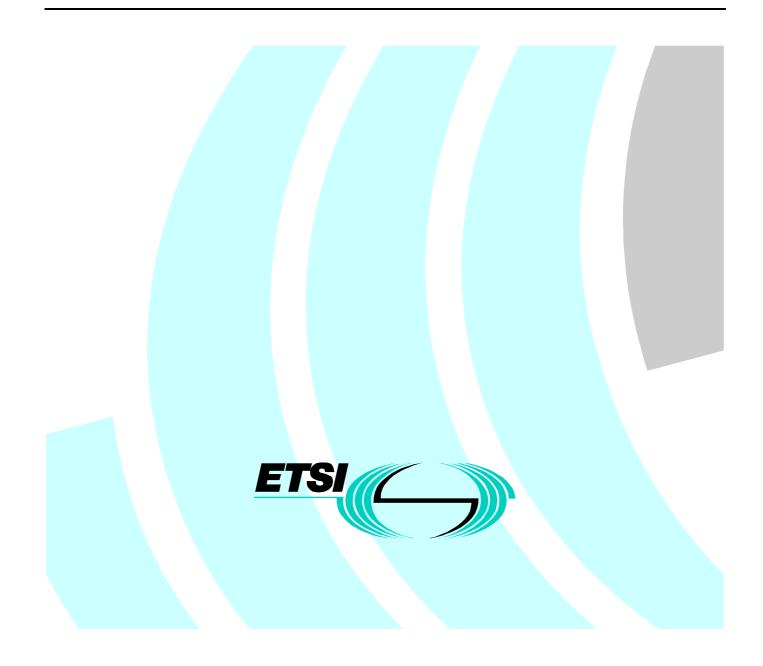
# TS 101 207-2 V1.2.1 (1999-05)

Technical Specification

Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-7; Part 2: Test Suite Structure and Test Purposes (TSS&TP) specification



Reference

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Keywords

card, testing, TSS&TP, security

# ETSI

Postal address F-06921 Sophia Antipolis Cedex - FRANCE

Office address

650 Route des Lucioles - Sophia Antipolis Valbonne - FRANCE Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16 Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

Internet

secretariat@etsi.fr Individual copies of this ETSI deliverable can be downloaded from http://www.etsi.org If you find errors in the present document, send your comment to: editor@etsi.fr

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# Foreword

This Technical Specification (TS) has been produced by ETSI Project Pay Terminals and Systems (PTS).

The present document was handed over to the CEN Secretariat in order to become an EN through the CEN approval process. ETSI has produced a set of TSs which are not a copy of any CEN published EN. The TSs are complete and consistent documents with references among themselves. It has been made clear in these TSs that they are contributions to the CEN work for publication as EN (after re-editing the references). Once published by CEN as EN, ETSI will withdraw its TS.

The present document is part 2 of a multi-part document covering Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for TS 101 206-7 [7], as identified below:

Part 1: "Implementation Conformance Statement (ICS) proforma specification";

# Part 2: "Test Suite Structure and Test Purposes (TSS&TP) specification";

Part 3: "Abstract Test Suite (ATS) and Implementation eXtra Information for Testing (IXIT)".

#### TS 101 206-1 "EN 726-1: Identification card systems; Telecommunications IC cards and terminals; Part 1: System overview" TS 101 206-2 "EN 726-2: Identification card systems; Telecommunications IC cards and terminals; Part 2: Security framework". TS 101 206-3 "EN 726-3: Identification card systems; Telecommunications IC cards and terminals; Part 3: Application independent card requirements". TS 101 206-4 "EN 726-4: Identification card systems; Telecommunications IC cards and terminals; Part 4: Application independent card related terminal requirements" TS 101 206-5 "EN 726-5: Identification card systems; Telecommunications IC cards and terminals; Part 5: Payment methods". TS 101 206-6 "EN 726-6: Identification card systems; Telecommunications IC cards and terminals; Part 6: Telecommunications features" TS 101 206-7 "EN 726-7: Identification card systems; Telecommunications IC cards and terminals; Part 7: Security module"

# **Overview of ETSI deliverables on EN 726 family**

<b>Overview of ETSI deliver</b>	ables on EN 726 conforma	nce testing family

TS 101 203-1	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-3; Part 1: Implementation Conformance Statement (ICS) proforma specification".
TS 101 203-2	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-3, Part 2: Test Suite Structure and Test Purposes (TSS&TP) specification".
TS 101 203-3	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-3; Part 3: Abstract Test Suite (ATS) and Implementation eXtra Information for Testing (IXIT) proforma specification".
TS 101 204-1	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-4; Part 1: Implementation Conformance Statement (ICS) proforma specification".
TS 101 204-2	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-4, Part 2: Test Suite Structure and Test Purposes (TSS&TP) specification".
TS 101 204-3	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-4; Part 3: Abstract Test Suite (ATS) and Implementation eXtra Information for Testing (IXIT) proforma specification".
TS 101 207-1	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-7; Part 1: Implementation Conformance Statement (ICS) proforma specification".
TS 101 207-2	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-7, Part 2: Test Suite Structure and Test Purposes (TSS&TP) specification".
TS 101 207-3	"Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-7; Part 3: Abstract Test Suite (ATS) and Implementation eXtra Information for Testing (IXIT) proforma specification".

# 1 Scope

The present document provides Test Suite Structure and Test Purposes (TSS&TP). It applies to the Security Module (SM) defined in TS 101 206-7 [7] in compliance with the relevant requirements, and according to the relevant guidance given in ISO/IEC 9646-7 [12] and ETS 300 406 [10].

The set of test purposes described herein is intended to proof the compliance of a security module with the standard TS 101 206-7 [7] (about 140 tests) and it is seen as an extension of the test purposes made for TS 101 206-3 [6] (about 645 tests), however the number of tests and the depth of testing is not sufficient for a product qualification test.

For a product qualification at least the following tests should be added:

- User profile test:
  - to test whether the SM suits the need for a specific application. Here all possible scenarios should be run.
- Life cycle test:
  - to test the behaviour of a SM after it has been used for X transactions, where X is a multiple of the guaranteed life cycle of the programmable memory.
- Stress test:
  - to test the behaviour of the SM at physical stress, such as under voltage, over voltage, too high or low frequencies and spikes on the VCC/VPP line.
- Performance test:
  - to test whether the implementation is able to handle the defined scenarios within the defined time limits.
- Key test:
  - each key in a SM should be used for its specified purpose at least once and the result should be checked.
- Additional file tests:
  - each file present in the SM should be selected and read out, if possible. The answer to SELECT and the contents of the file should be checked against the specification.

# Tests for additional functions.

For a compliance with the specification the SM should at least be tested with:

- each allowed parameter, or at least their extreme values;
- at least one invalid parameter;
- each return code should at least be provoked once;
- the successful operation of the function should be tested.

In addition to that, tests can be added for:

- all invalid parameter combinations;
- undefined situations; etc.

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies.
- A non-specific reference to an ETS shall also be taken to refer to later versions published as an EN with the same number.

[1]	EN 27816-1 (1989): "Identification cards - Integrated circuit(s) cards with contacts - Part 1: Physical characteristics (ISO 7816-1; 1987, edition 1)".
[2]	EN 27816-2 (1989): "Identification cards - Integrated circuit(s) cards with contacts - Part 2: Dimensions and locations of the contacts (ISO 7816-2; 1988, edition 1)".
[3]	EN 27816-3 (1992): "Identification cards - Integrated circuit(s) cards with contacts - Part 3: Electronic signals and transmission protocols (ISO/IEC 7816-3; 1989, edition 1)".
[4]	EN 27816-3 (1992), Amendment 1 (1993): "Identification cards - Integrated circuit(s) cards with contacts - Part 3: Electronic signals and transmission protocols. Amendment 1: Protocol type $T = 1$ , asynchronous half duplex block transmission protocol (ISO/IEC 7816-3; 1989, Amendment 1: 1992)".
[5]	EN 27816-3 (1992), Amendment 2 (1995): "Identification cards - Integrated circuit(s) cards with contacts - Part 3: Electronic signals and transmission protocols. Amendment 2: Revision of protocol type selection (ISO/IEC 7816-3; 1989, Amendment. 2:1994)".
[6]	TS 101 206-3 (1.2): "EN 726-3: "Identification card systems; Telecommunications IC cards and terminals; Part 3: Application independent card requirements".
[7]	TS 101 206-7 (V1.2): "prEN 726-7: "Identification card systems; Telecommunications IC cards and terminals; Part 7: Security module".
[8]	TS 101 203-1: "Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-3; Part 1: Implementation Conformance Statement (ICS) proforma specification".
[9]	TS 101 207-1: "Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-7; Part 1: Implementation Conformance Statement (ICS) proforma specification".
[10]	ETS 300 406 (1995): "Methods for testing and Specification (MTS); Protocol and profile conformance testing specifications; Standardization methodology".
[11]	ISO/IEC 9646-1 (1994): "Information technology - Open systems interconnection - Conformance testing methodology and framework - Part 1: General concepts".
[12]	ISO/IEC 9646-7 (1995): "Information technology - Open systems interconnection - Conformance testing methodology and framework - Part 7: Implementation Conformance Statements".
[13]	TS 101 207-3: "Identification card systems; Telecommunications IC cards and terminals; Test methods and conformance testing for EN 726-7; Part 3: Abstract Test Suite (ATS) and Implementation eXtra Information for Testing (IXIT) proforma specification".

# 3 Definitions, symbols and abbreviations

# 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

- EN 27816-1 [1]; EN 27816-2 [2]; EN 27816-3 [3]; EN 27816-3, Amendment 1 [4], and EN 27816-3, Amendment 2 [5];
- TS 101 206-3 [6];
- ISO/IEC 9646-1 [11] and ISO/IEC 9646-7 [12].

In particular, the following terms defined in ISO/IEC 9646-1 [11] apply:

**Implementation Conformance Statement (ICS):** statement made by the supplier of an implementation or system claimed to conform to a given specification, stating which capabilities have been implemented. The ICS can take several forms: protocol ICS, profile ICS, profile specific ICS, information object ICS, etc.

**ICS proforma:** document, in the form of a questionnaire, which when completed for an implementation or system becomes an ICS.

# 3.2 Symbol

For the purposes of the present document, the following symbol applies:

{} Optional data, for example "CLA, INS, P1, P2, P3 {, data}" indicates that data may or may not follow the CLA, INS, P1, P2, P3 bytes.

# 3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AC	Access Condition(s)
ACK	Acknowledge
ALW	ALWays
AP	ASK PARAMETER
ATS	ASK FARAMETER Abstract Test Suites
CAD	Card Accepting Device (this includes only the mechanics)
CLA	CLAss
CO	Command
DF	Dedicated Files
DK	Downloading of Key
EF	Elementary Files
EW	External World
FU	Function
I/O	Input/Output
IC	Integrated Circuit
ICS	Implementation Conformance Statement
ID	IDentifier
INS	INStruction
IV	Invalid behaviour test
IXIT	Implementation eXtra Information for Testing
LM	Logical Model
MAC	Message Authentication Code
MF	Master File
NV	NeVer
PC	Physical Characteristics

PDU	Protocol Data Unit
PRO	PROtected
RC	Return Code
RST	Reset
SM	Security Module
SP	electronic Signals and transmission Protocols
SW	Status Word
TP	Test Purposes
TSS	Test Suite Structure
TTCN	Tree and Tabular Combined Notation
UC	User Card
VA	Valid behaviour test
VCC	supply Voltage
VPP	programming Voltage

# 4 Test environment

This clause specifies several requirements, which shall be met, and a number of rules, which shall be adhered to before testing can proceed.

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# 4.1 Test equipment

This subclause recommends a minimum specification for each of the items of test equipment referenced in the tests.

# 4.1.1 Card Accepting Device (CAD) simulator

This item of equipment shall allow T = 0 or T = 1 protocol implementations to take place on ID-1 SM cards. It shall be able to generate and send any command APDU and receive any of the possible responses. These commands will be generated by translation of the ATS in TS 101 207-3 [13].

The voltage level for VCC (contact C1) of the SM shall be adjustable between 0 V and 6,0 V to an accuracy of 0,1 V. The voltage level for I/O (contact C7) when sending data to the SM shall be adjustable between 0 V and 6,0 V to an accuracy of 0,1 V.

The CAD simulator shall be able to accept an external signal to drive RST (contact C3) of the SM.

It shall be possible to access all the card contacts either directly or through test points.

# 4.2 Default data formatting

All numeric data enclosed in single quotes ("99") in the present document is hexadecimal data.

Where "X" is used in place of a hexadecimal digit, X ranges from "0" to "F". For example, the data "6X" ranges from "60" to "6F" inclusive.

Where data is expressed as a group of bytes, it shall be in the following format: "XX XX XX... XX", indicating first byte, second byte, third byte etc. in that order.

# 4.3 Test procedure

The following statements are applicable to the test procedure clause for all test purposes contained within the present document:

- if there is a sequence control implemented, the commands have to be given in an allowed sequence. The sequence control is not tested;
- positive return codes are SW1, SW2 = "90 00" or "9F XX", if the SM is an IC card, else every return code, that acknowledges the command;
- negative return codes are all return codes that indicate an error has occurred;
- if "None." is stated as a precondition, that does not exclude the general statements made in this section;
- if variables are used in a table, they are only valid within that table and they have no relation with variables of the same name in other tables.

# 5 Test suite structure

- Security Module:
  - Physical characteristics;
  - Electronic signals and transmission protocols;
  - Logical model:
    - Permanent secrets:
      - $EF_{KEY MAN}(SM);$
      - $EF_{KEY_{OP}}(SM);$
      - EF<sub>KEY MAN</sub> (SM);
      - EF<sub>KEY OP</sub> (SM);
      - $EF_{KEY_{MAN}}(UCx);$
      - $EF_{KEY_{OP}}(UCx)$ .
    - Temporary secrets:
      - $EF_{DIK1}(UCx);$
      - $EF_{DIK2}(UCx)$ .
    - Balance:
      - EF<sub>AMOUNT</sub>.
    - Operating system:
      - MF;
      - $EF_{ICC}$ ;
      - $EF_{DIR}$ ;
      - DF;
      - EF<sub>KEYTABLE</sub>.

- Functions:
  - Functions without any MAC:
    - SELECT KEYSET;
    - DIVERSIFY KEYSET;
    - ASK PARAMETER.
  - Functions used to compute a MAC:
    - COMPUTE LOAD KEY;
    - COMPUTE MAC;
    - COMPUTE CRYPTOGRAM;
    - DECREASE (SM).
  - Functions used to verify a MAC:
    - VERIFY MAC;
    - UPDATE (SM);
    - INCREASE (SM);
    - VERIFY CRYPTOGRAM.
- Downloading of keys from SM to UC.

# 6 Test Purposes (TP)

# 6.1 Introduction

For each test requirement a Test Purpose (TP) is defined.

# 6.1.1 TP naming convention

TPs are numbered, starting at 01, within each group. Groups are organized according to the TSS. Additional references are added to identify the actual Test Suite. See table 1.

Table 1: TP lo	dentifier	naming	convention	scheme
----------------	-----------	--------	------------	--------

Identifier:	<group>_<subgroup>_&lt;</subgroup></group>	type>_ <nnn></nnn>		
<group></group>	major group	PC: Physical characteristics		
-		SP: Electronic Signals and transmission Protocols		
		LM: Logical Model		
		RC: Return Codes		
		FU: Functions		
		CO: Commands		
		DK: Downloading of Keys		
<subgroup></subgroup>	function or file	two characters to indicate the function:		
		e.g. AP for ASK PARAMETER;		
		XX if function independent.		
<type></type>	type	one character field representing the type of test		
		VA: Valid behaviour test;		
		IV: Invalid behaviour test.		
<nnn></nnn>	sequential number	(01-99)		

# 6.1.2 Source of TP definition

The TPs were developed based on TS 101 206-7 [7] and TS 101 207-1 [9].

# 6.1.3 TP structure

Each TP has been written in a manner, which is consistent with all other TPs. The intention of this is to make the TPs more readable and checkable. A particular structure has been used and this is illustrated in table 2. This table should be read in conjunction with any TP, that is, use a TP as an example to fully understand the table.

Table 2: Structure of a single TP

TP Part	Text	Example	
Header	<identifier> tab</identifier>	see table 1	
	<subclause base="" en="" in="" reference=""> tab</subclause>	subclause 0.0.0	
	{ICS/IXIT limitation c_ <item no="">{.<subitem no="">}{, }}</subitem></item>	c9_3.1, c9_3.2	
Stimulus	Ensure that the SM for		
	<command/>	SELECT KEYSET	
	or <file ef=""></file>	EF <sub>KEY_MAN</sub>	
Reaction	<action> <conditions></conditions></action>	results in, contains, after selecting, using parameter, if supported	
<conditions> after selecting, using particular supported NOTE: Text in italics will not appear in TPs and text between &lt;&gt; is filled in for each TP and may differ from TP to the next.</conditions>			

# 6.1.4 Test strategy

As the base standard contained no explicit requirements for testing, the TPs were generated as a result of an analysis of the base standard and ICS.

# 6.1.5 Valid behaviour test

This type of test is used whenever it should be proved, that an implementation complies with the standard. The reaction on invalid stimuli or states is not the objective of this type of test.

# 6.1.6 Invalid behaviour test

Herewith invalid commands, parameters or states are tested to see, whether the implementation shows robustness against invalid stimuli and that the returned Status Words (SW) comply with the standard.

# 6.2 Security Module (SM)

# 6.2.1 Physical characteristics

The TS 101 206-7 [7] does not focus on physical characteristics. If, however, the SM is a card it gives reference to TS 101 206-3 [6], where a number of details, that should be similar to or slightly different from those defined in referenced standards, are pointed out.

Therefore the required tests, or references to them, for a SM as a chipcard are found in the test standard for TS 101 206-3 [6].

# 6.2.2 Electronic signals and transmission protocols

The TS 101 206-7 [7] does not focus on electrical signals and transmission protocols characteristics It does, however, give reference to TS 101 206-3 [6], where a number of details that should be similar to or slightly different from those defined in referenced standards are pointed out.

Therefore the required tests, or references to them, for a SM as a chipcard are found in the test standard for EN 726-3 (TS 101 203-1 [8]).

# 6.2.3 Logical Model (LM)

# 6.2.3.1 Permanent secrets

# LM\_PS\_VA\_01 subclause A.4.4 c1\_1, c3\_1, c12\_1, c16\_4

# Table 3: Coding of EF<sub>KEY\_MAN</sub>(SM) at MF-Level

File ID: "00 11" Manda			
AC:		·	
UPDATE	NEV		
LOAD KE	Y FILE Application provider		
INVALIDA	TE Application provider		
REHABILI	TATE Application provider		
Bytes	Description		Length
1	Keyfile version		1
2	Keylength of key 1 (X)		1
3	Algorithm ID for key 1		1
4(3 + X)	KEY 1		Х
(4 + X)	Keylength of key 2 (Y)		1
(5 + X)	Algorithm ID for key 2		1
(6 + X)	KEY 2		Y

# Purpose

Ensure that the coding of the EF<sub>KEY MAN</sub>(SM) is valid at MF-Level.

# Preconditions

- None.

# Test

- Perform a SELECT on  $EF_{KEY MAN}(SM)$  and analyse the response of the SELECT command.

# Result

# LM\_PS\_VA\_02 subclause A.4.5 c1\_1, c3\_1, c12\_1, c16\_5

# Table 4: Coding of EF<sub>KEY\_OP</sub>(SM) at MF-Level

File ID: "00 01" Optio				onal
AC:				
UPDATE		NEV		
LOAD KE	Y FILE	Application provider		
INVALIDA	TE	Application provider		
REHABIL	ITATE	Application provider		
Bytes		Description		Length
1	Keyfile versio	n		1
2	Keylength of	Keylength of key 1 (X)		1
3	Algorithm ID 1	for key 1		1
4(3 + X)	KEY 1			Х
(4 + X)	Keylength of	key 2 (Y)		1
(5 + X)	Algorithm ID 1	for key 2		1
(6 + X)	KEY 2			Y

# Purpose

Ensure that the coding of the  $EF_{KEY_{OP}}(SM)$  is valid at MF-Level.

# Preconditions

- None.

# Test

- Perform a SELECT on  $\text{EF}_{\text{KEY}\_\text{OP}}(\text{SM})$  and analyse the response of the SELECT command.

# Result

# LM\_PS\_VA\_03 subclause A.3 c1\_1, c3\_1, c12\_1, c16\_4

File ID: "00 11" Mandat				
AC:				
UPDATE	NEV			
LOAD KEY	FILE Application provider			
INVALIDA				
REHABILI	ATE Application provider			
Bytes	Desc	ription	Length	
1 I	Keyfile version		1	
2	Keylength of key 1 (X)		1	
3 /	Algorithm ID for key 1		1	
4(3 + X)	KEY 1		Х	
(4 + X)	Keylength of key 2 (Y)		1	
(5 + X)	Algorithm ID for key 2		1	
(6 + X)	KEY 2		Y	

# Table 5: Coding of EF<sub>KEY\_MAN</sub>(SM) at DF-Level

# Purpose

Ensure that the coding of the  $\text{EF}_{\text{KEY\_MAN}}(\text{SM})$  is valid at DF-Level.

#### Preconditions

- The DF containing the keyset is selected.

# Test

- Perform a SELECT on  $\text{EF}_{\text{KEY MAN}}(\text{SM})$  and analyse the response of the SELECT command.

# Result

# LM\_PS\_VA\_04 subclause A.3 c1\_1, c3\_1, c12\_1, c16\_5

File ID: "00 01"	Optional			
AC:				
UPDATE	NEV			
LOAD KEY F	ILE Application provider			
INVALIDATE	Application provider			
REHABILITA	TE Application provider			
Bytes	Description	Length		
1 Ke	yfile version	1		
2 Ke	Keylength of key 1 (X)			
3 Alg	orithm ID for key 1	1		
4(3 + X) KE	Y 1	X		
(4 + X) Ke	ylength of key 2 (Y)	1		
(5 + X) Alg	Algorithm ID for key 2			
(6 + X) KE	Y 2	Y		

# Table 6: Coding of $EF_{KEY_OP}(SM)$ at DF-Level

# Purpose

Ensure that the coding of the  $\text{EF}_{\text{KEY}\_\text{OP}}(\text{SM})$  is valid at DF-Level.

#### Preconditions

- The DF containing the keyset is selected.

# Test

- Perform a SELECT on  $\text{EF}_{\text{KEY OP}}(\text{SM})$  and analyse the response of the SELECT command.

# Result

# LM\_PS\_VA\_05 subclause A.4.7 c1\_1, c3\_1, c12\_1, c16\_7

# Table 7: Coding of EF<sub>KEY\_MAN</sub>(UC)

File ID: "20 X	File ID: "20 XX"			ional	
AC:					
UPDATE		NEV			
LOAD KE	Y FILE	Application provider			
INVALIDA	ΛΤΕ	Application provider			
REHABIL	ITATE	Application provider			
Bytes		Description		Length	
1	Keyfile ver	sion		1	
2	Keylength	of key 1 (X)		1	
3	Algorithm I	Algorithm ID for key 1			
4(3 + X)	KEY 1			Х	
(4 + X)	Keylength	of key 2 (Y)		1	
(5 + X)	Algorithm ID for key 2			1	
(6 + X)	KEY 2			Y	

# Purpose

Ensure that the coding of the  $EF_{KEY\_MAN}(UC)$  is valid.

#### Preconditions

- The DF containing the keyset is selected.

# Test

- Perform a SELECT on  $EF_{KEY MAN}(UC)$  and analyse the response of the SELECT command.

# Result

# LM\_PS\_VA\_06 subclause A.4.7 c1\_1, c3\_1, c12\_1, c16\_8

# Table 8: Coding of EF<sub>KEY\_OP</sub>(UC)

File ID: "21 YY"		Mand	atory	
AC:				
UPDATE		NEV		
LOAD KE	Y FILE	Application provider		
INVALIDA	TE	Application provider		
REHABIL	ITATE	Application provider		
Bytes		Description		Length
1	Keyfile ver	sion		1
2	Keylength	of key 1 (X)		1
3	Algorithm I	D for key 1		1
4(3 + X)	KEY 1			Х
(4 + X)	Keylength	of key 2 (Y)		1
(5 + X)	Algorithm ID for key 2			1
(6 + X)	KEY 2			Y

# Purpose

Ensure that the coding of the  $EF_{KEY_{OP}}(UC)$  is valid.

### Preconditions

- The DF containing the keyset is selected.

# Test

- Perform a SELECT on  $\mathrm{EF}_{\mathrm{KEY}\_\mathrm{OP}}(\mathrm{UC})$  and analyse the response of the SELECT command.

# Result

# 6.2.3.2 Temporary secrets

# LM\_TS\_VA\_01 subclause A.4.10 c1\_1, c3\_2, c12\_1, c16\_11

# Table 9: Coding of EF<sub>DIK1</sub> (UC)

File ID: "10 00" Optic				onal	
AC:			•		
UPDATE		NEV			
LOAD KE	Y FILE	Application provider			
INVALIDA	TE	Application provider			
REHABILI	ITATE	Application provider			
Bytes		Description		Length	
1	Keyset versi	ion		1	
2	Keylength o	Keylength of key 1 (X)			
3	Algorithm ID for key 1				
4(3 + X)	KEY 1			Х	
(4 + X)	Keylength o	f key 2 (Y)		1	
(5 + X)	Algorithm ID for key 2			1	
(6 + X)	KEY 2			Y	

#### Purpose

Ensure that the coding of the  $EF_{DIK1}$  (UC) is valid.

# Preconditions

- The DF containing the keyset is selected.
- $EF_{KEYMAN}$  is diversified using  $EF_{DIK1}$  as a destination.

# Test

- Perform a SELECT on  $EF_{DIK1}$  (UC) and analyse the response of the SELECT command.

#### Result

# LM\_TS\_VA\_02 subclause A.4.10 c1\_1, c3\_2, c12\_1, c16\_12

# Table 10: Coding of EF<sub>DIK2</sub>(UC)

File ID: "11 00"	Manda	Mandatory	
AC:			
UPDATE	NEV		
LOAD KEY FI	LE Application provider		
INVALIDATE	Application provider		
REHABILITAT	E Application provider		
Bytes	Description		Length
1 Key	set version		1
2 Key	length of key 1 (X)		1
3 Algo	prithm ID for key 1		1
4(3 + X) KEY	<u>′1</u>		Х
(4 + X) Key	length of key 2 (Y)		1
(5 + X) Algo	prithm ID for key 2		1
(6 + X) KEY	(2		Y

# Purpose

Ensure that the coding of the  $EF_{DIK2}(UC)$  is valid.

#### Preconditions

- The DF containing the keyset is selected.
- $EF_{KEYOP}$  is diversified using  $EF_{DIK2}$  as a destination.

# Test

- Perform a SELECT on  $EF_{DIK2}(UC)$  and analyse the response of the SELECT command.

#### Result

# 6.2.3.3 Balance

# LM\_BA\_VA\_01 subclause A.4.9 c1\_1, c3\_3, c12\_1, c16\_10

# Table 11: Coding of EF<sub>AMOUNT</sub>(SM)

File ID: "12 XX	("			Optional
AC:				
READ		PRO		
CREATE	. EXECUTE	PRO		
DECREASE	E	PRO		
INCREASE		PRO		
INVALIDAT	E	PRO		
REHABILIT	ATE	PRO		
Bytes			Description	Length
13 C	Counter value			3

#### Purpose

Ensure that the contents of the  $EF_{AMOUNT}(SM)$  is valid.

#### Preconditions

- None.

# Test

- Perform a SELECT on EF<sub>AMOUNT</sub>(SM) and analyse the response of the SELECT command. Perfom a READ on that file and check the contents.

#### Result

- SELECT response data should be in accordance with the expected values (size and access conditions), the contents shall have the expected values.

# 6.2.3.4 Operating system

### LM\_OS\_VA\_01 subclause A.4.1 c1\_1, c3\_4, c12\_1, c16\_1

# Table 12: Coding of MF

File ID: "3F 00"		Mandatory
AC:		
DELETE FILE	Application provider	
CREATE/EXTEND FILE	Application provider	
INVALIDATE	Application provider	
REHABILITATE	Application provider	

### Purpose

Ensure that the coding of the MF is valid.

# Preconditions

- None.

### Test

- Apply a SELECT command [6] to the MF.

# Result

- The successful execution of the SELECT command shall return valid coding for a MF.

# LM\_OS\_VA\_02 subclause A.4.2 c1\_1, c3\_4, c12\_1, c16\_2

File ID: "00	File ID: "00 02"			Mandatory	
AC:					
READ	ALW				
CREATE	EXECUTE NEV				
UPDATE	NEV				
WRITE	NEV				
INVALID	ATE NEV				
REHABI	ITATE NEV				
Bytes		Description		M/O	Length
1	Clockstop			М	1
25	IC card serial numb	er		М	4
69	IC card manufactur	ing references		М	4
10	Card personalizer I	D		М	1
1115	Embedder/IC asser	nbler ID		М	5
1617	IC identifier			0	2
18	Card profile			0	1
19	Type of selection			0	1

# Table 13: Coding of EF<sub>ICC</sub>

### Purpose

Check for existence, settings and contents of  $EF_{ICC}$ .

# Preconditions

- None.

# Test

- Apply a SELECT and a READ BINARY to  $\text{EF}_{\text{ICC}}$ .

# Result

- The response to SELECT and READ BINARY shall be in accordance with defined values in TS 101 206-3 [6].
- Ensure that the contents of the  $\text{EF}_{\text{ICC}}$  is valid.

# LM\_OS\_VA\_03 subclause A.4.3 c1\_1, c3\_4, c12\_1, c16\_3

File ID: "2F	File ID: "2F 00"			Optional	
AC:					
READ		issuer/application provider			
CREATE	EXECUTE	NEV			
UPDATE		issuer/application provider			
WRITE		issuer/application provider			
INVALID	ATE	issuer/application provider			
REHABIL	ITATE	issuer/application provider			
Bytes		Description		M/O	Length
1	Application id	entifier tag "4F"		М	1
2	Application id	entifier length		М	1
3	Application id	entifier		М	1-16
	Application la	bel tag "50"		М	1
	Application la	bel length		М	1
	Application la	bel (Verbal description)		М	0-16
	Path tag "51"			М	1
	Path length			М	1
	Path			М	Х

# Table 14: Coding of EF<sub>DIR</sub>

# Purpose

Check for existence, settings and possibly contents of  $EF_{DIR}$ .

#### Preconditions

- None.

# Test

- SELECT EF<sub>DIR</sub> and if possible READ the complete contents, and try to SELECT with AID each of the DFs of the applications in EF<sub>DIR</sub>.

#### Result

- SELECT response data should be in accordance with defined values in base standard. If the file was readable then all DFs of the applications in EF<sub>DIR</sub> shall be selectable.

# LM\_OS\_VA\_04 subclause A.4.6 c1\_1, c3\_4, c12\_1, c16\_6

Table 15: Coding of DFx

File ID: "XX XX"	Mandatory	
AC:		
DELETE FILE	Application provider	
CREATE/EXTEND F	ILE Application provider	
INVALIDATE	Application provider	
REHABILITATE	Application provider	

# Purpose

Ensure that the DFx exists.

#### Preconditions

- None.

### Test

- Apply a SELECT command [6] to the DF.

#### Result

- The successful execution of the SELECT command shall return valid coding for a DF.

# LM\_OS\_VA\_05 subclause A.4.8 c1\_1, c3\_4, c12\_1, c16\_9

# Table 16: Coding of EF<sub>KEYTABLE</sub>

File ID: "02	XX"	Mandatory
AC:		
READ	NEV	
CREATE	EXECUTE PRO	
UPDATE	NEV	
WRITE	NEV	
INVALID	ATE NEV	
REHABII	LITATE NEV	
Bytes	Description	Length
1	INS of the SM command	1
2	INS of the UC command which is included in the cryptogram	1
3	Key number linked to the SM command	1
45	File ID of the relevant file in the SM	2
6	INS of the SM command	1
7	INS of the UC command which is included in the cryptogram	1
8	Key number linked to the SM command	1
910	File ID of the relevant file in the SM	2

# Purpose

Ensure that the contents of the  $EF_{KEYTABLE}$  is valid.

### Preconditions

- None.

# Test

- Apply a SELECT to EF<sub>KEYTABLE</sub>.

# Result

- The result of SELECT shall return a correct size and the expected access conditions.

# 6.2.4 Functions

# 6.2.4.1 General tests

The following tests do not apply to any specific instruction, but are common to all.

# RC\_XX\_IV\_01 subclause 9.3.1.4 c1\_1, c15\_10

# Purpose

Ensure that the SM recognizes a not allowed instruction class. See IXIT for a not allowed instruction class.

# Preconditions

- None.

#### Test

- Send any supported command such as ASK PARAMETER, with the not allowed CLA-Byte.

# Result

- The expected status word is "6E XX".

# RC\_XX\_IV\_02 subclause 9.3.1.4 c1\_1, c15\_11

# Purpose

Ensure that the SM recognizes a not allowed instruction code. See IXIT for a not allowed instruction code.

### Preconditions

- None.

# Test

- Send any byte combination with a not allowed INS-Byte, but valid CLA-Byte.

# Result

- The expected status word is "6D XX".

# RC\_XX\_IV\_03 subclause 9.3.1.4 c1\_1, c15\_12

#### Purpose

Ensure that the SM returns "6F XX" on technical problems.

# Preconditions

- None.

# Test

- No test can be given for a generic implementation, so nothing is tested for technical problems.

# Result

- The expected status word is "6F XX".

# RC\_XX\_IV\_04 subclause 9.3.1.4 c1\_1, c15\_3

# Purpose

Ensure that the SM checks the sequence of the commands.

# Preconditions

- None.

# Test

- No test can be given for a generic implementation, so nothing is tested for a sequence control.

# Result

- The expected status word is "98 AD".
- 6.2.4.1A Without MAC
- 6.2.4.1.1 SELECT KEYSET

# FU\_SK\_VA\_01 subclause 8.1.1 c9\_1, c14\_1.8, c15\_15

# Table 17: Key qualifier (in case of a MF in the UC)

Bytes	Description	M/O	Length
14	IC card manufacturing references (coded according to	М	4
	EN726-3 [6])		
5	Card personalizer ID (coded according to TS 101 206-3 [6])	М	1
67	File ID of the EF <sub>KEY</sub>	М	2
8	Keyfile version (coded according to TS 101 206-3 [6])	М	1

# Purpose

Ensure that the SM with SELECT KEYSET is able to select a keyset from the MF in the SM.

# Preconditions

- A keyset for the MF of the UC exists in the SM.

# Test

- Send a SELECT KEYSET command with a parameter field containing the keyset description for the MF.

# Result

- The expected status word is "90 00".

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# FU\_SK\_VA\_02 subclause 8.1.1 c9\_1, c14\_1.8, c15\_15

Bytes	Description	M/O	Length
1X	AID (coded according to TS 101 206-3 [6])	М	1 - 16
(X + 1)	File ID of the EF <sub>KEY</sub>	М	2
(X + 2)			
X + 3	Keyfile version (coded according to TS 101 206-3 [6])	М	1

#### Table 18: Key qualifier (in case of a DF in the UC)

#### Purpose

Ensure that the SM with SELECT KEYSET is able to select a keyset from a DF in the SM.

#### Preconditions

- A keyset for the DF of the UC exists in the SM.

# Test

- Send a SELECT KEYSET command with a parameter field containing the keyset description for the DF.

### Result

- The expected status word is "90 00".

#### FU\_SK\_VA\_03 subclause 8.1.1 c9\_1.1, c14\_1.8, c15\_15

# Purpose

Ensure that the SM with SELECT KEYSET is able to select a specific keyset from a DF of the SM.

### Preconditions

- A second keyset for the DF of the UC exists in the SM.

#### Test

- Send a SELECT KEYSET command with a parameter field containing the keyset description for the second keyset in the DF.

#### Result

- The expected status word is "90 00".

CLA	Class byte
INS	"50"
P1	"00"
P2	"00"
L <sub>c</sub> field	Length of data field
Data field L <sub>e</sub> field	Key qualifier (see above) Empty

# Table 19: Coding of the SELECT KEYSET command

# CO\_SK\_IV\_01 subclause A.5.2.1, 8.1.1 c1\_1, c9\_1, c18\_1, c14\_1.2, c15\_8

### Purpose

Ensure that the SM checks the availability of a file, when using the SELECT KEYSET command and that it fails when a not existing application identifier is used.

# Preconditions

- A description for a not existing keyset exists (Wrong AID).

# Test

- Send a SELECT KEYSET command with a parameter field containing the not existing keyset.

#### Result

- The expected status word is "94 04".

# CO\_SK\_IV\_02 subclause A.5.2.1, 8.1.1 c1\_1, c9\_1, c18\_1, c14\_1.2, c15\_8

#### Purpose

Ensure that the SM checks the availability of a file, when using the SELECT KEYSET command and that it fails when a not existing file identifier is used.

### Preconditions

- A keyset exists.

# Test

- Send a SELECT KEYSET command with a parameter field containing a different file ID.

#### Result

- The expected status word is "94 04".

# CO\_SK\_IV\_03 subclause A.5.2.1, 8.1.1 c1\_1, c9\_1, c18\_1, c14\_1.2, c15\_8

#### Purpose

Ensure that the SM checks the availability of a file, when using the SELECT KEYSET command and that it fails when containing not existing manufacturing references are used.

# Preconditions

- A keyset exists on MF level.

# Test

- Send a SELECT KEYSET command with a parameter field containing not existing manufacturing references.

#### Result

- The expected status word is "94 04".

# CO\_SK\_IV\_04 subclause A.5.2.1, 8.1.1 c1\_1, c9\_1, c18\_1, c14\_1.2, c15\_8

#### Purpose

Ensure that the SM checks the availability of a file, when using the SELECT KEYSET command and that it fails when containing a not existing Card personalizer ID is used.

# Preconditions

- A keyset exists on MF level.

# Test

- Send a SELECT KEYSET command with a parameter field containing not existing Card personalizer ID.

#### Result

- The expected status word is "94 04".

# CO\_SK\_IV\_05 subclause A.5.2.1, 8.1.1 c1\_1, c9\_1, c18\_1, c14\_1.2, c15\_8

### Purpose

Ensure that the SM checks the availability of a file, when using the SELECT KEYSET command and that it fails when containing a not existing keyfile version number is used.

#### Preconditions

- A keyset exists.

# Test

- Send a SELECT KEYSET command with a parameter field containing not existing keyfile version number.

#### Result

- The expected status word is "94 04".

# Table 20: Return codes for SELECT KEYSET

Return Code		Error description
98 AD	-	Command out of sequence
94 04	-	File ID not found
6E XX	-	Wrong instruction class given in the command
6D XX	-	Unknown instruction code given in the command
6F XX	-	Technical problem with no diagnostic given (command aborted)
6B XX	-	P1 ≠ "00" or P2 ≠ "00"
67 XX	-	$L_c \neq$ "08" in case of a key qualifier for the MF
	-	$L_{c}$ < "04" or $L_{c}$ > "13" in case of a key qualifier for a DF
90 00	-	Normal ending (ACK) of the command

# RC\_SK\_IV\_01 subclause A.5.2.1, 8.1.1 c1\_1, c9\_1, c18\_1, c14\_1.6, c15\_13

#### Purpose

Ensure that the SM checks the value of P1 and P2 to be "00".

# Preconditions

- A keyset exists.

# Test

- Send a SELECT KEYSET command with a parameter  $P1 \neq 0$  or  $P2 \neq 0$ .

# Result

- The expected status word is "6B XX".

# RC\_SK\_IV\_02 subclause A.5.2.1, 8.1.1 c1\_1, c9\_1, c18\_1, c14\_1.7, c15\_14

# Purpose

Ensure that the SM checks the value of Lc to be "08" for a keyfile in the MF.

# Preconditions

- A keyset exists.

# Test

- Send a SELECT KEYSET command with a parameter  $Lc \neq "08"$ .

# Result

- The expected status word is "67 XX".

# RC\_SK\_IV\_03 subclause A.5.2.1, 8.1.1 c1\_1, c9\_1, c18\_1, c14\_1.7, c15\_14

### Purpose

Ensure that the SM checks the value of Lc to be between "04" and "13" for a keyfile in the DF.

# Preconditions

- A keyset exists.

# Test

- Send a SELECT KEYSET command with a parameter Lc < "04" or Lc > "13".

#### Result

- The expected status word is "67 XX".

# 6.2.4.1.2 DIVERSIFY KEYSET

# FU\_DK\_VA\_01 subclause 8.1.2 c9\_1, c9\_2,c14\_2.9,c15\_15

### Table 21: Diversification data

Bytes	Description	M/O	Length
1X	Diversification data (application dependent)	М	1 - 16

# Purpose

Ensure that the SM with DIVERSIFY KEYSET is able to derive temporary keys from all the master keys of the previously selected keyset.

### Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done successfully.

# Test

- Send a DIVERSIFY KEYSET command with a parameter field containing valid diversification data.

# Result

- The expected status word is "90 00".

# FU\_DK\_IV\_01 subclause 8.1.2 c9\_2.2, c14\_2.2, c15\_6

#### Purpose

Ensure that the SM requires a SELECT KEYSET before a DIVERSIFY KEYSET can be performed.

# Preconditions

- A SELECT KEYSET has not been done.

### Test

- Send a DIVERSIFY KEYSET command with a parameter field containing valid diversification data.

#### Result

- The expected status word is "94 00".

CLA	Class byte
INS	"52"
P1	"00" EF <sub>DIK</sub> number 1 temporary keys selected as active keyset for all
	following commands
	"01" EF <sub>DIK</sub> number 2 temporary keys to be downloaded
P2	"00"
L <sub>c</sub> field	Length of data field
Data field	Algorithm ID for diversification
	diversification data
L <sub>e</sub> field	Empty

# Table 22: Coding of the DIVERSIFY KEYSET command

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# CO\_DK\_VA\_01 subclause 8.1.2 c1\_1, c9\_1, c9\_2, c14\_2.9, c15\_15

### Purpose

Ensure that the SM with DIVERSIFY KEYSET is able to derive temporary keys from all the master keys of the previously selected keyset and uses  $EF_{DIK1}$  for storing the diversified keys.

# Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done successfully.

### Test

- Send a DIVERSIFY KEYSET command with a parameter field containing valid diversification data and P1 = "00".

#### Result

- The expected status word is "90 00".

# CO\_DK\_VA\_02 subclause 8.1.2 c1\_1, c9\_1, c9\_2, c14\_2.9, c15\_15

#### Purpose

Ensure that the SM with DIVERSIFY KEYSET is able to derive temporary keys from all the master keys of the previously selected keyset and uses EF<sub>DIK2</sub> for storing the diversified keys.

#### Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done successfully.

#### Test

- Send a DIVERSIFY KEYSET command with a parameter field containing valid diversification data and P1 = "01".

## Result

- The expected status word is "90 00".

# Table 23: Return codes for DIVERSIFY KEYSET

Return Code		Error description
98 AD	-	Command out of sequence
94 00	-	No EF selected
94 08	-	Current file-type is inconsistent with the command
6E XX	-	Wrong instruction class given in the command
6D XX	-	Unknown instruction code given in the command
6F XX	-	Technical problem with no diagnostic given (command aborted)
6B XX	-	P1 ≠ "00" and P1 ≠ "01" or P2 ≠ "00"
67 XX	-	No test is foreseen for this status word
90 00	-	Normal ending (ACK) of the command

RC\_DK\_IV\_01 subclause A.5.2.2, 8.1.2 c1\_1, c9\_1, c9\_2, c14\_2.3, c15\_9, c18\_1, c18\_2

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#### Purpose

Ensure that the SM checks the type of the selected EF.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done successfully.

# Test

- Send a DIVERSIFY KEYSET command with a parameter field containing valid diversification data.

#### Result

- The expected status word is "94 08".

# RC\_DK\_IV\_02 subclause A.5.2.2, 8.1.2 c1\_1, c9\_1, c9\_2, c18\_1, c18\_2, c14\_2.7, c15\_13

#### Purpose

Ensure that the SM checks the value of P1 to be "00" or "01" and P2 to be "00"

# Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done successfully.

# Test

 Send a DIVERSIFY KEYSET command with a parameter field containing valid diversification data, but P1 ≠ "00" and "01" or P2 ≠ "00".

#### Result

- The expected status word is "6B XX".

# 6.2.4.1.3 ASK PARAMETER

FU\_AP\_VA\_01 subclause 8.1.3 c9\_1, c9\_3, c11\_4, c14\_3.8, c15\_15

# Table 24: Returned value

Bytes	Description	M/O	Length
1 - X	Challenge	М	Х

#### Purpose

Ensure that the SM keeps the challenge until the next function requires it.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done successfully.

#### Test

- Send an ASK PARAMETER command, followed by a VERIFY CRYPTOGRAM or any other command requiring an ASK PARAMETER.

# Result

- The expected status word is "90 00" for all commands.

# FU\_AP\_VA\_02 subclause 8.1.3 c9\_1, c9\_3.1, c11\_1,c14\_3.8, c14\_8.9, c15\_15

# Purpose

Ensure that the SM keeps the challenge as long only VERIFY MAC is used.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done successfully.

# Test

- Send an ASK PARAMETER command, followed by two VERIFY MAC commands.

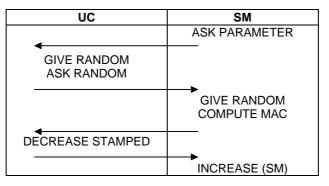
# Result

- The expected status word is "90 00" for all commands.

# FU\_AP\_VA\_03 subclause 8.1.3 c9\_1, c9\_3.1, c10\_2, c11\_3, c12\_1, c14\_3.8, c14\_6.8, c14\_10.12, c15\_15

For a SM supporting the INCREASE (SM) command it is necessary to keep the random number at least during a GIVE RANDOM and the COMPUTE MAC command.

The following scenario is tested for the SM:



# Purpose

Ensure that the SM keeps the challenge until a function uses it.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done successfully.

# Test

- Send an ASK PARAMETER command, asking for a random.
- Send a GIVE RANDOM, with a random number from a UC.
- Send a COMPUTE MAC with a data field for DECREASE STAMPED.
- Send a INCREASE (SM) command, with the data returned from the UC.

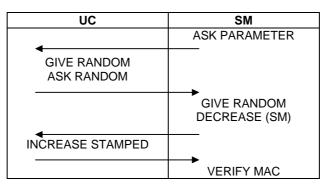
# Result

- The expected status word is "90 00" for all commands.

# FU\_AP\_VA\_04 subclause 8.1.3 c9\_1, c9\_3.1, c10\_4, c11\_1, c12\_1, c14\_3.8, c14\_8.9, c14\_11.11, c15\_15

For a SM supporting the DECREASE (SM) command it is necessary to keep the random number at least during a GIVE RANDOM and the DECREASE (SM) command.

The following scenario is tested for the SM:



#### Purpose

Ensure that the SM keeps the challenge until a function uses it.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done successfully.

### Test

- Send an ASK PARAMETER command, asking for a random.
- Send a GIVE RANDOM, with a random number from a UC.
- Send a DECREASE (SM) with a data field for INCREASE STAMPED.
- Send a VERIFY MAC command, with the data returned from the UC.

#### Result

- The expected status word is "90 00" for all commands.

# FU\_AP\_VA\_05 subclause 8.1.3 c9\_3, c14\_3.8, c15\_15

#### Purpose

Ensure that the SM sends different random values.

# Preconditions

An ASK PARAMETER to ask for a random has been done.

# Test

- Send an ASK PARAMETER to ask for a random.

#### Result

- The expected status word is "90 00". The returned random values shall be different.

# FU\_AP\_VA\_06 subclause 8.1.3 c9\_1, c9\_3.2.1, c14\_3.8, c15\_15

#### Purpose

Ensure that the SM uses separate counters for individual keysets.

# Preconditions

- An ASK PARAMETER to ask for a counter value has been done.
- A SELECT KEYSET has been done successfully.

#### Test

- Send a SELECT KEYSET for another keyset.
- Send an ASK PARAMETER to ask for a counter.

#### Result

- The expected status words are "90 00". The returned counter value shall not be the successor of the counter value returned before.

#### FU\_AP\_VA\_07 subclause 8.1.3 c9\_1, c9\_3.3, c14\_3.8, c15\_15

#### Purpose

Ensure that the SM uses the same counter for individual keysets.

#### Preconditions

- An ASK PARAMETER to ask for a counter value has been done.
- A SELECT KEYSET has been done successfully.

#### Test

- Send a SELECT KEYSET for another keyset.
- Send an ASK PARAMETER to ask for a counter.

#### Result

- The expected status words are "90 00". The returned counter value shall be the successor of the counter value returned before.

# FU\_AP\_IV\_01 subclause 8.1.3 c9\_1, c9\_3.1, c11\_4, c14\_8.3, c15\_2

## Purpose

Ensure that the SM keeps the challenge only until the next function requires it.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done successfully.
- An ASK PARAMETER has been done.
- A VERIFY CRYPTOGRAM command or any other command requiring an ASK PARAMETER except VERIFY MAC has been given.

#### Test

- Send a VERIFY CRYPTOGRAM command or any other command requiring an ASK PARAMETER except VERIFY MAC commands.

#### Result

- The expected status word is "98 35".

#### Table 25: Coding of the ASK PARAMETER command

CLA	Class byte
INS	"54"
P1	"00" random number
	"01" counter related to the selected keyset containing masterkeys
P2	"00"
L <sub>c</sub> field	Empty
Data field	Empty
L <sub>e</sub> field	Maximum length of data expected in response

CO\_AP\_IV\_01 subclause A.5.3.1, 8.1.3 c1\_1, c9\_1, c9\_3, c18\_1, c18\_3, c14\_3.2, c15\_7

#### Purpose

Ensure that the SM checks the range of the counter.

# Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done successfully.
- The counter value is "FF".

# Test

- Send an ASK PARAMETER (counter) with a response length of one byte.

# Result

- The expected status word is "94 02".

# Table 26: Return codes for ASK PARAMETER

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Return	Error description
Code	
98 AD	- Command out of sequence
92 0X	<ul> <li>Update successful but after using internal retry routine X times</li> </ul>
92 40	- Update impossible (memory problem)
94 02	- Out of range (invalid address)
6E XX	<ul> <li>Wrong instruction class given in the command</li> </ul>
6D XX	<ul> <li>Unknown instruction code given in the command</li> </ul>
6F XX	<ul> <li>Technical problem with no diagnostic given (command aborted)</li> </ul>
6B XX	<ul> <li>P1 ≠ "00" and P1 ≠ "01" or P2 ≠ "00"</li> </ul>
67 XX	- Le = 0
90 00	- Normal ending (ACK) of the command

# RC\_AP\_IV\_01 subclause A.5.3.1, 8.1.3 c1\_1, c9\_3, c18\_3, c14\_3.6, c15\_13

# Purpose

Ensure that the SM checks the value of P1  $\neq$  "00" and P1  $\neq$  "01" or P2  $\neq$  "00".

# Preconditions

- None.

# Test

- Send an ASK PARAMETER with  $P1 \neq "00"$  and  $P1 \neq "01"$  or  $P2 \neq "00"$ .

#### Result

- The expected status word is "6B XX".

# 6.2.4.2 To compute a MAC

# 6.2.4.2.1 COMPUTE LOAD KEY

# Table 27: Structure of COMPUTE LOAD KEY

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Bytes	Description	M/O	Length
-X0	Command Header:		X + 1
1	INS byte of the following LOAD KEY FILE command sent to the UC (coded according to TS 101 206-3 [6])	М	1
2	P1 of the following LOAD KEY FILE command sent to the UC (coded according to TS 101 206-3 [6])	Μ	1
3	P2 of the following LOAD KEY FILE command sent to the UC (coded according to TS 101 206-3 [6])	М	1
4	Lc of the following LOAD KEY FILE command sent to the UC (coded according to TS 101 206-3 [6])	М	1
5	Key file version (only present if key number 1)	М	1
6	Key length	М	1
7	Algorithm identifier	М	1
8Y	Command Trailer		Y-7

# Table 28: Answer to COMPUTE LOAD KEY

Bytes	Description	M/O	Length
1 16	Enciphered key	М	16
17 24	MAC	М	8

#### FU\_CL\_VA\_01 subclause 8.2.1 c9\_1, c10\_1.1, c10\_1.2, c12\_1, c14\_12.8, c15\_15

#### Purpose

Ensure that the SM is able to calculate the proper answer to load a key into a UC.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done successfully.
- A GIVE RANDOM has been sent.

# Test

- Send a COMPUTE LOAD KEY command.

#### Result

- The expected status word is "90 00". The returned value is checked against the expected values.

#### FU\_CL\_VA\_02 subclause 8.2.1 c2\_2, c9\_1, c10\_1.1, c10\_1.2, c12\_1, c14\_12.9, c15\_16

#### Purpose

Ensure that the SM is able to calculate the proper answer to load a key into a UC.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done successfully.
- A GIVE RANDOM has been sent.

#### Test

- Send a COMPUTE LOAD KEY command.

#### Result

- The expected status word is "9F XX". The returned value is checked against the expected values.

FU\_CL\_IV\_01 subclause 8.2.1 c9\_1, c12\_1, c10\_1.3, c14\_12.2, c15\_2

# Purpose

Ensure that the SM requires a Random number to compute the load key.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done successfully.
- A GIVE RANDOM has not been sent.

# Test

- Send a COMPUTE LOAD KEY command.

# Result

- The expected status word is "98 35".

FU\_CL\_IV\_02 subclause 8.2.1 c10\_1.4, c12\_1, c15\_6

# Purpose

Ensure that the SM checks that a keyset has been selected.

# Preconditions

- A SELECT KEYSET has not been done.
- A GIVE RANDOM has been sent.

#### Test

- Send a COMPUTE LOAD KEY command.

#### Result

- The expected status word is "94 00".

# FU\_CL\_IV\_03 subclause 8.2.1 c9\_1, c10\_1.4.1, c12\_1, c15\_9

#### Purpose

Ensure that the SM checks that the keyset contains diversified keys.

# Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done.
- A GIVE RANDOM has been sent.

#### Test

- Send a COMPUTE LOAD KEY command.

#### Result

- The expected status word is "94 08".

# Table 29: Coding of the COMPUTE LOAD KEY command

CLA	Class byte
INS	"70"
P1	"00" EF <sub>DIK</sub> number 1 is the source for the keys to download
	"01" EF <sub>DIK</sub> number 2 is the source for the keys to download
P2	Key number of the load key in the active keyset
L <sub>c</sub> field	"07" key number 1 is computed
	"06" for all other keys
Data field	"D8" (coded according to TS 101 206-3 [6]) EF <sub>KEY</sub> type (coded according to TS 101 206-3 [6])
	Key to download (coded according to TS 101 206-3 [6]) L <sub>C</sub> of the command sent to the UC (coded according to TS 101 206-3 [6])
	Key file version (only present if key number 1) Key length Algorithm identifier
L <sub>e</sub> field	Maximum length of data expected in response

# CO\_CL\_IV\_01 subclause A.5.4.1, 8.2.1 c1\_1, c9\_1, c10\_1, c12\_1, c14\_12.7, c15\_14, c18\_1, c18\_4

#### Purpose

Ensure that the SM checks the value of  $L_c$  to be "07", if key number 1 is to be computed.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

#### Test

- Send a COMPUTE LOAD KEY command with a data field and L<sub>c</sub> longer than 7 bytes, but the first 7 bytes contain a valid coding.

# Result

- The expected status word is "67 XX".

#### CO\_CL\_IV\_02 subclause A.5.4.1, 8.2.1 c1\_1, c9\_1, c10\_1, c12\_1, c14\_12.7, c15\_14, c18\_1, c18\_4

#### Purpose

Ensure that the SM checks the value of  $L_c$  to be "06", if any other key than key 1 is to be computed.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

#### Test

- Send a COMPUTE LOAD KEY command with a data field and L<sub>c</sub> longer than 6 byte, but the first 6 bytes contain a valid coding.

#### Result

- The expected status word is "67 XX".

# CO\_CL\_IV\_03 subclause A.5.4.1, 8.2.1 c1\_1, c9\_1, c10\_1, c12\_1, c15\_8, c18\_1, c18\_4

#### Purpose

Ensure that the SM checks the coding of the data field (key number).

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

#### Test

- Send a COMPUTE LOAD KEY command with a data field containing a not existing key number.

#### Result

- The expected status word is "94 04".

#### CO\_CL\_IV\_04 subclause A.5.4.1, 8.2.1 c1\_1, c9\_1, c10\_1, c12\_1, c15\_8, c18\_1, c18\_4

#### Purpose

Ensure that the SM checks the coding of the data field (key version).

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

# Test

- Send a COMPUTE LOAD KEY command with a data field containing a not existing key version.

#### Result

- The expected status word is "94 04".

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CO\_CL\_IV\_05 subclause A.5.4.1, 8.2.1 c1\_1, c9\_1, c10\_1, c12\_1, c15\_8, c18\_1, c18\_4

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#### Purpose

Ensure that the SM checks the coding of the data field (algo ID).

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

#### Test

- Send a COMPUTE LOAD KEY command with a data field containing a not existing algo ID.

# Result

- The expected status word is "94 04".

# CO\_CL\_IV\_06 subclause A.5.4.1, 8.2.1 c1\_1, c9\_1, c10\_1, c15\_8, c12\_1, c18\_1, c18\_4

#### Purpose

Ensure that the SM checks the coding of the data field (key length).

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

#### Test

- Send a COMPUTE LOAD KEY command with a data field containing an existing key with an invalid length.

#### Result

- The expected status word is "94 04".

Return Code		Error description
98 35	-	No ASK PARAMETER/GIVE RANDOM before
98 AD	-	Command out of sequence
94 00	-	No EF selected
94 08	-	Current file-type is inconsistent with the command
6E XX	-	Wrong instruction class given in the command
6D XX	-	Unknown instruction code given in the command
6F XX	-	Technical problem with no diagnostic given (command aborted)
6B XX	-	P1 ≠ "00" and P1 ≠ "01"
67 XX	-	$L_c \neq "06"$ and $L_c \neq "07"$
90 00	-	Normal ending (ACK) of the command
9F XX	-	Length "XX" of the response data

# Table 30: Return codes for COMPUTE LOAD KEY

# RC\_CL\_IV\_01 subclause A.5.4.1, 8.2.1 c1\_1, c9\_1, c10\_1, c12\_1, c18\_1, c18\_4, c14\_12.6, c15\_13

#### Purpose

Ensure that the SM checks the value of P1 to be "00" or "01".

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

#### Test

- Send a COMPUTE LOAD KEY command with a parameter  $P1 \neq "00"$  and  $P1 \neq "01"$ .

#### Result

- The expected status word is "6B XX".

# 6.2.4.2.2 COMPUTE MAC

#### Table 31: Structure of COMPUTE MAC

Bytes	Description	M/O	Length
-X0	Command Header:		X + 1
1	INS byte of the following command sent to the UC (coded according to TS 101 206-3 [6])	М	1
2	P1 of the following command sent to the UC (coded according to TS 101 206-3 [6])	М	1
3	P2 of the following command sent to the UC (coded according to TS 101 206-3 [6])	М	1
4	Lc of the following command sent to the UC (coded according to TS 101 206-3 [6])	М	1
5 (4 + Z)	The data field of the following command sent to the UC (coded according to TS 101 206-3 [6])	М	Z
(5 + Z)	Command Trailer		

# Table 32: Answer to COMPUTE MAC

Bytes	Description	M/O	Length
1 X	MAC	М	Х

# FU\_CM\_VA\_01 subclause 8.2.2 c9\_1, c12\_1, c10\_2.1, c14\_12.8, c15\_15

#### Purpose

Ensure that the SM is able to calculate a proper MAC for the UC.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

# Test

- Send a COMPUTE MAC command with an allowed UC command.

# Result

- The expected status word is "90 00". The returned value is checked against the expected values.

#### FU\_CM\_VA\_02 subclause 8.2.2 c2\_2, c9\_1, c12\_1, c10\_2.1, c14\_6.9, c15\_16

# Purpose

Ensure that the SM is able to calculate a proper MAC for the UC.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

#### Test

- Send a COMPUTE MAC command with an allowed UC command.

#### Result

- The expected status word is "9F XX". The returned value is checked against the expected values.

#### FU\_CM\_IV\_01 subclause 8.2.2 c9\_1, c10\_2.2, c14\_6.2, c15\_2

#### Purpose

Ensure that the SM requires a Random number to compute the MAC.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has not been sent.

# Test

- Send a COMPUTE MAC command with an allowed UC command.

#### Result

- The expected status word is "98 35".

# FU\_CM\_IV\_02 subclause 8.2.2 c12\_1, c10\_2.3, c15\_6

#### Purpose

Ensure that the SM checks that a keyset has been selected.

#### Preconditions

- A SELECT KEYSET has not been done.
- A GIVE RANDOM has been sent.

#### Test

- Send a COMPUTE MAC command with an allowed UC command.

#### Result

- The expected status word is "94 00".

# FU\_CM\_IV\_03 subclause 8.2.2 c9\_1, c12\_1, c10\_2.3.1, c15\_9

#### Purpose

Ensure that the SM checks that the keyset contains diversified keys.

# Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done.
- A GIVE RANDOM has been sent.

#### Test

- Send a COMPUTE MAC command with an allowed UC command.

#### Result

- The expected status word is "94 08".

# FU\_CM\_IV\_04 subclause 8.2.2 c9\_1, c12\_1, c10\_2, c15\_9

#### Purpose

Ensure that the SM checks that the given function is allowed.

## Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

#### Test

- Send a COMPUTE MAC command with a not allowed UC command.

#### Result

- The expected status word is "94 08".

#### Table 33: Coding of the COMPUTE MAC command

CLA	Class byte
INS	"8A"
P1	"00"
P2	Key number
L <sub>c</sub> field	Length of the data field ( $\geq$ "04")
Data field	INS of following UC command (coded according to TS 101 206-3 [6]) P1 of following UC command (coded according to TS 101 206-3 [6]) P2 of following UC command (coded according to TS 101 206-3 [6]) L <sub>c</sub> of following UC command (coded according to TS 101 206-3 [6]) data field of following UC command (coded according to TS 101 206-3 [6])
L <sub>e</sub> field	Maximum length of data expected in response

Return Code	Error description
98 35	<ul> <li>No ASK PARAMETER/GIVE RANDOM before</li> </ul>
98 AD	- Command out of sequence
6E XX	- Wrong instruction class given in the command
6D XX	<ul> <li>Unknown instruction code given in the command</li> </ul>
6F XX	<ul> <li>Technical problem with no diagnostic given (command aborted)</li> </ul>
6B XX	- P1 ≠ "00"
67 XX	- L <sub>c</sub> < "04"
90 00	- Normal ending (ACK) of the command
9F XX	- Length "XX" of the response data

# Table 34: Return codes for COMPUTE MAC

# RC\_CM\_IV\_01 subclause A.5.4.2, 8.2.2 c1\_1, c9\_1, c12\_1, c10\_2, c18\_1, c18\_5, c14\_6.6, c15\_13

#### Purpose

Ensure that the SM checks the value of P1 to be "00".

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

#### Test

- Send a COMPUTE MAC command with a parameter  $P1 \neq "00"$ .

#### Result

- The expected status word is "6B XX".

#### RC\_CM\_IV\_02 subclause A.5.4.2, 8.2.2 c1\_1, c9\_1, c12\_1, c10\_2, c14\_6.7, c15\_14, c18\_1, c18\_5

#### Purpose

Ensure that the SM checks the value of  $L_c \ge "04"$ .

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

#### Test

- Send a COMPUTE MAC command with a  $L_c$  of less than 4 and a matching data field.

# Result

- The expected status word is "67 XX".

# 6.2.4.2.3 COMPUTE CRYPTOGRAM

The command COMPUTE CRYPTOGRAM corresponds to the UC command EXTERNAL AUTHENTICATION.

Bytes	Description	M/O	Length
1 X	Cryptogram	М	Х

#### FU\_CC\_VA\_01 subclause 8.2.3 c9\_1, c12\_1, c10\_3.1, c14\_4.8, c15\_15

#### Purpose

Ensure that the SM is able to calculate a proper cryptogram for the UC.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

#### Test

- Send a COMPUTE CRYPTOGRAM command.

#### Result

- The expected status word is "90 00". The returned value is checked against the expected values.

#### FU\_CC\_VA\_02 subclause 8.2.3 c2\_2, c9\_1, c12\_1, c10\_3.1, c14\_12.9, c15\_16, c14\_4.9, c15\_16

# Purpose

Ensure that the SM is able to calculate a proper cryptogram for the UC.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

#### Test

- Send a COMPUTE CRYPTOGRAM command.

#### Result

- The expected status word is "9F XX". The returned value is checked against the expected values.

# FU\_CC\_IV\_01 subclause 8.2.3 c9\_1, c10\_3.2, c14\_4.2, c15\_2

## Purpose

Ensure that the SM requires a Random number to compute the cryptogram.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has not been sent.

# Test

- Send a COMPUTE CRYPTOGRAM command.

# Result

- The expected status word is "98 35".

# FU\_CC\_IV\_02 subclause 8.2.3 c12\_1, c10\_3.3, c15\_6

#### Purpose

Ensure that the SM checks that a keyset has been selected.

# Preconditions

- A SELECT KEYSET has not been done.
- A GIVE RANDOM has been sent.

# Test

- Send a COMPUTE CRYPTOGRAM command.

#### Result

- The expected status word is "94 00".

#### FU\_CC\_IV\_03 subclause 8.2.3 c9\_1, c12\_1, c10\_3.3.1, c15\_9

#### Purpose

Ensure that the SM checks that the keyset contains diversified keys.

# Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done.
- A GIVE RANDOM has been sent.

#### Test

- Send a COMPUTE CRYPTOGRAM command.

#### Result

- The expected status word is "94 08".

#### Table 36: Coding of the COMPUTE CRYPTOGRAM command

CLA	Class byte
INS	"56"
P1	"00"
P2	Key number
L <sub>c</sub> field	Empty
Data field	Empty
L <sub>e</sub> field	Maximum length of data expected in response

# Table 37: Return codes for COMPUTE CRYPTOGRAM

Return Code	Error description
98 35	<ul> <li>No ASK PARAMETER/GIVE RANDOM before</li> </ul>
98 AD	- Command out of sequence
6E XX	<ul> <li>Wrong instruction class given in the command</li> </ul>
6D XX	<ul> <li>Unknown instruction code given in the command</li> </ul>
6F XX	<ul> <li>Technical problem with no diagnostic given (command aborted)</li> </ul>
6B XX	- P1 ≠ "00"
67 XX	- No test is foreseen for this status word
90 00	- Normal ending (ACK) of the command
9F XX	<ul> <li>Length "XX" of the response data</li> </ul>

#### RC\_CC\_IV\_01 subclause A.5.4.2, 8.2.2 c1\_1, c9\_1, c12\_1, c10\_3, c18\_1, c18\_6, c14\_4.6, c15\_13

#### Purpose

Ensure that the SM checks the value of P1 to be "00".

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.

## Test

- Send a COMPUTE CRYPTOGRAM command with a parameter P1  $\neq$  "00".

# Result

- The expected status word is "6B XX".

# 6.2.4.2.4 DECREASE (SM)

Bytes	Description	M/O	Length
-X0	Command Header		X + 1
1	INS byte of the following INCREASE or INCREASE STAMPED command sent to the UC (coded according to TS 101 206-3 [6])	М	1
2	P1 of the following INCREASE or INCREASE STAMPED command sent to the UC (coded according to TS 101 206-3 [6])	М	1
3	P2 of the following INCREASE or INCREASE STAMPED command sent to the UC (coded according to TS 101 206-3 [6])	М	1
4	Lc of the following INCREASE or INCREASE STAMPED command sent to the UC (coded according to TS 101 206-3 [6])	М	1
5 (4 + Z)	The data field of the following INCREASE or INCREASE STAMPED command sent to the UC (coded according to TS 101 206-3 [6])	М	Z
(5 + Z)	Command Trailer		

#### Table 38: Structure of DECREASE (SM)

#### Table 39: Answer to DECREASE (SM)

Bytes	Description	M/O	Length
1 X	MAC	М	Х

#### FU\_DS\_VA\_01 subclause 8.3.4 c9\_1, c12\_1, c10\_4.5, c14\_11.11, c15\_15

#### Purpose

Ensure that the SM is able to calculate a MAC for the UC and to decrease the amount stored in the counter of the SM.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has been performed.

#### Test

- Send a DECREASE (SM) command with valid data.
- Send a READ RECORD command to read the contents.

#### Result

- The expected status word for DECREASE (SM) is "90 00". The MAC shall be correct. The returned value of the READ RECORD command shall be the expected one.

# FU\_DS\_VA\_02 subclause 8.3.4 c2\_2, c9\_1, c12\_1, c10\_4.5, c14\_11.12, c15\_16

#### Purpose

Ensure that the SM is able to calculate a MAC for the UC and to decrease the amount stored in the counter of the SM.

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#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has been performed.
- The contents should be known.

#### Test

- Send a DECREASE (SM) command with valid data.
- Send a READ RECORD command to read the contents.

#### Result

- The expected status word for DECREASE (SM) is "9F XX". The MAC shall be correct. The returned value of the READ RECORD command shall be the expected one.

# FU\_DS\_IV\_01 subclause 8.3.4 c9\_1, c12\_1, c10\_4.1, c14\_11.5, c15\_9

#### Purpose

Ensure that the SM checks the indicated key.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has been performed.

#### Test

- Send a DECREASE (SM) command with valid data, but invalid key.

#### Result

- The expected status word is "94 08".

#### FU\_DS\_IV\_02 subclause 8.3.4 c9\_1, c12\_1, c10\_4, c14\_11.5, c15\_9

#### Purpose

Ensure that the SM checks that an INCREASE or INCREASE STAMPED will be used.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has been performed.

#### Test

- Send a DECREASE (SM) command with valid data, but invalid INS for the UC command.

#### Result

- The expected status word is "94 08".

# FU\_DS\_IV\_03 subclause 8.3.4 c9\_1, c12\_1, c10\_4.2, c14\_11.2, c15\_2

#### Purpose

Ensure that the SM requires a Random number to compute the MAC.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has not been sent.
- A SELECT on the counter to be decreased has been performed.

# Test

- Send a DECREASE (SM) command with valid data.

# Result

- The expected status word is "98 35".

# FU\_DS\_IV\_04 subclause 8.3.4 c9\_1, c12\_1, c10\_4.3, c14\_11.4, c15\_6

# Purpose

Ensure that the SM checks that a keyset has been selected.

# Preconditions

- A SELECT KEYSET has not been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has been performed.

#### Test

- Send a DECREASE (SM) command with valid data.

# Result

- The expected status word is "94 00".

# FU\_DS\_IV\_05 subclause 8.3.4 c9\_1, c12\_1, c10\_4.3.1, c14\_11.5, c15\_9

#### Purpose

Ensure that the SM checks that the keyset contains diversified keys.

# Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has been performed.

# Test

- Send a DECREASE (SM) command with valid data.

#### Result

- The expected status word is "94 08".

FU\_DS\_IV\_06 subclause 8.3.4 c9\_1, c12\_1, c10\_4.4, c14\_11.4, c15\_6

# Purpose

Ensure that the SM checks that a counter to be decreased is selected.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has not been performed.

#### Test

- Send a DECREASE (SM) command with valid data.

#### Result

- The expected status word is "94 00".

# Table 40: Coding of the DECREASE (SM) command

CLA	Class byte	
INS	"5E"	
P1	"00"	
P2	Key number	
L <sub>c</sub> field	Length of the data field ( $\geq$ "04")	
Data field	<ul> <li>INS of following INCREASE or INCREASE STAMPED command sent to the UC (coded according to TS 101 206-3 [6])</li> <li>P1 of following INCREASE or INCREASE STAMPED command sent to the UC (coded according to TS 101 206-3 [6])</li> <li>P2 of following INCREASE or INCREASE STAMPED command sent to the UC (coded according to TS 101 206-3 [6])</li> <li>P2 of following INCREASE or INCREASE STAMPED command sent to the UC (coded according to TS 101 206-3 [6])</li> <li>P4 of following INCREASE or INCREASE STAMPED command</li> </ul>	
	sent to the UC (coded according to TS 101 206-3 [6]) data field of following INCREASE or INCREASE STAMPED command sent to the UC (coded according to TS 101 206-3 [6])	
L <sub>e</sub> field	Maximum length of data expected in response	

# Table 41: Return codes for DECREASE (SM)

Return		Error description
Code		
98 35	-	No ASK PARAMETER/GIVE RANDOM before
98 AD	-	Command out of sequence
92 0X	-	Update successful but after using internal retry routine X times
92 40	-	Update impossible (memory problem)
94 00	-	No EF selected
94 08	-	Current file-type is inconsistent with the command
6E XX	-	Wrong instruction class given in the command
6D XX	-	Unknown instruction code given in the command
6F XX	-	Technical problem with no diagnostic given (command aborted)
6B XX	-	P1 ≠ "00"
67 XX	-	L <sub>c</sub> < "04"
90 00	-	Normal ending (ACK) of the command
9F XX	-	Length "XX" of the response data

# RC\_DS\_IV\_01 subclause A.5.6.2, 8.3.4 c1\_1, c9\_1, c12\_1, c10\_4, c18\_1, c18\_11, c14\_11.9, c15\_13

56

#### Purpose

Ensure that the SM checks the value of P1 to be "00".

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has been performed.

#### Test

- Send a DECREASE (SM) command with a parameter  $P1 \neq "00"$ .

#### Result

- The expected status word is "6B XX".

#### RC\_DS\_IV\_02 subclause A.5.6.2, 8.3.4 c1\_1, c9\_1, c12\_1, c10\_4, c14\_11.10, c15\_14, c18\_1, c18\_11

#### Purpose

Ensure that the SM checks the value of  $L_c \ge "04"$ .

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has been performed.

#### Test

- Send a DECREASE (SM) command with a  $L_c$  of less than 4 and a matching data field.

#### Result

- The expected status word is "67 XX".

#### RC\_DS\_IV\_03 subclause A.5.6.2, 8.3.4 c9\_1, c12\_1, c10\_4, c15\_4

#### Purpose

Ensure that the SM is able to report the memory problems, when writing.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has been performed.
- The memory can be written, after using the internal retry routine X times.

#### Test

- Send a DECREASE (SM) command with valid data.

#### Result

- The expected status word for DECREASE (SM) is "92 0X". The MAC shall be correct.

# RC\_DS\_IV\_04 subclause A.5.6.2, 8.3.4 c9\_1, c12\_1, c10\_4, c15\_5

# Purpose

Ensure that the SM is able to report the memory problems, when writing.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- A GIVE RANDOM has been sent.
- A SELECT on the counter to be decreased has been performed.
- The memory can not be written.

#### Test

- Send a DECREASE (SM) command with valid data.

#### Result

- The expected status word for DECREASE (SM) is "92 40".

# 6.2.4.2.5 COMPUTE MAC EW

# Table 42: Structure of COMPUTE MAC EW

57

Bytes	Description	M/O	Length
-X0	Command Header		X + 1
1 Z	Data	М	Z
(Z + 1)	Command Trailer		

#### Table 43: Answer to COMPUTE MAC EW

[	Bytes	Description	M/O	Length
ĺ	1 X	MAC	М	Х

# FU\_CME\_VA\_01 subclause 8.2.3 c9\_1, c12\_1, c10\_5.1, c14\_7.8, c15\_15

#### Purpose

Ensure that the SM is able to calculate a proper MAC for the data.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- The selected key has the flag "AUTHENTICATION ALLOWED" set.
- An ASK PARAMETER command has been used.

#### Test

- Send a COMPUTE MAC EW command with any data without chaining.

#### Result

- The expected status word is "90 00". The returned value is checked against the expected values.

# FU\_CME\_VA\_02 subclause 8.2.3 c2\_2, c9\_1, c12\_1, c10\_5.1, c14\_7.9, c15\_16

#### Purpose

Ensure that the SM is able to calculate a proper MAC for the data.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- The selected key has the flag "AUTHENTICATION ALLOWED" set.
- An ASK PARAMETER command has been used.

# Test

- Send a COMPUTE MAC EW command with any data without chaining.

# Result

- The expected status word is "9F XX". The returned value is checked against the expected values.

# FU\_CME\_VA\_03 subclause 8.2.3 c9\_1, c12\_1, c10\_5.1, c10\_5.2, c14\_7.8, c14\_7.9, c15\_15, c15\_16

# Purpose

Ensure that the SM is able to calculate a proper MAC for the data using chaining.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- The selected key has the flag "AUTHENTICATION ALLOWED" set.
- An ASK PARAMETER command has been used.

#### Test

- Send a COMPUTE MAC EW command with the first part of the data with chaining.
- Send a COMPUTE MAC EW command with the second part of the data without chaining.

#### Result

- The expected status word is "90 00" for both commands, only the second response includes the MAC. The returned value is checked against the expected values.

# FU\_CME\_VA\_04 subclause 8.2.3 c2\_2, c9\_1, c12\_1, c10\_5.1, c10\_5.2, c14\_7.8, c14\_7.9, c15\_15, c15\_16

59

#### Purpose

Ensure that the SM is able to calculate a proper MAC for the data using chaining.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- The selected key has the flag "AUTHENTICATION ALLOWED" set.
- An ASK PARAMETER command has been used.

#### Test

- Send a COMPUTE MAC EW command with the first part of the data with chaining.
- Send a COMPUTE MAC EW command with the second part of the data without chaining.

#### Result

- The expected status word is "90 00" for the first command and "9F XX" for the second command. The returned value is checked against the expected values.

# FU\_CME\_IV\_01 subclause 8.2.3 c9\_1, c10\_5.2, c14\_7.2, c15\_2

#### Purpose

Ensure that the SM requires a Random number to compute the MAC.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- The selected key has the flag "AUTHENTICATION ALLOWED" set.
- An ASK PARAMETER command has not been used.

#### Test

- Send a COMPUTE MAC EW command with any data without chaining.

#### Result

- The expected status word is "98 35".

#### FU\_CME\_IV\_02 subclause 8.2.3 c12\_1, c10\_5.3, c15\_6

#### Purpose

Ensure that the SM checks that a keyset has been selected.

## Preconditions

- A SELECT KEYSET has not been done.
- The selected key has the flag "AUTHENTICATION ALLOWED" set.
- An ASK PARAMETER command has been used.

#### Test

- Send a COMPUTE MAC EW command with any data without chaining.

#### Result

- The expected status word is "94 00".

FU\_CME\_IV\_03 subclause 8.2.3 c9\_1, c12\_1, c10\_5.3, c15\_9

# Purpose

Ensure that the SM checks that the keyset contains diversified keys.

# Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done.
- The selected key has the flag "AUTHENTICATION ALLOWED" set.
- An ASK PARAMETER command has been used.

# Test

- Send a COMPUTE MAC command with any data without chaining.

# Result

- The expected status word is "94 08".

# FU\_CME\_IV\_04 subclause 8.2.3 c9\_1, c12\_1, c10\_2, c15\_9

# Purpose

Ensure that the SM checks that the selected key is allowed for authentication.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- The selected key has the flag "AUTHENTICATION ALLOWED" cleared.
- An ASK PARAMETER command has been used.

#### Test

- Send a COMPUTE MAC EW command with any data without chaining.

#### Result

- The expected status word is "94 08" (key-type is inconsistent with the command).

CLA	Class byte
INS	"XX"
P1	"00" No chaining or last block
	"01" Chaining
P2	Key number
L <sub>c</sub> field	Length of the data field
Data field	Any data
L <sub>e</sub> field	Maximum length of data expected in response

# Table 44: Coding of the COMPUTE MAC EW command

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Return Code	Error description
98 35	<ul> <li>No ASK PARAMETER/GIVE RANDOM before</li> </ul>
98 AD	<ul> <li>Command out of sequence</li> </ul>
6E XX	<ul> <li>Wrong instruction class given in the command</li> </ul>
6D XX	<ul> <li>Unknown instruction code given in the command</li> </ul>
6F XX	<ul> <li>Technical problem with no diagnostic given (command aborted)</li> </ul>
6B XX	- P1 ≠ "00" and P1≠ "01"
67 XX	<ul> <li>No test is foreseen for this status word</li> </ul>
90 00	<ul> <li>Normal ending (ACK) of the command</li> </ul>
9F XX	- Length "XX" of the response data

# Table 45: Return codes for COMPUTE MAC EW

# RC\_CME\_IV\_01 subclause A.5.4.3, 8.2.3 c1\_1, c9\_1, c12\_1, c10\_5, c18\_1, c18\_12, c14\_7.6, c15\_13

# Purpose

Ensure that the SM checks the value of P1 to be "00" or "01".

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- The selected key has the flag "AUTHENTICATION ALLOWED" set.

#### Test

- Send a COMPUTE MAC EW command with a parameter  $P1 \neq "00"$  and  $P1 \neq "01"$ .

#### Result

- The expected status word is "6B XX".

# 6.2.4.3 To verify a MAC

# 6.2.4.3.1 VERIFY MAC

# Table 46: Structure of VERIFY MAC

Bytes	Description	M/O	Length
-X0	Command Header		X + 1
1	INS byte of the previous command sent to the UC (coded according to TS 101 206-3 [6])	М	1
2	P1 of the previous command sent to the UC (coded according to TS 101 206-3 [6])	М	1
3	P2 of the previous command sent to the UC (coded according to TS 101 206-3 [6])	Μ	1
4	Le of the previous command sent to the UC (coded according to TS 101 206-3 [6])	М	1
5 (4 + Z)	The data field of the previous response sent by the UC (coded according to TS 101 206-3 [6])	М	Z
(5 + Z)	Command Trailer		

#### Purpose

Ensure that the SM is able to verify a MAC from the UC.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.

# Test

- Send a VERIFY MAC command with valid data.

# Result

- The expected status word is "90 00".

# FU\_VM\_IV\_01 subclause 8.3.1 c9\_1, c9\_3, c11\_1, c14\_8.2, c15\_1

# Purpose

Ensure that the SM really verifies the MAC from the UC.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.

#### Test

- Send a VERIFY MAC command with valid data, but invalid MAC.

#### Result

- The expected status word is "98 04".

# FU\_VM\_IV\_02 subclause 8.3.1 c9\_1, c9\_3, c11\_1.1, c15\_8

#### Purpose

Ensure that the SM checks the indicated key.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.

#### Test

- Send a VERIFY MAC command with valid data, but an invalid key.

#### Result

- The expected status word "94 04".

#### FU\_VM\_IV\_03 subclause 8.3.1 c9\_1, c9\_3, c11\_1, c15\_9

#### Purpose

Ensure that the SM checks the function codes.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.

#### Test

- Send a VERIFY MAC command with valid data, but an invalid INS for the UC command.

#### Result

- The expected status word "94 08". (INS-code inconsistent with the command).

#### FU\_VM\_IV\_04 subclause 8.3.1 c9\_1, c11\_1.2, c14\_8.3, c15\_2

#### Purpose

Ensure that the SM requires a Random number to verify the MAC.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has not been used.

#### Test

- Send a VERIFY MAC command with valid data.

#### Result

- The expected status word is "98 35".

#### FU\_VM\_IV\_05 subclause 8.3.1 c9\_3, c11\_1.3, c15\_8

#### Purpose

Ensure that the SM checks that a keyset has been selected.

#### Preconditions

- A SELECT KEYSET has not been done.
- An ASK PARAMETER command has been used.

#### Test

- Send a VERIFY MAC command with valid data.

#### Result

- The expected status word is "94 04".

# FU\_VM\_IV\_06 subclause 8.3.1 c9\_1, c9\_3, c11\_1.3.1, c15\_9

#### Purpose

Ensure that the SM checks that the keyset contains diversified keys.

#### Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done.
- An ASK PARAMETER command has been used.

# Test

- Send a VERIFY MAC command with valid data.

# Result

- The expected status word is "94 08".

# Table 47: Coding of the VERIFY MAC command

64

CLA	Class byte	
INS	"8E"	
P1	"00"	
P2	Key number	
L <sub>c</sub> field	Length of data field (L <sub>c</sub> > "04")	
Data field	INS of previous UC command (coded according to TS 101 206-3 [6]) P1 of previous UC command (coded according to TS 101 206-3 [6]) P2 of previous UC command (coded according to TS 101 206-3 [6]) L <sub>e</sub> of previous UC command (coded according to TS 101 206-3 [6]) response of previous UC command (coded according to TS 101 206-3 [6])	
L <sub>e</sub> field	Empty	

# Table 48: Return codes for VERIFY MAC

Return Code	Error description
98 04	<ul> <li>Wrong cryptogram verification</li> </ul>
98 35	<ul> <li>No ASK PARAMETER/GIVE RANDOM before</li> </ul>
98 AD	<ul> <li>Command out of sequence</li> </ul>
6E XX	<ul> <li>Wrong instruction class given in the command</li> </ul>
6D XX	<ul> <li>Unknown instruction code given in the command</li> </ul>
6F XX	<ul> <li>Technical problem with no diagnostic given (command aborted)</li> </ul>
6B XX	- P1 ≠ "00"
67 XX	- L <sub>C</sub> < "04"
90 00	<ul> <li>Normal ending (ACK) of the command</li> </ul>

# RC\_VM\_IV\_01 subclause A.5.5.1, 8.3.1 c1\_1, c9\_1, c9\_3, c11\_1.3, c14\_8.7, c15\_13, c18\_1, c18\_3, c18\_7

# Purpose

Ensure that the SM checks the value of P1 to be "00".

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.

#### Test

- Send a VERIFY MAC command with a parameter  $P1 \neq "00"$ .

# Result

- The expected status word is "6B XX".

RC\_VM\_IV\_02 subclause A.5.5.1, 8.3.1 c1\_1, c9\_1, c9\_3, c11\_1.3, c14\_8.8, c15\_14, c18\_1, c18\_3, c18\_7

# Purpose

Ensure that the SM checks the value of  $L_c \ge "04"$ .

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.

# Test

- Send a VERIFY MAC command with a  $L_c$  of less than 4 and a matching data field.

# Result

- The expected status word is "67 XX".

# 6.2.4.3.2 UPDATE (SM)

# Table 49: Structure of UPDATE (SM)

Bytes	Description	M/O	Length
-X0	Command Header		X + 1
1	INS byte of the previous READ RECORD STAMPED	М	1
	command sent to the UC (coded according to		
	TS 101 206-3 [6])		
2	P1 of the previous READ RECORD STAMPED command sent	М	1
	to the UC (coded according to TS 101 206-3 [6])		
3	P2 of the previous READ RECORD STAMPED command sent	М	1
	to the UC (coded according to TS 101 206-3 [6])		
4	Le of the previous READ RECORD STAMPED command sent	Μ	1
	to the UC (coded according to TS 101 206-3 [6])		
5 (4 + Z)	The data field of the of the previous READ RECORD	М	Z
. ,	STAMPED response sent by the UC (coded according to		
	TS 101 206-3 [6])		
(5 + Z)	Command Trailer		

# FU\_US\_VA\_01 subclause 8.3.2 c9\_1, c9\_3, c12\_1, c11\_2, c14\_9.13, c15\_15

#### Purpose

Ensure that the SM is able to verify a MAC from the UC and to update the information in the SM.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.

#### Test

- Send an UPDATE (SM) command with valid data.
- Send a READ RECORD command.

# Result

- The expected status word is "90 00". The read contents should be the one expected (5 .. (4 + Z)).

FU\_US\_IV\_01 subclause 8.3.2 c9\_1, c9\_3, c12\_1, c11\_2.1, c14\_9.2, c15\_1

# Purpose

Ensure that the SM really verifies the MAC from the UC.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.

#### Test

- Send an UPDATE (SM) command with valid data, but invalid MAC.

# Result

- The expected status word is "98 04".

# FU\_US\_IV\_02 subclause 8.3.2 c9\_1, c9\_3, c12\_1, c11\_2.1, c14\_9.2, c15\_1

# Purpose

Ensure that the SM does not update the information, if used with invalid data.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.
- The contents should be known.

# Test

- Send an UPDATE (SM) command with valid data, but invalid MAC.
- Send a READ RECORD command.

#### Result

- The expected status word is "98 04" on UPDATE (SM). The read contents should be the original contents.

#### Purpose

Ensure that the SM checks the indicated key.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.

67

- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.

#### Test

- Send an UPDATE (SM) command with valid data, but invalid key.

#### Result

- The expected status word is "94 04".

# FU\_US\_IV\_04 subclause 8.3.2 c9\_1, c9\_3, c12\_1, c11\_2, c14\_9.7, c15\_9

#### Purpose

Ensure that the SM checks that a READ RECORD STAMPED was used.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.

#### Test

- Send an UPDATE (SM) command with valid data, but an invalid INS for the UC command and a valid MAC.

# Result

- The expected status word is "94 08".

#### FU\_US\_IV\_05 subclause 8.3.2 c9\_1, c12\_1, c11\_2.3, c14\_9.3, c15\_2

#### Purpose

Ensure that the SM requires a Random number to verify the MAC.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has not been used.
- A SELECT on the file to be updated has been performed.

#### Test

- Send an UPDATE (SM) command with valid data.

#### Result

- The expected status word is "98 35".

FU\_US\_IV\_06 subclause 8.3.2 c9\_3, c12\_1, c11\_2.4, c14\_9.6, c15\_6

# Purpose

Ensure that the SM checks that a keyset has been selected.

# Preconditions

- A SELECT KEYSET has not been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.

# Test

- Send an UPDATE (SM) command with valid data.

# Result

- The expected status word is "94 00".

# FU\_US\_IV\_07 subclause 8.3.2 c9\_1, c9\_3, c12\_1, c11\_2.4.1, c14\_9.7, c15\_9

# Purpose

Ensure that the SM checks that the keyset contains diversified keys.

# Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.

#### Test

- Send an UPDATE (SM) command with valid data.

#### Result

- The expected status word is "94 08".

# FU\_US\_IV\_08 subclause 8.3.2 c9\_1, c9\_3, c12\_1, c11\_2.5, c14\_9.6, c15\_6

#### Purpose

Ensure that the SM checks that an EF to be updated is selected.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- No EF is selected.

#### Test

- Send an UPDATE (SM) command with valid data.

#### Result

- The expected status word is "94 00".

# Table 50: Coding of the UPDATE (SM) command

CLA	Class byte	Class byte			
INS	"5A"	"5A"			
P1	"00"	"00"			
P2	Key number	Key number.			
L <sub>c</sub> field	Length of data field				
Data field	"B6" Record no. Mode L <sub>e</sub> data field	(coded according to TS 101 206-3 [6]) (coded according to TS 101 206-3 [6]) (coded according to TS 101 206-3 [6]) of previous command (coded according to TS 101 206-3 [6]) of previous READ RECORD STAMPED response (coded according to TS 101 206-3 [6])			
L <sub>e</sub> field	Empty				

# Table 51: Return codes for UPDATE (SM)

Return	Error description		
Code			
98 04	- Wrong cryptogram verification		
98 35	- No ASK PARAMETER/GIVE RANDOM before		
98 AD	- Command out of sequence		
92 0X	<ul> <li>Update successful but after using internal retry routine X times</li> </ul>		
92 40	- Update impossible (memory problem)		
94 00	- No EF selected		
94 08	<ul> <li>Current file-type is inconsistent with the command</li> </ul>		
6E XX	<ul> <li>Wrong instruction class given in the command</li> </ul>		
6D XX	<ul> <li>Unknown instruction code given in the command</li> </ul>		
6F XX	<ul> <li>Technical problem with no diagnostic given (command aborted)</li> </ul>		
6B XX	- P1 ≠ "00"		
67 XX	- L <sub>c</sub> < "04"		
90 00	- Normal ending (ACK) of the command		

# $RC\_US\_IV\_01 \ \ subclause \ A.5.5.2, \ 8.3.2 \ \ c1\_1, \ c9\_1, \ c9\_3, \ c11\_2, \ c12\_1, \ c18\_1, \ c18\_3, \ c18\_8, \ c14\_9.11, \ c15\_13$

# Purpose

Ensure that the SM checks the value of P1 to be "00".

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.

# Test

- Send an UPDATE (SM) command with a parameter  $P1 \neq "00"$ .

# Result

- The expected status word is "6B XX".

# RC\_US\_IV\_02 subclause A.5.5.2, 8.3.2 c1\_1, c9\_1, c9\_3, c11\_2, c12\_1, c14\_9.12, c15\_14, c18\_1, c18\_3, c18\_8, c14\_9.12, c15\_14

# Purpose

Ensure that the SM checks the value of  $L_c \ge "04"$ .

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.

#### Test

- Send an UPDATE (SM) command with a  $L_c$  of less than 4 and a matching data field.

#### Result

- The expected status word is "67 XX".

# RC\_US\_IV\_03 subclause A.5.5.2, 8.3.2 c1\_1, c9\_1, c9\_3, c11\_2, c12\_1, c14\_9.2, c15\_1, c18\_1, c18\_3, c18\_8, c15\_1

# Purpose

Ensure that the SM checks the AC of the file to update.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.
- The AC of the file for UPDATE is NEV.

# Test

- Send an UPDATE (SM) command with valid data.

# Result

- The expected status word is "98 04".

# RC\_US\_IV\_04 subclause A.5.5.2, 8.3.2 c1\_1, c9\_1, c9\_3, c11\_2, c12\_1, c18\_1, c18\_3, c18\_8, c14\_9.4, c15\_4 Purpose

Ensure that the SM returns "92 0X", if the internal retry routine has been used.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.
- The memory can be written, after using the internal retry routine X times.

# Test

- Send an UPDATE (SM) command with valid data.

#### Result

- The expected status word is "92 0X".

# RC\_US\_IV\_05 subclause A.5.5.2, 8.3.2 c1\_1, c9\_1, c9\_3, c11\_2, c12\_1, c18\_1, c18\_3, c18\_8, c14\_9.5, c15\_5

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# Purpose

Ensure that the SM returns "92 40", if the memory can not be written.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the file to be updated has been performed.
- The memory can not be written.

# Test

- Send an UPDATE (SM) command with valid data.

# Result

- The expected status word is "92 40".

# 6.2.4.3.3 INCREASE (SM)

# Table 52: Structure of INCREASE (SM)

Bytes	Description	M/O	Length
-X0	Command Header		X + 1
1	INS byte of the previous DECREASE STAMPED sent to the	М	1
	UC (coded according to TS 101 206-3 [6])		
2	P1 of the previous DECREASE STAMPED sent to the UC	М	1
	(coded according to TS 101 206-3 [6])		
3	P2 of the previous DECREASE STAMPED sent to the UC	М	1
	(coded according to TS 101 206-3 [6])		
4	Le of the previous DECREASE STAMPED sent to the UC	М	1
	(coded according to TS 101 206-3 [6])		
5 (4 + Z)	The data field of the of the previous DECREASE STAMPED	М	Z
	reponse sent by the UC (coded according to TS 101 206-3 [6])		
(5 + Z)	Command Trailer		

# FU\_IS\_VA\_01 subclause 8.3.3 c9\_1, c9\_3, c12\_1, c11\_3.6, c14\_10.12, c15\_15

# Purpose

Ensure that the SM is able to verify a MAC from the UC and to increase the amount stored in the counter of the SM.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.
- The contents should be known.

# Test

- Send an INCREASE (SM) command with valid data.
- Send a READ RECORD command.

# Result

- The expected status word is "90 00". The read contents should be the one expected (original contents increased by the value decreased from the UC).

FU\_IS\_VA\_02 subclause 8.3.3 c2\_2, c9\_1, c9\_3, c12\_1, c11\_3.6, c14\_10.13, c15\_16

#### Purpose

Ensure that the SM is able to verify a MAC from the UC and to increase the amount stored in the counter of the SM.

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# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.
- The contents should be known.

#### Test

- Send an INCREASE (SM) command with valid data.
- Send a READ RECORD command.

#### Result

- The expected status word is "9F XX". The read contents should be the one expected (original contents increased by the value decreased from the UC).

#### FU\_IS\_IV\_01 subclause 8.3.3 c9\_1, c9\_3, c12\_1, c11\_3, c14\_10.2, c15\_1

#### Purpose

Ensure that the SM really verifies the MAC from the UC.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.

#### Test

- Send an INCREASE (SM) command with valid data, but invalid MAC.

#### Result

# FU\_IS\_IV\_02 subclause 8.3.3 c9\_1, c9\_3, c12\_1, c11\_3.5, c14\_10.2, c15\_1

#### Purpose

Ensure that the SM does not increase the counter, if used with invalid data.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.
- The contents should be known.

# Test

- Send an INCREASE (SM) command with valid data, but invalid MAC.
- Send a READ RECORD command.

#### Result

- The expected status word is "98 04" for INCREASE (SM). The read contents should be the original contents.

# FU\_IS\_IV\_03 subclause 8.3.3 c9\_1, c9\_3, c12\_1, c11\_3.1, c15\_8

# Purpose

Ensure that the SM checks the indicated key.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.

# Test

- Send an INCREASE (SM) command with valid data, but invalid key.

#### Result

# FU\_IS\_IV\_04 subclause 8.3.3 c9\_1, c9\_3, c12\_1, c11\_3.5, c14\_10.6, c15\_9

#### Purpose

Ensure that the SM checks that a DECREASE STAMPED was used.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.

#### Test

- Send an INCREASE (SM) command with valid data, but invalid INS for the UC command.

#### Result

- The expected status word is "94 08".

#### FU\_IS\_IV\_05 subclause 8.3.3 c9\_1, c12\_1, c11\_3.2, c14\_10.3, c15\_2

#### Purpose

Ensure that the SM requires a Random number to verify the MAC.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has not been used.
- A SELECT on the counter to be increased has been performed.

#### Test

- Send an INCREASE (SM) command with valid data.

#### Result

- The expected status word is "98 35".

#### FU\_IS\_IV\_06 subclause 8.3.3 c9\_3, c12\_1, c11\_3.3

#### Purpose

Ensure that the SM checks that a keyset has been selected.

### Preconditions

- A SELECT KEYSET has not been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.

#### Test

- Send an INCREASE (SM) command with valid data.

#### Result

# FU\_IS\_IV\_07 subclause 8.3.3 c9\_1, c9\_3, c12\_1, c11\_3.3.1, c14\_10.6, c15\_9

# Purpose

Ensure that the SM checks that the keyset contains diversified keys.

# Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.

#### Test

- Send an INCREASE (SM) command with valid data.

#### Result

- The expected status word is "94 08".

# FU\_IS\_IV\_08 subclause 8.3.3 c9\_1, c9\_3, c11\_3.4, c15\_8

# Purpose

Ensure that the SM checks that a counter to be increased is selected.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has not been performed.

#### Test

- Send an INCREASE (SM) command with valid data.

#### Result

- The expected status word is "94 04".

# Table 53: Coding of the INCREASE (SM) command

CLA	Class byte		
INS	"8E"		
P1	"00"		
P2	Key number		
L <sub>c</sub> field	Length of data field		
Data field	"34"       (coded according to TS 101 206-3 [6])         Output mode       (coded according to TS 101 206-3 [6])         "00"       (coded according to TS 101 206-3 [6])         Le       of previous command (coded according to TS 101 206-3 [6])         data field       of previous DECREASE STAMPED response         (coded according to TS 101 206-3 [6])		
L <sub>e</sub> field	Empty		

Return Code	Error description			
98 04	AC not fulfilled			
	- Wrong cryptogram verification			
98 35	- No ASK PARAMETER/GIVE RANDOM before			
98 AD	- Command out of sequence			
92 0X	<ul> <li>Update successful but after using internal retry routine X times</li> </ul>			
92 40	- Update impossible (memory problem)			
94 00	- No EF selected			
94 02	- Out of range (invalid address)			
94 04	- File ID not found			
94 08	<ul> <li>Current file-type is inconsistent with the command</li> </ul>			
6E XX	- Wrong instruction class given in the command			
6D XX	- Unknown instruction code given in the command			
6F XX	- Technical problem with no diagnostic given (command aborted)			
6B XX	- P1 ≠ "00"			
67 XX	- Lc < "04"			
90 00	- Normal ending (ACK) of the command			

#### Table 54: Return codes for SELECT KEYSET

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# RC\_IS\_IV\_01 subclause A.5.6.1, 8.3.3 c1\_1, c9\_1, c9\_3, c12\_1, c11\_3, c14\_10.10, c15\_13, c18\_1, c18\_3, c18\_10

# Purpose

Ensure that the SM checks the value of P1 to be "00".

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.

#### Test

- Send an INCREASE (SM) command with a parameter  $P1 \neq "00"$ .

# Result

- The expected status word is "6B XX".

# RC\_IS\_IV\_02 subclause A.5.6.1, 8.3.3 c1\_1, c9\_1, c9\_3, c12\_1, c11\_3, c14\_10.11, c15\_14, c18\_1, c18\_3, c18\_10

#### Purpose

Ensure that the SM checks the value of  $L_c \ge "04"$ .

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.

#### Test

- Send an INCREASE (SM) command with a  $L_c$  of less than 4 and a matching data field.

#### Result

- The expected status word is "67 XX".

# RC\_IS\_IV\_03 subclause A.5.6.1, 8.3.3 c1\_1, c9\_1, c9\_3, c12\_1, c11\_3, c18\_1, c18\_3, c18\_10, c15\_4

# Purpose

Ensure that the SM returns "92 0X", if the internal retry routine has been used.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.
- The memory can be written, after using the internal retry routine X times.

# Test

- Send an INCREASE (SM) command with valid data.

# Result

- The expected status word is "92 0X".

# RC\_IS\_IV\_04 subclause A.5.6.1, 8.3.3 c1\_1, c9\_1, c9\_3, c12\_1, c11\_3, c18\_1, c18\_3, c18\_10, c15\_5

# Purpose

Ensure that the SM returns "92 40", if the memory can not be written.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.
- A SELECT on the counter to be increased has been performed.
- The memory can not be written.

#### Test

- Send an INCREASE (SM) command with valid data.

#### Result

# 6.2.4.3.5 VERIFY CRYPTOGRAM

The command VERIFY CRYPTOGRAM corresponds to the UC command INTERNAL AUTHENTICATION.

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FU\_VC\_VA\_01 subclause 8.3.5 c9\_1, c9\_3, c11\_4, c14\_5.9, c15\_15

#### Purpose

Ensure that the SM is able to verify a cryptogram from the UC.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.

#### Test

- Send an VERIFY CRYPTOGRAM command with valid data.

#### Result

- The expected status word is "90 00".

# FU\_VC\_IV\_01 subclause 8.3.5 c9\_1, c9\_3, c11\_4, c14\_5.2, c15\_1

# Purpose

Ensure that the SM really verifies the cryptogram from the UC.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.

#### Test

- Send an VERIFY CRYPTOGRAM command with valid data, but invalid cryptogram.

#### Result

- The expected status word is "98 04".

# FU\_VC\_IV\_02 subclause 8.3.5 c9\_1, c9\_3, c11\_4, c15\_8

#### Purpose

Ensure that the SM checks the indicated key.

#### Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.

#### Test

- Send an VERIFY CRYPTOGRAM command with valid data, but invalid key.

#### Result

FU\_VC\_IV\_03 subclause 8.3.5 c9\_1, c9\_3, c11\_4, c14\_5.3, c15\_2

# Purpose

Ensure that the SM requires a Random number to verify the cryptogram.

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has not been used.

# Test

- Send an VERIFY CRYPTOGRAM command with valid data, but invalid key.

# Result

- The expected status word is "98 35".

FU\_VC\_IV\_04 subclause 8.3.5 c9\_1, c9\_3, c11\_4, c15\_8

# Purpose

Ensure that the SM checks that a keyset has been selected.

# Preconditions

- A SELECT KEYSET has not been done.
- An ASK PARAMETER command has been used.

# Test

- Send an VERIFY CRYPTOGRAM command with valid data, but invalid key.

#### Result

# FU\_VC\_IV\_05 subclause 8.3.5 c9\_1, c9\_3, c11\_4, c15\_9

#### Purpose

Ensure that the SM checks that the keyset contains diversified keys.

# Preconditions

- A SELECT KEYSET on a keyset containing master keys has been done.
- An ASK PARAMETER command has been used.

#### Test

- Send an VERIFY CRYPTOGRAM command with valid data, but invalid key.

#### Result

- The expected status word is "94 08".

#### Table 55: Coding of the VERIFY CRYPTOGRAM command

CLA	Class byte	
INS	"8E"	
P1	"00"	
P2	Key number	
L <sub>c</sub> field	Length of data field	
Data field	Cryptogram	
L <sub>e</sub> field	Empty	

# Table 56: Return codes for VERIFY CRYPTOGRAM

Return Code	Error description		
98 04	- Wrong cryptogram verification		
98 35	- No ASK PARAMETER/GIVE RANDOM before		
98 AD	- Command out of sequence		
6E XX	<ul> <li>Wrong instruction class given in the command</li> </ul>		
6D XX	- Unknown instruction code given in the command		
6F XX	<ul> <li>Technical problem with no diagnostic given (command aborted)</li> </ul>		
6B XX	- P1 ≠ "00"		
67 XX	- No test is foreseen for this status word		
90 00	- Normal ending (ACK) of the command		

#### RC\_VC\_IV\_01 subclause A.5.5.3, 8.3.5 c1\_1, c9\_1, c9\_3, c11\_4, c14\_5.7, c15\_13, c18\_1, c18\_3, c18\_9

#### Purpose

Ensure that the SM checks the value of P1 to be "00".

# Preconditions

- A SELECT KEYSET on a keyset containing diversified keys has been done.
- An ASK PARAMETER command has been used.

#### Test

- Send a VERIFY CRYPTOGRAM command with a parameter  $P1 \neq "00"$ .

#### Result

- The expected status word is "6B XX".

# 6.2.5 Downloading of keys from SM to UC

# DK\_XX\_VA\_01 subclause A.7.1 c1\_1, c9\_1, c9\_2, c10\_1, c18\_1, c18\_2, c18\_4, c15\_15

# Purpose

Ensure that the SM is able to download keys to an empty  $\mathrm{EF}_{\mathrm{KEY}\ \mathrm{MAN}}$  in UC.

# Preconditions

- None.

# Test

- SELECT KEYSET on the EF<sub>KEY MAN</sub> on the next higher level.
- DIVERSIFY KEYSET with destination EF<sub>DIK1</sub>.
- SELECT KEYSET on the  $EF_{KEY MAN}$  containing the keys to download.
- DIVERSIFY KEYSET with destination EF DIK2.
- COMPUTE LOAD KEY for each key to be downloaded.

#### Result

- Each command shall execute successfully and the result at each COMPUTE LOAD KEY shall be correct.

# DK\_XX\_VA\_02 subclause A.7.2 c1\_1, c9\_1, c9\_2, c10\_1, c18\_1, c18\_2, c18\_4, c15\_15

#### Purpose

Ensure that the SM is able to exchange keys in the  $\mathrm{EF}_{\mathrm{KEY}\_\mathrm{MAN}}$  of the UC.

#### Preconditions

- None.

# Test

- SELECT KEYSET on the  $EF_{KEY\_MAN}$  with version n.
- DIVERSIFY KEYSET with destination EF<sub>DIK1</sub>.
- SELECT KEYSET on the  $EF_{KEY MAN}$  with version (n + 1).
- DIVERSIFY KEYSET with destination EF DIK2.
- COMPUTE LOAD KEY for each key to be downloaded.

# Result

- Each command shall execute successfully and the result at each COMPUTE LOAD KEY shall be correct.

# K\_XX\_VA\_03 subclause A.7.3 c1\_1, c9\_1, c9\_2, c10\_1, c18\_1, c18\_2, c18\_4, c15\_15

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# Purpose

Ensure that the SM is able to exchange keys in the  $\mathrm{EF}_{\mathrm{KEY}\_\mathrm{OP}}$  of the UC.

# Preconditions

- None.

# Test

- SELECT KEYSET on the EF<sub>KEY\_MAN</sub>.
- DIVERSIFY KEYSET with destination EF<sub>DIK1</sub>.
- SELECT KEYSET to the  $EF_{KEY_OP}$  with version (n + 1).
- DIVERSIFY KEYSET with destination  $EF_{DIK2}$ .
- COMPUTE LOAD KEY for each key to be downloaded.

#### Result

- Each command shall execute successfully and the result at each COMPUTE LOAD KEY shall be correct.

# Bibliography

The following material, though not specifically referenced in the body of the present document (or not publicly available), gives supporting information.

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# History

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