Smart Cards;
Technical Report to improve test equipment integrity;
(Release Independent)
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Foreword

This Technical Report (TR) has been produced by ETSI Technical Committee Smart Card Platform (SCP).

The contents of the present document are subject to continuing work within TC SCP and may change following formal TC SCP approval. If TC SCP decides to modify the contents of the present document, it will be re-released by TC SCP with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

x: the first digit:
   1 presented to TC SCP for information;
   2 presented to TC SCP for approval;
   3 or greater indicates TC SCP approved document under change control.

y: the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.

z: the third digit is incremented when editorial only changes have been incorporated in the document.

The aim was to develop a technical report (TR) that defines how to optimally set up the test environment to execute test case implementations based on ETSI TC SCP test specifications.

Modal verbs terminology

In the present document "shall", "shall not", "should", "should not", "may", "need not", "will", "will not", "can" and "cannot" are to be interpreted as described in clause 3.2 of the ETSI Drafting Rules (Verbal forms for the expression of provisions).

"must" and "must not" are NOT allowed in ETSI deliverables except when used in direct citation.
1 Scope

The present document provides how to optimally set up the test environment to execute test case implementations based on ETSI TC SCP test specifications.

This includes (but is not limited to):

- Derived guidelines to set up a test environment;
- Test equipment behaviour in case of additional activity triggered by test sequences;
- Optimization of the test environment;
  - Noise issues when using the Single Wire Protocol;
  - Limiting the noise impacts;
- Checking the integrity of the test environment;
- Example of a Test Case for Integrity check.

The targeted audience for the present document is users of test case implementations based on ETSI TC SCP test specifications as well as test equipment manufacture.

2 References

2.1 Normative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the reference document (including any amendments) applies.

Referenced documents which are not found to be publicly available in the expected location might be found at http://docbox.etsi.org/Reference.

- In the case of a reference to a TC SCP document, a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.

NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

The following referenced documents are necessary for the application of the present document.

Not applicable.

2.2 Informative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the reference document (including any amendments) applies.

NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

[i.1] ETSI TS 102 613: "Smart Cards; UICC - Contactless Front-end (CLF) Interface; Part 1: Physical and data link layer characteristics".

[i.2] ETSI TS 102 694-1: "Smart Cards; Test specification for the Single Wire Protocol (SWP) interface; Part 1: Terminal features".
3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

**card emulation mode**: mode where the UICC emulates a contactless card through the CLF

**class A operating conditions**: terminal or a smart card operating at 5 V ± 10 %

**class B operating conditions**: terminal or a smart card operating at 3 V ± 10 %

**class C operating conditions**: terminal or a smart card operating at 1.8 V ± 10 %

**contactless frontend**: circuitry in the terminal which:

- handles the analogue part of the contactless communication;
- handles communication protocol layers of the contactless transmission link;
- exchanges data with the UICC.

**full duplex**: simultaneous bidirectional data flow

**half duplex**: sequential bidirectional data flow

**idle bit**: bit with logical value 0 sent outside a frame

**master**: entity which provides the S1 signal

**reader mode**: mode where the UICC act as a contactless reader through the CLF

**state H**: high electrical level of a signal (voltage or current)

**state L**: low electrical level of a signal (voltage or current)

**S1**: signal from the master to a slave

**S2**: signal from the slave to the master

**slave**: entity which is connected to the master and provides the S2 signal

**transition sequence**: signal sent by the master during **RESUME**, consisting of the falling edge, the state L period and the rising edge of an idle bit

**ETSI TS 102 221 [i.3] interface**: asynchronous serial UICC-Terminal interface defined in ETSI TS 102 221 [i.3], using RST on contact C2, CLK on contact C3 and I/O on contact C7

**UICC powering modes**:

- **full power mode**: The UICC is powered according to ETSI TS 102 221 [i.3] limitations in operating state.
- **low power mode**: The UICC is running in a reduced power mode as defined in the present specification.

3.2 Symbols

For the purposes of the present document, the following symbols apply:

- Gnd: Ground
- \(^{1}\text{H}\): Current signalling state H of S2
3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

- ACT: ACTivation protocol
- CLF: ContactLess Frontend
- CLK: CLocK
- DUT: Device Under Test
- FSC: Frame Size for proximity Card
- HDLC: High level Data Link Control
- I/O: Input/Output
- ISO: International Organization for Standardization
- NFCIP-1: Near Field Communication - Interface and Protocol
- PCD: Proximity Coupling Device
- RF: Radio Frequency
- RST: ReSeT
- SHDLC: Simplified High Level Data Link Control
- SWIO: Single Wire protocol Input/Output
- SWP: Single Wire Protocol
- USB: Universal Serial Bus

4 Optimization of the test environment for terminal testing using the Single Wire Protocol

4.1 Noise issues when using the Single Wire Protocol

As a reminder, here is the description of the principle of the Single Wire Protocol.
The principle of the Single Wire Protocol is based on the transmission of digital information in full duplex mode:

- The signal S1 is transmitted by a digital modulation (L or H) in the voltage domain.
- The signal S2 is transmitted by a digital modulation (L or H) in the current domain.

Since S2 is in the current domain, the immunity to noise is unfortunately quite low.

There are indeed several contributors to the current carried over SWIO (called $I_{TOT}$):

1) Obviously S2 signal, intentionally generated by the UICC;

2) $I_{CAP,GND}$, which is the current necessary to charge (discharge) the SWIO line parasitic capacitance to ground ($C_{PAR,GND}$ as drawn on figure 4.1): indeed, $C_{PAR,GND}$ has to be charged (discharged) each time S1 presents a rising (falling) edge. This noise is therefore synchronous with S1 and can then be removed from $I_{TOT}$ by the CLF which knows when these edges take place;

3) $I_{CAP,ADJ}$, which is the current necessary to charge (discharge) the SWIO line parasitic capacitance to a signal adjacent to SWIO ($C_{PAR,ADJ}$ as drawn on figure 4.1): unlike $I_{CAP,GND}$, $I_{CAP,ADJ}$ is only partially synchronous with S1 transitions: in addition to the current required to charge $I_{CAP,ADJ}$ when there is an edge on S1, there are additional parasitic current pulses on $I_{CAP,ADJ}$ created by the transition which occur on the adjacent signal and which are very likely asynchronous with S1 transitions. These asynchronous pulses are more difficult to filter for the CLF;

4) $I_{IND-COUP}$, which can occur when there is an inductive coupling between the SWIO line and a magnetic field, such as the 13,56 MHz RF field used in contactless applications. Since SWP is always used in conjunction with a contactless interface, special attention has to be paid on this parasitic coupling;

5) $I_{EMI}$, which represents any other current noise due to EMIs (Electro-Magnetic Interferences).

So the current seen by the CLF is the sum of all these currents:

$$I_{TOT} = S2 + I_{CAP,GND} + I_{CAP,ADJ} + I_{IND-COUP} + I_{EMI}$$

The 4 last contributors ($I_{CAP,GND}$, $I_{CAP,ADJ}$, $I_{IND-COUP}$ & $I_{EMI}$) have to be minimized such that the CLF only measures S2.
4.2 Limiting the noise impacts

Looking at these parasitic contributors in more details, it can be said that:

1) To limit the value of ICAP-GND & ICAP-ADJ, the values of CPAR-GND and CPAR-ADJ have to be minimized. This is achieved by limiting the length of the wire (cable) between the CLF and the UICC. It is commonly advised to limit the SWIO track on PCBs to less than 20cm. In a test environment, the cable length should also be limited to a few decimetres.

   The CLF implements a noise filtering scheme, to remove the current noise present at S1 rise & fall time, but this filtering is limited when the bit period (T) is short: there is not much time to filter current spikes in 590ns, which is the minimum T value specified in ETSI TS 102 613 [i.1]. So, there is a compromise to find between the wire (cable) length and the bit rate used on SWIO: the longer the cable, the lower the bit rate to keep an error-free decoding of S2 in the CLF. In a test environment, it is usually not possible to change the bit rate used by the DUT, so the cable length has to be adapted.

2) To limit the impact of ICAP-ADJ, it is necessary to keep any adjacent track/cable as far as possible from the SWIO track/cable, assuming that this track/cable carries a dynamic signal with voltage transitions.

3) To limit the impact of IIND-COUP, it is necessary to keep any magnetic field away from SWIO, using ferrite to shield SWIO if the field emitter is too close to it.

4) To limit the impact of IEMI, it is necessary to use appropriate shielding, depending on the SWIO line environment.

4.3 Derived guidelines to set up a test environment

Consequence for the test environment:

1) The length of the cable carrying SWIO between the DUT and the test tool has to be minimized (a few decimetres).

2) This cable has to be kept away from the contactless RF Field (13.56 MHz).

3) This cable also has to be kept away from the cable connecting the contactless antenna.

4) In this cable, the SWIO line has to be shielded from the other carried signals (CLK, I/O, RESET).

These guidelines are summarized in figure 4.2 and 4.3.
Figure 4.2: Typical test set up description

Figure 4.3: Guidelines for the test set up
4.4 Checking the integrity of the test environment

4.4.1 Objective

ETSI TS 102 694-1 [i.2] defines a set of test cases which can be used in the scope of terminal certification. It is therefore very critical to set up the test environment in such a way that the fails reported are only due to the terminal and not to the test environment. That implies that the guidelines described previously are carefully followed.

Before starting to run the certification tests, it is necessary to have a mean to state if the test environment is properly set up i.e. if its integrity is sufficient to deliver reliable results.

Clause 4.4.2 proposes an example of a dedicated test case, which is not a conformance test case, but can be used to assess if the test environment has been properly set up and if it is ready to be used for certification.

4.4.2 Example of a Test Case for Integrity check:

4.4.2.1 Test execution

Run this test procedure for:

- One of the supported card emulation type (A or B).
- Number of Bytes transferred over RF equal to the Frame size used by the CLF in Card Emulation for technology A or B (FSC parameter, as defined in ISO/IEC 14443-4 [i.4] and specified by the DUT vendor).
- 100 times, to check that the test environment is error-free.

4.4.2.2 Initial conditions

- SHDLC link is established.
- SHDLC link is idle, i.e. no further communication is expected.

4.4.2.3 Test procedure

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<tr>
<th>Step</th>
<th>Direction</th>
<th>Description</th>
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<tbody>
<tr>
<td>1</td>
<td>PCD → T</td>
<td>Send 1 RF frame (I-Block)</td>
</tr>
<tr>
<td>2</td>
<td>T → UICC</td>
<td>Forward the data payload extracted from the I-Block received on RF</td>
</tr>
<tr>
<td></td>
<td>T → PCD</td>
<td>Acknowledge the received RF frame</td>
</tr>
<tr>
<td>3</td>
<td>UICC → T</td>
<td>Send chained I-Frames, transporting the RF data to be sent to the PCD, as defined in the execution clause</td>
</tr>
<tr>
<td>4</td>
<td>T → PCD</td>
<td>Forward the I-Block(s) defragmented from the I-Frames received on SWP from the UICC</td>
</tr>
<tr>
<td>5</td>
<td>PCD → T</td>
<td>Acknowledge the received RF frame</td>
</tr>
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The test is considered as PASS when there is no error detected in steps 2 & 4 on the data transmitted by the terminal either over SWP or on RF.
Annex A: Change History

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<td>October 2015</td>
<td>V1.0.0</td>
<td>Initial publication of the technical report.</td>
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Annex B:
Bibliography

- ISO/IEC 14443-2: "Identification cards - Contactless integrated circuit(s) cards - Proximity cards - Part 2: Radio frequency power and signal interface".
- ISO/IEC 14443-3: "Identification cards - Contactless integrated circuit(s) cards - Proximity cards - Part 3: Initialization and anticollision".
- ISO/IEC 13239: "Information technology - Telecommunications and information exchange between systems - High-level data link control (HDLC) procedures".
- ETSI TS 102 600: "Smart Cards; UICC-Terminal interface; Characteristics of the USB interface".
- ISO/IEC 18092: "Information technology - Telecommunications and information exchange between systems - Near Field Communication - Interface and Protocol (NFCIP-1)".
## History

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