

**Services and Protocols for Advanced Networks (SPAN);
Intelligent Networks (IN);
Architectures and signalling requirements for
IN-based networks interworking with
IP-based networks**



Reference

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Foreword

This ETSI Guide (EG) has been produced by ETSI Technical Committee Services and Protocols for Advanced Networks (SPAN).

Introduction

The present document is closely aligned with ITU-T Recommendation Q.1244 [19], such that clauses 5 and 7 are similar in technical content with the related parts of the ITU-T Recommendation. Clause 6 whilst aligned with ITU-T Recommendation Q.1244 [19] provides more detail in the figures and tables describing the relationship of the reference scenarios with the lower layer transport protocols. The intention of the present document is to define a set of enhancements for IN CS-3 for interworking with IP-networks, which comprises IN CS-4 in the ITU-T. In ETSI these enhancements will be considered as a revision of ETSI Core INAP.

1 Scope

The present document describes the standardization of functions to allow interworking between Intelligent Networks and IP-networks for IN CS-3. These functions include:

- Signalling Requirements for interworking between functional entities in the IN and IP-networks;
- Signalling Requirements to support benchmark capabilities between functional entities in the IN and IP-networks;
- Architecture supporting the transport of higher layer session multimedia protocols between IP-network and the Circuit Switched Network;
- Interworking and addressing of service control functions and service control gateway functionality across IN and IP-network boundaries;
- Study of the security aspects.

The present document only considers scenarios for interworking IN CS-3 capabilities and IP-based networks. Service and network integration is outside the scope of the present document.

The management functional entity requirements and interfaces are outside the scope of the present document.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication and/or edition number or version number) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies.

- [1] Void.
- [2] Void.
- [3] Void.
- [4] ITU-T Recommendation Q.1224: "Distributed functional plane for intelligent network Capability Set 2".
- [5] ITU-T Recommendation Q.1231: "Introduction to Intelligent Network Capability Set 3".
- [6] ITU-T Recommendation H.225.0: "Call signalling protocols and media stream packetization for packet-based multimedia communication systems".
- [7] ITU-T Recommendation H.245: "Control protocol for multimedia communication".
- [8] ITU-T Recommendation H.246: "Interworking of H-Series multimedia terminals with H-Series multimedia terminals and voice/voiceband terminals on GSTN and ISDN".
- [9] ITU-T Recommendation H.248: "Gateway control protocol".
- [10] ITU-T Recommendation H.323: "Packet-based multimedia communications systems".
- [11] IETF RFC 2543 (1999): "SIP: Session Initiation Protocol".
- [12] IETF RFC 2458 (1998): "Toward the PSTN/Internet Inter-Networking - Pre-PINT Implementations".

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- [16] IETF RFC 791 (1981): "Internet Protocol".
- [17] IETF RFC 3015 (2000): "Megaco Protocol Version 1.0".
- [18] ETSI ES 201 915 (V1.1.1) Parts 1 to 12: "Open Service Access; Application Programming Interface".
- [19] ITU-T Recommendation Q.1244: "Distributed functional plane for Intelligent Network Capability Set 4".

3 Definitions and abbreviations

3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

call: point-to-point multimedia communication between two H.323 endpoints

NOTE: The call begins with the call set-up procedure and ends with the call termination procedure. The call consists of the collection of reliable and unreliable channels between the endpoints. A call may be directly between two endpoints, or may include other H.323 entities such as a Gatekeeper or MG. In case of interworking with some CSN endpoints via a Gateway, all the channels terminate at the Gateway where they are converted to the appropriate representation for the CSN end system. Typically, a call is between two users for the purpose of communication, but may include signalling-only calls. An endpoint may be capable of supporting multiple simultaneous calls.

call signalling channel: reliable channel used to convey the call set-up and teardown messages (see ITU-T Recommendation H.225.0) between two H.323 entities

composite gateway: logical entity composed of a single MGC and one or more MGs that may be reside on different machines

NOTE: Together, they preserve the behaviour of a gateway as defined in ITU-T Recommendations H.323 [10] and H.246 [8].

GateKeeper (GK): H.323 entity on the network that provides address translation and controls access to the network for H.323 terminals, Gateways and MCUs

NOTE: The Gatekeeper may also provide other services to the terminals, Gateways and MCUs such as bandwidth management and locating Gateways.

GateWay (GW): an H.323 GateWay (GW) is an endpoint on the network which provides for real-time, two-way communications between H.323 Terminals on the packet based network and other ITU Terminals on a switched circuit network, or to another H.323 Gateway

NOTE: Other ITU-T terminals include those complying with Recommendations H.310 (H.320 on B-ISDN), H.320 (ISDN), H.321 (ATM), H.322 (GQOS-LAN), H.324 (GSTN), H.324M (Mobile), and V.70 (DSVD).

H.248: describes a control model and protocol for an MGC to control an MG

NOTE: An MGC-MG association reserves the behaviour of a H.323 gateway. H.248 has been developed in ITU-T SG16, in co-operation with IETF MEGACO, with the intention of providing a single, international standard for Media Gateway Control.

H.323 Entity: any H.323 component, including terminals, Gateways, Gatekeepers, MGCs and MGs

H.323 Service Control Protocol: specifies protocol for multimedia communications over packet networks to be used between gatekeepers

NOTE: This is work under study in SG16, intended to enhance service related information transfer to and from the gatekeeper, which is currently limited to RAS. This work is expected to be strongly influenced by the IN-IPT interworking model and the joint work of SG16/SG11 in general.

IP-address: 32-bit address defined by the Internet Protocol in IETF RFC 791

NOTE: It is usually represented in dotted decimal notation.

IP-network: general term denoting networks based on the Internet Protocol (IP) suite

NOTE: A network which uses IP as the Layer 3 protocol.

JAVA: software platform trademark of Sun Microsystems

Media Gateway (MG): converts media provided in one type of network to the format required in another type of network

NOTE: For example, an MG could terminate bearer channels from a switched circuit network (i.e. DSOs) and media streams from a packet network (e.g., RTP streams in an IP-network). This gateway may be capable of processing audio, video and T.120 alone or in any combination, and will be capable of full duplex media translations. The MG may also play audio/video messages and perform other IVR functions, or may perform media conferencing.

Media Gateway Controller (MGC): controls the parts of the call state that pertain to connection control for media channels in a MG

proxy, proxy server: intermediary program that acts as both a server and a client for the purpose of making requests on behalf of other clients

NOTE: Requests are serviced internally or by passing them on, possibly after translation, to other servers. A proxy interprets, and, if necessary, rewrites a request message before forwarding it, see [11]. This functional element is functionally similar to the user agent server. In essence the proxy server is comprised of both a SIP client and a SIP server.

RAS (Reservation, Admission and Status): the RAS signalling function uses H.225.0 messages to perform registration, admissions, bandwidth changes, status, and disengage procedures between endpoints and Gatekeepers

NOTE: For details refer to ITU-T Recommendations H.323 [10] and H.225.0 [6].

RAS channel: reliable channel used to convey the registration, admissions, bandwidth change, and status messages (following ITU-T Recommendation H.225.0) between two H.323 entities

redirect server: server that accepts a SIP request, maps the address into zero or more new addresses and returns these addresses to the client

NOTE: Unlike a proxy server, it does not initiate its own SIP request. Unlike a user agent server, it does not accept calls, see [11]. The redirect server is not responsible for call control but will simply respond to SIP requests with a new address.

registrar server: simply responds to registrar requests

NOTE: Typically this is co-located with either the proxy or the redirect server, and may be adapted to perform location-based services, see [11].

server: application program that accepts requests in order to service requests and sends back responses to those requests

NOTE: Servers are either proxy, redirect or user agent servers or registrars [11].

Session Initiation Protocol (SIP): text-based protocol, similar to HTTP and SMTP, for initiating interactive communication sessions between users

NOTE: Such sessions include voice, video, chat, interactive games, and virtual reality. The IETF SIP working group is chartered to continue the development of SIP.

terminal: an H.323 Terminal is an endpoint on the network which provides for real-time, two-way communications with another H.323 terminal, Gateway, or Multipoint Control Unit

NOTE: This communication consists of control, indications, audio, moving colour video pictures, and/or data between the two terminals. A terminal may provide speech only, speech and data, speech and video, or speech, data and video.

User Agent (UA): application which contains both a user agent client and user agent server

User Agent Client (UAC), calling user agent: the user agent client is the functional entity that may initiate a SIP request

User Agent Server (UAS) called user agent: a user agent server is a server application that contacts the user when a SIP request is received and that returns a response on behalf of the user

NOTE: The response accepts, rejects or redirects the request. The user agent server is the functional entity that may initiate a SIP response.

Zone: collection of all Terminals (Tx), GateWays (GW), and Multipoint Control Units (MCU) managed by a single GateKeeper (GK)

NOTE: A Zone includes at least one terminal, and may or may not include Gateways or MCUs. A Zone has one and only one Gatekeeper. A Zone may be independent of network topology and may be comprised of multiple network segments that are connected using routes (R) or other devices.

3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

| | |
|--------|---|
| API | Application Programming Interface |
| ASP | Application Service Provider |
| BCF | Bearer Control Function |
| BCSM | Basic Call State Model |
| BICC | Bearer Independent Call Control |
| C/B GF | Call/Bearer Gateway Function |
| CCF | Call Control Function |
| CM | Call Manager |
| CORBA | Common Object Request Broker Architecture |
| CSN | Circuit Switched Network |
| CTD | Click-To-Dial |
| CTF | Click-To-Fax |
| CTFB | Click-To-Fax Back |
| DA-GF | Dial Access Gateway Function |
| DFP | Distributed Functional Plane |
| DN | Directory Number |
| DRC | Direct Routed Call |
| DSS1 | Digital Subscriber Signalling system No.1 |
| GCI | Global Connection Identifier |
| GF | Gateway Function |
| GK | GateKeeper |
| GRC | Gatekeeper Routed Call |
| GT | Global Title |
| GW | GateWay |
| HTTP | Hyper Text Transfer Protocol |
| IAP | Internet Access Provider |

| | |
|---------|---|
| IDP | Initial DP |
| IETF | Internet Engineering Task Force |
| IN | Intelligent Network |
| IP | Internet Protocol |
| IPT | IP Telephony |
| ISDN | Integrated Services Digital Network |
| ISP | Internet Service Provider |
| ISUP | ISDN User Part |
| ITU-T | International Telecommunications Union |
| JAIN | Java APIs for Integrated Networks |
| MCU | Multipoint Control Unit |
| MEGACO | MEdia GAteway COntrol |
| MG | Media Gateway |
| MGC | Media Gateway Controller |
| MIB | Managed Information Base |
| MM-GF | Media Manager Gateway Function |
| MRF | Media Resource Function |
| MSISDN | Mobile Subscriber ISDN number |
| MTP | Message Transfer Part |
| NAI | Network Access Identifier |
| OAM | Operation and Maintenance |
| O-BCSM | Originating BCSM |
| PC | Personal Computer |
| PDU | Protocol Data Unit |
| PINT | PSTN Internet Interworking |
| PSTN | Public Switched Telephone Network |
| QoS | Quality of Service |
| RAS | Registration Admission and Subscription |
| RM | Resource Manager |
| RTCP | Real-Time Control Protocol |
| RTP | Real-Time Protocol |
| SA-GF | Service Application Gateway Function |
| SCCP | Signalling Connection Control Part |
| SCF | Service Control Function |
| SC-GF | Service Control Gateway Function |
| SCTP | Simple Control Transmission Protocol |
| SDF | Service Data Function |
| SDP | Session Description Protocol |
| S-GF | Signalling Gateway Function |
| SIP | Session Initiation Protocol |
| SM | Session Manager |
| SMF | Service Management Function |
| SMTP | Simple Mail Transfer Protocol |
| SPC | Signalling Point Code |
| SPIRITS | Service in the PSTN/IN Requesting InTernet Service |
| SRF | Specialized Resource Function |
| SSCOP | Service Specific Connection-Oriented Protocol |
| SSF | Service Switching Function |
| T-BCSM | Terminating BCSM |
| TDP | Trigger Detection Point |
| TIPHON | Telecommunication and Internet Protocol Harmonization Over Networks |
| UA | User Agent |
| UAC | User Agent Client |
| UAS | User Agent Server |
| UDP | User Datagram Protocol |
| URL | Universal Resource Locator |
| VoIP | Voice over Internet Protocol |
| VPN | Virtual Private Network |

4 Services

It is intended to support IN CS-3 benchmark services, Internet based service customization and Voice over IP.

4.1 Benchmark services

List of benchmark services:

- Internet Call Waiting (ICW) Service;
- IN-based NAI/URL Address translation and resolution services;
- IN-based service for Dial-up Internet Access;
- Click-to-Dial (CTD) Service;
- Click-to-Fax (CTF) Service;
- IN-IP Telephony Interworking;
- IN-IP personal mobility service.

4.2 IN-Internet service examples

- Request-to-Call-Back CSN
A user is able to initiate a telephone call by clicking a button during a Web session. The call can be first set up in the direction of the requester of the call, or first be set up in the direction of the party the requester wants to be connected to. E.164 addressing for both A-party and B-party is assumed, and both parties are assumed to be connected to the Circuit Switched Network. Possible reasons for failures are A-party busy, A-party no answer, B-party busy, B-party no answer. No detailed notifications are reported back to the requester. An example of an application of this feature would be on-line shopping: A user is browsing through an on-line catalogue, and clicks a button thus inviting a call from a sales representative. In the IN the request could be handled depending on availability of agent, time of day, etc.
- Request-to-Call-CSN
A user is able to initiate a telephone call by clicking a button during a Web session. The requested call is to be set up between two parties identified by E.164 addresses, which are connected to the Circuit Switched Network. The requester him/herself may or may not take part in the call to be set up. Possible reasons for failures are A-party busy, A-party no answer, B-party busy, B-party no answer. No detailed notifications are reported back to the requester.
- Request-to-Call-Back IP
A user is able to initiate a telephone call by clicking a button during a Web session. The call can be first set up in the direction of the requester of the call, or first be set up in the direction of the party the requester wants to be connected to. E.164 addressing for both A-party and B-party is assumed, and one or both parties have a VoIP service. A VoIP user might be a mobile user as well. Possible reasons for failures are A-party busy, A-party no answer, B-party busy, B-party no answer. No detailed notifications are reported back to the requester. An example of an application of this feature would be on-line shopping: A user is browsing through an on-line catalogue, and clicks a button thus inviting a call from a sales representative. In the IN the request could be handled depending on availability of agent, time-of-day etc.
- Request-to-Call IP
A user is able to initiate a telephone call by clicking a button during a Web session. The requested call is to be set up between two parties identified by E.164 addresses, where one or both parties have a VoIP service. A VoIP user might be a mobile user as well. The requester him/herself may or may not take part in the call to be set up. Possible reasons for failures are A-party busy, A-party no answer, B-party busy, B-party no answer. No detailed notifications are reported back to the requester.
- End User Service Data Customization via an IP-network
A service end-user can customize his/her service data, service profile via an IP-network.

NOTE: This feature has been implemented for IN CS-3 for access through PSTN. See SMF - SMF [5].

- **Request-to-Fax**
A user of an IP-network who does not have access to a fax machine is able to send a fax to a receiver who has access to a fax machine but not to an IP-network, during a Web session. The receiver is identified by an E.164 number. Possible reasons for failure are receiver busy, receiver no answer. No detailed notifications are reported back to the requester. An example of an application of this feature would be a hotel reservation form on the website of a travel agent, where the user fills out the form and then clicks a button to request the form to be sent as a fax to the hotel being reserved.
- **Request-to-Fax-Back**
An Internet user who has access to a fax machine is able to request (and subsequently receive) to have certain electronically stored information sent by fax during a Web session. The requester is identified by an E.164 number. Possible reasons for failure are receiver busy, receiver no answer. No detailed notifications are reported back to the requester.
- **Request-to-Hear-Content-from-IP**
A user shall have the possibility to have access to Web content by telephone. The user can have a subset of a Web page content delivered in audio form via telephone. The requesting user has access to an IP-network, and can invoke this service via a Web session. The receiving user is identified by an E.164 number. Possible reasons for failure are receiver busy, receiver no answer. No detailed notifications are reported back to the requester.
- **Request-to-Hear-Content-from-CSN**
A user shall have the possibility to have access to Web content by telephone. The user can have a subset of a Web page content delivered in audio form via telephone. The requesting user has access to the Circuit Switched Network (e.g. PSTN/ISDN), and can invoke this service via the PSTN/ISDN. The receiving user is identified by an E.164 number. Possible reasons for failure are receiver busy, receiver no answer. No detailed notifications are reported back to the requester.
- **Internet Call Waiting**
A user is notified of incoming calls during a Web session and, by clicking a button, is able to instruct the network on how this call shall be further processed (e.g. rejected, forwarded to a voice mail system, accepted with or without interruption of the Web session (in case of acceptance without Web session interruption VoIP is assumed)). A sub-set of this feature would be just to keep a log of the times a user receives calls during an Internet session.
- **Web Controlled PSTN/IP Conferencing Service**
Basic Web controlled PSTN/IP conference call, initiation of conference call, adding parties.
- **IP Gateway Selection**
A service involving a CSN connection to a gateway to an IP-network is provided, making use of a network setup with several gateways towards the IP domain. An IN service is used to decide on which physical gateway to use, based among other things on the availability of the gateway, or on its load.
This scenario is applicable for so-called Internet Access Servers (IAS) as well as Voice over IP (VoIP) gateways.
- **Call Logging Service**
The Call Logging Service gives the ability to the Network Service Provider to provide the Internet user with all incoming related information (e.g., Calling Party Identification, Time Called, etc.) while the user is busy, i.e. logged on to a Web session, etc. The Network Service Provider offering such a service (i.e., Call Logging Service) also provides the capability to the user to retrieve such call related information at a later time. The Call Logging Service is associated with the Internet Call Waiting service offered by the Network Service Provider and the user must subscribe to these features to avail the benefits of the Call Logging Service. Additionally, a Network Service Provider may provide the capability to the user to present all incoming call related information to user (e.g., an IP client).
- **NAI/URL Address Translation Service**
The following are service features and requirements related to address translation:
 - Registration of previously registered IP-addresses of the communicating end systems within IN infrastructure.
 - Registration of mnemonic addresses (e.g., Names) of the communicating end systems infrastructure.

- Optionally, it should be possible to disseminate the registered information to where it is needed, and collect registered information from other service providers vital for address translation on a global basis.
- E.164 to IP-Address translation result:
 - For the user with dial-up access to Internet through telephone line, the IP-address is dynamically allocated. The translation result is provided from Internet to IN;
 - For the Intranet user, the IP-address is statically allocated and the associated E.164 number is a pre-assigned feature number. The translation result is provided from IN to Internet;
- It should be possible for the network to support the following as part of address translation:
 - Time-of-day translation;
 - 1-to-N address translation;
 - N-to-1 address translation;
- It shall be possible for the network to allow terminals to register the following:
 - Terminal characteristics (e.g., Video/Audio Coder characteristics);
 - QoS related parameters;
 - Different levels of security; and
 - Authentication.
- PINT benchmark services: click-to-dial, click-to-fax-back, click-to-fax, voice-to-access-content.
- Extended PINT services: PC-to-phone case for click-to-dial, phone initiated voice-to-access-to-content.
- Internet telephony (iPtel): phone-to-PC, PC-to-phone, PC-to-PC (dial-up access).
- Internet user incoming call screening.
- Service data customization through Internet.
- IN-based service for dial-up Internet Access.
- Conferencing Services.
- Multimedia control services.

5 Functional architecture

5.1 Introduction

The functional model proposed is an extension of the IN CS-2 functional model (see figure 5.1). It is intended to support IN CS-3 benchmark services, Internet based service customization and termination of Voice over IP to reach users in the telephone domain as well as general IN management capabilities.

The clause describes the functional entities required to support the IN CS-3 benchmark features, which include:

- New functional entity requirements;
- Extensions to existing functional entities as required;
- Lower layer protocol gateway and mapping function requirements.

5.2 Functional model

Figure 5.1 illustrates the functional architecture. This network architecture depicts the distribution of network intelligence. This diagram depicts the functional entities and relationships applicable to IN CS-3. This diagram is a subset of the generic Distributed Functional Plane (DFP) model for IN CS-3. Note that this architecture can be deployed entirely within an ISDN/PSTN or IP-network or a combination of both.

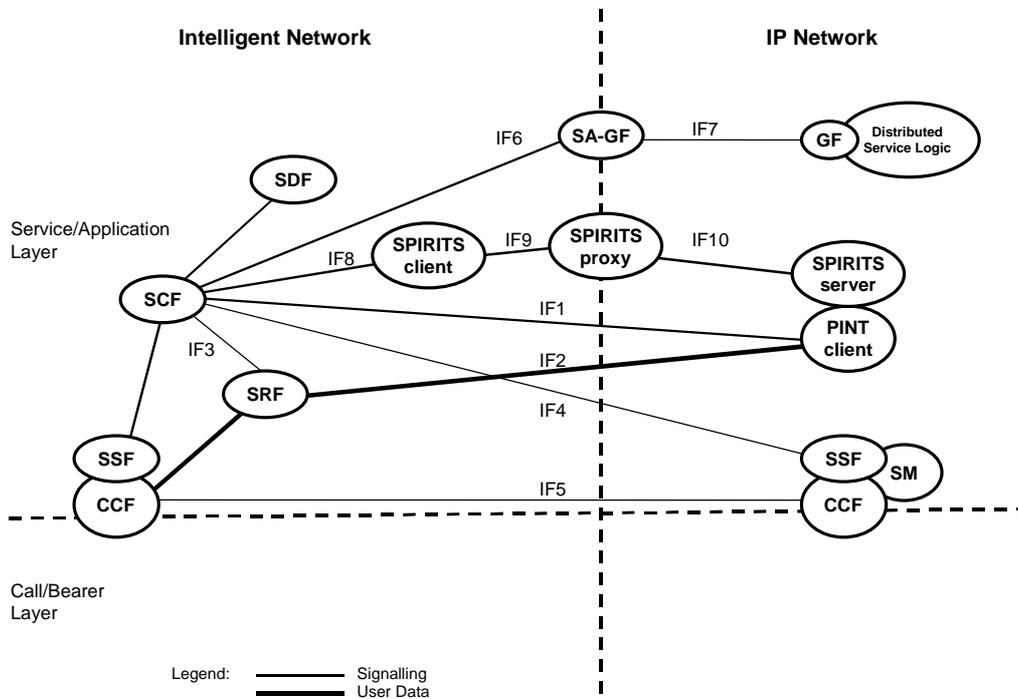


Figure 5.1: Enhanced functional architecture for IN support of IP-networks

Table 5.1 provides a summary of the peer-to-peer protocols and the signalling network requirements of IN CS-3 support of IP-networks, for the functional architecture depicted in figure 5.1.

Table 5.1: Protocols and signalling requirements for IN support of IP-networks

| Interface | Functional Entities | Protocols | Reference |
|-----------|--|--|---|
| IF1 | SCF to PINT server | SIP(PINT) Protocol | Over (TCP)UDP/IP or Over SCCP/MTP |
| IF2 | SRF to PINT server | FTP(PINT) Protocol | Relayed over (TCP)UDP/IP or Over SCCP/MTP |
| IF3 | SCF to SRF | Core INAP | Over TC/SCTP/IP or Over TC/SCCP/MTP |
| IF4 | SCF to SSF | Core INAP Call or RAS related | Over TC/SCTP/IP or Over TC/SCCP/MTP |
| IF5 | CCF to CCF | ISUP Control Plane/BICC or SIP call Control | Over MTP or SCTP/IP or SSCOP/IP |
| IF6 | SCF -to SA-GF | Service Provider Application API | Over TC/SCCP/MTP |
| IF7 | SA-GF to GF for Distributed Service Logic | Service Provider Application API | Over SCTP/IP |
| IF8 | SCF to SPIRITS client | SIP (SPIRITS) | Over (TCP)UDP/IP or Over SCCP/MTP |
| IF9 | SPIRITS client to SPIRITS proxy | SIP (SPIRITS) | Over (TCP)UDP/IP or Over SCCP/MTP or Over SCTP/IP |
| IF10 | SPIRITS proxy to SPIRITS server | SIP (SPIRITS) | Over (TCP)UDP/IP or Over SCTP/IP |

NOTE 1: This architecture can be deployed entirely within an ISDN/PSTN or IP-network or a combination of both.

NOTE 2: The SRF is independent of the classical IN- or IP domain if may be located on either side of the functional architecture. Its location will impact the protocol stack used to control this entity.

NOTE 3: IF5 is illustrated since it indicates Call Control across this reference point, these Call Control requirements are required as they give rise to the Call States which result in the IN triggering conditions.

5.3 New functional entity requirements

The following new functional entities are required:

- PINT server;
- Service Application Gateway Function (SA-GF);
- Session Manager (SM);
- SPIRITS client;
- SPIRITS proxy;
- SPIRITS server.

5.3.1 PINT server

A PINT server accepts PINT requests from PINT clients. It processes the requests and returns responses to the clients. A PINT server may perform these functions as a proxy server or a redirect server. A proxy server makes requests to another PINT server on behalf of its clients; a redirect server returns to its client's addresses of other PINT servers to which requests can be redirected. The gateway capability includes the ability to communicate with a so-called Executive System located outside the IP-network domain that will actually perform the service call requested by a PINT client, see [12] and [13].

Additionally, this function transfers data (e.g. fax data) between IP-networks and the IN, and associates IP-network entities with the related entities in gateway function. This function is situated at the edge of the IP-network domain, where the Application Association with PINT client/server is subject of standardization of the IETF PINT work group and where the Application Association with SCF in the IN domain.

The functions related to PINT server are:

- In case the Executive System is an IN system, the PINT server delivers received PINT requests to the SCF. It provides the SCF with the necessary information to control service requests, identify users and authenticate data, and protect the IN from misuse or attacks from the IP-network. Furthermore, it hides the SCF/SRF from entities in the IP-network domain and acts as a mediation device between the IP-network and the IN.
- It also relays requests from an SCF to the IP-network domain to perform services (e.g. user notification).

5.3.2 Service Application Gateway Function (SA-GF)

The Service Application Gateway Function (SA-GF) allows the interworking between the IN service control layer and the Distributed Service Logic applications (API based functions) in IP domain.

For IN CS-3, on the application level, the types of API based functionality may include:

- CORBA platforms;
- JAVA platforms;
- JAIN platforms;
- Other API based platforms.

Additionally this functionality may provide protocol mapping/service mediation.

5.3.3 Session Manager (SM)

The Session Manager (SM) is responsible for managing the IP-network services. On the IP side it exposes the Registration interface, but one cannot assume that service interactions are only based on Registration flows. The SM may initiate activities caused by call control signalling events, in case of collocated SM and CM. The SM shall participate in domain/zone management and call signalling.

General functions that need to be supported by this SM:

- Service Profile Data filtering/parsing/mapping;
- Security/Authentication;
- Real Time data collection (billing/parsing);
- Triggering of services (in the IN domain or in the IP-network domain);
- Configuration/dimensioning;
- Flow control.

This entity is responsible for passing registration and admission related information to and from IN service layer, namely the SCF. As such, the SM may contain an SSF-like functionality or subset thereof, to model the pre- and post-conditions that are required to interact with an SCF.

5.3.4 SPIRITS client

SPIRITS client is responsible for receiving PSTN requests from the SCF as well as sending responses back. It may be co-located with the SCF. If not, it communicates with the SCF via the IF8 interface.

5.3.5 SPIRITS proxy

SPIRITS proxy, which serves as an intermediary between the SPIRITS server and SPRITS client and may be co-located with the PINT Gateway. It communicates with the SPIRITS server via the IF9 interface and the SPIRITS client via the IF10 interface.

5.3.6 SPIRITS server

SPIRITS server, which terminates PSTN requests and is responsible for all interactions (e.g. incoming call notification and relaying the call treatment) between the subscriber and the SPIRITS proxy via the IF10 interface.

5.4 Extensions to existing functional entity requirements

Necessary extensions to existing IN functional entities are required, these include:

- Specialized Resource Function (SRF);
- Service Control Function (SCF);
- Service Data Function (SDF);
- Service Switching Function (SSF);
- Call Control Function (CCF).

5.4.1 Specialized Resource Function (SRF)

This function has to be extended by capabilities to exchange data with gateway functions to IP-networks. Additionally, for some of the services it needs to support specialized resources with media transformation functions such as:

- Text-to-fax;
- Text-to-speech, see [4];
- Speech-to-text;
- Fax-to-text;
- IP voice coding to PSTN voice coding conversion;
- IP packet data to PSTN voice coding conversion;
- IP packet data to PSTN/ISDN fax coding conversion.

This network-based enhanced SRF may also be referred to as a Media Resource Function (MRF), see [15]. The implementation of the MRF will include a resource control interface (e.g. enhanced Core INAP, MEGACO, JAIN, etc.) and a call control interface (e.g. ITU-T Recommendation H.225.0 [6] or SIP) when based in the network.

5.4.2 Service Control Function (SCF)

Extensions or impacts are for further study.

5.4.3 Service Data Function (SDF)

For some services there may be a need for the SCF to access a database type of entity with service related information to be shared between the IN and the IP-network. (As for Internet dial-up access, Internet Call Waiting, such as the association between a PSTN number and an IP-address, etc.)

Therefore the functionality - "SDF contains data pertaining to modem usage/available factor for Internet dial-up access" - needs to be added to the SDF description.

5.4.4 Service Switching Function (SSF)

The enhanced SSF interacts with the SCF (the IN CS-3 SCF via Core INAP) and the CCF (the IP representation of the CCF) mapping the Call Control Protocol into the Core INAP events trigger points and procedures, where applicable.

The relationship of the SSF to the classical SSF is as follows:

- Many processes, such as call control, database and billing are retained or enhanced;
- Triggering of services (in the IN domain or in the IP-network domain);
- Feature Interaction Management.

NOTE: The Click to Dial type of services can be supported based on IN CS-3 capabilities.

5.4.5 Call Control Function (CCF)

CCF is an enhanced functional entity, responsible for handling call signalling on either network. The CCF communicates with the SM using registration and admission capabilities. To support ISUP signalling, the CCF has to implement H.246 [8], annex C. In that case it appears to the IN side CCF as being another CCF. This functionality includes handling the management of the call processing, and call signalling.

This entity is responsible for passing service related information to and from IN service layer, namely the SCF, and managing the service control relationship. As such, the CCF may contain an SSF-like functionality or subset thereof, to model the pre- and post-conditions that are required to interact with an SCF.

The CCF could be seen as a logical switch including call control signalling (e.g. ITU-T Recommendation H.225.0 [6]) and connection control signalling (see ITU-T Recommendation H.245 [7]) for VoIP is transferred via the MM-GF, which makes network routing decisions.

The CCF can require SCF assistance for these routing decisions, e.g. for number translation services, number portability, user profile consultation, VPN support.

The functions related to the CCF are:

- Interworking for:
 - Number portability;
 - Number translation services;
 - user profile consultation;
 - VPN support;
 - OAM;
- General functions that need to be supported by this function:
 - Data filtering/parsing/mapping;
 - Security/Authentication;
 - Real Time data collection (billing/parsing);
 - Configuration/dimensioning;
- Flow control;
- Circuit switching and ancillary processes are removed;
- The H.323 or SIP server interworking functions are added.

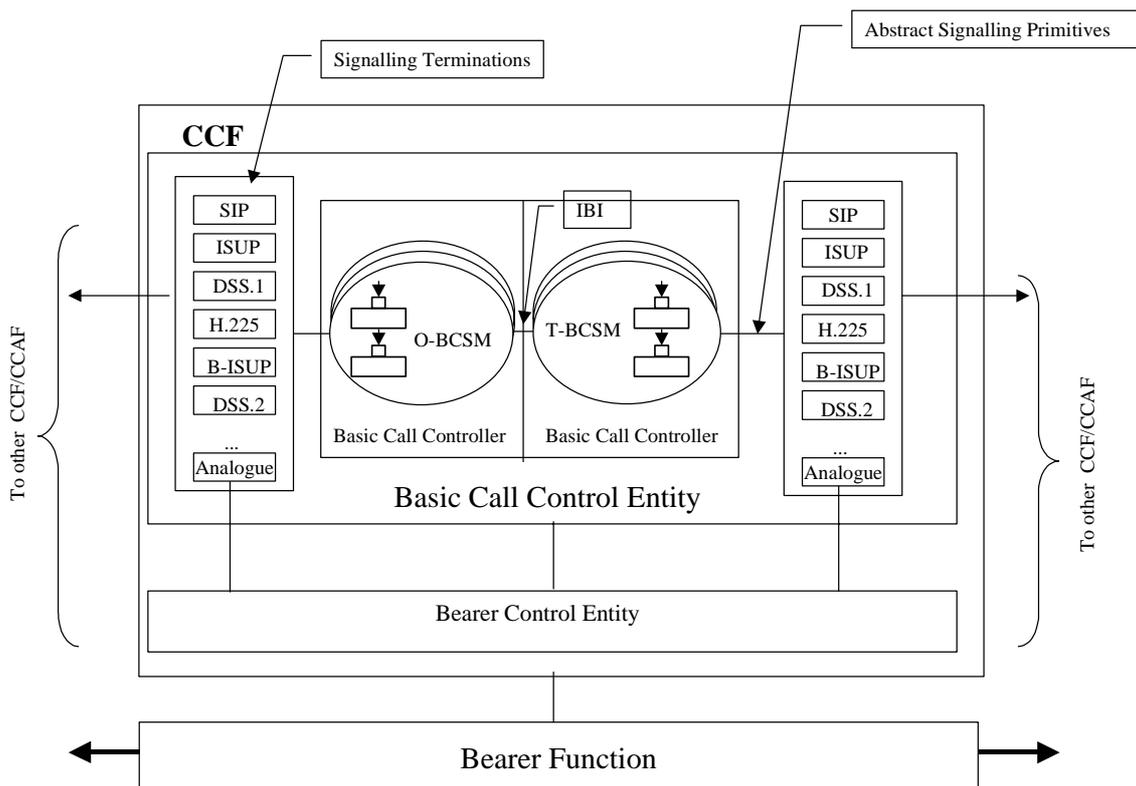


Figure 5.2: CCF decomposition overview

The CCF, as shown in figure 5.2, also contains a (RM) resource manager function, analogous to the high layer resource control function in the decomposed H.323 version 4 gateway. This MGC like function is responsible for controlling the lower layer resource control function in the decomposed H.323 version 4 gateway, commonly referred to as MG. An example of a protocol on this reference point is the H.248 Media Gateway Control Protocol. This functionality includes handling the management of the logical channels, e.g. H.245 control signalling.

The RM part of the CCF could be seen as a logical Bearer Control Function (BCF). When using connection control signalling (H.245) for VoIP that is transferred via the CCF, the CCF makes network routing decisions.

It is recognized that Core INAP interacts with and maps to the underlying call control signalling (e.g. Q.931, ISUP, BICC, H.225.0 and SIP) in the CCF. The call control may invoke H.248 media and connection operations, for legs, media, packages independent of before or after the IN interaction. Where a Call Control protocol is encapsulated in an H.248 package, mapping to this package or to the embedded protocol may also need to be specified:

- **Physical Location:** The H.323 Gatekeeper/SIP server and SSF, which may be located in any network since Core INAP signalling is standardized for international use. And is call control protocol independent.
- **Physical Realization:** From the control of VoIP viewpoint the Service Capability server and the H.323 Gatekeeper/SIP server may be combined in one network entity or may be separate in separate network entities. If they are separate, standardization of the interface may be required.
- **IP PDU Routing:** For the routing of IP call control packets to/from the H.323 Gatekeeper/SIP proxy server it is simply assumed that appropriate addressing and routing takes place.

5.5 Functional interfaces

The following interfaces are considered (see figure 5.1):

- IF1: SCF to PINT server interface;
- IF2: SRF to PINT server interface,
- IF3: SCF to SRF interface,
- IF4: SCF to SSF interface,
- IF5: CCF to CCF interface,
- IF6: SCF to SA-GF interface,
- IF7: SA-GF to GF for distributed service logic platforms interface,
- IF8: SCF to SPIRITS client interface,
- IF9: SPIRITS client to SPIRITS proxy interface,
- IF10: SPIRITS proxy to SPIRITS server interface.

The Functional Architecture is flexible enough to cover all underlying IP, Media and bearer independent call control protocols though specific mapping between the Core INAP procedures, trigger criteria and events against the procedures, conditions and call states of the underlying call control protocol may need specification. This mapping is technology dependent.

5.5.1 IF1: SCF to PINT server interface

This interface is used to trigger the SCF with service requests, to allow the SCF to instruct the collection of information necessary to execute the service (identity, charging and authenticity information), and to control the gateway during service execution.

The SCF should be able to send service or modification requests to the IP-network; possibly via the SC-GF (see clause 5.6.2) if used.

This interface will relay requests either from the IN or the IP-network. This interface is modelling the information relay.

The IETF PINT working group has developed a protocol set based on a modification of the Session Initiation and Session Description Protocols (SIP and SDP), see [12] and [13]. The architectural configuration envisaged is that end users will make service requests. These requests will be marshalled and converted into SIP/SDP messages by a dedicated PINT client that will be sent to an optional PINT server. The PINT server will further relay the service requests to the SCF. From the perspective of the IP-network requesting user, this PINT Gateway with its connected Executive System is responsible for processing and executing their service feature request; any entities (such as the IN entities) are "hidden" behind this SC-GF (see clause 5.6.2), and their operation is transparent to the IP-network users.

In addition, information exchange is also possible in the direction towards the PINT server, e.g. for notification requests.

5.5.2 IF2: SRF to PINT server interface

IF2 is used to establish a data connection and to exchange data between the SRF and the PINT server (on request of the SCF). Data are to be exchanged if the respective service requires not only to control the PSTN/IN, but also to transfer data between the GF and the PSTN.

This interface may not require standardization, as it will be a data stream to e.g. the SRF text conversion function.

The PINT RFC [12] and [13] specifies extensions to file transfer to illustrate the use of this interface.

5.5.3 IF3: SCF to SRF interface

This interface reflects an extension of the existing SCF-SRF relationship. It is used to request the SRF by the SCF to retrieve the appropriate data from the gateway function. This may require transfer of correlation information to address the GF and the appropriate data. In addition, the SCF instructs the SRF to transform the retrieved data into other formats and to transfer this data over the PSTN/PLMN to the end user.

This interface will require enhancements to the existing ITU-T standard for this reference point (see ITU-T Recommendation Q.1231 [5]).

5.5.4 IF4: SCF to SSF interface

This interface reflects the requirement to carry an IN-based signalling protocol for IP and Multimedia services. This interface relays the IP Multimedia control plane triggered events to and from the SCF.

This interface is required to trigger and control value added services from a SIP proxy or H.323 gatekeeper function in the IP-network e.g. for multimedia access from the Internet "dial-up" access.

This interface may require standardization.

5.5.5 IF5: CCF to CCF interface

This interface reflects the requirement to carry an ISDN control plane signalling protocol for Multimedia services. This interface relays the IP Multimedia user plane received from the CCF. This interface is required for VoIP-based services.

This interface may require standardization but is not expected to be IN specific.

5.5.6 IF6: SCF to SA-GF interface

This interface reflects the requirements pertinent to the IF6 interface. However, the possibility of physically or functionally co-locating these functional entities would remove this from standardization.

5.5.7 IF7: SA-GF to GF for distributed service logic platforms interface

The SA-GF to Distributed Service Logic Platforms interface represents standard APIs allowing an Application Service Provider (ASP) to control defined capabilities offered by the underlying network via the SA-GF. The service logic execution of the application offered by the ASP typically is located in a separated domain than the SA-GF offering the API.

5.5.8 IF8: SCF to SPIRITS client interface

This interface is for communications between the SCF and the SPIRITS client. Specifically, from the SCF to the SPIRITS client, the parameters associated with the applicable IN triggers are sent. From the SPIRITS client to SCF, the subscriber's call disposition is sent. The SCF "transforms" the user's disposition into appropriate actions, such as playing an announcement to the caller, and resuming the suspended call processing in the SSF.

5.5.9 IF9: SPIRITS client to SPIRITS proxy interface

This interface is used for communications between the SPIRITS client and SPIRITS proxy. The SPIRITS proxy may in turn communicate with the SPRITS server, or may act as a virtual server, terminating the requests without sending them down to the SPIRITS server.

5.5.10 IF10: SPIRITS proxy to SPIRITS server interface

This interface serves two main purposes:

- 1) to notify the subscriber of incoming calls together with the calling number and name, if available; and
- 2) to send to the SPRITS proxy the subscriber's choice of call disposition specified in real-time.

5.6 Lower layer protocol gateway and mapping functions

5.6.1 Introduction

The following lower layer protocol gateways, mapping functions may be required depending on the protocol architectures employed in each domain. If required these functions will be implied at the CSN/IP domain boundary. These functions include:

- Signalling Gateway Function (S-GF);
- Service Control Gateway Function (SC-GF);
- Dial Access Gateway Function (DA-GF);
- Media Manager Gateway Function (MM-GF).

5.6.2 Lower layer protocol functional model

Figure 5.3 illustrates the functional architecture depicting the lower layer functional interface requirements to support the higher-level functional interfaces (illustrated in figure 5.1). This diagram is a subset of the generic DFP model for IN CS-3. Note that this architecture can be deployed entirely within an ISDN/PSTN or IP-network or a combination of both.

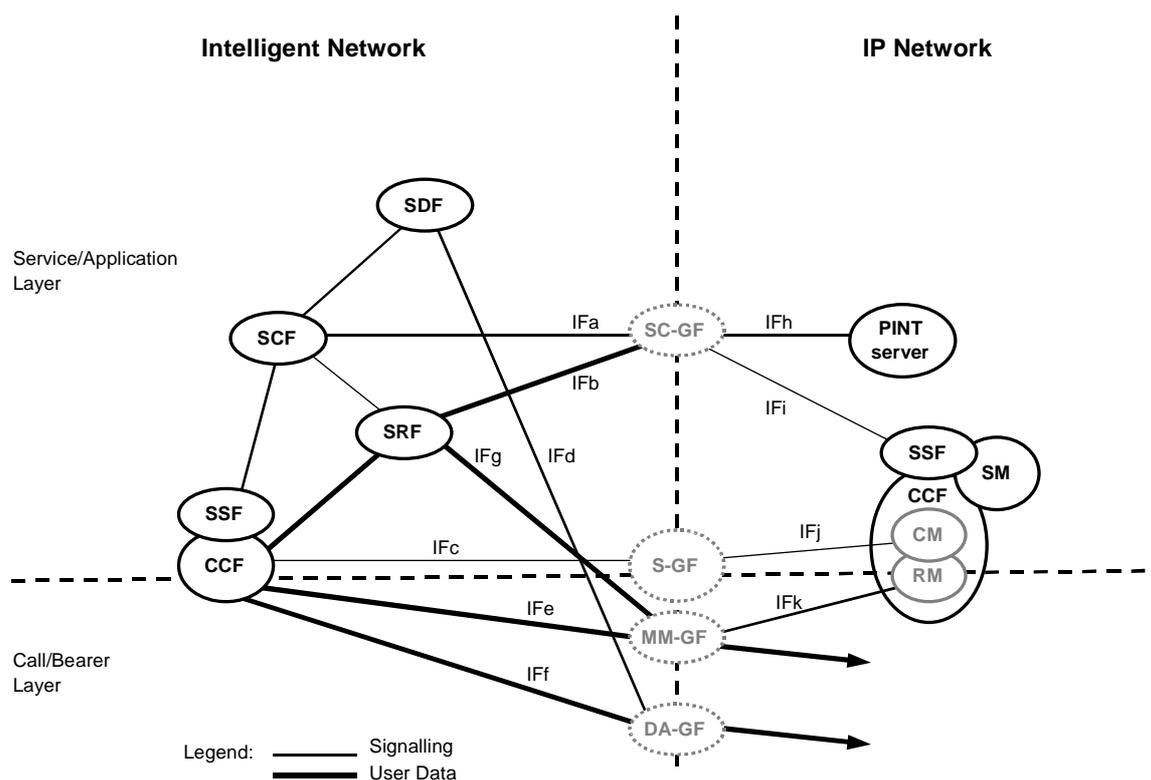


Figure 5.3: Lower layer protocol and mapping functional architecture for IN support of IP-networks

Table 5.2 provides a summary of the Lower layer protocol and mapping requirements for IN CS-3 support of IP-networks, for the functional architecture depicted in figure 5.3.

Table 5.2: Lower layer protocol and mapping requirements for IN support of IP-networks

| Interface | Functional Entities | High-Level Interface supported | Reference |
|-----------|----------------------|--|------------------------------------|
| IFa | SCF to SC-GF | IF1, IF4 | over SCCP/MTP or over (TCP)UDP/IP |
| IFb | SRF to SC-GF | IF2 | Over SCCP/MTP |
| IFc | CCF to S-GF | IF5 | Over MTP |
| IFd | SDF to D/A-GF | | e.g. X.500/SNMP |
| IFe | CCF to MM-GF | | Over SCCP/MTP |
| IFf | CCF to D/A-GF | Data Retrieval | Over SCCP/MTP |
| IFg | SRF to MM-GF | User Interaction/Content | ISDN User Plane/MTP or RTSP/TCP/IP |
| IFh | SC-GF to PINT server | IF1, IF2 | Over (TCP)UDP/IP |
| IFi | SC-GF to SSF | IF4 | Over SCTP/IP |
| IFj | S-GF to CCF CM | IF5 | Over SCCP/MTP or Over SCTP/IP |
| IFk | MM-GF to CCF RM | ITU-T Recommendation H.248 [9]/RFC 3015 [17] | Over SCTP/IP |

NOTE: The MM-GF and the DA-GF will relay the ISDN user plane information in an IP RTP/RTCP stream over TCP/IP.

5.6.3 Service Control Gateway Function (SC-GF)

The Service Control Gateway Function (SC-GF) allows the interworking between the service control layer in Intelligent Network and IP-networks. For IN CS-3 on the service control level the relations between the IN and the following entities in the IP-network are supported:

- This is a layer 2 mapping and addressing function.
- The SCF will be able to select one or more appropriate SSF/SIP Proxies/H.323 GKs dependent on different parameters (class of service requested by the user, placement of gateways, tariff, etc.). The SC-GF will be able to perform correct lower layer protocol and address translation functions.
- The SC-GF will allow interworking with several SSF/SIP Proxies/H.323 GK (Gatekeepers), including
 - PINT server;
 - SIP proxy;
 - H.323 GateKeeper function;
 - Others are for further study.

5.6.4 Signalling Gateway Function (S-GF)

The Signalling Gateway Function (S-GF) allows the interworking between the call control signalling in the CSN and IP-networks. This functional entity is optional, as it need not be required in all implementations. The following cases may be supported:

- This is a layer 2 mapping and addressing function.
- The S-GF will be able to:
 - perform correct lower layer protocol and address translation functions;
 - re-map between ISUP over SCCP/MTP and ISUP over SCTP/IP;

- The S-GF will allow interworking with several SSF/SIP Proxies/H.323 GK/H.248 MGCs, these include:
 - SIP proxy;
 - H.323 Gatekeeper function;
 - H.248 MGC functions;
 - Other functions are for further study.

5.6.5 Dial Access Gateway Function (DA-GF)

The Dial Access Gateway Function (DA-GF) supports the following functions:

- access to a packet network through the PSTN, e.g. Internet dial-up access via a modem connection;
- dynamically assigning IP-address for access user;
- providing access authentication, authorization and accounting.

5.6.6 Media Manager Gateway Function (MM-GF)

The Media Manager Gateway Function (MM-GF) is the functional entity within a gateway or MG, which is responsible for transforming CSN media (i.e. voice) to H.323 media (RTP/RTCP).

The MM-GF supports the following functions:

- Interworking of VoIP calls with PSTN calls;
- Service transcoding; e.g. for VoIP calls to PSTN telephony;
- IP voice coding to PSTN voice coding conversion;
- IP packet data to PSTN voice coding conversion;
- IP packet data to PSTN/ISDN fax coding conversion.

5.7 Lower layer functional interfaces

The following lower layer functional interfaces are to be considered (see figure 5.3):

- IFa: SCF to SC-GF interface;
- IFb: SRF to SC-GF interface;
- IFc: CCF to S-GF interface ;
- IFd: SDF to D/A-GF interface;
- IFe: CCF to MM-GF interface;
- IFf: CCF to DA-GF interface;
- IFg: SC-GF to PINT server interface;
- IFh: SC-GF to SSF interface;
- IFi: S-GF to CCF CM interface;
- IFj: MM-GF to CCF RM interface.

5.7.1 IFa: SCF to SC-GF interface

This interface will support the lower layer transport requirements of the IF1 interface (see clause 5.4.1). The IFa interface will transport the SCF with service requests, to allow the SCF to instruct the collection of information necessary to execute the service (identity, charging and authenticity information) requests, and to control the gateway during service execution to the IP-network via the SC-GF.

For example, for the Internet Call Waiting service, the SCF needs to notify the Internet user of an incoming call. Then, IFa should allow the SCF to request Internet services.

This interface may require ITU-T standardization co-operatively between the IETF and the ITU-T, to reflect the requirements pertinent to the IFa reference point.

5.7.2 IFb: SRF to SC-GF interface

This interface will support the lower layer transport requirements of the IF2 interface (see clause 5.4.2). The IFb interface will transport the data connection establishment requirements and the data exchange data between the SRF and the PINT server via the SC-GF.

This interface may not require standardization, as it will be a data stream to e.g. the SRF text conversion function.

5.7.3 IFc: CCF to S-GF interface

This interface reflects the requirements pertinent to the IF5 interface (see clause 5.4.5). This is the requirement to carry an ISDN control plane signalling protocol for Multimedia services. This interface relays the IP Multimedia user plane received from the CCF. This interface is required for Voice over IP based services.

5.7.4 IFd: SDF to DA-GF interface

This interface is required to control Internet access (availability control, etc.) for Internet dial-up access.

This interface may require standardization.

5.7.5 IFe: CCF to MM-GF interface

This interface reflects the requirement to carry an ISDN user plane protocol for Multimedia services. This interface relays the IP Multimedia user plane received from RTP/RTCP.

This interface is required for Voice over IP-based services.

This interface may require standardization but is not expected to be IN specific.

5.7.6 IFf: CCF to DA-GF interface

This interface reflects the requirements pertinent to the IFf interface.

5.7.7 IFh: SRF to MM-GF interface

This interface reflects the requirements pertinent to the IFh interface.

5.7.8 IFh: SC-GF to PINT server interface

This interface will support the lower layer transport requirements of the IF1 and IF2 interfaces (see clauses 5.4.1 and 5.4.2). The IFh interface will transport:

- the SCF with service requests, to allow the SCF to instruct the collection of information necessary to execute the service (identity, charging and authenticity information) requests, and to control the gateway during service execution to the IP-network via the SC-GF;
- the data connection establishment requirements and the data exchange data between the SRF and the PINT server via the SC-GF.

This interface may require ITU-T standardization co-operatively between the IETF and the ITU-T, to reflect the requirements pertinent to the IFh reference point.

5.7.9 IFi: SC-GF to SSF interface

This interface reflects the requirement to carry an IN-based signalling protocol for Multimedia services. This interface relays the IP Multimedia user plane. This interface supports the IF7 interface (see clause 5.4.7).

This interface is required to trigger and control value added services from a SIP proxy or H.323 gatekeeper function in the IP-network e.g. for multimedia access from the Internet "dial-up" access.

This interface may require standardization.

5.7.10 IFj: S-GF to CCF-CM interface

This interface reflects the requirement to carry an IP control plane signalling protocol for Multimedia services. This interface relays the ISDN Multimedia user plane received from IFc.

This interface is required for Voice over IP-based services.

This interface may require standardization but is not expected to be IN specific.

5.7.11 IFk: MM-GF to CCF-RM interface

This interface reflects the requirement to carry an IP Media Gateway Control Protocol (e.g. H.248) for Multimedia services. This interface relays the ISDN Multimedia user plane received from IFe.

This interface is required for Voice over IP-based services.

This interface may require standardization but is not expected to be IN specific.

6 IN/IP Implementation Scenarios

6.1 IN/IP interworking for IN CS-3 to support SIP systems

To provide a better understanding of the applicable SIP-based call control network architecture, the SIP-based call control functional entities are introduced, which may be contained within SIP entities as defined in [11]. This is an attempt to accommodate the new concept of a decomposed SIP Call Control proxy, defined in ITU-T Recommendation H.248 [9], and the applicable bearer control configurations, which may utilize bearer control protocols from BICC or H.245 as required from various protocol related studies.

The functional names have been chosen with the intent of minimizing confusion. They do not intend to imply a specific implementation.

6.1.1 The SIP architecture

The SIP protocol has five functional elements defined in [11]:

- User agent client;
- User agent server;
- Proxy server;
- Redirect server;
- Registrar server.

6.1.2 SIP Call call models

In SIP-based systems a SIP proxy with call control intelligence is defined. This intelligence will enable nominated SIP proxies to locate and retain significant call control state. This will enable standards to be developed to synchronize the SIP Call State model with the IN Basic Call State Model (BCSM) as defined in ITU-T Recommendation Q.1224 [4] and Q.1237/Q.1238. In essence the proxy server is comprised of both a SIP client and a SIP server. It is required to analyse which BCSM states have meaning in a SIP-based service context, and how bearer and multimedia support can be added to both this SIP call model and understood in the extension of the IN call control model.

6.1.3 Functional model

Figure 6.1 shows the functional model involving IN and SIP interworking supporting the high-level functions/applications. Figure 6.2 shows the functional model involving the low-level interworking and protocol mappings.

As indicated above (see clause 6.1.2), possible groupings in Intelligent SIP proxy are depicted [11]. It should be noted that the single Intelligent SIP proxy as modelled in these figures can in fact represent several different physical instances in the network. For example with one Intelligent SIP proxy in charge of the terminal or access network/domain, and another in charge of the interface to the CSN.

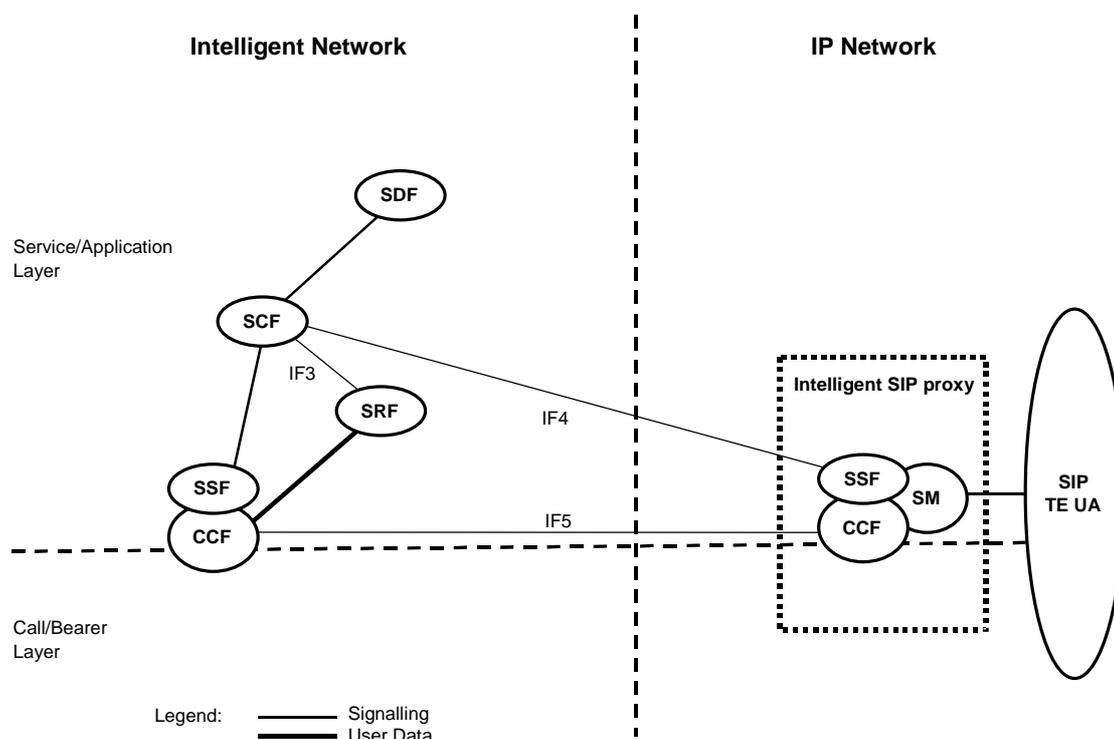


Figure 6.1: A SIP-based call control configuration using an intelligent SIP proxy (high-level)

6.1.4 Requirements for IN-interaction with SIP-based systems

Functional requirements for the IN Interaction with SIP-based systems are listed below:

- Currently, there is a lack of requirements showing the need to support the Registration, Call Control, Media Control (e.g. H.248) and H.245 Bearer Control, this must be addressed.
- It is considered vital to address the required service features and functional network capabilities for the support of interworking at the various proposed functional entities and the states requires.
- Relationship of SSF and CCF to the new functional entities introduced in ITU-T Recommendation Q.1244 [19] (DFP for IN CS-3) to decompose the SIP proxy (i.e. Session Manager (SM) and Call Manager (CM)).
- Functional interaction between SM and CM depending on the various SIP-based call scenarios.
- Mapping of SIP Registration and Call Signalling messages to Core INAP operations.
- Exact set of SIP Registration functionality which needs to be visible to IN (i.e. need to be monitored or manipulated), if any. This includes the considerations on the kind of modelling required.
- Possible Separation of the CCF/SSF into different physical entities.
- The use of multiple SSFs, where one SSF may model the SIP Registration protocols and another SSF model the SIP Call Control procedures, require consideration. These SSFs may be physically distributed.
- The configuration of trigger conditions in the SSF, use of managed trigger data from the SCP in the IN domain.
- The same CCF/SSF triggering mechanism applies to processing SIP-based/IN-based call. SSF is located at Intelligent SIP proxy to interact with SCP in IN domain.
- Mapping of the SM to the SSF (like IN FE) and mapping the CM to the CCF.
- For a GW originated IN-based call, the SIP registration server and the SSF may be distributed in different Intelligent SIP proxy entities. In this case, dynamic DP arming should be supported at MGC under the control of the Intelligent SIP proxy SM.
- The definition of state driven events in the SIP Registration and SIP Call Control Protocols and their relationship to the SM/CM functions. How these states map into the current IN BCSM models; all require consideration.
- The SCF will be able to select one or more appropriate SSF/Intelligent SIP Proxies dependant on different parameters (class of service requested by the user, placement of gateways, tariff, etc.). The SC-GF will be able to perform the correct lower layer protocol and address translation functions.
- The SC-GF will allow interworking with several SSF/Intelligent SIP Proxies.
- The interface between the H.323 Gatekeeper/SIP server and the SSF call control processes must:
 - Carry sufficient call data for the SSF to function correctly and to deliver the necessary information to the SCF so that service logic decisions can be made;
 - Allow the SCF to control VoIP calls (e.g. change B-party address) and manipulate call information (such as presentation number).
- It is proposed that the CCF/SSF interface is presently not the subject for standardization. However, a mapping of parameters may be required to demonstrate the mapping in the SSF to the H.323 Gatekeeper/SIP proxy server call control protocol, states and events. Thereby enabling the CCF to model either a H.323 Gatekeeper or a SIP proxy server.

User Interaction requirements for the IN Interaction with SIP-based systems are listed below:

- Intelligent SIP proxy enhancements for user interaction (e.g. does it provide control of the speech path connection and information on tones and announcements).
- Handling of SRF functionality and necessary enhancements of H.248 to support this case shall be provided as part of the Media Gateway.

- The user interaction with the SIP User Agent (UA) at the terminal may be realized through a SIP Registration interface. The user interaction with PSTN user is realized using MGC relay mode. The information exchange path is Intelligent SIP proxy to GW interface SIP Registration and H.248 respectively. SRF functionality resides in GW and is controlled by H.248.
- The SIP Registration interface may be modified to support user interaction information exchange. A SIP Registration interface between Intelligent SIP proxy and SIP terminal could be upgraded to support call-unrelated user access service.
- User interaction using http is shown in annex K of ITU-T Recommendation H.246 [8], or by using the payload capabilities of SIP Call Control in SDP, these options for user interaction need consideration.

Initial working assumptions:

- In order to fully extend the IN-based value-added services, it is recommended to use the Monolithic Intelligent SIP proxy as shown in figure 6.4 above.
- User interaction capability with SIP terminal is required. It may be realized by different options, e.g. by enhanced SIP Registration or by an enhanced SIP Call Control protocol.

6.1.5 SIP assumptions architecture and implementation issues

6.1.5.1 IN-SIP interaction

This clause investigates the possibility of IN CS-3 service control based on the SIP proxy server approach. This means that a locally configured proxy server is required for outgoing calls that require legacy service support based on existing IN CS-3 services. In particular it provides a proposal for the triggering of IN CS-3 services as well as a mapping between the IN CS-3 call states and the call states of the Session Initiation Protocol (SIP).

An overall objective is to demonstrate that IN CS-3 control of VoIP services in networks can be readily specified and implemented by adapting standards and software used in the present networks. This approach leads to services that function the same when a user connect to present or future networks, simplifies service evolution from present to future, and leads to more rapid implementation.

Clause 6.1.5.2 provides a brief description of the concepts for IN service triggering based on IN CS-3 subscription information.

Clause 7.7 provides information on the following:

- A description of a registration process (see clause 7.7.1);
- A description of triggering services for Originated Calls (see clause 7.7.2);
- A description of triggering for Terminated calls (see clause 7.7.3).

Figure 6.3 specifies the proposed IN/IP architecture based on the IETF IP architecture [14].

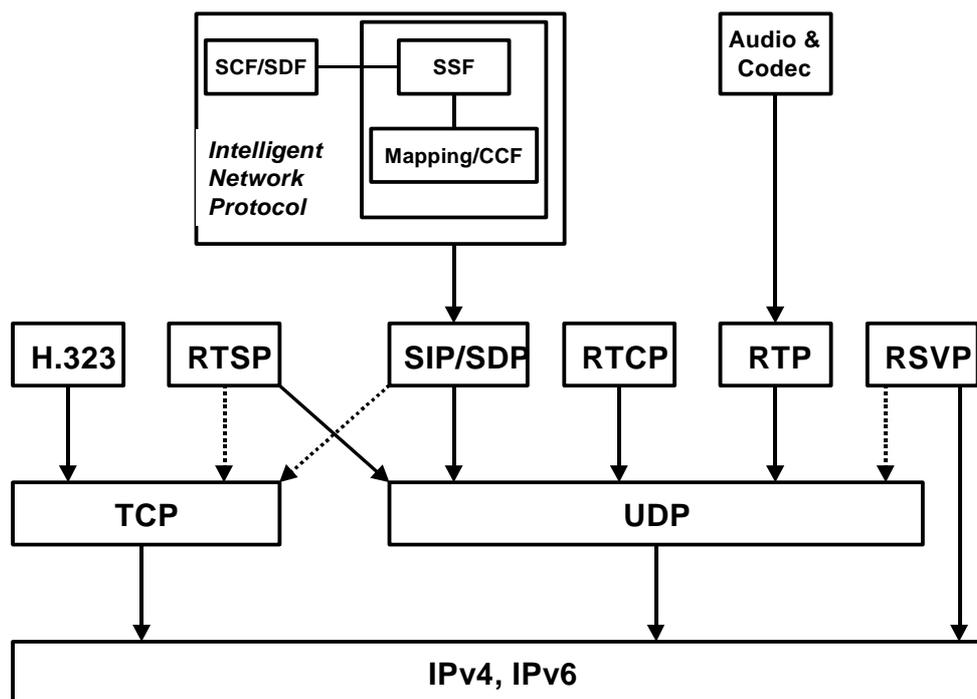


Figure 6.3: IETF proposal for IN/IP architecture

6.1.5.2 Basic concept of the proposal

The process how to handle the registration process needs further study in conjunction with the API methods presently standardized and the mapping to the SIP/SDP procedures and extensions.

Subscribers may register in the SIP network allowing the subscriber to receive incoming calls. A subscriber may use an additional identifier (e.g. MSISDN) in the registration process. Upon registration with the server, the subscription information for the subscriber is sent to the SSF by the SDF in the subscriber's home network. As incoming calls made to the subscriber terminate at the server the subscriber is registered with, the Terminating Subscription Information may be examined and if necessary the SCF may be invoked on a per incoming call basis. Similarly, calls made by a subscriber already registered with a proxy server allow the Originating subscription information to be examined and potentially allow the SCF to be invoked. Callers not registered will not have any subscription information in the proxy server they are using to place the call.

The proposal here is as follows: when the initial call request message (or the *INVITE* method) is received by the SIP proxy server, the SSF establishes a dialogue with the SDF of the home subscribers network to allow the subscription information to be sent. The Originating subscription data may then be examined and if necessary the SCF may be invoked.

6.1.5.3 Assumptions

- All the call flows show that the SIP proxy server and the SSF have been co-located in order to avoid showing information flows between the two entities. Standardization of the messages for this interface is for further study.
- Originating and terminating SIP proxy servers must operate in a call-state aware mode.
- As registration with a SIP proxy server is not mandatory, it shall be possible to determine whether a registration exists for that particular subscriber when a subscriber places an incoming call. This allows the subscriber data information to be fetched from the home SDF if the subscriber is not registered.

NOTE: Absence of the originating subscriber data does not necessarily mean that the user is not registered, merely that the originating subscriber data may not exist for that subscriber.

- The information flows make no consideration for interworking with other networks (e.g. PSTN via gateways).

6.2 IN/IP interworking for IN CS-3 to support H.323 systems

H.323 functional decomposition introduced new functional entities. These functional entities are mapped into the reference scenarios, within H.323 entities as defined in [10]. This is an attempt to accommodate the new concept of a decomposed gateway, defined in ITU-T Recommendation H.248 [9], and the applicable network configurations supporting the H.323 call models.

The location of the different functional entities in physical entities depends on the routing model used. In H.323 two models exist:

- the so-called Gatekeeper-routed call (GRC) model; and
- the so-called Direct-routed call (DRC) model.

NOTE: ETSI are only considering the GRC model.

For the GRC model, in addition to RAS, the terminals or gateways exchange call control signalling via the Gatekeeper, which acts as a signalling proxy. The Gatekeeper may alter the signalling information.

For the DRC model, the terminals and gateways exchange call control signalling (H.225.0, H.245) directly with each other. Interaction between terminal/gateway and Gatekeeper is only via RAS signalling.

The functional names have been chosen with the intent of minimizing confusion. They do not intend to imply a specific implementation.

6.2.1 Functional model supporting the H.323 GRC model

Figures 6.4 and 6.6 show the functional model involving IN and SIP interworking supporting the high-level functions/applications. Figures 6.5 and 6.7 shows the functional model involving the low level interworking and protocol mappings. As indicated above, possible groupings in MGC and GK for the GRC are depicted. Decomposed gateways as well as monolithic examples are used. It should be noted that:

- the single GK as modelled in these figures can in fact represent several different physical instances in the network, for example with one GK in charge of the terminal or access network/zone, and another in charge of the interface to the CSN;
- according to H.323 the RAS and Call Control functionality may be separated into a Gatekeeper for the GRC model. The Media Gateway control part of the CMF is shown as a separate MGC.

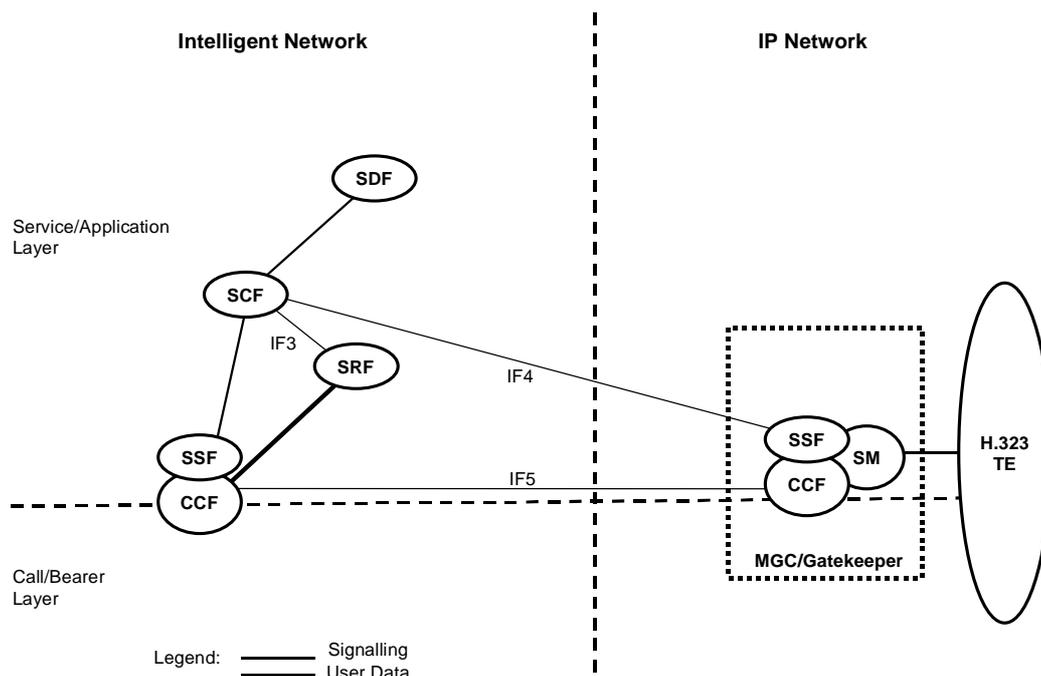


Figure 6.4: A GRC configuration using a monolithic gateway (high-level)

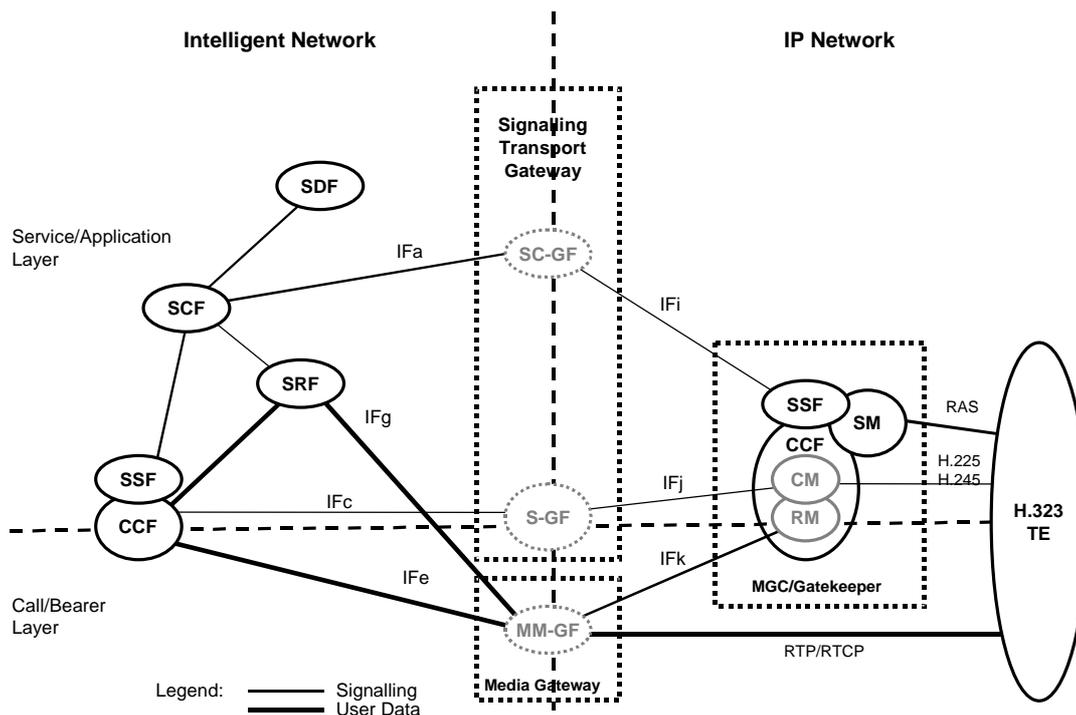


Figure 6.5: A GRC configuration using a monolithic gateway (low-level)

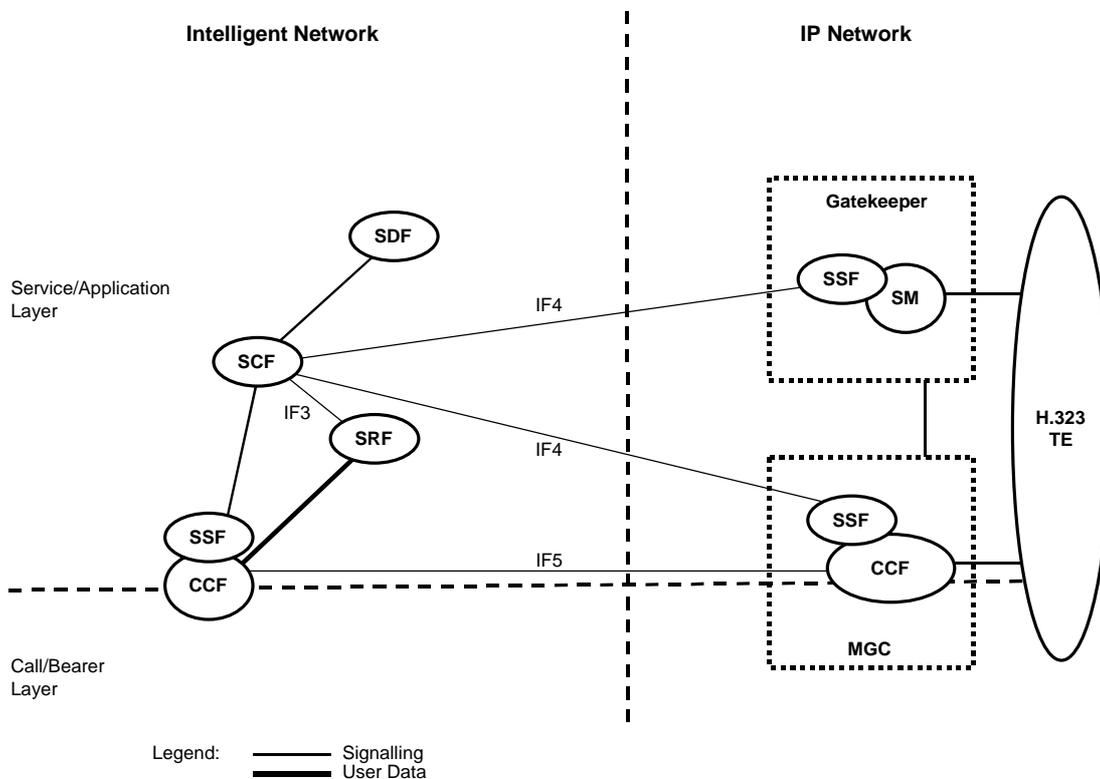


Figure 6.6: A GRC configuration using MGC at the edge (high-level)

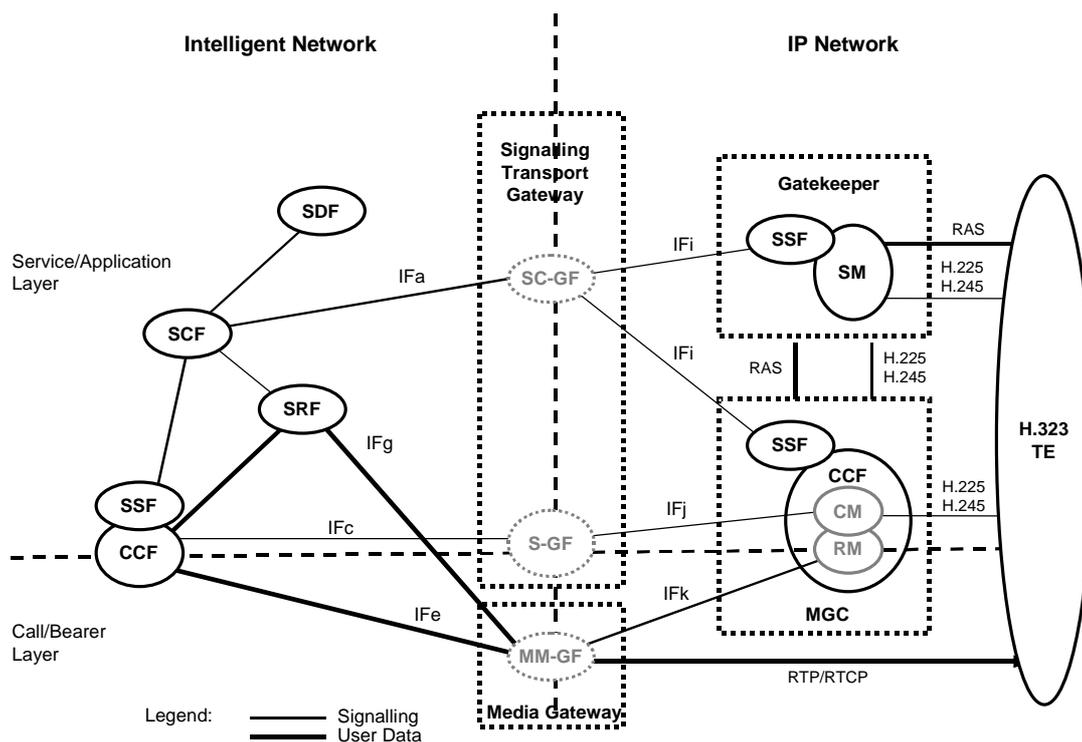


Figure 6.7: A GRC configuration using MGC at the edge (low-level)

Table 6.3 provides a summary of the peer-to-peer protocols and the signalling network requirements of IN CS-3 support of H.323 systems, for the functional architectures depicted in figures 6.4 and 6.6.

Table 6.3: Protocols and signalling requirements for IN support of H.323 systems

| Interface | Functional Entities | Protocols | Reference |
|-----------|---------------------|--|--|
| IF3 | SCF to SRF | Core INAP | Over TC/SCTP/IP or Over TC/SCCP/MTP |
| IF4 | SCF to SSF | Core INAP Call or RAS related | Over TC/SCTP/IP or Over TC/SCCP/MTP |
| IF5 | CCF-to CCF | ISUP Control Plane/BICC or SIP call Control | Over MTP or SCTP/IP or SSCOP/IP |

Table 6.4 provides a summary of the lower layer protocol and mapping requirements for IN CS-3 support of H.323 systems, for the functional architectures depicted in figures 6.5 and 6.7.

Table 6.4: Lower layer protocol and mapping requirements for IN support of H.323 systems

| Interface | Functional Entities | High-Level Interface supported | Reference |
|-----------|---------------------|---|---------------------------------------|
| IFa | SCF to SC-GF | IF1, IF4 | over SCCP/MTP or over (TCP)UDP/IP |
| IFc | CCF to S-GF | IF5 | Over MTP |
| IFe | CCF to MM-GF | | Over SCCP/MTP |
| IFg | SRF to MM-GF | User Interaction/Content | ISDN User Plane/MTP or RTSP/TCP/IP |
| IFi | SC-GF to SSF | IF4 | Over SCTP/IP |
| IFj | S-GF to CCF CM | IF5 | Over SCCP/MTP or Over SCTP/IP |
| IFk | MM-GF to CCF RM | ITU-T Recommendation H.248 [9]/RFC 3015 [17] | Over SCTP/IP |

6.2.2 Void

6.2.3 Requirements for IN CS-3 interaction with H.323 system

Functional requirements for the IN CS-3 interaction with H.323 systems are listed below:

- Currently, there is a lack of requirements showing the need to support the Protocols, RAS, H.225.0 Call Control and H.245 Bearer Control, this must be addressed.
- It is considered vital to address the required service features and functional network capabilities for the support of interworking at the various proposed functional entities and the states required.
- Relationship of SSF and CCF to the new functional entities introduced in ITU-T Recommendation Q.1244 [4] (DFP for IN CS-3) to decompose the Gatekeeper and Media Gateway Controller (i.e. Session Manager (SM) and Call Manager (CM)).
- Functional interaction between SM and CM depending on the various H.323 call scenarios (i.e. GRC scenario, DRC scenario).
- Mapping of H.225.0 RAS and Call Control signalling messages to Core INAP operations.
- Exact set of RAS functionality which needs to be visible to the IN (i.e. need to be monitored or manipulated), if any. This includes the considerations on the kind of modelling required.
- Possible Separation of the CCF/SSF into different physical entities.
- The use of multiple SSFs, where one SSF may model the RAS protocols and another SSF model the Call Control procedures requires consideration. These SSFs may be physically distributed.
- The configuration of trigger conditions in the SSF, use of managed trigger data from the SCP in the IN- domain.
- The same CCF/SSF triggering mechanism applies to processing H.323 IN-based call. SSF is located at Gatekeeper to interact with SCP in the IN domain. CCF may be located in Gatekeeper or MGC in the GRC model scenario.
- Mapping of the SSF and CCF functions in the CM and possibly in the SM for RAS purposes.
- For GW originated IN-based call, SSF and CCF will be distributed in different entities if the DRC model is used. In this case, dynamic DP arming should be supported at MGC under the control of Gatekeeper SM.
- The definition of state driven events in the H.225.0 RAS and Call Control protocols and their relationship to the SM/CM functions. The distribution of these "state machines" between the physical entities GK/MGC requires consideration. How these states map into the current IN BCSM; all require consideration.
- The SCF will be able to select one or more appropriate SSF/H.323 GKs dependent on different parameters (class of service requested by the user, placement of gateways, tariff, etc.). The SC-GF will be able to perform correct lower layer protocol and address translation functions.
- The SC-GF will allow interworking with several SSF/H.323 Gatekeepers.
- The interface between the H.323 Gatekeeper/SIP server and the SSF call control processes must:
 - carry sufficient call data for the SSF to function correctly and to deliver the necessary information to the SCF so that service logic decisions can be made;
 - allow the SCF to control VoIP calls (e.g. change B-party address) and manipulate call information (such as presentation number).
- It is proposed that the CCF/SSF interface is presently not the subject for standardization. However, a mapping of parameters may be required to demonstrate the mapping in the SSF to the H.323 Gatekeeper/SIP proxy server call control protocol, states and events. Thereby enabling the CCF to model either a H.323 Gatekeeper or a SIP proxy server.

User Interaction requirements for the IN Interaction with H.323 systems are listed below:

- GK-MGC interface enhancements for use interaction (e.g. does it provide control of speech path connection and information on tones and announcements).
- Handling of SRF functionality and necessary enhancements of H.248 to support this case shall be provided as part of the Media Gateway.
- The user interaction with H.323 terminal may be realized through RAS interface. The user interaction with the PSTN user is realized using MGC relay mode. The information exchange path is GK-MGC-GW with interface RAS and MGCP respectively. SRF functionality resides in GW and is controlled by H.248.
- RAS interface may be modified to support user interaction information exchange. A RAS interface between GK and H.323 terminal could be upgraded to support call-unrelated user access service.
- User interaction using http outlined in annex K of ITU-T Recommendation H.246 [8], or by using the payload capabilities of H.225.0 Call Control, these options for user interaction need consideration.
- New service control interface between GK and MGC should be defined to support information exchange between SM in GK and CM in MGC in case the DRC model is used.

Initial working assumptions:

- In order to fully extend the IN-based value-added services, it is recommended to use the GRC model scenario.
- For H.323 terminal originated IN-based call, only basic IN service features (i.e. those triggered by access code) can be provided if DRC mode is used.
- User interaction capability with H.323 terminal is required. It may be realized by different options, e.g. by enhanced H.225.0 RAS or by an enhanced H.225.0 Call Control protocols.

6.2.4 H.323/SIP differences and implementation issues

6.2.4.1 Call control

A call requires three crucial pieces of information, namely the logical destination address, the media transport address and the media description:

- Logical Destination Address (A): This is the SIP address in the "To" header or the destination alias address in the Q.931 SETUP message;
- Media Description (M): In SIP, M is the list of supported payload types as given by SDP media description ("m=") line. In H.245, M is given by the Terminal Capability Set;
- Media Transport Address (T): The media transport address indicates the IP-address and port number at which RTP/RTCP packets can be received. This information is available in the "c=" and the "m=" lines of SDP and the Open Logical Channel message of H.245.

The difference between SIP and H.323 is that A, M, and T are all contained in the SIP INVITE message, while H.323 may spread this information among several messages.

In H.323v4 two call establishments are possible namely with and without FastConnect. With H.323v4 FastConnect, the protocol translation is simplified because there is a one-to-one mapping between H.323 and SIP call establishment messages. Both the H.323 SETUP message with FastConnect and the SIP INVITE request has all three components (A, M and T).

6.2.4.2 Architecture and assumptions for IN CS-3 interaction with H.323 call control

Clause 7.6 provides information flows that illustrate simple Originating and Terminating calls with CoreINAP interactions.

The assumptions are:

- a) The call flows presented are based on using the ITU-T Recommendation H.323 [10] protocol between the ISDN Gateway/endpoint and the Gatekeeper;
- b) The gatekeeper and the SSF have been co-located in order to avoid any showing information flows between the two entities;
- c) The information flows make consideration for interworking with PSTN/ISDN media gateways.

6.3 IN/IP interworking for IN CS-3 to support PINT based services

From IETF documents ([11], [12] and [13]) there are a number of entities that can interact using the PINT IP protocol. These entities are:

- Proxy server;
- Redirect server;
- Registrar server;
- Gateway;
- Notification Receiver (potentially);
- User agent server; and
- Specific to PINT, a "pure" client.

Of these entities, all are completely within the IP-network, with the exception of the PINT Gateway, which exists at the edge of the IP-network.

Note that the PINT Gateway is a PINT server which has the ability to deliver a PINT request received from the IP-network to a "Executive System" located in the PSTN and to deliver PINT responses received from the "Executive System" to the IP-network respectively.

As described in [12] and [13], the PINT Gateway terminates the message flows with the other IP-based network entities. It also communicates with the IN CS-3 SCF, presenting an abstraction of this to the IP-based network entities as an "Executive System". It transfers data objects (or "content") from the IP-network along with requests, and returns responses to the IP-network requesting PINT clients. As such, it acts as a mediation device between the IP-network and the IN. The PINT Gateway Function is required in all PINT service transactions (see figure 6.8).

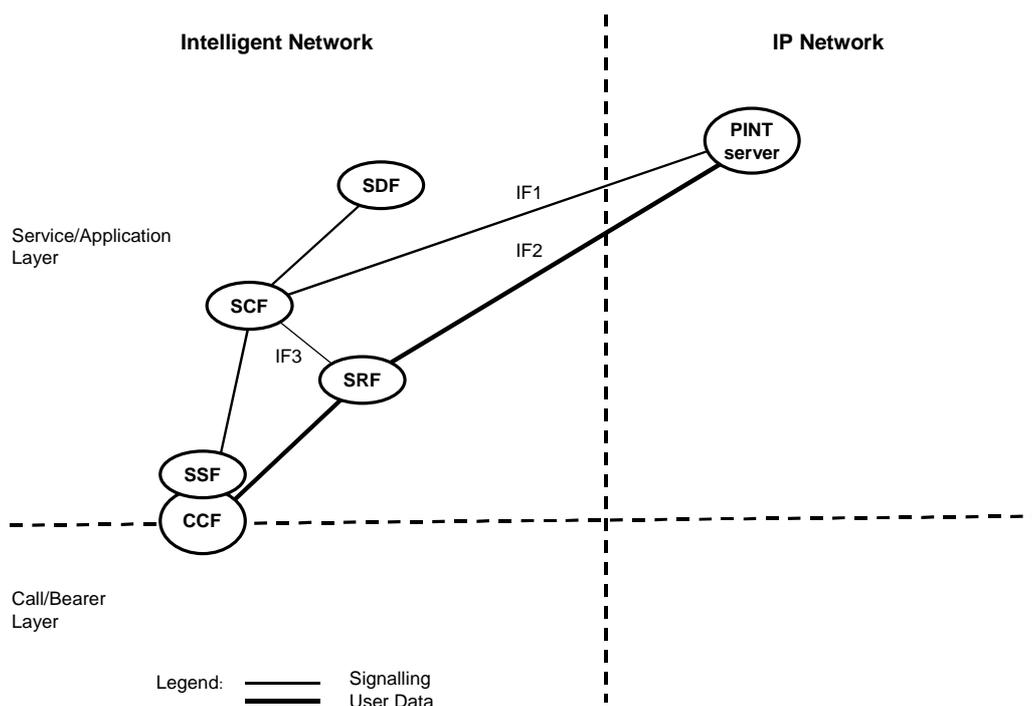


Figure 6.8: PINT example configuration high level

Table 6.5 provides a summary of the peer-to-peer protocols and the signalling network requirements of IN CS-3 support of PINT based services, for the functional architecture depicted in figure 6.8.

Table 6.5: Protocols and signalling requirements for IN support of PINT-based services

| Interface | Functional Entities | Protocols | Reference |
|-----------|---------------------|--------------------|--|
| IF1 | SCF to PINT server | SIP(PINT) Protocol | Over (TCP)UDP/IP or Over SCCP/MTP |
| IF2 | SRF to PINT server | FTP(PINT) Protocol | Relayed over (TCP)UDP/IP or Over SCCP/MTP |
| IF3 | SCF to SRF | Core INAP | Over TC/SCTP/IP or Over TC/SCCP/MTP |

There are a number of different configurations possible with this collection of entities, two of which are shown in figures 6.9 and 6.10. The first configuration (see figure 6.9) illustrates the scenario where a PINT client can send a request to an intervening PINT proxy, which routes the request to an appropriate PINT Gateway.

The second configuration (see figure 6.10) shows the simplest configuration possible, where a PINT client can make a request of a PINT Gateway (i.e. with no other entities involved on the IP-network). Note that, in this case, no prior PINT server (e.g. proxy, redirect server) within the IP-network is used; only a PINT client.

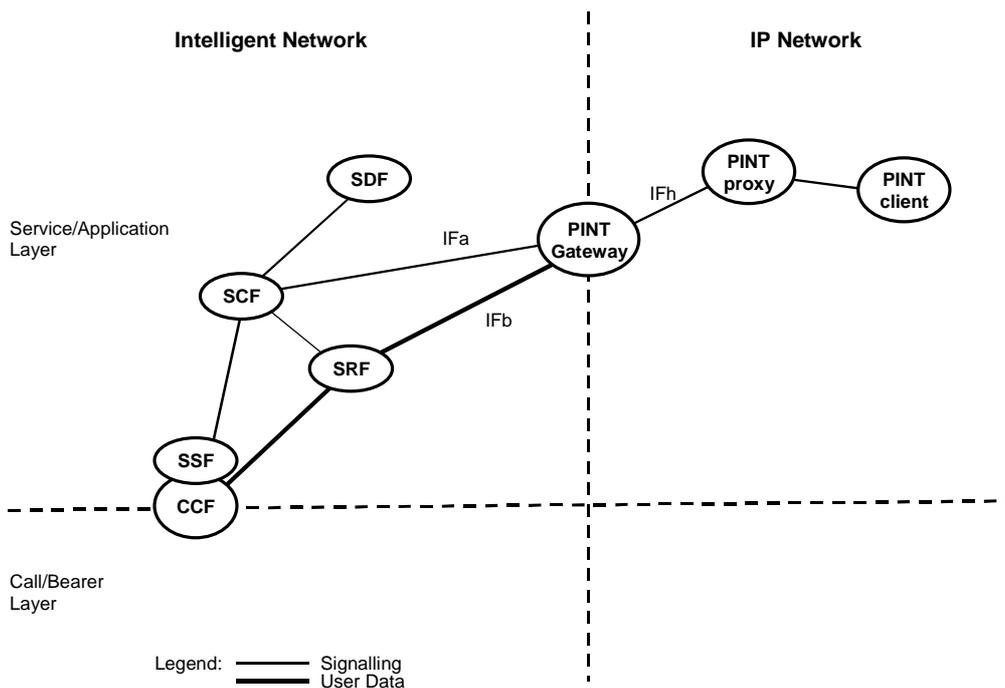


Figure 6.9: PINT Example Configuration Low Level Case 1

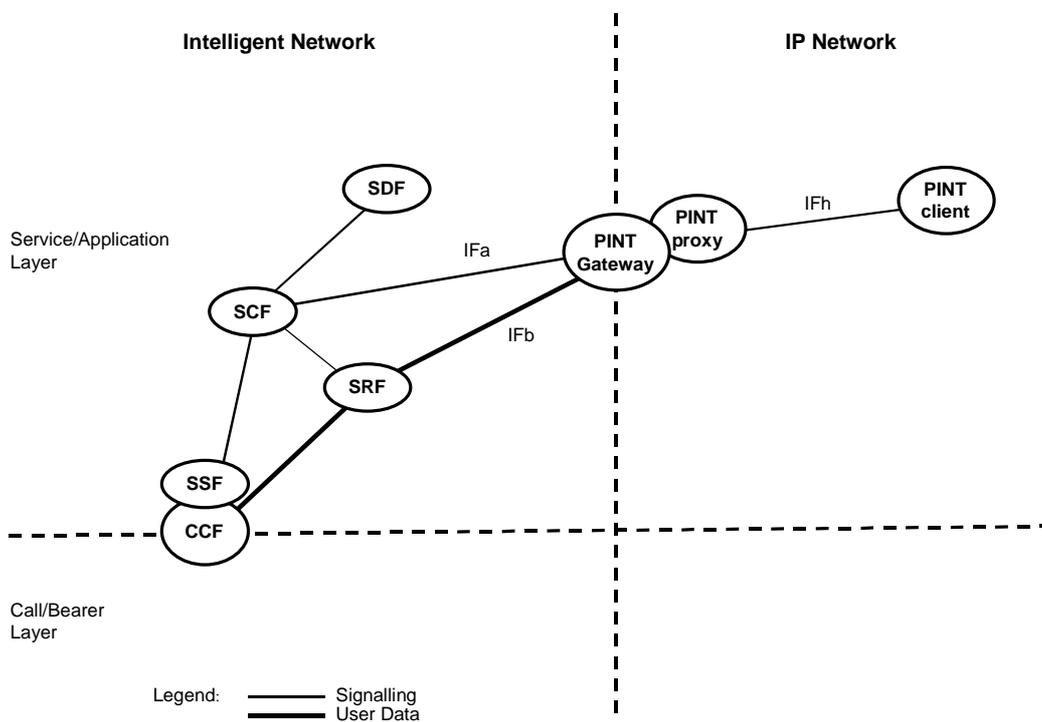


Figure 6.10: PINT Example Configuration Low Level Case 2

Table 6.6 provides a summary of the lower layer protocol and mapping requirements for IN CS-3 support of PINT-based services, for the functional architectures depicted in figures 6.9 and 6.10.

Table 6.6: Lower layer protocol and mapping requirements for IN support of PINT-based services

| Interface | Functional Entities | High-Level Interface supported | Reference |
|-----------|-----------------------------------|--------------------------------|-----------------------------------|
| IFa | SCF to PINT Gateway | IF1, IF4 | over SCCP/MTP or over (TCP)UDP/IP |
| IFb | SRF to PINT Gateway | IF2 | Over SCCP/MTP |
| IFh | PINT Gateway to PINT proxy/client | IF1, IF2 | Over (TCP)UDP/IP |

The following information can be exchanged between the IN and the Internet:

- Internet/Intranet user on-line status information;
- Service data customization information.

The SIP/SDP(PINT) protocols/service profiles are carried from IF1 and mapped over ISDN lower layer protocols SCCP and MTP, possibly including TC. SCCP routing can also be used to route control messages to the SCF, using the IP-address by translating it to a SCCP SPC or GT. It may also route content based messages to the SRF utilizing the IP-address, by translating it to an appropriate SCCP SPC or GT.

An integral part of the PINT service protocol is the Managed Information Base (MIB). The associated MIB defines the parameters that can be monitored on the user or PINT client or PINT Gateway basis for security or performance purposes.

6.4 IN/IP interworking for IN CS-3 to support SPIRITS based implementation of services

The SPIRITS Architecture [14] is supporting services originating in the PSTN and necessitating the interactions between the PSTN and an IP-network to support services, for example:

- Internet Call Waiting (ICW);
- Internet Caller-ID Delivery;
- Internet Call Forwarding;
- Internet user incoming call related information:
 - Notification: From IN to Internet;
 - Acknowledgment: From Internet to IN.

Figure 6.11 shows the functional model involving IN and SPIRITS the high-level interworking for a hybrid configuration.

It is important to note that the subscriber activates a SPIRITS service by an act of service registration for a later session, which can take place anytime after the subscriber is connected to an IP-network (such as the Internet). The subscriber may specify the life span of the session. As soon as the session ends, the SPIRITS service is deactivated. Naturally, the subscriber should also be able to deactivate a SPIRITS service anytime during the service session. Service registration and service de-registration are supported by PINT capabilities and PINT-related functional elements. This hybrid scenario enables more complicated services e.g. ICW, to be implemented.

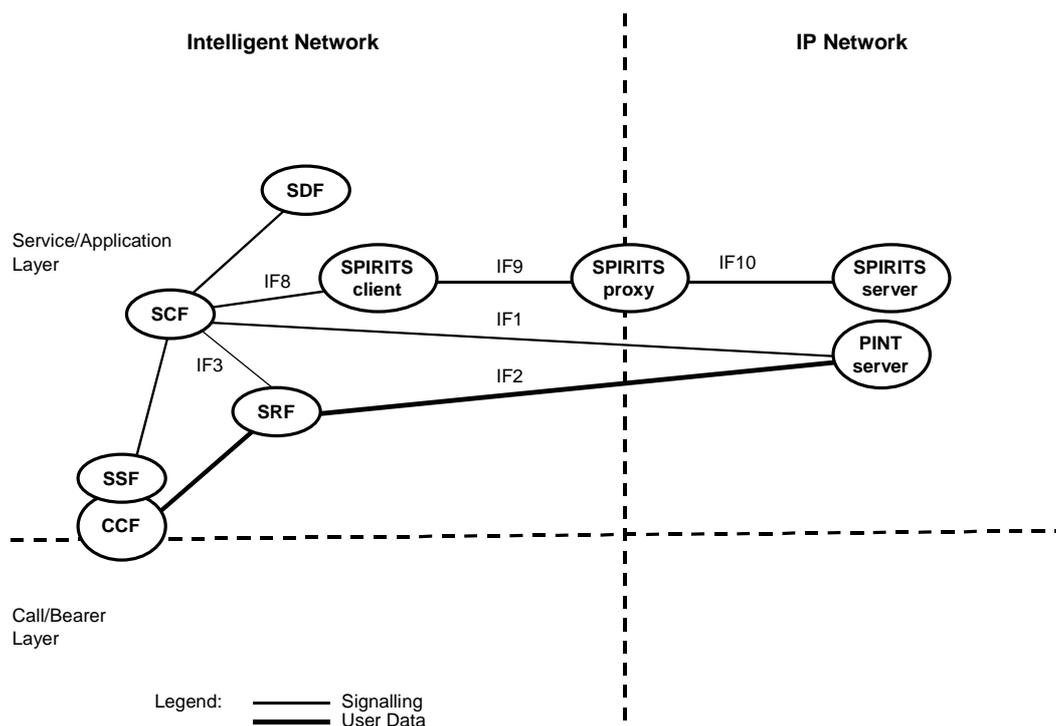


Figure 6.11: Hybrid SPIRITS example configuration (high level)

Table 6.7 provides a summary of the peer-to-peer protocols and the signalling network requirements of IN CS-3 support of SPIRITS based implementation of services, for the functional architectures depicted in figures 6.11 and 6.12.

Table 6.7: Protocols and signalling requirements for IN support of SPIRITS-based implementation of services

| Interface | Functional Entities | High-Level Interface supported | Reference |
|-----------|------------------------------------|--------------------------------|---|
| IF1 | PINT server to SC-GF | SIP(PINT) Protocol | Over (TCP)UDP/IP or Over SCCP/MTP |
| IF2 | PINT server to SRF | FTP(PINT) Protocol | Relayed over (TCP)UDP/IP or Over SCCP/MTP |
| IF3 | SCF to SRF | Core INAP | Over TC/SCTP/IP or Over TC/SCCP/MTP |
| IF8 | SCF to SPIRITS client | SIP (SPIRITS) | Over (TCP)UDP/IP or Over SCCP/MTP |
| IF9 | SPIRITS client to SPIRITS proxy | SIP (SPIRITS) | Over (TCP)UDP/IP or Over SCCP/MTP or Over SCTP/IP |
| IF10 | SPIRITS proxy to SPIRITS server | SIP (SPIRITS) | Over (TCP)UDP/IP or Over SCTP/IP |

Figure 6.12 shows the functional model involving the low-level interworking and protocol mapping for the hybrid configuration.

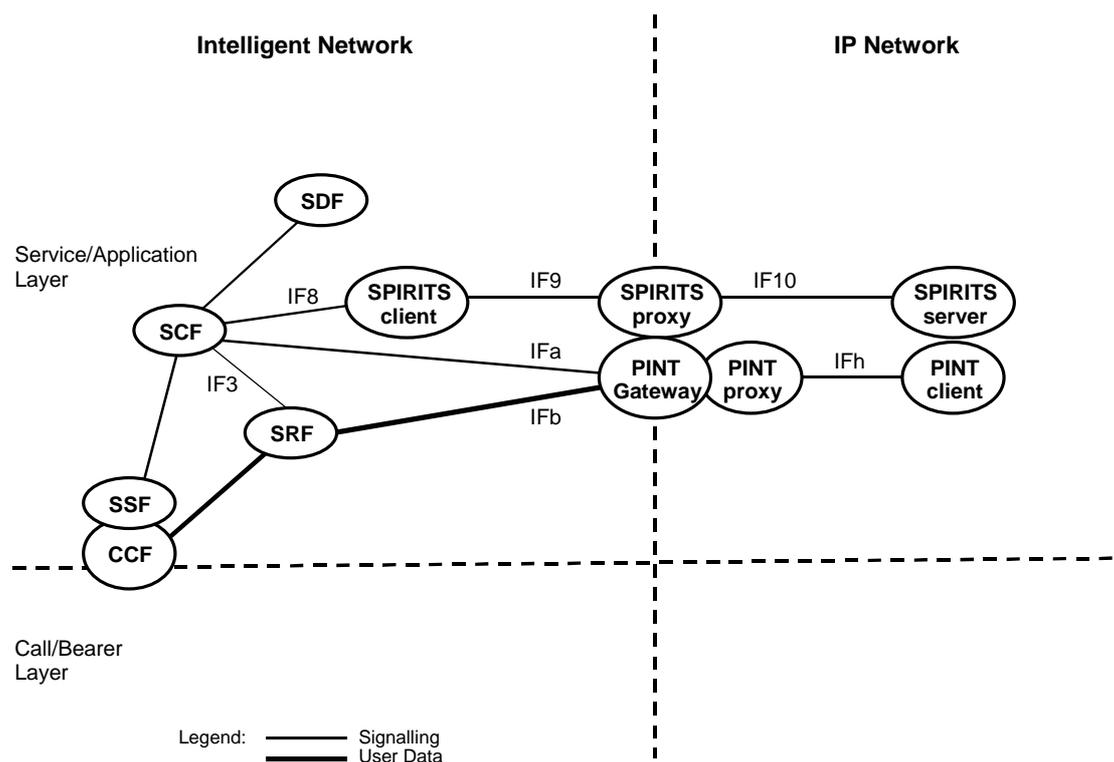


Figure 6.12: Hybrid SPIRITS example configuration (low level)

Table 6.8 provides a summary of the lower layer protocol and mapping requirements for IN CS-3 support of the hybrid SPIRITS example configuration, for the functional architecture depicted in figure 6.13.

Table 6.8: Lower layer protocol and mapping requirements for IN support of the hybrid SPIRITS example configuration

| Interface | Functional Entities | High-Level Interface supported | Reference |
|-----------|-----------------------------------|--------------------------------|-----------------------------------|
| IFa | SCF to PINT Gateway | IF1, IF4 | over SCCP/MTP or over (TCP)UDP/IP |
| IFb | SRF to PINT Gateway | IF2 | Over SCCP/MTP |
| IFh | PINT Gateway to PINT proxy/client | IF1, IF2 | Over (TCP)UDP/IP |

Figure 6.13 depicts a pure SPIRITS implementation configuration (high-level), to support notification based services.

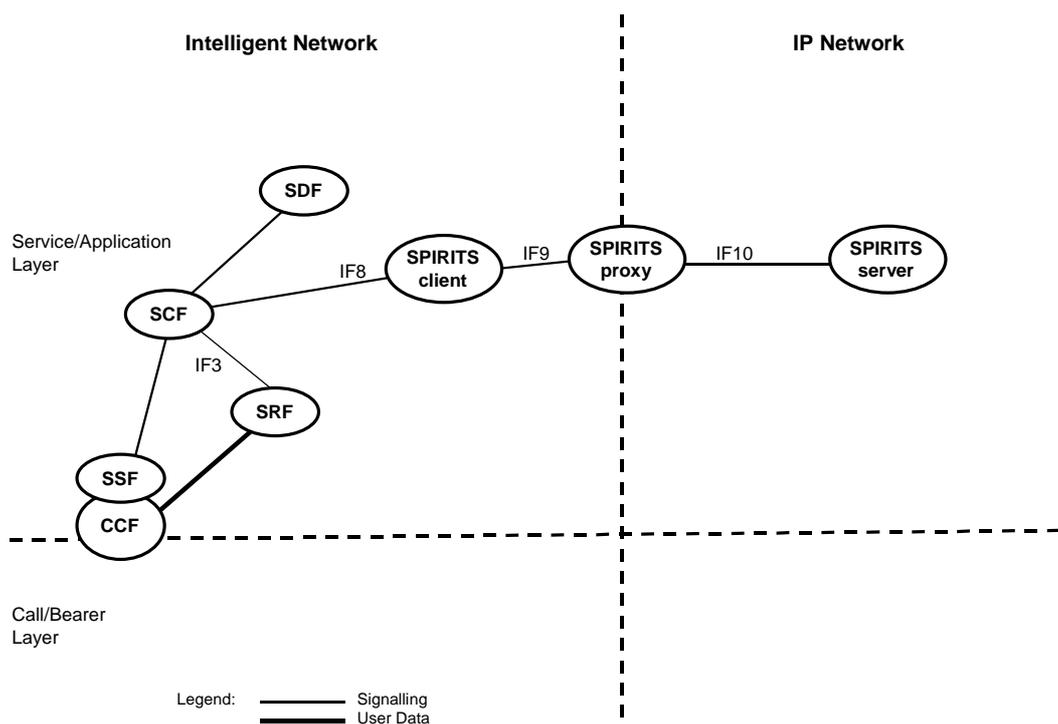


Figure 6.13: SPIRITS example configuration (high level)

6.5 IN/IP interworking for IN CS-3 to support distributed service logic servers via an API

At present there are two different API specifications being developed, the first is designed for use within a mobile environment (access to applications within a Virtual Home Environment) this can be found in [9] and [10]. The other concerns a more general API whose design has not been limited to any one particular environment as is the case in [9] and [10]. This specification can be found in [18].

For IN CS-3 on the application level, the types of API based functionality may include, CORBA, JAVA, JAIN technologies or other API based platforms. Additionally this functionality may provide protocol mapping/service mediation.

The SA-GF allows either:

- interworking between the Service Control layer in the Intelligent Network and the Distributed Service Logic (see figure 6.14);
- interworking between the CCF and Distributed Service Logic (see figures 6.15 and 6.16).

NOTE: Interworking of the CCF (representing specific functionality in a VoIP environment, e.g. SIP proxy or GK) and the SA-GF in the particular VoIP environment is not subject of IN CS-3 standardization.

The SCF to SA-GF interface (IF6) is provided to allow access to distributed service logic via an API. As such, the "distributed service logic" may be resident within one Network Operators domain, or may be provided by a 3rd party such as a Service Provider. Either way the SA-GF will provide the necessary firewall/security functions to protect both the IN network provider and the 3rd party service logic provider and any protocol mapping functionality deemed necessary. This is represented in Case 1 of figure 6.14.

From an implementation point of view the SA-GF functionality may be co-located with the SCF in the IN domain and a peer entity providing the necessary firewall capability co-located with the Distributed Service Logic in the IP domain. In this case IF6 would be absorbed by the SCF. This is shown in Case 2 of figure 6.14.

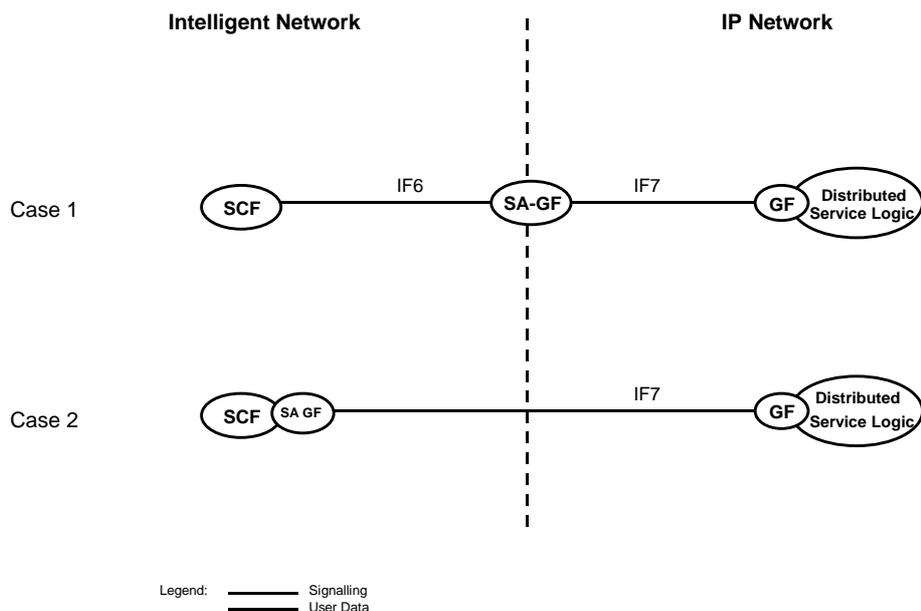


Figure 6.14: SA-GF implementation cases

Table 6.9 provides a summary of the peer-to-peer protocols and the signalling network requirements of IN support of Distributed Service Logic servers, for the functional architecture depicted in figure 6.14.

Table 6.9: Protocols and signalling requirements for IN support of Distributed Service Logic servers

| Interface | Functional Entities | Protocols | Reference |
|-----------|---|----------------------------------|------------------|
| IF6 | SCF to SA-GF | Service Provider Application API | Over TC/SCCP/MTP |
| IF7 | SA-GF to GF for Distributed Service Logic | Service Provider Application API | Over SCTP/IP |

IF6: SCF to SA-GF interface: This interface reflects the requirements pertinent to the IF6 interface. However, the possibility of physically or functionally co-locating these functional entities would remove this from standardization.

IF7: SA-GF to distributed service logic platforms: this interface represents standard APIs allowing an Application Service Provider to control defined capabilities offered by the underlying network via the SA-GF. The service logic execution of the application offered by the ASP typically is located in a separated domain then the SA-GF offering the API.

GF: The Gateway function will provide firewall/security functions necessary for the distributed service logic platform.

The interface between the "API for accessing Service Provider Applications" and the IP call control and the distribution of network intelligence are depicted in the network architectures of figures 6.15 and 6.16 depicts. Note that this architecture can be deployed entirely within an ISDN/PSTN or IP-network or a combination of both.

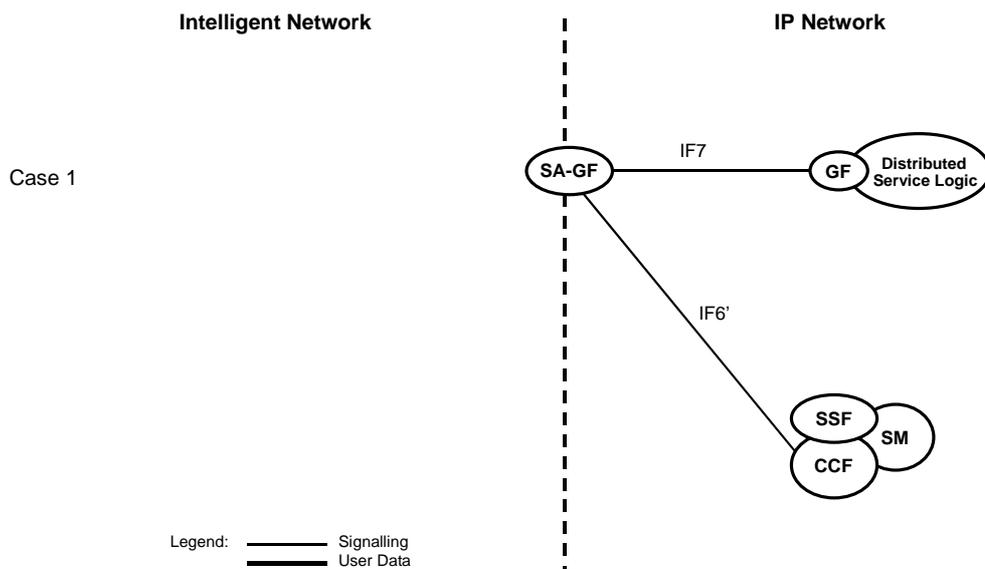


Figure 6.15: API for accessing Service Provider Applications and the IP-call control implementation case 1

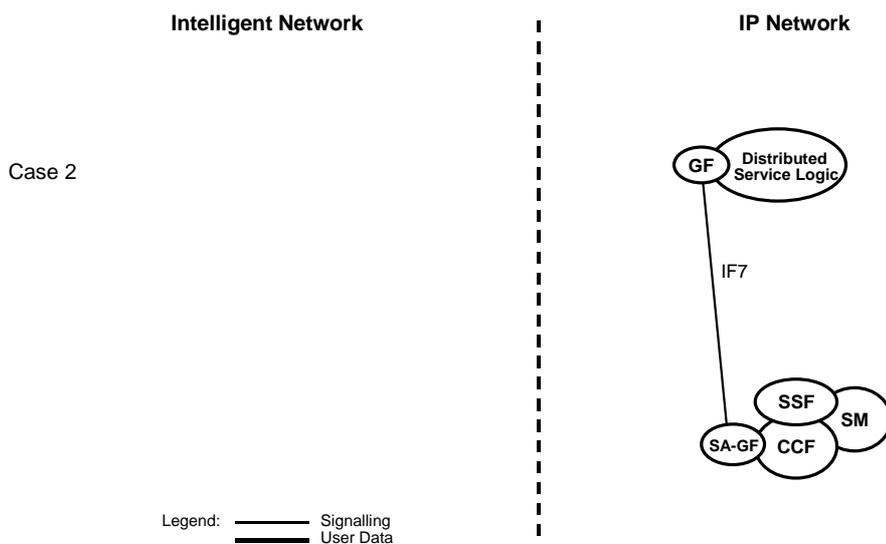


Figure 6.16: API for accessing Service Provider Applications and the IP-call control implementation case 2

Table 6.10 provides a summary of the peer-to-peer protocols and the signalling network requirements of IN support of API for accessing Service Provider applications and IP-call control, for the functional architectures depicted in figures 6.15 and 6.16.

Table 6.10: Protocols and signalling requirements for IN support of API for accessing Service Provider applications and IP-call control

| Interface | Functional Entities | Protocols | Reference |
|-----------|---|----------------------------------|------------------|
| IF6 | SCF to SA-GF | Service Provider Application API | Over TC/SCCP/MTP |
| IF7 | SA-GF to GF for Distributed Service Logic | Service Provider Application API | Over SCTP/IP |

6.6 IN/IP interworking to support IN CS-3 signalling transport functionality

The SCF to SC-GF interface (IFa) is provided to allow access to Service Control functionality via an IP-based network. The SC-GF will provide the necessary firewall/security functions to protect both the IN SS7 signalling network and the IP-based protocol network. The main functions of this gateway are to provide inter-technology firewall functionality and lower layer protocol adaptation. That is, mapping from an IP-based lower layer protocol (e.g. SCTP/UDP/IP) to an SS7 lower layer protocol (e.g. SCCP/MTP). The inter-technology firewall functionality may be intra-network or inter-network provider. In the case of inter-network firewall functionality, the availability of security functions in this entity is critical. This is represented in Case 1 of figure 6.17.

From an implementation point of view the SC-GF functionality may be co-located with the SCF in the IN domain, or an IP server in the IP domain. In this case IFa would be incorporated into the SCF. This is shown in Case 2 of figure 6.18.

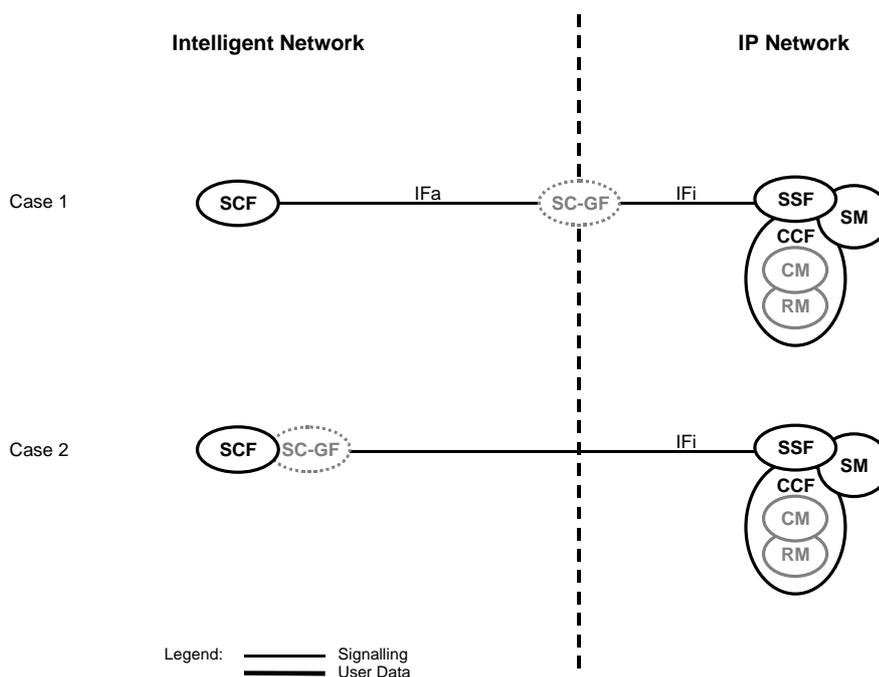


Figure 6.17: SC-GF implementation low-level cases

Table 6.11 provides a summary of the lower layer protocol and mapping requirements to support IN CS-3 signalling transport functionality, for the functional architecture depicted in figure 6.17.

Table 6.11: Lower layer protocol and mapping requirements to support IN CS-3 signalling transport functionality

| Interface | Functional Entities | High-Level Interface supported | Reference |
|-----------|---------------------|--------------------------------|--------------------------------------|
| IFa | SCF to SC-GF | IF1, IF4 | over SCCP/MTP or over (TCP)UDP/IP |
| IFi | SC-GF to SSF | IF4 | Over SCTP/IP |

IFa SCF to SC-GF interface: This interface reflects the requirements pertinent to the TC Application Part interface (e.g. Core INAP) carried over an SCCP/MTP transport interface. However, the possibility of physically co-locating these functional entities would remove the exposure of this transport layer.

IFi SC-GF to SSF: This interface reflects the requirements pertinent to the TC Application Part interface (e.g. Core INAP) carried over a TCP/IP or SCTP/UDP//IP transport interface. However, the possibility of physically co-locating these functional entities would remove the exposure of this transport layer.

SC-GF: The Service Application Gateway Function allows interoperability between the SCF in the IN domain and the H.323 Gatekeeper in the IP domain. For IN CS-3 the standard allowed the SSP to SCP Core INAP TC interface to be transported over TCP/IP or UDP/IP. These options depended on the services and guarantees provided by the IP-network architecture that is used to transport the signalling. This case was not specified, but not precluded in IN CS-1:

- The SCF will be able to select one or more appropriate SSF, dependent on different parameters (class of service requested by the user, placement of gateways, tariff, etc.). The SC-GF will be able to perform correct lower layer protocol and address translation functions;
- The SC-GF will allow interworking with several SSFs.

6.7 ISDN/IP interworking to support signalling transport functionality

The CCF to S-GF interface (IFc) is provided to allow access to call control functionality via an IP-based network. The S-GF will provide the necessary firewall/security functions to protect both the IN SS7 signalling network and the IP-based protocol network. The main functions of this gateway are to provide inter-technology firewall functionality and lower layer protocol adaptation. That is, mapping from an IP-based lower layer protocol (e.g. UDP/IP) to an SS7 based lower layer protocol (e.g. SCCP/MTP). Inter-technology call control signalling translation may also be required in certain circumstances. The inter-technology firewall functionality may be intra-network or inter-network provider. In the case of inter-network firewall functionality the availability of security functions in this entity is critical. This is represented in Case 1 of figure 6.18.

From an implementation point of view the S-GF functionality may be co-located with the CCF in the ISDN domain, or an IP server in the IP domain. In this case IFc would be incorporated into the CCF. This is shown in Case 2 of figure 6.18.

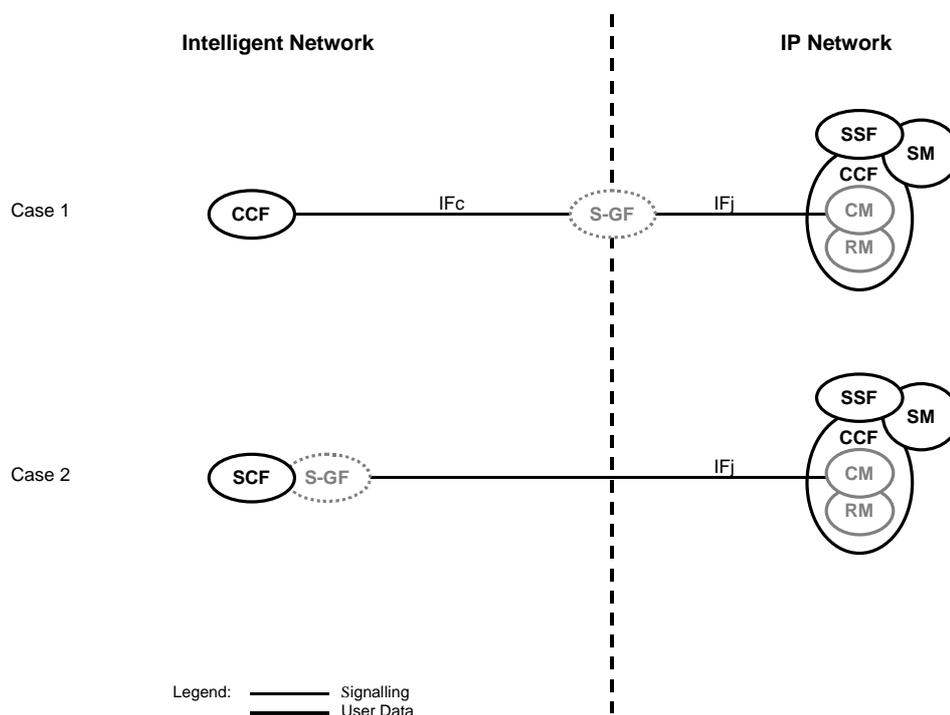


Figure 6.18: S-GF implementation low-level cases

Table 6.12 provides a summary of the lower layer protocol and mapping requirements of ISDN/IP interworking to support signalling transport functionality, for the functional architecture depicted in figure 6.18.

Table 6.12: Lower layer protocol and mapping requirements for ISDN/IP interworking to support signalling transport functionality

| Interface | Functional Entities | High-Level Interface supported | Reference |
|-----------|---------------------|--------------------------------|----------------------------------|
| IFc | CCF to S-GF | IF5 | Over MTP |
| IFj | S-GF to CCF CM | IF5 | Over SCCP/MTP or Over SCTP/IP |

IFc CCF to S-GF interface: This interface reflects the requirements pertinent to the ISUP control plane interface (e.g. ISUP) carried over an SCCP/MTP transport interface. However, the possibility of physically co-locating these functional entities would remove the exposure of this transport layer.

IFj S-GF to CM: This interface reflects the requirements pertinent to the ISUP control plane interface (e.g. ISUP) carried over a TCP/IP or SCTP/IP transport interface. However, the possibility of physically co-locating these functional entities would remove the exposure of this transport layer.

S-GF: The Service Application Gateway Function allows interoperability between the Call Control function in the ISDN and the H.323 Gatekeeper in the IP domain. These options depended on the services and guarantees provided by the IP-network architecture that is used to transport the signalling:

- The CCF will be able to select one or more appropriate SSF, dependent on different parameters (class of service requested by the user, placement of gateways, tariff, etc.). The S-GF will be able to perform correct lower layer protocol and address translation functions;
- The S-GF will allow interworking with several CCF/H.323 Gatekeepers.

7 Signalling Requirements

7.1 Introduction

The examples described in this clause are agreed in to be added to the "function architecture for IN/IP-network interworking, to outline requirements, to further discussion and to provoke further contribution on the open points:

- the example in clause 7.3 is fairly stable;
- the example in clause 7.4 requires clarification on a number of new proposed procedures;
- the example in clause 7.5 requires clarification on a number of questions and is considered an initial description;
- clause 7.6 gives example information flows for IN/IP Telephony;
- clause 7.7 gives example information flows for IN with SIP call control; and
- clause 7.8 gives example Information flows for IN with H.323 call control.

It is currently being discussed whereby Core INAP/TC(TCAP) may run directly over UDP/IP or SCTP/IP with some SCCP addressing and signalling routing adaptation layer. UDP/IP or SCTP/IP cannot guarantee delivery of sensitive Core INAP information via SCCP class 4, (DSS1 via SCCP class 1 or 2).

For current existing IN-based services, our assumption is that there are no Core INAP changes required.

7.2 IN based service for dial-up internet access

The service considered is an IN-based value added service to access to the Internet through the PSTN (dial-up access).

In this example several DA-GF are/may be geographically distributed in the network and that a single number is used (e.g., an 800 freephone number) to access them. Dialling of this number triggers service logic in the SCP that routes the call to the appropriate DA-GF. This is based on the geographical location of the calling party and on the availability of dynamic (near real-time) information of DA-GF usage and available.

The following "query to a database" example, (see figure 7.1), provides a possible solution to this problem. Its consequences on the functional interfaces are discussed. This solution makes the following assumptions:

- a functional entity monitors the states of the various DA-GFs (e.g., modem usage/busy);
- an SCF-SDF type of interface exists between SCF and SDF which allows the SCF to query SDF about the states of the various DA-GFs (usage, etc.).

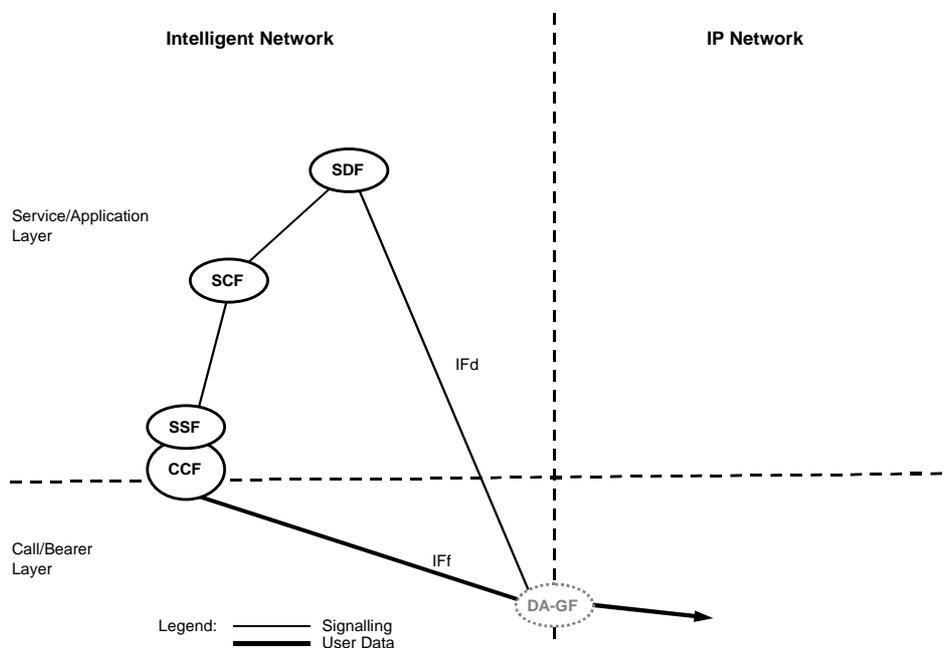


Figure 7.1: Solution 1 - Query to a database

Queries from the SCF to SDF in order to get the status of the DA-GFs could take place on either a periodic basis, or when a busy signal is encountered.

This solution requires an interface between the SCF and the SDF. Information flows are depicted in figure 7.2. The contents of the data in the information flows are for further study.

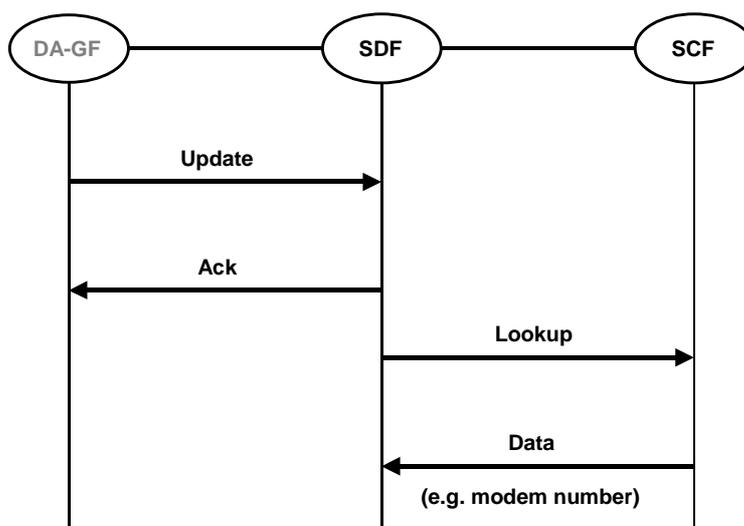


Figure 7.2: Solution 1 - Query to a database information flows

7.3 Information flow for Click-To-Dial (CTD) service

The information flow for CTD (phone-to-phone) is shown in figure 7.3. The detailed information between SC-GF and SCF (IF3) can be deduced from the mapping of IETF-defined in the SIP Extended protocol for PINT. This flow chart applies to CTFB as well. This example represents stable requirements based on IN CS-2 though interworking is not defined for IN CS-3 operations.

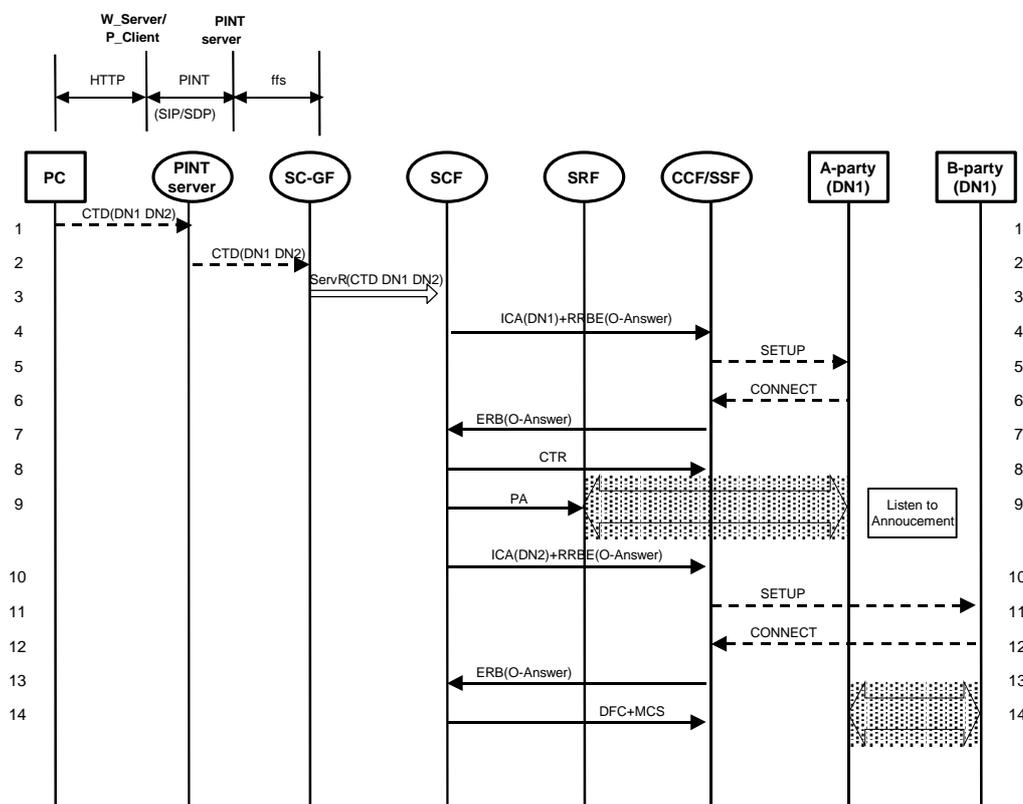


Figure 7.3: Information flow for Click to Dial (Phone to Phone) Service

NOTE 1: Notifications from the IN/ISDN domain to the PINT server may be useful.

NOTE 2: Support of conference services by these capabilities may be possible.

A brief description of the information flow sequence is as follows:

- 1) PC user requests for CTD service;
- 2) Server sends CTD request;
- 3) SC-GF relays CTD service request to SCF;
- 4) SCF initiates call attempt to DN1 and requests DN1_answered event report;
- 5) and 6) Connection established between CCF/SSF and phone A using existing ISDN signalling;
- 7) CCF/SSF reports to SCF phone A answered;
- 8) SCF instructs CCF/SSF to connect phone A and SRF;
- 9) SCF instructs SRF to play announcement;
- 10) SCF initiates call attempt to DN2 and requests DN2_answered event report;
- 11) and 12) Connection established between CCF/SSF and phone B using existing ISDN signalling;

13) CCF/SSF reports to SCF phone B answered;

14) SCF instructs CCF/SSF to disconnect phone A and SRF and to merge phone A and phone B legs.

7.4 Information flow for Click-To-Fax (CTF) service

The information flow for CTF service in the IN domain is shown in figure 7.4. IF2 between SC-GF and SRF is used to transfer data. It can use any available data transport medium and does not need to be standardized. This flow chart also applies to "Voice Access to Content". A number of additional features are proposed in this example.

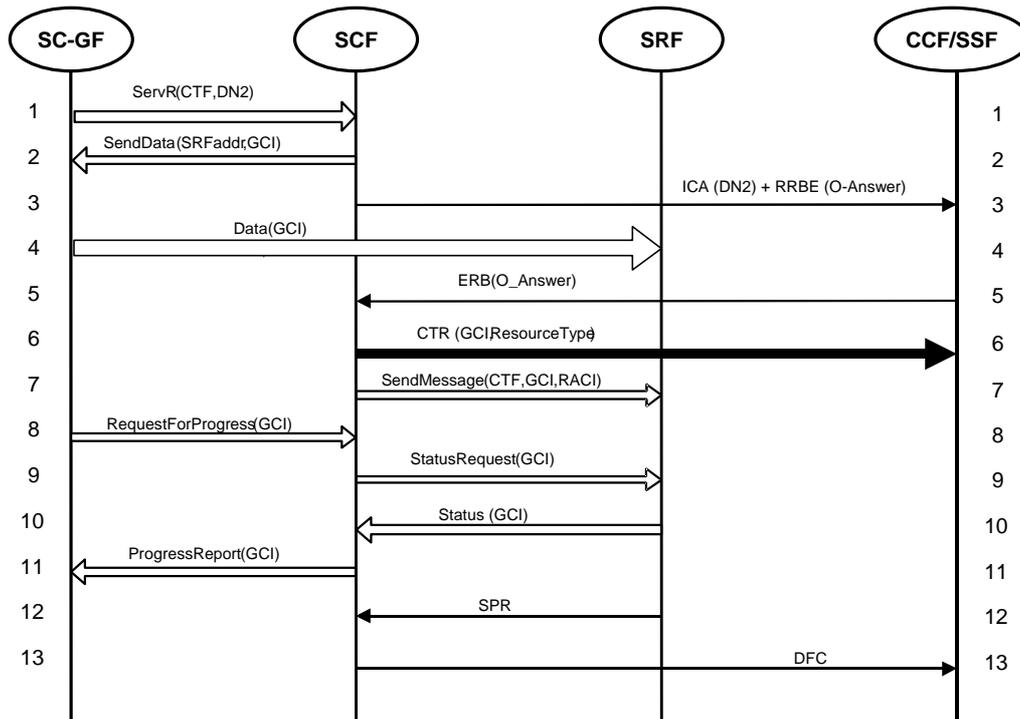


Figure 7.4: Information flow for Click-To-Fax in the IN domain

A brief description of the information flow sequence is as follows: SC-GF relays, from the IP domain, a CTF service request to SCF:

- 1) SCF provides SC-GF with SRF address and GCI and requests SC-GF to relay data to SRF;
- 2) SCF initiates call attempt to DN2 and requests DN2-answered event report;
- 3) SC-GF relays, from the IP domain, data (No proposal has been agreed to standardized this procedure in the IN domain; re-use of existing ISDN capabilities may be possible; this re-use and protocol selection require further contribution);
- 4) CCF/SSF reports to SCF DN2 answered;
- 5) SCF instructs to connect DN2 with SRF with GCI included for correlation and ResourceType setting to Text-to-Fax;
- 6) SCF instructs SRF to send converted data to user and report the completion of data sending. GCI is included to identify the data to be converted;
- 7) SC-GF relays the request for the fax sending progress during the course of transfer;
- 8) SCF relays the request to SRF;
- 9) SRF sends back the progress status;

- 10) SCF relays the status to SC-GF;
- 11) SRF reports to SCF the completion of fax sending;
- 12) SCF instructs to disconnect the connection between DN2 and SRF.

7.5 Information flow for Internet Call Waiting (ICW) service

To consider the Internet Call Waiting feature, the Internet access needs to be under the control of the IN. The Intelligent Network should know that the called telephone number is busy with an Internet Access. Therefore, the information that an Internet session is in progress must be available to the IN.

One solution could be as follows:

- When a user connects to the for Internet dial-up the IN recognizes an IAP/ISP number or through some other means.
It happens either at the CCF/SSF level if the IAP/ISP number is specific or after an interrogation of the SDF if those numbers are not specific. (In the latter case the SMF is then responsible to update the data in the SDF.)
- DPs are then positioned in order to trigger the address (phone/IP) conversion when an incoming call for the Internet dial-up user is to be terminated.

The information flow for ICW is shown in figure 7.5. The service is triggered by an armed TDP at the Called Party T_Busy DP.

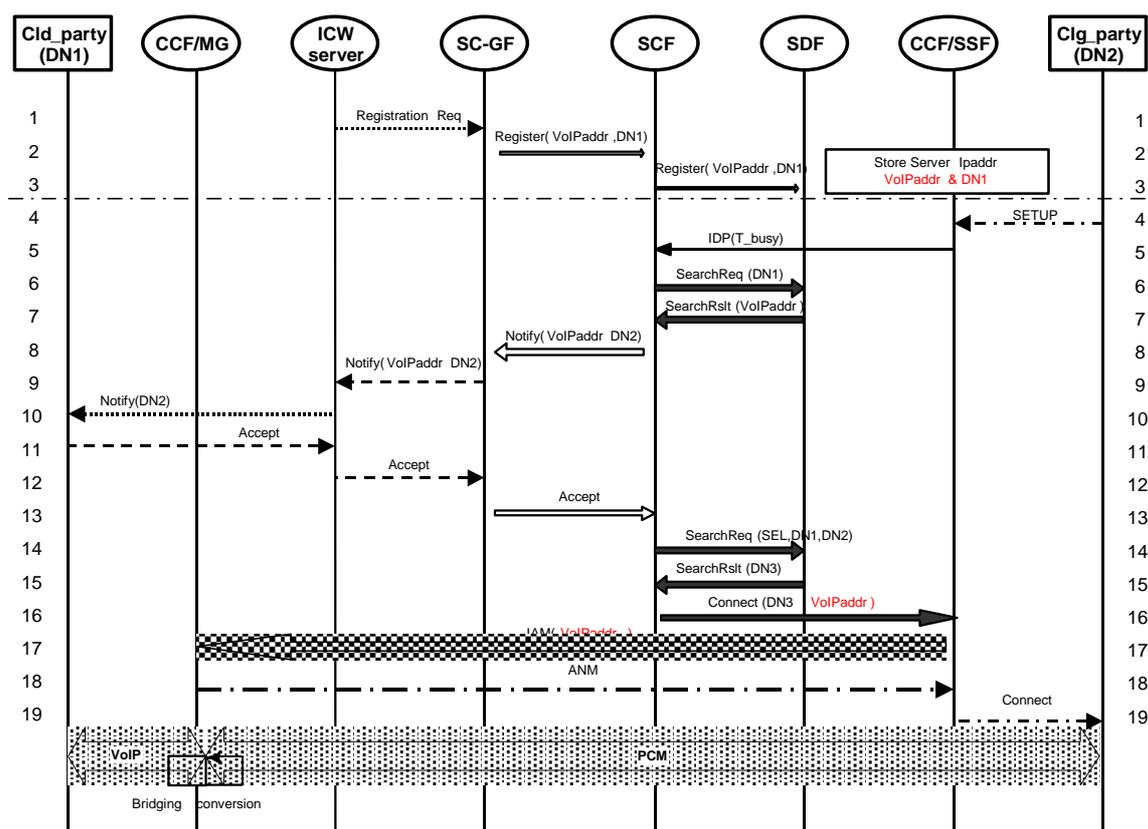


Figure 7.5: Information flow for Internet Call Waiting

A brief description of the information flow sequence is as follows:

- 1) PC user (or ISP) sends registration request to register the VoIP_address to DN relationship, and the VoIP address to the DN relationship, as a result of dial-up access to Internet (ICW server to SC-GF).

NOTE 1: The VoIP address may be a specific E.164 address or a Dynamic IP address.

NOTE 2: The Internet Point of Presence server (PoP) need not necessarily be the same as the ICW server.

- 2) SC-GF relays the user registration information to SCF. The SCF stores the IP address relating to the ICW server for the DN1, and the VoIP address to DN1 relationship. Therefore, mapping the data for later on translation (direct PC User IP-addressing to DN1 support is under study and requires further contribution).

NOTE 3: The VoIP address may be a specific E.164 address or a Dynamic IP address.

- 3) SCF commits the user registration information to SDF. The SDF stores the IP address relating to the ICW server for the DN1, and the PC user's VoIP address to DN1 mapping data for later on translation.

- 4) The calling party (a telephone user) makes a call to the called party (PC user) with DN1. The Connection is Set-up using existing ISDN signalling.

- 5) CCF/SSF is triggered on at Called Party T_Busy and sends IDP (T_Busy) to SCP.

NOTE 4: The CCF/SSF is located at the local exchange serving the DN1 line and a TDP is armed at T_Busy for the line registered for the Internet Call Waiting service.

- 6) SCF queries SDF for the IP relation, to obtain the IP address relating to the ICW server of DN1.

- 7) SDF returns the IP address relating to the ICW server of DN1.

- 8) SCF sends incoming call notification to SC-GF.

- 9) SC-GF relays the notification to the ICW server.

- 10) The ICW server relays the notification to PC user.

- 11) The PC user chooses to accept the incoming call and sends indication to the ICW server.

- 12) The ICW server relays the Accept message to SC-GF.

- 13) The SC-GF relays the Accept message to SCF.

- 14) The SCF queries the SDF for an appropriate for the VoIP address relating to DN1.

NOTE 5: The VoIP address may be a specific E.164 address or a Dynamic IP address.

NOTE 6: This address is used to select the C/B GF to address the resource of the VoIP gateway to access VoIP to DN1. SEL is the C/B GF selection criterion parameter. DN2 is the optional parameter used, for example, to select C/B GF nearest to the calling party

- 15) The SDF returns the selected PC user's VoIP address. The C/B GF is then addressed using DN3 and this directory number VoIP address to reach DN1. The SCF instructs CCF/SSF to route the call to DN3 (the VoIP gateway); including the selected VoIP address.

NOTE 7: The VoIP address may be a specific E.164 address or a Dynamic IP address.

- 16) The SCF instructs CCF/SSF to route the call to DN3 (the VoIP gateway); including the PC user's VoIP address.

NOTE 8: The VoIP address may be a specific E.164 address or a Dynamic IP address.

- 17) The CCF/SSF initiates the connection Set-up to DN3 using ISDN signalling. The NNI/UNI Set-up message including the selected VoIP address of the called party (PC user).

NOTE 9: The VoIP address may be a specific E.164 address or a Dynamic IP address.

NOTE 10: This connection set-up, as an option, may be translated at the C/B GF into an H.245/225 Set-up request, to be handled by a GateKeeper Function. Where used, the GateKeeper function controls the resource of the C/B GF and the Set-up request to the PC User for Voice over IP. The H.245/225 Connection Complete message is then returned to the C/B GF.

18) The Connection completion message returned to CCF/SSF.

19) The Connection completion message returned to the originating exchange.

7.6 Example information flows of in-ip telephony interworking

7.6.1 Information flow for H.323 terminal originated number translation service

Assuming that the example service, e.g. Number Translation, requires user authentication. The prompt indication and the user input password is exchanged through RAS interface between GK and the terminal. O-busy EDP-R is dynamically armed at CCF in GK under the control of SCF. GRC mode is used for call control. The information flow is shown in figure 7.6.

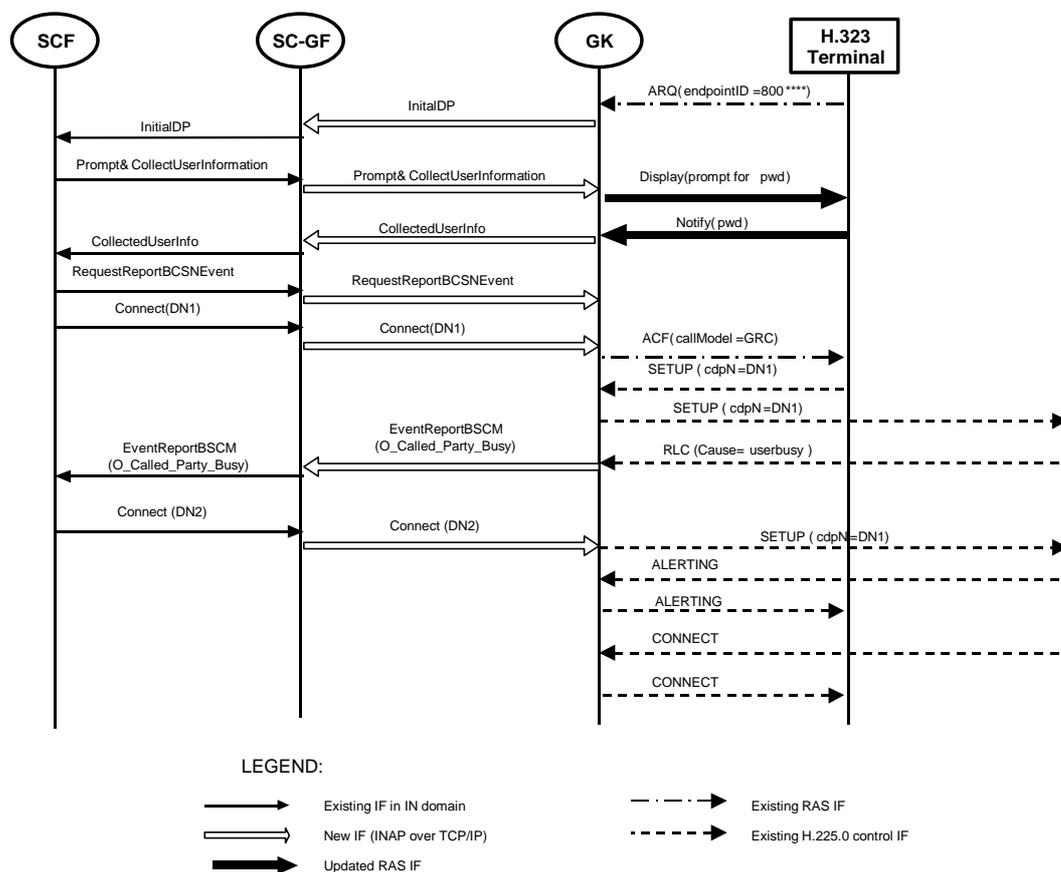


Figure 7.6: Information flow of H.323 terminal originated 800 service

7.6.2 Information flow for GW Initiated number translated service

The same CCF/SSF triggering mechanism applies to processing H.323 IN-based call. CCF/SSF may be located in MGC for DRC mode call control. The following example assumes that the Gatekeeper is enhanced to relay Core INAP like, Call Control, Admission and User Interaction, operations to, and from, the MGC for the support of IN triggering for triggering from the MGC via Gatekeeper. The information flow is shown in figure 7.7.

- In this case, dynamic DP arming should be supported at MGC under the control of Gatekeeper SM.
- New service control interface between GK and MGC should be defined to support information exchange between SM in GK and CCF in MGC in case that DRC mode is used.

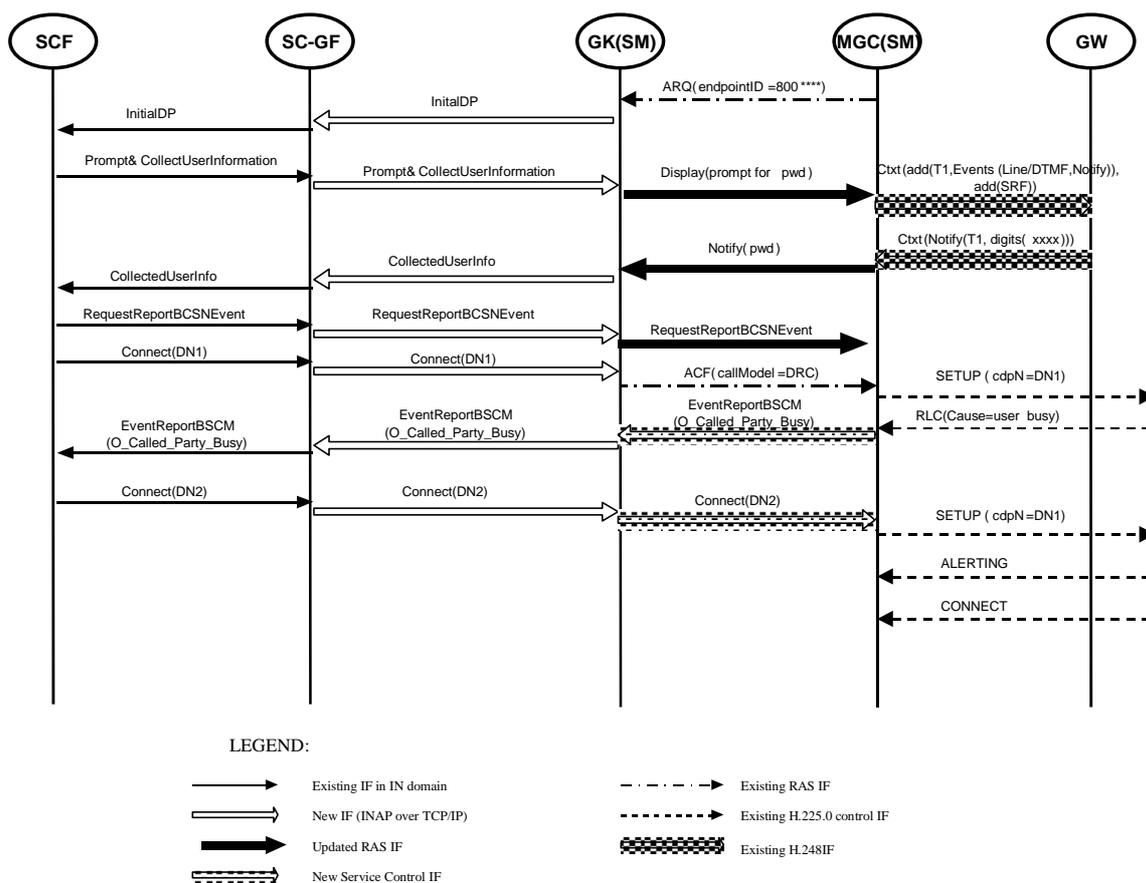


Figure 7.7: Information flow of GW Originated 800 Service

7.7 IN interaction with SIP call control message flows

7.7.1 Proposed registration process

This clause is intended to define the registration process based on the SIP REGISTER method, which allows subscription information to be stored in the SIP proxy server/SSF.

IETF RFC 2543 [11] defines the term registrar for registration purposes and it is the SIP registrar that accepts the REGISTER method. With the SIP REGISTER method, it is assumed that registration with a location server takes place.

Unlike H.323, registration with a server is not mandatory. Only users that wish to receive incoming calls need to register with a SIP proxy server and a location server. Callers placing calls are not required to register.

7.7.2 Originating call with Core INAP interaction

This clause deals with the originating calls that require interaction with Core INAP. The call flows are shown in figure 7.8.

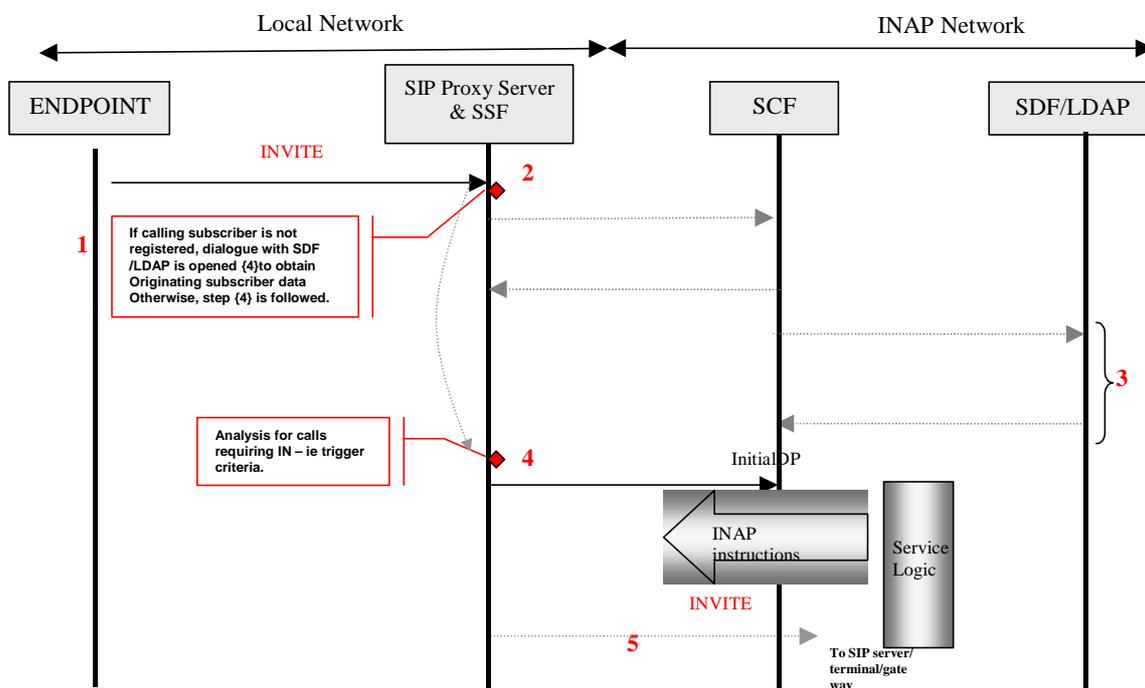


Figure 7.8: Originating Call with Core INAP interaction

A brief description of the information flow sequence is as follows:

- 1) The calling user agent client initiates a SIP request by issuing an INVITE method to the SIP proxy server.
- 2) The SDF/LDAP functionality in the SSF is checked to determine if the calling party has previously registered. If no registration found, then step {3} is followed. If the SSF determines that the calling user has a valid registration then step {4} is followed.
- 3) The SSF establishes a dialogue with the SDF or LDAP of the subscriber's network. The exact procedures of how this is performed require further study.
- 4) The originating subscriber data is analysed and if the necessary triggering criteria are met, the SCF is invoked via an InitialDP message.
- 5) The SIP proxy server will route the call based on the instructions received by the service logic in the SCF. The remainder of the information flows will vary according to the service logic and are not shown.

7.7.3 Terminating call with Core INAP interaction

This clause deals with the Core INAP interaction for terminated calls. An IN CS-3 service is triggered if the triggering criteria held in the called subscriber's data matches the characteristics of the incoming call. The information flows are shown in figure 7.9.

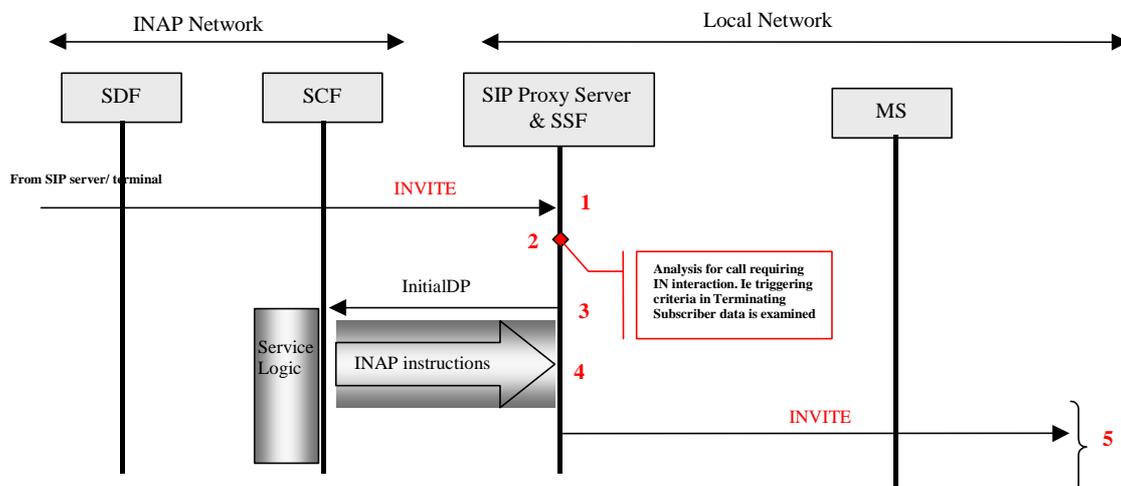


Figure 7.9: Terminating Call with Core INAP interaction

A brief description of the information flow sequence is as follows:

- 1) The terminating SIP proxy server receives an INVITE method.
- 2) The Terminating subscriber data is analysed and the triggering criteria are check against the particulars of the incoming call. A terminal must register with a server to be able to accept incoming call and has been assumed that since this registration has taken place; the Terminating Subscriber data is available at the server.
- 3) If the necessary triggering criteria are met, the SCF is invoked and a Core INAP dialogue established between the SSF and the SCF.
- 4) Instructions are received from the SCF on how the call is to be routed.
- 5) The SIP proxy server will route the call based on the instructions received by the service logic in the SCF. As the rest of the information flows will vary according to the service logic, the remained of the information flows are not shown.

7.8 IN/H.323 interaction Message Flows

7.8.1 Registration

Further investigation is required on the Core INAP support for the registration and location update process that must exist within a multimedia service network. It has been decided not to support this capability for Core INAP.

7.8.2 Originating call requiring Core INAP interaction

The call flows for an originated call are shown in figures 7.10 and 7.11.

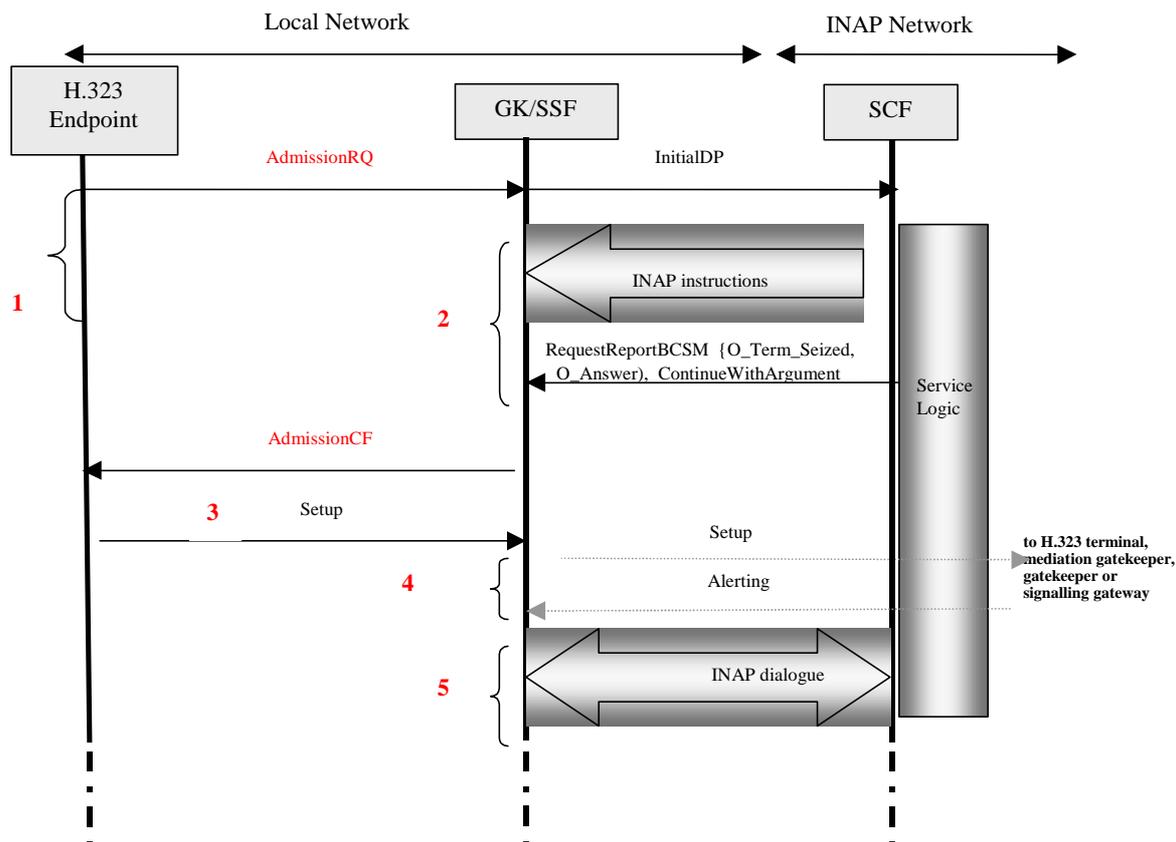


Figure 7.10: Originating call with Core INAP interaction

Figure 7.10 shows the example when the SSF triggers on the RAS Admission Request message.

- 1) The H.323 Endpoint wishes to place an IP call checks that it is allowed to place a call via RAS Admission Request message. When the Admission Request message is received at the gatekeeper, if the profile for the calling user is present, it would be possible to analyse its contents and if necessary invoke the SSF. The SSF is now assumed capable of implementing the Core INAP O-BCSM. The SSF starts a call-related dialogue with the SCF. The SCF address and service key to invoke are obtained from the triggering criteria.
- 2) The SCF sends the instructions to the SSF according to the service logic invoked.
- 3) If the H.323 Endpoint is allowed to place the call (gatekeeper routed) an H.225.0 Set-up message is sent to the gatekeeper.
- 4) When the Set-up message is received at the gatekeeper, the Set-up message is sent to the destination address based on the routing information.
- 5) Dialogue between the SCF and the SSF may continue according to the service logic. The remainder of the information flows will vary according to the service logic and are not shown.

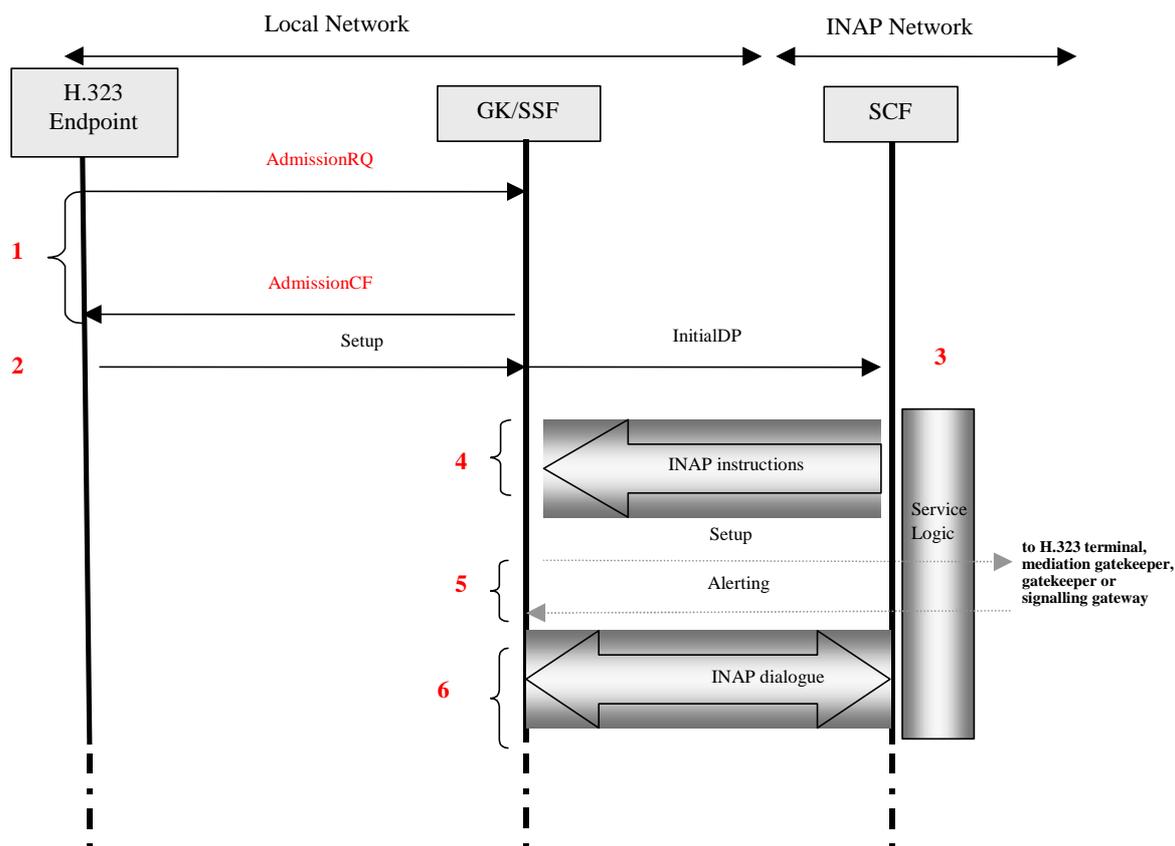


Figure 7.11: Originating call with Core INAP interaction

Figure 7.11 shows the example when the SSF triggers on the H.225.0 SETUP message.

- 1) The H.323 Endpoint wishes to place an IP call it shall check that it is allowed to place a call via RAS Admission Request message.
- 2) If the H.323 Endpoint is allowed to place the call (gatekeeper routed) an H.225.0 Set-up message is sent to the gatekeeper.
- 3) When the Set-up message is received at the gatekeeper, if the profile for the calling user is present, it would be possible to analyse its contents and if necessary invoke the SSF. The SSF is now assumed capable of implementing the Core INAP O-BCSM. The SSF starts a dialogue with the SCF. The SCF address and service key to invoke are obtained from the triggering criteria.
- 4) The SCF sends the instructions to the SSF according to the service logic invoked.
- 5) The gatekeeper forwards the call according to the instructions received from the SCF H.225.0 Set-up message is sent to the destination address.
- 6) Dialogue between the SCF and the SSF may continue according to the service logic. The remainder of the information flows will vary according to the service logic and are not shown.

7.8.3 Terminating call requiring Core INAP interaction

The call flows for a terminating call is shown in figure 7.12.

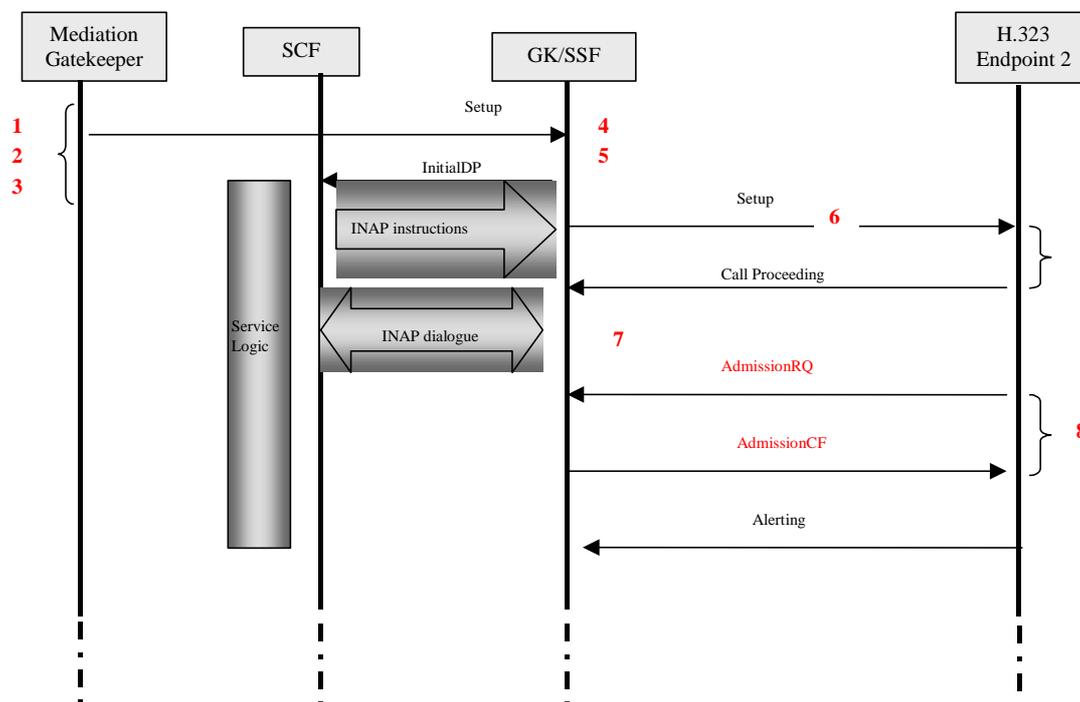


Figure 7.12: Terminating Call with Core INAP interaction

Figure 7.12 shows the call flows for a terminating call and is explained as follows:

- 1) The mediation gatekeeper in the network of the called subscriber receives an incoming H.225.0 Set-up message. (The incoming call could be from an other H.323 gatekeeper or H.323 signalling gateway representing a call originating from external networks such as the PSTN).
- 2) The mediation gatekeeper queries the IP address of the gatekeeper that the called user is registered with.
- 3) The mediation gatekeeper forwards the call to the required gatekeeper by sending a *Set-up* message.
- 4) The gatekeeper receives the H.225.0 *Set-up* message and checks the service profile of the called user to determine whether any IN CS-3 services should be invoked.
- 5) If analysis of the service profile shows that the triggering criteria are met, the SSF is invoked to create a T-BCSM and the SSF initiates a dialogue with the SCF in the network of the called user. Instructions are received from the SCF on how the call is to proceed.
- 6) The gatekeeper will route the call according to the instructions received from the SCF and will send an H.225.0 *Set-up* message to the destination party.
- 7) Dialogue between the SCF and the SSF may continue according to the service logic. The remainder of the information flows will vary according to the service logic and are not shown.

8 Security aspects

8.1 Introduction

This clause suggests security requirements for the interworking between the IN and IP domains. The traditional discrete security requirements and solutions associated with the existing Public Switched Telephone Network may prove difficult to apply in the case of IP-based networks and services. However, regulatory requirements for the public telephony service - e.g. emergency, legal interception - are intended to be technology independent and therefore need to be fulfilled irrespective of the choice of service platform.

In this clause, Security requirements are confined to those applicable to the IN-to-IP interface, and the IP-to-IN interface. Within the IN domain, security requirements and security features recommended for the interconnection of IN functional entities are outlined in more detail in [1], [2] and [3].

8.2 Requirements on the IN/IP interface

The IN/IP interface provides the link between the IN and IP environments. An overall requirement is to ensure secure integration of legacy systems with IP-based networks. Security measures are necessary to ensure:

- Revenue protection;
- Network Integrity;
- Fraud detection and prevention - Prevent the release of financially sensitive data. e.g. Credit Card account details which may be used for fraudulent purchases;
- Use of Public Key Infrastructure (PKI) - use of cryptographic keys and digital certificate technology;
- Accountability;
- Authorization - e.g. Credit check;
- Authentication;
- Availability;
- Confidentiality e.g. Maintain customer confidence;
- Maintain Privacy - Protect from unauthorized eavesdropping;
- Screening - IN and IP structured networks should screen data without corruption.

8.3 Requirements on the IN domain

- Protect the IN Network from unauthorized access via the IN/IP interface;
- Protect the IN Network from fraud via the IN/IP interface.

8.3.1 Core INAP SCF to SSF interface

The existing IN SCF-to-SSF Core INAP may be utilized for the H323-to-SCF interface. Security aspects to be considered include:

- Network Integrity maintenance;
- Accountability;
- Authorization;
- Authentication;

- Availability;
- Encryption;
- Confidentiality;
- Anti Eavesdropping;
- Privacy;
- Anti Spoofing measures.

8.4 Requirements on the IP Domain

The IP network presents packet data at the interface to the IN.

Security aspects to be considered include:

- Protect the IP-network from unauthorized access via the IP/IN interface;
- Protect the IP-network from fraud via the IP/IN interface;
- Integrity;
- Accountability;
- Authorization;
- Authentication;
- Availability;
- Encryption;
- Confidentiality;
- Anti Eavesdropping;
- Privacy.

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History

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