

# ETSI EN 301 908-13 V5.2.1 (2011-05)

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*Harmonized European Standard*

**IMT cellular networks;  
Harmonized EN covering the essential requirements  
of article 3.2 of the R&TTE Directive;  
Part 13: Evolved Universal Terrestrial Radio Access (E-UTRA)  
User Equipment (UE)**

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Reference

REN/MSG-TFES-008-13

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Keywords

3G, 3GPP, cellular, digital, E-UTRA, IMT, IMT-2000, LTE, mobile, radio, regulation, UMTS

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## Foreword

This Harmonized European Standard (EN) has been produced by ETSI Technical Committee Mobile Standards Group (MSG).

The present document has been produced by ETSI in response to mandate M/284 from the European Commission issued under Council Directive 98/34/EC [i.1] (as amended) laying down a procedure for the provision of information in the field of technical standards and regulations.

The title and reference to the present document are intended to be included in the publication in the Official Journal of the European Union of titles and references of Harmonized Standard under the Directive 1999/5/EC [i.2].

See article 5.1 of Directive 1999/5/EC [i.2] for information on presumption of conformity and Harmonised Standards or parts thereof the references of which have been published in the Official Journal of the European Union.

The requirements relevant to Directive 1999/5/EC [i.2] are summarised in annex A.

The present document is part 13 of a multi-part deliverable covering the essential requirements under article 3.2 of Directive 1999/5/EC [i.2] (R&TTE Directive) for Base Stations (BS), Repeaters and User Equipment (UE) for IMT cellular networks, as identified below:

- Part 1: "Introduction and common requirements";
- Part 2: "CDMA Direct Spread (UTRA FDD) User Equipment (UE)";
- Part 3: "CDMA Direct Spread (UTRA FDD) Base Stations (BS)";
- Part 4: "CDMA Multi-Carrier (cdma2000) User Equipment (UE)";
- Part 5: "CDMA Multi-Carrier (cdma2000) Base Stations (BS)";
- Part 6: "CDMA TDD (UTRA TDD) User Equipment (UE)";
- Part 7: "CDMA TDD (UTRA TDD) Base Stations (BS)";
- Part 8: "Harmonized EN for IMT-2000, TDMA Single-Carrier (UWC 136) (UE) covering essential requirements of article 3.2 of the R&TTE Directive";
- Part 9: "Harmonized EN for IMT-2000, TDMA Single-Carrier (UWC 136) (BS) covering essential requirements of article 3.2 of the R&TTE Directive";
- Part 10: "Harmonized EN for IMT-2000, FDMA/TDMA (DECT) covering essential requirements of article 3.2 of the R&TTE Directive";
- Part 11: "CDMA Direct Spread (UTRA FDD) (Repeaters)";
- Part 12: "Harmonized EN for IMT-2000, CDMA Multi-Carrier (cdma2000) (Repeaters) covering the essential requirements of article 3.2 of the R&TTE Directive";
- Part 13: "Evolved Universal Terrestrial Radio Access (E-UTRA) User Equipment (UE)";**

- Part 14: "Evolved Universal Terrestrial Radio Access (E-UTRA) Base Stations (BS)";
- Part 15: "Evolved Universal Terrestrial Radio Access (E-UTRA) (FDD Repeaters)";
- Part 16: "Harmonized EN for IMT-2000, Evolved CDMA Multi-Carrier Ultra Mobile Broadband (UMB) (UE) covering the essential requirements of article 3.2 of the R&TTE Directive";
- Part 17: "Harmonized EN for IMT-2000, Evolved CDMA Multi-Carrier Ultra Mobile Broadband (UMB) (BS) covering the essential requirements of article 3.2 of the R&TTE Directive";
- Part 18: "E-UTRA, UTRA and GSM/EDGE Multi-Standard Radio (MSR) Base Station (BS)";
- Part 19: "OFDMA TDD WMAN (Mobile WiMAX) TDD User Equipment (UE)";
- Part 20: "OFDMA TDD WMAN (Mobile WiMAX) TDD Base Station (BS)";
- Part 21: "OFDMA TDD WMAN (Mobile WiMAX) FDD User Equipment (UE)";
- Part 22: "OFDMA TDD WMAN (Mobile WiMAX) FDD Base Stations (BS)".

| <b>National transposition dates</b>  |                 |
|--|-----------------|
| Date of adoption of this EN:   | 12 April 2011   |
| Date of latest announcement of this EN (doa):  | 31 July 2011    |
| Date of latest publication of new National Standard or endorsement of this EN (dop/e): | 31 January 2012 |
| Date of withdrawal of any conflicting National Standard (dow):                         | 31 January 2013 |

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## Introduction

The present document is part of a set of standards developed by ETSI and is designed to fit in a modular structure to cover all radio and telecommunications terminal equipment within the scope of the R&TTE Directive [i.2]. The modular structure is shown in EG 201 399 [i.3].

# 1 Scope

The present document applies to the following radio equipment type:

- User Equipment for Evolved Universal Terrestrial Radio Access (E-UTRA).

This radio equipment type is capable of operating in all or any part of the frequency bands given in table 1-1.

**Table 1-1: E-UTRA UE operating bands**

| E-UTRA Band | Direction of transmission | E-UTRA operating bands |
|-------------|---------------------------|------------------------|
| 1           | Transmit                  | 1 920 MHz to 1 980 MHz |
|             | Receive                   | 2 110 MHz to 2 170 MHz |
| 3           | Transmit                  | 1 710 MHz to 1 785 MHz |
|             | Receive                   | 1 805 MHz to 1 880 MHz |
| 7           | Transmit                  | 2 500 MHz to 2 570 MHz |
|             | Receive                   | 2 620 MHz to 2 690 MHz |
| 8           | Transmit                  | 880 MHz to 915 MHz     |
|             | Receive                   | 925 MHz to 960 MHz     |
| 20          | Transmit                  | 832 MHz to 862 MHz     |
|             | Receive                   | 791 MHz to 821 MHz     |
| 33          | Transmit and Receive      | 1 900 MHz to 1 920 MHz |
| 34          | Transmit and Receive      | 2 010 MHz to 2 025 MHz |
| 38          | Transmit and Receive      | 2 570 MHz to 2 620 MHz |

The present document is intended to cover the provisions of Directive 1999/5/EC [i.2] (R&TTE Directive), article 3.2, which states that "... radio equipment shall be so constructed that it effectively uses the spectrum allocated to terrestrial/space radio communications and orbital resources so as to avoid harmful interference".

In addition to the present document, other ENs that specify technical requirements in respect of essential requirements under other parts of article 3 of the R&TTE Directive [i.2] may apply to equipment within the scope of the present document.

NOTE: A list of such ENs is included on the web site <http://www.newapproach.org>.

## 2 References

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the reference document (including any amendments) applies.

Referenced documents which are not found to be publicly available in the expected location might be found at <http://docbox.etsi.org/Reference>.

NOTE: While any hyperlinks included in this clause were valid at the time of publication ETSI cannot guarantee their long term validity.

### 2.1 Normative references

The following referenced documents are necessary for the application of the present document.

- [1] ETSI TS 136 521-1 (V9.3.0): "LTE; Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) conformance specification; Radio transmission and reception; Part 1: Conformance testing (3GPP TS 36.521-1 version 9.3.0 Release 9)".
- [2] ETSI TS 136 508 (V9.3.0): "LTE; Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Packet Core (EPC); Common test environments for User Equipment (UE) conformance testing (3GPP TS 36.508 version 9.3.0 Release 9)".



- [3] ETSI TS 136 509 (V9.3.0): "LTE; Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Packet Core (EPC); Special conformance testing functions for User Equipment (UE) (3GPP TS 36.509 version 9.3.0 Release 9)".
- [4] ETSI TS 136 101 (V9.5.0): "LTE; Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio transmission and reception (3GPP TS 36.101 version 9.5.0 Release 9)".
- [5] ETSI EN 301 908-1 (V5.2.1): "IMT cellular networks; Harmonized EN covering the essential requirements of article 3.2 of the R&TTE Directive; Part 1: Introduction and common requirements".
- [6] Void.
- [7] ETSI EN 301 908-2 (V5.2.1): "IMT cellular networks; Harmonized EN covering the essential requirements of article 3.2 of the R&TTE Directive; Part 2: CDMA Direct Spread (UTRA FDD) User Equipment (UE)".
- [8] IEC 60068-2-1 (2007): "Environmental testing - Part 2-1: Tests - Test A: Cold".
- [9] IEC 60068-2-2 (2007): "Environmental testing - Part 2-2: Tests - Test B: Dry heat".

## 2.2 Informative references

The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

- [i.1] Directive 98/34/EC of the European Parliament and of the Council of 22 June 1998 laying down a procedure for the provision of information in the field of technical standards and regulations.
- [i.2] Directive 1999/5/EC of the European Parliament and of the Council of 9 March 1999 on radio equipment and telecommunications terminal equipment and the mutual recognition of their conformity (R&TTE Directive).
- [i.3] ETSI EG 201 399: "Electromagnetic compatibility and Radio spectrum Matters (ERM); A guide to the production of Harmonized Standards for application under the R&TTE Directive".
- [i.4] ETSI TR 102 215 (V1.3.1): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Recommended approach, and possible limits for measurement uncertainty for the measurement of radiated electromagnetic fields above 1 GHz".
- [i.5] ITU-R Recommendation SM.329-10 (2003): "Unwanted emissions in the spurious domain".
- [i.6] ETSI TR 100 028 (all parts) (V1.4.1): "Electromagnetic compatibility and Radio spectrum Matters (ERM); Uncertainties in the measurement of mobile radio equipment characteristics".

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## 3 Definitions, symbols and abbreviations

### 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

**channel bandwidth:** RF bandwidth supporting a single E-UTRA RF carrier with the transmission bandwidth configured in the uplink or downlink of a cell

NOTE: The channel bandwidth is measured in MHz and is used as a reference for transmitter and receiver RF requirements.

**channel edge:** lowest and highest frequency of the carrier, separated by the channel bandwidth

**Maximum Output Power (MOP):** mean power level per carrier of UE measured at the antenna connector in a specified reference condition

**mean power:** when applied to E-UTRA transmission this is the power measured in the operating system bandwidth of the carrier

NOTE: The period of measurement is assumed to be at least one subframe (1 ms) unless otherwise stated.

**occupied bandwidth:** width of a frequency band such that, below the lower and above the upper frequency limits, the mean powers emitted are each equal to a specified percentage  $\beta/2$  of the total mean power of a given emission

**operating band:** frequency range (paired or unpaired) that is defined with a specific set of technical requirements, in which E-UTRA operates

NOTE: Operating bands for E-UTRA are designated with Arabic numerals, while the corresponding operating bands for UTRA are designated with Roman numerals.

**output power:** mean power of one carrier of the UE, delivered to a load with resistance equal to the nominal load impedance of the transmitter

**reference bandwidth:** bandwidth in which an emission level is specified

**resource block:** physical resource consisting of a number of symbols in the time domain and a number of consecutive subcarriers spanning 180 kHz in the frequency domain

**transmission bandwidth:** bandwidth of an instantaneous transmission from a UE or BS, measured in Resource Block units, see figure 3.1-1

**transmission bandwidth configuration:** highest transmission bandwidth allowed for uplink or downlink in a given channel bandwidth, measured in Resource Block units, see figure 3.1-1

**transmit diversity:** transmit diversity is based on space-frequency block coding techniques complemented with frequency-shift time diversity when four transmit antennas is used

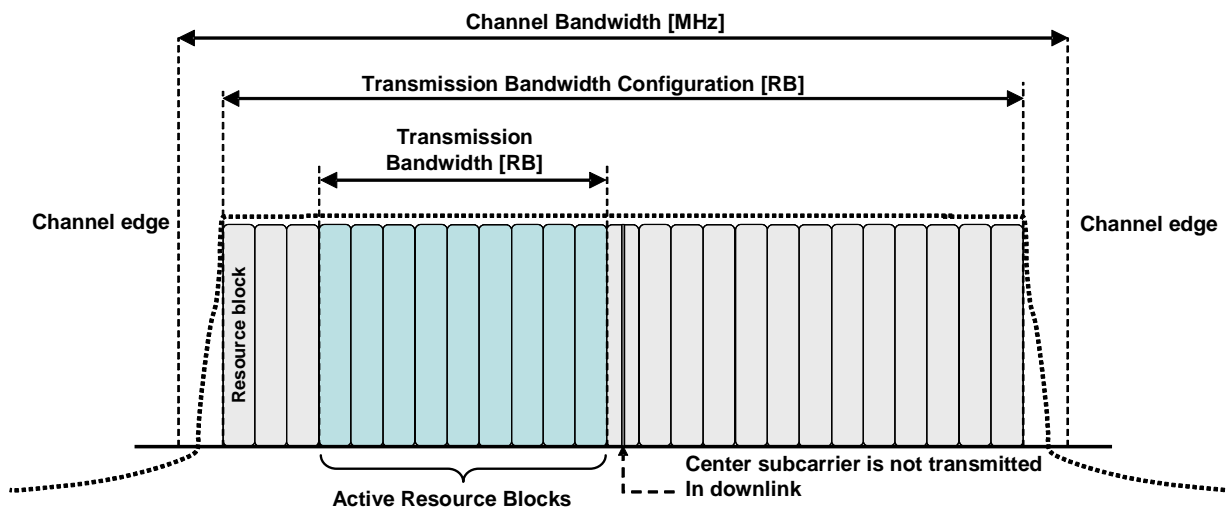


Figure 3.1-1: Definition of Channel Bandwidth and Transmission Bandwidth Configuration for one E-UTRA carrier

## 3.2 Symbols

For the purposes of the present document, the following symbols apply:

|                          |  |
|--------------------------|--|
| $\Delta F_{\text{OOB}}$  | $\Delta$ Frequency of Out-of-band emission |
| $BW_{\text{Channel}}$    | Channel bandwidth                          |
| $BW_{\text{Interferer}}$ | Channel Bandwidth of the interferer        |

|                                  |   |
|----------------------------------|---|
| $E_{RS}$                         | Transmitted energy per RE for reference symbols during the useful part of the symbol, i.e. excluding the cyclic prefix, (average power normalized to the subcarrier spacing) at the eNode B transmit antenna connector  |
| $\hat{E}_s$                      | The received energy per RE during the useful part of the symbol, i.e. excluding the cyclic prefix, averaged across the allocated RB(s) (average power within the allocated RB(s)), divided by the number of RE within this allocation, and normalized to the subcarrier spacing) at the UE antenna connector  |
| F                                | Frequency   |
| $F_{\text{Interferer (offset)}}$ | Frequency offset of the interferer  |
| $F_{\text{Interferer}}$          | Frequency of the interferer   |
| $F_{\text{offset}}$              | Frequency offset of the interferer  |
| $F_C$                            | Frequency of the carrier centre frequency   |
| $F_{\text{DL\_low}}$             | The lowest frequency of the downlink operating band   |
| $F_{\text{DL\_high}}$            | The highest frequency of the downlink operating band  |
| $F_{\text{UL\_low}}$             | The lowest frequency of the uplink operating band   |
| $F_{\text{UL\_high}}$            | The highest frequency of the uplink operating band  |
| $I_o$                            | The power spectral density of the total input signal (power averaged over the useful part of the symbols within the transmission bandwidth configuration, divided by the total number of RE for this configuration and normalized to the subcarrier spacing) at the UE antenna connector, including the own-cell downlink signal or the power spectral density of the total input signal at the UE antenna connector (power averaged over the useful part of the symbols within a given bandwidth and normalized to the said bandwidth), including the own-cell downlink signal |
| $I_{or}$                         | The total transmitted power spectral density of the own-cell downlink signal (power averaged over the useful part of the symbols within the transmission bandwidth configuration, divided by the total number of RE for this configuration and normalized to the subcarrier spacing) at the eNode B transmit antenna connector  |
| $\hat{I}_{or}$                   | The total received power spectral density of the own-cell downlink signal (power averaged over the useful part of the symbols within the transmission bandwidth configuration, divided by the total number of RE for this configuration and normalized to the subcarrier spacing) at the UE antenna connector   |
| $I_{ot}$                         | The received power spectral density of the total noise and interference for a certain RE (average power obtained within the RE and normalized to the subcarrier spacing) as measured at the UE antenna connector  |
| $N_{cp}$                         | Cyclic prefix length  |
| $N_{DL}$                         | Downlink EARFCN   |
| $N_{oc}$                         | The power spectral density of a white noise source (average power per RE normalized to the subcarrier spacing), simulating interference from cells that are not defined in a test procedure, as measured at the UE antenna connector  |
| $N_{\text{Offs-DL}}$             | Offset used for calculating downlink EARFCN   |
| $N_{\text{Offs-UL}}$             | Offset used for calculating uplink EARFCN   |
| $N_{RB}$                         | Transmission bandwidth configuration, expressed in units of resource blocks   |
| $N_{UL}$                         | Uplink EARFCN   |
| $P$                              | Number of cell-specific antenna ports   |
| $p$                              | Antenna port number   |
| $P_{\text{Interferer}}$          | Modulated mean power of the interferer  |
| $P_{\text{UMAX}}$                | Maximum UE Power with possible power reduction due to modulation type, network signalling values and location near the edge of the band   |
| $R_{av}$                         | Minimum average throughput per RB   |

### 3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

|         |  |
|---------|--|
| ACLR    | Adjacent Channel Leakage Ratio                           |
| ACS     | Adjacent Channel Selectivity                             |
| BS      | Base Station   |
| CW      | Continuous Wave  |
| DCI     | Downlink Control Information                             |
| DL      | DownLink   |
| EARFCN  | E-UTRA Absolute Radio Frequency Channel Number           |
| ERM     | Electromagnetic compatibility and Radio spectrum Matters |
| E-UTRA  | Evolved UMTS Terrestrial Radio Access                    |
| FDD     | Frequency Division Duplex                                |
| HARQ    | Hybrid Acknowledge Request                               |
| LTE     | Long Term Evolution                                      |
| MAC     | Medium Access Control                                    |
| MBW     | Measurement BandWidth                                    |
| MOP     | Maximum Output Power                                     |
| MSG     | Mobile Standards Group                                   |
| PDCCH   | Physical Downlink Control CHannel                        |
| RB      | Resource Block   |
| RE      | Resource Element   |
| REFSENS | Reference Sensitivity power level                        |
| RMC     | Reference Measurement Channel                            |
| SS      | System Simulator   |
| TDD     | Time Division Duplex                                     |
| TFES    | Task Force for European Standards for IMT                |
| TH      | Temperature High   |
| TL      | Temperature Low  |
| UE      | User Equipment   |
| UL      | Uplink   |
| UMTS    | Universal Mobile Telecommunications System               |
| UTRA    | UMTS Terrestrial Radio Access                            |
| VH      | Higher extreme Voltage                                   |
| VL      | Lower extreme Voltage                                    |

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## 4 Technical requirements specifications

### 4.1 Environmental profile

The technical requirements of the present document apply under the environmental profile for operation of the equipment, which shall be declared by the supplier. The equipment shall comply with all the technical requirements of the present document at all times when operating within the boundary limits of the declared operational environmental profile.

### 4.2 Conformance requirements

The requirements in the present document are based on the assumption that the operating band (e.g. band 1, 3, 7, 8, 20, 33, 34, and 38) is shared between systems of the IMT-2000 family (for band 3 and 8 also GSM) or systems having compatible characteristics.

## 4.2.1 Introduction

To meet the essential requirement under article 3.2 of Directive 1999/5/EC [i.2] (R&TTE Directive) for IMT User Equipment (UE) seven essential parameters in addition to those in EN 301 908-1 [5] have been identified. Table 4.2.1-1 provides a cross reference between these seven essential parameters and the corresponding nine technical requirements for equipment within the scope of the present document.

**Table 4.2.1-1: Cross references**

| Essential parameter  | Corresponding technical requirements                            |
|--|---|
| Spectrum emissions mask  | 4.2.3 Transmitter Spectrum emissions mask                       |
|  | 4.2.11 Transmitter adjacent channel leakage power ratio         |
| Conducted spurious emissions in active mode  | 4.2.4 Transmitter spurious emissions                            |
| Accuracy of maximum output power   | 4.2.2 Transmitter maximum output power                          |
| Prevention of harmful interference through control of power  | 4.2.5 Transmitter minimum output power                          |
| Conducted spurious emission in idle mode   | 4.2.10 Receiver spurious emissions                              |
| Impact of interference on receiver performance   | 4.2.7 Receiver Blocking characteristics                         |
|  | 4.2.8 Receiver spurious response                                |
|  | 4.2.9 Receiver Intermodulation characteristics                  |
| Receiver adjacent channel selectivity  | 4.2.6 Receiver Adjacent Channel Selectivity (ACS)               |
| Control and Monitoring functions   | EN 301 908-1 [5], clause 4.2.4 Control and Monitoring functions |
| NOTE: Out of synchronization requirement in EN 301 908-2 [7] is not included in the present document due to fact that E-UTRA has network controlled dynamic resource allocation mitigating the risk of interference in out of synchronization situation. |   |

## 4.2.2 Transmitter Maximum Output Power

### 4.2.2.1 Definition

The following UE Power Classes define the maximum output power for any transmission bandwidth within the channel bandwidth. The period of measurement shall be at least one sub-frame (1 ms).

### 4.2.2.2 Limits

The UE maximum output power shall be within the shown value in table 4.2.2.2-1.

**Table 4.2.2.2-1: UE power classes**

| E-UTRA Band  | Power Class 3 (dBm) | Tolerance (dB)    |
|--|---------------------|-------------------|
| 1  | 23                  | ±2,7              |
| 3  | 23                  | ±2,7 (see note 2) |
| 7  | 23                  | ±2,7 (see note 2) |
| 8  | 23                  | ±2,7 (see note 2) |
| 20   | 23                  | ±2,7 (see note 2) |
| 33   | 23                  | ±2,7              |
| 34   | 23                  | ±2,7              |
| 38   | 23                  | ±2,7              |
| NOTE 1: The above tolerances are applicable for UE(s) that support up to 4 E-UTRA operating bands. For UE(s) that support 5 or more E-UTRA bands the maximum output power is expected to decrease with each additional band.   |                     |                   |
| NOTE 2: For transmission bandwidths (TS 136 521-1 [1], clause 5) confined within $F_{UL\_low}$ and $F_{UL\_low} + 4$ MHz or $F_{UL\_high} - 4$ MHz and $F_{UL\_high}$ , the maximum output power requirement is relaxed by reducing the lower tolerance limit by 1,5 dB (tolerance = +2,7/-4,2). |                     |                   |

NOTE: These requirements do not take into account the maximum power reductions allowed to the UE in subject to certain transmission conditions specified in TS 136 101 [4], clauses 6.2.3 and 6.2.4.

#### 4.2.2.3 Conformance

Conformance tests described in clause 5.3.1 shall be carried out.

### 4.2.3 Transmitter Spectrum Emission Mask

#### 4.2.3.1 Definition

The spectrum emission mask of the UE applies to frequencies ( $\Delta f_{\text{OOB}}$ ) starting from the  $\pm$  edge of the assigned E-UTRA channel bandwidth.

#### 4.2.3.2 Limits

The power of any UE emission shall fulfil requirements in table 4.2.3.2-1.

**Table 4.2.3.2-1: General E-UTRA spectrum emission mask**

| $\Delta f_{\text{OOB}}$ (MHz) | Spectrum emission limit (dBm)/Channel bandwidth |         |       |        |        |        |                       |
|-------------------------------|---|---------|-------|--------|--------|--------|-----------------------|
|                               | 1,4 MHz   | 3,0 MHz | 5 MHz | 10 MHz | 15 MHz | 20 MHz | Measurement bandwidth |
| $\pm 0$ to 1                  | -8,5  | -11,5   | -13,5 | -16,5  | -18,5  | -19,5  | 30 kHz                |
| $\pm 1$ to 2,5                | -8,5  | -8,5    | -8,5  | -8,5   | -8,5   | -8,5   | 1 MHz                 |
| $\pm 2,5$ to 2,8              | -23,5   | -8,5    | -8,5  | -8,5   | -8,5   | -8,5   | 1 MHz                 |
| $\pm 2,8$ to 5                |   | -8,5    | -8,5  | -8,5   | -8,5   | -8,5   | 1 MHz                 |
| $\pm 5$ to 6                  |   | -23,5   | -11,5 | -11,5  | -11,5  | -11,5  | 1 MHz                 |
| $\pm 6$ to 10                 |   |         | -23,5 | -11,5  | -11,5  | -11,5  | 1 MHz                 |
| $\pm 10$ to 15                |   |         |       | -23,5  | -11,5  | -11,5  | 1 MHz                 |
| $\pm 15$ to 20                |   |         |       |        | -23,5  | -11,5  | 1 MHz                 |
| $\pm 20$ to 25                |   |         |       |        |        | -23,5  | 1 MHz                 |

NOTE 1: The first and last measurement position with a 30 kHz filter is at  $\Delta f_{\text{OOB}}$  equals to 0,015 MHz and 0,985 MHz.

NOTE 2: The first and last measurement position with a 1 MHz filter for 1 MHz - 2,5 MHz offset range is at  $\Delta f_{\text{OOB}}$  equals to 1,5 MHz and 2,0 MHz. Similarly for other  $\Delta f_{\text{OOB}}$  ranges.

NOTE 3: The measurements are to be performed above the upper edge of the channel and below the lower edge of the channel.

NOTE 4: For the 2,5 MHz - 2,8 MHz offset range with 1,4 MHz channel bandwidth, the measurement position is at  $\Delta f_{\text{OOB}}$  equals to 3 MHz.

#### 4.2.3.3 Conformance

Conformance tests described in clause 5.3.2 shall be carried out.

### 4.2.4 Transmitter Spurious Emissions

#### 4.2.4.1 Definition

Spurious emissions are emissions which are caused by unwanted transmitter effects such as harmonics emission, parasitic emissions, intermodulation products and frequency conversion products, but exclude out-of-band emissions. The spurious emission limits are specified in terms of general requirements in line with ITU-R Recommendation SM.329-10 [i.5] and E-UTRA operating band requirement to address UE co-existence.

#### 4.2.4.2 Limits

The spurious emission limits in table 4.2.4.2-2 apply for the frequency ranges that are more than  $\Delta f_{\text{OOB}}$  (MHz) from the edge of the channel bandwidth shown in table 4.2.4.2-1.

The measured average power of spurious emission for general requirements shall not exceed the described values in table 4.2.4.2-2.

The measured average power of spurious emission for E-UTRA operating band specific requirements to protected bands shall not exceed the described values in tables 4.2.4.2-3 and 4.2.4.2-4.

**Table 4.2.4.2-1:  $\Delta f_{\text{OOB}}$  boundary between E-UTRA channel and spurious emission domain**

| Channel bandwidth             | 1,4 MHz | 3,0 MHz | 5 MHz | 10 MHz | 15 MHz | 20 MHz |
|-------------------------------|---------|---------|-------|--------|--------|--------|
| $\Delta f_{\text{OOB}}$ (MHz) | 2,8     | 6       | 10    | 15     | 20     | 25     |

**Table 4.2.4.2-2: General spurious emissions test requirements**

| Frequency range                              | Maximum level | Measurement bandwidth |
|--|---------------|-----------------------|
| $9 \text{ kHz} \leq f < 150 \text{ kHz}$     | -36 dBm       | 1 kHz                 |
| $150 \text{ kHz} \leq f < 30 \text{ MHz}$    | -36 dBm       | 10 kHz                |
| $30 \text{ MHz} \leq f < 1\,000 \text{ MHz}$ | -36 dBm       | 100 kHz               |
| $1 \text{ GHz} \leq f < 12,75 \text{ GHz}$   | -30 dBm       | 1 MHz                 |

NOTE 1: In order that the measurement of spurious emissions falls within the frequency ranges that are more than  $\Delta f_{\text{OOB}}$  (MHz) from the edge of the channel bandwidth, the minimum offset of the measurement frequency from each edge of the channel should be  $\Delta f_{\text{OOB}} + \text{MBW}/2$ . MBW denotes the measurement bandwidth defined in table 4.2.4.2-2.

The additional requirements in table 4.2.4.2-3 apply for the frequency ranges that are more and less than  $\Delta f_{\text{OOB}}$  (MHz) from the edge of the channel bandwidth shown in table 4.2.4.2-1.

**Table 4.2.4.2-3: Spurious emission band UE co-existence limits**

| E-UTRA Band | Spurious emission                      |                       |   |                       |           | Comment |        |
|-------------|--|-----------------------|---|-----------------------|-----------|---------|--------|
|             | Protected band                         | Frequency range (MHz) |   | Maximum Level (dBm)   | MBW (MHz) |         |        |
| 1           | E-UTRA Band 1, 3, 7, 8, 20, 34, 38     | $F_{\text{DL\_low}}$  | - | $F_{\text{DL\_high}}$ | -50       | 1       |        |
|             | Frequency range                        | 1 900                 | - | 1 915                 | -15,5     | 5       |        |
|             | Frequency range                        | 1 915                 | - | 1 920                 | +1,6      | 5       |        |
| 3           | E-UTRA Band 1, 3, 7, 8, 20, 33, 34, 38 | $F_{\text{DL\_low}}$  | - | $F_{\text{DL\_high}}$ | -50       | 1       |        |
| 7           | E-UTRA Band 1, 3, 7, 8, 20, 33, 34     | $F_{\text{DL\_low}}$  | - | $F_{\text{DL\_high}}$ | -50       | 1       |        |
|             | Frequency range                        | 2 570                 | - | 2 575                 | +1,6      | 5       |        |
|             | Frequency range                        | 2 575                 | - | 2 620                 | -15,5     | 5       |        |
| 8           | E-UTRA Band 1, 8, 20, 33, 34, 38       | $F_{\text{DL\_low}}$  | - | $F_{\text{DL\_high}}$ | -50       | 1       |        |
|             | E-UTRA band 3                          | $F_{\text{DL\_low}}$  | - | $F_{\text{DL\_high}}$ | -50       | 1       | Note 2 |
|             | E-UTRA band 7                          | $F_{\text{DL\_low}}$  | - | $F_{\text{DL\_high}}$ | -50       | 1       | Note 2 |
| 20          | E-UTRA Band 1, 3, 7, 8, 20, 33, 34     | $F_{\text{DL\_low}}$  | - | $F_{\text{DL\_high}}$ | -50       | 1       |        |
|             | E-UTRA Band 38                         | $F_{\text{DL\_low}}$  | - | $F_{\text{DL\_high}}$ | -50       | 1       | Note 2 |
| 33          | E-UTRA Band 1, 3, 7, 8, 20, 34, 38     | $F_{\text{DL\_low}}$  | - | $F_{\text{DL\_high}}$ | -50       | 1       |        |
| 34          | E-UTRA Band 1, 3, 7, 8, 20, 33, 38     | $F_{\text{DL\_low}}$  | - | $F_{\text{DL\_high}}$ | -50       | 1       |        |
| 38          | E-UTRA Band 1,3, 8, 20, 33, 34         | $F_{\text{DL\_low}}$  | - | $F_{\text{DL\_high}}$ | -50       | 1       |        |
|             | Frequency range                        | 2 620                 | - | 2 690                 | -15,5     | 5       |        |

NOTE 1:  $F_{\text{DL\_low}}$  and  $F_{\text{DL\_high}}$  refer to each frequency range of the protected E-UTRA band.

NOTE 2: As exceptions, measurements with a level up to the applicable requirements defined in table 4.2.4.2-2 are permitted for each assigned E-UTRA carrier used in the measurement due to 2<sup>nd</sup> or 3<sup>rd</sup> harmonic spurious emissions. An exception is allowed if there is at least one individual RE within the transmission bandwidth for which the 2<sup>nd</sup> or 3<sup>rd</sup> harmonic, i.e. the frequency equal to two or three times the frequency of that RE, is within the measurement bandwidth.

NOTE 2: For measurement conditions at the edge of each frequency range, the lowest frequency of the measurement position in each frequency range should be set at the lowest boundary of the frequency range plus MBW/2. The highest frequency of the measurement position in each frequency range should be set at the highest boundary of the frequency range minus MBW/2. MBW denotes the measurement bandwidth defined for the protected band.

**Table 4.2.4.2-4: Additional spurious emissions requirements**

| Operating band  | Frequency bandwidth                           | Maximum Level (dBm) | Measurement bandwidth (MHz) |
|---|---|---------------------|-----------------------------|
| 20  | $782 \text{ MHz} \leq f \leq 790 \text{ MHz}$ | -65                 | 8                           |
| NOTE: The measurement position in the frequency range shall be set at the centre of the frequency range at 786 MHz. |   |                     |                             |

#### 4.2.4.3 Conformance

Conformance tests described in clause 5.3.3 shall be carried out.

### 4.2.5 Transmitter Minimum Output Power

#### 4.2.5.1 Definition

The minimum controlled output power of the UE is defined as the broadband transmit power of the UE, i.e. the power in the channel bandwidth for all transmit bandwidth configurations (resource blocks), when the power is set to a minimum value.

#### 4.2.5.2 Limits

The minimum output power measured shall not exceed the values specified in table 4.2.5.2-1.

**Table 4.2.5.2-1: Minimum output power**

|                       | Channel bandwidth / minimum output power / measurement bandwidth |         |         |         |          |        |
|-----------------------|--|---------|---------|---------|----------|--------|
|                       | 1,4 MHz  | 3,0 MHz | 5 MHz   | 10 MHz  | 15 MHz   | 20 MHz |
| Minimum output power  | -39 dBm  |         |         |         |          |        |
| Measurement bandwidth | 1,08 MHz   | 2,7 MHz | 4,5 MHz | 9,0 MHz | 13,5 MHz | 18 MHz |

#### 4.2.5.3 Conformance

Conformance tests described in clause 5.3.4 shall be carried out.

### 4.2.6 Receiver Adjacent Channel Selectivity (ACS)

#### 4.2.6.1 Definition

Adjacent Channel Selectivity (ACS) is a measure of a receiver's ability to receive an E-UTRA signal at its assigned channel frequency in the presence of an adjacent channel signal at a given frequency offset from the centre frequency of the assigned channel. ACS is the ratio of the receive filter attenuation on the assigned channel frequency to the receive filter attenuation on the adjacent channel(s).

#### 4.2.6.2 Limits

The throughput  $R_{av}$  shall be  $\geq 95$  % of the maximum throughput of the reference measurement channels as specified in TS 136 521-1 [1] under the conditions specified in table 4.2.6.2-2, and also under the conditions specified in table 4.2.6.2-3.



Table 4.2.6.2-1: Adjacent channel selectivity

| Rx Parameter | Units | Channel bandwidth |       |       |        |        |        |
|--------------|-------|-------------------|-------|-------|--------|--------|--------|
|              |       | 1,4 MHz           | 3 MHz | 5 MHz | 10 MHz | 15 MHz | 20 MHz |
| ACS          | dB    | 33,0              | 33,0  | 33,0  | 33,0   | 30     | 27     |

Table 4.2.6.2-2: Test parameters for Adjacent channel selectivity, Case 1

| Rx Parameter  | Units | Channel bandwidth |                  |                  |                  |                  |                  |
|---|-------|-------------------|------------------|------------------|------------------|------------------|------------------|
|   |       | 1,4 MHz           | 3 MHz            | 5 MHz            | 10 MHz           | 15 MHz           | 20 MHz           |
| Power in Transmission Bandwidth Configuration   | dBm   | REFSENS + 14 dB   |                  |                  |                  |                  |                  |
| $P_{\text{Interferer}}$   | dBm   | REFSENS +45,5 dB  | REFSENS +45,5 dB | REFSENS +45,5 dB | REFSENS +45,5 dB | REFSENS +42,5 dB | REFSENS +39,5 dB |
| $BW_{\text{Interferer}}$  | MHz   | 1,4               | 3                | 5                | 5                | 5                | 5                |
| $F_{\text{Interferer}}$ (offset)  | MHz   | 1,4025            | 3,0075           | 5,0025           | 7,5075           | 10,0125          | 12,5025          |
| NOTE 1: The transmitter shall be set to 4 dB below $P_{\text{CMAX\_L}}$ at the minimum uplink configuration specified in TS 136 101 [4] (table 7.3.1-2 with $P_{\text{CMAX\_L}}$ as defined in clause 6.2.5). |       |                   |                  |                  |                  |                  |                  |
| NOTE 2: The interferer consists of the Reference measurement channel specified in clause A.3.2 of TS 136 521-1 [1] with set-up according to clause C.3.1 of TS 136 521-1 [1].                                 |       |                   |                  |                  |                  |                  |                  |
| NOTE 3: REFSENS as defined in TS 136 521-1 [1].   |       |                   |                  |                  |                  |                  |                  |

Table 4.2.6.2-3: Test parameters for Adjacent channel selectivity, Case 2

| Rx Parameter   | Units | Channel bandwidth |        |        |        |         |         |
|--|-------|-------------------|--------|--------|--------|---------|---------|
|  |       | 1,4 MHz           | 3 MHz  | 5 MHz  | 10 MHz | 15 MHz  | 20 MHz  |
| Power in Transmission Bandwidth Configuration  | dBm   | -56,5             | -56,5  | -56,5  | -56,5  | -53,5   | -50,5   |
| $P_{\text{Interferer}}$  | dBm   | -25               |        |        |        |         |         |
| $BW_{\text{Interferer}}$   | MHz   | 1,4               | 3      | 5      | 5      | 5       | 5       |
| $F_{\text{Interferer}}$ (offset)   | MHz   | 1,4025            | 3,0075 | 5,0025 | 7,5075 | 10,0125 | 12,5025 |
| NOTE 1: The transmitter shall be set to 24 dB below $P_{\text{CMAX\_L}}$ at the minimum uplink configuration specified in TS 136 101 [4] (table 7.3.1-2 with $P_{\text{CMAX\_L}}$ as defined in clause 6.2.5). |       |                   |        |        |        |         |         |
| NOTE 2: The interferer consists of the Reference measurement channel specified in clause A.3.2 of TS 136 521-1 [1] with set-up according to clause C.3.1 of TS 136 521-1 [1].                                  |       |                   |        |        |        |         |         |

### 4.2.6.3 Conformance

Conformance tests described in clause 5.3.5 shall be carried out.

## 4.2.7 Receiver Blocking Characteristics

### 4.2.7.1 Definition

The blocking characteristic is a measure of the receiver's ability to receive a wanted signal at its assigned channel frequency in the presence of an unwanted interferer on frequencies other than those of the spurious response or the adjacent channels, without this unwanted input signal causing a degradation of the performance of the receiver beyond a specified limit. The blocking performance shall apply at all frequencies except those at which a spurious response occur.

### 4.2.7.2 Limits

With parameters specified in tables 4.2.7.2-1 and 4.2.7.2-2, the throughput shall be  $\geq 95$  % of the maximum throughput of the reference measurement channels as specified in TS 136 521-1 [1].

With parameters specified in tables 4.2.7.2-3 and 4.2.7.2-4, the throughput shall be  $\geq 95$  % of the maximum throughput of the reference measurement channels as specified in TS 136 521-1 [1], except for the spurious response frequencies.

For table 4.2.7.2-4 in frequency range 1, 2 and 3, up to  $\max(24, 6 \cdot \lceil N_{RB} / 6 \rceil)$  exceptions are allowed for spurious response frequencies in each assigned frequency channel when measured using a 1 MHz step size, where  $N_{RB}$  is the number of resource blocks in the downlink transmission bandwidth configuration. For these exceptions the requirements of clause 4.2.8 Spurious response are applicable.

With parameters specified in table 4.2.7.2-5, the throughput shall be  $\geq 95$  % of the maximum throughput of the reference measurement channels as specified in TS 136 521-1 [1].

**Table 4.2.7.2-1: In-band blocking parameters**

| Rx Parameter  | Units | Channel bandwidth                                |        |         |         |         |         |
|---|-------|--|--------|---------|---------|---------|---------|
|   |       | 1,4 MHz  | 3 MHz  | 5 MHz   | 10 MHz  | 15 MHz  | 20 MHz  |
| Power in Transmission Bandwidth Configuration   | dBm   | REFSENS + channel bandwidth specific value below |        |         |         |         |         |
|   |       | 6  | 6      | 6       | 6       | 7       | 9       |
| BW <sub>Interferer</sub>  | MHz   | 1,4  | 3      | 5       | 5       | 5       | 5       |
| F <sub>offset, case 1</sub>   | MHz   | 2,1125   | 4,5075 | 7,5125  | 7,5025  | 7,5075  | 7,5125  |
| F <sub>offset, case 2</sub>   | MHz   | 3,5075   | 7,5075 | 12,5075 | 12,5125 | 12,5025 | 12,5075 |
| NOTE 1: The transmitter shall be set to 4 dB below P <sub>C<sub>MAX,L</sub></sub> at the minimum uplink configuration specified in TS 136 101 [4] (table 7.3.1-2 with P <sub>C<sub>MAX,L</sub></sub> as defined in clause 6.2.5). |       |  |        |         |         |         |         |
| NOTE 2: The interferer consists of the Reference measurement channel specified in clause A.3.2 of TS 136 521-1 [1] with a set-up according to clause C.3.1 of TS 136 521-1 [1].   |       |  |        |         |         |         |         |
| NOTE 3: REFSENS as defined in TS 136 521-1 [1].   |       |  |        |         |         |         |         |

**Table 4.2.7.2-2: In-band blocking**

| E-UTRA band   | Parameter                        | Units | Case 1  | Case 2  |
|---|----------------------------------|-------|---|---|
|   | P <sub>Interferer</sub>          | dBm   | -56   | -44   |
|   | F <sub>Interferer (Offset)</sub> | MHz   | = -BW/2 - F <sub>offset, case 1</sub><br>and<br>= +BW/2 + F <sub>offset, case 1</sub> | $\leq$ -BW/2 - F <sub>offset, case 2</sub><br>and<br>$\geq$ +BW/2 + F <sub>offset, case 2</sub> |
| 1, 3, 7, 8, 20, 33, 34, 38  | F <sub>Interferer</sub>          | MHz   | (note 2)  | F <sub>DL_low</sub> - 15 to<br>F <sub>DL_high</sub> + 15  |
| NOTE 1: For certain bands, the unwanted modulated interfering signal may not fall inside the UE receive band, but within the first 15 MHz below or above the UE receive band.   |                                  |       |   |   |
| NOTE 2: For each carrier frequency the requirement is valid for two frequencies:<br>a) the carrier frequency -BW/2 - F <sub>offset, case 1</sub> ; and<br>b) the carrier frequency + BW/2 + F <sub>offset, case 1</sub> . |                                  |       |   |   |

**Table 4.2.7.2-3: Out-of-band blocking parameters**

| Rx Parameter  | Units | Channel bandwidth                                |       |       |        |        |        |
|---|-------|--|-------|-------|--------|--------|--------|
|   |       | 1,4 MHz  | 3 MHz | 5 MHz | 10 MHz | 15 MHz | 20 MHz |
| Power in Transmission Bandwidth Configuration   | dBm   | REFSENS + channel bandwidth specific value below |       |       |        |        |        |
|   |       | 6  | 6     | 6     | 6      | 7      | 9      |
| NOTE 1: The transmitter shall be set to 4 dB below P <sub>C<sub>MAX,L</sub></sub> at the minimum uplink configuration specified in TS 136 101 [4] (table 7.3.1-2 with P <sub>C<sub>MAX,L</sub></sub> as defined in clause 6.2.5). |       |  |       |       |        |        |        |
| NOTE 2: Reference measurement channel is clause A.3.2 of TS 136 521-1 [1].  |       |  |       |       |        |        |        |
| NOTE 3: REFSENS as defined in TS 136 521-1 [1].   |       |  |       |       |        |        |        |

Table 4.2.7.2-4: Out-of-band blocking

| E-UTRA band                | Parameter                    | Units | Frequency   |   |  |
|----------------------------|------------------------------|-------|---|---|--|
|                            |                              |       | Range 1   | Range 2   | Range 3                                      |
|                            | $P_{\text{Interferer}}$      | dBm   | -44   | -30   | -15  |
| 1, 3, 7, 8, 20, 33, 34, 38 | $F_{\text{Interferer (CW)}}$ | MHz   | $F_{\text{DL\_low}} - 15$ to<br>$F_{\text{DL\_low}} - 60$   | $F_{\text{DL\_low}} - 60$ to<br>$F_{\text{DL\_low}} - 85$   | $F_{\text{DL\_low}} - 85$ to<br>1 MHz        |
|                            |                              |       | $F_{\text{DL\_high}} + 15$ to<br>$F_{\text{DL\_high}} + 60$ | $F_{\text{DL\_high}} + 60$ to<br>$F_{\text{DL\_high}} + 85$ | $F_{\text{DL\_high}} + 85$ to<br>+12 750 MHz |

NOTE: Range 3 shall be tested only with the highest channel bandwidth.

Table 4.2.7.2-5: Narrow-band blocking

| Parameter   | Unit | Channel Bandwidth  |        |        |        |        |         |
|---|------|--|--------|--------|--------|--------|---------|
|   |      | 1,4 MHz  | 3 MHz  | 5 MHz  | 10 MHz | 15 MHz | 20 MHz  |
| $P_w$   | dBm  | $P_{\text{REFSENS}} + \text{channel-bandwidth specific value below}$ |        |        |        |        |         |
|   |      | 22   | 18     | 16     | 13     | 14     | 16      |
| $P_{\text{uw (CW)}}$                                    | dBm  | -55  | -55    | -55    | -55    | -55    | -55     |
| $F_{\text{uw (offset for } \Delta f = 15 \text{ kHz)}}$ | MHz  | 0,9075   | 1,7025 | 2,7075 | 5,2125 | 7,7025 | 10,2075 |

NOTE 1: The transmitter shall be set a 4 dB below  $P_{\text{CMAX\_L}}$  at the minimum uplink configuration specified in TS 136 101 [4] (table 7.3.1-2 with  $P_{\text{CMAX\_L}}$  as defined in clause 6.2.5).  
NOTE 2: Reference measurement channel is in clause A.3.2 of TS 136 521-1 [1].  
NOTE 3: REFSENS as defined in TS 136 521-1 [1].

### 4.2.7.3 Conformance

Conformance tests described in clause 5.3.6 shall be carried out.

## 4.2.8 Receiver Spurious Response

### 4.2.8.1 Definition

Spurious response is a measure of the receiver's ability to receive a wanted signal on its assigned channel frequency without exceeding a given degradation due to the presence of an unwanted CW interfering signal at any other frequency at which a response is obtained i.e. for which the out-of-band blocking limit as specified in table 4.2.7.2-4 is not met.

### 4.2.8.2 Limits

The throughput shall be  $\geq 95$  % of the maximum throughput of the reference measurement channels as specified in TS 136 521-1 [1] with parameters specified in tables 4.2.8.2-1 and 4.2.8.2-2.

Table 4.2.8.2-1: Spurious response parameters

| Rx Parameter                                  | Units | Channel bandwidth  |       |       |        |        |        |
|---|-------|--|-------|-------|--------|--------|--------|
|   |       | 1,4 MHz  | 3 MHz | 5 MHz | 10 MHz | 15 MHz | 20 MHz |
| Power in Transmission Bandwidth Configuration | dBm   | $P_{\text{REFSENS}} + \text{channel bandwidth specific value below}$ |       |       |        |        |        |
|   |       | 6  | 6     | 6     | 6      | 7      | 9      |

NOTE 1: The transmitter shall be set to 4 dB below  $P_{\text{CMAX\_L}}$  at the minimum uplink configuration specified in TS 136 101 [4] (table 7.3.1-2 with  $P_{\text{CMAX\_L}}$  as defined in clause 6.2.5).  
NOTE 2: Reference measurement channel is clause A.3.2 of TS 136 521-1 [1].  
NOTE 3: REFSENS as defined in TS 136 521-1 [1].

Table 4.2.8.2-2: Spurious Response

| Parameter                    | Unit | Level                         |
|------------------------------|------|-------------------------------|
| $P_{\text{Interferer (CW)}}$ | dBm  | -44                           |
| $F_{\text{Interferer}}$      | MHz  | Spurious response frequencies |

### 4.2.8.3 Conformance

Conformance tests described in clause 5.3.7 shall be carried out.

## 4.2.9 Receiver Intermodulation Characteristics

### 4.2.9.1 Definition

Intermodulation response rejection is a measure of the capability of the receiver to receive a wanted signal on its assigned channel frequency in the presence of two or more interfering signals which have a specific frequency relationship to the wanted signal.

### 4.2.9.2 Limits

The throughput shall be  $\geq 95\%$  of the maximum throughput of the reference measurement channels as specified in TS 136 521-1 [1] with parameters specified in table 4.2.9.2-1 for the specified wanted signal mean power in the presence of two interfering signals.

Table 4.2.9.2-1: Test parameters for Wide band intermodulation

| Rx Parameter  | Units | Channel bandwidth                                |                                 |                                 |        |        |        |
|---|-------|--|---------------------------------|---------------------------------|--------|--------|--------|
|   |       | 1,4 MHz  | 3 MHz                           | 5 MHz                           | 10 MHz | 15 MHz | 20 MHz |
| Power in Transmission Bandwidth Configuration   | dBm   | REFSENS + channel bandwidth specific value below |                                 |                                 |        |        |        |
|   |       | 12   | 8                               | 6                               | 6      | 7      | 9      |
| $P_{\text{Interferer 1 (CW)}}$  | dBm   | -46  |                                 |                                 |        |        |        |
| $P_{\text{Interferer 2 (Modulated)}}$   | dBm   | -46  |                                 |                                 |        |        |        |
| $BW_{\text{Interferer 2}}$  |       | 1,4  | 3                               | 5                               |        |        |        |
| $F_{\text{Interferer 1 (Offset)}}$  | MHz   | -BW/2 - 2,1<br>/<br>+BW/2 + 2,1                  | -BW/2 - 4,5<br>/<br>+BW/2 + 4,5 | -BW/2 - 7,5<br>/<br>+BW/2 + 7,5 |        |        |        |
| $F_{\text{Interferer 2 (Offset)}}$  | MHz   | $2 \times F_{\text{Interferer 1}}$               |                                 |                                 |        |        |        |
| NOTE 1: The transmitter shall be set to 4 dB below $P_{\text{CMAX\_L}}$ at the minimum uplink configuration specified in TS 136 101 [4] (table 7.3.1-2 with $P_{\text{CMAX\_L}}$ as defined in clause 6.2.5).   |       |  |                                 |                                 |        |        |        |
| NOTE 2: Reference measurement channel is clause A.3.2 of TS 136 521-1 [1].  |       |  |                                 |                                 |        |        |        |
| NOTE 3: The modulated interferer consists of the Reference measurement channel specified in clause A.3.2 of TS 136 521-1 [1] with set-up according to clause C.3.1 of TS 136 521-1 [1]. The interfering modulated signal is 5 MHz E-UTRA signal as described in annex D for channel bandwidth $\geq 5$ MHz. |       |  |                                 |                                 |        |        |        |
| NOTE 4: REFSENS as defined in TS 136 521-1 [1].   |       |  |                                 |                                 |        |        |        |

### 4.2.9.3 Conformance

Conformance tests described in clause 5.3.8 shall be carried out.

## 4.2.10 Receiver Spurious Emissions

### 4.2.10.1 Definition

The spurious emissions power is the power of emissions generated or amplified in a receiver that appear at the UE antenna connector.

### 4.2.10.2 Limits

The measured spurious emissions derived in clause 5.3.9 shall not exceed the maximum level specified in table 4.2.10.2-1.

**Table 4.2.10.2-1: General receiver spurious emission requirements**

| Frequency Band                                | Measurement bandwidth | Maximum level | Note |
|---|-----------------------|---------------|------|
| $30 \text{ MHz} \leq f < 1 \text{ GHz}$       | 100 kHz               | -57 dBm       |      |
| $1 \text{ GHz} \leq f \leq 12,75 \text{ GHz}$ | 1 MHz                 | -47 dBm       |      |

### 4.2.10.3 Conformance

Conformance tests described in clause 5.3.9 shall be carried out.

## 4.2.11 Transmitter Adjacent Channel Leakage Power Ratio

### 4.2.11.1 Definition

Adjacent Channel Leakage power Ratio (ACLR) is the ratio of the filtered mean power centred on the assigned channel frequency to the filtered mean power centred on an adjacent channel frequency.

### 4.2.11.2 Limits

If the measured adjacent channel power is greater than -50 dBm then the measured E-UTRA<sub>ACLR</sub> shall be higher than the limits in table 4.2.11.2-1.

**Table 4.2.11.2-1: E-UTRA UE ACLR**

|   | Channel bandwidth / E-UTRA <sub>ACLR1</sub> / measurement bandwidth |                  |                  |                    |                    |                    |
|---|---|------------------|------------------|--------------------|--------------------|--------------------|
|   | 1,4 MHz   | 3,0 MHz          | 5 MHz            | 10 MHz             | 15 MHz             | 20 MHz             |
| <b>E-UTRA<sub>ACLR1</sub></b>               | 29,2 dB   | 29,2 dB          | 29,2 dB          | 29,2 dB            | 29,2 dB            | 29,2 dB            |
| <b>E-UTRA channel Measurement bandwidth</b> | 1,08 MHz  | 2,7 MHz          | 4,5 MHz          | 9,0 MHz            | 13,5 MHz           | 18 MHz             |
| <b>UE channel</b>                           | +1,4 MHz or -1,4 MHz  | +3 MHz or -3 MHz | +5 MHz or -5 MHz | +10 MHz or -10 MHz | +15 MHz or -15 MHz | +20 MHz or -20 MHz |

If the measured UTRA channel power is greater than -50 dBm then the measured UTRA<sub>ACLR1</sub>, UTRA<sub>ACLR2</sub> shall be higher than the limits in table 4.2.11.2-2.

Table 4.2.11.2-2: UTRA UE ACLR

|  | Channel bandwidth / UTRA <sub>ACLR1/2</sub> / measurement bandwidth |  |  |  |  |  |
|--|---|--|--|--|--|--|
|  | 1,4 MHz   | 3,0 MHz  | 5 MHz  | 10 MHz   | 15 MHz   | 20 MHz   |
| UTRA <sub>ACLR1</sub>  | 32,2 dB   | 32,2 dB  | 32,2 dB  | 32,2 dB  | 32,2 dB  | 32,2 dB  |
| Adjacent channel centre frequency offset (in MHz)                                  | $0,7 + BW_{UTRA}/2$<br>/<br>$-0,7 - BW_{UTRA}/2$                    | $1,5 + BW_{UTRA}/2$<br>/<br>$-1,5 - BW_{UTRA}/2$ | $2,5 + BW_{UTRA}/2$<br>/<br>$-2,5 - BW_{UTRA}/2$                   | $5 + BW_{UTRA}/2$<br>/<br>$-5 - BW_{UTRA}/2$                   | $7,5 + BW_{UTRA}/2$<br>/<br>$-7,5 - BW_{UTRA}/2$                   | $10 + BW_{UTRA}/2$<br>/<br>$-10 - BW_{UTRA}/2$                   |
| UTRA <sub>ACLR2</sub>  | -   | -  | 35,2 dB  | 35,2 dB  | 35,2 dB  | 35,2 dB  |
| Adjacent channel centre frequency offset (in MHz)                                  | -   | -  | $2,5 + 3 \times BW_{UTRA}/2$<br>/<br>$-2,5 - 3 \times BW_{UTRA}/2$ | $5 + 3 \times BW_{UTRA}/2$<br>/<br>$-5 - 3 \times BW_{UTRA}/2$ | $7,5 + 3 \times BW_{UTRA}/2$<br>/<br>$-7,5 - 3 \times BW_{UTRA}/2$ | $10 + 3 \times BW_{UTRA}/2$<br>/<br>$-10 - 3 \times BW_{UTRA}/2$ |
| E-UTRA channel Measurement bandwidth   | 1,08 MHz  | 2,7 MHz  | 4,5 MHz  | 9,0 MHz  | 13,5 MHz   | 18 MHz   |
| UTRA 5 MHz channel Measurement bandwidth (see note 1)                              | 3,84 MHz  | 3,84 MHz   | 3,84 MHz   | 3,84 MHz   | 3,84 MHz   | 3,84 MHz   |
| UTRA 1,6 MHz channel measurement bandwidth (see note 2)                            | 1,28 MHz  | 1,28 MHz   | 1,28 MHz   | 1,28 MHz   | 1,28 MHz   | 1,28 MHz   |
| NOTE 1: Applicable for E-UTRA FDD co-existence with UTRA FDD in paired spectrum.   |   |  |  |  |  |  |
| NOTE 2: Applicable for E-UTRA TDD co-existence with UTRA TDD in unpaired spectrum. |   |  |  |  |  |  |
| NOTE 3: $BW_{UTRA}$ for UTRA FDD is 5 MHz and for UTRA TDD is 1,6 MHz.             |   |  |  |  |  |  |

### 4.2.11.3 Conformance

Conformance tests described in clause 5.3.10 shall be carried out.

## 5 Testing for compliance with technical requirements

### 5.1 Environmental conditions for testing

Tests defined in the present document shall be carried out at representative points within the boundary limits of the declared operational environmental profile.

Where technical performance varies subject to environmental conditions, tests shall be carried out under a sufficient variety of environmental conditions (within the boundary limits of the declared operational environmental profile) to give confidence of compliance for the affected technical requirements.

Normally it should be sufficient for all tests to be conducted using normal test conditions except where otherwise stated. For guidance on the use of other conditions to be used in order to show compliance reference can be made to TS 136 521-1 [1].

Many tests in the present document are performed with appropriate frequencies in the low, middle and high range of the operating frequency band of the UE. These frequencies are defined in TS 136 508 [2].

## 5.2 Interpretation of the measurement results

The interpretation of the results recorded in a test report for the measurements described in the present document shall be as follows:

- the measured value related to the corresponding limit may be used to decide whether an equipment meets the requirements of the present document;
- the value of the measurement uncertainty for the measurement of each parameter shall be included in the test report;
- the recorded value of the measurement uncertainty shall be, for each measurement, equal to or lower than the figures in table 5.2-1.

For the test methods, according to the present document, the measurement uncertainty figures shall be calculated and shall correspond to an expansion factor (coverage factor)  $k = 1,96$  (which provides a confidence level of 95 % in the case where the distributions characterizing the actual measurement uncertainties are normal (Gaussian)). Principles for the calculation of measurement uncertainty are contained in TR 100 028 [i.6] or TR 102 215 [i.4]. For guidance on other measurement conditions reference can be made to annex (s) of TS 136 521-1 [1].

Table 5.2-1 is based on this expansion factor.

**Table 5.2-1: Maximum measurement uncertainty of the test system**

| Parameter  | Conditions   | Test system uncertainty |
|--|--|-------------------------|
| Transmitter maximum output power   |  | ±0,7 dB                 |
| Transmitter spectrum emissions mask  |  | ±1,5 dB                 |
| Transmitter spurious emissions   | 9 kHz < f ≤ 4 GHz: ±2,0 dB<br>4 GHz < f ≤ 12,75 GHz: ±4,0 dB                           | ±2,0 dB<br>±4,0 dB      |
| Transmitter Minimum output power   |  | ±1,0 dB                 |
| Receiver Adjacent Channel Selectivity (ACS)  |  | ±1,1 dB                 |
| Receiver Blocking characteristics  | 1 MHz < f <sub>interferer</sub> ≤ 3 GHz<br>3 GHz < f <sub>interferer</sub> ≤ 12,75 GHz | ±1,3 dB<br>±3,2 dB      |
| Receiver spurious response   | 1 MHz < f <sub>interferer</sub> ≤ 3 GHz<br>3 GHz < f <sub>interferer</sub> ≤ 12,75 GHz | ±1,3 dB<br>±3,2 dB      |
| Receiver intermodulation characteristics   |  | ±1,4 dB                 |
| Receiver spurious emissions  | 30 MHz ≤ f ≤ 4,0 GHz: ±2,0 dB<br>4 GHz < f ≤ 12,75 GHz: ±4,0 dB                        | ±2,0 dB<br>±4,0 dB      |
| Transmitter adjacent channel leakage power ratio   | -  | ±0,8 dB                 |
| NOTE 1: For RF tests it should be noted that the uncertainties in table 5.2-1 apply to the test system operating into a nominal 50 Ω load and do not include system effects due to mismatch between the EUT and the test system.   |  |                         |
| NOTE 2: If the test system for a test is known to have a measurement uncertainty greater than that specified in table 5.2-1, this equipment can still be used provided that an adjustment is made follows: any additional uncertainty in the test system over and above that specified in table 5.2-1 should be used to tighten the test requirements - making the test harder to pass (for some tests, e.g. receiver tests, this may require modification of stimulus signals). This procedure will ensure that a test system not compliant with table 5.2-1 does not increase the probability of passing an EUT that would otherwise have failed a test if a test system compliant with table 5.2-1 had been used. |  |                         |

## 5.3 Essential radio test suites

### 5.3.1 Transmitter Maximum Output Power

#### 5.3.1.1 Method of test

##### 5.3.1.1.1 Initial conditions

Test environment: normal, TL/VL, TL/VH, TH/VL, TH/VH; as specified in annex B.

Frequencies to be tested: low range, mid range, high range; as specified in TS 136 508 [2], clause 4.3.1.

Channel bandwidths to be tested: lowest, 5 MHz, and highest channel bandwidth as defined in TS 136 508 [2], clause 4.3.1.

Uplink/Downlink configurations: as specified in TS 136 521-1 [1]:

- 1) Connect the SS to the UE antenna connectors.
- 2) The parameter settings for the cell are set up according to TS 136 508 [2], clause 4.4.3.
- 3) Downlink signals are initially set up according to TS 136 521-1 [1], clauses C.0, C.1, and C.3.0, and uplink signals according to clauses H.1 and H.3.0.
- 4) The UL Reference Measurement channels are set according to TS 136 521-1 [1].
- 5) Propagation conditions are set according to TS 136 521-1 [1], clause B.0.
- 6) Ensure the UE is in State 3A according to TS 136 508 [2], clause 4.5.3A.

NOTE: When reference is made to test set up, call set up and test mode, guidance on the applicability of these can be found in TS 136 521-1 [1], TS 136 508 [2] and TS 136 509 [3] respectively.

##### 5.3.1.1.2 Procedure

- 1) SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0 for C\_RNTI to schedule the UL RMC according to table 6.2.2.1.4.1-1 of TS 136 521-1 [1]. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 2) Send continuously uplink power control "up" commands in every uplink scheduling information to the UE; allow at least 200 ms for the UE to reach  $P_{UMAX}$  level.
- 3) Measure the mean power of the UE in a bandwidth of at least  $(1+x)$  times the channel bandwidth of the radio access mode. The period of measurement shall be at least one (timeslot/frame/TTI).
- 4) Repeat for applicable test frequencies, channel bandwidths, operating band combinations and environmental conditions.

##### 5.3.1.2 Test requirements

The results obtained shall be compared to the limits in clause 4.2.2.2 in order to show compliance.

### 5.3.2 Transmitter Spectrum Emission Mask

#### 5.3.2.1 Method of test

##### 5.3.2.1.1 Initial conditions

Test environment: normal, as specified in annex B.

Frequencies to be tested: low range, mid range and high range; as specified in TS 136 508 [2], clause 4.3.1.



Channel bandwidths to be tested: lowest, 5 MHz, 10 MHz and highest channel bandwidth as defined in TS 136 508 [2], clause 4.3.1.

Uplink/Downlink configurations: as specified in TS 136 521-1 [1]:

- 1) Connect the SS to the UE antenna connectors.
- 2) The parameter settings for the cell are set up according to TS 136 508 [2], clause 4.4.3.
- 3) Downlink signals are initially set up according to TS 136 521-1 [1], clauses C.0, C.1, and C.3.0, and uplink signals according to clauses H.1 and H.3.0.
- 4) The UL Reference Measurement channels are set according to TS 136 521-1 [1].
- 5) Propagation conditions are set according to TS 136 521-1 [1], clause B.0.
- 6) Ensure the UE is in State 3A according to TS 136 508 [2], clause 4.5.3A.

#### 5.3.2.1.2 Procedure

- 1) SS sends uplink scheduling information via PDCCH DCI format 0 for C\_RNTI to schedule the UL RMC according to TS 136 521-1 [1], table 6.6.2.1.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 2) Send continuously uplink power control "up" commands in the uplink scheduling information to the UE until the UE transmits at  $P_{UMAX}$  level.
- 3) Measure the power of the transmitted signal for frequency ranges defined in table 4.2.3.2-1 with measurement bandwidths defined in table 4.2.3.2-1 as defined in TS 136 521-1 [1]. The measured power shall be recorded for each step. The measurement period shall capture the active time slots.
- 4) Repeat for applicable test frequencies, channel bandwidths and operating band combinations.

#### 5.3.2.2 Test requirements

The results obtained shall be compared to the limits in clause 4.2.3.2 in order to show compliance.

### 5.3.3 Transmitter Spurious Emissions

#### 5.3.3.1 Method of test

##### 5.3.3.1.1 Initial conditions

Test Environment: normal, as specified in annex B.

Frequencies to be tested: low range, mid range, high range; see TS 136 508 [2].

Channel bandwidth to be tested: lowest, 5 MHz, and highest channel bandwidth as defined in TS 136 508 [2].

Uplink/Downlink configurations: as specified in TS 136 521-1 [1]:

- 1) Connect the SS to the UE to the UE antenna connectors.
- 2) The parameter settings for the cell are set up according to TS 136 508 [2], clause 4.4.3.
- 3) Downlink signals are initially set up according to TS 136 521-1 [1], clauses C.0, C.1, and C.3.0, and uplink signals according to clauses H.1 and H.3.0.
- 4) The UL Reference Measurement channels are set according to TS 136 521-1 [1].
- 5) Propagation conditions are set according to TS 136 521-1 [1], clause B.0.
- 6) Ensure the UE is in State 3A according to TS 136 508 [2], clause 4.5.3A.

NOTE: When reference is made to test set up, call set up and test mode, guidance on the applicability of these can be found in TS 136 521-1 [1], TS 136 508 [2] and TS 136 509 [3] respectively.

#### 5.3.3.1.2 Procedure

- 1) SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0 for C\_RNTI to schedule the UL RMC according to TS 136 521-1 [1], table 6.6.3.1.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 2) Set and send continuously Up power control commands in the uplink scheduling information to the UE until the UE transmits at  $P_{UMAX}$  level.
- 3) For each applicable requirement in tables 4.2.4.2-2, 4.2.4.2-3, and 4.2.4.2-4; Sweep the spectrum analyser (or equivalent equipment) over a frequency range and measure the average power of spurious emission. The measured power shall be recorded for each step. The measurement period shall capture the active time slots.
- 4) Repeat for applicable test frequencies, channel bandwidths and operating band combinations.

#### 5.3.3.2 Test requirements

The results obtained shall be compared to the limits in clause 4.2.4.2 in order to show compliance.

### 5.3.4 Transmitter Minimum Output Power

#### 5.3.4.1 Method of test

##### 5.3.4.1.1 Initial conditions

Test Environment: normal, TL/VL, TL/VH, TH/VL, TH/VH; as specified in annex B.

Frequencies to be tested: low range, mid range and high range; see TS 136 508 [2].

Channel bandwidths to be tested: lowest, 5 MHz and highest channel bandwidth, as specified in TS 136 508 [2], clause 4.3.1.

Uplink/Downlink configurations: as specified in TS 136 521-1 [1]:

- 1) Connect the SS to the UE antenna connectors as shown in TS 136 508 [2], annex A, in figure A.3.
- 2) The parameter settings for the cell are set up according to TS 136 508 [2], clause 4.4.3.
- 3) Downlink signals are initially set up according to TS 136 521-1 [1], clauses C.0, C.1, and C.3.0, and uplink signals according to clauses H.1 and H.3.0.
- 4) The UL Reference Measurement channels are set according to TS 136 521-1 [1].
- 5) Propagation conditions are set according to TS 136 521-1 [1], clause B.0.
- 6) Ensure the UE is in State 3A according to TS 136 508 [2], clause 4.5.3A.

NOTE: When reference is made to test set up, call set up and test mode, guidance on the applicability of these can be found in TS 136 521-1 [1], TS 136 508 [2] and TS 136 509 [3] respectively.

#### 5.3.4.1.2 Procedure

- 1) SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0 for C\_RNTI to schedule the UL RMC according to TS 136 521-1 [1], table 6.3.2.1.4.1-1. Since the UE has no payload and no loopback data to send the UE sends uplink MAC padding bits on the UL RMC.
- 2) Send continuous uplink power control "down" commands in the uplink scheduling information to the UE to ensure that the UE transmits at its minimum output power.

- 3) Measure the mean power of the UE in the associated measurement bandwidth specified in table 4.5.2.1-1 for the specific channel bandwidth under test. The period of measurement shall be the continuous duration of one sub-frame (1 ms). For TDD slots with transient periods are not under test.
- 4) Repeat for applicable test frequencies, channel bandwidths, operating band combinations and environmental conditions.

### 5.3.4.2 Test requirements

The results obtained shall be compared to the limits in clause 4.2.5.2 in order to show compliance.

## 5.3.5 Receiver Adjacent Channel Selectivity (ACS)

### 5.3.5.1 Method of test

#### 5.3.5.1.1 Initial conditions

Test Environment: normal, as specified in annex B.

Frequencies to be tested: mid range see TS 136 508 [2].

Channel bandwidth to be tested: lowest, 5 MHz and highest channel bandwidth as defined in TS 136 508 [2], clause 4.3.1.

Uplink/Downlink configurations: as specified in TS 136 521-1 [1]:

- 1) Connect the SS and interfering source to the UE antenna connectors.
- 2) The parameter settings for the cell are set up according to TS 136 508 [2], clause 4.4.3.
- 3) Downlink signals are initially set up according to TS 136 521-1 [1], clauses C.0, C.1, and C.3.0, and uplink signals according to clauses H.1 and H.3.0.
- 4) The UL and DL Reference Measurement channels are set according to TS 136 521-1 [1], table 7.5.4.1-1.
- 5) Propagation conditions are set according to TS 136 521-1 [1], clause B.0.
- 6) Ensure the UE is in State 3A according to TS 136 508 [2], clause 4.5.3A.

NOTE: When reference is made to test set up, call set up and test mode, guidance on the applicability of these can be found in TS 136 521-1 [1], TS 136 508 [2] and TS 136 509 [3] respectively.

#### 5.3.5.1.2 Procedure

- 1) SS transmits PDSCH via PDCCH DCI format 1A for C\_RNTI to transmit the DL RMC according to TS 136 521-1 [1], table 7.5.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
- 2) SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0 for C\_RNTI to schedule the UL RMC according to TS 136 521-1 [1], table 7.5.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 3) Set the Downlink signal level to the value as defined in table 4.2.6.2-2 (Case 1).
- 4) Send Uplink power control commands to the UE ( $\leq 1$  dB step size should be used), to ensure that the UE output power is within  $\pm 0.4$  dB of the target level in table 4.2.6.2-2 (Case 1) for at least the duration of the Throughput measurement (obtain correct UE output power as specified in TS 136 521-1 [1]).
- 5) Set the Interferer signal level to the value as defined in table 4.2.6.2-2 (Case 1), using a modulated interferer.
- 6) Measure the average throughput for a duration sufficient to achieve statistical significance according to clause G.2 of TS 136 521-1 [1].
- 7) Set the Downlink signal level to the value as defined in table 4.2.6.2-3 (Case 2).

- 8) Send Uplink power control commands to the UE ( $\leq 1$  dB step size should be used), to ensure that the UE output power is within  $+0/-3,4$  dB of the target level in table 4.2.6.2-3 (Case 2) for at least the duration of the throughput measurement (obtain correct UE output power as specified in TS 136 521-1 [1]).
- 9) Set the Interferer signal level to the value as defined in table 4.2.6.2-3 (Case 2), using a modulated interferer.
- 10) Measure the average throughput for a duration sufficient to achieve statistical significance according to TS 136 521-1 [1], annex G.
- 11) Repeat for applicable channel bandwidths in both Case 1 and Case 2.
- 12) Repeat for applicable test frequencies, channel bandwidths and operating band combinations.

### 5.3.5.2 Test requirements

The results obtained shall be compared to the limits in clause 4.2.6.2 in order to show compliance.

## 5.3.6 Receiver Blocking Characteristics

### 5.3.6.1 Method of test

#### 5.3.6.1.1 Initial requirements

Test Environment: normal, as specified in annex B.

Frequencies to be tested: low range for  $F_{\text{Interferer}}$  below  $F_{\text{DL\_low}}$ , High range for  $F_{\text{Interferer}}$  above  $F_{\text{DL\_high}}$ ; as specified in TS 136 508 [2], clause 4.3.1.

Channel bandwidth to be tested: lowest, 5 MHz and highest channel bandwidth as defined in TS 136 508 [2], clause 4.3.1. Range 3 of out-of-band blocking is tested only with highest bandwidth.

Uplink/Downlink configurations: as specified in TS 136 521-1 [1]:

- 1) Connect the SS to the UE antenna connectors.
- 2) The parameter settings for the cell are set up according to TS 136 508 [2], clause 4.4.3.
- 3) Downlink signals are initially set up according to TS 136 521-1 [1], clause C.3.1.
- 4) The UL and DL Reference Measurement channels are set according to TS 136 521-1 [1], table 7.6.2.4.1-1.
- 5) Propagation conditions are set according to TS 136 521-1 [1], clause B.0.
- 6) Ensure the UE is in State 3A according to TS 136 508 [2], clause 4.5.3A.

NOTE: When reference is made to test set up, call set up and test mode, guidance on the applicability of these can be found in TS 136 521-1 [1], TS 136 508 [2] and TS 136 509 [3] respectively.

#### 5.3.6.1.2 In-Band Procedure

- 1) SS transmits PDSCH via PDCCH DCI format 1A for  $C_{\text{RNTI}}$  to transmit the DL RMC according to TS 136 521-1 [1], table 7.6.1.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
- 2) SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0 for  $C_{\text{RNTI}}$  to schedule the UL RMC according to TS 136 521-1 [1], table 7.6.1.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 3) Set the parameters of the signal generator for an interfering signal below the wanted signal in Case 1 according to tables 4.2.7.2-1 and 4.2.7.2-2 as specified in TS 136 521-1 [1].
- 4) Send uplink power control commands to the UE ( $\leq 1$  dB step size should be used), to ensure that the UE output power is within  $+0/-3,4$  dB of the target level in table 4.2.7.2-1 for at least the duration of the throughput measurement as specified in TS 136 521-1 [1].

- 5) Set the downlink signal level according to the table 4.2.7.2-1.
- 6) Measure the average throughput for a duration sufficient to achieve statistical significance according to clause G.2 of TS 136 521-1 [1].
- 7) Repeat steps from 3 to 6, using an interfering signal above the wanted signal in Case 1 at step 3.
- 8) Repeat steps from 3 to 7, using interfering signals in Case 2 at step 3 and 7. The ranges of case 2 are covered in steps equal to the interferer bandwidth. The test frequencies are chosen in analogy to TS 136 521-1 [1], table 7.6.1.4.2-1.
- 9) Repeat for applicable test frequencies, channel bandwidths and operating band combinations.

#### 5.3.6.1.3 Out-Of-Band Procedure

- 1) SS transmits PDSCH via PDCCH DCI format 1A for C\_RNTI to transmit the DL RMC according to TS 136 521-1 [1], table 7.6.2.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
- 2) SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0 for C\_RNTI to schedule the UL RMC according to TS 136 521-1 [1], table 7.6.2.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 3) Set the parameters of the CW signal generator for an interfering signal according to table 4.2.7.2-4 as specified in TS 136 521-1 [1]. The frequency step size is 1 MHz.
- 4) Send uplink power control commands to the UE ( $\leq 1$  dB step size should be used), to ensure that the UE output power is within  $+0/-3,4$  dB of the target level in table 4.2.7.2-3 for at least the duration of the throughput measurement as specified in TS 136 521-1 [1].
- 5) Set the downlink signal level according to the table 4.2.7.2-3.
- 6) Measure the average throughput for a duration sufficient to achieve statistical significance according to clause G.2 of TS 136 521-1 [1].
- 7) For table 4.2.7.2-4 record the frequencies for which the throughput does not meet the requirements.
- 8) Repeat for applicable test frequencies, channel bandwidths and operating band combinations.

#### 5.3.6.1.4 Narrow-Band Procedure

- 1) SS transmits PDSCH via PDCCH DCI format 1A for C\_RNTI to transmit the DL RMC according to TS 136 521-1 [1], table 7.6.3.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
- 2) SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0 for C\_RNTI to schedule the UL RMC according to TS 136 521-1 [1], table 7.6.3.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 3) Set the parameters of the CW signal generator for an interfering signal according to table 4.2.7.2-5 as specified in TS 136 521-1 [1].
- 4) Send uplink power control commands to the UE ( $\leq 1$  dB step size should be used), to ensure that the UE output power is within  $+0/-3,4$  dB of the target level in table 4.2.7.2-5 for at least the duration of the throughput measurement as specified in TS 136 521-1 [1].
- 5) Set the downlink signal level according to the table 4.2.7.2-5.
- 6) Measure the average throughput for a duration sufficient to achieve statistical significance according to clause G.2 of TS 136 521-1 [1].
- 7) Repeat for applicable test frequencies, channel bandwidths and operating band combinations.

#### 5.3.6.2 Test requirements

The results obtained shall be compared to the limits in clause 4.2.7.2 in order to show compliance.

## 5.3.7 Receiver Spurious Response

### 5.3.7.1 Method of test

#### 5.3.7.1.1 Initial conditions

The initial conditions shall be the same as in clause 5.3.6.1.1 in order to test spurious responses obtained in clause 5.3.6.1.2 under the same conditions.

#### 5.3.7.1.2 Procedure

- 1) SS transmits PDSCH via PDCCH DCI format 1A for C\_RNTI to transmit the DL RMC according to TS 136 521-1 [1], table 7.6.2.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
- 2) SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0 for C\_RNTI to schedule the UL RMC according to TS 136 521-1 [1], table 7.6.2.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 3) Set the parameters of the CW signal generator for an interfering signal according to table 4.2.8.2-2. The spurious frequencies are taken from step 5) records in clause 5.3.6.1.2.
- 4) Send uplink power control commands to the UE ( $\leq 1$  dB step size should be used), to ensure that the UE output power is within  $+0/-3,4$  dB of the target level in table 4.2.8.2-1 for at least the duration of the throughput measurement as specified in TS 136 521-1 [1].
- 5) Set the downlink signal level according to the table 4.2.8.2-1.
- 6) For the spurious frequency, measure the average throughput for a duration sufficient to achieve statistical significance.

### 5.3.7.2 Test requirements

The results obtained shall be compared to the limits in clause 4.2.8.2 in order to show compliance.

## 5.3.8 Receiver Intermodulation Characteristics

### 5.3.8.1 Method of test

#### 5.3.8.1.1 Initial conditions

Test Environment: normal, as specified in annex B.

Frequencies to be tested: mid range; see TS 136 508 [2].

Channel bandwidths to be tested: lowest, 5 MHz and highest channel bandwidth as defined in TS 136 508 [2], clause 4.3.1.

Uplink/Downlink configurations: as specified in TS 136 521-1 [1]:

- 1) Connect the SS and interfering sources to the UE antenna connectors.
- 2) The parameter settings for the cell are set up according to TS 136 508 [2], clause 4.4.3.
- 3) Downlink signals are initially set up according to TS 136 521-1 [1], clauses C0, C.1, C.3.1 and uplink signals according to clauses H.1 and H.3.1.
- 4) The UL and DL Reference Measurement channels are set according to TS 136 521-1 [1], table 7.8.4.1-1.
- 5) Propagation conditions are set according to TS 136 521-1 [1], clause B.0.
- 6) Ensure the UE is in State 3A according to TS 136 508 [2], clause 4.5.3A.

NOTE: When reference is made to test set up, call set up and test mode, guidance on the applicability of these can be found in TS 136 521-1 [1], TS 136 508 [2] and TS 136 509 [3] respectively.

#### 5.3.8.1.2 Procedure

- 1) SS transmits PDSCH via PDCCH DCI format 1A for C\_RNTI to transmit the DL RMC according to TS 136 521-1 [1], table 7.8.1.4.1-1. The SS sends downlink MAC padding bits on the DL RMC.
- 2) SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0 for C\_RNTI to schedule the UL RMC according to TS 136 521-1 [1], table 7.8.1.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 3) Send Uplink power control commands to the UE ( $\leq 1$  dB step size should be used), to ensure that the UE output power is within  $+0/-3,4$  dB of the target level in table 4.2.9.2-1 for at least the duration of the throughput measurement as specified in TS 136 521-1 [1].
- 4) Set the Downlink signal level to the value as defined in table 4.2.9.2-1.
- 5) Set the Interfering signal levels to the values as defined in table 4.2.9.2-1, using a modulated interferer bandwidth as defined in annex D of TS 136 521-1 [1].
- 6) Measure the average throughput for a duration sufficient to achieve statistical significance.
- 7) Repeat for applicable test frequencies, channel bandwidths and operating band combinations.

#### 5.3.8.2 Test requirements

The results obtained shall be compared to the limits in clause 4.2.9.2 in order to show compliance.

### 5.3.9 Receiver Spurious Emissions

#### 5.3.9.1 Method of test

Test Environment: normal, as specified in annex B.

Frequencies to be tested: low range, mid range and high range; as specified in TS 136 508 [2], clause 4.3.1.

Channel bandwidth to be tested: highest channel bandwidth as defined in TS 136 508 [2], clause 4.3.1.

Uplink/Downlink configurations: as specified in TS 136 521-1 [1]:

- 1) Connect a spectrum analyzer (or other suitable test equipment) to the UE antenna connectors.
- 2) The parameter settings for the cell are set up according to TS 136 508 [2], clause 4.4.3.
- 3) Downlink signals are initially set up according to TS 136 521-1 [1], clauses C.0, C.1 and C.3.1.
- 4) The DL Reference Measurement channels are set according to TS 136 521-1 [1].
- 5) Propagation conditions are set according to TS 136 521-1 [1], clause B.0.
- 6) Ensure the UE is in State 3A according to TS 136 508 [2], clause 4.5.3A.

NOTE: When reference is made to test set up, call set up and test mode, guidance on the applicability of these can be found in TS 136 521-1 [1], TS 136 508 [2] and TS 136 509 [3] respectively.

#### 5.3.9.1.1 Procedure

- 1) Sweep the spectrum analyser (or other suitable test equipment) over a frequency range from 30 MHz to 12,75 GHz and measure the average power of the spurious emissions.
- 2) Repeat step 1 for all E-UTRA Rx antennas of the UE.
- 3) Repeat for applicable test frequencies, channel bandwidths and operating band combinations.

### 5.3.9.2 Test requirements

The results obtained shall be compared to the limits in clause 4.2.10.2 in order to show compliance.

## 5.3.10 Transmitter Adjacent Channel Leakage Power Ratio

### 5.3.10.1 Method of test

#### 5.3.10.1.1 Initial conditions

Test Environment: normal, TL/VL, TL/VH, TH/VL and TH/VH, as specified in annex B.

Frequencies to be tested: low range, mid range and high range; see TS 136 508 [2].

Channel bandwidth to be tested: lowest, 5 MHz, 10 MHz and highest channel bandwidth as defined in TS 136 508 [2], clause 4.3.1.

Uplink/Downlink configurations: as specified in TS 136 521-1 [1]:

- 1) Connect the SS to the UE to the UE antenna connectors.
- 2) The parameter settings for the cell are set up according to TS 136 508 [2], clause 4.4.3.
- 3) Downlink signals are initially set up according to TS 136 521-1 [1], clauses C.0, C.1 and C.3.0, and uplink signals according to clauses H.1 and H.3.0.
- 4) The UL Reference Measurement channels are set according to TS 136 521-1 [1].
- 5) Propagation conditions are set according to TS 136 521-1 [1], clause B.0.
- 6) Ensure the UE is in State 3A according to TS 136 508 [2], clause 4.5.3A.

NOTE: When reference is made to test set up, call set up and test mode, guidance on the applicability of these can be found in TS 136 521-1 [1], TS 136 508 [2] and TS 136 509 [3] respectively.

#### 5.3.10.1.2 Procedure

- 1) SS sends uplink scheduling information for each UL HARQ process via PDCCH DCI format 0 for C\_RNTI to schedule the UL RMC according to TS 136 521-1 [1], table 6.6.2.3.4.1-1. Since the UE has no payload data to send, the UE transmits uplink MAC padding bits on the UL RMC.
- 2) Send continuous uplink power control "up" commands in the uplink scheduling information to the UE to ensure that the UE transmits at  $P_{UMAX}$  level.
- 3) Measure the mean power of the UE in the channel bandwidth of the radio access mode according to the test configuration, which shall meet the requirements described in tables 4.2.11.2-1 and 4.2.11.2-2. The period of the measurement shall be at least the continuous duration of one sub-frame (1 ms). For TDD slots with transient periods are not under test.
- 4) Measure the filtered mean power for E-UTRA.
- 5) Measure the filtered mean power of the first E-UTRA adjacent channel.
- 6) Measure the RRC filtered mean power of the first and the second UTRA adjacent channel.
- 7) Calculate the ratio of the power between the values measured in step 4) over step 5) for  $E-UTRA_{ACLR}$ .
- 8) Calculated the ratio of the power between the values measured in step 4) over step 6) for  $UTRA_{ACLR1}$ ,  $UTRA_{ACLR2}$ .
- 9) Repeat for applicable test frequencies, channel bandwidths, operating band combinations and environmental conditions.



### 5.3.10.2 Test requirements

The results obtained shall be compared to the limits in clause 4.2.11.2 in order to show compliance.

## Annex A (normative): HS Requirements and conformance Test specifications Table (HS-RTT)

The HS Requirements and conformance Test specifications Table (HS-RTT) in table A-1 serves a number of purposes, as follows:

- it provides a statement of all the requirements in words and by cross reference to (a) specific clause(s) in the present document or to (a) specific clause(s) in (a) specific referenced document(s);
- it provides a statement of all the test procedures corresponding to those requirements by cross reference to (a) specific clause(s) in the present document or to (a) specific clause(s) in (a) specific referenced document(s);
- it qualifies each requirement to be either:
  - Unconditional: meaning that the requirement applies in all circumstances; or
  - Conditional: meaning that the requirement is dependent on the manufacturer having chosen to support optional functionality defined within the schedule.
- in the case of Conditional requirements, it associates the requirement with the particular optional service or functionality;
- it qualifies each test procedure to be either:
  - Essential: meaning that it is included with the Essential Radio Test Suite and therefore the requirement shall be demonstrated to be met in accordance with the referenced procedures;
  - Other: meaning that the test procedure is illustrative but other means of demonstrating compliance with the requirement are permitted.

**Table A-1: HS Requirements and conformance Test specifications Table (HS-RTT)**

| <b>Harmonized Standard EN 301 908-13</b>  |  |                             |                                   |                  |                           |                             |
|---|--|-----------------------------|-----------------------------------|------------------|---------------------------|-----------------------------|
| The following requirements and test specifications are relevant to the presumption of conformity under the article 3.2 of the R&TTE Directive [i.2] |  |                             |                                   |                  |                           |                             |
| <b>Requirement</b>  |  |                             | <b>Requirement Conditionality</b> |                  | <b>Test Specification</b> |                             |
| <b>No</b>   | <b>Description</b>                               | <b>Reference: Clause No</b> | <b>U/C</b>                        | <b>Condition</b> | <b>E/O</b>                | <b>Reference: Clause No</b> |
| 1   | Transmitter maximum output power                 | 4.2.2                       | U                                 |                  | E                         | 5.3.1                       |
| 2   | Transmitter spectrum emission mask               | 4.2.3                       | U                                 |                  | E                         | 5.3.2                       |
| 3   | Transmitter spurious emissions                   | 4.2.4                       | U                                 |                  | E                         | 5.3.3                       |
| 4   | Transmitter minimum output power                 | 4.2.5                       | U                                 |                  | E                         | 5.3.4                       |
| 5   | Receiver adjacent channel selectivity (ACS)      | 4.2.6                       | U                                 |                  | E                         | 5.3.5                       |
| 6   | Receiver blocking characteristics                | 4.2.7                       | U                                 |                  | E                         | 5.3.6                       |
| 7   | Receiver spurious response                       | 4.2.8                       | U                                 |                  | E                         | 5.3.7                       |
| 8   | Receiver intermodulation characteristics         | 4.2.9                       | U                                 |                  | E                         | 5.3.8                       |
| 9   | Receiver spurious emissions                      | 4.2.10                      | U                                 |                  | E                         | 5.3.9                       |
| 10  | Transmitter adjacent channel leakage power ratio | 4.2.11                      | U                                 |                  | E                         | 5.3.10                      |

**Key to columns:****Requirement:**

**No** A unique identifier for one row of the table which may be used to identify a requirement or its test specification.

**Description** A textual reference to the requirement.

**Clause Number** Identification of clause(s) defining the requirement in the present document unless another document is referenced explicitly.

**Requirement Conditionality:**

**U/C** Indicates whether the requirement is to be *unconditionally* applicable (U) or is *conditional* upon the manufacturers claimed functionality of the equipment (C).

**Condition** Explains the conditions when the requirement shall or shall not be applicable for a technical requirement which is classified "conditional".

**Test Specification:**

**E/O** Indicates whether the test specification forms part of the Essential Radio Test Suite (E) or whether it is one of the Other Test Suite (O).

**NOTE:** All tests whether "E" or "O" are relevant to the requirements. Rows designated "E" collectively make up the Essential Radio Test Suite; those designated "O" make up the Other Test Suite; for those designated "X" there is no test specified corresponding to the requirement. The completion of all tests classified "E" as specified with satisfactory outcomes is a necessary condition for a presumption of conformity. Compliance with requirements associated with tests classified "O" or "X" is a necessary condition for presumption of conformity, although conformance with the requirement may be claimed by an equivalent test or by manufacturer's assertion supported by appropriate entries in the technical construction file.

**Clause Number** Identification of clause(s) defining the test specification in the present document unless another document is referenced explicitly. Where no test is specified (that is, where the previous field is "X") this field remains blank.

## Annex B (normative): Environmental profile

### B.1 General

#### B.1.1 Introduction

This annex specifies the environmental profile of the UE.

#### B.1.2 Temperature

The UE shall fulfil all the requirements in the full temperature range of:

**Table B.1.2-1: Temperature Test Environment**

|                  |  |
|------------------|--|
| +15 °C to +35 °C | For normal conditions (with relative humidity up to 75 %)            |
| -10 °C to +55 °C | For extreme conditions (see IEC 60068-2-1 [8] and IEC 60068-2-2 [9]) |

Outside this temperature range the UE, if powered on, shall not make ineffective use of the radio frequency spectrum. In no case shall the UE exceed the transmitted levels as defined in TS 136 101 [4] for extreme operation.

The normative reference for this requirement is TS 136 101 [4], clause E.1.

Some tests are performed also in extreme temperature conditions. These test conditions are denoted as TL (Temperature Low, -10 °C) and TH (Temperature High, +55 °C).

#### B.1.3 Voltage

The UE shall fulfil all the requirements in the full voltage range, i.e. the voltage range between the extreme voltages.

The manufacturer shall declare the lower and higher extreme voltages and the approximate shutdown voltage. For the equipment that can be operated from one or more of the power sources listed below, the lower extreme voltage shall not be higher, and the higher extreme voltage shall not be lower than that specified below.

**Table B.1.3-1: Voltage Test Environment**

| Power source                | Lower extreme Voltage | Higher extreme voltage | Normal conditions voltage |
|-----------------------------|-----------------------|------------------------|---------------------------|
| AC mains                    | 0,9 × nominal         | 1,1 × nominal          | nominal                   |
| Regulated lead acid battery | 0,9 × nominal         | 1,3 × nominal          | 1,1 × nominal             |
| Non regulated batteries:    |                       |                        |                           |
| Leclanché                   | 0,85 × nominal        | Nominal                | Nominal                   |
| Lithium                     | 0,95 × nominal        | 1,1 × Nominal          | 1,1 × Nominal             |
| Mercury/nickel and cadmium  | 0,90 × nominal        |                        | Nominal                   |

Outside this voltage range the UE if powered on, shall not make ineffective use of the radio frequency spectrum. In no case shall the UE exceed the transmitted levels as defined in TS 136 101 [4] for extreme operation. In particular, the UE shall inhibit all RF transmissions when the power supply voltage is below the manufacturer declared shutdown voltage.

The normative reference for this requirement is TS 136 101 [4], clause E.2.

Some tests are performed also in extreme voltage conditions. These test conditions are denoted as VL (Lower extreme Voltage) and VH (Higher extreme Voltage).

## B.1.4 Test environment

Where a normal environment is required then the normal conditions shown in clauses B.1.2 and B.1.3 shall be applied.

Where an extreme environment is required then the various combinations of extreme temperatures together with the extreme voltages shown in clauses B.1.2 and B.1.3 shall be applied. The combinations are:

- Low extreme Temperature/Low extreme Voltage (TL/VL);
- Low extreme Temperature/High extreme Voltage (TL/VH);
- High extreme Temperature/Low extreme Voltage (TH/VL);
- High extreme Temperature/High extreme Voltage (TH/VH).

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## Annex C (informative): The EN title in the official languages

The enlargement of the European Union (EU) resulted in a requirement from the EU for a larger number of languages for the translation of the titles of Harmonized Standards and mandated ENs that are to be listed in the Official Journal to support the implementation of this legislation.

For this reason the title translation concerning the present document can be consulted via the [e-approval](#) application.

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## Annex D (informative): Bibliography

- Directive 2004/108/EC of the European Parliament and of the Council of 15 December 2004 on the approximation of the laws of the Member States relating to electromagnetic compatibility and repealing Directive 89/336/EEC (EMC Directive).
- CEPT/ERC/REC 74-01 (Siófok 1998, Nice 1999, Sesimbra 2002, Hradec Kralove 2005): "Unwanted Emissions in the Spurious Domain".
- Directive 2006/95/EC of the European Parliament and of the Council of 12 December 2006 on the harmonisation of the laws of Member States relating to electrical equipment designed for use within certain voltage limits (LV Directive).
- Commission Decision 2008/477/EC of 13 June 2008 on the harmonisation of the 2 500-2 690 MHz frequency band for terrestrial systems capable of providing electronic communications services in the Community.
- Commission Decision 2010/267/EU of 6 May 2010 on harmonised technical conditions of use in the 90-862 MHz frequency band for terrestrial systems capable of providing electronic communications services in the European Union.

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## History

| <b>Document history</b> |               |  |
|-------------------------|---------------|--|
| V4.2.1                  | March 2010    | Publication  |
| V5.1.1                  | July 2010     | Public Enquiry PE 20101109: 2010-07-12 to 2010-11-09 |
| V5.2.1                  | February 2011 | Vote V 20110412: 2011-02-11 to 2011-04-12            |
| V5.2.1                  | May 2011      | Publication  |
|                         |               |  |