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Lawful Interception (LI);
Handover Interface and
Service-Specific Details (SSD) for IP delivery;
Part 5: Service-specific details for IP Multimedia services

# Reference RTS/LI-00236-5 Keywords IMS, IP, lawful interception, security

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## Contents

Foreword	5
Introduction	5
1 Scope	5
References	5
2.1 Normative references 2.2 Informative references 3 Definition of terms, symbols and abbreviations 3.1 Terms 3.2 Symbols 3.3 Abbreviations 4 General 4.1 Reference Model for Lawful Interception 4.2 Reference system model 4.2.0 Overview 4.2.1 Network layer Interception 4.2.2 Service layer Interception 5.1 Identification of 1P Multimedia services 5.1 Identification of target of interception 5.1.1 SIP Target Identification 5.1.2 H.323 Target Identification 5.1.3 Other Target Identification 5.2 Interception of signalling 5.2.1 Provisioning of the SIP IRI IIF 5.2.2 Provisioning of the SIP IRI IIF 5.2.2 Provisioning of the H.323 IRI IIF 5.2.3 Location information 5.2.4 Supplementary Services 5.2.5 Additional signalling information 5.2.6 SIP Messages in IRI-only intercept 5.2.6 SIP Messages in IRI-only intercept 5.2.7 Signalling IP address information 5.3.1 Assigning a Value to the Communication Identity Number 1.3 Introduction 1.3 Introduction 1.4 Assigning a CIN value to SIP related IRI 1.5 Assigning a CIN value to BIP 32 related IRI 1.5 Events and IRI record types	6
Definition of terms, symbols and abbreviations  1. Terms. 2. Symbols. 3. Abbreviations.  4. General. 4.1 Reference Model for Lawful Interception. 4.2 Reference system model. 4.2.1 Network layer Interception. 4.2.2 Service layer Interception. 4.3 General Requirements.  5. Interception of IP Multimedia services. 5.1 Identification of target of interception. 5.1.1 SIP Target Identification. 5.1.2 H.323 Target Identification. 5.1.3 Other Target Identification. 5.2 Interception of signalling. 5.2.1 Provisioning of the SIP IRI IIF. 5.2.2 Provisioning of the SIP IRI IIF. 5.2.3 Location information. 5.2.4 Supplementary Services. 5.2.5 Additional signalling information. 5.2.6 SIP Messages in IRI-only intercept. 5.2.6.1 General. 5.2.6.2 SMS Messages. 5.3.3 Assigning a Value to the Communication Identity Number 5.3.1 Assigning a CIN value to SIP related IRI. 5.3.2 Assigning a CIN value to H.323 related IRI. 5.3.3 Events and IRI record types.	6
Definition of terms, symbols and abbreviations  1. Terms. 2. Symbols. 3. Abbreviations.  4. General. 4.1 Reference Model for Lawful Interception. 4.2 Reference system model. 4.2.1 Network layer Interception. 4.2.2 Service layer Interception. 4.3 General Requirements.  5. Interception of IP Multimedia services. 5.1 Identification of target of interception. 5.1.1 SIP Target Identification. 5.1.2 H.323 Target Identification. 5.1.3 Other Target Identification. 5.2 Interception of signalling. 5.2.1 Provisioning of the SIP IRI IIF. 5.2.2 Provisioning of the SIP IRI IIF. 5.2.3 Location information. 5.2.4 Supplementary Services. 5.2.5 Additional signalling information. 5.2.6 SIP Messages in IRI-only intercept. 5.2.6.1 General. 5.2.6.2 SMS Messages. 5.3.3 Assigning a Value to the Communication Identity Number 5.3.1 Assigning a CIN value to SIP related IRI. 5.3.2 Assigning a CIN value to H.323 related IRI. 5.3.3 Events and IRI record types.	6
3.1 Terms	7
3.1 Terms	7
3.2 Symbols 3.3 Abbreviations  4 General 4.1 Reference Model for Lawful Interception 4.2 Reference system model 4.2.0 Overview 4.2.1 Network layer Interception 4.2.2 Service layer Interception 4.3 General Requirements  5 Interception of IP Multimedia services 5.1 Identification of target of interception 5.1.1 SIP Target Identification 5.1.2 H.323 Target Identification 5.1.3 Other Target Identification 5.1.4 Provisioning of the SIP IRI IIF 5.2.1 Provisioning of the SIP IRI IIF 5.2.2 Provisioning of the H.323 IRI IIF 5.2.3 Location information 5.2.4 Supplementary Services 5.2.4 Supplementary Services 5.2.5 Additional signalling information 5.2.6 SIP Messages in IRI-only intercept 5.2.6.1 General 5.2.6 SIP Messages 5.2.7 Signalling IP address information 5.3 Assigning a Value to the Communication Identity Number 5.3.1 Assigning a CIN value to SIP related IRI 5.3.2 Assigning a CIN value to H.323 related IRI 5.3.4 Events and IRI record types	
Abbreviations  General	
4 General	
4.1 Reference Model for Lawful Interception	
4.2 Reference system model	
4.2.0 Overview 4.2.1 Network layer Interception 4.2.2 Service layer Interception 4.3 General Requirements 5 Interception of IP Multimedia services 5.1 Identification of target of interception 5.1.1 SIP Target Identification 5.1.2 H.323 Target Identification 5.1.3 Other Target Identification 5.1.4 Provisioning of the SIP IRI IIF 5.2.1 Provisioning of the SIP IRI IIF 5.2.2 Provisioning of the H.323 IRI IIF 5.2.3 Location information 5.2.4 Supplementary Services 5.2.4 Supplementary Services 5.2.5 Additional signalling information 5.2.6 SIP Messages in IRI-only intercept 5.2.6.1 General 5.2.6.2 SMS Messages 5.2.7 Signalling IP address information 5.3 Assigning a value to the Communication Identity Number 5.3.0 Introduction 5.3.1 Assigning a CIN value to SIP related IRI 5.3.2 Assigning a CIN value to SIP related IRI 5.3.3 Assigning a CIN value to H.323 related IRI 5.4 Events and IRI record types	
4.2.1 Network layer Interception 4.2.2 Service layer Interception 4.3 General Requirements 5 Interception of IP Multimedia services 5.1 Identification of target of interception 5.1.1 SIP Target Identification 5.1.2 H.323 Target Identification 5.1.3 Other Target Identifiers 5.1 Interception of signalling 5.2 Interception of signalling 5.2.1 Provisioning of the SIP IRI IIF 5.2.2 Provisioning of the H.323 IRI IIF 5.2.2 Provisioning of the H.323 IRI IIF 5.2.3 Location information 5.2.4 Supplementary Services 5.2.5 Additional signalling information 5.2.6 SIP Messages in IRI-only intercept 5.2.6.1 General 5.2.6.2 SMS Messages 5.2.7 Signalling IP address information 5.3 Assigning a value to the Communication Identity Number 5.3.0 Introduction 6.3.1 Assigning a CIN value to SIP related IRI 6.3.2 Assigning a CIN value to H.323 related IRI 6.4 Events and IRI record types	
4.2.2 Service layer Interception 4.3 General Requirements 5 Interception of IP Multimedia services 5.1 Identification of target of interception 5.1.1 SIP Target Identification 5.1.2 H.323 Target Identification 5.1.3 Other Target Identifiers 5.1.4 Provisioning of the SIP IRI IIF 5.2.1 Provisioning of the SIP IRI IIF 5.2.2 Provisioning of the H.323 IRI IIF 5.2.2 Provisioning of the H.323 IRI IIF 5.2.3 Location information 5.2.4 Supplementary Services 6.2.5 Additional signalling information 6.2.6 SIP Messages in IRI-only intercept 6.2.6.1 General 6.2.6.2 SMS Messages 6.2.7 Signalling IP address information 6.3 Assigning a value to the Communication Identity Number 6.3.1 Assigning a CIN value to SIP related IRI 6.3.2 Assigning a CIN value to H.323 related IRI 6.3.4 Events and IRI record types	
4.3 General Requirements	
Interception of IP Multimedia services  Identification of target of interception.  SIP Target Identification.  1.1 SIP Target Identification.  5.1.2 H.323 Target Identification.  5.1.3 Other Target Identifiers.  5.2 Interception of signalling.  5.2.1 Provisioning of the SIP IRI IIF.  5.2.2 Provisioning of the H.323 IRI IIF.  5.2.3 Location information.  5.2.4 Supplementary Services.  5.2.5 Additional signalling information.  5.2.6 SIP Messages in IRI-only intercept.  5.2.6.1 General.  5.2.6.2 SMS Messages.  5.2.7 Signalling IP address information.  5.3 Assigning a value to the Communication Identity Number  5.3.0 Introduction.  5.3.1 Assigning a CIN value to SIP related IRI.  5.3.2 Assigning a CIN value to H.323 related IRI.  5.4 Events and IRI record types.	
5.1 Identification of target of interception 5.1.1 SIP Target Identification 5.1.2 H.323 Target Identification 5.1.3 Other Target Identifiers 5.2 Interception of signalling 5.2.1 Provisioning of the SIP IRI IIF 5.2.2 Provisioning of the H.323 IRI IIF 5.2.3 Location information 5.2.4 Supplementary Services 5.2.5 Additional signalling information 5.2.6 SIP Messages in IRI-only intercept 5.2.6.1 General 5.2.6.2 SMS Messages 5.2.7 Signalling IP address information 5.3 Assigning a value to the Communication Identity Number 5.3.0 Introduction 6.3.1 Assigning a CIN value to SIP related IRI 5.3.2 Assigning a CIN value to H.323 related IRI 5.3.3 Events and IRI record types	.12
5.1 Identification of target of interception 5.1.1 SIP Target Identification 5.1.2 H.323 Target Identification 5.1.3 Other Target Identifiers 5.2 Interception of signalling 5.2.1 Provisioning of the SIP IRI IIF 5.2.2 Provisioning of the H.323 IRI IIF 5.2.3 Location information 5.2.4 Supplementary Services 5.2.5 Additional signalling information 5.2.6 SIP Messages in IRI-only intercept 5.2.6.1 General 5.2.6.2 SMS Messages 5.2.7 Signalling IP address information 5.3 Assigning a value to the Communication Identity Number 5.3.0 Introduction 6.3.1 Assigning a CIN value to SIP related IRI 5.3.2 Assigning a CIN value to H.323 related IRI 5.3.3 Events and IRI record types	13
5.1.1 SIP Target Identification 5.1.2 H.323 Target Identification 5.1.3 Other Target Identifiers 5.2 Interception of signalling 5.2.1 Provisioning of the SIP IRI IIF 5.2.2 Provisioning of the H.323 IRI IIF 5.2.3 Location information 5.2.4 Supplementary Services 5.2.5 Additional signalling information 5.2.6 SIP Messages in IRI-only intercept 5.2.6.1 General 5.2.6.2 SMS Messages 5.2.7 Signalling IP address information 5.3 Assigning a value to the Communication Identity Number 5.3.0 Introduction 5.3.1 Assigning a CIN value to SIP related IRI 5.3.2 Assigning a CIN value to H.323 related IRI 5.4 Events and IRI record types	
5.1.3Other Target Identifiers5.2Interception of signalling5.2.1Provisioning of the SIP IRI IIF5.2.2Provisioning of the H.323 IRI IIF5.2.3Location information5.2.4Supplementary Services5.2.5Additional signalling information5.2.6SIP Messages in IRI-only intercept5.2.6.1General5.2.6.2SMS Messages5.2.7Signalling IP address information5.3Assigning a value to the Communication Identity Number5.3.0Introduction5.3.1Assigning a CIN value to SIP related IRI5.3.2Assigning a CIN value to H.323 related IRI5.4Events and IRI record types	
5.2 Interception of signalling 5.2.1 Provisioning of the SIP IRI IIF 5.2.2 Provisioning of the H.323 IRI IIF 5.2.3 Location information 5.2.4 Supplementary Services 5.2.5 Additional signalling information 5.2.6 SIP Messages in IRI-only intercept 5.2.6.1 General 5.2.6.2 SMS Messages 5.2.7 Signalling IP address information 5.3 Assigning a value to the Communication Identity Number 5.3.0 Introduction 5.3.1 Assigning a CIN value to SIP related IRI 5.3.2 Assigning a CIN value to H.323 related IRI 5.4 Events and IRI record types	.13
5.2.1 Provisioning of the SIP IRI IIF	.13
5.2.2 Provisioning of the H.323 IRI IIF 5.2.3 Location information 5.2.4 Supplementary Services 5.2.5 Additional signalling information 5.2.6 SIP Messages in IRI-only intercept 5.2.6.1 General 5.2.6.2 SMS Messages 5.2.7 Signalling IP address information 5.3 Assigning a value to the Communication Identity Number 5.3.0 Introduction 5.3.1 Assigning a CIN value to SIP related IRI 5.3.2 Assigning a CIN value to H.323 related IRI 5.4 Events and IRI record types	.13
5.2.3 Location information 5.2.4 Supplementary Services	.13
5.2.4 Supplementary Services	
5.2.5 Additional signalling information 5.2.6 SIP Messages in IRI-only intercept 5.2.6.1 General 5.2.6.2 SMS Messages 5.2.7 Signalling IP address information 5.3 Assigning a value to the Communication Identity Number 5.3.0 Introduction 5.3.1 Assigning a CIN value to SIP related IRI 5.3.2 Assigning a CIN value to H.323 related IRI 5.4 Events and IRI record types	
5.2.6 SIP Messages in IRI-only intercept 5.2.6.1 General	
5.2.6.1 General	
5.2.6.2       SMS Messages         5.2.7       Signalling IP address information         5.3       Assigning a value to the Communication Identity Number         5.3.0       Introduction         5.3.1       Assigning a CIN value to SIP related IRI         5.3.2       Assigning a CIN value to H.323 related IRI         5.4       Events and IRI record types	
5.2.7 Signalling IP address information 5.3 Assigning a value to the Communication Identity Number 5.3.0 Introduction 5.3.1 Assigning a CIN value to SIP related IRI	
5.3 Assigning a value to the Communication Identity Number 5.3.0 Introduction	
5.3.0 Introduction	
5.3.1 Assigning a CIN value to SIP related IRI	
5.3.2 Assigning a CIN value to H.323 related IRI	
5.4 Events and IRI record types	
5.6 Direction for IMS IRI for Signalling Messages	
5.7 Direction for IMS sessions	
5.7.1 Direction for SIP sessions	
5.7.2 Direction for H.323 sessions.	
5.8 Correlation of signalling and media	
6 Handover Interface	18
6.1 Intercept Related Information	.18
6.2 Correlation of IRI and CC	.18
7 ASN.1 specification for IRI and CC	18
Annex A (informative): Interception using H.248	

A.1	Purpose of this annex	19
A.2 A.2.1 A.2.2	Notes on interception using H.248	19
A.3 A.3.1 A.3.2	Problems in H.248 interception	20
Anne	ex B (normative): Minimum set of functional attributes to be provided	22
B.0	Overview	22
B.1	General requirements	22
B.2	Result of interception	23
B.3	Location information	23
B.4	Time constraints	23
B.5	Technical handover interfaces and format requirements	24
Anne	ex C (informative): Change request history	25
Histo	ory	

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#### **Foreword**

This Technical Specification (TS) has been produced by ETSI Technical Committee Lawful Interception (LI).

The present document is part 5 of a multi-part deliverable. Full details of the entire series can be found in part 1 [2].

The ASN.1 module is available as an electronic attachment to the present document (see clause 7 for details).

## Modal verbs terminology

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## Introduction

The present document focuses on Lawful Interception of IP Multimedia Services. It is to be used in conjunction with ETSI TS 102 232-1 [2], in which the handling of the intercepted information is described.

#### 1 Scope

The present document specifies interception of Internet Protocol (IP) Multimedia (MM) Services based on the Session Initiation Protocol (SIP) and Realtime Transport Protocol (RTP) and Message Session Relay Protocol (MSRP) and IP MM services as described by the Recommendations ITU-T H.323 [6] and H.248-1 [i.3].

The present document is consistent with the definition of the Handover Interface, as described in ETSI TS 102 232-1 [2].

The present document does not override or supersede any specifications or requirements in 3GPP TS 33.108 [9] and ETSI TS 101 671 [1].

#### 2 References

#### 2.1 Normative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

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Telecommunications Networks, Version 4".

packet-based multimedia communication systems".

[12]

ŀ	ne following ref	erenced documents are necessary for the application of the present document.
	[1]	ETSI TS 101 671: "Lawful Interception (LI); Handover interface for the lawful interception of telecommunications traffic".
	NOTE: ET	SI TS 101 671 is in status "historical" and is not maintained.
	[2]	ETSI TS 102 232-1: "Lawful Interception (LI); Handover Interface and Service-Specific Details (SSD) for IP delivery; Part 1: Handover specification for IP delivery".
	[3]	<u>Recommendation ITU-T X.680</u> : "Information technology - Abstract Syntax Notation One (ASN.1): Specification of basic notation".
	[4]	<u>IETF RFC 3261</u> : "SIP: Session Initiation Protocol".
	[5]	IETF RFC 3550: "RTP: A Transport Protocol for Real-Time Applications".
	[6]	Recommendation ITU-T H.323: "Packet-based multimedia communications systems".
	[7]	Void.
	[8]	Void.
	[9]	ETSI TS 133 108: "Universal Mobile Telecommunications System (UMTS); LTE; Digital cellular telecommunications system (Phase 2+) (GSM); 3G security; Handover interface for Lawful Interception (LI) (3GPP TS 33.108)".
	[10]	ETSI TS 101 331: "Lawful Interception (LI); Requirements of Law Enforcement Agencies".
	[11]	ATIS-1000678.v4.2020: "Lawfully Authorized Electronic Surveillance (LAES) for Voice over

Internet Protocol and Rich Communications Services Messaging in Wireline and Broadband

Recommendation ITU-T H.225.0: "Call signalling protocols and media stream packetization for

[13] Recommendation ITU-T H.245: "Control protocol for multimedia communication". [14] Void. IETF RFC 4975: "The Message Session Relay Protocol (MSRP)". [15] Recommendation ITU-T T.38: "Procedures for real-time Group 3 facsimile communication over [16] IP networks". IETF RFC 4825: "The Extensible Markup Language (XML) Configuration Access Protocol [17] (XCAP)". [18] ETSI TS 124 623: "Digital cellular telecommunications system (Phase 2+) (GSM); Universal Mobile Telecommunications System (UMTS); LTE; 5G; Extensible Markup Language (XML) Configuration Access Protocol (XCAP) over the Ut interface for Manipulating Supplementary Services (3GPP TS 24.623)". **IETF RFC 5322**: "Internet Message Format". [19] [20] ISO 3166-1: "Codes for the representation of names of countries and their subdivisions -- Part 1: Country codes". ETSI TS 123 038: "Digital cellular telecommunications system (Phase 2+) (GSM); Universal [21] Mobile Telecommunications System (UMTS); LTE; Alphabets and language-specific information (3GPP TS 23.038)". [22] ETSI TS 123 040: "Digital cellular telecommunications system (Phase 2+) (GSM); Universal

#### 2.2 Informative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

Mobile Telecommunications System (UMTS); LTE; 5G; Technical realization of the Short

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The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

- [i.1] ETSI TR 102 528: "Lawful Interception (LI); Interception domain Architecture for IP networks".
- [i.2] ETSI TR 102 503: "Lawful Interception (LI); ASN.1 Object Identifiers in Lawful Interception and Retained data handling Specifications".
- [i.3] Recommendation ITU-T H.248-1: "Gateway control protocol: Version 3".

Message Service (SMS) (3GPP TS 23.040)".

NOTE: H.248 was renumbered when revised on 2002-03-29. H.248 main body, Annexes A to E and Appendix I were included in H.248.1. Subsequent annexes were sequentially numbered in the series, e.g. H.248 Annex F became H.248.2.

## 3 Definition of terms, symbols and abbreviations

#### 3.1 Terms

For the purposes of the present document, the terms given in ETSI TS 101 671 [1], ETSI TS 102 232-1 [2] and the following apply:

context: logical collection of H.248 terminations

IP MultiMedia service: multimedia service that utilizes the Internet Protocol (IP) for the transport of data

MultiMedia (MM): use of computers to present text, graphics, video, animation and sound in an integrated way

MultiMedia service: communication service that offers Multimedia communication to end-users

termination: entity in H.248 that acts as a source or sink of media

NOTE: Terminations may be physical, such as a given channel on a TDM line, or ephemeral, such as an IP

endpoint.

**TSAP identifier:** piece of information used to multiplex several transport connections of the same type on a single H.323 entity with all transport connections sharing the same Network Address (e.g. the port number in a TCP/UDP/IP environment)

NOTE: Transport layer Service Access Point (TSAP) identifiers may be (pre)assigned statically by some

international authority or may be allocated dynamically during the setup of a call. Dynamically assigned TSAP identifiers are of transient nature, i.e. their values are only valid for the duration of a single call.

### 3.2 Symbols

Void.

#### 3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AF Administration Function
ASN.1 Abstract Syntax Notation One
CC Content of Communication

CCCI Content of Communication Control Interface

CC IIF CC Internal Interception Function

CCTF Content of Communication Trigger Function
CCTI Content of Communication Trigger Interface

CID Communication IDentifier
CIN Communication Identity Number

CLI Calling Line Identity

CSP Communications Service Provider

NOTE: Covers all AP/NWO/SvP.

DTMF Dual Tone Multi Frequency

FFS For Further Study

GSM Global System for Mobile

GW GateWay

HI1 Handover Interface 1 (for Administrative Information)
HI2 Handover Interface 2 (for Intercept Related Information)
HI3 Handover Interface 3 (for Content of Communication)

HTTP Hyper Text Transfer Protocol

ID IDentity

IF Interception Function
IIF Internal Interception Function

IMEI International Mobile Equipment Identity

IMPIIMS Private IdentityIMPUIMS PUblic identityIMSIP Multimedia Subsystem

IMSI International Mobile Station Identity

INI Internal Network Interface

IP Internet Protocol

IRI Intercept Related Information
IRI IIF IRI Internal Interception Function
LEA Law Enforcement Agency

LEMF Law Enforcement Monitoring Facility

LI Lawful Interception

LIAF Lawful Interception Administration Function

LIID Lawful Interception IDentifier

MF Mediation Function MG Media Gateway

MGC Media Gateway Controller

MM MultiMedia

MSRP Message Session Relay Protocol NNI Network-to-Network Interface

OID Object IDentifier PDU Protocol Data Unit

RAS Registration, Administration and Status

Relay Protocol RP **RTCP** RTP Control Protocol Realtime Transport Protocol RTP Session Description Protocol **SDP** SIP Session Initiation Protocol **Short Message Service SMS** Service-Specific Details SSD Service Provider SvP

TCP Transmission Control Protocol TDM Time Division Multiplex

TP Transfer Protocol

TPDU Transaction Protocol Data Unit
TSAP Transport layer Service Access Point

UDP User Datagram Protocol

UDPTL Facsimile UDP Transport Layer (protocol)

UE User Equipment
UNI User-Network Interface
URI Uniform Resource Identifier
URL Uniform Resource Locator

VoIP Voice over IP

XCAP eXtensible Markup Language (XML) Configuration Access Protocol

## 4 General

## 4.1 Reference Model for Lawful Interception

The present document adopts the generic reference model for the interception domain from ETSI TR 102 528 [i.1], its internal intercept functions, Intercept Related Information Internal Interception Function (IRI IIF), Content of Communication Trigger Function (CCTF), and Content of Communication Internal Interception Function (CC IIF), and the Internal Network Interfaces INI1, INI2, INI3, Content of Communication Trigger Interface (CCTI) and Content of Communication Control Interface (CCCI) as shown in figure 1.

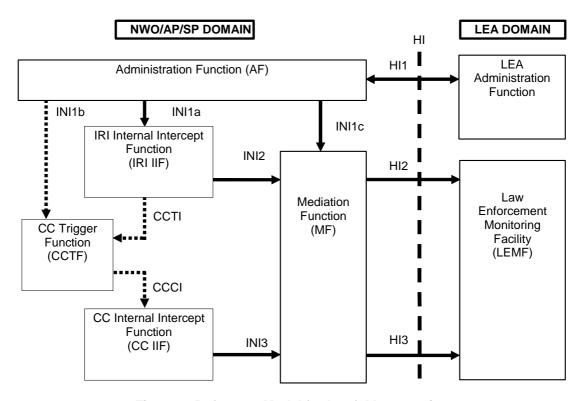


Figure 1: Reference Model for Lawful Interception

The reference model depicts the following functions and interfaces:

- INI1a provisions Intercept Related Information Internal Interception Function (IRI IIF).
- INI1b may (statically) provision Content of Communications Trigger Function (CCTF).
- INI1c provisions the Mediation Function (MF).
- Intercept Related Information Internal Intercept Function (IRI IIF) generates IRI.
- Content of Communication Internal Interception Function (CC IIF) generates CC.
- Content of Communication Trigger Function (CCTF) controls CC IIF.
- Content of Communication Control Interface (CCCI) provisions CC IIF.
- Content of Communication Trigger Interface (CCTI) may trigger CCTF for provisioning of the CC IIF.
- Content of Communication Control Interface (CCCI) may dynamically provision the CC IIF.
- Internal interface INI1 carries provisioning information from LIAF to the Internal Intercept Functions (IIF).
- Internal interface INI2 carries Intercept Related Information (IRI) from IRI IIF to the MF.
- Internal interface INI3 carries Content of Communication (CC) information from CC IIF to the MF.

For an in-depth explanation of the functions and interface, refer to clause 4 of ETSI TR 102 528 [i.1].

## 4.2 Reference system model

#### 4.2.0 Overview

The reference system model applied in the present document, as depicted in figure 2, provides a simplified model of a technology independent, IP MultiMedia (MM) service platform, accessed by multiple different access networks. The access networks may provide different forms of network access, using different technologies; they all have in common that they provide IP connectivity among end-users and between end-users and the IP MM services provided by the IP MM service platform.

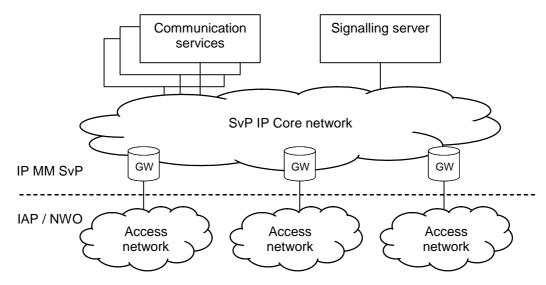


Figure 2: Reference System Model

Access from the access networks into the IP Core network of the IP MM service provider is assumed to be protected by some gateway device (e.g. a session border controller, border gateway controller or a firewall/router combination).

The IP MM Service platform contains a signalling server that provides session initiation functionality (e.g. a SIP call manager or an H.323 gatekeeper) among end-users and between end-users and communication services (e.g. unified messaging, audio or video conference servers).

## 4.2.1 Network layer Interception

Network Layer interception requires a copy of all signalling information as well as call content exchanged in the platform to be available at a central point in the infrastructure.

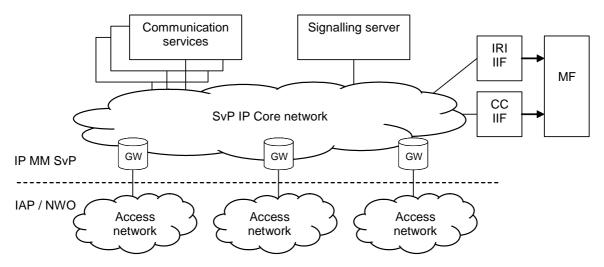


Figure 3: Network layer Interception Model

This can be achieved by means of span-ports in the layer 2 switching backbone or by means of passive splitters (either copper or fibre) at strategic points in the SvP's core network. Either way, due to the bandwidth of copied network traffic, some form of filtering will most likely be required (e.g. by means of a layer 3 switch).

## 4.2.2 Service layer Interception

Service Layer interception requires LI interfaces and functionality to be available in both the signalling server and the gateways.

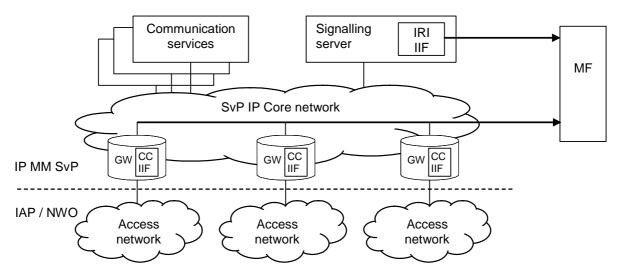


Figure 4: Service layer Interception Model

Typically, the IRI IIF in the signalling server is provisioned with the target ID. At detection of a session setup for a target, the IRI IIF will provide the IRI for the intercepted session and may provide session information to be used for ad-hoc provisioning of the gateway devices. In some implementations, the gateway devices are also provisioned with the target ID beforehand and are capable of detecting sessions independent from the signalling server.

## 4.3 General Requirements

The following requirements regarding the interception of signalling shall apply:

- 1) Annex B provides the functional description of the minimal set of information that is to be provided to Law Enforcement for each intercepted communication.
- 2) The present document supports the interception of communication services defined in the following IETF/ITU-T standards and recommendations:
  - IETF RFC 3261 [4] (SIP);
  - IETF RFC 3550 [5] (RTP);
  - IETF RFC 4975 [15] (MSRP);
  - Recommendation ITU-T H.323 [6];
  - Recommendation ITU-T H.225.0 [12];
  - Recommendation ITU-T H.245 [13];
  - Recommendation ITU-T T.38 [16].
- 3) Any deviation from the supported IETF and ITU-T specifications identified in item 2, e.g. vendor specific parameters, shall be agreed in advance between the Communications Service Provider (CSP) and Law Enforcement Agency (LEA).

- 4) The present document specifies the handover of intercepted signalling containing all information required in ETSI TS 101 331 [10] by encapsulating that intercepted signalling.
- 5) IRI that is not part of intercepted signalling shall also be delivered. The format of such information on the handover interface shall be agreed in advance between the CSP and LEA.
- 6) As a national option, mapping of the IRI information onto specific messages at the handover interface may be mandated, e.g. according to the ATIS-1000678 [11] specification.

## 5 Interception of IP Multimedia services

## 5.1 Identification of target of interception

#### 5.1.1 SIP Target Identification

The target identity is not a network layer or transport layer address. The target identity shall be a public or private address type that uniquely identifies the target in the CSP's network and by means of which sessions among users can be established, such as:

- TEL URI;
- SIP URI;
- E.164 Number.

NOTE: IMPU and IMPI are examples of public/private identifiers.

## 5.1.2 H.323 Target Identification

The target identity is not a network layer or transport layer address. The target identity shall be an address type that uniquely identifies the target in the CSP's network and by means of which sessions among users can be established, such as:

- H.323 URL;
- H.323 ID;
- E.164 Number.

## 5.1.3 Other Target Identifiers

Depending on the CSP network configuration and technical/mapping capabilities, other target identifiers might be used. This includes access network identifiers such as IMEI or IMSI.

## 5.2 Interception of signalling

## 5.2.1 Provisioning of the SIP IRI IIF

SIP messaging IETF RFC 3261 [4] is reported as Intercept Related Information (IRI) for the interception of multi-media service. All SIP messages executed on behalf of a target subscriber are subject to interception at the IRI Internal Interception Function (IIF). Based upon network configuration, the Administration Function (AF) shall provision IRI IIF with SIP Uniform Resource Identifier (URI) or TEL Uniform Resource Locator (URL) target identifiers. These resulting intercepted SIP messages shall be sent to the Mediation Function (MF) over the INI2 interface for mediation prior to transmittal across the HI2 interface.

#### 5.2.2 Provisioning of the H.323 IRI IIF

H.323 call signalling, call control and subscriber controlled input messages are reported as Intercept Related Information (IRI) for the interception of multi-media services. H.323 call signalling and control messages refer to the basic call signalling (H.225.0), call control (H.245) and those messages required for the signalling of supplementary services (i.e. H.450.x). Subscriber controlled input messages refer to those messages generated as a result of user procedures for the control of Supplementary Services (activation/deactivation/interrogation).

All H.323 call signalling, call control and subscriber controlled input messages that are transmitted on behalf of the target subscriber are subject to intercept at the IRI IIF. Based upon the network configuration, the AF shall provision IRI IIF with either a H.323 Unique Resource Locator (H.323-URL), or a H.323 Identity (H.323-ID), or a public E.164 telephone number.

If available events related to the Registration, Administration and Status (i.e. H.323 RAS) of the target subscriber's terminal equipment are also subject to intercept at the IRI IIF.

#### 5.2.3 Location information

The IRI Internal Interception Function (IIF) may report location information to satisfy the requirement in clause B.3. The availability and format of location information in the IRI IIF may depend on the network access technology. The present document uses the common parameter from ETSI TS 102 232-1 [2] to signal this information. Use of this parameter is subject to national agreement.

#### 5.2.4 Supplementary Services

A target subscriber may make use of supplementary services offered by the IP MultiMedia platform. Typical supplementary services are the maintenance of presence information and the manipulation of call forwarding and barred numbers.

The IP MultiMedia platform may offer an IETF RFC 4825 [17] XCAP interface, which can be used by the target's UE to modify supplementary services settings. A common interface making use of XCAP is the 3GPP Ut interface as described in 3GPP TS 24.623 [18].

Intercepted XCAP messages that are sent or received on behalf of the target subscriber will be handed over as IRI using the XCAPMessage ASN.1 structure. The XCAPMessage structure contains the complete HTTP application layer contents (including all headers), without any underlying TCP/IP protocol messages.

## 5.2.5 Additional signalling information

The IRI Internal Interception Function (IIF) may report additional signalling information without affecting the intercepted signalling messages. The additional signalling information could be provided by the network via different means than the information contained in the intercepted signalling messages.

The present document supports the handover of additional information, separately to any intercepted signalling messages, using SIP header format as defined in IETF RFC 3261 [4] and IETF RFC 5322 [19]. These SIP headers shall be formatted per the requirements as stated in other specifications, such as an IETF RFC or a 3GPP TS. National agreement may define which specifications apply.

## 5.2.6 SIP Messages in IRI-only intercept

#### 5.2.6.1 General

In networks which use IP Multimedia Subsystem (IMS) messages such as SMS are carried in the body of the MESSAGE method of the Session Initiation Protocol (SIP).

If national legislation requires that the content of a message is removed for an IRI-only intercept (see clause B.1) the content of the message that resides in the SIP body shall be modified or removed, depending on the messaging protocol in use.

In order to signal that the original message body has been modified, either the iRIOnlyOriginalIPMMMessage or the iRIOnlySIPMessage choice in IPIRIContents shall be used to transport the modified SIP message.

NOTE 1: When the iRIOnlyOriginalIPMMMessage is used the transport layer checksums may not validate after the message body has been modified.

NOTE 2: The content modifications required for messaging protocols other than SMS are FFS.

#### 5.2.6.2 SMS Messages

The RP and TP layer data for SMS messages are carried in a SIP body with content-type of application/vnd.3gpp.sms. For IRI-only interception each content element in the "TP-User-Data" field inside a GSM SMS TPDU (see 3GPP TS 23.040 [22]) shall be replaced by the equivalent of "Space" in the original encoding for the total length of the "TP-User-Data" field. While replacing the data the Data Coding Scheme (see 3GPP TS 23.038 [21]) needs to be taken into account.

#### 5.2.7 Signalling IP address information

The IIF shall provide the source and destination IP address of the intercepted SIP or H.323 message as it was transmitted on the network layer in the respective iPSourceAddress and iPDestinationAddress fields in the IRI message.

The source or destination IP addresses shall not be substituted with other IP addresses of the Signalling Server or any other element.

**EXAMPLE:** 

For instance, the UE (with IP address 192.0.2.23) sends a SIP or H.323 message from the access network towards a node in the IP MM SvP core network towards a Signalling server (with signalling IP address 198.51.100.10). When the interception takes place on the Signalling server IRI IIF this IRI IIF should provide the iPSourceAddress with value 192.0.2.23 and the iPDestinationAddress of 198.51.100.10.

## 5.3 Assigning a value to the Communication Identity Number

#### 5.3.0 Introduction

In order to produce useful IRI records from events, the IRI and CC records of a communication session shall be correlated with a single value for the Communication Identity Number (CIN) field. The CIN should be assigned upon first IRI or CC message.

### 5.3.1 Assigning a CIN value to SIP related IRI

All IRI events resulting from SIP messages in a single call will be assigned the same value for the CIN. A call may consist of two or more call signalling legs (e.g. when communicating via a SIP proxy). The various related call signalling legs are correlated. Implementation of SIP leg correlation is out of scope for the present document, a possible option is to use the P-Charging-Vector header (see 3GPP TS 33.108 [9], Annex F) if present.

## 5.3.2 Assigning a CIN value to H.323 related IRI

All IRI events resulting from messages within a single H.323 call will be assigned the same value for the CIN. Messages within a H.323 call can be identified as those messages containing, or associated with the same unique H.225.0 Call ID. The term H.323 Call is defined in the Recommendation ITU-T H.323 [6]. The term Call ID also referred to as H.225.0 Call ID is described in the Recommendations ITU-T H.323 [6] and H.225.0 [12].

## 5.4 Events and IRI record types

The following requirements need to be met:

All multimedia signalling and control messages to or from a targeted subscriber and all multimedia signalling
and control messages executed on behalf of or related to a targeted subscriber are intercepted by the IRI IIF
and sent to the MF over the INI2 interface as IRI records.

- IRI records for all events related to a single communication session, which may consist of multiple media streams, that are being exchanged among the possibly more than two users, will be assigned the same CIN value.
- In addition, information on non-transmission related actions of a target constitute IRI is assigned CIN and is sent via HI2 (e.g. information on subscriber controlled input).

NOTE: This includes events related to the target service handled internally by network functions (e.g. communications among GWs, Signalling Servers and Communication Services). The format of such information on the handover interface should be agreed in advance between the CSP and LEA.

For each event, a record is sent to the LEMF. A new value for the CIN field is assigned if an event is detected that is not related to an already existing communication session. This event is reported as an IRI-REPORT or IRI-BEGIN record. Every subsequent record related to this communication session is reported as an IRI-REPORT or IRI-CONTINUE record. At the detection of the event that denotes the end of a session an IRI-REPORT or IRI-END record is sent.

In case of implementation issues, operational flaws or problems, events may need to be reported for a communication session for which the IRI-END record has already been sent. These events are reported as an IRI-REPORT with the proper value for the CIN.

Table 1 summarizes the mapping between event type and record type sent to the LEMF.

Event IRI Record Type

At assignment of a new CIN value BEGIN/REPORT

All intermediate signalling, other than the last event CONTINUE/REPORT

The last event related to a communication session END/REPORT

Delayed IRI events related to an already ended session REPORT

Events that are not mapped REPORT

NOTE: Not mapped events could for example be encapsulated SIP messages.

Table 1: Mapping between IP MM Events and HI2 Records Type

## 5.5 Interception of Content of Communication

The Content of Communication (CC) provided is a copy of the multimedia stream sent through the SvP's network that is addressed to, sent from or related to the targeted subscriber as described in the intercepted call signalling and call control information.

Multimedia stream consists of:

- every IETF RFC 3550 [5] RTP and RTCP packet for real time multimedia services (e.g. VoIP);
- every IETF RFC 4975 [15] MSRP packet for MSRP based multimedia services (e.g. instant messaging, file sharing, etc.);
- every Recommendation ITU-T T.38 [16] UDPTL packet for Facsimile multimedia service.

The RTP CC shall also contain the RTP header, UDP header and IP header, except by agreement between CSP and LEA (for example these headers may not be available at the point of interception). Each IPMMCC PDU shall contain one intercepted packet.

The UDPTL CC shall follow the same principles.

The MSRP CC shall contain the TCP header. If the TCP header of the MSRP CC payload is not available, the frameType msrpFrame may be used.

The frameType field indicates which headers are present in a given CC stream.

In the case where the RTP header is unavailable, one may be inserted by the mediation function, subject to agreement between LEA and CSP. The addition of an inserted RTP header may aid processing the audio stream at the receiver. When an artificial header is used, this shall be signalled using the artificialRtpFrame parameter of the FrameType structure.

IP and UDP or TCP headers shall not be inserted into the intercepted material by the mediation function if they are unavailable.

In addition to IRI messages, all RTP/RTCP, UDPTL or MSRP packets identified to be associated with a specific intercepted call or session shall be assigned the same CIN.

In case where some headers are not reported within the CC (because not available at the point of interception) there may be a need to identify the media streams inside the CC, typically in situations where the SIP communication consists of multiple media streams of same type. The streamIdentifier field identifies the media stream unambiguously (it may contain the corresponding SDP 'c=' and 'm=' lines for instance).

NOTE 1: The ASN.1 definition for CC is presented as the IPMultimediaPDU in clause 7.

NOTE 2: The CC also contains the target media streams that are not transferred via RTP or RTCP or UDPTL or MSRP (for example, those streams handled by a GW and not passing the core network). The format of such information on the handover interface should be agreed in advance between the CSP and LEA.

## 5.6 Direction for IMS IRI for Signalling Messages

In order to indicate the direction of a signalling message carried in the IRI payload, the payloadDirection parameter (as defined in ETSI TS 102 232-1 [2]) parameter may be used. Use of this parameter is subject to national agreement. If the payloadDirection parameter is used then it shall be populated as follows:

- if the signalling message was sent from the target, the from Target value shall be used;
- if the signalling message was sent to the target, the toTarget value shall be used;
- if the direction could not be determined reliably, the indeterminate value shall be used.

The values combined and notapplicable shall not be used unless by specific national agreement.

#### 5.7 Direction for IMS sessions

#### 5.7.1 Direction for SIP sessions

In order to indicate the direction of a SIP session, the sessionDirection parameter (as defined in ETSI TS 102 232-1 [2]) may be used. Use of this parameter is subject to national agreement. If the sessionDirection parameter is used for SIP sessions then it shall be populated as follows:

- if the SIP message which results in the CIN for the session being allocated is sent to the target, the toTarget value shall be used;
- if the SIP message which results in the CIN for the session being allocated is sent from the target, the from Target value shall be used;
- if the SIP message which results in the CIN for the session being allocated is sent from and to the target, the combined value shall be used;
- if the direction could not be determined reliably, the indeterminate value shall be used.

The value notapplicable shall not be used unless by specific national agreement.

#### 5.7.2 Direction for H.323 sessions

The indication of the direction for H.323 sessions is not considered in the present document.

### 5.8 Correlation of signalling and media

To allow MFs and LEMFs to correlate signalling and media, the following principles shall be applied:

- 1) Intercept signalling requests and responses in the same context. A context may be the User-Network Interface (UNI) or Network-to-Network Interface (NNI).
- 2) Intercept media in the same context as the signalling. In case of SIP signalling, this ensures that the SDP (c= and m= lines for example) correlate to the RTP IP-address and port.
- 3) If signalling and media cannot be intercepted in the same context, the SDP of the media context shall be provided in the streamIdentifier parameter in the IPMMCC payload. This aids in decoding audio but prevents correlation with signalling and should be avoided.
- 4) Interception of signalling and media should occur in a trusted context, as signalling and media in untrusted contexts can be generated by a user.

NOTE: The terms "correlate" and "correlation" as used in this clause refer to protocol level correlation between signalling and related media, and not the correlation of IRI and CC for example as accomplished with the CIN.

## 6 Handover Interface

## 6.1 Intercept Related Information

The Communication Identity Number (CIN) is used to uniquely identify a communication session (as described in ETSI TS 102 232-1 [2] and ETSI TS 101 671 [1]). Applied to IP MM Services, a communication session refers to a single self-contained transaction or a series of protocol data units that together form a self-contained communication such as a SIP or H.323 Session.

#### 6.2 Correlation of IRI and CC

To assure correlation between the independently transmitted Content of Communication and the Intercept Related Information (IRI) of an intercepted call the following parameters are used:

- Lawful Interception Identifier (LIID);
- Communication Identifier (CID).

NOTE: The target identifier may not necessarily be found in both communication content and interception related information.

In situations where the SIP communication consists of multiple media streams the streamIdentifier field of CC may be used to correlate each media stream of CC with the corresponding SDP media description of IRI.

## 7 ASN.1 specification for IRI and CC

The ASN.1 (Recommendation ITU-T X.680 [3]) module that represents the information in the present document and meets all stated requirements is given in file *IPMultimediaPDU*, ver16.asn, contained in archive ts\_10223205v031801p0.zip which accompanies the present document.

The IPMultimediaPDU, ver16.asn file also refers to:

• ISO 3166-1 [20].

ETSI TR 102 503 [i.2] gives an overview of the relevant Object IDentifiers (OID) used in ASN.1 modules of the Lawful Intercept specifications and points to the specification where the modules can be found.

## Annex A (informative): Interception using H.248

## A.1 Purpose of this annex

There are a number of problems with extracting useful IRI from H.248 signalling. These problems are largely due to the fact that H.248 is a gateway control protocol, not an end-to-end call initiation protocol like SIP or H.323. Often, useful information may be more easily extracted from other signalling links such as SIP, or from on-switch interception solutions. However, in certain circumstances it may be necessary to use the H.248 link. For example, for security or architecture reasons, it may be that the simpler options are not possible or acceptable. This annex provides some notes on how LI could be performed on a H.248 link (see clause A.2) and describes some of the problems and issues that arise (see clause A.3). It is not intended to be a complete or comprehensive description and solutions to the problems and issues are not presented.

## A.2 Notes on interception using H.248

## A.2.1 Target identification (see also clause 5.1)

H.248 messages typically do not contain authoritative target identifiers, such as E.164 phone numbers. Instead, they will typically contain termination and context identifiers. Hence, a probe provisioned with a list of target identifiers, and sniffing an H.248 link, will not be able to discriminate between target and non-target H.248 messages.

A possible solution would be to provide a mapping between authoritative identifiers such as E.164 numbers, and H.248 identifiers such as termination IDs. The MGC would provide the IF or MF with a list of the termination IDs in each MG it controls, and the corresponding authoritative identifier for each termination. This list of correspondences, along with the target list held by the IF or MF, would allow the IF to target H.248 transactions based on termination ID.

Information such as the IP endpoint for the RTP stream will be sent to a different termination. However, it will be in the same context as the target termination. Hence, it will be necessary for the probe to extract the relevant Context ID from any messages it intercepts by Termination ID, and intercept subsequent messages by this Context ID.

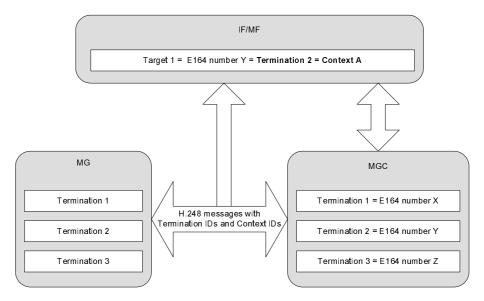


Figure A.1: Mapping of Authoritative Identifiers to Termination and Context Identifiers

There is still a problem with this method. There is no guarantee that the first termination to be added to a context will be the target physical termination. For example, the MGC may choose to add an ephemeral IP termination first, followed by the target termination. The IF will only intercept signalling after the target termination is added. Information contained in the first Add message is missed. This message may contain the IP endpoints and codec for the media stream, and so missing it means that the call content cannot be intercepted.

It is worth noting that typically, there is no advantage to adding the ephemeral termination first, and so H.248 implementations tend to add the physical termination at the same time as, or before, the ephemeral one.

The recommendation is therefore that:

- The IF will intercept H.248 signalling using a physical termination ID as an identifier.
- The IF will subsequently intercept any H.248 signalling to the same context as the physical termination.
- The MGC provides a mapping of authoritative identifiers to physical termination IDs.

The problems with this approach are:

Any signalling to a context sent before a target termination is added to that context may be missed. This may
mean important information such as details of the call content media stream are missed.

Other methods of identifying target H.248 transactions (for example, examining some other signalling link) are also possible.

## A.2.2 Provisioning of the H.248 IRI IIF (see also clause 5.2)

For H.248, the IRI IIF is provisioned with a mapping between H.248 target identifiers and physical termination IDs. All H.248 signalling to and from a targeted termination is subject to interception. In addition, to retrieve information about the subsequent media path, any signalling sent to or from the same context as a targeted termination is also subject to interception.

## A.3 Problems in H.248 interception

## A.3.1 Missing information in H.248 signalling

In some circumstances, target H.248 messages may not contain all of the information an end user might expect. The following list shows what information may be missing:

- If a non-target calls a target, the non-target's number may be unavailable. A CLI signal may be used to discover the caller's number, but if there is no CLI package in place, or the user withholds their number, the calling number is unavailable.
- A failed attempt to call the target (because, for example, the target was busy) may not generate any target signalling, as the MGC will determine that the call cannot be made.
- If a target is called, the H.248 signalling gives no indication whether the call was made directly, or if the call was, for example, diverted or transferred to the target. If the call was diverted, there is no way of obtaining the original number (unless it is provided in some kind of package).
- Similarly, when a call is torn down, unless the target hung up, there is no indication of why the call ended.
- In some implementations, features such as call-holding or conference calling may be dealt with by a separate dedicated server (not the MGC). For example, a user may flash-hook and be automatically redirected to a dedicated conferencing server, which would subsequently listen for the DTMF digits of the person the user wants to conference with. In this case, the digits the user dials are carried over the media stream to the conferencing server, rather than over H.248 signalling to the MGC. This number would only be found by analysing the call content afterwards.

## A.3.2 Missing call content

Local call turnaround creates a problem in capturing the call media. If the call is turned around locally at a Media Gateway, then the RTP media stream is never presented to the IP network. Therefore, no interception function in the IP network will be able to intercept the call media.

## Annex B (normative): Minimum set of functional attributes to be provided

#### B.0 Overview

Annex B provides the functional description of the minimal set of information that is to be provided to Law Enforcement for each intercepted communication.

The full law enforcement requirements are in ETSI TS 101 331 [10].

The present annex describes the requirements from a Law Enforcement Agency's (LEA's) point of view.

Not all requirements necessarily apply in one individual nation.

These requirements shall be used to derive specific network requirements and furthermore to standardize handover interfaces.

## B.1 General requirements

- a) The obligation of the CSP as to which telecommunications traffic shall be intercepted is subject to national laws.
- b) In accordance with the relevant lawful authorization a CSP shall ensure that:
  - b.1) the entire content of communication associated with a target identity being intercepted can be intercepted during the entire period of the lawful authorization;
  - b.2) any content of communication associated with a target identity being intercepted which is routed to technical storage facilities or is retrieved from such storage facilities can be intercepted during the entire period of the lawful authorization;
  - b.3) the delivery of the intercept related information is reliable. If the intercept related information cannot be delivered immediately to the relevant LEMF, then the intercept related information shall be buffered until they can be delivered;
  - b.4) the delivery of the content of communication is reliable. If the content of communication cannot be delivered immediately to the relevant LEMF, then the content of communication shall be buffered if this is required by national laws;
  - b.5) the CSP shall not monitor or permanently record the results of interception.
- c) The ability to intercept telecommunications shall be provided relating to the interception subjects operating permanently within a telecommunications system (e.g. a subscriber or account).
- d) The ability to intercept telecommunications shall be provided relating to the interception subjects operating temporarily within a telecommunications system (e.g. a visiting mobile subscriber or a visiting subscriber using an access network to a home service).
- e) The results of interception relating to a target service shall be provided by the CSP in such a way that any telecommunications that do not fall within the scope of the lawful authorization shall be excluded by the CSP.
- NOTE 1: It is assumed that the intercepting system exercises best effort to exclude non-authorized interception patterns (e.g. transferred communication).
- f) All results of interception provided at the handover interface shall be given a unique identification relating to lawful authorization.
- NOTE 2: Information used for the IRI is expected to be part of standard network signalling procedures. No additional signalling is expected for the IRI.

## B.2 Result of interception

The CSP shall, in relation to each target service:

- a) Provide the content of communication.
- b) Remove any service coding or encryption which has been applied to the content of communication (i.e. en clair) and the intercept related information at the instigation of the CSP.
- c) Provide the LEA with any other decryption keys whose uses include encryption of the content of communication, where such keys are available for CSP.
- d) Intercept related information shall be provided:
  - d.1) when communication is attempted;
  - d.2) when communication is established;
  - d.3) when no successful communication is established;
  - d.4) on change of status (e.g. in the access network);
  - d.5) on change of service or service parameter;
  - d.6) on change of location (this can be related or unrelated to the communication or at all times when the apparatus is switched on).
- e) Intercept related information shall contain:
  - e.1) the identities that have attempted telecommunications with the target identity, successful or not;
  - e.2) identities used by or associated with the target identity;
  - e.3) details of services used and their associated parameters;
  - e.4) information relating to status;
  - e.5) time stamps.
- f) The conditions mentioned above also apply to multi-party or multi-way telecommunication if and as long as the target identity participates.

## B.3 Location information

An LEA may request location information relating to locations, in a number of forms: the current geographic, physical or logical location of the target identity. This information is expected to be made available from normal network operation.

## B.4 Time constraints

- a) A CSP shall make the necessary arrangements to fulfil his obligation to enable the interception and delivery of the result of interception from the point in time when the telecommunication installation commences commercial service.
- b) The above requirement applies accordingly to the introduction of modifications to the telecommunication installation or to new operational features for existing telecommunications services to the extent of their impact on existing interception capabilities.
- c) When a lawful authorization is presented a CSP provider shall co-operate immediately.

d) After a lawful authorization has been issued, provision of the results of interception of a target identity shall proceed on a real-time basis.

## B.5 Technical handover interfaces and format requirements

- a) The configuration of the handover interface shall ensure that the quality of service of the telecommunications traffic provided at the handover interface is not inferior to that offered to the target service for each particular call.
- b) Each interception target shall be uniquely associated with a single instance of the handover interface. This could be achieved by separate channels or the use of identifiers.
- c) The correlation between the content of communication and intercept related information shall be unique.

## Annex C (informative): Change request history

Status of present document: ETSI TS 102 232-5 Handover Interface and Service-Specific Details (SSD) for IP delivery; Part 5: Service-specific details for IP Multimedia Service; Handover specification for IP delivery		
TC LI approval Date	Version	cific details for IP Multimedia Service; Handover specification for IP delivery  Remarks
January 2007	2.1.1	First publication of the TS after approval by ETSI/TC LI#14 (30 January - 1 February 2007, Puerto de la Cruz)
October 2007	2.2.1	Included Change Requests: TS102232-05CR001 (cat D) IRI Record type TS102232-05CR002r2 (cat B) Clarification of use of RTP/UDP/IP headers These CRs were approved TC LI#16 (2-4 October 2007; Berlin)
December 2007	2.2.2	Figure 5 was updated
January 2008	2.3.1	Included Change Request: TS102232-05CR003r1 (cat B) on Media stream identification for correlation in case of multiple media streams communications This CR was approved TC LI#17 (22-24 January 2008, Como)
July 2009	2.3.2	Included Change Request: TS102232-05CR004r2 (cat D) Clarification about CIN assignment This CR was approved by TC LI#21 (29 June - 1 July 2009, Sophia Antipolis)  The ASN.1 definitions are contained in an .asn file (ETSI TS 102 232-5, IPMultimediaPDU, ver3.asn) which accompanies the present document
February 2010	2.4.1	Included Change Request: TS102232-05CR005r1 (cat B) update to handle non-RTP bearers (MSRP) for new IMS services This CR was approved by TC LI#23 (9-11 February 2010, Rome) The ASN.1 definitions are contained in a .txt file (ETSI TS 102 232-5, IPMultimediaPDU, ver4.txt) which accompanies the present document
September 2010	2.5.1	Included Change Request: TS102232-05CR006 (cat F) Clarification of delivery of intercepted IPMM packets This CR was approved by TC LI#25 (21-23 September 2010, St. Petersburg)  The ASN.1 definition is contained in a .txt file (IPMultimediaPDU,ver4.txt) which accompanies the present document
January 2012	3.1.1	Included Change Request: TS102232-05CR007r1 (cat F) Additional parameter "sciInformation" for the reporting of Subscriber Controlled Input of the target This CR was approved by TC LI#29 (24-26 January 2012, Dun Laoghaire)  The ASN.1 definition is contained in a .txt file (IPMultimediaPDU,ver5.txt) which accompanies the present document
May 2012	3.2.1	Included Change Requests: TS102232-05CR008r1 (cat B) Addition of rtpframe parameter TS102232-05CR009r1 (cat B) Interception of Facsimile over IP IMS communications These CRs were approved by TC LI#30 (14-16 May 2012, Amsterdam)  The ASN.1 definition is contained in a .txt file (IPMultimediaPDU,ver6.txt) which accompanies the present document
July 2014	3.3.1	Included Change Requests: TS102232-05CR10 (cat B) Addition of location support to IPMMIRI TS102232-05CR11 (cat F) Correction of tables  The ASN.1 definition is contained in a .txt file (IPMultimediaPDU,ver7.txt) which accompanies the present document
September 2014	3.4.1	Included Change Request: TS102232-05CR12 (cat F) Correction of CIN correlation  The ASN.1 definition is contained in a .txt file (IPMultimediaPDU,ver7.txt) which accompanies the present document

Status of present document: ETSI TS 102 232-5 Handover Interface and Service-Specific Details (SSD) for IP delivery; Part 5: Service-specific details for IP Multimedia Service; Handover specification for IP delivery		
TC LI approval	Version	Remarks
September 2015	3.5.1	Included Change Request: TS10232-05CR13 (cat B) Specifying session direction for IMS sessions  The ASN.1 definition is contained in a .txt file (IPMultimediaPDU,ver7.txt) which
July 2016	3.6.1	accompanies the present document  Included Change Request: ETSI TS 102232-05CR015 (cat B) Adding supplementary services to ETSI TS 102 232-5 This CR was approved by TC LI#42  The ASN.1 definition is contained in a .txt file (IPMultimediaPDU,ver8.txt) which accompanies the present document
February 2017	3.7.1	Included Change Request: ETSI TS 102232-05CR018 (cat B) Handover of additional signalling information This CR was approved by TC LI#44  The ASN.1 definition is contained in a .txt file (IPMultimediaPDU,ver9.txt) which accompanies the present document
October 2017	3.8.1	Included Change Request:  CR019 (Adjust imports in preparation of making ETSI TS 101 671 historical)  The ASN.1 definition is contained in a .txt file (IPMultimediaPDU,ver10.txt) which accompanies the present document
June 2018	3.9.1	Included Change Request:  CR020 (Add IMSI/IMEI as possible target identifiers)  The ASN.1 definition is contained in a .txt file (IPMultimediaPDU,ver10.txt) which accompanies the present document
March 2019	3.10.1	Included Change Request:  CR021 (Addition of MSRP on HI)  The ASN.1 definition is contained in a .txt file (IPMultimediaPDU,ver11.txt) which accompanies the present document
June 2019	3.11.1	Included Change Request:  CR023 (Adaptations to support IRI only for SMS in SIP messages)  The ASN.1 definition is contained in a .txt file (IPMultimediaPDU,ver12.txt) which accompanies the present document
July 2020	3.12.1	Included Change Request:  CR024 (Signalling and media correlation clause)  The ASN.1 definition is contained in a .txt file (IPMultimediaPDU,ver12.txt) which accompanies the present document
October 2020	3.13.1	Included Change Request:  CR025 (Clarification of values in iPSourceAddress/iPDestinationAddress for SIPMessage and H323Message)  The ASN.1 definition is contained in a .txt file (IPMultimediaPDU,ver13.txt) which accompanies the present document
February 2021	3.14.1	Included Change Request agreed by ETSI TC LI#56e  CR026r1 (Update reference of ATIS-10000678 to V4)  The ASN.1 definition is contained in a .txt file (IPMultimediaPDU,ver13.txt) which accompanies the present document

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TC LI approval Date	Version	Remarks	
February 2022	3.15.1	Included Change Requests agreed by ETSI TC LI#59e  CR028r1 (Correction of Intercepted Related Information) CR029 (Correlation of Signalling and Media) CR031 (Coding of mMCCContents with Audio Frame Type)  The ASN.1 definition is contained in a .txt file (IPMultimediaPDU,ver14.txt) which accompanies the present document	
July 2022	3.16.1	Included Change Request agreed by ETSI TC LI#60  CR032 (Update OID for import of ETSI TS 102 232-1)  The ASN.1 definition is contained in a .txt file (IPMultimediaPDU,ver15.txt) which accompanies the present document	
November 2022	3.17.1	Included Change Request agreed by ETSI TC LI#61  CR033 (Moving ASN.1 to attachment)  The ASN.1 definition is contained in a .txt file (IPMultimediaPDU,ver15.txt) which accompanies the present document	
March 2023	3.18.1	Included Change Request agreed by ETSI TC LI#62  CR034 (Simplify OID versioning. Fully qualify ASN.1 imports)  The ASN.1 definition is contained in a .asn file (IPMultimediaPDU,ver16.asn) which accompanies the present document	

## History

Document history			
V2.1.1	February 2007	Publication (Historical)	
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