## ETSI TS 133 223 V18.0.0 (2024-04)



Digital cellular telecommunications system (Phase 2+) (GSM); Universal Mobile Telecommunications System (UMTS); LTE;

Generic Authentication Architecture (GAA); Generic Bootstrapping Architecture (GBA) Push function (3GPP TS 33.223 version 18.0.0 Release 18)



# Reference RTS/TSGS-0333223vi00 Keywords GSM,LTE,SECURITY,UMTS

#### **ETSI**

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - APE 7112B Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° w061004871

#### Important notice

The present document can be downloaded from: https://www.etsi.org/standards-search

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the prevailing version of an ETSI deliverable is the one made publicly available in PDF format at <a href="https://www.etsi.org/deliver">www.etsi.org/deliver</a>.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at <a href="https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx">https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx</a>

If you find errors in the present document, please send your comment to one of the following services: https://portal.etsi.org/People/CommiteeSupportStaff.aspx

If you find a security vulnerability in the present document, please report it through our Coordinated Vulnerability Disclosure Program:

<a href="https://www.etsi.org/standards/coordinated-vulnerability-disclosure">https://www.etsi.org/standards/coordinated-vulnerability-disclosure</a>

#### Notice of disclaimer & limitation of liability

The information provided in the present deliverable is directed solely to professionals who have the appropriate degree of experience to understand and interpret its content in accordance with generally accepted engineering or other professional standard and applicable regulations.

No recommendation as to products and services or vendors is made or should be implied.

No representation or warranty is made that this deliverable is technically accurate or sufficient or conforms to any law and/or governmental rule and/or regulation and further, no representation or warranty is made of merchantability or fitness for any particular purpose or against infringement of intellectual property rights.

In no event shall ETSI be held liable for loss of profits or any other incidental or consequential damages.

Any software contained in this deliverable is provided "AS IS" with no warranties, express or implied, including but not limited to, the warranties of merchantability, fitness for a particular purpose and non-infringement of intellectual property rights and ETSI shall not be held liable in any event for any damages whatsoever (including, without limitation, damages for loss of profits, business interruption, loss of information, or any other pecuniary loss) arising out of or related to the use of or inability to use the software.

#### **Copyright Notification**

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.

The content of the PDF version shall not be modified without the written authorization of ETSI.

The copyright and the foregoing restriction extend to reproduction in all media.

© ETSI 2024. All rights reserved.

## Intellectual Property Rights

#### **Essential patents**

IPRs essential or potentially essential to normative deliverables may have been declared to ETSI. The declarations pertaining to these essential IPRs, if any, are publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (https://ipr.etsi.org/).

Pursuant to the ETSI Directives including the ETSI IPR Policy, no investigation regarding the essentiality of IPRs, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

#### **Trademarks**

The present document may include trademarks and/or tradenames which are asserted and/or registered by their owners. ETSI claims no ownership of these except for any which are indicated as being the property of ETSI, and conveys no right to use or reproduce any trademark and/or tradename. Mention of those trademarks in the present document does not constitute an endorsement by ETSI of products, services or organizations associated with those trademarks.

**DECT**<sup>TM</sup>, **PLUGTESTS**<sup>TM</sup>, **UMTS**<sup>TM</sup> and the ETSI logo are trademarks of ETSI registered for the benefit of its Members. **3GPP**<sup>TM</sup> and **LTE**<sup>TM</sup> are trademarks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners. **oneM2M**<sup>TM</sup> logo is a trademark of ETSI registered for the benefit of its Members and of the oneM2M Partners. **GSM**<sup>®</sup> and the GSM logo are trademarks registered and owned by the GSM Association.

## **Legal Notice**

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities. These shall be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between 3GPP and ETSI identities can be found under <a href="https://webapp.etsi.org/key/queryform.asp">https://webapp.etsi.org/key/queryform.asp</a>.

## Modal verbs terminology

In the present document "shall", "shall not", "should", "should not", "may", "need not", "will", "will not", "can" and "cannot" are to be interpreted as described in clause 3.2 of the <u>ETSI Drafting Rules</u> (Verbal forms for the expression of provisions).

"must" and "must not" are NOT allowed in ETSI deliverables except when used in direct citation.

## Contents

Intelle	ectual Property Rights		2						
Legal	Notice		2						
Moda	ıl verbs terminology		2						
1	Scope								
	•								
2									
3		and abbreviations							
3.1 3.2									
4		ure							
4.1 4.1.1									
4.1.1 4.1.2		em overview							
4.1.2		eture							
4.2.1		Rationale							
4.2.2		ng model							
4.3	•	ments							
4.3.1		ush Requirements							
4.3.2		n HSS and HLR							
4.3.3	•	n BSF							
4.3.4	*	n UE							
4.3.5	Requirements of	n Reference Point Upa	11						
4.3.6	Requirements or	n Reference Point Zh	11						
4.3.7		n Reference Point Zpn and Zpn'							
4.3.8	Requirements or	n Zn-Proxy	13						
4.3.9		n Reference Point Ua							
4.3.10		n NAF SA identifiers							
4.3.11	Requirements or	n Reference Point Dz	13						
5	GBA Push Function		13						
5.1		Flow and Processing							
5.1.1		sage Flow							
5.1.2		before issuing GPI request							
5.1.3		of NAF GPI request							
5.1.4		of GPI							
5.2	Data objects		18						
5.2.1	GBA Push Info	mation (GPI)	18						
5.2.2	NAF SA identit	ies	19						
5.2.3	NAF SA		19						
5.3		onfidentiality Protection							
5.3.1		rations							
5.3.2	3.2 Key material generation								
5.3.3									
5.3.4	* •								
5.3.5									
5.4	Procedures using th	e NAF SA	22						
Anne	x A (informative):	Rationale behind choice of the Disposable-Ks model	23						
Anne	x B (normative):	GBA-Push UE registration procedure	24						
Anno	x C (normative)	Support of SRA in CRA Push	25						

C.1 C	General	25
C.1.1	Overview	
C.1.2	Architectural Support	25
C.1.3	Reference point to support SBA in GBA Push	26
C.1.4	Service based interface to support SBA in GBA Push	26
C.2 C	GAA/GBA Push SBA Services	27
C.2.1	BSF Services	27
C.2.1.1	General	27
C.2.1.2	Nbsp_Gba service	
C.2.1.2.		
C.2.1.2.2	2 Nbsp_Gba_PushInfo service operation	27
C.2.2	HSS Services	
C.2.2.1	General	27
C.2.2.2	Nhss_GbaSubscriberDataManagement (GbaSDM) service	28
C.2.2.3	Nhss_GbaUEAuthentication service	28
C.2.3	UDM Services	28
C.2.4	Mapping of Zpn operations and terminology to SBI services	28
C.2.4.1	General	28
C.2.4.2	Mapping of Zpn messages to BSF SBI services	28
C.3 S	SBI Capable NF Discovery and Selection	28
C.3.1	General	28
C.3.2	SBI Capable BSF Discovery and Selection	28
C.3.3	SBI Capable HSS Discovery and Selection	29
C.3.4	UDM Discovery and Selection	29
Annex	D (informative): Change history	30
	· · · · · · · · · · · · · · · · · · ·	

### **Foreword**

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

### Introduction

3GPP defined the Generic Authentication Architecture (GAA). The adoption of GAA by other standardization bodies showed that some services can not make the assumption that the User Equipment (UE) has always the possibility to connect to the Bootstrapping Server Function (BSF) or that the UE for different reasons has not performed a bootstrapping procedure directly with the BSF. Hence, this specification introduces and specifies a GBA Push Function.

## 1 Scope

The present document specifies a Push Function as a functional add-on for the Generic Authentication Architecture (GAA) [1].

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1]	3GPP TS 33.220: "Generic Authentication Architecture (GAA); Generic bootstrapping architecture".
[2]	3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
[3]	3GPP TS 33.210: "3G Security; Network Domain Security; IP network layer security".
[4]	Void
[5]	Void.
[6]	3GPP TS 33.102: "3G Security; Security architecture".
[7]	FIPS PUB 180-2 (2002): "Secure Hash Standard".
[8]	IETF RFC 2104 (1997): "HMAC: Keyed-Hashing for Message Authentication".
[9]	ISO/IEC 10118-3:2004: "Information Technology – Security techniques – Hash-functions – Part 3: Dedicated hash-functions".
[10]	NIST Special Publication 800-38A: "Recommendation for Block Cipher Modes of Operation"
[11]	FIPS PUB 197: "Advanced Encryption Standard"
[12]	Void
[13]	3GPP TS 33.222 "Access to network application functions using Hypertext Transfer Protocol over Transport Layer Security (HTTPS)".
[14]	3GPP TS 29.109 "Generic Authentication Architecture (GAA); Zh and Zn Interfaces based on the Diameter protocol; Stage 3".
[15]	3GPP TS 33.224 "Generic Authentication Architecture (GAA); Generic Bootstrapping Architecture (GBA) Push Layer".
[15]	3GPP TS 31.101 "UICC-terminal interface; Physical and logical characteristics".
[16]	IETF RFC 4330: "Simple Network Time Protocol (SNTP) Version 4 for IPv4, IPv6 and OSI".
[17]	3GPP TS 23.502: "Procedures for the 5G System (5GS)".
[18]	3GPP TS 23.501: " System architecture for the 5G System (5GS)"

## 3 Definitions, symbols and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [2], TS 33.220 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [2].

**AUTN**(\*): In GBA context, GBA\_ME relies on AUTN value to verify that the authentication vector is from an authorised network, while GBA\_U relies on AUTN\* to perform network authentication as described in [1]. AUTN(\*) is used to refer both to AUTN and AUTN\*.

AUTS: Defined in TS 33.102 [6].

**Disposable-Ks model:** The keying model used in GBA-push. Only one NAF-key is generated per Ks and the Ks cannot be reused.

GBA\_U aware UICC: A UICC which supports GBA\_U which means that the Ks will never leave the UICC.

**GBA-Push-Info:** GBA-Push-Info contains data relevant for key derivation in GBA Push. GBA-Push\_Info is sent via the Upa-reference point from the NAF to the UE.

**NAF\_Id:** The FQDN of the NAF, concatenated with the Ua security protocol identifier,

**NAF-key:** A NAF-key derived from Ks. It can be used to refer to Ks\_(int/ext)\_NAF or Ks\_NAF.

**NAF SA:** A security association between a NAF and a UE based on a NAF-key.

**Push-message:** This is a message that is sent on a Ua-reference point from the NAF to the UE and has applied GBA keys that were bootstrapped via the Upa-reference point.

**Push-NAF:** A NAF authorized for using GBA-Push.

**UE\_Trp:** The transport address used for delivery of GPI to the UE.

#### 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [2] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [2].

BSF Bootstrapping Server Function
B-TID Bootstrapping Transaction Identifier
FQDN Fully Qualified Domain Name
GAA Generic Authentication Architecture
GBA Generic Bootstrapping Architecture

GBA\_ME ME-based GBA

GBA\_U GBA with UICC-based enhancements

GPI GBA Push Info

GUSS GBA User Security Settings
HLR Home Location Register
HSS Home Subscriber Server
Ks\_NAF NAF-key in GBA\_ME mode
Ks\_int\_NAF UICC internal NAF-key in GBA\_U
Ks\_ext\_NAF UICC external NAF-key in GBA\_U

ME Mobile Equipment

NAF Network Application Function
P-TID Push Temporary Identifier
SA Security Association
UE User Equipment
USS User Security Setting

#### 4 GBA Push Architecture

#### 4.1 Introduction

#### 4.1.1 General

GBA-push is a mechanism to bootstrap the security between a NAF and a UE, without forcing the UE to contact the BSF to initiate the bootstrapping. GBA-Push is closely related to and builds upon GBA as specified in TS 33.220 [1]. GBA-Push is aimed for both GBA\_U and GBA\_ME environments.

#### 4.1.2 GBA-Push system overview

The system overview in this clause gives a high level description of the general ideas behind the GBA-Push system solution and the features it offers.

The generic use case considered is that a NAF initiate's establishment of a shared Security Association (SA), a NAF SA, between itself and a UE. This is done by the NAF pushing all information, the so called GBA-Push-Info (GPI), needed for the UE to set-up the SA. The key in this SA is a NAF-key and the GPI is requested from the BSF. The NAF-key is generated as defined in GBA, TS 33.220 [1].

After the NAF SA establishment, the NAF can send protected Push-messages to the UE. If a return channel exists and if defined by the Ua application, the UE can also use the established SA to protect response messages to the initiating NAF How the NAF SA is used is out of scope for this specification. The NAF SA is identified by downlink and uplink SA identifiers.

GBA-Push is aimed for both GBA\_U and GBA\_ME environments. To only establish an external NAF-key with GBA-Push, the ME-based functionality, GBA\_ME, should be used. GBA-Push based on GBA\_U will establish both an internal and external NAF-key.

GBA-Push utilizes a so called Disposable-Ks model. In the Disposable-Ks model, a Ks is only used once to derive a single set of NAF-keys (and other keying material used to protect the GPI during transport). After the NAF-key derivation, the Ks is erased or its further usage is denied. A new GBA-Push operation will be needed whenever a new set of NAF-keys for the same or another NAF is needed.

NOTE 1: A generated NAF-key can be used to protect multiple Push-messages from the NAF to the UE. NAF-keys from different NAFs can coexist.

With the Disposable-Ks model, existing NAF-keys established as specified in TS 33.220 [1] or by GBA-Push will be unaffected. GBA\_ME based GBA-Push will not interact with GBA\_U but a GBA\_U based GBA Push will invalidate an existing Ks on the UICC.

NOTE 2: TS 33.220 [1] specifies that an existing Ks on the UICC will be overwritten when a new GBA\_U Ks-generation procedure is executed. The ME may of course trigger a new bootstrap procedure immediately after the GBA-Push operation to avoid delays and certain synch problems when the UE operates GBA according to TS33.220 [1].

The transport method of GPI from a NAF to a UE is not standardized.

NOTE 3: Examples of possible transport methods are SMS, MMS, SIP MESSAGE, UDP or broadcast. For the transport of GPI to UEs, a NAF needs to know the message transport addresses to use for the chosen transport method. For SMS and MMS the transport address is the MSISDN, for SIP MESSAGE it is an IMPU and for UDP an UDP port - IP-address pair. For broadcast delivery the UE transport addresses could be any public identity associated with a UE or an identity agreed between the NAF and the UE.

Resending of messages is a standard method to get "reliability" for delivery over unreliable channels like e.g. SMS or broadcast. Hence the GBA-Push shall allow that GPI is retransmitted several times including cases when it is sent every time a payload is pushed to the UE. Thus the system shall handle retransmissions of GPI efficiently.

The NAF SA defined by the GPI, is based on the use of a particular UICC (USIM/ISIM) application. Sometimes the transport method / address indicate to the UE which UICC application to use but in other cases it has to be explicitly signaled. If MSISDN is used as delivery address, then the USIM associated with that MSISDN should be used. This is

so because a SMS will only reach the UE when the USIM corresponding to the MSISDN is active in the UE. When an IMPU is used as destination address, the corresponding ISIM should be used. For UDP and broadcast the USIM/ISIM application to use has to be indicated in the GPI or be agreed upon out of band.

To protect user privacy, parts of the GPI shall be confidentiality protected, in particular the identity of the initiating NAF when broadcast transport is used. For unlinkability between NAF to UE and UE to NAF messages, a separate SA identity for UE to NAF security shall be assigned by the NAF and be included in the confidentiality protected part of the GPI. To help prevent serious effects of DoS attacks and thwart some NAF misuse of GBA-Push, the GPI also needs to be integrity protected. The integrity protection of GPI will also prevent that incorrect GBA Push security associations are accepted by the UE as it will detect transmission errors. The keys for confidentiality and integrity protection are derived from the Ks defined by the GPI.

#### 4.2 GBA Push Architecture

#### 4.2.1 Description and Rationale

The GBA Push functionality builds on the architecture and functionality provided by TS 33.220 [1]. The main difference from TS 33.220 [1] is the definition of new reference points between the BSF and the NAF and between the NAF and the UE, as indicated in figure 4.2-1, which is a modified version of figure 4.1 in TS 33.220 [1].

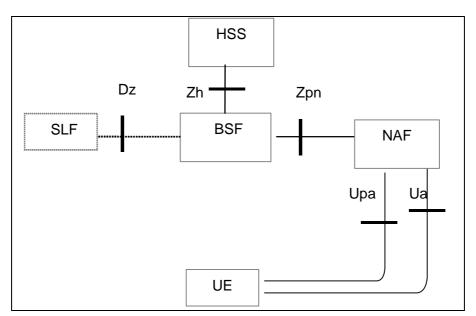


Figure 4.2-1: Simple network model for pushed bootstrapping via NAF

The GBA Push architecture outlined in figure 4.2-1 is based on the following rationales:

- The Ua reference point protection shall be unaffected i.e. it should not make any difference for Ua-protocols whether the GBA-keys used for protection are UE-initiated or push-initiated.
- In viewpoint of the BSF, the NAF is still the initiating entity of a key retrieval, but now in situations where the NAF has no B-TID (but the UE may have a valid GBA session). A Zpn reference point is introduced, based on the Zn-reference point protocols defined by TS 33.220 [1].
- A new reference point Upa is introduced between the NAF and the UE. All messages over Upa are network initiated. Upa defines the GBA-Push-Info.
- The NAF receives the GBA-Push-Info intended for the UE from the BSF over the Zpn reference point and forwards it over Upa.

#### 4.2.2 GBA-Push keying model

The Disposable-Ks model is the keying model used in GBA-Push. In the Disposable-Ks model, a Ks is only used once to derive a single set of NAF-keys (and other keying material used to protect the GPI during transport, see clause 5.3). After the NAF-key derivation, the Ks is erased or its further use is denied implicitly, which means that there will be no generally usable Ks established.

To only establish an external NAF-key with GBA-Push, GBA\_ME can and should always be used. This functionality does not require a GBA\_U aware UICC. GBA-Push based on GBA\_U will establish both an internal and an external NAF-key. NAF-keys are derived as specified in TS 33.220 [1].

In GBA\_ME based GBA-Push bootstrapping, a Ks, generated by a bootstrapping according to TS 33.220 [1], will be unaffected.

In GBA\_U based GBA Push bootstrapping, a GBA\_U Ks generated by bootstrapping according to TS 33.220 [1] will be invalidated. A new GBA\_U Ks needs to be established using normal GBA if an application requires GBA\_U NAF-keys after GBA\_U based GBA-Push bootstrapping. Applications can continue using NAF-keys derived from such an invalidated Ks, i.e. applications already using NAF-keys are unaffected of the GBA-Push bootstrapping run.

GBA-Push only supports generation of so called NAF SAs, shared by a UE and a NAF. A NAF SA contains a NAF-key, key life-time and other information as defined in clause 5.2.3.

### 4.3 GBA Push Requirements

#### 4.3.1 General GBA Push Requirements

The following general requirements are applicable to enable GBA Push:

- A network entity, a so called Push NAF, shall be able to securely trigger the generation of a NAF SA between itself and a UE.
- A Push-NAF shall be able to use channels with deferred delivery of messages when triggering the generation of a NAF SA.
- A Push-NAF shall be able to use public identities when referencing a UE in a request towards the BSF.
- When a public identifier is used for GBA push it shall correspond uniquely to a single private identity.
- ME based GBA Push shall be used when only ME based NAF keys are needed, i.e. Ks is established in the ME. UICC based GBA Push shall be used only when UE contains a GBA aware UICC (GBA\_U), and when UICC and ME based NAF keys are needed or when only UICC based NAF keys are needed, i.e. Ks is established in the UICC.
- The generation of the NAF SA in the UE is triggered by the reception of a message pushed to the UE from the Push-NAF.
- The UE should not have to contact any network entity to be able to correctly generate the NAF SA.
- The UE and the NAF shall be able to use bootstrapped NAF-keys on Ua reference point independent on whether the bootstrapping has been performed via Ub or Upa reference point.
- NOTE: When a GBA-push mechanism is used to create a NAF SA between the UE and the NAF, the NAF is not restricted to use the derived security association for network initiated protocols only. Analogously, the fact that UE initiated GBA was used does not restrict a NAF to use the derived security association for UE-initiated protocols only (Ua reference point).
- The mechanism to generate keys for confidentially and integrity protection of GPI shall be based on GBA-principles in order to avoid pre-configuration of keys.
- The NAF shall be unable to obtain or generate the keys that protect GPI.

#### 4.3.2 Requirements on HSS and HLR

The requirements for HSS and HLR are in TS 33.220 [1].

#### 4.3.3 Requirements on BSF

In addition to the BSF requirements in clause 4.2.1 of TS 33.220 [1] following requirements apply:

- The BSF shall be able to find the private identity corresponding to a public identity.
- The BSF shall index existing Ks's based on private user identity.
- The BSF shall generate GPI based on a fresh Ks.
- The BSF shall integrity protect the GPI.
- The BSF shall confidentiality protect certain fields in the GPI. The fields that shall be confidentially protected are given in clause 5.2.1.

#### 4.3.4 Requirements on UE

In addition to the UE requirements in clause 4.2.4 of TS 33.220 [1] the following requirements apply:

- The UE shall be able to store and handle NAF SAs.
- An ME implementing this specification shall also implement GBA\_U and GBA-ME as specified in TS 33.220 [1].
- The UE may implement an authorization mechanism to authorize incoming GBA Push messages.

NOTE: The GBA Push message authorization mechanism can be based on white or black lists of FQDN names of the Push-NAFs.

#### 4.3.5 Requirements on Reference Point Upa

The requirements for reference point Upa are:

- The UE shall be able to validate that the GPI comes from an authorized source (BSF) based on AKA

NOTE 1: The Push-NAF is indirectly authenticated by its knowledge of Ks(\_ext/int)\_NAF (i.e. BSF has authenticated the NAF).

- The UE shall be able to determine the UICC (USIM/ISIM) application used for bootstrapping.
- The NAF and the UE shall be able to establish a shared NAF SA.
- The NAF shall be able to send NAF SA identifier information.
- the BSF shall be able to indicate to the UE the lifetime of the key material. The key lifetime sent by the BSF over Upa shall indicate the expiry time of the key.

NOTE 2: The requirements for the Upa reference point are based on the requirements of the Ub reference point c.f. TS 33.220 [1].

## 4.3.6 Requirements on Reference Point Zh

The requirements for reference point Zh are in TS 33.220 [1].

## 4.3.7 Requirements on Reference Point Zpn and Zpn'

The requirements for reference point Zpn are:

- Mutual authentication, confidentiality and integrity shall be provided.

- If the BSF and the NAF are located within the same operator's network, the DIAMETER based Zpn reference point shall be secured according to NDS/IP, TS 33.210 [3].
- If the BSF and the NAF are located in different operators' networks, the DIAMETER based Zpn' reference point between the Zn-Proxy and the BSF shall be secured using TLS.

NOTE 1: Annex E of TS 33.220 [1] specifies the TLS profile that shall be applied.

- A Web Services based Zpn/Zpn' reference point shall be secured using TLS;

NOTE 2: Annex E of TS 33.220 [1] specifies the TLS profile that shall be applied.

- The BSF shall verify that the requesting NAF is authorised to obtain the key material or the key material and the requested USS.
- The NAF shall be able to send a key material request to the BSF, containing NAF's public hostname corresponding to the use over Upa reference point. The BSF shall be able to verify that a NAF is authorized to use this hostname, i.e. the FQDN seen by UE on Upa reference point.
- NOTE 3: This requirement is a modified requirement from [1] that has been adapted for the GBA Push purpose.
- NOTE 3a: Due to the fact that the UE may be unable to verify the pNAF FQDN, it is important to strictly check the pNAF FQDN-name in the network in the Zpn-proxy. A too loose checking of the pNAF FQDN name e.g. by verification of only part of the FQDN, may give rise to misuse by pNAFs.
- The BSF shall be able to send the requested key material to the NAF.
- The NAF shall be able to get a selected set of application-specific USSs from the BSF, depending on the policy of the BSF and the application indicated in the request from the NAF over Zpn.
- The NAF shall be able to indicate to the BSF the single application or several applications it requires USSs for.
- NOTE 4: If some application needs only a subset of an application-specific USS, e.g. only one IMPU, the NAF selects this subset from the complete set of USS sent from BSF.
- The BSF shall be able to be configured on a per NAF or per application basis.
- Whether private subscriber identity, i.e. IMPI, may be sent to the NAF.
- Whether a particular USS may be sent to a NAF.
- If a NAF requests USSs from the BSF and they are not present in subscriber's GUSS, it shall not cause an error, provided the conditions of the local policy of the BSF are fulfilled. The BSF shall then send only the requested and found USSs to the NAF.
- It shall be possible to configure a local policy as follows: BSF may require one or more application-specific USS to be present in a particular subscriber's GUSS for a particular requesting NAF, and to reject the request from the NAF in case the conditions are not fulfilled. In order to satisfy this local policy, it is not required that the NAF requests the USSs over the Zpn reference point, which the BSF requires to be present in the GUSS, rather it is sufficient that the BSF checks the presence of the USSs locally. It shall also be possible to configure the BSF in such a way that no USS is required for the requesting NAF.

NOTE 5: For more information on the local policy usage, see Annex J of TS 33.220 [1].

- The NAF shall be able to request the life-time that a NAF SA should have. The key lifetime sent by the BSF over Zpn shall indicate the expiry time of the key.
- NOTE 6: This does not preclude a NAF to refresh the NAF SA before the expiry time according to the NAF's local policy.
- NOTE 7: If one or more of the USSs that have been delivered to the NAF has been updated in subscriber's GUSS in the HSS, this change is propagated to the NAF the next time it fetches the USS from the BSF over Zpn reference point (provided that the BSF has updated subscriber's GUSS from the HSS over Zh reference point).

- NAF shall be able to indicate to BSF the protocol identifier of Ua security protocol for which it requires the key material (cf. Annex H of TS 33.220 [1]).
- The NAF shall be able to indicate the user identity to the BSF. Both public and private identities shall be allowed.

NOTE 8: The requirements for reference point Zpn are based on the Zn-reference point requirements as described in TS 33.220 [1].

- The NAF shall be able to indicate whether GBA\_ME or GBA\_U shall be used.

#### 4.3.8 Requirements on Zn-Proxy

In the case that push NAF is operated in a network other than the home network, this visited NAF shall use a Zn-proxy of the NAF's network to communicate with the subscriber's BSF (i.e. home BSF). The requirements for the Zn proxy are described in TS 33.220 [1].

#### 4.3.9 Requirements on Reference Point Ua

The requirements for reference point Ua are as in TS 33.220 [1] with the following addition:

 It shall be possible to use SA identifiers in the uplink that are unlinkable with the push message establishing the used NAF SA.

#### 4.3.10 Requirements on NAF SA identifiers

- The downlink NAF SA identifier shall be unique within the UE and uniquely identify that it references a NAF SA for a particular NAF\_Id.
- The uplink NAF SA identifier shall be unique within the NAF and uniquely identify that it references a NAF SA for a particular UE and Ua security protocol identity.

## 4.3.11 Requirements on Reference Point Dz

This interface between BSF and SLF is used to retrieve the address of the HSS and the requirements are the same as described in TS 33.220 [1]. This interface is not required in a single HSS environment.

## 5 GBA Push Function

## 5.1 GBA Push Message Flow and Processing

## 5.1.1 GBA Push Message Flow

Figure 5.1-1 outlines the message flow for the case, where the NAF wants to send data to the UE, but has no valid NAF-key available i.e. no Ks(\_int/ext)\_NAF available The reason that the NAF has to initiate NAF SA establishment can be that the UE may be unable to perform a bootstrapping procedure directly with the BSF or that the UE should not perform a bootstrapping procedure directly with the BSF

NOTE 1: An example use case when the UE is unable to perform a bootstrapping procedure is in a broadcast scenario.

If the subscriber is managed in an HLR instead of the HSS then GUSS functionality and SLF functionality are not available otherwise the functional flow is the same when substituting the word HSS by HLR in the text and the message flow below.

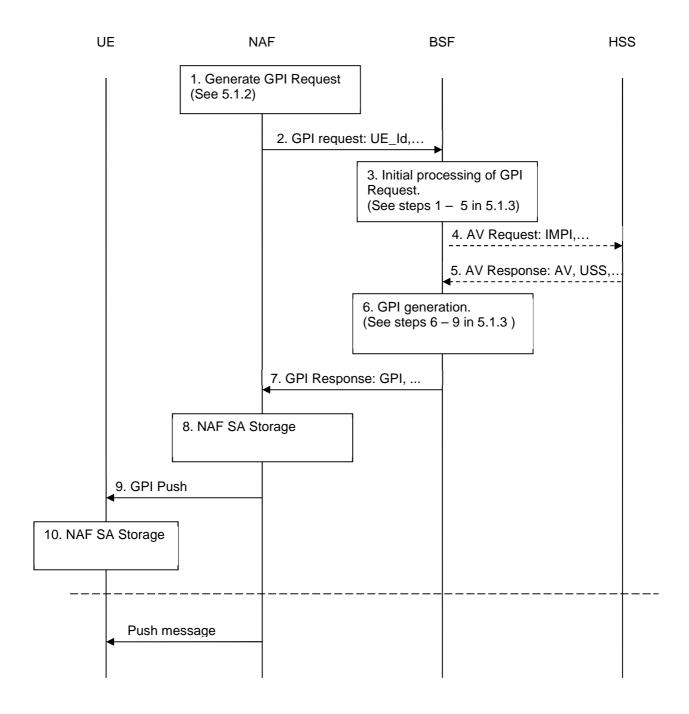


Figure 5.1.1-1: High level message flow description for bootstrapping through the NAF

A precondition for use of GBA-Push is that the UE is registered with the Push-NAF for the intended service. Annex B describes information that the Push-NAF must register to be able to deliver the push service and the information that has to be agreed between the UE and the Push-NAF.

Processing and message flow:

- 1. A NAF needs to establish a shared NAF SA with a UE which is registered for Push services. It knows the identity of the subscriber. The Push-NAF performs the processing described in clause 5.1.2 and generates the GPI Request.
- 2. The NAF sends the GPI Request to the BSF.
- 3. Upon receiving the request from the NAF, the BSF performs the processing steps 1 to 5 described in clause 5.1.3.

- 4. The BSF fetches a new AV and subscriber's GUSS from the HSS. The GUSS contains subscriber security related information e.g. UICC GBA awareness and USS elements.
- 5 The HSS sends the AV and the GUSS to the BSF.
- 6. When the BSF receives the AV Response from the HSS, it performs the processing steps 6 to 9 described in clause 5.1.3.
- 7. The BSF sends the GPI Response to the NAF.
- 8. The NAF stores the received information together with other user information in a NAF SA, see clause 5.2.3.
- 9. The NAF then forwards the GPI to the UE over Upa using the selected transport mechanism and the given transport address.
- 10. When the UE receives the message containing the GPI, it processes the GPI as defined in clause 5.1.4 and stores the corresponding NAF SA(s)

The UE and NAF are now ready to use the established NAF SA.

#### 5.1.2 NAF processing before issuing GPI request

The NAF reads its available data associated with the user and the application for which the NAF SA shall be established. The NAF then determines the Ua security protocol identifier to use in the request to the BSF. It also determines the required life-time of the NAF SA. The NAF then generates the GPI request containing the parameters as given in table 5.1.2. .

If the NAF has received an AUTS parameter and a RAND from the UE indicating that a synchronisation failure has occurred on the USIM when the UE processed the previous GPI, then the NAF shall use the RAND to identify the corresponding NAF SA for this particular UE and include the AUTS and the RAND in the new NAF GPI request to the BSF. If the NAF has received the RAND and AUTS protected via the Ua interface, the NAF may invalidate the NAF SA until it has received a new GPI response from the BSF .

NOTE: Allowing the NAF to invalidate the NAF SA is Ua protocol specific. If the NAF invalidates the NAF SA when it receives the AUTS and the RAND unprotected on the Ua interface, then it would open up for an attack where an attacker could invalidate the NAF SA's.

1

Table 5.1.2.1: Parameters in NAF GPI request

Parameter name	Description	Notes
UE_ld	UE identifier	This may be a private or a public identifier.
UE_ld_Type	Indicator if UE_ld is a public or private identity	This information is needed by the BSF to correctly trigger the Public to Private Id resolution towards a HSS/HLR
App_Lbl	Identifier for UICC application to use	This variable may be left empty if the UICC application to use is evident from context or agreement.
NAF_Id	Concatenation of NAF FQDN and Ua security protocol Id	Defined in TS 33.220 [1]
P-TID	NAF SA identifier	To be used by UE when responding to NAF. The identifier is included only to enable that it is confidentiality protected in the GPI. See also clause 5.2.1 and 5.2.2.
U/M	Indicator for use of GBA_ME or GBA_U	
Key_LT	Requested NAF-Key life time	
Priv_ld	Indicates request for private user identity	Private user identity is IMSI/IMPI for the selected UICC application (USIM/ISIM)
GSID_List	GSIDs of USS request information	
AUTS	AUTS in UMTS AKA	Defined in TS 33.102[6].
RAND	RAND in UMTS AKA	Defined in TS 33.102[6].

#### 5.1.3 BSF processing of NAF GPI request

When the BSF receives the GPI request from the NAF it performs the following processing steps:

- 1. The BSF checks that the NAF is authorized to use the NAF\_Id provided in the GPI request. If it is not, an error message is generated and the processing is terminated.
  - The BSF checks that the requested Key\_LT in the GPI request is less than the allowed max value in the system. If the value is greater than the max value an error message is generated and the processing is terminated.
- 2. If the UE\_Id is a public identity, the BSF resolves the corresponding private identity (i.e. IMPI or IMSI) as specified in TS 29.109 [14].
- 3. If needed, the BSF retrieves the HSS address for the given UE using the SLF.
- 4. The BSF requests an AV, and subscriber's GUSS from the HSS.
- NOTE 1: If the network utilizes an HLR, then no SLF is used.
- NOTE 2: If the network utilizes an HLR, then GUSS can be realized using an external database as defined in TS 33.220 [1].
  - If the BSF receives an indication of synchronization failure in the GPI request from the NAF, i.e. when an AUTS and a RAND are included in the GPI request, then the BSF shall include these AUTS and RAND parameters within the AV request to the HSS (TS 29.109 [14]).
- 5. The BSF checks if GBA\_ME or GBA\_U is requested by the NAF. If GBA\_U is requested the BSF checks that this is compatible with the GBA awareness of the UICC according to the GUSS information. If it is not, an error message is generated and the processing is terminated.

The BSF may use USS for policy management and key selection indication as described in TS 33.220 [1]. If GBA\_U is requested the BSF queries its database to find out if the private UE\_Id is registered and if a valid Ks already exists. If a valid Ks exists the BSF shall invalidate this Ks.

If the network utilizes an HLR instead of an HSS, then the BSF request only the AV from the HLR.

NOTE 3: If the network utilizes an HLR, then GUSS can be realized using an external database as defined in TS 33.220 [1]

- 6. The BSF generates the requested NAF-key(s) according to provided NAF\_Id.
- 7. The BSF generates the GPI. The parameters of the GPI are defined in clause 5.2.1. The generation of the GPI includes calculation of the GPI MAC and performing confidentiality protection on parts of the GPI. GPI protection is described in clause 5.3.
- 8. The BSF sends its response to the NAF, and deletes the Ks used. The GPI response is defined in table 5.1.3.1.

Parameter name	Description	Notes
GPI	GPI	GPI information is defined clause 5.2.1
Ks_NAF / Ks_ext_NAF	External NAF-key	Ks_NAF is generated in GBA_ME based GBA-Push Ks_ext_NAF is generated in GBA_U based GBA_Push
Ks_int_NAF	UICC internal NAF-key	Ks_int_NAF is generated in GBA_U based GBA_Push
Key_LT	NAF-Key life time	
UE_Priv_Id	Private user identity (IMSI/IMPI) for used UE_Id	Only returned if requested and public user identity was used in GPI request and the NAF is authorized by the BSF to receive the private user identity.
USS	USS information	If available

Table 5.1.3.1: Parameters in GPI response

## 5.1.4 UE processing of GPI

When the UE receives a GPI it performs the following steps.

- 1. UE receives GPI. The parameters of the GPI are defined in clauses 5.2.1 and 5.3.5.
- 2. If the App\_Lbl in the GPI is included, then if the App\_Lbl:
  - a. indicates a USIM or ISIM application which is already active then the UE continues processing from step 4.
  - b. indicates a USIM application different from the currently active USIM application, then the ME shall reject the request, as there at most, only can be one USIM active at one time.
  - c. indicates a ISIM application different from the currently active ISIM application(s), then the ME shall not terminate the currently active ISIM application(s), but instead the ME shall activate the ISIM application as defined in TS 31.101 [15], as the UE is allowed to have several ISIM applications active simultaneously.
- 3. If the App\_Lbl in the GPI is undefined, the UE determines the UICC application to use from used delivery channel of the GPI (e.g. SMS, MMS, SIP Message, etc) or from other context information.
- 4. UE checks if it has received the same GPI earlier.
  - a. If the GPI corresponds to an already existing NAF SA (this can be achieved by comparing the RAND value in the new GPI with the RAND value in an existing NAF SA), then the GPI is silently dropped and the GPI processing terminated.
  - b. If the GPI corresponds to an incomplete NAF SA, the Ks indicated by GPI is activated and processing continues from step 7 (step 8 describes how an incomplete SA may appear).

- NOTE 1: To handle retransmissions efficiently the UE benefits from only invoking a UICC application after checking that the GPI does not correspond to an already existing NAF SA. The check can be done by comparing the received (RAND, AUTN(\*), App\_Lbl) triplet with the corresponding triplets associated with existing NAF SAs.
- 5. The UE reads the GPI version number and selects the corresponding GPI integrity and ciphering algorithms. If the UE does not support this GPI version, the GPI is silently dropped and the GPI processing is terminated.
- 6. If the UICC application is active or can be activated the UE initiates derivation of the Ks by issuing an Authenticate command to the UICC. The type of Authenticate command is determined by the indicated U/M-mode in the GPI, i.e. if GBA\_ME or GBA\_U should be used.
  - If the Authenticate command on the USIM returns a MAC failure then the GPI processing ends.

If the Authenticate command on the USIM returns a synchronisation failure including the AUTS back to the ME, then the ME may forward the the AUTS and the corresponding RAND to the NAF, if the UE has an uplink channel to the NAF. If the UE has no uplink channel to the NAF then the GPI processing ends in the UE.

NOTE A: How the AUTS and the RAND are transmitted from the UE to the NAF is Ua application specific.

- If U/M indicates use of GBA\_U, the generated Ks will effectively be generated on the UICC and not deleted until next GBA\_U Ks is established using Authenticate command. The ME shall restrict NAF-key generation procedures using the generated Ks on the UICC to only be allowed for the NAF SA generation associated with the ongoing GBA-Push procedure.
- 7. The ME initiates the derivation of the GPI protection keys and other parameters needed for GPI integrity checking and deciphering of the confidentiality protected parts. This processing is defined in clause 5.3
- 8. The ME checks the integrity of the GPI message. If the integrity check fails, the following procedure is followed:
  - a. With GBA\_ME, the derived Ks is stored and marked as incomplete and the GPI processing ends.
  - b. With GBA\_U, the Ks was stored by the authenticate command. The Ks identity, which normally would be B-TID (see TS 33.220 [1]) is set to RAND@'undefined'. The GPI processing ends.
- 9. The ME deciphers the confidentiality protected parts of the GPI using the algorithms defined by the GPI version number and the GPI confidentiality protection keys.
- 10. The UE initiates the derivation of the NAF-Key (s), Ks(\_int/ext)\_NAF, using the NAF\_Id received in the GPI. The key derivation is defined as specified in TS 33.220 [1]. For GBA\_ME, the ME deletes the Ks after the derivation of the NAF-Key is completed.
- NOTE 1A: In the case of common implementation of GBA and GBA Push, care should be taken that this deletion rule only applies to GBA Push-based Ks.
- 11. The NAF SA consisting of the NAF-key(s) and associated parameters is stored.
- NOTE 2: When GBA\_U is used, two NAF-keys will be generated i.e. a Ks\_ext\_NAF will be stored in the ME and a Ks\_int\_NAF will be stored on the UICC. Both keys will be part of the NAF SA.

## 5.2 Data objects

## 5.2.1 GBA Push Information (GPI)

The definition of GPI information is given in table 5.2.1.1 Note that GPI does not contain any user identity or transport address as these entities are not needed by the GBA processing in the UE. They are only relevant for the transport of the GPI.

Table 5.2.1.1: GPI information

Parameter name	Description	Notes
Ver	Version of GPI	The version number is introduced to allow changes of GPI format and protection algorithms.
RAND	RAND in UMTS AKA	Defined in TS 33.102 [6]
AUTN(*)	AUTN or AUTN*	Defined in TS 33.220 [1]
App_Lbl	Identifier for UICC application to use	This variable may be left empty if the UICC application to use is evident from context or agreement. The Application Label is defined in TS 31.101 [15]
U/M	Indicator for use of GBA_ME or GBA_U	
NAF_ld	Concatenation of NAF FQDN and Ua security protocol Id	Defined in TS 33.220 [1]; Confidentiality protected
Key_LT	Requested NAF-Key life time	Confidentiality protected
P-TID	NAF SA Identifier	To be used by UE when responding to NAF. The identifier is included only to enable that it is confidentiality protected in the GPI. See also clause 5.2.2. <b>Confidentiality protected</b>
MAC	Message authentication code over GPI	The integrity protection covers the complete GPI

This specification only defines a single version of GPI, i.e. version 1. In version 1, the MAC field is 32 bits.

#### 5.2.2 NAF SA identities

A NAF SA holds NAF-key(s) and can have unique identities for uplink and downlink references, this to support unlinkability between uplink and downlink protection measures.

P-TID is assigned by the NAF and should be unique within the NAF.

NAF SA identifiers are:

DL\_SA\_Id = RAND@'naf': Identifies NAF SA in the UE (used by both NAF and UE for downlink traffic).

UL\_SA\_Id = Value of P-TID: Identifies NAF SA in the NAF (used by both NAF and UE for uplink traffic).

NOTE: 'naf' indicates a string of the characters naf.

#### 5.2.3 NAF SA

The NAF needs to keep some additional information in its NAF SA compared with the UE. The UE identity used in the BSF request for GPI must be stored to allow the NAF to determine from which UE a response is coming and also to link sequences of SA's for the same UE. The NAF also needs to store the transport address to which the GPI should be directed. If the NAF uses retransmission to achieve better delivery reliability, it also needs to store the encrypted version of the part of the GPI, which is confidentiality protected. It also has to store the GPI MAC.

Table 5.2.3-1: NAF SA definition

Parameter name	NAF	UE	Description	Notes
UE_ ld	m	0	The user identity used in NAF request.	
UE_Priv_Id	o	-	Private user identity (IMSI/IMPI) for used UE_Id	
UE_Trp	m	-	Transport address to which GPI should be delivered	The transport address used by the NAF when pushing GPI to the UE
RAND	m	m	RAND in UMTS AKA	From GPI
AUTN(*)	m	m	AUTN or AUTN*	From GPI
App_Lbl	m	m	UICC application identifier	From GPI or other implicit agreement or information.
NAF_Id	m	m	Concatenation of NAF FQDN and Ua security protocol Id	
Enc_GPI	m	-	Encrypted part of GPI plus MAC	
Mac_GPI	m	-	BSF generated MAC over GPI	
UL_SA_Id	m	m	Uplink NAF SA identity	The identity is defined in section 5.2.2.
DL_SA_Id	m	m	Downlink NAF SA identity	The identity is defined in section 5.2.2.
Ks_NAF /	m	m	External NAF-key	Ks_NAF is generated in GBA_ME based GBA-Push
Ks_ext_NAF				Ks_ext_NAF is generated in GBA_U based GBA_Push
Ks_int_NAF	О	o	UICC internal NAF-key	Ks_int_NAF is generated in GBA_U based GBA_Push
Key_LT	m	m	Received NAF-Key life time	

## 5.3 GPI Integrity and Confidentiality Protection

#### 5.3.1 General considerations

Integrity and confidentiality protection of the GPI is between the BSF and the UE. The keying material used for the protection must not leave the BSF and the UE, which implies that the NAF in particular and all other parties different from the UE and the BSF will not be able to modify the GPI (due to the integrity protection) or read its confidentiality protected parts.

NOTE: Transferring the NAF\_Id in the clear together with a long term user identity/transport address may give rise to a privacy problem in a broadcast network or in an access network that does not apply confidentiality protection

## 5.3.2 Key material generation

The key material for confidentiality and integrity protection of GPI is derived from the Ks, which the GPI defines. The key derivations in version 1 of the GPI use the KDF defined in Annex B3 of TS 33.220 [1] with the below defined modifications of the NAF\_ID (variable P3). The used NAF\_ID as defined below shall be UTF- 8 encoded. All keys are 128 bits. The 128 least significant bits of the KDF output are used as key bits. The following keys are defined:

GPI\_INT\_Key: The NAF\_ID shall equal 'GPI\_integrity'.

GPI\_ENC\_Key: The NAF\_ID shall equal 'GPI\_confidentiality'

**GPI\_IV:** The NAF\_ID shall equal 'GPI\_IV'

NOTE: It is appropriate to generate the IV this way as the keys will only be used to protect a single message.

#### 5.3.3 GPI Integrity protection

GPI integrity protection is mandatory. The integrity protection is calculated after GPI has been confidentiality protected as defined in clause 5.3.4.

The GPI integrity protection in version 1 of the GPI uses algorithm HMAC-SHA256-32 with a 128-bit key as defined in [7], [8] and [9]. The MAC is computed over the complete GPI as defined in clause 5.2.1, During the computation of the MAC, the MAC field shall be treated as containing all zeros.

#### 5.3.4 GPI Confidentiality protection

GPI confidentiality protection is mandatory.

The confidentiality protection shall be applied on GPI elements as indicated in table 5.2.1.1.

The GPI confidentiality algorithm in version 1 of the GPI is CTR-AES128 [10], [11]. The key to be used is GPI\_ENC\_Key and the start value T<sub>1</sub> for the counter is GPI\_IV. The standard incrementing function is used with m=16, according to appendix B in [10], i.e. the 16 least significant bits in T behave like a counter while the 112 most significant bits are static and equal the 112 most significant bits of the GPI\_IV.

#### 5.3.5 GPI message format and coding

The GPI message is laid out as shown in Figure 5.3.5-1. Each field is encoded in network byte order (i.e., big endian) and with the most significant bit being bit number zero. The fields of the message are the following.

**Ver (4 bits):** The version of the GPI message encoded as a 4 bit binary number. The version of any message conforming to this specification shall use the value 1, i.e., the first nibble of the message is 0x1.

**Reserved (3 bits):** These bits are reserved for future versions of this specification. Implementations conforming to this specification shall set these bits to zero before transmitting a message, and the receiver of the message shall ignore these bits.

U/M (1 bit): 0 = GBA\_ME, 1 = GBA\_U

**RAND:** 16 octets. **AUTN:** 16 octets.

Length App\_Lbl: 1 octet containing length of App\_Lbl in number of octets.

App\_Lbl (variable length): UTF-8 encoded character string.

Length NAF\_Id: 1 octet containing length of NAF\_Id in number of octets.

**NAF\_Id** (variable length): UTF-8 encoded FQDN of NAF concatenated with the 5 octets of the Ua security protocol identifier.

**Key\_LT:** 4 octets. Key expiry time in the same format as the first four bytes in the NTP timestamp format [16]. This represents the number of seconds since 0h on 1 January 1900. On 7 February 2036 the time value will overflow. In [16] a procedure is described to extend the time to 2104. This procedure shall be supported.

**Length PTID:** 1 octet containing length of PTID in number of octets.

PTID (variable length): UTF-8 encoded character strings

MAC: 4 octets.

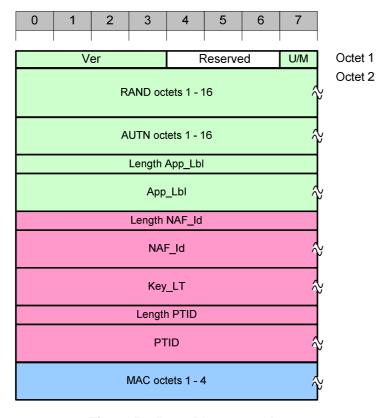


Figure 5.3.5-1. GPI message layout

## 5.4 Procedures using the NAF SA

The established NAF SA can be used by the terminal to set up communication over Ua.

If the terminal want to initiate a Ua connection as specified by TS 33.222 [13] based on a NAF SA established via TS 33.223 then the procedures for the terminal shall follow the principles defined in clause 4.5.3 in TS 33.220 [1] and clauses 5.3 and 5.4 in TS 33.222 [13] with the following change:

- Instead of referencing the SA (NAF-Key) to be used by a B-TID as described in TS 33.220, clause 4.5.3, the UE uses P-TID. P-TID was sent in the GPI coming from NAF and it will uniquely identify the SA and the user identity to the NAF
- Instead of supplying the B-TID as user name as described in TS 33.222, clause 5.3, the UE uses P-TID. The NAF will then know the user identity and can retrieve the key from the NAF SA.
- Instead of using the B-TID as PSK identity as described in TS 33.222 clause 5.4 the UE uses P-TID. The NAF will then know the user identity and can retrieve the key from the NAF SA.

## Annex A (informative): Rationale behind choice of the Disposable-Ks model

GBA-Push utilizes the Disposable-Ks model in which a Ks is only used once to derive a single set of NAF-keys. This means that after a NAF-key derivation, the used Ks is erased or its further usage is blocked. Furthermore, a Single Ks model is adopted for GBA\_U based GBA-Push. This means that only a single GBA\_U Ks can exist at a given time. The rationale behind the Single-Ks model for GBA\_U based GBA-Push is to make it possible to reuse Rel-6 UICC's supporting GBA\_U for GBA\_U based GBA-Push.

For GBA\_ME based GBA-Push the specification assumes that the ME can perform the necessary operations without having to erase a Ks generated by a normal GBA\_ME bootstrapping.

The rationale behind the adoption of the Disposable-Ks model is to avoid synchronization problems as the GBA-Push may be over unreliable channels with non-delivery or undefined delay in delivery time, which may render the UE and the BSF unsynchronized with respect to the existence of a single valid GBA-Push Ks. Another situation when the UE and the BSF may become unsynchronized is when the BSF performs a normal bootstrapping and a NAF initiates a GBA-Push more or less simultaneously with the NAF requesting GPI before the UE performs the bootstrap and the GBA-Push message is delivered after the normal bootstrap. The Disposable-Ks model solves most of these out-of synch problems.

One situation when an out-of-synch problem will appear even with the adoption of the Disposable-Ks model is when the BSF may erase a valid Ks while the UE keeps it due to that the GBA-Push message can not be validated at the UE. This will lead to an error situation if the UE tries to use such a Ks. However, the error situation will easily be corrected as the NAF will get an error message from the BSF telling that the Ks (indicated by B-TID) is not available. The NAF would then return this error message and the terminal would perform a new bootstrap.

Alternatives to the chosen key handling model discussed were all based on allowing one or more GBA-Push generated Ks's and thus keeping a set of security contexts in the UE or on the UICC. Keeping one or more GBA-Push generated Ks's may make the out-of-synch problem go away or at least become much smaller. The downside is of course that as GBA\_U based GBA-Push is essential from a security point of view, adoption of those models would have required new functionality on the UICC which was deemed making the introduction and adoption of GBA-Push more difficult. When also taking the minor functional drawbacks of the chosen key handling model into account the extra cost and complexity introduced by the other models were not judged to be a sufficient motivation to introduce new UICCs.

## Annex B (normative): GBA-Push UE registration procedure

To be able to use GBA Push based services the user and the service provider need to share information. This is done in a registration procedure. The registration procedure could be explicit and involve the user or it could be automatic relying on user information provided by the user's operator. If the registration is initiated by the operator, the operator will have access to all needed registration information.

NOTE: When a user registers with a public identity this might not be the case, especially if the NAF is a third party service provider. One way of alleviating the problem would be to have users perform the registration over an authenticated/secured connection established with normal GBA. Then the BSF could provide the NAF with all needed information. Note however that this functionality is not standardized and that all needed information might not be available over the currently standardized interfaces.

At the registration the Push NAF shall record the user identity (UE\_Id), a push delivery method and the associated transport address (UE\_Trp). The user identity may be either a public identity or a private identity.

A public IMS user identity (IMPU) may only be used if it maps to a unique private identity (IMPI). This shall be checked in the registration procedure as the service will fail if the condition is not fulfilled.

When the UE identity is an MSISDN this public identity will map uniquely to an IMSI but with number portability, being active information about the associated operator will be needed in any case to identify to which BSF the user belongs (i.e. to which operator the user has a contract). Knowing the operator will enable the NAF to derive the FQDN of the BSF in the operator's network.

If the UE to be registered is equipped with a UICC holding more than one UICC application capable of running AKA, the registration process should, if the UICC application to use is not uniquely determined by the UE transport method and/or UE\_Id, determine which UICC application to use and how the NAF contacts the corresponding the BSF (needs to know the FQDN of the BSF). If explicit signalling is needed to identify the used USIM / ISIM, the App\_Lbl to use should be agreed and recorded.

At registration, a Push NAF intending to use GPL [15] shall record whether the ME is capable of GPL or not. If the ME is capable of GPL, then the supported GPL version in the ME, the supported Cipher Suits in the ME and any other service specific information required for the Push NAF to deliver the service to the ME, shall be registered in the Push NAF as well. If the the Push NAF intends to use GPL\_U, then it shall also record the delivery channels to the UICC that the ME supports.

NOTE: The GPL capabilities of the UICC do not need to be registred since this information is available via the GUSS (see clause 4.2 of TS 33.224 [15]).

## Annex C (normative): Support of SBA in GBA Push

#### C.1 General

#### C.1.1 Overview

This Annex C describes support for SBA for GBA Push.

## C.1.2 Architectural Support

Figure C.1.1-1 shows the non-roaming architecture to support SBA interactions in GBA. An SBI capable BSF, HSS and Push-NAF shall implement the SBA interfaces specified in this Annex. An SBI capable NF can invoke SBA services provided by SBI capable NFs and may expose services itself. For this Annex an SBI capable BSF uses and provides SBA services, an SBI capable HSS provides SBA services, a UDM provides SBA service, while an SBI capable Push-NAF only uses SBA services. The BSF, HSS, UDM and Push-NAF reside in the home network.

If there is no HSS or if the HSS does not support the N65 and Zh reference points within the GBA architecture, then the BSF shall be configured to use the N68 reference point with the UDM. If the N65 or Zh reference point is available in the HSS, then it shall be used between the BSF and the HSS.

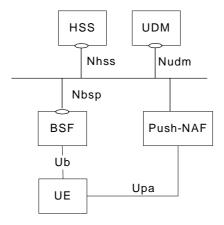


Figure C.1.2-1: System Architecture to support SBA in GBA

Figure C.1.2-2 shows the architecture using the reference point representation. It should be observed that this annex address only the specification of the N65 (between the BSF and HSS), N68 (between the BSF and UDM) and N67 (between the Push-NAF and BSF) reference point interfaces as SBA interfaces. The specification of Upa and Ub is not impacted by the introduction of the SBA interfaces between the Push-NAF, BSF and HSS or UDM. Therefore, the BSF and Push-NAF are exposed to the UE as in the legacy GBA case.

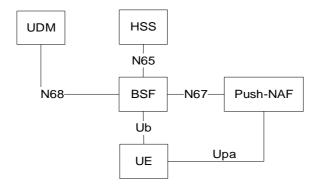


Figure C.1.2-2: System Architecture to support SBA in reference point representation

With respect to roaming, the roaming requirements in clause 4.4.3 and the Zn-Proxy architecture in clause 4.1 are applicable for the case of SBA GBA Push.

In addition, the following requirements shall be followed in roaming scenarios:

- The SBI capable Push-NAF shall support the legacy Zpn interface towards the Zn-Proxy.
- An SBI capable BSF shall support the legacy Zpn' interface.

## C.1.3 Reference point to support SBA in GBA Push

The following reference points are realized by service-based interfaces in GBA:

**N65:** Reference point between an SBI capable BSF and an SBI capable HSS. The SBA interface of the N65 reference point is specified in TS 33.220 [1].

**N67**: Reference point between an SBI capable BSF and an SBI capable Push-NAF, i.e. a Push-NAF that supports an SBI interface towards the an SBI capable BSF.

**N68**: Reference point between an SBI capable BSF and a UDM.

## C.1.4 Service based interface to support SBA in GBA Push

The following service-based interfaces are defined or reused:

**Nhss**: Service-based interface exhibited by an SBI capable HSS.

Nbsp: Service-based interface exhibited by an SBI capable BSF.

Nudm: Service-based interface exhibited by a UDM.

These SBI services provide equivalent functionality to the Diameter Zh and Zpn reference points. The specification of the Nhss interface is in TS 33.220 [1].

To support co-existence of GBA nodes supporting SBA services and GBA nodes not supporting SBA services SBI capable GBA nodes may support both SBI and non-SBI interfaces.

## C.2 GAA/GBA Push SBA Services

#### C.2.1 BSF Services

#### C.2.1.1 General

The following table shows the services exposed by an SBI capable BSF.

Table C.2.1.1-1: GBA Services provided by an SBI capable BSF

Service	Service Operations	Operation Semantics	Example Consumer(s)
Nbsp_Gba	PushInfo	Request/Response	Push-NAF

#### C.2.1.2 Nbsp\_Gba service

#### C.2.1.2.1 General

This clause describes the SBA interfaces exposed by the BSF for the purpose of providing the GBA Push Information (GPI) information to the Push-NAF. The GBA Push Information (GPI) data type used in the Nbsp\_Gba service is defined in clause 5.2.1.

#### C.2.1.2.2 Nbsp\_Gba\_PushInfo service operation

Service operation name: Nbsp\_Gba\_PushInfo

**Description:** This service operation is used between the Push-NAF and the BSF to request the GBA Push Information (GPI) in order to bootstrap the UE with GBA key material. It is also used to fetch application-specific user security settings from the BSF.

**Inputs, Required:**, User Identity (Private or Public Identity), User Identity type, UICC application identifier, Push-NAF-Id, Push-NAF SA identifier, Indicator for use of GBA\_ME or GBA\_U, Requested Push-NAF key lifetime, Private User Identity indicator, List of Global Service Identifiers (for USS information), AUTS, RAND.

Inputs, Optional: None.

**Outputs, Required:** GPI data, key material, Push-NAF key lifetime, Application-specific USS. The key material consists of Ks\_NAF in case of GBA\_ME and Ks\_ext\_NAF in case of GBA\_U. The key lifetime is the lifetime associated to the key material.

Outputs, Optional: Key material, Private Identity.

- NOTE 1: Depending on the value of the indicator use of GBA\_ME or GBA\_U more key material (i.e. Ks\_int\_NAF) may be returned as output.
- NOTE 2: The Push-NAF in clause C.1.2 can be a Push-NAF either internal to the PLMN or provided by the 3<sup>rd</sup> party.
- NOTE 3: When the Push-NAF belongs to a third party the User Private Identity will be exposed to the Push-NAF if the BSF is configured to return the Private Identity to the Push-NAF.

#### C.2.2 HSS Services

#### C.2.2.1 General

An SBI capable HSS supports providing the authentication vectors and the subscription profile, i.e. GUSS, to an SBI capable BSF via service-based interfaces.

#### C.2.2.2 Nhss\_GbaSubscriberDataManagement (GbaSDM) service

See TS 33.220 [1].

#### C.2.2.3 Nhss\_GbaUEAuthentication service

See TS 33.220 [1].

#### C.2.3 UDM Services

See TS 33.220 [1] for N68 support in Nudm service.

## C.2.4 Mapping of Zpn operations and terminology to SBI services

#### C.2.4.1 General

This clause gives mappings from Zpn operations to SBI services and service operations.

#### C.2.4.2 Mapping of Zpn messages to BSF SBI services

The following table defines the mapping between Zpn messages and BSF SBI services and service operations:

Table C.2.4.2-1: Zpn messages to BSF SBI services and service operations mapping

Zpn message	Source	Destination	BSF SBI service operation name
Zpn interface: Push-NAF requests the GBA Push Information (GPI) from the BSF	Push-NAF	BSF	Nbsp_Gba_PushInfo

## C.3 SBI Capable NF Discovery and Selection

#### C.3.1 General

During the GBA Push procedures SBI capable network functions such as the BSF and Push-NAF need to discover and select other SBI capable network functions such as the HSS or the UDM and the BSF respectively.

If there is no HSS or if the HSS does not support the N65 and Zh reference points within the GBA architecture, then the BSF shall be configured to discover and use SBA services of a UDM.

## C.3.2 SBI Capable BSF Discovery and Selection

An SBI capable Push-NAF performs discovery and selection of an SBI capable BSF. The SBI capable Push-NAF shall utilize the NRF to discover an SBI capable BSF unless the information about SBI capable BSF instance(s) is available by other means, e.g. locally configured on the SBI capable Push-NAF. The BSF selection function in SBI capable Push-NAF entities selects an SBI capable BSF instance based on the available SBI capable BSF instances (obtained from the NRF or locally configured).

The BSF selection in an SBI capable Push-NAF shall consider the BSF server name.

NOTE: The Push-NAF derives the BSF server name as defined in Annex B.

Unless the information about the interface type to be used towards the BSF is locally configured on the SBI capable Push-NAF, an SBI capable Push-NAF can also use the NRF to decide the type of interface (SBI vs diameter) to be used

towards BSF. For this purpose, an SBI capable Push-NAF can send a Nnrf\_NFDiscovery\_Request to NRF as defined in TS 23.502 [17] to discover SBI capable BSF instances within a given PLMN. The SBI capable Push-NAF may store all returned SBI capable BSF instances and their NF profiles for subsequent use. If no SBI capable BSF instance is available in the PLMN, then the NRF replies to the SBI capable Push-NAF with no information. In this case, the SBI capable Push-NAF may then attempt to communicate with the BSF using non-SBA legacy GBA and legacy GBA Push protocols.

An SBI capable Push-NAF in a PLMN can serve both as an HPLMN Push-NAF for non-roaming UEs or a VPLMN Push-NAF for roaming UEs.

Unless the information about the network function (BSF or Zn-Proxy) to be used is locally configured on the SBI capable Push-NAF, the SBI capable Push-NAF shall use the BSF server name to determine if the requested BSF is in the same PLMN or a different one. If the requested BSF is in a different PLMN the SBI capable Push-NAF shall use the legacy Zpn interface towards the Zn-Proxy. Otherwise the SBI capable Push-NAF uses the procedures specified earlier in this clause.

## C.3.3 SBI Capable HSS Discovery and Selection

See TS 33.220 [1].

## C.3.4 UDM Discovery and Selection

See TS 23.501 [18] clause 6.3.8.

## Annex D (informative): Change history

_	I			_	Change history		1
Date	TSG #	TSG Doc.	CR	Rev	Subject/Comment	Old	New
2006-05					Creation of document on the basis of SA3#43	0.0.0	0.0.1
0000 00					discussion	0.04	0.4.0
2006-08					Integration of S3-060498, addition of editors' notes	0.0.1	0.1.0
2006-11					(SA3#44 meeting) and editorials. Integration of S3-060630, S3-060631, S3-060634, and	0.1.0	0.2.0
2006-11					S3-060676.	0.1.0	0.2.0
2007-02					Integration of S3-070041, S3-070049, S3-070051 and	0.2.0	0.3.0
2001-02					S3-070068 and their modifications as discussed in the	0.2.0	0.5.0
					meeting		
2007-05					Integration of S3-070332, S3-070360, S3-070361 and	0.3.0	0.4.0
					S3-070372 and their modifications as discussed in the		
					SA3#47 meeting		
2007-07					Integration of S3-070510, S3-070538, S3-070557, S3-	0.4.0	0.5.0
					070652 and S3-070563 and their modifications as		
					discussed in SA3#48 meeting		
2007-10					Integration of S3-070710, S3-070711, S3-070773 and	0.5.0	0.6.0
					related decisions, presentation of TS 33.223 to SA		
0007.44	00	00 070004			Plenary for information	0.00	4.0.0
2007-11	38	SP-070834			Clean-up from MCC for presentation for information to	0.6.0	1.0.0
2000 00	1		-		TSG#38  This version is against the proposed split of TS 22 222	1.0.0	1.1.0
2008-02					This version is against the proposed split of TS 33.223 v1.0.0. as proposed in S3-080070. Integration of S3-	1.0.0	1.1.0
					080051 and S3-080117 and their modifications as		
					discussed in SA3#50 meeting		
2008-03					Integration of S3-080133 and comments from email	1.1.0	1.20
2000 00					review	1.1.0	1.20
2008-05					Integration of GBA-Push principles agreed at S3#51	1.2.0	1.3.0
					and relevant parts of pCR's S3-080304, S3-080305 and		
					S3-080385		
2008-05					SA3 agreement and clean up for presentation to SA#40	1.3.0	2.0.0
2008-06	SP-40	SP-080259			Approval at SA#40	2.0.0	8.0.0
		SP-080483	0004	1	CR 33.223: UE registration at Push NAF		8.1.0
		SP-080483	0002	1	CR 33.223: GPI Protection GBA-Push resolution of editors notes and corrections		8.1.0
		SP-080741	0005	1	GBA-Push resolution of editors notes and corrections		8.2.0
		SP-080741	0006	-	Introduction of UE-Id type indicator	8.1.0 8.1.0	8.2.0
		SP-080741	0007	-	Push NAF authorization		8.2.0
		SP-090141	8000	-	Editorial corrections on 33.223	8.2.0	8.3.0
		SP-090141	0009	-	Alignment of TS 33.223 with TS 33.220	8.2.0	8.3.0
		SP-090277	0012	-	Editorial corrections on 33.223	8.3.0	8.4.0
		SP-090277	0010	1	Clarification of GUSS usage in case of HLR	8.3.0	8.4.0
		SP-090523	0013	-	Remove replay window	8.4.0	8.5.0
		SP-090523	0014	-	Clarifications to GBApush	8.4.0	8.5.0
		SP-090523	0016	-	Changing GBA push registration annex to be normative	8.4.0	8.5.0
		SP-090820	0017	-	Registration of GPL capabilities	8.5.0	9.0.0
2010-03	SP-47	CD 400400	0004		Correction of incorrect requirement for mandatory	000	0.4.0
2040.02	CD 47	SP-100100	0021	-	support of GBA Push for GBA aware MEs	9.0.0	9.1.0
2010-03	SP-47	SD 100219	0010	2	Correction of private identity exposure and delivery of	0.00	0.1.0
2011-03	<u> </u>	SP-100218	0019	2	GPI to USIM/ISIM Update to Rel-10 version (MCC)	9.0.0 9.1.0	9.1.0
	SD 57	SP-120605	0022	1	Clarification of UE registration procedure in Push-NAF	10.0.0	11.0.0
		SP-120605 SP-130667	0022	1	Deletion of Ks in ME in GBA_ME	11.0.0	12.0.0
		SP-150301	0023	_	Change to AUTN length	12.0.0	12.1.0
	JF-00	3F-130301	0024	-			
2016-01	- CD 74	- CD 160054	-	-	Update to Rel-13 version (MCC)	12.1.0	13.0.0
2016-03	SP-71	SP-160051	0029	1	Resynchronisation mechanism in GBA push	13.0.0	13.1.0
2017-03	-	-	-	-	Promotion to Release 14 without technical change	13.1.0	14.0.0
	<del>                                     </del>		<u> </u>		Undate to Del 45 version (MCC)		
_0.0.0	-	-	-	-	Update to Rel-15 version (MCC)	14.0.0	15.0.0
2020-07	-	-	-	-	Update to Rel-16 version (MCC)	15.0.0	16.0.0

	Change history						
Date	Meeting	TDoc	CR	Rev	Cat	Subject/Comment	New version
2021-06	SA#92e	SP-210437	0030	-	F	Security updates for algorithms and protocols in 33.223	17.0.0
2021-12	SA#94e	SP-211391	0031	-	В	SBA support for the Zpn interface	17.1.0
2024-03	-	-	-	-	-	Update to Rel-18 version (MCC)	18.0.0

## History

	Document history						
V18.0.0 April 2024 Publication							