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ETSI

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

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#### **Foreword**

This Technical Report (TR) has been produced by ETSI Technical Committee Environmental Engineering (EE).

The present document has been developed in collaboration with 3GPP SA5 and RAN3; GSMA has given also valuable suggestions and contributions. Moreover, the present document is developed jointly with ITU-T SG5 Q17/5.

# Modal verbs terminology

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## Introduction

In the absence of direct measurements, a radio access network's energy consumption can be estimated using a simple random sample of base station sites from the mobile network. There are two estimation methods described in the present document which can be used for this purpose: the basic estimation method, and the stratified estimation method.

In the basic estimation method, the sample is created with no consideration for the constitution of the mobile network. This method is well-suited for mobile networks generally characterized as homogenous, with normal statistical distributions of energy-influencing site characteristics across the network (e.g.base stations per site, radios per site).

In the stratified estimation method, the sample is created while taking the constitution of the mobile network into account. This method is well-suited to mobile networks generally characterized as heterogeneous, or mobile networks with non-normal statistical distributions of energy-influencing site characteristics.

An example of application of these two methods is given for better readability and ease of use in annex A.

## 1 Scope

The present document is aimed to define an estimation method for anticipating the total energy consumption of a radio access network based on measuring energy consumption of a few randomly chosen sites. The present document is used when measuring energy consumption of the whole network is either impossible or costly to an operator. Two different methods have been presented in the present document, one based on Basic Estimation Method and another based on stratified Estimation Method.

The present document deals with any type of radio access network such as homogeneous and heterogeneous network and technologies such as GSM, UMTS and LTE.

The estimation of energy consumption User Equipment (UE) is not within the scope of the present document.

#### 2 References

#### 2.1 Normative references

Normative references are not applicable in the present document.

#### 2.2 Informative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

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The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

Not applicable.

## 3 Definitions and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

Base Station (BS): network component which serves one or more cells and interfaces the user terminal (through air interface) and a radio access network infrastructure

Energy Efficiency (EE): relation between the useful output and energy consumption

**Mobile Network (MN):** set of equipment from the radio access network or sub-network that are relevant for the assessment of energy efficiency

**power consumption:** power consumed by a device to achieve an intended application performance

**Radio Access Network (RAN):** telecommunications network in which the access to the network (connection between user terminal and network) is implemented without the use of wires and that is part of GERAN, UTRAN or E-UTRA networks defined by 3GPP

**Radio Access Network Energy Consumption (RANEC):** overall energy consumption of equipment included in the RAN under investigation

**Telecommunication Network (TN):** network operated under a license granted by a national telecommunications authority, which provides telecommunications between Network Termination Points (NTPs)

#### 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

3GPP 3G (mobile) Partnership Project

BS Base Station
CL Confidence of Level
EC Energy Consumption

E-UTRA Evolved UMTS Terrestrial Radio Access Network

GERAN GSM/EDGE Radio Access Network
GSM Global System for Mobile communication

GSMA GSM Association

ICT Information Communications Technology ITU International Telecommunications Union

ITU-T International Telecommunications Union - Telecommunication

LTE Long Term Evolution MN Mobile Network

PDF Probability Distribution Function

RAN Radio Access Network RF Radio Frequency

T Period of Time over the estimate is made
TLAF Transmission Loss Adjustment Factor
UMTS Universal Mobile Telecommunication Service
UTRAN UMTS Terrestrial Radio Access Network

# 4 RAN Energy Consumption Estimation Method

## 4.1 General description

A simple random sample of radio access network energy consumption is supported by the following definitions:

- A RAN is a population consisting of "N" base station sites.
- A sample from the RAN population consists of "n" base station sites.
- All possible samples of "n" base station sites from the RAN population are equally likely to occur.
- Each sample of the RAN population has a mean site energy consumption of  $\bar{x}_i$ .
- The value of  $\bar{x_i}$  serves as an estimate of the true mean site energy consumption for the entire RAN (denoted as  $\mu$ ).
- The size (n) of each RAN sample needs to be sufficiently large such that all possible values of mean site energy consumption  $(\overline{x_1})$  form a normal (or near normal) sample distribution.
- The mean of the sample distribution  $(\mu_{\bar{x}})$  is also the true mean of the site energy consumption  $(\mu)$  for the entire RAN, as shown in figure 1.

True mean  $\mu$  of the mobile network's site energy consumption

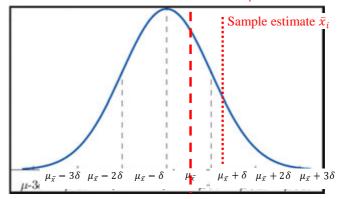


Figure 1: Normal Distribution of a Randomly Sampled Population

Using the above definitions, the radio access network's energy consumption is estimated by multiplying the sample estimate of the mean site energy consumption  $(\overline{x_i})$  by the number of sites in the mobile network population (N).

$$EC_{RAN.\bar{x}} = N \cdot \bar{x}_{i}$$

The above estimate of RAN energy consumption also includes some indication of its accuracy relative to the true RAN energy consumption value. Therefore, energy consumption estimates are expressed as a confidence interval consisting of:

- The sample estimate of the RAN energy consumption  $(EC_{RAN,\bar{x}})$ , extrapolated from the sample mean  $(\bar{x}_i)$ .
- The amount of time (T) covered by the energy consumption estimate.
- A margin of error expressing the maximum expected difference between the true energy consumption of the RAN and the sample estimate.
- The confidence level (CL) in the sampling method. Specifically, it reflects the percentage of sample estimates whose mean energy consumption and margin of error are expected to include the true mean site energy consumption.

Based on the above, a RAN energy consumption estimate is expressed in the following form:

The CL % confidence interval for the mobile network energy consumption over a period of T is  $EC_{RAN,\bar{x}} \pm ME$  % Watt · Hours

As an example, an estimate of a mobile network's energy consumption would be expressed as follows:

The 95 % confidence interval for the energy consumed by the mobile network over a one-month period is  $1.05 \times 10^{11} \pm 2.4$  % Watt · Hours

#### 4.2 Basic Estimation Method

#### 4.2.1 Select a Confidence Level

The confidence level reflects the confidence that one wants to have in the energy consumption estimate. It is an individual choice not specified by the present document, and it influences the size of the margin of error associated with the energy consumption estimate. It can be any percentage value up to 100 %, with 95 % being a common value. Note that choosing a confidence level of 100 % implies that every site in the network would have to be measured, thus defeating the purpose of the estimation method.

## 4.2.2 Specify the Sample Size "n"

In order to satisfy the requirements of the central limit theorem, the sample size (n) needs to be large enough to produce a normal sampling distribution. The less "normal" the shape of the network's underlying site energy consumption distribution, the larger the sample size needs to be in order for any estimate and associated margin of error to be valid.

Since the shape of the network is likely an unknown before any measurements take place, it is difficult to predict the sample size required to achieve a certain margin of error. Thus the sample size has to be chosen and measurements made first, with the associated margin of error calculated afterwards.

While the sample size is an individual choice, it is recommended that the sample measurement consist of:

• at least 50 of the network sites, representing at least 5 % of the network

A representative estimate and associated margin of error is more likely if both of these sample size requirements are satisfied.

#### 4.2.3 Select the Random Sample of Base Station Sites

In order to be a truly random sample (n) of base station sites, the site selection needs be totally blind to such energy consumption influences as data traffic load, climate, site solution, site configuration, RF output power, and site capability. This can be achieved using the following site selection procedure:

- Itemize the population of mobile network base station sites in a vertical list consisting of "N" rows (i.e. one row for each base station site in the overall mobile network population). All information regarding the site configuration and environment should be excluded from the list in order to avoid the unconscious introduction of statistical bias.
- Using a random number generator, assign each entry in the site list a random number in the range of 0:1 to at least 6 decimal places. A spreadsheet's rand-function can be useful for this purpose.
- Sort the population site list based on the random number assignments, from the lowest random number to highest, as shown in figure 2.
- The first "n" base station sites in the sorted list (where "n" was determined in the previous step) should serve as the mobile network population sample. These "n" sites will have their energy consumptions directly measured.



Use first "n" base station sites in re-ordered mobile network site list population sample

Figure 2: Creating the Random Site Sample

#### 4.2.4 Choose a Measurement Period

The value of the measurement period (T) is an individual choice and is not specified by the present document. However, some consideration needs to be given to the fact that this value also determines the time period (T) over which the estimate applies. For example, if the energy consumption measurements are performed during a two-week period, the resulting estimate for the mobile network's energy consumption can only pertain to the **same** two-week period. The two-week measurement cannot be extrapolated to a year-long measurement, because other factors influencing energy consumption (such as yearly temperature fluctuations) would not be accounted for in the margin of error.

#### 4.2.5 Measure the Energy Consumption of the Base Station Site Sample

The energy consumption measured at each base station site in the mobile network population sample, for measurement period (T), is denoted as:

$$EC_{site.i}$$

These sample energy consumption site values can be obtained from either:

- direct on-site measurements; or
- energy consumption metering information supplied by the electrical utility.

Electrical utility metering is only used for the sample provided the following conditions are met:

- The utility supplies a site energy consumption value in units of Watt-Hours or similar.
- The site energy consumption value is representative of the site only, and only includes equipment which fulfils
  the site function.
- Any transmission loss adjustment factors (TLAF) applied by the utility are fully quantified and understood.
- TLAFs are removed from the site energy consumption values provided by the electrical utility, since direct energy consumption measurements at the site would not capture the transmission loss effect.
- The energy billing information (i.e. the financial cost of the electricity) is not used to derive a site's energy consumption value.

Having met the above criteria, site energy consumption values based on metering information is calculated as:

$$EC_{site,i} = \frac{EC_{site\ i,TLAF}}{TLAF_{utility}}$$

Where:

_		
	E.C.	The site energy consumption value supplied by the electrical utility which includes a
	$EC_{site\ i,TLAF}$	transmission loss adjustment factor (TLAF)
Γ	$TLAF_{utility}$	The transmission loss adjustment factor applied by the electrical utility

## 4.2.6 Estimate the Radio Access Network Energy Consumption

The RAN energy consumption sample estimate  $(EC_{RAN,\bar{x}})$  is based on the mean energy consumption  $(\bar{x})$  of the sites in the base station sample calculated as:

$$\bar{x} = \frac{1}{n} \sum_{i=1}^{n} EC_{site\ i}$$

Where:

$ar{x}$ Sample estimate of the RAN's mean site energy consumption		
n	Number of sites in the mobile network sample	
EC _{site i} Energy consumption of a site within the sample		

The mean site energy consumption  $(\bar{x})$  for the sample is then multiplied by the number of sites in the mobile network population (N) to determine the sample estimate for the mobile network energy consumption  $(EC_{RAN,\bar{x}})$ :

$$EC_{RAN.\bar{x}} = N \cdot \bar{x}$$

#### Where:

$EC_{site\ i}$	Energy consumption of a site within the sample
$EC_{RAN,\vec{x}}$ Sample estimate of the RAN's total energy consumption	
n Number of sites in the mobile network sample	
$\bar{x}$ Sample estimate of the RAN's mean site energy consumption	
N Total number of base station sites in the mobile network	

#### 4.2.7 Calculate the Margin of Error of the Mobile Network Estimate

The margin of error is the maximum difference between the sample estimate and the true value of the radio access network's energy consumption. The confidence in this maximum is described by the confidence level chosen in clause 4.2.1.

As a numerical value, the margin of error is calculated as follows:

$$Margin of \ Error_{Num} = N \cdot t_{n-1} \cdot \frac{s}{\sqrt{n}} \cdot \sqrt{\frac{N-n}{N-1}}$$

As a percentage, the margin of error is calculated as follows:

Margin of Error_{\(\infty\)} = 
$$\frac{Margin of Error_{Num}}{N \cdot \bar{x}} \cdot 100$$

Where:

$t_{n-1}$	T-score for a sample of size n, determined from an online t-score calculator applying $(n-1)$ degrees of freedom, and a cumulative probability (CP) calculated as:	
	$CP = 1 - \frac{100 - CL\%}{200}$	
	Where (CL) is the confidence level (in %) chosen in clause 4.2.1.	
S	Standard deviation of the site energy consumptions of the mobile network sample	

In the above equations, a t-score (rather than a z-score) is used since the standard deviation of the mobile network population is unknown. In addition, the  $\sqrt{\frac{N-n}{N-1}}$  term is the finite population correction formula, and is included since the mobile network site population is finite and is being sampled without replacement. Finally, the  $\frac{s}{\sqrt{n}}$  term represents the standard error of the sample mean, with the standard deviation (s) of the sample calculated as:

$$s = \sqrt{\frac{\sum_{i=0}^{n} (EC_{site\ i} - \bar{x})^2}{n-1}}$$

## 4.2.8 State the Radio Access Network Energy Consumption Estimate

The resulting radio access network energy consumption estimate includes the chosen confidence level, the measurement period, the estimated mobile network energy consumption, and the associated margin of error. The estimate is expressed in the following form:

The CL % confidence interval for the radio access network energy consumption,

over a period of T is  $EC_{RAN,\bar{x}} \pm ME \%$  Watt · Hours

#### Where:

CL	Confidence level: the expression of confidence in the simple random sampling method
T Period of time over which the estimate is made	
EC _{RAN,x} Sample estimate of the radio access network's energy consumption	
ME Margin of error associated with the sample estimate	

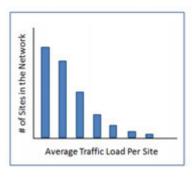
#### 4.3 Stratified Estimation Method

#### 4.3.1 General description

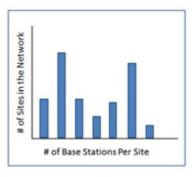
A stratified sampling method can be used to provide greater precision to the energy consumption estimation, by ensuring that all portions of the mobile network's site energy consumption distribution are adequately represented in the sample. Stratified sampling is especially effective when the network's energy consumption distribution is suspected of being non-normal (e.g. left-skewed, right-skewed, or multi-mode).

Non-normal energy consumption distributions can occur when two conditions are present in the network:

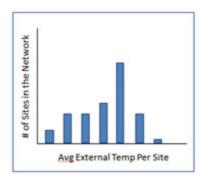
- 1) A site characteristic, known to have a **significant** influence on site energy consumption in the network of interest, is not uniformly present at all base station sites. Examples of such characteristics include:
  - The total number of base stations at each site.
  - The type of base station at each site (macro vs micro, as in a heterogeneous network).
  - The total number of radios at each site.
  - The average traffic load at each site.
  - The climate in which each site resides.
- 2) The identified site characteristic has a non-normal distribution across the network of interest, as exemplified in figure 3.



Left-Skew Distribution Multi-Mo



**Multi-Mode Distribution** 



**Right-Skew Distribution** 

Figure 3

The following clauses provide a step-by-step description of the stratified estimation method. Each subheading pertains to an individual step in the stratified sampling procedure.

#### 4.3.2 Define the Mobile Network Strata

The stratified method requires dividing the mobile network sites into "bins" or "strata". The strata definition is an individual choice and is not defined by the present document. However, in order to maximize the effectiveness of this sampling method, the strata definition should be based on a single site characteristic which:

- has a non-normal and non-uniform distribution across the network, AND
- **significantly** influences the **site's** energy consumption

Once the strata site characteristic is chosen, the range of each individual stratum need to be specified. These individual range definitions are also individual choices and are therefore not defined by the present document. Table 1 provides examples of site characteristics which could be used to define the site strata, along with some sample ranges.

**Possible Strata Definitions** Resulting Stratum Ranges (Examples Only) **Stratum 1**:  $1 \le \#$  base stations on site < 2Stratum 2: 2 < # base stations on site < 3Number of base stations at the site **Stratum 3**:  $3 \le \#$  base stations on site < 5**Stratum 4**:  $5 \le \#$  base stations on site **Stratum 1**:  $1 \le \#$  radios on site < 4.5Stratum 2:  $4.5 \le \#$  radios on site < 6.5Number of radios at the site Stratum 3:  $6.5 \le \#$  radios on site < 8.5Stratum 4:  $8.5 \le \#$  radios on site < 11.5**Stratum 5**:  $11,5 \le \#$  radios on site Stratum 1: 10 °C ≤ external site temperature < 16 °C Average external temperature of the Stratum 2: 16 °C ≤ external site temperature < 22 °C Stratum 3: 22 °C ≤ external site temperature < 28 °C site Stratum 4: 28 °C ≤ external site temperature Stratum 1: 0 < RF loading < 15 %Stratum 2: 15 %  $\leq$  RF loading < 30 % Average RF loading of the site Stratum 3:  $30\% \le RF$  loading < 45%Stratum 4: 45 % ≤ RF loading Stratum 1: Micro Base Station Sites Base station types Stratum 2:  $0 \le \#$  macro base stations on site < 2

Table 1: Examples of Strata Definitions for Stratified Sampling

While several examples are shown, it is strongly recommended to choose only one site characteristic to define the mobile network strata. Combining several site characteristics in a single strata (e.g. heavily loaded sites with 12 or more radios, and located in warm climates) defeats the purpose of the random sample method, risks the introduction of bias into the random sample, and unnecessarily complicates the estimation procedure.

**Stratum 3**:  $2 \le \#$  macro base stations on site

It is also recommended to choose ranges which will result in an adequate number of base stations per stratum (i.e. if the ranges are too small, a large number of stratum will result, thus diluting the number of base stations in each). It is not necessary for the individual stratum ranges to be uniformly sized, nor is there a requirement for a certain number of stratum.

#### 4.3.3 Divide the Mobile Network into the Site Strata

Place each site of the mobile network into one (and only one) stratum.

#### 4.3.4 Select a Confidence Level

A confidence level is to be chosen in the manner described in clause 4.2.1.

## 4.3.5 Specify the Sample Size "n"

The sample size (n) is chosen in the manner described in clause 4.2.2.

#### 4.3.6 Determine each Stratum's Contribution to the Overall Sample

In the stratified estimation method, each stratum contributes one or more sites  $(n_h)$  to overall mobile network sample (n), such that:

$$n = \sum n_h$$

The mobile network sample size (n) is determined using the same method as described in clause 4.2.2.

The number of sites sampled from a particular stratum is determined by the overall size of the stratum relative to the overall size of the mobile network, such that:

$$n_h = \left(\frac{n}{N}\right) \cdot N_h$$

Where:

$n_h$	Sample size of the particular stratum
$N_h$	Total number of sites in the particular stratum

### 4.3.7 Select the Random Sample of Base Station Sites from Each Stratum

Using the procedure described in clause 4.2.3, randomly select the required number of base stations  $(n_h)$  from each stratum. Note that the random selection procedure is applied to each stratum individually and independently from the others.

#### 4.3.8 Measure the Energy Consumption of each Stratum Sample

Measure the energy consumption of the base station sites selected from each stratum, as described in clause 4.2.4.

## 4.3.9 Estimate the Mobile Network Energy Consumption

In the stratified estimation method, the mobile network energy consumption estimate is a weighted-mean of the individual stratum energy consumptions. This is accomplished using the following procedure:

1) Calculate the site energy consumption mean  $(\bar{x}_h)$  for each stratum sample:

$$\bar{x}_h = \frac{1}{n_h} \sum_{i=1}^{n_h} EC_{site\ i}$$

Where:

 $\bar{x}_h$  Sample estimate of the mean site energy consumption for the stratum

Weight each stratum mean, based on the ratio of the stratum site population to the site population of the whole mobile network. Sum these individual weighted means to determine the site energy consumption mean  $(\bar{x})$  for the entire mobile network sample:

$$\bar{x} = \sum \left(\frac{N_h}{N} \cdot \bar{x_h}\right)$$

3) Calculate the sample estimate of the energy consumption for the entire mobile network:

$$EC_{network,\bar{x}} = N \cdot \bar{x}$$

# 4.3.10 Calculate the Margin of Error of the Mobile Network Energy Consumption Estimate

The margin of error for the mobile network energy consumption measurement can be calculated in one of two ways.

- If any of the individual stratum have sample sizes  $(n_h)$  of less than 6, it is suggested to use the procedure described in clause 4.2.7 (i.e. calculate the standard deviation of all measured sites as a single group).
- If all of the individual stratum have sample sizes  $(n_h)$  of at least 6, then the following calculation procedure is recommended:
- 1) Calculate the site energy consumption standard deviation  $(s_h)$  for each stratum sample, using the following formula:

$$s_h = \sqrt{\frac{\sum_{i=0}^{n_h} (EC_{site\ i} - \bar{x}_h)^2}{n_h - 1}}$$

Where:

 $S_h$  Site energy consumption standard deviation for specific stratum sample

2) Calculate the standard deviation (s) for the overall mobile network energy consumption sample estimate, using the following formula:

$$s = \frac{1}{N} \cdot \sqrt{\sum \left[ N_h^2 \cdot \left( 1 - \frac{n_h}{N_h} \right) \cdot \left( \frac{s_h^2}{n_h} \right) \right]}$$

3) Calculate the margin of error for the mobile network energy consumption estimate. This is the numerical bound placed on the difference between the sample estimate of the mobile network and its true value. The confidence in this bound is described by the confidence level chosen in clause 4.3.4.

As a numerical value, the margin of error is calculated as follows:

Margin of 
$$Error_{Num} = N \cdot t_{n-1} \cdot s \cdot \sqrt{\frac{N-n}{N-1}}$$

As a percentage, the margin of error equation is expressed as follows:

Margin of Error_{\%} = 
$$\frac{Margin of Error_{Num}}{N \cdot \bar{x}} \cdot 100$$

In the above equation, a t-score (rather than a z-score) is used since the standard deviation of the mobile network population is unknown. In addition, the  $\sqrt{\frac{N-n}{N-1}}$  term is the finite population correction formula, and is included since the mobile network site population is finite and is being sampled without replacement.

## 4.3.11 State the Mobile Network Energy Consumption Estimate

State the mobile network energy consumption estimates as described in clause 4.2.8.

# 5 Future Estimations and Network Upgrades

### 5.1 General description

Once the mobile network energy consumption has been estimated, the energy consumption measurement equipment can either be removed from the chosen sites or left in place to allow for future estimations to be made.

If the measurement equipment is left in place, care should be taken by the operator to ensure that decisions regarding future network upgrades are not influenced by the sites with energy consumption measurement capability. Targeting the previously-measured sites with new energy saving features will introduce a statistical bias and systemic error in future energy consumption estimates, thus negating the random nature of site selection and invalidating all future results.

#### 5.2 Estimation Method as Path to Full-Network Measurements

A mobile network operator planning to equip all mobile network sites with energy consumption measurement capability may experience rollout delays due to time or budgetary constraints. In this scenario, the operator can deploy the full-measurement capability in phases, randomly choosing sites to receive measurement equipment in each phase.

For example, for a very large mobile network, assume the operator can only deploy full-measurement capability over a 5-year period (i.e. 20 % of the sites per year). It is possible for this operator to receive benefit from this phased deployment before it is complete, by ensuring a random selection of the 20 % of sites which will receive the next phase of measurement equipment. As described in the basic estimation method (clause 4.2), the mobile network estimate, and associated margin of error, can be updated as more sites receive measurement capability. Through this random deployment of measurement equipment, the operator can avoid introducing a statistical bias in the mobile network energy consumption estimation while getting an earlier return on investment.

# Annex A: Implementation examples Implementation of Estimation Method Using Statistical Sampling

# A.1 General description

This clause provides an example implementation of the statistical methods of estimating mobile network energy consumption. Both statistical methods, basic and stratified, are demonstrated.

To ease the explanation of these methods, a small mobile network of just 100 sites is used for this example. The site configuration information provided with the network includes the total number of base stations at each site, the total number of radios at each site, and the average external temperature measured at the site over a 24-hour period.

Site #	Ave External	# of Base Stations on	# of Radios
- I	Temp (°C)	Site -	on Site
Site 1	23.901	4	12
Site 2	16.492	3	4
Site 3	20.001	2	6
Site 4	26.546	3	9
Site 5	18.767	3	9
Site 6	25.768	4	12
Site 7	22.599	3	9
Site 8	12.634	4	12
Site 9	21.771	4	12
Site 10	24.687	3	9
Site 11	11.867	3	9
Site 12	19.946	3	9
Site 13	19.794	3	9
Site 14	20.201	4	12
Site 15	17.629	3	9
Site 16	15.495	3	9
Site 17	17.008	2	6
Site 18	15.427	2	6
Site 19	15.133	2	6
Site 20	14.83	4	12
Site 21	16.486	3	7
Site 22	15.286	4	12
Site 23	19.015	3	9
Site 24	16.94	1	3
Site 25	13.334	3	9
Site 26	18.006	3	9
Site 27	12.12	2	6
Site 28	21.343	6	18
Site 29	22.234	2	6
Site 30	10.859	4	12
Site 31	20.269	3	9
Site 32	12.773	2	6
Site 33	16.785	4 6	12
Site 34 Site 35	14.49	5	21 15
Site 35	12.252 13.029	3	8
Site 36	21.746	4	12
Site 38	11.917	3	9
Site 39	11.587	4	11
Site 40	19.026	3	9
Site 41	14.86	3	9
Site 42	19.378	3	9
Site 43	17.736	5	15
Site 44	12.24	5	15
Site 45	28.156	4	12
Site 46	12.022	3	9
Site 47	12.386	5	15
Site 48	17.36	2	6
Site 49	16.289	3	8
Site 50	19.659	5	15
Site 50	19.659	5	15

2 2 3	Ave External	# of Base	# of Radios
Site #	Temp (°C)	Stations on	on Site
111	2000	Site	
Site 51	11.327	3	9
Site 52	13.462	6	18
Site 53	13.172	4	12
Site 54	28.038	2	6
Site 55	12.307	4	12
Site 56	10.412	3	9
Site 57	18.048	3	7
Site 58	18.018	4	12
Site 59	16.663	3	9
Site 60	11.767	3	9
Site 61	11.684	4	12
Site 62	13.794	4	10
Site 63	12.195	5	15
Site 64	29.672	4	12
Site 65	11.13	2	6
Site 66	9.872	2	5
Site 67 Site 68	11.603	1	2
	11.258	3	7
Site 69 Site 70	11.705 14.626	4	8
Site 70	13.973	5	15
Site 71	18.06	3	9
Site 72	13.085	2	6
Site 74	18.69	3	9
Site 75	20.078	2	6
Site 75	13.017	1	3
Site 77	11.144	2	6
Site 78	14.434	2	6
Site 79	14.199	3	9
Site 80	10.849	4	12
Site 81	10.439	4	12
Site 82	16.166	3	9
Site 83	11.859	2	6
Site 84	21.85	3	9
Site 85	10.349	5	15
Site 86	17.015	2	6
Site 87	11.707	4	10
Site 88	12.198	3	9
Site 89	19.478	3	9
Site 90	22.627	4	12
Site 91	17.969	4	12
Site 92	16.203	6	18
Site 93	16.118	3	9
Site 94	15.182	3	9
Site 95	19.898	3	9
Site 96	25.165	4	8
Site 97	12.77	4	12
Site 98	13.335	3	9
Site 99	15.646	2	6
Site 100	21.857	4	12

Figure A.1

# A.2 Basic Estimation Method

#### A.2.1 Select a Confidence Level

The confidence level is an individual choice not defined by the present document. Since 95 % is a common confidence level used in sampling methodology, it is therefore chosen for this example as well.

## A.2.2 Specify the Sample Size "n"

It is recommended that the sample measurement consist of at least 50 of the network sites, representing at least 5 % of the network, especially if the energy consumption of the network has a "non-normal" distribution. Since the energy consumption distribution is unknown, the number of radios per site are plotted in a histogram instead (as the number of radios would be a significant influence of the overall site energy consumption).

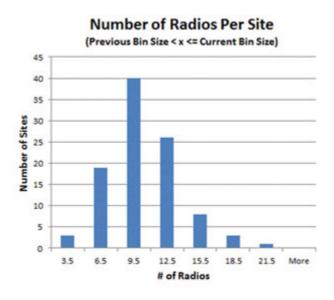


Figure A.2

The above histogram shows a left-skewed distribution of "radios per site", thus the minimum "50 site-5 percent" guideline should be applied. 50 sites of the 100-site network under investigation represents 50 % of the total, and is therefore a valid choice for the sample size.

## A.2.3 Select the Random Sample of Base Station Sites

A built-in random-number function as added to each entry of the network site list. The list is then sorted from lowest-to-highest, based on the random number value. The first 50 sites in the newly-sorted list are the ones which will be measured for the sample, built into the spreadsheet, fifth column is added to the network site list.

With

sample

size of

50, the

first 50

sites in

the sorted

list will

be

directly

measured

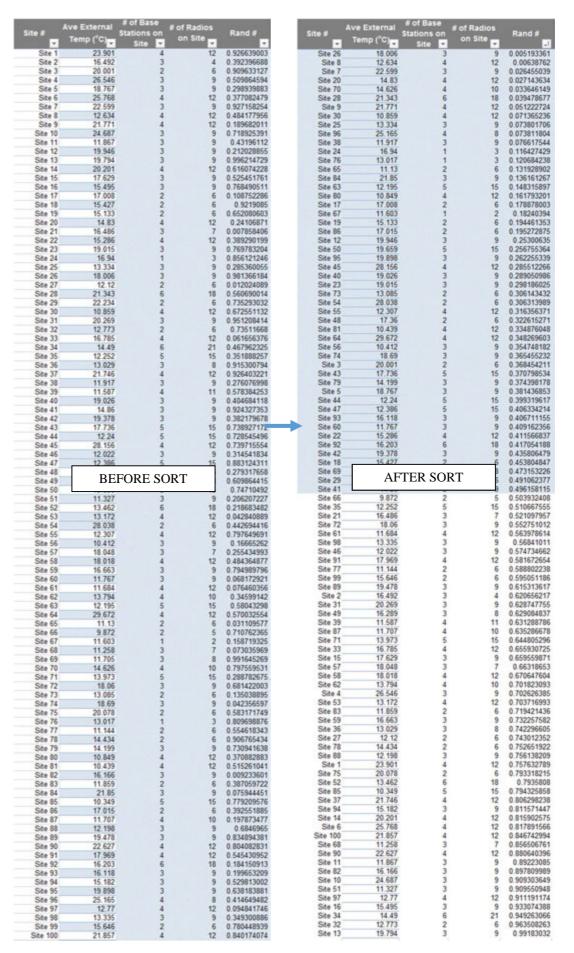


Figure A.3

#### A.2.4 Choose the Measurement Period

This is an individual choice, and for the purpose of this example a measurement period of 24 hours is chosen.

# A.2.5 Measure the Energy Consumption of the Base Station Site Sample

For this example, the 50 sites were directly measured and yielded the following energy consumption values after the 24-hour measurement period.

24 Hr Pwr		Avg External	# of Base	# of Radios	119000000000
(kWh) —	Site #	Temp (°C)	Stations on	on Site —	Rand #
	-		Site -		-:
59.984	Site 26	18.006	3	9	0.005193361
72.013	Site 8	12.634	4	12	0.00638762
87.079	Site 7	22.599	3	9	0.026455039
131.85	Site 20	14.83	4	12	0.027143634
68.98	Site 70	14.626	4	10	0.033646149
70.113	Site 28	21.343	6	18	0.039478677
95.864	Site 9	21.771	4	12	0.051222724
131.464	Site 30	10.859	4	12	0.071365236
112.393	Site 25	13.334	3	9	0.073801706
73.183	Site 96	25.165	4	8	0.073811804
22.293	Site 38	11.917	3	9	0.076617544
50.682	Site 24	16.94	1	3	0.116427429
44.09	Site 76	13.017	1	3	0.120684238
68.18	Site 65	11.13	2	6	0.131928902
87.174	Site 84	21.85	3	9	0.136161267
97.071	Site 63	12.195	5	15	0.148315897
180.153	Site 80	10.849	4	12	0.161793201
41.889	Site 17	17.008	2	6	0.178878003
92.282	Site 67	11.603	1	2	0.18240394
41.89	Site 19	15.133	2	6	0.194461353
80.082	Site 86	17.015	2	6	0.195272875
75.48	Site 12	19.946	3	9	0.25300635
100.135	Site 50	19.659	5	15	0.256755364
69.579	Site 95	19.898	3	9	0.262255339
168.746	Site 45	28.156	4	12	0.285512266
64,378	Site 40	19.026	3	9	0.289050986
62.2	Site 23	19.015	3	9	0.298186025
46.882	Site 73	13.085	2	6	0.306143432
124.659	Site 54	28.038	2	6	0.306313989
84.78	Site 55	12.307	4	12	0.316356371
49.587	Site 48	17.36	2	6	0.322615271
154.426	Site 81	10.439	4	12	0.334876048
187.229	Site 64	29.672	4	12	0.348269603
140.936	Site 56	10.412	3	9	0.354748182
51.783	Site 74	18.69	3	9	0.365455232
33.61	Site 3	20.001	2	6	0.368454211
97.034	Site 43	17.736	5	15	0.370798534
81.677	Site 79	14.199	3	9	0.374398178
85.169	Site 5	18.767	3	9	0.381436853
161.263	Site 44	12.24	5	15	0.399319617
90.81	Site 47	12.386	5	15	0.406334214
67.682	Site 93	16.118	3	9	0.406711155
126.054	Site 60	11.767	3	9	0.409162356
91.776	Site 22	15.286	4	12	0.411566837
125.071	Site 92	16.203	6	18	0.417054188
126.973	Site 42	19.378	3	9	0.435806479
44.284	Site 18	15.427	2	6	0.453804847
191.528	Site 69	11.705	3	8	0.473153226
91.356	Site 29	22 234	2	6	0.491062377
167.046	Site 41	14.86	3	9	0.496158115

Figure A.4

## A.2.6 Estimate the Mobile Network Energy Consumption

The mobile network energy consumption sample estimate  $(EC_{network,\bar{x}})$  is based on the mean energy consumption  $(\bar{x})$  of the sites in the base station sample calculated as:

$$\bar{x} = \frac{1}{n} \sum_{i=1}^{n} EC_{site\ i}$$

$$\bar{x} = \frac{1}{50\ sites} \times 4\ 670,84\ kWh$$

$$\bar{x} = 93,42\ kWh\ per\ site$$

And the sample estimate for the mobile network energy consumption is:

$$EC_{RAN,\bar{x}} = N \cdot \bar{x}$$

$$EC_{RAN,\bar{x}} = 100 \ sites \cdot 93,42 \ kWh \ per \ site$$

$$EC_{RAN,\bar{x}} = 9 \ 342 \ kWh$$

# A.2.7 Calculate the Margin of Error of the Mobile Network Estimate

The margin of error, as a numerical value, is calculated as follows:

$$Margin of \ Error_{Num} = N \cdot t_{n-1} \cdot \frac{s}{\sqrt{n}} \cdot \sqrt{\frac{N-n}{N-1}}$$

- The product of  $(N \cdot \bar{x})$  was calculated in the previous step to be 9 342 kWh.
- An online t-score calculator is used to determine the value of  $(t_{n-1})$  to be: **2,010.** This calculation is based on the additional values of:
  - The cumulative probability (CP) associated with the 95 % confidence level chosen earlier:

$$CP = 1 - \frac{100 - CL \%}{200}$$

$$CP = 1 - \frac{100 - 95}{200}$$

$$CP = 0.975$$

- The degrees of freedom (DoF) associated with the sample size (n):

$$DoF = (n-1)$$

$$DoF = (50-1)$$

$$DoF = 49$$

- The standard deviation of the sample (s) is calculated using the built-in function of a spreadsheet. For this example, the standard deviation of the sample is: **41,77 kWh**.
- Replacing all calculated values into the margin of error formula gives:

$$\begin{aligned} \textit{Margin of Error}_{\textit{Num}} &= N \cdot t_{n-1} \cdot \frac{s}{\sqrt{n}} \cdot \sqrt{\frac{N-n}{N-1}} \\ \textit{Margin of Error}_{\textit{Num}} &= 100 \cdot 2,010 \cdot \frac{41,77}{\sqrt{50}} \cdot \sqrt{\frac{100-50}{100-1}} \\ \textit{Margin of Error}_{\textit{Num}} &= 843,8 \, kWh \end{aligned}$$

As a percentage, the margin of error is calculated as follows:

Margin of Error
$$_{\%}=\frac{Margin \ of \ Error_{Num}}{N \cdot \bar{x}} \cdot 100$$

Margin of Error $_{\%}=\frac{843,8 \ kWh}{9 \ 342 \ kWh} \cdot 100$ 

Margin of Error $_{\%}=9,03 \ \%$ 

## A.2.8 State the Mobile Network Energy Consumption Estimate

The resulting mobile network energy consumption estimate is stated as:

The 95 % confidence interval for the mobile network energy consumption, over a period of 24 hours is 9 342 ± 9.0 % kWh

## A.3 Stratified Estimation Method

## A.3.1 General description

This clause follows the step-by-step base estimation method described in clause 4.3.

The 100-site network presented in this annex is a good candidate for the stratified estimation method because a single site characteristic has been identified which:

- has a non-normal and non-uniform distribution across the network; AND
- **significantly** influences the **site's** energy consumption.

Specifically, the number of radios at a site significantly influences the site's overall energy consumption. In addition, based on configuration information provided by the operator, this site characteristic is known to have a non-normal distribution in this particular network, as shown in figure A.5.

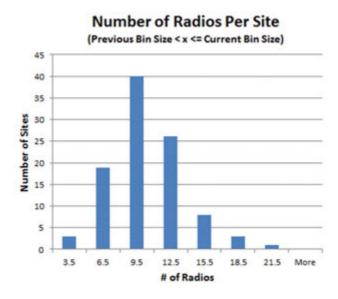


Figure A.5: Determining the Suitability of the Stratified Estimation Method

### A.3.2 Define the Mobile Network Strata

As stated above, the stratums definition for this particular network will be based on the number of radios per site. The range of each stratum is chosen as follows.

**Table A.1: Chosen Strata Definitions** 

Stratum # Stratum Range	
1	0 ≤ Radios at the Site < 3,5
2	$3.5 \le \text{Radios}$ at the Site $< 6.5$
3	$6.5 \le \text{Radios}$ at the Site $< 9.5$
4	$9.5 \le \text{Radios at the Site} < 12.5$
5	12,5 ≤ Radios at the Site < 15,5
6	15,5 ≤ Radios at the Site

### A.3.3 Divide the Mobile Network into the Site Strata

The mobile network sites are divided into the site strata by sorting the site list based on the number of radios per site. Figure A.6 shows the resulting list, and the sites belonging to each stratum.

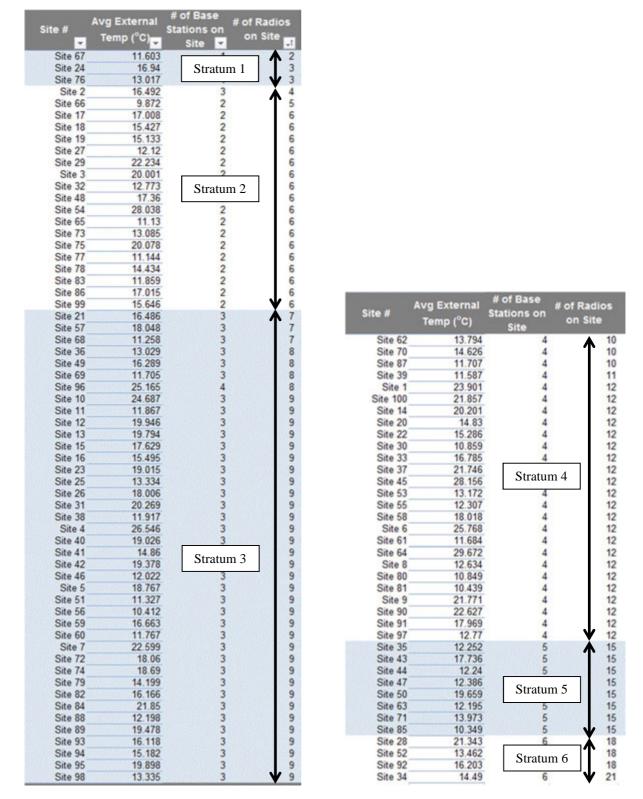


Figure A.6: Sites Placed in the Individual Stratum Definitions

#### A.3.4 Select a Confidence Level

The confidence level is an individual choice not defined by the present document. Since 95 % is a common confidence level used in sampling methodology, it is therefore chosen for this example as well.

## A.3.5 Specify the Sample Size "n"

It is recommended that the sample measurement consist of at least 50 of the network sites, representing at least 5 % of the network, since the energy consumption of the network is suspected of having a non-normal distribution, as discussed in the introduction of this clause. 50 sites of the 100-site network under investigation represents 50 % of the total, and is therefore a valid choice for the sample size.

# A.3.6 Determine each Stratum's Contribution to the Overall Sample

This step determines how many sites from each stratum  $(n_h)$  will contribute to the overall 50-site sample (n), such that:

$$n = \sum_{h} n_h$$
$$n_h = \frac{n \cdot N_h}{N}$$

Table A.2: Calculation of Each Stratum's Contribution to the Overall Sample

Stratum #	Stratum Range	# of Sites in the Stratum $(N_h)$	Stratum Sites Contributing to the Sample $n_h = \frac{n \cdot N_h}{N}$	Adjusted Stratum Contributions $n=\sum n_h=50$
1	$0 \le \text{Radios}$ at the Site $< 3.5$	3	$n_1 = \frac{n \cdot N_1}{N} = \frac{50 \cdot 3}{100} = 1,5$	1,5 adjusted to 2
2	$3.5 \le \text{Radios} \text{ at the Site } < 6.5$	19	$n_2 = \frac{n \cdot N_2}{N} = \frac{50 \cdot 19}{100} = 9,5$	9,5 adjusted to 9
3	$6.5 \le \text{Radios}$ at the Site $< 9.5$	40	$n_3 = \frac{n \cdot N_3}{N} = \frac{50 \cdot 40}{100} = 20$	20 (unchanged)
4	$9.5 \le \text{Radios}$ at the Site $< 12.5$	26	$n_4 = \frac{n \cdot N_4}{N} = \frac{50 \cdot 26}{100} = 13$	13 (unchanged)
5	$12,5 \le \text{Radios}$ at the Site $< 15,5$	8	$n_5 = \frac{n \cdot N_5}{N} = \frac{50 \cdot 8}{100} = 4$	4 (unchanged)
6	15,5 ≤ Radios at the Site	4	$n_6 = \frac{n \cdot N_6}{N} = \frac{50 \cdot 4}{100} = 2$	2 (unchanged)

# A.3.7 Select the Random Sample of Base Station Sites from Each Stratum

The sites within each stratum are then randomly sorted, separately from the other strata. The first  $(n_h)$  sites from each stratum in the resulting list are then measured. Figure A.7 shows the resulting list.

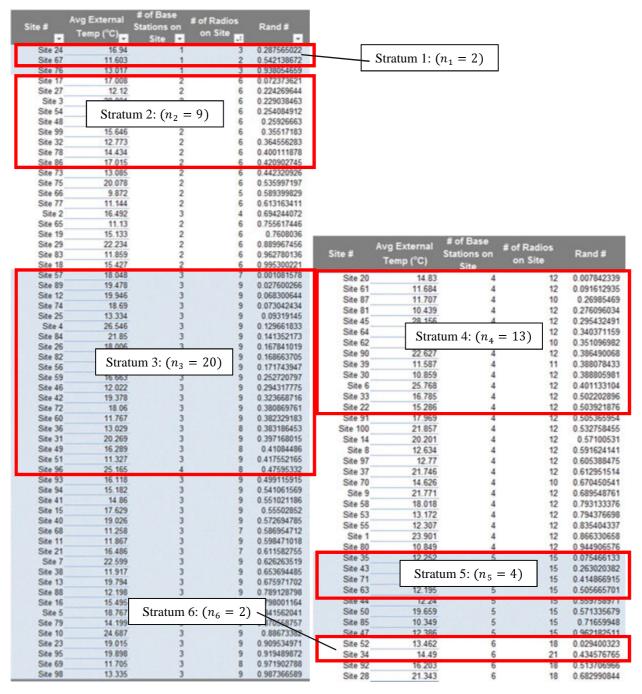


Figure A.7: Sites Chosen From Each Stratum for the Overall Sample

## A.3.8 Measure the Energy Consumption of each Stratum Sample

The base stations selected from each stratum are then directly measured. The results of these measurements are shown in figure A.8.

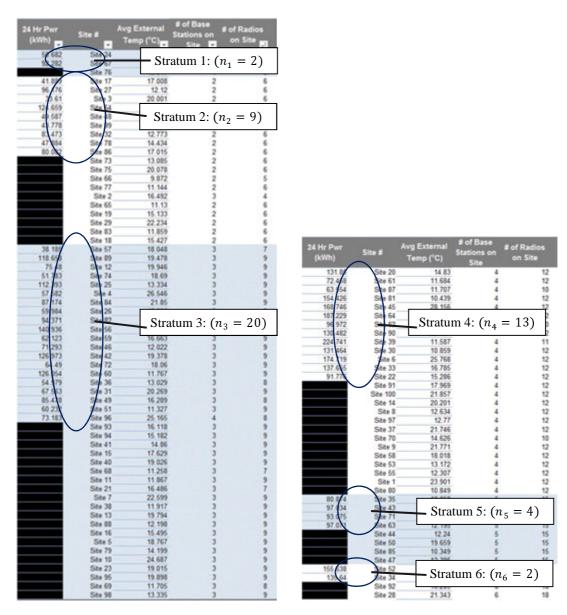


Figure A.8: Site Measurements Required from Each Stratum for the Overall Sample

# A.3.9 Estimate the Mobile Network Energy Consumption

The energy consumption estimate for the mobile network requires two calculations:

• Each stratum's mean energy consumption (of the sites which were measured), using:

$$\bar{x}_h = \frac{1}{n_h} \sum_{i=1}^{n_h} EC_{site\ i}$$

A weighted sum of each stratum's mean:

$$\bar{x} = \sum \left( \frac{N_h}{N} \cdot \bar{x}_h \right)$$

• An extrapolation of the site estimate to the entire mobile network:

$$EC_{RAN.\bar{x}} = N \cdot \bar{x}$$

Table A.3 summarizes the calculation of the mobile network energy consumption estimate.

Table A.3: Example Calculation of the Mobile Network Energy Consumption
Estimate From Stratum Measurements

Stratum Info			Stratum Energy Consumption Mean	Weighted Stratum Energy Consumption
Stratum Number (h)	$\begin{array}{c} \textbf{Stratum} \\ \textbf{Sample Size} \\ (n_h) \end{array}$	Stratum Population Size (N _h )	$\overline{x}_h = \frac{1}{n_h} \sum_{i=1}^{n_h} EC_{site\ i}$	Weighted Stratum Energy Consumption Mean $\overline{x}_{h,weight} = \frac{N_h}{N} \cdot \overline{x}_h$
1	2	3	$\bar{x}_1 = \frac{1}{2}(142,96) = 71,48$	$n_1 = \frac{3}{100}(71,48) = 2,14$
2	9	19	$\bar{x}_2 = \frac{1}{9}(601,14) = 66,79$	$n_2 = \frac{19}{100}(66,79) = 12,69$
3	20	40	$\bar{x}_3 = \frac{1}{20}(1\ 628,91) = 81,45$	$n_3 = \frac{40}{100}(81,45) = 32,58$
4	13	26	$\bar{x}_4 = \frac{1}{13}(1766,07) = 135,85$	$n_4 = \frac{26}{100}(135,85) = 35,32$
5	4	8	$\bar{x}_5 = \frac{1}{4}(368,05) = 92,01$	$n_1 = \frac{3}{100}(71,48) = 2,14$ $n_2 = \frac{19}{100}(66,79) = 12,69$ $n_3 = \frac{40}{100}(81,45) = 32,58$ $n_4 = \frac{26}{100}(135,85) = 35,32$ $n_5 = \frac{8}{100}(92,01) = 7,36$ $n_6 = \frac{4}{100}(145,64) = 5,83$
6	2	4	$\bar{x}_6 = \frac{1}{2}(291,28) = 145,64$	$n_6 = \frac{4}{100}(145,64) = 5,83$
			Intermediate Calculation $\overline{x} = \sum \left( rac{N_h}{N} \cdot \overline{x}_h  ight)$	$\overline{x} = \sum \left( \frac{N_h}{N} \cdot \overline{x}_h \right) = 95,92 \ kWh$
			Mobile Network Energy Consumption	$EC_{network,\overline{x}} = N \cdot \overline{x}$
			Estimate	$EC_{network,\overline{x}} = 100 \cdot (95, 92  kWh)$
			$EC_{network,\overline{x}} = N \cdot \overline{x}$	$EC_{network,\overline{x}} = 9 592  kWh$

# A.3.10 Calculate the Margin of Error of the Mobile Network Energy Consumption Estimate

#### Method 1: If some stratum have sample sizes $(n_h)$ of less than 6

Since there are individual stratum which have sample sizes  $(n_h)$  of less than 6, the standard deviation of the measured sites should be calculated as if the sites had been randomly selected from the mobile network as a whole, rather than from individual stratums. From the individual site measurements across all stratum, a spreadsheet's standard deviation function is used to determine that:

$$s = 42,85 \, kWh$$

Then the margin of error is calculated as:

$$\begin{aligned} \textit{Margin of Error}_{\textit{Num}} = \ \textit{N} \cdot t_{n-1} \cdot \frac{\textit{s}}{\sqrt{n}} \cdot \sqrt{\frac{\textit{N}-\textit{n}}{\textit{N}-1}} \\ \\ \textit{Margin of Error}_{\textit{Num}} = \ 100 \cdot (2{,}010) \cdot \frac{42{,}85}{\sqrt{50}} \cdot \sqrt{\frac{100-50}{100-1}} \end{aligned}$$

 $Margin\ of\ Error_{Num}=865,62\ kWh$ 

As a percentage, the margin of error is calculated as follows:

$$\begin{aligned} \textit{Margin of Error}_{\%} &= \frac{\textit{Margin of Error}_{\textit{Num}}}{\textit{N} \cdot \bar{x}} \cdot 100 \\ \\ \textit{Margin of Error}_{\%} &= \frac{865,62 \, kWh}{9 \, 592 \, kWh} \cdot 100 \\ \\ \textit{Margin of Error}_{\%} &= 9,02 \, \% \end{aligned}$$

It is interesting to note that when the stratum sample sizes are very small, and Method 1 is used as a consequence, the margin of error value may not improve very much over the basic estimation method.

#### Method 2: If all stratum have sample sizes $(n_h)$ of 6 or more

Purely as a demonstration however, the standard deviation of the measured sites, calculated on a per stratum basis and then combined, is shown below. In other words, if all of the individual stratum had sample sizes  $(n_h)$  of at least 6, then the following calculation procedure would be used:

Calculate the site energy consumption standard deviation  $(s_h)$  for each stratum sample, using the following formula or a spreadsheet's built-in formula for the standard deviation of a sample:

$$s_h = \sqrt{\frac{\sum_{i=0}^{n_h} (EC_{site\ i} - \bar{x}_h)^2}{n_h - 1}}$$

2) Calculate the standard deviation (s) for the overall mobile network energy consumption sample estimate:

$$s = \frac{1}{N} \cdot \sqrt{\sum \left[ N_h^2 \cdot \left( 1 - \frac{n_h}{N_h} \right) \cdot \left( \frac{s_h^2}{n_h} \right) \right]}$$

Table A.4 summarizes the application of the above equations.

Table A.4: Example Calculation of the Mobile Network Energy Consumption Standard Deviation From Stratum Measurements

Stratum Info				
Stratum Number (h)	Stratum Sample Size $(n_h)$	Stratum Population Size (N _h )	Standard Deviation of Stratum Sample $s_h = \sqrt{\frac{\sum_{i=0}^{n_h}(EC_{site~i} - \overline{x}_h)^2}{n_h - 1}}$	Intermediate Calculation ${N_h}^2 \cdot \left(1 - \frac{n_h}{N_h}\right) \cdot \left(\frac{{s_h}^2}{n_h}\right)$
1	2	3	$s_1 = 29,42$	$3^2 \cdot \left(1 - \frac{2}{3}\right) \cdot \left(\frac{29,42^2}{2}\right) = 1\ 298,3$
2	9	19	$s_2 = 30,76$	$19^2 \cdot \left(1 - \frac{9}{19}\right) \cdot \left(\frac{30, 76^2}{9}\right) = 117, 1$
3	20	40	$s_3 = 29,16$	$40^2 \cdot \left(1 - \frac{20}{40}\right) \cdot \left(\frac{29, 16^2}{20}\right) = 34\ 012, 2$
4	13	26	$s_4 = 46,77$	$26^{2} \cdot \left(1 - \frac{13}{26}\right) \cdot \left(\frac{46,77^{2}}{13}\right) = 56873,3$
5	4	8	$s_5 = 7,66$	$8^2 \cdot \left(1 - \frac{4}{8}\right) \cdot \left(\frac{7,66^2}{4}\right) = 469,4$
6	2	4	$s_6 = 14,14$	$4^2 \cdot \left(1 - \frac{2}{4}\right) \cdot \left(\frac{14, 14^2}{2}\right) = 799, 8$
		,	etwork Energy Consumption Standard Deviation $\sqrt{\sum \left[N_h^2 \cdot \left(1 - \frac{n_h}{N_h}\right) \cdot \left(\frac{s_h^2}{n_h}\right)\right]}$	$s = \frac{1}{100} \cdot \sqrt{9\ 3570,1} = 3,1$

3) Calculate the margin of error for the mobile network energy consumption estimate:

$$\begin{aligned} \textit{Margin of Error}_{\textit{Num}} &= \ \textit{N} \cdot \textit{t}_{n-1} \cdot \textit{s} \cdot \sqrt{\frac{N-n}{N-1}} \\ \textit{Margin of Error}_{\textit{Num}} &= \ 100 \cdot (2{,}010) \cdot (3{,}1) \cdot \sqrt{\frac{100-50}{100-1}} \\ \textit{Margin of Error}_{\textit{Num}} &= \ 442{,}8 \, kWh \end{aligned}$$

As a percentage, the margin of error equation is expressed as follows:

Margin of 
$$Error_{\%} = \frac{Margin \ of \ Error_{Num}}{N \cdot \bar{x}} \cdot 100$$

Margin of  $Error_{\%} = \frac{442,8 \ kWh}{100 \cdot (95,92)} \cdot 100$ 

Margin of  $Error_{\%} = 4,6 \%$ 

# A.3.11 State the Mobile Network Energy Consumption Estimate

The resulting mobile network energy consumption estimate is stated as:

The 95 % confidence interval for the mobile network energy consumption, over a period of 24 hours is  $9592 \pm 4.6$  % kWh

# Annex B: Bibliography

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# History

Document history				
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