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## 1 Scope

The present document specifies the coding, multiplexing and mapping to physical channels for E-UTRA.

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

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- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 36.211: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical channels and modulation".
- [3] 3GPP TS 36.213: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer procedures".
- [4] 3GPP TS 36.306: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio access capabilities".
- [5] 3GPP TS36.321, "Evolved Universal Terrestrial Radio Access (E-UTRA); Medium Access Control (MAC) protocol specification"
- [6] 3GPP TS36.331, "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Resource Control (RRC) protocol specification"
- [7] 3GPP TS23.285, "Technical Specification Group Services and System Aspects; Architecture enhancements for V2X services"

## 3 Definitions, symbols and abbreviations

## 3.1 Definitions

For the purposes of the present document, the terms and definitions given in [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in [1].

**BL/CE:** A Bandwidth-reduced Low-complexity or Coverage Enhanced (BL/CE) UE is capable of coverage enhancement mode A support and intends to access a cell in a coverage enhancement mode or is configured in a coverage enhancement mode.

## 3.2 Symbols

For the purposes of the present document, the following symbols apply:

$N_{ m RB}^{ m DL}$	Downlink bandwidth configuration, expressed in number of resource blocks [2]
$N_{ m RB}^{ m UL}$	Uplink bandwidth configuration, expressed in number of resource blocks [2]
$N_{ m RB}^{ m SL}$	Sidelink bandwidth configuration, expressed in number of resource blocks [2]
$N_{ m subchannel}^{ m SL}$	Number of sidelink subchannels configured on the resource pool of a subcarrier [2]

$N_{\rm sc}^{\rm RB}$	Resource block size in the frequency domain, expressed as a number of subcarriers
$N_{ m symb}^{ m PUSCH}$	Number of SC-FDMA symbols carrying PUSCH in a subframe
$N_{ m symb}^{ m PUSCH-initial}$	Number of SC-FDMA symbols carrying PUSCH in the initial PUSCH transmission subframe
$N_{ m symb}^{ m UL}$	Number of SC-FDMA symbols in an uplink slot
$N_{ m symb}^{ m SL}$	Number of SC-FDMA symbols in a sidelink slot
N <sub>SRS</sub>	Number of SC-FDMA symbols used for SRS transmission in a subframe (0 or 1).

## 3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

BCH	Broadcast channel
CFI	Control Format Indicator
СР	Cyclic Prefix
CSI	Channel State Information
DCI	Downlink Control Information
DL-SCH	Downlink Shared channel
EPDCCH	Enhanced Physical Downlink Control channel
FDD	Frequency Division Duplexing
HI	HARQ indicator
LAA	Licensed-Assisted Access
MCH	Multicast channel
MPDCCH	MTC Physical Downlink Control Channel
MUST	Multiuser Superposition Transmission
NPBCH	Narrowband Physical Broadcast channel
NPDCCH	Narrowband Physical Downlink Control channel
NPDSCH	Narrowband Physical Downlink Shared channel
NPRACH	Narrowband Physical Random Access channel
NPUSCH	Narrowband Physical Uplink Shared channel
PBCH	Physical Broadcast channel
PCFICH	Physical Control Format Indicator channel
РСН	Paging channel
PDCCH	Physical Downlink Control channel
PDSCH	Physical Downlink Shared channel
PHICH	Physical HARQ indicator channel
PMCH	Physical Multicast channel
PMI	Precoding Matrix Indicator
PRACH	Physical Random Access channel
PSBCH	Physical Sidelink Broadcast Channel
PSCCH	Physical Sidelink Control Channel
PSDCH	Physical Sidelink Discovery Channel
PSSCH	Physical Sidelink Shared Channel
PUCCH	Physical Uplink Control channel
PUSCH	Physical Uplink Shared channel
RACH	Random Access channel
RI	Rank Indication
SCI	Sidelink Control Information
SL-BCH	Sidelink Broadcast Channel
SL-DCH	Sidelink Discovery Channel
SL-SCH	Sidelink Shared Channel
SR	Scheduling Request
SRS	Sounding Reference Signal
TDD	Time Division Duplexing
TPMI	Transmitted Precoding Matrix Indicator
UCI	Uplink Control Information
UL-SCH	Uplink Shared channel
	•

## 4 Mapping to physical channels

The mapping to physical channels for Narrowband IoT is provided in subclause 6.1.

## 4.1 Uplink

Table 4.1-1 specifies the mapping of the uplink transport channels to their corresponding physical channels. Table 4.1-2 specifies the mapping of the uplink control channel information to its corresponding physical channel.

Table	4.1-1
-------	-------

TrCH	Physical Channel
UL-SCH	PUSCH
RACH	PRACH

#### Table 4.1-2

Control information	Physical Channel
UCI	PUCCH, PUSCH

## 4.2 Downlink

Table 4.2-1 specifies the mapping of the downlink transport channels to their corresponding physical channels. Table 4.2-2 specifies the mapping of the downlink control channel information to its corresponding physical channel.

#### Table 4.2-1

TrCH	Physical Channel	
DL-SCH	PDSCH	
BCH	PBCH	
PCH	PDSCH	
MCH	PMCH	

### Table 4.2-2

Control information	Physical Channel
CFI	PCFICH
HI	PHICH
DCI	PDCCH, EPDCCH, MPDCCH

## 4.3 Sidelink

Table 4.3-1 specifies the mapping of the sidelink transport channels to their corresponding physical channels. Table 4.3-2 specifies the mapping of the sidelink control information to its corresponding physical channel.

### Table 4.3-1

TrCH	Physical Channel
SL-SCH	PSSCH
SL-BCH	PSBCH
SL-DCH	PSDCH

Table 4.3-2

Control information	Physical Channel
SCI	PSCCH

## 5 Channel coding, multiplexing and interleaving

Data and control streams from/to MAC layer are encoded /decoded to offer transport and control services over the radio transmission link. Channel coding scheme is a combination of error detection, error correcting, rate matching, interleaving and transport channel or control information mapping onto/splitting from physical channels.

## 5.1 Generic procedures

This subclause contains coding procedures which are used for more than one transport channel or control information type.

## 5.1.1 CRC calculation

Denote the input bits to the CRC computation by  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , and the parity bits by  $p_0, p_1, p_2, p_3, ..., p_{L-1}$ . A is the size of the input sequence and L is the number of parity bits. The parity bits are generated by one of the following cyclic generator polynomials:

- $g_{CRC24A}(D) = [D^{24} + D^{23} + D^{18} + D^{17} + D^{14} + D^{11} + D^{10} + D^7 + D^6 + D^5 + D^4 + D^3 + D + 1]$  and;
- $g_{CRC24B}(D) = [D^{24} + D^{23} + D^6 + D^5 + D + 1]$  for a CRC length L = 24 and;
- $g_{CRC16}(D) = [D^{16} + D^{12} + D^5 + 1]$  for a CRC length L = 16.
- $g_{CRC8}(D) = [D^8 + D^7 + D^4 + D^3 + D + 1]$  for a CRC length of L = 8.

The encoding is performed in a systematic form, which means that in GF(2), the polynomial:

$$a_0 D^{A+23} + a_1 D^{A+22} + \ldots + a_{A-1} D^{24} + p_0 D^{23} + p_1 D^{22} + \ldots + p_{22} D^1 + p_{23}$$

yields a remainder equal to 0 when divided by the corresponding length-24 CRC generator polynomial,  $g_{CRC24A}(D)$  or  $g_{CRC24B}(D)$ , the polynomial:

$$a_0 D^{A+15} + a_1 D^{A+14} + \dots + a_{A-1} D^{16} + p_0 D^{15} + p_1 D^{14} + \dots + p_{14} D^1 + p_{15}$$

yields a remainder equal to 0 when divided by  $g_{CRC16}(D)$ , and the polynomial:

$$a_0 D^{A+7} + a_1 D^{A+6} + \ldots + a_{A-1} D^8 + p_0 D^7 + p_1 D^6 + \ldots + p_6 D^1 + p_7$$

yields a remainder equal to 0 when divided by  $g_{CRC8}(D)$ .

The bits after CRC attachment are denoted by  $b_0, b_1, b_2, b_3, \dots, b_{B-1}$ , where B = A + L. The relation between  $a_k$  and  $b_k$  is:

$$b_k = a_k$$
 for  $k = 0, 1, 2, ..., A-1$ 

$$b_k = p_{k-A}$$
 for  $k = A, A+1, A+2, ..., A+L-1$ .

## 5.1.2 Code block segmentation and code block CRC attachment

The input bit sequence to the code block segmentation is denoted by  $b_0, b_1, b_2, b_3, ..., b_{B-1}$ , where B > 0. If *B* is larger than the maximum code block size *Z*, segmentation of the input bit sequence is performed and an additional CRC sequence of L = 24 bits is attached to each code block. The maximum code block size is:

- Z = 6144.

If the number of filler bits F calculated below is not 0, filler bits are added to the beginning of the first block.

Note that if B < 40, filler bits are added to the beginning of the code block.

The filler bits shall be set to *<NULL>* at the input to the encoder.

Total number of code blocks *C* is determined by:

if 
$$B \leq Z$$

$$L = 0$$

Number of code blocks: C = 1

$$B' = B$$

else

$$L = 24$$

Number of code blocks:  $C = \left\lceil B / (Z - L) \right\rceil$ .

$$B' = B + C \cdot L$$

end if

The bits output from code block segmentation, for  $C \neq 0$ , are denoted by  $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K_r-1)}$ , where *r* is the code block number, and  $K_r$  is the number of bits for the code block number *r*.

Number of bits in each code block (applicable for  $C \neq 0$  only):

First segmentation size:  $K_+ = \min K$  in table 5.1.3-3 such that  $C \cdot K \ge B'$ 

if 
$$C = 1$$

the number of code blocks with length  $K_+$  is  $C_+=1$ ,  $K_-=0$ ,  $C_-=0$ 

else if C > 1

Second segmentation size:  $K_{-}$  = maximum K in table 5.1.3-3 such that  $K < K_{+}$ 

$$\Delta_K = K_+ - K_-$$

Number of segments of size 
$$K_{-}$$
:  $C_{-} = \left\lfloor \frac{C \cdot K_{+} - B'}{\Delta_{K}} \right\rfloor$ .

Number of segments of size  $K_+$ :  $C_+ = C - C_-$ .

end if

Number of filler bits:  $F = C_+ \cdot K_+ + C_- \cdot K_- - B'$ 

for k = 0 to F-1 -- Insertion of filler bits

$$c_{0k} = < NULL >$$

end for

k = F

s = 0

for r = 0 to C-1

if  $r < C_{-}$ 

$$K_r = K_-$$

else

 $K_r = K_+$ end if while  $k < K_r - L$  $c_{rk} = b_s$ k = k + 1s = s + 1end while

if C > 1

The sequence  $c_{r0}, c_{r1}, c_{r2}, c_{r3}, ..., c_{r(K_r-L-1)}$  is used to calculate the CRC parity bits  $p_{r0}, p_{r1}, p_{r2}, ..., p_{r(L-1)}$  according to subclause 5.1.1 with the generator polynomial  $g_{CRC24B}(D)$ . For CRC calculation it is assumed that filler bits, if present, have the value 0.

while  $k < K_r$ 

```
c_{rk} = p_{r(k+L-K_r)}
```

k = k + 1

end while

end if

k = 0

end for

## 5.1.3 Channel coding

The bit sequence input for a given code block to channel coding is denoted by  $c_0, c_1, c_2, c_3, ..., c_{K-1}$ , where *K* is the number of bits to encode. After encoding the bits are denoted by  $d_0^{(i)}, d_1^{(i)}, d_2^{(i)}, d_3^{(i)}, ..., d_{D-1}^{(i)}$ , where *D* is the number of encoded bits per output stream and *i* indexes the encoder output stream. The relation between  $c_k$  and  $d_k^{(i)}$  and between *K* and *D* is dependent on the channel coding scheme.

The following channel coding schemes can be applied to TrCHs:

- tail biting convolutional coding;
- turbo coding.

Usage of coding scheme and coding rate for the different types of TrCH is shown in table 5.1.3-1. Usage of coding scheme and coding rate for the different control information types is shown in table 5.1.3-2.

The values of *D* in connection with each coding scheme:

- tail biting convolutional coding with rate 1/3: D = K;
- turbo coding with rate 1/3: D = K + 4.

The range for the output stream index *i* is 0, 1 and 2 for both coding schemes.

TrCH	Coding scheme	Coding rate
UL-SCH		
DL-SCH		1/3
PCH	Turke eeding	
MCH	Turbo coding	1/3
SL-SCH		
SL-DCH		
BCH	Tail biting	
SL-BCH	convolutional coding	1/3

Table 5.1.3-1: Usage of channel coding scheme and coding rate for TrCHs

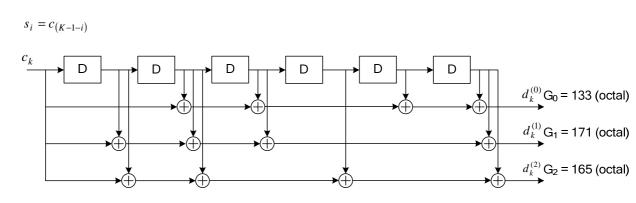
Control Information	Coding scheme	Coding rate
	Tail biting	
DCI	convolutional	1/3
	coding	
CFI	Block code	1/16
HI	Repetition code	1/3
	Block code	variable
UCI	Tail biting	
001	convolutional	1/3
	coding	
SCI	Tail biting	
	convolutional	1/3
	coding	

### 5.1.3.1 Tail biting convolutional coding

A tail biting convolutional code with constraint length 7 and coding rate 1/3 is defined.

The configuration of the convolutional encoder is presented in figure 5.1.3-1.

The initial value of the shift register of the encoder shall be set to the values corresponding to the last 6 information bits in the input stream so that the initial and final states of the shift register are the same. Therefore, denoting the shift register of the encoder by  $s_0, s_1, s_2, ..., s_5$ , then the initial value of the shift register shall be set to





The encoder output streams  $d_k^{(0)}$ ,  $d_k^{(1)}$  and  $d_k^{(2)}$  correspond to the first, second and third parity streams, respectively as shown in Figure 5.1.3-1.

### 5.1.3.2 Turbo coding

### 5.1.3.2.1 Turbo encoder

The scheme of turbo encoder is a Parallel Concatenated Convolutional Code (PCCC) with two 8-state constituent encoders and one turbo code internal interleaver. The coding rate of turbo encoder is 1/3. The structure of turbo encoder is illustrated in figure 5.1.3-2.

The transfer function of the 8-state constituent code for the PCCC is:

$$G(D) = \left[1, \frac{g_1(D)}{g_0(D)}\right],$$

where

$$g_0(D) = 1 + D^2 + D^3,$$
  
 $g_1(D) = 1 + D + D^3.$ 

The initial value of the shift registers of the 8-state constituent encoders shall be all zeros when starting to encode the input bits.

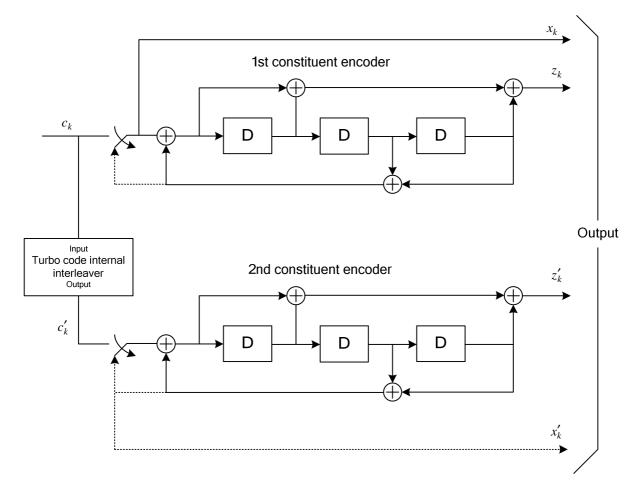
The output from the turbo encoder is

$$d_k^{(0)} = x_k$$
$$d_k^{(1)} = z_k$$
$$d_k^{(2)} = z'_k$$

for  $k = 0, 1, 2, \dots, K - 1$ .

If the code block to be encoded is the 0-th code block and the number of filler bits is greater than zero, i.e., F > 0, then the encoder shall set  $c_k$ , = 0, k = 0, ..., (F-1) at its input and shall set  $d_k^{(0)} = \langle NULL \rangle$ , k = 0, ..., (F-1) and  $d_k^{(1)} = \langle NULL \rangle$ , k = 0, ..., (F-1) at its output.

The bits input to the turbo encoder are denoted by  $c_0, c_1, c_2, c_3, ..., c_{K-1}$ , and the bits output from the first and second 8-state constituent encoders are denoted by  $z_0, z_1, z_2, z_3, ..., z_{K-1}$  and  $z'_0, z'_1, z'_2, z'_3, ..., z'_{K-1}$ , respectively. The bits output from the turbo code internal interleaver are denoted by  $c'_0, c'_1, ..., c'_{K-1}$ , and these bits are to be the input to the second 8-state constituent encoder.



#### Figure 5.1.3-2: Structure of rate 1/3 turbo encoder (dotted lines apply for trellis termination only)

### 5.1.3.2.2 Trellis termination for turbo encoder

Trellis termination is performed by taking the tail bits from the shift register feedback after all information bits are encoded. Tail bits are padded after the encoding of information bits.

The first three tail bits shall be used to terminate the first constituent encoder (upper switch of figure 5.1.3-2 in lower position) while the second constituent encoder is disabled. The last three tail bits shall be used to terminate the second constituent encoder (lower switch of figure 5.1.3-2 in lower position) while the first constituent encoder is disabled.

The transmitted bits for trellis termination shall then be:

$$d_{K}^{(0)} = x_{K}, \ d_{K+1}^{(0)} = z_{K+1}, \ d_{K+2}^{(0)} = x'_{K}, \ d_{K+3}^{(0)} = z'_{K+1}$$
$$d_{K}^{(1)} = z_{K}, \ d_{K+1}^{(1)} = x_{K+2}, \ d_{K+2}^{(1)} = z'_{K}, \ d_{K+3}^{(1)} = x'_{K+2}$$
$$d_{K}^{(2)} = x_{K+1}, \ d_{K+1}^{(2)} = z_{K+2}, \ d_{K+2}^{(2)} = x'_{K+1}, \ d_{K+3}^{(2)} = z'_{K+2}$$

## 5.1.3.2.3 Turbo code internal interleaver

The bits input to the turbo code internal interleaver are denoted by  $c_0, c_1, ..., c_{K-1}$ , where K is the number of input bits. The bits output from the turbo code internal interleaver are denoted by  $c'_0, c'_1, ..., c'_{K-1}$ .

The relationship between the input and output bits is as follows:

 $c'_i = c_{\Pi(i)}, i=0, 1, \dots, (K-1)$ 

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where the relationship between the output index *i* and the input index  $\Pi(i)$  satisfies the following quadratic form:

$$\Pi(i) = \left(f_1 \cdot i + f_2 \cdot i^2\right) \mod K$$

The parameters  $f_1$  and  $f_2$  depend on the block size K and are summarized in Table 5.1.3-3.

Table 5.1.3-3: Turbo code internal interleaver parameters

$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	i	κ	$f_1$	$f_2$	i	κ	$f_1$	$f_2$	i	К	$f_1$	$f_2$	i	κ	$f_1$	$f_2$
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	1	40	3	10	48	416	25	52	95	1120	67	140	142	3200	111	240
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	2	48		12	49	424				1152	35	72	143	3264	443	204
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	-	56	19	42	50	432	47		97			74			51	104
	4	64	7	16		440	91		98			76				
68011205345629114100128019924014735202572207885522544642475810113122182148358457336896112455472291181021344211252149364831322891047265648089180103137621861503712271232101124184574889112210414084388151377617923611120103905849615762105144014960152384033112012128153259504558410614724592153390436324414147108615281766108153671481554032127168151529386254435681091568132815640321271681616021120635602274201101600178015741603313017168164457666596111 </td <td>5</td> <td>72</td> <td>7</td> <td>18</td> <td>52</td> <td>448</td> <td>29</td> <td>168</td> <td>99</td> <td></td> <td></td> <td>78</td> <td>146</td> <td></td> <td>451</td> <td></td>	5	72	7	18	52	448	29	168	99			78	146		451	
	6	80	11	20	53	456	29		100	1280	199	240		3520	257	220
8         96         11         24         55         472         29         118         102         1344         211         252         149         3648         313         228           9         104         7         26         56         480         89         102         104         43         86         150         3712         271         232           11         120         103         90         58         496         157         62         105         1440         149         60         152         3840         331         120           12         128         15         32         59         504         55         84         106         1672         45         92         153         3904         363         248           14         144         17         108         61         524         31         64         107         1504         49         446         154         33         130           17         108         152         9         38         62         544         107         110         162         440         33         134           141         144	7	88	5	22	54	464	247	58	101	1312	21	82	148	3584	57	336
	8	96	11	24	55	472	29	118	102	1344	211	252	149	3648	313	
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	9	104	7	26	56	480	89	180	103	1376	21	86	150	3712	271	232
121281532595045584106147245921533904363244131369346051231641071504498461543968375248141441710861528176610815367148155403212716815152938625443568109156813281564096316416100211206356022742011016001780157416033130171681018464576659611116322510215842244326418176214465592197411216641831041594288331341918457466660837761131696559541604352477408201922348676244123411417281279616144163513821200135068640398011517602711016244803348022208275269666185 <td>10</td> <td>112</td> <td>41</td> <td>84</td> <td>57</td> <td>488</td> <td>91</td> <td>122</td> <td>104</td> <td>1408</td> <td>43</td> <td>88</td> <td>151</td> <td>3776</td> <td>179</td> <td>236</td>	10	112	41	84	57	488	91	122	104	1408	43	88	151	3776	179	236
13136934605123164107150449846154396837524814144171086152817661081536714815540321271681515293862544356810915681328156409631641616021120635602274201101600178015741603313017168101846457665961111632251021584224432641817621446559219741121664183104159428833134191845746666083776113169655954160435247740820192234867624412341141728127961614416351382120013506864039801151760271101624480233280222082752671688211617922911416446083374802321611367067243252 </td <td>11</td> <td>120</td> <td>103</td> <td>90</td> <td>58</td> <td>496</td> <td>157</td> <td>62</td> <td>105</td> <td>1440</td> <td>149</td> <td>60</td> <td>152</td> <td>3840</td> <td>331</td> <td>120</td>	11	120	103	90	58	496	157	62	105	1440	149	60	152	3840	331	120
141441710861528176610815367148155403212716815152938625443568109156813281564096316416100211206356022742011016001780157416033130171681018464576659611116322510215842244326418176214465592197411216641831041594288331341918457466660837761131696559541604352477408201922348676244123411417281279616144163513821200135068640398011517602711016244802332802220827567168821861181856571161654672371462321611367067243252117182429114164460837142242427567168821 <td>12</td> <td>128</td> <td>15</td> <td>32</td> <td>59</td> <td>504</td> <td>55</td> <td>84</td> <td>106</td> <td>1472</td> <td>45</td> <td>92</td> <td>153</td> <td>3904</td> <td>363</td> <td>244</td>	12	128	15	32	59	504	55	84	106	1472	45	92	153	3904	363	244
1515293862544356810915681328156409631641616021120635602274201101600178015741603313017168101846457665961111632251021584224432641817621446559219741121664183104159428833134191845746666083776113169655954160435247740820192234867624412341141728127961614416351382120013506864039801151760271101624480233280222082752696561858211617922911416446083374802422427567168821861181856571161654672371462523285587270415544119188845354166473671444264029607372079 <td>13</td> <td>136</td> <td>9</td> <td>34</td> <td>60</td> <td>512</td> <td>31</td> <td>64</td> <td>107</td> <td>1504</td> <td>49</td> <td>846</td> <td>154</td> <td>3968</td> <td>375</td> <td>248</td>	13	136	9	34	60	512	31	64	107	1504	49	846	154	3968	375	248
16160211206356022742011016001780157416033130171681018464576659611116322510215842244326418176214465592197411216641831041594288331341918457466660837761131696559541604352477408201922348676244123411417281279616144163513821200135068640398011517602711016244802332802220827526965618582116179229112163454435714223216113670672432521171824291141644608337480242242756716882186118186657116165467237146252328558727041554411918884535416647367144426240296073720 <td< td=""><td>14</td><td>144</td><td>17</td><td>108</td><td>61</td><td>528</td><td>17</td><td>66</td><td>108</td><td>1536</td><td>71</td><td>48</td><td>155</td><td>4032</td><td>127</td><td>168</td></td<>	14	144	17	108	61	528	17	66	108	1536	71	48	155	4032	127	168
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	15	152		38	62	544	35	68	109		13	28		4096		64
1817621446559219741121664183104159428833134191845746666083776113169655954160435247740820192234867624412341141728127961614416351382120013506864039801151760271101624480233280222082752696561858211617922911216345443571422321611367067243252117182429114164460833748024224275671688218611818565711616546723714625232855872704155441191884535416647367144426240296073720791201201920311201674800711202724833627473613992121195259610168486437152282661532757522	16	160	21	120	63	560	227	420	110	1600	17	80	157	4160	33	130
19184574666608377611316965595416043524774082019223486762441234114172812796161441635138212001350686403980115176027110162448023328022208275269656185821161792291121634544357142232161136706724325211718242911416446083374802422427567168821861181856571161654672371462523285587270415544119188845354166473671444262402960737207912012019203112016748007112027248336274736139921211952596101684864371522825615327575223941221984185124169492839462292641719876768 <td< td=""><td></td><td>168</td><td></td><td>84</td><td></td><td></td><td>65</td><td>96</td><td>111</td><td>1632</td><td>25</td><td>102</td><td></td><td>4224</td><td></td><td>264</td></td<>		168		84			65	96	111	1632	25	102		4224		264
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	-			44	65	592		74		1664	183	104			33	134
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$				46	66					1696	55			4352		
$\begin{array}{cccccccccccccccccccccccccccccccccccc$																
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$\begin{array}{cccccccccccccccccccccccccccccccccccc$	-															
26 $240$ $29$ $60$ $73$ $720$ $79$ $120$ $120$ $1920$ $31$ $120$ $167$ $4800$ $71$ $120$ $27$ $248$ $33$ $62$ $74$ $736$ $139$ $92$ $121$ $1952$ $59$ $610$ $168$ $4864$ $37$ $152$ $28$ $256$ $15$ $32$ $75$ $752$ $23$ $94$ $122$ $1984$ $185$ $124$ $169$ $4928$ $39$ $462$ $29$ $264$ $17$ $198$ $76$ $768$ $217$ $48$ $123$ $2016$ $113$ $420$ $170$ $4992$ $127$ $234$ $30$ $272$ $33$ $68$ $77$ $784$ $25$ $98$ $124$ $2048$ $31$ $64$ $171$ $5056$ $39$ $158$ $31$ $280$ $103$ $210$ $78$ $800$ $17$ $80$ $125$ $2112$ $17$ $66$ $172$ $5120$ $39$ $80$ $32$ $288$ $19$ $36$ $79$ $816$ $127$ $102$ $126$ $2176$ $171$ $136$ $173$ $5184$ $31$ $96$ $33$ $296$ $19$ $74$ $80$ $832$ $25$ $52$ $127$ $2240$ $209$ $420$ $174$ $5248$ $113$ $902$ $34$ $304$ $37$ $76$ $81$ $848$ $239$ $106$ $128$ $2304$ $253$ $216$ $177$ $5440$ $43$																
27       248       33       62       74       736       139       92       121       1952       59       610       168       4864       37       152         28       256       15       32       75       752       23       94       122       1984       185       124       169       4928       39       462         29       264       17       198       76       768       217       48       123       2016       113       420       170       4992       127       234         30       272       33       68       77       784       25       98       124       2048       31       64       171       5056       39       158         31       280       103       210       78       800       17       80       125       2112       17       66       172       5120       39       80         32       286       19       74       80       832       25       52       127       2240       209       420       174       5248       113       902         34       304       37       76       81       848	-															
28       256       15       32       75       752       23       94       122       1984       185       124       169       4928       39       462         29       264       17       198       76       768       217       48       123       2016       113       420       170       4992       127       234         30       272       33       68       77       784       25       98       124       2048       31       64       171       5056       39       158         31       280       103       210       78       800       17       80       125       2112       17       66       172       5120       39       80         32       288       19       36       79       816       127       102       126       2176       171       136       173       5184       31       90         33       296       19       74       80       832       25       52       127       2240       209       420       174       5248       113       902         34       304       37       76       81       848																
29       264       17       198       76       768       217       48       123       2016       113       420       170       4992       127       234         30       272       33       68       77       784       25       98       124       2048       31       64       171       5056       39       158         31       280       103       210       78       800       17       80       125       2112       17       66       172       5120       39       80         32       288       19       36       79       816       127       102       126       2176       171       136       173       5184       31       96         33       296       19       74       80       832       25       52       127       2240       209       420       174       5248       113       902         34       304       37       76       81       848       239       106       128       2304       253       216       175       5312       41       166         35       312       19       78       82       864																
30       272       33       68       77       784       25       98       124       2048       31       64       171       5056       39       158         31       280       103       210       78       800       17       80       125       2112       17       66       172       5120       39       80         32       288       19       36       79       816       127       102       126       2176       171       136       173       5184       31       96         33       296       19       74       80       832       25       52       127       2240       209       420       174       5248       113       902         34       304       37       76       81       848       239       106       128       2304       253       216       175       5312       41       166         35       312       19       78       82       864       17       48       129       2368       367       444       176       5376       251       336         36       320       21       82       84       896																
31       280       103       210       78       800       17       80       125       2112       17       66       172       5120       39       80         32       288       19       36       79       816       127       102       126       2176       171       136       173       5184       31       96         33       296       19       74       80       832       25       52       127       2240       209       420       174       5248       113       902         34       304       37       76       81       848       239       106       128       2304       253       216       175       5312       41       166         35       312       19       78       82       864       17       48       129       2368       367       444       176       5376       251       336         36       320       21       120       83       880       137       110       130       2432       265       456       177       5440       43       170         37       328       21       82       84       896																
32       288       19       36       79       816       127       102       126       2176       171       136       173       5184       31       96         33       296       19       74       80       832       25       52       127       2240       209       420       174       5248       113       902         34       304       37       76       81       848       239       106       128       2304       253       216       175       5312       41       166         35       312       19       78       82       864       17       48       129       2368       367       444       176       5376       251       336         36       320       21       120       83       880       137       110       130       2432       265       456       177       5440       43       170         37       328       21       82       84       896       215       112       131       2496       181       468       178       5504       21       86         38       336       115       84       85       912 <td></td>																
33       296       19       74       80       832       25       52       127       2240       209       420       174       5248       113       902         34       304       37       76       81       848       239       106       128       2304       253       216       175       5312       41       166         35       312       19       78       82       864       17       48       129       2368       367       444       176       5376       251       336         36       320       21       120       83       880       137       110       130       2432       265       456       177       5440       43       170         37       328       21       82       84       896       215       112       131       2496       181       468       178       5504       21       86         38       336       115       84       85       912       29       114       132       2560       39       80       179       5568       43       174         39       344       193       86       86       928 <td>-</td> <td></td>	-															
34       304       37       76       81       848       239       106       128       2304       253       216       175       5312       41       166         35       312       19       78       82       864       17       48       129       2368       367       444       176       5376       251       336         36       320       21       120       83       880       137       110       130       2432       265       456       177       5440       43       170         37       328       21       82       84       896       215       112       131       2496       181       468       178       5504       21       86         38       336       115       84       85       912       29       114       132       2560       39       80       179       5568       43       174         39       344       193       86       86       928       15       58       133       2624       27       164       180       5632       45       176         40       352       21       44       87       944																
35       312       19       78       82       864       17       48       129       2368       367       444       176       5376       251       336         36       320       21       120       83       880       137       110       130       2432       265       456       177       5440       43       170         37       328       21       82       84       896       215       112       131       2496       181       468       178       5504       21       86         38       336       115       84       85       912       29       114       132       2560       39       80       179       5568       43       174         39       344       193       86       86       928       15       58       133       2624       27       164       180       5632       45       176         40       352       21       44       87       944       147       118       134       2688       127       504       181       5696       45       178         41       360       133       90       88       960	-															
36         320         21         120         83         880         137         110         130         2432         265         456         177         5440         43         170           37         328         21         82         84         896         215         112         131         2496         181         468         178         5504         21         86           38         336         115         84         85         912         29         114         132         2560         39         80         179         5568         43         174           39         344         193         86         86         928         15         58         133         2624         27         164         180         5632         45         176           40         352         21         44         87         944         147         118         134         2688         127         504         181         5696         45         178           41         360         133         90         88         960         29         60         135         2752         143         172         182 <t< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></t<>																
37       328       21       82       84       896       215       112       131       2496       181       468       178       5504       21       86         38       336       115       84       85       912       29       114       132       2560       39       80       179       5568       43       174         39       344       193       86       86       928       15       58       133       2624       27       164       180       5632       45       176         40       352       21       44       87       944       147       118       134       2688       127       504       181       5696       45       178         41       360       133       90       88       960       29       60       135       2752       143       172       182       5760       161       120         42       368       81       46       89       976       59       122       136       2816       43       88       183       5824       89       182         43       376       45       94       90       992	-															
38       336       115       84       85       912       29       114       132       2560       39       80       179       5568       43       174         39       344       193       86       86       928       15       58       133       2624       27       164       180       5632       45       176         40       352       21       44       87       944       147       118       134       2688       127       504       181       5696       45       178         41       360       133       90       88       960       29       60       135       2752       143       172       182       5760       161       120         42       368       81       46       89       976       59       122       136       2816       43       88       183       5824       89       182         43       376       45       94       90       992       65       124       137       2880       29       300       184       5888       323       184         44       384       23       48       91       1008	-															
39       344       193       86       86       928       15       58       133       2624       27       164       180       5632       45       176         40       352       21       44       87       944       147       118       134       2688       127       504       181       5696       45       178         41       360       133       90       88       960       29       60       135       2752       143       172       182       5760       161       120         42       368       81       46       89       976       59       122       136       2816       43       88       183       5824       89       182         43       376       45       94       90       992       65       124       137       2880       29       300       184       5888       323       184         44       384       23       48       91       1008       55       84       138       2944       45       92       185       5952       47       186         45       392       243       98       92       1024	-															
40352214487944147118134268812750418156964517841360133908896029601352752143172182576016112042368814689976591221362816438818358248918243376459490992651241372880293001845888323184443842348911008558413829444592185595247186453922439892102431641393008157188186601623944640015140931056176614030724796187608047190																
41360133908896029601352752143172182576016112042368814689976591221362816438818358248918243376459490992651241372880293001845888323184443842348911008558413829444592185595247186453922439892102431641393008157188186601623944640015140931056176614030724796187608047190																
42368814689976591221362816438818358248918243376459490992651241372880293001845888323184443842348911008558413829444592185595247186453922439892102431641393008157188186601623944640015140931056176614030724796187608047190																
43376459490992651241372880293001845888323184443842348911008558413829444592185595247186453922439892102431641393008157188186601623944640015140931056176614030724796187608047190																
44       384       23       48       91       1008       55       84       138       2944       45       92       185       5952       47       186         45       392       243       98       92       1024       31       64       139       3008       157       188       186       6016       23       94         46       400       151       40       93       1056       17       66       140       3072       47       96       187       6080       47       190																
45         392         243         98         92         1024         31         64         139         3008         157         188         186         6016         23         94           46         400         151         40         93         1056         17         66         140         3072         47         96         187         6080         47         190																
46 400 151 40 93 1056 17 66 140 3072 47 96 187 6080 47 190																
	47	408	155	102	94	1088	171	204	141	3136	13	28	188	6144	263	480

### 5.1.4 Rate matching

### 5.1.4.1 Rate matching for turbo coded transport channels

The rate matching for turbo coded transport channels is defined per coded block and consists of interleaving the three information bit streams  $d_k^{(0)}$ ,  $d_k^{(1)}$  and  $d_k^{(2)}$ , followed by the collection of bits and the generation of a circular buffer as depicted in Figure 5.1.4-1. The output bits for each code block are transmitted as described in subclause 5.1.4.1.2.

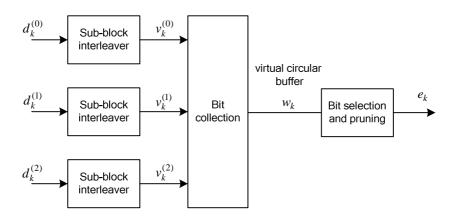


Figure 5.1.4-1. Rate matching for turbo coded transport channels

The bit stream  $d_k^{(0)}$  is interleaved according to the sub-block interleaver defined in subclause 5.1.4.1.1 with an output sequence defined as  $v_0^{(0)}, v_1^{(0)}, v_2^{(0)}, ..., v_{K_{\Pi}-1}^{(0)}$  and where  $K_{\Pi}$  is defined in subclause 5.1.4.1.1.

The bit stream  $d_k^{(1)}$  is interleaved according to the sub-block interleaver defined in subclause 5.1.4.1.1 with an output sequence defined as  $v_0^{(1)}, v_1^{(1)}, v_2^{(1)}, ..., v_{K_{\Pi}-1}^{(1)}$ .

The bit stream  $d_k^{(2)}$  is interleaved according to the sub-block interleaver defined in subclause 5.1.4.1.1 with an output sequence defined as  $v_0^{(2)}, v_1^{(2)}, v_2^{(2)}, ..., v_{K_{\Pi}-1}^{(2)}$ .

The sequence of bits  $e_k$  for transmission is generated according to subclause 5.1.4.1.2.

### 5.1.4.1.1 Sub-block interleaver

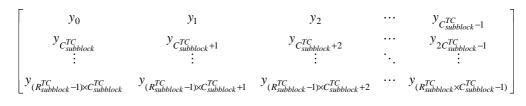
The bits input to the block interleaver are denoted by  $d_0^{(i)}, d_1^{(i)}, d_2^{(i)}, \dots, d_{D-1}^{(i)}$ , where *D* is the number of bits. The output bit sequence from the block interleaver is derived as follows:

- (1) Assign  $C_{subblock}^{TC} = 32$  to be the number of columns of the matrix. The columns of the matrix are numbered 0, 1, 2,...,  $C_{subblock}^{TC} = -1$  from left to right.
- (2) Determine the number of rows of the matrix  $R_{subblock}^{TC}$ , by finding minimum integer  $R_{subblock}^{TC}$  such that:

$$D \leq \left( R_{subblock}^{TC} \times C_{subblock}^{TC} \right)$$

The rows of rectangular matrix are numbered 0, 1, 2,...,  $R_{subblock}^{TC}$  –1 from top to bottom.

(3) If  $\left(R_{subblock}^{TC} \times C_{subblock}^{TC}\right) > D$ , then  $N_D = \left(R_{subblock}^{TC} \times C_{subblock}^{TC} - D\right)$  dummy bits are padded such that  $y_k = \langle NULL \rangle$ for  $k = 0, 1, ..., N_D - 1$ . Then,  $y_{N_D+k} = d_k^{(i)}$ , k = 0, 1, ..., D-1, and the bit sequence  $y_k$  is written into the  $\left(R_{subblock}^{TC} \times C_{subblock}^{TC}\right)$  matrix row by row starting with bit  $y_0$  in column 0 of row 0:



For  $d_k^{(0)}$  and  $d_k^{(1)}$ :

(4) Perform the inter-column permutation for the matrix based on the pattern  $\langle P(j) \rangle_{j \in [0,1,\dots,C_{subblock}^{TC}-1]}$  that is shown in table 5.1.4-1, where P(*j*) is the original column position of the *j*-th permuted column. After permutation of the columns, the inter-column permuted  $\left(R_{subblock}^{TC} \times C_{subblock}^{TC}\right)$  matrix is equal to

$$\begin{bmatrix} y_{P(0)} & y_{P(1)} & y_{P(2)} & \cdots & y_{P(C_{subblock}^{TC}-1)} \\ y_{P(0)+C_{subblock}}^{TC} & y_{P(1)+C_{subblock}}^{TC} & y_{P(2)+C_{subblock}^{TC}} & \cdots & y_{P(C_{subblock}^{TC}-1)+C_{subblock}^{TC}} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ y_{P(0)+(R_{subblock}^{TC}-1)\times C_{subblock}^{TC}} & y_{P(1)+(R_{subblock}^{TC}-1)\times C_{subblock}^{TC}} & y_{P(2)+(R_{subblock}^{TC}-1)\times C_{subblock}^{TC}} & \cdots & y_{P(C_{subblock}^{TC}-1)+(R_{subblock}^{TC}-1)\times C_{subblock}^{TC}} \end{bmatrix}$$

(5) The output of the block interleaver is the bit sequence read out column by column from the inter-column permuted  $\left(R_{subblock}^{TC} \times C_{subblock}^{TC}\right)$  matrix. The bits after sub-block interleaving are denoted by  $v_0^{(i)}, v_1^{(i)}, v_2^{(i)}, \dots, v_{K_{\Pi}-1}^{(i)}$ , where  $v_0^{(i)}$  corresponds to  $y_{P(0)}, v_1^{(i)}$  to  $y_{P(0)+C_{subblock}^{TC}}$  ... and  $K_{\Pi} = \left(R_{subblock}^{TC} \times C_{subblock}^{TC}\right)$ .

For  $d_k^{(2)}$ :

(4) The output of the sub-block interleaver is denoted by  $v_0^{(2)}, v_1^{(2)}, v_2^{(2)}, ..., v_{K_{\Pi}}^{(2)}$ , where  $v_k^{(2)} = y_{\pi(k)}$  and where

$$\pi(k) = \left( P\left( \left\lfloor \frac{k}{R_{subblock}^{TC}} \right\rfloor \right) + C_{subblock}^{TC} \times \left( k \mod R_{subblock}^{TC} \right) + 1 \right) \mod K_{\Pi}$$

The permutation function *P* is defined in Table 5.1.4-1.

#### Table 5.1.4-1 Inter-column permutation pattern for sub-block interleaver

Number of columns	Inter-column permutation pattern
$C^{TC}_{subblock}$	$< P(0), P(1),, P(C_{subblock}^{TC} - 1) >$
32	< 0, 16, 8, 24, 4, 20, 12, 28, 2, 18, 10, 26, 6, 22, 14, 30, 1, 17, 9, 25, 5, 21, 13, 29, 3, 19, 11, 27, 7, 23, 15, 31 >

#### 5.1.4.1.2 Bit collection, selection and transmission

The circular buffer of length  $K_w = 3K_{\Pi}$  for the *r*-th coded block is generated as follows:

$$w_{k} = v_{k}^{(0)} \text{ for } k = 0, \dots, K_{\Pi} - 1$$
$$w_{K_{\Pi} + 2k} = v_{k}^{(1)} \text{ for } k = 0, \dots, K_{\Pi} - 1$$
$$w_{K_{\Pi} + 2k+1} = v_{k}^{(2)} \text{ for } k = 0, \dots, K_{\Pi} - 1$$

Denote the soft buffer size for the transport block by  $N_{\text{IR}}$  bits and the soft buffer size for the *r*-th code block by  $N_{cb}$  bits. The size  $N_{cb}$  is obtained as follows, where *C* is the number of code blocks computed in subclause 5.1.2:

- 
$$N_{cb} = \min\left(\left\lfloor \frac{N_{IR}}{C} \right\rfloor, K_w\right)$$
 for DL-SCH and PCH transport channels

- 
$$N_{cb} = K_w$$
 for UL-SCH, MCH, SL-SCH and SL-DCH transport channels

For UE category 0, for DL-SCH associated with SI-RNTI and RA-RNTI and PCH transport channel,  $N_{cb}$  is always equal to  $K_w$ ,

where  $N_{\rm IR}$  is equal to:

$$N_{IR} = \left[ \frac{N_{soft}}{K_C \cdot K_{\text{MIMO}} \cdot \min(M_{\text{DL}_{\text{HARQ}}}, M_{\text{limit}})} \right]$$

where:

If the UE signals ue-CategoryDL-v14xy indicating UE category M2, N<sub>soft</sub> is the total number of soft channel bits according to the UE category indicated by ue-CategoryDL-v14xy. Otherwise, if the UE signals ue-CategoryDL-v1310 indicating UE category M1, N<sub>soft</sub> is the total number of soft channel bits according to the UE category indicated by ue-CategoryDL-v1310. Otherwise, if the UE signals ue-CategoryDL-v13xy, and is configured with transmission mode 9 or transmission mode 10 and the higher layer parameter maxLayers MIMO-r10 is configured to eightLayers,  $N_{\text{soft}}$  is the total number of soft channel bits according to the UE category indicated by ue-CategoryDL-v13xy. Otherwise, if the UE signals ue-CategoryDL-r12 indicating UE category 0, or if the UE signals ue-CategoryDL-r12 indicating UE category 14 and is configured by higher layers with *altCQI-Table-r12* for the DL cell,  $N_{\text{soft}}$  is the total number of soft channel bits according to the UE category indicated by ue-CategoryDL-r12. Otherwise, if the UE signals ue-Category-v11a0, and is configured by higher layers with *altCOI-Table-r12* for the DL cell, N<sub>soft</sub> is the total number of soft channel bits according to the UE category indicated by ue-Category-v11a0. Otherwise, if the UE signals ue-Category-v1020, and is configured with transmission mode 9 or transmission mode 10, or is configured with transmission mode 3 or transmission mode 4 and the higher layer parameter maxLayersMIMO-r10 is configured to fourLayers, for the DL cell,  $N_{\text{soft}}$  is the total number of soft channel bits [4] according to the UE category indicated by *ue-Category-v1020* [6]. Otherwise, N<sub>soft</sub> is the total number of soft channel bits [4] according to the UE category indicated by ue-Category (without suffix) [6].

If  $N_{\text{soft}} = 35982720$  or 47431680,

$$K_{C} = 5$$

elseif  $N_{\rm soft} = 303562752$ ,

$$K_{C} = 32,$$

elseif  $N_{\rm soft} = 14616576$ ,

if the UE is configured by higher layers with *altCQI-Table-r12*,

 $K_C = 3/2$ 

else

$$K_C = 2$$

end if.

elseif  $N_{\rm soft} = 19488768$ ,

if the UE is configured by higher layers with *altCQI-Table-r12*,

 $K_C = 2$ 

else

 $K_C = 8/3$ 

end if.

elseif  $N_{\text{soft}} = 7308288$  and the UE is configured by higher layers with *altCQI-Table-r12*,

if the UE is capable of supporting no more than a maximum of two spatial layers for the DL cell in the transmission mode configured for the UE, or if the configured maximum number of layers indicated by the *maxLayersMIMO-r10* field is no more than two,

 $K_{C} = 3$ 

else

$$K_C = 3/2$$

end if.

elseif  $N_{\text{soft}} = 3654144$  and the UE is capable of supporting no more than a maximum of two spatial layers for the DL cell, or if the configured maximum number of layers indicated by the *maxLayersMIMO-r10* field is no more than two,

 $K_{C} = 2$ 

 $K_{C} = 1$ 

End if.

 $K_{\text{MIMO}}$  is equal to 2 if the UE is configured to receive PDSCH transmissions based on transmission modes 3, 4, 8, 9 or 10 as defined in subclause 7.1 of [3], and is equal to 1 otherwise. For BL/CE UE  $K_{\text{MIMO}}$  is equal to 1.

 $M_{DL_{HARQ}}$  is the maximum number of DL HARQ processes as defined in clause 7 of [3].

 $M_{\text{limit}}$  is a constant equal to 8.

Denoting by *E* the rate matching output sequence length for the *r*-th coded block, and  $rv_{idx}$  the redundancy version number for this transmission ( $rv_{idx} = 0, 1, 2 \text{ or } 3$ ), the rate matching output bit sequence is  $e_k$ , k = 0, 1, ..., E - 1.

Define by G the total number of bits available for the transmission of one transport block.

Set  $G' = G/(N_L \cdot Q_m)$  where  $Q_m$  is equal to 2 for QPSK, 4 for 16QAM, 6 for 64QAM and 8 for 256QAM, and where

- For transmit diversity:
  - $N_L$  is equal to 2,
- Otherwise:
  - $N_L$  is equal to the number of layers a transport block is mapped onto

Set  $\gamma = G' \mod C$ , where C is the number of code blocks computed in subclause 5.1.2.

if  $r \leq C - \gamma - 1$ 

set 
$$E = N_L \cdot Q_m \cdot \lfloor G' / C \rfloor$$

else

set 
$$E = N_L \cdot Q_m \cdot \left[ G' / C \right]$$

end if

Set 
$$k_0 = R_{subblock}^{TC} \cdot \left( 2 \cdot \left[ \frac{N_{cb}}{8R_{subblock}^{TC}} \right] \cdot rv_{idx} + 2 \right)$$
, where  $R_{subblock}^{TC}$  is the number of rows defined in subclause 5.1.4.1.1.

Set k = 0 and j = 0

while  $\{k < E\}$ 

if  $w_{(k_0+j) \mod N_{cb}} \neq < NULL >$ 

$$e_k = w_{(k_0+j) \mod N_{ck}}$$

k = k + 1

end if

$$j = j + 1$$

end while

## 5.1.4.2 Rate matching for convolutionally coded transport channels and control information

The rate matching for convolutionally coded transport channels and control information consists of interleaving the three bit streams,  $d_k^{(0)}$ ,  $d_k^{(1)}$  and  $d_k^{(2)}$ , followed by the collection of bits and the generation of a circular buffer as depicted in Figure 5.1.4-2. The output bits are transmitted as described in subclause 5.1.4.2.2.

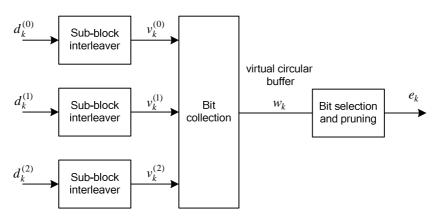


Figure 5.1.4-2. Rate matching for convolutionally coded transport channels and control information

The bit stream  $d_k^{(0)}$  is interleaved according to the sub-block interleaver defined in subclause 5.1.4.2.1 with an output sequence defined as  $v_0^{(0)}, v_1^{(0)}, v_2^{(0)}, ..., v_{K_{\Pi}-1}^{(0)}$  and where  $K_{\Pi}$  is defined in subclause 5.1.4.2.1.

The bit stream  $d_k^{(1)}$  is interleaved according to the sub-block interleaver defined in subclause 5.1.4.2.1 with an output sequence defined as  $v_0^{(1)}, v_1^{(1)}, v_2^{(1)}, ..., v_{K_{\Pi}-1}^{(1)}$ .

The bit stream  $d_k^{(2)}$  is interleaved according to the sub-block interleaver defined in subclause 5.1.4.2.1 with an output sequence defined as  $v_0^{(2)}, v_1^{(2)}, v_2^{(2)}, ..., v_{K_{\Pi}-1}^{(2)}$ .

The sequence of bits  $e_k$  for transmission is generated according to subclause 5.1.4.2.2.

#### 5.1.4.2.1 Sub-block interleaver

The bits input to the block interleaver are denoted by  $d_0^{(i)}, d_1^{(i)}, d_2^{(i)}, \dots, d_{D-1}^{(i)}$ , where *D* is the number of bits. The output bit sequence from the block interleaver is derived as follows:

- (1) Assign  $C_{subblock}^{CC}$  = 32 to be the number of columns of the matrix. The columns of the matrix are numbered 0, 1, 2,...,  $C_{subblock}^{CC}$  –1 from left to right.
- (2) Determine the number of rows of the matrix  $R_{subblock}^{CC}$ , by finding minimum integer  $R_{subblock}^{CC}$  such that:

$$D \leq \left( R_{subblock}^{CC} \times C_{subblock}^{CC} \right)$$

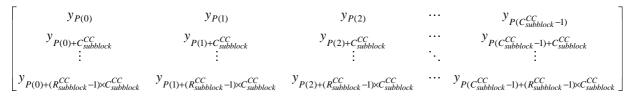
The rows of rectangular matrix are numbered 0, 1, 2,...,  $R_{subblock}^{CC}$  -1 from top to bottom.

(3) If  $\left(R_{subblock}^{CC} \times C_{subblock}^{CC}\right) > D$ , then  $N_D = \left(R_{subblock}^{CC} \times C_{subblock}^{CC} - D\right)$  dummy bits are padded such that  $y_k = \langle NULL \rangle$ for  $k = 0, 1, ..., N_D - 1$ . Then,  $y_{N_D+k} = d_k^{(i)}$ , k = 0, 1, ..., D-1, and the bit sequence  $y_k$  is written into the  $\left(R_{subblock}^{CC} \times C_{subblock}^{CC}\right)$  matrix row by row starting with bit  $y_0$  in column 0 of row 0:

$$\begin{bmatrix} y_0 & y_1 & y_2 & \cdots & y_{C_{subblock}^{CC}-1} \\ y_{C_{subblock}^{CC}} & y_{C_{subblock}^{CC}+1} & y_{C_{subblock}^{CC}+2} & \cdots & y_{2C_{subblock}^{CC}-1} \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ y_{(R_{subblock}^{CC}-1) \times C_{subblock}^{CC}} & y_{(R_{subblock}^{CC}-1) \times C_{subblock}^{CC}+1} & y_{(R_{subblock}^{CC}-1) \times C_{subblock}^{CC}+2} & \cdots & y_{(R_{subblock}^{CC} \times C_{subblock}^{CC}-1)} \end{bmatrix}$$

(4) Perform the inter-column permutation for the matrix based on the pattern  $\langle P(j) \rangle_{j \in [0,1,\dots,C_{subblock}^{CC}-1]}$  that is shown in

table 5.1.4-2, where P(*j*) is the original column position of the *j*-th permuted column. After permutation of the columns, the inter-column permuted  $\left(R_{subblock}^{CC} \times C_{subblock}^{CC}\right)$  matrix is equal to



(5) The output of the block interleaver is the bit sequence read out column by column from the inter-column permuted  $\left(R_{subblock}^{CC} \times C_{subblock}^{CC}\right)$  matrix. The bits after sub-block interleaving are denoted by  $v_0^{(i)}, v_1^{(i)}, v_2^{(i)}, \dots, v_{K_{\Pi}-1}^{(i)}$ , where  $v_0^{(i)}$  corresponds to  $y_{P(0)}, v_1^{(i)}$  to  $y_{P(0)+C_{subblock}^{CC}}$  ... and  $K_{\Pi} = \left(R_{subblock}^{CC} \times C_{subblock}^{CC}\right)$ 

Table 5.1.4-2 Inter-column permutation pattern for sub-block interleaver

Number of columns	Inter-column permutation pattern
$C^{CC}_{subblock}$	$< P(0), P(1),, P(C_{subblock}^{CC} - 1) >$
32	< 1, 17, 9, 25, 5, 21, 13, 29, 3, 19, 11, 27, 7, 23, 15, 31, 0, 16, 8, 24, 4, 20, 12, 28, 2, 18, 10, 26, 6, 22, 14, 30 >

This block interleaver is also used in interleaving PDCCH modulation symbols. In that case, the input bit sequence consists of PDCCH symbol quadruplets [2].

5.1.4.2.2 Bit collection, selection and transmission

The circular buffer of length  $K_w = 3K_{\Pi}$  is generated as follows:

$$w_k = v_k^{(0)}$$
 for  $k = 0, ..., K_{\Pi} - 1$ 

 $w_{K_{\Pi}+k} = v_k^{(1)}$  for  $k = 0, ..., K_{\Pi} - 1$ 

$$w_{2K_{\Pi}+k} = v_k^{(2)}$$
 for  $k = 0, ..., K_{\Pi} - 1$ 

Denoting by *E* the rate matching output sequence length, the rate matching output bit sequence is  $e_k$ , k = 0,1,..., E-1.

Set k = 0 and j = 0while  $\{k < E\}$ 

if  $w_{j \mod K_w} \neq < NULL >$ 

$$e_k = w_{j \mod K_w}$$

k = k + 1

end if

$$j = j + 1$$

end while

## 5.1.5 Code block concatenation

The input bit sequence for the code block concatenation block are the sequences  $e_{rk}$ , for r = 0,..., C-1 and  $k = 0,..., E_r - 1$ . The output bit sequence from the code block concatenation block is the sequence  $f_k$  for k = 0,..., G-1.

The code block concatenation consists of sequentially concatenating the rate matching outputs for the different code blocks. Therefore,

Set k = 0 and r = 0while r < CSet j = 0while  $j < E_r$   $f_k = e_{rj}$  k = k + 1 j = j + 1end while r = r + 1end while

## 5.2 Uplink transport channels and control information

If the UE is configured with a Master Cell Group (MCG) and Secondary Cell Group (SCG) [6], the procedures described in this clause are applied to the MCG and SCG, respectively. When the procedures are applied to a SCG, the term primary cell refers to the primary SCell (PSCell) of the SCG.

If the UE is configured with a PUCCH SCell [6], the procedures described in this clause are applied to the group of DL cells associated with the PUCCH SCell, respectively. When the procedures are applied to the group of DL cells associated with the PUCCH SCell, the term primary cell refers to the PUCCH SCell.

If the UE is configured with a LAA SCell, the procedures described in this clause are applied assuming the LAA SCell is an FDD SCell.

## 5.2.1 Random access channel

The sequence index for the random access channel is received from higher layers and is processed according to [2].

## 5.2.2 Uplink shared channel

Figure 5.2.2-1 shows the processing structure for the UL-SCH transport channel on one UL cell. Data arrives to the coding unit in the form of a maximum of two transport blocks every transmission time interval (TTI) per UL cell. The following coding steps can be identified for each transport block of an UL cell:

- Add CRC to the transport block
- Code block segmentation and code block CRC attachment
- Channel coding of data and control information
- Rate matching
- Code block concatenation
- Multiplexing of data and control information
- Channel interleaver

The coding steps for one UL-SCH transport block are shown in the figure below. The same general processing applies for each UL-SCH transport block on each UL cell with restrictions as specified in [3].

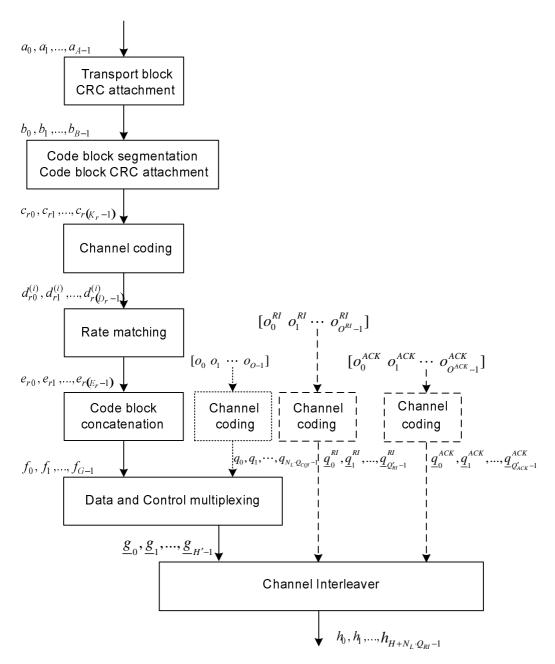


Figure 5.2.2-1: Transport block processing for UL-SCH

### 5.2.2.1 Transport block CRC attachment

Error detection is provided on each UL-SCH transport block through a Cyclic Redundancy Check (CRC).

The entire transport block is used to calculate the CRC parity bits. Denote the bits in a transport block delivered to layer 1 by  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , and the parity bits by  $p_0, p_1, p_2, p_3, ..., p_{L-1}$ . A is the size of the transport block and L is the number of parity bits. The lowest order information bit  $a_0$  is mapped to the most significant bit of the transport block as defined in subclause 6.1.1 of [5].

The parity bits are computed and attached to the UL-SCH transport block according to subclause 5.1.1 setting *L* to 24 bits and using the generator polynomial  $g_{CRC24A}(D)$ .

### 5.2.2.2 Code block segmentation and code block CRC attachment

The bits input to the code block segmentation are denoted by  $b_0, b_1, b_2, b_3, ..., b_{B-1}$  where *B* is the number of bits in the transport block (including CRC).

Code block segmentation and code block CRC attachment are performed according to subclause 5.1.2.

The bits after code block segmentation are denoted by  $c_{r0}$ ,  $c_{r1}$ ,  $c_{r2}$ ,  $c_{r3}$ ,...,  $c_{r(K_r-1)}$ , where *r* is the code block number and  $K_r$  is the number of bits for code block number *r*.

## 5.2.2.3 Channel coding of UL-SCH

Code blocks are delivered to the channel coding block. The bits in a code block are denoted by  $c_{r0}, c_{r1}, c_{r2}, c_{r3}, \dots, c_{r(K_r-1)}$ , where *r* is the code block number, and *K<sub>r</sub>* is the number of bits in code block number *r*. The total number of code blocks is denoted by *C* and each code block is individually turbo encoded according to subclause 5.1.3.2.

After encoding the bits are denoted by  $d_{r0}^{(i)}, d_{r1}^{(i)}, d_{r2}^{(i)}, d_{r3}^{(i)}, \dots, d_{r(D_r-1)}^{(i)}$ , with i = 0,1, and 2 and where  $D_r$  is the number of bits on the *i*-th coded stream for code block number r, i.e.  $D_r = K_r + 4$ .

### 5.2.2.4 Rate matching

Turbo coded blocks are delivered to the rate matching block. They are denoted by  $d_{r0}^{(i)}, d_{r1}^{(i)}, d_{r2}^{(i)}, d_{r3}^{(i)}, \dots, d_{r(D_r-1)}^{(i)}$ , with

i = 0,1, and 2, and where r is the code block number, i is the coded stream index, and  $D_r$  is the number of bits in each coded stream of code block number r. The total number of code blocks is denoted by C and each coded block is individually rate matched according to subclause 5.1.4.1.

After rate matching, the bits are denoted by  $e_{r0}$ ,  $e_{r1}$ ,  $e_{r2}$ ,  $e_{r3}$ , ...,  $e_{r(E_r-1)}$ , where *r* is the coded block number, and where  $E_r$  is the number of rate matched bits for code block number *r*.

### 5.2.2.5 Code block concatenation

The bits input to the code block concatenation block are denoted by  $e_{r0}$ ,  $e_{r1}$ ,  $e_{r2}$ ,  $e_{r3}$ , ...,  $e_{r(E_r-1)}$  for r = 0, ..., C - 1 and where  $E_r$  is the number of rate matched bits for the *r*-th code block.

Code block concatenation is performed according to subclause 5.1.5.

The bits after code block concatenation are denoted by  $f_0, f_1, f_2, f_3, ..., f_{G-1}$ , where G is the total number of coded bits for transmission of the given transport block over  $N_L$  transmission layers excluding the bits used for control transmission, when control information is multiplexed with the UL-SCH transmission.

### 5.2.2.6 Channel coding of control information

Control data arrives at the coding unit in the form of channel quality information (CQI and/or PMI), HARQ-ACK and rank indication, and CSI-RS resource indication (CRI). Different coding rates for the control information are achieved by allocating different number of coded symbols for its transmission. When control data are transmitted in the PUSCH, the channel coding for HARQ-ACK, rank indication (including RI only, joint report of RI/i1, joint report of CRI/RI, joint report of CRI/RI/i1, joint report of CRI/RI/i1, joint report of CRI/RI/PTI, joint report of RI/i1, point report of RI/i1, cRI and channel quality information  $o_0, o_1, o_2, ..., o_{O-1}$  is done independently. Parameters i1,1-1, i1,2-1, i1,1-2, i1,2-2, i1,p-2 correspond to parameters  $i_{1,1}, i_{1,2}, d_1, d_2$ , and  $I_p$  in subclause 7.2.4 of [3].

For the cases with TDD primary cell, the number of HARQ-ACK bits is determined as described in subclause 7.3 of [3].

When the UE transmits HARQ-ACK bits, rank indicator bits or CRI bits, it shall determine the number of coded modulation symbols per layer Q' for HARQ-ACK, rank indicator, or CRI bits as follows.

For the case when only one transport block is transmitted in the PUSCH conveying the HARQ-ACK bits, rank indicator bits or CRI bits:

$$Q' = \min\left(\left[\frac{O \cdot M_{sc}^{PUSCH-initial} \cdot N_{symb}^{PUSCH-initial} \cdot \beta_{offset}^{PUSCH}}{\sum_{r=0}^{C-1} K_r}\right], 4 \cdot M_{sc}^{PUSCH}\right)$$

where

- O is the number of HARQ-ACK bits, rank indicator bits or CRI bits, and
- $M_{\rm sc}^{\rm PUSCH}$  is the scheduled bandwidth for PUSCH transmission in the current sub-frame for the transport block, expressed as a number of subcarriers in [2], and
- $N_{\text{symb}}^{\text{PUSCH-initial}}$  is the number of SC-FDMA symbols per subframe for initial PUSCH transmission for the same transport block respectively, given by

transport block, respectively, given by  $N_{\text{symb}}^{PUSCH-initial} = \left(2 \cdot \left(N_{\text{symb}}^{\text{UL}} - 1\right) - N_{\text{SRS}} - N_{\text{start}}^{PUSCH-initial} - N_{\text{end}}^{PUSCH-initial}\right)$ , where

- $N_{SRS}$  is equal to 1
  - if UE configured with one UL cell is configured to send PUSCH and SRS in the same subframe for initial transmission, or
  - if UE transmits PUSCH and SRS in the same subframe in the same serving cell for initial transmission, or
  - if the PUSCH resource allocation for initial transmission even partially overlaps with the cell-specific SRS subframe and bandwidth configuration defined in subclause 5.5.3 of [2], or
  - if the subframe for initial transmission in the same serving cell is a UE-specific type-1 SRS subframe as defined in Subclause 8.2 of [3], or
  - if the subframe for initial transmission in the same serving cell is a UE-specific type-0 SRS subframe as defined in subclause 8.2 of [3] and the UE is configured with multiple TAGs.
- Otherwise  $N_{SRS}$  is equal to 0.
- $N_{\text{start}}^{PUSCH-initial}$  is equal to 1 when the UE configured for uplink transmission on a LAA SCell is indicated to transmit the PUSCH not starting from the beginning of the first symbol of the subframe for initial transmission, otherwise is equal to 0.
- $N_{\text{end}}^{PUSCH-initial}$  is equal to 1 when the UE configured for uplink transmission on a LAA SCell is indicated to transmit the PUSCH up to the second to last symbol of the subframe for initial transmission and  $N_{SRS}$  is equal to 0, otherwise is equal to 0.
- $M_{sc}^{PUSCH-initial}$ , C, and  $K_r$  are obtained from the initial PDCCH or EPDCCH or MPDCCH for the same transport block. If there is no initial PDCCH or EPDCCH with DCI format 0 or MPDCCH with DCI format 6-0A/6-0B for the same transport block,  $M_{sc}^{PUSCH-initial}$ , C, and  $K_r$  shall be determined from:
  - the most recent semi-persistent scheduling assignment PDCCH or EPDCCH or MPDCCH, when the initial PUSCH for the same transport block is semi-persistently scheduled, or,
  - the random access response grant for the same transport block, when the PUSCH is initiated by the random access response grant.

For the case when two transport blocks are transmitted in the PUSCH conveying the HARQ-ACK bits, rank indicator bits or CRI bits:

$$Q' = \max\left[\min\left(Q'_{temp}, 4 \cdot M^{PUSCH}_{sc}\right), Q'_{min}\right]$$
 with

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$$Q'_{temp} = \left[ \frac{O \cdot M_{sc}^{PUSCH - initial(1)} \cdot N_{symb}^{PUSCH - initial(1)} \cdot M_{sc}^{PUSCH - initial(2)} \cdot N_{symb}^{PUSCH - initial(2)} \cdot \beta_{offset}^{PUSCH}}{\sum_{r=0}^{C^{(1)}-1} K_{r}^{(1)} \cdot M_{sc}^{PUSCH - initial(2)} \cdot N_{symb}^{PUSCH - initial(2)} + \sum_{r=0}^{C^{(2)}-1} K_{r}^{(2)} \cdot M_{sc}^{PUSCH - initial(1)} \cdot N_{symb}^{PUSCH - initial(1)}} \right]$$

where

- O is the number of HARQ-ACK bits, rank indicator bits or CRI bits, and
- $Q'_{\min} = O$  if  $O \le 2$ ,  $Q'_{\min} = \lceil 2O/Q'_m \rceil$  if  $3 \le O \le 11$  with  $Q'_m = \min(Q^1_m, Q^2_m)$  where  $Q^x_m, x = \{1, 2\}$  is the modulation order of transport block "x", and  $Q'_{\min} = \lceil 2O_1/Q'_m \rceil + \lceil 2O_2/Q'_m \rceil$  if O > 11 with  $O_1 = \lceil O/2 \rceil$  and  $O_2 = O \lceil O/2 \rceil$ .
- $M_{sc}^{PUSCH-initial(x)}, x = \{1,2\}$  are the scheduled bandwidths for PUSCH transmission in the initial sub-frame for the first and second transport block, respectively, expressed as a number of subcarriers in [2], and
- $N_{\text{symb}}^{PUSCH-initial(x)}$ ,  $x = \{1,2\}$  are the number of SC-FDMA symbols per subframe for initial PUSCH transmission for the first and second transport block given by  $N_{\text{symb}}^{PUSCH-initial(x)} = \left(2 \cdot \left(N_{\text{symb}}^{UL} - 1\right) - N_{\text{SRS}}^{(x)} - N_{\text{start}}^{PUSCH-initial(x)} - N_{\text{end}}^{PUSCH-initial(x)}\right), x = \{1,2\}$ , where
  - $N_{SRS}^{(x)}, x = \{1, 2\}$  is equal to 1
    - if UE configured with one UL cell is configured to send PUSCH and SRS in the same subframe for initial transmission, or
    - if UE transmits PUSCH and SRS in the same subframe in the same serving cell for initial transmission of transport block "x", or
    - if the PUSCH resource allocation for initial transmission of transport bock "x" even partially overlaps with the cell-specific SRS subframe and bandwidth configuration defined in subclause 5.5.3 of [2], or
    - if the subframe for initial transmission of transport block "x" in the same serving cell is a UE-specific type-1 SRS subframe as defined in Subclause 8.2 of [3], or
    - if the subframe for initial transmission of transport block "x" in the same serving cell is a UE-specific type-0 SRS subframe as defined in subclause 8.2 of [3] and the UE is configured with multiple TAGs.
  - Otherwise  $N_{SRS}^{(x)}$ ,  $x = \{1,2\}$  is equal to 0.
- $N_{\text{start}}^{PUSCH-initial(x)}$ ,  $x = \{1,2\}$  is equal to 1 when the UE configured for uplink transmission on a LAA SCell is indicated to transmit the PUSCH for the first and second transport block not starting from the beginning of the first symbol of the subframe for initial transmission, otherwise is equal to 0.
- $N_{\text{end}}^{PUSCH-initial(x)}$ ,  $x = \{1,2\}$  is equal to 1 when the UE configured for uplink transmission on a LAA SCell is indicated to transmit the PUSCH for the first and second transport block up to the second to last symbol of the subframe for initial transmission and  $N_{SES}^{(x)}$ ,  $x = \{1,2\}$  is equal to 0, otherwise is equal to 0.
- $M_{sc}^{PUSCH-initial(x)}, x = \{1,2\}, C^{(x)}, x = \{1,2\}, \text{ and } K_r^{(x)}, x = \{1,2\}$  are obtained from the initial PDCCH or EPDCCH for the corresponding transport block.

For HARQ-ACK,  $Q_{ACK} = Q_m \cdot Q'$  and  $\beta_{offset}^{PUSCH} = \beta_{offset}^{HARQ-ACK}$ , where  $Q_m$  is the modulation order of a given transport block. For UEs configured with no more than five DL cells,  $\beta_{offset}^{HARQ-ACK}$  shall be determined according to [3] depending on the number of transmission codewords for the corresponding PUSCH. For UEs configured with more

than five DL cells,  $\beta_{offset}^{HARQ-ACK}$  shall be determined according to [3] depending on the number of transmission codewords for the corresponding PUSCH and the number of HARQ-ACK feedback bits.

For rank indication or CRI,  $Q_{RI} = Q_m \cdot Q'$ ,  $Q_{CRI} = Q_m \cdot Q'$  and  $\beta_{offset}^{PUSCH} = \beta_{offset}^{RI}$ , where  $Q_m$  is the modulation order of a given transport block, and  $\beta_{offset}^{RI}$  shall be determined according to [3] depending on the number of transmission codewords for the corresponding PUSCH, and on the uplink power control subframe set for the corresponding PUSCH when two uplink power control subframe sets are configured by higher layers for the cell.

#### For HARQ-ACK

- Each positive acknowledgement (ACK) is encoded as a binary '1' and each negative acknowledgement (NACK) is encoded as a binary '0'
- If HARQ-ACK feedback consists of 1-bit of information, i.e.,  $[o_0^{ACK}]$ , it is first encoded according to Table 5.2.2.6-1.
- If HARQ-ACK feedback consists of 2-bits of information, i.e.,  $\left[o_{0}^{ACK} o_{1}^{ACK}\right]$  with  $o_{0}^{ACK}$  corresponding to

HARQ-ACK bit for codeword 0 and  $o_1^{ACK}$  corresponding to that for codeword 1, or if HARQ-ACK feedback consists of 2-bits of information as a result of the aggregation of HARQ-ACK bits corresponding to two DL cells with which the UE is configured by higher layers, or if HARQ-ACK feedback consists of 2-bits of information corresponding to two subframes for TDD, it is first encoded according to Table 5.2.2.6-2 where  $o_2^{ACK} = (o_0^{ACK} + o_1^{ACK}) \mod 2$ .

$Q_m$	Encoded HARQ-ACK
2	$[o_0^{ACK} y]$
4	$[o_0^{ACK} \mathbf{y} \mathbf{x} \mathbf{x}]$
6	$[o_0^{ACK} \mathbf{y} \mathbf{x} \mathbf{x} \mathbf{x} \mathbf{x}]$
8	$[o_0^{ACK} \mathbf{y} \mathbf{x} \mathbf{x} \mathbf{x} \mathbf{x} \mathbf{x} \mathbf{x}]$

Table 5.2.2.6-1: Encoding of 1-bit HARQ-ACK

Table 5.2.2.6-2:	Encoding	of 2-bit HARQ-ACK
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$Q_m$	Encoded HARQ-ACK
2	$[o_0^{ACK} \ o_1^{ACK} \ o_2^{ACK} \ o_0^{ACK} \ o_1^{ACK} \ o_2^{ACK} ]$
4	$[o_0^{ACK} o_1^{ACK} \mathbf{x} \mathbf{x} o_2^{ACK} o_0^{ACK} \mathbf{x} \mathbf{x} o_1^{ACK} o_2^{ACK} \mathbf{x} \mathbf{x}]$
6	$[o_0^{ACK} o_1^{ACK} \mathbf{x} \mathbf{x} \mathbf{x} \mathbf{x} o_2^{ACK} o_0^{ACK} \mathbf{x} \mathbf{x} \mathbf{x} \mathbf{x} o_1^{ACK} o_2^{ACK} \mathbf{x} \mathbf{x} \mathbf{x}]$
8	$[o_0^{ACK} o_1^{ACK} \times \times \times \times \times \times o_2^{ACK} o_0^{ACK} \times \times \times \times \times o_1^{ACK} o_2^{ACK} \times \times \times \times \times x]$

- If HARQ-ACK feedback consists of  $3 \le O^{ACK} \le 11$  bits of information as a result of the aggregation of HARQ-ACK bits corresponding to one or more DL cells with which the UE is configured by higher layers, i.e.,  $o_0^{ACK} o_1^{ACK}, ..., o_{O^{ACK}-1}^{ACK}$ , then a coded bit sequence  $\tilde{q}_0^{ACK} \tilde{q}_1^{ACK}, ..., \tilde{q}_{31}^{ACK}$  is obtained by using the bit sequence  $o_0^{ACK} o_1^{ACK}, ..., o_{O^{ACK}-1}^{ACK}$  as the input to the channel coding block described in subclause 5.2.2.6.4. In turn, the bit sequence  $q_0^{ACK} q_1^{ACK}, q_1^{ACK}, q_2^{ACK}, ..., q_{Q_{ACK}-1}^{ACK}$  is obtained by the circular repetition of the bit sequence  $\tilde{q}_0^{ACK} \tilde{q}_1^{ACK}, ..., \tilde{q}_{31}^{ACK}$  so that the total bit sequence length is equal to  $Q_{ACK}$ .
- If HARQ-ACK feedback consists of  $11 < O^{ACK} \le 22$  bits of information as a result of the aggregation of HARQ-ACK bits corresponding to one or more DL cells with which the UE is configured by higher layers, i.e.,

 $o_0^{ACK} o_1^{ACK}, ..., o_{O^{ACK}-1}^{ACK}$ , then the coded bit sequence  $q_0^{ACK}, q_1^{ACK}, q_2^{ACK}, ..., q_{O_{ACK}-1}^{ACK}$  is obtained by using the bit sequence  $o_0^{ACK} o_1^{ACK}, ..., o_{O^{ACK}-1}^{ACK}$  as the input to the channel coding block described in subclause 5.2.2.6.5.

- If HARQ-ACK feedback consists of  $O^{ACK}>22$  bits of information as a result of the aggregation of HARQ-ACK bits corresponding to one or more DL cells with which the UE is configured by higher layers, the coded bit sequence is denoted by  $q_0^{ACK}$ ,  $q_1^{ACK}$ ,  $q_2^{ACK}$ , ...,  $q_{Q_{ACK}-1}^{ACK}$ . The CRC attachment, channel coding and rate matching of the HARQ-ACK bits are performed according to subclauses 5.1.1 setting *L* to 8 bits, 5.1.3.1 and 5.1.4.2, respectively. The input bit sequence to the CRC attachment operation is  $o_0^{ACK} o_1^{ACK}$ , ...,  $o_{O^{ACK}-1}^{ACK}$ . The output bit sequence of the CRC attachment operation is the input bit sequence to the channel coding operation. The output bit sequence of the channel coding operation is the input bit sequence to the rate matching operation.

The "x" and "y" in Table 5.2.2.6-1 and 5.2.2.6-2 are placeholders for [2] to scramble the HARQ-ACK bits in a way that maximizes the Euclidean distance of the modulation symbols carrying HARQ-ACK information.

For FDD or TDD HARQ-ACK multiplexing or the aggregation of more than one DL cell including at least one cell using FDD and at least one cell using TDD when HARQ-ACK consists of one or two bits of information, the bit sequence  $q_0^{ACK}$ ,  $q_1^{ACK}$ ,  $q_2^{ACK}$ ,...,  $q_{Q_{ACK}-1}^{ACK}$  is obtained by concatenation of multiple encoded HARQ-ACK blocks where  $Q_{ACK}$  is the total number of coded bits for all the encoded HARQ-ACK blocks. The last concatenation of the encoded HARQ-ACK block may be partial so that the total bit sequence length is equal to  $Q_{ACK}$ .

For UEs configured by higher layers with *codebooksizeDetermination-r13* = *dai*, the bit sequence  $\tilde{o}_0^{ACK} \tilde{o}_1^{ACK}, \dots, \tilde{o}_{O^{ACK}-1}^{ACK}$  is determined according to the Downlink Assignment Index (DAI) as in Table 5.3.3.1.2-2 and as defined in [3]. Otherwise, the bit sequence  $\tilde{o}_0^{ACK} \tilde{o}_1^{ACK}, \dots, \tilde{o}_{O^{ACK}-1}^{ACK}$  is determined as below.

For FDD when HARQ ACK consists of 2 or more bits of information as a result of the aggregation of more than one DL cell, the bit sequence  $\tilde{o}_0^{ACK}$   $\tilde{o}_1^{ACK}$ ,...,  $\tilde{o}_{O^{ACK}-1}^{ACK}$  is the result of the concatenation of HARQ-ACK bits for the multiple DL cells according to the following pseudo-code:

Set c = 0 – cell index: lower indices correspond to lower RRC indices of corresponding cell

Set j = 0 - HARQ-ACK bit index

Set  $N_{cells}^{DL}$  to the number of cells configured by higher layers for the UE

while 
$$c < N_{cells}^{DL}$$

if transmission mode configured in cell  $c \in \{1, 2, 5, 6, 7\}$  – 1 bit HARQ-ACK feedback for this cell

 $\tilde{o}_{j}^{ACK}$  = HARQ-ACK bit of this cell

j = j + 1

else

if the UE is not configured with spatial bundling on PUSCH by higher layers  $\tilde{o}_j^{ACK} = \text{HARQ-ACK}$  bit corresponding to the first codeword of this cell

j = j + 1

 $\tilde{o}_{i}^{ACK}$  = HARQ-ACK bit corresponding to the second codeword of this cell

j = j + 1

else

 $\tilde{o}_{j}^{ACK}$  = binary AND operation of the HARQ-ACK bits corresponding to the first and second codewords of this cell

$$j = j + 1$$

end if

end if

$$c = c + 1$$

end while

For the aggregation of more than one DL cell including a primary cell using FDD and at least one secondary cell using TDD, the bit sequence  $\tilde{o}_0^{ACK}$   $\tilde{o}_1^{ACK}$ ,...,  $\tilde{o}_{O^{ACK}-1}^{ACK}$  is the result of the concatenation of HARQ-ACK bits for one or multiple DL cells. Define  $N_{cells}^{DL}$  as the number of cells configured by higher layers for the UE and  $B_c^{DL}$  as the number of subframes for which the UE needs to feed back HARQ-ACK bits in UL subframe n for the *c*-th serving cell. For a cell using TDD, the subframes are determined by the DL-reference UL/DL configuration if the UE is configured with higher layer parameter *eimta-HARQ-ReferenceConfig*, and determined by the UL/DL configuration otherwise. For a cell using TDD,  $B_c^{DL} = 1$  if subframe n-4 in the cell is a DL subframe or a special subframe with special subframe configurations 1/2/3/4/6/7/8/9/10 and normal downlink CP or a special subframe with special subframe configurations 1/2/3/4/6/7/8/9/10 and normal downlink CP or a cell using FDD,  $B_c^{DL} = 1$ .

The bit sequence  $\tilde{o}_0^{ACK}$   $\tilde{o}_1^{ACK}$ ,...,  $\tilde{o}_{O^{ACK}-1}^{ACK}$  is performed according to the following pseudo-code:

Set c = 0 – cell index: lower indices correspond to lower RRC indices of corresponding cell

Set j = 0 - HARQ-ACK bit index

while  $c < N_{cells}^{DL}$ 

$$B_c^{DL} = 1$$

if transmission mode configured in cell  $c \in \{1, 2, 5, 6, 7\} - 1$  bit HARQ-ACK feedback for this cell

 $\tilde{o}_{i}^{ACK}$  = HARQ-ACK bit of this cell

$$j = j + 1$$

else

if the UE is not configured with spatial bundling on PUSCH by higher layers  $\tilde{o}_j^{ACK} = \text{HARQ-ACK}$  bit corresponding to the first codeword of this cell

j = j + 1

 $\tilde{o}_{i}^{ACK}$  = HARQ-ACK bit corresponding to the second codeword of this cell

j = j + 1

else

 $\tilde{o}_{j}^{ACK}$  = binary AND operation of the HARQ-ACK bits corresponding to the first and second codewords of this cell

j = j + 1

end if

end if

end if

$$c = c + 1$$

end while

For the cases with TDD primary cell when HARQ-ACK is for the aggregation of one or more DL cells and the UE is configured with PUCCH format 3, PUCCH format 4 or PUCCH format 5 [3], the bit sequence  $\tilde{o}_0^{ACK} \tilde{o}_1^{ACK}, ..., \tilde{o}_{O^{ACK}-1}^{ACK}$  is the result of the concatenation of HARQ-ACK bits for the one or more DL cells configured by higher layers and the multiple subframes as defined in [3].

Define  $N_{cells}^{DL}$  as the number of cells configured by higher layers for the UE and  $B_c^{DL}$  as the number of subframes for which the UE needs to feed back HARQ-ACK bits as defined in Subclause 7.3 of [3].

The number of HARQ-ACK bits for the UE to convey if it is configured with PUCCH format 3, PUCCH format 4 or PUCCH format 5 is computed as follows:

Set k = 0 – counter of HARQ-ACK bits

Set c=0 - cell index: lower indices correspond to lower RRC indices of corresponding cell

while  $c < N_{cells}^{DL}$ 

set l = 0;

while  $l < B_c^{DL}$ 

if transmission mode configured in cell  $c \in \{1,2,5,6,7\}$  -- 1 bit HARQ-ACK feedback for this cell

k = k + 1

else

k = k + 2

end if

l = l+1

end while

c = c + 1

end while

When PUCCH format 3 is configured, if  $k \le 20$  when TDD is used in all the configured serving cell(s) of the UE, or if  $k \le 21$  when FDD is used in at least one of the configured serving cells with TDD primary cell; or when PUCCH format 4 or PUCCH format 5 is configured and when the UE is not configured with spatial bundling on PUSCH by higher layers, the multiplexing of HARQ-ACK bits is performed according to the following pseudo-code:

Set c = 0 – cell index: lower indices correspond to lower RRC indices of corresponding cell

Set j = 0 - HARQ-ACK bit index

while  $c < N_{cells}^{DL}$ 

set l = 0;

while  $l < B_c^{DL}$ 

if transmission mode configured in cell  $c \in \{1, 2, 5, 6, 7\}$  -- 1 bit HARQ-ACK feedback for this cell

$$\tilde{o}_{j}^{ACK} = o_{c,l}^{ACK}$$
 HARQ-ACK bit of this cell as defined in Subclause 7.3 of [3]

j = j + 1

else

 $[\widetilde{o}_{j}^{ACK}, \widetilde{o}_{j+1}^{ACK}] = [o_{c,2l}^{ACK}, o_{c,2l+1}^{ACK}] \text{ HARQ-ACK bits of this cell as defined in Subclause 7.3 of [3]}$  j = j + 2end if l = l+1end while c = c + 1

end while

When PUCCH format 3 is configured, if k > 20 when TDD is used in all the configured serving cell(s) of the UE, or if k > 21 when FDD is used in at least one of the configured serving cells with TDD primary cell, spatial bundling is applied to all subframes in all cells; or when PUCCH format 4 or PUCCH format 5 is configured and when the UE is configured with spatial bundling on PUSCH by higher layers, the multiplexing of HARQ-ACK bits is performed according to the following pseudo-code:

Set c = 0 – cell index: lower indices correspond to lower RRC indices of corresponding cell

Set j = 0 - HARQ-ACK bit index

while  $c < N_{cells}^{DL}$ 

set l = 0;

while  $l < B_c^{DL}$ 

if transmission mode configured in cell  $c \in \{1, 2, 5, 6, 7\} - 1$  bit HARQ-ACK feedback for this cell

 $\tilde{o}_{j}^{ACK} = o_{c,l}^{ACK}$  HARQ-ACK bit of this cell as defined in Subclause 7.3 of [3]

j = j + 1

else

 $\tilde{o}_{j}^{ACK} = o_{c,l}^{ACK}$  binary AND operation of the HARQ-ACK bits corresponding to the first and second codewords of this cell as defined in Subclause 7.3 of [3]

j = j + 1

end if

l = l + 1

end while

c = c + 1

end while

For  $o^{ACK} \leq 11$  or  $o^{ACK} > 22$ , the bit sequence  $o_0^{ACK} o_1^{ACK}, \dots, o_{O^{ACK}-1}^{ACK}$  is obtained by setting  $o_i^{ACK} = \tilde{o}_i^{ACK}$ .

For  $11 < o^{ACK} \le 22$ , the bit sequence  $o_0^{ACK} o_1^{ACK} \dots o_{O^{ACK}-1}^{ACK}$  is obtained by setting  $o_{i/2}^{ACK} = \tilde{o}_i^{ACK}$  if *i* is even and  $o_{[o^{ACK}/2]+(i-1)/2}^{ACK} = \tilde{o}_i^{ACK}$  if *i* is odd.

For the cases with TDD primary cell when HARQ-ACK is for the aggregation of two DL cells and the UE is configured with PUCCH format 1b with channel selection, the bit sequence  $o_0^{ACK} o_1^{ACK} \dots o_{O^{ACK}-1}^{ACK}$  is obtained as described in subclause 7.3 of [3].

For TDD HARQ-ACK bundling, a bit sequence  $\tilde{q}_0^{ACK}$ ,  $\tilde{q}_1^{ACK}$ ,  $\tilde{q}_2^{ACK}$ ,...,  $\tilde{q}_{Q_{ACK}-1}^{ACK}$  is obtained by concatenation of multiple encoded HARQ-ACK blocks where  $Q_{ACK}$  is the total number of coded bits for all the encoded HARQ-ACK blocks. The last concatenation of the encoded HARQ-ACK block may be partial so that the total bit sequence length is equal to  $Q_{ACK}$ . A scrambling sequence  $\left[w_0^{ACK}w_1^{ACK}w_2^{ACK}w_3^{ACK}\right]$  is then selected from Table 5.2.2.6-A with index  $i = (N_{bundled} - 1) \mod 4$ , where  $N_{bundled}$  is determined as described in subclause 7.3 of [3]. The bit sequence  $q_0^{ACK}$ ,  $q_1^{ACK}$ ,  $q_2^{ACK}$ , ...,  $q_{Q_{ACK}-1}^{ACK}$  is then generated by setting m = 1 if HARQ-ACK consists of 1-bit and m = 3 if HARQ-ACK consists of 2-bits and then scrambling  $\tilde{q}_0^{ACK}$ ,  $\tilde{q}_1^{ACK}$ ,  $\tilde{q}_2^{ACK}$ , ...,  $\tilde{q}_{Q_{ACK}-1}^{ACK}$  as follows

Set i, k to 0

while  $i < Q_{ACK}$ 

if  $\tilde{q}_i^{ACK} = y // \text{place-holder repetition bit}$ 

$$q_i^{ACK} = \left(\widetilde{q}_{i-1}^{ACK} + w_{\lfloor k/m \rfloor}^{ACK}\right) \mod 2$$

$$k = (k+1) \mod 4m$$

else

if  $\widetilde{q}_i^{ACK} = x$  // a place-holder bit

$$q_i^{ACK} = \widetilde{q}_i^{ACK}$$

else // coded bit

$$q_i^{ACK} = \left(\widetilde{q}_i^{ACK} + w_{\lfloor k/m \rfloor}^{ACK}\right) \mod 2$$

$$k = (k+1) \operatorname{mod} 4m$$

end if

$$i = i + 1$$

end while

i	$\left[w_0^{ACK}w_1^{ACK}w_2^{ACK}w_3^{ACK}\right]$
0	[1 1 1 1]
1	[1 0 1 0]
2	[1 1 0 0]
3	[1 0 0 1]

When HARQ-ACK information is to be multiplexed with UL-SCH at a given PUSCH, the HARQ-ACK information is multiplexed in all layers of all transport blocks of that PUSCH, For a given transport block, the vector sequence output of the channel coding for HARQ-ACK information is denoted by  $\underline{q}_{0}^{ACK}$ ,  $\underline{q}_{1}^{ACK}$ ,...,  $\underline{q}_{Q'_{ACK}-1}^{ACK}$ , where  $\underline{q}_{i}^{ACK}$ ,

 $i = 0, ..., Q'_{ACK} - 1$  are column vectors of length  $(Q_m \cdot N_L)$  and where  $Q'_{ACK} = Q_{ACK} / Q_m$  is obtained as follows:

Set i, k to 0

while  $i < Q_{ACK}$ 

 $\hat{\underline{q}}_{k}^{ACK} = [q_{i}^{ACK} ... q_{i+Q_{m}-1}^{ACK}]$  -- temporary row vector

 $\underline{q}_{k}^{ACK} = [\underline{\hat{q}}_{k}^{ACK} \cdots \underline{\hat{q}}_{k}^{ACK}]^{T} - \text{replicating the row vector } \underline{\hat{q}}_{k}^{ACK} N_{L} \text{ times and transposing into a column vector}$  $i = i + Q_{m}$ 

$$k = k + 1$$

end while

where  $N_L$  is the number of layers onto which the UL-SCH transport block is mapped.

For rank indication (RI) (RI only, joint report of RI and i1, joint report of CRI and RI, joint report of CRI, RI and i1, joint report of CRI, RI, and PTI, joint report of RI and i1,p-2, and joint report of RI and PTI) or CRI

- The corresponding bit widths for CRI feedback for PDSCH transmissions are given by Tables 5.2.2.6.1-2A, 5.2.2.6.1-2C, 5.2.2.6.2-3A, 5.2.2.6.3-3C, 5.2.2.6.3-3C, 5.2.3.3.1-3E, 5.2.3.3.1-3H, 5.2.3.3.2-4E, and 5.2.3.3.2-4H.
- The corresponding bit widths for RI feedback for PDSCH transmissions are given by Tables 5.2.2.6.1-2, 5.2.2.6.1-2B, 5.2.2.6.1-2D, 5.2.2.6.1-2E, 5.2.2.6.2-3, 5.2.2.6.2-3B, 5.2.2.6.2-3D, 5.2.2.6.2-3E, 5.2.2.6.3-3, 5.2.2.6.3-3B, 5.2.2.6.3-3D, 5.2.2.6.3-3E, 5.2.3.3.1-3, 5.2.3.3.1-3A, 5.2.3.3.1-3B, 5.2.3.3.1-3B-1, 5.2.3.3.1-3C, 5.2.3.3.1-3D, 5.2.3.3.1-3F, 5.2.3.3.1-3G, 5.2.3.3.1-3I, 5.2.3.3.1-3J, 5.2.3.3.1-5, 5.2.3.3.2-4A, 5.2.3.3.2-4B, 5.2.3.3.2-4C, 5.2.3.3.2-4D, 5.2.3.3.2-4F, 5.2.3.3.2-4G and 5.2.3.3.2-4I which are determined assuming the maximum number of layers as follows:
  - If the *maxLayersMIMO-r10* is configured for the DL cell, the maximum number of layers is determined according to *maxLayersMIMO-r10* for the DL cell
  - Else,
    - If the UE is configured with transmission mode 9, and the *supportedMIMO-CapabilityDL-r10* field is included in the *UE-EUTRA-Capability*, the maximum number of layers is determined according to the minimum of the configured number of CSI-RS ports and the maximum of the reported UE downlink MIMO capabilities for the same band in the corresponding band combination.
    - If the UE is configured with transmission mode 9, and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, and RI and CRI are transmitted in the same reporting instance, and the *supportedMIMO-CapabilityDL-r10* field is included in the *UE-EUTRA-Capability*, the maximum number of layers is determined according to the minimum of the maximum of number of antenna port of the configured CSI-RS resources and the maximum of the reported UE downlink MIMO capabilities for the same band in the corresponding band combination.
    - If the UE is configured with transmission mode 9, and the *supportedMIMO-CapabilityDL-r10* field is not included in the *UE-EUTRA-Capability*, the maximum number of layers is determined according to the minimum of the configured number of CSI-RS ports and *ue-Category* (without suffix).
    - If the UE is configured with transmission mode 9, and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, and RI and CRI are transmitted in the same reporting instance, and the *supportedMIMO-CapabilityDL-r10* field is not included in the *UE-EUTRA-Capability*, the maximum

number of layers is determined according to the minimum of the maximum of number of antenna port of the configured CSI-RS resources and *ue-Category* (without suffix).

- If the UE is configured with transmission mode 9, and higher layer parameter *semiOpenLoop*, and the *supportedMIMO-CapabilityDL-r10* field is included in the *UE-EUTRA-Capability*, the maximum number of layers is determined according to the minimum of 2 and the maximum of the reported UE downlink MIMO capabilities for the same band in the corresponding band combination.
- If the UE is configured with transmission mode 9, and higher layer parameter *semiOpenLoop*, and the *supportedMIMO-CapabilityDL-r10* field is not included in the *UE-EUTRA-Capability*, the maximum number of layers is determined according to the minimum of 2 and *ue-Category* (without suffix).
- If the UE is configured with transmission mode 9, and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 with *activatedResources*>1, and RI and CRI are transmitted in the same reporting instance, and the *supportedMIMO-CapabilityDL-r10* field is included in the *UE-EUTRA-Capability*, the maximum number of layers is determined according to the minimum of the maximum of number of antenna ports of the activated CSI-RS resources and the maximum of the reported UE downlink MIMO capabilities for the same band in the corresponding band combination.
- If the UE is configured with transmission mode 9, and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 with *activatedResources>*1, and RI and CRI are transmitted in the same reporting instance, and the *supportedMIMO-CapabilityDL-r10* field is not included in the *UE-EUTRA-Capability*, the maximum number of layers is determined according to the minimum of the maximum of number of antenna ports of the activated CSI-RS resources and *ue-Category* (without suffix).
- If the UE is configured with transmission mode 9, and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with *activatedResources*=1 or *numberActivatedAperiodicCSI-RS-Resources*>0, and the *supportedMIMO-CapabilityDL-r10* field is included in the *UE-EUTRA-Capability*, the maximum number of layers is determined according to the minimum of the number of antenna port of the activated or selected CSI-RS resource and the maximum of the reported UE downlink MIMO capabilities for the same band in the corresponding band combination.
- If the UE is configured with transmission mode 9, and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with *activatedResources*=1 or *numberActivatedAperiodicCSI-RS-Resources*>0, and the *supportedMIMO-CapabilityDL-r10* field is not included in the *UE-EUTRA-Capability*, the maximum number of layers is determined according to the minimum of the number of antenna ports of the activated or selected CSI-RS resource and *ue-Category* (without suffix).
- If the UE is configured with transmission mode 9 and higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and the *supportedMIMO-CapabilityDL-r10* field is included in the *UE-EUTRA-Capability*, the maximum number of layers is determined according to the minimum of the configured number of CSI-RS ports of *eMIMO-Type2* and the maximum of the reported UE downlink MIMO capabilities for the same band in the corresponding band combination.
- If the UE is configured with transmission mode 9 and higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and the *supportedMIMO-CapabilityDL-r10* field is not included in the *UE-EUTRA-Capability*, the maximum number of layers is determined according to the minimum of the configured number of CSI-RS ports of *eMIMO-Type2* and *ue-Category* (without suffix).
- If the UE is configured with transmission mode 10, and the *supportedMIMO-CapabilityDL-r10* field is included in the *UE-EUTRA-Capability*, the maximum number of layers for each CSI process is determined according to the minimum of the configured number of CSI-RS ports for that CSI process and the maximum of the reported UE downlink MIMO capabilities for the same band in the corresponding band combination.
- If the UE is configured with transmission mode 10, and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, and RI and CRI are transmitted in the same reporting instance, and the *supportedMIMO-CapabilityDL-r10* field is included in the *UE-EUTRA-Capability*, the maximum number of layers for each CSI process is determined according to the minimum of the maximum of number of antenna port of the configured CSI-RS resources in that CSI process and the maximum of the reported UE downlink MIMO capabilities for the same band in the corresponding band combination.

- If the UE is configured with transmission mode 10, and the *supportedMIMO-CapabilityDL-r10* field is not included in the *UE-EUTRA-Capability*, the maximum number of layers for each CSI process is determined according to the minimum of the configured number of CSI-RS ports for that CSI process and *ue-Category* (without suffix).
- If the UE is configured with transmission mode 10, and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, and RI and CRI are transmitted in the same reporting instance, and the *supportedMIMO-CapabilityDL-r10* field is not included in the *UE-EUTRA-Capability*, the maximum number of layers for each CSI process is determined according to the minimum of the maximum of number of antenna port of the configured CSI-RS resources in that CSI process and *ue-Category* (without suffix).
- If the UE is configured with transmission mode 10, and higher layer parameter *semiOpenLoop*, and the *supportedMIMO-CapabilityDL-r10* field is included in the *UE-EUTRA-Capability*, the maximum number of layers for each CSI process is determined according to the minimum of 2 and the maximum of the reported UE downlink MIMO capabilities for the same band in the corresponding band combination.
- If the UE is configured with transmission mode 10, and higher layer parameter *semiOpenLoop*, and the *supportedMIMO-CapabilityDL-r10* field is not included in the *UE-EUTRA-Capability*, the maximum number of layers for each CSI process is determined according to the minimum of 2 and *ue-Category* (without suffix).
- If the UE is configured with transmission mode 10, and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 with *activatedResources*>1, and RI and CRI are transmitted in the same reporting instance, and the *supportedMIMO-CapabilityDL-r10* field is included in the *UE-EUTRA-Capability*, the maximum number of layers for each CSI process is determined according to the minimum of the maximum of number of antenna ports of the activated CSI-RS resources in that CSI process and the maximum of the reported UE downlink MIMO capabilities for the same band in the corresponding band combination.
- If the UE is configured with transmission mode 10, and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 with *activatedResources*>1, and RI and CRI are transmitted in the same reporting instance, and the *supportedMIMO-CapabilityDL-r10* field is not included in the *UE-EUTRA-Capability*, the maximum number of layers for each CSI process is determined according to the minimum of the maximum of number of antenna port of the activated CSI-RS resources in that CSI process and *ue-Category* (without suffix).
- If the UE is configured with transmission mode 10, and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with *activatedResources*=1 or *numberActivatedAperiodicCSI-RS-Resources*>0, and the *supportedMIMO-CapabilityDL-r10* field is included in the *UE-EUTRA-Capability*, the maximum number of layers for each CSI process is determined according to the minimum of the number of antenna ports of the activated or selected CSI-RS resource in that CSI process and the maximum of the reported UE downlink MIMO capabilities for the same band in the corresponding band combination.
- If the UE is configured with transmission mode 10, and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with *activatedResources*=1 or *numberActivatedAperiodicCSI-RS-Resources*>0, and the *supportedMIMO-CapabilityDL-r10* field is not included in the *UE-EUTRA-Capability*, the maximum number of layers for each CSI process is determined according to the minimum of the number of antenna port of the activated or selected CSI-RS resource in that CSI process and *ue-Category* (without suffix).
- If the UE is configured with transmission mode 10 and higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and the *supportedMIMO-CapabilityDL-r10* field is included in the *UE-EUTRA-Capability*, the maximum number of layers for each CSI process is determined according to the minimum of the configured number of CSI-RS ports of *eMIMO-Type2* for that CSI process and the maximum of the reported UE downlink MIMO capabilities for the same band in the corresponding band combination.
- If the UE is configured with transmission mode 10 and higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and the *supportedMIMO-CapabilityDL-r10* field is not included in the *UE-EUTRA-Capability*, the maximum number of layers for each CSI process is determined according to the minimum of the configured number of CSI-RS ports of *eMIMO-Type2* for that CSI process and *ue-Category* (without suffix)

- Otherwise the maximum number of layers is determined according to the minimum of the number of PBCH antenna ports and *ue-Category* (without suffix).
- If RI feedback consists of 1-bit of information, i.e.,  $[o_0^{RI}]$ , it is first encoded according to Table 5.2.2.6-3. The  $[o_0^{RI}]$  to RI mapping is given by Table 5.2.2.6-5.
- If RI feedback consists of 2-bits of information, i.e.,  $[o_0^{RI} o_1^{RI}]$  with  $o_0^{RI}$  corresponding to MSB of 2-bit input and  $o_1^{RI}$  corresponding to LSB, it is first encoded according to Table 5.2.2.6-4 where  $o_2^{RI} = (o_0^{RI} + o_1^{RI}) \mod 2$ . The  $[o_0^{RI} o_1^{RI}]$  to RI mapping is given by Table 5.2.2.6-6.

$Q_m$	Encoded RI
2	$[o_0^{RI} \mathbf{y}]$
4	$[o_0^{RI} \mathbf{y} \mathbf{x} \mathbf{x}]$
6	$[o_0^{RI} \mathbf{y} \mathbf{x} \mathbf{x} \mathbf{x} \mathbf{x}]$
8	$[o_0^{RI} \mathbf{y} \mathbf{x} \mathbf{x} \mathbf{x} \mathbf{x} \mathbf{x} \mathbf{x}]$

Table 5.2.2.6-3: Encoding of 1-bit RI

Qm	Encoded RI
2	$[o_0^{RI} \ o_1^{RI} \ o_2^{RI} \ o_0^{RI} \ o_1^{RI} \ o_2^{RI} ]$
4	$[o_0^{RI} o_1^{RI} \mathbf{x} \mathbf{x} \ o_2^{RI} \ o_0^{RI} \mathbf{x} \mathbf{x} \ o_1^{RI} \ o_2^{RI} \mathbf{x} \mathbf{x}]$
6	$[o_0^{RI} o_1^{RI} \mathbf{x} \mathbf{x} \mathbf{x} \mathbf{x} o_2^{RI} o_0^{RI} \mathbf{x} \mathbf{x} \mathbf{x} \mathbf{x} o_1^{RI} o_2^{RI} \mathbf{x} \mathbf{x} \mathbf{x}]$
8	$[o_0^{RI} o_1^{RI} \times \times \times \times \times \times o_2^{RI} o_0^{RI} \times \times \times \times \times \times o_1^{RI} o_2^{RI} \times \times \times \times \times \times]$

Table 5.2.2.6-5:  $o_0^{\rm RI}$  to RI mapping

$O_0^{RI}$	RI
0	1
1	2

Table 5.2.2.6-6:  $o_0^{RI}$  ,  $o_1^{RI}$  to RI mapping

$O_0^{RI}$ , $O_1^{RI}$	RI
0, 0	1
0, 1	2
1, 0	3
1, 1	4

	RI
0, 0, 0	1
0, 0, 1	2
0, 1, 0	3
0, 1, 1	4
1, 0, 0	5
1, 0, 1	6
1, 1, 0	7
1, 1, 1	8

Table 5.2.2.6-7:  $o_0^{\it RI}$  ,  $o_1^{\it RI}$  ,  $o_2^{\it RI}$  to RI mapping

- If RI feedback for a given DL cell consists of 3-bits of information, i.e.,  $[o_0^{RI} o_1^{RI} o_2^{RI}]$  with  $o_0^{RI}$  corresponding to MSB of 3-bit input and  $o_2^{RI}$  corresponding to LSB. The  $[o_0^{RI} o_1^{RI} o_2^{RI}]$  to RI mapping is given by Table 5.2.2.6-7.
- If RI feedback consists of  $3 \le O^{RI} \le 11$  bits of information, i.e.,  $[o_0^{RI} o_1^{RI}, ..., o_{O^{RI}-1}^{RI}]$ , then a coded bit sequence  $[\tilde{q}_0^{RI} \tilde{q}_1^{RI}, ..., \tilde{q}_{31}^{RI}]$  is obtained by using the bit sequence  $[o_0^{RI} o_1^{RI}, ..., o_{O^{RI}-1}^{RI}]$  as the input to the channel coding block described in subclause 5.2.2.6.4.
- If RI feedback consists of  $11 < O^{RI} \le 22$  bits of information as a result of the aggregation of RI bits corresponding to multiple DL cells or multiple CSI processes, i.e.,  $[o_0^{RI} o_1^{RI}, ..., o_{O^{RI}-1}^{RI}]$ , then the coded bit sequence  $q_0^{RI}, q_1^{RI}, q_2^{RI}, ..., q_{Q_{RI}-1}^{RI}$  is obtained by using the bit sequence  $[o_0^{RI} o_1^{RI}, ..., o_{O^{RI}-1}^{RI}]$  as the input to the channel coding block described in subclause 5.2.2.6.5.
- If RI feedback consists of  $O^{RI} > 22$  bits of information as a result of the aggregation of RI bits corresponding to multiple DL cells or multiple CSI processes, i.e.,  $[o_0^{RI} o_1^{RI}, ..., o_{O^{RI}-1}^{RI}]$ , then the coded bit sequence is denoted by  $q_0^{RI}, q_1^{RI}, q_2^{RI}, ..., q_{Q_{RI}-1}^{RI}$ . The CRC attachment, channel coding and rate matching of the HARQ-ACK bits are performed according to subclauses 5.1.1 setting *L* to 8 bits, 5.1.3.1 and 5.1.4.2, respectively. The input bit sequence to the CRC attachment operation is  $[o_0^{RI} o_1^{RI}, ..., o_{O^{RI}-1}^{RI}]$ . The output bit sequence of the CRC attachment operation is the input bit sequence to the channel coding operation. The output bit sequence of the channel coding operation is the input bit sequence to the rate matching operation.
- A UE capable of supporting only up to 5 serving cells is not expected to support CRI/RI payload larger than 22 bits.

The "x" and "y" in Table 5.2.2.6-3 and 5.2.2.6-4 are placeholders for [2] to scramble the RI bits in a way that maximizes the Euclidean distance of the modulation symbols carrying rank information.

For the case where RI feedback for more than one DL cell is to be reported, the RI report for each DL cell is concatenated prior to coding in increasing order of cell index.

For the case where RI feedback for more than one CSI process is to be reported, the RI reports are concatenated prior to coding first in increasing order of CSI process index for each DL cell and then in increasing order of cell index.

For the case where RI feedback consists of one or two bits of information the bit sequence  $q_0^{RI}$ ,  $q_1^{RI}$ ,  $q_2^{RI}$ , ...,  $q_{Q_{RI}-1}^{RI}$  is

obtained by concatenation of multiple encoded RI blocks where  $Q_{RI}$  is the total number of coded bits for all the encoded RI blocks. The last concatenation of the encoded RI block may be partial so that the total bit sequence length is equal to  $Q_{RI}$ .

For the case where RI feedback consists of  $3 \le O^{RI} \le 11$  bits of information, the bit sequence  $q_0^{RI}, q_1^{RI}, q_2^{RI}, ..., q_{Q_{RI}-1}^{RI}$  is obtained by the circular repetition of the bit sequence  $\tilde{q}_0^{RI} \tilde{q}_1^{RI}, ..., \tilde{q}_{31}^{RI}$  so that the total bit sequence length is equal to  $Q_{RI}$ .

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For the case where CRI feedback is to be reported, the same procedures for RI are applied for CRI. When rank information is to be multiplexed with UL-SCH at a given PUSCH, the rank information is multiplexed in all layers of all transport blocks of that PUSCH. For a given transport block, the vector sequence output of the channel coding for rank information is denoted by  $\underline{q}_{0}^{RI}, \underline{q}_{1}^{RI}, ..., \underline{q}_{Q'_{RI}-1}^{RI}$ , where  $\underline{q}_{i}^{RI}$ ,  $i = 0, ..., Q'_{RI} - 1$  are column vectors of length  $(Q_m \cdot N_L)$  and where  $Q'_{RI} = Q_{RI} / Q_m$ . The vector sequence is obtained as follows: Set i, j, k to 0

while  $i < Q_{RI}$ 

$$\underline{\hat{q}}_{k}^{RI} = [q_{i}^{RI} \dots q_{i+Q_{m}-1}^{RI}] - \text{temporary row vector}$$

$$\underline{q}_{k}^{RI} = [\underbrace{\hat{q}_{k}^{RI} \cdots \hat{q}_{k}^{RI}}_{i}]^{T} - \text{replicating the row vector } \underbrace{\hat{q}_{k}^{RI}}_{k} N_{L} \text{ times and transposing into a column vector}$$

$$\iota = \iota + \mathcal{Q}_m$$

$$k = k + 1$$

end while

where  $N_L$  is the number of layers onto which the UL-SCH transport block is mapped.

The same processing procedures for RI and RI multiplexing with UL-SCH at a given PUSCH are applied for CRI, using CRI instead of RI in the equations.

For channel quality control information (CQI and/or PMI denoted as CQI/PMI);

When the UE transmits channel quality control information bits, it shall determine the number of modulation coded symbols per layer Q' for channel quality information as

$$Q' = \min\left[\frac{(O+L) \cdot M_{sc}^{PUSCH-initial(x)} \cdot N_{symb}^{PUSCH-initial(x)} \cdot \beta_{offset}^{PUSCH}}{\sum_{r=0}^{C^{(x)}-1} K_{r}^{(x)}}\right], M_{sc}^{PUSCH} \cdot N_{symb}^{PUSCH} - \frac{Q_{RI}^{(x)}}{Q_{m}^{(x)}}\right]$$

where

- *O* is the number of CQI/PMI bits, and
- *L* is the number of CRC bits given by  $L = \begin{cases} 0 & O \le 11 \\ 8 & \text{otherwise} \end{cases}$ , and
- $Q_{CQI} = Q_m^{(x)} \cdot Q'$  and  $\beta_{offset}^{PUSCH} = \beta_{offset}^{CQI}$ , where  $\beta_{offset}^{CQI}$  shall be determined according to [3] depending on the number of transmission codewords for the corresponding PUSCH, and on the uplink power control subframe set for the corresponding PUSCH when two uplink power control subframe sets are configured by higher layers for the cell.
- If neither RI nor CRI is not transmitted then  $Q_{RI}^{(x)} = 0$ .

The variable "x" in  $K_r^{(x)}$  represents the transport block index corresponding to the highest  $I_{MCS}$  value indicated by the initial UL grant. In case the two transport blocks have the same  $I_{MCS}$  value in the corresponding initial UL grant, "x =1", which corresponds to the first transport block.  $M_{sc}^{PUSCH-initial(x)}$ ,  $C^{(x)}$ , and  $K_r^{(x)}$  are obtained from the initial PDCCH or EPDCCH for the same transport block. If there is no initial PDCCH or EPDCCH with DCI format 0 or

MPDCCH with DCI format 6-0A/6-0B for the same transport block,  $M_{sc}^{PUSCH-initial(x)}$ ,  $C^{(x)}$ , and  $K_r^{(x)}$  shall be determined from:

- the most recent semi-persistent scheduling assignment PDCCH or EPDCCH or MPDCCH, when the initial PUSCH for the same transport block is semi-persistently scheduled, or,
- the random access response grant for the same transport block, when the PUSCH is initiated by the random access response grant.

 $N_{symb}^{PUSCH-initial(x)}$  is the number of SC-FDMA symbols per subframe for initial PUSCH transmission for the same transport block.

For UL-SCH data information  $G = N_L^{(x)} \cdot \left( N_{\text{symb}}^{\text{PUSCH}} \cdot M_{\text{sc}}^{\text{PUSCH}} \cdot Q_m^{(x)} - Q_{CQI} - Q_{RI}^{(x)} \right)$ , where

- $N_L^{(x)}$  is the number of layers the corresponding UL-SCH transport block is mapped onto, and
- $M_{\rm sc}^{\rm PUSCH}$  is the scheduled bandwidth for PUSCH transmission in the current sub-frame for the transport block, and
- $N_{\text{symb}}^{\text{PUSCH}}$  is the number of SC-FDMA symbols in the current PUSCH transmission sub-frame given by  $N_{\text{symb}}^{\text{PUSCH}} = \left(2 \cdot \left(N_{\text{symb}}^{\text{UL}} - 1\right) - N_{\text{SRS}} - N_{\text{start}}^{\text{PUSCH}} - N_{\text{end}}^{\text{PUSCH}}\right)$ , where
  - $N_{SRS}$  is equal to 1 for non-BL/CE UEs and BL/CE UEs in CEModeA
    - if UE configured with one UL cell is configured to send PUSCH and SRS in the same subframe for the current subframe, or
    - if UE transmits PUSCH and SRS in the same subframe for the current subframe in the same serving cell, or
    - if the PUSCH resource allocation for the current subframe even partially overlaps with the cell-specific SRS subframe and bandwidth configuration defined in subclause 5.5.3 of [2], or
    - if the current subframe in the same serving cell is a UE-specific type-1 SRS subframe as defined in Subclause 8.2 of [3], or
    - if the current subframe in the same serving cell is a UE-specific type-0 SRS subframe as defined in subclause 8.2 of [3] and the UE is configured with multiple TAGs.
  - Otherwise  $N_{SRS}$  is equal to 0.
- $N_{\text{start}}^{PUSCH}$  is equal to 1 when the UE configured for uplink transmission on a LAA SCell is indicated to transmit the PUSCH not starting from the beginning of the first symbol of the current subframe, otherwise is equal to 0.
- $N_{\text{end}}^{PUSCH}$  is equal to 1 when the UE configured for uplink transmission on a LAA SCell is indicated to transmit the PUSCH up to the second to last symbol of the current subframe and  $N_{SRS}$  is equal to 0, otherwise is equal to 0.

In case of CQI/PMI report for more than one DL cell,  $o_0, o_1, o_2, ..., o_{O-1}$  is the result of concatenating the CQI/PMI report for each DL cell in increasing order of cell index. For the case where CQI/PMI feedback for more than one CSI process is to be reported,  $o_0, o_1, o_2, ..., o_{O-1}$  is the result of concatenating the CQI/PMI reports in increasing order of CSI process index for each DL cell and then in increasing order of cell index.

- If the payload size is less than or equal to 11 bits, the channel coding of the channel quality information is performed according to subclause 5.2.2.6.4 with input sequence  $o_0, o_1, o_2, ..., o_{O-1}$ .
- For payload sizes greater than 11 bits, the CRC attachment, channel coding and rate matching of the channel quality information is performed according to subclauses 5.1.1, 5.1.3.1 and 5.1.4.2, respectively. The input bit

sequence to the CRC attachment operation is  $o_0, o_1, o_2, ..., o_{O-1}$ . The output bit sequence of the CRC attachment operation is the input bit sequence to the channel coding operation. The output bit sequence of the channel coding operation is the input bit sequence to the rate matching operation.

The output sequence for the channel coding of channel quality information is denoted by  $q_0, q_1, q_2, q_3, ..., q_{N_L \cdot Q_C Q_I - 1}$ , where  $N_I$  is the number of layers the corresponding UL-SCH transport block is mapped onto.

#### 5.2.2.6.1 Channel quality information formats for wideband CQI reports

If the parameter *CQI-ReportModeAperiodic* is configured to the value of *rm12* by higher layers [6], the fields and the corresponding bit widths for channel quality information and rank indication feedback are described as below.

Table 5.2.2.6.1-1, Table 5.2.2.6.1-1A and Table 5.2.2.6.1-1B show the fields and the corresponding bit widths for the channel quality information feedback for wideband reports for PDSCH transmissions associated with transmission mode 4, transmission mode 6, transmission mode 8 configured with PMI/RI reporting, transmission mode 9 configured with PMI/RI reporting with 2/4/8 antenna ports except with *advancedCodebookEnabled=TRUE*, transmission mode 10 configured with PMI/RI reporting with 2/4/8 antenna ports except with *advancedCodebookEnabled=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports except with *advancedCodebookEnabled=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports except with *advancedCodebookEnabled=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports except with *advancedCodebookEnabled=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports except with *advancedCodebookEnabled=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type*2 configured with PMI/RI reporting with 2/4/8 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*. The number of configured CSI-RS resources in a CSI process K is defined in [3] and *alternativeCodebookEnabledCLASSB\_K1* is configured by higher layers [6].

Table 5.2.2.6.1-1B-1 and Table 5.2.2.6.1-1B-2 show the fields and the corresponding bit widths for the channel quality information feedback for wideband reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 4/8 antenna ports and higher layer parameter *advancedCodebookEnabled=TRUE*.

Table 5.2.2.6.1-1C and Table 5.2.2.6.1-1D show the fields and the corresponding bit widths for the channel quality information feedback for wideband reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' except with *advancedCodebookEnabled=TRUE*.

Table 5.2.2.6.1-1D-1 and Table 5.2.2.6.1-1D-2 show the fields and corresponding bit widths for the channel quality information feedback for wideband reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameters *advancedCodebookEnabled* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' and *advancedCodebookEnabled=TRUE*.

Table 5.2.2.6.1-1E shows the fields and corresponding bit widths for the channel quality information feedback for wideband reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and higher layer parameter *alternativeCodebookEnabledCLASSB\_K1=TRUE* configured, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*2, and *eMIMO-Type*2 configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter *alternativeCodebookEnabledCLASSB\_K1=TRUE* configured, and transmission mode 9/10 configured with 2/4/8 antenna ports and higher layer parameter *alternativeCodebookEnabledCLASSB\_K1=TRUE*.

For Table 5.2.2.6.1-1, Table 5.2.2.6.1-1A, Table 5.2.2.6.1-1B, Table 5.2.2.6.1-1B-1, Table 5.2.2.6.1-1B-2, Table 5.2.2.6.1-1C, Table 5.2.2.6.1-D, Table 5.2.2.6.1-1D-1, Table 5.2.2.6.1-1D-2 and Table 5.2.2.6.1-1E, N is defined in subclause 7.2 of [3]. For Table 5.2.2.6.1-1B-1, Table 5.2.2.6.1-1B-2, Table 5.2.2.6.1-1C, Table 5.2.2.6.1-D, Table 5.2.2.6.1-1D-1 and Table 5.2.2.6.1-1D-2, the codebook configuration  $(N_1, N_2, O_1, O_2)$  is defined in [3], and

*CodebookConfig* is configured by higher layers [6]. The parameters  $(S_1, S_2)$  in rank 3 and 4 are defined as

$$(S_1, S_2) = (1, 1)$$
 for CodebookConfig=1,  $(S_1, S_2) = \left(\frac{O_1}{2}, \frac{O_2}{2}\right)$  for CodebookConfig=2,  $(S_1, S_2) = \left(O_1, \frac{O_2}{2}\right)$  for

CodebookConfig=3,  $(S_1, S_2) = (O_1, \frac{O_2}{4})$  for CodebookConfig=4. The parameters  $(S_1, S_2)$  in rank 5 to 8 are

defined as  $(S_1, S_2) = (1, 1)$  for *CodebookConfig*=1,  $(S_1, S_2) = \left(\frac{O_1}{4}, \frac{O_2}{4}\right)$  for *CodebookConfig*=2/3/4. The

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parameters  $(L_1, L_2)$  are defined as  $L_1 = \min\{4, N_1\}$  and  $L_2 = 2$  for  $N_1 \ge N_2$  and  $N_2 \ne 1$ ,  $L_1 = 2$  and  $L_2 = \min\{4, N_2\}$  for  $N_1 < N_2$  and  $N_1 \ne 1$ , and  $L_1 = \min\{8, N_1\}$  and  $L_2 = 1$  for  $N_2 = 1$ .

Table 5.2.2.6.1-1: Fields for channel quality information feedback for wideband CQI and subband PMI reports (transmission mode 4, transmission mode 6, transmission mode 8 configured with PMI/RI reporting except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, transmission mode 9 configured with PMI/RI reporting with 2/4 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE* or *advancedCodebookEnabled=TRUE*, transmission mode 10 configured with PMI/RI reporting with 2/4 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE* or *advancedCodebookEnabled=TRUE*, transmission mode 10 configured with PMI/RI reporting with 2/4 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE* or *advancedCodebookEnabled=TRUE*, and transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4 antenna ports with K>1, and K=1 except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, except with higher layer parameter *eMIMO-Type* and *eMIMO-Type*2, and *eMIMO-Type*2 configured with PMI/RI reporting with 2/4 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE* or *alternativeCodeBookEnabledFor4TX-r12=TRUE* or *alternativeCodeBookEnabledFor4TX-r12=TRUE*.

	Bit width				
Field	2 antenna ports		4 antenna ports		
	Rank = 1 Rank = 2		Rank = 1	Rank > 1	
Wideband CQI codeword 0	4	4	4	4	
Wideband CQI codeword 1	0	4	0	4	
Precoding matrix indicator	2N	N	4N	4N	

Table 5.2.2.6.1-1A: Fields for channel quality information feedback for wideband CQI and subband PMI reports (transmission mode 9 configured with PMI/RI reporting with 8 antenna ports except with advancedCodebookEnabled=TRUE, transmission mode 10 configured with PMI/RI reporting with 8 antenna ports except with advancedCodebookEnabled=TRUE, transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter eMIMO-Type, and eMIMO-Type is set to 'CLASS B' with 8 antenna ports with K>1, and K=1 except with alternativeCodebookEnabledCLASSB\_K1=TRUE, and transmission mode 9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type2 configured with PMI/RI reporting with 8 antenna ports except with alternativeCodebookEnabledCLASSB\_K1=TRUE)

Field	Bit width			
Field	Rank = 1	Rank = 2	Rank = 3	Rank = 4
Wideband CQI codeword 0	4	4	4	4
Wideband CQI codeword 1	0	4	4	4
Wideband first PMI i1	4	4	2	2
Subband second PMI i2	4 <i>N</i>	4 <i>N</i>	4 <i>N</i>	3N
Field	Bit width			
Field	Rank = 5	Rank = 6	Rank = 7	Rank = 8
Wideband CQI codeword 0	4	4	4	4
Wideband CQI codeword 1	4	4	4	4
Wideband first PMI i1	2	2	2	0
Subband second PMI i2	0	0	0	0

Table 5.2.2.6.1-1B: Fields for channel quality information feedback for wideband CQI and subband PMI reports with 4 antenna ports (transmission mode 8, transmission mode 9 and transmission mode 10 configured with PMI/RI reporting, 4 antenna ports and *alternativeCodeBookEnabledFor4TXr12=TRUE*, transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 4 antenna ports with K>1, and K=1 except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, with *alternativeCodeBookEnabledFor4TXr12=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* configured with PMI/RI reporting with 4 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, with *alternativeCodeBookEnabledFor4TXr12=TRUE*, and *transmission mode* 9/10 configured with PMI/RI reporting with 4 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, with *alternativeCodeBookEnabledFor4TXr12=TRUE*]

Field	Bit width			
	Rank = 1	Rank = 2	Rank = 3	Rank = 4
Wideband CQI codeword 0	4	4	4	4
Wideband CQI codeword 1	0	4	4	4
Wideband first PMI i1	4	4	0	0
Subband second PMI i2	4N	4N	4N	4N

Table 5.2.2.6.1-1B-1: Fields for channel quality information feedback for wideband CQI and subband PMI reports (transmission mode 9/10 configured with PMI/RI reporting with 4 antenna ports and higher layer parameter advancedCodebookEnabled=TRUE)

Field	Bit width			
	Rank = 1	Rank = 2	Rank = 3	Rank = 4
Wideband CQI codeword 0	4	4	4	4
Wideband CQI codeword 1	0	4	4	4
Wideband first PMI i1,1-1	3	3	0	0
Wideband first PMI i1,2-1	0	0	0	0
Wideband first PMI i1,1-2	0	0	0	0
Wideband first PMI i1,2-2	0	0	0	0
Wideband first PMI i1,p-2	2	2	0	0
Subband second PMI i2	6N	12 <i>N</i>	4N	4N

# Table 5.2.2.6.1-1B-2: Fields for channel quality information feedback for wideband CQI and subband PMI reports (transmission mode 9/10 configured with PMI/RI reporting with 8 antenna ports and higher layer parameter advancedCodebookEnabled=TRUE)

Field	Bit width			
Field	Rank = 1	Rank = 2	Rank = 3	Rank = 4
Wideband CQI codeword 0	4	4	4	4
Wideband CQI codeword 1	0	4	4	4
Wideband first PMI i1,1-1	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2(N_1O_1) \right\rceil$	2	2
Wideband first PMI i1,2-1	0	0	0	0
Wideband first PMI i1,1-2	$\left\lceil \log_2(L_1) \right\rceil$	$\left\lceil \log_2(L_1) \right\rceil$	0	0
Wideband first PMI i1,2-2	0	0	0	0
Wideband first PMI i1,p-2	2	2	0	0
Subband second PMI i2	6N	12 <i>N</i>	4N	3N
Field		Bit width		
Field	Rank = 5	Rank = 6	Rank = 7	Rank = 8
Wideband CQI codeword 0	4	4	4	4
Wideband CQI codeword 1	4	4	4	4
Wideband first PMI i1,1-1	2	2	2	0
Wideband first PMI i1,2-1	0	0	0	0
Wideband first PMI i1,1-2	0	0	0	0
Wideband first PMI i1,2-2	0	0	0	0
Wideband first PMI i1,p-2	0	0	0	0
Subband second PMI i2	0	0	0	0

Table 5.2.2.6.1-1C: Fields for channel quality information feedback for wideband CQI and subband PMI reports (transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' with codebook configuration  $(N_1, N_2, O_1, O_2)$  and *CodebookConfig*=1, except with *advancedCodebookEnabled=TRUE*)

Field	Bit width				
Field	Rank = 1	Rank = 2	Rank =3	Rank =4	
Wideband CQI codeword 0	4	4	4	4	
Wideband CQI codeword 1	0	4	4	4	
Wideband first PMI i1,1	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left[\log_2\left(\frac{N_1O_1}{S_1}\times\left(2+\left\lfloor\frac{9-N_2}{8}\right\rfloor\right)\right)\right]$	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$	
Wideband first PMI i1,2	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 \right) \right\rceil$	
Subband second PMI i2	2 <i>N</i>	2 <i>N</i>	Ν	Ν	
Field			Bit width		
	Rank = 5	Rank = 6	Rank =7	Rank =8	
Wideband CQI codeword 0	4	4	4	4	
Wideband CQI codeword 1	4	4	4	4	
Wideband first PMI i1,1	$\left\lceil \log_2\left(N_1 O_1 / S_1\right) \right\rceil$	$\left\lceil \log_2\left(N_1 O_1 / S_1\right) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2\left(N_1 O_1 / S_1\right) \right\rceil$	
Wideband first PMI i1,2	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2  /  S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	
Subband second PMI i2	0	0	0	0	

Table 5.2.2.6.1-1D: Fields for channel quality information feedback for wideband CQI and subband<br/>PMI reports (transmission mode 9/10 configured with higher layer parameter eMIMO-Type, and<br/>eMIMO-Type is set to 'CLASS A' with codebook configuration ( $N_1, N_2, O_1, O_2$ ) and CodebookConfig=2/3/4,<br/>except with advancedCodebookEnabled=TRUE)

Field			Bit width	
Field	Rank = 1	Rank = 2	Rank = 3	Rank = 4
Wideband CQI codeword 0	4	4	4	4
Wideband CQI codeword 1	0	4	4	4
Wideband first PMI i1,1	$\left\lceil \log_2 (N_1 O_1 / 2) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / 2 \right) \right\rceil$	$\left[\log_2\left(\frac{N_1O_1}{S_1} \times \left(2 + \left\lfloor\frac{9 - N_2}{8}\right\rfloor\right)\right)\right]$	$\left[\log_2\left(\frac{N_1O_1}{S_1}\times\left(2+\left\lfloor\frac{9-N_2}{8}\right\rfloor\right)\right)\right]$
Wideband first PMI i1,2	$\left\lceil \log_2 (N_2 O_2 / 2) \right\rceil$	$\left\lceil \log_2 (N_2 O_2 / 2) \right\rceil$	$\left\lceil \log_2 \left( \frac{N_2 O_2}{S_2} \right) \right\rceil$	$\left\lceil \log_2 \left( \frac{N_2 O_2}{S_2} \right) \right\rceil$
Subband second PMI i2	4 <i>N</i>	4N	4N	3 <i>N</i>
Field			Bit width	
Wideband CQI codeword 0	Rank = 5	Rank = 6 4	<b>Rank = 7</b> 4	<b>Rank= 8</b> 4
Wideband CQI codeword 1	4	4	4	4
Wideband first PMI i1,1	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2\left(N_1O_1 / S_1\right)\right\rceil$	$\left\lceil \log_2\left(N_1 O_1 / S_1\right) \right\rceil$	$\left\lceil \log_2\left(N_1O_1 / S_1\right)\right\rceil$
Wideband first PMI i1,2	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2\left(N_2O_2/S_2\right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$
Subband second PMI i2	0	0	0	0

Table 5.2.2.6.1-1D-1: Fields for channel quality information feedback for wideband CQI and subband<br/>PMI reports (transmission mode 9/10 configured with higher layer parameters<br/>advancedCodebookEnabled and eMIMO-Type, and advancedCodebookEnabled= TRUE and eMIMO-<br/>Type is set to 'CLASS A' with codebook configuration  $(N_1, N_2, Q, Q_2)$  and CodebookConfig=1)

Field		Bit width						
	Rank = 1	Rank = 2	Rank =3	Rank =4				
Wideband CQI codeword 0	4	4	4	4				
Wideband CQI codeword 1	0	4	4	4				
Wideband first PMI i1,1-1	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$	$\left[\log_2\left(\frac{N_1O_1}{S_1}\times\left(2+\left\lfloor\frac{9-N_2}{8}\right\rfloor\right)\right)\right]$				
Wideband first PMI i1,2-1	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 \right) \right\rceil$				
Wideband first PMI i1,1-2	$\left\lceil \log_2(L_1) \right\rceil$	$\left\lceil \log_2(L_1) \right\rceil$	0	0				
Wideband first PMI i1,2-2	$\left\lceil \log_2(L_2) \right\rceil$	$\left\lceil \log_2(L_2) \right\rceil$	0	0				
Wideband first PMI i1,p-2	2	2	0	0				
Subband second PMI i2	6 <i>N</i>	12 <i>N</i>	N	Ν				
Field	Donk 5	Damk C	Bit width	Denk 0				
Wideband	Rank = 5	Rank = 6	Rank =7	Rank =8				
CQI codeword 0	4	4	4	4				
Wideband CQI codeword 1	4	4	4	4				
Wideband first PMI i1,1-1	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$				
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Wideband first PMI i1,2-1	$\left\lceil \log_2\left(N_2O_2/S_2\right)\right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$				
first PMI i1,2-1 Wideband first PMI i1,1-2	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$				
first PMI i1,2-1 Wideband first PMI i1,1-2 Wideband first PMI i1,2-2								
first PMI i1,2-1 Wideband first PMI i1,1-2 Wideband first PMI	0	0	0	0				

Table 5.2.2.6.1-1D-2: Fields for channel quality information feedback for wideband CQI and subband PMI reports (transmission mode 9/10 configured with higher layer parameters advancedCodebookEnabled and eMIMO-Type, and advancedCodebookEnabled= TRUE and eMIMO-Type is set to 'CLASS A' with codebook configuration  $(N_1, N_2, Q_1, Q_2)$  and CodebookConfig=2/3/4)

Field			Bit width	
Field	Rank = 1	Rank = 2	Rank =3	Rank =4
Wideban d CQI codeword 0	4	4	4	4
Wideban d CQI codeword 1	0	4	4	4
Wideban d first PMI i1,1- 1	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$	$\left[\log_2\left(\frac{N_1O_1}{S_1} \times \left(2 + \left\lfloor\frac{9 - N_2}{8}\right\rfloor\right)\right)\right]$
Wideban d first PMI i1,2- 1	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2\left(\frac{N_2O_2}{S_2}\right) \right\rceil$	$\left\lceil \log_2\left(\frac{N_2O_2}{S_2}\right) \right\rceil$
Wideban d first PMI i1,1- 2	$\left\lceil \log_2(L_1) \right\rceil$	$\left\lceil \log_2(L_1) \right\rceil$	0	0
Wideban d first PMI i1,2- 2	$\left\lceil \log_2(L_2) \right\rceil$	$\left\lceil \log_2(L_2) \right\rceil$	0	0
Wideban d first PMI i1,p- 2	2	2	0	0
Subband second PMI i2	6 <i>N</i>	12 <i>N</i>	4 <i>N</i>	3N
Field			Bit width	
	Rank = 5	Rank = 6	Rank =7	Rank =8

Wideban d CQI codeword 0	4	4	4	4
Wideban d CQI codeword 1	4	4	4	4
Wideban d first PMI i1,1- 1	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$
Wideban d first PMI i1,2- 1	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$
Wideban d first PMI i1,1- 2	0	0	0	0
Wideban d first PMI i1,2- 2	0	0	0	0
Wideban d first PMI i1,p- 2	0	0	0	0
Subband second PMI i2	0	0	0	0

Table 5.2.2.6.1-1E: Fields for channel quality information feedback for wideband CQI and subband PMI reports (transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4/8 antenna ports with K=1 with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*2, and *eMIMO-Type*2 configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter *alternativeCodebookEnabledCLASSB\_K1=TRUE*)

	Bit width					
Field	2 anten	na ports	4 antenna ports			
	Rank = 1	Rank = 2	Rank = 1	Rank =2	Rank =3	Rank =4
Wideband CQI codeword 0	4	4	4	4	4	4
Wideband CQI codeword 1	0	4	0	4	4	4
Precoding matrix indicator	2N	Ν	3 <i>N</i>	3 <i>N</i>	2N	Ν
			Bit w	idth		
Field			8 antenn	a ports		
	Rank = 1	Rank = 2	Rank = 3	Rank =4	Rank	=5~8
Wideband CQI codeword 0	4	4	4	4	4	1
Wideband CQI codeword 1	0	4	0	4	4	1
Precoding matrix indicator	4N	4N	4N	3 <i>N</i>	(	)

If the parameter *CQI-ReportModeAperiodic* is configured to the value of *rm10-v13xy* by higher layers [6], the fields and the corresponding bit widths for channel quality information and rank indication feedback are described as below.

Table 5.2.2.6.1-1F shows the fields and the corresponding bit width for the channel quality information feedback for higher layer configured report for PDSCH transmissions associated with transmission mode 1, transmission mode 2, transmission mode 3, transmission mode 7, transmission mode 8 configured without PMI/RI reporting, transmission mode 9 configured without PMI/RI reporting or configured with 1 antenna port, and transmission mode 10 configured without PMI/RI reporting or configured with 1 antenna port.

Table 5.2.2.6.1-1G shows the fields and the corresponding bit width for the channel quality information feedback for higher layer configured report for PDSCH transmissions associated with transmission mode 9/10 configured without

PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4/8 antenna ports, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* is set to 'CLASS B' with 2/4/8 antenna ports without PMI reporting.

Table 5.2.2.6.1-1F: Fields for channel quality information feedback for wideband CQI reports (transmission mode 1, transmission mode 2, transmission mode 3, transmission mode 7, transmission mode 8 configured without PMI/RI reporting, transmission mode 9 configured without PMI/RI reporting or configured with 1 antenna port, and transmission mode 10 configured without PMI/RI reporting or configured with 1 antenna port, and transmission mode 10 configured without

Field	Bit width
Wide-band CQI	4

Table 5.2.2.6.1-1G Fields for channel quality information feedback for wideband CQI reports (transmission mode 9/10 configured without PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4/8 antenna ports, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* is set to 'CLASS B' with 2/4/8 antenna ports without PMI reporting)

Field	Bit width		
Field	Rank = 1	Rank > 1	
Wide-band CQI codeword 0	4	4	
Wide-band CQI codeword 1	0	4	

If the parameter *CQI-ReportModeAperiodic* is configured to the value of *rm11-v13xx* by higher layers [6], the fields and the corresponding bit widths for channel quality information and rank indication feedback are described as below.

Table 5.2.2.6.1-1H, Table 5.2.2.6.1-1I and Table 5.2.2.6.1-1J show the fields and the corresponding bit widths for the channel quality information feedback for higher layer configured report for PDSCH transmissions associated with transmission mode 4, transmission mode 5, transmission mode 6, transmission mode 8 configured with PMI/RI reporting, transmission mode 9 configured with PMI/RI reporting with 2/4/8 antenna ports, transmission mode 10 configured with PMI/RI reporting with 2/4/8 antenna ports, transmission mode 10 configured with PMI/RI reporting with 2/4/8 antenna ports, and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, and K=1 except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type*2 configured with PMI/RI reporting with 2/4/8 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*. The number of configured CSI-RS resources in a CSI process K is defined in [3] and *alternativeCodebookEnabledCLASSB\_K1* is configured by higher layers [6].

Table 5.2.2.6.1-1J-1 and Table 5.2.2.6.1-1J-2 show the fields and the corresponding bit widths for the channel quality information feedback for higher layer configured report for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A'.

Table 5.2.2.6.1-1J-3 shows the fields and the corresponding bit widths for the channel quality information feedback for higher layer configured report for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*. and *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type*. The parameter *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type*.

Table 5.2.2.6.1-1K-1 and Table Table 5.2.2.6.1-1K-2 show the fields and the corresponding bit widths for channel quality information feedback for higher layer configured report for PDSCH transmission associated with transmission mode 9/10 configured with higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4/8 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*.

Table 5.2.2.6.1-1K-3 and Table 5.2.2.6.1-1K-4 show the fields and the corresponding bit widths for channel quality information feedback for higher layer configured report for PDSCH transmission associated with transmission mode 9/10 configured with higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' with codebook configuration  $(N_1, N_2, O_1, O_2)$ .

For Table 5.2.2.6.1-1H, Table 5.2.2.6.1-1I, Table 5.2.2.6.1-1J, Table 5.2.2.6.1-1J-1, Table 5.2.2.6.1-1J-2 and Table 5.2.2.6.1-1J-3, *N* is defined in subclause 7.2 of [3]. For Table 5.2.2.6.1-1J-1, Table 5.2.2.6.1-1J-2, Table 5.2.2.6.1-1K-

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3, Table 5.2.2.6.1-1K-4 and Table 5.2.2.6.1-1K-5, the codebook configuration  $(N_1, N_2, O_1, O_2)$  is defined in [3], and *CodebookConfig* is configured by higher layers [6]. The parameters  $(S_1, S_2)$  in rank 1 and rank 2 are defined as  $(S_1, S_2) = (1, 1)$  for *CodebookConfig*=1 and  $(S_1, S_2) = (2, 2)$  for *CodebookConfig*=2, 3 and 4. The parameters  $(S_1, S_2)$  in rank 3 and 4 are defined as  $(S_1, S_2) = (1, 1)$  for *CodebookConfig*=1,  $(S_1, S_2) = \left(\frac{O_1}{2}, \frac{O_2}{2}\right)$  for *CodebookConfig*=2,  $(S_1, S_2) = \left(O_1, \frac{O_2}{2}\right)$  for *CodebookConfig*=3,  $(S_1, S_2) = \left(O_1, \frac{O_2}{4}\right)$  for *CodebookConfig*=4.

The parameters  $(S_1, S_2)$  in rank 5 to 8 are defined as  $(S_1, S_2) = (1, 1)$  for *CodebookConfig*=1,

 $(S_1, S_2) = \left(\frac{O_1}{4}, \frac{O_2}{4}\right)$  for CodebookConfig=2/3/4.

Table 5.2.2.6.1-1H: Fields for channel quality information feedback for wideband CQI reports (transmission mode 4, transmission mode 5, transmission mode 6, transmission mode 8 configured with PMI/RI reporting except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, transmission mode 9 configured with PMI/RI reporting with 2/4 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, transmission mode 10 configured with PMI/RI reporting with 2/4 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 2/4 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, and K=1 except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, *r12=TRUE*, and transmission mode 9/10 configured with PMI/RI reporting with 2/4 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, *r12=TRUE*, and transmission mode 9/10 configured with PMI/RI reporting with 2/4 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE* or *alternativeCodeBookEnabledFor4TX-r12=TRUE*)

		Bit width						
Field	2 anten	na ports	4 antenna ports					
	Rank = 1	Rank = 2	Rank = 1	Rank > 1				
Wide-band CQI codeword 0	4	4	4	4				
Wide-band CQI codeword 1	0	4	0	4				
Precoding matrix indicator	2	1	4	4				

Table 5.2.2.6.1-11: Fields for channel quality information feedback for wideband CQI reports (transmission mode 9 configured with PMI/RI reporting with 8 antenna ports, transmission mode 10 configured with PMI/RI reporting with 8 antenna ports, transmission mode 9/10 configured with PMI/RI reporting with 8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, and K=1 except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*2, and *eMIMO-Type*2 configured with PMI/RI reporting with 8 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*)

Field	Bitwidth			
Field	Rank = 1	Rank = 2	Rank = 3	Rank = 4

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Wideband CQI codeword 0	4	4	4	4
Wideband CQI codeword 1	0	4	4	4
Wideband first PMI i1	4	4	2	2
Wideband second PMI i2	4	4	4	3
Field		Bitw	vidth	
	Rank = 5	Rank = 6	Rank = 7	Rank = 8
Wideband CQI codeword 0	4	4	4	4
Wideband CQI codeword 1	4	4	4	4
Wideband CQI codeword 1 Wideband first PMI i1	4	4	4	4 0

Table 5.2.2.6.1-1J: Fields for channel quality information feedback for wideband CQI reports with 4 antenna ports (transmission modes 8, 9 and 10 configured with PMI/RI reporting, 4 antenna ports and *alternativeCodeBookEnabledFor4TX-r12=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 4 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, and K=1 except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*2, and *eMIMO-Type*2 configured with PMI/RI reporting with 4 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and *eMIMO-Type*2 configured with PMI/RI reporting with 4 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*]

Field	Bitwidth				
Field	Rank = 1	Rank = 2	Rank = 3	Rank = 4	
Wideband CQI codeword 0	4	4	4	4	
Wideband CQI codeword 1	0	4	4	4	
Wideband first PMI i1	4	4	0	0	
Wideband second PMI i2	4	4	4	4	

Table 5.2.2.6.1-1J-1: Fields for channel quality information feedback for wideband CQI reports (transmission mode 9/10 configured with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' with codebook configuration (*N*, *N*, *Q*, *Q*,) and CodebookConfig=1)

Field		Bit width		
Field	Rank = 1	Rank = 2	Rank =3	Rank =4
Wideband CQI codeword 0	4	4	4	4
Wideband CQI codeword 1	0	4	4	4
Wideband first PMI i1,1	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left[\log_2\left(\frac{N_1O_1}{S_1}\times\left(2+\left\lfloor\frac{9-N_2}{8}\right\rfloor\right)\right)\right]$	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$
Wideband first PMI i1,2	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2  /  S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2  /  S_2 \right) \right\rceil$
Wideband second PMI i2	2	2	1	1
Field			Bit width	
rielu	Rank = 5	Rank = 6	Rank =7	Rank =8

Wideband CQI codeword 0	4	4	4	4
Wideband CQI codeword 1	4	4	4	4
Wideband first PMI i1,1	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2\left(N_1 O_1 / S_1\right) \right\rceil$	$\left\lceil \log_2\left(N_1O_1 / S_1\right) \right\rceil$	$\left\lceil \log_2\left(N_1 O_1 / S_1\right) \right\rceil$
Wideband first PMI i1,2	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2\left(N_2O_2/S_2\right)\right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$
Wideband second PMI i2	0	0	0	0

# Table 5.2.2.6.1-1J-2: Fields for channel quality information feedback for wideband CQI reports(transmission mode 9/10 configured with higher layer parameter eMIMO-Type, and eMIMO-Type is setto 'CLASS A' with codebook configuration $(N_1, N_2, Q, Q_2)$ and CodebookConfig=2/3/4)

Field	Bit width						
Field	Rank = 1	Rank = 2	Rank =3	Rank =4			
Wideband CQI codeword 0	4	4	4	4			
Wideband CQI codeword 1	0	4	4	4			
Wideband first PMI i1,1	$\left\lceil \log_2 (N_1 O_1 / 2) \right\rceil$	$\left\lceil \log_2(N_1O_1/2) \right\rceil$	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$			
Wideband first PMI i1,2	$\left\lceil \log_2 (N_2 O_2 / 2) \right\rceil$	$\left\lceil \log_2 (N_2 O_2 / 2) \right\rceil$	$\left\lceil \log_2 \left( \frac{N_2 O_2}{S_2} \right) \right\rceil$	$\left\lceil \log_2 \left( \frac{N_2 O_2}{S_2} \right) \right\rceil$			
Wideband second PMI i2	4	4	4	3			
Field			Bit width				
	Rank = 5	Rank = 6	Rank =7	Rank =8			
Wideband CQI codeword 0	4	4	4	4			
Wideband CQI codeword 1	4	4	4	4			
Wideband first PMI i1,1	$\left\lceil \log_2\left(N_1 O_1 / S_1\right) \right\rceil$	$\left\lceil \log_2\left(N_1O_1/S_1\right)\right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$			
Wideband first PMI i1,2	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2\left(N_2O_2/S_2\right)\right\rceil$	$\left\lceil \log_2 \left( N_2 O_2  /  S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$			
Wideband second PMI i2	0	0	0	0			

Table 5.2.2.6.1-1J-3: Fields for channel quality information feedback for wideband CQI reports (transmission mode 9/10 configured with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4/8 antenna ports with K=1 and *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*2, and *eMIMO-Type*2 configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter *alternativeCodebookEnabledCLASSB\_K1=TRUE*)

Field	Bit width					
	2 antenna ports		4 antenna ports			
	Rank = 1	Rank = 2	Rank = 1	Rank =2	Rank =3	Rank =4
Wideband CQI codeword 0	4	4	4	4	4	4
Wideband CQI codeword 1	0	4	0	4	4	4
Precoding matrix indicator	2	1	3	3	2	1
Field			Bit w	vidth		
			8 antenr	na ports		
	Rank = 1	Rank = 2	Rank = 3	Rank =4	Rank =5 to	o Rank = 8
Wideband CQI codeword 0	4	4	4	4	4	1
Wideband CQI codeword 1	0	4	4	4	4	
Precoding matrix indicator	4	4	4	3	(	)

Table 5.2.2.6.1-1K-1: Fields for channel quality information feedback for wideband CQI reports (transmission mode 9/10 configured with higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE* or *alternativeCodeBookEnabledFor4TX-r12=TRUE*)

Field	Bit width		
Field	Rank = 1	Rank = 2	
Wide-band CQI codeword 0	4	4	
Wide-band CQI codeword 1	0	4	

Table 5.2.2.6.1-1K-2: Fields for channel quality information feedback for wideband CQI reports (transmission mode 9/10 configured with higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 4/8 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, with *alternativeCodeBookEnabledFor4TXr12=TRUE*)

Field	Bitwidth		
Field	Rank = 1	Rank = 2	
Wideband CQI codeword 0	4	4	
Wideband CQI codeword 1	0	4	
Wideband first PMI i1	4	4	

Table 5.2.2.6.1-1K-3: Fields for channel quality information feedback for wideband CQI reports(transmission mode 9/10 configured with higher layer parameters semiOpenLoop and eMIMO-Type,<br/>and eMIMO-Type is set to 'CLASS A' with codebook configuration  $(N_1, N_2, O_1, O_2)$  and

CodebookConfig=1)

Field	Bit width			
Field	Rank = 1	Rank = 2		
Wideband CQI codeword 0	4	4		
Wideband CQI codeword 1	0	4		
Wideband first PMI i1,1	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2(N_1O_1) \right\rceil$		
Wideband first PMI i1,2	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2(N_2O_2) \right\rceil$		

Table 5.2.2.6.1-1K-4: Fields for channel quality information feedback for wideband CQI reports(transmission mode 9/10 configured with higher layer parameters semiOpenLoop and eMIMO-Type,<br/>and eMIMO-Type is set to 'CLASS A' with codebook configuration  $(N_1, N_2, O_1, O_2)$  and

Field	Bit width				
Field	Rank = 1	Rank = 2			
Wideband CQI codeword 0	4	4			
Wideband CQI codeword 1	0	4			
Wideband first PMI i1,1	$\left\lceil \log_2 (N_1 O_1 / 2) \right\rceil$	$\left\lceil \log_2(N_1O_1/2) \right\rceil$			
Wideband first PMI i1,2	$\left\lceil \log_2 \left( N_2 O_2 / 2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / 2 \right) \right\rceil$			

CodebookConfig=2/3/4)

Table 5.2.2.6.1-1K-5 shows the fields and the corresponding bit widths for i1 for wideband CQI reports for PDSCH transmissions associated with transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* is set to 'CLASS B', and *eMIMO-Type* is set to 'CLASS A' with 8/12/16/20/24/28/32 antenna ports, where i1 is associated with Class A.

## Table 5.2.2.6.1-1K-5: Fields for i1 feedback (transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type* is set to 'CLASS A' with codebook configuration $(N_i, N_j, O_i, O_j)$ , where i1 is associated with Class A)

	Bit width					
Field	Max 1 or 2 layers	or 2 layers Max 4 or 8 layers				
	Rank = 1	Rank = 1	Rank =3			
Wideband first PMI i1,1	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2 (N_1 O_1 / S_1) \right\rceil$	$\left[\log_2\left(\frac{N_1O_1}{S_1}\times\left(2+\left\lfloor\frac{9-N_2}{8}\right\rfloor\right)\right)\right]$			
Wideband first PMI i1,2	$\left\lceil \log_2 \left( N_2 O_2  /  S_2 \right) \right\rceil$	$\left\lceil \log_2 (N_2 O_2 / S_2) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2  /  S_2 \right) \right\rceil$			

Table 5.2.2.6.1-2 shows the fields and the corresponding bit width for the rank indication feedback for wideband CQI reports for PDSCH transmissions associated with transmission mode 3, transmission mode 4, transmission mode 8 configured with PMI/RI reporting, transmission mode 9 configured with PMI/RI reporting with 2/4/8 antenna ports, transmission mode 10 configured with PMI/RI reporting with 2/4/8 antenna ports, transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter eMIMO-Type, and eMIMO-Type is set to 'CLASS B' with K=1, transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter csi-RS-NZP-mode set to 'multi-shot' with activatedResources=1 and more than one ports for the activated CSI-RS resource, transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter csi-RS-ConfigNZP-ApList, and the higher layer parameter csi-RS-NZP-mode is set to 'aperiodic' and more than one ports for the activated CSI-RS resource, transmission mode 9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type2 configured with PMI/RI reporting with 2/4/8 antenna ports, and rank indication is associated with eMIMO-Type2, transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameter eMIMO-Type, and eMIMO-Type is set to 'CLASS A', and transmission mode 9/10 configured without PMI reporting and higher layer parameter eMIMO-Type, and eMIMO-Type is set to 'CLASS B' with K=1 with 2/4/8 antenna ports, transmission mode 9/10 configured without PMI reporting and higher layer parameter csi-RS-NZPmode set to 'multi-shot' and with activated Resources=1 and more than one ports for the activated CSI-RS resource, transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter csi-RS-ConfigNZP-ApList and the higher layer parameter csi-RS-NZP-mode is set to 'aperiodic' and more than one ports for the activated CSI-RS resource, and transmission mode 9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type2 configured without PMI reporting with 2/4/8 antenna ports, and rank indication is associated with eMIMO-Type2.

Table 5.2.2.6.1-2A shows the fields and the corresponding bit width for the CRI feedback for wideband CQI reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting for Class B CSI reporting with K>1 CSI-RS resources and one port per CSI-RS resource except with higher layer parameter *csi-RS-NZP-mode* configured, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, where CRI is associated with the *eMIMO-Type*.

Table 5.2.2.6.1-2B shows the fields and the corresponding bit width for the joint CRI and RI feedback for wideband CQI reports for PDSCH transmissions associated with transmission mode 9/10 configured without PMI reporting and

higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 except with higher layer parameter *csi-RS-NZP-mode* configured, and transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 CSI-RS resources and more than one ports for at least one CSI-RS resource except with higher layer parameter *csi-RS-NZP-mode* configured.

Table 5.2.2.6.1-2C shows the fields and the corresponding bit width for the CRI feedback for wideband CQI reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting and the higher layer parameter *csi-RS-NZP-mode* is set to 'multi-shot' with *activatedResources*>1 and one port per activated CSI-RS resource. N is the value of higher layer parameter *activatedResources*.

Table 5.2.2.6.1-2D shows the fields and the corresponding bit width for the joint CRI and RI feedback for wideband CQI reports for PDSCH transmissions associated with transmission mode 9/10 configured without PMI reporting and higher layer parameter *csi-RS-NZP-mode* is set to 'multi-shot' with *activatedResources*>1 and more than one ports for at least one activated CSI-RS resource, and transmission mode 9/10 configured with PMI/RI reporting and the higher layer parameter *csi-RS-NZP-mode* is set to 'multi-shot' with *activatedResources*>1 and more than one ports for at least one activated CSI-RS resource. N is the value of higher layer parameter *activatedResources*.

Table 5.2.2.6.1-2E shows the fields and the corresponding bit width for the rank indication feedback for wideband CQI reports for PDSCH transmissions associated with transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*2, and *eMIMO-Type* is set to 'CLASS A' and *eMIMO-Type*2 is set to 'CLASS B', where rank indication is associated with the *eMIMO-Type*.

Table 5.2.2.6.1-2: Fields for rank indication feedback for wideband CQI reports (transmission mode 3, transmission mode 4, transmission mode 8 configured with PMI/RI reporting, transmission mode 9 configured with PMI/RI reporting with 2/4/8 antenna ports, transmission mode 9/10 configured with PMI/RI or without PMI reporting with 2/4/8 antenna ports, transmission mode 9/10 configured with PMI/RI or without PMI reporting with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1, transmission mode 9/10 configured with PMI/RI or without PMI reporting and higher layer parameter *csi-RS-NZP-mode* is set to 'multi-shot' with *activatedResources*=1 and more than one ports for the activated CSI-RS resource, transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *csi-RS-ConfigNZP-ApList* and the higher layer parameter *csi-RS-NZP-mode* is set to 'aperiodic' and more than one ports for the activated CSI-RS resource, transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *csi-RS-ConfigNZP-ApList* and the higher layer parameter *csi-RS-NZP-mode* is set to 'aperiodic' and more than one ports for the activated CSI-RS resource, transmission mode 9/10 and higher layer parameter *eMIMO-Type* and *eMIMO-Type*2, and *eMIMO-Type*2, and *transmission mode* 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports and rank indication is associated with *eMIMO-Type*2, and transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A')

			Bit width				
Field 2 a	2 antenna	4 antenna ports		8/12/16/20/24/28/32 antenna ports			
Field	ports	Max 1 or 2 layers	Max 4 layers	Max 1 or 2 layers	Max 4 layers	Max 8 layers	
Rank indication	1	1	2	1	2	3	

Table 5.2.2.6.1-2A: Fields for CRI feedback for wideband CQI reports (transmission mode 9/10 configured with PMI/RI reporting for Class B CSI reporting with K>1 CSI-RS resources and 1 port per CSI-RS resource except with higher layer parameter *csi-RS-NZP-mode* configured, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type* is set to 'CLASS B' with K>1, where CRI is associated with the *eMIMO-Type*)

Field	Bit width				
i ieiu	K=2 K=3 and K=4 K=5 to K=8				
CRI	1	2	3		

Table 5.2.2.6.1-2B: Fields for joint CRI and RI feedback for wideband CQI reports (transmission mode 9/10 configured without PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 except with higher layer parameter *csi-RS-NZP-mode* configured, and transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 CSI-RS resources and >1 ports for at least one CSI-RS resource except with higher layer parameter *csi-RS-NZP-mode* configured)

	Bit width					
Field 2 antenna		4 antenna ports		8 antenna ports		
Field	2 antenna ports	Max 1 or 2	Max 4	Max 1 or 2	Max 4	Max 8
		layers	layers	layers	layers	layers
CRI	$\lceil \log_2(K) \rceil$					
Rank indication	1	1	2	1	2	3

Table 5.2.2.6.1-2C: Fields for CRI feedback for wideband CQI reports (transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *csi-RS-NZP-mode* is set to 'multi-shot' with *activatedResources*>1 and 1 port per activated CSI-RS resource)

Field	Bit width		
Field	N = 2	N = 3 and N = 4	
CRI	1	2	

Table 5.2.2.6.1-2D: Fields for joint CRI and RI feedback for wideband CQI reports (transmission mode 9/10 configured without PMI reporting and higher layer parameter *csi-RS-NZP-mode* is set to 'multi-shot' with *activatedResources*>1 and more than one ports for at least one activated CSI-RS resource, and transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *csi-RS-NZP-mode* is set to 'multi-shot' with *activatedResources*>1 and *st configured* and *st* 

	Bit width						
Field	2 antenna	4 antenna	a ports	8 antenna ports			
Field	ports	Max 1 or 2 Max 4 Max 1 or 2 layers layers layers		Max 4 layers	Max 8 layers		
CRI	$\left\lceil \log_2(N) \right\rceil$	$\left\lceil \log_2(N) \right\rceil$	$\left\lceil \log_2(N) \right\rceil$	$\left\lceil \log_2(N) \right\rceil$	$\left\lceil \log_2(N) \right\rceil$	$\left\lceil \log_2(N) \right\rceil$	
Rank indication	1	1	2	1	2	3	

Table 5.2.2.6.1-2E: Fields for rank indication feedback for wideband CQI reports (transmission mode 9/10 and higher layer parameter *eMIMO-Type* and *eMIMO-Type*2, and *eMIMO-Type* set to 'CLASS A' and *eMIMO-Type*2 is set to 'CLASS B', where rank indication is associated with the *eMIMO-Type*)

	Bit width			
Field	8/12/16/20/24/28/32 antenna ports			
	Max 1 or 2 layers	Max 4 or 8 layers		
Rank indication	0	1		

The channel quality bits in Table 5.2.2.6.1-1, Table 5.2.2.6.1-1A, Table 5.2.2.6.1-1B, Table 5.2.2.6.1-1B-1, Table 5.2.2.6.1-1B-2, Table 5.2.2.6.1-1C, Table 5.2.2.6.1-1D, Table 5.2.2.6.1-1D-1, Table 5.2.2.6.1-1D-2, Table 5.2.2.6.1-1E, Table 5.2.2.6.1-1F, Table 5.2.2.6.1-1G, Table 5.2.2.6.1-1H, Table 5.2.2.6.1-1I, Table 5.2.2.6.1-1J, Table 5.2.2.6.1-1J, Table 5.2.2.6.1-1J, Table 5.2.2.6.1-1J, Table 5.2.2.6.1-1J-2, Table 5.2.2.6.1-1J-3, Table 5.2.2.6.1-1K-1, Table 5.2.2.6.1-1K-2, Table 5.2.2.6.1-1K-3, Table 5.2.2.6.1-1K-4, Table 5.2.2.6.1-2B, and Table 5.2.2.6.1-2D, form the bit sequence  $o_0, o_1, o_2, \dots, o_{O-1}$  with  $o_0$  corresponding to the first bit of the first field in the table,  $o_1$  corresponding to the second bit of the first field in the table,  $o_1$  corresponds to MSB and the last bit LSB. The RI bits sequence in Table 5.2.2.6.1-2B, Table 5.2.2.6.1-2D, Table 5.2.2.6.1-2E and the CRI sequence in Table 5.2.2.6.1-2A, Table 5.2.2.6.1-2C are encoded according to subclause 5.2.2.6.

For transmission mode 9/10 configured with Class B CSI reporting and K>1, the number of antenna port in Table 5.2.2.6.1-2B refers to the maximum number of antenna ports of K CSI-RS resources configured for the CSI-process for the UE.

For transmission mode 9/10 configured with Class B CSI reporting and K>1 and with *activatedResources*>1, the number of antenna port in Table 5.2.2.6.1-2D refers to the maximum number of antenna ports of N CSI-RS resources activated for the CSI-process for the UE. N is the value of higher layer parameter *activatedResources*.

### 5.2.2.6.2 Channel quality information formats for higher layer configured subband CQI reports

Table 5.2.2.6.2-1 shows the fields and the corresponding bit width for the channel quality information feedback for higher layer configured report for PDSCH transmissions associated with transmission mode 1, transmission mode 2, transmission mode 3, transmission mode 7, transmission mode 8 configured without PMI/RI reporting, transmission mode 9 configured without PMI/RI reporting or configured with 1 antenna port, and transmission mode 10 configured without PMI/RI reporting or configured with 1 antenna port. N in Table 5.2.2.6.2-1 is defined in subclause 7.2 of [3].

Table 5.2.2.6.2-1A shows the fields and the corresponding bit width for the channel quality information feedback for higher layer configured report for PDSCH transmissions associated with transmission mode 9/10 configured without PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4/8 antenna ports, transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type2*, and *eMIMO-Type2*, and *eMIMO-Type2*, ast to 'CLASS B' without PMI reporting with 2/4/8 antenna ports, and transmission mode 9/10 configured with PMI/RI reporting and higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4/8 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE* or *alternativeCodeBookEnabledFor4TX-r12=TRUE*.

Table 5.2.2.6.2-1: Fields for channel quality information feedback for higher layer configured subband CQI reports (transmission mode 1, transmission mode 2, transmission mode 3, transmission mode 7, transmission mode 8 configured without PMI/RI reporting, transmission mode 9 configured without PMI/RI reporting or configured with 1 antenna port, and transmission mode 10 configured without PMI/RI reporting or configured with 1 antenna port, and transmission mode 10 configured without

Field	Bit width
Wide-band CQI codeword	4
Subband differential CQI	2N

Table 5.2.2.6.2-1A Fields for channel quality information feedback for higher layer configured subband CQI reports (transmission mode 9/10 configured without PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4/8 antenna ports, transmission mode 9/10 configured with higher layer parameter *eMIMO-Type and eMIMO-Type2*, and *eMIMO-Type2* is set to 'CLASS B' without PMI reporting with 2/4/8 antenna ports, and transmission mode 9/10 configured with PMI/RI reporting and higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4 antenna ports except with

alternativeCodebookEnabledCLASSB\_K1=TRUE or alternativeCodeBookEnabledFor4TX-r12=TRUE)

Field	Bit width		
	Rank = 1	Rank > 1	
Wide-band CQI codeword 0	4	4	
Subband differential CQI codeword 0	2N	2N	
Wide-band CQI codeword 1	0	4	
Subband differential CQI codeword 1	0	2N	

Table 5.2.2.6.2-2, Table 5.2.2.6.2-2A and Table 5.2.2.6.2-2B show the fields and the corresponding bit widths for the channel quality information feedback for higher layer configured report for PDSCH transmissions associated with transmission mode 4, transmission mode 5, transmission mode 6, transmission mode 8 configured with PMI/RI reporting, transmission mode 9 configured with PMI/RI reporting with 2/4/8 antenna ports except with *advancedCodebookEnabled=TRUE*, transmission mode 10 configured with PMI/RI reporting with 2/4/8 antenna ports except with *advancedCodebookEnabled=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports except with *advancedCodebookEnabled=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports except with *advancedCodebookEnabled=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports except with *advancedCodebookEnabled=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports except with *advancedCodebookEnabled=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports except with *advancedCodebookEnabled=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, and K=1

except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* configured with PMI/RI reporting with 2/4/8 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*. The number of configured CSI-RS resources in a CSI process K is defined in [3] and *alternativeCodebookEnabledCLASSB\_K1* is configured by higher layers [6].

Table 5.2.2.6.2-2B-1 and Table 5.2.2.6.2-2B-2 show the fields and the corresponding bit widths for the channel quality information feedback for higher layer configured report for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' except with *advancedCodebookEnabled=TRUE*.

Table5.2.2.6.2-2B-3 shows the fields and the corresponding bit widths for the channel quality information feedback for higher layer configured report for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* and *eMIMO-Type*.

Table5.2.2.6.2-2B-4 shows the fields and the corresponding bit widths for the channel quality information feedback for higher layer configured report for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 4/8 antenna ports and higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' without *alternativeCodebookEnabledCLASSB\_K1=TRUE*, with *alternativeCodebookEnabledCLASSB\_K1=TRUE*.

Table5.2.2.6.2-2B-5 and Table5.2.2.6.2-2B-6 show the fields and the corresponding bit widths for the channel quality information feedback for higher layer configured report for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting and higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' with 8/12/16/20/24/28/32 antenna ports.

Table 5.2.2.6.2-2B-7 and Table 5.2.2.6.2-2B-8 show the fields and the corresponding bit widths for the channel quality information feedback for higher layer configured report for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 4/8 antenna ports and higher layer parameter *advancedCodebookEnabled=TRUE*.

Table 5.2.2.6.2-2B-9 and Table 5.2.2.6.2-2B-10 show the fields and the corresponding bit widths for the channel quality information feedback for higher layer configured report for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameters *advancedCodebookEnabled* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' and *advancedCodebookEnabled* =*TRUE*.

For Table 5.2.2.6.2-2, Table 5.2.2.6.2-2A, Table 5.2.2.6.2-2B, Table 5.2.2.6.2-2B-1, Table 5.2.2.6.2-2B-2, Table 5.2.2.6.2-2B-3, Table 5.2.2.6.2-2B-4, Table 5.2.2.6.2-2B-5, Table 5.2.2.6.2-2B-6, Table 5.2.2.6.2-2B-7, Table 5.2.2.6.2-2B-8, Table 5.2.2.6.2-2B-9 and Table 5.2.2.6.2-2B-10, *N* is defined in subclause 7.2 of [3]. For Table 5.2.2.6.2-2B-1, Table 5.2.2.6.2-2B-2, Table 5.2.2.6.2-2B-5, Table 5.2.2.6.2-2B-6, Table 5.2.2.6.2-2B-8, Table 5.2.2.6.2-2B-9 and Table 5.2.2.6.2-2B-10, *N* is defined in [3], and *CodebookConfig* is configured by  $(N_1, N_2, O_1, O_2)$  is defined in [3], and *CodebookConfig* is configured by  $(N_1, N_2, O_1, O_2)$ .

higher layers [6]. The parameters  $(S_1, S_2)$  in rank 3 and 4 are defined as  $(S_1, S_2) = (1, 1)$  for *CodebookConfig*=1,

$$(S_1, S_2) = \left(\frac{O_1}{2}, \frac{O_2}{2}\right) \text{ for } CodebookConfig=2, (S_1, S_2) = \left(O_1, \frac{O_2}{2}\right) \text{ for } CodebookConfig=3,$$
$$(S_1, S_2) = \left(O_1, \frac{O_2}{4}\right) \text{ for } CodebookConfig=4. \text{ The parameters } (S_1, S_2) \text{ in rank 5 to 8 are defined as}$$

 $(S_1, S_2) = (1, 1)$  for CodebookConfig=1,  $(S_1, S_2) = \left(\frac{O_1}{4}, \frac{O_2}{4}\right)$  for CodebookConfig=2/3/4. The parameters

 $(L_1, L_2)$  are defined as  $L_1 = \min\{4, N_1\}$  and  $L_2 = 2$  for  $N_1 \ge N_2$  and  $N_2 \ne 1$ ,  $L_1 = 2$  and  $L_2 = \min\{4, N_2\}$  for  $N_1 < N_2$  and  $N_1 \ne 1$ ,  $L_1 = \min\{8, N_1\}$  and  $L_2 = 1$  for  $N_2 = 1$ .

Table 5.2.2.6.2-2: Fields for channel quality information feedback for higher layer configured subband CQI reports (transmission mode 4, transmission mode 5, transmission mode 6, transmission mode 8 configured with PMI/RI reporting except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, transmission mode 9 configured with PMI/RI reporting with 2/4 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE* or *advancedCodebookEnabled=TRUE*, transmission mode 10 configured with PMI/RI reporting with 2/4 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE* or *advancedCodebookEnabled=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 2/4 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE* or *advancedCodebookEnabled=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 2/4 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, and K=1 except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with PMI/RI reporting with 2/4 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and *transmission mode* 9/10 configured with PMI/RI reporting with 2/4 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, or *alternativeCodeBookEnabledFor4TX-r12=TRUE*)

Field	Bit width				
	2 anten	na ports	4 antenna ports		
	Rank = 1	Rank = 2	Rank = 1	Rank > 1	
Wide-band CQI codeword 0	4	4	4	4	
Subband differential CQI codeword 0	2N	2N	2N	2N	
Wide-band CQI codeword 1	0	4	0	4	
Subband differential CQI codeword 1	0	2N	0	2N	
Precoding matrix indicator	2	1	4	4	

Table 5.2.2.6.2-2A: Fields for channel quality information feedback for higher layer configured subband CQI reports (transmission mode 9 configured with PMI/RI reporting with 8 antenna ports except with *advancedCodebookEnabled=TRUE*, transmission mode 10 configured with PMI/RI reporting with 8 antenna ports except with *advancedCodebookEnabled=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, and K=1 except with

alternativeCodebookEnabledCLASSB\_K1=TRUE, and transmission mode 9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type2 configured with PMI/RI reporting with 8 antenna ports except with alternativeCodebookEnabledCLASSB\_K1=TRUE)

Field		Bitw	vidth	
Field	Rank = 1	Rank = 2	Rank = 3	Rank = 4
Wideband CQI codeword 0	4	4	4	4
Subband differential CQI codeword 0	2N	2N	2N	2N
Wideband CQI codeword 1	0	4	4	4
Subband differential CQI codeword 1	0	2N	2N	2N
Wideband first PMI i1	4	4	2	2
Wideband second PMI i2	4	4	4	3
Field		Bitw	vidth	
	Rank = 5	Rank = 6	Rank = 7	Rank = 8
Wideband CQI codeword 0	4	4	4	4
Subband differential CQI codeword 0	2N	2N	2N	2N
Wideband CQI codeword 1	4	4	4	4
Subband differential CQI codeword 1	2N	2N	2N	2N
Wideband first PMI i1	2	2	2	0
Wideband second PMI i2	0	0	0	0

Table 5.2.2.6.2-2B: Fields for channel quality information feedback for higher layer configured subband CQI reports with 4 antenna ports (transmission modes 8, 9 and 10 configured with PMI/RI reporting, 4 antenna ports and *alternativeCodeBookEnabledFor4TX-r12=TRUE* except with *advancedCodebookEnabled=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 4 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, and K=1 except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* configured with PMI/RI reporting with 4 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and *transmission mode* 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* configured with PMI/RI reporting with 4 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, with

Field	Bitwidth			
Field	Rank = 1	Rank = 2	Rank = 3	Rank = 4
Wideband CQI codeword 0	4	4	4	4
Subband differential CQI codeword 0	2 <i>N</i>	2N	2N	2N
Wideband CQI codeword 1	0	4	4	4
Subband differential CQI codeword 1	0	2N	2N	2N
Wideband first PMI i1	4	4	0	0
Wideband second PMI i2	4	4	4	4

Table 5.2.2.6.2-2B-1: Fields for channel quality information feedback for higher layer configuredsubband CQI reports (transmission mode 9/10 configured with higher layer parameter eMIMO-Type,and eMIMO-Type is set to 'CLASS A' with codebook configuration ( $N_1, N_2, O_1, O_2$ ) andCodebookConfig=1, except with advancedCodebookEnabled=TRUE

Field	Bit width					
	Rank = 1	Rank = 2	Rank =3	Rank =4		
Wideband CQI codeword 0	4	4	4	4		
Subband differential CQI codeword 0	2N	2N	2N	2N		
Wideband CQI codeword 1	0	4	4	4		
Subband differential CQI codeword 1	0	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>		
Wideband first PMI i1,1	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left[\log_2\left(\frac{N_1O_1}{S_1}\times\left(2+\left\lfloor\frac{9-N_2}{8}\right\rfloor\right)\right)\right]$	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$		
Wideband first PMI i1,2	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$		
Wideband second PMI i2	2	2	1	1		
	Bit width					
Field	Donk - 5	Donk - 6		Denk - 9		
	Rank = 5	Rank = 6	Bit width Rank =7	Rank =8		
Wideband CQI codeword 0	<b>Rank = 5</b> 4	<b>Rank = 6</b> 4		Rank =8 4		
Wideband CQI codeword 0 Subband differential CQI codeword 0			Rank =7			
Wideband CQI codeword 0 Subband differential CQI codeword	4	4	<b>Rank =7</b> 4	4		
Wideband CQI codeword 0 Subband differential CQI codeword 0 Wideband CQI codeword 1 Subband differential CQI codeword 1	4 2N	4 2N	<b>Rank =7</b> 4 2 <i>N</i>	4 2N		
Wideband CQI codeword 0 Subband differential CQI codeword 0 Wideband CQI codeword 1 Subband differential CQI codeword 1 Wideband first PMI i1,1	4 2N 4	4 2N 4	Rank =7           4           2N           4	4 2N 4		
Wideband CQI codeword 0 Subband differential CQI codeword 0 Wideband CQI codeword 1 Subband differential CQI codeword 1 Wideband first PMI	4 2N 4 2N	$4$ $2N$ $4$ $2N$ $\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\frac{\text{Rank} = 7}{4}$ $2N$ $4$ $\sum_{n=1}^{\infty} \frac{1}{2N}$ $\left[\log_2\left(N_1O_1 / S_1\right)\right]$	4 2N 4 2N		

Table 5.2.2.6.2-2B-2: Fields for channel quality information feedback for higher layer configuredsubband CQI reports (transmission mode 9/10 configured with higher layer parameter eMIMO-Type,and eMIMO-Type is set to 'CLASS A' with codebook configuration ( $N_1, N_2, O_1, O_2$ ) andCodebookConfig=2/3/4, except with advancedCodebookEnabled=TRUE

Field	Bit width					
Field	Rank = 1	Rank = 2	Rank =3	Rank =4		
Wideband CQI codeword 0	4	4	4	4		
Subband differential CQI codeword 0	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>		
Wideband CQI codeword 1	0	4	4	4		
Subband differential CQI codeword 1	0	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>		
Wideband first PMI i1,1	$\left\lceil \log_2(N_1O_1/2) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / 2 \right) \right\rceil$	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$		
Wideband first PMI i1,2	$\left\lceil \log_2 (N_2 O_2 / 2) \right\rceil$	$\left\lceil \log_2(N_2O_2/2) \right\rceil$	$\left\lceil \log_2 \left( \frac{N_2 O_2}{S_2} \right) \right\rceil$	$\left\lceil \log_2\left(\frac{N_2O_2}{S_2}\right) \right\rceil$		
Wideband second PMI i2	4	4	4	3		
Field			Bit width			
	Rank = 5	Rank = 6	Rank =7	Rank =8		
Wideband CQI codeword 0	4	4	4	4		
Subband differential CQI codeword 0	2 <i>N</i>	2 <i>N</i>	2N	2 <i>N</i>		
Wideband CQI codeword 1	4	4	4	4		
Subband differential CQI codeword 1	2 <i>N</i>	2 <i>N</i>	2N	2N		
Wideband first PMI i1,1	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2\left(N_1O_1 / S_1\right) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$		
Wideband first PMI i1,2	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2\left(N_2O_2 / S_2\right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2  /  S_2 \right) \right\rceil$		
Wideband second PMI i2	0	0	0	0		

Table 5.2.2.6.2-2B-3: Fields for channel quality information feedback for higher layer configured subband CQI reports (transmission mode 9/10 configured with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4/8 antenna ports with K=1 and *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*2, and *eMIMO-Type*2 configured with 2/4/8 antenna ports and higher layer parameter *alternativeCodebookEnabledCLASSB\_K1=TRUE*)

	Bit width					
Field	2 antenna ports		4 antenna ports			
	Rank = 1	Rank = 2	Rank = 1	Rank =2	Rank =3	Rank =4
Wideband CQI codeword 0	4	4	4	4	4	4
Subband differential CQI codeword 0	2N	2N	2N	2N	2N	2N
Wideband CQI codeword 1	0	4	0	4	4	4
Subband differential CQI codeword 1	0	2N	0	2N	2N	2N
Precoding matrix indicator	2	1	3	3	2	1
	Bit width					
Field		8 antenna ports				
	Rank = 1	Rank = 2	Rank = 3	Rank =4	Rank =5 to	o Rank = 8
Wideband CQI codeword 0	4	4	4	4	4	1
Subband differential CQI codeword 0	2N	2N	2N	2N	2.	N
Wideband CQI codeword 1	0	4	4	4	4	1
Subband differential CQI codeword 1	0	2N	2N	2N	2.	N
Precoding matrix indicator	4	4	4	3	(	)

Table 5.2.2.6.2-2B-4: Fields for channel quality information feedback for higher layer configured subband CQI reports (transmission mode 9/10 configured with PMI/RI reporting and higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 4/8 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*)

Field	Bitw	/idth
Field	Rank = 1	Rank = 2
Wideband CQI codeword 0	4	4
Subband differential CQI codeword 0	2N	2N
Wideband CQI codeword 1	0	4
Subband differential CQI codeword 1	0	2N
Wideband first PMI i1	4	4

Table 5.2.2.6.2-2B-5: Fields for channel quality information feedback for higher layer configured subband CQI reports (transmission mode 9/10 configured with PMI/RI reporting and higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' with codebook configuration  $(N_1, N_2, Q, Q_1)$  and CodebookConfig=1)

Field	Bit width		
Field	Rank = 1	Rank = 2	
Wideband CQI codeword 0	4	4	
Subband differential CQI codeword 0	2N	2N	
Wideband CQI codeword 1	0	4	
Subband differential CQI codeword 1	0	2N	
Wideband first PMI i1,1	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2(N_1 O_1) \right\rceil$	
Wideband first PMI i1,2	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2(N_2O_2) \right\rceil$	

Table 5.2.2.6.2-2B-6: Fields for channel quality information feedback for higher layer configured subband CQI reports (transmission mode 9/10 configured with PMI/RI reporting and higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' with codebook configuration  $(N_1, N_2, Q, Q_2)$  and CodebookConfig=2/3/4)

Field	Bit width	
	Rank = 1	Rank = 2

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Wideband CQI codeword 0	4	4
Subband differential CQI codeword 0	2N	2N
Wideband CQI codeword 1	0	4
Subband differential CQI codeword 1	0	2N
Wideband first PMI i1,1	$\left\lceil \log_2 (N_1 O_1 / 2) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / 2 \right) \right\rceil$
Wideband first PMI i1,2	$\left\lceil \log_2 (N_2 O_2 / 2) \right\rceil$	$\left\lceil \log_2(N_2O_2/2) \right\rceil$

### Table 5.2.2.6.2-2B-7: Fields for channel quality information feedback for higher layer configured subband CQI reports (transmission mode 9/10 configured with PMI/RI reporting with 4 antenna ports and higher layer parameter *advancedCodebookEnabled=TRUE*)

Field	Bit width			
Field	Rank = 1	Rank = 2	Rank = 3	Rank = 4
Wideband CQI codeword 0	4	4	4	4
Subband differential CQI codeword 0	2N	2N	2N	2N
Wideband CQI codeword 1	0	4	4	4
Subband differential CQI codeword 1	0	2N	2N	2N
Wideband first PMI i1,1-1	3	3	0	0
Wideband first PMI i1,2-1	0	0	0	0
Wideband first PMI i1,1-2	0	0	0	0
Wideband first PMI i1,2-2	0	0	0	0
Wideband first PMI i1,p-2	2	2	0	0
Wideband second PMI i2	6	12	4	4

Table 5.2.2.6.2-2B-8: Fields for channel quality information feedback for higher layer configured subband CQI reports (transmission mode 9/10 configured with PMI/RI reporting with 8 antenna ports and higher layer parameter *advancedCodebookEnabled=TRUE*)

	Bitwidth				
Field	Rank = 1	Rank = 2	Rank = 3	Rank = 4	
Wideband CQI codeword 0	4	4	4	4	
Subband differential CQI codeword 0	2 <i>N</i>	2N	2N	2N	
Wideband CQI codeword 1	0	4	4	4	
Subband differential CQI codeword 1	0	2N	2N	2N	
Wideband first PMI i1,1-1	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2(N_1O_1) \right\rceil$	2	2	
Wideband first PMI i1,2-1	0	0	0	0	
Wideband first PMI i1,1-2	$\left\lceil \log_2(L_1) \right\rceil$	$\left\lceil \log_2(L_1) \right\rceil$	0	0	
Wideband first PMI i1,2-2	0	0	0	0	
Wideband first PMI i1,p-2	2	2	0	0	
Wideband second PMI i2	6	12	4	3	
	-				
		Bitwidth			
Field	Rank = 5	Bitwidth Rank = 6	Rank = 7	Rank = 8	
Field Wideband CQI codeword 0	Rank = 5				
		Rank = 6	= 7	= 8	
Wideband CQI codeword 0	4	<b>Rank = 6</b> 4	<b>= 7</b> 4	<b>= 8</b> 4	
Wideband CQI codeword 0 Subband differential CQI codeword 0	4 2N	<b>Rank = 6</b> 4 2N	= 7 4 2N	<b>= 8</b> 4 2N	
Wideband CQI codeword 0 Subband differential CQI codeword 0 Wideband CQI codeword 1	4 2N 4	<b>Rank = 6</b> 4 2 <i>N</i> 4	= 7 4 2N 4	= 8 4 2N 4	
Wideband CQI codeword 0         Subband differential CQI codeword 0         Wideband CQI codeword 1         Subband differential CQI codeword 1	4 2N 4 2N	Rank = 6 4 2N 4 2N	= 7 4 2N 4 2N	= 8 4 2N 4 2N	
Wideband CQI codeword 0 Subband differential CQI codeword 0 Wideband CQI codeword 1 Subband differential CQI codeword 1 Wideband first PMI i1,1-1	4 2N 4 2N 2	Rank = 6           4           2N           4           2N           2	= 7 4 2N 4 2N 2	= 8 4 2N 4 2N 0	
Wideband CQI codeword 0         Subband differential CQI codeword 0         Wideband CQI codeword 1         Subband differential CQI codeword 1         Wideband first PMI i1,1-1         Wideband first PMI i1,2-1	4 2N 4 2N 2 0	Rank = 6           4           2N           4           2N           2           0	= 7 4 2N 4 2N 2 0	= 8 4 2N 4 2N 0 0	
Wideband CQI codeword 0         Subband differential CQI codeword 0         Wideband CQI codeword 1         Subband differential CQI codeword 1         Wideband first PMI i1,1-1         Wideband first PMI i1,2-1         Wideband first PMI i1,1-2	4 2N 4 2N 2 0 0	4         2N         4         2N         2         0         0	= 7 4 2N 4 2N 2 0 0	= 8 4 2N 4 2N 0 0 0 0	

higher layer parameter advancedCodebookEnabled and eMIMO-Type, and

Table 5.2.2.6.2-2B-9: Fields for channel quality information feedback for higher layer configured subband CQI reports (transmission mode 9/10 configured with 8/12/16/20/24/28/32 antenna ports and advancedCodebookEnabled=TRUE and eMIMO-Type is set to 'CLASS A' with codebook configuration  $(N_1, N_2, O_1, O_2)$  and CodebookConfig=1)

Field	Bit width				
	Rank = 1	Rank = 2	Rank =3	Rank =4	
Wideband CQI codeword 0	4	4	4	4	
Subband differential CQI codeword 0	2 <i>N</i>	2N	2 <i>N</i>	2 <i>N</i>	
Wideband CQI codeword 1	0	4	4	4	
Subband differential CQI codeword 1	0	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>	
Wideband first PMI i1,1-1	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$	
Wideband first PMI i1,2-1	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	
Wideband first PMI i1,1-2	$\left\lceil \log_2(L_1) \right\rceil$	$\left\lceil \log_2(L_1) \right\rceil$	0	0	
Wideband first PMI i1,2-2	$\left\lceil \log_2(L_2) \right\rceil$	$\left\lceil \log_2(L_2) \right\rceil$	0	0	
Wideband first PMI i1,p-2	2	2	0	0	
Wideband second PMI i2	6	12	1	1	
Field			Bit width		
Wideband	Rank = 5	Rank = 6	Rank =7	Rank =8	
CQI codeword 0	4	4	4	4	
Subband differential CQI codeword 0	2N	2N	2 <i>N</i>	2 <i>N</i>	
Wideband CQI codeword 1	4	4	4	4	
Subband differential CQI codeword 1	2N	2N	2 <i>N</i>	2 <i>N</i>	
Wideband first PMI i1,1-1	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2\left(N_1O_1/S_1\right) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	
Wideband first PMI i1,2-1	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	
Wideband first PMI i1,1-2	0	0	0	0	

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Wideband first PMI i1,2-2	0	0	0	0
Wideband first PMI i1,p-2	0	0	0	0
Wideband second PMI i2	0	0	0	0

Table 5.2.2.6.2-2B-10 Fields for channel quality information feedback for higher layer configuredsubband CQI reports (transmission mode 9/10 configured with 8/12/16/20/24/28/32 antenna ports andhigher layer parameters advancedCodebookEnabled and eMIMO-Type, andadvancedCodebookEnabled=TRUE and eMIMO-Type is set to 'CLASS A' with codebook configuration $(N_1, N_2, Q, Q)$  and CodebookConfig=2/3/4)

<b>Field</b>	Bit width				
Field	Rank = 1	Rank = 2	Rank =3	Rank =4	
Wideband CQI codeword 0	4	4	4	4	
Subband differential CQI codeword 0	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>	
Wideband CQI codeword 1	0	4	4	4	
Subband differential CQI codeword 1	0	2 <i>N</i>	2N	2N	
Wideband first PMI i1,1-1	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left[\log_2\left(\frac{N_1O_1}{S_1}\times\left(2+\left\lfloor\frac{9-N_2}{8}\right\rfloor\right)\right)\right]$	$\left[\log_2\left(\frac{N_1O_1}{S_1}\times\left(2+\left\lfloor\frac{9-N_2}{8}\right\rfloor\right)\right)\right]$	
Wideband first PMI i1,2-1	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2  /  S_2 \right) \right\rceil$	
Wideband first PMI i1,1-2	$\left\lceil \log_2(L_1) \right\rceil$	$\left\lceil \log_2(L_1) \right\rceil$	0	0	
Wideband first PMI i1,2-2	$\left\lceil \log_2(L_2) \right\rceil$	$\left\lceil \log_2(L_2) \right\rceil$	0	0	
Wideband first PMI i1,p-2	2	2	0	0	
Wideband second PMI i2	6	12	4	3	
Field	Donk 5	Daule C	Bit width	Denk 0	
Wideband	Rank = 5	Rank = 6	Rank =7	Rank =8	
CQI codeword 0	4	4	4	4	
Subband differential CQI codeword 0	2N	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>	
Wideband CQI codeword 1	4	4	4	4	
Subband differential CQI codeword 1	2N	2N	2N	2N	
Wideband first PMI i1,1-1	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2\left(N_1 O_1 / S_1\right) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	
Wideband first PMI i1,2-1	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2\left(N_2O_2/S_2\right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	
Wideband first PMI i1,1-2	0	0	0	0	

Wideband first PMI i1,2-2	0	0	0	0
Wideband first PMI i1,p-2	0	0	0	0
Wideband second PMI i2	0	0	0	0

Table 5.2.2.6.2-2C, Table 5.2.2.6.2-2D and Table 5.2.2.6.2-2E show the fields and the corresponding bit widths for the channel quality information feedback for higher layer configured report for PDSCH transmissions associated with transmission mode 4, transmission mode 6, transmission mode 8 configured with subband PMI/RI reporting, transmission mode 9 configured with subband PMI/RI reporting with 2/4/8 antenna ports except with *advancedCodebookEnabled=TRUE*, transmission mode 10 configured with subband PMI/RI reporting with 2/4/8 antenna ports except with *advancedCodebookEnabled=TRUE*, transmission mode 10 configured with subband PMI/RI reporting with 2/4/8 antenna ports except with *advancedCodebookEnabled=TRUE*, transmission mode 9/10 configured with subband PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 and K=1 except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and transmission mode 9/10 configured with subband PMI/RI reporting with 2/4/8 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*. The number of configured CSI-RS resources in a CSI process K is defined in [3] and *alternativeCodebookEnabledCLASSB\_K1* is configured by higher layers [6].

Table 5.2.2.6.2-2E-1 and Table 5.2.2.6.2-2E-2 show the fields and the corresponding bit widths for the channel quality information feedback for higher layer configured report for PDSCH transmissions associated with transmission mode 9/10 configured with subband PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' except with *advancedCodebookEnabled=TRUE*.

Table5.2.2.6.2-2E-3 shows the fields and the corresponding bit widths for the channel quality information feedback for higher layer configured report for PDSCH transmissions associated with transmission mode 9/10 configured with subband PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type* and *eMIMO-Type*. Type and *eMIMO-Type*, and *eMIMO-Type* and *eMIMO-Type*. Type and *eMIMO-Type*, and *eMIMO-Type*.

Table 5.2.2.6.2-2E-4 and Table 5.2.2.6.2-2E-5 show the fields and the corresponding bit widths for the channel quality information feedback for higher layer configured report for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 4/8 antenna ports and higher layer parameter *advancedCodebookEnabled=TRUE*.

Table 5.2.2.6.2-2E-6 and Table 5.2.2.6.2-2E-7 show the fields and the corresponding bit widths for the channel quality information feedback for higher layer configured report for PDSCH transmissions associated with transmission mode 9/10 configured with subband PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameters *advancedCodebookEnabled* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' and *advancedCodebookEnabled=TRUE*.

For Table 5.2.2.6.2-2C, Table 5.2.2.6.2-2D, Table 5.2.2.6.2-2E, Table 5.2.2.6.2-2E-1, Table 5.2.2.6.2-2E-2, Table 5.2.2.6.2-2E-3, Table 5.2.2.6.2-2E-4, Table 5.2.2.6.2-2E-5, Table 5.2.2.6.2-2E-6 and Table 5.2.2.6.2-2E-7, N is defined in subclause 7.2 of [3]. For Table 5.2.2.6.2-2E-1, Table 5.2.2.6.2-2E-2, Table 5.2.2.6.2-2E-5, Table 5.2.2.6.2-2E-7, N is defined in subclause 7.2 of [3]. For Table 5.2.2.6.2-2E-1, Table 5.2.2.6.2-2E-2, Table 5.2.2.6.2-2E-5, Table 5.2.2.6.2-2E-7,  $N_1$  is defined in subclause 7.2 of [3]. For Table 5.2.2.6.2-2E-8, the codebook configuration  $(N_1, N_2, O_1, O_2)$  is defined in [3], and *CodebookConfig* is configured by higher layers [6]. The parameters  $(S_1, S_2)$  in rank 1 and rank 2 are defined as  $(S_1, S_2) = (1, 1)$  for *CodebookConfig*=1 and  $(S_1, S_2) = (2, 2)$  for *CodebookConfig* =2, 3 and 4. The parameters

 $(S_1, S_2)$  in rank 3 and 4 are defined as  $(S_1, S_2) = (1, 1)$  for *CodebookConfig*=1,  $(S_1, S_2) = \left(\frac{O_1}{2}, \frac{O_2}{2}\right)$  for

 $CodebookConfig=2, (S_1, S_2) = \left(O_1, \frac{O_2}{2}\right) \text{ for } CodebookConfig=3, (S_1, S_2) = \left(O_1, \frac{O_2}{4}\right) \text{ for } CodebookConfig=4.$ 

The parameters  $(S_1, S_2)$  in rank 5 to 8 are defined as  $(S_1, S_2) = (1, 1)$  for *CodebookConfig*=1,

$$(S_1, S_2) = \left(\frac{O_1}{4}, \frac{O_2}{4}\right)$$
 for *CodebookConfig=2/3/4*. The parameters  $(L_1, L_2)$  are defined as  $L_1 = \min\{4, N_1\}$  and

 $L_2 = 2 \text{ for } N_1 \ge N_2 \text{ and } N_2 \ne 1$ ,  $L_1 = 2 \text{ and } L_2 = \min\{4, N_2\} \text{ for } N_1 < N_2 \text{ and } N_1 \ne 1$ ,  $L_1 = \min\{8, N_1\}$  and  $L_2 = 1 \text{ for } N_2 = 1$ .

## Table 5.2.2.6.2-2C: Fields for channel quality information feedback for higher layer configured subband CQI and subband PMI reports

(transmission mode 4 and transmission mode 6 configured with subband PMI reporting, transmission mode 8 configured with subband PMI reporting except with alternativeCodeBookEnabledFor4TX-r12=TRUE, transmission mode 9 and transmission 10 configured with subband PMI reporting with 2/4 antenna ports except with alternativeCodeBookEnabledFor4TX-r12=TRUE or advancedCodebookEnabled=TRUE, transmission mode 9/10 configured with subband PMI/RI reporting with 2/4 antenna ports and higher layer parameter eMIMO-Type, and eMIMO-Type is set to 'CLASS B' with K>1, and K=1 except with alternativeCodebookEnabledCLASSB\_K1=TRUE, except with alternativeCodeBookEnabledFor4TXr12=TRUE, and transmission mode 9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type2 configured with subband PMI/RI reporting with 2/4 antenna ports except with alternativeCodebookEnabledFor4TXr12=TRUE]

	Bit width						
Field	2 antenna ports		4 antenna ports				
	Rank = 1	Rank = 2	Rank = 1	Rank = 2	Rank = 3	Rank = 4	
Wide-band CQI codeword 0	4	4	4	4	4	4	
Subband differential CQI codeword 0	2N	2N	2N	2N	2N	2 <i>N</i>	
Wide-band CQI codeword 1	0	4	0	4	4	4	
Subband differential CQI codeword 1	0	2N	0	2N	2N	2N	
Subband precoding matrix indicator	2N	N	4N	4N	4N	4N	

Table 5.2.2.6.2-2D: Fields for channel quality information feedback for higher layer configured subband CQI and subband PMI reports with 8 antenna ports (transmission mode 9 configured with subband PMI reporting except with *advancedCodebookEnabled=TRUE*, transmission mode 10 configured with subband PMI reporting except with *advancedCodebookEnabled=TRUE*, transmission mode 9/10 configured with subband PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, and K=1 except with

alternativeCodebookEnabledCLASSB\_K1=TRUE, and transmission mode 9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type2 configured with subband PMI/RI reporting with 8 antenna ports except with alternativeCodebookEnabledCLASSB\_K1=TRUE)

Field		Bitw	vidth	
Field	Rank = 1	Rank = 2	Rank = 3	Rank = 4
Wideband CQI codeword 0	4	4	4	4
Subband differential CQI codeword 0	2 <i>N</i>	2N	2N	2N
Wideband CQI codeword 1	0	4	4	4
Subband differential CQI codeword 1	0	2N	2N	2N
Wideband first PMI i1	4	4	2	2
Subband second PMI i2	4N	4N	4N	3N
Field	Bitwidth			
	Rank = 5	Rank = 6	Rank = 7	Rank = 8
Wideband CQI codeword 0	4	4	4	4
Subband differential CQI codeword 0	2N	2N	2N	2N
Wideband CQI codeword 1	4	4	4	4
Subband differential CQI codeword 1	2N	2N	2N	2N
Wideband first PMI i1	2	2	2	0
Subband second PMI i2	0	0	0	0

Table 5.2.2.6.2-2E: Fields for channel quality information feedback for higher layer configured subband CQI and subband PMI reports with 4 antenna ports (transmission modes 8, 9 and 10 configured with subband PMI reporting, 4 antenna ports and *alternativeCodeBookEnabledFor4TXr12=TRUE*, except with *advancedCodebookEnabled=TRUE*, transmission mode 9/10 configured with subband PMI/RI reporting with 2/4 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, and K=1 with except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, with *alternativeCodeBookEnabledFor4TXr12=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and

eMIMO-Type2, and eMIMO-Type2 configured with subband PMI/RI reporting with 4 antenna ports except with alternativeCodebookEnabledCLASSB\_K1=TRUE, with alternativeCodeBookEnabledFor4TX-r12=TRUE)

Field	Bitwidth				
T IEIG	Rank = 1	Rank = 2	Rank = 3	Rank = 4	
Wideband CQI codeword 0	4	4	4	4	
Subband differential CQI codeword 0	2N	2N	2N	2N	
Wideband CQI codeword 1	0	4	4	4	
Subband differential CQI codeword 1	0	2N	2N	2N	
Wideband first PMI i1	4	4	0	0	
Subband second PMI i2	4N	4N	4N	4N	

Table 5.2.2.6.2-2E-1: Fields for channel quality information feedback for higher layer configuredsubband CQI reports and subband PMI reports (transmission mode 9/10 configured with higher layerparameter eMIMO-Type, and eMIMO-Type is set to 'CLASS A' with codebook configuration $(N_1, N_2, O_1, O_2)$  and CodebookConfig=1, except with advancedCodebookEnabled=TRUE)

Field				
	Rank = 1	Rank = 2	Rank = 3	Rank = 4
Wideband CQI codeword 0	4	4	4	4
Subband differential CQI codeword 0	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>
Wideband CQI codeword 1	0	4	4	4
Subband differential CQI codeword 1	0	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>
Wideband first PMI i1,1	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$	$\left[\log_2\left(\frac{N_1O_1}{S_1} \times \left(2 + \left\lfloor\frac{9 - N_2}{8}\right\rfloor\right)\right)\right]$
Wideband first PMI i1,2	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 \right) \right\rceil$	$\left\lceil \log_2(N_2O_2) \right\rceil$
Subband second PMI i2	2 <i>N</i>	2 <i>N</i>	Ν	N
Field	-			
	Rank = 5	Rank = 6	Rank = 7	Rank = 8
Wideband CQI codeword 0	4	4	4	4
Subband differential CQI codeword 0	2N	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>
Wideband CQI codeword 1	4	4	4	4
Subband differential CQI codeword 1	2 <i>N</i>	2 <i>N</i>	2N	2 <i>N</i>
Wideband first PMI i1,1	$\left\lceil \log_2\left(N_1 O_1 / S_1\right)\right\rceil$	$\left\lceil \log_2\left(N_1 O_1 / S_1\right)\right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2\left(N_1O_1 / S_1\right) \right\rceil$
Wideband first PMI i1,2	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$
Subband second PMI i2	0	0	0	0

Table 5.2.2.6.2-2E-2: Fields for channel quality information feedback for higher layer configured subband CQI reports and subband PMI reports (transmission mode 9/10 configured with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' with codebook configuration  $(N_1, N_2, Q, Q_2)$  and *CodebookConfig=2/3/4*, except with *advancedCodebookEnabled=TRUE*)

Field			Bitwidth	
	Rank = 1	Rank = 2	Rank = 3	Rank = 4
Wideband CQI codeword 0	4	4	4	4
Subband differential CQI codeword 0	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>
Wideband CQI codeword 1	0	4	4	4
Subband differential CQI codeword 1	0	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>
Wideband first PMI i1,1	$\left\lceil \log_2 \left( N_1 O_1 / 2 \right) \right\rceil$	$\left\lceil \log_2(N_1O_1/2) \right\rceil$	$\left[\log_2\left(\frac{N_1O_1}{S_1} \times \left(2 + \left\lfloor\frac{9 - N_2}{8}\right\rfloor\right)\right)\right]$	$\left[\log_2\left(\frac{N_1O_1}{S_1} \times \left(2 + \left\lfloor\frac{9 - N_2}{8}\right\rfloor\right)\right)\right]$
Wideband first PMI i1,2	$\left\lceil \log_2(N_2O_2/2) \right\rceil$	$\left\lceil \log_2(N_2O_2/2) \right\rceil$	$\left\lceil \log_2\left(\frac{N_2O_2}{S_2}\right) \right\rceil$	$\left\lceil \log_2\left(\frac{N_2O_2}{S_2}\right) \right\rceil$
Subband second PMI i2	4 <i>N</i>	4N	4N	3 <i>N</i>
Field			Bitwidth	
	Rank = 5	Rank = 6	Rank = 7	Rank = 8
Wideband CQI codeword 0	4	4	4	4
Subband differential CQI codeword 0	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>
Wideband CQI codeword 1	4	4	4	4
Subband differential CQI codeword 1	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>
Wideband first PMI i1,1	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2\left(N_1O_1/S_1\right) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$
Wideband first PMI i1,2	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2\left(N_2O_2/S_2\right) \right\rceil$	$\left\lceil \log_2\left(N_2O_2/S_2\right)\right\rceil$	$\left\lceil \log_2\left(N_2O_2/S_2\right) \right\rceil$
Subband second PMI i2	0	0	0	0

Table 5.2.2.6.2-2E-3: Fields for channel quality information feedback for higher layer configured subband CQI reports and subband PMI reports (transmission mode 9/10 configured and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4/8 antenna ports with K=1 and *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*2, and *eMIMO-Type*2 configured with 2/4/8 antenna ports and higher layer parameter *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and *eMIMO-Type*2 configured with 2/4/8 antenna ports and higher layer parameter *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and *eMIMO-Type*2 configured with 2/4/8 antenna ports and higher layer parameter *alternativeCodebookEnabledCLASSB\_K1=TRUE*)

	Bit width						
Field	2 antenna ports		4 antenna ports				
	Rank = 1	Rank = 2	Rank = 1	Rank =2	Rank =3	Rank =4	
Wideband CQI codeword 0	4	4	4	4	4	4	
Subband differential CQI codeword 0	2N	2N	2N	2N	2N	2N	
Wideband CQI codeword 1	0	4	0	4	4	4	
Subband differential CQI codeword 1	0	2N	2N	2N	2N	2N	
Precoding matrix indicator	2N	N	3N	3 <i>N</i>	2N	Ν	
	Bit width						
Field		8 antenna ports					
	Rank = 1	Rank = 2	Rank = 3	Rank =4	Rank = 5 t	o Rank = 8	
Wideband CQI codeword 0	4	4	4	4	2	1	
Subband differential CQI codeword 0	2N	2N	2N	2N	2.	N	
Wideband CQI codeword 1	0	4	4	4	2	1	
Subband differential CQI codeword 1	0	2N	2N	2N	2.	N	
Precoding matrix indicator	4N	4N	4N	3 <i>N</i>	(	)	

Table 5.2.2.6.2-2E-4: Fields for channel quality information feedback for higher layer configured subband CQI and subband PMI reports (transmission mode 9/10 configured with subband PMI reporting with 4 antenna ports, and higher layer parameter *advancedCodebookEnabled=TRUE*)

Field		Bit v	vidth	
	Rank = 1	Rank = 2	Rank = 3	Rank = 4
Wideband CQI codeword 0	4	4	4	4
Subband differential CQI codeword 0	2N	2N	2N	2N
Wideband CQI codeword 1	0	4	4	4
Subband differential CQI codeword 1	0	2N	2N	2N
Wideband first PMI i1,1-1	3	3	0	0
Wideband first PMI i1,2-1	0	0	0	0
Wideband first PMI i1,1-2	0	0	0	0
Wideband first PMI i1,2-2	0	0	0	0
Wideband first PMI i1,p-2	2	2	0	0
Subband second PMI i2	6N	12N	4N	4N

Field	Bitwidth					
Field	Rank = 1	Rank = 2	Rank = 3	Rank = 4		
Wideband CQI codeword 0	4	4	4	4		
Subband differential CQI codeword 0	2N	2N	2N	2N		
Wideband CQI codeword 1	0	4	4	4		
Subband differential CQI codeword 1	0	2N	2N	2N		
Wideband first PMI i1,1-1	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2(N_1O_1) \right\rceil$	2	2		
Wideband first PMI i1,2-1	0	0	0	0		
Wideband first PMI i1,1-2	$\left\lceil \log_2(L_1) \right\rceil$	$\left\lceil \log_2(L_1) \right\rceil$	0	0		
Wideband first PMI i1,2-2	0	0	0	0		
Wideband first PMI i1,p-2	2	2	0	0		
Subband second PMI i2	6N	12N	4N	3N		
Field	Bitwidth					
Field	Rank = 5	Rank = 6	Rank = 7	Rank = 8		
Wideband CQI codeword 0	4	4	4	4		
Subband differential CQI codeword 0	2N	2N	2N	2N		
Wideband CQI codeword 1	4	4	4	4		
Subband differential CQI codeword 1	2N	2N	2N	2N		
Wideband first PMI i1,1-1	2	2	2	0		
Wideband first PMI i1,2-1	0	0	0	0		
Wideband first PMI i1,1-2	0	0	0	0		
Wideband first PMI i1,2-2	0	0	0	0		
Wideband first PMI i1,p-2	0	0	0	0		
Subband second PMI i2	0	0	0	0		

Table 5.2.2.6.2-2E-5: Fields for channel quality information feedback for higher layer configured subband CQI and subband PMI reports (transmission mode 9/10 configured with subband PMI reporting with 8 antenna ports, and higher layer parameter *advancedCodebookEnabled=TRUE*)

Table 5.2.2.6.2-2E-6: Fields for channel quality information feedback for higher layer configured subband CQI and subband PMI reports (transmission mode 9/10 configured with higher layer parameters *advancedCodebookEnabled* and *eMIMO-Type*, and *advancedCodebookEnabled*= *TRUE* and *eMIMO-Type* is set to 'CLASS A' with codebook configuration  $(N_1, N_2, Q_1, Q_2)$  and

Field				
Field	Rank = 1	Rank = 2	Rank = 3	Rank = 4
Wideband CQI codeword 0	4	4	4	4
Subband differential CQI codeword 0	2 <i>N</i>	2 <i>N</i>	2N	2 <i>N</i>
Wideband CQI codeword 1	0	4	4	4
Subband differential CQI codeword 1	0	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>
Wideband first PMI i1,1-1	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$	$\left[\log_2\left(\frac{N_1O_1}{S_1} \times \left(2 + \left\lfloor\frac{9 - N_2}{8}\right\rfloor\right)\right)\right]$
Wideband first PMI i1,2-1	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 \right) \right\rceil$	$\left\lceil \log_2(N_2O_2) \right\rceil$
Wideband first PMI i1,1-2	$\left\lceil \log_2(L_1) \right\rceil$	$\left\lceil \log_2(L_1) \right\rceil$	0	0
Wideband first PMI i1,2-2	$\left\lceil \log_2(L_2) \right\rceil$	$\left\lceil \log_2(L_2) \right\rceil$	0	0
Wideband first PMI i1,p-2	2	2	0	0
Subband second PMI i2	6 <i>N</i>	12N	N	Ν
Field		Bitwidth		
	Rank = 5	Rank = 6	Rank = 7	Rank = 8
Wideband CQI codeword 0	4	4	4	4
Subband differential CQI codeword 0	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>
Wideband CQI codeword 1	4	4	4	4
Subband differential CQI codeword 1	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>
Wideband first			$\begin{bmatrix} \log (NO/S) \end{bmatrix}$	$\begin{bmatrix} \log (NO/S) \end{bmatrix}$
PMI i1,1-1	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2\left(N_1 O_1 / S_1\right) \right\rceil$	$\log_2(N_1O_1/S_1)$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$
PMI i1,1-1 Wideband first PMI i1,2-1	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$ $\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\frac{\log_2 \left( N_1 O_1 / S_1 \right)}{\left[ \log_2 \left( N_2 O_2 / S_2 \right) \right]}$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$ $\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$ $\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$
Wideband first				
Wideband first PMI i1,2-1 Wideband first PMI i1,1-2 Wideband first PMI i1,2-2	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$
Wideband first PMI i1,2-1 Wideband first PMI i1,1-2 Wideband first	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\frac{\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil}{0}$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$

CodebookConfig=1)

Table 5.2.2.6.2-2E-7: Fields for channel quality information feedback for higher layer configured subband CQI and subband PMI reports (transmission mode 9/10 configured with higher layer parameters *advancedCodebookEnabled* and *eMIMO-Type*, and *advancedCodebookEnabled*= *TRUE and eMIMO-Type* is set to 'CLASS A' with codebook configuration (N<sub>1</sub>, N<sub>2</sub>, O<sub>1</sub>, O<sub>2</sub>) and *CodebookConfig*=2/3/4)

Field		Bitwidth	1	
	Rank = 1	Rank = 2	Rank = 3	Rank = 4
Wideband CQI codeword 0	4	4	4	4
Subband differential CQI codeword 0	2N	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>
Wideband CQI codeword 1	0	4	4	4
Subband differential CQI codeword 1	0	2 <i>N</i>	2 <i>N</i>	2 <i>N</i>
Wideband first PMI i1,1-1	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left[\log_2\left(\frac{N_1O_1}{S_1}\times\left(2+\left\lfloor\frac{9-N_2}{8}\right\rfloor\right)\right)\right]$	$\left[\log_2\left(\frac{N_1O_1}{S_1} \times \left(2 + \left\lfloor\frac{9 - N_2}{8}\right\rfloor\right)\right)\right]$
Wideband first PMI i1,2-1	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2\left(\frac{N_2O_2}{S_2}\right) \right\rceil$	$\left\lceil \log_2\left(\frac{N_2O_2}{S_2}\right) \right\rceil$
Wideband first PMI i1,1-2	$\left\lceil \log_2(L_1) \right\rceil$	$\left\lceil \log_2(L_1) \right\rceil$	0	0
Wideband first PMI i1,2-2	$\left\lceil \log_2(L_2) \right\rceil$	$\left\lceil \log_2(L_2) \right\rceil$	0	0
Wideband first PMI i1,p-2	2	2	0	0
Subband second PMI i2	6 <i>N</i>	12N	4 <i>N</i>	3 <i>N</i>
Field		Bitwidth		
	Rank = 5	Rank = 6	Rank = 7	Rank = 8
Wideband CQI codeword 0	4	4	4	4
Subband differential CQI codeword 0	2 <i>N</i>	2 <i>N</i>	2N	2 <i>N</i>
Wideband CQI codeword 1	4	4	4	4
Subband differential CQI codeword 1	2 <i>N</i>	2 <i>N</i>	2N	2 <i>N</i>
Wideband first PMI i1,1-1	$\left\lceil \log_2\left(N_1 O_1 / S_1\right)\right\rceil$	$\left\lceil \log_2\left(N_1 O_1 / S_1\right)\right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2\left(N_1O_1 / S_1\right) \right\rceil$
Wideband first PMI i1,2-1	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2  /  S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$
Wideband first PMI i1,1-2	0	0	0	0
Wideband first PMI i1,2-2	0	0	0	0
Wideband first PMI i1,p-2	0	0	0	0
Subband second PMI i2	0	0	0	0

Table 5.2.2.6.2-2E-8 shows the fields and the corresponding bit widths for i1 for higher layer configured subband CQI reports for PDSCH transmissions associated with transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' with 8/12/16/20/24/28/32 antenna ports and *eMIMO-Type2* is set to 'CLASS B', where i1is associated with Class A.

Table 5.2.2.6.2-2E-8: Fields for i1 feedback (transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type* is set to 'CLASS A' with codebook configuration (N, N, Q, Q), where i<sub>1</sub> is associated with Class A)

	Bit width				
Field	Max 1 or 2 layers	Max 4 or 8 layers			
	Rank = 1	Rank = 1	Rank =3		
Wideband first PMI i1,1	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2(N_1O_1 / S_1) \right\rceil$	$\left[\log_2\left(\frac{N_1O_1}{S_1}\times\left(2+\left\lfloor\frac{9-N_2}{8}\right\rfloor\right)\right)\right]$		
Wideband first PMI i1,2	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 (N_2 O_2 / S_2) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2  /  S_2 \right) \right\rceil$		

Table 5.2.2.6.2-3 shows the fields and the corresponding bit width for the rank indication feedback for higher layer configured subband CQI reports for PDSCH transmissions associated with transmission mode 3, transmission mode 4, transmission mode 8 configured with PMI/RI reporting, transmission mode 9 configured with PMI/RI reporting with 2/4/8 antenna ports, transmission mode 10 configured with PMI/RI reporting with 2/4/8 antenna ports, and transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter eMIMO-Type, and eMIMO-Type is set to 'CLASS B' with K=1, transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter csi-RS-NZP-mode is set to 'multi-shot' with activated Resources=1 and more than one ports for the activated CSI-RS resource, transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter csi-RS-ConfigNZP-ApList and the higher layer parameter csi-RS-NZP-mode is set to 'aperiodic' and more than one ports for the activated CSI-RS resource, transmission mode 9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type2 configured with PMI/RI reporting with 2/4/8 antenna ports and rank indication is associated with eMIMO-Type2, transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameter eMIMO-Type, and eMIMO-Type is set to 'CLASS A', and transmission mode 9/10 configured without PMI reporting and higher layer parameter eMIMO-Type, and eMIMO-Type is set to 'CLASS B' with K=1 with 2/4/8 antenna ports, transmission mode 9/10 configured without PMI reporting and higher layer parameter csi-RS-NZP-mode is set to 'multi-shot' with activatedResources=1 and more than one ports for the activated CSI-RS resource, transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter csi-RS-ConfigNZP-ApList and the higher layer parameter csi-RS-NZP-mode is set to 'aperiodic' and more than one ports for the activated CSI-RS resource, and transmission mode 9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type2 configured without PMI reporting with 2/4/8 antenna ports and rank indication is associated with eMIMO-Type2.

Table 5.2.2.6.2-3A shows the fields and the corresponding bit width for the CSI-RS resource indication feedback for higher layer configured subband CQI reports for PDSCH transmissions associated with transmission mode 9/10 configured with 1 antenna port for each CSI-RS resource and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 except with higher layer parameter *csi-RS-NZP-mode* configured, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type* is set to 'CLASS B' with K>1 except with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, where CRI is associated with the *eMIMO-Type*.

Table 5.2.2.6.2-3B shows the fields and the corresponding bit width for the joint CRI and RI feedback for higher layer configured subband CQI reports for PDSCH transmissions associated with transmission mode 9/10 configured without PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 except with higher layer parameter *csi-RS-NZP-mode* configured, transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 except with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 and 2/4/8 antenna ports except with higher layer parameter *csi-RS-NZP-mode* configured.

Table 5.2.2.6.2-3C shows the fields and the corresponding bit width for the CSI-RS resource indication feedback for higher layer configured subband CQI reports for PDSCH transmissions associated with transmission mode 9/10 configured with higher layer parameter *csi-RS-NZP-mode* set to 'multi-shot' and with *activatedResources*, and 1 antenna port per activated CSI-RS resource. N is the value of higher layer parameter *activatedResources*.

Table 5.2.2.6.2-3D shows the fields and the corresponding bit width for the joint CRI and RI feedback for higher layer configured subband CQI reports for PDSCH transmissions associated with transmission mode 9/10 configured without PMI reporting and higher layer parameter *csi-RS-NZP-mode* is set to 'multi-shot' and with *activatedResources>*1 and more than one ports for at least one activated CSI-RS resource, and transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *csi-RS-NZP-mode* is set to 'multi-shot' and with *activatedResources>*1 and more than one ports for at least one activated CSI-RS resource. N is the value of higher layer parameter *activatedResources.* 

Table 5.2.2.6.2-3E shows the fields and the corresponding bit width for the rank indication feedback for higher layer configured subband CQI reports for PDSCH transmissions associated with transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type* is set to 'CLASS A' with 8/12/16/20/24/28/32 antenna ports and *eMIMO-Type2* is set to 'CLASS B', where rank indication is associated with the *eMIMO-Type*.

Table 5.2.2.6.2-3: Fields for rank indication feedback for higher layer configured subband CQI reports (transmission mode 3, transmission mode 4, transmission mode 8 configured with PMI/RI reporting, transmission mode 9 configured with PMI/RI reporting with 2/4/8 antenna ports, transmission mode 10 configured with PMI/RI reporting with 2/4/8 antenna ports, transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports, transmission mode 9/10 configured with PMI/RI or without PMI reporting with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1, transmission mode 9/10 configured with PMI/RI or without PMI reporting and higher layer parameter *csi-RS-NZP-mode* is set to 'multi-shot' with *activatedResources* =1 and more than one ports for the activated CSI-RS resource, transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *csi-RS-ConfigNZP-ApList* and the higher layer parameter *csi-RS-NZP-mode* is set to 'aperiodic' and more than one ports for the activated CSI-RS resource, transmission mode 9/10 configured with PMI/O-*Type*, and *eMIMO-Type*2, and *eMIMO-Type*2 configured with PMI/RI or without PMI reporting with 2/4/8 antenna ports and rank indication is associated with *eMIMO-Type*2, and transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A')

	Bit width							
Field	2 ontonno	4 antenna ports		8/12/16/20/24/28/32 antenna ports				
Field	2 antenna ports	Max 1 or 2 layers	Max 4 layers	Max 1 or 2 layers	Max 4 layers	Max 8 layers		
Rank indication	1	1	2	1	2	3		

Table 5.2.2.6.2-3A: Fields for CRI feedback for higher layer configured subband CQI reports (transmission mode 9/10 configured with 1 antenna port for each CSI-RS resource and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 except with higher layer parameter *csi-RS-NZP-mode* configured, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 except with higher layer parameter *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, where CRI parameter *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, where CRI is associated with the *eMIMO-Type*)

Field	Bit width			
Field	K = 2	K = 3 and K = 4	K = 5 to K = 8	
CRI	1	2	3	

Table 5.2.2.6.2-3B: Fields for joint CRI and RI feedback for higher layer configured subband CQI reports (transmission mode 9/10 configured without PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 except with higher layer parameter *csi-RS-NZP-mode* configured, and transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 except with PMI/RI reporting and higher layer parameter *csi-RS-NZP-mode* configured, and transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 and 2/4/8 antenna ports except with higher layer parameter *csi-RS-NZP-mode* configured)

	Bit width						
Field	2 antenna	4 antenna	a ports	8 antenna ports			
Tield	ports	Max 1 or 2	Max 4	Max 1 or 2	Max 4	Max 8	
	•	layers	layers	layers	layers	layers	
CRI	$\lceil \log_2(K) \rceil$	$\left\lceil \log_2(K) \right\rceil$					
Rank indication	1	1	2	1	2	3	

Table 5.2.2.6.2-3C: Fields for CRI feedback for higher layer configured subband CQI reports (transmission mode 9/10 configured with higher layer parameter *csi-RS-NZP-mode* set to 'multi-shot' and with *activatedResources*>1, and 1 antenna port per activated CSI-RS resource)

Field	Bit width			
Field	N = 2	N = 3 and N = 4		
CRI	1	2		

Table 5.2.2.6.2-3D: Fields for joint CRI and RI feedback for higher layer configured subband CQI reports (transmission mode 9/10 configured without PMI reporting and higher layer parameter *csi-RS-NZP-mode* is set to 'multi-shot' and with *activatedResources*>1 and more than one ports for at least one activated CSI-RS resource, and transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *csi-RS-NZP-mode* is set to 'multi-shot' and transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *csi-RS-NZP-mode* is set to 'multi-shot' and transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *csi-RS-NZP-mode* is set to 'multi-shot' and with *activatedResources*>1 and more than one ports for at least one activated CSI-RS resource)

	Bit width							
Field	2 antenna	2 entenna 4 antenna		4 antenna ports			antenna ports	
Tiela	ports	Max 1 or 2 layers	Max 4 layers	Max 1 or 2 layers	Max 4 layers	Max 8 layers		
CRI	$\left\lceil \log_2(N) \right\rceil$							
Rank indication	1	1	2	1	2	3		

Table 5.2.2.6.2-3E: Fields for rank indication feedback for higher layer configured subband CQI reports (transmission mode 9/10 and higher layer parameter *eMIMO-Type* and *eMIMO-Type*2, and *eMIMO-Type* set to 'CLASS A' *and eMIMO-Type*2 is set to 'CLASS B', where rank indication is associated with the *eMIMO-Type*)

	Bit width			
Field	8/12/16/20/24/28/	32 antenna ports		
	Max 1 or 2 layers	Max 4 or 8 layers		
Rank indication	0	1		

The channel quality bits in Table 5.2.2.6.2-1, Table 5.2.2.6.2-2, Table 5.2.2.6.2-2A, Table 5.2.2.6.2-2B, Table 5.2.2.6.2-2B, Table 5.2.2.6.2-2B, Table 5.2.2.6.2-2B, Table 5.2.2.6.2-2B-3, Table 5.2.2.6.2-2B-4, Table 5.2.2.6.2-2B-5, Table 5.2.2.6.2-2B-6, Table 5.2.2.6.2-2B-7, Table 5.2.2.6.2-2B-8, Table 5.2.2.6.2-2B-9, Table 5.2.2.6.2-2B-10, Table 5.2.2.6.2-2E-1, Table 5.2.2.6.2-2E-2, Table 5.2.2.6.2-2E-3, Table 5.2.2.6.2-2E-4, Table 5.2.2.6.2-2E-5, Table 5.2.2.6.2-2E-6, Table 5.2.2.6.2-2E-7, Table 5.2.2.6.2-2E-3, Table 5.2.2.6.2-2E-4, Table 5.2.2.6.2-2E-5, Table 5.2.2.6.2-2E-6, Table 5.2.2.6.2-2E-7, Table 5.2.2.6.2-3B, and Table 5.2.2.6.2-3D form the bit sequence  $o_0, o_1, o_2, \dots, o_{O-1}$  with  $o_0$  corresponding to the first bit of the first field in each of the tables,  $o_1$  corresponding to the second bit of the first field in each of the tables, and  $o_{O-1}$  corresponding to the last bit in the last

field in each of the tables. The field of the PMI and subband differential CQI shall be in the increasing order of the subband index [3]. The first bit of each field corresponds to MSB and the last bit LSB. The RI bits sequence in Tables 5.2.2.6.2-3, 5.2.2.6.2-3B, 5.2.2.6.2-3D, 5.2.2.6.2-3E and the CRI sequence in Tables 5.2.2.6.2-3A and 5.2.2.6.2-3C are encoded according to subclause 5.2.2.6.

For transmission mode 9/10 configured with Class B CSI reporting and K>1, the number of antenna port in Table 5.2.2.6.2-3B refers to the maximum number of antenna ports of K CSI-RS resources configured for the CSI-process for the UE.

For transmission mode 9/10 configured with Class B CSI reporting and K>1 and with *activatedResources*>1, the number of antenna port in Table 5.2.2.6.2-3D refers to the maximum number of antenna ports of N CSI-RS resources activated for the CSI-process for the UE. *N* is the value of higher layer parameter *activatedResources*.

#### 5.2.2.6.3 Channel quality information formats for UE selected subband CQI reports

Table 5.2.2.6.3-1 shows the fields and the corresponding bit widths for the channel quality information feedback for UE selected subband CQI for PDSCH transmissions associated with transmission mode 1, transmission mode 2, transmission mode 3, transmission mode 7, transmission mode 8 configured without PMI/RI reporting, transmission mode 9 configured without PMI/RI reporting or configured with 1 antenna port, transmission mode 10 configured

without PMI/RI reporting or configured with 1 antenna port, and transmission mode 6 for BL/CE UEs. L in Table 5.2.2.6.3-1 is defined in subclause 7.2 of [3].

Table 5.2.2.6.3-1A shows the fields and the corresponding bit widths for the channel quality information feedback for UE selected subband CQI for PDSCH transmissions associated with transmission mode 9/10 configured without PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4/8 antenna ports, transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type*2, and *eMIMO-Type*2, and *eMIMO-Type*2, configured without PMI reporting with 2/4/8 antenna ports.

Table 5.2.2.6.3-1: Fields for channel quality information feedback for UE selected subband CQI reports (transmission mode 1, transmission mode 2, transmission mode 3, transmission mode 7, transmission mode 8 configured without PMI/RI reporting, transmission mode 9 configured without PMI/RI reporting or configured with 1 antenna port, transmission mode 10 configured without PMI/RI reporting or configured with 1 antenna port, and transmission mode 6 for BL/CE UEs)

Field	Bit width
Wide-band CQI codeword	4
Subband differential CQI	2
Position of the M selected subbands	L

Table 5.2.2.6.3-1A Fields for channel quality information feedback for UE selected subband CQI reports (transmission mode 9/10 configured without PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4/8 antenna ports, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*2, and *eMIMO-Type*2 configured without PMI reporting with 2/4/8 antenna ports)

Field	Bit width		
	Rank = 1	Rank >1	
Wide-band CQI codeword 0	4	4	
Subband differential CQI codeword 0	2	2	
Wide-band CQI codeword 1	0	4	
Subband differential CQI codeword 1	0	2	
Position of the M selected subbands	L	L	

Table 5.2.2.6.3-2, Table 5.2.2.6.3-2A and Table 5.2.2.6.3-2B show the fields and the corresponding bit widths for the channel quality information feedback for UE selected subband CQI for PDSCH transmissions associated with transmission mode 4, transmission mode 6, transmission mode 8 configured with PMI/RI reporting, transmission mode 9 configured with PMI/RI reporting with 2/4/8 antenna port except with *advancedCodebookEnabled=TRUE*, transmission mode 10 configured with PMI/RI reporting with 2/4/8 antenna ports except with

*advancedCodebookEnabled=TRUE*, and transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 and K=1 except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*2 configured with PMI/RI reporting with 2/4/8 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, where the number of configured CSI-RS resources in a CSI process K is defined in [3] and *alternativeCodebookEnabledCLASSB\_K1* is configured by higher layers [6].

Table 5.2.2.6.3-2C and Table 5.2.2.6.3-2D show the fields and the corresponding bit widths for the channel quality information feedback for UE selected subband CQI for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' except with *advancedCodebookEnabled=TRUE*.

Table 5.2.2.6.3-2E shows the fields and the corresponding bit widths for the channel quality information feedback for UE selected subband CQI for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type* and *eMIMO-Type*. and *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* and *eMIMO-Type*.

Table 5.2.2.6.3-2F and Table 5.2.2.6.3-2G show the fields and the corresponding bit widths for the channel quality information feedback for UE selected subband CQI for PDSCH transmissions associated with transmission mode 9/10

configured with PMI/RI reporting with 4/8 antenna ports and higher layer parameter *advancedCodebookEnabled=TRUE*.

Table 5.2.2.6.3-2H and Table 5.2.2.6.3-2I show the fields and the corresponding bit widths for the channel quality information feedback for UE selected subband CQI for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameters *advancedCodebookEnabled* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' and *advancedCodebookEnabled=TRUE*.

For Table 5.2.2.6.3-2, Table 5.2.2.6.3-2A, Table 5.2.2.6.3-2B, Table 5.2.2.6.3-2C, Table 5.2.2.6.3-2D, Table 5.2.2.6.3-2E, Table 5.2.2.6.3-2F, Table 5.2.2.6.3-2G, Table 5.2.2.6.3-2H and Table 5.2.2.6.3-2I, *L* is defined in subclause 7.2 of [3]. For Table 5.2.2.6.3-2C, Table 5.2.2.6.3-2D, Table 5.2.2.6.3-2G, Table 5.2.2.6.3-2H, Table 5.2.2.6.3-2I and Table 5.2.2.6.3-2J, the codebook configuration  $(N_1, N_2, O_1, O_2)$  is defined in [3], and *CodebookConfig* is configured by higher layers [6]. The parameters  $(S_1, S_2) = (2, 2)$  for *CodebookConfig* =2, 3 and 4. The parameters  $(S_1, S_2)$  in rank 3 and 4

are defined as  $(S_1, S_2) = (1, 1)$  for CodebookConfig=1,  $(S_1, S_2) = \left(\frac{O_1}{2}, \frac{O_2}{2}\right)$  for CodebookConfig=2,

$$(S_1, S_2) = \left(O_1, \frac{O_2}{2}\right)$$
 for *CodebookConfig=3*,  $(S_1, S_2) = \left(O_1, \frac{O_2}{4}\right)$  for *CodebookConfig=4*. The parameters

 $(S_1, S_2)$  in rank 5 to 8 are defined as  $(S_1, S_2) = (1, 1)$  for CodebookConfig=1,  $(S_1, S_2) = \left(\frac{O_1}{4}, \frac{O_2}{4}\right)$  for

 $\begin{aligned} & \textit{CodebookConfig=2/3/4. The parameters } \left(L_1,L_2\right) \text{ are defined as } L_1 = \min\{4,N_1\} \text{ and } L_2 = 2 \text{ for } N_1 \geq N_2 \text{ and } N_2 \neq 1, \ L_1 = 2 \text{ and } L_2 = \min\{4,N_2\} \text{ for } N_1 < N_2 \text{ and } N_1 \neq 1, \ L_1 = \min\{8,N_1\} \text{ and } L_2 = 1 \text{ for } N_2 = 1. \end{aligned}$ 

Table 5.2.2.6.3-2: Fields for channel quality information feedback for UE selected subband CQI reports (transmission mode 4, transmission mode 6, transmission mode 8 configured with PMI/RI reporting except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, transmission mode 9 configured with PMI/RI reporting with 2/4 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE* or *advancedCodebookEnabled=TRUE*, transmission mode 10 configured with PMI/RI reporting with 2/4 antenna ports except with

alternativeCodeBookEnabledFor4TX-r12=TRUE or advancedCodebookEnabled=TRUE, transmission mode 9/10 configured with PMI/RI reporting with 2/4 antenna ports and higher layer parameter eMIMO-Type, and eMIMO-Type is set to 'CLASS B' with K>1, and K=1 except with alternativeCodebookEnabledCLASSB\_K1=TRUE, except with alternativeCodeBookEnabledFor4TXr12=TRUE, and transmission mode 9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type2 configured with PMI/RI reporting with 2/4 antenna ports except with alternativeCodebookEnabledCLASSB\_K1=TRUE, except with PMI/RI reporting with 2/4 antenna ports except with eMIMO-Type2, and eMIMO-Type2 configured with PMI/RI reporting with 2/4 antenna ports except with alternativeCodebookEnabledCLASSB\_K1=TRUE)

	Bit width				
Field	2 anten	na ports	4 antenna ports		
	Rank = 1	Rank = 2	Rank = 1	Rank > 1	
Wide-band CQI codeword 0	4	4	4	4	
Subband differential CQI codeword 0	2	2	2	2	
Wide-band CQI codeword 1	0	4	0	4	
Subband differential CQI codeword 1	0	2	0	2	
Position of the M selected subbands	L	L	L	L	
Precoding matrix indicator	4	2	8	8	

Table 5.2.2.6.3-2A: Fields for channel quality information feedback for UE selected subband CQI reports (transmission mode 9 configured with PMI/RI reporting with 8 antenna ports except with advancedCodebookEnabled=TRUE, transmission mode 10 configured with PMI/RI reporting with 8 antenna ports except with advancedCodebookEnabled=TRUE, transmission mode 10 configured with PMI/RI reporting with 8 antenna ports and higher layer parameter eMIMO-Type, and eMIMO-Type is set to 'CLASS B' with K>1, and K=1 except with alternativeCodebookEnabledCLASSB\_K1=TRUE and transmission mode 9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type2 configured with PMI/RI reporting with 8 antenna ports except with alternativeCodebookEnabledCLASSB\_K1=TRUE

Field	Bit width							
Field	Rank = 1	Rank = 2	Rank = 3	Rank = 4	Rank = 5	Rank = 6	Rank = 7	Rank = 8
Wide-band CQI codeword 0	4	4	4	4	4	4	4	4
Subband differential CQI codeword 0	2	2	2	2	2	2	2	2
Wide-band CQI codeword 1	0	4	4	4	4	4	4	4
Subband differential CQI codeword 1	0	2	2	2	2	2	2	2
Position of the M selected subbands	L	L	L	L	L	L	L	L
Wideband first PMI i1	4	4	2	2	2	2	2	0
Wideband second PMI i2	4	4	4	3	0	0	0	0
Subband second PMI i2	4	4	4	3	0	0	0	0

Table 5.2.2.6.3-2B: Fields for channel quality information feedback for UE selected subband CQI reports with 4 antenna ports (transmission modes 8, 9 and 10 configured with PMI/RI reporting, 4 antenna ports and alternativeCodeBookEnabledFor4TX-r12=TRUE, except with advancedCodebookEnabled=TRUE, transmission mode 9/10 configured with PMI/RI reporting with 4 antenna ports and higher layer parameter eMIMO-Type, and eMIMO-Type is set to 'CLASS B' with K>1, and K=1 except with alternativeCodebookEnabledCLASSB\_K1=TRUE, with alternativeCodeBookEnabledFor4TX-r12=TRUE, and transmission mode 9/10 configured with PMI/RI reporting with 4 antenna ports except with alternativeCodebookEnabledCLASSB\_K1=TRUE, with alternativeCodeBookEnabledFor4TX-r12=TRUE, and transmission mode 9/10 configured with PMI/RI reporting with 4 antenna ports except with alternativeCodebookEnabledCLASSB\_K1=TRUE)

Field	Bit width				
	Rank = 1	Rank = 2	Rank = 3	Rank = 4	
Wide-band CQI codeword 0	4	4	4	4	
Subband differential CQI codeword 0	2	2	2	2	
Wide-band CQI codeword 1	0	4	4	4	
Subband differential CQI codeword 1	0	2	2	2	
Position of the M selected subbands	L	L	L	L	
Wideband first PMI i1	4	4	0	0	
Wideband second PMI i2	4	4	4	4	
Subband second PMI i2	4	4	4	4	

Table 5.2.2.6.3-2C: Fields for channel quality information feedback for UE selected subband CQI reports (transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' with codebook configuration ( $N_1, N_2, O_1, O_2$ ) and *CodebookConfig*=1, except with *advancedCodebookEnabled=TRUE*)

Field			Bit width	
1 Iolu	Rank = 1	Rank = 2	Rank = 3	Rank = 4
Wide-band CQI	4	4	4	4
codeword 0 Subband differential CQI	2	2	2	2
codeword 0 Wide-band				
CQI codeword 1 Subband	0	4	4	4
differential CQI codeword 1	0	2	2	2
Position of the M selected subbands	L	L	L	L
Wideband first PMI i1,1	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$	$\left[\log_2\left(\frac{N_1O_1}{S_1}\times\left(2+\left\lfloor\frac{9-N_2}{8}\right\rfloor\right)\right)\right]$
Wideband first PMI i1,2	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$
Wideband second PMI i2	2	2	1	1
Subband second PMI i2	2	2	1	1
Field			Bit width	
	Rank = 5	Rank = 6	Rank = 7	Rank = 8
Wide-band CQI codeword 0	4	4	4	4
Subband differential CQI codeword 0	2	2	2	2
Wide-band CQI codeword 1	4	4	4	4
Subband differential CQI codeword 1	2	2	2	2
Position of the M selected subbands	L	L	L	L
Wideband first PMI i1,1	$\left\lceil \log_2\left(N_1 O_1 / S_1\right) \right\rceil$	$\left\lceil \log_2\left(N_1 O_1 / S_1\right) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$
Wideband first PMI i1,2	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2\left(N_2O_2/S_2\right) \right\rceil$	$\left\lceil \log_2\left(N_2O_2/S_2\right)\right\rceil$	$\left\lceil \log_2\left(N_2O_2/S_2\right) \right\rceil$
Wideband second PMI i2	0	0	0	0
Subband second PMI i2	0	0	0	0

Table 5.2.2.6.3-2D: Fields for channel quality information feedback for UE selected subband CQI reports (transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' with codebook configuration ( $N_1, N_2, O_1, O_2$ ) and CodebookConfig=2/3/4, except with advancedCodebookEnabled=TRUE)

Field			Bit width	
	Rank = 1	Rank = 2	Rank = 3	Rank = 4
Wide- band CQI codeword 0	4	4	4	4
Subband differential CQI codeword 0	2	2	2	2
Wide- band CQI codeword 1	0	4	4	4
Subband differential CQI codeword 1	0	2	2	2
Position of the M selected subbands	L	L	L	L
Wideband first PMI i1,1	$\left\lceil \log_2(N_1O_1/2) \right\rceil$	$\left\lceil \log_2(N_1O_1/2) \right\rceil$	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$
Wideband first PMI i1,2	$\left\lceil \log_2 (N_2 O_2 / 2) \right\rceil$	$\left\lceil \log_2 (N_2 O_2 / 2) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$
Wideband second PMI i2	4	4	4	3
Subband second PMI i2	4	4	4	3
Field			Bit width	
	Rank = 5	Rank = 6	Rank = 7	Rank = 8
Wide- band CQI codeword 0	4	4	4	4
Subband differential CQI codeword 0	2	2	2	2
Wide- band CQI codeword 1	4	4	4	4
Subband differential CQI codeword 1	2	2	2	2
Position of the M selected subbands	L	L	L	L
Wideband first PMI i1,1	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2\left(N_1O_1 / S_1\right) \right\rceil$	$\left\lceil \log_2\left(N_1O_1 / S_1\right) \right\rceil$	$\left\lceil \log_2\left(N_1O_1 / S_1\right) \right\rceil$

Wideband first PMI i1,2	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$
Wideband second PMI i2	0	0	0	0
Subband second PMI i2	0	0	0	0

Table 5.2.2.6.3-2E: Fields for channel quality information feedback for UE selected subband CQI reports (transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and *alternativeCodebookEnabledCLASSB\_K1=TRUE* and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*2, and *eMIMO-Type*2 configured with PMI/RI reporting with 2/4/8 antenna ports with *alternativeCodebookEnabledCLASSB\_K1=TRUE*]

	Bit width						
Field	2 antenna ports		4 antenna ports				
	Rank = 1	Rank = 2	Rank = 1	Rank =2	Rank =3	Rank =4	
Wideband CQI codeword 0	4	4	4	4	4	4	
Subband differential CQI codeword 0	2	2	2	2	2	2	
Wideband CQI codeword 1	0	4	0	4	4	4	
Subband differential CQI codeword 1	0	2	2	2	2	2	
Position of the M selected subbands	L	L	L	L	L	L	
Wideband Precoding matrix indicator	2	1	3	3	2	1	
Subband Precoding matrix indicator	2	1	3	3	2	1	
			Bit w	idth			
Field	8 antenna ports						
	Rank = 1	Rank = 2	Rank = 3	Rank =4	Rank	=5~8	
Wideband CQI codeword 0	4	4	4	4	4	1	
Subband differential CQI codeword 0	2	2	2	2		2	
Wideband CQI codeword 1	0	4	4	4	4		
Subband differential CQI codeword 1	0	2	2	2	2		
Position of the M selected subbands	L	L	L	L	1	<u></u>	
Wideband Precoding matrix indicator	4	4	4	3	(	)	
Subband Precoding matrix indicator	4	4	4	3	(	)	

Table 5.2.2.6.3-2F: Fields for channel quality information feedback for UE selected subband CQI reports (transmission mode 9/10 configured with PMI/RI reporting with 4 antenna ports and higher layer parameter advancedCodebookEnabled=TRUE)

Field	Bit width					
Field	Rank = 1	Rank = 2	Rank = 3	Rank = 4		
Wideband CQI codeword 0	4	4	4	4		
Subband differential CQI codeword 0	2	2	2	2		
Wideband CQI codeword 1	0	4	4	4		
Subband differential CQI codeword 1	0	2	2	2		
Position of the M selected subbands	L	L	L	L		
Wideband first PMI i1,1-1	3	3	0	0		
Wideband first PMI i1,2-1	0	0	0	0		
Wideband first PMI i1,1-2	0	0	0	0		
Wideband first PMI i1,2-2	0	0	0	0		
Wideband first PMI i1,p-2	2	2	0	0		
Wideband second PMI i2	6	12	4	4		
Subband second PMI i2	6	12	4	4		

	Bit width							
Field	Rank = 1	Rank = 2	Rank = 3	Rank = 4	Rank = 5	Rank = 6	Rank = 7	Rank = 8
Wide-band CQI codeword 0	4	4	4	4	4	4	4	4
Subband differential CQI codeword 0	2	2	2	2	2	2	2	2
Wide-band CQI codeword 1	0	4	4	4	4	4	4	4
Subband differential CQI codeword 1	0	2	2	2	2	2	2	2
Position of the M selected subbands	L	L	L	L	L	L	L	L
Wideband first PMI i1,1-1	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2(N_1O_1) \right\rceil$	2	2	2	2	2	0
Wideband first PMI i1,2-1	0	0	0	0	0	0	0	0
Wideband first PMI i1,1-2	$\left\lceil \log_2(L_1) \right\rceil$	$\left\lceil \log_2(L_1) \right\rceil$	0	0	0	0	0	0
Wideband first PMI i1,2-2	0	0	0	0	0	0	0	0
Wideband first PMI i1,p-2	2	2	0	0	0	0	0	0
Wideband second PMI i2	6	12	4	3	0	0	0	0
Subband second PMI i2	6	12	4	3	0	0	0	0

# Table 5.2.2.6.3-2G: Fields for channel quality information feedback for UE selected subband CQI reports (transmission mode 9/10 configured with PMI/RI reporting with 8 antenna ports and higher layer parameter advancedCodebookEnabled=TRUE)

Table 5.2.2.6.3-2H: Fields for channel quality information feedback for UE selected subband CQI reports (transmission mode 9/10 configured with PMI/RI reporting and higher layer parameters *advancedCodebookEnabled* and *eMIMO-Type*, and *advancedCodebookEnabled=True* and *eMIMO-Type* is set to 'CLASS A' with codebook configuration  $(N_i, N_i, Q, Q_i)$  and *CodebookConfig=*1)

<b>P</b> <sup>1</sup> 1 1	Bit width					
Field	Rank = 1	Rank = 2	Rank = 3	Rank = 4		
Wide- band CQI codeword	4	4	4	4		
0 Subband differential CQI codeword 0	2	2	2	2		
Wide- band CQI codeword 1	0	4	4	4		
Subband differential CQI codeword 1	0	2	2	2		
Position of the M selected subbands	L	L	L	L		
Wideband first PMI i1,1-1	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$		
Wideband first PMI i1,2-1	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2  /  S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2  /  S_2 \right) \right\rceil$		
Wideband first PMI i1,1-2	$\left\lceil \log_2(L_1) \right\rceil$	$\left\lceil \log_2(L_1) \right\rceil$	0	0		
Wideband first PMI i1,2-2	$\left\lceil \log_2(L_2) \right\rceil$	$\left\lceil \log_2(L_2) \right\rceil$	0	0		
Wideband first PMI i1,p-2	2	2	0	0		
Wideband second PMI i2	6	12	1	1		
Subband second PMI i2	6	12	1	1		
Field		•	Bit width			
Field	Rank = 5	Rank = 6	Rank = 7	Rank = 8		
Wide- band CQI codeword 0	4	4	4	4		
Subband differential CQI codeword 0	2	2	2	2		
Wide- band CQI codeword 1	4	4	4	4		
Subband differential CQI codeword 1	2	2	2	2		

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Position of the M selected subbands	L	L	L	L
Wideband first PMI i1,1-1	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2\left(N_1O_1 / S_1\right)\right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2\left(N_1 O_1 / S_1\right)\right\rceil$
Wideband first PMI i1,2-1	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$
Wideband first PMI i1,1-2	0	0	0	0
Wideband first PMI i1,2-2	0	0	0	0
Wideband first PMI i1,p-2	0	0	0	0
Wideband second PMI i2	0	0	0	0
Subband second PMI i2	0	0	0	0

Table 5.2.2.6.3-21: Fields for channel quality information feedback for UE selected subband CQI reports (transmission mode 9/10 configured with PMI/RI reporting and higher layer parameters *advancedCodebookEnabled* and *eMIMO-Type*, and *advancedCodebookEnabled=TRUE* and *eMIMO-Type* is set to 'CLASS A' with codebook configuration  $(N_1, N_2, Q_1, Q_2)$  and *CodebookConfig=2/3/4*)

<b>F</b> <sup>1</sup> <b>1 1</b>	Bit width					
Field	Rank = 1	Rank = 2	Rank = 3	Rank = 4		
Wide-			Addit = 9			
band CQI	4	4	4	4		
codeword	4	4	4	4		
0						
Subband						
differential						
CQI	2	2	2	2		
codeword						
0						
Wide-						
band CQI	0	4	4	4		
codeword	-		-			
1						
Subband						
differential	0	2	2	2		
CQI codeword	0	۷.	2	2		
codeword 1						
Position						
of the M						
selected	L	L	L	L		
subbands						
Wideband						
first PMI	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\log_2\left(\frac{N_1O_1}{S_1} \times \left(2 + \left \frac{9 - N_2}{8}\right \right)\right)$	$\log_2\left(\frac{N_1O_1}{S_1} \times \left(2 + \left \frac{9 - N_2}{8}\right \right)\right)$		
i1,1-1			$S_1 ( [ 8 ]))$	$[ S_1 ( [ 8 ]))]$		
Wideband						
first PMI	$\left[\log_2(N_2O_2)\right]$	$\left[\log_2(N_2O_2)\right]$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$		
i1,2-1						
Wideband	с ¬	с ¬				
first PMI	$\left[\log_2(L_1)\right]$	$\left[\log_2(L_1)\right]$	0	0		
i1,1-2						
Wideband						
first PMI	$\left\lceil \log_2(L_2) \right\rceil$	$\left\lceil \log_2(L_2) \right\rceil$	0	0		
i1,2-2						
Wideband	0					
first PMI	2	2	0	0		
i1,p-2 Wideband						
second	6	12	4	3		
PMI i2	0	12	+	5		
Subband						
second	6	12	4	3		
PMI i2	-		-	-		
Field			Bit width			
Field	Rank = 5	Rank = 6	Rank = 7	Rank = 8		
Wide-			Null = /	Kank = 0		
band CQI						
codeword	4	4	4	4		
0						
Subband						
differential						
CQI	2	2	2	2		
codeword						
0						
Wide-						
band CQI	4	4	4	4		
codeword	7			-		
1						
Subband						
differential	_	_	_	_		
CQI	2	2	2	2		
codeword						
1						

Position of the M selected subbands	L	L	L	L
Wideband first PMI i1,1-1	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2\left(N_1O_1/S_1\right)\right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$
Wideband first PMI i1,2-1	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$
Wideband first PMI i1,1-2	0	0	0	0
Wideband first PMI i1,2-2	0	0	0	0
Wideband first PMI i1,p-2	0	0	0	0
Wideband second PMI i2	0	0	0	0
Subband second PMI i2	0	0	0	0

Table 5.2.2.6.3-2J shows the fields and the corresponding bit widths for i1 for UE selected subband CQI reports for PDSCH transmissions associated with transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Typei* is set to 'CLASS A' with 8/12/16/20/24/28/32 antenna ports and *eMIMO-Type2* is set to 'CLASS B', where i1 is associated with Class A.

Table 5.2.2.6.3-2J: Fields for i1 feedback (transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*2, and *eMIMO-Type* is set to 'CLASS A' with codebook configuration  $(N_i, N_2, Q_i, Q_i)$ , where i1 is associated with Class A)

		Bit width			
Field	Max 1 or 2 layers	Max 4 or 8 layers			
	Rank = 1	Rank = 1	Rank =3		
Wideband first PMI i1,1	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2 (N_1 O_1 / S_1) \right\rceil$	$\left[\log_2\left(\frac{N_1O_1}{S_1}\times\left(2+\left\lfloor\frac{9-N_2}{8}\right\rfloor\right)\right)\right]$		
Wideband first PMI i1,2	$\left\lceil \log_2 \left( N_2 O_2  /  S_2 \right) \right\rceil$	$\left\lceil \log_2 (N_2 O_2 / S_2) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2  /  S_2 \right) \right\rceil$		

Table 5.2.2.6.3-3 shows the fields and the corresponding bit widths for the rank indication feedback for UE selected subband CQI reports for PDSCH transmissions associated with transmission mode 3, transmission mode 4, transmission mode 8 configured with PMI/RI reporting, transmission mode 9 configured with PMI/RI reporting with 2/4/8 antenna ports, transmission mode 10 configured with PMI/RI reporting with 2/4/8 antenna ports, transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter eMIMO-Type, and eMIMO-Type is set to 'CLASS B' with K=1, transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter csi-RS-NZP-mode set to 'multi-shot' and with activatedResources=1 and more than one ports for the activated CSI-RS resource, transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter csi-RS-ConfigNZP-ApList and the higher layer parameter csi-RS-NZP-mode is set to 'aperiodic' and more than one ports for the activated CSI-RS resource, transmission mode 9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type2 configured with PMI/RI reporting with 2/4/8 antenna ports and rank indication is associated with eMIMO-Type2, transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameter eMIMO-Type, and eMIMO-Type is set to 'CLASS A', and transmission mode 9/10 configured without PMI reporting and higher layer parameter eMIMO-Type, and eMIMO-Type is set to 'CLASS B' with K=1 with with 2/4/8 antenna ports, transmission mode 9/10 configured without PMI reporting and higher layer parameter csi-RS-NZP-mode set to 'multi-shot' and with activatedResources=1 and more than one ports for the activated CSI-RS resource, transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter csi-RS-ConfigNZP-ApList and the higher layer parameter csi-RS-NZP-mode is set to 'aperiodic' and more than one ports for the activated

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CSI-RS resource, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*2, and *eMIMO-Type*2 configured without PMI reporting with 2/4/8 antenna ports and rank indication is associated with *eMIMO-Type*2.

Table 5.2.2.6.3-3A shows the fields and the corresponding bit widths for the CSI-RS resource indication feedback for UE selected subband CQI reports for PDSCH transmissions associated with transmission mode 9/10 configured with 1 antenna port for each CSI-RS resource and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 except with higher layer parameter *csi-RS-NZP-mode* configured, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type* is set to 'CLASS B' with higher layer parameter *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with higher layer parameter *eMIMO-Type*.

Table 5.2.2.6.3-3B shows the fields and the corresponding bit widths for the joint CRI and RI feedback for UE selected subband CQI report for PDSCH transmissions associated with transmission mode 9/10 configured without PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 except with higher layer parameter *csi-RS-NZP-mode* configured, transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type* is set to 'CLASS B' with K>1 except with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 and 2/4/8 antenna ports except with higher layer parameter *csi-RS-NZP-mode* configured.

Table 5.2.2.6.3-3C shows the fields and the corresponding bit widths for the CSI-RS resource indication feedback for UE selected subband CQI reports for PDSCH transmissions associated with transmission mode 9/10 configured with higher layer parameter *csi-RS-NZP-mode* set to 'multi-shot' and with *activatedResources*>1 and with 1 antenna port per activated CSI-RS resource. N is the value of higher layer parameter *activatedResources*.

Table 5.2.2.6.3-3D shows the fields and the corresponding bit widths for the joint CRI and RI feedback for UE selected subband CQI report for PDSCH transmissions associated with transmission mode 9/10 configured without PMI reporting and higher layer parameter *csi-RS-NZP-mode* is set to 'multi-shot' and with *activatedResources>*1 and more than one ports for at least one activated CSI-RS resource, and transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *csi-RS-NZP-mode* is set to 'multi-shot' and with *activatedResources>*1 and more than one ports for at least one activated CSI-RS resource. N is the value of higher layer parameter *activatedResources.* 

Table 5.2.2.6.3-3E shows the fields and the corresponding bit width for the rank indication feedback for UE selected subband CQI reports for PDSCH transmissions associated with transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*2, and *eMIMO-Type* is set to 'CLASS A' with8/12/16/20/24/28/32 antenna ports and *eMIMO-Type2* is set to 'CLASS B', where rank indication is associated with the *eMIMO-Type*.

Table 5.2.2.6.3-3: Fields for rank indication feedback for UE selected subband CQI reports (transmission mode 3, transmission mode 4, transmission mode 8 configured with PMI/RI reporting, transmission mode 9 configured with PMI/RI reporting with 2/4/8 antenna ports and transmission mode 10 configured with PMI/RI reporting with 2/4/8 antenna ports, transmission mode 9/10 configured with PMI/RI or without PMI reporting with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1, transmission mode 9/10 configured with PMI/RI or without PMI reporting and higher layer parameter *csi-RS-NZP-mode* is set to 'multi-shot' with *activatedResources=1* and more than one ports for the activated CSI-RS resource, transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *csi-RS-ConfigNZP-ApList* and the higher layer parameter *csi-RS-NZP-mode* is set to 'aperiodic' and more than one ports for the activated CSI-RS resource, transmission mode 9/10 configured with higher layer parameter *eMIMO-Type*, and *eMIMO-Type*2, and *eMIMO-Type*2 configured with PMI/RI or without PMI reporting with 2/4/8 antenna ports and rank indication is associated with *eMIMO-Type*2, and transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A')

Field	Bit width							
	2 ontonno	4 antenna ports		8/12/16/20/24/28/32 antenna ports				
	2 antenna ports	Max 1 or 2 layers	Max 4 layers	Max 1 or 2 layers	Max 4 layers	Max 8 layers		
Rank indication	1	1	2	1	2	3		

Table 5.2.2.6.3-3A: Fields for CSI-RS resource indication feedback for UE selected subband CQI reports (transmission mode 9/10 configured with 1 antenna port for each CSI-RS resource and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 except with higher layer parameter *csi-RS-NZP-mode* configured, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 except with higher layer parameter *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, where CRI is assocated with the *eMIMO-Type*)

Field	Bit width				
Field	K = 2	K = 3 and K= 4	K = 5 to K = 8		
CRI	1	2	3		

Table 5.2.2.6.3-3B: Fields for joint CRI and RI feedback for UE selected subband CQI report (transmission mode 9/10 configured without PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 except with higher layer parameter *csi-RS-NZP-mode* configured, and transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 and 2/4/8 antenna ports except with higher layer parameter *csi-RS-NZP-mode* configured)

	Bit width								
Field	2 antenna	4 antenna	a ports	8 antenna ports					
Field	ports	Max 1 or 2 layers	Max 4 layers	Max 1 or 2 layers	Max 4 layers	Max 8 layers			
CRI	$\lceil \log_2(K) \rceil$	$\lceil \log_2(K) \rceil$	$\left\lceil \log_2(K) \right\rceil$	$\lceil \log_2(K) \rceil$	$\lceil \log_2(K) \rceil$	$\left\lceil \log_2(K) \right\rceil$			
Rank indication	1	1	2	1	2	3			

Table 5.2.2.6.3-3C: Fields for CSI-RS resource indication feedback for UE selected subband CQI reports (transmission mode 9/10 configured with higher layer parameter *csi-RS-NZP-mode* set to 'multi-shot' and with *activatedResources*>1, and 1 antenna port per activated CSI-RS resource)

Field	Bit width			
Field	N = 2	N = 3 and N = 4		
CRI	1	2		

Table 5.2.2.6.3-3D: Fields for joint CRI and RI feedback for UE selected subband CQI report (transmission mode 9/10 configured without PMI reporting and higher layer parameter *csi-RS-NZP-mode* is set to 'multi-shot' and with *activatedResources>*1 and more than one ports for at least one activated CSI-RS resource, and transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *csi-RS-NZP-mode* is set to 'multi-shot' and with *activatedResources>*1 and more than one ports for at least one activated CSI-RS resources>1 and more than one ports for at least one activated CSI-RS resource)

	Bit width						
Field	2 ontonno	4 antenna	a ports	8 antenna ports			
Field	2 antenna ports	Max 1 or 2 layers	Max 4 layers	Max 1 or 2 layers	Max 4 layers	Max 8 layers	
CRI	$\left\lceil \log_2(N) \right\rceil$						
Rank indication	1	1	2	1	2	3	

Table 5.2.2.6.3-3E: Fields for rank indication feedback for UE selected subband CQI reports (transmission mode 9/10 and higher layer parameter *eMIMO-Type* and *eMIMO-Type*2, and *eMIMO-Type*2, and *eMIMO-Type*2 configured with PMI/RI or without PMI reporting with 2/4/8 antenna ports, with *eMIMO-Type* set to 'CLASS A', where rank indication is associated with the *eMIMO-Type*)

	Bit width			
Field	8/12/16/20/24/28/32 antenna ports			
	Max 1 or 2 layers	Max 4 or 8 layers		
Rank indication	0	1		

The channel quality bits in Table 5.2.2.6.3-1, Table 5.2.2.6.3-2, Table 5.2.2.6.3-2A, Table 5.2.2.6.3-2B, Table 5.2.2.6.3-2C, Table 5.2.2.6.3-2D, Table 5.2.2.6.3-2E, Table 5.2.2.6.3-2F, Table 5.2.2.6.3-2G, Table 5.2.2.6.3-2H, Table 5.2.2.6.3-2I, Table 5.2.2.6.3-3B, and Table 5.2.2.6.3-3D form the bit sequence  $o_0, o_1, o_2, ..., o_{O-1}$  with  $o_0$  corresponding to the

first bit of the first field in each of the tables,  $o_1$  corresponding to the second bit of the first field in each of the tables,

and  $o_{O-1}$  corresponding to the last bit in the last field in each of the tables. The field of PMI shall start with the wideband PMI followed by the PMI for the M selected subbands. The first bit of each field corresponds to MSB and the last bit LSB. The RI bits sequence in Table 5.2.2.6.3-3, Table 5.2.2.6.3-3B, Table 5.2.2.6.3-3D, Table 5.2.2.6.3-3E, and

the CRI sequence in Table 5.2.2.6.3-3A and Table 5.2.2.6.3-3C are encoded according to subclause 5.2.2.6.

For transmission mode 9/10 configured with Class B CSI reporting and K>1, the number of antenna port in Table 5.2.2.6.3-3B refers to the maximum number of antenna ports of K CSI-RS resources configured for the CSI-process for the UE.

For transmission mode 9/10 configured with Class B CSI reporting and K>1 and with *activatedResources*>1, the number of antenna port in Table 5.2.2.6.3-3D refers to the maximum number of antenna ports of N CSI-RS resources activated for the CSI-process for the UE. N is the value of higher layer parameter *activatedResources*.

#### 5.2.2.6.4 Channel coding for CQI/PMI information in PUSCH

The channel quality bits input to the channel coding block are denoted by  $o_0, o_1, o_2, o_3, ..., o_{O-1}$  where *O* is the number of bits. The number of channel quality bits depends on the transmission format. When PUCCH-based reporting format is used, the number of CQI/PMI bits is defined in subclause 5.2.3.3.1 for wideband reports and in subclause 5.2.3.3.2 for UE selected subbands reports. When PUSCH-based reporting format is used, the number of CQI/PMI bits is defined in subclause 5.2.2.6.2 for higher layer configured subbands reports and in subclause 5.2.2.6.3 for UE selected subbands reports.

The channel quality information is first coded using a (32, O) block code. The code words of the (32, O) block code are a linear combination of the 11 basis sequences denoted M<sub>i,n</sub> and defined in Table 5.2.2.6.4-1.

i	<b>M</b> i,0	<b>M</b> i,1	<b>M</b> i,2	<b>M</b> i,3	<b>M</b> i,4	<b>M</b> i,5	<b>M</b> i,6	<b>M</b> i,7	<b>M</b> i,8	<b>M</b> i,9	<b>M</b> i,10
0	1	1	0	0	0	0	0	0	0	0	1
1	1	1	1	0	0	0	0	0	0	1	1
2	1	0	0	1	0	0	1	0	1	1	1
3	1	0	1	1	0	0	0	0	1	0	1
4	1	1	1	1	0	0	0	1	0	0	1
5	1	1	0	0	1	0	1	1	1	0	1
6	1	0	1	0	1	0	1	0	1	1	1
7	1	0	0	1	1	0	0	1	1	0	1
8	1	1	0	1	1	0	0	1	0	1	1
9	1	0	1	1	1	0	1	0	0	1	1
10	1	0	1	0	0	1	1	1	0	1	1
11	1	1	1	0	0	1	1	0	1	0	1
12	1	0	0	1	0	1	0	1	1	1	1
13	1	1	0	1	0	1	0	1	0	1	1
14	1	0	0	0	1	1	0	1	0	0	1
15	1	1	0	0	1	1	1	1	0	1	1
16	1	1	1	0	1	1	1	0	0	1	0
17	1	0	0	1	1	1	0	0	1	0	0
18	1	1	0	1	1	1	1	1	0	0	0
19	1	0	0	0	0	1	1	0	0	0	0
20	1	0	1	0	0	0	1	0	0	0	1
21	1	1	0	1	0	0	0	0	0	1	1
22	1	0	0	0	1	0	0	1	1	0	1
23	1	1	1	0	1	0	0	0	1	1	1
24	1	1	1	1	1	0	1	1	1	1	0
25	1	1	0	0	0	1	1	1	0	0	1
26	1	0	1	1	0	1	0	0	1	1	0
27	1	1	1	1	0	1	0	1	1	1	0
28	1	0	1	0	1	1	1	0	1	0	0
29	1	0	1	1	1	1	1	1	1	0	0
30	1	1	1	1	1	1	1	1	1	1	1
31	1	0	0	0	0	0	0	0	0	0	0

Table 5.2.2.6.4-1: Basis sequences for (32, O) code

The encoded CQI/PMI block is denoted by  $b_0, b_1, b_2, b_3, ..., b_{B-1}$  where B = 32 and

$$b_i = \sum_{n=0}^{O-1} (o_n \cdot M_{i,n}) \mod 2$$
 where  $i = 0, 1, 2, ..., B-1$ .

The output bit sequence  $q_0, q_1, q_2, q_3, ..., q_{N_L \cdot Q_{CQI} - 1}$  is obtained by circular repetition of the encoded CQI/PMI block as follows

 $q_i = b_{(i \mod B)}$  where  $i = 0, 1, 2, ..., N_L Q_{CQI}$ , where  $N_L$  is the number of layers the corresponding UL-SCH transport block is mapped onto.

#### 5.2.2.6.5 Channel coding for more than 11 bits of HARQ-ACK information

The HARQ-ACK bits input to the channel coding block are denoted by  $o_0^{ACK}$   $o_1^{ACK}$ ,..., $o_{O^{ACK}-1}^{ACK}$  where  $11 < O^{ACK} \le 22$  is the number of bits.

The sequences of bits  $o_0^{ACK}$ ,  $o_1^{ACK}$ ,  $o_2^{ACK}$ , ...,  $o[o_{O^{ACK}/2}^{ACK}]_{-1}$  and  $o[o_{O^{ACK}/2}^{ACK}]_{-1}$ ,  $o[o_{O^{ACK}/2}^{ACK}]_{+1}$ ,  $o[o_{O^{ACK}/2}^{ACK}]_{+2}$ , ...,  $o[o_{O^{ACK}-1}^{ACK}]_{-1}$  are encoded as follows

$$\widetilde{q}_{i} = \sum_{n=0}^{\left\lceil O^{ACK} / 2 \right\rceil^{-1}} \left( o_{n}^{ACK} \cdot M_{i,n} \right) \mod 2$$

and

$$\widetilde{\widetilde{q}}_{i} = \sum_{n=0}^{O^{ACK} - \left[O^{ACK}/2\right]^{-1}} \left(O^{ACK}_{O^{ACK}/2} + n} \cdot M_{i,n}\right) \mod 2$$

where i = 0, 1, 2, ..., 31 and the basis sequences  $M_{i,n}$  are defined in Table 5.2.2.6.4-1.

The output bit sequence  $q_0^{ACK}, q_1^{ACK}, q_2^{ACK}, ..., q_{Q_{ACK}-1}^{ACK}$  is obtained by the concatenation and circular repetition of the bit sequences  $\tilde{q}_0, \tilde{q}_1, \tilde{q}_2, ...,, \tilde{q}_{31}$  and  $\tilde{\tilde{q}}_0, \tilde{\tilde{q}}_1, \tilde{\tilde{q}}_2, ...,, \tilde{\tilde{q}}_{31}$  as follows:

Set 
$$i = 0$$

while  $i < [Q/2] \cdot Q_m$ 

$$q_i^{ACK} = \tilde{q}_{i \mod 32}$$

$$i = i + 1$$

end while

Set 
$$i = 0$$

while  $i < (Q - [Q/2]) \cdot Q_m$ 

$$q_{\lceil Q'/2\rceil \cdot Q_m+i}^{ACK} = \widetilde{\widetilde{q}}_{i \bmod 32}$$

$$i = i + 1$$

end while

#### 5.2.2.7 Data and control multiplexing

The control and data multiplexing is performed such that HARQ-ACK information is present on both slots and is mapped to resources around the demodulation reference signals. In addition, the multiplexing ensures that control and data information are mapped to different modulation symbols.

The inputs to the data and control multiplexing are the coded bits of the control information denoted by  $q_0, q_1, q_2, q_3, ..., q_{N_L \cdot Q_{CQI} - 1}$  and the coded bits of the UL-SCH denoted by  $f_0, f_1, f_2, f_3, ..., f_{G-1}$ . The output of the data and control multiplexing operation is denoted by  $\underline{g}_0, \underline{g}_1, \underline{g}_2, \underline{g}_3, ..., \underline{g}_{H'-1}$ , where  $H = (G + N_L \cdot Q_{CQI})$  and  $H' = H / (N_L \cdot Q_m)$ , and where  $\underline{g}_i$ , i = 0, ..., H' - 1 are column vectors of length  $(Q_m \cdot N_L)$ . *H* is the total number of coded bits allocated for UL-SCH data and CQI/PMI information across the  $N_L$  transmission layers of the transport block.

In case where more than one UL-SCH transport block are transmitted in a subframe of an UL cell, the CQI/PMI information is multiplexed with data only on the UL-SCH transport block with highest  $I_{MCS}$  value on the initial grant. In case the two transport blocks have the same  $I_{MCS}$  value in the corresponding initial UL grant, the CQI/PMI information is multiplexed with data only on the first transport block. For that UL-SCH transport block or in the case of single transport block transmission, and assuming that  $N_L$  is the number of layers onto which the UL-SCH transport block is mapped, the control information and the data shall be multiplexed as follows:

Set *i*, *j*, *k* to 0

while  $j < N_L Q_{CQI}$  -- first place the control information

$$\underline{g}_{k} = [q_{j} \dots q_{j+N_{L}} \cdot Q_{m-1}]$$
$$j = j + N_{L} \cdot Q_{m}$$
$$k = k + 1$$

end while

while i < G -- then place the data

$$\underline{g}_{k} = [f_{i} \dots f_{i+Q_{m} \cdot N_{L}-1}]^{T}$$
$$i = i + Q_{m} \cdot N_{L}$$
$$k = k + 1$$

end while

#### 5.2.2.8 Channel interleaver

The channel interleaver described in this subclause in conjunction with the resource element mapping for PUSCH in [2] implements a time-first mapping of modulation symbols onto the transmit waveform while ensuring that the HARQ-ACK and RI information are present on both slots in the subframe. HARQ-ACK information is mapped to resources around the uplink demodulation reference signals while RI information is mapped to resources around those used by HARQ-ACK.

The input to the channel interleaver are denoted by  $\underline{g}_0, \underline{g}_1, \underline{g}_2, \dots, \underline{g}_{H'-1}, \underline{q}_0^{RI}, \underline{q}_1^{RI}, \underline{q}_2^{RI}, \dots, \underline{q}_{Q'_{RI}-1}^{RI}$  and

 $\underline{q}_{0}^{ACK}, \underline{q}_{1}^{ACK}, \underline{q}_{2}^{ACK}, ..., \underline{q}_{\underline{Q}_{ACK}-1}^{ACK}$ . In case where more than one UL-SCH transport block are transmitted in a subframe of an UL cell, the HARQ-ACK and RI information are multiplexed with data on both UL-SCH transport blocks.

The number of modulation symbols per layer in the subframe is given by  $H'_{total} = H' + Q_{RI}$ . The output bit sequence from the channel interleaver is derived as follows:

- (1) Assign  $C_{mux} = N_{symb}^{PUSCH}$  to be the number of columns of the matrix. The columns of the matrix are numbered 0, 1, 2,...,  $C_{mux}$  –1 from left to right.  $N_{symb}^{PUSCH}$  is determined according to subclause 5.2.2.6, or by higher layer parameter symPUSCH-UpPts for PUSCH transmission in UpPTS.
- (2) The number of rows of the matrix is  $R_{mux} = (H'_{total} \cdot Q_m \cdot N_L) / C_{mux}$  and we define  $R'_{mux} = R_{mux} / (Q_m \cdot N_L)$ .

The rows of the rectangular matrix are numbered 0, 1, 2,...,  $R_{mux} - 1$  from top to bottom.

(3) If rank information is transmitted in this subframe, the vector sequence  $\underline{q}_{0}^{RI}, \underline{q}_{1}^{RI}, \underline{q}_{2}^{RI}, ..., \underline{q}_{Q'_{RI}-1}^{RI}$  is written onto the columns indicated by Table 5.2.2.8-1 or Table 5.2.2.8-1A and by sets of  $(\underline{Q}_{m} \cdot N_{L})$  rows starting from the last row and moving upwards according to the following pseudo-code.

Set *i*, *j* to 0.

Set r to  $R'_{mux} - 1$ 

while  $i < Q'_{RI}$ 

 $c_{RI} = \text{Column Set}(j)$ 

 $\underline{y}_{r \times C_{mux} + c_{RI}} = \underline{q}_i^{RI}$ 

$$i = i + 1$$
$$r = R'_{mux} - 1 - \lfloor i/4 \rfloor$$
$$j = (j+3) \mod 4$$

end while,

where ColumnSet is given in Table 5.2.2.8-1 or Table 5.2.2.8-1A and indexed left to right from 0 to 3.

(4) Write the input vector sequence, for k = 0, 1, ..., H' - 1, into the  $(R_{mux} \times C_{mux})$  matrix by sets of  $(Q_m \cdot N_L)$  rows starting with the vector  $\underline{y}_0$  in column 0 and rows 0 to  $(Q_m \cdot N_L - 1)$  and skipping the matrix entries that are already occupied:

$$\begin{bmatrix} \underline{y}_0 & \underline{y}_1 & \underline{y}_2 & \cdots & \underline{y}_{C_{mux}-1} \\ \underline{y}_{C_{mux}} & \underline{y}_{C_{mux}+1} & \underline{y}_{C_{mux}+2} & \cdots & \underline{y}_{2C_{mux}-1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \underline{y}_{(R'_{mux}-1)\times C_{mux}} & \underline{y}_{(R'_{mux}-1)\times C_{mux}+1} & \underline{y}_{(R'_{mux}-1)\times C_{mux}+2} & \cdots & \underline{y}_{(R'_{mux}\times C_{mux}-1)} \end{bmatrix}$$

The pseudocode is as follows:

Set i, k to 0.

while k < H',

if  $\underline{y}_i$  is not assigned to RI symbols

 $\underline{y}_i = \underline{g}_k$  $\mathbf{k} = \mathbf{k} + 1$ 

end if

$$i = i + 1$$

end while

(5) If HARQ-ACK information is transmitted in this subframe, the vector sequence  $\underline{q}_{0}^{ACK}$ ,  $\underline{q}_{1}^{ACK}$ ,  $\underline{q}_{2}^{ACK}$ , ...,  $\underline{q}_{\mathcal{Q}_{ACK}-1}^{ACK}$  is written onto the columns indicated by Table 5.2.2.8-2, and by sets of  $(\underline{Q}_{m} \cdot N_{L})$  rows starting from the last row and moving upwards according to the following pseudo-code. Note that this operation overwrites some of the channel interleaver entries obtained in step (4).

Set *i*, *j* to 0. Set *r* to  $R'_{mux} - 1$ while  $i < Q'_{ACK}$   $c_{ACK} = \text{ColumnSet}(j)$   $\underline{y}_{r \times C_{mux} + c_{ACK}} = \underline{q}_{i}^{ACK}$  i = i + 1  $r = R'_{mux} - 1 - \lfloor i/4 \rfloor$   $j = (j + 3) \mod 4$ end while,

where ColumnSet is given in Table 5.2.2.8-2 and indexed left to right from 0 to 3.

of layers the corresponding UL-SCH transport block is mapped onto.

(6) The output of the block interleaver is the bit sequence read out column by column from the  $(R_{mux} \times C_{mux})$  matrix. The bits after channel interleaving are denoted by  $h_0, h_1, h_2, ..., h_{H+N_L}, Q_{RI}-1$ , where  $N_L$  is the number

## Table 5.2.2.8-1: Column set for Insertion of rank information for PUSCH not scheduled using DCI Format 0A, 4A, 0B and 4B

CP configuration	Column Set		
Normal	{1, 4, 7, 10}		
Extended	{0, 3, 5, 8}		

## Table 5.2.2.8-1A: Column set for Insertion of rank information for PUSCH scheduled using DCIFormat 0A, 4A, 0B and 4B

CP Configuration	PUSCH Starting Position	Column Set
Normal	At symbol 0	{1, 4, 7, 10}
Normai	Within symbol 0 or at symbol 1	{0, 3,6, 9}

#### Table 5.2.2.8-2: Column set for Insertion of HARQ-ACK information

CP configuration	Column Set		
Normal	{2, 3, 8, 9}		
Extended	{1, 2, 6, 7}		

The same channel interleaver procedures for RI are applied for CRI, using CRI instead of RI in the equations.

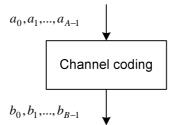
### 5.2.3 Uplink control information on PUCCH

Data arrives to the coding unit in the form of indicators for measurement indication, scheduling request and HARQ acknowledgement.

Three forms of channel coding are used as shown in Figure 5.2.3-1,

- one for HARQ-ACK and for combination of HARQ-ACK and periodic CSI transmitted on PUCCH format 3, including the cases with scheduling request,
- another for the channel quality information CQI/PMI transmitted on PUCCH format 2,
- and another for combination of CQI/PMI and HARQ-ACK transmitted on PUCCH format 2/2a/2b.

A fourth form of channel coding is used as shown in Figure 5.2.3-2, for HARQ-ACK and for combination of HARQ-ACK and periodic CSI transmitted on PUCCH format 4 or PUCCH format 5 including the cases with scheduling request, or for periodic CSI transmitted on PUCCH format 4 or PUCCH format 5 including the cases with scheduling request.





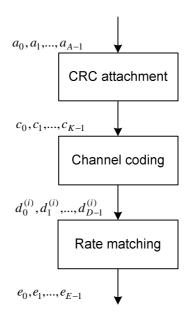


Figure 5.2.3-2: Processing for UCI

#### 5.2.3.1 Channel coding for UCI HARQ-ACK

The HARQ-ACK bits are received from higher layers for each subframe of each cell. Each positive acknowledgement (ACK) is encoded as a binary '1' and each negative acknowledgement (NACK) is encoded as a binary '0'. For UEs configured with no more than five DL cells, or for UEs configured by higher layers with *codebooksizeDetermination-r13* = *cc*, and for the case where PUCCH format 3, PUCCH format 4 or PUCCH format 5 [2] is configured by higher layers and is used for transmission of the HARQ-ACK feedback information, the HARQ-ACK feedback consists of the concatenation of HARQ-ACK bits for each of the serving cells. For UEs configured by higher layers with *codebooksizeDetermination-r13* = *dai*, the HARQ-ACK feedback consists of the HARQ-ACK bits for the serving cells depending on the Downlink Assignment Index (DAI) as in Table 5.3.3.1.2-2 and as defined in [3]. For cells configured with transmission modes 1, 2, 5, 6 or 7 [3], i.e., single codeword transmission modes, 1 bit of HARQ-ACK information are used for those cells, i.e.,  $a_k$ ,  $a_{k+1}$  with  $a_k$  corresponding to HARQ-ACK bit for codeword 0 and  $a_{k+1}$  corresponding to that for codeword 1.

Define  $O^{ACK}$  as the number of HARQ-ACK feedback bits and  $N_{A/N}^{PUCCH \text{ format }3}$  as the number of HARQ-ACK feedback bits including the possible concurrent transmission of scheduling request and/or periodic CSI when PUCCH format 3 is used for transmission of HARQ-ACK feedback (subclause 10.1 in [3]), and  $N_{A/N}^{PUCCH \text{ format }4}$  as the number of HARQ-ACK feedback bits including the possible concurrent transmission of scheduling request and/or periodic CSI when PUCCH format 4 is used for transmission of HARQ-ACK feedback (subclause 10.1 in [3]), and  $N_{A/N}^{PUCCH \text{ format }5}$  as the number of HARQ-ACK feedback bits including the possible concurrent transmission of scheduling request and/or periodic CSI when PUCCH format 5 is used for transmission of HARQ-ACK feedback (subclause 10.1 in [3]), and  $N_{A/N}^{PUCCH \text{ format }5}$  as the number of HARQ-ACK feedback bits including the possible concurrent transmission of scheduling request and/or periodic CSI when PUCCH format 5 is used for transmission of HARQ-ACK feedback (subclause 10.1 in [3]).

For UEs configured by higher layers with *codebooksizeDetermination-r13* = *dai*, the bit sequence  $\tilde{o}_0^{ACK}, \tilde{o}_1^{ACK}, ..., \tilde{o}_{O^{ACK}-1}^{ACK}$  is determined according to the Downlink Assignment Index (DAI) as in Table 5.3.3.1.2-2 and as defined in [3]. Otherwise, the bit sequence  $\tilde{o}_0^{ACK}, \tilde{o}_1^{ACK}, ..., \tilde{o}_{O^{ACK}-1}^{ACK}$  is determined as below.

For FDD, the sequence of bits  $\tilde{o}_0^{ACK}$ ,  $\tilde{o}_1^{ACK}$ ,..., $\tilde{o}_{O^{ACK}-1}^{ACK}$  is the result of the concatenation of HARQ-ACK bits for different cells according to the following pseudo-code:

Set c = 0 – cell index: lower indices correspond to lower RRC indices of corresponding cell

Set j = 0 - HARQ-ACK bit index

Set  $N_{cells}^{DL}$  to the number of cells configured by higher layers for the UE

while 
$$c < N_{cells}^{DL}$$

if transmission mode configured in cell  $c \in \{1, 2, 5, 6, 7\}$  -- 1 bit HARQ-ACK feedback for this cell

$$\tilde{o}_{i}^{ACK}$$
 = HARQ-ACK bit of this cell

$$j = j +$$

1

else

if the UE is configured with spatial bundling on PUCCH by higher layers and if the UE is configured with PUCCH format 4 or PUCCH format 5

 $\tilde{o}_{j}^{ACK}$  = binary AND operation of the HARQ-ACK bits corresponding to the first and second codewords of this cell

j = j + 1

else

 $\tilde{o}_{i}^{ACK}$  = HARQ-ACK bit corresponding to the first codeword of this cell

j = j + 1

 $\tilde{o}_{i}^{ACK}$  = HARQ-ACK bit corresponding to the second codeword of this cell

j = j + 1

end if

end if

c = c + 1

end while

For the aggregation of more than one DL cell including a primary cell using FDD and at least one secondary cell using TDD, the sequence of bits  $\tilde{o}_0^{ACK}$ ,  $\tilde{o}_1^{ACK}$ ,...,  $\tilde{o}_{O^{ACK}-1}^{ACK}$  is the result of the concatenation of HARQ-ACK bits for different cells. Define  $N_{cells}^{DL}$  as the number of cells configured by higher layers for the UE and  $B_c^{DL}$  as the number of subframes for which the UE needs to feed back HARQ-ACK bits in UL subframe n for the *c*-th serving cell. For a cell using TDD, the subframes are determined by the DL-reference UL/DL configuration if the UE is configured with higher layer parameter *eimta-HARQ-ReferenceConfig*, and determined by the UL/DL configuration otherwise. For a cell using TDD,  $B_c^{DL} = 1$  if subframe n-4 in the cell is a DL subframe or a special subframe with special subframe configurations

1/2/3/4/6/7/8/9/10 and normal downlink CP or a special subframe with special subframe configurations 1/2/3/5/6/7 and extended downlink CP, and  $B_c^{DL} = 0$  otherwise. For a cell using FDD,  $B_c^{DL} = 1$ .

The sequence of bits  $\tilde{o}_0^{ACK}, \tilde{o}_1^{ACK}, ..., \tilde{o}_{O^{ACK}-1}^{ACK}$  is performed according to the following pseudo-code:

Set c = 0 – cell index: lower indices correspond to lower RRC indices of corresponding cell

Set j = 0 - HARQ-ACK bit index

while  $c < N_{cells}^{DL}$ 

$$B_c^{DL} = 1$$

if transmission mode configured in cell  $c \in \{1, 2, 5, 6, 7\}$  -- 1 bit HARQ-ACK feedback for this cell

 $\tilde{o}_{i}^{ACK}$  = HARQ-ACK bit of this cell

$$j = j + 1$$

else

if the UE is configured with spatial bundling on PUCCH by higher layers and if the UE is configured with PUCCH format 4 or PUCCH format 5

 $\tilde{o}_{j}^{ACK}$  = binary AND operation of the HARQ-ACK bits corresponding to the first and second codewords of this cell

j = j + 1

else

 $\tilde{o}_{i}^{ACK}$  = HARQ-ACK bit corresponding to the first codeword of this cell

j = j + 1

 $\tilde{o}_{i}^{ACK}$  = HARQ-ACK bit corresponding to the second codeword of this cell

j = j + 1

end if

end if

c = c + 1

end while

For the cases with TDD primary cell, the sequence of bits  $\tilde{o}_0^{ACK}$ ,  $\tilde{o}_1^{ACK}$ ,..., $\tilde{o}_{O^{ACK}-1}^{ACK}$  is obtained from the HARQ-ACK bits for different cells and different subframes.

Define  $N_{cells}^{DL}$  as the number of cells configured by higher layers for the UE and  $B_c^{DL}$  as the number of subframes for which the UE needs to feed back HARQ-ACK bits in cell *c* as defined in Subclause 7.3 of [3].

The number of HARQ-ACK bits k and the number of HARQ-ACK bits after spatial bundling  $k_b$  are computed as follows:

Set k = 0 – counter of HARQ-ACK bits

Set  $k_b = 0$  – counter of HARQ-ACK bits after spatial bundling

Set c = 0 – cell index: lower indices correspond to lower RRC indices of corresponding cell

while  $c < N_{cells}^{DL}$ 

set l = 0;

while  $l < B_c^{DL}$ 

if transmission mode configured in cell  $c \in \{1, 2, 5, 6, 7\}$  -- 1 bit HARQ-ACK feedback for this cell

k = k + 1

 $k_b = k_b + 1$ 

else

k = k + 2

 $k_b = k_b + 1$ 

end if

l = l + 1

end while

$$c = c + 1$$

end while

In case the transmission of HARQ-ACK feedback using PUCCH format 3, PUCCH format 4 or PUCCH format 5 coincides with a sub-frame configured to the UE by higher layers for transmission of scheduling request, the number of scheduling request bit  $O^{SR}$  is 1; otherwise  $O^{SR}=0$ .

In case the transmission of HARQ-ACK feedback using PUCCH format 3, PUCCH format 4 or PUCCH format 5 coincides with a sub-frame configured to the UE by higher layers for transmission of periodic CSI,  $O^{CSI}$  is the number of periodic CSI bit(s) for the CSI report as defined in subclause 7.2.2 [3]; otherwise  $O^{CSI}=0$ .

For PUCCH format 3, the number of HARQ-ACK feedback bits  $O^{ACK}$  is computed as follows: Set  $k_{\text{max}} = 20$  when TDD is used in all the configured serving cell(s) of the UE and  $k_{\text{max}} = 21$  when FDD is used in at least one of the configured serving cells with TDD primary cell.

If  $k \le k_{\text{max}}$  and  $O^{\text{CSI}} = 0$ , or if  $k + O^{\text{CSI}} + O^{\text{SR}} \le 22$  and  $O^{\text{CSI}} > 0$ , or if  $k_b + O^{\text{CSI}} + O^{\text{SR}} > 22$  and  $k \le k_{\text{max}}$ , then

$$O^{ACK} = k$$

else,

$$O^{ACK} = k_b$$

For PUCCH format 4 or PUCCH format 5,  $O^{ACK} = k$  if the UE is not configured with spatial bundling on PUCCH by higher layers; otherwise  $O^{ACK} = k_b$ .

If  $O^{ACK} = k$ , the multiplexing of HARQ-ACK bits is performed according to the following pseudo-code:

Set c = 0 – cell index: lower indices correspond to lower RRC indices of corresponding cell

Set j = 0 - HARQ-ACK bit index

while  $c < N_{cells}^{DL}$ 

set l = 0;

while  $l < B_c^{DL}$ 

if transmission mode configured in cell  $c \in \{1, 2, 5, 6, 7\}$  -- 1 bit HARQ-ACK feedback for this cell

$$\tilde{o}_{j}^{ACK} = o_{c,l}^{ACK}$$
 HARQ-ACK bit of this cell as defined in Subclause 7.3 of [3]

j = j + 1

else

 $[\tilde{o}_{j}^{ACK}, \tilde{o}_{j+1}^{ACK}] = [o_{c,2l}^{ACK}, o_{c,2l+1}^{ACK}]$  HARQ-ACK bit of this cell as defined in Subclause 7.3 of [3] j = j + 2

end if

l = l + 1

end while

c = c + 1

end while

If  $O^{ACK} = k_b$ , spatial bundling is applied to all subframes in all cells and the multiplexing of HARQ-ACK bits is performed according to the following pseudo-code

Set c = 0 – cell index: lower indices correspond to lower RRC indices of corresponding cell

Set j = 0 - HARQ-ACK bit index

while  $c < N_{cells}^{DL}$ 

set l = 0;

while  $l < B_c^{DL}$ 

if transmission mode configured in cell  $c \in \{1, 2, 5, 6, 7\} - 1$  bit HARQ-ACK feedback for this cell

 $\tilde{o}_{i}^{ACK} = o_{c,l}^{ACK}$  HARQ-ACK bit of this cell as defined in Subclause 7.3 of [3]

j = j + 1

else

 $\tilde{o}_{j}^{ACK} = o_{c,l}^{ACK}$  binary AND operation of the HARQ-ACK bits corresponding to the first and second codewords of this cell as defined in Subclause 7.3 of [3]

j = j + 1

end if

l = l + 1

end while

c = c + 1

end while

In case the transmission of HARQ-ACK feedback using PUCCH format 3, PUCCH format 4 or PUCCH format 5 [2] coincides with a sub-frame configured to the UE by higher layers for transmission of scheduling request, the scheduling request bit (1 = positive SR; 0 = negative SR) is appended at the end of the sequence of concatenated HARQ-ACK bits.

In case the transmission of HARQ-ACK feedback using PUCCH format 3, PUCCH format 4 or PUCCH format 5 [2] coincides with a sub-frame configured to the UE by higher layers for transmission of periodic CSI, and periodic CSI is not dropped as defined in subclause 7.3.2 and subclause 10.1.1 of [3], the periodic CSI bits for the CSI report as defined in subclause 7.2.2 [3] are appended at the end of the sequence of concatenated HARQ-ACK bits and scheduling request bit (if any), where in case of CSI report for more than one DL cell, the CSI report for each DL cell is appended in increasing order of cell index. As with the transmission of the scheduling request, the procedure above is used with  $N_{A/N}^{PUCCH \text{ format } 3}$ ,  $N_{A/N}^{PUCCH \text{ format } 4}$  or  $N_{A/N}^{PUCCH \text{ format } 5}$  including the number of periodic CSI bits and scheduling request bit (if any).

For  $N_{A/N}^{\text{PUCCH format 3}} \leq 11$ , the bit sequence  $a_0, a_1, a_2, \dots, a_{N_{A/N}^{\text{PUCCH format 3}}-1}$  is obtained by setting  $a_i = \widetilde{o}_i^{ACK}$ .

For  $11 < N_{A/N}^{PUCCH \text{ format } 3} \le 22$ , the bit sequence  $a_0, a_1, a_2, \dots, a_{N_{A/N}^{PUCCH \text{ format } 3} - 1}$  is obtained by setting  $a_{i/2} = \tilde{o}_i^{ACK}$  if *i* is even and  $a_{N_{A/N}^{PUCCH \text{ format } 3}/2 + (i-1)/2} = \tilde{o}_i^{ACK}$  if *i* is odd.

For  $N_{A/N}^{\text{PUCCH format 3}} \leq 11$ , the sequence of bits  $a_0, a_1, a_2, \dots, a_{N_{A/N}^{\text{PUCCH format 3}}-1}$  is encoded as follows

$$\widetilde{b}_{i} = \sum_{n=0}^{N_{A/N}^{\text{PUCCH format } 3} - 1} \sum_{n=0}^{-1} (a_{n} \cdot M_{i,n}) \mod 2$$

where i = 0, 1, 2, ..., 31 and the basis sequences  $M_{i,n}$  are defined in Table 5.2.2.6.4-1.

The output bit sequence  $b_0, b_1, b_2, \dots, b_{B-1}$  is obtained by circular repetition of the sequence  $\tilde{b}_0, \tilde{b}_1, \tilde{b}_2, \dots, \tilde{b}_{31}$ 

$$b_i = \widetilde{b}_{(i \mod 32)}$$

where i = 0, 1, 2, ..., B-1 and where  $B = 4 \cdot N_{sc}^{RB}$ .

For  $11 < N_{A/N}^{\text{PUCCH format 3}} \le 22$ , the sequences of bits  $a_0, a_1, a_2, \dots, a_{N_A/N}^{\text{PUCCH format 3}/2}$  and

 $a_{\left[N_{A/N}^{\text{PUCCH format 3}/2}\right]}, a_{\left[N_{A/N}^{\text{PUCCH format 3}/2}\right]+1}, a_{\left[N_{A/N}^{\text{PUCCH format 3}/2}\right]+2}, \dots, a_{N_{A/N}^{\text{PUCCH format 3}-1}}$  are encoded as follows

$$\widetilde{b}_{i} = \sum_{n=0}^{\left\lceil N_{A/N}^{\text{PUCCH format } 3}/2 \right\rceil - 1} \sum_{n=0}^{\left\lceil n + M_{i,n} \right\rceil} \mod 2$$

and

$$\widetilde{\widetilde{b}}_{i} = \sum_{n=0}^{N_{A/N}^{\text{PUCCH format } 3} - \left\lceil N_{A/N}^{\text{PUCCH format } 3_{/2}} \right\rceil_{-1}} \left( a_{\left\lceil N_{A/N}^{\text{PUCCH format } 3_{/2}} \right\rceil_{+n}} \cdot M_{i,n} \right) \mod 2$$

where i = 0, 1, 2, ..., 23 and the basis sequences  $M_{i,n}$  are defined in Table 5.2.2.6.4-1.

The output bit sequence  $b_0, b_1, b_2, ..., b_{B-1}$  where  $B = 4 \cdot N_{sc}^{RB}$  is obtained by the alternate concatenation of the bit sequences  $\tilde{b}_0, \tilde{b}_1, \tilde{b}_2, ..., \tilde{b}_{23}$  and  $\tilde{\tilde{b}}_0, \tilde{\tilde{b}}_1, \tilde{\tilde{b}}_2, ..., \tilde{\tilde{b}}_{23}$  as follows Set i, j = 0

while  $i < 4 \cdot N_{sc}^{RB}$  $b_i = \tilde{b}_i, \ b_{i+1} = \tilde{b}_{i+1}$ 

$$b_{i+2} = \tilde{b}_j, \ b_{i+3} = \tilde{b}_{j+1}$$
$$i = i + 4$$
$$j = j + 2$$

end while

For  $N_{A/N}^{PUCCH \text{ format } 4} > 22$ , the bit sequence  $a_0, a_1, a_2, \dots, a_{N_{A/N}^{PUCCH \text{ format } 4}-1}$  is obtained by setting  $a_i = \tilde{o}_i^{ACK}$ , and the output bit sequence after the rate matching is denoted by  $e_0, e_1, e_2, \dots, e_{E-1}$ , where  $E = Q_m \cdot M_{RB}^{PUCCH 4} \cdot N_{symb}^{PUSCH} \cdot N_{sc}^{RB}$ ,  $Q_m$  is the modulation order of the PUCCH format 4,  $N_{symb}^{PUSCH}$  is determined according to subclause 5.2.4.1, and  $M_{RB}^{PUCCH 4}$  represents the bandwidth of the PUCCH format 4 in terms of resource blocks [2]. The CRC attachment, channel coding and rate matching are performed according to subclauses 5.1.1 by setting *L* to 8 bits, 5.1.3.1 and 5.1.4.2, respectively. The input bit sequence to the CRC attachment operation is  $a_0, a_1, a_2, \dots, a_{N_{A/N}}^{PUCCH format 4}_{-1}$ . The output bit sequence of the

CRC attachment operation is the input bit sequence to the channel coding operation. The output bit sequence of the channel coding operation is the input bit sequence to the rate matching operation.

For  $N_{A/N}^{PUCCH \text{ format } 5} > 22$ , the bit sequence  $a_0, a_1, a_2, ..., a_{N_{A/N}^{PUCCH \text{ format } 5}-1}$  is obtained by setting  $a_i = \tilde{o}_i^{ACK}$ , and the output bit sequence after the rate matching is denoted by  $e_0, e_1, e_2, ..., e_{E-1}$ , where  $E = Q_m \cdot N_{symb}^{PUSCH} \cdot N_{sc}^{RB} / 2$ ,  $Q_m$  is the modulation order of the PUCCH format 5 and  $N_{symb}^{PUSCH}$  is determined according to subclause 5.2.4.1. The CRC attachment, channel coding and rate matching are performed according to subclauses 5.1.1 by setting *L* to 8 bits, 5.1.3.1 and 5.1.4.2, respectively. The input bit sequence to the CRC attachment operation is  $a_0, a_1, a_2, ..., a_{N_{A/N}^{PUCCH \text{ format } 5}-1$ . The

output bit sequence of the CRC attachment operation is the input bit sequence to the channel coding operation. The output bit sequence of the channel coding operation is the input bit sequence to the rate matching operation.

When PUCCH format 3, PUCCH format 4 or PUCCH format 5 is not used for transmission of HARQ-ACK feedback, the HARQ-ACK bits are processed for transmission according to subclause 10.1 in [3].

#### 5.2.3.2 Channel coding for UCI scheduling request

The scheduling request indication is received from higher layers and is processed according to [2].

#### 5.2.3.3 Channel coding for UCI channel quality information

The channel quality and possible concurrent scheduling request bits input to the channel coding block are denoted by  $a_0, a_1, a_2, a_3, ..., a_{A-1}$  where A is the number of bits, and where the scheduling request bit (if present) is prepended to the sequence of channel quality bits. The number of channel quality bits depends on the transmission format as indicated in subclause 5.2.3.3.1 for wideband reports and in subclause 5.2.3.3.2 for UE-selected subbands reports.

For PUCCH format 2, the channel quality information is coded using a (20, A) code. The code words of the (20, A) code are a linear combination of the 13 basis sequences denoted  $M_{i,n}$  and defined in Table 5.2.3.3-1.

i	Mi,0	M <sub>i,1</sub>	M <sub>i,2</sub>	Mi,3	Mi,4	M <sub>i,5</sub>	Mi,6	Mi,7	Mi,8	Mi,9	Mi,10	<b>M</b> i,11	<b>M</b> <sub>i,12</sub>
0	1	1	0	0	0	0	0	0	0	0	1	1	0
1	1	1	1	0	0	0	0	0	0	1	1	1	0
2	1	0	0	1	0	0	1	0	1	1	1	1	1
3	1	0	1	1	0	0	0	0	1	0	1	1	1
4	1	1	1	1	0	0	0	1	0	0	1	1	1
5	1	1	0	0	1	0	1	1	1	0	1	1	1
6	1	0	1	0	1	0	1	0	1	1	1	1	1
7	1	0	0	1	1	0	0	1	1	0	1	1	1
8	1	1	0	1	1	0	0	1	0	1	1	1	1
9	1	0	1	1	1	0	1	0	0	1	1	1	1
10	1	0	1	0	0	1	1	1	0	1	1	1	1
11	1	1	1	0	0	1	1	0	1	0	1	1	1
12	1	0	0	1	0	1	0	1	1	1	1	1	1
13	1	1	0	1	0	1	0	1	0	1	1	1	1
14	1	0	0	0	1	1	0	1	0	0	1	0	1
15	1	1	0	0	1	1	1	1	0	1	1	0	1
16	1	1	1	0	1	1	1	0	0	1	0	1	1
17	1	0	0	1	1	1	0	0	1	0	0	1	1
18	1	1	0	1	1	1	1	1	0	0	0	0	0
19	1	0	0	0	0	1	1	0	0	0	0	0	0

Table 5.2.3.3-1: Basis sequences for (20, A) code

After encoding the bits are denoted by  $b_0, b_1, b_2, b_3, \dots, b_{B-1}$  where B = 20 and with

$$b_i = \sum_{n=0}^{A-1} (a_n \cdot M_{i,n}) \mod 2$$
 where  $i = 0, 1, 2, ..., B-1$ .

For PUCCH format 4, the output bit sequence after the rate matching is denoted by  $e_0, e_1, e_2, ..., e_{E-1}$ , where  $E = Q_m \cdot M_{RB}^{PUCCH4} \cdot N_{symb}^{PUSCH} \cdot N_{sc}^{RB}$ ,  $Q_m$  is the modulation order of the PUCCH format 4,  $N_{symb}^{PUSCH}$  is determined according to subclause 5.2.4.1, and  $M_{RB}^{PUCCH4}$  represents the bandwidth of the PUCCH format 4 in terms of resource blocks [2]. The CRC attachment, channel coding and rate matching are performed according to subclauses 5.1.1 by setting *L* to 8 bits, 5.1.3.1 and 5.1.4.2, respectively. The input bit sequence to the CRC attachment operation is  $a_0, a_1, a_2, ..., a_{A-1}$ . The output bit sequence of the CRC attachment operation is the input bit sequence to the channel coding operation. The output bit sequence of the channel coding operation is the input bit sequence to the rate matching operation.

For PUCCH format 5, the output bit sequence after the rate matching is denoted by  $e_0, e_1, e_2, ..., e_{E-1}$ , where  $E = Q_m \cdot N_{symb}^{PUSCH} \cdot N_{sc}^{RB} / 2$ ,  $Q_m$  is the modulation order of the PUCCH format 5 and  $N_{symb}^{PUSCH}$  is determined according to subclause 5.2.4.1. The CRC attachment, channel coding and rate matching are performed according to subclauses 5.1.1 by setting *L* to 8 bits, 5.1.3.1 and 5.1.4.2, respectively. The input bit sequence to the CRC attachment operation is  $a_0, a_1, a_2, ..., a_{A-1}$ . The output bit sequence of the CRC attachment operation is the input bit sequence to the rate matching operation.

#### 5.2.3.3.1 Channel quality information formats for wideband reports

Table 5.2.3.3.1-1 shows the fields and the corresponding bit widths for the channel quality information feedback for wideband reports for PDSCH transmissions associated with a transmission mode 1, transmission mode 2, transmission mode 3, transmission mode 7, transmission mode 8 configured without PMI/RI reporting, transmission mode 9 configured without PMI/RI reporting or configured with 1 antenna port, transmission mode 10 configured without PMI/RI reporting or configured with 1 antenna port, transmission mode 9/10 configured without PMI with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B', and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* configured without PMI.

Table 5.2.3.3.1-1A shows the fields and the corresponding bit widths for the channel quality information feedback for wideband reports for PDSCH transmissions associated with transmission mode 9/10 configured without PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4/8 antenna ports, transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* and *eMIMO-Type*.

Table 5.2.3.3.1-1: UCI fields for channel quality information feedback for wideband CQI reports<br/>(transmission mode 1, transmission mode 2, transmission mode 3, transmission mode 7,<br/>transmission mode 8 configured without PMI/RI reporting, transmission mode 9 configured without<br/>PMI/RI reporting or configured with 1 antenna port, transmission mode 10 configured without PMI/RI<br/>reporting or configured with 1 antenna port, and transmission mode 9/10 configured without PMI with<br/>higher layer parameter eMIMO-Type, and eMIMO-Type is set to 'CLASS B', and transmission mode<br/>9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type2<br/>configured without PMI)

Field	Bit width			
Wide-band CQI	4			

Table 5.2.3.3.1-1A: UCI fields for channel quality information feedback for wideband CQI reports(transmission mode 9/10 configured without PMI reporting and higher layer parameter eMIMO-Type,<br/>and eMIMO-Type is set to 'CLASS B' with 2/4/8 antenna ports, transmission mode 9/10 configured<br/>with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type2 configured without<br/>PMI reporting with 2/4/8 antenna ports, and transmission mode 9/10 configured with PMI/RI reporting<br/>and higher layer parameters semiOpenLoop and eMIMO-Type)

Field	Bit width				
Field	Rank = 1	Rank > 1			
Wide-band CQI	4	4			
Spatial differential CQI	0	3			

Table 5.2.3.3.1-2 shows the fields and the corresponding bit widths for the channel quality and precoding matrix information feedback for wideband reports for PDSCH transmissions associated with transmission mode 4, transmission mode 5, transmission mode 6, and transmission mode 8 configured with PMI/RI reporting except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*.

### Table 5.2.3.3.1-2: UCI fields for channel quality information feedback for wideband CQI reports (transmission mode 4, transmission mode 5, transmission mode 6, and transmission mode 8 configured with PMI/RI reporting except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*)

	Bit width							
Field	2 anten	na ports	4 antenna ports					
	Rank = 1	Rank = 2	Rank = 1	Rank > 1				
Wide-band CQI	4	4	4	4				
Spatial differential CQI	0	3	0	3				
Precoding matrix indicator	2	1	4	4				

Table 5.2.3.3.1-2A and Table 5.2.3.3.1-2B show the fields and the corresponding bit widths for the channel quality and precoding matrix information feedback for wideband reports for PDSCH transmissions associated with transmission mode 9 configured with PMI/RI reporting except with *alternativeCodeBookEnabledFor4TX-r12=TRUE* or *advancedCodebookEnabled=TRUE*, and transmission mode 10 configured with PMI/RI reporting except with *alternativeCodeBookEnabled=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with *alternativeCodebookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* and *eMIMO-Type2*, and *emimO-Type2* configured with PMI/RI reporting with 2/4/8 antenna ports , except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*. The number of configured CSI-RS resources in a CSI process K is defined in [3] and *alternativeCodebookEnabledCLASSB\_K1* is configured by higher layers [6].

Table 5.2.3.3.1-2A-1 and Table 5.2.3.3.1-2A-2 show the fields and the corresponding bit widths for the channel quality and precoding matrix information feedback for wideband reports for PDSCH transmissions associated with transmission mode 9 /10 configured with PMI/RI reporting with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' except with *advancedCodebookEnabled=TRUE*.

Table 5.2.3.3.1-2A-3 and Table 5.2.3.3.1-2A-4 show the fields and the corresponding bit widths for the channel quality and precoding matrix information feedback for wideband reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 4/8 antenna ports and higher layer parameter *advancedCodebookEnabled* = *TRUE*.

Table 5.2.3.3.1-2A-5 and Table 5.2.3.3.1-2A-6 show the fields and the corresponding bit widths for the channel quality and precoding matrix information feedback for wideband reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameters *advancedCodebookEnabled* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' and *advancedCodebookEnabled* = *TRUE*.

Table 5.2.3.3.1-2B-1 shows the fields and the corresponding bit widths for the channel quality and precoding matrix information feedback for wideband reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 4/8 antenna ports and higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, K>1, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*.

Table 5.2.3.3.1-2A: UCI fields for transmission of wideband CQI and precoding information (i2) (transmission mode 9 configured with PMI/RI reporting except with *alternativeCodeBookEnabledFor4TX-r12=TRUE* or *advancedCodebookEnabled=TRUE*, and transmission mode 10 configured with PMI/RI reporting except with *alternativeCodeBookEnabledFor4TX-r12=TRUE* or *advancedCodebookEnabled=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with higher *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with higher *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* configured with PMI/RI reporting with 2/4/8 antenna ports, except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*)

	Bit width									
Field	2 antenna ports		4 antenna ports		8 antenna ports					
Field	Rank =	Rank =	Rank =	Rank >	Rank =	Rank =	Rank =	Rank >		
	1	2	1	1	1	2,3	4	4		
Wide-band CQI	4	4	4	4	4	4	4	4		
Spatial differential CQI	0	3	0	3	0	3	3	3		
Wide-band PMI (2 or 4 antenna ports) or i2 (8 antenna ports)	2	1	4	4	4	4	3	0		

Table 5.2.3.3.1-2A-1: UCI fields for transmission of wideband CQI and precoding information (i2) (transmission mode 9/10 configured PMI/RI with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' and with codebook configuration  $(N_1, N_2, O_1, O_2)$ , and *CodebookConfig*=1, except with *advancedCodebookEnabled=TRUE*)

				Bit v							
Field	8/12/16/20/24/28/32 antenna ports										
Field	Rank =	Rank =	Rank =	Rank =	Rank =	Rank =	Rank =	Rank =			
	1	2	3	4	5	6	7	8			
Wide-band CQI	4	4	4	4	4	4	4	4			
Spatial differential CQI	0	3	3	3	3	3	3	3			
Wide-band i2	2	2	1	1	0	0	0	0			

Table 5.2.3.3.1-2A-2: UCI fields for transmission of wideband CQI and precoding information (i2) (transmission mode 9/10 configured PMI/RI with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' and with codebook configuration  $(N_1, N_2, O_1, O_2)$ , and *CodebookConfig=2/3/4*, except with *advancedCodebookEnabled=TRUE*)

				Bit v	vidth						
Field	8/12/16/20/24/28/32 antenna ports										
Field	Rank =	Rank =	Rank =	Rank =	Rank =	Rank =	Rank =	Rank =			
	1	2	3	4	5	6	7	8			
Wide-band CQI	4	4	4	4	4	4	4	4			
Spatial differential CQI	0	3	3	3	3	3	3	3			
Wide-band i2	4	4	4	3	0	0	0	0			

### Table 5.2.3.3.1-2A-3: UCI fields for transmission of wideband CQI and precoding information (i2) (transmission mode 9/10 configured with PMI/RI reporting with 4 antenna ports and higher layer parameter advancedCodebookEnabled=TRUE)

Field	Bit width				
Field	Rank = 1	Rank > 1			
Wideband CQI	4	4			
Spatial differential CQI	0	3			
Wide-band i2	6	4			

### Table 5.2.3.3.1-2A-4: UCI fields for transmission of wideband CQI and precoding information (i2) (transmission mode 9/10 configured with PMI/RI reporting with 8 antenna ports and higher layer parameter advancedCodebookEnabled=TRUE)

	Bit width										
Field	8 antenna ports										
Field	Rank =	Rank =	Rank =	Rank	Rank =	Rank =	Rank =	Rank =			
	1	2	3	=4	5	6	7	8			
Wide-band CQI	4	4	4	4	4	4	4	4			
Spatial differential CQI	0	3	3	3	3	3	3	3			
Wide-band i2	6	4	4	3	0	0	0	0			

Table 5.2.3.3.1-2A-5: UCI fields for transmission of wideband CQI and precoding information (i2)(transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and<br/>higher layer parameters advancedCodebookEnabled and eMIMO-Type, and<br/>advancedCodebookEnabled=TRUE and eMIMO-Type is set to 'CLASS A' with codebook configuration<br/> $(N_1, N_2, Q, Q_2)$  and CodebookConfig=1)

				Bit w	vidth						
Field	8/12/16/20/24/28/32 antenna ports										
Field	Rank =	Rank =	Rank =	Rank =	Rank =	Rank =	Rank =	Rank =			
	1	2	3	4	5	6	7	8			
Wide-band CQI	4	4	4	4	4	4	4	4			
Spatial differential CQI	0	3	3	3	3	3	3	3			
Wide-band i2	6	4	1	1	0	0	0	0			

Table 5.2.3.3.1-2A-6: UCI fields for transmission of wideband CQI and precoding information (i2)(transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and<br/>higher layer parameters advancedCodebookEnabled and eMIMO-Type, and<br/>advancedCodebookEnabled=TRUE and eMIMO-Type is set to 'CLASS A' with codebook configuration<br/> $(N_1, N_2, Q, Q_2)$  and CodebookConfig=2/3/4).

				Bit v	vidth						
Field		8/12/16/20/24/28/32 antenna ports									
Field	Rank =	Rank =	Rank =	Rank =	Rank =	Rank =	Rank =	Rank =			
	1	2	3	4	5	6	7	8			
Wide-band CQI	4	4	4	4	4	4	4	4			
Spatial differential CQI	0	3	3	3	3	3	3	3			
Wide-band i2	6	4	4	3	0	0	0	0			

Table 5.2.3.3.1-2B: UCI fields for transmission of wideband CQI and precoding information (i1, i2) for transmission mode 9 configured with PMI/RI reporting with 8 antenna ports except with advancedCodebookEnabled=TRUE, transmission mode 10 configured with PMI/RI reporting with 8 antenna ports except with advancedCodebookEnabled=TRUE, transmission mode 9/10 configured with PMI/RI reporting with 8 antenna ports and higher layer parameter eMIMO-Type, and eMIMO-Type is set to 'CLASS B' with K=1 and except with alternativeCodebookEnabledCLASSB\_K1=TRUE, K>1, and transmission mode 9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type2 configured with PMI/RI reporting with 8 antenna ports, except with alternativeCodebookEnabledCLASSB\_K1=TRUE.

				Bit v	width						
Field		8 antenna ports									
Field	Rank =	Rank =	Rank =	Rank	Rank =	Rank =	Rank =	Rank =			
	1	2	3	=4	5	6	7	8			
Wide-band CQI	4	4	4	4	4	4	4	4			
Spatial differential CQI	0	3	3	3	3	3	3	3			
i1	3	3	1	1	2	2	2	0			
Wide-band i2	1	1	3	3	0	0	0	0			

Table 5.2.3.3.1-2B-1: UCI fields for transmission of wideband CQI and precoding information (i1) for transmission mode 9/10 configured with PMI/RI reporting with 4/8 antenna ports and higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with alternativeCodebookEnabledCLASSB\_K1=TRUE, K>1, with alternativeCodeBookEnabledFor4TX-r12=TRUE.

	Bit width					
Field	4 anten	na ports	8 antenna ports			
	Rank = 1	Rank = 2	Rank = 1	Rank = 2		
Wide-band CQI	4	4	4	4		
Spatial differential CQI	0	3	0	3		
i1	2	2	3	3		

Table 5.2.3.3.1-2C and Table 5.2.3.3.1-2D show the fields and the corresponding bit widths for the channel quality and precoding matrix information feedback for wideband reports for PDSCH transmissions for 4 antenna ports associated with transmission modes 8, 9 and 10 configured with PMI/RI reporting and *alternativeCodeBookEnabledFor4TX-r12=TRUE* except with *advancedCodebookEnabled=TRUE*, transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 4 antenna ports with K=1 and except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, K>1, and *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type2*, and *eMIMO-Type2* configured with PMI/RI reporting with 4 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and *eMIMO-Type2*, and *eMIMO-Type2* configured with PMI/RI reporting with 4 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and *alternativeCodeBookEnabledFor4TX-r12=TRUE*.

Table 5.2.3.3.1-2E shows the fields and the corresponding bit widths for the channel quality and precoding matrix information feedback for wideband reports for PDSCH transmissions associated with transmission mode 9/10

configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* configured with PMI/RI reporting with 2/4/8 antenna ports with *alternativeCodebookEnabledCLASSB\_K1=TRUE*.

Table 5.2.3.3.1-2C: UCI fields for transmission of wideband CQI and precoding information (i2) with 4 antenna ports (transmission modes 8, 9 and 10 configured with PMI/RI reporting, 4 antenna ports and *alternativeCodeBookEnabledFor4TX-r12=TRUE* except with *advancedCodebookEnabled=TRUE*, and transmission mode 9/10 configured with 4 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with

alternativeCodebookEnabledCLASSB\_K1=TRUE, K>1, and alternativeCodeBookEnabledFor4TXr12=TRUE, and transmission mode 9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type2 configured with PMI/RI reporting with 4 antenna ports except with alternativeCodebookEnabledCLASSB\_K1=TRUE, and alternativeCodeBookEnabledFor4TXr12=TRUE)

	Bit width					
Field	4 antenna ports					
	Rank = 1	Rank = 2	Rank = 3	Rank = 4		
Wide-band CQI	4	4	4	4		
Spatial differential CQI	0	3	3	3		
Wideband i2	4	4	4	4		

Table 5.2.3.3.1-2D: UCI fields for transmission of wideband CQI and precoding information (i1, i2) with 4 antenna ports (transmission modes 8, 9 and 10 configured with PMI/RI reporting, 4 antenna ports and *alternativeCodeBookEnabledFor4TX-r12=TRUE* except with

advancedCodebookEnabled=TRUE, and transmission mode 9/10 configured with 4 antenna ports and higher layer parameter eMIMO-Type, and eMIMO-Type is set to 'CLASS B' with K=1 and except with alternativeCodebookEnabledCLASSB\_K1=TRUE, K>1, and alternativeCodeBookEnabledFor4TXr12=TRUE, and transmission mode 9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type2 configured with PMI/RI reporting with 4 antenna ports except with alternativeCodebookEnabledCLASSB\_K1=TRUE, and alternativeCodeBookEnabledFor4TXr12=TRUE,

	Bit width						
Field	4 antenna ports						
	Rank = 1	Rank = 2	Rank = 3	Rank = 4			
Wide-band CQI	4	4	4	4			
Spatial differential CQI	0	3	3	3			
i1	2	2	0	0			
Wideband i2	2	2	4	4			

Table 5.2.3.3.1-2E: UCI fields for transmission of wideband CQI and precoding information (transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter eMIMO-Type, and eMIMO-Type is set to 'CLASS B' with K=1 and alternativeCodebookEnabledCLASSB\_K1=TRUE, and transmission mode 9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type2 configured with PMI/RI reporting with 2/4/8 antenna ports with alternativeCodebookEnabledCLASSB\_K1=TRUE)

	Bit width						
Field	2 anten	na ports		4 antenna ports			
	Rank = 1	Rank = 2	Rank = 1	Rank = 2	Rank = 3	Rank = 4	
Wide-band CQI	4	4	4	4	4	4	
Spatial differential CQI	0	3	0	3	3	3	
Wide-band PMI	2	1	3	3	2	1	
			Bit v	vidth			
Field			8 anten	na ports			
	Rank = 1	Rank = 2	Rank = 3	Rank = 4	Rank = 5 t	o Rank = 8	
Wide-band CQI	4	4	4	4	4	1	
Spatial differential CQI	0	3	3	3	3		
Wide-band PMI	4	4	4	3	(	)	

Table 5.2.3.3.1-3 shows the fields and the corresponding bit widths for the rank indication feedback for wideband reports for PDSCH transmissions associated with transmission mode 3, transmission mode 4, transmission mode 8 configured with PMI/RI reporting, transmission mode 9 configured with PMI/RI reporting with 2/4/8 antenna ports, transmission mode 10 configured with PMI/RI reporting with 2/4/8 antenna ports, transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports, transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B', transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' except with

*advancedCodebookEnabled=TRUE*, and transmission mode 9/10 configured without PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4/8 antenna ports, transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* configured with PMI/RI reporting with 2/4/8 antenna ports, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type2* is set to 'CLASS B' without PMI reporting with 2/4/8 antenna ports.

Table 5.2.3.3.1-3: UCI fields for rank indication feedback for wideband reports (transmission mode 3, transmission mode 4, transmission mode 8 configured with PMI/RI reporting, transmission mode 9 configured with PMI/RI reporting with 2/4/8 antenna ports, transmission mode 9/10 configured with PMI/RI or without PMI/RI reporting with 2/4/8 antenna ports, transmission mode 9/10 configured with PMI/RI or without PMI reporting with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B', transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' except with *advancedCodebookEnabled=TRUE*, and transmission mode 9/10 configured with uthout PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4/8 antenna ports, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4/8 antenna ports, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type*.

	Bit width						
Field	2 antenna	4 antenna ports		8/12/16/20/24/28/32 antenna ports			
	ports	Max 1 or 2 layers	Max 4 layers	Max 1 or 2 layers	Max 4 layers	Max 8 layers	
Rank indication	1	1	2	1	2	3	

Table 5.2.3.3.1-3A shows the fields and the corresponding bit widths for the joint transmission of rank indication and i1 for wideband reports for PDSCH transmissions associated with transmission mode 9 and transmission mode 10, transmission mode 9/10 configured with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and K>1, except with *alternativeCodeBookEnabledFor4TX-r12 =TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* configured with 2/4/8 antenna ports except with *alternativeCodebookEnabledFor4TX-r12 =TRUE*, and *transmission mode 9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2*, and *eMIMO-Type2* configured with 2/4/8 antenna ports except with *alternativeCodebookEnabledFor4TX-r12 =TRUE*.

Table 5.2.3.3.1-3A: UCI fields for joint report of RI and i1 (transmission mode 9 configured with PMI/RI reporting with 2/4/8 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12 =TRUE* and transmission mode 10 configured with PMI/RI reporting with 2/4/8 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12 =TRUE*, transmission mode 9/10 configured with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with *alternativeCodebookEnabledFor4TX-r12 =TRUE*, and transmission mode 9/10 configured with higher *alternativeCodeBookEnabledFor4TX-r12 =TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* 2 configured with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* 2 configured with 2/4/8 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE* or *alternativeCodeBookEnabledFor4TX-r12=TRUE*.

	Bit width							
Field 2	2 antenna	4 antenna ports		8 antenna ports				
	ports	Max 1 or 2 layers	Max 4 layers	Max 1 or 2 layers	Max 4 layers	Max 8 layers		
Rank indication	1	1	2	4	5	5		
i1	-	-	-					

Table 5.2.3.3.1-3B shows the fields and the corresponding bit widths for the joint transmission of rank indication and i1 for wideband reports for PDSCH transmissions associated with transmission modes 8, 9 and 10 configured with PMI/RI reporting with 4 antenna ports and *alternativeCodeBookEnabledFor4TX-r12 =TRUE*, transmission mode 9/10 configured with 4 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and K>1, with *alternativeCodeBookEnabledFor4TX-r12 =TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type* and *eMIMO-Type*, and *type*, a

 $alternativeCodebookEnabledCLASSB_K1=TRUE$ , with alternativeCodeBookEnabledFor4TX-r12=TRUE.

Table 5.2.3.3.1-3B-1 shows the fields and the corresponding bit widths for the joint transmission of rank indication and i1 for wideband reports for PDSCH transmissions associated with transmission modes 9 and 10 configured with PMI/RI reporting with 4/8 antenna ports and higher layer parameters *semiOpenLoop* and eMIMO-Type, and eMIMO-Type is set to 'CLASS B' with K=1 and except with *alternativeCodebookEnabledCLASSB\_K1*=TRUE, and K>1, with *alternativeCodeBookEnabledFor4TX-r12* =TRUE.

Table 5.2.3.3.1-3B: UCI fields for joint report of RI and i1 with 4 antenna ports (transmission modes 8, 9 and 10 configured with PMI/RI reporting, 4 antenna ports and *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with 4 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with

alternativeCodebookEnabledCLASSB\_K1=TRUE, and K>1, with alternativeCodeBookEnabledFor4TXr12 =TRUE, and transmission mode 9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type2 configured with 4 antenna ports except with

alternativeCodebookEnabledCLASSB\_K1=TRUE, with alternativeCodeBookEnabledFor4TXr12=TRUE)

	Bit width			
Field	4 antenna ports			
	Max 1 or 2 layers	Max 4 layers		
Rank indication and i1	4	5		

#### Table 5.2.3.3.1-3B-1: UCI fields for joint report of RI and i1 with 4/8 antenna ports (transmission modes 9 and 10 configured with PMI/RI reporting and higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and K>1, with *alternativeCodeBookEnabledFor4TXr12 =TRUE*)

Field	Bit width
	4/8 antenna ports
Rank indication and i1	4

Table 5.2.3.3.1-3C shows the fields and the corresponding bit widths for the joint report of CRI and rank indication feedback for wideband reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, and transmission mode 9/10 configured without PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type*, and *eMIMO-Type*, and *eMIMO-Type*, and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 except with *activatedResources*>0.

#### Table 5.2.3.3.1-3C: UCI fields for joint report of CRI and rank indication feedback for wideband reports (transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, and transmission mode 9/10 configured without PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 except with *activatedResources*>0)

	Bit width							
Field	2 antenna	4 antenna ports		8 antenna ports				
Fleid	ports	Max 1 or 2 layers	Max 4 layers	Max 1 or 2 layers	Max 4 layers	Max 8 layers		
CRI	$\lceil \log_2(K) \rceil$							
Rank indication	1	1	2	1	2	3		

Table 5.2.3.3.1-3D shows the fields and the corresponding bit widths for the joint report of CRI, rank indication and i1 feedback for wideband reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 4/8 ports, and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 except with *activatedResources*>0, with alternativeCodeBookEnabledFor4TX-r12=TRUE.

Table 5.2.3.3.1-3D: UCI fields for joint report of CRI, rank indication and i1 feedback for wideband reports (transmission mode 9/10 configured with PMI/RI reporting with 8 ports, and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, transmission mode 9/10 configured with PMI/RI reporting with 4 ports Class B CSI reporting with K>1 *with alternativeCodeBookEnabledFor4TX-r12=TRUE*, except with *activatedResources*>0)

	Bit width						
Field	4 antenna	a ports	8 antenna ports				
	Max 1 or 2 layers	Max 4 layers	Max 1 or 2 layers	Max 4 layers	Max 8 layers		
CRI	$\lceil \log_2(K) \rceil$	$\left\lceil \log_2(K) \right\rceil$	$\left\lceil \log_2(K) \right\rceil$	$\lceil \log_2(K) \rceil$	$\left\lceil \log_2(K) \right\rceil$		
Rank indication and i1	4	5	4	5	5		

Table 5.2.3.3.1-3E shows the fields and the corresponding bit widths for the CRI feedback for wideband reports for PDSCH transmissions associated with transmission mode 9/10 configured with 1 antenna port for each CSI-RS resource, Class B CSI reporting with K>1 except with *activatedResources*>0, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type* is set to 'CLASS B' with K>1, where CRI is associated with *eMIMO-Type*.

Table 5.2.3.3.1-3E: Fields for CRI feedback for wideband CQI reports (transmission mode 9/10 configured with 1 antenna port for each CSI-RS resource for Class B CSI reporting with K>1 except with *activatedResources*>0, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type* is set to 'CLASS B' with K>1, where CRI is associated with *eMIMO-Type*)

Field	Bit width				
Field	K = 2	K = 3 and K = 4	K = 5 to K = 8		
CRI	1	2	3		

Table 5.2.3.3.1-3F shows the fields and the corresponding bit widths for the joint report of CRI and rank indication feedback for wideband reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 and with *activatedResources*>1, and transmission mode 9/10 configured without PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type*, and *eMIMO-Type*, and higher layer parameter *eMIMO-Type*, and *eMIMO-Type*, and *eMIMO-Type*, and higher layer parameter *eMIMO-Type*, and *eMIMO-Type*, and *eMIMO-Type*, and *eMIMO-Type*, and *eMIMO-Type*, and *eMIMO-Type*, and *eMIMO-Type*. The transmission mode 9/10 configured with the transmission as the transmission with activatedResources>1. N is the value of higher layer parameter *activatedResources*.

Table 5.2.3.3.1-3F: UCI fields for joint report of CRI and rank indication feedback for wideband reports (transmission mode 9/10 configured with PMI/RI or without PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 and with *activatedResources*>1)

		Bit width					
Field	2 antenna	4 antenna ports		8 antenna ports			
ports		Max 1 or 2 layers	Max 4 layers	Max 1 or 2 layers	Max 4 layers	Max 8 layers	
CRI	$\left\lceil \log_2(N) \right\rceil$						
Rank indication	1	1	2	1	2	3	

Table 5.2.3.3.1-3G shows the fields and the corresponding bit widths for the joint report of CRI, rank indication and i1 feedback for wideband reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 4/8 ports, and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 and with *activatedResources>*1, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*. *N* is the value of higher layer parameter *activatedResources*.

Table 5.2.3.3.1-3G: UCI fields for joint report of CRI, rank indication and i1 feedback for wideband reports (transmission mode 9/10 configured with PMI/RI reporting with 8 ports, and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 and with *activatedResources*>1, and transmission mode 9/10 configured with PMI/RI reporting with 4 ports Class B CSI reporting with K>1 and with *activatedResources*>1 with *alternativeCodeBookEnabledFor4TX-r12=TRUE*)

	Bit width						
Field	4 antenna	n ports	8 antenna ports				
Field	Max 1 or 2 layers	Max 4 layers	Max 1 or 2 layers	Max 4 layers	Max 8 layers		
CRI	$\left\lceil \log_2(N) \right\rceil$						
Rank indication and i1	4	5	4	5	5		

Table 5.2.3.3.1-3H shows the fields and the corresponding bit widths for the CRI feedback for wideband reports for PDSCH transmissions associated with transmission mode 9/10 configured with 1 antenna port for each CSI-RS resource, Class B CSI reporting with K>1 and with *activatedResources*>1. *N* is the value of higher layer parameter *activatedResources*.

Table 5.2.3.3.1-3H: Fields for CRI feedback for wideband CQI reports (transmission mode 9/10 configured with 1 antenna port for each CSI-RS resource for Class B CSI reporting with K>1 and with *activatedResources*>1)

Field	Bit width		
	N = 2	N = 3 and N = 4	
CRI	1	2	

Parameters i1,1-1, i1,2-1, i1,1-2, i1,2-2, i1,p-2 correspond to parameters  $i_{1,1}$ ,  $i_{1,2}$ ,  $d_1$ ,  $d_2$ , and  $I_p$  in subclause 7.2.4 of [3].

Table 5.2.3.3.1-3I and Table 5.2.3.3.1-3J show the fields and the corresponding bit widths for the joint report of rank indication and i1,p-2 feedback for wideband reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 4/8 antenna ports and higher layer parameter

*advancedCodebookEnabled=TRUE*, and transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameters *advancedCodebookEnabled* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' and *advancedCodebookEnabled=TRUE*.

### Table 5.2.3.3.1-3I: Fields for joint report of rank indication and i1,p-2 feedback for wideband reports (transmission mode 9/10 configured with PMI/RI reporting with 4 antenna ports and higher layer parameter advancedCodebookEnabled= TRUE)

Field	Bit width			
Field	Max 1 or 2 layers	Max 4 layers		
Rank indication	1	2		
i1,p-2	2	2		

Table 5.2.3.3.1-3J: Fields for joint report of rank indication and i1,p-2 feedback for wideband reports (transmission mode 9/10 configured with PMI/RI reporting with 8 antenna ports and higher layer parameter advancedCodebookEnabled= TRUE, and transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports, and higher layer parameters advancedCodebookEnabled and eMIMO-Type, and eMIMO-Type is set to 'CLASS A' and advancedCodebookEnabled=TRUE)

Field	Bit width				
Field	Max 1 or 2 layers   Max 4 layers   Max 8 laye				
Rank indication	1	2	3		
i1,p-2	2	2	2		

Table 5.2.3.3.1-4 shows the fields and the corresponding bit widths for the precoding matrix information feedback for wideband reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A'.

For Table 5.2.3.3.1-4, 5.2.3.3.1-4B, 5.2.3.3.1-4C, 5.2.3.3.1-4D, the parameters  $(S_1, S_2)$  in rank 1 and rank 2 are defined as  $(S_1, S_2) = (1, 1)$  for *CodebookConfig*=1 and  $(S_1, S_2) = (2, 2)$  for *CodebookConfig*=2,3 and 4. The

parameters 
$$(S_1, S_2)$$
 in rank 3 and 4 are defined as  $(S_1, S_2) = (1, 1)$  for *CodebookConfig*=1,  $(S_1, S_2) = \left(\frac{O_1}{2}, \frac{O_2}{2}\right)$ 

for CodebookConfig=2,  $(S_1, S_2) = (O_1, \frac{O_2}{2})$  for CodebookConfig=3,  $(S_1, S_2) = (O_1, \frac{O_2}{4})$  for

CodebookConfig=4. The parameters  $(S_1, S_2)$  in rank 5 to 8 are defined as  $(S_1, S_2) = (1, 1)$  for CodebookConfig=1,

$$(S_1, S_2) = \left(\frac{O_1}{4}, \frac{O_2}{4}\right)$$
 for *CodebookConfig*=2/3/4. The parameters  $(L_1, L_2)$  are defined as  $L_1 = \min\{4, N_1\}$  and

$$\begin{split} L_2 &= 2 \ \text{for} \ N_1 \geq N_2 \ \text{and} \ N_2 \neq 1 \,, \ L_1 = 2 \ \text{and} \ L_2 = \min\{4, N_2\} \ \text{for} \ N_1 < N_2 \ \text{and} \ N_1 \neq 1 \,, \ L_1 = \min\{8, N_1\} \\ \text{and} \ L_2 &= 1 \ \text{for} \ N_2 = 1 \,. \end{split}$$

## Table 5.2.3.3.1-4: UCI fields for channel quality information feedback for precoding information (i1)(transmission mode 9/10 configured with higher layer parameter eMIMO-Type, and eMIMO-Type is set<br/>to 'CLASS A' with codebook configuration $(N_1, N_2, Q_1, Q_2)$ , except with

advancedCodebookEnabled=TRUE
------------------------------

Field	Bit width			
Field	Rank = 1	Rank = 2	Rank =3	Rank =4
Wideband first PMI i1,1	$\left\lceil \log_2 (N_1 O_1 / S_1) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left[\log_2\left(\frac{N_1O_1}{S_1}\times\left(2+\left\lfloor\frac{9-N_2}{8}\right\rfloor\right)\right)\right]$	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$
Wideband first PMI i1,2	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2  /  S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 (N_2 O_2 / S_2) \right\rceil$
Field			Bit width	
i leiu	Rank = 5	Rank = 6	Rank =7	Rank =8
Wideband first PMI i1,1	$\left\lceil \log_2 (N_1 O_1 / S_1) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2(N_1O_1 / S_1) \right\rceil$
Wideband first PMI	$\left\lceil \log_2(N_2O_2/S_2) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2(N_2O_2/S_2) \right\rceil$	$\left\lceil \log_2(N_2O_2/S_2) \right\rceil$

Table 5.2.3.3.1-4A, Table 5.2.3.3.1-4B and Table 5.2.3.3.1-4C show the fields and the corresponding bit widths for the precoding matrix information feedback for wideband reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 4/8 antenna ports and higher layer parameter *advancedCodebookEnabled=TRUE*, and transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameters *advancedCodebookEnabled* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' and *advancedCodebookEnabled=TRUE*.

### Table 5.2.3.3.1-4A: UCI fields for channel quality information feedback for precoding information (i1) (transmission mode 9/10 configured with PMI/RI reporting with 4 antenna ports and higher layer parameter advancedCodebookEnabled=TRUE)

Field	Bit width						
Field	Rank = 1	Rank = 2	Rank = 3	Rank = 4			
Wideband first PMI i1,1-1	3	3	0	0			
Wideband first PMI i1,2-1	0	0	0	0			
Wideband first PMI i1,1-2	0	0	0	0			
Wideband first PMI i1,2-2	0	0	0	0			

Table 5.2.3.3.1-4B: UCI fields for channel quality information feedback for precoding information (i1)
(transmission mode 9/10 configured with PMI/RI reporting with 8 antenna ports and higher layer
parameter advancedCodebookEnabled=TRUE)

	Bit width							
Field	Rank = 1	Rank = 2	Rank = 3	Rank =4	Rank = 5	Rank = 6	Rank = 7	Rank = 8
Wideband first PMI i1,1-1	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2(N_1O_1) \right\rceil$	2	2	2	2	2	0
Wideband first PMI i1,2-1	0	0	0	0	0	0	0	0
Wideband first PMI i1,1-2	$\lceil \log_2(L_1) \rceil$	$\lceil \log_2(L_1) \rceil$	0	0	0	0	0	0
Wideband first PMI i1,2-2	0	0	0	0	0	0	0	0

#### Table 5.2.3.3.1-4C: UCI fields for channel quality information feedback for precoding information (i1) (transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameters advancedCodebookEnabled and eMIMO-Type, and

advancedCodebookEnabled=TRUE and eMIMO-Type is set to 'CLASS A' with codebook configuration

Field	Bit width				
Field	Rank = 1	Rank = 2	Rank =3	Rank =4	
Wideband first PMI i1,1-1	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left\lceil \log_2(N_1O_1) \right\rceil$	$\left[\log_2\left(\frac{N_1O_1}{S_1} \times \left(2 + \left\lfloor\frac{9 - N_2}{8}\right\rfloor\right)\right)\right]$	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$	
Wideband first PMI i1,2-1	$\left\lceil \log_2(N_2O_2) \right\rceil$	$\lceil \log_2(N_2O_2) \rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	
Wideband first PMI i1,1-2	$\left\lceil \log_2(L_1) \right\rceil$	$\lceil \log_2(L_1) \rceil$	0	0	
Wideband first PMI i1,2-2	$\lceil \log_2(L_2) \rceil$	$\lceil \log_2(L_2) \rceil$	0	0	
Field	Bit width		Bit width		
Tield	Rank = 5	Rank = 6	Rank =7	Rank =8	
Wideband first PMI i1,1-1	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2 (N_1 O_1 / S_1) \right\rceil$	$\left\lceil \log_2 (N_1 O_1 / S_1) \right\rceil$	$\left\lceil \log_2 (N_1 O_1 / S_1) \right\rceil$	
Wideband first PMI i1,2-1	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 (N_2 O_2 / S_2) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	
Wideband first PMI i1,1-2	0	0	0	0	
Wideband first PMI i1,2-2	0	0	0	0	

Table 5.2.3.3.1-4D shows the fields and the corresponding bit widths for the joint transmission of i1 and rank indication for wideband reports for PDSCH transmissions associated with transmission mode 9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type is set to 'CLASS A' with 8/12/16/20/24/28/32 antenna ports and eMIMO-Type2 is set to 'CLASS B', where i1 and rank indication are associated with Class A.

 $(N_1, N_2, O_1, O_2)$ 

Table 5.2.3.3.1-4D: UCI fields for channel quality information feedback for report of i1 and rank indication feedback (transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*], and *eMIMO-Type* is set to 'CLASS A' with codebook configuration  $(N_1, N_2, Q_1, Q_2)$ , where i1and rank indication are associated with Class A)

		Bit width	
Field	Max 1 or 2 layers	Max 4 or 8 lay	ers
	Rank = 1	Rank = 1	Rank =3
Wideband first PMI i1,1	$\left\lceil \log_2 (N_1 O_1 / S_1) \right\rceil$	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$
Wideband first PMI i1,2	$\left\lceil \log_2 \left( N_2 O_2  /  S_2 \right) \right\rceil$	$\left\lceil \log_2 (N_2 O_2 / S_2) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$
RI	0	1	

The channel quality bits in Table 5.2.3.3.1-1 through Table 5.2.3.3.1-4C form the bit sequence  $a_0, a_1, a_2, a_3, ..., a_{A-1}$  with  $a_0$  corresponding to the first bit of the first field in each of the tables,  $a_1$  corresponding to the second bit of the first field in each of the tables, and  $a_{A-1}$  corresponding to the last bit in the last field in each of the tables. The first bit of each field corresponds to MSB and the last bit LSB. The RI feedback for one bit is mapped according to Table 5.2.2.6-5 with  $o_0^{RI}$  replaced by  $a_0$ . The RI feedback for two bits is mapped according to Table 5.2.2.6-6 with  $o_0^{RI}$ ,  $o_1^{RI}$ ,  $o_2^{RI}$  replaced by  $a_0, a_1$ . The RI feedback for three bits is mapped according to Table 5.2.2.6-7 with  $o_0^{RI}$ ,  $o_1^{RI}$ ,  $o_2^{RI}$  replaced by  $a_0, a_1, a_2$ . The same procedures for RI mapping are applied to CRI, replacing RI with CRI. The mapping for the jointly coded RI and i1 is provided in Table 7.2.2-1E of [3].

When multiplexed with UL-SCH, the channel coding and multiplexing for the transmission configurations in Table 5.2.3.3.1-3, Table 5.2.3.3.1-3A, Table 5.2.3.3.1-3B, Table 5.2.3.3.1-3B-1, Table 5.2.3.3.1-3C, Table 5.2.3.3.1-3D, Table 5.2.3.3.1-3F, Tables 5.2.3.3.1-3G, 5.2.3.3.1-3I, 5.2.3.3.1-3J and Table 5.2.3.3.1-4D is performed assuming RI transmission in subclause 5.2.2.6. All other transmission configurations in this subclause are coded and multiplexed assuming CQI/PMI transmission in subclause 5.2.2.6.

For transmission mode 9/10 configured with Class B CSI reporting and K>1, the number of antenna port in Table 5.2.3.3.1-3C, 5.2.3.3.1-3D refers to the maximum number of antenna ports of K CSI-RS resources configured for the CSI-process for the UE.

For transmission mode 9/10 configured with Class B CSI reporting and K>1 and with *numberActivatedCSI-RS-Resource*>1, the number of antenna port in Table 5.2.3.3.1-3F, 5.2.3.3.1-3G refers to the maximum number of antenna ports of N CSI-RS resources activated for the CSI-process for the UE.

#### 5.2.3.3.2 Channel quality information formats for UE-selected sub-band reports

Table 5.2.3.3.2-1 shows the fields and the corresponding bit widths for the sub-band channel quality information feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 1, transmission mode 2, transmission mode 3, transmission mode 7, transmission mode 8 configured without PMI/RI reporting, transmission mode 9 configured without PMI/RI reporting or configured with 1 antenna port, transmission mode 10 configured without PMI/RI reporting or configured with 1 antenna port, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*2, and *eMIMO-Type*2 is set to 'CLASS B' configured without PMI/RI reporting or configured with 1 antenna port.

Table 5.2.3.3.2-1A shows the fields and the corresponding bit widths for the sub-band channel quality information feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 9/10 configured without PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4/8 antenna ports, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type* and *eMIMO-Type*. Type2, and *eMIMO-Type2* is set to 'CLASS B' configured without PMI reporting with 2/4/8 antenna ports.

Table 5.2.3.3.2-1: UCI fields for channel quality information feedback for UE-selected sub-band CQI reports (transmission mode 1, transmission mode 2, transmission mode 3, transmission mode 7, transmission mode 8 configured without PMI/RI reporting, transmission mode 9 configured without PMI/RI reporting or configured with 1 antenna port, transmission mode 10 configured without PMI/RI reporting or configured with 1 antenna port, transmission mode 9/10 configured without PMI/RI with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B', and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B', and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B', and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B', and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' configured without PMI/RI reporting or configured with 1 antenna port, the parameter *eMIMO-Type* and *eMIMO-Type*.

Field	Bit width
Sub-band CQI	4
Sub-band label	1 or 2

Table 5.2.3.3.2-1A: UCI fields for channel quality information feedback for UE-selected subband CQI reports (transmission mode 9/10 configured without PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4/8 antenna ports, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* is set to 'CLASS B' configured without PMI reporting with 2/4/8 antenna ports)

Field	Bit width		
	Rank = 1	Rank > 1	
Wide-band CQI	4	4	
Spatial differential CQI	0	3	
Sub-band label	1 or 2	1 or 2	

Table 5.2.3.3.2-2 shows the fields and the corresponding bit widths for the sub-band channel quality information feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 4, transmission mode 5, transmission mode 6, and transmission mode 8 configured with PMI/RI reporting except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*.

### Table 5.2.3.3.2-2: UCI fields for channel quality information feedback for UE-selected sub-band reports (transmission mode 4, transmission mode 5, transmission mode 6 and transmission mode 8 configured with PMI/RI reporting except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*)

	Bit width				
Field	2 antenna ports		4 antenna ports		
	Rank = 1	Rank = 2	Rank = 1	Rank > 1	
Sub-band CQI	4	4	4	4	
Spatial differential CQI	0	3	0	3	
Sub-band label	1 or 2	1 or 2	1 or 2	1 or 2	

Table 5.2.3.3.2-2A and Table 5.2.3.3.2-2B show the fields and the corresponding bit widths for the sub-band channel quality information feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 9 configured with PMI/RI reporting with 2/4/8 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 10 configured with PMI/RI reporting with 2/4/8 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with *alternativeCodeBookEnabledCLASSB\_K1=TRUE*, or K>1, except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* and *eMIMO-Type*.

*alternativeCodebookEnabledCLASSB\_K1=TRUE* or *alternativeCodeBookEnabledFor4TX-r12=TRUE*. The number of configured CSI-RS resources in a CSI process K is defined in [3] and *alternativeCodebookEnabledCLASSB\_K1* is configured by higher layers [6].

Table 5.2.3.3.2-2A-1 shows UCI fields for channel quality information feedback for UE-selected sub-band reports (transmission mode 9/10 configured with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and *alternativeCodebookEnabledCLASSB\_K1=TRUE*, transmission mode 9/10 configured without PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4/8 antenna ports, transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* and *eMIMO-Type*.

Table 5.2.3.3.2-2C shows the fields and the corresponding bit widths for the sub-band channel quality information feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission modes 8, 9 and 10 configured with PMI/RI reporting and *alternativeCodeBookEnabledFor4TX-r12 =TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 4 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with *alternativeCodeBookEnabledCLASSB\_K1=TRUE*, and with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* configured with PMI/RI reporting with 4 antenna ports except with *alternativeCodeBookEnabledCLASSB\_K1=TRUE*, and with *alternativeCodeBookEnabledCLASSB\_K1=TRUE*, and with *alternativeCodeBookEnabledFor4TX-r12=TRUE*.

Table 5.2.3.3.2-2A: UCI fields for channel quality information feedback for UE-selected sub-band reports (transmission mode 9 configured with PMI/RI reporting with 2/4 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, transmission mode 10 configured with PMI/RI reporting with 2/4 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with 2/4 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with *alternativeCodebookEnabledCLASSB K1=TRUE*, K>1 except with

alternativeCodeBookEnabledFor4TX-r12=TRUE, and transmission mode 9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type2 configured with PMI/RI reporting with 2/4 antenna ports except with alternativeCodebookEnabledCLASSB\_K1=TRUE or alternativeCodeBookEnabledFor4TX-r12=TRUE)

	Bit width				
Field	2 anten	na ports	4 anteni	na ports	
	Rank = 1 Rank = 2		Rank = 1	Rank > 1	
Wide-band CQI	0	0	0	0	
Sub-band CQI	4	4	4	4	
Spatial differential CQI	0	3	0	<u>3</u>	
Wide-band i2	0	0	0	0	
Sub-band i2	0	0	0	0	
Sub-band label	1 or 2	1 or 2	1 or 2	1 or 2	

Table 5.2.3.3.2-2A-1: UCI fields for channel quality information feedback for UE-selected sub-band reports (transmission mode 9/10 configured with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and

alternativeCodebookEnabledCLASSB\_K1=TRUE, transmission mode 9/10 configured without PMI reporting and higher layer parameter eMIMO-Type, and eMIMO-Type is set to 'CLASS B' with 2/4/8 antenna ports, and transmission mode 9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type2 is set to 'CLASS B' configured without PMI reporting with 2/4/8 antenna ports)

	Bit width			
Field	2/4/8 antenna port			
	Rank = 1	Rank > 1		
Wide-band CQI	0	0		
Sub-band CQI	4	4		
Spatial differential CQI	0	3		
Wide-band i2	0	0		
Sub-band i2	0	0		
Sub-band label	1 or 2	1 or 2		

Table 5.2.3.3.2-2B: UCI fields for channel quality feedback for UE-selected sub-band reports (transmission mode 9 configured with PMI/RI reporting with 8 antenna ports, transmission mode 10 configured with PMI/RI reporting with 8 antenna ports, and transmission mode 9/10 configured with 8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and K>1, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* configured with PMI/RI reporting with 8 antenna ports except with

		Bit width								
Field					8 anten	na ports				
Field	Ran	k = 1	Rank	= 2, 3	Ran	k = 4	Rank =	5, 6, 7	Ran	k = 8
	PTI=0	PTI=1	PTI=0	PTI=1	PTI=0	PTI=1	PTI=0	PTI=1	PTI=0	PTI=1
Wide-band CQI	4	0	4	0	4	0	4	0	4	0
Sub-band CQI	0	4	0	4	0	4	0	4	0	4
Spatial differential CQI	0	0	3	3	3	3	3	3	3	3
Wide-band i2	4	0	4	0	3	0	0	0	0	0
Sub-band i2	0	4	0	2	0	2	0	0	0	0
Sub-band label	0	1 or 2	0	1 or 2	0	1 or 2	0	1 or 2	0	1 or 2

alternativeCodebookEnabledCLASSB\_K1=TRUE)

Table 5.2.3.3.2-2C: UCI fields for channel quality feedback for UE-selected sub-band reports with 4 antenna ports (transmission modes 8, 9 and 10 configured with PMI/RI reporting, 4 antenna ports and *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with 4 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and K>1, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* configured with PMI/RI reporting with 4 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and *eMIMO-Type2* configured with PMI/RI reporting with 4 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and with *alternativeCodeBookEnabledFor4TX-r12=TRUE*)

	Bit width 4 antenna ports					
Field						
	Rank = 1 Rank = 2 Rank = 3 Rank				Rank=4	
	PTI=0	PTI=1	PTI=0	PTI=1	PTI=1	PTI=1
Wide-band CQI	4	0	4	0	0	0
Sub-band CQI	0	4	0	4	4	4
Spatial differential CQI	0	0	3	3	3	3
Wide-band i2	4	0	4	0	0	0
Sub-band i2	0	4	0	2	2	2
Sub-band label	0	1 or 2	0	1 or 2	1 or 2	1 or 2

Table 5.2.3.3.2-2D shows the fields and the corresponding bit widths for the sub-band channel quality information feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting and higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4 antenna ports, and K=1 and except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and K>1, except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*.

Table 5.2.3.3.2-2D: UCI fields for channel quality information feedback for UE-selected sub-band reports (transmission mode 9/10 configured with PMI/RI reporting and higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4 antenna ports, and K=1 and except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and K>1, except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*)

Field	Bit width		
Field	Rank = 1	Rank = 2	
Wide-band CQI	0	0	
Sub-band CQI	4	4	
Spatial differential CQI	0	3	
Wide-band i2	0	0	
Sub-band i2	0	0	
Sub-band label	1 or 2	1 or 2	

Table 5.2.3.3.2-2E shows the fields and the corresponding bit widths for the sub-band channel quality information feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting and higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 4/8 antenna ports, and K=1 and except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and K>1, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with PMI/RI reporting and higher layer parameters *semiOpenLoop* and *eMIMO-Type* is set to 'CLASS A'.

Table 5.2.3.3.2-2E: UCI fields for channel quality information feedback for UE-selected sub-band reports (transmission mode 9/10 configured with PMI/RI reporting and higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 4/8 antenna ports, and K=1 and except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and K>1, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with PMI/RI reporting and higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A')

Field	Bit width					
Field	Ran	k = 1	Ran	k = 2		
	PTI=0	PTI=1	PTI=0	PTI=1		
Wide-band CQI	4	0	4	0		
Sub-band CQI	0	4	0	4		
Spatial differential CQI	0	0	3	3		
Wide-band i2	0	0	0	0		
Sub-band i2	0	0	0	0		
Sub-band label	0	1 or 2	0	1 or 2		

Table 5.2.3.3.2-3 shows the fields and the corresponding bit widths for the wide-band channel quality and precoding matrix information feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 4, transmission mode 5, transmission mode 6 and transmission mode 8 configured with PMI/RI reporting except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*.

Table 5.2.3.3.2-3: UCI fields for channel quality information feedback for UE-selected sub-band CQI reports (transmission mode 4, transmission mode 5, transmission mode 6 and transmission mode 8 configured with PMI/RI reporting except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*)

		Bit width					
Field	2 antenna ports Rank = 1 Rank = 2		4 antenna ports				
			Rank = 1	Rank > 1			
Wide-band CQI	4	4	4	4			
Spatial differential CQI	0	3	0	3			
Precoding matrix indicator	2	1	4	4			

Table 5.2.3.3.2-3A and Table 5.2.3.3.2-3B show the fields and the corresponding bit widths for the wide-band channel quality and precoding matrix information feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 9 configured with PMI/RI reporting with 2/4/8 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, transmission mode 10 configured with PMI/RI reporting with 2/4/8 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, transmission mode 10 configured with PMI/RI reporting with 2/4/8 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and K>1, except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2*, and *eMIMO-Type2*, and *eMIMO-Type2*, and *eMIMO-Type2*, and *eMIMO-Type2* configured with PMI/RI reporting with 2/4/8 antenna ports except with *alternativeCodeBookEnabledCLASSB\_K1=TRUE* or *alternativeCodeBookEnabledFor4TX-r12=TRUE*.

Table 5.2.3.3.2-3A-1 shows the fields and the corresponding bit widths for the wide-band channel quality and precoding matrix information feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type* and *eMIMO-Type* 2 configured with PMI/RI reporting with 2/4/8 antenna ports with *alternativeCodebookEnabledCLASSB\_K1=TRUE*.

Table 5.2.3.3.2-3A-2 shows the fields and the corresponding bit widths for the wide-band channel quality feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting and higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B'

with 2/4 antenna ports, and K=1 and except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and K>1, except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*.

Table 5.2.3.3.2-3C shows the fields and the corresponding bit widths for the wide-band channel quality and precoding matrix information feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission modes 8, 9 and 10 configured with PMI/RI reporting, 4 antenna ports and *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with PMI/RI reporting with 4 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with *alternativeCodeBookEnabledCLASSB\_K1=TRUE*, K>1 with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2*, and *eMIMO-Type2*, with *alternativeCodeBookEnabledCLASSB\_K1=TRUE*, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*.

Table 5.2.3.3.2-3C-1 shows the fields and the corresponding bit widths for the wide-band channel quality and precoding matrix information feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 9/10 configured with higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 4/8 antenna ports, and K=1 and except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and K>1, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*.

Table 5.2.3.3.2-3A: UCI fields for wide-band channel quality and precoding matrix information feedback for UE-selected sub-band reports (transmission mode 9 configured with PMI/RI reporting with 2/4 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, transmission mode 10 configured with PMI/RI reporting with 2/4 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 2/4 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, K>1, except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* configured with PMI/RI reporting with 2/4 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, K>1, except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with PMI/RI reporting with 2/4 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE* or *alternativeCodeBookEnabledFor4TX-r12=TRUE*.

	Bit width				
Field	2 antenna ports		4 antenna ports		
	Rank = 1	Rank = 2	Rank = 1	Rank > 1	
Wide-band CQI	4	4	4	4	
Spatial differential CQI	0	3	0	3	
i1	0	0	0	0	
Wide-band i2	2	1	4	4	

Table 5.2.3.3.2-3A-1: UCI fields for wide-band channel quality and precoding matrix information feedback for UE-selected sub-band reports (transmission mode 9/10 configured with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* configured with PMI/RI reporting with 2/4/8 antenna ports with *alternativeCodebookEnabledCLASSB\_K1=TRUE*.

	Bit width					
Field	2 anten	na ports	4 antenna ports			
	Rank = 1	Rank = 2	Rank = 1	Rank =2	Rank =3	Rank =4
Wideband CQI	4	4	4	4	4	4
Spatial differential CQI	0	3	0	3	3	3
i1	0	0	0	0	0	0
Wide-band i2	2	1	3	3	2	1
			Bit w	idth		
Field			8 antenn	a ports		
	Rank = 1	Rank = 2	Rank = 3	Rank =4	Rank	=5~8
Wideband CQI	4	4	4	4	4	1
Spatial differential CQI	0	3	3	3		3
i1	0	0	0	0	(	)
Wide-band i2	4	4	4	3	(	)

Table 5.2.3.3.2-3A-2: UCI fields for wide-band channel quality feedback for UE-selected sub-band reports (transmission mode 9/10 configured with higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with 2/4 antenna ports, and K=1 and except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and K>1, except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*)

	Bit width		
Field	Rank = 1	Rank = 2	
Wideband CQI	4	4	
Spatial differential CQI	0	3	
Precoding matrix indicator	0	0	

Table 5.2.3.3.2-3B: UCI fields for wide-band channel quality and precoding matrix information feedback for UE-selected sub-band reports (transmission mode 9 configured with PMI/RI reporting with 8 antenna ports and transmission mode 10 configured with PMI/RI reporting with 8 antenna ports, and transmission mode 9/10 configured with PMI/RI reporting with 8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, K>1, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* configured with PMI/RI reporting with 8 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*)

			Bit v	vidth					
Field		8 antenna ports							
T leiù	Ran	k = 1	Ran	k = 2	Ran	k = 3			
	PTI=0	PTI=1	PTI=0	PTI=1	PTI=0	PTI=1			
Wide-band CQI	0	4	0	4	0	4			
Spatial differential CQI	0	0	0	3	0	3			
i1	4	0	4	0	2	0			
Wide-band i2	0	4	0	4	0	4			
			Bit v	vidth					
Field			8 anten	na ports					
Field	Ran	k = 4	Rank =	: 5, 6, 7	Rank = 8				
	PTI=0	PTI=1	PTI=0	PTI=1	PTI=0	PTI=1			
Wide-band CQI	0	4	0	4	0	4			
Spatial differential CQI	0	3	0	3	0	3			
i1	2	0	2	0	0	0			
Wide-band i2	0	3	0	0	0	0			

Table 5.2.3.3.2-3B-1 shows the fields and the corresponding bit widths for the wide-band channel quality and precoding matrix information feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' with *CodebookConfig*=1. The parameters  $(S_1, S_2)$  are defined as  $(S_1, S_2) = (1, 1)$  for *CodebookConfig*=1.

Table 5.2.3.3.2-3B-2 shows the fields and the corresponding bit widths for the wide-band channel quality and precoding matrix information feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' with *CodebookConfig*=2/3/4. . The parameters  $(S_1, S_2)$  in rank 1 and rank 2 are defined as  $(S_1, S_2) = (2, 2)$  for *CodebookConfig* =2,3 and 4. The parameters  $(S_1, S_2)$  in rank 3 and 4 are defined as  $(S_1, S_2) = \left(\frac{O_1}{2}, \frac{O_2}{2}\right)$  for *CodebookConfig* =2,  $(S_1, S_2) = \left(O_1, \frac{O_2}{2}\right)$  for *CodebookConfig* =3,  $(S_1, S_2) = \left(O_1, \frac{O_2}{4}\right)$  for *CodebookConfig* =4. The parameters  $(S_1, S_2)$  in rank 5 to 8 are defined as  $(S_1, S_2) = \left(\frac{O_1}{4}, \frac{O_2}{4}\right)$  for *CodebookConfig* =2/3/4.

Table 5.2.3.3.2-3B-3 shows the fields and the corresponding bit widths for the wide-band channel quality and precoding matrix information feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 9/10 configured with higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' with *CodebookConfig*=1/2/3/4. The parameters  $(S_1, S_2)$  in rank 1 and rank 2 are defined as  $(S_1, S_2) = (1, 1)$  for *CodebookConfig*=1 and  $(S_1, S_2) = (2, 2)$  for *CodebookConfig*=2, 3 and 4.

# Table 5.2.3.3.2-3B-1: UCI fields for wide-band channel quality and precoding matrix information feedback for UE-selected sub-band reports (transmission mode 9/10 configured with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' with codebook configuration ( $N_1, N_2, Q_1, Q_2$ ), and *CodebookConfig*=1)

		8/12	Bit widt /16/20/24/28/32 a		oorts		
Field	Rank = 1	0,12,	Rank =		Rank = 3		
	PTI=0	PTI=1	PTI=0	PTI=1	PTI=0	PTI=1	
Wide- band CQI	0	4	0	4	0	4	
Spatial differential CQI	0	0	0	3	0	3	
Wideband first PMI i1,1	$\left\lceil \log \left( \frac{N_{\rm I} Q_{\rm I}}{S_{\rm I}} \right) \right\rceil$	0	$\left[\log_2\left(\frac{N_1O_1}{S_1}\right)\right]$	0	$\left[\log_2\left(\frac{N_1O_1}{S_1} \times \left(2 + \left\lfloor\frac{9 - N_2}{8}\right\rfloor\right)\right)\right]$	0	
Wideband first PMI i1,2	$\left\lceil \log \left( \frac{N_2 O_2}{S_2} \right) \right\rceil$	0	$\left\lceil \log_2\left(\frac{N_2O_2}{S_2}\right)\right\rceil$	0	$\left\lceil \log \left( \frac{N_2 O_2}{S_2} \right) \right\rceil$	0	
Wide- band i2	0	2	0	2	0	1	
		8/12/	Bit widt /16/20/24/28/32		oorts		
Field	Rank = 4				a = 5 to Rank = 8		
	PTI=0	PTI=1	PTI=0		PTI=1		
Wide- band CQI	0	4	0		4		
Spatial differential CQI	0	3	0		3		
Wideband first PMI i1,1	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \times \left( 2 + \left\lfloor \frac{9 - N_2}{8} \right\rfloor \right) \right) \right\rceil$	0	$\left[\log\left(\frac{NQ}{S_{\rm I}}\right)\right]$		0		
Wideband first PMI i1,2	$\left\lceil \log \left( \frac{N_2 O_2}{S_2} \right) \right\rceil$	0	$\left\lceil \log \left( \frac{N_2 Q_2}{S_2} \right) \right\rceil$		0		
Wide- band i2	0	1	0 0		0		

# Table 5.2.3.3.2-3B-2: UCI fields for wide-band channel quality and precoding matrix information feedback for UE-selected sub-band reports (transmission mode 9/10 configured with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' with codebook configuration $(N_1, N_2, Q, Q_2)$ , and *CodebookConfig*=2/3/4)

	Bit width 8/12/16/20/24/28/32 antenna ports							
Field	Rank = 1	8/12	Rank =		Rank = 3			
	PTI=0	PTI= 1	PTI=0	PTI=1	PTI=0	PTI=1		
Wide- band CQI	0	4	0	4	0	4		
Spatial differentia I CQI	0	0	0	3	0	3		
Wideban d first PMI i1,1	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \right) \right\rceil$	0	$\left[\log\left(\frac{N_{\rm l}O_{\rm l}}{S_{\rm l}}\right)\right]$	0	$\left[\log_2\left(\frac{N_1O_1}{S_1}\times\left(2+\left\lfloor\frac{9-N_2}{8}\right\rfloor\right)\right)\right]$	0		
Wideban d first PMI i1,2	$\left\lceil \log_2 \left( \frac{N_2 O_2}{S_2} \right) \right\rceil$	0	$\left\lceil \log\left(\frac{N_2O_2}{S_2}\right)\right\rceil$	0	$\left\lceil \log_2 \left( \frac{N_2 O_2}{S_2} \right) \right\rceil$	0		
Wide- band i2	0	4	0	4	0	4		
		0/40	Bit wic /16/20/24/28/32		aarta			
Field	Rank = 4	0/12	10/20/24/20/32		x = 5 to Rank = 8			
	PTI=0	PTI= 1	PTI=(	0	PTI=1			
Wide- band CQI	0	4	0		4			
Spatial differentia I CQI	0	3	0		3			
Wideban d first PMI i1,1	$\left[\log_2\left(\frac{N_1O_1}{S_1}\times\left(2+\left\lfloor\frac{9-N_2}{8}\right\rfloor\right)\right)\right]$	0	$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \right) \right\rceil$		$\left\lceil \log_2 \left( \frac{N_1 O_1}{S_1} \right) \right\rceil$		0	
Wideban d first PMI i1,2	$\left\lceil \log_2 \left( \frac{N_2 O_2}{S_2} \right) \right\rceil$	0	$\left\lceil \log_2 \left( \frac{N_2 O_2}{S_2} \right) \right\rceil$		0			
Wide- band i2	0	3	0		0			

Table 5.2.3.3.2-3B-3: UCI fields for wide-band channel quality and precoding matrix information feedback for UE-selected sub-band reports (transmission mode 9/10 configured with higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A' with codebook configuration  $(N_i, N_i, Q, Q_i)$ , and CodebookConfig=1/2/3/4)

	Bit width 8/12/16/20/24/28/32 antenna ports						
Field	Rank =	: 1	Rank = 2				
	PTI=0	PTI=1	PTI=0	PTI=1			
Wide-band CQI	0	4	0	4			
Spatial differential CQI	0	0	0	3			
Wideband first PMI i1,1	$\left[\log\left(\frac{N_{\rm l}O_{\rm l}}{S_{\rm l}}\right)\right]$	0	$\left[\log\left(\frac{NQ}{S_{\rm I}}\right)\right]$	0			
Wideband first PMI i1,2	$\left\lceil \log\left(\frac{N_2O_2}{S_2}\right) \right\rceil$	0	$\left[\log\left(\frac{N_2O_2}{S_2}\right)\right]$	0			
Wide-band i2	0	0	0	0			

Table 5.2.3.3.2-3C: UCI fields for wide-band channel quality and precoding matrix information feedback for UE-selected sub-band reports with 4 antenna ports (transmission modes 8, 9 and 10 configured with PMI/RI reporting and *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and K>1, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* configured with PMI/RI reporting with 4 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*)

	Bit width						
Field			4 ante	enna por	ts		
	Ran	Rank = 1 Rank = 2		k = 2	Rank = 3	Rank=4	
	PTI=0	PTI=1	PTI=0	PTI=1	PTI=1	PTI=1	
Wide-band CQI	0	4	0	4	4	4	
Spatial differential CQI	0	0	0	3	3	3	
i1	4	0	4	0	0	0	
Wide-band i2	0	4	0	4	4	4	

Table 5.2.3.3.2-3C-1: UCI fields for wide-band channel quality and precoding matrix information feedback for UE-selected sub-band reports with 4/8 antenna ports (transmission mode 9/10 configured with higher layer parameters *semiOpenLoop* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and K>1, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*)

Field	Bit width					
Field	Ran	k = 1	Rank = 2			
	PTI=0	PTI=1	PTI=0	PTI=1		
Wide-band CQI	0	4	0	4		
Spatial differential CQI	0	0	0	3		
i1	4	0	4	0		
Wide-band i2	0	0	0	0		

Table 5.2.3.3.2-4 shows the fields and the corresponding bit width for the rank indication feedback for UE-selected subband reports for PDSCH transmissions associated with transmission mode 3, transmission mode 4, transmission mode 8 configured with PMI/RI reporting except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and *alternativeCodebookEnabledCLASSB\_K1=TRUE*, transmission mode 9/10 configured without PMI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B'

with K=1 and *alternativeCodebookEnabledCLASSB\_K1=TRUE* for 2/4/8 antenna ports, transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* configured with PMI/RI reporting with 2/4/8 antenna ports with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* configured without PMI reporting with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type2* configured without PMI reporting with *alternativeCodebookEnabledCLASSB\_K1=TRUE* for 2/4/8 antenna ports.

#### Table 5.2.3.3.2-4: UCI fields for rank indication feedback for UE-selected sub-band reports (transmission mode 3, transmission mode 4, and transmission mode 8 configured with PMI/RI reporting except with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, transmission mode 9/10 configured with PMI/RI or without PMI reporting with 2/4/8 antenna ports with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and

alternativeCodebookEnabledCLASSB\_K1=TRUE, and transmission mode 9/10 configured with higher layer parameter eMIMO-Type and eMIMO-Type2, and eMIMO-Type2 configured with PMI/RI or without PMI reporting with 2/4/8 antenna ports with alternativeCodebookEnabledCLASSB\_K1=TRUE)

		Bit width					
Field 2 antenna		4 antenna ports		8 antenna ports			
	2 antenna ports	Max 1 or 2 layers	Max 4 layers	Max 1 or 2 layers	Max 4 layers	Max 8 layers	
Rank indication	1	1	2	1	2	3	

Table 5.2.3.3.2-4A shows the fields and the corresponding bit width for the rank indication and precoder type indication (PTI) feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 9 configured with PMI/RI reporting with 2/4/8 antenna ports and transmission mode 10 configured with PMI/RI reporting with 2/4/8 antenna ports, and transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*, or K>1, except with *alternativeCodeBookEnabledFor4TX-r12=TRUE* for 4Tx, transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A', and transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* are set to 'CLASS A', and transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameter *eMIMO-Type* and *eMIMO-Type*.

Table 5.2.3.3.2-4B shows the fields and the corresponding bit width for the rank indication and precoder type indication (PTI) feedback with 4 antenna ports for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 8, transmission mode 9 and transmission mode 10 configured with PMI/RI reporting and *alternativeCodeBookEnabledFor4TX-r12 =TRUE*, transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with *alternativeCodeBookEnabledCLASSB\_K1=TRUE*, K>1, with *alternativeCodeBookEnabledFor4TX-r12=TRUE*, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type*.

Table 5.2.3.3.2-4A: UCI fields for joint report of RI and PTI (transmission mode 9 configured with PMI/RI reporting with 2/4/8 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE,* transmission mode 10 configured with PMI/RI reporting with 2/4/8 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE,* transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports except with *alternativeCodeBookEnabledFor4TX-r12=TRUE,* transmission mode 9/10 configured with PMI/RI reporting with 2/4/8 antenna ports with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K=1 and except with *alternativeCodebookEnabledCLASSB\_K1=TRUE,* or K>1, except with *alternativeCodeBookEnabledFor4TX-r12=TRUE* for 4Tx, transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS A', and transmission mode 9/10 configured with PMI/RI reporting with 8/12/16/20/24/28/32 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* 2 configured with PMI/RI reporting with 2/4/8 antenna ports except with *alternativeCodebookEnabledCLASSB\_K1=TRUE*)

	Bit width							
Field	2 antenna	4 antenna	4 antenna ports		8/12/16/20/24/28/32 antenna ports			
	ports	Max 1 or 2 layers	Max 4 layers	Max 1 or 2 layers	Max 4 layers	Max 8 layers		
Rank indication	1	1	2	1	2	3		
Precoder type indication	-	-	-	1	1	1		

 Table 5.2.3.3.2-4B: UCI fields for joint report of RI and PTI with 4 antenna ports (transmission mode 8, transmission mode 9 and transmission mode 10 configured with PMI/RI reporting and alternativeCodeBookEnabledFor4TX-r12=TRUE, transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter eMIMO-Type, and eMIMO-Type is set to 'CLASS B' with K=1 and except with alternativeCodebookEnabledCLASSB\_K1=TRUE, K>1, with

 alternativeCodeBookEnabledFor4TX-r12=TRUE, and transmission mode 9/10 configured with higher layer parameter eMIMO-Type2, and eMIMO-Type2 configured with PMI/RI reporting except with alternativeCodebookEnabledCLASSB\_K1=TRUE, with alternativeCodeBookEnabledCLASSB\_K1=TRUE, with alternativeCodeBookEnabledCLASSB\_K1=TRUE, with

	Bit width				
Field	4 antenna ports				
	Max 1 or 2 layers	Max 4 layers			
Rank indication	1	2			
Precoder type indication	1	1			

Table 5.2.3.3.2-4C shows the fields and the corresponding bit widths for the joint report of CRI and rank indication feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, and transmission mode 9/10 configured without PMI reporting with 2/4 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 except with *activatedResources*>0.

Table 5.2.3.3.2-4D shows the fields and the corresponding bit widths for the joint report of CRI, rank indication and PTI feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 except with *activatedResources*>0.

Table 5.2.3.3.2-4C: UCI fields for joint report of CRI and rank indication feedback for UE-selected subband reports (transmission mode 9/10 configured with PMI/RI reporting with 2/4 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, and transmission mode 9/10 configured without PMI reporting with 2/4 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 except with *activatedResources*>0)

Field	Bit wid	th	Bit width		
	2 antenna	ports	4 antenna ports		
	Max 1 or 2 layers	Max 4 layers	Max 1 or 2 layers	Max 4 layers	
CRI	$\lceil \log_2(K) \rceil$	$\left\lceil \log_2(K) \right\rceil$	$\lceil \log_2(K) \rceil$	$\left\lceil \log_2(K) \right\rceil$	
Rank indication	1	1	2	2	

Table 5.2.3.3.2-4D: UCI fields for joint report of CRI, RI and PTI (transmission mode 9/10 configured with PMI/RI reporting with 8 antenna ports with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, transmission mode 9/10 configured with PMI/RI reporting with 4 antenna ports with Class B CSI reporting with K>1with *alternativeCodeBookEnabledFor4TXr12=TRUE*, except with *activatedResources*>0)

	Bit width							
Field	4 antenna	a ports	8 antenna ports					
i leiu	Max 1 or 2 layers	Max 4 layers	Max 1 or 2 layers	Max 4 layers	Max 8 layers			
CRI	$\lceil \log_2(K) \rceil$	$\left\lceil \log_2(K) \right\rceil$	$\left\lceil \log_2(K) \right\rceil$	$\lceil \log_2(K) \rceil$	$\left\lceil \log_2(K) \right\rceil$			
Rank indication	1	2	1	2	3			
Precoder type indication	1	1	1	1	1			

Table 5.2.3.3.2-4E shows the fields and the corresponding bit width for CRI feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 9/10 configured with 1 antenna port for each CSI-RS resource reporting for Class B CSI reporting with K>1 except with *activatedResources*>0, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type* is set to 'CLASS B' with K>1, where CRI is associated with *eMIMO-Type*.

Table 5.2.3.3.2-4E: Fields for CRI feedback for transmission mode 9/10 configured with 1 antenna port for each CSI-RS resource for Class B CSI reporting with K>1 except with *activatedResources*>0, and transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1, where CRI is associated with *eMIMO-Type*)

Field	Bit width				
Field	K = 2	K = 3 and K = 4	K = 5 to K = 8		
CRI	1	2	3		

Table 5.2.3.3.2-4F shows the fields and the corresponding bit widths for the joint report of CRI and rank indication feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 and *activatedResources*>1, and transmission mode 9/10 configured without PMI reporting with 2/4 antenna ports and higher layer parameter *eMIMO-Type* is set to 'CLASS B' with K>1 and *activatedResources*>1. *N* is the value of higher layer parameter *activatedResources*.

Table 5.2.3.3.2-4G shows the fields and the corresponding bit widths for the joint report of CRI, rank indication and PTI feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 9/10 configured with PMI/RI reporting and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 and *activatedResources*>1. *N* is the value of higher layer parameter *activatedResources*.

Table 5.2.3.3.2-4F: UCI fields for joint report of CRI and rank indication feedback for UE-selected subband reports (transmission mode 9/10 configured with PMI/RI or without PMI reporting with 2/4 antenna ports and higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 and *activatedResources*>1)

Field	Bit width		Bit width		
	2 antenna ports		4 antenna ports		
CRI	Max 1 or 2 layers	Max 4 layers	Max 1 or 2 layers	Max 4 layers	
	$\left\lceil \log_2(N) \right\rceil$	$\left\lceil \log_2(N) \right\rceil$	$\left\lceil \log_2(N) \right\rceil$	$\left\lceil \log_2(N) \right\rceil$	
Rank indication	1	1	2	2	

Table 5.2.3.3.2-4G: UCI fields for joint report of CRI, RI and PTI (transmission mode 9/10 configured with PMI/RI reporting with 8 antenna ports with higher layer parameter *eMIMO-Type*, and *eMIMO-Type* is set to 'CLASS B' with K>1 and *activatedResources*>1, and transmission mode 9/10 configured with PMI/RI reporting with 4 antenna ports with Class B CSI reporting with K>1 and with *activatedResources*>1 and with *alternativeCodeBookEnabledFor4TX-r12=TRUE*)

	Bit width				
Field	4 antenna ports		8 antenna ports		
	Max 1 or 2 layers	Max 4 layers	Max 1 or 2 layers	Max 4 layers	Max 8 layers
CRI	$\left\lceil \log_2(N) \right\rceil$				
Rank indication	1	2	1	2	3
Precoder type indication	1	1	1	1	1

Table 5.2.3.3.2-4H shows the fields and the corresponding bit width for CRI feedback for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 9/10 configured with 1 antenna port for each CSI-RS resource reporting for Class B CSI reporting with K>1 and *activatedResources*>1. *N* is the value of higher layer parameter *activatedResources*.

### Table 5.2.3.3.2-4H: Fields for CRI feedback for transmission mode 9/10 configured with 1 antenna port for each CSI-RS resource for Class B CSI reporting with K>1 and *activatedResources*>1)

Field	Bit width		
Field	N = 2	N = 3 and N = 4	
CRI	1	2	

Table 5.2.3.3.1-4I shows the fields and the corresponding bit widths for the joint transmission of rank indication and i1 for UE-selected sub-band reports for PDSCH transmissions associated with transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type2*, and *eMIMO-Type* is set to 'CLASS A' with 8/12/16/20/24/28/32 antenna ports and *eMIMO-Type2* is set to 'CLASS B', where rank indication and i1 are associated with Class A. The parameters  $(S_1, S_2)$  in rank 1 and rank 2 are defined as  $(S_1, S_2) = (1, 1)$  for *CodebookConfig*=1 and  $(S_1, S_2) = (2, 2)$  for *CodebookConfig*=2, 3 and 4. The parameters  $(S_1, S_2)$  in rank 3 and 4 are defined as  $(S_1, S_2) = (1, 1)$  for *CodebookConfig*=1,  $(S_1, S_2) = \left(\frac{O_1}{2}, \frac{O_2}{2}\right)$  for *CodebookConfig*=2,  $(S_1, S_2) = \left(O_1, \frac{O_2}{2}\right)$  for *CodebookConfig*=1,  $(S_1, S_2) = \left(\frac{O_1}{2}, \frac{O_2}{2}\right)$  for *CodebookConfig*=2,  $(S_1, S_2) = \left(O_1, \frac{O_2}{2}\right)$  for *CodebookConfig*=1,  $(S_1, S_2) = \left(\frac{O_1}{2}, \frac{O_2}{2}\right)$  for *CodebookConfig*=2,  $(S_1, S_2) = \left(O_1, \frac{O_2}{2}\right)$  for *CodebookConfig*=1,  $(S_1, S_2) = \left(\frac{O_1}{2}, \frac{O_2}{2}\right)$  for *CodebookConfig*=2,  $(S_1, S_2) = \left(O_1, \frac{O_2}{2}\right)$  for *CodebookConfig*=1,  $(S_1, S_2) = \left(\frac{O_1}{2}, \frac{O_2}{2}\right)$  for *CodebookConfig*=2,  $(S_1, S_2) = \left(O_1, \frac{O_2}{2}\right)$  for *CodebookConfig*=1,  $(S_1, S_2) = \left(O_1, \frac{O_2}{2}\right)$  for *CodebookConfig*=1,  $(S_1, S_2) = \left(O_1, \frac{O_2}{2}\right)$  for *CodebookConfig*=2,  $(S_1, S_2) = \left(O_1, \frac{O_2}{2}\right)$  for *CodebookConfig*=1,  $(S_1, S_2) = \left(O_1, \frac{O_2}{2}\right)$  for *CodebookConfig*=2,  $(S_1, S_2) = \left(O_1, \frac{O_2}{2}\right)$  for *CodebookConfig*=1,  $(S_1, S_2) = \left(O_1, \frac{O_2}{2}\right)$  for *CodebookConfig*=2,  $(S_1, S_2) = \left(O_1, \frac{O_2}{2}\right)$  for *CodebookConfig*=1,  $(S_$ 

CodebookConfig=3,  $(S_1, S_2) = \left(O_1, \frac{O_2}{4}\right)$  for CodebookConfig=4.

Table 5.2.3.3.2-4I: UCI fields for channel quality information feedback for report of i1 and rank indication feedback (transmission mode 9/10 configured with higher layer parameter *eMIMO-Type* and *eMIMO-Type*], and *eMIMO-Type* is set to 'CLASS A' with codebook configuration  $(N_1, N_2, Q_1, Q_2)$ , where i1 and rank indication are associated with Class A)

	Bit width				
Field	Max 1 or 2 layers	Max 4 or 8 layers			
	Rank = 1	Rank = 1	Rank =3		
Wideband first PMI i1,1	$\left\lceil \log_2 \left( N_1 O_1 / S_1 \right) \right\rceil$	$\left\lceil \log_2 (N_1 O_1 / S_1) \right\rceil$	$\left[\log_2\left(\frac{N_1O_1}{S_1} \times \left(2 + \left\lfloor\frac{9 - N_2}{8}\right\rfloor\right)\right)\right]$		
Wideband first PMI i1,2	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$	$\left\lceil \log_2 \left( N_2 O_2 / S_2 \right) \right\rceil$		
RI	0	1			

The channel quality bits in Table 5.2.3.3.2-1 through Table 5.2.3.3.2-3C-1 form the bit sequence  $a_0, a_1, a_2, a_3, ..., a_{A-1}$  with  $a_0$  corresponding to the first bit of the first field in each of the tables,  $a_1$  corresponding to the second bit of the first field in each of the tables,  $a_1$  corresponding to the second bit of the first field in each of the tables, and  $a_{A-1}$  corresponding to the last bit in the last field in each of the tables. The first bit of each field corresponds to MSB and the last bit LSB. The RI feedback for one bit is mapped according to Table 5.2.2.6-5 with  $o_0^{RI}$  replaced by  $a_0$ . The RI feedback for two bits is mapped according to Table 5.2.2.6-6 with  $o_0^{RI}$ ,  $o_1^{RI}$ ,  $o_2^{RI}$  replaced by  $a_0, a_1$ . The RI feedback for three bits is mapped according to Table 5.2.2.6-7 with  $o_0^{RI}$ ,  $o_1^{RI}$ ,  $o_2^{RI}$  replaced by  $a_0, a_1, a_2$ . The same procedures for RI mapping are applied to CRI, replacing RI with CRI.

When multiplexed with UL-SCH, the channel coding and multiplexing for the transmission configurations in Table 5.2.3.3.2-4, Table 5.2.3.3.2-4A, Table 5.2.3.3.2-4B, Table 5.2.3.3.2-4C, Table 5.2.3.3.2-4D, Table 5.2.3.3.2-4F, Table 5.2.3.3.2-4G and Table 5.2.3.3.2-4I is performed assuming RI transmission in subclause 5.2.2.6. All other transmission configurations in this subclause are coded and multiplexed assuming CQI/PMI transmission in subclause 5.2.2.6.

For transmission mode 9/10 configured with Class B CSI reporting and K>1, the number of antenna port in Table 5.2.3.3.2-4C, 5.2.3.3.2-4D refers to the maximum number of antenna ports of K CSI-RS resources configured for the CSI-process for the UE.

For transmission mode 9/10 configured with Class B CSI reporting and K>1, and with *activatedResources*>1, the number of antenna ports in Table 5.2.3.3.2-4F and Table 5.2.3.3.2-4G refers to the maximum number of antenna ports of N CSI-RS resources activated for the CSI-process for the UE.

#### 5.2.3.4 Channel coding for UCI channel quality information and HARQ-ACK

This subclause defines the channel coding scheme for the simultaneous transmission of channel quality information and HARQ-ACK information in a subframe.

When normal CP is used for uplink transmission, the channel quality information is coded according to subclause 5.2.3.3 with input bit sequence  $a'_0, a'_1, a'_2, a'_3, ..., a'_{A'-1}$  and output bit sequence  $b'_0, b'_1, b'_2, b'_3, ..., b'_{B'-1}$ , where B' = 20. The HARQ-ACK bits are denoted by  $a''_0$  in case one HARQ-ACK bit or  $a''_0, a''_1$  in case two HARQ-ACK bits are reported per subframe. Each positive acknowledgement (ACK) is encoded as a binary '1' and each negative acknowledgement (NACK) is encoded as a binary '0'.

The output of this channel coding block for normal CP is denoted by  $b_0, b_1, b_2, b_3, ..., b_{B-1}$ , where

$$b_i = b'_i, i = 0, ..., B' - 1$$

In case one HARQ-ACK bit is reported per subframe:

 $b_{B'} = a_0''$  and B = (B'+1)

In case two HARQ-ACK bits are reported per subframe:

 $b_{B'} = a_0'', b_{B'+1} = a_1''$  and B = (B'+2)

When extended CP is used for uplink transmission, the channel quality information and the HARQ-ACK bits are jointly coded. The HARQ-ACK bits are denoted by  $a_0''$  in case one HARQ-ACK bit or  $[a_0'', a_1'']$  in case two HARQ-ACK bits are reported per subframe.

The channel quality information denoted by  $a'_0, a'_1, a'_2, a'_3, \dots, a'_{A'-1}$  is multiplexed with the HARQ-ACK bits to yield the sequence  $a_0, a_1, a_2, a_3, \dots, a_{A-1}$  as follows

 $a_i = a'_i, i = 0, ..., A' - 1$ 

and

 $a_{A'} = a_0''$  and A = (A'+1) in case one HARQ-ACK bit is reported per subframe, or

 $a_{A'} = a_0'', a_{(A'+1)} = a_1''$  and A = (A'+2) in case two HARQ-ACK bits are reported per subframe.

The sequence  $a_0, a_1, a_2, a_3, ..., a_{A-1}$  is encoded according to subclause 5.2.3.3 to yield the output bit sequence  $b_0, b_1, b_2, b_3, ..., b_{B-1}$  where B = 20.

#### 5.2.4 Uplink control information on PUSCH without UL-SCH data

When control data are sent via PUSCH without UL-SCH data, the following coding steps can be identified:

- Channel coding of control information
- Control information mapping
- Channel interleaver

#### 5.2.4.1 Channel coding of control information

Control data arrives at the coding unit in the form of channel quality information (CQI and/or PMI), HARQ-ACK and rank indication. Different coding rates for the control information are achieved by allocating different number of coded symbols for its transmission. When the UE transmits HARQ-ACK bits or rank indicator bits, it shall determine the number of coded symbols Q' for HARQ-ACK or rank indicator as

$$Q' = \min\left(\left\lceil \frac{O \cdot M_{sc}^{PUSCH} \cdot N_{symb}^{PUSCH} \cdot \beta_{offset}^{PUSCH}}{O_{cQI-MIN}}\right\rceil, 4 \cdot M_{sc}^{PUSCH}\right)$$

where O is the number of HARQ-ACK bits as defined in subclause 5.2.2.6, or rank indicator bits,  $O_{CQI-MIN}$  is the number of CQI bits including CRC bits assuming rank equals to 1 for all serving cells for which an aperiodic CSI report is triggered [3],  $M_{sc}^{PUSCH}$  is the scheduled bandwidth for PUSCH transmission in the current subframe expressed as a number of subcarriers in [2], and  $N_{symb}^{PUSCH}$  is the number of SC-FDMA symbols in the current PUSCH transmission sub-frame given by  $N_{symb}^{PUSCH} = (2 \cdot (N_{symb}^{UL} - 1) - N_{SRS} - N_{start}^{PUSCH} - N_{end}^{PUSCH})$ , where  $N_{SRS}$  is equal to 1 if UE is configured to send PUSCH and SRS in the same subframe for the current subframe, or if the PUSCH resource allocation for the current subframe even partially overlaps with the cell-specific SRS subframe and bandwidth configuration defined in subclause 5.5.3 of [2], or if the current subframe is a UE-specific type-1 SRS subframe as defined in subclause 8.2 of [3], or if the current subframe is a UE-specific type-0 SRS subframe as defined in subclause 8.2 of [3], or if the current subframe, otherwise  $N_{SRS}$  is equal to 1 when the UE configured for uplink transmission on a LAA SCell is indicated to transmit the PUSCH not starting from the beginning of the first symbol of the current subframe, otherwise is equal to 0.  $N_{end}^{PUSCH}$  is equal to 1 when the UE configured for uplink transmission on a LAA SCell is indicated to transmit the PUSCH up to the second to last symbol of the current subframe and  $N_{SRS}$  is equal to 0, otherwise is equal to 0.

For HARQ-ACK information  $Q_{ACK} = Q_m \cdot Q'$  and  $[\beta_{offset}^{PUSCH} = \beta_{offset}^{HARQ-ACK} / \beta_{offset}^{CQI}]$ . For UEs configured with no more than five DL cells,  $\beta_{offset}^{HARQ-ACK}$  shall be determined according to [3]. For UEs configured with more than five DL cells,  $\beta_{offset}^{HARQ-ACK}$  shall be determined according to [3] depending on the number of HARQ-ACK feedback bits.

For rank indication or CRI,  $Q_{RI} = Q_m \cdot Q'$ ,  $Q_{CRI} = Q_m \cdot Q'$  and  $[\beta_{offset}^{PUSCH} = \beta_{offset}^{RI} / \beta_{offset}^{CQI}]$ , where  $\beta_{offset}^{RI}$  shall be determined according to [3].

For CQI and/or PMI information  $Q_{CQI} = N_{symb}^{PUSCH} \cdot M_{sc}^{PUSCH} \cdot Q_m - Q_{RI}$ 

The channel coding and rate matching of the control data is performed according to subclause 5.2.2.6. The coded output sequence for channel quality information is denoted by  $q_0, q_1, q_2, q_3, ..., q_{Q_{CQI}-1}$ , the coded vector sequence output for HARQ-ACK is denoted by  $\underline{q}_0^{ACK}, \underline{q}_2^{ACK}, ..., \underline{q}_{Q'_{ACK}-1}^{ACK}$  and the coded vector sequence output for rank indication or CRI, is denoted by  $\underline{q}_0^{RI}, \underline{q}_1^{RI}, \underline{q}_2^{RI}, ..., \underline{q}_{Q'_{ACK}-1}^{ACK}$ 

#### 5.2.4.2 Control information mapping

The input are the coded bits of the channel quality information denoted by  $q_0, q_1, q_2, q_3, ..., q_{Q_{CQI}-1}$ . The output is denoted by  $\underline{g}_0, \underline{g}_1, \underline{g}_2, \underline{g}_3, ..., \underline{g}_{H'-1}$ , where  $H = Q_{CQI}$  and  $H' = H / Q_m$ , and where  $\underline{g}_i$ , i = 0, ..., H'-1 are column vectors of length  $Q_m$ . *H* is the total number of coded bits allocated for CQI/PMI information.

The control information shall be mapped as follows:

Set j, k to 0

while  $j < Q_{COI}$ 

$$\underline{g}_{k} = [q_{j} \dots q_{j+Q_{m}-1}]^{T}$$
$$j = j + Q_{m}$$

$$\kappa = \kappa + 1$$

end while

#### 5.2.4.3 Channel interleaver

The vector sequences  $\underline{g}_0, \underline{g}_1, \underline{g}_2, ..., \underline{g}_{H'-1}, \underline{q}_0^{RI}, \underline{q}_1^{RI}, \underline{q}_2^{RI}, ..., \underline{q}_{Q'_{RI}-1}^{RI}$  and  $\underline{q}_0^{ACK}, \underline{q}_1^{ACK}, \underline{q}_2^{ACK}, ..., \underline{q}_{Q'_{ACK}-1}^{ACK}$  are channel interleaved according subclause 5.2.2.8. The bits after channel interleaving are denoted by  $h_0, h_1, h_2, ..., h_{H+Q_{RI}-1}$ .

#### 5.3 Downlink transport channels and control information

If the UE is configured with a Master Cell Group (MCG) and Secondary Cell Group (SCG) [6], the procedures described in this clause are applied to the MCG and SCG, respectively. When the procedures are applied to a SCG, the term primary cell refers to the primary SCell (PSCell) of the SCG.

If the UE is configured with a PUCCH SCell [6], the procedures described in this clause are applied to the group of DL cells associated with the PUCCH SCell, respectively. When the procedures are applied to the group of DL cells associated with the PUCCH SCell, the term primary cell refers to the PUCCH SCell.

If the UE is configured with a LAA SCell, the procedures described in this clause are applied assuming the LAA SCell is an FDD SCell.

# 5.3.1 Broadcast channel

Figure 5.3.1-1 shows the processing structure for the BCH transport channel. Data arrives to the coding unit in the form of a maximum of one transport block every transmission time interval (TTI) of 40ms, or 160ms for a MBMS-dedicated cell. The following coding steps can be identified:

- Add CRC to the transport block
- Channel coding
- Rate matching

The coding steps for BCH transport channel are shown in the figure below.

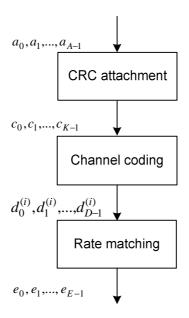


Figure 5.3.1-1: Transport channel processing for BCH

## 5.3.1.1 Transport block CRC attachment

Error detection is provided on BCH transport blocks through a Cyclic Redundancy Check (CRC).

The entire transport block is used to calculate the CRC parity bits. Denote the bits in a transport block delivered to layer 1 by  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , and the parity bits by  $p_0, p_1, p_2, p_3, ..., p_{L-1}$ . A is the size of the transport block and set to 24 bits and L is the number of parity bits. The lowest order information bit  $a_0$  is mapped to the most significant bit of the transport block as defined in subclause 6.1.1 of [5].

The parity bits are computed and attached to the BCH transport block according to subclause 5.1.1 setting *L* to 16 bits. After the attachment, the CRC bits are scrambled according to the eNodeB transmit antenna configuration with the sequence  $x_{ant,0}, x_{ant,1}, ..., x_{ant,15}$  as indicated in Table 5.3.1.1-1 to form the sequence of bits  $c_0, c_1, c_2, c_3, ..., c_{K-1}$  where

$$c_k = a_k$$
 for  $k = 0, 1, 2, ..., A-1$ 

 $c_k = (p_{k-A} + x_{ant,k-A}) \mod 2$  for k = A, A+1, A+2, ..., A+15.

Table 5.3.1.1-1: CRC mask for PBCH	Table	5.3.1.1	-1: C	RC	mask	for	PBCH
------------------------------------	-------	---------	-------	----	------	-----	------

	PBCH CRC mask		
Number of transmit antenna ports at eNodeB	$< x_{ant,0}, x_{ant,1},, x_{ant,15} >$		
1	<0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0		
2	<1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1		
4	<0, 1, 0, 1, 0, 1, 0, 1, 0, 1, 0, 1, 0, 1, 0, 1, 0, 1>		

## 5.3.1.2 Channel coding

Information bits are delivered to the channel coding block. They are denoted by  $c_0, c_1, c_2, c_3, ..., c_{K-1}$ , where *K* is the number of bits, and they are tail biting convolutionally encoded according to subclause 5.1.3.1.

After encoding the bits are denoted by  $d_0^{(i)}, d_1^{(i)}, d_2^{(i)}, d_3^{(i)}, \dots, d_{D-1}^{(i)}$ , with i = 0, 1, and 2, and where *D* is the number of bits on the *i*-th coded stream, i.e., D = K.

## 5.3.1.3 Rate matching

A tail biting convolutionally coded block is delivered to the rate matching block. This block of coded bits is denoted by  $d_0^{(i)}, d_1^{(i)}, d_2^{(i)}, d_3^{(i)}, ..., d_{D-1}^{(i)}$ , with i = 0,1, and 2, and where *i* is the coded stream index and *D* is the number of bits in each coded stream. This coded block is rate matched according to subclause 5.1.4.2.

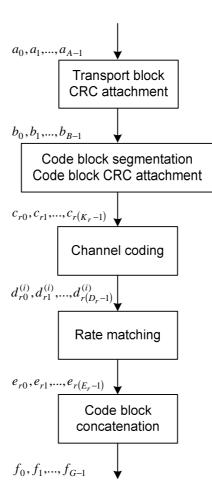
After rate matching, the bits are denoted by  $e_0, e_1, e_2, e_3, \dots, e_{E-1}$ , where *E* is the number of rate matched bits as defined in subclause 6.6.1 of [2].

# 5.3.2 Downlink shared channel, Paging channel and Multicast channel

Figure 5.3.2-1 shows the processing structure for each transport block for the DL-SCH, PCH and MCH transport channels. Data arrives to the coding unit in the form of a maximum of two transport blocks every transmission time interval (TTI) per DL cell. The following coding steps can be identified for each transport block of a DL cell:

- Add CRC to the transport block
- Code block segmentation and code block CRC attachment
- Channel coding
- Rate matching
- Code block concatenation

The coding steps for PCH and MCH transport channels, and for one transport block of DL-SCH are shown in the figure below. The same processing applies for each transport block on each DL cell.



#### Figure 5.3.2-1: Transport block processing for DL-SCH, PCH and MCH

## 5.3.2.1 Transport block CRC attachment

Error detection is provided on transport blocks through a Cyclic Redundancy Check (CRC).

The entire transport block is used to calculate the CRC parity bits. Denote the bits in a transport block delivered to layer 1 by  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , and the parity bits by  $p_0, p_1, p_2, p_3, ..., p_{L-1}$ . A is the size of the transport block and L is the number of parity bits. The lowest order information bit  $a_0$  is mapped to the most significant bit of the transport block as defined in subclause 6.1.1 of [5].

The parity bits are computed and attached to the transport block according to subclause 5.1.1 setting *L* to 24 bits and using the generator polynomial  $g_{CRC24A}(D)$ .

## 5.3.2.2 Code block segmentation and code block CRC attachment

The bits input to the code block segmentation are denoted by  $b_0, b_1, b_2, b_3, ..., b_{B-1}$  where *B* is the number of bits in the transport block (including CRC).

Code block segmentation and code block CRC attachment are performed according to subclause 5.1.2.

The bits after code block segmentation are denoted by  $c_{r0}$ ,  $c_{r1}$ ,  $c_{r2}$ ,  $c_{r3}$ ,...,  $c_{r(K_r-1)}$ , where *r* is the code block number and  $K_r$  is the number of bits for code block number *r*.

## 5.3.2.3 Channel coding

Code blocks are delivered to the channel coding block. They are denoted by  $c_{r0}$ ,  $c_{r1}$ ,  $c_{r2}$ ,  $c_{r3}$ ,..., $c_{r(K_r-1)}$ , where *r* is the code block number, and  $K_r$  is the number of bits in code block number *r*. The total number of code blocks is denoted by *C* and each code block is individually turbo encoded according to subclause 5.1.3.2.

After encoding the bits are denoted by  $d_{r0}^{(i)}, d_{r1}^{(i)}, d_{r2}^{(i)}, d_{r3}^{(i)}, \dots, d_{r(D_r-1)}^{(i)}$ , with i = 0,1, and 2, and where  $D_r$  is the number of bits on the *i*-th coded stream for code block number *r*, i.e.  $D_r = K_r + 4$ .

## 5.3.2.4 Rate matching

Turbo coded blocks are delivered to the rate matching block. They are denoted by  $d_{r0}^{(i)}, d_{r1}^{(i)}, d_{r2}^{(i)}, d_{r3}^{(i)}, ..., d_{r(D_r-1)}^{(i)}$ , with

i = 0,1, and 2, and where r is the code block number, i is the coded stream index, and  $D_r$  is the number of bits in each coded stream of code block number r. The total number of code blocks is denoted by C and each coded block is individually rate matched according to subclause 5.1.4.1.

After rate matching, the bits are denoted by  $e_{r0}$ ,  $e_{r1}$ ,  $e_{r2}$ ,  $e_{r3}$ , ...,  $e_{r(E_r-1)}$ , where *r* is the coded block number, and where  $E_r$  is the number of rate matched bits for code block number *r*.

## 5.3.2.5 Code block concatenation

The bits input to the code block concatenation block are denoted by  $e_{r0}$ ,  $e_{r1}$ ,  $e_{r2}$ ,  $e_{r3}$ , ...,  $e_{r(E_r-1)}$  for r = 0, ..., C - 1 and where  $E_r$  is the number of rate matched bits for the *r*-th code block.

Code block concatenation is performed according to subclause 5.1.5.

The bits after code block concatenation are denoted by  $f_0$ ,  $f_1$ ,  $f_2$ ,  $f_3$ ,...,  $f_{G-1}$ , where G is the total number of coded bits for transmission. This sequence of coded bits corresponding to one transport block after code block concatenation is referred to as one codeword in subclause 6.3.1 of [2]. In case of multiple transport blocks per TTI, the transport block to codeword mapping is specified according to subclauses 5.3.3.1.5, 5.3.3.1.5A or 5.3.3.1.5B, depending on the DCI Format.

# 5.3.3 Downlink control information

A DCI transports downlink, uplink or sidelink scheduling information, requests for aperiodic CQI reports, LAA common information, notifications of MCCH change [6] or uplink power control commands for one cell and one RNTI. The RNTI is implicitly encoded in the CRC.

Figure 5.3.3-1 shows the processing structure for one DCI. The following coding steps can be identified:

- Information element multiplexing
- CRC attachment
- Channel coding
- Rate matching

The coding steps for DCI are shown in the figure below.

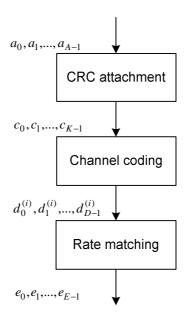


Figure 5.3.3-1: Processing for one DCI

#### 5.3.3.1 DCI formats

The fields defined in the DCI formats below are mapped to the information bits  $a_0$  to  $a_{A-1}$  as follows.

Each field is mapped in the order in which it appears in the description, including the zero-padding bit(s), if any, with the first field mapped to the lowest order information bit  $a_0$  and each successive field mapped to higher order information bits. The most significant bit of each field is mapped to the lowest order information bit for that field, e.g. the most significant bit of the first field is mapped to  $a_0$ .

#### 5.3.3.1.1 Format 0

DCI format 0 is used for the scheduling of PUSCH in one UL cell.

The following information is transmitted by means of the DCI format 0:

- Carrier indicator 0 or 3 bits. This field is present according to the definitions in [3].
- Flag for format0/format1A differentiation 1 bit, where value 0 indicates format 0 and value 1 indicates format 1A
- Frequency hopping flag 1 bit as defined in subclause 8.4 of [3]. This field is used as the MSB of the corresponding resource allocation field for resource allocation type 1.
- Resource block assignment and hopping resource allocation  $-\left[\log_2(N_{\text{RB}}^{\text{UL}}(N_{\text{RB}}^{\text{UL}}+1)/2)\right]$  bits
  - For PUSCH hopping (resource allocation type 0 only):
  - $N_{UL_{hop}}$  MSB bits are used to obtain the value of  $\tilde{n}_{PRB}(i)$  as indicated in subclause 8.4 of [3]

-  $\left( \left[ \log_2(N_{\text{RB}}^{\text{UL}}(N_{\text{RB}}^{\text{UL}}+1)/2) \right] - N_{\text{UL}_{\text{hop}}} \right)$  bits provide the resource allocation of the first slot in the UL subframe

- For non-hopping PUSCH with resource allocation type 0:

- 
$$\left( \left\lceil \log_2(N_{RB}^{UL}(N_{RB}^{UL}+1)/2) \right\rceil \right)$$
 bits provide the resource allocation in the UL subframe as defined in subclause 8.1.1 of [3]

- For non-hopping PUSCH with resource allocation type 1:

- The concatenation of the frequency hopping flag field and the resource block assignment and hopping resource allocation field provides the resource allocation field in the UL subframe as defined in subclause 8.1.2 of [3]
- Modulation and coding scheme and redundancy version -5 bits as defined in subclause 8.6 of [3]
- New data indicator 1 bit
- TPC command for scheduled PUSCH 2 bits as defined in subclause 5.1.1.1 of [3]
- Cyclic shift for DM RS and OCC index and IFDMA configuration 3 bits as defined in subclause 5.5.2.1.1 of [2] (this field is not present when the format 0 CRC is scrambled by UL-SPS-V-RNTI)
- UL SPS configuration index 3 bits as defined in subclause 9.2.1 of [3]. (this field is present when the format 0 CRC is scrambled by UL-SPS-V-RNTI)
- UL index 2 bits as defined in subclauses 5.1.1.1, 7.2.1, 8 and 8.4 of [3] (this field is present only for TDD operation with uplink-downlink configuration 0, or TDD operation with uplink-downlink configuration 6 and special subframe configuration 10 when the higher layer parameter *symPUSCH-UpPts* is configured and the corresponding DCI is mapped onto the UE specific search space given by the C-RNTI as defined in [3])
- Downlink Assignment Index (DAI) 2 bits as defined in subclause 7.3 of [3] (this field is present only for cases with TDD primary cell and either TDD operation with uplink-downlink configurations 1-6 or FDD operation)
- CSI request 1, 2, 3, 4 or 5 bits as defined in subclause 7.2.1 of [3].
  - If UEs are not configured with CSI-RS-ConfigNZPAperiodic or if UEs are configured with CSI-RS-ConfigNZPAperiodic and numberActivatedAperiodicCSI-RS-Resources=1 for each CSI process,

the 2-bit field applies to UEs configured with no more than five DL cells and to

- UEs that are configured with more than one DL cell and when the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3];
- UEs that are configured by higher layers with more than one CSI process and when the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3];
- UEs that are configured with two CSI measurement sets by higher layers with the parameter *csi-MeasSubframeSet*, and when the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3];

the 3-bit field applies to UEs that are configured with more than five DL cells and when the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3];

otherwise the 1-bit field applies

If UEs are configured with CSI-RS-ConfigNZPAperiodic and numberActivatedAperiodicCSI-RS-Resources>1 for at least one CSI process,

the 4-bit field applies to UEs configured with no more than five DL cells and to

- UEs that are configured with more than one DL cell and when the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3];
- UEs that are configured by higher layers with more than one CSI process and when the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3];
- UEs that are configured with two CSI measurement sets by higher layers with the parameter *csi-MeasSubframeSet*, and when the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3];
- the 5-bit field applies to UEs that are configured with more than five DL cells and when the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3];

otherwise the 3-bit field applies.

- SRS request 0 or 1 bit. This field can only be present in DCI formats scheduling PUSCH which are mapped onto the UE specific search space given by the C-RNTI as defined in [3]. The interpretation of this field is provided in subclause 8.2 of [3]
- Resource allocation type 1 bit. This field is only present if  $N_{RB}^{UL} \le N_{RB}^{DL}$ . The interpretation of this field is provided in subclause 8.1 of [3]
- Cyclic Shift Field mapping table for DMRS 1 bit as defined in subclause 5.5.2.1.1 of [2]. The 1-bit field applies to UEs that are configured with higher layer parameter *UL-DMRS-IFDMA*, and when the corresponding DCI format is mapped onto the UE-specific search space given by the C-RNTI as defined in [3]. When the format 0 CRC is scrambled by SPS C-RNTI, this field is set to zero.

If the number of information bits in format 0 mapped onto a given search space is less than the payload size of format 1A for scheduling the same serving cell and mapped onto the same search space (including any padding bits appended to format 1A), zeros shall be appended to format 0 until the payload size equals that of format 1A.

#### 5.3.3.1.1A Format 0A

DCI format 0A is used for the scheduling of PUSCH in a LAA SCell.

The following information is transmitted by means of the DCI format 0A:

- Carrier indicator 0 or 3 bits. This field is present according to the definitions in [3].
- Flag for format0A/format1A differentiation 1 bit, where value 0 indicates format 0A and value 1 indicates format 1A.
- PUSCH trigger A 1 bit, where value 0 indicates non-triggered scheduling and value 1 indicates triggered scheduling as defined in subclause 8.0 of [3].
- Timing offset 4 bits as defined in subclause 8.0 of [3].
  - When the PUSCH trigger A is set to 0,
    - The field indicates the absolute timing offset for the PUSCH transmission.
  - Otherwise,
    - The first two bits of the field indicate the timing offset, relative to the UL offset *l* as defined in clause 13A of [3], for the PUSCH transmission.
    - The last two bits of the field indicate the time window within which the scheduling of PUSCH via triggered scheduling is valid.
- Resource block assignment 5 or 6 bits provide the resource allocation in the UL subframe as defined in subclause 8.1.4 of [3]
- Modulation and coding scheme 5 bits as defined in subclause 8.6 of [3]
- HARQ process number 4 bits.
- New data indicator 1 bit
- Redundancy version 2 bits as defined in subclause 8.6.1 of [3]
- TPC command for scheduled PUSCH 2 bits as defined in subclause 5.1.1.1 of [3]
- Cyclic shift for DM RS and OCC index 3 bits as defined in subclause 5.5.2.1.1 of [2]
- CSI request 1, 2 or 3 bits as defined in subclause 7.2.1 of [3]. The 2-bit field applies to UEs configured with no more than five DL cells and to
  - UEs that are configured with more than one DL cell and when the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3];

- UEs that are configured by higher layers with more than one CSI process and when the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3];
- UEs that are configured with two CSI measurement sets by higher layers with the parameter *csi-MeasSubframeSet*, and when the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3];

the 3-bit field applies to UEs that are configured with more than five DL cells and when the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3];

otherwise the 1-bit field applies

- SRS request 1 bit. The interpretation of this field is provided in subclause 8.2 of [3]
- PUSCH starting position 2 bits as specified in Table 5.3.3.1.1A-1.
- PUSCH ending symbol 1 bit, where value 0 indicates the last symbol of the subframe and value 1 indicates the second to last symbol of the subframe.
- Channel Access type 1 bit as defined in subclause 15.2 of [3]
- Channel Access Priority Class 2 bits as defined in subclause 15.2 of [3]

If the number of information bits in format 0A mapped onto a given search space is less than the payload size of format 1A for scheduling the same serving cell and mapped onto the same search space (including any padding bits appended to format 1A), zeros shall be appended to format 0A until the payload size equals that of format 1A.

#### Table 5.3.3.1.1A-1: PUSCH starting position

Value	PUSCH starting position
00	symbol 0
01	25µs in symbol 0
10	(25+TA) µs in symbol 0
11	symbol 1

## 5.3.3.1.1B Format 0B

DCI format 0B is used for the scheduling of PUSCH in each of multiple subframes in a LAA SCell.

The following information is transmitted by means of the DCI format 0B:

- Carrier indicator 0 or 3 bits. This field is present according to the definitions in [3].
- PUSCH trigger A 1 bit, where value 0 indicates non-triggered scheduling and value 1 indicates triggered scheduling as defined in subclause 8.0 of [3].
- Timing offset 4 bits as defined in subclause 8.0 of [3].
  - When the PUSCH trigger A is set to 0,
    - The field indicates the absolute timing offset for the PUSCH transmission.
  - Otherwise,
    - The first two bits of the field indicate the timing offset, relative to the UL offset *l* as described in clause 13A of [3], for the PUSCH transmission.
    - The last two bits of the field indicate the time window within which the scheduling of PUSCH via triggered scheduling is valid.
- Number of scheduled subframes 1 or 2 bits. The 1-bit field applies when *maxNumberOfSchedSubframes*-*Format0B-r14* is configured by higher layers to two, otherwise the 2-bit field applies.
- Resource block assignment 5 or 6 bits provide the resource allocation in the UL subframe as defined in subclause 8.1.4 of [3].

- Modulation and coding scheme 5 bits as defined in subclause 8.6 of [3].
- HARQ process number 4 bits. The 4-bit applies to the first scheduled subframe, and the HARQ process numbers for other scheduled subframes are defined in subclause 8.0 of [3].
- New data indicator *maxNumberOfSchedSubframes-Format0B-r14* bits. Each scheduled PUSCH corresponds to 1 bit.
- Redundancy version *maxNumberOfSchedSubframes-Format0B-r14* bits. Each scheduled PUSCH corresponds to 1 bit as defined in subclause 8.6.1 of [3].
- TPC command for scheduled PUSCH 2 bits as defined in subclause 5.1.1.1 of [3].
- Cyclic shift for DM RS and OCC index 3 bits as defined in subclause 5.5.2.1.1 of [2].
- CSI request 1, 2 or 3 bits as defined in subclause 7.2.1 of [3]. The 2-bit field applies to UEs configured with no more than five DL cells and to
  - UEs that are configured with more than one DL cell and when the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3];
  - UEs that are configured by higher layers with more than one CSI process and when the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3];
  - UEs that are configured with two CSI measurement sets by higher layers with the parameter *csi-MeasSubframeSet*, and when the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3];

the 3-bit field applies to UEs that are configured with more than five DL cells and when the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3];

otherwise the 1-bit field applies.

- SRS request 2 bits. The interpretation of this field is provided in subclause 8.2 of [3].
- PUSCH starting position 2 bits as specified in Table 5.3.3.1.1A-1 applicable to only the first scheduled subframe.
- PUSCH ending symbol 1 bit, where value 0 indicates the last symbol of the last scheduled subframe and value 1 indicates the second to last symbol of the last scheduled subframe.
- Channel Access type 1 bit as defined in subclause 15.2 of [3].
- Channel Access Priority Class 2 bits as defined in subclause 15.2 of [3].

If the number of information bits in format 0B is equal to the payload size for DCI format 1, 2, 2A, 2B, 2C or 2D associated with the configured DL transmission mode in the same serving cell, one zero bit shall be appended to format 0B.

#### 5.3.3.1.1C Format 0C

DCI format 0C is used for the scheduling of PUSCH in one UL cell.

The following information is transmitted by means of the DCI format 0C:

- Flag for format 0C/format1A differentiation 1 bit, where value 0 indicates format 0C and value 1 indicates format 1A
- Resource allocation type 1 bit. This field is only present if  $N_{RB}^{UL} \le N_{RB}^{DL}$ . The interpretation of this field is provided in subclause 8.1 of [3]
- Frequency hopping flag 1 bit as defined in subclause 5.3.4 of [2]. This field is used as the MSB of the corresponding resource allocation field for resource allocation type 1.
- Resource block assignment  $\left| \log_2(N_{\text{RB}}^{\text{UL}}(N_{\text{RB}}^{\text{UL}}+1)/2) \right|$  bits

- For PUSCH with resource allocation type 0:
  - $\left| \log_2(N_{\text{RB}}^{\text{UL}}(N_{\text{RB}}^{\text{UL}}+1)/2) \right|$  bits provide the resource allocation in the UL subframe as defined in subclause 8.1.1 of [3]
- For non-hopping PUSCH with resource allocation type 1:
  - The concatenation of the frequency hopping flag field and the resource block assignment field provides the resource allocation field in the UL subframe as defined in subclause 8.1.2 of [3]
- Modulation and coding scheme 5 bits as defined in subclause 8.6 of [3]
- Repetition number 3 bits as defined in subclause 8.0 of [3]
- HARQ process number 3 bits
- New data indicator 1 bit
- Redundancy version 2 bits
- TPC command for scheduled PUSCH 2 bits as defined in subclause 5.1.1.1 of [3]
- Cyclic shift for DM RS and OCC index 3 bits as defined in subclause 5.5.2.1.1 of [2]
- UL index 2 bits as defined in subclauses 5.1.1.1, 7.2.1, 8 and 8.4 of [3] (this field is present only for TDD operation with uplink-downlink configuration 0, or TDD operation with uplink-downlink configuration 6 and special subframe configuration 10 when the higher layer parameter *symPUSCH-UpPts* is configured)
- Downlink Assignment Index (DAI) 2 bits as defined in subclause 7.3 of [3] (This field is present only for cases with TDD primary cell and either TDD operation with uplink-downlink configurations 1-6 or FDD operation.)
- CSI request 1, 2 or 3 bits as defined in subclause 7.2.1 of [3]. The 2-bit field applies to UEs configured with no more than five DL cells and to
  - UEs that are configured with more than one DL cell and when the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3];
  - UEs that are configured by higher layers with more than one CSI process and when the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3];
  - UEs that are configured with two CSI measurement sets by higher layers with the parameter *csi-MeasSubframeSet*, and when the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3];
- the 3-bit field applies to UEs that are configured with more than five DL cells and when the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3];

otherwise the 1-bit field applies

- SRS request -1 bit. The interpretation of this field is provided in subclause 8.2 of [3]
- Modulation order override 1 bit as defined in subclause 8.6.1 of [3]
- Precoding information: number of bits as specified in Table 5.3.3.1.8-1. This field is present only if the higher layer parameter *transmissionModeUL* is configured to be transmission mode 2. Bit field as shown in Table 5.3.3.1.8-2 and Table 5.3.3.1.8-3, where only codeword 0 is enabled and the indexes corresponding to 1 layer are used. Note that TPMI for 2 antenna ports indicates which codebook index is to be used in Table 5.3.3A.2-1 of [2], and TPMI for 4 antenna ports indicates which codebook index is to be used in Table 5.3.3A.2-2, Table 5.3.3A.2-3, Table 5.3.3A.2-4 and Table 5.3.3A.2-5 of [2]. The transport block is mapped to codeword 0.

If the number of information bits in format 0C mapped onto a given search space is less than the payload size of format 1A for scheduling the same serving cell and mapped onto the same search space (including any padding bits appended to format 1A), zeros shall be appended to format 0C until the payload size equals that of format 1A.

#### 5.3.3.1.2 Format 1

DCI format 1 is used for the scheduling of one PDSCH codeword in one cell.

The following information is transmitted by means of the DCI format 1:

- Carrier indicator 0 or 3 bits. This field is present according to the definitions in [3].
- Resource allocation header (resource allocation type 0 / type 1) 1 bit as defined in subclause 7.1.6 of [3]
  - If downlink bandwidth is less than or equal to 10 PRBs, there is no resource allocation header and resource allocation type 0 is assumed.
- Resource block assignment:
  - For resource allocation type 0 as defined in subclause 7.1.6.1 of [3]:

-  $\left[N_{\text{RB}}^{\text{DL}} / P\right]$  bits provide the resource allocation

- For resource allocation type 1 as defined in subclause 7.1.6.2 of [3]:

-  $\lceil \log_2(P) \rceil$  bits of this field are used as a header specific to this resource allocation type to indicate the selected resource blocks subset

- 1 bit indicates a shift of the resource allocation span

-  $\left[ N_{\text{RB}}^{\text{DL}} / P \right] - \left[ \log_2(P) \right] - 1 \right)$  bits provide the resource allocation

where the value of P depends on the number of DL resource blocks as indicated in subclause 7.1.6.1 of [3]

- Modulation and coding scheme 5 bits as defined in subclause 7.1.7 of [3]
- HARQ process number 3 bits (for cases with FDD primary cell), 4 bits (for cases with TDD primary cell)
- New data indicator 1 bit
- Redundancy version 2 bits
- TPC command for PUCCH 2 bits as defined in subclause 5.1.2.1 of [3]
- Downlink Assignment Index number of bits as specified in Table 5.3.3.1.2-2.
- HARQ-ACK resource offset (this field is present when this format is carried by EPDCCH. This field is not present when this format is carried by PDCCH) 2 bits as defined in subclause 10.1 of [3]. The 2 bits are set to 0 when this format is carried by EPDCCH on a secondary cell, or when this format is carried by EPDCCH on the primary cell scheduling PDSCH on a secondary cell and the UE is configured with PUCCH format 3 for HARQ-ACK feedback.
- MUST interference presence and power ratio 0 or 2 bits as defined in subclause 6.3.3 of [2]. This field is present only when the UE is configured for MUST-near operation and the number of antenna ports for CRS transmission in the serving cell is 2.
- Aperiodic zero-power CSI-RS resource indicator for PDSCH RE Mapping 2 bits as defined in subclauses 7.1.9 and 7.2.7 of [3]. This field is present only when the UE is configured with *csi-RS-ConfigZP-ApList*.

If the UE is not configured to decode PDCCH or EPDCCH with CRC scrambled by the C-RNTI and the number of information bits in format 1 is equal to that for format 0/1A, one bit of value zero shall be appended to format 1.

If the UE is configured to decode PDCCH or EPDCCH with CRC scrambled by the C-RNTI and the number of information bits in format 1 is equal to that for format 0/1A for scheduling the same serving cell and mapped onto the UE specific search space given by the C-RNTI as defined in [3], one bit of value zero shall be appended to format 1.

If the number of information bits in format 1 carried by PDCCH belongs to one of the sizes in Table 5.3.3.1.2-1, one or more zero bit(s) shall be appended to format 1 until the payload size of format 1 does not belong to one of the sizes in Table 5.3.3.1.2-1 and is not equal to that of format 0/1A mapped onto the same search space.

#### Table 5.3.3.1.2-1: Ambiguous Sizes of Information Bits

{12, 14, 16 ,20, 24, 26, 32, 40, 44, 56}

#### Table 5.3.3.1.2-2: Number of bits for Downlink Assignment Index

Number of bits	
4	For UEs configured by higher layers with <i>codebooksizeDetermination-r13</i> = <i>dai</i> and when a DCI format scheduling PDSCH is mapped onto the UE specific search space given by the C-RNTI as defined in [3], the 4-bit DAI consists of a 2-bit counter DAI and a 2-bit total DAI.
	- Counter DAI – 2 bits as defined in subclause 7.3 of [3]
	- Total DAI – 2 bits as defined in subclause 7.3 of [3]
2	For UEs not configured with <i>codebooksizeDetermination-r13</i> = <i>dai</i> , or for UEs configured by higher layers with <i>codebooksizeDetermination-r13</i> = <i>dai</i> and when a DCI format scheduling PDSCH is not mapped onto the UE specific search space given by the C-RNTI as defined in [3], this field is present for FDD or TDD operation, for cases with TDD primary cell.
	If the UL/DL configuration of all TDD serving cells is same and the UE is not configured to decode PDCCH with CRC scrambled by <i>eimta-RNTI</i> , then this field only applies to serving cell with UL/DL configuration 1-6
	If at least two TDD serving cells have different UL/DL configurations or the UE is configured to decode PDCCH with CRC scrambled by <i>eimta-RNTI</i> , then this field applies to a serving cell with DL-reference UL/DL configuration 1-6 as defined in subclause 10.2 of [3]
0	For UEs not configured with <i>codebooksizeDetermination-r13</i> = <i>dai</i> , or for UEs configured by higher layers with <i>codebooksizeDetermination-r13</i> = <i>dai</i> and when a DCI format scheduling PDSCH is not mapped onto the UE specific search space given by the C-RNTI as defined in [3], this field is not present for FDD or TDD operation, for cases with FDD primary cell.

#### 5.3.3.1.3 Format 1A

DCI format 1A is used for the compact scheduling of one PDSCH codeword in one cell and random access procedure initiated by a PDCCH order. The DCI corresponding to a PDCCH order can be carried by PDCCH or EPDCCH.

The following information is transmitted by means of the DCI format 1A:

- Carrier indicator 0 or 3 bits. This field is present according to the definitions in [3].
- Flag for format0/format1A differentiation or flag for format0A/format1A differentiation 1 bit, where value 0 indicates format 0 or format 0A and value 1 indicates format 1A
- Format 1A is used for random access procedure initiated by a PDCCH order only if format 1A CRC is scrambled with C-RNTI and all the remaining fields are set as follows:
  - Localized/Distributed VRB assignment flag 1 bit is set to '0'
  - Resource block assignment  $\left[\log_2(N_{RB}^{DL}(N_{RB}^{DL}+1)/2)\right]$  bits, where all bits shall be set to 1
  - Preamble Index 6 bits
  - PRACH Mask Index 4 bits, [5]
  - All the remaining bits in format 1A for compact scheduling assignment of one PDSCH codeword are set to zero

Otherwise,

- Localized/Distributed VRB assignment flag 1 bit as defined in 7.1.6.3 of [3]
- Resource block assignment  $\left| \log_2(N_{RB}^{DL}(N_{RB}^{DL}+1)/2) \right|$  bits as defined in subclause 7.1.6.3 of [3]:

- For localized VRB:

$$\left[\log_2(N_{\text{RB}}^{\text{DL}}(N_{\text{RB}}^{\text{DL}}+1)/2)\right]$$
 bits provide the resource allocation

- For distributed VRB:

If N<sub>RB</sub><sup>DL</sup> < 50 or if the format 1A CRC is scrambled by RA-RNTI, P-RNTI, SI-RNTI, SC-RNTI or G-RNTI:</li>

- 
$$\left[\log_2(N_{\text{RB}}^{\text{DL}}(N_{\text{RB}}^{\text{DL}}+1)/2)\right]$$
 bits provide the resource allocation

- Else

- 1 bit, the MSB indicates the gap value, where value 0 indicates  $N_{gap} = N_{gap,1}$  and value 1 indicates  $N_{gap} = N_{gap,2}$ 

- 
$$\left( \left[ \log_2(N_{\text{RB}}^{\text{DL}}(N_{\text{RB}}^{\text{DL}}+1)/2) \right] - 1 \right)$$
 bits provide the resource allocation,

where  $N_{\rm gap}$  is defined in [2].

- Modulation and coding scheme 5bits as defined in subclause 7.1.7 of [3]
- HARQ process number 3 bits (for cases with FDD primary cell), 4 bits (for cases with TDD primary cell)
- New data indicator 1 bit
  - If the format 1A CRC is scrambled by RA-RNTI, P-RNTI, SI-RNTI, SC-RNTI or G-RNTI:
  - If  $N_{\rm RB}^{\rm DL} \ge 50$  and Localized/Distributed VRB assignment flag is set to 1
    - the new data indicator bit indicates the gap value, where value 0 indicates  $N_{gap} = N_{gap,1}$  and value 1 indicates  $N_{gap} = N_{gap,2}$ .
  - Else the new data indicator bit is reserved.
  - Else
  - The new data indicator bit as defined in [5]
- Redundancy version 2 bits

- TPC command for PUCCH - 2 bits as defined in subclause 5.1.2.1 of [3]

- If the format 1A CRC is scrambled by RA-RNTI, P-RNTI, or SI-RNTI:
- The most significant bit of the TPC command is reserved.
- The least significant bit of the TPC command indicates column  $N_{\text{PRB}}^{1\text{A}}$  of the TBS table defined of [3].
- If least significant bit is 0 then  $N_{\text{PRB}}^{1\text{A}} = 2$  else  $N_{\text{PRB}}^{1\text{A}} = 3$ .
- Else
- The two bits including the most significant bit indicates the TPC command
- Downlink Assignment Index number of bits as specified in Table 5.3.3.1.2-2.

SRS request – 0 or 1 bit. This field can only be present in DCI formats scheduling PDSCH which are mapped onto the UE specific search space given by the C-RNTI as defined in [3]. The interpretation of this field is provided in subclause 8.2 of [3]. This field is not present when the DCI is used for scheduling PDSCH in a LAA SCell.

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- HARQ-ACK resource offset (this field is present when this format is carried by EPDCCH. This field is not present
  when this format is carried by PDCCH) 2 bits as defined in subclause 10.1 of [3]. The 2 bits are set to 0 when
  this format is carried by EPDCCH on a secondary cell, or when this format is carried by EPDCCH on the
  primary cell scheduling PDSCH on a secondary cell and the UE is configured with PUCCH format 3 for HARQ-ACK feedback.
- SRS timing offset 3 bits as defined in [3]. This field is present only when the DCI format is used for scheduling PDSCH in a LAA SCell and the UE is configured with uplink transmission on the LAA Scell.

If the UE is not configured to decode PDCCH or EPDCCH with CRC scrambled by the C-RNTI, and the number of information bits in format 1A is less than that of format 0, zeros shall be appended to format 1A until the payload size equals that of format 0.

If the UE is configured to decode PDCCH or EPDCCH with CRC scrambled by the C-RNTI and the number of information bits in format 1A mapped onto a given search space is less than that of format 0 for scheduling the same serving cell and mapped onto the same search space, zeros shall be appended to format 1A until the payload size equals that of format 0, except when format 1A assigns downlink resource on a secondary cell without an uplink configuration associated with the secondary cell.

If the UE is configured to decode PDCCH or EPDCCH with CRC scrambled by the C-RNTI and the number of information bits in format 1A mapped onto a given search space is less than that of format 0A for scheduling the same serving cell and mapped onto the same search space, zeros shall be appended to format 1A until the payload size equals that of format 0A, except when format 1A assigns downlink resource on a secondary cell without an uplink configuration associated with the secondary cell.

If the UE is configured to decode PDCCH or EPDCCH with CRC scrambled by the C-RNTI and the number of information bits in format 1A mapped onto a given search space is less than that of format 0C for scheduling the same serving cell and mapped onto the same search space, zeros shall be appended to format 1A until the payload size equals that of format 0C, except when format 1A assigns downlink resource on a secondary cell without an uplink configuration associated with the secondary cell.

If the number of information bits in format 1A carried by PDCCH belongs to one of the sizes in Table 5.3.3.1.2-1, one zero bit shall be appended to format 1A.

When the format 1A CRC is scrambled with a RA-RNTI, P-RNTI, SI-RNTI, SC-RNTI or G-RNTI then the following fields among the fields above are reserved:

- HARQ process number
- Downlink Assignment Index (used for cases with TDD primary cell and either FDD operation or TDD operation, and is not present for cases with FDD primary cell and either FDD operation or TDD operation)

#### 5.3.3.1.3A Format 1B

DCI format 1B is used for the compact scheduling of one PDSCH codeword in one cell with precoding information.

The following information is transmitted by means of the DCI format 1B:

- Carrier indicator -0 or 3 bits. The field is present according to the definitions in [3].
- Localized/Distributed VRB assignment flag 1 bit as defined in subclause 7.1.6.3 of [3]
- Resource block assignment  $\left[\log_2(N_{\text{RB}}^{\text{DL}}(N_{\text{RB}}^{\text{DL}}+1)/2)\right]$  bits as defined in subclause 7.1.6.3 of [3]
  - For localized VRB:

 $\left[\log_2(N_{\text{RB}}^{\text{DL}}(N_{\text{RB}}^{\text{DL}}+1)/2)\right]$  bits provide the resource allocation

- For distributed VRB:

- For 
$$N_{\text{RB}}^{\text{DL}} < 50$$
  
-  $\left[\log_2(N_{\text{RB}}^{\text{DL}}(N_{\text{RB}}^{\text{DL}}+1)/2)\right]$  bits provide the resource allocation

- For  $N_{\rm RB}^{\rm DL} \ge 50$ 

- 1 bit, the MSB indicates the gap value, where value 0 indicates  $N_{gap} = N_{gap,1}$  and value 1 indicates  $N_{gap} = N_{gap,2}$ 

- 
$$\left( \left[ \log_2(N_{\text{RB}}^{\text{DL}}(N_{\text{RB}}^{\text{DL}}+1)/2) \right] -1 \right)$$
 bits provide the resource allocation

- Modulation and coding scheme 5bits as defined in subclause 7.1.7 of [3]
- HARQ process number 3 bits (for cases with FDD primary cell), 4 bits (for cases with TDD primary cell)
- New data indicator 1 bit
- Redundancy version 2 bits
- TPC command for PUCCH 2 bits as defined in subclause 5.1.2.1 of [3]
- Downlink Assignment Index number of bits as specified in Table 5.3.3.1.2-2.
- TPMI information for precoding number of bits as specified in Table 5.3.3.1.3A-1

TPMI information indicates which codebook index is used in Table 6.3.4.2.3-1 or Table 6.3.4.2.3-2 of [2] corresponding to the single-layer transmission.

- PMI confirmation for precoding 1 bit as specified in Table 5.3.3.1.3A-2
- HARQ-ACK resource offset (this field is present when this format is carried by EPDCCH. This field is not present when this format is carried by PDCCH) 2 bits as defined in subclause 10.1 of [3]. The 2 bits are set to 0 when this format is carried by EPDCCH on a secondary cell, or when this format is carried by EPDCCH on the primary cell scheduling PDSCH on a secondary cell and the UE is configured with PUCCH format 3 for HARQ-ACK feedback.
- Aperiodic zero-power CSI-RS resource indicator for PDSCH RE Mapping 2 bits as defined in subclauses 7.1.9 and 7.2.7 of [3]. This field is present only when the UE is configured with *csi-RS-ConfigZP-ApList*.

If PMI confirmation indicates that the eNodeB has applied precoding according to PMI(s) reported by the UE, the precoding for the corresponding RB(s) in subframe *n* is according to the latest PMI(s) in an aperiodic CSI reported on or before subframe *n*-4.

Table 5.3.3	3.1.3A-1: Numbe	r of bits for	r TPMI ir	nformation
-				-

Number of antenna ports at eNodeB	Number of bits
2	2
4	4

Bit field mapped to index	Message
0	Precoding according to the indicated TPMI in
	the TPMI information field
1	Precoding using the precoder(s) according to PMI(s) indicated in the latest aperiodic CSI
	report.
	For aperiodic CSI mode 2-2:
	- Precoding of scheduled resource blocks
	belonging to the reported preferred M
	subband(s), use precoder(s) according to the
	preferred M subband PMI(s) indicated in the
	latest aperiodic CSI report;
	- Precoding of scheduled resource blocks not
	belonging to the reported preferred M
	subband(s), precoding using a precoder
	according to the wideband PMI indicated in the
	latest aperiodic CSI report.

Table 5.3.3.1.3A-2: Content of PMI confirmation

If the number of information bits in format 1B is equal to that for format 0/1A for scheduling the same serving cell and mapped onto the UE specific search space given by the C-RNTI as defined in [3], one bit of value zero shall be appended to format 1B.

If the number of information bits in format 1B carried by PDCCH belongs to one of the sizes in Table 5.3.3.1.2-1, one or more zero bit(s) shall be appended to format 1B until the payload size of format 1B does not belong to one of the sizes in Table 5.3.3.1.2-1 and is not equal to that of format 0/1A mapped onto the same search space.

#### 5.3.3.1.4 Format 1C

DCI format 1C is used for very compact scheduling of one PDSCH codeword, notifying MCCH change [6], notifying SC-MCCH change and direct indication [6], reconfiguring TDD, and LAA common information.

The following information is transmitted by means of the DCI format 1C:

If the format 1C is used for very compact scheduling of one PDSCH codeword

- 1 bit indicates the gap value, where value 0 indicates  $N_{gap} = N_{gap,1}$  and value 1 indicates  $N_{gap} = N_{gap,2}$
- For  $N_{\text{RB}}^{\text{DL}} < 50$ , there is no bit for gap indication
- Resource block assignment  $\left[\log_2 \left( N_{\text{VRB,gap1}}^{\text{DL}} / N_{\text{RB}}^{\text{step}} \right) \cdot \left( N_{\text{VRB,gap1}}^{\text{DL}} / N_{\text{RB}}^{\text{step}} \right) + 1 \right) / 2 \right]$  bits as defined in 7.1.6.3 of [3] where  $N_{\text{VRB,gap1}}^{\text{DL}}$  is defined in [2] and  $N_{\text{RB}}^{\text{step}}$  is defined in [3]
- Modulation and coding scheme 5 bits as defined in subclause 7.1.7 of [3]

Else if the format 1C is used for notifying MCCH change and direct indication

- Information for MCCH change notification 8 bits as defined in subclause 5.8.1.3 of [6]
- Direct Indication information 2 bits provide direct indication of system information update and other fields, as defined in subclause 6.6a [6]. This field is only present for MBMS-dedicated cell or feMBMS/Unicast-mixed cell with  $N_{\text{RB}}^{\text{DL}} \ge 15$ .
- Reserved information bits are added until the size is equal to that of format 1C used for very compact scheduling of one PDSCH codeword

Else if the format 1C is used for notifying SC-MCCH change

- Information for SC-MCCH change notification – 8 bits as defined in subclause 5.8a.1.3 of [6]

- Reserved information bits are added until the size is equal to that of format 1C used for very compact scheduling of one PDSCH codeword

Else if the format 1C is used for reconfiguring TDD

- UL/DL configuration indication:

UL/DL configuration number 1, UL/DL configuration number 2,..., UL/DL configuration number I

Where each UL/DL configuration is 3 bits,  $I = \left\lfloor \frac{L_{\text{format lc}}}{3} \right\rfloor$ ,  $L_{\text{format lc}}$  is equal to the payload size of format 1C

used for very compact scheduling of one PDSCH codeword. The parameter *eimta-UL-DL-ConfigIndex* provided by higher layers determines the index to the UL/DL configuration indication for a serving cell.

Zeros are added until the size is equal to that of format 1C used for very compact scheduling of one PDSCH codeword

Else

- Subframe configuration for LAA 4 bits as defined in clause 13A of [3]
- UL duration and offset 5 bits as defined in clause 13A of [3]. The field only applies to a UE configured with uplink transmission on a LAA SCell
- PUSCH trigger B 1 bit as defined in subclause 8.0 of [3]. The field only applies to a UE configured with uplink transmission on a LAA SCell
- Reserved information bits are added until the size is equal to that of format 1C used for very compact scheduling of one PDSCH codeword

#### 5.3.3.1.4A Format 1D

DCI format 1D is used for the compact scheduling of one PDSCH codeword in one cell with precoding and power offset information.

The following information is transmitted by means of the DCI format 1D:

- Carrier indicator 0 or 3 bits. The field is present according to the definitions in [3].
- Localized/Distributed VRB assignment flag 1 bit as defined in subclause 7.1.6.3 of [3]
- Resource block assignment  $\left[\log_2(N_{RB}^{DL}(N_{RB}^{DL}+1)/2)\right]$  bits as defined in subclause 7.1.6.3 of [3]:
  - For localized VRB:

$$\left[\log_2(N_{\text{RB}}^{\text{DL}}(N_{\text{RB}}^{\text{DL}}+1)/2)\right]$$
 bits provide the resource allocation

- For distributed VRB:

- For  $N_{\text{RB}}^{\text{DL}} < 50$ -  $\left[ \log_2(N_{\text{RB}}^{\text{DL}}(N_{\text{RB}}^{\text{DL}}+1)/2) \right]$  bits provide the resource allocation

- For  $N_{\rm RB}^{\rm DL} \ge 50$ 

- 1 bit, the MSB indicates the gap value, where value 0 indicates  $N_{gap} = N_{gap,1}$  and value 1 indicates  $N_{gap} = N_{gap,2}$ 

- 
$$\left( \left[ \log_2(N_{\text{RB}}^{\text{DL}}(N_{\text{RB}}^{\text{DL}}+1)/2) \right] - 1 \right)$$
 bits provide the resource allocation

- Modulation and coding scheme - 5bits as defined in subclause 7.1.7 of [3]

- HARQ process number 3 bits (for cases with FDD primary cell), 4 bits (for cases with TDD primary cell)
- New data indicator -1 bit
- Redundancy version 2 bits
- TPC command for PUCCH 2 bits as defined in subclause 5.1.2.1 of [3]
- Downlink Assignment Index number of bits as specified in Table 5.3.3.1.2-2.
- TPMI information for precoding number of bits as specified in Table 5.3.3.1.4A-1

TPMI information indicates which codebook index is used in Table 6.3.4.2.3-1 or Table 6.3.4.2.3-2 of [2] corresponding to the single-layer transmission.

- Downlink power offset 1 bit as defined in subclause 7.1.5 of [3]
- HARQ-ACK resource offset (this field is present when this format is carried by EPDCCH. This field is not present when this format is carried by PDCCH) 2 bits as defined in subclause 10.1 of [3]. The 2 bits are set to 0 when this format is carried by EPDCCH on a secondary cell, or when this format is carried by EPDCCH on the primary cell scheduling PDSCH on a secondary cell and the UE is configured with PUCCH format 3 for HARQ-ACK feedback.
- Aperiodic zero-power CSI-RS resource indicator for PDSCH RE Mapping 2 bits as defined in subclauses 7.1.9 and 7.2.7 of [3]. This field is present only when the UE is configured with *csi-RS-ConfigZP-ApList*.

#### Table 5.3.3.1.4A-1: Number of bits for TPMI information

Number of antenna ports at eNodeB	Number of bits
2	2
4	4

If the number of information bits in format 1D is equal to that for format 0/1A for scheduling the same serving cell and mapped onto the UE specific search space given by the C-RNTI as defined in [3], one bit of value zero shall be appended to format 1D.

If the number of information bits in format 1D carried by PDCCH belongs to one of the sizes in Table 5.3.3.1.2-1, one or more zero bit(s) shall be appended to format 1D until the payload size of format 1D does not belong to one of the sizes in Table 5.3.3.1.2-1 and is not equal to that of format 0/1A mapped onto the same search space.

#### 5.3.3.1.5 Format 2

The following information is transmitted by means of the DCI format 2:

- Carrier indicator 0 or 3 bits. The field is present according to the definitions in [3].
- Resource allocation header (resource allocation type 0 / type 1) 1 bit as defined in subclause 7.1.6 of [3]

If downlink bandwidth is less than or equal to 10 PRBs, there is no resource allocation header and resource allocation type 0 is assumed.

- Resource block assignment:
  - For resource allocation type 0 defined in subclause 7.1.6.1 of [3]:
    - $\begin{bmatrix} N_{\text{RB}}^{\text{DL}} / P \end{bmatrix}$  bits provide the resource allocation
  - For resource allocation type 1 as defined in subclause 7.1.6.2 of [3]:
    - $\lceil \log_2(P) \rceil$  bits of this field are used as a header specific to this resource allocation type to indicate the selected resource blocks subset
    - 1 bit indicates a shift of the resource allocation span

-  $\left( N_{\text{RB}}^{\text{DL}} / P \right) - \left[ \log_2(P) \right] - 1 \right)$  bits provide the resource allocation

where the value of P depends on the number of DL resource blocks as indicated in subclause 7.1.6.1 of [3]

- TPC command for PUCCH 2 bits as defined in subclause 5.1.2.1 of [3]
- Downlink Assignment Index number of bits as specified in Table 5.3.3.1.2-2.
- HARQ process number 3 bits (for cases with FDD primary cell), 4 bits (for cases with TDD primary cell)
- Transport block to codeword swap flag 1 bit

In addition, for transport block 1:

- Modulation and coding scheme 5 bits as defined in subclause 7.1.7 of [3]
- New data indicator 1 bit
- Redundancy version 2 bits
- MUST interference presence and power ratio 0 or 2 bits as defined in subclause 6.3.3 of [2]. This field is
  present only when the UE is configured for MUST-near operation and the number of antenna ports for CRS
  transmission in the serving cell is 2

In addition, for transport block 2:

- Modulation and coding scheme 5 bits as defined in subclause 7.1.7 of [3]
- New data indicator 1 bit
- Redundancy version 2 bits
- MUST interference presence and power ratio 0 or 2 bits as defined in subclause 6.3.3 of [2]. This field is
  present only when the UE is configured for MUST-near operation and the number of antenna ports for CRS
  transmission in the serving cell is 2

Precoding information – number of bits as specified in Table 5.3.3.1.5-3

- HARQ-ACK resource offset (this field is present when this format is carried by EPDCCH. This field is not
  present when this format is carried by PDCCH) 2 bits as defined in subclause 10.1 of [3]. The 2 bits are set to 0
  when this format is carried by EPDCCH on a secondary cell, or when this format is carried by EPDCCH on the
  primary cell scheduling PDSCH on a secondary cell and the UE is configured with PUCCH format 3 for HARQ-ACK feedback.
- Aperiodic zero-power CSI-RS resource indicator for PDSCH RE Mapping 2 bits as defined in subclauses 7.1.9 and 7.2.7 of [3]. This field is present only when the UE is configured with *csi-RS-ConfigZP-ApList*.

If both transport blocks are enabled, the transport block to codeword mapping is specified according to Table 5.3.3.1.5-1.

In case one of the transport blocks is disabled as specified in subclause 7.1.7.2 of [3], the transport block to codeword swap flag is reserved and the transport block to codeword mapping is specified according to Table 5.3.3.1.5-2.

transport block to codeword swap flag value	codeword 0 (enabled)	codeword 1 (enabled)	
0	transport block 1	transport block 2	
1	transport block 2	transport block 1	

 
 Table 5.3.3.1.5-1: Transport block to codeword mapping (two transport blocks enabled)

transport block 1	transport block 2	codeword 0 (enabled)	codeword 1 (disabled)
enabled	disabled	transport block 1	-
disabled	enabled	transport block 2	-

# Table 5.3.3.1.5-2: Transport block to codeword mapping (one transport block enabled)

The interpretation of the precoding information field depends on the number of enabled codewords according to Table 5.3.3.1.5-4 and Table 5.3.3.1.5-5. Note that TPMI indicates which codebook index is used in Table 6.3.4.2.3-1 or Table 6.3.4.2.3-2 of [2]. For a single enabled codeword, indices 18 to 34 inclusive in Table 5.3.3.1.5-5 are only supported for retransmission of the corresponding transport block if that transport block has previously been transmitted using two layers with closed-loop spatial multiplexing.

If the number of information bits in format 2 carried by PDCCH belongs to one of the sizes in Table 5.3.3.1.2-1, one zero bit shall be appended to format 2.

Some entries in Table 5.3.3.1.5-4 and Table 5.3.3.1.5-5 are used for indicating that the eNodeB has applied precoding according to PMI(s) reported by the UE. In these cases the precoding for the corresponding RB(s) in subframe *n* is according to the latest PMI(s) in an aperiodic CSI reported on or before subframe *n*-4. For aperiodic CSI mode 2-2: Precoding of scheduled resource blocks belonging to the reported preferred M subband(s) use precoder(s) according to the preferred M subband PMI indicated by the latest aperiodic CSI report; Precoding of scheduled resource blocks not belonging to the reported preferred M subband(s) use a precoder according to the wideband PMI indicated by the latest aperiodic CSI report.

Number of antenna ports at eNodeB	Number of bits for precoding information	
2	3	
4	6	

	One codeword: Codeword 0 enabled,		Two codewords: Codeword 0 enabled,	
	Codeword 1 disabled		Codeword 1 enabled	
Bit field mapped to index	Message	Bit field mapped to index	Message	
0	2 layers: Transmit diversity	0	2 layers: Precoding corresponding to precoder matrix $\frac{1}{2} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$	
1	1 layer: Precoding corresponding to precoding vector $\begin{bmatrix} 1 & 1 \end{bmatrix}^T / \sqrt{2}$	1	2 layers: Precoding corresponding to precoder matrix $\frac{1}{2} \begin{bmatrix} 1 & 1\\ j & -j \end{bmatrix}$	
2	1 layer: Precoding corresponding to precoder vector $\begin{bmatrix} 1 & -1 \end{bmatrix}^T / \sqrt{2}$	2	2 layers: Precoding according to the latest PMI report on PUSCH, using the precoder(s) indicated by the reported PMI(s)	
3	1 layer: Precoding corresponding to precoder vector $\begin{bmatrix} 1 & j \end{bmatrix}^T / \sqrt{2}$	3	reserved	
4	1 layer: Precoding corresponding to precoder vector $\begin{bmatrix} 1 & -j \end{bmatrix}^T / \sqrt{2}$	4	reserved	
5	1 layer: Precoding according to the latest PMI report on PUSCH, using the precoder(s) indicated by the reported PMI(s), if RI=2 was reported, using 1 <sup>st</sup> column multiplied by $\sqrt{2}$ of all precoders implied by the reported PMI(s)	5	reserved	
6	1 layer: Precoding according to the latest PMI report on PUSCH, using the precoder(s) indicated by the reported PMI(s), if RI=2 was reported, using 2 <sup>nd</sup> column multiplied by $\sqrt{2}$ of all precoders implied by the reported PMI(s)	6	reserved	
7	reserved	7	reserved	

Table 5.3.3.1.5-4: Content of precoding information field for 2 antenna ports

<u></u>	a aadaward:	-	we endowerde:	
One codeword:		Two codewords:		
Codeword 0 enabled, Codeword 1 disabled		Codeword 0 enabled,		
	Bit field		deword 1 enabled	
mapped to index	Message	Bit field mapped to index	Message	
0	4 layers: Transmit diversity	0	2 layers: TPMI=0	
1	1 layer: TPMI=0	1	2 layers: TPMI=1	
2	1 layer: TPMI=1	•	•	
•	:	15	2 layers: TPMI=15	
16	1 layer: TPMI=15	16	2 layers: Precoding according to the latest PMI report on PUSCH using the precoder(s) indicated by the reported PMI(s)	
17	1 layer: Precoding according to the latest PMI report on PUSCH using the precoder(s) indicated by the reported PMI(s)	17	3 layers: TPMI=0	
18	2 layers: TPMI=0	18	3 layers: TPMI=1	
19	2 layers: TPMI=1	•	•	
• •	:	32	3 layers: TPMI=15	
33	2 layers: TPMI=15	33	3 layers: Precoding according to the latest PMI report on PUSCH using the precoder(s) indicated by the reported PMI(s)	
34	2 layers: Precoding according to the latest PMI report on PUSCH using the precoder(s) indicated by the reported PMI(s)	34	4 layers: TPMI=0	
35 – 63	reserved	35	4 layers: TPMI=1	
		•	•	
		49	4 layers: TPMI=15	
		50	4 layers: Precoding according to the latest PMI report on PUSCH using the precoder(s) indicated by the reported PMI(s)	
		51 – 63	Reserved	
		<b></b>		

Table 5.3.3.1.5-5: Content of precoding information field for 4 antenna ports

#### 5.3.3.1.5A Format 2A

The following information is transmitted by means of the DCI format 2A:

- Carrier indicator 0 or 3 bits. The field is present according to the definitions in [3].
- Resource allocation header (resource allocation type 0 / type 1) 1 bit as defined in subclause 7.1.6 of [3]

If downlink bandwidth is less than or equal to 10 PRBs, there is no resource allocation header and resource allocation type 0 is assumed.

- Resource block assignment:
  - For resource allocation type 0 as defined in subclause 7.1.6.1 of [3]

-  $\left[N_{\text{RB}}^{\text{DL}} / P\right]$  bits provide the resource allocation

- For resource allocation type 1 as defined in subclause 7.1.6.2 of [3]

-  $\lceil \log_2(P) \rceil$  bits of this field are used as a header specific to this resource allocation type to indicate the selected resource blocks subset

- 1 bit indicates a shift of the resource allocation span

-  $\left[ N_{\text{RB}}^{\text{DL}} / P \right] - \left[ \log_2(P) \right] - 1 \right]$  bits provide the resource allocation

where the value of P depends on the number of DL resource blocks as indicated in subclause 7.1.6.1 of [3]

- TPC command for PUCCH 2 bits as defined in subclause 5.1.2.1 of [3]
- Downlink Assignment Index number of bits as specified in Table 5.3.3.1.2-2.
- HARQ process number 3 bits (for cases with FDD primary cell), 4 bits (for cases with TDD primary cell)
- Transport block to codeword swap flag 1 bit

In addition, for transport block 1:

- Modulation and coding scheme 5 bits as defined in subclause 7.1.7 of [3]
- New data indicator 1 bit
- Redundancy version 2 bits

In addition, for transport block 2:

- Modulation and coding scheme 5 bits as defined in subclause 7.1.7 of [3]
- New data indicator 1 bit
- Redundancy version 2 bits
- Precoding information number of bits as specified in Table 5.3.3.1.5A-1
- HARQ-ACK resource offset (this field is present when this format is carried by EPDCCH. This field is not present when this format is carried by PDCCH) 2 bits as defined in subclause 10.1 of [3]. The 2 bits are set to 0 when this format is carried by EPDCCH on a secondary cell, or when this format is carried by EPDCCH on the primary cell scheduling PDSCH on a secondary cell and the UE is configured with PUCCH format 3 for HARQ-ACK feedback. MUST interference presence and power ratio 0 or 2 bits as defined in subclause 6.3.3 of [2]. This field is present only when the UE is configured for MUST-near operation and the number of antenna ports for CRS transmission in the serving cell is 2.
- Aperiodic zero-power CSI-RS resource indicator for PDSCH RE Mapping 2 bits as defined in subclauses 7.1.9 and 7.2.7 of [3]. This field is present only when the UE is configured with *csi-RS-ConfigZP-ApList*.

If both transport blocks are enabled, the transport block to codeword mapping is specified according to Table 5.3.3.1.5-1.

In case one of the transport blocks is disabled, the transport block to codeword swap flag is reserved and the transport block to codeword mapping is specified according to Table 5.3.3.1.5-2.

The precoding information field is defined according to Table 5.3.3.1.5A-2. For a single enabled codeword, index 1 in Table 5.3.3.1.5A-2 is only supported for retransmission of the corresponding transport block if that transport block has previously been transmitted using two layers with large delay CDD.

For transmission with 2 antenna ports, the precoding information field is not present. The number of transmission layers is equal to 2 if both codewords are enabled; transmit diversity is used if codeword 0 is enabled while codeword 1 is disabled.

If the number of information bits in format 2A carried by PDCCH belongs to one of the sizes in Table 5.3.3.1.2-1, one zero bit shall be appended to format 2A.

Table 5.3.3.1.5A-1: Number of bits for	precoding information
--	-----------------------

Number of antenna ports at eNodeB	Number of bits for precoding information
2	0
4	2

One codeword: Codeword 0 enabled, Codeword 1 disabled		Two codewords: Codeword 0 enabled, Codeword 1 enabled	
Bit field mapped to index	Message	Bit field mapped to index	Message
0	4 layers: Transmit diversity	0	2 layers: precoder cycling with large delay CDD
1	2 layers: precoder cycling with large delay CDD	1	3 layers: precoder cycling with large delay CDD
2	reserved	2	4 layers: precoder cycling with large delay CDD
3	reserved	3	reserved

#### Table 5.3.3.1.5A-2: Content of precoding information field for 4 antenna ports

#### 5.3.3.1.5B Format 2B

The following information is transmitted by means of the DCI format 2B:

- Carrier indicator 0 or 3 bits. The field is present according to the definitions in [3].
- Resource allocation header (resource allocation type 0 / type 1) 1 bit as defined in subclause 7.1.6 of [3]

If downlink bandwidth is less than or equal to 10 PRBs, there is no resource allocation header and resource allocation type 0 is assumed.

- Resource block assignment:
  - For resource allocation type 0 as defined in subclause 7.1.6.1 of [3]

-  $\left[ N_{\text{RB}}^{\text{DL}} / P \right]$  bits provide the resource allocation

- For resource allocation type 1 as defined in subclause 7.1.6.2 of [3]
  - $\lceil \log_2(P) \rceil$  bits of this field are used as a header specific to this resource allocation type to indicate the selected resource blocks subset
  - 1 bit indicates a shift of the resource allocation span
  - $\left[ N_{\text{RB}}^{\text{DL}} / P \right] \left[ \log_2(P) \right] 1 \right]$  bits provide the resource allocation

where the value of P depends on the number of DL resource blocks as indicated in subclause [7.1.6.1] of [3]

- TPC command for PUCCH 2 bits as defined in subclause 5.1.2.1 of [3]
- Downlink Assignment Index number of bits as specified in Table 5.3.3.1.2-2.
- HARQ process number 3 bits (for cases with FDD primary cell), 4 bits (for cases with TDD primary cell)
- Scrambling identity-1 bit as defined in subclause 6.10.3.1 of [2]
- SRS request [0-1] bit. This field can only be present for TDD operation and if present is defined in subclause 8.2 of [3]

In addition, for transport block 1:

- Modulation and coding scheme 5 bits as defined in subclause 7.1.7 of [3]
- New data indicator 1 bit
- Redundancy version 2 bits

In addition, for transport block 2:

- Modulation and coding scheme 5 bits as defined in subclause 7.1.7 of [3]
- New data indicator 1 bit
- Redundancy version 2 bits
- HARQ-ACK resource offset (this field is present when this format is carried by EPDCCH. This field is not present when this format is carried by PDCCH) 2 bits as defined in subclause 10.1 of [3]. The 2 bits are set to 0 when this format is carried by EPDCCH on a secondary cell, or when this format is carried by EPDCCH on the primary cell scheduling PDSCH on a secondary cell and the UE is configured with PUCCH format 3 for HARQ-ACK feedback.
- MUST interference presence and modulation (This field is present only when the UE is configured for MUST operation) 0 or 2 bits. The field is defined in Table 5.3.3.1.5C-3, where the interfering antenna port is in {7,8} excluding the antenna port for transmission. The interfering antenna port has the same scrambling identity as indicated in the "Scrambling identity" field.
- SRS timing offset 3 bits as defined in [3]. This field is present only when the DCI format is used for scheduling PDSCH in a LAA SCell and the UE is configured with uplink transmission on the LAA SCell.
- Aperiodic zero-power CSI-RS resource indicator for PDSCH RE Mapping 2 bits as defined in subclauses 7.1.9 and 7.2.7 of [3]. This field is present only when the UE is configured with *csi-RS-ConfigZP-ApList*.

If both transport blocks are enabled, the number of layers equals two; transport block 1 is mapped to codeword 0; and transport block 2 is mapped to codeword 1. Antenna ports 7 and 8 are used for spatial multiplexing.

In case one of the transport blocks is disabled, the number of layers equals one; the transport block to codeword mapping is specified according to Table 5.3.3.1.5-2; and the antenna port for single-antenna port transmission is according to Table 5.3.3.1.5B-1.

#### Table 5.3.3.1.5B-1: Antenna port for single-antenna port transmission (one transport block disabled)

New data indicator of the disabled transport bloc	k Antenna port
0	7
1	8

If the number of information bits in format 2B carried by PDCCH belongs to one of the sizes in Table 5.3.3.1.2-1, one zero bit shall be appended to format 2B.

#### 5.3.3.1.5C Format 2C

The following information is transmitted by means of the DCI format 2C:

- Carrier indicator 0 or 3 bits. The field is present according to the definitions in [3].
- Resource allocation header (resource allocation type 0 / type 1) 1 bit as defined in subclause 7.1.6 of [3]

If downlink bandwidth is less than or equal to 10 PRBs, there is no resource allocation header and resource allocation type 0 is assumed.

- Resource block assignment:

- For resource allocation type 0 as defined in subclause 7.1.6.1 of [3]

-  $\left[N_{\text{RB}}^{\text{DL}} / P\right]$  bits provide the resource allocation

- For resource allocation type 1 as defined in subclause 7.1.6.2 of [3]
  - $|\log_2(P)|$  bits of this field are used as a header specific to this resource allocation type to indicate the selected resource blocks subset
  - 1 bit indicates a shift of the resource allocation span

-  $\left[ N_{\text{RB}}^{\text{DL}} / P \right] - \left[ \log_2(P) \right] - 1 \right)$  bits provide the resource allocation

where the value of P depends on the number of DL resource blocks as indicated in subclause [7.1.6.1] of [3]

- TPC command for PUCCH 2 bits as defined in subclause 5.1.2.1 of [3]
- Downlink Assignment Index number of bits as specified in Table 5.3.3.1.2-2.
- HARQ process number 3 bits (for cases with FDD primary cell), 4 bits (for cases with TDD primary cell)
- Antenna port(s), scrambling identity and number of layers 3 bits as specified in Table 5.3.3.1.5C-1 where *n<sub>SCID</sub>* is the scrambling identity for antenna ports 7 and 8 defined in subclause 6.10.3.1 of [2], or 4 bits as specified in Table 5.3.3.1.5C-2 where *n<sub>SCID</sub>* is the scrambling identity for antenna ports 7, 8, 11 and 13 defined in subclause 6.10.3.1 of [2] when higher layer parameter *dmrs-tableAlt* is set to 1, or 1 bit as specified in Table 5.3.3.1.5C-6 where *n<sub>SCID</sub>* is the scrambling identity for antenna ports 7 and 8 defined in subclause 6.10.3.1 of [2] when higher layer parameter *dmrs-tableAlt* is set to 1, or 1 bit as specified in Table 5.3.3.1.5C-6 where *n<sub>SCID</sub>* is the scrambling identity for antenna ports 7 and 8 defined in subclause 6.10.3.1 of [2] when higher layer parameter *dmrs-tableAlt* is set to 1, or 1 bit as specified in Table 5.3.3.1.5C-6 where *n<sub>SCID</sub>* is the scrambling identity for antenna ports 7 and 8 defined in subclause 6.10.3.1 of [2] when higher layer parameter *dmrs-tableAlt* is set to 1, or 1 bit as specified in Table 5.3.3.1.5C-6 where *n<sub>SCID</sub>* is the scrambling identity for antenna ports 7 and 8 defined in subclause 6.10.3.1 of [2] when higher layer parameter *semiOpenLoop* is configured.
- SRS request [0-1] bit. This field can only be present for TDD operation and if present is defined in subclause 8.2 of [3]

In addition, for transport block 1:

- Modulation and coding scheme 5 bits as defined in subclause 7.1.7 of [3]
- New data indicator -1 bit
- Redundancy version 2 bits

In addition, for transport block 2:

- Modulation and coding scheme 5 bits as defined in subclause 7.1.7 of [3]
- New data indicator 1 bit
- Redundancy version 2 bits
- HARQ-ACK resource offset (this field is present when this format is carried by EPDCCH. This field is not present when this format is carried by PDCCH) 2 bits as defined in subclause 10.1 of [3]. The 2 bits are set to 0 when this format is carried by EPDCCH on a secondary cell, or when this format is carried by EPDCCH on the primary cell scheduling PDSCH on a secondary cell and the UE is configured with PUCCH format 3 for HARQ-ACK feedback.
- MUST interference presence, antenna port, and modulation (this field is only present when the UE is configured for MUST operation) 2 bits when higher layer parameter *dmrs-tableAlt* is not configured or is set to 0, 4 bits when higher layer parameter *k-max* is set to 1 and *dmrs-tableAlt* =1, or 6 bits when *k-max* is set to 3 and *dmrs-tableAlt* =1.

*tableAlt* =1. For the 2 and 6 bit fields, two bits are defined for each interfering antenna port in Table 5.3.3.1.5C-3, where a single interfering antenna port is in  $\{7,8\}$  excluding the antenna port for transmission, and multiple interfering antenna ports are in  $\{7,8,11,13\}$  excluding the antenna ports for transmission. For the 6 bit field, the two or four LSB are reserved in the case of two or one interfering antenna port, respectively. Each pair of the used bits in 6 bit field from MSB to LSB is associated with one interfering antenna port in increasing order of port index. For the 4 bit field, two MSB are defined for interference presence and antenna port in Table 5.3.3.1.5C-4 where the single interfering antenna port is one of  $\{7,8,11,13\}$  excluding the antenna port for transmission, and two LSB are defined for interference modulation in 5.3.3.1.5C-5. The interfering antenna port(s) have the same scrambling identity and OCC length as indicated in the "Antenna port(s), scrambling identity and number of layers" field.

- SRS timing offset 3 bits as defined in [3]. This field is present only when the DCI format is used for scheduling PDSCH in a LAA SCell and the UE is configured with uplink transmission on the LAA SCell.
- Aperiodic zero-power CSI-RS resource indicator for PDSCH RE Mapping 2 bits as defined in subclauses 7.1.9 and 7.2.7 of [3]. This field is present only when the UE is configured with *csi-RS-ConfigZP-ApList*.

If both transport blocks are enabled; transport block 1 is mapped to codeword 0; and transport block 2 is mapped to codeword 1. When higher layer parameter *semiOpenLoop* is configured, antenna ports 7 and 8 are used for spatial multiplexing.

In case one of the transport blocks is disabled; the transport block to codeword mapping is specified according to Table 5.3.3.1.5-2. For the single enabled codeword, Value = 4, 5, 6 in Table 5.3.3.1.5C-1 or Value = 12, 13,14 in Table 5.3.3.1.5C-2 are only supported for retransmission of the corresponding transport block if that transport block has previously been transmitted using two, three or four layers, respectively. When higher layer parameter *semiOpenLoop* is configured, antenna ports 7 and 8 are used for transmit diversity.

If the number of information bits in format 2C carried by PDCCH belongs to one of the sizes in Table 5.3.3.1.2-1, one zero bit shall be appended to format 2C.

One Codeword: Codeword 0 enabled, Codeword 1 disabled			Two Codewords: Codeword 0 enabled, Codeword 1 enabled
Value	Message	Value	Message
0	1 layer, port 7, nscid=0	0	2 layers, ports 7-8, n <sub>SCID</sub> =0
1	1 layer, port 7, nscid=1	1	2 layers, ports 7-8, nscid=1
2	1 layer, port 8, nscid=0	2	3 layers, ports 7-9
3	1 layer, port 8, nscid=1	3	4 layers, ports 7-10
4	2 layers, ports 7-8	4	5 layers, ports 7-11
5	3 layers, ports 7-9	5	6 layers, ports 7-12
6	4 layers, ports 7-10	6	7 layers, ports 7-13
7	Reserved	7	8 layers, ports 7-14

#### Table 5.3.3.1.5C-1: Antenna port(s), scrambling identity and number of layers indication

One Codeword: Codeword 0 enabled,			Two Codewords: Codeword 0 enabled,
Codeword 1 disabled			Codeword 1 enabled
Value	Message	Value	Message
0	1 layer, port 7, n <sub>SCID</sub> =0 (OCC=2)	0	2 layer, port 7-8, n <sub>SCID</sub> =0 (OCC=2)
1	1 layer, port 7, nscid=1 (OCC=2)	1	2 layer, port 7-8, nscid=1 (OCC=2)
2	1 layer, port 8, n <sub>SCID</sub> =0 (OCC=2)	2	2 layer, port 7-8, n <sub>SCID</sub> =0 (OCC=4)
3	1 layer, port 8, nscid=1 (OCC=2)	3	2 layer, port 7-8, nscid=1 (OCC=4)
4	1 layer, port 7, n <sub>SCID</sub> =0 (OCC=4)	4	2 layer, port 11,13, nscid=0 (OCC=4)
5	1 layer, port 7, nscid=1 (OCC=4)	5	2 layer, port 11,13, nscid=1 (OCC=4)
6	1 layer, port 8, nscid=0 (OCC=4)	6	3 layer, port 7-9
7	1 layer, port 8, nscid=1 (OCC=4)	7	4 layer, port 7-10
8	1 layer, port 11, <i>n</i> <sub>SCID</sub> =0 (OCC=4)	8	5 layer, port 7-11
9	1 layer, port 11, <i>n</i> <sub>SCID</sub> =1 (OCC=4)	9	6 layer, port 7-12
10	1 layer, port 13, nscid=0 (OCC=4)	10	7 layers, ports 7-13
11	1 layer, port 13, nscid=1 (OCC=4)	11	8 layers, ports 7-14
12	2 layers, ports 7-8	12	Reserved
13	3 layers, ports 7-9	13	Reserved
14	4 layers, ports 7-10	14	Reserved
15	Reserved	15	Reserved

Table 5.3.3.1.5C-2: Antenna port(s), scrambling identity and number of layers indication

Table 5.3.3.1.5C-3: Content of MUST interference presence and modulation for an antenna port

Bit field	Message
00	No interference presence
01	Interference is present with QPSK
10	Interference is present with 16QAM
11	Interference is present with 64QAM or 256QAM

Bit field	Message
00	No interference presence
01	First antenna port
10	Second antenna port
11	Third antenna port

Bit field	Message
00	QPSK
01	16QAM
10	64QAM
11	256QAM

## Table 5.3.3.1.5C-6: Antenna port(s), scrambling identity and number of layers indication

One Codeword:Codeword 0 enabled, Codeword 1 disabled Or Two Codewords:Codeword 0 enabled, Codeword 1 enabled				
Value	Message			
0	2 layer, port 7-8, <i>n<sub>SC/D</sub></i> =0			
1	2 layer, port 7-8, <i>n</i> <sub>SCID</sub> =1			

## 5.3.3.1.5D Format 2D

The following information is transmitted by means of the DCI format 2D:

- Carrier indicator 0 or 3 bits. The field is present according to the definitions in [3].
- Resource allocation header (resource allocation type 0 / type 1) 1 bit as defined in subclause 7.1.6 of [3]

If downlink bandwidth is less than or equal to 10 PRBs, there is no resource allocation header and resource allocation type 0 is assumed.

- Resource block assignment:

- For resource allocation type 0 as defined in subclause 7.1.6.1 of [3]

-  $\left[N_{\text{RB}}^{\text{DL}} / P\right]$  bits provide the resource allocation

- For resource allocation type 1 as defined in subclause 7.1.6.2 of [3]
  - $|\log_2(P)|$  bits of this field are used as a header specific to this resource allocation type to indicate the selected resource blocks subset
  - 1 bit indicates a shift of the resource allocation span

-  $\left(N_{\text{RB}}^{\text{DL}} / P \right) - \left[\log_2(P)\right] - 1$  bits provide the resource allocation

where the value of P depends on the number of DL resource blocks as indicated in subclause [7.1.6.1] of [3]

- TPC command for PUCCH 2 bits as defined in subclause 5.1.2.1 of [3]
- Downlink Assignment Index number of bits as specified in Table 5.3.3.1.2-2.
- HARQ process number 3 bits (for cases with FDD primary cell), 4 bits (for cases with TDD primary cell)
- Antenna port(s), scrambling identity and number of layers 3 bits as specified in Table 5.3.3.1.5C-1 where  $n_{SCID}$  is the scrambling identity for antenna ports 7 and 8 defined in subclause 6.10.3.1 of [2], or 4 bits as specified in Table 5.3.3.1.5C-2 where  $n_{SCID}$  is the scrambling identity for antenna ports 7, 8, 11 and 13 defined in subclause 6.10.3.1 of [2] when higher layer parameter *dmrs-tableAlt* is set to 1, or 1 bit as specified in Table 5.3.3.1.5C-6 where  $n_{SCID}$  is the scrambling identity for antenna ports 7 and 8 defined in subclause 6.10.3.1 of [2] when higher layer parameter *dmrs-tableAlt* is set to 1, or 1 bit as specified in Table 5.3.3.1.5C-6 where  $n_{SCID}$  is the scrambling identity for antenna ports 7 and 8 defined in subclause 6.10.3.1 of [2] when higher layer parameter *dmrs-tableAlt* is set to 3 defined in subclause 6.10.3.1 of [2] when higher layer parameter *dmrs-tableAlt* is set to 3 defined in subclause 6.10.3.1 of [2] when higher layer parameter *dmrs-tableAlt* is set to 3 defined in subclause 6.10.3.1 of [2] when higher layer parameter *dmrs-tableAlt* is set to 3 defined in subclause 6.10.3.1 of [2] when higher layer parameter *dmrs-tableAlt* is set to 3 defined in subclause 6.10.3.1 of [2] when higher layer parameter *semiOpenLoop* is configured.
- SRS request [0-1] bit. This field can only be present for TDD operation and if present is defined in subclause 8.2 of [3]

In addition, for transport block 1:

- Modulation and coding scheme 5 bits as defined in subclause 7.1.7 of [3]
- New data indicator -1 bit
- Redundancy version 2 bits

In addition, for transport block 2:

- Modulation and coding scheme 5 bits as defined in subclause 7.1.7 of [3]
- New data indicator 1 bit
- Redundancy version 2 bits
- PDSCH RE Mapping and Quasi-Co-Location Indicator 2 bits as defined in subclauses 7.1.9 and 7.1.10 of [3]
- HARQ-ACK resource offset (this field is present when this format is carried by EPDCCH. This field is not present when this format is carried by PDCCH) 2 bits as defined in subclause 10.1 of [3]. The 2 bits are set to 0 when this format is carried by EPDCCH on a secondary cell, or when this format is carried by EPDCCH on the primary cell scheduling PDSCH on a secondary cell and the UE is configured with PUCCH format 3 for HARQ-ACK feedback.

- MUST interference presence, antenna port, and modulation (this field is only present when the UE is configured for MUST operation) 2 bits when higher layer parameter *dmrs-tableAlt* is not configured or is set to 0, 4 bits when higher layer parameter *k-max* is set to 1 and *dmrs-tableAlt* =1, 6 bits when *k-max* is set to 3 and *dmrs-tableAlt* =1. For the 2 and 6 bit fields, two bits are defined for each interfering antenna port in Table 5.3.3.1.5C-3, where a single interfering antenna port is in {7,8} excluding the antenna port for transmission, and multiple interfering antenna ports are in {7,8,11,13} excluding the antenna port, respectively. Each pair of the used bits in 6 bit field from MSB to LSB is associated with one interfering antenna port in Table 5.3.3.1.5C-4 where the single interfering antenna port is one of {7,8,11,13} excluding the antenna port in 5.3.3.1.5C-5. The interfering antenna port for transmission, and two LSB are defined for interference modulation in 5.3.3.1.5C-5. The interfering antenna port for transmission, and two LSB are defined for interference modulation in 5.3.3.1.5C-5. The interfering antenna port(s) have the same scrambling identity and OCC length as indicated in the "Antenna port(s), scrambling identity and number of layers" field.
- SRS timing offset 3 bits as defined in [3]. This field is present only when the DCI format is used for scheduling PDSCH in a LAA SCell and the UE is configured with uplink transmission on the LAA SCell.
- Aperiodic zero-power CSI-RS resource indicator for PDSCH RE Mapping 2 bits as defined in subclauses 7.1.9 and 7.2.7 of [3]. This field is present only when the UE is configured with *csi-RS-ConfigZP-ApList*.

If both transport blocks are enabled; transport block 1 is mapped to codeword 0; and transport block 2 is mapped to codeword 1. When higher layer parameter *semiOpenLoop* is configured, antenna ports 7 and 8 are used for spatial multiplexing.

In case one of the transport blocks is disabled; the transport block to codeword mapping is specified according to Table 5.3.3.1.5-2. For the single enabled codeword, Value = 4, 5, 6 in Table 5.3.3.1.5C-1 or Value = 12, 13,14 in Table 5.3.3.1.5C-2 are only supported for retransmission of the corresponding transport block if that transport block has previously been transmitted using two, three or four layers, respectively. When higher layer parameter *semiOpenLoop* is configured, antenna ports 7 and 8 are used for transmit diversity.

If the number of information bits in format 2D carried by PDCCH belongs to one of the sizes in Table 5.3.3.1.2-1, one zero bit shall be appended to format 2D.

#### 5.3.3.1.6 Format 3

DCI format 3 is used for the transmission of TPC commands for PUCCH and PUSCH with 2-bit power adjustments.

The following information is transmitted by means of the DCI format 3:

- TPC command number 1, TPC command number 2,..., TPC command number N

where  $N = \left\lfloor \frac{L_{\text{format 0}}}{2} \right\rfloor$ , and where  $L_{\text{format 0}}$  is equal to the payload size of format 0 before CRC attachment when

format 0 is mapped onto the common search space, including any padding bits appended to format 0. The parameter *tpc-Index* or *tpc-Index-PUCCH-SCell-r13* provided by higher layers determines the index to the TPC command for a given UE.

If 
$$\left\lfloor \frac{L_{\text{format 0}}}{2} \right\rfloor < \frac{L_{\text{format 0}}}{2}$$
, a bit of value zero shall be appended to format 3.

For BL/CE UE, L<sub>format 0</sub> and format 0 are replaced by L<sub>format 6-0A</sub> and format 6-0A, respectively, in the description above.

#### 5.3.3.1.7 Format 3A

DCI format 3A is used for the transmission of TPC commands for PUCCH and PUSCH with single bit power adjustments.

The following information is transmitted by means of the DCI format 3A:

- TPC command number 1, TPC command number 2,..., TPC command number M

where  $M = L_{\text{format 0}}$ , and where  $L_{\text{format 0}}$  is equal to the payload size of format 0 before CRC attachment when format 0 is mapped onto the common search space, including any padding bits appended to format 0. The parameter *tpc-Index* or *tpc-Index-PUCCH-SCell-r13* provided by higher layers determines the index to the TPC command for a given UE.

For BL/CE UE, L<sub>format 0</sub> and format 0 are replaced by L<sub>format 6-0A</sub> and format 6-0A, respectively, in the description above.

#### 5.3.3.1.7A Format 3B

DCI format 3B is used for the transmission of a group of TPC commands for SRS transmissions by one or more UEs. Along with a TPC command, a SRS request may also be transmitted.

The following information is transmitted by means of the DCI format 3B:

- block number 1, block number 2, ..., block number B

where the starting position of a block is determined by the parameter *startingBitOfFormat3B* provided by higher layers for the UE configured with the block.

If a UE has more than 5 TDD SCells configured without PUCCH and without PUSCH, one block is configured for the UE by higher layers, with the following fields defined for the block:

- SRS request 0 or 2 bits. This field is present, and if present interpreted, according to the definition in Subclause 8.2 of [3].
- TPC command number 1, TPC command number 2, ..., TPC command number n

The *n* TPC command fields correspond to a set of *n* TDD SCells without PUCCH and without PUSCH, with the set indicated by the SRS request field or determined by higher layers if there is no SRS request field present. A TPC command field has 1 bit if the parameter *fieldTypeFormat3B* provided by higher layers has a value of 1 or 3, and 2 bits if the parameter *fieldTypeFormat3B* has a value of 2 or 4.

If a UE has up to 5 TDD SCells configured without PUCCH and without PUSCH, one or more blocks each corresponding to an SCell are configured by higher layers, with the following fields defined for each block:

- SRS request 0, 1, or 2 bits, where the number of bits is determined in Subclause 8.2 of [3].
- TPC command 1 or 2 bits, where the number of bits is 1 if the parameter *fieldTypeFormat3B* provided by higher layers has a value of 1 or 3, and 2 if the parameter *fieldTypeFormat3B* has a value of 2 or 4.

The size of format 3B is equal to  $L_{\text{format 0}}$ , and where  $L_{\text{format 0}}$  is equal to the payload size of format 0 before CRC attachment when format 0 is mapped onto the common search space, including any padding bits appended to format 0.

#### 5.3.3.1.8 Format 4

DCI format 4 is used for the scheduling of PUSCH in one UL cell with multi-antenna port transmission mode,

The following information is transmitted by means of the DCI format 4:

- Carrier indicator -0 or 3 bits. The field is present according to the definitions in [3].
- Resource block assignment  $\max\left(\left\lceil \log_2(N_{RB}^{UL}(N_{RB}^{UL}+1)/2) \right\rceil, \left\lceil \log_2\left(\left(\left\lceil N_{RB}^{UL}/P+1 \right\rceil\right)\right) \right\rceil\right)$  bits, where *P* is the

UL RBG size as defined in subclause 8.1.2 of [3]

- For resource allocation type 0:
  - The  $\left( \left\lceil \log_2(N_{\text{RB}}^{\text{UL}}(N_{\text{RB}}^{\text{UL}}+1)/2) \right\rceil \right)$  LSBs provide the resource allocation in the UL subframe as defined in subclause 8.1.1 of [3]
- For resource allocation type 1:

- The  $\left[ \log_2 \left( \begin{pmatrix} N_{RB}^{UL} / P + 1 \\ 4 \end{pmatrix} \right) \right]$  LSBs provide the resource allocation in the UL subframe as defined in subclause 8.1.2 of [3].

subclause 8.1.2 of [3]

- TPC command for scheduled PUSCH 2 bits as defined in subclause 5.1.1.1 of [3]
- Cyclic shift for DM RS and OCC index and IFDMA configuration 3 bits as defined in subclause 5.5.2.1.1 of
   [2]
- UL index 2 bits as defined in subclauses 5.1.1.1, 7.2.1, 8 and 8.4 of [3] (this field is present only for TDD operation with uplink-downlink configuration 0, or TDD operation with uplink-downlink configuration 6 and special subframe configuration 10 when the higher layer parameter *symPUSCH-UpPts* is configured)
- Downlink Assignment Index (DAI) 2 bits as defined in subclause 7.3 of [3] (this field is present only for cases with TDD primary cell and either TDD operation with uplink-downlink configurations 1-6 or FDD operation)
- CSI request 1, 2, 3, 4 or 5 bits as defined in subclause 7.2.1 of [3].
  - If UEs are not configured with CSI-RS-ConfigNZPAperiodic or if UEs are configured with CSI-RS-ConfigNZPAperiodic and numberActivatedAperiodicCSI-RS-Resources=1 for each CSI process,

the 2-bit field applies to UEs configured with no more than five DL cells and to

- UEs that are configured with more than one DL cell;
- UEs that are configured by higher layers with more than one CSI process;
- UEs that are configured with two CSI measurement sets by higher layers with the parameter *csi-MeasSubframeSet*;

the 3-bit field applies to UEs that are configured with more than five DL cells;

otherwise the 1-bit field applies

If UEs are configured with CSI-RS-ConfigNZPAperiodic and numberActivatedAperiodicCSI-RS-Resources>1 for at least one CSI process,

the 4-bit field applies to UEs configured with no more than five DL cells and to

- UEs that are configured with more than one DL cell;
- UEs that are configured by higher layers with more than one CSI process;
- UEs that are configured with two CSI measurement sets by higher layers with the parameter *csi-MeasSubframeSet*;

the 5-bit field applies to UEs that are configured with more than five DL cells;

otherwise the 3-bit field applies.

- SRS request 2 bits as defined in subclause 8.2 of [3]
- Resource allocation type 1 bit as defined in subclause 8.1 of [3]
- Cyclic Shift Field mapping table for DMRS 1 bit as defined in subclause 5.5.2.1.1 of [2]. The 1-bit field applies to UEs that are configured with higher layer parameter *UL-DMRS-IFDMA*.

In addition, for transport block 1:

- Modulation and coding scheme and redundancy version 5 bits as defined in subclause 8.6 of [3]
- New data indicator 1 bit

In addition, for transport block 2:

- Modulation and coding scheme and redundancy version – 5 bits as defined in subclause 8.6 of [3]

- New data indicator – 1 bit

Precoding information and number of layers: number of bits as specified in Table 5.3.3.1.8-1. Bit field as shown in Table 5.3.3.1.8-2 and Table 5.3.3.1.8-3. Note that TPMI for 2 antenna ports indicates which codebook index is to be used in Table 5.3.3.1.8-1 of [2], and TPMI for 4 antenna ports indicates which codebook index is to be used in Table 5.3.3.1.2-2, Table 5.3.3.4.2-3, Table 5.3.3.4.2-4 and Table 5.3.3.4.2-5 of [2]. If both transport blocks are enabled, transport block 1 is mapped to codeword 0; and transport block 2 is mapped to codeword 1. In case one of the transport blocks is disabled, the transport block to codeword mapping is specified according to Table 5.3.3.1.5-2. For a single enabled codeword, indices 24 to 39 in Table 5.3.3.1.8-3 are only supported for retransmission of the corresponding transport block if that transport block has previously been transmitted using two layers.

#### Table 5.3.3.1.8-1: Number of bits for precoding information

Number of antenna ports at UE	Number of bits for precoding information
2	3
4	6

One codeword: Codeword 0 enabled Codeword 1 disabled		Two codewords: Codeword 0 enabled Codeword 1 enabled	
Bit field mapped to index	Message	Bit field mapped to index	Message
0	1 layer: TPMI=0	0	2 layers: TPMI=0
1	1 layer: TPMI=1	1-7	reserved
2	1 layer: TPMI=2		
5	1 layer: TPMI=5		
6-7	reserved		

One codeword: Codeword 0 enabled Codeword 1 disabled		Two codewords: Codeword 0 enabled Codeword 1 enabled	
Bit field mapped to index	Message	Bit field mapped to index	Message
0	1 layer: TPMI=0	0	2 layers: TPMI=0
1	1 layer: TPMI=1	1	2 layers: TPMI=1
23	1 layer: TPMI=23	15	2 layers: TPMI=15
24	2 layers: TPMI=0	16	3 layers: TPMI=0
25	2 layers: TPMI=1	17	3 layers: TPMI=1
39	2 layers: TPMI=15	27	3 layers: TPMI=11
40-63	reserved	28	4 layers: TPMI=0
		29 - 63	Reserved

If the number of information bits in format 4 is equal to the payload size for DCI format 1, 2, 2A, 2B, 2C or 2D associated with the configured DL transmission mode in the same serving cell, one zero bit shall be appended to format 4.

## 5.3.3.1.8A Format 4A

DCI format 4 is used for the scheduling of PUSCH in a LAA SCell with multi-antenna port transmission mode.

The following information is transmitted by means of the DCI format 4A:

- Carrier indicator – 0 or 3 bits. The field is present according to the definitions in [3].

- PUSCH trigger A 1 bit, where value 0 indicates non-triggered scheduling and value 1 indicates triggered scheduling as defined in subclause 8.0 of [3].
- Timing offset 4 bits as defined in subclause 8.0 of [3].
  - When the PUSCH trigger A is set to 0,
    - The field indicates the absolute timing offset for the PUSCH transmission.
  - Otherwise,
    - The first two bits of the field indicate the timing offset, relative to the UL offset *l* as defined in clause 13A of [3], for the PUSCH transmission.
    - The last two bits of the field indicate the time window within which the scheduling of PUSCH via triggered scheduling is valid.
- Resource block assignment 5 or 6 bits provide the resource allocation in the UL subframe as defined in subclause 8.1.4 of [3].
- HARQ process number 4 bits.
- Redundancy version 2 bits as defined in subclause 8.6.1 of [3] which is common for both transport blocks.
- TPC command for scheduled PUSCH 2 bits as defined in subclause 5.1.1.1 of [3]
- Cyclic shift for DM RS and OCC index 3 bits as defined in subclause 5.5.2.1.1 of [2]
- CSI request 1, 2 or 3 bits as defined in subclause 7.2.1 of [3]. The 2-bit field applies to UEs configured with no more than five DL cells and to
  - UEs that are configured with more than one DL cell;
  - UEs that are configured by higher layers with more than one CSI process;
  - UEs that are configured with two CSI measurement sets by higher layers with the parameter *csi-MeasSubframeSet*;

the 3-bit field applies to UEs that are configured with more than five DL cells;

otherwise the 1-bit field applies.

- SRS request 2 bits as defined in subclause 8.2 of [3].
- PUSCH starting position 2 bits as specified in Table 5.3.3.1.1A-1.
- PUSCH ending symbol 1 bit, where value 0 indicates the last symbol of the subframe and value 1 indicates the second to last symbol of the subframe.
- Channel Access type 1 bit as defined in subclause 15.2 of [3].
- Channel Access Priority Class 2 bits as defined in subclause 15.2 of [3].

In addition, for transport block 1:

- Modulation and coding scheme and redundancy version 5 bits as defined in subclause 8.6 of [3].
- New data indicator 1 bit.

In addition, for transport block 2:

- Modulation and coding scheme and redundancy version 5 bits as defined in subclause 8.6 of [3].
- New data indicator 1 bit.

Precoding information and number of layers: number of bits as specified in Table 5.3.3.1.8-1. Bit field as shown in Table 5.3.3.1.8-2 and Table 5.3.3.1.8-3. Note that TPMI for 2 antenna ports indicates which codebook index is to be used in Table 5.3.3A.2-1 of [2], and TPMI for 4 antenna ports indicates which codebook index is to be used in Table

5.3.3A.2-2, Table 5.3.3A.2-3, Table 5.3.3A.2-4 and Table 5.3.3A.2-5 of [2]. If both transport blocks are enabled, transport block 1 is mapped to codeword 0; and transport block 2 is mapped to codeword 1. In case one of the transport blocks is disabled, the transport block to codeword mapping is specified according to Table 5.3.3.1.5-2. For a single enabled codeword, indices 24 to 39 in Table 5.3.3.1.8-3 are only supported for retransmission of the corresponding transport block if that transport block has previously been transmitted using two layers.

If the number of information bits in format 4A is equal to the payload size for DCI format 1, 2, 2A, 2B, 2C or 2D associated with the configured DL transmission mode in the same serving cell, one zero bit shall be appended to format 4A.

#### 5.3.3.1.8B Format 4B

DCI format 4B is used for the scheduling of PUSCH with multi-antenna port transmission mode in each of multiple subframes in a LAA SCell.

The following information is transmitted by means of the DCI format 4B:

- Carrier indicator -0 or 3 bits. The field is present according to the definitions in [3].
- PUSCH trigger A 1 bit, where value 0 indicates non-triggered scheduling and value 1 indicates triggered scheduling as defined in subclause 8.0 of [3].
- Timing offset 4 bits as defined in subclause 8.0 of [3].
  - When the PUSCH trigger A is set to 0,
    - The field indicates the absolute timing offset for the PUSCH transmission.
  - Otherwise,
    - The first two bits of the field indicate the timing offset, relative to the UL offset *l* as defined in clause 13A of [3], for the PUSCH transmission.
    - The last two bits of the field indicate the time window within which the scheduling of PUSCH via triggered scheduling is valid.
- Number of scheduled subframes 1 or 2 bits. The 1-bit field applies when *maxNumberOfSchedSubframes*-*Format4B-r14* is configured by higher layers to two, otherwise the 2-bit field applies.
- Resource block assignment 5 or 6 bits provide the resource allocation in the UL subframe as defined in subclause 8.1.4 of [3].
- HARQ process number 4 bits. The 4-bit applies to the first scheduled subframe, and the HARQ process numbers for other scheduled subframes are defined in subclause 8.0 of [3].
- Redundancy version *maxNumberOfSchedSubframes-Format4B-r14* bits. Each scheduled PUSCH corresponds to 1 bit as defined in subclause 8.6.1 of [3]. Redundancy version is common for both transport blocks.
- TPC command for scheduled PUSCH 2 bits as defined in subclause 5.1.1.1 of [3].
- Cyclic shift for DM RS and OCC index 3 bits as defined in subclause 5.5.2.1.1 of [2].
- CSI request 1, 2 or 3 bits as defined in subclause 7.2.1 of [3]. The 2-bit field applies to UEs configured with no more than five DL cells and to
  - UEs that are configured with more than one DL cell;
  - UEs that are configured by higher layers with more than one CSI process;
  - UEs that are configured with two CSI measurement sets by higher layers with the parameter *csi-MeasSubframeSet*;

the 3-bit field applies to UEs that are configured with more than five DL cells;

otherwise the 1-bit field applies.

- SRS request – 2 bits as defined in subclause 8.2 of [3].

- PUSCH starting position 2 bits as specified in Table 5.3.3.1.1A-1 applicable to only the first scheduled subframe.
- PUSCH ending symbol 1 bit, where value 0 indicates the last symbol of the last scheduled subframe and value 1 indicates the second to last symbol of the last scheduled subframe.
- Channel Access type 1 bit as defined in subclause 15.2 of [3].
- Channel Access Priority Class 2 bits as defined in subclause 15.2 of [3].

In addition, for transport block 1:

- Modulation and coding scheme and redundancy version 5 bits as defined in subclause 8.6 of [3].
- New data indicator *maxNumberOfSchedSubframes-Format4B-r14* bits. Each scheduled PUSCH corresponds to 1 bit.

In addition, for transport block 2:

- Modulation and coding scheme and redundancy version 5 bits as defined in subclause 8.6 of [3].
- New data indicator *maxNumberOfSchedSubframes-Format4B-r14* bits. Each scheduled PUSCH corresponds to 1 bit.

Precoding information and number of layers: number of bits as specified in Table 5.3.3.1.8-1. Bit field as shown in Table 5.3.3.1.8-2 and Table 5.3.3.1.8-3. Note that TPMI for 2 antenna ports indicates which codebook index is to be used in Table 5.3.3A.2-1 of [2], and TPMI for 4 antenna ports indicates which codebook index is to be used in Table 5.3.3A.2-2, Table 5.3.3A.2-3, Table 5.3.3A.2-4 and Table 5.3.3A.2-5 of [2]. If both transport blocks are enabled, transport block 1 is mapped to codeword 0; and transport block 2 is mapped to codeword 1. In case one of the transport blocks is disabled, the transport block to codeword mapping is specified according to Table 5.3.3.1.5-2. For a single enabled codeword, indices 24 to 39 in Table 5.3.3.1.8-3 are only supported for retransmission of the corresponding transport block if that transport block has previously been transmitted using two layers.

If the number of information bits in format 4B is equal to the payload size for DCI format 1, 2, 2A, 2B, 2C or 2D associated with the configured DL transmission mode in the same serving cell, one zero bit shall be appended to format 4B.

#### 5.3.3.1.9 Format 5

DCI format 5 is used for the scheduling of PSCCH, and also contains several SCI format 0 fields used for the scheduling of PSSCH.

The following information is transmitted by means of the DCI format 5:

- Resource for PSCCH 6 bits as defined in subclause 14.2.1 of [3]
- -TPC command for PSCCH and PSSCH 1 bit as defined in subclauses 14.2.1 and 14.1.1 of [3]
- SCI format 0 fields according to 5.4.3.1.1:
  - Frequency hopping flag
  - Resource block assignment and hopping resource allocation
  - Time resource pattern

If the number of information bits in format 5 mapped onto a given search space is less than the payload size of format 0 for scheduling the same serving cell, zeros shall be appended to format 5 until the payload size equals that of format 0 including any padding bits appended to format 0.

#### 5.3.3.1.9A Format 5A

DCI format 5A is used for the scheduling of PSCCH, and also contains several SCI format 1 fields used for the scheduling of PSSCH.

The following information is transmitted by means of the DCI format 5A:

- Carrier indicator -3 bits. This field is present according to the definitions in [3].
- Lowest index of the subchannel allocation to the initial transmission  $\left|\log_2(N_{\text{subchannel}}^{\text{SL}})\right|$  bits as defined in subclause 14.1.1.4C of [3].
- SCI format 1 fields according to 5.4.3.1.2:
  - Frequency resource location of initial transmission and retransmission.
  - Time gap between initial transmission and retransmission.
- SL index 2 bits as defined in subclause 14.2.1 of [3] (this field is present only for cases with TDD operation with uplink-downlink configuration 0-6).

When the format 5A CRC is scrambled with SL-SPS-V-RNTI, the following fields are present:

- SL SPS configuration index 3 bits as defined in subclause 14.2.1 of [3].
- Activation/release indication 1 bit as defined in subclause 14.2.1 of [3].

If the number of information bits in format 5A mapped onto a given search space is less than the payload size of format 0 mapped onto the same search space, zeros shall be appended to format 5A until the payload size equals that of format 0 including any padding bits appended to format 0.

If the format 5A CRC is scrambled by SL-V-RNTI and if the number of information bits in format 5A mapped onto a given search space is less than the payload size of format 5A with CRC scrambled by SL-SPS-V-RNTI mapped onto the same search space and format 0 is not defined on the same search space, zeros shall be appended to format 5A until the payload size equals that of format 5A with CRC scrambled by SL-SPS-V-RNTI.

#### 5.3.3.1.10 Format 6-0A

DCI format 6-0A is used for the scheduling of PUSCH in one UL cell.

The following information is transmitted by means of the DCI format 6-0A:

- Flag format 6-0A/format 6-1A differentiation 1 bit, where value 0 indicates format 6-0A and value 1 indicates format 6-1A
- Frequency hopping flag 1 bit, where value 0 indicates frequency hopping is not enabled and value 1 indicates frequency hopping is enabled as defined in subclause 5.3.4 of [2]
- Resource block assignment  $\log_2 \left[ \frac{N_{\text{RB}}^{\text{UL}}}{6} \right]$  +5 bits for PUSCH as defined in [3]:
  - If the 5 LSB bits indicate a value not larger than 20

$$- \log_2 \left[ \frac{N_{\text{RB}}^{\text{UL}}}{6} \right] \text{ MSB bits provide the narrowband index as defined in subclause 5.2.4 of [2]}$$

- 5 bits provide the resource allocation using UL resource allocation type 0 within the indicated narrowband
- Otherwise,

$$-\left[\log_2\left\lfloor\frac{N_{\text{RB}}^{\text{UL}}}{6}\right\rfloor\right] + 5 \text{ bits provide the resource allocation using UL resource allocation type 4 as defined in}$$
[3]

- Modulation and coding scheme 4 bits as defined in subclause 8.6 of [3]
- Repetition number 2 or 3 bits as defined in subclause 8.0 of [3]. The 3-bit field applies when *ce-pdsch-puschEnhancement-config* is configured by higher layers, otherwise the 2-bit field applies.

- HARQ process number 3 bits
- New data indicator 1 bit
- Redundancy version 2 bits
- TPC command for scheduled PUSCH 2 bits as defined in subclause 5.1.1.1 of [3]
- UL index 2 bits as defined in subclauses 5.1.1.1, 7.2.1, 8 and 8.4 of [3] (this field is present only for TDD operation with uplink-downlink configuration 0)
- Downlink Assignment Index (DAI) 2 bits as defined in subclause 7.3 of [3] (This field is present only for cases with TDD primary cell and either TDD operation with uplink-downlink configurations 1-6 or FDD operation. This field is reserved when the configured maximum repetition number is larger than 1 for MPDCCH, or when the higher layer parameter *csi-NumRepetitionCE-r13* indicates more than one subframe)
- CSI request 1 bit as defined in subclause 7.2.1 of [3]
- SRS request -1 bit. The interpretation of this field is provided in subclause 8.2 of [3]
- DCI subframe repetition number 2 bits as defined in subclause 9.1.5 of [3]
- Modulation order override 1 bit as defined in subclause 8.6.1 of [3]. This field is only present when *ce-pdsch-puschEnhancement-config* is configured by higher layers

If the number of information bits in format 6-0A mapped onto a given search space is less than the payload size of format 6-1A for scheduling the same serving cell and mapped onto the same search space (including any padding bits appended to format 6-1A), zeros shall be appended to format 6-0A until the payload size equals that of format 6-1A.

#### 5.3.3.1.11 Format 6-0B

DCI format 6-0B is used for the scheduling of PUSCH in one UL cell.

The following information is transmitted by means of the DCI format 6-0B:

- Flag for format 6-0B/format 6-1B differentiation 1 bit, where value 0 indicates format 6-0B and value 1 indicates format 6-1B
- Resource block assignment  $\left[\log_2 \left[\frac{N_{\text{RB}}^{\text{UL}}}{6}\right]\right]$  +3 bits for PUSCH as defined in [3]:
  - $\left|\log_2 \left| \frac{N_{\text{RB}}^{\text{UL}}}{6} \right| \right|$  MSB bits provide the narrowband index as defined in subclause 5.2.4 of [2]
  - 3 bits provide the resource allocation within the indicated narrowband as specified in subclause 8.1.3 of [3]
- Modulation and coding scheme 4 bits as defined in subclause 8.6 of [3]
- Repetition number 3 bits as defined in subclause 8.0 of [3]
- HARQ process number 1 bit
- New data indicator 1 bit
- DCI subframe repetition number 2 bits as defined in subclause 9.1.5 of [3]

If the number of information bits in format 6-0B mapped onto a given search space is less than the payload size of format 6-1B for scheduling the same serving cell and mapped onto the same search space (including any padding bits appended to format 6-1B), zeros shall be appended to format 6-0B until the payload size equals that of format 6-1B.

#### 5.3.3.1.12 Format 6-1A

DCI format 6-1A is used for the compact scheduling of one PDSCH codeword in one cell, random access procedure initiated by a PDCCH order, and notifying SC-MCCH change. The DCI corresponding to a PDCCH order can be carried by MPDCCH.

The following information is transmitted by means of the DCI format 6-1A:

Flag format 6-0A/format 6-1A differentiation – 1 bit, where value 0 indicates format 6-0A and value 1 indicates format 6-1A

Format 6-1A is used for random access procedure initiated by a PDCCH order only if format 6-1A CRC is scrambled with C-RNTI and all the remaining fields are set as follows:

- Resource block assignment - 
$$\left[\log_2 \left[\frac{N_{\text{RB}}^{\text{DL}}}{6}\right]\right]$$
 +5 bits, where all bits shall be set to 1

- Preamble Index 6 bits
- PRACH Mask Index 4 bits, [5]
- Starting CE level 2 bits provide the PRACH starting CE level as defined in [5]
- All the remaining bits in format 6-1A for compact scheduling assignment of one PDSCH codeword are set to zero

Otherwise,

- Frequency hopping flag 1 bit, where value 0 indicates frequency hopping is not enabled and value 1 indicates frequency hopping is enabled as defined in subclause 6.4.1 of [2]
- Resource block assignment flag 1 bit. This field is only present when the higher layer parameter *ce-pdsch-maxBandwidth-config* is configured and set to 20 MHz and the DCI is mapped onto the UE-specific search space given by the C-RNTI as defined in [3].
- Resource block assignment -
  - If *ce-pdsch-maxBandwidth-config* is set to 5 MHz or *mpdcch-PDSCH-MaxBandwidth-SC-MTCH* is set to 24 PRBs or the resource block assignment flag is set to 1 and the DCI is mapped onto the UE-specific search space given by the C-RNTI as defined in [3],

- If 
$$N_{RB}^{DL} > 25$$
,  $\left[ \log_2 \left[ \frac{N_{RB}^{DL}}{6} \right] \right] + 8$  bits for PDSCH as defined in [3]:

- 
$$\left[\log_2 \left[\frac{N_{\text{RB}}^{\text{DL}}}{6}\right]\right]$$
 MSB bits provide the starting narrowband index as defined in subclause 6.2.7 of [2]

- 3 bit bitmap provides additional allocated narrowbands among the three narrowbands following the starting narrowband. The order of the bitmap to narrowband index mapping is such that the first narrowband after the starting narrowband to the third narrowband after the starting narrowband are mapped to MSB to LSB of the bitmap.
- 5 bits provide the same resource allocation using DL resource allocation type 2 within each of the allocated narrowbands.

- Else if 
$$6 < N_{RB}^{DL} \le 25$$
,  $\left\lfloor \frac{N_{RB}^{DL}}{6} \right\rfloor + 5$  bits for PDSCH as defined below:

-  $\left[\frac{N_{\text{RB}}^{\text{DL}}}{6}\right]$  MSB bits provide a bitmap of allocated narrowbands. The order of the bitmap to

narrowband index mapping is such that narrowband index 0 to  $\left\lfloor \frac{N_{\text{RB}}^{\text{DL}}}{6} \right\rfloor - 1$  are mapped to MSB to

LSB of the bitmap.

- 5 bits provide the same resource allocation using DL resource allocation type 2 within each of the allocated narrowbands
- Otherwise, 5 bits for PDSCH as defined below:
  - 5 bits provide resource allocation using DL resource allocation type 2
- Else if the resource block assignment flag is set to 0:

$$\left[\frac{N_{\text{RB}}^{\text{DL}}}{S}\right]$$
 bits provide the RBG bitmap as defined in subclause 7.1.6.1 of [3], where  $S = 9$  if  
 $N_{\text{RB}}^{\text{DL}} > 75$  and  $S = 6$  otherwise

- Reserved information bits are added until the size is equal to the size of the resource block assignment with resource block assignment flag is set to 1

- Otherwise, 
$$\left[\log_2 \left\lfloor \frac{N_{\text{RB}}^{\text{DL}}}{6} \right\rfloor\right] + 5$$
 bits for PDSCH as defined in [3]:  
-  $\left[\log_2 \left\lfloor \frac{N_{\text{RB}}^{\text{DL}}}{6} \right\rfloor\right]$  MSB bits provide the narrowband index as defined in subclause 6.2.7 of [2]

- 5 bits provide the resource allocation using DL resource allocation type 2 within the indicated narrowband
- Modulation and coding scheme 4 bits as defined in subclause 7.1.7 of [3]
- Repetition number 2 bits as defined in subclause 7.1.11 of [3]
- HARQ process number 3 bits (for cases with FDD primary cell), 4 bits (for cases with TDD primary cell, or for cases with FDD primary cell when *ce-pdsch-tenProcesses-config* is configured by higher layers and the DCI is mapped onto the UE-specific search space given by the C-RNTI as defined in [3]). This field is not present when the format 6-1A CRC is scrambled with G-RNTI.
- New data indicator 1 bit. This field is not present when the format 6-1A CRC is scrambled with G-RNTI.
- Redundancy version 2 bits
- TPC command for PUCCH 2 bits as defined in subclause 5.1.2.1 of [3]. This field is not present when the format 6-1A CRC is scrambled with G-RNTI.
  - If the format 6-1A CRC is scrambled by RA-RNTI:
    - The most significant bit of the TPC command is reserved.
    - The least significant bit of the TPC command indicates column  $N_{\text{PRB}}^{1\text{A}}$  of the TBS table defined of [3].
    - If least significant bit is 0 then  $N_{\text{PRB}}^{1\text{A}} = 2$  else  $N_{\text{PRB}}^{1\text{A}} = 3$ .
  - Else

- The two bits including the most significant bit indicate the TPC command
- Downlink Assignment Index number of bits as specified in Table 5.3.3.1.2-2. This field is reserved when the configured maximum repetition number is larger than 1 for MPDCCH, and not present when the format 6-1A CRC is scrambled with G-RNTI, or when the higher layer parameter *csi-NumRepetitionCE-r13* indicates more than one subframe.
- Antenna port(s) and scrambling identity 2 bits indicating the values 0 to 3, as specified in Table 5.3.3.1.5C-1. This field is present only if PDSCH transmission is configured with TM9 for DCI formats scheduling PDSCH which are mapped onto the UE specific search space given by the C-RNTI as defined in [3].
- SRS request –1 bit. The interpretation of this field is provided in subclause 8.2 of [3]
- TPMI information for precoding number of bits as specified in Table 5.3.3.1.3A-1.
  - TPMI information indicates which codebook index is used in Table 6.3.4.2.3-1 or Table 6.3.4.2.3-2 of [2] corresponding to the single-layer transmission. This field is present only if PDSCH transmission is configured with TM6 for DCI formats scheduling PDSCH which are mapped onto the UE specific search space given by the C-RNTI as defined in [3].
- PMI confirmation for precoding 1 bit as specified in Table 5.3.3.1.3A-2. This field is present only if PDSCH transmission is configured with TM6 for DCI formats scheduling PDSCH which are mapped onto the UE specific search space given by the C-RNTI as defined in [3].
- HARQ-ACK resource offset 0 or 2 bits as defined in subclause 10.1 of [3] (this field is 0 bits if Information for SC-MCCH change notification is present)
- Information for SC-MCCH change notification 2 bits as defined in subclause 5.8a of [6] (this field is present if the format 6-1A CRC is scrambled with G-RNTI)
- DCI subframe repetition number 0 or 2 bits as defined in subclause 9.1.5 of [3] (this field is 0 bits if Transport blocks in a bundle is present)
- Transport blocks in a bundle 0 or 2 bits as defined in subclause 7.3.1 of [3] (this field is 2 bits when DCI Field "HARQ-ACK bundling flag" is set to 1, and the DCI is mapped onto the UE-specific search space given by the C-RNTI as defined in [3]. Otherwise this field is 0 bits)
- HARQ-ACK bundling flag 1 bit, where value 0 indicates HARQ-ACK bundling is not enabled and value 1 indicates HARQ-ACK bundling is enabled as defined in subclause 7.3 of [3]. This field is only present when the higher layer parameter *ce-HarqAckBundling-config* is configured and the DCI is mapped onto the UE-specific search space given by the C-RNTI as defined in [3].
- HARQ-ACK delay 3 bits as defined in 7.3 of [3]. This field is only present when the higher layer parameter *ceschedulingEnhancement-config* or *ce-HarqAckBundling-config* is configured and the DCI is mapped onto the UE-specific search space given by the C-RNTI as defined in [3].

When the format 6-1A CRC is scrambled with a RA-RNTI, then the following fields among the fields above are reserved:

- HARQ process number
- New data indicator
- Downlink Assignment Index
- HARQ-ACK resource offset

If the UE is not configured to decode MPDCCH with CRC scrambled by the C-RNTI and the format 6-1A CRC is not scrambled with a G-RNTI, and the number of information bits in format 6-1A is less than that of format 6-0A, zeros shall be appended to format 6-1A until the payload size equals that of format 6-0A.

If the UE is configured to decode MPDCCH with CRC scrambled by the C-RNTI and the format 6-1A CRC is not scrambled with a G-RNTI, and the number of information bits in format 6-1A mapped onto a given search space is less than that of format 6-0A for scheduling the same serving cell and mapped onto the same search space, zeros shall be appended to format 6-1A until the payload size equals that of format 6-0A.

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### 5.3.3.1.13 Format 6-1B

DCI format 6-1B is used for the scheduling of one PDSCH codeword in one cell and notifying SC-MCCH change.

The following information is transmitted by means of the DCI format 6-1B:

- Flag for format 6-0B/format 6-1B differentiation 1 bit, where value 0 indicates format 6-0B and value 1 indicates format 6-1B
- Format 6-1B is used for random access procedure initiated by a PDCCH order only if format 6-1B CRC is scrambled with C-RNTI and all the remaining fields are set as follows:

- Reserved bits 
$$-\left[\log_2\left(\frac{N_{\text{RB}}^{\text{DL}}}{6}\right]\right] + 2$$
 bits, where all bits shall be set to 1

- Preamble Index 6 bits
- PRACH Mask Index 4 bits [5]
- Starting CE level 2 bits provide the PRACH starting CE level as defined in [5]
- All the remaining bits in format 6-1B for compact scheduling assignment of one PDSCH codeword are set to zero

Otherwise,

- Modulation and coding scheme 4 bits as defined in subclause 7.1.7 of [3]
- Resource block assignment
  - If *ce-pdsch-maxBandwidth-config* is set to 5 MHz or *mpdcch-PDSCH-MaxBandwidth-SC-MTCH* is set to 24 PRBs,

- If 
$$N_{RB}^{DL} > 25$$
,  $\left[ \log_2 \left[ \frac{N_{RB}^{DL}}{24} \right] \right] + 4$  bits for PDSCH as defined in [3]:  
-  $\left[ \log_2 \left[ \frac{N_{RB}^{DL}}{24} \right] \right]$  MSB bits provide the wideband index as defined in subclause 6.2.7 of [2]

- 4 bits provide a narrowband bitmap for resource allocation within the indicated wideband
- Otherwise,  $\left\lfloor \frac{N_{\text{RB}}^{\text{DL}}}{6} \right\rfloor$  bits for PDSCH as defined below:
  - if  $\left[\frac{N_{\text{RB}}^{\text{DL}}}{6}\right] = 1, 1$  bit provides the resource allocation within the narrowband, where value 0 indicates
    - RBs with PRB index {0, 1, 2, 3} and value 1 indicates that all 6 PRBs are used.
  - Otherwise,  $\left\lfloor \frac{N_{\text{RB}}^{\text{DL}}}{6} \right\rfloor$  bits provide allocated narrowbands
- Else if ce-pdsch-maxBandwidth-config is set to 20 MHz,

- If 
$$N_{RB}^{DL} \ge 50$$
,  $\left[ \log_2 \left[ \frac{N_{RB}^{DL}}{24} \right] \right] + 5$  bits for PDSCH as defined in [3]:

- $\log_2 \left[ \frac{N_{\text{RB}}^{\text{DL}}}{24} \right] + 1$  bits provide a wideband combination index as defined in subclause 7.1.6 of [3]
- 4 bits provide a narrowband bitmap for resource allocation within each indicated wideband

- Otherwise, 
$$\left\lfloor \frac{N_{\text{RB}}^{\text{DL}}}{6} \right\rfloor$$
 bits for PDSCH as defined in [3]:

- If  $\left\lfloor \frac{N_{\text{RB}}^{\text{DL}}}{6} \right\rfloor = 1$ , 1 bit provides the resource allocation within the narrowband, where value 0 indicates

RBs with PRB index {0, 1, 2, 3} and value 1 indicates that all 6 PRBs are used.

- Otherwise, 
$$\left\lfloor \frac{N_{\text{RB}}^{\text{DL}}}{6} \right\rfloor$$
 bits provide a narrowband bitmap for resource allocation

- Otherwise,  $\left[\log_2 \left[\frac{N_{\text{RB}}^{\text{DL}}}{6}\right]\right]$  +1 bits for PDSCH as defined in [3]:
  - $\left| \log_2 \left[ \frac{N_{\text{RB}}^{\text{DL}}}{6} \right] \right|$  MSB bits provide the narrowband index as defined in subclause 6.2.7 of [2]
  - 1 bit provides the resource allocation within the indicated narrowband, where value 0 indicates RBs with PRB index {0, 1, 2, 3} and value 1 indicates that all 6 PRBs are used.
- Repetition number 3 bits as defined in subclause 7.1.11 of [3]
- HARQ process number 1 bit. This field is not present when the format 6-1B CRC is scrambled with G-RNTI.
- New data indicator 1 bit. This field is not present when the format 6-1B CRC is scrambled with G-RNTI.
- HARQ-ACK resource offset 0 or 2 bits as defined in subclause 10.1 of [3] (this field is 0 bits if Information for SC-MCCH change notification is present)
- Information for SC-MCCH change notification 2 bits as defined in subclause 5.8a of [6] (this field is present if the format 6-1B CRC is scrambled with G-RNTI)
- DCI subframe repetition number -2 bits as defined in subclause 9.1.5 of [3]

When the format 6-1B CRC is scrambled with a RA-RNTI then the following fields among the fields above are reserved:

- HARQ process number
- New data indicator
- HARQ-ACK resource offset

If the UE is not configured to decode MPDCCH with CRC scrambled by the C-RNTI and the format 6-1B CRC is not scrambled with a G-RNTI, and the number of information bits in format 6-1B is less than that of format 6-0B, zeros shall be appended to format 6-1B until the payload size equals that of format 6-0B.

If the UE is configured to decode MPDCCH with CRC scrambled by the C-RNTI and the format 6-1B CRC is not scrambled with a G-RNTI, and the number of information bits in format 6-1B mapped onto a given search space is less than that of format 6-0B for scheduling the same serving cell and mapped onto the same search space, zeros shall be appended to format 6-1B until the payload size equals that of format 6-0B.

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### 5.3.3.1.14 Format 6-2

DCI format 6-2 is used for paging, direct indication, scheduling of one PDSCH codeword carrying SC-MCCH in one cell, and notifying SC-MCCH change.

The following information is transmitted by means of the DCI format 6-2:

- If the format 6-2 CRC is scrambled by P-RNTI:
  - Flag for paging/direct indication differentiation 1 bit, with value 0 for direct indication and value 1 for paging
- Else if the format 6-2 CRC is scrambled by a SC-RNTI:
  - Information for SC-MCCH change notification 1 bit as defined in subclause 5.8a of [6]
- If the format 6-2 CRC is scrambled by P-RNTI and Flag=0:
  - Direct Indication information 8 bits provide direct indication of system information update and other fields, as defined in [6]
  - Reserved information bits are added until the size is equal to that of format 6-2 with Flag=1
- If the format 6-2 CRC is scrambled by P-RNTI and Flag=1, or if the format 6-2 CRC is scrambled by SC-RNTI:
  - Resource block assignment  $\left| \log_2 \left[ \frac{N_{\text{RB}}^{\text{DL}}}{6} \right] \right|$  bits for the narrowband index as defined in subclause 7.1.6 of

[3]

- Modulation and coding scheme 3 bits as defined in subclause 7.1.7 of [3]
- Repetition number 3 bits as defined in subclause 7.1.11 of [3]
- DCI subframe repetition number 2 bits as defined in subclause 9.1.5 of [3]

### 5.3.3.2 CRC attachment

Error detection is provided on DCI transmissions through a Cyclic Redundancy Check (CRC).

The entire payload is used to calculate the CRC parity bits. Denote the bits of the payload by  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , and the parity bits by  $p_0, p_1, p_2, p_3, ..., p_{L-1}$ . A is the payload size and L is the number of parity bits.

The parity bits are computed and attached according to subclause 5.1.1 setting *L* to 16 bits, resulting in the sequence  $b_0, b_1, b_2, b_3, \dots, b_{B-1}$ , where B = A + L.

In the case where closed-loop UE transmit antenna selection is not configured or applicable, after attachment, the CRC parity bits are scrambled with the corresponding RNTI  $x_{mti,0}, x_{mti,1}, ..., x_{mti,15}$ , where  $x_{mti,0}$  corresponds to the MSB of the RNTI, to form the sequence of bits  $c_0, c_1, c_2, c_3, ..., c_{B-1}$ . The relation between  $c_k$  and  $b_k$  is:

$$c_k = b_k$$
 for  $k = 0, 1, 2, ..., A-1$ 

 $c_k = (b_k + x_{mti,k-A}) \mod 2$  for k = A, A+1, A+2, ..., A+15.

In the case where closed-loop UE transmit antenna selection is configured and applicable, after attachment, the CRC parity bits with DCI format 0 or DCI format 6-0A are scrambled with the antenna selection mask  $x_{AS,0}, x_{AS,1}, ..., x_{AS,15}$  as indicated in Table 5.3.3.2-1 and the corresponding RNTI  $x_{mti,0}, x_{mti,1}, ..., x_{mti,15}$  to form the sequence of bits  $c_0, c_1, c_2, c_3, ..., c_{B-1}$ . The relation between  $c_k$  and  $b_k$  is:

$$c_k = b_k$$
 for  $k = 0, 1, 2, ..., A-1$ 

 $c_k = (b_k + x_{mti,k-A} + x_{AS,k-A}) \mod 2$  for  $k = A, A+1, A+2, \dots, A+15$ .

UE transmit antenna selection	Antenna selection mask
	$< x_{AS,0}, x_{AS,1},, x_{AS,15} >$
UE port 0	<0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0
UE port 1	<0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0

Table 5.3.3.2-1: UE transmit antenna selection mask

### 5.3.3.3 Channel coding

Information bits are delivered to the channel coding block. They are denoted by  $c_0, c_1, c_2, c_3, ..., c_{K-1}$ , where *K* is the number of bits, and they are tail biting convolutionally encoded according to subclause 5.1.3.1.

After encoding the bits are denoted by  $d_0^{(i)}, d_1^{(i)}, d_2^{(i)}, d_3^{(i)}, \dots, d_{D-1}^{(i)}$ , with i = 0, 1, and 2, and where *D* is the number of bits on the *i*-th coded stream, i.e., D = K.

### 5.3.3.4 Rate matching

A tail biting convolutionally coded block is delivered to the rate matching block. This block of coded bits is denoted by  $d_0^{(i)}, d_1^{(i)}, d_2^{(i)}, d_3^{(i)}, ..., d_{D-1}^{(i)}$ , with i = 0,1, and 2, and where *i* is the coded stream index and *D* is the number of bits in each coded stream. This coded block is rate matched according to subclause 5.1.4.2.

After rate matching, the bits are denoted by  $e_0, e_1, e_2, e_3, \dots, e_{E-1}$ , where E is the number of rate matched bits.

### 5.3.4 Control format indicator

Data arrives each subframe to the coding unit in the form of an indicator for the time span, in units of OFDM symbols, of the DCI carried by PDCCH in that subframe of the corresponding DL cell. The CFI takes values CFI = 1, 2 or 3. For system bandwidths  $N_{\text{RB}}^{\text{DL}} > 10$ , the span of the DCI carried by PDCCH in units of OFDM symbols, 1, 2 or 3, is given by the CFI. For system bandwidths  $N_{\text{RB}}^{\text{DL}} \le 10$ , the span of the DCI carried by PDCCH in units of OFDM symbols, 2, 3 or 4, is given by CFI+1.

The coding flow is shown in Figure 5.3.4-1.

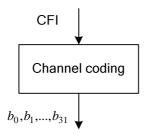


Figure 5.3.4-1 Coding for CFI

#### 5.3.4.1 Channel coding

The control format indicator is coded according to Table 5.3.4-1.

Table 5.3.4-1: CFI code words

CFI	$\begin{array}{c} \textbf{CFI code word} \\ < b_0,  b_1, ,  b_{31} > \end{array}$
1	<0,1,1,0,1,1,0,1,1,0,1,1,0,1,1,0,1,1,0,1,1,0,1,1,0,1,1,0,1,1,0,1,1,0,1>
2	<1,0,1,1,0,1,1,0,1,1,0,1,1,0,1,1,0,1,1,0,1,1,0,1,1,0,1,1,0,1,1,0,1,1,0)
3	<1,1,0,1,1,0,1,1,0,1,1,0,1,1,0,1,1,0,1,1,0,1,1,0,1,1,0,1,1,0,1,1,0,1,1>

4	
(Reserved)	<0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,

### 5.3.5 HARQ indicator (HI)

Data arrives to the coding unit in the form of indicators for HARQ acknowledgement for one transport block. The coding flow is shown in Figure 5.3.5-1.

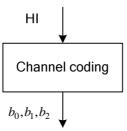


Figure 5.3.5-1 Coding for HI

### 5.3.5.1 Channel coding

The HI is coded according to Table 5.3.5-1, where for a positive acknowledgement HI = 1 and for a negative acknowledgement HI = 0.

Table 5.3.5-1: HI code words

HI	$\begin{array}{c} \mbox{HI code word} \\ < b_0,  b_1,  b_2 > \end{array}$
0	< 0,0,0 >
1	< 1,1,1 >

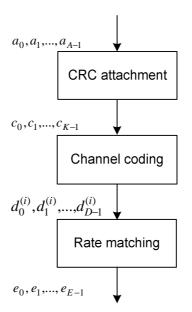
## 5.4 Sidelink transport channels and control information

### 5.4.1 Sidelink broadcast channel

Figure 5.4.1-1 shows the processing structure for the SL-BCH transport channel. Data arrives to the coding unit in the form of a maximum of one transport block. The following coding steps can be identified:

- Add CRC to the transport block
- Channel coding
- Rate matching

The coding steps for SL-BCH transport channel are shown in the figure below. In addition, after rate matching PUSCH interleaving is applied according to subclauses 5.2.2.7 and 5.2.2.8 without any control information in order to apply a time-first rather than frequency-first mapping, where  $C_{mux} = 2 \cdot (N_{symb}^{SL} - 3)$  and the sequence of bits *f* is equal to *e*. For SL-BCH configured by higher layers for V2X sidelink,  $C_{mux} = 2 \cdot (N_{symb}^{SL} - 2) - 3$  is used.



#### Figure 5.4.1-1: Transport channel processing for SL-BCH

#### 5.4.1.1 Transport block CRC attachment

Error detection is provided on transport blocks through a Cyclic Redundancy Check (CRC).

The entire transport block is used to calculate the CRC parity bits. Denote the bits in a transport block delivered to layer 1 by  $a_0, a_1, a_2, a_3, ..., a_{A-1}$ , and the parity bits by  $p_0, p_1, p_2, p_3, ..., p_{L-1}$ . A is the size of the transport block and L is the number of parity bits. The lowest order information bit  $a_0$  is mapped to the most significant bit of the transport block as defined in subclause 6.1.1 of [5].

The parity bits are computed and attached according to subclause 5.1.1 setting *L* to 16 bits, resulting in the sequence of bits  $c_0, c_1, c_2, c_3, ..., c_{K-1}$  where  $c_k = b_k$  for k = 0, 1, 2, ..., K-1 and K=A+L.

### 5.4.1.2 Channel coding

Information bits are delivered to the channel coding block. They are denoted by  $c_0, c_1, c_2, c_3, ..., c_{K-1}$ , where *K* is the number of bits, and they are tail biting convolutionally encoded according to subclause 5.1.3.1.

After encoding the bits are denoted by  $d_0^{(i)}, d_1^{(i)}, d_2^{(i)}, d_3^{(i)}, \dots, d_{D-1}^{(i)}$ , with i = 0, 1, and 2, and where *D* is the number of bits on the *i*-th coded stream, i.e., D = K.

### 5.4.1.3 Rate matching

A tail biting convolutionally coded block is delivered to the rate matching block. This block of coded bits is denoted by  $d_0^{(i)}, d_1^{(i)}, d_2^{(i)}, d_3^{(i)}, \dots, d_{D-1}^{(i)}$ , with i = 0,1, and 2, and where *i* is the coded stream index and *D* is the number of bits in each coded stream. This coded block is rate matched according to subclause 5.1.4.2.

After rate matching, the bits are denoted by  $e_0, e_1, e_2, e_3, \dots, e_{E-1}$ , where *E* is the number of rate matched bits as defined in subclause 9.6.1 of [2].

### 5.4.2 Sidelink shared channel

The processing of the sidelink shared channel follows the downlink shared channel according to subclause 5.3.2, with the following differences:

- Data arrives to the coding unit in the form of a maximum of one transport block every transmission time interval (TTI)

- In the step of code block concatenation, the sequence of coded bits corresponding to one transport block after code block concatenation is referred to as one codeword in subclause 9.3.1 of [2].
- PUSCH interleaving is applied according to subclauses 5.2.2.7 and 5.2.2.8 without any control information in order to apply a time-first rather than frequency-first mapping, where  $C_{mux} = 2 \cdot \left(N_{symb}^{SL} 1\right)$ . For SL-SCH configured by higher layers for V2X sidelink,  $C_{mux} = 2 \cdot \left(N_{symb}^{SL} 2\right)$  is used.

### 5.4.3 Sidelink control information

An SCI transports sidelink scheduling information.

The processing for one SCI follows the downlink control information according to subclause 5.3.3, with the following differences:

- In the step of CRC attachment, no scrambling is performed.
- PUSCH interleaving is applied according to subclauses 5.2.2.7 and 5.2.2.8 without any control information in order to apply a time-first rather than frequency-first mapping, where  $C_{mux} = 2 \cdot \left(N_{symb}^{SL} 1\right)$  and the sequence of

bits *f* is equal to *e*. For SCI format 1,  $C_{mux} = 2 \cdot (N_{symb}^{SL} - 2)$ .

### 5.4.3.1 SCI formats

The fields defined in the SCI formats below are mapped to the information bits  $a_0$  to  $a_{A-1}$  as follows.

Each field is mapped in the order in which it appears in the description, with the first field mapped to the lowest order information bit  $a_0$  and each successive field mapped to higher order information bits. The most significant bit of each field is mapped to the lowest order information bit for that field, e.g. the most significant bit of the first field is mapped to  $a_0$ .

#### 5.4.3.1.1 SCI format 0

SCI format 0 is used for the scheduling of PSSCH.

The following information is transmitted by means of the SCI format 0:

- Frequency hopping flag 1 bit as defined in subclause 14.1.1 of [3].
- Resource block assignment and hopping resource allocation  $-\left[\log_2(N_{\text{RB}}^{\text{SL}}(N_{\text{RB}}^{\text{SL}}+1)/2)\right]$  bits
  - For PSSCH hopping:
    - $N_{SL_{hop}}$  MSB bits are used to obtain the value of  $\tilde{n}_{PRB}(i)$  as indicated in subclause 8.4 of [3]

$$-\left(\left[\log_2(N_{\text{RB}}^{\text{SL}}(N_{\text{RB}}^{\text{SL}}+1)/2)\right] - N_{\text{SL\_hop}}\right)$$
 bits provide the resource allocation in the subframe

- For non-hopping PSSCH:

-  $\left( \left\lceil \log_2(N_{\text{RB}}^{\text{SL}}(N_{\text{RB}}^{\text{SL}}+1)/2) \right\rceil \right)$  bits provide the resource allocation in the subframe as defined in subclause 8.1.1 of [3]

- Time resource pattern 7 bits as defined in subclause 14.1.1 of [3].
- Modulation and coding scheme 5 bits as defined in subclause 14.1.1 of [3]
- Timing advance indication 11 bits as defined in subclause 14.2.1 of [3]
- Group destination ID 8 bits as defined by higher layers

#### 5.4.3.1.2 SCI format 1

SCI format 1 is used for the scheduling of PSSCH.

The following information is transmitted by means of the SCI format 1:

- Priority 3 bits as defined in subclause 4.4.5.1 of [7].
- Resource reservation 4 bits as defined in subclause 14.2.1 of [3].
- Frequency resource location of initial transmission and retransmission  $\left|\log_2(N_{\text{subchannel}}^{\text{SL}}(N_{\text{subchannel}}^{\text{SL}}+1)/2)\right|$  bits as defined in subclause 14.1.1.4C of [3].
- Time gap between initial transmission and retransmission 4 bits as defined in subclause 14.1.1.4C of [3].
- Modulation and coding scheme 5 bits as defined in subclause 14.2.1 of [3].
- Retransmission index 1 bit as defined in subclause 14.2.1 of [3].
- Reserved information bits are added until the size of SCI format 1 is equal to 32 bits. The reserved bits are set to zero.

### 5.4.4 Sidelink discovery channel

The processing of the sidelink discovery channel follows the downlink shared channel according to subclause 5.3.2, with the following differences:

- Data arrives to the coding unit in the form of a maximum of one transport block every transmission time interval (TTI).
- In the step of code block concatenation, the sequence of coded bits corresponding to one transport block after code block concatenation is referred to as one codeword in subclause 9.5.1 of [2].
- PUSCH interleaving is applied according to subclauses 5.2.2.7 and 5.2.2.8 without any control information in order to apply a time-first rather than frequency-first mapping, where  $C_{mux} = 2 \cdot \left(N_{symb}^{SL} 1\right)$ .

## 6 Narrowband IoT

## 6.1 Mapping to physical channels

For Narrowband IoT, transport channels are mapped onto narrowband physical channels, the channel coding, multiplexing, and interleaving of which are specified in subclauses 6.3 and 6.4 for the uplink and downlink, respectively. Table 6.1-1 specifies the mapping of the uplink transport channels to their corresponding physical channels. Table 6.1-2 specifies the mapping of the downlink transport channels to their corresponding physical channels. Table 6.1-3 specifies the mapping of control channel information to its corresponding physical channel.

Table 6.1-1

TrCH	Physical Channel
UL-SCH	NPUSCH (format 1)
RACH	NPRACH

#### Table 6.1-2

TrCH	Physical Channel		
DL-SCH	NPDSCH		
ВСН	NPBCH		
PCH	NPDSCH		

#### Table 6.1-3

Control information	Physical Channel
UCI	NPUSCH (format 2)
DCI	NPDCCH

## 6.2 Generic procedures

The generic procedures for channel coding, multiplexing and interleaving are as in subclause 5.1 unless otherwise noted in clause 6.

Usage of coding scheme and coding rate for the different types of TrCH is shown in table 6.2-1. Usage of coding scheme and coding rate for the different control information types is shown in table 6.2-2.

#### Table 6.2-1: Usage of channel coding scheme and coding rate for TrCHs

TrCH	Coding scheme	Coding rate
UL-SCH	Turbo coding	1/3
BCH	Tail biting	
DL-SCH	convolutional	1/3
PCH	coding	

Table 6.2-2: Usage of channel coding scheme and coding rate for control information.

Control Information	Coding scheme	Coding rate
DCI	Tail biting convolutional coding	1/3
UCI	Block code	1/16

## 6.3 Uplink transport channels and control information

### 6.3.1 Random access channel

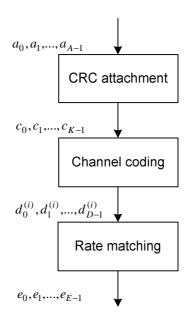
The sequence for the random access channel is processed according to [2].

### 6.3.2 Uplink shared channel

Figure 6.3.2-1 shows the processing structure for the UL-SCH transport channel. Data arrives to the coding unit in the form of a maximum of one transport block over a number of resource units per UL cell. The number of resource units is scheduled according to [3]. The following coding steps can be identified:

- CRC attachment

- Channel coding
- Rate matching



#### Figure 6.3.2-1: Transport block processing for UL-SCH

The CRC attachment, channel coding, and rate matching are performed according to subclauses 5.2.2.1, 5.2.2.3, and 5.2.2.4, respectively, with the following differences:

- In subclause 5.1.4.1.2 in the calculation of G',  $Q_m$  is 1 for  $\pi/2$ -BPSK and 2 for  $\pi/4$ -QPSK, and  $rv_{idx} = 0$  or 2.

In addition, after rate matching interleaving is applied per resource unit according to subclauses 5.2.2.7 and 5.2.2.8 without any control information in order to apply a time-first rather than frequency-first mapping, where the input sequence to 5.2.2.7 is the portion of *e* for a resource unit instead of *f*, and where  $C_{mux} = (N_{symb}^{UL} - 1)N_{slots}^{UL}$  is the number of SC-FDMA symbols for NPUSCH in a UL resource unit as given in subclause 10.1.2.3 of [2].

## 6.3.3 Uplink control information on NPUSCH without UL-SCH data

When control data is sent via NPUSCH without UL-SCH data and arrives to the coding unit in the form of an indicator for HARQ acknowledgement for one transport block, the coding flow is as shown in Figure 6.3.3-1.

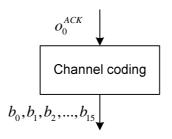


Figure 6.3.3-1: Coding for HARQ-ACK

The one bit information of HARQ-ACK  $o_0^{ACK}$  is coded according to Table 6.3.3-1, where for a positive acknowledgement  $o_0^{ACK} = 1$  and for a negative acknowledgement  $o_0^{ACK} = 0$ .

$HARQ-ACK < o_0^{ACK} >$	HARQ-ACK code word < b0, b1, b2,,b15 >
0	<0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0
1	<1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1

Table 6.3.3-1: HARQ-ACK code words

## 6.4 Downlink transport channels and control information

### 6.4.1 Broadcast channel

The processing structure for the BCH transport channel is according to Subclause 5.3.1, with the following differences:

- The transmission time interval (TTI) is 640ms
- The size of the BCH transport block is set to 34 bits
- The CRC mask for NPBCH is selected according to 1 or 2 transmit antenna ports at eNodeB according to Table 5.3.1.1-1, where the transmit antenna ports are defined in subclause 10.2.6 of [2]
- The number of rate matched bits is defined in subclause 10.2.4.1 of [2].

## 6.4.2 Downlink shared channel and Paging channel

Figure 6.4.2-1 shows the processing structure for each transport block for the DL-SCH and PCH transport channels. Data arrives to the coding unit in the form of a maximum of one transport blocks over a number of resource units per DL cell. The following coding steps can be identified for each transport block of a DL cell:

- CRC attachment
- Channel coding
- Rate matching

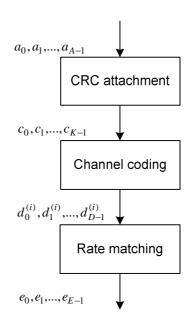


Figure 6.4.2-1: Transport block processing for DL-SCH and PCH

The CRC attachment, channel coding, and rate matching is performed according to subclauses 5.1.1, 5.1.3.1 and 5.1.4.2, respectively. For the CRC attachment, the parity bits are computed and attached according to subclause 5.1.1 setting *L* to 24 bits, resulting in the sequence of bits  $c_0, c_1, c_2, c_3, ..., c_{K-1}$  where  $c_k = b_k$  for k = 0, 1, 2, ..., K-1 and K=A+L.

## 6.4.3 Downlink control information

A DCI transports downlink or uplink scheduling information for one cell and one RNTI. The RNTI is implicitly encoded in the CRC. The processing structure for one DCI is according to Subclause 5.3.3.

### 6.4.3.1 DCI Format N0

DCI format N0 is used for the scheduling of NPUSCH in one UL cell.

The following information is transmitted by means of the DCI format N0:

- Flag for format N0/format N1 differentiation 1 bit, where value 0 indicates format N0 and value 1 indicates format N1
- Subcarrier indication 6 bits as defined in subclause 16.5.1.1 of [3]
- Resource assignment 3 bits as defined in subclause 16.5.1.2 of [3]
- Scheduling delay 2 bits as defined in subclause 16.5.1 of [3]
- Modulation and coding scheme 4 bits as defined in subclause 16.5.1.2 of [3]
- Redundancy version 1 bit as defined in subclause 16.5.1.2 of [3]
- Repetition number 3 bits as defined in subclause 16.5.1.2 of [3]
- New data indicator 1 bit
- DCI subframe repetition number 2 bits as defined in subclause 16.6 in [3]
- HARQ process number 1 bit. This field can only be present if 2 HARQ processes are configured and the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3].

### 6.4.3.2 DCI Format N1

DCI format N1 is used for the scheduling of one NPDSCH codeword in one cell, random access procedure initiated by a NPDCCH order, and notifying SC-MCCH change. The DCI corresponding to a NPDCCH order is carried by NPDCCH.

The following information is transmitted by means of the DCI format N1:

- If the format N1 CRC is scrambled by C-RNTI or RA-RNTI:
  - Flag for format N0/format N1 differentiation 1 bit, where value 0 indicates format N0 and value 1 indicates format N1
  - NPDCCH order indicator 1 bit
- Else if the format N1 CRC is scrambled by a G-RNTI:
  - Information for SC-MCCH change notification 2 bits as defined in subclause 5.8a of [6]

Format N1 is used for random access procedure initiated by a NPDCCH order only if NPDCCH order indicator is set to '1', format N1 CRC is scrambled with C-RNTI, and all the remaining fields are set as follows:

- Starting number of NPRACH repetitions 2 bits as defined in subclause 16.3.2 of [3]
- Subcarrier indication of NPRACH 6 bits as defined in subclause 16.3.2 of [3]
- Carrier indication of NPRACH 4 bits as defined in subclause 16.3.2 of [3]. This field is only present if *ul-ConfigList* is configured and the UE indicates the *multiCarrier-NPRACH* capability.
- All the remaining bits in format N1 are set to one

#### Otherwise,

- Scheduling delay – 3 bits as defined in subclause 16.4.1 of [3]

- Resource assignment 3 bits as defined in subclause 16.4.1.3 of [3]
- Modulation and coding scheme 4 bits as defined in subclause 16.4.1.5 of [3]
- Repetition number 4 bits as defined in subclause 16.4.1.3 of [3]
- New data indicator 1 bit
- HARQ-ACK resource 4 bits as defined in subclause 16.4.2 of [3].
- DCI subframe repetition number 2 bits as defined in subclause 16.6 in [3]
- HARQ process number 1 bit. This field can only be present if 2 HARQ processes are configured and the corresponding DCI format is mapped onto the UE specific search space given by the C-RNTI as defined in [3].

When the format N1 CRC is scrambled with a RA-RNTI or a G-RNTI, then the following fields among the fields above are reserved for RA-RNTI and not present for G-RNTI:

- New data indicator
- HARQ-ACK resource

If the number of information bits in format N1 is less than that of format N0 and the format N1 CRC is not scrambled by G-RNTI, zeros shall be appended to format N1 until the payload size equals that of format N0.

### 6.4.3.3 DCI Format N2

DCI format N2 is used for paging, direct indication, scheduling of one NPDSCH codeword carrying SC-MCCH in one cell, and notifying SC-MCCH change.

The following information is transmitted by means of the DCI format N2:

- If the format N2 CRC is scrambled by P-RNTI:
  - Flag for paging/direct indication differentiation 1 bit, with value 0 for direct indication and value 1 for paging
- Else if the format N2 CRC is scrambled by a SC-RNTI:
  - Information for SC-MCCH change notification 1 bit as defined in subclause 5.8a of [6]
- If the format N2 CRC is scrambled by P-RNTI and Flag=0:
  - Direct Indication information 8 bits provide direct indication of system information update and other fields, as defined in [6]
  - Reserved information bits are added until the size is equal to that of format N2 with Flag=1
- If the format N2 CRC is scrambled by P-RNTI and Flag=1, or if the format N2 CRC is scrambled by SC-RNTI:
  - Resource assignment 3 bits as defined in subclause 16.4.1.3 of [3]
  - Modulation and coding scheme 4 bits as defined in subclause 16.4.1.5 of [3]
  - Repetition number 4 bits as defined in subclause 16.4.1.3 of [3]
  - DCI subframe repetition number 3 bits as defined in subclause 16.6 of [3]

Annex A (informative): Change history

Data	T90 #	TOC Dec	CD	Davi	Change history		May
Date	TSG #	TSG Doc.	CR	Rev	Skeleton	Old	New 0.0.0
2006-09 2006-10					Updated skeleton	0.0.0	0.0.0
2006-10					Endorsed skeleton	0.0.0	0.1.0
2006-10					Added TC. Added Broadcast, Paging and MBMS transport	0.1.0	0.1.0
2000-11					channels in Table 4.2-1.	0.1.0	0.1.1
2006-11					Endorsed v 0.2.0	0.1.1	0.2.0
2006-12					Added CC. Added type of coding for each transport channel or	0.2.0	0.2.1
2000-12					control information.	0.2.0	0.2.1
2007-01					Editor's version	0.2.1	0.2.2
2007-01					Endorsed v 0.3.0	0.2.2	0.3.0
2007-01					Added QPP turbo Interleaver description.	0.3.0	0.3.1
2007-02					Editor's version	0.3.1	0.3.2
2007-02					Endorsed v 0.4.0	0.3.2	0.4.0
2007-02					Added CRC details for PDSCH, PDCCH and PUSCH. Added QPP turbo-interleaver parameters. Set Z to 6144. Added details on code block segmentation.	0.4.0	0.4.1
2007-02					Editor's version	0.4.1	0.4.2
2007-03	RAN#35	RP-070170	Ì		For information at RAN#35	0.4.2	1.0.0
2007-03			1		Editor's version	1.0.0	1.0.1
2007-03	1		1		Editor's version	1.0.1	1.1.0
2007-05	1		1		Editor's version	1.1.0	1.1.1
2007-05			1		Editor's version	1.1.1	1.1.2
2007-05	1		1		Editor's version	1.1.2	1.2.0
2007-06					Added circular buffer rate matching for PDSCH and PUSCH. Miscellaneous changes.	1.2.0	1.2.1
2007-06					Editor's version	1.2.1	1.2.2
2007-07					Editor's version	1.2.2	1.2.3
2007-07					Endorsed by email following decision taken at RAN1#49b	1.2.3	1.3.0
2007-08					Editor's version including decision from RAN1#49bis.	1.3.0	1.3.1
2007-08					Editor's version	1.3.1	1.3.2
2007-08					Editor's version	1.3.2	1.4.0
2007-09					Editor's version with decisions from RAN1#50	1,4.0	1,4,1
2007-09					Editor's version	1.4.1	1.4.2
10/09/07	RAN#37	RP-070730	-	I	For approval at RAN#37	1.4.2	2.0.0
12/09/07	RAN_37	RP-070730	-	I	Approved version	2.0.0	8.0.0
28/11/07	RAN_38	RP-070949	0001	-	Update of 36.212	8.0.0	8.1.0
05/03/08	RAN_39	RP-080145	0002	-	Update to 36.212 incorporating decisions from RAN1#51bis and RAN1#52 $\ensuremath{RAN1}$		8.2.0
28/05/08	RAN_40	RP-080433	0003	-	Joint coding of CQI and ACK on PUCCH	8.2.0	8.3.0
28/05/08	RAN_40	RP-080433	0004	1	ACK insertion into PUSCH	8.2.0	8.3.0
28/05/08	RAN_40	RP-080433	0005	1	Introduction of format 1C	8.2.0	8.3.0
28/05/08	RAN_40	RP-080433	0006	1	Miscellaneous fixes to 36.212	8.2.0	8.3.0
28/05/08	RAN_40	RP-080433	8000	1	On multiplexing scheme for indicators	8.2.0	8.3.0
28/05/08	RAN_40	RP-080433	0009	1	On the soft buffer split of MIMO and TDD	8.2.0	8.3.0
28/05/08	RAN_40	RP-080433	0010	-	Resource assignment field for distributed VRB	8.2.0	8.3.0
28/05/08	RAN_40	RP-080433	0011	-	Clarifying the use of the different DCI formats	8.2.0	8.3.0
28/05/08	RAN_40	RP-080433	0012	1	Clarifying the value of N <sub>L</sub>	8.2.0	8.3.0
28/05/08	RAN_40	RP-080433	0013	_	Payload size for DCI formats 3 and 3A	8.2.0	8.3.0
28/05/08	RAN_40	RP-080433	0014	-	Coding of ACK on PUSCH	8.2.0	8.3.0
28/05/08	RAN_40	RP-080433	0015	1	Coding of RI on PUSCH and mapping	8.2.0	8.3.0
28/05/08	RAN_40	RP-080433	0016	_	CRC for control information on PUSCH	8.2.0	8.3.0
28/05/08	RAN_40	RP-080433	0017	_	Introduction of Downlink Assignment Index	8.2.0	8.3.0
28/05/08	RAN_40	RP-080433	0018	-	Coding of CQI/PMI on PUSCH coming from PUCCH	8.2.0	8.3.0
28/05/08	RAN_40	RP-080433	0019	-	Simultaneous transmission of aperiodic CQI and UL control	8.2.0	8.3.0
28/05/08	RAN_40	RP-080433	0020		Encoding of antenna indicator on DCI format 0	8.2.0	8.3.0
28/05/08	RAN_40	RP-080433	0021	-	PDCCH coverage in narrow bandwidths	8.2.0	8.3.0
28/05/08	RAN_40	RP-080433	0022	-	Closed-loop and open-loop spatial multiplexing	8.2.0	8.3.0
28/05/08	RAN_40	RP-080457	0023	-	Formula for linkage between PUSCH MCS and amount of resources used for control	8.2.0	8.3.0
09/09/08	RAN_41	RP-080669	0026	-	Correction to PUSCH Channel Interleaver	8.3.0	8.4.0
09/09/08	RAN_41	RP-080669	0028	-	Correction of mapping of ACK/NAK to binary bit values	8.3.0	8.4.0
09/09/08 09/09/08	RAN_41 RAN_41	RP-080669 RP-080669	0029	-	Correction to bit collection, selection and transmission Padding one bit to DCI format 1 when format 1 and format 0/1A	8.3.0 8.3.0	8.4.0 8.4.0
00/00/00					have the same size	0.0.0	0.4.2
09/09/08	RAN_41	RP-080669	0031	-	Modification of M_limit	8.3.0	8.4.0
09/09/08	RAN_41	RP-080669	0032	-	Definition of Formats 2 and 2A	8.3.0	8.4.0
09/09/08	RAN_41	RP-080669	0033	2	Corrections to DCI formats	8.3.0	8.4.0
09/09/08	RAN_41	RP-080669	0035	1	Format 1B confirmation flag	8.3.0	8.4.0
09/09/08	RAN_41	RP-080669	0036	-	Corrections to Rank information scrambling in Uplink Shared Channel	8.3.0	8.4.0

					Change history		1
Date	TSG #	TSG Doc.	CR		Subject/Comment	Old	New
09/09/08	RAN_41	RP-080669	0037	2	Clarification of TPC commands signaled in DCI formats 3/3A	8.3.0	8.4.0
09/09/08	RAN_41	RP-080669	0038	-	Clarification on UE transmit antenna selection mask	8.3.0	8.4.0
09/09/08	RAN_41	RP-080669	0039	1	Linking of control resources in PUSCH to data MCS	8.3.0	8.4.0
09/09/08	RAN_41	RP-080669	0041	-	Definition of Bit Mapping for DCI signalling	8.3.0	8.4.0
09/09/08	RAN_41	RP-080669	0042	1	Clarification on resource allocation in DCI format 1/2/2A	8.3.0	8.4.0
09/09/08	RAN_41	RP-080669	0043	-	DCI Format 1A changes needed for scheduling Broadcast Control	8.3.0	8.4.0
09/09/08	RAN_41	RP-080669	0044	-	DCI format1C	8.3.0	8.4.0
09/09/08	RAN_41	RP-080669	0045	-	Miscellaneous corrections	8.3.0	8.4.0
11/09/08	RAN_41	RP-080736	0046	1	Correction on downlink multi-user MIMO	8.3.0	8.4.0
09/09/08	RAN_41	RP-080669	0047	-	Corrections to DL DCI Formats In case of Ambiguous Payload Sizes	8.3.0	8.4.0
09/09/08	RAN_41	RP-080669	0048	-	CR for RE provisioning for the control information in case of CQI-only transmission on PUSCH	8.3.0	8.4.0
09/09/08	RAN_41	RP-080669	0091	2	Coding and multiplexing of multiple ACK/NACK in PUSCH	8.3.0	8.4.0
03/12/08	RAN_42	RP-080983	0050	2	Clarification of input bits corresponding to 2-bit HARQ-ACK and 2-bit RI	8.4.0	8.5.0
03/12/08	RAN_42	RP-080983	0053	-	Editorial corrections to 36.212	8.4.0	8.5.0
03/12/08	RAN_42	RP-080983	0055	-	Miscellaneous Corrections	8.4.0	8.5.0
03/12/08	RAN_42	RP-080983	0057	-	Clarification of mapping of information bits	8.4.0	8.5.0
03/12/08	RAN_42	RP-080983	0058	-	Completion of 36.212 CR47 (R1-083421) for "new" DCI Formats	8.4.0	8.5.0
03/12/08	RAN_42	RP-080983	0059	-	Change for determining DCI format 1A TBS table column indicator for broadcast control	8.4.0	8.5.0
03/12/08	RAN 42	RP-080983	0061	2	Defining DCI format 1A for downlink data arrival	8.4.0	8.5.0
03/12/08	RAN_42 RAN_42	RP-080983	0063	1	ACK/NACK transmission on PUSCH for LTE TDD	8.4.0	8.5.0
03/12/08	RAN_42	RP-080983	0065	-	Correction in 36.212 related to TDD downlink HARQ processes	8.4.0	8.5.0
03/12/08	RAN_42 RAN_42	RP-080983	0005	1	Correction of control MCS offset and SRS symbol puncturing	8.4.0	8.5.0
03/12/08	RAN_42	RP-080983	0068	1	DCI format 2/2A	8.4.0	8.5.0
03/12/08	RAN_42	RP-080983	0069	-	Correction to zero padding in DCI format 1	8.4.0	8.5.0
03/12/08	RAN_42	RP-080983	0003		Clarification of RI bit field mapping for PUCCH	8.4.0	8.5.0
03/12/08	RAN_42 RAN_42	RP-080983	0071		Clarifying RNTI bit mapping for PDCCH CRC scrambling	8.4.0	8.5.0
03/12/08	RAN_42 RAN_42	RP-080983	0072	-	Clarification on BCH transport block size	8.4.0	8.5.0
03/12/08	RAN_42 RAN_42	RP-080983		_	Clarification on the number of PUCCH-based CQI/PMI bits	8.4.0	8.5.0
00/12/00	10.01	11 000000	0076	-	when reported on PUSCH	0.4.0	0.0.0
04/03/09	RAN_43	RP-090235	77	1	Corrections to Transmitted Rank Indication	8.5.0	8.6.0
04/03/09	RAN_43	RP-090235	79	1	Correction to the bundled ACK/NACK and DAI transmission	8.5.0	8.6.0
04/03/09	RAN 43	RP-090235	80	2	Corrections to transmission modes	8.5.0	8.6.0
04/03/09	RAN_43	RP-090235	81	1	Correction on ACKNACK transmission on PUSCH for LTE TDD	8.5.0	8.6.0
04/03/09	RAN_43	RP-090235	82	2	Corrections to CQI and RI fields description	8.5.0	8.6.0
04/03/09	RAN 43	RP-090235	83	-	Clarifying DCI format 1A and DCI Format 1B	8.5.0	8.6.0
04/03/09	RAN_43	RP-090235	92	1	Clarification on channel coding for UCI HARQ-ACK	8.5.0	8.6.0
27/05/09	RAN_44	RP-090528	87	-	Clarify some parameters for determining control resources on PUSCH	8.6.0	8.7.0
01/12/09	RAN_46	RP-091168	89	-	Clarification on bitwidth of RI	8.7.0	8.8.0
01/12/09	RAN_46	RP-091168	94	-	Correction to Channel interleaver for PUSCH RE Mapping	8.7.0	8.8.0
01/12/09	RAN_46	RP-091177	88	1	Editorial corrections to 36.212	8.8.0	9.0.0
01/12/09	RAN_46	RP-091257	95	1	Introduction of enhanced dual layer transmission	8.8.0	9.0.0
16/03/10	RAN_40 RAN_47	RP-100210	96	1	MCCH change notification using DCI format 1C	9.0.0	9.1.0
16/03/10	RAN_47	RP-100211	97	-	Addition of missing reference to DCI format 2B + typo corrections	9.0.0	9.1.0
01/06/10	RAN_48	RP-100589	98	-	Correction to TBS determination for DCI format 1C	9.1.0	9.2.0
14/09/10	RAN_40 RAN_49	RP-100309	99	-	Clarify soft buffer size determination for MCH	9.2.0	9.3.0
07/12/10	RAN_49 RAN_50	RP-101320	100	-	Introduction of Rel-10 LTE-Advanced features in 36.212	9.3.0	10.0.0
15/03/11	RAN_50	RP-110254	100	-	Correction on UE behavior upon receiving DCI format 1B	10.0.0	10.0.0
15/03/11	RAN_51	RP-110254	101	-	Corrections to Rel-10 LTE-Advanced features in 36.212	10.0.0	10.1.0
01/06/11	RAN_51	RP-110230	102	3	Correction of DCI format 0 and 4 resource allocation	10.0.0	10.1.0
01/06/11	RAN_52	RP-110819 RP-110819	103	2	Correction to Multi-cluster flag in DCI format 0	10.1.0	10.2.0
01/06/11	RAN_52	RP-110819	104	2	Corrections on HARQ-ACK Channel Coding in the PUSCH	10.1.0	10.2.0
01/06/11	RAN_52	RP-110819	107	2	A clarification for DCI format payload size	10.1.0	10.2.0
01/06/11	RAN_52	RP-110820 RP-110819	110	1	Correction the search space and RNTI for CQI and SRS request flag	10.1.0	10.2.0
01/06/11	RAN_52	RP-110819	111	-	Resource dimensioning for CQI only PUSCH transmission	10.1.0	10.2.0
01/06/11	RAN_52 RAN_52	RP-110819 RP-110820	111	-	Correction on bit representations of transport block processing for UL-SCH	10.1.0	10.2.0
01/06/11	RAN_52	RP-110818	113	-	Clarification on PMI indication in DCI format1B and format 2	10.1.0	10.2.0
01/06/11	RAN_52 RAN_52	RP-110818 RP-110820	113	-	Rate maching parameters for CA	10.1.0	10.2.0
			114		HARQ-ACK on PUSCH for TDD with channel selection		
01/06/11	RAN_52	RP-110819	011	-	configured for 2 serving cells	10.1.0	10.2.0

Change history							
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New
15/09/11	RAN_53	RP-111228	119	1	Corrections on transport block processing for UL-SCH	10.2.0	10.3.0
15/09/11	RAN_53	RP-111230	120	2	On correction of channel coding of control information	10.2.0	10.3.0
15/09/11	RAN_53	RP-111230	122	1	Size adjustment of DCI format 0, 1A and 1	10.2.0	10.3.0
15/09/11	RAN_53	RP-111232	123	1	Corrections on Nsrs	10.2.0	10.3.0
15/09/11	RAN_53	RP-111232	124	2	Corrections on DCI format 1B/1D	10.2.0	10.3.0
15/09/11	RAN_53	RP-111228	125	-	Minor Correction on DCI Format 1 Description	10.2.0	10.3.0
15/09/11	<b>RAN_53</b>	RP-111226	127	-	Correction on ACK/NACK mapping	10.2.0	10.3.0
15/09/11	RAN_53	RP-111231	128	-	Corrections on channel coding of control information and DCI Format 4.	10.2.0	10.3.0
15/09/11	RAN_53	RP-111229	129	-	Clarification and correction to configuration signalling condition for channel quality information formats	10.2.0	10.3.0
05/12/11	RAN_54	RP-111667	130	1	Corrections on codebook index indication in DCI format 4	10.3.0	10.4.0
05/12/11	RAN_54	RP-111666	131	-	HARQ-ACK on UCI-only PUSCH without UL-SCH data	10.3.0	10.4.0
28/02/12	RAN_55	RP-120283	132	2	Corrections on payload size adjustment for DCI format 1A and 1	10.4.0	10.5.0
28/02/12	RAN_55	RP-120283	133	1	Correction of two HARQ-ACK feedback on PUSCH	10.4.0	10.5.0
28/02/12	RAN_55	RP-120285	134	1	Miscellaneous corrections	10.4.0	10.5.0
13/06/12	RAN_56	RP-120739	136	-	Correction to Data and control multiplexing	10.5.0	10.6.0
13/06/12	RAN_56	RP-120737	138	-	Correction to CSI request field size in DCI format 0 and DCI format 4	10.5.0	10.6.0
04/09/12	RAN_57	RP-121272	139	-	Introduction of Rel-11 LTE-Advanced features in 36.212	10.6.0	11.0.0
04/12/12	RAN_58	RP-121839	143	-	Correction to the parameter ue-Category-v10xy	11.0.0	11.1.0
04/12/12	RAN_58	RP-121846	144	-	Finalisation for introducing Rel-11 features	11.0.0	11.1.0
26/02/13	RAN_59	RP-130255	145	-	Additional clarifications/corrections for introducing Rel-11 features	11.1.0	11.2.0
11/06/13	RAN_60	RP-130751	147	2	Correction on the RI bit width	11.2.0	11.3.0
11/06/13	RAN_60	RP-130747	148	-	CR on DCI Format 4 payload size	11.2.0	11.3.0
11/06/13	RAN_60	RP-130752	149	-	Correction on the time span of the DCI	11.2.0	11.3.0
11/06/13	RAN_60	RP-130752	150	-	Correction on ARO bit setting for DL DCIs carried by EPDCCH	11.2.0	11.3.0
11/06/13	RAN_60	RP-130750	151	-	Clarification on DL DAI usage in inter-band TDD CA	11.2.0	11.3.0
11/06/13	RAN_60	RP-130749	153	-	Correction to bit padding of DCI format 1A for secondary cell without uplink component carrier	11.2.0	11.3.0
03/12/13	RAN_62	RP-131893	155	1	Correction on parameter ue-Category	11.3.0	11.4.0
03/12/13	RAN_62	RP-131896	154	3	Introduction of Rel 12 feature for Downlink MIMO Enhancement	11.4.0	12.0.0
10/06/14	RAN_64	RP-140858	156	-	Clarification on UE antenna port based CRC masking	12.0.0	12.1.0
10/06/14	RAN_64	RP-140859	157	1	Correction on UCI multiplexing on PUSCH	12.0.0	12.1.0
10/06/14	RAN_64	RP-140862	158	-	Introduction of Rel 12 features of TDD-FDD CA and eIMTA	12.0.0	12.1.0
10/06/14	RAN_64	RP-140858	159	-	Clarification of downlink subframes	12.0.0	12.1.0
10/09/14	RAN_65	RP-141485	161	-	CR for 36.212 on 256QAM and LC-MTC	12.1.0	12.2.0
08/12/14	RAN_66	RP-142106	163	3	CR for 36.212 on 256QAM and LC-MTC	12.2.0	12.3.0
08/12/14	RAN_66	RP-142098	164	3	Clarification of PUSCH rate matching with SRS	12.2.0	12.3.0
08/12/14	RAN_66	RP-142107	166	-	Introduction of Dual Connectivity feature into 36.212	12.2.0	12.3.0
09/03/15	RAN_67	RP-150366	162	3	Introduction of D2D feature into 36.212	12.3.0	12.4.0
15/06/15	RAN_68	RP-150936	169	-	Corrections on eIMTA RRC parameter naming	12.4.0	12.5.0
15/06/15	RAN_68	RP-150937	171	1	Correction for 36.212 on soft buffer assumption	12.4.0	12.5.0
14/09/15	RAN_69	RP-151466	174	1	Introducing 4-layer MIMO transmission in TM3 and TM4	12.5.0	12.6.0
14/09/15	RAN_69	RP-151467	175	2	Introduction of MIMO capability signalling for intra-band contiguous CA	12.50	12.6.0
07/12/15	RAN_70	RP-152038	177		Correction on rank indication bit widths	12.6.0	12.7.0
07/12/15	RAN_70	RP-152027	178	1	Introduction of Rel 13 feature of eCA	12.7.0	13.0.0
07/12/15	RAN_70	RP-152025	179	1	Introduction of EB/FD-MIMO	12.7.0	13.0.0
07/12/15	RAN_70	RP-152026	180	2	Introduction of Rel 13 feature of LAA	12.7.0	13.0.0
07/12/15	RAN_70	RP-152031	182	-	Introduction of Rel 13 features for SC-PTM	12.7.0	13.0.0

Change history							
Date	Meeting	TDoc	CR	Rev	Cat	Subject/Comment	New version
2016-03	RAN#71	RP-160361	0181	3	В	Introduction of Rel-13 features of eMTC in 36.212	13.1.0
2016-03	RAN#71	RP-160358	0185	-	F	CR on mismatch between 36.212 and 36.331	13.1.0
2016-03	RAN#71	RP-160358	0186	-	F	Clarification on Class B CSI report	13.1.0
2016-03	RAN#71	RP-160365	0189	-	А	Correction to RI reporting for UE category with MIMO capability of 1 layer	13.1.0
2016-03	RAN#71	RP-160358	0191	-	F	CR on CRI-RI payload restriction for non-eCA UE	13.1.0
2016-06	RAN#72	RP-161067	0192	3	В	Introduction of Rel-13 feature of NB-IoT in 36.212	13.2.0
2016-06	RAN#72	RP-161062	0194	-	F	Correction on aperiodic CSI reporting mode 1-0 and 1-1	13.2.0
2016-06	RAN#72	RP-161063	0195	-	F	Correction to S1 and S2 definition and i2 bit width	13.2.0
2016-06	RAN#72	RP-161066	0196	1	F	MCS field in DCI format 6-2 for paging for MTC	13.2.0
2016-06	RAN#72	RP-161062	0197	-	F	Coding of higher layer parameter codebooksizeDetermination-r13	13.2.0
2016-06	RAN#72	RP-161065	0198	-	F	CR on subframe configuration for LAA	13.2.0
2016-06	RAN#72	RP-161063	0199	-	F	Correction on the description of DMRS table	13.2.0
2016-06	RAN#72	RP-161065	0200	-	F	Clarification on the applicability of DL procedures for LAA SCell	13.2.0
2016-06	RAN#72	RP-161062	0201	-	F	Correction on DAI Presence in DCI formats for eCA	13.2.0
2016-06	RAN#72	RP-161066	0202	-	F	Identify MPDCCH order in CE mode B in TS 36.212 (Solution 2)	13.2.0
2016-06	RAN#72 RAN#72	RP-161063 RP-161062	0203 0205	-	F	CR on missing CRI-only table (36.212) Correction on citations to a DAI bits table	13.2.0 13.2.0
2016-06	RAN#72 RAN#72	RP-161062	0205	-	F	Frequency hopping flag definition in DCI formats for BL/CE UE	13.2.0
2016-06	RAN#72 RAN#72	RP-161066	0200	-	F	Correction on the DCI payload size for eMTC in TS 36.212	13.2.0
2016-06	RAN#72 RAN#72	RP-161066	0207	-	F	Channel interleaver correction for eMTC	13.2.0
2016-00	RAN#72 RAN#73	RP-161563	0200	-	F	Correction on BCH rate matching in TS 36.212	13.3.0
2016-09	RAN#73	RP-161560	0213	-	F	Correction on bitwidth of CLASS A codebook	13.3.0
2016-09	RAN#73	RP-161560	0214	-	F	Corrections on CRI bit width in 36.212	13.3.0
2016-09	RAN#73	RP-161562	0215	-	F	Subband CQI report and TM6	13.3.0
2016-09	RAN#73	RP-161562	0216	1	F	CR for clarification of DCI sizes for format 6-1A (TM6 and TM9) in TS 36.212	13.3.0
2016-09	RAN#73	RP-161569	0219	-	F	Correction on SRS request field in DCI format 1A for Rel-13 LAA	13.3.0
2016-09	RAN#73	RP-161925	0220	-	F	Introduction of 1.2Gbps and 1.6Gbps UE categories in Rel-13	13.3.0
2016-09	RAN#73	RP-161570	0217	-	В	Introduction of V2V into TS36.212	14.0.0
2016-09	RAN#73	RP-161571	0218	-	В	Introduction of eLAA into TS36.212	14.0.0
2016-12	RAN#74	RP-162368	0221	-	F	Corrections to RV in eLAA DCI definitions	14.1.0
2016-12	RAN#74	RP-162368	0222	-	D	CR on DCI formats related with LAA in TS 36.212	14.1.0
2016-12	RAN#74	RP-162368	0223	-	F	Clarification of PUSCH start position for eLAA	14.1.0
2016-12		RP-162368	0224	-	F	CR on RI transmission on PUSCH for LAA SCells	14.1.0
2016-12	RAN#74	RP-162359	0231	1	A	Correction on DCI Format N1 in 36.212	14.1.0
2016-12	RAN#74	RP-162366	0232	-	F	Miscellaneous corrections for V2V in 36.212 CR on correction to the PUSCH start position reference and	14.1.0
2016-12 2016-12	RAN#74 RAN#74	RP-162368	0233	-	F	relative timing offset in UL DCI for LAA	14.1.0
2016-12	RAN#74 RAN#74	RP-162368 RP-162368	0234 0235	-	F	Corrections to bit padding of DCI format 1A for eLAA in TS36.212 CR on UL resource allocation for 10MHz LAA SCell	14.1.0 14.1.0
			0235		F	Corrections to bit padding of DCI format 0B for eLAA in TS36.212	14.1.0
2016-12	RAN#74	RP-162364	0237	-	B	Introduction of SRS switching into 36.212	14.1.0
2016-12	RAN#74	RP-162365	0238	-	В	Introduction of Multiuser Superposition Transmission (MUST)	14.1.0
2016-12	RAN#74	RP-162366	0239	-	F	Correction to DCI format 5A	14.1.0
2017-01						MCC clean-up to correct corruption in text formatting (wrong line spacing)	14.1.1
2017-03	RAN#75	RP-170605	0240	1	В	Introduction of uplink capacity enhancements in 36.212	14.2.0
2017-03	RAN#75	RP-170608	0241	1	В	Introduction of FeMBMS in 36.212	14.2.0
2017-03	RAN#75		0243	-	Α	Correction on soft buffer calculation for UE category 17 in Rel-14	14.2.0
2017-03	RAN#75	RP-170609	0245	-	Α	DAI in eMTC	14.2.0
2017-03	RAN#75	RP-170615	0246	-	F	CR for SRS switching in 36.212	14.2.0
2017-03	RAN#75	RP-170622	0247	-	В	Introduction of V2X into 36.212	14.2.0
2017-03	RAN#75	RP-170623	0248	-	В	Introduction of FeMTC in 36.212	14.2.0
2017-03	RAN#75	RP-170624	0249	-	B	Introduction of Rel-14 NB-IoT enhancements in 36.212	14.2.0
2017-03	RAN#75	RP-170607	0250	-	B	Introduction of eFD-MIMO into 36.212	14.2.0
2017-03 2017-06	RAN#75 RAN#76	RP-170625 RP-171193	0251 0253	-	A	Introduction of voice and video enhancement in 36.212 CR for applicability of spatial bundling for PUCCH format 3 with	14.2.0 14.3.0
2017-06	RAN#76	RP-171209	0255		٨	FDD PCell Corrections on bit width of PMI for wideband CQI reports in 36.212	14.3.0
2017-06	RAN#76 RAN#76	RP-171209 RP-171204	0255	-	A F	Clarification of resource allocation for PDSCH in CE mode B	14.3.0
2017-06	RAN#76	RP-171204 RP-171194	0256	-	F	Corrections on bit width of UCI fields for advanced CSI reporting in 36.212	14.3.0
2017-06	RAN#76	RP-171194	0258	-	F	Correction on RI and CRI reporting for aperiodic and multi-shot CSI-RS	14.3.0
2017-06	RAN#76	RP-171210	0259	-	F	CR on higher-layer parameters for 36.212	14.3.0
2017-06	RAN#76	RP-171204	0260	-	F	Clarification of resource allocation for PDSCH with maximum 5 MHz channel bandwidth in CE mode A	14.3.0

2017-09         RAN#77         RP-171646         0264         3         F         Correction on NB-IoT DCI format N1 in 36.212         14.4.0           2017-09         RAN#77         RP-171646         0265         -         F         Correction on NB-IoT DCI format N1 in 36.212         14.4.0           2017-09         RAN#77         RP-171647         0265         -         F         CR on UE assumption on co-scheduled DMRS ports for MUST in 36.212         14.4.0           2017-12         RAN#78         RP-172686         0266         1         F         Correction to multi-carrier NPRACH IE name in PDCCH order DCI format N1         14.5.0           2017-12         RAN#78         RP-172678         0270         -         A         Clarification for DAI for eCA         14.5.0           2017-12         RAN#78         RP-172685         0271         -         F         Correction of section references for feMTC         14.5.0           2017-12         RAN#78         RP-172682         0272         -         F         Correction of section references for eVoLTE         14.5.0           2017-12         RAN#78         RP-172682         0277         -         F         Carrection on arefreence for eVoLTE         14.5.0           2017-12         RAN#78         RP-172686 <t< th=""><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th></t<>								
2017-09         RAN#77         RP-171647         0265         -         F         CR on UE assumption on co-scheduled DMRS ports for MUST in 36.212         14.4.0           2017-12         RAN#78         RP-172686         0266         1         F         Correction to multi-carrier NPRACH IE name in PDCCH order DCI format N1         14.5.0           2017-12         RAN#78         RP-172686         0270         -         A         Clarification for DAI for eCA         14.5.0           2017-12         RAN#78         RP-172685         0271         -         F         Correction of section references for feMTC         14.5.0           2017-12         RAN#78         RP-172682         0272         -         F         Correction of section reference for eVoLTE         14.5.0           2017-12         RAN#78         RP-172682         0273         -         F         Correction on deriving number of available symbols for PUSCH         14.5.0           2017-12         RAN#78         RP-172686         0277         -         F         Correction on number of SRS symbol for UCI multiplexing         14.5.0           2017-12         RAN#78         RP-172686         0277         -         F         Clarification on 2 HARQ process applicability to UE-specific search space         14.5.0           2018-01 <td>2017-06</td> <td>RAN#76</td> <td>RP-171204</td> <td>0263</td> <td>-</td> <td>F</td> <td></td> <td>14.3.0</td>	2017-06	RAN#76	RP-171204	0263	-	F		14.3.0
2017-09         RAN#77         RP-171647         0265         -         F         36.212         14.4.0           2017-12         RAN#78         RP-172686         0266         1         F         Correction to multi-carrier NPRACH IE name in PDCCH order DCI format N1         14.5.0           2017-12         RAN#78         RP-172678         0270         -         A         Clarification for DAI for eCA         14.5.0           2017-12         RAN#78         RP-172685         0271         -         F         Correction of section references for feMTC         14.5.0           2017-12         RAN#78         RP-172692         0272         -         F         Correction of section reference for eVoLTE         14.5.0           2017-12         RAN#78         RP-172682         0273         -         F         Correction on deriving number of available symbols for PUSCH         14.5.0           2017-12         RAN#78         RP-172680         0277         -         F         Correction on number of SRS symbol for UCI multiplexing         14.5.0           2017-12         RAN#78         RP-172686         0277         -         F         Clarification on 2 HARQ process applicability to UE-specific search space         14.5.0           2018-01          MCC clean-up to correct in	2017-09	RAN#77	RP-171646	0264	3	F	Correction on NB-IoT DCI format N1 in 36.212	14.4.0
2017-12         RAN#78         RP-172686         0266         1         F         format N1         14.5.0           2017-12         RAN#78         RP-172678         0270         -         A         Clarification for DAI for eCA         14.5.0           2017-12         RAN#78         RP-172685         0271         -         F         Correction of section references for feMTC         14.5.0           2017-12         RAN#78         RP-172682         0272         -         F         Correction of section reference for eVoLTE         14.5.0           2017-12         RAN#78         RP-172682         0273         -         F         Correction on deriving number of available symbols for PUSCH         14.5.0           2017-12         RAN#78         RP-172680         0277         -         F         Correction on number of SRS symbol for UCI multiplexing         14.5.0           2017-12         RAN#78         RP-172686         0277         -         F         Clarification on 2 HARQ process applicability to UE-specific search space         14.5.0           2018-01          MCC clean-up to correct indentation issues in clause 5         14.5.1           2018-06         RAN#80         RP-181162         0282         -         A         Ordering of P-CSI plus SR in eCA	2017-09	RAN#77	RP-171647	0265	-	F		14.4.0
2017-12         RAN#78         RP-172685         0271         -         F         Correction of section references for feMTC         14.5.0           2017-12         RAN#78         RP-172692         0272         -         F         Correction of section reference for eVoLTE         14.5.0           2017-12         RAN#78         RP-172682         0273         -         F         Correction on deriving number of available symbols for PUSCH         14.5.0           2017-12         RAN#78         RP-172680         0276         -         A         Correction on number of SRS symbol for UCI multiplexing         14.5.0           2017-12         RAN#78         RP-172686         0277         -         F         Clarification on 2 HARQ process applicability to UE-specific search space         14.5.0           2018-01           MCC clean-up to correct indentation issues in clause 5         14.5.1           2018-06         RAN#80         RP-181162         0282         -         A         Ordering of P-CSI plus SR in eCA         14.6.0           2018-06         RAN#80         RP-181165         0289         -         F         Carrection on TPC field in DCI format 6-1A         14.6.0           2018-09         RAN#81         RP-181782         0298         -         F <td>2017-12</td> <td>RAN#78</td> <td>RP-172686</td> <td>0266</td> <td>1</td> <td>F</td> <td></td> <td>14.5.0</td>	2017-12	RAN#78	RP-172686	0266	1	F		14.5.0
2017-12         RAN#78         RP-172692         0272         -         F         Correction of section reference for eVoLTE         14.5.0           2017-12         RAN#78         RP-172682         0273         -         F         Correction on deriving number of available symbols for PUSCH         14.5.0           2017-12         RAN#78         RP-172680         0276         -         A         Correction on number of SRS symbol for UCI multiplexing         14.5.0           2017-12         RAN#78         RP-172686         0277         -         F         Clarification on 2 HARQ process applicability to UE-specific search space         14.5.0           2018-01           MCC clean-up to correct indentation issues in clause 5         14.5.1           2018-06         RAN#80         RP-181162         0282         -         A         Ordering of P-CSI plus SR in eCA         14.6.0           2018-06         RAN#80         RP-181165         0287         -         F         Fallback DCI for eMTC         14.6.0           2018-06         RAN#80         RP-181165         0289         -         F         Correction on TPC field in DCI format 6-1A         14.6.0           2018-09         RAN#81         RP-181782         0298         -         F         Correct	2017-12	RAN#78	RP-172678	0270	-	Α	Clarification for DAI for eCA	14.5.0
2017-12         RAN#78         RP-172682         0273         -         F         Correction on deriving number of available symbols for PUSCH         14.5.0           2017-12         RAN#78         RP-172680         0276         -         A         Correction on number of SRS symbol for UCI multiplexing         14.5.0           2017-12         RAN#78         RP-172686         0277         -         F         Clarification on 2 HARQ process applicability to UE-specific search space         14.5.0           2018-01           MCC clean-up to correct indentation issues in clause 5         14.5.1           2018-06         RAN#80         RP-181162         0282         -         A         Ordering of P-CSI plus SR in eCA         14.6.0           2018-06         RAN#80         RP-181165         0287         -         F         Fallback DCI for eMTC         14.6.0           2018-06         RAN#80         RP-181165         0289         -         F         Correction on TPC field in DCI format 6-1A         14.6.0           2018-09         RAN#81         RP-181782         0298         -         F         Correction on DCI for HARQ-ACK bundling         14.7.0           2018-09         RAN#81         RP-181799         0302         -         F         Correction	2017-12	RAN#78	RP-172685	0271	-	F	Correction of section references for feMTC	14.5.0
2017-12         RAN#78         RP-172690         0276         -         A         Correction on number of SRS symbol for UCI multiplexing         14.5.0           2017-12         RAN#78         RP-172686         0277         -         F         Clarification on 2 HARQ process applicability to UE-specific search space         14.5.0           2018-01          MCC clean-up to correct indentation issues in clause 5         14.5.1           2018-06         RAN#80         RP-181162         0282         -         A         Ordering of P-CSI plus SR in eCA         14.6.0           2018-06         RAN#80         RP-181165         0287         -         F         Fallback DCI for eMTC         14.6.0           2018-06         RAN#80         RP-181165         0289         -         F         Correction on TPC field in DCI format 6-1A         14.6.0           2018-09         RAN#81         RP-181782         0298         -         F         Correction on DCI for HARQ-ACK bundling         14.7.0           2018-09         RAN#81         RP-181799         0302         -         F         Corrections to modulation signalling in MUST         14.7.0           2018-12         RAN#82         RP-182518         0308         -         F         Correction on aperiodic CSI-RS in 36.212 <td>2017-12</td> <td>RAN#78</td> <td>RP-172692</td> <td>0272</td> <td>-</td> <td>F</td> <td>Correction of section reference for eVoLTE</td> <td>14.5.0</td>	2017-12	RAN#78	RP-172692	0272	-	F	Correction of section reference for eVoLTE	14.5.0
2017-12         RAN#78         RP-172686         0277         -         F         Clarification on 2 HARQ process applicability to UE-specific search space         14.5.0           2018-01         MCC clean-up to correct indentation issues in clause 5         14.5.1           2018-06         RAN#80         RP-181162         0282         -         A         Ordering of P-CSI plus SR in eCA         14.6.0           2018-06         RAN#80         RP-181165         0287         -         F         Fallback DCI for eMTC         14.6.0           2018-06         RAN#80         RP-181165         0287         -         F         Fallback DCI for eMTC         14.6.0           2018-06         RAN#80         RP-181165         0289         -         F         Correction on TPC field in DCI format 6-1A         14.6.0           2018-09         RAN#81         RP-181782         0298         -         F         Correction on DCI for HARQ-ACK bundling         14.7.0           2018-09         RAN#81         RP-181799         0302         -         F         Corrections to modulation signalling in MUST         14.7.0           2018-12         RAN#82         RP-182518         0308         -         F         Correction on aperiodic CSI-RS in 36.212         14.8.0	2017-12	RAN#78	RP-172682	0273	-	F	Correction on deriving number of available symbols for PUSCH	14.5.0
2017-12         RAN#78         RP-172080         0277         -         F         space         14.5.0           2018-01         MCC clean-up to correct indentation issues in clause 5         14.5.1           2018-06         RAN#80         RP-181162         0282         -         A         Ordering of P-CSI plus SR in eCA         14.6.0           2018-06         RAN#80         RP-181165         0287         -         F         Fallback DCI for eMTC         14.6.0           2018-06         RAN#80         RP-181165         0289         -         F         Correction on TPC field in DCI format 6-1A         14.6.0           2018-09         RAN#81         RP-181782         0298         -         F         Correction on DCI for HARQ-ACK bundling         14.7.0           2018-09         RAN#81         RP-181799         0302         -         F         Corrections to modulation signalling in MUST         14.7.0           2018-12         RAN#82         RP-182518         0308         -         F         Correction on aperiodic CSI-RS in 36.212         14.8.0	2017-12	RAN#78	RP-172690	0276	-	Α	Correction on number of SRS symbol for UCI multiplexing	14.5.0
2018-06         RAN#80         RP-181162         0282         -         A         Ordering of P-CSI plus SR in eCA         14.6.0           2018-06         RAN#80         RP-181165         0287         -         F         Fallback DCI for eMTC         14.6.0           2018-06         RAN#80         RP-181165         0287         -         F         Fallback DCI for eMTC         14.6.0           2018-06         RAN#80         RP-181165         0289         -         F         Correction on TPC field in DCI format 6-1A         14.6.0           2018-09         RAN#81         RP-181782         0298         -         F         Correction on DCI for HARQ-ACK bundling         14.7.0           2018-09         RAN#81         RP-181799         0302         -         F         Corrections to modulation signalling in MUST         14.7.0           2018-12         RAN#82         RP-182518         0308         -         F         Correction on aperiodic CSI-RS in 36.212         14.8.0	2017-12	RAN#78	RP-172686	0277	-	F		14.5.0
2018-06         RAN#80         RP-181165         0287         -         F         Fallback DCI for eMTC         14.6.0           2018-06         RAN#80         RP-181165         0289         -         F         Correction on TPC field in DCI format 6-1A         14.6.0           2018-09         RAN#81         RP-181782         0298         -         F         Correction on DCI for HARQ-ACK bundling         14.7.0           2018-09         RAN#81         RP-181799         0302         -         F         Corrections to modulation signalling in MUST         14.7.0           2018-12         RAN#82         RP-182518         0308         -         F         Correction on aperiodic CSI-RS in 36.212         14.8.0	2018-01						MCC clean-up to correct indentation issues in clause 5	14.5.1
2018-06         RAN#80         RP-181165         0289         -         F         Correction on TPC field in DCI format 6-1A         14.6.0           2018-09         RAN#81         RP-181782         0298         -         F         Correction on DCI for HARQ-ACK bundling         14.7.0           2018-09         RAN#81         RP-181799         0302         -         F         Corrections to modulation signalling in MUST         14.7.0           2018-12         RAN#82         RP-182518         0308         -         F         Correction on aperiodic CSI-RS in 36.212         14.8.0	2018-06	RAN#80	RP-181162	0282	-	Α	Ordering of P-CSI plus SR in eCA	14.6.0
2018-09         RAN#81         RP-181782         0298         -         F         Correction on DCI for HARQ-ACK bundling         14.7.0           2018-09         RAN#81         RP-181799         0302         -         F         Corrections to modulation signalling in MUST         14.7.0           2018-12         RAN#82         RP-182518         0308         -         F         Correction on aperiodic CSI-RS in 36.212         14.8.0	2018-06	RAN#80	RP-181165	0287	-	F	Fallback DCI for eMTC	14.6.0
2018-09         RAN#81         RP-181799         0302         -         F         Corrections to modulation signalling in MUST         14.7.0           2018-12         RAN#82         RP-182518         0308         -         F         Correction on aperiodic CSI-RS in 36.212         14.8.0	2018-06	RAN#80	RP-181165	0289	-	F	Correction on TPC field in DCI format 6-1A	14.6.0
2018-12         RAN#82         RP-182518         0308         -         F         Correction on aperiodic CSI-RS in 36.212         14.8.0	2018-09	RAN#81	RP-181782	0298	-	F	Correction on DCI for HARQ-ACK bundling	14.7.0
	2018-09	RAN#81	RP-181799	0302	-	F		14.7.0
2019-03 RAN#83 RP-190445 0314 - F Correction for DCI 0C and special subframe configuration 10 14.9.0	2018-12	RAN#82	RP-182518	0308	-	F	Correction on aperiodic CSI-RS in 36.212	14.8.0
	2019-03	RAN#83	RP-190445	0314	-	F	Correction for DCI 0C and special subframe configuration 10	14.9.0

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