/RAT measurements, reporting and measurement reporting event B2 (for inter-RAT) in E-UTRA connected mode	Group 23	Group 22	Group 26	Group 24	Group 25
E. Inter-frequency/RAT handover procedure in E-UTRA connected mode	Group 9 (GSM_connected handover) Separate UE capability bit defined in TS 36.306 for PS handover	Group 8 (PS handover) or Group 27 (SRVCC handover)	Group 12	Group 11	Group 13 (within FDD or TDD) Group 30 (between FDD and TDD)

In case measurements and reporting function is not supported by UE, the network may still issue the mobility procedures redirection (B) and CCO (C) in a blind fashion.

## B.2 CSG support

In this release of the protocol, it is mandatory for the UE to support a minimum set of CSG functionality consisting of:

- Identifying whether a cell is CSG or not;
- Ignoring CSG cells in cell selection/reselection.

Additional CSG functionality in AS, i.e. the requirement to detect and camp on CSG cells when the "CSG whitelist" is available or when manual CSG selection is triggered by the user, are related to the corresponding NAS features. This additional AS functionality consists of:

- Manual CSG selection;
- Autonomous CSG search;
- Implicit priority handling for cell reselection with CSG cells.

It is possible that this additional CSG functionality in AS is not supported or tested in early UE implementations.

Note that since the above AS features relate to idle mode operations, the capability support is not signalled to the network. For these reasons, no "feature group indicator" is assigned to this feature to indicate early support in Rel-8.

## Annex C (normative): Release 10 AS feature handling

### C.1 Feature group indicators

This annex contains the definitions of the bits in field *featureGroupIndRel10*.

In this release of the protocol, the UE shall include the field *featureGroupIndRel10* in the IE *UE-EUTRA-Capability-v1020-IEs*. All the functionalities defined within the field *featureGroupIndRel10* defined in Table C.1-1 are mandatory for the UE, if the related capability (spatial multiplexing in UL, PDSCH transmission mode 9, carrier aggregation, handover to EUTRA, or RAT) is also supported. For a specific indicator, if all functionalities for a feature group listed in Table C.1-1 have been implemented and tested, the UE shall set the indicator as one (1), else (i.e. if any one of the functionalities in a feature group listed in Table C.1-1 have not been implemented or tested), the UE shall set the indicator as zero (0).

The UE shall set all indicators that correspond to RATs not supported by the UE as zero (0).

The UE shall set all indicators, which do not have a definition in Table C.1-1, as zero (0).

If the optional field *featureGroupIndRel10* is not included by a UE of a future release, the network may assume that all features, listed in Table C.1-1 and deployed in the network, have been implemented and tested by the UE.

The indexing in Table C.1-1 starts from index 101, which is the leftmost bit in the field *featureGroupIndRel10*.

**Table C.1-1: Definitions of feature group indicators**

Index of indicator	Definition (description of the supported functionality, if indicator set to one)	Notes	If indicated "Yes" the feature shall be implemented and successfully tested for this version of the specification	FDD/TDD diff
101 (leftmost bit)	- DMRS with OCC (orthogonal cover code) and SGH (sequence group hopping) disabling	- if the UE supports two or more layers for spatial multiplexing in UL, this bit shall be set to 1.		No
102	- Trigger type 1 SRS (aperiodic SRS) transmission (Up to X ports)  NOTE: X = number of supported layers on given band			TBD
103	- PDSCH transmission mode 9 when up to 4 CSI reference signal ports are configured	- for Category 8 UEs, this bit shall be set to 1.		TBD
104	- PDSCH transmission mode 9 for TDD when 8 CSI reference signal ports are configured	- if the UE does not support TDD, this bit is irrelevant (capability signalling exists for FDD for this feature), and this bit shall be set to 0. - for Category 8 UEs, this bit shall be set to 1.		No
105	- Periodic CQI/PMI/RI reporting on PUCCH: Mode 2-0 – UE selected subband CQI without PMI, when PDSCH transmission mode 9 is configured - Periodic CQI/PMI/RI reporting on PUCCH: Mode 2-1 – UE selected subband CQI with single PMI, when PDSCH transmission mode 9 and up to 4 CSI reference signal ports are configured	- this bit can be set to 1 only if indices 2 (Table B.1-1) and 103 are set to 1.		TBD

106	- Periodic CQI/PMI/RI/PTI reporting on PUCCH: Mode 2-1 – UE selected subband CQI with single PMI, when PDSCH transmission mode 9 and 8 CSI reference signal ports are configured	- this bit can be set to 1 only if the UE supports PDSCH transmission mode 9 with 8 CSI reference signal ports (i.e., for TDD, if index 104 is set to 1, and for FDD, if <i>tm9-With-8Tx-FDD-r10</i> is set to 'supported') and if index 2 (Table B.1-1) is set to 1.		TBD
107	- Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-0 – UE selected subband CQI without PMI, when PDSCH transmission mode 9 is configured - Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-2 – UE selected subband CQI with multiple PMI, when PDSCH transmission mode 9 and up to 4 CSI reference signal ports are configured	- this bit can be set to 1 only if indices 1 (Table B.1-1) and 103 are set to 1.		TBD
108	- Aperiodic CQI/PMI/RI reporting on PUSCH: Mode 2-2 – UE selected subband CQI with multiple PMI, when PDSCH transmission mode 9 and 8 CSI reference signal ports are configured	- this bit can be set to 1 only if the UE supports PDSCH transmission mode 9 with 8 CSI reference signal ports (i.e., for TDD, if index 104 is set to 1, and for FDD, if <i>tm9-With-8Tx-FDD-r10</i> is set to 'supported') and if index 1 (Table B.1-1) is set to 1.		TBD
109	- Periodic CQI/PMI/RI reporting on PUCCH Mode 1-1, submode 1	- this bit can be set to 1 only if the UE supports PDSCH transmission mode 9 with 8 CSI reference signal ports (i.e., for TDD, if index 104 is set to 1, and for FDD, if <i>tm9-With-8Tx-FDD-r10</i> is set to 'supported').		TBD
110	- Periodic CQI/PMI/RI reporting on PUCCH Mode 1-1, submode 2	- this bit can be set to 1 only if the UE supports PDSCH transmission mode 9 with 8 CSI reference signal ports (i.e., for TDD, if index 104 is set to 1, and for FDD, if <i>tm9-With-8Tx-FDD-r10</i> is set to 'supported').		TBD
111	- Measurement reporting trigger Event A6	- this bit can be set to 1 only if the UE supports carrier aggregation.		TBD
112	- SCell addition within the Handover to EUTRA procedure	- this bit can be set to 1 only if the UE supports carrier aggregation and the Handover to EUTRA procedure.		TBD
113	- Trigger type 0 SRS (periodic SRS) transmission on X Serving Cells  NOTE: X = number of supported component carriers in a given band combination	- this bit can be set to 1 only if the UE supports carrier aggregation in UL.		TBD
114	- Reporting of both UTRA CPICH RSCP and Ec/N0 in a Measurement Report	- this bit can be set to 1 only if index 22 (Table B.1-1) is set to 1.		No
115	- time domain ICIC RLM/RRM measurement subframe restriction for the serving cell - time domain ICIC RRM measurement subframe restriction for neighbour cells - time domain ICIC CSI measurement			TBD

	subframe restriction			
116	- Relative transmit phase continuity for spatial multiplexing in UL	- this bit can be set to 1 only if the UE supports two or more layers for spatial multiplexing in UL.		TBD
117	Undefined			
118	Undefined			
119	Undefined			
120	Undefined			
121	Undefined			
122	Undefined			
123	Undefined			
124	Undefined			
125	Undefined			
126	Undefined			
127	Undefined			
128	Undefined			
129	Undefined			
130	Undefined			
131	Undefined			
132	Undefined			

NOTE: The column FDD/ TDD diff indicates if the UE is allowed to signal different values for FDD and TDD.

## Annex D (informative): Change history

Change history							
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New
12/2007	RP-38	RP-070920	-		Approved at TSG-RAN #38 and placed under Change Control	1.0.0	8.0.0
03/2008	RP-39	RP-080163	0001	4	CR to 36.331 with Miscellaneous corrections	8.0.0	8.1.0
03/2008	RP-39	RP-080164	0002	2	CR to 36.331 to convert RRC to agreed ASN.1 format	8.0.0	8.1.0
05/2008	RP-40	RP-080361	0003	1	CR to 36.331 on Miscellaneous clarifications/ corrections	8.1.0	8.2.0
09/2008	RP-41	RP-080693	0005	-	CR on Miscellaneous corrections and clarifications	8.2.0	8.3.0
12/2008	RP-42	RP-081021	0006	-	Miscellaneous corrections and clarifications	8.3.0	8.4.0
03/2009	RP-43	RP-090131	0007	-	Correction to the Counter Check procedure	8.4.0	8.5.0
	RP-43	RP-090131	0008	-	CR to 36.331-UE Actions on Receiving SIB11	8.4.0	8.5.0
	RP-43	RP-090131	0009	1	Spare usage on BCCH	8.4.0	8.5.0
	RP-43	RP-090131	0010	-	Issues in handling optional IE upon absence in GERAN NCL	8.4.0	8.5.0
	RP-43	RP-090131	0011	-	CR to 36.331 on Removal of useless RLC re-establishment at RB release	8.4.0	8.5.0
	RP-43	RP-090131	0012	1	Clarification to RRC level padding at PCCH and BCCH	8.4.0	8.5.0
	RP-43	RP-090131	0013	-	Removal of Inter-RAT message	8.4.0	8.5.0
	RP-43	RP-090131	0014	-	Padding of the SRB-ID for security input	8.4.0	8.5.0
	RP-43	RP-090131	0015	-	Validity of ETWS SIB	8.4.0	8.5.0
	RP-43	RP-090131	0016	1	Configuration of the Two-Intervals-SPS	8.4.0	8.5.0
	RP-43	RP-090131	0017	-	Corrections on Scaling Factor Values of Qhyst	8.4.0	8.5.0
	RP-43	RP-090131	0018	1	Optionality of srsMaxUppts	8.4.0	8.5.0
	RP-43	RP-090131	0019	-	CR for discussion on field name for common and dedicated IE	8.4.0	8.5.0
	RP-43	RP-090131	0020	-	Corrections to Connected mode mobility	8.4.0	8.5.0
	RP-43	RP-090131	0021	-	Clarification regarding the measurement reporting procedure	8.4.0	8.5.0
	RP-43	RP-090131	0022	1	Corrections on s-Measure	8.4.0	8.5.0
	RP-43	RP-090131	0023	1	R1 of CR0023 (R2-091029) on combination of SPS and TTI bundling for TDD	8.4.0	8.5.0
	RP-43	RP-090131	0024	-	L3 filtering for path loss measurements	8.4.0	8.5.0
	RP-43	RP-090131	0025	1	S-measure handling for reportCGI	8.4.0	8.5.0
	RP-43	RP-090131	0026	1	Measurement configuration clean up	8.4.0	8.5.0
	RP-43	RP-090131	0027	-	Alignment of measurement quantities for UTRA	8.4.0	8.5.0
	RP-43	RP-090131	0028	-	CR to 36.331 on L1 parameters ranges alignment	8.4.0	8.5.0
	RP-43	RP-090131	0029	-	Default configuration for transmissionMode	8.4.0	8.5.0
	RP-43	RP-090131	0030	-	CR to 36.331 on RRC Parameters for MAC, RLC and PDCP	8.4.0	8.5.0
	RP-43	RP-090131	0031	1	CR to 36.331 - Clarification on Configured PRACH Freq Offset	8.4.0	8.5.0
	RP-43	RP-090131	0032	-	Clarification on TTI bundling configuration	8.4.0	8.5.0
	RP-43	RP-090131	0033	1	Update of R2-091039 on Inter-RAT UE Capability	8.4.0	8.5.0
	RP-43	RP-090133	0034	-	Feature Group Support Indicators	8.4.0	8.5.0
	RP-43	RP-090131	0036	-	Corrections to RLF detection	8.4.0	8.5.0
	RP-43	RP-090131	0037	-	Indication of Dedicated Priority	8.4.0	8.5.0
	RP-43	RP-090131	0038	2	Security Clean up	8.4.0	8.5.0
	RP-43	RP-090131	0039	-	Correction of TTT value range	8.4.0	8.5.0
	RP-43	RP-090131	0040	-	Correction on CDMA measurement result IE	8.4.0	8.5.0
	RP-43	RP-090131	0041	1	Clarification of Measurement Reporting	8.4.0	8.5.0
	RP-43	RP-090131	0042	-	Spare values in DL and UL Bandwidth in MIB and SIB2	8.4.0	8.5.0
	RP-43	RP-090131	0044	1	Clarifications to System Information Block Type 8	8.4.0	8.5.0
	RP-43	RP-090131	0045	-	Reception of ETWS secondary notification	8.4.0	8.5.0
	RP-43	RP-090131	0046	1	Validity time for ETWS message Id and Sequence No	8.4.0	8.5.0
	RP-43	RP-090131	0047	-	CR for Timers and constants values used during handover to E-UTRA	8.4.0	8.5.0
	RP-43	RP-090131	0048	-	Inter-RAT Security Clarification	8.4.0	8.5.0
	RP-43	RP-090131	0049	-	CR to 36.331 on consistent naming of 1xRTT identifiers	8.4.0	8.5.0
	RP-43	RP-090131	0050	-	Capturing RRC behavior regarding NAS local release	8.4.0	8.5.0
	RP-43	RP-090131	0051	-	Report CGI before T321 expiry and UE null reporting	8.4.0	8.5.0
	RP-43	RP-090131	0052	-	System Information and 3 hour validity	8.4.0	8.5.0
	RP-43	RP-090131	0053	1	Inter-Node AS Signalling	8.4.0	8.5.0
	RP-43	RP-090131	0054	-	Set of values for the parameter "messagePowerOffsetGroupB"	8.4.0	8.5.0
	RP-43	RP-090131	0055	-	CR to paging reception for ETWS capable UEs in RRC_CONNECTED	8.4.0	8.5.0
	RP-43	RP-090131	0056	1	CR for CSG related items in 36.331	8.4.0	8.5.0
	RP-43	RP-090131	0057	1	SRS common configuration	8.4.0	8.5.0
	RP-43	RP-090131	0058	-	RRC processing delay	8.4.0	8.5.0
	RP-43	RP-090131	0059	-	CR for HNB Name	8.4.0	8.5.0
	RP-43	RP-090131	0060	3	Handover to EUTRA delta configuration	8.4.0	8.5.0
	RP-43	RP-090131	0063	-	Delivery of Message Identifier and Serial Number to upper layers for ETWS	8.4.0	8.5.0
	RP-43	RP-090131	0066	-	Clarification on the maximum size of cell lists	8.4.0	8.5.0
	RP-43	RP-090131	0067	-	Missing RRC messages in 'Protection of RRC messages'	8.4.0	8.5.0
	RP-43	RP-090131	0069	1	Clarification on NAS Security Container	8.4.0	8.5.0
	RP-43	RP-090131	0071	-	Extension of range of CQI/PMI configuration index	8.4.0	8.5.0
	RP-43	RP-090131	0072	1	Access barring alleviation in RRC connection establishment	8.4.0	8.5.0
	RP-43	RP-090367	0077	6	Corrections to feature group support indicators	8.4.0	8.5.0
	RP-43	RP-090131	0078	-	CR from email discussion to capture DRX and TTT handling	8.4.0	8.5.0

	RP-43	RP-090131	0079	1	Need Code handling on BCCH messages	8.4.0	8.5.0
	RP-43	RP-090131	0080	-	Unification of T300 and T301 and removal of miscellaneous FFSs	8.4.0	8.5.0
	RP-43	RP-090131	0084	1	Proposed CR modifying the code-point definitions of neighbourCellConfiguration	8.4.0	8.5.0
	RP-43	RP-090131	0087	2	Remove Redundant Optionality in SIB8	8.4.0	8.5.0
	RP-43	RP-090131	0089	-	Corrections to the generic error handling	8.4.0	8.5.0
	RP-43	RP-090131	0090	-	Configurability of T301	8.4.0	8.5.0
	RP-43	RP-090131	0091	1	Correction related to TTT	8.4.0	8.5.0
	RP-43	RP-090131	0095	-	CR for 36.331 on SPS-config	8.4.0	8.5.0
	RP-43	RP-090131	0096	2	CR for Deactivation of periodical measurement	8.4.0	8.5.0
	RP-43	RP-090131	0099	2	SMC and reconfiguration	8.4.0	8.5.0
	RP-43	RP-090131	0101	-	TDD handover	8.4.0	8.5.0
	RP-43	RP-090131	0102	-	Corrections to system information acquisition	8.4.0	8.5.0
	RP-43	RP-090131	0106	-	Some Corrections and Clarifications to 36.331	8.4.0	8.5.0
	RP-43	RP-090131	0109	-	Clarification on the Maximum number of ROHC context sessions parameter	8.4.0	8.5.0
	RP-43	RP-090131	0110	-	Transmission of rrm-Config at Inter-RAT Handover	8.4.0	8.5.0
	RP-43	RP-090131	0111	1	Use of SameRefSignalsInNeighbor parameter	8.4.0	8.5.0
	RP-43	RP-090131	0112	-	Default serving cell offset for measurement event A3	8.4.0	8.5.0
	RP-43	RP-090131	0114	-	dl-EARFCN missing in HandoverPreparationInformation	8.4.0	8.5.0
	RP-43	RP-090131	0115	-	Cleanup of references to 36.101	8.4.0	8.5.0
	RP-43	RP-090131	0117	-	Correction to the value range of UE-Categories	8.4.0	8.5.0
	RP-43	RP-090131	0122	1	Correction on RRC connection re-establishment	8.4.0	8.5.0
	RP-43	RP-090131	0124	-	Performing Measurements to report CGI for CDMA2000	8.4.0	8.5.0
	RP-43	RP-090131	0125	-	CDMA2000-SystemTimeInfo in VarMeasurementConfiguration	8.4.0	8.5.0
	RP-43	RP-090131	0126	-	UE Capability Information for CDMA2000 1xRTT	8.4.0	8.5.0
	RP-43	RP-090131	0127	-	CDMA2000 related editorial changes	8.4.0	8.5.0
	RP-43	RP-090131	0128	-	Draft CR to 36.331 on State mismatch recovery at re-establishment	8.4.0	8.5.0
	RP-43	RP-090131	0129	1	Draft CR to 36.331 on Renaming of AC barring related IEs	8.4.0	8.5.0
	RP-43	RP-090131	0130	2	Draft CR to 36.331 on Inheriting of dedicated priorities at inter-RAT reselection	8.4.0	8.5.0
	RP-43	RP-090131	0135	-	Proposed CR to 36.331 Description alignment for paging parameter, nB	8.4.0	8.5.0
	RP-43	RP-090131	0139	2	Miscellaneous corrections and clarifications resulting from ASN.1 review	8.4.0	8.5.0
	RP-43	RP-090131	0141	1	Correction regarding Redirection Information fo GERAN	8.4.0	8.5.0
	RP-43	RP-090131	0142	-	Further ASN.1 review related issues	8.4.0	8.5.0
	RP-43	RP-090131	0143	-	Periodic measurements	8.4.0	8.5.0
	RP-43	RP-090131	0144	1	Further analysis on code point "OFF" for ri-ConfigIndex	8.4.0	8.5.0
	RP-43	RP-090131	0145	1	Adding and deleting same measurement or configuration in one message	8.4.0	8.5.0
	RP-43	RP-090131	0147	-	Corrections to IE dataCodingScheme in SIB11	8.4.0	8.5.0
	RP-43	RP-090131	0148	-	Clarification on Mobility from E-UTRA	8.4.0	8.5.0
	RP-43	RP-090131	0149	-	36.331 CR related to "not applicable"	8.4.0	8.5.0
	RP-43	RP-090131	0150	1	UE radio capability transfer	8.4.0	8.5.0
	RP-43	RP-090131	0151	-	CR to 36.331 on value of CDMA band classes	8.4.0	8.5.0
	RP-43	RP-090131	0152	-	Corrections to DRB modification	8.4.0	8.5.0
	RP-43	RP-090131	0153	-	Correction to presence condition for pdcp-config	8.4.0	8.5.0
	RP-43	RP-090131	0155	-	TDD HARQ-ACK feedback mode	8.4.0	8.5.0
	RP-43	RP-090275	0157	-	Corrections regarding use of carrierFreq for CDMA (SIB8) and GERAN (measObject)	8.4.0	8.5.0
	RP-43	RP-090321	0156	1	Sending of GERAN SI/PSI information at Inter-RAT Handover	8.4.0	8.5.0
	RP-43	RP-090339	0158	-	Clarification of CSG support	8.4.0	8.5.0
06/2009	RP-44	RP-090516	0159	-	Octet alignment of VarShortMAC-Input	8.5.0	8.6.0
	RP-44	RP-090516	0160	3	Minor corrections to the feature grouping	8.5.0	8.6.0
	RP-44	RP-090516	0161	-	Security clarification	8.5.0	8.6.0
	RP-44	RP-090516	0162	1	Sending of GERAN SI/PSI information at Inter-RAT Handover	8.5.0	8.6.0
	RP-44	RP-090516	0163	1	Correction of UE measurement model	8.5.0	8.6.0
	RP-44	RP-090516	0164	-	Restricting the reconfiguration of UM RLC SN field size	8.5.0	8.6.0
	RP-44	RP-090516	0165	1	36.331 CR on Clarification on cell change order from GERAN to E-UTRAN	8.5.0	8.6.0
	RP-44	RP-090516	0166	-	36.331 CR - Handling of expired TAT and failed D-SR	8.5.0	8.6.0
	RP-44	RP-090516	0167	1	Proposed CR to 36.331 Clarification on mandatory information in AS-Config	8.5.0	8.6.0
	RP-44	RP-090516	0168	2	Miscellaneous small corrections	8.5.0	8.6.0
	RP-44	RP-090516	0173	-	Clarification on the basis of delta signalling	8.5.0	8.6.0
	RP-44	RP-090516	0177	-	CR on Alignment of CCCH and DCCH handling of missing mandatory field	8.5.0	8.6.0
	RP-44	RP-090516	0180	2	Handling of Measurement Context During HO Preparation	8.5.0	8.6.0
	RP-44	RP-090516	0181	-	Clarification of key-eNodeB-Star in AdditionalReestabInfo	8.5.0	8.6.0
	RP-44	RP-090516	0182	1	UE Capability Transfer	8.5.0	8.6.0
	RP-44	RP-090516	0186	1	Clarification regarding mobility from E-UTRA in-between SMC and SRB2/DRB setup	8.5.0	8.6.0
	RP-44	RP-090516	0188	1	Correction and completion of specification conventions	8.5.0	8.6.0

	RP-44	RP-090516	0195	2	RB combination in feature group indicator	8.5.0	8.6.0
	RP-44	RP-090516	0196	1	CR for need code for fields in mobilityControlInfo	8.5.0	8.6.0
	RP-44	RP-090497	0197	-	Alignment of pusch-HoppingOffset with 36.211	8.5.0	8.6.0
	RP-44	RP-090570	0198	-	Explicit srb-Identity values for SRB1 and SRB2	8.5.0	8.6.0
	RP-44	RP-090516	0199	-	Removing use of <i>defaultValue</i> for <i>mac-MainConfig</i>	8.5.0	8.6.0
09/2009	RP-45	RP-090906	0200	-	Proposed update of the feature grouping	8.6.0	8.7.0
	RP-45	RP-090906	0201	-	Clarification on measurement object configuration for serving frequency	8.6.0	8.7.0
	RP-45	RP-090906	0202	-	Correction regarding SRVCC	8.6.0	8.7.0
	RP-45	RP-090906	0203	-	Indication of DRB Release during HO	8.6.0	8.7.0
	RP-45	RP-090906	0204	1	Correction regarding application of dedicated resource configuration upon handover	8.6.0	8.7.0
	RP-45	RP-090906	0205	-	REL-9 protocol extensions in RRC	8.6.0	8.7.0
	RP-45	RP-090906	0206	-	In-order delivery of NAS PDUs at RRC connection reconfiguration	8.6.0	8.7.0
	RP-45	RP-090906	0207	-	Correction on Threshold of Measurement Event	8.6.0	8.7.0
	RP-45	RP-090906	0210	-	Clarification on dedicated resource of RA procedure	8.6.0	8.7.0
	RP-45	RP-090906	0213	1	Cell barring when MasterInformationBlock or SystemInformationBlock1 is missing	8.6.0	8.7.0
	RP-45	RP-090915	0218	-	Security threat with duplicate detection for ETWS	8.6.0	8.7.0
	RP-45	RP-090906	0224	-	Clarification on supported handover types in feature grouping	8.6.0	8.7.0
	RP-45	RP-090906	0250	1	Handling of unsupported / non-comprehended frequency band and emission requirement	8.6.0	8.7.0
	RP-45	RP-090906	0251	-	RB combinations in feature group indicator 20	8.6.0	8.7.0
09/2009	RP-45	RP-090934	0220	1	Introduction of Per-QCI radio link failure timers (option 1)	8.7.0	9.0.0
	RP-45	RP-090926	0222	-	Null integrity protection algorithm	8.7.0	9.0.0
	RP-45	RP-090926	0223	-	Emergency Support Indicator in BCCH	8.7.0	9.0.0
	RP-45	RP-090934	0230	2	CR to 36.331 for Enhanced CSFB to 1xRTT with concurrent PS handover	8.7.0	9.0.0
	RP-45	RP-090934	0243	-	REL-9 on Miscellaneous editorial corrections	8.7.0	9.0.0
	RP-45	RP-090934	0247	-	Periodic CQI/PMI/RI masking	8.7.0	9.0.0
	RP-45	RP-090933	0252	-	Introduction of CMAS	8.7.0	9.0.0
12/2009	RP-46	RP-091346	0253	1	(Rel-9)-clarification on the description of redirectedCarrierInfo	9.0.0	9.1.0
	RP-46	RP-091346	0254	1	Adding references to RRC processing delay for inter-RAT mobility messages	9.0.0	9.1.0
	RP-46	RP-091314	0256	-	Alignment of srs-Bandwidth with 36.211	9.0.0	9.1.0
	RP-46	RP-091341	0257	5	Baseline CR capturing eMBMS agreements	9.0.0	9.1.0
	RP-46	RP-091343	0258	3	Capturing agreements on inbound mobility	9.0.0	9.1.0
	RP-46	RP-091314	0260	-	Clarification of preRegistrationZoneID/secondaryPreRegistrationZoneID	9.0.0	9.1.0
	RP-46	RP-091346	0261	-	Clarification on NCC for IRAT HO	9.0.0	9.1.0
	RP-46	RP-091314	0263	-	Clarification on P-max	9.0.0	9.1.0
	RP-46	RP-091314	0265	1	Clarification on the definition of maxCellMeas	9.0.0	9.1.0
	RP-46	RP-091346	0266	-	Correction of q-RxLevMin reference in SIB7	9.0.0	9.1.0
	RP-46	RP-091346	0267	-	Correction on SPS-Config field descriptions	9.0.0	9.1.0
	RP-46	RP-091346	0268	1	correction on the definition of CellsTriggeredList	9.0.0	9.1.0
	RP-46	RP-091345	0269	-	Correction relating to CMAS UE capability	9.0.0	9.1.0
	RP-46	RP-091314	0271	1	Feature grouping bit for SRVCC handover	9.0.0	9.1.0
	RP-46	RP-091314	0272	1	Correction and completion of extension guidelines	9.0.0	9.1.0
	RP-46	RP-091344	0273	-	RACH optimization Stage-3	9.0.0	9.1.0
	RP-46	RP-091345	0274	-	Stage 3 correction for CMAS	9.0.0	9.1.0
	RP-46	RP-091346	0276	1	SR prohibit mechanism for UL SPS	9.0.0	9.1.0
	RP-46	RP-091346	0277	-	Parameters used for enhanced 1xRTT CS fallback	9.0.0	9.1.0
	RP-46	RP-091346	0281	-	Correction on UTRAN UE Capability transfer	9.0.0	9.1.0
	RP-46	RP-091346	0285	-	Maximum number of CDMA2000 neighbors in SIB8	9.0.0	9.1.0
	RP-46	RP-091340	0288	1	Introduction of UE Rx-Tx Time Difference measurement	9.0.0	9.1.0
	RP-46	RP-091346	0297	-	Introduction of SR prohibit timer	9.0.0	9.1.0
	RP-46	RP-091346	0298	-	Remove FFSs from RAN2 specifications	9.0.0	9.1.0
	RP-46	RP-091343	0301	1	Renaming Allowed CSG List (36.331 Rel-9)	9.0.0	9.1.0
	RP-46	RP-091346	0305	-	Re-introduction of message segment discard time	9.0.0	9.1.0
	RP-46	RP-091346	0306	1	Application of ASN.1 extension guidelines	9.0.0	9.1.0
	RP-46	RP-091346	0309	1	Support for Dual Radio 1xCSFB	9.0.0	9.1.0
	RP-46	RP-091346	0311	-	Shorter SR periodicity	9.0.0	9.1.0
	RP-46	RP-091342	0316	-	CR to 36.331 for Introduction of Dual Layer Transmission	9.0.0	9.1.0
	RP-46	RP-091343	0318	1	Draft CR to 36.331 on Network ordered SI reporting	9.0.0	9.1.0
	RP-46	RP-091346	0322	-	UE e1xcsfb capabilities correction	9.0.0	9.1.0
	RP-46	RP-091331	0327	1	Clarification on coding of ETWS related IEs	9.0.0	9.1.0
03/2010	RP-47	RP-100285	0331	-	Clarification of CGI reporting	9.1.0	9.2.0
	RP-47	RP-100305	0332	-	Clarification on MCCH change notification	9.1.0	9.2.0
	RP-47	RP-100308	0333	-	Clarification on measurement for serving cell only	9.1.0	9.2.0
	RP-47	RP-100306	0334	-	Clarification on proximity indication configuraiton in handover to E-UTRA	9.1.0	9.2.0
	RP-47	RP-100308	0335	-	Clarification on radio resource configuration in handover to E-UTRA procedure	9.1.0	9.2.0
	RP-47	RP-100308	0336	-	Clarification on UE maximum transmission power	9.1.0	9.2.0

	RP-47	RP-100308	0337	-	Correction to field descriptions of UE-EUTRA-Capability	9.1.0	9.2.0
	RP-47	RP-100305	0338	-	Correction to MBMS scheduling terminology	9.1.0	9.2.0
	RP-47	RP-100308	0339	-	Corrections to SIB8	9.1.0	9.2.0
	RP-47	RP-100306	0340	-	CR 36.331 R9 for Unifying SI reading for ANR and inbound mobility	9.1.0	9.2.0
	RP-47	RP-100308	0341	1	CR to 36.331 for 1xRTT pre-registration information in SIB8	9.1.0	9.2.0
	RP-47	RP-100305	0342	-	CR to 36.331 on corrections for MBMS	9.1.0	9.2.0
	RP-47	RP-100306	0343	1	CR to 36.331 on CSG identity reporting	9.1.0	9.2.0
	RP-47	RP-100308	0344	2	CR to 36.331 on Optionality of Rel-9 UE features	9.1.0	9.2.0
	RP-47	RP-100308	0345	1	CR to 36.331 on Service Specific Access Control (SSAC)	9.1.0	9.2.0
	RP-47	RP-100308	0346	-	Introduction of power-limited device indication in UE capability.	9.1.0	9.2.0
	RP-47	RP-100305	0347	-	Missing agreement in MCCH change notification.	9.1.0	9.2.0
	RP-47	RP-100305	0348	1	Corrections related to MCCH change notification and value ranges	9.1.0	9.2.0
	RP-47	RP-100306	0349	2	Prohibit timer for proximity indication	9.1.0	9.2.0
	RP-47	RP-100306	0350	1	Proximity Indication after handover and re-establishment	9.1.0	9.2.0
	RP-47	RP-100305	0351	-	Specifying the exact mapping of notificationIndicator in SIB13 to PDCCH bits	9.1.0	9.2.0
	RP-47	RP-100308	0352	-	Corrections out of ASN.1 review scope	9.1.0	9.2.0
	RP-47	RP-100308	0353	-	CR on clarification of system information change	9.1.0	9.2.0
	RP-47	RP-100285	0358	-	Measurement Result CDMA2000 Cell	9.1.0	9.2.0
	RP-47	RP-100304	0361	-	Correction on the range of UE Rx-Tx time difference measurement result	9.1.0	9.2.0
	RP-47	RP-100305	0362	-	Small clarifications regarding MBMS	9.1.0	9.2.0
	RP-47	RP-100308	0363	-	Introduction of REL-9 indication within field accessStratumRelease	9.1.0	9.2.0
	RP-47	RP-100306	0364	-	Extending mobility description to cover inbound mobility	9.1.0	9.2.0
	RP-47	RP-100308	0365	1	Clarification regarding enhanced CSFB to 1XRTT	9.1.0	9.2.0
	RP-47	RP-100308	0368	-	Handling of dedicated RLF timers	9.1.0	9.2.0
	RP-47	RP-100305	0370	1	Clarification on UE's behavior of receiving MBMS service	9.1.0	9.2.0
	RP-47	RP-100305	0371	-	MBMS Service ID and Session ID	9.1.0	9.2.0
	RP-47	RP-100305	0372	1	Inclusion of non-MBSFN region length in SIB13	9.1.0	9.2.0
	RP-47	RP-100309	0374	1	CR to 36.331 for e1xCSFB access class barring parameters in SIB8	9.1.0	9.2.0
	RP-47	RP-100308	0375	-	Multiple 1xRTT/HRPD target cells in MobilityFromEUTRACommand	9.1.0	9.2.0
	RP-47	RP-100308	0376	-	Independent support indicators for Dual-Rx CSFB and S102 in SIB8	9.1.0	9.2.0
	RP-47	RP-100285	0378	-	Clarification on DRX StartOffset for TDD	9.1.0	9.2.0
	RP-47	RP-100308	0379	1	Miscellaneous corrections from REL-9 ASN.1 review	9.1.0	9.2.0
	RP-47	RP-100308	0381	-	Need codes and missing conventions	9.1.0	9.2.0
	RP-47	RP-100308	0383	1	Introduction of Full Configuration Handover for handling earlier eNB releases	9.1.0	9.2.0
	RP-47	RP-100308	0385	-	Clarification to SFN reference in RRC	9.1.0	9.2.0
	RP-47	RP-100308	0390	-	RSRP and RSRQ based Thresholds	9.1.0	9.2.0
	RP-47	RP-100189	0392	3	Redirection enhancements to GERAN	9.1.0	9.2.0
	RP-47	RP-100308	0398	-	Cell reselection enhancements CR for 36.331	9.1.0	9.2.0
	RP-47	RP-100307	0401	3	CR on UE-originated RLF reporting for MRO SON use case	9.1.0	9.2.0
	RP-47	RP-100309	0402	3	CR to 36.331 on Redirection enhancements to UTRAN	9.1.0	9.2.0
	RP-47	RP-100306	0403	2	Proximity status indication handling at mobility	9.1.0	9.2.0
	RP-47	RP-100305	0404	-	Upper layer aspect of MBSFN area id	9.1.0	9.2.0
	RP-47	RP-100308	0405	-	Redirection for enhanced 1xRTT CS fallback with concurrent PSHO	9.1.0	9.2.0
	RP-47	RP-100301	0406	-	Avoiding interleaving transmission of CMAS notifications	9.1.0	9.2.0
	RP-47	RP-100308	0407	1	Introduction of UE GERAN DTM capability indicator	9.1.0	9.2.0
	RP-47	RP-100381	0408	2	Introducing provisions for late ASN.1 corrections	9.1.0	9.2.0
	RP-47	RP-100245	0411	-	Correction/ alignment of Rel-9 UE capability signalling	9.1.0	9.2.0
06/2010	RP-48	RP-100553	0412	-	Clarification for mapping between warning message and CB-data	9.2.0	9.3.0
	RP-48	RP-100556	0413	-	Clarification of radio link failure related actions	9.2.0	9.3.0
	RP-48	RP-100554	0414	-	Clarification on UE actions upon leaving RRC_CONNECTED	9.2.0	9.3.0
	RP-48	RP-100553	0415	-	Correction on CMAS system information	9.2.0	9.3.0
	RP-48	RP-100554	0416	1	Corrections to MBMS	9.2.0	9.3.0
	RP-48	RP-100536	0418	-	Decoding of unknown future extensions	9.2.0	9.3.0
	RP-48	RP-100556	0419	1	Miscellaneous small corrections and clarifications	9.2.0	9.3.0
	RP-48	RP-100551	0420	-	Prohibit timer for proximity indication	9.2.0	9.3.0
	RP-48	RP-100556	0421	-	RLF report for MRO correction	9.2.0	9.3.0
	RP-48	RP-100546	0423	1	Missing UTRA bands in IRAT-ParametersUTRA-FDD	9.2.0	9.3.0
	RP-48	RP-100556	0424	-	Correction on handling of dedicated RLF timers	9.2.0	9.3.0
	RP-48	RP-100556	0431	1	Protection of RRC messages	9.2.0	9.3.0
	RP-48	RP-100556	0433	-	Handling missing Essential system information	9.2.0	9.3.0
	RP-48	RP-100551	0434	1	Clarification on UMTS CSG detected cell reporting in LTE	9.2.0	9.3.0
	RP-48	RP-100556	0436	-	Introducing provisions for late corrections	9.2.0	9.3.0
	RP-48	RP-100556	0437	-	Clarification regarding / alignment of REL-9 UE capabilities	9.2.0	9.3.0
09/2010	RP-49	RP-100845	0440	-	Correction to 3GPP2 reference for interworking with cdma2000 1x	9.3.0	9.4.0
	RP-49	RP-100851	0441	-	Clarification on UL handover preparation transfer	9.3.0	9.4.0
	RP-49	RP-100851	0442	1	Clarifications regarding fullConfiguration	9.3.0	9.4.0

	RP-49	RP-100851	0443	-	Clarifications regarding handover to E-UTRAN	9.3.0	9.4.0
	RP-49	RP-100854	0444	-	Correction on the table of conditionally mandatory Release 9 features	9.3.0	9.4.0
	RP-49	RP-100851	0445	-	Corrections to TS36.331 on MeasConfig IE	9.3.0	9.4.0
	RP-49	RP-100853	0446	2	CR to 36.331 on clarification for MBMS PTM RBs	9.3.0	9.4.0
	RP-49	RP-100851	0447	-	Introduction of late corrections container for E-UTRA UE capabilities	9.3.0	9.4.0
	RP-49	RP-100851	0448	-	Renaming of containers for late non-critical extensions	9.3.0	9.4.0
	RP-49	RP-100851	0452	-	Clarifications Regarding Redirection from LTE	9.3.0	9.4.0
	RP-49	RP-100845	0456	-	Description of multi-user MIMO functionality in feature group indicator table	9.3.0	9.4.0
	RP-49	RP-100845	0458	-	Correct the PEMAX_H to PEMAX	9.3.0	9.4.0
	RP-49	RP-100851	0460	-	Clarification for feature group indicator bit 11	9.3.0	9.4.0
	RP-49	RP-100851	0465	1	Clarification of FGI setting for inter-RAT features not supported by the UE	9.3.0	9.4.0
	RP-49	RP-101008	0475	1	FGI settings in Rel-9	9.3.0	9.4.0
12/2010	RP-50	RP-101197	0483	-	Clarification on Meaning of FGI Bits	9.4.0	9.5.0
	RP-50	RP-101197	0485	-	Clarification regarding reconfiguration of the quantityConfig	9.4.0	9.5.0
	RP-50	RP-101210	0486	1	Corrections to the presence of IE regarding DRX and CQI	9.4.0	9.5.0
	RP-50	RP-101210	0493	-	The field descriptions of MeasObjectEUTRA	9.4.0	9.5.0
	RP-50	RP-101197	0498	1	Clarification of FGI settings non ANR periodical measurement reporting	9.4.0	9.5.0
	RP-50	RP-101209	0500	-	Corrections to RLF Report	9.4.0	9.5.0
	RP-50	RP-101206	0519	1	T321 timer fix	9.4.0	9.5.0
	RP-50	RP-101197	0524	-	Restriction of AC barring parameter setting	9.4.0	9.5.0
	RP-50	RP-101210	0525	-	Removal of SEQUENCE OF SEQUENCE in UEInformationResponse	9.4.0	9.5.0
	RP-50	RP-101197	0526	1	Clarification regarding default configuration value N/A	9.4.0	9.5.0
	RP-50	RP-101431	0532	-	Splitting FGI bit 3	9.4.0	9.5.0
	RP-50	RP-101183	0476	4	36.331 CR on Introduction of Minimization of Drive Tests	9.4.0	10.0.0
	RP-50	RP-101293	0477	4	AC-Barring for Mobile Originating CSFB call	9.4.0	10.0.0
	RP-50	RP-101214	0478	-	Addition of UE-EUTRA-Capability descriptions	9.4.0	10.0.0
	RP-50	RP-101214	0481	-	Clarification on Default Configuration for CQI-ReportConfig	9.4.0	10.0.0
	RP-50	RP-101215	0487	-	CR to 36.331 adding e1xCSFB support for dual Rx/Tx UE	9.4.0	10.0.0
	RP-50	RP-101227	0488	1	Introduction of Carrier Aggregation and UL/ DL MIMO	9.4.0	10.0.0
	RP-50	RP-101228	0489	1	Introduction of relays in RRC	9.4.0	10.0.0
	RP-50	RP-101214	0490	1	Priority indication for CSFB with re-direction	9.4.0	10.0.0
	RP-50	RP-101214	0491	-	SIB Size Limitations	9.4.0	10.0.0
	RP-50	RP-101214	0513	-	Combined Quantity Report for IRAT measurement of UTRAN	9.4.0	10.0.0
	RP-50	RP-101214	0527	1	UE power saving and Local release	9.4.0	10.0.0
	RP-50	RP-101429	0530	1	Inclusion of new UE categories in Rel-10	9.4.0	10.0.0
03/2011	RP-51	RP-110282	0533	-	36331_CRxxx_Protection of Logged Measurements Configuration	10.0.0	10.1.0
	RP-51	RP-110294	0534	1	Stage-3 CR for MBMS enhancement	10.0.0	10.1.0
	RP-51	RP-110282	0535	-	Clean up MDT-related text	10.0.0	10.1.0
	RP-51	RP-110282	0536	-	Clear MDT configuration and logs when the UE is not registered	10.0.0	10.1.0
	RP-51	RP-110280	0537	-	Correction to the field description of nB	10.0.0	10.1.0
	RP-51	RP-110289	0538	-	CR on impact on UP with remove&add approach_2	10.0.0	10.1.0
	RP-51	RP-110282	0539	-	CR to 36.331 on corrections for MDT	10.0.0	10.1.0
	RP-51	RP-110290	0543	-	Introduction of CA/MIMO capability signalling and measurement capability signalling in CA	10.0.0	10.1.0
	RP-51	RP-110282	0544	-	MDT PDU related clarifications	10.0.0	10.1.0
	RP-51	RP-110282	0545	-	Correction on release of logged measurement configuration while in another RAT	10.0.0	10.1.0
	RP-51	RP-110289	0546	-	Miscellaneous Corrections for CA Running RRC CR	10.0.0	10.1.0
	RP-51	RP-110280	0547	1	Miscellaneous small clarifications and corrections	10.0.0	10.1.0
	RP-51	RP-110293	0548	4	Necessary changes for RLF reporting enhancements	10.0.0	10.1.0
	RP-51	RP-110282	0549	1	Memory size for logged measurements capable UE	10.0.0	10.1.0
	RP-51	RP-110289	0550	-	Parameters confusion of non-CA and CA configurations	10.0.0	10.1.0
	RP-51	RP-110272	0553	-	Presence condition for cellSelectionInfo-v920 in SIB1	10.0.0	10.1.0
	RP-51	RP-110282	0554	1	Removal of MDT configuration at T330 expiry	10.0.0	10.1.0
	RP-51	RP-110289	0556	1	Signalling aspects of existing LTE-A parameters	10.0.0	10.1.0
	RP-51	RP-110280	0557	1	Some Corrections on measurement	10.0.0	10.1.0
	RP-51	RP-110291	0558	-	Stored system information for RNs	10.0.0	10.1.0
	RP-51	RP-110291	0559	-	Support of Integrity Protection for Relay	10.0.0	10.1.0
	RP-51	RP-110290	0561	2	Updates of L1 parameters for CA and UL/DL MIMO	10.0.0	10.1.0
	RP-51	RP-110291	0571	1	Note for Dedicated SIB for RNs	10.0.0	10.1.0
	RP-51	RP-110272	0579	-	Correction to cs-fallbackIndicator field description	10.0.0	10.1.0
	RP-51	RP-110289	0580	-	Clarification to the default configuration of sCellDeactivationTimer	10.0.0	10.1.0
	RP-51	RP-110289	0581	-	Miscellaneous corrections to TS 36.331 on Carrier Aggregation	10.0.0	10.1.0
	RP-51	RP-110280	0584	-	Correction of configuration description in SIB2	10.0.0	10.1.0
	RP-51	RP-110265	0587	-	Clarification of band indicator in handover from E-UTRAN to GERAN	10.0.0	10.1.0
	RP-51	RP-110285	0588	1	36331_CRxxx Support of Delay Tolerant access requests	10.0.0	10.1.0

	RP-51	RP-110292	0590	-	Update of R2-110807 on CSI measurement resource restriction for time domain ICIC	10.0.0	10.1.0
	RP-51	RP-110292	0591	-	Update of R2-110821 on RRM/RLM resource restriction for time domain ICIC	10.0.0	10.1.0
	RP-51	RP-110290	0592	-	Corrections on UE capability related parameters	10.0.0	10.1.0
	RP-51	RP-110282	0596	-	Validity time for location information in Immediate MDT	10.0.0	10.1.0
	RP-51	RP-110280	0597	-	CR to 36.331 adding UE capability indicator for dual Rx/Tx e1xCSFB	10.0.0	10.1.0
	RP-51	RP-110289	0598	-	Miscellaneous corrections to CA	10.0.0	10.1.0
	RP-51	RP-110280	0599	-	Further correction to combined measurement report of UTRAN	10.0.0	10.1.0
	RP-51	RP-110280	0600	-	Correction to the reference of ETWS	10.0.0	10.1.0
	RP-51	RP-110269	0602	1	Introduction of OTDOA inter-freq RSTD measurement indication procedure	10.0.0	10.1.0
	RP-51	RP-110280	0603	-	Correction of use of RRCConnectionReestablishment message for contention resolution	10.0.0	10.1.0
	RP-51	RP-110282	0604	-	CR to 36.331 on MDT neighbour cell measurements logging	10.0.0	10.1.0
	RP-51	RP-110272	0609	-	Minor ASN.1 corrections for the UEInformationResponse message	10.0.0	10.1.0
	RP-51	RP-110280	0613	-	Clarification regarding dedicated RLF timers and constants	10.0.0	10.1.0
	RP-51	RP-110282	0615	-	Release of Logged Measurement Configuration	10.0.0	10.1.0
	RP-51	RP-110280	0616	-	Some corrections on TS 36.331	10.0.0	10.1.0
	RP-51	RP-110280	0623	-	AC barring procedure clean up	10.0.0	10.1.0
	RP-51	RP-110282	0624	-	Counter proposal to R2-110826 on UE capabilities for MDT	10.0.0	10.1.0
	RP-51	RP-110280	0628	1	UE information report for RACH	10.0.0	10.1.0
	RP-51	RP-110289	0629	2	Measurement on the deactivated SCells	10.0.0	10.1.0
	RP-51	RP-110282	0632	1	Trace configuration parameters for Logged MDT	10.0.0	10.1.0
	RP-51	RP-110282	0635	-	Clarification on stop condition for timer T3330	10.0.0	10.1.0
	RP-51	RP-110282	0637	-	User consent for MDT	10.0.0	10.1.0
	RP-51	RP-110280	0638	-	Correction on the range of CQI resource index	10.0.0	10.1.0
	RP-51	RP-110272	0640	1	Small corrections to ETWS & CMAS system information	10.0.0	10.1.0
	RP-51	RP-110290	0641	1	UE capability signaling structure w.r.t carrier aggregation, MIMO and measurement gap	10.0.0	10.1.0
	RP-51	RP-110289	0642	1	Normal PHR and the multiple uplink carriers	10.0.0	10.1.0
	RP-51	RP-110280	0643	1	Corrections to TS36.331 on SIB2 handling	10.0.0	10.1.0
	RP-51	RP-110280	0644	1	Adding a Power Management indication in PHR	10.0.0	10.1.0
	RP-51	RP-110289	0646	1	Clarification for CA and TTI bundling in RRC	10.0.0	10.1.0
	RP-51	RP-110443	0648	1	Updates to FGI settings	10.0.0	10.1.0
06/2011	RP-52	RP-110836	0651	-	Add MBMS counting procedure to processing delay requirement for RRC procedure Section 11.2	10.1.0	10.2.0
	RP-52	RP-110830	0653	-	Add pre Rel-10 procedures to processing delay requirement for RRC procedure Section 11.2	10.1.0	10.2.0
	RP-52	RP-110847	0654	1	Addition of a specific reference for physical configuration fields	10.1.0	10.2.0
	RP-52	RP-110839	0656	-	Clarification of inter-frequency RSTD measurement indication procedure	10.1.0	10.2.0
	RP-52	RP-110830	0658	-	Clarification of optionality of UE features without capability	10.1.0	10.2.0
	RP-52	RP-110839	0660	-	Clarification on the definition of maxCellBlack	10.1.0	10.2.0
	RP-52	RP-110839	0661	-	Clarification on upper layer requested connection release	10.1.0	10.2.0
	RP-52	RP-110850	0662	3	Clarification regarding eICIC measurements	10.1.0	10.2.0
	RP-52	RP-110839	0663	-	CR for s-measure handling	10.1.0	10.2.0
	RP-52	RP-110851	0664	1	CR on clarification of RLF Report in Carrier Aggregation	10.1.0	10.2.0
	RP-52	RP-110830	0669	-	FGI bit for handover between LTE FDD/TDD	10.1.0	10.2.0
	RP-52	RP-110847	0670	2	Further updates on L1 parameters	10.1.0	10.2.0
	RP-52	RP-110839	0671	2	General error handling for extension fields	10.1.0	10.2.0
	RP-52	RP-110851	0672	2	Additional information for RLF report	10.1.0	10.2.0
	RP-52	RP-110843	0673	-	Introduction of TCE ID for logged MDT	10.1.0	10.2.0
	RP-52	RP-110670	0674	4	Miscellaneous corrections (related to review in preparation for ASN.1 freeze)	10.1.0	10.2.0
	RP-52	RP-110843	0675	-	PLMN check for MDT logging	10.1.0	10.2.0
	RP-52	RP-110839	0677	-	UE actions upon leaving RRC_CONNECTED	10.1.0	10.2.0
	RP-52	RP-110847	0678	-	Clarification on bandEUTRA-r10 and supportedBandListEUTRA	10.1.0	10.2.0
	RP-52	RP-110837	0679	-	Updated value range for the Extended Wait Timer	10.1.0	10.2.0
	RP-52	RP-110839	0680	1	Value range of DRX-InactivityTimer	10.1.0	10.2.0
	RP-52	RP-110828	0693	1	Correction for SR-VCC and QCI usage	10.1.0	10.2.0
	RP-52	RP-110847	0694	-	Restructuring of CQI-ReportConfig-r10	10.1.0	10.2.0
	RP-52	RP-110839	0695	2	Correction on DL allocations in MBSFN subframes	10.1.0	10.2.0
	RP-52	RP-110850	0700	-	Reference SFN for MeasSubframePattern	10.1.0	10.2.0
	RP-52	RP-110846	0701	-	Clarifications to CA related field descriptions	10.1.0	10.2.0
	RP-52	RP-110847	0702	-	Corrections to codebookSubsetRestriction and SRS parameters	10.1.0	10.2.0
	RP-52	RP-110834	0704	-	Corrections to the handling of ri-ConfigIndex for TM9	10.1.0	10.2.0
	RP-52	RP-110715	0710	2	UE capabilities for Rel-10 LTE features with eICIC measurement restrictions as FGI (Alt.1)	10.1.0	10.2.0
	RP-52	RP-110839	0713	-	CR to 36.331 on redirected ultra-TDD carrier frequency	10.1.0	10.2.0
	RP-52	RP-110839	0714	-	Explicit AS signalling for mapped PTMSI/GUTI	10.1.0	10.2.0
	RP-52	RP-110847	0718	-	Counter proposal for Updates of mandatory information in AS-Config	10.1.0	10.2.0

	RP-52	RP-110839	0719	-	CR for Reconfiguration of discardTimer in PDCP-Config	10.1.0	10.2.0
	RP-52	RP-110847	0723	-	On the missing multiplicity of UE capability parameters	10.1.0	10.2.0
	RP-52	RP-110830	0735	-	Radio frame alignment of CSA and MSP	10.1.0	10.2.0
	RP-52	RP-110847	0740	-	Reconfiguration involving critically extended IEs (using fullFieldConfig i.e. option 2)	10.1.0	10.2.0
	RP-52	RP-110839	0744	-	Counter proposal to R2-112753 on CR to remove CSG Identity validity limited to CSG cell	10.1.0	10.2.0
	RP-52	RP-110839	0746	1	Increase of prioritisedBitRate	10.1.0	10.2.0
	RP-52	RP-110847	0747	-	CA and MIMO Capabilities in LTE Rel-10	10.1.0	10.2.0
09/2011	RP-53	RP-111297	0752	-	TS36.331 Correction	10.2.0	10.3.0
	RP-53	RP-111297	0754	-	maxNumberROHC-ContextSessions when no ROHC profile is supported	10.2.0	10.3.0
	RP-53	RP-111280	0757	-	Correction to Subframe Allocation End in PMCH-Info	10.2.0	10.3.0
	RP-53	RP-111288	0761	-	Correction on PUCCH configuration for Un interface	10.2.0	10.3.0
	RP-53	RP-111297	0762	-	Miscellaneous corrections to 36.331	10.2.0	10.3.0
	RP-53	RP-111278	0764	2	36.331 correction on CSG identity validity to allow introduction of CSG RAN sharing	10.2.0	10.3.0
	RP-53	RP-111283	0770	2	AdditionalSpectrumEmissions in CA	10.2.0	10.3.0
	RP-53	RP-111297	0773	-	CR to 36.331 on Small correction of PHR parameter	10.2.0	10.3.0
	RP-53	RP-111283	0775	2	Clarifications to P-max on CA	10.2.0	10.3.0
	RP-53	RP-111280	0784	-	Clarification on for which subframes signalling MCS applies	10.2.0	10.3.0
	RP-53	RP-111283	0792	-	Corrections in RRC	10.2.0	10.3.0
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	RP-53	RP-111297	0796	-	Corrections to the field descriptions	10.2.0	10.3.0
	RP-53	RP-111283	0798	-	Configuration of simultaneous PUCCH&PUSCH	10.2.0	10.3.0
	RP-53	RP-111297	0806	-	Corrections to release of csi-SubframePatternConfig and cqi-Mask	10.2.0	10.3.0
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	RP-53	RP-111283	0811	-	Corrections to PUCCH-Config field descriptions	10.2.0	10.3.0
12/2011	RP-54	RP-111711	0812	1	Clarification of PCI range for CSG cells	10.3.0	10.4.0
	RP-54	RP-111716	0813	-	Clarifications to Default Radio Configurations	10.3.0	10.4.0
	RP-54	RP-111716	0814	1	Corrections to enhancedDualLayerTDD	10.3.0	10.4.0
	RP-54	RP-111716	0815	-	Miscellaneous small corrections	10.3.0	10.4.0
	RP-54	RP-111716	0816	1	Correction on notation of SRS transmission comb	10.3.0	10.4.0
	RP-54	RP-111706	0823	1	36.331 CR SPS reconfiguration	10.3.0	10.4.0
	RP-54	RP-111716	0827	2	Clarification of list sizes in measurement configuration stored by UE	10.3.0	10.4.0
	RP-54	RP-111706	0835	-	Clarification of the event B1 and ANR related FGI bits	10.3.0	10.4.0
	RP-54	RP-111714	0840	1	Clarification on MBSFN and measurement resource restrictions	10.3.0	10.4.0
	RP-54	RP-111706	0845	-	Clarification on parallel message transmission upon connection re-establishment	10.3.0	10.4.0
03/2012	RP-55	RP-120326	0855	1	Limiting MBMS counting responses to within the PLMN	10.4.0	10.5.0
	RP-55	RP-120321	0857	-	CR to 36.331 on cdma2000 band classes and references	10.4.0	10.5.0
	RP-55	RP-120326	0862	1	Clarification on MBSFN and measurement resource restrictions	10.4.0	10.5.0
	RP-55	RP-120325	0871	-	On SIB10/11 Reception Timing	10.4.0	10.5.0
	RP-55	RP-120326	0875	1	Clarification on MBMS counting for uncipherable services	10.4.0	10.5.0
	RP-55	RP-120325	0876	-	Minor correction regarding limited service access on non-CSG-member cell	10.4.0	10.5.0
	RP-55	RP-120326	0894	-	Time to keep RLF Reporting logs	10.4.0	10.5.0
	RP-55	RP-120356	0895	1	Introducing means to signal different FDD/TDD Capabilities/FGIs for Dual-xDD UE	10.4.0	10.5.0
	RP-55	RP-120321	0899	-	Clarification on SRB2 resumption upon connection re-establishment (parallel message transmission)	10.4.0	10.5.0
	RP-55	RP-120321	0900	1	Duplicated ASN.1 naming correction	10.4.0	10.5.0
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	RP-56	RP-120812	0920	1	Clarification on setting of dedicated NS value for CA by E-UTRAN	10.5.0	10.6.0
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	RP-56	RP-120812	0969	1	Introduction of supported bandwidth combinations for CA	10.5.0	10.6.0
	RP-56	RP-120734	0970	1	Introduction of multiple frequency band indicator	10.5.0	10.6.0
	RP-56	RP-120825	0934	-	Introduction of a new security algorithm ZUC	10.5.0	11.0.0
	RP-56	RP-120813	0973	1	EU-Alert in relation to CMAS	10.5.0	11.0.0
09/2012	RP-57	RP-121371	0982	1	Introduction of EAB	11.0.0	11.1.0
	RP-57	RP-121381	0990	-	Additional special subframe configuration related correction	11.0.0	11.1.0
	RP-57	RP-121423	1000	4	36.331 CR introducing In-Device Coexistence (IDC)	11.0.0	11.1.0
	RP-57	RP-121359	1008	-	Voice support Capabilities	11.0.0	11.1.0
	RP-57	RP-121361	1013	-	Differentiating UTRAN modes in FGIs	11.0.0	11.1.0
	RP-57	RP-121368	1022	1	Introduction of absolute priority based measurements and reselection in CELL_FACH State in 36.331	11.0.0	11.1.0
	RP-57	RP-121370	1024	-	Introducing MDT enhancements for REL-11	11.0.0	11.1.0

	RP-57	RP-121349	1025	2	Introducing Carrier aggregation enhancements for REL-11	11.0.0	11.1.0
	RP-57	RP-121375	1026	-	Introducing MBMS enhancements for REL-11	11.0.0	11.1.0
	RP-57	RP-121376	1052	2	Signaling support for CRS interference management in eICIC	11.0.0	11.1.0
	RP-57	RP-121395	1055	-	CR on scell measurement cycle	11.0.0	11.1.0
	RP-57	RP-121395	1056	-	CR on measurement report	11.0.0	11.1.0
	RP-57	RP-121378	1057	3	Introduction of 'Power preference indication'	11.0.0	11.1.0
	RP-57	RP-121280	1060	-	Correction for PUCCH/SRS Release	11.0.0	11.1.0

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# History

<b>Document history</b>		
V11.1.0	November 2012	Publication